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EXTENDED TO NOVEMBER 16, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation ALBERT J. AND CLAIRE R. SPEH FOUNDATION C/O KEVIN MALINGER, EXECUTIVE DIRECTOR		A Employer identification number 36-4118596
Number and street (or P.O. box number if mail is not delivered to street address) 10700 W. HIGGINS RD	Room/suite 250	B Telephone number (847) 299-7011
City or town, state or province, country, and ZIP or foreign postal code ROSEMONT, IL 60018		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 9,517,289.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		48,862.	48,862.		STATEMENT 1
4 Dividends and interest from securities		168,725.	168,725.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		469,721.			
b Gross sales price for all assets on line 6a		3,667,002.			
7 Capital gain net income (from Part IV, line 2)			469,721.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		14,219.	3,967.		STATEMENT 3
12 Total. Add lines 1 through 11		701,527.	691,275.		
13 Compensation of officers, directors, trustees, etc.		131,885.	13,188.		118,697.
14 Other employee salaries and wages		7,128.	713.		6,415.
15 Pension plans, employee benefits		11,400.	2,850.		8,550.
16a Legal fees					
b Accounting fees STMT 4		4,850.	2,425.		2,425.
c Other professional fees STMT 5		109,986.	98,487.		11,499.
17 Interest		1,946.	1,946.		0.
18 Taxes STMT 6		42,254.	26,606.		9,571.
19 Depreciation and depletion		10,053.	2,386.		
20 Occupancy		9,490.	2,372.		7,117.
21 Travel, conferences, and meetings		20,039.	5,010.		15,029.
22 Printing and publications		153.	76.		77.
23 Other expenses STMT 7		32,319.	13,737.		18,582.
24 Total operating and administrative expenses. Add lines 13 through 23		381,503.	169,796.		197,962.
25 Contributions, gifts, grants paid		428,728.			428,728.
26 Total expenses and disbursements. Add lines 24 and 25		810,231.	169,796.		626,690.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-108,704.			
b Net investment income (if negative, enter -0-)			521,479.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,916,188.	2,950,547.	2,950,547.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	3,913,487.	3,636,380.	3,636,380.
	c Investments - corporate bonds STMT 9	256,457.	0.	0.
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10		2,595,321.	2,620,304.	2,620,304.
14 Land, buildings, and equipment: basis ▶ 462,558.				
Less: accumulated depreciation STMT 11 ▶ 158,500.		311,580.	304,058.	304,058.
15 Other assets (describe ▶ STATEMENT 12)		0.	6,000.	6,000.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		9,993,033.	9,517,289.	9,517,289.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 13)	0.	1,493.	
23 Total liabilities (add lines 17 through 22)	0.	1,493.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	9,993,033.	9,515,796.	
30 Total net assets or fund balances	9,993,033.	9,515,796.		
31 Total liabilities and net assets/fund balances	9,993,033.	9,517,289.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,993,033.
2 Enter amount from Part I, line 27a	2	-108,704.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	9,884,329.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN(LOSS) ON INVESTMENTS	5	368,533.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,515,796.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,667,002.		3,197,281.	469,721.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			469,721.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 469,721.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	736,976.	9,416,301.	.078266
2012	665,037.	9,300,894.	.071502
2011	775,885.	9,953,786.	.077949
2010	535,657.	9,974,239.	.053704
2009	689,966.	9,820,938.	.070255

2 Total of line 1, column (d)	2 .351676
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .070335
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4 9,322,048.
5 Multiply line 4 by line 3	5 655,666.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 5,215.
7 Add lines 5 and 6	7 660,881.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8 626,690.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	10,430.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	10,430.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	10,430.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 3,920.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 9,500.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,420.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,990.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ 2,990. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.SPEH.ORG</u>	13	X	
14	The books are in care of ► <u>KEVIN MALINGER</u> Telephone no. ► <u>847-299-7011</u> Located at ► <u>10700 W. HIGGINS RD, STE 250, ROSEMONT, IL</u> ZIP+4 ► <u>60018</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		131,885.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities	
--	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,032,752.
b	Average of monthly cash balances	1b	2,431,256.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	9,464,008.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,464,008.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	141,960.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,322,048.
6	Minimum investment return. Enter 5% of line 5	6	466,102.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	466,102.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	10,430.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	1,033.
c	Add lines 2a and 2b	2c	11,463.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	454,639.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	454,639.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	454,639.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	626,690.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	626,690.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	626,690.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				454,639.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	202,633.			
b From 2010	39,457.			
c From 2011	281,950.			
d From 2012	203,280.			
e From 2013	273,987.			
f Total of lines 3a through e	1,001,307.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	626,690.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				454,639.
e Remaining amount distributed out of corpus	172,051.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,173,358.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	202,633.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	970,725.			
10 Analysis of line 9:				
a Excess from 2010	39,457.			
b Excess from 2011	281,950.			
c Excess from 2012	203,280.			
d Excess from 2013	273,987.			
e Excess from 2014	172,051.			

423581
11-24-14

Form 990-PF (2014)

ALBERT J. AND CLAIRE R. SPEH FOUNDATION

Form 990-PF (2014)

C/O KEVIN MALINGER, EXECUTIVE DIRECTOR

36-4118596 Page 11

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALAIN LOCKE CHARTER SCHOOL 328 S. JEFFERSON, SUITE 570 CHICAGO, IL 60661	N/A	PC	GENERAL SUPPORT	20,000.
ASSOCIATED COLLEGES OF ILLINOIS 20 NORTH WACKER DRIVE #4114 CHICAGO, IL 60606	N/A	PC	COLLEGE READINESS PROGRAM	15,000.
BOTTOM LINE - CHICAGO 65 EAST WACKER DRIVE, SUITE 800 CHICAGO, IL 60601	N/A	PC	GENERAL SUPPORT	37,000.
BY THE HAND CLUB FOR KIDS 1000 N SEDGWICK CHICAGO, IL 60610	N/A	PC	COLLEGE READINESS INITIATIVE	15,000.
CAPTIVE HEARTS 882 WEST GRAND AVE. GROVER BEACH, CA 93433	N/A	PC	GENERAL SUPPORT	5,000.
Total	SEE CONTINUATION SHEET(S)			428,728.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	48,862.		
4 Dividends and interest from securities			14	168,725.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	900000	7,885.	14	-3,918.		
8 Gain or (loss) from sales of assets other than inventory			18	469,721.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a NON-DIVIDEND						
b DISTRIBUTIONS			01	10,252.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		7,885.		693,642.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	701,527.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

8-26-15
Date

EXECUTIVE
DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CATHERINE M. KANE

Preparer's signature

Catherine Kano

Date

8/25/15

Check ☐ self-employed

PTIN

P00404366

Firm's name ► CLIFTONLARSONALLEN LLP

Firm's EIN ► 41-0746749

Firm's address ▶ 1301 W. 22ND ST, STE 1100
OAK BROOK, IL 60523

Phone no. (630) 573-8600

Form 990-PF (2014)

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: 6400104134**
2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CALIFORNIA RES CORP / CUSIP: 13057Q107 / Symbol: CRC	0.000		2.84 ✓	12/01/14	0.00 ✓		2.84 ✓	Cash in lieu

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CALIFORNIA RES CORP / CUSIP: 13057Q107 / Symbol: CRC	28.000		168.14	10/16/12	205.04	...	-36.90	Sale
MOTOROLA SOLUTIONS INC COM NEW / CUSIP: 620076307 / Symbol: MSI								
2 tex lots for 05/08/14								
	16.000		1,080.02	04/03/08	630.51	...	449.50	Sale
	15.000		1,012.51	02/01/11	584.47	...	428.05	Sale
05/08/14	31.000		2,092.53	VARIOUS	1,214.98	...	877.55	Total of 2 lots
05/23/14	38.000		2,539.68	02/01/11	1,480.66	...	1,059.02	Sale
08/26/14	40.000		2,419.51	02/01/11	1,558.58	...	860.93	Sale
	Security total:		7,051.72		4,254.22	...	2,797.50	
RAYTHEON CO COM NEW / CUSIP: 755111507 / Symbol: RTN								
03/18/14	5.000		505.88	04/08/11	255.26	...	250.62	Sale
TRAVELERS COMPANIES INC / CUSIP: 89417E109 / Symbol: TRV								
03/31/14	85.000		7,208.27	10/08/08	2,989.03	...	4,219.24	Sale
Totals:			14,934.01 ✓		7,703.55 ✓	...	7,230.46 ✓	

Oppenheimer & Co. Inc.

Account G400107178

Proceeds Not Reported to the IRS

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
ASTELLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY	0.000	5.84	5.84	04/04/14	0.00		5.84	Cash in lieu
2 tax lots for 09/24/14.								
	20.000	296.28	296.28	10/02/13	202.47		93.81	Sale
	80.000	1,185.13	1,185.13	10/02/13	809.89		375.25	Sale
	100.000	1,481.41	1,481.41	VARIOUS	1,012.38		469.06	Total of 2 lots
Security total:			1,487.25		1,012.36		474.90	
BANCO DO BRASIL S A SPONSORED ADR / CUSIP: 059578104 / Symbol: BDORY								
2 tax lots for 09/16/14.								
	150.000	2,141.04	2,141.04	01/13/14	1,456.91		684.13	Sale
	60.000	856.42	856.42	02/26/14	503.78		352.64	Sale
	210.000	2,997.46	2,997.46	VARIOUS	1,960.69		1,036.77	Total of 2 lots
BANCO SANTANDER BRASIL S A ADS REP 1 UNIT / CUSIP: 05967A107 / Symbol: BSBR								
2 tax lots for 10/28/14.								
	200.000	1,229.97	1,229.97	01/16/14	1,081.66		148.31	Sale
	100.000	614.99	614.99	02/12/14	491.99		123.00	Sale
	300.000	1,844.96	1,844.96	VARIOUS	1,573.65		271.31	Total of 2 lots
BANCO SANTANDER BRASIL CONTRA UNDERLY CUSIP: 05967A107 / CUSIP: 059OPT990 / Symbol:								
	855.000	584.16	584.16	01/17/14	0.00		584.16	Tender
CEMEX SAB DE CV SPON ADR NEW / CUSIP: 151290889 / Symbol: CX								
	0.000	7.49	7.49	04/29/14	0.00		7.49	Cash in lieu
CHINA MOBILE LIMITED SPONSORED ADR / CUSIP: 16941M109 / Symbol: CHL								
	65.000	4,189.24	4,189.24	02/06/14	3,040.45		1,148.79	Sale
EMBRAER S A SP ADR REP 4 COM / CUSIP: 29082A107 / Symbol: ERJ								
	30.000	1,162.90	1,162.90	01/23/14	991.16		171.74	Sale
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITBY								
	70.000	6,322.34	6,322.34	01/17/14	5,112.09		1,210.25	Sale

Openheimer & Co., Inc.

Account: G400107178

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITYBY (cont'd)								
09/11/14	25,000	2,193.75	2,193.75	01/17/14	1,825.75	...	368.00	Sale
11/11/14	30,000	2,692.73	2,692.73	01/17/14	2,190.89	...	501.84	Sale
11/14/14	80,000	7,118.12	7,118.12	01/17/14	5,842.38	...	1,275.74	Sale
Security total:		18,326.94	18,326.94		14,971.11	...	3,355.83	
KONINKLIJKE AHOLD N V SPONSORED ADR NE / CUSIP: 500467105 / Symbol: AHONY								
04/09/14	0.000	4.38	4.38	04/09/14	0.00	..	4.38	Cash in lieu
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP: 92857W209 / Symbol:								
01/02/14	60,000	2,332.63	2,332.63	01/07/13	1,546.33	...	786.30	Sale
Totals:		32,917.41	32,917.41		25,095.75	...	7,821.67	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMERICA MOVIL SAB DE CV SPON ADR L SHS / CUSIP: 02364W105 / Symbol: AMX								
07/21/14	120,000	2,783.33	2,783.33	08/24/11	2,869.67	..	-86.34	Sale
09/10/14	50,000	1,284.17	1,284.17	08/24/11	1,195.70	..	88.47	Sale
2 tax lots for 10/07/14.								
25,000	25,000	626.34	626.34	08/24/11	597.85	...	28.49	Sale
125,000	125,000	3,131.68	3,131.68	09/21/11	2,933.30	..	198.37	Sale
150,000	150,000	3,758.02	3,758.02	VARIOUS	3,531.15	...	226.86	Total of 2 lots
Security total:		7,825.52	7,825.52		7,596.52	..	228.99	
ASTELLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY								
2 tax lots for 01/08/14								
10,000	10,000	147.94	147.94	07/01/10	83.17	..	64.76	Sale
60,000	60,000	887.64	887.64	07/01/10	499.05	...	388.59	Sale
70,000	70,000	1,035.58	1,035.58	VARIOUS	582.22	...	453.35	Total of 2 lots

Oppenheimer & Co. Inc.

Account: C400107178

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ASTEILLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY (cont'd)	75,000	1,082.51	1,082.51	07/01/10	500.23	...	582.28	Sale
14 tax lots for 09/24/14.	42,000	622.20	622.20	07/01/10	280.13	...	342.07	Sale
	95,000	1,407.35	1,407.35	07/01/10	633.62	...	773.72	Sale
	4,000	59.26	59.26	11/24/10	29.10	...	30.16	Sale
	11,000	162.96	162.96	11/24/10	81.46	...	81.50	Sale
	15,000	222.21	222.21	11/24/10	109.13	...	113.08	Sale
	45,000	666.64	666.64	11/24/10	333.23	...	333.40	Sale
	7,000	103.70	103.70	03/11/11	53.95	...	49.75	Sale
	23,000	340.73	340.73	03/11/11	174.11	...	166.61	Sale
	30,000	444.43	444.43	03/11/11	231.20	...	213.23	Sale
	90,000	1,333.28	1,333.28	03/11/11	681.32	...	651.96	Sale
	4,000	59.26	59.26	04/08/11	29.11	...	30.15	Sale
	11,000	162.96	162.96	04/08/11	81.48	...	81.48	Sale
	15,000	222.21	222.21	04/08/11	109.16	...	113.05	Sale
	45,000	666.64	666.64	04/08/11	333.32	...	333.31	Sale
09/24/14	437,000	6,473.83	6,473.83	VARIOUS	3,160.32	...	3,313.47	Total of 14 lots
Security total		8,591.92	8,591.92		4,242.77	...	4,349.10	
ASTRAZENECA PLC SPONSORED ADR / CUSIP: 046353108 / Symbol: AZN	70,000	4,542.93	4,542.93	08/21/07	3,253.84	...	1,289.29	Sale
2 tax lots for 01/30/14.	60,000	3,829.24	3,829.24	08/21/07	2,788.83	...	1,040.41	Sale
	40,000	2,552.82	2,552.82	11/27/07	1,856.99	...	695.83	Sale
01/30/14	100,000	6,382.06	6,382.06	VARIOUS	4,645.82	...	1,736.24	Total of 2 lots
02/14/14	20,000	1,356.57	1,356.57	11/27/07	928.50	...	428.07	Sale
05/01/14	120,000	9,596.92	9,596.92	11/27/07	5,570.98	...	4,025.94	Sale
2 tax lots for 09/02/14.	40,000	2,992.98	2,992.98	11/27/07	1,856.99	...	1,135.99	Sale
	60,000	4,489.48	4,489.48	02/22/08	2,378.87	...	2,110.61	Sale
09/02/14	100,000	7,482.46	7,482.46	VARIOUS	4,235.86	...	3,246.60	Total of 2 lots
Security total:		29,360.94	29,360.94		18,634.80	...	10,726.14	

Openheimer & Co., Inc.

Account: G40010778

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BP PLC SPONSORED ADR / CUSIP: 055622104 / Symbol: BP								
2 tax lots for 01/09/14.								
	60,000	2,951.17	2,951.17	06/26/09	2,851.25	..	99.91	Sale
	40,000	1,967.44	1,967.44	07/10/09	1,804.93	..	162.52	Sale
01/09/14	100,000	4,918.61	4,918.61	VARIOUS	4,656.18	..	262.43	Total of 2 lots
02/28/14	10,000	503.07	503.07	07/10/08	451.23	..	51.84	Sale
06/27/14	30,000	1,571.89	1,571.89	07/10/09	1,353.70	..	218.19	Sale
08/04/14	20,000	985.11	985.11	07/10/09	902.46	..	82.65	Sale
	Security total:	7,978.68	7,978.68		7,363.57	..	615.11	
BANCO DO BRASIL S A SPONSORED ADR / CUSIP: 059578104 / Symbol: BDORY								
07/30/14	220,000	2,793.53	2,793.53	01/10/11	4,078.36	..	-1,284.83	Sale
08/28/14	45,000	663.44	663.44	01/10/11	834.21	..	-170.77	Sale
3 tax lots for 09/16/14.								
	75,000	1,070.52	1,070.52	01/10/11	1,390.35	..	-319.83	Sale
	25,000	356.84	356.84	01/26/11	474.62	..	-117.78	Sale
	100,000	1,427.36	1,427.36	07/01/13	1,002.00	..	425.36	Sale
09/16/14	200,000	2,854.72	2,854.72	VARIOUS	2,866.97	..	-12.25	Total of 3 lots
	Security total:	6,311.69	6,311.69		7,779.54	..	-1,467.85	
BANCO SANTANDER BRASIL S A ADS REP 1 UNIT / CUSIP: 05967A107 / Symbol: BSBR								
2 tax lots for 10/28/14								
	770,000	4,735.39	4,735.39	01/31/12	7,045.12	..	-2,309.73	Sale
	85,000	522.74	522.74	07/18/13	505.75	..	16.99	Sale
10/28/14	855,000	5,258.13	5,258.13	VARIOUS	7,550.87	..	-2,292.74	Total of 2 lots
CRH PLC ADR / CUSIP: 12826K203 / Symbol: CRH								
01/17/14	60,000	1,637.05	1,637.05	10/26/10	1,042.66	..	594.39	Sale
02/14/14	80,000	2,176.22	2,176.22	10/26/10	1,390.22	..	786.00	Sale
02/27/14	30,000	875.33	875.33	10/26/10	521.33	..	354.00	Sale
	Security total:	4,688.60	4,688.60		2,954.21	..	1,734.39	

Account G400107178

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DEUTSCHE TELEKOM AG SPONSORED ADR / CUSIP: 251566105 / Symbol: DTEGY								
2 tax lots for 01/07/14.								
	45,000		746.87	08/11/06	615.15	...	131.72	Sale
	195,000		3,236.46	07/18/07	3,616.24	...	-378.79	Sale
01/07/14	240,000		3,983.33	VARIOUS	4,230.39	...	-247.07	Total of 2 lots
11/24/14	455,000		7,495.50	07/18/07	8,435.57	...	-940.07	Sale
	Security total:		11,478.83		12,665.96	...	-1,187.14	
ERICSSON ADR 8 SEK 10 / CUSIP: 294821608 / Symbol: ERIC								
12/05/14	20,000		248.41	01/31/08	223.53	...	24.88	Sale
FUJIFILM HLDGS CORP ADR 2 ORD / CUSIP: 35958N107 / Symbol: FUJII								
01/06/14	140,000		3,931.30	08/15/08	4,325.19	...	-393.89	Sale
01/24/14	260,000		7,635.72	08/15/08	8,032.49	...	-396.77	Sale
	Security total:		11,567.02		12,357.68	..	-790.66	
GDF SUEZ SPONS ADR / CUSIP: 36160B105 / Symbol: GDFZY								
04/17/14	140,000		3,853.28	03/29/12	3,622.29	..	230.99	Sale
06/20/14	60,000		1,679.55	03/29/12	1,552.41	..	127.14	Sale
	Security total:		5,532.83		5,174.70	..	358.13	
INTESA SANPAOLO S P A SPON ADR / CUSIP: 46115H107 / Symbol: ISNPY								
02/28/14	150,000		2,722.83	06/29/07	6,693.24	...	-3,970.41	Sale
03/21/14	150,000		2,859.25	06/29/07	6,693.24	..	-3,833.99	Sale
03/28/14	200,000		3,843.23	06/29/07	8,924.32	..	-4,981.09	Sale
	Security total:		9,525.31		22,310.80	...	-12,785.49	
NIPPON TELEG & TEL CORP SPONSORED ADR / CUSIP: 654624105 / Symbol: NTT								
07/02/14	110,000		3,476.94	07/27/07	2,461.56	..	1,015.38	Sale
07/11/14	135,000		4,341.54	07/27/07	3,021.00	..	1,320.54	Sale
07/22/14	150,000		5,033.55	07/27/07	3,356.67	...	1,676.88	Sale
2 tax lots for 10/27/14.								
	135,000		4,024.07	07/27/07	3,021.00	..	1,003.07	Sale
	100,000		2,960.79	02/12/13	2,210.77	...	770.02	Sale
10/27/14	235,000		7,004.86	VARIOUS	5,231.77	...	1,773.09	Total of 2 lots
	Security total:		19,856.89		14,071.00	..	5,785.89	

Openheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account G400107178**
2014 (continued)

LONG-TERM TRANSACTIONS							
Report on Form 9949, Part II, with Box F checked							
Description of property							
Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Gain or loss(-) & Adjustments & Code(s), if any 7. Loss not allowed(X)	Additional Information
ORANGE SPONSORED ADR / CUSIP: 684060106 / Symbol: ORAN							
05/02/14	180,000	2,932.24	2,932.24	02/15/06	4,080.91	..	Sale
05/09/14	270,000	4,470.43	4,470.43	02/15/06	6,121.36	..	Sale
2 tax lots for 06/06/14.							
	25,000		423.55	02/15/06	566.79	-	Sale
	65,000		1,101.24	03/03/06	1,420.50	..	Sale
06/06/14	90,000		1,524.79	VARIOUS	1,987.29	..	Total of 2 lots
	Security total:		8,927.46		12,189.56	..	-3,262.11
PETROLEO BRASILEIRO SA PETROBR SP ADR NON VTG / CUSIP: 71654V101 / Symbol: PBRA							
2 tax lots for 08/25/14.							
	80,000		1,511.47	02/03/10	2,950.89	..	Sale
	10,000		188.93	08/11/10	316.42	..	Sale
08/25/14	80,000		1,700.40	VARIOUS	3,267.31	..	Total of 2 lots
2 tax lots for 08/28/14.							
	110,000		2,237.97	08/11/10	3,480.63	..	Sale
	50,000		1,017.26	08/26/10	1,466.99	..	Sale
08/28/14	160,000		3,255.23	VARIOUS	4,947.62	..	Total of 2 lots
	Security total:		4,955.63		8,214.93	..	-3,259.31
PORTUGAL TELECOM SGPS S A SPONSORED ADR / CUSIP: 737273102 / Symbol: PT							
2 tax lots for 04/28/14.							
	365,000		1,472.37	08/22/01	2,434.55	..	Sale
	560,000		2,258.99	05/02/02	3,954.05	..	Sale
04/28/14	925,000		3,731.36	VARIOUS	6,388.60	..	Total of 2 lots
SUEZ ENVIRONNEMENT CO S A ADR / CUSIP: 864691100 / Symbol: SZEYV							
01/22/14	55,000		518.99	09/27/12	315.74	..	Sale
02/28/14	450,000		4,493.40	09/27/12	2,583.36	..	Sale
2 tax lots for 03/19/14.							
	685,000		6,910.32	09/27/12	3,932.45	..	Sale

Oppenheimer & Co. Inc. Account: G400107178

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS									NOT reported on Form 1099-B	
Report on Form 8949, Part II, with Box F checked										
Description of property										
Date sold or disposed	Quantity	Shown (G)ross (Net)	Proceeds (G)ross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information		
SUEZ ENVIRONNEMENT CO S A ADR / CUSIP: 864691100 / Symbol: SZEY (cont'd)										
	90 000		907.93	10/11/12	491.29	..	416.64	Sale		
03/19/14	775 000		7,818.25	VARIOUS	4,423.74	..	3,394.52	Total of 2 lots		
	Security total:		12,830.64		7,322.84	..	5,507.81			
TELECOM ITALIA S P A NEW SPON ADR / CUSIP: 87927Y102 / Symbol: TI										
2 tax lots for 01/17/14.										
	64 000		734.20	05/03/06	1,806.83	.	-1,072.63	Sale		
	26 000		298.27	07/19/06	683.47	..	-385.20	Sale		
01/17/14	90 000		1,032.47	VARIOUS	2,490.30	.	-1,457.83	Total of 2 lots		
02/14/14	90 000		1,053.64	07/19/06	2,365.86	..	-1,312.22	Sale		
3 tax lots for 04/29/14.										
	64 000		791.19	07/19/06	1,682.39	..	-891.20	Sale		
	40 000		494.50	09/28/06	1,126.09	..	-631.59	Sale		
	256 000		3,164.78	03/21/07	7,311.72	.	-4,146.94	Sale		
04/29/14	360 000		4,450.47	VARIOUS	10,120.20	..	-5,669.73	Total of 3 lots		
05/02/14	50 000		640.97	03/21/07	1,428.07	..	-787.10	Sale		
05/09/14	160 000		2,058.49	03/21/07	4,569.82	..	-2,511.33	Sale		
	Security total:		9,236.04		20,974.25	..	-11,738.21			
TELEFONICA S A SPONSORED ADR / CUSIP: 879382208 / Symbol: TEF										
07/25/14	110 000		1,804.82	02/13/09	2,051.39	..	-246.47	Sale		
4 tax lots for 07/31/14.										
	166 000		2,696.53	02/13/09	3,095.73	..	-399.21	Sale		
	90 000		1,461.97	05/06/09	1,793.99	..	-332.02	Sale		
	9 000		146.20	06/15/12	102.24	..	43.96	Sale		
	120 000		1,949.30	07/03/13	1,494.96	..	454.34	Sale		
07/31/14	385 000		6,254.00	VARIOUS	6,486.92	..	-232.93	Total of 4 lots		
	Security total:		8,058.92		8,538.31	..	-479.40			
TIM PARTICIPACOE S A SPONSORED ADR / CUSIP: 88708P205 / Symbol: TSU										
13 tax lots for 01/03/14.										
	3 000		81.48	09/11/98	59.14	..	22.34	Sale		

Oppenheimer & Co., Inc.

Account: G400-107478

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TIM PARTICIPACOE S A SPONSORED ADR / CUSIP: 88706P205 / Symbol: TSU (cont'd)								
	5,000	135.80	135.80	09/11/98	75.22	..	60.58	Sale
	2,000	54.32	54.32	04/27/99	57.38	..	-3.06	Sale
	3,000	81.48	81.48	04/27/99	46.49	..	34.99	Sale
	3,000	81.48	81.48	08/05/99	79.60	..	1.88	Sale
	5,000	135.80	135.80	08/05/99	97.18	..	38.62	Sale
	5,000	135.80	135.80	09/09/99	88.48	..	47.32	Sale
	7,000	190.12	190.12	09/09/99	72.48	..	117.64	Sale
	19,000	516.03	516.03	04/28/01	233.83	..	282.20	Sale
	21,000	570.35	570.35	04/28/01	285.44	..	284.91	Sale
	21,000	570.35	570.35	12/06/01	193.85	..	376.50	Sale
	23,000	624.67	624.67	12/06/01	236.64	..	388.03	Sale
	23,000	624.67	624.67	07/19/12	507.32	..	117.36	Sale
01/03/14	140,000	3,802.35	3,802.35	VARIOUS	2,033.05	..	1,769.31	Total of 13 lots
2 tax lots for 01/08/14.								
	77,000	2,143.68	2,143.68	07/19/12	1,698.40	..	445.28	Sale
01/08/14	83,000	2,310.72	2,310.72	07/31/12	1,769.15	..	541.57	Sale
08/28/14	160,000	4,454.40	4,454.40	VARIOUS	3,467.55	..	986.85	Total of 2 lots
	50,000	1,380.63	1,380.63	07/31/12	1,065.75	..	314.88	Sale
5 tax lots for 11/04/14.								
	7,000	187.44	187.44	07/31/12	149.21	..	38.23	Sale
	75,000	2,008.25	2,008.25	09/27/12	1,466.08	..	542.17	Sale
	25,000	669.42	669.42	10/02/12	452.30	..	217.12	Sale
	25,000	669.42	669.42	10/02/12	458.79	..	210.63	Sale
	75,000	2,008.25	2,008.25	12/26/12	1,435.55	..	572.70	Sale
11/04/14	207,000	5,542.78	5,542.78	VARIOUS	3,961.93	..	1,580.85	Total of 5 lots
	Security total.	15,180.16	15,180.16		10,528.28	..	4,651.89	
TOTAL S A SPONSORED ADR / CUSIP: 89151E109 / Symbol: TOT								
2 tax lots for 03/07/14.								
	25,000	1,627.91	1,627.91	03/31/10	1,447.68	..	180.24	Sale
	55,000	3,581.41	3,581.41	04/16/10	3,194.53	..	386.88	Sale
03/07/14	80,000	5,209.32	5,209.32	VARIOUS	4,642.21	..	567.12	Total of 2 lots

Oppenheimer & Co. Inc. Account: G400107178
2014 **Proceeds Not Reported to the IRS**
 (continued)

LONG-TERM TRANSACTIONS
 NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross Net) / CUSIP: 89151E109 / Symbol: TOT (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
03/26/14	30,000	1,950.13	04/16/10	1,742.47	...	207.66	Sale
	2 tax lots for 04/24/14.						
	25,000	1,715.59	04/16/10	1,452.06	...	263.53	Sale
	10,000	686.23	05/20/10	478.81	...	207.42	Sale
04/24/14	35,000	2,401.82	VARIOUS	1,930.87	...	470.95	Total of 2 lots
	3 tax lots for 05/07/14						
	20,000	1,431.30	05/20/10	957.63	...	473.68	Sale
	20,000	1,431.30	05/28/10	948.29	...	483.01	Sale
	20,000	1,431.30	12/02/10	997.82	...	433.48	Sale
05/07/14	60,000	4,293.90	VARIOUS	2,903.74	...	1,390.17	Total of 3 lots
08/18/14	110,000	7,874.82	09/29/11	4,913.70	...	2,961.12	Sale
	Security total:	21,729.99		16,132.99	...	5,597.02	
TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP: 882331307 / Symbol: TM							
12/05/14	29,000	3,740.23	08/24/10	2,043.98	...	1,696.25	Sale
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP: 92857W209 / Symbol:							
	2 tax lots for 01/02/14.						
	170,000	6,609.12	03/18/11	4,694.16	...	1,914.96	Sale
	140,000	5,442.81	07/06/11	3,718.18	...	1,724.63	Sale
01/02/14	310,000	12,051.93	VARIOUS	8,412.34	...	3,639.59	Total of 2 lots
DAIMLER AG REG SHS / CUSIP: D1668R123 / Symbol: DDAIF							
01/09/14	5,000	423.06	07/11/12	215.93	...	207.13	Sale
01/17/14	45,000	4,028.69	07/11/12	1,943.41	...	2,086.28	Sale
	2 tax lots for 02/14/14.						
	35,000	3,201.05	07/11/12	1,511.54	...	1,689.50	Sale
	50,000	4,572.92	09/20/12	2,525.15	...	2,047.77	Sale
02/14/14	85,000	7,773.97	VARIOUS	4,036.69	...	3,737.27	Total of 2 lots
	Security total:	12,226.72		6,196.03	...	6,030.68	

Oppenheimer & Co. Inc.

Account: G400707178

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G)Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TE CONNECTIVITY LTD REG SHS / CUSIP: H84889104 / Symbol: TEL								
01/22/14	10 000	599 51	599 51	07/20/07	373.86	..	225 65	Sale
01/23/14	130 000	7,805 71	7,805 71	07/20/07	4,860 22	..	2,945.49	Sale
Security total.		8,405.22	8,405.22		5,234.08	.	3,171.14	
FLEXTRONICS INTL LTD ORD / CUSIP: Y2573F102 / Symbol: FLEX								
12/03/14	550 000	6,168 66	6,168 66	07/11/11	3,537.76	.	2,630 90	Sale
Totals:		255,467.73	255,467.73		238,639.90	..	16,827.76	

UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ITALCEMENTI S P A ADR / CUSIP: 465272201 / Symbol ITALY								
07/08/14	0.000	996.02	996.02	N/A	..	..	..	Gross proceeds

Oppenheimer & Co. Inc.

Account G400109422

Proceeds Not Reported to the IRS

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)et	Proceeds (G)/ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ACTIVISION BLIZZARD INC / CUSIP: 00507V109 / Symbol: ATVI	144.000	2,928.90	2,928.90	07/29/13	2,591.18	...	337.72	Sale
AGILENT TECHNOLOGIES INC / CUSIP: 00846U101 / Symbol: A	21.000	1,129.35	1,129.35	05/09/14	1,156.78	...	-27.43	Sale
AMERICAN EXPRESS CO / CUSIP: 025818109 / Symbol: AXP	15.000	1,285.47	1,285.47	10/17/14	1,239.75	...	45.72	Sale
ANADARKO PETE CORP / CUSIP: 032511107 / Symbol: APC	32.000	2,752.27	2,752.27	11/20/13	2,907.84	...	-155.57	Sale
03/06/14	33.000	3,355.66	3,355.66	11/20/13	2,998.71	...	356.95	Sale
05/14/14	31.000	2,860.92	2,860.92	11/20/13	2,816.97	...	43.95	Sale
10/23/14	Security total:	8,968.85	8,968.85		8,723.52	...	245.33	
BB&T CORP / CUSIP: 054937107 / Symbol: BBT	94.000	3,846.19	3,846.19	11/21/13	3,233.94	...	412.25	Sale
03/06/14	12.000	435.47	435.47	11/21/13	412.84	...	22.63	Sale
10/23/14	Security total:	4,081.66	4,081.66		3,646.78	...	434.88	
CITIZENS FINL GROUP INC / CUSIP: 174610105 / Symbol: CFG	72.000	1,655.96	1,655.96	09/29/14	1,676.04	...	-20.08	Sale
10/23/14						...		
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA	74.000	3,851.63	3,851.63	07/08/13	3,140.34	...	711.29	Sale
03/06/14						...		
CONAGRA FOODS INC / CUSIP: 205887102 / Symbol: CAG	505.000	14,557.15	14,557.15	10/01/13	15,269.13	...	-711.98	Sale
08/26/14						...		
E M C CORP MASS / CUSIP: 268848102 / Symbol: EMC	160.000	4,324.74	4,324.74	10/21/13	4,009.17	...	315.57	Sale
03/06/14	46.000	1,356.97	1,356.97	10/21/13	1,152.64	...	204.33	Sale
08/13/14	195.000	5,789.15	5,789.15	10/21/13	4,886.17	...	902.98	Sale
08/21/14	Security total:	11,470.86	11,470.86		10,047.98	...	1,422.88	
EOG RES INC / CUSIP: 26875P101 / Symbol: EOG	14.000	1,308.97	1,308.97	10/16/14	1,256.98	...	51.99	Sale
10/23/14						...		

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EOG RES INC / CUSIP: 26875P101 / Symbol: EOG (cont'd)	31,000	31,000	3,169.44	10/16/14	2,783.31	...	386 13	Sale
Security total:			4,478.41		4,040.29	..	438.12	
EBAY INC / CUSIP: 278642103 / Symbol: EBAY	276,000		12,821.23	08/21/14	15,431.38	...	-2,610.15	Sale
EMERSON ELEC CO / CUSIP: 291011104 / Symbol: EMR	16,000		993.57	06/04/14	1,068.92	...	-75.35	Sale
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX	24,000		1,844.78	03/19/13	1,413.54	...	431.24	Sale
Security total:			2,196.00	03/19/13	1,648.12	...	546.88	Sale
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG	5,000		2,759.35	04/11/13	1,980.95	..	778.40	Sale
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL	24,000		979.28	11/03/14	1,292.03	...	-312.75	Sale
HILTON WORLDWIDE HLDGS INC / CUSIP: 43300A104 / Symbol: HLT	96,000		2,159.00	12/18/13	2,093.08	...	65.92	Sale
Security total:			14,002.67	12/18/13	13,932.05	..	70.62	Sale
KEYSIGHT TECHNOLOGIES INC / CUSIP: 49338L103 / Symbol: KEYS	0,000		15.30	11/03/14	0.00	...	15.30	Cash in lieu
2 tax lots for 11/06/14:			2,858.01	05/08/14	2,928.33	...	-70.32	Sale
Security total:			872.28	05/16/14	824.66	...	-12.38	Sale
11/06/14	122,000		3,870.29	VARIOUS	3,752.99	...	-82.70	Total of 2 lots
Security total:			3,685.59		3,752.99	...	-67.40	

Openheimer & Co. Inc.

Account: G400109422

2014

Openheimer & Co. Inc.

Account: G400109422

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional Information
MARATHON OIL CORP / CUSIP: 565849106 / Symbol: MRO							
2 tax lots for 10/16/14							
10/16/14	302.000	10,065.71	08/28/14	12,309.43	...	-2,243.72	Sale
	83.000	2,768.40	09/11/14	3,311.48	...	-545.08	Sale
	385.000	12,832.11	VARIOUS	15,620.91	..	-2,788.80	Total of 2 lots
MERCK & CO INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
01/16/14	44.000	2,307.35	04/24/13	2,114.36	...	192.99	Sale
03/06/14	90.000	5,166.81	04/24/13	4,324.82	...	841.99	Sale
Security total:		7,474.16		6,439.18	...	1,034.98	
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON							
10/23/14	17.000	1,920.10	09/23/14	1,964.90	..	-44.80	Sale
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV							
09/23/14	9.000	709.24	06/04/14	683.57	...	25.67	Sale
NOVARTIS A G SPONSORED ADR / CUSIP: 66987V108 / Symbol: NVS							
03/06/14	40.000	3,353.14	06/25/13	2,751.09	...	602.05	Sale
NOW INC / CUSIP: 67011P100 / Symbol: DNOW							
06/02/14	0.000	26.01	06/02/14	0.00	...	26.01	Cash in lieu
SONY CORP ADR NEW / CUSIP: 835699307 / Symbol: SNE							
10/23/14	86.000	1,536.35	03/20/14	1,509.45	..	26.90	Sale
11/06/14	45.000	887.38	03/20/14	789.83	...	97.55	Sale
12/03/14	187.000	4,112.52	03/20/14	3,282.17	...	830.35	Sale
Security total:		6,536.25		5,581.45	...	954.80	
SUPERIOR ENERGY SVCS INC / CUSIP: 868157108 / Symbol: SPN							
07/01/14	175.000	6,296.32	09/09/13	4,587.98	..	1,708.34	Sale
SYMANTEC CORP / CUSIP: 871503108 / Symbol: SYMC							
2 tax lots for 03/14/14.							
104.000		2,108.74	11/12/13	2,391.22	...	-282.48	Sale

Account G400109422

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SYMANTEC CORP / CUSIP: 871503108 / Symbol: SYMC (cont'd)	296 000	6,001.80	12/23/13	6,777.69	...	-775.89	Sale
03/14/14	400 000	8,110.54	VARIOUS	9,168.91	...	-1,058.37	Total of 2 lots
SYNCHRONY FINL / CUSIP: 871658103 / Symbol: SYF	140 000	3,606.33	08/04/14	3,218.74	...	387.59	Sale
10/23/14	17 000	484.12	08/04/14	390.85	...	73.27	Sale
12/12/14	122 000	3,535.77	08/04/14	2,804.80	...	730.87	Sale
Security total:		7,606.22		8,414.48	...	1,191.73	
VALERO ENERGY CORP NEW / CUSIP: 91913Y100 / Symbol: VLO	97 000	5,628.24	05/02/13	3,597.14	...	2,031.11	Sale
05/02/14							
VANTIV INC CL A / CUSIP: 92210H105 / Symbol: VNTV	130 000	4,166.51	11/12/13	3,609.25	...	557.26	Sale
03/06/14	83 000	2,620.45	11/12/13	2,304.37	...	316.08	Sale
10/21/14	31 000	979.88	11/12/13	860.67	...	119.21	Sale
10/23/14	Security total:	7,766.84		6,774.29	...	992.55	
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ	33 000	1,590.89	04/17/14	1,573.02	...	17.87	Sale
10/23/14							
AMDOCS LTD / CUSIP: G02602103 / Symbol: DOX	44 000	1,922.88	10/01/13	1,617.27	...	305.61	Sale
02/11/14	52 000	2,348.79	10/01/13	1,911.32	...	437.47	Sale
03/06/14	Security total:	4,271.67		3,528.59	...	743.08	
Totals:		169,971.44		162,831.37	...	7,140.08	

Oppenheimer & Co. Inc. Account: G400109422

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ABBOTT LABS / CUSIP: 002824100 / Symbol: ABT								
	2 tax lots for 03/06/14.							
	72,000		2,868.48	02/03/11	1,584.36	...	1,284.12	Sale
	66,000		2,629.44	01/04/13	2,174.78	...	454.66	Sale
03/06/14	138,000		5,497.92	VARIOUS	3,759.14	...	1,738.78	Total of 2 lots
06/02/14	132,000		5,251.29	01/04/13	4,349.56	...	901.73	Sale
	2 tax lots for 10/23/14							
	20,000		850.28	01/04/13	659.02	...	191.25	Sale
	44,000		1,870.61	01/24/13	1,443.79	...	426.82	Sale
10/23/14	64,000		2,720.89	VARIOUS	2,102.81	...	618.07	Total of 2 lots
	Security total.		13,470.10		10,211.51	...	3,258.58	
ACTIVISION BLIZZARD INC / CUSIP: 00507V108 / Symbol: ATVI								
10/23/14	27,000		515.14	07/29/13	485.85	...	29.29	Sale
AETNA INC NEW / CUSIP: 00817Y108 / Symbol: AET								
03/06/14	54,000		3,992.15	06/21/12	2,215.85	...	1,776.30	Sale
07/25/14	11,000		915.85	06/21/12	451.38	...	464.47	Sale
10/23/14	16,000		1,262.05	06/21/12	656.55	...	605.50	Sale
12/11/14	44,000		3,881.78	06/21/12	1,805.51	...	2,076.27	Sale
	Security total:		10,051.83		5,129.29	...	4,922.54	
ANADARKO PETE CORP / CUSIP: 032511107 / Symbol: APC								
11/21/14	28,000		2,632.63	11/20/13	2,544.36	...	88.27	Sale
12/03/14	40,000		3,227.22	11/20/13	3,634.80	...	-407.58	Sale
	Security total:		5,859.85		6,179.16	...	-319.31	
BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX								
10/23/14	14,000		988.00	04/22/10	706.99	...	281.01	Sale
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS								
	2 tax lots for 03/06/14.							
	35,000		2,568.60	07/07/10	1,008.50	...	1,560.10	Sale

Account: G480109422

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS (cont'd)								
	1 000		73.39	08/11/11	32.98	..	40.41	Sale
03/06/14	36 000		2,641.99	VARIOUS	1,041.48	..	1,600.51	Total of 2 lots
08/26/14	43 000		3,414.56	08/11/11	1,418.14	..	1,996.42	Sale
10/23/14	29 000		2,425.85	08/11/11	956.42	..	1,469.23	Sale
12/18/14	10 000		949.28	08/11/11	329.80	..	619.48	Sale
Security total:			9,431.48		3,745.84	..	5,685.64	
CAPITAL ONE FINL CORP / CUSIP: 14040H105 / Symbol: COF								
03/06/14	26 000		1,949.44	01/31/13	1,464.90	..	484.54	Sale
10/23/14	28 000		2,196.27	01/31/13	1,577.58	..	618.69	Sale
Security total:			4,145.71		3,042.48	..	1,103.23	
CARNIVAL CORP PAIRED CTF / CUSIP: 143658300 / Symbol: CCL								
03/06/14	86 000		3,344.48	02/17/12	2,665.18	..	679.30	Sale
2 tax lots for 08/26/14								
	2 000		76.05	02/17/12	61.98	..	14.07	Sale
08/26/14	42 000		1,597.03	04/24/12	1,333.03	..	264.00	Sale
	44 000		1,673.08	VARIOUS	1,395.01	..	278.07	Total of 2 lots
3 tax lots for 10/17/14								
	131 000		4,567.30	04/24/12	4,157.77	..	409.53	Sale
	150 000		5,229.73	02/14/13	5,566.98	..	-337.25	Sale
	44 000		1,534.06	05/23/13	1,449.60	..	84.46	Sale
10/17/14	325 000		11,331.09	VARIOUS	11,174.35	..	156.74	Total of 3 lots
Security total:			16,348.65		15,234.54	..	1,114.11	
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA								
10/23/14	59 000		3,147.58	07/08/13	2,503.79	..	643.79	Sale
12/01/14	55 000		3,121.74	07/08/13	2,334.04	..	787.70	Sale
12/30/14	101 000		5,941.66	07/08/13	4,286.15	..	1,655.51	Sale
Security total:			12,210.98		9,123.98	..	3,087.00	
DOW CHEM CO / CUSIP: 250543103 / Symbol: DOW								
03/06/14	98 000		4,901.87	04/04/12	3,287.77	..	1,614.10	Sale

Account: G400109422

Openheimer & Co. Inc. Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DOW CHEM CO / CUSIP: 260543103 / Symbol: DOW (cont'd)								
06/02/14	11,000		578.58	04/04/12	369.04		209.54	Sale
10/23/14	6,000		286.25	04/04/12	201.29		84.96	Sale
	2 tax lots for 11/24/14.							
	62,000		3,254.01	04/04/12	2,080.02		1,173.99	Sale
	1,000		52.48	10/08/12	29.01		23.47	Sale
11/24/14	63,000		3,306.49	VARIOUS	2,109.03		1,197.46	Total of 2 lots
	Security total:		9,073.19		5,967.13		3,106.06	
EM C CORP MASS / CUSIP: 268648102 / Symbol: EMC								
10/23/14	42,000		1,164.84	10/21/13	1,052.41		112.43	Sale
12/08/14	105,000		3,150.55	10/21/13	2,631.02		519.53	Sale
	Security total:		4,315.39		3,683.43		631.96	
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX								
10/23/14	36,000		2,630.46	03/19/13	2,120.30		510.16	Sale
12/08/14	49,000		4,157.76	03/19/13	2,885.97		1,271.79	Sale
	Security total:		6,788.22		5,006.27		1,781.95	
FORD MTR CO DEL COM PAR \$0.01 / CUSIP: 345370860 / Symbol: F								
03/06/14	134,000		2,107.87	11/02/12	1,513.11		594.76	Sale
10/23/14	28,000		404.17	11/02/12	316.17		88.00	Sale
	Security total:		2,512.04		1,829.28		682.76	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
03/06/14	4,000		4,888.91	11/02/12	2,768.63		2,120.28	Sale
10/23/14	3,000		1,663.25	11/02/12	1,036.88		826.37	Sale
	Security total:		6,552.16		3,805.51		2,746.65	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG								
04/08/14	6,000		3,311.23	11/02/12	2,079.19		1,232.04	Sale
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL								
	2 tax lots for 03/06/14.							
	25,000		1,427.47	10/18/11	864.11		563.36	Sale

Openheimer & Co. Inc.		Proceeds Not Reported to the IRS		Account: G400109422	
2014		(continued)			

LONG-TERM TRANSACTIONS									NOT reported on Form 1099-B	
Report on Form 8949, Part II, with Box F checked										
Description of property										
Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information		
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL (cont'd)										
	29 000	1,655.87		03/23/12	973.83	...	682.04	Sale		
03/06/14	54 000	3,083.34		VARIOUS	1,837.94		1,245.40	Total of 2 lots		
05/02/14	24 000	1,525.31		03/23/12	805.93		719.38	Sale		
	2 tax lots for 12/03/14.									
	27 000	1,101.69		03/23/12	906.67	.	195.03	Sale		
	202 000	8,242.30		05/23/12	6,197.46	...	2,044.84	Sale		
12/03/14	229 000	9,343.99		VARIOUS	7,104.13	...	2,239.87	Total of 2 lots		
	Security total:	13,952.64			9,748.00	...	4,204.65			
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON										
03/06/14	44 000	4,186.08		10/20/09	1,664.61	.	2,521.47	Sale		
10/23/14	10 000	937.47		10/20/09	378.32	..	559.15	Sale		
	2 tax lots for 12/18/14									
	9 000	902.74		10/20/09	340.49	...	562.25	Sale		
	22 000	2,206.71		08/20/10	889.38	...	1,317.32	Sale		
12/18/14	31 000	3,109.45		VARIOUS	1,229.87	..	1,879.57	Total of 2 lots		
	Security total:	8,233.00			3,272.80	...	4,960.19			
KBR INC / CUSIP: 48242W106 / Symbol: KBR										
	4 tax lots for 04/17/14.									
	151 000	3,987.91		11/25/09	2,893.79		1,094.12	Sale		
	40 000	1,056.40		08/24/11	1,088.93	...	-32.53	Sale		
	214 000	5,651.74		05/10/12	6,517.28	...	-865.54	Sale		
	74 000	1,954.34		07/19/12	1,814.25	...	140.09	Sale		
04/17/14	479 000	12,650.39		VARIOUS	12,314.25	...	336.14	Total of 4 lots		
MERCK & CO INC NEW / CUSIP: 58933Y105 / Symbol: MRK										
08/21/14	96 000	5,661.66		04/24/13	4,613.14	...	1,048.52	Sale		
10/23/14	28 000	1,588.12		04/24/13	1,345.50	...	242.62	Sale		
	2 tax lots for 12/12/14.									
	35 000	2,041.38		04/24/13	1,681.88	.	359.51	Sale		

Oppenheimer & Co. Inc.

Account G400109422

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MERCK & CO INC NEW / CUSIP: 58933Y105 / Symbol: MRK (cont'd)	13 000	758 23	05/01/13	593.06	.	165 17	Sale
12/12/14	48,000	2,799.61	VARIOUS	2,274.94	.	524.68	Total of 2 lots
Security total:		10,049 39		8,233.58	..	1,815 82	
METLIFE INC / CUSIP: 59156R108 / Symbol: MET					...		
01/09/14	54,000	2,933.51	08/25/10	1,918.55	...	1,014 96	Sale
2 tax lots for 03/06/14.					...		
20,000	1,052.38		08/25/10	710.57	...	341.80	Sale
6,000	315 71		08/24/11	192.03	...	123.68	Sale
26 000	1,368.09		VARIOUS	802.80	...	465.48	Total of 2 lots
03/06/14					...		
10/23/14	29 000	1,463.01	08/24/11	928 16	...	534 85	Sale
Security total:		5,764.61		3,749 31	...	2,015 29	
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV					...		
03/06/14	30,000	2,340.55	02/28/13	2,052.06	...	288.49	Sale
09/23/14	187 000	14,736 41	02/28/13	11,536.66	..	3,199 75	Sale
Security total:		17,076.96		13,588.72	...	3,488 24	
NORFOLK SOUTHERN CORP / CUSIP: 655844108 / Symbol: NSC					...		
5 tax lots for 01/22/14.					...		
26,000	2,416 63		08/15/09	1,021.05	...	1,395.57	Sale
46 000	4,275 57		02/01/11	2,842.30	...	1,433.27	Sale
26,000	2,416 63		03/02/12	1,771.38	.	645 25	Sale
72,000	6,692 19		05/23/12	4,788 47	...	1,903.72	Sale
18,000	1,673.05		10/15/12	1,208.98	...	464.07	Sale
01/22/14	188,000	17,474.07	VARIOUS	11,632.18	...	5,841.88	Total of 5 lots
NOVARTIS A G SPONSORED ADR / CUSIP: 66987V109 / Symbol: NVS					...		
10/23/14	24,000	2,143 39	06/25/13	1,650 65	...	492 74	Sale
NOW INC / CUSIP: 67011P100 / Symbol: DNOW					...		
08/04/14	46,000	1,570 88	02/28/13	1,254.49	...	316 39	Sale

Openheimer & Co. Inc.		Account G400.109422	
2014			

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG	37,000		3,084.25	08/19/12	2,310.26	..	773.99	Sale
	2 tax lots for 09/23/14.							
	38,000		3,221.73	08/19/12	2,372.70	..	849.03	Sale
	110,000		9,326.07	08/21/12	6,630.70	..	2,695.37	Sale
09/23/14	148,000		12,547.80	VARIOUS	9,003.40	..	3,544.40	Total of 2 lots
	Security total:		15,632.05		11,313.66	..	4,318.39	
REGIONS FINL CORP NEW / CUSIP: 7591EP100 / Symbol: RF								
03/06/14	104,000		1,130.46	11/02/12	699.82	..	430.64	Sale
10/23/14	130,000		1,225.22	11/02/12	874.77	..	350.45	Sale
	Security total:		2,355.68		1,574.59	..	781.09	
STANLEY BLACK & DECKER INC / CUSIP: 854502101 / Symbol: SWK								
03/06/14	22,000		1,801.64	12/14/12	1,575.49	..	226.05	Sale
08/26/14	41,000		3,731.21	12/14/12	2,936.14	..	795.07	Sale
10/23/14	16,000		1,425.88	12/14/12	1,145.81	..	280.07	Sale
12/18/14	4,000		384.16	12/14/12	286.45	..	97.71	Sale
	Security total:		7,342.79		5,943.89	..	1,398.90	
SUPERIOR ENERGY SVCS INC / CUSIP: 868157108 / Symbol: SPN								
03/06/14	98,000		2,929.16	02/29/12	2,867.50	..	61.66	Sale
05/02/14	52,000		1,715.89	02/29/12	1,521.53	..	194.36	Sale
	2 tax lots for 07/01/14.							
	88,000		3,166.15	02/29/12	2,574.90	..	591.25	Sale
	180,000		6,476.22	06/21/12	3,456.57	..	3,020.65	Sale
07/01/14	268,000		9,642.37	VARIOUS	6,030.47	..	3,611.90	Total of 2 lots
	Security total:		14,287.42		10,419.50	..	3,867.92	
SYMANTEC CORP / CUSIP: 871503108 / Symbol: SYMC								
03/06/14	34,000		712.62	04/24/12	543.90	..	168.72	Sale
	3 tax lots for 03/10/14.							
	184,000		3,762.73	04/24/12	2,943.48	..	819.25	Sale
	50,000		1,022.48	07/19/12	691.00	..	331.48	Sale

Account G400109422

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SYMANTEC CORP / CUSIP: 871503108 / Symbol: SYMC (cont'd)	1 000	20.45	20.45	09/27/12	18.25	...	2 20	Sale
03/10/14	235,000	4,805.66	4,805.66	VARIOUS	3,652.73	...	1,152.93	Total of 3 lots
03/14/14	331,000	6,711.47	6,711.47	09/27/12	6,040.22	...	671.25	Sale
Security total:		12,229.75	12,229.75		10,236.85		1,992.90	
TARGET CORP / CUSIP: 87812E106 / Symbol: TGT								
2 tax lots for 06/02/14.								
06/02/14	25,000	1,415.81	1,415.81	10/07/10	1,352.59	...	63.22	Sale
	50,000	2,831.62	2,831.62	02/22/11	2,562.38	...	269.24	Sale
	75,000	4,247.43	4,247.43	VARIOUS	3,914.97	...	332.46	Total of 2 lots
2 tax lots for 10/23/14.								
10/23/14	36,000	2,236.64	2,236.64	02/22/11	1,844.91	...	391.72	Sale
	14,000	869.80	869.80	08/26/11	712.31	...	157.49	Sale
	50,000	3,106.44	3,106.44	VARIOUS	2,557.22	...	549.21	Total of 2 lots
11/19/14	21,000	1,509.70	1,509.70	08/26/11	1,068.46	...	441.24	Sale
2 tax lots for 11/21/14								
11/21/14	7,000	499.19	499.19	08/26/11	356.16	...	143.04	Sale
	28,000	1,996.78	1,996.78	01/19/12	1,401.07	...	595.70	Sale
	35,000	2,495.97	2,495.97	VARIOUS	1,757.23	...	738.74	Total of 2 lots
Security total:		11,359.54	11,359.54		9,297.88	...	2,061.65	
VALERO ENERGY CORP NEW / CUSIP: 91913Y100 / Symbol: VLO								
03/05/14	86,000	4,517.50	4,517.50	11/12/12	2,323.15	...	2,194.35	Sale
05/02/14	59,000	3,423.37	3,423.37	11/12/12	1,593.79	...	1,829.58	Sale
2 tax lots for 10/23/14								
10/23/14	13,000	624.89	624.89	05/02/13	482.09	...	142.80	Sale
	35,000	1,682.41	1,682.41	05/07/13	1,396.14	...	286.26	Sale
	48,000	2,307.30	2,307.30	VARIOUS	1,878.23	...	429.06	Total of 2 lots
12/19/14	38,000	1,832.42	1,832.42	06/07/13	1,515.81	...	316.61	Sale
2 tax lots for 12/23/14.								
	87,000	4,277.15	4,277.15	08/07/13	3,470.41	...	806.73	Sale

Oppeheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G400109422**
2014 (continued)

LONG-TERM TRANSACTIONS								
Report on Form 8949, Part II, with Box F checked								
NOT reported on Form 1099-B								
Description of property								
Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VALERO ENERGY CORP NEW / CUSIP: 91913Y100 / Symbol: VLO (cont'd)								
12/23/14	31,000	1,524.04	5,801.19	07/17/13	1,084.89	...	439.15	Sale
	118,000			VARIOUS	4,555.30	...	1,245.88	Total of 2 lots
	Security total:		17,881.78		11,866.28	..	6,015.48	
AMDOCS LTD / CUSIP: G02802103 / Symbol: DOX								
10/23/14	32,000	1,471.64		10/01/13	1,178.20	.	295.44	Sale
11/07/14	56,000	2,649.60		10/01/13	2,058.35	...	591.25	Sale
	Security total:		4,121.24		3,234.55	.	886.69	
WILLIS GROUP HOLDINGS PUBLIC L / CUSIP: G96666105 / Symbol: WSH								
03/06/14	32,000	1,378.53		02/04/09	744.70	.	633.83	Sale
08/27/14	48,000	2,006.49		02/04/09	1,117.05	...	889.44	Sale
10/23/14	34,000	1,377.64		02/04/09	791.25	.	586.39	Sale
	Security total:		4,762.66		2,653.00	...	2,109.66	
ACE LTD / CUSIP: H0023R105 / Symbol: ACE								
2 tax lots for 03/06/14.								
03/06/14	11,000	1,082.05		09/17/09	567.63	...	514.42	Sale
	11,000	1,082.05		01/06/10	526.76	...	555.29	Sale
	22,000	2,164.10		VARIOUS	1,084.39	.	1,069.71	Total of 2 lots
2 tax lots for 10/23/14								
	19,000	2,002.55		01/06/10	909.87	...	1,092.69	Sale
	2,000	210.80		04/30/10	105.80	.	104.99	Sale
10/23/14	21,000	2,213.35		VARIOUS	1,015.67	...	1,197.68	Total of 2 lots
12/18/14	30,000	3,410.41		04/30/10	1,587.01	...	1,823.40	Sale
	Security total:		7,787.86		3,687.07	...	4,080.79	
	Totals:		292,230.07		211,911.70	✓	80,318.32	

Oppenheimer & Co. Inc.

Account: C400723092

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANCO SANTANDER SA ADR / CUSIP: 05964H105 / Symbol: SAN	0.000		275.92	05/12/14	0.00	..	275.92	Cash In lieu
CARREFOUR SA SPONSORED ADR / CUSIP: 144430204 / Symbol: CRRFY	10.000		75.46	08/11/14	71.14	...	4.32	Sale
KONINKLIJKE AHOLD N V SPONSORED ADR NE / CUSIP: 500467105 / Symbol: AHONY	0.000		10.39	04/09/14	0.00	...	10.39	Cash In lieu
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ	0.000		2.34	02/24/14	0.00	.	2.34	Cash in lieu
07/25/14	97.000		4,970.43	02/24/14	4,531.35	.	438.08	Sale
Security total.			4,972.77		4,531.35	...	441.42	
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR / CUSIP: 92857W308 / Symbol: VOD	0.000		11.27	03/06/14	0.00	..	11.27	Cash In lieu
Totals:			5,345.81		4,902.49	...	743.32	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CARREFOUR SA SPONSORED ADR / CUSIP: 144430204 / Symbol: CRRFY								
2 tax lots for 02/11/14								
163.000			1,186.58	10/24/11	844.62	...	341.97	Sale
132.000			960.92	01/12/12	562.10	..	398.82	Sale
295.000			2,147.50	VARIOUS	1,406.72	...	740.79	Total of 2 lots
321.000			2,448.59	01/12/12	1,366.92	.	1,081.67	Sale
2 tax lots for 07/02/14.								
107.000			808.53	01/12/12	455.64	...	352.89	Sale

Oppenheimer & Co. Inc.

Account: G400123092

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (Net)	Proceeds (G)ross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional Information
CARREFOUR SA SPONSORED ADR / CUSIP: 144430204 / Symbol: CRRFY (cont'd)								
07/02/14	167,000	1,261.90	1,261.90	01/30/12	773.48	..	488.43	Sale
	274,000	2,070.43	2,070.43	VARIOUS	1,229.12	..	841.32	Total of 2 lots
2 tax lots for 07/03/14								
	255,000	1,924.26	1,924.26	01/30/12	1,181.06	..	743.20	Sale
	79,000	596.14	596.14	07/31/12	249.64	..	346.50	Sale
07/03/14	334,000	2,520.40	2,520.40	VARIOUS	1,430.70	..	1,089.70	Total of 2 lots
Security total:			9,186.92		5,433.46	..	3,753.48	
DAIMLER AG SPONSORED ADR / CUSIP: 233825106 / Symbol: DDAIY								
03/26/14	21,000	1,938.47	1,938.47	02/22/13	1,231.41	..	707.06	Sale
DEUTSCHE TELEKOM AG SPONSORED ADR / CUSIP: 251586105 / Symbol: DTEGY								
3 tax lots for 02/14/14								
	43,000	707.41	707.41	03/09/07	714.02	..	-6.61	Sale
	13,000	213.87	213.87	03/14/07	211.56	..	2.31	Sale
	115,000	1,891.81	1,891.81	04/16/07	2,062.57	..	-170.66	Sale
02/14/14	171,000	2,813.19	2,813.19	VARIOUS	2,988.15	..	-174.96	Total of 3 lots
GDF SUEZ SPONS ADR / CUSIP: 36180B105 / Symbol: GDFZY								
2 tax lots for 11/24/14.								
	6,000	141.27	141.27	04/04/11	241.35	..	-100.08	Sale
	82,000	1,930.69	1,930.69	05/05/11	3,171.72	..	-1,241.03	Sale
11/24/14	88,000	2,071.96	2,071.96	VARIOUS	3,413.07	..	-1,341.11	Total of 2 lots
2 tax lots for 11/26/14.								
	6,000	145.62	145.62	05/05/11	232.08	..	-86.46	Sale
	92,000	2,232.83	2,232.83	03/15/12	2,416.19	..	-183.36	Sale
11/26/14	98,000	2,378.45	2,378.45	VARIOUS	2,648.27	..	-269.82	Total of 2 lots
Security total:			4,450.41		6,061.34	..	-1,610.93	
KAO CORP SPONSORED ADR / CUSIP: 485537302 / Symbol: KORPY								
07/30/14	66,000	2,770.39	2,770.39	05/24/06	1,713.21	..	1,057.18	Sale

Oppenheimer & Co. Inc.

Account G400123092

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional information
KAO CORP SPONSORED ADR / CUSIP: 485537302 / Symbol: KCRPY (cont'd)								
2 tax lots for 09/02/14.								
	37,000	1,559.72	1,559.72	05/24/06	960.44	...	599.28	Sale
	14,000	590.16	590.16	11/07/06	363.54	...	226.62	Sale
09/02/14	51,000	2,149.88	2,149.88	VARIOUS	1,323.98	...	825.90	Total of 2 lots
Security total:		4,920.27	4,920.27		3,037.19	...	1,883.08	
NOVARTIS A G SPONSORED ADR / CUSIP: 66967V109 / Symbol: NVS								
2 tax lots for 08/29/14.								
	11,000	987.52	987.52	06/07/07	612.42	...	375.10	Sale
	17,000	1,526.17	1,526.17	06/18/07	948.44	...	577.73	Sale
08/29/14	28,000	2,513.69	2,513.69	VARIOUS	1,560.86	...	952.83	Total of 2 lots
12/17/14	25,000	2,299.23	2,299.23	06/18/07	1,394.77	...	904.46	Sale
Security total:		4,812.82	4,812.82		2,955.63	...	1,857.29	
ORANGE SPONSORED ADR / CUSIP: 684060106 / Symbol: ORAN								
2 tax lots for 11/06/14.								
	82,000	1,276.69	1,276.69	06/17/10	1,568.04	...	-291.35	Sale
	62,000	965.30	965.30	07/08/10	1,183.77	...	-218.47	Sale
11/06/14	144,000	2,241.99	2,241.99	VARIOUS	2,751.81	...	-509.82	Total of 2 lots
REED ELSEVIER N V SPONS ADR NEW / CUSIP: 758204200 / Symbol: ENL								
02/18/14	58,000	2,532.78	2,532.78	04/20/04	1,898.86	...	633.92	Sale
10/27/14	55,000	2,462.88	2,462.88	04/20/04	1,800.85	...	662.24	Sale
11/10/14	48,000	2,222.33	2,222.33	04/20/04	1,571.47	...	650.86	Sale
2 tax lots for 12/18/14.								
	32,000	1,500.47	1,500.47	04/20/04	1,047.65	...	452.83	Sale
	16,000	750.24	750.24	01/31/06	518.20	...	232.04	Sale
12/18/14	48,000	2,250.71	2,250.71	VARIOUS	1,565.85	...	684.87	Total of 2 lots
Security total:		9,468.70	9,468.70		6,836.83	...	2,631.89	

Oppenheimer & Co. Inc.

Account G400123092

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA							
2 tax lots for 03/18/14.							
	34 000	1,688.32	08/15/11	1,681.50	...	6.82	Sale
	25 000	1,241.41	06/23/11	1,184.98	...	56.43	Sale
03/18/14	59 000	2,929.73	VARIOUS	2,866.48	...	63.25	Total of 2 lots
2 tax lots for 11/07/14							
	34 000	1,963.12	06/23/11	1,611.58	...	351.55	Sale
	3 000	173.22	08/09/11	115.86	...	57.36	Sale
11/07/14	37 000	2,136.34	VARIOUS	1,727.44	...	408.91	Total of 2 lots
	Security total.	5,066.07		4,593.92	...	472.16	
TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP: 892331307 / Symbol: TM							
	18 000	2,264.35	04/15/05	1,402.66	...	861.69	Sale
	17 000	2,041.22	04/15/05	1,255.01	...	786.21	Sale
	Security total.	4,305.57		2,657.67	...	1,647.90	
VINCI S A ADR / CUSIP: 927320101 / Symbol VCISY							
2 tax lots for 03/26/14.							
	122 000	2,259.80	02/23/10	1,590.14	...	669.66	Sale
	13 000	240.80	09/22/10	160.66	...	80.14	Sale
03/26/14	135 000	2,500.60	VARIOUS	1,750.80	...	749.80	Total of 2 lots
	143 000	2,639.88	09/22/10	1,767.25	...	872.63	Sale
	Security total.	5,140.48		3,518.05	...	1,622.43	
	Totals:	54,344.99 ✓		42,065.46 ✓	...	12,279.58	

Openheimer & Co. Inc.
2014
Proceeds Not Reported to the IRS
Account G400123464

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CORNING INC / CUSIP: 219350105 / Symbol: GLW	90.000		1,769.42	02/03/14	1,531.16	..	238.26	Sale
HALYARD HEALTH INC / CUSIP 40650V100 / Symbol: HYH								
11/03/14	0.000		33.84	11/03/14	0.00	...	33.84	Cash In lieu
METLIFE INC / CUSIP: 59156R108 / Symbol: MET								
03/05/14	55.000		2,865.45	03/26/13	2,111.27	...	754.18	Sale
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ								
02/24/14	0.000		26.70	02/24/14	0.00	...	26.70	Cash In lieu
02/26/14	198.000		9,125.86	02/24/14	9,249.57	..	-123.71	Sale
Security total:			9,152.56		9,249.57	...	-97.01	
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR / CUSIP: 92857W308 / Symbol: VOD								
03/06/14	0.000		33.80	03/06/14	0.00	..	33.80	Cash In lieu
Totals:			13,855.07		12,892.00	...	963.07	

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AT&T INC / CUSIP: 00206R102 / Symbol: T	125.000		4,317.41	03/13/06	3,399.38	...	918.03	Sale
ALTRIA GROUP INC / CUSIP: 02208S103 / Symbol: MO								
2 tax lots for 10/22/14:								
140.000			6,591.33	04/17/06	2,192.86	..	4,398.46	Sale
15.000			706.21	04/07/08	328.81	...	377.41	Sale
10/22/14	155.000		7,297.54	VARIOUS	2,521.67	..	4,775.87	Total of 2 lots

Openheimer & Co. Inc.

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Loss (N) / Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ASTRAZENECA PLC SPONSORED ADR / CUSIP: 048353108 / Symbol: AZN							
05/22/14	185,000	13,358.07	07/10/08	8,663.53	.	4,694.54	Sale
10/22/14	60,000	4,135.10	07/10/08	2,809.79	..	1,325.31	Sale
Security total:		17,493.17		11,473.32	..	6,019.85	
BCE INC COM NEW / CUSIP: 05634B760 / Symbol: BCE							
10/22/14	50,000	2,138.45	02/14/13	2,209.05	..	-70.60	Sale
BOEING CO / CUSIP: 097023105 / Symbol: BA							
2 tax lots for 03/06/14:							
140,000		18,010.34	05/12/09	6,145.68	...	11,864.66	Sale
30,000		3,859.36	04/14/10	2,142.30	...	1,717.06	Sale
170,000		21,869.70	VARIOUS	8,287.98	...	13,581.72	Total of 2 lots
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX							
10/22/14	10,000	1,140.07	04/17/06	591.30	...	548.77	Sale
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO							
10/22/14	70,000	1,627.46	09/17/12	1,336.13	..	291.33	Sale
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP							
10/22/14	70,000	4,866.29	10/30/09	2,711.73	...	2,154.56	Sale
DIAGEO P L C SPON ADR NEW / CUSIP: 25243Q205 / Symbol: DEO							
03/05/14	45,000	5,608.70	04/17/06	2,822.40	..	2,786.30	Sale
DOMINION RES INC VA NEW / CUSIP: 25746U109 / Symbol: D							
03/05/14	30,000	2,055.56	07/19/10	1,238.68	...	816.88	Sale
06/16/14	200,000	13,828.53	07/19/10	8,257.84	...	5,570.69	Sale
Security total:		15,884.09		9,496.52	.	6,387.57	
DU PONT E I DE NEMOURS & CO / CUSIP: 263534109 / Symbol: DD							
03/05/14	65,000	4,370.52	12/31/09	2,201.41	..	2,169.11	Sale
10/22/14	40,000	2,746.73	12/31/09	1,354.72	..	1,392.01	Sale
Security total:		7,117.25		3,556.13	...	3,561.12	

Account: G400123464

Account: G400123464

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional information
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE	75.000		1,886.21	04/18/07	2,634.73	...	-748.52	Sale
HCP INC REIT / CUSIP: 40414L109 / Symbol: HCP	30.000		1,277.07	06/29/10	950.92	...	326.15	Sale
HEALTH CARE REIT INC REIT / CUSIP: 42217K106 / Symbol: HCN	20.000		1,363.56	05/29/07	823.85	...	539.71	Sale
INTEL CORP / CUSIP: 458140100 / Symbol: INTC								
2 tax lots for 10/22/14.								
380.000			12,259.29	11/23/09	7,371.39	...	4,887.90	Sale
105.000			3,387.43	04/14/10	2,465.39	...	922.04	Sale
485.000			15,646.72	VARIOUS	9,836.78	...	5,809.94	Total of 2 lots
JPMORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM								
03/05/14	30.000		1,745.66	03/26/12	1,382.37	...	363.29	Sale
10/22/14	65.000		3,740.01	03/26/12	2,995.13	...	744.88	Sale
Security total:			5,485.67		4,377.50	...	1,108.17	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ								
10/22/14	55.000		5,581.83	10/10/08	3,081.56	...	2,500.27	Sale
KIMBERLY CLARK CORP / CUSIP: 494368103 / Symbol: KMB								
03/05/14	30.000		3,259.44	10/05/07	2,100.45	...	1,158.99	Sale
10/22/14	20.000		2,257.15	10/05/07	1,400.30	...	856.85	Sale
Security total:			5,516.59		3,500.75	...	2,015.84	
KRAFT FOODS GROUP INC / CUSIP: 50076Q106 / Symbol: KRFT								
02/04/14	183.000		9,354.79	06/03/08	6,162.39	...	3,192.40	Sale
LILLY ELI & CO / CUSIP: 532457108 / Symbol: LLY								
03/05/14	165.000		9,802.59	06/03/08	7,954.42	...	1,848.17	Sale
10/22/14	60.000		3,885.51	06/03/08	2,892.52	...	992.99	Sale
Security total:			13,688.10		10,846.94	...	2,841.16	

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G400123464**
2014 (continued)

NOT reported on Form 1099-B									
LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
MERCK & CO INC NEW / CUSIP: 58933Y105 / Symbol: MRK									
03/05/14	65,000		3,699.08	09/30/11	2,146.04	...	1,553.04	Sale	
10/22/14	35,000		1,945.95	09/30/11	1,155.56	..	790.39	Sale	
	Security total:		5,645.03		3,301.60	.	2,343.43		
METLIFE INC / CUSIP: 59156R108 / Symbol: MET									
10/22/14	25,000		1,249.22	03/26/13	959.67	.	289.55	Sale	
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT									
03/05/14	110,000		4,194.77	04/20/09	2,055.13	...	2,139.64	Sale	
	2 tax lots for 10/22/14.								
	70,000		3,098.83	04/20/09	1,307.81	..	1,791.02	Sale	
10/22/14	55,000		2,434.80	09/09/10	1,326.08	...	1,108.72	Sale	
	125,000		5,533.63	VARIOUS	2,633.89	.	2,899.74	Total of 2 lots	
	Security total		9,728.40		4,689.02	.	5,039.38		
NEXTERA ENERGY INC / CUSIP: 65339F101 / Symbol: NEE									
03/05/14	105,000		9,520.19	02/20/09	5,178.20	..	4,341.99	Sale	
10/22/14	35,000		3,379.87	02/20/09	1,726.07	...	1,653.80	Sale	
	Security total:		12,900.06		6,904.27	...	5,995.79		
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM									
10/22/14	40,000		3,498.32	04/17/06	1,439.38	...	2,058.94	Sale	
RAYTHEON CO COM NEW / CUSIP: 755111507 / Symbol: RTN									
03/05/14	135,000		13,595.61	04/09/12	6,969.08	...	6,626.53	Sale	
10/22/14	15,000		1,464.41	04/09/12	774.34	...	690.07	Sale	
	Security total:		15,060.02		7,743.42	...	7,316.60		
ROYAL DUTCH SHELL PLC SPON ADR B / CUSIP: 780259107 / Symbol: RDSB									
03/05/14	55,000		4,288.17	03/25/11	4,017.83	...	280.34	Sale	
3M CO / CUSIP: 88579Y101 / Symbol: MMM									
03/05/14	80,000		10,709.41	01/07/09	4,687.84	..	6,021.57	Sale	
10/22/14	15,000		2,081.65	01/07/09	878.97	...	1,202.68	Sale	
	Security total:		12,791.06		5,566.81	...	7,224.25		

Oppenheimer & Co. Inc.

Account: G400123464

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TRAVELERS COMPANIES INC / CUSIP: 89417E108 / Symbol: TRV	10.000	955.77	395.16	03/27/09	560.61	Sale		
UNILEVER N V N Y SHS NEW / CUSIP: 804784708 / Symbol: UN	50.000	1,964.46	1,134.84	04/17/06	829.63	Sale		
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR / CUSIP: 82857W308 / Symbol: VOD	80.000	3,278.95	4,125.36	04/18/07	-845.41	Sale		
Totals:		220,531.13	130,898.39		89,632.75			

Oppenheimer & Co. Inc.

Account G401156968

Proceeds Not Reported to the IRS

2014

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMERICAN EXPRESS CENTRN B/E 1.05% DUE 03/10/14 / CUSIP: 02587DCS5 / Symbol: 03/10/14	100,000.000	100,000.00	100,000.00	09/02/11	100,000.00	.	0.00	Redemption Original basis: \$100,000.00
BMW BK NORTH AMER UTAH B/E 1.35% DUE 09/23/14 / CUSIP: 05568PR80 / Symbol: 09/23/14	100,000.000	100,000.00	100,000.00	09/15/11	100,000.00	..	0.00	Redemption Original basis: \$100,000.00
BMW BK NORTH AMER UTAH B/E 85% DUE 08/18/14 / CUSIP: 05568PY76 / Symbol: 08/18/14	30,000.000	30,000.00	30,000.00	08/14/12	30,000.00	..	0.00	Redemption Original basis: \$30,000.00
BEAR STEARNS & CO INC B/E 5.7% DUE 11/15/14 / CUSIP: 07385TAJ5 / Symbol: 11/17/14	50,000.000	50,000.00	50,000.00	12/09/10	50,000.00	..	0.00	Redemption Note: 56 Original basis: \$55,432.00
COMPASS BK BIRMINGHAM ALA B/E 1% DUE 12/28/14 / CUSIP: 20461PAC0 / Symbol: 12/29/14	125,000.000	125,000.00	125,000.00	06/27/12	125,000.00	..	0.00	Redemption Original basis: \$125,000.00
DEUTSCHE BK AG FGN 3 875% DUE 08/18/14 / CUSIP: 2515A0Q30 / Symbol: 08/18/14	50,000.000	50,000.00	52,965.50	12/09/10	52,965.50	..	-2,965.50	Redemption Original basis: \$52,965.50
DISCOVER BK GREENWOOD DEL B/E .75% DUE 05/23/14 / CUSIP: 254871BD1 / Symbol: 05/23/14	120,000.000	120,000.00	120,000.00	05/15/12	120,000.00	..	0.00	Redemption Original basis: \$120,000.00
GE CAP BK INC RETAIL B/E 1.45% DUE 05/13/14 / CUSIP: 36160YD69 / Symbol: 05/13/14	100,000.000	100,000.00	100,000.00	05/05/11	100,000.00	..	0.00	Redemption Original basis: \$100,000.00
GENERAL ELEC CAP CORP MTN BE B/E 5.8% DUE 05/13/14 / CUSIP: 36862G4C5 / Symbol: 05/13/14	50,000.000	50,000.00	50,000.00	12/09/10	50,000.00	..	0.00	Redemption Note: 56 Original basis: \$55,889.00

Oppenheimer & Co. Inc. Account: G40158968
 2014
Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
GOLDMAN SACHS BK USA NY B/E 1.25% DUE 09/15/14 / CUSIP: 3814265G6 / Symbol: 09/15/14	100,000.000	100,000.00	100,000.00	09/02/11	100,000.00	...	0.00	Redemption Original basis: \$100,000.00
MELLON FDG CORP B/E 5 2% DUE 05/15/14 / CUSIP: 585515AG4 / Symbol: 05/15/14	50,000.000	50,000.00	50,000.00	12/09/10	50,000.00	...	0.00	Redemption Note: 56 Original basis: \$54,935.50
SOVEREIGN BK FSB WILMINGTON DE B/E .8% DUE 09/12/14 / CUSIP: 84603M2R6 / Symbol: 09/12/14	240,000.000	240,000.00	240,000.00	09/06/12	240,000.00	...	0.00	Redemption Original basis: \$240,000.00
WELLS FARGO CO MTN BE B/E 3 75% DUE 10/01/14 / CUSIP: 94974BET3 / Symbol: 10/01/14	50,000.000	50,000.00	50,000.00	12/09/10	50,000.00	...	0.00	Redemption Note: 56 Original basis: \$52,610.00
Totals:		1,165,000.00	1,167,965.50			...	-2,965.50	

Openheimer & Co. Inc. Account G401355586

2014

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHIPOTLE MEXICAN GRILL INC / CUSIP: 169656105 / Symbol: CMG	24,000		12,225.70	06/24/13	8,497.78	..	3,727.92	Sale
COGNIZANT TECHNOLOGY SOLUTIONS CL A / CUSIP: 192446102 / Symbol: CTSH								
2 tax lots for 08/18/14.								
	77,000		3,470.47	01/14/14	3,870.01	...	-399.54	Sale
	77,000		3,470.47	01/14/14	3,870.01	...	-399.54	Sale
	154,000		6,940.94	VARIOUS	7,740.02	...	-799.08	Total of 2 lots
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB	278,000		17,679.15	08/20/13	10,527.72	...	7,151.43	Sale
Totals:			36,845.79		28,765.52	..	10,080.27	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLERGAN INC / CUSIP: 018490102 / Symbol: AGN	110,000		12,853.27	10/03/12	10,244.39	...	2,608.88	Sale
CITRIX SYS INC / CUSIP: 177376100 / Symbol: CTXS	125,000		7,496.80	11/06/12	8,112.89	...	-616.09	Sale
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA	270,000		13,773.76	10/23/12	9,796.73	..	3,977.03	Sale
CUMMINS INC / CUSIP: 231021106 / Symbol: CMI	80,000		11,775.99	11/05/12	8,061.77	.	3,714.22	Sale

Openheimer & Co. Inc. Account: G401355586
 2014: Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DOLLAR TREE INC / CUSIP: 256746108 / Symbol: DLTR	4 tax lots for 08/18/14.							
	85,000		4,632.07	12/02/11	3,493.42	...	1,138.65	Sale
	85,000		4,632.07	12/02/11	3,493.42	...	1,138.65	Sale
	20,000		1,089.90	04/20/12	991.90	...	98.00	Sale
	20,000		1,089.90	04/20/12	991.90	...	98.00	Sale
08/18/14	210,000		11,443.94	VARIOUS	8,970.64	...	2,473.30	Total of 4 lots
EXXON MOBIL CORP / CUSIP: 30231G102 / Symbol: XOM	2 tax lots for 02/14/14							
	95,000		8,921.33	07/08/10	5,540.18	...	3,381.15	Sale
	25,000		2,347.72	04/20/12	2,131.75	...	215.97	Sale
02/14/14	120,000		11,269.05	VARIOUS	7,671.93	...	3,597.12	Total of 2 lots
F M C CORP COM NEW / CUSIP: 302491303 / Symbol: FMC	150,000		9,441.71	08/28/13	9,965.21	...	-523.50	Sale
09/15/14								
MONDELEZ INTL INC CL A / CUSIP: 609207105 / Symbol: MDLZ	2 tax lots for 04/07/14.							
	215,000		7,377.57	08/19/08	4,576.38	...	2,801.19	Sale
	50,000		1,715.72	04/20/12	1,264.27	...	451.45	Sale
04/07/14	265,000		9,093.29	VARIOUS	5,830.65	...	3,262.64	Total of 2 lots
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON	130,000		15,094.22	05/29/12	9,710.62	...	5,383.60	Sale
08/29/14								
NOBLE ENERGY INC / CUSIP: 655044105 / Symbol: NBL	2 tax lots for 10/31/14.							
	90,000		5,151.10	05/21/13	5,481.52	...	-330.42	Sale
	90,000		5,151.10	05/21/13	5,481.52	...	-330.42	Sale
10/31/14	180,000		10,302.20	VARIOUS	10,963.04	...	-660.84	Total of 2 lots

Account G401355586

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
PRECISION CASTPARTS CORP / CUSIP: 740189105 / Symbol: PCP	55.000	12,131.59		09/21/12	8,956.83	..	3,174.76	Sale
TRAVELERS COMPANIES INC / CUSIP: 89417E109 / Symbol: TRV								
2 (tax lots for 03/17/14)								
130.000		10,846.98		02/08/12	7,757.58	...	3,089.40	Sale
20.000		1,668.77		04/20/12	1,253.40	...	415.37	Sale
150.000		12,515.75		VARIOUS	9,010.98	...	3,504.77	Total of 2 lots
03/17/14								
Totals:		137,191.57			107,295.88	...	29,895.89	

Oppenheimer & Co. Inc.	
2014	
Proceeds Not Reported to the IRS	
Account G401387530	

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B				
Report on Form 8949, Part I, with Box C checked						
Description of property	Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
DWS SMALL CAP VALUE FD CL A OPEN END / CUSIP: 23338F820 / Symbol:						
		3 tax lots for 03/05/14.				
		22,943	644.70	926.90	..	-282.20 Sale
		2,362.557	66,387.85	65,938.96	..	448.89 Sale
		99,544	2,797.19	2,718.56	..	78.63 Sale
03/05/14		2,485,044	69,828.74	69,584.42	..	245.32 Total of 3 lots
EATON VANCE FIR ADVANTAGE FUND CLASS A / CUSIP: 277923660 / Symbol: EAFAX						
01/08/14		2,383.227	26,828.08	26,708.41	..	119.67 Sale
JP MORGAN MID CAP FD A OPEN END / CUSIP: 339128308 / Symbol: JAMCX						
01/06/14		547.635	18,668.89	15,914.27	..	2,754.62 Sale
THORNBURG INTL VALUE FD-A OPEN END / CUSIP: 885215657 / Symbol: TGVAX						
		4 tax lots for 07/18/14.				
		16,903	510.98	512.83	..	-1.85 Sale
		125.679	3,799.28	3,883.47	..	-84.19 Sale
		135.252	4,088.67	4,077.84	..	10.83 Sale
		30.065	908.86	912.48	..	-3.62 Sale
07/18/14		307.899	9,307.79	9,386.82	..	-78.83 Total of 4 lots
Totals:			124,634.50	121,593.72	..	3,040.78

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B				
Report on Form 8949, Part II, with Box F checked						
Description of property	Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
BARON ASSET FD SH BEN INT / CUSIP: 068278100 / Symbol: BARAX						
01/06/14		226.734	13,817.20	8,092.14	..	5,725.06 Sale
BARON GROWTH FD OPEN END / CUSIP: 068278209 / Symbol: BGRFX						
01/06/14		203.779	14,535.55	5,942.19	..	8,593.36 Sale

Account G401387530

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
01/06/14	225.574	CUSIP: 23338F820 / Symbol	6,318.34	12/12/08	5,086.69	...	1,231.65	Sale
	12 tax lots for 03/05/14.							
	396.341		11,137.18	12/12/08	8,937.48	...	2,199.70	Sale
	7.053		198.19	12/22/08	162.28	...	35.91	Sale
	1,012.093		28,439.81	03/17/09	19,250.00	...	9,189.81	Sale
	700.117		19,673.29	06/09/09	18,000.00	...	1,673.29	Sale
	7.250		203.73	12/22/09	221.27	...	-17.54	Sale
	9.134		256.67	12/21/10	333.29	...	-76.62	Sale
	2.141		60.16	01/04/11	78.86	...	-18.70	Sale
	63.859		1,794.44	01/11/11	2,972.35	...	-577.91	Sale
	3.020		84.86	03/28/11	114.31	...	-29.45	Sale
	733.974		20,624.67	05/12/11	28,625.00	...	-8,000.33	Sale
	67.424		1,994.61	12/21/11	2,065.86	...	-171.25	Sale
	94.246		2,648.31	12/21/12	3,435.23	...	-786.92	Sale
03/05/14	3,096.652		87,015.92	VARIOUS	83,595.93	...	3,419.99	Total of 12 lots
	Security total:		93,334.26		88,682.62	...	4,651.64	
	GABELLI EQUITY INCOME FD CL AAA / CUSIP: 36239T202 / Symbol: GABEX							
01/06/14	860.031		24,313.08	05/19/10	15,352.82	...	8,960.26	Sale
10/22/14	1,801.153		50,000.00	05/19/10	32,153.23	...	17,846.77	Sale
	Security total:		74,313.08		47,506.05	...	26,807.03	
	HARBOR INTL FD INV CL OPEN END / CUSIP: 411511645 / Symbol: HIINX							
	4 tax lots for 10/22/14.							
	732.234		47,536.64	09/18/08	38,698.55	...	8,838.09	Sale
	17.163		1,114.22	12/22/08	665.05	...	449.17	Sale
	11.954		776.05	12/22/09	633.80	...	142.25	Sale
	779.006		50,573.08	05/19/10	37,758.41	...	12,814.67	Sale
10/22/14	1,540.357		89,999.99	VARIOUS	77,755.81	...	22,244.18	Total of 4 lots
	THORNBURG INTL VALUE FD-A OPEN END / CUSIP: 885215657 / Symbol: TGVAX							
	21 tax lots for 07/18/14							
	1,561.231		47,196.01	09/18/08	37,828.62	...	9,367.39	Sale

Oppenheimer & Co. Inc.

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8249, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
THORNBURG INTL VALUE FD-A OPEN END / CUSIP 885215657 / Symbol: TGVAX (cont'd)								
	8.751		284.54	09/30/08	217.91		46.63	Sale
	3.691		111.58	12/30/08	68.02		43.56	Sale
	12.318		372.37	06/30/09	255.97		116.40	Sale
	3.491		105.53	09/29/09	82.83		22.70	Sale
	19.050		575.88	01/07/10	479.67		96.21	Sale
	0.372		11.25	03/30/10	9.32		1.93	Sale
	7.119		215.21	06/29/10	167.72		47.49	Sale
	4.294		129.81	09/28/10	112.24		17.57	Sale
	0.138		4.17	12/29/10	3.84		0.33	Sale
	1.471		44.47	03/29/11	42.41		2.06	Sale
	5,028.495		152,011.41	05/12/11	150,000.00		2,011.41	Sale
	38.042		1,150.01	06/28/11	1,076.21		73.80	Sale
	23.416		707.87	09/28/11	541.15		166.72	Sale
	4.782		144.58	12/28/11	114.71		29.85	Sale
	483.843		14,626.57	01/10/12	11,907.38		2,719.19	Sale
	12.820		387.55	03/28/12	345.63		41.92	Sale
	45.806		1,384.72	06/27/12	1,093.38		291.34	Sale
	20.799		628.75	09/26/12	550.13		78.62	Sale
	5.189		156.86	03/26/13	145.60		11.26	Sale
	41.051		1,240.97	06/26/13	1,092.77		148.20	Sale
07/18/14	7,326.169		221,470.09	VARIOUS	206,135.51		15,334.58	Total of 21 lots
Totals:			517,470.17		434,114.32		83,355.85	

Openheimer & Co. Inc.	Account G401416099
2014	

Proceeds Not Reported to the IRS

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 9999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Less not allowed(X)	Additional Information
ALEXION PHARMACEUTICALS INC / CUSIP: 015351109 / Symbol: ALXN	7,000	1,325.77	1,325.77	03/31/14	1,054.12	...	271.65	Sale
10/24/14								
ALIBABA GROUP HLDG LTD SPONSORED ADS / CUSIP: 01609W102 / Symbol: BABA	28,000	3,287.40	3,287.40	09/22/14	2,522.20	...	765.20	Sale
11/10/14								
ALTERA CORP / CUSIP: 021441100 / Symbol: ALTR	31,000	1,127.76	1,127.76	03/05/13	1,085.24	...	42.52	Sale
03/05/14								
08/07/14	41,000	1,346.86	1,346.86	10/24/13	1,334.96	...	11.90	Sale
Security total:		2,474.62	2,474.62		2,420.20	...	54.42	
CBS CORP NEW CL B / CUSIP: 124857202 / Symbol: CBS	22,000	1,140.67	1,140.67	08/08/14	1,276.22	...	-135.55	Sale
12/09/14								
CME GROUP INC / CUSIP: 12572Q105 / Symbol: CME	26,000	1,986.10	1,986.10	06/21/13	1,968.45	...	17.65	Sale
03/05/14								
12/02/14	25,000	2,123.18	2,123.18	05/20/14	1,704.50	...	418.68	Sale
Security total:		4,109.28	4,109.28		3,672.95	...	436.33	
CAMDEN PPTY TR SH BEN INT / CUSIP: 133131102 / Symbol: CPT								
2 tax lots for 01/17/14.								
01/17/14	26,000	1,564.50	1,564.50	06/21/13	1,686.21	...	-121.71	Sale
	12,000	722.07	722.07	06/28/13	838.55	...	-116.47	Sale
	38,000	2,286.57	2,286.57	VARIOUS	2,524.76	...	-238.18	Total of 2 lots
2 tax lots for 01/24/14.								
01/24/14	33,000	1,978.15	1,978.15	06/28/13	2,306.00	...	-327.85	Sale
	19,000	1,138.94	1,138.94	08/21/13	1,207.45	...	-68.51	Sale
	52,000	3,117.09	3,117.09	VARIOUS	3,513.45	...	-396.36	Total of 2 lots
2 tax lots for 01/31/14.								
01/31/14	41,000	2,531.31	2,531.31	08/21/13	2,605.55	...	-74.24	Sale
	1,000	61.74	61.74	08/22/13	63.90	...	-2.16	Sale
	42,000	2,593.05	2,593.05	VARIOUS	2,669.45	...	-76.40	Total of 2 lots
02/04/14	22,000	1,354.95	1,354.95	08/22/13	1,405.80	...	-50.85	Sale
Security total:		9,351.66	9,351.66		10,113.46	...	-761.79	

Account C401416099

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CATAMARAN CORP / CUSIP: 148887102 / Symbol: CTRX								
2 tax lots for 03/05/14.								
	53,000		2,368.53	06/11/13	2,857.22	...	-488.69	Sale
	33,000		1,474.74	06/21/13	1,668.83	...	-192.09	Sale
03/05/14	86,000		3,843.27	VARIOUS	4,524.05	...	-680.78	Total of 2 lots
Security total:								
06/18/14	2,000		86.89	06/21/13	101.02	...	-14.13	Sale
			3,930.16		4,625.07	...	-694.91	
CITRIX SYS INC / CUSIP: 177376100 / Symbol: CTXS								
01/28/14	4,000		234.80	04/26/13	249.06	...	-14.26	Sale
2 tax lots for 01/30/14.								
	30,000		1,550.74	04/26/13	1,867.96	...	-317.22	Sale
	41,000		2,119.34	06/05/13	2,609.16	...	-489.82	Sale
01/30/14	71,000		3,670.08	VARIOUS	4,477.12	...	-807.04	Total of 2 lots
4 tax lots for 02/21/14.								
	8,000		481.28	06/05/13	509.11	...	-27.83	Sale
	14,000		842.24	08/02/13	1,019.76	...	-177.52	Sale
	1,000		60.16	08/08/13	72.96	...	-12.80	Sale
	7,000		421.12	10/10/13	415.09	...	6.03	Sale
02/21/14	30,000		1,804.80	VARIOUS	2,016.92	..	-212.12	Total of 4 lots
2 tax lots for 03/05/14.								
	15,000		938.53	10/10/13	889.47	...	49.06	Sale
	6,000		375.41	11/14/13	331.35	...	44.06	Sale
03/05/14	21,000		1,313.94	VARIOUS	1,220.82	...	93.12	Total of 2 lots
4 tax lots for 03/27/14.								
	54,000		3,137.33	11/14/13	2,982.19	..	155.14	Sale
	11,000		639.09	11/18/13	630.17	...	8.92	Sale
	15,000		871.48	12/26/13	914.39	...	-42.91	Sale
	23,000		1,336.27	01/02/14	1,440.45	...	-104.18	Sale
03/27/14	103,000		5,984.17	VARIOUS	5,967.20	...	16.97	Total of 4 lots
Security total								
			13,007.79		13,831.12	...	-823.33	

Oppenheimer & Co. Inc.		Account G401416099	
2014		Proceeds Not Reported to the IRS	
		(continued)	

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
COSTCO WHSL CORP NEW / CUSIP: 22160K105 / Symbol: COST								
03/05/14	9,000	1,048.30	1,048.30	02/07/14	1,027.77	...	20.53	Sale
05/28/14	9,000	1,031.01	1,031.01	02/07/14	1,027.77	...	3.24	Sale
	3 tax lots for 10/20/14							
	31,000	3,906.84	3,906.84	02/07/14	3,540.11	...	366.73	Sale
	13,000	1,638.35	1,638.35	04/17/14	1,474.55	...	163.80	Sale
	3,000	378.08	378.08	04/28/14	345.93	...	32.15	Sale
10/20/14	47,000	5,923.27	5,923.27	VARIOUS	5,360.59	...	562.68	Total of 3 lots
	3 tax lots for 10/21/14							
	6,000	782.68	782.68	04/28/14	691.85	...	90.83	Sale
	9,000	1,174.03	1,174.03	08/02/14	1,040.66	...	133.37	Sale
	4,000	521.79	521.79	10/08/14	513.20	...	8.59	Sale
10/21/14	19,000	2,478.50	2,478.50	VARIOUS	2,245.71	...	232.79	Total of 3 lots
10/22/14	9,000	1,177.80	1,177.80	10/08/14	1,154.70	...	23.10	Sale
	Security total	11,658.88	11,658.88		10,816.54	...	842.34	
EBAY INC / CUSIP: 278642103 / Symbol: EBAY								
	3 tax lots for 01/24/14							
	45,000	2,444.36	2,444.36	04/03/13	2,502.96	...	-58.60	Sale
	34,000	1,846.85	1,846.85	08/08/13	1,821.35	...	25.50	Sale
	11,000	597.51	597.51	10/17/13	566.27	...	31.24	Sale
01/24/14	90,000	4,888.72	4,888.72	VARIOUS	4,890.58	...	-1.86	Total of 3 lots
10/01/14	28,000	1,545.28	1,545.28	07/10/14	1,395.94	...	149.34	Sale
	2 tax lots for 12/24/14							
	19,000	1,088.48	1,088.48	07/10/14	947.25	...	141.23	Sale
	6,000	343.73	343.73	07/11/14	307.38	...	36.35	Sale
12/24/14	25,000	1,432.21	1,432.21	VARIOUS	1,254.63	...	177.58	Total of 2 lots
	4 tax lots for 12/29/14							
	6,000	343.97	343.97	07/11/14	307.38	...	36.59	Sale
	38,000	2,178.50	2,178.50	07/14/14	1,946.36	...	232.14	Sale
	28,000	1,605.21	1,605.21	07/18/14	1,442.00	...	163.21	Sale

Openholmer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G401416099**
 (continued)

SHORT-TERM TRANSACTIONS **NOT reported on Form 1099-B**

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
EBAY INC / CUSIP: 278642103 / Symbol: EBAY (cont'd)	30 000	1,719.86	08/21/14	1,678.50		41.36	Sale
12/29/14	102 000	5,847.54	VARIOUS	5,374.24	..	473.30	Total of 4 lots
Security total:		13,713.75		12,915.39		798.36	
EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol: EW	38.000	2,755.33	03/12/13	3,345.90	...	-590.57	Sale
03/05/14							
2 tax lots for 04/14/14	37.000	3,075.06	04/26/13	2,400.13	..	674.93	Sale
04/14/14	4.000	332.44	06/26/13	266.94	...	65.50	Sale
04/15/14	41.000	3,407.50	VARIOUS	2,667.07	...	740.43	Total of 2 lots
	9.000	726.56	06/26/13	600.62	...	125.94	Sale
2 tax lots for 04/23/14.	10 000	802.29	06/26/13	667.36	...	134.93	Sale
04/23/14	37.000	2,968.48	10/02/13	2,639.54	..	328.94	Sale
	47.000	3,770.77	VARIOUS	3,306.90	...	463.87	Total of 2 lots
Security total:		10,660.16		9,920.49	...	739.67	
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB	39.000	2,789.04	01/24/14	2,131.74	...	657.30	Sale
03/05/14	19 000	1,268.79	01/24/14	1,038.54	..	230.25	Sale
03/21/14							
2 tax lots for 07/18/14	43.000	2,912.11	01/24/14	2,350.38	...	561.74	Sale
07/18/14	34.000	2,302.60	01/28/14	1,843.48	...	459.12	Sale
	77.000	5,214.71	VARIOUS	4,193.86	...	1,020.86	Total of 2 lots
10/29/14	41.000	3,102.48	01/28/14	2,223.02	...	879.46	Sale
Security total:		12,375.02		9,587.18	...	2,787.87	
FASTENAL CO / CUSIP: 311900104 / Symbol: FAST	67 000	3,248.10	10/11/13	3,207.29	...	40.81	Sale
03/05/14							
2 tax lots for 03/17/14.	12.000	594.74	10/11/13	574.44	...	20.30	Sale

Oppenheimer & Co. Inc.

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 9999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7- Loss not allowed(X)	Additional Information
FASTENAL CO / CUSIP: 311900104 / Symbol: FAST (cont'd)								
03/17/14	19 000		941 67	10/21/13	932.14		9 53	Sale
04/17/14	31 000		1,536.41	VARIOUS	1,506.58		29 83	Total of 2 lots
	30 000		1,509 30	10/21/13	1,471.80		37 50	Sale
	3 tax lots for 04/23/14.							
	7 000		349.77	10/21/13	343.42		6 35	Sale
	12 000		598.60	11/05/13	594.00		5.60	Sale
	22 000		1,099.28	11/05/13	1,089.00		10.28	Sale
04/23/14	41 000		2,048 65	VARIOUS	2,026.42		22.23	Total of 3 lots
	Security total.							
			8,342.46		8,212.09		130.37	
FIRST REP BK SAN FRANCISCO CAL / CUSIP: 33616C100 / Symbol: FRC								
03/05/14	23 000		1,209.31	08/02/13	1,018.99		180.32	Sale
06/18/14	1 000		54 57	08/02/13	44.30		10.27	Sale
	Security total.							
			1,263.88		1,063.29		200.59	
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR								
09/29/14	9 000		602.72	03/19/14	683.10		-80.38	Sale
FREEMPORT-MCMORAN INC CL B / CUSIP: 35671D857 / Symbol: FCX								
	2 tax lots for 08/08/14							
	19 000		687.42	06/06/14	662.15		25.27	Sale
	56 000		2,026 08	06/10/14	1,925 25		100.83	Sale
08/08/14	75 000		2,713.50	VARIOUS	2,587 40		126 10	Total of 2 lots
GILEAD SCIENCES INC / CUSIP: 3755558103 / Symbol: GILD								
03/05/14	28 000		2,404.05	04/17/13	1,507 79		896.26	Sale
	3 tax lots for 09/05/14							
	28 000		2,922 68	03/21/14	2,024.68		898.00	Sale
	9 000		939 43	03/24/14	653 94		285.49	Sale
	19 000		1,983.25	04/23/14	1,429 56		553 69	Sale
09/05/14	56 000		5,845 36	VARIOUS	4,108 18		1,737.18	Total of 3 lots
09/08/14	12 000		1,274.85	04/23/14	802.88		371.97	Sale
	Security total.							
			9,524.26		6,518.85		3,005.41	

Oppenheimer & Co. Inc. Account: G401416099
 2014
 Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS									
Report on Form 9999, Part I, with Box C checked									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Shown (Gross) Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG	12/17/14	4,000	2,007.23	01/24/14	2,272.57	.	-265.33	Sale	
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD	03/05/14	46,000	3,812.87	07/10/13	3,624.80	..	188.07	Sale	
	08/29/14	8,000	740.39	10/01/13	610.00	...	130.39	Sale	
	10/01/14	16,000	1,458.16	10/01/13	1,219.98	...	238.17	Sale	
4 tax lots for 10/20/14.									
		18,000	1,641.98	11/01/13	1,391.20	...	250.78	Sale	
		23,000	2,098.08	12/23/13	1,847.08	...	251.00	Sale	
		15,000	1,368.31	12/31/13	1,229.25	...	139.06	Sale	
		4,000	364.88	01/02/14	329.14	...	35.74	Sale	
10/20/14		60,000	5,473.25	VARIOUS	4,796.67	...	676.58	Total of 4 lots	
11/05/14		25,000	2,393.45	01/02/14	2,057.13	.	336.32	Sale	
2 tax lots for 11/20/14.									
		9,000	878.94	01/02/14	740.56	...	138.37	Sale	
		16,000	1,562.55	08/26/14	1,292.64	.	269.91	Sale	
11/20/14		25,000	2,441.49	VARIOUS	2,033.20	...	408.28	Total of 2 lots	
Security total:									
			16,320.61		14,341.79	.	1,978.81		
JOHNSON CTLS INC / CUSIP: 478386107 / Symbol: JCI									
3 tax lots for 10/20/14.									
		103,000	4,188.54	05/01/14	4,637.04	...	-450.50	Sale	
		18,000	731.63	05/20/14	837.35	...	-105.72	Sale	
		55,000	2,236.53	09/29/14	2,464.52	...	-228.99	Sale	
10/20/14		176,000	7,153.70	VARIOUS	7,938.91	.	-785.21	Total of 3 lots	
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY									
03/24/14		26,000	1,475.21	07/19/13	1,306.21	...	169.00	Sale	
KATE SPADE & CO / CUSIP: 485865109 / Symbol: KATE									
05/13/14		19,000	684.83	03/07/14	717.61	...	-52.78	Sale	
05/15/14		19,000	687.47	03/07/14	717.61	...	-30.14	Sale	

Openheimer & Co. Inc. Account: G401416099
 2014 Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
KATE SPADE & CO / CUSIP: 485865109 / Symbol: KATE (cont'd)								
2 tax lots for 11/03/14.								
	30 000		836.18	03/07/14	1,133.07	..	-296.89	Sale
	8 000		222.98	03/11/14	306.64	..	-83.66	Sale
11/03/14	38 000	1,059.16		VARIOUS	1,439.71	..	-380.55	Total of 2 lots
4 tax lots for 11/26/14.								
	24 000		747.83	03/11/14	919.92	..	-172.09	Sale
	12 000		373.92	04/30/14	410.61	..	-36.69	Sale
	19 000		592.04	05/06/14	676.75	..	-83.71	Sale
	26 000		810.15	08/12/14	810.52	..	-0.37	Sale
11/26/14	81 000	2,523.94		VARIOUS	2,816.80	..	-292.86	Total of 4 lots
4 tax lots for 12/02/14.								
	2 000		61.82	08/12/14	62.35	..	-0.53	Sale
	59 000		1,923.75	08/13/14	1,834.25	..	-10.50	Sale
	12 000		370.93	08/18/14	387.22	..	-16.29	Sale
	44 000		1,360.09	09/05/14	1,414.12	..	-54.03	Sale
12/02/14	117 000	3,616.59		VARIOUS	3,697.94	..	-81.35	Total of 4 lots
	Security total	8,551.99			9,389.67	.	-837.68	
LAUDER ESTEE COS INC CL A / CUSIP: 518439104 / Symbol: EL								
03/05/14	14 000	970.74		02/06/14	934.92	.	35.82	Sale
2 tax lots for 05/06/14								
	20 000		1,466.99	02/06/14	1,335.60	..	131.39	Sale
	24 000		1,760.38	02/07/14	1,594.56	..	165.82	Sale
05/06/14	44 000	3,227.37		VARIOUS	2,930.16	.	297.21	Total of 2 lots
	Security total.	5,120.05		02/07/14	797.28	.	124.66	Sale
08/18/14	12 000	921.94			4,662.36	.	457.69	
LINKEDIN CORP COM CL A / CUSIP: 53578A108 / Symbol: LNKD								
3 tax lots for 03/05/14.								
	1 000		207.73	05/10/13	172.73	..	35.00	Sale
	3 000		623.18	05/15/13	577.55	..	45.63	Sale

Openheimer & Co. Inc. Account: G401416099

2014 (continued)

Proceeds Not Reported to the IRS

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS								
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LINKEDIN CORP COM CL A / CUSIP: 53578A108 / Symbol: LNKD (cont'd)								
	4 000		830.90	06/05/13	661.73		169.17	Sale
03/05/14	8 000		1,661.81	VARIOUS	1,412.01	..	249.80	Total of 3 lots
04/23/14	9 000		1,571.45	06/05/13	1,488.89		82.56	Sale
4 tax lots for 04/25/14.								
	2 000		325.45	06/05/13	330.87	..	-5.42	Sale
	3 000		488.17	10/31/13	668.31	..	-180.14	Sale
	15 000		2,440.84	12/19/13	3,289.95	..	-849.11	Sale
	8 000		1,301.78	12/23/13	1,752.85	..	-451.07	Sale
04/25/14	28 000		4,556.24	VARIOUS	6,041.98	..	-1,485.74	Total of 4 lots
	Security total		7,789.50		8,942.88	..	-1,153.38	
LULULEMON ATHLETICA INC / CUSIP: 550021109 / Symbol: LULU								
03/05/14	5 000		249.09	02/25/14	256.15	..	-7.06	Sale
04/23/14	16 000		763.02	02/25/14	819.68	..	-56.66	Sale
05/06/14	9 000		402.29	02/25/14	461.07	..	-58.78	Sale
	Security total		1,414.40		1,536.90	..	-122.50	
MICRON TECHNOLOGY INC / CUSIP: 585112103 / Symbol: MU								
02/18/14	50 000		1,281.88	11/06/13	888.50		393.48	Sale
03/05/14	94 000		2,332.10	11/06/13	1,670.38	..	661.72	Sale
2 tax lots for 06/10/14								
	13 000		382.45	11/06/13	231.01	..	151.44	Sale
	64 000		1,882.84	11/06/13	1,133.42	..	749.42	Sale
06/10/14	77 000		2,265.29	VARIOUS	1,364.43	..	900.86	Total of 2 lots
08/05/14	23 000		733.50	11/06/13	407.32	..	326.18	Sale
	Security total:		6,612.87		4,330.63	..	2,282.24	
MORGAN STANLEY COM NEW / CUSIP: 617446448 / Symbol: MS								
03/05/14	35 000		1,119.11	06/03/13	894.25	..	224.86	Sale
3 tax lots for 03/24/14								
	69 000		2,243.62	06/03/13	1,762.95	..	480.67	Sale
	34 000		1,105.55	06/11/13	900.32	..	205.23	Sale

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MORGAN STANLEY COM NEW / CUSIP: 617446448 / Symbol: MS (cont'd)								
03/24/14	65,000	2,113.55	2,113.55	07/03/13	1,560.59		552.96	Sale
	168,000	5,462.72	5,462.72	VARIOUS	4,223.86		1,238.86	Total of 3 lots
	Security total:		6,581.83		5,118.11	...	1,463.72	
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE								
09/28/14	5,000		447.19	01/17/14	368.15	..	78.05	Sale
PVH CORP / CUSIP: 693656100 / Symbol: PVH								
01/15/14	15,000		1,902.17	08/08/13	1,956.00	...	-53.83	Sale
03/05/14	13,000		1,634.20	08/08/13	1,695.20	...	-61.00	Sale
03/27/14	9,000		1,109.13	08/08/13	1,173.60	...	-64.47	Sale
	2 tax lots for 05/23/14.							
	4,000		525.55	08/08/13	521.60	...	3.95	Sale
	5,000		656.94	08/19/13	639.05	...	17.89	Sale
05/23/14	9,000		1,182.49	VARIOUS	1,160.65	...	21.84	Total of 2 lots
	3 tax lots for 06/04/14							
	3,000		391.82	08/19/13	383.43	...	8.39	Sale
	7,000		914.25	09/11/13	871.08	...	43.17	Sale
	6,000		783.64	09/27/13	703.67	...	79.97	Sale
06/04/14	16,000		2,089.71	VARIOUS	1,958.18	...	131.53	Total of 3 lots
06/16/14	6,000		698.63	09/27/13	703.67	...	-5.04	Sale
	2 tax lots for 06/26/14							
	14,000		1,625.66	10/31/13	1,747.48	...	-121.82	Sale
	8,000		928.95	11/01/13	994.77	...	-65.82	Sale
06/26/14	22,000		2,554.61	VARIOUS	2,742.25	..	-187.64	Total of 2 lots
	Security total:		11,170.94		11,389.55	...	-218.61	
PHARMACYCLICS INC / CUSIP: 716933106 / Symbol: PCYC								
10/29/14	7,000		920.12	03/24/14	804.92	...	115.20	Sale
11/03/14	3,000		389.72	03/24/14	344.97	...	44.75	Sale
11/10/14	21,000		2,800.48	03/24/14	2,414.77	..	385.72	Sale
11/26/14	19,000		2,626.50	03/24/14	2,184.79	...	441.71	Sale
	Security total:		6,736.83		5,748.45	...	987.38	

Openheimer & Co. Inc. Account G401416099
2014 Proceeds Not Reported to the IRS
 (continued)

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B						
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM								
3 tax lots for 02/07/14.								
	23,000	1,827.32	1,827.32	02/08/13	2,076.37	...	-249.05	Sale
	7,000	556.14	556.14	08/03/13	644.63	...	-88.49	Sale
	30,000	2,383.46	2,383.46	07/23/13	2,661.57	...	-278.11	Sale
02/07/14	60,000	4,766.92	4,766.92	VARIOUS	5,382.57	...	-615.65	Total of 3 lots
PRECISION CASTPARTS CORP / CUSIP: 740189105 / Symbol: PCP								
03/05/14	6,000	1,562.31	1,562.31	09/09/13	1,329.96	...	232.35	Sale
PRICE T ROWE GROUP INC / CUSIP: 74144T108 / Symbol: TROW								
03/05/14	16,000	1,304.61	1,304.61	11/06/13	1,237.76	...	66.85	Sale
05/01/14	13,000	1,056.27	1,056.27	11/06/13	1,005.68	...	50.59	Sale
05/06/14	31,000	2,496.68	2,496.68	11/06/13	2,398.16	...	98.52	Sale
3 tax lots for 07/29/14								
	2,000	160.30	160.30	11/06/13	154.72	..	5.58	Sale
	9,000	721.33	721.33	11/06/13	696.24	..	25.09	Sale
	2,000	160.30	160.30	11/11/13	158.12	...	2.18	Sale
07/29/14	13,000	1,041.93	1,041.93	VARIOUS	1,009.08	..	32.85	Total of 3 lots
2 tax lots for 08/13/14.								
	21,000	1,655.60	1,655.60	11/11/13	1,660.22	...	-4.62	Sale
	25,000	1,970.95	1,970.95	03/24/14	2,062.50	...	-91.54	Sale
08/13/14	46,000	3,626.55	3,626.55	VARIOUS	3,722.72	...	-96.16	Total of 2 lots
08/18/14	9,000	707.29	707.29	03/24/14	742.50	..	-35.21	Sale
08/19/14	22,000	1,731.80	1,731.80	03/24/14	1,815.00	...	-83.20	Sale
Security total:					11,930.90	...	34.24	
PULTE GROUP INC / CUSIP: 745867101 / Symbol: PHM								
2 tax lots for 03/05/14.								
	95,000	1,962.73	1,962.73	08/16/13	1,520.95	...	441.78	Sale
	31,000	640.47	640.47	08/19/13	508.48	...	131.99	Sale
03/05/14	126,000	2,603.20	2,603.20	VARIOUS	2,029.43	...	573.77	Total of 2 lots
06/18/14	1,000	19.47	19.47	08/19/13	16.40	...	3.07	Sale
Security total:					2,045.83	...	576.84	

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G401416099**
2014 (continued)

SHORT-TERM TRANSACTIONS				NOT reported on Form 1099-B				
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
RACKSPACE HOSTING INC / CUSIP: 750086100 / Symbol: RAX								
4 tax lots for 03/05/14.								
	23,000		864.78	09/11/13	1,148.07	...	-283.29	Sale
	11,000		413.59	08/12/13	564.39	.	-150.80	Sale
	19,000		714.39	09/19/13	1,021.26		-306.86	Sale
	35,000		1,315.98	09/23/13	1,872.61	...	-556.63	Sale
03/05/14	88,000		3,308.74	VARIOUS	4,606.32	...	-1,297.58	Total of 4 lots
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol RL								
4 tax lots for 02/13/14.								
	15,000		2,324.51	06/21/13	2,521.05	...	-196.54	Sale
	7,000		1,084.77	06/28/13	1,215.90	..	-131.13	Sale
	7,000		1,084.77	10/31/13	1,165.78	..	-81.01	Sale
	12,000		1,859.61	11/06/13	2,162.40	...	-302.79	Sale
02/13/14	41,000		6,353.66	VARIOUS	7,065.13	...	-711.47	Total of 4 lots
ROSS STORES INC / CUSIP: 778298103 / Symbol: ROST								
2 tax lots for 10/29/14.								
	28,000		2,246.85	09/29/14	2,125.73	.	121.12	Sale
	10,000		802.44	10/01/14	749.98	.	52.46	Sale
10/29/14	38,000		3,049.29	VARIOUS	2,875.71	...	173.58	Total of 2 lots
	6,000		483.58	10/01/14	449.89	...	33.59	Sale
2 tax lots for 11/05/14.								
	21,000		1,694.29	10/01/14	1,574.96	...	119.32	Sale
	19,000		1,532.92	10/08/14	1,452.53	.	80.39	Sale
11/05/14	40,000		3,227.21	VARIOUS	3,027.49	...	199.71	Total of 2 lots
			Security total:		6,353.19		406.88	
SABMILLER PLC SPONSORED ADR / CUSIP: 78572M105 / Symbol: SBMRY								
	10,000		586.10	06/16/14	582.60	...	3.50	Sale
09/17/14	50,000		2,770.43	06/16/14	2,913.00	..	-142.57	Sale

Oppenheimer & Co. Inc. Account G401416099
 2014
 Proceeds Not Reported to the IRS
 (continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
RACKSPACE HOSTING INC / CUSIP: 750086100 / Symbol: RAX								
4 tax lots for 03/05/14.								
	23,000		864.78	09/11/13	1,148.07	...	-283.29	Sale
	11,000		413.59	09/12/13	564.39	...	-150.80	Sale
	19,000		714.39	09/19/13	1,021.26	...	-306.86	Sale
	35,000		1,315.98	09/23/13	1,872.61	...	-556.63	Sale
03/05/14	88,000		3,308.74	VARIOUS	4,806.32	...	-1,297.58	Total of 4 lots
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL								
4 tax lots for 02/13/14.								
	15,000		2,324.51	06/21/13	2,521.05	...	-196.54	Sale
	7,000		1,084.77	06/28/13	1,215.90	...	-131.13	Sale
	7,000		1,084.77	10/31/13	1,165.78	...	-81.01	Sale
	12,000		1,859.61	11/06/13	2,162.40	...	-302.79	Sale
02/13/14	41,000		6,353.66	VARIOUS	7,065.13	...	-711.47	Total of 4 lots
ROSS STORES INC / CUSIP: 778296103 / Symbol: ROST								
2 tax lots for 10/29/14.								
	28,000		2,246.85	09/29/14	2,125.73	...	121.12	Sale
	10,000		802.44	10/01/14	749.98	...	52.46	Sale
10/29/14	38,000		3,049.29	VARIOUS	2,875.71	...	173.58	Total of 2 lots
10/30/14	6,000		483.58	10/01/14	449.99	...	33.59	Sale
2 tax lots for 11/05/14.								
	21,000		1,694.29	10/01/14	1,574.96	...	119.32	Sale
	19,000		1,532.92	10/08/14	1,452.53	...	80.39	Sale
11/05/14	40,000		3,227.21	VARIOUS	3,027.49	...	199.71	Total of 2 lots
	Security total.		6,760.08		6,353.19	...	406.88	
SABMILLER PLC SPONSORED ADR / CUSIP: 78572M105 / Symbol: SBMRY								
09/17/14	10,000		586.10	06/16/14	582.60	...	3.50	Sale
11/26/14	50,000		2,770.43	06/16/14	2,913.00	...	-142.57	Sale

Oppenheimer & Co. Inc.

Account: G401416099

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net	Proceeds (G) / Gross (N) / Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SABMILLER PLC SPONSORED ADR / CUSIP: 78572M105 / Symbol: SBMRY (cont'd)								
	3 tax lots for 12/19/14							
	2,000		105.72	06/16/14	116.52	...	-10.80	Sale
	28,000		1,480.02	06/18/14	1,633.55	...	-153.53	Sale
	19,000		1,004.30	08/12/14	1,027.60	...	-23.30	Sale
12/19/14	48,000		2,590.04	VARIOUS	2,777.67	...	-187.63	Total of 3 lots
	Security total:		5,946.57		6,273.27	...	-326.70	
SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM								
02/06/14	16,000		995.36	02/26/13	650.04	...	345.32	Sale
02/27/14	15,000		954.58	03/05/13	696.35	...	258.24	Sale
03/05/14	73,000		4,648.55	03/05/13	3,388.89	...	1,259.66	Sale
	Security total:		6,598.49		4,735.28	...	1,863.22	
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB								
10/20/14	22,000		2,079.61	09/29/14	2,247.78	...	-168.17	Sale
SHERWIN WILLIAMS CO / CUSIP: 824348106 / Symbol: SHW								
03/05/14	5,000		1,016.43	06/21/13	862.90	...	153.53	Sale
	2 tax lots for 04/14/14.							
	10,000		1,907.65	06/21/13	1,725.80	...	181.85	Sale
	2,000		381.53	06/28/13	356.58	...	24.95	Sale
04/14/14	12,000		2,289.18	VARIOUS	2,082.38	...	206.80	Total of 2 lots
	2 tax lots for 08/12/14.							
	15,000		3,147.05	08/30/13	2,581.05	...	566.00	Sale
	12,000		2,517.64	09/03/13	2,068.46	...	449.18	Sale
08/12/14	27,000		5,664.69	VARIOUS	4,649.51	...	1,015.18	Total of 2 lots
	Security total:		8,970.30		7,594.79	...	1,375.51	
SHUTTERFLY INC / CUSIP: 82568P304 / Symbol: SFLY								
03/05/14	17,000		915.94	05/06/13	736.23	...	179.71	Sale
	2 tax lots for 12/17/14.							
	11,000		438.77	01/07/14	525.51	...	-86.74	Sale

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Codes(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SHUTTERFLY INC / CUSIP: 82568P304 / Symbol: SFLY (cont'd)								
	41 000	1,635.41	02/07/14	1,798.26	..	-162.85	Sale	
12/17/14	52 000	2,074.18	VARIOUS	2,323.77	..	-249.59	Total of 2 lots	
Security total:		2,990.12		3,060.00	...	-69.88		
STARBUCKS CORP / CUSIP: 855244109 / Symbol: SBUX								
03/05/14	43 000	3,064.55	08/05/13	3,184.50	..	-119.95	Sale	
08/01/14	16 000	1,232.45	08/05/13	1,184.93	...	47.52	Sale	
Security total:		4,287.00		4,369.43	..	-72.43		
TJX COS INC NEW / CUSIP: 872540109 / Symbol: TJX								
05/13/14	5,000	296.20	09/12/13	272.45	...	23.75	Sale	
2 tax lots for 09/29/14.								
	26 000	1,552.94	10/28/13	1,537.09	...	15.85	Sale	
	26 000	1,552.94	12/23/13	1,624.74	...	-71.80	Sale	
09/29/14	52 000	3,105.88	VARIOUS	3,161.83	..	-55.95	Total of 2 lots	
Security total:		3,402.08		3,434.28	.	-32.20		
TESLA MTRS INC / CUSIP: 88160R101 / Symbol: TSLA								
03/05/14	3 000	758.89	02/27/14	783.21	..	-24.32	Sale	
09/05/14	6 000	1,660.43	02/27/14	1,566.42	...	94.01	Sale	
Security total:		2,419.32		2,349.83	...	69.69		
TRIUMPH GROUP INC NEW / CUSIP: 896818101 / Symbol: TGI								
03/05/14	14 000	906.48	01/30/14	945.40	...	-38.92	Sale	
3 tax lots for 03/24/14.								
	23 000	1,427.61	01/30/14	1,553.17	...	-125.56	Sale	
	23 000	1,427.61	01/31/14	1,588.61	...	-160.90	Sale	
	22 000	1,365.54	02/04/14	1,454.42	..	-88.88	Sale	
03/24/14	68 000	4,220.76	VARIOUS	4,596.10	...	-375.34	Total of 3 lots	
Security total:		5,127.24		5,541.50	..	-414.26		
UNITED RENTALS INC / CUSIP: 911363109 / Symbol: URI								
01/30/14	11 000	892.41	06/21/13	547.58	...	344.83	Sale	

UNITED RENTALS INC / CUSIP: 911363109 / Symbol: URI

Oppeheimer & Co. Inc.

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional information
UNITED RENTALS INC / CUSIP: 911363109 / Symbol: URI (cont'd)								
03/05/14	28,000	2,590.52	2,590.52	06/21/13	1,443.62	...	1,146.90	Sale
03/11/14	16,000	1,435.17	1,435.17	06/21/13	796.48	...	638.69	Sale
04/23/14	28,000	2,639.06	2,639.06	06/21/13	1,393.84	...	1,245.22	Sale
06/20/14	18,000	1,882.75	1,882.75	06/21/13	896.04	...	986.71	Sale
	Security total:	9,439.91	9,439.91		5,077.56	...	4,362.35	
WELLPOINT INC / CUSIP: 94973V107 / Symbol: WLP								
03/05/14	15,000	1,373.07	1,373.07	02/21/14	1,320.45	...	52.62	Sale
	2 tax lots for 03/24/14.							
	52,000	5,253.98	5,253.98	02/21/14	4,577.57	...	676.41	Sale
03/24/14	23,000	2,323.87	2,323.87	02/25/14	2,074.57	...	249.30	Sale
	75,000	7,577.85	7,577.85	VARIOUS	6,652.14	...	925.71	Total of 2 lots
	Security total:	8,950.92	8,950.92		7,972.59	...	978.33	
WHITEWAVE FOODS CO / CUSIP: 966244105 / Symbol: WWAV								
03/05/14	26,000	779.98	779.98	02/13/14	663.00	...	116.98	Sale
	2 tax lots for 10/02/14.							
	34,000	1,202.07	1,202.07	02/13/14	867.00	...	335.07	Sale
10/02/14	6,000	212.13	212.13	02/18/14	161.28	...	50.85	Sale
	40,000	1,414.20	1,414.20	VARIOUS	1,028.28	...	385.92	Total of 2 lots
	2 tax lots for 10/06/14.							
	43,000	1,563.87	1,563.87	02/18/14	1,155.81	...	408.06	Sale
10/06/14	41,000	1,491.14	1,491.14	02/21/14	1,144.22	...	346.92	Sale
	84,000	3,055.01	3,055.01	VARIOUS	2,300.03	...	754.98	Total of 2 lots
	Security total:	5,249.19	5,249.19		3,991.31	...	1,257.88	
WHOLE FOODS MKT INC / CUSIP: 966937106 / Symbol: WFM								
03/05/14	18,000	975.04	975.04	11/27/13	1,017.03	...	-41.99	Sale
	3 tax lots for 05/09/14.							
	57,000	2,231.69	2,231.69	11/27/13	3,220.60	...	-988.91	Sale
	30,000	1,174.57	1,174.57	01/14/14	1,618.20	...	-443.63	Sale

Oppenheimer & Co. Inc. Account G401416099
2014 Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B									
SHORT-TERM TRANSACTIONS									
Report on Form 8949, Part I, with Box C checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds (Gross (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
WHOLE FOODS MKT INC / CUSIP: 966837106 / Symbol: WFM (cont'd)									
05/09/14	44 000	1,722.71	1,722.71	03/24/14	2,372.40	..	-849.69	Sale	
	131 000	5,128.97	5,128.97	VARIOUS	7,211.20	..	-2,082.23	Total of 3 lots	
	Security total:		6,104.01		8,228.23	..	-2,124.22		
ZILLOW INC CL A / CUSIP: 98954A107 / Symbol: Z									
2 tax lots for 03/05/14.									
03/05/14	11.000	915.29	915.29	05/10/13	599.32	..	315.98	Sale	
	13.000	1,081.71	1,081.71	05/22/13	777.55	..	304.16	Sale	
	24 000	1,997.00	1,997.00	VARIOUS	1,376.87	..	620.14	Total of 2 lots	
3 tax lots for 04/23/14									
	2 000	205.44	205.44	05/22/13	119.62	..	85.81	Sale	
	19.000	1,951.64	1,951.64	05/28/13	1,077.15	..	874.49	Sale	
	1 000	102.72	102.72	08/08/13	87.45	..	15.27	Sale	
04/23/14	22.000	2,259.80	2,259.80	VARIOUS	1,284.22	..	975.57	Total of 3 lots	
04/28/14	12.000	1,048.65	1,048.65	08/08/13	1,049.39	..	-0.74	Sale	
4 tax lots for 04/30/14.									
	2 000	212.68	212.68	08/08/13	174.90	..	37.78	Sale	
	8 000	850.73	850.73	10/07/13	655.60	..	195.13	Sale	
	29 000	3,083.91	3,083.91	11/08/13	2,188.92	..	894.99	Sale	
	17 000	1,807.81	1,807.81	12/11/13	1,248.99	..	558.82	Sale	
04/30/14	56 000	5,955.13	5,955.13	VARIOUS	4,268.41	..	1,686.72	Total of 4 lots	
2 tax lots for 06/04/14.									
	2.000	236.68	236.68	12/11/13	146.94	..	89.74	Sale	
	26.000	3,076.78	3,076.78	02/18/14	2,152.54	..	924.24	Sale	
06/04/14	28.000	3,313.46	3,313.46	VARIOUS	2,289.48	..	1,013.98	Total of 2 lots	
	Security total:		14,574.04		10,278.37	..	4,295.67		
DELPHI AUTOMOTIVE PLC / CUSIP: G27823106 / Symbol: DLPH									
12/17/14	37.000	2,609.92	2,609.92	10/20/14	2,343.74	..	266.18	Sale	
12/23/14	16.000	1,185.09	1,185.09	10/20/14	1,013.51	..	171.58	Sale	
12/24/14	12.000	881.38	881.38	10/20/14	760.13	..	121.25	Sale	

Openheimer & Co. Inc. Account G401416099
2014
Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS								
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DELPHI AUTOMOTIVE PLC / CUSIP: G27823106 / Symbol: DLPH (cont'd)								
12/31/14	78 000		5,725.58	10/20/14	4,940.86	.	784 72	Sale
	Security total:		10,401.97		9,058.24	...	1,343 73	
PENTAIR PLC / CUSIP: G7S00T104 / Symbol: PNR								
10/13/14	22,000		1,362.64	07/18/14	1,591.57	..	-228.93	Sale
10/20/14	43 000		2,760 27	07/18/14	3,110.81	.	-350 54	Sale
	Security total		4,122.91		4,702.38	..	-579.47	
PERRIGO CO PLC / CUSIP: G97822103 / Symbol: PRGO								
07/18/14	34,000		5,192 52	08/10/14	4,735.89		456.63	Sale
STRATASYS LTD / CUSIP: M85548101 / Symbol: SSYS								
03/05/14	16,000		1,905.40	03/05/13	1,145.92	...	759.48	Sale
Totals:			335,975.44 ✓		312,778.18 ✓	...	23,197.30	

LONG-TERM TRANSACTIONS								
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ALTRA CORP / CUSIP 021441100 / Symbol ALTR	16 000		532.79	03/05/13	560.12		-27.33	Sale
07/29/14								
2 tax lots for 08/01/14.								
	57 000		1,867.29	03/05/13	1,995.45		-128.15	Sale
	11 000		360.36	04/08/13	363.99	...	-3.63	Sale
08/01/14	68 000		2,227.65	VARIOUS	2,359.44	...	-131.78	Total of 2 lots
08/07/14	24,000		788.41	04/08/13	794.16	...	-5.75	Sale
	Security total:		3,548.85		3,713.72	...	-164.88	
AMAZON COM INC / CUSIP: 023135106 / Symbol AMZN								
03/05/14	9,000		3,348.39	07/18/12	1,957.41	...	1,390.98	Sale
05/09/14	9,000		2,598.78	07/18/12	1,957.41	...	641.37	Sale
	Security total:		5,947.17		3,914.82	...	2,032.35	

Oppenheimer & Co. Inc. Account: G401416099
 2014 (continued)
Proceeds Not Reported to the IRS

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B						
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ANADARKO PETE CORP / CUSIP: 032511107 / Symbol: APC								
2 tax lots for 03/05/14.								
	2,000		170.76	04/09/12	151.84		18.92	Sale
	13,000		1,109.91	04/23/12	927.42		182.49	Sale
03/05/14	15,000		1,280.67	VARIOUS	1,079.26		201.41	Total of 2 lots
2 tax lots for 07/25/14.								
	3,000		328.82	04/23/12	214.02	..	114.80	Sale
	9,000		986.47	09/10/12	642.77		343.70	Sale
07/25/14	12,000		1,315.29	VARIOUS	856.79	..	458.50	Total of 2 lots
	Security total:		2,595.96		1,936.05	..	659.91	
CME GROUP INC / CUSIP: 12572Q105 / Symbol: CME								
10/20/14	28,000		2,186.98	06/21/13	2,119.87	..	77.11	Sale
3 tax lots for 11/26/14.								
	3,000		250.34	06/21/13	227.13	..	23.22	Sale
	19,000		1,585.52	07/03/13	1,438.30	..	147.22	Sale
	31,000		2,586.90	07/19/13	2,306.07	..	280.83	Sale
11/26/14	53,000		4,422.76	VARIOUS	3,971.50	..	451.27	Total of 3 lots
3 tax lots for 12/02/14.								
	2,000		169.85	07/19/13	148.78		21.08	Sale
	26,000		2,208.11	07/23/13	1,916.98	..	291.13	Sale
	15,000		1,273.91	10/02/13	1,095.30	..	178.61	Sale
12/02/14	43,000		3,651.87	VARIOUS	3,161.06	..	490.82	Total of 3 lots
	Security total:		10,271.81		9,252.43	..	1,019.20	
CATAMARAN CORP / CUSIP: 148887102 / Symbol: CTRX								
2 tax lots for 11/03/14.								
	10,000		480.30	06/21/13	505.10	..	-24.80	Sale
	36,000		1,729.08	06/26/13	1,804.68	..	-75.60	Sale
11/03/14	46,000		2,209.38	VARIOUS	2,309.78	..	-100.40	Total of 2 lots

Oppenheimer & Co. Inc.

Account: G401416099

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CATAMARAN CORP / CUSIP: 148887102 / Symbol: CTRX (cont'd)								
2 tax lots for 11/05/14								
	1,000	47.74	50.13	06/26/13	50.13	...	-2.39	Sale
11/05/14	36,000	1,718.62	1,712.55	07/03/13	1,712.55	...	6.07	Sale
	37,000	1,766.36	1,762.68	VARIOUS	1,762.68	...	3.68	Total of 2 lots
2 tax lots for 11/26/14								
	25,000	1,256.40	1,189.27	07/03/13	1,189.27	...	67.12	Sale
11/26/14	18,000	904.60	851.22	07/10/13	851.22	...	53.38	Sale
	43,000	2,161.00	2,040.49	VARIOUS	2,040.49	...	120.50	Total of 2 lots
	Security total:	6,138.74	6,112.95		6,112.95	...	23.78	
CITRIX SYS INC / CUSIP: 177376100 / Symbol: CTXS								
6 tax lots for 01/28/14								
	7,000	410.89	425.95	12/28/11	425.95	...	-15.06	Sale
	15,000	880.49	977.40	01/11/12	977.40	...	-96.91	Sale
	12,000	704.39	917.40	04/23/12	917.40	...	-213.01	Sale
	19,000	1,115.28	1,408.27	07/31/12	1,408.27	...	-292.99	Sale
	15,000	880.49	1,092.90	08/03/12	1,092.90	...	-212.41	Sale
	26,000	1,526.18	2,103.92	09/18/12	2,103.92	...	-577.74	Sale
01/28/14	94,000	5,517.72	6,925.84	VARIOUS	6,925.84	...	-1,408.12	Total of 6 lots
COBALT INTL ENERGY INC / CUSIP: 19075F106 / Symbol: CIE								
2 tax lots for 03/05/14								
	34,000	619.13	345.17	08/05/11	345.17	...	273.96	Sale
03/05/14	46,000	837.64	1,434.89	02/27/12	1,434.89	...	-597.25	Sale
	80,000	1,456.77	1,780.06	VARIOUS	1,780.06	...	-323.29	Total of 2 lots
12/24/14	42,000	368.81	1,310.12	02/27/12	1,310.12	...	-941.31	Sale
	Security total:	1,825.58	3,090.18		3,090.18	...	-1,264.60	
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA								
03/05/14	50,000	2,594.20	2,054.99	02/15/13	2,054.99	...	539.21	Sale

Oppenheimer & Co. Inc. 2014	Proceeds Not Reported to the IRS (continued)	Account G401416099
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LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Less not allowed(X)	Additional information
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA (cont'd)								
	2 tax lots for 08/29/14.							
	21,000		1,127.46	02/15/13	863.10	...	264.36	Sale
	23,000		1,234.84	02/20/13	952.43	...	282.41	Sale
08/29/14	44,000		2,362.30	VARIOUS	1,815.53	...	546.77	Total of 2 lots
08/30/14	22,000		1,183.57	02/20/13	911.02	...	272.55	Sale
	Security total.		6,140.07		4,781.54	...	1,358.53	
DIAGEO P L C SPON ADR NEW / CUSIP: 25243Q205 / Symbol: DEO								
	2 tax lots for 03/05/14							
	23,000		2,866.68	12/08/11	1,963.89	...	902.77	Sale
	1,000		124.64	01/27/12	88.75	...	35.89	Sale
03/05/14	24,000		2,991.30	VARIOUS	2,052.64	...	938.66	Total of 2 lots
04/17/14	5,000		617.95	01/27/12	443.74	...	174.21	Sale
	2 tax lots for 06/20/14.							
	12,000		1,520.74	01/27/12	1,064.97	...	455.77	Sale
	7,000		887.10	04/23/12	703.01	...	184.09	Sale
06/20/14	19,000		2,407.84	VARIOUS	1,767.98	...	639.86	Total of 2 lots
08/12/14	13,000		1,518.76	04/23/12	1,305.59	...	213.17	Sale
	2 tax lots for 09/09/14							
	7,000		837.46	04/23/12	703.01	...	134.45	Sale
	2,000		239.28	04/24/12	202.08	...	37.20	Sale
09/09/14	9,000		1,076.74	VARIOUS	805.09	...	171.65	Total of 2 lots
	Security total.		8,612.59		6,475.04	...	2,137.55	
DICKS SPORTING GOODS INC / CUSIP: 253393102 / Symbol: DKS								
01/17/14	26,000		1,398.25	12/19/12	1,202.50	..	195.75	Sale
	2 tax lots for 02/06/14.							
	1,000		51.27	12/19/12	46.25	...	5.02	Sale
	22,000		1,127.91	12/31/12	991.06	...	136.85	Sale
02/06/14	23,000		1,179.18	VARIOUS	1,037.31	..	141.87	Total of 2 lots

Openheimer & Co. Inc. Account G4D1418099
 2014 (continued)
Proceeds Not Reported to the IRS

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B				
Report on Form 8949, Part II, with Box F checked						
Description of property						
Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7- Loss not allowed(X) Additional Information
DICKS SPORTING GOODS INC / CUSIP: 253393102 / Symbol: DKS (cont'd)						
	2 tax lots for 02/07/14					
	34,000	1,746.25	01/03/13	1,572.50	...	173.75 Sale
	18,000	924.48	01/29/13	857.52	...	66.96 Sale
02/07/14	52,000	2,670.73	VARIOUS	2,430.02	...	240.71 Total of 2 lots
	Security total:	5,248.16		4,669.83	..	578.33
DISNEY WALT CO COM DISNEY / CUSIP: 254687106 / Symbol: DIS						
	2 tax lots for 03/05/14.					
	13,000	1,074.95	05/16/11	533.11	...	541.84 Sale
	16,000	1,323.01	08/05/11	569.28	...	753.73 Sale
03/05/14	29,000	2,397.96	VARIOUS	1,102.39	..	1,295.57 Total of 2 lots
	2 tax lots for 04/30/14					
	11,000	867.78	08/05/11	391.38	...	476.40 Sale
	20,000	1,577.79	04/23/12	836.00	...	741.79 Sale
04/30/14	31,000	2,445.57	VARIOUS	1,227.38	..	1,218.19 Total of 2 lots
05/06/14	16,000	1,299.66	04/23/12	668.80	...	630.86 Sale
	2 tax lots for 06/02/14.					
	1,000	84.25	08/04/12	44.30	...	39.95 Sale
	11,000	926.73	10/12/12	555.49	...	371.24 Sale
06/02/14	12,000	1,010.98	VARIOUS	599.79	..	411.19 Total of 2 lots
	Security total:	7,154.17		3,598.36	...	3,555.81
EBAY INC / CUSIP: 278642103 / Symbol: EBAY						
	2 tax lots for 01/24/14.					
	21,000	1,140.70	04/23/12	833.91	...	306.79 Sale
	1,000	54.32	06/04/12	38.07	...	15.25 Sale
01/24/14	22,000	1,195.02	VARIOUS	872.98	..	322.04 Total of 2 lots
EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol: EW						
04/10/14	62,000	4,662.34	03/12/13	5,459.10	...	-796.76 Sale

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G401416099**
2014 (continued)

LONG-TERM TRANSACTIONS			NOT reported on Form 1099-B			
Report on Form 8949, Part II, with Box F checked						
Description of property						
Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X) Additional Information
EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol: EW (cont'd)						
2 tax lots for 04/14/14.						
	13,000	1,080.43	03/12/13	1,144.65	...	-64.22 Sale
	15,000	1,246.65	03/21/13	1,226.78	...	20.87 Sale
04/14/14	28,000	2,327.08	VARIOUS	2,370.43	...	-43.35 Total of 2 lots
	Security total.	6,989.42		7,829.53	...	-840.11
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX						
02/18/14	38,000	2,931.26	11/21/12	1,984.60	...	966.66 Sale
2 tax lots for 02/21/14.						
	41,000	3,053.15	11/21/12	2,118.70	...	933.45 Sale
	34,000	2,531.88	11/26/12	1,743.52	...	788.36 Sale
02/21/14	75,000	5,585.03	VARIOUS	3,863.22	...	1,721.81 Total of 2 lots
	Security total.	8,516.29		5,827.82	...	2,688.47
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR						
2 tax lots for 03/05/14.						
	8,000	625.19	04/23/12	455.44	...	169.75 Sale
	16,000	1,250.37	05/23/12	799.36	...	451.01 Sale
03/05/14	24,000	1,875.56	VARIOUS	1,254.80	...	620.76 Total of 2 lots
3 tax lots for 09/29/14.						
	30,000	2,009.06	05/23/12	1,498.80	...	510.26 Sale
	47,000	3,147.53	06/25/12	2,115.42	...	1,032.11 Sale
	41,000	2,745.72	11/05/12	2,170.50	...	575.22 Sale
09/29/14	118,000	7,902.31	VARIOUS	5,784.72	...	2,117.59 Total of 3 lots
	Security total.	9,777.87		7,038.52	...	2,738.35
FREEPORT-MCMORAN INC CL B / CUSIP: 35671D857 / Symbol: FCX						
5 tax lots for 01/17/14						
	14,000	513.03	06/23/11	673.81	...	-160.77 Sale
	38,000	1,392.52	09/12/11	1,558.38	...	-165.86 Sale
	30,000	1,099.36	03/16/12	1,160.07	...	-60.71 Sale

Openheimer & Co. Inc.

Account G401418099

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FREEDPORT-MCMORAN INC CL B / CUSIP: 36671D857 / Symbol: FCX (cont'd)								
	44 000	1,612.39	1,612.39	04/23/12	1,628.44	..	-16.05	Sale
	23 000	842.84	842.84	05/22/12	759.44	..	83.40	Sale
01/17/14	148 000	5,460.14	5,460.14	VARIOUS	5,780.14	...	-319.99	Total of 5 lots
03/05/14	33 000	1,118.68	1,118.68	05/22/12	1,089.63	...	29.05	Sale
	2 tax lots for 07/18/14.							
	12 000	459.35	459.35	05/22/12	396.23	...	63.12	Sale
	56 000	2,143.64	2,143.64	02/26/13	1,766.18	..	377.45	Sale
07/18/14	68 000	2,602.99	2,602.99	VARIOUS	2,162.41	..	440.57	Total of 2 lots
	2 tax lots for 07/25/14							
	4 000	152.00	152.00	02/26/13	126.16	...	25.84	Sale
	25 000	949.97	949.97	03/14/13	833.22	...	116.75	Sale
07/25/14	29 000	1,101.97	1,101.97	VARIOUS	859.38	...	142.59	Total of 2 lots
	2 tax lots for 08/08/14.							
	14 000	506.52	506.52	03/14/13	466.61	...	39.92	Sale
	44 000	1,591.92	1,591.92	07/18/13	1,253.52	...	338.40	Sale
08/08/14	58 000	2,098.44	2,098.44	VARIOUS	1,720.13	...	378.32	Total of 2 lots
	Security total:							
		12,382.22	12,382.22		11,711.69	...	670.54	
GILEAD SCIENCES INC / CUSIP 375558103 / Symbol GILD								
06/02/14	31 000	2,517.14	2,517.14	04/17/13	1,611.77	...	905.37	Sale
07/07/14	24 000	2,121.79	2,121.79	04/17/13	1,247.83	..	873.96	Sale
	2 tax lots for 08/12/14							
	36 000	3,347.56	3,347.56	04/17/13	1,871.74	...	1,475.82	Sale
	5 000	464.94	464.94	05/06/13	273.20	..	191.74	Sale
08/12/14	41 000	3,812.50	3,812.50	VARIOUS	2,144.94	...	1,667.56	Total of 2 lots
	2 tax lots for 09/05/14.							
	36 000	3,757.73	3,757.73	05/06/13	1,967.00	...	1,790.73	Sale
	8 000	835.05	835.05	08/08/13	477.27	..	357.78	Sale
09/05/14	44 000	4,592.78	4,592.78	VARIOUS	2,444.27	...	2,148.51	Total of 2 lots
	Security total							
		13,044.21	13,044.21		7,448.81	..	5,595.40	

Opentheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account G401416099**
2014 (continued)

LONG-TERM TRANSACTIONS							NOT reported on Form 1099-B	
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (G)ross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
2 tax lots for 03/05/14.								
	3,000	3,655.97	02/08/13	2,347.16	...	1,308.82	Sale	
	3,000	3,655.97	02/26/13	2,359.74	...	1,296.23	Sale	
03/05/14	6 000	7,311.94	VARIOUS	4,706.90	...	2,605.05	Total of 2 lots	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOGL								
2 tax lots for 07/14/14								
	1 000	584.69	02/26/13	393.80	...	190.88	Sale	
	2,000	1,169.37	03/05/13	838.98	...	330.39	Sale	
07/14/14	3,000	1,754.06	VARIOUS	1,232.78	...	521.27	Total of 2 lots	
09/22/14	3 000	1,755.89	03/05/13	1,258.47	...	497.42	Sale	
3 tax lots for 12/17/14								
	6,000	3,010.85	03/05/13	2,516.95	...	493.90	Sale	
	4,000	2,007.23	04/24/13	1,632.44	...	374.79	Sale	
	8 000	4,014.47	07/19/13	3,600.90	...	413.57	Sale	
12/17/14	18,000	9,032.55	VARIOUS	7,750.29	...	1,282.26	Total of 3 lots	
	Security total	12,542.50		10,241.54	...	2,300.95		
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD								
08/19/14	23 000	2,037.80	07/10/13	1,812.40	...	225.40	Sale	
2 tax lots for 09/05/14.								
	10,000	910.38	07/10/13	788.00	...	122.38	Sale	
	14,000	1,274.54	08/21/13	1,044.40	...	230.14	Sale	
09/05/14	24,000	2,184.92	VARIOUS	1,832.40	...	352.52	Total of 2 lots	
2 tax lots for 09/22/14.								
	8,000	735.43	08/21/13	596.80	...	138.63	Sale	
	5,000	459.64	09/11/13	373.29	...	86.36	Sale	
09/22/14	13,000	1,195.07	VARIOUS	970.09	...	224.99	Total of 2 lots	
09/29/14	10,000	925.48	09/11/13	746.57	...	178.91	Sale	
10/13/14	12 000	1,099.78	10/01/13	914.99	...	184.79	Sale	
10/20/14	2 000	182.44	10/01/13	152.50	...	29.94	Sale	
	Security total	7,625.49		6,428.95	...	1,196.55		

Openheimer & Co. Inc. Account: G4014 16099
 2014
 Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
JOHNSON CTLS INC / CUSIP: 478368107 / Symbol: JCI								
03/05/14	18 000	878.74	878.74	04/23/12	563.76		314.98	Sale
07/16/14	18 000	879.64	879.64	04/23/12	563.76		315.88	Sale
	3 tax lots for 10/20/14.							
	54 000	2,194.88	2,194.88	04/23/12	1,691.28		503.60	Sale
	3,000	121.94	121.94	06/04/12	85.68		36.26	Sale
	12,000	487.75	487.75	08/08/13	492.11		-4.36	Sale
10/20/14	69,000	2,804.57	2,804.57	VARIOUS	2,269.07		535.50	Total of 3 lots
	Security total:	4,562.95	4,562.95		3,396.59		1,166.36	
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY								
	2 tax lots for 01/09/14.							
	17 000	943.85	943.85	03/16/12	1,361.17		-417.32	Sale
	5,000	277.60	277.60	03/22/12	374.22		-96.62	Sale
01/09/14	22 000	1,221.45	1,221.45	VARIOUS	1,735.39		-513.94	Total of 2 lots
	3 tax lots for 01/14/14							
	7,000	384.94	384.94	03/22/12	523.91		-138.97	Sale
	16,000	879.86	879.86	04/13/12	1,203.03		-323.17	Sale
	15,000	824.87	824.87	04/23/12	1,076.35		-253.48	Sale
01/14/14	38,000	2,089.67	2,089.67	VARIOUS	2,805.29		-715.62	Total of 3 lots
	2 tax lots for 03/05/14							
	7,000	390.52	390.52	04/23/12	503.23		-112.71	Sale
	14,000	781.04	781.04	04/27/12	1,006.03		-224.99	Sale
03/05/14	21,000	1,171.58	1,171.58	VARIOUS	1,509.26		-337.70	Total of 2 lots
	3 tax lots for 03/24/14.							
	9,000	510.65	510.65	04/27/12	646.73		-136.08	Sale
	45,000	2,553.24	2,553.24	05/23/12	2,779.74		-226.50	Sale
	19,000	1,078.04	1,078.04	08/31/12	1,016.55		61.49	Sale
03/24/14	73,000	4,141.93	4,141.93	VARIOUS	4,443.02		-301.09	Total of 3 lots
	Security total:	8,624.61	8,624.61		10,492.96		-1,868.35	

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: G401416099**
2014 (continued)

LONG-TERM TRANSACTIONS								
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE								
3 tax lots for 03/05/14.								
	4,000		309.67	11/05/12	180.04	..	119.64	Sale
	11,000		851.60	11/05/12	522.60		329.00	Sale
	12,000		929.02	11/26/12	583.14	.	345.88	Sale
03/05/14	27,000		2,080.29	VARIOUS	1,295.78	..	784.52	Total of 3 lots
4 tax lots for 03/19/14.								
	3,000		239.67	11/26/12	145.78	..	93.88	Sale
	15,000		1,198.33	11/26/12	728.92	..	469.41	Sale
	1,000		79.89	11/28/12	49.22	..	30.66	Sale
	12,000		958.66	11/28/12	590.69	..	367.97	Sale
03/19/14	31,000		2,476.55	VARIOUS	1,514.61	..	961.92	Total of 4 lots
2 tax lots for 09/29/14.								
	11,000		983.82	11/28/12	541.47	..	442.35	Sale
	44,000		3,935.28	02/08/13	2,399.23	..	1,536.05	Sale
09/29/14	55,000		4,919.10	VARIOUS	2,940.70	..	1,978.40	Total of 2 lots
	Security total.		9,485.94		5,751.09	..	3,734.84	
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM								
2 tax lots for 02/04/14								
	4,000		303.07	12/07/12	356.95	..	-53.88	Sale
	18,000		1,363.84	12/11/12	1,600.17	..	-236.34	Sale
02/04/14	22,000		1,666.91	VARIOUS	1,957.12	..	-290.22	Total of 2 lots
2 tax lots for 02/06/14.								
	27,000		2,087.41	12/11/12	2,400.26		-312.85	Sale
	22,000		1,700.85	12/13/12	1,943.24	..	-242.39	Sale
02/06/14	49,000		3,788.26	VARIOUS	4,343.50	..	-555.24	Total of 2 lots
	11,000		873.93	12/13/12	971.62	..	-97.69	Sale
02/07/14	Security total:		6,329.10		7,272.24	..	-943.15	
PULTE GROUP INC / CUSIP: 745867101 / Symbol: PHM								
11/18/14	120,000		2,526.08	08/19/13	1,968.30	..	557.78	Sale

Oppenheimer & Co. Inc. **NOT reported on Form 1099-B** **Proceeds Not Reported to the IRS** **Account: G401416099**
2014 (continued)

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B							
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds	Date	Cost or	Adjustments &	Gain or loss(-) &	Additional Information	
				acquired	other basis	Code(s), if any	7. Loss not allowed(X)		
PULTE GROUP INC / CUSIP: 745687101 / Symbol: PHM (cont'd)									
	2 tax lots for 12/23/14								
	44,000		920.51	08/19/13	721.71	..	198.80	Sale	
	23,000		481.17	08/26/13	372.83	.	108.35	Sale	
12/23/14	67,000		1,401.68	VARIOUS	1,094.54	..	307.15	Total of 2 lots	
	Security total:		3,927.76		3,062.84	...	864.93		
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL									
02/06/14	8,000		1,215.99	01/18/13	1,335.52	...	-119.53	Sale	
	2 tax lots for 02/13/14.								
	7,000		1,084.77	01/18/13	1,168.58	..	-83.81	Sale	
	8,000		1,239.74	02/08/13	1,421.06	..	-181.32	Sale	
02/13/14	15,000		2,324.51	VARIOUS	2,589.64	.	-285.13	Total of 2 lots	
	Security total		3,540.50		3,925.16	...	-384.66		
SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM									
02/27/14	30,000		1,909.17	02/26/13	1,218.82	...	690.34	Sale	
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB									
03/05/14	28,000		2,576.51	11/02/11	2,007.32	...	569.19	Sale	
04/10/14	9,000		883.88	11/02/11	645.21	..	238.67	Sale	
05/20/14	10,000		1,003.98	11/02/11	716.90	..	287.08	Sale	
	6 tax lots for 10/20/14.								
	16,000		1,512.45	04/23/12	1,147.52	...	364.93	Sale	
	11,000		1,039.81	04/27/12	810.69	...	229.12	Sale	
	16,000		1,512.45	06/27/12	981.26	.	531.19	Sale	
	23,000		2,174.14	06/29/12	1,477.73	.	696.41	Sale	
	18,000		1,701.50	12/19/12	1,286.46	...	415.04	Sale	
	34,000		3,213.95	04/24/13	2,491.08	...	722.87	Sale	
10/20/14	118,000		11,154.30	VARIOUS	8,194.74	...	2,959.56	Total of 6 lots	
	Security total:		15,618.67		11,564.17	.	4,054.50		
SHERWIN WILLIAMS CO / CUSIP: 824348106 / Symbol: SHW									
03/05/14	6,000		1,219.72	02/27/13	961.41	..	258.31	Sale	

2014
Oppenheimer & Co. Inc.
Proceeds Not Reported to the IRS
 (continued)
 Account: G401416099

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
SHERWIN WILLIAMS CO / CUSIP: 824348106 / Symbol: SHW (cont'd)								
07/18/14	7,000	1,473.95	1,248.03	08/28/13	1,248.03	...	225.92	Sale
07/25/14	6,000	1,277.52	1,069.74	06/28/13	1,069.74	...	207.78	Sale
08/12/14	4,000	839.21	708.44	08/08/13	708.44	...	130.77	Sale
Security total		4,810.40	3,987.62			...	822.78	
SHUTTERFLY INC / CUSIP: 82568P304 / Symbol: SFLY								
10/13/14	19,000	803.32	822.84	05/06/13	822.84	...	-19.52	Sale
12/17/14	13,000	518.55	563.00	05/06/13	563.00	...	-44.45	Sale
Security total:		1,321.87	1,385.84			...	-63.97	
STARBUCKS CORP / CUSIP: 855244109 / Symbol: SBUX								
2 tax lots for 12/19/14								
12/19/14	12,000	957.10	888.70	08/05/13	888.70	...	68.40	Sale
	20,000	1,595.16	1,437.54	09/03/13	1,437.54	...	157.62	Sale
	32,000	2,552.26	2,326.24	VARIOUS	2,326.24	...	226.02	Total of 2 lots
TJX COS INC NEW / CUSIP: 872540108 / Symbol: TJX								
2 tax lots for 03/05/14								
03/05/14	5,000	308.64	226.70	01/31/13	226.70	...	81.94	Sale
	13,000	802.48	592.92	02/08/13	592.92	...	209.56	Sale
	18,000	1,111.12	819.62	VARIOUS	819.62	...	291.50	Total of 2 lots
05/13/14	17,000	1,007.07	775.35	02/08/13	775.35	...	231.72	Sale
09/29/14	13,000	776.47	708.37	09/12/13	708.37	...	68.10	Sale
Security total:		2,894.66	2,303.34			...	591.32	
TEXTRON INC / CUSIP: 883203101 / Symbol: TXT								
01/07/14	33,000	1,184.34	891.33	03/02/12	891.33	...	293.01	Sale
03/05/14	25,000	999.23	675.25	03/02/12	675.25	...	323.98	Sale
5 tax lots for 11/14/14								
	6,000	251.39	162.06	03/02/12	162.06	...	89.33	Sale
	32,000	1,340.73	832.32	04/23/12	832.32	...	508.41	Sale
	1,000	41.90	22.66	06/04/12	22.66	...	19.24	Sale
	1,000	41.90	28.29	08/08/13	28.29	...	13.61	Sale

Account: G401416099

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B**LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net))	Proceeds (Gross (Net))	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional Information
TEXTRON INC / CUSIP: 883203101 / Symbol: TXT (cont'd)								
11/14/14	4,000		167.59	10/21/13	113.24	...	54.35	Sale
	44,000		1,843.51	VARIOUS	1,158.57	...	684.94	Total of 5 lots
Security total			4,027.08		2,725.15	...	1,301.93	
UNITED RENTALS INC / CUSIP: 911363109 / Symbol: URI								
09/09/14	13,000		1,516.80	06/21/13	647.14	...	869.66	Sale
VISA INC COM CL A / CUSIP: 92826C839 / Symbol: V								
03/05/14	12,000		2,678.11	04/06/11	911.52	..	1,766.59	Sale
12/12/14	7,000		1,827.05	04/06/11	531.72	...	1,295.33	Sale
Security total			4,505.16		1,443.24	...	3,061.92	
STRATASYS LTD / CUSIP: M85548101 / Symbol: SSYS								
03/14/14	3,000		335.09	03/05/13	214.86	..	120.23	Sale
2 tax lots for 03/19/14.								
	11,000		1,278.72	03/05/13	787.82	...	490.90	Sale
	5,000		581.23	03/15/13	350.42	..	230.82	Sale
03/19/14	16,000		1,859.95	VARIOUS	1,138.24	...	721.72	Total of 2 lots
Security total:			2,195.04		1,353.10	...	841.95	
Totals:			220,205.55		179,434.04	...	40,771.54	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
		P - Purchase D - Donation		
1a	ARMORY CREDIT OPPORTUNITY FUND, LP	P		
b	ARMORY CREDIT OPPORTUNITY FUND, LP	P		
c	SECURITIES LITIGATION INCOME	P		
d	OPPENHEIMER #G40-0104134		01/01/14	12/31/14
e	OPPENHEIMER #G40-0104134		01/01/14	12/31/14
f	OPPENHEIMER #G40-0107178		01/01/14	12/31/14
g	OPPENHEIMER #G40-0107178		01/01/14	12/31/14
h	OPPENHEIMER #G40-0107178		01/01/14	12/31/14
i	OPPENHEIMER #G40-0109422		01/01/14	12/31/14
j	OPPENHEIMER #G40-0109422		01/01/14	12/31/14
k	OPPENHEIMER #G40-0123092		01/01/14	12/31/14
l	OPPENHEIMER #G40-0123092		01/01/14	12/31/14
m	OPPENHEIMER #G40-0123464		01/01/14	12/31/14
n	OPPENHEIMER #G40-0123464		01/01/14	12/31/14
o	OPPENHEIMER #G40-1156968		01/01/14	12/31/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		10,693.	-10,693.
b	10,693.		10,693.
c	159.		159.
d	3.		3.
e	14,934.	7,704.	7,230.
f	32,918.	25,096.	7,822.
g	255,468.	238,640.	16,828.
h	996.		996.
i	169,971.	162,831.	7,140.
j	292,230.	211,912.	80,318.
k	5,345.	4,602.	743.
l	54,345.	42,065.	12,280.
m	13,855.	12,892.	963.
n	220,531.	130,898.	89,633.
o	1,165,000.	1,167,966.	-2,966.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-10,693.
b			10,693.
c			159.
d			3.
e			7,230.
f			7,822.
g			16,828.
h			996.
i			7,140.
j			80,318.
k			743.
l			12,280.
m			963.
n			89,633.
o			-2,966.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OPPENHEIMER #G40-1355586		01/01/14	12/31/14
b	OPPENHEIMER #G40-1355586		01/01/14	12/31/14
c	OPPENHEIMER #G40-1387530		01/01/14	12/31/14
d	OPPENHEIMER #G40-1387530		01/01/14	12/31/14
e	OPPENHEIMER #G40-1416099		01/01/14	12/31/14
f	OPPENHEIMER #G40-1416099		01/01/14	12/31/14
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 36,846.		26,766.	10,080.
b 137,192.		107,296.	29,896.
c 124,634.		121,594.	3,040.
d 517,470.		434,114.	83,356.
e 335,975.		312,778.	23,197.
f 220,206.		179,434.	40,772.
g 58,231.			58,231.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			10,080.
b			29,896.
c			3,040.
d			83,356.
e			23,197.
f			40,772.
g			58,231.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	469,721.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

ALBERT J. AND CLAIRE R. SPEH FOUNDATION

C/O KEVIN MALINGER, EXECUTIVE DIRECTOR

36-4118596

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARE PACKAGE COALITION 444 E ROOSEVELT RD, SUITE 349 LOMBARD, IL 60148	N/A	PC	GENERAL SUPPORT	51,000.
CHICAGO EDUCATION PARTNERSHIP PO BOX 924 WHEATON, IL 60187	N/A	PC	GENERAL SUPPORT	50,000.
EAST VILLAGE YOUTH PROGRAM 3643 W BELMONT ST CHICAGO, IL 60618	N/A	PC	COLLEGE READINESS & SUPPORT	10,000.
EASTER SEALS 401 S IVY STREET ESCONDIDOS, CA 92025	N/A	PC	GENERAL SUPPORT	5,000.
ELGIN JUNIOR SERVICE BOARD PO BOX 5417 ELGIN, IL 60121-5417	N/A	PC	GENERAL SUPPORT	1,000.
EPIC ACADEMY FOUNDATION 8255 S. HOUSTON AVE. CHICAGO, IL 60617	N/A	PC	GENERAL SUPPORT	15,000.
FRACTURED ATLAS 248 W. 35TH STREET NEW YORK, NY 10001	N/A	PC	GENERAL SUPPORT	2,500.
GATEHELP INC P.O. BOX 2217 ATASCADERO, CA 93423	N/A	PC	GENERAL SUPPORT	20,000.
ILLINOIS NETWORK OF CHARTER SCHOOLS 205 WEST RANDOLPH #1340 CHICAGO, IL 60606	N/A	PC	GENERAL SUPPORT	25,000.
JUVENILE DIABETES RESEARCH FOUNDATION 1450 CENTREPARK BLVD #330 W PALM BEACH, FL 33401	N/A	PC	GENERAL SUPPORT	2,500.
Total from continuation sheets				336,728.

ALBERT J. AND CLAIRE R. SPEH FOUNDATION

C/O KEVIN MALINGER, EXECUTIVE DIRECTOR

36-4118596

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KIDS CLUB 1609 NORTH LASALLE ST CHICAGO, IL 60614	N/A	PC	GENERAL	2,481.
MERCY HOME FOR BOYS AND GIRLS 1140 W. JACKSON BLVD CHICAGO, IL 60607	N/A	PC	GENERAL SUPPORT	5,147.
NOBLE NETWORK OF CHARTER SCHOOLS 1 NORTH STATE ST, FLOOR 7-L CHICAGO, IL 60602	N/A	PC	GENERAL SUPPORT	64,100.
NOONAN SYNDROM FOUNDATION PO BOX 1713 MOLINE, IL 61265	N/A	PC	GENERAL SUPPORT	4,000.
STANDUP FOR KIDS - CHICAGO P.O. BOX 6076 WILMETTE, IL 60091	N/A	PC	AQUISITION OF INDOOR SPACE TO CONDUCT YOUTH OUTREACH & SKILL DEVELOPMENT ACTIVITIES	15,000.
THE GIVE 100 FOUNDATION 6415 OVERBROOK AVE. PHILADELPHIA, PA 19151	N/A	PC	GENERAL SUPPORT	14,000.
YOUNG WOMEN'S LEADERSHIP CHARTER SCHOOL 2641 CALUMET STREET CHICAGO, IL 60616	N/A	PC	CARE & COLLEGE PREP PROGRAM	50,000.
Total from continuation sheets				



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



JOHN SJOHOLM
CLIFTON GUNDERSON LLP
1301 W 22ND ST STE 1100

DUPLICATE FOR:
ALBERT J SPEH JR &
CLAIR R SPEH FOUNDATION

Account Number: G40-0104134
Page: 4 of 8
Financial Advisor: GILCHRIST JOHN/GILCHRIST JOAN - MJ9
Period Ending: 12/31/14

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
HALLIBURTON CO	(G) CASH	181	HAL	28.24710	38.33000	5,112.73	7,118.73	2,006	1.830%	130	2.44
INTEL CORP	(Q) CASH	285	INTC	19.70910	38.29000	5,814.19	10,705.55	4,891	2.480%	265	3.67
JOHNSON & JOHNSON	(L) CASH	78	JNJ	67.80400	104.57000	5,273.11	8,156.48	2,883	2.877%	218	2.80
JOHNSON CTLS INC	(F) CASH	189	JCI	31.18880	48.34000	6,208.58	9,819.68	3,411	2.151%	208	3.30
KRAFT FOODS GROUP INC	(J) CASH	126	KRFT	41.18910	62.86000	5,189.83	7,895.16	2,705	3.511%	277	2.71
LOWES COS INC	(E) CASH	180	LOW	20.34710	68.80000	3,662.48	12,384.00	8,722	1.337%	165	4.25
MARATHON OIL CORP	(G) CASH	163	MRO	29.47530	28.29000	4,804.48	4,611.27	(193)	2.989%	138	1.58
MARSH & MCLENNAN COS INC	(I) CASH	171	MMC	23.49140	57.24000	4,011.80	9,788.04	5,776	1.856%	191	3.38
MERCK & CO INC NEW	(L) CASH	143	MRK	35.75780	56.78000	6,113.36	8,120.87	3,008	3.188%	257	2.78
MONDELEZ INTL INC CLA	(J) CASH	228	MDLZ	24.25480	38.32500	5,554.38	8,318.42	2,764	1.851%	137	2.85
NORTHROP GRUMMAN CORP	(Q) CASH	81	NOC	47.81480	147.39000	3,872.98	11,938.59	8,066	1.899%	228	4.09
OCCIDENTAL PETE CORP DEL	(G) CASH	71	OXY	78.94370	80.61000	5,878.00	5,723.31	47	3.572%	204	1.86
PFIZER INC	(L) CASH	244	PFE	19.25580	31.15000	4,698.37	7,600.60	2,902	3.585%	273	2.61
QUEST DIAGNOSTICS INC	(L) CASH	117	DGX	54.45250	87.06000	6,370.94	7,846.02	1,475	1.868%	154	2.89
RAYTHEON CO COM NEW	(Q) CASH	101	RTN	50.91940	108.17000	5,142.86	10,925.17	5,782	2.237%	244	3.75
VERIZON COMMUNICATIONS INC	(R) CASH	141	VZ	33.92440	48.78000	4,783.34	6,595.98	1,813	4.702%	310	2.26
WASTE MGMT INC DEL	(N) CASH	170	WM	30.32500	51.32000	5,155.25	8,724.40	3,569	2.922%	255	2.99
XEROX CORP	(Q) CASH	897	XRX	10.85560	13.86000	7,588.35	9,860.42	2,094	1.803%	174	3.31
SUB-TOTAL COMMON STOCK ..						163,426.65	279,440.63	116,015		6,741	95.79
TOTAL EQUITIES ..						163,426.65	279,440.63	116,015		6,741	95.79

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(R) 4% TELECOMMUNICATIONS	(I) 13% FINANCIAL	(J) 8% FOOD & BEVERAGES	(L) 21% HEALTHCARE	(O) 23% TECHNOLOGY
(G) 10% ENERGY	(A) 3% AGRICULTURE	(T) 3% UTILITIES	(F) 3% CONSUMER GOODS	(E) 4% CONSTRUCTION & BLDG
(N) 3% PUBLIC SERVICES				

Total Portfolio Holdings

Total Cost Basis	\$175,893.00	Current Value	\$281,707.88	Unrealized Gain/(Loss)	\$116,015	EY	2.311%	EAI	6,743	Portfolio Percent	100%
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Period Ending
12/31/14

Common Stock

SUB-TOTAL COMMON STOCK.....
TOTAL EQUITIES.....

	(I)	20% FINANCIAL	(R)	8% TELECOMMUNICATIONS	(G)	11% ENERGY	(C)	7% BASIC INDUSTRY	(F)	2% CONSUMER GOODS
	(J)	14% FOOD & BEVERAGES	(N)	54% PUBLIC SERVICES	(T)	4% UTILITIES	(M)	3% MEDIA & COMMUNICATION	(L)	11% HEALTHCARE
	(S)	8% TRANSPORTATION	(P)	3% RETAIL SERVICES	(B)	1% APPAREL & ACCESSORIES				

	Total	Current	Unrealized	Portfolio
				Percent
				EAI
				EY
				3.066%
				-1.789%
				-1.00%
Total Portfolio Holdings	\$739,687.63	\$710,873.11	\$(28,711)	



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STATEMENT OF ACCOUNT



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DUPLICATE FOR:
ALBERT J SPEH JR &
CLAIRE R SPEH FOUNDATION

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Account Number
G40-0108422

Financial Advisor
GILCHRIST JOHN/GILCHRIST JOAN - MJ9

Period Ending
12/31/14

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
VANTIV INC	(I) CASH	334	VNTV	27.76350	33.92000	9,273.01	11,329.28	2,056			2.04
VERIZON COMMUNICATIONS INC	(R) CASH	275	VZ	47.88720	46.78000	13,108.48	12,864.50	(244)	4.702%	605	2.31
SUB-TOTAL COMMON STOCK											
TOTAL EQUITIES											
450,336.53											
84,424											
84,424											

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(Q) 13% TECHNOLOGY	(I) 22% FINANCIAL	(P) 8% RETAIL SERVICES	(L) 18% HEALTHCARE	(T) 2% UTILITIES
(G) 6% ENERGY	(M) 3% MEDIA & COMMUNICATION	(C) 3% BASIC INDUSTRY	(E) 2% CONSTRUCTION & BLDG	(S) 5% TRANSPORTATION
(K) 2% GAMING/LODGING	(J) 3% FOOD & BEVERAGES	(A) 2% AGRICULTURE	(H) 1% ENTERTAINMENT	(R) 2% TELECOMMUNICATIONS
Total Portfolio Holdings				
Unrealized Gain/(Loss)				
\$84,424				
1.770%				

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
** BUY AND SELL TRANSACTIONS **						
12-04	CASH	-55	SOLD	COMCAST CORP NEW COMMISSION 0.00	56.76	3,121.74 CREDIT
12-08	CASH	-40	SOLD	ANADARKO PETE CORP COMMISSION 0.00	80.88	3,227.22 CREDIT
12-08	CASH	36	BOUGHT	BAXTER INTL INC COMMISSION 0.00	73.88	2,652.84 DEBIT
12-08	CASH	-253	SOLD	HALLIBURTON CO COMMISSION 0.00	40.80	10,323.28 CREDIT
12-08	CASH	-187	SOLD	SONY CORP COMMISSION 0.00	21.99	4,112.52 CREDIT
12-11	CASH	-105	SOLD	E M C CORP MASS COMMISSION 0.00	30.00	3,150.55 CREDIT
12-11	CASH	-49	SOLD	EXPRESS SCRIPTS HLDG CO COMMISSION 0.00	84.85	4,157.76 CREDIT
12-11	CASH	158	BOUGHT	MANPOWERGROUP INC COMMISSION 0.00	70.84	11,193.72 DEBIT
12-11	CASH	181	BOUGHT	MCDONALDS CORP COMMISSION 0.00	92.79	16,795.77 DEBIT
12-15	CASH	108	BOUGHT	ACTIVISION BLIZZARD INC COMMISSION 0.00	20.78	2,203.17 DEBIT



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DUPLICATE FOR:
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R SPEH FOUNDATION

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Account Number G40-0123092

Financial Advisor GILCHRIST JOHN/GILCHRIST JOAN - MJ9
Period Ending 12/31/14

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ZURICH INS GROUP LTD	(I) CASH	348	ZURVY	17.11280	31.20000	5,953.17	10,857.60	4,902			2.20
SPONSORED ADR											
SUB-TOTAL COMMON STOCK						442,722.58	480,435.81	37,713		17,239	97.46
TOTAL EQUITIES						442,722.58	480,435.81	37,713		17,239	97.46

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(G) 17% ENERGY	(I) 10% FINANCIAL	(F) 5% CONSUMER GOODS	(R) 14% TELECOMMUNICATIONS	(S) 4% TRANSPORTATION
(L) 16% HEALTHCARE	(T) 7% UTILITIES	(J) 14% FOOD & BEVERAGES	(M) 2% MEDIA & COMMUNICATION	(O) 7% TECHNOLOGY
(A) 58% AGRICULTURE				

Total Portfolio Holdings	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
	\$455,255.84	\$480,435.81	\$25,179.97	5.45%	\$17,239	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-02	CASH	-88	SOLD	GDF SUEZ	24.27	2,378.45 CREDIT
12-09	CASH	81	BOUGHT	COMMISSION 0.00	31.08	2,517.86 DEBIT
12-09	CASH	40	BOUGHT	HONDA MOTOR LTD	64.87	2,587.04 DEBIT
12-17	CASH	63	BOUGHT	SYNGENTA AG	45.27	2,857.90 DEBIT
12-22	CASH	-25	SOLD	COMMISSION 0.00	91.87	2,299.23 CREDIT
12-23	CASH	-48	SOLD	REED ELSEVIER NV	46.89	2,250.71 CREDIT
				Net Buy and Sell Transactions		\$1,034.41 DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-01	CASH	325	FRGN TAX PAID	MISCELLANEOUS ACTIVITY		12.57 DEBIT



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STATEMENT OF ACCOUNT



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DUPLICATE FOR:
ALBERT J SPEH JR & CLAIRE R SPEH
FOUNDATION

Account Number
G40-0123464

Financial Advisor
GILCHRIST JOHN/GILCHRIST JOAN - MJ9

Period Ending
12/31/14

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR	(R) CASH	331	VOD	50.35910	34.17000	16,668.87	11,310.27	(5,358.60)	5.275%	586	1.75

SUB-TOTAL COMMON STOCK.....
TOTAL EQUITIES.....

436,389.76 618,599.54 20,926 95.81
436,389.76 618,599.54 20,928 95.81

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(R) 7% TELECOMMUNICATIONS	(C) 7% BASIC INDUSTRY	(L) 17% HEALTHCARE	(S) 1% TRANSPORTATION
(G) 12% ENERGY	(Q) 14% TECHNOLOGY	(J) 5% FOOD & BEVERAGES	(A) 3% AGRICULTURE
(T) 6% UTILITIES	(P) 4% RETAIL SERVICES	(I) 17% FINANCIAL	(F) 2% CONSUMER GOODS

Total Portfolio Holdings

Description	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
	\$484,789.65	\$646,899.43	\$162,209.78	3.235%	20,931	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-10	CASH	480	FRGN TAX PAID	NY SHS NEW R/DTE 11/07/14 P/DTE:12/10/14	28.18	DEBIT
				Net Miscellaneous Credits/Debits.....	\$26.18	DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-01	CASH	355	DIVIDENDS ON	** INCOME ACTIVITY **	259.16	CREDIT
12-01	CASH	305	DIVIDENDS ON	CONOCOPHILLIPS	228.75	CREDIT
12-01	CASH	305	DIVIDENDS ON	DIAMOND OFFSHORE DRILLING INC	38.13	CREDIT
12-01	CASH	380	DIVIDENDS ON	DIAMOND OFFSHORE DRILLING INC	85.60	CREDIT
12-02	CASH	480	DIVIDENDS ON	INTEL CORP	127.40	CREDIT
12-05	CASH	80	DIVIDENDS ON	PFIZER INC	43.80	CREDIT
12-08	CASH	195	DIVIDENDS ON	BOEING CO	136.50	CREDIT
12-10	CASH	170	DIVIDENDS ON	JOHNSON & JOHNSON	181.90	CREDIT
12-10	CASH	280	DIVIDENDS ON	CHEVRON CORP NEW	140.00	CREDIT
				HSBC HLDGS PLC R/DTE:10/24/14 P/DTE:12/10/14		
12-10	CASH	285	DIVIDENDS ON	LILLY ELI & CO	139.65	CREDIT
12-10	CASH	480	DIVIDENDS ON	UNILEVER NV	174.58	CREDIT
12-11	CASH	415	DIVIDENDS ON	R/DTE 11/07/14 P/DTE 12/10/14 MICROSOFT CORP	128.65	CREDIT



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ALBERT J SPEH JR & CLAIRE R SPEH
FOUNDATION IAS/CONGRESS

Account Number
G40-1355588

Financial Advisor
GILCHRIST JOHN/GILCHRIST JOAN - MJ9

Period Ending
12/31/14

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNDER ARMOUR INC	(B) CASH	120	UA	55.05710	67.80000	6,606.85	8,148.00	1,541			1.47
UNITED TECHNOLOGIES CORP	(F) CASH	128	UTX	64.28740	115.00000	8,228.23	14,720.00	6,494	2.052%	302	2.86
UNITEDHEALTH GROUP INC	(L) CASH	124	UNH	70.70870	101.09000	8,768.00	12,535.16	3,767	1.483%	186	2.26
VISA INC	(P) CASH	60	V	181.85480	262.20000	10,911.29	15,732.00	4,821	0.732%	115	2.84
COM CLA											

SUB-TOTAL COMMON STOCK
TOTAL EQUITIES

401,723.42
401,723.42

547,802.37
547,802.37

146,078
146,078

6,489
6,489

98.83
98.83

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(L) 18% HEALTHCARE	(Q) 21% TECHNOLOGY	(I) 10% FINANCIAL	(J) 4% FOOD & BEVERAGES	(S) 5% TRANSPORTATION
(F) 14% CONSUMER GOODS	(P) 5% RETAIL SERVICES	(M) 2% MEDIA & COMMUNICATION	(G) 4% ENERGY	(E) 5% CONSTRUCTION & BLDG
(C) 2% BASIC INDUSTRY	(H) 2% ENTERTAINMENT	(B) 4% APPAREL & ACCESSORIES		

Total Portfolio Holdings

Total	Current	Unrealized	EY	EAI	Portfolio
Cost Basis	Value	Gain/(Loss)			Percent
\$408,233.62	\$854,312.57	\$146,079	-1.17%	6,481	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-31	CASH	250	FRGN TAX PAID	** MISCELLANEOUS ACTIVITY **		
				CANADIAN NATL RY CO		
				R/DTE 12/10/14 P/DTE 12/31/14		
				NON-RESIDENT TAX RATE: 25.00	13.47	DEBIT
				Net Miscellaneous Credits/Debits	\$13.47	DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
12-01	CASH	200	DIVIDENDS ON	** INCOME ACTIVITY **		
				AMERISOURCEBERGEN CORP		
				R/DTE 11/17/14 P/DTE 12/01/14	68.00	CREDIT
12-01	CASH	25	DIVIDENDS ON	GRAINGER W W INC	27.00	CREDIT
12-02	CASH	60	DIVIDENDS ON	VISA INC	28.80	CREDIT
				R/DTE 11/14/14 P/DTE 12/02/14		
12-04	CASH	270	DIVIDENDS ON	TJX COS INC NEW	47.25	CREDIT
12-08	CASH	145	DIVIDENDS ON	JOHNSON & JOHNSON	101.60	CREDIT
12-10	CASH	178	DIVIDENDS ON	EMERSON ELEC CO	83.66	CREDIT
				R/DTE 11/14/14 P/DTE 12/10/14		
12-10	CASH	128	DIVIDENDS ON	UNITED TECHNOLOGIES CORP	75.52	CREDIT
				R/DTE 11/14/14 P/DTE 12/10/14		



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Account Number
G40-1416099

Financial Advisor
GILCHRIST JOHN/GILCHRIST JOAN - MJ9

Period Ending
12/31/14

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SABMiller PLC SPONSOREDADR	(J) CASH	83	SBMRY	56.01740	51.58000	5,209.82	4,795.08	(415)	1.935%	92	0.92
SALESFORCE COM INC	(Q) CASH	435	CRM	48.78460	59.31000	20,355.67	25,799.85	5,444			4.95
STARBUCKS CORP	(J) CASH	229	SBUX	73.41370	82.05000	16,811.73	18,789.45	1,978	1.560%	283	3.61
TESLA MTRS INC	(S) CASH	19	TSLA	223.60110	222.41000	4,248.42	4,225.79	(23)			0.81
TEXTRON INC	(S) CASH	163	TXT	33.67160	42.11000	6,161.90	7,706.13	1,544	0.189%	14	1.48
TIME WARNER CABLE INC	(M) CASH	43	TWC	145.02420	152.08000	6,236.04	6,538.58	303	1.972%	129	1.26
TRIMBLE NAVIGATION LTD	(D) CASH	408	TRMB	31.14630	28.54000	12,708.51	10,828.32	(1,880)			2.08
UNITED RENTALS INC	(I) CASH	68	URI	55.33660	102.01000	3,762.89	6,938.68	3,174			1.33
VISA INC COM CLA	(P) CASH	77	V	129.62440	262.20000	9,881.08	20,189.40	10,208	0.732%	147	3.88
SUB-TOTAL COMMON STOCK							514,553.79	56,791		3,338	98.78
TOTAL EQUITIES							514,553.79	56,791		3,338	98.78

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(P) 11% RETAIL SERVICES	(L) 6% HEALTHCARE
(Q) 20% TECHNOLOGY	(I) 13% FINANCIAL
(F) 8% CONSUMER GOODS	(G) 5% ENERGY
(M) 8% MEDIA & COMMUNICATION	(J) 5% FOOD & BEVERAGES
(N) 3% PUBLIC SERVICES	(E) 8% CONSTRUCTION & BLDG
(K) 1% GAMING/LODGING	(C) 3% BASIC INDUSTRY
(S) 2% TRANSPORTATION	(D) 2% CAPITAL GOODS

Total Portfolio Holdings

Total Cost Basis	\$464,112.38	Current Value	\$520,804.81	Unrealized Gain/(Loss)	- \$56,791	EY	0.941%	EAI	3,338	Portfolio Percent	100%
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Account Number
G40-1156968

Financial Advisor
GILCHRIST JOHN/GILCHRIST JOAN - MJ9

Period Ending
12/31/14

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds

(NOT FDIC INSURED)

Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor.

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	322,088.6500	ADLXX	1.00000	1.00000	322,088.65	322,088.65	0.020%	64	9.76
TOTAL MONEY MARKET FUNDS						322,088.65	322,088.65		64	9.76

Equities

Please note the following icon  appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
NORTEL NETWORKS CORP NEW COM NEW	(R) CASH	5	NRTLQ	N/A	0.00350	N/A	0.01	N/A			
SUB-TOTAL COMMON STOCK						0.00	0.01	0			0.00
TOTAL EQUITIES						0.00	0.01	0			0.00

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(R) 100% TELECOMMUNICATIONS

Mutual Funds

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decrease	EY	EAI	Est Yield to Net Cost	Portfolio Percent
EATON VANCE FIR ADVANTAGE FUND CLASS A		7,315.7260	11.15914	81,637.27	74,650.87	10.79000	78,936.88	(2,701)	4,285	4.596%	3,628	4.44	2.39
OPEN END SYMBOL EAFAX	Purchases	6,688.7100	11.16072	74,650.87	74,650.87		72,171.18	(2,480)					
	Reinvestment	527.0160	11.14229	6,986.40			6,765.50	(221)					



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GILCHRIST JOHN/GILCHRIST JOAN - MJ9
12/31/14

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Account Number
G40-1156968

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decrease	EY	EAI	Est Yield to Portfolio Net Cost	Portfolio Percent
EATON VANCE F/R ADVANTAGE FUND CLASS ADVISER		8,838	5500	11	19000	100,000 00	107,8000	96,425 37	(3,575)	4 596%	4,432	4 43	2 92
OPEN END SYMBOL EVFAX	Purchases	8,838	5500	11	19000	100,000 00	107,8000	96,425 37	(3,575)				
LOOMIS SAYLES BD FD RETAIL		6,613	7570	15	11999	100,000 00	14 76000	97,619 05	(2,381)	4 073%	3,976	3 97	2 96
OPEN END SYMBOL LSRX	Purchases	6,613	7570	15	11999	100,000 00	14 76000	97,619 05	(2,381)				
OPPENHEIMER RISING DIV-A		8,755	4330	15	59124	136,508 12	19 98000	175,021 10	38,513	0 780%	1,365	1 00	5 30
OPEN END SYMBOL OARDX	Purchases	8,755	4330	15	59124	136,508 12	19 98000	175,021 10	38,513				
	Reinvestment	6,825	9380	14	84998	100,000 00	136,450 52	36,451					
		1,929	4940	18	92108	36,508 12	38,570 58	2,062					
PIMCO TOTAL RETURN FD CL D		29,727	1240	11	32639	336,701 16	10 86000	316,891 14	(18,810)	1 876%	5,945	1 76	9 60
OPEN END SYMBOL PITDX	Purchases	29,727	1240	11	32639	336,701 16	10 86000	316,891 14	(18,810)				
	Reinvestment	26,403	8120	11	36199	300,000 00	281,464 63	(18,535)					
		3,323	3120	11	04354	36,701 16	35,426 50	(1,275)					
SUB-TOTAL OPEN END FUNDS						754,846 55	764,893 34	10,046			19,348	23.17	
TOTAL MUTUAL FUNDS						754,846 55	764,893 34	10,046			19,348	23.17	

Fixed Income

For tax reporting purposes, Fixed Income ADJUSTED COST reflects calculations using the "Straight-Line Basis" to reflect amortization of cost for Fixed Income securities purchased at a premium and/or accretion of cost for Fixed Income securities purchased at a discount. The formula is not applied to Foreign Bonds or Certificates of Deposit.

Certificates of Deposit in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
GE CAP BK INC RETAIL B/E 1 05% DUE 05/18/15 C/D	CASH	50,000	38160YMX0 NA / NA	100 00000	100 21200	50,000 00	50,108 00	106	1 050%	525	1 52
COMPASS BK BIRMINGHAM ALA B/E 1 15% DUE 08/28/15 C/D	CASH	120,000	20451PAD8 NA / NA	100 00000	100 31000	120,000 00	120,372 00	372	1 150%	1,380	3 85
AMERICAN EXPRESS CENTRN B/E 1% DUE 07/08/15 C/D	CASH	50,000	02587DLA4 NA / NA	100 00000	100 30700	50,000 00	50,153 50	154	1 000%	500	1 52
SAFRA NATL BANK NEW YORK NY B/E .7% DUE 09/18/15 C/D	CASH	200,000	78658ALU0 NA / NA	100 00000	100 19600	200,000 00	200,392 00	392	0 700%	1,400	6 07
GOLDMAN SACHS BK USA NY B/E 5 25% DUE 11/12/15 C/D	CASH	94,000	381426SX4 NA / NA	100 00000	103 26000	94,000 00	97,064 40	3,064	5 250%	4,935	2 94



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



CLIFTON GUNDERSON LLP
1301 W 22ND ST, STE 1100
OAK BROOK IL 60523-2020

DUPLICATE FOR:
ALBERT J SPEH JR AND
CLAIRE R SPEH FOUNDATION(PAS)

Page 4 of 7
Account Number G40-1387530
Financial Advisor GILCHRIST JOHN/GILCHRIST JOAN - MJ9
Period Ending 12/31/14

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decrease	EY	EAI	Est Yield to Portfolio Net Cost	Percent
GABELL EQUITY INCOME FD CLAA SYMBOL: GABEX OPEN END		9,858,5030	18.16582	188,948 37	151,610 68	29 08000	288,685 26	97,739	135,004	3 782%	10,844	5.73	17.85
Purchases		8,375 8890	18.10088	151,610 68	151,610 68		243,570 27	91,960					
Reinvestment		1,482 6340	25 18201	37,335 71			43,114 99	5,779					
HARBOR INTL FD INV CL SYMBOL: HINX OPEN END		4,807,8360	59 18042	284,439 53	257,588 50	84.17000	308,525 25	24,088	50,836	1 778%	5,482	1 92	18 99
Purchases		4,361 3870	58 06114	257,588 50	257,588 50		279,870 20	22,282					
Reinvestment		446,5490	60 13008	28,851 03			28,655 04	1,804					
MFS INTL VALUE FD CLA PEN END SYMBOL: MGIA OPEN END		6,568 5820	35 38149	232,335 50	225,475 00	33 06000	217,091 20	(15,244)	(8,383)	2 075%	4,504	1 93	13 38
Purchases		6,358 7800	35.47000	225,475 00	225,475 00		210,155 14	(15,320)					
Reinvestment		209 8020	32 69887	6,860 50			6,938 05	78					
RS INVT TR GLOBAL NATURAL RES FD A SYMBOL: RSNRX OPEN END		621 8680	36 60328	22,766 11	20,916 39	24 81000	15,431 05	(7,335)	(5,485)				0 95
Purchases		553 5360	37 78886	20,916 39	20,916 39		13,733 22	(7,183)					
Reinvestment		68 4330	27 02964	1,949 72			1,697 82	(152)					
RYDEX BASIC MATERIALS FDA SYMBOL: RYBMX OPEN END		411 4780	50 23804	20,671 90	20,368 63	47 18000	19,413 57	(1,258)	(955)	0 803%	155	0 75	1 18
Purchases		405,1280	50 27702	20,368 63	20,368 63		19,113 93	(1,255)					
Reinvestment		6 3510	47.75153	303 27			299 64	(4)					
VAN ECK GLOBAL HARD ASSETS CLA OPEN END SYMBOL: GHAA SYMBOL: GHAA		414,8660	51 23389	21,255 12	20,905 42	38 88000	16,134 13	(5,121)	(4,771)				1 00
Purchases		406 7780	51 39270	20,905 42	20,905 42		15,819 59	(5,086)					
Reinvestment		8 0880	43 23889	349 70			314 54	(35)					
SUB-TOTAL OPEN END FUNDS.....				1,323,471 70			1,592,972 15	269,501			24,332		88.06
TOTAL MUTUAL FUNDS.....				1,323,471 70			1,592,972 15	269,501			24,332		88.06
Total Portfolio Holdings													Unrealized Gain/(Loss) \$269,501 1.486% EY 24,332 EAI 24,338 Portfolio Percent 100%

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	48,862.	48,862.	
TOTAL TO PART I, LINE 3	48,862.	48,862.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	226,956.	58,231.	168,725.	168,725.	
TO PART I, LINE 4	226,956.	58,231.	168,725.	168,725.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ARMORY CREDIT OPPORTUNITY FUND - PORTFOLIO INCOME	-3,918.	-3,918.	
ARMORY CREDIT OPPORTUNITY FUND - PORTFOLIO INCOME	7,885.	7,885.	
NON-DIVIDEND DISTRIBUTIONS	10,252.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,219.	3,967.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,850.	2,425.		2,425.
TO FORM 990-PF, PG 1, LN 16B	4,850.	2,425.		2,425.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	86,988.	86,988.		0.
CONSULTING FEES	22,998.	11,499.		11,499.
TO FORM 990-PF, PG 1, LN 16C	109,986.	98,487.		11,499.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	6,077.	0.		0.
FOREIGN TAXES	6,816.	6,816.		0.
PAYROLL TAXES	10,635.	1,064.		9,571.
REAL ESTATE TAXES	18,726.	18,726.		0.
TO FORM 990-PF, PG 1, LN 18	42,254.	26,606.		9,571.

FORM 990-PF	OTHER EXPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	600.	0.		600.
ILLINOIS FILING FEES	25.	13.		12.
SUPPLIES	966.	483.		483.
POSTAGE	347.	87.		260.
TELEPHONE	3,575.	893.		2,682.
UTILITIES	4,863.	1,216.		3,647.
INSURANCE	1,726.	432.		1,294.
OFFICE CLEANING	1,680.	420.		1,260.
BANK FEES	152.	76.		76.
REPAIRS	3,054.	764.		2,290.
SEMINARS	1,950.	0.		1,950.
WEBSITE	7,050.	3,525.		3,525.
LEGAL FEES	670.	167.		503.
ARMORY CREDIT OPPORTUNITY FUND LP - PORTFOLIO DEDUCTIONS	5,661.	5,661.		0.
TO FORM 990-PF, PG 1, LN 23	32,319.	13,737.		18,582.

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
OPPENHEIMER	3,636,380.	3,636,380.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,636,380.	3,636,380.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
OPPENHEIMER	0.	0.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	0.	0.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARMORY CREDIT OPPORTUNITY FUND LP	FMV	262,439.	262,439.
OPPENHEIMER	FMV	2,357,865.	2,357,865.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,620,304.	2,620,304.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
DESKS	6,745.	6,745.	0.
SIGN	483.	483.	0.
CREDENZA AND DISPLAY CASE	850.	850.	0.
CABINET	2,136.	2,136.	0.
SOFTWARE	705.	695.	10.
COMPUTER	16,592.	16,592.	0.
COMPUTER	1,398.	1,398.	0.
DESKS	101.	101.	0.
CABINET	199.	199.	0.
SOFTWARE	297.	296.	1.
DATA CART	234.	234.	0.
COMPUTERS	2,498.	2,498.	0.
COPY MACHINE	450.	450.	0.
COMPUTER & SCANNER	1,730.	1,730.	0.
OFFICE FURNITURE	11,695.	11,695.	0.
COPIER	425.	425.	0.
BUILDING	291,993.	68,319.	223,674.
LAND	72,998.	0.	72,998.
FURNITURE	905.	905.	0.
IMPROVEMENTS	7,017.	1,612.	5,405.
OFFICE FURNITURE	950.	950.	0.
LAPTOP	2,025.	2,025.	0.
COMPUTER	1,550.	1,550.	0.
COMPUTER	826.	826.	0.
FILING CABINETS	448.	448.	0.
OFFICE FURNITURE	1,131.	1,131.	0.
SERVER	10,674.	10,674.	0.
COMPUTER HARDWARE	1,360.	1,360.	0.
COMPUTER SOFTWARE	494.	494.	0.
COMPUTER & HARDWARE	865.	815.	50.
COMPUTER SOFTWARE	1,056.	1,056.	0.
COMPUTER HARDWARE	10,932.	10,932.	0.
COMPUTER SOFTWARE	1,790.	1,790.	0.
COMPUTER HARDWARE	4,801.	4,110.	691.

COMPUTER SOFTWARE	359.	346.	13.
COMPUTER HARDWARE	699.	532.	167.
COMPUTER SOFTWARE	616.	548.	68.
COMPUTER HARDWARE	2,061.	1,237.	824.
COMPUTER SOFTWARE	470.	313.	157.
TOTAL TO FM 990-PF, PART II, LN 14	462,558.	158,500.	304,058.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
GIFT CARDS FOR FUTURE GRANTS	0.	6,000.	6,000.
TO FORM 990-PF, PART II, LINE 15	0.	6,000.	6,000.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
FEDERAL TAX PAYABLE	0.	1,234.
STATE TAX PAYABLE	0.	259.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	1,493.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 14
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MICHELLE SHARKO 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
LAWRENCE J. SPEH 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
KATHLEEN MALINGER 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
LYNETTE WOOD 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
JONATHAN SPEH 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
SHANNON NEAL 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
ALBERT J. SPEH IV 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
ALANNA ANDRUSZKIEWICZ 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 32.00	51,923.	0.	0.
ERIK JORGENSEN 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
MEGAN JORGENSEN 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	DIRECTOR 1.00	0.	0.	0.
KEVIN MALINGER 10700 W. HIGGINS RD #250 ROSEMONT, IL 60018	EXECUTIVE DIRECTOR 40.00	79,962.	0.	0.

ALBERT J. AND CLAIRE R. SPEH FOUNDATION

36-4118596

LORENE CARAVELLO	DIRECTOR			
10700 W. HIGGINS RD #250	1.00	0.	0.	0.
ROSEMONT, IL 60018				
JUSTIN BENNETT	DIRECTOR			
10700 W. HIGGINS RD #250	1.00	0.	0.	0.
ROSEMONT, IL 60018				
MATTHEW SHARKO	DIRECTOR			
10700 W. HIGGINS RD #250	1.00	0.	0.	0.
ROSEMONT, IL 60018				
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		131,885.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 15

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ALBERT J. AND CLAIRE R. SPEH FOUNDATION, ATTN: KEVIN MALINGER
10700 W. HIGGINS RD, STE 250
ROSEMONT, IL 60018

TELEPHONE NUMBER

847-299-7011

FORM AND CONTENT OF APPLICATIONS

A LETTER OF INTENT MUST BE SUBMITTED 60 DAYS PRIOR TO GRANT APPLICATION DEAD LINE. THE FOUNDATION WILL THEN DECIDE WHETHER THEY WILL REQUEST A GRANT APPLICATION

ANY SUBMISSION DEADLINES

GRANT APPLICATION DEADLINES ARE MARCH 15 AND JULY 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

REQUESTS MUST BE MADE FOR PROGRAMS WHICH DIRECTLY IMPACT YOUTH BETWEEN THE AGES OF 13 - 19, MUST BE MADE BY A 501(C)(3) ORG., CHICAGO METRO REGION, ORG MUST HAVE STRONG COMMUNITY SUPPORT, HAVE MEANINGFUL OBJECTIVES AND REALISTIC BUDGETS. THEY MUST ALSO DEMONSTRATE COMPETENT LEADERSHIP AND PROVIDE FOR PERIODIC EVALUATION OF THEIR PROGRAMS.

2014 DEPRECIATION AND AMORTIZATION REPORT

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990-PF

Asset No	Description	Date Acquired	Method	Life	Convention	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	DESKS	07/15/98	200DB	7.00	HY17	6,745.				6,745.	6,745.		0.	6,745.
2	SIGN	07/15/98	200DB	7.00	HY17	483.				483.	483.		0.	483.
6	CREDENZA AND DISPLAY CASE	04/03/00	200DB	7.00	HY17	850.				850.	850.		0.	850.
7	CABINET	05/31/00	200DB	7.00	HY17	2,136.				2,136.	2,136.		0.	2,136.
8	SOFTWARE	08/28/01	SL	3.00	HY16	705.				705.	695.		0.	695.
9	COMPUTER	11/27/01	200DB	5.00	HY17	16,592.				16,592.	16,592.		0.	16,592.
10	COMPUTER	09/12/01	200DB	5.00	HY17	1,398.				1,398.	1,398.		0.	1,398.
11	DESKS	05/22/02	200DB	7.00	HY17	101.				101.	101.		0.	101.
13	CABINET	01/28/03	200DB	7.00	HY17	199.			60.	139.	139.		0.	139.
14	SOFTWARE	10/08/03	SL	3.00	HY16	297.			149.	148.	147.		0.	147.
15	DATA CART	03/27/03	200DB	7.00	HY17	234.			70.	164.	164.		0.	164.
16	COMPUTERS	01/09/03	200DB	5.00	HY17	2,498.			749.	1,749.	1,749.		0.	1,749.
17	COPY MACHINE	06/05/03	200DB	5.00	HY17	450.			225.	225.	225.		0.	225.
18	COMPUTER & SCANNER	04/22/04	200DB	5.00	HY17	1,730.			865.	865.	865.		0.	865.
19	OFFICE FURNITURE	10/05/05	200DB	7.00	MC17	11,695.				11,695.	11,695.		0.	11,695.
20	COPIER	06/14/05	200DB	5.00	MC17	425.				425.	425.		0.	425.
21	BUILDING	11/30/05	SL	39.00	MM17	291,993.				291,993.	60,832.		7,487.	68,319.
22	LAND	11/30/05	L			72,998.				72,998.			0.	

425111
05-01-14

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2014 DEPRECIATION AND AMORTIZATION REPORT

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990-PF

Asset No	Description	Date Acquired	Method	Life	Convention	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
23	FURNITURE	11/17/05	200DB	7.00	MACRS	905.				905.	905.		0.	905.
24	IMPROVEMENTS	01/11/06	SL	39.00	MACRS	7,017.				7,017.	1,432.		180.	1,612.
25	OFFICE FURNITURE	01/26/06	200DB	7.00	HYL	950.				950.	950.		0.	950.
26	LAPTOP	04/13/06	200DB	5.00	HYL	2,025.				2,025.	2,025.		0.	2,025.
27	COMPUTER	09/13/06	200DB	5.00	HYL	1,550.				1,550.	1,550.		0.	1,550.
28	COMPUTER	11/16/06	200DB	5.00	HYL	826.				826.	826.		0.	826.
29	FILING CABINETS	02/15/06	200DB	7.00	HYL	448.				448.	448.		0.	448.
30	OFFICE FURNITURE	03/07/06	200DB	7.00	HYL	1,131.				1,131.	1,131.		0.	1,131.
31	SERVER	04/25/07	200DB	5.00	HYL	10,674.				10,674.	10,674.		0.	10,674.
42	COMPUTER HARDWARE	09/10/09	200DB	5.00	HYL	1,360.		1,360.					0.	
43	COMPUTER SOFTWARE	06/30/09	200DB	3.00	HYL	494.		494.					0.	
54	COMPUTER & HARDWARE	07/14/10	200DB	5.00	HYL	865.				865.	715.		99.	815.
66	COMPUTER SOFTWARE	06/30/10	200DB	3.00	HYL	1,056.				1,056.	1,056.		0.	1,056.
77	COMPUTER HARDWARE	06/30/11	200DB	5.00	HYL	10,932.			10,932.				0.	
78	COMPUTER SOFTWARE	06/30/11	200DB	3.00	HYL	1,790.			1,790.				0.	
89	COMPUTER HARDWARE	06/30/12	200DB	5.00	HYL	4,801.			2,401.	2,400.	1,248.		461.	1,709.
90	COMPUTER SOFTWARE	06/30/12	200DB	3.00	HYL	359.			180.	179.	139.		27.	166.
101	COMPUTER HARDWARE	06/30/13	200DB	5.00	HYL	699.			350.	349.	70.		112.	182.

428111
05-01-14

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
102	COMPUTER SOFTWARE	06/30/13	200DB	3.00		HY17	616.			308.	308.	103.		137.	240.
113	COMPUTER HARDWARE	06/30/14	200DB	5.00		HY19B	2,061.			1,031.	1,030.			1,237.	206.
114	COMPUTER SOFTWARE	06/30/14	200DB	3.00		HY19A	470.			235.	235.			313.	78.
* TOTAL 990-PF PG 1 DEPR							462,558.		1,854.	19,345.	441,359.	128,514.		10,053.	137,301.

428111
05-01-14

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. ALBERT J. AND CLAIRE R. SPEH FOUNDATION C/O KEVIN MALINGER, EXECUTIVE DIRECTOR	Employer identification number (EIN) or 36-4118596
	Number, street, and room or suite no. If a P.O. box, see instructions. 10700 W. HIGGINS RD, NO. 250	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ROSEMONT, IL 60018	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

KEVIN MALINGER

- The books are in the care of ► **10700 W. HIGGINS RD, STE 250 - ROSEMONT, IL 60018**

Telephone No. ► **847-299-7011**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year **2014** or
- ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	13,420.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	3,920.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	9,500.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions ALBERT J. AND CLAIRE R. SPEH FOUNDATION C/O KEVIN MALINGER, EXECUTIVE DIRECTOR	Employer identification number (EIN) or 36-4118596
File by the due date for filing your return. See instructions Number, street, and room or suite no. If a P.O. box, see instructions 10700 W. HIGGINS RD, NO. 250	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. ROSEMONT, IL 60018	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

KEVIN MALINGER

- The books are in the care of **10700 W. HIGGINS RD, STE 250 - ROSEMONT, IL 60018**

Telephone No **847-299-7011**

Fax No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2015**

5 For calendar year **2014**, or other tax year beginning ☐, and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE FORM 990-PF RETURN IS NOT YET AVAILABLE. AN ADDITIONAL EXTENSION OF TIME IS REQUESTED.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title **CPA**

Date ☐