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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

JOHN BURTON HARTER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

295 N HUBBARDS LANE, SUITE 302

A Employer identification number

30-6048115

B Telephone number

(502) 400-6000

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test,
check here and attach computation ► E If private foundation status was terminated
under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ►

City or town, state or province, country, and ZIP or foreign postal code

LOUISVILLE, KY 40207

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year J Accounting method: Cash Accrual(from Part II, col. (c), line 16) Other (specify)

► \$ 4,125,961. (Part I, column (d) must be on cash basis) MAY 21 2015

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments					
3 Dividends and interest from securities		108,705.	108,705.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a		<11,637.00			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications Gross sales less returns and allowances					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		6,720.	6,720.	0.	STATEMENT 2
12 Total. Add lines 1 through 11		103,788.	115,425.	0.	
13 Compensation of officers, directors, trustees, etc		75,886.	13,943.	0.	61,943.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees	STMT 3	1,850.	0.	0.	1,850.
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes	STMT 4	2,145.	290.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		5,264.	0.	0.	5,264.
22 Printing and publications					
23 Other expenses	STMT 5	18,784.	8.	0.	18,776.
24 Total operating and administrative expenses. Add lines 13 through 23		103,929.	14,241.	0.	87,833.
25 Contributions, gifts, grants paid		170,500.			170,500.
26 Total expenses and disbursements. Add lines 24 and 25		274,429.	14,241.	0.	258,333.
27 Subtract line 26 from line 12:		<170,641.00			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			101,184.		
c Adjusted net income (if negative, enter -0-)				0.	

SCANNED MAY 28 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing				
2	Savings and temporary cash investments		10,810.	188,914.	188,914.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations	STMT 6	115,998.	117,808.	118,840.
b	Investments - corporate stock	STMT 7	1,671,306.	1,688,932.	2,463,815.
c	Investments - corporate bonds	STMT 8	1,344,371.	978,000.	1,002,067.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 9	35,968.	35,968.	30,099.
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► ART COLLECTION)		322,226.	322,226.	322,226.
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,500,679.	3,331,848.	4,125,961.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,500,679.	3,331,848.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	3,500,679.	3,331,848.	
	31	Total liabilities and net assets/fund balances	3,500,679.	3,331,848.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,500,679.
2	Enter amount from Part I, line 27a	2	<170,641.>
3	Other increases not included in line 2 (itemize) ► ADJUSTMENT FOR TIPS	3	1,810.
4	Add lines 1, 2, and 3	4	3,331,848.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,331,848.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIRST KENTUCKY TRUST COMPANY - SEE				
b ATTACHMENT				
c FIRST KENTUCKY TRUST COMPANY - SEE				
d ATTACHMENT				
e CAPITAL GAINS DIVIDENDS				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b	1,610.	1,607.	3.	
c				
d	777,693.	799,606.	<21,913.>	
e	10,273.		10,273.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b			3.	
c				
d			<21,913.>	
e			10,273.	
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<11,637.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	250,284.	4,357,327.	.057440
2012	240,605.	3,947,374.	.060953
2011	178,720.	3,957,065.	.045165
2010	154,026.	3,512,857.	.043846
2009	188,091.	3,172,289.	.059292

2 Total of line 1, column (d)

2 .266696

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .053339

4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5

4 4,144,665.

5 Multiply line 4 by line 3

5 221,072.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 1,012.

7 Add lines 5 and 6

7 222,084.

8 Enter qualifying distributions from Part XII, line 4

8 258,333.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	(attach copy of letter if necessary-see instructions)	1	1,012.	
1b	Date of ruling or determination letter: _____				
1c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b				
1d	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.		
3	Add lines 1 and 2				
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-				
6	Credits/Payments:	6a 6b 6c 6d	920.	7	920.
a	2014 estimated tax payments and 2013 overpayment credited to 2014				
b	Exempt foreign organizations - tax withheld at source				
c	Tax paid with application for extension of time to file (Form 8868)				
d	Backup withholding erroneously withheld				
7	Total credits and payments. Add lines 6a through 6d	8	9	92.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached				
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed ►				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►				
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax ►	Refunded	11	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a	<input checked="" type="checkbox"/>	X
1b	<input checked="" type="checkbox"/>	X
1c	<input checked="" type="checkbox"/>	X
2	<input checked="" type="checkbox"/>	X
3	<input checked="" type="checkbox"/>	X
4a	<input checked="" type="checkbox"/>	X
4b	<input checked="" type="checkbox"/>	X
5	<input checked="" type="checkbox"/>	X
6	<input checked="" type="checkbox"/>	X
7	<input checked="" type="checkbox"/>	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>KY</u>	
8b	<input checked="" type="checkbox"/>	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► WWW.JBHARTER.ORG				
14	The books are in care of ► FIRST KENTUCKY TRUST Located at ► 295 N HUBBARDS LANE, SUITE 203, LOUISVILLE, KY	Telephone no. ► (502) 400-6000 ZIP+4 ► 40207		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		► <input type="checkbox"/>
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ► _____, _____, _____, _____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4a	X
		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes No Yes No Yes No Yes No Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE JORDAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
JOHN J. SULLIVAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
FIRST KENTUCKY TRUST 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	TRUSTEE 2.00	27,886.	0.	0.
ALAN J WILLIAMS 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	
Total. Add lines 1 through 3		0.

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,824,239.
b	Average of monthly cash balances	1b	61,317.
c	Fair market value of all other assets	1c	322,226.
d	Total (add lines 1a, b, and c)	1d	4,207,782.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,207,782.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	63,117.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,144,665.
6	Minimum investment return. Enter 5% of line 5	6	207,233.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	207,233.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,012.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,012.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	206,221.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	206,221.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	206,221.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	258,333.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	3a	
a	Suitability test (prior IRS approval required)	3b	
b	Cash distribution test (attach the required schedule)	4	258,333.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	5	1,012.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	6	257,321.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				206,221.
2 Undistributed income, if any, as of the end of 2014			99,872.	
a Enter amount for 2013 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 258,333.			99,872.	
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				158,461.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				47,760.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LA GAY AND LESBIAN CENTER 1625 N. SCHRADER BLVD. LOS ANGELES, CA 90028	N/A	PUBLIC CHARITY	SUPPORT OF LIFE DRAWING CLASS AND EXHIBIT.	32,000.
LESLIE LOHMAN MUSEUM OF GAY AND LESBIAN ART 26 WOOSTER STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	SUPPORT OF NEWSLETTER ISSUES 49-52 FOR 2014 AND ISSUES 53-56 FOR 2015.	36,000.
NEW ORLEANS MUSEUM OF ART ONE COLLINS C. DIBOLL CIRCLE, CITY PARK NEW ORLEANS, LA 70124	N/A	PUBLIC CHARITY	SUPPORT OF 2014 ODYSSEY BALL.	5,000.
NO AIDS TASK FORCE 601 TULANE AVE SUITE 500 NEW ORLEANS, LA 70119	N/A	PUBLIC CHARITY	SUPPORT OF ART AGAINST AIDS.	5,000.
TENNESSEE WILLIAMS/NEW ORLEANS LITERARY FESTIVAL 938 LAFAYETTE STREET NEW ORLEANS, LA 70113	N/A	PUBLIC CHARITY	SUPPORT OF SAINTS AND SINNERS LITERARY FESTIVAL.	12,500.
Total	SEE CONTINUATION SHEET(S)			► 3a 170,500.
b Approved for future payment				
NONE				
Total				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	108,705.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	6,720.	
8 Gain or (loss) from sales of assets other than inventory			18	<11,637.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		103,788.	0.
13 Total. Add line 12, columns (b), (d), and (e)			13		103,788.
(See worksheet in line 13 instructions to verify calculations.)					

Relationship of Activities to the Accomplishment of Exempt Purposes

JOHN BURTON HARTER FOUNDATION

30-6048115

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

423831
05-01-14

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FKT - DIVIDENDS/INTEREST	118,978.	10,273.	108,705.	108,705.	108,705.
TO PART I, LINE 4	118,978.	10,273.	108,705.	108,705.	108,705.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INDUSTRIAL REIT DISTRIBUTIONS	6,720.	6,720.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	6,720.	6,720.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1,850.	0.	0.	1,850.
TO FORM 990-PF, PG 1, LN 16B	1,850.	0.	0.	1,850.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX PAYMENTS PRIOR YEAR	1,855.	0.	0.	0.
FOREIGN TAXES	290.	290.	0.	0.
TO FORM 990-PF, PG 1, LN 18	2,145.	290.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	8.	8.	0.	0.
INSURANCE	1,614.	0.	0.	1,614.
ARTWORK COLLECTION - EXHIBIT EXPENSES	15,067.	0.	0.	15,067.
ADMINISTRATIVE EXPENSE	2,095.	0.	0.	2,095.
TO FORM 990-PF, PG 1, LN 23	18,784.	8.	0.	18,776.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT

6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT FIXED INCOME - SEE ATTACHED	X		117,808.	118,840.
TOTAL U.S. GOVERNMENT OBLIGATIONS			117,808.	118,840.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			117,808.	118,840.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED	1,688,932.	2,463,815.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,688,932.	2,463,815.

JOHN BURTON HARTER FOUNDATION

30-6048115

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED	978,000.	1,002,067.
TOTAL TO FORM 990-PF, PART II, LINE 10C	978,000.	1,002,067.

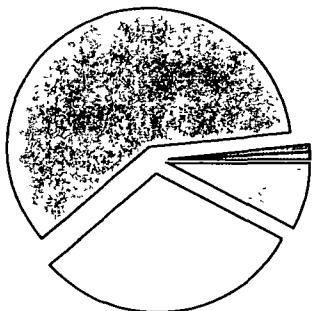
FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - SEE ATTACHED	COST	35,968.	30,099.
TOTAL TO FORM 990-PF, PART II, LINE 13		35,968.	30,099.

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

PORTFOLIO SUMMARY


	TAX COST	MARKET VALUE	PERCENT
ALTERNATIVE INVESTMENTS	35,968.45	30,098.70	0.7%
CASH AND EQUIVALENTS	33,257.85	33,257.85	0.8%
EQUITIES	1,688,931.55	2,463,814.82	59.7%
FIXED INCOME	1,283,107.54	1,276,562.63	31.0%
OTHER	322,226.00	322,226.00	7.8%
Total	3,363,491.39	4,125,960.00	100.0%

ACTIVITY SUMMARY

	CURRENT PERIOD	YEAR TO DATE
MARKET VALUE AT BEGINNING OF PERIOD	4,285,766.96	4,116,252.11
DIVIDENDS	33,747.77	106,701.65
INTEREST	0.00	5,866.30
OTHER RECEIPTS	0.00	7,259.97
SALES/MATURITIES	221,652.48	702,331.28
DISBURSEMENTS	134,945.63-	245,150.52-
FEES	2,357.15-	29,736.10-
PURCHASES	112,499.81-	523,745.36-
CHANGE IN MARKET VALUE	165,404.62-	13,819.33-
MARKET VALUE AT END OF PERIOD	4,125,960.00	4,125,960.00

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

PORTFOLIO DETAIL - PRINCIPAL

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET 010%	BGSXX	27,605 790	27,605 .79 27,605 .79	1 00 1 00	2 .76	0 .01
PRINCIPAL CASH			7,436 .31- 7,436 .31-			
* TOTAL CASH AND EQUIVALENTS			20,169.48 20,169.48		2.76 0.00	0.01
EQUITIES						
AT&T INC	T	400 000	13,436 .00 12,524 .82	33 .59 31 .31	752 .00 911 .18	5 .60
ALLERGAN INC	AGN	120 000	25,510 .80 9,910 .03	212 .59 82 .58	24 .00 15,600 .77	0 .09
AMERICAN EXPRESS CO CO	AXP	175 000	16,282 .00 8,300 .04	93 .04 47 .43	182 .00 7,981 .96	1 .12
AMERICAN WATER WORKS CO	AWK	500 000	26,650 .00 19,028 .85	53 .30 38 .06	620 .00 7,621 .15	2 .33
APPLE INC	AAPL	350 000	38,633.00 2,943.18	110 .38 8 .41	658 .00 35,689 .82	1 .70
BANK OF AMERICA CORP	BAC	2,500 000	44,725.00 22,476.75	17 .89 8 .99	500 .00 22,248 .25	1 .12
BLACKROCK MULTI ASSET INCOME INSTL	BIICX	8,994 781	101,101 .34 100,565 .05	11 .24 11 .18	5,145 .01 536 .29	5 .09
CME GROUP INC	CME	350 000	31,027.50 25,398.31	88 .65 72 .57	658 .00 5,629 .19	2 .12
CATERPILLAR INC	CAT	150 000	13,729.50 14,807 .53	91 .53 98 .72	420 .00 1,078 .03-	3 .06
CHEVRON CORP	CVX	195 000	21,875 .10 19,456 .03	112 .18 99 .77	834 .60 2,419 .07	3 .82
CITIGROUP INC	C	525 000	28,407 .75 17,411 .88	54 .11 33 .17	21 .00 10,995 .87	0 .07
COCA COLA CO	KO	600 000	25,332 .00 22,040 .21	42 .22 36 .73	732 .00 3,291 .79	2 .89
COMCAST CORP NEW CL A	CMCSA	400 000	23,204 .00 9,382 .46	58 .01 23 .46	360 .00 13,821 .54	1 .55
CONOCOPHILLIPS	COP	200 000	13,812.00 10,355.00	69 .06 51 .78	584 .00 3,457 .00	4 .23
DU PONT E I DE NEMOURS & CO	DD	340 000	25,139 .60 14,911 .47	73 .94 43 .86	639 .20 10,228 .13	2 .54
EMC CORP MASS	EMC	705 000	20,966 .70 16,421 .89	29 .74 23 .29	324 .30 4,544 .81	1 .55

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
EBAY INC	EBAY	205 000	11,504.60 6,212.50	56.12 30.30	5,292.10	
EXXON MOBIL CORP	XOM	290 000	26,810.50 21,332.40	92.45 73.56	800.40 5,478.10	2.99
GENERAL ELECTRIC CO	GE	700 000	17,689.00 12,383.88	25.27 17.69	644.00 5,305.12	3.64
GOOGLE INC CL A	GOOGL	25 000	13,266.50 6,134.41	530.66 245.38	7,132.09	
GOOGLE INC CL C	GOOG	25 000	13,160.00 6,114.81	526.40 244.59	7,045.19	
HARBOR INTERNATIONAL FD	HAINX	1,963.588	127,201.23 67,959.79	64.78 34.61	2,784.37 59,241.44	2.19
HERSHEY CO FOODS CORP	HSY	195 000	20,266.35 11,443.48	103.93 58.68	417.30 8,822.87	2.06
HOME DEPOT INC	HD	400 000	41,988.00 18,357.99	104.97 45.89	752.00 23,630.01	1.79
INTEL CORP	INTC	600 000	21,774.00 15,506.71	36.29 25.84	540.00 6,267.29	2.48
ISHARES RUSSELL MIDCAP INDEX	IWR	1,200 000	200,448.00 77,509.12	167.04 64.59	2,906.40 122,938.88	1.45
ISHARES RUSSELL 2000 INDEX	IWM	1,000 000	119,620.00 79,107.94	119.62 79.11	1,511.00 40,512.06	1.26
ISHARES US TELECOMMUNICATIONS ETF	IYZ	800 000	23,424.00 23,640.00	29.28 29.55	528.00 216.00-	2.25
JPMORGAN CHASE & CO	JPM	750 000	46,935.00 21,680.34	62.58 28.91	1,200.00 25,254.66	2.56
JOHNSON & JOHNSON	JNJ	240 000	25,096.80 15,126.30	104.57 63.03	672.00 9,970.50	2.68
KOHLS CORP	KSS	350 000	21,364.00 18,199.97	61.04 52.00	546.00 3,164.03	2.56
L BRANDS INC	LB	400 000	34,620.00 17,420.34	86.55 43.55	544.00 17,199.66	1.57
LAZARD US EQUITY CONCENTRATED INSTL	LEVIX	10,232.789	137,221.70 123,052.51	13.41 12.03	941.42 14,169.19	0.69
MCDONALDS CORP	MCD	100 000	9,370.00 6,196.75	93.70 61.97	340.00 3,173.25	3.63
MERCK & CO INC	MRK	300 000	17,037.00 11,309.04	56.79 37.70	540.00 5,727.96	3.17
MICROSOFT CORP	MSFT	530 000	24,618.50 12,341.38	46.45 23.29	657.20 12,277.12	2.67

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

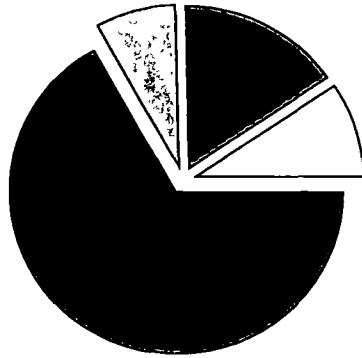
PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
NATIONAL OIL WELL VARCO INC	NOV	170 000	11,140.10 10,304.93	65.53 60.62	312.80 835.17	2.81
NORTHERN TRUST CORP	NTRS	400 000	26,960.00 18,479.96	67.40 46.20	528.00 8,480.04	1.96
NOVARTIS A G SPONSORED ADR	NVS	300.000	27,798.00 17,807.01	92.66 59.36	701.40 9,990.99	2.52
NOW INC	DNOW	42 000	1,080.66 1,143.45	25.73 27.23	43.68 62.79-	4.04
ORACLE CORP	ORCL	500 000	22,485.00 15,256.80	44.97 30.51	240.00 7,228.20	1.07
PFIZER INC	PFE	595 000	18,534.25 12,413.90	31.15 20.86	666.40 6,120.35	3.60
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PORTFOLIO	PBE	750 000	37,650.00 17,144.93	50.20 22.86	207.75 20,505.07	0.55
PROCTER & GAMBLE CO	PG	275 000	25,049.75 18,129.20	91.09 65.92	707.85 6,920.55	2.83
QUALCOMM INC	QCOM	225 000	16,724.25 11,962.10	74.33 53.16	378.00 4,762.15	2.26
SOUTHERN CO	SO	300 000	14,733.00 13,265.10	49.11 44.22	630.00 1,467.90	4.28
UNION PACIFIC CORP	UNP	200 000	23,826.00 9,869.30	119.13 49.35	400.00 13,956.70	1.68
UNITED PARCEL SERVICE INC CL B	UPS	300 000	33,351.00 23,610.99	111.17 78.70	804.00 9,740.01	2.41
UNITED TECHNOLOGIES CORP	UTX	350.000	40,250.00 22,050.38	115.00 63.00	826.00 18,199.62	2.05
VANGUARD HIGH DIVIDEND YIELD INDX ETF	VYM	5,000 000	343,750.00 243,900.05	68.75 48.78	9,540.00 99,849.95	2.78
VANGUARD MSCI EMERGING MARKETS ETF	VWO	4,000 000	160,080.00 170,874.65	40.02 42.72	4,572.00 10,794.65-	2.86
VANGUARD MATERIALS INDEX FUND	VAW	200 000	21,478.00 16,040.00	107.39 80.20	378.40 5,438.00	1.76
VERIZON COMMUNICATIONS INC	VZ	671 000	31,389.38 31,439.52	46.78 46.85	1,476.20 50.14-	4.70
WAL-MART STORES INC	WMT	300 000	25,764.00 17,759.24	85.88 59.20	576.00 8,004.76	2.24
WELLS FARGO & CO COMPANY NEW	WFC	460 000	25,217.20 12,432.02	54.82 27.03	644.00 12,785.18	2.55
DIVIDEND CAPITAL INDUSTRIAL INCOME TRUST REIT		10,752.688	111,827.96 100,000.00	10.40 9.30	11,827.96	

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
COVIDIEN PLC (NEW)	COV	210 000	21,478.80 8,084.86	102.28 38.50	302.40 13,393.94	1.41
* TOTAL EQUITIES			2,463,814.82 1,688,931.55		52,713.08 774,883.27	2.14

BOND QUALITY SUMMARY


S & P QUALITY RATING	MARKET VALUE	PERCENT
TREASURY / AGENCY	118,839.79	9.3%
AA	203,835.00	16.0%
AA-	100,965.00	7.9%
NOT RATED	852,922.84	66.8%
Total	1,276,562.63	100.0%

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	YIELD CURRENT/ MATURITY
FIXED INCOME						
BERKSHIRE HATHAWAY FINANCE CORP SR NT 2.9% 10/15/2020	AA	100,000.000	102,538.00 102,185.00	102.54 102.19	2,900.00 353.00	2.83 1.81
COCA COLA CO NOTE 2.45% 11/01/2020	AA	100,000.000	101,297.00 100,987.00	101.30 100.99	2,450.00 310.00	2.42 1.90
DODGE & COX INCOME FUND		10,909.862	150,337.90 135,130.49	13.78 12.39	4,363.94 15,207.41	2.90
EATON VANCE FLOATING RATE I		13,885.840	123,722.83 125,000.00	8.91 9.00	4,776.73 1,277.17	3.86
ISHARES BARCLAYS TIPS BONDS		720.000	80,647.20 74,864.02	112.01 103.98	1,343.52 5,783.18	1.67
ISHARES BARCLAYS INTERMEDIATE CREDIT BOND FUND		1,750.000	191,327.50 186,095.00	109.33 106.34	4,705.75 5,232.50	2.46
LOOMIS SAYLES CORE PLUS BOND Y		7,686.395	100,922.37 100,000.00	13.13 13.01	3,512.68 922.37	3.48
NORTHERN HIGH YIELD FIXED INCOME FUND		6,949.860	49,205.01 51,924.83	7.08 7.47	3,106.59 2,719.82	6.31

STATEMENT PERIOD DECEMBER 01, 2014 THROUGH DECEMBER 31, 2014

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	YIELD CURRENT/ MATURITY
FIXED INCOME						
PIMCO TOTAL RETURN FUND INSTITUTIONAL		103 549	1,103.83 1,113.15	10.66 10.75	23.92 9.32-	2.17
PIMCO ALL ASSET ALL AUTHORITY INSTL		17,048 872	155,656.20 187,300.00	9.13 10.99	9,376.88 31,643.80-	6.02
TOYOTA MOTOR CREDIT 2% 10/24/2018	AA-	100,000 000	100,965.00 100,700.00	100.97 100.70	2,000.00 265.00	1.98 1.42
US TREASURY INFLATION PROTECTED 2 125% 01/15/2019		110,597 000	118,839.79 117,808.05	107.45 106.52	2,350.19 1,031.74	1.98
* TOTAL FIXED INCOME			1,276,562.63 1,283,107.54		40,910.20 6,544.91-	3.20
OTHER						
BURT'S ART COLLECTIONS		1 000	322,226.00 322,226.00	322,226.00		
* TOTAL OTHER			322,226.00 322,226.00		0.00 0.00	0.00 0.00
ALTERNATIVE INVESTMENTS						
SPDR GOLD SHARES	GLD	265 000	30,098.70 35,968.45	113.58 135.73	5,869.75-	
* TOTAL ALTERNATIVE INVESTMENTS			30,098.70 35,968.45		0.00 5,869.75-	0.00
TOTAL PRINCIPAL ASSETS			4,112,871.63 3,350,403.02		93,626.04 762,468.61	2.28

PORTFOLIO DETAIL - INCOME

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET 010%	BGSXX	54 400	54.40 54.40	1.00 1.00	0.01	0.02
INCOME CASH			13,033.97 13,033.97			
* TOTAL CASH AND EQUIVALENTS			13,088.37 13,088.37		0.01 0.00	0.00
TOTAL INCOME ASSETS			13,088.37 13,088.37		0.01 0.00	0.00

FIRST KENTUCKY TRUST COMPANY

2014 Tax Information Statement						
			Page	20 of 42		

2014 Realized Capital Gains/Losses**SHORT-TERM TRANSACTIONS****COVERED tax lots**

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
NORTHERN ULTRA-SHORT FIXED INCOME / CUSIP: 665162467 / Symbol: NUSFX						
2 tax lots for 09/15/14.						
2.907	29.74	12/19/13		29.71	...	0 .03
3.182	32.56	12/19/13		32.52	...	0 .04
6.089	62.30	VARIOUS		62.23	0 .00	0 .07

PIMCO TOTAL RETURN FUND INSTITUTIONAL / CUSIP: 6933390700 / Symbol PTRX

2 tax lots for 12/11/14.

36 481	396.91	12/11/13		392.17	...	4 .74
103.549	1,126.61	12/10/14		1,128.68	..	-2 .07
140.030	1,523.52	VARIOUS		1,520.85	0 .00	2 .67

VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol VZ

03/03/14	0 501	23 78	02/24/14	24.11	..	-0 .33
Totals:		1,609.60		1,607.19	0 .00	2 .41

LONG-TERM TRANSACTIONS**COVERED tax lots**

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
INTERNATIONAL BUSINESS MACHINES / CUSIP: 459200101 / Symbol: IBM						
03/12/14	70.000	13,028.87	12/14/11	13,202.35	..	-173 .48
NORTHERN ULTRA-SHORT FIXED INCOME / CUSIP: 665162467 / Symbol NUSFX						
3 tax lots for 09/15/14.						
9,784 736	100,097.85	09/11/12		100,000.00		97 .85
3 717	38.02	12/20/12		37.95	..	0 .07
8.222	84.11	12/20/12		83.95	..	0 .16
9,796.675	100,219.98	VARIOUS		100,121.90	0 .00	98 .08

FIRST KENTUCKY TRUST COMPANY

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2014 Realized Capital Gains/Losses (continued)**LONG-TERM TRANSACTIONS****COVERED tax lots**

Description of property/CUSIP/Symbol		Quantity	Proceeds Shown (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
Date sold or disposed							
NOW INC / CUSIP 67011P100 / Symbol DNOW	0 500	16.83	01/23/12		15 33	...	1 50
06/02/14							
PIMCO TOTAL RETURN FUND INSTITUTIONAL / CUSIP. 693390700 / Symbol: PTTRX							
2 tax lots for 03/12/14							
206 000	2,230.98	12/12/12	2,340.16		..	-109.18	
280 654	3,039.48	12/12/12	3,188.23		..	-148.75	
486 654	5,270.46	VARIOUS	5,528.39		0.00	-257.93	
03/12/14							
PIMCO ALL ASSET ALL AUTHORITY INSTL / CUSIP: 722000Q182 / Symbol: PAUIX							
2 tax lots for 12/31/14							
7,048.872	64,356.20	03/23/12	75,000.00		..	-10,643.80	
10,000 000	91,300.00	11/05/12	112,300.00		..	-21,000.00	
12/31/14	17,048.872	155,656.20	187,300.00		0.00	-31,643.80	
VANGUARD TOTAL BOND MARKET INDEX ETF / CUSIP 921937835 / Symbol: BND							
2 tax lots for 03/12/14							
1,500 000	121,530.43	03/23/12	124,800.00		..	-3,269.57	
750 000	60,765.22	11/05/12	63,719.93		..	-2,954.71	
03/12/14	2,250 000	182,295.65	188,519.93		0.00	-6,224.28	
VODAFONE GROUP PLC NEW SPONSORED ADR / CUSIP. 928857W308 / Symbol: VOD							
2 tax lots for 03/12/14.							
166.362	6,298.65	12/14/11	8,251.74		..	-1,953.09	
105.638	3,999.58	03/23/12	5,369.92		..	-1,370.34	
03/12/14	272.000	10,298.23	13,621.66		0.00	-3,323.43	
03/25/14	0 725	29 55	03/23/12	36.85	..	-7 30	
	Security total.	10,327.78	13,658.51	0 00		-3,330.73	

FIRST KENTUCKY TRUST COMPANY

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2014 Realized Capital Gains/Losses (continued)

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol		Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
Date sold or disposed	Quantity					
MALLINKRODT PLC / CUSIP. G5785G107 / Symbol: MNK						
03/12/14	26,000	1,671.77	12/14/11	783.24	..	888.53
Totals:		468,487.54		509,129.65	0.00	-40,642.11

LONG-TERM TRANSACTIONS

NONCOVERED tax lots

Description of property/CUSIP/Symbol		Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
Date sold or disposed	Quantity					
DODGE & COX INCOME FUND / CUSIP. 256210105 / Symbol: DODIX						
03/12/14	2,536.232	35,000.00	03/12/08	31,804.35	...	3,195.65
07/22/14	3,597.123	50,000.00	03/12/08	45,107.93	...	4,892.07
Security total.		85,000.00		76,912.28	0.00	8,087.72
PIMCO TOTAL RETURN FUND INSTITUTIONAL / CUSIP. 6933190700 / Symbol: PTRX						
6 tax lots for 03/12/14						
4.434	48.02	04/04/05	46.98	..	1.04	
2.194	23.76	12/15/05	22.97	..	0.79	
827.060	8,957.06	12/15/05	8,659.32	..	297.74	
373.792	4,048.17	12/13/06	3,909.86	..	138.31	
771.003	8,349.96	04/24/07	8,033.85	..	316.11	
765.627	8,302.57	12/12/07	8,141.58	..	160.99	
03/12/14	2,745.110	29,729.54	28,814.56	0.00	914.98	
07/22/14	4,566.210	50,000.00	04/24/07	47,579.91	..	2,420.09
2 tax lots for 12/11/14.						
10,974.111	119,398.33	04/24/07	114,350.24	..	5,048.09	
2,304.930	25,077.64	12/10/08	22,818.81	..	2,258.83	
13,279.041	144,475.97	VARIOUS	137,160.05	0.00	7,306.92	
Security total:	224,205.51		213,563.52	0.00	10,641.99	
Totals:	309,205.51		290,475.80	0.00	18,729.71	