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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation MICHAEL T RIORDAN FAMILY FOUNDATION C/O MICHAEL T RIORDAN TRUSTEE % MICHAEL T RIORDAN		A Employer identification number  30-6000348	
Number and street (or P O box number if mail is not delivered to street address) W3563 MEREDITH LANE Suite		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code GREEN LAKE, WI 549419650		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 9,975,544		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .	0			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments	11	11		
	4 Dividends and interest from securities. . . . .	196,445	196,445		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,145,120			
	b Gross sales price for all assets on line 6a 8,515,935				
	7 Capital gain net income (from Part IV, line 2) . . .		1,145,120		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,341,576	1,341,576		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	4,340	2,170	0	2,170
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . .	17,136	3,415		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	92,086	51,257		
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	113,562	56,842	0	2,170
	25 Contributions, gifts, grants paid . . . . .	490,000			490,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	603,562	56,842	0	492,170
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	738,014			
	b <b>Net investment income</b> (if negative, enter -0-)		1,284,734		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	235,169	133,477	133,477
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	8,538,170	9,359,537	9,842,067
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,773,339	9,493,014	9,975,544	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	8,773,339	9,493,014	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see instructions) . . . . .	8,773,339	9,493,014	
31	Total liabilities and net assets/fund balances (see instructions) . . . . .	8,773,339	9,493,014		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 8,773,339
2	Enter amount from Part I, line 27a . . . . .	2 738,014
3	Other increases not included in line 2 (itemize) ▶ _____	3 -18,339
4	Add lines 1, 2, and 3 . . . . .	4 9,493,014
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6 9,493,014

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div>	2	1,145,120
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</div>		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	452,441	9,918,841	0 045614
2012	453,414	9,379,833	0 048339
2011	445,487	9,485,020	0 046967
2010	405,598	9,026,205	0 044936
2009	546,147	8,148,643	0 067023

2	Total of line 1, column (d). . . . .	2	0 252879
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 050576
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	10,331,722
5	Multiply line 4 by line 3. . . . .	5	522,537
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	12,847
7	Add lines 5 and 6. . . . .	7	535,384
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	492,170

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		125,695	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		325,695	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		525,695	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	13,200
b		Exempt foreign organizations—tax withheld at source . . . . .		6b	
c		Tax paid with application for extension of time to file (Form 8868) . . . . .		6c	25,000
d		Backup withholding erroneously withheld . . . . .		6d	
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	38,200
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	201
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	12,304
11		Enter the amount of line 10 to be Credited to 2015 estimated tax  12,304 Refunded		11	

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	Yes	No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year? . . . . .		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ (2) On foundation managers  \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5		No
		If "Yes," attach the statement required by General Instruction T.				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. . . . .		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions)				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b		No
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MICHAEL T RIORDAN Telephone no (920) 295-3366 Located at W3563 MEREDITH LANE GREEN LAKE WI ZIP +4 549419650			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL T RIORDAN	TRUSTEE	0	0	0
16127 FOREST OAKS DRIVE FORT MYERS, FL 33908	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	9,949,271
b	Average of monthly cash balances. . . . .	1b	539,787
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	10,489,058
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	10,489,058
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	157,336
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,331,722
6	Minimum investment return. Enter 5% of line 5. . . . .	6	516,586

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	516,586
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	25,695
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	25,695
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	490,891
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	490,891
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	490,891

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	492,170
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	492,170
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	492,170

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				490,891
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only. . . . .			477,544	
b Total for prior years 2012 , 2011 , 2010				
3 Excess distributions carryover, if any, to 2014				
a From 2009. . . . .				
b From 2010. . . . .				
c From 2011. . . . .				
d From 2012. . . . .				
e From 2013. . . . .				0
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 492,170				
a Applied to 2013, but not more than line 2a			477,544	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2014 distributable amount. . . . .				14,626
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				476,265
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .	0			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

MICHAEL T RIORDAN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2014)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	490,000
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NA	N/A	N/A

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Andrew Basney	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00836941
	Firm's name <input checked="" type="checkbox"/> TOPEL FORMAN LLC			Firm's EIN <input checked="" type="checkbox"/>	
	Firm's address <input checked="" type="checkbox"/> 500 NORTH MICHIGAN AVE SUITE 1700 CHICAGO, IL 60611			Phone no (920) 295-3366	

## Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

Name(s) shown on return	<b>Social security number or taxpayer identification number</b>
	30-6000348

*Before you check Box A, B, or C below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).*

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) <b>See the separate instructions</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	ULTA SALON C		03-20-2014	4,175	(3,846)			329
	STATE STREET			704,171	(715,535)		429	-10,935
	STATE STREET			9,858	(9,126)			732
	PERSHING QUU			255,835	(250,020)			5,815
	PERSHING QWV			80,140	(77,952)		1,230	3,418
	PERSHING QWV			224,288	(219,313)		67	5,042
	PERSHING QWV			2,054,326	(2,147,587)		52,915	-40,346
	BNY MELLON 1			22,951	(25,796)			-2,845
	BNY MELLON 1			235,298	(222,472)			12,826
	81 OPTIONS N	06-06-2014	12-22-2014		(53,524)			-53,524
<b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, <b>line 1b</b> (if <b>Box A</b> above is checked), <b>line 2</b> (if <b>Box B</b> above is checked), or <b>line 3</b> (if <b>Box</b> <b>C</b> above is checked) . . . . . ►				3,591,061	(3,725,190)		54,641	-79,488

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

□ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

**Γ (C)** Short-term transactions not reported to you on Form 1099-B

<b>1</b>	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) <b>See the separate instructions</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	2 SHARES BIG	08-21-2014	09-05-2014	37	( )			37
<b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, <b>line 1b</b> (if <b>Box A</b> above is checked), <b>line 2</b> (if <b>Box B</b> above is checked), or <b>line 3</b> (if <b>Box</b> <b>C</b> above is checked) . . . . . ►				37	( )			37

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.



Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side	Social security number or taxpayer identification number
MICHAEL T RIORDAN FAMILY FOUNDATION	30-6000348
C/O MICHAEL T RIORDAN TRUSTEE	

*Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).*

**Part II Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.


**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) <b>See the separate instructions</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	150 SHARES E	02-13-2014	11-15-2012	13,709	(12,972)			737
	STATE STREET			20,777	(11,067)			9,710
	STATE STREET			44,516	(34,087)			10,429
	STATE STREET			30,422	(19,364)			11,058
	PERSHING QUU			400,372	(389,074)			11,298
	PERSHING QWV			233,318	(162,801)			70,517
	PERSHING QWV			303,380	(270,271)			33,109
	BNY MELLON 1			128,075	(104,971)			23,104
	BNY MELLON 1			879,234	(353,675)			525,559
<b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, <b>line 8b</b> (if <b>Box D</b> above is checked), <b>line 9</b> (if <b>Box E</b> above is checked), or <b>line 10</b> (if <b>Box F</b> above is checked) . . . . . 				2,053,803	(1,358,282)			695,521

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**Part II Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.


**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

**(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) <b>See the separate instructions</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	STATE STREET			30,366	(10,134)			20,232
	STATE STREET			24,959	(5,000)			19,959
	200 SHARES V	08-11-2010	02-18-2014	7,447	(4,762)			2,685
	STATE STREET			37,160	(18,984)			18,176
	PERSHING QUU			2,762,983	(2,590,362)			172,621
	PERSHING QWV			160,719	(84,405)			76,314
	PERSHING QWV			97,205	(47,399)			49,806
<b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, <b>line 8b</b> (if <b>Box D</b> above is checked), <b>line 9</b> (if <b>Box E</b> above is checked), or <b>line 10</b> (if <b>Box F</b> above is checked) . . . . . 				3,120,839	(2,761,046)			359,793

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
150 SHARES EXXON MOBIL CORPORATION	P	2014-02-13	2012-11-15
STATE STREET ARO234 - SEE ATTACHED	P		
ULTA SALON COSMETIC & FRAGRANCE INC	P		2014-03-20
STATE STREET AR0237 - SEE ATTACHED	P		
STATE STREET AR0237 - SEE ATTACHED	P		
STATE STREET AR0241- SEE ATTACHED	P		
STATE STREET AR0241- SEE ATTACHED	P		
200 SHARES VODAFONE GROUP PLC ADR	P	2010-08-11	2014-02-18
STATE STREET AR4899- SEE ATTACHED	P		
STATE STREET AR0238- SEE ATTACHED	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,709		12,972	737
30,366		10,134	20,232
4,175		3,846	329
20,777		11,067	9,710
24,959		5,000	19,959
19		19	
44,516		34,087	10,429
7,447		4,762	2,685
704,171		715,535	-10,935
9,858		9,126	732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			737
			20,232
			329
			9,710
			19,959
			10,429
			2,685
			-11,364
			732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
STATE STREET AR0238 - SEE ATTACHED	P		
STATE STREET AR0238- SEE ATTACHED	P		
PERSHING QUU-001118 - SEE ATTACHED	P		
PERSHING QUU-001118 - SEE ATTACHED	P		
PERSHING QUU-001118 - SEE ATTACHED	P		
PERSHING QWV-001162 - SEE ATTACHED	P		
PERSHING QWV-001162 - SEE ATTACHED	P		
PERSHING QWV-001162 - SEE ATTACHED	P		
PERSHING QWV-001154 - SEE ATTACHED	P		
PERSHING QWV-001154 - SEE ATTACHED	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,422		19,364	11,058
37,160		18,984	18,176
255,835		250,020	5,815
400,372		389,074	11,298
2,762,983		2,590,362	172,621
80,140		77,952	3,418
233,318		162,801	70,517
160,719		84,405	76,314
224,288		219,313	5,042
303,380		270,271	33,109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,058
			18,176
			5,815
			11,298
			172,621
			2,188
			70,517
			76,314
			4,975
			33,109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PERSHING QWV-001154 - SEE ATTACHED	P		
PERSHING QWV-001196 - SEE ATTACHED	P		
BNY MELLON 10571044110 - SEE ATTACHED	P		
BNY MELLON 10571044110 - SEE ATTACHED	P		
BNY MELLON 1571044120 - SEE ATTACHED	P		
BNY MELLON 1571044120 - SEE ATTACHED	P		
81 OPTIONS NEW STANDARD	P	2014-06-06	2014-12-22
2 SHARES BIGLARI HLDGS INC SUBSCRIPTION	P	2014-08-21	2014-09-05
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
97,205		47,399	49,806
2,054,326		2,147,587	-40,346
22,951		25,796	-2,845
128,075		104,971	23,104
235,298		222,472	12,826
879,234		353,675	525,559
		53,524	-53,524
37			37
			169,257


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			49,806
			-93,261
			-2,845
			23,104
			12,826
			525,559
			-53,524
			37

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ST AGNES CHURCH 1501 CHICAGO ROAD CHICAGO HEIGHTS,IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	8,000
ST JAMES HOSPITAL 1423 CHICAGO ROAD CHICAGO HEIGHTS,IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	4,500
OUR LADY OF LOURDES CATHOLIC CHURCH PARISH OFFICE 1307 LOURDES AVENUE DE PERE,WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	3,000
HOUSE OF HOPE 1660 CHRISTIANA STREET GREEN BAY,WI 54303	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
FREEDOM HOUSE MINISTRIES 2997 SAINT ANTHONY DRIVE GREEN BAY,WI 54311	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
GREEN LAKE ASSOCIATION 492 HILL STREET STE 105 PO BOX 364 GREEN LAKE,WI 54941	NONE	PC	TO FURTHER PROGRAM SERVICES	2,500
RIPON AREA UNITED WAY PO BOX 71 RIPON,WI 54971	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
HOPE HOSPICE 9470 HEALTH PARK CIRCLE FORT MYERS,FL 33908	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
RUTH HELF CHILDREN'S LEARNING CENTER HK PARTNERS LLC 7840 WHICKS STREET MILWAUKEE,WI 532191122	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
UNITY HOSPICE & PALLIATIVE CARE 2366 OAK RIDGE CIRCLE DE PERE,WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
NEW COMMUNITY CLINIC 622 BODART STREET GREEN BAY,WI 54301	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
MARIAN CATHOLIC HIGH SCHOOL 700 ASHLAND AVENUE CHICAGO HEIGHTS,IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	7,000
CHICAGO PUBLIC MEDIA 5400 N ST LOUIS AVENUE CHICAGO,IL 60625	NONE	PC	TO FURTHER PROGRAM SERVICES	250
WGCU PUBLIC MEDIA 10501 FGCU BLVD SOUTH FORT MYERS,FL 339656565	NONE	PC	TO FURTHER PROGRAM SERVICES	250
GOLDEN HOUSE PO BOX 727 GREEN BAY,WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
<b>Total . . . . . ▶ 3a</b>				490,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
LIFELINE FAMILY CENTER INC 907 SE 5TH AVENUE CAPE CORAL,FL 33990	NONE	PC	TO FURTHER PROGRAM SERVICES	20,000
NAMI FL 6216 TRAIL BOULEVARD BUILDING C NAPLES,FL 34108	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
NAMI OSHKOSH PO BOX 3303 OSHKOSH,WI 54903	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
COMMUNITY PREGNANCY CLINICS PO BOX 7608 NAPLES,FL 34101	NONE	PC	TO FURTHER PROGRAM SERVICES	20,000
GREEN LAKE COUNTY AREA UNITED FUND PO BOX 203 BERLIN,WI 549230203	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
COOPER RIIS HEALING FARM 101 HEALING FARM LANE MILL SPRING,NC 28756	NONE	PC	TO FURTHER PROGRAM SERVICES	100,000
ST JOHN THE BAPTIST 1211 WEST MAIN STREET PRINCETON,WI 54968	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
OUR LADY OF LOURDES CATHOLIC SCHOOL 1305 LOURDES AVENUE DE PERE,WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	27,000
THE CHILDREN'S HOSPITAL OF SW FLORIDA PO BOX 2218 FORT MEYERS,FL 33902	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
THE KEY EVENT PO BOX 5391 DE PERE,WI 541155391	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
ST JOHN THE EVANGELIST HOMELESS SHELTER PO BOX 1743 GREEN BAY,WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
DIRECT RELIEF 27 S LA PATERA LANE SANTA BARBARA,CA 93117	NONE	PC	TO FURTHER PROGRAM SERVICES	30,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEWYORK,NY 10168	NONE	PC	TO FURTHER PROGRAM SERVICES	32,500
HOLLYWOOD SCHOOLHOUSE 1233 NORTH MCCADDEN PLACE LOS ANGELES,CA 90038	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
PEOPLE ASSISTING THE HOMELESS 340 N MADISON AVE LOS ANGELES,CA 90004	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
<b>Total . . . . .</b>  <b>3a</b>				490,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FOOD ON FOOT 9663 SANTA MONICA BLVD 743 BEVERLY HILLS,CA 90201	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
GREATER GREEN BAY YMCA 235 N JEFFERSON STREET GREEN BAY,WI 54301	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
CHILDREN'S HOSPITAL AND HEALTH SYSTEM FOUNDATION MAIL STATION 3050 PO BOX 1997 MILWAUKEE,WI 53201	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
CHILDREN'S HOSPITAL OF LOS ANGELES 4650 SUNSET BLVD SOUTH LOS ANGELES,CA 90027	NONE	PC	TO FURTHER PROGRAM SERVICES	40,000
<b>Total . . . . .</b>			<b>3a</b>	490,000



**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2014 Depreciation Schedule**

**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION  
C/O MICHAEL T RIORDAN TRUSTEE

**EIN:** 30-6000348

**TY 2014 Investments Corporate  
Stock Schedule**

**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION  
C/O MICHAEL T RIORDAN TRUSTEE

**EIN:** 30-6000348

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
PERSHING 1162	601,080	1,008,415
PERSHING 1118	7,715,973	7,724,123
PERSHING 1196	415,526	404,610
BNY MELLON 4110	626,958	704,919

## TY 2014 Other Expenses Schedule

**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

**EIN:** 30-6000348

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	51,257	51,257		
BANK FEES				
USCI FUND - PTP K-1 LOSS	40,829			

TY 2014 Other Increases Schedule

**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION  
C/O MICHAEL T RIORDAN TRUSTEE  
**EIN:** 30-6000348

Description	Amount
BOOK-TAX REPORTING DIFFERENCES	-18,339

**TY 2014 Taxes Schedule****Name:** MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

**EIN:** 30-6000348

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	3,415	3,415		
FEDERAL EXCISE TAX	13,721			

# 2014 Tax Information Statement

Page 9 of 16

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0234  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**For noncovered securities (Box 5 is checked) shown on this statement, cost basis information is not being reported to the IRS.**

Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
<b>Long Term Sales Reported on 1099-B</b>										
50 0	COMCAST CORP-A									
20030N101	01/30/2014	02/12/2010	E	2,703 01	767 13		0 00	1,935 88	0 00	0 00
300 0	OMNICARE INC COM									
681904108	01/29/2014	04/10/2008	E	18,594 15	6,028 44		0 00	12,565 71	0 00	0 00
100 0	OMNICARE INC COM									
681904108	01/29/2014	04/10/2008	E	6,235 39	2,009 48		0 00	4,225 91	0 00	0 00
50 0	TE CONNECTIVITY LTD									
H84989104	01/29/2014	09/18/2008	E	2,833 59	1,328 48		0 00	1,505 11	0 00	0 00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>30,366.14</b>	<b>10,133.53</b>		<b>0.00</b>	<b>20,232.61</b>	<b>0.00</b>	<b>0.00</b>

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

# 2014 Tax Information Statement

Page 8 of 14

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0237  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:** FL  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
<b>Short Term Sales Reported on 1099-B</b>										
40.0	ULTA SALON COSMETIC & FRAGRANCE INC									
90384S303	03/20/2014	Various	A	4,175.22	3,846.08		0.00	329.13	0.00	0.00
<b>Total Short Term Sales Reported on 1099-B</b>				<b>4,175.22</b>	<b>3,846.08</b>		<b>0.00</b>	<b>329.13</b>	<b>0.00</b>	<b>0.00</b>

**Report on Form 8949, Part I, with Box A checked**

<b>Long Term Sales Reported on 1099-B</b>										
100.0	ASML HOLDING NV									
N07059210	02/19/2014	Various	D	8,911.83	4,921.00		0.00	3,990.83	0.00	0.00
75.0	FACEBOOK INC-A									
30303M102	03/05/2014	05/17/2012	D	5,380.10	2,850.00		0.00	2,530.10	0.00	0.00
5.0	THE PRICELINE GROUP INC									
741503403	03/20/2014	Various	D	6,484.69	3,295.60		0.00	3,189.09	0.00	0.00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>20,776.62</b>	<b>11,066.60</b>		<b>0.00</b>	<b>9,710.02</b>	<b>0.00</b>	<b>0.00</b>

**Report on Form 8949, Part II, with Box D checked**

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# 2014 Tax Information Statement

Page 9 of 14

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0237  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.

**For noncovered securities (Box 5 is checked) shown on this statement, cost basis information is not being reported to the IRS.**

Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
<b>Long Term Sales Reported on 1099-B</b>										
55.0	ALEXION PHARMACEUTICALS INC									
015351109	02/05/2014	10/06/2010	E	8,550.42	1,839.02		0.00	6,711.40	0.00	0.00
25.0	ALEXION PHARMACEUTICALS INC									
015351109	03/05/2014	10/06/2010	E	4,302.12	835.92		0.00	3,466.20	0.00	0.00
205.0	SALESFORCE COM INC									
79466L302	03/20/2014	02/01/2007	E	12,106.17	2,324.92		0.00	9,781.25	0.00	0.00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>24,958.71</b>	<b>4,999.86</b>		<b>0.00</b>	<b>19,958.85</b>	<b>0.00</b>	<b>0.00</b>

Report on Form 8949, Part II, with Box E checked

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# 2014 Tax Information Statement

Page 8 of 15

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR0241

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
<b>Short Term Sales Reported on 1099-B</b>										
0 004	ROYAL DUTCH SHELL PLC ADR A									
780259206	02/25/2014	Various	A	0 29	0 31		0 00	-0 02	0 00	0 00
0 2533	ROYAL DUTCH SHELL PLC ADR A									
780259206	03/31/2014	Various	A	18 58	18 51		0 00	0 07	0 00	0 00
0 0008	ROYAL DUTCH SHELL PLC ADR A									
780259206	03/31/2014	Various	A	0 05	0 07	W	0 02	0 00	0 00	0 00
<b>Total Short Term Sales Reported on 1099-B</b>				<b>18.92</b>	<b>18.89</b>		<b>0.02</b>	<b>0.05</b>	<b>0.00</b>	<b>0.00</b>

**Report on Form 8949, Part I, with Box A checked**

**Long Term Sales Reported on 1099-B**

14 996	ROYAL DUTCH SHELL PLC ADR A									
780259206	02/25/2014	Various	D	1,098 14	1,087 51		0 00	10 63	0 00	0 00
1166 0	VODAFONE GROUP PLC ADR									
92857W209	02/18/2014	Various	D	43,417 89	32,999 71		0 00	10,418 18	0 00	0 00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>44,516.03</b>	<b>34,087.22</b>		<b>0.00</b>	<b>10,428.81</b>	<b>0.00</b>	<b>0.00</b>

**Report on Form 8949, Part II, with Box D checked**

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# 2014 Tax Information Statement

Page 6 of 12

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR4899

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

(844)221-1939

**Questions?**

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
<b>Short Term Sales Reported on 1099-B</b>										
1412 0	ISHARES 1-3 YEAR TREASURY BOND ETF									
464287457	03/04/2014	02/03/2014	A	119,328 78	119,411 16		0 00	-82 38	0 00	0 00
980 0	ISHARES 3-7 YEAR TREASURY BOND ETF									
464288661	03/04/2014	02/03/2014	A	119,050 64	119,328 58		0 00	-277 94	0 00	0 00
46 0066	ISHARES S & P 500 INDEX FUND									
464287200	02/03/2014	11/01/2013	A	8,118 61	8,138 62		0 00	-20 01	0 00	0 00
597 9934	ISHARES S & P 500 INDEX FUND									
464287200	02/03/2014	11/01/2013	A	105,525 74	105,785 83	W	260 09	0 00	0 00	0 00
888 0	ISHARES TR									
464287507	02/03/2014	Various	A	113,262 57	117,774 60		0 00	-4,512 03	0 00	0 00
2110 0	PROSHARES ULTRA 7-10 YEAR TR									
74347R180	03/04/2014	02/03/2014	A	111,461 22	112,036 54		0 00	-575 32	0 00	0 00
90 0145	PROSHARES ULTRA S & P 500									
74347R107	02/03/2014	11/01/2013	A	8,297 81	8,322 93		0 00	-25 12	0 00	0 00
605 9855	PROSHARES ULTRA S & P 500									
74347R107	02/03/2014	11/01/2013	A	55,861 55	56,030 66	W	169 11	0 00	0 00	0 00

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# 2014 Tax Information Statement

Page 7 of 12

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR4899

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
1104 0	ULTRA MIDCAP400 PROSHARES									
74347R404	02/03/2014	08/29/2013	A	63,263.62	68,706.28		0.00	-5,442.66	0.00	0.00
<b>Total Short Term Sales Reported on 1099-B</b>				<b>704,170.54</b>	<b>715,535.20</b>		<b>429.20</b>	<b>-10,935.46</b>	<b>0.00</b>	<b>0.00</b>

Report on Form 8949, Part I, with Box A checked

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# 2014 Tax Information Statement

Page 7 of 21

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR0238

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
<b>Short Term Sales Reported on 1099-B</b>										
14 0	CARRIZO OIL & GAS INC									
144577103	01/07/2014	11/27/2013	A	595.93	562.49		0.00	33.34	0.00	0.00
22 0	CARRIZO OIL & GAS INC									
144577103	01/07/2014	11/27/2013	A	936.74	833.92		0.00	52.82	0.00	0.00
9 0	CARRIZO OIL & GAS INC									
144577103	01/07/2014	11/27/2013	A	381.59	361.60		0.00	19.99	0.00	0.00
5 0	CARRIZO OIL & GAS INC									
144577103	01/08/2014	11/27/2013	A	212.33	200.89		0.00	11.44	0.00	0.00
40 0	CARRIZO OIL & GAS INC									
144577103	02/20/2014	Various	A	1,788.18	1,587.27		0.00	200.91	0.00	0.00
8 0	CARRIZO OIL & GAS INC									
144577103	02/27/2014	12/06/2013	A	390.39	313.49		0.00	76.90	0.00	0.00
10 0	CORE-MARK HOLDING CO INC									
218681104	02/11/2014	12/05/2013	A	757.99	718.20		0.00	39.78	0.00	0.00
210 0	DOMINION DIAMOND CORP									
257287102	01/10/2014	Various	A	2,904.86	3,102.34		0.00	-197.48	0.00	0.00

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# 2014 Tax Information Statement

Page 8 of 21

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR0238

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
30 0	GATX CORP COM									
361448103	02/25/2014	08/01/2013	A	1,889.78	1,395.37		0.00	494.40	0.00	0.00
<b>Total Short Term Sales Reported on 1099-B</b>				<b>9,857.69</b>	<b>9,125.57</b>		<b>0.00</b>	<b>732.10</b>	<b>0.00</b>	<b>0.00</b>

**Report on Form 8949, Part I, with Box A checked**

**Long Term Sales Reported on 1099-B**

32 0	CARRIZO OIL & GAS INC									
144577103	02/27/2014	09/06/2012	D	1,561.55	882.92		0.00	678.63	0.00	0.00
70 0	CARRIZO OIL & GAS INC									
144577103	03/06/2014	Various	D	3,520.28	1,901.02		0.00	1,619.26	0.00	0.00
30 0	CARRIZO OIL & GAS INC									
144577103	03/17/2014	Various	D	1,486.78	655.01		0.00	831.77	0.00	0.00
10 0	CORE-MARK HOLDING CO INC									
218681104	02/11/2014	11/15/2012	D	757.39	413.80		0.00	342.19	0.00	0.00
20 0	CORE-MARK HOLDING CO INC									
218681104	02/23/2014	11/15/2012	D	1,560.84	831.60		0.00	729.24	0.00	0.00
8 0	DELTIC TIMBER CORP CM									
247850100	01/06/2014	12/12/2011	D	529.95	461.04		0.00	68.91	0.00	0.00

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# 2014 Tax Information Statement

Page 9 of 21

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR0238

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
2 0	DELTA TIMBER CORP CM									
247850100	01/06/2014	12/12/2011	D	133 03	115 26		0 00	17 77	0 00	0 00
70 0	FOOT LOCKER INC									
344849104	01/06/2014	Various	D	2,894 81	1,358 94		0 00	1,535 87	0 00	0 00
15 0	FOOT LOCKER INC									
344849104	01/13/2014	02/22/2011	D	608 65	287 25		0 00	321 40	0 00	0 00
65 0	FOOT LOCKER INC									
344849104	01/13/2014	02/22/2011	D	2,655 82	1,244 73		0 00	1,411 09	0 00	0 00
60 0	FOOT LOCKER INC									
344849104	01/24/2014	02/22/2011	D	2,253 37	1,148 98		0 00	1,104 39	0 00	0 00
42 0	FOOT LOCKER INC									
344849104	01/27/2014	02/22/2011	D	1,606 55	804 29		0 00	802 26	0 00	0 00
19 0	FOOT LOCKER INC									
344849104	01/27/2014	02/22/2011	D	731 72	363 84		0 00	367 88	0 00	0 00
21 0	FOOT LOCKER INC									
344849104	01/30/2014	02/22/2011	D	808 50	402 14		0 00	406 36	0 00	0 00

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# 2014 Tax Information Statement

Page 10 of 21

**Payer's Name and Address:**

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:**

AR0238

**Recipient's Tax ID Number:**

XX-XXX0348

**Payer's Federal ID Number:**

20-8007203

**Payer's State ID Number:**

FL

**State:**

**Questions?**

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

**Recipient's Name and Address:**

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**Covered (Box 5 is not checked)**

Description of property (Box 1a)

Cusip Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
58 0	FOOT LOCKER INC									
344849104	01/30/2014	Various	D	2,237.10	1,109.36		0.00	1,127.74	0.00	0.00
80 0	MARCUS CORP COM									
566330106	01/21/2014	11/15/2012	D	1,085.58	843.35		0.00	242.23	0.00	0.00
110 0	MATERION CORP									
576690101	01/23/2014	Various	D	3,100.16	2,583.43		0.00	516.73	0.00	0.00
40 0	SCHNITZER STL INDS INC-A									
806882106	02/13/2014	Various	D	1,055.00	1,805.44		0.00	-750.44	0.00	0.00
30 0	SCHNITZER STL INDS INC-A									
806882106	02/14/2014	08/07/2012	D	798.50	963.94		0.00	-165.14	0.00	0.00
20 0	SCHNITZER STL INDS INC-A									
806882106	02/20/2014	08/07/2012	D	510.99	642.62		0.00	-131.63	0.00	0.00
20 0	SCHNITZER STL INDS INC-A									
806882106	03/07/2014	11/15/2012	D	524.98	543.00		0.00	-18.02	0.00	0.00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>30,422.45</b>	<b>19,363.96</b>		<b>0.00</b>	<b>11,058.49</b>	<b>0.00</b>	<b>0.00</b>

Report on Form 8949, Part II, with Box D checked

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# 2014 Tax Information Statement

Page 11 of 21

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0238  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums

**For noncovered securities (Box 5 is checked) shown on this statement, cost basis information is not being reported to the IRS.**

Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
<b>Long Term Sales Reported on 1099-B</b>										
9 0	CASEYS GEN STORES INC COM									
147528103	02/05/2014	03/25/2009	E	602.82	240.35		0.00	362.47	0.00	0.00
4 0	CASEYS GEN STORES INC COM									
147528103	02/06/2014	03/25/2009	E	269.62	106.82		0.00	162.80	0.00	0.00
5 0	CASEYS GEN STORES INC COM									
147528103	02/06/2014	03/25/2009	E	335.90	133.53		0.00	202.37	0.00	0.00
5 0	CASEYS GEN STORES INC COM									
147528103	02/07/2014	03/25/2009	E	334.53	133.53		0.00	201.00	0.00	0.00
1 0	CASEYS GEN STORES INC COM									
147528103	02/07/2014	03/25/2009	E	67.40	26.71		0.00	40.69	0.00	0.00
6 0	CASEYS GEN STORES INC COM									
147528103	02/07/2014	Various	E	400.62	157.85		0.00	242.77	0.00	0.00
14 0	CASEYS GEN STORES INC COM									
147528103	02/13/2014	04/01/2009	E	932.17	367.21		0.00	564.96	0.00	0.00

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# 2014 Tax Information Statement

Page 12 of 21

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0238  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

Reported to the IRS is proceeds less commissions and option premiums

**For noncovered securities (Box 5 is checked) shown on this statement, cost basis information is not being reported to the IRS.**

Cost or Other Basis amounts shown with a 'U' are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
10 0	CASEYS GEN STORES INC COM									
147528103	02/13/2014	04/01/2009	E	666.28	262.29		0.00	403.99	0.00	0.00
16 0	CASEYS GEN STORES INC COM									
147528103	02/14/2014	04/01/2009	E	1,069.38	419.67		0.00	649.71	0.00	0.00
35 0	CASEYS GEN STORES INC COM									
147528103	02/21/2014	04/01/2009	E	2,342.58	918.02		0.00	1,424.56	0.00	0.00
1 0	DELTIC TIMBER CORP CM									
247850100	01/06/2014	03/17/2006	E	66.51	54.46		0.00	12.05	0.00	0.00
6 0	DELTIC TIMBER CORP CM									
247850100	01/07/2014	03/17/2006	E	399.47	326.76		0.00	72.71	0.00	0.00
8 0	DELTIC TIMBER CORP CM									
247850100	01/07/2014	Various	E	535.49	434.17		0.00	101.32	0.00	0.00
5 0	DELTIC TIMBER CORP CM									
247850100	01/10/2014	12/21/2006	E	311.52	270.79		0.00	41.03	0.00	0.00
41 0	ENCORE WIRE CORP									
292562105	02/13/2014	07/24/2008	E	2,043.89	777.84		0.00	1,266.05	0.00	0.00
15 0	ENCORE WIRE CORP									
292562105	02/13/2014	07/24/2008	E	748.03	284.58		0.00	463.45	0.00	0.00

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# 2014 Tax Information Statement

Page 13 of 21

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0238  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

Reported to the IRS is proceeds less commissions and option premiums

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Cost or Other Basis amounts shown with a 'U' are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed (Box 1c)	Date Acquired (Box 1b)	Form 8949 Checkbox	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code if any (Box 1f)	Adjustments (Box 1g)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 16)
13 0	ENCORE WIRE CORP									
292562105	02/13/2014	07/24/2008	E	648.42	246.63		0.00	401.79	0.00	0.00
11 0	ENCOPE WIRE CORP									
292562105	02/14/2014	07/24/2008	E	557.84	208.69		0.00	349.15	0.00	0.00
2 0	GATX CORP COM									
361448103	02/25/2014	07/06/2009	E	125.98	50.58		0.00	75.40	0.00	0.00
18 0	GATX CORP COM									
361448103	02/26/2014	07/06/2009	E	1,141.36	455.18		0.00	686.18	0.00	0.00
40 0	GATX CORP COM									
361448103	03/06/2014	07/06/2009	E	2,628.49	1,011.50		0.00	1,616.99	0.00	0.00
9 0	MARCUS CORP COM									
566330106	01/13/2014	09/08/2010	E	117.98	107.13		0.00	10.85	0.00	0.00
60 0	MARCUS CORP COM									
566330106	01/13/2014	09/08/2010	E	782.58	714.23		0.00	68.45	0.00	0.00
116 0	MARCUS CORP COM									
566330106	01/21/2014	Various	E	1,574.09	1,247.82		0.00	326.27	0.00	0.00
50 0	RAYMOND JAMES FINL INC COM									
754730109	01/14/2014	08/31/2009	E	2,653.88	1,137.63		0.00	1,516.25	0.00	0.00

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# 2014 Tax Information Statement

Page 14 of 21

**Payer's Name and Address:**  
STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

**Account Number:** AR0238  
**Recipient's Tax ID Number:** XX-XXX0348  
**Payer's Federal ID Number:** 20-8007203  
**Payer's State ID Number:**  
**State:** FL  
**Questions?** (844)221-1939  
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**  
MICHAEL T. RIORDAN FAMILY FDTN  
MICHAEL T. RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

Reported to the IRS is proceeds less commissions and option premiums

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Cost or Other Basis amounts shown with a 'U' are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
11 0	RAYMOND JAMES FINL INC COM									
754730109	02/04/2014	08/31/2009	E	538.99	250.28		0.00	288.71	0.00	0.00
20 0	RAYMOND JAMES FINL INC COM									
754730109	02/04/2014	Various	E	974.54	454.50		0.00	520.04	0.00	0.00
19 0	RAYMOND JAMES FINL INC COM									
754730109	02/04/2014	08/19/2009	E	930.39	421.79		0.00	508.60	0.00	0.00
110 0	RAYMOND JAMES FINL INC COM									
754730109	02/05/2014	08/19/2009	E	5,316.71	2,441.93		0.00	2,874.78	0.00	0.00
40 0	SCHNITZER STL INDS INC-A									
806882106	02/20/2014	11/10/2008	E	1,021.97	1,098.06		0.00	-76.09	0.00	0.00
80 0	SCHNITZER STL INDS INC-A									
806882106	03/07/2014	12/02/2008	E	2,099.91	2,005.72		0.00	94.19	0.00	0.00
1 0	VAIL RESORTS INC COM									
91879Q109	03/14/2014	07/21/2008	E	69.48	37.56		0.00	31.92	0.00	0.00
29 0	VAIL RESORTS INC COM									
91879Q109	03/14/2014	07/21/2008	E	2,013.93	1,089.22		0.00	929.71	0.00	0.00
26 0	VAIL RESORTS INC COM									
91879Q109	03/17/2014	05/28/2009	E	1,838.72	709.38		0.00	1,129.34	0.00	0.00

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# 2014 Tax Information Statement

Page 15 of 21

## Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY  
WMS TAX DEPARTMENT  
PO BOX 5300  
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

XX-XXX0348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(844)221-1939

☐ Corrected

☐ 2nd TIN notice

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN  
MICHAEL T RIORDAN, TRUSTEE  
W3563 MEREDITH LANE  
GREEN LAKE, WI 54941

Reported to the IRS is proceeds less commissions and option premiums

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Cost or Other Basis amounts shown with a 'U' are unknown or unsubstantiated

Description of property (Box 1a)

CUSIP Number	Date Sold or Disposed	Date Acquired	Form 8949 Checkbox	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1c)	(Box 1b)		(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)		(Box 4)	(Box 16)
14 0	VAIL RESORTS INC COM									
91879Q109	03/18/2014	05/28/2009	E	993.57	381.97		0.00	611.60	0.00	0.00
<b>Total Long Term Sales Reported on 1099-B</b>				<b>37,160.44</b>	<b>18,984.38</b>		<b>0.00</b>	<b>18,176.06</b>	<b>0.00</b>	<b>0.00</b>

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

COPY

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QUU-001118

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 02/17/2015

**IMPORTANT MESSAGE:** Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March. Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach: January 31, February 17, February 28 and March 16. As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit [mytaxhandbook.com](http://mytaxhandbook.com).

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

			Date	Date Sold or		Cost or	Adjustments	
Disposition		Quantity	Acquired	Disposed	Proceeds	Other Basis	D=Market Discount	Realized
Transaction	Disposition Method	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	O=Option Premium	Gain or
W=Wash Sale Loss								
(Loss)								
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3)								
Description (Box 1a): FPA CRESCENT PORTFOL IO					CUSIP: 30254T759			
SELL	HIGH COST	711 206	12/18/2013	10/27/2014	23,955 74	22,993 30 <sup>13</sup>		962 44
SELL	HIGH COST	118 324	07/02/2014	10/27/2014	3,985 54	4,072 72		(87 18)
SELL	HIGH COST	53 246	07/02/2014	10/27/2014	1,793 50	1,832 72		(39 22)
SALE DATE TOTAL		882 776	VARIOUS	10/27/2014	29,734 78	28,898 74		836 04

**Description (Box 1a): HARBOR SMALL-CAP GRO WTH FUND****CUSIP: 411511868**

SELL	HIGH COST	5,648 606	12/17/2013	05/22/2014	80,303 10	80,492 64 <sup>13</sup>		(189 54)
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**Description (Box 1a): PIMCO TOTAL RETURN FUND INSTITUTIONAL CL ASS****CUSIP: 693390700**

SELL	VERSUS PURCHASE	42 611	03/31/2014	09/29/2014	463 18	458 97		4 21
SELL	VERSUS PURCHASE	338 215	04/30/2014	09/29/2014	3,676 35	3,666 25		10 10

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QUU-001118

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 02/17/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): PIMCO TOTAL RETURN F UND INSTITUTIONAL CL ASS</b>					<b>CUSIP: 693390700 (Continued)</b>			
SELL	VERSUS PURCHASE	430 356	05/30/2014	09/29/2014	4,677 91	4,712 40		(34 49)
SELL	VERSUS PURCHASE	349 618	06/30/2014	09/29/2014	3,800 30	3,835 31		(35 01)
SELL	VERSUS PURCHASE	389 253	07/31/2014	09/29/2014	4,231 13	4,238 97		(7 84)
SELL	VERSUS PURCHASE	365 507	08/29/2014	09/29/2014	3,973 01	4,016 92		(43 91)
SALE DATE TOTAL		1,915 560	VARIOUS	09/29/2014	20,821 88	20,928 82		(106 94)
<b>Description (Box 1a): TOUCHSTONE SANDS CAP ITAL INST'L GROWTH F UND</b>					<b>CUSIP: 891551104</b>			
SELL	HIGH COST	5,411 255	05/29/2014	10/27/2014	124,975 00	119,699 81		5,275 19
<b>Short-Term Covered Total</b>					255,834 76	250,020 01		5,814 75

Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked

Covered (Box 3)

<b>Description (Box 1a): HARBOR SMALL-CAP GRO WTH FUND</b>					<b>CUSIP: 411511868</b>			
SELL	HIGH COST	1,385 501	12/17/2012	05/22/2014	19,696 90	16,168 80 <sup>13</sup>		3,528 10
SELL	HIGH COST	859 845	11/13/2012	10/30/2014	13,146 44	10,000 00 <sup>13</sup>		3,146 44
SELL	HIGH COST	347 296	12/17/2012	10/30/2014	5,309 92	4,052 94 <sup>13</sup>		1,256 98
SALE DATE TOTAL		1,207 141	VARIOUS	10/30/2014	18,456 36	14,052 94		4,403 42
SECURITY TOTAL					38,153 26	30,221 74		7,931 52

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QUU-001118

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 02/17/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): PIMCO TOTAL RETURN F UND INSTITUTIONAL CL ASS</b>					<b>CUSIP: 693390700</b>			
SELL	VERSUS PURCHASE	9,787 077	03/08/2012	09/29/2014	106,384 23	108,832 30 <sup>13</sup>		(2,448 07)
<b>Long-Term Covered Total</b>					144,537 49	139,054 04		5,483 45
<b>Covered Total</b>					400,372 25	389,074 05		11,298 20

Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked

Noncovered (Box 5)

<b>Description (Box 1a): CREDIT SUISSE COMMOD ITY RETURN STRATEGY FUND CLASS I</b>					<b>CUSIP: 22544R305</b>			
SELL	HIGH COST	26,738 428	05/11/2011	06/24/2014	208,534 77	248,400 00 <sup>13</sup>		(39,865 23)
SELL	HIGH COST	41 098	12/16/2011	06/24/2014	320 53	327 55 <sup>13</sup>		(7 02)
SALE DATE TOTAL		26,779 526	VARIOUS	06/24/2014	208,855 30	248,727 55		(39,872 25)
<b>Description (Box 1a): FPA CRESCENT PORTFOL IO</b>					<b>CUSIP: 30254T759</b>			
SELL	HIGH COST	2,827 524	02/28/2011	10/27/2014	95,240 22	78,265 86 <sup>13</sup>		16,974 36
<b>Description (Box 1a): HARBOR SMALL-CAP GRO WTH FUND</b>					<b>CUSIP: 411511868</b>			
SELL	HIGH COST	35,277 516	12/16/2011	10/30/2014	539,369 05	375,000 00 <sup>13</sup>		164,369 05
<b>Description (Box 1a): PIMCO TOTAL RETURN F UND INSTITUTIONAL CL ASS</b>					<b>CUSIP: 693390700</b>			
SELL	VERSUS PURCHASE	103,768 589	06/05/2002	09/29/2014	1,127,950 78	1,103,826 58 <sup>13</sup>		24,124 20
SELL	VERSUS PURCHASE	28,436 019	11/30/2006	09/29/2014	309,095 75	299,905 71 <sup>13</sup>		9,190 04

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QUU-001118

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 02/17/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked								
Noncovered (Box 5) (Continued)								
<b>Description (Box 1a): PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS</b>					<b>CUSIP: 693390700 (Continued)</b>			
SELL	VERSUS PURCHASE	29,926.335	02/25/2011	09/29/2014	325,295.29	325,000.00 <sup>13</sup>		295.29
SELL	VERSUS PURCHASE	14,459.837	05/11/2011	09/29/2014	157,176.51	159,636.60 <sup>13</sup>		(2,460.09)
SALE DATE TOTAL		176,590.780	VARIOUS	09/29/2014	1,919,518.33	1,888,368.89		31,149.44
<b>Long-Term Noncovered Total</b>					2,762,982.90	2,590,362.30		172,620.60
<b>Noncovered Total</b>					2,762,982.90	2,590,362.30		172,620.60
<b>Total</b>					3,163,355.15	2,979,436.35		183,918.80

<sup>13</sup> The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information

## IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short term and long term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year End Statement, the date of acquisition, cost or other basis, type of gain or loss (short term or long term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

**Type of Gain or Loss (Box 2)** The section headings within the 1099-B indicate the type of gain or loss for the transactions, short term or long term.

**Covered (Box 3) or Noncovered (Box 5) Security** The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

**Description and Quantity (Box 1a)** Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

**CUSIP** Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

**Disposition Transaction** This column will denote the type of transaction, for example, SELL.

**Disposition Method** The method used to select which lot will be disposed, for example, first in first out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax lot disposition method.

**Date Acquired (Box 1b)** This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

**Date Sold or Disposed (Box 1c)** Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale.

**Proceeds (Box 1d)** Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums). Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.



**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number:** QWV-001162Recipient's Identification  
Number: \*\*-\*\*\*0348**2014 TAX and  
YEAR-END STATEMENT  
Revised Statement as of 03/16/2015**

**IMPORTANT MESSAGE:** Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March. Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach: January 31, February 17, February 28 and March 16. As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com.

**Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)**

	Amount
Advisory Fees .....	(14,789.04)

**2014 Form 1099-B**      **Proceeds From Broker and Barter Exchange Transactions**      **OMB No. 1545-0715**  
 (For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
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**Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.****Covered (Box 3)**

<b>Description (Box 1a):</b> ROWAN COMPANIES PLC SHS CLASS A ISIN#GB0 0B6SLMV12					<b>CUSIP:</b> G7665A101			
SELL	HIGH COST	75	05/05/2014	05/22/2014	2,252.69	2,339.33	86.64 <sup>W</sup>	0.00
<b>Description (Box 1a):</b> TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182					<b>CUSIP:</b> H84989104			
SELL	HIGH COST	80	10/09/2014	10/27/2014	4,503.25	4,391.02		112.23
<b>Description (Box 1a):</b> AFLAC INC COM					<b>CUSIP:</b> 001055102			
SELL	HIGH COST	75	08/04/2014	10/27/2014	4,410.28	4,419.92		(9.64)

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
<b>Description (Box 1a):</b> AMERICAN INTL GROUP INC COM NEW					<b>CUSIP:</b> 026874784			
SELL	HIGH COST	50	10/09/2014	10/27/2014	2,597.12	2,519.13		77.99
<b>Description (Box 1a):</b> BRUKER CORP COM					<b>CUSIP:</b> 116794108			
SELL	HIGH COST	325	08/26/2014	10/27/2014	6,539.92	6,605.63		(65.71)
<b>Description (Box 1a):</b> CALPINE CORP COM NEW					<b>CUSIP:</b> 131347304			
SELL	HIGH COST	100	08/25/2014	10/27/2014	2,157.18	2,271.00		(113.82)
SELL	HIGH COST	125	08/25/2014	10/27/2014	2,696.47	2,835.63		(139.16)
<b>SALE DATE TOTAL</b>		<b>225</b>	<b>VARIOUS</b>	<b>10/27/2014</b>	<b>4,853.65</b>	<b>5,106.63</b>		<b>(252.98)</b>
<b>Description (Box 1a):</b> CITIGROUP INC COM NE W ISIN#US1729674242					<b>CUSIP:</b> 172967424			
SELL	HIGH COST	100	02/14/2014	05/22/2014	4,702.14	4,960.61	258.47 <sup>W</sup>	0.00
SELL	HIGH COST	75	02/14/2014	10/27/2014	3,864.99	3,720.46		144.53
SELL	HIGH COST	100	05/01/2014	10/27/2014	5,153.33	5,037.45		115.88
Wash sale: 97 day(s) added to holding period								
<b>SALE DATE TOTAL</b>		<b>175</b>	<b>VARIOUS</b>	<b>10/27/2014</b>	<b>9,018.32</b>	<b>8,757.91</b>		<b>260.41</b>
<b>SECURITY TOTAL</b>					<b>13,720.46</b>	<b>13,718.52</b>	<b>258.47 <sup>W</sup></b>	<b>260.41</b>
<b>Description (Box 1a):</b> DOVER CORP COM					<b>CUSIP:</b> 260003108			
SELL	HIGH COST	25	10/09/2014	10/27/2014	1,941.32	1,908.79		32.53

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
<b>Description (Box 1a):</b> EXXON MOBIL CORP COM					<b>CUSIP:</b> 30231G102			
SELL	HIGH COST	25	10/09/2014	10/27/2014	2,337.37	2,300.56		36.81
<b>Description (Box 1a):</b> GENERAL MTRS CO COM					<b>CUSIP:</b> 37045V100			
SELL	HIGH COST	125	01/29/2014	10/27/2014	3,776.35	4,552.99	621.31 W	(155.33)
<b>Description (Box 1a):</b> INTERPUBLIC GROUP CO S INC COM					<b>CUSIP:</b> 460690100			
SELL	HIGH COST	425	07/31/2014	10/27/2014	7,924.32	8,422.91	263.96 W	(234.63)
<b>Description (Box 1a):</b> MANITOWOC INC					<b>CUSIP:</b> 563571108			
SELL	HIGH COST	325	08/06/2013	05/22/2014	8,781.38	6,577.12		2,204.26
<b>Description (Box 1a):</b> ORACLE CORP COM					<b>CUSIP:</b> 68389X105			
SELL	HIGH COST	100	07/12/2013	05/22/2014	4,148.26	3,171.02		977.24
SELL	HIGH COST	100	10/09/2014	10/27/2014	3,843.66	3,865.67		(22.01)
<b>SECURITY TOTAL</b>					<b>7,991.92</b>	<b>7,036.69</b>		<b>955.23</b>
<b>Description (Box 1a):</b> UNILEVER PLC SPON AD R NEW ISIN#US9047677 045					<b>CUSIP:</b> 904767704			
SELL	HIGH COST	100	12/13/2013	05/22/2014	4,482.18	3,917.65		564.53
SELL	HIGH COST	75	10/09/2014	10/27/2014	2,960.55	3,065.02		(104.47)
<b>SECURITY TOTAL</b>					<b>7,442.73</b>	<b>6,982.67</b>		<b>460.06</b>

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
Description (Box 1a): VISA INC COM CL A					CUSIP: 92826C839			
SELL	HIGH COST	5	07/30/2014	10/27/2014	1,067.50	1,069.80		(2.30)
<b>Short-Term Covered Total</b>					<b>80,140.26</b>	<b>77,951.71</b>	<b>1,230.38<sup>W</sup></b>	<b>3,418.93</b>
<b>Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.</b>								
<b>Covered (Box 3)</b>								
Description (Box 1a): AFLAC INC COM					CUSIP: 001055102			
SELL	HIGH COST	25	05/02/2012	10/27/2014	1,470.09	1,124.78		345.31
Description (Box 1a): ADVANCE AUTO PTS INC COM					CUSIP: 00751Y106			
SELL	HIGH COST	100	03/20/2013	05/22/2014	12,237.53	8,038.71		4,198.82
SELL	HIGH COST	45	03/20/2013	10/27/2014	6,487.27	3,617.42		2,869.85
<b>SECURITY TOTAL</b>					<b>18,724.80</b>	<b>11,656.13</b>		<b>7,068.67</b>
Description (Box 1a): AMERICAN INTL GROUP INC COM NEW					CUSIP: 026874784			
SELL	HIGH COST	100	12/05/2012	05/22/2014	5,345.31	3,391.48		1,953.83
SELL	HIGH COST	75	12/05/2012	10/27/2014	3,895.67	2,543.61		1,352.06
<b>SECURITY TOTAL</b>					<b>9,240.98</b>	<b>5,935.09</b>		<b>3,305.89</b>

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
<b>Description (Box 1a): BAKER HUGHES INC COM</b>					<b>CUSIP: 057224107</b>			
SELL	HIGH COST	450	10/09/2012	05/05/2014	31,574.22	20,210.04		11,364.18
SELL	HIGH COST	50	10/09/2012	05/22/2014	3,473.83	2,245.56		1,228.27
<b>SECURITY TOTAL</b>					<b>35,048.05</b>	<b>22,455.60</b>		<b>12,592.45</b>
<b>Description (Box 1a): BRUKER CORP COM</b>					<b>CUSIP: 116794108</b>			
SELL	HIGH COST	100	10/10/2012	05/22/2014	2,062.82	1,288.50		774.32
SELL	HIGH COST	175	10/10/2012	05/22/2014	3,609.93	2,248.66		1,361.27
<b>SALE DATE TOTAL</b>					<b>5,672.75</b>	<b>3,537.16</b>		<b>2,135.59</b>
<b>Description (Box 1a): CENOVUS ENERGY INC C OM ISIN#CA15135U1093</b>					<b>CUSIP: 15135U109</b>			
SELL	HIGH COST	200	08/22/2012	08/25/2014	6,123.02	6,695.04		(572.02)
SELL	HIGH COST	100	11/15/2012	08/25/2014	3,061.51	3,254.86		(193.35)
<b>SALE DATE TOTAL</b>					<b>9,184.53</b>	<b>9,949.90</b>		<b>(765.37)</b>
<b>Description (Box 1a): COMERICA INC COM</b>					<b>CUSIP: 200340107</b>			
SELL	HIGH COST	150	04/26/2013	05/22/2014	6,995.08	5,365.52		1,629.56
SELL	HIGH COST	25	04/26/2013	10/27/2014	1,136.59	894.25		242.34
<b>SECURITY TOTAL</b>					<b>8,131.67</b>	<b>6,259.77</b>		<b>1,871.90</b>

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
<b>Description (Box 1a):</b> EXXON MOBIL CORP COM					<b>CUSIP:</b> 30231G102			
SELL	HIGH COST	50	11/15/2012	05/22/2014	5,066.18	4,324.00		742.18
<b>Description (Box 1a):</b> FEDEX CORP COM					<b>CUSIP:</b> 31428X106			
SELL	HIGH COST	25	06/22/2012	05/22/2014	3,473.12	2,260.74		1,212.38
SELL	HIGH COST	70	06/22/2012	10/27/2014	11,526.99	6,330.09		5,196.90
<b>SECURITY TOTAL</b>					<b>15,000.11</b>	<b>8,590.83</b>		<b>6,409.28</b>
<b>Description (Box 1a):</b> GREIF INC CL A					<b>CUSIP:</b> 397624107			
SELL	HIGH COST	75	02/05/2013	05/22/2014	4,019.04	3,598.11		420.93
SELL	HIGH COST	400	12/21/2012	06/05/2014	21,802.92	18,131.08		3,671.84
SELL	HIGH COST	100	02/05/2013	06/05/2014	5,430.38	4,797.48		632.90
SELL	HIGH COST	275	02/05/2013	06/05/2014	14,989.50	13,193.07		1,796.43
<b>SALE DATE TOTAL</b>					<b>42,222.80</b>	<b>36,121.63</b>		<b>6,101.17</b>
<b>SECURITY TOTAL</b>					<b>46,241.84</b>	<b>39,719.74</b>		<b>6,522.10</b>
<b>Description (Box 1a):</b> INTEL CORP COM					<b>CUSIP:</b> 458140100			
SELL	HIGH COST	175	05/03/2011	05/22/2014	4,564.74	4,035.94		528.80
SELL	HIGH COST	25	05/03/2011	07/24/2014	854.63	576.56		278.07
<b>SECURITY TOTAL</b>					<b>5,419.37</b>	<b>4,612.50</b>		<b>806.87</b>

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

**2014 Form 1099-B**      **Proceeds From Broker and Barter Exchange Transactions**      **OMB No. 1545-0715 (Continued)**  
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.</b>								
<b>Covered (Box 3) (Continued)</b>								
<b>Description (Box 1a):</b> JP MORGAN CHASE & CO COM ISIN#US46625H10 05					<b>CUSIP:</b> 46625H100			
SELL	HIGH COST	125	09/19/2011	05/22/2014	6,804.27	4,098.21		2,706.06
SELL	HIGH COST	75	09/19/2011	10/27/2014	4,402.21	2,458.93		1,943.28
<b>SECURITY TOTAL</b>					<b>11,206.48</b>	<b>6,557.14</b>		<b>4,649.34</b>
<b>Description (Box 1a):</b> MANITOWOC INC					<b>CUSIP:</b> 563571108			
SELL	HIGH COST	775	08/06/2013	08/29/2014	22,530.61	15,683.91		6,846.70
<b>Description (Box 1a):</b> ORACLE CORP COM					<b>CUSIP:</b> 68389X105			
SELL	HIGH COST	200	11/15/2012	05/22/2014	8,296.52	5,954.50		2,342.02
<b>Description (Box 1a):</b> VISA INC COM CL A					<b>CUSIP:</b> 92826C839			
SELL	HIGH COST	25	01/12/2011	05/22/2014	5,223.27	1,811.49		3,411.78
SELL	HIGH COST	20	01/12/2011	10/27/2014	4,270.00	1,446.20		2,823.80
<b>SECURITY TOTAL</b>					<b>9,493.27</b>	<b>3,257.69</b>		<b>6,235.58</b>
<b>Description (Box 1a):</b> WELLS FARGO & CO NEW COM					<b>CUSIP:</b> 949746101			
SELL	HIGH COST	350	04/28/2011	05/22/2014	17,473.30	10,253.25		7,220.05
SELL	HIGH COST	100	04/28/2011	10/27/2014	5,117.38	2,929.50		2,187.88
<b>SECURITY TOTAL</b>					<b>22,590.68</b>	<b>13,182.75</b>		<b>9,407.93</b>
<b>Long-Term Covered Total</b>					<b>233,317.93</b>	<b>162,801.49</b>		<b>70,516.44</b>

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

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Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Covered Total					313,458.19	240,753.20	1,230.38 <sup>W</sup>	73,935.37

**Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.**

**Noncovered (Box 5)**

<b>Description (Box 1a):</b> COVIDIEN PLC SHS NEW ISIN#IE00B68SQD29					<b>CUSIP:</b> G2554F113			
SELL	HIGH COST	345	10/29/2008	07/08/2014	31,278.73	13,349.52		17,929.21
SELL	HIGH COST	40	10/29/2008	10/27/2014	3,577.32	1,547.77		2,029.55
<b>SECURITY TOTAL</b>					<b>34,856.05</b>	<b>14,897.29</b>		<b>19,958.76</b>

<b>Description (Box 1a):</b> TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182					<b>CUSIP:</b> H84989104			
SELL	HIGH COST	75	09/18/2008	05/22/2014	4,286.65	1,992.73		2,293.92
SELL	HIGH COST	40	09/18/2008	10/27/2014	2,251.63	1,062.79		1,188.84
<b>SECURITY TOTAL</b>					<b>6,538.28</b>	<b>3,055.52</b>		<b>3,482.76</b>

<b>Description (Box 1a):</b> APPLIED MATERIALS IN C					<b>CUSIP:</b> 038222105			
SELL	HIGH COST	400	02/10/2010	05/22/2014	7,943.22	4,856.68		3,086.54
SELL	HIGH COST	300	02/10/2010	10/27/2014	6,321.61	3,642.51		2,679.10
<b>SECURITY TOTAL</b>					<b>14,264.83</b>	<b>8,499.19</b>		<b>5,765.64</b>

<b>Description (Box 1a):</b> CENOVUS ENERGY INC C OM ISIN#CA15135U1093					<b>CUSIP:</b> 15135U109			
SELL	HIGH COST	75	02/10/2010	08/25/2014	2,296.13	1,747.37		548.76
SELL	HIGH COST	400	01/14/2009	09/09/2014	12,171.09	8,417.82		3,753.27
SELL	HIGH COST	325	02/10/2010	09/09/2014	9,889.01	7,571.91		2,317.10



Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
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Account Number: QWV-001162

Recipient's Identification  
Number: \*\*-\*\*\*0348

**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

**2014 Form 1099-B**      **Proceeds From Broker and Barter Exchange Transactions**      **OMB No. 1545-0715 (Continued)**  
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.</b>								
<b>Noncovered (Box 5) (Continued)</b>								
Description (Box 1a): CENOVUS ENERGY INC C OM ISIN#CA15135U1093					CUSIP: 15135U109 (Continued)			
SALE DATE TOTAL		725	VARIOUS	09/09/2014	22,060.10	15,989.73		6,070.37
SECURITY TOTAL					24,356.23	17,737.10		6,619.13
Description (Box 1a): COMCAST CORP CL A					CUSIP: 20030N101			
SELL	HIGH COST	25	02/12/2010	05/22/2014	1,283.24	383.56		899.68
SELL	HIGH COST	100	07/29/2009	10/27/2014	5,398.14	1,463.57		3,934.57
SELL	HIGH COST	25	02/12/2010	10/27/2014	1,349.53	383.56		965.97
SALE DATE TOTAL		125	VARIOUS	10/27/2014	6,747.67	1,847.13		4,900.54
SECURITY TOTAL					8,030.91	2,230.69		5,800.22
Description (Box 1a): DOVER CORP COM					CUSIP: 260003108			
SELL	HIGH COST	250	10/09/2008	07/24/2014	22,257.03	7,013.48		15,243.55
Description (Box 1a): EXXON MOBIL CORP COM					CUSIP: 30231G102			
SELL	HIGH COST	5	10/29/2008	10/27/2014	467.48	237.66		229.82
Description (Box 1a): INTEL CORP COM					CUSIP: 458140100			
SELL	HIGH COST	275	04/10/2008	07/24/2014	9,400.91	5,956.03		3,444.88
SELL	HIGH COST	175	04/10/2008	10/27/2014	5,782.31	3,790.20		1,992.11
SECURITY TOTAL					15,183.22	9,746.23		5,436.99

Recipient's Name and Address:

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**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015

<b>2014 Form 1099-B</b>	<b>Proceeds From Broker and Barter Exchange Transactions</b>	<b>OMB No. 1545-0715 (Continued)</b>
(For individuals, report details on Form 8949)		

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.</b>								
<b>Noncovered (Box 5) (Continued)</b>								
<b>Description (Box 1a):</b> JP MORGAN CHASE & CO COM ISIN#US46625H10 05					<b>CUSIP:</b> 46625H100			
SELL	HIGH COST	50	01/07/2009	10/27/2014	2,934.81	1,450.32		1,484.49
<b>Description (Box 1a):</b> LIBERTY INTERACTIVE CORP INTERACTIVE COM SER A					<b>CUSIP:</b> 53071M104			
SELL	HIGH COST	100	08/11/2010	05/22/2014	2,801.93	966.84		1,835.09
<b>Description (Box 1a):</b> LIBERTY INTERACTIVE CORP LIBERTY VENTURE S COM SER A					<b>CUSIP:</b> 53071M880			
CASH IN LIEU	FIRST IN FIRST OUT	.255	01/07/2009	10/20/2014	7.69	0.81		6.87
<b>Description (Box 1a):</b> MEDTRONIC INC					<b>CUSIP:</b> 585055106			
SELL	HIGH COST	50	04/10/2008	05/22/2014	2,976.85	2,485.96		490.89
SELL	HIGH COST	125	04/10/2008	10/27/2014	8,300.43	6,214.91		2,085.52
<b>SECURITY TOTAL</b>					<b>11,277.28</b>	<b>8,700.87</b>		<b>2,576.41</b>
<b>Description (Box 1a):</b> ORACLE CORP COM					<b>CUSIP:</b> 68389X105			
SELL	HIGH COST	75	08/27/2010	05/22/2014	3,111.19	1,676.46		1,434.73
SELL	HIGH COST	50	08/27/2010	10/27/2014	1,921.83	1,117.64		804.19
<b>SECURITY TOTAL</b>					<b>5,033.02</b>	<b>2,794.10</b>		<b>2,238.92</b>
<b>Description (Box 1a):</b> TEXAS INSTRUMENTS IN C					<b>CUSIP:</b> 882508104			
SELL	HIGH COST	125	07/23/2008	05/22/2014	5,756.12	3,086.25		2,669.87
SELL	HIGH COST	75	07/23/2008	10/27/2014	3,592.04	1,851.75		1,740.29

**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number:** QWV-001162Recipient's Identification  
Number: \*\*-\*\*\*0348**2014 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/16/2015**2014 Form 1099-B**      **Proceeds From Broker and Barter Exchange Transactions**      **OMB No. 1545-0715 (Continued)**  
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 5) (Continued)								
Description (Box 1a): TEXAS INSTRUMENTS IN C					CUSIP: 882508104 (Continued)			
SECURITY TOTAL					9,348.16	4,938.00		4,410.16
Description (Box 1a): UNILEVER PLC SPON AD R NEW ISIN#US9047677 045					CUSIP: 904767704			
SELL	HIGH COST	75	03/26/2010	05/22/2014	3,361.64	2,136.89		1,224.75
Long-Term Noncovered Total					160,718.56	84,404.99		76,313.56
Noncovered Total					160,718.56	84,404.99		76,313.56
Total					474,176.75	325,158.19	1,230.38 <sup>W</sup> ✓	150,248.93

**IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:**

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

**Type of Gain or Loss (Box 2).** The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term.

**Covered (Box 3) or Noncovered (Box 5) Security.** The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

**Description and Quantity (Box 1a).** Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

**CUSIP.** Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

**Disposition Transaction.** This column will denote the type of transaction, for example, SELL.

**Disposition Method.** The method used to select which lot will be disposed, for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

**Date Acquired (Box 1b).** This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

**Date Sold or Disposed (Box 1c).** Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale.

**Proceeds (Box 1d).** Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums). Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015**

**IMPORTANT MESSAGE:** Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March. Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach: January 31, February 17, February 28 and March 16. As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit [mytaxhandbook.com](http://mytaxhandbook.com).

**Summary Of Transactions We Do Not Report To The IRS**

(See instructions for additional information)

	Amount
<b>Advisory Fees</b> .....	<b>(9,256.61)</b>

**2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715**

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)

Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked

Covered (Box 3)

**Description (Box 1a): ENSTAR GROUP LIMITED ORD SHS ISIN#BMG307 5P1014****CUSIP: G3075P101**

SELL	HIGH COST	3	03/10/2014	10/27/2014	414.26	400.45		13.81
SELL	HIGH COST	3	03/12/2014	10/27/2014	414.26	409.39		4.87
SELL	HIGH COST	4	03/13/2014	10/27/2014	552.35	554.67		(2.32)
SELL	HIGH COST	10	03/14/2014	10/27/2014	1,380.87	1,376.70		4.17
SALE DATE TOTAL		20	VARIOUS	10/27/2014	2,761.74	2,741.21		20.53

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
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Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked

Covered (Box 3) (Continued)

**Description (Box 1a): ALEXANDER & BALDWIN INC NEW COM****CUSIP: 014491104**

SELL	HIGH COST	50	11/26/2013	10/27/2014	1,903.23	1,879.64		23.59
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**Description (Box 1a): ANALOGIC CORP NEW CO M PAR 0.05****CUSIP: 032657207**

SELL	HIGH COST	6	09/11/2014	10/27/2014	425.29	433.64	8.35 <b>W</b>	0.00
SELL	HIGH COST	1	09/11/2014	10/27/2014	70.88	71.92	1.04 <b>W</b>	0.00
SELL	HIGH COST	2	09/12/2014	10/27/2014	141.76	143.32	1.56 <b>W</b>	0.00
SELL	HIGH COST	3	09/15/2014	10/27/2014	212.65	214.77	2.12 <b>W</b>	0.00
SELL	HIGH COST	15	09/15/2014	10/27/2014	1,063.23	1,071.25	6.95 <b>W</b>	(1.07)
SELL	HIGH COST	20	09/16/2014	10/27/2014	1,417.64	1,369.50		48.14
SELL	HIGH COST	8	09/17/2014	10/27/2014	567.05	542.30		24.75
SELL	HIGH COST	40	09/17/2014	10/27/2014	2,835.27	2,700.02		135.25
SELL	HIGH COST	20	09/19/2014	10/27/2014	1,417.64	1,340.40		77.24
SELL	HIGH COST	25	09/26/2014	10/27/2014	1,772.04	1,596.00		176.04
SELL	HIGH COST	6	10/06/2014	10/27/2014	425.29	423.14		2.15
	Wash sale 46 day(s) added to holding period							
SELL	HIGH COST	1	10/06/2014	10/27/2014	70.88	70.17		0.71
	Wash sale 46 day(s) added to holding period							
SELL	HIGH COST	2	10/06/2014	10/27/2014	141.77	139.82		1.95

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): ANALOGIC CORP NEW CO M PAR 0.05</b>					<b>CUSIP: 032657207</b> (Continued)			
	Wash sale 45 day(s) added to holding period							
SELL	HIGH COST	3	10/08/2014	10/27/2014	212 65	209 33		3 32
	Wash sale 42 day(s) added to holding period							
SELL	HIGH COST	13	10/08/2014	10/27/2014	921 46	904 86		16 60
	Wash sale 42 day(s) added to holding period							
SALE DATE TOTAL		165	VARIOUS	10/27/2014	11,695 50	11,230 44	20 02 W	485 08
<b>Description (Box 1a): ATLANTIC TELE NETWORK K INC COM NEW</b>					<b>CUSIP: 049079205</b>			
SELL	HIGH COST	6	10/17/2014	10/27/2014	366 47	356 60		9 87
SELL	HIGH COST	8	10/17/2014	10/27/2014	488 63	474 96		13 67
SALE DATE TOTAL		14	VARIOUS	10/27/2014	855 10	831 56		23 54
<b>Description (Box 1a): BANKUNITED INC COM</b>					<b>CUSIP: 06652K103</b>			
SELL	HIGH COST	60	02/14/2014	10/27/2014	1,729 40	1,922 78		(193 38)
<b>Description (Box 1a): BIGLARI HLDGS INC CL A</b>					<b>CUSIP: 08986R101</b>			
SELL	HIGH COST	10	12/11/2013	10/27/2014	3,266 32	4,822 16		(1,555 84)
SELL	HIGH COST	5	12/31/2013	10/27/2014	1,633 16	2,545 65		(912 49)
SELL	HIGH COST	7	09/05/2014	10/27/2014	2,286 41	1,750 00		536 41

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FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

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Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): BIGLARI HLDGS INC CL A</b>					<b>CUSIP: 08986R101</b> (Continued)			
SALE DATE TOTAL		22	VARIOUS	10/27/2014	7,185 89	9,117 81		(1,931 92)
<b>Description (Box 1a): BOISE CASCADE CO COM</b>					<b>CUSIP: 09739D100</b>			
SELL	HIGH COST	131	11/07/2013	10/27/2014	4,452 05	2,947 50		1,504 55
SELL	HIGH COST	60	01/23/2014	10/27/2014	2,039 11	1,882 32		156 79
SALE DATE TOTAL		191	VARIOUS	10/27/2014	6,491 16	4,829 82		1,661 34
<b>Description (Box 1a): CST BRANDS INC COM</b>					<b>CUSIP: 12646R105</b>			
SELL	HIGH COST	20	06/05/2014	10/27/2014	730 81	688 41		42 40
SELL	HIGH COST	57	06/06/2014	10/27/2014	2,082 80	1,992 07		90 73
SELL	HIGH COST	8	06/06/2014	10/27/2014	292 32	279 44		12 88
SELL	HIGH COST	145	06/10/2014	10/27/2014	5,298 36	5,055 24		243 12
SELL	HIGH COST	80	06/17/2014	10/27/2014	2,923 23	2,766 25		156 98
SELL	HIGH COST	70	07/07/2014	10/27/2014	2,557 83	2,420 43		137 40
SELL	HIGH COST	30	07/07/2014	10/27/2014	1,096 21	1,033 80		62 41
SELL	HIGH COST	70	07/30/2014	10/27/2014	2,557 83	2,373 25		184 58
SALE DATE TOTAL		480	VARIOUS	10/27/2014	17,539 39	16,608 89		930 50

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OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): CAPITAL BK FINL CORP CL A COM</b>					<b>CUSIP: 139794101</b>			
SELL	HIGH COST	7	10/29/2013	10/27/2014	172 98	156 42		16 56
SELL	HIGH COST	123	10/30/2013	10/27/2014	3,039 58	2,731 07		308 51
SELL	HIGH COST	80	03/13/2014	10/27/2014	1,976 96	2,021 30		(44 34)
SALE DATE TOTAL		210	VARIOUS	10/27/2014	5,189 52	4,908 79		280 73
<b>Description (Box 1a): CAPITAL SOUTHWEST CO RP</b>					<b>CUSIP: 140501107</b>			
SELL	HIGH COST	80	01/23/2014	10/27/2014	2,858 87	2,801 43		57 44
SELL	HIGH COST	80	01/27/2014	10/27/2014	2,858 87	2,718 11		140 76
SELL	HIGH COST	80	02/04/2014	10/27/2014	2,858 87	2,700 19		158 68
SELL	HIGH COST	11	03/19/2014	10/27/2014	393 10	384 61		8 49
SELL	HIGH COST	50	05/14/2014	10/27/2014	1,786 80	1,762 00		24 80
SELL	HIGH COST	13	06/24/2014	10/27/2014	464 57	462 48		2 09
SELL	HIGH COST	98	07/01/2014	10/27/2014	3,502 12	3,659 60		(157 48)
SELL	HIGH COST	19	07/01/2014	10/27/2014	678 98	695 31		(16 33)
SELL	HIGH COST	100	09/29/2014	10/27/2014	3,573 59	3,763 00		(189 41)
SALE DATE TOTAL		531	VARIOUS	10/27/2014	18,975 77	18,946 73		29 04



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As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): DELEK US HLDGS INC C OM ISIN#US2466471016</b>					<b>CUSIP: 246647101</b>			
SELL	HIGH COST	127	12/06/2013	10/27/2014	3,938.27	3,785.07		153.20
SELL	HIGH COST	63	12/09/2013	10/27/2014	1,953.63	1,974.38		(20.75)
SELL	HIGH COST	70	01/21/2014	10/27/2014	2,170.70	2,311.86		(141.16)
SELL	HIGH COST	120	01/27/2014	10/27/2014	3,721.20	3,591.53		129.67
SELL	HIGH COST	30	02/27/2014	10/27/2014	930.30	861.44		68.86
SALE DATE TOTAL		410	VARIOUS	10/27/2014	12,714.10	12,524.28		189.82

<b>Description (Box 1a): DESTINATION XL GROUP INC COM</b>					<b>CUSIP: 25065K104</b>			
SELL	HIGH COST	150	12/10/2013	10/27/2014	714.33	939.81		(225.48)
SELL	HIGH COST	50	12/10/2013	10/27/2014	238.11	312.75		(74.64)
SELL	HIGH COST	210	12/11/2013	10/27/2014	1,000.06	1,303.05		(302.99)
SELL	HIGH COST	50	06/23/2014	10/27/2014	238.11	265.59		(27.48)
SELL	HIGH COST	2	06/23/2014	10/27/2014	9.52	10.51		(0.99)
SELL	HIGH COST	318	06/24/2014	10/27/2014	1,514.38	1,686.61		(172.23)
SALE DATE TOTAL		780	VARIOUS	10/27/2014	3,714.51	4,518.32		(803.81)

<b>Description (Box 1a): FORESTAR GROUP INC C OM</b>					<b>CUSIP: 346233109</b>			
SELL	HIGH COST	90	03/11/2014	10/27/2014	1,525.72	1,746.67		(220.95)

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OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): GRAHAM HLDGS CO COM</b>					<b>CUSIP: 384637104</b>			
SELL	HIGH COST	1	09/11/2014	10/27/2014	752 14	707 26		44 88
SELL	HIGH COST	3	09/15/2014	10/27/2014	2,256 40	2,156 67		99 73
SELL	HIGH COST	2	09/23/2014	10/27/2014	1,504 27	1,424 12		80 15
SELL	HIGH COST	1	09/23/2014	10/27/2014	752 13	710 06		42 07
SALE DATE TOTAL		7	VARIOUS	10/27/2014	5,264 94	4,998 11		266 83
<b>Description (Box 1a): GULFPORT ENERGY CORP COM NEW</b>					<b>CUSIP: 402635304</b>			
SELL	HIGH COST	20	05/08/2013	04/22/2014	1,469 85	1,042 91		426 94
SELL	HIGH COST	50	10/30/2013	04/22/2014	3,674 63	2,991 18		683 45
SELL	HIGH COST	40	11/22/2013	04/22/2014	2,939 70	2,281 44		658 26
SALE DATE TOTAL		110	VARIOUS	04/22/2014	8,084 18	6,315 53		1,768 65
SELL	HIGH COST	25	09/02/2014	10/27/2014	1,182 87	1,447 14		(264 27)
SECURITY TOTAL					9,267 05	7,762 67		1,504 38
<b>Description (Box 1a): HHGREGG INC COM</b>					<b>CUSIP: 42833L108</b>			
SELL	HIGH COST	120	10/31/2013	10/27/2014	783 44	1,860 29		(1,076 85)
SELL	HIGH COST	100	10/31/2013	10/27/2014	652 87	1,546 97		(894 10)
SELL	HIGH COST	90	11/01/2013	10/27/2014	587 58	1,396 11		(808 53)

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): HHGREGG INC COM</b>					<b>CUSIP: 42833L108</b> (Continued)			
SELL	HIGH COST	100	11/22/2013	10/27/2014	652 87	1,481 97		(829 10)
SELL	HIGH COST	10	11/25/2013	10/27/2014	65 29	149 90		(84 61)
SELL	HIGH COST	18	11/26/2013	10/27/2014	117 52	268 65		(151 13)
SELL	HIGH COST	2	11/26/2013	10/27/2014	13 06	29 67		(16 61)
SELL	HIGH COST	8	12/02/2013	10/27/2014	52 23	113 16		(60 93)
SELL	HIGH COST	32	12/02/2013	10/27/2014	208 92	449 77		(240 85)
SELL	HIGH COST	40	12/03/2013	10/27/2014	261 15	564 72		(303 57)
SELL	HIGH COST	68	01/06/2014	10/27/2014	443 95	882 32		(438 37)
SELL	HIGH COST	3	01/06/2014	10/27/2014	19 59	38 84		(19 25)
SELL	HIGH COST	20	01/07/2014	10/27/2014	130 57	245 13		(114 56)
SELL	HIGH COST	74	01/07/2014	10/27/2014	483 12	888 69		(405 57)
SELL	HIGH COST	115	01/07/2014	10/27/2014	750 75	1,347 66		(596 91)
SALE DATE TOTAL		800	VARIOUS	10/27/2014	5,222 91	11,263 85		(6,040 94)

**Description (Box 1a): INNOPHOS HLDGS INC C OM****CUSIP: 45774N108**

SELL	HIGH COST	20	02/05/2014	10/27/2014	1,146 48	896 40		250 08
SELL	HIGH COST	100	02/07/2014	10/27/2014	5,732 44	4,565 89		1,166 55
SELL	HIGH COST	30	03/11/2014	10/27/2014	1,719 73	1,670 18		49 55

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## Recipient's Name and Address:

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(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): INNOPHOS HLDGS INC C OM</b>					<b>CUSIP: 45774N108</b> (Continued)			
SELL	HIGH COST	4	05/01/2014	10/27/2014	229 30	233 45		(4 15)
SELL	HIGH COST	24	05/01/2014	10/27/2014	1,375 79	1,349 53		26 26
SELL	HIGH COST	2	05/02/2014	10/27/2014	114 65	123 61		(8 96)
SELL	HIGH COST	20	05/13/2014	10/27/2014	1,146 49	1,110 02		36 47
SELL	HIGH COST	90	06/24/2014	10/27/2014	5,159 20	5,144 68		14 52
SELL	HIGH COST	21	09/03/2014	10/27/2014	1,203 81	1,247 89		(44 08)
SELL	HIGH COST	9	09/04/2014	10/27/2014	515 92	535 55		(19 63)
SALE DATE TOTAL		320	VARIOUS	10/27/2014	18,343 81	16,877 20		1,466 61

<b>Description (Box 1a): KENNEDY-WILSON HLDGS INC COM</b>					<b>CUSIP: 489398107</b>			
SELL	VERSUS PURCHASE	76	03/19/2014	10/27/2014	1,935 14	1,789 88		145 26
SELL	VERSUS PURCHASE	34	03/20/2014	10/27/2014	865 72	796 20		69 52
SELL	VERSUS PURCHASE	70	04/21/2014	10/27/2014	1,782 36	1,512 35		270 01
SALE DATE TOTAL		180	VARIOUS	10/27/2014	4,583 22	4,098 43		484 79

<b>Description (Box 1a): LEAPFROG ENTERPRISES INC CL A</b>					<b>CUSIP: 52186N106</b>			
SELL	HIGH COST	390	03/13/2014	10/27/2014	2,197 41	2,913 65		(716 24)

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OMB No. 1545-0715 (Continued)

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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): LYDALL INC COM</b>					<b>CUSIP: 550819106</b>			
SELL	HIGH COST	13	09/04/2014	10/27/2014	387 98	397 04	9 06 <b>W</b>	0 00
SELL	HIGH COST	29	09/10/2014	10/27/2014	865 50	903 22	37 72 <b>W</b>	0 00
SELL	HIGH COST	48	09/12/2014	10/27/2014	1,432 56	1,402 26		30 30
SELL	HIGH COST	16	09/24/2014	10/27/2014	477 52	459 59		17 93
SELL	HIGH COST	9	09/25/2014	10/27/2014	268 60	257 99		10 61
SELL	HIGH COST	29	10/07/2014	10/27/2014	865 50	817 65		47 85
	Wash sale 47 day(s) added to holding period							
SELL	HIGH COST	4	10/07/2014	10/27/2014	1 19 38	1 10 36		9 02
	Wash sale 53 day(s) added to holding period							
SELL	HIGH COST	9	10/08/2014	10/27/2014	268 61	247 67		20 94
	Wash sale 53 day(s) added to holding period							
SELL	HIGH COST	2	10/08/2014	10/27/2014	59 69	53 65		6 04
SELL	HIGH COST	16	10/09/2014	10/27/2014	477 52	429 40		48 12
SALE DATE TOTAL		175	VARIOUS	10/27/2014	5,222 86	5,078 83	46 78 <b>W</b>	190 81

**Description (Box 1a): MATSON INC COM****CUSIP: 57686G105**

SELL	HIGH COST	70	03/11/2014	07/09/2014	2,001 25	1,744 30		256 95
SELL	HIGH COST	220	02/05/2014	10/27/2014	6,037 65	5,175 94		861 71

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FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): MATSON INC COM</b>					<b>CUSIP: 57686G105</b> (Continued)			
SELL	HIGH COST	51	02/26/2014	10/27/2014	1,399.64	1,230.63		169.01
SELL	HIGH COST	33	02/26/2014	10/27/2014	905.65	786.81		118.84
SELL	HIGH COST	13	02/27/2014	10/27/2014	356.77	308.71		48.06
SELL	HIGH COST	7	02/27/2014	10/27/2014	192.11	164.35		27.76
SELL	HIGH COST	26	02/28/2014	10/27/2014	713.54	627.96		85.58
SELL	HIGH COST	50	03/11/2014	10/27/2014	1,372.19	1,245.93		126.26
SELL	HIGH COST	95	09/05/2014	10/27/2014	2,607.17	2,625.74		(18.57)
SALE DATE TOTAL		495	VARIOUS	10/27/2014	13,584.72	12,166.07		1,418.65
SECURITY TOTAL					15,585.97	13,910.37		1,675.60

<b>Description (Box 1a): NATIONAL BK HLDGS CO RP CL A</b>					<b>CUSIP: 633707104</b>			
SELL	HIGH COST	10	10/22/2013	06/24/2014	194.52	212.86		(18.34)
SELL	HIGH COST	15	10/23/2013	06/24/2014	291.79	316.91		(25.12)
SELL	HIGH COST	130	10/30/2013	06/24/2014	2,528.80	2,755.49		(226.69)
SALE DATE TOTAL		155	VARIOUS	06/24/2014	3,015.11	3,285.26		(270.15)
SELL	HIGH COST	3	10/17/2013	10/13/2014	56.76	62.82		(6.06)
SELL	HIGH COST	2	10/23/2013	10/13/2014	37.84	42.26		(4.42)

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): NATIONAL BK HLDGS CO RP CL A</b>					<b>CUSIP: 633707104 (Continued)</b>			
SALE DATE TOTAL		5	VARIOUS	10/13/2014	94 60	105 08		(10 48)
SECURITY TOTAL					3,109 71	3,390 34		(280 63)
<b>Description (Box 1a): NAVIGATORS GROUP INC</b>					<b>CUSIP: 638904102</b>			
SELL	HIGH COST	30	10/30/2013	10/27/2014	1,947 01	1,710 86		236 15
SELL	HIGH COST	40	06/09/2014	10/27/2014	2,596 01	2,562 91		33 10
SALE DATE TOTAL		70	VARIOUS	10/27/2014	4,543 02	4,273 77		269 25
<b>Description (Box 1a): NORTHFIELD BANCORP I NC DEL COM</b>					<b>CUSIP: 66611T108</b>			
SELL	HIGH COST	280	03/10/2014	10/27/2014	3,863 63	3,592 40		271 23
<b>Description (Box 1a): OSHKOSH CORP COM</b>					<b>CUSIP: 688239201</b>			
SELL	HIGH COST	60	10/31/2013	10/27/2014	2,676 03	2,851 19		(175 16)
SELL	HIGH COST	40	08/01/2014	10/27/2014	1,784 02	1,855 56		(71 54)
SALE DATE TOTAL		100	VARIOUS	10/27/2014	4,460 05	4,706 75		(246 70)
<b>Description (Box 1a): OWENS CORNING NEW CO M</b>					<b>CUSIP: 690742101</b>			
SELL	HIGH COST	70	01/22/2014	07/22/2014	2,608 41	2,880 28		(271 87)

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(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SILVER BAY RLTY TR C ORP COM</b>					<b>CUSIP: 82735Q102</b>			
SELL	VERSUS PURCHASE	8	11/26/2013	10/27/2014	134 31	125 20		9 11
SELL	VERSUS PURCHASE	59	11/26/2013	10/27/2014	990 51	922 54		67 97
SELL	VERSUS PURCHASE	23	11/27/2013	10/27/2014	386 13	366 15		19 98
SELL	VERSUS PURCHASE	2	11/27/2013	10/27/2014	33 58	31 33		2 25
SELL	VERSUS PURCHASE	100	12/03/2013	10/27/2014	1,678 83	1,578 29		100 54
SELL	VERSUS PURCHASE	100	12/12/2013	10/27/2014	1,678 83	1,575 97		102 86
SELL	VERSUS PURCHASE	90	01/17/2014	10/27/2014	1,510 95	1,493 36		17 59
SELL	VERSUS PURCHASE	18	01/22/2014	10/27/2014	302 19	301 68		0 51
SELL	VERSUS PURCHASE	112	01/22/2014	10/27/2014	1,880 29	1,869 64		10 65
SALE DATE TOTAL		512	VARIOUS	10/27/2014	8,595 62	8,264 16		331 46

<b>Description (Box 1a): SINCLAIR BROADCAST G ROUP INC CL A</b>					<b>CUSIP: 829226109</b>			
SELL	HIGH COST	70	10/30/2013	07/09/2014	2,432 99	2,248 99		184 00
SELL	HIGH COST	35	02/14/2014	07/09/2014	1,216 50	979 07		237 43
SALE DATE TOTAL		105	VARIOUS	07/09/2014	3,649 49	3,228 06		421 43
SELL	HIGH COST	40	02/14/2014	07/31/2014	1,298 45	1,118 94		179 51
SELL	HIGH COST	18	02/14/2014	08/01/2014	581 42	503 53		77 89
SELL	HIGH COST	7	02/14/2014	09/23/2014	178 77	187 84		(9 07)



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(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SINCLAIR BROADCAST GROUP INC CL A</b>					<b>CUSIP: 829226109</b> (Continued)			
SECURITY TOTAL					5,708 13	5,038 37		669 76
<b>Description (Box 1a): SUSSER HLDGS CORP CO M</b>					<b>CUSIP: 869233106</b>			
SELL	HIGH COST	20	05/08/2013	05/05/2014	1,578 07	1,040 89		537 18
SELL	HIGH COST	40	04/21/2014	05/05/2014	3,156 15	2,381 82		774 33
SALE DATE TOTAL		60	VARIOUS	05/05/2014	4,734 22	3,422 71		1,311 51
SELL	HIGH COST	24	05/08/2013	05/07/2014	1,908 12	1,249 07		659 05
SELL	HIGH COST	10	05/08/2013	05/07/2014	789 99	520 45		269 54
SELL	HIGH COST	3	05/08/2013	05/07/2014	228 48	156 13		72 35
SALE DATE TOTAL		37	VARIOUS	05/07/2014	2,926 59	1,925 65		1,000 94
SELL	HIGH COST	30	08/08/2013	05/22/2014	2,368 58	1,438 04		930 54
SELL	HIGH COST	10	08/14/2013	05/22/2014	789 53	465 91		323 62
SELL	HIGH COST	20	08/15/2013	05/22/2014	1,579 05	909 26		669 79
SALE DATE TOTAL		60	VARIOUS	05/22/2014	4,737 16	2,813 21		1,923 95
SECURITY TOTAL					12,397 97	8,161 57		4,236 40

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(For individuals, report details on Form 8949)

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Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SYMETRA FINL CORP CO M</b>					<b>CUSIP: 87151Q106</b>			
SELL	HIGH COST	20	10/01/2013	06/24/2014	457.79	360.21		97.58
SELL	HIGH COST	80	11/01/2013	06/24/2014	1,831.15	1,473.90		357.25
SALE DATE TOTAL		100	VARIOUS	06/24/2014	2,288.94	1,834.11		454.83
<b>Description (Box 1a): TECH DATA</b>					<b>CUSIP: 878237106</b>			
SELL	HIGH COST	40	10/10/2014	10/27/2014	2,283.66	2,182.14		101.52
SELL	HIGH COST	50	10/14/2014	10/27/2014	2,854.57	2,693.15		161.42
SELL	HIGH COST	24	10/22/2014	10/27/2014	1,370.20	1,361.56		8.64
SELL	HIGH COST	21	10/22/2014	10/27/2014	1,198.92	1,186.49		12.43
SALE DATE TOTAL		135	VARIOUS	10/27/2014	7,707.35	7,423.34		284.01
<b>Description (Box 1a): TELETECH HLDGS INC C OM</b>					<b>CUSIP: 879939106</b>			
SELL	HIGH COST	39	07/26/2013	07/09/2014	1,127.74	971.42		156.32
SELL	HIGH COST	46	09/13/2013	07/09/2014	1,330.15	1,149.27		180.88
SALE DATE TOTAL		85	VARIOUS	07/09/2014	2,457.89	2,120.69		337.20
SELL	HIGH COST	25	01/13/2014	10/27/2014	596.02	571.67		24.35
SELL	HIGH COST	33	01/16/2014	10/27/2014	786.75	766.59		20.16

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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): TELETECH HLDGS INC C OM</b>					<b>CUSIP: 879939106</b> (Continued)			
SELL	HIGH COST	22	01/16/2014	10/27/2014	524 50	509 22		15 28
SELL	HIGH COST	30	01/17/2014	10/27/2014	715 23	691 06		24 17
SALE DATE TOTAL		110	VARIOUS	10/27/2014	2,622 50	2,538 54		83 96
SECURITY TOTAL					5,080 39	4,659 23		421 16
<b>Description (Box 1a): TESCO CORP COM</b>					<b>CUSIP: 88157K101</b>			
SELL	HIGH COST	100	10/30/2013	10/27/2014	1,734 19	1,735 33		(1 14)
<b>Description (Box 1a): VIAD CORP COM NEW</b>					<b>CUSIP: 92552R406</b>			
SELL	HIGH COST	1	08/02/2013	06/23/2014	23 16	25 14		(1 98)
SELL	HIGH COST	30	08/05/2013	06/23/2014	694 66	757 38		(62 72)
SELL	HIGH COST	94	08/06/2013	06/23/2014	2,176 60	2,356 93		(180 33)
SELL	HIGH COST	25	10/17/2013	06/23/2014	578 88	626 73		(47 85)
SALE DATE TOTAL		150	VARIOUS	06/23/2014	3,473 30	3,766 18		(292 88)
SELL	HIGH COST	35	10/17/2013	09/18/2014	747 78	877 43		(129 65)
SECURITY TOTAL					4,221 08	4,643 61		(422 53)
<b>Short-Term Covered Total</b>					224,287 69	219,313 31	66 80 <b>W</b>	5,041 18

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3)								
<b>Description (Box 1a): ALEXANDER &amp; BALDWIN INC NEW COM</b>					<b>CUSIP: 014491104</b>			
SELL	HIGH COST	50	04/11/2012	10/27/2014	1,903 23	1,217 15		686 08
SELL	HIGH COST	30	05/02/2012	10/27/2014	1,141 94	781 38		360 56
SELL	HIGH COST	70	05/30/2012	10/27/2014	2,664 52	1,779 77		884 75
SELL	HIGH COST	80	07/18/2012	10/27/2014	3,045 17	2,718 12		327 05
SELL	HIGH COST	10	10/19/2012	10/27/2014	380 65	272 53		108 12
SELL	HIGH COST	19	10/24/2012	10/27/2014	723 23	515 48		207 75
SELL	HIGH COST	10	11/02/2012	10/27/2014	380 65	298 20		82 45
SELL	HIGH COST	20	11/06/2012	10/27/2014	761 29	590 97		170 32
SELL	HIGH COST	30	11/21/2012	10/27/2014	1,141 94	860 10		281 84
SELL	HIGH COST	120	05/15/2013	10/27/2014	4,567 76	4,038 00		529 76
SALE DATE TOTAL		439	VARIOUS	10/27/2014	16,710 38	13,071 70		3,638 68
<b>Description (Box 1a): ASSOCIATED BANC CORP COM</b>					<b>CUSIP: 045487105</b>			
SELL	HIGH COST	50	02/20/2013	09/08/2014	911 46	731 73		179 73
SELL	HIGH COST	80	03/18/2013	09/08/2014	1,458 33	1,209 59		248 74
SALE DATE TOTAL		130	VARIOUS	09/08/2014	2,369 79	1,941 32		428 47

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OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): ASSOCIATED BANC CORP COM</b>					<b>CUSIP: 045487105 (Continued)</b>			
SELL	HIGH COST	16	02/20/2013	09/09/2014	291 28	234 15		57 13
SELL	HIGH COST	3	02/20/2013	09/09/2014	54 61	43 90		10 71
SELL	HIGH COST	42	02/20/2013	09/09/2014	762 01	614 65		147 36
SALE DATE TOTAL		61	VARIOUS	09/09/2014	1,107 90	892 70		215 20
SELL	HIGH COST	7	02/11/2013	09/10/2014	127 91	101 68		26 23
SELL	HIGH COST	49	02/20/2013	09/10/2014	895 36	717 09		178 27
SALE DATE TOTAL		56	VARIOUS	09/10/2014	1,023 27	818 77		204 50
SELL	HIGH COST	28	02/11/2013	09/11/2014	511 37	406 70		104 67
SELL	HIGH COST	12	12/10/2012	09/18/2014	222 33	154 50		67 83
SELL	HIGH COST	80	02/05/2013	09/18/2014	1,482 21	1,154 06		328 15
SELL	HIGH COST	45	02/11/2013	09/18/2014	833 74	653 62		180 12
SALE DATE TOTAL		137	VARIOUS	09/18/2014	2,538 28	1,962 18		576 10
SELL	HIGH COST	158	12/10/2012	09/19/2014	2,915 66	2,034 23		881 43
SECURITY TOTAL					10,466 27	8,055 90		2,410 37

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OMB No. 1545-0715 (Continued)

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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): BANKUNITED INC COM</b>					<b>CUSIP: 06652K103</b>			
SELL	HIGH COST	22	04/30/2012	10/27/2014	634 11	541 46		92 65
SELL	HIGH COST	68	05/03/2012	10/27/2014	1,959 99	1,669 05		290 94
SELL	HIGH COST	80	05/10/2012	10/27/2014	2,305 87	1,942 44		363 43
SELL	HIGH COST	80	05/18/2012	10/27/2014	2,305 87	1,900 02		405 85
SELL	HIGH COST	80	06/12/2012	10/27/2014	2,305 87	1,816 30		489 57
SELL	HIGH COST	45	11/15/2012	10/27/2014	1,297 06	995 85		301 21
SELL	HIGH COST	10	03/18/2013	10/27/2014	288 23	249 36		38 87
SELL	HIGH COST	10	03/18/2013	10/27/2014	288 23	245 75		42 48
SELL	HIGH COST	30	09/06/2013	10/27/2014	864 70	897 40		(32 70)
SALE DATE TOTAL		425	VARIOUS	10/27/2014	12,249 93	10,257 63		1,992 30

**Description (Box 1a): BIGLARI HLDGS INC CL A****CUSIP: 08986R101**

SELL	HIGH COST	10	08/22/2013	10/27/2014	3,266 32	4,626 67		(1,360 35)
SELL	HIGH COST	5	09/03/2013	10/27/2014	1,633 16	2,101 79		(468 63)
SELL	HIGH COST	5	10/01/2013	10/27/2014	1,633 16	2,069 49		(436 33)
SALE DATE TOTAL		20	VARIOUS	10/27/2014	6,532 64	8,797 95		(2,265 31)

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OMB No. 1545-0715 (Continued)

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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): BOISE CASCADE CO COM</b>					<b>CUSIP: 09739D100</b>			
SELL	HIGH COST	30	03/15/2013	07/31/2014	849 70	968 40		(118 70)
SELL	HIGH COST	70	03/27/2013	07/31/2014	1,982 63	2,371 80		(389 17)
SALE DATE TOTAL		100	VARIOUS	07/31/2014	2,832 33	3,340 20		(507 87)
SELL	HIGH COST	10	03/15/2013	10/27/2014	339 85	322 00		17 85
SELL	HIGH COST	40	03/18/2013	10/27/2014	1,359 41	1,268 07		91 34
SELL	HIGH COST	90	04/04/2013	10/27/2014	3,058 66	2,693 36		365 30
SELL	HIGH COST	40	07/24/2013	10/27/2014	1,359 41	1,080 00		279 41
SELL	HIGH COST	14	09/16/2013	10/27/2014	475 79	367 73		108 06
SELL	HIGH COST	15	09/16/2013	10/27/2014	509 78	392 10		117 68
SELL	HIGH COST	51	09/17/2013	10/27/2014	1,733 24	1,341 37		391 87
SALE DATE TOTAL		260	VARIOUS	10/27/2014	8,836 14	7,464 63		1,371 51
SECURITY TOTAL					11,668 47	10,804 83		863 64

**Description (Box 1a): CAPITAL BK FINL CORP CL A COM****CUSIP: 139794101**

SELL	HIGH COST	10	09/05/2013	10/27/2014	247 12	209 72		37 40
SELL	HIGH COST	10	09/05/2013	10/27/2014	247 13	208 85		38 28
SELL	HIGH COST	100	09/10/2013	10/27/2014	2,471 20	2,217 40		253 80

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Number \*\*-\*0348**2014 TAX and  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): CAPITAL BK FINL CORP CL A COM</b>					<b>CUSIP: 139794101 (Continued)</b>			
SELL	HIGH COST	120	10/03/2013	10/27/2014	2,965 45	2,573 14		392 31
SELL	HIGH COST	10	10/23/2013	10/27/2014	247 12	219 25		27 87
SALE DATE TOTAL		250	VARIOUS	10/27/2014	6,178 02	5,428 36		749 66
<b>Description (Box 1a): CARRIZO OIL &amp; GAS IN C COM</b>					<b>CUSIP: 144577103</b>			
SELL	HIGH COST	60	11/15/2012	06/10/2014	3,658 99	1,204 20		2,454 79
SELL	HIGH COST	40	11/15/2012	06/23/2014	2,746 73	802 80		1,943 93
SELL	HIGH COST	25	11/15/2012	07/30/2014	1,604 74	501 75		1,102 99
SELL	HIGH COST	28	11/15/2012	10/27/2014	1,294 85	561 96		732 89
SECURITY TOTAL					9,305 31	3,070 71		6,234 60
<b>Description (Box 1a): CORE MARK HLDG CO IN C COM</b>					<b>CUSIP: 218681104</b>			
SELL	HIGH COST	5	01/27/2012	06/24/2014	461 59	205 05		256 54
SELL	HIGH COST	30	11/15/2012	06/24/2014	2,769 53	1,247 40		1,522 13
SALE DATE TOTAL		35	VARIOUS	06/24/2014	3,231 12	1,452 45		1,778 67
SELL	HIGH COST	10	01/27/2012	10/13/2014	524 51	205 04		319 47
SELL	HIGH COST	46	01/18/2011	10/27/2014	2,529 66	803 15		1,726 51
SELL	HIGH COST	6	01/18/2011	10/27/2014	329 96	103 08		226 88



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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): CORE MARK HLDG CO IN C COM</b>					<b>CUSIP: 218681104 (Continued)</b>			
SELL	HIGH COST	28	01/21/2011	10/27/2014	1,539 79	476 52		1,063 27
SELL	HIGH COST	90	03/15/2011	10/27/2014	4,949 34	1,517 85		3,431 49
SELL	HIGH COST	10	03/15/2011	10/27/2014	549 93	168 11		381 82
SALE DATE TOTAL		180	VARIOUS	10/27/2014	9,898 68	3,068 71		6,829 97
SECURITY TOTAL					13,654 31	4,726 20		8,928 11

**Description (Box 1a): DESTINATION XL GROUP INC COM****CUSIP: 25065K104**

SELL	HIGH COST	30	01/04/2013	10/27/2014	142 87	131 40		11 47
SELL	HIGH COST	10	01/04/2013	10/27/2014	47 60	43 40		4 20
SELL	HIGH COST	34	01/10/2013	10/27/2014	161 91	150 95		10 96
SELL	HIGH COST	31	01/10/2013	10/27/2014	147 63	135 04		12 59
SELL	HIGH COST	30	01/30/2013	10/27/2014	142 87	136 50		6 37
SELL	HIGH COST	176	01/30/2013	10/27/2014	838 15	788 87		49 28
SELL	HIGH COST	30	02/14/2013	10/27/2014	142 87	134 40		8 47
SELL	HIGH COST	40	02/14/2013	10/27/2014	190 49	177 20		13 29
SELL	HIGH COST	704	02/19/2013	10/27/2014	3,352 58	3,298 24		54 34
SELL	HIGH COST	420	02/28/2013	10/27/2014	2,000 12	1,902 60		97 52
SELL	HIGH COST	30	10/09/2013	10/27/2014	142 87	197 10		(54 23)

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## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): DESTINATION XL GROUP INC COM</b>					<b>CUSIP: 25065K104 (Continued)</b>			
SELL	HIGH COST	5	10/17/2013	10/27/2014	23 81	33 15		(9 34)
SELL	HIGH COST	3	10/17/2013	10/27/2014	14 29	19 87		(5 58)
SALE DATE TOTAL		1,543	VARIOUS	10/27/2014	7,348 06	7,148 72		199 34
<b>Description (Box 1a): ENCORE CAP GROUP INC COM</b>					<b>CUSIP: 292554102</b>			
SELL	HIGH COST	60	12/07/2012	10/27/2014	2,586 83	1,624 39		962 44
SELL	HIGH COST	4	01/02/2013	10/27/2014	172 46	124 34		48 12
SELL	HIGH COST	19	01/02/2013	10/27/2014	819 17	583 89		235 28
SELL	HIGH COST	14	01/04/2013	10/27/2014	603 60	429 64		173 96
SELL	HIGH COST	23	01/04/2013	10/27/2014	991 63	702 97		288 66
SELL	HIGH COST	16	01/16/2013	10/27/2014	689 83	485 11		204 72
SELL	HIGH COST	4	01/16/2013	10/27/2014	172 46	120 42		52 04
SELL	HIGH COST	10	01/17/2013	10/27/2014	431 14	304 84		126 30
SELL	HIGH COST	80	02/14/2013	10/27/2014	3,449 13	2,623 04		826 09
SELL	HIGH COST	100	06/18/2013	10/27/2014	4,311 41	3,574 17		737 24
SELL	HIGH COST	40	06/27/2013	10/27/2014	1,724 57	1,345 08		379 49
SALE DATE TOTAL		370	VARIOUS	10/27/2014	15,952 23	11,917 89		4,034 34

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): FORESTAR GROUP INC C OM</b>					<b>CUSIP: 346233109</b> (Continued)			
SECURITY TOTAL					8,387 24	10,585 99		(2,198 75)
<b>Description (Box 1a): GULFPORT ENERGY CORP COM NEW</b>					<b>CUSIP: 402635304</b>			
SELL	HIGH COST	10	04/11/2013	04/22/2014	734 92	488 53		246 39
SELL	HIGH COST	60	01/10/2013	10/27/2014	2,838 90	2,350 10		488 80
SELL	HIGH COST	50	01/22/2013	10/27/2014	2,365 75	2,078 15		287 60
SELL	HIGH COST	60	01/31/2013	10/27/2014	2,838 90	2,466 20		372 70
SELL	HIGH COST	60	02/06/2013	10/27/2014	2,838 90	2,429 24		409 66
SELL	HIGH COST	20	02/25/2013	10/27/2014	946 28	750 26		196 02
SELL	HIGH COST	40	03/12/2013	10/27/2014	1,892 60	1,679 50		213 10
SELL	HIGH COST	30	04/04/2013	10/27/2014	1,419 45	1,365 17		54 28
SELL	HIGH COST	20	04/11/2013	10/27/2014	946 30	977 07		(30 77)
SALE DATE TOTAL		340	VARIOUS	10/27/2014	16,087 08	14,095 69		1,991 39
SECURITY TOTAL					16,822 00	14,584 22		2,237 78

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OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): HHGREGG INC COM</b>					<b>CUSIP: 42833L108</b>			
SELL	HIGH COST	25	06/05/2013	09/02/2014	175 16	425 35		(250 19)
SELL	HIGH COST	2	06/06/2013	09/02/2014	14 01	35 17		(21 16)
SELL	HIGH COST	5	06/06/2013	09/02/2014	35 03	87 67		(52 64)
SALE DATE TOTAL		32	VARIOUS	09/02/2014	224 20	548 19		(323 99)
SELL	HIGH COST	3	06/05/2013	09/04/2014	21 04	51 04		(30 00)
SELL	HIGH COST	2	06/05/2013	09/08/2014	13 57	34 03		(20 46)
SELL	HIGH COST	6	06/05/2013	09/08/2014	40 71	101 68		(60 97)
SELL	HIGH COST	10	06/14/2013	09/08/2014	67 85	169 05		(101 20)
SELL	HIGH COST	5	06/14/2013	09/08/2014	33 92	84 00		(50 08)
SALE DATE TOTAL		23	VARIOUS	09/08/2014	156 05	388 76		(232 71)
SELL	HIGH COST	5	06/14/2013	09/10/2014	33 34	84 00		(50 66)
SELL	HIGH COST	1	06/20/2013	09/10/2014	6 67	16 74		(10 07)
SALE DATE TOTAL		6	VARIOUS	09/10/2014	40 01	100 74		(60 73)
SELL	HIGH COST	17	06/03/2013	09/11/2014	112 70	266 27		(153 57)
SELL	HIGH COST	33	06/04/2013	09/11/2014	215 30	547 22		(331 92)
SELL	HIGH COST	2	06/04/2013	09/11/2014	12 69	33 17		(20 48)

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OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): HHGREGG INC COM</b>					<b>CUSIP: 428331108 (Continued)</b>			
SELL	HIGH COST	10	06/13/2013	09/11/2014	65 24	167 25		(102 01)
SELL	HIGH COST	39	06/20/2013	09/11/2014	254 44	653 00		(398 56)
SELL	HIGH COST	4	06/21/2013	09/11/2014	25 37	66 22		(40 85)
SELL	HIGH COST	46	06/21/2013	09/11/2014	309 71	761 53		(451 82)
SELL	HIGH COST	31	07/01/2013	09/11/2014	208 72	493 37		(284 65)
SELL	HIGH COST	9	07/01/2013	09/11/2014	59 67	143 23		(83 56)
SALE DATE TOTAL		191	VARIOUS	09/11/2014	1,263 84	3,131 26		(1,867 42)
SELL	HIGH COST	11	05/29/2013	10/27/2014	71 82	163 01		(91 19)
SELL	HIGH COST	10	05/29/2013	10/27/2014	65 29	147 60		(82 31)
SELL	HIGH COST	17	05/30/2013	10/27/2014	110 99	264 97		(153 98)
SELL	HIGH COST	4	05/30/2013	10/27/2014	26 11	61 86		(35 75)
SELL	HIGH COST	33	06/03/2013	10/27/2014	215 45	516 89		(301 44)
SELL	HIGH COST	42	06/26/2013	10/27/2014	274 20	635 67		(361 47)
SELL	HIGH COST	118	06/26/2013	10/27/2014	770 38	1,773 54		(1,003 16)
SELL	HIGH COST	210	07/23/2013	10/27/2014	1,371 02	3,190 70		(1,819 68)
SALE DATE TOTAL		445	VARIOUS	10/27/2014	2,905 26	6,754 24		(3,848 98)
SECURITY TOTAL					4,610 40	10,974 23		(6,363 83)

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## Recipient's Name and Address:

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Account Number: QWV-001154

Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): INTREPID POTASH INC COM</b>					<b>CUSIP: 46121Y102</b>			
SELL	HIGH COST	90	04/13/2012	10/27/2014	1,255 89	2,062 58		(806 69)
SELL	HIGH COST	26	04/18/2012	10/27/2014	362 81	597 99		(235 18)
SELL	HIGH COST	4	04/18/2012	10/27/2014	55 82	91 94		(36 12)
SELL	HIGH COST	50	04/23/2012	10/27/2014	697 71	1,153 02		(455 31)
SELL	HIGH COST	19	05/16/2012	10/27/2014	265 13	375 36		(110 23)
SELL	HIGH COST	49	05/16/2012	10/27/2014	683 76	960 54		(276 78)
SELL	HIGH COST	8	05/16/2012	10/27/2014	111 63	155 34		(43 71)
SELL	HIGH COST	64	05/17/2012	10/27/2014	893 07	1,232 19		(339 12)
SELL	HIGH COST	80	05/18/2012	10/27/2014	1,116 34	1,599 56		(483 22)
SELL	HIGH COST	60	09/05/2012	10/27/2014	837 26	1,348 94		(511 68)
SELL	HIGH COST	30	09/13/2012	10/27/2014	418 63	727 24		(308 61)
SELL	HIGH COST	20	09/14/2012	10/27/2014	279 09	488 78		(209 69)
SELL	HIGH COST	120	11/15/2012	10/27/2014	1,674 51	2,402 40		(727 89)
SELL	HIGH COST	40	07/30/2013	10/27/2014	558 17	548 10		10 07
SELL	HIGH COST	160	08/15/2013	10/27/2014	2,232 69	2,037 94		194 75
SALE DATE TOTAL		820	VARIOUS	10/27/2014	11,442 51	15,781 92		(4,339 41)

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): KENNEDY-WILSON HLDGS INC COM</b>					<b>CUSIP: 489398107</b>			
SELL	VERSUS PURCHASE	20	08/14/2013	10/27/2014	509 25	363 26		145 99
SELL	VERSUS PURCHASE	19	08/20/2013	10/27/2014	483 78	342 23		141 55
SELL	VERSUS PURCHASE	5	08/20/2013	10/27/2014	127 31	89 45		37 86
SELL	VERSUS PURCHASE	25	08/21/2013	10/27/2014	636 56	451 14		185 42
SELL	VERSUS PURCHASE	6	08/21/2013	10/27/2014	152 77	108 25		44 52
SELL	VERSUS PURCHASE	9	08/22/2013	10/27/2014	229 16	161 96		67 20
SELL	VERSUS PURCHASE	13	08/27/2013	10/27/2014	331 01	234 98		96 03
SELL	VERSUS PURCHASE	26	08/27/2013	10/27/2014	662 02	469 53		192 49
SELL	VERSUS PURCHASE	10	08/28/2013	10/27/2014	254 62	180 78		73 84
SELL	VERSUS PURCHASE	15	08/28/2013	10/27/2014	381 93	270 54		111 39
SELL	VERSUS PURCHASE	50	08/29/2013	10/27/2014	1,273 12	908 00		365 12
SELL	VERSUS PURCHASE	25	09/03/2013	10/27/2014	636 56	450 16		186 40
SELL	VERSUS PURCHASE	14	09/03/2013	10/27/2014	356 48	250 14		106 34
SELL	VERSUS PURCHASE	43	09/04/2013	10/27/2014	1,094 88	777 23		317 65
SELL	VERSUS PURCHASE	270	09/06/2013	10/27/2014	6,874 83	4,977 64		1,897 19
SELL	VERSUS PURCHASE	100	10/02/2013	10/27/2014	2,546 23	1,839 99		706 24
SELL	VERSUS PURCHASE	80	10/14/2013	10/27/2014	2,036 99	1,476 53		560 46

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
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Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked

Covered (Box 3) (Continued)

**Description (Box 1a): KENNEDY-WILSON HLDGS INC COM****CUSIP: 489398107** (Continued)

SALE DATE TOTAL		730	VARIOUS	10/27/2014	18,587 50	13,351 81		5,235 69
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<b>Description (Box 1a): M D C HLDGS INC FORM ERLY M D C CORP COLO TO 6/20/85 COM</b>								
<b>CUSIP: 552676108</b>								
SELL	HIGH COST	71	05/24/2012	10/27/2014	1,871 27	1,965 28		(94 01)
SELL	HIGH COST	38	05/24/2012	10/27/2014	1,001 53	1,050 17		(48 64)
SELL	HIGH COST	31	05/25/2012	10/27/2014	817 03	893 98		(76 95)
SELL	HIGH COST	60	05/29/2012	10/27/2014	1,581 35	1,788 06		(206 71)
SELL	HIGH COST	60	05/30/2012	10/27/2014	1,581 35	1,737 46		(156 11)
SELL	HIGH COST	40	08/01/2012	10/27/2014	1,054 24	1,274 62		(220 38)
SELL	HIGH COST	80	08/07/2012	10/27/2014	2,108 47	2,574 18		(465 71)
SALE DATE TOTAL		380	VARIOUS	10/27/2014	10,015 24	11,283 75		(1,268 51)

**Description (Box 1a): NATIONAL BK HLDGS CO RP CL A****CUSIP: 633707104**

SELL	HIGH COST	53	08/30/2013	10/13/2014	1,002 73	1,045 69		(42 96)
SELL	HIGH COST	29	08/30/2013	10/14/2014	552 44	572 17		(19 73)
SELL	HIGH COST	5	06/19/2013	10/21/2014	94 47	94 79		(0 32)
SELL	HIGH COST	28	08/30/2013	10/21/2014	529 05	552 44		(23 39)
SALE DATE TOTAL		33	VARIOUS	10/21/2014	623 52	647 23		(23 71)



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As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): NATIONAL BK HLDGS CO RP CL A</b>					<b>CUSIP: 633707104 (Continued)</b>			
SELL	HIGH COST	130	04/10/2013	10/27/2014	2,469 88	2,389 22		80 66
SELL	HIGH COST	88	04/16/2013	10/27/2014	1,671 92	1,630 64		41 28
SELL	HIGH COST	42	04/16/2013	10/27/2014	797 96	778 26		19 70
SELL	HIGH COST	150	06/03/2013	10/27/2014	2,849 85	2,723 66		126 19
SELL	HIGH COST	67	06/19/2013	10/27/2014	1,272 94	1,270 19		2 75
SELL	HIGH COST	48	06/19/2013	10/27/2014	911 96	909 60		2 36
SALE DATE TOTAL		525	VARIOUS	10/27/2014	9,974 51	9,701 57		272 94
SECURITY TOTAL					12,153 20	11,966 66		186 54

## Description (Box 1a): NAVIGATORS GROUP INC

CUSIP: 638904102

SELL	HIGH COST	30	07/11/2011	10/27/2014	1,947 01	1,459 56		487 45
SELL	HIGH COST	6	09/01/2011	10/27/2014	389 40	265 72		123 68
SELL	HIGH COST	4	09/02/2011	10/27/2014	259 60	171 39		88 21
SELL	HIGH COST	1	09/06/2011	10/27/2014	64 91	42 13		22 78
SELL	HIGH COST	4	09/08/2011	10/27/2014	259 60	174 50		85 10
SELL	HIGH COST	14	09/09/2011	10/27/2014	908 60	593 22		315 38
SELL	HIGH COST	9	09/16/2011	10/27/2014	584 10	400 24		183 86
SELL	HIGH COST	3	09/19/2011	10/27/2014	194 70	130 99		63 71

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): NAVIGATORS GROUP INC</b>					<b>CUSIP: 638904102</b> (Continued)			
SELL	HIGH COST	4	09/20/2011	10/27/2014	259 60	177 77		81 83
SELL	HIGH COST	4	09/21/2011	10/27/2014	259 60	176 92		82 68
SELL	HIGH COST	20	10/28/2011	10/27/2014	1,298 01	932 76		365 25
SELL	HIGH COST	30	01/26/2012	10/27/2014	1,947 01	1,472 09		474 92
SELL	HIGH COST	10	11/16/2012	10/27/2014	649 00	507 03		141 97
SELL	HIGH COST	4	11/16/2012	10/27/2014	259 60	202 45		57 15
SELL	HIGH COST	2	11/26/2012	10/27/2014	129 80	103 28		26 52
SELL	HIGH COST	18	11/27/2012	10/27/2014	1,168 20	939 60		228 60
SALE DATE TOTAL		163	VARIOUS	10/27/2014	10,578 74	7,749 65		2,829 09

**Description (Box 1a): NORTHFIELD BANCORP I NC DEL COM****CUSIP: 66611T108**

SELL	HIGH COST	210	04/16/2013	10/27/2014	2,897 73	2,400 30		497 43
SELL	HIGH COST	220	05/14/2013	10/27/2014	3,035 71	2,525 60		510 11
SELL	HIGH COST	33	06/18/2013	10/27/2014	455 36	388 59		66 77
SELL	HIGH COST	20	06/18/2013	10/27/2014	275 97	235 30		40 67
SELL	HIGH COST	67	06/20/2013	10/27/2014	924 51	787 70		136 81
SELL	HIGH COST	20	06/20/2013	10/27/2014	275 97	234 65		41 32
SELL	HIGH COST	25	06/21/2013	10/27/2014	344 97	295 76		49 21

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): NORTHFIELD BANCORP I NC DEL COM</b>					<b>CUSIP: 66611T108 (Continued)</b>			
SELL	HIGH COST	45	06/21/2013	10/27/2014	620 94	530 78		90 16
SELL	HIGH COST	230	07/31/2013	10/27/2014	3,173 70	2,719 66		454 04
SELL	HIGH COST	200	08/15/2013	10/27/2014	2,759 74	2,425 96		333 78
SALE DATE TOTAL		1,070	VARIOUS	10/27/2014	14,764 60	12,544 30		2,220 30
<b>Description (Box 1a): OSHKOSH CORP COM</b>					<b>CUSIP: 688239201</b>			
SELL	HIGH COST	120	06/04/2013	10/27/2014	5,352 06	4,602 22		749 84
SELL	HIGH COST	70	06/12/2013	10/27/2014	3,122 04	2,606 68		515 36
SELL	HIGH COST	60	07/22/2013	10/27/2014	2,676 03	2,521 36		154 67
SALE DATE TOTAL		250	VARIOUS	10/27/2014	11,150 13	9,730 26		1,419 87
<b>Description (Box 1a): OWENS CORNING NEW CO M</b>					<b>CUSIP: 690742101</b>			
SELL	HIGH COST	15	01/22/2013	07/22/2014	558 95	615 70		(56 75)
SELL	HIGH COST	50	02/08/2013	07/22/2014	1,863 15	2,124 46		(261 31)
SALE DATE TOTAL		65	VARIOUS	07/22/2014	2,422 10	2,740 16		(318 06)
SELL	HIGH COST	60	01/10/2013	10/27/2014	1,855 59	2,342 52		(486 93)
SELL	HIGH COST	30	01/14/2013	10/27/2014	927 80	1,174 85		(247 05)
SELL	HIGH COST	15	01/22/2013	10/27/2014	463 90	615 69		(151 79)

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): OWENS CORNING NEW CO M</b>					<b>CUSIP: 690742101 (Continued)</b>			
SELL	HIGH COST	70	03/13/2013	10/27/2014	2,164 86	2,794 20		(629 34)
SELL	HIGH COST	40	10/01/2013	10/27/2014	1,237 05	1,526 21		(289 16)
SALE DATE TOTAL		215	VARIOUS	10/27/2014	6,649 20	8,453 47		(1,804 27)
SECURITY TOTAL					9,071 30	11,193 63		(2,122 33)
<b>Description (Box 1a): SILVER BAY RLTY TR C ORP COM</b>					<b>CUSIP: 82735Q102</b>			
SELL	VERSUS PURCHASE	28	01/15/2013	10/27/2014	470 07	581 45		(111 38)
SELL	VERSUS PURCHASE	67	01/15/2013	10/27/2014	1,124 82	1,389 21		(264 39)
SELL	VERSUS PURCHASE	15	01/16/2013	10/27/2014	251 82	313 20		(61 38)
SELL	VERSUS PURCHASE	110	01/16/2013	10/27/2014	1,846 72	2,292 95		(446 23)
SELL	VERSUS PURCHASE	20	02/04/2013	10/27/2014	335 77	408 43		(72 66)
SELL	VERSUS PURCHASE	10	02/04/2013	10/27/2014	167 88	203 74		(35 86)
SELL	VERSUS PURCHASE	90	02/05/2013	10/27/2014	1,510 95	1,853 88		(342 93)
SALE DATE TOTAL		340	VARIOUS	10/27/2014	5,708 03	7,042 86		(1,334 83)
<b>Description (Box 1a): SINCLAIR BROADCAST G ROUP INC CL A</b>					<b>CUSIP: 829226109</b>			
SELL	HIGH COST	60	06/18/2013	07/09/2014	2,085 42	1,735 06		350 36
SELL	HIGH COST	62	04/24/2013	08/01/2014	2,002 68	1,684 43		318 25

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SINCLAIR BROADCAST GROUP INC CL A</b>					<b>CUSIP: 829226109 (Continued)</b>			
SELL	HIGH COST	3	04/16/2013	09/23/2014	76 61	79 72		(3 11)
SELL	HIGH COST	26	04/24/2013	09/23/2014	667 85	706 37		(38 52)
SELL	HIGH COST	2	04/24/2013	09/23/2014	51 08	54 34		(3 26)
SALE DATE TOTAL		31	VARIOUS	09/23/2014	795 54	840 43		(44 89)
SELL	HIGH COST	17	04/16/2013	09/24/2014	434 80	451 76		(16 96)
SELL	HIGH COST	19	05/23/2013	09/24/2014	485 95	502 89		(16 94)
SALE DATE TOTAL		36	VARIOUS	09/24/2014	920 75	954 65		(33 90)
SELL	HIGH COST	51	05/23/2013	09/25/2014	1,312 89	1,349 86		(36 97)
SELL	HIGH COST	17	04/12/2013	09/26/2014	448 31	448 51		(0 20)
SELL	HIGH COST	50	05/23/2013	09/26/2014	1,318 55	1,323 40		(4 85)
SALE DATE TOTAL		67	VARIOUS	09/26/2014	1,766 86	1,771 91		(5 05)
SELL	HIGH COST	38	04/12/2013	09/29/2014	1,009 77	1,002 54		7 23
SELL	HIGH COST	40	04/11/2013	10/03/2014	1,034 34	935 07		99 27
SELL	HIGH COST	10	04/11/2013	10/03/2014	258 58	231 50		27 08
SELL	HIGH COST	5	04/12/2013	10/03/2014	129 29	131 91		(2 62)
SELL	HIGH COST	40	04/15/2013	10/03/2014	1,034 34	1,023 60		10 74

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SINCLAIR BROADCAST GROUP INC CL A</b>					<b>CUSIP: 829226109</b> (Continued)			
SALE DATE TOTAL		95	VARIOUS	10/03/2014	2,456 55	2,322 08		134 47
SECURITY TOTAL					12,350 46	11,660 96		689 50
<b>Description (Box 1a): SUSSEX HLDGS CORP CO M</b>					<b>CUSIP: 869233106</b>			
SELL	HIGH COST	3	05/08/2013	05/09/2014	226 49	156 13		70 36
SELL	HIGH COST	10	05/08/2013	05/09/2014	776 24	520 45		255 79
SALE DATE TOTAL		13	VARIOUS	05/09/2014	1,002 73	676 58		326 15
SELL	HIGH COST	16	01/22/2013	05/22/2014	1,263 24	675 66		587 58
SELL	HIGH COST	44	01/22/2013	05/22/2014	3,473 91	1,850 09		1,623 82
SELL	HIGH COST	50	01/28/2013	05/22/2014	3,947 61	2,099 67		1,847 94
SELL	HIGH COST	50	02/20/2013	05/22/2014	3,947 63	2,191 50		1,756 13
SELL	HIGH COST	20	05/08/2013	05/22/2014	1,579 05	1,040 89		538 16
SELL	HIGH COST	20	05/14/2013	05/22/2014	1,579 05	1,020 40		558 65
SELL	HIGH COST	10	05/14/2013	05/22/2014	789 53	509 01		280 52
SELL	HIGH COST	10	05/15/2013	05/22/2014	789 53	517 62		271 91
SALE DATE TOTAL		220	VARIOUS	05/22/2014	17,369 55	9,904 84		7,464 71
SECURITY TOTAL					18,372 28	10,581 42		7,790 86

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): SYMETRA FINL CORP CO M</b>					<b>CUSIP: 87151Q106</b>			
SELL	HIGH COST	10	10/01/2013	10/13/2014	230 20	180 11		50 09
SELL	HIGH COST	14	10/01/2013	10/14/2014	321 64	252 15		69 49
SELL	HIGH COST	150	12/13/2011	10/27/2014	3,368 32	1,401 00		1,967 32
SELL	HIGH COST	16	10/01/2013	10/27/2014	359 29	288 17		71 12
SALE DATE TOTAL		166	VARIOUS	10/27/2014	3,727 61	1,689 17		2,038 44
SECURITY TOTAL					4,279 45	2,121 43		2,158 02

**Description (Box 1a): TELETECH HLDGS INC C OM****CUSIP: 879939106**

SELL	HIGH COST	6	06/01/2012	10/27/2014	143 05	88 50		54 55
SELL	HIGH COST	3	06/01/2012	10/27/2014	71 53	44 12		27 41
SELL	HIGH COST	20	06/07/2012	10/27/2014	476 82	309 96		166 86
SELL	HIGH COST	10	06/07/2012	10/27/2014	238 41	154 95		83 46
SELL	HIGH COST	2	06/20/2012	10/27/2014	47 68	31 38		16 30
SELL	HIGH COST	2	06/20/2012	10/27/2014	47 68	31 24		16 44
SELL	HIGH COST	4	06/25/2012	10/27/2014	95 36	62 32		33 04
SELL	HIGH COST	142	07/10/2012	10/27/2014	3,385 42	2,306 78		1,078 64
SELL	HIGH COST	8	08/02/2012	10/27/2014	190 73	132 16		58 57
SELL	HIGH COST	3	08/02/2012	10/27/2014	71 52	48 84		22 68

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): TELETECH HLDGS INC C OM</b>					<b>CUSIP: 879939106 (Continued)</b>			
SELL	HIGH COST	13	08/03/2012	10/27/2014	309 93	212 22		97 71
SELL	HIGH COST	280	08/15/2012	10/27/2014	6,675 47	4,750 79		1,924 68
SELL	HIGH COST	61	07/26/2013	10/27/2014	1,454 30	1,519 39		(65 09)
SELL	HIGH COST	2	09/09/2013	10/27/2014	47 68	48 18		(0 50)
SELL	HIGH COST	2	09/09/2013	10/27/2014	47 68	47 56		0 12
SALE DATE TOTAL		558	VARIOUS	10/27/2014	13,303 26	9,788 39		3,514 87
<b>Description (Box 1a): TESCO CORP COM</b>					<b>CUSIP: 88157K101</b>			
SELL	HIGH COST	20	02/05/2013	10/27/2014	346 84	238 77		108 07
SELL	HIGH COST	25	02/13/2013	10/27/2014	433 55	313 63		119 92
SELL	HIGH COST	155	02/15/2013	10/27/2014	2,688 00	1,988 20		699 80
SELL	HIGH COST	160	02/22/2013	10/27/2014	2,774 70	2,020 78		753 92
SELL	HIGH COST	200	02/25/2013	10/27/2014	3,468 38	2,526 00		942 38
SELL	HIGH COST	80	09/30/2013	10/27/2014	1,387 35	1,319 65		67 70
SALE DATE TOTAL		640	VARIOUS	10/27/2014	11,098 82	8,407 03		2,691 79



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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part II, with Box D checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): VIAD CORP COM NEW</b>			<b>CUSIP: 92552R406</b>					
SELL	HIGH COST	20	01/28/2013	06/04/2014	445 80	540 45		(94 65)
SELL	HIGH COST	60	01/30/2013	06/04/2014	1,337 40	1,644 37		(306 97)
SELL	HIGH COST	10	02/08/2013	06/04/2014	222 90	263 83		(40 93)
SALE DATE TOTAL		90	VARIOUS	06/04/2014	2,006 10	2,448 65		(442 55)
SELL	HIGH COST	20	02/08/2013	06/23/2014	463 11	527 67		(64 56)
SELL	HIGH COST	70	12/10/2012	09/18/2014	1,495 55	1,673 74		(178 19)
SELL	HIGH COST	5	08/02/2013	09/18/2014	106 83	123 22		(16 39)
SALE DATE TOTAL		75	VARIOUS	09/18/2014	1,602 38	1,796 96		(194 58)
SELL	HIGH COST	36	12/10/2012	10/09/2014	751 31	860 78		(109 47)
SELL	HIGH COST	2	12/10/2012	10/09/2014	41 93	47 82		(5 89)
SALE DATE TOTAL		38	VARIOUS	10/09/2014	793 24	908 60		(115 36)
SELL	HIGH COST	17	12/10/2012	10/13/2014	359 55	406 48		(46 93)
SELL	HIGH COST	48	12/10/2012	10/14/2014	1,031 28	1,147 71		(116 43)
SELL	HIGH COST	17	12/10/2012	10/15/2014	363 12	406 48		(43 36)
SECURITY TOTAL					6,618 78	7,642 55		(1,023 77)
<b>Long-Term Covered Total</b>					309,379 56	270,270 95		39,108 61

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
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Account Number: QWV-001154

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Number \*\*-\*0348**2014 TAX and  
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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
<b>Covered Total</b>					533,667 25	489,584 26	66 80 <b>W</b>	44,149 79

Short Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part I, with Box B checked

Noncovered (Box 5)

**Description (Box 1a): BIGLARI HLDGS INC SU BSCRIPTION RT EXP 09 /12/2014****CUSIP: 08986R127**

SELL	HIGH COST	2	08/21/2014	09/05/2014	37 03	0 00		37 03
<b>Short-Term Noncovered Total</b>					37 03	0 00		37 03

Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked

Noncovered (Box 5)

**Description (Box 1a): ENSTAR GROUP LIMITED ORD SHS ISIN#BMG307 5P1014****CUSIP: G3075P101**

SELL	HIGH COST	50	05/11/2010	10/27/2014	6,904 32	3,201 14		3,703 18
SELL	HIGH COST	20	06/09/2010	10/27/2014	2,761 73	1,300 49		1,461 24
SALE DATE TOTAL		70	VARIOUS	10/27/2014	9,666 05	4,501 63		5,164 42

**Description (Box 1a): BIGLARI HLDGS INC CL A****CUSIP: 08986R101**

SELL	HIGH COST	2	09/12/2013	10/27/2014	653 26	530 00		123 26
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**Description (Box 1a): CARRIZO OIL & GAS IN C COM****CUSIP: 144577103**

SELL	HIGH COST	80	05/24/2010	10/27/2014	3,699 58	1,312 04		2,387 54
SELL	HIGH COST	130	06/10/2010	10/27/2014	6,011 82	2,520 86		3,490 96
SALE DATE TOTAL		210	VARIOUS	10/27/2014	9,711 40	3,832 90		5,878 50

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## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001154

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked								
Noncovered (Box 5) (Continued)								
<b>Description (Box 1a): CORE MARK HLDG CO IN C COM</b>					<b>CUSIP: 218681104</b>			
SELL	HIGH COST	5	11/18/2010	10/13/2014	262 25	88 68		173 57
SELL	HIGH COST	10	11/18/2010	10/14/2014	527 70	177 37		350 33
SELL	HIGH COST	180	09/24/2010	10/27/2014	9,898 68	2,782 80		7,115 88
SELL	HIGH COST	85	11/18/2010	10/27/2014	4,674 38	1,507 61		3,166 77
SALE DATE TOTAL		265	VARIOUS	10/27/2014	14,573 06	4,290 41		10,282 65
SECURITY TOTAL					15,363 01	4,556 46		10,806 55

<b>Description (Box 1a): DELTIC TIMBER CORP C OM</b>					<b>CUSIP: 247850100</b>			
SELL	HIGH COST	3	12/21/2006	08/27/2014	201 06	162 48		38 58
SELL	HIGH COST	1	12/21/2006	08/28/2014	66 87	54 16		12 71
SELL	HIGH COST	8	12/21/2006	08/29/2014	528 52	433 27		95 25
SELL	HIGH COST	6	12/21/2006	09/02/2014	402 40	324 95		77 45
SELL	HIGH COST	17	12/21/2006	09/08/2014	1,136 90	920 70		216 20
SELL	HIGH COST	135	12/21/2006	10/27/2014	8,607 08	7,311 41		1,295 67
SECURITY TOTAL					10,942 83	9,206 97		1,735 86

<b>Description (Box 1a): ENCORE WIRE CORP</b>					<b>CUSIP: 292562105</b>			
SELL	HIGH COST	240	07/24/2008	10/27/2014	8,758 60	4,553 23		4,205 37

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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked								
Noncovered (Box 5) (Continued)								
<b>Description (Box 1a): GATX CORP</b>			<b>CUSIP: 361448103</b>					
SELL	HIGH COST	7	06/22/2009	04/29/2014	443 96	161 03		282 93
SELL	HIGH COST	14	07/06/2009	04/29/2014	901 07	354 02		547 05
SELL	HIGH COST	1	07/06/2009	04/29/2014	63 42	25 29		38 13
SALE DATE TOTAL		22	VARIOUS	04/29/2014	1,408 45	540 34		868 11
SELL	HIGH COST	28	06/22/2009	04/30/2014	1,806 52	644 11		1,162 41
SELL	HIGH COST	20	06/22/2009	05/07/2014	1,263 33	460 08		803 25
SELL	HIGH COST	65	06/22/2009	06/04/2014	4,125 89	1,495 25		2,630 64
SECURITY TOTAL					8,604 19	3,139 78		5,464 41

**Description (Box 1a): INVESTORS BANCORP IN C NEW COM****CUSIP: 46146L101**

CASH IN LIEU	HIGH COST	250	03/17/2006	05/08/2014	2 61	1 37		1 24
SELL	HIGH COST	165 500	03/17/2006	10/27/2014	1,674 28	904 08		770 20
SELL	HIGH COST	994 500	07/14/2008	10/27/2014	10,060 82	4,996 95		5,063 87
SALE DATE TOTAL		1,160	VARIOUS	10/27/2014	11,735 10	5,901 03		5,834 07
SECURITY TOTAL					11,737 71	5,902 40		5,835 31

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## Recipient's Name and Address:

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FOUNDATION

Account Number: QWV-001154

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As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked								
Noncovered (Box 5) (Continued)								
<b>Description (Box 1a): SYMETRA FINL CORP CO M</b>					<b>CUSIP: 87151Q106</b>			
SELL	HIGH COST	170	01/22/2010	10/27/2014	3,817.44	2,208.50		1,608.94
SELL	HIGH COST	250	01/27/2010	10/27/2014	5,613.88	3,203.88		2,410.00
SELL	HIGH COST	90	06/14/2010	10/27/2014	2,021.00	1,122.03		898.97
SELL	HIGH COST	59	09/07/2010	10/27/2014	1,324.87	634.84		690.03
SELL	HIGH COST	28	09/08/2010	10/27/2014	628.75	294.28		334.47
SELL	HIGH COST	57	09/09/2010	10/27/2014	1,279.96	605.48		674.48
SELL	HIGH COST	106	09/10/2010	10/27/2014	2,380.28	1,130.49		1,249.79
SELL	HIGH COST	90	10/05/2010	10/27/2014	2,021.00	960.30		1,060.70
SALE DATE TOTAL		850	VARIOUS	10/27/2014	19,087.18	10,159.80		8,927.38

<b>Description (Box 1a): VAIL RESORTS INC COM</b>					<b>CUSIP: 91879Q109</b>			
SELL	HIGH COST	1	04/03/2009	05/01/2014	67.96	24.77		43.19
SELL	HIGH COST	3	04/03/2009	05/01/2014	196.96	74.31		122.65
SELL	HIGH COST	3	04/03/2009	05/01/2014	197.36	74.31		123.05
SELL	HIGH COST	10	05/28/2009	05/01/2014	679.55	272.84		406.71
SALE DATE TOTAL		17	VARIOUS	05/01/2014	1,141.83	446.23		695.60
SELL	HIGH COST	23	04/03/2009	05/05/2014	1,539.11	569.69		969.42

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001154**Recipient's Identification  
Number \*\*-\*\*\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715 (Continued)****(For individuals, report details on Form 8949)**

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or Loss
Long Term Transactions for Which Basis Is Not Reported to the IRS Report on Form 8949, Part II, with Box E checked								
Noncovered (Box 5) (Continued)								
<b>Description (Box 1a): VAIL RESORTS INC COM</b>					<b>CUSIP: 91879Q109 (Continued)</b>			
SECURITY TOTAL					2,680 94	1,015 92		1,665 02
<b>Long-Term Noncovered Total</b>					97,205 17	47,399 09		49,806 08
<b>Noncovered Total</b>					97,242 20	47,399 09		49,843 11
<b>Total</b>					630,909 45	536,983 35	66 80 W	93,992 90

**IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions**

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short term and long term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year End Statement, the date of acquisition, cost or other basis, type of gain or loss (short term or long term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

**Type of Gain or Loss (Box 2)** The section headings within the 1099-B indicate the type of gain or loss for the transactions, short term or long term.

**Covered (Box 3) or Noncovered (Box 5) Security** The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

**Description and Quantity (Box 1a)** Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

**CUSIP** Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

**Disposition Transaction** This column will denote the type of transaction, for example, SELL.

**Disposition Method** The method used to select which lot will be disposed, for example, first in first out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax lot disposition method.

**Date Acquired (Box 1b)** This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

**Date Sold or Disposed (Box 1c)** Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale.

**Proceeds (Box 1d)** Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums). Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

**Cost or Other Basis (Box 1e)** This box shows the original cost, or adjusted cost basis, due to a corporate action, gifted or inherited cost basis. The IRS provides a detailed description of cost or other basis reporting in the 2014 Instructions for Form 1099-B, available at [irs.gov](http://irs.gov). For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications: IRS instructions for Schedule D and Form 8949, IRS Publication 550 (Investment Income and Expenses (Including Capital Gains and Losses)) and IRS Publication 551 (Basis of Assets). Average cost is segregated among covered, noncovered and gifted (see the Tax Guide at [mytaxhandbook.com](http://mytaxhandbook.com) for more specific details).

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001196**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015**

**IMPORTANT MESSAGE:** Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March. Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach: January 31, February 17, February 28 and March 16. As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit [mytaxhandbook.com](http://mytaxhandbook.com).

**Summary Of Transactions We Do Not Report To The IRS**

(See instructions for additional information)

	Amount
<b>Advisory Fees</b> .....	<b>(1,104.80)</b>

**2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715**

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition	Quantity	Date	Date Sold or	Proceeds	Cost or	Adjustments	Realized
Transaction	Disposition Method	Acquired	Disposed	(Box 1d)	Other Basis	D=Market Discount O=Option Premium W=Wash Sale Loss	Gain or
	(Box 1a)	(Box 1b)	(Box 1c)		(Box 1e)		(Loss)

Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked

Covered (Box 3)

**Description (Box 1a): DIREXION SHS ETF TR DAILY S&P 500 BULL 2 X SHS NEW****CUSIP: 25459Y165**

CASH IN LIEU	HIGH COST	333	10/01/2014	11/18/2014	46.56	42.12	4.44
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**Description (Box 1a): ISHARES TR CORE S&P 500 ETF****CUSIP: 464287200**

SELL	HIGH COST	598	11/30/2013	07/01/2014	118,536.66	112,991.48	5,545.18
SELL	HIGH COST	388	07/16/2014	08/18/2014	76,990.76	77,279.44	288.68 <sup>W</sup> 0.00
SELL	HIGH COST	1	07/16/2014	09/02/2014	201.76	199.17	2.59

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**Recipient's Name and Address:**MICHAEL T RIORDAN FAMILY  
FOUNDATION**Account Number: QWV-001196**Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): ISHARES TR CORE S&amp;P 500 ETF</b>					<b>CUSIP: 464287200</b> (Continued)			
SELL	HIGH COST	386	08/01/2014	09/02/2014	77,879 91	75,150 15		2,729 76
	Wash sale 33 day(s) added to holding period							
	SALE DATE TOTAL	387	VARIOUS	09/02/2014	78,081 67	75,349 32		2,732 35
SELL	HIGH COST	2	09/16/2014	10/16/2014	373 93	404 08	30 15 <b>W</b>	0 00
	Wash sale 33 day(s) added to holding period							
SELL	HIGH COST	2	09/16/2014	10/16/2014	373 93	432 74	58 81 <b>W</b>	0 00
	Wash sale 30 day(s) added to holding period							
SELL	HIGH COST	409	09/16/2014	10/16/2014	76,469 08	82,328 72	5,859 64 <b>W</b>	0 00
	SALE DATE TOTAL	413	VARIOUS	10/16/2014	77,216 94	83,165 54	5,948 60 <b>W</b>	0 00
SELL	HIGH COST	2	10/01/2014	11/03/2014	406 05	451 89	45 84 <b>W</b>	0 00
	Wash sale 60 day(s) added to holding period							
SELL	HIGH COST	29	10/01/2014	11/03/2014	5,887 73	6,115 21	227 48 <b>W</b>	0 00
	Wash sale 30 day(s) added to holding period							
	SALE DATE TOTAL	31	VARIOUS	11/03/2014	6,293 78	6,567 10	273 32 <b>W</b>	0 00
SELL	HIGH COST	380	10/01/2014	12/16/2014	76,490 78	80,130 39	3,620 45 <b>W</b>	(19 16)
	Wash sale 30 day(s) added to holding period							
SELL	HIGH COST	2	11/18/2014	12/16/2014	402 58	459 39	56 81 <b>W</b>	0 00



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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): ISHARES TR CORE S&amp;P 500 ETF</b>					<b>CUSIP: 464287200</b> (Continued)			
	Wash sale 33 day(s) added to holding period							
SELL	HIGH COST	29	11/18/2014	12/16/2014	5,837.45	6,223.93	386.48 <b>W</b>	0.00
	Wash sale 33 day(s) added to holding period							
SELL	HIGH COST	2	11/18/2014	12/16/2014	402.58	470.36		(67.78)
	Wash sale 28 day(s) added to holding period							
SELL	HIGH COST	29	11/18/2014	12/16/2014	5,837.46	6,382.94		(545.48)
	Wash sale 28 day(s) added to holding period							
SELL	HIGH COST	2	11/18/2014	12/16/2014	402.59	432.70		(30.11)
	Wash sale 76 day(s) added to holding period							
SALE DATE TOTAL		444	VARIOUS	12/16/2014	89,373.44	94,099.71	4,063.74 <b>W</b>	(662.53)
SECURITY TOTAL					446,493.25	449,452.59	10,574.34 <b>W</b>	7,615.00

**Description (Box 1a): ISHARES TR BARCLAYS 7-10 YR TREAS BD ETF****CUSIP: 464287440**

SELL	HIGH COST	751	07/16/2014	09/16/2014	77,315.92	77,613.60		(297.68)
SELL	HIGH COST	714	08/18/2014	09/16/2014	73,506.74	74,716.89		(1,210.15)
SALE DATE TOTAL		1,465	VARIOUS	09/16/2014	150,822.66	152,330.49		(1,507.83)

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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

							Adjustments	
Disposition		Quantity	Date	Date Sold or		Cost or	D=Market Discount	Realized
Transaction	Disposition Method	(Box 1a)	Acquired	Disposed	Proceeds	Other Basis	O=Option Premium	Gain or
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3)	(Continued)							
Description (Box 1a): ISHARES TR BARCLAYS 1-3 YR TREAS BD ETF					CUSIP: 464287457			
SELL	HIGH COST	758	08/01/2014	09/02/2014	64,044 27	64,091 17	46 90 <b>W</b>	0 00
SELL	HIGH COST	964	10/16/2014	11/03/2014	81,563 19	81,853 24		(290 05)
SELL	HIGH COST	758	10/01/2014	11/18/2014	64,167 98	64,161 42		6 56
Wash sale 32 day(s) added to holding period								
SELL	HIGH COST	328	10/01/2014	11/18/2014	27,766 62	27,743 49		23 13
SELL	HIGH COST	980	10/16/2014	11/18/2014	82,961 24	83,211 80		(250 56)
SALE DATE TOTAL		2,066	VARIOUS	11/18/2014	174,895 84	175,116 71		(220 87)
SECURITY TOTAL					320,503 30	321,061 12	46 90 <b>W</b>	(510 92)

**Description (Box 1a): ISHARES TR CORE S&P MID-CAP ETF****CUSIP: 464287507**

SELL	HIGH COST	573	07/01/2014	07/16/2014	80,615 93	82,898 73		(2,282 80)
SELL	HIGH COST	552	07/01/2014	08/01/2014	75,174 77	79,860 55		(4,685 78)
SELL	HIGH COST	530	09/02/2014	10/01/2014	71,815 11	76,475 77	4,660 66 <b>W</b>	0 00
SELL	HIGH COST	3	09/02/2014	10/16/2014	389 27	432 88	43 61 <b>W</b>	0 00
SELL	HIGH COST	530	09/16/2014	10/16/2014	68,771 59	80,095 72	11,324 13 <b>W</b>	0 00
Wash sale 29 day(s) added to holding period								
SELL	HIGH COST	75	09/16/2014	10/16/2014	9,731 83	12,277 25	2,545 42 <b>W</b>	0 00
Wash sale 30 day(s) added to holding period								

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As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): ISHARES TR CORE S&amp;P MID-CAP ETF</b>					<b>CUSIP: 464287507</b> (Continued)			
	SALE DATE TOTAL	608	VARIOUS	10/16/2014	78,892.69	92,805.85	13,913.16 <b>W</b>	0.00
SELL	HIGH COST	75	11/03/2014	12/16/2014	10,483.21	13,211.64	2,728.43 <b>W</b>	0.00
	Wash sale 30 day(s) added to holding period							
SELL	HIGH COST	455	11/03/2014	12/16/2014	63,598.17	74,430.03	10,831.86 <b>W</b>	0.00
	Wash sale 30 day(s) added to holding period							
SELL	HIGH COST	3	11/03/2014	12/16/2014	419.33	470.26	50.93 <b>W</b>	0.00
	Wash sale 44 day(s) added to holding period							
SELL	HIGH COST	75	11/18/2014	12/16/2014	10,483.21	13,514.97		(3,031.76)
	Wash sale 43 day(s) added to holding period							
SELL	HIGH COST	30	11/18/2014	12/16/2014	4,193.29	5,028.81		(835.52)
	Wash sale 43 day(s) added to holding period							
	SALE DATE TOTAL	638	VARIOUS	12/16/2014	89,177.21	106,655.71	13,611.22 <b>W</b>	(3,867.28)
	SECURITY TOTAL				395,675.71	438,696.61	32,185.04 <b>W</b>	(10,835.86)

**Description (Box 1a): ISHARES TR RUSSELL 2 000 ETF****CUSIP: 464287655**

SELL	HIGH COST	260	03/04/2014	07/16/2014	29,716.12	31,192.76		(1,476.64)
SELL	HIGH COST	412	07/01/2014	07/16/2014	47,088.62	49,629.16		(2,540.54)

COPY

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001196

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions**

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
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Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked

Covered (Box 3) (Continued)

**Description (Box 1a): ISHARES TR RUSSELL 2 000 ETF****CUSIP: 464287655** (Continued)

SALE DATE TOTAL		672	VARIOUS	07/16/2014	76,804.74	80,821.92		(4,017.18)
SELL	HIGH COST	680	03/04/2014	08/01/2014	75,072.99	81,581.05		(6,508.06)
SELL	HIGH COST	656	09/02/2014	10/01/2014	70,974.35	76,860.11		(5,885.76)
SECURITY TOTAL					222,852.08	239,263.08		(16,411.00)

**Description (Box 1a): ISHARES TR 3-7 YR TR EAS BD ETF****CUSIP: 464288661**

SELL	HIGH COST	355	08/01/2014	09/02/2014	43,163.03	43,119.83		43.20
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**Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA S&P500****CUSIP: 74347R107**

SELL	HIGH COST	606	11/30/2013	07/01/2014	71,041.62	63,802.07		7,239.55
SELL	HIGH COST	280	07/16/2014	08/18/2014	32,790.89	33,114.20	323.31 W	0.00
SELL	HIGH COST	13	08/01/2014	08/18/2014	1,522.43	1,470.90		51.53
Wash sale 33 day(s) added to holding period								
SALE DATE TOTAL		293	VARIOUS	08/18/2014	34,313.32	34,585.10	323.31 W	51.53
SELL	HIGH COST	267	08/01/2014	09/02/2014	32,306.15	30,209.92		2,096.23
Wash sale 33 day(s) added to holding period								
SELL	HIGH COST	13	08/01/2014	09/02/2014	1,572.96	1,455.89		117.07
SALE DATE TOTAL		280	VARIOUS	09/02/2014	33,879.11	31,665.81		2,213.30

COPY

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001196

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA S&amp;P500</b>					<b>CUSIP: 74347R107</b> (Continued)			
SELL	HIGH COST	175	09/16/2014	10/16/2014	18,270 27	21,060 53		(2,790 26)
SELL	HIGH COST	73	11/18/2014	12/16/2014	8,798 06	9,299 07		(501 01)
SECURITY TOTAL					166,302 38	160,412 58	323 31 <b>W</b>	6,213 11

**Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA 7-10 YR TRE S****CUSIP: 74347R180**

SELL	HIGH COST	615	07/16/2014	09/16/2014	32,992 91	33,070 09	14 68 <b>W</b>	(62 50)
SELL	HIGH COST	568	08/18/2014	09/16/2014	30,471 50	31,395 40	923 90 <b>W</b>	0 00
SALE DATE TOTAL		1,183	VARIOUS	09/16/2014	63,464 41	64,465 49	938 58 <b>W</b>	(62 50)
SELL	HIGH COST	209	10/16/2014	11/03/2014	11,367 21	12,111 54		(744 33)
Wash sale 29 day(s) added to holding period								
SELL	HIGH COST	196	10/01/2014	11/18/2014	10,748 14	10,859 49		(111 35)
Wash sale 29 day(s) added to holding period								
SELL	HIGH COST	163	10/16/2014	11/18/2014	8,938 51	9,445 85	507 34 <b>W</b>	0 00
Wash sale 29 day(s) added to holding period								
SELL	HIGH COST	117	10/16/2014	11/18/2014	6,415 99	6,604 52	22 56 <b>W</b>	(165 97)
Wash sale 62 day(s) added to holding period								
SALE DATE TOTAL		476	VARIOUS	11/18/2014	26,102 64	26,909 86	529 90 <b>W</b>	(277 32)

COPY

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001196

Recipient's Identification  
Number \*\*-\*0348**2014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015****2014 Form 1099-B****Proceeds From Broker and Barter Exchange Transactions****OMB No. 1545-0715** (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
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Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked

Covered (Box 3) (Continued)

**Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA 7-10 YR TRE S****CUSIP: 74347R180** (Continued)

SECURITY TOTAL					100,934.26	103,486.89	1,468.48 <b>W</b>	(1,084.15)
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**Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA MIDCAP400****CUSIP: 74347R404**

SELL	HIGH COST	467	07/01/2014	07/16/2014	32,432.66	34,331.63		(1,898.97)
SELL	HIGH COST	477	07/01/2014	08/01/2014	30,990.67	35,066.79		(4,076.12)
SELL	HIGH COST	441	09/02/2014	10/01/2014	28,428.57	32,115.21	2,524.64 <b>W</b>	(1,162.00)
SELL	HIGH COST	9	09/02/2014	10/16/2014	530.72	655.41		(124.69)
SELL	HIGH COST	302	09/16/2014	10/16/2014	17,808.76	23,901.68	2,945.58 <b>W</b>	(3,147.34)

Wash sale 29 day(s) added to holding period

SALE DATE TOTAL		311	VARIOUS	10/16/2014	18,339.48	24,557.09	2,945.58 <b>W</b>	(3,272.03)
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SELL	HIGH COST	125	11/03/2014	12/16/2014	8,505.95	11,352.56	2,846.61 <b>W</b>	0.00
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Wash sale 30 day(s) added to holding period

SECURITY TOTAL					118,697.33	137,423.28	8,316.83 <b>W</b>	(10,409.12)
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**Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA RUSSELL2000****CUSIP: 74347R842**

SELL	HIGH COST	297	03/04/2014	07/16/2014	24,654.61	27,181.56		(2,526.95)
SELL	HIGH COST	63	07/01/2014	07/16/2014	5,229.76	5,771.35		(541.59)
SALE DATE TOTAL		360	VARIOUS	07/16/2014	29,884.37	32,952.91		(3,068.54)

COPY

## Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY  
FOUNDATION

Account Number: QWV-001196

Recipient's Identification  
Number \*\*-\*03482014 TAX and  
YEAR-END STATEMENT  
As of 03/16/2015

## 2014 Form 1099-B

## Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short Term Transactions for Which Basis Is Reported to the IRS Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) (Continued)								
<b>Description (Box 1a): PROSHARES TR PROSHAR ES ULTRA RUSSELL2000</b>					<b>CUSIP: 74347R842 (Continued)</b>			
SELL	HIGH COST	399	03/04/2014	08/01/2014	30,849 43	36,516 65		(5,667 22)
SELL	HIGH COST	378	09/02/2014	10/01/2014	28,101 93	32,829 00		(4,727 07)
SECURITY TOTAL					88,835 73	102,298 56		(13,462 83)
<b>Short-Term Covered Total</b>					2,054,326 29	2,147,587 15	52,914 90 <b>W</b>	(40,345 96)
<b>Covered Total</b>					2,054,326 29	2,147,587 15	52,914 90 <b>W</b>	(40,345 96)
<b>Total</b>					2,054,326 29	2,147,587 15	52,914 90 <b>W</b>	(40,345 96)

## IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short term or long term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

**Type of Gain or Loss (Box 2)** The section headings within the 1099-B indicate the type of gain or loss for the transactions, short term or long term.

**Covered (Box 3) or Noncovered (Box 5) Security** The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

**Description and Quantity (Box 1a)** Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

**CUSIP** Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

**Disposition Transaction** This column will denote the type of transaction, for example, SELL.

**Disposition Method** The method used to select which lot will be disposed, for example, first in first out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax lot disposition method.

**Date Acquired (Box 1b)** This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word VARIOUS displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

**Date Sold or Disposed (Box 1c)** Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale.

**Proceeds (Box 1d)** Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums). Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

## Income for 2014

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown, it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (\*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount." We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

### Short Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
<b>CANON INC ADR REPSTG 5 SHS</b>		<b>138006309</b>								
04/21/14	05/28/13	55	\$1,727.05	\$2,004.98	\$-277.93		\$0.00	\$0.00	\$0.00	
04/21/14	05/29/13	55	\$1,727.05	\$1,943.15	\$-216.10		\$0.00	\$0.00	\$0.00	
04/21/14	05/30/13	109	\$3,422.69	\$3,911.68	\$-488.99		\$0.00	\$0.00	\$0.00	
04/21/14	05/31/13	108	\$3,391.29	\$3,726.00	\$-334.71		\$0.00	\$0.00	\$0.00	
04/30/14	06/10/13	60	\$1,881.99	\$1,992.57	\$-110.58		\$0.00	\$0.00	\$0.00	
04/30/14	06/05/13	102	\$3,199.38	\$3,385.71	\$-186.33		\$0.00	\$0.00	\$0.00	
05/01/14	06/05/13	11	\$347.87	\$365.13	\$-17.26		\$0.00	\$0.00	\$0.00	



**Short Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>CANON INC ADR REPSTG 5 SHS</b>		<b>138006309</b>									
05/01/14	06/11/13	45	\$1,423.08	\$1,451.19	\$-28.11		\$0.00		\$0.00	\$0.00	\$0.00
<b>GLAXO SMITHKLINE PLC</b>		<b>37733W105</b>									
11/18/14	02/25/14	125	\$5,774.07	\$6,959.13	\$-1,185.06		\$0.00		\$0.00	\$0.00	\$0.00
<b>ROYAL DUTCH SHELL PLC SPONS ADR</b>		<b>780259206</b>									
07/02/14	03/23/14	0	\$0.02	\$0.02	\$0.00		\$0.00		\$0.00	\$0.00	\$0.00
07/02/14	03/27/14	0	\$0.01	\$0.01	\$0.00		\$0.00		\$0.00	\$0.00	\$0.00
07/02/14	03/23/14	0	\$0.05	\$0.05	\$0.00		\$0.00		\$0.00	\$0.00	\$0.00
07/02/14	06/26/14	0.68	\$56.66	\$56.34	\$0.32		\$0.00		\$0.00	\$0.00	\$0.00
<i>Total Short Term Gain/Loss</i>			<i>\$22,951.21</i>	<i>\$25,795.96</i>	<i>\$-2,844.75</i>		<i>\$0.00</i>		<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>CANON INC ADR REPSTG 5 SHS</b>		<b>138006309</b>									
04/21/14	02/26/10	100	\$3,140.08	\$3,641.89	\$-501.81		\$0.00		\$0.00	\$0.00	\$0.00
04/21/14	08/02/12	238	\$7,473.40	\$8,108.59	\$-635.19		\$0.00		\$0.00	\$0.00	\$0.00
04/29/14	08/02/12	19	\$592.41	\$647.32	\$-54.91		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
<b>CANON INC ADR REPSTG 5 SHS</b>		<b>138006309</b>								
04/30/14	08/02/12	2	\$62 70	\$68 14	\$-5 44		\$0 00	\$0 00	\$0 00	
04/30/14	08/02/12	6	\$183 20	\$204 42	\$-16 22		\$0 00	\$0 00	\$0 00	
05/01/14	07/17/09	100	\$3,162 41	\$3,314 92	\$-152 51		\$0 00	\$0 00	\$0 00	
05/02/14	11/15/12	4	\$126 85	\$128 41	\$-1 56		\$0 00	\$0 00	\$0 00	
05/02/14	11/15/12	79	\$2,504 30	\$2,536 04	\$-31 74		\$0 00	\$0 00	\$0 00	
05/07/14	11/15/12	4	\$125 28	\$128 41	\$-3 13		\$0 00	\$0 00	\$0 00	
05/08/14	11/15/12	34	\$1 065 82	\$1,091 46	\$-25 64		\$0 00	\$0 00	\$0 00	
<b>GLAXO SMITHKLINE PLC</b>		<b>37733W105</b>								
11/18/14	08/02/12	27	\$1,247 20	\$1,253 13	\$-5 93		\$0 00	\$0 00	\$0 00	
11/19/14	08/02/12	646	\$29,855 97	\$29,982 35	\$-126 38		\$0 00	\$0 00	\$0 00	
11/19/14	11/15/12	167	\$7,718 19	\$7 005 65	\$712 54		\$0 00	\$0 00	\$0 00	
<b>SMITH &amp; NEPHEW P L C</b>		<b>83175M205</b>								
11/18/14	08/02/12	62	\$2,095 71	\$1 299 97	\$795 74		\$0 00	\$0 00	\$0 00	
11/19/14	08/02/12	503	\$17,119 01	\$10 546 50	\$6,572 51		\$0 00	\$0 00	\$0 00	
<b>ASPEN INSURANCE HOLDIN USD</b>		<b>G05384105</b>								
11/18/14	08/02/12	31	\$1,329 74	\$901 32	\$428 42		\$0 00	\$0 00	\$0 00	
11/18/14	11/15/12	84	\$3,603 17	\$2,507 95	\$1,095 22		\$0 00	\$0 00	\$0 00	
11/19/14	08/02/12	859	\$36 815 16	\$24,975 16	\$11,840 00		\$0 00	\$0 00	\$0 00	

**Long Term Transactions**

DESCRIPTION		CUSIP						Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments		
<b>ASPEN INSURANCE HOLDIN USD</b>		<b>G05384105</b>							
11/20/14	08/02/12	228	\$9,849.61	\$6,629.03	\$3,220.58		\$0.00	\$0.00	\$0.00
<i>Total Long Term Gain/Loss</i>			<i>\$128,075.21</i>	<i>\$104,970.66</i>	<i>\$23,104.55</i>		<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>

## Income for 2014

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (\*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount." We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

### Short Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
<b>ARM HLDGS PLC</b>		<b>042068106</b>								
05/22/14	07/17/13	100	\$4,497.06	\$4,166.41	\$330.65		\$0.00	\$0.00	\$0.00	
<b>ATHENAHEALTH INC</b>		<b>04685W103</b>								
05/22/14	05/14/14	25	\$2,938.94	\$2,948.61	\$-9.67		\$0.00	\$0.00	\$0.00	
05/22/14	02/03/14	30	\$3,526.73	\$4,181.36	\$-654.63		\$0.00	\$0.00	\$0.00	
<b>BAIDU COM INC</b>		<b>056752108</b>								
05/22/14	07/17/13	210	\$34,302.53	\$22,765.60	\$11,536.93		\$0.00	\$0.00	\$0.00	
05/22/14	06/19/13	105	\$17,151.27	\$9,983.54	\$7,167.73		\$0.00	\$0.00	\$0.00	

**Short Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>BAIDU COM INC</b>		<b>056752108</b>									
05/22/14	08/06/13	60	\$9,800.72	\$8,033.86	\$1,766.86		\$0.00		\$0.00	\$0.00	\$0.00
<b>BIOMARIN PHARMACEUTICAL INC</b>		<b>09061G101</b>									
05/22/14	04/23/14	35	\$1,965.67	\$2,109.50	\$-143.83		\$0.00		\$0.00	\$0.00	\$0.00
<b>FMC TECHNOLOGIES INC</b>		<b>30249U101</b>									
05/22/14	12/18/13	80	\$4,486.17	\$4,160.10	\$326.07		\$0.00		\$0.00	\$0.00	\$0.00
<b>INTUITIVE SURGICAL INC</b>		<b>46120E602</b>									
05/23/14	08/06/13	12	\$4,374.39	\$4,726.75	\$-352.36		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	12/20/13	13	\$4,738.92	\$4,717.96	\$20.96		\$0.00		\$0.00	\$0.00	\$0.00
<b>LINKEDIN CORP - A</b>		<b>53578A108</b>									
05/22/14	09/05/13	44	\$6,857.52	\$9,812.00	\$-2,954.48		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	05/12/14	35	\$5,454.85	\$5,240.49	\$214.36		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	04/21/14	70	\$10,909.69	\$12,462.80	\$-1,553.11		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	02/11/14	40	\$6,234.11	\$8,139.18	\$-1,905.07		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/22/13	26	\$4,052.17	\$5,658.85	\$-1,606.68		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	10/17/13	31	\$4,831.44	\$7,415.07	\$-2,583.63		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	10/26/13	9	\$1,402.67	\$2,160.07	\$-757.40		\$0.00		\$0.00	\$0.00	\$0.00
<b>MONSANTO CO NEW</b>		<b>61166W101</b>									
05/22/14	03/05/14	51	\$6,084.16	\$5,764.93	\$319.23		\$0.00		\$0.00	\$0.00	\$0.00

**Short Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>MONSANTO CO NEW</b>		<b>61166W101</b>									
05/23/14	09/20/13	5	\$599.91	\$530.21	\$69.70		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	03/05/14	24	\$2,879.55	\$2,712.91	\$166.64		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	08/06/13	255	\$30,595.26	\$24,541.94	\$6,053.32		\$0.00		\$0.00	\$0.00	\$0.00
<b>NATIONAL -OILWELL INC</b>		<b>637071101</b>									
05/23/14	11/22/13	100	\$8,218.44	\$8,353.13	-\$134.69		\$0.00		\$0.00	\$0.00	\$0.00
<b>REGENERON PHARMACEUTICALS INC</b>		<b>75886F107</b>									
05/23/14	05/12/14	15	\$4,470.35	\$4,290.36	\$179.99		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	04/21/14	15	\$4,470.35	\$4,494.60	-\$24.25		\$0.00		\$0.00	\$0.00	\$0.00
<b>SCHWAB CHARLES CORP NEW</b>		<b>808513105</b>									
05/22/14	11/27/13	270	\$6,903.75	\$6,695.38	\$208.37		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	08/06/13	430	\$10,994.86	\$9,716.93	\$1,277.93		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	12/18/13	340	\$8,693.61	\$8,447.88	\$245.73		\$0.00		\$0.00	\$0.00	\$0.00
<b>SOUTHWESTERN ENERGY CO</b>		<b>845467109</b>									
05/23/14	11/27/13	90	\$4,010.60	\$3,502.40	\$508.20		\$0.00		\$0.00	\$0.00	\$0.00
<b>SPLUNK INC</b>		<b>848637104</b>									
05/22/14	10/29/13	40	\$1,829.96	\$2,475.49	-\$645.53		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	05/12/14	55	\$2,516.19	\$2,609.97	-\$93.78		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	04/21/14	90	\$4,117.41	\$5,766.48	-\$1,649.07		\$0.00		\$0.00	\$0.00	\$0.00

**Short Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>ULTA SALON COSMETICS &amp;</b>		<b>90384S303</b>									
04/21/14	05/10/13	35	\$3,145.34	\$3,218.24	\$-72.90		\$0.00		\$0.00	\$0.00	\$0.00
<b>WHOLE FOODS MKT INC</b>		<b>966837106</b>									
05/22/14	05/05/14	220	\$8,243.36	\$10,668.88	\$-2,425.52		\$0.00		\$0.00	\$0.00	\$0.00
<i>Total Short Term Gain/Loss</i>			\$235,297.95	\$222,471.88	\$12,826.07		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>ALEXION PHARMACEUTICALS INC</b>		<b>015351109</b>									
05/22/14	10/06/10	110	\$17,651.30	\$3,678.04	\$13,973.26		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	10/06/10	70	\$11,307.61	\$2,340.57	\$8,967.04		\$0.00		\$0.00	\$0.00	\$0.00
<b>AMAZON COM INC</b>		<b>023135106</b>									
05/23/14	03/13/08	190	\$58,642.92	\$12,342.07	\$46,300.85		\$0.00		\$0.00	\$0.00	\$0.00
<b>ARM HLDGS PLC</b>		<b>042068106</b>									
05/22/14	02/07/13	80	\$3,597.65	\$3,519.63	\$78.02		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	01/18/13	495	\$22,260.44	\$20,513.69	\$1,746.75		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>ATHENAHEALTH INC</b>		<b>04685W103</b>									
05/22/14	03/14/12	110	\$12,931.33	\$8,405.67	\$4,525.66		\$0.00		\$0.00	\$0.00	\$0.00
<b>BIOMARIN PHARMACEUTICAL INC</b>		<b>09061G101</b>									
05/22/14	11/15/12	30	\$1,684.86	\$1,422.90	\$261.96		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/01/12	200	\$11,232.39	\$7,439.68	\$3,792.71		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/16/12	5	\$280.81	\$238.40	\$42.41		\$0.00		\$0.00	\$0.00	\$0.00
<b>BIOGEN IDEC INC</b>		<b>09062X103</b>									
05/23/14	04/05/13	15	\$4,531.82	\$2,897.31	\$1,634.51		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	03/25/13	115	\$34,743.98	\$20,393.13	\$14,350.85		\$0.00		\$0.00	\$0.00	\$0.00
<b>CERNER CORP COM</b>		<b>156782104</b>									
05/23/14	10/05/12	105	\$5,549.94	\$4,142.13	\$1,407.81		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	03/12/12	340	\$17,971.22	\$12,901.39	\$5,069.83		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	11/15/12	50	\$2,642.83	\$1,874.75	\$768.08		\$0.00		\$0.00	\$0.00	\$0.00
<b>CHIPOTLE MEXICAN GRILL INC</b>		<b>169656105</b>									
05/22/14	12/31/12	8	\$4,163.79	\$2,348.71	\$1,815.08		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/20/12	15	\$7,807.11	\$4,013.89	\$3,793.22		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/15/12	10	\$5,204.74	\$2,551.10	\$2,653.64		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/12/12	50	\$26,023.70	\$13,097.09	\$12,926.61		\$0.00		\$0.00	\$0.00	\$0.00



**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>FMC TECHNOLOGIES INC</b>		<b>30249U101</b>									
05/22/14	11/03/08	410	\$22,991.64	\$7,351.72	\$15,639.92		\$0.00		\$0.00	\$0.00	\$0.00
<b>FACEBOOK INC</b>		<b>30303M102</b>									
05/22/14	07/31/12	155	\$9,378.67	\$3,364.80	\$6,013.87		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	12/11/12	332	\$20,088.51	\$9,293.28	\$10,795.23		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	02/22/13	165	\$9,983.75	\$4,522.82	\$5,460.93		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/15/12	65	\$3,932.99	\$1,431.30	\$2,501.69		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	05/17/12	178	\$10,770.35	\$6,764.00	\$4,006.35		\$0.00		\$0.00	\$0.00	\$0.00
<b>GOOGLE INC</b>		<b>38259P508</b>									
05/22/14	10/10/11	4	\$2,223.93	\$1,069.91	\$1,154.02		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	11/05/04	63	\$35,026.88	\$5,362.86	\$29,664.02		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	04/02/12	11	\$6,115.80	\$3,539.65	\$2,576.15		\$0.00		\$0.00	\$0.00	\$0.00
<b>GOOGLE INC</b>		<b>38259P706</b>									
05/22/14	11/05/04	63	\$34,336.76	\$5,345.72	\$28,991.04		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	10/10/11	4	\$2,180.11	\$1,066.49	\$1,113.62		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	04/02/12	11	\$5,995.31	\$3,528.33	\$2,466.98		\$0.00		\$0.00	\$0.00	\$0.00
<b>INTUITIVE SURGICAL INC</b>		<b>46120E602</b>									
05/23/14	08/25/05	35	\$12,758.63	\$2,662.00	\$10,096.63		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>LAS VEGAS SANDS CORP</b>		<b>517834107</b>									
05/22/14	11/10/08	425	\$31,768.89	\$2,058.14	\$29,710.75		\$0.00		\$0.00	\$0.00	\$0.00
<b>NATIONAL-OILWELL INC</b>		<b>637071101</b>									
05/23/14	01/22/09	280	\$23,011.62	\$6,604.98	\$16,406.64		\$0.00		\$0.00	\$0.00	\$0.00
<b>NIKE INC CL B</b>		<b>654106103</b>									
05/23/14	06/03/09	55	\$4,177.46	\$1,581.86	\$2,595.60		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	09/14/09	400	\$30,381.52	\$10,942.32	\$19,439.20		\$0.00		\$0.00	\$0.00	\$0.00
<b>PRICELINE COM INC</b>		<b>741503403</b>									
05/22/14	03/02/11	25	\$29,455.84	\$11,218.17	\$18,237.67		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	05/16/11	5	\$5,891.17	\$2,542.60	\$3,348.57		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	10/10/11	10	\$11,782.34	\$4,828.46	\$6,953.88		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	09/16/11	5	\$5,891.17	\$2,654.55	\$3,236.62		\$0.00		\$0.00	\$0.00	\$0.00
<b>REGENERON PHARMACEUTICALS INC</b>		<b>75886F107</b>									
05/23/14	05/10/13	40	\$11,920.93	\$10,934.08	\$986.85		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	08/19/11	70	\$20,861.64	\$3,738.09	\$17,123.55		\$0.00		\$0.00	\$0.00	\$0.00
<b>SALESFORCE COM INC</b>		<b>79466L302</b>									
05/22/14	06/25/09	700	\$37,267.24	\$6,917.65	\$30,349.59		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	02/01/07	335	\$17,835.04	\$3,799.27	\$14,035.77		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>SCHLUMBERGER LIMITED COM</b>		<b>806857108</b>									
05/23/14	01/22/09	100	\$10,145.08	\$3,651.00	\$6,494.08		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	02/01/07	200	\$20,290.17	\$12,300.00	\$7,990.17		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	11/28/06	40	\$4,058.03	\$2,637.60	\$1,420.43		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	11/15/12	30	\$3,043.53	\$2,056.80	\$986.73		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	09/26/12	55	\$5,579.80	\$3,966.35	\$1,613.45		\$0.00		\$0.00	\$0.00	\$0.00
<b>SOUTHWESTERN ENERGY CO</b>		<b>845467109</b>									
05/23/14	12/15/10	325	\$14,482.72	\$11,484.20	\$2,998.52		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	09/01/11	60	\$2,673.73	\$2,368.16	\$305.57		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	11/15/12	30	\$1,336.87	\$1,043.10	\$293.77		\$0.00		\$0.00	\$0.00	\$0.00
05/23/14	02/22/13	260	\$11,586.17	\$8,691.07	\$2,895.10		\$0.00		\$0.00	\$0.00	\$0.00
<b>SPLUNK INC</b>		<b>848637104</b>									
05/22/14	04/19/13	320	\$14,639.67	\$13,024.00	\$1,615.67		\$0.00		\$0.00	\$0.00	\$0.00
<b>STARBUCKS CORP COM</b>		<b>855244109</b>									
05/23/14	07/24/02	255	\$18,353.01	\$2,646.81	\$15,706.20		\$0.00		\$0.00	\$0.00	\$0.00
<b>ULTA SALON COSMETICS &amp;</b>		<b>90384S303</b>									
04/21/14	04/16/13	75	\$6,740.02	\$6,478.77	\$261.25		\$0.00		\$0.00	\$0.00	\$0.00
05/05/14	04/16/13	110	\$9,691.11	\$9,502.20	\$188.91		\$0.00		\$0.00	\$0.00	\$0.00

**Long Term Transactions**

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
<b>VISA INC</b>		<b>92826C839</b>									
05/22/14	03/18/08	360	\$75,367.39	\$15,840.00	\$59,527.39		\$0.00		\$0.00	\$0.00	\$0.00
<b>ASML HLDG N V</b>		<b>N07059210</b>									
04/21/14	07/28/10	49.28	\$4,018.56	\$1,577.73	\$2,440.83		\$0.00		\$0.00	\$0.00	\$0.00
04/21/14	07/15/10	9.5	\$774.68	\$297.40	\$477.28		\$0.00		\$0.00	\$0.00	\$0.00
04/21/14	09/16/11	6.22	\$507.21	\$227.37	\$279.84		\$0.00		\$0.00	\$0.00	\$0.00
05/22/14	07/15/10	260	\$21,689.84	\$8,139.51	\$13,550.33		\$0.00		\$0.00	\$0.00	\$0.00
<i>Total Long Term Gain/Loss</i>			\$879,234.22	\$353,675.27	\$525,558.95		\$0.00		\$0.00	\$0.00	\$0.00

MICHAEL T. RIORDAN FAMILY FOUNDATION  
EIN: 30-6000348

ATTACHED TO AND MADE PART OF  
2014 FORM 990-PF

PART VII-A, LINE 8a:

ACCORDING TO THE WISCONSIN DEPARTMENT OF JUSTICE, AN  
ORGANIZATION HAS NO FILING REQUIREMENT IN WISCONSIN IF THE  
ORGANIZATION DOES NOT SOLICIT FUNDS FROM THE GENERAL PUBLIC.