Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation: HOPPER-DEAN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address):
C/O CTC MYCFO, LLC
P.O. BOX 10195, DEPT. 656

Room/suite:

City or town, state or province, country, and ZIP or foreign postal code: PALO ALTO, CA 94303-0995

A Employer identification number: 27-3116560

B Telephone number (see instructions): (650) 210-5000

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 14,075,227.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants etc , received (attach schedule)	3,868,721.			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	226,382.	226,382.		ATCH 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	422,107.			
	b	Gross sales price for all assets on line 6a 4,649,321.				
	7	Capital gain net income (from Part IV, line 2)		2,465,363.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 2	4,026.			
	12	**Total.** Add lines 1 through 11	4,521,236.	2,691,745.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) ATCH 3	11,000.			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) [4]	62,695.	7,695.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	63,338.	63,158.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	137,033.	70,853.		
	25	Contributions, gifts, grants paid	649,719.			649,719.
	26	Total expenses and disbursements. Add lines 24 and 25	786,752.	70,853.	0	649,719.
	27	Subtract line 26 from line 12.				
	a	Excess of revenue over expenses and disbursements	3,734,484.			
	b	Net investment income (if negative, enter -0-)		2,620,892.		
	c	Adjusted net income (if negative, enter -0-)				

SCANNED AUG 1 9 2015

RECEIVED
909
AUG 1 7 2015
OGDEN, UT
IRS-OSC

JSA For Paperwork Reduction Act Notice, see instructions.
4E1410 1 000
2370CD 517K

DEA002-15

Form **990-PF** (2014)

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	21,252.	55,108.	55,108.
	2	Savings and temporary cash investments	37,312.	17,151.	17,151.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	7,447,847.	10,674,049.	11,556,452.
	c	Investments - corporate bonds (attach schedule) ATCH 7	1,661,394.	2,155,454.	2,446,516.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	9,167,805.	12,901,762.	14,075,227.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment or other funds	9,167,805.	12,901,762.	
	30	**Total net assets or fund balances** (see instructions)	9,167,805.	12,901,762.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	9,167,805.	12,901,762.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,167,805.
2	Enter amount from Part I, line 27a	2	3,734,484.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	12,902,289.
5	Decreases not included in line 2 (itemize) ▶ ATCH 8	5	527.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,901,762.

Form **990-PF** (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	422,107.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	695,037.	9,654,069.	0.071994
2012	682,011.	3,481,593.	0.195891
2011	510,422.	2,614,638.	0.195217
2010		1,401,631.	
2009			

2	Total of line 1, column (d)	2	0.463102
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.115776
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	12,283,363.
5	Multiply line 4 by line 3	5	1,422,119.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	26,209.
7	Add lines 5 and 6	7	1,448,328.
8	Enter qualifying distributions from Part XII, line 4	8	649,719.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	52,418.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	52,418.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	52,418.
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	51,917.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	30,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	81,917.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . . ▶			10	29,499.
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 29,499. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______________ (2) On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	**11**		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	**12**		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	X	

Website address ▶ N/A

14 The books are in care of ▶ C/O CTC MYCFO, LLC Telephone no ▶ 650-210-5000

Located at ▶ P.O. BOX 10195, DEPT. 656 PALO ALTO, CA ZIP+4 ▶ 94303

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

		Yes	No
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		X
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	**1b**		N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	**1c**		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ▶ , , ,			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	**2b**		X
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , ,			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)*	**3b**		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	**4b**		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50 000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	12,416,394.
b	Average of monthly cash balances	1b	54,025.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	12,470,419.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	12,470,419.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	187,056.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,283,363.
6	**Minimum investment return.** Enter 5% of line 5	6	614,168.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	614,168.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	52,418.		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	52,418.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	561,750.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	561,750.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	561,750.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	649,719.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	649,719.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	649,719.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					561,750.
2	Undistributed income, if any as of the end of 2014					
a	Enter amount for 2013 only					
b	Total for prior years 20 12 ,20 11 ,20 10					
3	Excess distributions carryover, if any, to 2014					
a	From 2009					
b	From 2010					
c	From 2011	413,846.				
d	From 2012	619,427.				
e	From 2013	253,254.				
f	Total of lines 3a through e		1,286,527.			
4	Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 649,719.					
a	Applied to 2013, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2014 distributable amount					561,750.
e	Remaining amount distributed out of corpus		87,969.			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,374,496.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		1,374,496.			
10	Analysis of line 9					
a	Excess from 2010					
b	Excess from 2011	413,846.				
c	Excess from 2012	619,427.				
d	Excess from 2013	253,254.				
e	Excess from 2014	87,969.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon a "Assets" alternative test enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest dividends rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JEFF DEAN & HEIDI HOPPER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 10				
Total ▶ 3a				649,719.
b *Approved for future payment*				
ATCH 11				
Total ▶ 3b				550,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	226,382.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	146,011.	
8 Gain or (loss) from sales of assets other than inventory			18	276,096.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 12				4,026.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				652,515.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . . 13 652,515.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 8/7/15

Title CEO

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name PAULA Y LO

Preparer's signature

Date 8/5/2015

Check ☐ if self-employed

PTIN P00184636

Firm's name ▶ CTC MYCFO, LLC

Firm's EIN ▶ 77-0522698

Firm's address ▶ 2200 GENG ROAD, SUITE 100
PALO ALTO, CA 94303

Phone no 650 210-5000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** *www irs.gov/form990*

OMB No 1545-0047

2014

Name of the organization
HOPPER-DEAN FOUNDATION

Employer identification number
27-3116560

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. **Schedule B (Form 990, 990-EZ, or 990-PF) (2014)**

Name of organization	Employer identification number
HOPPER-DEAN FOUNDATION	27-3116560

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEFF DEAN & HEIDI HOPPER 995 MATADERO AVENUE PALO ALTO, CA 94306	$ 3,868,721.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization HOPPER-DEAN FOUNDATION

Employer identification number 27-3116560

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,700 SHS OF GOOGEL STOCKS	$ 3,868,721.	02/18/2014

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization HOPPER-DEAN FOUNDATION

Employer identification number 27-3116560

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions HOPPER-DEAN FOUNDATION	Employer identification number (EIN) or 27-3116560
	Number, street, and room or suite no If a P O box, see instructions C/O CTC MYCFO, LLC	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. PALO ALTO, CA 94303-0995	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶C/O CTC MYCFO, LLC, P.O. BOX 10195, DEPT. 656 PALO ALTO, CA 94303

Telephone No ▶ 650 210-5000 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______________ . If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 15 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 20 14 or
▶ ☐ tax year beginning ____________, 20___, and ending ____________, 20___

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 81,917.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 51,917.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 30,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)

HOPPER-DEAN FOUNDATION 27-3116560

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					146,011.	
		1,700 SHS GOOGLE INC-A				D	VAR	02/18/2014
		PROPERTY TYPE: SECURITIES						
2,049,934.		2,044,276.					5,658.	
		STATEMENT A ST				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
119,437.		119,745.					-308.	
		STATEMENT A LT				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
550,563.		568,920.					-13,837.	
		STATEMENT B ST				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
960,609.		866,333.					95,682.	
		STATEMENT B LT				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
822,767.		633,866.					188,901.	
TOTAL GAIN(LOSS)							422,107.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CHARLES SCHWAB # 1319	25,216.	25,216.
CHARLES SCHWAB # 6776	43,506.	43,506.
CHARLES SCHWAB # 7085	9,182.	9,182.
CHARLES SCHWAB # 6021	52,011.	52,011.
CHARLES SCHWAB # 8692	7,475.	7,475.
CHARLES SCHWAB # 3462	45,085.	45,085.
CHARLES SCHWAB # 4577	2,833.	2,833.
CHARLES SCHWAB # 5279	41,074.	41,074.
TOTAL	226,382.	226,382.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
NON-TAXABLE DIVIDENDS		4,026.
	TOTALS	4,026.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES		11,000.			
	TOTALS	11,000.			

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAXES	55,000.	
FOREIGN TAXES	7,695.	7,695.
TOTALS	62,695.	7,695.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MANAGEMENT FEE		62,913.	62,913.
FILING FEE		180.	
BANK FEES		245.	245.
PENALTIES			
	TOTALS	63,338.	63,158.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 1319	2,284,496.	2,189,400.
CHARLES SCHWAB # 6776	1,736,226.	1,916,556.
CHARLES SCHWAB # 7085	573,879.	611,682.
CHARLES SCHWAB # 6021	2,046,188.	1,730,795.
CHARLES SCHWAB # 8692	108,115.	107,889.
CHARLES SCHWAB # 3462	1,518,510.	2,349,404.
CHARLES SCHWAB # 4577	395,597.	621,733.
CHARLES SCHWAB # 5279	186,593.	186,593.
SS MY4101	1,824,445.	1,842,400.
TOTALS	10,674,049.	11,556,452.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 8692		
CHARLES SCHWAB # 5279	2,155,454.	2,446,516.
TOTALS	2,155,454.	2,446,516.

ATTACHMENT 8

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
OTHER		527.
	TOTAL	527.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HEIDI HOPPER 995 MATADERO AVENUE PALO ALTO, CA 94306	CEO/SECRETARY 2.00	0	0	0
JEFF DEAN 995 MATADERO AVENUE PALO ALTO, CA 94306	CFO 2.00	0	0	0
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
KHAN ACADEMY P.O. BOX 1630 MOUNTAIN VIEW, CA 94042	NONE PC	CHARITABLE	50,000
UNIVERSITY OF WASHINGTON FOUNDATION BOX 359505 SEATTLE, WA 98195	NONE PC	CHARITABLE	46,600.
BUILD 2385 BAY ROAD REDWOOD CITY, CA 94063	NONE PC	CHARITABLE	75,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE PC	CHARITABLE	119,764
UC SANTA CRUZ FOUNDATION 1156 HIGH STREET SANTA CRUZ, CA 95064	NONE PC	CHARITABLE	20,000
THE CARTER CENTER 453 FREEDOM PARKWAY ATLANTA, GA 30307	NONE PC	CHARITABLE	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FREE THE CHILDREN 282 SAN ANTONIO ROAD MOUNTAIN VIEW, CA 94040	NONE PC	CHARITABLE	19,046
SPARK PROGRAM 251 RHODE ISLAND ST , #205 SAN FRANCISCO, CA 94103	NONE PC	CHARITABLE	5,000.
CASTILLEJA SCHOOL 1310 BRYANT STREET PLAO ALTO, CA 94301	NONE PC	CHARITABLE	55,000
MENLO SCHOOL - MUSIC@MENLO 50 VALPARAISO AVENUE ATHERTON, CA 94027	NONE PC	CHARITABLE	15,000.
ASHESI UNIVERSITY FOUNDATION 1414 31ST AVE SOUTH, SUITE 301, BOX #11 SEATTLE, WA 98144	NONE PC	CHARITABLE	10,000
LARKIN STREET YOUTH SERVICES 701 SUTTER STREET, SUITE 2 SAN FRANCISCO, CA 94109	NONE PC	CHARITABLE	10,000

ATTACHMENT 10

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CAMBODIAN CHILDREN'S FUND 2461 SANTA MONICA BOULEVARD #833 SANTA MONICA, CA 90404	NONE PC	CHARITABLE	20,000.
PAIDEIA SCHOOL 1509 PONCE DE LEON AVENUE ATLANTA, GA 30307	NONE PC	CHARITABLE	1,509
NEPAL YOUTH FOUNDATION 3030 BRIDGEWAY, SUITE 202 SAUSALITO, CA 94965	NONE PC	CHARITABLE	1,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 600 MEMORIAL DRIVE, THIRD FLOOR CAMBRIDGE, MA 02139	NONE PC	CHARITABLE	25,000.
THE SCHOOL FUND 2070 WAVERLEY ST PALO ALTO, CA 94301	NONE PC	CAHRITABLE	1,800
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE, SUITE 500 MINNEAPOLIS, MN 55455	NONE PC	CHARITABLE	125,000
		TOTAL CONTRIBUTIONS PAID	649,719.

ATTACHMENT 10

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON MITCHELL ENDOWED PHD BOX 353200 SEATTLE, WA 98195	NONE PC	CHARITABLE	25,000
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE, SUITE 500 MINNEAPOLIS, MN 55455	NONE PC	CHARITABLE	375,000.
BUILD 2385 BAY ROAD REDWOOD CITY, CA 94063	NONE PC	CHARITABLE	150,000.
		TOTAL CONTRIBUTIONS APPROVED	550,000.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
NON-DIVIDEND DISTRIBUTIONS			01	4,026.	
TOTALS				4,026.	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www irs.gov/form1041*.

OMB No 1545-0092

2014

Name of estate or trust: HOPPER-DEAN FOUNDATION

Employer identification number: 27-3116560

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	1,080,046.	986,078.	1,406.	95,374.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	95,374.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	550,563.	568,920.	4,520.	-13,837.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	2,872,701.	2,678,142.		194,559.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	146,011.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	326,733.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions before completing this part*

		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 **Net short-term gain or (loss)**	17			95,374.
18 **Net long-term gain or (loss):**				
a Total for year	18a			326,733.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c 28% rate gain	18c			
19 **Total net gain or (loss).** Combine lines 17 and 18a ▶	19			422,107.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of

a The loss on line 19, column (3) **or b** $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)		21		
22 Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22			
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23			
24 Add lines 22 and 23	24			
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25			
26 Subtract line 25 from line 24 If zero or less, enter -0-		26		
27 Subtract line 26 from line 21 If zero or less, enter -0-		27		
28 Enter the **smaller** of the amount on line 21 or $2,500		28		
29 Enter the **smaller** of the amount on line 27 or line 28		29		
30 Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶				30
31 Enter the **smaller** of line 21 or line 26		31		
32 Subtract line 30 from line 26		32		
33 Enter the **smaller** of line 21 or $12,150		33		
34 Add lines 27 and 30		34		
35 Subtract line 34 from line 33 If zero or less, enter -0-		35		
36 Enter the **smaller** of line 32 or line 35		36		
37 Multiply line 36 by 15% ▶				37
38 Enter the amount from line 31		38		
39 Add lines 30 and 36		39		
40 Subtract line 39 from line 38 If zero or less, enter -0-		40		
41 Multiply line 40 by 20% ▶				41
42 Figure the tax on the amount on line 27 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		42		
43 Add lines 37, 41, and 42		43		
44 Figure the tax on the amount on line 21 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		44		
45 **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶				45

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs gov/form8949.*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

OMB No 1545-0074

2014

Attachment Sequence No **12A**

Name(s) shown on return: HOPPER-DEAN FOUNDATION

Social security number or taxpayer identification number: 27-3116560

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments your bought in 2014 or later)

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
STATEMENT A ST	VAR	VAR	119,437.	119,745.			-308.
STATEMENT B ST	VAR	VAR	960,609.	866,333.	W	1,406.	95,682.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked)▶			1,080,046.	986,078.		1,406.	95,374.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions

Form **8949** (2014)

Form 8949 (2014) Attachment Sequence No **12A** Page **2**

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	Social security number or taxpayer identification number
HOPPER-DEAN FOUNDATION	27-3116560

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later)

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** **(f)** Code(s) from instructions	 **(g)** Amount of adjustment	**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
STATEMENT A LT	VAR	VAR	550,563.	568,920.	W	4,520.	-13,837.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			550,563	568,920.		4,520.	-13,837.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side
HOPPER-DEAN FOUNDATION

Social security number or taxpayer identification number
27-3116560

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later)

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
1,700 SHS GOOGLE INC-A	VAR	02/18/2014	2,049,934.	2044276.			5,658.
STATEMENT B LT	VAR	VAR	822,767.	633,866.			188,901.
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			2,872,701.	2678142.			194,559.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 6, 2015**
The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	1,590 36	02/21/14	11/21/14 $	17,937.32 $	17,806.84 $	0 00 $	130.48
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	58 49	04/30/14	11/21/14 $	659.73 $	656 29 $	0 00 $	3 44
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	66.75	07/31/14	11/21/14 $	752.91 $	750.99 $	0.00 $	1 92
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	76.12	08/29/14	11/21/14 $	858.55 $	861.69 $	0.00 $	(3 14)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	8,901.64	02/21/14	12/15/14 $	99,228.56 $	99,668.80 $	0 00 $	(440 24)
Security Subtotal				**$**	**119,437.07 $**	**119,744.61 $**	**0.00 $**	**(307.54)**
Total Short-Term *(Cost basis is reported to the IRS)*				**$**	**119,437.07 $**	**119,744.61 $**	**0.00 $**	**(307.54)**
Total Short-Term				**$**	**119,437.07 $**	**119,744.61 $**	**0.00 $**	**(307.54)**

Schwab One® Account of
HOPPER-DEAN FOUNDATION
PIMCO US TAXABLE

Account Number
8470-8692

TAX YEAR 2014
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23.33	10/22/12	01/24/14 $	259.54 $	276.72 $	17 18 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	11.25	11/13/12	01/24/14 $	125.24 $	131.94 $	6 70 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	12.18	11/13/12	01/24/14 $	135 50 $	143 98 $	8.48 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	18.67	11/19/12	01/24/14 $	207 73 $	218.52 $	10.79 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	38.49	12/12/12	01/24/14 $	428.24 $	450 18 $	21.94 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	81.56	12/12/12	01/24/14 $	907 23 $	953.73 $	46.50 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	4,275.03	12/12/12	01/24/14 $	47,552.59 $	49,775.24 $	2,222.65 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	34 51	12/20/12	01/24/14 $	383.93 $	401.99 $	18.06 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23.33	10/29/12	03/06/14 $	261.48 $	277 24 $	15.76 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6 16	11/20/12	03/06/14 $	69.06 $	72 97 $	3 91 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	17 27	12/11/12	03/06/14 $	193.64 $	204.35 $	10.71 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	4,428.22	12/12/12	03/06/14 $	49,625 52 $	51,539.56 $	1,914 04 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	18 67	12/17/12	03/06/14 $	209.28 $	219.89 $	10 61 $	0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	4,395.09	01/09/13	03/06/14 $	49,254 21 $	51,501 49 $	26.13 $	(2,221 15)

STATEMENT A

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 6, 2015**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	34.51	01/17/13	03/06/14 $	386.81 $	404.52	$ 0 00	$ (17 71)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23.33	10/29/12	06/04/14 $	263.14 $	277.01	$ 13.87	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6 16	11/20/12	06/04/14 $	69.49 $	72.90	$ 3.41	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6.02	12/11/12	06/04/14 $	67.89 $	71 53	$ 3.64	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	11.25	12/11/12	06/04/14 $	126.98 $	132.64	$ 5.66	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	25 13	12/12/12	06/04/14 $	283 45 $	292 52	$ 0.00	$ (9.07)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	4,428.22	12/12/12	06/04/14 $	49,940 39 $	51,495.41	$ 0.00	$ (1,555.02)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6,707.79	12/12/12	06/04/14 $	75,648 79 $	78,071.18	$ 3.03	$ (2,419.36)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	12 80	12/17/12	06/04/14 $	144.36 $	150.59	$ 6.23	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	10.56	12/28/12	06/04/14 $	119.19 $	122.88	$ 0 00	$ (3.69)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	2,016.71	01/09/13	06/04/14 $	22,744.04 $	23,396 65	$ 0.00	$ (652.61)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	5 87	01/11/13	06/04/14 $	66.26 $	68 96	$ 2.70	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	46 64	02/03/13	06/04/14 $	526.02 $	547.13	$ 21.11	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23 33	10/29/12	10/29/14 $	262.81 $	277 53	$ 14.72	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6.16	11/20/12	10/29/14 $	69.40 $	73.04	$ 3.64	$ 0 00

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6 02	12/11/12	10/29/14 $	67.80 $	71 67	$ 3.87	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	11 25	12/11/12	10/29/14 $	126.81 $	132 89	$ 6.08	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	12.80	12/17/12	10/29/14 $	144 17 $	150 87	$ 6.70	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	8 39	01/07/13	10/29/14 $	94.57 $	97.99	$ 3.42	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	4,318.73	01/09/13	10/29/14 $	48,642 93 $	50,103 09	$ 38.04	$ (1,422.12)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	5.87	01/11/13	10/29/14 $	66.17 $	69 08	$ 2.91	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	3.62	02/03/13	10/29/14 $	40 87 $	42.65	$ 1 78	$ 0 00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	43.01	03/01/13	10/29/14 $	484.47 $	505.95	$ 21.48	$ 0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23.33	10/29/12	11/21/14 $	263.17 $	277.68	$ 0.00	$ (14.51)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6.16	11/20/12	11/21/14 $	69.50 $	73 09	$ 0.00	$ (3.59)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	6.02	12/11/12	11/21/14 $	67.90 $	71.72	$ 0.00	$ (3 82)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	11.25	12/11/12	11/21/14 $	126 99 $	132.97	$ 0.00	$ (5 98)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	12.80	12/17/12	11/21/14 $	144.37 $	150.95	$ 0.00	$ (6 58)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	1,326.60	12/27/12	11/21/14 $	14,962.35 $	15,213.90	$ 0 00	$ (251.55)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	42 86	12/31/12	11/21/14 $	483.48 $	491 61	$ 0.00	$ (8.13)

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	8.39	01/09/13	11/21/14 $	94.70 $	98.04 $	0.00 $	(3 34)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	15,955.55	01/09/13	11/21/14 $	179,958.21 $	185,105 86 $	0 00 $	(5,147 65)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	43 31	01/11/13	11/21/14 $	488.54 $	502.81 $	0.00 $	(14.27)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	5.87	01/13/13	11/21/14 $	66.26 $	69.12 $	0.00 $	(2 86)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	3 62	02/05/13	11/21/14 $	40.93 $	42.68 $	0.00 $	(1.75)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	9 67	03/01/13	11/21/14 $	109 09 $	113.83 $	0 00 $	(4.74)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	33.34	03/03/13	11/21/14 $	376 04 $	392 40 $	0 00 $	(16 36)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	49.67	03/28/13	11/21/14 $	560.24 $	573.13 $	0.00 $	(12 89)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	57.56	04/30/13	11/21/14 $	649.27 $	666.52 $	0.00 $	(17 25)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	58.98	05/31/13	11/21/14 $	665 24 $	678.78 $	0 00 $	(13 54)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	35 52	06/28/13	11/21/14 $	400 65 $	400.65 $	0 00 $	0.00
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	23.45	09/30/13	11/21/14 $	264.56 $	263 37 $	0 00 $	1 19

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD FD INST CL	72201M487	69.20	02/08/13	12/15/14	$ 771.44	$ 804.72	$ 24 19	$ (9 09)
Security Subtotal					**$ 550,562.93**	**$ 568,920.31**	**$ 4,519.94**	**$ (13,837.44)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 550,562.93**	**$ 568,920.31**	**$ 4,519.94**	**$ (13,837.44)**
Total Long-Term					**$ 550,562.93**	**$ 568,920.31**	**$ 4,519.94**	**$ (13,837.44)**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 6, 2015**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked)*	$ 119,437.07	$ 119,744.61	$ 0.00	$ (307.54)
Total Short-Term Realized Gain or (Loss)	$ 119,437.07	$ 119,744.61	$ 0.00	$ (307.54)
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part II, with Box D checked)*	$ 550,562.93	$ 568,920.31	$ 4,519.94	$ (13,837.44)
Total Long-Term Realized Gain or (Loss)	$ 550,562.93	$ 568,920.31	$ 4,519.94	$ (13,837.44)
TOTAL REALIZED GAIN OR (LOSS)	$ 670,000.00	$ 688,664.92	$ 4,519.94	$ (14,144.98)

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method· High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ANTHEM INC: ANTM	132.0000	04/08/14	12/18/14	$16,462.17	$12,690.86	$3,771 31
ANTHEM INC: ANTM	33.0000	04/25/14	12/18/14	$4,115.54	$3,174.84	$940.70
Security Subtotal				**$20,577.71**	**$15,865.70**	**$4,712.01**
APPLE INC· AAPL	43.0000	02/24/14	04/29/14	$25,492.14	$22,729 00	$2,763 14
Security Subtotal				**$25,492.14**	**$22,729.00**	**$2,763.14**
ASHMORE GROUP PLC ORD F: AJMPF	3,162 0000	10/09/13	09/19/14	$17,024.86	$19,819 10	($2,794 24)
ASHMORE GROUP PLC ORD F· AJMPF	3,252 0000	12/11/13	09/19/14	$17,509.43	$20,738 98	($3,229 55)
Security Subtotal				**$34,534.29**	**$40,558.08**	**($6,023.79)**
BANK OF NY MELLON CP NEW· BK	625.0000	02/24/14	04/22/14	$21,050.26	$19,774 94	$1,275 32
Security Subtotal				**$21,050.26**	**$19,774.94**	**$1,275.32**
CHECK PT SOFTWARE TECH F. CHKP	440.0000	04/17/13	03/18/14	$30,293 37	$20,027.80	$10,265 57
Security Subtotal				**$30,293.37**	**$20,027.80**	**$10,265.57**
CHEMED CORPORATION NEW: CHE	190 0000	06/20/13	02/11/14	$15,120 09	$13,962 79	$1,157 30
CHEMED CORPORATION NEW: CHE	168.0000	06/21/13	02/11/14	$13,369.34	$12,363.09	$1,006 25

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CHEMED CORPORATION NEW: CHE	45.0000	09/05/13	02/11/14	$3,581 07	$3,139.52	$441.55
CHEMED CORPORATION NEW: CHE	20.0000	09/06/13	02/11/14	$1,591.59	$1,408.19	$183.40
CHEMED CORPORATION NEW: CHE	96 0000	02/18/14	04/14/14	$8,529.34	$8,187 47	$341 87
CHEMED CORPORATION NEW· CHE	31.0000	02/24/14	04/14/14	$2,754.27	$2,599.30	$154.97
CHEMED CORPORATION NEW: CHE	38 0000	09/03/13	07/22/14	$3,688.84	$2,650 16	$1,038 68
CHEMED CORPORATION NEW: CHE	59.0000	09/05/13	07/22/14	$5,727.40	$4,116.26	$1,611 14
CHEMED CORPORATION NEW. CHE	109 0000	02/24/14	07/22/14	$10,581 14	$9,139.49	$1,441 65
CHEMED CORPORATION NEW: CHE	61 0000	09/03/13	08/01/14	$6,140 72	$4,254 20	$1,886 52
CHEMED CORPORATION NEW: CHE	4.0000	09/04/13	08/01/14	$402.67	$278 11	$124.56
CHEMED CORPORATION NEW: CHE	51 0000	09/04/13	08/05/14	$5,126.19	$3,545.89	$1,580 30
CHEMED CORPORATION NEW CHE	128 0000	09/04/13	08/06/14	$12,843.29	$8,899.49	$3,943 80
CHEMED CORPORATION NEW CHE	5 0000	09/04/13	08/11/14	$500 73	$347.64	$153.09
Security Subtotal				**$89,956.68**	**$74,891.60**	**$15,065.08**

G000002630312

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CHUBB CORPORATION. CB	154.0000	02/24/14	12/16/14	$15,693 39	$13,442.24	$2,251.15
Security Subtotal				**$15,693.39**	**$13,442.24**	**$2,251.15**
CISCO SYSTEMS INC: CSCO	325.0000	02/24/14	05/21/14	$7,943.82	$7,224.94	$718.88
CISCO SYSTEMS INC: CSCO	490 0000	02/24/14	08/01/14	$12,221.50	$10,892.98	$1,328 52
Security Subtotal				**$20,165.32**	**$18,117.92**	**$2,047.40**
COCA COLA COMPANY· KO	330 0000	04/17/13	03/18/14	$12,647.03	$14,053.27	$0.00
COCA COLA COMPANY: KO	412 0000	02/24/14	04/22/14	$16,737.07	$15,455 60	$1,281 47
Security Subtotal				**$29,384.10**	**$29,508.87**	**$1,281.47**
CONVERSANT INC· CNVR	221 0000	04/22/14	09/16/14	$7,635.78	$5,687.87	$1,947.91
CONVERSANT INC. CNVR	26 0000	08/05/14	09/16/14	$898.33	$684.66	$213.67
CONVERSANT INC: CNVR	701 0000	11/06/13	09/18/14	$24,108 20	$13,709.57	$10,398 63
CONVERSANT INC CNVR	547.0000	04/22/14	09/18/14	$18,811 96	$14,078 11	$4,733.85
CONVERSANT INC· CNVR	386 0000	08/01/14	09/18/14	$13,274 98	$9,215 20	$4,059 78
Security Subtotal				**$64,729.25**	**$43,375.41**	**$21,353.84**

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DISCOVERY COMMUN SER C SERIES C: DISCK	145.0000	02/24/14	08/01/14	$12,025.64	$11,265 40	$760 24
DISCOVERY COMMUN SER C SERIES C: DISCK	387.0000	04/22/14	08/01/14	$32,096 03	$28,278 09	$3,817.94
DISCOVERY COMMUN SER C SERIES C: DISCK	114.0000	05/05/14	08/01/14	$9,454.64	$8,148.50	$1,306.14
Security Subtotal				**$53,576.31**	**$47,691.99**	**$5,884.32**
DOVER CORPORATION DOV	172.0000	02/24/14	05/21/14	$14,776.00	$12,905 33	$1,870.67
Security Subtotal				**$14,776.00**	**$12,905.33**	**$1,870.67**
EBAY INC: EBAY	145.0000	12/10/13	08/06/14	$7,726 62	$7,553.20	$173 42
EBAY INC: EBAY	879.0000	12/10/13	11/12/14	$47,477.82	$45,788.01	$1,689.81
EBAY INC: EBAY	458 0000	06/06/14	12/23/14	$26,319.14	$22,765.16	$3,553.98
Security Subtotal				**$81,523.58**	**$76,106.37**	**$5,417.21**
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1 IHG	174.0000	02/24/14	05/21/14	$6,487.23	$5,596 07	$891.16

G000002630412

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	313.0000	02/24/14	05/22/14	$11,707.15	$10,066.50	$1,640.65
Security Subtotal				**$18,194.38**	**$15,662.57**	**$2,531.81**
KNOWLES CORPORATION: KN	0.5000	02/24/14	03/03/14	$15 37	$14.79	$0 58
KNOWLES CORPORATION: KN	85 5000	02/24/14	03/13/14	$2,599 04	$2,529 82	$69 22
Security Subtotal				**$2,614.41**	**$2,544.61**	**$69.80**
LINDSAY CORPORATION: LNN	77.0000	12/10/13	04/14/14	$6,827.70	$6,061.56	$766.14
LINDSAY CORPORATION. LNN	21.0000	12/10/13	04/15/14	$1,833.52	$1,653.15	$180.37
LINDSAY CORPORATION. LNN	16 0000	12/10/13	04/16/14	$1,390.99	$1,259 54	$131 45
LINDSAY CORPORATION· LNN	17.0000	12/10/13	04/17/14	$1,480.27	$1,338.27	$142.00
LINDSAY CORPORATION LNN	30.0000	12/10/13	04/22/14	$2,638.12	$2,361.65	$276.47
LINDSAY CORPORATION: LNN	29.0000	12/10/13	04/23/14	$2,551.55	$2,282.92	$268.63
LINDSAY CORPORATION. LNN	30 0000	12/10/13	04/24/14	$2,640.70	$2,361 65	$279 05
Security Subtotal				**$19,362.85**	**$17,318.74**	**$2,044.11**

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MARRIOTT INTL INC CL A MAR	416.0000	12/10/13	04/29/14	$23,339.49	$19,401.93	$3,937.56
MARRIOTT INTL INC CL A: MAR	467.0000	12/10/13	08/01/14	$30,201.70	$21,780.53	$8,421 17
MARRIOTT INTL INC CL A: MAR	252.0000	12/10/13	12/02/14	$19,507.43	$11,753 09	$7,754 34
MARRIOTT INTL INC CL A MAR	124 0000	12/10/13	12/03/14	$9,668.69	$5,783 27	$3,885.42
Security Subtotal				**$82,717.31**	**$58,718.82**	**$23,998.49**
NASDAQ OMX GROUP INC. NDAQ	154 0000	04/28/14	07/22/14	$6,372 39	$5,562.50	$809.89
NASDAQ OMX GROUP INC: NDAQ	181.0000	05/06/14	07/22/14	$7,489 63	$6,603.72	$885 91
NASDAQ OMX GROUP INC. NDAQ	105.0000	05/09/14	07/22/14	$4,344.81	$3,844.14	$500.67
NASDAQ OMX GROUP INC: NDAQ	101.0000	05/15/14	07/22/14	$4,179.30	$3,690.35	$488 95
NASDAQ OMX GROUP INC· NDAQ	328 0000	04/25/14	12/16/14	$15,243 32	$11,841 63	$3,401 69
NASDAQ OMX GROUP INC: NDAQ	52.0000	04/28/14	12/16/14	$2,416.62	$1,878.25	$538.37
Security Subtotal				**$40,046.07**	**$33,420.59**	**$6,625.48**
NEUSTAR INC CLASS A. NSR	42.0000	12/18/13	04/22/14	$1,227.63	$2,063.26	($835.63)

G000002630512

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NEUSTAR INC CLASS A. NSR	60.0000	12/20/13	04/22/14	$1,753.75	$2,918.35	($1,164.60)
NEUSTAR INC CLASS A: NSR	8 0000	12/20/13	04/23/14	$198 75	$389 11	($190 36)
NEUSTAR INC CLASS A: NSR	5 0000	12/20/13	04/24/14	$114 89	$243.20	($128 31)
NEUSTAR INC CLASS A: NSR	102.0000	12/20/13	04/29/14	$2,617.38	$4,961 20	($2,343 82)
NEUSTAR INC CLASS A· NSR	53.0000	12/17/13	04/30/14	$1,343.96	$2,575.87	($1,231.91)
NEUSTAR INC CLASS A: NSR	54.0000	12/20/13	04/30/14	$1,369.32	$2,626 51	($1,257.19)
NEUSTAR INC CLASS A: NSR	181 0000	12/17/13	05/02/14	$4,691.69	$8,796.82	($4,105 13)
NEUSTAR INC CLASS A· NSR	194 0000	01/07/14	05/02/14	$5,028 67	$9,427.17	($4,398 50)
NEUSTAR INC CLASS A: NSR	106.0000	01/08/14	05/02/14	$2,747.62	$5,144 24	($2,396.62)
NEUSTAR INC CLASS A· NSR	190 0000	02/24/14	05/02/14	$4,924 98	$6,708.23	($1,783 25)
Security Subtotal				**$26,018.64**	**$45,853.96**	**($19,835.32)**
ORACLE CORPORATION· ORCL	380.0000	02/24/14	04/29/14	$15,281.71	$14,533.36	$748 35
Security Subtotal				**$15,281.71**	**$14,533.36**	**$748.35**

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PERKINELMER INC. PKI		666.0000	05/21/13	01/27/14	$27,158.58	$21,641 10	$5,517 48
PERKINELMER INC· PKI		410 0000	05/22/13	01/27/14	$16,719.25	$13,361.36	$3,357.89
Security Subtotal					**$43,877.83**	**$35,002.46**	**$8,875.37**
QUALCOMM INC: QCOM		167.0000	05/21/13	04/22/14	$13,500 21	$11,006.19	$2,494 02
QUALCOMM INC. QCOM		267.0000	08/06/13	04/22/14	$21,584.17	$17,648 79	$3,935 38
QUALCOMM INC: QCOM		225.0000	02/24/14	04/22/14	$18,188.90	$17,079 98	$1,108 92
Security Subtotal					**$53,273.28**	**$45,734.96**	**$7,538.32**
TESCO PLC ORD	F: TSCDF	10,000.0000	07/16/13	04/22/14	$49,204.02	$54,157.00	($4,952.98)
TESCO PLC ORD	F· TSCDF	3,900 0000	02/24/14	04/22/14	$19,189 57	$21,798.64	($2,609.07)
Security Subtotal					**$68,393.59**	**$75,955.64**	**($7,562.05)**
TIME INC: TIME		0.5000	02/24/14	06/09/14	$11.50	$10.56	$0 94
TIME INC TIME		13.8750	02/24/14	06/19/14	$314 00	$293.10	$20 90
Security Subtotal					**$325.50**	**$303.66**	**$21.84**

G000002630612

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TIME WARNER INC NEW: TWX	115.0000	02/24/14	12/16/14	$9,345.35	$7,194.03	$2,151.32
Security Subtotal				**$9,345.35**	**$7,194.03**	**$2,151.32**
TUPPERWARE BRANDS CORP. TUP	138.0000	08/06/14	11/06/14	$8,815 79	$10,200.75	($1,384 96)
TUPPERWARE BRANDS CORP TUP	155.0000	08/07/14	11/06/14	$9,901.79	$11,528 85	($1,627.06)
TUPPERWARE BRANDS CORP TUP	111.0000	08/01/14	11/10/14	$7,092 72	$8,142.44	($1,049.72)
TUPPERWARE BRANDS CORP· TUP	159.0000	08/05/14	11/10/14	$10,159 85	$11,686 92	($1,527.07)
TUPPERWARE BRANDS CORP· TUP	1 0000	08/06/14	11/10/14	$63.90	$73.92	($10.02)
Security Subtotal				**$36,034.05**	**$41,632.88**	**($5,598.83)**
UNVL TECH INSTITUTE. UTI	392 0000	04/17/13	01/15/14	$5,552.20	$4,551.61	$1,000 59
Security Subtotal				**$5,552.20**	**$4,551.61**	**$1,000.59**
WELLPOINT INC· WLP	233 0000	03/18/14	08/06/14	$25,960.79	$22,504.30	$3,456 49
WELLPOINT INC: WLP	40 0000	03/18/14	08/07/14	$4,391.96	$3,863.40	$528 56

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WELLPOINT INC. WLP	68 0000	04/25/14	08/07/14	$7,466.33	$6,542.09	$924 24
Security Subtotal				**$37,819.08**	**$32,909.79**	**$4,909.29**
Total Short-Term				**$960,608.65**	**$866,332.93**	**$95,681.96**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPLE INC: AAPL	8.0000	12/14/12	04/29/14	$4,742.72	$4,087.38	$655 34
APPLE INC AAPL	56 0000	12/14/12	04/29/14	$33,199.06	$28,847.38	$4,351.68
APPLE INC: AAPL	154.0000	12/14/12	08/01/14	$14,642.17	$11,240.31	$3,401 86
APPLE INC: AAPL	168.0000	01/24/13	08/01/14	$15,973.28	$11,090.43	$4,882.85
APPLE INC· AAPL	60.0000	03/06/13	08/01/14	$5,704.74	$3,659.71	$2,045 03
APPLE INC: AAPL	2 0000	03/06/13	12/16/14	$190 66	$121 99	$68.67
APPLE INC. AAPL	141.0000	03/06/13	12/16/14	$15,228.89	$8,600.32	$6,628.57
APPLE INC: AAPL	33.0000	04/17/13	12/16/14	$3,564.21	$1,906.15	$1,658 06

G000002630712

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPLE INC: AAPL	101 0000	04/17/13	12/16/14	$10,872.65	$5,833.96	$5,038.69
Security Subtotal				**$104,118.38**	**$75,387.63**	**$28,730.75**
BANK OF NY MELLON CP NEW. BK	408.0000	12/14/12	04/22/14	$13,741.62	$10,050 64	$3,690.98
BANK OF NY MELLON CP NEW: BK	200.0000	12/18/12	04/22/14	$6,736.08	$5,192 35	$1,543 73
BANK OF NY MELLON CP NEW. BK	700.0000	04/17/13	04/22/14	$23,576.29	$18,854.72	$4,721 57
BANK OF NY MELLON CP NEW BK	73.0000	12/14/12	08/07/14	$2,859 30	$1,798 28	$1,061 02
BANK OF NY MELLON CP NEW· BK	412.0000	12/14/12	08/07/14	$16,137 41	$10,149.18	$5,988 23
Security Subtotal				**$63,050.70**	**$46,045.17**	**$17,005.53**
CHECK PT SOFTWARE TECH F CHKP	633.0000	02/28/13	03/18/14	$43,581.15	$33,454 87	$10,126 28
Security Subtotal				**$43,581.15**	**$33,454.87**	**$10,126.28**
CHEMED CORPORATION NEW. CHE	202 0000	07/19/13	08/11/14	$20,229 62	$13,995 55	$6,234.07
CHEMED CORPORATION NEW CHE	56.0000	07/19/13	08/12/14	$5,635 80	$3,879.95	$1,755 85
Security Subtotal				**$25,865.42**	**$17,875.50**	**$7,989.92**
CHUBB CORPORATION: CB	103 0000	04/17/13	05/21/14	$9,537.72	$9,015.20	$522.52

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CHUBB CORPORATION: CB	57.0000	04/17/13	12/16/14	$5,808.59	$4,989.00	$819 59
Security Subtotal				**$15,346.31**	**$14,004.20**	**$1,342.11**
CISCO SYSTEMS INC: CSCO	518.0000	04/17/13	08/01/14	$12,919.88	$10,763.74	$2,156.14
Security Subtotal				**$12,919.88**	**$10,763.74**	**$2,156.14**
COCA COLA COMPANY. KO	295.0000	12/14/12	03/18/14	$11,305.68	$11,100.32	$205.36
COCA COLA COMPANY KO	505.0000	12/14/12	04/22/14	$20,515.09	$19,002 23	$1,512 86
COCA COLA COMPANY: KO	330.0000	04/17/13	04/22/14	$13,405.90	$13,785 73	($379 83)
Security Subtotal				**$45,226.67**	**$43,888.28**	**$1,338.39**
CONVERSANT INC· CNVR	796 0000	02/20/13	09/12/14	$27,695.84	$21,662 35	$6,033 49
CONVERSANT INC· CNVR	469 0000	02/21/13	09/12/14	$16,318 28	$12,723.13	$3,595 15
CONVERSANT INC. CNVR	393 0000	02/22/13	09/12/14	$13,673.95	$10,773.79	$2,900.16
CONVERSANT INC CNVR	600.0000	04/17/13	09/12/14	$20,876 26	$17,347.12	$3,529 14
CONVERSANT INC CNVR	87.0000	05/08/13	09/12/14	$3,027 05	$2,304 73	$722.32
CONVERSANT INC CNVR	511 0000	05/08/13	09/16/14	$17,655.59	$13,537.01	$4,118.58

G000002630812

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CONVERSANT INC· CNVR	1,277.0000	08/02/13	09/18/14	$43,917.50	$26,883 89	$17,033 61
Security Subtotal				**$143,164.47**	**$105,232.02**	**$37,932.45**
DISCOVERY COMMUN SER C SERIES C: DISCK	43 0000	04/17/13	08/01/14	$3,566.23	$3,054.29	$511.94
Security Subtotal				**$3,566.23**	**$3,054.29**	**$511.94**
DISNEY WALT CO DIS	164.0000	12/14/12	08/01/14	$14,025.52	$8,015.78	$6,009.74
DISNEY WALT CO: DIS	106.0000	04/17/13	08/01/14	$9,065.28	$6,408.62	$2,656 66
Security Subtotal				**$23,090.80**	**$14,424.40**	**$8,666.40**
DOVER CORPORATION: DOV	57 0000	12/14/12	05/21/14	$4,896 70	$3,061 50	$1,835 20
DOVER CORPORATION: DOV	116.0000	12/14/12	08/01/14	$9,841 60	$6,229.64	$3,611.96
DOVER CORPORATION: DOV	154.0000	12/14/12	08/01/14	$13,065.58	$8,271.41	$4,794.17
DOVER CORPORATION. DOV	128 0000	12/14/12	09/09/14	$11,116 24	$6,874 08	$4,242 16
DOVER CORPORATION. DOV	71.0000	12/14/12	09/11/14	$6,151.99	$3,812 96	$2,339 03
Security Subtotal				**$45,072.11**	**$28,249.59**	**$16,822.52**
EBAY INC. EBAY	433.0000	12/10/13	12/22/14	$24,814 84	$22,555.41	$2,259 43

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EBAY INC: EBAY	56 0000	12/10/13	12/23/14	$3,218.06	$2,917.10	$300.96
Security Subtotal				**$28,032.90**	**$25,472.51**	**$2,560.39**
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	435.0000	04/17/13	05/22/14	$16,270.33	$12,314.00	$3,956.33
Security Subtotal				**$16,270.33**	**$12,314.00**	**$3,956.33**
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1. IHG	0.3065	04/17/13	07/02/14	$12.78	$9.40	$3.38
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	77.0000	04/17/13	07/14/14	$3,224.33	$2,361.37	$862.96
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	77 6912	12/14/12	07/15/14	$3,265.72	$2,229 20	$1,036.52
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	47 3087	04/17/13	07/15/14	$1,988.61	$1,450.82	$537.79
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	60.0000	12/14/12	07/16/14	$2,502.24	$1,721.58	$780 66
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	53.0000	12/14/12	07/17/14	$2,207.41	$1,520 73	$686 68

G000002630912

STATEMENT B

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	53.0000	12/14/12	07/18/14	$2,207 34	$1,520.73	$686 61
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	56.0000	12/14/12	07/22/14	$2,334.20	$1,606.81	$727 39
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	37.0000	12/14/12	07/23/14	$1,533.41	$1,061.64	$471.77
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1: IHG	16.0000	12/14/12	08/01/14	$617 40	$459.09	$158.31
INTERCONTL HTL NEW ADR FSPONSORED ADR 1 ADR REPS 1· IHG	52.0000	12/14/12	08/04/14	$2,054.85	$1,492 04	$562 81
Security Subtotal				**$21,948.29**	**$15,433.41**	**$6,514.88**
INTERCONTL HTL NEW ADXXXESCROW PENDING SPECIAL DIVIDEND PAY· 458999380	1,135 0000		07/14/14	$0.00	*Cost Basis was not tracked on this investment.*	
INTERCONTL HTL NEW ADXXXESCROW PENDING SPECIAL DIVIDEND PAY: 458999380	135 0000		07/14/14	$0 00	*Cost Basis was not tracked on this investment*	
Security Subtotal				**$0.00**	**$0.00**	**$0.00**
KNOWLES CORPORATION· KN	105.5000	12/14/12	03/13/14	$3,207 00	$2,234 56	$972.44

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
KNOWLES CORPORATION KN	200.0000	12/14/12	03/13/14	$6,079.61	$4,235 61	$1,844 00
Security Subtotal				**$9,286.61**	**$6,470.17**	**$2,816.44**
NASDAQ OMX GROUP INC: NDAQ	219.0000	04/17/13	12/16/14	$10,177.70	$6,222.94	$3,954.76
Security Subtotal				**$10,177.70**	**$6,222.94**	**$3,954.76**
ORACLE CORPORATION: ORCL	60.0000	12/18/12	04/29/14	$2,412.90	$1,971.30	$441.60
ORACLE CORPORATION: ORCL	100.0000	12/18/12	04/29/14	$4,021.50	$3,285.49	$736.01
ORACLE CORPORATION: ORCL	211.0000	04/17/13	04/29/14	$8,485.37	$6,890 33	$1,595 04
Security Subtotal				**$14,919.77**	**$12,147.12**	**$2,772.65**
QUALCOMM INC: QCOM	169.0000	05/21/13	10/09/14	$12,678.79	$11,138 00	$1,540 79
QUALCOMM INC QCOM	713.0000	05/21/13	10/21/14	$53,438.54	$46,990.50	$6,448 04
Security Subtotal				**$66,117.33**	**$58,128.50**	**$7,988.83**
STARWOOD HTLS & RSTS NEW HOT	102 0000	06/10/13	08/01/14	$8,036 42	$7,034.79	$1,001 63
STARWOOD HTLS & RSTS NEW. HOT	346 0000	06/10/13	09/08/14	$29,236.85	$23,863 13	$5,373.72
STARWOOD HTLS & RSTS NEW· HOT	253.0000	06/06/13	12/16/14	$19,559 76	$16,895 75	$2,664 01

2014 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STARWOOD HTLS & RSTS NEW: HOT	285.0000	06/07/13	12/16/14	$22,033.71	$19,593 01	$2,440.70
STARWOOD HTLS & RSTS NEW· HOT	34 0000	06/10/13	12/16/14	$2,628.58	$2,344.93	$283 65
Security Subtotal				**$81,495.32**	**$69,731.61**	**$11,763.71**
TESCO PLC ORD F: TSCDF	1,800.0000	12/14/12	04/22/14	$8,856.72	$10,023 30	($1,166.58)
TESCO PLC ORD F· TSCDF	1,000.0000	04/18/13	04/22/14	$4,920 40	$5,698 80	($778 40)
Security Subtotal				**$13,777.12**	**$15,722.10**	**($1,944.98)**
TIME INC: TIME	53 1250	12/14/12	06/19/14	$1,202.23	$803.67	$398 56
Security Subtotal				**$1,202.23**	**$803.67**	**$398.56**
TIME WARNER INC NEW TWX	425.0000	12/14/12	12/16/14	$34,537.15	$19,040.00	$15,497.15
Security Subtotal				**$34,537.15**	**$19,040.00**	**$15,497.15**
Total Long-Term				**$822,766.87**	**$633,865.72**[h]	**$188,901.15**[h]
Total Realized Gain or (Loss)				**$1,783,375.52**	**$1,500,198.65**[h]	**$284,583.11**[h]

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions

Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options