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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, and ending

Name of foundation NEW YORK STATE HEALTH FOUNDATION		A Employer identification number 30-0127892
Number and street (or P.O. box number if mail is not delivered to street address) 1385 BROADWAY, 23RD FLOOR	Room/suite	B Telephone number 212-664-7656
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018-6013		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 290,847,183. (Part I, column (d) must be on cash basis)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	215,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,997,164.	6,528,841.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,416,781.			
	b Gross sales price for all assets on line 6a	103,795,779.			
	7 Capital gain net income (from Part IV, line 2)		27,534,006.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	1,198,240.	87,715.		STATEMENT 2	
12 Total. Add lines 1 through 11	6,827,185.	34,150,562.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	435,255.	0.		435,255.
	14 Other employee salaries and wages	2,388,702.	314,138.		2,047,836.
	15 Pension plans, employee benefits	742,371.	82,582.		658,922.
	16a Legal fees STMT 3	124.	0.		124.
	b Accounting fees STMT 4	32,644.	0.		32,344.
	c Other professional fees STMT 5	567,069.	325,788.		242,781.
	17 Interest				
	18 Taxes STMT 6	663,000.	131,679.		0.
	19 Depreciation and depletion	116,675.	0.		
	20 Occupancy	648,715.	71,359.		547,509.
	21 Travel, conferences, and meetings	134,160.	3,303.		120,542.
	22 Printing and publications	12,968.	0.		13,913.
	23 Other expenses STMT 7	345,564.	100,767.		328,311.
	24 Total operating and administrative expenses. Add lines 13 through 23	6,087,247.	1,029,616.		4,427,537.
	25 Contributions, gifts, grants paid	9,491,186.			9,542,762.
26 Total expenses and disbursements. Add lines 24 and 25	15,578,433.	1,029,616.		13,970,299.	
27 Subtract line 26 from line 12	-8,751,248.				
a Excess of revenue over expenses and disbursements		33,120,946.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,109,744.	729,511.	729,511.
	2 Savings and temporary cash investments	939,968.	2,248,032.	2,248,032.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable	152,500.		
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	9,442.	14,491.	14,491.
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	283,749,279.	286,248,355.	286,248,355.
Liabilities	14 Land, buildings, and equipment basis ▶ 634,915. Less accumulated depreciation ▶ 490,171.	252,209.	144,744.	144,744.
	15 Other assets (describe ▶ STATEMENT 9)	1,416,331.	1,462,050.	1,462,050.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	287,629,473.	290,847,183.	290,847,183.
	17 Accounts payable and accrued expenses	359,472.	230,613.	
	18 Grants payable	5,720,841.	5,257,724.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	1,462,468.	1,739,882.	
	23 Total liabilities (add lines 17 through 22)	7,542,781.	7,228,219.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	280,086,692.	283,403,964.	
	25 Temporarily restricted	0.	215,000.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	280,086,692.	283,618,964.	
	31 Total liabilities and net assets/fund balances	287,629,473.	290,847,183.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	280,086,692.
2 Enter amount from Part I, line 27a	2	-8,751,248.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	12,533,520.
4 Add lines 1, 2, and 3	4	283,868,964.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	250,000.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	283,618,964.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 80,333,450.		78,228,305.	27,534,006.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			27,534,006.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	27,534,006.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	14,133,834.	276,157,862.	.051180
2012	16,008,078.	273,474,679.	.058536
2011	16,696,087.	278,449,597.	.059961
2010	16,593,248.	268,239,596.	.061860
2009	16,577,550.	265,726,299.	.062386

2 Total of line 1, column (d)	2	.293923
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058785
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	285,527,645.
5 Multiply line 4 by line 3	5	16,784,743.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	331,209.
7 Add lines 5 and 6	7	17,115,952.
8 Enter qualifying distributions from Part XII, line 4	8	13,979,509.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	662,419.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	662,419.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	662,419.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	885,569.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	885,569.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	460.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	222,690.
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ 222,690. Refunded ☐ 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NYSHEALTH.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>212-664-7656</u> Located at ► <u>1385 BROADWAY, 23RD FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10018-6013</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Attachment G

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		435,255.	33,726.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER	314,664.	47,989.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT	273,737.	40,508.	0.
AMY DRIVER - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, FINANCE & OPER.	225,085.	28,136.	0.
JACQUELINE MARTINEZ GARCEL - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY	VICE PRESIDENT	197,181.	34,655.	0.
MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, COMMUNICATIONS	179,738.	22,467.	0.
Total number of other employees paid over \$50,000				12

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
REAL CHANGE STRATEGIES 7008 CAPITOL VIEW DRIVE, MCLEAN, VA 22101	STRATEGIC PLANNING CONSULTANTS	182,842.
BLACKROCK 55 EAST 52ND STREET, NEW YORK, NY 10055	INVESTMENT MANAGEMENT FEES	160,740.
JP MORGAN 1111 POLARIS PARKWAY, COLUMBUS, OH 43240	INVESTMENT CUSTODIAL FEES	68,808.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 LEARNING AND EVALUATION - SEE ATTACHMENT D	
	703,552.
2 LEVERAGE - SEE ATTACHMENT D	
	187,958.
3 OUTREACH AND EDUCATION - SEE ATTACHMENT D	
	711,306.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1a	286,395,311.
a	Average monthly fair market value of securities	1b	3,480,471.
b	Average of monthly cash balances	1c	
c	Fair market value of all other assets	1d	289,875,782.
d	Total (add lines 1a, b, and c)		
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	289,875,782.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,348,137.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	285,527,645.
6	Minimum investment return. Enter 5% of line 5	6	14,276,382.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	14,276,382.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	662,419.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	662,419.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,613,963.
4	Recoveries of amounts treated as qualifying distributions	4	352,692.
5	Add lines 3 and 4	5	13,966,655.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,966,655.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	13,970,299.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	9,210.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,979,509.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,979,509.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2014)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				13,966,655.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			803,003.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 13,979,509.				
a Applied to 2013, but not more than line 2a			803,003.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				13,176,506.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				790,149.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B				9,542,762.
Total			3a	9,542,762.
b Approved for future payment				
SEE ATTACHMENT C				5,257,724.
Total			3b	5,257,724.

Form 990-PF (2014)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No
	 Signature of officer or trustee	6/3/15 Date	President & CEO Title			
Paid Preparer Use Only	Print/Type preparer's name THOMAS F. BLANEY	Preparer's signature 	Date 6/1/15	Check ☐ if self-employed	PTIN P00234022	
	Firm's name ▶ O'CONNOR DAVIES, LLP				Firm's EIN ▶ 27-1728945	
	Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022				Phone no 212-286-2600	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2014

Name of the organization

NEW YORK STATE HEALTH FOUNDATION

Employer identification number

30-0127892

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALTMAN FOUNDATION 521 FIFTH AVENUE, 35TH FLOOR NEW YORK, NY 10175	\$ 115,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	THE NEW YORK COMMUNITY TRUST 909 THIRD AVENUE NEW YORK, NY 10022	\$ 100,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	2,486,054.	0.	2,486,054.	5,968,704.	
INTEREST	511,110.	0.	511,110.	560,137.	
TO PART I, LINE 4	2,997,164.	0.	2,997,164.	6,528,841.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	8,049.	8,049.	
OTHER K-1 INCOME	0.	19,641.	
GRANTS RESCINDED/REFUNDED	1,130,166.	0.	
RENTAL INCOME	60,025.	60,025.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,198,240.	87,715.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	124.	0.		124.
TO FM 990-PF, PG 1, LN 16A	124.	0.		124.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	32,644.	0.		32,344.
TO FORM 990-PF, PG 1, LN 16B	32,644.	0.		32,344.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	289,781.	48,500.		242,781.
INVESTMENT MANAGEMENT	277,288.	277,288.		0.
TO FORM 990-PF, PG 1, LN 16C	567,069.	325,788.		242,781.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	663,000.	0.		0.
FOREIGN TAXES PAID	0.	131,679.		0.
TO FORM 990-PF, PG 1, LN 18	663,000.	131,679.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES & IT	147,532.	21,230.		131,035.
INSURANCE	53,039.	0.		53,017.
OUTREACH AND PUBLIC EVENTS	136,456.	0.		136,150.
MISCELLANEOUS	8,537.	0.		8,109.
OTHER EXPENSES THROUGH K-1	0.	79,537.		0.
TO FORM 990-PF, PG 1, LN 23	345,564.	100,767.		328,311.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	FMV	286,248,355.	286,248,355.
TOTAL TO FORM 990-PF, PART II, LINE 13		286,248,355.	286,248,355.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	378,205.	4,037.	4,037.
PREPAID FEDERAL EXCISE TAX	186,000.	223,000.	223,000.
PROGRAM RELATED INVESTMENTS	849,680.	805,223.	805,223.
DEPOSITS AND OTHER ASSETS	2,446.	19,399.	19,399.
OTHER RECEIVABLES	0.	410,391.	410,391.
TO FORM 990-PF, PART II, LINE 15	1,416,331.	1,462,050.	1,462,050.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	861,000.	1,111,000.
DEFERRED RENT	601,468.	628,882.
TOTAL TO FORM 990-PF, PART II, LINE 22	1,462,468.	1,739,882.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	435,255.	33,726.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LARAY BROWN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN T. LANE 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD-TERM ENDED 2/26/2014 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
MARGARET I. CUOMO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
PAUL FRANCIS 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
MARC N GOUREVITCH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JANE S HOFFMAN-TERM STARTED 3/7/2014 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		435,255.	33,726.	0.

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part IV Capital Gains and Losses
December 31, 2014

Name of Security	Date Sold	No of Shares	Adjusted Cost	Sales Proceeds	Realized Gains (Losses)
Aggregate Bond Index	2/4/2014	10,000 00	1,100,840 00	1,079,238 21	(21,601 79)
Aggregate Bond Index	2/10/2014	14,000 00	1,541,176 00	1,509,894 72	(31,281 28)
Aggregate Bond Index	2/26/2014	5,000 00	550,420 00	540,085 10	(10,334 90)
Aggregate Bond Index	2/26/2014	5,000 00	552,515 50	540,085 10	(12,430 40)
Aggregate Bond Index	3/21/2014	20,400 00	2,254,263 24	2,194,393 77	(59,869 47)
Aggregate Bond Index	10/15/2014	9,000 00	994,527 90	1,001,909 15	7,381 25
TOTAL Aggregate Bond Index		63,400 00	6,993,742 64	6,865,606 05	(128,136 59)
BGI Russell 1000 Index Fund	3/6/2014	406 27	8,431 04	9,217 62	786 58
BGI Russell 1000 Index Fund	6/19/2014	521 47	10,821 70	12,440 84	1,619 14
BGI Russell 1000 Index Fund	8/19/2014	737 56	15,306 07	17,800 04	2,493 97
BGI Russell 1000 Index Fund	11/14/2014	213 05	4,421 28	5,367 26	945 98
BGI Russell 1000 Index Fund	11/14/2014	513 05	10,790 32	12,925 00	2,134 68
TOTAL BGI Russell 1000 Index Fund		2,391 40	49,770 41	57,750 76	7,980 35
Blackrock ACWI ex-US Index Fund	2/11/2014	16,200 10	442,442 17	400,000 00	(42,442 17)
Blackrock ACWI ex-US Index Fund	2/18/2014	31,456 05	859,098 59	800,000 00	(59,098 59)
Blackrock ACWI ex-US Index Fund	2/26/2014	38,707 08	1,057,132 03	1,000,000 00	(57,132 03)
Blackrock ACWI ex-US Index Fund	3/5/2014	96,136 19	2,625,582 85	2,500,000 00	(125,582 85)
Blackrock ACWI ex-US Index Fund	3/7/2014	946 51	25,850 20	24,449 29	(1,400 91)
Blackrock ACWI ex-US Index Fund	6/20/2014	854 72	23,343 32	23,236 14	(107 18)
Blackrock ACWI ex-US Index Fund	7/1/2014	5,383 54	147,030 27	146,748 00	(282 27)
Blackrock ACWI ex-US Index Fund	7/1/2014	31,302 07	859,577 21	853,252 00	(6,325 21)
Blackrock ACWI ex-US Index Fund	8/19/2014	866 91	23,805 97	23,305 89	(500 08)
Blackrock ACWI ex-US Index Fund	11/17/2014	889 97	24,439 21	22,733 52	(1,705 69)
TOTAL Blackrock ACWI ex-US Index Fund		222,743 14	6,088,301 84	5,793,724 84	(294,577 00)
Blackrock Emerging Markets	2/11/2014	13,621 08	447,505 05	417,743 81	(29,761 24)
Blackrock Emerging Markets	2/11/2014	15,345 95	513,307 81	470,643 71	(42,664 10)
Blackrock Emerging Markets	2/11/2014	3,639 27	125,829 96	111,612 48	(14,217 48)
Blackrock Emerging Markets	2/18/2014	10,810 54	373,780 94	339,936 15	(33,844 79)
Blackrock Emerging Markets	2/18/2014	15,780 06	505,098 68	496,202 12	(8,896 56)
Blackrock Emerging Markets	2/18/2014	13,123 85	444,683 81	412,677 91	(32,005 90)
Blackrock Emerging Markets	2/18/2014	11,395 65	407,011 34	358,334 87	(48,676 47)
Blackrock Emerging Markets	2/18/2014	7,086 97	234,675 68	222,848 94	(11,826 74)
Blackrock Emerging Markets	2/26/2014	6,366 73	210,825 88	200,515 78	(10,310 10)
Blackrock Emerging Markets	2/26/2014	15,356 35	513,864 72	483,637 69	(30,227 03)
Blackrock Emerging Markets	2/26/2014	14,876 29	511,370 29	468,518 53	(42,851 76)
Blackrock Emerging Markets	2/26/2014	14,335 03	489,137 39	451,471 91	(37,665 48)
Blackrock Emerging Markets	2/26/2014	8,441 40	304,770 00	285,856 09	(38,913 91)
Blackrock Emerging Markets	3/5/2014	3,754 17	135,541 31	119,722 47	(15,818 84)
Blackrock Emerging Markets	3/5/2014	9,587 14	369,550 56	305,738 97	(63,811 59)
Blackrock Emerging Markets	3/5/2014	6,747 41	257,918 60	215,178 48	(42,740 12)
Blackrock Emerging Markets	3/5/2014	5,903 34	244,353 50	188,260 64	(56,092 86)
Blackrock Emerging Markets	3/5/2014	6,692 62	257,687 51	213,431 19	(44,256 32)
Blackrock Emerging Markets	3/5/2014	6,511 91	251,969 56	207,668 26	(44,301 30)
Blackrock Emerging Markets	3/7/2014	141 59	5,478 63	4,468 09	(1,010 54)
Blackrock Emerging Markets	3/7/2014	37 00	1,481 88	1,167 59	(314 29)
Blackrock Emerging Markets	3/12/2014	5,255 44	210,484 43	186,784 30	(43,700 13)
Blackrock Emerging Markets	3/12/2014	13,504 57	505,711 43	428,575 01	(77,136 42)
Blackrock Emerging Markets	3/12/2014	15,120 57	562,378 03	479,859 67	(82,518 36)
Blackrock Emerging Markets	3/12/2014	5,507 42	211,978 60	174,781 02	(37,197 58)
Blackrock Emerging Markets	3/31/2014	1,767 58	68,033 51	56,537 41	(11,496 10)
Blackrock Emerging Markets	3/31/2014	38,215 49	1,257,653 26	1,222,351 91	(35,301 35)
TOTAL Blackrock Emerging Markets		268,925 42	9,422,082 35	8,484,525 00	(937,557 35)
Gold ETF	2/4/2014	25,000 00	277,845 25	302,997 21	25,151 96
Gold ETF	2/10/2014	34,500 00	383,426 45	426,067 57	42,641 12
Gold ETF	2/10/2014	20,500 00	225,925 99	253,170 59	27,244 60
Gold ETF	2/26/2014	62,000 00	683,288 36	796,369 92	113,081 56
Gold ETF	3/21/2014	27,500 00	303,071 45	355,292 13	52,220 68

Gold ETF	3/21/2014	81,500 00	893,806 43	1,052,956 69	159,150 26
TOTAL Gold ETF	1/0/1900	251,000 00	2,767,363 93	3,186,854 11	419,490 18
iShares Russell 1000 ETF	9/3/2014	12,500 00	849,726 25	1,404,846 44	555,120 19
Van Eck Global Hard Assets Fund	2/4/2014	4,088 72	181,784 40	192,496 86	10,712 46
Van Eck Global Hard Assets Fund	2/4/2014	1,221 39	48,941 18	57,503 14	8,561 96
Van Eck Global Hard Assets Fund	2/10/2014	10,375 60	415,750 17	500,000 00	84,249 83
Van Eck Global Hard Assets Fund	2/26/2014	15,854 97	635,308 65	799,724 70	164,416 05
Van Eck Global Hard Assets Fund	2/26/2014	3,970 57	147,228 55	200,275 30	53,046 75
Van Eck Global Hard Assets Fund	3/21/2014	19,219 34	712,653 05	970,000 00	257,346 95
Van Eck Global Hard Assets Fund	10/24/2014	6,475 69	240,118 40	301,961 19	61,842 79
Van Eck Global Hard Assets Fund	10/24/2014	27,563 94	1,110,000 00	1,285,306 66	175,306 66
Van Eck Global Hard Assets Fund	10/24/2014	29,519 33	1,130,000 00	1,376,486 40	246,486 40
Van Eck Global Hard Assets Fund	10/24/2014	23,355 58	980,000 00	1,089,070 56	109,070 56
Van Eck Global Hard Assets Fund	10/24/2014	20,403 73	940,000 00	951,426 07	11,426 07
Van Eck Global Hard Assets Fund	10/24/2014	14,181 52	700,000 00	661,284 46	(38,715 54)
Van Eck Global Hard Assets Fund	10/24/2014	823 94	43,314 26	38,420 09	(4,894 17)
Van Eck Global Hard Assets Fund	10/24/2014	11,271 84	600,000 00	525,605 85	(74,394 15)
Van Eck Global Hard Assets Fund	10/24/2014	10,257 37	550,000 00	478,301 02	(71,698 98)
Van Eck Global Hard Assets Fund	10/24/2014	13,788 10	760,000 00	642,939 06	(117,060 94)
Van Eck Global Hard Assets Fund	10/24/2014	58,281 14	3,350,000 00	2,717,649 60	(632,350 40)
Van Eck Global Hard Assets Fund	10/24/2014	24,253 73	1,300,000 00	1,130,951 48	(169,048 52)
Van Eck Global Hard Assets Fund	10/24/2014	34,588 78	1,800,000 00	1,612,874 72	(187,125 28)
Van Eck Global Hard Assets Fund	10/24/2014	370 99	16,456 95	17,299 08	842 13
Van Eck Global Hard Assets Fund	10/24/2014	1,918 08	85,085 94	89,439 98	4,354 04
Van Eck Global Hard Assets Fund	10/24/2014	1,938 72	86,699 49	90,402 42	3,702 93
Van Eck Global Hard Assets Fund	10/24/2014	4,176 91	186,791 58	194,769 50	7,977 92
Van Eck Global Hard Assets Fund	10/24/2014	103 44	5,068 50	4,823 36	(245 14)
TOTAL Van Eck Global Hard Assets Fund		338,003 40	16,025,201 12	15,929,011 50	(96,189 62)
Wellington Global Natural Resources	1/31/2014	4,161 22	41,005 16	40,530 32	(474 84)
Wellington Global Natural Resources	1/31/2014	3,901 44	38,445 19	38,000 00	(445 19)
Wellington Global Natural Resources	2/4/2014	103,199 17	1,016,936 03	1,000,000 00	(16,936 03)
Wellington Global Natural Resources	2/10/2014	317,004 61	3,123,798 30	3,170,046 07	46,247 77
Wellington Global Natural Resources	2/10/2014	0 96	9 47	9 61	0 14
Wellington Global Natural Resources	2/10/2014	1 93	18 95	19 25	0 30
Wellington Global Natural Resources	2/10/2014	49,992 51	442,139 24	499,925 07	57,785 83
Wellington Global Natural Resources	2/18/2014	31,088 57	274,950 77	324,564 71	49,613 94
Wellington Global Natural Resources	2/18/2014	1,994 97	17,643 69	20,827 43	3,183 74
Wellington Global Natural Resources	2/18/2014	1 07	9 49	11 20	1 71
Wellington Global Natural Resources	2/18/2014	82,519 00	695,974 34	861,498 37	165,524 03
Wellington Global Natural Resources	2/18/2014	78,947 37	718,745 54	824,210 52	105,464 98
Wellington Global Natural Resources	2/18/2014	476 87	4,331 98	4,978 56	646 58
Wellington Global Natural Resources	2/18/2014	77,960 65	665,324 78	813,909 20	148,584 42
Wellington Global Natural Resources	2/26/2014	10,116 69	86,336 91	104,808 86	18,471 95
Wellington Global Natural Resources	2/26/2014	0 45	3 89	4 69	0 80
Wellington Global Natural Resources	2/26/2014	1,933 64	16,695 22	20,032 46	3,337 24
Wellington Global Natural Resources	2/26/2014	64,039 41	600,312 47	663,448 28	63,135 81
Wellington Global Natural Resources	2/26/2014	1,745 90	16,820 18	18,087 50	1,267 32
Wellington Global Natural Resources	2/26/2014	53,153 15	548,759 01	550,666 66	1,907 65
Wellington Global Natural Resources	2/26/2014	373 95	3,778 47	3,874 16	95 69
Wellington Global Natural Resources	2/26/2014	35,118 53	372,751 89	363,827 92	(8,923 97)
Wellington Global Natural Resources	2/26/2014	724 37	7,340 79	7,504 42	163 63
Wellington Global Natural Resources	2/26/2014	27,271 90	308,830 01	282,536 89	(26,293 12)
Wellington Global Natural Resources	2/26/2014	708 63	8,059 98	7,341 35	(718 63)
Wellington Global Natural Resources	2/26/2014	17,756 26	206,223 10	183,954 80	(22,268 30)
Wellington Global Natural Resources	2/26/2014	189 69	2,201 17	1,965 18	(235 99)
Wellington Global Natural Resources	2/26/2014	11,032 31	131,440 14	114,294 72	(17,145 42)
Wellington Global Natural Resources	2/26/2014	1,121 39	13,775 22	11,617 55	(2,157 67)
Wellington Global Natural Resources	2/26/2014	2,235 77	27,531 53	23,162 59	(4,368 94)
Wellington Global Natural Resources	2/26/2014	52,400 77	644,220 81	542,871 96	(101,348 85)
Wellington Global Natural Resources	3/21/2014	210,032 29	2,582,160 04	2,180,135 12	(402,024 92)
Wellington Global Natural Resources	3/21/2014	942 67	11,749 55	9,784 92	(1,964 63)
Wellington Global Natural Resources	3/21/2014	78,042 39	895,466 92	810,079 96	(85,386 96)
Wellington Global Natural Resources	4/3/2014	28,080 06	322,193 74	300,737 49	(21,456 25)
Wellington Global Natural Resources	4/3/2014	3,575 72	41,993 62	38,295 93	(3,697 69)
Wellington Global Natural Resources	4/3/2014	156,114 48	1,678,872 35	1,671,986 12	(6,886 23)

Wellington Global Natural Resources	4/3/2014	1,028 81	11,660 59	11,018 50	(642 09)
Wellington Global Natural Resources	4/3/2014	841 72	9,540 15	9,014 82	(525 33)
Wellington Global Natural Resources	4/3/2014	4,843 64	48,892 18	51,875 33	2,983 15
Wellington Global Natural Resources	4/3/2014	2,161 82	17,606 12	23,153 12	5,547 00
Wellington Global Natural Resources	4/3/2014	983 95	9,558 17	10,538 07	979 90
Wellington Global Natural Resources	4/3/2014	4,947 60	47,121 48	52,988 79	5,867 31
Wellington Global Natural Resources	4/3/2014	440 01	3,917 86	4,712 46	794 60
Wellington Global Natural Resources	4/3/2014	720 47	7,013 09	7,716 18	703 09
Wellington Global Natural Resources	4/3/2014	2,573 77	26,211 54	27,565 06	1,353 52
Wellington Global Natural Resources	4/3/2014	2,140 05	20,103 83	22,919 89	2,816 06
Wellington Global Natural Resources	4/3/2014	2,211 75	20,467 81	23,687 89	3,220 08
Wellington Global Natural Resources	4/3/2014	5,356 97	42,074 20	57,373 11	15,298 91
Wellington Global Natural Resources	4/3/2014	695 57	5,671 73	7,449 52	1,777 79
Wellington Global Natural Resources	4/3/2014	1,177 56	9,790 37	12,611 68	2,821 31
Wellington Global Natural Resources	4/3/2014	4,249 85	36,778 70	45,515 94	8,737 24
Wellington Global Natural Resources	4/3/2014	2,087 79	18,819 58	22,360 25	3,540 67
Wellington Global Natural Resources	4/3/2014	1,860 47	16,696 05	19,925 62	3,229 57
Wellington Global Natural Resources	4/3/2014	4,769 86	42,042 06	51,085 18	9,043 12
Wellington Global Natural Resources	4/3/2014	654 51	5,958 70	7,009 77	1,051 07
Wellington Global Natural Resources	4/3/2014	249 19	2,353 39	2,668 85	315 46
Wellington Global Natural Resources	4/3/2014	2,642 38	24,109 36	28,299 89	4,190 53
Wellington Global Natural Resources	4/3/2014	4,227 56	38,530 45	45,277 17	6,746 72
Wellington Global Natural Resources	4/3/2014	1,602 57	14,189 30	17,163 48	2,974 18
Wellington Global Natural Resources	4/3/2014	5,091 42	45,079 97	54,529 08	9,449 11
Wellington Global Natural Resources	4/3/2014	1,488 65	12,153 54	15,943 48	3,789 94
Wellington Global Natural Resources	4/3/2014	124 61	1,084 63	1,334 58	249 95
Wellington Global Natural Resources	4/3/2014	6,213 08	54,700 63	66,542 07	11,841 44
Wellington Global Natural Resources	4/3/2014	3,205 26	29,373 33	34,328 31	4,954 98
Wellington Global Natural Resources	4/3/2014	99 15	946 25	1,061 84	115 59
Wellington Global Natural Resources	4/3/2014	3,347 39	31,311 83	35,850 51	4,538 68
Wellington Global Natural Resources	4/3/2014	674 74	6,460 06	7,226 50	766 44
Wellington Global Natural Resources	4/3/2014	52 42	469 89	561 41	91 52
Wellington Global Natural Resources	4/3/2014	956 55	9,272 87	10,244 61	971 74
Wellington Global Natural Resources	4/3/2014	925 85	9,095 67	9,915 87	820 20
TOTAL Wellington Global Natural Resources		1,582,607 68	16,245,905 32	16,309,018 67	63,113 35
American Express Credit 5 125% BDS 8-25-2014	8/25/2014	10,000 00	1,060,800 00	1,000,000 00	(60,800 00)
Blackrock 3 5% 1-Dec-2014	7/29/2014	2,000 00	199,710 00	202,312 00	2,602 00
Blackrock 3 5% 1-Dec-2014	7/29/2014	8,000 00	805,408 00	809,248 00	3,840 00
Total Blackrock 3 5% 1-Dec-2014		10,000 00	1,005,118 00	1,011,560 00	6,442 00
ConAgra Foods 3 2% 25-Jan-2023	8/4/2014	2,500 00	251,907 50	239,660 00	(12,247 50)
ConAgra Foods 3 2% 25-Jan-2023	8/4/2014	260 00	25,936 04	24,924 64	(1,011 40)
ConAgra Foods 3 2% 25-Jan-2023	8/7/2014	1,240 00	123,694 96	120,968 20	(2,726 76)
ConAgra Foods 3 2% 25-Jan-2023	8/7/2014	6,000 00	595,116 00	585,330 00	(9,786 00)
TOTAL ConAgra Foods 3 2% 25-Jan-2023		10,000 00	996,654 50	970,882 84	(25,771 66)
CVS Caremark 5 3% 12-5-2043	7/29/2014	5,000 00	499,030 00	571,935 00	72,905 00
Discovery Comm 3 7% 1-JUN-2015	7/29/2014	5,000 00	499,730 00	513,405 00	13,675 00
Discovery Comm 3 7% 1-JUN-2015	7/29/2014	5,000 00	499,255 00	513,405 00	14,150 00
TOTAL Discovery Comm 3 7% 1-JUN-2015		10,000 00	998,985 00	1,026,810 00	27,825 00
Dow Chemical 5 7% 5/15/2018	8/7/2014	2,870 00	261,881 76	325,552 71	63,670 95
FISERV 3 5% 1-Oct-2022	7/29/2014	10,000 00	1,001,250 00	1,005,230 00	3,980 00
Goldman Sachs 3 7% 1-Aug-2015	7/29/2014	1,400 00	139,834 80	144,166 40	4,331 60
Goldman Sachs 3 7% 1-Aug-2015	7/29/2014	8,600 00	861,358 80	885,593 60	24,234 80
TOTAL Goldman Sachs 3 7% 1-Aug-2015		10,000 00	1,001,193 60	1,029,760 00	28,566 40
JP Morgan 6 3% BDS 23-Apr-2019	7/29/2014	10,000 00	1,092,690 00	1,174,940 00	82,250 00
Kroger 3 85% 01-Aug-2023	7/29/2014	10,000 00	987,710 00	1,028,340 00	40,630 00
Mondelez International 6 5% 09-Feb-2040	7/29/2014	8,750 00	866,565 00	1,132,145 00	265,580 00

Newmont Mining 5 125% 01/Oct/2019	7/29/2014	5,000 00	497,510 00	550,885 00	53,375 00
NYC Ser D-1 Build Amer Bds 4 749% 01-Dec-2019	8/7/2014	10,000 00	1,000,000 00	1,120,000 00	120,000 00
Ohio State Hwy Cap Impt-Ser M BAB 01-May-2025	8/7/2014	10,000 00	1,000,000 00	1,142,600 00	142,600 00
Oracle 5 0% 7/8/19	7/29/2014	10,000 00	998,050 00	1,130,130 00	132,080 00
Quest Diagnostics 3 2% 01/Apr/2016	7/29/2014	9,100 00	913,312 40	941,404 10	28,091 70
Quest Diagnostics 3 2% 01/Apr/2016	7/29/2014	900 00	89,916 30	93,105 90	3,189 60
TOTAL Quest Diagnostics 3 2% 01/Apr/2016		10,000 00	1,003,228 70	1,034,510 00	31,281 30
Republic Services 5 25% Unsec Snr Nts 15-Nov-2021	7/29/2014	6,000 00	601,812 00	685,404 00	83,592 00
Republic Services 5 25% Unsec Snr Nts 15-Nov-2021	7/29/2014	4,000 00	399,976 00	456,936 00	56,960 00
TOTAL Republic Services 5 25% Unsec Snr Nts 15-Nov-2021		10,000 00	1,001,788 00	1,142,340 00	140,552 00
Time Warner Inc 3 15% BDS 15-Jul-2015	7/29/2014	2,400 00	239,714 40	246,038 40	6,324 00
Verizon 5 15% 08-15-2023	7/29/2014	10,000 00	1,072,130 00	1,119,410 00	47,280 00
Viacom 4 375% Sept 15 2014	4/3/2014	5,000 00	500,030 00	508,864 45	8,834 45
Viacom 4 375% Sept 15 2014	4/3/2014	5,000 00	496,455 00	508,864 45	12,409 45
TOTAL Viacom 4 375% Sept 15 2014		10,000 00	996,485 00	1,017,728 90	21,243 90
Wal-Mart Stores 5 25% 1/Sep/2035	7/29/2014	10,000 00	1,198,270 00	1,168,400 00	(29,870 00)
Zoetis 3 25% 1-Feb-2023	7/29/2014	1,400 00	139,846 00	139,013 00	(833 00)
Zoetis 3 25% 1-Feb-2023	7/29/2014	3,950 00	399,664 95	392,215 25	(7,449 70)
Zoetis 3 25% 1-Feb-2023	7/29/2014	4,650 00	467,645 85	461,721 75	(5,924 10)
TOTAL Zoetis 3 25% 1-Feb-2023		10,000 00	1,007,156 80	992,950 00	(14,206 80)
Barclays Aggregate			-	71,093 96	71,093 96
7-10 Year Treasury			-	(42,472 49)	(42,472 49)
Blackrock Emerging Markets Fund			-	(723 36)	(723 36)
Blackrock TIPS			-	(96,185 06)	(96,185 06)
Blackrock Gov/Credit			-	1,428,251 52	1,428,251 52
TOTAL Capital Gains			78,228,304 62	80,333,449 79	2,105,145 17

Request Number	Organization Name	Organization AHA Name	Organization Type 2	Project Title	Awarded Amount	Payment Date	Total Payment Amount	Primary Street	Primary City	Primary State	Primary Zip/Postal Code	Grant Type 2
14-03164	Actors Fund of America	The Actors Fund	Non-Profit Organization Providing Health/Health-Related Services	Every Actor Insured	\$150,000.00	12/16/2014	\$75,000.00	775 Seventh Avenue 12th Fl	New York	NY	10019	Coverage
14-02255	Adrienne's Health Institute, Inc.	All	Non-Profit Organization Providing Health/Health-Related Services	Scaling Up the National Diabetes Prevention Program	\$15,000.00	2/12/2014	\$7,500.00	9 Carey Road	Queensbury	New York	12804	DC Community-Based
14-02255	Adrienne's Health Institute, Inc.	All	Non-Profit Organization Providing Health/Health-Related Services	Scaling Up the National Diabetes Prevention Program	\$15,000.00	10/31/2014	\$7,500.00	9 Carey Road	Queensbury	New York	12804	DC Community-Based
14-02188	AHRF Health Care, Inc.	AHRF Community Health	Community Health Center	Scaling Up the National Diabetes Prevention Program	\$10,000.00	1/9/2014	\$5,000.00	83 Maiden Lane Floor 6	New York	NY	10038-4812	DC Community-Based
14-01902	Albany Medical Center	Albany Medical College	Academic Institution (University or College)	Scaling Up the WIC Smoke Program	\$167,772.00	1/3/2014	\$14,217.00	Albany Medical Center Pediatric Group 1 Clara Barton Drive	Albany	NY	12208	Special Projects Fund
14-03080	Albany Western Hudson Valley Health Network	AWHVN	Community-Based Organization	Master Training for the National Diabetes Prevention Program	\$5,790.00	6/6/2014	\$5,790.00	85 North Main Street Suite 5	Waterville	New York	14895	DC Community-Based
14-02108	Albany Western Hudson Valley Health Network	AWHVN	Community-Based Organization	Scaling Up the National Diabetes Prevention Program	\$15,000.00	11/10/2014	\$7,500.00	85 North Main Street Suite 5	Waterville	New York	14895	DC Community-Based
14-03179	American Diabetes Association	American Diabetes Association	Community-Based Organization	American Diabetes Association Diabetes and Diabetes Conference	\$15,000.00	11/17/2014	\$7,500.00	333 7th Avenue 17th Floor	New York	NY	10001	DC Community-Based
14-03175	American Diabetes Association, Inc.	ADA	Non-Profit Organization Providing Health/Health-Related Services	Diabetes Referral and Resource Increasing Outreach of the Diabetes Prevention Program in New York	\$175,000.00	12/31/2014	\$140,000.00	160 Avenue Creek Road	Beckerside	NY New York	14618	Prevention
14-02177	Asian American Accountable Care Organization	AAACO	Community-Based Organization	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	1/8/2014	\$56,000.00	41 Elizabeth Street 6th Floor	New York	NY	10013	DC Clinician-Based
14-02174	Asian American Accountable Care Organization	AAACO	Community-Based Organization	Meeting the Mark: Achieving Excellence in Diabetes Care	\$2,500.00	1/8/2014	\$2,500.00	41 Elizabeth Street 6th Floor	New York	NY	10013	DC Clinician-Based
14-02174	Binghamton Broome County YMCA	YMCA of Broome County	Community-Based Organization	Scaling Up the National Diabetes Prevention Program	\$15,000.00	1/14/2014	\$7,500.00	61 Sanguiniana Street	Binghamton	NY	19901	DC Community-Based
14-02174	Binghamton Broome County YMCA	YMCA of Broome County	Community-Based Organization	Scaling Up the National Diabetes Prevention Program	\$15,000.00	11/17/2014	\$7,500.00	61 Sanguiniana Street	Binghamton	NY	19901	DC Community-Based
14-03017	Boston College Trustees	National Resources Center for Participant Directed Services	Academic Institution (University or College)	Evaluating Self-Directed Care for People with Serious Mental Illness in New York State	\$248,832.00	9/7/2014	\$124,416.00	140 Commonwealth Avenue	Chester Hill	MA	02467	Primary Care
14-03168	Broome County Health Department	BCHD	Health Department (County City State)	Scaling Up the National Diabetes Prevention Program	\$50,000.00	10/10/2014	\$40,000.00	275 Front Street	Binghamton	New York	19905	Prevention
14-03175	Capital City Medical Group	Capital City Medical Group	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$82,500.00	3/17/2014	\$52,000.00	501 New Larner Road	Albany	NY	12205	DC Clinician-Based
14-02993	Care for the Homeless	Care for the Homeless	Community Health Center	Increasing Access to Primary Care for Homeless New Yorkers	\$184,154.00	11/21/2014	\$147,963.00	30 East 13rd Street 5th Floor	New York	NY	10016-5337	Primary Care
14-03178	Catalyst for Payment Reform, Inc.	CPR	Other non-health organization (e.g. employers schools)	New York State Payment Reform Scorecard	\$31,347.00	3/19/2014	\$15,673.50	1344 Oxford Street	Bethel	CA	94709	Primary Care
14-03106	Catalyst for Payment Reform, Inc.	CPR	Other non-health organization (e.g. employers schools)	New York State Payment Reform Scorecard	\$47,500.00	11/21/2014	\$47,500.00	1344 Oxford Street	Bethel	CA	94709	Primary Care
14-02217	Center for Comprehensive Health Practice, Inc.	CHCP	Non-Profit Organization Providing Health/Health-Related Services	Scaling Up the National Diabetes Prevention Program	\$15,000.00	1/9/2014	\$7,500.00	1900 Second Ave 9th Floor	New York	New York	07110	DC Community-Based
14-02217	Center for Comprehensive Health Practice, Inc.	CHCP	Non-Profit Organization Providing Health/Health-Related Services	Scaling Up the National Diabetes Prevention Program	\$15,000.00	10/31/2014	\$7,500.00	1900 Second Ave 9th Floor	New York	New York	07110	DC Community-Based
14-02617	Center for Excellence in Health Care Journalism	CEHCJ	Other	2014 New York Health Journalism Fellowship	\$30,015.00	6/12/2014	\$24,012.00	10 North Hall	Columbia	MO	65211	Other
14-03161	Center for Excellence in Health Care Journalism	CEHCJ	Other non-health organization (e.g. employers schools)	2014 New York Health Journalism Fellowship	\$30,015.00	6/12/2014	\$24,012.00	10 North Hall	Columbia	MO	65211	Other
14-03017	Center for Health Care Strategies, Inc.	CHCS	Other non-health organization (e.g. employers schools)	Advancing Health in New York: Identifying Best Practices in Recruitment and Engagement & Promoting Sustainability	\$398,951.00	7/31/2014	\$199,475.50	200 American Metro Blvd Suite 119	Harrison	NY	08619-2311	Primary Care
14-02103	Center for Health Care Strategies, Inc.	CHCS	Other non-health organization (e.g. employers schools)	Advancing Health in New York: Identifying Best Practices in Recruitment and Engagement & Promoting Sustainability	\$49,961.00	8/14/2014	\$5,083.20	200 American Metro Blvd Suite 119	Harrison	NY	08619-2311	Primary Care
14-03194	Center for Independence of the Disabled in New York, Inc.	CIDNY	Community-Based Organization	Planning for a Sustainable Integrated Practitioner Database	\$98,016.00	2/18/2014	\$78,413.00	841 Broadway Suite 301	New York	NY	10003-4708	Special Projects Fund
14-02088	Central New York Health Systems Agency, Inc.	Planning	Other (All in the field below)	Planning for a Sustainable Integrated Practitioner Database	\$75,000.00	12/16/2014	\$14,641.75	109 South Warren Street	Syracuse	NY	13202	Primary Care
14-03176	Charles B. Wang Community Health Center, Inc.	CHCHC	Community Health Center	New York State of Health Consumer Assistance Project: Chinese Outreach and Enrollment	\$150,000.00	12/16/2014	\$75,000.00	146 Canal Street	New York	New York	10013	Coverage
14-02097	Charles B. Wang Community Health Center, Inc.	CHCHC	Community Health Center	New York State of Health Consumer Assistance Project: Chinese Outreach and Enrollment	\$150,000.00	8/4/2014	\$18,000.00	146 Canal Street	New York	NY	14757	Prevention
14-01840	Children's Defense Fund	CDP	Other (All in the field below)	Scaling Up Comprehensive Models for Pediatric and Adolescent Health	\$79,785.00	4/14/2014	\$15,957.00	15 Maiden Lane Suite 1200	New York	NY	10038	Coverage
14-02100	Children's Defense Fund	CDP	Other (All in the field below)	Scaling Up Comprehensive Models for Pediatric and Adolescent Health	\$23,500.00	3/28/2014	\$42,000.00	139 Centre Street Suite 218	New York	NY	10013	DC Clinician-Based
14-02100	Children's Defense Fund	CDP	Professional Associations	Scaling Up Comprehensive Models for Pediatric and Adolescent Health	\$2,500.00	3/28/2014	\$2,500.00	139 Centre Street Suite 218	New York	NY	10013	DC Clinician-Based
14-02100	Children's Defense Fund	CDP	Professional Associations	Scaling Up Comprehensive Models for Pediatric and Adolescent Health	\$20,000.00	3/28/2014	\$16,000.00	131 Margaret Street	Pittsburgh	New York	15201	Prevention
14-01782	Comberford LLP	J. H. Comber, LLP	Other	Reengineering Business Model for Health Centers w/Disproportionate Shares of Uninsured & Commercially Insured Patients	\$147,250.00	3/3/2014	\$73,625.00	1212 Avenue of the Americas 12th Floor	New York	NY	10036	Primary Care
14-02072	Columbia County Department of Health	CCDOH	Government Agency	Columbia County Outreach Prevention	\$24,000.00	10/14/2014	\$19,500.00	375 Columbia St Suite 100	Hudson	NY	12534	Prevention
14-02116	Community Health Action of Staten Island, Inc.	CHASI	Community-Based Organization	Bringing the Reach Back to Health: Reconnecting the Community Health Centers to the Community	\$37,500.00	1/8/2014	\$37,500.00	56 Bay Street 4th Floor	Staten Island	New York	10301	Other
14-02789	Community Health Care Association of New York State, Inc.	CHCA	Community-Based Organization	Participating in System Expansion and Transformation	\$382,756.00	4/24/2014	\$306,295.00	111 Broadway Suite 1402	New York	NY	10006	Primary Care
14-03177	Community Health Project, Inc.	Center	Community Health Center	Callimede Outreach and Enrollment Program Phase 2	\$107,421.00	12/16/2014	\$53,710.50	156 West 88th Street	New York	NY	10011-4401	Coverage

14-01081	Community Health Worker Network of New York City	CHWNYC	PC	Professional Association	Reaching Community Health Workers: An Analysis of Emerging Opportunities in New York State	\$124,901.00	8/12/2014	\$62,151.00	454 51st Street	Brooklyn	NY	11220	Primary Care
14-01081	Community Health Worker Network of New York City	CHWNYC	PC	Professional Association	Reaching Community Health Workers: An Analysis of Emerging Opportunities in New York State	\$124,901.00	12/18/2014	\$51,075.00	454 51st Street	Brooklyn	NY	11220	Primary Care
14-01312	Community Service Society of New York	CSNY	PC	Community Based Organization	Expanding Coverage Options for Underserved New York State Residents	\$100,000.00	11/7/2014	\$40,000.00	100 East 2nd Street	New York	NY	10010	Community Based
14-02291	Community Service Society of New York	CSNY	PC	Community Based Organization	Expanding Coverage Options for Underserved New York State Residents	\$176,070.00	12/16/2014	\$141,336.00	100 Tenth Avenue	New York	NY	10013 1052	Special Projects Fund
14-01094	Medical College	Medical College	PC	Academic Institution (University or College)	Improving Population Health: The Role of Hospitals in New York State	\$231,172.00	6/12/2014	\$178,538.00	1300 York Avenue	New York	NY	10021	Diabetes
13-01099	Columbia County Health Department	CHDC	GOV	Health Department (County, City, State)	Pump It Up for Health: A Program to Increase Breastfeeding Duration and Exclusivity in Columbia County	\$23,359.00	10/14/2014	\$19,048.00	60 Central Avenue	Cortland	NY	13042-2746	Prevention
13-02150	Columbia County Health Department	CHDC	PC	Private Practice	Meeting the Need: Achieving Excellence in Maternity Care	\$87,500.00	10/10/2014	\$70,000.00	155 Central Ave Road	Middletown	New York	10941	DC: Clinically Based
13-02183	Columbia County Health Department	CHDC	PC	Health Department (County, City, State)	Complete Streets and Prescription Trials	\$5,000.00	7/11/2014	\$4,000.00	88 Main Street	Delhi	New York	13753	Prevention
13-01080	Direct Care Alliance	DCA	PC	Other	Get Direct Care Workers Covered	\$115,000.00	6/18/2014	\$9,041.00	Street, Room 612	New York	NY	10036	Coverage
14-01015	Drug Policy Alliance	DPA	PC	Other	Advancing a Coordinated Municipal Level Public Health and Safety Approach to Drug Policy	\$138,028.00	11/7/2014	\$110,902.00	131 West 31st Street 15th Floor	New York	NY	10001	Special Projects Fund
14-01043	Empire Justice Center Inc	EJC	PC	Community Based Organization	Ambedkar for Coverage: Phase 2	\$189,112.00	10/7/2014	\$151,290.00	1 West Main Street, 2nd Floor	Rochester	NY	14614 1418	Health Reform
13-01718	Empire Justice Center Inc	EJC	PC	Community Based Organization	Ambedkar for Coverage	\$720,000.00	10/9/2014	\$44,000.00	1 West Main Street, 2nd Floor	Rochester	NY	14614 1418	Health Reform
13-01702	Elie County Department of Health	ECDOH	GOV	Health Department (County, City, State)	Reinvesting in Family Life County: Breastfeeding Friendly Medical Practices	\$25,500.00	12/23/2014	\$20,000.00	95 Franklin Street, 9th Floor	Buffalo	NY	14202	Prevention
13-01091	Essex County Public Health	ECPH	GOV	Health Department (County, City, State)	Expanding the Role of Schools and Employers in Providing Nutritionally Valuable Foods and Beverages	\$25,300.00	10/2/2014	\$20,240.00	133 Water Street PO Box 217	Elizabethtown	NY	12932	Prevention
13-01202	Family Center Inc	FC	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	2/1/2014	\$10,000.00	315 West 36th Street, 4th Floor	New York	New York	10018	DC: Community Based
13-01202	Family Center Inc	FC	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	10/11/2014	\$10,000.00	315 West 36th Street, 4th Floor	New York	New York	10018	DC: Community Based
13-01091	Family Health Network of Central New York Inc	FHN	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$100,000.00	12/24/2014	\$20,000.00	471 Main Street, Suite 307	Cortland	NY	13042-6415	Growth Management
13-01209	Farmers St. Luke's Healthcare	FLH	PC	Health Department (County, City, State)	Scaling Up the National Diabetes Prevention Program	\$15,500.00	11/19/2014	\$7,500.00	1611 East 1st Avenue, 16th Floor	Utica	NY	13502	DC: Community Based
10-01932	Hawthorne Hospital Inc	HH	PC	Community Health Center	Scaling Up the National Diabetes Prevention Program	\$248,324.00	7/25/2014	\$47,704.00	P.O. Box 897	Long Island City	NY	11101	Special Projects Fund
13-01275	Health for Public Health in New York Inc	HPHY	PC	Health Department (County, City, State)	Providing Non-Pharmaceutical Interventions for Asthmatic Children	\$300,000.00	3/9/2014	\$140,000.00	22 Cortlandt Street, 11th Floor	New York	NY	10007	Special Projects Fund
13-01275	Health for Public Health in New York Inc	HPHY	PC	Health Department (County, City, State)	Providing Non-Pharmaceutical Interventions for Asthmatic Children	\$300,000.00	10/2/2014	\$14,000.00	PO Box 97	Cortland	NY	14830	Prevention
11-00647	Girls Educational and Mentoring Services Inc	GEMS	PC	Community Based Organization	Improving Health Outcomes in Livingston County	\$274,949.00	11/19/2014	\$44,850.00	2048 West 149th Street	NY	NY	10079	Special Projects Fund
13-01213	Glens Falls Hospital	GFH	PC	Hospital	Scaling Up the National Diabetes Prevention Program	\$15,981.00	1/9/2014	\$7,990.00	100 Park Street	Glens Falls	NY	12801	DC: Community Based
13-01213	Glens Falls Hospital	GFH	PC	Hospital	Scaling Up the National Diabetes Prevention Program	\$15,981.00	11/19/2014	\$7,991.00	100 Park Street	Glens Falls	NY	12801	DC: Community Based
14-01083	Gorman Associates Inc	GA	PC	Consulting Firm	Project Transition: Expanding Visitation in New York Hospital for Children and Children of Color	\$150,000.00	7/10/2014	\$175,000.00	210 Robert Rd	Maithrough	MA	01752	Primary Care
14-01087	Grantmakers for Effective Organizations	GEO	PC	Professional Association	Annual Membership Grant July 1, 2014 - June 30, 2015	\$6,100.00	6/19/2014	\$6,100.00	404	Washington	DC	20036	Memberships
14-01086	Grantmakers for Effective Organizations	GEO	PC	Professional Association	Annual Membership Grant July 1, 2014 - June 30, 2015	\$11,500.00	6/19/2014	\$11,500.00	1100 Connecticut Avenue NW	Washington	DC	20036	Memberships
13-01013	Greater New York Hospital Foundation, Inc	GNHF	PC	Professional Association	Improving Care Continuity in Hospital-sponsored New York State Health Insurance Marketplace Enrollment Assistance	\$306,413.00	8/14/2014	\$76,451.00	555 West 57th Street 15th Floor	New York	NY	10019	Special Projects Fund
14-01169	Health and Welfare Council of Long Island Inc	HWC1	PC	Community Based Organization	Health Career Connection Internship Program	\$150,000.00	12/16/2014	\$75,000.00	150 Broadhollow Road, Suite 118	Manhasset	NY	11747	Coverage
14-01272	Health Career Connection Inc	HCC	PC	Community Academic Partnership	Class of 2014	\$32,500.00	7/10/2014	\$32,500.00	300 Tenth H. Ogden Plaza, Suite 243	Oakland	CA	94612	Other
14-01144	Health Leads Inc	HL	PC	Non-Profit Organization Providing Health/Health Care through the Health Demonstration Site	Scaling Up the National Diabetes Prevention Program	\$73,000.00	10/29/2014	\$180,000.00	508 Long Avenue, Mkt 12, 101	New York	NY	10037	Primary Care
13-01785	Health Management Associates Inc	HMA	PC	Other (fill in the field below)	Scaling Up the National Diabetes Prevention Program	\$50,024.00	3/7/2014	\$25,012.00	Suite 605	Washington	DC	20036	Primary Care
13-01216	Health People Inc	HP	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/9/2014	\$10,000.00	555 Southern Blvd	Brown	NY	10455	DC: Community Based
14-01078	Health Research Inc	HRI	PC	Health Department (County, City, State)	Authorization for New York State Continuing Education Training for Nurses	\$80,987.00	8/1/2014	\$64,630.00	Suite 550	Manhasset	NY	12204 2719	Coverage
14-01078	Health Research Inc	HRI	PC	Health Department (County, City, State)	Authorization for New York State Continuing Education Training for Nurses	\$80,987.00	1/28/2014	\$53,111.00	Suite 550	Manhasset	NY	12204 2719	Special Projects Fund
14-01248	Health Research Inc	HRI	PC	Health Department (County, City, State)	North Country Health Care Redesign Commission	\$66,899.00	8/7/2014	\$11,940.00	Suite 550	Manhasset	NY	12204 2719	Special Projects Fund
13-01251	Health Research Inc	HRI	PC	Health Department (County, City, State)	Scaling Up the National Diabetes Prevention Program	\$15,000.00	12/23/2014	\$7,500.00	1 School Street, Suite 100	Concordia	NY	14070	DC: Community Based
13-01251	Health Research Inc	HRI	PC	Health Department (County, City, State)	Scaling Up the National Diabetes Prevention Program	\$15,000.00	1/9/2014	\$7,500.00	1 School Street, Suite 100	Concordia	NY	14070	DC: Community Based
13-01253	Home Side Services of Eastern New York, Inc	HSN	PC	Health Department (County, City, State)	Improving Health Outcomes in New York State	\$399,920.00	4/14/2014	\$133,306.00	2224 Bardett Avenue	Troy	NY	12180	Special Projects Fund
13-00854	Hospital Educational and Research Fund Inc	HERF	PC	Professional Association	Improving Outpatient Outcomes: Year 3	\$1,015,064.00	12/18/2014	\$53,767.00	One Empire Drive	Rensselaer	NY	12144	DC: Clinically Based
13-01104	Hospital Educational and Research Fund Inc	HERF	PC	Professional Association	Small Grant Awards for Life Sciences in HANYS	\$150,000.00	1/2/2014	\$70,000.00	One Empire Drive	Rensselaer	NY	12144	DC: Clinically Based
13-01040	Howard J. Marm MD P.C.	HMD	PC	Private Practice	Meeting the Need: Achieving Excellence in Maternity Care	\$5,000.00	2/1/2014	\$5,000.00	7785 North State Street	Jowettville	New York	13367	DC: Clinically Based
13-01344	Indian Health Services Health Network	IHSN	PC	Community Health Center	Community	\$100,000.00	10/27/2014	\$10,000.00	9 Carey Road	Queensbury	NY	12804	Growth Management

13-02619	Hudson River HealthCare Inc.	HRCare	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care	\$17,500.00	4/10/2014	\$6,000.00	1037 Main Street	Poughkeepsie	NY	10566-2913	DC: Clinically Based
13-02690	Hudson River HealthCare Inc.	HRCare	PC	Community Health Center	Preserving Neighborhood Access to Care (PPAC): Prevention of Primary Care for Suffolk County Long Island	\$100,000.00	2/7/2014	\$20,000.00	1037 Main Street	Poughkeepsie	NY	10566-2913	Growth Management
13-02701	Hudson River HealthCare Inc.	HRCare	PC	Community Health Center	Scaling Up the National Diabetes Prevention Program	\$200,000.00	8/22/2014	\$150,000.00	1037 Main Street	Poughkeepsie	NY	10566-2913	Primary Care
13-02706	Human Services Coalition of Tompkins County Inc.	HSCCTC	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	1/14/2014	\$10,000.00	171 East Martin Luther King Jr	Ithaca	NY	14850-5543	DC: Community-Based
13-02758	Human Services Coalition of Tompkins County Inc.	HSCCTC	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	1/17/2014	\$10,000.00	171 East Martin Luther King Jr	Ithaca	NY	14850-5543	DC: Community-Based
13-02781	Borio-American Action League Inc.	BAA	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$15,000.00	10/31/2014	\$7,500.00	817 East Main Street	Rochester	NY	14605	DC: Community-Based
13-02800	Institute for Community Living Inc.	ICL	PC	Community Based Organization	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care	\$377,487.00	12/9/2014	\$304,747.50	40 River Street, 8th Floor	New York	NY	10006	Special Projects Fund
13-02861	Institute for Family Health	IFH	PC	Community Based Organization	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care	\$501,646.00	12/3/2014	\$275,411.00	18 East 16 Street	New York	NY	10003	Cost
13-02864	Institute for Family Health	IFH	PC	Community Based Organization	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care	\$97,500.00	1/28/2014	\$48,000.00	16 East 16 Street	New York	NY	10003	DC: Clinically Based
13-03114	Institute for Family Health	IFH	PC	Community Based Organization	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care	\$7,982.00	8/7/2014	\$7,982.00	16 East 16 Street	New York	NY	10003	DC: Community-Based
13-03210	Institute for Family Health	IFH	PC	Community Based Organization	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care	\$20,000.00	12/15/2014	\$10,000.00	16 East 16 Street	New York	NY	10003	DC: Community-Based
13-03792	King and Albanian Veterans of America Inc.	KAVA	PC	Community Based Organization	Connecting Veterans and Their Families to High Quality Community Services	\$175,106.00	8/7/2014	\$32,925.00	293 Madison Ave, 10th Floor	New York	NY	10017	Veterans Initiative
13-03835	Jewish Family Service of Buffalo and Erie County	JFSBCE	PC	Community Based Organization	Western New York Veterans Service Center	\$250,000.00	7/25/2014	\$48,333.00	70 Bailey Street	Buffalo	NY	14209	Special Projects Fund
13-04069	Jordan Levy & Associates LLC	JLA	PC	Consulting Firm	Care Management in NY State: Emerging & Evolving Roles: Delivery Models & Workforce Development Issues & Opportunities	\$215,000.00	7/22/2014	\$5,000.00	501 Fifth Avenue, Suite 1202	New York	NY	10017	Primary Care
13-04995	Legal Aid Society	LAS	PC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$189,769.00	10/2/2014	\$37,954.00	199 Wall Street	New York	NY	10038	Special Projects Fund
13-05187	Legal Aid Society	LAS	PC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$77,500.00	1/28/2014	\$40,000.00	199 Wall Street	New York	NY	10038	DC: Clinically-Based
13-05793	Low Income Investment Fund	LIIF	PC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$20,000.00	4/14/2014	\$40,000.00	511 Fifth Avenue, Suite 625	New York	NY	10017	Special Projects Fund
13-05772	Make the Road New York	MARNY	PC	Community Based Organization	Meeting the Mark: Achieving Excellence in Diabetes Care	\$150,000.00	12/26/2014	\$75,000.00	97, 10 Roosevelt Avenue	New York	NY	11372	Coverage
13-05883	Make the Road New York	MARNY	PC	Community Based Organization	Expanding Healthcare Access for the Rural Workforce	\$115,000.00	8/1/2014	\$25,000.00	10 Roosevelt Avenue	New York	NY	11372	Coverage
13-05952	Manhattan Institute for Policy Research, Inc.	MIPRI	PC	Other (fill in the field below)	Payment Reforms that Put Patients First: A Planning Project	\$44,008.00	3/1/2014	\$6,789.00	52 Vandewater Avenue	New York	NY	10017 3808	Primary Care
13-05923	Manhattan Institute for Policy Research, Inc.	MIPRI	PC	Other (fill in the field below)	Payment Reforms that Put Patients First: A Planning Project	\$170,953.00	2/13/2014	\$136,763.00	52 Vandewater Avenue	New York	NY	10017 3808	Primary Care
13-07154	Medical Association of the Hudson Valley	MAHV	PC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	1/28/2014	\$16,000.00	360 Washington Avenue	Elkton	NY	12401	DC: Clinically Based
13-07172	Medicare Rights Center Inc.	MRC	PC	Community Based Organization	Improving the Development and Implementation of New York's Dual Integration Program	\$195,455.00	3/5/2014	\$39,049.00	320 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Cost
13-07137	Medicare Rights Center Inc.	MRC	PC	Community Based Organization	Improving the Development and Implementation of New York's Dual Integration Program	\$192,546.00	10/29/2014	\$154,048.00	320 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Primary Care
13-07314	Memorial Sloan-Kettering Cancer Center	MSKCC	PC	Community Based Organization	Improving the Development and Implementation of New York's Dual Integration Program	\$243,948.00	9/1/2014	\$48,242.58	1275 York Avenue, Box 701	New York	NY	10017	Special Projects Fund
13-07473	MH Legal Services Inc.	MHS	PC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$50,000.00	4/23/2014	\$10,000.00	299 Broadway, 4th Floor	New York	NY	10007	Special Projects Fund
13-07449	Mount Sinai School of Medicine	MSM	PC	Academic Institution (University or College)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$52,500.00	3/16/2014	\$42,000.00	Medicine 4125 Madison Ave Room 11 20C	New York	NY	10029-6514	DC: Clinically Based
13-07526	Mount Sinai School of Medicine	MSM	PC	Academic Institution (University or College)	Hepatitis C Virus Infection: Telemedicine Collaboration Care Center	\$247,735.00	7/10/2014	\$61,946.00	1183 Veterans Memorial Highway Room 11 20C	New York	NY	10029-6514	Special Projects Fund
13-07679	Nathan-Surkin Hospital Council Inc.	NSHC	PC	Health Department (County, City, State)	Waist Loss Band	\$24,070.00	9/3/2014	\$19,256.00	Suite 25	Hempstead	New York	11768	Prevention
13-07651	National Center on Addiction and Substance Abuse at Columbia University	NCASU	PC	Other (fill in the field below)	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments	\$429,905.00	4/23/2014	\$158,078.54	613 3rd Avenue, 15th Floor	New York	NY	10017-8155	Special Projects Fund
13-07999	New School for Social Research	NSR	PC	Academic Institution (University or College)	Scaling Up a Promising Practice for Preventing Adolescent Substance Use	\$174,388.00	1/17/2014	\$139,510.00	29 Fifth Avenue, 17th Floor	New York	NY	10003	Special Projects Fund
13-07992	New York Academy of Medicine	NYAM	PC	Other (fill in the field below)	Advancing the NYSP Prevention Agenda: Technical Assistance Support and Learning Communities for Local Health Departments	\$400,000.00	4/17/2014	\$200,000.00	1216 Fifth Avenue	New York	NY	10029	Prevention
13-08181	New York City Environmental Justice Alliance Inc.	NYEJA	PC	Other	The Waterfront Project	\$98,549.00	5/21/2014	\$24,647.00	166A 12nd Street	Brooklyn	NY	11232	Special Projects Fund
13-08160	New York Health Collaborative Inc.	NYHC	PC	Public Benefit Corporation	Meeting the Mark: Achieving Excellence in Diabetes Care	\$80,000.00	4/28/2014	\$22,000.00	40 West Street	New York	NY	10013	DC: Clinically-Based
13-08358	New York Health Plus Association Council Inc.	NYHPA	PC	Professional Association	New York State Health Demonstration Capitation Analysis	\$40,385.00	3/5/2014	\$20,096.00	90 State Street, 8E15	Albany	NY	12207	Cost
13-08367	New York Lawyers for the Public Interest Inc.	NYLP	PC	Non Profit Organization Providing Health/Health Related Services	Healthy Schools: Increasing and Improving Citizen Participation in NYC School Siting Process	\$234,851.00	12/23/2014	\$46,970.00	151 West 80th Street, 11th Floor	New York	NY	10011-4017	Special Projects Fund
13-08343	New York State Unified Court System	NYSCS	GOV	Government Agency	Resolving Medical Malpractice Cases with Judge on Mediation	\$119,993.00	7/7/2014	\$54,998.00	25 Beaver Street, 8th Floor	New York	NY	10004	Cost
13-08328	New York University College of Dentistry	NYUCD	PC	Academic Institution (University or College)	Needs in Dutchess County: Meeting Pediatric Dental	\$75,000.00	12/23/2014	\$15,000.00	345 East 24th Street, 1037W	New York	NY	10010	Special Projects Fund
13-08319	New York University College of Nursing	NYUCN	PC	Academic Institution (University or College)	System Effectiveness (HICHS) Program to Rural New York State Hospitals	\$305,545.00	4/10/2014	\$57,996.59	714 Broadway, 10th Floor	NY	NY	10003	Special Projects Fund
13-08366	New York University Institute for Poverty, Policy, & Research	NYIPP	PC	Academic Institution (University or College)	System Effectiveness (HICHS) Program to Rural New York State Hospitals	\$24,495.00	8/6/2014	\$4,899.00	Policy & Research New York University 41 East 11th Street, 7th Floor	New York	NY	10003	Primary Care

14-01786	New York University School of Public Service	Robert T. Wagner Graduate School of Public Service	PC	Academic Institution (University or College)	Improving Care and Reducing Costs for High Cost Medicaid Patients - Phase 2	1/16/2014	\$85,114.00	395 Lafayette Street, 2nd Floor	New York	NY	10012 2455	Cost
14-01787	New York University School of Public Service	Robert T. Wagner Graduate School of Public Service (NYU)	PC	Academic Institution (University or College)	Establishing a Medical Evaluation Consortium for HIV/AIDS	8/4/2014	\$170,700.00	395 Lafayette Street, 2nd Floor	New York	NY	10012 2455	Special Projects Fund
14-01788	New York University School of Medicine	Samuel I. Hahn School of Public Health	PC	Academic Institution (University or College)	Gratitudes - Phase 6	11/7/2014	\$149,553.00	150 First Avenue	New York	NY	10018	Technical Assistance
14-01789	Harvard Consumer Insights Inc.	Formerly known as Harris Interactive Inc.	MC	Research organization	New York State Health Insurance Reform Survey	10/15/2014	\$31,548.00	40 Corporate Woods	Rochester	NY	14623	Health Reform
14-01790	Harvard Consumer Insights Inc.	Formerly known as Harris Interactive Inc.	MC	Research organization	New York State Health Insurance Reform Survey	12/23/2014	\$31,548.00	40 Corporate Woods	Rochester	NY	14623	Health Reform
14-01791	North Shore-Long Island Health System, Inc.	North Shore-Long Island Health System	PC	Non-Profit Organization Providing Health/Health	Improving Operations and Revenue Management	1/1/2014	\$32,500.00	138 Arden Street	Westchester	NY	13603	Primary Care
14-01792	North Shore-Long Island Health System, Inc.	North Shore-Long Island Health System	PC	Non-Profit Organization Providing Health/Health	Continued Support for Scaling Up the National Diabetes Prevention Program	9/1/2014	\$2,550.00	125 Community Drive	Great Neck	NY	11021	DC, Community Based
14-01793	North Shore-Long Island Health System, Inc.	North Shore-Long Island Health System	PC	Non-Profit Organization Providing Health/Health	Scaling Up the National Diabetes Prevention Program	1/9/2014	\$10,000.00	125 Community Drive	Great Neck	NY	11021	DC, Community Based
14-01794	North Shore-Long Island Health System, Inc.	North Shore-Long Island Health System	PC	Non-Profit Organization Providing Health/Health	Scaling Up the National Diabetes Prevention Program	8/25/2014	\$5,000.00	125 Community Drive	Great Neck	NY	11021	DC, Community Based
14-01795	North Shore-Long Island Health System, Inc.	North Shore-Long Island Health System	PC	Non-Profit Organization Providing Health/Health	Scaling Up the National Diabetes Prevention Program	6/22/2014	\$13,196.00	P.O. Box 891	Saratoga Lake	NY	12083-0891	Special Opportunity Grants
14-01796	Oak Orchard Community Health Center, Inc.	Oak Orchard Health	PC	Community Health Center	Preventing and Reducing Primary Care Gaps	2/14/2014	\$20,000.00	100 West Avenue	Brockport	NY	14420	Growth Management
14-01797	Orange County Health Department	Orange County Health Department	GOV	Health Department (County, City, State)	Measuring the Impact of the Health Department	7/14/2014	\$16,378.00	700 Sunset Street	Chappaqua	NY	12516	Prevention
14-01798	Psychological Family Medical Care	Psychological Family Medical Care	MC	Private Practice	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	8/4/2014	\$50,000.00	140 Bedford Avenue	Buffalo	NY	14224 1825	Prevention
14-01799	Pharmaceuticals New York	Pharmaceuticals New York	PC	Primary Care Networks	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	7/28/2014	\$5,000.00	140 Bedford Avenue	Pasadena	NY	11772	DC, Clinically Based
14-01800	Prime Care Physicians PLLC	Prime Care Physicians PLLC	MC	Primary Care Networks	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	6/19/2014	\$18,100.00	914th Avenue, 4th Floor	New York	NY	10021 3076	Memberships
14-01801	Project Solutions, Inc.	Project Solutions, Inc.	PC	Community-Based Organization	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	7/25/2014	\$42,500.00	140 Alton Drive	Albany	NY	12206	DC, Clinically Based
14-01802	Putnam County Department of Health	Putnam County Department of Health	GOV	Health Department (County, City, State)	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	9/11/2014	\$300,000.00	500 Central Avenue	Albany	NY	12206	Special Projects Fund
14-01803	Queens Library Foundation, Inc.	Queens Library Foundation, Inc.	PC	Community-Based Organization	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	7/11/2014	\$8,000.00	11 Greene Road	Briarcliff	NY	10709	Prevention
14-01804	Queens Library Foundation, Inc.	Queens Library Foundation, Inc.	PC	Community-Based Organization	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	9/22/2014	\$250,000.00	11 Merrick Boulevard	Jamaica	NY	11432 5242	Special Projects Fund
14-01805	Queens Library Foundation, Inc.	Queens Library Foundation, Inc.	PC	Community-Based Organization	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	9/2/2014	\$4,025.00	11 Merrick Boulevard	Jamaica	NY	11432 5242	Special Projects Fund
14-01799	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	11/27/2014	\$51,416.00	1776 Main Street, P.O. Box 2138	Santa Monica	CA	90407 2138	Primary Care
14-01806	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	3/19/2014	\$19,616.00	1776 Main Street, P.O. Box 2138	Santa Monica	CA	90407 2138	Primary Care
14-01811	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	11/6/2014	\$8,500.00	1663 Main Street	Whitney Point	NY	13862	DC, Community-Based
14-01808	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	4/13/2014	\$40,000.00	1663 Main Street	Whitney Point	NY	13862	Economic Recovery
14-01809	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	1/14/2014	\$10,000.00	P.O. Box 97	Corning	NY	14830-0097	DC, Community Based
14-01810	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	9/24/2014	\$10,000.00	P.O. Box 97	Corning	NY	14830-0097	DC, Community-Based
14-01806	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	5/5/2014	\$40,000.00	P.O. Box 97	Corning	NY	14830-0097	Prevention
14-01807	RAND Corporation	RAND Corporation	PC	Other (All in the field below)	Examining the Impact of the Health and Primary Care Services for People with Serious Mental Illness	11/27/2014	\$20,000.00	107 Main Terrace	Schenectady	NY	12308	Prevention
14-01817	Schuyler Center for Analysis and Advocacy Inc.	Schuyler Center for Analysis and Advocacy Inc.	PC	Think Tank	Preserving and Expanding Community Waiver	12/31/2014	\$120,000.00	150 State Street, 4th Floor	Albany	NY	12207	Primary Care
14-01793	Schuyler Center for Analysis and Advocacy Inc.	Schuyler Center for Analysis and Advocacy Inc.	PC	Think Tank	Preserving and Expanding Community Waiver	11/13/2014	\$125,004.00	150 State Street, 4th Floor	Albany	NY	12207	Special Projects Fund
14-01715	South Alton Council for Social Services	South Alton Council for Social Services	PC	Other	Fluoridation	12/30/2014	\$115,000.00	141 06 45th Avenue	Flushing	NY	11355	DC, Community Based
14-01728	St. Peter's Hospital Foundation Inc.	St. Peter's Hospital Foundation Inc.	PC	Non-Profit Organization Providing Health/Health	Scaling Up the National Diabetes Prevention Program	12/9/2014	\$10,000.00	135 South Manning Blvd, Suite 114	Albany	NY	12208	DC, Community Based
14-00018	State University of Albany The Nelson A. Rockefeller Institute of Government	State University of Albany The Nelson A. Rockefeller Institute of Government	PC	Other (All in the field below)	Spending and Government Efficiency in Health Care Delivery	6/13/2014	\$7,932.94	411 State Street	Albany	NY	12203-1003	Special Projects Fund
14-01047	Syracuse University	Syracuse University	PC	Academic Institution (University or College)	Engaging the Community to Decrease Drug Dependent Members	6/6/2014	\$50,000.00	Office of Sponsored Programs, 113 Bowne Hall	Syracuse	NY	13244 1200	Prevention
14-01045	Syracuse University Institute for Veterans and Military Families	Syracuse University Institute for Veterans and Military Families	PC	Community Academic Partnership	The Active Role of Veterans in the Health and Wellbeing of the Community	7/27/2014	\$105,991.00	200 University Avenue, Suite 303	Syracuse	New York	13244	Veterans Initiative
14-01483	Triad Health	Triad Health	PC	Community Based Organization	Initiative for Greater Impact	12/24/2014	\$44,520.00	Drum Suite 320	Fishkill	NY	12524	Cost
14-01124	Trustees of Columbia University in the City of New York	Columbia University	PC	Academic Institution (University or College)	Primary Care in the Dental Office: The Case for Diabetes Medication	10/27/2014	\$36,793.00	Office of Research Administration	New York	NY	10013	Primary Care
14-02015	United Cerebral Palsy Association of Greater Suffolk, Inc.	United Cerebral Palsy Association of Greater Suffolk, Inc.	PC	Non-Profit Organization Providing Health/Health	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	7/25/2014	\$4,000.00	155 Caledonia Avenue	Central Islip	NY	11732	DC, Clinically Based
14-01518	United Hospital Fund of New York	United Hospital Fund of New York	PC	Other (All in the field below)	Meeting the Need: Achieving Excellence in Annual Membership Grant July 7, 2014 - June 30, 2015	7/21/2014	\$3,797.00	1411 Broadway, 12 Floor	New York	NY	10018	Primary Care
14-01248	Unity Hospital of Rochester	Unity Health System	PC	Hospital	Scaling Up the National Diabetes Prevention Program	11/3/2014	\$15,000.00	2655 Ridgeway Avenue, Suite 120	Rochester	NY	14616	DC, Community Based
14-01246	Unity Hospital of Rochester	Unity Health System	PC	Hospital	Scaling Up the National Diabetes Prevention Program	11/9/2014	\$15,000.00	2655 Ridgeway Avenue, Suite 120	Rochester	NY	14616	DC, Community Based
14-01118	University at Albany Foundation (Center for Excellence in Aging & Community Wellness)	University at Albany Foundation (Center for Excellence in Aging & Community Wellness)	PC	Academic Institution (University or College)	Replicating the National Diabetes Prevention Program and Primary Care Providers	12/15/2014	\$67,713.00	1200 Washington Avenue	Albany	NY	12222	DC, Community-Based
14-01756	University of Chicago	University of Chicago	PC	Academic Institution (University or College)	Weight of the Evidence?	12/16/2014	\$134,164.00	5841 S. Maryland Ave. MC2007	Chicago	IL	60637	DC, Policy Center

13-02705	University of Rochester	Center for Community Health	PC	Academe Institution (University or College)	Monroe County Community Health Improvement Project (LCHP) - Enhancing Primary Care Services in Rural New York	\$35,000.00	10/2/2014	\$30,000.00	46 Pine Street	Richfield	NY	14607	Prevention
13-02853	University of Rochester	Foundation Relations	PC	Academe Institution (University or College)	Monroe County Community Health Improvement Project (LCHP) - Enhancing Primary Care Services in Rural New York	\$344,484.00	2/27/2014	\$258,163.00	1001 East River Road, Box 278703	Richfield	NY	14627-8703	Primary Care
13-02859	William Health Management	VNAH	HC	Other	Technical Assistance and Capacity Building for the National Diabetes Prevention Program Grantees	\$150,000.00	7/9/2014	\$120,000.00	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027	DC Community Based
13-02859	William Health Management	VNAH	HC	Other	Technical Assistance and Capacity Building for the National Diabetes Prevention Program Grantees	\$150,000.00	8/11/2014	\$10,000.00	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	In-Depth Technical Assistance to Help Launch the Groundwork Grantees Sustain and Expand the HDPG	\$150,000.00	7/1/2014	\$75,000.00	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027	Diabetes
13-02891	William Health Management	VNAH	HC	Other	In-Depth Technical Assistance to Help Launch the Groundwork Grantees Sustain and Expand the HDPG	\$150,000.00	12/8/2014	\$37,500.00	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027	Diabetes
13-02891	William Health Management	VNAH	HC	Other	Meeting the Main: Addressing Longevity in Diabetes Care	\$75,000.00	2/29/2014	\$54,000.00	259 First Street	Minneapolis	NY	11501	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$10,000.00	2/27/2014	\$5,000.00	259 First Street	Minneapolis	NY	11501	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$10,000.00	11/4/2014	\$5,000.00	259 First Street	Minneapolis	NY	11501	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	1/14/2014	\$7,500.00	5 West 63rd Street, 6th Floor	New York	NY	10023	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	11/10/2014	\$7,500.00	5 West 63rd Street, 6th Floor	New York	NY	10023	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	7/14/2014	\$7,500.00	5 West 63rd Street, 6th Floor	New York	NY	10023	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	11/10/2014	\$7,500.00	5 West 63rd Street, 6th Floor	New York	NY	10023	DC Community Based
13-02891	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	2/3/2014	\$7,500.00	121 Duane Street	Green Cove	NY	11542	DC Community Based
13-02712	William Health Management	VNAH	HC	Other	Scaling Up the National Diabetes Prevention Program	\$15,000.00	12/23/2014	\$7,500.00	121 Duane Street	Green Cove	NY	11542	DC Community Based
Total								\$9,542,761.75					

Grants Payable FYE 2014										
Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Primary Street	Primary City	Primary State/Province	Primary Zip/Postal Code	Organization Type 1	Project Title
13-02449	Action for a Better Community, Inc.	\$115,000.00	5/29/2015	\$20,000.00	550 East Main Street	Rochester	NY	14604-2522	PC	Enroll Monroe
14-03168	Actors Fund of America	\$150,000.00	5/31/2016	\$37,500.00	729 Seventh Avenue, 10th Fl	New York	NY	10019	PC	Every Artist Insured
14-03168	Actors Fund of America	\$150,000.00	9/30/2015	\$37,500.00	729 Seventh Avenue, 10th Fl	New York	NY	10019	PC	Every Artist Insured
12-01418	Adirondack Health Institute, Inc.	\$304,911.00	1/30/2015	\$152,456.00	9 Carey Road	Queensbury	New York	12804	PC	Sustaining the Success of the Primary Care Medical Home Model in the Adirondacks
13-02288	AHRC Health Care, Inc.	\$10,000.00	8/29/2014	\$5,000.00	83 Maiden Lane, Floor 6	New York	NY	10038-4812	PC	Scaling Up the National Diabetes Prevention Program
13-02089	AIDS Community Resources, Inc.	\$115,000.00	1/30/2015	\$20,000.00	627 West Genesee Street	Syracuse	NY	13204	PC	New York Benefit Exchange Education and Enrollment Program (NYBEEP)
13-01902	Albany Medical Center	\$167,772.00	2/28/2017	\$33,555.00	Albany Medical Center Pediatric Group, 1 Clara Barton Drive	Albany	NY	12208	PC	Scaling Up the WIC Smiles Program
11-00310	American Cancer Society - Eastern Division (Albany)	\$300,027.00	9/30/2014	\$75,006.00	19 Dove Street, Suite 103	Albany	NY	12210	PC	Protecting Consumer Interests in New York State's Implementation of Federal Health Care
14-03129	American Diabetes Association 1	\$15,000.00	1/14/2015	\$7,500.00	333 7th Avenue, 17th Floor	New York	NY	10001	PC	American Diabetes Association Prediabetes and Diabetes Conference
14-03175	American Diabetes Association, Inc.	\$175,000.00	2/29/2016	\$35,000.00	160 Allens Creek Road	Rochester	NY New York	14618	PC	Demand, Refer, and Reimburse: Increasing Update of the Diabetes Prevention Program in
14-02077	Boston College Trustees	\$248,832.00	10/30/2017	\$62,208.00	140 Commonwealth Avenue, Haley House	Chestnut Hill	MA	2467	PC	Evaluating Self-Directed Care for People with Serious Mental Illness in New York State
14-03077	Boston College Trustees	\$248,832.00	9/30/2016	\$62,208.00	140 Commonwealth Avenue, Haley House	Chestnut Hill	MA	2467	PC	Evaluating Self-Directed Care for People with Serious Mental Illness in New York State
13-02688	Broome County Health Department	\$50,000.00	3/31/2016	\$10,000.00	225 Front Street	Binghamton	New York	13905	GOV	Better Balance for Broome Fall Prevention Project
13-02423	Capital District Gay and Lesbian Community Council, Inc.	\$115,000.00	5/29/2015	\$20,000.00	332 Hudson Avenue	Albany	NY	12210	PC	Capital Region LGBT Health Insurance Enrollment
14-02993	Care for the Homeless	\$184,454.00	12/31/2015	\$36,891.00	30 East 33rd Street, 5th Floor	New York	NY	10016-5337	PC	Increasing Access to Primary Care for Homeless New Yorkers
13-02378	Catalyst for Payment Reform, Inc.	\$231,547.00	4/30/2015	\$115,773.00	1344 Oxford Street	Berkeley	CA	94709	PC	New York State Payment Reform Scorecard
13-02117	Catholic Charities of the Roman Catholic Diocese of Rochester	\$40,000.00	8/29/2014	\$8,000.00	87 North Clinton Avenue	Rochester	NY	14604	PC	Train the Trainers: Meeting the Health Need of Refugee Torture Survivors
12-01627	Center for Alternative Sentencing and Employment Services, Inc.	\$225,000.00	11/28/2014	\$45,000.00	946 Broadway, 3rd Floor	New York	NY	10013	PC	Establishing a Mental Health Clinic for Criminal Justice-Involved Individuals
13-02085	Center for Children&893.1 Initiatives	\$115,000.00	5/29/2015	\$20,000.00	322 Eighth Ave, 4th floor	New York	New York	10001	PC	Consumer Assistance Program
12-01610	Center for Health Care Strategies, Inc.	\$310,013.00	7/31/2014	\$68,087.00	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311	PC	New York State Health Homes Learning Collaborative
14-03037	Center for Health Care Strategies, Inc.	\$398,951.00	7/31/2015	\$99,738.00	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311	PC	New York State Health Homes Learning Collaborative, Phase 2
14-03037	Center for Health Care Strategies, Inc.	\$398,951.00	8/31/2016	\$99,738.00	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311	PC	New York State Health Homes Learning Collaborative, Phase 2
13-02394	Center for Independence of the Disabled in New York, Inc.	\$98,016.00	4/15/2015	\$19,603.00	841 Broadway, Suite 301	New York	NY	10003-4704	PC	Improving Health Plan Services for People with Disabilities
13-02092	Charles B. Wang Community Health Center, Inc.	\$115,000.00	1/30/2015	\$20,000.00	268 Canal Street	New York	New York	10013	PC	Consumer Assistance for New York State Health Benefits Exchange - Chinese Outreach and
14-03176	Charles B. Wang Community Health Center, Inc.	\$150,000.00	5/31/2016	\$37,500.00	268 Canal Street	New York	New York	10013	PC	New York State of Health Consumer Assistance Project - Chinese Outreach and Enrollment
14-03176	Charles B. Wang Community Health Center, Inc.	\$150,000.00	9/30/2015	\$37,500.00	268 Canal Street	New York	New York	10013	PC	New York State of Health Consumer Assistance Project - Chinese Outreach and Enrollment
13-02697	Chautauque County Department of Health and Human Services	\$35,000.00	2/29/2016	\$7,000.00	7 North Erie St	Mayville	NY	14757	GOV	Creating Community Supports for Breastfeeding in Chautauque County
13-02237	Chautauque County Health Network, Inc.	\$15,000.00	10/31/2014	\$7,500.00	200 Harrison Street, Suite 200	Jamestown	NY	14701	PC	Scaling Up the National Diabetes Prevention Program
13-02674	Clinton County Health Department	\$20,000.00	12/31/2015	\$4,000.00	133 Margaret Street	Pittsburgh	New York	12601	GOV	Building a Healthier Clinton County
13-02672	Columbia County Department of Health	\$24,400.00	5/31/2016	\$4,880.00	325 Columbia St, Suite 100	Hudson	NY	12534	GOV	Columbia County Obesity Prevention

2553196	Columbia University - Mailman School of Public Health	2/27/2015	\$196,709.00	\$39,341.00	722 West 168th Street	New York	NY	10032	PC	First Steps to Healthy Living: Evaluation of New York State Early Childhood Obesity Prevention
14-02789	Community Health Care Association of New York State, Inc.	8/31/2015	\$382,756.00	\$76,551.00	111 Broadway, Suite 1402	New York	NY	10006	PC	Building Capacity of Community Health Centers to Participate in System Expansion and Outreach and Enrollment Program
13-02086	Community Health Project, Inc.	1/30/2015	\$115,000.00	\$20,000.00	356 West 18th Street	New York	NY	10011-4401	PC	Callen-Lorde Outreach and Enrollment Program Phase 2
14-03177	Community Health Project, Inc.	5/31/2016	\$107,421.00	\$26,855.00	356 West 18th Street	New York	NY	10011-4401	PC	Callen-Lorde Outreach and Enrollment Program, Phase 2
14-03177	Community Health Project, Inc.	9/30/2015	\$107,421.00	\$26,855.00	356 West 18th Street	New York	NY	10011-4401	PC	Callen-Lorde Outreach and Enrollment Program, Phase 2
14-03081	Community Health Worker Network of New York City	5/29/2015	\$124,301.00	\$31,075.00	154 51st Street	Brooklyn	NY	11220	PC	Financing Community Health Workers: An Analysis of Emerging Opportunities in New York
12-0121	Community Service Society of New York	5/29/2015	\$1,200,000.00	\$240,000.00	105 East 22nd Street	New York	NY	10010	PC	Expanding the Small Business Assistance Program to Preserve and Increase Health Coverage
14-03122	Community Service Society of New York	8/31/2015	\$100,000.00	\$20,000.00	105 East 22nd Street	New York	NY	10010	PC	Exploring Coverage Options for Undocumented New York State Residents
14-02991	Consumer Union of United States, Inc.	2/28/2017	\$176,670.00	\$35,334.00	101 Truman Avenue	Yonkers	NY	10703-1057	PC	Wikipedia Medical School Outreach Project
14-02094	Cornell University - Joan & Sanford Weill Medical College	8/28/2015	\$223,171.00	\$44,634.00	1300 York Avenue	New York	NY	10021	PC	Improving Population Health: The Role of Hospitals in New York State
13-01903	Corporation for Supportive Housing	2/27/2015	\$95,000.00	\$19,000.00	61 Broadway, Suite 2300	New York	NY	10006-2807	PC	Building Health Home Capacity to Serve Homeless Clients
13-02699	Cortland County Health Department	12/31/2015	\$23,859.00	\$4,771.00	80 Central Avenue	Cortland	NY	13045-2746	Public	Pump It Up for Health: A Program to Increase Breastfeeding Duration and Exclusivity in Complete Streets and Prescription Trails
13-02683	Delaware County Public Health Services	10/30/2015	\$5,000.00	\$1,000.00	99 Main Street	Delhi	New York	13753	PC	Advancing a Coordinated Municipal-Level Public Health and Safety Approach to Drug Policy
14-03015	Drug Policy Alliance	5/31/2016	\$138,628.00	\$27,725.00	131 West 33rd Street, 15th Floor	New York	NY	10001	PC	Amplifying the Role of Schools and Employers in Providing Nutritionally Valuable Foods and Long Term Care and Services in Rural New York
14-03043	Empire Justice Center, Inc.	10/14/2015	\$189,112.00	\$37,822.00	1 West Main Street, 2nd Floor	Rochester	NY	14614-1418	PC	Proving Return-on-Investment for an Intervention for Asthmatic Children
13-02702	Erie County Department of Health	2/29/2016	\$25,000.00	\$5,000.00	95 Franklin Street, 9th Floor	Buffalo	NY	14202	GOV	Improving Health Outcomes in Livingston County
13-02691	Essex County Public Health	2/29/2016	\$25,800.00	\$5,060.00	132 Water Street, c/o PO Box 217	Elizabethtown	NY	12932	GOV	Project Transparency: Examining Variations in New York Hospital Prices and Quality of Care
13-01968	Foundation for Long Term Care, Inc.	1/30/2015	\$90,080.00	\$18,018.00	13 British American Blvd, Suite 2	Latham	NY	12110	PC	Project Transparency: Examining Variations in New York Hospital Prices and Quality of Care
13-02575	Fund for Public Health in New York, Inc.	4/29/2016	\$300,000.00	\$60,000.00	22 Cortland Street, 11th Floor, Suite 1103	New York	NY	10007	PC	Project Transparency: Examining Variations in New York Hospital Prices and Quality of Care
13-02675	Genesee Valley Health Partnership, Inc.	10/30/2015	\$30,000.00	\$6,000.00	PO Box 97	Corning	NY	14830	PC	Exploring Expansion into the Southern Tier
14-02881	Gorman Actuarial, Inc.	10/30/2015	\$350,000.00	\$87,500.00	210 Robert Rd	Marlborough	MA	1752	NC	Expanding HWCL&39;s Health Exchange Network to the East End
14-02881	Gorman Actuarial, Inc.	4/30/2015	\$350,000.00	\$87,500.00	210 Robert Rd	Marlborough	MA	1752	NC	New York State Health Insurance Marketplace Enrollment Assistance
12-01563	Greater Hudson Valley Family Health Center, Inc.	2/27/2015	\$100,000.00	\$20,000.00	2570 US Highway 9W, Suite 10	Cornwall	NY	12518	PC	New York State Health Insurance Marketplace Enrollment Assistance
13-02099	Health and Welfare Council of Long Island, Inc.	1/30/2015	\$115,000.00	\$20,000.00	150 Broadhollow Road, Suite 118	Melville	NY	11747	PC	Expanding the Footprint of Health Leads Building the Case through the HMC Demonstration Site
14-03169	Health and Welfare Council of Long Island, Inc.	5/31/2016	\$150,000.00	\$37,500.00	150 Broadhollow Road, Suite 118	Melville	NY	11747	PC	Healthy Connections = Healthy Communities
14-03169	Health and Welfare Council of Long Island, Inc.	9/30/2015	\$150,000.00	\$37,500.00	150 Broadhollow Road, Suite 118	Melville	NY	11747	PC	Authorization for New York State Continuing Education Training for Assistants
14-03144	Health Leads, Inc.	12/30/2016	\$235,000.00	\$45,000.00	506 Leno Avenue, MLK 17 101	New York	NY	10037	PC	Supporting a Health Insurance Enrollment Network in New York State
13-02406	Health Research, Inc.	1/30/2015	\$110,000.00	\$22,000.00	Riverview Center, 150 Broadway, Suite 560	Menands	NY	12204-2719	PC	Scaling Up the National Diabetes Prevention Program
14-03078	Health Research, Inc.	3/31/2015	\$80,787.00	\$16,157.00	Riverview Center, 150 Broadway, Suite 560	Menands	NY	12204-2719	PC	The Medical House Call Model: Caring for Homebound, Chronically ill Patients
13-02400	Henry Street Settlement	5/29/2015	\$115,000.00	\$20,000.00	265 Henry Street	New York	NY	10002	PC	Expanding Access to Pediatric Palliative Care Services in New York State
13-02276	Herkimer County Rural Health Network, Inc.	8/29/2014	\$20,000.00	\$10,000.00	320 North Main Street, Suite 3300	Herkimer	NY	13350	PC	Preservation of Primary Care for Sullivan County Long Island
12-01257	Home Aide Service of Eastern New York, Inc.	2/27/2015	\$399,920.00	\$133,308.00	1224 Burdett Avenue	Troy	NY	12180	PC	
12-01118	Hospice and Palliative Care Association of New York State, Inc.	12/8/2014	\$98,129.00	\$19,626.00	2 Computer Drive West Suite 105	Albany	NY	12205	PC	
14-03051	Hudson River HealthCare, Inc.	9/30/2015	\$200,000.00	\$40,000.00	1037 Main Street	Pretskill	NY	10566-2913	PC	

12-01535	Jewish Family Service of Buffalo and Erie County		\$250,000.00	9/30/2015	\$83,334.00	70 Barker Street	Buffalo	NY	14209	PC	Western New York Torture Survivor Center
13-02404	Lenox Hill Neighborhood House		\$115,000.00	5/29/2015	\$20,000.00	331 East 70th Street	New York	NY	10021	PC	Health Insurance Enrollment Program
13-02413	Long Island Gay and Lesbian Youth, Inc.		\$115,000.00	5/29/2015	\$20,000.00	34 Park Avenue	Bay Shore	NY	11706-7309	PC	Health Care Access Initiative
12-01240	Long Island Home		\$138,010.00	11/28/2014	\$27,602.00	400 Sunrise Highway	Amityville	NY	11701	PC	Integration of Mental Health Services in Podiatric Care
10-01954	Loyola University of Chicago		\$229,059.00	1/30/2015	\$33,905.76	820 N Michigan Avenue	Chicago	IL	60611	PC	Using Technology to Improve Scheduling Efficiencies in Home Care
13-02240	Maimonides Medical Center		\$290,950.00	11/30/2015	\$58,190.00	4802 10th Avenue	Brooklyn	NY	11219	PC	Integrating Social and Clinical Services into a Total Cost of Care Model
13-02083	Make the Road New York		\$115,000.00	1/30/2015	\$25,000.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372	PC	Expanding Healthcare Access for the Retail Workforce
13-02087	Make the Road New York		\$115,000.00	1/30/2015	\$20,000.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372	PC	Health Navigator Project
14-03172	Make the Road New York		\$150,000.00	5/31/2016	\$37,500.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372	PC	MRNY Health Insurance Enrollment Project
14-03172	Make the Road New York		\$150,000.00	9/30/2015	\$37,500.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372	PC	MRNY Health Insurance Enrollment Project
13-02622	Manhattan Institute for Policy Research, Inc.		\$170,953.00	6/30/2015	\$34,190.00	52 Vanderbilt Avenue	New York	NY	10017-3808	PC	Payment Reforms that Put Patients First
14-03137	Medicare Rights Center, Inc.		\$192,586.00	12/31/2015	\$38,518.00	520 8th Avenue North Wing, 3rd Floor	New York	NY	10018	PC	Educating Providers on New York's 39 Fully Integrated Duals Advantage (IDA) Program
13-02135	Mount Sinai Hospital		\$85,000.00	10/30/2015	\$17,000.00	320 East 94th Street	New York	NY	10128	PC	Healthy Youth, Healthy Futures: A Blueprint for Integrated Adolescent Health Services
12-01626	Mount Sinai School of Medicine		\$247,785.00	6/30/2015	\$61,946.00	Box 1123 Mount Sinai School of Medicine 1425 Madison Ave. Room 11-70C	New York	NY	10029-6274	PC	Hepatitis C Virus Infection Telemedicine Collaborative Care Center
13-02679	Nassau Suffolk Hospital Council, Inc.		\$24,070.00	4/29/2016	\$4,814.00	1383 Veterans Memorial Highway Suite 26	Hempstead	New York	11788	PC	Walk Long Island
12-01708	National Academy of Sciences		\$225,000.00	2/15/2016	\$45,000.00	500 5th St., N.W.	Washington	DC	20001	PC	Roundtable on Population Health Improvement
14-02999	New School		\$174,388.00	12/31/2015	\$34,878.00	79 Fifth Avenue, 17th Floor	New York	NY	10003	PC	Scaling Up a Promising Practice for Preventing Child Maltreatment
14-02792	New York Academy of Medicine		\$400,000.00	5/31/2016	\$100,000.00	1216 Fifth Avenue	New York	NY	10029	PC	Advancing the NYS Prevention Agenda: Technical Assistance Support and Learning Communities for
14-02792	New York Academy of Medicine		\$400,000.00	5/29/2015	\$100,000.00	1216 Fifth Avenue	New York	NY	10029	PC	Advancing the NYS Prevention Agenda: Technical Assistance Support and Learning Communities for
12-01343	New York Association on Independent Living, Inc.		\$199,994.00	11/30/2015	\$39,999.00	99 Washington Avenue, Suite 805A	Albany	NY	12210-2808	PC	Guiding Implementation of the Community First Choice Option in New York
13-02001	New York University - Medivest Institute for Poverty, Policy, Research		\$317,610.00	11/30/2015	\$63,522.00	Medivest Institute for Poverty, Policy, Research, New York University, 41 East 11th	New York	NY	10003	PC	Introducing Fast-Track Outcome Assessments to New York's Mental Health Clinics
14-02784	New York University - Robert F. Wagner Graduate School of Public Service		\$682,803.00	9/29/2017	\$170,703.00	995 Lafayette Street, 2nd Floor	New York	NY	10012-7455	PC	Establishing a Medicaid Evaluation Consortium
14-02784	New York University - Robert F. Wagner Graduate School of Public Service		\$682,803.00	8/31/2016	\$170,700.00	995 Lafayette Street, 2nd Floor	New York	NY	10012-7455	PC	Establishing a Medicaid Evaluation Consortium
14-02784	New York University - Robert F. Wagner Graduate School of Public Service		\$682,803.00	8/31/2015	\$170,700.00	995 Lafayette Street, 2nd Floor	New York	NY	10012-7455	PC	Establishing a Medicaid Evaluation Consortium
12-01682	New York University - School of Medicine		\$239,822.00	2/29/2016	\$47,964.00		New York	NY		PC	The Impact of the New York City Sugar Sweetened Beverage Policy on Children
13-02324	New York University - School of Medicine		\$148,297.00	2/27/2015	\$29,659.00	550 First Avenue 5TRB 6th floor 624	New York	NY	10016	PC	Evaluation Technical Assistance for NYHealth Foundation Grantees - Phase 5
14-03120	New York University - School of Medicine		\$149,555.00	12/31/2015	\$29,911.00	550 First Avenue	New York	NY	10016	PC	Evaluation Technical Assistance for NYHealth Grantees - Phase 6
12-01566	Open Door Family Medical Center, Inc.		\$100,000.00	2/27/2015	\$20,000.00	165 Main Street	Oshkosh	NY	10862	PC	Expanding Oral and Behavioral Health in the Hudson Valley
13-02698	Oswego County Health Department		\$26,378.00	10/30/2015	\$5,275.00	70 Bunner Street	Oswego	NY New York	13126	GOV	Move More, Smoke Less - Phase 2

13-02627	PS Collaborative of Western New York, Inc	4/29/2016	\$50,000.00	\$10,000.00	355 Harlem Road, Building C, 2nd floor	Buffalo	NY	14224-1825	PC	Collaborating to Promote Health in Western New York
13-02439	Peoples Equal Action and Community Effort	5/29/2015	\$115,000.00	\$20,000.00	217 South Salina Street, 2nd floor	Syracuse	NY	13202	PC	Onondaga County Enrollment Network
13-02013	Public Health Solutions	12/31/2015	\$284,159.00	\$56,832.00	40 Worth Street 5th floor	New York	NY	10013	PC	Improving Women's Health Services at Community Health Centers
13-02704	Putnam County Department of Health	12/31/2015	\$10,000.00	\$3,000.00	1 Geneva Road	Brewster	NY	10509	GOV	Pilot Worksite Wellness Programs
13-02660	RAND Corporation	4/29/2016	\$385,232.00	\$96,308.00	1776 Main Street, P O BOX 2138	Santa Monica	CA	90407-2138	PC	Evaluation of North Shore-LIJ/Norfolk VA United Behavioral Health Center for Military
13-02660	RAND Corporation	4/30/2015	\$385,232.00	\$96,308.00	1776 Main Street, P O BOX 2138	Santa Monica	CA	90407-2138	PC	Evaluation of North Shore-LIJ/Norfolk VA United Behavioral Health Center for Military
12-01493	Refuah Health Center, Inc	11/30/2015	\$198,800.00	\$39,760.00	728 Main Street	Spring Valley	NY	10977	PC	Refuah Health Center and Fildis Care Improving Quality and Aligning Financial Accountability
11-00866	Rochester General Hospital	2/27/2015	\$200,852.00	\$40,170.00	100 Kings Highway South	Rochester	NY	14617	PC	Replicating Refugee Healthcare Programs in Upstate New York
13-02656	S2AY Rural Health Network, Inc.	11/30/2015	\$50,000.00	\$10,000.00	P O Box 97	Cornell	NY	14830-0097	PC	Improving Health Outcomes in the Finger Lakes
13-02692	Schenectady County Public Health Services	10/30/2015	\$25,000.00	\$5,000.00	107 North Terrace	Schenectady	NY	12308	GOV	Schenectady Asthma Support Collaborative A Coordinated Community-Based Approach to
13-02753	Schuyler Center for Analysis and Advocacy, Inc	11/30/2016	\$500,335.00	\$50,033.00	150 State Street, 4th floor	Albany	NY	12207	PC	Preserving and Expanding Community Water Fluoridation
13-02753	Schuyler Center for Analysis and Advocacy, Inc	10/30/2015	\$500,335.00	\$75,050.00	150 State Street, 4th floor	Albany	NY	12207	PC	Preserving and Expanding Community Water Fluoridation
14-03174	Schuyler Center for Analysis and Advocacy, Inc	2/29/2016	\$150,000.00	\$30,000.00	150 State Street, 4th floor	Albany	NY	12207	PC	Consumer Voices for High Quality and Affordable Health Care
13-02715	South Asian Council for Social Services	5/29/2015	\$115,000.00	\$20,000.00	43-06 45th Avenue	Flushing	New York	11355	PC	Health Enrollment Project
11-00919	Syracuse Community Health Center, Inc	2/16/2015	\$100,004.00	\$20,004.00	819 South Salina Street	Syracuse	NY	13202	PC	Syracuse Community Health Center Expansion Initiative
12-01491	Syracuse University	2/27/2015	\$511,489.00	\$127,872.00	Office of Sponsored Programs, 113 Bowne Hall	Syracuse	NY	13244-1200	PC	Taking Care of the New Home Front Using Federal Resources to Expand Community
14-03097	Syracuse University	7/15/2015	\$50,000.00	\$10,000.00	Office of Sponsored Programs, 113 Bowne Hall	Syracuse	NY	13244-1200	PC	Engaging the Community to Decrease Drug Dependent Newborns
14-03045	Syracuse University - Institute for Veterans and Military Families	8/31/2016	\$413,985.00	\$103,496.00	700 University Avenue, Suite 303	Syracuse	New York	13244	PC	The Battle Back Home Ensuring the Health and Well-being of Our Veterans and Their Families
14-03045	Syracuse University - Institute for Veterans and Military Families	7/31/2015	\$413,985.00	\$103,496.00	700 University Avenue, Suite 303	Syracuse	New York	13244	PC	The Battle Back Home Ensuring the Health and Well-being of Our Veterans and Their Families
13-02433	Trillium Health, Inc	5/29/2015	\$115,000.00	\$20,000.00	259 Monroe Ave	Rochester	NY	14607	PC	Bringing Health Insurance Enrollment to Rochester's LGBT Communities
14-03124	Trustees of Columbia University in the City of New York	10/30/2015	\$36,783.00	\$7,357.00	Office of Research Administration, 630 West 168th Street, Box 49	New York	NY	10032	PC	Primary Care in the Dental Office: The Case for Diabetes Mellitus
13-02638	United Hospital Fund of New York	4/30/2015	\$299,027.00	\$59,925.00	1411 Broadway, 12 floor	New York	New York	10018	PC	Taking Innovation to Scale
13-02284	United States Conference of Catholic Bishops	10/31/2014	\$20,000.00	\$10,000.00	997 North Village Avenue	Rochville Centre	NY	11570	PC	Scaling Up the National Diabetes Prevention Program
14-03138	University at Albany Foundation/Center for Excellence in Aging Ramp Community Wellness	7/31/2015	\$84,642.00	\$16,929.00	1200 Washington Avenue	Albany	NY	12222	PC	Replicating the National Diabetes Prevention Program among Primary Care Providers
13-02653	University of Rochester	4/29/2016	\$344,484.00	\$86,121.00	300 East River Road, Box 278703	Rochester	NY	14621-8703	PC	Project ECHO - Enhancing Primary Care Services in Rural New York
13-02705	University of Rochester	11/30/2015	\$25,000.00	\$5,000.00	46 Prince Street	Rochester	NY	14607	PC	Monroe County Community Health Improvement Outreach and Enrollment
13-02422	Urban Health Plan, Inc	5/29/2015	\$115,000.00	\$20,000.00	1065 Southern Blvd	Brook	NY	10459	PC	Outreach and Enrollment
14-03091	Viridian Health Management	8/14/2015	\$150,000.00	\$37,500.00	22801 North 19th Avenue, Suite 240	Phoenix	AZ	85027	NC	In-Depth Technical Assistance to Help Laying the Groundwork Grantees Sustain and Expand the Healthcare Insurance Enrollment Project
13-02110	Westchester Community Opportunity Program, Inc	5/29/2015	\$115,000.00	\$20,000.00	2269 Saw Mill River Road Bldg #3	Elmsford	New York	10523	PC	Behavioral Health/Primary Care Integration Project
12-01518	Whitney M. Young, Jr. Health Center, Inc	5/29/2015	\$100,000.00	\$20,000.00	320 Lark Drive	Albany	NY	12207	PC	Behavioral Health/Primary Care Integration Project
13-02306	Young Men's Christian Association Buffalo Niagara	8/29/2014	\$20,000.00	\$10,000.00	301 Canoga Road	Buffalo	NY	14225	PC	Scaling Up the National Diabetes Prevention Program
			Sub-Total	\$	5,327,247.35					
			Grant Discount		(69,523.00)					
			Total	\$	5,257,724.35					

NEW YORK STATE HEALTH FOUNDATION

EIN #30-0127892

December 31, 2014

Form 990-PF

PART IX-A Summary of Direct Charitable Activities**1) Learning and evaluation:** Activities to learn from and communicate grantmaking results.

One of the Foundation's guiding principles is to "be a learning organization interested in measuring outcomes, communicating results, and diffusing successful ideas for implementation throughout New York State and beyond." These learning and evaluation activities increase the impact of the Foundation's grantmaking. Foundation staff develop grant outcome reports to capture and share the lessons of nearly every grant awarded and maintain a vibrant website to disseminate these lessons. Staff also spend time developing and shaping policy analyses and reports on key topics affecting New York's health system.

\$703,552**2) Leverage:** Activities to raise funds and create partnerships with other organizations to increase the ability of grantees to improve the health of New Yorkers.

A key strategy for the Foundation is to attract and leverage public and private funds to complement and amplify its own grant dollars. In 2014, NYSHHealth helped secure \$15 million from the U.S. Department of Veterans Affairs for community-based initiatives supporting returning veterans and their families. The Foundation also helped leverage \$500,000 from a range of private foundations and local hospitals to support 27 local county health departments across New York State in their efforts to advance the goals of the New York State Department of Health's *Prevention Agenda 2013–17*. In addition, NYSHHealth received grants in the amount of \$115,000 each from the New York Community Trust and the Altman Foundation to expand the scope of the NYSHHealth enrollment network and support additional organizations to conduct health insurance outreach and enrollment activities.

\$187,958**3) Outreach and education:** Activities to convene key stakeholders and educate the media, policymakers, and other audiences about important health care trends and issues affecting New Yorkers and the New York health system.

Foundation staff regularly reach out to the media and respond to requests from reporters to inform their stories on pressing health issues; write blog posts, journal articles, and opinion pieces; share news and information via e-mail blasts and social media; and deliver speeches and presentations at meetings and conferences. The Foundation also serves as a neutral convener of key players in New York's health system. In 2014, the Foundation hosted nearly 40 convenings, from small, invitation-only discussions, to its "A Conversation With..." series (which brings together leaders in the field of health care to discuss issues pertinent to the Foundation's work), to large conferences attracting hundreds of attendees. These two large convenings were: (1) a summit on population health (attended by approximately 250 people), co-hosted with the New York Academy of Medicine and New York University, to discuss opportunities and approaches for health care providers and payers to invest more broadly in improving the health conditions of communities and (2) a conference on health care payment reform, attended by more than 200 health care leaders, policymakers, and researchers, to discuss engaging a broad range of players in payment reform initiatives.

\$711,306

New York State Health Foundation
 EIN 30-0127892
 Form 990-PF: Part II - Balance Sheet
 Schedule of Portfolio Holdings
 December 31, 2014

Type and Name of Security	Balance at December 31, 2014		
	No of Shares	Cost	Market Value
Equities			
iShares Russell 1000 ETF	361,800	\$ 24,705,113	\$ 41,473,134
Blackrock Russell 1000 Index Fund	3,897,535	70,913,635	99,515,317
Blackrock ACWI ex-US Index Fund	1,884,616	37,956,658	47,036,622
Equity Funds Subtotal		133,575,405	188,025,073
Hard Assets			
iShares COMEX Gold ETF	1,187,500	13,421,513	13,585,000
Subtotal Hard Assets		13,421,513	13,585,000
Fixed Income Funds			
iShares Aggregate Bond Index	768,600	83,689,188	84,638,232
Subtotal Fixed Income Funds		83,689,188	84,638,232
Money Market Funds			
Blackrock Money Market Fund B	50	50	50
Subtotal Money Market	50	50	50
TOTAL Investment HOLDINGS		<u>\$ 230,686,156</u>	<u>\$ 286,248,355</u>

New York State Health Foundation
 EIN# 30-0127892
 Form 990-PF
 Part II - Balance Sheet
 Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year Book Value	End of year Book Value	FMV
Leasehold Improvements	\$ 132,562	\$ 132,562	\$ 132,562
Leasehold Improvements - Accumulated Depreciation	(30,683)	(39,242)	(39,242)
Furniture Fixtures and Equipment	744,932	502,353	502,353
Furniture Fixtures and Equipment - Accumulated Depreciation	<u>(594,602)</u>	<u>(450,929)</u>	<u>(450,929)</u>
Total of Line 14 Column (A) and (B)	<u>\$ 252,209</u>	<u>\$ 144,744</u>	<u>\$ 144,744</u>

Audit Report - Expenditure Responsibility FYE 2014 Report														
Request Number	Organization Name	Organization AKA Name	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Dates	2014 Payment Amounts	Grantee Cumulative Expenditures	Report Received Date 1	Primary Address Line	City	State	Zip Code
13-02127	Asian American Accountable Care Organization	AAACO	NC	Community-Based Organizations in Partnership with Primary Care Provider(s)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	1/8/2014	\$56,000.00	\$70,000.00	12/30/2013	41 Elizabeth Street, 6th Floor	New York	NY	10013
14-02744	Asian American Accountable Care Organization	AAACO	NC	Community-Based Organizations in Partnership with Primary Care Provider(s)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$2,500.00	1/8/2014	\$2,500.00	\$2,500.00	12/30/2013	41 Elizabeth Street, 6th Floor	New York	NY	10013
10-02175	Capital Care Medical Group	-	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$82,500.00	3/17/2014	\$52,000.00	\$68,500.00	2/27/2014	501 New Karner Road	Albany	NY	12205
13-02208	Chinese Community Accountable Care Organization, Inc.	CCACO	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$52,500.00	1/28/2014	\$42,000.00	\$52,500.00	1/15/2014	139 Centre Street, Suite 318	New York	NY	10013
14-02749	Chinese Community Accountable Care Organization, Inc.	CCACO	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$2,500.00	1/28/2014	\$2,500.00	\$2,500.00	1/15/2014	139 Centre Street, Suite 318	New York	NY	10013
13-01782	CohnReznick LLP	J H Cohn, LLP	NC	Other	Reengineering Business Model for Health Centers w/Disproportionate Shares of Uninsured & Commercially Insured Patients	\$147,250.00	3/5/2014	\$73,625.00	\$147,250.00	2/19/2014	1212 Avenue of the Americas	New York	NY	10036
13-02150	Crystal Run Healthcare	CRHC	NC	Private Practice	Meeting the Mark: - Achieving Excellence in Diabetes Care	\$87,500.00	1/8/2014	\$70,000.00	\$87,500.00	12/30/2013	155 Crystal Run Road	Middletown	NY	10941
14-02881	Gorman Actuarial, Inc	GAI	NC	Consulting Firm	Project Transparency Examining Variations in New York Hospital Prices and Quality of Care	\$350,000.00	7/10/2014	\$175,000.00	\$66,028.00	1/8/2015	210 Robert Rd	Marlborough	MA	1752
13-01785	Health Management Associates, Inc	HMA	NC		Charbook of Health Care Costs and Payments in New York	\$50,024.00	3/11/2014	\$25,012.00	\$50,024.00	2/27/2014	1350 Connecticut Avenue, NW, Suite 605	Washington	DC	20036
13-02680	Howard T. Meny, M D P C	-	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	2/3/2014	\$5,000.00	\$5,000.00	1/27/2014	7785 North State Street	Lowville	NY	13367
13-02409	Joslyn Levy & Associates, LLC	-	NC	Consulting Firm	Emerging & Evolving Roles, Delivery Models, & Workforce Development Issues & Opportunities	\$25,000.00	7/22/2014	\$5,000.00	\$25,000.00	7/3/2014	501 Fifth Avenue, Suite 1702	New York	NY	10017
12-01497	LHHN Medical, P C	Manhattan's Physician Group	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$77,500.00	1/28/2014	\$40,000.00	\$55,500.00	1/10/2014	154 West 71 Street	New York	NY	10023
13-02154	Medical Associates of the Hudson Valley	MAHV	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	1/28/2014	\$16,000.00	\$20,000.00	1/14/2014	360 Washington Avenue	Kingston	NY	12401
14-03153	Nielsen Consumer Insights, Inc	formerly known as Basic	NC	Research organization	New York State Health Insurance Reform Survey	\$31,548.00	10/15/2014 12/23/2014	\$31,548.00	\$31,548.00	12/18/2014	60 Corporate Woods	Rochester	NY	14623
12-01281	Patchogue Family Medical Care	-	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	1/28/2014	\$4,000.00	\$5,000.00	1/10/2014	130 Medford Avenue	Patchogue	NY	11772
10-02685	Prime Care Physicians PLLC	-	NC	Primary Care Networks	Meeting the Mark: Achieving Excellence in Diabetes Care	\$82,500.00	2/25/2014	\$58,000.00	\$74,500.00	1/10/2014	4 Atrium Drive	Albany	NY	12205
13-02659	Viridian Health Management	VHM	NC	Other	Technical Assistance and Capacity Building for the National Diabetes Prevention Program Grantees	\$150,000.00	1/30/2014 6/11/2014	\$150,000.00	\$150,000.00	6/3/2014	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027
14-03091	Viridian Health Management	VHM	NC	Other	In-Depth Technical Assistance to Help Laying the Groundwork Grantees Sustain and Expand the NDPP	\$150,000.00	7/1/2014 12/8/2014	\$112,500.00	\$112,500.00	12/3/2014	22601 North 19th Avenue, Suite 240	Phoenix	AZ	85027

New York State Health Foundation

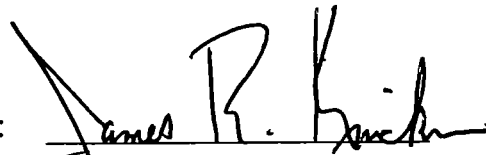
Amendment of Bylaws

Revised May 1, 2014

NEW YORK STATE HEALTH FOUNDATION FOUNDATION
EIN# 30-0127892
CONFORMED COPY OF AMENDMENT
OF BYLAWS

I CERTIFY THAT THE ATTACHED AMENDMENT OF BYLAWS IS COMPLETE
AND ACCURATE.

Signature:

A handwritten signature in black ink, appearing to read "James R. Knickman", written over a horizontal line.

Name: James R. Knickman
Title: President & CEO

AMENDED AND RESTATED

BY-LAWS

OF

THE NEW YORK STATE HEALTH FOUNDATION

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BY-LAWS
OF
THE NEW YORK STATE HEALTH FOUNDATION

ARTICLE I
ESTABLISHMENT OF CORPORATION

The Corporation is a Type D corporation as defined in Section 201 of the Not-for-Profit Corporation Law of the State of New York. The Corporation has been formed under the Not-for-Profit Corporation Law of the State of New York and the formation of the Corporation is authorized by Section 7317(k) of the New York Insurance Law. The provisions of Section 7317(k) of the New York Insurance Law are hereby incorporated by reference, and to the extent of any inconsistencies between the provisions thereof and the provisions contained in these By-laws or in any other law, general, special or local, the provisions of Section 7317(k) of the New York Insurance Law shall be controlling.

ARTICLE II
MEMBERS

The Corporation shall have no members, as permitted under Section 201 of the Not-for-Profit Corporation Law of the State of New York, which provides that absent contrary provisions, "[A] type D corporation is subject to all provisions of this chapter which are applicable to a type B corporation under this chapter . . ." Note that Section 601 of the Not-for-Profit Corporation Law provides that type B corporations may have no members.

ARTICLE III
OFFICES

The principal office of the Corporation shall be located in the County of New York of the State of New York or such other place within the State of New York as the Board of Directors shall from time to time determine. The Corporation may also have offices at such other places within or without the State of New York as the Board of Directors may from time to time determine or the business of the Corporation may require.

ARTICLE IV
DIRECTORS

4.1 Appointment and Term. The Corporation shall be governed by a Board of Directors composed of nine members, three of whom shall be voting members and six of whom shall be non-voting members, which shall be appointed as follows: one voting member and two nonvoting members shall be appointed by each of the governor, the temporary president of the senate, and the speaker of the assembly. Each member of the Board of Directors shall have a term of three years and may be reappointed at the end of said term by the same person that made the original appointment.

4.2 Vacancies. A vacancy in the membership of the Board of Directors shall be filled for the unexpired portion of the term provided for by the original appointment by the same person that made the original appointment.

4.3 Qualifications. Members of the Board of Directors may not be officers or employees of the State of New York or any municipal subdivision thereof. The Board of Directors shall be broadly representative of the community and include representatives of patient, consumer and public interest organizations and individuals with expertise in public health, health care delivery and financing, patient health issues, investments and philanthropic administration; provided further, no more than three members of the entire Board of Directors shall be representatives from any one organization or provider group and Board vacancies shall be filled from eligible representatives who are not represented or who are under represented on the Board. No person who is an officer, director, or staff member of Empire HealthChoice, Inc. (d/b/a Empire Blue Cross and Blue Shield) at the time such corporation applied to the Superintendent of Insurance for permission to convert into a for-profit organization under Section 7317 of the New York Insurance Law, or thereafter, shall be an officer, director or staff member of the Corporation.

4.4 Chair of the Board and Other Designees. For calendar years 2014-2016, the Chair of the Board will be filled on a rotating basis as follows: in 2014, the Chair will be the voting member appointed by the Temporary President of the State Senate; in 2015, the Chair will be the voting member appointed by the Governor; and in 2016, the Chair will be the voting member appointed by the Speaker of the Assembly. Beginning in calendar year 2017, the Chair will be elected for a two-year term in the following manner: At the beginning of each calendar year, the full board will conduct a non-binding "Sense of the Board" resolution vote expressing a preference for a Chair. Following such vote, a Chair will be designated by a unanimous vote of the Voting Members. If there is not unanimous approval by the Voting Members of the designation of a new Chair, then the Chair position will rotate annually in the order of Voting Member appointees for 2014-2016 until there is a unanimous vote by the Voting Members for a new elected Chair. The maximum number of such two-year terms that any Board member may serve as Chair is three. The Board of Directors may from time to time designate other members of the Board to such other positions (including Committee chairs) as the Board may determine in its discretion.

4.5 Resignation. A director may resign at any time by giving notice to the Board of Directors, the President or the Secretary of the Corporation. Unless otherwise specified in the notice, the resignation shall take effect upon receipt thereof by the Board or such officer, and the acceptance of such resignation shall not be necessary to make it effective.

4.6 Meetings. The annual meeting of the Board of Directors shall be held at the place, time, and date as may be fixed by the Board of Directors, or, if not so fixed, as may be determined by the President of the Corporation, at any place within or without the State of New York. Special meetings of the Board of Directors may be called by the Chair of the Board or the President of the Corporation and shall be called by the Chair of the Board, President or Secretary of the Corporation at the direction of not less than two directors then in office, or as may otherwise be provided by law. Any request for such meeting shall state the purpose or purposes of the proposed meeting. A director may participate in a meeting of the Board of Directors by a

conference telephone, videoconference or similar communication equipment by which all persons participating in the meeting may hear each other if all participants are advised of the communications equipment and the names of the participants in the conference are divulged to all participants. Participation in a meeting pursuant to this Section constitutes presence in person at the meeting.

4.7 Notice. Notice of the time, date and place of annual or special meetings of the Board of Directors shall be given to each director by first class mail, fax, overnight delivery service, email or personal delivery not less than two (2) days before the date of the meeting. Notice of special meetings shall indicate the purpose for which they are called and the person or persons calling the meeting. The attendance of a director at any meeting shall constitute a waiver of notice of meeting. Notice of a meeting need not be given to any director who submits a signed waiver of notice whether before or after the meeting.

4.8 Quorum. All three voting members of the Board of Directors, present in person or by communications equipment described in paragraph 4.11 of this ARTICLE IV shall constitute a quorum for the transaction of business or of any specified item of business.

4.9 Voting. Prior to any vote that shall be necessary for the transaction of any business or the exercise of any power or function of the Board, the Chair will poll all members of the Board to determine whether there is a unanimous consensus on the pending action. All items where there is unanimous consensus will be placed on a consent agenda for action by the Board. In the event that unanimous consensus is not reached on an action, then a separate vote by the Board shall be taken. The affirmative vote of all three voting members of the Board of Directors of the Corporation shall be necessary for the transaction of any business or the exercise of any power or function of the Board of Directors. Voting may be by voice vote, or by any other method agreed upon by the Board of Directors; provided, that, upon demand of any person entitled to vote, any vote upon any question before the meeting shall be by ballot.

4.10 Powers and Delegation. The Board may delegate to one or more of its members, or its agents, such powers and duties as it may deem proper. The members of the Board of Directors of the Corporation shall have the power to make and execute contracts and all other instruments, and to exercise such other powers, necessary or convenient for the exercise of its powers and functions.

4.11 Action by the Board of Directors. If all voting members of the Board of Directors consent in writing to any action of the Corporation, such action shall be as valid a corporate action as though it had been authorized at a meeting of the Board of Directors and the written consents (which may be executed in counterparts) shall be filed with the minutes of the proceedings of the Board of Directors. Participation of one or more directors by conference telephone allowing all persons participating in the meeting to hear each other at the same time shall constitute presence at the meeting.

4.12 Conduct of Meetings. The Chair of the Board shall preside at all meetings of the Board of Directors or, in the absence of the Chair, any other director elected by those present. The Secretary of the Corporation shall act as Secretary at all meetings of the Board, but in the

absence of the Secretary, any Assistant Secretary or any person appointed by the presiding officer.

4.13 Compensation. The members of the Board of Directors of the Corporation shall serve without compensation for their services as members, but shall be entitled to reimbursement for actual and necessary expenses incurred in the performance of their official duties. Members of the Board, except as otherwise provided by law, may engage in private employment, or in a profession or business.

ARTICLE V COMMITTEES AND ADVISORY BOARDS

5.1 Committees of the Board. The Board of Directors may designate one or more committees of the Board of Directors, each of which shall consist of the three (3) voting directors, plus other directors as the Board may determine, which committees, to the extent not restricted by law, shall have and exercise the authority and act on behalf of the Board of Directors in the management of the Corporation; but the designation of such committees and the delegation thereto of authority shall not operate to relieve the Board of Directors of any responsibility imposed upon them by law. Each committee shall continue at the pleasure of the Board of Directors. Each committee may adopt rules for its own government not inconsistent with these By-laws or with rules prescribed by the Board of Directors.

5.2 Committees of the Corporation. The Board may elect or appoint committees of the Corporation consisting of such persons who may, but need not be directors, as the Board shall designate. These committees shall have such duties as permitted by law and as deemed appropriate by the Board.

5.3 Advisory Boards. A community advisory board shall be established by the Board of Directors to allow ongoing community consultation and engagement.

ARTICLE VI CONFLICTS OF INTEREST

6.1 Conflicts of Interest Policy. All directors and officers shall comply at all times with the provisions of this ARTICLE VI. This ARTICLE VI shall constitute the formal mechanism established by the Board to avoid conflicts of interest, as required by Section 7317(k)(11) of the New York Insurance Law.

(a) A conflict of interest shall include any transaction or situation in which such director or officer, or any of their immediate family members, has a direct or indirect financial interest which may influence the judgment of such member, agent or representative in the performance of his or her duties to the Corporation;

(b) Each such director or officer shall disclose to the Board each situation or transaction in which such person has, or may reasonably be considered to have, a conflict of interest, and pursuant to Section 715 of the Not-for-Profit Corporation Law, the test in such an event is that the contract or transaction was fair and reasonable as to the Corporation at the time it was authorized;

(c) Each such director or officer shall be prohibited from accepting any gratuitous service, loan, discount, money, or article or service of value (x) from entities having actual or prospective business contacts with the Corporation or (y) otherwise as a result of his or her position, other than articles or services of nominal value or reasonable entertainment of nominal value; and

(d) The Board may set forth other restrictions and requirements (including a conflict of interest policy) that are designed to ensure compliance with applicable law or otherwise to ensure independent judgment of the members of the Board or its agents, representatives or persons acting on its behalf.

6.2 Prohibition Against Grants Benefiting WellChoice. The Corporation may not make grants benefiting WellChoice, Inc., a Delaware corporation, or any subsidiary, affiliate or successor (by merger, consolidation, sale of assets or otherwise), or any director, member of management or staff of any of the foregoing.

ARTICLE VII OFFICERS

7.1 Officers. The officers of the Corporation shall be such as the Board of Directors shall from time to time designate in their absolute discretion, including, without limitation, a President, a Secretary, one or more Vice Presidents, Assistant Vice Presidents, Assistant Secretaries and/or Assistant Treasurers. Any two or more offices may be held by the same person, except no person may hold the offices of both President and Secretary.

7.2 Election and Term of Office. The Board of Directors shall elect the officers of the Corporation at each annual meeting. Each officer shall be elected for a term of one year or a shorter or longer term as approved by the Board of Directors, or until his or her successor is duly elected and qualified.

7.3 Removal and Resignation. Any officer may be removed with or without cause by the affirmative vote of all three voting members of the Board of Directors. An officer may resign by written notice to the President or the Secretary of the Corporation. The resignation shall be effective upon receipt or at a subsequent time specified in the notice of resignation. The Board of Directors shall have the power to fill any vacancies in any offices occurring for whatever reason.

7.4 Compensation. The officers of the Corporation may receive such reasonable salaries and other reasonable benefits as the Board of Directors shall from time to time determine.

7.5 Duties. The duties and authority of officers shall be those usually pertaining to their respective offices, subject to the supervision and direction of the Board of Directors.

7.6 Employees and Other Agents. The Board of Directors or the President of the Corporation may appoint from time to time such employees and other agents as they shall deem necessary, advisable or appropriate, each of whom shall serve at the pleasure of the Board of Directors, and shall have such authority and perform such duties and shall receive such

reasonable compensation, as the President of the Corporation may from time to time determine subject to the direction and approval of the Board of Directors.

ARTICLE VIII ACCOUNTS AND INVESTMENTS

8.1 Accounts. The Board of Directors is authorized to select the banks or depositories they deem proper for the funds of the Corporation and shall determine who shall be authorized from time to time on the Corporation's behalf to sign checks, drafts, or other orders for the payment of money, acceptances, notes, or other evidences of indebtedness, to enter into contracts, or to execute and deliver other documents and instruments.

8.2 Investments. The funds of the Corporation may be retained in whole or in part in cash or be invested and reinvested from time to time in such property, real, personal, or otherwise, including stocks, bonds, or other securities, as the Treasurer, subject to the direction and control of the Board of Directors may deem advisable.

ARTICLE IX BOOKS AND RECORDS

The Corporation shall keep at its principal office correct and complete books and records of accounts and shall also keep minutes of the proceedings of its Board of Directors, any committees of the Board of Directors, and of the election of officers and actions of the officers.

ARTICLE X FISCAL YEAR

The fiscal year of the Corporation shall end on the last day of the month of December.

ARTICLE XI SEAL

The Board of Directors shall provide a seal for the Corporation which shall be in the charge of the Secretary, to be affixed to documents in accordance with the directions of the Board of Directors or the officers of the Corporation or as may be required by law.

ARTICLE XII WAIVER OF NOTICE

Whenever notice is required to be given under the provisions of the laws of the State of New York or the organizational documents of the Corporation, a waiver thereof signed by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice to the fullest extent permissible by applicable law.

ARTICLE XIII
PERSONAL LIABILITY, INDEMNIFICATION AND INSURANCE

13.1 Personal Liability. Section 7317(k)(8)(A) of the New York Insurance Law provides that neither the members of the Board of Directors of the Corporation nor any agent or other person or persons acting on its behalf, while acting within the scope of their authority as members or agents of the Board, shall be subject to any personal liability resulting from the carrying out of the powers conferred hereunder.

13.2 Indemnification and Insurance.

(a) New York Public Officers Law. Section 7317(k)(8)(B) of the New York Insurance Law provides that Section 17 of the New York Public Officers Law (which requires that the State of New York defend and indemnify State officers and employees) shall apply to members of the Board of Directors and agents or other persons acting on the Board's behalf, in connection with any and all claims, demands, suits, actions or proceedings which may be made or brought against any of them arising out of any determination made or actions taken or omitted to be taken in compliance with any obligations under or pursuant to the terms of Section 7317(k) of the New York Insurance Law.

(b) Additional Indemnification Rights. In addition to the indemnification rights provided under Section 17 of the New York Public Officers Law, the following shall apply to directors and officers of the Corporation, as well as to such other persons described below:

(i) Except as otherwise provided by law, no director or officer of the Corporation shall be liable to any person other than the Corporation based solely on such director's or officer's conduct in the execution of such office unless such conduct constituted gross negligence or was intended to cause the resulting harm.

(ii) Except as otherwise provided by law and except as provided in paragraph 13.2(b)(iii), the Corporation shall indemnify any person made, or threatened to be made, a party to any action or proceeding, whether criminal or civil, including an action by or in the right of the Corporation to procure a judgment in its favor and an action, by reason of the fact that such person, or such person's testator or intestate, is or was a director or officer including also an action by or in the right of any corporation, partnership, joint venture, trust, employee benefit plan or other enterprise in which such director or officer served in any capacity at the request of the Corporation, against judgments, fines, amounts paid in settlement and reasonable expenses, including attorneys' fees actually and necessarily incurred as a result of such action or proceeding, or any appeal thereon and including attorneys' fees and costs actually and necessarily incurred by a director or officer in seeking to enforce indemnification rights.

(iii) The Corporation shall not indemnify any director or officer if a judgment or other adjudication adverse to the director or officer establishes that

his or her acts were committed in bad faith or were the result of active and deliberate dishonesty and were material to the cause of action so adjudicated, or that he or she personally gained in fact a financial profit or other advantage to which he or she was not legally entitled.

(iv) The Corporation shall have the power, to the full extent permitted by law, to purchase and maintain insurance to indemnify its directors or officers, and to indemnify the Corporation for any obligation which it incurs as a result of indemnification of directors or officers.

ARTICLE XIV AMENDMENTS

These By-laws may be altered, amended or added to by the affirmative vote of all the voting members of the Board of Directors at any meeting or by the unanimous written consent of the voting members of the Board of Directors.

Adopted May 1, 2014

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	ATTACHMENT A	P	VARIOUS	VARIOUS
b	WELLINGTON REALIZED GAINS THROUGH K-1	P	VARIOUS	VARIOUS
c	BLACKROCK SHORT-TERM INVESTMENT FUND B REALIZED L	P	VARIOUS	VARIOUS
d	BLACKROCK MSCI EMERGING MARKETS FREE FUND B REALI	P	VARIOUS	VARIOUS
e	BLACKROCK ACWI EX-US SUPERFUND B REALIZED GAINS T	P	VARIOUS	VARIOUS
f	BLACKROCK RUSSELL 1000 INDEX FUND B REALIZED GAIN	P	VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 80,333,450.		78,228,305.	2,105,145.
b			492,894.
c			-2.
d			4,671.
e			4,483,154.
f			20,448,144.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			2,105,145.
b			492,894.
c			-2.
d			4,671.
e			4,483,154.
f			20,448,144.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	27,534,006.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	NEW YORK STATE HEALTH FOUNDATION	30-0127892
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P O box, see instructions.	Social security number (SSN)
	1385 BROADWAY, 23RD FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► 1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013
- Telephone No ► 212-664-7656 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 2014 or
- ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	662,419.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	885,569.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.