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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation Richard L and Diane M Block Foundation		A Employer identification number 30-6136901	
% Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd Suite 123		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 10,017,101		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14	14		
	4 Dividends and interest from securities.	686,167	686,167		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	13,159			
	b Gross sales price for all assets on line 6a 262,832				
	7 Capital gain net income (from Part IV, line 2) . . .		13,159		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-2,076	-126		
	12 Total. Add lines 1 through 11	697,264	699,214		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	1,425	0	0	1,425
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	12,460	12,460		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	12,500			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	39			39
	22 Printing and publications				
	23 Other expenses (attach schedule)	36,980	65		36,897
	24 Total operating and administrative expenses. Add lines 13 through 23	63,404	12,525	0	38,361
	25 Contributions, gifts, grants paid	448,579			448,579
	26 Total expenses and disbursements. Add lines 24 and 25	511,983	12,525	0	486,940
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	185,281			
	b Net investment income (if negative, enter -0-)		686,689		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	119,944	150,889	150,889
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,800,767	<input checked="" type="checkbox"/> 1,991,383	2,104,615
	c	Investments—corporate bonds (attach schedule).	108,030	<input checked="" type="checkbox"/> 75,558	73,232
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,365,991	<input checked="" type="checkbox"/> 8,362,183	7,688,365
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,394,732	10,580,013	10,017,101	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24		Unrestricted			
25		Temporarily restricted			
26		Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
27		Capital stock, trust principal, or current funds			
28		Paid-in or capital surplus, or land, bldg , and equipment fund			
29		Retained earnings, accumulated income, endowment, or other funds	10,394,732	10,580,013	
30		Total net assets or fund balances (see instructions)	10,394,732	10,580,013	
31		Total liabilities and net assets/fund balances (see instructions) . . .	10,394,732	10,580,013	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	110,394,732
2	Enter amount from Part I, line 27a	185,281
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3	10,580,013
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	10,580,013

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b	Passthrough K1 Capital Gain			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 262,832		249,709	13,123
b		0	36
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			13,123
b			0
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,159
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	352,950	9,835,218	0 035886
2012	234,194	7,321,294	0 031988
2011	185,354	5,688,598	0 032583
2010	115,412	3,313,764	0 034828
2009	64,347	3,060,377	0 021026

2	Total of line 1, column (d).	2	0 156311
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 031262
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	9,994,436
5	Multiply line 4 by line 3.	5	312,446
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	6,867
7	Add lines 5 and 6.	7	319,313
8	Enter qualifying distributions from Part XII, line 4.	8	486,940

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	6,867
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3	6,867
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,867
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	13,402	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	13,402
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. <input type="checkbox"/>		10	6,535
11		Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 6,535 Refunded <input type="checkbox"/>		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3	Yes	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> AK, AK			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of Foundation Source Telephone no (800) 839-1754 Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

5b

If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Yes No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Yes No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,249,166
b	Average of monthly cash balances.	1b	230,720
c	Fair market value of all other assets (see instructions).	1c	7,666,750
d	Total (add lines 1a, b, and c).	1d	10,146,636
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,146,636
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	152,200
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,994,436
6	Minimum investment return. Enter 5% of line 5.	6	499,722

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	499,722
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	6,867
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,867
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	492,855
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	492,855
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	492,855

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	486,940
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	486,940
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,867
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	480,073
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				492,855
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			480,493	
b Total for prior years 2012 , 2011 , 2010				
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 486,940				
a Applied to 2013, but not more than line 2a			480,493	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				6,447
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				486,408
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . . 0				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). (3) Largest amount of support from an exempt organization (4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Foundation Source co Ryan Demaria
55 Walls Dr 3rd Floor
Fairfield,CT 06824
rdemaria@foundationsource.com

b

The form in which applications should be submitted and information and materials they should include

SEE WWW.FSREQUESTS.COM/BLOCKFOUNDATION

c

Any submission deadlines

SEE WWW.FSREQUESTS.COM/BLOCKFOUNDATION

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE WWW.FSREQUESTS.COM/BLOCKFOUNDATION

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	448,579
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2015-06-16	*****	May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01345770
	Firm's name <input checked="" type="checkbox"/> Foundation Source			Firm's EIN <input checked="" type="checkbox"/>	
	Firm's address <input checked="" type="checkbox"/> One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Debbie Bellange	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Warren Berckmann	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
David Richard Block	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Diane M Block	Dir / Sec 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Patrick Block	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Richard L Block	Pres / Dir / Trustee 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Jennifer D Green	Dir / Administrative Manager 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Ned McCarty	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington,DE 198091377	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

DIANE M BLOCK
RICHARD L BLOCK

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ABUSED WOMENS AID IN CRISIS INCORPORATED 100 W 13TH AVE ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	3,000
ABUSED WOMENS AID IN CRISIS INCORPORATED 100 W 13TH AVE ANCHORAGE,AK 99501	N/A	PC	AWAIC Data Improvement Project and Moving Forward Program	73,641
ALASKA CENTER FOR THE PERFORMING ARTS INC 621 W 6TH AVE ANCHORAGE,AK 99501	N/A	PC	Acoustic Project	25,000
ALASKA CHAMBER SINGERS PO BOX 102055 ANCHORAGE,AK 99510	N/A	PC	General & Unrestricted	1,000
ALASKA COMMUNITY FOUNDATION 3201 C ST 110 ANCHORAGE,AK 99503	N/A	PC	Block Family Foundation Fund	120,000
ALASKA DANCE THEATRE INC 550 E 33RD AVE ANCHORAGE,AK 99503	N/A	PC	General & Unrestricted	1,000
ALASKA DANCE THEATRE INC 550 E 33RD AVE ANCHORAGE,AK 99503	N/A	PC	ASD Title One School Outreach Project	5,000
AMERICAN RED CROSS SOUTH CENTRAL ALASKA CHAPTER 235 E 8TH AVE ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	9,000
ANCHORAGE COMMUNITY THEATRE INC 1133 E 70TH AVE ANCHORAGE,AK 99518	N/A	PC	General & Unrestricted	1,000
ANCHORAGE MUSEUM ASSOCIATION 625 C ST ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	4,000
ANCHORAGE OPERA COMPANY 1507 SPAR AVE ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	5,000
ANCHORAGE SYMPHONY ORCHESTRA 400 D ST STE 230 ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	3,240
BEANS CAFE INC PO BOX 100940 ANCHORAGE,AK 99510	N/A	PC	General & Unrestricted	6,000
BEANS CAFE INC PO BOX 100940 ANCHORAGE,AK 99510	N/A	PC	The Children's Lunchbox Weekend Food Program	20,000
COVENANT HOUSE ALASKA 755 A ST ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	2,000
Total  3a				448,579

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COVENANT HOUSE ALASKA 755 A ST ANCHORAGE,AK 99501	N/A	PC	Summer Work Experience Program	15,000
FIRST CHURCH OF CHRIST SCIENTIST 210 MASSACHUSETTS AVE BOSTON,MA 02115	N/A	PC	General & Unrestricted	65,000
FOOD BANK OF ALASKA INC 2121 SPAR AVE ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	1,500
NINE STAR ENTERPRISES INC 730 I ST ANCHORAGE,AK 99501	N/A	PC	High School Completion Program	15,000
SPIRIT OF YOUTH PO BOX 243721 ANCHORAGE,AK 99524	N/A	PC	General & Unrestricted	2,000
THE SALVATION ARMY ALASKA DIVISIONAL HQ 143 E 9TH AVE ANCHORAGE,AK 99501	N/A	PC	General & Unrestricted	4,000
THE SALVATION ARMY ALASKA DIVISIONAL HQ 143 E 9TH AVE ANCHORAGE,AK 99501	N/A	PC	McKinnel House Operations Program	15,000
VOLUNTEERS OF AMERICA INC 509 W 3RD AVE STE 103 ANCHORAGE,AK 99501	N/A	PC	Project Hope	52,198
Total			3a	448,579

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

[illegible]

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

**TY 2014 Investments Corporate
Bonds Schedule****Name:** Richard L and Diane M Block Foundation**EIN:** 30-6136901

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BANK OF AMERICA CORP - 5.250%	21,279	20,719
BANK OF AMERICA CORP - 5.750%	21,392	21,291
BANK OF AMERICA CORP - 7.750%	17,456	15,618
LLOYDS TSB BANK PLC NOTE - 4.8	15,431	15,604

TY 2014 Investments Corporate Stock Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVISORS DISCIPLINED 1249 GLOB	103,388	86,146
BARCLAYS BANK PLC SER 3 - 7.10	25,312	25,810
BLACKROCK CORPORATE HIGH YIELD	46,225	45,600
BLACKROCK GLOBAL OPPORTUNITIES	80,809	59,085
BLACKROCK INTL GROWTH & INCOME	75,688	53,920
BLACKROCK RESOURCES & COMMODIT	68,841	46,462
DWS FLOATING RATE PLS FD (C)	57,024	54,530
E-TRACS UBS BLOOMBERG CMC	85,660	66,520
FAMCO MLP & ENERGY INCOME FD C	14	14
GOLDMAN SACHS DEP SH	21,839	19,670
MAINSTAY CONVERTIBLE FD CLASS	64,491	65,687
MERRILL LYNCH GLOBAL ALLOCATIO	49,586	53,810
VANGUARD CHARLOTTE INTL BD IDX	40,328	41,160
VANGUARD FTSE DEVELOPED MARKET	230,826	245,273
VANGUARD FTSE EMERGING MARKETS	92,852	88,604
VANGUARD HIGH DIV YIELD	282,647	379,841
VANGUARD SF REIT ETF	120,533	148,473
VANGUARD SHORT TERM INFLATION	80,983	78,390
VANGUARD TOTAL BOND MARKET ETF	267,498	264,922
WISDOMTREE MIDCAP DIVIDEND F	117,286	169,992
WISDOMTREE SC DIV	79,553	110,706

TY 2014 Investments - Other Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALASKA NATIONAL CORP.		8,348,050	7,666,750
SUBURBAN PROPANE PARTNERS LP		14,133	21,615

TY 2014 Legal Fees Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	1,425			1,425

TY 2014 Other Expenses Schedule**Name:** Richard L and Diane M Block Foundation**EIN:** 30-6136901

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	36,000			36,000
Bank Charges	65	65		
Indemnification Insurance	897			897
K-1 Exp SUBURBAN PROPANE PARTN	18			

TY 2014 Other Income Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 Inc/Loss SUBURBAN PROPANE PARTNERS LP	-2,076	-126	

TY 2014 Other Professional Fees Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	12,460	12,460		

TY 2014 Taxes Schedule

Name: Richard L and Diane M Block Foundation

EIN: 30-6136901

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2014	12,500			

Part VII-A, Line 3 (990-PF) - Changes Not Yet Reported

**AMENDMENT NUMBER FOUR
TO THE
RICHARD L AND DIANE M BLOCK FOUNDATION**

Pursuant to Article V of the Richard L and Diane M Block Foundation Trust, the Board of Directors amends the trust effective June 14, 2014 by adding a new Article as follows:

ARTICLE IIIS

PERMANENTLY RESTRICTED FUNDS

3S.1 There is hereby created in the Foundation a separate fund entitled:

Block Family Foundation Excellent Teacher Award Fund (the ETAF).

3S.2 The ETAF shall be a permanently restricted fund within the Foundation general fund.

3S.3 All grants made from the Foundation pursuant to Article III, Distributions, including percentage distributions, shall be determined on the basis of funds in the unrestricted portion of the Trust or earnings attributed to the unrestricted portion of the Trust. All contributions, expenses, grants or any other increase or decrease to the Trust, except those specifically made to or from the ETAF, shall be accounted for as an increase or decrease to the unrestricted portion of the Trust

All grants made from the Foundation pursuant to this Article IIIS shall be determined on the basis of funds in the ETAF or earnings attributed to the ETAF portion of the Trust. All contributions, expenses, grants or other increase or decrease to the Trust specifically made to or from the ETAF, shall be accounted for as an increase or decrease to the ETAF.

3S.4 All administrative expenses related to the ETAF shall be charged to the unrestricted portion of the Trust.

3S.5 For purposes of investing Trust assets and for purposes of allocating investment results between the ETAF and the unrestricted portion of the Trust, there shall be a single portfolio of invested assets and participation in the portfolio shall be pro-rata to the balances in the unrestricted portion of the trust and balances in the ETAF.

Balances for all purposes shall be determined as of the last day of the previous calendar quarter; thus investment income or loss, realized or unrealized capital gain or loss, to be accounted for since the end of the last calendar quarter, shall be allocated to the unrestricted portion of the trust and the ETAF in proportion to their balances as of the last day of the previous calendar quarter

3S.6 The purpose of the ETAF shall be to fund awards made to qualified teachers who have demonstrated an exceptional contribution to the preparation of students for life after graduation, including intellectual accomplishment, community contribution, social integration and personal motivation.

Qualified teachers:

- a. Have been fully certified as secondary education teachers in the Anchorage School District for three or more years;

- b. Will have taught at West Anchorage (Alaska) High School full time for no less than three consecutive school years, or,
- c. Will have taught in each of the preceding three consecutive years at least three credit classes, at West Anchorage High School, provided they have been full time teachers serving the balance of their teaching at other Anchorage School District buildings,
- d. Will not have received this award in any of the immediately prior three years, and,
- e. Have been selected by a Selection Committee pursuant to this Article IIIS.

35.7 The Board of the Foundation shall cause there to be appointed by March 1 of each year a selection committee. The Selection Committee shall be composed of:

- a. Two senior students at West High School who have attended West High School full time for the last three consecutive years,
- b. Two teachers who have won this award in the last three consecutive years (except the first year, these positions shall be held by any two teachers who have worked full time at West High School for three of the past five years and are currently retired from teaching,)
- c. The Principal of Anchorage West High School
- d. Two parents of students who have attended West High School full time for the last three consecutive years.
- e. A member of the Board of Directors of the Block Family Foundation shall sit as a non-voting member of the Selection Committee.

No member of the Board of Directors of The Richard L and Diane M Block Foundation, nor any member of the Block Family (Richard L Block, Diane M Block or any of their direct descendants) may serve on the Selection Committee as a voting member.

No member of the Block Family is eligible to receive the award.

"The last three consecutive years" includes the year in which the award is being made.

The Selection Committee shall, subject to the prior approval of the Board of Directors of the Foundation, use a selection procedure that is consistent year to year and which meets the following criteria:

- a. Assures that the award is open, available and known to all qualified teachers,
- b. based upon the actual activities, methods, resources, talents and contributions of the teachers during the last three consecutive years that meet the accomplishments set out in 3S 6 above and which are superior in quality and quantity to the actual activities, methods, resources, talents and contributions of all other teachers in the department category
- c. Involves input from students, parents, other teachers, administrators and, to the extent relevant, community leaders,

Every member of the selection Committee shall be bound by the following:

- a. All information provided to, proceedings of, conclusions of (except the ultimate selection of the winning teachers) membership of, communications to or from the selection committee are confidential and shall not be disclosed to or discussed with anyone not on the selection committee.
- b. The Anchorage Municipal Code prohibits a municipal employee, [including ASD teachers] from accepting "a gift that benefits the employee's personal or economic interest if it can be reasonably inferred that the gift is intended to influence the employee's independence of judgment in the exercise of official duties."

35.8 There shall be six awards conferred each year, one to the excellent teacher selected by the Selection Committee from each of the six department categories set forth below.

English language arts

Mathematics

Natural Sciences

Social Sciences

Visual and Performing Arts, Music and World Languages

ROTC, Physical Education and Health, Career Technology.

No more often than once every three years, the Selection Committee may, subject to the prior approval of the Board of Directors of the Foundation, realign the department categories to reflect the academic alignments at West High School and to achieve essentially an even distribution of the number of full time teachers in each department category. Realignment of department categories shall take place only between June 1 and October 1 of the year in which the change is to take place and be effective only with respect to awards for school years after the realignment.

35.9 The amount of the award to each teacher shall for the first year be the sum of \$1,000. In each following year, the award shall be calculated as follows:

- a. The balance (as defined in Article 3S.5 above) shall be determined as of March 31 of the year in which the awards are being made
- b. The total amount of all awards shall be the greater of 5.5% of the balance in the ETAF or 60% of the net income in the ETAF from the last four calendar quarters ending March 31 of the year in which the awards are being made. In no event shall the total amount of all awards cause the balance in the ETAF to be less than the balance in the ETAF as of March 31 of the preceding year.
- c. Each award shall be 1/6 of the total amount of all awards except that:
 - i. No award shall be less than \$1,000, and,
 - ii. All awards shall be rounded down to the nearest \$10.00.

In the event in any year the application of subparagraph b. or c. above results in an individual award of less than \$1,000, the funds necessary to round up the awards to \$1,000 each shall be taken from the general fund.

It is a permissible determination of the selection Committee that there are no sufficiently deserving teachers in one or more department categories to warrant making the award in that category, in which case the amount allocated to that department shall lapse and be returned to the corpus of ETAF.

35.10 Subject to the rules of the school and the school district, recognition for these awards shall be essentially as follows:

- a. Those teachers selected for the award shall be given the award at an appropriate school function at the end of the school year such as a Baccalaureate, Graduation or awards ceremony. The event should be one that includes the most students, the most teachers and the most parents.

- b. The teachers selected shall wear an appropriate black and orange braided chord around their neck as part of their regalia during graduation.
- c. There shall be a permanent plaque mounted on a wall in the school on which are placed the name, year and award category for all teachers selected.

Chairman of the Board

Richard L Block

Trustee

Richard L Block

Attest:

Secretary of the Board

Olivia M Block