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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**or Section 4947(a)(1) Trust Treated as Private Foundation
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OMB No 1545-0052

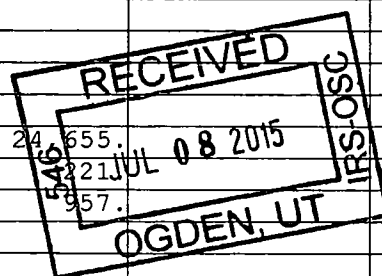
2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation VAN NICE FOUNDATION		A Employer identification number 31-1604783
C/O JEANETTE HUNT VAN NICE		B Telephone number (see instructions) (312) 266-1120
Number and street (or P.O. box number if mail is not delivered to street address) 1209 N ASTOR STREET		
Room/suite		
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60610		
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization ☒ Section 501(c)(3) exempt private foundation		C If exemption application is pending, check here ☐
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations check here ☐ 2 Foreign organizations meeting the 85% test check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,062,364.		E If private foundation status was terminated under section 507(b)(1)(A) check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		2,892.	2,892.	2,892.	ATCH 1
4 Dividends and interest from securities		37,432.	37,432.	37,432.	ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		81,262.			
b Gross sales price for all assets on line 6a 408,421.			81,262.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		121,586.	121,586.	40,324.	
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH. 3		4,750.			4,750.
c Other professional fees (attach schedule) [4]		24,655.			
17 Interest ATCH 5		221.			
18 Taxes (attach schedule) (see instructions) [6]		3,274.			
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 7		2,767.	2,027.		740.
24 Total operating and administrative expenses. Add lines 13 through 23		35,667.	27,860.		5,490.
25 Contributions, gifts, grants paid		105,250.			105,250.
26 Total expenses and disbursements. Add lines 24 and 25		140,917.	27,860.	0	110,740.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-19,331.			
b Net investment income (if negative, enter -0-)			93,726.		
c Adjusted net income (if negative, enter -0-)				40,324.	



GAY 18

SCANNED JUL 14 2015

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	22,624.	53,086.	53,086.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule) . .	112,053.	104,300.	107,107.
	b Investments - corporate stock (attach schedule)	566,642.	562,409.	680,843.
	c Investments - corporate bonds (attach schedule)	25,781.	37,770.	37,959.
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)	1,042,103.	989,508.	1,182,092.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶ ATCH 8)		1,277.	1,277.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,769,203.	1,748,350.	2,062,364.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons .			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,769,203.	1,748,350.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds . .			
30 Total net assets or fund balances (see instructions)	1,769,203.	1,748,350.		
31 Total liabilities and net assets/fund balances (see instructions)	1,769,203.	1,748,350.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,769,203.
2 Enter amount from Part I, line 27a	2	-19,331.
3 Other increases not included in line 2 (itemize) ▶ ATCH 9	3	3,710.
4 Add lines 1, 2, and 3	4	1,753,582.
5 Decreases not included in line 2 (itemize) ▶ ATCH 10	5	5,232.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,748,350.

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Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse, or common stock, 200 shs MLC Co)(b) How
acquired
P - Purchase
D - Donation(c) Date
acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1a** SEE PART IV SCHEDULE

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	81,262.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	112,225.	1,992,324.	0.056329
2012	104,610.	1,886,071.	0.055465
2011	103,247.	1,910,304.	0.054047
2010	96,510.	1,821,470.	0.052985
2009	137,202.	1,698,984.	0.080755

2 Total of line 1, column (d)	2	0.299581
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.059916
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,053,942.
5 Multiply line 4 by line 3	5	123,064.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	937.
7 Add lines 5 and 6	7	124,001.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	110,740.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	1,875.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,875.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,875.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,308.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,308.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	567.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of JEANETTE HUNT VAN NICE Telephone no 312-266-1120 Located at 1209 N. ASTOR STREET, CHICAGO, IL ZIP+4 60610			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DIRECT GRANTS TO OTHER CHARITABLE ORGANIZATIONS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,034,612.
b	Average of monthly cash balances	1b	50,608.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,085,220.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,085,220.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	31,278.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,053,942.
6	Minimum investment return. Enter 5% of line 5	6	102,697.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	102,697.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,875.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,875.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	100,822.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	100,822.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	100,822.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	110,740.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	110,740.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	110,740.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				100,822.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20 <u>12</u> , 20 <u>11</u> , 20 <u>10</u>				
3 Excess distributions carryover, if any, to 2014				
a From 2009 52,859.				
b From 2010 5,968.				
c From 2011 8,589.				
d From 2012 10,866.				
e From 2013 13,914.				
f Total of lines 3a through e	92,196.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ <u>110,740.</u>				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				100,822.
e Remaining amount distributed out of corpus	9,918.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	102,114.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	52,859.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	49,255.			
10 Analysis of line 9				
a Excess from 2010 5,968.				
b Excess from 2011 8,589.				
c Excess from 2012 10,866.				
d Excess from 2013 13,914.				
e Excess from 2014 9,918.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

C Qualifying distributions from Part XII, line 4 for each year listed .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . .

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Grants and Contributions Paid During the Year or Approved for Future Payment		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Recipient	Name and address (home or business)				
a	Paid during the year SEE ATTACHED SCHEDULE	NONE		VARIOUS	105,250.
Total ▶ 3a					105,250.
b	Approved for future payment				
Total ▶ 3b					

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	2,892.	
4	Dividends and interest from securities			14	37,432.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	81,262.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)				121,586.	
13	Total. Add line 12, columns (b), (d), and (e)				121,586.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Jeanette H. Van Nice

7/2/15
Date

► President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
PHILLIP C RAVI

Preparer's signature

Date JUN 22 2015

Check ☐ if self-employed

PTIN	P00582792
------	-----------

Firm's name ▶ JESSER RAVID JASON BASSO FARBER LLP

Firm's EIN ▶ 46-2353353

Firm's address ► 150 N. WACKER DRIVE, SUITE 3100
CHICAGO, IL

60606-1659

Phone no 312-782-4710

Form **990-PF** (2014)

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - Corporate Stock				
ACE LTD CHF	29 00	2,592 22	2,592 22	3,331 52
Activision Blizzard	-	2,172 62	-	-
Advance Auto Parts	32 00	5,259 54	2,550 08	5,096 96
Agilent Technologies	27.000	420 67	303 59	1,105 38
Agrium Inc	-	1,440 53	-	-
Air Products	-	5,491 35	-	-
Alleghanny Corporation	-	3,530 04	-	-
Amazon Com	34 000	-	10,874 05	10,551 90
American Express	160 00	8,215 91	7,428 05	14,886 40
American States Water	29 00	469 72	657 02	1,092 14
America Movil S A B	66 00	-	1,435 06	1,463 88
American Science & Engineering	-	810 50	-	-
Ameriprise Financial	-	1,264 64	-	-
Amphenol Corporation	62	2,665 60	2,360 96	3,336 22
ANHUI CONCH	264	-	4,820 47	4,957 65
ANIKA Therapeutics	20	-	835 34	814 80
Apogee Enterprises	16 00	265 55	223 62	677 92
Arch Capital Group	50 00	3,753 40	2,681 00	2,955 00
Artisan Partners	18 00	-	995 94	909 54
Astrazeneca PLC	-	6,181 50	-	-
Australia & New Zealand BKG	145 000	3,493 00	3,231 83	3,770 00
Axis Capital Holdings	100	3,342 68	3,342 68	5,109 00
BAE Systems	166	3,694 57	3,694 57	4,839 73
Banco Bradesco S A	287 000	5,085 14	3,970 20	3,837 19
Bank of Hawaii	36 00	1,941 45	1,889 87	2,135 16
Bank of New York Mellon	375	10,812 31	9,260 95	15,213 75
Barclays	113	2,068 41	1,653 03	1,696 13
BBCN Bancorp	52	773 41	722 99	747 76
Beach Energy LTD	72	-	2,293 50	1,206 72
Bed Bath & Beyond	-	9,077 04	-	-
Belle Intl Holdings	25.00	-	276 50	278 75
Berkley W R	90	4,528 69	3,712 06	4,613 40
Berkshire Hathaway	86	7,399 22	6,309 60	12,912 90
BIO Reference	39	1,185 43	1,241 50	1,253 07
Bio Techne Corp	6	-	469 08	554 40
Biomed Realty	39	528 15	720 65	840 06
BLACKBAUD	21 00	564 65	515 55	908 46
BOC Hong Kong	78 000	4,373 76	5,120 22	5,226 78

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Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Bright Horizons Family	14 000	516 45	516 45	658 14
British Sky Broadcast	39 000	-	2,258 64	2,173 08
Brookfield Asset	205 00	8,097 25	7,469 82	10,276 65
Brown & Brown INC	185 00	3,804 68	5,771 74	6,088 35
Brown Forman	15 00	1,034 70	1,034 70	1,317 60
Bruker Corp	85 00	1,332 40	1,332 40	1,667 70
CH Robinson	-	3,797 30	-	-
Calgon Carbon Corp	45 000	780 68	719 47	935 10
Cameron International	50 000	-	3,071 26	2,497 50
Canadian NAT Resources	143 000	6,334 05	4,241 02	4,415 84
Cantel Medical	20 000	289 17	270 92	865 20
CAP Gemini	63 000	1,570 05	1,360 73	2,253 82
Carbo Creamics	15 000	1,053 87	966 97	600 75
Carlsberg AS Spon	221 000	3,953 37	3,953 37	3,401 19
Carmax INC	145 000	6,393 33	7,115 18	9,654 10
Catamaran Corp	65 000	-	3,277 28	3,363 75
CDW	135 000	-	4,041 66	4,747 95
Centrica PLC	205 000	-	4,595 21	3,538 30
Chart Industries	22 000	545 28	1,351 15	752 40
Cheesecake Factory	24 000	-	1,099 93	1,207 44
Chefs WHSE INC	29 000	430 20	673 29	668 16
Cheung Kong	242 000	-	4,151 31	4,046 24
China Constr Bk	315 000	4,211 17	4,711 29	5,181 75
China Mobile	88 000	4,596 93	4,596 93	5,176 16
China Petroleum	55 000	4,180 41	4,180 41	4,455 55
Choice Hotels	13 000	-	702 17	728 26
CIA Paranaense	296 000	5,473 87	4,260 29	3,898 32
City National Corp	7 000	379 76	406 05	565 67
Clarcor INC	31 000	1,325 15	1,374 09	2,065 84
Coca Cola	-	2,149 64	-	-
Cohen & Steers INC	31 000	1,069 10	993 14	1,304 48
Coherent INC	10 000	625 19	487 59	607 20
Colfax Corp	50 000	2,724 50	2,583 93	2,578 50
Columbia Sportswear	-	956 94	-	-
Commerce Bancshares	11 000	-	479 47	478 39
Commercial Metals	57 000	969 94	908 02	928 53
Commvault Systems	21 000	-	1,258 56	1,085 49
Companhia De Saneamento	76 000	5,650 20	732 34	478 04
Computer Programs & Systems	20 000	988 54	988 54	1,215 00

Van Nice Foundation
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12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Copart INC	170 000	5,229 45	5,552 18	6,203 30
Coresite Reality	30 000	1,060 04	847 93	1,171 50
Corvel Corp	8 000	325 21	372 94	297 76
Costco Corp	69 000	6,584 69	4,168 29	9,780 75
Crown Holdings	105 000	5,070 35	4,629 45	5,344 50
CVS Caremark	-	6,866 23	-	-
Cyberonics	10 000	-	703 10	556 80
Darling Intl	38 000	768 17	635 99	690 08
Davita Healthcare	55 000	-	3,592 48	4,165 70
Dentsply	70 000	4,778 98	3,041 17	3,728 90
Deutsche Boerse	307 000	1,857 83	1,857 83	2,173 56
Diageo PLC	42 000	4,888 14	3,435 52	4,791 78
Dime Community	31 000	418 07	418 07	504 68
Direct TV	-	3,582 00	-	-
Discovery Communications Inc	150 000	2,868 40	5,280 98	5,058 00
DNB ASA SPON ADR	6 000	-	902 04	894 18
Dorman Products	24 000	739 67	693 50	1,158 48
DRIL-QUIP INC	7 000	-	607 29	537 11
DSW	37 000	595 95	1,339 11	1,380 10
Dupont	50 000	1,292 01	1,190 95	1,662 00
Eagle Bancorp	17 000	518.68	518 68	603 84
Ecolab Inc	39 000	3,040 60	3,483 59	4,076 28
Encana Corp	237 000	-	4,633 52	3,287 19
Encore Capital	40 000	1,942 91	1,928 55	1,776 00
Ensco PLC	-	5,137.42	-	-
EOG Resources	84 000	5,985.20	3,965 05	7,733 88
Esco Technologies	22 000	966.46	966 46	811 80
Expeditors Intl	85 000	4,147 70	3,711 10	3,791 85
Express Scripts	99 000	4,212.98	5,535 46	8,382 33
Fastenal Co	75 000	-	3,318 42	3,567 00
First Financial	16 000	604 45	308 73	478 08
First National Bank	20 000	498.93	498 93	704 00
Flowers Foods Inc	44 000	-	823 06	844 36
Fortinet Inc	-	855.67	-	-
Forum Energy	48 000	1,303 60	1,444 00	995 04
Franklin Electric	33 000	1,021.20	1,012 20	1,238 49
General Holdings	65 000	-	3,012 08	3,039 40
Gentherm INC	27 000	776 16	466 84	988 74
Geospace Tech	19 000	1,194 37	1,194 37	503 50

Van Nice Foundation
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12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Glacier Bancorp	110 000	1,924 20	2,732 86	3,054 70
Golden Agri	98 000	4,764 38	4,764 38	3,347 68
Google INC CLA	14 000	8,835 46	4,767 07	7,429 24
Google INC CL C	14 000	-	4,744 28	7,369 60
Haemonetics Corp	25 000	1,098 43	1,015 93	935 50
Harley Davidson	-	1,126 71	-	-
Herman Miller	24 000	372 63	620 07	706 32
Hewlett Pakard	-	1,319 44	-	-
HFF INC	28 000	663 63	663 63	1,005 76
Hibbett Sports	16 000	498 10	416 01	774 88
Hitachi LTD	63 000	4,068 86	3,751 50	4,697 28
HSBC	135 000	3,915 26	6,798 70	6,376 05
Hub Group	31 000	1,202 14	1,127 85	1,180 48
ICU Medical	6 000	688 23	276 11	491 40
II VI INC	-	1,090 02	-	-
Imperial Tobacco Group	52 000	4,435 25	3,717 17	4,550 00
Independent Bank	21 000	499 83	499 83	899 01
Interdigital INC	24 000	1,192 87	1,192 87	1,269 60
Interval Leisure Group	31 000	-	676 16	647 59
IPC The Hospitalist CO	17 000	1,267 43	894 41	780 13
IPG Photonics	27 000	1,819 66	1,601 10	2,022 84
IROBOT Corp	-	348 65	-	-
Iron Mountain	-	2,453 61	-	-
Israel Chemicals	19 000	2,435 57	138 64	138 07
ISUZU Motor	365 000	4,419 55	4,419 55	4,462 12
Jacobs Engineering	85 000	2,632 95	4,661 00	3,798 65
JP Morgan Chase	92 000	2,069 51	4,671 88	5,757 36
Keysight	13 000	-	112 74	439 01
KDDI CORP	-	2,244 27	-	-
KOC Holdings	103 000	2,022 56	2,022 56	2,718 17
Komatsu LTD	92 000	2,185 18	2,185 18	2,037 80
Laboratory Corp	96 000	9,223 23	9,273 58	10,358 40
Las Vegas Sands Corp	115 000	-	8,310 88	6,688 40
Leucadia National	-	3,128 58	-	-
Liberty Global	350 000	3,873 77	14,368 62	16,908 50
Liberty Interactive	45 000	-	1,062 15	1,323 90
Liberty Ventures Service	12 000	-	348 05	452 64
Life Time Fitness	-	655 52	-	-
Lindsay Corp	13 000	1,236 28	1,236 28	1,114 62

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Liquidity Services	-	809 24	-	-
Loews Corp	93 000	4,768 44	3,445 62	3,907 86
Lukoil Oil Co	75 000	3,897 03	4,576 13	2,876 25
M & T Bank	30 000	3,616 32	3,390 30	3,768 60
Magna Intl	26 000	1,273 33	1,273 33	2,825 94
Manulife Financial	226 000	3,688 25	3,209 41	4,314 34
Markel Corp	12 000	6,746 35	6,227 40	8,194 08
Marketaxess Holdings	28.000	900 92	804 39	2,007 88
Marks & Spencer Group	317 000	1,496 70	3,854 11	4,666 24
MellanoX Tech	32 000	1,535 70	1,535 70	1,367 36
Methanex	33 000	-	2,198 16	1,512 39
Meridian Bioscience	-	1,063 40	-	-
Micros Systems INC	-	3,715 13	-	-
Microsoft Corp	67 000	2,018 62	2,018 62	3,112 15
Minerals Tech	24 000	1,185 36	905 76	1,666 80
Mitsui & Co	15 000	4,052 08	4,052 08	4,023 67
Mizuho Financial	1,154 000	3,636 30	4,128 34	3,923 60
Mobile Telesystems	-	105 68	-	-
Monsanto Co	-	2,353 33	-	-
Moodys	22 000	-	1,826 17	2,107 82
Myriad Genetics	-	538 34	-	-
Natural Gas Services	23 000	459 10	423 10	529 92
Neogen Corp	-	307 24	-	-
New Jersey resources	13 000	1,011 90	438 49	795 60
NIC INC	-	333 68	-	-
Nitto Denko Corp	-	3,126 11	-	-
Occidental Petroleum	-	3,154 88	-	-
Omnicom Group	55 000	4,757 76	3,489 02	4,260 85
One Gas	33 000	-	1,235 52	1,360 26
Open Text Corp	38 000	-	1,831 44	2,213 88
Oracle	64 000	1,938 02	1,938 02	2,878 08
Orange Sponsor	122 000	2,461 39	1,401 27	2,064 24
Paccar Inc	54 000	2,691 24	2,691 24	3,672 54
Perrigo CO PLC	25 000	4,261 74	3,883 49	4,179 00
Persimmon ADR	111 000	-	4,899 23	5,404 59
Philip Morris INTL	-	1,651 66	-	-
Plantronics	30 000	896 40	747 00	1,590 60
Polycom Inc	69 000	779 14	724 72	931 50
Polypore Intl	19 000	970 08	802 46	893 95

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Posco Spon ADR	-	2,337 53	-	-
Power Integrations Inc	24 000	932 78	833 61	1,241 76
Praxair	44 000	-	5,779 66	5,700 64
Priceline Group	3 000	-	3,416 63	3,420 63
Progressive Corp	-	4,671 78	-	-
PT BK RAKYAT ADR	124 000	-	2,109 68	2,280 36
Quaker Chemical	17 000	209 83	198 18	1,564 68
Quest Diagnostics Inc	50 000	-	2,874 18	3,353 00
Questar Copr	45 000	-	1,033 37	1,137 60
Renaissancere Holdings	22 000	1,648 21	1,474 48	2,138 84
RIO Tinto PLC	89 000	4,908 97	4,908 97	4,099 34
Riverbed Tech	48 000	1,172 23	785 37	979 68
Ross Stores	85 000	3,802 81	5,710 64	8,012 10
Royal Dutch Shell	85 000	6,103 78	5,185 78	5,690 75
RPC Inc	33 000	336 27	553 26	430 32
Sage Group PLC	82 000	1,747 92	1,493 36	2,361 92
Sally Beauty Co	175 000	3,014 46	4,586 86	5,379 50
Sanofi Spon ADR	91 000	3,538 79	3,538 79	4,150 51
Sapient Corp	-	1,245 64	-	-
Sasol LTD	118 000	5,482 19	4,951 52	4,480 46
Sberbank Spon ADR	-	2,127 22	-	-
Schwab Charles Corp	140 000	-	3,412 76	4,226 60
Sega Sammy HLDG INC	-	1,784 40	-	-
Serco Group	-	93 03	-	-
Siemens A G Spon ADR	33 000	3,354 25	2,948 70	3,696 00
Simpson Manufacturing	-	385 26	-	-
Smurfit Kappa Group	92 000	-	2,083 26	2,074 60
Soda Stream	-	785 46	-	-
Solarwinds Inc	26 000	525 94	951 97	1,295 58
Sothebys	16 000	-	622 62	690 88
South Jersey Ind Inc	-	575 85	-	-
Statoil ASA SPON ADR	144 000	4,239 82	3,186 22	2,535 84
Steris Corp	21 000	-	1,236 58	1,361 85
Svenska Cellulosa AB	72 000	1,230 87	1,070 19	1,547 28
Syntel Inc	35 000	861 58	1,178 42	1,574 30
Synaptics Inc	8 000	-	534 66	550 72
Sysco Corp	89 000	-	3,200 83	3,532 41
Taiwan Semiconductor	124 000	1,604 98	1,604 98	2,775 12
Tanger Factory Outlet	29 000	-	1,047 89	1,071 84

Van Nice Foundation
31-1604783
12/31/2014
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Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Tata Motors LTD	57 000	1,658 36	1,108 98	2,409 96
Team Inc	25 000	637 51	637 51	1,011 50
Techne Corp	-	3,049 02	-	-
Technip SA	105 000	-	2,166 85	1,553 47
Telenor ASA	33 000	2,206 62	2,206 62	1,997 95
Tennant CO	21 000	1,211 42	1,211 42	1,515 57
Tenneco Inc	20 000	1,196 57	1,003 58	1,132 20
Tesco PLC	-	4,394 02	-	-
Teva Pharmaceuticals	123 000	4,885 39	5,405 18	7,073 73
Texas Capital Bancharcs	14 000	1,350 53	614 16	760 62
Texas Instr	143 000	3,354 52	3,354 52	7,645 49
Texas Roadhouse	29 000	550 79	774 84	979 04
Thoratec Corp	31 000	1,069 35	1,069 35	1,006 26
Tibco Software	-	226 07	-	-
Tiffany & Co	-	3,039 66	-	-
TJX COS Inc	-	2,964 50	-	-
Tompkins Financial Group	6 000	-	288 62	331 80
Toronto Dominion BK	96 000	3,578 35	3,578 35	4,586 88
Transdigm Gorup	21 000	3,423 66	3,127 98	4,123 35
Trustmark Corp	26 000	976 90	708 82	638 04
Tumi Holdings Inc	46 000	-	963 59	1,091 58
Tupperware Brands	10 000	-	740 20	630 00
Ubiquiti Networks	44 000	-	1,529 27	1,304 16
Ultra Petroleum Corp	174 000	-	4,458 92	2,289 84
UMB Financial	16 000	1,156 22	649 49	910 24
UMPQUA Holdings	52 000	638 09	567 69	884 52
Unifirst Corp	15 000	528 25	1,546 89	1,821 75
United Health Group	100 000	6,701 33	6,358 04	10,109 00
United National Foods	20 000	785 94	796 13	1,546 50
United Overseas Bank	135 000	3,958 89	3,958 89	4,990 95
Vale SA	268 000	5,752 88	4,685 65	2,192 24
Valeant Pharmaceuticals	27 000	-	3,699 75	3,863 97
Virtis Invt	4 000	1,696 27	726 78	681 96
Visa Inc	15 000	2,675 44	2,508 22	3,933 00
Vitamin Shoppe	22 000	1,218 96	1,046 73	1,068 76
Wabtec Inc	-	2,706 00	-	-
Walt Disney Co	-	2,460 66	-	-
Watts Water Technologies	16 000	763 75	466 20	1,015 04
Wells Fargo	280 000	6,226 91	4,571 46	15,349 60

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Wesbanco Inc	10 000	-	320 49	348 00
West Pharmaceutical	39 000	1,092 61	885 61	2,076 36
WEX Inc	15 000	1,020 36	708 11	1,483 80
Whitewave Foods	-	720 29	-	-
Wolverine World Wide Inc	46 000	1,044 95	894 27	1,355 62
World Fuel Services	100 00	3,084 00	3,974 12	4,693 00
Yamana	-	4,246 90	-	-
Zurich	230 00	5,988 43	5,353 20	7,176 00
Total line 10b		<u>566,642 18</u>	<u>562,408 82</u>	<u>680,842 52</u>

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014	12/31/2013	12/31/2014	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - U.S. and state government obligations				
US Treasury Note 1/31/14	-	12,992 49	-	-
Fannie MAE NTS 10/30/14	-	8,019 70	-	-
US Treasury Note 2/15/15 25%	8,000	12,954 58	7,975 83	8,001 52
US Treasury Note 4/15/15 37%	11,000	-	11,005 93	11,008 58
US Treasury Note 11/15/15 37%	5,000	-	5,007 95	5,004 70
US Treasury Note 10/31/16 1 0%	15,000	15,093 48	15,059 34	15,107 85
FNMA NTS 1/30/17 1 25%	8,000	9,043 50	8,025 51	8,071 68
FHLMC Bond 7/28/17 1 0%	8,000	8,009 31	8,006 73	7,996 96
US Treasury Note 10/31/18 1 75%	7,000	10,151 14	7,086 19	7,088 62
United States Treasury Bond 2/15/19	5,000	4,957 52	4,300 72	4,703 95
US Treasury Note 5/15/19 3 125%	8,000	8,033 26	7,992 90	8,528 16
US Treasury Note 2/15/20 3 6250%	14,000	15,103 25	14,925 87	15,349 74
United States T 2/15/40	2,000	1,990 63	1,990 63	2,718 76
US TSY Inflation Protected Bond	5,000	5,704 28	5,900 78	5,111 79
United States T 11/15/43 3 75%	7,000	-	7,021 27	8,414 77
Total line 10a		112,053 14	104,299 65	107,107 08

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	12/31/2014 End of Year	12/31/2013 Beginning of Year	12/31/2014 End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - Corporate Bonds				
Anheuser Busch Inbev	3,000	2,058 17	3,091 71	3,116 31
General Electric Corp	-	2,022 15	-	-
JP Morgan Chase	3,000	4,065 96	2,981 97	2,974 14
American Express CRD	5,000	5,153 31	5,106 44	5,113 25
Total Capital Canada LTD	4,000	4,011 25	4,008 51	3,971 76
Citigroup INC NTS	3,000	-	3,001 79	3,002 40
Goldman Sachs Group	5,000	4,018 27	5,176 02	5,190 75
International Paper	2,000 00	2,420 56	2,305 60	2,493 12
Verizon Communications	2,000	2,031 24	2,027 67	2,044 58
United Health Group Inc	5,000	-	5,074 34	5,056 90
Wells Fargo & Company NTS	5,000	-	4,996 35	4,995 95
Total line 10c		<u>25,780 91</u>	<u>37,770 40</u>	<u>37,959 16</u>
Investments- Other				
Blackrock Bond BRACX	5,721 209	61,221 90	57,646 08	60,873 66
Blackrock Bond BRAMX	5,347 652	53,907 72	51,035 28	53,369 56
Calamos Market Neutral	10,850 562	135,494 16	135,181 02	139,104 20
FNMA PL MA0583	13,000 00	5,038 70	4,385 98	4,806 20
FNMA PL AE0828	4,000 00	2,487 72	2,228 33	2,237 78
FNMA PL AJ7689	3,000 00	1,749 19	1,550 50	1,565 38
ISHARES Russell 2000 ETF	349 00	25,822 35	23,469 65	41,747 38
ISHARES Russell 3000 ETF	1,563 00	138,021 81	119,547 99	191,139 27
ISHARES Trust Core S&P 500 ETF	1,208 00	183,830 62	161,390 54	249,898 96
A&Q Long/Short Strategies Fund		130,394 00	130,394 00	156,475 00
Pimco Commodity Real	9,074 275	63,841 28	61,000 20	40,562 00
Pimco Total Return Fund	22,204 788	236,343 93	238,294 61	236,703 04
Volkswagen A G REPSTG PREF DM	82 00	3,949 24	3,384 00	3,609 64
Total Line 13		<u>1,042,102 62</u>	<u>989,508 18</u>	<u>1,182,092 07</u>

Van Nice Foundation
31-1604783
12/31/2014
Schedule Attached to Form 990-PF
Part XV

DATE	RECIPIENT	CITY	STATE	AMOUNT	PURPOSE
3/24/2014	Chicago Symphony Orchestra Annual Gift for 2014-2015 Season	Chicago	IL	\$ 7,500	1
5/12/2014	Art Institute of Chicago For Magntte Benefit Support	Chicago	IL	5,000	1
5/12/2014	The Newberry Newberry 2014 Library Award Dinner	Chicago	IL	1,000	1
5/12/2014	Lync Opera of Chicago Annual Gift 2014-2015 Season	Chicago	IL	10,000	1
5/12/2014	626 Landmark Foundation Annual Support	Chicago	IL	1,000	1
5/12/2014	Chicago Botanic Garden Production Greenhouses & Nurseries Van Nice Pledge	Chicago	IL	20,000	1
6/11/2014	Dickinson College General Endowment Fund	Carlisle	PA	1,000	2
8/1/2014	Chicago Botanic Garden Tribute Gift Program in Memory Manlyn Kohout Farrar	Chicago	IL	500	1
9/16/2014	Art Institute of Chicago Woman's Board	Chicago	IL	1,000	1
9/16/2014	Chicago Botanic Garden "Tribute Fund"	Chicago	IL	500	1
9/16/2014	Chicago Botanic Garden "Annual Fund"	Chicago	IL	2,500	1
9/29/2014	Cardigan Mountain School	Canaan	NH	1,000	2
10/06/14	Lewis and Clark College "Annual Contribution"	Portland	OR	2,000	2
10/7/2014	Choate Rosemary Hall "Van Nice Annual Fund Endowment"	Wallingford	CT	2,000	2
10/7/2014	International Flamingo Foundation (Journal)	Aspen	CO	1,000	1
10/7/2014	Cornell University "Johnson School Annual Fund"	Ithaca	NY	1,000	2
10/7/2014	Tuality Healthcare Salud	Hillsboro	OR	1,000	3
10/7/2014	Westover School Rudolph S Rasin Center	Middlebury	CT	500	2
10/7/2014	Chicago Botanic Garden "All Aboard!"	Glencoe	IL	5,000	1
10/7/2014	The Latin School of Chicago "Annual Fund"	Chicago	IL	1,000	2
11/21/2014	Michiana Humane Society & SPCA	Michigan City	IN	250	1
11/21/2014	Chikaming Open Lands "Endowment Fund (\$5,000), Annual Fund (\$5,000)"	Lakeside	MI	10,000	1
11/21/2014	St Chrysostom's Church "Capital Fund"	Chicago	IL	1,000	4
11/21/2014	University of Chicago Graduate School of Business "Annual Fund"	Chicago	IL	5,000	2
11/21/2014	Choate Rosemary Hall "Van Nice Annual Fund Endowment"	Wallingford	CT	5,000	2
12/3/2014	St Chrysostom's Church "Endowment Fund"	Chicago	IL	500	4
12/3/2014	The Latin School of Chicago "Annual Fund"	Chicago	IL	1,500	2
12/3/2014	The Berkshire School "Van Nice Endowment Fund"	Sheffield	MA	500	2
12/3/2014	The River Fund New York "Mary C Tiedemann Scholarship Fund"	Richmond Hill	NY	500	2
12/3/2014	The Lawrenceville Fund "Annual Fund 2013"	Lawrenceville	NJ	500	1
12/3/2014	The Latin School of Chicago "Endowment Fund" for Learning Resources "Donovan Fund"	Chicago	IL	1,000	2
12/3/2014	High Jump Annual Fund	Chicago	IL	1,000	2
12/3/2014	Gary Comer Youth Center "Annual Fund"	Chicago	IL	1,000	2
12/3/2014	A Better Chicago "Annual Fund"	Chicago	IL	500	2
12/4/2014	Art Institute of Chicago Unrestricted Museum Gift	Chicago	IL	5,000	5
12/4/2014	The Antiquarian Society "Annual Fund"	Chicago	IL	1,000	1
12/4/2014	Lincoln Park Conservancy	Chicago	IL	500	1
12/4/2014	Lurie Children's Foundation at the Request of Clare Conlon, Founder's Board	Carol Stream	IL	1,000	3
12/5/2014	Fernwood Botanical Garden & Nature Preserve - "Annual Fund"	Niles	MI	1,000	1
12/5/2014	Fernwood Botanical Garden & Nature Preserve - "Educational Center Building Campaign"	Niles	MI	4,000	2
Total				\$ 105,250	

PURPOSE KEY:

- 1= VARIOUS
- 2= EDUCATIONAL
- 3= MEDICAL
- 4= RELIGIOUS
- 5= GENERAL/UNRESTRICTED

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					3,851.	
85,707.		S/T REALIZED GAIN (LOSS) SEE ATTACHED PROPERTY TYPE: SECURITIES 81,370.				P	VAR	VAR
		L/T REALIZED GAIN (LOSS) SEE ATTACHED PROPERTY TYPE: SECURITIES 244,716.				P	VAR	VAR
310,511.		L/T O'CONNOR FMRLY M2 PROPERTY TYPE: OTHER				P	VAR	VAR
4,658.		S/T O'CONNOR FMRLY M2 PROPERTY TYPE: OTHER				P	VAR	VAR
2,580.		RETURN OF PRINCIPAL- SEE ATTAC PROPERTY TYPE: SECURITIES 1,114.				P	VAR	VAR
1,114.								
TOTAL GAIN (LOSS)							<u>81,262.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
UBS INTEREST	2,871.	2,871.	2,871.
INTEREST INCOME O'CONNOR FUNDS	21.	21.	21.
TOTAL	<u>2,892.</u>	<u>2,892.</u>	<u>2,892.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
UBS DIVIDEND	37,432.	37,432.	37,432.
TOTAL	<u>37,432.</u>	<u>37,432.</u>	<u>37,432.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	4,750.			4,750.
TOTALS	<u>4,750.</u>			<u>4,750.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MGMT FEE	24,452.	24,452.
OTHER FEES	203.	203.
TOTALS	<u>24,655.</u>	<u>24,655.</u>

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS ACCRUED INTEREST PAID	221.	221.
TOTALS	<u>221.</u>	<u>221.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX WITHHELD	957.	957.
FEDERAL EXCISE TAX	2,317.	
TOTALS	<u>3,274.</u>	<u>957.</u>

ATTACHMENT 7FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.		15.
UBS M2 FUND NET LOSS	2,027.	2,027.	725.
DUES	725.		
TOTALS	<u>2,767.</u>	<u>2,027.</u>	<u>740.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BROKER CASH EXCHANGE	1,277.	1,277.
TOTALS	<u>1,277.</u>	<u>1,277.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
BASIS ADJUSTMENT TO PRINCIPAL	3,576.
ACCRUED INTEREST	134.
TOTAL	<u>3,710.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

BASIS ADJUST TO UBS OCONNOR FUNDS

5,232.

TOTAL

5,232.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JEANETTE HUNT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	PRESIDENT	0	0	0
PETER ERRETT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	TREASURER	0	0	0
ANTHONY H. VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	SECRETARY	0	0	0
GRAND TOTALS		0	0	0

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2014

Name of estate or trust **VAN NICE FOUNDATION**

Employer identification number

C/O JEANETTE HUNT VAN NICE

31-1604783

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	88,287.	81,370.	35.	6,952.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶				7 6,952.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	1,114.	1,114.		
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	315,169.	244,716.	6.	70,459.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13 3,851.
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶				16 74,310.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		6,952.
18 Net long-term gain or (loss):			
a Total for year	18a		74,310.
b Unrecaptured section 1250 gain (see line 18 of the wrksh)	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		81,262.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	20	()
a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,500	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$12,150	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2014

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2014**Attachment
Sequence No **12A**

Name(s) shown on return

VAN NICE FOUNDATION

Social security number or taxpayer identification number

31-1604783

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	S/T REALIZED GAIN (LOSS) SEE ATTACHED			85,707.	81,370.	W	35.	4,372.
	S/T O'CONNOR FMRLY M2			2,580.				2,580.
2 Totals.	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).			88,287.	81,370.		35.	6,952.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

VAN NICE FOUNDATION

Social security number or taxpayer identification number

31-1604783

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	RETURN OF PRINCIPAL- SEE ATTAC			1,114.	1,114.			
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				1,114.	1,114.			

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side

VAN NICE FOUNDATION

Social security number or taxpayer identification number

31-1604783

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	L/T REALIZED GAIN (LOSS) SEE ATTACHED			310,511.	244,716.		6.	65,801.
	L/T O'CONNOR FMRLY M2			4,658.				4,658.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►				315,169.	244,716.		6.	70,459.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
ACTIVISION BLIZZARD INC / CUSIP: 00507V109 / Symbol: ATVI	9 000		189 44	09/26/13	152.76	0 00	36 68	Sale
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: AAP	4 000		469.47	09/09/13	318.76	0 00	150.71	Sale
01/14/14			2,941.69	09/09/13	1,912.56	0 00	1,029.13	Sale
04/22/14			3,411.16		2,231.32	0 00	1,179.84	Sale
Security total								
AGRIUM INC (CANADA) FOREIGN STOCK CAD / CUSIP: 008916108 / Symbol: AGU	3 000		273.92	09/06/13	256.32	0 00	17.60	Sale
01/02/14								
2 tax lots for 01/07/14								
1 000			89.32	09/06/13	85.44	0 00	3.88	Sale
7 000			625.26	09/09/13	606.33	0 00	18.93	Sale
8 000			714.58	VARIOUS	691.77	0 00	22.81	Total of 2 lots
Security total			988.50		948.09	0 00	40.41	
AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP: 009158106 / Symbol: APD	7 000		906.97	12/10/13	763.77	0 00	143.20	Sale
09/10/14								
ALLEGHANY CORP DEL NEW COMMON STOCK / CUSIP: 017175100 / Symbol: Y	2 000		770.49	04/08/13	771.82	0 00	-1.33	Sale
03/03/14								
AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN	1 000		326.61	02/12/14	349.45	22.84 W	0.00	Sale
11/19/14								
AMERICAN SCIENCE & ENGINEERING INC / CUSIP: 029429107 / Symbol: ASEI	1 000		67.08	10/28/13	66.73	0 00	0.35	Sale
06/20/14								
AMPHENOL CORP NEW CL A / CUSIP: 032095101 / Symbol: APH	2 000		183.39	09/09/13	152.32	0 00	31.07	Sale
01/14/14								
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN	11 000		846.41	09/09/13	540.05	0 00	306.36	Sale
05/09/14			2,150.35	09/09/13	1,423.77	0 00	726.58	Sale
06/13/14			2,996.76		1,963.82	0 00	1,032.94	
Security total								

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (G) / net (N)	Proceeds (G) / net (N)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BBCN BANCORP INC COM / CUSIP 073295107 / Symbol BBCN	4.000		64.03	06/18/13	50.42	0.00	13.61	Sale
BED BATH & BEYOND INC COMMON STOCK / CUSIP: 075896100 / Symbol: BBBY	44.000		2,647.59	09/09/13	3,193.08	0.00	-545.49	Sale
BERKLEY W R CORP COMMON STOCK / CUSIP: 084423102 / Symbol: WRB	10.000		416.39	09/09/13	411.70	0.00	4.69	Sale
	30.000		1,384.47	09/09/13	1,235.10	0.00	149.37	Sale
Security total			1,800.86		1,646.80	0.00	154.06	
BIO REFERENCE LAB INC NEW NEW / CUSIP: 09057G602 / Symbol: BRLI	3.000		73.49	10/15/13	93.27	0.00	-19.78	Sale
BIOMED REALTY TRUST INC REITS SBI / CUSIP: 09063H107 / Symbol BMR	2.000		36.99	12/13/13	36.42	0.00	0.57	Sale
BLACKHAWK NETWORK HLDGS INC CL B / CUSIP: 09238E203 / Symbol: HAWKB	55.000		1,860.24	09/23/14	1,512.04	0.00	348.20	Sale
BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS CL A FOREIGN STOCK / CUSIP: 112585104 / Symbol BAM	10.000		374.89	04/25/13	376.27	1.38 W	0.00	Sale
BROWN & BROWN INC / CUSIP: 115236101 / Symbol: BRO	5.000		157.69	09/09/13	160.00	0.00	-2.31	Sale
CDW CORP / CUSIP 12514G108 / Symbol: CDW	5.000		167.84	05/28/14	144.49	0.00	23.35	Sale
C H ROBINSON WORLDWIDE INC NEW / CUSIP 12541W209 / Symbol CHRW	65.000		3,461.55	09/09/13	3,797.30	0.00	-335.75	Sale
CARMAX INC / CUSIP: 143130102 / Symbol: KMX	5.000		228.24	04/25/13	224.80	0.00	3.44	Sale
CHEFS WHSE INC COM / CUSIP 163086101 / Symbol: CHEF	2.000		55.41	12/03/13	50.15	0.00	5.26	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

2014

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CITY NATIONAL CORP COMMON STOCK / CUSIP: 178566105 / Symbol: CYN	1.000	78.00		01/30/13	53.80	0.00	24.20	Sale
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO	15.000	579.01		04/08/13	611.10	0.00	-32.09	Sale
OLFAFAX CORP / CUSIP: 194014106 / Symbol: CFX	50.000	3,076.99		09/09/13	2,724.50	0.00	352.49	Sale
COPART INC COMMON STOCK / CUSIP: 217204106 / Symbol: CPRT	10.000	359.99		09/09/13	329.40	0.00	30.59	Sale
CROWN HOLDINGS INC / CUSIP: 228368106 / Symbol: CCK	5.000	213.99		09/09/13	220.45	0.00	-6.46	Sale
DSW INC CL A / CUSIP: 23334L102 / Symbol: DSW	2.000	77.35		03/01/13	67.77	0.00	9.58	Sale
DAVITA HEALTHCARE PARTNERS INC / CUSIP: 23918K108 / Symbol: DVA	5.000	379.64		01/16/14	322.72	0.00	56.92	Sale
DENTSPLY INTL INC COMMON STOCK / CUSIP: 249030107 / Symbol: XRAY	10.000	487.23		09/09/13	434.45	0.00	52.78	Sale
DIRECTV / CUSIP: 25490A309 / Symbol: DTV	5.000	354.84		09/09/13	298.50	0.00	56.34	Sale
	19.000	1,551.25		09/09/13	1,134.30	0.00	416.95	Sale
	36.000	3,018.75		09/09/13	2,149.20	0.00	869.55	Sale
Security total:		4,924.84			3,582.00	0.00	1,342.84	
ENCORE CAPITAL GROUP INC / CUSIP: 292554102 / Symbol: ECPG	3.000	147.98		10/10/13	141.20	0.00	6.78	Sale
EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD	10.000	438.59		09/09/13	436.60	0.00	1.99	Sale
FASTENAL CO COMMON STOCK / CUSIP: 311900104 / Symbol: FAST	5.000	231.04		01/31/14	219.94	0.00	11.10	Sale

UBS FINANCIAL SERVICES INC.

Account CP91116

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FANNIE MAE NOTE RATE 0.625 % MATURES 10/30/2014 DATED 09/27/2011 / CUSIP 3135G0DW0	2,000.000		2,001.58	09/11/13	2,001.27	0.00	0.31	Sale Original basis \$2,009.24
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT								
01/14/14	3.000		62.33	06/14/13	53.19	0.00	9.14	Sale
09/11/14	7.000		182.65	12/04/13	121.58	0.00	61.07	Sale
Security total:			244.98		174.77	0.00	70.21	
FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET								
01/14/14	3.000		84.50	05/22/13	90.69	0.00	-6.19	Sale
HAEMONETICS CORP MASS COMMON STOCK / CUSIP: 405024100 / Symbol: HAE								
01/14/14	2.000		86.19	03/18/13	82.50	0.00	3.69	Sale
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM								
10/31/14	14.000		2,294.48	09/29/14	2,642.38	0.00	-347.90	Sale
JACOBS ENGINEERING GROUP INC COMMON STOCK / CUSIP: 469814107 / Symbol: JEC								
01/14/14	5.000		322.24	09/09/13	292.55	0.00	29.69	Sale
KDDI CORP ADR / CUSIP: 48667L106 / Symbol: KDDIY								
01/07/14	88.000		1,344.14	09/09/13	1,094.72	0.00	249.42	Sale
01/08/14	2.000		30.61	09/09/13	24.88	0.00	5.73	Sale
Security total			1,374.75		1,119.60	0.00	255.15	
LABORATORY CORP AMER HLDGS NEW / CUSIP: 50540R409 / Symbol: LH								
01/14/14	3.000		272.03	04/25/13	281.40	9.37 W	0.00	Sale
LEUCADIA NATIONAL CORP COMMON STOCK / CUSIP: 527288104 / Symbol: LUK								
01/24/14	115.000		3,180.91	09/09/13	3,128.58	0.00	52.33	Sale
LIBERTY INTERACTIVE CORP SER A / CUSIP: 53071M104 / Symbol: QVCA								
11/19/14	43.000		1,203.68	01/24/14	1,014.94	0.00	188.74	Sale
LIBERTY VENTURES SER A / CUSIP: 53071M880 / Symbol: LVNTA								
10/20/14	0.511		15.62	01/24/14	14.82	0.00	0.80	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

(continued)

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds	Date	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LIQUIDITY SERVICES INC / CUSIP 53635B107 / Symbol: LQDT								
3 tax lots for 05/15/14								
	5 000		67.73	05/15/13	187.99	0.00	-120.26	Sale
	4 000		54.18	05/17/13	152.28	0.00	-98.10	Sale
	4 000		54.18	07/12/13	135.19	0.00	-81.01	Sale
05/15/14	13.000		176.09	VARIOUS	475.46	0.00	-299.37	Total of 3 lots
M & T BANK CORP / CUSIP 55261F104 / Symbol MTB								
01/14/14	2 000		228.97	09/09/13	226.02	0.00	2.95	Sale
MARKEL CORP (HOLDING CO) / CUSIP: 570535104 / Symbol MKL								
01/14/14	1.000		572.56	09/09/13	518.95	0.00	53.61	Sale
MICROS SYSTEMS INC **MERGER EFF 09/2014** / CUSIP 594901100 / Symbol								
06/17/14	75 000		5,033.78	09/09/13	3,715.13	0.00	1,318.65	Sale
MOBILE TELESYSTEMS OJSC SPON ADR / CUSIP 607409109 / Symbol MBT								
5 tax lots for 12/17/14								
	5 000		32.80	12/23/13	105.68	0.00	-72.88	Sale
	1 000		6.56	12/27/13	21.28	0.00	-14.72	Sale
	18 000		118.09	01/02/14	385.67	0.00	-267.58	Sale
	14 000		91.84	01/03/14	296.43	0.00	-204.59	Sale
	40 000		262.41	01/06/14	831.57	0.00	-569.16	Sale
12/17/14	78 000		511.70	VARIOUS	1,640.63	0.00	-1,128.93	Total of 5 lots
3 tax lots for 12/18/14								
	6 000		49.68	01/06/14	124.73	0.00	-75.05	Sale
	19 000		157.30	01/07/14	397.29	0.00	-239.99	Sale
	4 000		33.12	01/08/14	83.08	0.00	-49.96	Sale
12/18/14	29.000		240.10	VARIOUS	605.10	0.00	-365.00	Total of 3 lots
	Security total		751.80	-	2,245.73	0.00	-1,493.93	
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY								
06/17/14	9 000		197.89	09/09/13	283.50	0.00	-85.61	Sale
10/21/14	9 000		228.63	01/15/14	190.80	0.00	37.83	Sale
10/22/14	22 000		580.35	01/15/14	466.40	0.00	113.95	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Net (N) / Jet (J)	Proceeds (G) / Net (N) / Jet (J)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY (cont'd)								
10/23/14	21,000		551.95	01/15/14	445.20	0.00	106.75	Sale
10/24/14	22,000		579.16	01/15/14	466.40	0.00	112.76	Sale
	Security total:		2,137.98		1,852.30	0.00	285.68	
OMNICO GROUP INC / CUSIP: 681919106 / Symbol: OMC								
01/14/14	5,000		368.84	09/09/13	317.18	0.00	51.66	Sale
02/28/14	13,000		988.97	09/09/13	824.68	0.00	164.29	Sale
	Security total:		1,357.81		1,141.86	0.00	215.95	
POLYPORE INTL INC / CUSIP: 73179V103 / Symbol: PPO								
01/14/14	2,000		76.14	04/30/13	83.81	0.00	-7.67	Sale
RIVERBED TECHNOLOGY INC / CUSIP: 768573107 / Symbol: RVBD								
01/14/14	6,000		119.15	02/12/13	96.71	0.00	22.44	Sale
ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST								
01/14/14	5,000		366.66	09/09/13	345.71	0.00	20.95	Sale
SALLY BEAUTY CO INC / CUSIP: 79546E104 / Symbol: SBH								
01/14/14	5,000		145.29	10/29/13	131.06	0.00	14.23	Sale
SEGA SAMMY HOLDING INC SPON ADR / CUSIP: 815794102 / Symbol: SGAMY								
09/03/14	23,000		94.52	09/09/13	146.28	0.00	-51.76	Sale
09/04/14	1,000		3.92	09/09/13	6.36	0.00	-2.44	Sale
	Security total:		98.44		152.64	0.00	-54.20	
SERCO GROUP PLC ADR / CUSIP: 81748L100 / Symbol: SECCY								
07/08/14	6,000		36.93	12/20/13	46.52	0.00	-9.59	Sale
2 tax lots for 07/09/14								
	6,000		36.66	12/20/13	46.51	0.00	-9.85	Sale
	15,000		91.65	12/30/13	124.28	0.00	-32.63	Sale
07/09/14	21,000		128.31	VARIOUS	170.79	0.00	-42.48	Total of 2 lots
4 tax lots for 07/10/14								
	8,000		48.92	12/30/13	66.29	0.00	-17.37	Sale
	5,000		30.58	12/31/13	41.60	0.00	-11.02	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et Symbol · SECCY (cont'd)	Proceeds acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SERCO GROUP PLC ADR / CUSIP: 81748L100 /	19,000	116 18	116 18	01/02/14	156 73	0 00	-40 55	Sale
	1 000	6 12	6 12	01/03/14	8 35	0 00	-2 23	Sale
07/10/14	33,000	201 80	201 80	VARIOUS	272.97	0 00	-71 17	Total of 4 lots
2 tax lots for 07/11/14								
	11 000	67 18	67 18	01/03/14	91 86	0 00	-24 68	Sale
	4 000	24 43	24 43	01/06/14	33 64	0 00	-9 21	Sale
07/11/14	15,000	91.61	91.61	VARIOUS	125 50	0 00	-33.89	Total of 2 lots
2 tax lots for 07/14/14								
	7 000	42 76	42 76	01/06/14	58 87	0 00	-16 11	Sale
	12 000	73 31	73 31	01/07/14	99.42	0 00	-26 11	Sale
07/14/14	19,000	116.07	116.07	VARIOUS	158 29	0 00	-42 22	Total of 2 lots
2 tax lots for 07/15/14								
	4 000	24 50	24 50	01/07/14	33 14	0 00	-8 64	Sale
	9 000	55 13	55 13	01/08/14	74 87	0 00	-19.74	Sale
07/15/14	13 000	79 63	79 63	VARIOUS	108 01	0 00	-28.38	Total of 2 lots
2 tax lots for 07/16/14								
	4 000	24 45	24 45	01/08/14	33 27	0 00	-8.82	Sale
	13 000	79 48	79 48	01/09/14	108 17	0 00	-28 69	Sale
07/16/14	17 000	103.93	103.93	VARIOUS	141 44	0 00	-37 51	Total of 2 lots
2 tax lots for 07/17/14								
	5 000	30 53	30 53	01/09/14	41 60	0 00	-11 07	Sale
	9 000	54 94	54 94	01/10/14	74 19	0 00	-19 25	Sale
07/17/14	14 000	85.47	85.47	VARIOUS	115 79	0 00	-30 32	Total of 2 lots
3 tax lots for 07/18/14								
	1 000	6 09	6 09	01/14/14	8 41	0 00	-2 32	Sale
	5 000	30 48	30 48	01/15/14	42 35	0 00	-11 87	Sale
	8 000	48 75	48 75	02/28/14	60 73	0 00	-11 98	Sale
07/18/14	14,000	85 32	85 32	VARIOUS	111 49	0 00	-26.17	Total of 3 lots
2 tax lots for 07/21/14								
	16 000	97 57	97 57	02/28/14	121 45	0 00	-23 88	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
SERCO GROUP PLC ADR / CUSIP 81748L100 / Symbol: SECCY (cont'd)								
07/21/14	1 000	6.10	103.67	03/03/14	7.55	0.00	-1.45	Sale
07/22/14	17 000			VARIOUS	129.00	0.00	-25.33	Total of 2 lots
	16 000		97.85	03/03/14	120.76	0.00	-22.91	Sale
2 tax lots for 07/23/14.								
	4 000		24.52	03/03/14	30.19	0.00	-5.67	Sale
	12 000		73.54	03/04/14	94.61	0.00	-21.07	Sale
07/23/14	16 000		98.06	VARIOUS	124.80	0.00	-26.74	Total of 2 lots
3 tax lots for 07/24/14								
	12 000		73.06	03/04/14	94.61	0.00	-21.55	Sale
	35 000		213.27	03/04/14	275.94	0.00	-62.67	Sale
	8 000		48.71	03/06/14	63.70	0.00	-14.99	Sale
07/24/14	55 000		335.04	VARIOUS	434.25	0.00	-99.21	Total of 3 lots
07/25/14	16 000		97.42	03/06/14	127.41	0.00	-29.99	Sale
3 tax lots for 07/28/14								
	1 000		6.10	03/06/14	7.96	0.00	-1.86	Sale
	7 000		42.67	03/07/14	56.61	0.00	-13.94	Sale
	8 000		48.77	05/08/14	48.32	0.00	0.45	Sale
07/28/14	16 000		97.54	VARIOUS	112.89	0.00	-15.35	Total of 3 lots
07/29/14	11 000		66.70	05/08/14	66.44	0.00	0.26	Sale
07/30/14	13 000		78.77	05/08/14	78.52	0.00	0.25	Sale
07/31/14	16 000		97.03	05/08/14	96.64	0.00	0.39	Sale
08/12/14	6 000		32.99	05/08/14	36.24	0.00	-3.25	Sale
08/13/14	33 000		173.09	05/08/14	199.32	0.00	-26.23	Sale
			2,207.23		2,777.07	0.00	-569.84	
Security total.								
SERVICE CORP INTL COMMON STOCK / CUSIP 817565104 / Symbol: SCI								
09/10/14	5 000		108.39	02/05/14	86.05	0.00	22.34	Sale
2 tax lots for 09/11/14								
	1 000		21.65	02/05/14	17.10	0.00	4.55	Sale
	5 000		108.25	02/05/14	86.05	0.00	22.20	Sale
09/11/14	6 000		129.90	VARIOUS	103.15	0.00	26.75	Total of 2 lots
09/23/14	5 000		105.39	02/05/14	85.52	0.00	19.87	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SERVICE CORP INTL COMMON STOCK / CUSIP: 817565104 / Symbol: SCI (cont'd)								
3 tax lots for 09/24/14								
	4 000	84 85	84 85	02/05/14	68 41	0 00	16 44	Sale
	4 000	84 47	84 47	02/06/14	69 71	0 00	14 76	Sale
	11 000	233 32	233 32	02/06/14	191 71	0 00	41 61	Sale
09/24/14	19 000	402 64	402 64	VARIOUS	329 83	0 00	72 81	Total of 3 lots
2 tax lots for 10/07/14								
	5 000	105 45	105 45	02/07/14	87 47	0 00	17 98	Sale
	5 000	106 16	106 16	02/07/14	87 47	0 00	18 69	Sale
10/07/14	10 000	211 61	211 61	VARIOUS	174 94	0 00	36 67	Total of 2 lots
10/08/14	12 000	252 16	252 16	02/07/14	209 92	0 00	42 24	Sale
	Security total:	1,210.09	1,210.09		989 41	0 00	220.68	
SOLARWINDS INC / CUSIP: 83416B109 / Symbol: SWI								
01/14/14	2 000	79 33	79 33	12/13/13	70 58	0 00	8 75	Sale
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX								
01/06/14	55.000	3,475 87	3,475 87	09/09/13	2,964.50	0 00	511.37	Sale
TECHNE CORP MINN **NAME CHANGE 11/2014** / CUSIP: 878377100 / Symbol:								
01/14/14	2 000	191 11	191 11	09/09/13	156 36	0 00	34 75	Sale
08/22/14	6 000	575 16	575 16	09/09/13	469 08	0 00	106 08	Sale
08/25/14	25 000	2,400 80	2,400 80	09/09/13	1,954 50	0 00	446 30	Sale
	Security total:	3,167.07	3,167.07		2,579 94	0 00	587 13	
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP: 881575302 / Symbol: TSCDY								
10/03/14	50.000	410 01	410 01	05/08/14	746 00	0 00	-335 99	Sale
TEXAS ROADHOUSE INC CL A / CUSIP: 882681109 / Symbol: TXRH								
01/14/14	2 000	52 99	52 99	12/11/13	54 42	1 43 W	0 00	Sale
TIBCO SOFTWARE INC **MERGER EFF 12/2014** / CUSIP: 88632Q103 / Symbol:								
4 tax lots for 10/03/14								
	5 000	118 44	118 44	12/24/13	112 43	0 00	6 01	Sale
	5 000	118 43	118 43	12/26/13	113 64	0 00	4 79	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

Account CP 91116

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TIBCO SOFTWARE INC **MERGER EFF 12/2014** / CUSIP: 88632Q103 / Symbol. (cont'd)								
	5 000	118 44	116.52	01/03/14	116.52	0 00	1 92	Sale
	9 000	213 18	207 12	01/06/14	207 12	0 00	6 06	Sale
	24 000	568 49	549 71	VARIOUS	549 71	0 00	18 78	Total of 4 lots
TIFFANY & CO NEW COMMON STOCK / CUSIP: 886547108 / Symbol. TIF								
	10 000	902 58	779 40	09/09/13	779 40	0 00	123 18	Sale
	2 000	176 63	155.88	09/09/13	155.88	0 00	20 75	Sale
	27 000	2,367.78	2,104.38	09/09/13	2,104.38	0 00	263.40	Sale
Security total.		3,446.99	3,039.66		3,039.66	0 00	407 33	
TRANSDIGM GROUP INC / CUSIP 893641100 / Symbol TDG								
	1 000	168.70	147 84	11/06/13	147 84	0 00	20 86	Sale
UNITED STATES TREASURY RATE 3 75 % MATURES 11/15/2043 . / CUSIP. 912810RD2 / Symbol.								
	1,000.000	1,055.31	1,003.08	02/12/14	1,003.08	0 00	52 23	Sale
U S TREASURY NOTE RATE 0 25 % MATURES 01/31/2014 DATED DATE 01/31/2012 / CUSIP 912828SB7 / Symbol:								
	1,000.000	1,000 00	1,000.00	09/11/13	1,000.00	0 00	0 00	Sale
U S TREASURY INFL NOTE RATE 0 375 % MATURES 04/15/2015 / CUSIP 912828SP6 / Symbol.								
	1,000.000	1,002.65	1,001 78	02/12/14	1,001 78	0 00	0 87	Sale
								Original basis: \$1,002.23
VALEANT PHARMACEUTICALS INTL I FOREIGN STOCK / CUSIP. 91911K102 / Symbol VRX								
	1.000	123 20	139 37	03/14/14	139 37	0 00	-16 17	Sale
VIRTUS INVESTMENT PARTNER INC / CUSIP. 92828Q109 / Symbol VRTS								
	2.000	370.62	361.94	07/08/13	361.94	0 00	8 68	Sale
VOLKSWAGEN AG SPON ADR PREF RATE: ADJUSTABLE ANNUALLY MATURITY. PERPETUAL / CUSIP: 928662402 / Symbol. VLKPY								
	14.000	769.85	565.24	04/03/13	565.24	0 00	204.61	Sale
WABTEC INC / CUSIP: 929740108 / Symbol WAB								
	3 000	219 38	184.50	09/09/13	184.50	0 00	34.88	Sale
	10.000	817.90	615.00	09/09/13	615.00	0 00	202 90	Sale
	9 000	750.49	553 50	09/09/13	553 50	0 00	196 99	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
Security total:			1,787.77		1,353.00	0.00	434.77	
WESCO AIRCRAFT HLDGS INC COM / CUSIP: 950814103 / Symbol: WAIR								
11/03/14	70 000	1,239.03		04/30/14	1,413.80	0.00	-174.77	Sale
4 tax lots for 11/21/14								
	70 000	1,002.61		04/30/14	1,413.79	0.00	-411.18	Sale
	30 000	429.69		05/21/14	613.03	0.00	-183.34	Sale
	45 000	644.53		06/17/14	924.83	0.00	-280.30	Sale
	30 000	429.69		08/26/14	564.98	0.00	-135.29	Sale
11/21/14	175,000	2,506.52		VARIOUS	3,516.63	0.00	-1,010.11	Total of 4 lots
Security total:		3,745.55			4,930.43	0.00	-1,184.88	
WHITEWAVE FOODS CO CL A / CUSIP: 966244105 / Symbol: WWAV								
01/14/14	3,000	72.82		05/08/13	52.63	0.00	20.19	Sale
4 tax lots for 02/18/14								
	2 000	53.42		05/08/13	35.08	0.00	18.34	Sale
	20 000	534.18		05/09/13	363.15	0.00	171.03	Sale
	2 000	53.42		05/10/13	35.98	0.00	17.44	Sale
	13 000	347.22		05/23/13	233.45	0.00	113.77	Sale
02/18/14	37,000	988.24		VARIOUS	667.66	0.00	320.58	Total of 4 lots
Security total:		1,061.06			720.29	0.00	340.77	
WORLD FUEL SERVICES CORP / CUSIP: 981475106 / Symbol: INT								
01/14/14	5 000	225.04		09/09/13	192.75	0.00	32.29	Sale
YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUW								
04/02/14	64,000	569.19		05/31/13	727.37	0.00	-158.18	Sale
2 tax lots for 07/09/14								
	122 000	1,007.50		09/09/13	1,349.99	0.00	-342.49	Sale
	63 000	520.27		01/15/14	581.83	0.00	-61.56	Sale
07/09/14	185 000	1,527.77		VARIOUS	1,931.82	0.00	-404.05	Total of 2 lots
07/10/14	33 000	274.76		01/15/14	304.77	0.00	-30.01	Sale
Security total:		2,371.72			2,963.96	0.00	-592.24	

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ARCH CAPITAL GROUP LTD (BERMUDA) FOREIGN STOCK / CUSIP: G0450A105 / Symbol ACGL							
07/24/14	15,000	832.28	09/09/13	804.30	0.00	27.98	Sale
ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol ESV							
01/14/14	5,000	278.97	05/22/13	314.14	0.00	-35.17	Sale
2 tax lots for 07/25/14							
	12,000	639.29	09/09/13	681.08	0.00	-41.79	Sale
	13,000	692.55	09/09/13	734.50	0.00	-41.95	Sale
	25,000	1,331.84	VARIOUS	1,415.58	0.00	-83.74	Total of 2 lots
07/25/14	Security total	1,610.81		1,729.72	0.00	-118.91	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol LBTYK							
01/14/14	3,000	252.85	09/09/13	219.27	0.00	33.58	Sale
06/25/14	6,000	248.93	09/09/13	219.27	0.00	29.66	Sale
	Security total:	501.78		438.54	0.00	63.24	
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP: G97822103 / Symbol PRGO							
01/14/14	1,000	157.98	12/19/13	155.34	0.00	2.64	Sale
12/03/14	2,000	318.37	12/19/13	310.68	0.00	7.69	Sale
	Security total:	476.35		466.02	0.00	10.33	
SODA STREAM INTERNATIONAL LTD FOREIGN STOCK ILS / CUSIP: M9068E105 / Symbol: SODA							
02/07/14	2,000	76.79	10/09/13	122.19	0.00	-45.40	Sale
Totals:		85,707.05		81,369.67	35.02 W	4,372.40	

UBS FINANCIAL SERVICES INC.

Account CP91116

Proceeds Not Reported to the IRS

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2014

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ACTIVISION BLIZZARD INC / CUSIP 00507V109 / Symbol. ATVI	119 000	2,338.68	09/26/13	2,019.86	0.00	318.82	Sale	
ADVANCE AUTO PARTS INC / CUSIP 00751Y106 / Symbol AAP	6 000	868.50	09/09/13	478.14	0.00	390.36	Sale	
AGRIUM INC (CANADA) FOREIGN STOCK CAD / CUSIP 008916108 / Symbol. AGU	2,000	182.61	12/21/11	135.65	0.00	46.96	Sale	
AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP 009158106 / Symbol. APD	2 tax lots for 06/25/14							
	10 000	1,294.27	04/05/12	905.86	0.00	388.41	Sale	
	6 000	776.56	04/24/12	512.46	0.00	264.10	Sale	
	16 000	2,070.83	VARIOUS	1,418.32	0.00	652.51	Total of 2 lots	
	2 tax lots for 09/10/14							
	12 000	1,554.81	04/24/12	1,024.91	0.00	529.90	Sale	
	26 000	3,368.76	02/20/13	2,284.35	0.00	1,084.41	Sale	
	38 000	4,923.57	VARIOUS	3,309.26	0.00	1,614.31	Total of 2 lots	
	Security total	6,994.40		4,727.58	0.00	2,266.82		
ALLEGHANY CORP DEL NEW COMMON STOCK / CUSIP 017175100 / Symbol. Y	2 tax lots for 03/03/14							
	1 147	441.99	03/06/08	403.67	0.00	38.32	Sale	
	1 853	713.75	06/24/09	425.00	0.00	288.75	Sale	
	3 000	1,155.74	VARIOUS	828.67	0.00	327.07	Total of 2 lots	
	1 000	414.89	04/08/13	385.91	0.00	28.98	Sale	
	4 000	1,709.82	04/08/13	1,543.64	0.00	166.18	Sale	
	Security total:	3,280.45		2,758.22	0.00	522.23		
AMER EXPRESS CO / CUSIP 025816109 / Symbol AXP	2 tax lots for 05/08/14							
	2 000	176.01	02/21/07	116.96	0.00	59.05	Sale	
	3,000	266.57	02/21/07	175.44	0.00	91.13	Sale	

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)/gross (N)/net	Proceeds (G)/gross (N)/net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMER EXPRESS CO / CUSIP 025816109 / Symbol: AXP (cont'd)								
05/08/14	2,000	177.72	177.72	06/22/07	123.86	0.00	53.86	Sale
	5,000	444.29	444.29	VARIOUS	299.30	0.00	144.99	Total of 2 lots
06/25/14	6,000	567.43	567.43	06/22/07	371.60	0.00	195.83	Sale
Security total:		1,187.73	1,187.73		787.86	0.00	399.87	
AMERICAN SCIENCE & ENGINEERING INC / CUSIP: 029429107 / Symbol: ASEI								
06/20/14	8,000	536.61	536.61	02/22/11	743.77	0.00	-207.16	Sale
AMER STATES WATER CO / CUSIP: 029899101 / Symbol: AWR								
01/14/14	3,000	82.31	82.31	05/15/08	51.84	0.00	30.47	Sale
08/20/14	1,000	31.07	31.07	05/15/08	17.28	0.00	13.79	Sale
11/10/14	3,000	104.68	104.68	05/15/08	51.84	0.00	52.84	Sale
Security total:		218.06	218.06		120.96	0.00	97.10	
AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP								
01/27/14	12,000	1,265.84	1,265.84	03/06/08	583.68	0.00	682.16	Sale
01/31/14	14,000	1,468.54	1,468.54	03/06/08	680.96	0.00	787.58	Sale
Security total:		2,734.38	2,734.38		1,264.64	0.00	1,469.74	
AMPHENOL CORP NEW CL A / CUSIP: 032095101 / Symbol: APH								
12/03/14	4,000	214.55	214.55	09/09/13	152.32	0.00	62.23	Sale
ANHEUSER BUSCH INBEV WORLD WID RATE 4 125 % MATURES 01/15/2015 / CUSIP: 03523TAM0 / Symbol								
07/24/14	2,000,000	2,034.28	2,034.28	11/04/11	2,026.02	0.00	8.26	Sale
								Note 25 Original basis \$2,176.58
APOGEE ENTERPRISES INC COMMON STOCK / CUSIP: 037598109 / Symbol: APOG								
01/14/14	3,000	105.83	105.83	04/13/10	41.93	0.00	63.90	Sale
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN								
01/15/14	26,000	1,637.29	1,637.29	06/23/10	1,166.70	0.00	470.59	Sale
04/22/14	5,000	335.01	335.01	06/23/10	224.37	0.00	110.64	Sale
2 tax lots for 04/24/14								
34,000		2,377.56	2,377.56	06/23/10	1,525.68	0.00	851.88	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) / Symbol, AZN (cont'd)	Proceeds (Gross (Net) / Symbol, AZN (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN (cont'd)								
-04/24/14	3 000	209 78	2,587.34	03/29/11	138 01	0.00	71 77	Sale
	37 000			VARIOUS	1,663.69	0.00	923.65	Total of 2 lots
2 tax lots for 05/09/14								
	12 000	923 36		03/29/11	552 02	0.00	371 34	Sale
	13 000	1,000 30		09/14/12	610 90	0.00	389 40	Sale
05/09/14	25,000	1,923 66		VARIOUS	1,162 92	0.00	760 74	Total of 2 lots
	Security total.	6,483 30			4,217.68	0.00	2,265 62	
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR / CUSIP: 052528304 / Symbol: ANZBY								
05/08/14	13,000	404.42		06/23/10	261.17	0.00	143 25	Sale
BANCO BRADESCO S.A. NEW SPON ADR / CUSIP: 059460303 / Symbol								
2 tax lots for 05/08/14								
	93 000	1,456 35		07/28/10	1,564 49	0.00	-108 14	Sale
	3 000	46 98		08/04/10	50 25	0.00	-3 27	Sale
05/08/14	96,000	1,503.33		VARIOUS	1,614.74	0.00	-111.41	Total of 2 lots
BANK OF HAWAII CORP / CUSIP: 062540109 / Symbol: BOH								
01/14/14	3,000	175 44		05/15/08	168.60	0.00	6 84	Sale
BANK OF NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK								
2 tax lots for 06/25/14								
	21 000	758 92		11/19/08	566 56	0.00	192 36	Sale
	2 000	72 28		03/09/09	35 91	0.00	36 37	Sale
06/25/14	23 000	831 20		VARIOUS	602 47	0.00	228 73	Total of 2 lots
2 tax lots for 11/19/14								
	23 000	916 07		03/09/09	412 95	0.00	503 12	Sale
	20 000	796 58		12/18/09	535 94	0.00	260 64	Sale
11/19/14	43 000	1,712.65		VARIOUS	948 89	0.00	763.76	Total of 2 lots
	Security total	2,543.85			1,551 36	0.00	992 49	

UBS FINANCIAL SERVICES INC.

Account CP 93116

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BARCLAYS PLC ADR / CUSIP 06738E204 / Symbol BCS	28 000		545 21	09/14/12	415 38	0 00	129 83	Sale
4 tax lots for 05/08/14								
	47 000		2,866 47	10/27/09	1,691 82	0 00	1,174 65	Sale
	12 000		731 86	06/23/10	491 98	0 00	239 88	Sale
	18 000		1,097 80	09/25/12	1,120 50	0 00	-22 70	Sale
	46 000		2,805 48	01/07/13	2,579 66	0 00	225 82	Sale
05/08/14	123 000		7,501 61	VARIOUS	5,883 96	0 00	1,617 65	Total of 4 lots
BERKSHIRE HATHAWAY INC NEW CLB / CUSIP 084670702 / Symbol BRKB								
01/10/14	12 000		1,375 58	03/06/08	1,089 62	0 00	285 96	Sale
BLACKBAUD INC / CUSIP: 09227Q100 / Symbol: BLKB								
01/14/14	2 000		75 05	05/15/08	49 10	0 00	25 95	Sale
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER C / CUSIP 092480102 / Symbol:								
02/14/14	107 000		1,124 57	03/06/08	1,045 39	0 00	79 18	Sale
05/09/14	84 000		901 32	03/06/08	820 68	0 00	80 64	Sale
10/08/14	175 000		1,883 00	03/06/08	1,709 75	0 00	173 25	Sale
	Security total		3,908 89		3,575 82	0 00	333 07	
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER M / CUSIP 092480201 / Symbol:								
02/14/14	98 000		951 58	03/06/08	929 04	0 00	22 54	Sale
05/09/14	80 000		785 60	03/06/08	758 40	0 00	27 20	Sale
10/08/14	125 000		1,242 50	03/06/08	1,185 00	0 00	57 50	Sale
	Security total		2,979 68		2,872 44	0 00	107 24	
BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS CL A FOREIGN STOCK / CUSIP 112585104 / Symbol: BAM								
05/08/14	22 000		941 37	04/25/13	827 80	0 00	113 57	Sale
12/03/14	5 000		251 21	04/25/13	188 13	0 00	63 08	Sale
	Security total		1,192 58		1,015 93	0 00	176 65	
BROWN & BROWN INC / CUSIP: 115236101 / Symbol: BRO								
12/03/14	5 000		162 79	09/09/13	160 00	0 00	2 79	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS								
5 tax lots for 02/05/14								
	23 000	1,500 14	1,500 14	12/21/07	911 81	0 00	588 33	Sale
	40 000	2,608 93	2,608 93	12/02/08	1,087 47	0 00	1,521 46	Sale
	9 000	587 01	587 01	06/24/09	279 72	0 00	307 29	Sale
	30 000	1,956 70	1,956 70	12/29/09	974 18	0 00	982 52	Sale
	14 000	913 13	913 13	01/15/10	474 14	0 00	438 99	Sale
02/05/14	116 000	7,565.91	7,565.91	VARIOUS	3,727.32	0 00	3,838.59	Total of 5 lots
2 tax lots for 05/08/14								
	21 000	1,595 26	1,595 26	01/15/10	711 22	0 00	884 04	Sale
	7 000	531 75	531 75	06/15/10	223 96	0 00	307 79	Sale
05/08/14	28 000	2,127 01	2,127 01	VARIOUS	935 18	0 00	1,191 83	Total of 2 lots
2 tax lots for 10/02/14								
	33 000	2,613.55	2,613.55	06/15/10	1,055 81	0 00	1,557 74	Sale
	40 000	3,167 93	3,167 93	08/19/10	1,147 92	0 00	2,020 01	Sale
10/02/14	73 000	5,781 48	5,781 48	VARIOUS	2,203.73	0 00	3,577.75	Total of 2 lots
		Security total	15,474.40		6,866 23	0 00	8,608 17	
CALAMOS MARKET NEUTRAL INCOME FUND CLASS A / CUSIP: 128119203 / Symbol:								
01/27/14	70.423	904.93	904.93	03/06/08	883 81	0 00	21.12	Sale
05/08/14	130.883	1,700.17	1,700.17	03/06/08	1,642.58	0 00	57 59	Sale
		Security total:	2,605.10		2,526.39	0 00	78 71	
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I / CUSIP: 128119880 / Symbol:								
10/28/14	59.650	771 27	771 27	03/06/08	741 18	0 00	30.09	Sale
CALGON CARBON CORP COMMON STOCK / CUSIP: 129603106 / Symbol: CCC								
01/14/14	4.000	82.70	82.70	03/28/11	61 21	0 00	21.49	Sale
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP: 136385101 / Symbol: CNQ								
2 tax lots for 05/08/14								
	20 000	805 59	805 59	03/06/08	764 90	0 00	40 69	Sale
	42 000	1,691 75	1,691 75	06/24/09	1,032 99	0 00	658 76	Sale
05/08/14	62 000	2,497 34	2,497 34	VARIOUS	1,797 89	0 00	699.45	Total of 2 lots

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP: 136385101 / Symbol CNQ (cont'd)								
06/20/14	12.000	535.39	295 14	06/24/09	2,093.03	0.00	240 25	Sale
Security total:		3,032.73				0.00	939.70	
CANTEL MEDICAL CORP / CUSIP: 138098108 / Symbol CMN								
01/14/14	2.000	68.56	18.25	02/24/10		0.00	50.31	Sale
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol CGEMY								
2 tax lots for 01/15/14								
	8.000	280.39	150.21	11/09/11		0.00	130.18	Sale
	3.000	105.15	59.11	11/11/11		0.00	46.04	Sale
01/15/14	11.000	385.54	209.32	VARIOUS		0.00	176.22	Total of 2 lots
CARBO CERAMICS INC / CUSIP: 140781105 / Symbol CRR								
02/05/14	2.000	220.06	86.90	02/20/07		0.00	133.16	Sale
4 tax lots for 12/29/14								
	8.000	320.68	347.60	02/20/07		0.00	-26.92	Sale
	2.000	80.17	136.13	06/08/10		0.00	-55.96	Sale
	4.000	160.34	380.16	02/28/12		0.00	-219.82	Sale
	1.000	40.08	103.08	03/14/12		0.00	-63.00	Sale
12/29/14	15.000	601.27	966.97	VARIOUS		0.00	-365.70	Total of 4 lots
Security total:		821.33	1,053.87			0.00	-232.54	
CARMAX INC / CUSIP: 143130102 / Symbol KMX								
12/10/14	15.000	885.13	674.40	04/25/13		0.00	210.73	Sale
12/22/14	15.000	1,023.43	674.40	04/25/13		0.00	349.03	Sale
Security total:		1,908.56	1,348.80			0.00	559.76	
CLARCOR INC COMMON STOCK / CUSIP: 179895107 / Symbol CLC								
01/14/14	2.000	127.59	81.96	05/15/08		0.00	45.63	Sale
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol KO								
2 tax lots for 02/11/14								
	15.000	579.01	403.87	03/18/10		0.00	175.14	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

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Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) / Symbol: KO (cont'd))	Proceeds (Gross (Net) / Symbol: COHR)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP 191216100 / Symbol: KO (cont'd)	44 000	1,698.43	1,134.67	05/24/10	1,134.67	0.00	563.76	Sale
02/11/14	59,000	2,277.44	1,538.54	VARIOUS	1,538.54	0.00	738.90	Total of 2 lots
COHERENT INC COMMON STOCK / CUSIP 192479103 / Symbol: COHR	2,000	145.41	60.66	05/15/08	60.66	0.00	84.75	Sale
01/14/14	5,000	319.99	151.65	05/15/08	151.65	0.00	168.34	Sale
08/26/14	Security total:	465.40	212.31		212.31	0.00	253.09	
COHEN & STEERS INC / CUSIP 19247A100 / Symbol: CNS	2,000	76.11	63.50	04/09/12	63.50	0.00	12.61	Sale
01/14/14								
COLUMBIA SPORTSWEAR CO / CUSIP 198516106 / Symbol: COLM	2,000	157.77	113.23	11/26/12	113.23	0.00	44.54	Sale
01/14/14	2,000	147.15	113.22	11/26/12	113.22	0.00	33.93	Sale
02/10/14	1,000	82.70	56.62	11/26/12	56.62	0.00	26.08	Sale
07/10/14	2 tax lots for 07/16/14	160.30	113.22	11/26/12	113.22	0.00	47.08	Sale
	2,000	80.15	57.93	11/29/12	57.93	0.00	22.22	Sale
07/16/14	1,000	240.45	171.15	VARIOUS	171.15	0.00	69.30	Total of 2 lots
	3,000							
3 tax lots for 08/01/14	2,000	149.03	115.86	11/29/12	115.86	0.00	33.17	Sale
	5,000	372.56	279.88	12/12/12	279.88	0.00	92.68	Sale
	2,000	149.03	106.98	02/19/13	106.98	0.00	42.05	Sale
08/01/14	9,000	670.62	502.72	VARIOUS	502.72	0.00	167.90	Total of 3 lots
	Security total	1,298.69	956.94		956.94	0.00	341.75	
COMMERCIAL METALS CO COMMON STOCK / CUSIP 201723103 / Symbol: CMC	4,000	80.71	61.92	07/14/09	61.92	0.00	18.79	Sale
01/14/14								
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP 20441A102 / Symbol: SBS	52,000	442.03	352.99	06/23/10	352.99	0.00	89.04	Sale
03/25/14	38,000	327.68	257.95	06/23/10	257.95	0.00	69.73	Sale
03/26/14	65,000	562.51	441.24	06/23/10	441.24	0.00	121.27	Sale
03/27/14	57,000	543.77	386.93	06/23/10	386.93	0.00	156.84	Sale
05/08/14								

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

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Description of property

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Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds (Gross) Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 20441A102 / Symbol: SBS (cont'd)								
10/21/14	49 000		381 76	06/23/10	332.62	0 00	49.14	Sale
10/24/14	16 000		117.95	06/23/10	108.61	0 00	9.34	Sale
10/27/14	31 000		217 58	06/23/10	210.44	0 00	7.14	Sale
2 tax lots for 10/28/14								
	22 000		161 63	06/23/10	149.34	0 00	12.29	Sale
	45 000		330 62	09/06/13	441.45	0 00	-110.83	Sale
10/28/14	67 000		492 25	VARIOUS	590.79	0 00	-98.54	Total of 2 lots
12/17/14	74 000		450 65	09/06/13	725.94	0 00	-275.29	Sale
2 tax lots for 12/22/14								
	41 000		260 24	09/06/13	402.21	0 00	-141.97	Sale
	7 000		44.43	09/09/13	67.45	0 00	-23.02	Sale
12/22/14	48 000		304.67	VARIOUS	469.66	0 00	-164.99	Total of 2 lots
12/23/14	64 000		403.68	09/09/13	616.71	0 00	-213.03	Sale
12/24/14	10 000		62.90	09/09/13	96.36	0 00	-33.46	Sale
12/26/14	34 000		220.56	09/09/13	327.62	0 00	-107.06	Sale
12/29/14	76 000		494.28	09/09/13	732.34	0 00	-238.06	Sale
	Security total:		5,022.27		5,650.20	0 00	-627.93	
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol:								
05/08/14	106 000		1,610.10	06/23/10	2,053.91	0 00	-443.81	Sale
COPART INC COMMON STOCK / CUSIP: 217204106 / Symbol: CPRT...								
12/03/14	10 000		364.39	09/09/13	329.40	0 00	34.99	Sale
CORESITE RLTY CORP COM REIT SBI / CUSIP: 21870Q105 / Symbol: COR								
01/14/14	3 000		97.10	09/25/12	79.32	0 00	17.78	Sale
02/03/14	3 000		91.29	09/26/12	79.67	0 00	11.62	Sale
02/04/14	2 000		61.51	09/26/12	53.12	0 00	8.39	Sale
	Security total:		249.90		212.11	0 00	37.79	
COSTCO WHOLESALE CORP / CUSIP: 22160K105 / Symbol: COST								
10/08/14	40 000		5,139.56	03/06/08	2,416.40	0 00	2,723.16	Sale
CROWN HOLDINGS INC / CUSIP: 228368106 / Symbol: CCK								
12/03/14	5 000		255.89	09/09/13	220.45	0 00	35.44	Sale

UBS FINANCIAL SERVICES INC.

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DARLING INTL INC / CUSIP 237266101 / Symbol: DAR								
2 tax lots for 09/23/14								
	6 000	110 55		02/10/11	87 73	0 00	22 82	Sale
09/23/14	3 000	55 28		02/11/11	44 45	0 00	10 83	Sale
	9 000	165 83		VARIOUS	132 18	0 00	33 65	Total of 2 lots
12/29/14	5 000	90 91		02/11/11	74 07	0 00	16 84	Sale
2 tax lots for 12/30/14								
	2 000	36 25		02/11/11	29 63	0 00	6 62	Sale
	3 000	54 37		02/14/11	45 16	0 00	9 21	Sale
12/30/14	5 000	90 62		VARIOUS	74 79	0 00	15 83	Total of 2 lots
		Security total:	347 36		281 04	0 00	66 32	
DENTSPLY INTL INC COMMON STOCK / CUSIP 249030107 / Symbol: XRAY								
11/25/14	30 000	1,630 39		09/09/13	1,303 36	0 00	327 03	Sale
DIAGEO PLC NEW GB SPON ADR / CUSIP 25243Q205 / Symbol: DEO								
06/25/14	4 000	502 79		09/25/07	346 11	0 00	156 68	Sale
08/22/14	1 000	118 30		06/23/10	65 09	0 00	53 21	Sale
08/28/14	1 000	119 75		06/23/10	65 09	0 00	54 66	Sale
08/29/14	2 000	239 13		06/23/10	130 18	0 00	108 95	Sale
09/02/14	3 000	359 64		06/23/10	195 26	0 00	164 38	Sale
09/03/14	2 000	241 74		06/23/10	130 18	0 00	111 56	Sale
09/04/14	2 000	242 95		06/23/10	130 18	0 00	112 77	Sale
09/05/14	2 000	241 22		06/23/10	130 18	0 00	111 04	Sale
09/08/14	2 000	238 75		06/23/10	130 17	0 00	108 58	Sale
09/09/14	2 000	240 00		06/23/10	130 18	0 00	109 82	Sale
		Security total	2,544 27		1,452 62	0 00	1,091 65	
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP 254687106 / Symbol: DIS								
04/10/14	10 000	791 12		02/02/12	390 40	0 00	400 72	Sale
06/25/14	6 000	503 81		05/04/12	258 66	0 00	245 15	Sale
2 tax lots for 10/23/14								
	14 000	1,236 48		05/04/12	603 54	0 00	632 94	Sale
	22 000	1,943 04		02/28/13	1,208 06	0 00	734 98	Sale
10/23/14	36 000	3,179 52		VARIOUS	1,811 60	0 00	1,367 92	Total of 2 lots

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Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
Security total:		4,474.45			2,460.66	0.00	2,013.79	
DISCOVERY COMMUNICATIONS INC SER C / CUSIP: 25470F302 / Symbol: DISCK	5 000	173.19		09/09/13	179.28	6.09 W	0.00	Sale
DORMAN PRODUCTS INC / CUSIP: 258278100 / Symbol: DORM	2 000	103.71		06/22/12	46.17	0.00	57.54	Sale
DUPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT	4 000	123.02		05/11/10	95.55	0.00	27.47	Sale
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG	9 000	929.14		03/06/08	534.75	0.00	394.39	Sale
	12 000	1,288.81		03/06/08	712.99	0.00	575.82	Sale
	13 000	1,277.48		03/06/08	772.41	0.00	505.07	Sale
Security total:		3,495.43			2,020.15	0.00	1,475.28	
FANNIE MAE NOTE RATE 0.625 % MATURES 10/30/2014 DATED DATE 09/27/2011 / CUSIP: 3135G0DW0 / Symbol:								
3 tax lots for 09/04/14								
	3,000.000	3,002.37		05/11/12	3,000.87	0.00	1.50	Sale
	2,000.000	2,001.58		09/18/12	2,001.00	0.00	0.58	Original basis \$3,013.71
	1,000.000	1,000.79		12/14/12	1,000.56	0.00	0.23	Sale
	6,000.000	6,004.74		VARIOUS	6,002.43	0.00	2.31	Original basis \$2,013.56
								Sale
FNMA NOTE RATE 1.25 % MATURES 01/30/2017 DATED DATE 01/09/2012 / CUSIP: 3135G0GY3 / Symbol:								
	1,000.000	1,015.02		02/14/12	1,005.66	0.00	9.36	Original basis \$1,009.38
								Sale
FIRST FINCL BANKSHARES INC COMMON STOCK / CUSIP: 32020R109 / Symbol: FFIN	2 000	130.49		11/20/12	73.93	0.00	56.56	Sale
	6 000	384.14		11/20/12	221.79	0.00	162.35	Sale
Security total:		514.63			295.72	0.00	218.91	

UBS FINANCIAL SERVICES INC.

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT								
2 tax lots for 08/19/14								
	2 000		51 01	06/14/13	35 46	0 00	15 55	Sale
	3 000		76 52	06/17/13	53 36	0 00	23 16	Sale
08/19/14	5 000		127 53	VARIOUS	88 82	0 00	38 71	Total of 2 lots
3 tax lots for 08/20/14								
	2 000		50 44	06/17/13	35 58	0 00	14 86	Sale
	5 000		126 09	06/18/13	90 25	0 00	35 84	Sale
	3 000		75 65	06/19/13	53 80	0 00	21 85	Sale
08/20/14	10 000		252 18	VARIOUS	179 63	0 00	72 55	Total of 3 lots
09/10/14	5 000		131 80	06/19/13	89 66	0 00	42 14	Sale
09/11/14	18 000		469 66	06/19/13	322 79	0 00	146 87	Sale
	Security total:		981 17		680 90	0 00	300 27	
FRANKLIN ELECTRIC CO COMMON STOCK / CUSIP: 353514102 / Symbol: FELE								
01/14/14	3 000		132 14	07/18/12	86 81	0 00	45 33	Sale
GENL ELEC CAP CORP RATE 1.625 % MATURES 07/02/2015 / CUSIP: 36962G5Z3 / Symbol:								
07/24/14	2,000.000		2,024.12	10/23/12	2,013.87	0 00	10 25	Sale
								Original basis: \$2,039 48
GENTHERM INC / CUSIP: 37253A103 / Symbol: THRM								
2 tax lots for 01/14/14								
	2 000		47 62	03/17/11	28 33	0 00	19 29	Sale
	1 000		23 81	03/21/11	15 05	0 00	8 76	Sale
01/14/14	3 000		71 43	VARIOUS	43 38	0 00	28 05	Total of 2 lots
2 tax lots for 04/09/14								
	4 000		135 54	03/21/11	60 22	0 00	75 32	Sale
	3 000		101 65	03/23/11	43 32	0 00	58 33	Sale
04/09/14	7 000		237 19	VARIOUS	103 54	0 00	133 65	Total of 2 lots
2 tax lots for 07/30/14								
	2 000		85 76	03/23/11	28 88	0 00	56 88	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)/et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional Information
GENTHERM INC / CUSIP: 37253A103 / Symbol: THRM (cont'd)								
	5 000		214 41	03/25/11	74 18	0.00	140 23	Sale
07/30/14	7.000		300 17	VARIOUS	103 06	0.00	197 11	Total of 2 lots
08/13/14	4.000		182 64	03/25/11	59 34	0.00	123 30	Sale
Security total.			791.43		309.32	0.00	482.11	
GOLDMAN SACHS GROUP INC NOTE RATE 2.375 % MATURES 01/22/2018 / CUSIP: 38141GRC0 / Symbol: 12/16/14	4,000 000		4,033 56	02/06/13	4,014.11	0.00	19.45	Sale Original basis: \$4,022 12
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL	1 000		584.70	06/24/09	204.51	0.00	380.19	Sale
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG	1.000		577.29	06/24/09	203.89	0.00	373.40	Sale
HARLEY DAVIDSON INC COMMON STOCK / CUSIP: 412822108 / Symbol: HOG								
3 tax lots for 10/15/14.								
	5 000		275 74	06/24/09	79.50	0.00	196 24	Sale
	14 000		772 06	06/23/10	345.63	0.00	426 43	Sale
	16 000		882 35	07/16/12	701 58	0.00	180 77	Sale
10/15/14	35.000		1,930.15	VARIOUS	1,126.71	0.00	803 44	Total of 3 lots
HEWLETT PACKARD CO COMMON STOCK / CUSIP: 428236103 / Symbol: HPQ								
3 tax lots for 01/08/14								
	25 000		686 43	10/24/08	797 12	0.00	-110 69	Sale
	9 000		247 12	06/24/09	335 25	0.00	-88 13	Sale
	4 000		109 83	06/23/10	187 07	0.00	-77 24	Sale
01/08/14	38 000		1,043 38	VARIOUS	1,319.44	0.00	-276.06	Total of 3 lots
HIBBETT SPORTS INC / CUSIP: 428567101 / Symbol: HIBB								
2 tax lots for 02/05/14								
	2 000		113 38	03/11/09	30 28	0.00	83 10	Sale

UBS FINANCIAL SERVICES INC.

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
HIBBETT SPORTS INC / CUSIP: 428567101 / Symbol HIBB (cont'd)								
	3 000		170.06	07/14/09	51.81	0.00	118.25	Sale
02/05/14	5,000		283.44	VARIOUS	82.09	0.00	201.35	Total of 2 lots
HITACHI LTD ADR NEW JAPAN ADR / CUSIP: 433578507 / Symbol. HTHY								
01/15/14	18 000		1,495.63	04/28/11	960.06	0.00	535.57	Sale
HUB GROUP INC CL A / CUSIP: 443320106 / Symbol. HUBG								
01/14/14	2 000		84.27	01/12/11	74.29	0.00	9.98	Sale
ICU MEDICAL INC COMMON STOCK / CUSIP: 44930G107 / Symbol: ICUI								
01/14/14	2 000		130.89	09/25/08	64.05	0.00	66.84	Sale
11/24/14	5,000		410.71	09/25/08	160.13	0.00	250.58	Sale
	2 tax lots for 12/08/14							
	3 000		250.48	09/25/08	96.07	0.00	154.41	Sale
	3 000		250.48	12/09/08	91.87	0.00	158.61	Sale
12/08/14	6,000		500.96	VARIOUS	187.94	0.00	313.02	Total of 2 lots
	Security total				412.12	0.00	630.44	
IPG PHOTONICS CORP / CUSIP: 44980X109 / Symbol: IPGP								
	2 tax lots for 01/03/14							
	2 000		151.40	06/27/12	87.01	0.00	64.39	Sale
	2 000		151.39	07/23/12	87.70	0.00	63.69	Sale
01/03/14	4 000		302.79	VARIOUS	174.71	0.00	128.08	Total of 2 lots
12/10/14	1 000		74.10	07/23/12	43.85	0.00	30.25	Sale
	Security total.				218.56	0.00	158.33	
IPC THE HOSPITALIST CO INC / CUSIP: 44984A105 / Symbol: IPCM								
12/16/14	7 000		309.72	06/24/13	373.02	0.00	-63.30	Sale
IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITYBY								
05/08/14	10 000		879.88	05/04/11	718.08	0.00	161.80	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Proceeds		Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
		Shown (G)ross	(N)et					
IROBOT CORPORATION / CUSIP: 462726100 / Symbol: IRBT								

3 tax lots for 01/14/14

01/14/14	4 000	152 80	08/13/12	95 62	0 00	57 18	Sale
	5 000	191 01	10/03/12	114 91	0 00	76 10	Sale
	6 000	229 20	10/04/12	138 12	0 00	91 08	Sale
	15 000	573 01	VARIOUS	348 65	0 00	224 36	Total of 3 lots

IRON MOUNTAIN INC NEW / CUSIP: 462846106 / Symbol IRM

5 tax lots for 01/31/14

01/31/14	42 000	1,110.65	03/06/08	1,179.72	0.00	-69.07	Sale
	16 000	423.11	06/24/09	451.68	0.00	-28.57	Sale
	8 000	211.55	06/23/10	186.12	0.00	25.43	Sale
	10 000	264.45	10/12/10	208.78	0.00	55.67	Sale
	13 000	343.77	11/21/12	427.31	0.00	-83.54	Sale
	89 000	2,353.53	VARIOUS	2,453.61	0.00	-100.08	Total of 5 lots

ISHARES TRUST CORE S&P 500 ETF / CUSIP: 464287200 / Symbol IVV

03/25/14	29,000	5,447.04	03/06/08	3,839 17	0.00	1,607.87	Sale
05/08/14	41 000	7,783.27	03/06/08	5,427.78	0.00	2,355.49	Sale
06/24/14	11,000	2,172.78	03/06/08	1,456.24	0.00	716.54	Sale
07/07/14	1,000	198.96	03/06/08	132.38	0.00	66.58	Sale
08/01/14	6 000	1,164 41	03/06/08	794 31	0.00	370 10	Sale
09/16/14	21,000	4,208.60	03/06/08	2,780.09	0.00	1,428.51	Sale
09/29/14	4,000	790.78	03/06/08	529 54	0.00	261 24	Sale
10/06/14	4,000	789 90	03/06/08	529.54	0.00	260 36	Sale

3 tax lots for 10/07/14

10/07/14	1 000	196 21	03/06/08	132 38	0 00	63 83	Sale
	4 000	781 74	03/06/08	529 54	0 00	252 20	Sale
	5 000	982 38	03/06/08	661 93	0 00	320 45	Sale
	10 000	1,960 33	VARIOUS	1,323.85	0.00	636 48	Total of 3 lots
11/19/14	42 000	8,663.57	03/06/08	5,560.17	0.00	3,103 40	Sale
12/02/14	4 000	833 90	03/06/08	529 54	0.00	304.36	Sale
12/03/14	11 000	2,299 94	03/06/08	1,456 23	0 00	843 71	Sale
12/05/14	5.000	1,046 08	03/06/08	661 93	0.00	384,15	Sale
	Security total:	37,359 56		25,020 77	0.00	12,338.79	

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Description of property

Date sold or disposed	Quantity	Shown (G/gross (N)et	Proceeds (G/gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
IShares RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol IWV								
01/13/14	17,000		1,932.36	03/06/08	1,142.74	0.00	789.62	Sale
12/02/14	10,000		1,160.71	03/06/08	672.20	0.00	488.51	Sale
12/05/14	8,000		938.94	03/06/08	537.76	0.00	401.18	Sale
	Security total.		4,032.01		2,352.70	0.00	1,679.31	
Shares RUSSELL 3000 ETF / CUSIP: 464287689 / Symbol IWV								
01/13/14	47,000		5,126.67	03/06/08	3,587.89	0.00	1,538.78	Sale
03/25/14	23,000		2,584.97	03/06/08	1,755.78	0.00	829.19	Sale
05/08/14	31,000		3,490.13	03/06/08	2,366.48	0.00	1,123.65	Sale
3 tax lots for 10/07/14								
	8,000		923.98	03/06/08	610.70	0.00	313.28	Sale
	26,000		2,991.26	03/06/08	1,984.79	0.00	1,006.47	Sale
	26,000		3,007.09	03/06/08	1,984.79	0.00	1,022.30	Sale
10/07/14	60,000		6,922.33	VARIOUS	4,580.28	0.00	2,342.05	Total of 3 lots
11/19/14	23,000		2,794.44	03/06/08	1,755.78	0.00	1,038.66	Sale
12/02/14	35,000		4,292.79	03/06/08	2,671.83	0.00	1,620.96	Sale
12/03/14	13,000		1,600.78	03/06/08	992.40	0.00	608.38	Sale
12/05/14	10,000		1,231.97	03/06/08	763.38	0.00	468.59	Sale
	Security total.		28,044.08		18,473.82	0.00	9,570.26	
ISRAEL CHEMICALS LTD ADR / CUSIP: 465036200 / Symbol.								
5 tax lots for 10/23/14								
	2,000		13.85	06/07/12	21.14	0.00	-7.29	Sale
	33,000		228.48	06/13/12	340.27	0.00	-111.79	Sale
	29,000		200.78	06/14/12	290.88	0.00	-90.10	Sale
	27,000		186.94	06/18/12	274.53	0.00	-87.59	Sale
	11,000		76.16	06/19/12	112.98	0.00	-36.82	Sale
10/23/14	102,000		706.21	VARIOUS	1,039.80	0.00	-333.59	Total of 5 lots
3 tax lots for 10/27/14								
	13,000		89.31	06/19/12	133.52	0.00	-44.21	Sale
	110,000		755.74	09/06/13	767.79	0.00	-12.05	Sale
	26,000		178.63	09/09/13	191.88	0.00	-13.25	Sale
10/27/14	149,000		1,023.68	VARIOUS	1,093.19	0.00	-69.51	Total of 3 lots
10/28/14	41,000		283.01	09/09/13	302.58	0.00	-19.57	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
Security total:		2,012.90			2,435.57	0.00	-422.67	
J P MORGAN CHASE & CO NOTE RATE 3.15 % MATURES 07/05/2016 / CUSIP: 46625HJA9 / Symbol 02/14/14	1,000 000	1,049.87		08/08/11	993.01	0.00	56.86	Sale Original basis: \$993.01
2 tax lots for 10/17/14								
1,000 000		1,035.94		08/08/11	993.01	0.00	42.93	Sale Original basis \$993.01
2,000 000		2,071.88		09/11/13	2,054.97	0.00	16.91	Sale Original basis. \$2,089.48
3,000 000		3,107.82		VARIOUS	3,047.98	0.00	59.84	Total of 2 lots
Security total:		4,157.69			4,040.99	0.00	116.70	
KDDI CORP ADR / CUSIP: 48667L106 / Symbol KDDIY 01/07/14	15,000		229.11	02/28/12	118.93	0.00	110.18	Sale
KEYSIGHT TECHNOLOGIES INC COM / CUSIP: 49338L103 / Symbol KEYS 11/03/14	0.500		15.39	02/19/09	4.34	0.00	11.05	Sale
LABORATORY CORP AMER HLDGS NEW / CUSIP: 50540R409 / Symbol: LH 06/25/14	21,000		2,124.66	04/25/13	1,969.80	0.00	154.86	Sale
2 tax lots for 12/03/14								
1 000		105.96		04/25/13	93.80	0.00	12.16	Sale
1 000		105.95		06/07/13	99.73	0.00	6.22	Sale
2 000		211.91		VARIOUS	193.53	0.00	18.38	Total of 2 lots
Security total:		2,336.57			2,163.33	0.00	173.24	
LIFE TIME FITNESS INC / CUSIP 53217R207 / Symbol LTM 01/14/14	1 000		45.23	05/25/11	36.47	0.00	8.76	Sale
2 tax lots for 07/11/14.								
3 000		144.88		05/25/11	109.42	0.00	35.46	Sale
2 000		96.58		05/26/11	73.98	0.00	22.60	Sale
5,000		241.46		VARIOUS	183.40	0.00	58.06	Total of 2 lots
3 tax lots for 08/07/14								
3 000		114.87		05/26/11	110.98	0.00	3.89	Sale

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)/et LTM (cont'd)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LIFE TIME FITNESS INC / CUSIP: 53217R207 / Symbol LTM (cont'd)	2 000	76 58		05/27/11	74 27	0 00	2 31	Sale
	5 000	191 46		03/14/12	250 40	0 00	-58 94	Sale
08/07/14	10 000	382 91		VARIOUS	435 65	0 00	-52 74	Total of 3 lots
	Security total	669 60			655 52	0 00	14 08	
LIQUIDITY SERVICES INC / CUSIP: 53635B107 / Symbol LQDT	3 000	65.61		10/23/09	31 35	0 00	34 26	Sale
	2 tax lots for 05/15/14							
	5 000	67 73		10/23/09	52 26	0 00	15 47	Sale
	15 000	203 18		10/26/10	250 17	0 00	-46 99	Sale
05/15/14	20 000	270 91		VARIOUS	302 43	0 00	-31 52	Total of 2 lots
	Security total:	336 52			333 78	0 00	2 74	
LOEWS CORP COMMON STOCK / CUSIP 540424108 / Symbol: L	16 000	697.58		03/06/08	661 41	0 00	36 17	Sale
05/08/14	16 000	682.70		03/06/08	661 41	0 00	21 29	Sale
11/19/14		1,380.28			1,322 82	0 00	57 46	
	Security total							
MANULIFE FINANCIAL CORP FOREIGN STOCK CAD / CUSIP: 56501R106 / Symbol: MFC								
	2 tax lots for 01/15/14							
	13 000	260 18		06/29/11	220 95	0 00	39 23	Sale
	15 000	300 20		06/30/11	257 89	0 00	42 31	Sale
01/15/14	28 000	560 38		VARIOUS	478 84	0 00	81 54	Total of 2 lots
MARKETAXESS HOLDINGS INC / CUSIP 57060D108 / Symbol MKTX	3 000	185 20		06/28/11	72 39	0 00	112 81	Sale
10/22/14	1 000	63 81		06/28/11	24 14	0 00	39 67	Sale
11/18/14		249.01			96 53	0 00	152 48	
	Security total							
MERIDIAN BIOSCIENCE INC / CUSIP: 589584101 / Symbol VIVO	3 000	81 35		05/15/08	87 66	0 00	-6 31	Sale
01/14/14	15 000	301 36		05/15/08	438 30	0 00	-136 94	Sale
08/20/14								
	2 tax lots for 09/08/14							
	13 000	243 63		05/15/08	379 86	0 00	-136 23	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MERIDIAN BIOSCIENCE INC / CUSIP: 589584101 / Symbol: VIVO (cont'd)	8,000	149.92	149.92	05/14/12	157.58	0.00	-7.66	Sale
09/08/14	21,000	393.55	393.55	VARIOUS	537.44	0.00	-143.89	Total of 2 lots
Security total:		776.26	776.26		1,063.40	0.00	-287.14	
HERMAN MILLER INC COMMON STOCK / CUSIP: 600544100 / Symbol: MLHR	2,000	58.39	58.39	05/15/08	49.18	0.00	9.21	Sale
01/14/14								
MINERALS TECHNOLOGIES INC COMMON STOCK / CUSIP: 603158106 / Symbol: MTX	8,000	592.33	592.33	05/15/08	279.60	0.00	312.73	Sale
10/28/14								
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON	3 tax lots for 11/19/14							
	4,000	478.99	478.99	03/30/11	282.04	0.00	196.95	Sale
	2,000	239.50	239.50	09/24/12	181.47	0.00	58.03	Sale
	18,000	2,155.46	2,155.46	04/08/13	1,889.82	0.00	265.64	Sale
11/19/14	24,000	2,873.95	2,873.95	VARIOUS	2,353.33	0.00	520.62	Total of 3 lots
MYRIAD GENETICS INC NEW / CUSIP: 62855J104 / Symbol: MYGN	2 tax lots for 01/07/14							
	16,000	335.02	335.02	03/14/12	397.92	0.00	-62.90	Sale
	6,000	125.63	125.63	03/28/12	140.42	0.00	-14.79	Sale
01/07/14	22,000	460.65	460.65	VARIOUS	538.34	0.00	-77.69	Total of 2 lots
NIC INC / CUSIP: 62914B100 / Symbol: EGOV	3 tax lots for 01/06/14							
	3,000	70.02	70.02	12/29/10	27.92	0.00	42.10	Sale
	5,000	116.70	116.70	12/31/10	47.61	0.00	69.09	Sale
	2,000	46.68	46.68	02/22/11	19.56	0.00	27.12	Sale
01/06/14	10,000	233.40	233.40	VARIOUS	95.09	0.00	138.31	Total of 3 lots
01/14/14	3,000	71.06	71.06	02/22/11	29.34	0.00	41.72	Sale
03/17/14	5,000	95.11	95.11	02/22/11	48.90	0.00	46.21	Sale
03/18/14	5,000	96.38	96.38	02/22/11	48.90	0.00	47.48	Sale
03/21/14	5,000	93.72	93.72	02/22/11	48.90	0.00	44.82	Sale

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Description of property

Date sold or disposed	Quantity	Shown (G/gross) (N/net)	Proceeds (G/gross) (N/net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional Information
NIC INC / CUSIP 62914B100 / Symbol: EGOV (cont'd)								
03/24/14	6 000		112.12	02/22/11	58.68	0.00	53.44	Sale
Security total:			701.79		329.81	0.00	371.98	
NATURAL GAS SERVICES GROUP INC / CUSIP: 63886Q109 / Symbol: NGS								
01/14/14	2.000		52.49	02/11/11	36.00	0.00	16.49	Sale
NEOGEN CORP COMMON STOCK / CUSIP: 640491106 / Symbol: NEOG								
01/14/14	1.000		43.58	12/30/10	27.93	0.00	15.65	Sale
05/08/14	7 000		266.69	12/30/10	195.52	0.00	71.17	Sale
05/09/14	3.000		110.11	12/30/10	83.79	0.00	26.32	Sale
Security total:			420.38		307.24	0.00	113.14	
NEW JERSEY RESOURCES CRP / CUSIP: 646025106 / Symbol: NJR								
01/14/14	2.000		91.36	05/15/08	67.46	0.00	23.90	Sale
07/09/14	1.000		56.55	05/15/08	33.73	0.00	22.82	Sale
07/25/14	9.000		482.21	05/15/08	303.57	0.00	178.64	Sale
10/22/14	5.000		270.31	05/15/08	168.65	0.00	101.66	Sale
Security total:			900.43		573.41	0.00	327.02	
NITTO DENKO CORP UNSPONS ADR / CUSIP 654802206 / Symbol: NDEKY								
2 tax lots for 06/13/14								
06/13/14	61 000		1,320.58	09/29/10	1,177.62	0.00	142.96	Sale
	26 000		562.87	12/21/11	466.22	0.00	96.65	Sale
	87 000		1,883.45	VARIOUS	1,643.84	0.00	239.61	Total of 2 lots
06/17/14	12.000		263.85	02/06/12	222.27	0.00	41.58	Sale
10/20/14	20 000		505.92	09/09/13	630.00	0.00	-124.08	Sale
10/21/14	11 000		279.44	09/09/13	346.50	0.00	-67.06	Sale
Security total:			2,932.66		2,842.61	0.00	90.05	
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP 674599105 / Symbol: OXY								
01/13/14	26 000		2,391.36	03/06/08	1,977.04	0.00	414.32	Sale
2 tax lots for 06/25/14								
06/25/14	2 000		204.82	03/06/08	152.08	0.00	52.74	Sale
	4 000		409.63	06/24/09	256.44	0.00	153.19	Sale
	6 000		614.45	VARIOUS	408.52	0.00	205.93	Total of 2 lots

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP 674599105 / Symbol. OXY (cont'd)								
07/21/14	12 000		1,205.77	06/24/09	769.32	0.00	436.45	Sale
	Security total		4,211.58		3,154.88	0.00	1,056.70	
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol OMC								
12/03/14	2,000		155.97	09/09/13	126.88	0.00	29.09	Sale
ORANGE SPON ADR / CUSIP 684060106 / Symbol. ORAN								
2 tax lots for 05/08/14								
	54 000		905.02	12/21/11	831.95	0.00	73.07	Sale
	6 000		100.56	02/06/12	91.27	0.00	9.29	Sale
05/08/14	60 000		1,005.58	VARIOUS	923.22	0.00	82.36	Total of 2 lots
12/18/14	9,000		154.62	02/06/12	136.90	0.00	17.72	Sale
	Security total		1,160.20		1,060.12	0.00	100.08	
PIMCO TOTAL RETURN FUND CLASS A / CUSIP: 693390445 / Symbol								
01/27/14	144,769		1,559.16	03/06/08	1,559.16	0.00	0.00	Sale
05/08/14	379 405		4,131.72	03/06/08	4,079.17	0.00	52.55	Sale
	Security total		5,690.88		5,638.33	0.00	52.55	
POSCO SPON ADR / CUSIP 693483109 / Symbol PKX								
01/09/14	6 000		435.18	06/23/10	628.90	0.00	-193.72	Sale
01/10/14	2,000		147.52	06/23/10	209.64	0.00	-62.12	Sale
2 tax lots for 01/13/14								
	2 000		146.66	06/23/10	209.63	0.00	-62.97	Sale
	1,000		73.33	07/16/10	100.05	0.00	-26.72	Sale
01/13/14	3,000		219.99	VARIOUS	309.68	0.00	-89.69	Total of 2 lots
2 tax lots for 01/14/14								
	2 000		146.80	07/16/10	200.09	0.00	-53.29	Sale
	1 000		73.40	12/21/11	83.80	0.00	-10.40	Sale
01/14/14	3 000		220.20	VARIOUS	283.89	0.00	-63.69	Total of 2 lots
2 tax lots for 01/15/14								
	1,000		72.67	12/21/11	83.79	0.00	-11.12	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross / Symbol PKX (cont'd)	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional Information
POSICO SPON ADR / CUSIP: 693483109 / Symbol PKX (cont'd)								
01/15/14	4 000	290 68	363 35	04/27/12	336 97	0 00	-46 29	Sale
	5 000			VARIOUS	420 76	0 00	-57 41	Total of 2 lots
2 tax lots for 01/16/14								
	1 000	72 81		04/27/12	84 24	0 00	-11 43	Sale
	4 000	291 24		12/04/12	295 60	0 00	-4 36	Sale
01/16/14	5 000	364 05		VARIOUS	379 84	0 00	-15 79	Total of 2 lots
Security total		1,750 29			2,232 71	0 00	-482.42	
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM								
04/23/14	6 000	502.57		02/21/07	271 82	0 00	230.75	Sale
2 tax lots for 11/11/14								
	2 000	176 00		02/21/07	90 61	0 00	85 39	Sale
	25 000	2,200 05		03/06/08	1,289 23	0 00	910 82	Sale
11/11/14	27 000	2,376.05		VARIOUS	1,379.84	0 00	996 21	Total of 2 lots
Security total:		2,878 62			1,651 66	0 00	1,226 96	
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A / CUSIP: 722005584 / Symbol: MFPIAN								
01/27/14	239,444	1,293.00		01/22/09	1,446.24	0 00	-153.24	Sale
08/12/14	260,465	1,456 00		01/22/09	1,573 21	0 00	-117 21	Sale
Security total:		2,749.00			3,019 45	0 00	-270 45	
PIMCO TOTAL RETURN FUND CLASS P / CUSIP: 72201M552 / Symbol:								
10/27/14	122 182	1,336 67		03/06/08	1,313 63	0 00	23 04	Sale
12/02/14	24 315	266 98		03/06/08	261 43	0 00	5 55	Sale
12/05/14	106 484	1,168 13		03/06/08	1,144 86	0 00	23 27	Sale
Security total:		2,771 78			2,719 92	0 00	51 86	
PLANTRONICS INC NEW COMMON STOCK / CUSIP 727493108 / Symbol: PLT								
01/14/14	3 000	133 46		05/15/08	74.70	0 00	58 76	Sale
04/16/14	3 000	125.65		05/15/08	74.70	0 00	50 95	Sale
Security total		259.11			149 40	0 00	109 71	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
POLYCOM INC / CUSIP: 73172K104 / Symbol: PLCM								
2 tax lots for 01/14/14								
	2 000		23 78	06/07/12	22 96	0 00	0 82	Sale
	3 000		35 66	06/15/12	31 46	0 00	4 20	Sale
01/14/14	5 000		59 44	VARIOUS	54 42	0 00	5 02	Total of 2 lots
POLYPORE INTL INC / CUSIP: 73179V103 / Symbol: PPO								
11/07/14	2 000		107 05	04/30/13	83.81	0 00	23 24	Sale
POWER INTEGRATIONS INC / CUSIP: 739276103 / Symbol: POWI								
01/14/14	2 000		117.21	05/15/08	66.63	0 00	50.58	Sale
04/15/14	1 000		59 78	05/15/08	33.31	0 00	26 47	Sale
12/10/14	3 000		157 08	05/15/08	99.94	0 00	57.14	Sale
	Security total		334.07		199.88	0.00	134 19	
PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP: 743315103 / Symbol: PGR								
2 tax lots for 04/29/14								
	88 000		2,125 50	03/06/08	1,536 11	0 00	589.39	Sale
	20 000		483 07	06/24/09	294 80	0 00	188 27	Sale
04/29/14	108 000		2,608 57	VARIOUS	1,830 91	0 00	777.66	Total of 2 lots
4 tax lots for 05/08/14								
	7 000		172 15	06/24/09	103.18	0 00	68 97	Sale
	8 000		196 74	06/23/10	154 23	0 00	42.51	Sale
	50 000		1,229 65	07/16/12	994 98	0 00	234 67	Sale
	70 000		1,721 51	01/24/13	1,588 48	0 00	133 03	Sale
05/08/14	135 000		3,320 05	VARIOUS	2,840 87	0 00	479 18	Total of 4 lots
	Security total.		5,928.62		4,671.78	0.00	1,256.84	
QUAKER CHEMICAL CORP COMMON STOCK / CUSIP: 747316107 / Symbol: KWR								
01/14/14	1,000		74 78	12/12/08	11.65	0.00	63 13	Sale
RPC INC COMMON STOCK / CUSIP: 749660106 / Symbol: RES								
01/14/14	2,000		35.79	02/22/12	22 28	0 00	13 51	Sale
04/22/14	5,000		107.74	02/22/12	55.68	0 00	52 06	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
RPC INC COMMON STOCK / CUSIP: 749660106 / Symbol: RES (cont'd)								
4 tax lots for 04/23/14								
	4 000		87 71	02/22/12	44 55	0 00	43 16	Sale
	5 000		109 64	10/19/12	61 13	0 00	48 51	Sale
	5 000		109 63	10/22/12	60 00	0 00	49 63	Sale
	8 000		175 42	10/23/12	92 63	0 00	82 79	Sale
04/23/14	22 000		482 40	VARIOUS	258 31	0 00	224 09	Total of 4 lots
		Security total:	625 93		336 27	0 00	289 66	
RIVERBED TECHNOLOGY INC / CUSIP: 768573107 / Symbol: RVBD								
04/15/14	14 000		261 69	02/12/13	225 67	0 00	36 02	Sale
12/17/14	4 000		81 26	02/12/13	64 48	0 00	16 78	Sale
		Security total:	342 95		290 15	0 00	52 80	
ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST								
12/03/14	5 000		454 44	09/09/13	345 71	0 00	108 73	Sale
12/10/14	15 000		1 340 37	09/09/13	1 037 13	0 00	303 24	Sale
		Security total:	1 794 81		1 382 84	0 00	411 97	
ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol: RDSA								
01/15/14	6 000		427 37	06/23/10	324 00	0 00	103 37	Sale
05/08/14	11 000		886 20	06/23/10	594 00	0 00	292 20	Sale
		Security total:	1 313 57		918 00	0 00	395 57	
SAGE GROUP PLC UN SPONSORED ADR / CUSIP: 78663S201 / Symbol: SGYY								
2 tax lots for 05/08/14								
	0 457		12 29	07/21/10	7 12	0 00	5 17	Sale
	15 543		418 10	07/22/10	247 44	0 00	170 66	Sale
05/08/14	16 000		430 39	VARIOUS	254 56	0 00	175 83	Total of 2 lots
SALLY BEAUTY CO INC / CUSIP: 79546E104 / Symbol: SBH								
12/03/14	10 000		306 39	10/29/13	262 13	0 00	44 26	Sale
SAPIENT CORP / CUSIP: 803062108 / Symbol: SAPE								
01/14/14	7 000		119 55	09/16/10	78 40	0 00	41 15	Sale
02/13/14	5 000		79 42	09/16/10	56 00	0 00	23 42	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SAPIENT CORP / CUSIP: 803062108 / Symbol: SAPE (cont'd)							
	2 tax lots for 02/18/14						
	3 000	47 79	09/16/10	33 60	0 00	14 19	Sale
	13 000	207 10	10/22/10	166 42	0 00	40 68	Sale
02/18/14	16 000	254 89	VARIOUS	200 02	0 00	54 87	Total of 2 lots
	3 tax lots for 11/07/14						
	4 000	98 56	10/22/10	51 21	0 00	47 35	Sale
	13 000	320 30	10/26/10	168 26	0 00	152 04	Sale
	8 000	197 11	11/17/10	95 12	0 00	101 99	Sale
11/07/14	25 000	615 97	VARIOUS	314 59	0 00	301 38	Total of 3 lots
11/18/14	15 000	370 10	11/17/10	178 34	0 00	191 76	Sale
	3 tax lots for 11/19/14						
	3 000	73 93	11/17/10	35 67	0 00	38 26	Sale
	20 000	492 83	03/04/11	232 77	0 00	260 06	Sale
	13 000	320 34	03/07/11	149 85	0 00	170 49	Sale
11/19/14	36 000	887 10	VARIOUS	418 29	0 00	468 81	Total of 3 lots
	Security total.	2,327 03		1,245 64	0 00	1,081 39	
SASOL LTD SPON ADR / CUSIP: 803866300 / Symbol: SSL							
05/08/14	14 000	809 04	06/23/10	530 67	0 00	278 37	Sale
SBERBANK SPON ADR / CUSIP: 80585Y308 / Symbol: SBRXY							
09/30/14	35 000	280 14	06/27/13	397 64	0 00	-117 50	Sale
	2 tax lots for 10/01/14						
	13 000	102 07	06/27/13	147 70	0 00	-45 63	Sale
	48 000	376 86	07/01/13	557 85	0 00	-180 99	Sale
10/01/14	61 000	478 93	VARIOUS	705 55	0 00	-226 62	Total of 2 lots
	3 tax lots for 10/02/14						
	5 000	38 15	07/01/13	58 11	0 00	-19 96	Sale
	28 000	213 63	07/03/13	314 41	0 00	-100 78	Sale
	57 000	434 90	09/09/13	651 51	0 00	-216 61	Sale
10/02/14	90 000	686 68	VARIOUS	1,024 03	0 00	-337 35	Total of 3 lots
	Security total	1,445 75		2,127 22	0 00	-681 47	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SEGA SAMMY HOLDING INC SPON ADR / CUSIP 815794102 / Symbol SGAMY								
04/25/14	36,000	189.22	189.22	04/02/12	189.79	0.00	-0.57	Sale
04/28/14	29,000	146.45	146.45	04/02/12	152.88	0.00	-6.43	Sale
04/30/14	1,000	5.01	5.01	04/02/12	5.27	0.00	-0.26	Sale
05/01/14	5,000	25.13	25.13	04/02/12	26.36	0.00	-1.23	Sale
05/02/14	9,000	45.23	45.23	04/02/12	47.45	0.00	-2.22	Sale
05/08/14	16,000	80.85	80.85	04/02/12	84.34	0.00	-3.49	Sale
06/04/14	1,000	4.99	4.99	04/02/12	5.28	0.00	-0.29	Sale
2 tax lots for 06/16/14								
	2,000	10.10	10.10	04/02/12	10.54	0.00	-0.44	Sale
	20,000	100.95	100.95	04/03/12	108.10	0.00	-7.15	Sale
06/16/14	22,000	111.05	111.05	VARIOUS	118.64	0.00	-7.59	Total of 2 lots
06/17/14	35,000	176.46	176.46	04/03/12	189.18	0.00	-12.72	Sale
06/18/14	10,000	50.24	50.24	04/03/12	54.05	0.00	-3.81	Sale
06/19/14	5,000	25.21	25.21	04/03/12	27.03	0.00	-1.82	Sale
06/20/14	1,000	5.03	5.03	04/03/12	5.40	0.00	-0.37	Sale
2 tax lots for 07/28/14								
	11,000	56.20	56.20	04/03/12	59.46	0.00	-3.26	Sale
	11,000	56.20	56.20	04/18/12	58.19	0.00	-1.99	Sale
07/28/14	22,000	112.40	112.40	VARIOUS	117.65	0.00	-5.25	Total of 2 lots
08/01/14	11,000	54.98	54.98	04/18/12	58.19	0.00	-3.21	Sale
08/04/14	4,000	20.04	20.04	04/18/12	21.16	0.00	-1.12	Sale
08/05/14	8,000	40.13	40.13	04/18/12	42.32	0.00	-2.19	Sale
08/22/14	27,000	129.61	129.61	04/18/12	142.83	0.00	-13.22	Sale
2 tax lots for 08/25/14								
	20,000	95.85	95.85	04/18/12	105.80	0.00	-9.95	Sale
	14,000	67.10	67.10	09/14/12	68.04	0.00	-0.94	Sale
08/25/14	34,000	162.95	162.95	VARIOUS	173.84	0.00	-10.89	Total of 2 lots
08/26/14	3,000	14.31	14.31	09/14/12	14.58	0.00	-0.27	Sale
09/03/14	32,000	131.51	131.51	09/14/12	155.52	0.00	-24.01	Sale
	Security total:	1,530.80	1,530.80		1,631.76	0.00	-100.96	
SIEMENS A G SPON ADR / CUSIP .826197501 / Symbol. SIEGY								
01/15/14	5,000	687.49	687.49	07/30/12	405.55	0.00	281.94	Sale

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Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SIMPSON MANUFACTURING CO INC DELA / CUSIP: 829073105 / Symbol: SSD								
01/03/14	5,000	180.01	180.01	05/15/08	120.39	0.00	59.62	Sale
01/09/14	11,000	378.88	378.88	05/15/08	264.87	0.00	114.01	Sale
	Security total:		558.89		385.26	0.00	173.63	
SOUTH JERSEY IND INC COMMON STOCK / CUSIP: 838518108 / Symbol: SJI								
07/09/14	6,000	347.91	347.91	05/15/08	230.34	0.00	117.57	Sale
07/28/14	9,000	496.65	496.65	05/15/08	345.51	0.00	151.14	Sale
	Security total:		844.56		575.85	0.00	268.71	
STATOIL ASA ADR SPON ADR / CUSIP: 85771P102 / Symbol: STO								
05/08/14	51,000	1,594.91	1,594.91	06/23/10	1,053.60	0.00	541.31	Sale
SVENSKA CELLULOZA AB (SCA) SPON ADR / CUSIP: 869587402 / Symbol: SVCBY								
01/15/14	13,000	397.01	397.01	06/23/10	160.68	0.00	236.33	Sale
TATA MOTORS LTD SPON ADR / CUSIP: 876568502 / Symbol: TTM								
2 tax lots for 01/15/14								
	7,000	216.65	216.65	03/30/11	197.75	0.00	18.90	Sale
	8,000	247.59	247.59	08/23/11	127.87	0.00	119.72	Sale
01/15/14	15,000	464.24	464.24	VARIOUS	325.62	0.00	138.62	Total of 2 lots
05/08/14	14,000	536.33	536.33	08/23/11	223.76	0.00	312.57	Sale
	Security total:		1,000.57		549.38	0.00	451.19	
TENNECO INC / CUSIP: 880349105 / Symbol: TEN								
08/13/14	4,000	253.93	253.93	07/16/13	192.99	0.00	60.94	Sale
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP: 881575302 / Symbol: TSCDY								
3 tax lots for 10/01/14								
	46,000	400.25	400.25	05/08/13	809.50	0.00	-409.25	Sale
	44,000	382.85	382.85	05/09/13	776.97	0.00	-394.12	Sale
	12,000	104.41	104.41	05/16/13	207.72	0.00	-103.31	Sale
10/01/14	102,000	887.51	887.51	VARIOUS	1,794.19	0.00	-906.68	Total of 3 lots
8 tax lots for 10/02/14								
	12,000	103.72	103.72	05/16/13	207.71	0.00	-103.99	Sale

UBS FINANCIAL SERVICES INC.

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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP: 881575302 / Symbol: TSCDY (cont'd)	12 000	103 72	103 72	05/20/13	210 72	0 00	-107 00	Sale
	25 000	216 09	216 09	05/21/13	436 83	0 00	-220 74	Sale
	3 000	25 93	25 93	05/22/13	52 45	0 00	-26 52	Sale
	1 000	8 64	8 64	05/23/13	17 31	0 00	-8 67	Sale
	11 000	95 08	95 08	05/24/13	190 73	0 00	-95 65	Sale
	20 000	172 87	172 87	05/28/13	351 78	0 00	-178 91	Sale
	18 000	155 58	155 58	09/09/13	313 56	0 00	-157 98	Sale
10/02/14	102,000	881.63	881.63	VARIOUS	1,781.09	0.00	-899.46	Total of 8 lots
10/03/14	47,000	385.40	385.40	09/09/13	818.74	0.00	-433.34	Sale
Security total:		2,154.54	2,154.54		4,394.02	0.00	-2,239.48	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA	12 000	530.67	530.67	06/23/10	622.38	0.00	-91.71	Sale
04/17/14	5,000	251.77	251.77	06/23/10	259.33	0.00	-7.56	Sale
Security total		782.44	782.44		881.71	0.00	-99.27	
TEXAS CAPITAL BANCSHARES INC (DELA) / CUSIP: 88224Q107 / Symbol: TCBI	2,000	124.57	124.57	03/29/11	50.74	0.00	73.83	Sale
2 tax lots for 01/15/14	2,000	126.46	126.46	03/29/11	50.75	0.00	75.71	Sale
	2,000	126.45	126.45	04/05/11	52.58	0.00	73.87	Sale
01/15/14	4,000	252.91	252.91	VARIOUS	103.33	0.00	149.58	Total of 2 lots
2 tax lots for 01/28/14	1,000	60.04	60.04	04/05/11	26.29	0.00	33.75	Sale
	2,000	120.07	120.07	06/01/11	49.77	0.00	70.30	Sale
01/28/14	3,000	180.11	180.11	VARIOUS	76.06	0.00	104.05	Total of 2 lots
11/19/14	3,000	173.17	173.17	06/01/11	74.65	0.00	98.52	Sale
2 tax lots for 12/08/14	4,000	217.38	217.38	06/02/11	98.92	0.00	118.46	Sale
	4,000	217.37	217.37	04/05/13	166.34	0.00	51.03	Sale
12/08/14	8,000	434.75	434.75	VARIOUS	265.26	0.00	169.49	Total of 2 lots
12/17/14	4,000	209.83	209.83	04/05/13	166.33	0.00	43.50	Sale
Security total:		1,375.34	1,375.34		736.37	0.00	638.97	

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TRANSDIGM GROUP INC / CUSIP: 893641100 / Symbol: TDG	1 000		195.70	11/06/13	125.67	0.00	70.03	Sale
12/03/14								
TRUSTMARK CORP COMMON STOCK / CUSIP: 898402102 / Symbol: TRMK	10 000		226.91	07/30/13	268.08	0.00	-41.17	Sale
10/15/14								
II VI INC COMMON STOCK / CUSIP: 902104108 / Symbol: IIVI	5 000		84.94	05/27/11	143.06	0.00	-58.12	Sale
01/14/14								
05/15/14	5 000		68.01	05/27/11	143.05	0.00	-75.04	Sale
	2 tax lots for 05/16/14							
	3 000		40.05	05/27/11	85.83	0.00	-45.78	Sale
	2 000		26.70	08/01/12	34.96	0.00	-8.26	Sale
05/16/14	5 000		66.75	VARIOUS	120.79	0.00	-54.04	Total of 2 lots
	3 tax lots for 05/19/14							
	3 000		40.24	08/01/12	52.44	0.00	-12.20	Sale
	5 000		67.06	08/02/12	82.62	0.00	-15.56	Sale
	2 000		26.82	08/07/12	36.35	0.00	-9.53	Sale
05/19/14	10 000		134.12	VARIOUS	171.41	0.00	-37.29	Total of 3 lots
05/20/14	5 000		65.38	08/07/12	90.88	0.00	-25.50	Sale
	2 tax lots for 05/21/14							
	7 000		89.87	08/07/12	127.23	0.00	-37.36	Sale
	3 000		38.51	04/08/13	50.68	0.00	-12.17	Sale
05/21/14	10 000		128.38	VARIOUS	177.91	0.00	-49.53	Total of 2 lots
	2 tax lots for 05/22/14							
	2 000		25.69	04/08/13	33.78	0.00	-8.09	Sale
	12 000		154.15	04/11/13	209.14	0.00	-54.99	Sale
05/22/14	14 000		179.84	VARIOUS	242.92	0.00	-63.08	Total of 2 lots
	Security total:		727.42		1,090.02	0.00	-362.60	
UMB FINANCIAL CORP COMMON STOCK / CUSIP: 902788108 / Symbol: UMBF	3 000		184.55	03/23/10	125.22	0.00	59.33	Sale
06/10/14								
	2 tax lots for 07/11/14							
	5 000		296.18	04/12/10	211.65	0.00	84.53	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UMB FINANCIAL CORP COMMON STOCK / CUSIP: 902788108 / Symbol: UMBF	4,000	236.94	05/11/10	169.86	0.00	67.08	Sale	
07/11/14	9,000	533.12	VARIOUS	381.51	0.00	151.61	Total of 2 lots	
Security total.		717.67		506.73	0.00	210.94		
UMPQUA HOLDINGS CORP OR / CUSIP: 904214103 / Symbol: UMPQ								
2 tax lots for 01/14/14								
1,000	18.40	03/03/10	12.65	5.75	0.00	34.24	Sale	
5,000	91.99	08/18/10	57.75	39.99	0.00	34.24	Sale	
01/14/14	6,000	110.39	VARIOUS	70.40	0.00	39.99	Total of 2 lots	
UNITD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI								
02/05/14	6,000	400.25	05/15/08	109.32	0.00	290.93	Sale	
UNITED STATES TREASURY BOND STRIPPED PRINCIPAL PAYMENT COUPON STRIP / CUSIP: 912803AQ6 / Symbol:								
02/14/14	1,000,000	926.61	03/06/08	814.81	0.00	111.80	Sale	Note: 25 Original basis \$637.97
U S TREASURY INFL NOTE RATE 1.75 % MATURES 10/31/2018 / CUSIP: 912828RP7 / Symbol:								
02/12/14	3,000,000	3,035.73	11/04/11	3,039.20	0.00	-3.47	Sale	Original basis \$3,057.20
U S TREASURY NOTE RATE 0.25 % MATURES 01/31/2014 DATED DATE 01/31/2012 / CUSIP: 912828SB7 / Symbol:								
3 tax lots for 01/31/14								
9,000,000	9,000.00	02/14/12	8,992.26	7.74	0.00	7.74	Sale	
2,000,000	2,000.00	09/18/12	2,000.00	0.00	0.00	0.00	Sale	
1,000,000	1,000.00	12/14/12	1,000.00	0.00	0.00	0.00	Sale	
01/31/14	12,000,000	12,000.00	VARIOUS	11,992.26	0.00	7.74	Total of 3 lots	
U S TREASURY INFL NOTE RATE 0.25 % MATURES 02/15/2015 / CUSIP: 912828SE1 / Symbol:								
12/16/14	5,000,000	5,001.75	02/14/12	4,978.75	0.00	23.00	Sale	Original basis: \$4,978.75
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH								
05/08/14	6,000	466.61	02/06/13	343.29	0.00	123.32	Sale	

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
VALE SA-SP SPON ADR / CUSIP 91912E105 / Symbol: VALE								
4 tax lots for 12/17/14								
	29 000		211.22	02/18/11	1,014.78	0.00	-803.56	Sale
	17 000		123.81	03/29/11	562.48	0.00	-438.67	Sale
	13 000		94.69	12/21/11	280.88	0.00	-186.19	Sale
	1 000		7.28	04/17/12	23.29	0.00	-16.01	Sale
12/17/14	60 000		437.00	VARIOUS	1,881.43	0.00	-1,444.43	Total of 4 lots
VISA INC CL A / CUSIP 92826C839 / Symbol: V								
05/08/14	1 000		211.20	04/24/13	167.22	0.00	43.98	Sale
VIRTUS INVESTMENT PARTNER INC / CUSIP 92828Q109 / Symbol: VRTS								
05/22/14	2 000		370.62	05/08/13	426.58	0.00	-55.96	Sale
09/05/14	1 000		183.68	07/08/13	180.97	0.00	2.71	Sale
			554.30		607.55	0.00	-53.25	
VITAMIN SHOPPE INC / CUSIP 92849E101 / Symbol: VSI								
2 tax lots for 02/05/14								
	3 000		129.29	03/09/12	129.73	0.00	-0.44	Sale
	1 000		43.09	03/14/12	42.50	0.00	0.59	Sale
02/05/14	4,000		172.38	VARIOUS	172.23	0.00	0.15	Total of 2 lots
WABTEC INC / CUSIP 929740108 / Symbol: WAB								
09/17/14	22,000		1,834.21	09/09/13	1,353.00	0.00	481.21	Sale
WATTS WATER TECHNOLOGIES INC CL A / CUSIP 942749102 / Symbol: WTS								
08/01/14	7 000		403.39	05/15/08	189.35	0.00	214.04	Sale
10/02/14	4 000		226.71	05/15/08	108.20	0.00	118.51	Sale
			630.10		297.55	0.00	332.55	
WELLS FARGO & CO NEW / CUSIP 949746101 / Symbol: WFC								
05/08/14	40 000		1,975.56	03/06/08	1,124.34	0.00	851.22	Sale
06/25/14	15,000		788.53	03/06/08	421.63	0.00	366.90	Sale
2 tax lots for 11/19/14								
	1 000		53.33	03/06/08	28.11	0.00	25.22	Sale

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
WELLS FARGO & CO NEW / CUSIP 949746101 / Symbol: WFC (cont'd)								
11/19/14	5 000	266 67	266 67	01/26/09	81 37	0 00	185 30	Sale
	6 000	320 00	320 00	VARIOUS	109 48	0 00	210 52	Total of 2 lots
Security total:		3,084.09			1,655.45	0 00	1,428.64	
WEST PHARMACEUTICAL SERVICES INC / CUSIP 955306105 / Symbol: WST								
01/14/14	3 000	149 96	149 96	05/15/08	69 00	0 00	80 96	Sale
10/22/14	3 000	135 03	135 03	05/15/08	69 00	0 00	66 03	Sale
12/26/14	3 000	161 71	161 71	05/15/08	69 00	0 00	92 71	Sale
Security total:		446 70			207 00	0 00	239.70	
WEX INC / CUSIP: 96208T104 / Symbol: WEX								
01/14/14	2 000	180 59	180 59	03/08/11	104 09	0 00	76 50	Sale
08/19/14	4 000	458 21	458 21	03/08/11	208 16	0 00	250 05	Sale
Security total:		638 80			312.25	0 00	326.55	
WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP: 978097103 / Symbol: WWW								
01/14/14	4 000	119 67	119 67	09/20/11	77 73	0 00	41 94	Sale
2 tax lots for 08/13/14								
	2 000	49 92	49 92	09/20/11	38 87	0 00	11 05	Sale
	2 000	49 91	49 91	12/14/11	34 08	0 00	15 83	Sale
08/13/14	4 000	99 83	99 83	VARIOUS	72 95	0 00	26 88	Total of 2 lots
Security total:		219.50			150 68	0 00	68 82	
WORLD FUEL SERVICES CORP / CUSIP 981475106 / Symbol: INT								
12/03/14	5 000	237 74	237 74	09/09/13	192 75	0 00	44 99	Sale
YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP 98462Y100 / Symbol: AUJ								
03/27/14	23 000	203 30	203 30	06/23/10	239 58	0 00	-36.28	Sale
03/31/14	13 000	115 19	115 19	06/23/10	135 41	0 00	-20.22	Sale
04/01/14	33 000	290 74	290 74	06/23/10	343 75	0 00	-53 01	Sale
04/02/14	100 000	889 35	889 35	06/23/10	1,041 65	0 00	-152 30	Sale
07/09/14	36 000	297 30	297 30	05/31/13	409 15	0 00	-111.85	Sale
Security total:		1,795 88			2,169.54	0 00	-373 66	

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ZURICH INS GROUP LTD SPON ADR / CUSIP: 989825104 / Symbol: ZURVY	32 000	946 32	03/06/08	963.20	0.00	-16.88	Sale
ARCH CAPITAL GROUP LTD (BERMUDA) FOREIGN STOCK / CUSIP: G0450A105 / Symbol: ACGL	5.000	290 10	09/09/13	268 10	0.00	22 00	Sale
ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV							
3 tax lots for 07/25/14							
	11 000	586 01	05/22/13	691.11	0 00	-105.10	Sale
	4 000	213 10	05/28/13	250 04	0 00	-36 94	Sale
	5 000	266 37	05/29/13	309 80	0 00	-43 43	Sale
07/25/14	20.000	1,065.48	VARIOUS	1,250.95	0.00	-185.47	Total of 3 lots
10/16/14	38 000	1,446 58	09/09/13	2,156.75	0 00	-710 17	Sale
	Security total:	2,512.06		3,407.70	0 00	-895.64	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK	5 000	242.64	09/09/13	182.73	0.00	59.91	Sale
RENAISSANCERE HOLDINGS LTD FOREIGN STOCK / CUSIP: G7496G103 / Symbol: RNR	3 000	310 25	06/23/10	173 73	0 00	136 52	Sale
SODA STREAM INTERNATIONAL LTD FOREIGN STOCK ILS / CUSIP: M9068E105 / Symbol: SODA	2 000	74 69	12/20/11	63 27	0.00	11 42	Sale
01/14/14							
4 tax lots for 02/07/14							
	3 000	115 19	12/20/11	94 90	0 00	20 29	Sale
	3 000	115 18	03/14/12	110 55	0 00	4 63	Sale
	5 000	191 98	08/21/12	190 92	0 00	1 06	Sale
	5 000	191 97	09/13/12	203 63	0 00	-11 66	Sale
02/07/14	16 000	614.32	VARIOUS	600.00	0 00	14 32	Total of 4 lots
	Security total:	689.01		663.27	0.00	25 74	
Totals:		310,510.61		244,715.66	6.09 W	65,801.04	

UBS FINANCIAL SERVICES INC.

Account CP 91116

2014

Proceeds Not Reported to the IRS (continued)

UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FNMA PL AJ7689 04 0000 DUE 12/01/41 FACTOR 0 488447630000 / CUSIP 3138E0RK7 / Symbol								
02/25/14	0.000		10.61	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000		9.51	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000		14.19	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000		16.71	N/A	0.00	0.00	0.00	Principal payment
06/25/14	0.000		18.40	N/A	0.00	0.00	0.00	Principal payment
07/25/14	0.000		17.05	N/A	0.00	0.00	0.00	Principal payment
08/25/14	0.000		19.09	N/A	0.00	0.00	0.00	Principal payment
09/25/14	0.000		15.69	N/A	0.00	0.00	0.00	Principal payment
10/25/14	0.000		15.62	N/A	0.00	0.00	0.00	Principal payment
11/25/14	0.000		19.53	N/A	0.00	0.00	0.00	Principal payment
12/25/14	0.000		15.10	N/A	0.00	0.00	0.00	Principal payment
01/25/15	0.000		21.98	N/A	0.00	0.00	0.00	Principal payment
			193.48		0.00	0.00	0.00	Principal payment
Security total								
FNMA PL MA0583 04 0000 DUE 12/01/40 FACTOR 0 345988390000 / CUSIP 31417YUJH8 / Symbol								
02/25/14	0.000		42.12	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000		21.06	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000		50.35	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000		42.37	N/A	0.00	0.00	0.00	Principal payment
06/25/14	0.000		54.27	N/A	0.00	0.00	0.00	Principal payment
07/25/14	0.000		55.67	N/A	0.00	0.00	0.00	Principal payment
08/25/14	0.000		79.53	N/A	0.00	0.00	0.00	Principal payment
09/25/14	0.000		81.21	N/A	0.00	0.00	0.00	Principal payment
10/25/14	0.000		59.87	N/A	0.00	0.00	0.00	Principal payment
11/25/14	0.000		48.15	N/A	0.00	0.00	0.00	Principal payment
12/25/14	0.000		79.93	N/A	0.00	0.00	0.00	Principal payment
01/25/15	0.000		52.98	N/A	0.00	...	0.00	Principal payment
			667.51		0.00	0.00	0.00	Principal payment
Security total								
FNMA PL AE0828 03 5000 DUE 02/01/41 FACTOR 0 535658310000 / CUSIP: 31419A4N4 / Symbol								
02/25/14	0.000		14.49	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000		15.35	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000		17.57	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000		19.28	N/A	0.00	0.00	0.00	Principal payment
06/25/14	0.000		21.73	N/A	0.00	0.00	0.00	Principal payment
07/25/14	0.000		26.05	N/A	0.00	0.00	0.00	Principal payment

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

2014

(continued)

UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term

Report on Form 8949 Use either Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL AE0828 03.5000 DUE 02/01/41 FACTOR 0.535658310000 / CUSIP 31419A4N4 / Symbol (cont'd)								
08/25/14	0.000		25.25	N/A	0.00	0.00	0.00	Principal payment
09/25/14	0.000		25.80	N/A	0.00	0.00	0.00	Principal payment
10/25/14	0.000		21.65	N/A	0.00	0.00	0.00	Principal payment
11/25/14	0.000		23.68	N/A	0.00	0.00	0.00	Principal payment
12/25/14	0.000		19.79	N/A	0.00	0.00	0.00	Principal payment
01/25/15	0.000		21.92	N/A	0.00	0.00	0.00	Principal payment
Security total:			252.56		0.00	0.00	0.00	
Totals:			1,113.55		0.00	0.00	0.00	

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

VAN NICE FOUNDATION
C/O JEANETTE HUNT VAN NICE

Employer identification number (EIN) or

31-1604783

Number, street, and room or suite no. If a P.O. box, see instructions

1209 N ASTOR STREET

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions

CHICAGO, IL 60610

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ JEANETTE HUNT VAN NICE, 1209 N. ASTOR STREET, CHICAGO, IL , 60610

Telephone No. ▶ 312 266-1120

FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box ☐• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year 2014 or
- ▶ ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	0
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)