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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
EVELYN W DUNN CHARITABLE TRUST

A Employer identification number
31-1418808

Number and street (or P O box number if mail is not delivered to street address)
600 VINE STREET
ROOM/SUITE 2650

Room/suite

B Telephone number (see instructions)
(513) 381-9200

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 45202

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 629,384

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	4	4		
	4	Dividends and interest from securities	16,610	16,610		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	29,138			
	b	Gross sales price for all assets on line 6a 253,274				
	7	Capital gain net income (from Part IV, line 2)		29,138		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	45,752	45,752		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	6,602	3,301		3,301
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	3,610	2,808		902
	b	Accounting fees (attach schedule)	1,600	1,200		400
	c	Other professional fees (attach schedule)	9,588	9,588		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	554	270		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	200			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22,154	17,167		4,603
	25	Contributions, gifts, grants paid	27,000			27,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	49,154	17,167		31,603
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-3,402			
	b	**Net investment income** (if negative, enter -0-)		28,585		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	20,997	27,143	27,143
2	Savings and temporary cash investments			
3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	311,424	310,242	436,250
c	Investments—corporate bonds (attach schedule)	174,068	165,646	165,991
11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
15	Other assets (describe ▶ ______)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	506,489	503,031	629,384
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ______)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	506,489	503,031	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	506,489	503,031	
31	**Total liabilities and net assets/fund balances** (see instructions)	506,489	503,031	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	506,489
2	Enter amount from Part I, line 27a	2	-3,402
3	Other increases not included in line 2 (itemize) ▶ ______	3	10
4	Add lines 1, 2, and 3	4	503,097
5	Decreases not included in line 2 (itemize) ▶ ______	5	66
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	503,031

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	UBS ST A	P	2014-01-01	2014-12-01
b	UBS LT D	P	2013-01-01	2014-12-01
c	UBS LT E	P	2013-01-01	2014-12-01
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	120,896		125,532	-4,636
b	33,448		27,253	6,195
c	97,269		71,351	25,918
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-4,636
b				6,195
c				25,918
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	29,138
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	30,030	610,399	0 049197
2012	19,383	576,213	0 033639
2011	21,415	551,591	0 038824
2010	23,149	594,947	0 038909
2009	25,190	517,654	0 048662

2	**Total** of line 1, column (d).	2	0 209231
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 041846
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	634,063
5	Multiply line 4 by line 3.	5	26,533
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	286
7	Add lines 5 and 6.	7	26,819
8	Enter qualifying distributions from Part XII, line 4.	8	31,603

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	286
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	286
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	286
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a			
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	286
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PAUL F WENKER Telephone no ▶(513) 381-9200

Located at ▶600 VINE STREET SUITE 2650 CINCINNATI OH ZIP+4 ▶45202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here . . . ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JOHN F MCLAGHLIN 600 VINE STREET SUITE 2650 CINCINNATI,OH 45202	TRUSTEE 1 50	6,602	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	447,532
b	Average of monthly cash balances.		1b	23,201
c	Fair market value of all other assets (see instructions).		1c	172,986
d	**Total** (add lines 1a, b, and c).		1d	643,719
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	643,719
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	9,656
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	634,063
6	**Minimum investment return.** Enter 5% of line 5.		6	31,703

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	31,703
2a	Tax on investment income for 2014 from Part VI, line 5.	2a 286		
b	Income tax for 2014 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	286
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	31,417
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	31,417
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	31,417

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	31,603
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	31,603
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	286
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	31,317

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7					31,417
2 Undistributed income, if any, as of the end of 2014					
a Enter amount for 2013 only.				1,341	
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2014					
a From 2009.					
b From 2010.					
c From 2011.					
d From 2012.					
e From 2013.					
f **Total** of lines 3a through e.					
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 31,603					
a Applied to 2013, but not more than line 2a				1,341	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2014 distributable amount.					30,262
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					1,155
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2010. . . .					
b Excess from 2011. . . .					
c Excess from 2012. . . .					
d Excess from 2013. . . .					
e Excess from 2014. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL F WENKER
600 VINE STREET SUITE 2650
CINCINNATI,OH 45202
(513) 381-9200

b The form in which applications should be submitted and information and materials they should include

LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				27,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4	
4 Dividends and interest from securities			14	16,610	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	29,138	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				45,752	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 45,752

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2015-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JEFFREY TATE		2015-05-08		P01299322

Firm's name ▶ MIDWEST CPA LLC

Firm's EIN ▶ 27-0890231

Firm's address ▶ 4555 LAKE FOREST DR STE 410 BLUE ASH, OH 452423760

Phone no (513) 588-2830

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERFAITH HOSPITALITY NETWORK OF GREATER CINCINNATI 2110 ST MICHAEL ST CINCINNATI,OH 45204			SUPPORT CHARITABLE PURPOSE	13,500
YWCA LITERACY SERVICES 898 WALNUT STREET CINCINNATI,OH 452022088			SUPPORT CHARITABLE PURPOSE	10,000
PRO KIDS 2605 BURNET AVENUE CINCINNATI,OH 45219			SUPPORT CHARITABLE PURPOSE	1,500
PRO SENIORS 7162 READING ROAD STE 11 CINCINNATI,OH 45237			PROMOTE CHARITABLE PURPOSE	500
NEW LIFE FURNITURE INC 11431 WILLIAMSON DR UNIT D CINCINNATI,OH 45241			PROMOTE CHARITABLE PURPOSE	1,500
Total . . . . ▶ **3a**				27,000

TY 2014 Accounting Fees Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,600	1,200		400

TY 2014 Investments Corporate Bonds Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ISHARE TR CORE TOTAL		
ISHARE 1-3 YEAR CREDIT		
ISHARE INTER CREDIT	12,826	14,213
POWERSHARE ETF	50,256	48,469
ISHARE 7-10 YR TREAS BOND	11,685	11,659
ISHARE CORE US AGGREGATE BOND	56,973	56,491
VANGUARD CHARLOTTE FDS TOTAL	33,906	35,159

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TY 2014 Investments Corporate Stock Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN TOWER CORP REIT	3,579	4,745
ANADARKO PETROLEUM	1,551	3,053
ANALOG DEVICED		
APPLE INC	4,082	15,895
AT & T	4,681	6,248
BOEING	4,714	4,809
CELGENE	3,174	11,969
CHEVRON	3,125	4,936
CISCO	3,564	5,841
COCA COLA	5,844	8,739
COMCAST	2,861	9,224
CSX CORP	2,321	5,181
EMC CORP MASS	2,111	3,242
EXPRESS SCRIPT	3,819	6,012
EXXON MOBIL	4,528	5,917
FEDEX CORP	3,053	5,904
GENL ELECTRIC	4,268	5,509
GILEAD SCIENCES	3,851	4,430
GOOGLE	3,682	3,715
INTEL CORP	4,841	7,476
INTERCONTINENTALEXCHANGE GROUP	2,231	4,605
IBM	3,282	4,974
JOHNSON & JOHNSON	2,842	5,228
JP MORGAN	4,297	7,447
MCDONALDS CORP	3,087	4,872
MEDTRONICS	4,761	8,447
MERK & CO	4,027	6,304
METLIFE	3,596	4,435
MICROSOFT CORP	2,293	4,413
NOVARTIS AG	1,534	3,428

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OCCIDENTAL PETROLEUM	3,155	3,466
PEPSICO	3,889	6,525
PNC FIANCIAL	2,977	4,379
T ROWE PRICE	3,575	3,778
SCHLUMBERGER LTD	4,060	5,381
TEVA		
THERMO FISCHER	1,782	5,513
TJX	4,126	9,464
TRAVELERS	2,524	6,457
UNITED TECH	4,822	9,085
US BANCORP	2,700	4,270
WALT DISNEY	1,497	5,934
YUM BRANDS	2,436	5,027
3M	4,009	8,052
ALPS TR BARRON	9,107	10,086
ISHARE MSCI SWITZ	6,272	6,021
ISHARE RUSSELL MIDCAP VALUE	4,393	5,753
ISHARE RUSSELL MIDCAP GROWTH	4,640	6,246
ISHARE MSCI EAFE	5,520	6,145
ISHARE MSCI UK	12,280	11,160
MARKET VECTORS MORNSTR WIDE MOAT	9,016	10,008
SPDR S&P EMERGING ASIA PAC	15,470	14,513
VANGUARD EXTD MKT	47,341	49,338
VANGUARD INDEX FUNDS VALUE	5,280	9,125
VANGUARD INDEX FUNDS GROWTH	5,499	10,648
VANGUARD FTSE		
WISDOMTREE TRUST JAPAN HEDGE	16,881	18,461
WISDOMTREE TRUST EUROPE HEDGE	25,392	24,417

TY 2014 Legal Fees Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RENDIGS, FRY, KIELY AND DENNIS	3,610	2,808		902

TY 2014 Other Decreases Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Description	Amount
OTHER	66

TY 2014 Other Expenses Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
TREASURER STATE OF OHIO	200			

TY 2014 Other Increases Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Description	Amount
UBS 1099 NONDIVIDEND DISTR - RETURN OF CAPITAL	10

TY 2014 Other Professional Fees Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSET MANAGEMENT FEES	9,588	9,588		

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TY 2014 Taxes Schedule

Name: EVELYN W DUNN CHARITABLE TRUST

EIN: 31-1418808

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	270	270		
US TREASURY	284			

EIN 31-1418808 Form 990 PF Part IV Line 1 b & c

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Long	D (basis reported to the IRS)	33,448.21	27,252.64	0.00	0.00	6,195.57
Long	E (basis not reported to the IRS)	97,269.25	71,350.88	0.00	0.00	25,918.37
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	**130,717.46**	**98,603.52**	**0.00**	**0.00**	**32,113.94**

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALPS TR BARRON 400 ETF / CUSIP. 00162Q726 / Symbol: BFOR							
11/17/14	19.000	599.06	10/28/13	540.74	0.00	58 32	Sale
AMERICAN TOWER CORP REIT SBI / CUSIP. 03027X100 / Symbol: AMT							
11/17/14	2.000	199.17	09/27/13	149 11	0 00	50.06	Sale
CALIFORNIA RESOURCES CORP / CUSIP: 13057Q107 / Symbol. CRC							
12/02/14	8.000	57.53	06/14/12	58.12	0.00	-0 59	Sale
CISCO SYS INC / CUSIP 17275R102 / Symbol. CSCO							
11/17/14	15.000	397.79	06/14/12	254.55	0 00	143.24	Sale
EXPRESS SCRIPTS HLDG CO / CUSIP· 30219G108 / Symbol. ESRX							
06/10/14	6 000	429 37	12/06/12	322.75	0 00	106.62	Sale
11/17/14	5 000	395.13	12/06/12	268.96	0.00	126.17	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**
**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. | Account WL 33704

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B* | OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Security total	824 50		591 71	0.00	232 79	
GENL ELECTRIC CO / CUSIP 369604103 / Symbol GE							
11/17/14	10.000	266 59	06/14/12	195.77	0 00	70 82	Sale
ISHARES MSCI EAFE ETF / CUSIP 464287465 / Symbol EFA							
08/26/14	248.000	16,613.15	09/18/12	13,553 15	0.00	3,060.00	Sale
08/27/14	120 000	8,044.62	09/18/12	6,557 98	0.00	1,486 64	Sale
11/17/14	5.000	315.71	09/18/12	273.25	0 00	42.46	Sale
	Security total.	24,973.48		20,384.38	0 00	4,589 10	
ISHARES RUSSELL MID-CAP GROWTH ETF / CUSIP: 464287481 / Symbol. IWP							
06/10/14	3.000	264.83	04/02/13	207 78	0 00	57 05	Sale
11/17/14	4 000	368 35	04/02/13	277 04	0.00	91 31	Sale
	Security total	633 18		484 82	0.00	148 36	
MARKET VECTORS MORNINGSTAR WID ETF / CUSIP· 57060U134 / Symbol MOAT							
11/17/14	22.000	701.72	10/28/13	616 00	0.00	85.72	Sale
MEDTRONIC INC / CUSIP: 585055106 / Symbol MDT							
11/17/14	10 000	693.81	04/14/11	406 88	0.00	286 93	Sale
PNC FINANCIAL SERVICES GROUP / CUSIP: 693475105 / Symbol: PNC							
11/17/14	3 000	261.74	04/14/11	186 05	0 00	75 69	Sale
POWERSHARES ETF TRUST II / CUSIP. 73936Q769 / Symbol BKLN							
11/17/14	56 000	1,362.15	04/02/13	1,405 65	0 00	-43 50	Sale
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol TJX							
11/17/14	9 000	556.37	11/28/11	269 11	0.00	287.26	Sale
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP· 881624209 / Symbol. TEVA							
02/11/14	4.000	176.17	02/03/11	218 19	0 00	-42 02	Sale
US BANCORP DEL (NEW) / CUSIP 902973304 / Symbol: USB							
11/17/14	8 000	350.10	02/11/11	227.40	0.00	122 70	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS

UBS FINANCIAL SERVICES INC.

Account WL 33704

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VANGUARD EXTENDED MARKET ETF / CUSIP. 922908652 / Symbol VXF							
11/17/14	16.000	1,394 85	10/17/13	1,264 16	0.00	130 69	Sale
	Totals:	**33,448.21**		**27,252.64**	**0.00**	**6,195.57**	

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AT&T INC / CUSIP. 00206R102 / Symbol. T							
11/17/14	7.000	251.01	07/01/09	176.18	0.00	74.83	Sale
ANADARKO PETROLEUM CORP COMMON STOCK / CUSIP 032511107 / Symbol. APC							
11/17/14	3 000	268.91	01/08/09	125.75	0 00	143.16	Sale
ANALOG DEVICES INC COMMON STOCK / CUSIP· 032654105 / Symbol ADI							
09/23/14	97 000	4,834.01	05/07/10	2,719 99	0 00	2,114.02	Sale
APPLE INC / CUSIP. 037833100 / Symbol. AAPL							
11/17/14	3.000	342.09	12/03/09	85 04	0.00	257.05	Sale
CSX CORPORATION COMMON STOCK / CUSIP. 126408103 / Symbol CSX							
11/17/14	6.000	220 25	12/03/09	97 39	0.00	122 86	Sale
CALIFORNIA RESOURCES CORP / CUSIP: 13057Q107 / Symbol: CRC							
12/01/14	*0.200*	*1.30*	*01/08/09*	*1 03*	*0.00*	*0 27*	*Sale*
	2 tax lots for 12/02/14. Total proceeds (and cost when required) reported to the IRS						
	0.600	*4 32*	*01/08/09*	*3.08*	*0 00*	*1 24*	*Sale*
	8 400	*60 41*	*06/11/09*	*51 68*	*0 00*	*8.73*	*Sale*
12/02/14	9.000	64.73	VARIOUS	54.76	0.00	9 97	Total of 2 lots
	Security total	66 03		55 79	0.00	10 24	
CELGENE CORP COMMON STOCK / CUSIP 151020104 / Symbol: CELG							
03/19/14	19 000	2,894 11	12/20/10	1,127 05	0 00	1,767 06	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. | Account WL 33704

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* | OMB No 1545-0715

LONG-TERM TRANSACTIONS — *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG (cont'd)							
06/10/14	2 000	324 15	12/20/10	118 64	0 00	205.51	Sale
11/17/14	9.000	942.19	12/20/10	266 93	0 00	675.26	Sale
Security total:		4,160 45		1,512.62	0 00	2,647.83	
CHEVRON CORP / CUSIP. 166764100 / Symbol. CVX							
06/10/14	2.000	250.57	01/06/05	103.44	0.00	147.13	Sale
11/17/14	2.000	231.89	01/06/05	103.43	0 00	128.46	Sale
Security total·		482.46		206.87	0 00	275 59	
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol KO							
11/17/14	13 000	557.19	07/01/09	321 79	0.00	235.40	Sale
COMCAST CORP NEW CL A / CUSIP. 20030N101 / Symbol· CMCSA							
11/17/14	12 000	651 23	07/09/10	215 94	0.00	435 29	Sale
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP 254687106 / Symbol: DIS							
	2 tax lots for 03/19/14 Total proceeds (and cost when required) reported to the IRS						
	18.000	*1,458 45*	*10/20/08*	*464.40*	*0 00*	*994 05*	*Sale*
	49 000	*3,970 23*	*12/23/08*	*1,076 43*	*0 00*	*2,893 80*	*Sale*
03/19/14	67 000	5,428 68	VARIOUS	1,540 83	0 00	3,887 85	Total of 2 lots
06/10/14	3 000	254.42	12/23/08	65 90	0 00	188.52	Sale
11/17/14	3.000	271.49	12/23/08	65.90	0 00	205.59	Sale
Security total:		5,954.59		1,672.63	0.00	4,281 96	
EMC CORP MASS / CUSIP: 268648102 / Symbol EMC							
11/17/14	13.000	394 84	07/09/10	251.76	0.00	143.08	Sale
EXXON MOBIL CORP / CUSIP. 30231G102 / Symbol. XOM							
11/17/14	3.000	285 00	07/01/09	212.27	0 00	72.73	Sale
FEDEX CORP / CUSIP: 31428X106 / Symbol· FDX							
11/17/14	2 000	342.99	11/05/10	179.60	0 00	163 39	Sale
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE							
06/10/14	2.000	380.17	07/09/10	212.51	0.00	167 66	Sale

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. Account WL 33704

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol IBM							
06/10/14	2.000	368 35	07/01/09	211.72	0 00	156 63	Sale
ISHARES CORE U.S AGGREGATE BOND ETF / CUSIP: 464287226 / Symbol. AGG							
06/10/14	9.000	977.83	08/05/11	976.95	0.00	0.88	Sale
11/17/14	24.000	2,634.02	08/05/11	2,605.19	0 00	28.83	Sale
	Security total·	3,611.85		3,582.14	0.00	29 71	
ISHARES MSCI EAFE ETF / CUSIP: 464287465 / Symbol. EFA							
08/26/14	340.000	22,776.10	12/07/11	17,461.82	0.00	5,314 28	Sale
ISHARES RUSSELL MID-CAP VALUE ETF / CUSIP: 464287473 / Symbol IWS							
06/10/14	4.000	285.91	03/24/11	188 92	0.00	96 99	Sale
11/17/14	3 000	218.97	03/24/11	141.69	0.00	77 28	Sale
	Security total·	504.88		330 61	0.00	174.27	
ISHARES INTERMEDIATE CREDIT BOND ETF / CUSIP: 464288638 / Symbol. CIU							
06/10/14	2.000	219.39	07/01/09	196.60	0 00	22 79	Sale
11/17/14	4.000	437.77	07/01/09	393 20	0 00	44 57	Sale
	Security total:	657.16		589 80	0.00	67.36	
JPMORGAN CHASE & CO / CUSIP 46625H100 / Symbol JPM							
11/17/14	6.000	362.33	10/07/08	247.40	0.00	114 93	Sale
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP 478160104 / Symbol. JNJ							
11/17/14	4.000	433.36	07/01/09	227 39	0 00	205 97	Sale
MCDONALDS CORP / CUSIP 580135101 / Symbol· MCD							
	2 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS						
	1.000	*95.83*	*12/02/08*	*56 88*	*0.00*	*38 95*	*Sale*
	3 000	*287 48*	*01/08/09*	*181.58*	*0 00*	*105.90*	*Sale*
11/17/14	4.000	383 31	VARIOUS	238.46	0.00	144 85	Total of 2 lots
MERCK & CO INC NEW COM / CUSIP. 58933Y105 / Symbol. MRK							
11/17/14	10 000	595.52	07/09/10	362 82	0 00	232 70	Sale

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UBS FINANCIAL SERVICES INC. — Account WL 33704

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* — OMB No 1545-0715

LONG-TERM TRANSACTIONS — *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
METLIFE INC / CUSIP: 59156R108 / Symbol. MET							
11/17/14	4 000	218 20	12/10/10	175 40	0 00	42.80	Sale
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT							
11/17/14	5.000	247.49	07/01/09	120 69	0 00	126 80	Sale
NOVARTIS AG SPON ADR / CUSIP· 66987V109 / Symbol: NVS							
11/17/14	4 000	378.11	07/01/09	165 80	0 00	212.31	Sale
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP 674599105 / Symbol. OXY							
06/10/14	3.000	300.32	01/08/09	177 04	0.00	123.28	Sale
11/17/14	3.000	257.87	01/08/09	177 03	0.00	80.84	Sale
	Security total:	558.19		354.07	0.00	204 12	
PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol PEP							
11/17/14	4.000	393.12	07/01/09	225.44	0 00	167.68	Sale
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP 806857108 / Symbol. SLB							
11/17/14	8.000	769.26	03/10/10	512 56	0 00	256.70	Sale
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP 881624209 / Symbol: TEVA							
02/11/14	48.000	2,114 08	07/01/09	2,402.32	0.00	-288 24	Sale
THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO							
03/19/14	22.000	2,713.78	07/01/09	890.99	0 00	1,822.79	Sale
11/17/14	2.000	236 70	07/01/09	81 00	0.00	155.70	Sale
	Security total:	2,950.48		971.99	0 00	1,978 49	
3M CO / CUSIP 88579Y101 / Symbol: MMM							
06/10/14	2.000	289.99	07/09/10	163 64	0 00	126 35	Sale
TRAVELERS COS INC/THE / CUSIP: 89417E109 / Symbol: TRV							
06/10/14	3.000	285.14	12/23/08	126.65	0 00	158 49	Sale
11/17/14	2 000	205 31	12/23/08	84.44	0 00	120.87	Sale
	Security total:	490.45		211 09	0 00	279 36	
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP: 913017109 / Symbol UTX							
11/17/14	5.000	537.51	01/02/08	375.05	0 00	162.46	Sale

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UBS FINANCIAL SERVICES INC. — Account WL 33704

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B* — OMB No 1545-0715

LONG-TERM TRANSACTIONS — *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VANGUARD FTSE EUROPE ETF / CUSIP 922042874 / Symbol. VGK							
	2 tax lots for 09/23/14 Total proceeds (and cost when required) reported to the IRS.						
	665 000	*37,611 57*	*01/12/10*	*33,263 30*	*0 00*	*4,348 27*	*Sale*
	6 000	*339 35*	*02/03/11*	*309 39*	*0 00*	*29 96*	*Sale*
09/23/14	671.000	37,950.92	VARIOUS	33,572.69	0.00	4,378 23	Total of 2 lots
VANGUARD INDEX FUNDS VANGUARD GROWTH ETF / CUSIP: 922908736 / Symbol. VUG							
11/17/14	5.000	518 59	01/12/10	269.55	0 00	249 04	Sale
VANGUARD INDEX FUNDS VANGUARD VALUE ETF / CUSIP. 922908744 / Symbol: VTV							
11/17/14	8.000	672.19	01/12/10	391.10	0.00	281 09	Sale
YUM! BRANDS INC / CUSIP. 988498101 / Symbol: YUM							
11/17/14	4 000	296 59	07/01/09	141 20	0 00	155 39	Sale
	Totals:	**97,269.25**		**71,350.88**	**0.00**	**25,918.37**	

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

EIN 31-1418808 Form 990PF Part IV Line 1a

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	120,895.82	125,532.10	0.00	0.00	-4,636.28
Short	B (basis not reported to the IRS)	0.00	0 00	0.00	0 00	0.00
Short	C (Form 1099-B not received)	0.00	0 00	0.00	0.00	0 00
	Total Short-term	**120,895.82**	**125,532.10**	**0.00**	**0.00**	**-4,636.28**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALPS TR BARRON 400 ETF / CUSIP: 00162Q726 / Symbol: BFOR							
06/10/14	11.000	340.00	10/28/13	313.06	0.00	26.94	Sale
ISHARES MSCI SWITZERLAND CAPPED ETF / CUSIP. 464286749 / Symbol: EWL							
11/17/14	13.000	421 78	09/23/14	429.13	0.00	-7.35	Sale
ISHARES 1-3 YEAR CREDIT BOND ETF / CUSIP: 464288646 / Symbol. CSJ							
	2 tax lots for 04/09/14 Total proceeds (and cost when required) reported to the IRS						
	209.000	*22,036.47*	*06/25/13*	*21,871.85*	*0.00*	*164 62*	*Sale*
	292 000	*30,787 80*	*10/17/13*	*30,759 10*	*0.00*	*28.70*	*Sale*
04/09/14	501.000	52,824.27	VARIOUS	52,630.95	0.00	193.32	Total of 2 lots
ISHARES CORE MSCI EMERGING MARKETS ETF / CUSIP: 46434G103 / Symbol: IEMG							
11/17/14	24.000	1,181.27	08/26/14	1,300.56	0.00	-119.29	Sale
12/22/14	569 000	26,793.68	08/26/14	30,834 11	0 00	-4,040.43	Sale
	Security total:	27,974.95		32,134.67	0.00	-4,159.72	
ISHARES TR MSCI UNITED KINGDOM ETF / CUSIP: 46434V548 / Symbol EWU							
11/17/14	51.000	969.06	09/23/14	1,011.80	0 00	-42.74	Sale
MARKET VECTORS MORNINGSTAR WID ETF / CUSIP. 57060U134 / Symbol: MOAT							
06/10/14	11.000	334.50	10/28/13	308.00	0.00	26 50	Sale
POWERSHARES EMERGING MARKETS SOVEREIGN DEBT / CUSIP: 73936T573 / Symbol· PCY							
11/17/14	17.000	485.34	04/09/14	479.57	0.00	5.77	Sale
12/22/14	418.000	11,795.95	04/09/14	11,791.78	0.00	4.17	Sale
	Security total:	12,281.29		12,271.35	0.00	9.94	
PRICE T ROWE GROUP INC / CUSIP. 74144T108 / Symbol· TROW							
11/17/14	3.000	245.36	03/19/14	243.77	0.00	1.59	Sale
SPDR S&P EMERGING ASIA PACIFIC ETF / CUSIP: 78463X301 / Symbol: GMF							
11/17/14	6.000	511.39	08/26/14	533.22	0.00	-21 83	Sale
SPDR BARCLAYS INTL TREASURY BOND ETF / CUSIP: 78464A516 / Symbol: BWX							
11/06/14	205.000	11,500.25	04/09/14	12,280.53	0.00	-780.28	Sale

UBS FINANCIAL SERVICES INC. Account WL 33704

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ETF CL / CUSIP: 92203J407 / Symbol: BNDX							
06/10/14	8.000	409.19	04/09/14	405.28	0.00	3.91	Sale
11/17/14	35.000	1,836 76	04/09/14	1,773.08	0 00	63 68	Sale
	Security total:	2,245.95		2,178.36	0.00	67.59	
VANGUARD FTSE EUROPE ETF / CUSIP. 922042874 / Symbol: VGK							
09/23/14	158.000	8,936.28	10/17/13	8,877 99	0.00	58 29	Sale
VANGUARD EXTENDED MARKET ETF / CUSIP. 922908652 / Symbol· VXF							
06/10/14	3.000	258.56	10/17/13	237.03	0.00	21.53	Sale
WISDOMTREE TRUST EUROPE HEDGED EQUITY FD ETF / CUSIP: 97717X701 / Symbol. HEDJ							
11/17/14	36.000	2,052.18	09/23/14	2,082.24	0.00	-30.06	Sale
	Totals:	**120,895.82**		**125,532.10**	**0.00**	**-4,636.28**	