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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2014

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending

RICE FOUNDATION
8600 GROSS POINT ROAD
SKOKIE, IL 60077-2151A Employer identification number
36-6043160B Telephone number (see instructions)
847-581-9999C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 78,169,321.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (attach schedule)

2 Ck ☒ if the foundn is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss) 173,359.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 7,436,752.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 2

c Other prof fees (attach sch) SEE ST 3

17 Interest

18 Taxes (attach schedule)(see instrs) SEE STM 4

19 Depreciation (attach sch) and depletion SEE STMT 5

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 6

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid PART XV

26 Total expenses and disbursements Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

REVENUE

ADMINISTRATIVE
AND
EXPENSESRECEIVED
JUN 11 2015
SEE STATEMENT 1

G/14

14

SCANNED JUN 11 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	453,887.	593,816.	593,816.
	2	Savings and temporary cash investments	1,082,733.	1,246,747.	1,246,747.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) STATEMENT 7	58,339,599.	55,854,021.	65,275,785.
	c	Investments – corporate bonds (attach schedule)			
	LIABILITIES	11	Investments – land, buildings, and equipment basis	7,209,567.	
		Less accumulated depreciation (attach schedule) SEE STMT 8	7,209,567.	7,209,567.	8,676,462.
12		Investments – mortgage loans			
13		Investments – other (attach schedule) STATEMENT 9	5,332,541.	5,332,541.	1,063,308.
14		Land, buildings, and equipment basis	2,237,481.		
		Less accumulated depreciation (attach schedule) SEE STMT 10	1,378,790.	928,827.	858,691.
15		Other assets (describe SEE STATEMENT 11)	471,432.	453,639.	453,639.
16		Total assets (to be completed by all filers – see the instructions Also, see page 1, item i)	73,818,586.	71,549,022.	78,169,321.
17		Accounts payable and accrued expenses			
18		Grants payable			
NET ASSETS OR FUND BALANCES	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe SEE STATEMENT 12)	3,025.	3,325.	
	23	Total liabilities (add lines 17 through 22)	3,025.	3,325.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		X		
	27	Capital stock, trust principal, or current funds	73,815,561.	71,545,697.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	73,815,561.	71,545,697.		
31	Total liabilities and net assets/fund balances (see instructions)	73,818,586.	71,549,022.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	73,815,561.
2	Enter amount from Part I, line 27a	2	-2,298,935.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 13	3	29,071.
4	Add lines 1, 2, and 3	4	71,545,697.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	71,545,697.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 14				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))	
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss).		<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)				3
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				45,089.

-136,434.

45,089.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	4,649,911.	77,080,273.	0.060326
2012	4,562,083.	78,436,925.	0.058162
2011	3,598,486.	81,052,267.	0.044397
2010	3,859,936.	77,237,029.	0.049975
2009	3,666,247.	68,254,167.	0.053715
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4			8
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

0.266575

0.053315

78,098,252.

4,163,808.

15,522.

4,179,330.

3,664,470.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	31,044.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	31,044.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	31,044.
6 Credits/Payments			
a 2014 estimated tax pmts and 2013 overpayment credited to 2014	6 a	202,946.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	202,946.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	171,902.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 171,902. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation: \$ 0. (2) On foundation managers: \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers: \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>THE RICE FOUNDATION</u> Located at <u>8600 GROSS POINT ROAD SKOKIE IL</u> Telephone no <u>847-581-9999</u> ZIP + 4 <u>60077</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If 'Yes,' enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u> </u>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5 b

N/A

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER G. NOLAN 715 ECHO LANE GLENVIEW, IL 60025	PRESIDENT 60.00	217,272.	41,561.	0.
ROBIN G. NOLAN 1 LILAC LANE BOX 24 GOLF, IL 60029	VICE PRESIDE 40.00	76,032.	36,540.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CECILIA A. PERSSON 1709 S GRACE PARK RIDGE, IL 60068	SECRETARY 40	51,504.	47,893.	0.
DAVID S RICH 8600 GROSS POINT ROAD SKOKIE, IL 60077	GRANTS CONSUL 40	67,122.	9,006.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BURTON WEITZMAN, CPA 1000 MONROE STE 900 CHICAGO, IL 60603	ACCOUNTING	52,392.
JP MORGAN BROKERAGE SERVICES 3 1ST NATIONAL PLAZA CHICAGO, IL 60602	BROKERAGE	290,109.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NO DIRECT CHARITABLE ACTIVITY ACTIVITIES WERE LIMITED TO MAKING GRANTS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	66,691,606.
b Average of monthly cash balances	1 b	1,465,994.
c Fair market value of all other assets (see instructions)	1 c	11,129,965.
d Total (add lines 1a, b, and c)	1 d	79,287,565.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	79,287,565.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,189,313.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	78,098,252.
6 Minimum investment return. Enter 5% of line 5	6	3,904,913.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	3,904,913.
2 a Tax on investment income for 2014 from Part VI, line 5	2 a	31,044.
b Income tax for 2014 (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	31,044.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	3,873,869.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	3,873,869.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,873,869.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	3,664,470.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,664,470.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,664,470.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				3,873,869.
2 Undistributed income, if any, as of the end of 2014.				
a Enter amount for 2013 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009	230,350.			
b From 2010	66,064.			
c From 2011				
d From 2012	693,057.			
e From 2013	830,005.			
f Total of lines 3a through e	1,819,476.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 3,664,470.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2014 distributable amount				3,664,470.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	209,399.			209,399.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	1,610,077.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions).	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	20,951.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	1,589,126.			
10 Analysis of line 9				
a Excess from 2010	66,064.			
b Excess from 2011				
c Excess from 2012	693,057.			
d Excess from 2013	830,005.			
e Excess from 2014				

BAA

Form 990-PF (2014)

N/A

- 4942(j)(5)

- (4) Gross investment income**

[illegible]

Form 990-PF (2014)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 16				
Total			3 a	3,117,900.
b Approved for future payment SEE STATEMENT 17				
Total			3 b	5,537,500.

RICE FOUNDATION

36-6043160

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION PROCEEDS	\$ 571.	\$ 571.	\$ 571.
MORGAN STANLEY #147341	58,199.	58,199.	58,199.
OIL ROYALTIES	321,328.	321,328.	321,328.
WESTMINSTER FUND VI LOSS	-15,365.	-15,365.	-15,365.
WESTMINSTER FUND VII LOSS	-3,377.	-3,377.	-3,377.
TOTAL	\$ 361,356.	\$ 361,356.	\$ 361,356.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING - AUDIT	\$ 12,520.	\$ 10,016.		\$ 2,504.
ACCOUNTING - BOOKKEEPING	10,275.	3,596.		6,679.
ACCOUNTING - BOOKKEEPING & TAX	52,392.	47,153.		5,239.
TOTAL	\$ 75,187.	\$ 60,765.	\$ 0.	\$ 14,422.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTANTS - OTHER	\$ 49,208.	\$ 49,208.		
MANAGEMENT - ADVISOR 147341	175,838.	175,838.		
MANAGEMENT - FAYEZ 147348	86,714.	86,714.		
MANAGEMENT - HEDGE 147362	10,000.	10,000.		
MANAGEMENT - WELLS 147355	17,557.	17,557.		
MANAGEMENT FEES - BANK ONE	15.	15.		
OTHER CONSULTANTS FEES	975.	975.		
TOTAL	\$ 340,307.	\$ 340,307.	\$ 0.	\$ 0.

RICE FOUNDATION

36-6043160

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID 147348	\$ 1,576.	\$ 1,576.		
FOREIGN TAXES PAID 147355	62.	62.		
TAXES - OIL ROYALTIES	11,006.	11,006.		
TAXES - US TREASURY - FORM 990PF	10,644.	10,644.		
TOTAL	<u>\$ 23,288.</u>	<u>\$ 23,288.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 5
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
RICE BUILDING								
1/01/94	1,248,080	825,099	S/L	0.0318		39,627	0	0
RICE BLDG IMPROVEMENTS								
1/09/98	42,211	20,770	S/L	0.0318		1,340	0	0
ALARM SYSTEM								
1/01/10	2,716	951	S/L	0.1		272	0	0
FLUORESCENT LIGHTING FIXT								
1/01/11	11,225	2,246	S/L	0.1		1,123	0	0
HOTWATER HEATER								
1/01/11	1,908	636	S/L	0.1333		254	0	0
TRANE XR13 AIR CONDITIONE								
1/01/12	8,428	1,264	S/L	0.1		843	0	0
CARPETING								
1/01/07	12,993	11,262	S/L	0.1333		1,731	0	0
UNICO AIR HANDLER								
1/01/09	3,633	3,270	S/L	0.1		363	0	0
SECURITY CAMERAS								
1/01/09	1,734	1,560	S/L	0.1		174	0	0
HIGH BACK MESH CHAIR								
1/01/10	700	490	S/L	0.2		140	0	0
OVERHEAD STORAGE PLATFORM								
1/01/11	3,871	1,935	S/L	0.2		774	0	0
ON-SITE DEFIBULATOR								
8/14/12	1,095	329	S/L	0.2		219	0	0
NEW COMPUTER-PETERS								
1/01/09	1,265	1,139	S/L	0.1		126	0	0
NEW DVR FOR CAMERAS								
1/01/09	950	855	S/L	0.1		95	0	0
2 BACKUP DRIVES FOR COMPU								
1/01/11	761	380	S/L	0.2		152	0	0
HP WORKSTATION & ACCESS								

RICE FOUNDATION

36-6043160

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
8/21/12	5,290	1,587	S/L	0.2		1,058	0	0
8236 FAIRVIEW BUILDING 1/01/97	217,260	113,804	S/L	0.0318		6,898	6,898	0
8236 FAIRVIEW BUILDING 1/01/98	6,435	3,165	S/L	0.0318		204	204	0
8236 FAIRVIEW BLDG IMPROV 1/01/00	11,295	5,751	S/L	0.0364		411	411	0
8236 FAIRVIEW BLDG IMPROV 1/01/02	1,248	521	S/L	0.0364		45	45	0
BOILER 1/01/11	7,285	2,428	S/L	0.1333		971	971	0
HOT WATER HEATER 6/26/12	567	114	S/L	0.1333		76	76	0
REFRIGERATOR 6/27/12	728	146	S/L	0.1333		97	97	0
8446 FAIRVIEW BUILDING 1/01/99	173,590	91,528	S/L	0.0364		6,313	6,313	0
8446 FAIRVIEW BUILDING 1/01/01	690	314	S/L	0.0364		25	25	0
8446 FAIRVIEW - NEW ROOF 1/01/03	3,527	1,347	S/L	0.0364		128	128	0
8446 FAIRVIEW WATER HEATR 1/01/08	859	630	S/L	0.1333		115	115	0
8446 FAIRVIEW SEPTIC TANK 1/01/09	3,500	2,100	S/L	0.1333		467	467	0
8447 FAIRVIEW NEW SIDING 5/01/12	4,329	236	S/L	0.0364		157	157	0
8468 FAIRVIEW BUILDING 1/01/91	90,000	63,613	S/L	0.0303		2,727	2,727	0
8468 PUMP CHECK VALVE 1/01/04	1,345	465	S/L	0.0364		49	49	0
8468 PRESSURE TANK/PIPING 1/01/10	2,400	305	S/L	0.0364		87	87	0
8468 NEW SIDING 1/01/11	4,672	424	S/L	0.0364		170	170	0
8468 NEW ROOF 1/01/11	7,091	645	S/L	0.0364		258	258	0
8468 NEW SIDING/SOFFIT/FA 8/21/12	4,914	268	S/L	0.0364		179	179	0
CARPET AND VINYL FLOORING 1/01/07	3,861	3,346	S/L	0.1333		515	515	0
8446 FAIRVIEW APPL REFRIG 1/01/08	469	345	S/L	0.1333		63	63	0
CARPET AND VINYL								

RICE FOUNDATION

36-6043160

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
1/01/08	2,593	1,901	S/L	0.1333		346	346	0
WOODFORD FARM BUILDING								
1/01/05	275,714	82,995	S/L	0.0364		10,028	10,028	0
APILAIRE HUMIDIFIER								
12/31/13	1,909	191	S/L	0.2		382	0	0
8236 FAIRVIEW - CARPET								
1/01/13	1,709	114	S/L	0.1333		228	228	0
8468 FAIRVIEW - CARPET								
5/28/13	2,173	145	S/L	0.1333		290	290	0
WOODFORD - A/C								
8/06/13	2,545	127	S/L	0.1		255	255	0
CHAIRS								
7/12/14	837		S/L	0.1		84	0	0
SECURITY CAMERA								
7/02/14	3,199		S/L	0.1		320	0	0
COMPUTER								
5/08/14	3,394		S/L	0.1		339	0	0
MONITORS								
6/03/14	457		S/L	0.1		46	0	0
WOODFORD - GENERATOR								
4/01/14	2,675		S/L	0.05		134	134	0

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	\$ 5,264.	\$ 2,632.		\$ 2,632.
GENERAL INSURANCE	13,622.	3,406.		10,217.
OFFICE EXPENSE AND POSTAGE	11,569.	4,049.		7,520.
RENTAL EXPENSES	60,308.	60,308.		
TOTAL	\$ 90,763.	\$ 70,395.	\$ 0.	\$ 20,369.

RICE FOUNDATION

36-6043160

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MORGAN STANLEY #147075 - AGENCY	COST	\$ 550,000.	\$ 625,623.
MORGAN STANLEY #147341 - ADVISOR	COST	36,160,997.	41,685,955.
MORGAN STANLEY #147348 - FAYEZ	COST	8,839,565.	10,958,373.
MORGAN STANLEY #147355 - WELLS	COST	1,803,840.	2,197,448.
MORGAN STANLEY #147362 - HEDGED	COST	8,499,619.	9,808,386.
	TOTAL	<u>\$ 55,854,021.</u>	<u>\$ 65,275,785.</u>

STATEMENT 8
FORM 990-PF, PART II, LINE 11
INVESTMENTS - LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
LAND	\$ 7,209,567.		\$ 7,209,567.	\$ 8,676,462.
TOTAL	<u>\$ 7,209,567.</u>	<u>\$ 0.</u>	<u>\$ 7,209,567.</u>	<u>\$ 8,676,462.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
OIL AND GAS PROPERTIES	COST	\$ 5,332,541.	\$ 1,063,308.
	TOTAL	<u>\$ 5,332,541.</u>	<u>\$ 1,063,308.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FURNITURE AND FIXTURES	\$ 47,439.	\$ 40,692.	\$ 6,747.	\$ 7,046.
MACHINERY AND EQUIPMENT	63,548.	48,465.	15,083.	15,083.
BUILDINGS	2,081,784.	1,277,514.	804,270.	804,844.
IMPROVEMENTS	44,710.	12,119.	32,591.	32,591.
TOTAL	<u>\$ 2,237,481.</u>	<u>\$ 1,378,790.</u>	<u>\$ 858,691.</u>	<u>\$ 859,564.</u>

RICE FOUNDATION

36-6043160

STATEMENT 11
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
NET DEPOSIT OF 2014 ESTIMATED EXCISE TAX	\$ 202,946.	\$ 202,946.
PARTNERSHIP INTEREST - WESTMINSTER VII	184,136.	184,136.
PARTNERSHIP INTEREST- WESTMINSTER VI	66,557.	66,557.
TOTAL	<u>\$ 453,639.</u>	<u>\$ 453,639.</u>

STATEMENT 12
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

SECURITY DEPOSITS	\$ 3,325.
TOTAL	<u>\$ 3,325.</u>

STATEMENT 13
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

CUMULATIVE BOOK-TAX DIFFERENCES	\$ 29,071.
TOTAL	<u>\$ 29,071.</u>

STATEMENT 14
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	CABCO	PURCHASED	8/19/2005	11/12/2014
2	WELLS FARGO PRECIOUS METALS	PURCHASED	12/09/2013	11/12/2014
3	NATIXIS	PURCHASED	4/23/2007	11/19/2014
4	NUVEEN	PURCHASED	3/17/2010	11/19/2014
5	WELLS FARGO PRECIOUS METALS	PURCHASED	VARIOUS	11/12/2014
6	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
7	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
8	MORGAN STANLEY #147348 -SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
9	MORGAN STANLEY #147348 -SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
11	CAPITAL GAIN DIVIDENDS			
11	WASH SALE			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	74,776.		87,727.	-12,951.				\$ -12,951.
2	5,923.		6,297.	-374.				-374.
3	1594021.		1366816.	227,205.				227,205.
4	1500000.		1448331.	51,669.				51,669.
5	925,277.		1717192.	-791,915.				-791,915.

RICE FOUNDATION

36-6043160

STATEMENT 14 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
6	1161801.		1191475.	-29,674.				\$ -29,674.
7	218,265.		141,809.	76,456.				76,456.
8	1091055.		1016703.	74,352.				74,352.
9	539,775.		597,621.	-57,846.				-57,846.
11								325,859.
11								785.
							TOTAL	\$ -136,434.

STATEMENT 15
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

E-MAIL ADDRESS:

FORM AND CONTENT:

RICE FOUNDATION
 MR. PETER NOLAN
 8600 GROSS POINT ROAD
 SKOKIE, IL 60077
 847-581-9999

APPLICATIONS SUBMITTED SHOULD INCLUDE A STATEMENT DESCRIBING THE APPLICANT AND ITS ACTIVITIES, THE PARTICULAR PURPOSE OF THE GRANT REQUESTED, THE AMOUNT OF THE GRANT BEING REQUESTED AND A COPY OF THE LETTER ISSUED TO THE APPLICANT BY THE INTERNAL REVENUE SERVICE DETERMINING THAT THE APPLICANT IS EXEMPT FROM INCOME TAX PURSUANT TO THE PROVISIONS OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE (THE CODE).

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

THE FOUNDATION DOES NOT AWARD ANY GRANTS TO INDIVIDUALS, BUT LIMITS ITS GRANTS TO ORGANIZATIONS DESCRIBED IN SECTION 501(C)(3) OF THE CODE, AND IN GENERAL TO ORGANIZATIONS WHICH ARE CLASSIFIED AS NON-PRIVATE FOUNDATIONS.

Morgan Stanley Account #147348

Rice Foundation

Realized Gain/Loss for Year 2014

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	PROCEEDS(\$)	G/L AMOUNT (\$)	HOLDING PERIOD	Covered Indicator
Ace Ltd G/L Amount \$3,047.55										
	311	7/15/2013	6/26/2014	93.59	29,106.86	29,106.86	32,154.41	3,047.55	short	C
Bank Of New York Mellon Corp G/L Amount \$9,331.39										
	1,737.00	11/21/2013	9/24/2014	33.72	58,574.07	58,574.07	67,905.46	9,331.39	short	C
Cvs Caremark Corp G/L Amount \$1,987.13										
	450	11/21/2013	1/3/2014	66	29,701.94	29,701.94	31,689.07	1,987.13	short	C
Eaton Corp Plc Shs G/L Amount -\$6,903.36										
	2,575.00	11/21/2013	2/7/2014	72.39	186,404.51	186,404.51	179,501.15	-6,903.36	short	C
Kinder Morgan Incorp G/L Amount \$11,026.07										
	1,249.00	11/21/2013	8/22/2014	35.38	44,184.62	44,184.62	51,006.28	6,821.66	short	C
	908	11/21/2013	11/21/2014	35.38	32,121.41	32,121.41	36,325.82	4,204.41	short	C
SubTotal	2,157.00			\$35.38	\$76,306.03	\$76,306.03	\$87,332.10	\$11,026.07		
Motorola Solutions Inc G/L Amount -\$11,742.75										
	3,150.00	11/21/2013	8/25/2014	65.04	204,885.14	204,885.14	193,142.39	-11,742.75	short	C
One Gas Inc G/L Amount \$3,251.66										
	825	11/21/2013	2/7/2014	29.15	24,049.50	24,049.50	27,301.16	3,251.66	short	
Oneok Inc New G/L Amount \$25,925.27										
	3,300.00	11/21/2013	3/26/2014	50.19	165,615.36	165,615.36	191,540.63	25,925.27	short	C
Southern Copper Corp G/L Amount \$26,560.71										
	260	11/21/2013	5/16/2014	25.93	6,742.14	6,742.14	7,841.00	1,098.86	short	C
	6,115.00	11/21/2013	5/19/2014	25.93	158,569.90	158,569.90	184,031.75	25,461.85	short	C
SubTotal	6,375.00			\$25.93	\$165,312.04	\$165,312.04	\$191,872.75	\$26,560.71		
Union Pacific Corp G/L Amount \$11,868.05										
	198	11/21/2013	2/24/2014	158.57	31,396.76	31,396.76	35,704.89	4,308.13	short	C
	286	11/21/2013	4/8/2014	158.57	45,350.88	45,350.88	52,910.80	7,559.92	short	C
SubTotal	484			\$158.57	\$76,747.64	\$76,747.64	\$88,615.69	\$11,868.05		
Short Term Total					\$1,016,703.09	\$1,016,703.09	\$1,091,054.81	\$74,351.72		

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	PROCEEDS(\$)	G/L AMOUNT (\$)	HOLDING PERIOD	Covered Indicator
Bank Of New York Mellon Corp G/L Amount \$19,304.60										
	2,685.00	11/21/2013	12/22/2014	33.72	90,541.96	90,541.96	109,846.56	19,304.60	long	C

5m T 14

Morgan Stanley Account #147348

Coca Cola Co G/L Amount \$59,863 87									
	455	3/2/2005	7/24/2014	21 53	9,796 15	9,796 15	18,581 65	8,785 50	long adj 2012-05-04
	166	2/14/2006	7/24/2014	20 69	3,433 71	3,433 71	6,779 24	3,345 53	long adj 2012-05-04
	4,262 00	2/11/2011	7/24/2014	31 79	135,507 09	135,507 09	174,054 95	38,547 86	long
	1,342 00	2/2/2012	7/24/2014	33 99	45,620 69	45,620 69	54,805 67	9,184 98	long
SubTotal	6,225 00			\$31 22	\$194,357 64	\$194,357 64	\$254,221 51	\$59,863 87	
Novo Nordisk A/S Adr G/L Amount \$43,348 05									
	1,220 00	4/23/2008	3/13/2014	13 22	16,128 18	16,128 18	56,333 71	40,205 53	long
	96	6/9/2008	3/13/2014	13 44	1,290 30	1,290 30	4,432 82	3,142 52	long
SubTotal	1,316 00			\$13 24	\$17,418 48	\$17,418 48	\$60,766 53	\$43,348 05	
Qualcomm Inc G/L Amount \$21,955 23									
	440	12/1/2006	2/24/2014	36 14	15,901 60	15,901 60	33,291 41	17,389 81	long adj 2012-05-04
	159	5/23/2008	2/24/2014	46 95	7,464 88	7,464 88	12,030 30	4,565 42	long
SubTotal	599			\$39 01	\$23,366 48	\$23,366 48	\$45,321 71	\$21,955 23	
Seadrill Ltd G/L Amount -\$202,317 43									
	6,025 00	11/21/2013	12/16/2014	45 13	271,935 97	271,935 97	69,618 54	-202,317 43	long C
Long Term Total					\$597,620 53	\$597,620 53	\$539,774 85	(\$57,845 68)	
Grand Total					\$1,614,323 62	\$1,614,323 62	\$1,630,829 66	\$16,506 04	

P - When there is a "P" next to a transaction, this indicates that you have provided the trade history for this transaction, it was not available through Morgan Stanley Smith Barney LLC records

C - Tax lot is covered under cost basis reporting requirements

H - Wash sale rules apply to this tax lot. The cost basis has been adjusted to account for disallowed loss of a related wash sale transaction for this covered security

W - Wash sale rules apply to this tax lot. Disallowed loss for covered securities will be reported on Form 1099-B for the current tax year

I - This tax lot has been marked as inherited. Cost basis, acquisition date and holding period have been adjusted accordingly

G - This tax lot has been marked as a gift. Gift basis rules will apply at the time of sale

A - Information for this transaction reflects your requested adjustments to existing Morgan Stanley Smith Barney LLC trade history

B - This adjusted cost figure is for reference purposes only. It is based on the assumption that the deposit note value has not changed since the issuance. This assumption may not apply to you. Please review with your tax advisor on what is the best method for allocating cost basis for your account.

E - This transaction was executed elsewhere and the securities were later transferred to your Morgan Stanley Smith Barney LLC account. The trade history for this transaction was provided to Morgan Stanley Smith Barney LLC by your prior financial institution.

M - Options exercise and assignments include options premiums when determining the realized gain and loss.

Disclaimer

The gain and loss information is provided for informational purposes only, is not a substitute 1099 form (or any other appropriate tax form), and should not be used for tax preparation. Unrealized gain and loss values are estimates and should be independently verified. Morgan Stanley does not provide tax advice. We recommend that you contact your tax advisor to determine the appropriate use of this information. Past performance does not guarantee future returns.

Morgan Stanley Account #147355

Rice Foundation

Realized Gain/Loss for Year 2014

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	WASH SALE COST ADJUST (\$)	PROCEEDS (\$)	WASH SALE LOSS DISALLOWED (\$)	G/L AMOUNT (\$)	HOLDING PERIOD	Covered Indicator	Wash Sale Indicator
Abbvie Inc Com G/L Amount \$3,308.49													
58	4/29/2014	10/17/2014		51.33	2,977.32	2,977.32		3,085.65		108.33	short	C	
47	4/29/2014	10/21/2014		51.33	2,412.66	2,412.66		2,620.62		207.96	short	C	
8	4/29/2014	12/2/2014		51.33	410.66	410.66		537.55		126.89	short	C	
74	5/1/2014	12/2/2014		51.89	3,839.49	3,839.49		4,972.32		1,132.83	short	C	
71	5/12/2014	12/2/2014		52.37	3,718.16	3,718.16		4,770.74		1,052.58	short	C	
5	5/12/2014	12/2/2014		52.37	261.84	261.84		333.28		71.44	short	C	
46	5/20/2014	12/2/2014		53.43	2,457.73	2,457.73		3,066.19		608.46	short	C	
SubTotal	309				\$52.03	\$16,077.86	\$16,077.86	\$19,386.35		\$3,308.49			
Alexion Pharm Inc G/L Amount \$579.03													
6	11/21/2013	3/20/2014		121.87	731.2	731.2		1,072.93		341.73	short	C	
6	11/21/2013	3/21/2014		121.87	731.2	731.2		968.5		237.3	short	C	
SubTotal	12				\$121.87	\$1,462.40	\$1,462.40	\$2,041.43		\$579.03			
Alibaba Group Hldg Ltd G/L Amount \$1,681.72													
42	9/12/2014	11/20/2014		89.93	3,777.04	3,777.04		4,679.29		902.25	short	C	
30	9/12/2014	11/13/2014		91.04	2,731.11	2,731.11		3,510.58		779.47	short	C	
SubTotal	72				\$90.99	\$6,508.15	\$6,508.15	\$8,189.87		\$1,681.72			
American Tower REIT Com G/L Amount \$1,075.95													
39	11/21/2013	9/2/2014		78	3,042.05	3,042.05		3,845.33		803.28	short	C	
14	11/21/2013	9/11/2014		78	1,092.02	1,092.02		1,364.69		272.67	short	C	
SubTotal	53				\$78.00	\$4,134.07	\$4,134.07	\$5,210.02		\$1,075.95			
Amgen Inc G/L Amount \$780.21													
42	11/21/2013	2/20/2014		112.76	4,735.92	4,735.92		5,194.14		458.22	short	C	
24	11/21/2013	2/27/2014		112.76	2,706.24	2,706.24		2,943.67		237.43	short	C	
45	11/21/2013	5/12/2014		112.76	5,074.20	5,074.20		5,061.11		13.09	short	C	
33	11/21/2013	5/30/2014		112.76	3,721.08	3,721.08		3,818.73		97.65	short	C	
SubTotal	144				\$112.76	\$16,237.44	\$16,237.44	\$17,017.65		\$780.21			
Applied Materials Inc G/L Amount \$842.62													
164	11/21/2013	8/21/2014		16.9	2,771.83	2,771.83		3,614.45		842.62	short	C	
Biogen Idec Inc G/L Amount \$6,352.18													
6	6/3/2013	3/21/2014		221.68	1,330.05	1,330.05		1,956.26		626.21	short	C	
14	6/3/2013	3/27/2014		221.68	3,103.46	3,103.46		4,289.53		1,186.07	short	C	
14	6/3/2013	4/7/2014		221.68	3,103.46	3,103.46		4,026.93		923.47	short	C	
9	6/13/2013	4/7/2014		208.88	1,879.90	1,879.90		2,588.74		708.84	short	C	
5	6/14/2013	4/7/2014		212.59	1,062.96	1,062.96		1,438.18		375.22	short	C	
4	6/14/2013	4/9/2014		212.59	850.37	850.37		1,179.78		329.41	short	C	
11	6/18/2013	4/9/2014		209.2	2,301.16	2,301.16		3,244.39		943.23	short	C	
5	6/19/2013	4/9/2014		208.9	1,044.52	1,044.52		1,474.72		430.20	short	C	
12	6/19/2013	4/14/2014		208.9	2,506.86	2,506.86		3,336.39		829.53	short	C	
SubTotal	80				\$214.78	\$17,182.74	\$17,182.74	\$23,534.92		\$6,352.18			
Biomarin Pharmace Se G/L Amount \$5,459.90													
76	2/25/2014	5/27/2014		82.15	6,243.73	6,243.73		4,326.32		1,917.41	short	C	
46	2/27/2014	5/27/2014		83.48	3,840.14	3,840.14		2,618.57		1,221.57	short	C	
6	2/27/2014	6/6/2014		83.48	500.89	500.89		371.06		129.83	short	C	
58	3/6/2014	6/6/2014		78.94	4,578.39	4,578.39		3,586.89		991.5	short	C	
32	3/10/2014	6/6/2014		76.62	2,451.97	2,451.97		1,978.97		472.99	short	C	
47	3/14/2014	6/6/2014		77.3	3,633.20	3,633.20		2,906.61		726.59	short	C	
SubTotal	265				\$80.18	\$21,248.32	\$21,248.32	\$15,788.42		\$5,459.90			
Blackrock Inc G/L Amount \$731.85													
10	11/21/2013	10/26/2014		302.54	3,035.40	3,035.40		3,125.55		100.15	short	C	
14	11/21/2013	11/4/2014		302.54	4,235.56	4,235.56		4,867.26		631.70	short	C	
SubTotal	24				\$302.54	\$7,260.96	\$7,260.96	\$7,992.81		\$731.85			
Borg Warner Inc G/L Amount \$1,635.17													
50	11/21/2013	3/5/2014		52.79	2,639.58	2,639.58		3,080.11		440.53	short	C	
51	11/21/2013	7/31/2014		52.79	2,692.37	2,692.37		3,192.38		500.01	short	C	
162	11/21/2013	10/30/2014		52.79	8,552.25	8,552.25		8,801.67		249.42	short	C	
77	11/21/2013	11/12/2014		52.79	4,064.96	4,064.96		4,262.11		197.15	short	C	
120	11/21/2013	11/14/2014		52.79	6,335.00	6,335.00		6,583.06		248.06	short	C	
SubTotal	460				\$52.79	\$24,284.16	\$24,284.16	\$25,919.33		\$1,635.17			

Morgan Stanley Account #147355

Bristol Myers Squibb Co G/L Amount \$597.44									
164	11/21/2013	1/27/2014	52.11	8,545.38	8,545.38		8,189.93		
156	11/21/2013	1/28/2014	52.11	8,128.54	8,128.54		7,886.55		
SubTotal	320		552.11	\$16,673.92	\$16,673.92		\$16,076.48		
Cartamoran Corp Com G/L Amount \$160.68									
150	11/21/2013	2/27/2014	46.27	6,940.65	6,940.65		6,851.22		
180	11/21/2013	2/28/2014	46.27	8,328.78	8,328.78		8,257.53		
SubTotal	330		546.27	\$15,269.43	\$15,269.43		\$15,108.75		
Cbs Corp New Cl B Shrs G/L Amount \$1,867.03									
115	11/21/2013	6/25/2014	58.25	6,699.31	6,699.31		7,121.22		
69	11/21/2013	7/18/2014	58.25	4,019.53	4,019.53		4,378.76		
167	11/21/2013	7/9/2014	58.25	9,728.42	9,728.42		10,458.54		
99	11/21/2013	7/10/2014	58.25	5,767.15	5,767.15		6,122.82		
SubTotal	450		558.25	\$26,214.31	\$26,214.31		\$28,081.34		
Cbs Outdoor Americas Inc. G/L Amount \$219.80									
135	7/10/2014	9/4/2014	32.9	4,441.83	4,441.83		4,532.08		
122	7/10/2014	9/9/2014	32.9	4,014.09	4,014.09		4,035.74		
128	7/10/2014	9/9/2014	32.79	4,197.61	4,197.61		4,234.22		
129	7/14/2014	9/9/2014	32.53	4,196.02	4,196.02		4,267.31		
SubTotal	514		537.78	\$16,849.55	\$16,849.55		\$17,069.35		
Calgene Corp G/L Amount \$54.99									
16	8/26/2013	3/21/2014	142.11	2,273.79	2,273.79		2,328.78		
Charles Schwab New G/L Amount \$1,502.42									
195	11/21/2013	10/9/2014	24.85	4,845.11	4,845.11		5,372.93		
219	11/21/2013	10/10/2014	24.85	5,441.43	5,441.43		5,878.77		
136	11/21/2013	10/10/2014	24.85	3,379.15	3,379.15		3,605.77		
168	2/3/2014	10/10/2014	24.66	4,143.54	4,143.54		4,454.18		
SubTotal	718		524.80	\$17,809.23	\$17,809.23		\$19,311.65		
Chipotle Mexican Grill Inc Com G/L Amount \$542.04									
20	11/21/2013	3/31/2014	540.3	10,806.00	10,806.00		11,348.04		
Cognizant Tech Solutions Cl A G/L Amount \$82.42									
53	11/21/2013	9/11/2014	46.76	2,478.54	2,478.54		2,396.12		
Concho Res Inc G/L Amount \$13,069.46									
29	5/12/2014	10/14/2014	132.7	3,848.35	3,848.35		2,902.46		
35	5/14/2014	10/14/2014	133.48	5,205.80	5,205.80		3,903.30		
83	5/14/2014	12/16/2014	133.48	11,079.02	11,079.02		7,043.99		
28	6/19/2014	12/16/2014	139.81	3,914.70	3,914.70		2,375.95		
20	7/10/2014	12/16/2014	148.08	2,961.69	2,961.69		1,697.11		
27	7/11/2014	12/16/2014	146.64	3,959.18	3,959.18		2,291.09		
17	7/14/2014	12/16/2014	147.33	2,504.58	2,504.58		1,442.54		
27	8/14/2014	12/16/2014	131.21	3,542.67	3,542.67		2,291.09		
SubTotal	270		513.71	\$37,015.99	\$37,015.99		\$23,946.53		
Costco Wholesale Corp New G/L Amount \$32.86									
29	11/21/2013	9/26/2014	123.41	3,578.96	3,578.96		3,611.82		
Daniel Corporation G/L Amount \$281.64									
82	11/21/2013	7/18/2014	74.27	6,090.15	6,090.15		6,143.48		
73	11/21/2013	7/30/2014	74.27	5,421.72	5,421.72		5,384.35		
58	11/21/2013	8/1/2014	74.27	4,307.67	4,307.67		4,250.50		
63	11/21/2013	8/13/2014	74.27	4,679.02	4,679.02		4,702.78		
79	11/21/2013	9/3/2014	74.27	5,867.34	5,867.34		6,048.40		
56	11/21/2013	9/5/2014	74.27	4,159.13	4,159.13		4,277.16		
SubTotal	411		574.27	\$30,525.03	\$30,525.03		\$30,806.67		
Delta Air Lines Inc New G/L Amount \$1,565.85									
82	7/26/2013	6/16/2014	21.86	1,792.90	1,792.90		3,200.39		
9	7/29/2013	6/16/2014	21.43	192.9	192.9		351.26		
SubTotal	91		521.82	\$1,985.80	\$1,985.80		\$3,551.65		
Dollar Gen Corp New Com G/L Amount \$1,658.13									
69	11/21/2013	1/22/2014	57.85	3,991.33	3,991.33		4,007.86		
50	11/21/2013	2/13/2014	57.85	2,892.27	2,892.27		2,804.65		
113	11/21/2013	5/30/2014	57.85	6,536.53	6,536.53		5,998.89		
101	11/21/2013	7/9/2014	57.85	5,842.39	5,842.39		5,812.23		
108	11/21/2013	7/10/2014	57.85	6,247.30	6,247.30		6,206.51		
39	11/21/2013	7/16/2014	57.85	2,255.97	2,255.97		2,162.61		
64	12/9/2013	7/16/2014	60.67	3,883.19	3,883.19		3,548.91		
13	12/9/2013	7/17/2014	60.67	788.77	788.77		712.27		
60	4/3/2014	7/17/2014	57.36	3,441.71	3,441.71		3,287.42		
70	4/10/2014	7/17/2014	56.27	3,939.05	3,939.05		3,835.32		

Morgan Stanley Account #147355

	61	4/11/2014	7/17/2014	54.83	3,344.50	3,344.50	3,342.21	2.29	short	C	
	58	4/28/2014	7/17/2014	56.6	3,283.07	3,283.07	3,177.83	105.24	short	C	
	66	8/18/2014	9/5/2014	62.93	4,153.66	4,153.66	4,155.02	1.36	short	C	
	32	8/20/2014	9/5/2014	63.57	2,034.23	2,034.23	2,014.56	19.67	short	C	
	136	8/20/2014	9/8/2014	63.57	8,645.46	8,645.46	8,555.01	90.45	short	C	
SubTotal	1,040.00			558.92	\$61,279.43	\$61,279.43	\$59,621.30	(\$1,658.13)			
Ebay Inc G/L Amount: \$781.32											
	132	11/21/2013	1/6/2014	50.48	6,663.87	6,663.87	6,846.77	182.9	short	C	
	79	11/21/2013	1/13/2014	50.48	3,988.23	3,988.23	4,142.72	154.49	short	C	
	70	11/21/2013	1/23/2014	50.48	3,533.87	3,533.87	3,554.07	20.2	short	C	
	85	11/21/2013	5/27/2014	50.48	4,291.13	4,291.13	4,402.47	111.34	short	C	
	194	11/21/2013	5/28/2014	50.48	9,793.88	9,793.88	9,806.27	12.39	short	C	
SubTotal	560			\$50.48	\$28,270.98	\$28,270.98	\$29,052.30	\$781.32			
Estee Lauder Co Inc Cl A G/L Amount: \$1,714.21											
	36	11/21/2013	3/5/2014	73.55	2,647.92	2,647.92	2,488.59	159.33	short	C	
	63	11/21/2013	3/25/2014	73.55	4,633.85	4,633.85	4,252.60	381.25	short	C	
	62	11/21/2013	3/27/2014	73.55	4,560.30	4,560.30	4,186.46	373.84	short	C	
	60	11/21/2013	3/28/2014	73.55	4,413.19	4,413.19	4,002.22	410.97	short	C	
	59	11/21/2013	3/31/2014	73.55	4,339.64	4,339.64	3,950.82	388.82	short	C	
SubTotal	280			\$73.55	\$20,594.90	\$20,594.90	\$18,880.69	(\$1,714.21)			
Express Scripts Hldg Co Com G/L Amount: \$2,383.90											
	81	2/12/2014	6/19/2014	75.95	6,152.13	6,152.13	5,329.82	822.31	short	C	
	21	2/19/2014	6/19/2014	76.64	1,609.93	1,609.93	1,433.66	176.27	short	C	
	60	2/19/2014	6/20/2014	76.64	4,599.80	4,599.80	4,110.37	489.43	short	C	
	58	2/24/2014	6/20/2014	75.06	4,353.29	4,353.29	3,973.36	379.93	short	C	
	47	3/11/2014	6/20/2014	78.97	3,711.70	3,711.70	3,219.79	491.91	short	C	
	48	4/16/2014	6/20/2014	73.17	3,512.36	3,512.36	3,288.31	224.05	short	C	
SubTotal	315			\$76.00	\$23,939.21	\$23,939.21	\$21,555.31	(\$2,383.90)			
Facebook Inc Cl A G/L Amount: \$1,930.19											
	79	7/30/2013	4/23/2014	37.45	2,958.62	2,958.62	4,888.81	1,930.19	short	C	
Fireeye Inc G/L Amount: \$3,059.38											
	234	5/28/2014	8/20/2014	34.42	8,053.44	8,053.44	6,618.31	1,435.13	short	C	
	74	5/30/2014	8/20/2014	32.38	2,395.83	2,395.83	2,092.97	302.86	short	C	
	117	6/24/2014	8/20/2014	29.58	4,630.54	4,630.54	3,309.15	1,321.39	short	C	
SubTotal	425			\$35.48	\$15,079.81	\$15,079.81	\$12,020.43	(\$3,059.38)			
Halliburton Co G/L Amount: \$6,335.98											
	62	7/22/2014	10/8/2014	73	4,525.71	4,525.71	3,679.55	846.16	short	C	
	24	7/22/2014	10/8/2014	73	1,751.89	1,751.89	1,398.43	353.46	short	C	
	57	7/24/2014	10/8/2014	73.35	4,181.18	4,181.18	3,321.27	859.91	short	C	
	16	8/14/2014	10/8/2014	69.64	1,114.16	1,114.16	932.29	181.87	short	C	
	27	8/14/2014	10/9/2014	69.64	1,880.15	1,880.15	1,557.41	322.74	short	C	
	24	8/14/2014	10/10/2014	69.64	1,671.24	1,671.24	1,330.24	341	short	C	
	64	8/26/2014	10/10/2014	70.09	4,485.89	4,485.89	3,547.31	938.58	short	C	
	78	9/3/2014	10/10/2014	67.36	5,254.41	5,254.41	4,323.28	931.13	short	C	
	17	9/8/2014	10/10/2014	66.91	1,137.50	1,137.50	942.25	195.25	short	C	
	110	9/8/2014	10/10/2014	66.91	7,360.30	7,360.30	5,994.42	1,365.88	short	C	
SubTotal	479			\$69.65	\$33,362.43	\$33,362.43	\$27,026.45	(\$6,335.98)			
Ima Health Holdings Inc G/L Amount: \$234.85											
	237	4/7/2014	10/29/2014	23.13	5,481.50	5,481.50	5,787.96	306.46	short	C	
	106	4/7/2014	10/30/2014	23.13	2,451.64	2,451.64	2,551.35	99.71	short	C	
	159	6/26/2014	10/30/2014	25.15	3,998.34	3,998.34	3,827.02	171.32	short	C	
SubTotal	502			\$23.77	\$11,931.48	\$11,931.48	\$12,166.33	\$234.85			
Incyte Corp G/L Amount: \$5,120.42											
	101	1/14/2014	4/17/2014	60.85	6,146.09	6,146.09	4,419.39	1,726.70	short	C	
	48	1/17/2014	4/17/2014	62.89	3,018.72	3,018.72	2,100.31	918.41	short	C	
	75	1/17/2014	4/21/2014	62.89	4,716.74	4,716.74	3,442.96	1,273.78	short	C	
	59	2/27/2014	4/21/2014	66.27	3,909.99	3,909.99	2,708.48	1,201.51	short	C	
SubTotal	283			\$62.87	\$17,791.54	\$17,791.54	\$12,671.12	(\$5,120.42)			
Jpmorgan Chase & Co G/L Amount: \$514.83											
	95	11/21/2013	4/16/2014	56.99	5,413.93	5,413.93	5,221.45	192.48	short	C	
	5	11/21/2013	4/17/2014	56.99	284.94	284.94	275.51	9.43	short	C	
	100	12/3/2013	4/17/2014	56.34	5,634.19	5,634.19	5,510.18	124.01	short	C	
	70	12/3/2013	4/21/2014	56.34	3,943.93	3,943.93	3,871.46	72.47	short	C	
	72	12/4/2013	4/21/2014	56.92	4,098.51	4,098.51	3,982.07	116.44	short	C	
SubTotal	342			\$56.65	\$19,375.50	\$19,375.50	\$18,860.67	(\$514.83)			
Kansas Cy Southern Ind New G/L Amount: \$1,989.25											
	13	10/23/2013	1/24/2014	123.28	1,602.66	1,602.66	1,323.33	279.33	short	C	H

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	18	10/23/2013	1/24/2014	125.63	2,261.37	2,261.37	32.08	1,832.30		439.07	short	C	H
	17	10/23/2013	1/24/2014	125.27	2,129.58	2,129.58	15.86	1,730.51		399.07	short	C	H
	14	10/23/2013	1/24/2014	125.95	1,763.29	1,763.29	24.96	1,425.12		338.17	short	C	H
	2	10/23/2013	1/24/2014	125.1	250.19	250.19	1.86	203.59		46.6	short	C	H
	22	10/24/2013	1/24/2014	124.39	2,736.49	2,736.49	39.92	2,239.48		497.01	short	C	H
SubTotal	86			\$124.93	\$10,743.58	\$10,743.58	\$126.80	\$8,754.33		(\$1,989.25)			
Liberty Global Plc CI A New G/L Amount: \$1,104.11													
	53	11/21/2013	1/31/2014	78.9	4,181.85	4,181.85		4,247.92		66.07	short	C	
	105	11/17/2013	2/10/2014	78.9	8,284.80	8,284.80		8,596.67		311.87	short	C	
	122	11/11/2013	2/26/2014	78.9	9,626.15	9,626.15		10,352.32		726.17	short	C	
SubTotal	250			\$78.90	\$22,092.80	\$22,092.80		\$23,196.91		\$1,104.11			
LinkedIn Corp-A G/L Amount: \$4,780.75													
	8	4/30/2013	4/28/2014	191.56	1,532.45	1,532.45		1,175.29		357.16	short	C	
	14	5/6/2013	4/28/2014	176.64	2,473.02	2,473.02		2,056.76		416.26	short	C	
	7	10/15/2013	4/28/2014	235.96	1,651.74	1,651.74		1,028.38		623.36	short	C	
	12	10/17/2013	4/28/2014	238.51	2,862.09	2,862.09		1,762.93		1,099.16	short	C	
	6	10/22/2013	4/28/2014	244.05	1,464.28	1,464.28		881.47		582.81	short	C	
	28	1/3/2014	4/28/2014	207.7	5,815.51	5,815.51		4,113.51		1,702.00	short	C	
SubTotal	75			\$210.66	\$15,799.09	\$15,799.09		\$11,018.34		(\$4,780.75)			
Lowes Companies Inc G/L Amount: -\$1,189.27													
	131	11/21/2013	6/16/2014	48.26	6,321.97	6,321.97		5,961.81		360.16	short	C	
	137	11/21/2013	6/17/2014	48.26	6,611.53	6,611.53		6,274.94		336.59	short	C	
	152	11/12/2013	6/19/2014	48.26	7,335.42	7,335.42		6,982.95		352.47	short	C	
	74	11/27/2013	6/19/2014	47.83	3,539.65	3,539.65		3,399.60		140.05	short	C	
SubTotal	494			\$48.20	\$23,808.57	\$23,808.57		\$22,619.30		(\$1,189.27)			
Mastercard Inc CI A G/L Amount: \$1,366.23													
	101	8/2/2013	1/29/2014	64.59	6,523.75	6,523.75		7,889.98		1,366.23	short	C	
McKesson Corp G/L Amount: \$709.39													
	26	12/11/2013	1/15/2014	159.01	4,134.22	4,134.22		4,330.96		196.74	short	C	
	36	12/11/2013	1/16/2014	159.01	5,724.31	5,724.31		6,037.61		313.3	short	C	
	25	12/18/2013	1/16/2014	159.74	3,993.44	3,993.44		4,192.79		199.35	short	C	
SubTotal	87			\$159.22	\$13,851.97	\$13,851.97		\$14,561.36		\$709.39			
Mgm Resorts International G/L Amount: -\$2,659.71													
	153	5/23/2014	9/3/2014	25.06	3,834.80	3,834.80		3,645.26		189.54	short	C	
	9	5/23/2014	9/17/2014	25.06	275.58	275.58		205.94		69.64	short	C	
	158	6/5/2014	9/17/2014	25.32	4,000.66	4,000.66		3,615.69		384.97	short	C	
	1	6/5/2014	12/16/2014	25.32	25.32	25.32		19.35		5.97	short	C	
	162	6/24/2014	12/16/2014	26.06	4,222.32	4,222.32		3,134.11		1,088.21	short	C	
	131	6/26/2014	12/16/2014	26.76	3,505.77	3,505.77		2,534.37		971.4	short	C	
SubTotal	614			\$25.76	\$15,814.45	\$15,814.45		\$13,154.74		(\$2,659.71)			
Michael Kors Holdings Ltd G/L Amount: \$2,933.43													
	45	1/24/2014	5/30/2014	80.25	3,611.26	3,611.26		4,238.20		626.94	short	C	
	32	1/24/2014	11/3/2014	80.25	2,568.00	2,568.00		2,518.85		49.15	short	C	
	21	1/27/2014	11/3/2014	81.14	1,703.91	1,703.91		1,653.00		50.91	short	C	
	38	1/27/2014	11/4/2014	81.14	3,083.27	3,083.27		2,719.22		364.05	short	C	
	13	1/27/2014	11/7/2014	81.14	1,054.80	1,054.80		918.91		135.89	short	C	
	19	1/28/2014	11/7/2014	81.8	1,554.23	1,554.23		1,343.03		211.2	short	C	
	33	3/11/2014	11/7/2014	98	3,734.04	3,734.04		2,332.63		901.41	short	C	
	29	4/28/2014	11/7/2014	88.27	2,559.77	2,559.77		2,049.88		509.89	short	C	
	32	4/28/2014	11/10/2014	88.27	2,824.58	2,824.58		2,212.09		612.49	short	C	
	59	7/18/2014	11/10/2014	81.42	4,803.91	4,803.91		4,078.53		725.38	short	C	
SubTotal	321			\$84.11	\$26,997.77	\$26,997.77		\$24,064.34		(\$2,933.43)			
Microsoft Corp G/L Amount: \$711.03													
	95	7/16/2014	8/1/2014	43.87	4,167.45	4,167.45		4,061.16		106.29	short	C	
	284	7/17/2014	8/1/2014	44.88	12,745.47	12,745.47		12,140.73		604.74	short	C	
SubTotal	379			\$44.63	\$16,912.92	\$16,912.92		\$16,201.89		(\$711.03)			
Mobileye N V G/L Amount: \$2,041.45													
	84	8/6/2014	9/25/2014	36.91	3,100.40	3,100.40		4,131.11		1,030.71	short	C	
	85	8/6/2014	9/25/2014	36.91	3,137.31	3,137.31		4,148.05		1,010.74	short	C	
SubTotal	169			\$36.91	\$6,237.71	\$6,237.71		\$8,279.16		\$2,041.45			
Morgan Stanley G/L Amount: \$206.88													
	88	1/1/2013	1/13/2014	30.84	2,713.96	2,713.96		2,754.56		40.6	short	C	
	94	1/1/2013	10/15/2014	30.84	2,899.00	2,899.00		3,065.28		166.28	short	C	
SubTotal	182			\$30.84	\$5,612.96	\$5,612.96		\$5,819.84		\$206.88			
Netflix Inc G/L Amount: \$5,935.84													
	15	2/3/2014	3/27/2014	402.92	6,043.79	6,043.79		5,548.53		495.26	short	C	
	9	2/10/2014	3/27/2014	427.42	3,846.75	3,846.75		3,529.11		317.64	short	C	

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13	8/7/2014	10/17/2014	448.29	5,827.78	5,827.78	4,647.76	1,180.02	short	C
3	8/15/2014	11/19/2014	461.21	1,383.64	1,383.64	1,129.30	-254.34	short	C
14	9/25/2014	11/19/2014	450.74	6,310.30	6,310.30	5,770.09	-1,040.21	short	C
5	8/7/2014	11/12/2014	448.29	2,241.45	2,241.45	1,897.13	344.32	short	C
6	8/15/2014	11/12/2014	461.21	2,767.27	2,767.27	2,276.56	490.71	short	C
2	9/25/2014	11/20/2014	450.74	901.47	901.47	744.36	157.11	short	C
9	10/9/2014	11/20/2014	463.32	4,169.89	4,169.89	3,349.63	820.26	short	C
7	10/9/2014	11/20/2014	463.03	3,241.24	3,241.24	2,605.27	635.97	short	C
SubTotal	83		\$442.57	\$36,733.58	\$36,733.58	\$30,797.74	(\$5,935.84)		
Noble Energy Inc G/L Amount \$1,934.39									
64	11/21/2013	5/14/2014	74.59	4,933.01	4,933.01	4,702.90	230.11	short	C
224	11/21/2013	7/30/2014	74.59	16,708.38	16,708.38	15,083.60	1,624.78	short	C
56	12/10/2013	7/30/2014	68.94	3,860.40	3,860.40	3,770.90	89.50	short	C
SubTotal	346		\$73.68	\$25,491.79	\$25,491.79	\$23,557.40	(\$1,934.39)		
Nxp Semiconductors Nv G/L Amount \$1,029.12									
58	11/21/2013	1/3/2014	40.98	2,376.88	2,376.88	2,528.90	152.02	short	C
134	11/21/2013	1/10/2014	40.98	5,491.42	5,491.42	5,790.14	298.72	short	C
188	11/21/2013	1/14/2014	40.98	7,704.37	7,704.37	8,282.75	578.38	short	C
SubTotal	380		\$40.98	\$15,572.67	\$15,572.67	\$16,601.79	\$1,029.12		
Oracle Corp G/L Amount \$325.06									
95	3/27/2014	5/23/2014	39.46	3,748.28	3,748.28	3,981.16	232.88	short	C
45	3/27/2014	5/23/2014	39.46	1,775.50	1,775.50	1,880.68	105.18	short	C
111	3/27/2014	6/30/2014	39.46	4,379.57	4,379.57	4,524.83	145.25	short	C
106	3/27/2014	7/11/2014	39.46	4,182.29	4,182.29	4,258.07	75.78	short	C
43	3/28/2014	7/11/2014	39.71	1,707.64	1,707.64	1,727.33	19.69	short	C
105	3/28/2014	7/16/2014	39.71	4,169.83	4,169.83	4,232.69	62.86	short	C
1	3/28/2014	7/17/2014	39.71	39.71	39.71	40.37	0.66	short	C
230	4/1/2014	7/17/2014	41.78	9,609.98	9,609.98	9,286.21	323.77	short	C
79	4/29/2014	7/17/2014	40.29	3,183.08	3,183.08	3,189.61	6.53	short	C
SubTotal	815		\$40.24	\$32,795.88	\$32,795.88	\$33,120.94	\$325.06		
Pioneer Natural Resources Co G/L Amount \$2,422.32									
13	2/6/2014	7/9/2014	179.02	2,327.30	2,327.30	2,912.08	584.78	short	C
28	2/6/2014	8/15/2014	179.02	3,580.47	3,580.47	4,052.26	471.79	short	C
45	2/11/2014	8/15/2014	182.17	8,197.67	8,197.67	9,117.59	919.92	short	C
28	4/11/2014	8/15/2014	185.69	5,227.33	5,227.33	5,673.16	445.83	short	C
SubTotal	106		\$182.39	\$19,332.77	\$19,332.77	\$21,755.09	\$2,422.32		
Priceline Com Inc New G/L Amount \$675.81									
3	11/21/2013	2/18/2014	1,156.50	3,469.50	3,469.50	3,900.05	430.55	short	C
2	11/21/2013	2/20/2014	1,156.50	2,313.00	2,313.00	2,542.13	229.13	short	C
4	11/21/2013	5/13/2014	1,156.50	4,626.00	4,626.00	4,642.13	16.13	short	C
SubTotal	9		\$1,156.50	\$10,408.50	\$10,408.50	\$11,084.31	\$675.81		
Qualcomm Inc G/L Amount \$3,054.01									
111	11/21/2013	6/6/2014	70.99	7,879.94	7,879.94	8,888.77	1,008.83	short	C
77	11/21/2013	6/13/2014	70.99	5,466.26	5,466.26	6,081.19	614.93	short	C
78	11/21/2013	6/16/2014	70.99	5,537.25	5,537.25	6,129.70	592.45	short	C
104	11/21/2013	6/17/2014	70.99	7,383.00	7,383.00	8,220.80	837.80	short	C
SubTotal	370		\$70.99	\$26,266.45	\$26,266.45	\$29,320.46	\$3,054.01		
Ralph Lauren Corp Cl A G/L Amount \$2,403.02									
44	11/21/2013	2/5/2014	172.79	7,602.75	7,602.75	6,587.17	1,015.58	short	C
66	11/21/2013	2/6/2014	172.79	11,404.13	11,404.13	10,018.69	1,385.44	short	C
SubTotal	110		\$172.79	\$19,006.88	\$19,006.88	\$16,605.86	(\$2,401.02)		
Range Resources Corp G/L Amount \$196.51									
29	11/21/2013	4/24/2014	79.06	2,292.80	2,292.80	2,720.41	427.61	short	C
211	11/21/2013	7/22/2014	79.06	16,682.13	16,682.13	16,058.01	624.12	short	C
SubTotal	240		\$79.06	\$18,974.93	\$18,974.93	\$18,778.42	(\$196.51)		
Regeneron Pharm G/L Amount -\$450.42									
13	6/2/2014	6/27/2014	304.96	3,964.44	3,964.44	4,414.86	450.42	short	C
Rosa Stores Inc G/L Amount \$3,759.13									
81	11/21/2013	2/5/2014	80.68	6,535.08	6,535.08	5,288.98	1,246.10	short	C
118	11/21/2013	2/19/2014	80.68	9,520.24	9,520.24	8,035.87	1,484.37	short	C
91	11/21/2013	2/24/2014	80.68	7,341.88	7,341.88	6,313.27	1,028.61	short	C
SubTotal	290		\$80.68	\$23,397.20	\$23,397.20	\$19,638.07	(\$3,759.13)		
Sba Communications Corp G/L Amount \$1,096.59									
40	11/21/2013	9/11/2014	86.02	3,440.80	3,440.80	4,537.39	1,096.59	short	C
Schlumberger Ltd G/L Amount \$510.36									
42	11/21/2013	3/17/2014	91	3,822.00	3,822.00	3,765.68	56.32	short	C
41	11/21/2013	5/15/2014	91	3,731.00	3,731.00	4,068.97	337.97	short	C

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	39	11/21/2013	8/20/2014	91	3,549.00	3,549.00		4,265.51	716.51	short	C	
	70	11/21/2013	10/9/2014	91	6,370.00	6,370.00		6,680.06	310.06	short	C	
	69	11/21/2013	10/27/2014	91	6,279.00	6,279.00		6,433.88	154.88	short	C	
	47	11/21/2013	10/27/2014	91	4,277.00	4,277.00		4,374.28	97.28	short	C	
	17	12/27/2013	10/27/2014	89.74	1,525.64	1,525.64		1,582.18	56.54	short	C	
	38	12/27/2013	10/27/2014	89.74	3,410.26	3,410.26		3,519.10	108.84	short	C	
	50	6/26/2014	10/27/2014	116.92	5,845.81	5,845.81		4,630.39	-1,215.42	short	C	
SubTotal	413			593.97	\$38,809.71	\$38,809.71		\$39,320.07	\$510.36			
	36	8/27/2013	4/9/2014	46.19	1,662.67	1,662.67		1,867.38	204.71	short	C	
	59	8/27/2013	4/9/2014	46.02	2,717.90	2,717.90		3,060.43	342.53	short	C	
	56	8/27/2013	4/9/2014	46.02	2,577.01	2,577.01		2,904.82	327.81	short	C	
	40	9/9/2013	4/9/2014	48.71	1,948.51	1,948.51		2,074.87	126.36	short	C	
SubTotal	191			\$46.63	\$8,906.09	\$8,906.09		\$9,907.50	\$1,001.41			
	125	1/23/2014	4/1/2014	81.08	10,135.21	10,135.21		9,287.92	-847.29	short	C	
	28	7/7/2014	9/16/2014	223.2	6,249.51	6,249.51		7,137.73	888.22	short	C	
	16	8/4/2014	9/16/2014	237.25	3,795.92	3,795.92		4,078.71	282.79	short	C	
SubTotal	44			\$228.31	\$10,045.43	\$10,045.43		\$11,216.44	\$1,171.01			
	37	1/13/2014	10/14/2014	84.48	3,125.64	3,125.64		2,965.89	-159.75	short	C	
	33	1/13/2014	11/5/2014	84.48	2,787.74	2,787.74		2,374.52	-413.22	short	C	
	46	4/16/2014	11/5/2014	84.78	3,900.03	3,900.03		3,310.56	-589.47	short	C	
	45	4/21/2014	11/5/2014	85.29	3,837.99	3,837.99		3,238.59	-599.40	short	C	
SubTotal	161			\$84.79	\$13,651.40	\$13,651.40		\$11,890.01	-\$1,761.39			
	135	11/21/2013	7/16/2014	33.49	4,521.74	4,521.74		4,554.72	32.98	short	C	
	98	11/21/2013	7/24/2014	33.49	3,282.45	3,282.45		3,232.17	-50.28	short	C	
	180	11/21/2013	7/31/2014	33.49	6,028.99	6,028.99		5,777.22	-251.77	short	C	
	86	11/21/2013	7/18/2014	33.49	2,880.49	2,880.49		2,193.38	-687.11	short	C	W
	160	11/21/2013	7/18/2014	33.49	5,359.13	5,359.13		5,245.43	-113.70	short	C	
SubTotal	659			\$33.49	\$22,072.80	\$22,072.80		\$21,628.92	-\$443.88			
	11	6/17/2014	7/17/2014	37.4	411.4	411.4		402.44	-9.96	short	C	W
	55	6/17/2014	7/17/2014	37.4	2,057.08	2,057.08		2,017.30	-39.78	short	C	W
	46	6/18/2014	7/17/2014	37.99	1,747.28	1,747.28		1,687.15	-60.13	short	C	W
	52	6/18/2014	7/17/2014	37.99	1,975.25	1,975.25		1,907.28	-67.97	short	C	W
	96	6/17/2014	7/16/2014	37.4	3,590.51	3,590.51		3,595.44	4.93	short	C	
	11	6/17/2014	12/17/2014	38.71	425.8	425.8		388.69	-37.11	short	C	H
	55	6/17/2014	12/17/2014	40.2	2,210.93	2,210.93		1,943.44	-267.49	short	C	H
	20	6/18/2014	12/17/2014	40.78	815.65	815.65		706.71	-108.94	short	C	H
	26	6/18/2014	12/30/2014	40.78	1,060.35	1,060.35		926.41	-133.94	short	C	H
	52	7/1/2014	12/30/2014	48.27	2,509.97	2,509.97		1,852.83	-657.14	short	C	H
	92	7/30/2014	12/30/2014	46.96	4,320.47	4,320.47		3,278.08	-1,042.39	short	C	
SubTotal	516			\$40.94	\$21,124.69	\$21,124.69		\$175.84	-\$2,417.92			
	23	11/21/2013	9/17/2014	128.86	2,963.72	2,963.72		2,746.21	-217.51	short	C	
	44	11/21/2013	9/16/2014	79.24	3,486.39	3,486.39		4,737.40	1,251.01	short	C	
	32	11/21/2013	9/16/2014	79.24	2,535.55	2,535.55		3,412.21	876.66	short	C	
	18	11/21/2013	10/2/2014	79.24	1,426.25	1,426.25		1,908.09	481.84	short	C	
	32	11/21/2013	11/17/2014	79.24	2,535.55	2,535.55		3,863.84	1,328.29	short	C	
SubTotal	126			\$79.24	\$9,983.74	\$9,983.74		\$13,921.54	\$3,937.80			
	47	11/21/2013	4/2/2014	109.47	5,145.29	5,145.29		5,610.29	465.00	short	C	
	42	11/21/2013	7/11/2014	109.47	4,597.92	4,597.92		4,794.56	196.64	short	C	
	55	11/21/2013	7/17/2014	109.47	6,021.09	6,021.09		6,254.15	233.06	short	C	
	55	11/21/2013	7/18/2014	109.47	6,021.09	6,021.09		6,221.57	200.48	short	C	
	36	11/21/2013	7/1/2014	109.47	3,941.08	3,941.08		4,065.78	124.70	short	C	
SubTotal	235			\$109.47	\$25,726.47	\$25,726.47		\$26,946.35	\$1,219.88			
	44	4/23/2014	6/13/2014	134.3	5,908.98	5,908.98		5,254.51	-654.47	short	C	
	30	5/9/2014	6/13/2014	130.25	3,907.52	3,907.52		3,582.62	-324.90	short	C	
SubTotal	74			\$132.66	\$9,816.50	\$9,816.50		\$8,837.13	-\$979.37			
	221	3/28/2014	3/19/2014	47.62	10,523.53	10,523.53		10,291.41	-232.12	short	C	

Morgan Stanley Account #147355

[illegible]

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	WASH SALE COST ADJUST (\$)	PROCEEDS(\$)	WASH SALE LOSS DISALLOWED (\$)	G/L AMOUNT (\$)	HOLDING PERIOD	Covered Indicator	Wash Sale Indicator
Amazon Com Inc G/L Amount \$10 041 99													
	19	8/15/2012	1/31/2014	235 13	4,467 53	4 467 53		6 938 17		2 470 64	long	C	
	11	8/15/2012	2/3/2014	235 13	2,586 46	2 586 46		3 846 97		1 260 41	long	C	
	8	8/15/2012	2/12/2014	235 13	3,526 99	3 526 99		5 224 84		1,697 85	long	C	
	3	8/15/2012	4/25/2014	235 13	705 4	705 4		920 51		2 15 11	long	C	
	16	8/29/2012	4/25/2014	247 28	3 956 51	3 956 51		4 909 39		952,88	long	C	
	7	10/5/2012	4/25/2014	258 64	1,810 51	1,810 51		2 147 86		337 35	long	C	
	7	2/5/2013	4/25/2014	266 66	1,866 63	1,866 63		2 147 86		281 23	long	C	
	14	2/5/2013	4/28/2014	266 66	3 733 25	3,733 25		4 132 54		399 29	long	C	
	14	2/5/2013	4/28/2014	264 93	3 709 07	3,709 07		4 132 54		423 47	long	C	
	5	2/13/2013	4/28/2014	266 42	1 332 12	1,332 12		1 475 91		143 79	long	C	
	6	2/14/2013	4/28/2014	268 56	1 611 37	1 611 37		1 771 09		159 72	long	C	
	5	2/14/2013	7/25/2014	268 56	1 342 81	1,342 81		1 589 76		246 95	long	C	
	6	2/21/2013	7/25/2014	264 77	1 588 59	1,588 59		1 907 71		319 12	long	C	
	11	3/6/2013	7/25/2014	273 09	3,003 94	3,003 94		3 497 47		915 53	long	C	
	11	3/8/2013	7/25/2014	274 54	3 019 90	3 019 90		3 497 47		477 57	long	C	
	3	4/10/2013	7/25/2014	261 63	790 88	790 88		855 86		162 96	long	C	
SubTotal	153			\$255 24	\$39,051 96	\$39 051 96		\$49,093 95		\$10 041 99			
Amgen Inc G/L Amount \$2,469 85													
	29	11/21/2013	12/23/2014	112 76	3 270 04	3 270 04		4 802 31		1 532 77	long	C	
	19	11/21/2013	12/24/2014	112 76	2 142 44	2 142 44		3 080 02		937 58	long	C	
SubTotal	48			\$112 76	\$5 412 48	\$5 412 48		\$7 887 33		\$2 469 85			
Apple Inc G/L Amount \$6,109 98													
	51	10/21/2009	9/4/2014	29 46	1 502 55	1 502 55		5 068 78		3 566 23	long		
	19	10/21/2009	9/10/2014	29 46	559 77	559 77		1 905 98		1 346 21	long		
	14	2/1/2010	9/10/2014	27 69	387 61	387 61		1 404 41		1 016 80	long		
	3	9/20/2010	9/10/2014	40 07	120 2	120 2		300 94		180 74	long		
SubTotal	87			\$29 54	\$2 570 13	\$2 570 13		\$8,680 11		\$6 109 98			
Arm Holdings Plc Ad G/L Amount \$315.35													
	37	5/2/2013	11/10/2014	47 2	1 746 46	1 746 46		1 552 01		194 45	long	C	
	19	5/3/2013	11/10/2014	48 31	917 88	917 88		796 98		-120 9	long	C	

Morgan Stanley Account #147355

SubTotal			\$67.58	\$2,664.34	\$2,664.34	\$3,348.99				(5315.35)		
						Baidu Inc Ads G/L Amount \$7,684.63						
	13	10/24/2013	11/17/2014	161.34	2,097.48	2,097.48	3,151.89					
	22	10/24/2013	11/18/2014	161.34	3,549.58	3,549.58	5,332.22			1,064.41	long	C
	16	10/25/2013	11/18/2014	160.71	2,571.31	2,571.31	3,877.97			1,306.66	long	C
	27	10/24/2013	11/13/2014	161.34	4,356.30	4,356.30	6,638.75			2,282.45	long	C
	6	10/25/2013	11/25/2014	160.71	964.24	964.24	1,473.68			509.44	long	C
	8	10/25/2013	12/4/2014	160.71	1,285.66	1,285.66	1,875.22			589.56	long	C
	2	10/25/2013	12/4/2014	159.67	319.34	319.34	468.81			149.47	long	C
SubTotal	94			\$161.11	\$15,143.91	\$15,143.91	\$22,828.54			\$7,684.63		
							Celgene Corp G/L Amount \$5,401.06					
	35	8/26/2013	9/10/2014	71.06	2,486.96	2,486.96	3,283.84			796.88	long	C
	38	8/26/2013	10/22/2014	71.06	2,700.12	2,700.12	3,604.21			904.09	long	C
	7	8/26/2013	11/7/2014	71.06	497.39	497.39	744.63			247.22	long	C
	41	8/27/2013	11/7/2014	69.12	2,833.95	2,833.95	4,351.27			1,517.32	long	C
	43	8/27/2013	12/4/2014	69.12	2,972.19	2,972.19	4,897.74			1,925.55	long	C
SubTotal	164			\$70.07	\$11,490.61	\$11,490.61	\$16,891.67			\$5,401.06		
							Danaher Corporation G/L Amount \$199.29					
	22	11/21/2013	12/16/2014	74.27	1,633.94	1,633.94	1,833.23			199.79	long	C
							Facebook Inc Cl-A G/L Amount \$4,134.84					
	31	7/30/2013	8/21/2014	37.45	1,160.98	1,160.98	2,325.10			1,164.12	long	C
	74	7/30/2013	9/11/2014	37.45	2,771.37	2,771.37	5,742.09			2,470.77	long	C
SubTotal	105			\$37.45	\$3,932.35	\$3,932.35	\$8,067.19			\$4,134.84		
							Gilead Science G/L Amount \$19,039.84					
	77	1/19/2012	3/21/2014	23.57	1,815.08	1,815.08	5,643.72			1,828.40	long	
	49	1/19/2012	4/11/2014	23.57	1,155.09	1,155.09	3,349.90			2,194.80	long	
	46	3/7/2012	4/11/2014	22.45	1,122.33	1,122.33	3,418.16			2,295.83	long	
	56	7/12/2012	4/11/2014	25.38	1,421.11	1,421.11	3,828.45			2,407.34	long	C
	37	7/12/2012	4/11/2014	24.93	922.54	922.54	2,529.52			1,606.98	long	C
	11	7/12/2012	7/14/2014	24.93	274.27	274.27	588.47			714.23	long	C
	44	7/20/2012	7/14/2014	26.72	1,175.60	1,175.60	3,953.88			2,778.25	long	C
	8	7/20/2012	12/24/2014	26.72	213.74	213.74	732.11			518.37	long	C
	43	8/29/2012	12/24/2014	28.83	1,239.83	1,239.83	3,935.08			2,695.25	long	C
SubTotal	375			\$24.91	\$9,339.55	\$9,339.55	\$28,379.39			\$19,039.84		
							LinkedIn Corp A G/L Amount \$530.90					
	13	11/20/2012	4/28/2014	106.07	1,378.95	1,378.95	1,909.85			530.90	long	C

Morgan Stanley Account #147355

	13	3/7/2012	9/3/2014	48.79	634.22	634.22	1,000.13	365.91	long		
	25	3/9/2012	9/3/2014	51.84	1,296.11	1,296.11	1,923.33	627.72	long		
	34	3/9/2012	10/3/2014	51.84	1,762.70	1,762.70	2,572.90	810.2	long		
	22	3/9/2012	12/16/2014	51.84	1,140.57	1,140.57	1,750.77	610.2	long		
	12	3/14/2012	12/16/2014	52.8	633.65	633.65	954.96	321.31	long		
SubTotal	209			547.77	\$9,984.87	\$9,984.87	\$16,187.30	\$6,202.43			
Zoetis Inc Class-A [G/L Amount \$661.14											
	53	11/21/2013	12/8/2014	31.53	1,671.13	1,671.13	2,332.27	661.14	long	C	
Long Term Total					\$141,808.70	\$141,808.70	\$218,265.30	\$76,456.60			
Grand Total					\$1,333,283.96	\$1,333,283.96	\$850.66	\$1,380,066.28	\$784.97	\$46,782.32	

P - When there is a "P" next to a transaction, this indicates that you have provided the trade history for this transaction; it was not available through Morgan Stanley Smith Barney LLC records.

C - Tax lot is covered under cost basis reporting requirements.

H - Wash sale rules apply to this tax lot. The cost basis has been adjusted to account for disallowed loss of a related wash sale transaction for this covered security.

W - Wash sale rules apply to this tax lot. Disallowed loss for covered securities will be reported on Form 1099-B for the current tax year.

I - This tax lot has been marked as inherited. Cost basis, acquisition date and holding period have been adjusted accordingly.

G - This tax lot has been marked as a gift. Gift basis rules will apply at the time of sale.

A - Information for this transaction reflects your requested adjustments to existing Morgan Stanley Smith Barney LLC trade history.

B - This adjusted cost figure is for reference purposes only. It is based on the assumption that the deposit note value has not changed since the issuance. This assumption may not apply to you. Please review with your tax advisor on what is the best method for allocating cost basis for your account.

E - This transaction was executed elsewhere and the securities were later transferred to your Morgan Stanley Smith Barney LLC account. The trade history for this transaction was provided to Morgan Stanley Smith Barney LLC by your prior financial institution.

M - Options exercise and assignments include options premiums when determining the realized gain and loss.

Disclaimer

The gain and loss information is provided for informational purposes only. It is not a substitute 1099 form (or any other appropriate tax form) and should not be used for tax preparation. Unrealized gain and loss values are estimates and should be independently verified. Morgan Stanley does not provide tax advice. We recommend that you contact your tax advisor to determine the appropriate use of this information. Past performance does not guarantee future returns.

Gain and loss information is calculated based upon uniform standards and does not account for each individual client's particular circumstances such as the existence of hedging transactions or constructive sales. Although we make every effort to adjust the cost basis for securities capital changes, we do not adjust the cost basis for all events. Contact your Financial Advisor for guidance on particular questions.

For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis.

With respect to estimated gains and losses for listed equity options, we have taken into account option premiums paid or received and, in respect to multiple purchases and/or sales, calculated cost using an average unit price for all like positions.

We are not responsible for any gain and loss information provided by you or another financial institution; you are responsible for ensuring the accuracy of such information. Whether provided by you, another financial institution or Morgan Stanley, it is your responsibility to ensure the accuracy of all of the Estimated Gain and Loss information.

For clients wishing to make versus purchase sales that information needs to be conveyed at the time of the sale. Unless you tell us otherwise, we use first in first out (FIFO) accounting.

RICE FOUNDATION

36-6043160

STATEMENT 16
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ACCESS (COMMUNITY HEALTH NETWORK) 600 WEST FULTON STREET, 2ND FLOOR CHICAGO, IL 60661	NONE	EXEMPT	CHARITABLE	\$ 5,000.
ADVOCATE ILLINOIS MASONIC MEDICAL CENTER 836 WEST WELLINGTON CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	5,000.
AMERICAN DIABETES ASSOCIATION 55 EAST MONROE STREET, SUITE 3420 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	5,000.
ANTI CRUELTY SOCIETY 157 W GRAND AVENUE CHICAGO, IL 60654	NONE	EXEMPT	CHARITABLE	5,400.
AVENUES TO INDEPENDENCE 515 BUSSE HIGHWAY PARK RIDGE, IL 60068	NONE	EXEMPT	CHARITABLE	10,000.
BIG SHOULDERS FUND 212 WEST VAN BUREN STREET, SUITE 90 CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	10,000.
BLUE CAP 2155 BROADWAY BLUE ISLAND, IL 60406	NONE	EXEMPT	CHARITABLE	5,000.
BY THE HAND CLUB FOR KIDS (FORMERLY KIDS) 1000 NORTH SEDGWICK CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	225,000.
CANINE THERAPY CORPS 1700 W. IRVING PARK ROAD, SUITE 311 CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	5,000.
CAREER TRANSITIONS CENTER OF CHICAGO 703 WEST MONROE STREET CHICAGO, IL 60661	NONE	EXEMPT	CHARITABLE	10,000.

RICE FOUNDATION

36-6043160

STATEMENT 16 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CATHOLIC CHARITIES - SAINT VINCENT 721 N LASALLE STREET CHICAGO, IL 60654	NONE	EXEMPT	CHARITABLE	\$ 5,000.
CENTER OF CONCERN 1580 N. NORTHWEST HIGHWAY, SUITE 310 PARK RIDGE, IL 60068	NONE	EXEMPT	CHARITABLE	5,000.
CHICAGO CHILDREN'S ADVOCACY CENTER 1240 SOUTH DAMEN AVENUE CHICAGO, IL 60608	NONE	EXEMPT	CHARITABLE	5,000.
CHICAGO HOUSE 1925 NORTH CLYBOURN CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.
CHICAGO WOMENS HEALTH CENTER 1025 W SUNNYSIDE AVENUE CHICAGO, IL 60640	NONE	EXEMPT	CHARITABLE	5,000.
CHICAGO YOUTH SYMPHONY ORCHESTRA 410 SOUTH MICHIGAN, SUITE 833 CHICAGO, IL 60605	NONE	EXEMPT	CHARITABLE	5,000.
CHILDREN'S HOME & AID SOCIETY 125 SOUTH WACKER DRIVE, 14TH FLOOR CHICAGO, IL 60606	NONE	EXEMPT	CHARITABLE	20,000.
CHILDREN'S ONCOLOGY SERVICES, INC. 213 WEST INSTITUTE PLACE, SUITE 511 CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	5,000.
CHILDSERV 8765 WEST HIGGINS ROAD, SUITE 450 CHICAGO, IL 60631	NONE	EXEMPT	CHARITABLE	5,000.
CONNECTIONS FOR THE HOMELESS 2121 DEWEY AVENUE EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

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STATEMENT 16 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CRISIS CENTER FOR SOUTH SUBURBIA P.O. BOX 39 TINLEY PARK, IL 60477	NONE	EXEMPT	CHARITABLE	\$ 5,000.
DE LA SALLE INSTITUTE 3455 S WABASH AVE CHICAGO, IL 60616	NONE	EXEMPT	CHARITABLE	5,000.
DELTA WATERFOWL FOUNDATION PO BOX 3128 BISMARCK, ND 58502	NONE	EXEMPT	CHARITABLE	5,000.
DREAMS FOR KIDS 155 NORTH MICHIGAN AVENUE, SUITE 700 CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	5,000.
EPILEPSY SERVICES FOR NORTHEASTERN ILLIN 1698 FIRST STREET HIGHLAND PARK, IL 60035	NONE	EXEMPT	CHARITABLE	4,000.
EQUESTRIAN CONNECTION 872 SOUTH MILWAUKEE AVENUE BOX 273 LIBERTYVILLE, IL 60048	NONE	EXEMPT	CHARITABLE	5,000.
FACETS MULTIMEDIA, INC. 1517 WEST FULLERTON AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.
FIELDSMUSEUM 1400 S LAKE SHORE DRIVE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	250,000.
FOUNDATION FOR PERIPHERAL NEUROPATHY 485 HALF DAY ROAD BUFFALO GROVE, IL 60089	NONE	EXEMPT	CHARITABLE	5,500.
GILDAS CLUB CHICAGO 537 NORTH WELLS STREET CHICAGO, IL 60654	NONE	EXEMPT	CHARITABLE	25,000.
HARRIS THEATER FOR MUSIC AND DANCE 205 EAST RANDOLPH DRIVE CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

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STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
HADDLEY SCHOOL FOR BLIND 700 ELM STREET WINNETKA, IL 60093	NONE	EXEMPT	CHARITABLE	\$ 5,000.
HEARTLAND ALLIANCE 208 S LASALLE CHICAGO, IL 60604	NONE	EXEMPT	CHARITABLE	5,000.
ILLINOIS CONSERVATION FOUNDATION ONE NATURAL RESOURCES WAY SPRINGFIELD, IL 62702	NONE	EXEMPT	CHARITABLE	50,000.
HEPZIBAH 1144 LAKE STREET OAK PARK, IL 60301	NONE	EXEMPT	CHARITABLE	5,000.
INTERFAITH HOUSE 3456 WEST FRANKLIN BOULEVARD CHICAGO, IL 60624	NONE	EXEMPT	CHARITABLE	5,000.
JEWISH COUNCIL FOR YOUTH SERVICES 180 WEST WASHINGTON ST., SUITE 1100 CHICAGO, IL 60602	NONE	EXEMPT	CHARITABLE	5,000.
LAKEVIEW PANTRY 3831 NORTH BROADWAY CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	150,000.
LAWRENCE HALL 4833 NORTH FRANCISCO AVENUE CHICAGO, IL 60625	NONE	EXEMPT	CHARITABLE	5,000.
LIFE SPAN 70 EAST LAKE STREET, SUITE 700 CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	5,000.
LITTLE BROTHERS - FRIENDS OF THE ELDERL 355 N ASHLAND AVENUE CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	5,000.
LITTLE SISTERS OF THE POOR - ST. MARY'S 2325 NORTH LAKEWOOD AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	10,000.

RICE FOUNDATION

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STATEMENT 16 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
LUTHERAN SOCIAL SERVICES OF ILLINOIS 1001 EAST TOUHY AVENUE, SUITE 50 DES PLAINES, IL 60018	NONE	EXEMPT	CHARITABLE	\$ 5,000.
MAINE NILES ASSOC. OF SPECIAL RECREATION 6820 WEST DEMPSTER STREET MORTON GROVE, IL 60053	NONE	EXEMPT	CHARITABLE	10,000.
MEALS AT HOME 1123 EMERSON STREET EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	5,000.
MAX MCGRAW WILDLIFE FOUNDATION P.O. BOX 9 DUNDEE, IL 60118	NONE	EXEMPT	CHARITABLE	150,000.
MCGAW YMCA 1000 GROVE STREET EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	5,000.
METROSQUASH 5655 SOUTH UNIVERSITY AVENUE CHICAGO, IL 60637	NONE	EXEMPT	CHARITABLE	5,000.
MIDTOWN EDUCATIONAL FOUNDATION 718 SOUTH LOOMIS STREET CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	20,000.
NEW FOUNDATION CENTER 444 WEST FRONTAGE ROAD NORTHFIELD, IL 60093	NONE	EXEMPT	CHARITABLE	25,000.
NIGHT MINISTRY 4711 NORTH RAVENSWOOD AVENUE CHICAGO, IL 60640	NONE	EXEMPT	CHARITABLE	10,000.
NORTH SHORE CENTER FOR THE PERFORMING AR 9501 SKOKIE BOULEVARD SKOKIE, IL 60077	NONE	EXEMPT	CHARITABLE	89,000.
NORTHEASTERN IL PUBLIC SAFETY TRAINING 2300 PATRIOT BOULEVARD GLENVIEW, IL 60026	NONE	EXEMPT	CHARITABLE	75,000.

RICE FOUNDATION

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STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NORTHSHORE UNIVERSITY HEALTHSYSTEM 1033 UNIVERSITY PLACE, SUITE 450 EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	\$ 150,000.
NORTHWESTERN UNIVERSITY 2205 TECH DRIVE EVANSTON, IL 60208	NONE	EXEMPT	CHARITABLE	62,500.
ONWARD NEIGHBORHOOD HOUSE 600 NORTH LEAVITT STREET CHICAGO, IL 60612	NONE	EXEMPT	CHARITABLE	5,000.
OPERATION NORTH POLE, INC. 50 WEST OAKTON STREET DES PLAINES, IL 60018	NONE	EXEMPT	CHARITABLE	100,000.
ORCHARD VILLAGE 7670 MARMORA AVENUE SKOKIE, IL 60077	NONE	EXEMPT	CHARITABLE	10,000.
PACIFIC GARDEN MISSION P.O. BOX 317 WHEATON, IL 60187	NONE	EXEMPT	CHARITABLE	5,000.
PAWS CHICAGO 1997 NORTH CLYBOURN AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	10,000.
PRESENCE HEALTH (FORMERLY RESURRECTION D 2900 NORTH LAKE SHORE DRIVE CHICAGO, IL 60657	NONE	EXEMPT	CHARITABLE	10,000.
PATHWAYS.ORG 1997 N CLYBOURN CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.
PROVIDENCE-ST. MEL 119 SOUTH CENTRAL PARK BOULEVARD CHICAGO, IL 60624	NONE	EXEMPT	CHARITABLE	10,000.
RAINBOWS 1360 HAMILTON PARKWAY ITASCA, IL 60143	NONE	EXEMPT	CHARITABLE	5,000.
RAVINIA 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	NONE	EXEMPT	CHARITABLE	35,000.

RICE FOUNDATION

36-6043160

STATEMENT 16 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	\$ 1,000,000.
RUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN, SUITE 250 CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	75,000.
SNOW CITY ARTS FOUNDATION 630 SOUTH HERMITAGE, SUITE 103K CHICAGO, IL 60612	NONE	EXEMPT	CHARITABLE	5,000.
SOUTHWEST CHICAGO P.A.D.S. 3121 W. 71ST ST. PO BOX 29453 CHICAGO, IL 60629	NONE	EXEMPT	CHARITABLE	10,000.
STEPPENWOLF THEATER COMPANY 758 WEST NORTH AVENUE CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	5,000.
THE NATURE CONSERVANCY IN ILLINOIS 8 SOUTH MICHIGAN AVENUE, SUITE 900 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	250,000.
THRESHOLDS 4101 NORTH RAVENSWOOD AVENUE CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	15,000.
TOUCHED BY AN ANIMAL 2131 WEST BERWYN, P.O. BOX 59067 CHICAGO, IL 60659	NONE	EXEMPT	CHARITABLE	10,000.
TUESDAY'S CHILD C/O GORDON TECH HS 3633 NORTH CALIFORNIA AVENUE CHICAGO, IL 60618	NONE	EXEMPT	CHARITABLE	5,000.
YOUNG WOMEN'S LEADERSHIP CHARTER SCHOOL 2641 SOUTH CALUMET AVENUE CHICAGO, IL 60616	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

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STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
YOUTH JOB CENTER OF EVANSTON, INC. 1114 CHURCH STREET EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	\$ 5,000.
GOLDIES PLACE 5705 N LINCOLN AVENUE CHICAGO, IL 60659	NONE	EXEMPT	CHARITABLE	5,000.
VILLAGE OF SKOKIE PO BOX 702 SKOKIE, IL 60077	NONE	EXEMPT	CHARITABLE	1,500.
TOTAL				\$ <u>3,117,900.</u>

STATEMENT 17
FORM 990-PF, PART XV, LINE 3B
RECIPIENT APPROVED FOR FUTURE PAYMENT

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BY THE HAND CLUB 1000 NORTH SEDGWICK CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	\$ 225,000.
NORTHWESTERN UNIVERSITY 2205 TECH DRIVE EVANSTON, IL 60208	NONE	EXEMPT	CHARITABLE	62,500.
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	3,000,000.
THE NATURE CONSERVANCY IN ILLINOIS 8 SOUTH MICHIGAN AVENUE, SUITE 900 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	500,000.
FIELD MUSEUM 1400 S LAKE SHORE DRIVE CHICAGO, IL 60605	NONE	EXEMPT	CHARITABLE	1,750,000.
TOTAL				\$ <u>5,537,500.</u>

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FEDERAL STATEMENTS

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RICE FOUNDATION

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STATEMENT 18
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
HF CHILTON GLN RES LTD			1		\$ 571.
LITIGATION PROCEEDS			14		\$ 58,199.
MORGAN STANLEY #147341			15		321,328.
OIL ROYALTIES			1		
OTHER			14		-15,365.
WESTMINSTER FUND VI LOSS			14		-3,377.
WESTMINSTER FUND VII LOSS			14		
TOTAL		\$ 0.		\$ 0.	\$ 361,356.

PART XV - SUPPLEMENTARY INFORMATION
GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

BY THE HAND CLUB -	\$450,000
NORTHWESTERN UNIVERSITY -	\$125,000
REHABILITATION INSTITUTE OF CHICAGO -	\$4,000,000
THE NATURE CONSERVANCY -	\$750,000
TOTAL	\$5,325,000

**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RICE FOUNDATION	36-6043160
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	8600 GROSS POINT ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	SKOKIE, IL 60077-2151	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE RICE FOUNDATION

Telephone No ► 847-581-9999

Fax No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 2014 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	31,876.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	202,946.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.