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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation AVY AND MARCIE STEIN FOUNDATION		<b>A Employer identification number</b>  36-3993406	
% AVY STEIN		<b>B Telephone number</b> (see instructions)  (312) 422-2406	
Number and street (or P O box number if mail is not delivered to street address) 1033 SKOKIE BOULEVARD SUITE 360 Suite		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NORTHBROOK, IL 60062		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 81,040		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule) . . . . .	438,458			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities. . . . .				
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-2,391			
	<b>b</b> Gross sales price for all assets on line 6a 443,368				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . .		229,603		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	436,067	229,603		
Operating and Administrative Expenses	<b>13</b> Compensation of officers, directors, trustees, etc	0			
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .	4,991	2,496	0	2,495
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . .	3,015			15
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	236	236		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	8,242	2,732	0	2,510
	<b>25</b> Contributions, gifts, grants paid . . . . .	348,144			348,144
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	356,386	2,732	0	350,654
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	79,681			
	<b>b Net investment income</b> (if negative, enter -0-)		226,871		
<b>c Adjusted net income</b> (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	1,359	81,040	81,040
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,359	81,040	81,040	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	1,359	81,040	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0		
30	Total net assets or fund balances (see instructions) . . . . .	1,359	81,040		
31	Total liabilities and net assets/fund balances (see instructions) . . .	1,359	81,040		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1,359
2	Enter amount from Part I, line 27a . . . . .	79,681
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3 . . . . .	81,040
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	81,040

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	SEE ATTACHED	D		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 443,367		213,764	229,603
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			229,603
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	229,603
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	331,048	75,379	4 39178
2012	419,861	65,605	6 399832
2011	321,604	64,497	4 98634
2010	466,323	58,763	7 935657
2009	494,357	74,737	6 614622

2	Total of line 1, column (d). . . . .	2	30 328231
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	6 065646
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	108,418
5	Multiply line 4 by line 3. . . . .	5	657,625
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,269
7	Add lines 5 and 6. . . . .	7	659,894
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	350,654

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	4,537
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	4,537
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	4,537
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,001	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	3,001
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	1,536
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> <input type="checkbox"/>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i> <input type="checkbox"/>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of AVY STEIN Telephone no (312) 422-2406 Located at 1033 SKOKIE BOULEVARD SUITE 360 NORTHBROOK IL ZIP +4 60062			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. If "Yes," list the years 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AVY STEIN	DIRECTOR	0	0	0
1033 SKOKIE BOULEVARD NORTHBROOK,IL 60062	0			
MARCIE STEIN	DIRECTOR	0	0	0
1033 SKOKIE BOULEVARD NORTHBROOK,IL 60062	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

**Total** number of others receiving over \$50,000 for professional services. . . . . 1

## Part IX-A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	NOT APPLICABLE	
2		
3		
4		

## Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NOT APPLICABLE	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . 



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	0
b	Average of monthly cash balances. . . . .	1b	110,069
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	110,069
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	110,069
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	1,651
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	108,418
6	Minimum investment return. Enter 5% of line 5. . . . .	6	5,421

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	5,421
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	4,537
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	4,537
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	884
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	884
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	884

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	350,654
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	350,654
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	350,654

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				884
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only. . . . .			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. . . . .	494,357			
b From 2010. . . . .	464,571			
c From 2011. . . . .	319,200			
d From 2012. . . . .	419,051			
e From 2013. . . . .	329,594			
f Total of lines 3a through e. . . . .	2,026,773			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 350,654				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2014 distributable amount. . . . .				884
e Remaining amount distributed out of corpus	349,770			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,376,543			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	494,357			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .	1,882,186			
10 Analysis of line 9				
a Excess from 2010. . . .	464,571			
b Excess from 2011. . . .	319,200			
c Excess from 2012. . . .	419,051			
d Excess from 2013. . . .	329,594			
e Excess from 2014. . . .	349,770			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

AVY MARCIE STEIN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2014)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2014)

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2014-05-15

\* \* \* \* \*

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below  
(see instr )? ☒ **Yes** ☐ **No**

**Paid  
Preparer  
Use  
Only**

Print/Type preparer's name Michael Dicker	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00071233
Firm's name <input checked="" type="checkbox"/> TOPEL FORMAN LLC			Firm's EIN <input checked="" type="checkbox"/>	
Firm's address <input checked="" type="checkbox"/> 500 NORTH MICHIGAN AVE SUITE 1700 CHICAGO, IL 60611			Phone no (312) 642-0006	

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div>	<div>OMB No 1545-0047</div> <div>2014</div>
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<div>Name of the organization</div> <div>AVY AND MARCIE STEIN FOUNDATION</div>	<div>Employer identification number</div> <div>36-3993406</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)( ) (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div></div>
<div>Form 990-PF</div>	<div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> AVY AND MARCIE STEIN FOUNDATION	<b>Employer identification number</b> 36-3993406
--	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	AVY MARCIE STEIN 57 MAPLE HILL RD  GLENCOE, IL 60022	\$ 445,758	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )



## Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization AVY AND MARCIE STEIN FOUNDATION	Employer identification number 36-3993406
---	--

Part III

**Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	





**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2014 Depreciation Schedule**

**Name:** AVY AND MARCIE STEIN FOUNDATION

**EIN:** 36-3993406

**TY 2014 Other Expenses Schedule**

**Name:** AVY AND MARCIE STEIN FOUNDATION

**EIN:** 36-3993406

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	236	236		

**TY 2014 Taxes Schedule****Name:** AVY AND MARCIE STEIN FOUNDATION**EIN:** 36-3993406

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IL CHARITY BUREAU FUND	15			15
FEDERAL EXCISE TAX	3,000			

**Avy & Marcie Stein Foundation**  
**Securities Activity**  
**YE 12/31/14**

Security Description	Symbol	Donor Acquisition Date	# of Shares	Donor Cost Basis	Gross Sales Price	Date of Sale	Donor Gain/Loss
3M COMPANY		12/9/2008	20 00	1,115 48	2,889 34	9/24/2014	1,773 86
3M COMPANY		3/17/2009	20 00	961 69	2,889 34	9/24/2014	1,927 65
ABBVIE INC		3/17/2009	40 00	1,008 75	2,355 14	9/24/2014	1,346 39
AMERICAN EXPRESS CP		3/22/2011	27 00	1,207 41	2,381 35	9/24/2014	1,173 94
AMERICAN EXPRESS CP		3/23/2011	28 00	1,248 41	2,469 54	9/24/2014	1,221 13
AMGEN INC		4/24/2009	20 00	975 43	2,839 54	9/24/2014	1,864 11
AMGEN INC		7/8/2010	20 00	1,031 63	2,839 54	9/24/2014	1,807 91
APPLE INC		11/23/2010	35 00	1,543 48	3,566 77	9/24/2014	2,023 29
BANK OF AMERICA		6/6/2012	420 00	3,160 83	7,123 12	9/24/2014	3,962 29
BIOGEN IDEC INC		12/17/2012	10 00	1,522 02	3,436 12	9/24/2014	1,914 10
BIOGEN IDEC INC		1/11/2013	20 00	2,871 43	6,872 25	9/24/2014	4,000 82
BOEING CO		2/15/2009	20 00	784 69	2,568 14	9/24/2014	1,783 45
BOEING CO		10/6/2009	20 00	1,040 87	2,568 14	9/24/2014	1,527 27
CBRE GROUP INC		10/17/2011	125 00	1,806 25	3,718 66	9/24/2014	1,912 41
CELGENE CORPORATION		12/17/2012	40 00	1,604 03	3,819 51	9/24/2014	2,215 48
CHIPOTLE MEXICAN GRILL		10/19/2012	4 00	984 88	2,653 82	9/24/2014	1,668 94
CHIPOTLE MEXICAN GRILL		10/25/2012	5 00	1,229 15	3,317 27	9/24/2014	2,088 12
CIMAREX ENERGY CO		1/11/2013	20 00	1,227 83	2,537 34	9/24/2014	1,309 51
COLGATE-PALMOLIVE CO		11/5/2008	40 00	1,287 56	2,649 54	9/24/2014	1,361 98
COLGATE-PALMOLIVE CO		3/17/2009	40 00	1,167 37	2,649 54	9/24/2014	1,482 17
CROWN CASTLE INTERNATIONAL		9/26/2008	42 00	1,302 10	3,340 18	9/24/2014	2,038 08
CVS HEALTH CORP		5/10/2006	50 00	1,409 73	4,028 41	9/24/2014	2,618 68
E I DU PONT DE NEMOURS		4/24/2009	40 00	1,144 34	2,898 73	9/24/2014	1,754 39
GENERAL DYNAMICS CORP		2/12/2009	20 00	1,054 55	2,533 54	9/24/2014	1,478 99
GENERAL DYNAMICS CORP		3/17/2009	20 00	782 60	2,533 54	9/24/2014	1,750 94
GILEAD SCIENCES		1/11/2013	120 00	4,681 67	12,942 91	9/24/2014	8,261 24
HALLIBURTON COMPANY		4/29/2010	42 00	1,323 14	2,660 22	9/24/2014	1,337 08
HALLIBURTON COMPANY		6/2/2010	50 00	1,168 82	3,166 93	9/24/2014	1,998 11
INTEL CORPORATION		12/9/2008	280 00	4,014 75	9,715 78	9/24/2014	5,701 03
INTL BUSINESS MACHINES CORP		2/12/2009	40 00	3,746 04	7,675 83	9/24/2014	3,929 79
LOCKHEED MARTIN CORPORATION		3/17/2009	20 00	1,299 47	3,559 12	9/24/2014	2,259 65
MARRIOTT INTERNATIONAL		8/4/2011	50 00	1,405 25	3,561 92	9/24/2014	2,156 67
MARRIOTT INTERNATIONAL		8/8/2011	104 00	2,717 87	7,408 79	9/24/2014	4,690 92
MARRIOTT INTERNATIONAL		8/17/2011	64 00	1,729 24	4,559 26	9/24/2014	2,830 02
MASTERCARD INC		1/13/11	40 00	930 42	3,055 53	9/24/2014	2,125 11
MEDTRONIC INC		3/17/2009	40 00	1,132 36	2,551 54	9/24/2014	1,419 18
MERCK & CO		5/27/2009	100 00	2,670 36	6,031 86	9/24/2014	3,361 50
MICROSOFT CORPORATION		7/14/2006	255 00	5,718 37	11,926 09	9/24/2014	6,207 72
MICROSOFT CORPORATION		2/12/2009	120 00	2,266 00	5,612 28	9/24/2014	3,346 28
NIKE CLASS-B		11/5/2009	32 00	1,033 07	2,569 54	9/24/2014	1,536 47
ORACLE CORPORATION		4/22/2009	69 00	1,343 24	2,706 81	9/24/2014	1,363 57
PRICELINE GROUP INC		8/16/2012	8 00	4,687 60	9,385 71	9/24/2014	4,698 11
SCHLUMBERGER LTD		3/12/2009	31 00	1,226 96	3,140 85	9/24/2014	1,913 89
SCHLUMBERGER LTD		3/12/2009	35 00	1,389 55	3,546 12	9/24/2014	2,156 57
THE PRIVATEBANK AND TRUST CO		3/11/2011	8,640 00	130,293 05	243,012 18	1/15/2014	112,719 13
TRINITY INDUSTRIES INC		1/11/2013	80 00	1,464 06	3,789 51	9/24/2014	2,325 45
U S BANCORP		5/27/2009	80 00	1,477 78	3,383 92	9/24/2014	1,906 14
UNITED RENTALS INC		7/13/2012	20 00	679 43	2,265 74	9/24/2014	1,586 31
WESTLAKE CHEMICAL CORP		6/26/2013	40 00	1,893 43	3,660 71	9/24/2014	1,767 28
<b>Totals</b>				<b>213,764.44</b>	<b>443,367.56</b>		<b>229,603.12</b>



**Avy & Marcie Stein Foundation**

**2014**

**CHECK**

DATE	RELATIO	TYPE	PURPOSE	ORGANIZATION	Address	Amount
9/30/2014	NONE	PC	UNRESTRICTED	ACWIS	180 N Lasalle St #3110, Chicago, IL 60601	50,000 00
3/28/2014	NONE	PC	UNRESTRICTED	ALZHEIMER'S ASSOCIATION	225 N Michigan Ave , Fl 17, Chicago, IL 60601-7633	1,000 00
2/4/2014	NONE	PC	UNRESTRICTED	AMERICAN FRIENDS OF KRONBERG AC/	7940 North Federal Highway, Boca Raton, FL 33487	500 00
3/3/2014	NONE	PC	UNRESTRICTED	B U I L D	2385 Bay Road, Redwood City, CA 94063	250 00
6/30/2014	NONE	PC	UNRESTRICTED	B U I L D	2385 Bay Road, Redwood City, CA 94063	25,000 00
9/30/2014	NONE	PC	UNRESTRICTED	BEST FUTURES INC	3100 Trail Way, Highland Park, Illinois 60035	2,000 00
1/30/2014	NONE	PC	UNRESTRICTED	BOTANIC GARDEN	1000 Lake Cook Rd, Glencoe, IL 60022	200 00
10/12/2014	NONE	PC	UNRESTRICTED	BRAIN RESEARCH FOUNDATION	111 W Washington St, Chicago, IL 60602	1,000 00
2/19/2014	NONE	PC	UNRESTRICTED	CHILDREN'S HEALTHCARE CHARITY, INC	3300 PGA Blvd, Ste 800, Palm Beach Gardens, FL 33410	7,000 00
10/14/2014	NONE	PC	UNRESTRICTED	CHILDRENS RESEARCH FUND	225 East Chicago Avenue, Box 4, Chicago, IL 60611-2605	1,000 00
1/17/2014	NONE	PC	UNRESTRICTED	CYSTIC FIBROSIS	150 N Michigan Ave, Chicago, IL 60601	2,000 00
12/12/2014	NONE	PC	UNRESTRICTED	ELS FOR AUTISM	3900 Military Trail, Suite 200, Jupiter, Florida 33458	10,000 00
3/26/2014	NONE	PC	UNRESTRICTED	FACING HISTORY & OURSELVES	2 N LaSalle St #2300, Chicago, IL 60602	1,000 00
3/5/2014	NONE	PC	UNRESTRICTED	FAMILY SERVICE OF GLENCOE	325 Hazel Ave, Glencoe, IL 60022	890 00
10/13/2014	NONE	PC	UNRESTRICTED	FREE WHEELCHAIR MISSION	15279 Alton Parkway, Suite 300 Irvine, CA 92618	2,000 00
9/30/2014	NONE	PC	UNRESTRICTED	FOLDS OF HONOR FOUNDATION	5800 N Patriot Dr , Owasso, OK 74055	1,000 00
10/14/2014	NONE	PC	UNRESTRICTED	HARVARD LAW SCHOOL	1563 Massachusetts Avenue Cambridge, MA 02138	20,000 00
9/17/2014	NONE	PC	UNRESTRICTED	HIGHLAND PARK PLAYERS	636 Ridge Rd Highland Park, IL 60035	500 00
3/5/2014	NONE	PC	UNRESTRICTED	ILLINOIS HOLOCAUST MUSEUM	9603 Woods Dr, Skokie, IL 60077	2,000 00

9/17/2014	NONE	PC	UNRESTRICTED	INTERACTION MUSIC WORKSHOP	32 Pennydog Court Wheaton, MD 20902	500 00
3/5/2014	NONE	PC	UNRESTRICTED	ISRAELI DEFENSE FUND	1430 Broadway Suite 1301, New York, NY 10018	100 00
6/23/2014	NONE	PC	UNRESTRICTED	JEWISH NATIONAL FUND	42 East 69th Street, New York, NY 10021	300 00
3/6/2014	NONE	PC	UNRESTRICTED	JEWISH UNITED FUND	30 S Wells St, Chicago, IL 60606	5,000 00
9/29/2014	NONE	PC	UNRESTRICTED	LAMB'S FARM	14245 W Rockland Rd, Libertyville, IL 60048	500 00
8/14/2014	NONE	PC	UNRESTRICTED	LITTLE CITY FOUNDATION	700 N Sacramento BLVD # 220, Chicago, IL 60612	1,500 00
10/8/2014	NONE	PC	UNRESTRICTED	LYMPHOMA RESEARCH FOUNDATION	115 Broadway, Suite 1301, New York, NY 10006	1,000 00
5/8/2014	NONE	PC	UNRESTRICTED	MUSIC MATTERS - THE RAVINIA FESTIV	200 Ravinia Park Rd, Highland Park, IL	500 00
6/2/2014	NONE	PC	UNRESTRICTED	MUSIC THEATRE COMPANY	1850 Green Bay Rd, Highland Park, IL 60035	20,000 00
12/1/2014	NONE	PC	UNRESTRICTED	NEW YORK CENTER FOR LAW & JUSTICE	2095 Broadway, New York, NY 10023	500 00
3/28/2014	NONE	PC	UNRESTRICTED	NICKLAUS CHILDRENS HEALTH CARE FC	11770 U S Highway One, Suite 303, North Palm Beach, FL 33408	1,500 00
5/1/2014	NONE	PC	UNRESTRICTED	NOBLE NETWORK JOHNSON COLLEGE	1 N State Street, Floor 7-L Chicago, IL 60602	200 00
8/14/2014	NONE	PC	UNRESTRICTED	NORTHLIGHT THEATRE	9501 Skokie Blvd, Skokie, IL 60077	1,000 00
8/8/2014	NONE	PC	UNRESTRICTED	PORCHLIGHT MUSIC THEATRE	1225 W Belmont, Chicago, IL 60657	1,000 00
9/18/2014	NONE	PC	UNRESTRICTED	RAVINA FESTIVAL	418 Sheridan Rd, Highland Park, IL 60035	200 00
3/1/2014	NONE	PC	UNRESTRICTED	RAVINA WOMENS BOARD	418 Sheridan Rd, Highland Park, IL 60035	5,150 00
10/15/2014	NONE	PC	UNRESTRICTED	READING BUDDIES OF THE NORTH	74 Central Ave Highland Park, Illinois 60035-2609 US	250 00
8/12/2014	NONE	PC	UNRESTRICTED	SARAH SIDDONS SOCIETY	333 N Michigan Ave Chicago, IL 60601	2,500 00
6/8/2014	NONE	PC	UNRESTRICTED	STARLIGHT CHILDRENS FOUNDATION M	30 E Adams St, Chicago, IL 60603	2,000 00
7/8/2014	NONE	PC	UNRESTRICTED	SUSAN F LASKY CANCER FOUNDATION	2150 E Lake Cook Rd Ste 430, Buffalo Grove, Illinois 60089-1876	250 00
5/1/2014	NONE	PC	UNRESTRICTED	TNBC	P O Box 204 Norwood, NJ 07648	1,000 00
8/14/2014	NONE	PC	UNRESTRICTED	UNITED STATES HOLOCAUST MUSEUM	100 Raoul Wallenberg Pl SW, Washington, DC 20024	7,500 00

7/8/2014	NONE	PC	UNRESTRICTED	WRITERS THEATRE	321 Park Ave, Glencoe, IL, 60022	4,000 00
Total Cash						337,520 00
5/1/2014	NONE	PC	UNRESTRICTED	B U I L D	2385 Bay Road, Redwood City, CA 94063	10,199 23
Total Non-Cash						10,624 23
Total Charity						348,144 23



**AVY & MARCIE STEIN FOUNDATION - BROK**  
Investment Activity (Continued)

Period Ended September 30, 2014

Net Settlement  
Amount

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
3M COMPANY TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	145 7100	5,828 40
ABBVIE INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	58 7100	2,348 40
AMERICAN EXPRESS CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	55 00	88 9900	4,894 45
AMGEN INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	142 1800	5,687 20
APPLE, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	35 00	101 0600	3,537 10
BANK OF AMERICA CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	420 00	17 0300	7,152 60
BIOGEN IDEC INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	30 00	329 7600	9,892 80
BOEING COMPANY TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	128 6100	5,144 40
CBRE GROUP INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	125 00	30 1700	3,771 25

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account, Certificates of Deposit and Term Deposits offered by Goldman Sachs Bank USA, Member FDIC.

# AVY & MARCIE STEIN FOUNDATION - BROK

## Investment Activity (Continued)

Period Ended September 30, 2014

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
CELGENE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	92 1900	3,687 60
CHIPOTLE MEXICAN GRILL, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	9 00	656 1000	5,904 90
CIMAREX ENERGY CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	20 00	127 8000	2,556 00
COLGATE-PALMOLIVE CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	80 00	65 6900	5,255 20
CROWN CASTLE INTL CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	42 00	78 5800	3,300 36
CVS HEALTH CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	50 00	80 6000	4,030 00
E I DU PONT DE NEMOURS AND CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	71 4900	2,859 60
GENERAL DYNAMICS CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	128 0200	5,120 80
GILEAD SCIENCES TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	120 00	105 4700	12,656 40
HALLIBURTON COMPANY TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	92 00	64 6800	5,950 56
INTEL CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	280 00	34 7100	9,718 80
INTL BUSINESS MACHINES CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	193 1100	7,724 40
LOCKHEED MARTIN CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	20 00	178 9900	3,579 80
MARRIOTT INTERNATIONAL, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	218 00	71 6100	15,610 98
MASTERCARD INCORPORATED TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	76 1600	3,046 40
MEDTRONIC INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	65 9800	2,639 20
MERCK & CO , INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	100 00	60 5800	6,058 00
MICROSOFT CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	375 00	47 0600	17,647 50
NIKE CLASS-B TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	32 00	80 7100	2,582 72
ORACLE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	69 00	39 5800	2,731 02
PRICELINE GROUP INC/THE TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	8 00	1,165 7900	9,326 32
SCHLUMBERGER LTD TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	66 00	101 7200	6,713 52
TRINITY INDUSTRIES INC (DEL) TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	80 00	48 4200	3,873 60
U S BANCORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	80 00	43 0200	3,441 60
UNITED RENTALS, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	20 00	114 8500	2,297 00

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Statement Detail

**AVY & MARCIE STEIN FOUNDATION - BROK**  
Investment Activity (Continued)

Period Ended September 30, 2014

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
WESTLAKE CHEMICAL CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 22 14	40 00	93 1800	3,727 20
<b>TOTAL SECURITY DEPOSITS &amp; WITHDRAWALS</b>					<b>200,296.08</b>

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

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