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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending

Name of foundation RIFKIN FAMILY FOUNDATION		A Employer identification number 31-1192429
Number and street (or P.O. box number if mail is not delivered to street address) 5642 COVENTRY LANE		B Telephone number (see instructions) (260) 432-2233
City or town, state or province, country and ZIP or foreign postal code FORT WAYNE IN 46804		C If exemption application is pending, check here ☐
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 7,465,165.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Ck ☒ if the foundn is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	289,198.	261,157.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	150,187.	L-6a Stmt		
	b Gross sales price for all assets on line 6a	16,724,711.			
	7 Capital gain net income (from Part IV, line 2)		153,700.		
	8 Net short-term capital gain				
	9 Income modifications				
ADMINISTRATIVE AND OPERATING EXPENSES	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	See Line 11 Stmt		400.		
	12 Total Add lines 1 through 11.	439,385.	415,257.		
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)	39,950.	39,950.		
	17 Interest		1,756.		
	18 Taxes (attach schedule) (see instrs)	4,562.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses Add lines 13 through 23	44,512.	42,514.			
25 Contributions, gifts, grants paid	674,263.			674,263.	
26 Total expenses and disbursements Add lines 24 and 25	718,775.	42,514.		674,263.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-279,390.				
b Net investment income (if negative, enter -0-)		372,743.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing					
	2	Savings and temporary cash investments		433,350.	433,350.		
	3	Accounts receivable					
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach sch)					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10 a	Investments — U S and state government obligations (attach schedule)					
	b	Investments — corporate stock (attach schedule)					
	c	Investments — corporate bonds (attach schedule)					
	LIABILITIES	11	Investments — land, buildings, and equipment basis				
		Less: accumulated depreciation (attach schedule)					
12		Investments — mortgage loans					
13		Investments — other (attach schedule)	8,251,067.	7,612,733.	7,031,815.		
14		Land, buildings, and equipment basis					
		Less: accumulated depreciation (attach schedule)					
15		Other assets (describe)					
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	8,251,067.	8,046,083.	7,465,165.		
17		Accounts payable and accrued expenses					
18		Grants payable					
NET ASSETS OR FUND BALANCES	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)					
	23	Total liabilities (add lines 17 through 22)					
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	25	Unrestricted					
	26	Temporarily restricted					
	27	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	2,341,324.	1,643,093.			
28	Paid-in or capital surplus or land, bldg, and equipment fund	3,557,139.	3,900,198.				
29	Retained earnings, accumulated income, endowment, or other funds	2,352,604.	2,502,792.				
30	Total net assets or fund balances (see instructions)	8,251,067.	8,046,083.				
31	Total liabilities and net assets/fund balances (see instructions)	8,251,067.	8,046,083.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,251,067.
2	Enter amount from Part I, line 27a	2	-279,390.
3	Other increases not included in line 2 (itemize) See Other Increases Stmt	3	74,406.
4	Add lines 1, 2, and 3	4	8,046,083.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	8,046,083.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a WFA ACCT 8117 ST COVERED	P	Various	12/31/14
b WFA ACCT 8117 LT COVERED	P	Various	12/31/14
c WFA ACCT 2815 ST COVERED	P	Various	12/31/14
d WFA ACCT 2815 ST NONCOVERED	P	Various	12/31/14
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,339,567.		7,374,389.	-34,822.
b 1,621,734.		1,633,735.	-12,001.
c 3,822,100.		3,662,084.	160,016.
d 505,129.		517,988.	-12,859.
e See Columns (e) thru (h)		3,386,328.	53,366.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			-34,822.
b			-12,001.
c 0.	0.	0.	160,016.
d			-12,859.
e See Columns (i) thru (l)	0.	0.	53,366.

2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	153,700.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[]	3	153,700.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	417,296.	8,217,228.	0.050783
2012	318,750.	8,412,677.	0.037889
2011	392,111.	8,633,795.	0.045416
2010	439,449.	8,245,852.	0.053293
2009	136,699.	8,007,651.	0.017071

2 Total of line 1, column (d)	2	0.204452
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.040890
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	8,095,395.
5 Multiply line 4 by line 3	5	331,021.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,727.
7 Add lines 5 and 6	7	334,748.
8 Enter qualifying distributions from Part XII, line 4	8	674,263.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,727.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,727.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,727.
6 Credits/Payments			
a 2014 estimated tax pmts and 2013 overpayment credited to 2014	6 a	6,000.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	0.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	6,000.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,273.	
11 Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded	11	2,273.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) IN - Indiana		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of JENNIFER L. WILSON Telephone no (260) 432-2233 Located at 5642 COVENTRY LANE FORT WAYNE IN ZIP + 4 46804-7140			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here	15		
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No	
If 'Yes,' list the years 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL M. RIFKIN 5642 COVENTRY LANE FORT WAYNE IN 46804	TRUSTEE	0.50	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	7,653,966.
b	Average of monthly cash balances	1 b	564,709.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	8,218,675.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,218,675.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	123,280.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4.	5	8,095,395.
6	Minimum investment return. Enter 5% of line 5	6	404,770.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	404,770.
2 a	Tax on investment income for 2014 from Part VI, line 5	2 a	3,727.
b	Income tax for 2014 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	3,727.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	401,043.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	401,043.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	401,043.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	674,263.
b	Program-related investments — total from Part IX-B.	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	674,263.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,727.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	670,536.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				401,043.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			288,977.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2014				
a From 2009 0.				
b From 2010 0.				
c From 2011 0.				
d From 2012 0.				
e From 2013 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 674,263.				
a Applied to 2013, but not more than line 2a			288,977.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2014 distributable amount				385,286.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				15,757.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2010 0.				
b Excess from 2011 0.				
c Excess from 2012 0.				
d Excess from 2013 0.				
e Excess from 2014 0.				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

[illegible]

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies . .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	289,198.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	150,187.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				439,385.	
13 Total. Add line 12, columns (b), (d), and (e)				439,385.	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2014

Name	Employer Identification Number
RIFKIN FAMILY FOUNDATION	31-1192429

Asset Information:

Description of Property WFA ACCT 8117 ST COVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 7,339,567.	Cost or other basis (do not reduce by depreciation) 7,374,389.
Sales Expense	Valuation Method
Total Gain (Loss) -34,822.	Accumulation Depreciation
Description of Property WFA ACCT 8117 LT COVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 1,621,734.	Cost or other basis (do not reduce by depreciation) 1,633,735.
Sales Expense	Valuation Method
Total Gain (Loss) -12,001.	Accumulation Depreciation
Description of Property WFA ACCT 2815 ST COVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 3,822,100.	Cost or other basis (do not reduce by depreciation) 3,662,084.
Sales Expense	Valuation Method
Total Gain (Loss) 160,016.	Accumulation Depreciation
Description of Property WFA ACCT 2815 ST NONCOVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 505,129.	Cost or other basis (do not reduce by depreciation) 517,988.
Sales Expense	Valuation Method
Total Gain (Loss) -12,859.	Accumulation Depreciation
Description of Property WFA ACCT 2815 LT COVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 630,406.	Cost or other basis (do not reduce by depreciation) 588,111.
Sales Expense	Valuation Method
Total Gain (Loss) 42,295.	Accumulation Depreciation
Description of Property WFA ACCT 2815 ST NONCOVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 2,042,668.	Cost or other basis (do not reduce by depreciation) 2,021,333.
Sales Expense	Valuation Method
Total Gain (Loss) 21,335.	Accumulation Depreciation
Description of Property WFA ACCT 9964 ST NONCOVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 652,115.	Cost or other basis (do not reduce by depreciation) 673,203.
Sales Expense	Valuation Method
Total Gain (Loss) -21,088.	Accumulation Depreciation
Description of Property WFA ACCT 9964 LT NONCOVERED	
Date Acquired Various	How Acquired Purchased
Date Sold 12/31/14	Name of Buyer
Sales Price 110,992.	Cost or other basis (do not reduce by depreciation) 103,681.
Sales Expense	Valuation Method
Total Gain (Loss) 7,311.	Accumulation Depreciation

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income	Rev/Exp Book	Net Inv Inc	Adj Net Inc
SEC 1231 GAIN (LOSS)		-3,026.	
ROYALTY INCOME		3,379.	
OTHER INCOME		47.	
Total		400.	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAX PAID		731.		
EXCISE TAX	4,562.	0.		
ROYALTY EXPENSE		67.		
OTHER		10.		
Total	4,562.	808.		

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

ADJUSTMENT TO COST BASIS	20,545.
PARTNERSHIP DISTRIBUTIONS	53,861.
Total	74,406.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
WFA ACCT 2815 LT COVERED	P	Various	12/31/14
WFA ACCT 2815 ST NONCOVERED	P	Various	12/31/14
WFA ACCT 9964 ST NONCOVERED	P	Various	12/31/14
WFA ACCT 9964 LT NONCOVERED	P	Various	12/31/14
WFA CAPITAL GAINS DISTRIBUTIONS	P	Various	12/31/14
ST CAP GAIN FROM K-1'S	P	Various	12/31/14
LT VAP GAIN FROM K-1'S	P	Various	12/31/14

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
630,406.		588,111.	42,295.
2,042,668.		2,021,333.	21,335.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

Continued

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
652,115.		673,203.	-21,088.
110,992.		103,681.	7,311.
3,363.		0.	3,363.
4.		0.	4.
146.		0.	146.
Total		3,386,328.	53,366.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)Complete only for assets showing gain in column (h) and owned
by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
0.	0.	0.	42,295.
0.	0.	0.	21,335.
			-21,088.
0.	0.	0.	7,311.
0.	0.	0.	3,363.
0.	0.	0.	4.
0.	0.	0.	146.
Total	0.	0.	53,366.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox
Name and address (home or business)				Amount
a Paid during the year				
TURNSTONE CENTER			SERVICES FOR	Person or ☐
3320 NORTH CLINTON ST			PEOPLE WITH	Business ☒
FORT WAYNE IN 46805		501(C)3	PHYSICAL DISABILITIES	5,000.
AVON FOUNDATION FOR WOMEN			SUPPORTS RESEARCH	Person or ☐
P.O. BOX 1073			BREAST CANCER CURE	Business ☒
RYE NY 10580-1073		501(C)3		300.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Continued

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foun- dation status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox	Amount
a Paid during the year					
BIG BROTHERS BIG SISTERS 2439 FAIRFIELD AVENUE FORT WAYNE IN 46807		501(C)3	SUPPORTS CHILDREN IN COMMUNITY	Person or ☐ Business ☒	7,000.
FORT WAYNE JEWISH FEDERATION 227 E. WASHINGTON BLVD FORT WAYNE IN 46802		501(C)3	RELIGIOUS	Person or ☐ Business ☒	75,000.
INDIANA JEWISH HISTORICAL ASSOCIATION 6301 CONSTITUTION DRIVE FORT WAYNE IN 46804		501(C)3	RELIGIOUS EDUCATION	Person or ☐ Business ☒	1,000.
CAMP WATCHA-WANNA-DO P O BOX 11166 FORT WAYNE IN 46856		501(C)3	CAMP FOR CHILDREN UNDERGOING MEDICAL TREATMENT	Person or ☐ Business ☒	1,000.
COMMUNITY HARVEST FOOD BANK P O BOX 10867 FORT WAYNE IN 46855		501(C)3	FOOD FOR UNDERPRIVILEGED	Person or ☐ Business ☒	1,000.
FORT WAYNE MUSEUM OF ART 311 EAST MAIN ST FORT WAYNE IN 46802		501(C)3	ARTS	Person or ☐ Business ☒	2,500.
FORT WAYNE PHILHARMONIC 4901 FULLER DRIVE FORT WAYNE IN 46835		501(C)3	ARTS - MUSIC	Person or ☐ Business ☒	7,500.
INDIANA FIREFIGHTERS ASSOCIATION 9702 E WASHINGTON ST PMB 357 INDIANAPOLIS IN 46229		501(C)3	PROMOTES FIRE SAFETY & PREVENTION	Person or ☐ Business ☒	1,000.
INDIANA FRATERNAL ORDER OF POLICE 1427 E WASHINGTON ST INDIANAPOLIS IN 46201		501(C)3	PROMOTES PUBLIC SAFETY	Person or ☐ Business ☒	1,000.
MLK MONTESSORI SCHOOL 6001 SOUTH ANTHONY BLVD FORT WAYNE IN 46816		501(C)3	EDUCATION	Person or ☐ Business ☒	5,000.
ORLAND VOLUNTEER FIRE DEPARTMENT P.O. BOX 174 ORLAND IN 46776		501(C)3	FIRE & RESCUE SERVICES TO ORLAND COMMUNITY	Person or ☐ Business ☒	1,000.
SCAN INC 500 W MAIN ST FORT WAYNE IN 46802		501(C)3	ASSISTS CHILDREN & FAMILIES IN CRISIS	Person or ☐ Business ☒	2,000.
ZETA BETA TAU FOUNDATION P O BOX 6069 INDIANAPOLIS IN 46206		501(C)3	EDUCATION-SCHOLARSHIPS & LEADERSHIP PROGRAMS	Person or ☐ Business ☒	500.
CROSSROAD 2525 LAKE AVENUE FORT WAYNE IN 46805		501(C)3	COUNSELING & TREATMENT FOR CHILDREN	Person or ☐ Business ☒	1,000.
BOYS & GIRLS CLUB 2609 FAIRFIELD AVE FORT WAYNE IN 46807		501(C)3	SERVICES TO YOUTH	Person or ☐ Business ☒	5,500.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Continued

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foun- dation status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox	Amount
a Paid during the year					
CENTER FOR FAMILY SERVICES 4101 PARKER AVE WEST PALM BEACH FL 33405		501(C)3	SOCIAL SERVICES TO FAMILIES AND CHILDREN IN CRISIS	Person or ☐ Business ☒	10,000.
CLEVELAND CLINIC FLORIDA 525 OKEECHOBEE BLVD STE 1400 WEST PALM BEACH FL 33401		501(C)3	MEDICAL RESEARCH	Person or ☐ Business ☒	5,000.
FORT WAYNE CHILDREN'S ZOO 3411 SHERMAN BLVD. FORT WAYNE IN 46808		501(C)3	AUSTRALIAN ADVENTURE CAMPAIGN	Person or ☐ Business ☒	10,000.
HISTORY CENTER 302 E. BERRY STREET FORT WAYNE IN 46897-2021		501(C)3	LOCAL HISTORY LEARNING OPPORTUNITIES FOR YOUTH	Person or ☐ Business ☒	500.
VASCULAR CURES 555 PRICE AVE. STE 180 REDWOOD CITY CA 94063		501(C)3	NEW TREATMENTS FOR VASCULAR DISEASE	Person or ☐ Business ☒	2,500.
AMERICAN HEART ASSOCIATION 2300 CENTREPARK WEST DRIVE WEST PALM BEACH FL 33409		501(C)3	RESEARCH & SUPPORT TO FIGHT CARDIOVASCULAR DISEASE	Person or ☐ Business ☒	5,000.
AUBURN CORD DUESENBERG FESTIVAL 1600 S. WAYNE STREET AUBURN IN 46706		501(C)3	PROMOTE AUTOMOBILE HERITAGE	Person or ☐ Business ☒	6,000.
BASCOM PALMER EYE INSTITUTE 900 NW 17TH STREET MIAMI FL 33136		501(C)3	PROVIDES TREATMENT EYE DISEASES	Person or ☐ Business ☒	2,500.
EARLY CHILDHOOD ALLIANCE 3320 FAIRFIELD AVENUE FORT WAYNE IN 46807		501(C)3	EARLY CHILDHOOD CARE AND EDUCATION	Person or ☐ Business ☒	2,500.
EVANS SCHOLARS FOUNDATION 1 BRIAR ROAD GOLF IL 60029		501(C)3	PROVIDES COLLEGE SCHOLARSHIPS TO GOLF CADDIES	Person or ☐ Business ☒	10,000.
HERITAGE HIGH SCHOOL ATHLETICS 13608 MONROEVILLE RD MONROEVILLE IN 46773		501(C)3	PROVIDES SUPPORT FOR HIGH SCHOOL ATHLETICS	Person or ☐ Business ☒	100.
INDIANA GOLF FOUNDATION 2625 HURRICANE RD FRANKLIN IN 46131		501(C)3	PROVIDES SUPPORT FOR YOUTH GOLF PROGRAMS	Person or ☐ Business ☒	25,500.
LEADERS IN FURTHERING EDUCATION 6274 LINTON BLVD STE 103 DELRAY BEACH FL 33484		501(C)3	PROGRAMS SUPPORTING ANIMAL RESCUE & ADOPTION	Person or ☐ Business ☒	10,000.
LUNGEVITY FOUNDATION 218 S WABASH AVE STE 540 CHICAGO IN 60604		501(C)3	PROVIDES SUPPORT SERVICES LUNG CANCER	Person or ☐ Business ☒	5,000.
WOUNDED WARRIOR PROJECT 4899 BELFORT RD STE 300 JACKSONVILLE FL 32256		501(C)3	PROVIDES SUPPORT TO INJURED SERVICE MEN AND WOMEN	Person or ☐ Business ☒	1,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox
Name and address (home or business)				Amount
a Paid during the year				
ALLIANCE FOR EATING DISORDERS AWARENESS 1649 FORUM STE # 2 WEST PALM BEACH FL 33401	----- ----- -----	----- ----- 501(C)3	PROMOTES POSITIVE BODY IMAGE AND SELF ESTEEM & AWARENESS OF EATING DISORDERS	Person or ☐ Business ☒ 20,000.
AMERICAN CANCER SOCIETY 111 E LUDWIG RD #105 FORT WAYNE IN 46825-4240	----- ----- -----	----- ----- 501(C)3	RESEARCH TO FIND CURES FOR CANCER	Person or ☐ Business ☒ 200.
BELLA'S ANGELS P O BOX 1562 JUPITER FL 33468	----- ----- -----	----- ----- 501(C)3	HELPS CHILDREN WITH LIFE-LONG DISABILITIES	Person or ☐ Business ☒ 200.
CONQUER CANCER FOUNDATION 2318 MILL RD STE 800 ALEXANDRA VA 22314	----- ----- -----	----- ----- 501(C)3	CANCER RESEARCH	Person or ☐ Business ☒ 5,000.
NEDA WALK 165 W 46TH ST NEW YORK NY 10036	----- ----- -----	----- ----- 501(C)3	SUPPORTS INDIVIDUALS AND FAMILIES AFFECTED WITH EATING DISORDERS	Person or ☐ Business ☒ 1,000.
PARKVIEW FOUNDATION 2200 RANDALIA DR FORT WAYNE IN 46805	----- ----- -----	----- ----- 501(C)3	MOBILE MAMMOGRAPHY PROGRAM	Person or ☐ Business ☒ 3,150.
TRINE UNIVERSITY ONE UNIVERSITY AVENUE ANGOLA IN 46703	----- ----- -----	----- ----- 501(C)3	EDUCATION	Person or ☐ Business ☒ 10,000.
VERA BRADLEY FOUNDATION 12420 STONEBRIDGE RD ROANOKE IN 46783	----- ----- -----	----- ----- 501(C)3	BREAST CANCER RESEARCH	Person or ☐ Business ☒ 100.

Total

252,550.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO ADVISORS	INVESTMENT FUND MGR FEES	39,950.	39,950.		

Total

39,950.39,950.

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
WELLS FARGO A/C 8117	2,389,200.	2,382,698.
WELLS FARGO A/C 2815	3,782,533.	3,571,678.
WELLS FARGO A/C 9964	1,441,000.	1,077,439.
Total	<u>7,612,733.</u>	<u>7,031,815.</u>



2014 Year-End Account Summary

As of Date: 03/14/15

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Account Number: -8117
RIFKIN FAMILY FOUNDATION
PIM

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
DRIEHAUS MUTUAL FUNDS *	3624 00000	05/30/2014	07/03/2014	38,958 00	39,030 49		0 00	-72 49	
ACTIVE INCOME FUND	838 47000	05/30/2014	07/29/2014	8,996 78	9,030 33		0 00	-33 55	
CUSIP 262028855	4710 20000	05/30/2014	08/15/2014	50,257 83	50,728 86		0 00	-471 03	
	40689 81800	05/30/2014	11/06/2014	429,277 58	438,229 32		0 00	-8,951 74	
	268 85400	06/26/2014	11/06/2014	2,836 41	2,887 49		0 00	-51 08	
	292 96300	09/25/2014	11/06/2014	3,090 76	3,099 55		0 00	-8 79	
Subtotals	50424.30500			\$533,417.36	\$543,006.04		\$0.00	(\$9,588.68)	
EATON VANCE MUTUAL FDS *	663 00000	05/30/2014	07/03/2014	6,862 05	6,855 42		0 00	6 63	
DIVERSIFIED CURRENCY	256 63200	05/30/2014	07/29/2014	2,676 67	2,653 58		0 00	23 09	
CUSIP 277923231	4224 57100	05/30/2014	12/11/2014	41,865 50	43,682 06		0 00	-1,816 56	
	3996 51300	05/30/2014	12/16/2014	39,205 79	41,323 94		0 00	-2,118 15	
	39 90800	06/30/2014	12/16/2014	391 49	412 25		0 00	-20 76	
	37 15800	07/31/2014	12/16/2014	364 52	384 96		0 00	-20 44	
	37 54300	08/29/2014	12/16/2014	368 29	386 69		0 00	-18 40	
	37 11200	09/30/2014	12/16/2014	364 07	375 94		0 00	-11 87	
	38 55400	10/31/2014	12/16/2014	378 22	390 17		0 00	-11 95	
	37 78400	11/28/2014	12/16/2014	370 67	379 35		0 00	-8 68	
Subtotals	9368.77500			\$92,847.27	\$96,844.36		\$0.00	(\$3,997.09)	
FPA FDS TR	252 00000	04/30/2014	07/03/2014	8,701 56	8,459 64		0 00	241 92	
FPA CRESCENT PORTFOLIO	3339 57000	04/30/2014	08/15/2014	110,696 10	108,752 36		0 00	1,943 74	
CUSIP 30254T759	20 28800	07/02/2014	08/15/2014	693 24	698 31		0 00	-5 07	
	9 13000	07/02/2014	08/15/2014	311 98	314 24		0 00	-2 26	
Subtotals	3520.98800			\$120,402.88	\$118,224.55		\$0.00	\$2,178.33	

2014 Year-End Account Summary

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As of Date: 03/14/15

Account Number: -8117

RIFKIN FAMILY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
FORWARD FDS									
INTL SMALL COS ADVISOR	348 00000	04/30/2014	07/03/2014	6,288.36	6,124.80		0.00	163.56	
CUSIP 34986P879	3948 64800	04/30/2014	12/31/2014	62,586.07	69,496.20		0.00	-6,910.13	
	515 76300	05/30/2014	12/31/2014	8,174.84	9,227.00		0.00	-1,052.16	
	15 82000	07/29/2014	12/31/2014	250.74	277.00		0.00	-26.26	
	152 67800	08/15/2014	12/31/2014	2,419.95	2,623.00		0.00	-203.05	
	33 94700	12/29/2014	12/31/2014	538.07	542.81		0.00	-4.74	
Subtotals	5014.85600			\$80,258.03	\$88,290.81		\$0.00	(\$8,032.78)	
FRANKLIN GLOBAL TR									
FRANKLIN TEMPLETON	2455 30200	04/30/2014	05/30/2014	30,151.11	29,316.31		0.00	834.80	
CUSIP 35353813	303 00000	04/30/2014	07/03/2014	3,751.14	3,617.82		0.00	133.32	
	104 13300	04/30/2014	07/29/2014	1,292.29	1,243.35		0.00	48.94	
	1854 31900	04/30/2014	08/15/2014	22,937.93	22,140.57		0.00	797.36	
	1933 33000	04/30/2014	12/16/2014	20,067.96	23,083.95		0.00	-3,015.99	
	167 62900	12/16/2014	12/16/2014	1,739.99	1,760.10		0.00	-20.11	
	6 57300	12/16/2014	12/16/2014	68.22	69.02		0.00	-0.80	
	4 84300	12/16/2014	12/16/2014	50.28	50.85		0.00	-0.57	
Subtotals	6829.12900			\$80,058.92	\$81,281.97		\$0.00	(\$1,223.05)	
GOLDMAN SACHS									
COMMODITY STRATEGY FUND	533 00000	05/30/2014	07/03/2014	3,166.02	3,107.39		0.00	58.63	
CUSIP 38143H381	6835 95400	05/30/2014	08/15/2014	38,007.90	39,853.61		0.00	-1,845.71	
	2 81600	05/30/2014	08/15/2014	15.66	16.95		0.00	-1.29	
Subtotals	7371.77000			\$41,189.58	\$42,977.95		\$0.00	(\$1,788.37)	
GOLDMAN SACHS TR									
MLP ENERGY	789 00000	05/30/2014	07/03/2014	10,272.78	9,775.71		0.00	497.07	
CUSIP 38145C232	634 37200	05/30/2014	07/29/2014	8,234.15	7,859.87		0.00	374.28	
	9498 98500	05/30/2014	12/11/2014	103,728.91	117,692.42		0.00	-13,963.51	
	96 11400	08/05/2014	12/11/2014	1,049.56	1,217.77		0.00	-168.21	



2014 Year-End Account Summary

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As of Date: 03/14/15

Account Number: -8117

RIFKIN FAMILY FOUNDATION
PIM

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
GOLDMAN SACHS TR *	136 12400	08/15/2014	12/11/2014	1,486.47	1,756.00		0.00	-269.53	
MLP ENERGY	106 33300	11/05/2014	12/11/2014	1,161.17	1,296.20		0.00	-135.03	
CUSIP 38145C232									
Subtotals	11260.92800			\$125,933.04	\$139,597.97		\$0.00	(\$13,664.93)	
GOLDMAN SACHS TR *	33557 04700	08/15/2014	12/03/2014	350,000.00	352,684.57		0.00	-2,684.57	
STRATEGIC INCOME FD CL	59738 29100	08/15/2014	12/29/2014	615,304.40	627,849.43		0.00	-12,545.03	
CUSIP 38145C646	83 89800	09/02/2014	12/29/2014	864.14	863.45		0.00	-19.31	
	157 17300	10/01/2014	12/29/2014	1,618.88	1,664.46		0.00	-45.58	
	185 86100	11/03/2014	12/29/2014	1,914.37	1,947.82		0.00	-33.45	
	263 16700	12/01/2014	12/29/2014	2,710.63	2,734.30		0.00	-23.67	
	349 81800	12/29/2014	12/31/2014	3,596.13	3,613.62		0.00	-17.49	
Subtotals	94335.25500			\$976,008.55	\$991,377.65		\$0.00	(\$15,369.10)	
ISHARES IBOXX & ETF	760 00000	02/07/2014	08/15/2014	91,086.12	88,188.42		0.00	2,897.70	
INVESTMENT GRADE CORP BD	526 00000	05/30/2014	08/15/2014	63,041.19	62,944.69		0.00	96.50	
CUSIP 464287242									
Subtotals	1286.00000			\$154,127.31	\$151,133.11		\$0.00	\$2,994.20	
ISHARES ETF	704 00000	11/25/2013	04/29/2014	71,778.33	71,669.75		0.00	108.58	
7-10 YR TREASURY BOND	166 00000	02/07/2014	04/29/2014	16,925.01	16,943.42		0.00	-18.41	
CUSIP 464287440									
Subtotals	870.00000			\$88,703.34	\$88,613.17		\$0.00	\$90.17	
ISHARES MSCI LEAFE INDEX	997 00000	04/30/2014	05/30/2014	69,212.03	68,034.57		0.00	1,177.46	
CUSIP 464287465									

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES CORE S&P MIDCAP ETF CUSIP 464287507	615 00000	02/07/2013	02/07/2014	80,246.92	67,693.05		0.00	12,553.87	
	11 00000	03/13/2013	02/07/2014	1,435.31	1,249.82		0.00	185.49	
	432 00000	11/25/2013	02/07/2014	56,368.57	56,371.68		0.00	-3.11	
	56 00000	05/30/2014	07/02/2014	8,024.07	7,711.76		0.00	312.31	
	26 00000	05/30/2014	07/29/2014	3,645.52	3,580.46		0.00	65.06	
Subtotals	1140.00000			\$149,720.39	\$136,606.77		\$0.00	\$13,113.62	
ISHARES ETF RUSSELL 1000 VALUE CUSIP 464287598	2684 00000	11/25/2013	02/07/2014	245,179.13	249,037.35		0.00	-3,858.22	
	9 00000	12/09/2013	02/07/2014	822.14	835.27		0.00	-13.13	
	95 00000	04/30/2014	07/02/2014	9,619.49	9,234.89		0.00	384.60	
	55 00000	04/30/2014	07/29/2014	5,590.32	5,346.51		0.00	243.81	
Subtotals	2843.00000			\$261,211.08	\$264,454.02		\$0.00	(\$3,242.94)	
ISHARES ETF RUSSELL 1000 GROWTH CUSIP 464287614	4319 00000	11/25/2013	02/07/2014	363,419.82	360,225.07		0.00	3,194.75	
ISHARES CORE S&P SMALLCAP ETF CUSIP 464287804	570 00000	02/07/2013	02/07/2014	58,970.43	47,632.61		0.00	11,337.82	
	28 00000	03/13/2013	02/07/2014	2,896.79	2,423.38		0.00	473.41	
	670 00000	11/25/2013	02/07/2014	69,316.13	71,452.34		0.00	-2,136.21	
	3 00000	12/09/2013	02/07/2014	310.38	320.09		0.00	-9.71	
	29 00000	05/30/2014	07/02/2014	3,268.52	3,111.73		0.00	156.79	
	10 00000	05/30/2014	07/29/2014	1,085.88	1,073.01		0.00	12.87	
Subtotals	1310.00000			\$135,848.13	\$126,013.16		\$0.00	\$9,834.97	
ISHARES JP MORGAN USD EMERGING MARKET'S BOND ETF CUSIP 464288281	1488 00000	02/07/2013	01/31/2014	159,302.51	177,496.07		0.00	-18,193.56	

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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ISHARES MBS ETF CUSIP 464288588	862 00000	02/07/2013	02/07/2014	91,746.77	92,830.50		0.00	-1,083.73	
	7 00000	03/13/2013	02/07/2014	745.04	752.78		0.00	-7.74	
	386 00000	11/25/2013	02/07/2014	41,083.83	40,890.52		0.00	193.31	
Subtotals	1255.00000			\$133,575.64	\$134,473.80		\$0.00	(\$898.16)	
ISHARES ETF INTERMEDIATE CREDIT BOND CUSIP 464288638	908 00000	02/07/2014	05/30/2014	100,196.23	99,053.72		0.00	1,142.51	
ISHARES ETF 1-3 YEAR CREDIT BOND CUSIP 464288646	5 00000	12/09/2013	05/30/2014	528.64	527.19		0.00	1.45	
	377 00000	02/07/2014	05/30/2014	39,859.49	39,814.48		0.00	45.01	
Subtotals	382.00000			\$40,388.13	\$40,341.67		\$0.00	\$46.46	
ISHARES ETF 3-7 YEAR TREASURY BOND CUSIP 464288661	467 00000	11/25/2013	04/29/2014	56,431.31	56,844.22		0.00	-412.91	
	376 00000	02/07/2014	04/29/2014	45,435.07	45,729.12		0.00	-294.05	
Subtotals	843.00000			\$101,866.38	\$102,573.34		\$0.00	(\$706.96)	
LORD ABBETT SECS TR * GROWTH LEADERS FD CL F CUSIP 543915292	5648 00000	02/07/2014	05/15/2014	117,873.76	121,319.05		0.00	-3,445.29	
	3562 20400	02/07/2014	05/30/2014	77,192.96	76,516.15		0.00	676.81	
	394 00000	02/07/2014	07/03/2014	8,983.20	8,463.12		0.00	520.08	
	5060 18100	02/07/2014	07/29/2014	112,993.84	108,692.68		0.00	4,301.16	
Subtotals	14664.38500			\$317,043.76	\$314,991.00		\$0.00	\$2,052.76	
MILLER INVT TRUST * CONVERTIBLE BD FD CL I CUSIP 60055P201	607 00000	05/30/2014	07/03/2014	7,945.63	7,824.24		0.00	121.39	
	202 03600	05/30/2014	07/29/2014	2,608.28	2,604.25		0.00	4.03	
Subtotals	809.03600			\$10,553.91	\$10,428.49		\$0.00	\$125.42	

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
PERMANENT PORTFOLIO FD * PERM PORTFOLIO CUSIP 714199106	853 69200	04/30/2014	05/30/2014	37,656 35	37,810 00		0 00	-153 65	
POWERSHARES SENIOR ETF LOAN PORTFOLIO CUSIP 73936Q769	2242 00000 4 00000 424 00000	11/25/2013 12/09/2013 02/07/2014	05/30/2014 05/30/2014 05/30/2014	55,610 68 99 21 10,516 93	55,683 69 99 46 10,557 60		0 00 0 00 0 00	-73 01 -0 25 -40 67	
Subtotals	2670.00000			\$66,226.82	\$66,340.75		\$0.00	(\$113.93)	
POWERSHARES EM MAR ETF SOV DE PT CUSIP 73936T573	8274 00000	02/07/2013	01/31/2014	221,335 58	252,191 52		0 00	-30,855 94	
SPDR BARCLAYS ETF LONG TERM CORPORATE BOND CUSIP 78464A367	952 00000 271 00000 11946 00000	05/30/2014 05/30/2014 05/30/2014	07/03/2014 07/29/2014 08/15/2014	37,867 15 11,144 63 491,454 75	38,822 56 11,051 38 487,157 88		0 00 0 00 0 00	-955 41 93 25 4,296 87	
Subtotals	13169.00000			\$540,466.53	\$537,031.82		\$0.00	\$3,434.71	
SPDR BARCLAYS ETF HIGH YIELD BOND ETF CUSIP 78464A417	19 00000 3796 00000 187 00000	12/09/2013 02/07/2014 02/07/2014	05/30/2014 05/30/2014 07/03/2014	788 67 157,569 26 7,774 30	771 40 154,611 08 7,616 51		0 00 0 00 0 00	17 27 2,958 18 157 79	
	20 00000	02/07/2014	07/29/2014	823 46	814 60		0 00	8 86	
	2380 00000	02/07/2014	08/15/2014	97,961 26	96,937 40		0 00	1,023 86	
Subtotals	6402.00000			\$264,916.95	\$260,750.99		\$0.00	\$4,165.96	
SPDR BARCLAYS ETF INTERNATIONAL TREASURY CUSIP 78464A516	1534 00000 3 00000 1047 00000	11/25/2013 12/09/2013 02/07/2014	03/31/2014 03/31/2014 03/31/2014	90,919 55 177 80 62,055 28	89,015 06 174 33 61,113 39		0 00 0 00 0 00	1,904 49 3 47 941 89	
Subtotals	2584.00000			\$153,152.63	\$150,302.78		\$0.00	\$2,849.85	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
THORNBURG INV TR DEVELOPING WORLD FD CUSIP 885216606	392 00000 245 34800 4564 08100 215 25500	04/30/2014 04/30/2014 04/30/2014 05/30/2014	07/03/2014 07/29/2014 08/15/2014 08/15/2014	7,977 20 4,953 58 90,688 29 4,277 12	7,408 80 4,637 08 86,261 12 4,219 00		0 00 0 00 0 00 0 00	568 40 316 50 4,427 17 58 12	
Subtotals	5416.68400			\$107,896.19	\$102,526.00		\$0.00	\$5,370.19	
VANGUARD TOTAL BOND ETF MARKET CUSIP 921937835	2652 00000 2645 00000	02/07/2014 08/15/2014	05/30/2014 12/31/2014	218,419 20 217,945 28	215,222 53 218,318 04		0 00 0 00	3,196 67 -372 76	
Subtotals	5297.00000			\$436,364.48	\$433,540.57		\$0.00	\$2,823.91	
VANGUARD FTSE DEVELOPED MARKETS ETF CUSIP 921943858	283 00000 688 00000 481 00000 83 00000 2370 00000 216 00000	02/07/2013 11/25/2013 11/25/2013 11/25/2013 05/30/2014 08/15/2014	02/07/2014 02/07/2014 07/03/2014 07/29/2014 12/29/2014 12/29/2014	11,418 85 27,760 32 20,725 83 3,528 25 91,148 18 8,307 18	10,209 44 28,201 09 19,716 17 3,402 17 101,055 14 8,984 82		0 00 0 00 0 00 0 00 0 00 0 00	1,209 41 -440 77 1,009 66 126 08 -9,906 96 -677 64	
Subtotals	4121.00000			\$162,888.61	\$171,568.83		\$0.00	(\$8,680.22)	
VANGUARD TOTAL INTL ETF BOND CUSIP 922031407	673 00000 223 00000 3378 00000 5039 00000	03/31/2014 03/31/2014 03/31/2014 05/30/2014	07/03/2014 07/29/2014 08/15/2014 08/15/2014	34,463 57 11,526 98 175,059 96 261,138 90	34,053 80 11,283 80 170,926 80 257,996 80		0 00 0 00 0 00 0 00	409 77 243 18 4,133 16 3,142 10	
Subtotals	9313.00000			\$482,189.41	\$474,261.20		\$0.00	\$7,928.21	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
VANGUARD GLOBAL ETF	70 00000	05/30/2014	07/03/2014	4,088 61	4,029 90		0 00	58 71	
EX-J'S REAL ESTATE	43 00000	05/30/2014	07/29/2014	2,555 25	2,475 51		0 00	79 74	
CUSIP 922042676	858 00000	05/30/2014	12/16/2014	46,057 36	49,395 06		0 00	-3,337 70	
	17 00000	08/15/2014	12/16/2014	912 56	1,004 26		0 00	-91 70	
Subtotals	988.00000			\$53,613.78	\$56,904.73		\$0.00	(\$3,290.95)	
VANGUARD INTERMEDIATE TF	866 00000	11/25/2013	04/29/2014	73,910 77	72,873 90		0 00	1,036 87	
TERM CORP BOND	95 00000	02/07/2014	04/29/2014	8,108 00	8,025 03		0 00	82 97	
CUSIP 92206C870									
Subtotals	961.00000			\$82,018.77	\$80,898.93		\$0.00	\$1,119.84	
VANGUARD REIT ETF	441 00000	02/07/2013	02/07/2014	29,949 99	29,746 99		0 00	203 00	Original Basis 30,115 51
CUSIP 922908553	213 00000	11/25/2013	02/07/2014	14,465 65	13,978 38		0 00	487 27	Original Basis 14,042 67
	100 00000	11/25/2013	07/03/2014	7,449 31	6,562 62		0 00	886 69	Original Basis 6,592 80
	767 00000	11/25/2013	07/29/2014	58,639 69	50,335 31		0 00	8,304 38	Original Basis 50,566 78
	506 00000	11/25/2013	08/25/2014	38,721 39	33,206 86		0 00	5,514 53	Original Basis 33,359 56
	7 00000	12/09/2013	08/25/2014	535 68	460 84		0 00	74 84	Original Basis 462 95
Subtotals	2034.00000			\$149,761.71	\$134,291.00		\$0.00	\$15,470.71	
Totals				\$7,339,567.16	\$7,374,388.73		\$0.00	(\$34,821.57)	

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Proceeds, from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES IBOXX & ETF	288 00000	02/07/2013	07/02/2014	33,983.28	34,350.39		0.00	-367.11	
INVESTMENT GRADE CORP BD	51 00000	02/07/2013	07/29/2014	6,080.81	6,082.89		0.00	7.92	
CUSIP 464287242	2047 00000	02/07/2013	08/15/2014	245,333.25	244,150.12		0.00	1,183.13	
	314 00000	03/13/2013	08/15/2014	37,632.94	37,387.45		0.00	245.49	
Subtotals	2700.00000			\$323,040.28	\$321,970.85		\$0.00	\$1,069.43	
ISHARES ETF	770 00000	06/26/2012	04/29/2014	78,507.54	83,079.81		0.00	-4,572.27	
7-10 YR TREASURY BOND	12 00000	02/07/2013	04/29/2014	1,223.49	1,274.72		0.00	-51.23	
CUSIP 464287440									
Subtotals	782.00000			\$79,731.03	\$84,354.53		\$0.00	(\$4,623.50)	
ISHARES ETF	1496 00000	02/07/2013	04/30/2014	163,823.34	165,643.71		0.00	-1,820.37	
INTERMEDIATE CREDIT BOND	1610 00000	02/07/2013	05/30/2014	177,660.69	178,266.28		0.00	-605.59	
CUSIP 464288638	2 00000	03/13/2013	05/30/2014	220.69	221.35		0.00	-0.66	
Subtotals	3108.00000			\$341,704.72	\$344,131.34		\$0.00	(\$2,426.62)	
ISHARES ETF	206 00000	06/26/2012	05/30/2014	21,779.98	21,544.95		0.00	235.03	
1-3 YEAR CREDIT BOND	1049 00000	08/14/2012	05/30/2014	110,908.74	110,321.86		0.00	586.88	
CUSIP 464288646	2 00000	03/13/2013	05/30/2014	211.45	211.27		0.00	0.18	
Subtotals	1257.00000			\$132,900.17	\$132,078.08		\$0.00	\$822.09	
ISHARES ETF	1265 00000	02/07/2013	04/29/2014	152,859.98	155,506.77		0.00	-2,646.79	
3-7 YEAR TREASURY BOND	31 00000	03/13/2013	04/29/2014	3,745.97	3,806.48		0.00	-60.51	
CUSIP 464288661									
Subtotals	1296.00000			\$156,605.95	\$159,313.25		\$0.00	(\$2,707.30)	

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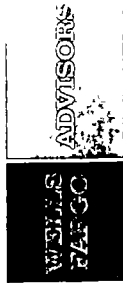
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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SPDR BARCLAYS ETF HIGH YIELD BOND ETF CUSIP 78464A417	6442 00000 3 00000	02/07/2013 03/13/2013	05/30/2014 05/30/2014	267,402.79 124.52	260,640.73 122.85		0.00 0.00	6,762.06 1.67	
Subtotals	6445.00000			\$267,527.31	\$260,763.58		\$0.00	\$6,763.73	
SPDR BARCLAYS ETF INTERNATIONAL TREASURY CUSIP 78464A516	1057 00000	02/07/2013	03/31/2014	62,647.95	62,834.94		0.00	-186.99	
VANGUARD TOTAL BOND ETF MARKET CUSIP 921937835	1383 00000 6 00000	02/07/2013 03/13/2013	05/30/2014 05/30/2014	113,904.12 494.16	115,342.33 498.88		0.00 0.00	-1,438.21 -4.72	
Subtotals	1389.00000			\$114,398.28	\$115,841.21		\$0.00	(\$1,442.93)	
VANGUARD FTSE ETF DEVELOPED MARKETS ETF CUSIP 921943858	3286 00000 352 00000 82 00000	11/25/2013 11/25/2013 12/09/2013	12/29/2014 12/29/2014 12/29/2014	126,487.50 13,537.62 3,153.65	134,692.98 14,428.46 3,325.92		0.00 0.00 0.00	-8,205.48 -890.84 -172.27	
Subtotals	3720.00000			\$143,178.77	\$152,447.36		\$0.00	(\$9,268.59)	
Totals				\$1,621,734.46	\$1,633,735.14		\$0.00	(\$12,000.68)	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount.
For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



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Description CUSIP (Box 1a)	Quantity Sold (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ALLETE INC COM CUSIP: 018522300	300 00000	02/27/2014	06/12/2014	14,223 31	14,925 00		0 00	-701 69	
ALLSTATE CORP 5 625% PFD SR A NON-CUMULATIVE PERP CUSIP: 020002408	1000 00000	06/05/2013	01/14/2014	22,188 61	25,000 00		0 00	-2,811 39	
ALTISOURCE RESIDENTIAL CORP-CLASS B CUSIP: 02153W100	300 00000 230 00000	09/26/2013 01/16/2014	01/14/2014 05/06/2014	9,510 13 5,932 77	6,300 00 7,820 00		0 00 0 00	3,210.13 -1,887 23	
Subtotals	530.00000			\$15,442.90	\$14,120.00		\$0.00	\$1,322.90	
AMERICAN HOMES 4 RENT CLASS A CUSIP: 02665T306	2480 00000	08/01/2013	01/14/2014	41,523.07	39,680 00		0 00	1,843 07	
AMERICAN REALTY CAPITAL PROPERTIES INC CUSIP: 02917T104	5500 00000	05/21/2014	09/24/2014	66,480 50	66,000 00		0 00	480 50	
ANTERO RESOURCES CORP CUSIP: 03674X106	380 00000	10/10/2013	01/14/2014	20,741 68	16,720 00		0 00	4,021 68	
ARAMARK HOLDINGS CORP CUSIP: 03852U106	310 00000	12/12/2013	05/06/2014	8,515.66	6,200 00		0 00	2,315 66	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ARES CAPITAL CORP CUSIP 04010L103	5600 00000	12/10/2013	11/14/2014	90,837.09	99,624.00		0.00	-8,786.91	
	8800 00000	07/16/2014	11/14/2014	142,744.02	149,512.00		0.00	-6,767.98	
Subtotals	14400.00000			\$233,581.11	\$249,136.00		\$0.00	(\$15,554.89)	
ASSOC ESTATES RLTY CORP REIT CUSIP 045604105	360 00000	05/29/2013	01/14/2014	5,601.34	6,174.62		0.00	-573.28	Original Basis 6,210.00
ATHLON ENERGY INC CUSIP 047477104	150 00000	08/02/2013	01/14/2014	4,125.18	3,000.00		0.00	1,125.18	
	600 00000	02/07/2014	06/12/2014	27,178.81	19,200.00		0.00	7,978.81	
Subtotals	750.00000			\$31,303.99	\$22,200.00		\$0.00	\$9,103.99	
BB&T CORP SER G 5.2% PFD NON-CUM/PERP CALL6/01/18 CUSIP 054937800	1000 00000	04/24/2013	01/14/2014	20,068.65	25,000.00		0.00	-4,931.35	
BIOCRIST PHARMACEUTICALS INC CUSIP 09058V103	4700 00000	05/29/2014	09/24/2014	52,119.06	47,000.00		0.00	5,119.06	
BLACKSTONE MORTGAGE TRUST INC CUSIP 09257W100	300 00000	01/08/2014	08/15/2014	8,422.35	8,025.00		0.00	397.35	
BOISE CASCADE CO DEL CUSIP 09739D100	130 00000	07/24/2013	02/11/2014	3,922.23	3,510.00		0.00	412.23	
	130 00000	11/07/2013	02/11/2014	3,922.23	2,925.00		0.00	997.23	
Subtotals	260.00000			\$7,844.46	\$6,435.00		\$0.00	\$1,409.46	
BOYD GAMING CORP CUSIP 103304101	130 00000	08/02/2013	01/14/2014	1,382.95	1,560.00		0.00	-177.05	

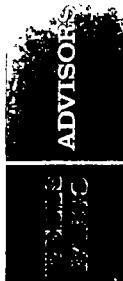
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
BRIXMOR PROPERTY GROUP INC CUSIP 11120U105	690 00000 2400 00000	10/30/2013 06/26/2014	02/11/2014 10/24/2014	14,331 85 56,930 23	13,800 00 54,000 00		0 00 0 00	531 85 2,930 23	
Subtotals	3090.00000			\$71,262.08	\$67,800.00		\$0.00	\$3,462.08	
BURLINGTON STORES INC CUSIP 122017106	320 00000	10/01/2013	01/14/2014	9,406 83	5,440 00		0 00	3,966 83	
CARRIZO OIL & GAS INC CUSIP 144577103	200 00000	11/06/2013	02/11/2014	8,302 85	8,800 00		0 00	-497 15	
CATALENT INC CUSIP 148806102	1000 00000	07/30/2014	10/30/2014	25,383 13	20,500 00		0 00	4,883 13	
CBS OUTDOOR AMERICAS INC CUSIP 14987J106	1000 00000	03/27/2014	07/09/2014	31,895 79	28,000 00		0 00	3,895 79	
CITIGROUP INC 5.8% PFD DEP SHS RPSTG1/1000SER C CUSIP 172967366	1000 00000	03/20/2013	02/11/2014	21,718 62	25,000 00		0 00	-3,281 38	
CLUBCORP HOLDINGS INC CUSIP 18948M108	100 00000	09/20/2013	01/14/2014	1,824 02	1,400 00		0 00	424 02	
COMMSCOPE HOLDING CO INC CUSIP 20337X109	320 00000	10/25/2013	02/11/2014	5,914 90	4,800 00		0 00	1,114 90	
CONCERT PHARMACEUTICALS INC CUSIP 206022105	1500 00000	02/13/2014	06/12/2014	13,281 70	21,000 00		0 00	-7,718 30	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
CONTAINER STORE GROUP INC CUSIP 210751103	500 00000	11/01/2013	02/11/2014	19,387.67	9,000.00		0.00	10,387.67	
COUSINS PROPERTIES INC REIT CUSIP 222795106	240 00000	07/31/2013	01/14/2014	2,414.16	2,400.00		0.00	14.16	
DDR CORP 6 25% PFD SERIES K-CUM PERPETUAL CUSIP 23317H805	1000 00000	03/26/2013	01/14/2014	21,388.63	25,000.00		0.00	-3,611.37	
DFC GLOBAL CORP CUSIP 23324T107	2010 00000	11/25/2013	02/12/2014	13,899.03	23,078.82		0.00	-9,179.79	
	1700 00000	11/25/2013	02/12/2014	11,755.40	19,536.40		0.00	-7,781.00	
	1500 00000	11/25/2013	02/12/2014	10,372.41	17,177.85		0.00	-6,805.44	
	1400 00000	11/25/2013	02/12/2014	9,680.92	16,081.80		0.00	-6,400.88	
	500 00000	11/25/2013	02/12/2014	3,457.47	5,736.00		0.00	-2,278.53	
	390 00000	11/25/2013	02/12/2014	2,696.83	4,470.18		0.00	-1,773.35	
	350 00000	11/25/2013	02/12/2014	2,420.24	4,011.58		0.00	-1,591.34	
	150 00000	11/25/2013	02/12/2014	1,037.25	1,717.05		0.00	-679.80	
Subtotals	8000.00000			\$55,319.55	\$91,809.68		\$0.00	(\$36,490.13)	
DEL FRISCO'S RESTAURANT GROUP INC CUSIP 245077102	100 00000	07/26/2013	02/11/2014	2,356.57	2,125.00		0.00	231.57	
	150 00000	12/05/2013	02/11/2014	3,534.86	2,925.00		0.00	609.86	
Subtotals	250.00000			\$5,891.43	\$5,050.00		\$0.00	\$841.43	
DIAMONDBACK ENERGY INC CUSIP 25278X109	200 00000	11/07/2013	02/11/2014	11,674.79	10,692.00		0.00	982.79	
	385 00000	02/21/2014	06/12/2014	31,880.39	24,127.95		0.00	7,752.44	
Subtotals	585.00000			\$43,555.18	\$34,819.95		\$0.00	\$8,735.23	

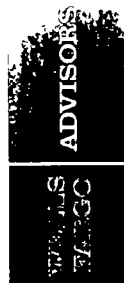
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
DIXIE GROUP INC CUSIP 255519100	3000 00000	05/14/2014	09/24/2014	24,741 35	31,950 00		0 00	-7,208 65	
EP ENERGY CORPORATION CLASS A CUSIP 268785102	410 00000	01/17/2014	08/15/2014	7,441 31	8,200 00		0 00	-758 69	
EMPIRE STATE REALTY TRUST INC CUSIP 292104106	500 00000	10/02/2013	01/14/2014	7,353 92	6,500 00		0 00	853 92	
ENDURANCE INTL GROUP HLDGS INC CUSIP 292728105	210 00000	10/25/2013	02/11/2014	2,515 55	2,520 00		0 00	-4 45	
EXELON CORPORATION CUSIP 30161N101	2000 00000	06/12/2014	09/24/2014	67,431 49	70,000 00		0 00	-2,568 51	
EXELON CORP 6 5% PFD CONVERTIBLE PREFERRED CPN 6.50% DUE 06/01/2017 CUSIP 30161N127	700 00000	06/12/2014	09/24/2014	34,945 19	35,000 00		0 00	-54 81	
EXTRA SPACE STORAGE INC CUSIP 30225T102	1430 00000	11/25/2013	05/06/2014	72,756 36	60,698 51		0 00	12,057 85	Original Basis 60,821 01
	600 00000	11/25/2013	05/06/2014	30,527 14	25,467 30		0 00	5,059 84	Original Basis 25,518 70
	170 00000	11/25/2013	05/06/2014	8,649 36	7,221 06		0 00	1,428 30	Original Basis 7,235 62
	100 00000	11/25/2013	05/06/2014	5,087 86	4,244 42		0 00	843 44	Original Basis 4,253 02
Subtotals	2300.00000			\$117,020.72	\$97,631.29		\$0.00	\$19,389.43	
EZCORP INC CL A NON VTG CUSIP 302301106	2500 00000	11/25/2013	06/12/2014	30,494 59	29,767 52		0 00	727 07	
	2500 00000	11/25/2013	06/12/2014	30,494 58	29,755 30		0 00	739 28	
	1700 00000	11/25/2013	06/12/2014	20,736 32	20,188 57		0 00	547 75	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
EZCORP INC CLA NON VTG CUSIP 302301106	600 00000	11/25/2013	06/12/2014	7,318 70	7,138 26		0 00	180 44	
	500 00000	11/25/2013	06/12/2014	6,098 92	5,941 05		0 00	157 87	
	400 00000	11/25/2013	06/12/2014	4,879 13	4,756 84		0 00	122 29	
	100 00000	11/25/2013	06/12/2014	1,219 79	1,188 71		0 00	31 08	
Subtotals	8300.00000			\$101,242.03	\$98,736.25		\$0.00	\$2,505.78	
FIESTA RESTAURANT GROUP INC CUSIP 316608101	100 00000	11/15/2013	02/11/2014	4,542 92	4,600 00		0 00	-57 08	
FIFTH STREET FINANCE CORP CUSIP 31678A103	9420 00000	09/19/2013	05/06/2014	87,006 51	97,120 20		0 00	-10,113 69	
FIVE PRIME THERAPEUTICS INC CUSIP 33830X104	100 00000	09/18/2013	01/14/2014	1,867 96	1,300 00		0 00	567 96	
	500 00000	02/07/2014	06/12/2014	6,823 85	6,250 00		0 00	573 85	
Subtotals	600.00000			\$8,691.81	\$7,550.00		\$0.00	\$1,141.81	
FLEXION THERAPEUTICS INC CUSIP 33938J106	1500 00000	02/12/2014	06/12/2014	18,908 98	19,500 00		0 00	-591 02	
GLIMCHER REALTY 6.875% PFD CUM-PERP-CALL 03/27/18 CUSIP 379302607	1000 00000	03/22/2013	01/14/2014	21,538 62	25,000 00		0 00	-3,461 38	
GOLUB CAP BDC INC CUSIP 38173M102	770 00000	09/12/2013	01/14/2014	14,219 41	13,051 50		0 00	1,167 91	
	1700 00000	03/18/2014	11/24/2014	29,364 66	30,685 00		0 00	-1,320 34	
Subtotals	2470.00000			\$43,584.07	\$43,736.50		\$0.00	(\$152.43)	

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ADVISORS

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
HEALTH CARE REIT INC REIT CUSIP 42217K106	170 00000	05/09/2013	01/14/2014	9,289 64	12,374 50		0 00	-3,084 86	Original Basis 12,495 00
NICHOLS J C CO CHG CUSIP 431284108	660 00000	08/08/2013	01/14/2014	23,659 78	23,376 04		0 00	283 74	Original Basis 23,430 00
HILTON WORLDWIDE HOLDINGS INC CUSIP 43300A104	390 00000	12/12/2013	05/06/2014	8,507 79	7,800 00		0 00	707 79	
HOSPITALITY PROPERTIES REIT TRUST CUSIP 44106M102	530 00000	11/07/2013	05/06/2014	15,733 96	14,840 00		0 00	893 96	
HOUGHTON MIFFLIN HARCOURT CUSIP 44157R109	340 00000	11/13/2013	02/11/2014	6,379 29	4,080 00		0 00	2,299 29	
IMS HEALTH HOLDINGS INC CUSIP 44970B109	1300 00000	04/04/2014	07/09/2014	32,933 11	26,000 00		0 00	6,933 11	
IHS INC CL A CUSIP 451734107	200 00000	01/15/2014	06/12/2014	25,517 43	23,200 00		0 00	2,317 43	
IMMUNE DESIGN CORP CUSIP 45252L103	1900 00000	07/24/2014	10/30/2014	56,632 24	22,800 00		0 00	33,832 24	
ING U S INC CUSIP 45685E106	150 00000	10/24/2013	02/11/2014	5,087 41	4,425 00		0 00	662 41	
INTEGRA LIFESCIENCES HOLDING CUSIP 457985208	290 00000	11/06/2013	02/11/2014	13,260 16	11,600 00		0 00	1,660 16	

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Proceeds from Broker and Barter Exchange Transactions. continued

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Description CUSIP (Box 1a)	Quantity Sold (Box 1c)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
INTERMUNE INC CUSIP 45884X103	200 00000	11/06/2013	02/11/2014	2,647 95	2,600 00		0 00	47 95	
JONES ENERGY INC CLASS A CUSIP 48019R108	700 00000	07/24/2013	01/14/2014	10,182 69	10,500 00		0 00	-317 31	
KILROY RLTY CORP REIT CUSIP 49427F108	100 00000	09/12/2013	01/14/2014	5,006 91	4,984 49		0 00	22 42	Original Basis 5,000 00
KINDRED HEALTHCARE INC CUSIP 494580103	1200 00000	06/20/2014	09/24/2014	22,696 49	28,500 00		0 00	-5,803 51	
LA QUINTA HOLDINGS INC CUSIP 50420D108	1800 00000	04/08/2014	07/09/2014	33,217 79	30,600 00		0 00	2,617 79	
LACLEDE GROUP INC CUSIP 505597104	1600 00000	06/06/2014	09/24/2014	73,724 37	74,000 00		0 00	-275 63	
LADDER CAPITAL CORP CL A CUSIP 505743104	2500 00000	02/06/2014	06/12/2014	48,142 43	42,500 00		0 00	5,642 43	
LAREDO PETE INC NEW COM CUSIP 516806106	380 00000	08/13/2013	01/14/2014	9,011 37	9,025 00		0 00	-13 63	
LEXINGTON REALTY TRUST REIT CUSIP 529043101	2380 00000	10/11/2013	02/11/2014	25,562 96	26,584 60		0 00	-1,021 64	
LUMOS NETWORKS CORP CUSIP 550283105	140 00000	11/15/2013	02/12/2014	2,392 37	2,800 00		0 00	-407 63	

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MFA FINANCIAL 7.5% PFD CUM PERPETUAL SER B CUSIP: 55272X409	1000 00000	04/09/2013	01/14/2014	21,808.62	25,000.00		0.00	-3,191.38	
MALIBU BOATS INC CLASS A CUSIP: 56117J100	2400 00000	01/31/2014	05/06/2014	51,160.88	33,600.00		0.00	17,560.88	
MEMORIAL RESOURCE DEVELOPMENT CORP CUSIP: 58605Q109	1500 00000	06/13/2014	09/24/2014	40,029.26	28,500.00		0.00	11,529.26	
MONEYGRAM INTL INC CUSIP: 60935Y208	1500 00000	03/27/2014	07/09/2014	20,758.00	24,750.00		0.00	-3,992.00	
NATIONAL HEALTH INVS INC CUSIP: 63633D104	460 00000	11/22/2013	05/06/2014	28,657.08	26,209.59		0.00	2,447.49	Original Basis 26,220.00
NEW MOUNTAIN FINANCE CRP CUSIP: 647551100	6080 00000	10/11/2013	01/14/2014	89,154.46	87,187.20		0.00	1,967.26	
	1600 00000	01/29/2014	05/06/2014	22,524.38	23,520.00		0.00	-995.62	
	4100 00000	04/10/2014	08/15/2014	61,623.38	58,630.00		0.00	2,993.38	
Subtotals	11780 00000			\$173,302.22	\$169,337.20		\$0.00	\$3,965.02	
PANDORA MEDIA INC CUSIP: 69835A107	130 00000	09/19/2013	01/14/2014	4,364.75	3,250.00		0.00	1,114.75	
PARKWAY PPTYS INC REIT CUSIP: 70159Q104	1310 00000	01/07/2014	05/06/2014	23,755.56	23,776.50		0.00	-20.94	
PARSLEY ENERGY INC CUSIP: 70187T102	1600 00000	05/23/2014	09/24/2014	31,753.29	29,600.00		0.00	2,153.29	

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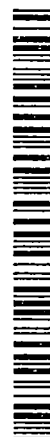
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Proceeds from Broker and Barter Exchange Transactions, continued

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PATTERN ENERGY GRP INC CUSIP 70338P100	220 00000	09/26/2013	01/14/2014	6,446 11	4,840 00		0 00	1,606 11	
PLUM CREEK TIMBER CO INC DEPOSITARY UNIT CUSIP 729251108	420 00000	10/30/2013	02/11/2014	17,952 52	18,900 00		0 00	-947 48	
PREMIER INC CLASS A CUSIP 74051N102	400 00000	09/26/2013	01/14/2014	14,479 79	10,800 00		0 00	3,679 79	
QUINTILES TRANSNATIONAL HOLDINGS INC CUSIP 74876Y101	800 00000	03/13/2014	07/09/2014	43,466 08	41,600 00		0 00	1,866 08	
REALTY INCOME CORP REIT CUSIP 756109104	1500 00000 2500 00000	10/21/2013 03/26/2014	05/06/2014 08/15/2014	64,267 77 110,296 04	60,733 60 99,900 00		0 00 0 00	3,534 17 10,396 04	Original Basis 60,945 00
Subtotals	4000.00000			\$174,563.81	\$160,633.60		\$0.00	\$13,930.21	
RESTORATION HARDWARE HOLDINGS INC CUSIP 761283100	100 00000	07/11/2013	01/14/2014	6,027 89	7,000 00		0 00	-972 11	
RETAIL OPPORTUNITY INVESTMENTS CORP CUSIP 76131N101	2100 00000	06/13/2014	09/24/2014	30,908 52	31,395 00		0 00	-486 48	
REXFORD IND REALTY INC CUSIP 76169C100	470 00000	07/18/2013	01/14/2014	6,041 79	6,580 00		0 00	-538 21	



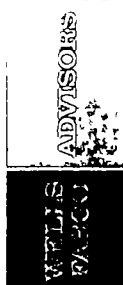
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
RICE ENERGY INC CUSIP: 762760106	3000 00000	01/24/2014	05/06/2014	85,869.16	63,000.00		0 00	22,869.16	
SANTANDER CONSUMER USA CUSIP: 80283M101	1000 00000	01/23/2014	05/06/2014	22,258.50	24,000.00		0 00	-1,741.50	
SEAWORLD ENTERTAINMENT INC CUSIP: 81282V100	220 00000	12/12/2013	05/06/2014	6,606.65	6,600.00		0 00	6.65	
SELECT INCOME REIT SHS BEN INT CUSIP: 81618T100	2370 00000	06/27/2013	05/06/2014	71,834.32	66,952.50		0 00	4,881.82	
SPORTSMANS WAREHOUSE HOLDINGS INC CUSIP: 84920Y106	2800 00000	04/17/2014	09/24/2014	18,302.59	26,600.00		0 00	-8,297.41	
STAG INDUSTRIAL 6.625% PFD SER B-CUMULATIVE-PERP CUSIP: 85254J300	1000 00000	04/09/2013	01/14/2014	20,548.64	25,000.00		0 00	-4,451.36	
STARWOOD PROPERTY TR INC CUSIP: 85571B105	4000 00000	09/10/2013	01/14/2014	112,826.64	97,166.32		0 00	15,660.32	Original Basis 97,200.00
	6600 00000	04/08/2014	10/30/2014	146,024.74	148,830.00		0 00	-2,805.26	
Subtotals	10600.00000			\$258,851.38	\$245,996.32		\$0.00	\$12,855.06	
TECO ENERGY INC CUSIP: 872375100	1900 00000	07/01/2014	10/24/2014	36,099.02	34,390.00		0 00	1,709.02	
TPG SPECIALTY LENDING INC CUSIP: 87265K102	3000 00000	08/05/2014	12/03/2014	51,854.92	55,890.00		0 00	-4,035.08	

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
US ECOLOGY INC CUSIP: 91732J102	200 00000	12/05/2013	05/06/2014	9,296 81	6,800 00		0 00	2,496 81	
VEEVA SYSTEMS INC CL A CUSIP: 922475108	120 00000	10/15/2013	01/14/2014	3,938 13	2,400 00		0 00	1,538 13	
VINCE HOLDING CORP CUSIP: 92719W108	110 00000	11/22/2013	02/12/2014	2,634 85	2,200 00		0 00	434 85	
WESTAR ENERGY INC CUSIP: 95709T100	330 00000	09/25/2013	01/14/2014	10,736 24	10,279 50		0 00	456 74	
WHITEWAVE FOODS CO CLASS A CUSIP: 966244105	100 00000	07/17/2013	01/14/2014	2,359 97	1,775 00		0 00	584 97	
XENOPORT INC CUSIP: 98411C100	1000 00000	01/24/2014	05/06/2014	3,519 02	6,000 00		0 00	-2,480 98	
CHC GROUP LTD CUSIP: G07021101	1030 00000	01/17/2014	07/09/2014	8,328 85	10,300 00		0 00	-1,971 15	
MONTAGE TECHNOLOGY GROUP LTD CUSIP: G6209T105	300 00000	09/26/2013	01/14/2014	6,418 79	3,000 00		0 00	3,418 79	
TSAKOS ENERGY NAVIGATION LTD CUSIP: G9108L108	2500 00000 6000 00000	01/31/2014 04/24/2014	07/09/2014 07/09/2014	17,137 55 41,130 12	16,625 00 43,800 00		0 00 0 00	512 55 -2,669 88	
Subtotals	8500 00000			\$58,267.67	\$60,425.00		\$0.00	(\$2,157.33)	

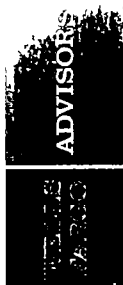
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code	Adjustments	Gain/Loss Amount	Additional Information
AMERIGAS PARTNERS LP CUSIP 030975106	710.00000	07/09/2013	05/06/2014	32,818.52	33,796.00		0.00	-977.48	
	990.00000	01/14/2014	05/06/2014	45,761.04	42,302.70		0.00	3,458.34	
	2500.00000	06/12/2014	09/24/2014	112,887.48	114,500.00		0.00	-1,612.52	
Subtotals	4200.00000			\$191,467.04	\$190,598.70		\$0.00	\$868.34	
ARES MANAGEMENT LP CUSIP 04014Y101	1800.00000	05/01/2014	09/24/2014	31,466.65	34,200.00		0.00	-2,733.35	
ENBRIDGE ENERGY MNGMT LLC CUSIP 29250X103	458.00000	09/10/2013	01/14/2014	12,666.67	13,050.00		0.00	-383.33	
ENDURO ROYALTY TRUST CUSIP 29269K100	4900.00000	09/27/2013	05/06/2014	58,659.87	67,854.56		0.00	-9,194.69	Original Basis: 67,865.00
	1700.00000	09/27/2013	05/15/2014	19,679.51	23,541.38		0.00	-3,861.87	Original Basis: 23,545.00
Subtotals	6600.00000			\$78,339.38	\$91,395.94		\$0.00	(\$13,056.56)	
PBF LOGISTICS LP CUSIP 69318Q104	1500.00000	05/09/2014	09/24/2014	38,367.18	34,500.00		0.00	3,867.18	
PACIFIC COAST OIL TRUST BEN INT CUSIP 694103102	990.00000	09/19/2013	02/11/2014	13,920.66	16,929.00		0.00	-3,008.34	
PLAINS GP HOLDINGS LP CUSIP 72651A108	1100.00000	10/16/2013	02/11/2014	30,125.58	24,200.00		0.00	5,925.58	
KNOT OFFSHORE PARTNERS LP CUSIP Y48125101	1800.00000	06/24/2014	09/24/2014	44,636.00	51,174.00		0.00	-6,538.00	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
SEADRILL PARTNERS LLC	390 00000	12/04/2013	05/06/2014	11,565 43	11,505 00		0 00	60 43	
COM UNIT RPSTG LTD	1300 00000	03/12/2014	08/15/2014	42,043 57	39,780 00		0 00	2,263 57	
CUSIP Y754SW109									
<i>Subtotals</i>	1690.00000			\$53,609.00	\$51,285.00		\$0.00	\$2,324.00	
TEEKAY LNG PARTNERS LP	250 00000	10/01/2013	01/14/2014	10,530 34	10,655 00		0 00	-124 66	
CUSIP Y8564M105									
<i>Totals</i>				\$505,128.50	\$517,987.64		\$0.00	(\$12,859.14)	



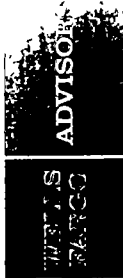
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ARES CAPITAL CORP CUSIP: 04010L103	5800 00000	09/26/2013	11/14/2014	94,081.28	99,470.00		0.00	-5,388.72	
DIGITAL REALTY 5.875% PFD SERIES G CUMULATIVE PERP CUSIP: 253868889	1000 00000	04/03/2013	05/06/2014	22,091.01	25,000.00		0.00	-2,908.99	
HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CUSIP: 41068X100	6200 00000	04/17/2013	05/06/2014	81,577.97	77,050.00		0.00	4,527.97	Original Basis 77,500.00
MARATHON PETROLEUM CORP CUSIP: 56585A102	700 00000 700 00000	07/19/2013 07/19/2013	08/06/2014 08/06/2014	60,165.22 60,165.21	48,911.00 48,916.93		0.00 0.00	11,254.22 11,248.28	
Subtotals	1400.00000			\$120,330.43	\$97,827.93		\$0.00	\$22,502.50	
NATIONAL RETAIL 5.7% PFD PPTYS INC SER E CUM PERP CUSIP: 637417809	1000 00000	05/22/2013	06/12/2014	22,374.50	25,000.00		0.00	-2,625.50	
PS BUS PARKS 5.7% PFD DEP SHS RPSTG1/1000 PERP CUSIP: 69360J644	1300 00000	03/06/2013	06/12/2014	29,203.30	32,500.00		0.00	-3,296.70	
PHILLIPS 66 CUSIP: 718546104	600 00000 500 00000 400 00000 100 00000 100 00000	07/19/2013 07/19/2013 07/19/2013 07/19/2013 07/19/2013	08/06/2014 08/06/2014 08/06/2014 08/06/2014 08/06/2014	48,853.89 40,711.58 32,569.27 8,142.32 8,142.32	34,852.73 29,053.89 23,234.36 5,812.08 5,809.59		0.00 0.00 0.00 0.00 0.00	14,001.16 11,657.69 9,334.91 2,330.24 2,332.73	
Subtotals	1700.00000			\$138,419.38	\$98,762.65		\$0.00	\$39,656.73	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
PUBLIC STORAGE 5.2% PFD PERP CUM-SER X DEP SHS CUSIP 74460W107	1300 00000	03/04/2013	06/12/2014	28,169.88	32,500.00		0.00	-4,330.12	
WELLS FARGO & CO 5.25% PFD DEP SHS RPSTG1/1000TH-A CUSIP 949746655	1000 00000	03/15/2013	05/06/2014	23,628.48	25,000.00		0.00	-1,371.52	
PARTNERRE LTD 5.875% PFD CALLABLE 3/1/2018 @ 25 CUSIP G68603128	2000 00000	02/12/2013	05/15/2014	48,187.93	50,000.00		0.00	-1,812.07	
RENAISSANCE 5.375% PFD NON-CUMULATIVE SERIES E CUSIP G7498P119	1000 00000	05/21/2013	06/12/2014	22,341.50	25,000.00		0.00	-2,658.50	
Totals				\$630,405.66	\$588,110.58		\$0.00	\$42,295.08	



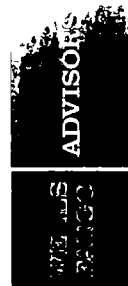
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Partnership Dispositions For Noncovered Securities

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
ARC LOGISTICS PARTNERS LP CUSIP: 03879N101	180 00000	11/06/2013	02/11/2014	3,615.94	3,420.00		0.00	195.94	
ATLAS RESOURCE PARTNERS LP-UNIT RPTSG LTD PRTR CUSIP 04941A101	2000 00000 6500 00000	03/04/2014 05/08/2014	06/12/2014 09/24/2014	39,150.13 123,226.44	42,360.00 129,350.00		0.00 0.00	-3,209.87 -6,123.56	
Subtotals	8500.00000			\$162,376.57	\$171,710.00		\$0.00	(\$9,333.43)	
BREITBURN ENERGY PRTRNS LP CUSIP 106776107	1030 00000	11/13/2013	02/11/2014	20,835.83	18,766.60		0.00	2,069.23	
BUCKEYE PARTNERS LP UNIT LTD PARTNERSHIP INT CUSIP 118230101	480 00000 1600 00000	10/11/2013 09/04/2014	01/14/2014 12/11/2014	33,297.88 122,000.28	30,052.80 128,000.00		0.00 0.00	3,245.08 -5,999.72	
Subtotals	2080.00000			\$155,298.16	\$158,052.80		\$0.00	(\$2,754.64)	
COMPRESSCO PARTNERS LP CUSIP 20467A101	5000 00000	07/24/2014	10/30/2014	105,551.39	117,500.00		0.00	-11,948.61	
CRESTWOOD MIDSTREAM PARTNERSHIP LP NEW CUSIP: 226378107	1340 00000	09/10/2013	01/14/2014	30,824.98	30,150.00		0.00	674.98	

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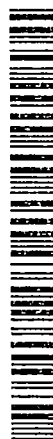
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Partnership Dispositions For Noncovered Securities

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
DCP MIDSTREAM PARTNERS LP CUSIP 23311P100	500 00000 1500 00000	08/08/2013 02/28/2014	01/14/2014 05/06/2014	24,600 62 80,258 06	25,020 00 73,350 00		0 00 0 00	-419 38 6,908 06	
Subtotals	2000.00000			\$104,858.68	\$98,370.00		\$0.00	\$6,488.68	
EQT MIDSTREAM PARTNER LP CUSIP 26885B100	370 00000 900 00000	07/17/2013 05/02/2014	01/14/2014 09/24/2014	22,092 75 78,793 24	16,095 00 68,175 00		0 00 0 00	5,997 75 10,618 24	
Subtotals	1270.00000			\$100,885.99	\$84,270.00		\$0.00	\$16,615.99	
EV ENERGY PARTNER CUSIP 26926V107	580 00000	10/18/2013	02/11/2014	19,660 59	21,378 80		0 00	-1,718 21	
EMERGE ENERGY SERVICES LP-COM UNIT RPSTG LTD CUSIP 29102H108	1300 00000	06/20/2014	09/24/2014	149,341 48	141,778 00		0 00	7,563 48	
ENTERPRISE PRODUCTS PARTNERS CUSIP 293792107	400 00000	11/05/2013	02/11/2014	26,492 97	24,820 00		0 00	1,672 97	
EXTERRAN PARTNERS LP CUSIP 30225N105	2500 00000	03/28/2014	07/09/2014	70,390 95	70,900 00		0 00	-509 05	
GENESIS ENERGY LP CUSIP 371927104	370 00000	09/11/2013	01/14/2014	19,227 66	17,578 70		0 00	1,648 96	
KINDER MORGAN ENERGY PARTNERS LP UNIT LTD CUSIP 494550106	1100 00000	02/19/2014	06/12/2014	86,012 52	86,152 00		0 00	-139 48	



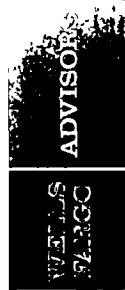
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Partnership Dispositions For Noncovered Securities

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
LEHIGH GAS PARTNERS LP UT OF LTD PARTNERSHIP CUSIP 524814100	560 00000	12/05/2013	05/06/2014	14,890 25	15,064 00		0 00	-173 75	
MARTIN MIDSTREAM PARTNER LP CUSIP 573331105	2000 00000 3700 00000	05/06/2014 09/24/2014	10/24/2014 10/24/2014	72,334 71 133,819 24	83,020 00 136,567 00		0 00 0 00	-10,685 29 -2,747 76	
Subtotals	5700.00000			\$206,153.95	\$219,587.00		\$0.00	(\$13,433.05)	
MEMORIAL PRODUCTION PARTNERS LP CUSIP 586048100	840 00000	10/02/2013	01/14/2014	18,197 28	16,716 00		0 00	1,481 28	
MIDCOAST ENERGY PARTNERS LP CUSIP 59564N103	480 00000	11/06/2013	02/11/2014	10,408 02	8,640 00		0 00	1,768 02	
NGL ENERGY PARTNERS LP CUSIP 62913M107	1120 00000 3100 00000	09/20/2013 06/18/2014	01/14/2014 09/24/2014	38,289 50 129,288 42	36,265 60 135,935 00		0 00 0 00	2,023 90 -6,646 58	
Subtotals	4220.00000			\$167,577.92	\$172,200.60		\$0.00	(\$4,622.68)	
OIL TANKING PARTNERS LP CUSIP 678049107	230 00000	11/19/2013	02/12/2014	14,930 14	14,179 50		0.00	750 64	
ONEOK PARTNERS LP CUSIP 68268N103	1580 00000 2800 00000	08/07/2013 05/13/2014	01/14/2014 09/24/2014	79,436 62 150,868 91	78,383 80 148,232 00		0.00 0 00	1,052 82 2,636 91	
Subtotals	4380.00000			\$230,305.53	\$226,615.80		\$0.00	\$3,689.73	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Partnership Dispositions For Noncovered Securities

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
QEP MIDSTREAM PARTNERS LP CUSIP 74735R115	670 00000	08/08/2013	01/14/2014	15,369 33	14,070 00		0 00	1,299 33	
SOUTHCROSS ENERGY PARTNERS LP-COM UNIT LTD CUSIP 84130C100	2000 00000	01/31/2014	05/06/2014	33,858 54	33,000 00		0 00	858 54	
SUMMIT MIDSTREAM PARTNERS LP-UT LTD PRTNR CUSIP 866142102	500 00000	03/12/2014	07/09/2014	25,312 43	19,375 00		0 00	5,937 43	
TALLGRASS ENERGY PARTNER LP CUSIP 874697105	2000 00000	07/22/2014	10/24/2014	85,610 52	82,140 00		0 00	3,470 52	
TESORO LOGISTICS LP CUSIP 88160T107	380 00000	11/19/2013	02/12/2014	21,515 42	19,399 00		0 00	2,116 42	
TRANSMONTAIGNE PARTNERS LP CUSIP 89376V100	150 00000	07/19/2013	01/14/2014	6,257 89	6,498 00		0 00	-240 11	
USA COMPRESSION PARTNERS LP-COM UNIT CUSIP 90290N109	3000 00000	05/14/2014	09/24/2014	72,223 39	76,770 00		0 00	-4,546 61	
VALERO ENERGY PARTNERS LP CUSIP 91914J102	320 00000	12/11/2013	05/06/2014	14,026 69	7,360 00		0 00	6,666 69	

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WELLS
FARGO

ADVISORS

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Partnership Dispositions For Noncovered Securities

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
WESTERN GAS PARTNERS LP CUSIP: 958254104	590 00000	12/03/2013	05/06/2014	40,440 10	36,290 90		0 00	4,149 20	
WESTERN REFINING LOGISTICS LP CUSIP: 95931Q205	390 00000	10/09/2013	01/14/2014	10,216 52	8,580 00		0.00	1,636 52	
Totals				\$2,042,667.63	\$2,021,332.70		\$0.00	\$21,334.93	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount.

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.

Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2014 Year-End Account Summary

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**WELLS
FARGO**

As of Date: 03/15/15

Account Number: -9964

RIFKIN FAMILY FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
AMKOR TECHNOLOGIES INC SR UNSECURED CPN 7.38% DUE 05/01/2018 CUSIP 031652BC3	61000 00000	04/25/2013	02/04/2014	63,440 00	64,355 00		0 00	-915 00	
ARES CAPITAL CORP CONVERTIBLE NOTE CPN 5.13% DUE 06/01/2016 CUSIP 04010LAD5	25000 00000	04/14/2014	10/17/2014	25,687 50	27,125 00		0 00	-1,437 50	
BEAZER HOMES USA COMPANY GTD CPN 9.13% DUE 05/15/2019 CUSIP 07556QAY1	59000 00000	04/10/2013	02/28/2014	63,646 25	64,310 00		0 00	-663 75	
GFI GRP INC SR UNSECURED MULTI STEP CPN 10.38% DUE 07/19/2018 CUSIP 361652AA8	41000 00000 3000 00000 19000 00000	08/08/2013 10/02/2013 12/04/2013	07/30/2014 07/30/2014 07/30/2014	50,378 75 3,686 25 23,346 25	40,556 88 3,031 08 19,632 50		0 00 0 00 0 00	9,821 87 655 17 13,713 75	Original Basis Original Basis Original Basis 40,447 73 3,035 19 19,712 50
Subtotals	63000 00000			\$77,411.25	\$63,220.46		\$0.00	\$14,190.79	
MOMENTIVE PERFORMANCE 1ST LIEN CPN 8.88% DUE 10/15/2020 CUSIP 55336TAC9	15000 00000 16000 00000 31000 00000	08/09/2013 09/04/2013 11/15/2013	04/25/2014 04/25/2014 04/25/2014	16,200 00 17,280 00 33,480 00	15,937 50 16,700 00 32,550 00		0 00 0 00 0 00	262 50 580 00 930 00	
Subtotals	62000 00000			\$66,960.00	\$65,187.50		\$0.00	\$1,772.50	

2014 Year-End Account Summary

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RIFKIN FAMILY FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments	Gain/Loss Amount	Additional Information
NATIONAL MONEY MART CO COMPANY GTD CPN 10 38% DUE 12/15/2016 CUSIP 637004AC6	6000 00000 13000 00000 47000 00000	02/05/2014 02/18/2014 03/04/2014	04/02/2014 04/02/2014 04/02/2014	6,270 00 13,585 00 49,115 00	5,915 00 12,751 79 47,846 11	0 00 0 00 0 00	355 00 833 21 1,268 89	Original Basis 5,910 00 Original Basis 12,740 00 Original Basis 47,881 25
Subtotals	66000.00000			\$68,970.00	\$66,512.90	\$0.00	\$2,457.10	
NISKA GAS STORAGE US/CAN COMPANY GTD CPN 8 88% DUE 03/15/2018 CUSIP 654679AB5	60000 00000 3000 00000	04/09/2013 05/30/2013	03/17/2014 03/17/2014	62,662 80 3,133 14	63,975 00 3,180 00	0 00 0 00	-1,312 20 -46 86	
Subtotals	63000.00000			\$65,795.94	\$67,155.00	\$0.00	(\$1,359.06)	
NII CAPITAL CORP COMPANY GTD CPN 7 63% DUE 04/01/2021 CUSIP 67021BAE9	80000 00000 4000 00000 7000 00000	04/08/2013 09/23/2013 11/04/2013	03/03/2014 03/03/2014 03/03/2014	25,660 00 1,280 00 2,240 00	65,937 08 3,085 50 4,348 54	0 00 0 00 0 00	-40,337 08 -1,805 50 -2,108 54	Original Basis 64,800 00 Original Basis 3,050 00 Original Basis 4,282 68
Subtotals	91000.00000			\$29,120.00	\$73,371.12	\$0.00	(\$44,251.12)	
PHI INC COMPANY GTD CPN 8 63% DUE 10/15/2018 CUSIP 69336TAD8	60000 00000	04/18/2013	03/05/2014	65,400 00	65,850 00	0 00	-450 00	
THOMPSON CREEK METALS CO SR SECURED CPN 9 75% DUE 12/01/2017 CUSIP 884768AF9	36000 00000 16000 00000	04/11/2013 12/17/2013	02/25/2014 02/25/2014	40,455 00 17,980 00	39,240 00 17,660 00	0 00 0 00	1,215 00 320 00	
Subtotals	52000.00000			\$58,435.00	\$56,900.00	\$0.00	\$1,535.00	

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2014 Year-End Account Summary

As of Date: 03/15/15

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RIFKIN FAMILY FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
VIPSHOP HOLDING LTD CONV	36000 00000	05/19/2014	11/05/2014	47,469 60	39,780 00		0 00	7,689 60	
SR UNSECURED	15000 00000	08/11/2014	11/05/2014	19,779 00	19,436 10		0 00	342 90	
CPN 1.50% DUE 03/15/2019									
CUSIP 92763WAA1									
Subtotals	51000.00000			\$67,248.60	\$59,216.10		\$0.00	\$8,032.50	
Totals				\$652,114.54	\$673,203.08		\$0.00	(\$21,088.54)	

2014 Year-End Account Summary

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RIFKIN FAMILY FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued**Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)**

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
ADVANCED MICRO DEVICES SR UNSECURED CPN 7.75% DUE: 08/01/2020 CUSIP 007903AU1	60000 00000 9000 00000	04/10/2013 04/11/2013	06/13/2014 06/13/2014	64,350 00 9,652 50	56,583 94 8,487 46		0 00 0 00	7,766 06 1,165 04	Original Basis 56,100 00 Original Basis 8,415 00
Subtotals	69000.00000			\$74,002.50	\$65,071.40		\$0.00	\$8,931.10	
ARES CAPITAL CORP CONVERTIBLE NOTE CPN 5.13% DUE: 06/01/2016 CUSIP 04010LAD5	36000 00000	04/10/2013	10/17/2014	36,990 00	38,610.00		0 00	-1,620 00	
Totals				\$110,992.50	\$103,681.40		\$0.00	\$7,311.10	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**

OMB No 1545-1709

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RIFKIN FAMILY FOUNDATION	31-1192429
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	5642 COVENTRY LANE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	FORT WAYNE	IN 46804

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JENNIFER L. WILSON

Telephone No. ► (260) 432-2233 Fax No. ► (260) 436-3047

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 17, 20 15, to file the exempt organization return for the organization named above.
The extension is for the organization's return for:

- ☒ calendar year 20 14 or
► ☐ tax year beginning , 20 , and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	3,933.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	6,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions