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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2014

Open to Public Inspection

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation Dan and Micki Chapin Fund, Inc.		A Employer identification number 36-4147435
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 847 909 8351
P O Box 550		C If exemption application is pending, check here <input type="checkbox"/>
City or town, state or province, country, and ZIP or foreign postal code Hampshire, IL 60140-0550		D 1. Foreign organizations, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity		D 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Final return <input type="checkbox"/> Amended return		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Address change <input type="checkbox"/> Name change		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 3059357	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1057025			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	32640	32640	32640	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	131371			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		131371		
	8 Net short-term capital gain			8336	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	829				
12 Total. Add lines 1 through 11	1221864	164010	40976		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	12731	12731	12731	12731
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	480	480	480	480
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25	25	25	25
	24 Total operating and administrative expenses. Add lines 13 through 23	13236	13236	13236	13236
	25 Contributions, gifts, grants paid	71000			71000
26 Total expenses and disbursements. Add lines 24 and 25	84236	13236	13236	84236	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1137629				
b Net investment income (if negative, enter -0-)		150775			
c Adjusted net income (if negative, enter -0-)			27740		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	14448	63451	63451
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1124100	1544117	2921283
	c	Investments—corporate bonds (attach schedule)	34992	34992	50850
	11	Investments—land, buildings, and equipment: basis ▶			
Liabilities		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Commodities)		29310	23773
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1173540	1671870	3059357
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1173540	1671870	
Net Assets or Fund Balances	30	Total net assets or fund balances (see instructions)			
	31	Total liabilities and net assets/fund balances (see instructions)	1173540	1671870	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1173540
2	Enter amount from Part I, line 27a	2	1137629
3	Other increases not included in line 2 (itemize) ▶ Timing Differences	3	-55465
4	Add lines 1, 2, and 3	4	2255704
5	Decreases not included in line 2 (itemize) ▶ Stock donation FMV over tax basis	5	583834
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1671870

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STMT Attached LT BMO				
b STMT Attached ST BMO				
c Capital Gain Dividend				
d STMT Attached LT NORTHERN				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			76701	
b			8336	
c			11739	
d			34595	
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	131371
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	8336

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	76584	1592816	.04803
2012	51090	1200363	.04256
2011	58197	1123882	.05178
2010	56397	1033608	.05456
2009	58764	934362	.06289
2 Total of line 1, column (d)			2 .25982
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .05196
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 1967215
5 Multiply line 4 by line 3			5 102216
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1507
7 Add lines 5 and 6			7 103723
8 Enter qualifying distributions from Part XII, line 4			8 84236

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		3016
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		3016
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a		4443
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		4443
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		1427
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax 1427 Refunded 11	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	✓
c Did the foundation file Form 1120-POL for this year?	1c	✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	✓
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	✓
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Illinois		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	8b	✓
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ Daniel Chapin	Telephone no. ▶	847 909 8351	
	Located at ▶ PO Box 550, Hampshire, IL	ZIP+4 ▶	60140-0550	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Daniel R Chapin PO Box 550, Hampshire, IL 60140-0550	Pres/Dir nil	-0-	-0-	-0-
Marilyn M Chapin same	Sec/Dir nil	-0-	-0-	-0-
Cristopher J Chiverina same	Dir nil	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BMO Bank, 201 S Grove Ave., Barrington, IL 60010	Investment Management	12731
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Foundation makes unrestricted donations to qualified charitable organizations.	nil
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1977777
b	Average of monthly cash balances	1b	19396
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1997173
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1997173
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	29958
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1967215
6	Minimum investment return. Enter 5% of line 5	6	98361

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	98361
2a	Tax on investment income for 2014 from Part VI, line 5 2a	3016	
b	Income tax for 2014. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	3016
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	95345
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	95345
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	95345

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	84236
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	84236
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	84236

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				95345
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014:				
a From 2009	12229			
b From 2010	6107			
c From 2011	3558			
d From 2012	0			
e From 2013	0			
f Total of lines 3a through e	21894			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ _____ 84236				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				11109
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	10585			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	1120			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	9665			
10 Analysis of line 9:				
a Excess from 2010	6107			
b Excess from 2011	3558			
c Excess from 2012	0			
d Excess from 2013	0			
e Excess from 2014	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	N/A				
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Daniel R and Marilyn M Chapin, PO Box 550, Hampshire, IL 60140-0550

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> STMT Attached				71000
Total			3a	71000
b <i>Approved for future payment</i>				
Total			3b	71000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	N/A					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | |
| | (2) Other assets | 1a(2) | | |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | |
| | (4) Reimbursement arrangements | 1b(4) | | |
| | (5) Loans or loan guarantees | 1b(5) | | |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

3/15/15
Date

Pres
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Self Prepared

Firm's name ▶

Firm's address ►

Firm's EIN ►

Phone no

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2014

Name of the organization

Dan and Micki Chapin Fund, Inc.

Employer identification number

36-4147435

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Daniel R and Marilyn M Chapin PO Box 550, Hampshire, IL 60140-0550	\$ 1057000	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	Daniel R and Marilyn M Chapin PO Box 550, Hampshire, IL 60140-0550	\$ 25	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer Identification number

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	5000 Shs of AGN, Allergen	\$ 1057000	12/23/14
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Dan and Mickic Chapin Fund, Inc.

Income, Expense and Distribution Summay
36-4147435

2014

<u>Income</u>	<u>A</u>	<u>B</u>	<u>C</u>	<u>D</u>
Stock Donated by Chapins	1057000			
Cash Donated by Chapins	25			
Dividend Income	32640	32640	32640	
Short Term Capital Gain	8336	8336	8336	
Long Term Capital Gain	111296	111296		
Capital Gain Dividends	11739	11739		
<i>Subtotal Capital Gains</i>	<i>131371</i>			
Non Income Distributions	829			
<u>Total Income</u>	1221864	164010	40976	
<u>Expenses</u>				
Filing Fees	25	25	25	25
Foreign Taxes	480	480	480	480
US Taxes	0	0	0	0
Agency Fees	12731	12731	12731	12731
Misc.	0	0	0	0
Total Expenses	13236	13236	13236	13236
Charitable Contributions	71000			71000
Total Expenses & Distributions	84236			84236
Surplus	1137629			
Net Investment Income		150775		
Adjusted Net Income			27740	

Dan and Micki Chapin Fund
36-4147435

2014
Contributions

Idaho Public Television	2000
1455 N. Orchard St.	Boise, ID 83706-2239
Camp Rainbow Gold	1500
2676 S. Vista Avenue	Boise, ID 83705
Boise State Public Radio	2000
1910 University Dr.	Boise, ID 83725-1915
Sun Valley Center for the Arts	1500
P. O. Box 656	Sun Valley, ID 83353
Animal Shelter-Wood River Valley	1500
PO Box 1496	Hailey, ID 83333
Sun Valley Summer Symphony	5000
P. O. Box 1914	Sun Valley, ID 83353
Spectrum Institute for Low Vision	12500
219 E. Cole Ave.	Wheaton, IL 60187
Salvation Army	5000
5040 N. Pulaski Rd.	Chicago, IL 60630-2788
Anticruelty Society	1500
157 W Grand Ave	Chicago, IL 60654-7174
Assisi Animal Foundation	1500
P. O. Box 143	Crystal Lake, IL 60039-143
St. Luke's Wood River Foundation	750
PO Box 7005.	Ketchum ID 83340
Sun Valley Jazz Jamboree	1500
P. O. Box 2745	Sun Valley, ID 83353
National Trust for Historic Preservation	1500
1785 Massachusetts Ave. NW	Washington, DC 20036-2117
Save A Pet	1500
31664 N. Fairfield Rd.	Grayslake, IL 60030
Wood River Women's Charitable Foundation	2500
PO Box 3686	Ketchum ID 83340
Hunger Coalition	3000
121 Honeysuckle St.	Bellevue, ID 83313-5095
Boise Philharmonic	2500
516 S. 9th St.	Boise, ID 83702

The Advocates		3000
P. O. Box 3216	Hailey, ID 83333	
Wood River Land Trust		3500
119 E. Bullion St.	Hailey, ID 83333	
Hospice of the Wood River Valley		2500
P. O. Box 4320	Ketchum ID 83340	
Wounded Warrior Project		2500
120 G Street NW, Suite 700	Washington, DC 20005	
Senior Connection		2000
P. O. Box 28	Hailey, ID 83333	
Crisis Hotline		1500
P. O. Box 939	Ketchum ID 83340	
Ketchum Jazz in the Park		750
% W. Caldwell, P. O. Box 1450	Sun Valley, ID 83353	
Sawtooth Society		1500
P. O. Box 209	Stanley, ID 83278	
Doctors Without Borders USA		5000
333 7th Ave.	New York, NY 10001-5004	
Sawtooth Botanical Gardens		1500
PO Box 928	Sun Valley, ID 83353	
Total		71000

2014 Tax Information Statement

Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSPHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

☐ Corrected ☐ 2nd TIN notice

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

2014 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
AGCO CORPORATION COMMON STOCK									
001084102	02/20/2014	09/20/2013	16,172.81	18,684.82		0.00	-2,512.01	0.00	0.00
ALLERGAN INC COMMON STOCK									
018490102	05/15/2014	04/17/2014	18,095.36	15,301.28		0.00	2,794.08	0.00	0.00
BABCOCK & WILCOX									
05615F102	03/20/2014	05/20/2013	9,560.31	8,638.96		0.00	921.35	0.00	0.00
BERKSHIRE HATHAWAY INC-CL B									
084670702	03/20/2014	09/20/2013	11,726.55	11,176.74		0.00	549.81	0.00	0.00
CANADIAN NATURAL RESOURCES LTD COMMON STOCK									
136385101	09/18/2014	04/17/2014	13,161.78	13,043.68		0.00	118.10	0.00	0.00
CELGENE CORPORATION COMMON STOCK									
151020104	04/17/2014	Various	11,359.76	9,573.52		0.00	1,786.24	0.00	0.00
CIGNA CORPORATION COMMON STOCK									
125509109	01/16/2014	04/22/2013	6,224.99	4,542.30		0.00	1,682.69	0.00	0.00
DOVER CORPORATION COMMON STOCK									
260003108	03/20/2014	01/16/2014	5,270.09	5,208.99		0.00	61.10	0.00	0.00
FRANKLIN RESOURCES INC COMMON STOCK									
354613101	02/20/2014	06/21/2013	7,916.39	6,913.24		0.00	1,003.15	0.00	0.00

This is important tax information and is being furnished to you.



2014 Tax Information Statement

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Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
HUMANA INC COMMON STOCK									
444859102	10/16/2014	08/21/2014	8,935.14	8,649.39		0.00	285.75	0.00	0.00
KNOWLES CORPORATION									
49926D109	03/07/2014	01/16/2014	14.94	15.80		0.00	-0.86	0.00	0.00
KNOWLES CORPORATION									
49926D109	03/20/2014	01/16/2014	1,021.09	1,011.05		0.00	10.04	0.00	0.00
MASTERCARD INC-A									
57636Q104	04/17/2014	12/23/2013	7,421.85	8,140.09		0.00	-718.24	0.00	0.00
OSHKOSH TRUCK CORPORATION COMMON STOCK CLASS B									
688239201	03/20/2014	08/15/2013	3,993.81	3,197.79		0.00	796.02	0.00	0.00
PETSMART INC COMMON STOCK									
716768106	07/17/2014	11/21/2013	5,173.15	5,628.05		0.00	-454.90	0.00	0.00
ROCK TENN COMPANY CL A COMMON STOCK									
772739207	01/16/2014	07/19/2013	5,530.15	5,945.37		0.00	-415.22	0.00	0.00
TE CONNECTIVITY LIMITED									
H84989104	01/16/2014	06/21/2013	8,338.23	6,776.76		0.00	1,561.47	0.00	0.00
TYSON FOODS INC CLASS A COMMON STOCK									
902494103	06/19/2014	02/20/2014	8,412.72	9,141.59		0.00	-728.87	0.00	0.00

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2014 Tax Information Statement

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P.O. BOX 550
HAMPSHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
UNION PACIFIC CORPORATION COMMON STOCK									
907818108	01/16/2014	02/22/2013	838.49	681.47		0.00	157.02	0.00	0.00
UNUMPROVIDENT CORPORATION COMMON STOCK									
91529Y106	03/20/2014	05/20/2013	4,267.78	3,382.98		0.00	884.80	0.00	0.00
XL GROUP PUBLIC LIMITED COMPANY									
G98290102	05/15/2014	06/21/2013	8,761.03	8,206.53		0.00	554.50	0.00	0.00
Total Short Term Sales			162,196.42	153,860.40		0.00	8,336.02	0.00	0.00
Long Term Sales									
ALLIANCE DATA SYSTEMS CORP COMMON STOCK									
018581108	04/17/2014	Various	13,730.94	7,870.22		0.00	5,860.72	0.00	0.00
ALLIANCE DATA SYSTEMS CORP COMMON STOCK									
018581108	08/21/2014	09/25/2012	6,687.35	3,554.25		0.00	3,133.10	0.00	0.00
AMERICAN EXPRESS COMPANY CAPITAL STOCK									
025816109	08/21/2014	08/15/2013	8,446.69	7,119.52		0.00	1,327.17	0.00	0.00
BOEING COMPANY COMMON STOCK									
097023105	09/22/2014	09/20/2013	9,704.05	8,839.45		0.00	864.60	0.00	0.00

This is important tax information and is being furnished to you.



2014 Tax Information Statement

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Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
BOEING COMPANY COMMON STOCK									
097023105	10/16/2014	09/20/2013	4,829.50	4,714.38		0.00	115.12	0.00	0.00
CELGENE CORPORATION COMMON STOCK									
151020104	10/16/2014	06/21/2013	5,232.57	3,469.35		0.00	1,763.22	0.00	0.00
CF INDUSTRIES HOLDINGS INC									
125269100	08/21/2014	Various	10,344.85	7,427.20		0.00	2,917.65	0.00	0.00
CHEVRON TEXACO INC COMMON STOCK									
166764100	06/19/2014	Various	18,308.48	15,079.79		0.00	3,228.69	0.00	0.00
CISCO SYSTEMS INC COMMON STOCK									
17275R102	01/16/2014	10/22/2012	13,407.75	10,711.68		0.00	2,696.07	0.00	0.00
CITIGROUP INC									
172967424	05/15/2014	01/17/2013	7,161.69	6,385.18		0.00	776.51	0.00	0.00
COMCAST CORP-CL A									
20030N101	09/18/2014	03/17/2011	4,272.66	1,816.50		0.00	2,456.16	0.00	0.00
FRANKLIN RESOURCES INC COMMON STOCK									
354613101	02/20/2014	12/21/2012	6,333.11	5,113.02		0.00	1,220.09	0.00	0.00
ISHARES MSCI EMERGING MKT ETF									
464287234	03/12/2014	05/21/2009	37,056.98	30,085.18		0.00	6,971.80	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

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Ref: 32

Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

☐ Corrected ☐ 2nd TIN notice

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
ISHARES MSCI EMERGING MKT ETF									
464287234	03/12/2014	02/22/2012	16,984.45	19,254.36		0.00	-2,269.91	0.00	0.00
MCKESSON HBOC INC COMMON STOCK									
58155Q103	06/19/2014	Various	9,228.39	3,168.55		0.00	6,059.84	0.00	0.00
MCKESSON HBOC INC COMMON STOCK									
58155Q103	08/21/2014	03/03/2010	4,835.89	1,529.87		0.00	3,306.02	0.00	0.00
MCKESSON HBOC INC COMMON STOCK									
58155Q103	08/21/2014	08/15/2013	10,638.97	6,707.13		0.00	3,931.84	0.00	0.00
MYLAN LABORATORIES INC COMMON STOCK									
628530107	03/20/2014	01/17/2013	3,913.15	2,098.12		0.00	1,815.03	0.00	0.00
MYLAN LABORATORIES INC COMMON STOCK									
628530107	04/17/2014	01/17/2013	4,380.36	2,657.61		0.00	1,722.75	0.00	0.00
OSKOSH TRUCK CORPORATION COMMON STOCK CLASS B									
688239201	08/21/2014	08/15/2013	4,437.06	4,111.44		0.00	325.62	0.00	0.00
P P G INDUSTRIES INC COMMON STOCK									
693506107	10/16/2014	Various	17,079.68	10,615.65		0.00	6,464.03	0.00	0.00
PACKAGING CORP AMER COMMON STOCK									
695156109	07/17/2014	04/22/2013	12,949.44	8,486.38		0.00	4,463.06	0.00	0.00

This is important tax information and is being furnished to you.



2014 Tax Information Statement

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Ref: 32

Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
TIME WARNER CABLE INC									
88732J207	02/20/2014	Various	12,103.25	6,885.78		0.00	5,217.47	0.00	0.00
TJX COMPANIES INC NEW COMMON STOCK									
872540109	05/15/2014	Various	11,308.24	4,283.36		0.00	7,024.88	0.00	0.00
TYSON FOODS INC CLASS A COMMON STOCK									
902494103	06/19/2014	04/22/2013	2,505.92	1,698.19		0.00	807.73	0.00	0.00
UNION PACIFIC CORPORATION COMMON STOCK									
907818108	01/16/2014	12/21/2012	6,707.91	5,028.14		0.00	1,679.77	0.00	0.00
UNUMPROVIDENT CORPORATION COMMON STOCK									
91529Y106	04/17/2014	03/22/2013	4,233.15	3,430.24		0.00	802.91	0.00	0.00
WAL-MART STORES INC COMMON STOCK									
931142103	03/20/2014	09/25/2012	3,768.92	3,750.50		0.00	18.42	0.00	0.00
WAL-MART STORES INC COMMON STOCK									
931142103	09/18/2014	Various	5,695.23	3,694.98		0.00	2,000.25	0.00	0.00
Total Long Term Sales			276,286.63	199,586.02		0.00	76,700.61	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Recipient's Name and Address:
DAN AND MICKI CHAPIN FUND, INC.
P.O. BOX 550
361 KEYES AVE.
HAMPSHIRE, IL 60140

Account Number: 23-32271
Recipient's Tax ID Number: XX-XXX7435
Payer's Federal ID Number: 36-7158276

Payer's State ID Number: IL
State: (847)842-4955

Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
For noncovered securities (Box 5 is checked) shown on this statement, cost basis information is not being reported to the IRS.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property (Box 1a)										
CUSIP Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
Long Term Sales Reported on 1099-B										
1672.0	MFC WISDOMTREE TR INTL SMALLCAP DIVID FD									
97717W760	12/01/2014	05/21/2009	E	94,787.43	60,192.21		0.00	34,595.22	0.00	0.00
Total Long Term Sales Reported on 1099-B				94,787.43	60,192.21		0.00	34,595.22	0.00	0.00

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

