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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation ENGLISH FAMILY FOUNDATION C/O AMIE BROOKS		A Employer identification number 31-0921799
Number and street (or P.O. box number if mail is not delivered to street address) 500 COMMONS DRIVE	Room/suite	B Telephone number 740-973-4717
City or town, state or province, country, and ZIP or foreign postal code POWELL, OH 43065		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,992,732.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		5.	5.		STATEMENT 1
4 Dividends and interest from securities		41,625.	41,625.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		125,002.			
b Gross sales price for all assets on line 6a 593,693.					
7 Capital gain net income (from Part IV, line 2)			125,002.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		166,632.	166,632.		
13 Compensation of officers, directors, trustees, etc		1,250.	500.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,500.	2,250.		0.
c Other professional fees STMT 4		24,144.	24,144.		0.
17 Interest		57.	57.		0.
18 Taxes STMT 5		5,879.	1,637.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		33,830.	28,588.		0.
25 Contributions, gifts, grants paid		98,400.			98,400.
26 Total expenses and disbursements. Add lines 24 and 25		132,230.	28,588.		98,400.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		34,402.			
b Net investment income (if negative, enter -0-)			138,044.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	83,404.	71,801.	71,801.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,969,244.	1,920,931.	1,920,931.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,052,648.	1,992,732.	1,992,732.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,052,648.	1,992,732.	
	30 Total net assets or fund balances	2,052,648.	1,992,732.	
31 Total liabilities and net assets/fund balances	2,052,648.	1,992,732.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,052,648.
2	Enter amount from Part I, line 27a	2	34,402.
3	Other increases not included in line 2 (itemize) ▶ NON-DIVIDEND DISTRIBUTION	3	1,618.
4	Add lines 1, 2, and 3	4	2,088,668.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	5	95,936.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,992,732.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SHEET - UBS SHORT TERM	P		
b SEE ATTACHED SHEET - UBS LONG TERM	P		
c SEE ATTACHED SHEET - UBS LONG TERM	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 139,728.		136,600.	3,128.
b 222,689.		175,454.	47,235.
c 213,007.		156,637.	56,370.
d 18,269.			18,269.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,128.
b			47,235.
c			56,370.
d			18,269.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	125,002.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013			
2012			
2011			
2010			
2009			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	2,761.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,761.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,761.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,360.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,360.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	3.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	596.
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax 596. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► AMIE BROOKS Telephone no. ► 614-212-8159 Located at ► 500 COMMONS DRIVE, POWELL, OH ZIP+4 ► 43065			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLEN JULIAN	TRUSTEE			
1300 POUNTAINE DR.				
COLUMBUS, OH 43221	1.00	0.	0.	0.
AMIE BROOKS	TRUSTEE			
500 COMMONS DRIVE				
POWELL, OH 43065	1.00	1,250.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,006,275.
b	Average of monthly cash balances	1b	65,141.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,071,416.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,071,416.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,071.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,040,345.
6	Minimum investment return. Enter 5% of line 5	6	102,017.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	102,017.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,761.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,761.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	99,256.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	99,256.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	99,256.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	98,400.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	98,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	98,400.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				99,256.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			93,726.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 98,400.				
a Applied to 2013, but not more than line 2a			93,726.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				4,674.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				94,582.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

AMIE BROOKS, 614-451-6434
500 COMMONS DRIVE, POWELL, OH 43065

b The form in which applications should be submitted and information and materials they should include:

LETTER FORM

c Any submission deadlines:

MAY 1 AND OCTOBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

FRANKLIN AND DELAWARE COUNTIES IN OHIO - EDUCATIONAL PURPOSES

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BALLET MET 322 MT. VERNON AVE COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	2,500.
BETTER BUSINESS BUREAU EDUCATION FOUNDATION 1169 DUBLIN ROAD COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	3,000.
BEXLEY EDUCATION FOUNDATION 348 SOUTH CASSINGHAM ROAD COLUMBUS, OH 43209	NONE	PC	OPERATING FUNDS	1,500.
BEXLEY METHODIST CHURCH 2657 E BROAD ST BEXLEY, OH 43209	NONE	PC	OPERATING FUNDS	1,000.
BIG BROTHERS BIG SISTERS OF CENTRAL OHIO 1855 EAST DUBLIN-GRANVILLE RD COLUMBUS, OH 43229	NONE	PC	OPERATING FUNDS	4,000.
Total	SEE CONTINUATION SHEET(S)			98,400.
b Approved for future payment				
NONE				
Total				0.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

2014.03020 ENGLISH FAMILY FOUNDATION C 10715 1

ENGLISH FAMILY FOUNDATION
C/O AMIE BROOKS

31-0921799

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CANINE COMPANIONS FOR INDEPENDENCE 4969 STATE ROUTE 37 DELAWARE, OH 43015	NONE	PC	OPERATING FUNDS	21,000.
COLUMBUS SPEECH AND HEARING 510 E. NORTH BROADWAY COLUMBUS, OH 43214	NONE	PC	OPERATING FUNDS	4,000.
COSI 333 WEST BROAD STREET COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	33,300.
GREEN LAWN CEMETARY FOUNDATION 1000 GREEN LAWN AVENUE COLUMBUS, OH 43223	NONE	PC	OPERATING FUNDS	1,000.
HEINZERLING FOUNDATION 1800 HEINZERLING DR. COLUMBUS, OH 43223	NONE	PC	OPERATING FUNDS	2,500.
KELTON HOUSE 586 EAST TOWN STREET COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	2,000.
LAW ENFORCEMENT FOUNDATION 6277 RIVERSIDE DRIVE DUBLIN, OH 43017	NONE	PC	OPERATING FUNDS	3,000.
LIFE CARE ALLIANCE 1699 W MOUND ST COLUMBUS, OH 43223	NONE	PC	OPERATING FUNDS	3,000.
MID SHORE COMMUNITY FOUNDATION 102 E. DOVER STREET EASTON, MD 21601	NONE	PC	OPERATING FUNDS	3,500.
NEW DIRECTIONS CAREER CENTER 370 S FIFTH ST COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	3,000.
Total from continuation sheets				86,400.

ENGLISH FAMILY FOUNDATION
C/O AMIE BROOKS

31-0921799

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OHIO HEALTH FOUNDATION 3535 OLENTANGY RIVER ROAD COLUMBUS, OH 43214	NONE	PC	MEMORY TREE	1,000.
PLANNED PARENTHOOD 3255 EAST MAIN STREET COLUMBUS, OH 43213	NONE	PC	OPERATING FUNDS	2,500.
PROMUSICA CHAMBER ORCHESTRA 243 NORTH FIFTH STREET COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	2,000.
STRATFORD ECOLOGICAL CENTER 3083 LIBERTY ROAD DELAWARE, OH 43015	NONE	PC	SERVICE GARDEN	1,500.
THE WOMEN'S FUND OF CENTRAL OHIO 41 S. HIGH STREET, #230 COLUMBUS, OH 43215	NONE	PC	OPERATING FUNDS	2,000.
YOGA ON HIGH 1234 EAST BROAD STREET COLUMBUS, OH 43205	NONE	PC	OPERATING FUNDS	1,000.
TOUR DE H2O/GLOBAL PARTNERS DEVELOPMENT 320 PROFESSIONAL CENTER DRIVE, SUITE 120 ROHNERT PARK, CA 94928	NONE	PC	TOUR DE H2O	100.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS	5.	5.	
TOTAL TO PART I, LINE 3	5.	5.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS	41,625.	0.	41,625.	41,625.	
UBS	18,269.	18,269.	0.	0.	
TO PART I, LINE 4	59,894.	18,269.	41,625.	41,625.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,500.	2,250.		0.
TO FORM 990-PF, PG 1, LN 16B	2,500.	2,250.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS	24,144.	24,144.		0.
TO FORM 990-PF, PG 1, LN 16C	24,144.	24,144.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	1,037.	1,037.		0.
FEDERAL EXCISE TAXES	4,242.	0.		0.
OHIO FILING FEE	600.	600.		0.
TO FORM 990-PF, PG 1, LN 18	5,879.	1,637.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKET CORPORATE STOCKS HELD BY UBS AS CUSTODIAN	1,920,931.	1,920,931.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,920,931.	1,920,931.

UBS FINANCIAL SERVICES INC.

Account UE 02229

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AQR MANAGED FUTURES STRATEGY FUND CLASS N / CUSIP 00203H842 / Symbol MFQRAC							
07/25/14	57.529	574.14	09/26/13	571.84	0.00	2.30	Sale
AQR MANAGED FUTURES STRATEGY FUND CLASS I / CUSIP 00203H859 / Symbol MFQRAD							
3 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS							
	4,886.724	49,404.78	09/28/13	49,016.07	0.00	388.71	Sale
	5.374	54.33	12/20/13	55.97	0.00	-1.64	Sale
	46.070	465.77	12/20/13	479.77	0.00	-14.00	Sale
08/20/14	4,938.168	49,924.88	VARIOUS	49,551.81	0.00	373.07	Total of 3 lots
ABERCROMBIE & FITCH CO CL A / CUSIP 002898207 / Symbol ANF							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS							
	4.000	174.59	06/04/14	155.55	0.00	19.04	Sale
	2.000	87.30	06/05/14	77.72	0.00	9.58	Sale
08/25/14	6.000	261.89	VARIOUS	233.27	0.00	28.62	Total of 2 lots
ACTIVISION BLIZZARD INC / CUSIP 00507V109 / Symbol ATVI							
08/22/14	41.000	948.67	09/25/13	698.90	0.00	247.77	Sale
ADOBE SYSTEMS INC (DELAWARE) / CUSIP 00724F101 / Symbol ADBE							
06/02/14	1.000	64.39	09/26/13	51.93	0.00	12.46	Sale
06/05/14	7.000	450.00	09/26/13	363.48	0.00	86.52	Sale
	Security total	514.39		415.41	0.00	98.98	
AETNA INC / CUSIP 00817Y108 / Symbol AET							
06/02/14	3.000	234.22	09/26/13	194.61	0.00	39.61	Sale
06/05/14	5.000	398.63	09/26/13	324.35	0.00	74.28	Sale
	Security total	632.85		518.98	0.00	113.89	
AGNICO EAGLE MINES LTD FOREIGN STOCK CAD / CUSIP 008474108 / Symbol AEM							
06/05/14	6.000	182.68	09/26/13	160.02	0.00	22.66	Sale
ALBEMARLE CORP COMMON STOCK / CUSIP 012653101 / Symbol ALB							
08/25/14	3.000	186.35	06/02/14	208.71	0.00	-22.36	Sale
10/29/14	10.000	571.95	06/02/14	695.70	0.00	-123.75	Sale

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALBEMARLE CORP COMMON STOCK / CUSIP: 012653101 / Symbol ALB (confd)							
2 tax lots for 10/30/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	288 69	08/02/14	347 85	0 00	-61 18	Sale
	10 000	572 74	08/02/14	695 70	0 00	-122 96	Sale
10/30/14	15.000	859 43	VARIOUS	1,043 55	0 00	-184 12	Total of 2 lots
10/31/14	5 000	290 60	08/02/14	347 85	0 00	-57 25	Sale
	Security total.	1,908 33		2,295 81	0 00	-387 48	
AMAZON.COM INC / CUSIP: 023135106 / Symbol AMZN							
10/29/14	1 000	297 66	02/12/14	349.45	51 79 W	0 00	Sale
AVERY DENNISON CORP COMMON STOCK / CUSIP 053811109 / Symbol AVY							
06/02/14	3.000	150 06	09/26/13	130 35	0 00	19 71	Sale
06/05/14	7 000	345 21	09/26/13	304 15	0 00	41 06	Sale
	Security total	495 27		434 50	0 00	60 77	
BLACKHAWK NETWORK HLDGS INC CL B / CUSIP 09238E203 / Symbol HAWKB							
04/15/14	0 758	18 52	04/15/14	18 03	0 00	0 49	Sale
5 tax lots for 08/29/14 Total proceeds (and cost when required) reported to the IRS							
	0 557	15 31	04/15/14	13 24	0 00	2 07	Sale
	1 807	49 72	04/15/14	42 99	0 00	6 73	Sale
	1 807	49 72	04/15/14	42 99	0 00	6 73	Sale
	2 738	75 27	04/15/14	65 09	0 00	10 18	Sale
	5 093	140 13	04/15/14	121 17	0 00	18 96	Sale
08/29/14	12 000	330 15	VARIOUS	285 48	0 00	44 67	Total of 5 lots
2 tax lots for 09/02/14 Total proceeds (and cost when required) reported to the IRS							
	1 700	46 90	04/15/14	40 44	0 00	6 46	Sale
	2 300	63 45	04/15/14	54 72	0 00	8 73	Sale
09/02/14	4 000	110 35	VARIOUS	95 16	0 00	15 19	Total of 2 lots
	Security total.	459 02		398 67	0 00	60 35	
BROWN & BROWN INC / CUSIP 115236101 / Symbol BRO							
2 tax lots for 11/11/14 Total proceeds (and cost when required) reported to the IRS.							
	3 000	98 12	08/12/14	92 43	0 00	5 69	Sale

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 5- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BROWN & BROWN INC / CUSIP 115236101 / Symbol BRO (cont'd)							
	3.000	98.13	08/12/14	92.56	0.00	5.57	Sale
11/11/14	6.000	196.25	VARIOUS	184.89	0.00	11.26	Total of 2 lots
CREDIT SUISSE COMMODITY RET STRAT FD COMMON CL / CUSIP 22544R305 / Symbol MFCJAX							
08/20/14	4,619.379	33,305.72	09/26/13	33,960.21	0.00	-654.49	Sale
DWS RREEF GLOBAL INFRASTRUCTURE FD CL A / CUSIP 233378718 / Symbol MFKEOB							
01/27/14	43.647	577.45	09/26/13	565.54	0.00	10.91	Sale
07/25/14	53.812	833.01	09/26/13	698.48	0.00	134.53	Sale
	Security total	1,410.46		1,265.02	0.00	145.44	
DANA HOLDING CORP / CUSIP 235825205 / Symbol DAN							
08/25/14	19.000	444.21	09/09/13	422.48	0.00	21.73	Sale
DEUTSCHE GLOBAL INFRASTRUCTURE FUND CLASS S / CUSIP 25156A809 / Symbol							
08/20/14	329.579	5,121.56	09/26/13	4,264.07	0.00	857.59	Sale
DICK'S SPORTING GOODS INC / CUSIP 253393102 / Symbol DKS							
08/25/14	6.000	270.89	05/29/14	258.25	0.00	14.64	Sale
DOVER CORP COMMON STOCK / CUSIP 260003108 / Symbol DOV							
08/29/14	2.000	175.92	09/26/13	151.06	0.00	24.86	Sale
09/03/14	4.000	352.17	09/26/13	302.12	0.00	50.05	Sale
	Security total	528.09		453.18	0.00	74.91	
ENDO HEALTH SOLUTIONS INC **MERGER-EFF-03/2014** / CUSIP 29264F205 / Symbol							
03/03/14	8.000	619.32	09/26/13	364.00	0.00	255.32 X	Sale
HARMAN INTL INDS INC NEW COMMON STOCK / CUSIP 413086109 / Symbol HAR							
08/25/14	2.000	232.73	06/02/14	207.96	0.00	24.77	Sale
10/02/14	20.000	1,964.71	06/02/14	2,079.61	0.00	-114.90	Sale
	Security total	2,197.44		2,287.57	0.00	-90.13	
HOSPIRA INC / CUSIP 441060100 / Symbol HSP							
06/02/14	3.000	147.34	09/26/13	117.33	0.00	30.01	Sale
06/05/14	6.000	297.93	09/26/13	234.68	0.00	63.27	Sale

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	
		OMB No. 1545-0715	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Security total.		445.27			351.99	0.00	93.28	
HUNTSMAN CORP / CUSIP: 447011107 / Symbol: HUN								
08/29/14	3.000	80.81		09/26/13	62.42	0.00	18.39	Sale
09/03/14	10.000	270.32		09/26/13	208.06	0.00	62.26	Sale
Security total.		351.13			270.48	0.00	80.65	
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM								
10/29/14	13.000	2,124.28		09/29/14	2,453.64	0.00	-329.36	Sale
JUNIPER NETWORKS INC / CUSIP: 48203R104 / Symbol: JNPR								
11/11/14	7.000	144.75		08/29/14	162.20	0.00	-17.45	Sale
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol: K								
08/02/14	3.000	207.33		09/26/13	179.13	0.00	28.20	Sale
06/05/14	7.000	484.49		09/26/13	417.97	0.00	66.52	Sale
Security total.		691.82			597.10	0.00	94.72	
KNOWLES CORP / CUSIP: 49926D109 / Symbol: KN								
2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS								
	2.500	79.83		04/30/13	56.81	0.00	23.02	Sale
	3.000	95.80		09/26/13	89.34	0.00	6.46	Sale
03/21/14	5.500	175.63		VARIOUS	146.15	0.00	29.48	Total of 2 lots
LAS VEGAS SANDS CORP / CUSIP: 517834107 / Symbol: LVS								
08/22/14	11.000	758.10		01/23/14	863.14	0.00	-105.04	Sale
LIBERTY INTERACTIVE CORP SER A / CUSIP: 53071M104 / Symbol: QVCA								
08/22/14	18.000	529.01		01/24/14	499.08	0.00	29.93	Sale
10/29/14	28.000	722.98		01/24/14	660.90	0.00	62.08	Sale
Security total.		1,251.99			1,159.98	0.00	92.01	
LIBERTY VENTURES SER A / CUSIP: 53071M880 / Symbol: LVNTA								
10/20/14	0.246	7.51		01/24/14	7.13	0.00	0.38	Sale

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
MARSH & MCLENNAN COS INC / CUSIP 571748102 / Symbol MMC								
2 tax lots for 08/19/14. Total proceeds (and cost when required) reported to the IRS								
	1 000	52 15		09/26/13	44 10	0 00	8 05	Sale
	3 000	156 28		09/26/13	132 30	0 00	23 98	Sale
08/19/14	4 000	208 43		VARIOUS	178 40	0 00	32 03	Total of 2 lots
08/20/14	4,000	208 51		08/26/13	178 40	0 00	32 11	Sale
Security total		416 94			352 80	0 00	64 14	
MOODYS CORP / CUSIP 615369105 / Symbol MCO								
08/22/14	5 000	462 44		05/15/14	405 43	0 00	57 01	Sale
MOOG INC CL A COMMON STOCK / CUSIP 615394202 / Symbol MOGA								
09/03/14	6 000	432 65		09/26/13	345 36	0 00	87 29	Sale
09/04/14	1 000	71 66		09/26/13	57 58	0 00	14 10	Sale
Security total		504 31			402 92	0 00	101 39	
LONGBORD MANAGED FUTURES STRATEGY FUND CLASS I / CUSIP 66538A422 / Symbol								
11/07/14	329 310	3,497 27		08/25/14	3,431 41	0 00	65 86	Sale
POLYCOM INC / CUSIP: 73172K104 / Symbol PLCM								
06/03/14	19 000	241 30		09/26/13	205 20	0 00	36 10	Sale
08/05/14	4 000	51 87		09/26/13	43 20	0 00	8 47	Sale
Security total		292 97			248 40	0 00	44 57	
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol PCLN								
08/22/14	1,000	1,266 09		01/29/14	1,137 32	0 00	128 77	Sale
REGAL BELOIT CORP COMMON STOCK / CUSIP 758750103 / Symbol RBC								
08/25/14	3 000	215 19		06/02/14	231 52	0 00	-16 33	Sale
RESMED INC / CUSIP: 761152107 / Symbol RMD								
11/11/14	4 000	204 67		09/17/14	206 52	0 00	-1 85	Sale
ROSETTA RESOURCES INC / CUSIP: 777779307 / Symbol ROSE								
08/25/14	4 000	196 23		09/09/13	196 05	0 00	0 18	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account UE 02229

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
SPX CORPORATION COMMON STOCK / CUSIP 784835104 / Symbol SPW							
09/02/14	3.000	314 04	09/26/13	254 43	0 00	59 61	Sale
09/03/14	1 000	104 08	09/26/13	84 81	0 00	19 27	Sale
Security total		418 12		339 24	0 00	78 88	
SAFEWAY INC COMMON STOCK / CUSIP 786514208 / Symbol SWY							
08/02/14	7.000	240 28	09/26/13	225 10	0 00	15 18	Sale
08/05/14	7 000	240 05	09/26/13	225 10	0 00	14 95	Sale
Security total		480 33		450 20	0 00	30 13	
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP 808513105 / Symbol SCHW							
08/22/14	28 000	744 10	02/05/14	623 72	0 00	120 38	Sale
SHIRE PLC SPON ADR / CUSIP 82481R106 / Symbol SHPG							
08/12/14	2 000	474 75	09/26/13	237 48	0 00	237 27	Sale
08/14/14	2 000	485 57	09/26/13	237 48	0 00	248 09	Sale
Security total		960 32		474 96	0 00	485 36	
SOUTHWESTERN ENERGY CO / CUSIP 845467109 / Symbol SWN							
06/02/14	11 000	502 94	09/26/13	403 48	0 00	99 46	Sale
SYMANTEC CORP COMMON STOCK / CUSIP 871503108 / Symbol SYMC							
04/09/14	46 000	950 34	04/30/13	1,118 15	0 00	-167 81	Sale
2 tax lots for 04/10/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	41 07	04/30/13	48 61	0 00	-7 54	Sale
	35 000	718 70	09/26/13	874 65	0 00	-155 95	Sale
04/10/14	37 000	759 77	VARIOUS	923 28	0 00	-163 49	Total of 2 lots
04/14/14	4 000	81 01	09/26/13	99 98	0 00	-18 95	Sale
Security total		1,791 12		2,141.37	0 00	-350 25	
TALISMAN ENERGY INC FOREIGN STOCK CAD / CUSIP 87425E103 / Symbol TLM							
05/02/14	6 000	61 16	09/26/13	67 92	0 00	-6 76	Sale
05/05/14	8 000	81 28	09/26/13	90 58	0 00	-9 28	Sale
Security total		142 44		158 48	0 00	-16 04	
TENET HEALTHCARE CORP / CUSIP 88033G407 / Symbol THC							
08/25/14	4 000	239 75	06/02/14	187 39	0 00	52 36	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
(continued)		
2014 1099-B*		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TETRA TECHNOLOGIES INC DEL COMMON STOCK / CUSIP 88162F105 / Symbol TTI							
08/25/14	21 000	235 40	06/02/14	239 21	0 00	-3 81	Sale
TIMKEN CO COMMON STOCK / CUSIP 887389104 / Symbol: TKR							
07/30/14	15 000	699 04	06/02/14	688 39	0 00	10 65	Sale
08/06/14	8 000	271.24	06/02/14	275 35	0 00	-4 11	Sale
08/21/14	10 000	456 10	06/02/14	458 93	0 00	-2 83	Sale
08/25/14	2 000	91.22	06/02/14	91.78	0 00	-0 56	Sale
08/26/14	5 000	228 33	06/02/14	229 46	0 00	-1 13	Sale
08/27/14	1 000	45 38	06/02/14	45 90	0 00	-0 52	Sale
09/05/14	3 000	134 97	06/02/14	137 67	0 00	-2 70	Sale
09/11/14	11 000	494 76	06/02/14	504 82	0 00	-10 06	Sale
	Security total	2,421 04		2,432.30	0 00	-11 26	
TIMKENSTEEL CORP COM / CUSIP 887399103 / Symbol TMST							
07/01/14	0 500	20 27	06/02/14	19.10	0 00	1.17	Sale
10/03/14	26 000	1,136 39	06/02/14	993 36	0 00	143 03	Sale
	Security total	1,156 66		1,012 46	0 00	144 20	
ULTRA PETROLEUM CORP (CANADA) ORD CAD / CUSIP 903914109 / Symbol UPL							
08/22/14	24 000	606 71	07/09/14	653 81	47.10 W	0 00	Sale
VALEANT PHARMACEUTICALS INTL I FOREIGN STOCK / CUSIP 91911K102 / Symbol VRX							
08/22/14	6 000	689 38	03/14/14	836 24	0 00	-146 86	Sale
VANGUARD FTSE ALL WO X-US SC / CUSIP 922042718 / Symbol VSS							
06/03/14	7 000	764 45	09/26/13	699.15	0 00	65.30	Sale
08/20/14	115 000	12,440 99	09/26/13	11,485 99	0 00	955 00	Sale
	Security total	13,205 44		12,185 14	0 00	1,020 30	
WESCO INTERNATIONAL INC / CUSIP 95082P105 / Symbol WCC							
08/25/14	3 000	252 08	06/02/14	258 32	0 00	-6 24	Sale
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP GS480U120 / Symbol LBTYK							
08/22/14	36 000	1,502 74	01/16/14	1,508 70	0 00	-5 96	Sale
NORWEGIAN CRUISE LINE HLDGS LTD FOREIGN STOCK / CUSIP G66721104 / Symbol NCLH							
08/25/14	6 000	202 67	06/02/14	203 57	0 00	-0 90	Sale

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions	Account UE 02229
2014	1099-B*		OMB No. 1545-0715
			(continued)

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NORWEGIAN CRUISE LINE HLDGS LTD FOREIGN STOCK / CUSIP G66721104 / Symbol NCLH (cont'd)								
11/11/14	3 000		118.70	06/02/14	101.79	0 00	16 91	Sale
	Security total		321 37		305 36	0 00	16 01	
ROWAN COMPANIES PLC CL A FOREIGN STOCK / CUSIP G7665A101 / Symbol RDC								
08/25/14	7 000		207.84	09/09/13	265 66	0 00	-58 02	Sale
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP G97822103 / Symbol PRGO								
08/25/14	2 000		297 35	05/30/14	275 90	0 00	21 45	Sale
11/11/14	1 000		155 49	05/30/14	137 94	0 00	17.55	Sale
	Security total		452 84		413 84	0 00	39 00	
Totals:			139,828.83		136,600 47	98 89 W	3,127 25	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ADOBE SYSTEMS INC (DELAWARE) / CUSIP 00724F101 / Symbol ADBE								
06/02/14	39 000		2,511 04	11/06/12	1,342 93	0 00	1,168 11	Sale
AETNA INC / CUSIP 00817Y108 / Symbol AET								
4 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS								
	2 000		156.15	08/25/11	75 49	0 00	80 66	Sale
	3 000		234 23	03/29/12	147 62	0 00	86 61	Sale
	9 000		702 67	09/06/12	347 58	0 00	355 09	Sale
	15 000		1,171.12	09/19/12	589 74	0 00	581 38	Sale
06/02/14	29 000		2,264 17	VARIOUS	1,160 43	0 00	1,103 74	Total of 4 lots
AGNICO EAGLE MINES LTD FOREIGN STOCK CAD / CUSIP 008474108 / Symbol AEM								
5 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS								
	5 000		150 95	02/16/12	169 34	0 00	-18 39	Sale
	5 000		150 94	03/12/12	176 26	0 00	-25 32	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
AGNICO EAGLE MINES LTD FOREIGN STOCK CAD / CUSIP 008474108 / Symbol AEM (cont'd)							
	4,000	120 78	03/29/12	131 08	0 00	-10 30	Sale
	1 000	30 19	09/06/12	48 49	0 00	-18 30	Sale
	15 000	452 84	09/08/12	826 73	0 00	-373 89	Sale
08/02/14	30 000	905 68	VARIOUS	1,351 88	0 00	-446.20	Total of 5 lots
08/05/14	2 000	60 89	09/08/12	110.23	0 00	-49 34	Sale
	Security total	968 57		1,462.11	0 00	-495 54	
AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP 009158108 / Symbol APD							
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	1,195 82	04/05/12	815 28	0 00	380 54	Sale
	39 000	5,181 86	01/23/13	3,432 78	0 00	1,749 08	Sale
08/22/14	48 000	6,377 68	VARIOUS	4,248 06	0 00	2,129 62	Total of 2 lots
ALLEGHANY CORP DEL NEW COMMON STOCK / CUSIP: 017175100 / Symbol Y							
03/03/14	1 000	385 25	01/23/13	381 01	0 00	24 24	Sale
08/22/14	5 000	2,110 15	01/23/13	1,805 05	0 00	305 10	Sale
	Security total	2,495 40		2,166 06	0 00	329 34	
ALLEGHENY TECHNOLOGY INC / CUSIP: 01741R102 / Symbol ATI							
08/25/14	8 000	251 21	11/06/12	170.15	0 00	81 06	Sale
10/29/14	10 000	313 77	11/06/12	283 59	0 00	30.18	Sale
3 tax lots for 10/30/14 Total proceeds (and cost when required) reported to the IRS.							
	2 000	63 03	11/06/12	58.72	0 00	6 31	Sale
	45 000	1,418 67	11/06/12	1,276.16	0 00	142 51	Sale
	3 000	94 54	09/26/13	91 94	0 00	2.60	Sale
10/30/14	50 000	1,576 24	VARIOUS	1,424 82	0 00	151 42	Total of 3 lots
10/31/14	7 000	225 42	09/26/13	214 53	0 00	10 89	Sale
	Security total	2,368 64		2,093 09	0 00	273 55	
AMPHENOL CORP NEW CL A / CUSIP: 032095101 / Symbol APH							
08/25/14	3 000	313 55	08/18/11	128 38	0 00	185 17	Sale
11/11/14	3 000	152 57	08/18/11	64 19	0 00	88 38	Sale
	Security total	466 12		192 57	0 00	273 55	

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*		OMB No 1545-0715
(continued)		

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AVERY DENNISON CORP COMMON STOCK / CUSIP: 053611109 / Symbol: AVY							
2 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	43 000	2,150 87	11/07/12	1,438.12	0 00	714 75	Sale
	14 000	700 28	04/30/13	581 09	0 00	119 19	Sale
06/02/14	57 000	2,851 15	VARIOUS	2,017 21	0 00	833 94	Total of 2 lots
BANK OF NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK							
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	30,000	1,171 86	04/08/11	905 09	0 00	266 77	Sale
	81 000	3,164 04	07/27/11	2,051.14	0 00	1,112 90	Sale
08/22/14	111 000	4,335 90	VARIOUS	2,956.23	0 00	1,379 67	Total of 2 lots
10/29/14	7 000	260 41	07/27/11	177 26	0 00	83 15	Sale
	Security total:	4,596 31		3,133.49	0 00	1,462 82	
BED BATH & BEYOND INC COMMON STOCK / CUSIP: 075896100 / Symbol: BBBY							
3 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	304 57	08/25/11	267 20	0 00	37 37	Sale
	24 000	1,461 89	09/25/12	1,494 00	0 00	-32 11	Sale
	44 000	2,680 15	01/07/13	2,467 50	0 00	212 65	Sale
05/09/14	73 000	4,446 61	VARIOUS	4,228 70	0 00	217 91	Total of 3 lots
BRINKER INTL INC COMMON STOCK / CUSIP: 109641100 / Symbol: EAT							
08/25/14	5 000	245 44	04/30/13	194 68	0 00	50 76	Sale
11/11/14	2 000	110 31	04/30/13	77 88	0 00	32 43	Sale
	Security total:	355 75		272 56	0 00	83 19	
BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS CL A FOREIGN STOCK / CUSIP: 112585104 / Symbol: BAM							
08/22/14	29 000	1,398 64	07/23/13	1,093 30	0 00	305 34	Sale
CMS ENERGY CORP COMMON STOCK / CUSIP: 125896100 / Symbol: CMS							
08/25/14	5 000	150 89	04/30/13	148 99	0 00	1 90	Sale
CRH PLC IRELAND SPON ADR / CUSIP: 12626K203 / Symbol: CRH							
08/25/14	11 000	256 95	01/07/11	204 78	0 00	52.17	Sale
11/11/14	6 000	131 81	01/07/11	111 70	0 00	20 11	Sale

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Proceeds from Broker and Barter Exchange Transactions

Account UE 02229

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(2)	Additional information
Security total.		388 78		316 48	0.00	72 28	
CVS HEALTH CORP / CUSIP: 126650100 / Symbol CVS							
10/02/14	40 000	3,167 93	08/25/11	1,363 88	0 00	1,804 05	Sale
CAMERON INTERNATIONAL CORP / CUSIP: 13342B105 / Symbol: CAM							
09/25/14	4 000	295 67	06/21/11	188 77	0 00	106 90	Sale
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP: 136385101 / Symbol CNQ							
06/20/14	20 000	892 31	08/25/11	698 18	0 00	194 13	Sale
08/22/14	27 000	1,139 37	08/25/11	942 54	0 00	196 83	Sale
Security total		2,031 68		1,640 72	0 00	390 96	
CARDINAL HEALTH INC COMMON STOCK / CUSIP: 14149Y108 / Symbol CAH							
2 tax lots for 08/11/14. Total proceeds (and cost when required) reported to the IRS							
	8 000	571 15	04/30/13	352 88	0 00	218 27	Sale
	13 000	927 10	04/30/13	573 42	0 00	353 68	Sale
08/11/14	21 000	1,498 25	VARIOUS	926 30	0 00	571 95	Total of 2 lots
08/25/14	3 000	220 80	04/30/13	132 32	0 00	88 48	Sale
Security total		1,719 05		1,058 62	0 00	660 43	
CARMAX INC / CUSIP: 143130102 / Symbol KMX							
10/29/14	5 000	275 74	07/30/13	239 98	0 00	35 76	Sale
CHICAGO BRIDGE & IRON CO NV EUR / CUSIP: 167250109 / Symbol CBI							
09/25/14	4 000	257 06	11/06/12	157 51	0 00	99 55	Sale
11/11/14	3 000	164 21	11/06/12	118 12	0 00	46 09	Sale
Security total		421 27		275 63	0 00	145 64	
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol KO							
02/11/14	31 000	1,196 62	01/23/13	1,154 90	0 00	41 72	Sale
CONCHO RESOURCES INC / CUSIP: 20605P101 / Symbol CXO							
08/25/14	2 000	273 33	11/06/12	179 50	0 00	93 83	Sale

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CREDIT SUISSE COMMODITY RET STRAT FD COMMON CL / CUSIP 22544R305 / Symbol MFCJAX							
2 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS.							
	3,050 960	21,997 42	03/29/12	24,966 58	0 00	-2,969 14	Sale
	1,378 204	9,936 85	09/06/12	11,627 48	0 00	-1,690 63	Sale
08/20/14	4,429 164	31,934 27	VARIOUS	36,594 04	0 00	-4,659 77	Total of 2 lots
D R HORTON INC / CUSIP 23331A109 / Symbol DHI							
08/25/14	6 000	130 01	04/30/13	155 98	0 00	-25 95	Sale
DANA HOLDING CORP / CUSIP 235825205 / Symbol DAN							
11/11/14	9 000	181.70	09/09/13	200 13	0 00	-18 43	Sale
DEUTSCHE GLOBAL INFRASTRUCTURE FUND CLASS S / CUSIP 25156A809 / Symbol							
11/07/14	83 523	1,307 13	09/26/13	1,080 62	0 00	226 51	Sale
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP 254687106 / Symbol DIS							
04/10/14	8 000	474 67	09/26/12	311 82	0 00	162 85	Sale
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.							
	2 000	181 44	09/26/12	103 94	0 00	77 50	Sale
	9 000	816 46	01/23/13	480 66	0 00	335 80	Sale
08/22/14	11 000	997 90	VARIOUS	584 60	0 00	413 30	Total of 2 lots
10/23/14	26 000	2,296 32	01/23/13	1,388 58	0 00	907.74	Sale
Security total		3,768 89		2,285 00	0 00	1,483 89	
DOVER CORP COMMON STOCK / CUSIP 280003108 / Symbol DOV							
08/25/14	3 000	270 08	11/06/12	154 57	0 00	115 51	Sale
2 tax lots for 08/29/14. Total proceeds (and cost when required) reported to the IRS.							
	26 000	2,286 94	11/06/12	1,339 63	0 00	947 31	Sale
	5 000	439 79	04/30/13	288 21	0 00	151 58	Sale
08/29/14	31 000	2,726 73	VARIOUS	1,627 84	0 00	1,098 89	Total of 2 lots
Security total		2,996 81		1,782.41	0 00	1,214 40	
DRESSER RAND GROUP INC / CUSIP 261608103 / Symbol DRC							
08/25/14	3 000	205 40	04/30/13	168 60	0 00	38 80	Sale

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ECOLAB INC COMMON STOCK / CUSIP 278865100 / Symbol ECL								
08/22/14	2 000		227 37	07/17/13	182 47	0 00	44 90	Sale
ENDO HEALTH SOLUTIONS INC **MERGER-EFF-03/2014** / CUSIP: 28264F205 / Symbol								
03/03/14	48 000		3,715 92	11/08/12	1,290 50	0 00	2,425 42 X	Sale
F5 NETWORKS INC / CUSIP 315616102 / Symbol FFIV								
08/25/14	2 000		243 99	11/06/12	175 41	0 00	68 58	Sale
11/11/14	2 000		252 13	11/06/12	175 41	0 00	76 72	Sale
Security total			496 12		350 82	0 00	145.30	
FIRST REPUBLIC BANK / CUSIP 33616C100 / Symbol FRC								
11/11/14	4 000		206 63	04/30/13	151.35	0 00	55 28	Sale
FLOWERVE CORP / CUSIP 34354P105 / Symbol FLS								
08/25/14	4 000		304 51	04/30/13	209 39	0 00	95 12	Sale
GAMESTOP CORP NEW (HOLDING CO) CL A / CUSIP 36467W109 / Symbol GME								
08/25/14	7 000		299 03	05/07/12	150 79	0 00	148 24	Sale
2 tax lots for 11/11/14 Total proceeds (and cost when required) reported to the IRS								
	2 000		85 19	05/07/12	43.09	0 00	42 10	Sale
	1 000		42 60	09/06/12	20.78	0 00	21 82	Sale
11/11/14	3 000		127 79	VARIOUS	63 87	0 00	63 92	Total of 2 lots
Security total			426 82		214 66	0 00	212 16	
GOOGLE INC CL A / CUSIP 38259P508 / Symbol GOOGL								
01/13/14	2 000		2,239 72	05/16/11	1,045 80	0 00	1,193 92	Sale
08/22/14	3 000		1,777 98	08/25/11	787 80	0 00	990 18	Sale
10/29/14	1 000		559 07	08/25/11	262 60	0 00	296 47	Sale
Security total			4,576 77		2,096 20	0 00	2,480 57	
GOOGLE INC CL C / CUSIP 38259P706 / Symbol GOOG								
08/22/14	3 000		1,747 48	08/25/11	785 37	0 00	962 09	Sale
HARLEY DAVIDSON INC COMMON STOCK / CUSIP 412822108 / Symbol HOG								
08/22/14	4 000		255 79	08/25/11	136 48	0 00	119 31	Sale

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UBS FINANCIAL SERVICES INC.

Account UE 02229

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HARLEY DAVIDSON INC COMMON STOCK / CUSIP: 412822108 / Symbol HOG (cont'd)							
2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS.							
	10 000	551.47	08/25/11	341.20	0 00	210.27	Sale
	14 000	772.06	07/16/12	613.88	0 00	158.18	Sale
10/15/14	24 000	1,323.53	VARIOUS	955.08	0 00	368.45	Total of 2 lots
Security total		1,579.32		1,091.56	0 00	487.76	

THE HARTFORD FLOATING RATE FUND CLASS I / CUSIP: 416649804 / Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
16 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS.							
	60 787	546.48	01/31/12	531.87	0 00	14.61	Sale
	48 885	439.47	02/29/12	430.68	0 00	8.81	Sale
	41 413	372.30	03/30/12	366.08	0 00	6.22	Sale
	22 958	206.40	04/30/12	203.87	0 00	2.53	Sale
	24 800	222.95	05/31/12	217.00	0 00	5.95	Sale
	23 630	212.43	06/29/12	207.70	0 00	4.73	Sale
	23 209	208.65	07/31/12	204.93	0 00	3.72	Sale
	23 191	208.49	08/31/12	205.70	0 00	2.79	Sale
	16 101	144.75	09/28/12	143.94	0 00	0.81	Sale
	16 337	146.87	10/31/12	146.05	0 00	0.82	Sale
	15 014	134.97	11/30/12	134.08	0 00	0.89	Sale
	16 022	144.04	12/31/12	143.40	0 00	0.64	Sale
	14 394	129.40	02/28/13	129.40	0 00	0.00	Sale
	13 389	120.37	05/31/13	121.17	0 00	-0.80	Sale
	12 545	112.78	06/28/13	112.28	0 00	0.50	Sale
	2 548	22.90	07/31/13	23.00	0 00	-0.10	Sale
08/20/14	375 223	3,373.25	VARIOUS	3,321.13	0 00	52.12	Total of 16 lots
3 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS							
	15 616	140.39	01/31/13	140.70	0 31 W	0.00	Sale
	14 175	127.43	03/28/13	128.29	0 86 W	0.00	Sale
	11 060	99.43	04/30/13	100.42	0 56 W	-0.43	Sale
08/20/14	40 851	367.25	VARIOUS	369.41	1 73 W	-0.43	Total of 3 lots
Security total		3,740.50		3,690.54	1 73 W	51.69	

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
(continued)		
2014 1039-B*		OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional Information
HEWLETT PACKARD CO COMMON STOCK / CUSIP 428238103 / Symbol HPQ							
01/08/14	30 000	823 72	08/25/11	738 54	0 00	85.18	Sale
HOSPIRA INC / CUSIP 441060100 / Symbol HSP							
06/02/14	57 000	2,799 56	04/30/13	1,885 88	0 00	913 68	Sale
HUNTSMAN CORP / CUSIP 447011107 / Symbol HUN							
08/25/14	8 000	217 03	04/30/13	151 91	0 00	65 12	Sale
08/29/14	77 000	2,074.10	04/30/13	1,462.10	0 00	612.00	Sale
	Security total	2,291 13		1,614 01	0 00	677 12	
INTL FLAVORS&FRGRNCS COMMON STOCK / CUSIP 459508101 / Symbol IFF							
08/25/14	2 000	201 58	04/30/13	154 01	0 00	47 57	Sale
11/11/14	1 000	99 91	04/30/13	77 01	0 00	22.90	Sale
	Security total	301 49		231 02	0 00	70 47	
IRON MOUNTAIN INC NEW / CUSIP 462846106 / Symbol IRM							
01/31/14	12 000	317 33	11/21/12	394 44	0 00	-77.11	Sale
ISHARES MSCI EMERGING MARKETS MINIMUM VOLATILITY ETF / CUSIP 464286533 / Symbol EEMV							
10/31/14	96 000	5,827 99	09/26/13	5,736 19	0 00	91 80	Sale
ISHARES TRUST CORE S&P SMALL-CAP ETF / CUSIP 464287804 / Symbol IJR							
3 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS							
	265 000	28,968 26	03/29/12	20,094 05	0 00	8,874 21	Sale
	7 000	765 20	06/26/12	492 31	0 00	272 89	Sale
	28 000	3,060 80	09/06/12	2,176 07	0 00	884 73	Sale
08/20/14	300 000	32,794 26	VARIOUS	22,762 43	0 00	10,031 83	Total of 3 lots
10/31/14	99 000	11,026 38	09/06/12	7,693 95	0 00	3,332 43	Sale
	Security total	43,820 64		30,456 38	0 00	13,364 26	
ISHARES IBOXX HIGH YIELD CORPORATE BOND ETF \$0 60 / CUSIP 464288513 / Symbol HYG							
2 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	555 34	02/08/12	545 40	0 00	9 94	Sale
	7 000	647 91	02/15/12	633 40	0 00	14 51	Sale
10/31/14	13 000	1,203 25	VARIOUS	1,178 80	0 00	24 45	Total of 2 lots

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1a- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM							
08/22/14	19 000	1,114 33	04/22/13	893 65	0 00	220 68	Sale
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY							
08/25/14	2 000	127 22	11/06/12	127 09	0 00	0.13	Sale
10/29/14	20 000	1,039 00	11/06/12	1,270 87	0 00	-231 87	Sale
10/30/14	5 000	258 65	11/06/12	317.72	0 00	-59 07	Sale
10/31/14	4 000	210 56	09/28/13	208 00	0 00	2 56	Sale
Security total,		1,635 43		1,923.68	0 00	-288 25	
KLA -TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC							
08/25/14	4 000	301 55	04/26/13	205 91	0 00	95 64	Sale
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol: K							
2 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	691 10	09/06/12	503.00	0 00	188 10	Sale
	22 000	1,520 41	11/05/12	1,178.95	0 00	341 45	Sale
06/02/14	32 000	2,211 51	VARIOUS	1,681 96	0 00	529 55	Total of 2 lots
KENNAMETAL INC COMMON STOCK / CUSIP: 489170100 / Symbol: KMT							
08/25/14	6 000	287 89	04/30/13	239 19	0 00	28 70	Sale
11/11/14	3 000	116 08	04/30/13	119 60	0 00	-3 54	Sale
Security total,		383 95		358 79	0 00	25 16	
KIRBY CORPORATION COMMON STOCK / CUSIP: 497268108 / Symbol: KEX							
08/25/14	3 000	364 28	04/30/13	223 50	0 00	140 78	Sale
11/11/14	1 000	105 74	04/30/13	74 50	0 00	31 24	Sale
Security total		470 02		298 00	0 00	172 02	
KNOWLES CORP / CUSIP: 499280109 / Symbol: KN							
03/21/14	14 500	463 03	11/06/12	294 55	0 00	168 48	Sale
LABORATORY CORP AMER HLDGS NEW / CUSIP: 50540R409 / Symbol: LH							
07/01/14	16 000	1,669 76	06/07/13	1,595 60	0 00	74 16	Sale
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	954 10	06/07/13	897.52	0 00	56 58	Sale

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UBS FINANCIAL SERVICES INC.

Account UE 02229

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 1099-B, Part III, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
LABORATORY CORP AMER HLDGS NEW / CUSIP 50540R409 / Symbol LH (cont'd)							
	6 000	836.07	08/07/13	582.11	0.00	53.96	Sale
08/22/14	15 000	1,590.17	VARIOUS	1,479.63	0.00	110.54	Total of 2 lots
	Security total	3,259.93		3,075.23	0.00	184.70	
LAM RESEARCH CORP COMMON STOCK / CUSIP: 512807108 / Symbol LRCX							
08/25/14	4 000	285.39	11/06/12	151.99	0.00	133.40	Sale
LINEAR TECHNOLOGY CORP (DELAWARE) / CUSIP 535678108 / Symbol LLTC							
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.							
	2 000	90.67	08/25/11	54.30	0.00	36.37	Sale
	5 000	226.66	03/29/12	166.14	0.00	60.52	Sale
08/25/14	7 000	317.33	VARIOUS	220.44	0.00	96.89	Total of 2 lots
2 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS.							
	1 000	43.17	03/29/12	33.23	0.00	9.94	Sale
	2 000	86.33	09/06/12	65.82	0.00	19.51	Sale
11/11/14	3 000	129.50	VARIOUS	100.05	0.00	29.45	Total of 2 lots
	Security total	446.83		320.49	0.00	126.34	
MACY'S INC / CUSIP 55616P104 / Symbol M							
08/25/14	3 000	186.05	04/30/13	133.95	0.00	52.10	Sale
11/11/14	2 000	117.85	04/30/13	89.30	0.00	28.55	Sale
	Security total	303.90		223.25	0.00	80.65	
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol MMC							
08/12/14	5 000	257.30	04/30/13	189.78	0.00	67.54	Sale
08/13/14	6 000	310.68	04/30/13	227.71	0.00	82.97	Sale
08/14/14	3 000	155.54	04/30/13	113.88	0.00	41.68	Sale
08/15/14	2 000	103.61	04/30/13	75.90	0.00	27.71	Sale
08/18/14	29 000	1,505.76	04/30/13	1,100.61	0.00	405.15	Sale
2 tax lots for 08/19/14. Total proceeds (and cost when required) reported to the IRS.							
	1 000	52.15	04/30/13	37.95	0.00	14.20	Sale
	5 000	260.76	04/30/13	189.76	0.00	71.00	Sale
08/19/14	6 000	312.91	VARIOUS	227.71	0.00	85.20	Total of 2 lots

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8249, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
Security total		2,645.80		1,935.55	0.00	710.25	
MICROCHIP TECHNOLOGY INC COMMON STOCK / CUSIP 595017104 / Symbol MCHP							
08/25/14	4.000	191.40	04/30/13	138.45	0.00	54.95	Sale
MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol TAP							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS.							
	4.000	297.95	08/02/11	176.87	0.00	121.08	Sale
	2.000	148.98	08/15/11	88.39	0.00	60.59	Sale
08/25/14	6.000	446.93	VARIOUS	265.26	0.00	181.67	Total of 2 lots
2 tax lots for 11/11/14 Total proceeds (and cost when required) reported to the IRS.							
	1.000	76.29	08/15/11	44.20	0.00	32.09	Sale
	2.000	152.57	08/25/11	85.72	0.00	66.85	Sale
11/11/14	3.000	228.86	VARIOUS	129.92	0.00	98.94	Total of 2 lots
Security total		675.79		395.18	0.00	280.61	
MONSANTO CO NEW / CUSIP 61166W101 / Symbol MON							
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.							
	1.000	116.98	08/25/11	69.74	0.00	47.24	Sale
	3.000	350.93	09/24/12	272.21	0.00	78.72	Sale
08/22/14	4.000	467.91	VARIOUS	341.95	0.00	125.96	Total of 2 lots
2 tax lots for 10/29/14 Total proceeds (and cost when required) reported to the IRS.							
	1.000	112.38	09/24/12	90.73	0.00	21.65	Sale
	1.000	112.37	01/23/13	102.69	0.00	9.68	Sale
10/29/14	2.000	224.75	VARIOUS	193.42	0.00	31.33	Total of 2 lots
2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS.							
	11.000	1,315.00	01/23/13	1,129.64	0.00	185.36	Sale
	2.000	239.09	04/15/13	208.46	0.00	30.63	Sale
11/26/14	13.000	1,554.09	VARIOUS	1,338.10	0.00	215.99	Total of 2 lots
Security total		2,246.75		1,873.47	0.00	373.28	
MOOG INC CL A COMMON STOCK / CUSIP 615394202 / Symbol MOGA							
08/25/14	4.000	280.91	05/01/13	180.99	0.00	99.92	Sale

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UBS FINANCIAL SERVICES INC.	Account UE 02229
2014 1099-B*	Proceeds from Broker and Barter Exchange Transactions (continued)
	OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MOOG INC CL A COMMON STOCK / CUSIP: 615394202 / Symbol MOGA (cont'd)							
2 tax lots for 08/29/14. Total proceeds (and cost when required) reported to the IRS.							
	11 000	779 12	05/01/13	497 73	0 00	281 39	Sale
	2 000	141 66	05/03/13	92 32	0 00	49 34	Sale
08/29/14	13 000	920 78	VARIOUS	590 05	0 00	330 73	Total of 2 lots
3 tax lots for 09/02/14. Total proceeds (and cost when required) reported to the IRS.							
	13 000	934 71	05/03/13	600 07	0 00	334 64	Sale
	5 000	359 50	05/06/13	230 90	0 00	128 60	Sale
	4 000	287 60	05/08/13	189 20	0 00	98 40	Sale
09/02/14	22 000	1,581 81	VARIOUS	1,020 17	0 00	561 64	Total of 3 lots
09/03/14	5 000	360 55	05/08/13	238 50	0 00	124 05	Sale
Security total		3,144 05		2,027.71	0 00	1,116.34	
MOSAIC CO / CUSIP 61945C103 / Symbol MOS							
08/25/14	5 000	237 64	08/12/13	217.16	0 00	20 48	Sale
10/15/14	5 000	205 97	08/12/13	217 16	0 00	-11.19	Sale
2 tax lots for 10/16/14. Total proceeds (and cost when required) reported to the IRS							
	4 000	164 49	08/12/13	173.73	0 00	-9 24	Sale
	1 000	41 12	08/13/13	44 24	0 00	-3 12	Sale
10/16/14	5 000	205 61	VARIOUS	217 97	0 00	-12 36	Total of 2 lots
10/17/14	5 000	205 42	08/13/13	221 22	0 00	-15 80	Sale
2 tax lots for 10/20/14. Total proceeds (and cost when required) reported to the IRS							
	5 000	208 34	08/13/13	221 21	0 00	-12 87	Sale
	15 000	625 02	08/14/13	656 01	0 00	-30 99	Sale
10/20/14	20 000	833 36	VARIOUS	877 22	0 00	-43 86	Total of 2 lots
4 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS							
	2 000	85 12	08/14/13	87 47	0 00	-2 35	Sale
	1 000	42 47	08/15/13	43 40	0 00	-0 93	Sale
	8 000	340 46	08/15/13	347 20	0 00	-6 74	Sale
	8 000	339 72	09/26/13	355 44	0 00	-15 72	Sale
10/21/14	19 000	807 77	VARIOUS	833 51	0 00	-25 74	Total of 4 lots
Security total		2,495 77		2,584 24	0 00	-88 47	

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
(continued)		
2014 1099-B*		OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NETAPP INC / CUSIP, 64110D104 / Symbol NTAP							
08/25/14	6 000	247.87	04/30/13	208.43	0.00	39.44	Sale
11/11/14	4 000	168.81	04/30/13	138.96	0.00	29.85	Sale
	Security total	416.68		347.39	0.00	69.29	
NTHN TRUST CORP COMMON STOCK / CUSIP, 665859104 / Symbol NTRS							
08/25/14	7 000	482.87	05/19/11	348.02	0.00	136.85	Sale
11/11/14	3 000	203.02	05/19/11	148.29	0.00	54.73	Sale
	Security total	685.89		494.31	0.00	191.58	
OCCIDENTAL PETROLEUM CORP COMMON STOCK / CUSIP, 674599105 / Symbol OXY							
07/21/14	10 000	1,004.81	08/25/11	813.10	0.00	191.71	Sale
ORACLE CORP / CUSIP, 68389X105 / Symbol ORCL							
10/29/14	6 000	231.29	06/28/12	167.22	0.00	64.07	Sale
PACCAR INC COMMON STOCK / CUSIP, 693718108 / Symbol PCAR							
08/22/14	10 000	625.89	05/01/13	494.79	0.00	131.10	Sale
PACKAGING CORP OF AMERICA / CUSIP, 695158109 / Symbol PKG							
08/25/14	3 000	200.12	04/30/13	140.93	0.00	59.19	Sale
POLYCOM INC / CUSIP, 73172K104 / Symbol PLCM							
06/02/14	120 000	1,513.72	11/07/12	1,206.35	0.00	307.37	Sale
06/03/14	21 000	266.71	11/07/12	211.11	0.00	55.60	Sale
	Security total	1,780.43		1,417.46	0.00	362.97	
PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP, 743315103 / Symbol PGR							
04/29/14	17 000	410.61	08/15/11	316.74	0.00	93.87	Sale
6 tax lots for 05/27/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	25.10	08/15/11	18.63	0.00	6.47	Sale
	6 000	150.62	08/18/11	106.53	0.00	44.09	Sale
	6 000	150.62	08/25/11	109.56	0.00	41.06	Sale
	45 000	1,129.64	08/25/11	819.90	0.00	309.74	Sale
	11 000	276.14	03/29/12	252.30	0.00	23.84	Sale
	48 000	1,204.95	07/16/12	955.18	0.00	249.77	Sale
05/27/14	117 000	2,937.07	VARIOUS	2,262.10	0.00	674.97	Total of 6 lots

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part III, with Box D checked

1a- Description of property/CUSIP/Symbol

1a- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1c- Cost or other basis	1d- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP: 743315103 / Symbol PGR (cont'd)							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS							
	12 000	299 03	07/16/12	238 79	0 00	60 24	Sale
	9 000	224 28	09/08/12	175 86	0 00	48 42	Sale
08/25/14	21 000	523 31	VARIOUS	414 65	0 00	108 66	Total of 2 lots
11/11/14	9 000	241.10	09/06/12	175 86	0 00	65 24	Sale
	Security total.	4,112 09		3,169 35	0 00	942 74	
RIVERBED TECHNOLOGY INC / CUSIP: 768573107 / Symbol RVBD							
08/25/14	12 000	224 87	04/30/13	178 58	0 00	46 29	Sale
2 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS							
	95 000	1,943 88	04/30/13	1,413.76	0 00	530.12	Sale
	18 000	368 31	09/26/13	271 26	0 00	97 05	Sale
11/20/14	113 000	2,312 19	VARIOUS	1,685 02	0 00	627 17	Total of 2 lots
	Security total	2,537 06		1,863 60	0 00	673 46	
ROSETTA RESOURCES INC / CUSIP 777779307 / Symbol ROSE							
3 tax lots for 10/30/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	148.94	09/09/13	198 05	0 00	-47 11	Sale
	10 000	372 35	09/10/13	489 61	0 00	-117 26	Sale
	1 000	37 24	09/11/13	48 93	0 00	-11 69	Sale
10/30/14	15 000	558 53	VARIOUS	734 59	0 00	-176 06	Total of 3 lots
5 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	145 60	09/11/13	195 70	0 00	-50 10	Sale
	8 000	291 20	09/12/13	397 62	0 00	-106 62	Sale
	1 000	36.40	09/13/13	50 30	0 00	-13 90	Sale
	10 000	363 99	09/13/13	501 43	0 00	-137 44	Sale
	7 000	254 80	09/26/13	375 97	0 00	-121 17	Sale
10/31/14	30 000	1,091 99	VARIOUS	1,521 22	0 00	-429 23	Total of 5 lots
	Security total.	1,650 52		2,255 81	0 00	-605 29	
SPX CORPORATION COMMON STOCK / CUSIP 784635104 / Symbol SPW							
08/25/14	2 000	210 05	10/14/11	104 88	0 00	105 17	Sale
08/29/14	12 000	1,249 68	10/14/11	629 27	0 00	620 41	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
SPX CORPORATION COMMON STOCK / CUSIP: 784635104 / Symbol: SPW (cont'd)							
3 tax lots for 09/02/14 Total proceeds (and cost when required) reported to the IRS.							
	3 000	314 04	10/14/11	157.32	0 00	156 72	Sale
	2 000	209 36	03/29/12	152 64	0 00	56 72	Sale
	7 000	732 75	09/06/12	443 24	0 00	289 51	Sale
09/02/14	12 000	1,256 15	VARIOUS	753 20	0 00	502 95	Total of 3 lots
	Security total	2,715 88		1,487.35	0 00	1,228 53	
SAFeway INC COMMON STOCK / CUSIP: 786514208 / Symbol: SWY							
5 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	8 000	274 61	07/29/11	161.16	0 00	113 45	Sale
	11 000	377 58	08/25/11	187.22	0 00	190 36	Sale
	11 000	377 59	03/29/12	225 26	0 00	152 33	Sale
	31 000	1,064 11	09/06/12	502.72	0 00	561 39	Sale
	27 000	926 80	09/07/12	439 07	0 00	487 73	Sale
06/02/14	88 000	3,020 69	VARIOUS	1,515 43	0 00	1,505 26	Total of 5 lots
SHIRE PLC SPON ADR / CUSIP: 82481R106 / Symbol: SHPG							
2 tax lots for 08/11/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	2,373.86	04/30/13	936 64	0 00	1,437 22	Sale
	9 000	2,136 48	05/01/13	849 46	0 00	1,287 02	Sale
08/11/14	19 000	4,510 34	VARIOUS	1,786.10	0 00	2,724 24	Total of 2 lots
08/12/14	1 000	237 37	05/01/13	94 38	0 00	142 99	Sale
	Security total	4,747 71		1,880 48	0 00	2,867.23	
SMUCKER J M CO NEW / CUSIP: 832696405 / Symbol: SJM							
11/11/14	2 000	209 22	04/30/13	206 77	0 00	2 45	Sale
SOUTHWESTERN ENERGY CO / CUSIP: 845487109 / Symbol: SWN							
4 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	137 16	03/29/12	104 86	0 00	32 30	Sale
	3 000	137 17	03/29/12	90 29	0 00	46 88	Sale
	25 000	1,143 06	05/04/12	714 95	0 00	428 11	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

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1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & (f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SOUTHWESTERN ENERGY CO / CUSIP 845467109 / Symbol. SWN (cont'd)							
	29 000	1,325.94	09/06/12	941 05	0 00	384 89	Sale
06/02/14	60 000	2,743 33	VARIOUS	1,851 15	0 00	892.18	Total of 4 lots
STANLEY BLACK & DECKER INC COM / CUSIP 854502101 / Symbol. SWK							
08/25/14	5 000	455.74	11/06/12	354 79	0 00	100 95	Sale
11/11/14	2 000	191.53	11/06/12	141 91	0 00	49 62	Sale
	Security total	647.27		496 70	0 00	150.57	
SYMANTEC CORP COMMON STOCK / CUSIP 871503108 / Symbol SYMC							
5 tax lots for 04/07/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	102.10	08/25/11	80 93	0 00	21.17	Sale
	9 000	183 78	03/29/12	165 15	0 00	18 63	Sale
	1 000	20 45	05/25/12	15 08	0 00	5 37	Sale
	4 000	81 64	05/25/12	60 33	0 00	21 31	Sale
	8 000	163.35	05/25/12	120 65	0 00	42 70	Sale
04/07/14	27 000	551 32	VARIOUS	442 14	0 00	109.18	Total of 5 lots
2 tax lots for 04/08/14 Total proceeds (and cost when required) reported to the IRS							
	15 000	309.54	05/25/12	226 23	0 00	83 31	Sale
	34 000	701 63	09/06/12	641 24	0 00	60 39	Sale
04/08/14	49 000	1,011 17	VARIOUS	867 47	0 00	143 70	Total of 2 lots
04/09/14	1 000	20 66	09/06/12	18 86	0 00	1 80	Sale
	Security total	1,583.15		1,328 47	0 00	254 68	
TALISMAN ENERGY INC FOREIGN STOCK CAD / CUSIP 87425E103 / Symbol: TLM							
3 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	39 000	397 53	06/12/12	417 33	0 00	-19 80	Sale
	27 000	275 22	06/13/12	296 63	0 00	-21 41	Sale
	33 000	336 37	09/06/12	465 96	0 00	-129 59	Sale
06/02/14	99 000	1,009 12	VARIOUS	1,179 92	0 00	-170 80	Total of 3 lots
TERADYNE INC COMMON STOCK / CUSIP: 880770102 / Symbol: TER							
08/25/14	25 000	499 49	11/06/12	400 77	0 00	98 72	Sale
11/11/14	11 000	209 87	11/06/12	176 34	0 00	33 53	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No. 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
Security total,		709 36		577 11	0 00	132.25	
TEREX CORP DELA NEW COMMON STOCK / CUSIP 880779103 / Symbol TEX							
3 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS.							
	1 000	37 44	06/01/12	15 51	0.00	21 93	Sale
	1 000	37 44	06/04/12	15 20	0 00	22 24	Sale
	3 000	112 33	08/11/12	49 18	0 00	63 15	Sale
08/25/14	5 000	187 21	VARIOUS	79 89	0 00	107 32	Total of 3 lots
4 tax lots for 10/30/14 Total proceeds (and cost when required) reported to the IRS.							
	1 000	27 07	06/11/12	16 72	0 00	10 35	Sale
	2 000	54 14	06/11/12	32 78	0 00	21 36	Sale
	6 000	162 42	07/19/12	91 39	0 00	71 03	Sale
	6 000	162 42	09/08/12	132 54	0 00	29 88	Sale
10/30/14	15 000	406 05	VARIOUS	273 43	0 00	132 62	Total of 4 lots
10/31/14	5 000	139 04	09/06/12	110 45	0 00	28 59	Sale
11/03/14	20 000	579 49	09/06/12	441 80	0.00	137 69	Sale
3 tax lots for 11/10/14 Total proceeds (and cost when required) reported to the IRS.							
	4 000	116 88	09/06/12	88 36	0 00	28 50	Sale
	1 000	29 21	09/26/13	34 24	0 00	-5 03	Sale
	5 000	145 77	09/26/13	171 20	0 00	-25 43	Sale
11/10/14	10 000	291 84	VARIOUS	293 80	0 00	-1 96	Total of 3 lots
11/11/14	2 000	58 53	09/28/13	68 48	0 00	-9 95	Sale
Security total		1,662 16		1,267 85	0 00	394 31	
TORCHMARK CORP / CUSIP 891027104 / Symbol TMK							
07/02/14	0 500	27 35	09/19/12	17 42	0 00	9 93	Sale
08/25/14	5 000	272 74	09/19/12	174 18	0 00	98 56	Sale
11/11/14	3 000	159 58	09/19/12	104 51	0 00	55 05	Sale
Security total		459 65		296 11	0 00	163 54	
UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH							
08/22/14	18 000	1,509 45	02/06/13	1,029 88	0 00	479 57	Sale
10/29/14	11 000	1,024 23	02/06/13	629 38	0 00	394 85	Sale
Security total		2,533 68		1,659 26	0 00	874 42	

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UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

2- LONG-TERM TRANSACTIONS**3- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
VANGUARD FTSE ALL WO X-US SC / CUSIP: 922042718 / Symbol VSS							
10/31/14	16 000	1,801 64	09/26/13	1,598 05	0 00	3 59	Sale
VISA INC CL A / CUSIP 92826C839 / Symbol: V							
08/22/14	3 000	649 78	04/24/13	501 64	0 00	148 12	Sale
WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP 978097103 / Symbol WWW							
08/25/14	7 000	186 40	11/07/12	150 84	0 00	35 56	Sale
11/11/14	4 000	105 51	11/07/12	88 19	0 00	19 32	Sale
	Security total	291 91		237 03	0 00	54 88	
XILINX INC COMMON STOCK / CUSIP 983919101 / Symbol XLNX							
08/25/14	9 000	377 00	11/06/12	303 71	0 00	73 29	Sale
11/11/14	4 000	173 55	11/06/12	134 98	0 00	38 57	Sale
	Security total	550 55		438 69	0 00	111 86	
ARCH CAPITAL GROUP LTD (BERMUDA) FOREIGN STOCK / CUSIP G0450A105 / Symbol ACGL							
08/25/14	4 000	222 46	11/06/12	172 93	0 00	49 53	Sale
11/11/14	2 000	114 43	11/06/12	88 46	0 00	27 97	Sale
	Security total	336 89		259 39	0 00	77 50	
ENDO INTL PLC FOREIGN STOCK / CUSIP G30401108 / Symbol ENDP							
08/25/14	4 000	281 49	11/06/12	309 66	0 00	-48.17	Sale
11/11/14	2 000	138 20	11/06/12	154 83	0 00	-13 63	Sale
	Security total	397 69		464 49	0 00	-66 80	
WEATHERFORD INTL PLC FOREIGN STOCK / CUSIP G46833100 / Symbol WFT							
4 tax lots for 06/30/14 Total proceeds (and cost when required) reported to the IRS.							
17 000		391 05	06/21/11	295 98	0 00	95 07	Sale
7 000		161 02	08/15/11	123 82	0 00	37 20	Sale
5 000		114 87	08/18/11	80 38	0 00	34.49	Sale
13 000		299 04	08/18/11	208 96	0 00	90 08	Sale
06/30/14	42 000	965 98	VARIOUS	709.14	0 00	256.84	Total of 4 lots
4 tax lots for 07/01/14 Total proceeds (and cost when required) reported to the IRS.							
1 000		22 84	08/18/11	18 07	0 00	6 77	Sale
12 000		274 11	08/25/11	184 40	0 00	89.71	Sale

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UBS FINANCIAL SERVICES INC.

Account UE 02229

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1g- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
WEATHERFORD INTL PLC FOREIGN STOCK / CUSIP: G48833100 / Symbol WFT (cont'd)							
	18 000	365.48	10/12/11	223.97	0 00	141.51	Sale
	2 000	45.69	03/29/12	29.51	0 00	16.18	Sale
07/01/14	31 000	708.12	VARIOUS	453.95	0 00	254.17	Total of 4 lots
07/02/14	7 000	159.98	03/29/12	103.30	0 00	56.68	Sale
3 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS.							
	7 000	158.52	03/29/12	103.30	0 00	55.32	Sale
	8 000	181.27	03/29/12	150.05	0 00	31.21	Sale
	1 000	22.68	05/04/12	13.71	0 00	8.95	Sale
08/25/14	16 000	362.55	VARIOUS	267.07	0 00	95.48	Total of 3 lots
2 tax lots for 10/29/14 Total proceeds (and cost when required) reported to the IRS.							
	33 000	544.86	05/04/12	452.50	0 00	92.36	Sale
	2 000	33.02	09/06/12	24.61	0 00	8.41	Sale
10/29/14	35 000	577.88	VARIOUS	477.11	0 00	100.77	Total of 2 lots
10/30/14	60 000	972.09	09/06/12	738.39	0 00	233.70	Sale
5 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS.							
	23 000	370.74	09/06/12	283.05	0 00	87.69	Sale
	2 000	32.23	09/26/13	34.32	0 00	-2.09	Sale
	2 000	32.24	09/26/13	34.35	0 00	-2.11	Sale
	2 000	32.24	09/26/13	34.47	0 00	-2.23	Sale
	31 000	499.70	09/26/13	477.60	0 00	22.10	Sale
10/31/14	60 000	967.15	VARIOUS	863.79	0 00	103.36	Total of 5 lots
2 tax lots for 11/18/14 Total proceeds (and cost when required) reported to the IRS.							
	2 000	32.68	09/26/13	34.25	0 00	-1.57	Sale
	2 000	32.68	09/26/13	34.35	0 00	-1.67	Sale
11/18/14	4 000	65.36	VARIOUS	68.60	0 00	-3.24	Total of 2 lots
Security total		4,779.11		3,681.35	0 00	1,097.76	
NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol NBR							
08/25/14	10 000	258.19	05/14/13	159.28	0 00	98.91	Sale
ACE LIMITED FOREIGN STOCK CHF / CUSIP: H0023R105 / Symbol ACE							
08/22/14	3 000	314.51	07/17/13	282.47	0 00	32.04	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 9949, Part II, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
Totals:		222,687.44		175,453.91	1.73 W	47,233.26	

LONG-TERM TRANSACTIONS**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS****

Report on Form 9949, Part II, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
INVESCO GLOBAL REAL ESTATE FUND CLASS Y / CUSIP: 00142C219 / Symbol: MFADUX							
4 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS.							
	55 429	738 31	12/11/09	508 67	0 00	229 64	Sale
	14 022	186 78	03/18/10	134 58	0 00	52 20	Sale
	18 569	247 34	06/17/10	172 64	0 00	74 70	Sale
	115 581	1,539 54	07/02/10	1,000 53	0 00	539 01	Sale
08/20/14	203 601	2,711 97	VARIOUS	1,816 42	0 00	895 55	Total of 4 lots
INVESCO GLOBAL REAL ESTATE FUND CLASS A / CUSIP: 00142C367 / Symbol: MFADQA							
04/24/14	51 434	637.27	12/11/09	471 65	0 00	165.62	Sale
AETNA INC / CUSIP: 00817Y108 / Symbol: AET							
2 tax lots for 06/02/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	156 15	02/12/10	57 01	0 00	99 14	Sale
	16 000	1,249 19	03/04/10	485 96	0 00	763 23	Sale
06/02/14	18 000	1,405 34	VARIOUS	542 97	0 00	862 37	Total of 2 lots
AGILENT TECHNOLOGIES INC / CUSIP: 00846U101 / Symbol: A							
08/25/14	4 000	232 08	07/02/10	110 71	0 00	121 37	Sale
ALLEGHANY CORP DEL NEW COMMON STOCK / CUSIP: 017175100 / Symbol: Y							
2 tax lots for 03/03/14 Total proceeds (and cost when required) reported to the IRS.							
	1 217	468 88	03/05/09	178 11	0 00	290 77	Sale
	2 783	1,072 10	07/02/10	709 95	0 00	362 15	Sale
03/03/14	4 000	1,540 98	VARIOUS	888 08	0 00	652 92	Total of 2 lots

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UBS FINANCIAL SERVICES INC.		Account UE 02229
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
AMER EXPRESS CO / CUSIP 025816109 / Symbol AXP							
01/24/14	12 000	1,056 13	03/05/09	127 06	0 00	929 07	Sale
3 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.							
	7 000	625.09	03/05/09	74 12	0 00	550 97	Sale
	25 000	2,232.44	02/18/10	973 00	0 00	1,259 44	Sale
	1 000	89 30	07/02/10	39 00	0 00	50 30	Sale
08/22/14	33.000	2,946 83	VARIOUS	1,086 12	0 00	1,860 71	Total of 3 lots
10/29/14	7 000	616 13	07/02/10	272 99	0 00	343.14	Sale
	Security total.	4,619 09		1,488.17	0.00	3,132.92	
AMERIPRISE FINANCIAL INC / CUSIP 03076C106 / Symbol AMP							
01/27/14	8 000	843 90	03/05/09	115 60	0 00	728 30	Sale
2 tax lots for 01/31/14 Total proceeds (and cost when required) reported to the IRS							
	5,000	524 48	03/05/09	72.25	0 00	452 23	Sale
	7 000	734 28	07/02/10	250.11	0 00	484 17	Sale
01/31/14	12 000	1,258 76	VARIOUS	322 38	0 00	936 40	Total of 2 lots
	Security total	2,102 66		437 96	0 00	1,664 70	
BANK OF NEW YORK MELLON CORP / CUSIP 064058100 / Symbol BK							
08/22/14	18 000	703 12	10/07/10	475 80	0 00	227 32	Sale
BED BATH & BEYOND INC COMMON STOCK / CUSIP 075896100 / Symbol BBBY							
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	304 56	06/30/10	189 29	0 00	115 27	Sale
	22 000	1,340 07	07/02/10	793 05	0 00	547 02	Sale
05/09/14	27 000	1,644 63	VARIOUS	982 34	0 00	662 29	Total of 2 lots
BERKSHIRE HATHAWAY INC NEW CL B / CUSIP 084670702 / Symbol BRKB							
01/10/14	12 000	1,375 58	03/05/09	555 60	0 00	819.98	Sale
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	16 000	2,174 68	03/05/09	740 80	0 00	1,433 88	Sale
	2 000	271 83	09/01/10	160 28	0 00	111 55	Sale
08/22/14	18 000	2,446 51	VARIOUS	901 08	0 00	1,545 43	Total of 2 lots
	Security total	3,822 09		1,456 68	0 00	2,365 41	

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UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No. 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds &	Date	Cost or	Adjustments &	Gain or loss(-) &	Additional information
				acquired	other basis	Code(s), if any	7- Loss not allowed(X)	
CVS HEALTH CORP / CUSIP: 126650100 / Symbol CVS								
4 tax lots for 02/05/14 Total proceeds (and cost when required) reported to the IRS.								
	28 000	1,826 25		01/15/10	948.29	0 00	877.96	Sale
	45 000	2,935 08		06/15/10	1,439 74	0 00	1,495 32	Sale
	45 000	2,935 05		07/02/10	1,300 88	0 00	1,634 17	Sale
	4 000	260 89		08/19/10	114 79	0 00	146 10	Sale
02/05/14	122 000	7,957 25		VARIOUS	3,803 70	0 00	4,153 55	Total of 4 lots
2 tax lots for 08/22/14. Total proceeds (and cost when required) reported to the IRS.								
	36 000	2,859 55		08/19/10	1,033 13	0 00	1,826.42	Sale
	9 000	714 89		09/01/10	249 30	0 00	465 59	Sale
08/22/14	45 000	3,574 44		VARIOUS	1,282.43	0 00	2,292 01	Total of 2 lots
10/02/14	1 000	79 20		09/01/10	27 70	0 00	51 50	Sale
	Security total	11,810 89			5,113 83	0 00	8,497 06	
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP 136385101 / Symbol CNQ								
2 tax lots for 06/20/14 Total proceeds (and cost when required) reported to the IRS.								
	4 000	178 46		06/30/10	134 43	0 00	44 03	Sale
	32 000	1,427 71		07/02/10	1,048 29	0 00	379 42	Sale
06/20/14	36 000	1,606 17		VARIOUS	1,182.72	0 00	423 45	Total of 2 lots
COCA COLA CO COM COMMON STOCK / CUSIP. 191216100 / Symbol KO								
01/27/14	4 000	155 15		06/30/10	100 82	0 00	54 33	Sale
3 tax lots for 02/11/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	115 80		06/30/10	75 61	0 00	40 19	Sale
	20 000	772 01		07/02/10	499 08	0 00	272 93	Sale
	10 000	386 01		09/01/10	285.14	0 00	100 87	Sale
02/11/14	33 000	1,273 82		VARIOUS	859 83	0 00	413 99	Total of 3 lots
	Security total	1,428 97			960 65	0 00	468 32	
COSTCO WHOLESALE CORP / CUSIP. 22160K105 / Symbol COST								
09/22/14	28 000	3,397 16		03/05/09	1,102 86	0 00	2,294 30	Sale
2 tax lots for 10/08/14 Total proceeds (and cost when required) reported to the IRS								
	4 000	513 96		03/05/09	157 55	0 00	356 41	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account UE 02229

2014 1099-B*

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COSTCO WHOLESALE CORP / CUSIP 22180K105 / Symbol COST (cont'd)							
	8,000	1,027 91	06/30/10	442 23	0 00	585 68	Sale
10/08/14	12 000	1,541 87	VARIOUS	599 78	0 00	942 09	Total of 2 lots
2 tax lots for 10/29/14. Total proceeds (and cost when required) reported to the IRS							
	7 000	921 04	06/30/10	386 98	0 00	534 08	Sale
	7,000	921 04	07/02/10	377 16	0 00	543 88	Sale
10/29/14	14 000	1,842 08	VARIOUS	764 12	0 00	1,077 96	Total of 2 lots
Security total:		6,781.11		2,468 78	0 00	4,314 35	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS A / CUSIP 22544R107 / Symbol MFCJDA							
02/14/14	68 391	503 38	03/05/09	468 48	0 00	34 88	Sale
07/25/14	81 808	598 02	03/05/09	560 38	0 00	37 84	Sale
Security total:		1,101 38		1,028 86	0 00	72 52	
CREDIT SUISSE COMMODITY RET STRAT FD COMMON CL / CUSIP 22544R305 / Symbol MFCJAX							
6 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS							
	2,344 848	16,906 34	03/05/09	16,287 47	0 00	618 87	Sale
	408 231	2,943 35	10/30/09	3,568 31	0 00	-624 96	Sale
	624 965	4,505 99	12/31/09	5,431 07	0 00	-925 08	Sale
	468 143	3,375 31	12/16/10	4,186 93	0 00	-811 62	Sale
	33 611	242 34	12/21/10	307 08	0 00	-64 74	Sale
	10 480	75 56	12/16/11	83 95	0 00	-8 39	Sale
08/20/14	3,890 276	28,048 89	VARIOUS	29,864 81	0 00	-1,815 92	Total of 6 lots
DIAGEO PLC NEW GB SPON ADR / CUSIP 25243Q205 / Symbol DEO							
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	473 11	06/04/08	310 28	0 00	162 83	Sale
	2 000	236 55	03/05/09	87 64	0 00	148 91	Sale
08/22/14	6 000	709 66	VARIOUS	397 92	0 00	311.74	Total of 2 lots
10/29/14	2,000	233 63	03/05/09	87 64	0 00	145 99	Sale
Security total		943 29		485 56	0 00	457 73	
DIAMOND HILL LONG-SHORT FUND CLASS A / CUSIP 25264S403 / Symbol MFDHAF							
01/27/14	37.708	827 32	03/05/09	427 61	0 00	399 71	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No. 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional Information
DIAMOND HILL LONG-SHORT FUND CLASS A / CUSIP: 25284S403 / Symbol MFDHAF (cont'd)							
07/25/14	44 827	1,057 67	03/05/09	508 07	0 00	551 60	Sale
	Security total.	1,884 99		933 68	0 00	951 31	
DIAMOND HILL LONG-SHORT FUND CLASS II / CUSIP: 25284S833 / Symbol MFDHAK							
11/07/14	605 051	14,490 97	03/05/09	6,952 60	0 00	7,538 37	Sale
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol EOG							
08/08/14	4 000	429 60	06/30/10	200 95	0 00	228 65	Sale
	2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.						
	8 000	850 78	06/30/10	401 91	0 00	448 87	Sale
	20 000	2,126 95	07/02/10	978 24	0 00	1,148 71	Sale
08/22/14	28 000	2,977 73	VARIOUS	1,380 15	0 00	1,597 58	Total of 2 lots
	Security total	3,407 33		1,581 10	0 00	1,826 23	
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol ESRX							
	2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.						
	10 000	753 83	06/30/10	476 29	0 00	277 54	Sale
	9 000	678 44	07/02/10	407 39	0 00	271 05	Sale
08/22/14	19 000	1,432 27	VARIOUS	883 68	0 00	548 59	Total of 2 lots
HARTFORD FLOATING RATE FUND CLASS A / CUSIP: 416648558 / Symbol							
04/24/14	68 889	618 62	09/01/10	594 52	0 00	24 10	Sale
THE HARTFORD FLOATING RATE FUND CLASS II / CUSIP: 416649804 / Symbol							
	17 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS						
	2,733 583	24,574 91	09/01/10	23,617 12	0 00	957 79	Sale
	67 647	608 15	09/30/10	589 86	0 00	18 29	Sale
	67 414	606 05	10/29/10	594 58	0 00	11 47	Sale
	67,754	609 10	11/30/10	597 57	0 00	11 53	Sale
	71 342	641 37	12/31/10	633 51	0 00	7 88	Sale
	63 171	567 90	01/31/11	568 54	0 00	-0 64	Sale
	54 311	488 25	02/28/11	488 25	0 00	0 00	Sale
	59 755	537 20	03/31/11	535 41	0 00	1 79	Sale
	54 233	487 56	04/29/11	487 55	0 00	0 01	Sale

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 5- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
THE HARTFORD FLOATING RATE FUND CLASS I / CUSIP 416849804 / Symbol (cont'd)							
	54 805	492 69	05/31/11	490 50	0.00	2 19	Sale
	57 863	520 19	06/30/11	513 23	0.00	6 96	Sale
	59 787	537 48	07/31/11	529 71	0.00	7 77	Sale
	61 552	553 36	08/31/11	517 62	0.00	35.74	Sale
	57 551	517 38	09/30/11	483 39	0.00	33 99	Sale
	61 408	552 05	10/31/11	531.15	0.00	20 90	Sale
	59 847	538 03	11/30/11	512.88	0.00	25 17	Sale
	62 608	562 85	12/30/11	538 40	0.00	24 45	Sale
08/20/14	3,714 630	33,394 52	VARIOUS	32,229 25	0.00	1,165 27	Total of 17 lots
HEWLETT PACKARD CO COMMON STOCK / CUSIP 428238103 / Symbol HPQ							
01/08/14	8 000	219 66	07/02/10	338 71	0.00	-119 05	Sale
IRON MOUNTAIN INC NEW / CUSIP 462846106 / Symbol IRM							
3 tax lots for 01/31/14 Total proceeds (and cost when required) reported to the IRS							
	8 000	211 55	06/30/10	182 38	0.00	29 17	Sale
	35 000	925 55	07/02/10	770 59	0.00	154 96	Sale
	15 000	398 66	10/12/10	313 17	0.00	83 49	Sale
01/31/14	58.000	1,533 76	VARIOUS	1,266 14	0.00	267 62	Total of 3 lots
ISHARES TIPS BOND ETF \$0.24 / CUSIP 464287176 / Symbol TIP							
10/31/14	29 000	3,280 12	08/17/07	2,913 98	0.00	366 14	Sale
ISHARES CORE U.S. AGGREGATE BOND ETF / CUSIP 464287228 / Symbol AGG							
10/31/14	38 000	4,179 15	07/02/10	4,059 92	0.00	119 23	Sale
ISHARES IBOX HIGH YIELD CORPORATE BOND ETF \$0.60 / CUSIP 464288513 / Symbol HYG							
08/20/14	271 000	25,561 19	11/11/10	24,426 02	0.00	1,135 17	Sale
7 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS							
	12 000	1,110 70	11/11/10	1,081 59	0.00	29 11	Sale
	10 000	925 57	01/11/11	909 00	0.00	16 57	Sale
	7 000	647 91	03/09/11	643 23	0.00	4 68	Sale
	7 000	647 91	05/09/11	646 73	0.00	1 18	Sale
	8 000	740 46	07/12/11	724 42	0.00	16 04	Sale
	9 000	833 02	10/10/11	765 27	0.00	67 75	Sale

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account UE 02229
2014	1099-B*	(continued)		OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ISHARES IBOXX HIGH YIELD CORPORATE BOND ETF \$0.80 / CUSIP 464288513 / Symbol HYG (cont'd)							
	7,000	647.91	12/08/11	610.26	0.00	37.65	Sale
10/31/14	60,000	5,553.48	VARIOUS	5,380.50	0.00	172.98	Total of 7 lots
	Security total:	31,114.67		29,806.52	0.00	1,308.15	
ISHARES INTERMEDIATE CREDIT BOND ETF / CUSIP 464288638 / Symbol CIU							
10/31/14	71,000	7,798.97	11/11/10	7,677.58	0.00	121.39	Sale
LOEWS CORP COMMON STOCK / CUSIP 540424108 / Symbol L							
2 tax lots for 08/22/14. Total proceeds (and cost when required) reported to the IRS.							
	21,000	908.13	07/02/10	698.00	0.00	208.13	Sale
	14,000	604.09	09/01/10	503.43	0.00	100.66	Sale
08/22/14	35,000	1,510.22	VARIOUS	1,201.43	0.00	308.79	Total of 2 lots
10/29/14	18,000	773.26	09/01/10	647.26	0.00	126.00	Sale
	Security total:	2,283.48		1,848.69	0.00	434.79	
MICROSOFT CORP / CUSIP 594918104 / Symbol MSFT							
08/22/14	12,000	544.07	03/05/09	186.21	0.00	357.86	Sale
OCCIDENTAL PETROLEUM CORP COMMON STOCK / CUSIP 674599105 / Symbol OXY							
01/13/14	14,000	1,287.66	07/02/10	1,063.94	0.00	223.72	Sale
07/21/14	6,000	602.88	07/02/10	455.98	0.00	146.90	Sale
	Security total:	1,890.54		1,519.92	0.00	370.62	
OPPENHEIMER INTL BOND FD CL A / CUSIP: 68380T103 / Symbol							
07/25/14	104,026	642.88	08/25/11	692.31	0.00	-49.43	Sale
OPPENHEIMER DEVELOPING MARKETS FUND CL A / CUSIP 683974109 / Symbol							
04/24/14	18,237	683.87	09/01/10	558.98	0.00	124.91	Sale
PHILIP MORRIS INTL INC / CUSIP 718172109 / Symbol PM							
04/23/14	4,000	335.04	06/11/08	200.92	0.00	134.12	Sale
08/22/14	8,000	677.75	06/11/08	401.84	0.00	275.91	Sale
3 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	88.00	06/11/08	50.23	0.00	37.77	Sale
	5,000	440.01	06/30/10	231.24	0.00	208.77	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229	
2014 1099-B*		OMB No. 1545-0715	
Proceeds from Broker and Barter Exchange Transactions		(continued)	

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PHILIP MORRIS INTL INC / CUSIP 718172109 / Symbol PM (cont'd)							
	10,000	880 02	07/02/10	463 50	0 00	416 52	Sale
11/11/14	18,000	1,408 03	VARIOUS	744 97	0 00	663 06	Total of 3 lots
	Security total	2,420 82		1,347 73	0 00	1,073 09	
PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP 743315103 / Symbol PGR							
2 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS.							
	44 000	1,062 75	07/02/10	813 05	0 00	249 70	Sale
	5 000	120 76	09/01/10	100 35	0 00	20 41	Sale
04/29/14	49 000	1,183 51	VARIOUS	913 40	0 00	270 11	Total of 2 lots
REPUBLIC SERVICES INC / CUSIP: 760759100 / Symbol, RSG							
08/25/14	7 000	276 79	03/06/09	114 24	0 00	162 55	Sale
11/11/14	4 000	157 83	03/06/09	85 28	0 00	92 55	Sale
	Security total	434 62		179 52	0 00	255 10	
SPDR S&P DIVIDEND ETF / CUSIP 78464A763 / Symbol SDY							
10/31/14	257 000	20,135 40	03/05/09	7,098 88	0 00	13,036 52	Sale
SIGMA ALDRICH CORP / CUSIP 826552101 / Symbol, SIAL							
08/25/14	2 000	207 89	03/06/09	64 67	0 00	143 22	Sale
11/11/14	1,000	135 88	03/06/09	32 34	0 00	103 54	Sale
	Security total	343 77		97 01	0 00	246 76	
SYMANTEC CORP COMMON STOCK / CUSIP 871503108 / Symbol SYMC							
04/07/14	8 000	163 35	09/01/10	111 90	0 00	51 45	Sale
TEXAS INSTRUMENTS / CUSIP 882508104 / Symbol TXN							
08/22/14	18 000	865 78	03/05/09	287 80	0 00	597 98	Sale
THORNBURG INTL VALUE FUND I / CUSIP 885215566 / Symbol							
11/18/14	26 992	833 52	03/05/09	421 14	0 00	412 38	Sale
THORNBURG INTERNATIONAL VALUE FUND CLASS A / CUSIP 885215657 / Symbol							
04/24/14	24 607	736 73	03/05/09	376 24	0 00	360 49	Sale

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UBS FINANCIAL SERVICES INC.		Account UE 02229
2014 1099-B*		OMB No. 1545-0715
Proceeds from Broker and Barter Exchange Transactions		
(continued)		

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WELLS FARGO & CO NEW / CUSIP 949746101 / Symbol WFC							
2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS							
	49 000	2,513.79	03/05/09	398.22	0.00	2,115.57	Sale
	39 000	2,000.77	02/18/10	1,063.92	0.00	936.85	Sale
08/22/14	88 000	4,514.56	VARIOUS	1,462.14	0.00	3,052.42	Total of 2 lots
10/29/14	20 000	1,035.45	02/18/10	545.60	0.00	489.85	Sale
	Security total	5,550.01		2,007.74	0.00	3,542.27	
	Totals:	213,007.31		156,637.08	0.00	56,370.23	

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