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EXTENDED TO NOVEMBER 16, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation THE CLINTON FAMILY FUND		A Employer identification number 36-3994361
Number and street (or P.O. box number if mail is not delivered to street address) 5824 S. NASHVILLE AVENUE		B Telephone number 773-643-2611
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60638		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 21,544,126.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B interest on savings and temporary cash investments		27,115.	27,115.		STATEMENT 1
3 Dividends and interest from securities		356,966.	356,966.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		743,510.			
b Gross sales price for all assets on line 6a 8,589,735.					
7 Capital gain net income (from Part IV, line 2)			743,510.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<57.>	<57.>		STATEMENT 3
12 Total. Add lines 1 through 11		1,127,534.	1,127,534.		
13 Compensation of officers, directors, trustees, etc		3,680.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		5,000.	2,500.		2,500.
c Other professional fees STMT 5		10,000.	0.		0.
17 Interest					
18 Taxes STMT 6		25,924.	8,858.		0.
19 Depreciation and depletion					
20 Occupancy		850.	0.		0.
21 Travel, conferences, and meetings		3,619.	0.		1,341.
22 Printing and publications					
23 Other expenses STMT 7		85,802.	85,442.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		134,875.	96,800.		3,841.
25 Contributions, gifts, grants paid		1,121,150.			1,121,150.
26 Total expenses and disbursements. Add lines 24 and 25		1,256,025.	96,800.		1,124,991.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<128,491.>			
b Net investment income (if negative, enter -0-)			1,030,734.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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2014.04030 THE CLINTON FAMILY FUND

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		54,955.		
	2	Savings and temporary cash investments		253,579.	176,208.	176,208.
	3	Accounts receivable ▶ 109,341.				
		Less: allowance for doubtful accounts ▶		9,323.	109,341.	109,341.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 10		853,111.	870,256.	867,028.
	b	Investments - corporate stock STMT 11		15,913,659.	17,174,464.	20,059,547.
	c	Investments - corporate bonds STMT 12		1,512,147.	346,221.	332,002.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		18,596,774.	18,676,490.	21,544,126.	
Liabilities	17	Accounts payable and accrued expenses		69,433.	70,154.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 13)		0.	207,486.	
23	Total liabilities (add lines 17 through 22)		69,433.	277,640.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		18,527,341.	18,398,850.	STATEMENT 9
	30	Total net assets or fund balances		18,527,341.	18,398,850.	
31	Total liabilities and net assets/fund balances		18,596,774.	18,676,490.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,527,341.
2	Enter amount from Part I, line 27a	2	<128,491.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	18,398,850.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,398,850.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 8,589,735.		8,203,976.	743,510.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			743,510.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	743,510.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	963,312.	20,744,667.	.046437
2012	912,810.	19,475,689.	.046869
2011	762,598.	19,718,485.	.038674
2010	931,092.	19,171,934.	.048565
2009	415,083.	17,117,841.	.024249

2 Total of line 1, column (d)	2	.204794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.040959
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	21,972,946.
5 Multiply line 4 by line 3	5	899,990.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,307.
7 Add lines 5 and 6	7	910,297.
8 Enter qualifying distributions from Part XII, line 4	8	1,124,991.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	10,307.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	10,307.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	10,307.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	6,320.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,820.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	60.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,453.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☒ 6,453. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ANDREW MARTIN Telephone no. ► 773-643-2611 Located at ► 5824 S. NASHVILLE AVENUE, CHICAGO, IL ZIP+4 ► 60638			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRUCE E. CLINTON 5824 S. NASHVILLE AVENUE CHICAGO, IL 60638	DIRECTOR, PRESIDENT, CEO 10.00	0.	0.	0.
MARTHA O. CLINTON 5824 S. NASHVILLE AVENUE CHICAGO, IL 60638	DIRECTOR, SECRETARY 1.00	0.	0.	0.
L. ANDREW MARTIN 5824 S. NASHVILLE AVENUE CHICAGO, IL 60638	DIRECTOR, VICE PRESIDENT 1.00	0.	0.	0.
MARK HONINGS 5824 S. NASHVILLE AVENUE CHICAGO, IL 60638	VP OF FINANCE, ASST. SECRE 2.00	3,680.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 14	930.
2 UNIVERSITY OF COLORADO - BRUCE CLINTON SUPPORTS THE MUSIC PROGRAM AND MEETS TO DISCUSS CURRENT PROGRAMS AND NEEDS. HE ALSO SUPPORTS THE ARCHITECTURE LECTURE SERIES.	315.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21,858,885.
b	Average of monthly cash balances	1b	448,674.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	22,307,559.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,307,559.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	334,613.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,972,946.
6	Minimum investment return. Enter 5% of line 5	6	1,098,647.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,098,647.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	10,307.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	10,307.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,088,340.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,088,340.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,088,340.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,124,991.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,124,991.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,307.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,114,684.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,088,340.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			1,024,626.	
b Total for prior years:				
2011, _____, _____		11,783.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 1,124,991.				
a Applied to 2013, but not more than line 2a			1,024,626.	
b Applied to undistributed income of prior years (Election required - see instructions)		11,783.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				88,582.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				999,758.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

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11-24-14

Form 990-PF (2014)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4 for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

BRUCE E. CLINTON

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE - ATTACHMENT #4				1,121,150.
Total			3a	1,121,150.
b Approved for future payment				
NONE				
Total			3b	0.

THE CLINTON FAMILY FUND

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	JPMORGAN - SEE ATTACHMENT #3	P	VARIOUS	VARIOUS
b	JPMORGAN - SEE ATTACHMENT #3	P	VARIOUS	VARIOUS
c	JPMORGAN - SEE ATTACHMENT #3	P	VARIOUS	VARIOUS
d	JPMORGAN - SEE ATTACHMENT #3	P	VARIOUS	VARIOUS
e	JPMORGAN - SEE ATTACHMENT #3	P	VARIOUS	VARIOUS
f	LONG TERM CAPITAL GAIN DISTRIBUTION			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,422,426.		2,545,977.	<123,551.>
b 589,102.		671,532.	<82,430.>
c 2,352,839.		2,118,296.	234,543.
d 3,020,368.		2,656,784.	363,584.
e 205,000.		211,387.	<6,387.>
f			357,751.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<123,551.>
b			<82,430.>
c			234,543.
d			363,584.
e			<6,387.>
f			357,751.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	743,510.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	N/A

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05-01-14

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	27,115.	27,115.	
TOTAL TO PART I, LINE 3	27,115.	27,115.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	356,966.	0.	356,966.	356,966.	
TO PART I, LINE 4	356,966.	0.	356,966.	356,966.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME (LOSS)	<57.>	<57.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<57.>	<57.>	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,000.	2,500.		2,500.
TO FORM 990-PF, PG 1, LN 16B	5,000.	2,500.		2,500.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	10,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	10,000.	0.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN WITHHOLDING TAX	8,858.	8,858.		0.
FEDERAL INCOME TAX	16,779.	0.		0.
PAYROLL TAXES	287.	0.		0.
TO FORM 990-PF, PG 1, LN 18	25,924.	8,858.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PORTFOLIO FEES	85,442.	85,442.		0.
OTHER EXPENSES	360.	0.		0.
TO FORM 990-PF, PG 1, LN 23	85,802.	85,442.		0.

FOOTNOTES	STATEMENT	8
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SECTION 4942(H)(2) ELECTION
AS TO THE TREATMENT OF QUALIFYING DISTRIBUTIONS

THE CLINTON FAMILY FUND
FEIN: 36-3994361
5824 S. NASHVILLE AVENUE, CHICAGO, IL 60638
31-DEC-14

PURSUANT TO IRC SEC. 4942(H)(2) AND REG. 53.4942(A)-3(D)(2),

THE ABOVE REFERENCED FOUNDATION HEREBY ELECTS TO TREAT
CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE
IMMEDIATELY PRECEDING TAX YEAR'S UNDISTRIBUTED INCOME AS
BEING MADE OUT OF UNDISTRIBUTED INCOME FROM THE TAX YEAR
2011 IN THE AMOUNT OF \$11,783.

FORM 990-PF	OTHER FUNDS	STATEMENT	9
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	18,527,341.	18,398,850.
TOTAL TO FORM 990-PF, PART II, LINE 29	18,527,341.	18,398,850.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	10
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN CHASE-SEE ATTACHMENT #2	X		870,256.	867,028.
TOTAL U.S. GOVERNMENT OBLIGATIONS			870,256.	867,028.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			870,256.	867,028.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN CHASE-SEE ATTACHMENT #1	17,174,464.	20,059,547.
TOTAL TO FORM 990-PF, PART II, LINE 10B	17,174,464.	20,059,547.

FORM 990-PF	CORPORATE BONDS	STATEMENT	12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN CHASE-SEE ATTACHMENT #2	346,221.	332,002.
TOTAL TO FORM 990-PF, PART II, LINE 10C	346,221.	332,002.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	13
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
CHECKS WRITTEN IN EXCESS OF CASH BALANCE	0.	207,486.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	207,486.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	14
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ACTIVITY ONE

NEW WORLD SYMPHONY - BRUCE CLINTON IS A MEMBER OF THE EXECUTIVE, FINANCE, FACILITIES, AND NOMINATING AND GOVERNANCE COMMITTEES. MR. CLINTON TRAVELS TO ATTEND MEETINGS OF THE COMMITTEES WITH THE CITY OF MIAMI. AS PART OF THE FACILITIES COMMITTEE HE HAS AN ACTIVE ROLE IN THE MAINTENANCE AND RENOVATIONS OF THE BUILDINGS AND GROUNDS FOR THE CONCERT HALL, SOUNDSCAPE PARK, PARKING GARAGE, AND ALTON POINTE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

930.

The Clinton Family Fund
2014 Contributions

MWH
10/28/2015

Date	Check #	Payee	Purpose	Amount
7/31/14	3041	All Things New	General Operations	450 00
7/31/14	3042	All Things New 1342 Sunnymede Drive Jacksonville, FL 32211	General Operations	300 00
12/31/14	1862	American Folk Art Museum 2 Lincoln Square New York, NY 10023	General Operations	\$50 00
12/31/14	1803	Aspen Music Festival and School 225 Music School Road Aspen, CO 81611	General Operations	\$7,000 00
5/21/14	1793	Audubon Florida	General Operations	\$1,000 00
12/31/14	1804	Audubon Florida 4500 Biscayne Blvd Miami, FL 33137	General Operations	\$1,000 00
9/18/14	1797	Geoffrey Beene Foundation	Alzheimer's Disease Research	\$5,000 00
12/31/14	1825	Geoffrey Beene Foundation 1101 K Street NW Washington, DC 20005	Alzheimer's Disease Research	\$5,000 00
12/31/14	1810	Belle Voce Young Womens Choir 564 Bluff Road NW Rochester, MN	Working Capital Reserve/General Operations	\$5,000 00
7/31/14	3043	Books a Go Go 10076 Heather Lake Court Jacksonville, FL 32256	General Support	\$375 00
7/31/14	3040	Bravo Vail	General Operations	\$10,000 00
12/31/14	1805	Bravo Vail 2271 N. Frontage Road Vail, CO 81657	General Operations	\$5,000 00
12/31/14	Amex	Camillus House 336 NW 5th Street Miami, FL 33128	General Operations	\$2,000 00
12/31/14		Canby Depot 8 S. St. Olaf Canby, MN 56220	General Operations	\$100 00
12/31/14	1806	Center for Security Policy 5185 McArthur Boulevard NW Washington, DC 20016	Keeper of the Flame Dinner	\$5,000 00
12/31/14	Amex	Chicago Childrens Advocacy Center 1240 S. Damen Avenue Chicago IL	General Support	\$5,000 00
12/31/14	Amex	Chicago Child Care Society 5467 S. University Chicago, IL 60615	General Operation	\$3,300 00
12/31/14	1809	Chicago Chorale 1100 East 55th Street Chicago, IL 60615	General Operations	\$1,000 00
12/31/14	1811	Chicago Philharmonic 14 Country Lane Northfield, IL 60093	General Operations	\$1,000 00
12/31/14	1846	Chicago Symphony Orchestra	Naming of Principal Tympani Chair	\$250,000 00

		Chicago Symphony Orchestra 220 South Michigan Chicago, IL 60604	General Operations	\$30,000 00
12/31/14	1807	Chnst's Church Mandarrn 6045 Greenland Road Jacksonville, FL 32258	Missionary Fund	\$3,000 00
6/30/14	Amex	CNMC Foundation 111 Michigan Avenue Washington, DC 20010	General Operations	\$500 00
12/31/14	1813	Coconut Grove Cares 3870 Washington Avenue Coconut Grove FL 33133	General Operations	\$10,000 00
1/17/14	1780	Covenant House	General Operations	\$1,000 00
12/31/14	1815	Covenant House 733 Breakers Avenue Ft Lauderdale, FL 33304	General Operations	\$1,000 00
12/31/14	1818	Dana Farber Cancer Research 10 Brookline Place Brookline, MA 02445	Ludwig Center General Operations	\$5,000 00
12/31/14	1864	Denver Art Museum 100 W 14th Avenue Parkway Denver, CO 80204	General Operations	\$1,000 00
12/31/14	1865	Denver Botanic Garden 909 York Street Denver, CO 80206	General Operations	\$1,000 00
12/31/14	Amex	Denver Rescue Mission PO Box 5164 Denver, CO 80217	General Operations	\$1,000 00
12/31/14	1819	Fairchild Tropical Botanic Garden 10901 Old Cutler Road Coral Gables, FL 33156	General Operations	\$15,000 00
12/31/14	1820	First Baptist Church Hammond 523 Sibley Hammond, IN 46320	Scholarships	\$2,000 00
7/31/14	3044	The First Tee 4401 Cypress Links Blvd Elkton, FL 32033	General Operations	\$225 00
12/31/14	Amex	Food Bank of the Rockies 10700 E 45th Avenue Denver, CO 80239	General Operations	\$1,000 00
12/31/14	1821	Fox Lake Schools 29067 West Grass Lake Road Spring Grove, IL 60081	Fine Art Program	\$5,000 00
12/31/14	1822	Frends of Chamber Music 2665 S Bayshore Drive Miami, FL 33133	General Operations	\$12,000 00
1/10/14	1789	Patricia and Philip Frost Museum of Science 3280 S Miami Avenue Miami, FL 33129	Venus Orbit Project	\$1,000 00
12/31/14	1808	FSH Society	General Operations	\$1,000 00
12/31/14	1823	FSH Society 450 Bedford Street Lexington, MA 02420	General Operations	\$1,000 00

12/31/14	1824	Funding Arts Network P O Box 331864 Miami, FL 33233-1864	General Operations	\$2,000 00
12/31/14	1814	Gilpin Education Foundation 514 Coyote Circle Black Hawk, CO 80422	Sports/Education Programs	\$10,000 00
12/31/14	1817	Golden Gate Canyon State Park 1313 Sherman Street Denver, CO 80203	General Operations	\$10,000 00
8/28/14	1795	Grace & Trusth Revealed Church	2014 Summer Community Event	\$1,000 00
12/31/14	1826	Grace & Trusth Revealed Church 1435 W 69th Street Chicago, IL	2015 Summer Community Event	\$1,000 00
12/31/14	AMEX	Greater Chicago Food Depository 4100 West Ann Lurie Place Chicago, IL 60632	General Operations	\$1,000 00
12/31/14	1827	Hyde Park Art Center 5020 S Cornell Chicago, IL 60615	General Operations	\$75,000 00
1/7/14	Amex	Preservation Chicago 4410 N Ravenswood Chicago, IL 60640	Restore Alfonso Ianelli Guard Memorial	\$1,000 00
12/31/14	1828	Institute for Classical Architecture 3200 Cherry Creek South Drive Denver, CO 80209	General Operations	\$5,000 00
12/31/14	1829	Jacksonville School for Autism 4000 Spring park Road Jacksonville, FL 33207	Piano Scholarship	\$5,000 00
12/31/14	Amex	Colorado Public Television (CPT12) 2900 Welton Street Denver, CO 80205	General Operations	\$1,000 00
12/31/14	Amex	Rocky Mountain PBS (KBDI) 1089 Bannock Street Lobby Denver, CO 80204	General Operations	\$1,000 00
12/26/14	Amex	CO Public Radio (KVOD) 7409 S Alton Court Centennial, CO 80112	General Operations	\$1,000 00
12/31/14	1847	League of American Orchestras 33 West 60th Street, 5th Floor New York, NY 10023-7905	National Governance Institute/General Support	\$50,000 00
9/30/14	1798	Brianna Marie Foundation 601 Rossmoor Circle Melbourne, FL 32940	General Operations	\$2,000 00
12/31/14	1848	Mayo Clinic Foundation 200 First Street SW Rochester, MN 55905	Administrative and Operational Innovations	\$150,000 00
12/31/14	1831	Miami City Ballet 2200 Liberty Avenue Miami Beach, FL 33139	Repetiteur Program	\$30,000 00
12/31/14	1830	Miami Gardens Commission for Women 1515 NW 167 Street Miami Gardens, FL 33169	General Operations	\$1,000 00
12/26/14	Amex	Miami Rescue Mission	General Operations	\$2,000 00

		2020 NW 1st Avenue Miami, FL 33242		
12/26/14	Amex	Misericordia 6300 North Ridge Chicago, IL 60660	General Operations	\$1,000 00
7/31/14	3045	MSCPA 350 South Huntington Boston, MA 02130	General Support	\$150 00
2/28/14	Amex	National Wildlife Federation	General Operations	\$100 00
12/31/14	1866	National Wildlife Federation 11100 Wildlife Center Reston, VA 20190	General Operations	\$100 00
12/31/14	1849	New World Symphony 500 17th Street Miami Beach, FL 33139	President's Fund/Gala/Wallcast/Operations	\$89,000 00
12/31/14	1833	Neighborhood Food Pantries 427 W Army Trail Road Bloomington, IL 60108	General Operations	\$1,000 00
12/31/14	1850	Northwestern University 633 Clark Street Evanston, IL 60208	Leadership Circle	\$5,000 00
1/7/14	Amex	NY Philharmonic	General Support	\$1,000 00
12/31/14	1832	NY Philharmonic 10 Lincoln Center Plaza New York, NY 10023	General Operations 2015-16 Season	\$10,000 00
9/5/14	90514	NY Youth Symphony 110 W 40th Street New York, NY 10018	Sponser-a-student Scholarship	\$3,500 00
12/31/14	1834	Oak Park River Forest Symphony PO Box 3564 Oak Park, IL 60303	General Operations	\$1,000 00
8/31/14	Amex	One-Eighty Church 12950 Lawrence Road Sterling, IL 61081	General Operations	\$1,000 00
12/31/14	1835	Pine Castle Inc 4911 Spring Park Road Jacksonville, FL 32207	Chorus/Music Program Support	\$5,500 00
12/31/14	1836	Plymouth Congregational Church 3400 Devon Road Coconut Grove, FL 33133	General Operations	\$25,000 00
12/31/14	1837	Poudre Wilderness Volunteers PO Box 271921 Fort Collins, CO 80527	General Support	\$5,000 00
12/31/14	1838	Rochester Art Center 40 Civic Center Drive Rochester, MN 55904	General Support	\$3,000 00
3/6/14	1791	Roosevelt University 430 S. Michigan Avenue Chicago, IL 60605	Online Performing Arts Program	\$20,000 00
12/30/14	Amex	Salvation Army - Chicago 5040 N Pulaski Chicago, IL 60630	General Operations	\$2,500 00
12/30/14	Amex	Salvation Army - Colorado	General Operations	\$2,500 00

			PO Box 280750 Lakewood, CO 80228-0750		
12/30/14	Amex		Salvation Army - Miami 1907 NW 38th Street Miami, FL 33142	General Operations	\$2,500 00
12/31/14	1840		San Diego Symphony 1245 Seventh Avenue San Diego, CA 92101	General Operations	\$5,000 00
12/31/14	1841		Seraphic Fire 1900 Coral Way Miami, FL 33145	General Support	\$30,000 00
12/31/14	1842		Sisters of St. Francis 1001 14th Street NW Rochester, MN 55901	Scholarships - Bogata	\$5,000 00
12/31/14	1843		Skidmore College 815 North Broadway Saratoga Springs, NY 12866	Annual Fund	\$2,500 00
1/1//14	Void Chk		South Florida Classical Radio	General Operations	-\$1,200 00
12/31/14	Amex		South Florida Classical Radio PO Box 1628 Ft Lauderdale, FL 33302	General Operations	\$1,500 00
10/6/14	1800		Sphinx Organization	General Operations	\$5,000 00
12/31/14	1844		Sphinx Organization 400 Renaissance Center Detroit, MI 48243	General Operations	\$5,000 00
12/31/14	1839		St James Methodist Church PO Box 615 Blackhawk, CO 80422	General Operations	\$2,500 00
12/31/14	1812		The Cleveland Orchestra - Miami Residency 200 S Biscayne Blvd Miami, FL 33131	General Operations	\$25,000 00
12/31/14	1816		The Food Project 10 Lewis Street Lincoln, MA	Real Food Hubs	\$10,000 00
12/31/14	1854		U of CO - School of Architecture 301 UCB Boulder, CO 80309	Scholarships/Analysis of New Building Project	\$25,000 00
7/31/14	Amex		U of Miami - School of Nursing 5030 Brunson Drive Coral Gables, FL 33146	Scholarships	\$5,000 00
5/5/14	1792		Frank Cooper Featured Speaker Fund	Frank Cooper Featured Speaker Fund	\$2,500 00
2/17/15	1781		U of Miami - Frost School of Music 6200 San Amaro Drive Coral Gables, FL 33146	Frank Cooper Featured Speaker Fund	\$1,000 00
12/31/14	1855		U of Miami - School of Architecture PO Box 248073 Coral Gables, FL 33124	Scholarships	\$10,000 00
12/31/14	1867		United Way - Miami Dade 3250 SW 3rd Avenue Miami, FL 33129	General Operations	\$5,000 00
12/31/14	1856		University of New Hampshire Foundation 9 Edgewood Drive Durham, NH 03824	UNH Fund	\$1,000 00

12/31/14	1853	University of Chicago - Institute of Politics	General Operations	\$5,000 00
12/31/14	1852	University of Chicago - Youth Leadership 1225 E 60th Chicago, IL 60637	General Operations	\$10,000 00
8/22/14	1794	Vashon Youth and Family Services PO Box 1749 Vashon, WA 98070	General Operations	\$1,000 00
12/31/14	1851	Univ of Chi, Dept of Music Visiting Committee 1010 East 59th Street Chicago, IL 60637	General Operations	\$5,000 00
12/31/14	1857	West Suburban PADS PO Box 797 Oak Park, IL 60303	General Operations	\$10,000 00
9/30/14	Amex	Wild Bird Information and Research		\$1,000 00
12/31/14	1858	Wild Bird Information and Research PO Box 101133 Denver, CO 80250	General Operations	\$1,000 00
12/31/14	1859	WPBT Miami Public TV P O Box 610002 Miami, FL 33261-0002	General Operations	\$1,200 00
12/31/14	1860	Young Arts 777 Brickell Avenue Miami, FL 33131	Gala/General Operations	\$5,000 00
12/31/14	1844	Zoological Society of Miami 12400 SW 152nd Street Miami FL 33177	Ron Magill Conservation Endowment	\$15,000 00
Total Contributions				\$1,121,150 00

Part II, Line 10b, column b SUM^(A) = 17,174,464
Part II, Line 10b, column c SUM^(B) = 20,059,547



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

Note P Indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
EDGEWOOD GROWTH FUND-INS 0075W0-75-9 EGFI X	20.28	35,529,974	720,547.87	408,950.00	311,597.87		
GS CYCLICAL SECT REL PERF NT 3/4/15 1 08 XLEV BASKET UPSIDE- UNCAPPED 1 1 SPX DOWNSIDE 2/14/14 SPX 1838 63 BSKT IXM 2'15 86 IXI 5'13 97 IXT 360 81 38147Q-NP-7	113.74	225,000,000	255,915.00	225,000.00	30,915.00		
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	29.40	31,645,274	930,371.06	603,863.63	326,507.43	6,075.89	0.65%
PARNAUSSUS EQTY INCOME FD-INS 701769-40-8 PRIL X	40.75	19,495,953	794,460.08	613,767.10	180,692.98	13,081.78	1.65%
P SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	205.54	7,932,000	1,630,343.28	1,377,512.92	252,830.36	30,419.22 10,533.19	1.87%
Total US Large Cap Equity			\$4,331,637.29	\$3,229,893.65	\$1,102,543.64	\$49,576.89 \$10,533.19	1.15%
US Mid Cap Equity							
ASTON/FAIRPOINTE MID CAP-I 00078H-15-8 ABMI X	41.57	12,185,749	506,561.59	411,634.61	94,926.98	779.88	0.15%
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	144.80	6,151,000	890,664.80	577,213.05	313,451.75	11,945.24	1.34%

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THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Mid Cap Equity							
JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	12 59	19,202 061	241,753 95	218,135 41	23,618 54	2,515 46	1 04 %
Total US Mid Cap Equity			\$1,638,980.34 (B)	\$1,206,983.07 (A)	\$431,997.27	\$15,240.58	0.93 %
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	34 21	25,909 016	886,347 44	701,416 77	184,930 67	14,172 23	1 60 %
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT 14042Y-60-1 CNUS X	11 35	58,142 530	659,917 72	675,616 20	(15,698 48)	8,081 81	1 22 %
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	60 84	22,314 000	1,357,583 76	1,419,612 12	(62,028 36)	50,451 95	3 72 %
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	23 34	38,219 099	892,033 77	890,505 00	1,528 77		
Total EAFE Equity			\$3,795,882.69 (B)	\$3,687,150.09 (A)	\$108,732.60	\$72,705.99	1.92 %
Japanese Large Cap Equity							
BROWN ADV JAPAN ALPHA OPP-IS 115233-57-9 BAFJ X	10 78	22,160 821	238,893 65 (B)	221,386 60 (A)	17,507 05	11,279 85	4 72 %



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Asia ex-Japan Equity							
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND 464288-18-2 AAXJ	60 93	9,591 000	584,379 63	563,431 73	20,947 90	10,406 23	1 78 %
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	26 56	13,480 916	358,053.13	169,459 90	188,593 23	2,413 08	0.67 %
Total Asia ex-Japan Equity			\$942,432.76 (B)	\$732,891.63 (A)	\$209,541.13	\$12,819.31	1.36 %
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 92	8,814 195	193,207 15 (B)	167,029 00 (A)	26,178 15	1,128 21	0 58 %
Global Equity							
JPM GLBL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	18 31	99,817 632	1,827,660 84 (B)	1,671,474 86 (A)	156,185 98	27,250 21	1 49 %
Concentrated & Other Equity							
ABBOTT LABORATORIES 002824-10-0 ABT	45 02	206 000	9,274 12	8,708 65	565 47	197 76	2 13 %
ACCENTURE PLC-CL A G1151C-10-1 ACN	89 31	166 000	14,825 46	13,498 42	1,327 04	338 64	2 28 %



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
Concentrated & Other Equity							
ACE LTD NEW H0023R-10-5 ACE	114.88	244,000	28,030.72	15,867.78	12,162.94	634.40 158.60	2.26%
ACTAVIS, INC. G0083B-10-8 ACT	257.41	63,000	16,216.83	14,543.04	1,673.79		
ADOBE SYSTEMS INC 00724F-10-1 ADBE	72.70	209,000	15,194.30	7,467.99	7,726.31		
ALCOA INC 013817-10-1 AA	15.79	1,035,000	16,342.65	17,401.54	(1,058.89)	124.20	0.76%
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	185.03	43,000	7,956.29	4,793.83	3,162.46		
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	286.05	35,000	10,011.75	6,180.77	3,830.98		
ANADARKO PETROLEUM CORP 032511-10-7 APC	82.50	230,000	18,975.00	17,642.71	1,332.29	248.40	1.31%
APPLE INC. 037833-10-0 AAPL	110.38	742,000	81,901.96	30,185.29	51,716.67	1,394.96	1.70%
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	100.59	383,000	38,525.97	13,887.28	24,638.69	536.20	1.39%
BANK OF AMERICA CORP 060505-10-4 BAC	17.89	2,258,000	40,395.62	25,770.96	14,624.66	451.60	1.12%
BIOMERIE INC 09062X-10-3 BIIB	339.45	74,000	25,119.30	9,287.33	15,831.97		
BLACKROCK INC 09247X-10-1 BLK	357.56	29,000	10,369.24	9,586.86	782.38	223.88	2.16%
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	59.03	458,000	27,035.74	19,092.93	7,942.81	677.84 169.46	2.51%



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
Concentrated & Other Equity							
BROADCOM CORP CL A 111320-10-7 BRCM	43.33	278,000	12,045.74	10,626.98	1,418.76	133.44	1.11%
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	82.55	200,000	16,510.00	10,792.69	5,717.31	240.00	1.45%
CELGENE CORPORATION 151020-10-4 CELG	111.86	165,000	18,456.90	6,390.84	12,066.06		
CHARTER COMMUNICATIONS INC 16117M-30-5 CHTR	166.62	80,000	13,329.60	12,925.54	404.06		
CITIGROUP INC NEW 172967-42-4 C	54.11	695,000	37,606.45	26,255.99	11,350.46	27.80	0.07%
COCA-COLA CO 191216-10-0 KO	42.22	414,000	17,479.08	18,204.49	(725.41)	505.08	2.89%
COLGATE PALMOLIVE CO 194162-10-3 CL	69.19	130,000	8,994.70	8,046.46	948.24	187.20	2.08%
COMCAST CORP CL A 20030N-10-1 CMCS A	58.01	655,000	37,996.55	16,648.84	21,347.71	589.50	1.55%
CONSTELLATION BRANDS INC A 21036P-10-8 STZ	98.17	108,000	10,602.36	9,477.68	1,124.68		
COSTCO WHOLESALE CORP 22160K-10-5 COST	141.75	70,000	9,922.50	8,502.14	1,420.36	99.40	1.00%
CSX CORP 126408-10-3 CSX	36.23	521,000	18,875.83	11,349.34	7,526.49	333.44	1.77%
CVS HEALTH CORPORATION 126650-10-0 CVS	96.31	206,000	19,839.86	13,130.07	6,709.79	288.40	1.45%



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Concentrated & Other Equity								
P	DANAHER CORP 235851-10-2 DHR	85 71			0 00		15 10	0 47 %
	DISH NETWORK CORP CL A 25470M-10-9 DISH	72 89	244,000	17,785.16	9,124.25	8,660 91		
	DOMINION RESOURCES INC VA 25746U-10-9 D	76 90	144 000	11,073.60	10,091 04	982.56	345.60	3 12 %
	DOW CHEMICAL CO 260543-10-3 DOW	45 61	350,000	15,963.50	13,515 57	2,447 93	588 00 147.00	3.68 %
	E I DU PONT DE NEMOURS & CO 263534-10-9 DD	73.94	223 000	16,488.62	15,251.60	1,237.02	419 24	2 54 %
	EXXON MOBIL CORP 30231G-10-2 XOM	92 45	221,000	20,431.45	19,853 67	577 78	609 96	2.99 %
	FACEBOOK INC-A 30303M-10-2 FB	78 02	411 000	32,066 22	31,146 04	920 18		
	FIDELITY NATIONAL INFORMATION SERVICES 31620M-10-6 FIS	62 20	160 000	9,952 00	10,076 51	(124 51)	153 60	1 54 %
	FLOWERVE CORP 34354P-10-5 FLS	59 83	121,000	7,239 43	9,307.73	(2,068.30)	77 44 19.36	1 07 %
	FLUOR CORP 343412-10-2 FLR	60 63	256 000	15,521.28	15,296 56	224 72	215 04 53 76	1 39 %
	GENERAL MILLS INC 370334-10-4 GIS	53 33	157 000	8,372 81	6,086 23	2,286.58	257 48	3 08 %
	GENERAL MOTORS CO 37045V-10-0 GM	34 91	856 000	29,882 96	25,737 61	4,145 35	1,027 20	3 44 %

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THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
Concentrated & Other Equity							
GILEAD SCIENCES INC 375558-10-3 GILD	94.26	121 000	11,405.46	13,234.73	(1,829.27)		
GOOGLE INC CLASS C 38259P-70-6 GOOG	526.40	70 000	36,848.00	31,386.85	5,461.15		
GOOGLE INC CLASS A 38259P-50-8 GOOG L	530.66	43 000	22,818.38	16,410.82	6,407.56		
HARMAN INTERNATIONAL INDUSTRY INC 413086-10-9 HAR	106.71	161 000	17,180.31	15,813.78	1,366.53	212.52	1.24%
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	41.69	305,000	12,715.45	9,208.24	3,507.21	219.60 54.90	1.73%
HOME DEPOT INC 437076-10-2 HD	104.97	324 000	34,010.28	15,439.95	18,570.33	609.12	1.79%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	99.92	416 000	41,566.72	24,726.74	16,839.98	861.12	2.07%
HUMANA INC 444859-10-2 HUM	143.63	147 000	21,113.61	13,590.80	7,522.81	164.64 41.16	0.78%
INTERCONTINENTAL EXCHANGE, INC 45866F-10-4 ICE	219.29	37 000	8,113.73	6,685.84	1,427.89	96.20	1.19%
INVESCO LTD G491BT-10-8 IVZ	39.52	297 000	11,737.44	9,428.91	2,308.53	297.00	2.53%
JOHNSON & JOHNSON 478160-10-4 JNJ	104.57	503,000	52,598.71	40,749.36	11,849.35	1,408.40	2.68%
KLA-TENCOR CORP 482480-10-0 KLAC	70.32	340 000	23,908.80	23,426.28	482.52	680.00	2.84%

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THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Concentrated & Other Equity								
P	L-3 COMMUNICATIONS HOLDINGS INC 502424-10-4 LLL	126 21	86 000	10,854.06	10,987 08	(133 02)	206 40	1 90 %
	LAM RESEARCH CORP 512807-10-8 LRCX	79 34	223 000	17,692.82	11,819 24	5,873 58	160 56 40.14	0 91 %
	LOWES COMPANIES INC 548661-10-7 LOW	68 80	557 000	38,321 60	11,228 04	27,093 56	512 44	1 34 %
	MARATHON OIL CORP 565849-10-6 MRO	28 29	476 000	13,466.04	17,740 65	(4,274 61)	399.84	2 97 %
	MARSH & MCLENNAN COMPANIES INC 571748-10-2 MMC	57 24	369 000	21,121.56	17,184.94	3,936 62	413 28	1 96 %
	MASCO CORP 574599-10-6 MAS	25 20	775 000	19,530.00	11,578 52	7,951 48	279 00	1 43 %
	MASTERCARD INC - CLASS A 57636Q-10-4 MA	86 16	330 000	28,432.80	12,784 15	15,648.65	211.20	0 74 %
	MERCK AND CO INC 58933Y-10-5 MRK	56 79	408 000	23,170 32	21,835 20	1,335 12	734 40 183 60	3 17 %
	METLIFE INC 59156R-10-8 MET	54 09	563 000	30,452 67	19,306 51	11,146.16	788 20	2 59 %
	MICROSOFT CORP 594918-10-4 MSFT	46.45	961.000	44,638 45	28,419 12	16,219 33	1,191 64	2 67 %
	MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	36 33	464.000	16,854 80	12,216 05	4,638 75	278 40 69.60	1 65 %
	MORGAN STANLEY 617446-44-8 MS	38 80	806 000	31,272.80	18,835 69	12,437 11	322 40	1 03 %
	NEXTERA ENERGY INC 65339F-10-1 NEE	106 29	141.000	14,986 89	11,232 85	3,754 04	408 90	2 73 %



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
Concentrated & Other Equity							
NISOURCE INC 65473P-10-5 NI	42.42	281 000	11,920.02	8,715.71	3,204.31	292.24	2.45%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	80.61	585 000	47,156.85	49,868.81	(2,711.96)	1,684.80 386.64	3.57%
ORACLE CORP 68389X-10-5 ORCL	44.97	452 000	20,326.44	12,901.56	7,424.88	216.96	1.07%
PACCAR INC 693718-10-8 PCAR	68.01	416 000	28,292.16	16,948.76	11,343.40	366.08 416.00	1.29%
P PALL CORP 696429-30-7 PLL	101.21	40 000	4,048.40	4,054.32	(5.92)	48.80	1.21%
PEPSICO INC 713448-10-8 PEP	94.56	159 000	15,035.04	14,971.85	63.19	416.58 104.15	2.77%
PERRIGO CO LTD G97822-10-3 PRGO	167.16	96 000	16,047.36	14,912.62	1,134.74	40.32	0.25%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	81.45	109 000	8,878.05	9,505.40	(627.35)	436.00 109.00	4.91%
PHILLIPS 66 718546-10-4 PSX	71.70	115 000	8,245.50	9,013.63	(768.13)	230.00	2.79%
PROCTER & GAMBLE CO 742718-10-9 PG	91.09	292 000	26,598.28	19,371.73	7,226.55	751.60	2.83%
QUALCOMM INC 747525-10-3 QCOM	74.33	337 000	25,049.21	23,648.27	1,400.94	566.16	2.26%
SCHLUMBERGER LTD 806857-10-8 SLB	85.41	466 000	39,801.06	39,464.90	336.16	745.60 167.60	1.87%
SCHWAB CHARLES CORP 808513-10-5 SCHW	30.19	415 000	12,528.85	12,487.13	41.72	99.60	0.79%

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THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
Concentrated & Other Equity							
STATE STREET CORP 857477-10-3 STT	78.50	196 000	15,386.00	11,605.03	3,780.97	235.20 58.80	1.53 %
STRYKER CORP 863667-10-1 SYK	94.33	108 000	10,187.64	9,059.94	1,127.70	149.04 37.26	1.46 %
THE MOSAIC COMPANY NEW 61945C-10-3 MOS	45.65	368.000	16,799.20	17,481.82	(682.62)	368.00	2.19 %
THE PRICELINE GROUP INC. 741503-40-3 PCLN	1,140.21	14 000	15,962.94	10,827.37	5,135.57		
TIME WARNER INC NEW 887317-30-3 TWX	85.42	590 000	50,397.80	20,448.46	29,949.34	749.30	1.49 %
TJX COMPANIES INC 872540-10-9 TJX	68.58	191 000	13,098.78	10,918.27	2,180.51	133.70	1.02 %
TWENTY FIRST CENTY FOX INC CL A 90130A-10-1 FOXA	38.41	519 000	19,932.20	16,986.90	2,945.30	129.75	0.65 %
UNION PACIFIC CORP 907818-10-8 UNP	119.13	119 000	14,176.47	12,273.90	1,902.57	238.00 59.50	1.68 %
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	101.09	379.000	38,313.11	24,406.26	13,906.85	568.50	1.48 %
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	66.89	375.000	25,083.75	15,762.49	9,321.26		
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	115.00	339.000	38,985.00	25,412.99	13,572.01	800.04	2.05 %
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	46.78	452 000	21,144.56	20,039.65	1,104.91	994.40	4.70 %

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THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost		Unrealized Gain/Loss	Est Annual Inc		Yield
				Original Cost			Accrued Div		
Concentrated & Other Equity									
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	118.80	144 000	17,107.20	7,768.40		9,338.80			
WALT DISNEY CO 254687-10-6 DIS	94.19	168 000	15,823.92	11,167.59		4,656.33	193.20 193.20		1.22%
WELLS FARGO & CO 949746-10-1 WFC	54.82	976 000	53,504.32	28,487.73		25,016.59	1,366.40		2.55%
YUM BRANDS INC 988498-10-1 YUM	72.85	250.000	18,212.50	8,633.64		9,578.86	410.00		2.25%
Total Concentrated & Other Equity				\$2,019,465.86	\$1,444,168.72 ^(A)	\$575,297.14	\$33,611.23 \$2,484.83		1.66%

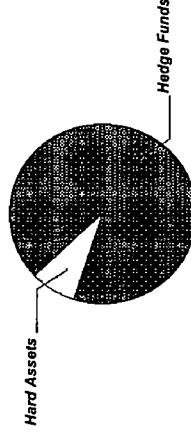


THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	4,553,643.22	4,529,957.63	(23,685.59)	22%
Hard Assets	700,307.08	541,428.41	(158,878.67)	2%
Total Value	\$5,253,950.30	\$5,071,386.04	(\$182,564.26)	24%

Asset Categories



Alternative Assets as a percentage of your portfolio - 24 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
GLOBAL ACCESS HEDGE FUND LTD	1.00	105,698.750	105,698.75	105,698.75
3% HOLDBACK	11/28/14			
N/O Client				
37931L-97-6				

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THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
GLOBAL ACCESS GROWTH HEDGE FUND LTD CLASS A 11-14 N/O Client HFGAPG-CQ-8	1,008.22	3,500,000	3,528,765.86	3,500,000.00
GLOBAL ACCESS GROWTH HEDGE FUND LTD CLASS A 12-14 N/O Client HFGAPG-EU-7	994.99	900,000	895,493.02	900,000.00
Total Hedge Funds			\$4,529,957.63 ^(B)	\$4,505,698.75 ^(A)

	Price	Quantity	Estimated Value	Cost	Est Annual Income Accrued Income
Hard Assets					
BARC BREN PLDMLNPM 2/17/15 10% BUFFER-1 21%XLEV 12 10%MAX-10%CAP PLDMLNPM.726 20 06741T-4X-2	108.06	120,000,000	129,672.00	120,000.00	
DB MARKET PLUS WTI 07/21/15 79.9% BARRIER- 6.5%CPN INITIAL LEVEL 05/23/14 CL1 104.35 25152R-KH-5	55.29	120,000,000	66,348.00	120,000.00	

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THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

					Est Annual Income
					Accrued Income
	Price	Quantity	Estimated Value	Cost	
Hard Assets					
JPM DAILY RETURN NOTE LNKD TO BCOMF3 MATURITY DATE 11/25/16 DD 11/21/14 48127D-PJ-1	90 23	230,000 000	207,529.00	230,000 00	
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	7 65	18,023 452	137,879 41	199,871 16	7,479 73
Total Hard Assets			\$541,428.41 ^(B)	\$669,871.16 ^(A)	\$7,479.73

Part II, Line 10c, column C Corporate Bonds FMV SUM(A) = 332,002
Part II, Line 10c, column B Corporate Bonds Cost SUM(B) = 346,221
Part II, Line 10a, column C US Obligation Bonds FMV SUM(C) = 867,027



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

Note A - Bonds purchased at a premium show amortization O - Bonds purchased at a discount show accretion
¹ This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost		Unrealized Gain/Loss	Est Annual Income		Yield
				Original Cost			Accrued Interest		
Cash									
US DOLLAR PRINCIPAL	1 00	176,207.88	176,207.88	176,207.88			52.84		0.03 % ¹
COST OF PENDING PURCHASES									
PROCEEDS FROM PENDING SALES									
Total Cash	1 00	293,370.21	293,370.21	293,370.21		\$0.00	\$52.84		0.01 %
Short Term									
US TREAS 4% 02/15/15 912828-DM-9 NR /AAA	100.47	65,000.00	65,304.85	70,326.95	(5,022.10)		2,600.00		0.16 %
US TREAS 4.25% 08/15/15 912828-EE-6 NR /AAA	102.50	30,000.00	30,751.20	34,154.69	(3,403.49)		1,275.00		0.22 %
FNMA 4.375% 10/15/15 31359M-ZC-0 AA+ /AAA	103.21	70,000.00	72,247.00	75,247.87	(3,000.87)		3,062.50		0.30 %
Total Short Term			\$168,303.05 [Ⓒ]	\$179,729.51 [Ⓓ]	(\$11,426.46)		\$6,937.50		0.23 %
				\$180,170.68			\$2,110.39		

J.P.Morgan



THE CLINTON FAMILY FUND NP PCIAA ACCT X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost		Unrealized Gain/Loss	Est Annual Income		Yield
				Original Cost			Accrued Interest		
US Fixed Income									
PIMCO LOW DURATION FUND-INST 693390-30-4	10 04	33,067 90	332,001 76 ^(A)	346,220 95 ^(B)	(14,219.19)	5,323 93		1 60 %	
US TREAS 2.625% 04/30/16 912828-KR-0 NR /AAA	102 88	20,000 00	20,576.60 ^(C)	20,154.69 ^(D)	421.91	525.00 88 94		0.45 %	
US TREAS 5.125% 05/15/16 912828-FF-2 NR /AAA	106.38	30,000.00	31,913.70 ^(C)	32,958 98 ^(D)	(1,045 28)	1,537 50 196 44		0 46 %	
US TREAS 4.5% 05/15/17 912828-GS-3 NR /AAA	108 56	75,000.00	81,422.25 ^(C)	78,746.38 ^(D)	2,675.89	3,375.00 431.25		0.85 %	
FNMA 5.375% 06/12/17 31398A-DM-1 AA+ /AAA	110.65	60,000 00	66,392.40 ^(C)	72,703 39 ^(D)	(6,310 99)	3,225 00 170 16		0 96 %	
US TREAS 1.875% 08/31/17 912828-NW-6 NR /AAA	102 29	40,000 00	40,915 60 ^(C)	42,239.07 ^(D)	(1,323 47)	750 00 256 24		1 00 %	
US TREAS 1% 05/31/18 912828-VE-7 NR /AAA	99.02	10,000 00	9,902.30 ^(C)	9,725 00 ^(D)	177 30	100 00 8 61		1 29 %	
US TREAS 3.75% 11/15/18 912828-JR-2 NR /AAA	108 94	55,000 00	59,915 90 ^(C)	55,846 48 ^(D)	4,069 42	2,062 50 263 51		1 37 %	
A US TREAS 2.75% 02/15/19 912828-KD-1 NR /AAA	105 20	40,000.00	42,078.00 ^(C)	41,984 08 ^(D) 42,385.93	93 92	1,100.00 415 52		1.45 %	
US TREAS 0.875% 07/31/19 912828-TH-3 NR /AAA	96 89	35,000.00	33,911 85 ^(C)	33,712 11 ^(D)	199 74	306 25 128 45		1 58 %	
O FNMA ZERO CPN 10/09/2019 DTD 10/9/1984 313586-RC-5 AA- /AAA	90 28	5,000.00	4,514 00 ^(C)	4,423 00 ^(D) 4,363 30	91 00			2 25 %	
US TREAS 3.375% 11/15/19 912828-LY-4 NR /AAA	108 34	35,000 00	37,920 40 ^(C)	34,149 75 ^(D)	3,770 65	1,181 25 150 92		1 59 %	
US TREAS 1.25% 02/29/20 912828-UQ-1 NR /AAA	97 88	15,000 00	14,681 25 ^(C)	14,151 57 ^(D)	529 68	187 50 63.71		1 68 %	



THE CLINTON FAMILY FUND NP PCIAA ACCT. X18949523
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost		Unrealized Gain/Loss	Est Annual Income		Yield
					Original Cost			Accrued Interest	
US Fixed Income									
A US TREAS 2.125% 08/31/20 912828-VV-9 NR /AAA	101.72	40,000.00	40,687.60 ^C	40,430.92 ^D	40,456.25	256.68	850.00	1.80%	
							288.80		
US TREAS 3.625% 02/15/21 912828-PX-2 NR /AAA	110.10	50,000.00	55,051.00 ^C	54,789.06 ^D		261.94	1,812.50 684.70	1.87%	
US TREAS 2% 11/15/21 912828-RR-3 NR /AAA	100.32	15,000.00	15,048.00 ^C	15,246.09 ^D		(198.09)	300.00 38.33	1.95%	
FHLMC MTN 2 375% 01/13/2022 DTD 01/13/2012 3137EA-DB-2 AAA /AAA	101.46	50,000.00	50,730.00 ^C	49,813.35 ^D		916.65	1,187.50 554.15	2.15%	
US TREAS 1.625% 08/15/22 912828-TJ-9 NR /AAA	97.19	25,000.00	24,297.00 ^C	22,776.37 ^D		1,520.63	406.25 153.45	2.02%	
US TREAS 1.625% 11/15/22 912828-TY-6 NR /AAA	96.95	35,000.00	33,930.75 ^C	32,459.78 ^D		1,470.99	568.75 72.66	2.05%	
US TREAS 2% 02/15/23 912828-UN-8 NR /AAA	99.53	35,000.00	34,835.85 ^C	34,216.60 ^D		619.25	700.00 264.43	2.06%	
Total US Fixed Income				\$1,030,726.21	\$1,036,747.58 \$1,037,115.06	(\$6,021.37)	\$25,498.93 \$4,230.27	1.49%	



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND

Account Number: V 18949-30-1

Long-Term Noncovered

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income D indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital Transactions

Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FFCB 3% 09/22/14		08/21/09 09/22/14	35,000.000	35,000.00	34,936.02		63.98
FHLMC	31331GL80	11/29/11 08/20/14	45,000.000	45,000.00	45,308.25		-308.25
1.000% 08/20/2014 DTD 07/26/2011	3134G2UA8	08/08/08 02/18/14	65,000.000	65,000.00	67,475.85		-2,475.85
US TREAS 4% 02/15/14	912828CA6	Various 07/31/14	60,000.000	60,000.00	63,666.60		-3,666.60
US TREAS 2.625% 07/31/14	912828LC2						
Total Long-Term Noncovered				205,000.00	211,386.72		-6,386.72 XX1



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000204 0006 of 0006 XSP00003 22 MONTHS



Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
 Account Number V 18949-00-4

Short-Term Covered

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income D indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital Transactions

Stock and
other symbol

Cusip

Description

Code*

Date Acquired
Date Sold

Units

Sales
Price

Cost or
Other Basis

Adjustments to
gain or loss

Gain or Loss
Ordinary Income**

ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	25 000	4,039.39	2,416.29		1,623.10
	015351109	01/30/14					
ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	1 000	151.47	96.65		54.82
	015351109	03/31/14					
ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	1 000	151.53	96.65		54.88
	015351109	03/31/14					
ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	5,000	759.20	483.26		275.94
	015351109	03/31/14					
ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	5 000	746.41	483.26		263.15
	015351109	03/31/14					
ALEXION PHARMACEUTICALS INC	ALXN	05/07/13	6,000	903.27	579.92		323.35
	015351109	03/31/14					
AMAZON COM INC	AMZN	Various	18,000	5,768.02	6,918.36		-1,150.34
	023135106	07/25/14					
APACHE CORP	APA	Various	66,000	5,654.94	4,939.70		715.24
	037411105	01/14/14					
APACHE CORP	APA	Various	30,000	2,550.68	2,524.80		25.88
	037411105	01/15/14					
APACHE CORP	APA	09/03/13	4,000	342.33	340.90		1.43
	037411105	01/15/14					
APACHE CORP	APA	09/03/13	4,000	342.77	340.89		1.88
	037411105	01/15/14					
APACHE CORP	APA	09/03/13	24 000	2,033.25	2,045.37		-12.12
	037411105	01/16/14					



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP

Account Number: V 18949-00-4

Short-Term Covered

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** Ordinary Income D indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital Transactions

Stock and
other symbol

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ARCHER DANIELS MIDLAND CO	ADM 039483102		06/19/14 10/13/14	74.000	3,363.57	3,336.30		27.27
AVAGO TECHNOLOGIES LTD	AVGO Y0486S104		04/25/13 01/31/14	45.000	2,458.98	1,469.76		989.22
AVAGO TECHNOLOGIES LTD	AVGO Y0486S104		Various 02/03/14	17.000	905.72	549.30		356.42
BOSTON SCIENTIFIC CORP	BSX 101137107		04/22/14 12/10/14	15.000	188.78	206.11		-17.33
BOSTON SCIENTIFIC CORP	BSX 101137107		Various 12/10/14	104.000	1,313.54	1,430.07		-116.53
BOSTON SCIENTIFIC CORP	BSX 101137107		Various 12/10/14	199.000	2,555.83	2,726.43		-170.60
BOSTON SCIENTIFIC CORP	BSX 101137107		Various 12/11/14	382.000	4,908.98	4,991.49		-82.51
BOSTON SCIENTIFIC CORP	BSX 101137107		Various 12/11/14	332.000	4,265.61	4,268.61		-3.00
CALIFORNIA RESOURCES COR-W/I	CRC 13057Q107		Various 12/03/14	104.586	731.14	832.66		-101.52
CBS CORP CLASS B W/I	CBS 124857202		Various 04/29/14	213.000	12,238.61	11,234.28		1,004.33
CERNER CORP	CERN 156782104		10/25/13 09/18/14	18.000	1,054.43	1,015.12		39.31
CERNER CORP	CERN 156782104		Various 09/19/14	26.000	1,526.99	1,464.41		62.58

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Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Short-Term Covered

* Code W indicates Wash Sale

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** Ordinary Income D indicates Ordinary Income gain or loss

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Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
COCA-COLA CO	KO	12/19/13	57 000	2,176 09	2,269.60		-93 51
	191216100	02/10/14					
COCA-COLA CO	KO	12/19/13	206.000	7,919 74	8,202 41		-282 67
	191216100	02/10/14					
COCA-COLA CO	KO	12/19/13	84 000	3,246 49	3,344.67		-98 18
	191216100	02/11/14					
COLGATE PALMOLIVE CO	CL	02/10/14	14.000	901.09	860.34		40.75
	194162103	08/13/14					
COLGATE PALMOLIVE CO	CL	02/10/14	26.000	1,674.70	1,596 43		78.27
	194162103	08/13/14					
COLGATE PALMOLIVE CO	CL	02/10/14	46.000	2,951.17	2,828.27		122 90
	194162103	08/14/14					
CONSTELLATION BRANDS INC A	STZ	03/20/14	21.000	1,670 06	1,730.97		-60.91
	21036P108	05/08/14					
CONSTELLATION BRANDS INC A	STZ	Various	11 000	871.80	909 41		-37 61
	21036P108	05/08/14					
CONSTELLATION BRANDS INC A	STZ	03/21/14	28.000	2,226.49	2,333.23		-106 74
	21036P108	05/08/14					
CONSTELLATION BRANDS INC A	STZ	03/21/14	16.000	1,264.34	1,333 27		-68.93
	21036P108	05/09/14					
CONSTELLATION BRANDS INC A	STZ	03/21/14	43.000	3,409 52	3,583 18		-173.66
	21036P108	05/09/14					
DANAHER CORP	DHR	09/11/14	74.000	6,384.26	5,707 48		676 78
	235851102	12/30/14					

J.P.Morgan



Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
 Account Number. V 18949-00-4

Short-Term Covered

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Description	Stock and other symbol	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DANAHER CORP	DHR	09/11/14	77 000	6,642 17	5,938 87		703.30
	235851102	12/31/14					
DELAWARE EMERGING MARKETS-I	DEMI	02/07/13	4,296.695	65,395 70	63,161.41		2,234.29
	245914817	02/06/14					
DELAWARE EMERGING MARKETS-I	DEMI	05/29/13	7,021 723	107,572 80	105,325 85		2,246 95
	245914817	03/14/14					
E M C CORP MASS	EMC	Various	274 000	7,383 35	7,199.93		183 42
	268648102	04/22/14					
EATON CORP PLC	ETN	12/13/13	3.000	203 34	208 00		-4 66
	G29183103	09/11/14					
EATON CORP PLC	ETN	Various	26.000	1,761 30	1,842.86		-81 56
	G29183103	09/11/14					
EATON CORP PLC	ETN	12/17/13	10.000	677 98	720.21		-42 23
	G29183103	09/11/14					
EATON CORP PLC	ETN	12/17/13	6.000	406.61	432 43		-25.82
	G29183103	09/11/14					
EATON CORP PLC	ETN	12/19/13	9 000	609 42	656.08		-46 66
	G29183103	09/11/14					
EATON CORP PLC	ETN	12/19/13	5.000	338 54	364 46		-25 92
	G29183103	09/11/14					
EATON CORP PLC	ETN	Various	35.000	2,351.37	2,586.83		-235 46
	G29183103	09/12/14					
EATON CORP PLC	ETN	12/23/13	1 000	67.63	73 83		-6 20
	G29183103	09/12/14					



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
EATON CORP PLC	ETN G29183103		12/26/13 09/12/14	4 000	268.24	300.40		-32.16
EATON CORP PLC	ETN G29183103		Various 09/12/14	4.000	269.47	300.70		-31.23
EATON CORP PLC	ETN G29183103		Various 09/15/14	18.000	1,206.74	1,339.69		-132.95
EATON CORP PLC	ETN G29183103		02/05/14 09/15/14	6 000	400.49	404.52		-4.03
EATON CORP PLC	ETN G29183103		02/05/14 09/15/14	2 000	133.79	134.84		-1.05
EATON CORP PLC	ETN G29183103		02/05/14 09/15/14	6.000	401.53	404.52		-2.99
EATON CORP PLC	ETN G29183103		02/05/14 09/15/14	1 000	66.83	67.42		-.59
EATON CORP PLC	ETN G29183103		02/05/14 09/15/14	1.000	67.18	67.42		-.24
EATON CORP PLC	ETN G29183103		02/05/14 09/16/14	44 000	2,927.92	2,966.49		-38.57
EATON CORP PLC	ETN G29183103		03/31/14 09/17/14	16.000	1,065.85	1,185.64		-119.79
EATON CORP PLC	ETN G29183103		03/31/14 09/17/14	5 000	333.28	370.52		-37.24
EATON CORP PLC	ETN G29183103		03/31/14 09/17/14	5.000	333.11	370.51		-37.40



THE CLINTON FAMILY FUND NP
Account Number. V 18949-00-4

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
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** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions
Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
EATON CORP PLC	ETN	03/31/14	5,000	333.41	371.47		-38.06
	G29183103	09/17/14					
EATON CORP PLC	ETN	03/31/14	1 000	66.30	74.33		-8.03
	G29183103	09/17/14					
EATON CORP PLC	ETN	03/31/14	2 000	133.28	148.65		-15.37
	G29183103	09/18/14					
EATON CORP PLC	ETN	03/31/14	2,000	133.13	148.65		-15.52
	G29183103	09/18/14					
EATON CORP PLC	ETN	03/31/14	4,000	266.35	297.31		-30.96
	G29183103	09/18/14					
EATON CORP PLC	ETN	03/31/14	6,000	398.10	445.96		-47.86
	G29183103	09/19/14					
EATON CORP PLC	ETN	03/31/14	3 000	200.33	222.66		-22.33
	G29183103	09/19/14					
EBAY INC	EBAY	Various	140,000	7,173.19	7,249.72		-76.53
	278642103	10/13/14					
EOG RESOURCES INC	EOG	Various	44,000	4,188.70	3,729.52		459.18
	26875P101	10/21/14					
FREEPORT-MCMORAN COPPER & GOLD INC	FCX	08/14/13	9 000	308.21	285.25		22.96
CL B	35671D857	05/21/14					
FREEPORT-MCMORAN COPPER & GOLD INC	FCX	08/14/13	8 000	274.03	253.56		20.47
CL B	35671D857	05/21/14					
FREEPORT-MCMORAN COPPER & GOLD INC	FCX	08/14/13	22 000	754.35	697.29		57.06
CL B	35671D857	05/21/14					



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
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** Ordinary Income D indicates Ordinary Income gain or loss
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Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		08/14/13 05/21/14	17 000	582.41	538.81		43 60
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		08/14/13 05/22/14	11 000	377.26	348.64		28 62
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		08/14/13 05/22/14	2 000	68 76	63.39		5 37
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		08/14/13 05/22/14	17 000	583 98	538.81		45 17
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		08/14/13 05/22/14	66 000	2,266 95	2,091.86		175 09
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		Various 05/23/14	5,000	172.53	164 29		8 24
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		09/12/13 05/23/14	19,000	655 06	629 87		25 19
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		Various 05/23/14	16 000	551 30	528 79		22.51
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		09/13/13 05/23/14	4 000	137 50	132 11		5 39
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		09/13/13 05/23/14	40,000	1,374.34	1,322.82		51 52
FREEPORT-MCMORAN INC CL B	FCX 35671D857		Various 10/31/14	193,000	5,474.12	6,930.04		-1,455 92
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		Various 01/15/14	7,000	1,478.98	988.45		490 53



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

Short-Term Covered

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Description	Stock and other symbol Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/04/13 01/15/14	1 000	211 47	140 96		70 51
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/04/13 01/16/14	1 000	210 04	140 95		69 09
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/04/13 01/16/14	1 000	209 77	141 22		68 55
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/04/13 01/16/14	1 000	211 24	141 23		70 01
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/04/13 01/17/14	3 000	623 62	423 67		199 95
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		Various 01/21/14	4 000	838 86	568 51		270 35
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/05/13 01/21/14	1 000	209 73	142 88		66 85
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/05/13 01/21/14	1 000	208 00	142 87		65 13
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/05/13 01/21/14	1 000	208 16	142 65		65 51
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/05/13 01/22/14	1 000	207 81	142 72		65 09
INTERCONTINENTALEXCHANGE GROUP, INC	45866F104		02/06/13 01/22/14	1 000	208 07	142 08		65 99
ISHARES MSCI EAFE INDEX FUND	EFA 464287465		01/24/14 12/22/14	5,868 000	363,404 25	382,940 39		-19,536 14



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Short-Term Covered

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F indicates Foreign Exchange gain or loss on Capital transactions

Stock and
other symbol
Cusip

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ISHARES MSCI EAFE INDEX FUND	EFA 464287465		01/24/14 12/22/14	682.000	42,236.08	44,506.71		-2,270.63
JPM UNCONSTRAINED DEBT FD - SELECT FUND 2130	JSIS 48121A290		06/27/14 10/13/14	38,629.011	392,857.04	402,128.00		-9,270.96
MANNING & NAPIER WORLD OPPOR	EXWA 563821545		Various 11/14/14	48,865.487	404,117.57	429,541.77		-25,424.20
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		12/03/13 02/10/14	59.000	4,859.56	5,138.06		-278.50
MCKESSON CORP	MCK 58155Q103		Various 03/26/14	28.000	4,893.74	4,474.54		419.20
MCKESSON CORP	MCK 58155Q103		Various 04/03/14	47.000	8,167.83	7,634.56		533.27
MCKESSON CORP	MCK 58155Q103		11/25/13 04/04/14	4.000	696.55	660.64		35.91
MONSANTO CO	MON 61166W101		10/02/13 07/23/14	2.000	234.50	207.15		27.35
MONSANTO CO	MON 61166W101		10/02/13 07/23/14	1.000	117.24	103.58		13.66
MONSANTO CO	MON 61166W101		10/02/13 07/23/14	37.000	4,309.46	3,832.34		477.12
MONSANTO CO	MON 61166W101		Various 09/10/14	62.000	6,981.34	6,633.72		347.62
MONSANTO CO	MON 61166W101		Various 09/10/14	12.000	1,342.07	1,320.90		21.17

J.P.Morgan



THE CLINTON FAMILY FUND NP
Account Number. V 18949-00-4

Capital Gain and Loss Schedule

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MONSANTO CO	MON	61166W101		Various 09/10/14	3.000	339.04	330.63		8.41
MONSANTO CO	MON	61166W101		11/21/13 09/10/14	3.000	335.48	333.34		2.14
MONSANTO CO	MON	61166W101		11/21/13 09/11/14	4.000	454.42	446.41		8.01
MONSANTO CO	MON	61166W101		11/22/13 09/11/14	3.000	341.44	338.57		2.87
MONSANTO CO	MON	61166W101		Various 09/11/14	9.000	1,022.28	1,021.72		.56
MONSANTO CO	MON	61166W101		11/25/13 09/11/14	3.000	340.81	340.78		.03
MONSANTO CO	MON	61166W101		Various 09/12/14	2.000	225.92	227.09		-1.17
MONSANTO CO	MON	61166W101		Various 09/12/14	11.000	1,242.48	1,251.32		-8.84
MONSANTO CO	MON	61166W101		12/02/13 09/12/14	1.000	112.92	114.06		-1.14
NEXTERA ENERGY INC	NEE	65339F101		Various 03/14/14	39.000	3,682.60	2,976.26		706.34
PENTAIR LTD SHS	H6169Q108			02/07/13 02/05/14	22.000	1,645.52	1,111.21		534.31
PENTAIR LTD SHS	H6169Q108			Various 02/05/14	47.000	3,508.91	2,538.96		969.95



THE CLINTON FAMILY FUND NP
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Capital Gain and Loss Schedule

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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
PENTAIR LTD		10/23/13	29,000	2,169.65	1,882.84		286.81
SHS	H6169Q108	02/05/14					
PEPSICO INC	PEP	07/02/13	36,000	2,940.85	2,938.83		2.02
	713448108	03/20/14					
PHILLIPS 66	PSX-	10/17/13	18,000	1,306.67	1,104.21		202.46
	718546104	10/14/14					
PHILLIPS 66	PSX-	Various	53,000	3,782.05	3,382.04		400.01
	718546104	10/17/14					
PIMCO COMMODITYPL STRAT-P	PCLP	02/07/13	41,593,345	436,730.12	473,332.27		-36,602.15
	72201P167	01/24/14					
QEP RESOURCES INC	QEP	Various	29,000	918.39	956.80		-38.41
	74733V100	04/16/14					
QEP RESOURCES INC	QEP	Various	66,000	2,086.98	2,194.69		-107.71
	74733V100	04/16/14					
QEP RESOURCES INC	QEP	Various	15,000	473.84	488.40		-14.56
	74733V100	04/16/14					
QEP RESOURCES INC	QEP	Various	17,000	547.40	563.55		-16.15
	74733V100	04/17/14					
QEP RESOURCES INC	QEP	Various	19,000	611.22	614.23		-3.01
	74733V100	04/17/14					
QEP RESOURCES INC	QEP	11/06/13	18,000	581.64	574.73		6.91
	74733V100	04/17/14					
QEP RESOURCES INC	QEP	11/06/13	38,000	1,231.98	1,218.18		13.80
	74733V100	04/21/14					



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
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Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/21/14	10.000	324.34	319.37		4.97
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	17.000	534.10	544.29		-10.19
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	1.000	31.38	32.07		-69
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	4.000	126.51	128.29		-1.78
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	4.000	126.04	128.29		-2.25
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	2.000	62.97	64.15		-1.18
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	8.000	252.92	256.58		-3.66
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/22/14	12.000	377.60	385.76		-8.16
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/23/14	9.000	288.49	289.80		-1.31
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/24/14	1.000	32.11	32.20		-.09
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/25/14	5.000	155.93	161.01		-5.08
QEP RESOURCES INC	QEP 74733V100	11/06/13 04/28/14	4.000	125.46	128.80		-3.34



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP

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other symbol

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T ROWE PRICE NEW ASIA	PRAS 77956H500	02/07/13 02/06/14	12,043 653	181,859 16	203,176 42		-21,317 26
TIME WARNER CABLE INC	TWC 88732J207	08/09/13 07/21/14	13,000	1,906 11	1,500 88		405.23
TIME WARNER CABLE INC	TWC 88732J207	08/09/13 07/22/14	11 000	1,641 78	1,269 97		371 81
TIME WARNER CABLE INC	TWC 88732J207	08/09/13 07/22/14	4 000	596.37	461 81		134 56
VALERO ENERGY CORP	VLO 91913Y100	Various 07/09/14	165,000	8,124 70	9,276.66		-1,151 96
VANGUARD FTSE EUROPE ETF	VGK 922042874	03/14/14 10/13/14	1,981 000	103,185.23	115,096 70		-11,911.47
VANGUARD FTSE EUROPE ETF	VGK 922042874	03/14/14 10/13/14	1,378,000	71,504 63	80,062 21		-8,557 58
VANGUARD FTSE EUROPE ETF	VGK 922042874	03/14/14 10/14/14	422 000	21,909 68	24,518.33		-2,608 65
WILLIAMS COMPANIES INC	WMB 969457100	09/19/13 03/26/14	58,000	2,332 78	2,134 19		198 59
WILLIAMS COMPANIES INC	WMB 969457100	09/19/13 03/26/14	6,000	242.99	220.78		22 21

Total Short-Term Covered

2,422,426.29

2,545,977.31

-123,551.02

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THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

Short-Term Noncovered

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Stock and
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Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM ENHANCED BETA DAILY RETURN NOTE LNKD TO JBACDJST MATURITY DATE 11/27/18 DD 11/22/13	48126NTE7	Various 11/21/14	329,000.000	298,667.52	334,280.08		-35,612.56
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI 4812A0680	11/08/13 10/13/14	8,591.034	206,614.37	217,252.00		-10,637.63
JPM LEV CONT BUFF EQ WT1 12/21/15 85% BARRIER - 10% CAP - 0% CPN 150% LEVERAGE - 15% MAX PAYMENT INITIAL LEVEL-9/26/14 CL1-93 54	48127DENV6	09/26/14 12/08/14	120,000.000	83,820.00	120,000.00		-36,180.00
Total Short-Term Noncovered				589,101.89	671,532.08		-82,430.19



THE CLINTON FAMILY FUND NP
Account Number: V 18949-00-4

Capital Gain and Loss Schedule

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ALEXION PHARMACEUTICALS INC	ALXN	Various	15,000	2,857.21	1,653.76		1,203.45
	015351109	10/31/14					
ALLERGAN INC	AGN	01/19/12	31,000	5,127.93	2,696.82		2,431.11
	018490102	04/29/14					
ALLERGAN INC	AGN	01/19/12	6,000	985.17	521.97		463.20
	018490102	05/08/14					
ALLERGAN INC	AGN	01/19/12	1,000	163.99	86.99		77.00
	018490102	05/08/14					
ALLERGAN INC	AGN	01/19/12	1,000	163.50	86.99		76.51
	018490102	05/08/14					
ALLERGAN INC	AGN	01/19/12	2,000	323.89	173.99		149.90
	018490102	05/09/14					
ALLERGAN INC	AGN	01/19/12	6,000	968.70	521.97		446.73
	018490102	05/09/14					
ALLERGAN INC	AGN	05/07/13	1,000	161.95	104.50		57.45
	018490102	05/09/14					
ALLERGAN INC	AGN	05/07/13	1,000	161.28	104.50		56.78
	018490102	05/09/14					
ALLERGAN INC	AGN	05/07/13	1,000	161.33	104.50		56.83
	018490102	05/09/14					
ALLERGAN INC	AGN	05/07/13	1,000	160.35	104.50		55.85
	018490102	05/12/14					
ALLERGAN INC	AGN	05/07/13	2,000	318.09	209.00		109.09
	018490102	05/12/14					



Capital Gain and Loss Schedule

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ALLERGAN INC	AGN 018490102		Various 08/14/14	41.000	6,355.58	4,149.85		2,205.73
AMAZON COM INC	AMZN 023135106		06/30/11 07/24/14	1.000	358.58	204.56		154.02
AMAZON COM INC	AMZN 023135106		06/30/11 07/24/14	14.000	5,039.15	2,863.77		2,175.38
AMAZON COM INC	AMZN 023135106		08/10/11 07/24/14	1.000	359.48	198.90		160.58
AMAZON COM INC	AMZN 023135106		08/10/11 07/24/14	1.000	360.16	198.90		161.26
AMAZON COM INC	AMZN 023135106		Various 07/25/14	17.000	5,447.57	3,658.96		1,788.61
ARCHER DANIELS MIDLAND CO	ADM 039483102		Various 10/13/14	162.000	7,363.45	5,422.88		1,940.57
AUTOZONE INC	AZO 053332102		08/19/11 04/07/14	7.000	3,637.18	2,032.05		1,605.13
AUTOZONE INC	AZO 053332102		08/19/11 04/08/14	1.000	516.02	290.29		225.73
AUTOZONE INC	AZO 053332102		Various 05/22/14	5.000	2,715.90	1,696.77		1,019.13
AUTOZONE INC	AZO 053332102		09/19/12 05/23/14	11.000	5,953.85	4,092.67		1,861.18
AVAGO TECHNOLOGIES LTD	AVGO Y0486S104		04/26/13 07/30/14	4.000	280.94	128.55		152.39



Capital Gain and Loss Schedule

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AVAGO TECHNOLOGIES LTD	AVGO Y0486S104	Various 07/30/14	107 000	7,524.46	3,561.95		3,962 51
BIOGEN IDEC INC	BIIB 09062X103	08/16/11 03/31/14	9 000	2,707 41	817.82		1,889.59
BRISTOL MYERS SQUIBB CO	BMJ 110122108	03/13/13 09/24/14	8.000	414 33	306.49		107.84
BRISTOL MYERS SQUIBB CO	BMJ 110122108	03/13/13 09/24/14	8 000	413 21	305.70		107.51
BRISTOL MYERS SQUIBB CO	BMJ 110122108	Various 09/24/14	52.000	2,696.00	1,995.72		700 28
BRISTOL MYERS SQUIBB CO	BMJ 110122108	Various 09/24/14	89 000	4,615 45	3,453.58		1,161.87
BRISTOL MYERS SQUIBB CO	BMJ 110122108	03/19/13 09/24/14	11 000	569.61	430 78		138.83
CALIFORNIA RESOURCES COR-W/I	CRC 13057Q107	Various 12/03/14	91.414	639 03	699 48		-60.45
CERN CORP	CERN 156782104	11/08/12 09/17/14	27 000	1,583.15	1,063.79		519 36
CERN CORP	CERN 156782104	11/08/12 09/17/14	16.000	939.09	630.40		308.69
CERN CORP	CERN 156782104	11/08/12 09/18/14	4.000	233.60	157.60		76 00
CERN CORP	CERN 156782104	11/08/12 09/18/14	8.000	467 21	317 74		149.47

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Capital Gain and Loss Schedule

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CERNER CORP	CERN 156782104		Various 09/18/14	30.000	1,764.57	1,224.77		539.80
CERNER CORP	CERN 156782104		Various 09/18/14	28.000	1,649.14	1,135.04		514.10
CERNER CORP	CERN 156782104		01/15/13 09/18/14	16.000	937.27	642.76		294.51
CHENIERE ENERGY INC	LNG 16411R208		10/24/12 04/03/14	47.000	2,649.09	742.88		1,906.21
CHENIERE ENERGY INC	LNG 16411R208		Various 09/09/14	10.000	840.68	157.58		683.10
CHENIERE ENERGY INC	LNG 16411R208		Various 09/09/14	29.000	2,430.75	477.68		1,953.07
CHENIERE ENERGY INC	LNG 16411R208		02/13/13 09/09/14	7.000	586.23	151.30		434.93
CHENIERE ENERGY INC	LNG 16411R208		02/13/13 09/09/14	10.000	837.33	216.14		621.19
CHENIERE ENERGY INC	LNG 16411R208		02/13/13 10/07/14	35.000	2,578.02	756.50		1,821.52
CHENIERE ENERGY INC	LNG 16411R208		02/13/13 10/08/14	35.000	2,542.44	756.49		1,785.95
CISCO SYSTEMS INC	CSCO 17275R102		Various 01/15/14	236.000	5,382.10	4,426.79		955.31
CISCO SYSTEMS INC	CSCO 17275R102		12/05/12 01/15/14	3.000	68.54	58.16		10.38

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Capital Gain and Loss Schedule

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CISCO SYSTEMS INC	CSCO 17275R102		Various 07/08/14	222.000	5,562.09	4,447.13		1,114.96
CISCO SYSTEMS INC	CSCO 17275R102		Various 10/29/14	170.000	4,117.16	3,597.67		519.49
CISCO SYSTEMS INC	CSCO 17275R102		02/08/13 12/17/14	22.000	584.83	466.85		117.98
CISCO SYSTEMS INC	CSCO 17275R102		02/08/13 12/17/14	17.000	453.28	360.74		92.54
CISCO SYSTEMS INC	CSCO 17275R102		Various 12/17/14	31.000	828.43	682.84		145.59
CISCO SYSTEMS INC	CSCO 17275R102		05/30/13 12/17/14	18.000	477.31	438.24		39.07
CISCO SYSTEMS INC	CSCO 17275R102		05/30/13 12/17/14	23.000	614.66	559.97		54.69
CISCO SYSTEMS INC	CSCO 17275R102		05/30/13 12/17/14	19.000	506.86	462.59		44.27
CISCO SYSTEMS INC	CSCO 17275R102		05/30/13 12/17/14	53.000	1,420.30	1,290.38		129.92
CISCO SYSTEMS INC	CSCO 17275R102		05/30/13 12/18/14	46.000	1,259.59	1,119.95		139.64
CISCO SYSTEMS INC	CSCO 17275R102		Various 12/18/14	54.000	1,474.72	1,323.68		151.04
CISCO SYSTEMS INC	CSCO 17275R102		08/15/13 12/18/14	21.000	577.17	515.66		61.51



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CISCO SYSTEMS INC	CSCO	08/15/13	13.000	359.16	319.22		39.94
	17275R102	12/19/14					
CISCO SYSTEMS INC	CSCO	Various	118.000	3,270.41	2,901.28		369.13
	17275R102	12/19/14					
CISCO SYSTEMS INC	CSCO	09/19/13	23.000	637.35	567.03		70.32
	17275R102	12/19/14					
DELAWARE EMERGING MARKETS-I	DEMI	10/31/12	6,748.835	102,987.22	91,109.27		11,877.95
	245914817	01/24/14					
DELAWARE EMERGING MARKETS-I	DEMI	10/31/12	9,578.253	145,781.01	129,306.42		16,474.59
	245914817	02/06/14					
DELAWARE EMERGING MARKETS-I	DEMI	02/07/13	9,531.970	146,029.78	140,119.96		5,909.82
	245914817	03/14/14					
DOW CHEMICAL CO	DOW	10/18/12	65.000	3,229.86	1,970.07		1,259.79
	260543103	11/13/14					
DOW CHEMICAL CO	DOW	Various	46.000	2,278.83	1,456.44		822.39
	260543103	11/13/14					
DOW CHEMICAL CO	DOW	03/01/13	7.000	349.29	222.53		126.76
	260543103	11/13/14					
EBAY INC	EBAY	07/16/13	22.000	1,201.63	1,245.35		-43.72
	278642103	09/09/14					
EBAY INC	EBAY	07/16/13	39.000	2,128.67	2,208.72		-80.05
	278642103	09/09/14					
EBAY INC	EBAY	Various	118.000	6,340.99	6,715.30		-374.31
	278642103	09/09/14					



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

Long-Term Covered

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F indicates Foreign Exchange gain or loss on Capital Transactions

Description	Stock and other symbol CUSIP	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
EBAY INC	EBAY 278642103	Various 09/09/14	55.000	2,966.53	2,957.90		8.63
EBAY INC	EBAY 278642103	07/18/13 10/13/14	3.000	153.71	160.92		-7.21
EMERSON ELECTRIC CO	EMR 291011104	Various 12/17/14	65.000	3,870.63	2,985.11		885.52
EMERSON ELECTRIC CO	EMR 291011104	Various 12/18/14	69.000	4,256.42	3,448.07		808.35
EMERSON ELECTRIC CO	EMR 291011104	Various 12/19/14	68.000	4,248.61	4,302.87		-54.26
EOG RESOURCES INC	EOG 26875P101	Various 10/06/14	51.000	4,860.74	2,819.45		2,041.29
EOG RESOURCES INC	EOG 26875P101	09/10/12 10/21/14	15.000	1,427.96	853.86		574.10
EXXON MOBIL CORP	XOM 30231G102	Various 07/17/14	56.000	5,737.52	4,685.70		1,051.82
EXXON MOBIL CORP	XOM 30231G102	01/19/12 09/10/14	40.000	3,836.57	3,468.99		367.58
EXXON MOBIL CORP	XOM 30231G102	01/19/12 09/24/14	50.000	4,771.39	4,335.93		435.46
EXXON MOBIL CORP	XOM 30231G102	01/19/12 12/10/14	42.000	3,764.33	3,629.45		134.88
FLUOR CORP	FLR 343412102	07/18/12 06/17/14	26.000	2,023.27	1,277.35		745.92



THE CLINTON FAMILY FUND NP
Account Number: V 18949-00-4

Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FLUOR CORP	FLR	Various	95 000	7,303.59	4,687.88		2,615.71
	343412102	06/18/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	1.000	30.77	33.01		-2.24
CL B	35671D857	10/20/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	2.000	61.55	66.01		-4.46
CL B	35671D857	10/20/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	9.000	276.86	297.06		-20 20
CL B	35671D857	10/20/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	8.000	245.77	264.05		-18.28
CL B	35671D857	10/20/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	2.000	61.47	66.01		-4.54
CL B	35671D857	10/20/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	3.000	94.80	99.02		-4 22
CL B	35671D857	10/21/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	6.000	189.76	198.30		-8 54
CL B	35671D857	10/21/14					
FREEMPORT-MCMORAN INC	FCX	09/13/13	3 000	93 98	99.16		-5 18
CL B	35671D857	10/21/14					
FREEMPORT-MCMORAN INC	FCX	Various	5.000	157.02	166.04		-9.02
CL B	35671D857	10/21/14					
FREEMPORT-MCMORAN INC	FCX	09/16/13	11.000	346 19	366.58		-20 39
CL B	35671D857	10/21/14					
FREEMPORT-MCMORAN INC	FCX	09/16/13	1.000	31.53	33 35		-1 82
CL B	35671D857	10/21/14					



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

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F indicates Foreign Exchange gain or loss on Capital Transactions
Stock and
other symbol
Cusip

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/16/13 10/22/14	4 000	125 82	133.38		-7.56
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/16/13 10/22/14	1 000	31.34	33 31		-1 97
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/16/13 10/22/14	3.000	94.58	99 86		-5 28
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/16/13 10/22/14	11 000	340 91	365.60		-24.69
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/16/13 10/23/14	1.000	30.95	33 24		-2.29
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		Various 10/23/14	19.000	587.99	635 16		-47 17
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/17/13 10/23/14	1 000	30 94	33 53		-2 59
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/17/13 10/23/14	1.000	30.96	33.53		-2 57
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/17/13 10/23/14	1 000	31.05	33 52		-2.47
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		Various 10/24/14	3.000	92.08	100 98		-8.90
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/24/14	7 000	215 02	238 70		-23 68
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/24/14	3 000	92.57	101.46		-8 89

J.P.Morgan

Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
 Account Number: V 18949-00-4

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Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/24/14	4.000	123.44	135.28		-11.84
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/27/14	2.000	60.66	67.64		-6.98
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/27/14	14.000	424.80	473.48		-48.68
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/27/14	6.000	181.99	202.92		-20.93
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/28/14	17.000	493.10	574.94		-81.84
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/18/13 10/28/14	1.000	29.02	33.82		-4.80
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		Various 10/28/14	4.000	115.65	137.05		-21.40
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/19/13 10/28/14	11.000	318.89	381.75		-62.86
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/19/13 10/28/14	3.000	86.60	103.98		-17.38
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/19/13 10/28/14	4.000	116.07	138.60		-22.53
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/19/13 10/29/14	8.000	232.62	276.76		-44.14
FREEMPORT-MCMORAN INC CL B	FCX 35671D857		09/19/13 10/29/14	5.000	144.84	172.96		-28.12



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
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FREEMPORT-MCMORAN INC	FCX		Various	137 000	3,885.76	4,905.60		-1,019.84
CL B	35671D857		10/31/14					
GENERAL MILLS INC	GIS		04/12/12	73.000	3,599.94	2,826.08		773.86
	370334104		10/17/14					
INTERCONTINENTAL EXCHANGE, INC	45866F104		Various	18 000	4,037.24	2,644.11		1,393.13
			12/05/14					
ISHARES MSCI EAFE INDEX FUND	EFA		11/08/13	2,432 000	147,421.67	158,645.44		-11,223.77
	464287465		12/16/14					
ISHARES MSCI EAFE INDEX FUND	EFA		11/08/13	1,251 000	75,726.36	81,605.86		-5,879.50
	464287465		12/16/14					
ISHARES MSCI EAFE INDEX FUND	EFA		Various	4,400 000	272,490.82	285,740.51		-13,249.69
	464287465		12/22/14					
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND	AAXJ		06/19/12	3,423 000	201,263.08	182,139.54		19,123.54
	464288182		03/06/14					
JOHNSON & JOHNSON	JNJ		10/18/12	27.000	2,708.33	1,952.23		756.10
	478160104		05/29/14					
JOHNSON & JOHNSON	JNJ		10/18/12	77.000	7,991.70	5,559.10		2,432.60
	478160104		09/09/14					
JOHNSON & JOHNSON	JNJ		10/18/12	17.000	1,763.09	1,225.95		537.14
	478160104		09/09/14					
JOHNSON CONTROLS INC	JCI		10/28/11	38.000	1,664.83	1,268.67		396.16
	478366107		10/08/14					
JOHNSON CONTROLS INC	JCI		10/28/11	25.000	1,084.62	834.65		249.97
	478366107		10/08/14					



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JOHNSON CONTROLS INC	JCI 478366107	Various 10/08/14	40 000	1,737.16	1,434.94		302.22
JOHNSON CONTROLS INC	JCI 478366107	05/20/13 10/09/14	60 000	2,572.57	2,262.74		309.83
JPM INTL CURR INC FD - SEL FUND 3831	JCIS 4812A3296	10/25/12 01/24/14	17,557.914	192,083.58	196,911.75		-4,828.17
LAM RESEARCH CORP	LRCX 512807108	02/05/13 04/29/14	19 000	1,068.92	804.89		264.03
LAM RESEARCH CORP	LRCX 512807108	02/05/13 04/30/14	76 000	4,368.15	3,220.65		1,147.50
MANNING & NAPIER WORLD OPPOR	EXWA 563821545	09/18/12 11/14/14	52,845.127	437,029.20	404,265.22		32,763.98
MERCK AND CO INC	MRK 58933Y105	08/16/11 04/22/14	27 000	1,562.38	862.04		700.34
MERCK AND CO INC	MRK 58933Y105	08/16/11 04/23/14	23,000	1,322.77	734.33		588.44
MERCK AND CO INC	MRK 58933Y105	Various 04/24/14	19 000	1,094.16	713.76		380.40
MERCK AND CO INC	MRK 58933Y105	01/09/12 04/25/14	18,000	1,029.16	688.12		341.04
MERCK AND CO INC	MRK 58933Y105	01/09/12 04/28/14	8 000	452.72	305.83		146.89
MERCK AND CO INC	MRK 58933Y105	01/09/12 04/29/14	48 000	2,793.84	1,835.00		958.84



THE CLINTON FAMILY FUND NP
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METTLER TOLEDO INTERNATIONAL INC	MTD 592688105		Various 06/10/14	11.000	2,737 78	2,332.48		405 30
METTLER TOLEDO INTERNATIONAL INC	MTD 592688105		03/01/13 06/11/14	3.000	742 05	633 03		109 02
METTLER TOLEDO INTERNATIONAL INC	MTD 592688105		Various 06/12/14	16.000	3,942 63	3,344 47		598 16
METTLER TOLEDO INTERNATIONAL INC	MTD 592688105		Various 06/13/14	6.000	1,481 45	1,231.12		250 33
NISOURCE INC	NI 65473P105		Various 03/14/14	9 000	316.81	244 28		72 53
NISOURCE INC	NI 65473P105		02/27/13 03/14/14	14.000	494.75	381.11		113 64
NISOURCE INC	NI 65473P105		02/27/13 03/14/14	29.000	1,023 79	792.12		231 67
NISOURCE INC	NI 65473P105		02/27/13 03/17/14	5 000	176.97	137.05		39 92
NISOURCE INC	NI 65473P105		02/27/13 03/17/14	10.000	353 68	274 10		79 58
NISOURCE INC	NI 65473P105		02/27/13 03/18/14	18 000	642.05	493.00		149 05
NISOURCE INC	NI 65473P105		02/27/13 03/18/14	1.000	35.62	27.22		8 40
NISOURCE INC	NI 65473P105		02/27/13 03/19/14	3.000	106 74	81.66		25 08



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NISOURCE INC	NI	02/27/13	1.000	35.67	27.22		8.45
	65473P105	03/19/14					
NISOURCE INC	NI	02/27/13	2.000	70.03	54.44		15.59
	65473P105	03/19/14					
NISOURCE INC	NI	02/27/13	6.000	208.68	163.32		45.36
	65473P105	03/20/14					
PENTAIR LTD SHS	H6169Q108	Various	28.000	2,094.31	967.00		1,127.31
		02/05/14					
PEPSICO INC	PEP	Various	23.000	1,809.52	1,672.18		137.34
	713448108	02/14/14					
PEPSICO INC	PEP	08/01/12	7.000	552.20	506.02		46.18
	713448108	02/14/14					
PEPSICO INC	PEP	08/01/12	26.000	2,029.37	1,879.52		149.85
	713448108	02/18/14					
PEPSICO INC	PEP	08/01/12	4.000	309.38	289.16		20.22
	713448108	02/19/14					
PEPSICO INC	PEP	08/01/12	4.000	311.81	287.15		24.66
	713448108	02/19/14					
PEPSICO INC	PEP	08/01/12	2.000	154.20	143.57		10.63
	713448108	02/19/14					
PEPSICO INC	PEP	08/01/12	1.000	77.50	71.79		5.71
	713448108	02/19/14					
PEPSICO INC	PEP	Various	83.000	6,780.29	5,969.73		810.56
	713448108	03/20/14					



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Description
PHILIP MORRIS INTERNATIONAL
PHILLIPS 66
PHILLIPS 66
PHILLIPS 66
PIMCO LOW DURATION FUND-INST
QUALCOMM INC
QUALCOMM INC
QUALCOMM INC
QUALCOMM INC
QUALCOMM INC
QUALCOMM INC
QUALCOMM INC

Stock and
other symbol
Cusip
PM
718172109
PSX-
718546104
PSX-
718546104
PSX-
718546104
PTLD
693390304
QCOM
747525103
QCOM
747525103
QCOM
747525103
QCOM
747525103
QCOM
747525103
QCOM
747525103

Date Acquired
Date Sold
02/22/12
12/05/14
10/16/12
02/05/14
10/16/12
04/03/14
Various
10/14/14
05/31/12
12/30/14
09/29/11
07/31/14
09/29/11
08/01/14
09/29/11
10/27/14
09/29/11
10/27/14
09/29/11
10/28/14
Various
10/28/14
05/07/12
10/28/14

Code*
PM
PSX-
PSX-
PSX-
PTLD
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM
QCOM

Units
70 000
72 000
45 000
66 000
2,077 234
9 000
16 000
2 000
17 000
13 000
35 000
7 000

Sales
Price
6,089 60
5,095 05
3,623 03
4,791 18
20,855.43
663 22
1,178.21
151 55
1,287.79
990 33
2,675.35
534 18

Cost or
Other Basis
5,746.76
3,311 12
2,102 25
3,082.34
21,748.64
458.30
814 76
101 84
865.68
661 99
2,134 28
433 46

Adjustments to
gain or loss
342 84
1,783 93
1,520.78
1,708.84
-893 21
204.92
363 45
49 71
422 11
328.34
541 07
100.72

Gain or Loss
Ordinary Income**
342 84
1,783 93
1,520.78
1,708.84
-893 21
204.92
363 45
49 71
422 11
328.34
541 07
100.72



Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
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Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SCHLUMBERGER LTD	SLB 806857108		05/12/11 11/11/14	39,000	3,812.68	3,247.04		565.64
SCHLUMBERGER LTD	SLB 806857108		05/12/11 11/11/14	41,000	3,987.11	3,426.96		560.15
SPDR S&P 500 ETF TRUST	SPY 78462F103		11/15/12 12/30/14	1,349,000	280,343.78	183,235.34		97,108.44
TIME INC	TIME 887228104		05/04/11 06/17/14	3,725	85.44	44.41		41.03
TIME INC	TIME 887228104		Various 06/18/14	17,000	383.42	257.27		126.15
TIME WARNER CABLE INC	TWC 88732J207		Various 07/17/14	20,000	2,933.60	2,237.70		695.90
TIME WARNER CABLE INC	TWC 88732J207		07/05/13 07/17/14	1,000	149.15	111.71		37.44
TIME WARNER CABLE INC	TWC 88732J207		07/05/13 07/17/14	2,000	295.38	224.36		71.02
TIME WARNER CABLE INC	TWC 88732J207		07/05/13 07/18/14	3,000	443.62	336.54		107.08
TIME WARNER CABLE INC	TWC 88732J207		07/05/13 07/18/14	14,000	2,076.31	1,570.55		505.76
TIME WARNER CABLE INC	TWC 88732J207		07/05/13 07/21/14	1,000	146.62	112.18		34.44
UNITEDHEALTH GROUP INC	UNH 91324P102		09/16/11 11/19/14	13,000	1,262.19	648.90		613.29



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Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
UNITEDHEALTH GROUP INC	UNH	09/16/11	56 000	5,427 20	2,795 28		2,631 92
	91324P102	11/19/14					
VERIZON COMMUNICATIONS INC	VZ	Various	58,000	2,833.40	2,041.71		791.69
	92343V104	08/13/14					
VERIZON COMMUNICATIONS INC	VZ	02/27/08	85 000	4,125 13	2,905 46		1,219 67
	92343V104	12/05/14					
WALT DISNEY CO	DIS	09/13/13	53,000	4,757.18	3,527.37		1,229 81
	254687106	10/29/14					
WILLIAMS COMPANIES INC	WMB	Various	106,000	4,378.74	3,134.17		1,244 57
	969457100	03/03/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	20 000	827 06	673 78		153 28
	969457100	03/03/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	12 000	494 36	405 04		89 32
	969457100	03/14/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	23 000	948 90	776 33		172 57
	969457100	03/14/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	10 000	414 02	337 54		76.48
	969457100	03/17/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	12 000	498.97	405.04		93 93
	969457100	03/18/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	1,000	41 55	33 75		7 80
	969457100	03/18/14					
WILLIAMS COMPANIES INC	WMB	01/10/13	1,000	41.59	33.75		7.84
	969457100	03/19/14					



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Description	Stock and other symbol Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
WILLIAMS COMPANIES INC	WMB 969457100	01/10/13 03/19/14	3 000	124 85	101.26		23.59
WILLIAMS COMPANIES INC	WMB 969457100	01/10/13 03/19/14	2.000	83 10	67 51		15 59
WILLIAMS COMPANIES INC	WMB 969457100	Various 03/20/14	3 000	122 76	101 15		21.61
WILLIAMS COMPANIES INC	WMB 969457100	01/11/13 03/26/14	107 000	4,303 60	3,606 97		696.63

Total Long-Term Covered

2,352,839.38 2,118,295.83

234,543.55

Σ ② 598,127 54 22.1



Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
 Account Number V 18949-00-4

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Description	Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AMBAC FINANCIAL GROUP INC IN DEFAULT REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE AMBAC FINANCIAL GROUP INC CLASS ACTION.	ABKF 023139108		00/00/00 02/27/14		31.99	.00		31.99
BARC BEN BRENT 09/29/14 LNKD TO CO1 85% BARRIER- 5.47%CPN 15%CAP INITIAL LEVEL-09/13/13 :112.63	06741TK89		09/12/13 09/18/14	115,000.000	120,750.00	115,000.00		5,750.00
CALIFORNIA RESOURCES COR-W/I CASH IN LIEU OF FRACTIONAL SHARE	CRC 13057Q107		00/00/00 12/30/14		2.33	00		2.33
CELGENE CORPORATION	CELG 151020104		04/15/10 03/14/14	12.000	1,788.73	726.32		1,062.41
CELGENE CORPORATION	CELG 151020104		04/15/10 03/31/14	12.000	1,687.63	726.32		961.31
CELGENE CORPORATION	CELG 151020104		04/15/10 07/15/14	31.000	2,704.31	938.17		1,766.14
CELGENE CORPORATION	CELG 151020104		04/15/10 10/31/14	28.000	2,991.94	847.37		2,144.57
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E:2594.56	2515A1LR0		00/00/00 03/19/14	200,000.000	06	.00		06
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E:2594.56	2515A1LR0		09/14/12 03/19/14	200,000.000	231,610.70	200,000.00		31,610.70



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DB REN MXEA 08/06/14		07/19/13	225,000 000	275,175.00	225,000.00		50,175 00
2 XLEV- 11.15%CAP- 22.30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA:1733 470	25152RDW0	08/06/14					
DELAWARE EMERGING MARKETS-I	DEMI 245914817	11/15/10 01/24/14	13,267 965	202,469.15	205,653 45		-3,184.30
DENDREON CORP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE DENDREON CORP CLASS ACTION DUE V18949004 THE CLINTON FAMILY FUND NP FUTURE	DNDN 24823Q107	00/00/00 08/19/14		120 96	00		120.96
EATON VANCE FLOATING RATE-I	EIBL 277911491	Various 06/30/14	43,948 490	402,128 68	385,559.65		16,569 03
JOHNSON CONTROLS INC	JCI 478366107	07/28/10 10/07/14	31,000	1,357.16	900 46		456.70
JOHNSON CONTROLS INC	JCI 478366107	Various 10/07/14	31,000	1,363 04	918 76		444 28
JOHNSON CONTROLS INC	JCI 478366107	08/09/10 10/08/14	84 000	3,680.15	2,536.08		1,144 07
JPM INTL CURR INC FD - SEL FUND 3831	JCIS 4812A3296	07/27/11 01/24/14	1,560 349	17,070.22	18,357 49		-1,287 27
JPM LARGE CAP GRWTH FD - SEL FUND 3118	SEEG 4812C0530	01/05/11 05/29/14	12,728 578	408,841 93	269,463.99		139,377 94
JPM MKT EXP ENH INDEX FD - SEL FUND 3708	PGMI 4812C1637	03/07/11 12/30/14	17,033.380	216,664 59	193,499 20		23,165.39
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351	02/10/09 10/15/14	34,144.407	400,855.34	345,882 84		54,972.50



Capital Gain and Loss Schedule
THE CLINTON FAMILY FUND NP
 Account Number V 18949-00-4

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MANNING & NAPIER WORLD OPPOR	EXWA	02/08/11	27,040 141	223,621 97	243,090 87		-19,468 90
	563821545	11/14/14					
MICROSOFT CORP	MSFT	Various	167 000	5,969 26	3,350.93		2,618 33
	594918104	01/14/14					
MICROSOFT CORP	MSFT	01/15/09	1 000	36.65	18.79		17 86
	594918104	01/15/14					
MICROSOFT CORP	MSFT	01/15/09	3.000	110.09	56.38		53 71
	594918104	01/15/14					
MICROSOFT CORP	MSFT	01/15/09	2 000	73 41	37.59		35 82
	594918104	01/15/14					
MICROSOFT CORP	MSFT	Various	19.000	696.67	339.58		357 09
	594918104	01/15/14					
MICROSOFT CORP	MSFT	01/29/09	9 000	330.27	159.30		170.97
	594918104	01/15/14					
MICROSOFT CORP	MSFT	Various	90.000	3,292 70	1,677 36		1,615.34
	594918104	01/15/14					
MICROSOFT CORP	MSFT	07/24/09	30.000	1,100.12	699 76		400.36
	594918104	01/15/14					
MICROSOFT CORP	MSFT	07/24/09	21.000	771.22	489.83		281.39
	594918104	01/15/14					
MOTOROLA INC	MOT	00/00/00		193.48	00		193.48
	620076109	01/15/14					

REPRESENTS PRO RATA SHARE OF THE
 NET SETTLEMENT FROM THE MOTOROLA
 INC CLASS ACTION DUE V18949004 THE
 CLINTON FAMILY FUND NP PCIAA



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP

Account Number V 18949-00-4

Long-Term Noncovered

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Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MOTOROLA INC REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE MOTOROLA INC CLASS ACTION DUE V18949004 THE CLINTON FAMILY FUND NP PCIAA	MOT 620076109	00/00/00 09/11/14		49 64	.00		49 64
ORACLE CORP	ORCL 68389X105	10/13/09 03/03/14	17,000	656 58	356.47		300.11
ORACLE CORP	ORCL 68389X105	10/13/09 03/03/14	74,000	2,846.92	1,551 68		1,295 24
ORACLE CORP	ORCL 68389X105	Various 06/11/14	122 000	5,194.27	2,980.65		2,213 62
ORACLE CORP	ORCL 68389X105	Various 06/11/14	12 000	513.59	334 92		178 67
PIMCO LOW DURATION FUND-INST	PTLD 693390304	04/16/08 12/30/14	19,502.904	195,809 16	197,369 39		-1,560 23
PROCTER & GAMBLE CO	PG 742718109	01/10/08 07/02/14	48 000	3,816 21	3,481 75		334 46
QUALCOMM INC	QCOM 747525103	03/24/10 07/31/14	3 000	221 08	120.50		100 58
QUALCOMM INC	QCOM 747525103	03/24/10 07/31/14	26 000	1,915 98	1,044 29		871 69
SG REN SPX 10/16/14 2 XLEV- 8%CAP- 16%MAXPYMT INITIAL LEVEL-09/27/13 SPX-1691 75	83368WEU1	09/27/13 10/16/14	225,000.000	261,000 00	225,000.00		36,000 00
TIME INC CASH IN LIEU OF FRACTIONAL SHARES	TIME 887228104	00/00/00 06/25/14		14 39	00		14 39

J.P.Morgan



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP

Account Number V 18949-00-4

Long-Term Noncovered

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Stock and
other symbol
Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
TIME INC		Various	66.275	1,520.36	640.54		879.82
TIME INC	887228104	06/17/14					
TIME WARNER INC	TWX	02/23/09	1.000	78.53	12.26		66.27
NEW	887317303	10/29/14					
TIME WARNER INC	TWX	02/23/09	8.000	633.43	98.09		535.34
NEW	887317303	10/29/14					
TIME WARNER INC	TWX	02/23/09	4.000	313.03	49.05		263.98
NEW	887317303	10/29/14					
TIME WARNER INC	TWX	02/23/09	3.000	235.28	36.78		198.50
NEW	887317303	10/29/14					
TIME WARNER INC	TWX	02/23/09	9.000	706.94	110.36		596.58
NEW	887317303	10/30/14					
TIME WARNER INC	TWX	02/23/09	5.000	394.23	61.31		332.92
NEW	887317303	10/30/14					
TIME WARNER INC	TWX	02/23/09	4.000	319.24	49.04		270.20
NEW	887317303	10/30/14					
TIME WARNER INC	TWX	01/28/10	4.000	319.72	102.37		217.35
NEW	887317303	10/31/14					
TIME WARNER INC	TWX	01/28/10	7.000	556.94	179.15		377.79
NEW	887317303	10/31/14					
TIME WARNER INC	TWX	01/28/10	2.000	159.40	51.19		108.21
NEW	887317303	10/31/14					
TIME WARNER INC	TWX	01/28/10	1.000	79.02	25.59		53.43
NEW	887317303	11/03/14					

J.P.Morgan



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

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Stock and
other symbol

Description	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/03/14	27.000	2,126.29	691.00		1,435.29
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/03/14	4.000	315.13	102.37		212.76
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	1.000	76.59	25.59		51.00
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	2.000	151.68	51.19		100.49
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	3.000	229.82	76.78		153.04
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	5.000	379.64	127.96		251.68
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	5.000	378.51	127.96		250.55
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	1.000	75.97	25.59		50.38
TIME WARNER INC NEW	TWX 887317303		01/28/10 11/04/14	15.000	1,139.81	383.89		755.92
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/29/14	8.000	928.50	498.24		430.26
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/29/14	2.000	232.78	124.56		108.22
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/29/14	15.000	1,744.69	934.20		810.49

J.P.Morgan



THE CLINTON FAMILY FUND NP
Account Number V 18949-00-4

Capital Gain and Loss Schedule

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Stock and other symbol Cusp

Description	Stock and other symbol Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/30/14	4.000	465 05	249.12		215.93
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/30/14	2.000	231 86	124.56		107 30
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 05/30/14	2.000	232 43	124.56		107.87
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 07/21/14	10.000	1,129 63	622.80		506 83
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 07/21/14	35.000	3,954 23	2,179.79		1,774 44
UNITED TECHNOLOGIES CORP	UTX 913017109		12/15/06 07/21/14	5.000	564 89	311 40		253.49
UNITEDHEALTH GROUP INC	UNH 91324P102		12/02/10 11/19/14	11.000	1,068.00	416 63		651 37
WACHOVIA CORP	929903102		00/00/00 03/19/14		19 11	00		19 11

PRICE AS OF 12/31/08
REPRESENTS PRO RATA SHARE OF THE
NET SETTLEMENT FROM THE WACHOVIA
CORP CLASS ACTION DUE V18949004



Capital Gain and Loss Schedule

THE CLINTON FAMILY FUND NP

Account Number V 18949-00-4

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other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
WYETH		00/00/00		79.75	.00		79.75
REPRESENTS PRO RATA SHARE OF THE		01/14/14					
NET SETTLEMENT FROM THE WYETH CLASS							
ACTION. DUE V18949004 THE CLINTON							
FAMILY FUND NP PCIAA FUTURE							

Total Long-Term Noncovered

3,020,368.05 2,656,784.06

363,583.99⁽²⁾