EXTENDED TO AUGUST 17, 2015

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation
THE UNGER FOUNDATION
C/O ROBERT P. MCMANUS

A Employer identification number
34-1929633

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 926

B Telephone number
216-387-1892

City or town, state or province, country, and ZIP or foreign postal code
HUDSON, OH 44236

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,858,685.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	114,032.	110,494.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	6,623.			
	b Gross sales price for all assets on line 6a 387,959.				
	7 Capital gain net income (from Part IV, line 2)		6,623.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	595.	595.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	121,250.	117,712.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	24,000.	0.		24,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	18,768.	18,768.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,880.	35.		0.
	19 Depreciation and depletion				
	20 Occupancy	6,800.	6,800.		0.
	21 Travel, conferences, and meetings	2,972.	2,972.		0.
	22 Printing and publications				
	23 Other expenses STMT 5	7,654.	7,654.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	62,074.	36,229.		24,000.
	25 Contributions, gifts, grants paid	66,500.			66,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	128,574.	36,229.		90,500.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<7,324.>			
	b **Net investment income** (if negative, enter -0-)		81,483.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	53,602.	35,273.	35,273.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	29,264.	25,191.	27,350.
	b Investments - corporate stock STMT 7	1,269,080.	1,453,563.	1,425,379.
	c Investments - corporate bonds STMT 8	585,322.	293,773.	336,853.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	14,075.	30,998.	33,830.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,951,343.	1,838,798.	1,858,685.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	105,221.	0.	
	23 **Total liabilities** (add lines 17 through 22)	105,221.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,846,122.	1,838,798.	
	30 **Total net assets or fund balances**	1,846,122.	1,838,798.	
	31 **Total liabilities and net assets/fund balances**	1,951,343.	1,838,798.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,846,122.
2 Enter amount from Part I, line 27a	2	<7,324.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,838,798.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,838,798.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	387,959.		381,336.	6,623.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				6,623.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 6,623.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	152,316.	1,893,817.	.080428
2012	114,043.	1,953,000.	.058394
2011	131,546.	1,871,317.	.070296
2010	81,975.	1,795,042.	.045667
2009	70,972.	1,505,754.	.047134

2	**Total** of line 1, column (d)	2	.301919
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060384
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,883,082.
5	Multiply line 4 by line 3	5	113,708.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	815.
7	Add lines 5 and 6	7	114,523.
8	Enter qualifying distributions from Part XII, line 4	8	90,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,630.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,630.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,630.
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a 920.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 1,200.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	2,120.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	490.
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ 490. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* SEE STATEMENT 11	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ (NONE)

14 The books are in care of ▶ ROBERT P. MCMANUS Telephone no. ▶ 216-387-1892

Located at ▶ P.O. BOX 926, HUDSON, OH ZIP+4 ▶ 44236

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SONJA F. UNGER 2181 AMBLESIDE DRIVE, #904 CLEVELAND, OH 44106	TRUSTEE 1.00	0.	0.	0.
ALAN F. UNGER 16 BAYVIEW AVENUE MILL VALLEY, CA 94941	PRESIDENT/TRUSTEE 20.00	24,000.	0.	0.
ROBERT P. MCMANUS P.O. BOX 926 HUDSON, OH 44236	SECRETARY/TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2014)

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13350808 350450 19296U 2014.04010 THE UNGER FOUNDATION C/O RO 19296U1

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	1,854,095.
b	Average of monthly cash balances		1b	57,663.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,911,758.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,911,758.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	28,676.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,883,082.
6	**Minimum investment return.** Enter 5% of line 5		6	94,154.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	94,154.
2a	Tax on investment income for 2014 from Part VI, line 5	2a 1,630.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,630.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	92,524.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	92,524.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	92,524.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	90,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	90,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	90,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				92,524.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011 1,280.				
d From 2012 17,857.				
e From 2013 59,443.				
f **Total** of lines 3a through e	78,580.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 90,500.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				90,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,024.			2,024.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	76,556.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	76,556.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012 17,113.				
d Excess from 2013 59,443.				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVENUE CLEVELAND, OH 44115	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	36,500.
CROATION SCHOLARSHIP FUND P.O. BOX 290 SAN RAMON, CA 94583	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	5,000.
UNIVERSITY OF CALIFORNIA, BERKELEY 2484 SHATTUCK AVENUE BERKELEY, CA 94720	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	25,000.
Total			▶ 3a	66,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					114,032.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					595.
8 Gain or (loss) from sales of assets other than inventory					6,623.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	121,250.

13 Total. Add line 12, columns (b), (d), and (e) 13 121,250.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
4	INVESTMENT INCOME TO PROVIDE FUNDS ONLY
8	INVESTMENT INCOME TO PROVIDE FUNDS ONLY

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/14/15 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DENNIS A. LINDEN	[signature] CPA	AUG 13 2015		P00008147
Firm's name ▶ CBIZ MHM, LLC			Firm's EIN ▶ 34-1513062	
Firm's address ▶ 6050 OAK TREE BLVD S STE 500 CLEVELAND, OH 44131			Phone no. 216-447-9000	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PUBLICLY TRADED PARTNERSHIPS	3,095.	0.	3,095.	3,095.	
UBS FINANCIAL SERVICES	115,512.	4,575.	110,937.	107,399.	
TO PART I, LINE 4	118,607.	4,575.	114,032.	110,494.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	595.	595.	
TOTAL TO FORM 990-PF, PART I, LINE 11	595.	595.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL, ACCOUNTING AND TAX SERVICES	18,768.	18,768.		0.
TO FORM 990-PF, PG 1, LN 16B	18,768.	18,768.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN INCOME TAX	35.	35.		0.
FEDERAL EXCISE TAX	1,845.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,880.	35.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	154.	154.		0.
CONSULTING FEES	7,500.	7,500.		0.
TO FORM 990-PF, PG 1, LN 23	7,654.	7,654.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	X		25,191.	27,350.
TOTAL U.S. GOVERNMENT OBLIGATIONS			25,191.	27,350.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			25,191.	27,350.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	1,453,563.	1,425,379.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,453,563.	1,425,379.

FORM 990-PF	CORPORATE BONDS	STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	293,773.	336,853.
TOTAL TO FORM 990-PF, PART II, LINE 10C	293,773.	336,853.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	COST	30,998.	33,830.
TOTAL TO FORM 990-PF, PART II, LINE 13		30,998.	33,830.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 10

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
NET CASH PROCEEDS IN TRANSIT	105,221.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	105,221.	0.

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 8B STATEMENT 11

EXPLANATION

OHIO REQUIRES AN ONLINE REGISTRATION THAT INCLUDES INFORMATION FROM FORM 990-PF, BUT DOES NOT WANT A COPY OF FORM 990-PF

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT P. MCMANUS
P.O. BOX 926
HUDSON, OH 44236

TELEPHONE NUMBER

216-387-1892

FORM AND CONTENT OF APPLICATIONS

A LETTER EXPLAINING THE PURPOSE OF ORGANIZATION AND COPY OF EXEMPTION LETTER

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 00	9,758 12					
UBS BANK USA BUS ACCT	74,656 98	92,210 03					250,000 00
Total	**$74,656.98**	**$101,968.15**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC								
Symbol T Exchange NYSE								
EAI $1,128 Current yield 5 60%	Sep 2, 11	400 000	28 715	11,486 01	33 590	13,436 00	1,949 99	LT
	Oct 20, 11	200 000	29 321	5,864 32	33 590	6,718 00	853 68	LT
Security total		600 000	28 917	17,350 33		20,154 00	2,803 67	
CENTURYLINK INC								
Symbol CTL Exchange NYSE								
EAI $1,836 Current yield 5 46%	Jun 16, 11	250 000	40 121	10,030 47	39 580	9,895 00	-135 47	LT
	May 10, 13	300 000	38 408	11,522 42	39 580	11,874 00	351 58	LT
	Jan 7, 14	300 000	32 073	9,621 95	39 580	11,874 00	2,252 05	ST
Security total		850 000	36 676	31,174 84		33,643 00	2,468 16	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $504 Current yield 3 68%	May 30, 12	100 000	31 947	3,194 71	45 610	4,561 00	1,366 29	LT
	Jul 11, 12	200 000	30 872	6,174 42	45 610	9,122 00	2,947 58	LT
Security total		300 000	31 230	9,369 13		13,683 00	4,313 87	
DU PONT DE NEMOURS								
Symbol DD Exchange NYSE								
EAI $376 Current yield 2 54%	Sep 20, 12	200 000	52 546	10,509 31	73 940	14,788 00	4,278 69	LT
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI $400 Current yield 3 23%	Jan 14, 14	800 000	16 588	13,270 57	15 500	12,400 00	-870 57	ST
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $320 Current yield 2 56%	Jul 31, 12	200 000	36 768	7,353 74	62 580	12,516 00	5,162 26	LT
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $1,080 Current yield 3 17%	May 25, 10	100 000	32 732	3,273 23	56 790	5,679 00	2,405 77	LT
	Jun 28, 11	300 000	35 608	10,682 42	56 790	17,037 00	6,354 58	LT
	Oct 20, 11	200 000	33 138	6,627 77	56 790	11,358 00	4,730 23	LT
Security total		600 000	34 306	20,583 42		34,074 00	13,490 58	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $280 Current yield 2 59%	Jul 9, 14	200 000	57 326	11,465 32	54 090	10,818 00	-647 32	ST
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol RHHBY Exchange OTC								
EAI $183 Current yield 2 69%	Sep 12, 14	200 000	37 346	7,469 33	33 990	6,798 00	-671 33	ST
TRANSOCEAN LTD CHF								
Symbol RIG Exchange NYSE								
EAI $600 Current yield 16 37%								
CHF Exchange rate 0 99365	Jul 19, 13	200 000	50 680	10,136 14	18 330	3,666 00	-6,470 14	LT
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $236 Current yield 2 05%	Sep 12, 14	100 000	110 392	11,039 26	115 000	11,500 00	460 74	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
W P CAREY INC REIT								
Symbol WPC Exchange NYSE								
EAI $760 Current yield 5 42%	Nov 6, 14	200 000	68 327	13,665 46	70 100	14,020 00	354 54	ST
Total				**$163,386.85**		**$188,060.00**	**$24,673.15**	

Total estimated annual income: $7,703

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ADVENT CLAYMORE CONV SECS & INCOME FUND									
Symbol AVK									
Trade date Apr 29, 03	800 000	25 006	20,005 00	20,005 00	16 350	13,080 00	-6,925 00		LT
Trade date May 4, 11	300 000	19 699	5,909 83	5,909 83	16 350	4,905 00	-1,004 83		LT
Trade date May 11, 11	400 000	19 701	7,880 54	7,880 54	16 350	6,540 00	-1,340 54		LT
Trade date Oct 20, 11	300 000	14 942	4,482 65	4,482 65	16 350	4,905 00	422 35		LT
Trade date Jul 27, 12	300 000	16 201	4,860 37	4,860 37	16 350	4,905 00	44 63		LT
EAI $2,367 Current yield 6 89%									
Security total	2,100 000	20 542	43,138 39	43,138 39		34,335 00	-8,803 39	-8,803 39	
ALPS ETF TR ALERIAN MLP									
Symbol AMLP									
Trade date Nov 9, 12	1,000 000	15 288	15,288 79	15,288 79	17 520	17,520 00	2,231 21		LT
Trade date Nov 16, 12	600 000	15 061	9,036 63	9,036 63	17 520	10,512 00	1,475 37		LT
Trade date May 10, 13	400 000	17 688	7,075 28	7,075 28	17 520	7,008 00	-67 28		LT
EAI $2,260 Current yield 6 45%									
Security total	2,000 000	15 700	31,400 70	31,400 70		35,040 00	3,639 30	3,639 30	

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
CBRE CLARION GLOBAL REAL ESTAT									
Symbol IGR									
Trade date May 11, 11	366 000	8 661	3,169 94	3,169 94	8 990	3,290 34	120 40		LT
Trade date Feb 17, 12	1,000 000	7 976	7,976 73	7,976 73	8 990	8,990 00	1,013 27		LT
Trade date Jul 30, 12	1,000 000	8 463	8,463 84	8,463 84	8 990	8,990 00	526 16		LT
Trade date Jan 9, 13	500 000	9 369	4,684 69	4,684 69	8 990	4,495 00	-189 69		LT
Total reinvested	134 000	5 967		799 60	8 990	1,204 66	405 06		
EAI $1,620 Current yield 6 01%									
Security total	3,000 000	8 365	24,295 20	25,094 80		26,970 00	1,875 20	2,674 80	
LAZARD WORLD DIVID & INCOME FD									
Symbol LOR									
Trade date Jun 27, 05	500 000	20 012	10,006 00	10,006 00	13 100	6,550 00	-3,456 00	-3,456 00	LT
EAI $517 Current yield 7 89%									
NUVEEN ALL CAP ENERGY MLP									
Symbol JMLP									
Trade date Apr 25, 14	600 000	20 249	12,149 91	12,149 91	15 250	9,150 00	-2,999 91		ST
Trade date Jun 25, 14	300 000	20 284	6,085 31	6,085 31	15 250	4,575 00	-1,510 31		ST
EAI $1,210 Current yield 8 82%									
Security total	900 000	20 261	18,235 22	18,235 22		13,725 00	-4,510 22	-4,510 22	
Total			**$127,075.51**	**$127,875.11**		**$116,620.00**	**-$11,255.11**	**-$10,455.51**	

Total estimated annual income: $7,974

Your assets › **Equities** (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT Symbol BX Exchange NYSE EAI $1,920 Current yield 5 68%	Apr 25, 14	200 000	31 880	6,376 14	33 830	6,766 00	389 86	ST
	Oct 24, 14	400 000	30 816	12,326 75	33 830	13,532 00	1,205 25	ST
	Nov 6, 14	400 000	30 737	12,295 02	33 830	13,532 00	1,236 98	ST
Security total		1,000 000	30 998	30,997 91		33,830 00	2,832 09	

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTL PAPER CO DEB RATE 06 875% MATURES 11/01/23 ACCRUED INTEREST $57 29 CUSIP 460146AP8 Moody Baa2 S&P BBB EAI $344 Current yield 5 95% Original cost basis $5,001 15	May 20, 08	5,000 000	100 016	5,000 80	115 478	5,773 90	773 10	LT
BELLSOUTH TELECOMMUNCTNS MW+15BP B/E RATE 06 375% MATURES 06/01/28 ACCRUED INTEREST $212 50 CUSIP 079867AW7 Moody WR S&P A- EAI $2,550 Current yield 5 32%	Aug 12, 08	25,000 000	99 120	24,780 00	119 807	29,951 75	5,171 75	LT

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 18, 09	15,000 000	93 464	14,019 60	119 807	17,971 05	3,951 45	LT
Security total		40,000 000		38,799 60		47,922 80	9,123 20	
HEINZ (H J) CO NOTES RATE 06 375% MATURES 07/15/28 ACCRUED INTEREST $293 95 CUSIP 423074AF0 Moody B2 S&P BB- EAI $638 Current yield 5 99% Original cost basis $10,005 25	Apr 10, 07	10,000 000	100 040	10,004 08	106 500	10,650 00	645 92	LT
R R DONNELLEY & SONS M-W+25BP RATE 06 625% MATURES 04/15/29 ACCRUED INTEREST $69 93 CUSIP 257867AG6 Moody Ba3 S&P BB- EAI $331 Current yield 6 78%	May 22, 07	5,000 000	99 881	4,994 08	97 750	4,887 50	-106 58	LT
NEW JERSEY BELL TEL CO RATE 07 850% MATURES 11/15/29 ACCRUED INTEREST $150 45 CUSIP 645767AW4 Moody WR S&P BBB+ EAI $1,178 Current yield 5 98% Original cost basis $16,599 45	Aug 24, 09	15,000 000	109 070	16,360 51	131 343	19,701 45	3,340 94	LT
MASCO CORP MW@+30BP RATE 06 500% MATURES 08/15/32 ACCRUED INTEREST $245 55 CUSIP 574599AY2 Moody Ba3 S&P BBB EAI $650 Current yield 6 36%	May 22, 07	10,000 000	98 390	9,839 05	102 250	10,225 00	385 95	LT
CITIGROUP INC RATE 05 875% MATURES 02/22/33 ACCRUED INTEREST $231 57 CUSIP 172967BU4 Moody Baa3 S&P BBB+ EAI $646 Current yield 5 09% Original cost basis $1,081 20	Nov 09, 12	1,000 000	107 604	1,076 04	115 349	1,153 49	77 45	LT

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 27, 13	10,000 000	99 865	9,986 55	115 349	11,534 90	1,548 35	LT
Security total		11,000 000		11,062 59		12,688 39	1,625 80	
CINCINNATI GAS & ELEC CO B/E RATE 05 400% MATURES 06/15/33 ACCRUED INTEREST $24 00 CUSIP 172070CP7 Moody Baa1 S&P BBB+ EAI $540 Current yield 4 68%	Mar 30, 11	10,000 000	97 208	9,720 85	115 356	11,535 60	1,814 75	LT
QWEST CORP B/E RATE 06 875% MATURES 09/15/33 ACCRUED INTEREST $101 21 CUSIP 912920AC9 Moody Baa3 S&P BBB- EAI $344 Current yield 6 85%	Sep 16, 11	5,000 000	99 355	4,967 75	100 307	5,015 35	47 60	LT
US WEST COMMUNICATIONS B/E RATE 06 875% MATURES 09/15/33 ACCRUED INTEREST $344 13 CUSIP 912920AR6 Moody A3 S&P AA- EAI $1,169 Current yield 6 81%	Mar 31, 10	10,000 000	99 550	9,955 05	100 924	10,092 40	137 35	LT
	Nov 16, 11	7,000 000	100 425	7,029 75	100 924	7,064 68	34 93	LT
Security total		17,000 000		16,984 80		17,157 08	172 28	
SBC COMMUNICATIONS NTS MW +20BP B/E RATE 06 150% MATURES 09/15/34 ACCRUED INTEREST $362 16 CUSIP 78387GAQ6 Moody A3 S&P A- EAI $1,230 Current yield 5 18%	Mar 18, 08	20,000 000	97 819	19,563 85	118 763	23,752 60	4,188 75	LT

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRUDENTIAL FINANCIAL INC NTS B/E RATE 05 400% MATURES 06/13/35 ACCRUED INTEREST $27 00 CUSIP 74432QAH8 Moody Baa1 S&P A EAI $540 Current yield 4 64% Original cost basis $10,185 95	Jun 20, 12	10,000 000	101 745	10,174 57	116 447	11,644 70	1,470 13	LT
EXELON CORP CALL@M/W+25BP RATE 05 625% MATURES 06/15/35 ACCRUED INTEREST $25 00 CUSIP 30161NAC5 Moody Baa2 S&P BBB- EAI $563 Current yield 4 81%	May 18, 11	10,000 000	100 000	10,000 05	116 914	11,691 40	1,691 35	LT
LINCOLN NATL CORP IND CALL@MW+20BP RATE 06 150% MATURES 04/07/36 ACCRUED INTEREST $143 50 CUSIP 534187AR0 Moody Baa1 S&P A- EAI $615 Current yield 4 94% Original cost basis $10,160 45	Sep 16, 11	10,000 000	101 499	10,149 93	124 382	12,438 20	2,288 27	LT
CLEVEL& ELEC ILLUM CO CALL@MW+25BP RATE 05 950% MATURES 12/15/36 ACCRUED INTEREST $39 66 CUSIP 186108CE4 Moody Baa3 S&P BBB- EAI $893 Current yield 5 11% Original cost basis $15,126 45	May 11, 11	15,000 000	100 784	15,117 73	116 458	17,468 70	2,350 97	LT

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Account name: ALAN UNGER TTEE
Account number: LD 11699 7J

Your Financial Advisor:
BURK/BURK
216-696-5900/800-533-6386

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
RALCORP HLDGS INC NTS CALL@MW+35BP RATE 06 625% MATURES 08/15/39 ACCRUED INTEREST $375 41 CUSIP 751028AE1 Moody WR S&P Not rated EAI $994 Current yield 6 14% Original cost basis $15,815 85	Sep 02, 11	15,000 000	105 167	15,775 08	107 902	16,185 30	410 22	LT
AT&T INC NTS B/E CALL@ MW+25BP RATE 05 350% MATURES 09/01/40 ACCRUED INTEREST $178 33 CUSIP 04650NAB0 Moody A3 S&P A- EAI $535 Current yield 4 94%	Oct 15, 13	10,000 000	98 653	9,865 35	108 282	10,828 20	962 85	LT
US WEST COMMUNICATIONS DEBS RATE 07 125% MATURES 11/15/43 ACCRUED INTEREST $91 04 CUSIP 912920AG0 Moody Baa3 S&P BBB- EAI $713 Current yield 6 95% Original cost basis $10,267 75	Jul 30, 12	10,000 000	102 645	10,264 54	102 551	10,255 10	-9 44	LT
BELLSOUTH TELECOMMUNCTNS PUT BOND RATE 05 850% MATURES 11/15/45 ACCRUED INTEREST $299 00 CUSIP 079867AN7 Moody WR S&P A- EAI $2,340 Current yield 5 38%	Aug 24, 09	30,000 000	88 517	26,555 25	108 678	32,603 40	6,048 15	LT
	Jun 16, 11	10,000 000	99 552	9,955 25	108 678	10,867 80	912 55	LT
Security total		40,000 000		36,510 50		43,471 20	6,960 70	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FPL GROUP CPTL INC CALL@MW T+25BP BE RATE 06 350% MATURES 10/01/66 ACCRUED INTEREST $476 25 CUSIP 302570AW6 Moody Baa2 S&P BBB EAI $1,905 Current yield 6 39%	Jan 29, 10	30,000 000	95 375	28,617 75	99 375	29,812 50	1,194 75	LT
Total		**$298,000.000**		**$293,773.46**		**$333,104.97**	**$39,331.51**	

Total accrued interest: $3,747.93

Total estimated annual income: $18,718

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments, and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHR 2696 DG RATE 05 5000% MATURES 10/15/33 CURRENT PAR VALUE 10,000 ACCRUED INTEREST $45 83 CUSIP 31394KR89 EAI $550 Current yield 4 93%	Aug 29, 05	10,000 000	100 000	10,000 00	111 659	11,165 90	1,165 90	LT
FNR 2005-109 GD RATE 06 0000% MATURES 10/25/35 CURRENT PAR VALUE 15,191 ACCRUED INTEREST $75 95 CUSIP 31394UZA3 EAI $911 Current yield 5 67%	Nov 30, 05	20,000 000	100 000	15,190 77	105 738	16,062 65	871 88	LT
Total		**30,000.000**		**$25,190.77**		**$27,228.55**	**$2,037.78**	

Total accrued interest: $121.78

Total estimated annual income: $1,461

Your assets › **Fixed income** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK CORE BOND TRUST									
Symbol BHK									
Trade date Dec 16, 11	1,600 000	13 553	21,685 30	21,685 30	13 200	21,120 00	-565 30		LT
Trade date Jun 20, 12	400 000	14 466	5,786 74	5,786 74	13 200	5,280 00	-506 74		LT
Trade date Aug 1, 12	500 000	15 398	7,699 22	7,699 22	13 200	6,600 00	-1,099 22		LT
EAI $2,265 Current yield 6 86%									
Security total	2,500 000	14 069	35,171 26	35,171 26		33,000 00	-2,171 26	-2,171 26	
ISHARES US PFD STOCK ETF INDEX FUND									
Symbol PFF									
Trade date Apr 25, 13	300 000	41 209	12,362 81	12,362 81	39 440	11,832 00	-530 81		LT
Trade date May 10, 13	300 000	41 735	12,520 71	12,520 71	39 440	11,832 00	-688 71		LT
Trade date Jun 27, 13	250 000	39 943	9,985 97	9,985 97	39 440	9,860 00	-125 97		LT
Trade date Jan 7, 14	150 000	38 295	5,744 31	5,744 31	39 440	5,916 00	171 69		ST
EAI $2,491 Current yield 6 32%									
Security total	1,000 000	40 614	40,613 80	40,613 80		39,440 00	-1,173 80	-1,173 80	
JOHN HANCOCK PFD INCOME II									
Symbol HPF									
Trade date Sep 3, 04	400 000	25 465	10,186 00	10,186 00	20 340	8,136 00	-2,050 00		LT
Trade date Sep 28, 04	400 000	25 700	10,280 00	10,280 00	20 340	8,136 00	-2,144 00		LT
Trade date Dec 28, 04	200 000	25 715	5,143 00	5,143 00	20 340	4,068 00	-1,075 00		LT
Trade date Jun 15, 11	500 000	20 660	10,330 35	10,330 35	20 340	10,170 00	-160 35		LT
Trade date Sep 12, 14	400 000	20 390	8,156 01	8,156 01	20 340	8,136 00	-20 01		ST
EAI $3,192 Current yield 8 26%									

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	1,900 000	23 208	44,095 36	44,095 36		38,646 00	-5,449 36	-5,449 36	
JOHN HANCOCK PREFERRED INCOME									
FUND III									
Symbol HPS									
Trade date Mar 15, 12	300 000	18 582	5,574 73	5,574 73	17 870	5,361 00	-213 73		LT
Trade date Jul 30, 12	698 000	19 861	13,863 09	13,863 09	17 870	12,473 26	-1,389 83		LT
Total reinvested	2 000	19 180		38 36	17 870	35 74	-2 62		
EAI $1,466 Current yield 8 20%									
Security total	1,000 000	19 476	19,437 82	19,476 18		17,870 00	-1,606 18	-1,567 82	
NUVEEN BUILD AMERN BOND									
Symbol NBB									
Trade date May 4, 11	500 000	18 835	9,417 69	9,417 69	21 180	10,590 00	1,172 31	1,172 31	LT
EAI $696 Current yield 6 57%									
NUVEEN CREDIT STRATEGIES									
INCOME FUND									
Symbol JQC									
Trade date Jun 25, 03	800 000	15 006	12,005 00	12,005 00	8 770	7,016 00	-4,989 00	-4,989 00	LT
EAI $418 Current yield 5 96%									
NUVEEN PFD INCOME									
OPPORTUNITIES FUND									
Symbol JPC									
Trade date Mar 26, 03	2,200 000	15 002	33,005 00	33,005 00	9 560	21,032 00	-11,973 00	-11,973 00	LT
EAI $1,672 Current yield 7 95%									
NUVEEN QUALITY PREFERRED									
INCOME FUND 2									
Symbol JPS									
Trade date Sep 23, 02	2,000 000	15 000	30,000 00	30,000 00	8 900	17,800 00	-12,200 00		LT
Trade date Mar 15, 12	400 000	8 928	3,571 56	3,571 56	8 900	3,560 00	-11 56		LT
Trade date Mar 11, 14	900 000	8 748	7,873 71	7,873 71	8 900	8,010 00	136 29		ST
Trade date Sep 12, 14	700 000	9 118	6,382 92	6,382 92	8 900	6,230 00	-152 92		ST
EAI $2,344 Current yield 6 58%									

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	4,000 000	11 957	47,828 19	47,828 19		35,600 00	-12,228 19	-12,228 19	
NUVEEN QUALITY PFD INCOME FD									
Symbol JTP									
Trade date Jun 25, 02	500 000	15 000	7,500 00	7,500 00	8 060	4,030 00	-3,470 00		LT
Trade date Mar 15, 12	400 000	8 553	3,421 54	3,421 54	8 060	3,224 00	-197 54		LT
Trade date Jul 24, 12	550 000	8 839	4,861 58	4,861 58	8 060	4,433 00	-428 58		LT
Trade date Jul 30, 12	900 000	8 951	8,056 23	8,056 23	8 060	7,254 00	-802 23		LT
Trade date Sep 12, 14	650 000	8 437	5,484 17	5,484 17	8 060	5,239 00	-245 17		ST
EAI $3,258 Current yield 13 47%									
Security total	3,000 000	9 775	29,323 52	29,323 52		24,180 00	-5,143 52	-5,143 52	
PUTNAM MASTER INTERMED INCOME TRUST									
Symbol PIM									
Trade date Dec 15, 03	460 000	6 587	3,030 08	3,030 08	4 810	2,212 60	-817 48		LT
Trade date Dec 15, 03	400 000	6 516	2,606 48	2,606 48	4 810	1,924 00	-682 48		LT
Trade date Jan 19, 05	600 000	6 684	4,010 65	4,010 65	4 810	2,886 00	-1,124 65		LT
Trade date Jun 20, 12	840 000	5 067	4,256 81	4,256 81	4 810	4,040 40	-216 41		LT
EAI $718 Current yield 6 49%									
Security total	2,300 000	6 045	13,904 02	13,904 02		11,063 00	-2,841 02	-2,841 02	
PUTNAM PREMIER INCOME TRUST SBI									
Symbol PPT									
Trade date Dec 15, 03	1,185 000	6 753	8,003 24	8,003 24	5 250	6,221 25	-1,781 99		LT
Trade date Jan 6, 09	1,015 000	4 355	4,420 51	4,420 51	5 250	5,328 75	908 24		LT
Trade date Jan 29, 10	800 000	6 292	5,034 06	5,034 06	5 250	4,200 00	-834 06		LT
EAI $936 Current yield 5 94%									
Security total	3,000 000	5 819	17,457 81	17,457 81		15,750 00	-1,707 81	-1,707 81	
WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR INC									
Symbol IGI									
Trade date Aug 17, 12	500 000	23 023	11,511 85	11,511 85	21 000	10,500 00	-1,011 85		LT

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Your assets › **Fixed income** › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Nov 15, 12	500 000	21 915	10,957 80	10,957 80	21 000	10,500 00	-457 80		LT
Trade date May 10, 13	500 000	23 319	11,659 57	11,659 57	21 000	10,500 00	-1,159 57		LT
Trade date Nov 6, 14	500 000	21 301	10,650 84	10,650 84	21 000	10,500 00	-150 84		ST
EAI $2,400 Current yield 5 71%									
Security total	2,000 000	22 390	44,780 06	44,780 06		42,000 00	-2,780 06	-2,780 06	
Total			**$347,039.53**	**$347,077.89**		**$296,187.00**	**-$50,890.89**	**-$50,852.53**	

Total estimated annual income: $21,856

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DEUTSCHE GLOBAL HIGH									
INCOME FUND CLASS A									
Symbol SGHAX									
Trade date May 26, 06	1,250 000	7 994	9,993 50	9,993 50	6 840	8,549 99	-1,443 51		LT
Total reinvested	658 380	6 667		4,389 66	6 840	4,503 32	113 66		
EAI $757 Current yield 5 80%									
Security total	1,908 380	7 537	9,993 50	14,383 16		13,053 31	-1,329 85	3,059 81	
LORD ABBETT FLOATING									
RATE FUND A									
Symbol LFRAX									
Trade date Sep 13, 12	1,050 420	9 524	10,005 25	10,005 25	9 160	9,621 85	-383 40		LT
Trade date Sep 20, 12	1,572 327	9 543	15,005 25	15,005 25	9 160	14,402 51	-602 74		LT
Trade date Nov 21, 12	210 084	9 544	2,005 25	2,005 25	9 160	1,924 37	-80 88		LT
Trade date Dec 27, 12	260 960	9 600	2,505 25	2,505 25	9 160	2,390 39	-114 86		LT
Total reinvested	333 371	9 457		3,152 80	9 160	3,053 68	-99 12		

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $1,480 Current yield 4 71%									
Security total	3,427 162	9 534	29,521 00	32,673 80		31,392 80	-1,281 00	1,871 80	
LORD ABBETT INCOME									
FUND CLASS A									
Symbol LAGVX									
Trade date Feb 23, 12	3,367 003	2 971	10,005 25	10,005 25	2 870	9,663 30	-341 95		LT
Trade date Mar 15, 12	1,887 661	2 952	5,573 85	5,573 85	2 870	5,417 59	-156 26		LT
Trade date Jun 20, 12	3,367 003	2 971	10,005 25	10,005 25	2 870	9,663 30	-341 95		LT
Trade date Aug 17, 12	1,114 950	3 014	3,361 25	3,361 25	2 870	3,199 91	-161 34		LT
Trade date Sep 13, 12	657 895	3 047	2,005 25	2,005 25	2 870	1,888 16	-117 09		LT
Total reinvested	400 487	2 897		1,160 57	2 870	1,149 40	-11 17		
EAI $1,490 Current yield 4 81%									
Security total	10,794 999	2 975	30,950 85	32,111 42		30,981 64	-1,129 76	30 81	
LORD ABBETT BOND									
DEBENTURE FUND CL A									
Symbol LBNDX									
Trade date Aug 31, 00	4,000 000	9 241	36,964 50	36,964 50	7 930	31,720 00	-5,244 50		LT
Trade date Sep 8, 00	28 474	8 779	250 00	250 00	7 930	225 80	-24 20		LT
Trade date Oct 6, 00	29 448	8 549	251 78	251 78	7 930	233 52	-18 26		LT
Trade date Nov 7, 00	30 374	8 349	253 62	253 62	7 930	240 87	-12 75		LT
Trade date Dec 6, 00	31 702	8 060	255 52	255 52	7 930	251 40	-4 12		LT
Trade date Jan 4, 01	31 288	8 229	257 50	257 50	7 930	248 11	-9 39		LT
Trade date Feb 7, 01	30 311	8 559	259 46	259 46	7 930	240 37	-19 09		LT
Trade date Mar 7, 01	30 639	8 529	261 35	261 35	7 930	242 97	-18 38		LT
Trade date Apr 5, 01	32 262	8 160	263 26	263 26	7 930	255 84	-7 42		LT
Trade date May 9, 01	31 846	8 330	265 28	265 28	7 930	252 54	-12 74		LT
Trade date Jun 6, 01	30 361	8 310	252 30	252 30	7 930	240 76	-11 54		LT
Trade date Jul 11, 01	31 604	8 040	254 10	254 10	7 930	250 62	-3 48		LT
Trade date Aug 8, 01	31 445	8 139	255 96	255 96	7 930	249 36	-6 60		LT
Trade date Sep 6, 01	30 437	8 039	244 71	244 71	7 930	241 37	-3 34		LT
Trade date Oct 10, 01	32 001	7 700	246 41	246 41	7 930	253 77	7 36		LT

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Nov 7, 01	31 537	7 870	248 20	248 20	7 930	250 09	1 89		LT
Trade date Dec 6, 01	31 482	7 940	249 97	249 97	7 930	249 65	-0 32		LT
Trade date Jan 4, 02	31 784	7 920	251 73	251 73	7 930	252 05	0 32		LT
Trade date Feb 7, 02	32 881	7 709	253 51	253 51	7 930	260 75	7 24		LT
Trade date Mar 7, 02	33 034	7 729	255 35	255 35	7 930	261 96	6 61		LT
Trade date Apr 5, 02	33 403	7 699	257 20	257 20	7 930	264 89	7 69		LT
Trade date May 9, 02	33 733	7 680	259 07	259 07	7 930	267 50	8 43		LT
Trade date Jun 6, 02	30 820	7 560	233 00	233 00	7 930	244 40	11 40		LT
Trade date Jul 11, 02	32 711	7 170	234 54	234 54	7 930	259 40	24 86		LT
Trade date Aug 8, 02	33 837	6 979	236 18	236 18	7 930	268 33	32 15		LT
Trade date Aug 29, 02	268 097	7 459	2,000 00	2,000 00	7 930	2,126 01	126 01		LT
Trade date Aug 30, 02	1,732 000	7 490	12,972 68	12,972 68	7 930	13,734 76	762 08		LT
Trade date Sep 6, 02	47 656	7 089	337 88	337 88	7 930	377 91	40 03		LT
Trade date Oct 10, 02	50 186	6 779	340 26	340 26	7 930	397 97	57 71		LT
Trade date Nov 7, 02	49 037	6 990	342 77	342 77	7 930	388 86	46 09		LT
Trade date Dec 6, 02	44 296	7 169	317 60	317 60	7 930	351 27	33 67		LT
Trade date Jan 9, 03	43 907	7 279	319 64	319 64	7 930	348 18	28 54		LT
Trade date Jan 17, 03	1,963 351	7 642	15,005 00	15,005 00	7 930	15,569 37	564 37		LT
Trade date Feb 6, 03	57 457	7 170	411 97	411 97	7 930	455 63	43 66		LT
Trade date Mar 7, 03	57 427	7 219	414 62	414 62	7 930	455 40	40 78		LT
Trade date Apr 9, 03	57 003	7 319	417 26	417 26	7 930	452 03	34 77		LT
Trade date May 8, 03	55 687	7 540	419 88	419 88	7 930	441 60	21 72		LT
Trade date Jun 6, 03	51 845	7 669	397 65	397 65	7 930	411 13	13 48		LT
Trade date Jul 9, 03	51 799	7 720	399 89	399 89	7 930	410 77	10 88		LT
Trade date Aug 7, 03	53 617	7 500	402 13	402 13	7 930	425 18	23 05		LT
Trade date Sep 11, 03	52 391	7 720	404 46	404 46	7 930	415 46	11 00		LT
Trade date Oct 9, 03	52 211	7 789	406 72	406 72	7 930	414 03	7 31		LT
Trade date Nov 7, 03	52 166	7 839	408 98	408 98	7 930	413 68	4 70		LT
Trade date Dec 11, 03	51 598	7 970	411 24	411 24	7 930	409 17	-2 07		LT
Trade date Jan 9, 04	50 734	8 149	413 48	413 48	7 930	402 32	-11 16		LT
Trade date Feb 6, 04	51 700	8 040	415 67	415 67	7 930	409 98	-5 69		LT
Trade date Mar 9, 04	51 594	8 099	417 91	417 91	7 930	409 14	-8 77		LT

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 8, 04	52 257	8 040	420 15	420 15	7 930	414 40	-5 75		LT
Trade date May 7, 04	54 086	7 809	422 41	422 41	7 930	428 90	6 49		LT
Trade date Jun 8, 04	54 316	7 819	424 75	424 75	7 930	430 73	5 98		LT
Total reinvested	10,005 650	7 711		77,159 02	7 930	79,344 80	2,185 78		
EAI $7,848 Current yield 4 98%									
Security total	19,869 482	7 993	81,659 50	158,818 52		157,564 99	-1,253 52	75,905 50	
Total			**$152,124.85**	**$237,986.90**		**$232,992.74**	**-$4,994.13**	**$80,867.89**	

Total estimated annual income: $11,575

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC 5 500% DUE 09/15/52 CALLABLE Symbol AFSD Exchange NYSE EAI $413 Current yield 5 61%	Sep 28, 12	300 000	25 457	7,637 25	24 560	7,368 00	-269 25	LT
ASSURED GUARNTY MUNI HLD 6 250% DUE 11/01/02 CALLABLE Symbol AGO PRE Exchange NYSE EAI $313 Current yield 6 18%	Nov 11, 02	200 000	25 000	5,000 00	25 339	5,067 80	67 80	LT
BAC CAP TR VIII 6 000% DUE 08/25/35 CALLABLE Symbol BAC PRZ Exchange NYSE EAI $900 Current yield 5 90%	Oct 10, 05	600 000	25 090	15,054 00	25 405	15,243 00	189 00	LT
BARCLAYS BANK PLC ADR PFD SR 5 SER 5 8 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PRD Exchange NYSE EAI $812 Current yield 7 78%	Apr 9, 08	400 000	25 000	10,000 00	26 080	10,432 00	432 00	LT

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BB&T CORPORATION (BBT) 5 850% PREFERRED CLBL PAR VALUE -25 00 USD Symbol BBT PRD Exchange NYSE EAI $878 Current yield 5 84%	Nov 22, 13	600 000	21 879	13,127 99	25 050	15,030 00	1,902 01	LT
BB&T CORPORATION (BBT) 5 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BBT PRF Exchange NYSE EAI $390 Current yield 5 72%	Nov 27, 12	300 000	24 837	7,451 25	22 710	6,813 00	-638 25	LT
DUKE ENERGY CORPORATION (DUK) 5 125% DUE 01/15/73 CALL Symbol DUKH Exchange NYSE EAI $1,281 Current yield 5 15%	Jun 3, 13	400 000	25 059	10,023 64	24 890	9,956 00	-67 64	LT
	Oct 15, 13	600 000	20 682	12,409 39	24 890	14,934 00	2,524 61	LT
Security total		1,000 000		22,433 03		24,890 00	2,456 97	
ENTERGY MISS INC 1ST MTG 6 000% DUE 05/01/51 CALLABLE Symbol EMZ Exchange NYSE EAI $675 Current yield 5 79%	May 12, 11	450 000	25 361	11,412 75	25 902	11,655 90	243 15	LT
FPL GROUP CAP TR I 5 875% DUE 03/15/44 CALLABLE Symbol NEE PRC Exchange NYSE EAI $1,469 Current yield 5 78%	Sep 28, 04	400 000	24 765	9,906 00	25 400	10,160 00	254 00	LT
	Oct 15, 04	400 000	24 965	9,986 00	25 400	10,160 00	174 00	LT
	Mar 16, 05	200 000	24 730	4,946 00	25 400	5,080 00	134 00	LT
Security total		1,000 000		24,838 00		25,400 00	562 00	
GOLDMAN SACHS GROUP SER B 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRB Exchange NYSE EAI $620 Current yield 6 11%	Oct 21, 05	400 000	25 015	10,006 00	25 363	10,145 20	139 20	LT

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HSBC HLDGS PLC SER A 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol HSBC PRA Exchange NYSE								
EAI $2,170 Current yield 6 03%	Oct 10, 05	400 000	25 415	10,166 00	25 700	10,280 00	114 00	LT
	Sep 2, 11	1,000 000	24 395	24,395 27	25 700	25,700 00	1,304 73	LT
Security total		1,400 000		34,561 27		35,980 00	1,418 73	
J P MORGAN CHASE & CO (JPM) 5 450% PRF CLBL PAR VALUE - 25 00 USD Symbol JPM PRA Exchange NYSE								
EAI $545 Current yield 5 75%	May 28, 13	400 000	25 909	10,363 65	23 700	9,480 00	-883 65	LT
JPMORGAN CHASE CAP XXIX 6 700% DUE 04/02/40 Symbol JPM PRC Exchange NYSE								
EAI $1,005 Current yield 6 59%	Nov 11, 10	600 000	25 896	15,537 61	25 400	15,240 00	-297 61	LT
JPMORGAN CHASE & CO 6 300% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol JPM PRE Exchange NYSE								
EAI $630 Current yield 6 16%	Nov 6, 14	400 000	25 462	10,184 85	25 560	10,224 00	39 15	ST
KIMCO REALTY CORP (KIM) RATE 6 000% QUARTERLY PREFERRED CLBL Symbol KIM PRI Exchange NYSE								
EAI $1,200 Current yield 5 98%	Nov 9, 12	440 000	26 363	11,599 92	25 100	11,044 00	-555 92	LT
	Nov 16, 12	360 000	26 305	9,470 15	25 100	9,036 00	-434 15	LT
Security total		800 000		21,070 07		20,080 00	-990 07	
LEHMAN BROS HLDGS CAP TR 6 000% SER M DUE 04/22/53 CALLABLE								
Symbol LHHMQ Exchange OTC	Jan 19, 05	30 000	24 907	747 22	0 135	4 05	-743 17	LT
	Mar 16, 05	200 000	24 510	4,902 00	0 135	27 00	-4,875 00	LT

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		230 000		5,649 22		31 05	-5,618 17	
METLIFE FLOATER CALLABLE 09/15/10 4% FLOATER Symbol MET PRA Exchange NYSE EAI $1,314 Current yield 4 41%	Sep 14, 11	200 000	23 612	4,722 54	22 900	4,580 00	-142 54	LT
	Jun 15, 12	300 000	24 982	7,494 89	22 900	6,870 00	-624 89	LT
	Apr 28, 14	800 000	23 802	19,041 73	22 900	18,320 00	-721 73	ST
Security total		1,300 000		31,259 16		29,770 00	-1,489 16	
MORGAN STANLEY CAP TR IV 6 250% DUE 04/01/33 CALLABLE Symbol MWG Exchange. NYSE EAI $781 Current yield 6 16%	Apr 10, 03	500 000	25 010	12,505 00	25 340	12,670 00	165 00	LT
NEXTERA ENERGY CAPITAL (NEE) 5 125% DUE 11/15/72 CALLABLE Symbol NEE PRI Exchange NYSE EAI $384 Current yield 5 63%	Nov 27, 12	300 000	24 987	7,496 25	22 740	6,822 00	-674 25	LT
NEXTERA ENERGY CAPITAL HOLDINGS (NEE) 5 000% DUE 01/15/73 CALLABLE Symbol NEE PRJ Exchange NYSE EAI $2,500 Current yield 5 60%	Feb 6, 13	1,000 000	24 745	24,745 25	22 330	22,330 00	-2,415 25	LT
	Jun 3, 13	100 000	24 283	2,428 36	22 330	2,233 00	-195 36	LT
	Oct 15, 13	400 000	18 750	7,500 08	22 330	8,932 00	1,431 92	LT
	Jan 7, 14	500 000	19 327	9,663 99	22 330	11,165 00	1,501 01	ST
Security total		2,000 000		44,337 68		44,660 00	322 32	
PNC FINL SERV GRP INC SER Q 5 375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PNC PRQ Exchange NYSE EAI $1,075 Current yield 5 62%	Sep 20, 12	400 000	25 253	10,101 25	23 910	9,564 00	-537 25	LT
	Oct 2, 12	400 000	25 279	10,111 76	23 910	9,564 00	-547 76	LT
Security total		800 000		20,213 01		19,128 00	-1,085 01	

continued next page

Account name: ALAN UNGER TTEE
Account number: LD 11699 7J

Your Financial Advisor:
BURK/BURK
216-696-5900/800-533-6386

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRUDENTIAL FINL INC PRH 5 700% DUE 03/15/53 CALLABLE Symbol PRH Exchange OTC EAI $1,354 Current yield 5 71%	Mar 19, 13	300 000	25 267	7,580 25	24 980	7,494 00	-86 25	LT
	May 28, 13	300 000	26 156	7,846 95	24 980	7,494 00	-352 95	LT
	Jun 12, 13	235 000	24 239	5,696 37	24 980	5,870 30	173 93	LT
	Nov 22, 13	115 000	22 478	2,585 07	24 980	2,872 70	287 63	LT
Security total		950 000		23,708 64		23,731 00	22 36	
PRUDENTIAL PLC CLBL 9/23/09@25 00 6 75% PREFERRED CLBL Symbol PUK PR Exchange NYSE EAI $338 Current yield 6 63%	Aug 3, 04	200 000	25 030	5,006 00	25 500	5,100 00	94 00	LT
QWEST CORPORATION (CTL) 7 000% DUE 07/01/52 CALLABLE Symbol CTU Exchange NYSE EAI $1,050 Current yield 6 74%	Jul 12, 12	300 000	26 447	7,934 25	25 950	7,785 00	-149 25	LT
	Nov 21, 12	300 000	27 088	8,126 46	25 950	7,785 00	-341 46	LT
Security total		600 000		16,060 71		15,570 00	-490 71	
QWEST CORPORATION (CTL) 6 125% SR NTS DUE 06/01/53 CALLABLE Symbol CTY Exchange NYSE EAI $306 Current yield 6 35%	Aug 20, 14	200 000	24 347	4,869 45	24 100	4,820 00	-49 45	ST
STRATS TR FOR BELLSOUTH 2003-1 STCRD REPCKD AST BKD TR SECS 6 00% PREFERRED CLBL Symbol GJAZ Exchange OTC EAI $1,725 Current yield 6 00%	Nov 15, 04	400 000	24 865	9,946 00	25 000	10,000 00	54 00	LT
	Nov 30, 05	400 000	24 125	9,650 00	25 000	10,000 00	350 00	LT
	Dec 15, 05	200 000	24 110	4,822 00	25 000	5,000 00	178 00	LT
	Jan 26, 06	150 000	24 090	3,613 50	25 000	3,750 00	136 50	LT

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		1,150 000		28,031 50		28,750 00	718 50	
WEINGARTEN RLTY INVST CUML SER								
F 6 500% PREFERRED CLBL PAR								
VALUE - 25 00 USD								
Symbol WRI PRF Exchange NYSE								
EAI $280 Current yield 6 42%	Apr 10, 07	172 000	25 263	4,345 26	25 348	4,359 85	14 59	LT
Total				**$422,159.60**		**$418,460.80**	**-$3,698.80**	

Total estimated annual income: $24,408

Other

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EATON VANCE TAX ADVANTAGED									
DIVID INCOME FUND									
Symbol EVT									
Trade date Apr 25, 13	600 000	19 352	11,611 62	11,611 62	20 800	12,480 00	868 38		LT
Trade date May 10, 13	400 000	19 956	7,982 68	7,982 68	20 800	8,320 00	337 32		LT
Trade date Jun 25, 14	600 000	20 875	12,525 14	12,525 14	20 800	12,480 00	-45 14		ST
Trade date Nov 6, 14	300 000	20 791	6,237 42	6,237 42	20 800	6,240 00	2 58		ST
EAI $2,641 Current yield 6 68%									
Security total	1,900 000	20 188	38,356 86	38,356 86		39,520 00	1,163 14	1,163 14	
EATON VANCE TAX ADVANTAGED									
GLOBAL DIVID INCOME FUND									
Symbol ETG									
Trade date Feb 7, 08	400 000	24 418	9,767 21	9,767 21	16 170	6,468 00	-3,299 21		LT
Trade date Jan 6, 09	400 000	11 824	4,729 88	4,729 88	16 170	6,468 00	1,738 12		LT

continued next page

Your assets › **Other** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 20, 10	400 000	15 019	6,007 79	6,007 79	16 170	6,468 00	460 21		LT
Trade date Jul 11, 12	450 000	13 627	6,132 32	6,132 32	16 170	7,276 50	1,144 18		LT
Trade date Oct 6, 14	800 000	16 994	13,595 80	13,595 80	16 170	12,936 00	-659 80		ST
EAI $3,014 Current yield 7 61%									
Security total	2,450 000	16 422	40,233 00	40,233 00		39,616 50	-616 50	-616 50	
JOHN HANCOCK TAX ADVANTAGED									
DIVID INCOME FUND									
Symbol HTD									
Trade date Aug 6, 09	500 000	11 804	5,902 11	5,902 11	22 270	11,135 00	5,232 89		LT
Trade date Jan 29, 10	500 000	13 315	6,657 94	6,657 94	22 270	11,135 00	4,477 06		LT
Trade date Aug 27, 10	400 000	14 660	5,864 01	5,864 01	22 270	8,908 00	3,043 99		LT
Trade date Jul 17, 12	400 000	18 978	7,591 40	7,591 40	22 270	8,908 00	1,316 60		LT
Trade date Jul 19, 12	300 000	19 182	5,754 86	5,754 86	22 270	6,681 00	926 14		LT
Trade date Jan 9, 13	400 000	18 917	7,566 91	7,566 91	22 270	8,908 00	1,341 09		LT
Trade date Nov 22, 13	500 000	18 109	9,054 61	9,054 61	22 270	11,135 00	2,080 39		LT
EAI $4,356 Current yield 6 52%									
Security total	3,000 000	16 131	48,391 84	48,391 84		66,810 00	18,418 16	18,418 16	
NUVEEN TAX ADV TOTAL RETURN									
STRATEGY FUND									
Symbol JTA									
Trade date Sep 12, 14	500 000	14 350	7,175 26	7,175 26	13 290	6,645 00	-530 26		ST
Trade date Oct 24, 14	500 000	13 451	6,725 96	6,725 96	13 290	6,645 00	-80 96		ST
Trade date Nov 6, 14	1,000 000	13 656	13,656 17	13,656 17	13 290	13,290 00	-366 17		ST
Total reinvested	40 000	13 432		537 30	13 290	531 60	-5 70		
EAI $2,220 Current yield 8 19%									
Security total	2,040 000	13 772	27,557 39	28,094 69		27,111 60	-983 09	-445 79	
Total			**$154,539.09**	**$155,076.39**		**$173,058.10**	**$17,981.71**	**$18,519.01**	

Total estimated annual income: $12,231

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**101,968.15**	**5.30%**	**101,968.15**		
Equities	Common stock	188,060.00		163,386 85	7,703 00	24,673.15
	Closed end funds & Exchange traded products	116,620.00		127,875.11	7,974 00	-11,255.11
	Other equity investments	33,830 00		30,997.91	1,920 00	2,832 09
	Total equities	**338,510.00**	**17.58%**	**322,259.87**	**17,597.00**	**16,250.13**
Fixed income	Corporate bonds and notes	333,104.97		293,773.46	18,718.00	39,331.51
	Asset backed securities	27,228.55		25,190.77	1,461 00	2,037.78
	Closed end funds & Exchange traded products	296,187 00		347,077.89	21,856.00	-50,890.89
	Mutual funds	232,992.74		237,986.90	11,575 00	-4,994 13
	Preferred securities	418,460 80		422,159.60	24,408.00	-3,698 80
	Total accrued interest	3,869.71				
	Total fixed income	**1,311,843.77**	**68.13%**	**1,326,188.62**	**78,018.00**	**-18,214.53**
Other	**Closed end funds & Exchange traded products**	**173,058.10**	**8.99%**	**155,076.39**	**12,231.00**	**17,981.71**
Total		**$1,925,380.02**	**100.00%**	**$1,905,493.03**	**$107,846.00**	**$16,017.31**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 28		**Cash and money balance**					**$74,656.98**
Dec 1	Dividend	BB&T CORPORATION (BBT) 5.850% PREFERRED CLBL PAR VALUE - 25.00 USD PAID ON 600				219.38	
Dec 1	Dividend	BB&T CORPORATION (BBT) 5.200% PREFERRED CLBL PAR VALUE - 25.00 USD PAID ON 300				97.50	
Dec 1	Interest	QWEST CORPORATION (CTL) 6.125% SR NTS DUE 06/01/53 CALLABLE PAID ON 200 CUSIP 74913G600				76 56	
Dec 1	Dividend	FORD MOTOR CO COM NEW PAID ON 800				100.00	

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UBS FINANCIAL SERVICES INC.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

Account LD 11699

OMB No: 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO								
08/20/14	200.000	4,837.54	11/08/13	4,746.03	0.00	91.51	Sale	
NEW MEDIA INVT GROUP INC / CUSIP: 64704V106 / Symbol: NEWM								
02/14/14	0.390	5.06	02/14/14	4.79	0.00	0.27	Sale	C
2 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS.								
	71.805	*924.65*	*02/14/14*	*883.21*	*0.00*	*41.44*	*Sale*	
	72.195	*929.66*	*02/14/14*	*887.99*	*0.00*	*41.67*	*Sale*	
06/25/14	144.000	1,854.31	VARIOUS	1,771.20	0.00	83.11	Total of 2 lots	
Security total:		1,859.37		1,775.99	0.00	83.38		
NEWCASTLE INVESTMENT CORP REITS / CUSIP 65105M108 / Symbol								
06/25/14	1,000.000	4,644.09	01/07/14	5,242.23	0.00	-598.14	Sale	C
Totals:		**11,341.00**		**11,764.25**	**0.00**	**-423.25**		

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANK NEW YORK MELLON CORP DP1/4000 PFD-C RATE. 5.200% QUARTERLY / CUSIP. 064058209 / Symbol. BKPRC							
01/02/14	400.000	8,226.54	09/20/12	10,113 25	0.00	-1,886.71	Sale
CENTURYLINK INC / CUSIP: 156700106 / Symbol: CTL							
12/24/14	250.000	9,869.28	05/16/11	10,738.65	0.00	-869.37	Sale
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO							
12/26/14	200.000	5,522.16	11/08/13	4,746 02	0.00	776.14	Sale
DOW CHEMICAL COMMON STOCK / CUSIP: 260543103 / Symbol: DOW							
01/02/14	200.000	8,598.83	05/30/12	6,389.42	0.00	2,209.41	Sale
NEW RESIDENTIAL INVT CORP **REVERSE SPLIT-10/2014** / CUSIP: 64828T102 / Symbol.							
06/25/14	1,000.000	6,109.19	05/16/13	6,890.00	0.00	-780.81	Sale

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UBS FINANCIAL SERVICES INC. | Account LD 11699

Proceeds from Broker and Barter Exchange Transactions (continued)

2014 1099-B* | OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
NEWCASTLE INVESTMENT CORP REITS / CUSIP 65105M108 / Symbol.								
06/25/14	1,000.000	4,644.10	04/25/13	5,722.62	0.00	-1,078.52	Sale	C
	Totals:	**42,970.10**		**44,599.96**	**0.00**	**-1,629.86**		

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
AT&T INC / CUSIP: 00206R102 / Symbol: T								
10/24/14	600.000	20,038.27	07/25/05	14,664.00	0.00	5,374.27	Sale	
ABBOTT LABS COMMON STOCK / CUSIP: 002824100 / Symbol: ABT								
	2 tax lots for 01/02/14. Total proceeds (and cost when required) reported to the IRS.							
	200.000	*7,506.04*	*07/01/10*	*4,476.67*	*0.00*	*3,029.37*	*Sale*	
	200.000	*7,506.03*	*11/11/10*	*4,862.25*	*0.00*	*2,643.78*	*Sale*	
01/02/14	400.000	15,012.07	VARIOUS	9,338 92	0 00	5,673.15	Total of 2 lots	
AMERITECH CAPITAL FUNDNG RATE 6.45 % MATURES 01/15/2018 / CUSIP: 030955AM0 / Symbol:								
11/12/14	10,000.000	11,213.45	06/12/07	10,062 91	0.00	1,150.54	Sale Original basis: $10,169.95	
ASSURED GUARNTY MUNI HLDGS INC NT 6.25% 2102 RATE 6.250% QUARTERLY / CUSIP 04623A304 / Symbol: AGOPRE								
01/02/14	300.000	6,057.71	11/11/02	7,500.00	0.00	-1,442.29	Sale	
CBRE CLARION GLOBAL REAL ESTAT / CUSIP: 12504G100 / Symbol: IGR								
	19 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.							
	400.000	*3,294.54*	*04/10/07*	*9,401.08*	*0.00*	*-6,106.54*	*Sale*	C
	2.000	*16.48*	*04/30/07*	*44 72*	*0.00*	*-28.24*	*Sale*	C
	2.000	*16.47*	*05/31/07*	*44.56*	*0.00*	*-28.09*	*Sale*	C
	2.000	*16.47*	*06/29/07*	*39.77*	*0.00*	*-23.30*	*Sale*	C
	2.000	*16.47*	*07/31/07*	*35.18*	*0.00*	*-18.71*	*Sale*	C
	2.000	*16.48*	*08/31/07*	*37.80*	*0 00*	*-21.32*	*Sale*	C

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UBS FINANCIAL SERVICES INC. Account LD 11699

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
CBRE CLARION GLOBAL REAL ESTAT / CUSIP. 12504G100 / Symbol: IGR (cont'd)								
	5.000	41.18	09/28/07	100.50	0.00	-59.32	Sale	C
	2.000	16.47	10/31/07	38.63	0.00	-22.16	Sale	C
	2 000	16.47	11/30/07	34.13	0 00	-17.66	Sale	C
	53.000	436.53	12/31/07	758.27	0.00	-321.74	Sale	C
	3.000	24.71	01/31/08	45.96	0.00	-21.25	Sale	C
	3.000	24 71	02/29/08	42.93	0 00	-18.22	Sale	C
	3.000	24.71	03/31/08	44.39	0.00	-19.68	Sale	C
	3.000	24.71	04/30/08	47.36	0 00	-22.65	Sale	C
	3.000	24.71	05/30/08	46 10	0 00	-21.39	Sale	C
	4.000	32.94	06/30/08	51.56	0.00	-18.62	Sale	C
	4.000	32.95	07/31/08	50.92	0.00	-17.97	Sale	C
	4.000	32.94	08/29/08	49.41	0 00	-16.47	Sale	C
	1.000	8.24	09/30/08	7 71	0.00	0.53	Sale	C
04/25/14	500.000	4,118.18	VARIOUS	10,920.98	0.00	-6,802.80	Total of 19 lots	
CITIGROUP INC RATE 5.875 % MATURES 02/22/2033 DATED DATE 02/19/2003 / CUSIP· 172967BU4 / Symbol								
	4 tax lots for 06/19/14. Total proceeds (and cost when required) reported to the IRS.							
	10,000.000	11,103.80	06/15/11	9,952.05	0.00	1,151.75	Bond call Original basis: $9,952.05	
	10,000.000	11,103.80	06/20/12	9,982.15	0 00	1,121.65	Bond call Onginal basis: $9,982.15	
	5,000.000	5,551.90	07/17/12	5,214.67	0.00	337.23	Bond call Original basis: $5,226.80	
	9,000.000	9,993.42	11/09/12	9,696.49	0.00	296.93	Bond call Original basis: $9,730.85	
06/19/14	34,000.000	37,752.92	VARIOUS	34,845.36	0.00	2,907.56	Total of 4 lots	
CITIGROUP INC NOTE RATE 5.875 % MATURES 05/29/2037 / CUSIP: 172967EC1 / Symbol:								
01/02/14	10,000.000	10,763 45	09/14/11	9,891.45	0.00	872.00	Sale Original basis: $9,891.45	
CITIGROUP CAP XVII TRUPS 6.35% RATE. 6.350% QUARTERLY MATURITY. 03/15/2067 / CUSIP. 17311H209 / Symbol· CPRE								
04/28/14	800.000	20,000.00	02/28/07	20,000 00	0.00	0.00	Merger	

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UBS FINANCIAL SERVICES INC. | Account LD 11699

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CLIFFS NATURAL RES NOTE RATE 6.25 % MATURES 10/01/2040 / CUSIP. 18683KAC5 / Symbol·							
	2 tax lots for 10/06/14. Total proceeds (and cost when required) reported to the IRS						
	10,000.000	*6,822.38*	*08/17/12*	*10,127.99*	*0.00*	*-3,305 61*	*Sale* *Onginal basis: $10,132.05*
	10,000.000	*6,822.37*	*09/13/12*	*9,771.55*	*0.00*	*-2,949.18*	*Sale* *Original basis: $9,771.55*
10/06/14	20,000.000	13,644.75	VARIOUS	19,899 54	0.00	-6,254.79	Total of 2 lots
EAGLE ROCK ENERGY PARTNERS LTD MLP / CUSIP· 26985R104 / Symbol. EROC							
04/25/14	500.000	2,041.70	09/02/11	5,297 56	0 00	-3,255 86	Sale
	2 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS.						
	500.000	*1,437.05*	*09/02/11*	*5,297 55*	*0.00*	*-3,860.50*	*Sale*
	500.000	*1,437.05*	*07/19/12*	*4,833 37*	*0.00*	*-3,396.32*	*Sale*
11/11/14	1,000.000	2,874.10	VARIOUS	10,130.92	0.00	-7,256.82	Total of 2 lots
	Security total:	4,915.80		15,428.48	0 00	-10,512 68	
EL PASO PIPELINE PARTNERS L.P UNITS REPSTG LTD PARTNER / CUSIP: 283702108 / Symbol·							
04/25/14	400.000	12,936.93	02/21/08	9,506 31	0.00	3,430.62	Sale
HARTFORD FINCL SERVICES CALL@MW+20BPS RATE 6.10 % MATURES 10/01/2041 CALLABLE / CUSIP. 416515AP9 / Symbol							
07/09/14	10,000.000	12,133.05	02/23/12	9,640.55	0 00	2,492.50	Sale Original basis: $9,640.55
11/06/14	15,000.000	18,306.45	06/20/12	14,882 55	0.00	3,423 90	Sale Original basis: $14,882.55
	Security total:	30,439 50		24,523.10	0 00	5,916.40	
HARTFORD FINCL SERVICES CALL @M/W T +20BP NOTE RATE 5.95 % MATURES 10/15/2036 / CUSIP· 416515AS3 / Symbol:							
12/24/14	10,000.000	12,044.75	07/30/12	10,280 09	0.00	1,764.66	Sale Original basis: $10,294.75
KEY BANK NA RATE 6.95 % MATURES 02/01/2028 DATED DATE 02/03/1998 / CUSIP: 49306CAB7 / Symbol·							
11/06/14	25,000.000	30,925.25	12/20/01	24,410.00	0.00	6,515.25	Sale Original basis: $24,410.00
LEHMAN BROS HLDGS CAP TR PFD SER M 6% RATE: 6.000% QUARTERLY / CUSIP: 52520E200 / Symbol. LHHMQ							
09/29/14	100.000	25.27	01/19/05	2,490.75	0.00	-2,465.48	Sale
11/11/14	165.000	18.31	01/19/05	4,109.74	0.00	-4,091.43	Sale

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UBS FINANCIAL SERVICES INC

Account: LD 11699

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LEHMAN BROS HLDGS CAP TR PFD SER M 6% RATE: 6.000% QUARTERLY / CUSIP: 52520E200 / Symbol. LHHMQ (cont'd)							
11/12/14	45.000	5.54	01/19/05	1,120 84	0.00	-1,115.30	Sale
12/24/14	160.000	16.02	01/19/05	3,985.20	0.00	-3,969.18	Sale
	Security total:	65.14		11,706 53	0 00	-11,641 39	
MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol: MRK							
01/02/14	200.000	9,686.57	05/14/10	6,697.91	0 00	2,988.66	Sale
	2 tax lots for 12/24/14. Total proceeds (and cost when required) reported to the IRS						
	100.000	*5,669.15*	*05/14/10*	*3,348.95*	*0.00*	*2,320.20*	*Sale*
	100.000	*5,669.14*	*05/25/10*	*3,273.23*	*0.00*	*2,395.91*	*Sale*
12/24/14	200 000	11,338.29	VARIOUS	6,622 18	0.00	4,716.11	Total of 2 lots
	Security total:	21,024.86		13,320 09	0 00	7,704 77	
NEXTERA ENERGY CAP HLDGS INC GTD SD-F 8.75% RATE: 8.750% QUARTERLY / CUSIP: 65339K506 / Symbol.							
03/03/14	300.000	7,500.00	04/30/09	8,095 05	0.00	-595.05	Merger
NUVEEN S&P 500 BUY-WRITE INCOM FUND / CUSIP: 6706ER101 / Symbol. BXMX							
12/26/14	350.000	4,229.26	10/26/04	6,120.00	0.00	-1,890.74	Sale
TIME WARNER INC RATE 6.625 % MATURES 05/15/2029 CALLABLE DATED DATE 11/23/1998 / CUSIP 887315BN8 / Symbol							
11/06/14	10,000.000	12,317.25	05/01/08	9,924.55	0.00	2,392.70	Sale Original basis: $9,924.55
WACHOVIA PFD FDG CORP PFD A7.25%PERP RATE: 7.250% / CUSIP 92977V206 / Symbol							
	3 tax lots for 01/02/14. Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	*25,000.00*	*12/12/02*	*25,000.00*	*0.00*	*0.00*	*Merger*
	400.000	*10,000.00*	*02/07/08*	*10,229.25*	*0.00*	*-229.25*	*Merger*
	600.000	*15,000.00*	*02/21/08*	*15,231.81*	*0.00*	*-231.81*	*Merger*
01/02/14	2,000.000	50,000.00	VARIOUS	50,461.06	0.00	-461.06	Total of 3 lots
	Totals:	**324,999.54**		**320,898.42**	**0.00**	**4,101.12**	

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UBS FINANCIAL SERVICES INC

Account LD 11699

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B*

OMB No. 1545-0715

UNDETERMINED-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term

Report on Form 8949. Use either Part I with Box B checked or Part II with Box E checked.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNR 2005-109 GD 06.0000 DUE 10/25/35 FACTOR 0.759538570000 / CUSIP: 31394UZA3 / Symbol.							
05/25/14	0.000	78.12	N/A	0 00	0 00	0.00	Principal payment
06/25/14	0.000	1,665.16	N/A	0.00	0.00	0.00	Principal payment
08/25/14	0.000	409.83	N/A	0.00	0.00	0.00	Principal payment
09/25/14	0.000	886.91	N/A	0.00	0.00	0.00	Principal payment
10/25/14	0.000	492.18	N/A	0.00	0.00	0.00	Principal payment
11/25/14	0.000	540.89	N/A	0.00	0.00	0 00	Principal payment
	Security total:	4,073.09		0.00	0.00	0.00	
	Totals:	**4,073.09**		**0.00**	**0.00**	**0.00**	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	P		
b	SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	P		
c	SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	P		
d	SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	P		
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,341.		11,764.	<423.>
b	42,970.		44,600.	<1,630.>
c	325,000.		320,899.	4,101.
d	4,073.		4,073.	0.
e	4,575.			4,575.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<423.>
b				<1,630.>
c				4,101.
d				0.
e				4,575.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	6,623.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

423591
05-01-14

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868* .

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. THE UNGER FOUNDATION C/O ROBERT P. MCMANUS	Employer identification number (EIN) or 34-1929633
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O. box, see instructions. P.O. BOX 926	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. HUDSON, OH 44236	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ROBERT P. MCMANUS
  P.O. BOX 926 - HUDSON, OH 44236
  Telephone No. ▶ 216-387-1892 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2015 , to file the exempt organization return for the organization named above The extension is for the organization's return for:
▶ [X] calendar year 2014 or
▶ [ ] tax year beginning ______________ , and ending ______________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 2,120.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 920.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 1,200.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.