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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: Richardson Family Foundation

A Employer identification number: 27-4032933

Number and street (or P O box number if mail is not delivered to street address): 420 Kielmeyer Ave — Room/suite:

B Telephone number (see instructions): 507-332-6808

City or town, state or province, country, and ZIP or foreign postal code: Nerstrand MN 55053

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 810,246

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	209,271			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	791	791	791	
	4	Dividends and interest from securities	5,544	5,544	5,544	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-27,716			
	b	Gross sales price for all assets on line 6a 873,930				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Stmt 1	-84	-84	-84	
	12	**Total.** Add lines 1 through 11	187,806	6,251	6,251	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	925	462	463	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 3	345		345	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 4 Stmt 5	6,824	6,491	6,491	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,094	6,953	7,299	0
	25	Contributions, gifts, grants paid	18,200			18,200
	26	**Total expenses and disbursements.** Add lines 24 and 25	26,294	6,953	7,299	18,200
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	161,512			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2014)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash – non-interest-bearing	132	357	357
	2	Savings and temporary cash investments	144,722	263,902	263,902
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S. and state government obligations (attach schedule) Stmt 6	36,294		
	b	Investments – corporate stock (attach schedule) See Stmt 7	482,733	545,987	545,987
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 4,997			
		Less accumulated depreciation (attach sch) ▶ Stmt 8 1,388	3,942	3,609	
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	667,823	813,855	810,246
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	667,823	813,855	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	667,823	813,855	
	31	**Total liabilities and net assets/fund balances** (see instructions)	667,823	813,855	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	667,823
2	Enter amount from Part I, line 27a	2	161,512
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	829,335
5	Decreases not included in line 2 (itemize) ▶ See Statement 9	5	15,480
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	813,855

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-27,716
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-33,531

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	20,538	502,190	0.040897
2012	10,850	351,253	0.030889
2011	6,723	192,877	0.034856
2010		89,159	
2009			

2	**Total** of line 1, column (d)	2	0.106642
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.035547
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	675,307
5	Multiply line 4 by line 3	5	24,005
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	24,005
8	Enter qualifying distributions from Part XII, line 4	8	18,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 10	10	X	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Sherlyn Richardson Telephone no ▶ 507-332-6808
420 Kielmeyer Ave
Located at ▶ Nerstrand MN ZIP+4 ▶ 55053

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Carl G Richardson 420 Kielmeyer Ave	Nerstrand MN 55053	President 0.25	0	0	0
Sherlyn S Richardson 420 Kielmeyer Ave	Nerstrand MN 55053	Treasurer 0.25	0	0	0
Kirk Sandager 18442 Kane Ave	Nerstrand MN 55053	Secretary 0.12	0	0	0
Alex Richardson 4117 17th Ave S	Minneapolis MN 55407	Board Member 0.12	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	440,125
b	Average of monthly cash balances		1b	245,466
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	685,591
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	685,591
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)		4	10,284
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	675,307
6	**Minimum investment return.** Enter 5% of line 5		6	33,765

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	33,765
2a	Tax on investment income for 2014 from Part VI, line 5	2a		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	
3	Distributable amount before adjustments Subtract line 2c from line 1		3	33,765
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	33,765
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	33,765

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	18,200
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	18,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	18,200

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				33,765
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			17,970	
b Total for prior years 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 18,200				
a Applied to 2013, but not more than line 2a			17,970	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2014 distributable amount				230
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				33,535
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2014	Prior 3 years (b) 2013	(c) 2012	(d) 2011	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Statement 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Campus Outreach PO Box 43737 Birmingham AL 35243		Continuing	support	6,500
Bethleham Baptist Church 720 13th Ave. South Minneapolis MN 55415		Continuing	Support	7,000
Compassion International 12290 Voyager Parkway Colorado Springs CO 80997		Continuing	Support	500
ELI 1629 Blue Spruce Drive Fort Collins CO 80524		Continuing	Support	1,200
Pioneers 10123 William Carey Dr. Orlando FL 32832		Continuing	Support	1,500
Sports Outreach 13415 Reese Blvd. West Huntersville NC 28078		Continuing	Support	1,500
Total			▶ 3a	18,200
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	791	
4 Dividends and interest from securities			14	5,544	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-84	
8 Gain or (loss) from sales of assets other than inventory			14	-27,716	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		-21,465	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -21,465

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

[signature] 5/14/15 President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Leota Goodney	Leota Goodney [signature]	05/13/15	

Firm's name ▶	Goodney & Associates, PA	PTIN	P01263718
Firm's address ▶	319 Division St., PO Box 347 Northfield, MN 55057-0347	Firm's EIN ▶	41-1927912
		Phone no	507-663-0861

DAA

Form 990-PF Capital Gains and Losses for Tax on Investment Income 2014

For calendar year 2014, or tax year beginning , and ending

Name: Richardson Family Foundation

Employer Identification Number: 27-4032933

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	Gilead Life Sciences	D	12/12/14	12/22/14
(2)	Medtronic Inc.	D	12/12/14	12/22/14
(3)	Permanent Portfolio	D	Various	06/10/14
(4)	See Attached -#29881775	P	Various	Various
(5)	See Attached- #29922691	P	Various	Various
(6)	See Attached- #29922766	P	Various	Various
(7)	US treasury Bonds	P	03/08/13	04/08/14
(8)	Vanguard 500 Index Fund	P	03/21/13	04/08/14
(9)	US12 Month Oil Fund Strad - K-1	P	Various	Various
(10)	Capital Gain Distributions			
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	28,818		30,198	-1,380
(2)	39,151		38,073	1,078
(3)	353,439		368,059	-14,620
(4)	202,498		202,308	190
(5)	90,259		100,764	-10,505
(6)	80,073		82,740	-2,667
(7)	34,106		36,189	-2,083
(8)	44,709		37,688	7,021
(9)			5,627	-5,627
(10)	877			877
(11)				
(12)				
(13)				
(14)				
(15)				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-1,380
(2)				1,078
(3)				-14,620
(4)				190
(5)				-10,505
(6)				-2,667
(7)				-2,083
(8)				7,021
(9)				-5,627
(10)				877
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
US 12 Mo Oil fund - K-1	$ -84	$ -84	$ -84
Total	$ -84	$ -84	$ -84

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting	$ 925	$ 462	$ 463	$
Total	$ 925	$ 462	$ 463	$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal Excise Tax	$ 277	$	$ 277	$
Foreign taxes	68		68	
Total	$ 345	$ 0	$ 345	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 23 - Amortization

Description	Date Acquired	Cost Basis	Prior Year Amortization	Life	Current Year Amortization	Net Investment Income	Adjusted Net Income	COGS
Incorporation costs	11/01/10	$ 4,997	$ 1,055	15	$ 333	$	$	
Total		$ 4,997	$ 1,055		$ 333	$ 0	$ 0	

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Minnesota Annual Registration	25	25	25	
Investment Fees	6,463	6,463	6,463	
Postage	3	3	3	
Total	$ 6,491	$ 6,491	$ 6,491	$ 0

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US Treasury Bond 3.125 02/15/43	$ 36,294	$	Cost	$
Total	$ 36,294	$ 0		$ 0

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Permanent Portfolio- Mutual Fund	$ 367,428	$	Cost	$
IShares 20+Treasury Bond ETF	15,208		Cost	
Sprott Physical Goldt	46,466		Cost	
Vanguard 500 Index Fd investor	53,631		Cost	
Raymond James #81775		193,688	Market	193,688
Raymond James #22691		185,267	Market	185,267
Raymond James #22766		167,032	Market	167,032
Detail available on request				
Total	$ 482,733	$ 545,987		$ 545,987

Statement 8 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
	$ 3,942	$ 4,997	$ 1,388	$
Total	$ 3,942	$ 4,997	$ 1,388	$ 0

Statement 9 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
Unrealized Losses on Securities	$ 15,480
Total	$ 15,480

Federal Statements

Statement 10 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
Carl & Sherlyn Richardson	420 Kielmeyer Ave	Nerstrand MN 55053
Kirk and Laura Sandager	18442 Kane Ave	Nerstrand MN 55053

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
Carl Richardson and Sherlyn Richardson	$ 135,000
Alex Richardson	6,000
Kirk Sandager	68,271
Total	$ 209,271

RFF 05/13/2015 12 22 PM

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ Attach to your tax return.

▶ Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

OMB No 1545-0172

2014

Attachment Sequence No **179**

Name(s) shown on return: Richardson Family Foundation

Identifying number: 27-4032933

Business or activity to which this form relates: Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2013 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2015 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2014	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2014 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2014 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Part V Listed Property (Include automobiles, certain other vehicles, certain aircraft, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles)

24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L-		
		%				S/L-		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2014 tax year (see instructions)					
43 Amortization of costs that began before your 2014 tax year				43	333
44 **Total.** Add amounts in column (f) See the instructions for where to report				44	333

Raymond James & Associates, Inc.

Account 29922766

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALPS ETF TRUST ALERIAN MLP ETF / CUSIP: 00162Q866 / Symbol: AMLP							
	2 tax lots for 10/09/14.						
	115.000	*2,106.42*	*06/23/14*	*2,139.96*	...	*-33.54*	*Sale*
	110.000	*2,014 83*	*07/22/14*	*2,079 92*	..	*-65 09*	*Sale*
10/09/14	225.000	4,121.25	VARIOUS	4,219.88	..	-98.63	Total of 2 lots
10/10/14	108.000	1,960.72	07/22/14	2,042.11	..	-81.39	Sale
	2 tax lots for 10/14/14.						
	5.000	*86.86*	*07/22/14*	*94.54*	...	*-7.68*	*Sale*
	105.000	*1,824.15*	*09/18/14*	*2,011.80*	...	*-187.65*	*Sale*
10/14/14	110.000	1,911.01	VARIOUS	2,106.34	...	-195.33	Total of 2 lots
	Security total:	7,992.98		8,368.33	..	-375.35	
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND INST CLASS N/L / CUSIP: 091936732 / Symbol: BGCIX							
07/18/14	777.343	8,519.68	06/27/14	8,543.00	...	-23.32	Sale
ISHARES INTL SEL DIV ETF / CUSIP: 464288448 / Symbol: IDV							
07/08/14	52.000	2,060.88	06/23/14	2,125.24	...	-64.36	Sale
PROSHARES ULTRASHORT S&P 500 / CUSIP: 74347B300 / Symbol: SDS							
	2 tax lots for 08/08/14.						
	132.000	*3,429.58*	*07/31/14*	*3,406.15*	...	*23.43*	*Sale*
	75 000	*1,948.62*	*08/05/14*	*1,974.75*	...	*-26.13*	*Sale*
08/08/14	207.000	5,378.20	VARIOUS	5,380.90	...	-2.70	Total of 2 lots
10/15/14	88.000	2,411.98	10/10/14	2,297.21	...	114.77	Sale
11/18/14	44.000	985.31	10/10/14	1,148.61	...	-163.30	Sale
12/03/14	42.000	919.80	10/10/14	1,096.40	...	-176.60	Sale
12/11/14	86.000	1,951.32	10/10/14	2,245.00	..	-293.68	Sale
	Security total:	11,646.61		12,168.12	...	-521.51	
PROSHARES TRUST SHORT RUSSELL 2000 / CUSIP: 74347R826 / Symbol· RWM							
07/16/14	263.000	4,357.89	07/07/14	4,221.15	...	136.74	Sale
	2 tax lots for 07/22/14.						
	451.000	*7,437.59*	*07/07/14*	*7,238.55*	...	*199.04*	*Sale*

Raymond James & Associates, Inc. Account 29922766

Proceeds Not Reported to the IRS
(continued)

2014

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PROSHARES TRUST SHORT RUSSELL 2000 / CUSIP: 74347R826 / Symbol: RWM (cont'd)							
	335.000	*5,524.60*	*07/08/14*	*5,464 39*	...	*60.21*	*Sale*
07/22/14	786.000	12,962.19	VARIOUS	12,702.94	..	259 25	Total of 2 lots
	Security total:	17,320.08		16,924.09	..	395.99	
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP· 74348A319 / Symbol: TWM							
07/22/14	61.000	2,770.67	07/17/14	2,887 79	..	-117.12	Sale
	2 tax lots for 08/11/14.						
	31 000	*1,428.03*	*07/17/14*	*1,467.57*	...	*-39.54*	*Sale*
	4.000	*184.26*	*07/31/14*	*192.10*	..	*-7.84*	*Sale*
08/11/14	35.000	1,612.29	VARIOUS	1,659.67	...	-47.38	Total of 2 lots
08/18/14	11 000	495.20	07/31/14	528.29	.	-33.09	Sale
08/25/14	43 000	1,898.95	07/31/14	2,065.11	..	-166.16	Sale
10/15/14	66.000	3,390.87	10/10/14	3,513 33	...	-122.46	Sale
10/28/14	33.000	1,470.79	10/10/14	1,756.67	...	-285.88	Sale
10/30/14	32.000	1,419.04	10/10/14	1,703.43	..	-284.39	Sale
	Security total.	13,057.81		14,114.29	.	-1,056 48	
PROSHARES TRUST ULTRASHORT MIDCAP 400 / CUSIP: 74348A343 / Symbol: MZZ							
10/23/14	13.000	618.31	10/10/14	686.38	..	-68.07	Sale
SPDR DOW JONES INDUSTRIAL AVG ETF TRUST / CUSIP: 78467X109 / Symbol: DIA							
10/07/14	34 000	5,697 12	09/30/14	5,790 09	..	-92 97	Sale
10/09/14	32.000	5,328.24	09/30/14	5,449.49	...	-121.25	Sale
	Security total·	11,025.36		11,239.58	.	-214.22	
WISDOMTREE EMERGING MKTS EQUITY INCOME FUND / CUSIP: 97717W315 / Symbol: DEM							
09/25/14	41.000	1,994.13	06/23/14	2,125.03	..	-130.90	Sale
	2 tax lots for 10/09/14.						
	81.000	*3,843.97*	*07/22/14*	*4,280.04*	...	*-436.07*	*Sale*
	42.000	*1,993.17*	*09/18/14*	*2,166.36*	..	*-173.19*	*Sale*
10/09/14	123 000	5,837.14	VARIOUS	6,446 40	...	-609.26	Total of 2 lots
	Security total:	7,831.27		8,571.43	...	-740.16	
	Totals:	**80,072.98**		**82,740.46**	...	**-2,667.48**	

Raymond James & Associates, Inc.

2014

Proceeds Not Reported to the IRS

Account 29922691

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DIAMOND OFFSHORE DRILLING INCORPORATED / CUSIP 25271C102 / Symbol· DO							
11/26/14	28.000	905.67	08/27/14	1,258.58		-352.91	Sale
11/28/14	14 000	417 61	08/27/14	629 29		-211 68	Sale
12/02/14	14 000	424.19	08/27/14	629.29		-205.10	Sale
Security total·		1,747 47		2,517 16		-769.69	
LINNCO LLC COMSHS LTD INT / CUSIP. 535782106 / Symbol: LNCO							
2 tax lots for 07/31/14							
	59.000	*1,715.27*	*06/13/14*	*1,741 09*		*-25.82*	*Sale*
	17.000	*494.23*	*06/30/14*	*530.88*		*-36.65*	*Sale*
07/31/14	76 000	2,209.50	VARIOUS	2,271.97		-62 47	Total of 2 lots
12/23/14	48.000	540 12	06/30/14	1,498 95		-958 83	Sale
12/26/14	75.000	815.28	06/30/14	2,342 10		-1,526 82	Sale
2 tax lots for 12/30/14							
	27.000	*274 54*	*06/30/14*	*843 16*		*-568 62*	*Sale*
	65 000	*660.92*	*08/25/14*	*2,017.83*		*-1,356.91*	*Sale*
12/30/14	92 000	935 46	VARIOUS	2,860 99		-1,925.53	Total of 2 lots
Security total		4,500.36		8,974 01		-4,473 65	
NY CMNTY BANCORP INCORPORATED / CUSIP· 649445103 / Symbol: NYCB							
2 tax lots for 09/23/14.							
	81.000	*1,302.45*	*06/13/14*	*1,280.61*		*21 84*	*Sale*
	43.000	*691 42*	*07/22/14*	*672.94*		*18 48*	*Sale*
09/23/14	124 000	1,993 87	VARIOUS	1,953 55		40.32	Total of 2 lots
OLD REP INTERNATIONAL CORPORATION / CUSIP 680223104 / Symbol ORI							
2 tax lots for 07/31/14							
	75.000	*1,079.19*	*06/13/14*	*1,275.00*		*-195 81*	*Sale*
	78.000	*1,122.35*	*07/22/14*	*1,308.53*		*-186 18*	*Sale*
07/31/14	153 000	2,201 54	VARIOUS	2,583 53		-381 99	Total of 2 lots
09/23/14	77.000	1,109.19	07/22/14	1,291.76		-182 57	Sale
Security total.		3,310.73		3,875.29		-564 56	

Raymond James & Associates, Inc. Account 29922691

2014.

Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PIMCO DYNAMIC CR INCOME FD COM SHS / CUSIP 72202D106 / Symbol· PCI							
09/26/14	73.000	1,604.22	08/27/14	1,696 78		-92 56	Sale
PROSHARES ULTRASHORT S&P 500 / CUSIP. 74347B300 / Symbol· SDS							
	2 tax lots for 08/08/14.						
	132.000	*3,429 58*	*07/31/14*	*3,406.15*	...	*23.43*	*Sale*
	75 000	*1,948.62*	*08/05/14*	*1,974 75*	.	*-26.13*	*Sale*
08/08/14	207.000	5,378.20	VARIOUS	5,380.90	..	-2 70	Total of 2 lots
09/16/14	90.000	2,165.80	09/11/14	2,177 10		-11 30	Sale
09/18/14	118 000	2,807.53	09/12/14	2,879 02	..	-71.49	Sale
09/19/14	62.000	1,472 47	09/12/14	1,512.71	.	-40.24	Sale
10/15/14	84 000	2,302 34	10/10/14	2,192.79	..	109 55	Sale
11/18/14	42 000	940.52	10/10/14	1,096 40	.	-155.88	Sale
12/03/14	40.000	876 01	10/10/14	1,044 19	..	-168.18	Sale
12/11/14	83 000	1,883.25	10/10/14	2,166.69	.	-283 44	Sale
Secunty total·		17,826.12		18,449 80	..	-623 68	
PROSHARES TRUST SHORT 20+ YEAR TREASURY / CUSIP· 74347X849 / Symbol. TBF							
10/14/14	77.000	2,032 43	09/12/14	2,191.64	..	-159 21	Sale
12/10/14	193.000	4,946.69	09/12/14	5,493 34	.	-546.65	Sale
12/11/14	116 000	2,978 22	09/12/14	3,301 70	..	-323.48	Sale
Secunty total		9,957.34		10,986.68		-1,029.34	
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP 74348A319 / Symbol· TWM							
07/22/14	60.000	2,725.25	07/17/14	2,840.45	.	-115.20	Sale
	2 tax lots for 08/11/14.						
	31.000	*1,428.03*	*07/17/14*	*1,467.57*		*-39.54*	*Sale*
	4 000	*184.26*	*07/31/14*	*192.10*	...	*-7.84*	*Sale*
08/11/14	35.000	1,612 29	VARIOUS	1,659.67	.	-47.38	Total of 2 lots
08/18/14	11 000	495 20	07/31/14	528.29	.	-33 09	Sale
08/25/14	43 000	1,898.95	07/31/14	2,065.11	.	-166 16	Sale
09/16/14	25.000	1,132 99	09/11/14	1,094 85		38.14	Sale
	2 tax lots for 09/18/14						
	26 000	*1,161.69*	*09/11/14*	*1,138.64*	...	*23.05*	*Sale*

Raymond James & Associates, Inc. | Account 29922691

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Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP· 74348A319 / Symbol. TWM (cont'd)							
	101.000	*4,512.74*	*09/12/14*	*4,508 36*	...	*4.38*	*Sale*
09/18/14	127.000	5,674.43	VARIOUS	5,647.00	..	27 43	Total of 2 lots
10/15/14	63.000	3,236 73	10/10/14	3,353.64	...	-116 91	Sale
10/28/14	32.000	1,426 22	10/10/14	1,703 43		-277 21	Sale
10/30/14	30.000	1,330.36	10/10/14	1,596.97	.	-266 61	Sale
	Secunty total:	19,532.42		20,489 41	...	-956 99	
PROSHARES TRUST ULTRASHORT MIDCAP 400 / CUSIP 74348A343 / Symbol. MZZ							
10/23/14	12 000	570 75	10/10/14	633.58		-62 83	Sale
SOUTHERN COMPANY / CUSIP: 842587107 / Symbol. SO							
	3 tax lots for 10/09/14						
	19 000	*851.83*	*06/13/14*	*828.00*	...	*23.83*	*Sale*
	38.000	*1,703.67*	*07/22/14*	*1,708.06*	...	*-4.39*	*Sale*
	22.000	*986 34*	*08/27/14*	*960.87*	..	*25.47*	*Sale*
10/09/14	79.000	3,541 84	VARIOUS	3,496 93	..	44.91	Total of 3 lots
OPPENHEIMER STEELPATH MLP INCOME FUND CLASS A M/F / CUSIP: 858268600 / Symbol. MLPDX							
	2 tax lots for 07/31/14						
	571.480	*6,423.44*	*06/13/14*	*6,412.00*	..	*11.44*	*Sale*
	1,100.783	*12,372.80*	*07/22/14*	*12,659 00*	...	*-286.20*	*Sale*
07/31/14	1,672 263	18,796.24	VARIOUS	19,071 00		-274 76	Total of 2 lots
WISDOMTREE EMERGING MKTS EQUITY INCOME FUND / CUSIP. 97717W315 / Symbol. DEM							
09/23/14	76 000	3,730 42	06/13/14	3,966 41		-235 99	Sale
09/25/14	9 000	437.74	06/13/14	469 71		-31.97	Sale
10/09/14	30.000	1,423 69	06/13/14	1,565.68	..	-141.99	Sale
	Secunty total	5,591.85		6,001 80	..	-409 95	
SEADRILL LIMITED SHS (BERMUDA) / CUSIP· G7945E105 / Symbol. SDRL							
	2 tax lots for 10/27/14						
	22.000	*497 87*	*06/13/14*	*863.87*	.	*-366.00*	*Sale*

Raymond James & Associates, Inc.

Account 29922691

Proceeds Not Reported to the IRS
(continued)

2014

SHORT-TERM TRANSACTIONS
NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SEADRILL LIMITED SHS (BERMUDA) / CUSIP G7945E105 / Symbol SDRL (cont'd)							
	11.000	*248 94*	*07/22/14*	*419.54*	..	*-170 60*	*Sale*
10/27/14	33.000	746 81	VARIOUS	1,283 41	..	-536 60	Total of 2 lots
11/26/14	17 000	275.19	07/22/14	648 38		-373.19	Sale
11/28/14	18.000	263.54	07/22/14	686 52	..	-422.98	Sale
Security total		1,285.54		2,618.31		-1,332 77	
	Totals:	**90,258.75**		**100,764.30**	...	**-10,505.55**	

LONG-TERM TRANSACTIONS
NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GILEAD SCIENCES INCORPORATED / CUSIP. 375558103 / Symbol. GILD							
12/18/14	145 000	15,234 82	03/22/06	2,247.86		12,986 96	Sale Note 32
12/22/14	145 000	13,583.32	03/22/06	2,247 85		11,335.47	Sale Note: 32
Security total		28,818.14		~~4,495 71~~	$30197.70	24,322.43	
MEDTRONIC INCORPORATED / CUSIP 585055106 / Symbol MDT							
12/18/14	263.000	19,414 76	03/08/99	9,737.33	..	9,677.43	Sale Note 32
12/22/14	262 000	19,736.31	03/08/99	9,700.31	...	10,036 00	Sale Note: 32
Security total		39,151 07		~~19,437 64~~	38073 ..	19,713.43	
	Totals:	**67,969.21**		~~23,933.35~~	68270,70	**44,035.86** <30149>	

12/12/2014 - contribution in

Raymond James & Associates, Inc. Account 29881775

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
POWERSHARES DB GOLD SHORT ETN DUE 02/15/2038 / CUSIP. 25154H731 / Symbol· DGZ							
	2 tax lots for 06/18/14						
	122.000	*1,795.39*	*05/27/14*	*1,805.58*	.	*-10.19*	*Sale*
	33.000	*485 64*	*05/29/14*	*493.21*	..	*-7.57*	*Sale*
06/18/14	155.000	2,281.03	VARIOUS	2,298 79	.	-17.76	Total of 2 lots
06/19/14	57 000	821.00	05/29/14	851 90	.	-30 90	Sale
Secunty total.		3,102 03		3,150 69	.	-48 66	
ISHARES TR 20+ YR TR BD ETF / CUSIP. 464287432 / Symbol· TLT							
04/08/14	148.000	16,147.93	12/19/13	15,208.38		939 55	Sale
MAINSTAY MKTFIELD FUND CLASS I N/L / CUSIP· 56064B852 / Symbol· MFLDX							
	3 tax lots for 07/07/14						
	296 425	*5,300.08*	*04/10/14*	*5,306.00*	.	*-5.92*	*Sale*
	295.861	*5,290.00*	*04/14/14*	*5,290.00*	.	*0 00*	*Sale*
	41.026	*733.54*	*05/05/14*	*731.49*	..	*2.05*	*Sale*
07/07/14	633 312	11,323.62	VARIOUS	11,327 49	.	-3.87	Total of 3 lots
	2 tax lots for 09/25/14						
	258.077	*4,384.73*	*05/05/14*	*4,601.51*	...	*-216 78*	*Sale*
	366 462	*6,226.19*	*06/19/14*	*6,567.00*	.	*-340.81*	*Sale*
09/25/14	624.539	10,610.92	VARIOUS	11,168.51	.	-557 59	Total of 2 lots
Security total		21,934 54		22,496 00		-561 46	
MKT VECTORS ETF TR GOLD MINER ETF / CUSIP· 57060U100 / Symbol· GDX							
05/27/14	82.000	1,855.63	04/10/14	2,058 03	.	-202 40	Sale
	2 tax lots for 09/02/14						
	173.000	*4,476 88*	*04/10/14*	*4,341.95*	..	*134 93*	*Sale*
	9.000	*232 90*	*06/19/14*	*230.76*	..	*2.14*	*Sale*
09/02/14	182 000	4,709.78	VARIOUS	4,572 71		137 07	Total of 2 lots
Secunty total		6,565 41		6,630.74		-65.33	
PERMANENT PORTFOLIO FUND N/L / CUSIP. 714199106 / Symbol· PRPFX				$363,052.87			
06/10/14	7,974 702	353,438.79	06/10/14		..	0.00	Sale

Raymond James & Associates, Inc. Account 29881775

Proceeds Not Reported to the IRS

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2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PRINCIPAL SMALL MID CAP DIVIDEND INCOME FUND CLASS P N / CUSIP: 74255L746 / Symbol. PMDPX							
	2 tax lots for 05/01/14						
	194.217	*2,687.96*	*04/10/14*	*2,653 00*	...	*34.96*	*Sale*
	194.057	*2,685 75*	*04/14/14*	*2,645.00*	...	*40.75*	*Sale*
05/01/14	388.274	5,373 71	VARIOUS	5,298.00		75 71	Total of 2 lots
PROSHARES ULTRASHORT S&P 500 / CUSIP 74347B300 / Symbol· SDS							
	2 tax lots for 08/08/14						
	173.000	*4,494.82*	*07/31/14*	*4,464.13*	...	*30.69*	*Sale*
	99.000	*2,572 18*	*08/05/14*	*2,606.67*	..	*-34.49*	*Sale*
08/08/14	272 000	7,067 00	VARIOUS	7,070.80	..	-3.80	Total of 2 lots
10/15/14	104 000	2,850.52	10/10/14	2,714.89		135 63	Sale
11/18/14	52 000	1,164 46	10/10/14	1,357 44	..	-192.98	Sale
12/03/14	50.000	1,095.01	10/10/14	1,305.24	...	-210.23	Sale
12/11/14	103 000	2,337.05	10/10/14	2,688.78	...	-351.73	Sale
	Securty total	14,514.04		15,137.15	.	-623 11	
PROSHARES TRUST SHORT RUSSELL 2000 / CUSIP 74347R826 / Symbol: RWM							
	2 tax lots for 07/16/14						
	947.000	*15,681.50*	*07/07/14*	*15,199.35*	...	*482.15*	*Sale*
	445.000	*7,368.81*	*07/08/14*	*7,258 66*	..	*110 15*	*Sale*
07/16/14	1,392 000	23,050 31	VARIOUS	22,458.01	.	592.30	Total of 2 lots
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP· 74348A319 / Symbol· TWM							
10/15/14	79 000	4,058 77	10/10/14	4,205.35		-146.58	Sale
10/28/14	39.000	1,738.22	10/10/14	2,076.06	.	-337.84	Sale
10/30/14	38.000	1,685 12	10/10/14	2,022.83		-337.71	Sale
	Security total	7,482.11		8,304.24	..	-822.13	
PROSHARES TRUST ULTRASHORT MIDCAP 400 / CUSIP: 74348A343 / Symbol. MZZ							
10/23/14	15 000	713 44	10/10/14	791.98	...	-78.54	Sale
SPDR S&P 500 ETF TRUST / CUSIP· 78462F103 / Symbol· SPY							
04/25/14	29 000	5,408 80	04/16/14	5,395.48		13.32	Sale

Raymond James & Associates, Inc. — Account 29881775

Proceeds Not Reported to the IRS
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2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SPDR S&P 500 ETF TRUST / CUSIP. 78462F103 / Symbol. SPY (cont'd)							
09/09/14	30 000	5,977.39	08/25/14	6,013 59	.	-36.20	Sale
09/25/14	26.000	5,111.01	08/25/14	5,211.77	...	-100 76	Sale
	Security total	16,497 20		16,620.84		-123 64	
SPDR DOW JONES INDUSTRIAL AVG ETF TRUST / CUSIP. 78467X109 / Symbol· DIA							
10/07/14	40.000	6,702.50	09/30/14	6,811 87	..	-109 37	Sale
10/09/14	38 000	6,327 28	09/30/14	6,471.27	.	-143.99	Sale
	Security total·	13,029 78		13,283.14	.	-253.36	
SPDR S&P MIDCAP 400 ETF TRUST / CUSIP· 78467Y107 / Symbol MDY							
06/12/14	41.000	10,437 97	05/12/14	10,258.31		179 66	Sale
SPROTT PHYSICAL GOLD TRUST UNIT (CANADA) / CUSIP· 85207H104 / Symbol PHYS							
	3 tax lots for 04/08/14						
	85.000	*924.79*	*10/24/13*	*952.86*	...	*-28.07*	*Sale*
	2,700.000	*29,375.61*	*10/24/13*	*30,259 64*	...	*-884 03*	*Sale*
	1,546 000	*16,820.26*	*12/19/13*	*15,253.09*	.	*1,567.17*	*Sale*
04/08/14	4,331 000	47,120 66	VARIOUS	46,465.59		655.07	Total of 3 lots
VANGUARD 500 INDEX FUND INVESTOR CLASS N/L / CUSIP. 922908108 / Symbol· VFINX							
	5 tax lots for 04/08/14						
	1.243	*212 37*	*06/21/13*	*182 40*	...	*29 97*	*Sale*
	1.246	*212.88*	*09/23/13*	*196 41*	...	*16 47*	*Sale*
	90 905	*15,531.11*	*12/19/13*	*15,256 00*	...	*275.11*	*Sale*
	1.832	*313.00*	*12/24/13*	*308 57*	...	*4.43*	*Sale*
	1 523	*260.20*	*03/24/14*	*261.97*	...	*-1.77*	*Sale*
04/08/14	96.749	16,529 56	VARIOUS	16,205.35	.	324 21	Total of 5 lots
	Totals:	**555,937.48**		**202,308.42**	...	**190.27**	

+ 368,058.87
570,367.29 Basis

(14429.81)

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Raymond James & Associates, Inc.

Account 29881775

Proceeds Not Reported to the IRS
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2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNITED STATES TREASURY BONDS / CUSIP. 912810QZ4 / Symbol: 912810QZ							
04/08/14	37,000 000	34,106 48	03/08/13	$30122.50 ..	..	0 00	Sale
VANGUARD 500 INDEX FUND INVESTOR CLASS N/L / CUSIP. 922908108 / Symbol· VFINX							
	2 tax lots for 04/08/14						
	260 525	*44,510.70*	*03/11/13*	*37,522.50*	..	*6,988.20*	*Sale*
	1 162	*198.53*	*03/21/13*	*165.43*	.	*33.10*	*Sale*
04/08/14	261 687	44,709 23	VARIOUS	37,687.93	..	7,021 30	Total of 2 lots
	Totals:	**78,815.71**		**37,687.93** 36188.60 73876.53	...	~~**7,021.30**~~	4939.18