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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052





2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation ROCK ISLAND REFINING FOUNDATION EDGAR S JOSEPH TRUSTEE		A Employer identification number 35-6264479	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 90114		B Telephone number (see instructions) (317) 695-7948	
City or town, state or province, country, and ZIP or foreign postal code INDIANAPOLIS, IN 462900114		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,282,152		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	52,966	52,966		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	158,495			
	b Gross sales price for all assets on line 6a 642,125				
	7 Capital gain net income (from Part IV, line 2) . . .		158,495		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	211,461	211,461		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,306	0		577
	c Other professional fees (attach schedule)	6,000	0		1,500
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,417	125		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	8,819	8,749		0
	24 Total operating and administrative expenses. Add lines 13 through 23	19,542	8,874		2,077
	25 Contributions, gifts, grants paid	103,000			103,000
	26 Total expenses and disbursements. Add lines 24 and 25	122,542	8,874		105,077
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	88,919			
	b Net investment income (if negative, enter -0-)		202,587		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,452	8,784	8,784
	2	Savings and temporary cash investments	38,698	140,338	140,338
	3	Accounts receivable ▶ <u>544</u>			
		Less allowance for doubtful accounts ▶ _____		544	544
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	542,806 	567,229	567,824
	b	Investments—corporate stock (attach schedule)	762,286 	807,966	1,212,526
	c	Investments—corporate bonds (attach schedule).	356,962 	331,242	324,787
	11	Investments—land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	69,664 	10,684	27,349
	14	Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,777,868	1,866,787	2,282,152
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,674,845	1,674,845	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	103,023	191,942	
	30	Total net assets or fund balances (see instructions)	1,777,868	1,866,787	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	1,777,868	1,866,787	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,777,868
2	Enter amount from Part I, line 27a	2	88,919
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,866,787
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,866,787

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b	CAPITAL GAINS DIVIDENDS	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 641,614		483,630	157,984
b 511			511
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			157,984
b			511
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	158,495
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	92,139	2,053,717	0 044865
2012	81,147	1,906,929	0 042554
2011	70,000	1,880,394	0 037226
2010	60,500	1,829,799	0 033064
2009	51,000	1,705,311	0 029907

2	Total of line 1, column (d).	2	0 187616
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 037523
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	2,193,048
5	Multiply line 4 by line 3.	5	82,290
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,026
7	Add lines 5 and 6.	7	84,316
8	Enter qualifying distributions from Part XII, line 4.	8	105,077

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		12,026	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0	
3		Add lines 1 and 2.		2,026	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		2,026	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	1,724
b		Exempt foreign organizations—tax withheld at source		6b	
c		Tax paid with application for extension of time to file (Form 8868)		6c	2,000
d		Backup withholding erroneously withheld		6d	
7		Total credits and payments. Add lines 6a through 6d.		7	3,724
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	39
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,659
11		Enter the amount of line 10 to be Credited to 2015 estimated tax <input checked="" type="checkbox"/> 1,659 Refunded <input type="checkbox"/>		11	0

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a		No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
		If "Yes," attach the statement required by General Instruction T.				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IN				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of TAMMY STOCKTON Telephone no (317) 695-7948 Located at 8680 WILLIAMSHIRE WEST DRIVE INDIANAPOLIS IN ZIP +4 46260			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 Yes No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDGAR S JOSEPH	TRUSTEE	0	0	0
8512 BENT TREE COURT	2 00			
INDIANAPOLIS,IN 46260				
NORMA WINKLER	TRUSTEE	0	0	0
8680 WILLIAMSHIRE WEST DRIVE	2 00			
INDIANAPOLIS,IN 46260				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,100,262
b	Average of monthly cash balances.	1b	126,183
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,226,445
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,226,445
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	33,397
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,193,048
6	Minimum investment return. Enter 5% of line 5.	6	109,652

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	109,652
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	2,026
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,026
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	107,626
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	107,626
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	107,626

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	105,077
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	105,077
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,026
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	103,051
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				107,626
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			100,029	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 105,077				
a Applied to 2013, but not more than line 2a			100,029	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				5,048
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				102,578
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIV

4942(j)(3) or 4942(j)(5)

3

Part XV

1

2

[illegible]

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	103,000
b Approved for future payment INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON,IN 47402	N/A	PC	TO ESTABLISH RESEARCH FUND WITHIN IU SCHOOL OF MEDICINE'S DEPARTMENT OF MICROBIOLOGY AND IMMUNOLOGY	75,000
Total			3b	75,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

[illegible]

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


***** 2015-06-23 *****

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

Phone no (317) 580-2000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON,IN 47402	N/A	PC	\$2,000 TO IU SCHOOL OF HEALTH REHAB SCIENCES, \$25,000 TO ESTABLISH RESEARCH FUND WITHIN IU MEDICAL SCHOOL'S DEPARTMENT OF MICROBIOLOGY AND IMMUNOLOGY	27,000
INDIANAPOLIS SYMPHONY ORCHESTRA FOUNDATION INC 32 E WASHINGTON ST STE 600 INDIANAPOLIS,IN 462042919	N/A	SO III FI	TO SUPPORT THE INDIANA SYMPHONY SOCIETY, INC	6,000
INDIANA RIGHT TO LIFE ED TRUST 9465 COUNSELORS ROW SUITE 200 INDIANAPOLIS,IN 46240	N/A	PC	TO PROTECT THE RIGHT TO LIFE THROUGH EDUCATION AND PROMOTION OF ALTERNATIVES TO ABORTION	1,500
BREBEUF PREPARATORY SCHOOL INC 2801 W 86TH ST INDIANAPOLIS,IN 46268	N/A	PC	TO SUPPORT EDUCATION	10,000
HEARTLAND TRULY MOVING PICTURES INC (AKA HEARTLAND FILM INC) 1043 VIRGINIA AVE NO 2 INDIANAPOLIS,IN 46203	N/A	PC	TO SUPPORT INDIANA FILMMAKERS	10,000
CROSSROADS OF AMERICA COUNCIL BOY SCOUTS OF AMERICA INC 7125 FALL CREEK ROAD NORTH INDIANAPOLIS,IN 46256	N/A	PC	TO PREPARE YOUNG PEOPLE TO MAKE ETHICAL CHOICES OVER THIER LIFETIME	5,000
ST JOSEPH INSTITUTE FOR THE DEAF 1809 CLARKSON CHESTERFIELD,MO 63017	N/A	PC	TO SUPPORT LANGUAGE SERVICES FOR DEAF CHILDREN AT INDIANAPOLIS BRANCH	5,000
LITTLE SISTERS OF THE POOR OF INDIANA INC 2345 W 86TH ST INDIANAPOLIS,IN 462601981	N/A	PC	TO SUPPORT ST AUGUSTINE'S HOME WHICH HOUSES NEEDY ELDERLY IN THE INDIANAPOLIS AREA	5,000
INDIANA CHILDRENS WISH FUND INC 8021 KNUE ROAD STE 112 INDIANAPOLIS,IN 46250	N/A	PC	TO HELP GRANT WISHES FOR CHILDREN WITH LIFE-THREATENING ILLNESSES	1,000
UNITED WAY OF CENTRAL INDIANA INC PO BOX 88409 INDIANAPOLIS,IN 46208	N/A	PC	TO HELP PEOPLE LEARN MORE, EARN MORE AND LEAD SAFE AND HEALTHY LIVES	12,000
GLEANERS FOOD BANK OF INDIANA INC 3737 WALDEMERE AVE INDIANAPOLIS,IN 46241	N/A	PC	TO HELP PROVIDE FOOD FOR PEOPLE IN NEED	5,000
CATHOLIC CHARITIES OF THE ARCHDIOCESE OF INDIANAPOLIS INC 1400 N MERIDIAN ST PO BOX 1410 INDIANAPOLIS,IN 462061410	N/A	SO I	TO SUPPORT ST ELIZABETH COLEMAN PREGNANCY & ADOPTION SERVICES	2,500
INDIANAPOLIS LEGAL AID SOCIETY INC 615 N ALABAMA ST STE 122 INDIANAPOLIS,IN 462041497	N/A	PC	TO PROVIDE FREE LEGAL ASSISTANCE TO THOSE IN NEED	3,000
JEWISH FEDERATION OF GREATER INDIANAPOLIS INC 6705 HOOVER ROAD INDIANAPOLIS,IN 46260	N/A	PC	TO SUPPORT THE ELDER SUPPORT PROGRAMS OF THE ALBERT & SARA REUBEN SENIOR AND COMMUNITY RESOURCE CENTER	5,000
WOUNDED WARRIOR PROJECT INC 4899 BELFORT ROAD STE 300 JACKSONVILLE,FL 32256	N/A	PC	TO HONOR AND EMPOWER WOUNDED SOLIDERS	5,000
Total  3a				103,000

TY 2014 Accounting Fees Schedule**Name:** ROCK ISLAND REFINING FOUNDATION

EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPERATION FEES	2,306	0		577

**TY 2014 Investments Corporate
Bonds Schedule**

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE FIRST BOSTON USA INC	0	0
JP MORGAN BACKED SECURITIES FUND	87,074	85,003
VANGUARD GNMA FUND	88,089	85,979
VANGUARD SHORT-TERM INVESTMENT GRADE FUND	100,030	98,612
WELLS FARGO ADVTG SHORT-TERM HIGH	56,049	55,193

TY 2014 Investments Corporate
Stock Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE
EIN: 35-6264479

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COVIDIEN PLC SHS NEW	0	0
AFLAC INC COM	0	0
AT&T INC COM	13,306	17,467
APPLE INC COM	25,896	35,542
BAXTER INTL INC COM	20,263	30,049
BOEING	9,817	29,895
CVS HEALTH CORP COM (CVS)	8,399	35,153
CAPITAL ONE FINL CORP COM	22,540	26,003
CHEVRON CORP NEW COM	4,605	24,680
CISCO SYSTEMS INC	20,259	32,544
CLOUD PEAK ENERGY INC COM	0	0
COCA COLA	4,231	8,866
CORNING INC COM	16,805	25,452
EBAY INC COM	22,260	24,693
EXPRESS SCRIPTS HLDG CO COM	25,356	36,831
EXXON MOBIL CORP COM	21,395	25,886
FIFTH THIRD BANCORP COM	11,698	23,533
FRANKLIN RESOURCES	20,472	24,363
GENERAL ELECTRIC CO COM	0	0
HANESBRANDS INC COM	4,651	22,324
HEWLETT PACKARD CO COM	11,542	32,706
HOLOGIC INC COM	22,335	30,885
HONEYWELL INTL INC COM	8,075	28,977
INTEL	0	0
KOHL'S CORP COM	0	0
MARATHON OIL CORP	20,665	18,671
MERCK & CO INC NEW COM	13,226	21,864
MICROSOFT CORP COM	5,597	11,380
MOLSON COORS BREWING CO CL B	15,598	27,945
NATIONAL OILWELL VARCO INC	19,220	19,331

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORFOLK SOUTHERN CORP	7,343	24,114
ORACLE CORP COM	13,068	29,231
PNC FINL SVCS GROUP INC COM	21,893	31,931
PPL CORP COM	0	0
PENSKE AUTOMOTIVE GROUP INC COM	15,161	25,026
PEPSICO INC COM	12,612	21,276
PRINCIPAL FINL GROUP INC COM	18,188	31,424
QUALCOMM INC	19,098	22,299
ROYAL DUTCH SHELL PLC	15,750	14,955
SCHWAB SCHARLES CORP	0	0
SKYWORKS SOLUTIONS	8,620	28,720
STAPLES INC	31,392	41,042
TRW AUTOMOTIVE HLDGS CORP COM	0	0
TARGET CORP COM	0	0
TEVA PHARMACEUTICAL INDUSTRIES	22,014	31,055
TRAVELERS COS INC COM	0	0
UNITED TECHNOLOGIES CORP COM	16,963	28,750
WESTERN UN CO COM	13,934	17,247
ZIONS BANCORP	0	0
CLAYMORE EXCHANGE TRADED FD - GUGGENHEIM BULLETSHARES	25,061	25,224
HELEN OF TROY CORP	22,857	25,048
AETNA INC NEW COM	26,146	30,202
CHEMTURA CORPORATION USD	11,444	12,118
CITIGROUP INC COM NEW	23,477	23,808
COACH INC	11,793	12,207
DELTA AIR LINES INC DEL	22,825	30,006
FLOWERS FOOD INC COM	12,104	11,994
GNC HOLDINGS INC	11,588	15,966
HARTFORD FINL SVCS GROUP INC	22,109	24,597
ISHARES US OIL EQUIPMENT & SERVICES	11,472	11,741

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SYNCHRONY FINANCIAL COM	11,461	14,875
TELEFLEX INC	11,382	12,630

TY 2014 Investments Government Obligations Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

US Government Securities - End of Year Book Value:	335,861
US Government Securities - End of Year Fair Market Value:	338,257
State & Local Government Securities - End of Year Book Value:	231,368
State & Local Government Securities - End of Year Fair Market Value:	229,567

TY 2014 Investments - Other Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DIGITAL RLTY TR INC COM	AT COST	0	0
OMEGA HEALTHCARE INVS INC COM	AT COST	10,684	27,349
FEDERATED BOND FUND CLASS IS	AT COST	0	0

TY 2014 Other Expenses Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	8,749	8,749		0
POSTAGE	43	0		0
BANK SERVICE FEES	27	0		0

TY 2014 Other Professional Fees Schedule**Name:** ROCK ISLAND REFINING FOUNDATION

EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING FEES	6,000	0		1,500

TY 2014 Taxes Schedule**Name:** ROCK ISLAND REFINING FOUNDATION

EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	125	125		0
FEDERAL TAXES	2,292	0		0