Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
THE AHUJA FOUNDATION

% MONTE AHUJA

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
81 SEAGATE DRIVE
Suite 2101

City or town, state or province, country, and ZIP or foreign postal code
NAPLES, FL 34103

A Employer identification number
34-1685088

B Telephone number (see instructions)
(216) 920-4800

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 12,903,488

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	2,563,606			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	348	348		
	4	Dividends and interest from securities	153,072	153,072		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	974,994			
	b	Gross sales price for all assets on line 6a 3,852,845				
	7	Capital gain net income (from Part IV, line 2)		974,994		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	3,692,020	1,128,414		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	1,500	0	0	0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	55,034	45,034		
	17	Interest	47	47		
	18	Taxes (attach schedule) (see instructions)	7,158	230		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	37,703	37,703		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	101,442	83,014	0	0
	25	Contributions, gifts, grants paid	908,000			908,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,009,442	83,014	0	908,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	2,682,578			
	b	**Net investment income** (if negative, enter -0-)		1,045,400		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	325,810	624,289	624,289
3	Accounts receivable ▶ 4,490			
	Less allowance for doubtful accounts ▶	46	4,490	4,490
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	7,486,898	8,603,430	11,115,686
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	1,129,141	1,129,141	1,159,023
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,941,895	10,361,350	12,903,488
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	8,941,895	10,361,350	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	8,941,895	10,361,350	
31	**Total liabilities and net assets/fund balances** (see instructions)	8,941,895	10,361,350	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,941,895
2	Enter amount from Part I, line 27a	2	2,682,578
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	11,624,473
5	Decreases not included in line 2 (itemize) ▶	5	1,263,123
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,361,350

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	974,994
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	376,072	8,946,243	0 042037
2012	1,424,939	10,513,532	0 135534
2011	3,153,999	11,056,727	0 285256
2010	532,907	12,791,312	0 041662
2009	423,185	11,878,618	0 035626

2	**Total** of line 1, column (d).	2	0 540115
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 108023
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	10,250,658
5	Multiply line 4 by line 3.	5	1,107,307
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	10,454
7	Add lines 5 and 6.	7	1,117,761
8	Enter qualifying distributions from Part XII, line 4.	8	908,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	20,908
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	20,908
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	20,908
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,500		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	3,500
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	21
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	17,429
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MONTE AHUJA Telephone no ▶(239) 403-7940

Located at ▶81 SEAGATE DRIVE 2101 NAPLES FL ZIP+4 ▶34103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MONTE AHUJA 81 SEAGATE DRIVE NAPLES, FL 34103	TRUSTEE 5 0	0	0	0
USHA AHUJA 81 SEAGATE DRIVE NAPLES, FL 34103	TRUSTEE 5 0	0	0	0
MANISHA AHUJA SETHI 28159 RED RAVEN ROAD CLEVELAND, OH 44124	TRUSTEE 5 0	0	0	0
RITU AHUJA 230 W 56TH STREET 62C NEW YORK, NY 10019	TRUSTEE 5 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	8,833,165
b	Average of monthly cash balances	1b	427,489
c	Fair market value of all other assets (see instructions)	1c	1,146,105
d	**Total** (add lines 1a, b, and c)	1d	10,406,759
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	10,406,759
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	156,101
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,250,658
6	**Minimum investment return.** Enter 5% of line 5	6	512,533

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	512,533
2a	Tax on investment income for 2014 from Part VI, line 5	2a	20,908		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	20,908
3	Distributable amount before adjustments Subtract line 2c from line 1			3	491,625
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	491,625
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	491,625

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	908,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	908,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	908,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				491,625
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011. 2,540,319				
d From 2012. 902,450				
e From 2013.				
f **Total** of lines 3a through e.	3,442,769			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 908,000				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				491,625
e Remaining amount distributed out of corpus	416,375			
5 Excess distributions carryover applied to 2014				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,859,144			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	3,859,144			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . . 2,540,319				
c Excess from 2012. . . . 902,450				
d Excess from 2013. . . .				
e Excess from 2014. . . . 416,375				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				908,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	348	
4 Dividends and interest from securities. . . .			14	153,072	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	974,994	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,128,414	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 1,128,414

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2015-04-29	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Carla M Wainwright				P00165578

Firm's name ▶ MAI Capital Management	Firm's EIN ▶
Firm's address ▶ 1360 E 9th St Ste 1100 Cleveland, OH 441141717	Phone no (216)920-4800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
43,723 86 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL	P	2013-08-23	2014-01-31
13,187 63 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL	P	2013-11-11	2014-12-22
500 SHS THE GS GROUP INC LINKED TO EURO STOXX 50 SMEX UPSIDE LEVERED	P	2012-09-19	2014-09-24
GS TAF THE LONDON COMPANY SHORT TERM GAINS (LOSSES)	P		2014-12-31
GS TAF THE LONDON COMPANY LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF THE LONDON COMPANY LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF YACKTMAN DYNAMIC EQ SHORT TERM GAINS (LOSSES)	P		2014-12-31
GS TAF YACKTMAN DYNAMIC EQ LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF YACKTMAN DYNAMIC EQ LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF SHAPIRO DYNAMIC EQ SHORT TERM GAINS (LOSSES)	P		2014-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
462,598		459,033	3,565
137,402		137,654	-252
641,500		500,000	141,500
49,241		47,655	1,586
221,475		142,633	78,842
17,288		12,040	5,248
6,686		5,899	787
435,028		237,030	197,998
193,587		122,178	71,409
147,187		121,301	25,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			3,565
			-252
			141,500
			1,586
			78,842
			5,248
			787
			197,998
			71,409
			25,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GS TAF SHAPIRO DYNAMIC EQ LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF SHAPIRO DYNAMIC EQ LONG TERM GAINS (LOSSES)	P		2014-12-31
GS TAF GS FOCUSED GROWTH SHORT TERM GAINS (LOSSES)	P		2014-12-31
GS TAF GS FOCUSED GROWTH SHORT TERM GAINS (LOSSES)	P		2014-12-31
GS TAF GS FOCUSED GROWTH LONG TERM GAINS (LOSSES)	P		2014-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
793,218		426,280	366,938
41,592		28,338	13,254
598,172		548,479	49,693
23,972		18,116	5,856
81,158		71,215	9,943
			2,741

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			366,938
			13,254
			49,693
			5,856
			9,943

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NAPLES CHILDREN & EDUCATION FOUNDATION 6200 SHIRLEY STREET SUITE 206 NAPLES, FL 34109	NONE	PC	GENERAL SUPPORT	500,000
GREAT LAKES SCIENCE CENTER 601 ERIESIDE AVENUE CLEVELAND, OH 44114	NONE	PC	GENERAL SUPPORT	10,000
RAINBOWS BABIES AND CHILDREN 11100 EUCLID AVENUE CLEVELAND, OH 44106	NONE	PC	GENERAL SUPPORT	18,000
AJC CLEVELAND 3401 ENTERPRISE PARKWAY SUITE 250 BEACHWOOD, OH 44122	NONE	PC	GENERAL SUPPORT	1,000
UNITED WAY OF GREATER CLEVELAND 1331 EUCLID AVENUE CLEVELAND, OH 44115	NONE	PC	GENERAL SUPPORT	10,000
MUSICAL ARTS ASSOCIATION 11001 EUCLID AVENUE CLEVELAND, OH 44106	NONE	PC	GENERAL SUPPORT	17,000
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA, GA 30303	NONE	PC	GENERAL SUPPORT	1,000
MAGNUM INC 868 MONTFORD RD CLEVELAND HEIGHTS, OH 44121	NONE	PC	GENERAL SUPPORT	3,500
AMERICAN RED CROSS 3747 EUCLID AVENUE CLEVELAND, OH 44115	NONE	PC	GENERAL SUPPORT	1,000
ARTIS-NAPLES 5833 PELICAN BAY BOULEVARD NAPLES, FL 34109	NONE	PC	GENERAL SUPPORT	65,000
EKAL VIDYALAJ FOUNDATION OF USA 3908 WESTHOLLOW PARKWAY HOUSTON, TX 77082	NONE	PC	GENERAL SUPPORT	2,500
URSULINE COLLEGE 2550 LADNER ROAD PEPPER PIKE, OH 44124	NONE	PC	GENERAL SUPPORT	10,000
UNIVERSITY HOSPITALS PO BOX 74947 CLEVELAND, OH 44194	NONE	PC	GENERAL SUPPORT	200,000
SMILE TRAIN 41 MADISON AVE 28TH FLOOR NEW YORK, NY 10010	NONE	PC	GENERAL SUPPORT	1,000
UNIVERSITY SCHOOL 20701 BRANTLEY ROAD SHAKER HEIGHTS, OH 44122	NONE	PC	GENERAL SUPPORT	3,500
Total . . . . ▶ **3a**				908,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROCK N ROLL HALL OF FAME 1100 ROCK AND ROLL BLVD CLEVELAND, OH 44114	NONE	PC	GENERAL SUPPORT	5,000
MS WALK 733 THIRD AVENUE 3RD FLOOR NEW YORK, NY 10017	NONE	PC	GENERAL SUPPORT	2,000
ST BALDRICKS FOUNDATION 1333 SOUTH MAYFLOWER AVENUE MONROVIA, CA 91016	NONE	PC	GENERAL SUPPORT	2,000
THE CLEVELAND ORCHESTRA 11001 EUCLID AVENUE CLEVELAND, OH 44106	NONE	PC	GENERAL SUPPORT	3,000
EVANS SCHOLARS FOUNDATION ONE BRIAR RD GOLF, IL 60029	NONE	PC	GENERAL SUPPORT	1,000
OUR BISWAS 1210 WHITTER DRIVE EAST LANSING, MI 48823	NONE	PC	GENERAL SUPPORT	1,000
THE IMMOKALEE FOUNDATION 3960 RADIO ROAD STE 207 NAPLES, FL 34104	NONE	PC	GENERAL SUPPORT	10,000
NEAR WEST THEATRE 6516 DETROIT AVENUE CLEVELAND, OH 44102	NONE	PC	GENERAL SUPPORT	20,000
CLEVELAND PLAYHOUSE 1501 EUCLID AVENUE CLEVELAND, OH 44115	NONE	PC	GENERAL SUPPORT	15,000
SHELTER FOR ABUSED WOMEN AND CHILDREN PO BOX 10102 NAPLES, FL 34101	NONE	PC	GENERAL SUPPORT	2,500
FEDERATION OF INDIA COMMUNITY ASSOCIATION 12412 CEDAR ROAD CLEVELAND HEIGHTS, OH 44106	NONE	PC	GENERAL SUPPORT	3,000
Total . . . . ▶ **3a**				908,000

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2014

Name of the organization
THE AHUJA FOUNDATION

Employer identification number
34-1685088

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33\frac{1}{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE AHUJA FOUNDATION

Employer identification number
34-1685088

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MONTE USHA AHUJA FAMILY TRUST 81 SEAGATE DRIVE NAPLES, FL34103	$ 2,563,606	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE AHUJA FOUNDATION

Employer identification number
34-1685088

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE AHUJA FOUNDATION

Employer identification number
34-1685088

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.***
▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0123

2014

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

34-1685088

Before you check Box A, B, or C below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.
Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
43,723 86 SH	08-23-2013	01-31-2014	462,598	(459,033)			3,565
GS TAF THE L		12-31-2014	49,241	(47,655)			1,586
GS TAF YACKT		12-31-2014	6,686	(5,899)			787
GS TAF SHAPI		12-31-2014	147,187	(121,301)			25,886
GS TAF GS FO		12-31-2014	598,172	(548,479)			49,693
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . . ▶			1,263,884	(1,182,367)			81,517

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☑ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
GS TAF GS FO		12-31-2014	23,972	(18,116)			5,856
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . . ▶			23,972	(18,116)			5,856

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side
THE AHUJA FOUNDATION

Social security number or taxpayer identification number
34-1685088

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
13,187 63 SH	11-11-2013	12-22-2014	137,402	(137,654)			-252
500 SHS THE	09-19-2012	09-24-2014	641,500	(500,000)			141,500
GS TAF THE L		12-31-2014	221,475	(142,633)			78,842
GS TAF YACKT		12-31-2014	435,028	(237,030)			197,998
GS TAF SHAPI		12-31-2014	793,218	(426,280)			366,938
GS TAF GS FO		12-31-2014	81,158	(71,215)			9,943
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . . ▶			2,309,781	(1,514,812)			794,969

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.
Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☑ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
GS TAF THE L		12-31-2014	17,288	(12,040)			5,248
GS TAF YACKT		12-31-2014	193,587	(122,178)			71,409
GS TAF SHAPI		12-31-2014	41,592	(28,338)			13,254
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . . ▶			252,467	(162,556)			89,911

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

TY 2014 Investments Corporate Stock Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS - PRIMARY	4,657,491	6,240,089
GOLDMAN SACHS - SHAPIRO	1,485,438	1,899,134
GOLDMAN SACHS - YACKTMAN	887,451	1,159,705
GOLDMAN SACHS - LONDON	300,509	478,068
GOLDMAN SACHS - FOCUS	414,904	468,059
GOLDMAN SACHS - ADVISORY	857,637	870,631

TY 2014 Legal Fees Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MCDONALD HOPKINS	1,500			

TY 2014 Other Assets Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INSURANCE POLICY	1,129,141	1,129,141	1,159,023

TY 2014 Other Decreases Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Description	Amount
NET UNREALIZED GAIN ON CONTRIBUTION	1,263,123

TY 2014 Other Expenses Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NET LOSS FROM PSHIP ACTIVITIES	37,703	37,703		

TY 2014 Other Professional Fees Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GOLDMAN SACHS & CO MGMT FEES	45,034	45,034		
MAI WEALTH ADVISORS LLC	10,000			

TY 2014 Taxes Schedule

Name: THE AHUJA FOUNDATION

EIN: 34-1685088

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE OF OHIO FILING FEE	200	200		
FEDERAL INCOME TAX	6,928			
FOREIGN INCOME TAX	30	30		

REALIZED GAINS AND LOSSES

Supplemental Information Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short Term Gains (Losses)	1,586 29	Net Covered Long Term Gains (Losses)	78,842 12	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short Term Gains (Losses)	0 00	Net NonCovered Long Term Gains (Losses)	5,247 73		
Net 1099B Non Reportable Short Term Gains (Losses)	0 00	Net 1099B Non Reportable Long Term Gains (Losses)	0 00		
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**1,586 29**	**Total Long-Term Gains (Losses)**	**84,089.85**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DECKERS OUTDOORS CORP CMN (243537107)	09/24/2013	06/30/2014	3 00	258 59	0 00	185 86	72 73
DECKERS OUTDOORS CORP CMN (243537107)	09/23/2013	06/30/2014	22 00	1,896 28	0 00	1,365 69	530 59
DANA HOLDING CORPORATION CMN (235825205)	06/30/2014	08/13/2014	324 00	7,496 02	0 00	7,894 46	(398 44)
DECKERS OUTDOORS CORP CMN (243537107)	09/24/2013	08/13/2014	26 00	2,423 71	0 00	1,610 77	812 94
DECKERS OUTDOORS CORP CMN (243537107)	09/25/2013	08/13/2014	71 00	6,618 61	0 00	4,493 45	2,125 16
MRC GLOBAL INC CMN (55345K103)	12/11/2013	08/13/2014	280 00	6,949 13	0 00	8,900 95	(1,951 82)
OLIN CORP NEW $1 PAR CMN (680665205)	12/11/2013	08/13/2014	331 00	8,483 54	0 00	9,116 47	(632 93)
ORBITAL SCIENCES CORPORATION CMN (685564106)	07/10/2014	08/13/2014	205 00	5,357 02	0 00	6,029 99	(672 97)
TEMPUR SEALY INTERNATIONAL INC CMN (88023U101)	09/23/2013	08/13/2014	31 00	1,828 06	0 00	1,325 21	502 85
TEMPUR SEALY INTERNATIONAL INC CMN (88023U101)	09/24/2013	08/13/2014	41 00	2,417 75	0 00	1,776 78	640 97
TEMPUR SEALY INTERNATIONAL INC CMN (88023U101)	09/25/2013	08/13/2014	76 00	4,481 69	0 00	3,356 21	1,125 48
MRC GLOBAL INC CMN (55345K103)	12/11/2013	10/21/2014	1 00	20 62	0 00	31 79	(11 17)
MRC GLOBAL INC CMN (55345K103)	12/12/2013	10/21/2014	49 00	1,010 28	0 00	1,567 38	(557 10)
Net Covered Short-Term Gains (Losses)				**49,241.30**	**0.00**	**47,655.01**	**1,586.29**

4 Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ALBEMARLE CORP CMN (012653101)	04/20/2011	06/30/2014	41 00	2,928 04	0 00	2,664 95	263 09
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/11/2011	06/30/2014	54 00	2,038 91	0 00	1,267 83	771 08
EATON VANCE CORP (NON-VTG) CMN (278265103)	04/20/2011	06/30/2014	242 00	9,137 33	0 00	7,987 84	1,149 49
MARTIN MARIETTA MATERIALS,INC CMN (573284106)	04/20/2011	07/10/2014	67 00	8,626 98	0 00	5,873 77	2,753 21
ADVENT SOFTWARE INC CMN (007974108)	04/20/2011	08/13/2014	137 00	4,441 36	0 00	2,801 09	1,640 27
ALBEMARLE CORP CMN (012653101)	05/19/2011	08/13/2014	3 00	182 61	0 00	207 45	(24 84)
ALBEMARLE CORP CMN (012653101)	10/12/2011	08/13/2014	73 00	4,443 48	0 00	3,489 66	953 82
ALBEMARLE CORP CMN (012653101)	04/20/2011	08/13/2014	81 00	4,930 43	0 00	5,264 90	(334 47)
ALEXANDER & BALDWIN, INC CMN (014491104)	04/20/2011	08/13/2014	169 00	6,678 66	0 00	4,630 21	2,048 45
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/02/2013	08/13/2014	2 00	252 72	0 00	191 43	61 29
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/01/2013	08/13/2014	13 00	1,642 65	0 00	1,244 70	397 95
ALLIANT TECHSYSTEMS INC CMN (018804104)	07/31/2013	08/13/2014	14 00	1,769 01	0 00	1,294 36	474 65
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/01/2013	08/13/2014	14 00	1,769 01	0 00	1,337 79	431 22
AMERICAN EAGLE OUTFITTERS INC (NEW) (02553E106)	08/26/2011	08/13/2014	197 00	2,145 61	0 00	2,019 59	126 02
ATWOOD OCEANICS INC CMN (050095108)	04/20/2011	08/13/2014	177 00	8,722 25	0 00	7,786 89	935 36
CABELA'S INCORPORATED CMN CLASS A (126804301)	04/20/2011	08/13/2014	178 00	10,342 42	0 00	4,777 55	5,564 87
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/12/2012	08/13/2014	10 00	748 42	0 00	496 55	251 87
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/08/2012	08/13/2014	21 00	1,571 68	0 00	1,040 92	530 76
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/11/2012	08/13/2014	22 00	1,646 52	0 00	1,103 91	542 61
CONVERSANT INC CMN (21249J105)	04/20/2011	08/13/2014	184 00	4,664 55	0 00	2,875 77	1,788 78
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/25/2011	08/13/2014	25 00	905 62	0 00	569 47	336 15
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/11/2011	08/13/2014	111 00	4,020 94	0 00	2,606 09	1,414 85
KAMAN CORP CMN (483548103)	08/11/2011	08/13/2014	45 00	1,836 19	0 00	1,432 73	403 46
KAMAN CORP CMN (483548103)	07/11/2011	08/13/2014	54 00	2,203 43	0 00	1,953 47	249 96
MATSON, INC CMN (57686G105)	04/20/2011	08/13/2014	183 00	4,998 88	0 00	4,618 85	380 03

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MBIA INC CMN (55262C100)	04/20/2011	08/13/2014	446 00	4,058 15	0 00	4,520 03	(461 88)
MICREL INC CMN (594793101)	04/20/2011	08/13/2014	104 00	1,217 53	0 00	1,332 11	(114 58)
MICREL INC CMN (594793101)	08/26/2011	08/13/2014	162 00	1,896 53	0 00	1,598 00	298 53
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	04/20/2011	08/13/2014	173 00	5,222 53	0 00	3,006 17	2,216 36
NEWMARKET CORP CMN (651587107)	04/20/2011	08/13/2014	50 00	19,826 47	0 00	7,714 40	12,112 07
OLD DOMINION FGHT LINES INC CMN (679580100)	04/20/2011	08/13/2014	322 00	20,869 70	0 00	7,335 55	13,534 15
PRICESMART INC CMN (741511109)	04/20/2011	08/13/2014	120 00	10,271 12	0 00	4,698 13	5,572 99
RITCHIE BROS AUCTIONEERS INC CMN (767744105)	06/11/2012	08/13/2014	38 00	921 58	0 00	742 76	178 82
RITCHIE BROS AUCTIONEERS INC CMN (767744105)	06/13/2012	08/13/2014	43 00	1,042 84	0 00	844 71	198 13
RITCHIE BROS AUCTIONEERS INC CMN (767744105)	06/12/2012	08/13/2014	52 00	1,261 11	0 00	1,008 73	252 38
SERVICE CORP INTERNATL CMN (817565104)	04/20/2011	08/13/2014	510 00	10,930 27	0 00	5,937 11	4,993 16
STURM, RUGER & COMPANY INC CMN (864159108)	04/20/2011	08/13/2014	93 00	4,690 70	0 00	1,948 80	2,741 90
TEJON RANCH CO CMN (879080109)	04/20/2011	08/13/2014	163 00	4,588 49	0 00	5,671 05	(1,082 56)
TENET HEALTHCARE CORP CMN (88033G407)	04/20/2011	08/13/2014	251 00	14,323 35	0 00	6,749 42	7,573 93
TREDEGAR CORPORATION CMN (894650100)	04/20/2011	08/13/2014	200 00	3,972 39	0 00	4,354 20	(381 81)
WHITE MTNS INS GROUP LTD CMN (G9618E107)	04/20/2011	08/13/2014	9 00	5,638 65	0 00	3,322 80	2,315 85
WORLD FUEL SERVICES CORP CMN (981475106)	05/03/2012	08/13/2014	7 00	305 47	0 00	276 64	28 83
WORLD FUEL SERVICES CORP CMN (981475106)	05/02/2012	08/13/2014	72 00	3,141 95	0 00	2,870 59	271 36
MICREL INC CMN (594793101)	08/26/2011	08/28/2014	207 00	2,531 55	0 00	2,041 89	489 66
TREDEGAR CORPORATION CMN (894650100)	04/20/2011	11/12/2014	55 00	1,091 01	0 00	1,197 41	(106 40)
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/13/2012	11/13/2014	19 00	778 69	0 00	474 97	303 72
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/12/2012	11/13/2014	24 00	983 62	0 00	595 86	387 76
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/13/2012	11/14/2014	23 00	945 72	0 00	574 97	370 75
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/27/2012	11/14/2014	24 00	986 84	0 00	626 03	360 81
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/27/2012	11/17/2014	10 00	413 99	0 00	260 84	153 15

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	06/28/2012	11/17/2014	38 00	1,573 18	0 00	979 00	594 18
ALLIANCE DATA SYSTEMS CORPORAT CMN (018581108)	04/20/2011	12/12/2014	0 80	232 52	0 00	0 00	232 52
CONVERSANT INC CMN (21249J105)	05/19/2011	12/12/2014	10 00	187 82	0 00	0 00	187 82
CONVERSANT INC CMN (21249J105)	04/20/2011	12/12/2014	143 00	2,685 89	0 00	294 51	2,391 38
ALLIANCE DATA SYSTEMS CORPORAT CMN (018581108)	05/19/2011	12/16/2014	1 00	279 74	0 00	178 23	101 51
ALLIANCE DATA SYSTEMS CORPORAT CMN (018581108)	04/20/2011	12/16/2014	7 00	1,958 17	0 00	1,940 47	17 70
Net Covered Long-Term Gains (Losses)				**221,475.27**	**0.00**	**142,633.15**	**78,842.12**
NonCovered Long-Term Gains (Losses)							
CORRECTIONS CORP OF AMERICA CMN (22025Y407)	04/20/2011	08/13/2014	261 00	9,130 82	0 00	6,340 17	2,790 65
FIRST INDUSTRIAL REALTY TRUST CMN (32054K103)	04/20/2011	08/13/2014	451 00	8,157 09	0 00	5,700 01	2,457 08
Net NonCovered Long-Term Gains (Losses)				**17,287.91**	**0.00**	**12,040.18**	**5,247.73**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short Term Gains (Losses)	55,436 77	Net Covered Long Term Gains (Losses)	9,942 65	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short Term Gains (Losses)	5,856 03	Net NonCovered Long Term Gains (Losses)	0 00		
Net 1099B Non Reportable Short Term Gains (Losses)	0 00	Net 1099B Non Reportable Long Term Gains (Losses)	0 00		
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**61,292 80**	**Total Long-Term Gains (Losses)**	**9,942.65**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GOOGLE INC CMN CLASS A (38259P508)	08/26/2013	01/07/2014	1 00	1,132 91	0 00	874 14	258 77
GOOGLE INC CMN CLASS A (38259P508)	07/16/2013	01/07/2014	10 00	11,329 10	0 00	9,154 30	2,174 80
INTERCONTINENTAL EXCHANGE INC CMN (45866F104)	07/16/2013	01/07/2014	15 00	3,410 21	0 00	2,728 80	681 41
FEDEX CORP CMN (31428X106)	08/26/2013	01/23/2014	67 00	9,402 78	0 00	7,566 55	1,836 23
FEDEX CORP CMN (31428X106)	08/26/2013	01/29/2014	24 00	3,201 35	0 00	2,710 41	490 94
SBA COMMUNICATIONS CORP CMN (78388J106)	08/26/2013	03/11/2014	42 00	3,995 05	0 00	3,103 64	891 41
FEDEX CORP CMN (31428X106)	08/26/2013	03/18/2014	177 00	24,509 60	0 00	19,989 25	4,520 35
REGENERON PHARMACEUTICAL INC CMN (75886F107)	07/16/2013	03/21/2014	12 00	3,850 01	0 00	3,154 68	695 33
ANADARKO PETROLEUM CORP CMN (032511107)	12/13/2013	04/03/2014	55 00	5,175 68	0 00	4,225 31	950 37
XILINX INCORPORATED CMN (983919101)	07/16/2013	04/09/2014	145 00	7,722 16	0 00	6,314 75	1,407 41
XILINX INCORPORATED CMN (983919101)	08/26/2013	04/09/2014	199 00	10,597 99	0 00	8,871 58	1,726 41
DANAHER CORPORATION CMN (235851102)	07/17/2013	04/17/2014	20 00	1,454 57	0 00	1,369 96	84 61
DANAHER CORPORATION CMN (235851102)	12/23/2013	04/17/2014	48 00	3,490 96	0 00	3,696 40	(205 44)
DANAHER CORPORATION CMN (235851102)	07/16/2013	04/17/2014	61 00	4,436 43	0 00	4,129 09	307 34
DANAHER CORPORATION CMN (235851102)	08/26/2013	04/17/2014	301 00	21,891 24	0 00	20,299 44	1,591 80
DOLLAR GENERAL CORPORATION CMN (256677105)	10/23/2013	04/24/2014	54 00	2,974 62	0 00	3,186 98	(212 36)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DOLLAR GENERAL CORPORATION CMN (256677105)	10/07/2013	04/24/2014	339 00	18,673 99	0 00	19,565 37	(891 38)
SBA COMMUNICATIONS CORP CMN (78388J106)	08/26/2013	05/05/2014	345 00	33,347 93	0 00	25,494 18	7,853 75
CBRE GROUP INC CMN (12504L109)	07/16/2013	05/09/2014	103 00	2,919 56	0 00	2,460 67	458 89
CBRE GROUP INC CMN (12504L109)	07/16/2013	05/12/2014	181 00	5,156 01	0 00	4,324 09	831 92
CBRE GROUP INC CMN (12504L109)	07/16/2013	05/14/2014	44 00	1,267 75	0 00	1,051 16	216 59
CBRE GROUP INC CMN (12504L109)	07/18/2013	05/14/2014	55 00	1,584 69	0 00	1,324 54	260 15
CBRE GROUP INC CMN (12504L109)	08/26/2013	05/14/2014	168 00	4,840 49	0 00	3,691 90	1,148 59
NIKE CLASS-B CMN CLASS B (654106103)	08/26/2013	06/05/2014	28 00	2,120 31	0 00	1,799 00	321 31
NIKE CLASS-B CMN CLASS B (654106103)	07/16/2013	06/05/2014	70 00	5,300 76	0 00	4,396 70	904 06
XILINX INCORPORATED CMN (983919101)	08/26/2013	06/13/2014	338 00	15,691 00	0 00	15,068 32	622 68
QUALCOMM INC CMN (747525103)	07/16/2013	06/24/2014	56 00	4,460 54	0 00	3,447 92	1,012 62
QUALCOMM INC CMN (747525103)	08/26/2013	06/24/2014	228 00	18,160 75	0 00	15,321 60	2,839 15
REGENERON PHARMACEUTICAL INC CMN (75886F107)	08/26/2013	07/31/2014	21 00	6,572 51	0 00	5,119 59	1,452 92
REGENERON PHARMACEUTICAL INC CMN (75886F107)	07/02/2014	08/04/2014	28 00	9,114 66	0 00	8,679 73	434 93
REGENERON PHARMACEUTICAL INC CMN (75886F107)	08/26/2013	08/04/2014	43 00	13,997 52	0 00	10,482 97	3,514 55
ANADARKO PETROLEUM CORP CMN (032511107)	12/13/2013	08/13/2014	126 00	13,444 58	0 00	9,679 80	3,764 78
CBRE GROUP INC CMN (12504L109)	08/26/2013	08/13/2014	511 00	15,837 86	0 00	11,229 54	4,608 32
CELGENE CORPORATION CMN (151020104)	08/05/2014	08/13/2014	86 00	7,449 80	0 00	7,492 56	(42 76)
CELGENE CORPORATION CMN (151020104) Disallowed Loss							9 94
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/26/2013	08/13/2014	85 00	10,070 67	0 00	9,590 23	480 44
EBAY INC CMN (278642103)	01/08/2014	08/13/2014	290 00	15,366 70	0 00	15,374 47	(7 77)
EMC CORPORATION MASS CMN (268648102)	12/24/2013	08/13/2014	648 00	19,064 71	0 00	16,282 40	2,782 31
EQUINIX, INC REIT (29444U502)	08/26/2013	08/13/2014	67 00	14,325 22	0 00	11,392 30	2,932 92
GOOGLE INC CMN CLASS A (38259P508)	08/26/2013	08/13/2014	19 00	10,988 67	0 00	8,317 62	2,671 05
GOOGLE INC CMN CLASS C (38259P706)	08/26/2013	08/13/2014	16 00	9,095 84	0 00	6,981 93	2,113 91

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
HONEYWELL INTL INC CMN (438516106)	05/05/2014	08/13/2014	128 00	11,947 35	0 00	11,832 98	114 37
INTERCONTINENTAL EXCHANGE INC CMN (45866F104)	08/26/2013	08/13/2014	95 00	17,905 28	0 00	17,637 69	267 59
L BRANDS, INC CMN (501797104)	08/26/2013	08/13/2014	138 00	8,544 13	0 00	8,158 68	385 45
MYLAN INC CMN (628530107)	04/24/2014	08/13/2014	340 00	15,389 45	0 00	17,325 08	(1,935 63)
NAVIENT CORPORATION CMN (63938C108)	03/21/2014	08/13/2014	744 00	12,789 84	0 00	12,257 62	532 22
NIKE CLASS-B CMN CLASS B (654106103)	08/26/2013	08/13/2014	152 00	11,664 68	0 00	9,766 00	1,898 68
PVH CORP CMN (693656100)	08/26/2013	08/13/2014	96 00	10,764 76	0 00	12,457 02	(1,692 26)
QUALCOMM INC CMN (747525103)	08/26/2013	08/13/2014	128 00	9,541 43	0 00	8,601 60	939 83
SLM CORPORATION CMN (78442P106)	04/17/2014	08/13/2014	56 00	495 67	0 00	530 15	(34 48)
SLM CORPORATION CMN (78442P106)	03/31/2014	08/13/2014	161 00	1,425 06	0 00	1,421 55	3 51
SLM CORPORATION CMN (78442P106)	03/21/2014	08/13/2014	1,073 00	9,497 43	0 00	9,900 78	(403 35)
SLM CORPORATION CMN (78442P106) Disallowed Loss							305 99
WHOLE FOODS MARKET INC CMN (966837106)	04/25/2014	08/13/2014	414 00	15,738 91	0 00	21,019 33	(5,280 42)
WHOLE FOODS MARKET INC CMN (966837106) Disallowed Loss							4,923 29
YUM BRANDS, INC CMN (988498101)	11/27/2013	08/13/2014	47 00	3,296 62	0 00	3,627 59	(330 97)
YUM BRANDS, INC CMN (988498101)	11/26/2013	08/13/2014	200 00	14,028 15	0 00	15,534 20	(1,506 05)
YUM BRANDS, INC CMN (988498101) Disallowed Loss							504 53
L BRANDS, INC CMN (501797104)	10/23/2013	08/20/2014	52 00	3,267 38	0 00	3,174 48	92 90
L BRANDS, INC CMN (501797104)	12/05/2013	08/20/2014	118 00	7,414 45	0 00	7,372 19	42 26
L BRANDS, INC CMN (501797104)	08/26/2013	08/20/2014	133 00	8,356 96	0 00	7,863 07	493 89
EBAY INC CMN (278642103)	01/08/2014	09/30/2014	124 00	6,968 40	0 00	6,573 91	394 49
HONEYWELL INTL INC CMN (438516106)	05/05/2014	10/16/2014	186 00	15,763 93	0 00	17,194 79	(1,430 86)
MYLAN INC CMN (628530107)	04/24/2014	10/21/2014	11 00	570 27	0 00	560 52	9 75
MYLAN INC CMN (628530107)	05/15/2014	10/21/2014	13 00	673 95	0 00	623 83	50 12
CELGENE CORPORATION CMN (151020104)	08/05/2014	10/22/2014	37 00	3,506 23	0 00	3,223 54	282 69

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NAVIENT CORPORATION CMN (63938C108)	03/21/2014	10/22/2014	170 00	3,188 61	0 00	2,800 80	387 81
EBAY INC CMN (278642103)	01/08/2014	10/23/2014	96 00	4,850 85	0 00	5,089 48	(238 63)
EBAY INC CMN (278642103)	01/23/2014	10/23/2014	193 00	9,752 23	0 00	10,684 51	(932 28)
WHOLE FOODS MARKET INC CMN (966837106)	04/25/2014	11/12/2014	7 00	332 26	0 00	355 40	(23 14)
WHOLE FOODS MARKET INC CMN (966837106)	05/07/2014	11/12/2014	46 00	2,183 45	0 00	1,775 00	408 45
GOOGLE INC CMN CLASS A (38259P508)	04/16/2014	11/18/2014	4 00	2,183 91	0 00	2,227 72	(43 81)
GOOGLE INC CMN CLASS A (38259P508)	06/24/2014	11/18/2014	6 00	3,275 87	0 00	3,482 98	(207 11)
GOOGLE INC CMN CLASS A (38259P508)	10/22/2014	11/18/2014	6 00	3,275 87	0 00	3,275 36	0 51
GOOGLE INC CMN CLASS C (38259P706)	04/16/2014	11/18/2014	4 00	2,140 41	0 00	2,187 65	(47 24)
EQUINIX, INC REIT (29444U502)	11/25/2014	11/25/2014	0 05	11 42	0 00	0 00	11 42
Net Covered Short-Term Disallowed Losses							**5,743.75**
Net Covered Short-Term Gains (Losses)				**598,172.39**	**0.00**	**548,479.37**	**55,436.77**
NonCovered Short-Term Gains (Losses)							
AMERICAN TOWER CORPORATION CMN (03027X100)	08/26/2013	07/02/2014	19 00	1,704 33	0 00	1,326 39	377 94
AMERICAN TOWER CORPORATION CMN (03027X100)	07/16/2013	07/02/2014	69 00	6,189 40	0 00	5,201 22	988 18
AMERICAN TOWER CORPORATION CMN (03027X100)	08/26/2013	08/13/2014	166 00	16,078 37	0 00	11,588 46	4,489 91
Net NonCovered Short-Term Gains (Losses)				**23,972.10**	**0.00**	**18,116.07**	**5,856.03**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EQUINIX, INC REIT (29444U502)	07/16/2013	07/30/2014	30 00	6,475 52	0 00	6,032 40	443 12
REGENERON PHARMACEUTICAL INC CMN (75886F107)	07/16/2013	07/31/2014	5 00	1,564 88	0 00	1,314 45	250 43
COSTCO WHOLESALE CORPORATION CMN (22160K105)	07/16/2013	08/13/2014	62 00	7,345 67	0 00	7,218 66	127 01

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EQUINIX, INC REIT (29444U502)	07/16/2013	08/13/2014	12 00	2,565 71	0 00	2,412 96	152 75
L BRANDS, INC CMN (501797104)	07/30/2013	08/13/2014	73 00	4,519 72	0 00	4,041 75	477 97
PVH CORP CMN (693656100)	07/18/2013	08/13/2014	5 00	560 66	0 00	646 54	(85 88)
PVH CORP CMN (693656100)	07/16/2013	08/13/2014	53 00	5,943 04	0 00	6,830 64	(887 60)
NIKE CLASS-B CMN CLASS B (654106103)	08/26/2013	09/17/2014	221 00	17,966 59	0 00	14,199 25	3,767 34
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/26/2013	10/14/2014	22 00	2,767 88	0 00	2,482 18	285 70
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/26/2013	10/21/2014	6 00	780 09	0 00	676 96	103 13
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/26/2013	10/21/2014	53 00	6,903 12	0 00	5,979 79	923 33
INTERCONTINENTAL EXCHANGE INC CMN (45866F104)	08/26/2013	11/12/2014	11 00	2,431 17	0 00	2,042 26	388 91
GOOGLE INC CMN CLASS A (38259P508)	08/26/2013	11/18/2014	16 00	8,735 65	0 00	7,004 31	1,731 34
GOOGLE INC CMN CLASS C (38259P706)	08/26/2013	11/18/2014	19 00	10,166 96	0 00	8,291 04	1,875 92
INTERCONTINENTAL EXCHANGE INC CMN (45866F104)	08/26/2013	11/25/2014	11 00	2,431 44	0 00	2,042 26	389 18
Net Covered Long-Term Gains (Losses)				**81,158.10**	**0.00**	**71,215.45**	**9,942.65**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short Term Gains (Losses)	26,419 72	Net Covered Long Term Gains (Losses)	367,462 90	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short Term Gains (Losses)	0 00	Net NonCovered Long Term Gains (Losses)	13,254 29		
Net 1099B Non Reportable Short Term Gains (Losses)	0 00	Net 1099B Non Reportable Long Term Gains (Losses)	0 00		
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**26,419 72**	**Total Long-Term Gains (Losses)**	**380,717.19**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WHITEWAVE FOODS COMPANY CMN (966244105)	04/19/2013	02/13/2014	100 00	2,549 96	0 00	1,691 70	858 26
WHITEWAVE FOODS COMPANY CMN (966244105)	04/19/2013	02/14/2014	50 00	1,329 90	0 00	845 85	484 05
WHITEWAVE FOODS COMPANY CMN (966244105)	04/24/2013	02/14/2014	50 00	1,329 90	0 00	829 25	500 65
LEIDOS HLDGS INC CMN (525327102)	03/15/2013	02/27/2014	25 00	1,121 81	0 00	864 07	257 74
LEIDOS HLDGS INC CMN (525327102)	03/14/2013	02/27/2014	75 00	3,365 42	0 00	2,538 37	827 05
WHITEWAVE FOODS COMPANY CMN (966244105)	04/25/2013	02/28/2014	50 00	1,418 55	0 00	827 90	590 65
WHITEWAVE FOODS COMPANY CMN (966244105)	05/07/2013	02/28/2014	50 00	1,418 55	0 00	877 95	540 60
WHITEWAVE FOODS COMPANY CMN (966244105)	05/07/2013	03/03/2014	50 00	1,435 04	0 00	877 95	557 09
WHITEWAVE FOODS COMPANY CMN (966244105)	05/15/2013	03/03/2014	50 00	1,435 05	0 00	925 80	509 25
WHITEWAVE FOODS COMPANY CMN (966244105)	05/08/2013	03/03/2014	100 00	2,870 08	0 00	1,763 33	1,106 75
WHITEWAVE FOODS COMPANY CMN (966244105)	05/23/2013	03/04/2014	50 00	1,498 07	0 00	899 74	598 33
WHITEWAVE FOODS COMPANY CMN (966244105)	05/15/2013	03/04/2014	50 00	1,498 08	0 00	925 80	572 28
WHITEWAVE FOODS COMPANY CMN (966244105)	05/23/2013	03/06/2014	100 00	2,995 51	0 00	1,799 48	1,196 03
LEIDOS HLDGS INC CMN (525327102)	03/15/2013	03/07/2014	12 00	532 67	0 00	414 75	117 92
LEIDOS HLDGS INC CMN (525327102)	03/21/2013	03/07/2014	13 00	577 06	0 00	455 77	121 29
LEIDOS HLDGS INC CMN (525327102)	03/20/2013	03/07/2014	25 00	1,109 73	0 00	877 65	232 08

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LEIDOS HLDGS INC CMN (525327102)	03/18/2013	03/07/2014	50 00	2,219 47	0 00	1,725 46	494 01
LEIDOS HLDGS INC CMN (525327102)	06/05/2013	03/11/2014	13 00	561 99	0 00	526 91	35 08
LEIDOS HLDGS INC CMN (525327102)	05/09/2013	03/11/2014	25 00	1,080 74	0 00	1,034 15	46 59
LEIDOS HLDGS INC CMN (525327102)	04/22/2013	03/11/2014	25 00	1,080 75	0 00	972 09	108 66
LEIDOS HLDGS INC CMN (525327102)	03/21/2013	03/11/2014	37 00	1,599 50	0 00	1,297 19	302 31
WHITEWAVE FOODS COMPANY CMN (966244105)	05/23/2013	03/12/2014	50 00	1,465 32	0 00	899 74	565 58
WHITEWAVE FOODS COMPANY CMN (966244105)	06/11/2013	03/12/2014	50 00	1,465 32	0 00	829 08	636 24
WHITEWAVE FOODS COMPANY CMN (966244105)	06/20/2013	03/13/2014	50 00	1,452 76	0 00	807 41	645 35
WHITEWAVE FOODS COMPANY CMN (966244105)	06/11/2013	03/13/2014	50 00	1,452 77	0 00	829 08	623 69
LEIDOS HLDGS INC CMN (525327102)	06/05/2013	03/18/2014	12 00	512 11	0 00	486 38	25 73
LEIDOS HLDGS INC CMN (525327102)	06/12/2013	03/18/2014	25 00	1,066 90	0 00	935 40	131 50
LEIDOS HLDGS INC CMN (525327102)	07/05/2013	03/18/2014	63 00	2,688 59	0 00	2,415 76	272 83
LEIDOS HLDGS INC CMN (525327102)	07/05/2013	03/20/2014	12 00	507 17	0 00	460 14	47 03
LEIDOS HLDGS INC CMN (525327102)	07/16/2013	03/20/2014	13 00	549 44	0 00	502 85	46 59
LEIDOS HLDGS INC CMN (525327102)	07/09/2013	03/20/2014	25 00	1,056 63	0 00	972 86	83 77
LEIDOS HLDGS INC CMN (525327102)	07/15/2013	03/20/2014	50 00	2,113 25	0 00	1,945 55	167 70
LEIDOS HLDGS INC CMN (525327102)	07/16/2013	03/24/2014	12 00	508 87	0 00	464 17	44 70
LEIDOS HLDGS INC CMN (525327102)	09/04/2013	03/24/2014	13 00	551 27	0 00	512 43	38 84
LEIDOS HLDGS INC CMN (525327102)	07/24/2013	03/24/2014	25 00	1,060 14	0 00	1,018 71	41 43
LEIDOS HLDGS INC CMN (525327102)	07/18/2013	03/24/2014	50 00	2,120 28	0 00	1,958 26	162 02
LEIDOS HLDGS INC CMN (525327102)	09/04/2013	03/27/2014	12 00	449 61	0 00	473 02	(23 41)
LEIDOS HLDGS INC CMN (525327102)	09/10/2013	03/27/2014	25 00	936 69	0 00	994 82	(58 13)
LEIDOS HLDGS INC CMN (525327102)	10/03/2013	03/27/2014	100 00	3,746 76	0 00	4,615 30	(868 54)
WHITEWAVE FOODS COMPANY CMN (966244105)	06/20/2013	04/09/2014	50 00	1,357 50	0 00	807 41	550 09
WHITEWAVE FOODS COMPANY CMN (966244105)	06/21/2013	04/09/2014	50 00	1,357 51	0 00	796 63	560 88

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WHITEWAVE FOODS COMPANY CMN (966244105)	07/01/2013	04/14/2014	50 00	1,345 95	0 00	831 35	514 60
WHITEWAVE FOODS COMPANY CMN (966244105)	06/21/2013	04/14/2014	50 00	1,345 96	0 00	796 63	549 33
XYLEM INC CMN (98419M100)	04/30/2013	04/24/2014	100 00	3,589 02	0 00	2,778 71	810 31
WHITEWAVE FOODS COMPANY CMN (966244105)	07/01/2013	05/12/2014	100 00	2,970 63	0 00	1,662 70	1,307 93
WHITEWAVE FOODS COMPANY CMN (966244105)	07/05/2013	05/14/2014	50 00	1,545 51	0 00	847 07	698 44
WHITEWAVE FOODS COMPANY CMN (966244105)	07/01/2013	05/14/2014	50 00	1,545 51	0 00	831 35	714 16
WHITEWAVE FOODS COMPANY CMN (966244105)	07/05/2013	05/21/2014	50 00	1,509 92	0 00	847 07	662 85
WHITEWAVE FOODS COMPANY CMN (966244105)	07/11/2013	05/21/2014	50 00	1,509 92	0 00	869 00	640 92
WHITEWAVE FOODS COMPANY CMN (966244105)	07/11/2013	06/16/2014	50 00	1,597 89	0 00	869 00	728 89
WHITEWAVE FOODS COMPANY CMN (966244105)	07/31/2013	06/16/2014	100 00	3,195 76	0 00	1,871 91	1,323 85
CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP COM (12686C109)	01/13/2014	08/12/2014	250 00	4,486 53	0 00	4,179 83	306 70
CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP COM (12686C109)	01/10/2014	08/12/2014	300 00	5,383 83	0 00	5,070 51	313 32
DEERE & COMPANY CMN (244199105)	11/06/2013	08/12/2014	100 00	8,625 38	0 00	8,187 34	438 04
USG CORP (NEW) CMN (903293405)	04/25/2014	08/12/2014	300 00	8,045 97	0 00	8,846 16	(800 19)
USG CORP (NEW) CMN (903293405) Disallowed Loss							533 46
ZOETIS INC CMN CLASS A (98978V103)	06/02/2014	10/02/2014	100 00	3,665 40	0 00	3,085 03	580 37
ZOETIS INC CMN CLASS A (98978V103)	06/02/2014	10/03/2014	100 00	3,751 42	0 00	3,085 03	666 39
ZOETIS INC CMN CLASS A (98978V103)	06/02/2014	10/09/2014	100 00	3,704 49	0 00	3,085 03	619 46
ZOETIS INC CMN CLASS A (98978V103)	06/03/2014	10/15/2014	100 00	3,509 37	0 00	3,122 00	387 37
ZOETIS INC CMN CLASS A (98978V103)	06/03/2014	10/16/2014	100 00	3,527 18	0 00	3,122 00	405 18
ZOETIS INC CMN CLASS A (98978V103)	06/05/2014	10/17/2014	100 00	3,543 16	0 00	3,141 42	401 74
ZOETIS INC CMN CLASS A (98978V103)	06/06/2014	10/20/2014	100 00	3,549 90	0 00	3,174 37	375 53
ZOETIS INC CMN CLASS A (98978V103)	06/12/2014	11/03/2014	100 00	3,758 16	0 00	3,204 05	554 11

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ZOETIS INC CMN CLASS A (98978V103)	06/16/2014	11/05/2014	100 00	3,913 96	0 00	3,212 79	701 17
USG CORP (NEW) CMN (903293405)	04/28/2014	12/11/2014	200 00	5,486 91	0 00	5,883 38	(396 47)
USG CORP (NEW) CMN (903293405)	04/29/2014	12/15/2014	100 00	2,632 66	0 00	3,000 96	(368 30)
Net Covered Short-Term Disallowed Losses							**533.46**
Net Covered Short-Term Gains (Losses)				**147,187.11**	**0.00**	**121,300.85**	**26,419.72**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EXELIS INC CMN (30162A108)	02/15/2012	01/07/2014	100 00	1,897 05	0 00	1,026 22	870 83
EXELIS INC CMN (30162A108)	02/15/2012	01/09/2014	100 00	1,898 10	0 00	1,026 22	871 88
PERKINELMER INC CMN (714046109)	12/07/2011	01/17/2014	50 00	2,151 96	0 00	963 73	1,188 23
PERKINELMER INC CMN (714046109)	12/08/2011	01/17/2014	150 00	6,455 88	0 00	2,826 35	3,629 53
EXELIS INC CMN (30162A108)	03/09/2012	01/24/2014	100 00	2,013 03	0 00	1,199 49	813 54
EXELIS INC CMN (30162A108)	03/14/2012	01/24/2014	100 00	2,013 03	0 00	1,225 75	787 28
PERKINELMER INC CMN (714046109)	12/08/2011	01/30/2014	100 00	4,356 66	0 00	1,884 23	2,472 43
VCA INC CMN (918194101)	02/25/2011	02/12/2014	50 00	1,585 92	0 00	1,251 37	334 55
EXELIS INC CMN (30162A108)	03/14/2012	02/25/2014	100 00	2,042 65	0 00	1,225 75	816 90
XYLEM INC CMN (98419M100)	01/28/2013	02/25/2014	100 00	3,900 50	0 00	2,793 16	1,107 34
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	03/22/2011	02/27/2014	100 00	3,361 05	0 00	3,154 93	206 12
XYLEM INC CMN (98419M100)	01/31/2013	03/04/2014	100 00	3,890 83	0 00	2,794 17	1,096 66
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	03/30/2011	03/06/2014	100 00	3,308 51	0 00	3,332 49	(23 98)
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	04/14/2011	03/10/2014	100 00	3,330 68	0 00	3,072 70	257 98
XYLEM INC CMN (98419M100)	02/04/2013	03/12/2014	100 00	3,738 48	0 00	2,765 06	973 42

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	04/20/2011	03/24/2014	100 00	3,281 69	0 00	3,125 52	156 17
CORNING INCORPORATED CMN (219350105)	02/07/2012	03/25/2014	200 00	4,029 63	0 00	2,754 08	1,275 55
CORNING INCORPORATED CMN (219350105)	02/08/2012	04/08/2014	300 00	6,355 50	0 00	4,151 91	2,203 59
XYLEM INC CMN (98419M100)	02/11/2013	04/08/2014	100 00	3,612 25	0 00	2,743 23	869 02
XYLEM INC CMN (98419M100)	02/21/2013	04/08/2014	100 00	3,612 25	0 00	2,762 86	849 39
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	05/10/2011	04/14/2014	100 00	3,306 36	0 00	3,049 33	257 03
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	05/12/2011	04/15/2014	100 00	3,356 56	0 00	2,885 70	470 86
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/11/2013	04/28/2014	100 00	1,124 12	0 00	938 35	185 77
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/11/2013	04/30/2014	100 00	1,101 37	0 00	938 35	163 02
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/14/2013	04/30/2014	100 00	1,101 37	0 00	934 12	167 25
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	05/16/2011	05/01/2014	100 00	3,548 75	0 00	2,824 04	724 71
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/24/2013	05/01/2014	100 00	1,105 05	0 00	967 32	137 73
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/24/2013	05/02/2014	100 00	1,093 49	0 00	967 32	126 17
GRAFTECH INTERNATIONAL LTD CMN (384313102)	01/29/2013	05/02/2014	100 00	1,100 42	0 00	964 42	136 00
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/06/2013	05/05/2014	100 00	1,081 64	0 00	958 49	123 15
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	05/18/2011	05/12/2014	100 00	3,491 83	0 00	2,836 15	655 68
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/08/2013	05/12/2014	100 00	1,098 89	0 00	955 33	143 56
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/12/2013	05/12/2014	100 00	1,098 89	0 00	955 77	143 12
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	05/23/2011	05/13/2014	100 00	3,315 81	0 00	2,719 18	596 63
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/12/2013	05/13/2014	100 00	1,086 05	0 00	955 77	130 28
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	03/15/2011	05/16/2014	100 00	3,181 98	0 00	2,909 73	272 25
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	07/07/2011	06/05/2014	100 00	3,227 78	0 00	2,722 83	504 95
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	05/09/2012	06/16/2014	100 00	7,790 43	0 00	6,432 47	1,357 96
LIVE NATION ENTERTAINMENT INC CMN (538034109)	01/27/2011	06/16/2014	200 00	4,699 03	0 00	2,136 06	2,562 97
LIVE NATION ENTERTAINMENT INC CMN (538034109)	01/27/2011	06/17/2014	200 00	4,723 03	0 00	2,094 66	2,628 37

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LIVE NATION ENTERTAINMENT INC CMN (538034109)	02/07/2011	07/10/2014	300 00	7,366 63	0 00	3,235 92	4,130 71
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	06/27/2012	07/14/2014	100 00	7,744 82	0 00	5,586 28	2,158 54
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/24/2012	07/16/2014	50 00	3,874 03	0 00	2,841 42	1,032 61
MICROSOFT CORPORATION CMN (594918104)	04/26/2011	07/22/2014	100 00	4,477 75	0 00	2,609 54	1,868 21
CORNING INCORPORATED CMN (219350105)	02/09/2012	07/28/2014	100 00	2,205 07	0 00	1,380 11	824 96
CORNING INCORPORATED CMN (219350105)	02/13/2012	07/28/2014	100 00	2,205 07	0 00	1,364 74	840 33
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/31/2012	07/28/2014	100 00	7,755 06	0 00	5,828 46	1,926 60
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/23/2013	07/30/2014	100 00	7,730 98	0 00	5,444 59	2,286 39
THE BANK OF NY MELLON CORP CMN (064058100)	08/17/2012	07/31/2014	100 00	3,906 51	0 00	2,272 26	1,634 25
THE BANK OF NY MELLON CORP CMN (064058100)	08/17/2012	07/31/2014	200 00	7,836 64	0 00	4,544 52	3,292 12
THE BANK OF NY MELLON CORP CMN (064058100)	08/23/2012	08/01/2014	100 00	3,882 52	0 00	2,257 74	1,624 78
WPX ENERGY, INC CMN (98212B103)	05/31/2012	08/07/2014	100 00	2,275 01	0 00	1,468 59	806 42
WPX ENERGY, INC CMN (98212B103)	06/05/2012	08/07/2014	100 00	2,275 01	0 00	1,423 53	851 48
ADT CORPORATION (THE) CMN (00101J106)	01/03/2011	08/12/2014	50 00	1,829 99	0 00	1,342 37	487 62
ADT CORPORATION (THE) CMN (00101J106)	08/08/2011	08/12/2014	50 00	1,829 99	0 00	1,265 69	564 30
ADT CORPORATION (THE) CMN (00101J106)	09/20/2012	08/12/2014	50 00	1,829 99	0 00	1,847 51	(17 52)
AXIALL CORP CMN (05463D100)	06/11/2013	08/12/2014	50 00	1,969 75	0 00	2,130 03	(160 28)
AXIALL CORP CMN (05463D100) Disallowed Loss							160 28
AXIALL CORP CMN (05463D100)	06/07/2013	08/12/2014	100 00	3,939 51	0 00	4,303 42	(363 91)
AXIALL CORP CMN (05463D100) Disallowed Loss							363 91
CORNING INCORPORATED CMN (219350105)	02/13/2012	08/12/2014	100 00	2,016 08	0 00	1,364 74	651 34
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/20/2013	08/12/2014	100 00	7,290 68	0 00	5,896 44	1,394 24
GENERAL MOTORS COMPANY CMN (37045V100)	12/05/2011	08/12/2014	100 00	3,364 80	0 00	2,184 52	1,180 28
GENERAL MOTORS COMPANY CMN (37045V100)	12/06/2011	08/12/2014	100 00	3,364 80	0 00	2,171 99	1,192 81
MICROSOFT CORPORATION CMN (594918104)	04/26/2011	08/12/2014	100 00	4,353 72	0 00	2,609 54	1,744 18

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	04/27/2011	08/12/2014	100 00	4,353 72	0 00	2,627 74	1,725 98
PFIZER INC CMN (717081103)	02/11/2013	08/12/2014	200 00	5,617 87	0 00	5,434 90	182 97
THE MOSAIC COMPANY CMN (61945C103)	08/07/2013	08/12/2014	100 00	4,654 32	0 00	4,116 48	537 84
VCA INC CMN (918194101)	02/25/2011	08/12/2014	50 00	1,898 06	0 00	1,251 37	646 69
VCA INC CMN (918194101)	03/17/2011	08/12/2014	150 00	5,694 16	0 00	3,647 39	2,046 77
WPX ENERGY, INC CMN (98212B103)	06/14/2012	08/12/2014	100 00	2,301 17	0 00	1,391 82	909 35
WPX ENERGY, INC CMN (98212B103)	06/18/2012	08/12/2014	100 00	2,301 17	0 00	1,480 15	821 02
WPX ENERGY, INC CMN (98212B103)	07/18/2012	08/12/2014	100 00	2,301 17	0 00	1,478 52	822 65
WPX ENERGY, INC CMN (98212B103)	09/04/2012	08/12/2014	100 00	2,301 17	0 00	1,587 37	713 80
WPX ENERGY, INC CMN (98212B103)	09/05/2012	08/12/2014	100 00	2,337 14	0 00	1,581 64	755 50
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/26/2013	08/19/2014	100 00	851 10	0 00	791 91	59 19
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/27/2013	08/19/2014	100 00	851 10	0 00	717 94	133 16
WPX ENERGY, INC CMN (98212B103)	11/06/2012	09/03/2014	100 00	2,644 69	0 00	1,583 56	1,061 13
WPX ENERGY, INC CMN (98212B103)	12/11/2012	09/11/2014	100 00	2,544 26	0 00	1,559 58	984 68
MICROSOFT CORPORATION CMN (594918104)	04/28/2011	09/12/2014	100 00	4,670 91	0 00	2,648 88	2,022 03
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	09/26/2014	50 00	2,305 23	0 00	1,290 41	1,014 82
VECTRUS, INC CMN (92242T101)	03/16/2012	09/29/2014	0 89	18 44	0 00	0 00	18 44
VECTRUS, INC CMN (92242T101)	05/08/2012	10/03/2014	3 00	67 91	0 00	36 80	31 11
VECTRUS, INC CMN (92242T101)	05/04/2012	10/03/2014	5 00	113 18	0 00	78 64	34 54
VECTRUS, INC CMN (92242T101)	03/16/2012	10/03/2014	5 00	113 19	0 00	81 93	31 26
VECTRUS, INC CMN (92242T101)	03/01/2013	10/03/2014	5 00	113 19	0 00	70 72	42 47
VECTRUS, INC CMN (92242T101)	04/10/2012	10/03/2014	6 00	135 82	0 00	76 32	59 50
VECTRUS, INC CMN (92242T101)	03/01/2013	10/03/2014	6 00	135 82	0 00	70 78	65 04
VECTRUS, INC CMN (92242T101)	03/19/2012	10/03/2014	11 00	249 00	0 00	164 19	84 81
VECTRUS, INC CMN (92242T101)	01/25/2013	10/03/2014	11 00	249 00	0 00	151 38	97 62

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VECTRUS, INC CMN (92242T101)	04/13/2012	10/03/2014	11 00	249 01	0 00	153 70	95 31
WPX ENERGY, INC CMN (98212B103)	12/17/2012	10/03/2014	100 00	2,363 22	0 00	1,571 85	791 37
GRAFTECH INTERNATIONAL LTD CMN (384313102)	02/28/2013	10/07/2014	100 00	392 35	0 00	720 91	(328 56)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	03/01/2013	10/07/2014	100 00	392 35	0 00	713 61	(321 26)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	03/01/2013	10/07/2014	100 00	414 55	0 00	695 78	(281 23)
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	10/07/2014	50 00	2,281 52	0 00	1,284 43	997 09
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	10/07/2014	50 00	2,281 52	0 00	1,290 41	991 11
GRAFTECH INTERNATIONAL LTD CMN (384313102)	04/15/2013	10/08/2014	50 00	180 82	0 00	351 18	(170 36)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	05/09/2013	10/08/2014	50 00	180 82	0 00	392 22	(211 40)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	08/07/2013	10/08/2014	50 00	182 89	0 00	369 77	(186 88)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	05/09/2013	10/08/2014	50 00	182 89	0 00	392 22	(209 33)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	04/11/2013	10/08/2014	100 00	356 70	0 00	766 84	(410 14)
GRAFTECH INTERNATIONAL LTD CMN (384313102)	08/07/2013	10/09/2014	250 00	907 02	0 00	1,848 85	(941 83)
THE BANK OF NY MELLON CORP CMN (064058100)	08/29/2012	10/15/2014	100 00	3,557 63	0 00	2,259 64	1,297 99
THE BANK OF NY MELLON CORP CMN (064058100)	09/05/2012	10/15/2014	100 00	3,557 63	0 00	2,256 89	1,300 74
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	10/23/2014	50 00	2,261 42	0 00	1,284 43	976 99
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	10/23/2014	50 00	2,261 42	0 00	1,281 41	980 01
VCA INC CMN (918194101)	04/29/2011	10/24/2014	50 00	2,153 58	0 00	1,231 40	922 18
VCA INC CMN (918194101)	03/17/2011	10/24/2014	50 00	2,153 59	0 00	1,215 80	937 79
VCA INC CMN (918194101)	04/29/2011	11/05/2014	50 00	2,315 10	0 00	1,231 40	1,083 70
THE BANK OF NY MELLON CORP CMN (064058100)	09/12/2012	12/08/2014	100 00	4,116 23	0 00	2,295 14	1,821 09
THE BANK OF NY MELLON CORP CMN (064058100)	10/16/2012	12/10/2014	100 00	4,109 66	0 00	2,353 59	1,756 07
CORNING INCORPORATED CMN (219350105)	02/14/2012	12/30/2014	100 00	2,311 86	0 00	1,365 04	946 82
CORNING INCORPORATED CMN (219350105)	02/16/2012	12/30/2014	100 00	2,311 86	0 00	1,378 57	933 29
CORNING INCORPORATED CMN (219350105)	03/06/2012	12/30/2014	100 00	2,311 86	0 00	1,276 25	1,035 61

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CORNING INCORPORATED CMN (219350105)	03/12/2012	12/30/2014	100 00	2,311 86	0 00	1,330 87	980 99
CORNING INCORPORATED CMN (219350105)	02/22/2012	12/30/2014	200 00	4,623 72	0 00	2,721 80	1,901 92
CORNING INCORPORATED CMN (219350105)	03/05/2012	12/30/2014	200 00	4,623 72	0 00	2,579 84	2,043 88
CORNING INCORPORATED CMN (219350105)	03/08/2012	12/30/2014	200 00	4,623 72	0 00	2,669 78	1,953 94
CORNING INCORPORATED CMN (219350105)	03/12/2012	12/30/2014	500 00 [9]	11,559 29	0 00	6,654 35	4,904 94
CORNING INCORPORATED CMN (219350105)	03/05/2012	12/30/2014	550 00 [9]	12,715 22	0 00	7,094 56	5,620 66
CORNING INCORPORATED CMN (219350105)	03/06/2012	12/30/2014	900 00 [9]	20,806 73	0 00	11,486 25	9,320 48
CORNING INCORPORATED CMN (219350105)	03/08/2012	12/30/2014	1,350 00 [9]	31,210 09	0 00	18,021 02	13,189 07
LIVE NATION ENTERTAINMENT INC CMN (538034109)	02/07/2011	12/30/2014	100 00	2,668 14	0 00	1,078 64	1,589 50
LIVE NATION ENTERTAINMENT INC CMN (538034109)	02/07/2011	12/30/2014	100 00	2,668 14	0 00	1,078 24	1,589 90
LIVE NATION ENTERTAINMENT INC CMN (538034109)	02/28/2011	12/30/2014	100 00	2,668 14	0 00	1,064 62	1,603 52
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/01/2011	12/30/2014	100 00	2,668 14	0 00	1,100 50	1,567 64
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/15/2011	12/30/2014	100 00	2,668 14	0 00	1,000 02	1,668 12
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/29/2011	12/30/2014	100 00	2,668 14	0 00	995 69	1,672 45
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/15/2011	12/30/2014	100 00 [9]	2,668 14	0 00	1,000 02	1,668 12
LIVE NATION ENTERTAINMENT INC CMN (538034109)	12/20/2011	12/30/2014	150 00	4,002 21	0 00	1,240 47	2,761 74
LIVE NATION ENTERTAINMENT INC CMN (538034109)	02/08/2011	12/30/2014	200 00	5,336 28	0 00	2,177 26	3,159 02
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/16/2011	12/30/2014	200 00	5,336 28	0 00	1,996 52	3,339 76
LIVE NATION ENTERTAINMENT INC CMN (538034109)	09/22/2011	12/30/2014	300 00	8,004 42	0 00	2,440 35	5,564 07
LIVE NATION ENTERTAINMENT INC CMN (538034109)	09/22/2011	12/30/2014	300 00 [9]	8,004 42	0 00	2,440 35	5,564 07
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/01/2011	12/30/2014	400 00 [9]	10,672 56	0 00	4,402 00	6,270 56
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/23/2011	12/30/2014	600 00 [9]	16,008 85	0 00	5,992 20	10,016 65
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/16/2011	12/30/2014	1,000 00 [9]	26,681 41	0 00	9,982 60	16,698 81
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/28/2011	12/30/2014	1,100 00 [9]	29,349 55	0 00	11,067 98	18,281 57

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LIVE NATION ENTERTAINMENT INC CMN (538034109)	03/29/2011	12/30/2014	1,100 00 [9]	29,349 55	0 00	10,952 59	18,396 96
LIVE NATION ENTERTAINMENT INC CMN (538034109)	08/08/2011	12/30/2014	2,200 00 [9]	58,699 10	0 00	19,370 12	39,328 98
MICROSOFT CORPORATION CMN (594918104)	04/29/2011	12/30/2014	50 00	2,349 13	0 00	1,281 41	1,067 72
MICROSOFT CORPORATION CMN (594918104)	05/02/2011	12/30/2014	100 00	4,698 26	0 00	2,557 55	2,140 71
MICROSOFT CORPORATION CMN (594918104)	05/04/2011	12/30/2014	100 00	4,698 26	0 00	2,597 92	2,100 34
MICROSOFT CORPORATION CMN (594918104)	05/09/2011	12/30/2014	100 00	4,698 26	0 00	2,581 00	2,117 26
MICROSOFT CORPORATION CMN (594918104)	11/12/2012	12/30/2014	300 00 [9]	14,094 77	0 00	8,472 72	5,622 05
MICROSOFT CORPORATION CMN (594918104)	07/11/2011	12/30/2014	450 00 [9]	21,142 15	0 00	12,051 18	9,090 97
VCA INC CMN (918194101)	06/06/2011	12/30/2014	100 00	4,901 53	0 00	2,241 20	2,660 33
VCA INC CMN (918194101)	07/29/2011	12/30/2014	100 00	4,901 53	0 00	1,977 27	2,924 26
VCA INC CMN (918194101)	08/08/2011	12/30/2014	100 00	4,901 53	0 00	1,641 05	3,260 48
VCA INC CMN (918194101)	06/09/2011	12/30/2014	200 00 [9]	9,803 06	0 00	4,389 22	5,413 84
VCA INC CMN (918194101)	06/21/2011	12/30/2014	300 00	14,704 60	0 00	5,832 75	8,871 85
VCA INC CMN (918194101)	07/29/2011	12/30/2014	500 00 [9]	24,507 66	0 00	9,886 35	14,621 31
VCA INC CMN (918194101)	06/21/2011	12/30/2014	1,200 00 [9]	58,818 38	0 00	23,331 00	35,487 38
Net Covered Long-Term Disallowed Losses							**524.19**
Net Covered Long-Term Gains (Losses)				**793,218.28**	**0.00**	**426,279.57**	**367,462.90**
NonCovered Long-Term Gains (Losses)							
LIVE NATION ENTERTAINMENT INC CMN (538034109)	12/10/2010	01/30/2014	100 00	2,123 07	0 00	1,143 08	979 99
VCA INC CMN (918194101)	12/10/2010	02/12/2014	150 00	4,757 75	0 00	3,479 27	1,278 48
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	12/08/2010	07/02/2014	100 00	7,366 92	0 00	4,942 21	2,424 71
ADT CORPORATION (THE) CMN (00101J106)	12/30/2010	08/12/2014	50 00	1,829 99	0 00	1,334 51	495 48
ADT CORPORATION (THE) CMN (00101J106)	12/10/2010	08/12/2014	100 00	3,659 98	0 00	2,611 92	1,048 06
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	12/08/2010	08/12/2014	100 00	7,197 53	0 00	4,942 21	2,255 32

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	12/08/2010	09/02/2014	100 00	7,311 20	0 00	4,942 21	2,368 99
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	12/08/2010	10/31/2014	100 00	7,345 47	0 00	4,942 21	2,403 26
Net NonCovered Long-Term Gains (Losses)				**41,591.91**	**0.00**	**28,337.62**	**13,254.29**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short Term Gains (Losses)	787 41	Net Covered Long Term Gains (Losses)	197,997 62	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short Term Gains (Losses)	0 00	Net NonCovered Long Term Gains (Losses)	71,409 36		
Net 1099B Non Reportable Short Term Gains (Losses)	0 00	Net 1099B Non Reportable Long Term Gains (Losses)	0 00		
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**787 41**	**Total Long-Term Gains (Losses)**	**269,406.98**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BLACKBERRY LTD CMN (09228F103)	07/01/2013	01/07/2014	220 00	1,863 47	0 00	2,294 60	(431 13)
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	07/01/2013	01/21/2014	70 00	2,439 91	0 00	1,253 30	1,186 61
EBAY INC CMN (278642103)	11/19/2013	08/14/2014	45 00	2,382 69	0 00	2,350 76	31 93
Net Covered Short-Term Gains (Losses)				**6,686.07**	**0.00**	**5,898.66**	**787.41**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	06/10/2011	01/03/2014	65 00	1,162 28	0 00	491 10	671 18
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	07/13/2011	01/03/2014	70 00	1,251 70	0 00	503 10	748 60
BLACKBERRY LTD CMN (09228F103)	08/27/2012	01/07/2014	25 00	211 76	0 00	178 06	33 70
BLACKBERRY LTD CMN (09228F103)	08/31/2012	01/07/2014	170 00	1,439 96	0 00	1,140 19	299 77
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	06/15/2012	01/21/2014	95 00	3,311 31	0 00	3,149 25	162 06
HEWLETT-PACKARD CO CMN (428236103)	08/19/2011	01/30/2014	10 00	292 49	0 00	236 84	55 65
BARD C R INC N J CMN (067383109)	12/14/2011	02/25/2014	13 00	1,847 80	0 00	1,100 68	747 12

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CORNING INCORPORATED CMN (219350105)	09/22/2011	03/25/2014	195 00	3,924 97	0 00	2,362 43	1,562 54
HEWLETT-PACKARD CO CMN (428236103)	08/19/2011	03/25/2014	45 00	1,460 37	0 00	1,065 80	394 57
HEWLETT-PACKARD CO CMN (428236103)	06/15/2012	03/25/2014	115 00	3,732 05	0 00	2,504 70	1,227 35
ANTHEM, INC CMN (94973V107)	08/20/2012	08/14/2014	35 00	3,903 81	0 00	2,005 78	1,898 03
BARD C R INC N J CMN (067383109)	12/14/2011	08/14/2014	25 00	3,770 66	0 00	2,116 68	1,653 98
C H ROBINSON WORLDWIDE INC CMN (12541W209)	02/26/2013	08/14/2014	55 00	3,687 66	0 00	3,083 61	604 05
CISCO SYSTEMS, INC CMN (17275R102)	05/12/2011	08/14/2014	115 00	2,824 91	0 00	1,950 32	874 59
CISCO SYSTEMS, INC CMN (17275R102)	05/31/2011	08/14/2014	260 00	6,386 76	0 00	4,335 87	2,050 89
ORACLE CORPORATION CMN (68389X105)	06/21/2013	08/14/2014	190 00	7,613 13	0 00	5,789 38	1,823 75
THE BANK OF NY MELLON CORP CMN (064058100)	09/23/2011	08/14/2014	65 00	2,494 64	0 00	1,223 06	1,271 58
WELLS FARGO & CO (NEW) CMN (949746101)	04/26/2013	08/14/2014	15 00	755 98	0 00	564 86	191 12
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/12/2012	10/27/2014	20 00	1,970 75	0 00	1,394 27	576 48
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/13/2012	10/28/2014	5 00	489 56	0 00	349 64	139 92
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/12/2012	10/28/2014	10 00	979 12	0 00	697 13	281 99
BARD C R INC N J CMN (067383109)	12/14/2011	10/30/2014	20 00	3,208 54	0 00	1,693 35	1,515 19
MICROSOFT CORPORATION CMN (594918104)	03/04/2011	11/05/2014	20 00	950 46	0 00	518 80	431 66
BARD C R INC N J CMN (067383109)	04/13/2012	11/18/2014	1 00	167 27	0 00	96 42	70 85
BARD C R INC N J CMN (067383109)	12/14/2011	11/18/2014	12 00	2,007 26	0 00	1,016 01	991 25
BARD C R INC N J CMN (067383109)	04/12/2012	11/18/2014	17 00	2,843 61	0 00	1,639 38	1,204 23
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	07/01/2013	12/23/2014	140 00	4,740 72	0 00	2,506 60	2,234 12
ANTHEM, INC CMN (036752103)	08/20/2012	12/31/2014	5 00	631 02	0 00	286 54	344 48
ANTHEM, INC CMN (036752103)	08/21/2012	12/31/2014	90 00	11,358 38	0 00	5,185 92	6,172 46
ANTHEM, INC CMN (036752103)	08/21/2012	12/31/2014	380 00 [9]	47,957 60	0 00	21,896 09	26,061 51
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	06/28/2013	12/31/2014	995 00 [9]	34,275 50	0 00	17,320 96	16,954 54

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
BARD C R INC N J CMN (067383109)	04/13/2012	12/31/2014	190 00 [9]	31,740 54	0 00	18,320 22	13,420 32
MICROSOFT CORPORATION CMN (594918104)	03/04/2011	12/31/2014	350 00 [9]	16,325 63	0 00	9,078 93	7,246 70
MICROSOFT CORPORATION CMN (594918104)	03/23/2011	12/31/2014	530 00 [9]	24,721 67	0 00	13,422 83	11,298 84
MICROSOFT CORPORATION CMN (594918104)	03/04/2011	12/31/2014	650 00	30,319 03	0 00	16,860 87	13,458 16
SIGMA-ALDRICH CORPORATION CMN (826552101)	04/03/2013	12/31/2014	20 00	2,745 14	0 00	1,528 65	1,216 49
SIGMA-ALDRICH CORPORATION CMN (826552101)	04/03/2013	12/31/2014	195 00 [9]	26,765 10	0 00	14,904 38	11,860 72
STRYKER CORP CMN (863667101)	10/10/2012	12/31/2014	5 00	473 40	0 00	260 19	213 21
STRYKER CORP CMN (863667101)	09/08/2011	12/31/2014	25 00	2,366 97	0 00	1,236 42	1,130 55
STRYKER CORP CMN (863667101)	11/01/2011	12/31/2014	25 00	2,366 97	0 00	1,166 95	1,200 02
STRYKER CORP CMN (863667101)	10/10/2012	12/31/2014	860 00 [9]	81,423 77	0 00	44,752 08	36,671 69
THE BANK OF NY MELLON CORP CMN (064058100)	09/23/2011	12/31/2014	225 00	9,165 15	0 00	4,233 67	4,931 48
THE BANK OF NY MELLON CORP CMN (064058100)	09/23/2011	12/31/2014	705 00 [9]	28,717 47	0 00	13,265 49	15,451 98
U S BANCORP CMN (902973304)	03/23/2011	12/31/2014	238 00 [9]	10,732 25	0 00	6,278 44	4,453 81
WAL MART STORES INC CMN (931142103)	03/23/2011	12/31/2014	64 00 [9]	5,512 84	0 00	3,318 40	2,194 44
Net Covered Long-Term Gains (Losses)				**435,027.96**	**0.00**	**237,030.34**	**197,997.62**
NonCovered Long-Term Gains (Losses)							
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	12/08/2010	01/21/2014	185 00	6,448 34	0 00	6,870 18	(421 84)
BECTON DICKINSON & CO CMN (075887109)	12/08/2010	02/20/2014	10 00	1,145 29	0 00	810 60	334 69
BARD C R INC N J CMN (067383109)	12/08/2010	02/25/2014	12 00	1,705 66	0 00	1,035 75	669 91
CORNING INCORPORATED CMN (219350105)	12/08/2010	03/25/2014	235 00	4,730 09	0 00	4,465 00	265 09
BECTON DICKINSON & CO CMN (075887109)	12/08/2010	08/14/2014	10 00	1,178 57	0 00	810 60	367 97
COCA-COLA COMPANY (THE) CMN (191216100)	12/08/2010	08/14/2014	205 00	8,206 96	0 00	6,589 48	1,617 48
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	12/08/2010	08/14/2014	100 00	5,392 88	0 00	1,971 83	3,421 05
CONOCOPHILLIPS CMN (20825C104)	12/08/2010	08/14/2014	110 00	8,863 61	0 00	5,478 02	3,385 59

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EXXON MOBIL CORPORATION CMN (30231G102)	12/08/2010	08/14/2014	10 00	986 67	0 00	719 00	267 67
JOHNSON & JOHNSON CMN (478160104)	12/08/2010	08/14/2014	65 00	6,601 90	0 00	4,047 55	2,554 35
MICROSOFT CORPORATION CMN (594918104)	12/08/2010	08/14/2014	225 00	9,910 78	0 00	6,034 50	3,876 28
PEPSICO INC CMN (713448108)	12/08/2010	08/14/2014	145 00	13,296 20	0 00	9,396 00	3,900 20
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	12/17/2010	08/14/2014	55 00	4,488 45	0 00	3,570 00	918 45
STRYKER CORP CMN (863667101)	12/08/2010	08/14/2014	80 00	6,497 45	0 00	4,110 90	2,386 55
SYSCO CORPORATION CMN (871829107)	12/08/2010	08/14/2014	110 00	4,145 80	0 00	3,211 10	934 70
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	12/08/2010	08/14/2014	140 00	4,956 59	0 00	1,779 34	3,177 25
U S BANCORP CMN (902973304)	06/18/2010	08/14/2014	65 00	2,699 39	0 00	1,524 89	1,174 50
VIACOM INC CMN CLASS B (92553P201)	12/08/2010	08/14/2014	15 00	1,209 12	0 00	593 24	615 88
MICROSOFT CORPORATION CMN (594918104)	12/08/2010	10/03/2014	95 00	4,362 51	0 00	2,547 90	1,814 61
BECTON DICKINSON & CO CMN (075887109)	12/08/2010	10/06/2014	35 00	4,338 85	0 00	2,837 10	1,501 75
CLOROX CO (THE) (DELAWARE) CMN (189054109)	12/08/2010	10/27/2014	100 00	9,853 73	0 00	6,230 22	3,623 51
STRYKER CORP CMN (863667101)	12/08/2010	11/03/2014	60 00	5,212 90	0 00	3,083 17	2,129 73
MICROSOFT CORPORATION CMN (594918104)	12/08/2010	11/05/2014	90 00	4,277 05	0 00	2,413 80	1,863 25
STRYKER CORP CMN (863667101)	12/08/2010	12/10/2014	35 00	3,243 21	0 00	1,798 52	1,444 69
STRYKER CORP CMN (863667101)	12/08/2010	12/31/2014	10 00	946 79	0 00	513 86	432 93
U S BANCORP CMN (902973304)	12/08/2010	12/31/2014	20 00	901 87	0 00	489 00	412 87
U S BANCORP CMN (902973304)	06/18/2010	12/31/2014	153 00	6,899 31	0 00	3,589 36	3,309 95
U S BANCORP CMN (902973304)	06/21/2010	12/31/2014	234 00	10,551 88	0 00	5,581 30	4,970 58
U S BANCORP CMN (902973304)	12/13/2010	12/31/2014	305 00 [9]	13,753 52	0 00	8,033 12	5,720 40
U S BANCORP CMN (902973304)	12/13/2010	12/31/2014	575 00 [9]	25,928 76	0 00	15,144 41	10,784 35
WAL MART STORES INC CMN (931142103)	04/12/2010	12/31/2014	56 00	4,823 73	0 00	3,062 42	1,761 31

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
WAL MART STORES INC CMN (931142103)	12/08/2010	12/31/2014	70 00	6,029 66	0 00	3,836 00	2,193 66
Net NonCovered Long-Term Gains (Losses)				**193,587.52**	**0.00**	**122,178.16**	**71,409.36**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment