



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation Harris & Frances Block Foundation Inc		A Employer identification number 31-1784246	
Number and street (or P O box number if mail is not delivered to street address) c/o Betsy Chodorkoff 491 Ennis Hill		B Telephone number (see instructions) (802) 426-3806	
City or town, state or province, country, and ZIP or foreign postal code Marshfield, VT 05658		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 10,206,638		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	820,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	20,962	20,962		
	4 Dividends and interest from securities.	143,668	143,668		
	5a Gross rents	499	499		
	b Net rental income or (loss) _____ -1,607				
	6a Net gain or (loss) from sale of assets not on line 10 	566,377			
	b Gross sales price for all assets on line 6a _____ 1,873,296				
	7 Capital gain net income (from Part IV, line 2) . . .		566,377		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) 	-611	1,495		
	12 Total. Add lines 1 through 11	1,550,895	733,001		
	13 Compensation of officers, directors, trustees, etc	49,000	4,900		35,500
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) 	13,495	4,032		
	c Other professional fees (attach schedule) 	51,073	51,073		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	18,701	2,343		2,303
	19 Depreciation (attach schedule) and depletion 	1,358			
	20 Occupancy				
	21 Travel, conferences, and meetings	2,446	357		1,755
	22 Printing and publications	306			
	23 Other expenses (attach schedule) 	2,527	613		50
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	138,906	63,318		39,608
	25 Contributions, gifts, grants paid	445,320			445,320
	26 Total expenses and disbursements. Add lines 24 and 25	584,226	63,318		484,928
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	966,669			
	b Net investment income (if negative, enter -0-)		669,683		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,966	2,087	2,087
	2	Savings and temporary cash investments	1,048,625	890,845	890,845
	3	Accounts receivable ▶ <u>5,212</u>			
		Less allowance for doubtful accounts ▶ _____		5,212	5,212
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	113,520	443,227	443,227
	b	Investments—corporate stock (attach schedule)	6,370,636	6,968,028	6,968,028
	c	Investments—corporate bonds (attach schedule).	325,731	925,300	925,300
	11	Investments—land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	963,842	966,425	966,425
	14	Land, buildings, and equipment basis ▶ <u>7,355</u>			
		Less accumulated depreciation (attach schedule) ▶ <u>5,708</u>	1,425	1,647	1,647
	15	Other assets (describe ▶ _____)	2,159	3,867	3,867
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,827,904	10,206,638	10,206,638
Liabilities	17	Accounts payable and accrued expenses	7,893	8,115	
	18	Grants payable	15,000		
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	22,893	8,115	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	8,805,011	10,198,523	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	8,805,011	10,198,523	
	31	Total liabilities and net assets/fund balances (see instructions)	8,827,904	10,206,638	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 8,805,011
2	Enter amount from Part I, line 27a	2 966,669
3	Other increases not included in line 2 (itemize) ▶ _____	3 427,218
4	Add lines 1, 2, and 3	4 10,198,898
5	Decreases not included in line 2 (itemize) ▶ _____	5 375
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 10,198,523

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly traded securities			
b	Publicly traded securities - CGD	P	2013-12-31	2014-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,870,180	0	1,306,919	563,261
b 3,116	0	0	3,116
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	563,261
b 0	0	0	3,116
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	566,377
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	450,802	7,728,420	0 058330
2012	396,427	5,345,595	0 074160
2011	354,794	4,908,849	0 072276
2010	281,254	5,080,472	0 055360
2009	251,726	3,791,509	0 066392

2	Total of line 1, column (d).	2	0 326518
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 065304
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	9,477,624
5	Multiply line 4 by line 3.	5	618,927
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	6,697
7	Add lines 5 and 6.	7	625,624
8	Enter qualifying distributions from Part XII, line 4.	8	484,928

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		113,394	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		20	
3		Add lines 1 and 2.		313,394	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		513,394	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	6,600
b		Exempt foreign organizations—tax withheld at source		6b	
c		Tax paid with application for extension of time to file (Form 8868)		6c	10,200
d		Backup withholding erroneously withheld		6d	
7		Total credits and payments. Add lines 6a through 6d.		7	16,800
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.		8	28
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	3,378
11		Enter the amount of line 10 to be credited to 2015 estimated tax. 3,378 Refunded		11	

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	Yes	No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2		No
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		5		No
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions): VT				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶www blockfound org				
14	The books are in care of ▶Tapia & Huckabay PC Telephone no ▶(802) 870-7086 Located at ▶11 Main Street Suite B211 Vergennes VT ZIP +4 ▶05491			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>			No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?. 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY M SLUYS	President	3,000	0	0
197 Minglewood Road	1 00			
Westfield,NC 27053				
BETSY M CHODORKOFF	Treasurer	43,000	0	0
491 Ennis Hill Road	30 00			
Marshfield,VT 05658				
DIANE MAURER SCHATZ	Secretary	3,000	0	0
2261 Jasmine Street	1 00			
Denver,CO 80207				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	8,047,337
b	Average of monthly cash balances.	1b	1,574,616
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	9,621,953
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	9,621,953
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	144,329
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,477,624
6	Minimum investment return. Enter 5% of line 5.	6	473,881

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	473,881
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	13,394
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	13,394
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	460,487
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	460,487
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	460,487

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	484,928
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	484,928
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	484,928

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				460,487
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009.	63,239			
b From 2010.	31,412			
c From 2011.	113,972			
d From 2012.	130,925			
e From 2013.	70,981			
f Total of lines 3a through e.	410,529			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 484,928				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				460,487
e Remaining amount distributed out of corpus	24,441			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	434,970			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	63,239			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	371,731			
10 Analysis of line 9				
a Excess from 2010. . . .	31,412			
b Excess from 2011. . . .	113,972			
c Excess from 2012. . . .	130,925			
d Excess from 2013. . . .	70,981			
e Excess from 2014. . . .	24,441			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	445,320
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

<p>d Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p>		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.		1a(1)	No
(2) Other assets.		1a(2)	No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.		1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)	No
(3) Rental of facilities, equipment, or other assets.		1b(3)	No
(4) Reimbursement arrangements.		1b(4)	No
(5) Loans or loan guarantees.		1b(5)	No
(6) Performance of services or membership or fundraising solicitations.		1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No
<p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2015-11-03

* * * * *

Signature of officer or trustee

Date


Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No


**Paid
Preparer
Use Only**

Print/Type preparer's name WILLIAM S HUCKABAY CPA	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
Firm's name <input checked="checked" type="checkbox"/> Tapia & Huckabay PC			Firm's EIN <input checked="checked" type="checkbox"/>	
Firm's address <input checked="checked" type="checkbox"/> PO Box 38 Vergennes, VT 05491			Phone no (802) 870-7086	


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Local Motion 1 Steele St 103 Burlington,VT 05401		PC	Bike RecycleVermont	1,000
Blue Ridge Discovery Center 253 Little Bighorn Lane Independence,VA 24363		PC	General Support	15,000
Blue Ridge Environmental Defense League PO Box 88 Glendale Springs,NC 28629		PC	EnvironmentalTurning the TideJustice Campaign	15,000
Center for an Agricultural Economy PO Box 422 Hardwick,VT 05843		PC	Access andCommunity FoodEducation	15,000
Center for Sustainable Systems PO Box 1345 Montpelier,VT 05601		PC	General Support	10,000
Chestnut Creek School of the Arts 100 North Main Street Galax,VA 24333		PC	AppalachianGalax JuniorMusicians	5,000
Citizens Awareness Network PO Box 83 Shelburne Falls,MA 01370		PC	General Support	10,000
Composting Association of Vermont PO Box 112 Troy,VT 05868		PC	General Support	8,000
Connecticut Audubon 1361 Main Street Glastonbury,CT 06033		PC	programScience in NatureHartford Grades 4&5	10,000
Denver Urban Gardens PO Box 181396 Denver,CO 80218		PC	Farm to SchoolSprout City FarmsUrban Gardens	7,500
EarthWalk PO Box 21 Plainfield,VT 05667		PC	Teen CommunityEngagement Program	10,000
Elm Hill Inc PO Box 248 Plainfield,VT 05667		PC	Maple HillFarm to Table	8,570
Friends of Kings County State Park PO Box 83 Clover,SC 29710		PC	General Support	8,000
Global Greengrants Fund 2840 Wilderness Place Suite A Boulder,CO 80301		PC	Niger Delta	10,000
Governors Institute of Vermont 4049 Williston Road 4 South Burlington,VT 05403		PC	Summer FoodSystem Institute	2,750
Total  3a				445,320


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Green Mountain Farm to School 194 Main Street Ste 301 Newport,VT 05855		PC	General Support	10,000
Greyston Foundation 21 Park Ave Yonkers,NY 10703		PC	Yonkers CommunityGardens	4,000
Hunger Free Vermont 38 Eastwood Drive Ste 100 South Burlington,VT 05403		PC	Agriculture forCommunity SupportedLow Income Vermonters	7,500
Institute for Policy Studies 1112 16th St NW Suite 600 Washington,DC 20036		PC	Other Words	10,000
Institute for Social Ecology PO Box 93 Plainfield,VT 05667		PC	General Support	7,000
Intervale Center 180 Intervale Road Burlington,VT 05401		PC	Gleaning Project	10,000
Junior Appalachaian Musicians Inc PO Box 44 Independence,VA 24348		PC	General Support	15,000
Migrant Justice 294 North Winooski Ave Burlington,VT 05401		PC	Wheelock MountainFarm	12,000
Minglewood Farm and Nature Preserve 238 Minglewood Road Westfield,NC 27053		PC	General Support	10,000
New England Grassroots Environment Fund PO Box 1057 Montpelier,VT 05602		PC	General Support	15,000
New Organizing Institute Ed Fund 1666 Connecticut Ave STE 500 Washington,DC 20009		PC	350 orgVT	10,000
Peace and Justice Center 60 Lake Street Suite 1C Burlington,VT 05401		PC	Film andCost of WarSpeaker Series	7,000
Planned Parenthood of NNE 128 Lakeside Ave Suite 301 Burlington,VT 05401		PC	General Support	15,000
Planned Parenthood of the Rocky Mountains 7155 East 38th Ave Denver,CO 80207		PC	General Support	10,000
Rutland Area Farm and Food Link PO Box 284 Rutland,VT 05702		PC	General Support	7,500
Total  3a				445,320

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Ralston Valley Music Booster 13355 W 80th Ave Arvada, CO 80005		PC	General Support	1,000
Salvation Farms PO Box 1174 Morrisville, VT 05661		PC	GleaningStatewideCooperative	10,000
Sierra Club Foundation 85 Second Street Suite 750 San Francisco, CA 94105		PC	New Power to the OldVirginia chapterDominion Campaign	15,000
Sterling College 16 Sterling Drive Craftsbury, VT 05827		PC	Sustainable AgricultureRian Fried Center forand Food Systems	10,000
Sustainable Energy Resources Group 432 Ulman Road Thetford, VT 05075		PC	General Support	8,500
Toxic Action Center 141 Main Street Suite 6 Montpelier, VT 05602		PC	General Support	10,000
Toxic Free North Carolina 115 S St Marys St Suite D Raleigh, NC 27603		PC	General Support	15,000
Twin Valley Senior Center PO Box 143 Marshfield, VT 05658		PC	Senior CenterChallenge Grant	10,000
UVM Agricultural College 217 Waterman Building 85 So Prospec Burlington, VT 05405		PC	Closer toHuertas,Home project	5,000
Vermont Community Garden Network 12 North St Suite 5 Burlington, VT 05401		PC	Garden Educationand Outreach	10,000
Vermont Sustainable Jobs Fund 3 Pitkin Court Suite 301 East Montpelier, VT 05602		PC	Close the LoopMaterials	5,000
Vermont Works for Women 32 Mallets Bay Avenue Winooski, VT 05404		PC	Food ServiceFRESHTraining Program	10,000
Vermont Natural Resource Center 9 Bailey Ave Montpelier, VT 05602		PC	General Support	15,000
VT Public Interest Research Group Ed Fund 141 Main St Montpelier, VT 05602		PC	Energy FutureVermont's CleanProgram	15,000
Western Colorado Congress PO Box 1931 Grand Junction, CO 81502		PC	General Support	10,000
Total  3a				445,320

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Cinch Coalition PO Box 2732 Wise, VA 24293		PC	General Support	10,000
Total  3a				445,320

TY 2014 Accounting Fees Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Wallace W Tapia, PC Accounting/Tax	13,495	4,032		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Laptop computer	2009-12-04	2,440	2,004	SL	5 00	436			
Laptop computer	2010-09-29	962	962	SL	3 00				
Laptop computer	2012-02-04	2,373	1,384	SL	3 00	659			
Mac computer	2014-07-18	1,580		SL	3 00	263			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly traded securities		Purchased			1,873,296	1,306,919			566,377	

**TY 2014 Investments Corporate
Bonds Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Amgen Inc 100,000	101,950	101,950
Ayers Goat Dairy 100,000	100,000	100,000
Carrot Project 25,000	25,000	25,000
Discover Bank 200,000	200,716	200,716
High Mowing Seed Co 50,000	50,000	50,000
Intl Bank Recon Dev MTNS BE 200,000	198,008	198,008
Intl Finance Corp 200,000	199,626	199,626
Vermont Natural Coatings 50,000	50,000	50,000

**TY 2014 Investments Corporate
Stock Schedule**

Name: Harris & Frances Block Foundation Inc
EIN: 31-1784246

Name of Stock	End of Year Book Value	End of Year Fair Market Value
A G L Resources Inc 3,950	215,315	215,315
American Water Works Co Inc 4,200	223,860	223,860
Apple Inc 2,485	274,294	274,294
Automatic Data Processing Inc 2,700	225,099	225,099
Bar Harbor Bankshares 4,460	142,720	142,720
Baxter Intl Inc 2,550	186,890	186,890
Cisco Systems Inc 8,150	226,692	226,692
Connecticut Water Svc Inc 6,160	223,546	223,546
Coop Regions of Organic Cl E 500	25,000	25,000
Digital Realty Trust Inc Pfd 4,000	102,000	102,000
Discovery Communications Ser A 5,700	196,365	196,365
EMC Corp MA 6,900	205,206	205,206
Emerson Electric Co 3,000	185,190	185,190
Expeditors Intl Wash Inc 4,700	209,667	209,667
Intuit 3,400	313,446	313,446
Johnson & Johnson 1,935	202,343	202,343
McCormick & Co Inc 2,800	208,040	208,040
Merchants Bancshares 5,400	165,402	165,402
Miller Herman Inc 6,900	203,067	203,067
Patterson Companies Inc 5,350	257,335	257,335
Peoples United Financial Inc 12,100	183,678	183,678
Schwab Charles Corp 7,100	214,349	214,349
Smucker JM Co 1,872	189,035	189,035
Stryker Corp 2,300	216,959	216,959
Sun Common 75,000	75,000	75,000
Sun Hydraulics Corp 5,000	196,900	196,900
Telus Corp 4,600	165,784	165,784
Tennant Co 3,300	238,161	238,161
United Natural Foods Inc 3,800	293,835	293,835
United Therapeutics Corp 1,500	194,235	194,235

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Vermont Smoke & Cure 73,934.96	266,905	266,905
Wabtec Corp 5,400	469,206	469,206
Wiley & Sons Inc 4,600	272,504	272,504

**TY 2014 Investments Government
Obligations Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**US Government Securities - End of
Year Book Value:**

443,227

**US Government Securities - End of
Year Fair Market Value:**

443,227

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2014 Investments - Other Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Amerigas Partners LP 4,500		215,640	215,640
Arjuna Income and Impact Fund, LLC		100,000	100,000
Hannon Armstrong Sust Infr Cap 14,300		203,489	203,489
Liberty Property Trust 5,000		188,150	188,150
Lyme Forest Fund III Te LP		50,271	50,271
Northeast Kingdom Tasting Center, LLC		50,080	50,080
PowerShares Global ETF Trust Global Clean Energy 5,900		67,968	67,968
Patient Capital Collaborative II, LP		27,660	27,660
Vermont Community Loan Fund 30,000		30,000	30,000
VSJF Flexible Capital Fund, L3C		33,167	33,167

TY 2014 Land, Etc. Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer equipment	7,355	5,708	1,647	

TY 2014 Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Interest	2,159	3,867	3,867

TY 2014 Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Interest	2,159	3,867	3,867

TY 2014 Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Interest	2,159	3,867	3,867

TY 2014 Other Decreases Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Amount
Prior period adjustment	375

TY 2014 Other Expenses Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment expenses	614	598		
Miscellaneous	242			50
Office Expenses	1,021	15		
Website	650			

TY 2014 Other Income Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary gain from Partnerships	2,181	2,181	
Rental income	-2,106		
Other losses	-686	-686	

TY 2014 Other Increases Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Description	Amount
Market value change on investments	427,218

TY 2014 Other Professional Fees Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Clean Yield Investment Management	50,773	50,773		
Equity Institutional Investment fees	300	300		

TY 2014 Taxes Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Tax Withheld	2,014	2,014		
Payroll taxes	3,293	329		2,303
Excise taxes	13,394			

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div> <div>2014</div>
---	--	---

<div>Name of the organization</div> <div>Harris & Frances Block Foundation Inc</div>	<div>Employer identification number</div> <div>31-1784246</div>
--	---

Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div></div>
<div>Form 990-PF</div>	<div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Employer identification number
31-1784246

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE ESTATE OF CAROL MAURER 5578 Alkire Street Arvada, CO 80002	\$ 820,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization Harris & Frances Block Foundation Inc	Employer identification number 31-1784246
--	---

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____

Name of organization Harris & Frances Block Foundation Inc	Employer identification number 31-1784246
--	---

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	