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Form

990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation The Cummins Foundation Inc.		A Employer identification number 35-6042373
Number and street (or P O box number if mail is not delivered to street address) Box 3005, M/C 60113	Room/suite	B Telephone number (see instructions) (812) 377-0139
City or town, state or province, country, and ZIP or foreign postal code Columbus, IN 47202-3005		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 40,030,764	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	12,056,600			
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	158	158	158	
4 Dividends and interest from securities	396,252	396,252	396,252	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	34,498			
b Gross sales price for all assets on line 6a 16,364,581				
7 Capital gain net income (from Part IV, line 2)		34,498		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	(150,491)			
12 Total. Add lines 1 through 11	12,337,017	430,908	396,410	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	13,800			
c Other professional fees (attach schedule)	1,133,937			
17 Interest				
18 Taxes (attach schedule) (see instructions)	7,000			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	35,941			
24 Total operating and administrative expenses. Add lines 13 through 23	1,190,678	0	0	0
25 Contributions, gifts, grants paid	8,914,295			7,868,859
26 Total expenses and disbursements. Add lines 24 and 25	10,104,973	0	0	7,868,859
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	2,232,044			
b Net investment income (if negative, enter -0-)		430,908		
c Adjusted net income (if negative, enter -0-)			396,410	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2,713,225	3,153,059	3,153,059
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ 250,000			
	Less: allowance for doubtful accounts ▶	375,000	250,000	250,000
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	500	500	500
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	33,322,160	36,551,357	36,551,357
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ <u>Interest Receivable</u>)	95,645	75,848	75,848
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	36,506,530	40,030,764	40,030,764
	17 Accounts payable and accrued expenses	3,246	250,000	
	18 Grants payable	965,500	2,010,936	
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	968,746	2,260,936	
	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	35,537,784	37,769,828	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	35,537,784	37,769,828	
	31 Total liabilities and net assets/fund balances (see instructions)	36,506,530	40,030,764	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,537,784
2 Enter amount from Part I, line 27a	2	2,232,044
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	37,769,828
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	37,769,828

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Schedule				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 16,364,581		16,330,083	34,498	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	34,498
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	(1,453)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	7,190,373	41,837,142	0.1719
2012	7,328,386	43,890,946	0.1670
2011	9,667,351	30,388,558	0.3181
2010	7,258,872	19,726,286	0.3680
2009	5,978,994	14,276,837	0.4188
2 Total of line 1, column (d)			2 1.4438
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .28876
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 38,334,026
5 Multiply line 4 by line 3			5 11,069,333
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,309
7 Add lines 5 and 6			7 11,073,642
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 7,868,859

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	8,618
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	8,618
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,618
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	11,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	11,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,382
11	Enter the amount of line 10 to be Credited to 2015 estimated tax 2,382 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	n/a	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.cummins.com

14 The books are in care of ► Michelle Harris Telephone no ► (812) 377-8695
 Located at ► Cummins Inc., 500 Jackson St., Columbus, IN ZIP+4 ► 47202-3005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** Yes No
 See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b N/A Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) 3b N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? 4b X		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b**
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Exhibit B				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
.....		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	None	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	36,182,521
b	Average of monthly cash balances	1b	2,151,505
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	38,334,026
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	38,334,026
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,334,026
6	Minimum investment return. Enter 5% of line 5	6	1,916,701

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,916,701
2a	Tax on investment income for 2014 from Part VI, line 5	2a	8,618
b	Income tax for 2014 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,618
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,908,083
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,908,083
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,908,083

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,868,859
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,868,859
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,868,859

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,908,083
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009	5,266,964			
b From 2010	6,275,086			
c From 2011	8,154,935			
d From 2012	5,141,490			
e From 2013	5,107,503			
f Total of lines 3a through e	29,945,978			
4 Qualifying distributions for 2014 from Part XII, line 4. ▶ \$ 7,868,859				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				1,908,083
e Remaining amount distributed out of corpus	5,960,776			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	35,906,754			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	5,266,964			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	30,639,790			
10 Analysis of line 9				
a Excess from 2010	6,275,086			
b Excess from 2011	8,154,935			
c Excess from 2012	5,141,490			
d Excess from 2013	5,107,503			
e Excess from 2014	5,960,776			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter ² / ₃ of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Paula Blewett, Administrative Assistant, Box 3005, M/C 60028, Columbus, IN 47202-3005 (812) 377-3746

b The form in which applications should be submitted and information and materials they should include

See Exhibit C

c Any submission deadlines

one

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

See Exhibit C

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Approved during 2014, paid during 2014				140,250
Approved prior to 2014, paid during 2014				895,500
See Exhibit D				
Total				3a 1,035,750
b Approved for future payment				
Approved Prior to 2014, not yet paid				70,000
Approved during 2014, not yet paid				1,940,936
See Exhibit E				
Total				3b 2,010,936

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--|-----|----|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | | Yes | No |
| | | | |
| 1a(1) | | | x |
| 1a(2) | | | x |
| 1b(1) | | | x |
| 1b(2) | | | x |
| 1b(3) | | | x |
| 1b(4) | | | x |
| 1b(5) | | | x |
| 1b(6) | | | x |
| 1c | | | x |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

5-7-15
Date

Treasurer
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

16,364,581	16 330,083
------------	------------

[illegible]

Line 11 (990-PF) - Other Income

(150,491)					
	Description	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Unrealized Gain/(Loss) on Investments	(150,491)			
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16b (990-PF) - Accounting Fees

13,800

	Name of Organization or Person Providing Service	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Blue & Co , LLC - Audit Fees	8,700			
2	In-kind Accounting Services	5,100			
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

1,133,937					
	Name of Organization or Person Providing Service	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Cummins Inc. - Administrative Fees	1,009,337			
2	Global Giving - Consultant Fees	124,600			
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

7,000

	Name of Organization or Person Providing Service	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Tax on investment income	7,000			
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

35,941					
	Description	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Administrative Expense	35,941			
2					
3					
4					
5					
6					
7					
8					
9					
10					

250,000

[illegible]

Part II, Line 13 (990-PF) - Investments - Other

			33,322,160	36,551,357	36,551,357
	Item or Category	Basis of Valuation	Book Value Beg. Of Year	Book Value End Of Year	FMV End of Year
1	Mutual Fund		33,322,160	36,551,357	36,551,357
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return	-	1	4,000
2 First quarter estimated tax payment	-	2	-
3 Second quarter estimated tax payment	-	3	2,000
4 Third quarter estimated tax payment.	-	4	2,500
5 Fourth quarter estimated tax payment	-	5	2,500
6 Other payments	-	6	
7 Total.. . . .	-	7	11,000

The Cummins Foundation Inc.
35-6042373
Schedule 1

Part I

Line 1 - Contributions, gifts, grants, etc. received

<u>Contributor</u>	<u>Amount</u>
Cummins Inc	\$12,056,600
Total	<u><u>\$12,056,600</u></u>

The Cummins Foundation Inc.

35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt from
Income Tax for Year Ended 12-31-14

Form 990-PF, Page 6, Part VIII, Item I – Compensation of
Officers, Directors, Trustees, Foundation Managers

<u>Name & Address</u>	<u>Title</u>	<u>Time Devoted To Business</u>	<u>Compensation</u>
Tom Linebarger Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	Chairman	Nominal	None
Mark Levett Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	CEO	33% of time	None
Tony Satterthwaite Cummins Inc. 3850 Victoria Street, North M/C – OC300 Shoreview, MN 55126	Director	Nominal	None
Lisa Yoder Cummins Inc. 3850 Victoria Street, North M/C – OC300 Shoreview, MN 55126	Director	Nominal	None

<u>Name & Address</u>	<u>Title</u>	<u>Time Devoted To Business</u>	<u>Compensation</u>
Will Miller The Wallace Foundation 5 Penn Plaza New York, NY 10001	Director	Nominal	None
Marya Rose Cummins Inc. One American Square Suite 1800 M/C C0014 Indianapolis, IN 46282	Director	Nominal	None
Pat Ward Cummins Inc. Box 3005 – M/C 60911 Columbus, IN 47202-3005	Director	Nominal	None
Anant Talaulicar Cummins Inc. Box 3005 – M/C C0045 Columbus, IN 47202-3005	Director	Nominal	None
Rich Freeland Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	Director	Nominal	None
Aaron Kaluf Cummins Inc. 301 Jackson Street M/C 91671 Columbus, IN 47202-3005	Treasurer	Nominal	None
Mary Chandler Cummins Inc. One American Square Suite 1800 – M/C C0014 Indianapolis, IN 46282	Secretary	Nominal	None

The Cummins Foundation Inc.
35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt form
Income Tax for Year Ended 12-31-14

Page 10, Part XV, Line 2 (b) and (d)- Information regarding contribution, grant, gift, loan, scholarships, etc. programs.

The Foundation's budget year is from January 1 through December 31. The Foundation directors meet four times a year to consider new programs and approve grants. The staff has authority to make grants under \$250,000 and larger grants requiring immediate action may be approved by the Executive Committee between meetings.

Inquiries and proposals may be submitted in writing at anytime during the year. A preliminary proposal should include a brief description of the problem being addressed, outcomes anticipated, the operating budget and additional financial requirements necessary.

The Foundation primarily makes grants in the communities where the Company has business interests. Cummins believes a Company is only as healthy as the communities where we do business. The present global priority areas include: the environment, education and social justice. The Foundation looks for projects where Cummins employees are engaged in making a meaningful and significant community contribution and where possible specific and measurable outcomes have been defined to guide the work.

The Foundation does not support political causes or candidates, or sectarian religious activities. No grants are made to individuals.

The Cummins Foundation, Inc.
35-6042373
Exhibit D
Grants Summary

Unpaid Commitments Per Return <u>As Of 1-1-14</u>	Reconciling Items*	Commitments Made <u>In 2014</u>	Commitments Paid <u>In 2014</u>	<u>Rounding</u>	Unpaid Commitments Per Return <u>As Of 12-31-14</u>
965,500	0	Dr 8,914,295	Cr (7,868,859)	0	2,010,936

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Aeon GlobalGiving Foundation <i>Solar thermal unit, water meters OWL meters and computerized monitoring system for mixed-income housing development</i> \$350,000 00	21288	05/05/14	\$350,000 00	Foundation	EF1 - 05/05/2014 Solar thermal unit, water meters OWL meters, and computerized monitoring system for mixed-income housing development
Anchor House GlobalGiving Foundation <i>Increase the capacity of the organization to serve more</i> \$375,000 00	21556	12/15/14	\$375,000 00	Foundation	EF1 - 12/15/2014 Anchor House - Invoice ID GG-INV-2014-01107
Asociacion Filantropica Cummins, A.C. GlobalGiving Foundation <i>International Matching Gifts Program</i> \$1 580 00	21378	09/04/14	\$1 580 00	Foundation	EF1 Asociacion Filantropica Cummins A C - International Matching Gifts Program
Association ROI GlobalGiving Foundation <i>Preparing high school students for the job market through a coalition</i> \$30,000 00	21280	04/01/14	\$10 000 00	Foundation	EF1 - 04/01/2014 Association ROI - Develop high school students to prepare for the job market through a coalition
Universidad Autonoma de San Luis Potosi GlobalGiving Foundation <i>Build a "Techo Vivo" (Living Roof) on building "B" of the University of San Luis Potosi to promote the development and implementation of green roofs in the community</i> \$75,000 00	21308	05/15/14	\$75,000 00	Foundation	EF1 Build a "Techo Vivo" (Living Roof) on building "B" of the University of San Luis Potosi to promote the development and implementation of green roofs in the community
Bainian Vocational School - Beijing GlobalGiving Foundation <i>English program and curriculum for 120 migrant workers children</i> \$23,000 00	21384	09/04/14	\$23,000 00	Foundation	EF1 Bainian Vocational School - Beijing - English program and curriculum for 120 migrant workers children
Bartholomew Consolidated School Foundation <i>Reading training program that focuses on children with dyslexia</i> \$50,500 00	21396	09/15/14	\$25,250 00	Foundation	5493 Reading training program that focuses on children with dyslexia - payment 1 of 2
<i>First payment of a 3 year grant for the Mindy Lewis Award & Diversity Projects for 2012-2014</i> \$15 000 00	20884	11/18/14	\$5,000 00	Prior Year Payments - Foundation	5505 3rd and final payment of a 3 year Grant for the Mindy Lewis Award & Diversity Projects for 2012-2014
Total Bartholomew Consolidated School Foundation (2 items)			\$30,250 00		

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Beacon Foundation \$165,000 over 3 years @ \$55,000 per year to work with Beacon Foundation on a National basis \$165,000 00	21107	09/30/14	\$55,000 00	Prior Year Payments - Foundation	EF1 - 09/30/2014 2nd pay of \$165,000
Beijing Chaoyang Yongxu Global Environmental Institute GlobalGiving Foundation Promote clean stoves using biomass fuel in rural China \$98,800 00	21279	04/01/14	\$98,800 00	Foundation	EF1 - 04/01/2014 Invoice ID GG-INV-2014-00807
Beijing Institute of Technology GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$7,200 00	21301	05/01/14	\$7,200 00	Foundation	EF1 - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - Beijing Institute of Technology
Brown County Community Foundation 2014 United Way Match \$52,671 10	21445	12/11/14	\$52,671 10	Foundation	5506 2014 United Way Match
Brown County United Way 2014 United Way Match 1 DePere - \$2,245 76 2 CDUC - \$8,572 32 \$10,818 08	21532	12/11/14	\$10,818 08	Foundation	5507 2014 United Way Match - 1 DePere - \$2,245 76 - 2 CDUC - \$8,572 32
Building Tomorrow, Inc. One Time un-restricted payment \$50,000 00	21240	02/04/14	\$50,000 00	Foundation	5448 One Time un-restricted payment
Capital Area United Way 2014 United Way Match \$3,000 00	21472	12/11/14	\$3,000 00	Foundation	5508 2014 United Way Match
Cedar Valley United Way 2014 United Way Match \$24 00	21440	12/11/14	\$24 00	Foundation	5509 2014 United Way Match
Center for Inquiry at School #27 Funding for Center for Inquiry at School #327 in Indianapolis, IN \$100 for storage bins for concession stand and \$400 to provide additional individualized math instruction to students \$0 00	21165	02/12/14	(\$500 00)	Foundation	VOID - 5385 Void of Payment [12591] for \$500 00 paid on 12/11/2013
Funding for Center for Inquiry at School #327 in Indianapolis, IN \$100 for storage bins for concession stand and \$400 to provide additional individualized math instruction to students \$500 00	21255	02/14/14	\$500 00	Foundation	5451 Reissue of Payment for Voided ck #5385 in the amount of \$500 00 paid on 12/11/2013
Total Center for Inquiry at School #27 (2 items)					

Centro de estudios de promocion social CARITAS,
A.C
GlobalGiving Foundation

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Environmental Challenge grant recipient \$10,000 00	21259	03/05/14	\$10 000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Centro de estudios de promocion social CARITAS, A C
GlobalGiving Foundation Implement an inventory management system and improve the installations of one of the dispensaries \$11,000 00	21386	09/04/14	\$11,000 00	Foundation	EFT Centro de estudios de promocion social CARITAS, A C - Implement an inventory management system and improve the installations of one of the dispensaries
Total Centro de estudios de promocion social CARITAS, A C (2 items)			\$21,000 00		
Centro Educativo en Apoyo al Espectro Autista A.C					
GlobalGiving Foundation Environmental Challenge grant recipient \$10,000 00	21262	03/05/14	\$10 000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Centro Educativo en Apoyo al Espectro Autista, A C
Chang'an University					
GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds \$7,200 00	21298	05/05/14	\$7,200 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Chang'an University
Charleston Orphan House, Inc.					
Develop STEM curriculum \$7 750 00	21268	03/12/14	\$7,750 00	Foundation	5456 Develop STEM curriculum
Freedom School and BIZ Camp summer programs 3 year conditional grant - Year 1 conditions have been met \$25 000 00	21282	05/15/14	\$25 000 00	Foundation	Ck #5471 Freedom School and BIZ Camp summer programs - Conditional Grant (year 1 conditions met)
Total Charleston Orphan House, Inc (2 items)			\$32 750 00		
Charyou Youth Volunteer Service Center					
GlobalGiving Foundation 2013 Environmental Challenge grant recipient \$10,000 00	21257	03/05/14	\$10,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge Grant Recipient - Charyou Youth Volunteer Service
GlobalGiving Foundation River recovery project to improve the water quality at Expo Houtan Park from level V to level III will contribute water to the park, road clean up and will be used as an environmental training site to tourists \$30,500 00	21364	08/06/14	\$30,500 00	Foundation	EFT Charyou Youth Volunteer Service Center - River recovery project to improve the water quality at Expo Houtan Park from level V to level III
Total Charyou Youth Volunteer Service Center (2 items)			\$40 500 00		
Cheonan Seobu Free Meal Support Center					

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
GlobalGiving Foundation Environmental Challenge grant recipient \$10,000 00	21263	03/05/14	\$10,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Cheonan Seobu Free Meal Support Center
China Xiangyang Charity Federation GlobalGiving Foundation Environmental Challenge grant recipient \$10 000 00	21261	03/05/14	\$10,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Xiangyang Charity Federation
GlobalGiving Foundation China Matching Grants Program - January 2014 - June 2014 \$14,361 00	21362	08/06/14	\$14,361 00	Foundation	EFT China Xiangyang Charity Federation - China Matching Grants Program - January 2014 - June 2014
Total China Xiangyang Charity Federation (2 items)			\$24,361 00		
Chongqing Industry Polytechnic College GlobalGiving Foundation Funding for partnership for Technical Education for Communities program \$50 000 00	21270	03/12/14	\$50,000 00	Foundation	EFT - 03/12/2014 Funding for partnership for Technical Education for Communities program
Columbus Area Arts Council Operating support for 2014 \$10,000 00	21426	11/12/14	\$10,000 00	Foundation	5503 Operating support for 2014
Columbus Indiana Philharmonic Providing educational music activities to children in Bartholomew County and surrounding counties \$25,000 00	21370	08/15/14	\$25,000 00	Foundation	5484 Providing educational music activities to children in Bartholomew County and surrounding counties
Columbus Park Foundation Heritage Fund of Bartholomew County Funding to restore and refurbish Hamilton Center Ice Arena in Columbus, IN \$150 000 00	21128	06/02/14	\$75,000 00	Prior Year Payments - Foundation	5473 Final payment of \$150 000 grant to restore and refurbish Hamilton Center Ice Arena
Heritage Fund of Bartholomew County Purchase "trees, shrubs, flowers, benches, signs and trash/recycling containers" for the extension of the People Trail in Columbus, IN \$15 000 00	21404	11/12/14	\$15,000 00	Foundation	5500 Columbus Park Foundation - Purchase "trees, shrubs, flowers, benches, signs and trash/recycling containers" for the extension of the People Trail in Columbus, IN
Total Columbus Park Foundation (2 items)			\$90 000 00		
Community Education Coalition Support for the IU Center for Art & Design - Columbus \$1M paid out over years 2011-2014 at \$250K per year \$250K is conditional for year 5 \$1,000,000 00	20428	03/05/14	\$250 000 00	Prior Year Payments - Foundation	Check 5454 4th installment of a \$1,000 000 grant to the IU Center for Art & Design

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding for 2 years to support Busy Bee Academy Program for low income pre-k children in 2 Bartholomew Consolidated Schools Columbus IN \$480,000.00	21129	07/01/14	\$240,000.00	Prior Year Payments - Foundation	5479 2nd installment of a 2 year \$480,000 grant for Busy Bee Academy Program
Total Community Education Coalition (2 items)			\$490,000.00		
Cummins China Investment Co., Ltd. Environmental Challenge Recognition Program \$1,500.00	21430	11/24/14	\$1,500.00	Foundation	EFT - 11/24/2014 Environmental Challenge Recognition Program
Decatur County United Fund, Inc. 2014 United Way Match \$6,269.20	21544	12/11/14	\$6,269.20	Foundation	5510 2014 United Way Match
Developmental Services Inc. Renovate kitchen area used for client cooking classes focusing on healthy lifestyles \$24,700.00	21416	11/12/14	\$24,700.00	Foundation	5501 Renovate kitchen area used for client cooking classes focusing on healthy lifestyles
Dream It Do It Western New York, Inc. Year 2 of 3 year grant (conditional) - Provided agreed upon milestones for education coalition in Chautauque County \$45,000.00	21266	03/05/14	\$45,000.00	Foundation	EFT - 03/05/2014 Year 2 of 3 year grant (conditional) - Met Conditions
Eagle Creek Park Foundation, Inc. Restore Fisherman's Cove \$8,200.00	21388	09/15/14	\$8,200.00	Foundation	5486 Restore Fisherman's Cove
EARTH University Foundation Final payment of a \$6M conditional grant - Met 2015 fund raising goal \$1,000,000.00	21422	11/11/14	\$1,000,000.00	Foundation	EFT - 11/11/2014 Final payment of a \$6M conditional grant - Met 2015 fund raising goal
Ege University GlobalGiving Foundation TEC Partnership with Ege University in Izmir Turkey \$290,000.00	21361	08/06/14	\$290,000.00	Foundation	EFT TEC Partnership with Ege University in Izmir Turkey
Finney County United Way 2014 United Way Match \$1,500.00	21516	12/11/14	\$1,500.00	Foundation	5511 2014 United Way Match
The Food Bank of Central & Eastern North Carolina Establish and expand the Weekend Power Pack for needy children in Nash, Edgecombe & Halifax counties 2nd payment of a conditional grant \$25,000.00	21355	07/15/14	\$25,000.00	Foundation	5483 Establish and expand the Weekend Power Pack for needy children in Nash, Edgecombe & Halifax counties 2nd payment of a conditional grant

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Friends of the Stoughton Area Youth Center <i>Funding to continue Computer and Markerbot Programs at the Stoughton Area Youth Center (SAYC) - Matching Grant</i> \$9,170 00	21409	10/21/14	\$9,170 00	Foundation	5498 Continue Computer and Markerbot Programs at the Stoughton Area Youth Center (SAYC) - Matching Grant
Global Communities <i>Structural improvements and sanitation behavior change program at the Lartebiokoshie Cluster of Schools</i> \$38,660 00	21398	09/26/14	\$38,660 00	Foundation	EFT Structural improvements and sanitation behavior change program at the Lartebiokoshie Cluster of Schools
Global Village of Beijing GlobalGiving Foundation <i>Improve the water environment of Luojiagou stream which is a tributary of the Yangtze River</i> \$25 000 00	21366	08/06/14	\$25 000 00	Foundation	EFT Global Village of Beijing - Improve the water environment of Luojiagou stream which is a tributary of the Yangtze River
Greater Ottawa County United Way <i>2014 United Way Match</i> \$144 00	21474	12/11/14	\$144 00	Foundation	5512 2014 United Way Match
Greater Twin Cities United Way <i>2014 United Way Match</i> 1 - Anoka, Carver, Hennepin & Ramsey - \$261,702 76 2 - St Paul - \$29,993 04 \$291,695 80	21475	12/11/14	\$291,695 80	Foundation	EFT 2014 United Way Match - Anoka, Carver, Hennepin & Ramsey - \$261,702 76 & St Paul - \$29 993 04
Growing Places Indy <i>General Operations</i> \$10,000 00	21569	12/18/14	\$10 000 00	Foundation	5612 General Operations
Gundogdu Contemporary Community Volunteers Association GlobalGiving Foundation <i>Build a training center to create a better environment for children for educational, professional mental and stable development</i> \$20,000 00	21420	11/12/14	\$20 000 00	Foundation	EFT - 11/12/2014 Gundogdu Contemporary Community Volunteers Association Grant
Heart of Kentucky United Way <i>2014 United Way Match</i> \$120 00	21469	12/11/14	\$120 00	Foundation	5513 2014 United Way Match
Henry County United Fund <i>2014 United Way Match</i> \$50 00	21448	12/11/14	\$50 00	Foundation	5514 2014 United Way Match
Heritage Fund of Bartholomew County <i>"Engage Columbus" create a "One Stop Shop" for community information with emphasis on newcomers</i>	21400	09/30/14	\$30,000 00	Foundation	EFT - 09-30 2014

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
\$90,000 00					"Engage Columbus" create a "One Stop Shop" for community information with emphasis on newcomers
Hinton United Way Society 2014 United Way Match \$253 30	21553	12/19/14	\$253 30	Foundation	5619 2014 United Way Match
Hogar del Nino A.C GlobalGiving Foundation IT equipment and desks for two classrooms \$13 550 00	21317	06/02/14	\$13,550 00	Foundation	Wire Transfer Invoice ID GG-INV-2014-00865 - IT equipment and desks for two classrooms- Hogar del Nino A C
GlobalGiving Foundation International Matching Gift Program \$1,985 00	21375	09/04/14	\$1,985 00	Foundation	EFT Hogar del Nino A C - International Matching Gift Program
Total Hogar del Nino A C (2 items)			<u>\$15,535 00</u>		
Huazhong University of Science and Technology GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds \$14,400 00	21290	05/01/14	\$14,400 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Huazhong University of Science and Technology
Human Rights Commission Collaboration project between the Mayor's Advisory Council for Disability and Accessibility, Columbus Transition Council, and the Cummins Assistive Technologies Initiative to created a "disability awareness video" \$25,000 00	21309	06/02/14	\$25 000 00	Foundation	5472 Created a "disability awareness video"
Hunan University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds \$5,600 00	21295	05/05/14	\$5,600 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Hunan University
Immigrant Welcome Center Inc. Build capacity to deliver services training and supporting Natural Helpers branches, initiatives in targeted neighborhoods, and education and outreach with community partners \$25,000 00	21425	11/12/14	\$25,000 00	Foundation	5502 Build capacity to deliver services - Match Met
Indiana Civil Liberties Union (ICLU) General Operations \$10,000 00	21572	12/18/14	\$10 000 00	Foundation	5615 General Operations
Indiana Sports Corporation CHAMPS - Corporate Challenge \$200 00	21395	09/15/14	\$200 00	Foundation	5492 CHAMPS - Corporate Challenge

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
GEAR - Corporate Challenge \$500 00	21394	09/15/14	\$500 00	Foundation	5491 GEAR - Corporate Challenge
Total Indiana Sports Corporation (2 items)			\$700 00		
Indiana University Foundation IUPUC Tech Savvy Program (STEM event) targeting girls grades 6-9 \$2,500 00	21410	10/21/14	\$2,500 00	Foundation	5499 IUPUC Tech Savvy Program (STEM event) targeting girls grades 6-9
Indianapolis Zoo Implement a bio diesel processing center and associated educational materials \$9,000 00	21389	09/15/14	\$9,000 00	Foundation	5487 Implement a bio diesel processing center and associated educational materials
Innovation in Science and Technology Education Funding to support "High Tech Kids" 2014 - 2015 program \$10,000 00	21254	02/04/14	\$10,000 00	Foundation	5447 Funding to support "High Tech Kids" 2014 - 2015 program
Institucion Potosina Pro-Educacion Especializada A.C. GlobalGiving Foundation International Matching Gifts Program \$971 00	21377	09/04/14	\$971 00	Foundation	EFI Institucion Potosina Pro Educacion Especializada A C - International Matching Gifts Program
Ivy Tech Foundation GlobalGiving Foundation Year 3 (final payment) iGrade Funding - Conditions have been met \$150,000 00	21401	09/30/14	\$150 000 00	Foundation	EFT Ivy Tech Foundation - Year 3 (final payment) iGrade Funding - Conditions have been met
Jackson County United Way Assist the community school efforts in providing a back sack of school supplies to children in need Conditional Grant - Matching for year 2 \$6,500 00	21307	05/15/14	\$6 500 00	Foundation	5467 Providing a back sack of school supplies to children in need - Conditional Grant - Matching Grant for year 2
2014 United Way Match \$62,837 41	21449	12/11/14	\$62 837 41	Foundation	5515 2014 United Way Match
Total Jackson County United Way (2 items)			\$69,337 41		
Jefferson County United Way 2014 United Way Match \$1,241 00	21451	12/11/14	\$1 241 00	Foundation	5516 2014 United Way Match
Jennings County United Way 2014 United Way Match 1 Jennings County - \$33,390 48 2 Ripley County - \$2,448 00 \$35,838 48	21452	12/11/14	\$35 838 48	Foundation	5517 2014 United Way Match - Jennings

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
					County - \$33,390.48 - Ripley County - \$2,448.00
Jiangnan University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$5,600.00	21296	05/05/14	\$5,600.00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - Jiangnan University
Jiang Su University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$7,200.00	21297	05/05/14	\$7,200.00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - Jiang Su University
John H. Boner Community Center Planning grant that will "create an education program" for the Near Eastside neighborhood to improve the education level of the entire neighborhood \$10,700.00	21402	10/15/14	\$10,700.00	Foundation	5496 Planning grant that will "create an education program" for the Near Eastside neighborhood
General Operations \$10,000.00	21568	12/18/14	\$10,000.00	Foundation	5611 General Operations
General Operations \$10,000.00	21568	12/18/14	\$10,000.00	Foundation	5610 General Operations
General Operations \$10,000.00	21568	12/18/14	(\$10,000.00)	Foundation	VOID - 5610 Void of Payment [13021] for \$10,000.00 paid on 12/18/2014
Total John H. Boner Community Center (4 items)			\$20,700.00		
The John Townsend Trust GlobalGiving Foundation Creative Adult Project (CAP) providing adults with communication and learning disabilities opportunities to learn work skills \$258,000.00	21418	11/12/14	\$258,000.00	Foundation	EFT - 11/12/2014 The John Townsend Trust - Creative Adult Project (CAP) providing adults with communication and learning disabilities opportunities to learn work skills
Juarez United Way 2014 United Way Match \$10,190.25	21478	12/11/14	\$10,190.25	Foundation	5518 2014 United Way Match
King Street Primary School GlobalGiving Foundation Outdoor environmental learning area \$46,800.00	21318	06/02/14	\$46,800.00	Foundation	Wire Transfer Invoice ID: GG-INV-2014-000865 - Outdoor environmental learning area - King Street Primary School
Kwa-Bhekilanga Secondary School GlobalGiving Foundation Improve passing rate of mathematics students in	20942	12/15/14	\$15,500.00	Prior Year Payments -	EFT - 12/15/2014

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Grades 8-10 through software program \$46,500 00				Foundation	Kwa-Bhekilanga Secondary School - Year 3 and final payment of multi-year grant - Invoice ID GG-INV-2014- 01076
GlobalGiving Foundation Establish a library at Kwa-Bhekilanga Secondary School \$21,000 00	21560	12/17/14	\$21,000 00	Foundation	EFT - 12/17/2014 Kwa - Bhekilanga - Establish a library at the Secondary School - Invoice ID GG-INV-2014-01109
Total Kwa-Bhekilanga Secondary School (2 items)			\$36 500 00		
Legacy Charities, Inc. Create an "isolation room and new kitchen area" at New Day Foster Home \$54,800 00	21304	05/15/14	\$54,800 00	Foundation	CK# 5470 Create an "isolation room and new kitchen area" at New Day Foster Home
LeMoyne-Owen College Memphis Leadership match - Strategic Assessment and Development of the Strategic Plan vs the Presidential search \$10,000 00	21428	11/13/14	\$10,000 00	Foundation	5504 Strategic Assessment and Development of the Strategic Plan vs the Presidential search
The Library Project, Inc 3rd Payment - Funding for Elementary School Libraries throughout China \$37,500 00	21302	05/01/14	\$37,500 00	Foundation	Check # 5466 3rd Payment - Funding for Elementary School Libraries throughout China
Lincoln-Central Neighborhood Family Center Sponsorship for an Open House and Customer Appreciation \$1,550 00	21407	10/15/14	\$1,550 00	Foundation	5495 Sponsorship for an Open House and Customer Appreciation
Local Initiatives Support Corporation of Indianapolis (LISC) General Operations \$10 000 00	21571	12/18/14	\$10,000 00	Foundation	5614 General Operations
Marshfield Area United Way 2014 United Way Match \$8 776 00	21509	12/11/14	\$8 776 00	Foundation	5519 2014 United Way Match
Mary Parnham's Lenton Charity GlobalGiving Foundation Environmental Challenge grant recipient \$3 000 00	21265	03/05/14	\$3,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Mary Parnham's Lenton Charity
Metanoia GlobalGiving Foundation Youth Leader Academy (K-12) curriculum offering year round leadership-building for those students showing strong leadership potential in the Cherokee Community	21417	11/18/14	\$125,000 00	Foundation	EFT - 11/24/2014

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
\$125 000 00					Metanoia Grant - Leadership-building for those students showing strong leadership potential in the Chicora-Cherokee Community
Memphis Cultural Arts Enrichment Center <i>Matching funds up to \$21,000 met for 2014</i> \$21 000 00	21253	02/04/14	\$21,000 00	Foundation	5445 Matching funds up to \$21,000 met for 2014
Metro United Way, Department 52860 <i>2014 United Way Match</i> <i>1 Clark County - \$3,924 48</i> <i>2 Floyd County - \$1,224 00</i> <i>3 Harrison County - \$120 00</i> <i>4 Jefferson/Trimble Counties - \$1,656 00</i> \$6,924 48	21447	12/11/14	\$6,924 48	Foundation	5520 2014 United Way Match - Clark - \$3,924 48 - Floyd - \$1,224 00 - Harrison - \$120 00 & Jefferson - \$1,656 00
Mile High United Way <i>2014 United Way Match</i> \$480 00	21437	12/11/14	\$480 00	Foundation	5521 2014 United Way Match
Mind Research Institute <i>Funding to implement Spatial Temporal (ST) Math in the Irvine Public Schools</i> \$100,000 00	21236	07/15/14	\$100 000 00	Prior Year Payments - Foundation	EF1 - 07/15/2014 Funding to implement Spatial Temporal (ST) Mathing in the Irvine Public Schools
Minnesota Indian Women's Resource Center <i>Addition of one FTE Director of Operations to its Administrative staff</i> \$150 000 00	20651	02/04/14	\$50,000 00	Prior Year Payments - Foundation	5442 Addition of one FTE Director of Operations to its Administrative staff Third and final installment of a three year grant
Montgomery United Fund for You <i>2014 United Way Match</i> \$500 00	21460	12/11/14	\$500 00	Foundation	5522 2014 United Way Match
Mounds View Schools Education Foundation <i>Environmental science field work equipment and technology to enhance the professional realism of an outdoor environment classroom</i> \$25,100 00	21562	12/17/14	\$25,100 00	Foundation	5609 Environmental science field work equipment and technology to enhance the professional realism of an outdoor environment classroom
The Nature Conservancy in Indiana <i>Annual Membership Renewal - 2014</i> \$5 000 00	21348	07/01/14	\$5,000 00	Foundation	5478 Annual Membership Renewal - 2014
Organization for Livelihood Enhancement Services (OLIVES) GlobalGiving Foundation <i>Build a sanitation facility and bore hole for Abohema Methodist Primary School</i> \$20 000 00	21367	08/06/14	\$20,000 00	Foundation	LFT Organization for Livelihood

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
					Enhancement Services - Build a sanitation facility and bore hole for Abohemba Methodist Primary School
Pegode vzw GlobalGiving Foundation <i>Give people with a disability independence and control over their own life through the "Mobility" project</i> \$118,200 00	21369	08/06/14	\$118,200 00	Foundation	EFT Pegode vzw - "Mobility" project
People Serving People Inc. <i>Renovate kitchen and dining hall at the largest family homeless shelter in Minnesota</i> \$100,000 00	21415	11/11/14	\$100,000 00	Foundation	EFT - 11/11/2014 Renovate kitchen and dining hall at the largest family homeless shelter in Minnesota
Proforestal A.C GlobalGiving Foundation <i>SLP Urban Forest Infrastructure to promote and control urban reforestation into a technical and cultural frame</i> \$17,100 00	21421	11/12/14	\$17,100 00	Foundation	EFT - 11/12/2014 Proforestal Grant
Promocion Social Integral A.C (Colonia Juvenil) GlobalGiving Foundation <i>International Matching Program</i> \$1,563 00	21376	09/04/14	\$1 563 00	Foundation	EFT Promocion Social Integral A C - International Matching Program
Putnam County Schools <i>Backpack Buddy Program for disadvantaged students</i> \$12 500 00	21342	06/13/14	\$12,500 00	Foundation	5474 Backpack Buddy Program for disadvantaged students
Rise, Inc. <i>Launch low cost supply chain for microswitches used by people with disabilities</i> \$42,821 00	21269	03/12/14	\$42 821 00	Foundation	5455 Launch low cost supply chain for microswitches
River Region United Way <i>2014 United Way Match</i> \$24 00	21434	12/11/14	\$24 00	Foundation	5523 2014 United Way Match
Rogers County United Way <i>2014 United Way Match</i> \$480 00	21489	12/11/14	\$480 00	Foundation	5524 2014 United Way Match
Rush County United Fund <i>2014 United Way Match</i> \$240 00	21461	12/11/14	\$240 00	Foundation	5525 2014 United Way Match
SAE Foundation <i>Science, Technology, Engineering and Math (STEM) Education</i> \$10 000 00	21274	04/01/14	\$10 000 00	Foundation	5459 Science, Technology Engineering and Math (STEM) Education

Sans Souci Inc.

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Providing a back sack of school supplies to children in need - Conditional Grant - Matching Grant for year 2 \$19,000 00	21305	05/15/14	\$19,000 00	Foundation	5468 Providing a back sack of school supplies to children in need - Conditional Grant - Matching Grant for year 2
Save the Children Federation Inc. Supporting education in emergencies \$250,000 00	21412	10/31/14	\$250,000 00	Foundation	EFT - 10/31/2014 Support education in emergencies - Payment 1 of a \$750,000 Conditional Grant
School on Wheels Corp. One semester of "project-based tutoring" at Indianapolis Public School #54 Brookside Elementary through STAR (Striving Toward Academic Readiness) \$10,000 00	21403	10/15/14	\$10,000 00	Foundation	5497 One semester of "project-based tutoring" at Indianapolis Public School #54
Shanghai Jiao Tong University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$9,600 00	21294	05/05/14	\$9,600 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - Shanghai Jiao Tong University
Shanghai Oasis Ecological Conservation and Communication Center GlobalGiving Foundation Deliver Nature courses to Migration Children School to promote environment education and education equality \$16,400 00	21365	08/06/14	\$16,400 00	Foundation	EFT Shanghai Oasis Ecological Conservation & Communication Center - Deliver Nature courses to Migration Children School
Shelby County United Fund, Inc. 2014 United Way Match \$17,210 40	21463	12/11/14	\$17,210 40	Foundation	5526 2014 United Way Match
SIFE China GlobalGiving Foundation Environmental Challenge grant recipient \$10,000 00	21264	03/05/14	\$10,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - SIFE China
Sioux Empire United Way 2014 United Way Match \$2,386 00	21520	12/11/14	\$2,386 00	Foundation	5527 2014 United Way Match
Sichuan Southwest Petroleum University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$5,600 00	21300	05/01/14	\$5,600 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - Sichuan Southwest Petroleum University

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
St. Susan Center <i>Increase the capacity and efficiency of the food pantry and community kitchen</i> \$56,500 00	21387	09/15/14	\$56,500 00	Foundation	5485 Increase the capacity and efficiency of the food pantry and community kitchen
Strategic Twin-Counties Education Partnership (STEP) <i>Improve reading performance at grade 3 in Edgecombe and Nash counties</i> \$245,000 00	21349	07/15/14	\$245 000 00	Foundation	5481 Improve reading performance at grade 3 in Edgecombe and Nash counties
Success for All Foundation Inc. <i>Funding to support reading program for children in low-income elementary and middle schools</i> \$100,000 00	21237	08/12/14	\$100 000 00	Prior Year Payments - Foundation	EFT Funding to support reading for children in low-income elementary and middle schools in the Baltimore, MD area
Teach For America <i>General Operations</i> \$10 000 00	21570	12/18/14	\$10,000 00	Foundation	5613 General Operations
Tennessee Technological University Foundation <i>Two "natural playscape areas" at the Child Development Lab at Tennessee Technological University for creative hands-on, outdoor learning adventures</i> \$27 700 00	21306	05/15/14	\$27,700 00	Foundation	5469 Two "natural playscape areas" at the Child Development Lab at Tennessee Technological University for creative, hands-on outdoor learning adventures
Thomas County Community Foundation <i>2014 United Way Match</i> \$2,786 08	21515	12/11/14	\$2,786 08	Foundation	5528 2014 United Way Match
Tianjin University GlobalGiving Foundation <i>2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds</i> \$7,200 00	21292	05/05/14	\$7 200 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Tianjin University
Trident United Way <i>2014 United Way Match</i> <i>1 Charleston Berkeley & Dorchester - \$121 025 25</i> <i>2 Summerville, SC - \$910 00</i> \$121,935 25	21492	12/11/14	\$121,935 25	Foundation	5529 2014 United Way Match - Charleston, Berkeley & Dorchester - \$121 025 25 & Summerville, SC - \$910 00
The Trussell Trust and Trussell Trust Ltd GlobalGiving Foundation <i>Provide slow cooker cooking classes and slow cookers to 200 families in the Middlesbrough area teaching them to cook and eat healthy with low fuel cost</i>	21368	08/06/14	\$32,000 00	Foundation	EFT

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
\$32,000 00					The Trussell Trust and Trussell Trust Ltd - Provide slow cooker cookery classes and slow cookers to 200 families
Trustees of Indiana University <i>Case work for creating thriving communities and thriving state in Indiana</i> \$75 000 00	21392	09/15/14	\$75 000 00	Foundation	5488 Case work for creating thriving communities and thriving state in Indiana
Tsinghua University GlobalGiving Foundation <i>2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds</i> \$7,200 00	21291	05/01/14	\$7,200 00	Foundation	EFF - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Tsinghua University
United Community Ministries <i>Increase capacity of dining area and dormitory area at McDonald Street Soup Kitchen and Emergency Shelter</i> \$99 899 00	21350	07/15/14	\$99 899 00	Foundation	5482 Increase capacity of dining area and dormitory area at McDonald Street Soup Kitchen and Emergency Shelter
United Fund of Warren County <i>2014 United Way Match</i> \$26 636 94	21547	12/11/14	\$26 636 94	Foundation	5530 2014 United Way Match
United Way of Greater Duluth <i>2014 United Way Match</i> \$2 012 00	21523	12/11/14	\$2,012 00	Foundation	5531 2014 United Way Match
Souris Valley United Way <i>2014 United Way Match</i> \$3,319 37	21525	12/11/14	\$3,319 37	Foundation	5532 2014 United Way Match
United Way of Grand Forks, East Grand Forks <i>2014 United Way Match</i> \$1 755 01	21526	12/11/14	\$1,755 01	Foundation	5533 2014 United Way Match
United Way of Marquette County <i>2014 United Way Match</i> \$240 00	21527	12/11/14	\$240 00	Foundation	5534 2014 United Way Match
United Way of Marathon County <i>2014 United Way Match</i> \$1,262 00	21528	12/11/14	\$1 262 00	Foundation	5535 2014 United Way Match
United Way of the Greater Chippewa Valley <i>2014 United Way Match</i> \$1,314 00	21529	12/11/14	\$1 314 00	Foundation	5536 2014 United Way Match
United Way of Greater Milwaukee <i>2014 United Way Match</i> \$5,571 47	21530	12/11/14	\$5,571 47	Foundation	5537 2014 United Way Match
United Way of Calgary and Area					

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
2014 United Way Match \$2,290 00	21535	12/19/14	\$2,290 00	Foundation	5616 2014 United Way Match
United Way for Southeastern Michigan 2014 United Way Match \$754 00	21473	12/11/14	\$754 00	Foundation	5538 2014 United Way Match
United Way of Bartholomew County 2014 United Way Match 1 Bartholomew County - \$1,295 255 67 2 Dubois County - \$818 16 \$0 00	21444	12/11/14	\$1,296,073 83	Foundation	5539 2014 United Way Match - Bartholomew Co - \$1,295,255 67 & Dubois Co - \$818 16
2014 United Way Match 1 Bartholomew County - \$1,295 255 67 2 Dubois County - \$818 16 \$0 00	21444	12/31/14	(\$1,296,073 83)	Foundation	VOID - 5539 Void of Payment [12896] for \$1,296,073 83, paid on 12/11/2014
Total United Way of Bartholomew County (2 items)					
United Way of Monroe County 2014 United Way Match \$14 624 00	21459	12/11/14	\$14,624 00	Foundation	5540 2014 United Way Match
United Way of Boone County 2014 United Way Match \$120 00	21441	12/11/14	\$120 00	Foundation	5541 2014 United Way Match
United Way of Broward County 2014 United Way Match \$3,283 00	21438	12/11/14	\$3,283 00	Foundation	5542 2014 United Way Match
United Way of Bucks County 2014 United Way Match \$8,372 00	21531	12/11/14	\$8 372 00	Foundation	5543 2014 United Way Match
United Way of Cass-Clay 2014 United Way Match \$4,396 11	21524	12/11/14	\$4,396 11	Foundation	5544 2014 United Way Match
United Way of Cattaraugus County 2014 United Way Match \$14,741 00	21548	12/11/14	\$14 741 00	Foundation	5545 2014 United Way Match
United Way of Central Carolinas 2014 United Way Match \$20,324 00	21480	12/11/14	\$20,324 00	Foundation	5546 2014 United Way Match
United Way of Central Indiana 2014 United Way Match Indiana - \$187 799 64 West Virginia - \$2 834 00 Tennessee - \$497 00 Kentucky - \$2,312 00 Illinois - \$155 00 \$193,597 64	21446	12/11/14	\$193 597 64	Foundation	5547 2014 United Way Match - IN - \$187,799 64 - WV - \$2,834 00 - TN - \$497 00 - KY - \$2 312 00 & IL - \$155 00

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Central Iowa 2014 United Way Match 1 Des Moines - \$4,838.00 2 Des Moines (EIC) - \$1,741.00 \$6,579.00	21518	12/11/14	\$6,579.00	Foundation	5548 2014 United Way Match - Des Moines - \$4,838.00 & Des Moines (EIC) - \$1,741.00
United Way of Central Ohio 2014 United Way Match \$24.00	21487	12/11/14	\$24.00	Foundation	5549 2014 United Way Match
United Way of Central Region 2014 United Way Match \$2,496.00	21533	12/11/14	\$2,496.00	Foundation	5550 2014 United Way Match
United Way of Chittenden County 2014 United Way Match \$1,320.00	21506	12/11/14	\$1,320.00	Foundation	5551 2014 United Way Match
United Way of Dane County 2014 United Way Match 1 Mineral Point - \$5,009.20 2 Stoughton - \$29,655.49 \$34,664.69	21508	12/11/14	\$34,664.69	Foundation	5552 2014 United Way Match - Mineral Point - \$5,009.20 & Stoughton - \$29,655.49
United Way of East Central Iowa 2014 United Way Match \$3,148.00	21552	12/11/14	\$3,148.00	Foundation	5553 2014 United Way Match
United Way of Eastern New Mexico, Inc. 2014 United Way Match \$6,508.06	21483	12/11/14	\$6,508.06	Foundation	5554 2014 United Way Match
United Way of El Paso County 2014 United Way Match \$72.00	21479	12/11/14	\$72.00	Foundation	5555 2014 United Way Match
United Way of Elkhart County 2014 United Way Match \$4,447.90	21545	12/11/14	\$4,447.90	Foundation	5556 2014 United Way Match
United Way of Enid & NW OK 2014 United Way Match \$48.00	21550	12/11/14	\$48.00	Foundation	5557 2014 United Way Match
United Way of Erie County 2014 United Way Match \$3,595.00	21551	12/11/14	\$3,595.00	Foundation	5558 2014 United Way Match
The United Way of Fort McMurray 2014 United Way Match \$1,980.00	21537	12/19/14	\$1,980.00	Foundation	5618 2014 United Way Match
United Way of Franklin County 2014 United Way Match \$816.00	21546	12/11/14	\$816.00	Foundation	5559 2014 United Way Match

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Greater McHenry County 2014 United Way Match \$1,000 08	21443	12/11/14	\$1,000 08	Foundation	5560 2014 United Way Match
United Way of Greater Cincinnati Address the issues of high unemployment and low educational attainment \$60 000 00	20976	02/04/14	\$5,000 00	Prior Year Payments - Foundation	2nd payment - Address the issues of high unemployment and low educational attainment in Grant County Kentucky
United Way of Greater Cincinnati - Northern Kentucky 2014 United Way Match Boone & Kenton - \$23 766 36 Dearborn - \$586 00 Butler Clermont, Hamilton, Warren - \$5,679 60 \$30,031 96	21468	12/11/14	\$30,031 96	Foundation	5561 2014 United Way Match - Boone & Keaton - \$23,766 36 - Dearborn - \$586 00 - Butler, Clermont, Hamilton & Warren - \$5 679 60
United Way of Greater Cleveland 2014 United Way Match \$620 00	21484	12/11/14	\$620 00	Foundation	5562 2014 United Way Match
United Way of Greater Dayton Area 2014 United Way Match \$5,088 00	21485	12/11/14	\$5,088 00	Foundation	5563 2014 United Way Match
United Way of Greater Houston 2013 Additional United Way Match \$240 00	21252	02/04/14	\$240 00	Foundation	5443 Additional 2013 United Way Match
2014 United Way Match 1 Harris - \$648 00 2 Houston - \$ 1231 17 \$1 879 17	21501	12/11/14	\$1,879 17	Foundation	5564 2014 United Way Match - Harris - \$648 00 & Houston \$1,231 17
Total United Way of Greater Houston (2 items)			\$2,119 17		
United Way of Greater Kansas City 2014 United Way Match \$6 910 20	21513	12/11/14	\$6 910 20	Foundation	5565 2014 United Way Match
2014 United Way Match \$0 00	21567	12/12/14	\$6,910 20	Foundation	5571 2014 United Way Match
2014 United Way Match \$0 00	21567	12/18/14	(\$6,910 20)	Foundation	VOID - 5571 Void of Payment [13019] for \$6,910 20, paid on 12/12/2014
Total United Way of Greater Kansas City (3 items)			\$6,910 20		
United Way of Greater Lafayette & Tippecanoe County 2014 United Way Match \$600 00	21464	12/11/14	\$600 00	Foundation	5566 2014 United Way Match
United Way of Greater Los Angeles					

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
2014 United Way Match \$264 00	21436	12/11/14	\$264 00	Foundation	5567 2014 United Way Match
United Way of Greater Richmond/Petersburg 2014 United Way Match \$624 00	21505	12/11/14	\$624 00	Foundation	5568 2014 United Way Match
United Way of Greater St. Louis 2014 United Way Match \$480 00	21476	12/11/14	\$480 00	Foundation	5569 2014 United Way Match
United Way of Greenville County 2014 United Way Match \$600 00	21493	12/11/14	\$600 00	Foundation	5570 2014 United Way Match
United Way of Hancock County 2014 United Way Match \$240 00	21488	12/11/14	\$240 00	Foundation	5572 2014 United Way Match
United Way of Jay County 2014 United Way Match \$100 08	21450	12/11/14	\$100 08	Foundation	5573 2014 United Way Match
United Way of Jefferson and N. Walworth Counties 2014 United Way Match \$24 00	21510	12/11/14	\$24 00	Foundation	5574 2014 United Way Match
United Way of Johnson County 2014 United Way Match \$128,680 18	21453	12/11/14	\$128,680 18	Foundation	EF1 2014 United Way Match
United Way of Lebanon County 2014 United Way Match \$1,008 00	21491	12/11/14	\$1,008 00	Foundation	5575 2014 United Way Match
United Way of Lethbridge & Southern Alberta 2014 United Way Match \$264 65	21539	12/19/14	\$264 65	Foundation	5621 2014 United Way Match
United Way of Loudon County 2014 United Way Match \$480 00	21499	12/11/14	\$480 00	Foundation	5576 2014 United Way Match
United Way of Madison County 2014 United Way Match \$1,288 00	21458	12/11/14	\$1,288 00	Foundation	5577 2014 United Way Match
United Way of Marion County 2014 United Way Match \$3 000 00	21486	12/11/14	\$3 000 00	Foundation	5578 2014 United Way Match
United Way of Massachusetts 2014 United Way Match \$120 00	21471	12/11/14	\$120 00	Foundation	5579 2014 United Way Match
United Way of Metropolitan Atlanta 2014 United Way Match \$1,600 00	21439	12/11/14	\$1,600 00	Foundation	5580 2014 United Way Match

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Metropolitan Chicago 2014 United Way Match 1 Cook - \$2,160 00 2 Chicago 711/712 - \$13,724 56 \$15,884 56	21442	12/11/14	\$15,884 56	Foundation	5581 2014 United Way Match - Cook - \$2,160 00 & Chicago 711/712 - \$13,724 56
United Way of Metropolitan Dallas, Inc. 2014 United Way Match \$16 132 00	21534	12/11/14	\$16,132 00	Foundation	5582 2014 United Way Match
United Way of Metropolitan Nashville 2014 United Way Match \$82,048 00	21497	12/11/14	\$82 048 00	Foundation	5583 2014 United Way Match
United Way of Northwest Arkansas 2014 United Way Match \$24 00	21435	12/11/14	\$24 00	Foundation	5584 2014 United Way Match
United Way of Perry County 2014 United Way Match \$168 00	21554	12/11/14	\$168 00	Foundation	5585 2014 United Way Match
United Way of Putnam County 2014 United Way Match \$30,515 70	21496	12/11/14	\$30,515 70	Foundation	5586 2014 United Way Match
United Way of Regina 2014 United Way Match \$340 00	21540	12/19/14	\$340 00	Foundation	5622 2014 United Way Match
United Way of Roanoke Valley 2014 United Way Match \$2 182 00	21504	12/11/14	\$2,182 00	Foundation	5587 2014 United Way Match
United Way of Rutherford & Cannon Counties 2014 United Way Match \$240 00	21500	12/11/14	\$240 00	Foundation	5588 2014 United Way Match
United Way of Saskatoon and Area 2014 United Way Match \$263 00	21541	12/12/14	\$263 00	Foundation	EF1 - 12/12/2014 2014 United Way Match
United Way of Scott County 2014 United Way Match \$3,686 00	21462	12/11/14	\$3 686 00	Foundation	5589 2014 United Way Match
United Way of Skagit County 2014 United Way Match \$120 00	21507	12/11/14	\$120 00	Foundation	5590 2014 United Way Match
United Way of South Central Indiana 2014 United Way Match \$1,300 00	21454	12/11/14	\$1 300 00	Foundation	5591 2014 United Way Match
United Way of South Hampton Roads 2014 United Way Match	21503	12/11/14	\$1 020 00	Foundation	5592

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
\$1,020 00					2014 United Way Match
United Way of Southern Chautauqua County 2014 United Way Match \$174,563 26	21549	12/11/14	\$174,563 26	Foundation	EFI 2014 United Way Match
United Way of Stokes County 2014 United Way Match \$500 00	21482	12/11/14	\$500 00	Foundation	5593 2014 United Way Match
United Way of SWMO & SEKS 2014 United Way Match \$1,550 00	21511	12/11/14	\$1,550 00	Foundation	5594 2014 United Way Match
United Way of Tarrant County 2014 United Way Match 1 Tarrant - \$1 632 00 2 Arlington - \$11 062 71 \$12,694 71	21502	12/11/14	\$12 694 71	Foundation	5595 2014 United Way Match - Tarrant - \$1,632 00 & Arlington - \$11 062 71
United Way of The Alberta Capital Region 2014 United Way Match \$5 631 03	21536	12/19/14	\$5,631 03	Foundation	5617 2014 United Way Match
United Way of the Columbia-Willamette 2014 United Way Match \$20 00	21490	12/11/14	\$20 00	Foundation	5596 2014 United Way Match
United Way of the Kearney Area 2014 United Way Match \$788 00	21521	12/11/14	\$788 00	Foundation	5597 2014 United Way Match
United Way of the Lower Mainland 2014 United Way Match \$4 648 70	21543	12/12/14	\$4,648 70	Foundation	EFI - 12/12/2014 2014 United Way Match
United Way of the Midlands 2014 United Way Match \$20,124 92	21517	12/11/14	\$20,124 92	Foundation	5598 2014 United Way Match
United Way of the Mid-South 2014 United Way Match De Soto & Marshall Counties - \$192 00 Shelby County - \$27 543 32 \$27 735 32	21477	12/11/14	\$27,735 32	Foundation	5599 2014 United Way Match - De Soto & Marshall - \$192 00 & Shelby - \$27,543 32
United Way of the Ozarks 2014 United Way Match \$1,681 60	21512	12/11/14	\$1,681 60	Foundation	5600 2014 United Way Match
United Way of the Piedmont 2014 United Way Match \$646 56	21494	12/11/14	\$646 56	Foundation	5601 2014 United Way Match
United Way of the Plains 2014 United Way Match \$1 686 00	21514	12/11/14	\$1 686 00	Foundation	5602 2014 United Way Match

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of the Quad Cities Area 2014 United Way Match \$2,056.00	21522	12/11/14	\$2,056.00	Foundation	5603 2014 United Way Match
United Way of the Wabash Valley 2014 United Way Match \$288.00	21465	12/11/14	\$288.00	Foundation	5604 2014 United Way Match
United Way of Thunder Bay 2014 United Way Match \$60.00	21538	12/19/14	\$60.00	Foundation	5620 2014 United Way Match
United Way of Whitewater Valley 2014 United Way Match \$462.00	21467	12/11/14	\$462.00	Foundation	5605 2014 United Way Match
United Way of Williamson County 2014 United Way Match \$4,000.08	21498	12/11/14	\$4,000.08	Foundation	5606 2014 United Way Match
United Way of Winnipeg 2014 United Way Match \$3,412.25	21542	12/19/14	\$3,412.25	Foundation	EFT 2014 United Way Match
United Way Serving St. Tammany 2014 United Way Match \$240.00	21470	12/11/14	\$240.00	Foundation	5607 2014 United Way Match
United Way Tar River Region 2014 United Way Match \$207,709.00	21481	12/11/14	\$207,709.00	Foundation	EFT 2014 United Way Match
University of Science & Technology Beijing GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$7,200.00	21299	05/05/14	\$7,200.00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr. Lyn Scholarship Funds - University of Science and Technology
University of St. Thomas Environmental Challenge grant recipient \$7,000.00	21267	03/05/14	\$7,000.00	Foundation	5452 Environmental Challenge grant recipient
Washington County Community Foundation 2014 United Way Match \$1,080.00	21466	12/11/14	\$1,080.00	Foundation	5608 2014 United Way Match
Willoughby School GlobalGiving Foundation Immersive Learning Environment (Sensory Suite) for students with a wide range of complex and profound physical, mental and life limiting disabilities \$113,000.00	21281	04/01/14	\$113,000.00	Foundation	EFT - 04/01/2014 Invoice ID GG-INV-2014-00807
Wuhan University of Technology_Auto & Power					

2014 Paid Program Grants - The Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No Ref No	Payment Date	Payment Amount	Payment Fund	Check Number Notes
GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds \$15,200 00	21289	05/01/14	\$15,200 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Wuhan University of Technology
Wuhan Women and Children Activity Center GlobalGiving Foundation Invoice ID GG-INV-2014-00820 - Environmental Challenge grant recipient \$10,000 00	21285	04/16/14	\$10,000 00	Foundation	EFT - 04/16/2014 Invoice ID GG-INV-2014-00820 - Environmental Challenge grant recipient
Wuxi Xishan Lezhu Social Work Office GlobalGiving Foundation Provide comprehensive capability development courses to 1200 migrant worker's children \$21,000 00	21557	12/15/14	\$21,000 00	Foundation	EFT - 12/15/2014 Wuxi Xishan Lezhu Social Work Office - Invoice ID GG-INV-2014-01105
Xi'an Jiao Tong University GlobalGiving Foundation 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds \$7,200 00	21293	05/01/14	\$7,200 00	Foundation	EFT - 05/05/2014 2014 China Strategic Education Initiative - Dr Lyn Scholarship Funds - Xi'an Jiao Tong University
Xiangyang City Association of Environment Protection Green Han River GlobalGiving Foundation Environmental Challenge grant recipient \$10,000 00	21256	03/05/14	\$10,000 00	Foundation	EFT - 03/05/2014 Environmental Challenge grant recipient - Xiang Yang City Association of Environment Protection
GlobalGiving Foundation Build a biogas state to dispose of sewage from livestock farming \$25,000 00	21385	09/04/14	\$25,000 00	Foundation	EFT Xiang Yang City Association of Environment Protection Green Han River - Build a biogas state to dispose of sewage from livestock farming
GlobalGiving Foundation Phase 2 Eco Water Project at Magang Primary school Replication of the Eco water system - Potable Water in Zhuji Middle school project in 2013 \$17,800 00	21419	11/12/14	\$17,800 00	Foundation	EFT - 11/12/2014 Xiangyang City Assoc of Environment Protection Grant
Total Xiangyang City Association of Environment Protection Green Han River (3 items)			\$52,800 00		
Youth Leadership Bartholomew County Columbus Service League Annual student leadership seminar to be held September 2014 \$1,000 00	21393	09/15/14	\$1,000 00	Foundation	5489 Youth Leadership Bartholomew County - Annual student leadership seminar
Grand Total			<u>\$7,868,859 03</u>		

The Cummins Foundation Inc.
35-6042373
Exhibit E
2014 Grant Summary - Unpaid Commitments
Balance as of 12/31/2014

The Cummins Foundation - 2014 Grant Summary - Unpaid Commitments	12/31/2014 Balance
Beacon Foundation	\$55,000
\$165,000 Grant	
United Way of Greater Cincinnati	\$15,000
\$60,000 Grant	
Aikya Seva Sanstha	\$10,000
\$10,000 Grant	
Matoshree Old Age Home - Environmental Challenge Grant Recipient	\$5,000
\$5,000 Grant	
Green Thumb - Environmental Challenge Grant Recipient	\$10,000
\$5,000 Grant	
Green Hills Group - Environmental Challenge Grant Recipient	\$7,000
\$7,000 Grant	
Jalbiradari - Environmental Challenge Grant Recipient	\$5,000
\$5,000 Grant	
Tree Public Foundation - Environmental Challenge Grant Recipient	\$2,000
\$2,000 Grant	
Shiv Durga Pratishthan - Environmental Challenge Grant Recipient	\$3,000
\$3,000 Grant	
Swayamsiddh a Apang and Samaj Vikas Kendra - Environmental Challenge Grant Recipient	\$2,000
\$2,000 Grant	
Apala Ghar - Environmental Challenge Grant Recipient	\$3,000
\$3,000 Grant	
Mahatma Shikshan Sanstha - Environmental Challenge Grant Recipient	\$2,000
\$2,000 Grant	
Save Pune Traffic Movement - Environmental Challenge Grant Recipient	\$1,000
\$1,000 Grant	
Cummins India Foundation - Environmental Challenge Grant Recipient	\$10,000
\$10,000 Grant	
Association ROI	\$20,000
\$30,000 Grant	
Bartholomew Consolidated School Foundation	\$25,250
\$50,500 Grant	

Global Business Coalition for Education	\$30,000
\$30,000 Grant	
IU Center of Art U Design	\$250,000
\$250,000 Grant	
Heritage Fund of Bartholomew County	\$60,000
\$90,000 Grant	
Mineral Point Unified School District	\$22,000
\$22,000 Grant	
Trustees of Indiana University	\$75,000
\$150,000 Grant	
United Way of Bartholomew County	\$1,296,074
\$1,296,074 Grant	
Heritage Fund of Bartholomew County	\$100,000
\$100,000 Grant	
Trident United Way	\$2,612
\$2,612 Grant	
Total	\$2,010,936

Realized Gain/Loss

01 DEC 14 - 31 DEC 14

Account number: 00000000000000000000

THE CUMMINS FOUNDATION, INC.

Page 1 of 1

◆ Realized Gain/Loss Summary

	Transaction Amount	Cost	Market	Translation	Total
Sales					
Fixed income					
Funds - corporate bond					
United States - USD	2,700,000.00	-2,681,513.30	18,486.70	0.00	18,486.70
Total funds - corporate bond	2,700,000.00	-2,681,513.30	18,486.70	0.00	18,486.70
Total fixed income	2,700,000.00	-2,681,513.30	18,486.70	0.00	18,486.70
Total sales	2,700,000.00	-2,681,513.30	18,486.70	0.00	18,486.70

Other Gain/Loss

Fixed income					
Funds - corporate bond					
United States - USD	21,464.96	0.00	21,464.96	0.00	21,464.96
Total funds - corporate bond	21,464.96	0.00	21,464.96	0.00	21,464.96
Total fixed income	21,464.96	0.00	21,464.96	0.00	21,464.96
Total other gain/loss	21,464.96	0.00	21,464.96	0.00	21,464.96
Total realized gain/loss	2,721,464.96	-2,681,513.30	39,951.66	0.00	39,951.66

Although this report has been prepared using information believed to be reliable, it may contain information provided by third parties or derived from third party information, and/or information that may have been obtained from, categorized or otherwise reported based upon client direction. The Northern Trust Company does not guarantee the accuracy, timeliness or completeness of any such information. The information included in this report is intended to assist clients with their financial reporting needs, but you must consult with your accountants, auditors and/or legal counsel to ensure your accounting and financial reporting complies with applicable laws, regulations and accounting guidance. The Northern Trust Company and its affiliates shall have no responsibility for the consequences of investment decisions made in reliance on information contained in this report.

IRS CIRCULAR 230 NOTICE To the extent that this message or any attachment concerns tax matters, it is not intended to be used and cannot be used by a taxpayer for the purpose of avoiding penalties that may be imposed by law. For more information about this notice, see <http://www.irs.gov/circular230>.

Northern Trust records impairment entries pursuant to client direction. Impairment entries recorded to satisfy financial accounting and reporting requirements may not be suitable for tax or for other regulatory reporting purposes. Determining appropriate impairment adjustments is the responsibility of the client.

Northern Trust

*Generated by Northern Trust from daily data on 28 Jan 15

2014 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

☐ Corrected ☐ 2nd TIN notice

2014 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
623.69	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025								
3136A2YE7	01/03/2014	01/15/2013	623.69	635.19		0.00	-11.50	0.00	0.00
75.87	FNMA 1.0738 11-25-2016								
3136ABPT4	01/03/2014	09/24/2013	75.87	75.94		0.00	-0.07	0.00	0.00
12393.69	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	01/03/2014	04/15/2013	12,393.69	12,393.69		0.00	0.00	0.00	0.00
2022.63	FNMA FNA SER 2013-M9 CL ASQ1 9.821% DUE 25 MAR 2018								
3136AEYF8	01/03/2014	06/12/2013	2,022.63	2,022.63		0.00	0.00	0.00	0.00
4204.36	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025								
3136AHVT4	01/03/2014	12/09/2013	4,204.36	4,275.31		0.00	-70.95	0.00	0.00
1147.78	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028								
3137A4BK2	01/03/2014	07/03/2013	1,147.78	1,163.92		0.00	-16.14	0.00	0.00
3656.49	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	01/03/2014	09/25/2013	3,656.49	3,727.33		0.00	-70.84	0.00	0.00
1398.17	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	01/03/2014	01/04/2013	1,398.17	1,487.30		0.00	-89.13	0.00	0.00
13225.19	AMERICREDIT AUTOMOBILE RECEIVABLES 70999997854% 08 DEC 2015								
03061UAB9	01/08/2014	09/04/2013	13,225.19	13,231.39		0.00	-6.20	0.00	0.00

This is important tax information and is being furnished to you.

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Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
50448.13	GE COML MTG CORP 2004-C2 COML MTG PASSTHRU CTF CL A-4								
368280HJ8	4.89300012589% 01/10/2014	09/06/2013	50,448.13	50,842.25		0.00	-394.12	0.00	0.00
11283.4	GREENWICH CAP COML FDG CORP 2004-GG1 COML MTG PASSTHRU CTF CL A-7 10 JUN 2036								
396789FT1	01/10/2014	08/28/2013	11,283.40	11,410.34		0.00	-126.94	0.00	0.00
165000.0	ALLY MASTER OWNER TR 2011-1 ASSET BACKED CTF CL A-1 15 JAN 2016								
02005ABB3	01/15/2014 Various		165,000.00	165,393.75		0.00	-393.75	0.00	0.00
252.33	CREDIT SUISSE FIRST BOSTON MTG SECS CORP 2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	01/17/2014 11/20/2013		252.33	260.57		0.00	-8.24	0.00	0.00
3041.03	J P MORGAN CHASE COML MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638UAA4	01/17/2014 05/21/2013		3,041.03	3,041.51		0.00	-0.48	0.00	0.00
1738.21	MORGAN STANLEY BK AMER MERRILL LYNCH TR COML MTG PASSTHRU CTF A-1 825% 15 MAY								
61762DAS0	01/17/2014 04/15/2013		1,738.21	1,738.21		0.00	0.00	0.00	0.00
30000.0	BB&T CORP SR 3 2% DUE 03-15-2016								
05531FAG8	01/23/2014 06/10/2013		31,395.30	31,314.14		0.00	81.16	0.00	0.00
40000.0	GOLDMAN SACHS GROUP INC FOR FUTURE EQUITGS 5 75 10/01/16 5 75 10-1-16 BEO								
38141GER1	01/23/2014 09/06/2013		44,591.20	43,849.02		0.00	742.18	0.00	0.00
230000.0	GOLDMAN SACHS GROUP INC FRN 30/04/18 USD 2000 DUE 04-30-2018 REG								
38141GVK7	01/23/2014 Various		233,080.39	230,525.96		0.00	2,554.43	0.00	0.00

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CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
85000 0	SIMON PPTY GROUP L P NT 2 2 DUE 02-01-2019								
828807CQ8	01/23/2014	01/13/2014	84,735.65	84,839.63		0.00	-103.98	0.00	0.00
85000 0	TOTAL CAP INTL 2 125% DUE 10 JAN 2019								
89153VAK5	01/23/2014	01/08/2014	85,418.20	84,667.84		0.00	750.36	0.00	0.00
2311.18	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018								
3137ATS89	01/25/2014	09/27/2013	2,311.18	2,306.94		0.00	4.24	0.00	0.00
2345.79	FEDERAL HOME LN MTG CORP TRANCHE 00812.727% 25 DEC 2016								
3137B03V4	01/25/2014	03/01/2013	2,345.79	2,345.75		0.00	0.04	0.00	0.00
26139.92	HOME LN TR 2006-H14 5 44% 25 SEP 2036								
43718MAC8	01/25/2014	02/07/2013	26,139.92	26,979.83		0.00	-839.91	0.00	0.00
48337.78	SLM STUDENT LN TR 2004-5 STUDENT LN BKD NT A-4 VAR 25 JAN 2021								
78442GLV6	01/25/2014	11/18/2013	48,337.78	48,273.58		0.00	64.20	0.00	0.00
52416.53	SLM STUDENT LN TR 2006-4 STUDENT LN BKD NT CL A-4 25 APR 2023								
78442GSJ6	01/25/2014	10/08/2013	52,416.53	52,404.25		0.00	12.28	0.00	0.00
40000 0	AT&T INC 2.375 DUE 11-27-2018 11-27-2018								
00206RCA8	01/27/2014	11/20/2013	40,256.00	40,000.00		0.00	256.00	0.00	0.00
335000 0	UNITED STATES TREAS NTS DTD 07/31/2012								
912828TF7	01/29/2014	Various	335,052.34	334,931.60		0.00	120.74	0.00	0.00

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2014 Tax Information Statement

Page 10 of 89
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CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
670 47	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	01/30/2014	11/01/2013	670.47	676.13		0.00	-5.66	0.00	0.00
10000 0	STATOIL ASA 1 95% 08 NOV 2018								
85771PAR3	01/30/2014	11/05/2013	10,046.60	9,986.45		0.00	60.15	0.00	0.00
5021 82	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	02/04/2014	01/27/2014	5,021.82	5,087.73		0.00	-65.91	0.00	0.00
6420.08	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021								
3136A3DS7	02/04/2014	01/27/2014	6,420.08	6,506.35		0.00	-86.27	0.00	0.00
2950.16	FNMA 2011-143 CL M 1 75% 25 JAN 2022								
3136A3EG2	02/04/2014	01/24/2014	2,950.16	2,981.51		0.00	-31.35	0.00	0.00
76 26	FNMA 1.0738 11-25-2016								
3136ABPT4	02/04/2014	09/24/2013	76.26	76.33		0.00	-0.07	0.00	0.00
126 38	FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB2016								
3136ACGF2	02/04/2014	01/09/2014	126.38	127.22		0.00	-0.84	0.00	0.00
188 9	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	02/04/2014	04/15/2013	188.90	188.90		0.00	0.00	0.00	0.00
2032 68	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	02/04/2014	06/12/2013	2,032.68	2,032.68		0.00	0.00	0.00	0.00

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Page 11 of 89
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COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3472 61	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025								
3136AHVT4	02/04/2014	12/09/2013	3,472.61	3,531.21		0.00	-58.60	0.00	0.00
1247 32	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	02/04/2014	07/03/2013	1,247.32	1,264.86		0.00	-17.54	0.00	0.00
4067 75	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	02/04/2014	09/25/2013	4,067.75	4,146.56		0.00	-78.81	0.00	0.00
3053 74	J P MORGAN CHASE COML MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638UAA4	7053% 15 OCT 2045								
	02/04/2014	05/21/2013	3,053.74	3,054.22		0.00	-0.48	0.00	0.00
250000 0	UNITED STATES TREAS NTS DTD 07/31/2012								
912828TF7	02/05/2014	Various	250,039.06	249,980.88		0.00	58.18	0.00	0.00
40000 0	AT&T INC 2.375 DUE 11-27-2018 11-27-2018								
00206RCA8	02/06/2014	11/20/2013	40,369.20	40,000.00		0.00	369.20	0.00	0.00
35000 0	AMERICAN INTL GROUP INC 3 8% 22 MAR 2017								
026874CS4	02/07/2014	02/20/2013	37,573.55	37,214.42		0.00	359.13	0.00	0.00
75000 0	WELLS FARGO & CO 5 125% DUE 09-15-2016 09-15-2016 BEO								
949746JE2	02/07/2014	02/20/2013	82,794.75	81,935.72		0.00	859.03	0.00	0.00
14336.79	AMERICREDIT AUTOMOBILE RECEIVABLES 70999997854% 08 DEC 2015								
03061UAB9	02/08/2014	09/04/2013	14,336.79	14,343.51		0.00	-6.72	0.00	0.00

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2014 Tax Information Statement

Page 12 of 89
Ref: AET

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P O BOX 803878
CHICAGO, IL 60680

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

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40000 0	AFLAC INC 2 65% 15 FEB 2017								
001055AH5	02/10/2014	05/01/2013	41,798.80	41,821.91		0.00	-23.11	0.00	0.00
45000 0	STATOIL ASA 1.95% 08 NOV 2018								
85771PAR3	02/11/2014	11/05/2013	45,241.20	44,939.40		0.00	301.80	0.00	0.00
9143 22	GREENWICH CAP COM1 FDG CORP 2004-GG1 COM1 MTG PASSTHRU CTF CL A-7 10 JUN 2036								
396789FT1	02/12/2014	08/28/2013	9,143.22	9,246.08		0.00	-102.86	0.00	0.00
105000 0	ALLY FINL INC 5.5 DUE 02-15-2017								
02005NAL4	02/13/2014	10/28/2013	113,925.00	112,932.74		0.00	992.26	0.00	0.00
342 24	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COM1 MTG PASSTHRU CTF CL A-4								
225458DM7	02/18/2014	11/20/2013	342.24	353.42		0.00	-11.18	0.00	0.00
1744.69	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM1 MTG PASSTHRU CTF A-1.825% 15 MAY								
61762DAS0	02/18/2014	04/15/2013	1,744.69	1,744.69		0.00	0.00	0.00	0.00
2322 82	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATS89	02/25/2014	09/27/2013	2,322.82	2,318.56		0.00	4.26	0.00	0.00
2352 75	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	02/25/2014	03/01/2013	2,352.75	2,352.71		0.00	0.04	0.00	0.00
4497 96	INDYMAC HOME EQUITY LN TR .3719% 28 SEP 2036								
45661AAC6	02/28/2014	02/06/2014	4,497.96	4,323.66		0.00	174.30	0.00	0.00

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2974 27	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1.6% 29 OCT 2020								
62888XAA2	02/28/2014	11/01/2013	2,974.27	2,999.37		0.00	-25.10	0.00	0.00
7406 77	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	03/04/2014	01/27/2014	7,406.77	7,503.98		0.00	-97.21	0.00	0.00
6522.54	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021								
3136A3DS7	03/04/2014	01/27/2014	6,522.54	6,610.19		0.00	-87.65	0.00	0.00
2736 48	FNMA 2011-143 CL M 1 75% 25 JAN 2022								
3136A3EG2	03/04/2014	01/24/2014	2,736.48	2,765.55		0.00	-29.07	0.00	0.00
107 05	FNMA 1.0738 11-25-2016								
3136ABPT4	03/04/2014	09/24/2013	107.05	107.14		0.00	-0.09	0.00	0.00
166 31	FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB2016								
3136ACGF2	03/04/2014	01/09/2014	166.31	167.41		0.00	-1.10	0.00	0.00
254.5	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	03/04/2014	04/15/2013	254.50	254.50		0.00	0.00	0.00	0.00
2732.71	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	03/04/2014	06/12/2013	2,732.71	2,732.70		0.00	0.01	0.00	0.00
109 87	FNMA REMIC TR 2013-M13 CL-A 1.60000002384% 25 MAY 2018								
3136AGQM7	03/04/2014	02/18/2014	109.87	110.48		0.00	-0.61	0.00	0.00

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3388 81	FNMA SR 2013-136 CL KA 2 0% 25 MAY 2025								
3136AHVT4	03/04/2014	12/09/2013	3,388.81	3,446.00		0.00	-57.19	0.00	0.00
2700 89	FNMA SR 2014-M2 CL ASQ1 342% DUE 25 MAR 2015								
3136AJDM5	03/04/2014	02/12/2014	2,700.89	2,700.88		0.00	0.01	0.00	0.00
1182 15	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	03/04/2014	07/03/2013	1,182.15	1,198.77		0.00	-16.62	0.00	0.00
3737 27	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	03/04/2014	09/25/2013	3,737.27	3,809.68		0.00	-72.41	0.00	0.00
3969 15	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1 75% 15 NOV 2029								
3137B85D5	03/04/2014	02/24/2014	3,969.15	3,990.86		0.00	-21.71	0.00	0.00
13980 59	AMERICREDIT AUTOMOBILE RECEIVABLES 70999997854% 08 DEC 2015								
03061UAB9	03/08/2014	Various	13,980.59	13,988.07		0.00	-7.48	0.00	0.00
667.86	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4 978% 10 MAY 2043								
36828QMC7	03/10/2014	02/05/2014	667.86	693.60		0.00	-25.74	0.00	0.00
765000 0	UNITED STATES TREAS NTS DTD 07/31/2012								
912828TF7	03/10/2014	Various	765,119.53	764,984.22		0.00	135.31	0.00	0.00
165000 0	UNITED STATES TREAS NTS DTD 12/31/2012								
912828UD0	03/10/2014	10/30/2013	164,993.55	164,959.81		0.00	33.74	0.00	0.00

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Page 15 of 89
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175000.0	UNITED STATES TREAS NTS DTD 04/30/2013								
912828UY4	03/12/2014	02/13/2014	174,952.15	174,923.02		0.00	29.13	0.00	0.00
680 64	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COM1 MTG PASSTHRU CTF CL A-4								
225458DM7	03/17/2014	11/20/2013	680.64	702.87		0.00	-22.23	0.00	0.00
3940 47	J.P. MORGAN CHASE COM1 MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638UAA4	03/17/2014	05/21/2013	3,940.47	3,941.09		0.00	-0.62	0.00	0.00
717 82	LBUBS COM1 MTG TR 2005-C5 COM1 MTG PASSTHRU CTF A-4 4.954% 15 SEP 2030								
52108H6W9	03/17/2014	02/28/2014	717.82	748.50		0.00	-30.68	0.00	0.00
2209 42	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM1 MTG PASSTHRU CTF A-1.825% 15 MAY								
61762DAS0	03/17/2014	04/15/2013	2,209.42	2,209.42		0.00	0.00	0.00	0.00
120000 0	CATERPILLAR FINL SVCS CORP 1.0% 25 NOV 2016								
14912L5W7	03/21/2014	11/19/2013	120,068.40	119,915.78		0.00	152.62	0.00	0.00
30000 0	CATERPILLAR FINL SVCS CORP MEDIUM TERM N1 0% 03 MAR 2017								
14912L5Z0	03/21/2014	02/26/2014	29,855.70	29,982.30		0.00	-126.60	0.00	0.00
3262.88	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018								
3137ATSB9	03/25/2014	09/27/2013	3,262.88	3,256.89		0.00	5.99	0.00	0.00
1504 82	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	03/25/2014	02/21/2014	1,504.82	1,398.55		0.00	106.27	0.00	0.00

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130000 0	BK AMER N A CHARLOTTE N C MEDIUM 1.125% 14 NOV 2016								
06050TLR1	03/27/2014	11/06/2013	129,738.70	129,908.65		0.00	-169.95	0.00	0.00
125000 0	BANK AMER CORP 3 875% DUE 03-22-2017								
06051GEQ8	03/27/2014	02/18/2014	133,293.75	133,852.74		0.00	-558.99	0.00	0.00
953.14	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	03/27/2014	11/01/2013	953.14	961.18		0.00	-8.04	0.00	0.00
2468 67	INDYMAC HOME EQUITY LN TR 3719% 28 SEP 2036								
45661AAC6	03/28/2014	02/06/2014	2,468.67	2,373.01		0.00	95.66	0.00	0.00
2543.8	FNMA TRANCHE 418% SER 2014-M3 CL ASQ1 25 OCT 2014								
3136AJKY1	04/01/2014	03/07/2014	2,543.80	2,543.79		0.00	0.01	0.00	0.00
7521 74	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	04/02/2014	01/27/2014	7,521.74	7,620.46		0.00	-98.72	0.00	0.00
6848.84	FNMA SR 2011-143 CL AC 1 75% DUE 25 APR 2021								
3136A3DS7	04/02/2014	01/27/2014	6,848.84	6,940.87		0.00	-92.03	0.00	0.00
2845.45	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	04/02/2014	01/24/2014	2,845.45	2,875.68		0.00	-30.23	0.00	0.00
1014.33	FNMA 1.0738 11-25-2016								
3136ABPT4	04/02/2014	09/24/2013	1,014.33	1,015.20		0.00	-0.87	0.00	0.00

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127.78	FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB2016								
3136ACGF2	04/02/2014	01/09/2014	127.78	128.63		0.00	-0.85	0.00	0.00
3607 32	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	04/02/2014	04/15/2013	3,607.32	3,607.32		0.00	0.00	0.00	0.00
39682 63	FNMA FNA SER 2013-M9 CL ASQ1 .9821% DUE 25 MAR 2018								
3136AEYF8	04/02/2014	06/12/2013	39,682.63	39,682.55		0.00	0.08	0.00	0.00
81.7	FNMA REMIC TR 2013-M13 CL-A 1.600000002384% 25 MAY 2018								
3136AGQM7	04/02/2014	02/18/2014	81.70	82.15		0.00	-0.45	0.00	0.00
3855.23	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025								
3136AHVT4	04/02/2014	12/09/2013	3,855.23	3,920.29		0.00	-65.06	0.00	0.00
1967 21	FNMA SR 2014-M2 CL ASQ1 .342% DUE 25 MAR 2015								
3136AJDM5	04/02/2014	02/12/2014	1,967.21	1,967.20		0.00	0.01	0.00	0.00
940.04	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028								
3137A4BK2	04/02/2014	07/03/2013	940.04	953.26		0.00	-13.22	0.00	0.00
4011.45	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020								
3137ABWY0	04/02/2014	09/25/2013	4,011.45	4,089.17		0.00	-77.72	0.00	0.00
4993 11	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	04/02/2014	02/24/2014	4,993.11	5,020.42		0.00	-27.31	0.00	0.00

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30000 0	CMS ENERGY CORP 6 875% 15 DEC 2015								
125896AZ3	04/04/2014	08/26/2013	33,045.95	32,411.56		0.00	634.39	0.00	0.00
13790 58	AMERICREDIT AUTOMOBILE RECEIVABLES 70999997854% 08 DEC 2015								
03061UAB9	04/08/2014	10/03/2013	13,790.58	13,799.20		0.00	-8.62	0.00	0.00
598 84	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4 978%								
36828QMC7	10 MAY 2043 04/10/2014	02/05/2014	598.84	621.88		0.00	-23.04	0.00	0.00
26 09	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4								
46625YJF1	04/12/2014	02/14/2014	26.09	26.95		0.00	-0.86	0.00	0.00
383.73	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	04/12/2014	03/26/2014	383.73	406.75		0.00	-23.02	0.00	0.00
386 47	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	04/17/2014	11/20/2013	386.47	399.09		0.00	-12.62	0.00	0.00
3083 03	J P MORGAN CHASE COML MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638UAA4	04/17/2014	05/21/2013	3,083.03	3,083.51		0.00	-0.48	0.00	0.00
2350.93	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018								
3137ATSB9	04/25/2014	09/27/2013	2,350.93	2,346.61		0.00	4.32	0.00	0.00
1332 19	GMACM HOME EQUITY LN TR .45375% 25 APR 2033								
361856CK1	04/25/2014	03/25/2014	1,332.19	1,267.56		0.00	64.63	0.00	0.00

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3650.53	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	04/25/2014	04/01/2014	3,650.53	3,611.74		0.00	38.79	0.00	0.00
1200.72	MSSC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	04/25/2014	02/21/2014	1,200.72	1,115.93		0.00	84.79	0.00	0.00
47343 57	SLM STUDENT LN TR 2004-5 STUDENT LN BKD NT A-4 VAR 25 JAN 2021								
78442GLV6	04/25/2014	11/18/2013	47,343.57	47,280.69		0.00	62.88	0.00	0.00
55956 4	SLM STUDENT LN TR 2006-4 STUDENT LN BKD NT CL A-4 25 APR 2023								
78442GSJ6	04/25/2014	10/08/2013	55,956.40	55,943.29		0.00	13.11	0.00	0.00
3701.4	INDYMAC HOME EQUITY LN TR 3719% 28 SEP 2036								
45661AAC6	04/28/2014	02/06/2014	3,701.40	3,557.97		0.00	143.43	0.00	0.00
2456.33	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	04/29/2014	11/01/2013	2,456.33	2,477.06		0.00	-20.73	0.00	0.00
4935 12	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021								
3136A3AV3	05/02/2014	01/27/2014	4,935.12	4,999.89		0.00	-64.77	0.00	0.00
7241 19	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021								
3136A3DS7	05/02/2014	01/27/2014	7,241.19	7,338.49		0.00	-97.30	0.00	0.00
2785 79	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	05/02/2014	01/24/2014	2,785.79	2,815.39		0.00	-29.60	0.00	0.00

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AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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86.53	FNMA 1 0738	11-25-2016							
3136ABPT4	05/02/2014	09/24/2013	86.53	86.60		0.00	-0.07	0.00	0.00
141 46	FNMA SER 2013-M3 CL ASQ2	1 083331% 25 FEB2016							
3136ACGF2	05/02/2014	01/09/2014	141.46	142.40		0.00	-0.94	0.00	0.00
2295.84	FNMA FNA SER 2013-M9 CL ASQ1	9821% DUE 25 MAR 2018							
3136AEYF8	05/02/2014	06/12/2013	2,295.84	2,295.84		0.00	0.00	0.00	0.00
91 64	FNMA REMIC TR 2013-M13 CL-A	1 600000002384% 25 MAY 2018							
3136AGQM7	05/02/2014	02/18/2014	91.64	92.14		0.00	-0.50	0.00	0.00
3755 52	FNMA SR 2013-136 CL KA	2 0% 25 MAY 2025							
3136AHVT4	05/02/2014	12/09/2013	3,755.52	3,818.89		0.00	-63.37	0.00	0.00
2224 86	FNMA SR 2014-M2 CL ASQ1	342% DUE 25 MAR 2015							
3136AJDM5	05/02/2014	02/12/2014	2,224.86	2,224.85		0.00	0.01	0.00	0.00
68171.66	FNMA TRANCHE 418% SER	2014-M3 CL ASQ1 25 OCT 2014							
3136AJKY1	05/02/2014	03/07/2014	68,171.66	68,171.31		0.00	0.35	0.00	0.00
1206 95	FEDERAL HOME LN MTG CORP	SER 3768 CL DE 2 25% DUE 15 NOV 2028							
3137A4BK2	05/02/2014	07/03/2013	1,206.95	1,223.92		0.00	-16.97	0.00	0.00
3007 25	FEDERAL HOME LN MTG CORP	SER 3836 CL MC 2 0% 15 MAY 2020							
3137A8WY0	05/02/2014	09/25/2013	3,007.25	3,065.52		0.00	-58.27	0.00	0.00

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2014 Tax Information Statement

Page 21 of 89
Ref: AET

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4035 3	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1 75% 15 NOV 2029								
3137B85D5	05/02/2014	02/24/2014	4,035.30	4,057.37		0.00	-22.07	0.00	0.00
582 98	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4.978% 10 MAY 2043								
36828QMC7	05/10/2014	02/05/2014	582.98	605.38		0.00	-22.40	0.00	0.00
1379.72	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	05/12/2014	03/26/2014	1,379.72	1,462.50		0.00	-82.78	0.00	0.00
185000 0	BANK AMER CORP 2 65% DUE 04-01-2019								
06051GFD6	05/14/2014	Various	187,101.60	185,060.76		0.00	2,040.84	0.00	0.00
3722 95	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	05/16/2014	11/20/2013	3,722.95	3,844.53		0.00	-121.58	0.00	0.00
3386 3	J P MORGAN CHASE COML MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638UAA4	05/16/2014	05/21/2013	3,386.30	3,386.83		0.00	-0.53	0.00	0.00
676 61	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4 954% 15 SEP 2030								
52108H6W9	05/16/2014	02/28/2014	676.61	705.52		0.00	-28.91	0.00	0.00
4976 58	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1	05/20/2014	04/15/2014	4,976.58	5,159.31		0.00	-182.73	0.00	0.00
2671.35	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATSB9	05/25/2014	09/27/2013	2,671.35	2,666.45		0.00	4.90	0.00	0.00

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Page 22 of 89
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1284 5	GMACM HOME EQUITY LN TR .45375% 25 APR 2033								
361856CK1	05/25/2014	03/25/2014	1,284.50	1,222.18		0.00	62.32	0.00	0.00
3376 18	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	05/25/2014	04/01/2014	3,376.18	3,340.31		0.00	35.87	0.00	0.00
1615.26	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	05/25/2014	02/21/2014	1,615.26	1,501.19		0.00	114.07	0.00	0.00
2375.75	INDYMAC HOME EQUITY LN TR 3719% 28 SEP 2036								
45661AAC6	05/28/2014	02/06/2014	2,375.75	2,283.69		0.00	92.06	0.00	0.00
4459 42	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	05/29/2014	11/01/2013	4,459.42	4,497.05		0.00	-37.63	0.00	0.00
5502.3	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	06/03/2014	01/27/2014	5,502.30	5,574.52		0.00	-72.22	0.00	0.00
7095.83	FNMA SR 2011-143 CL AC 1 75% DUE 25 APR 2021								
3136A3DS7	06/03/2014	01/27/2014	7,095.83	7,191.18		0.00	-95.35	0.00	0.00
2594.96	FNMA 2011-143 CL M 1 75% 25 JAN 2022								
3136A3EG2	06/03/2014	01/24/2014	2,594.96	2,622.53		0.00	-27.57	0.00	0.00
1068.44	FNMA 1 0738 11-25-2016								
3136ABPT4	06/03/2014	09/24/2013	1,068.44	1,069.36		0.00	-0.92	0.00	0.00

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Page 23 of 89
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129.07	FNMA SER 2013-M3 CL ASQ2 1 08331% 25 FEB2016								
3136ACGF2	06/03/2014	01/09/2014	129.07	129.93		0.00	-0.86	0.00	0.00
2078 02	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	06/03/2014	06/12/2013	2,078.02	2,078.02		0.00	0.00	0.00	0.00
82.54	FNMA REMIC TR 2013-M13 CL-A 1.60000002384% 25 MAY 2018								
3136AGQM7	06/03/2014	02/18/2014	82.54	82.99		0.00	-0.45	0.00	0.00
4618.77	FNMA SR 2013-136 CL KA 2 0% 25 MAY 2025								
3136AHVT4	06/03/2014	12/09/2013	4,618.77	4,696.71		0.00	-77.94	0.00	0.00
198107 04	FNMA SR 2014-M2 CL ASQ1 .342% DUE 25 MAR 2015								
3136AJDM5	06/03/2014	02/12/2014	198,107.04	198,106.23		0.00	0.81	0.00	0.00
12903.82	FNMA REMIC TR 2014-M2 CL-ASQ2 4779998522% 25 SEP 2015								
3136AJDN3	06/03/2014	02/12/2014	12,903.82	12,903.69		0.00	0.13	0.00	0.00
58028 56	FNMA TRANCHE 418% SER 2014-M3 CL ASQ1 25 OCT 2014								
3136AJKY1	06/03/2014	03/07/2014	58,028.56	58,028.27		0.00	0.29	0.00	0.00
1214 26	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	06/03/2014	07/03/2013	1,214.26	1,231.34		0.00	-17.08	0.00	0.00
3606 77	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	06/03/2014	09/25/2013	3,606.77	3,676.65		0.00	-69.88	0.00	0.00

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3709.49	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1 75% 15 NOV 2029								
3137B85D5	06/03/2014	02/24/2014	3,709.49	3,729.78		0.00	-20.29	0.00	0.00
542 75	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4 978% 10 MAY 2043								
36828QMC7	06/10/2014	02/05/2014	542.75	563.58		0.00	-20.83	0.00	0.00
384 38	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	06/12/2014	03/26/2014	384.38	407.44		0.00	-23.06	0.00	0.00
2218 27	FORD CR AUTO OWNER TR SER 2014-A CL A-2 48% 15 NOV 2016								
34530HAB6	06/15/2014	01/14/2014	2,218.27	2,218.05		0.00	0.22	0.00	0.00
4814.39	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	06/17/2014	11/20/2013	4,814.39	4,971.61		0.00	-157.22	0.00	0.00
626 89	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4 954% 15 SEP 2030								
52108H6W9	06/17/2014	02/28/2014	626.89	653.68		0.00	-26.79	0.00	0.00
5846.16	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1	06/20/2014	04/15/2014	5,846.16	6,060.82		0.00	-214.66	0.00	0.00
572 8	FNMA SER 2014-M5 CL FA FLT RT 25 JAN 2017								
3136AJ2A3	06/25/2014	05/09/2014	572.80	572.61		0.00	0.19	0.00	0.00
2376 21	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATSB9	06/25/2014	09/27/2013	2,376.21	2,371.85		0.00	4.36	0.00	0.00

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2014 Tax Information Statement

Page 25 of 89
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1667.4	GMACM HOME EQUITY LN TR	45375% 25 APR 2033							
361856CK1	06/25/2014	03/25/2014	1,667.40	1,586.51		0.00	80.89	0.00	0.00
2729 37	GREENPOINT MTG FDG TR	2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034							
39538WAG3	06/25/2014	04/01/2014	2,729.37	2,700.37		0.00	29.00	0.00	0.00
2210 58	MSCC HELOC TR SER	2007-1 CLA FLT RT 25 DEC 2031							
55352RAA6	06/25/2014	02/21/2014	2,210.58	2,054.47		0.00	156.11	0.00	0.00
485 2	NCUA GTD NTS TR	2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020							
62888XAA2	06/27/2014	11/01/2013	485.20	489.29		0.00	-4.09	0.00	0.00
4782 48	INDYMAC HOME EQUITY LN TR	3719% 28 SEP 2036							
45661AAC6	06/28/2014	02/06/2014	4,782.48	4,597.16		0.00	185.32	0.00	0.00
6626.65	FNMA SR 2011-145 CLA	1 75% DUE 25 JUN 2021							
3136A3AV3	07/02/2014	01/27/2014	6,626.65	6,713.62		0.00	-86.97	0.00	0.00
7554 6	FNMA SR 2011-143 CL AC	1.75% DUE 25 APR 2021							
3136A3DS7	07/02/2014	01/27/2014	7,554.60	7,656.11		0.00	-101.51	0.00	0.00
2972 81	FNMA 2011-143 CL M	1 75% 25 JAN 2022							
3136A3EG2	07/02/2014	01/24/2014	2,972.81	3,004.40		0.00	-31.59	0.00	0.00
742 48	FNMA 1 0738 11-25-2016								
3136ABPT4	07/02/2014	09/24/2013	742.48	743.12		0.00	-0.64	0.00	0.00

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Page 26 of 89
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142 72	FNMA SER 2013-M3 CL ASQ2 1 08331% 25 FEB2016								
3136ACGF2	07/02/2014	01/09/2014	142.72	143.67		0.00	-0.95	0.00	0.00
92 46	FNMA REMIC TR 2013-M13 CL-A 1 60000002384% 25 MAY 2018								
3136AGQM7	07/02/2014	02/18/2014	92.46	92.97		0.00	-0.51	0.00	0.00
3903.17	FNMA SR 2013-136 CL KA 2 0% 25 MAY 2025								
3136AHVT4	07/02/2014	12/09/2013	3,903.17	3,969.04		0.00	-65.87	0.00	0.00
4043 4	FNMA SER 2014-M5 CL FA FLT RT 25 JAN 2017								
3136AJ2A3	07/02/2014	05/09/2014	4,043.40	4,042.05		0.00	1.35	0.00	0.00
15168 36	FNMA REMIC TR 2014-M2 CL-ASQ2 47799998522% 25 SEP 2015								
3136AJDN3	07/02/2014	02/12/2014	15,168.36	15,168.20		0.00	0.16	0.00	0.00
43244.98	FNMA TRANCHE 418% SER 2014-M3 CL ASQ1 25 OCT 2014								
3136AJKY1	07/02/2014	03/07/2014	43,244.98	43,244.76		0.00	0.22	0.00	0.00
1539 47	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028								
3137A4BK2	07/02/2014	07/03/2013	1,539.47	1,561.12		0.00	-21.65	0.00	0.00
3196.1	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	07/02/2014	09/25/2013	3,196.10	3,258.02		0.00	-61.92	0.00	0.00
4600 23	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	07/02/2014	02/24/2014	4,600.23	4,625.39		0.00	-25.16	0.00	0.00

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588.0	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4.978% 10 MAY 2043	07/10/2014	588.00	610.53		0.00	-22.53	0.00	0.00
36828QMC7		02/05/2014							
361.46	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4	07/12/2014	361.46	373.43		0.00	-11.97	0.00	0.00
46625YJF1		02/14/2014							
418.95	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044	07/12/2014	418.95	444.09		0.00	-25.14	0.00	0.00
59022HNC2		03/26/2014							
15571 61	FORD CR AUTO OWNER TR SER 2014-A CL A-2 48% 15 NOV 2016	07/15/2014	15,571.61	15,570.06		0.00	1.55	0.00	0.00
34530HAB6		01/14/2014							
10255 19	HUNTINGTON AUTO TR 2012-2 CL A3 51 04-17-2017	07/15/2014	10,255.19	10,255.19		0.00	0.00	0.00	0.00
44614TAC6		01/29/2014							
1212.14	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4	07/17/2014	1,212.14	1,251.72		0.00	-39.58	0.00	0.00
225458DM7		11/20/2013							
1123.3	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG PASSTHRU CTF CL A-4	07/17/2014	1,123.30	1,173.76		0.00	-50.46	0.00	0.00
225470NK5		06/13/2014							
689 02	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4 954% 15 SEP 2030	07/17/2014	689.02	718.46		0.00	-29.44	0.00	0.00
52108H6W9		02/28/2014							
6087.08	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	07/20/2014	6,087.08	6,310.59		0.00	-223.51	0.00	0.00
38378P6A1		04/15/2014							

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1661 87	FNMA FLTGT SER 2014-M6 CL FA 25 DEC 2017								
3136AJD2	07/25/2014	06/12/2014	1,661.87	1,661.38		0.00	0.49	0.00	0.00
2695 98	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018								
3137ATSB9	07/25/2014	09/27/2013	2,695.98	2,691.03		0.00	4.95	0.00	0.00
2491.52	GMACM HOME EQUITY LN TR 45375% 25 APR 2033								
361856CK1	07/25/2014	03/25/2014	2,491.52	2,370.64		0.00	120.88	0.00	0.00
3588.57	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSTHRU CTF M-2 FLTGT 25 SEP 2034								
39538WAG3	07/25/2014	04/01/2014	3,588.57	3,550.44		0.00	38.13	0.00	0.00
1753 03	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	07/25/2014	02/21/2014	1,753.03	1,629.24		0.00	123.79	0.00	0.00
50393 34	SLM STUDENT LN TR 2004-5 STUDENT LN BKD NT A-4 VAR 25 JAN 2021								
78442GLV6	07/25/2014	11/18/2013	50,393.34	50,326.41		0.00	66.93	0.00	0.00
4672 39	SLM STUDENT LN TR 2006-4 STUDENT LN BKD NT CL A-4 25 APR 2023								
78442GSJ6	07/25/2014	10/08/2013	4,672.39	4,671.29		0.00	1.10	0.00	0.00
3391 32	INDYMAC HOME EQUITY LN TR 3719% 28 SEP 2036								
45651AAC6	07/28/2014	02/06/2014	3,391.32	3,259.91		0.00	131.41	0.00	0.00
500 83	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	07/29/2014	11/01/2013	500.83	505.06		0.00	-4.23	0.00	0.00

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THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number:
17-17862
XX-XXX2373

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

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220000.0	AMERICAN INTL GROUP INC 3.8% 22 MAR 2017								
026874CS4	07/31/2014	Various	234,379.20	232,843.24		0.00	1,535.96	0.00	0.00
7276 62	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021								
3136A3AV3	08/04/2014	01/27/2014	7,276.62	7,372.13		0.00	-95.51	0.00	0.00
6767 67	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021								
3136A3DS7	08/04/2014	01/27/2014	6,767.67	6,858.61		0.00	-90.94	0.00	0.00
2852 95	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	08/04/2014	01/24/2014	2,852.95	2,883.26		0.00	-30.31	0.00	0.00
2378.05	FNMA 1 0738 11-25-2016								
3136ABPT4	08/04/2014	09/24/2013	2,378.05	2,380.09		0.00	-2.04	0.00	0.00
130.38	FNMA SER 2013-M3 CL ASQ2 1 08331% 25 FEB 2016								
3136ACGF2	08/04/2014	01/09/2014	130.38	131.25		0.00	-0.87	0.00	0.00
83 39	FNMA REMIC TR 2013-M13 CL-A 1.60000002384% 25 MAY 2018								
3136AGOM7	08/04/2014	02/18/2014	83.39	83.85		0.00	-0.46	0.00	0.00
5277.4	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025								
3136AHVT4	08/04/2014	12/09/2013	5,277.40	5,366.46		0.00	-89.06	0.00	0.00
2389 68	FNMA SER 2014-M5 CL FA FLT RT 25 JAN 2017								
3136AJ2A3	08/04/2014	05/09/2014	2,389.68	2,388.88		0.00	0.80	0.00	0.00

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Page 30 of 89
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111.12	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017								
3136AJ7D2	08/04/2014	06/12/2014	111.12	111.09		0.00	0.03	0.00	0.00
2592 92	FNMA REMIC TR 2014-M2 CL-ASQ2.47799998522% 25 SEP 2015								
3136AJDN3	08/04/2014	02/12/2014	2,592.92	2,592.89		0.00	0.03	0.00	0.00
2296 94	FNMA TRANCHE 418% SER 2014-M3 CL ASQ1 25 OCT 2014								
3136AJKY1	08/04/2014	03/07/2014	2,296.94	2,296.93		0.00	0.01	0.00	0.00
3320 58	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020								
3137A8WY0	08/04/2014	09/25/2013	3,320.58	3,384.92		0.00	-64.34	0.00	0.00
3988 89	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	08/04/2014	02/24/2014	3,988.89	4,010.70		0.00	-21.81	0.00	0.00
563 06	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4.978% 10 MAY 2043								
36828QMC7	08/10/2014	02/05/2014	563.06	584.61		0.00	-21.55	0.00	0.00
3519 12	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4								
46625YJF1	08/12/2014	02/14/2014	3,519.12	3,635.69		0.00	-116.57	0.00	0.00
411.83	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	08/12/2014	03/26/2014	411.83	436.54		0.00	-24.71	0.00	0.00
320000 0	UNITED STATES TREAS NTS DTD 04/30/2013								
912828UY4	08/14/2014	02/13/2014	320,112.50	319,911.71		0.00	200.79	0.00	0.00

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Page 31 of 89
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391 41	CMO CD 2005-CD1 COMMERCIAL MTG TR SERIES2005-C1 CLASS-A4 DUE								
12513EAG9	07-15-2044 BEO	08/15/2014	391.41	405.90		0.00	-14.49	0.00	0.00
9507 37	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG								
225458DM7	PASSTHRU CTF CL A-4	08/15/2014	9,507.37	9,817.84		0.00	310.47	0.00	0.00
1116.46	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG								
225470NK5	PASSTHRU CTF CL A-4	08/15/2014	1,116.46	1,166.61		0.00	-50.15	0.00	0.00
15515.43	FORD CR AUTO OWNER TR SER 2014-A CL A-2 48% 15 NOV 2016								
34530HAB6		08/15/2014	15,515.43	15,513.89		0.00	1.54	0.00	0.00
12641 31	HUNTINGTON AUTO TR 2012-2 CL A3 51 04-17-2017								
44614TAC6		08/15/2014	12,641.31	12,641.31		0.00	0.00	0.00	0.00
628 44	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4.954% 15 SEP 2030								
52108H6W9		08/15/2014	628.44	655.30		0.00	-26.86	0.00	0.00
55000.0	PECO ENERGY CO 1.2% 15 OCT 2016								
693304AQ0		08/15/2014	55,492.25	54,944.11		0.00	548.14	0.00	0.00
6251 83	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1		08/20/2014	6,251.83	6,481.39		0.00	-229.56	0.00	0.00
2401 74	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATS89		08/25/2014	2,401.74	2,397.33		0.00	4.41	0.00	0.00

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Page 32 of 89
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2004 58	GMACM HOME EQUITY LN TR	45375% 25 APR 2033							
361856CK1	08/25/2014	03/25/2014	2,004.58	1,907.33		0.00	97.25	0.00	0.00
3470.42	GREENPOINT MTG FDG TR	2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034							
39538WAG3	08/25/2014	04/01/2014	3,470.42	3,433.55		0.00	36.87	0.00	0.00
2096.77	MSCC HELOC TR SER	2007-1 CL A FLT RT 25 DEC 2031							
55352RAA6	08/25/2014	02/21/2014	2,096.77	1,948.70		0.00	148.07	0.00	0.00
490.48	NCUA GTD NTS TR	2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020							
62888XAA2	08/27/2014	11/01/2013	490.48	494.62		0.00	-4.14	0.00	0.00
5827 57	INDYMAC HOME EQUITY LN TR	3719% 28 SEP 2036							
45661AAC6	08/28/2014	02/06/2014	5,827.57	5,601.75		0.00	225.82	0.00	0.00
2857 23	FEDERAL HOME LN MTG CORP POOL	#G1-5142 3 0% DUE 01 JUL 2024							
3128MD5B5	09/03/2014	07/24/2014	2,857.23	2,966.61		0.00	-109.38	0.00	0.00
4772 87	FNMA SR 2011-145 CL A	1.75% DUE 25 JUN 2021							
3136A3AV3	09/03/2014	01/27/2014	4,772.87	4,835.51		0.00	-62.64	0.00	0.00
6258 3	FNMA SR 2011-143 CL AC	1.75% DUE 25 APR 2021							
3136A3DS7	09/03/2014	01/27/2014	6,258.30	6,342.40		0.00	-84.10	0.00	0.00
2630.78	FNMA 2011-143 CL M	1 75% 25 JAN 2022							
3136A3EG2	09/03/2014	01/24/2014	2,630.78	2,658.73		0.00	-27.95	0.00	0.00

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3464.08	FNMA 1 0738	11-25-2016							
3136ABPT4	09/03/2014	09/24/2013	3,464.08	3,467.06		0.00	-2.98	0.00	0.00
978.01	FNMA SER 2013-M3 CL ASQ2	1 08331% 25 FEB2016							
3136ACGF2	09/03/2014	01/09/2014	978.01	984.50		0.00	-6.49	0.00	0.00
4347.16	FNMA REMIC TR 2013-M13 CL-A	1.600000002384% 25 MAY 2018							
3136AGQM7	09/03/2014	02/18/2014	4,347.16	4,371.10		0.00	-23.94	0.00	0.00
7071.69	FNMA SR 2013-136 CL KA 2 0%	25 MAY 2025							
3136AHVT4	09/03/2014	12/09/2013	7,071.69	7,191.02		0.00	-119.33	0.00	0.00
726.97	FNMA SER 2014-M5 CL FA FLT RT	25 JAN 2017							
3136AJ2A3	09/03/2014	05/09/2014	726.97	726.73		0.00	0.24	0.00	0.00
1666.05	FNMA FLTG RT SER 2014-M6 CL FA	25 DEC 2017							
3136AJ7D2	09/03/2014	06/12/2014	1,666.05	1,665.56		0.00	0.49	0.00	0.00
5064.96	FNMA REMIC TR 2014-M2 CL-ASQ2	4 7799998522% 25 SEP 2015							
3136AJDN3	09/03/2014	02/12/2014	5,064.96	5,064.91		0.00	0.05	0.00	0.00
60339.15	FNMA TRANCHE 418% SER 2014-M3 CL ASQ1	25 OCT 2014							
3136AJKY1	09/03/2014	03/07/2014	60,339.15	60,338.84		0.00	0.31	0.00	0.00
35677.56	FNMA SEWR 2014-M9 CL ASQ1	678% 25 SEP 2016							
3136AKSA2	09/03/2014	08/13/2014	35,677.56	35,677.49		0.00	0.07	0.00	0.00

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Page 34 of 89
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3613 53	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	09/03/2014	09/25/2013	3,613.53	3,683.54		0.00	-70.01	0.00	0.00
4346 23	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	09/03/2014	02/24/2014	4,346.23	4,370.00		0.00	-23.77	0.00	0.00
554.38	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4 978% 10 MAY 2043								
36828QMC7	09/10/2014	02/05/2014	554.38	575.57		0.00	-21.19	0.00	0.00
13321 91	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4								
46625YJF1	09/12/2014	02/14/2014	13,321.91	13,763.20		0.00	-441.29	0.00	0.00
1551.16	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	09/12/2014	03/26/2014	1,551.16	1,644.23		0.00	-93.07	0.00	0.00
13961.49	FORD CR AUTO OWNER TR SER 2014-A CL A-2 .48% 15 NOV 2016								
34530HAB6	09/15/2014	01/14/2014	13,961.49	13,960.10		0.00	1.39	0.00	0.00
12071.83	HUNTINGTON AUTO TR 2012-2 CL A3 51 04-17-2017								
44614TAC6	09/15/2014	01/29/2014	12,071.83	12,071.83		0.00	0.00	0.00	0.00
397 6	CMO CD 2005-CD1 COMMERCIAL MTG TR SERIES2005-C1 CLASS-A4 DUE 07-15-2044 BEO								
12513EAG9	09/17/2014	07/21/2014	397.60	412.32		0.00	-14.72	0.00	0.00
22667 96	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	09/17/2014	11/20/2013	22,667.96	23,408.21		0.00	-740.25	0.00	0.00

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1056.23	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG PASSTHRU CTF CL A-4								
225470NK5	09/17/2014	06/13/2014	1,056.23	1,103.68		0.00	-47.45	0.00	0.00
617 4	LBUBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4 954% 15 SEP 2030								
52108H6W9	09/17/2014	02/28/2014	617.40	643.78		0.00	-26.38	0.00	0.00
6157 78	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1	09/20/2014	04/15/2014	6,157.78	6,383.89		0.00	-226.11	0.00	0.00
2413.83	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATS89	09/25/2014	09/27/2013	2,413.83	2,409.40		0.00	4.43	0.00	0.00
1780 26	GMACM HOME EQUITY LN TR .45375% 25 APR 2033								
361856CK1	09/25/2014	03/25/2014	1,780.26	1,693.89		0.00	86.37	0.00	0.00
2944.78	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	09/25/2014	04/01/2014	2,944.78	2,913.49		0.00	31.29	0.00	0.00
2047.47	MSSC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	09/25/2014	02/21/2014	2,047.47	1,902.88		0.00	144.59	0.00	0.00
6073.45	INDYMAC HOME EQUITY LN TR .3719% 28 SEP 2036								
45661AAC6	09/28/2014	02/06/2014	6,073.45	5,838.10		0.00	235.35	0.00	0.00
1054 16	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	09/29/2014	11/01/2013	1,054.16	1,063.05		0.00	-8.89	0.00	0.00

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25755 14	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COM1 MTG								
225458DM7	PASSTHRU CTF CL A-4	10/02/2014	25,755.14	26,596.21		0.00	-841.07	0.00	0.00
1727.76	FEDERAL HOME LN MTG CORP POOL #G1-5142 3.0% DUE 01 JUL 2024								
3128MD5B5	10/02/2014	07/24/2014	1,727.76	1,793.90		0.00	-66.14	0.00	0.00
8582.48	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021								
3136A3AV3	10/02/2014	01/27/2014	8,582.48	8,695.13		0.00	-112.65	0.00	0.00
6395.46	FNMA SR 2011-143 CL AC 1 75% DUE 25 APR 2021								
3136A3DS7	10/02/2014	01/27/2014	6,395.46	6,481.40		0.00	-85.94	0.00	0.00
2709.02	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	10/02/2014	01/24/2014	2,709.02	2,737.80		0.00	-28.78	0.00	0.00
4207.9	FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB2016								
3136ACGF2	10/02/2014	01/09/2014	4,207.90	4,235.84		0.00	-27.94	0.00	0.00
133.49	FNMA REMIC TR 2013-M13 CL-A 1 60000002384% 25 MAY 2018								
3136AGQM7	10/02/2014	02/18/2014	133.49	134.23		0.00	-0.74	0.00	0.00
8049.65	FNMA SR 2013-136 CL KA 2 0% 25 MAY 2025								
3136AHVT4	10/02/2014	12/09/2013	8,049.65	8,185.49		0.00	-135.84	0.00	0.00
2230.92	FNMA SER 2014-M5 CL FA FLT RT 25 JAN 2017								
3136AJ2A3	10/02/2014	05/09/2014	2,230.92	2,230.17		0.00	0.75	0.00	0.00

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1206 95	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017								
3136AJ7D2	10/02/2014	06/12/2014	1,206.95	1,206.59		0.00	0.36	0.00	0.00
5679 13	FNMA REMIC TR 2014-M2 CL-ASQ2 .4779998522% 25 SEP 2015								
3136AJDN3	10/02/2014	02/12/2014	5,679.13	5,679.07		0.00	0.06	0.00	0.00
5374 91	FNMA TRANCHE .418% SER 2014-M3 CL ASQ1 25 OCT 2014								
3136AJKY1	10/02/2014	03/07/2014	5,374.91	5,374.88		0.00	0.03	0.00	0.00
3864 62	FNMA TRANCHE 558% SER 2014-M3 CL ASQ2 25 MAR 2016								
3136AJKZ8	10/02/2014	03/07/2014	3,864.62	3,864.59		0.00	0.03	0.00	0.00
65616.55	FNMA SEWR 2014-M9 CL ASQ1 678% 25 SEP 2016								
3136AKSA2	10/02/2014	08/13/2014	65,616.55	65,616.41		0.00	0.14	0.00	0.00
3829 01	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	10/02/2014	02/24/2014	3,829.01	3,849.95		0.00	-20.94	0.00	0.00
23575.37	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4								
46625YJF1	10/02/2014	02/14/2014	23,575.37	24,356.31		0.00	-780.94	0.00	0.00
375000 0	UNITED STATES TREAS NTS DTD 04/30/2013								
912828UY4	10/06/2014 Various		375,146.48	374,920.18		0.00	226.30	0.00	0.00
2194 99	AMERICREDIT AUTOMOBILE RECEIVABLES TR 20SER 2012-4 CL A-3 67 DUE 06-08-2017								
03063XAD7	10/08/2014	10/02/2014	2,194.99	2,196.02		0.00	-1.03	0.00	0.00

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THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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2255.45	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4.978% 10 MAY 2043	02/05/2014	2,255.45	2,341.54		0.00	-86.09	0.00	0.00
36828QMC7	10/10/2014								
748 19	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044	03/26/2014	748.19	793.08		0.00	-44.89	0.00	0.00
59022HNC2	10/12/2014								
9412.72	FIFTH THIRD AUTO TR 2014-1 .46% 15 AUG 2016								
31679JAB9	10/15/2014	02/11/2014	9,412.72	9,412.47		0.00	0.25	0.00	0.00
13313 7	FORD CR AUTO OWNER TR SER 2014-A CL A-2 48% 15 NOV 2016								
34530HAB6	10/15/2014	01/14/2014	13,313.70	13,312.38		0.00	1.32	0.00	0.00
11771.03	HUNTINGTON AUTO TR 2012-2 CL A3.51 04-17-2017								
44614TAC6	10/15/2014	01/29/2014	11,771.03	11,771.03		0.00	0.00	0.00	0.00
409 16	CMO CD 2005-CD1 COMMERCIAL MTG TR SERIES2005-C1 CLASS-A4 DUE 07-15-2044 BEO								
12513EAG9	10/17/2014	07/21/2014	409.16	424.31		0.00	-15.15	0.00	0.00
1138 51	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG PASSTHRU CTF CL A-4								
225470NK5	10/17/2014	06/13/2014	1,138.51	1,189.65		0.00	-51.14	0.00	0.00
5589.24	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1	10/20/2014	04/15/2014	5,589.24	5,794.47		0.00	-205.23	0.00	0.00
692 25	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 954% 15 SEP 2030								
52108H6W9	10/20/2014	02/28/2014	692.25	721.83		0.00	-29.58	0.00	0.00

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70036.24	FNMA SER 2014-M5 CL FA FLT RT 25 JAN 2017								
3136AJ2A3	10/22/2014	Various	70,167.56	70,012.81		0.00	154.75	0.00	0.00
900.95	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022								
3136A4TW9	10/25/2014	09/23/2014	900.95	896.41		0.00	4.54	0.00	0.00
1785.53	GMACM HOME EQUITY LN TR 45375% 25 APR 2033								
361856CK1	10/25/2014	03/25/2014	1,785.53	1,698.90		0.00	86.63	0.00	0.00
3067.99	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	10/25/2014	04/01/2014	3,067.99	3,035.39		0.00	32.60	0.00	0.00
2033.16	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	10/25/2014	02/21/2014	2,033.16	1,889.58		0.00	143.58	0.00	0.00
46846.57	SLM STUDENT LN TR 2004-5 STUDENT LN BKD NT A-4 VAR 25 JAN 2021								
78442GLV6	10/25/2014	11/18/2013	46,846.57	46,784.35		0.00	62.22	0.00	0.00
4925.45	INDYMAC HOME EQUITY LN TR .3719% 28 SEP 2036								
45661AAC6	10/28/2014	02/06/2014	4,925.45	4,734.59		0.00	190.86	0.00	0.00
479.95	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1 6% 29 OCT 2020								
62888XAA2	10/30/2014	11/01/2013	479.95	484.00		0.00	-4.05	0.00	0.00
1858.57	FEDERAL HOME LN MTG CORP POOL #G1-5142 3 0% DUE 01 JUL 2024								
3128MD585	11/04/2014	07/24/2014	1,858.57	1,929.72		0.00	-71.15	0.00	0.00

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5584 44	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	11/04/2014	01/27/2014	5,584.44	5,657.74		0.00	-73.30	0.00	0.00
6582 18	FNMA SR 2011-143 CL AC 1 75% DUE 25 APR 2021								
3136A3DS7	11/04/2014	01/27/2014	6,582.18	6,670.63		0.00	-88.45	0.00	0.00
2701 44	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	11/04/2014	01/24/2014	2,701.44	2,730.14		0.00	-28.70	0.00	0.00
832.45	FNMA REMIC TR 2012-M2 CL-A1 1 824% 25 FEB 2022								
3136A4TW9	11/04/2014	09/23/2014	832.45	828.25		0.00	4.20	0.00	0.00
5999 39	FNMA REMIC SER 2012-M13 CL ASQ2 1 246% 25 AUG 2017								
3136A8G38	11/04/2014	10/14/2014	5,999.39	6,020.48		0.00	-21.09	0.00	0.00
28593 25	FNMA SER 2013-M3 CL ASQ2 1 08331% 25 FEB2016								
3136ACGF2	11/04/2014	01/09/2014	28,593.25	28,783.14		0.00	-189.89	0.00	0.00
95 06	FNMA REMIC TR 2013-M13 CL-A 1 60000002384% 25 MAY 2018								
3136AGQM7	11/04/2014	02/18/2014	95.06	95.58		0.00	-0.52	0.00	0.00
6393.29	FNMA SR 2013-136 CL KA 2 0% 25 MAY 2025								
3136AHVT4	11/04/2014	12/09/2013	6,393.29	6,501.18		0.00	-107.89	0.00	0.00
3610 4	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017								
3136AJ7D2	11/04/2014	06/12/2014	3,610.40	3,609.34		0.00	1.06	0.00	0.00

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Page 41 of 89
Ref: AET

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8782 54	FNMA REMIC TR 2014-M2 CL-ASQ2	4.7799998522% 25 SEP 2015							
3136AJDN3	11/04/2014	02/12/2014	8,782.54	8,782.45		0.00	0.09	0.00	0.00
84344.42	FNMA TRANCHE 558% SER 2014-M3 CL ASQ2	25 MAR 2016							
3136AJKZ8	11/04/2014	03/07/2014	84,344.42	84,343.81		0.00	0.61	0.00	0.00
3481.05	FNMA SEWR 2014-M9 CL ASQ1	678% 25 SEP 2016							
3136AKSA2	11/04/2014	08/13/2014	3,481.05	3,481.04		0.00	0.01	0.00	0.00
4249 9	FEDERAL HOME LN MTG CORP SER 004302 CL AB	1.75% 15 NOV 2029							
3137B85D5	11/04/2014	02/24/2014	4,249.90	4,273.14		0.00	-23.24	0.00	0.00
2279 58	AMERICREDIT AUTOMOBILE RECEIVABLES TR 20SER	2012-4 CL A-3.67							
03063XAD7	11/08/2014	10/02/2014	2,279.58	2,280.65		0.00	-1.07	0.00	0.00
5096.28	AMERICREDIT AUTOMOBILE RECEIVABLES 2	92%08 FEB 2017							
03064UAD2	11/08/2014	10/24/2014	5,096.28	5,107.25		0.00	-10.97	0.00	0.00
41503.39	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF	CL A-4 4 978%							
36828QMC7	11/10/2014	02/05/2014	41,503.39	43,085.36		0.00	-1,581.97	0.00	0.00
14818.79	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG								
46625YJF1	11/12/2014	02/14/2014	14,818.79	15,309.67		0.00	-490.88	0.00	0.00
562 32	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF	A-4 12 JAN							
59022HNC2	11/12/2014	03/26/2014	562.32	596.06		0.00	-33.74	0.00	0.00

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15288 56	FIFTH THIRD AUTO TR 2014-1	46% 15 AUG 2016							
31679JAB9	11/15/2014	02/11/2014	15,288.56	15,288.15		0.00	0.41	0.00	0.00
12006 39	FORD CR AUTO OWNER TR SER 2014-A	CL A-2 48% 15 NOV 2016							
34530HAB6	11/15/2014	01/14/2014	12,006.39	12,005.20		0.00	1.19	0.00	0.00
11520 35	HUNTINGTON AUTO TR 2012-2	CL A3 51 04-17-2017							
44614TAC6	11/15/2014	01/29/2014	11,520.35	11,520.35		0.00	0.00	0.00	0.00
815 81	CMO CD 2005-CD1 COMMERCIAL MTG TR SERIES2005-C1 CLASS-A4 DUE								
12513EAG9	07-15-2044 BEO		815.81	846.02		0.00	-30.21	0.00	0.00
13775.74	CITIGROUP COML MTG TR 2005-C3 MTG PASSTHRU CTF A-4 4.86% 15 MAY 2043								
173067GT0	11/18/2014	10/02/2014	13,775.74	13,824.17		0.00	-48.43	0.00	0.00
37295.77	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COML MTG PASSTHRU CTF CL A-4								
225458DM7	11/18/2014	11/20/2013	37,295.77	38,513.71		0.00	-1,217.94	0.00	0.00
1742.29	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG PASSTHRU CTF CL A-4								
225470NK5	11/18/2014	06/13/2014	1,742.29	1,820.56		0.00	-78.27	0.00	0.00
644 25	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 4.954% 15 SEP 2030								
52108H6W9	11/18/2014	02/28/2014	644.25	671.78		0.00	-27.53	0.00	0.00
5376 89	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036								
38378P6A1	11/20/2014	04/15/2014	5,376.89	5,574.32		0.00	-197.43	0.00	0.00

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195000 0	UNITED STATES TREAS NTS DTD 04/30/2013								
912828UY4	11/20/2014	02/18/2014	195,053.32	194,983.00		0.00	70.32	0.00	0.00
1682 78	GMACM HOME EQUITY LN TR 45375% 25 APR 2033								
361856CK1	11/25/2014	03/25/2014	1,682.78	1,601.14		0.00	81.64	0.00	0.00
3437 9	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	11/25/2014	04/01/2014	3,437.90	3,401.37		0.00	36.53	0.00	0.00
2082.39	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	11/25/2014	02/21/2014	2,082.39	1,935.34		0.00	147.05	0.00	0.00
5451.69	INDYMAC HOME EQUITY LN TR .3719% 28 SEP 2036								
45661AAC6	11/28/2014	02/06/2014	5,451.69	5,240.44		0.00	211.25	0.00	0.00
2570.29	FEDERAL HOME LN MTG CORP POOL #G1-5142 3 0% DUE 01 JUL 2024								
3128MD5B5	12/02/2014	07/24/2014	2,570.29	2,668.68		0.00	-98.39	0.00	0.00
6274.06	FNMA SR 2011-145 CL A 1 75% DUE 25 JUN 2021								
3136A3AV3	12/02/2014	01/27/2014	6,274.06	6,356.41		0.00	-82.35	0.00	0.00
5941.91	FNMA SR 2011-143 CL AC 1 75% DUE 25 APR 2021								
3136A3DS7	12/02/2014	01/27/2014	5,941.91	6,021.75		0.00	-79.84	0.00	0.00
2612 1	FNMA 2011-143 CL M 1.75% 25 JAN 2022								
3136A3EG2	12/02/2014	01/24/2014	2,612.10	2,639.85		0.00	-27.75	0.00	0.00

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906 49	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022								
3136A4TW9	12/02/2014	09/23/2014	906 49	901.92		0.00	4 57	0.00	0.00
134 26	FNMA REMIC SER 2012-M13 CL ASQ2 1 246% 25 AUG 2017								
3136A8G38	12/02/2014	10/14/2014	134.26	134.73		0.00	-0.47	0.00	0.00
128.2	FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB2016								
3136ACGF2	12/02/2014	01/09/2014	128.20	129.05		0.00	-0.85	0.00	0.00
106.17	FNMA REMIC TR 2013-M13 CL-A 1 60000002384% 25 MAY 2018								
3136AGQM7	12/02/2014	02/18/2014	106.17	106.75		0.00	-0.58	0.00	0.00
7019 02	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025								
3136AHVT4	12/02/2014	12/09/2013	7,019.02	7,137.47		0.00	-118.45	0.00	0.00
2018 71	FNMA FLTGT SER 2014-M6 CL FA 25 DEC 2017								
3136AJ7D2	12/02/2014	06/12/2014	2,018.71	2,018.11		0.00	0.60	0.00	0.00
10005 83	FNMA REMIC TR 2014-M2 CL-ASQ2 47799998522% 25 SEP 2015								
3136AJDN3	12/02/2014	02/12/2014	10,005.83	10,005.73		0.00	0.10	0.00	0.00
97868.92	FNMA TRANCHE .558% SER 2014-M3 CL ASQ2 25 MAR 2016								
3136AJKZ8	12/02/2014	03/07/2014	97,868.92	97,868.21		0.00	0.71	0.00	0.00
11584 75	FNMA SEWR 2014-M9 CL ASQ1 678% 25 SEP 2016								
3136AKSA2	12/02/2014	08/13/2014	11,584.75	11,584.73		0.00	0.02	0.00	0.00

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3338 04	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029								
3137B85D5	12/02/2014	02/24/2014	3,338.04	3,356.29		0.00	-18.25	0.00	0.00
3453.52	AMERICREDIT AUTOMOBILE RECEIVABLES TR 20SER 2012-4 CL A-3.67 DUE 06-08-2017								
03063XAD7	12/08/2014	10/02/2014	3,453.52	3,455.14		0.00	-1.62	0.00	0.00
4053 72	AMERICREDIT AUTOMOBILE RECEIVABLES 2.92%08 FEB 2017								
03064UAD2	12/08/2014	10/24/2014	4,053.72	4,062.13		0.00	-8.41	0.00	0.00
9351 68	GE COML MTG CORP 2005-C2 COML MTG PASSTHRU CTF CL A-4 4 978% 10 MAY 2043								
36828QMC7	12/10/2014	02/05/2014	9,351.68	9,707.65		0.00	-355.97	0.00	0.00
29750 37	J P MORGAN CHASE COML MTG SECS CORP 2005-CIBC11 COML MTG PASSTHRU CL A-4								
46625YJF1	12/12/2014	Various	29,750.37	30,735.85		0.00	-985.48	0.00	0.00
610 72	MERRILL LYNCH MTG TR 2005-LC1 COML MTG PASSTHRU CTF A-4 12 JAN 2044								
59022HNC2	12/12/2014	03/26/2014	610.72	647.36		0.00	-36.64	0.00	0.00
12727 74	FIFTH THIRD AUTO TR 2014-1 46% 15 AUG 2016								
31679JAB9	12/15/2014	02/11/2014	12,727.74	12,727.40		0.00	0.34	0.00	0.00
10190.74	FORD CR AUTO OWNER TR SER 2014-A CL A-2.48% 15 NOV 2016								
34530HAB6	12/15/2014	01/14/2014	10,190.74	10,189.73		0.00	1.01	0.00	0.00
9454.16	HUNTINGTON AUTO TR 2012-2 CL A3.51 04-17-2017								
44614TAC6	12/15/2014	01/29/2014	9,454.16	9,454.16		0.00	0.00	0.00	0.00

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2014 Tax Information Statement

Page 46 of 89
Ref: AET

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THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

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1999.25	CMO CD 2005-CD1 COMMERCIAL MTG TR SERIES2005-C1 CLASS-A4 DUE 07-15-2044 BEQ	12/17/2014	1,999.25	2,073.29		0.00	-74.04	0.00	0.00
12513EAG9	12/17/2014	07/21/2014							
54245.36	CITIGROUP COML MTG TR 2005-C3 MTG PASSTHRU CTF A-4 86% 15 MAY 2043	12/17/2014	54,245.36	54,436.06		0.00	-190.70	0.00	0.00
173067GT0	12/17/2014	10/02/2014							
1144 11	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C6 COML MTG PASSTHRU CTF CL A-4	12/17/2014	1,144.11	1,195.51		0.00	-51.40	0.00	0.00
225470NK5	12/17/2014	06/13/2014							
696 66	LB-UBS COML MTG TR 2005-C5 COML MTG PASSTHRU CTF A-4 954% 15 SEP 2030	12/17/2014	696.66	726.43		0.00	-29.77	0.00	0.00
52108H6W9	12/17/2014	02/28/2014							
1060000 0	UNITED STATES TREAS NTS DTD 04/30/2013								
912828UY4	12/17/2014	Various	1,060,165.63	1,060,114.80		0.00	50.83	0.00	0.00
50000 0	UNITED STATES TREAS NTS DTD 07/15/2013								
912828VL1	12/17/2014	04/25/2014	50,136.72	50,086.15		0.00	50.57	0.00	0.00
280000.0	UNITED STATES TREAS NTS 5% 31 JUL 2016								
912828WX4	12/17/2014	11/10/2014	280,207.81	280,381.21		0.00	-173.40	0.00	0.00
5456 51	GNMA SER 2014-018 CL HJ 3 0% 20 JUL 2036								
38378P6A1	12/20/2014	04/15/2014	5,456.51	5,656.87		0.00	-200.36	0.00	0.00
1055 27	GMACM HOME EQUITY LN TR 45375% 25 APR 2033								
361856CK1	12/25/2014	03/25/2014	1,055.27	1,004.07		0.00	51.20	0.00	0.00

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2108.22	GREENPOINT MTG FDG TR 2005-HE1 MTG PASSSTHRU CTF M-2 FLTG 25 SEP 2034								
39538WAG3	12/25/2014	04/01/2014	2,108.22	2,085.82		0.00	22.40	0.00	0.00
1323.52	MSSC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031								
55352RAA6	12/25/2014	02/21/2014	1,323.52	1,230.06		0.00	93.46	0.00	0.00
13455.67	INDYMAC HOME EQUITY LN TR 3719% 28 SEP 2036								
45661AAC6	12/28/2014	02/06/2014	13,455.67	12,934.26		0.00	521.41	0.00	0.00
			8,533,291.25	8,534,742.23		0.00	-1,450.98	0.00	0.00
Total Short Term Sales									
Long Term Sales									
11685.44	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2 0% 15 JUL 2022								
3137GA5X1	01/03/2014	12/20/2012	11,685.44	11,983.05		0.00	-297.61	0.00	0.00
10215.9	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	01/03/2014	12/11/2012	10,215.90	10,790.54		0.00	-574.64	0.00	0.00
12481.66	GE COML MTG CORP 2004-C3 COML MTG PASSSTHRU CTF CL A-4 5.189% 10 JUL 2039								
36828QHW9	01/10/2014	11/27/2012	12,481.66	13,174.49		0.00	-692.83	0.00	0.00
29879.36	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	01/10/2014	12/07/2012	29,879.36	29,977.40		0.00	-98.04	0.00	0.00

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2102.16	UBS-BARCLAYS COMML MTG TR 2012-C4 CL A-1	67280% 10 DEC 2045							
90270RBA1	01/10/2014	12/06/2012	2,102.16	2,102.16		0.00	0.00	0.00	0.00
43471 76	MERRILL LYNCH MTG TR 2004-MKB1 COMML MTG PASSTHRU CTF CL A4 12 FEB 2042								
59022HCV2	01/12/2014	12/10/2012	43,471.76	45,482.33		0.00	-2,010.57	0.00	0.00
23824.32	CITIGROUP COMML MTG TR 2004-C1 COMML MTG PASS THRU CTF CL A-4 15 APR 2040								
173067AD1	01/17/2014	11/20/2012	23,824.32	25,134.66		0.00	-1,310.34	0.00	0.00
3946 29	WFRBS COMML MTG TR 2012-C10 COMML MTG PASSTHRU CTF A-1 73400002718%								
92890NAS8	01/17/2014	11/30/2012	3,946.29	3,946.23		0.00	0.06	0.00	0.00
314 94	WACHOVIA BK COMML MTG TR 2004-C14 COMML MTG PASSTHRU CTF CL A-4 5.088%								
929766UK7	01/17/2014	01/08/2013	314.94	333.05		0.00	-18.11	0.00	0.00
6491 8	WELLS FARGO COMML MTG TR 2012-LC5 COMML CL A-1	687% 15 OCT 2045							
94988HAA9	01/17/2014	11/27/2012	6,491.80	6,495.86		0.00	-4.06	0.00	0.00
8943.02	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	01/18/2014	11/26/2012	8,943.02	9,074.72		0.00	-131.70	0.00	0.00
12616 88	SLM STUDENT LN TR 2005-10 STUDENT LN-BKNT CL A-4 VAR 25 OCT 2019								
78442GRG3	01/25/2014	11/27/2012	12,616.88	12,593.22		0.00	23.66	0.00	0.00
1151.17	FNMA SER 2011-122 CL A 3 0% DUE 25 DEC 2025								
3136A2YE7	02/04/2014	01/15/2013	1,151.17	1,172.39		0.00	-21.22	0.00	0.00

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Page 49 of 89
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8432 48	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GA5X1	02/04/2014	12/20/2012	8,432.48	8,647.25		0.00	-214.77	0.00	0.00
9073.47	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	02/04/2014	12/11/2012	9,073.47	9,583.85		0.00	-510.38	0.00	0.00
1156.5	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	02/04/2014	01/04/2013	1,156.50	1,230.23		0.00	-73.73	0.00	0.00
13639.32	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1.84 DUE 10-07-2020 REG								
62888VAB4	02/05/2014	11/26/2012	13,639.32	13,840.18		0.00	-200.86	0.00	0.00
60000 0	CITIGROUP INC 4 875% 07 MAY 2015								
172967BW0	02/06/2014	01/22/2013	62,836.20	62,172.65		0.00	663.55	0.00	0.00
60000 0	COUNTRYWIDE FINL CORP 6 25% 15 MAY 2016								
222372AJ3	02/06/2014	11/26/2012	66,339.60	64,076.08		0.00	2,263.52	0.00	0.00
160000.0	GENERAL ELEC CAP CORP MEDIUM TERM NTS 2 3 DUE 04-27-2017								
36962G5W0	02/06/2014	11/26/2012	165,475.20	163,644.88		0.00	1,830.32	0.00	0.00
25000.0	MORGAN STANLEY 3 8% 29 APR 2016								
61747YDD4	02/06/2014	11/26/2012	26,425.25	25,632.60		0.00	792.65	0.00	0.00
165000 0	TRANSOCEAN INC 4 95% 15 NOV 2015								
893830AX7	02/06/2014	11/26/2012	176,116.05	174,589.71		0.00	1,526.34	0.00	0.00

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45000 0	AMERICAN INTL GROUP INC 3 8% 22 MAR 2017								
026874CS4	02/07/2014	11/26/2012	48,308.85	47,478.67		0.00	830.18	0.00	0.00
40000 0	AMERICAN INTL GROUP INC 3 8% 22 MAR 2017								
026874CS4	02/07/2014	11/26/2012	42,941.20	42,203.26		0.00	737.94	0.00	0.00
115000 0	AMERICAN INTL GROUP INC 3 8% 22 MAR 2017								
026874CS4	02/07/2014	11/26/2012	123,303.00	121,334.38		0.00	1,968.62	0.00	0.00
100000 0	ANADARKO PETE CORP 5 95% DUE 09-15-2016/09-19-2006 BEO								
032511AX5	02/07/2014	11/26/2012	111,624.00	111,139.10		0.00	484.90	0.00	0.00
95000 0	CITIGROUP INC 4 875% 07 MAY 2015								
172967BW0	02/07/2014	01/22/2013	99,505.85	98,432.52		0.00	1,073.33	0.00	0.00
3355.27	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	02/07/2014	12/07/2012	3,355.27	3,366.28		0.00	-11.01	0.00	0.00
15000 0	CITIGROUP INC 4 45% 10 JAN 2017								
172967FW6	02/10/2014	11/26/2012	16,293.90	16,142.65		0.00	151.25	0.00	0.00
105000 0	COUNTRYWIDE FINL CORP 6 25% 15 MAY 2016								
222372AJ3	02/10/2014	11/26/2012	116,050.20	112,099.64		0.00	3,950.56	0.00	0.00
35000 0	COUNTRYWIDE FINL CORP 6 25% 15 MAY 2016								
222372AJ3	02/10/2014	11/26/2012	38,676.75	37,366.55		0.00	1,310.20	0.00	0.00

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23218.17	GE COML MTG CORP 2004-C3 COML MTG PASSTHRU CTF CL A-4 5.189%								
36828QHW9	10 JUL 2039	02/10/2014	23,218.17	24,506.96		0.00	-1,288.79	0.00	0.00
115000 0	LINCOLN NATL CORP 4 3% DUE 06-15-2015								
534187AZ2	02/10/2014	11/26/2012	120,331.40	119,765.02		0.00	566.38	0.00	0.00
135000 0	FORD MTR CR CO LLC 4 25% 03 FEB 2017								
345397VX8	02/11/2014	11/26/2012	145,407.15	141,026.22		0.00	4,380.93	0.00	0.00
30000 0	CITIGROUP INC 4 45% 10 JAN 2017								
172967FW6	02/12/2014	11/26/2012	32,516.70	32,281.05		0.00	235.65	0.00	0.00
130000 0	JPMORGAN CHASE & CO FORMERLY J P MORGAN 5 15 DUE 10-01-2015 BEO								
46625HDF4	02/12/2014	11/26/2012	138,624.20	137,802.58		0.00	821.62	0.00	0.00
49882.99	MERRILL LYNCH MTG TR 2004-MKB1 COML MTG PASSTHRU CTF CL A4 12 FEB 2042								
59022HCV2	02/12/2014	12/10/2012	49,882.99	52,190.08		0.00	-2,307.09	0.00	0.00
30000.0	MORGAN STANLEY 3 8% 29 APR 2016								
61747YDD4	02/12/2014	11/26/2012	31,702.20	30,753.63		0.00	948.57	0.00	0.00
2110.69	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	02/12/2014	12/06/2012	2,110.69	2,110.69		0.00	0.00	0.00	0.00
25000 0	COUNTRYWIDE FINL CORP 6.25% 15 MAY 2016								
222372AJ3	02/13/2014	11/26/2012	27,605.50	26,684.41		0.00	921.09	0.00	0.00

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105000 0	COUNTRYWIDE FINL CORP 6 25% 15 MAY 2016								
222372AJ3	02/13/2014	11/26/2012	115,917.90	112,074.52		0.00	3,843.38	0.00	0.00
850000 0	MORGAN STANLEY 3 8% 29 APR 2016								
61747YDD4	02/13/2014	11/26/2012	89,860.30	87,132.71		0.00	2,727.59	0.00	0.00
600000 0	JPMORGAN CHASE & CO FORMERLY J P MORGAN 5 15 DUE								
46625HDF4	10-01-2015 BEO 02/14/2014	11/26/2012	63,999.00	63,589.18		0.00	409.82	0.00	0.00
22234 58	CITIGROUP COML MTG TR 2004-C1 COML MTG PASS THRU CTF CL A-4 15 APR 2040								
173067AD1	02/18/2014	11/20/2012	22,234.58	23,457.48		0.00	-1,222.90	0.00	0.00
600000 0	JPMORGAN CHASE & CO FORMERLY J P MORGAN 5 15 DUE								
46625HDF4	10-01-2015 BEO 02/18/2014	11/26/2012	64,020.00	63,565.17		0.00	454.83	0.00	0.00
3962 06	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 73400002718% 5.088%								
92890NAS8	02/18/2014	11/30/2012	3,962.06	3,962.00		0.00	0.06	0.00	0.00
5886 93	WACHOVIA BK COML MTG TR 2004-C14 COML MTG PASSTHRU CTF CL A-4 5.088%								
929766UK7	02/18/2014	01/08/2013	5,886.93	6,225.43		0.00	-338.50	0.00	0.00
5345 39	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 687% 15 OCT 2045								
94988HAA9	02/18/2014	11/27/2012	5,345.39	5,348.73		0.00	-3.34	0.00	0.00
150000 0	PRINCIPAL LIFE INCOME FUNDINGS TRS SECD MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR								
74254PYF3	02/19/2014	11/26/2012	159,001.50	158,257.21		0.00	744.29	0.00	0.00

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3535 11	HOME LN TR 2006-H14 5.44% 25 SEP 2036								
43718MAC8	02/25/2014	02/07/2013	3,535 11	3,648 70		0.00	-113.59	0.00	0.00
790.01	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025								
3136A2YE7	03/04/2014	01/15/2013	790.01	804.58		0.00	-14 57	0.00	0.00
8135.9	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GA5X1	03/04/2014	12/20/2012	8,135 90	8,343 11		0.00	-207 21	0.00	0.00
9461 29	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	03/04/2014	12/11/2012	9,461 29	9,993 49		0.00	-532 20	0.00	0.00
1591 04	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	03/04/2014	01/04/2013	1,591.04	1,692 47		0.00	-101.43	0.00	0.00
8274.79	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	03/07/2014	11/26/2012	8,274.79	8,396 65		0.00	-121 86	0.00	0.00
36590.96	GE COML MTG CORP 2004-C3 COML MTG PASSTHRU CTF CL A-4 5 189%								
36828QHW9	10 JUL 2039	03/10/2014	36,590 96	38,622.04		0.00	-2,031 08	0.00	0.00
61446 39	MERRILL LYNCH MTG TR 2004-MKB1 COML MTG PASSTHRU CTF CL A4 12								
59022HCV2	FEB 2042	03/12/2014	61,446 39	64,025 79		0.00	-2,579 40	0.00	0.00
913.53	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	03/12/2014	12/07/2012	913.53	916.53		0.00	-3 00	0.00	0.00

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Page 54 of 89
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2745.6	UBS-BARCLAYS COM ML MTG TR 2012-C4 CL A-1	67280% 10 DEC 2045							
90270RBA1	03/12/2014	12/06/2012	2,745.60	2,745.59		0.00	0.01	0.00	0.00
63653.99	CITIGROUP COM ML MTG TR 2004-C1 COM ML MTG PASS THRU CTF CL A-4	15							
173067AD1	03/17/2014	11/20/2012	63,653.99	67,154.97		0.00	-3,500.98	0.00	0.00
4956.7	WFRBS COM ML MTG TR 2012-C10 COM ML MTG PASSTHRU CTF A-1								
92890NAS8	03/17/2014	11/30/2012	4,956.70	4,956.63		0.00	0.07	0.00	0.00
3231.99	WACHOVIA BK COM ML MTG TR 2004-C14 COM ML MTG PASSTHRU CTF CL A-4								
929766UK7	03/17/2014	01/08/2013	3,231.99	3,417.83		0.00	-185.84	0.00	0.00
6987.35	WELLS FARGO COM ML MTG TR 2012-LC5 COM ML CL A-1	687% 15 OCT 2045							
94988HAA9	03/17/2014	11/27/2012	6,987.35	6,991.72		0.00	-4.37	0.00	0.00
2937.71	FEDERAL HOME LN MTG CORP TRANCHE 00812	727% 25 DEC 2016							
3137B03V4	03/25/2014	03/01/2013	2,937.71	2,937.66		0.00	0.05	0.00	0.00
2916.89	HOME LN TR 2006-H14	5.44% 25 SEP 2036							
43718MAC8	03/25/2014	02/07/2013	2,916.89	3,010.61		0.00	-93.72	0.00	0.00
822.48	FNMA SER 2011-122 CL A	3.0% DUE 25 DEC 2025							
3136A2YE7	04/02/2014	01/15/2013	822.48	837.64		0.00	-15.16	0.00	0.00
12484.04	FEDERAL HOME LN MTG CORP SER 3726 CL EA	2.0% 15 JUL 2022							
3137GA5X1	04/02/2014	12/20/2012	12,484.04	12,801.99		0.00	-317.95	0.00	0.00

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THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
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8645 4	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394US40	04/02/2014	12/11/2012	8,645 40	9,131 70		0.00	-486 30	0.00	0.00
1129.01	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	04/02/2014	01/04/2013	1,129.01	1,200 98		0.00	-71 97	0.00	0.00
8542.89	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1.84 DUE 10-07-2020 REG								
62888VAB4	04/04/2014	11/26/2012	8,542 89	8,668.70		0.00	-125.81	0.00	0.00
31175.13	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	04/09/2014	12/07/2012	31,175 13	31,277.42		0.00	-102 29	0.00	0.00
82832 08	GE COML MTG CORP 2004-C3 COML MTG PASSTHRU CTF CL A-4 5 189% 10 JUL 2039								
36828QHW9	04/10/2014	11/27/2012	82,832.08	87,429 90		0.00	-4,597 82	0.00	0.00
2130 43	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	04/11/2014	12/06/2012	2,130.43	2,130 43		0.00	0 00	0.00	0.00
8264.97	CITIGROUP COML MTG TR 2004-C1 COML MTG PASS THRU CTF CL A-4 15 APR 2040								
173067AD1	04/17/2014	11/20/2012	8,264 97	8,719 54		0.00	-454 57	0.00	0.00
1972 24	MORGAN STANLEY BK AMER MERRILL LYNCH TR COML MTG PASSTHRU CTF A-1 .825% 15 MAY								
61762DAS0	04/17/2014	04/15/2013	1,972 24	1,972.24		0.00	0 00	0.00	0.00
3997 71	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 73400002718%								
92890NAS8	04/17/2014	11/30/2012	3,997 71	3,997.65		0.00	0.06	0.00	0.00

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12210 25	WACHOVIA BK COML MTG TR 2004-C14 COML MTG PASSTHRU CTF CL A-4								
929766UK7	5 088% 04/17/2014	01/08/2013	12,210.25	12,912.34		0.00	-702.09	0.00	0.00
5397 29	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 687% 15 OCT 2045								
94988HAA9	04/17/2014	11/27/2012	5,397.29	5,400.66		0.00	-3.37	0.00	0.00
2642 5	FEDERAL HOME LN MTG CORP TRANCHE 00812.727% 25 DEC 2016								
3137B03V4	04/25/2014	03/01/2013	2,642.50	2,642.45		0.00	0.05	0.00	0.00
3709.26	HOME LN TR 2006-H14 5.44% 25 SEP 2036								
43718MAC8	04/25/2014	02/07/2013	3,709.26	3,828.44		0.00	-119.18	0.00	0.00
12822.06	SLM STUDENT LN TR 2005-10 STUDENT LN-BKDNT CL A-4 VAR 25 OCT 2019								
78442GRG3	04/25/2014	11/27/2012	12,822.06	12,798.02		0.00	24.04	0.00	0.00
592.35	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025								
3136A2YE7	05/02/2014	01/15/2013	592.35	603.27		0.00	-10.92	0.00	0.00
1663 56	FNMA SER 2013-M5 CL ASQ2 0 59483% DUE 25AUG 2015								
3136ADZT9	05/02/2014	04/15/2013	1,663.56	1,663.56		0.00	0.00	0.00	0.00
9787 52	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2 0% 15 JUL 2022								
3137GA5X1	05/02/2014	12/20/2012	9,787.52	10,036.80		0.00	-249.28	0.00	0.00
9448 88	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	05/02/2014	12/11/2012	9,448.88	9,980.38		0.00	-531.50	0.00	0.00

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Page 57 of 89
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1027 39	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	05/02/2014	01/04/2013	1,027.39	1,092.89		0.00	-65.50	0.00	0.00
7988 23	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	05/07/2014	11/26/2012	7,988.23	8,105.87		0.00	-117.64	0.00	0.00
1475.97	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	05/08/2014	12/07/2012	1,475.97	1,480.81		0.00	-4.84	0.00	0.00
15521 3	GE COML MTG CORP 2004-C3 COML MTG PASSTHRU CTF CL A-4 5.189% 10 JUL 2039								
36828QHW9	05/12/2014	11/27/2012	15,521.30	16,382.85		0.00	-861.55	0.00	0.00
2347.21	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045								
90270RBA1	05/12/2014	12/06/2012	2,347.21	2,347.20		0.00	0.01	0.00	0.00
2209.35	MORGAN STANLEY BK AMER MERRILL LYNCH TR COML MTG PASSTHRU CTF A-1 .825% 15 MAY 05/16/2014								
61762DAS0	05/16/2014	04/15/2013	2,209.35	2,209.35		0.00	0.00	0.00	0.00
4338.8	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 73400002718%								
92890NAS8	05/16/2014	11/30/2012	4,338.80	4,338.74		0.00	0.06	0.00	0.00
35007 06	WACHOVIA BK COML MTG TR 2004-C14 COML MTG PASSTHRU CTF CL A-4 5.088%								
929766UK7	05/16/2014	Various	35,007.06	37,017.35		0.00	-2,010.29	0.00	0.00
5958.14	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 687% 15 OCT 2045								
94988HAA9	05/16/2014	11/27/2012	5,958.14	5,961.86		0.00	-3.72	0.00	0.00

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Page 58 of 89
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135000.0	CR SUISSE AG MEDIUM TERM SUB NTS TRANCHE# TR 00005 3 5% 23 MAR 2015								
22546QAE7	05/22/2014	03/11/2013	138,384.45	138,165.45		0.00	219.00	0.00	0.00
2659 5	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	05/25/2014	03/01/2013	2,659.50	2,659.45		0.00	0.05	0.00	0.00
6695 18	HOME LN TR 2006-H14 5 44% 25 SEP 2036								
43718MAC8	05/25/2014	02/07/2013	6,695.18	6,910.31		0.00	-215.13	0.00	0.00
844 32	FNMA SER 2011-122 CL A 3 0% DUE 25 DEC 2025								
3136A2YE7	06/03/2014	01/15/2013	844.32	859.89		0.00	-15.57	0.00	0.00
6103.86	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	06/03/2014	04/15/2013	6,103.86	6,103.86		0.00	0.00	0.00	0.00
9040 84	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GA5X1	06/03/2014	12/20/2012	9,040.84	9,271.10		0.00	-230.26	0.00	0.00
7812.18	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	06/03/2014	12/11/2012	7,812.18	8,251.62		0.00	-439.44	0.00	0.00
1523 12	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	06/03/2014	01/04/2013	1,523.12	1,620.22		0.00	-97.10	0.00	0.00
9271.14	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1.84 DUE 10-07-2020 REG								
62888VAB4	06/05/2014	11/26/2012	9,271.14	9,407.67		0.00	-136.53	0.00	0.00

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940.69	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	06/09/2014	12/07/2012	940.69	943.78		0.00	-3.09	0.00	0.00
2148.61	UBS-BARCLAYS COM1 MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	06/12/2014	12/06/2012	2,148.61	2,148.61		0.00	0.00	0.00	0.00
3110 1	J P MORGAN CHASE COM1 MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638UAA4	06/17/2014	05/21/2013	3,110.10	3,110.59		0.00	-0.49	0.00	0.00
2048 06	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM1 MTG PASSTHRU CTF A-1 825% 15 MAY								
61762DAS0	06/17/2014	04/15/2013	2,048.06	2,048.06		0.00	0.00	0.00	0.00
4031 03	WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1 73400002718%								
92890NAS8	06/17/2014	11/30/2012	4,031.03	4,030.97		0.00	0.06	0.00	0.00
10215 21	WACHOVIA BK COM1 MTG TR 2004-C14 COM1 MTG PASSTHRU CTF CL A-4 5 088%								
929766UK7	06/17/2014	01/25/2013	10,215.21	10,740.34		0.00	-525.13	0.00	0.00
5445 06	WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 687% 15 OCT 2045								
94988HAA9	06/17/2014	11/27/2012	5,445.06	5,448.46		0.00	-3.40	0.00	0.00
2520 81	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137803V4	06/25/2014	03/01/2013	2,520.81	2,520.76		0.00	0.05	0.00	0.00
3764.19	HOME LN TR 2006-H14 5 44% 25 SEP 2036								
43718MAC8	06/25/2014	Various	3,764.19	3,882.12		0.00	-117.93	0.00	0.00

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Page 60 of 89
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688.52	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025								
3136A2YE7	07/02/2014	01/15/2013	688.52	701.21		0.00	-12.69	0.00	0.00
8599.55	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	07/02/2014	04/15/2013	8,599.55	8,599.55		0.00	0.00	0.00	0.00
2316.9	FNMA FNA SER 2013-M9 CL ASQ1 .9821% DUE 25 MAR 2018								
3136AEYF8	07/02/2014	06/12/2013	2,316.90	2,316.90		0.00	0.00	0.00	0.00
10897.17	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GA5X1	07/02/2014	12/20/2012	10,897.17	11,174.71		0.00	-277.54	0.00	0.00
7445.98	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	07/02/2014	12/11/2012	7,445.98	7,864.82		0.00	-418.84	0.00	0.00
1503.14	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	07/02/2014	01/04/2013	1,503.14	1,598.97		0.00	-95.83	0.00	0.00
8140.97	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	07/08/2014	11/26/2012	8,140.97	8,260.86		0.00	-119.89	0.00	0.00
31523.42	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	07/10/2014	12/07/2012	31,523.42	31,626.86		0.00	-103.44	0.00	0.00
2364.88	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	07/11/2014	12/06/2012	2,364.88	2,364.87		0.00	0.01	0.00	0.00

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3412 6	J P MORGAN CHASE COM ML MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638UAA4	7053% 15 OCT 2045	07/17/2014	3,412.60	3,413.13		0.00	-0.53	0.00	0.00
2224 76	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM ML MTG PASSTHRU								
61762DAS0	CTF A-1 825% 15 MAY	07/17/2014	2,224.76	2,224.76		0.00	0.00	0.00	0.00
4371.18	WFRBS COM ML MTG TR 2012-C10 COM ML MTG PASSTHRU CTF A-1								
92890NAS8	73400002718% 07/17/2014	11/30/2012	4,371.18	4,371.11		0.00	0.07	0.00	0.00
49354 75	WACHOVIA BK COM ML MTG TR 2004-C14 COM ML MTG PASSTHRU CTF CL A-4								
929766UK7	5.088% 07/17/2014	01/25/2013	49,354.75	51,891.89		0.00	-2,537.14	0.00	0.00
6130 27	WELLS FARGO COM ML MTG TR 2012-LC5 COM ML CL A-1 687% 15 OCT 2045								
94988HAA9	07/17/2014	11/27/2012	6,130.27	6,134.10		0.00	-3.83	0.00	0.00
2796 31	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	07/25/2014	03/01/2013	2,796.31	2,796.26		0.00	0.05	0.00	0.00
3412 5	HOME LN TR 2006-H14 5 44% 25 SEP 2036								
43718MAC8	07/25/2014	02/11/2013	3,412.50	3,518.91		0.00	-106.41	0.00	0.00
12304 07	SLM STUDENT LN TR 2005-10 STUDENT LN-BK DNT CL A-4 VAR 25 OCT 2019								
78442GRG3	07/25/2014	11/27/2012	12,304.07	12,281.00		0.00	23.07	0.00	0.00
15000.0	AMERICAN INTL GROUP INC 3 8% 22 MAR 2017								
026874CS4	07/31/2014	02/20/2013	15,980.40	15,912.35		0.00	68.05	0.00	0.00

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Page 62 of 89
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1356 12	FNMA SER 2011-1-122 CL A 3 0% DUE 25 DEC 2025								
3136A2YE7	08/04/2014	01/15/2013	1,356.12	1,381.12		0.00	-25.00	0.00	0.00
174 32	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	08/04/2014	04/15/2013	174.32	174.32		0.00	0.00	0.00	0.00
2099 86	FNMA FNA SER 2013-M9 CL ASQ1 .9821% DUE 25 MAR 2018								
3136AEYF8	08/04/2014	06/12/2013	2,099.86	2,099.86		0.00	0.00	0.00	0.00
1465.26	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	08/04/2014	07/03/2013	1,465.26	1,485.87		0.00	-20.61	0.00	0.00
7747 64	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GA5X1	08/04/2014	12/20/2012	7,747.64	7,944.96		0.00	-197.32	0.00	0.00
355000.0	FNMA 468625 ADJ RT 01 JUL 2018								
31381RSN5	08/04/2014	11/20/2012	355,000.00	355,554.69		0.00	-554.69	0.00	0.00
8413 46	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	08/04/2014	12/11/2012	8,413.46	8,886.72		0.00	-473.26	0.00	0.00
1064 01	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	08/04/2014	01/04/2013	1,064.01	1,131.84		0.00	-67.83	0.00	0.00
8253 48	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	08/06/2014	11/26/2012	8,253.48	8,375.03		0.00	-121.55	0.00	0.00

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2014 Tax Information Statement

Page 63 of 89
Ref: AET

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
XX-XXX2373
Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
1022 16	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	08/07/2014	12/07/2012	1,022.16	1,025.51		0.00	-3.35	0.00	0.00
2166.93	UBS-BARCLAYS COM ML MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	08/12/2014	12/06/2012	2,166.93	2,166.93		0.00	0.00	0.00	0.00
3137.4	J P MORGAN CHASE COM ML MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638JAA4	08/15/2014	05/21/2013	3,137.40	3,137.89		0.00	-0.49	0.00	0.00
2064.05	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM ML MTG PASSTHRU CTF A-1 825% 15 MAY 08/15/2014 04/15/2013								
61762DAS0	08/15/2014	04/15/2013	2,064.05	2,064.05		0.00	0.00	0.00	0.00
4064 61	WFRBS COM ML MTG TR 2012-C10 COM ML MTG PASSTHRU CTF A-1 73400002718% 08/15/2014 11/30/2012								
92890NAS8	08/15/2014	11/30/2012	4,064.61	4,064.55		0.00	0.06	0.00	0.00
5918.06	WELLS FARGO COM ML MTG TR 2012-LC5 COM ML CL A-1 687% 15 OCT 2045								
94988HAA9	08/15/2014	11/27/2012	5,921.76	5,921.76		0.00	-3.70	0.00	0.00
37897 19	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	08/25/2014	03/01/2013	37,897.19	37,896.51		0.00	0.68	0.00	0.00
2627 95	HOME LN TR 2006-H14 5 44% 25 SEP 2036								
43718MAC8	08/25/2014	02/11/2013	2,627.95	2,709.90		0.00	-81.95	0.00	0.00
70000 0	WELLS FARGO & CO 5 125% DUE 09-15-2016 09-15-2016 BEO								
949746JE2	09/02/2014	02/20/2013	75,578.30	75,080.83		0.00	497.47	0.00	0.00

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740.53	FNMA SER 2011-122 CL A 3 0% DUE 25 DEC 2025								
3136A2YE7	09/03/2014	01/15/2013	740.53	754.18		0.00	-13.65	0.00	0.00
2811 08	FNMA SER 2013-M5 CL ASQ2 0 59483% DUE 25AUG 2015								
3136ADZT9	09/03/2014	04/15/2013	2,811.08	2,811.08		0.00	0.00	0.00	0.00
2110 3	FNMA FNA SER 2013-M9 CL ASQ1.9821% DUE 25 MAR 2018								
3136AEYF8	09/03/2014	06/12/2013	2,110.30	2,110.30		0.00	0.00	0.00	0.00
1352 36	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	09/03/2014	07/03/2013	1,352.36	1,371.38		0.00	-19.02	0.00	0.00
9044 58	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022								
3137GASX1	09/03/2014	12/20/2012	9,044.58	9,274.93		0.00	-230.35	0.00	0.00
7936 16	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	09/03/2014	12/11/2012	7,936.16	8,382.57		0.00	-446.41	0.00	0.00
1125 37	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	09/03/2014	01/04/2013	1,125.37	1,197.11		0.00	-71.74	0.00	0.00
8199 42	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	09/05/2014	11/26/2012	8,199.42	8,320.17		0.00	-120.75	0.00	0.00
855 9	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	09/10/2014	12/07/2012	855.90	858.71		0.00	-2.81	0.00	0.00

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2014 Tax Information Statement

Page 65 of 89
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2175.73	UBS-BARCLAYS COM ML MTG TR 2012-C4 CL A-1	67280% 10 DEC 2045							
90270RBA1	09/12/2014	12/06/2012	2,175.73	2,175.72		0.00	0.01	0.00	0.00
3150.52	J P MORGAN CHASE COM ML MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638JAA4	09/17/2014	05/21/2013	3,150.52	3,151.01		0.00	-0.49	0.00	0.00
2071.77	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM ML MTG PASSTHRU								
61762DAS0	09/17/2014	04/15/2013	2,071.77	2,071.77		0.00	0.00	0.00	0.00
4080.86	WFRBS COM ML MTG TR 2012-C10 COM ML MTG PASSTHRU CTF A-1								
92890NAS8	09/17/2014	11/30/2012	4,080.86	4,080.80		0.00	0.06	0.00	0.00
6019.72	WELLS FARGO COM ML MTG TR 2012-LC5 COM ML CL A-1	687% 15 OCT 2045							
94988HAA9	09/17/2014	11/27/2012	6,019.72	6,023.48		0.00	-3.76	0.00	0.00
2762.63	FEDERAL HOME LN MTG CORP TRANCHE 00812	727% 25 DEC 2016							
3137B03V4	09/25/2014	03/01/2013	2,762.63	2,762.58		0.00	0.05	0.00	0.00
2658.02	HOME LN TR 2006-H14	5 44% 25 SEP 2036							
43718MAC8	09/25/2014	02/11/2013	2,658.02	2,740.91		0.00	-82.89	0.00	0.00
145000.0	QWEST CORP NT 7 5% 01 OCT 2014								
74913GAG0	10/01/2014	02/28/2013	145,000.00	145,000.00		0.00	0.00	0.00	0.00
1205.4	FNMA SER 2011-122 CL A	3 0% DUE 25 DEC 2025							
3136A2YE7	10/02/2014	01/15/2013	1,205.40	1,227.62		0.00	-22.22	0.00	0.00

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Page 66 of 89

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86.05	FNMA 1.0738 11-25-2016								
3136ABPT4	10/02/2014	09/24/2013	86.05	86.12		0.00	-0.07	0.00	0.00
8888.67	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	10/02/2014	04/15/2013	8,888.67	8,888.67		0.00	0.00	0.00	0.00
38609.1	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	10/02/2014	06/12/2013	38,609.10	38,609.01		0.00	0.09	0.00	0.00
1377.43	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	10/02/2014	07/03/2013	1,377.43	1,396.80		0.00	-19.37	0.00	0.00
3205.09	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020								
3137A8WY0	10/02/2014	09/25/2013	3,205.09	3,267.19		0.00	-62.10	0.00	0.00
7200.89	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2 0% 15 JUL 2022								
3137GA5X1	10/02/2014	12/20/2012	7,200.89	7,384.29		0.00	-183.40	0.00	0.00
8679.15	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	10/02/2014	12/11/2012	8,679.15	9,167.35		0.00	-488.20	0.00	0.00
1085.62	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	10/02/2014	01/04/2013	1,085.62	1,154.83		0.00	-69.21	0.00	0.00
2247.79	MORGAN STANLEY BK AMER MERRILL LYNCH TR COMML MTG PASSTHRU CTF A-1 825% 15 MAY								
61762DAS0	10/02/2014	04/15/2013	2,247.79	2,247.79		0.00	0.00	0.00	0.00

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2014 Tax Information Statement

Page 67 of 89
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CHICAGO, IL 60680

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4419 59	WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1								
92890NAS8	73400002718% 10/02/2014	11/30/2012	4,419.59	4,419.52		0.00	0.07	0.00	0.00
8047 15	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER IIA 1 84 DUE 10-07-2020 REG								
62888VAB4	10/07/2014	11/26/2012	8,047.15	8,165.66		0.00	-118.51	0.00	0.00
66000.0	DOLPHIN SUBSIDIARY II INC 6 5% 15 OCT 2016								
256882AB7	10/08/2014	02/27/2013	72,108.96	68,568.02		0.00	3,540.94	0.00	0.00
13357.06	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	10/08/2014	12/07/2012	13,357.06	13,400.89		0.00	-43.83	0.00	0.00
2391 23	UBS-BARCLAYS COM1 MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	10/10/2014	12/06/2012	2,391.23	2,391.22		0.00	0.01	0.00	0.00
3451.88	J P MORGAN CHASE COM1 MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638UAA4	7053% 15 OCT 2045 10/17/2014	05/21/2013	3,451.88	3,452.42		0.00	-0.54	0.00	0.00
6638 39	WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 687% 15 OCT 2045								
94988HAA9	10/17/2014	11/27/2012	6,638.39	6,642.54		0.00	-4.15	0.00	0.00
2732 63	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1 383% 25 MAR 2018								
3137ATSB9	10/25/2014	09/27/2013	2,732.63	2,727.61		0.00	5.02	0.00	0.00
2928.23	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	10/25/2014	03/01/2013	2,928.23	2,928.18		0.00	0.05	0.00	0.00

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2014 Tax Information Statement

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3361 95	HOME LN TR 2006-H14 5.44% 25 SEP 2036								
43718MAC8	10/25/2014	02/11/2013	3,361.95	3,466.79		0.00	-104.84	0.00	0.00
12268 75	SLM STUDENT LN TR 2005-10 STUDENT LN-BKDNT CL A-4 VAR 25 OCT 2019								
78442GRG3	10/25/2014	11/27/2012	12,268.75	12,245.75		0.00	23.00	0.00	0.00
85000.0	ARCELORMITTAL SA LUXEMBOURG NT 9 5% DUE 02-15-2015/05-20-2009								
03938LAL8	10/30/2014	11/26/2012	87,214.87	87,185.59		0.00	29.28	0.00	0.00
1194 88	FNMA SER 2011-122 CL A 3 0% DUE 25 DEC 2025								
3136A2YE7	11/04/2014	01/15/2013	1,194.88	1,216.91		0.00	-22.03	0.00	0.00
382 39	FNMA 1.0738 11-25-2016								
3136ABPT4	11/04/2014	09/24/2013	382.39	382.72		0.00	-0.33	0.00	0.00
14217.54	FNMA SER 2013-M5 CL ASQ2 0.59483% DUE 25AUG 2015								
3136ADZT9	11/04/2014	04/15/2013	14,217.54	14,217.54		0.00	0.00	0.00	0.00
2072 83	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	11/04/2014	06/12/2013	2,072.83	2,072.83		0.00	0.00	0.00	0.00
1330 21	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	11/04/2014	07/03/2013	1,330.21	1,348.92		0.00	-18.71	0.00	0.00
3247 46	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020								
3137A8WY0	11/04/2014	09/25/2013	3,247.46	3,310.38		0.00	-62.92	0.00	0.00

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8397 15	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2 0% 15 JUL 2022								
3137GA5X1	11/04/2014	12/20/2012	8,397.15	8,611.02		0.00	-213.87	0.00	0.00
8296 05	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	11/04/2014	12/11/2012	8,296.05	8,762.70		0.00	-466.65	0.00	0.00
1298.02	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032								
31394TX83	11/04/2014	01/04/2013	1,298.02	1,380.77		0.00	-82.75	0.00	0.00
2457.44	UBS-BARCLAYS COMML MTG TR 2012-C4 CL A-1 6.7280% 10 DEC 2045								
90270RBA1	11/04/2014	12/06/2012	2,457.44	2,457.43		0.00	0.01	0.00	0.00
7546 66	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	11/05/2014	11/26/2012	7,546.66	7,657.80		0.00	-111.14	0.00	0.00
1015 34	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	11/07/2014	12/07/2012	1,015.34	1,018.67		0.00	-3.33	0.00	0.00
3230.16	J P MORGAN CHASE COMML MTG SECS TR 2012-CMTG PASSTHRU CL A-1 7053% 15 OCT 2045								
46638JAA4	11/18/2014	05/21/2013	3,230.16	3,230.66		0.00	-0.50	0.00	0.00
2087 93	MORGAN STANLEY BK AMER MERRILL LYNCH TR COMML MTG PASSTHRU CTF A-1 825% 15 MAY								
61762DAS0	11/18/2014	04/15/2013	2,087.93	2,087.93		0.00	0.00	0.00	0.00
4114.84	WFRBS COMML MTG TR 2012-C10 COMML MTG PASSTHRU CTF A-1 73400002718%								
92890NAS8	11/18/2014	11/30/2012	4,114.84	4,114.78		0.00	0.06	0.00	0.00

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6072 8	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1	687% 15 OCT 2045							
94988HAA9	11/18/2014	11/27/2012	6,072.80	6,076.60		0.00	-3.80	0.00	0.00
80000.0	VERIZON 3 DUE 04-01-2016								
92343VAY0	11/24/2014	04/04/2013	82,684.80	82,161.46		0.00	523.34	0.00	0.00
2439 74	FEDERAL HOME LN MTG CORP SER KP01 CL A1	1 383% 25 MAR 2018							
3137ATSB9	11/25/2014	09/27/2013	2,439.74	2,435.26		0.00	4.48	0.00	0.00
2779 37	FEDERAL HOME LN MTG CORP TRANCHE 00812	727% 25 DEC 2016							
3137B03V4	11/25/2014	03/01/2013	2,779.37	2,779.32		0.00	0.05	0.00	0.00
3042.92	HOME LN TR 2006-H14	5.44% 25 SEP 2036							
43718MAC8	11/25/2014	02/11/2013	3,042.92	3,137.81		0.00	-94.89	0.00	0.00
492 28	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1	1.6% 29 OCT 2020							
62888XAA2	12/01/2014	11/01/2013	492.28	496.43		0.00	-4.15	0.00	0.00
776 05	FNMA SER 2011-122 CL A	3 0% DUE 25 DEC 2025							
3136A2YE7	12/02/2014	01/15/2013	776.05	790.36		0.00	-14.31	0.00	0.00
5358 91	FNMA 1 0738	11-25-2016							
3136ABPT4	12/02/2014	09/24/2013	5,358.91	5,363.52		0.00	-4.61	0.00	0.00
15882.0	FNMA SER 2013-M5 CL ASQ2	0 59483% DUE 25AUG 2015							
3136ADZT9	12/02/2014	04/15/2013	15,882.00	15,882.00		0.00	0.00	0.00	0.00

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Page 71 of 89
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2309 99	FNMA FNA SER 2013-M9 CL ASQ1 9821% DUE 25 MAR 2018								
3136AEYF8	12/02/2014	06/12/2013	2,309.99	2,309.98		0.00	0.01	0.00	0.00
1406.17	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2 25% DUE 15 NOV 2028								
3137A4BK2	12/02/2014	07/03/2013	1,406.17	1,425.94		0.00	-19.77	0.00	0.00
2561.51	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2 0% 15 MAY 2020								
3137A8WY0	12/02/2014	09/25/2013	2,561.51	2,611.14		0.00	-49.63	0.00	0.00
7491 66	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2 0% 15 JUL 2022								
3137GA5X1	12/02/2014	12/20/2012	7,491.66	7,682.46		0.00	-190.80	0.00	0.00
7736 63	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018								
31394JS40	12/02/2014	12/11/2012	7,736.63	8,171.82		0.00	-435.19	0.00	0.00
1403 63	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5 0% 15 OCT 2032								
31394TX83	12/02/2014	01/04/2013	1,403.63	1,493.11		0.00	-89.48	0.00	0.00
7500 11	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1 84 DUE 10-07-2020 REG								
62888VAB4	12/08/2014	11/26/2012	7,500.11	7,610.56		0.00	-110.45	0.00	0.00
838 34	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020								
62889AAA1	12/10/2014	12/07/2012	838.34	841.09		0.00	-2.75	0.00	0.00
2899 4	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 67280% 10 DEC 2045								
90270RBA1	12/12/2014	12/06/2012	2,899.40	2,899.39		0.00	0.01	0.00	0.00

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2014 Tax Information Statement

Page 72 of 89
Ref: AET

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
300 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
23171 69	CREDIT SUISSE FIRST BOSTON MTG SECS CORP2005-C1 COM1 MTG								
225458DM7	PASSTHRU CTF CL A-4	12/17/2014	23,171.69	23,928.39		0.00	-756.70	0.00	0.00
3536 37	J P MORGAN CHASE COM1 MTG SECS TR 2012-CMTG PASSTHRU CL A-1								
46638UAA4	7053% 15 OCT 2045	12/17/2014	3,536.37	3,536.92		0.00	-0.55	0.00	0.00
2263 49	MORGAN STANLEY BK AMER MERRILL LYNCH TR COM1 MTG PASSTHRU								
61762DAS0	CTF A-1 825% 15 MAY	12/17/2014	2,263.49	2,263.49		0.00	0.00	0.00	0.00
400000.0	UNITED STATES TREAS NTS DTD 07/15/2013								
912828VL1	12/17/2014 Various		401,093.76	400,048.22		0.00	1,045.54	0.00	0.00
4482.08	WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1								
92890NAS8	73400002718% 12/17/2014 11/30/2012		4,482.08	4,482.01		0.00	0.07	0.00	0.00
6689 97	WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 687% 15 OCT 2045								
94988HAA9	12/17/2014 11/27/2012		6,689.97	6,694.15		0.00	-4.18	0.00	0.00
2757.87	FEDERAL HOME LN MTG CORP SER KP01 CL A 1 383% 25 MAR 2018								
3137ATS89	12/25/2014 09/27/2013		2,757.87	2,752.81		0.00	5.06	0.00	0.00
130755 79	FEDERAL HOME LN MTG CORP TRANCHE 00812 727% 25 DEC 2016								
3137B03V4	12/25/2014 03/01/2013		130,755.79	130,753.43		0.00	2.36	0.00	0.00
3621 61	HOME LN TR 2006-H14 5.44% 25 SEP 2036								
43718MAC8	12/25/2014 02/11/2013		3,621.61	3,734.54		0.00	-112.93	0.00	0.00

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591.92	NCUA GTD NTS TR 2010-C1 GTD NT CL A-1 1.6% 29 OCT 2020								
6288XAA2	12/30/2014	11/01/2013	591.92	596.91		0.00	-4.99	0.00	0.00
Total Long Term Sales			5,109,824.31	5,113,821.65		0.00	-4.99	0.00	0.00