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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
THE NEISSER FAMILY FOUNDATION

A Employer identification number
36-6054300

Number and street (or P O box number if mail is not delivered to street address) Room/suite
20 S CLARK STREET NO 1650

B Telephone number (see instructions)
(312) 568-5550

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 291,277

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	594,307			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	3,477	3,477		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	59,350			
	b	Gross sales price for all assets on line 6a 800,709				
	7	Capital gain net income (from Part IV, line 2)		520,572		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	3	3		
	12	**Total.** Add lines 1 through 11	657,137	524,052		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,570	4,570		0
	c	Other professional fees (attach schedule)	2,816	2,816		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,036	81		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	140	140		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,562	7,607		0
	25	Contributions, gifts, grants paid	630,189			630,189
	26	**Total expenses and disbursements.** Add lines 24 and 25	640,751	7,607		630,189
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	16,386			
	b	**Net investment income** (if negative, enter -0-)		516,445		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,207	1,510	1,510
	2	Savings and temporary cash investments	62,063	71,735	71,735
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	19,570		
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	158,221	181,201	214,854
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	223	3,178	3,178
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	242,284	257,624	291,277
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	242,284	257,624	
	30	**Total net assets or fund balances** (see instructions)	242,284	257,624	
	31	**Total liabilities and net assets/fund balances** (see instructions)	242,284	257,624	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	242,284
2	Enter amount from Part I, line 27a	2	16,386
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	258,670
5	Decreases not included in line 2 (itemize) ▶	5	1,046
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	257,624

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	520,572
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	652,650	422,527	1 544635
2012	596,443	558,061	1 068777
2011	577,778	167,619	3 446972
2010	740,303	22,410	33 034494
2009	566,025	40,561	13 954907

2	**Total** of line 1, column (d).	2	53 049785
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	10 609957
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	371,583
5	Multiply line 4 by line 3.	5	3,942,480
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	5,164
7	Add lines 5 and 6.	7	3,947,644
8	Enter qualifying distributions from Part XII, line 4.	8	630,189

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	10,329
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	10,329
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	10,329
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	7,249		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	11,030		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	18,279
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	6
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	7,944
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 7,944 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶NATHAN GROSSMAN Telephone no ▶(312) 568-5550

Located at ▶20 S CLARK STREET CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO,IL 60611	DIRECTOR, PRESIDENT, TREAS 1 08	0	0	0
KATHERINE M NEISSER 359 W BELDEN AVENUE CHICAGO,IL 60614	DIRECTOR, VICE-PRESIDENT 0 08	0	0	0
SHERWIN A ZUCKERMAN 191 N WACKER DRIVE 1500 CHICAGO,IL 60606	DIRECTOR, SECRETARY 0 08	0	0	0
NATHAN M GROSSMAN 20 S CLARK STREET 1650 CHICAGO,IL 60603	DIRECTOR 0 08	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	182,877
b	Average of monthly cash balances	1b	194,365
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	377,242
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	377,242
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	5,659
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	371,583
6	**Minimum investment return.** Enter 5% of line 5	6	18,579

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	18,579
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 10,329		
b	Income tax for 2014 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	10,329
3	Distributable amount before adjustments Subtract line 2c from line 1	3	8,250
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	8,250
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	8,250

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	630,189
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	630,189
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	630,189

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				8,250
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. . . 566,171				
b From 2010. . . 742,421				
c From 2011. . . 577,218				
d From 2012. . . 581,495				
e From 2013. . . 638,771				
f **Total** of lines 3a through e.	3,106,076			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 630,189				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				8,250
e Remaining amount distributed out of corpus	621,939			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,728,015			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	566,171			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	3,161,844			
10 Analysis of line 9				
a Excess from 2010. . . 742,421				
b Excess from 2011. . . 577,218				
c Excess from 2012. . . 581,495				
d Excess from 2013. . . 638,771				
e Excess from 2014. . . 621,939				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JUDITH E NEISSER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				630,189
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	3,477	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	3	
8 Gain or (loss) from sales of assets other than inventory			18	59,350	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		62,830	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 62,830

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2015-09-21 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
PATRICK J MCKENNA				P01222205

Firm's name ▶ THE MOSAIC FINANCIAL GROUP LLC

Firm's EIN ▶ 36-4379428

Firm's address ▶ 330 NORTH WABASH AVENUE SUITE 1650 CHICAGO, IL 60611

Phone no (312) 255-5490

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
FEDEX CORP	P	2014-01-10	2014-04-15
LEGG MASON INC	P	2013-08-28	2014-02-04
LEGG MASON INC	P	2013-08-28	2014-04-15
LIQUIDITY SERVICES INC	P	2014-01-23	2014-03-31
LIQUIDITY SERVICES INC	P	2014-01-23	2014-04-01
NACCO INDUSTRIAL INC A	P	2013-06-05	2014-02-04
NATIONAL-OILWELL VARCO INC	P	2013-12-20	2014-07-22
NEW CORP INC	P	2014-01-30	2014-03-03
NEW CORP INC	P	2014-01-30	2014-03-04
NEW CORP INC	P	2014-01-30	2014-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
5,962		6,393	-431
5,002		4,100	902
12,522		9,184	3,338
4,158		3,725	433
3,920		3,958	-38
5,733		5,228	505
1,721		1,250	471
9,508		8,543	965
1,005		895	110
5,180		5,044	136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-431
			902
			3,338
			433
			-38
			505
			471
			965
			110
			136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NOW INC	P		2014-06-11
PRGX GLOBAL INC COM	P	2013-08-28	2014-04-15
SPEEDWAY MOTORSPORTS INC	P	2013-04-15	2014-02-04
ADVANCED AUTO PARTS INC	P	2012-07-10	2014-02-04
ADVANCED AUTO PARTS INC	P		2014-02-27
ADVANCED AUTO PARTS INC	P	2012-09-18	2014-05-12
AH BELO CORP CL A	P	2012-10-19	2014-02-04
AH BELO CORP CL A	P	2012-10-19	2014-04-02
AIMIA INC COM	P	2012-12-11	2014-02-04
SOLARCITY CORP	D	2012-02-24	2014-06-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,409		2,473	-1,064
8,032		7,673	359
5,427		4,991	436
14,133		8,887	5,246
11,263		6,223	5,040
1,873		1,010	863
1,907		1,244	663
4,161		1,803	2,358
7,522		6,595	927
510,201		73,365	436,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,064
			359
			436
			5,246
			5,040
			863
			663
			2,358
			927
			436,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SYMANTEC CORP	P	2012-06-28	2014-04-14
SYMANTEC CORP	P	2012-06-28	2014-04-15
UNILEVER PLC SPONS ADR	D	2012-02-24	2014-04-28
VIAD CORP	P	2012-04-17	2014-03-14
VIAD CORP	P	2012-04-17	2014-04-22
VIAD CORP	P	2012-04-17	2014-04-22
WESTWOOD HOLDINGS GROUP INC	P	2012-11-08	2014-04-15
KKR & CO LP DEL COM UNITS	P	2012-05-08	2014-01-29
KKR & CO LP DEL COM UNITS	P	2012-05-08	2014-02-04
MICROSOFT CORP	P	2009-01-23	2014-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,943		2,007	936
2,063		1,384	679
22,015		16,274	5,741
14,954		11,864	3,090
2,695		2,166	529
4,687		3,766	921
7,916		5,257	2,659
3,756		2,188	1,568
4,147		2,558	1,589
8,622		4,063	4,559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			936
			679
			5,741
			3,090
			529
			921
			2,659
			1,568
			1,589
			4,559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MICROSOFT CORP	P	2009-01-23	2014-04-15
MICROSOFT CORP	D	2009-01-23	2014-04-28
NOW INC/DE-W/I CASH IN LIEU OF FRACTION SHARES	P		2014-06-13
SPEEDWAY MOTORSPORTS INC	D		
VIAD CORP	D		
NACCO INDUSTRIAL INC A	P	2014-08-15	2014-11-12
ADVANCED AUTO PARTS INC	P		2014-11-11
NACCO INDUSTRIAL INC A	P	2013-06-05	2014-11-12
CAPITAL SOUTHWEST CORPORATION	P		
K-1 KKR ST CAPITAL GAINS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,547		3,285	4,262
10,200		4,323	5,877
16			16
26,702		21,734	4,968
25,038		17,389	7,649
11,416		9,718	1,698
10,563		4,858	5,705
5,708		4,705	1,003
9,080			9,080
2			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4,262
			5,877
			16
			4,968
			7,649
			1,698
			5,705
			1,003
			9,080
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
K-1 KKR LT CAPITAL GAINS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
		14	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-14

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACLU FOUNDATION OF NORTHERN CALIFORNIA 39 DRUMM ST SAN FRANCISCO, CA 94111	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
AMERICAN JEWISH COMMITTEE 165 EAST 56TH ST NEW YORK, NY 10126	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
ARCHITECTURE & DESIGN SOCIETY (ART INSTITUTE OF CHICAGO) 111 S MICHIGAN AVE CHICAGO, IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVE CHICAGO, IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	49,775
ARTS ORANGE COUNTY 3730 SOUTH SUSAN STREET SUITE 100 SANTA ANA, CA 92704	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	4,000
ASPEN ART MUSEUM 637 E HYMAN AVE ASPEN, CO 81611	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	23,850
ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	2,500
ASPEN MUSIC FESTIVAL 2 MUSIC SCHOOL ROAD ASPEN, CO 81611	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	8,250
BARCLAY THEATER 4242 CAMPUS DR IRVINE, CA 92612	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
BOWERS MUSEUM 2002 N MAIN ST SANTA ANA, CA 92706	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
BREAST CANCER RESERCH FOUNDATION 60 EAST 56TH STREET 8TH FLOOR NEW YORK, NY 10022	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,550
CANYON ACRES 160 S FAIRMONT BLVD ANAHEIM, CA 92808	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	2,000
CENTER FOR REPRODUCTIVE RIGHTS 199 WATER STREET NEW YORK, NY 10038	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
CHICAGO SHAKESPEARE THEATER 800 E GRAND AVE CHICAGO, IL 60611	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
CHRISTOPHER HOUSE 5235 W BELDEN AVE CHICAGO, IL 60639	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	300
Total . . . . ▶ **3a**				630,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONERSTONE COMMUNITY OUTREACH SHELTER & FOOD PANTRY 4628 N CLIFTON AVE CHICAGO, IL 60640	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	200
DOCA 9245 OLD KEENE ROAD SUITE 100 BURKE, VA 22015	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
EL VIENTO FOUNDATION PO BOX 3897 HUNTINGTON BEACH, CA 92605	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	600
ERICKSON INSTITUTE 451 N LASALLE ST CHICAGO, IL 60654	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	90,000
FACETS MULTI-MEDIA 1517 W FULLERTON AVE CHICAGO, IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
FACING HISTORY AND OURSELVES 2 N LASALLE ST 2300 CHICAGO, IL 60602	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
FRANCIS W PARKER SCHOOL 330 W WEBSTER AVE CHICAGO, IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	10,000
FULCRUM POINT NEW MUSIC PROJECT 30 E ADAMS ST 1201 CHICAGO, IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	180,500
GRANT PARK ORCHESTRAL ASSOCIATION 205 E RANDOLPH ST CHICAGO, IL 60601	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
GREEN CITY MARKET 2732 N CLARK STREET SUITE 302 CHICAGO, IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
HARRIS THEATER FOR MUSIC AND DANCE 205 E RANDOLPH ST CHICAGO, IL 60601	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	70,000
HOUSING OPPORTUNITIES FOR WOMEN 1607 HOWARD ST 2 CHICAGO, IL 60626	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
HUMAN RIGHT WATCH 350 FIFTH AVENUE 34TH FLOOR NEW YORK, NY 10118	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	400
ILLINOIS HUMANITIES COUNCIL 17 N STATE ST 1400 CHICAGO, IL 60602	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	175
JAZZ AT LINCOLN CENTER 3 COLUMBUS CIR NEW YORK, NY 10019	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
Total . . . . ▶ **3a**				630,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JUVENILLE DIABETES RESEARCH FOUNDATION 432 PARK AVE SOUTH NEW YORK, NY 10016	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
LUPUS FOUNDATION OF AMERICA INC 2000 L STREET NW SUITE 410 WASHINGTON, DC 20036	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
MATTHEW STONE ROBSON MEMORIAL SCHOLARSHIP PO BOX 1117 COLORADO SPRINGS, CO 80901	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	500
MERCY & CARING PO BOX 4746 ASPEN, CO 81612	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	500
MERIT SCHOOL OF MUSIC 38 S PEORIA ST CHICAGO, IL 60607	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,500
MUSEUM OF CONTEMPORARY ART 220 E CHICAGO AVE CHICAGO, IL 60611	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	77,369
MUSEUM OF MODERN ART 11 W 53RD ST NEW YORK, NY 10019	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	520
NATIONAL CENTER FOR LESBIAN RIGHTS 870 MARKET STREET SUITE 370 SAN FRANCISCO, CA 94102	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
NORTH LAWNDALE COLLEGE PREP 1313 S SACRAMENTO DR CHICAGO, IL 60623	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
ORANGE COUNTY MUSEUM OF ART 850 SAN CLEMENTE DR NEWPORT BEACH, CA 92660	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	2,000
OUNCE OF PREVENTION FUND 33 WEST MONROE STREET SUITE 2400 CHICAGO, IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	500
PACIFIC SYMPHONY 3631 S HARBOR BLVD SANTA ANA, CA 92704	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	2,000
PAWS CHICAGO 1997 N CLYBOURN AVE CHICAGO, IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	100
PERSONL PAC 134 N LASALLE ST 2040 CHICAGO, IL 60602	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	500
PHILHARMONIC SOCIETY OF ORANGE COUNTY 2082 BUSINESS CENTER DR SUITE 100 IRVINE, CA 92612	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	2,000
Total . . . . ▶ **3a**				630,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
REASON TO GIVE 2701 W THOMAS ST CHICAGO,IL 60622	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	500
RUSH HOUR CONCERTS 650 N DEARBORN ST 500 CHICAGO,IL 60654	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,250
SEARCH INC 1925 N CLYBOOURN SUITE 200 CHICAGO,IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
SENECA ORANGE COUNTY 18302 IRVINE BLVD TUSTIN,CA 92780	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	4,500
SKIDMORE COLLEGE 815 N BROADWAY SARATOGA SPRINGS,NY 12866	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	10,000
SNOW CITY ARTS 630 S HERMITAGE AVE 103K CHICAGO,IL 60612	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
SOCIETY FOR CONTEMPORARY ART (ART INSTITUTE OF CHICAGO) 111 S MICHIGAN AVE CHICAGO,IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	11,000
SOUTH COAST REPERTORY 655 TOWN CENTER DR COSTA MESA,CA 92626	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	11,500
THE CASINO ENDOWMENT FUND 195 E DELAWARE PLACE CHICAGO,IL 60614	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	3,000
THE PHOTOGRAPHY ASSOCIATES (ART INSTITUTE OF CHICAGO) 111 S MICHIGAN AVE CHICAGO,IL 60603	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
USHER III INITIATIVE 191 N WACKER DR SUITE 2090 CHICAGO,IL 60606	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,500
WBEZ 848 EAST GRAND AVE CHICAGO,IL 60611	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	250
WFMT FINE ARTS CIRCLE 7341 SOLUTIONS CENTER CHICAGO,IL 60677	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	100
WHITNEY MUSEUM OF AMERICA ART 99 GANSEVOORT ST NEW YORK,NY 10014	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	1,000
YALE UNIVERSITY ART GALLERY PO BOX 208271 NEW HAVEN,CT 06520	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	10,000
Total . . . . ▶ **3a**				630,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YALE UNIVERSITY PO BOX 803 NEW HAVEN, CT 06503	NONE	PUBLIC CHARITIES	PUBLIC CHARITY SUPPORT	5,000
Total . . . . ▶ **3a**				630,189

TY 2014 Accounting Fees Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND BOOKKEEPING FEES	4,570	4,570		0

TY 2014 Investments Corporate Stock Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SECURITIES	181,201	214,854

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491275004085

TY 2014 Other Assets Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
FEDERAL TAX REFUND DUE	223	3,178	3,178

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491275004085

TY 2014 Other Decreases Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Description	Amount
PARTNERSHIPS - UNREALIZED GAIN/LOSS	1,046

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491275004085

TY 2014 Other Expenses Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FROM K-1 KKR & CO OTHER DEDUCTIONS	11	11		0
FROM K-1 HALP OTHER DEDUCTIONS	129	129		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491275004085

TY 2014 Other Income Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 KKR & CO - ORDINARY INCOME	3	3	3

TY 2014 Other Professional Fees Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	2,816	2,816		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491275004085

TY 2014 Taxes Schedule

Name: THE NEISSER FAMILY FOUNDATION

EIN: 36-6054300

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	0	0		0
FOREIGN TAX	71	71		0
IL FILING FEES	10	10		0
FROM CAPITAL SOUTHWEST 2439	2,955	0		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at ***www.irs.gov/form990***.

OMB No 1545-0047

2014

Name of the organization
THE NEISSER FAMILY FOUNDATION

Employer identification number
36-6054300

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE NEISSER FAMILY FOUNDATION

Employer identification number
36-6054300

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO, IL60611	$ 26,553	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO, IL60611	$ 9,965	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
3	JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO, IL60611	$ 27,054	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
4	JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO, IL60611	$ 21,805	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
5	JUDITH E NEISSER 132 E DELAWARE PLACE 6201 CHICAGO, IL60611	$ 508,930	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE NEISSER FAMILY FOUNDATION

Employer identification number
36-6054300

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	VIAD CORP (VVI) - 1,075 SHS	$ 26,553	2014-04-22
2	MICROSOFT CORP (MSFT) - 250 SHS	$ 9,965	2014-04-25
3	SPEEDWAY MOTORSPORTS (TRK) - 1,525 SHS	$ 27,054	2014-04-25
4	UNILEVER (UL) - 500 SHS	$ 21,805	2014-04-25
5	SOLARCITY CORP (SCTY) - 7,579 SHS	$ 508,930	2014-06-19
		$	

Name of organization
THE NEISSER FAMILY FOUNDATION

Employer identification number
36-6054300

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee