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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
Milton & Miriam Waldbaum Family Foundation

A Employer identification number
36-3611254

Number and street (or P O box number if mail is not delivered to street address)
714 N 158th Street

Room/suite

B Telephone number (see instructions)
(402) 345-5866

City or town, state or province, country, and ZIP or foreign postal code
Omaha, NE 68118

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 6,575,338

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	174,440	174,440		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	270,709			
	b	Gross sales price for all assets on line 6a 1,370,620				
	7	Capital gain net income (from Part IV, line 2)		270,709		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,227	1,227		
	12	**Total.** Add lines 1 through 11	446,376	446,376		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	12,000	12,000		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	112	112		
	b	Accounting fees (attach schedule)	1,900	1,900		
	c	Other professional fees (attach schedule)	47,494	47,494		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	15,255	15,255		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications	44	44		
	23	Other expenses (attach schedule)	13,246	13,246		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	90,051	90,051		0
	25	Contributions, gifts, grants paid	347,096			347,096
	26	**Total expenses and disbursements.** Add lines 24 and 25	437,147	90,051		347,096
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	9,229			
	b	**Net investment income** (if negative, enter -0-)		356,325		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	212,089	171,469	171,469
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	468,900	213,140	215,012
	b	Investments—corporate stock (attach schedule)	4,861,702	5,167,532	6,188,857
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,542,691	5,552,141	6,575,338
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	5,542,691	5,552,141	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	5,542,691	5,552,141	
	31	**Total liabilities and net assets/fund balances** (see instructions)	5,542,691	5,552,141	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,542,691
2	Enter amount from Part I, line 27a	2	9,229
3	Other increases not included in line 2 (itemize) ▶ ______	3	221
4	Add lines 1, 2, and 3	4	5,552,141
5	Decreases not included in line 2 (itemize) ▶ ______	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,552,141

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Capital Gain Dividends			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				45,072
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	270,709
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-210

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	334,614	6,426,406	0 05207
2012	327,669	6,154,550	0 05324
2011	632,399	6,514,552	0 09708
2010	337,414	6,654,734	0 05070
2009	315,761	6,254,207	0 05049

2	**Total** of line 1, column (d)	2	0 30358
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 06072
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	6,522,989
5	Multiply line 4 by line 3	5	396,043
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,563
7	Add lines 5 and 6	7	399,606
8	Enter qualifying distributions from Part XII, line 4	8	347,096

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	7,127
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	7,127
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	7,127
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	9,200		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	9,200
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	30
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid.** ▶			10	2,043
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,043 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶John Waldbaum Telephone no ▶(402) 345-5866

Located at ▶714 N 158th Street Omaha NE ZIP+4 ▶68118

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☑ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	6,430,545
b	Average of monthly cash balances.		1b	191,779
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	6,622,324
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	6,622,324
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	99,335
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	6,522,989
6	**Minimum investment return.** Enter 5% of line 5.		6	326,149

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	326,149
2a	Tax on investment income for 2014 from Part VI, line 5.	2a 7,127		
b	Income tax for 2014 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	7,127
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	319,022
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	319,022
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	319,022

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	347,096
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	347,096
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	347,096

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				319,022
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2014				
a From 2009. 5,295				
b From 2010. 6,999				
c From 2011. 310,531				
d From 2012. 25,073				
e From 2013. 22,272				
f Total of lines 3a through e.	370,170			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 347,096				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				319,022
e Remaining amount distributed out of corpus	28,074			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	398,244			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	5,295			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	392,949			
10 Analysis of line 9				
a Excess from 2010. . . . 6,999				
b Excess from 2011. . . . 310,531				
c Excess from 2012. . . . 25,073				
d Excess from 2013. . . . 22,272				
e Excess from 2014. . . . 28,074				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

John Waldbaum
714 North 158th Street
Omaha,NE 68118
(402) 345-5866

b The form in which applications should be submitted and information and materials they should include

The application should include general background information on the applicant, the amount requested, the proposed use of the requested amount, and the proposed budget of the organization

c Any submission deadlines

Applications are accepted at any time

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

There are no restrictions due to the geographical location, kind of institution, etc Award amounts are limited only by the Foundation's capacity to pay amounts relative to the total

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				347,096
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	174,440	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	270,709	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a Other investment income			14	1,227	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				446,376	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 446,376

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2015-05-13	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
DEYNA C ROUSE				P00363036

Firm's name ▶ LUTZ AND COMPANY PC

Firm's EIN ▶

Firm's address ▶ 13616 CALIFORNIA ST STE 300 OMAHA, NE 681545336

Phone no (402) 496-8800

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Jerome Waldbaum 6026 95th PL SW Omaha, NE 68127	Trustee 0 00	0		
Cheryl Waldbaum 6026 95th PL SW Omaha, NE 68127	Trustee 0 00	0		
Susan Hughson 10335 E Jenan Dr Scottsdale, AZ 85260	Trustee 0 00	0		
John Waldbaum 714 N 158th St Omaha, NE 68118	Trustee 0 00	12,000		
Natha Waldbaum 714 N 158th St Omaha, NE 68118	Trustee 0 00	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Temple Israel 7023 Cass St Omaha, NE 68132	N/A	Public	General Funding	22,500
Wayne State Foundation 1111 Main St Wayne, NE 68787	N/A	Public	General Funding	14,560
Temple Solel 5100 Sheridan St Hollywood, FL 33021	N/A	Public	General Funding	55,000
Jewish Federation of Omaha 333 South 132nd Street Omaha, NE 68154	N/A	Public	General Funding	18,900
Jewish Federation of Seattle 2031 Third Avenue Seattle, WA 98121	N/A	Public	General Funding	2,500
Jewish National Fund 42 East 69th St New York, NY 10021	N/A	Public	General Funding	15,000
Juvenile Diabetes Research Foundati 26 Broadway 14th Floor New York, NY 10004	N/A	Public	General Funding	17,500
San Juan Preservation Trust PO Box 327 Lopez, WA 98261	N/A	Public	General Funding	2,000
Union for Reform JudaismCamp Kalsma 14724 184th Street NE Arlington, WA 98223	N/A	Public	General Funding	26,000
Seattle Reperatory Theater 155 Mercer Street Seattle, WA 98109	N/A	Public	General Funding	2,000
American Jewish World Service 45 West 36th St New York, NY 10018	N/A	Public	General Funding	2,000
The Food Bank 6824 J Street Omaha, NE 68117	N/A	Public	General Funding	3,500
MADD Nebraska 145 N 46th St Lincoln, NE 68503	N/A	Public	General Funding	2,000
SiennaFrancis House 1702 Nicholas St Omaha, NE 68102	N/A	Public	General Funding	3,000
Komen Nebraska Race for the Cure 8610 Brentwood Drive 3 LaVista, NE 68128	N/A	Public	General Funding	10,000
Total . . . . ▶ **3a**				347,096

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Tri-Faith Initiative of Omaha PO Box 31344 Omaha, NE 68131	N/A	Public	General Funding	10,000
Girls Inc 2811 N 45th Street Omaha, NE 68104	N/A	Public	General Funding	4,000
Planned Parenthood of the Heartland PO Box 4557 Des Moines, IA 50305	N/A	Public	General Funding	5,000
United Way of the Midlands 1805 Harney St Omaha, NE 68102	N/A	Public	General Funding	3,200
University of Nebraska Foundation O 2285 South 67th St Ste 200 Omaha, NE 68106	N/A	Public	General Funding	21,167
JEWISH FAMILY SERVICE 333 S 132ND ST OMAHA, NE 68154	N/A	Public	GENERAL FUNDING	2,500
ANACORTES PARKS FOUNDATION PO BOX 1902 ANACORTES, WA 98221	N/A	Public	GENERAL FUNDING	4,000
NEW ISRAEL FUND 330 7TH AVE 1101 NEW YORK, NY 10001	N/A	Public	GENERAL FUNDING	1,500
ADL PACIFIC NORTHWEST REGION 600 STEWART ST 720 SEATTLE, WA 98101	N/A	Public	GENERAL FUNDING	1,000
KCTS 9 PUBLIC BROADCASTING 401 MERCER STREET SEATTLE, WA 98109	N/A	Public	GENERAL FUNDING	1,000
AMERICAN PARDES FOUNDATION 5 W 37TH ST 802 NEW YORK, NY 10018	N/A	Public	GENERAL FUNDING	1,000
WHATCOM HILLS WALDORF SCHOOL 941 AUSTIN ST BELLINGHAM, WA 98229	N/A	Public	GENERAL FUNDING	5,000
KUOW PUGET SOUND PUBLIC RADIO 4518 UNIVERSITY WAY NORTHEAST SEATTLE, WA 98105	N/A	Public	GENERAL FUNDING	2,000
CONGREGATION BETH ISRAEL 2200 BROADWAY ST BELLINGHAM, WA 98225	N/A	Public	GENERAL FUNDING	2,000
DENVER JEWISH DAY SCHOOL 2450 S WABASH ST DENVER, CO 80231	N/A	Public	GENERAL FUNDING	2,000
Total . . . . ▶ **3a**				347,096

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WORLD UNION FOR PROGRESSIVE JUDAISM 633 THIRD AVE 6TH FLOOR NEW YORK, NY 10017	N/A	Public	GENERAL FUNDING	1,000
CHILDRENS HOSPITAL FOUNDATION 8200 DODGE ST OMAHA, NE 68114	N/A	Public	GENERAL FUNDING	5,500
STEPHEN CENTER 2723 Q STREET OMAHA, NE 68107	N/A	Public	GENERAL FUNDING	5,000
AMERICAN CANCER SOCIETY 9850 NICHOLAS ST 200 OMAHA, NE 68114	N/A	Public	GENERAL FUNDING	5,000
MIAMI UNIVERSITY FOUNDATION 107 ROUDEBUSH HALL OXFORD, OH 45056	N/A	Public	GENERAL FUNDING	1,250
BELLEVUE UNIVERSITY FOUNDATION 1000 GALVIN ROAD SOUTH BELLEVUE, NE 68005	N/A	Public	GENERAL FUNDING	5,000
WOMENS FUND OF GREATER OMAHA 7602 PACIFIC ST STE 300 OMAHA, NE 68114	N/A	Public	GENERAL FUNDING	5,000
JEWISH COMMUNITY FDN OF GREATER PHO 12071 N SCOTTSDALE ROAD 202 SCOTTSDALE, AZ 85254	N/A	Public	GENERAL FUNDING	8,194
JEWISH COMMUNITY CENTER 333 S 132ND ST OMAHA, NE 68154	N/A	Public	GENERAL FUNDING	2,500
Project Harmony 11949 Q Street Omaha, NE 68137	N/A	Public	General Funding	1,250
Ted E Bear Hollow 7811 Farnam Drive Omaha, NE 68114	N/A	Public	General Funding	1,250
YMCA Oasis Teen Center 125 N 5th Street Mount Vernon, WA 98273	N/A	Public	General Funding	3,000
HOME TRUST OF SKAGIT 320 E FAIRHAVEN SUITE 201 BURLINGTON, WA 98233	N/A	Public	GENERAL FUNDING	1,500
GLOBAL SOURCE EDUCATION PO BOX 11316 BAINBRIDGE ISLAND, WA 98110	N/A	PUBLIC	GENERAL FUNDING	1,000
PLANNED PARENTHOOD OF GREAT NORTHWE 2001 EAST MADISON STREET SEATTLE, WA 98122	N/A	PUBLIC	GENERAL FUNDING	2,500
Total . . . . ▶ **3a**				347,096

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEMPLE BETH OR 5315 CREEDMOOR ROAD RALEIGH, NC 27612	N/A	PUBLIC	GENERAL FUNDING	10,000
JDRF 9202 W DODGE RD 304 OMAHA, NE 68114	N/A	PUBLIC	GENERAL FUNDING	2,000
AMERICAN PARKINSON DISEASE ASSOCIAT 16811 BURDETTE STREET SUITE ONE OMAHA, NE 68116	N/A	PUBLIC	GENERAL FUNDING	2,000
EXPONENT PHILANTHROPY 1720 N STREET NW WASHINGTON, DC 20036	N/A	PUBLIC	GENERAL FUNDING	725
ADL 333 S 132ND ST OMAHA, NE 68154	N/A	PUBLIC	GENERAL FUNDING	5,000
UNITED STATES HOLOCAUST MUSEUM 100 RAOUL WALLENBERG PI SW WASHINGTON, DC 20024	N/A	PUBLIC	GENERAL FUNDING	100
WOUNDED WARRIOR PROJECT 1120 G STREET NW SUITE 700 WASHINGTON, DC 20005	N/A	PUBLIC	GENERAL FUNDING	10,500
JEWISH FREE LOAN 6505 WILSHIRE BLVD SUITE 715 LOS ANGELES, CA 90048	N/A	PUBLIC	GENERAL FUNDING	3,000
ADOPT-A-CLASSROOM INC 110 NORTH 5TH STREET 10TH FLOOR MINNEAPOLIS, MN 55403	N/A	PUBLIC	GENERAL FUNDING	3,000
Total . . . . ▶ **3a**				347,096

TY 2014 Accounting Fees Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
accounting fees	1,900	1,900	0	0

TY 2014 Investments Corporate Stock Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Various Securities	5,167,532	6,188,857

TY 2014 Investments Government Obligations Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

US Government Securities - End of Year Book Value: 133,140

US Government Securities - End of Year Fair Market Value: 132,674

State & Local Government Securities - End of Year Book Value: 80,000

State & Local Government Securities - End of Year Fair Market Value: 82,338

TY 2014 Legal Fees Schedule

Name: Mılton & Mırıam Waldbaum Famıly Foundatıon

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
legal fees	112	112	0	0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491133013655

TY 2014 Other Expenses Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
bank charges	12	12		
dues & subscriptions	225	225		
INVESTMENT EXPENSES FROM FORM 1099	30	30		
MISCELLANEOUS	12,543	12,543		
office supplies	436	436		

TY 2014 Other Income Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other investment income	1,227	1,227	

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TY 2014 Other Professional Fees Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Account Management Fees	47,494	47,494	0	0

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TY 2014 Taxes Schedule

Name: Milton & Miriam Waldbaum Family Foundation

EIN: 36-3611254

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2013 extension payment	5,000	5,000		
2014 estimated tax payments	7,978	7,978		
FOREIGN TAXES ON INVESTMENTS	2,277	2,277		

WALDBAUM MILTON _MIRIAM FAM FDN IMA

Forms 1099 for 2014

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

For 2014, Form 1099-B has been redesigned to correlate to the box numbers on Form 8949. As a result, Box 1f and Box 1g have been added to Form 1099-B. If there is an amount in Box 1g, there will be a code in Box 1f explaining the adjustment. The definitions of the codes are as follows: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that Box 7 is checked and you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in Box 1d. Please see instructions for Box 7.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Noncovered Security Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. Note also that asterisk symbol (*) next to tax cost indicates that the tax cost has been adjusted for current year's return of capital. For sales of noncovered securities we report security description, cusip, date sold, units, federal income tax withheld and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, adjustment code, adjustments, and type of gain or loss.

Short Term Covered Security Transactions (Box 2 Short Term, Box 3 Cost Basis Reported and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) / Date sold or disposed (Box 1c)	Date acquired (Box 1b)	CUSIP / Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Net Gain/Loss	Code (Box 1f)	Adjustments (Box 1g)	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EATON VANCE FLOATING RATE FD-I 924		**277911491**							
08/01/14	11/20/13	3812 64	$34,733 12	$35,000 00	$-266 88		$0 00	$0 00	$0 00
MFS MUNI HIGH INCOME FND-INV 825		**552984692**							
08/08/14	08/01/14	2833 41	$22,525 62	$22,468 95	$56 67		$0 00	$0 00	$0 00

Short Term Covered Security Transactions (Box 2 Short Term, Box 3 Cost Basis Reported and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) Date sold or disposed (Box 1c)	Date acquired (Box 1b)	CUSIP Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Net Gain/Loss	Code (Box 1f)	Adjustments (Box 1g)	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WF ADV ULTRA SH-TRM MUNI INC-I 3107		**949917702**							
08/08/14	08/01/14	9323 22	$44,937 90	$44,937 90	$0 00		$0 00	$0 00	$0 00
Total Short Term Gain/Loss from Covered Security Transactions			*$102,196 64*	*$102,406 85*	*$-210 21*		*$0 00*	*$0.00*	*$0 00*
Report each transaction on Form 8949, Part I, Box A			**Gross Proceeds**	**Tax Cost**	**Net Gain/Loss**		**Adjustments**		
			$102,196.64	**$102,406.85**	**$-210.21**		**$0.00**		

Long Term Covered Security Transactions (Box 2 Long Term, Box 3 Cost Basis Reported and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) Date sold or disposed (Box 1c)	Date acquired (Box 1b)	CUSIP Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Net Gain/Loss	Code (Box 1f)	Adjustments (Box 1g)	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BHP BILLITON LIMITED		**088606108**							
11/26/14	03/15/11	250	$13,827 14	$21,799.72	$-7,972 58		$0 00	$0 00	$0 00
11/26/14	04/12/11	150	$8,296 29	$15,028 54	$-6,732 25		$0 00	$0 00	$0 00
COACH INC		**189754104**							
07/01/14	01/24/13	425	$14,552 79	$21,819 50	$-7,266 71		$0 00	$0 00	$0 00
EATON VANCE FLOATING RATE FD-I 924		**277911491**							
08/01/14	07/02/13	1642 94	$14,967 14	$15,000 00	$-32 86		$0 00	$0 00	$0.00
08/01/14	10/12/12	1098 9	$10,010 99	$10,000 00	$10 99		$0 00	$0 00	$0 00

Long Term Covered Security Transactions (Box 2 Long Term, Box 3 Cost Basis Reported and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a)		CUSIP							
Date sold or disposed (Box 1c)	Date acquired (Box 1b)	Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Net Gain/Loss	Code (Box 1f)	Adjustments (Box 1g)	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EATON VANCE FLOATING RATE FD-I 924		**277911491**							
08/01/14	09/17/12	3300 33	$30,066 01	$30,000 00	$66 01		$0 00	$0 00	$0 00
GOOGLE INC		**38259P508**							
09/04/14	06/28/13	10	$5,958 67	$4,410 80	$1,547 87		$0 00	$0 00	$0 00
INTERNATIONAL BUSINESS MACHS CORP		**459200101**							
09/04/14	06/28/13	140	$26,711 81	$26,723 90	$-12 09		$0 00	$0 00	$0 00
MONSTER BEVERAGE CORP		**611740101**							
09/04/14	02/05/13	100	$8,965 80	$4,881 99	$4,083 81		$0 00	$0 00	$0 00
Total Long Term Gain/Loss from Covered Security Transactions			*$133,356 64*	*$149,664 45*	*$-16,307 81*		*$0 00*	*$0 00*	*$0.00*
Report each transaction on Form 8949, Part II, Box D			**Gross Proceeds**	**Tax Cost**	**Net Gain/Loss**		**Adjustments**		
			$133,356.64 ✓	**$149,664.45** ✓	**$-16,307.81**		**$0.00**		

Long Term Noncovered Security Transactions (Box 2 Long Term, Box 5 Noncovered Security and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a)		CUSIP							
Date sold or disposed (Box 1c)	Date acquired	Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFLAC INC		**001055102**							
07/01/14	09/02/08	200	$12,566 54	$11,464 00	$1,102 54		$0 00	$0 00	$0 00

Long Term Noncovered Security Transactions (Box 2 Long Term, Box 5 Noncovered Security and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) Date sold or disposed (Box 1c)	Date acquired	CUSIP Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFLAC INC		**001055102**							
07/01/14	09/26/08	200	$12,566 54	$12,038 00	$528 54		$0 00	$0 00	$0 00
07/01/14	10/29/08	200	$12,566 54	$8,466 00	$4,100 54		$0 00	$0 00	$0 00
07/01/14	05/20/10	150	$9,424 91	$6,377 12	$3,047 79		$0 00	$0 00	$0 00
APACHE CORPORATION COM		**037411105**							
09/04/14	09/02/10	100	$9,905 79	$9,243 46	$662 33		$0 00	$0 00	$0.00
ASTRAZENECA PLC 5.400% 6/01/14		**046353AA6**							
06/01/14	06/18/08	100000	$100,000 00	$101,445 00	$-1,445 00		$0 00	$0 00	$0 00
BERKSHIRE HATHAWAY INC CL A		**084670108**							
07/01/14	07/31/98	1	$191,153 87	$4,926 40	$186,227 47		$0 00	$0 00	$0 00
EDISON INTERNATIONAL 3.750% 9/15/17		**281020AF4**							
09/10/14	09/14/10	15000	$15,857 40	$14,971 65	$885 75		$0 00	$0 00	$0 00
EXPRESS SCRIPTS INC 3.125% 5/15/16		**302182AF7**							
09/25/14	04/27/11	25000	$25,889 50	$24,899 25	$990 25		$0 00	$0 00	$0 00
FED FARM CREDIT BK 6.125% 12/29/15		**31331H5L7**							
09/04/14	05/14/01	100000	$107,296 03	$97,432 00	$9,864 03		$0 00	$0 00	$0 00
FED HOME LN MTG CORP 4.500% 1/15/14		**3134A4UM4**							
01/15/14	01/22/04	100000	$100,000 00	$100,621 09	$-621 09		$0 00	$0 00	$0 00

Long Term Noncovered Security Transactions (Box 2 Long Term, Box 5 Noncovered Security and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) / Date sold or disposed (Box 1c)	Date acquired	CUSIP / Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
VR GENERAL ELEC CAP 0.9931% 4/02/18		**36962G6X7**							
12/10/14	03/25/13	35000	$35,052 50	$35,000 00	$52 50		$0 00	$0 00	$0 00
LOWE'S COMPANIES INC 5.000% 10/15/15		**548661CH8**							
12/10/14	04/21/08	25000	$25,843 25	$25,125 00	$718 25		$0 00	$0 00	$0 00
VR MORGAN STANLEY 5.000% 9/16/15		**61745EJ36**							
12/10/14	11/05/13	50000	$50,586 00	$51,437 50	$-851 50		$0 00	$0 00	$0 00
SBC COMMUNICATIONS 5.625% 6/15/16		**78387GAL7**							
07/15/14	04/22/08	100000	$109,475 73	$101,696 00	$7,779 73		$0 00	$0 00	$0 00
TELEFONICA EMISIONES 5.462% 2/16/21		**87938WAP8**							
03/21/14	09/19/11	30000	$32,839 80	$28,965 90	$3,873 90	D	$275 76	$275 76	$0 00
3M CO		**88579Y101**							
08/07/14	07/25/08	125	$17,385 76	$8,863 55	$8,522 21		$0 00	$0 00	$0 00
08/07/14	11/28/07	125	$17,385 76	$10,476 25	$6,909 51		$0 00	$0 00	$0 00
08/07/14	06/06/08	125	$17,385 76	$9,383 65	$8,002 11		$0 00	$0 00	$0 00
UIL HOLDINGS CORPORA 4.625% 10/01/20		**902748AA0**							
09/25/14	10/04/10	30000	$31,957 20	$29,761 20	$2,196.00		$0 00	$0 00	$0 00

Long Term Noncovered Security Transactions (Box 2 Long Term, Box 5 Noncovered Security and Box 6 Gross Proceeds Checked)

DESCRIPTION (BOX 1a) / Date sold or disposed (Box 1c)	Date acquired	CUSIP / Units (Box 1a)	Proceeds (Box 1d)	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
US TREASURY NOTE	**4.000% 8/15/18**	**912828JH4**							
09/25/14	03/22/12	50000	$54,855 47	$57,707 23	$-2,851 76		$0 00	$0 00	$0 00
Total Long Term Gain/Loss from Noncovered Security Transactions			*$989,994.35*	*$750,300 25*	*$239,694 10*		*$275 76*	*$275 76*	*$0 00*
Report each transaction on Form 8949, Part II, Box E			**Gross Proceeds**	**Tax Cost**	**Net Gain/Loss**		**Adjustments**		
			$989,994.35	**$750,300.25**	**$239,694.10**		**$275.76**		

Total proceeds reported to IRS on Form 1099-B **$1,225,547.63**

Proceeds from Gains and Losses Not Reported On Form 1099-B

The following gains, losses or exchanges in your account includes transactions, partnerships, and common trust funds which are either not subject to Form 1099-B reporting or are not registered for 1099-B reporting by us. For individual taxpayers, capital gain or loss transactions which are not received on a Form 1099-B are reportable on Form 8949, Part I, Box C or Part II, Box F. However, if an asset below is not registered for Form 1099-B reporting by us and you receive a separate Form 1099-B from a different payer for this same information, you should follow their 1099-B regarding tax reporting guidelines. If this account holds an interest in a partnership, the cost basis of the sale shown below may or may not have been adjusted since the original purchase. You should consult your tax advisor regarding the tax treatment applicable to these items.

WALDBAUM MILTON _MIRIAM FAM FDN IMA

Long Term Transactions (Not reported on Form 1099-B)

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ASGI MESIROW INSIGHT FUND, LLC		**961995008**							
12/31/14	01/02/12	99 44	$100,000 00	$97,539 87	$2,460 13		$0 00	$0.00	$0 00
Total Long Term Gain/Loss Not Reported on Form 1099-B			*$100,000 00*	*$97,539 87*	*$2,460 13*		*$0 00*	*$0 00*	*$0.00*

Report each transaction on Form 8949, Part II, Box F	Gross Proceeds	Tax Cost	Net Gain/Loss	Adjustments
	$100,000.00	**$97,539.87**	**$2,460.13**	**$0.00**