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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation The Melvin and Bren Simon Charitable Foundation Number One		A Employer identification number 35-2049367	
Number and street (or P O box number if mail is not delivered to street address) 329 Main Street		B Telephone number (see instructions) (970) 963-5898	
City or town, state or province, country, and ZIP or foreign postal code Carbondale, CO 81623		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 21,480,859		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	216,609	216,609		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	265,052			
	b Gross sales price for all assets on line 6a 4,592,505				
	7 Capital gain net income (from Part IV, line 2) . . .		265,052		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	18,129	17,936		
	12 Total. Add lines 1 through 11	499,790	499,597		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	19,680			19,680
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	55,559	4,059		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	90,692	89,807		
	24 Total operating and administrative expenses. Add lines 13 through 23	165,931	93,866		19,680
	25 Contributions, gifts, grants paid	2,730,665			2,730,665
	26 Total expenses and disbursements. Add lines 24 and 25	2,896,596	93,866		2,750,345
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,396,806			
	b Net investment income (if negative, enter -0-)		405,731		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,270,395	356,538	356,538
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ <u>54</u>			
		Less allowance for doubtful accounts ▶ _____		54	54
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,436,773	☒ 3,754,600	4,341,884
	c	Investments—corporate bonds (attach schedule).	7,530,633	☒ 8,192,346	8,200,689
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	9,395,613	☒ 7,130,377	8,581,694	
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	20,633,414	19,433,915	21,480,859	
Liabilities	17	Accounts payable and accrued expenses	205,224	1,410,000	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	205,224	1,410,000	
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24		Unrestricted			
25		Temporarily restricted			
26		Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
27		Capital stock, trust principal, or current funds			
28		Paid-in or capital surplus, or land, bldg , and equipment fund			
29		Retained earnings, accumulated income, endowment, or other funds	20,428,190	18,023,915	
30		Total net assets or fund balances (see instructions)	20,428,190	18,023,915	
31		Total liabilities and net assets/fund balances (see instructions) . . .	20,633,414	19,433,915	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 20,428,190
2	Enter amount from Part I, line 27a	2 -2,396,806
3	Other increases not included in line 2 (itemize) ▶ ☒ _____	3 1,052
4	Add lines 1, 2, and 3	4 18,032,436
5	Decreases not included in line 2 (itemize) ▶ ☒ _____	5 8,521
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 18,023,915

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Capital Gain Dividends				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b			53,634	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	265,052
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	3,756,672	23,336,261	0 16098
2012	2,510,333	24,222,444	0 10364
2011	3,769,889	25,135,814	0 14998
2010	2,625,972	26,950,198	0 09744
2009	2,850,553	27,818,353	0 10247
2 Total of line 1, column (d).			2 0 61451
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 12290
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.			4 21,887,720
5 Multiply line 4 by line 3.			5 2,690,023
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 4,057
7 Add lines 5 and 6.			7 2,694,080
8 Enter qualifying distributions from Part XII, line 4.			8 2,750,345
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	4,057
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3	4,057
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,057
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	10,000	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	10,000	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	20,000
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	15,943
11		Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 5,000 Refunded ☐		11	10,943

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a		No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		1b		No
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		2		No
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		No
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		5		No
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IN				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>		9		No
10		Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of Susan Tribble Telephone no (970) 963-5898 Located at 329 Main Street Carbondale CO ZIP+4 816232027			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country VI				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 Yes No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Bren Simon	Trustee	0		
329 Main Street	0 25			
Carbondale, CO 816232027				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	21,865,663
b	Average of monthly cash balances.	1b	355,319
c	Fair market value of all other assets (see instructions).	1c	54
d	Total (add lines 1a, b, and c).	1d	22,221,036
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	22,221,036
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	333,316
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	21,887,720
6	Minimum investment return. Enter 5% of line 5.	6	1,094,386

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,094,386
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	4,057
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,057
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,090,329
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,090,329
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	1,090,329

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,750,345
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,750,345
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	4,057
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,746,288
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,090,329
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009.	2,850,553			
b From 2010.	2,632,100			
c From 2011.	3,775,206			
d From 2012.	2,510,333			
e From 2013.	3,762,324			
f Total of lines 3a through e.	15,530,516			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 2,750,345				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				1,090,329
e Remaining amount distributed out of corpus	1,660,016			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,190,532			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	2,850,553			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	14,339,979			
10 Analysis of line 9				
a Excess from 2010. . . .	2,632,100			
b Excess from 2011. . . .	3,775,206			
c Excess from 2012. . . .	2,510,333			
d Excess from 2013. . . .	3,762,324			
e Excess from 2014. . . .	1,660,016			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	2,730,665
b Approved for future payment See Additional Data Table				
Total			3b	6,225,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2015-11-04

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's Signature

Date

Check if self-employed

PTIN

Ricka E Neuman

P00631167

Firm's name

Firm's EIN

Firm's address

Phone no

Neuman & Associates LLC

575 S Charles St Ste 402 Baltimore, MD 212012484

(410) 659-6200

Form 990-PF (2014)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Gleaners Food Bank 3737 Waldemere Ave Indianapolis,IN 46241	None	PF	Food bank donation	200,000
National Democratic Institute 455 Massachusetts Avenue NW Washington,DC 20001	None	PF	Annual donation	100,000
William J Clinton Foundation 1271 Avenue of the Americas New York,NY 10020	None	POF	Contribution to General Fund	100,000
US Department of State 2201 C Street NW Washington,DC 20520	None	GOV	Capital campaign for diplomatic reception rooms	200,000
Indianapolis Museum of Art 4000 Michigan Road Indianapolis,IN 46208	None	POF	CEO/Director chair endowment	500,000
Riley's Children's Foundation 30 S Meridian St 200 Indianapolis,IN 46204	None	PC	Riley Hospital for Children Simon Family Tower	500,000
UCLA Foundation 10920 Wilshire Blvd Suite 900 Los Angeles,CA 90024	None	PF	Fourth installment of The Melvin and Bren Simon Digestive Diseases Center	500,000
Indianapolis Museum of Art 4000 Michigan Road Indianapolis,IN 46208	None	POF	Nature Park contribution	25,000
The Aspen Institute One Dupont Circle NW Suite 700 Washington,DC 20036	None	PC	Support for the Gold Leaf Society	50,000
The Aspen Institute One Dupont Circle NW Suite 700 Washington,DC 20006	None	PC	Socrates Program	15,000
Mountain Rescue Aspen 630 West Main Street Aspen,CO 81611	None	PF	General support	15,000
National Democratic Institute 455 Massachusetts Avenue NW Washington,DC 20001	None	PF	Global patron donation	25,000
Colorado Rocky Mountain School 1493 Country Road 106 Carbondale,CO 81623	None	PC	Work crew donation	2,415
Thompson Divide Coalition 711 Main Street Carbondale,CO 81623	None	PC	Funding for Legal Defense Fund and advocacy	300,000
Indiana Misson of Mercy 1319 East Stop 10 Road Indianapolis,IN 46227	None	PF	Sponsorship	5,000
Total  3a				2,730,665

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Colorado Pathfinders P O Box 11799 Aspen, CO 81612	None	PC	Donation for cancer and chronically ill patients	5,000
OPCC 505 Olympic Blvd Santa Monica, CA 90401	None	PC	Champion sponsor	50,000
Thelonious Monk Institute of Jazz 445 Charles E Young Drive East Los Angeles, CA 90095	None	PC	2014 Gala Sponsorship	25,000
University of Southern California University Park Campus Los Angeles, CA 90089	None	PC	2014 pledge	100,000
Human Rights Foundation 350 Fifth Avenue 4515 New York, NY 10118	None	PF	Annual pledge	10,000
Black Tie Dinner Inc 924 Liberty Ct Dallas, TX 75204	None	PC	Sponsorship	3,250
Total			▶ 3a	2,730,665

TY 2014 Accounting Fees Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	19,680	0	0	19,680

TY 2014 General Explanation Attachment

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Identifier	Return Reference	Explanation
	General Explanation Supplemental Information for Form 990-PF	The Foundation has made PFIC elections for the following investments Haw keye Capital OffshoreSprott Physical Gold Trust (via Seamans Global Bond QP Fund)Copies of Forms 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund, are attached to this return as pdfs

**TY 2014 Investments Corporate
Bonds Schedule**

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	8,192,346	8,200,689

**TY 2014 Investments Corporate
Stock Schedule**

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate Stocks & Mutual Funds	3,754,600	4,341,884

TY 2014 Investments - Other Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Alternative Investments	AT COST	7,130,377	8,581,694

TY 2014 Other Decreases Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Description	Amount
KC Partners book/tax difference	8,521

TY 2014 Other Expenses Schedule**Name:** The Melvin and Bren Simon Charitable

Foundation Number One

EIN: 35-2049367**Software ID:** 14000265**Software Version:** 2014v5.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR fees	163	163		
Expenses from Blackstone Ptrs K-1	36	36		
Expenses from KC Partners K-1	45,732	45,732		
Expenses from Oaktree Capital K-1	35	35		
Expenses from Seamans Global K-1	7,307	7,307		
Exps from Galtere Global Farmland K-1	599	599		
Investment Fees	35,935	35,935		
Late Payment Penalties	383			
Miscellaneous	2			
Other Non-deductible Expense	500			

TY 2014 Other Income Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	18,129	17,936	

TY 2014 Other Increases Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Description	Amount
Galtare Global Farmland book/tax difference	1,052

TY 2014 Taxes Schedule

Name: The Melvin and Bren Simon Charitable
Foundation Number One

EIN: 35-2049367

Software ID: 14000265

Software Version: 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	45,000			
Foreign Tax	3,595	3,595		
Foreign Taxes from K-1's	464	464		
Indiana Excise Tax	6,500			

Tax ID # 35-2049367

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
CITIBANK NA SIOUX FALLS SD CD FDIC SEMI CPN 2.950% DUE 01/13/15 DTD 01/13/10 FC 07/13/10 CUSIP 173120RQK7 Original Cost 27,218.68	Cash	25,000	100.0480* 25,012.48	100.0398 25,010.34	361.43	2.14	767.00	2.95%
FIRST NATL BANK SIOUX FALLS SD CD FDIC MTILY CPN 3.000% DUE 01/15/15 DTD 01/15/10 FC 02/15/10 CUSIP 32111LBE1 Original Cost 9,468.59	Cash	9,000	100.0640* 9,005.76	100.0692 9,008.03	12.58	-2.27	270.00	3.00%
GOLDMAN SACHS GROUP INC SR GBLR NOTE CPN 5.125% DUE 01/15/15 DTD 01/12/05 FC 07/15/05 CUSIP 36141GSA9 Original Cost 13,564.28	S&P A- Moody Baa1 Cash	13,000	100.1280 13,016.64	100.0704 13,009.15	307.22	7.49	666.25	5.12%
NATL RURAL UTILITIES INTERNOTES QTRLY SURVIVOR OPTION CPN 4.800% DUE 01/15/15 DTD 01/21/05 FC 04/15/05 CUSIP 63743FAQ9 Original Cost 21,325.00	S&P A Moody A2 Cash	20,000	100.0920 20,018.40	100.1583 20,031.86	202.67	-13.46	960.00	4.80%
SAFRA NATL BANK OF NY NEW YORK NY CD FDIC SEMI CPN 1.000% DUE 01/16/15 DTD 01/17/12 FC 07/17/12 CUSIP 788580XM7 Original Cost 35,330.18	Cash	35,000	100.0150* 35,005.25	100.0253 35,008.84	161.10	-3.59	350.00	1.00%

Tax ID # 35-2049367

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Symbol/
Bond Rating/
Type

Quantity

Current Price/
Current ValueAverage Unit Cost/
Cost Basis

Accrued Income

Unrealized
Gain/(Loss)Estimated
Annualized Estimated
Income Yield %

CITIBANK NA

SIoux FALLS SD CD

FDIC SEMI

CPN 2.900% DUE 01/20/15

DTD 01/20/10 FC 07/20/10

CUSIP 17312QR80

Original Cost 20,658.02

CAPITAL ONE BANK USA NA

GLEN ALLEN VA CD

FDIC SEMI

CPN 4.750% DUE 01/23/15

DTD 01/23/08 FC 07/23/08

CUSIP 14041AXU8

Original Cost 27,241.59

NBT BANK NA

NORWICH NY CD

FDIC SEMI

CPN 3.000% DUE 01/27/15

DTD 01/27/10 FC 07/27/10

CUSIP 828779FB1

Original Cost 12,440.00

ALLY BANK

MIDVALE UT CD

FDIC SEMI

CPN 3.150% DUE 02/04/15

DTD 02/04/11 FC 08/04/11

CUSIP 020050FA5

Original Cost 30,482.86

MIDFIRST BANK

OKLAHOMA CITY OK CD

FDIC SEMI

CPN 3.000% DUE 02/05/15

DTD 02/05/10 FC 08/05/10

CUSIP 59740JNG0

Original Cost 20,979.66

20,000

100.0970"

20,019.40

100.1232

20,024.63

262.19

-5.23

580.00

2.90%

25,000

100.2000"

25,060.00

100.2193

25,064.83

527.05

-4.83

1,187.50

4.74%

12,000

100.1460"

12,017.52

100.1831

12,021.97

155.84

-4.45

360.00

3.00%

30,000

100.1230"

30,036.90

100.0635

30,019.04

215.76

17.96

525.00

1.75%

20,000

100.2090"

20,041.80

100.2063

100,041.26

244.93

0.54

600.00

2.99%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
UNITA BANK MOUNTAIN VIEW WY CO FDIC MTHLY CPN 3.500% DUE 02/06/15 DTD 02/06/09 FC 03/06/09 CUSIP 903572AH8 Original Cost: 36,516.76	Cash	35,000	100.2630" 35,082.05	100.1097 35,038.38	88.50	53.87	1,242.50	3.54%
M&I BANK FSB LAS VEGAS NV CD FDIC SEMI CPN 4.000% DUE 02/09/15 DTD 02/08/08 FC 08/08/08 CUSIP 553036FR0 Original Cost: 23,439.40	Cash	22,000	100.3300" 22,072.60	100.1773 22,039.01	352.00	33.59	880.00	3.99%
SOUTHWEST BK OF ST LOUIS ST LOUIS MO CO FDIC SEMI CPN 4.000% DUE 02/09/15 DTD 02/08/08 FC 08/08/08 CUSIP 644776DQ4 Original Cost: 37,342.89	Cash	35,000	100.3360" 35,115.50	100.3195 35,111.83	560.00	3.67	1,400.00	3.99%
REPUBLIC BANK BOUNTEFUL UT CD FDIC MTHLY CPN 3.500% DUE 02/11/15 DTD 02/11/09 FC 03/11/09 CUSIP 760310NM0 Original Cost: 28,440.01	Cash	25,000	100.2960" 25,074.00	100.1636 25,040.91	50.35	33.09	875.00	3.49%
FIRST AMERN BANK ELK GROVE VLG IL CD FDIC MTHLY CPN 2.700% DUE 02/12/15 DTD 02/12/10 FC 03/12/10 CUSIP 31849SED6 Original Cost: 22,854.86	Cash	22,000	100.2360" 22,051.92	100.1726 22,037.97	32.65	13.95	594.00	2.69%

STIFEL

MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
STIFEL VANTAGE ACCOUNT

January 1 -
December 31, 2014
Account Number

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Tax ID # 35-2049367

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
M&I MARSHALL & SILSLEY BANK		Cash	40,000	100.3790** 40,151.60	100.4372 40,174.86	624.55	-23.26	1,640.00	4.08%
FDIC SEMI									
CPN 4.100% DUE 02/13/15									
DTD 02/15/08 FC 08/15/08									
CUSIP: 550403YF53									
Original Cost: 42,489.89									
SOUTHWEST BK OF ST LOUIS		Cash	25,000	100.3790** 25,094.75	100.2412 25,060.31	390.34	34.44	1,025.00	4.08%
ST LOUIS MO CD									
FDIC SEMI									
CPN 4.100% DUE 02/13/15									
DTD 02/15/08 FC 08/15/08									
CUSIP: 844776DU5									
Original Cost: 27,072.88									
PEOPLES STATE BANK		Cash	35,000	100.3380** 35,118.30	100.3103 35,108.62	6.90	9.68	840.00	2.39%
WAUSAU WI CD									
FDIC MTHLY									
CPN 2.400% DUE 02/27/15									
DTD 04/29/10 FC 05/29/10									
CUSIP: 712518FD2									
Original Cost: 36,081.23									
GOLDMAN SACHS BANK USA		Cash	15,000	100.0910** 15,013.65	100.0000 15,000.00	52.21	13.65	157.50	1.05%
NEW YORK NY CD									
FDIC SEMI									
CPN 1.050% DUE 03/02/15									
DTD 08/23/12 FC 02/28/13									
CUSIP: 38143A257									
HEWLETT PACKARD CO		S&P BBB+ Moody Baa1 Cash	20,000	100.3110 20,062.20	100.1342 20,026.84	138.39	35.36	470.00	2.34%
GLBL NOTE									
CPN 2.350% DUE 03/15/15									
DTD 09/19/11 FC 03/15/12									
CUSIP: 428236BN2									
Original Cost: 20,350.40									

Tax ID # 35-2049367

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
REPUBLIC BANK BOUNTIFUL UT CD FDIC MTHLY CPN 3.650% DUE 03/18/15 DTD 03/18/09 FC 04/18/09 CUSIP 780310PA4 Original Cost: 10,698.03	Cash	10,000	100.8480" 10,064.80	100.5549 10,055.49	14.00	9.31	366.00	3.83%
FIRST BUS BANK MADISON WI CD FDIC SEMI CPN 2.500% DUE 03/19/15 DTD 03/19/10 FC 09/19/10 CUSIP 31938QTW4 Original Cost: 16,646.30	Cash	16,000	100.4030" 16,064.48	100.3443 16,055.09	113.98	9.39	400.00	2.49%
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC SEMI CPN 4.400% DUE 03/27/15 DTD 03/27/08 FC 09/27/08 CUSIP 14041AC94 Original Cost: 65,002.40	Cash	60,000	100.9640" 60,518.40	100.7269 60,436.13	694.35	82.27	2,640.00	4.36%
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC SEMI CPN 4.550% DUE 03/27/15 DTD 03/27/08 FC 09/27/08 CUSIP 14041AH51 Original Cost: 26,635.05	Cash	25,000	100.8960" 25,224.00	100.9482 25,237.04	299.18	-13.04	1,137.50	4.51%
QNTY FIRST BANK BOSCOBEL WI CD FDIC MTHLY CPN 3.400% DUE 03/27/15 DTD 03/27/09 FC 04/27/09 CUSIP 20067MAT3 Original Cost: 10,504.37	Cash	10,000	100.6610" 10,066.10	100.2919 10,029.19	4.66	36.91	340.00	3.38%

STIFEL

MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
STIFEL VANTAGE ACCOUNT

January 1 -
December 31, 2014
Account Number

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IA71 4047-9806

Tax ID # 35-2049367

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)*	Estimated Annualized Income	Estimated Yield %
BANK AMER CORP							
GLBL NOTE	32 000	100.9400	100.1398	360.00	256.08	1,440.00	4.46%
CPN 4.500% DUE 04/01/15		32,300.80	32,044.72				
DTD 03/11/10 FC 10/01/10							
CUSIP 06051GEB1							
Original Cost 32 805.00							
FIRST STATE BANK							
BLOOMINGTON IL CD	10 000	100.7380*	100.6172	27.12	12.08	330.00	3.28%
FDIC MTHLY		10,073.80	10,061.72				
CPN 3.300% DUE 04/02/15							
DTD 04/02/09 FC 05/02/09							
CUSIP 33650PAE8							
Original Cost 10,583.00							
MIDFIRST BANK							
OKLAHOMA CITY OK CD	24 000	100.6650*	100.4058	163.34	38.21	648.00	2.68%
FDIC SEMI		24,135.60	24,097.39				
CPN 2.700% DUE 04/02/15							
DTD 04/01/10 FC 10/01/10							
CUSIP 59740JUN1							
Original Cost 24 953.00							
CENLAR FSB							
TRENTON NJ CD	40 000	100.6060*	100.4156	72.60	76.18	1,060.00	2.63%
FDIC MTHLY		40,242.40	40,166.22				
CPN 2.650% DUE 04/07/15							
DTD 04/07/10 FC 05/07/10							
CUSIP 15131XEG8							
Original Cost 41 595.00							
ALLY BANK							
MIDVALE UT CD	18 000	100.3980*	100.1462	71.02	45.33	324.00	1.79%
FDIC SEMI		18,071.64	18,026.31				
CPN 1.800% DUE 04/13/15							
DTD 04/13/11 FC 10/13/11							
CUSIP 02005QLN1							
Original Cost 18 262.40							

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
LAFAYETTE SVGS BANK FSB	Cash	22,000	100.6460*	100.3841	30.35	57.61	583.00	2.63%
LAFAYETTE IN CD			22,142.12	22,084.51				
FDIC MTHLY								
CPN 2.650% DUE 04/13/15								
DTD 04/13/10 FC 05/11/10								
CUSIP 596755006								
Original Cost 22,879.44								
BARCLAYS BANK DE	Cash	14,000	100.6160"	100.4134	83.33	28.37	385.00	2.73%
WILMINGTON DE CD			14,086.24	14,057.87				
FDIC SEMI								
CPN 2.750% DUE 04/14/15								
DTD 04/14/10 FC 10/14/10								
CUSIP 067400KEC7								
Original Cost 14,561.50								
REPUBLIC BANK	Cash	30,000	100.6520"	100.3957	40.69	76.86	825.00	2.73%
BOUNTIFUL UT CD			30,195.50	30,118.72				
FDIC MTHLY								
CPN 2.750% DUE 04/14/15								
DTD 04/14/10 FC 05/14/10								
CUSIP 760310NS3								
Original Cost 31,145.00								
STANDARD FEDL BANK	Cash	10,000	101.2370*	101.0680	96.01	16.90	480.00	4.74%
TROY MI CD			10,123.70	10,106.80				
FDIC SEMI								
CPN 4.600% DUE 04/20/15								
DTD 04/20/05 FC 10/20/05								
CUSIP 85339RL2								
Original Cost 10,985.86								
GOLD COAST BANK	Cash	49,000	100.8980"	100.2834	40.61	203.14	1,347.50	2.73%
CHICAGO IL CD			49,342.02	49,138.88				
FDIC MTHLY								
CPN 2.750% DUE 04/21/15								
DTD 04/21/10 FC 05/21/10								
CUSIP 36058KBA3								
Original Cost 50,991.46								

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
CAPITAL ONE NA MCLEAN VA CD FDIC SEMI CPN 4.450% DUE 04/23/15 DTD 04/23/08 FC 10/23/08 CUSIP 14042ENIS6 Original Cost 16 376.30	Cash	15,000	101.2900* 15,193.50	100.9743 15,146.15	126.02	47.35	667.50	4.39%
FIRST FEDL S&L OF BUCKS BRISTOL PA CD FDIC SEMI CPN 2.350% DUE 04/23/15 DTD 06/23/10 FC 12/23/10 CUSIP 32023CAJ18 Original Cost 15,185.00	Cash	15,000	100.5680* 15,100.20	100.0919 15,013.78	8.70	86.42	352.50	2.33%
WAINWRIGHT B&T CO BOSTON MA CD FDIC MTHLY CPN 2.750% DUE 04/23/15 DTD 04/23/10 FC 05/23/10 CUSIP 930705AU2 Original Cost 8,333.00	Cash	8,000	100.7170** 8,057.36	100.3714 8,029.71	5.42	27.65	220.00	2.73%
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 2.750% DUE 04/28/15 DTD 04/28/10 FC 10/28/10 CUSIP 17284ALE6 Original Cost 37,239.83	Cash	36,000	100.7320* 36,263.52	100.3315 36,119.35	176.30	144.17	990.00	2.73%
BANK ONE CORP SUB NOTE CPN 4.900% DUE 04/30/15 DTD 04/14/03 FC 10/30/03 CUSIP 06423AAV5 Original Cost 80 485.25	S&P A- Moody Baa1 Cash	75,000	101.4070 76,055.25	100.7020 75,526.49	622.71	528.76	3,675.00	4.83%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
JPMORGAN CHASE & CO GLBL SP NOTE CPN 5.250% DUE 05/01/15 DTD 04/24/03 FC 11/01/03 CUSIP 46625HAX8 Original Cost: 53,814.79	S&P A- Moody Baa1 Cash	50,000	101.4250 50,713.00	100.7317 50,365.85	437.50	347.15	2,625.00	5.18%
INVESTORS BANK SHORT HILLS NJ CD FDIC SEMI CPN 1.800% DUE 05/05/15 DTD 05/05/11 FC 11/05/11 CUSIP 46176PAL4 Original Cost: 18,469.54	Cash	18,000	100.4910 18,088.38	100.4677 18,084.18	50.50	4.20	324.00	1.79%
DISCOVER BANK GREENWOOD DE CD FDIC SEMI CPN 3.650% DUE 05/06/15 DTD 05/06/09 FC 11/06/09 CUSIP 26469J3C1 Original Cost: 44,819.96	Cash	42,000	101.0490 42,440.56	100.8430 42,354.07	235.20	86.51	1,533.00	3.61%
PRIVATEBANK & TR CO CHICAGO IL CD FDIC SEMI CPN 0.350% DUE 05/11/15 DTD 05/10/13 FC 11/10/13 CUSIP 74267GTC1	Cash	50,000	100.0560 50,028.00	99.9394 49,969.68	24.93	58.32	175.00	0.35%
BANKERS TR CO CEDAR RAPIDS IA CD FDIC SEMI CPN 2.750% DUE 05/12/15 DTD 05/12/10 FC 11/12/10 CUSIP 06634QAY9 Original Cost: 31,445.00	Cash	30,000	100.8250 30,247.50	100.8577 30,197.31	113.01	50.19	825.00	2.73%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)*	Estimated Annualized Income	Estimated Yield %
Cash	10,000	100.8970* 10,089.70	100.3024 10,030.24	8.72	58.46	285.00	2.63%
ENERBANK USA SALT LAKE CITY UT CD FDIC MTHLY DUE 05/20/15 CPN 2.600% DUE 05/20/15 DTD 05/20/10 FC 05/20/10 CUSIP 253606NUL1 Original Cost 10,349.00							
Cash	45,000	100.5720* 45,257.40	100.2356 45,105.01	82.11	151.39	810.00	1.79%
DISCOVER BANK GREENWOOD DE CD FDIC SEMI CPN 1.800% DUE 05/25/15 DTD 05/25/11 FC 11/25/11 CUSIP 254670EB1 Original Cost 45,757.95							
Cash	40,000	101.6040* 40,641.60	101.3738 40,549.54	171.40	92.08	1,840.00	4.53%
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC SEMI CPN 4.600% DUE 05/28/15 DTD 05/28/05 FC 11/28/08 CUSIP 14041ACZ65 Original Cost 43,886.69							
Cash	25,000	100.9380* 25,234.50	100.7850 25,196.25	6.99	38.25	637.50	2.53%
REPUBLIC BANK BOUNTIFUL UT CD FDIC MTHLY CPN 2.550% DUE 05/28/15 DTD 05/29/10 FC 06/28/10 CUSIP 760310RX2 Original Cost 25,865.14							
Cash	25,000	100.2610* 25,065.25	100.2310 25,057.75	18.08	7.50	275.00	1.10%
GE CAP FINL INC SALT LAKE CITY UT CD FDIC SEMI CPN 1.100% DUE 06/08/15 DTD 06/08/12 FC 12/08/12 CUSIP 36160WML80 Original Cost 25,242.50							

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
Corporate/Government							
Bonds							
GENL ELECTRIC CAP CORP S&P AA+ INTERNOTES SEMI Moody A1 SURVIVOR OPTION CPN 5.400% DUE 06/15/15 DTD 05/18/09 FC 12/15/09 CUSIP 36966R3K0 Original Cost 11,057.30	10,000	101.8300 10,183.00	101.6482 10,164.82	24.00	18.18	540.00	5.30%
COMMERCE STATE BANK WEST BEND WI CD FDIC MTHLY CPN 2.250% DUE 06/16/15 DTD 06/16/10 FC 07/16/10 CUSIP 20070PFJ1 Original Cost 20,535.83	20,000	100.7630* 20,156.60	100.5280 20,105.59	19.72	51.01	450.00	2.23%
ALLY BANK MIDVALE UT CD FDIC SEMI CPN 2.600% DUE 06/18/15 DTD 06/18/10 FC 12/18/10 CUSIP 02004MKD0	26,000	101.0050* 26,261.30	100.0000 26,000.00	24.93	261.30	650.00	2.48%
GE MONEY BANK DRAPER UT CD FDIC SEMI CPN 2.450% DUE 06/18/15 DTD 06/18/10 FC 12/18/10 CUSIP 36159SNG2 Original Cost 20,370.00	20,000	100.8750* 20,175.00	100.1940 20,038.79	18.79	136.21	490.00	2.43%
CITIZENS DEPOSIT BANK ARLINGTON KY CD FDIC SEMI CPN 1.800% DUE 06/22/15 DTD 06/20/11 FC 12/20/11 CUSIP 17453FAN2 Original Cost 26,529.21	28,000	100.6960* 26,180.70	100.5593 26,145.42	13.68	35.28	416.00	1.59%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
MIDLAND STATES BANK EFFINGHAM IL CD FDIC MTHLY CPN 2.450% DUE 06/22/15 DTD 06/22/10 FC 07/22/10 CUSIP 55774QCU4 Original Cost 18,805.44	Cash	18,000	101.0630" 18,161.34	100.5418 18,097.53	12.08	93.81	441.00	2.42%
TRISTATE CAP BANK PITTSBURGH PA CD FDIC MTHLY CPN 5.000% DUE 06/25/15 DTD 06/25/08 FC 07/25/08 CUSIP 89677DBU9 Original Cost 10,930.50	Cash	10,000	102.1090" 10,210.90	102.1835 10,218.35	9.59	-7.45	500.00	4.90%
COMMERCE WEST BANK IRVINE CA CD FDIC MTHLY CPN 3.350% DUE 08/28/15 DTD 06/26/09 FC 07/26/09 CUSIP 20094TFX6 Original Cost 10,604.11	Cash	10,000	101.4160" 10,141.60	100.9916 10,099.16	5.51	42.44	335.00	3.30%
ENERBANK USA SALT LAKE CITY UT CD FDIC MTHLY CPN 2.450% DUE 06/29/15 DTD 06/29/10 FC 07/29/10 CUSIP 29366NPF8	Cash	30,000	101.1280" 30,338.40	100.0000 30,000.00	6.04	338.40	735.00	2.42%
FIRST BUS BANK MADISON WI CD FDIC SEMI CPN 1.500% DUE 06/29/15 DTD 06/29/11 FC 12/29/11 CUSIP 31839QXF6 Original Cost 40,669.88	Cash	40,000	100.4770" 40,190.80	100.4381 40,175.24	4.93	15.56	600.00	1.48%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
Cash	Cash	30,000	100.8200* 30,246.00	100.2097 30,062.92	56.06	183.08	660.00	2.18%
CAROLINA PREMIER BANK CHARLOTTE NC CD FDIC MTHLY CPN 2.200% DUE 06/30/15 DTD 06/30/10 FC 08/01/10 CUSIP 144153AC7 Original Cost: 30,578.00								
FIRST NATL BANK OF AMER EAST LANSING MI CD FDIC MTHLY CPN 3.600% DUE 07/02/15 DTD 07/02/09 FC 08/02/09 CUSIP 32110YDD4 Original Cost: 21,171.56	Cash	20,000	101.5190* 20,303.80	101.4242 20,284.84	59.18	18.96	720.00	3.55%
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 2.450% DUE 07/07/15 DTD 07/10/10 FC 01/07/11 CUSIP 17284ANQ9 Original Cost: 15,592.97	Cash	15,000	101.1050* 15,165.75	100.7225 15,108.38	179.22	57.37	367.50	2.42%
PEOPLES STATE BANK WAUSAU WI CD FDIC MTHLY CPN 1.800% DUE 07/13/15 DTD 04/13/11 FC 05/13/11 CUSIP 712515FT7 Original Cost: 30,728.63	Cash	30,000	100.8140* 30,244.20	100.6148 30,184.45	28.11	59.75	540.00	1.75%
DISCOVER BANK GREENWOOD DE CD FDIC SEMI CPN 3.250% DUE 07/15/15 DTD 07/15/09 FC 01/15/10 CUSIP 254670DD1 Original Cost: 15,879.12	Cash	15,000	101.5250* 15,229.35	101.0505 15,157.58	227.06	71.77	487.50	3.20%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
Cash	50,000	101.2010** 50,600.50	100.0000 50,000.00	543.70	600.50	1,225.00	2.42%
ALLY BANK MIDVALE UT CD FDIC SEMI CPN 2.400% DUE 07/23/15 DTD 07/23/15 FC 01/23/11 CUSIP 02004MZF3							
Cash	50,000	100.1430** 50,071.50	99.8800 49,940.00	110.28	131.50	250.00	0.50%
STERLING SVGS BANK SPOKANE WA CD FDIC SEMI CPN 0.500% DUE 07/24/15 DTD 07/24/15 FC 01/24/14 CUSIP 859532AE3							
Cash	50,000	100.9460** 50,473.00	100.0000 50,000.00	15.07	473.00	1,100.00	2.16%
AMERICAN FEDL BANK FARGO ND CD FDIC MTHLY CPN 2.200% DUE 07/27/15 DTD 07/27/15 FC 08/27/10 CUSIP 02500A9N6							
Cash	20,000	100.8670** 20,173.40	100.3463 20,069.26	60.55	104.14	340.00	1.69%
ESSA BKT STROUDSBURG PA CD FDIC SEMI CPN 1.700% DUE 07/28/15 DTD 04/28/11 FC 10/28/11 CUSIP 29667REL3 Original Cost 20,346.49							
Cash	19,000	101.7020** 19,323.38	101.4781 19,280.84	28.76	42.54	617.50	3.20%
FLUSHING SAVINGS BANK NEW YORK NY CD FDIC MTHLY CPN 3.250% DUE 07/30/15 DTD 07/30/09 FC 08/15/09 CUSIP 344030CP4 Original Cost 20,042.17							

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
QUEENSBOROUGH NATL B&T	Cash	50,000	101.2440"	100.0000	6.30	622.00	1,150.00	2.27%
LOUISVILLE GA CD			50,622.00	50,000.00				
FDIC MTHLY								
CPN 2.300% DUE 07/30/15								
DTD 07/30/10 FC 09/30/10								
CUSIP 74824XCR5								
BANK OF HOLLAND	Cash	50,000	100.1250"	100.0000	19.11	62.50	225.00	0.45%
HOLLAND MI CD			50,062.50	50,000.00				
FDIC MTHLY								
CPN 0.450% DUE 07/31/15								
DTD 07/31/13 FC 09/01/13								
CUSIP 062649ZB7								
AMERICAN EXPR CENTURION	Cash	50,000	100.4020"	99.9609	229.04	220.57	550.00	1.10%
SALT LAKE CITY UT CD			50,201.00	49,960.43				
FDIC SEMI								
CPN 1.100% DUE 08/03/15								
DTD 08/02/12 FC 02/02/13								
CUSIP 02587DKQ0								
ENERBANK USA	Cash	50,000	100.1430"	100.0000	103.43	71.50	250.00	0.50%
SALT LAKE CITY UT CD			50,071.50	50,000.00				
FDIC SEMI								
CPN 0.500% DUE 08/03/15								
DTD 08/02/13 FC 02/02/14								
CUSIP 25266NMY9								
LYONS NATL BANK	Cash	50,000	100.1100"	100.0000	95.90	55.00	250.00	0.50%
LYONS INT CD			50,065.00	50,000.00				
FDIC SEMI								
CPN 0.500% DUE 08/14/15								
DTD 08/14/13 FC 02/14/14								
CUSIP 352248A17								
GEN ELEC CAP CORP	S&P AA+	10,000	102.7670	102.2582	207.78	50.88	550.00	5.35%
INTERNOTES SEMI	Moody A1		10,276.70	10,225.82				
SURVIVOR OPTION	Cash							
CPN 5.500% DUE 08/15/15								
DTD 08/07/08 FC 02/15/09								
CUSIP 36966R290								

Original Cost: 11,118.80

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
Corporate/Government Bonds		Cash	100.000	100.1100* 100.110.00	100.0000 100,000.00	26.30	110.00	600.00	0.60%
CONVENTY CAP BANK SALT LAKE CITY UT CD CPN 0.700% DUE 08/17/15 DTD 08/16/13 FC 09/16/13 CUSIP 20033ACJ3		Cash	46.000	100.1160* 45,052.20	100.0000 45,000.00	119.96	52.20	315.00	0.70%
SAFRA NATL BANK OF NY NEW YORK NY CD FDIC SEMI CPN 0.700% DUE 08/17/15 DTD 08/15/13 FC 02/15/14 CUSIP 78959AKL1		Cash	28.000	100.4400* 28,123.20	100.0000 28,000.00	111.38	123.20	308.00	1.10%
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.100% DUE 08/24/15 DTD 08/22/12 FC 02/22/13 CUSIP 795450NY7		Cash	50.000	100.3760* 50,188.00	100.0000 50,000.00	189.87	188.00	550.00	1.10%
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.100% DUE 08/31/15 DTD 08/29/12 FC 02/28/13 CUSIP 795450PC3		Cash	40.000	100.7650 40,314.00	100.1145 40,045.79	255.00	268.21	850.00	2.11%
HEWLETT PACKARD CO GLBL NOTE Moody Baa1 Cash CPN 2.125% DUE 09/13/15 DTD 09/13/10 FC 03/13/11 CUSIP 4282368C6 Original Cost 40,206.20		Cash	25.000	101.0340* 25,258.50	100.9028 25,225.70	13.70	32.80	500.00	1.98%
PARKWAY BKT CO HARWOOD HEIGHTS IL CD FDIC MTHLY CPN 2.000% DUE 09/22/15 DTD 09/22/10 FC 10/22/10 CUSIP 70153RGW2 Original Cost 25,714.61		Cash							

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
SAFRA NATL BANK OF NY NEW YORK NY CD FDIC SEMI CPN 0.800% DUE 09/28/15 DTD 09/27/12 FC 03/27/13 CUSIP 7885803L2		Cash	18,000	100.1820* 18,029.12	99.7342 15,957.48	33.67	71.64	128.00	0.80%
TRANSATLANTIC BANK MIAMI FL CD FDIC MTHLY CPN 4.600% DUE 09/28/15 DTD 09/28/05 FC 10/28/05 CUSIP 89352EAT3 Original Cost: 20,728.78		Cash	19,000	102.9400* 19,558.60	102.9183 19,554.48	9.58	4.12	874.00	4.47%
GE MONEY BANK BRANES LUT CD FDIC SEMI CPN 2.000% DUE 10/08/15 DTD 10/08/10 FC 04/08/11 CUSIP 36159CJIV6 Original Cost: 10,229.34		Cash	10,000	101.0910* 10,108.10	100.5440 10,064.40	46.58	53.70	200.00	1.98%
BANK OF NC THOMASVILLE NC CD FDIC MTHLY CPN 3.000% DUE 10/16/15 DTD 10/16/09 FC 11/16/09 CUSIP 08414QPH6 Original Cost: 26,337.00		Cash	25,000	102.0450* 25,511.25	101.7950 25,448.75	32.88	62.50	750.00	2.94%
MORTON CMNTY BANK MORTON IL CD FDIC MTHLY CPN 3.200% DUE 10/19/15 DTD 08/17/09 FC 09/17/09 CUSIP 619165EFG Original Cost: 27,141.82		Cash	20,000	102.2210* 20,444.20	101.9278 20,385.96	26.30	58.64	640.00	3.13%

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Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
ALLY BANK							
MIDVALE UT CD	15,000	101.2050**	100.4373	56.90	115.16	292.50	1.83%
FDIC SEMI		15,180.75	15,065.59				
CPN 1.950% DUE 10/22/15							
DTD 10/22/10 FC 04/22/11							
CUSIP 02004MZ63							
Original Cost: 15,270.60							
GE MONEY BANK							
DRAPER UT CD	35,000	101.1250**	100.6640	136.17	161.34	700.00	1.95%
FDIC SEMI		35,393.75	35,232.41				
CPN 2.000% DUE 10/22/15							
DTD 10/22/10 FC 04/22/11							
CUSIP 36159AA75							
Original Cost: 35,902.75							
REPUBLIC BANK							
BOUNTIFUL UT CD	15,000	102.1610**	102.0461	5.35	17.23	487.50	3.18%
FDIC MTHLY		15,324.15	15,306.92				
CPN 3.250% DUE 10/28/15							
DTD 10/28/09 FC 11/28/09							
CUSIP 760310CM7							
Original Cost: 15,890.39							
ALLY BANK							
MIDVALE UT CD	19,000	101.2470**	100.0000	57.86	236.93	370.50	1.93%
FDIC SEMI		19,236.93	19,000.00				
CPN 1.950% DUE 11/05/15							
DTD 11/05/10 FC 05/05/11							
CUSIP 02004MSQ4							
AMERICAN EXPR CENTURION							
SALT LAKE CITY UT CD	18,000	101.0950*	100.3681	43.60	130.84	306.00	1.68%
FDIC SEMI		18,197.10	18,066.26				
CPN 1.700% DUE 11/10/15							
DTD 11/10/11 FC 05/10/12							
CUSIP 02587DGL6							
Original Cost: 18,257.00							

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.650% DUE 11/16/15 DTD 11/16/11 FC 05/16/12 CUSIP 17284AG77 Original Cost: 66,691.00	Cash	68,000	101.2390" 68,842.52	100.3811 68,259.17	141.40	583.35	1,122.00	1.63%
COMMERCIAL BANK ALMA MI CD FDIC MTHLY CPN 3.000% DUE 11/20/15 DTD 11/20/09 FC 12/20/09 CUSIP 201282DJ6 Original Cost: 11,619.46	Cash	11,000	102.2570" 11,248.27	102.0335 11,223.68	10.85	24.59	330.00	2.93%
GE CAP RETAIL BANK DRAPER UT CD FDIC SEMI CPN 1.750% DUE 12/02/15 DTD 12/02/11 FC 06/02/12 CUSIP 36157FAD3 Original Cost: 57,274.02	Cash	57,000	101.0140" 57,577.96	100.3452 57,196.75	81.96	381.23	997.50	1.73%
GE CAP FINL INC SALT LAKE CITY UT CD FDIC SEMI CPN 1.750% DUE 12/09/15 DTD 12/09/11 FC 06/09/12 CUSIP 36160VFE30 Original Cost: 111,202.90	Cash	110,000	101.0300" 111,133.00	100.3019 110,332.09	121.30	800.81	1,925.00	1.73%
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC SEMI CPN 2.000% DUE 12/10/15 DTD 12/10/10 FC 06/10/11 CUSIP 05568PA98 Original Cost: 15,383.00	Cash	15,000	101.4570" 15,218.55	100.7042 15,105.63	18.06	112.92	300.00	1.97%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
GE MONEY BANK	Cash	30,000	104.0340** 31,210.20	102.4633 30,728.99	86.30	471.21	1,500.00	4.81%
SALT LAKE CITY UT CD								
FDIC SEMI								
CPN 5.000% DUE 12/11/15								
DTD 12/11/08 FC 08/11/09								
CUSIP 36139AKZ2								
Original Cost 33,875.00								
THOMASVILLE NATL BANK	Cash	27,000	101.3640* 27,368.28	100.9725 27,262.57	31.07	105.71	540.00	1.97%
FDIC MTHLY								
CPN 2.000% DUE 12/11/15								
DTD 03/11/11 FC 04/11/11								
CUSIP 384693A14								
Original Cost 27,865.73								
FRONTIER STATE BANK	Cash	30,000	103.7530** 31,125.90	103.9623 31,188.68	72.50	-82.78	1,470.00	4.72%
OKLAHOMA CITY OK CD								
FDIC MTHLY								
CPN 4.900% DUE 12/14/15								
DTD 12/14/07 FC 01/14/08								
CUSIP 359183C21								
Original Cost 33,142.67								
BBKT CORP	S&P BBB+	50,000	103.9880 51,394.00	102.3063 51,155.14	57.78	840.86	2,800.00	5.00%
SUB NOTE	Moody A3							
CPN 5.200% DUE 12/23/15	Cash							
DTD 12/23/03 FC 08/23/04								
CUSIP 054927AE7								
Original Cost 54,791.00								
GE CAP FINL INC	Cash	30,000	101.2980* 30,389.40	100.8019 30,240.57	14.79	148.83	600.00	1.97%
SALT LAKE CITY UT CD								
FDIC SEMI								
CPN 2.000% DUE 12/23/15								
DTD 12/23/10 FC 06/23/11								
CUSIP 36180TPQ3								
Original Cost 30,849.05								

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
REPUBLIC BANK BOUTINFUL UT CD FDIC MTHLY CPN 3.050% DUE 12/23/15 DTD 12/23/09 FC 01/23/10 CUSIP 780310RD6 Original Cost 26,517.50	Cash	25,000	102.4970" 25,624.25	101.7218 25,430.46	18.80	193.79	762.50	2.98%
GE CAP FINL INC SALT LAKE CITY UT CD FDIC SEMI CPN 1.750% DUE 12/29/15 DTD 12/29/11 FC 06/29/12 CUSIP 36160XC21 Original Cost 10,184.80	Cash	10,000	101.0710 10,107.10	100.4732 10,047.32	1.44	59.78	175.00	1.73%
GOLDMAN SACHS BANK USA NEW YORK NY CD FDIC SEMI CPN 4.250% DUE 12/31/15 DTD 12/31/08 FC 06/30/09 CUSIP 381426YA7 Original Cost 27,355.00	Cash	25,000	102.7640" 25,691.00	101.9231 25,480.78	5.82	210.22	1,062.50	4.14%
U S BANK NA MINNEAPOLIS MN CD FDIC SEMI INSTL CPN 2.660% DUE 01/06/16 DTD 01/06/11 FC 07/06/11 CUSIP 90333YKWS Original Cost 10,475.65	Cash	10,000	101.6200" 10,162.00	102.0083 10,200.83	130.45	-38.83	266.00	2.62%
WORLD FINL CAP BANK SALT LAKE CITY UT CD FDIC SEMI CPN 2.100% DUE 01/12/16 DTD 01/12/11 FC 07/12/11 CUSIP 98146QAC5 Original Cost 41,229.00	Cash	40,000	101.4500" 40,580.00	100.9530 40,361.21	398.14	198.79	840.00	2.07%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)*	Estimated Annualized Income	Estimated Yield %
BENEFICIAL MUT SVGS BANK		Cash	25,000	101.4890** 25,367.25	101.3245 25,331.13	227.40	36.12	500.00	1.97%
PHILADELPHIA PA CD									
FDIC SEMI									
CPN 2.000% DUE 01/19/16									
DTD 01/19/11 FC 07/19/11									
CUSIP 081730A73									
Original Cost: 25,825.00									
BMW BANK OF NORTH AMER		Cash	20,000	101.4890** 20,297.80	100.9112 20,182.24	180.66	115.56	420.00	2.07%
SALT LAKE CITY UT CD									
FDIC SEMI									
CPN 2.100% DUE 01/28/16									
DTD 01/28/11 FC 07/28/11									
CUSIP 05568PE29									
Original Cost: 20,572.86									
MID MO BANK		Cash	31,000	101.4940** 31,463.14	101.3233 31,410.21	6.80	52.93	620.00	1.97%
SPRINGFIELD MO CD									
FDIC MTHLY									
CPN 2.000% DUE 01/28/16									
DTD 01/28/11 FC 02/28/11									
CUSIP 69641KAT7									
Original Cost: 31,916.26									
STERLING SVGS BANK		Cash	50,000	100.3300** 50,165.00	100.0000 50,000.00	158.22	165.00	375.00	0.75%
SPOKANE WA CD									
FDIC SEMI									
CPN 0.750% DUE 01/29/16									
DTD 07/31/13 FC 01/31/14									
CUSIP 859632AH6									
FRANKLIN TEMPLETON B&T		Cash	25,000	103.2530** 25,815.75	103.1788 25,794.71	55.75	21.04	925.00	3.58%
SALT LAKE CITY UT CD									
FDIC MTHLY									
CPN 3.700% DUE 02/10/16									
DTD 02/10/08 FC 03/10/09									
CUSIP 35472QQA3									
Original Cost: 26,917.80									

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.650% DUE 02/17/16 DTD 08/17/11 FC 02/17/12 CUSIP 17284AVD05 Original Cost 25,415.00	Cash	25,000	101.4390" 25,359.75	100.5451 25,136.27	154.83	223.48	412.50	1.63%
FLUSHING SAVINGS BANK NEW YORK NY CD FDIC MTHLY CPN 3.000% DUE 02/28/16 DTD 02/26/10 FC 03/15/10 CUSIP 344039CZ2 Original Cost 47,805.00	Cash	45,000	102.8510" 46,282.95	102.0791 45,935.59	52.88	347.36	1,350.00	2.92%
MIDLAND STATES BANK BEEFINGHAM IL CD FDIC MTHLY CPN 0.800% DUE 02/26/16 DTD 09/28/13 FC 08/28/13 CUSIP 39774QDA6 Original Cost 37,805.00	Cash	35,000	100.2070" 35,072.45	100.0000 35,000.00	3.07	72.45	280.00	0.80%
SCOTIABANK DE PR SAN JUAN PR CD FDIC SEMI CPN 2.250% DUE 03/11/16 DTD 03/11/11 FC 09/11/11 CUSIP 80928EHR4 Original Cost 17,661.52	Cash	17,000	101.8140" 17,308.38	101.6798 17,285.66	117.37	22.62	382.50	2.21%
EVERBANK JACKSONVILLE FL CD FDIC SEMI CPN 3.650% DUE 03/28/16 DTD 03/27/09 FC 08/27/09 CUSIP 29576DLR9 Original Cost \$5,913.18	Cash	50,000	103.4950" 51,747.50	103.4542 51,727.10	480.00	20.40	1,825.00	3.53%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
Cash							
DISCOVER BANK	50,000	101.8670	100.9453	267.64	460.86	1,050.00	2.06%
GREENWOOD DE CD		50,933.50	50,472.64				
FDIC SEMI							
CPN 2.100% DUE 03/30/16							
DTD 03/30/11 FC 09/30/11							
CUSIP 254670B88							
Original Cost 57,348.00							
Cash							
BENEFICIAL MUT SVGS BANK	35,000	101.9220	101.5520	24.74	129.50	752.50	2.11%
PHILADELPHIA PA CD		35,672.70	35,543.20				
FDIC MTHLY							
CPN 2.150% DUE 04/20/16							
DTD 04/20/11 FC 05/20/11							
CUSIP 08173QBC9							
Original Cost 36,195.00							
Cash							
CENTENNIAL BANK	27,000	101.8640	101.2606	14.25	162.93	472.50	1.72%
CENTENNIAL CD CD		27,503.28	27,340.35				
FDIC MTHLY							
CPN 1.750% DUE 04/21/16							
DTD 06/21/11 FC 07/21/11							
CUSIP 15133JAE3							
Original Cost 27,748.21							
Cash							
UNITED BANKERS BANK	35,000	100.2300	100.0000	0.82	80.50	297.50	0.85%
BLOOMINGTON MN CD		35,060.50	35,000.00				
FDIC MTHLY							
CPN 0.850% DUE 04/29/16							
DTD 08/29/13 FC 09/30/13							
CUSIP 908557CJ2							
Cash							
BOSTON PVT B&T CO	20,000	103.5070	103.2525	120.82	50.90	700.00	3.38%
BOSTON MA CD		20,701.40	20,650.50				
FDIC SEMI							
CPN 3.500% DUE 05/02/16							
DTD 04/20/09 FC 10/30/09							
CUSIP 101120CE1							
Original Cost 21,294.60							

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
INVESTORS BANK SHORT HILLS NJ CO FDIC SEMI CPN 2.300% DUE 05/05/16 DTD 05/05/11 FC 11/05/11 CUSIP 46176PAH8 Original Cost: 10,389.84	Cash	10,000	101.9450" 10,194.50	101.9204 10,192.04	35.92	2.46	230.00	2.25%
GENOA BANKING CO GENOA OH CO FDIC MTHLY CPN 2.750% DUE 05/20/16 DTD 05/21/10 FC 08/21/10 CUSIP 372344BJ0 Original Cost: 75,775.27	Cash	74,000	103.1520" 76,332.48	100.6175 74,456.96	61.33	1,875.52	2,035.00	2.67%
LAKE SUPERIOR BANK FSB NEWPORT NH CO FDIC MTHLY CPN 2.100% DUE 05/27/16 DTD 05/27/11 FC 08/27/11 CUSIP 51085BA00 Original Cost: 12,457.16	Cash	12,000	102.0040" 12,240.48	101.9187 12,230.24	3.45	10.24	252.00	2.05%
HEWLETT PACKARD CO GLBL NOTE CPN 2.650% DUE 06/01/16 DTD 05/31/11 FC 12/01/11 CUSIP 428236BL6 Original Cost: 30,626.60	S&P BBB+ Moody Baa1 Cash	30,000	101.8960 30,568.80	100.8034 30,241.02	66.25	327.78	795.00	2.60%
MIDLAND STATES BANK EFFINGHAM IL CO FDIC MTHLY CPN 2.650% DUE 06/22/16 DTD 06/22/10 FC 07/22/10 CUSIP 59714QDA7 Original Cost: 16,707.49	Cash	16,000	103.0870 16,493.92	102.3481 16,375.70	11.62	118.22	424.00	2.57%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
GOLD COAST BANK CHICAGO IL CD FDIC 1150% DUE 08/30/16 CPN 3.150% DUE 08/30/16 DTD 06/30/11 FC 08/01/11 CUSIP 38059KSM7 Original Cost: 17,286.78	Cash	17,000	102.0750 17,352.75	100.8960 17,152.32	23.83	200.43	280.50	1.62%
MB FINL BANK NA CHICAGO IL CD FDIC MTHLY CPN 2.700% DUE 08/30/16 DTD 06/30/10 FC 07/30/10 CUSIP 55286CJN7 Original Cost: 52,280.00	Cash	50,000	103.0400 51,520.00	102.3764 51,188.19	7.40	331.81	1,350.00	2.62%
GE MONEY BANK DRAPER UT CD FDIC SEMI CPN 2.750% DUE 07/05/16 DTD 07/02/10 FC 01/02/11 CUSIP 36159AVAS Original Cost: 25,217.09	Cash	25,000	102.8520 25,663.00	101.9371 25,484.27	344.69	178.73	687.50	2.68%
JPMORGAN CHASE & CO NOTE CPN 3.150% DUE 07/05/16 DTD 06/29/11 FC 01/05/12 CUSIP 46625HJA9 Original Cost: 53,055.00	S&P A Moody A3 Cash	50,000	102.7940 51,397.00	103.0081 51,504.05	770.00	-107.05	1,575.00	3.06%
MORTON CREDIT BANK MORTON IL CD FDIC MTHLY CPN 3.400% DUE 07/25/16 DTD 06/24/09 FC 09/24/09 CUSIP 619166ES8 Original Cost: 24,879.50	Cash	23,000	103.9470 23,907.81	104.0864 23,939.88	17.14	-32.07	782.00	3.27%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
FIRST FEDL S&L OF BUCKS	Cash	100,000	100.3080*	100.0000	353.97	308.00	850.00	0.85%
BRISTOL PA CD			100,308.00	100,000.00				
FDIC SEMI								
CPN 0.850% DUE 08/02/16								
DTD 08/02/13 FC 02/02/14								
CUSIP 32023CAU3								
CRYSTAL LAKE B&T CO	Cash	70,000	100.2970*	100.0000	228.22	207.90	595.00	0.85%
CRYSTAL LK IL CD			70,207.90	70,000.00				
FDIC SEMI								
CPN 0.850% DUE 08/15/16								
DTD 08/14/13 FC 02/14/14								
CUSIP 228253CT4								
SALLIE MAE BANK	Cash	50,000	100.7760*	99.7100	238.01	533.00	625.00	1.24%
SALT LAKE CITY UT CD			50,388.00	49,855.00				
FDIC SEMI								
CPN 1.250% DUE 08/15/16								
DTD 08/15/12 FC 02/15/13								
CUSIP 79450NWX1								
GOLDMAN SACHS BANK USA	Cash	40,000	101.4160*	100.6330	263.56	313.20	740.00	1.82%
NEW YORK NY CD			40,566.40	40,263.20				
FDIC SEMI								
CPN 1.850% DUE 08/24/16								
DTD 08/24/11 FC 02/24/12								
CUSIP 381426AE2								
Original Cost: 40,605.00								
MB FINL BANK NA	Cash	69,000	102.8510*	101.1732	83.18	1,156.31	1,380.00	1.94%
CHICAGO IL CD			70,967.19	69,810.86				
FDIC MTHLY								
CPN 2.000% DUE 09/03/16								
DTD 09/10/10 FC 10/10/10								
CUSIP 55266CKB1								
Original Cost: 70,997.99								
BMW BANK OF NORTH AMER	Cash	50,000	100.2790*	99.6116	173.29	333.72	575.00	1.15%
SALT LAKE CITY UT CD			50,139.50	49,805.78				
FDIC SEMI								
CPN 1.150% DUE 09/13/16								
DTD 09/13/13 FC 03/13/14								
CUSIP 05568P5B9								

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
Corporate/Government							
Bonds							
GOLDMAN SACHS BANK USA NEW YORK NY CD FDIC SEMI CPN 1.850% DUE 09/21/16 DTD 09/21/11 FC 03/21/12 CUSIP 381426518 Original Cost: 25,367.50	25,000	101.4100* 25,352.50	100.6302 25,157.56	129.25	194.94	462.50	1.82%
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 2.150% DUE 09/28/16 DTD 09/28/11 FC 03/28/12 CUSIP 17284A2A9 Original Cost: 20,515.00	20,000	101.9640* 20,392.80	101.1114 20,222.29	111.82	170.51	430.00	2.11%
GOLDMAN SACHS BANK USA NEW YORK NY CD FDIC SEMI CPN 2.000% DUE 10/05/16 DTD 10/05/11 FC 04/05/12 CUSIP 3814266R1 Original Cost: 15,362.92	15,000	101.6690* 15,250.35	101.0511 15,157.66	72.33	92.89	300.00	1.97%
GE CAP RETAIL BANK FRAPER UT CD FDIC SEMI CPN 2.000% DUE 10/14/16 DTD 10/14/11 FC 04/14/12 CUSIP 36161NSM1 Original Cost: 30,714.22	30,000	101.8200* 30,486.00	101.0421 30,312.63	129.86	173.37	600.00	1.97%
WACHOVIA CORP NEW NOTE CPN 5.625% DUE 10/15/16 DTD 10/23/06 FC 04/15/07 CUSIP 929803CH3 Original Cost: 45,185.00	40,000	107.5400 43,016.00	107.3325 42,933.01	475.00	82.99	2,250.00	5.23%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
CIT BANK	Cash	30,000	101.9690"	101.7974	103.57	51.49	630.00	2.06%
SALT LAKE CITY UT CD			30,590.70	30,539.21				
FDIC SEMI								
CPN 2.100% DUE 11/02/16								
DTD 11/02/11 FC 05/02/12								
CUSIP 17284AE51								
Original Cost 30,582.80								
AMERICAN EXPR BANK FSB	Cash	35,000	104.3750 "	104.8397	124.18	-162.64	1,225.00	3.35%
SALT LAKE CITY UT CD			36,531.25	36,693.89				
FDIC SEMI								
CPN 3.500% DUE 11/25/16								
DTD 11/25/09 FC 05/25/10								
CUSIP 02580VA70								
Original Cost 36,095.00								
COLE TAYLOR BANK	Cash	57,000	102.1780"	101.1583	88.71	581.21	1,140.00	1.96%
CHICAGO IL CD			58,241.46	57,660.25				
FDIC OTRLY								
CPN 2.000% DUE 12/09/16								
DTD 12/09/10 FC 03/10/11								
CUSIP 183296XV7								
Original Cost 58,428.50								
SOUTHWEST ARLNS	S&P BBB	30,000	108.0460	105.4138	76.67	489.67	1,725.00	5.32%
CO NOTE	Moody Baa2		32,413.80	31,924.13				
CPN 5.750% DUE 12/15/16	Cash							
DTD 12/14/08 FC 06/15/07								
CUSIP 844741AY4								
Original Cost 34,128.80								
AMERICAN EXPR CENTURION	Cash	50,000	101.7640"	101.0246	28.08	369.68	1,025.00	2.01%
SALT LAKE CITY UT CD			50,882.00	50,512.32				
FDIC SEMI								
CPN 2.050% DUE 12/22/16								
DTD 12/22/11 FC 06/22/12								
CUSIP 02587DKC1								
Original Cost 51,104.48								

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
BARCLAYS BANK DE WILMINGTON DE CD FDIC SEMI CPN 2.000% DUE 12/28/16 DTD 12/28/11 FC 06/26/12 CUSIP 06740KFN2 Original Cost: 20,475.00	Cash	20,000	101.7810** 20,362.20	101.1062 20,221.24	4.39	130.96	400.00	1.97%
BARCLAYS BANK DE WILMINGTON DE CD FDIC SEMI CPN 1.900% DUE 01/19/17 DTD 01/19/12 FC 07/19/12 CUSIP 06740KFX0 Original Cost: 61,430.10	Cash	60,000	99.9570** 59,974.20	101.8054 61,083.21	518.46	-1,109.01	1,140.00	1.90%
CAROLINA FIRST BANK GREENVILLE SC CD FDIC SEMI CPN 5.100% DUE 01/24/17 DTD 01/24/07 FC 07/24/07 CUSIP 143376NH9 Original Cost: 17,413.26	Cash	10,000	107.8140** 10,781.40	108.0642 10,806.42	224.95	-25.02	510.00	4.73%
MORTON CREDIT BANK MORTON IL CD FDIC MTHLY CPN 3.550% DUE 01/24/17 DTD 09/24/09 FC 09/24/09 CUSIP 619165ELJ3 Original Cost: 26,265.00	Cash	24,000	104.7820** 25,147.68	105.4266 25,302.37	18.67	-154.69	852.00	3.39%
BRIDGEWATER BANK BLOOMINGTON MN CD FDIC MTHLY CPN 0.950% DUE 02/14/17 DTD 08/14/13 FC 09/14/13 CUSIP 108622DH1	Cash	25,000	100.2300** 25,057.50	100.0000 25,000.00	11.71	57.50	237.50	0.95%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss	Estimated Annualized Income	Estimated Yield %
CAROLINA FIRST BANK GREENVILLE SC CD FDIC SEMI CPN 5.100% DUE 02/14/17 DTD 02/14/07 FC 08/14/07 CUSIP 143878PQ39 Original Cost: 28,175.91	Cash	25,000	107.9600 " 26,995.00	107.9398 26,984.95	489.04	10.05	1,275.00	4.72%
BREMER BANK NA ST CLOUD MN CD FDIC MTHLY CPN 1.000% DUE 02/16/17 DTD 08/18/13 FC 09/16/13 CUSIP 107005AMZ	Cash	75,000	100.1840 " 75,138.00	100.0000 75,000.00	32.88	138.00	750.00	1.00%
CAROLINA FIRST BANK GREENVILLE SC CD FDIC SEMI CPN 5.160% DUE 02/21/17 DTD 02/21/07 FC 08/21/07 CUSIP 143878PQ5 Original Cost: 16,980.05	Cash	15,000	108.1390 " 16,220.85	108.0481 16,207.22	281.49	13.63	772.50	4.76%
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC SEMI CPN 1.000% DUE 03/14/17 DTD 03/14/14 FC 09/14/14 CUSIP 05568P5W2	Cash	35,000	99.6550 " 34,879.25	99.7429 34,910.00	104.52	-30.75	350.00	1.00%
WESTPAC BKS CORP MEDIUM TERM NOTE SURVIVOR OPTION MONTHLY CPN 2.250% DUE 03/15/17 DTD 03/15/12 FC 04/15/12 CUSIP 38121BBSZ Original Cost: 10,358.00	S&P AA- Moody Aaa Cash	10,000	100.6410 10,064.10	102.1051 10,210.51	10.00	-146.41	225.00	2.24%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
CEDARSTONE BANK LEBANON TN CD FDIC NTHLY CPN 3.000% DUE 03/24/17 DTD 03/24/10 FC 04/24/10 CUSIP 150713AX3 Original Cost 29,531.04	28,000	104.8050" 29,345.40	104.2261 28,183.31	18.41	162.09	840.00	2.96%
DISCOVER BANK GREENWOOD DE CD FDIC SEMI CPN 1.700% DUE 03/28/17 DTD 03/28/12 FC 09/28/12 CUSIP 2546705G3 Original Cost 30,740.00	30,000	101.3220" 30,386.60	101.4553 30,436.58	132.74	-39.98	510.00	1.68%
MIDFIRST BANK OKLAHOMA CITY OK CD FDIC SEMI CPN 3.050% DUE 04/03/17 DTD 04/01/10 FC 10/01/10 CUSIP 59740JPG6 Original Cost 69,063.28	65,000	104.7700" 68,100.50	103.8660 67,525.87	499.70	574.63	1,962.50	2.91%
WELLS FARGO BANK KINGSTON NY CD FDIC SEMI CPN 1.000% DUE 04/01/17 DTD 04/10/14 FC 10/10/14 CUSIP 90366JAE9	32,000	99.5770" 31,864.64	99.9375 31,960.00	72.77	-115.36	320.00	1.00%
ALLY BANK MIDVALE UT CD FDIC SEMI CPN 1.000% DUE 04/17/17 DTD 04/16/14 FC 10/16/14 CUSIP 02006LEA7	22,000	99.6460" 21,922.12	99.7750 21,950.50	46.41	-28.38	220.00	1.00%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
BARCLAYS BANK DE WILMINGTON DE CD FDIC SEMI	Cash	35,000	99.5540" 34,843.90	99.6071 34,862.50	78.54	-18.60	387.50	1.05%
CPN 1.050% DUE 04/18/17 DTD 04/15/14 FC 10/15/14 CUSIP 06740KGE1								
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC SEMI	Cash	90,000	101.1780" 91,060.20	101.5624 91,406.13	301.07	-345.93	1,665.00	1.83%
CPN 1.850% DUE 04/27/17 DTD 04/27/12 FC 10/27/12 CUSIP 05568PW37 Original Cost 92,345.00								
WASHITA STATE BANK BURNS FLAT OK CD FDIC MTHLY	Cash	15,000	108.2870" 16,243.05	108.6331 16,294.97	52.89	-51.92	742.50	4.57%
CPN 4.850% DUE 05/05/17 DTD 05/05/08 FC 07/05/08 CUSIP 940767C36 Original Cost 17,149.46								
BANK OF INTERNET USA SAN DIEGO CA CD FDIC MTHLY	Cash	40,000	104.7860" 41,914.40	103.8639 41,545.86	82.19	368.84	1,200.00	2.86%
CPN 3.000% DUE 05/08/17 DTD 05/07/10 FC 06/07/10 CUSIP 06279LBW3 Original Cost 42,375.17								
WELLS FARGO & CO MEDIUM TERM SR NOTE CPN 2.100% DUE 05/08/17 DTD 05/07/12 FC 11/08/12 CUSIP 949748FD7 Original Cost 51,654.00	S&P A+ Moody A2 Cash	50,000	101.9950 50,847.50	101.9952 50,997.58	154.58	-150.08	1,050.00	2.06%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
Corporate/Government Bonds							
FAIRFIELD NATL BANK FDIC MTHLY CPN 3.000% DUE 05/15/17 DTD 05/14/10 FC 06/14/10 CUSIP 304649A57 Original Cost: 70,576.93	10,000	104.8050* 10,480.50	104.5224 10,452.24	14.79	28.36	300.00	2.96%
TRADITIONAL BANK INC MT STERLING KY CD FDIC MTHLY CPN 3.000% DUE 05/22/17 DTD 05/21/10 FC 06/21/10 CUSIP 89268YAX3 Original Cost: 52,832.29	50,000	104.7050* 52,353.00	103.6645 51,832.26	45.21	520.74	1,500.00	2.87%
COUNTRY BANK NEW YORK NY CD FDIC MTHLY CPN 5.150% DUE 06/01/17 DTD 06/01/07 FC 07/01/07 CUSIP 22230PAF7 Original Cost: 28,772.50	25,000	108.4740* 27,116.50	109.2073 27,301.82	109.35	-183.32	1,287.50	4.75%
EVERBANK JACKSONVILLE FL CD FDIC MTHLY CPN 3.000% DUE 06/01/17 DTD 06/01/10 FC 07/01/10 CUSIP 23976DMC1 Original Cost: 13,934.75	13,000	104.7330* 13,615.29	103.7717 13,490.32	33.13	124.97	390.00	2.96%
MB FINL BANK NA CHICAGO IL CD FDIC MTHLY CPN 2.650% DUE 06/12/17 DTD 06/11/10 FC 07/11/10 CUSIP 55266CJM9 Original Cost: 23,988.50	25,000	104.3110* 26,077.75	102.5416 25,635.41	38.12	442.34	662.50	2.54%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE MONTHLY SURVIVOR OPTION CPN 5.100% DUE 06/15/17 DTD 06/23/10 FC 07/15/10 CUSIP 38141E4P1 Original Cost: 43,883.00	S&P A- Moody Baa1 Cash	40,000	106.7870 42,714.80	106.2842 42,513.67	90.67	201.13	2,040.00	4.78%
WACHOVIA CORP SR NOTE CPN 5.750% DUE 06/15/17 DTD 06/08/07 FC 12/15/07 CUSIP 929903DT6 Original Cost: 23,442.20	S&P A+ Moody A2 Cash	20,000	110.4030 22,080.60	110.5687 22,113.74	51.11	-33.14	1,150.00	5.21%
LASALLE BANK NA CHICAGO IL CD FDIC SEMI CPN 5.500% DUE 06/20/17 DTD 06/20/07 FC 12/20/07 CUSIP 51804LMS0 Original Cost: 34,343.39	Cash	30,000	109.3590 32,819.70	109.5147 32,864.41	52.28	-34.71	1,590.00	4.84%
FIRST BUS BANK MADISON WI CD FDIC SEMI CPN 2.300% DUE 06/22/17 DTD 12/22/10 FC 06/22/11 CUSIP 31938QWK6 Original Cost: 15,733.18	Cash	15,000	102.7540 15,413.10	103.0029 15,450.44	9.46	-37.34	345.00	2.24%
FIRST BEXLEY BANK BEXLEY OH CD FDIC MTHLY CPN 0.700% DUE 06/28/17 DTD 02/28/13 FC 03/28/13 CUSIP 319306N65 Original Cost: 29,360.00	Cash	30,000	99.7140 29,914.20	99.6222 29,586.67	2.31	327.53	210.00	0.70%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
COMMERCIAL BANK							
ALMA MI CD	20,000	106.0520*	106.6220	61.65	-114.01	750.00	3.64%
FDIC MTHLY		21,210.40	21,324.41				
CPN 3.750% DUE 07/03/17							
DTD 07/02/09 FC 08/02/09							
CUSIP: 201282CP3							
Original Cost: 22,143.73							
AMERICAN EXPR CENTURION							
SALT LAKE CITY UT CD	60,000	101.0570*	101.2118	477.54	-92.86	1,050.00	1.73%
FDIC SEMI		50,634.20	60,727.06				
CPN 1.750% DUE 07/19/17							
DTD 07/19/12 FC 01/19/13							
CUSIP: 02587DKM9							
Original Cost: 60,912.82							
MERRICK BANK							
SOUTH JORDAN UT CD	25,000	100.4930*	99.9200	5.34	143.25	325.00	1.29%
FDIC MTHLY		25,123.25	24,980.00				
CPN 1.300% DUE 07/28/17							
DTD 07/26/13 FC 08/26/13							
CUSIP: 59012Y4D8							
BANK OF HOLLAND							
HOLLAND MI CD	10,000	102.6570*	103.0865	103.24	-41.95	240.00	2.34%
FDIC SEMI		10,266.70	10,308.65				
CPN 2.400% DUE 07/28/17							
DTD 07/28/11 FC 07/28/11							
CUSIP: 062649WK0							
Original Cost: 10,367.00							
ENERBANK USA							
SALT LAKE CITY UT CD	18,000	101.1650*	99.2778	16.27	339.70	188.00	1.08%
FDIC MTHLY		18,209.70	17,870.00				
CPN 1.100% DUE 08/02/17							
DTD 08/02/12 FC 09/02/12							
CUSIP: 29288NUQ8							

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.750% DUE 08/15/17 DTD 08/15/12 FC 02/15/13 CUSIP 795450NN/3 Original Cost 50.150 00	Cash	50,000	100.8130* 50,406.50	100.1620 50,081.01	333.22	325.49	875.00	1.74%
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.700% DUE 08/22/17 DTD 08/22/12 FC 02/22/13 CUSIP 795450PA/7 Original Cost 29.335 50	Cash	29,000	100.8720* 29,252.88	100.9281 29,269.14	178.29	-16.26	483.00	1.69%
FIRST BEXLEY BANK BEXLEY OH CD FDIC MTHLY CPN 0.150% DUE 08/28/17 DTD 02/28/13 FC 03/28/13 CUSIP 319309AG/3 Original Cost 24.364 46	Cash	25,000	99.6360* 24,959.00	98.3168 24,579.96	2.05	329.04	187.50	0.75%
MERRICK BANK SOUTH JORDAN UT CD FDIC MTHLY CPN 1.500% DUE 09/13/17 DTD 09/13/13 FC 10/13/13 CUSIP 59012YAZ/7	Cash	25,000	100.2870* 25,071.75	100.0000 25,000.00	19.82	71.75	375.00	1.50%
SUN NATL BANK VINELAND NJ CD FDIC MTHLY CPN 1.000% DUE 10/03/17 DTD 10/03/12 FC 11/03/12 CUSIP 86802ABV/2 Original Cost 34.434 50	Cash	35,000	100.7370* 35,257.95	99.8927 34,612.44	27.81	645.51	350.00	0.99%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
Cash	10,000	105.5110* 10,551.10	106.0167 10,601.67	77.10	-50.57	335.00	3.18%
BUSINESS FIRST BANK BATON ROUGE LA CD FDIC SEMI CPN 3.350% DUE 10/10/17 DTD 10/09/08 FC 04/09/10 CUSIP 123297BW6 Original Cost 10,743.14							
Cash	30,000	102.6510* 30,795.30	102.7441 30,823.24	41.59	-27.94	690.00	2.24%
FIRST UTAH BANK SALT LAKE CITY UT CD FDIC MTHLY CPN 2.300% DUE 11/09/17 DTD 11/10/10 FC 12/10/10 CUSIP 33743MCM4 Original Cost 31,070.31							
Cash	25,000	98.9690* 25,731.94	99.6085 25,898.20	39.47	-166.26	312.00	1.21%
DOLLAR BANK FSB PITTSBURGH PA CD FDIC SEMI CPN 1.200% DUE 11/17/17 DTD 11/17/14 FC 05/17/15 CUSIP 25655QAM7							
S&P AA+ MEDIUM TERM NOTE Moody A1 Cash	30,000	100.6140 30,184.20	100.8485 30,254.59	54.67	-70.39	480.00	1.59%
GENL ELECTRIC CAP CORP MEDIUM TERM NOTE CPN 1.600% DUE 11/20/17 DTD 11/20/12 FC 05/20/13 CUSIP 56962GK5 Original Cost 30,390.50							
Cash	12,000	102.9650* 12,355.80	103.0390 12,364.66	21.45	-8.88	270.00	2.19%
BENEFICIAL MUT SVGS BANK PHILADELPHIA PA CD FDIC MTHLY CPN 2.250% DUE 12/04/17 DTD 12/03/10 FC 01/03/11 CUSIP 08173QAN6 Original Cost 12,557.00							

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss	Estimated Annualized Income	Estimated Yield %
SAVINGS INSTITUTE B&T CO WILLIMANTIC CT CD FDIC MTHLY CPN 1.350% DUE 12/07/17 DTD 08/07/13 FC 09/07/13 CUSIP 805337AE2	Cash	19,000	100.3510 19,066.69	89.8263 18,967.00	17.67	99.69	256.50	1.35%
WELLS FARGO & CO SR UNSEC'D NOTE CPN 5.625% DUE 12/11/17 DTD 12/10/07 FC 06/11/08 CUSIP 949748NX5 Original Cost 29,520.50	SSP A+ Moody A2 Cash	25,000	111.2310 27,807.75	111.8886 27,972.14	78.13	-164.39	1,406.25	5.06%
FRONTIER STATE BANK OKLAHOMA CITY OK CD FDIC MTHLY CPN 5.000% DUE 12/14/17 DTD 12/14/07 FC 01/14/08 CUSIP 359183DA5 Original Cost 28,017.50	Cash	25,000	109.6720 27,416.00	109.9030 27,475.74	61.65	-57.74	1,250.00	4.56%
MECHANICS SVGS BANK AUBURN ME CD FDIC MTHLY CPN 2.750% DUE 01/19/18 DTD 01/19/11 FC 02/19/11 CUSIP 583368AP5 Original Cost 25,144.92	Cash	24,000	103.1810 24,765.84	103.9376 24,945.02	23.51	-179.18	660.00	2.66%
COMCAST CORP NEW NOTE CPN 5.875% DUE 02/15/18 DTD 11/17/06 FC 02/15/07 CUSIP 20030NAR2 Original Cost 87,631.00	SSP A- Moody A3 Cash	50,000	112.5120 56,256.00	112.6391 56,319.55	1,109.72	-63.55	2,937.50	5.22%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
BENEFICIAL MUT SVGS BANK PHILADELPHIA PA CD FDIC SEMI CPN 2.600% DUE 02/18/18 DTD 02/16/11 FC 08/16/11 CUSIP 081730BA3 Original Cost: 92,100.00	Cash	50,000	102.8640* 51,432.00	103.4782 51,739.12	491.51	-307.12	1,300.00	2.53%
MB FINL BANK NA CHICAGO IL CD FDIC MTHLY CPN 2.750% DUE 02/20/18 DTD 08/20/10 FC 09/20/10 CUSIP 55286CAW7 Original Cost: 36,251.35	Cash	35,000	104.5080* 36,577.80	102.5687 35,899.05	31.65	678.75	962.50	2.63%
COMENITY CAP BANK SALT LAKE CITY UT CD FDIC MTHLY CPN 1.550% DUE 02/22/18 DTD 10/22/14 FC 11/22/14 CUSIP 20033AHL3 Original Cost: 100,047.77	Cash	100,000	98.8830* 98,383.00	100.0462 100,046.15	42.47	-1,183.15	1,550.00	1.57%
TREYNOR STATE BANK TREYNOR IA CD FDIC SEMI CPN 4.500% DUE 03/02/18 DTD 08/04/09 FC 03/04/10 CUSIP 89531WAZ3 Original Cost: 37,855.00	Cash	35,000	108.2380* 37,183.65	106.7581 37,368.84	387.97	-186.19	1,190.00	3.20%
FARMERS & MERCHANTS BANK MILFORD NE CD FDIC MTHLY CPN 4.550% DUE 03/15/18 DTD 03/14/08 FC 04/14/08 CUSIP 308862AP6 Original Cost: 16,805.00	Cash	15,000	108.7500* 16,314.00	108.4909 16,273.64	33.66	40.36	682.50	4.18%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
FIRST NATL BANK OF AMER	Cash	50,000	107.0940"	107.4123	120.65	-159.17	2,000.00	3.74%
EAST LANSING MI CD			53,547.00	53,706.17				
FDIC MTHLY								
CPN 4.000% DUE 04/10/18								
DTD 07/10/09 FC 08/10/09								
CUSIP 32110YDH5								
Original Cost: \$5,125.09								
FARMERS & MERCHANTS BANK	Cash	50,000	108.0480'	108.8255	123.70	-388.75	2,150.00	3.89%
MILFORD NE CD			54,024.00	54,412.75				
FDIC MTHLY								
CPN 4.300% DUE 04/11/18								
DTD 04/11/08 FC 05/11/08								
CUSIP 308862AR2								
Original Cost: \$4,519.65								
GENL ELEC CAP CORP	SBP AAA	30,000	114.5380	115.7370	427.50	-359.89	2,025.00	5.89%
SUNTECH SEC	Moody A1		34,361.40	34,721.09				
SUNVORP CORP	Cash							
CPN 6.750% DUE 04/15/18								
DTD 04/15/08 FC 10/15/08								
CUSIP 26866E3B0								
Original Cost: \$5,649.00								
BARCLAYS BANK DE	Cash	50,000	99.2810"	99.3500	154.94	-34.50	725.00	1.46%
WILMINGTON DE CD			49,640.50	49,675.00				
FDIC SEMI								
CPN 1.450% DUE 04/16/18								
DTD 04/15/14 FC 10/15/14								
CUSIP 08740KGB8								
CONNECTICUT BANK	Cash	50,000	99.2730"	99.6300	26.71	-178.50	650.00	1.31%
ENGLEWOOD CLIFFS NJ CD			49,636.50	49,815.00				
FDIC MTHLY								
CPN 1.300% DUE 04/17/18								
DTD 04/17/14 FC 05/17/14								
CUSIP 20786AAN5								

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
GENL ELEC CAP CORP INTERNOTES SEMI SURVIVOR OPTION CPN 6.750% DUE 05/15/18 DTD 05/14/09 FC 11/15/09 CUSIP 36968R3D6 Original Cost 47,195.00		S&P AA+ Moody A1 Cash	40,000	114.2330 45,693.20	115.1104 46,044.14	345.00	-350.84	2,700.00	5.91%
CIT BANK SALT LAKE CITY UT CD FDIC SEMI CPN 1.800% DUE 07/24/18 DTD 07/24/13 FC 01/24/14 CUSIP 17284CJH8 Original Cost 40,227.08		Cash	40,000	100.2020* 40,080.80	100.4813 40,192.52	317.59	-111.72	720.00	1.80%
COUNTRY BANK NEW YORK NY CD FDIC MTHLY CPN 5.150% DUE 08/13/18 DTD 08/13/08 FC 09/13/08 CUSIP 22230PBD1 Original Cost 28,146.69		Cash	25,000	111.2770* 27,819.25	112.3170 28,079.24	67.02	-259.89	1,287.50	4.63%
COMPASS BANK BIRMINGHAM AL CD FDIC SEMI CPN 1.900% DUE 08/21/18 DTD 08/21/13 FC 02/21/14 CUSIP 20451PCL8 Original Cost 55,299.00		Cash	55,000	100.0370* 55,020.35	100.5263 55,290.59	360.74	-270.24	990.00	1.80%
AMERICAN EXPR CENTURION SALT LAKE CITY UT CD FDIC SEMI CPN 2.000% DUE 09/19/18 DTD 09/19/13 FC 03/19/14 CUSIP 02587DTR9 Original Cost 17,202.69		Cash	17,000	100.0730* 17,012.41	101.0163 17,172.77	96.88	-160.36	340.00	2.00%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss *	Estimated Annualized Income	Estimated Yield %
Bonds									
BUSINESS BK OF ST LOUIS		Cash	35,000	100.0100 *	100.8095	25.89	-279.81	630.00	1.80%
CLAYTON MO CD				35.003.50	35,283.31				
FDIC MTHLY									
CPN 1.800% DUE 10/17/18									
DTD 10/17/13 FC 11/17/13									
CUSIP 1232SEGG1									
Original Cost: 35,331.05									
COMPASS BANK		Cash	34,000	99.9070 *	100.6479	117.37	-251.90	680.00	2.00%
BIRMINGHAM AL CD				33.966.38	34,220.28				
FDIC SEMI									
CPN 2.000% DUE 10/30/18									
DTD 10/30/13 FC 04/30/14									
CUSIP 20451PGG5									
Original Cost: 34,220.58									
SALLIE MAE BANK		Cash	15,000	100.0530 *	101.4479	55.86	-209.23	322.50	2.15%
SALT LAKE CITY UT CD				15,007.85	15,217.18				
FDIC SEMI									
CPN 2.150% DUE 10/30/18									
DTD 10/30/13 FC 04/30/14									
CUSIP 795450RA5									
Original Cost: 15,253.75									
COMPASS BANK		Cash	75,000	99.8360 *	100.9364	191.30	-825.30	1,425.00	1.90%
BIRMINGHAM AL CD				74,877.00	75,702.30				
FDIC SEMI									
CPN 1.900% DUE 11/13/18									
DTD 11/13/13 FC 05/13/14									
CUSIP 20451PGY6									
Original Cost: 75,758.79									
GOLDMAN SACHS BANK USA		Cash	10,000	110.4730 *	113.2217	64.80	-274.87	550.00	4.98%
SALT LAKE CITY UT CD				11,047.30	11,322.17				
FDIC SEMI									
CPN 5.500% DUE 11/19/18									
DTD 11/19/08 FC 05/19/09									
CUSIP 381425TNS									
Original Cost: 11,539.90									

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government

Bonds

Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
CAPITAL ONE BANK USA NA FDIC SEMI GLEN ALLEN VA CD CPN 5.000% DUE 12/10/18 DTD 12/10/08 FC 06/10/09 CUSIP 140420MU1 Original Cost 22 594 80	20,000	110 9940 * 22 196 80	111 1643 22 232 86	60 27	-36 06	1,000 00	4 51%
GOLDMAN SACHS BANK USA NEW YORK NY CD FDIC SEMI CPN 4.250% DUE 01/14/19 DTD 01/14/09 FC 07/14/09 CUSIP 381426YV1 Original Cost 43 585 80	40,000	105 6390 * 42 335 60	108 3289 43 331 57	796 44	-985 97	1 700 00	4 02%
FARMERS STATE BANK CALHAN CO CD FDIC MTHLY CPN 4.000% DUE 01/22/19 DTD 01/21/09 FC 02/21/09 CUSIP 31033MAM9 Original Cost 27 093 54	25,000	107 2990 * 26 824 75	108 2884 27 072 11	30 14	-247 36	1 000 00	3 75%
GENL ELECTRIC CAP CORP INTERNOTES SEMI SURVIVOR OPTION CPN 5.000% DUE 02/15/19 DTD 02/14/09 FC 08/15/08 CUSIP 36966RM28 Original Cost 112 117 00	100,000	110 2280 110 228 00	111 2643 111 264 29	1 926 67	-1 036 29	5,100 00	4 63%
AMERICAN EXPR BANK FSB SALT LAKE CITY UT CD FDIC SEMI CPN 4.000% DUE 03/25/19 DTD 03/25/09 FC 09/25/09 CUSIP 02580VJN6 Original Cost 32 704 44	30,000	107 3810 * 32 214 30	107 8437 32 363 11	322 19	-138 81	1,200 00	3 75%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
MIDWEST CMNTY BANK FREEMONT IL CD FDIC MTHLY CPN 4.900% DUE 08/10/19 DTD 06/10/09 FC 07/10/09 CUSIP 598315AR0 Original Cost: 45,645.25	Cash	42,000	107.5420" 45,167.64	108.1186 45,409.80	101.26	-242.16	1,680.00	3.72%
BARCLAYS BANK DE WILMINGTON DE CD FDIC SEMI CPN 2.050% DUE 07/18/19 DTD 07/16/14 FC 01/18/15 CUSIP 06740KH7 Original Cost: 20,074.08	Cash	20,000	98.9520" 19,790.40	100.3542 20,070.85	189.83	-280.45	410.00	2.07%
AMERICAN EXPR BANK FSB SALT LAKE CITY UT CD FDIC SEMI CPN 2.100% DUE 08/21/19 DTD 08/21/14 FC 02/21/15 CUSIP 02587CAW0	Cash	100,000	98.8680" 98,868.00	99.8450 99,645.00	785.20	-777.00	2,100.00	2.12%
NBT BANK NA NORWICH NY CD FDIC SEMI CPN 2.100% DUE 08/27/19 DTD 08/27/14 FC 02/27/15 CUSIP 628779FP0	Cash	100,000	98.8030" 98,803.00	99.9950 99,995.00	730.69	-1,192.00	2,100.00	2.13%
AMERICAN EXPR BANK FSB SALT LAKE CITY UT CD FDIC SEMI CPN 2.100% DUE 09/04/19 DTD 08/04/14 FC 03/04/15 CUSIP 02587C8C3 Original Cost: 50,072.00	Cash	50,000	98.8190" 49,409.50	100.0256 50,011.80	342.33	-602.30	1,050.00	2.13%

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MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds		Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
DISCOVER BANK		Cash	20,000	98.8000	100.5501	136.22	-350.02	440.00	2.23%
GREENWOOD DE CD				19,780.00	20,110.02				
FDIC SEMI									
CPN 2.200% DUE 08/10/19									
DTD 09/10/14 FC 03/10/15									
CUSIP: 2646710F2									
Original Cost: 20,175.00									
CENTURYTEL INC		S&P BB	10,000	108.0000	102.3845	181.08	563.55	615.00	5.69%
SR NOTE SER P		Moody Baa2		10,800.00	10,236.45				
CPN 6.150% DUE 08/15/19									
DTD 09/21/09 FC 03/15/10									
CUSIP: 156700A6									
Original Cost: 10,393.10									
SALLIE MAE BANK		Cash	22,000	99.4320	100.2250	137.37	-174.46	473.00	2.16%
SALT LAKE CITY UT CD				21,875.04	22,049.50				
FDIC SEMI									
CPN 2.150% DUE 09/17/19									
DTD 09/17/14 FC 03/17/15									
CUSIP: 795450TB1									
Original Cost: 22,051.70									
AMERICAN EXPR CENTURION		Cash	35,000	106.9590	107.4415	369.18	+168.89	1,347.50	3.60%
SALT LAKE CITY UT CD				37,435.65	37,604.54				
FDIC SEMI									
CPN 3.550% DUE 09/23/19									
DTD 09/23/09 FC 03/23/10									
CUSIP: 025867G40									
Original Cost: 37,647.75									
GOLDMAN SACHS BANK USA		Cash	60,000	98.7900	99.6750	203.64	-631.00	1,200.00	2.02%
NEW YORK NY CD				59,274.00	59,805.00				
FDIC SEMI									
CPN 2.000% DUE 10/31/19									
DTD 10/31/12 FC 04/30/13									
CUSIP: 38143AQ73									

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MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
BMW BANK OF NORTH AMER FDIC SEMI CPN 2.150% DUE 12/10/19 DTD 12/10/14 FC 08/10/15 CUSIP 05580AAZ7 Original Cost: 26,045.00	Cash	26,000	98.9110* 25,716.86	100.1716 26,044.67	33.69	-327.81	559.00	2.17%
FIRST NATL BANK OF AMER EAST LANSING MI CD FDIC SEMI CPN 2.750% DUE 02/10/20 DTD 08/10/11 FC 02/10/12 CUSIP 32110YDU6 Original Cost: 25,983.09	Cash	25,000	101.8010* 25,450.25	103.8761 25,969.03	271.23	-518.78	687.50	2.70%
BANK OF INTERNET USA SAN DIEGO CA CD FDIC MTHLY CPN 3.450% DUE 05/28/20 DTD 05/28/10 FC 06/28/10 CUSIP 06279LCG7 Original Cost: 80,528.84	Cash	75,000	105.1440* 78,856.00	107.2677 80,473.30	28.36	-1,615.30	2,587.50	3.28%
BARCLAYS BANK DE WILMINGTON DE CD FDIC SEMI CPN 2.350% DUE 08/20/20 DTD 08/20/14 FC 02/20/15 CUSIP 06740KHV0	Cash	40,000	98.7630* 39,505.20	99.9125 39,965.00	345.10	-459.80	940.00	2.38%
FIRST MERCHANTS BANK NA MONCIE IN CD FDIC SEMI CPN 2.150% DUE 08/24/20 DTD 08/22/14 FC 02/22/15 CUSIP 320828DN6	Cash	100,000	98.7430* 98,743.00	99.5060 99,505.00	777.53	-762.00	2,150.00	2.18%

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MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
STIFEL VANTAGE ACCOUNT

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(Loss)*	Estimated Annualized Income	Estimated Yield %
DISCOVER BANK GREENWOOD DE CD FDIC SEMI CPN 2.650% DUE 09/04/20 DTD 09/04/13 FC 03/04/14 CUSIP 264671VJ6 Original Cost 18,372.80	Cash	18,000	100.3350* 18,060.30	101.6726 18,301.07	155.52	-240.77	477.00	2.64%
PRIME BANK EDMOND OK CD FDIC MTHLY CLLB CPN 2.700% DUE 10/15/20 DTD 10/15/10 FC 11/15/10 CALL 08/15/12 @ 1.00 000 CUSIP 74164AAZ7	Cash	50,000	100.0480* 50,024.00	98.6350 49,317.50	62.88	706.50	1,350.00	2.70%
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC SEMI CPN 2.300% DUE 11/19/20 DTD 11/19/14 FC 05/19/15 CUSIP 140420QY9 Original Cost 50,210.00	Cash	50,000	98.7670* 49,383.50	100.4162 50,206.11	135.48	-824.61	1,150.00	2.33%
Total Corporate/Government Bonds		8,044,000	\$8,200,689.38	\$8,192,346.13	\$37,808.09	\$8,343.25	\$206,768.50	2.52%
*The price assigned to this instrument may have been provided by a national pricing service and is derived from a 'market-driven pricing model'. This price may not be the actual price you would receive in the event of a sale prior to the maturity of the C/D. Additional information is available upon request.								
Total Portfolio Assets - Held at Stifel			\$8,200,689.38	\$8,192,346.13		\$8,343.25	\$206,768.50	2.52%

The Melvin and Bren Simon Charitable Foundation Number One
EIN: 35-2049367
Form 990-PF, Part II, Line 10b
Stocks, Mutual Funds & Other Investments - Schedule of Holdings
12/31/2014

	Valuation Method	Cost Basis 12/31/14	Fair Market Value 12/31/14
Account # 2032-0352			
Mutual Funds	Cost	1,449,544.13	1,672,548.61
Account # 7025-4407			
Equities	Cost	364,872.14	372,336.00
Mutual Funds	Cost	51,731.37	31,266.00
Account # 8918-8077			
Equities	Cost	845,987.22	1,152,107.71
Mutual Funds	Cost	22,651.94	13,896.00
Other Investments	Cost	24,031.32	40,596.00
Account # N4Q-524867			
Equities	Cost	996,368.43	1,059,133.86
		<u>3,755,186.55</u>	<u>4,341,884.18</u>

STIFEL

MEL & BREN SIMON CHAR FDTN #1
FPA CRESCENT ACCOUNT
SOLUTIONS KNALL/COHEN MGD ACCT
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ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

PORTFOLIO ASSETS - HELD AT STIFEL

Mutual Funds		Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment 1/ Cumulative Return ²	Unrealized Gain/Loss ³	Estimated Annualized Income	Estimated Yield %
Open-End Funds									
FPA CRESCENT	FRACX		49.571684	33.7400	29.2414	1,250,000.00	223,004.40	11,401.48	0.68%
CLIFP 3025AT759	Cash			1,672,548.61	1,449,544.13	422,548.61			
Dividend Option Reinvest									
Total Mutual Funds				\$1,672,548.61	\$1,449,544.13		\$223,004.40	\$11,401.48	0.68%
Total Portfolio Assets - Held at Stifel				\$1,672,548.61	\$1,449,544.13		\$223,004.40	\$11,401.48	0.68%

STIFEL

MELVIN AND BREN SIMON
CHARITABLE FOUNDATION #1
PRECIOUS METALS ACCOUNT

Tax ID # 35-2049367

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ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to: amortization, accretion, principal paydowns, capital charges, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities								
	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %	
GOLD CORP INC NEW CUSIP 380986409	GG	650	18.5200	23.7321	-3,387.85	390.00	3.24%	
	Cash		12,038.00	15,425.85				
MARKET VECTORS GOLD MINERS ETF CUSIP 57060U100	GOX	2,300	18.3900	40.2652	-50,335.94	439.30	1.04%	
	Cash		42,274.00	92,609.94				
SPDR GOLD TRUST GOLD SHARES CUSIP 78463V107	GLD	2,800	113.5900	91.7273	61,187.65	N/A	N/A	
	Cash		318,024.00	256,836.35				
Total Equities			\$372,336.00	\$384,872.14	\$7,463.86	\$829.30	0.22%	

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment 1/ Cumulative Return ²	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
Closed-End Funds								
CENTRAL FUND OF CANADA	CEF	2.700	11.5900	19.1598	51,731.37	-20,465.37	27.00	0.08%
LIMITED CLASS A	Cash		31,266.00	51,731.37	-20,465.37			
CUSIP: 153501101								
Total Mutual Funds			\$31,266.00	\$51,731.37		-\$20,465.37	\$27.00	0.09%
Total Portfolio Assets - Held at Stifel								
			\$403,602.00	\$416,803.51		-\$13,001.51	\$866.30	0.21%

STIFEL

MEL & BREN SIMON CHAR FDTN #1
EQUITY ACCOUNT
SOLUTIONS KNALLCOHEN MGD ACCT

January 1 -
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ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities		Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
AT&T INC CUSIP 00208R102		T Cash	700	33.5900 23,513.00	30.2585 21,180.95	2,332.05	1,316.00	5.60%
ANHEUSER BUSCH INBEV SANNV CUSIP 03524A108		BUD Cash	375	112.3200 42,120.00	55.7038 20,883.94	21,231.06	1,214.65	2.88%
APPLE INC CUSIP 037833100		AAPL Cash	480	110.3900 52,982.40	60.2866 28,937.58	24,044.82	902.40	1.70%
BERKSHIRE HATHAWAY INC DE CL B NEW CUSIP 084870702		BRVB Cash	200	150.1500 30,030.00	128.6599 25,331.98	4,698.02	N/A	N/A
BLACKROCK INC CUSIP 09247X101		BLK Cash	100	357.5600 35,756.00	247.0410 24,704.10	11,051.90	772.00	2.16%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities		Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
CHEVRON CORP	CXV	Cash	200	112,1800	101,8688	2,082.24	856.00	3.82%
CUSIP 166764100				22,436.00	20,373.76			
CISCO SYSTEMS INC	CSQ	Cash	1,200	27,8150	16,4800	13,602.00	912.00	2.73%
CUSIP 17275R102				33,378.00	19,776.00			
CROWN CASTLE INTL CORP	CCI	Cash	300	78,7000	84,5902	-1,764.06	984.00	4.17%
CUSIP 22822Y101				23,610.00	25,374.06			
DANONE SPONSORED ADR	DANOY	Cash	1,600	13,0170	15,1649	-3,436.59	631.52	3.03%
CUSIP 23636T100				20,627.20	24,263.79			
DEERE & COMPANY	DE	Cash	275	88,4700	82,1765	1,730.71	660.00	2.71%
CUSIP 244199105				24,329.25	22,598.54			
DEVON ENERGY CORP NEW	DVN	Cash	265	61,2100	53,6999	1,990.18	254.40	1.57%
CUSIP 25179M103				16,220.65	14,230.47			
DIAGEO PLC NEW	DEO	Cash	350	114,0900	80,7255	11,677.57	1,179.43	2.95%
SPONSORED ADR				39,931.50	28,253.93			
CUSIP 25243Q205								
DU PONT E I DE NEMOURS	DD	Cash	325	73,9400	71,2671	868.89	611.00	2.54%
& COMPANY				24,030.50	23,161.81			
CUSIP 263534109								
IMPERIAL TOBACCO GRP	ITBY	Cash	300	87,5000	71,4000	4,830.00	1,244.04	4.74%
PLC SPONS ADR				26,250.00	21,420.00			
CUSIP 453142101								
INTEL CORP	INTC	Cash	1,650	36,2900	21,7787	23,943.70	1,485.00	2.48%
CUSIP 458140100				59,878.50	35,934.60			
JOHNSON & JOHNSON	JNJ	Cash	450	104,5700	64,5900	17,991.00	1,260.00	2.68%
CUSIP 478160104				47,056.50	29,065.50			
KRAFT FOODS GRP INC	KRFT	Cash	525	62,6600	45,7557	10,565.19	1,375.00	3.51%
CUSIP 50076Q108				39,152.50	28,597.30			
MAG SILVER CORP	MVG	Cash	1,850	8,1500	8,1865	-67.50	N/A	N/A
CUSIP 55903Q104				15,077.50	15,145.00			
MASTERCARD INC CLASS A	MA	Cash	750	86,1600	27,7400	43,808.25	480.00	0.74%
CUSIP 57636Q104				64,620.00	20,811.75			

STIFEL

MEL & BREN SIMON CHAR FDTN #1
EQUITY ACCOUNT
SOLUTIONS KNALL/COHEN MGD ACCT
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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(Loss)	Estimated Annualized Income	Estimated Yield %
MEGGITT PLC ADS REPSTG 2 SHS CUSIP 59517R104	MEGGY Cash	1,400	16.1000 22,540.00	18.5000 25,900.00	-3,360.00	605.64	2.69%
MICROSOFT CORP CUSIP 594918104	MSFT Cash	1,250	46.4500 58,062.50	25.0428 31,303.66	26,758.95	1,550.00	2.67%
MOLSON COORS BREWING COMPANY CLASS B CUSIP 50871R209	TAP Cash	400	74.5200 29,808.00	58.2799 23,311.96	6,486.04	592.00	1.99%
MONDELEZ INTERNATIONAL INC CUSIP 509207105	MZLZ Cash	900	36.3250 32,692.50	21.3140 19,182.57	13,509.93	540.00	1.65%
NESTLE S A SPNSD ADR REPSTG REG SHS CUSIP 641059406	NSRGY Cash	500	72.9500 36,475.00	62.4535 31,226.75	5,248.25	1,208.70	3.31%
PFIZER INC CUSIP 717081103	PFE Cash	1,500	31.1500 46,725.00	20.3850 30,577.45	16,147.55	1,680.00	3.60%
PINNACLE FOODS INC DE CUSIP 72348P104	PF Cash	1,000	35.3000 35,300.00	25.4899 25,489.90	9,810.10	940.00	2.66%
PROCTER & GAMBLE COMPANY CUSIP 742718109	PG Cash	335	81.0900 30,515.15	61.7576 20,668.60	9,826.35	862.42	2.83%
RAYONIER INC CUSIP 754907103	RYN Cash	550	27.9400 15,367.00	29.9115 16,451.35	-1,084.35	550.00	3.66%
ROGERS COMMUNICATIONS INC CLASS B NON-VOTING CUSIP 775109200	RGI Cash	825	38.6600 32,059.50	36.1550 29,827.88	2,231.62	1,323.96	4.13%
SCHLUMBERGER LTD CUSIP 800857108	SLB Cash	225	85.4100 19,217.25	109.3261 24,596.37	-5,381.12	360.00	1.97%
TIME WARNER INC NEW CUSIP 887317303	TWO Cash	550	85.4200 46,981.00	35.2699 19,356.44	27,582.56	698.50	1.49%
UNILEVER PLC SPONSORED ADR NEW CUSIP 904761704	UL Cash	525	40.4800 21,252.00	44.8655 23,554.39	-2,302.39	791.22	3.72%

STIFEL

MEL & BREN SIMON CHAR FDTN #1
EQUITY ACCOUNT
SOLUTIONS KNALL/COHEN MGD ACCT
Tax ID # 35-2049367

January 1 -
December 31 2014
Account Number
1445 9318-8077

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities								
Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %		
VIACOM INC CL B NEW CUSIP 92553P201	525	75.2500 39,506.25	46.9456 24,646.44	14,859.81	693.00	1.75%		
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR CUSIP 92857W008	818	34.1700 27,951.06	47.8351 39,129.14	-11,178.09	1,474.03	5.27%		
WELLS FARGO & CO NEW CUSIP 949746101	300	54.8200 16,446.00	35.4989 10,649.97	5,796.03	420.00	2.55%		
Total Equities		\$1,152,107.71	\$845,987.22	\$306,120.47	\$30,427.11	2.64%		
Mutual Funds								
Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment ¹ / Cumulative Return ²	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %	
Closed-End Funds								
CENTRAL FUND OF CANADA LIMITED CLASS A CUSIP 153601101	1.200	11.5800 13,896.00	18.8766 22,651.94	22,651.94 -8,755.94	-8,755.94	12.00	0.09%	
Total Mutual Funds		\$13,896.00	\$22,651.94		-\$8,755.94	\$12.00	0.09%	

STIFEL

MEL & BREN SIMON CHAR FDTN #1
EQUITY ACCOUNT
SOLUTIONS KNALL/COHEN MGD ACCT
Tax ID # 35-2049367

January 1 -
December 31 2014
Account Number

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Other Investments

Investments classified as Other Investments, such as partnerships, private equity, hedge funds, and real estate funds, are generally illiquid, and a formal trading market may not exist. The current values shown on this statement are based on the most recent available information provided by the investee. These estimates may be generated monthly, quarterly or less frequently, depending on the specific investment. Therefore, the values shown herein will not necessarily be realized upon the sale of the securities. If a value is not provided, such information is not currently available. Unregistered securities are not covered by SIPC.

Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/Loss*	Estimated Annualized Income	Estimated Yield %
BLACKSTONE GROUP LP UNIT REPRESENTING LTD PARTNERSHIP CUSIP 09253U108 Original Cost 23 962 32	1,200	33 8300 40,596 00	17 5886 -24,106 32-	19,489 68	2,304 00	5 68%
Total Other Investments		\$40,596 00	\$21,106 32-	\$19,489 68	\$2,304 00	5 68%
Total Portfolio Assets - Held at Stifel		\$1,206,593 71	-\$699,745 48-	\$316,854 21	\$32,743 11	2 71%

Pershing Advisor Solutions LLC, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
 (877)-870-7230
 Member FINRA, SIPC

Investment Account Statement

Statement Period: 12/01/2014 - 12/31/2014

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 99.00% of Portfolio								
Common Stocks								
ALTRIA GROUP INC COM								
			Security Identifier: MO					
			CUSIP: 022095103					
01/16/14	810,000	37.2270	30,153.55	49.2700	39,908.70	9,755.15	1,684.80	4.22%
ANHEUSER-BUSCH INBEV SA NV SPONSORED-ADR								
			Security Identifier: BUD					
			CUSIP: 03524A108					
01/16/14	490,000	103.3750	50,652.75	112.3200	55,036.80	4,383.05	1,310.07	2.38%
BERKSHIRE HATHAWAY INC DEL CL B NEW								
			Security Identifier: BRK B					
			CUSIP: 084670702					
Multiple Y	115,2790		108,938.54	150.1500	141,891.75	32,953.21		
BRITISH AMERICAN TOBACCO PLC ORD SHS								
			Security Identifier: BTAF					
			CUSIP: G80002875804					
01/16/14	600,000	50.7220	30,433.40	54.5650	32,739.00	2,305.60		
BROWN FORMAN CORP CL A								
			Security Identifier: BFA					
			CUSIP: 115637100					
01/16/14	370,000	78.2060	28,936.22	87.7600	32,471.20	3,534.98	466.20	1.43%
GIE FINANCIERE RICHEMONT-AG ZUG								
			Security Identifier: CFRHF					
			CUSIP: H25662182					
			Price Estimated as of: 12/30/14					
Multiple Y	875,000	94.7460	77,218.23	89.3898	77,852.77	-4,365.46		
COMCAST CORP NEW CL A SPI								
			Security Identifier: CMCSK					
			CUSIP: 20030N200					
01/16/14	380,000	51.7680	19,671.65	57.5650	21,874.70	2,203.05	342.00	1.56%
DIAGEO PLC ORD SHS								
			Security Identifier: DGEAF					
			CUSIP: G42089113					
01/16/14	925,000	32.3760	29,947.45	28.8181	26,656.76	-3,290.69		
HEINEKEN HLDG NV SHS								
			Security Identifier: HKHHF					
			CUSIP: N39338194					

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
HEINEKEN-HLDG-NV-SHS (continued)								
Multiple Y	62,0770		67,664.04	62.8301	68,484.82	-820.78		
Total Covered	1,090,000							
MARTIN MARIETTA-MATLS INC COM								
				Security Identifier: MIM				
				CUSIP: 573284106				
01/16/14	190,000	108.6800	20,649.18	110.3200	20,960.80	311.62	304.00	1.45%
MASTERCARD INC CL A COM								
				Security Identifier: MA				
				CUSIP: 57636Q104				
Multiple Y	81,9770		77,878.28	86.1600	81,852.00	-3,973.72	608.00	-0.74%
Total Covered	950,000							
NESTLE SA SPONSORED ADR REPSTG								
				Security Identifier: NSRGY				
				CUSIP: 641069406				
REG SHS ISIN#US6410694060								
Multiple Y	74,7730		114,402.75	73.4160	112,326.48	-2,076.27	3,101.70	2.76%
Total Covered	1,580,000							
PERNOD RICARD ORD SHS								
				Security Identifier: PPRDF				
				CUSIP: F70271409				
ISIN#FR0000120893								
Multiple Y	112,5040		62,439.81	111.6253	61,952.08	-487.73		
Total Covered	555,000							
PHILIP MORRIS INTL INC COM								
				Security Identifier: PM				
				CUSIP: 718172109				
01/16/14	1,065,000	83.9160	89,370.11	81.4500	86,744.25	-2,625.86	4,260.00	4.91%
SABMiller PIC SHS								
				Security Identifier: SBMRP				
				CUSIP: G77395104				
ISIN#GB0004835483								
01/16/14	1,325,000	50.0500	66,316.20	52.3106	69,311.55	2,995.35		
UNILEVER NV-NEW YORK SHS NEW								
				Security Identifier: UN				
				CUSIP: 904184209				
Multiple Y	39,0700		53,525.49	39.0400	53,484.80	-40.69	1,754.92	3.28%
Total Covered	1,576,000							
WELLS FARGO & CO NEW COM								
				Security Identifier: WFC				
				CUSIP: 949745101				
01/16/14	1,470,000	46.3740	68,169.78	54.8200	80,585.40	12,415.62	2,058.00	2.55%
Total Common Stocks			\$996,368.43		\$1,059,133.86	\$62,765.43	\$15,889.69	
Total Equities			\$996,368.43		\$1,059,133.86	\$62,765.43	\$15,889.69	

Melvin & Bren Simon Charitable Foundation # 1

EIN: 35-2049367

Form 990-PF, Part II, Line 13

Alternative Investments

12/31/14

	Valuation Method	Book Value 12/31/2014	Fair Market Value 12/31/2014
<u>ALTERNATIVE INVESTMENTS</u>			
Galtère Global Farmland Fund	Cost	197,820.19	196,963.00
Hawkeye Capital Ser Trust Offshore	Cost	1,367,728.00	1,488,879.38
IVA Global Fund Cayman US Invs Ser	Cost	1,350,000.00	1,581,418.61
Kingstown Partners Offshore Ltd CI A Ser 1/2013	Cost	1,000,000.00	1,345,890.07
Mayo Capital Partners Offshore Fd Ltd	Cost	900,000.00	1,009,483.08
Passport Offshore Ltd	Cost	389,322.00	924,455.71
Portfolio Advisors Offshore Private Eqty Fd III	Cost	356,202.00	472,889.00
KC Partners Investment Fund	Cost	1,569,305.00	1,561,714.71
Total Account # 6876-5043		<u>7,130,377.19</u>	<u>8,581,693.56</u>