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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation BELLA VISTA FOUNDATION		<b>A Employer identification number</b>  27-2313963	
Number and street (or P O box number if mail is not delivered to street address) 16104 COLLETON CT		<b>B Telephone number</b> (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code BRISTOL, VA 24202		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 13,639,918		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities. . . . .	425,428	425,428	425,428	
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	348,251			
	<b>b</b> Gross sales price for all assets on line 6a 6,339,456				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . .		348,251		
	<b>8</b> Net short-term capital gain . . . . .			109,567	
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	773,679	773,679	534,995	
	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .	1,500			
	<b>b</b> Accounting fees (attach schedule) . . . . .				
	<b>c</b> Other professional fees (attach schedule) . . . . .	88,575	88,575		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . .	8,769	8,769		
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	5,639			
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	104,483	97,344		0
	<b>25</b> Contributions, gifts, grants paid . . . . .	1,169,500			1,169,500
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,273,983	97,344		1,169,500
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-500,304			
	<b>b Net investment income</b> (if negative, enter -0-)		676,335		
	<b>c Adjusted net income</b> (if negative, enter -0-)			534,995	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	6,485	1,346	1,346
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	43,225	36,479	36,479
	10a	Investments—U S and state government obligations (attach schedule)	1,506,638		
	b	Investments—corporate stock (attach schedule) . . . . .	5,048,278	<input checked="" type="checkbox"/> 3,747,446	4,376,254
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	6,828,418	<input checked="" type="checkbox"/> 9,375,596	9,225,839
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,433,044	13,160,867	13,639,918	
Liabilities	17	Accounts payable and accrued expenses . . . . .	110,000	347,000	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)	<input checked="" type="checkbox"/> 55,812	<input checked="" type="checkbox"/> 46,939	
	23	Total liabilities (add lines 17 through 22) . . . . .	165,812	393,939	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	13,267,232	12,766,928	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions) . . . . .	13,267,232	12,766,928	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	13,433,044	13,160,867	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 13,267,232
2	Enter amount from Part I, line 27a . . . . .	2 -500,304
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3 . . . . .	4 12,766,928
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6 12,766,928

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	348,251
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	}	3	109,567

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	970,754	14,795,256	0 065613
2012	811,903	15,366,991	0 052834
2011	14,651	18,209,864	0 000805
2010		2,093,776	
2009			

2	Total of line 1, column (d). . . . .	2	0 119252
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 039751
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	13,999,154
5	Multiply line 4 by line 3. . . . .	5	556,480
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	6,763
7	Add lines 5 and 6. . . . .	7	563,243
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	1,169,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	6,763
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	6,763
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	6,763
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	36,479	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	36,479
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	29,716
11		Enter the amount of line 10 to be Credited to 2015 estimated tax <input checked="" type="checkbox"/> 29,716 Refunded <input type="checkbox"/>		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ (2) On foundation managers <input checked="" type="checkbox"/> \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/>			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MARVIN GILLIAM Telephone no (276) 628-1123 Located at 16104 COLLETON COURT BRISTOL VA ZIP+4 24202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes No If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	12,166,702
b	Average of monthly cash balances. . . . .	1b	2,045,637
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	14,212,339
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	14,212,339
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	213,185
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,999,154
6	Minimum investment return. Enter 5% of line 5. . . . .	6	699,958

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	699,958
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	6,763
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	6,763
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	693,195
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	693,195
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	693,195

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	1,169,500
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,169,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	6,763
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	1,162,737

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				693,195
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only. . . . .			733,017	
b Total for prior years 20____, 20____, 20____		752,797		
3 Excess distributions carryover, if any, to 2014				
a From 2009. . . . .				
b From 2010. . . . .				
c From 2011. . . . .				
d From 2012. . . . .				
e From 2013. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,169,500				
a Applied to 2013, but not more than line 2a			733,017	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2014 distributable amount. . . . .				436,483
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		752,797		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		752,797		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				256,712
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV**

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	1,169,500
<b>b</b> Approved for future payment				
<b>Total</b> . . . . .			<b>3b</b>	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
29518 619 DOUBLE LINE TOTAL RETURN	P	2012-10-25	2014-12-01
29411 765 FPA NEW INCOME INC	P	2012-10-25	2014-12-01
35575 484 LORD ABBETT SHORT DURATION	P	2012-10-25	2014-12-01
1905 488 TEMPLETON GLBAL BOND ADV	P	2012-10-25	2014-12-01
VAROIST ST - SEE STATEMENT 1	P	2013-10-23	2014-07-21
VARIOUS LT - SEE STATEMENT 1	P	2011-10-11	2014-10-15
VARIOUS ST - SEE STATEMENT 2	P	2013-08-12	2014-02-12
VARIOUS LT - SEE STATEMENT 2	P	2013-01-22	2014-05-19
PRINCIP PMT - SEE STATEMENT 2	P	2014-02-25	2014-04-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
325,000		336,217	-11,217
300,000		312,059	-12,059
160,000		164,446	-4,446
25,000		25,598	-598
665,818		549,175	116,643
1,696,484		1,366,428	330,056
1,093,752		1,100,828	-7,076
2,055,131		2,118,183	-63,052
18,271		18,271	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11,217
			-12,059
			-4,446
			-598
			116,643
			330,056
			-7,076
			-63,052

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation


(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARVIN W GILLIAM JR	PRESIDENT 2 50	0	0	0
16104 COLLETON COURT BRISTOL,VA 24202				
DONNA STEVENS	DIRECTOR 2 50	0	0	0
23105 KESTREL DRIVE BRISTOL,VA 24202				
MARCIA A GILLIAM	VICE PRESIDE 2 50	0	0	0
16104 COLLETON COURT BRISTOL,VA 24202				
KIMBERLY GIBSON	DIRECTOR 2 50	0	0	0
P O BOX 461 NORTON,VA 24273				
RODNEY MILLER	DIRECTOR 2 50	0	0	0
212 TARA HILLS DRIVE KNOXVILLE,TN 37919				



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BARTER THEATRE 127 WEST MAIN ST ABINGDON,VA 24210	NONE	501(C)3	GENERAL OPERATING PURPOSE	240,000
MILLIGAN COLLEGE P O BOX 750 MILLIGAN COLLEGE,TN 37682	NONE	501(C)3	GENERAL OPERATING PURPOSE	10,000
WASHINGTON COUNTY PUBLIC LIBRARY FO 205 OAKHILL STREET NE ABINGDON,VA 24210	NONE	501(C)3	GENERAL OPERATING PURPOSE	10,000
SYMPHONY OF THE MOUNTAIN 1200 EAST CENTER STREET KINGSPORT,TN 37660	NONE	501(C)3	GENERAL OPERATIONAL PURPOSE	50,000
WILLIAM KING MUSEUM P O BOX 2256 ABINGDON,VA 24212	NONE	501(C)3	GENERAL OPERATIONAL PURPOSE	250,000
UNIVERSITY OF VA COLLEGE AT WISE 1 COLLEGE AVENUE WISE,VA 24293	NONE	501(C)3	GENERAL OPERATIONAL PURPOSE	250,000
HARVEST FMB CHILD CARE MINISTRIES P O BOX 259 DUFFIELD,VA 24244	NONE	501(C)3	GENERAL OPERATIONAL PURPOSE	50,000
TRINITY LIFE CENTER 9829 COEBURN - NORTON RD COEBURN,VA 24230	NONE	501(C)3	GENERAL OPERATING PURPOSES	10,000
FEEDING AMERICA SWVA 21452 GRAVEL LAKE ROAD ABINGDON,VA 24211	NONE	501(C)3	GENERAL OPERATING PURPOSES	25,000
PRO-ART P O BOX 179 WISE,VA 24293	NONE	501(C)3	GENERAL OPERATING PURPOSES	5,000
LIFELINE MINISTRIES P O BOX 337 MEADOWVIEW,VA 24361	NONE	501(C)3	GENERAL OPERATING EXPENSE	12,000
UVA-WISE MAG FOUNDATION 1 COLLEGE AVENUE WISE,VA 24293	NONE	501(C)3	SCHOLARSHIP FUNDING	50,000
UVA-WISE BJG FOUNDATION 1 COLLEGE AVENUE WISE,VA 24293	NONE	501(C)3	SCHOLARSHIP FUNDING	25,000
YWCA 400 MLK JR BLVD BRISTOL,TN 37620	NONE	501(C)3	GENERAL OPERATING EXPENSES	60,000
SALVATION ARMY P O BOX 887 BRISTOL,TN 37621	NONE	501(C)3	GENERAL OPERATING EXPENSES	10,000
<b>Total . . . . .</b>  <b>3a</b>				1,169,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ARTS IN PUBLIC PLACES P O BOX 1502 BRISTOL,VA 24203	NONR	501(C)3	GENERAL OPERATING EXPENSE	42,500
VIRGINIA HIGHLANDS FESTIVAL 335 CUMMINS STREET ABINGDON,VA 24212	NONE	501(C)3	GENERAL OPERATIONAL PURPOSE	5,000
FELLOWSHIP OF CHRISTIAN ATHLETES 8701 LEEDS ROAD KANSAS CITY,MO 64129	NONE	501(C)3	GENERAL OPERATIONAL EXPENSE	15,000
CHILDREN'S ADVOCACY CENTER P O BOX 16231 BRISTOL,VA 24209	NONE	501(C)3	GENERAL OPERATION EXPENSE	5,000
CENTRAL HIGH SCHOOL 5000 WARRIOR DR NORTON,VA 24273	NONE	501(C)3	ATHLETICS FICITITY FUND	10,000
ASH LAWN OPERA P O BOX 2498 CHARLOTTESVILLE,VA 22902	NONE	501(C)3	GENERAL OPERATIONAL EXPENSE	10,000
HEALING HANDS HEALTH CENTER 210 MEMORIAL DRIVE BRISTOL,TN 37620	NONE	501(C)3	GENERAL OPERATING EXPENSE	25,000
<b>Total . . . . .</b>  <b>3a</b>				1,169,500

TY 2014 Investments Corporate  
Stock Schedule

Name: BELLA VISTA FOUNDATION  
EIN: 27-2313963

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3500 SH BHP BILLITON LTD	292,739	165,620
3500 SH CHEVRON	331,860	392,630
1000 SH IBM		
2000 SH JOHNSON & JOHNSON		
3000 MCDONALDS CORP		
121,770 SH ALPHA NATURAL RESOURCES	13,808	203,356
3000 SH ABBOTT LABS	135,825	135,060
1000 SH APACHE CORP	88,866	62,670
1500 SH COCA COLA	61,275	63,330
3000 SH COLGATE PALMLIVE	123,362	207,570
2500 SH CONOCOPHILLIPS	145,968	172,650
2000 SH DU PONT DE NEMOURS	118,151	147,880
3000 SH EMC CORP	88,770	89,220
5000 EMERSON ELECTRIC CO	293,089	308,650
5000 SH EXXON MOBIL CORP	450,169	462,250
3000 GENERAL MILLS	121,398	159,990
5000 SH INTEL CORP	120,283	181,450
2000 KELLOGG CO	98,729	130,880
5000 SH MICROSOFT CORP	133,984	232,250
1000 SH OCCIDENTAL PATROLEUM	93,510	80,610
3000 ORECLE CORP	96,725	134,910
2000 PEPSICO INC	134,480	189,120
3000 PROCTER AND GAMBLE	194,617	273,270
3000 QUALCOMM INC	207,205	222,990
4000 UNILIVER NV	141,742	156,160
2700 VODAFONE GROUP	145,621	92,259
1500 YUM BRANDS INC	111,894	109,275
2000 SH TOTAL S A FRANCE		
2500 ALTRIA GROUP INC		
1000 NESTLE SA SPON ADR		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
500 APPLE INC		
2500 ASTRAZENECA PLC SPON ADR		
1000 CATTERPILLER		
1000 DEVON ENERGY CORP		
3500 MOLSON COORS BREWING CO		
400 CALIFORNIA RESOURCES CORP	3,376	2,204

**TY 2014 Investments - Other Schedule****Name:** BELLA VISTA FOUNDATION**EIN:** 27-2313963

<b>Category / Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
50,950 SH ALLIANZ FX INC SERIE	FMV		
56,610 SH ALLIANZ FX INC SERIE	AT COST		
3000 ENERGY SECTOR SPDR TRUST	AT COST	231,391	237,480
3500 ISHARES MSCI EMERGING MAR	AT COST	172,668	137,515
ASSET ADJUSTMENTS	AT COST	13,194	
UBS SELECT TREAS CAPITAL	AT COST	2,970,466	2,970,466
198166.214 SH DOUBLE LINE TOTAL RETU	AT COST	2,187,695	2,173,883
215733.898 FPA NEW INCOME INC	AT COST	2,236,668	2,183,227
160477.007 LORD ABBETT ST DURATION	AT COST	732,279	714,123
27717.254 SH TEMPLETON GLBAL	AT COST	366,062	343,971
UBS SELECT TRASURY - 44282	AT COST	422,574	422,575
UBS SELECT TREASURY-86086	AT COST	42,599	42,599

TY 2014 Legal Fees Schedule

**Name:** BELLA VISTA FOUNDATION

**EIN:** 27-2313963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEAL FEE	1,500			

# TY 2014 Other Expenses Schedule

**Name:** BELLA VISTA FOUNDATION

**EIN:** 27-2313963

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEE	15			
ANNUAL CORPORATE FEE	25			
INSURANCE	5,599			

TY 2014 Other Liabilities Schedule

**Name:** BELLA VISTA FOUNDATION

**EIN:** 27-2313963

Description	Beginning of Year - Book Value	End of Year - Book Value
CALLS IN 49248	55,812	46,939



TY 2014 Other Professional Fees Schedule

**Name:** BELLA VISTA FOUNDATION

**EIN:** 27-2313963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEE	88,575	88,575		

**TY 2014 Taxes Schedule****Name:** BELLA VISTA FOUNDATION**EIN:** 27-2313963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2013 FEDERAL INCOME TAX	6,746	6,746		
FOREIGN FEE PAID	320	320		
FOREIGN INCOME TAX PAID	1,703	1,703		

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
01/17/14	200.000	101,204.24 N	07/15/13	85,835.20	0.00	15,369.04	Sale Proceeds adjusted for option premium of \$4,205.93
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN							
2 tax lots for 07/18/14							
	1,000.000	62,958.61 N	10/23/13	52,147.20	0.00	10,811.41	Sale Proceeds adjusted for option premium of \$459.99
	1,500.000	106,077.66 N	10/23/13	78,220.80	0.00	27,856.86	Sale Proceeds adjusted for option premium of \$1,079.98
07/18/14	2,500.000	169,036.27	VARIOUS	130,368.00	0.00	38,668.27	Total of 2 lots
DEUTSCHE TELEKOM AG DE SPON ADR / CUSIP: 251566105 / Symbol: DTEGY							
09/12/14	6,000.000	89,358.03	07/23/14	98,650.00	0.00	-9,291.97	Sale
DEVON ENERGY CORP NEW / CUSIP: 25179M103 / Symbol: DVN							
07/18/14	1,000.000	70,648.44 N	10/16/13	62,796.20	0.00	7,852.24	Sale Proceeds adjusted for option premium of \$649.99
MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP							
2 tax lots for 10/17/14							
	1,000.000	66,478.53 N	10/21/13	53,194.57	0.00	13,283.96	Sale Proceeds adjusted for option premium of \$1,479.97
	1,000.000	66,478.53 N	10/22/13	54,316.50	0.00	12,162.03	Sale Proceeds adjusted for option premium of \$1,479.97
10/17/14	2,000.000	132,957.06	VARIOUS	107,511.07	0.00	25,445.99	Total of 2 lots
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ							
02/24/14	0.005	0.23	02/24/14	0.24	0.00	-0.01	Sale
02/26/14	15.000	691.79	02/24/14	721.73	0.00	-29.94	Sale

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ (cont'd)							
2 tax lots for 07/21/14							
	526 002	26,588.87	02/24/14	25,308.59	0.00	1,280.28	Sale
	773 998	39,124.81	02/24/14	37,240.91	0.00	1,883.90	Sale
07/21/14	1,300.000	65,713.68	VARIOUS	62,549.50	0.00	3,164.18	Total of 2 lots
	Security total:	66,405.70		63,271.47	0.00	3,134.23	
VODAFONE GROUP PLC SPON ADR / CUSIP: 92857W308 / Symbol: VOD							
02/27/14	0 273	11.23	04/11/13	14.63	0.00	-3.40	Sale
03/03/14	27 000	1,097.53	04/11/13	1,448.21	0.00	-350.68	Sale
	Security total:	1,108.76		1,462.84	0.00	-354.08	
CALL APPLE INC DUE 07/19/14 555 000 / CUSIP: / Symbol: AAPL 07/19/14 C 555 000							
03/03/14	3 000	4,481.83 N	02/28/14	0.00	0.00	4,481.83	Short sale closed- option Proceeds adjusted for option premium of -\$5,448.00
CALL APACHE CORP DUE 01/18/14 100.000 / CUSIP: / Symbol: APA 01/18/14 C 100 000							
01/21/14	10 000	509.99	01/21/14	0.00	0.00	509.99	Short sale closed- call expired
CALL APACHE CORP DUE 07/19/14 100.000 / CUSIP: / Symbol: APA 07/19/14 C 100.000							
2 tax lots for 06/19/14							
	5 000	-465.00 N	06/18/14	0.00	0.00	-465.00	Short sale closed- option Proceeds adjusted for option premium of -\$855.00
	5 000	-465.01 N	06/18/14	0.00	0.00	-465.01	Short sale closed- option Proceeds adjusted for option premium of -\$855.01
06/19/14	10 000	-930.01	VARIOUS	0.00	0.00	-930.01	Total of 2 lots
CALL BHP BILLITON LTD SP DUE 02/22/14 80 000 / CUSIP: / Symbol: BHP 02/22/14 C 80.000							
02/24/14	35.000	1,994.97	02/24/14	0.00	0.00	1,994.97	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

**Proceeds Not Reported to the IRS**

2014

(continued)

**SHORT-TERM TRANSACTIONS***NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

**Description of property**

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL BHP BILLITON LTD SP DUE 08/16/14 08/18/14	35.000	80.000 / CUSIP / Symbol BHP 2,169.96	08/16/14 C 80 000 08/18/14	0.00	0.00	2,169.96	Short sale closed- call expired
CALL CATERPILLAR INC DUE 05/17/14 03/26/14	10.000	97.500 / CUSIP / Symbol CAT -2,890.01 N	05/17/14 C 97.500 03/25/14	0.00	0.00	-2,890.01	Short sale closed- option Proceeds adjusted for option premium of -\$3,590.00
CALL CATERPILLAR INC DUE 01/17/15 08/01/14	10.000	105.000 / CUSIP / Symbol CAT 519.91 N	01/17/15 C 105.000 07/31/14	0.00	0.00	519.91	Short sale closed- option Proceeds adjusted for option premium of -\$3,500.00
CALL COLGATE PALMOLIVE C DUE 05/17/14 05/19/14	30.000	72.500 / CUSIP / Symbol CL 1,379.98	05/17/14 C 72.500 05/19/14	0.00	0.00	1,379.98	Short sale closed- call expired
CALL COLGATE PALMOLIVE C DUE 11/22/14 11/24/14	30.000	72.500 / CUSIP / Symbol CL 1,679.96	11/22/14 C 72.500 11/24/14	0.00	0.00	1,679.96	Short sale closed- call expired
CALL CONOCOPHILLIPS DUE 05/17/14 05/08/14	20.000	77.500 / CUSIP / Symbol COP 623.96 N	05/17/14 C 77.500 05/07/14	0.00	0.00	623.96	Short sale closed- option Proceeds adjusted for option premium of -\$1,776.00
CALL CHEVRON CORP DUE 02/22/14 02/24/14	25.000	130.000 / CUSIP / Symbol CVX 1,524.97	02/22/14 C 130.000 02/24/14	0.00	0.00	1,524.97	Short sale closed- call expired
CALL CHEVRON CORP DUE 09/20/14 06/05/14	25.000	125.000 / CUSIP / Symbol CVX -2,800.05 N	09/20/14 C 125.000 06/04/14	0.00	0.00	-2,800.05	Short sale closed- option Proceeds adjusted for option premium of -\$5,475.00
CALL DU PONT DE NEMOURS DUE 04/19/14 04/21/14	10.000	70.000 / CUSIP / Symbol DD 399.99	04/19/14 C 70.000 04/21/14	0.00	0.00	399.99	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL DU PONT DE NEMOURS DUE 07/19/14 06/05/14	70 000 / CUSIP / Symbol DD 07/19/14 C 70 000 10 000	-170 02 N	06/04/14	0.00	0 00	-170.02	Short sale closed- option Proceeds adjusted for option premium of -\$1,060.00
CALL DU PONT DE NEMOURS DUE 10/18/14	72 500 / CUSIP / Symbol DD 10/18/14 C 72 500						
2 tax lots for 09/30/14							
5 000		-0 01 N	09/29/14	0 00	0 00	-0 01	Short sale closed- option Proceeds adjusted for option premium of -\$465 00
5 000		-0.01 N	09/29/14	0 00	0 00	-0 01	Short sale closed- option Proceeds adjusted for option premium of -\$465 00
09/30/14	10 000	-0 02	VARIOUS	0.00	0.00	-0.02	Total of 2 lots
CALL DU PONT DE NEMOURS DUE 01/17/15	75 000 / CUSIP / Symbol DD 01/17/15 C 75 000						
2 tax lots for 12/30/14							
5 000		119 98 N	12/29/14	0 00	0 00	119.98	Short sale closed- option Proceeds adjusted for option premium of -\$495 01
5 000		119 99 N	12/29/14	0 00	0 00	119.99	Short sale closed- option Proceeds adjusted for option premium of -\$495 00
12/30/14	10.000	239 97	VARIOUS	0 00	0 00	239 97	Total of 2 lots
CALL DEVON ENERGY CORP N DUE 01/18/14	70 000 / CUSIP / Symbol DVN 01/18/14 C 70 000						
01/21/14	10 000	789 99	01/21/14	0 00	0 00	789.99	Short sale closed- call expired
CALL ISHARES MSCI EMERGI DUE 06/21/14	49 000 / CUSIP / Symbol EEM 06/21/14 C 49 000						
06/23/14	35 000	1,819 97	06/23/14	0 00	0 00	1,819 97	Short sale closed- call expired
CALL EMERSON ELECTRIC CO DUE 03/22/14	70 000 / CUSIP / Symbol EMR 03/22/14 C 70 000						
2 tax lots for 03/24/14							
10 000		1,829 97	03/24/14	0 00	0 00	1,829 97	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL EMERSON ELECTRIC CO DUE 03/22/14	70.000 / CUSIP: / Symbol: EMR 03/22/14 C 70.000 (cont'd)						
10.000		1,829.97	03/24/14	0.00	0.00	1,829.97	Short sale closed- call expired
03/24/14	20.000	3,659.94	VARIOUS	0.00	0.00	3,659.94	Total of 2 lots
CALL EMERSON ELECTRIC CO DUE 06/21/14	70.000 / CUSIP: / Symbol: EMR 06/21/14 C 70.000						
05/13/14	10.000	59.99 N	05/12/14	0.00	0.00	59.99	Short sale closed- option Proceeds adjusted for option premium of -\$420.00
CALL EMERSON ELECTRIC CO DUE 06/21/14	75.000 / CUSIP: / Symbol: EMR 06/21/14 C 75.000						
06/23/14	10.000	999.98	06/23/14	0.00	0.00	999.98	Short sale closed- call expired
CALL EMERSON ELECTRIC CO DUE 09/20/14	72.500 / CUSIP: / Symbol: EMR 09/20/14 C 72.500						
09/22/14	20.000	1,319.97	09/22/14	0.00	0.00	1,319.97	Short sale closed- call expired
CALL EMERSON ELECTRIC CO DUE 12/20/14	72.500 / CUSIP: / Symbol: EMR 12/20/14 C 72.500						
12/22/14	10.000	399.99	12/22/14	0.00	0.00	399.99	Short sale closed- call expired
CALL EMERSON ELECTRIC CO DUE 12/20/14	75.000 / CUSIP: / Symbol: EMR 12/20/14 C 75.000						
12/22/14	10.000	789.98	12/22/14	0.00	0.00	789.98	Short sale closed- call expired
CALL EMERSON ELECTRIC CO DUE 12/20/14	77.500 / CUSIP: / Symbol: EMR 12/20/14 C 77.500						
12/22/14	10.000	479.99	12/22/14	0.00	0.00	479.99	Short sale closed- call expired
CALL GENL MILLS INC DUE 01/18/14	55.000 / CUSIP: / Symbol: GIS 01/18/14 C 55.000						
01/21/14	20.000	839.99	01/21/14	0.00	0.00	839.99	Short sale closed- call expired
CALL GENL MILLS INC DUE 04/19/14	55.000 / CUSIP: / Symbol: GIS 04/19/14 C 55.000						
04/21/14	20.000	879.98	04/21/14	0.00	0.00	879.98	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL GENL MILLS INC DUE 07/19/14 07/21/14	57 500 / CUSIP 20 000	/ Symbol GIS 07/19/14 C 57 500 859 99	07/21/14	0 00	0 00	859 99	Short sale closed- call expired
CALL INTL BUSINESS MACH DUE 04/19/14 04/21/14	205.000 / CUSIP: 10.000	/ Symbol IBM 04/19/14 C 205.000 919 98	04/21/14	0.00	0.00	919.98	Short sale closed- call expired
CALL INTL BUSINESS MACH DUE 07/19/14 07/21/14	205.000 / CUSIP: 10 000	/ Symbol IBM 07/19/14 C 205 000 1,539.97	07/21/14	0.00	0.00	1,539 97	Short sale closed- call expired
CALL INTEL CORP DUE 07/19/14	27.000 / CUSIP:	/ Symbol: INTC 07/19/14 C 27.000					
	2 tax lots for 05/29/14.						
	10 000	-90 01 N	05/28/14	0 00	0 00	-90 01	Short sale closed- option Proceeds adjusted for option premium of -\$620 00
	10 000	-90 01 N	05/28/14	0 00	0 00	-90 01	Short sale closed- option Proceeds adjusted for option premium of -\$620 00
05/29/14	20 000	-180 02	VARIOUS	0 00	0.00	-180 02	Total of 2 lots
CALL JOHNSON & JOHNSON C DUE 07/19/14 07/18/14	100.000 / CUSIP 10.000	/ Symbol: JNJ 07/19/14 C 100 000 -1,120 01 N	07/17/14	0 00	0 00	-1,120 01	Short sale closed- option Proceeds adjusted for option premium of -\$1,710 00
CALL JOHNSON & JOHNSON C DUE 01/17/15 10/16/14	105 000 / CUSIP: 10 000	/ Symbol JNJ 01/17/15 C 105.000 1,299.96 N	10/15/14	0 00	0 00	1,299 96	Short sale closed- option Proceeds adjusted for option premium of -\$600 00
CALL KELLOGG CO DUE 06/21/14 05/29/14	67.500 / CUSIP: 20 000	/ Symbol K 06/21/14 C 67.500 1,420 01 N	05/28/14	0 00	0 00	-1,420.01	Short sale closed- option Proceeds adjusted for option premium of -\$2,220 00



UBS FINANCIAL SERVICES INC.

Account JG 49248

**Proceeds Not Reported to the IRS**

2014

(continued)

**SHORT-TERM TRANSACTIONS***NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

**Description of property**

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL KELLOGG CO DUE 12/20/14 12/22/14	72.500 / CUSIP: / Symbol: K 12/20/14 C 72.500 20.000	2,339.95	12/22/14	0.00	0.00	2,339.95	Short sale closed- call expired
CALL MCDONALDS CORP DUE 01/18/14 01/21/14	105.000 / CUSIP: / Symbol: MCD 01/18/14 C 105.000 30.000	1,349.98	01/21/14	0.00	0.00	1,349.98	Short sale closed- call expired
CALL MCDONALDS CORP DUE 04/19/14 04/21/14	100.000 / CUSIP: / Symbol: MCD 04/19/14 C 100.000 15.000	-60.01 N	04/17/14	0.00	0.00	-60.01	Short sale closed- option Proceeds adjusted for option premium of -\$720.00
CALL MCDONALDS CORP DUE 06/21/14	105.000 / CUSIP: / Symbol: MCD 06/21/14 C 105.000						
4 tax lots for 05/13/14							
1.000		-46.00 N	05/12/14	0.00	0.00	-46.00	Short sale closed- option Proceeds adjusted for option premium of -\$84.00
1.000		-46.00 N	05/12/14	0.00	0.00	-46.00	Short sale closed- option Proceeds adjusted for option premium of -\$84.00
1.000		-46.00 N	05/12/14	0.00	0.00	-46.00	Short sale closed- option Proceeds adjusted for option premium of -\$84.00
12.000		-540.01 N	05/12/14	0.00	0.00	-540.01	Short sale closed- option Proceeds adjusted for option premium of -\$996.00
05/13/14	15.000	-678.01	VARIOUS	0.00	0.00	-678.01	Total of 4 lots
CALL MCDONALDS CORP DUE 01/17/15	115.000 / CUSIP: / Symbol: MCD 01/17/15 C 115.000						
4 tax lots for 10/16/14							
1.000		63.60 N	10/15/14	0.00	0.00	63.60	Short sale closed- option Proceeds adjusted for option premium of -\$10.40
1.000		63.60 N	10/15/14	0.00	0.00	63.60	Short sale closed- option Proceeds adjusted for option premium of -\$10.40

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CALL MCDONALDS CORP DUE 01/17/15 1 000	115.000 / CUSIP	/ Symbol MCD 01/17/15 C 115.000 (cont'd) 63 60 N	10/15/14	0 00	0 00	63 60	Short sale closed- option Proceeds adjusted for option premium of -\$10 40
12 000		775 18 N	10/15/14	0 00	0 00	775 18	Short sale closed- option Proceeds adjusted for option premium of -\$124 80
10/16/14	15 000	965 98	VARIOUS	0 00	0.00	965 98	Total of 4 lots
CALL ALTRIA GROUP INC DUE 06/21/14 05/29/14	40 000 / CUSIP 10.000	/ Symbol. MO 06/21/14 C 40.000 -460.01 N	05/28/14	0 00	0.00	-460 01	Short sale closed- option Proceeds adjusted for option premium of -\$890 00
CALL MICROSOFT CORP DUE 04/19/14 03/03/14	38 000 / CUSIP 25 000	/ Symbol MSFT 04/19/14 C 38 000 1,475 03 N	02/28/14	0 00	0 00	1,475 03	Short sale closed- option Proceeds adjusted for option premium of -\$3,050 00
CALL MICROSOFT CORP DUE 10/18/14	43 000 / CUSIP	/ Symbol MSFT 10/18/14 C 43 000					
2 tax lots for 10/14/14 12 000		-780 01 N	10/13/14	0 00	0.00	-780 01	Short sale closed- option Proceeds adjusted for option premium of -\$1,691 99
13 000		-845 02 N	10/13/14	0 00	0 00	-845 02	Short sale closed- option Proceeds adjusted for option premium of -\$1,833 00
10/14/14	25 000	-1,625.03	VARIOUS	0 00	0 00	1,625 03	Total of 2 lots
4 tax lots for 10/15/14 6 000		-228 01 N	10/14/14	0 00	0 00	-228 01	Short sale closed- option Proceeds adjusted for option premium of -\$684 00
6 000		-228 01 N	10/14/14	0 00	0 00	-228 01	Short sale closed- option Proceeds adjusted for option premium of -\$684 00
6 000		228 01 N	10/14/14	0 00	0 00	-228 01	Short sale closed- option Proceeds adjusted for option premium of -\$684 00

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL MICROSOFT CORP DUE 10/18/14 43 000 / CUSIP: / Symbol: MSFT 10/18/14 C 43 000 (cont'd)							
	7.000	-266 01 N	10/14/14	0 00	0 00	-266 01	Short sale closed- option Proceeds adjusted for option premium of -\$798.00
10/15/14	25 000	-950 04	VARIOUS	0 00	0.00	-950.04	Total of 4 lots
Security total:		-2,575.07		0 00	0.00	-2,575.07	
CALL MICROSOFT CORP DUE 01/17/15 46.000 / CUSIP: / Symbol: MSFT 01/17/15 C 46.000							
4 tax lots for 10/28/14.							
	1 000	-108 00 N	10/27/14	0 00	0 00	-108 00	Short sale closed- option Proceeds adjusted for option premium of -\$210 00
	2.000	-144 01 N	10/27/14	0 00	0 00	-144.01	Short sale closed- option Proceeds adjusted for option premium of -\$348 00
	2 000	-144 02 N	10/27/14	0 00	0.00	-144.02	Short sale closed- option Proceeds adjusted for option premium of -\$348.02
	4.000	-432.03 N	10/27/14	0 00	0.00	-432.03	Short sale closed- option Proceeds adjusted for option premium of -\$840 02
10/28/14	9.000	-828.06	VARIOUS	0 00	0.00	-828.06	Total of 4 lots
5 tax lots for 10/28/14							
	2 000	-72 00 N	10/27/14	0 00	72 00 W	0 00	Short sale closed- option Proceeds adjusted for option premium of -\$276 00
	2.000	-72.01 N	10/27/14	0 00	72 01 W	0 00	Short sale closed- option Proceeds adjusted for option premium of -\$276 00
	2 000	-144 00 N	10/27/14	0 00	72.00 W	-72.00	Short sale closed- option Proceeds adjusted for option premium of -\$348.00
	4 000	-288 02 N	10/27/14	0 00	288 02 W	0 00	Short sale closed- option Proceeds adjusted for option premium of -\$696 01

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL MICROSOFT CORP DUE 01/17/15	46.000 / CUSIP	/ Symbol MSFT 01/17/15 C 46 000 (cont'd)					
	6 000	216 01 N	10/27/14	0 00	216 01 W	0 00	Short sale closed- option Proceeds adjusted for option premium of -\$828 00 Total of 5 lots
10/28/14	16.000	-792 04	VARIOUS	0.00	720 04 W	-72.00	
Security total:		-1,620 10		0 00	720 04 W	-900.06	
CALL ORACLE CORP DUE 06/21/14	41.000 / CUSIP	/ Symbol ORCL 06/21/14 C 41 000					
05/13/14	10 000	-1,180 01 N	05/12/14	0 00	0 00	-1,180 01	Short sale closed- option Proceeds adjusted for option premium of -\$1,630 00
CALL ORACLE CORP DUE 09/20/14	44.000 / CUSIP	/ Symbol ORCL 09/20/14 C 44 000					
09/22/14	20 000	1,019 98	09/22/14	0 00	0 00	1,019 98	Short sale closed- call expired
CALL OCCIDENTAL PETROLEU DUE 01/18/14	100 000 / CUSIP	/ Symbol OXY 01/18/14 C 100 000					
01/21/14	10.000	741 99	01/21/14	0.00	0 00	741.99	Short sale closed- call expired
CALL OCCIDENTAL PETROLEU DUE 05/17/14	100 000 / CUSIP	/ Symbol OXY 05/17/14 C 100 000					
05/19/14	10.000	749.99	05/19/14	0 00	0 00	749 99	Short sale closed- call expired
CALL OCCIDENTAL PETROLEU DUE 11/22/14	110 000 / CUSIP	/ Symbol OXY 11/22/14 C 110 000					
11/24/14	10 000	759 98	11/24/14	0 00	0 00	759 98	Short sale closed- call expired
CALL PEPSICO INC DUE 04/19/14	90 000 / CUSIP	/ Symbol PEP 04/19/14 C 90 000					
2 tax lots for 04/21/14							
	10 000	749 99	04/21/14	0 00	0 00	749 99	Short sale closed- call expired
	10 000	839 99	04/21/14	0 00	0 00	839 99	Short sale closed- call expired
04/21/14	20 000	1,589 98	VARIOUS	0 00	0 00	1,589 98	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL PROCTER & GAMBLE CO DUE 04/19/14 04/21/14	15 000	90 000 / CUSIP: / Symbol: PG 04/19/14 C 90 000 629.99	04/21/14	0 00	0.00	629.99	Short sale closed- call expired
CALL PROCTER & GAMBLE CO DUE 10/18/14 10/20/14	15.000	87.500 / CUSIP: / Symbol: PG 10/18/14 C 87.500 944.98	10/20/14	0.00	0.00	944.98	Short sale closed- call expired
CALL PROCTER & GAMBLE CO DUE 01/17/15 12/04/14	15.000	92.500 / CUSIP: / Symbol: PG 01/17/15 C 92 500 -195.02 N	12/03/14	0.00	0.00	-195 02	Short sale closed- option Proceeds adjusted for option premium of -\$1,095.00
CALL QUALCOMM INC DUE 01/18/14 01/21/14	10.000	75.000 / CUSIP: / Symbol: QCOM 01/18/14 C 75 000 669.99	01/21/14	0.00	0.00	669.99	Short sale closed- call expired
CALL QUALCOMM INC DUE 03/22/14 03/24/14	10.000	80.000 / CUSIP: / Symbol: QCOM 03/22/14 C 80.000 449.99	03/24/14	0 00	0 00	449.99	Short sale closed- call expired
CALL QUALCOMM INC DUE 04/19/14 2 tax lots for 03/27/14 10 000	10 000	80 000 / CUSIP: / Symbol: QCOM 04/19/14 C 80.000 99 98 N	03/26/14	0 00	0 00	99.98	Short sale closed- option Proceeds adjusted for option premium of -\$870 00
10 000		-210.01 N	03/26/14	0 00	0.00	-210 01	Short sale closed- option Proceeds adjusted for option premium of -\$870 00
03/27/14	20 000	-110.03	VARIOUS	0 00	0.00	-110.03	Total of 2 lots
CALL QUALCOMM INC DUE 07/19/14 03/26/14	10 000	80 000 / CUSIP: / Symbol: QCOM 07/19/14 C 80.000 -540 03 N	03/25/14	0 00	0.00	-540 03	Short sale closed- option Proceeds adjusted for option premium of -\$2,420.00
CALL QUALCOMM INC DUE 07/19/14 07/21/14	20.000	85.000 / CUSIP: / Symbol: QCOM 07/19/14 C 85.000 1,999.96	07/21/14	0.00	0.00	1,999 96	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CALL QUALCOMM INC DUE 10/18/14 10/20/14	85.000 / CUSIP: / Symbol: QCOM 10/18/14 C 85 000 20 000	1,160 97	10/20/14	0.00	0 00	1,160 97	Short sale closed- call expired
CALL QUALCOMM INC DUE 10/18/14 10/20/14	90.000 / CUSIP: / Symbol: QCOM 10/18/14 C 90.000 10 000	789 98	10/20/14	0 00	0 00	789 98	Short sale closed- call expired
CALL MOLSON COORS BREWIN DUE 04/19/14	60.000 / CUSIP: / Symbol: TAP 04/19/14 C 60 000						
	3 tax lots for 04/07/14						
	10 000	-430 02 N	04/04/14	0 00	0 00	-430 02	Short sale closed- option Proceeds adjusted for option premium of -\$1,380.00
	10 000	-620 01 N	04/04/14	0 00	0 00	-620 01	Short sale closed- option Proceeds adjusted for option premium of -\$1,380 00
	15 000	-915 02 N	04/04/14	0 00	0 00	-915 02	Short sale closed- option Proceeds adjusted for option premium of -\$2,070 00
04/07/14	35 000	-1,965.05	VARIOUS	0 00	0 00	-1,965 05	Total of 3 lots
CALL VODAFONE GROUP PLC DUE 07/19/14 07/21/14	42 000 / CUSIP: / Symbol: VOD1 07/19/14 C 42 000 10.000	449 99	07/21/14	0.00	0 00	449 99	Short sale closed- call expired
CALL VODAFONE GROUP PLC DUE 07/19/14 07/21/14	43 000 / CUSIP: / Symbol: VOD1 07/19/14 C 43 000 20 000	799.99	07/21/14	0 00	0 00	799 99	Short sale closed- call expired
CALL VODAFONE GROUP PLC DUE 07/19/14 07/21/14	45 000 / CUSIP: / Symbol: VOD1 07/19/14 C 45 000 20 000	799 99	07/21/14	0 00	0 00	799 99	Short sale closed- call expired
CALL ENERGY SECTOR SPDR DUE 01/18/14 01/21/14	90 000 / CUSIP: / Symbol: XLE 01/18/14 C 90 000 30.000	2,069.96	01/21/14	0 00	0 00	2,069 96	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL ENERGY SECTOR SPDR DUE 09/20/14 95.000 / CUSIP: / Symbol: XLE 09/20/14 C 95 000							
2 tax lots for 09/08/14							
	7 000	-571 91 N	09/05/14	0.00	0.00	-571 91	Short sale closed- option Proceeds adjusted for option premium of -\$1,414.00
	8 000	-653 62 N	09/05/14	0.00	0.00	-653 62	Short sale closed- option Proceeds adjusted for option premium of -\$1,616.00
09/08/14	15.000	-1,225.53	VARIOUS	0.00	0.00	-1,225.53	Total of 2 lots
09/22/14	15.000	1,804.47	09/22/14	0.00	0.00	1,804 47	Short sale closed- call expired
Security total:		578.94		0.00	0.00	578.94	
CALL ENERGY SECTOR SPDR DUE 12/20/14 99.000 / CUSIP: / Symbol: XLE 12/20/14 C 99.000							
12/22/14	15.000	1,004 98	12/22/14	0.00	0.00	1,004.98	Short sale closed- call expired
CALL EXXON MOBIL CORP DUE 04/19/14 95.000 / CUSIP: / Symbol: XOM 04/19/14 C 95 000							
03/18/14	15.000	885 02 N	03/17/14	0.00	0.00	-885.02	Short sale closed- option Proceeds adjusted for option premium of -\$1,815.00
CALL EXXON MOBIL CORP DUE 04/19/14 100.000 / CUSIP: / Symbol: XOM 04/19/14 C 100 000							
01/08/14	10 000	-2,740 01 N	01/07/14	0.00	0.00	-2,740.01	Short sale closed- option Proceeds adjusted for option premium of -\$3,300.00
CALL EXXON MOBIL CORP DUE 10/18/14 105.000 / CUSIP: / Symbol: XOM 10/18/14 C 105.000							
10/20/14	30.000	2,249.95	10/20/14	0.00	0.00	2,249.95	Short sale closed- call expired
CALL YUM! BRANDS INC DUE 01/18/14 85.000 / CUSIP: / Symbol: YUM 01/18/14 C 85.000							
01/21/14	15.000	1,304 98	01/21/14	0.00	0.00	1,304.98	Short sale closed- call expired
CALL YUM! BRANDS INC DUE 04/19/14 80.000 / CUSIP: / Symbol: YUM 04/19/14 C 80.000							
04/21/14	15 000	689.99	04/21/14	0.00	0.00	689.99	Short sale closed- call expired

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL YUM! BRANDS INC DUE 07/19/14 07/21/14	85 000 / CUSIP 15.000	/ Symbol: YUM 07/19/14 C 85.000 794 98	07/21/14	0.00	0 00	794 98	Short sale closed- call expired
<b>Totals:</b>		<b>665,817.55</b>		<b>549,894.78</b>	<b>720.04 W</b>	<b>116,642.81</b>	

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALTRIA GROUP INC / CUSIP 02209S103 / Symbol: MO							
2 tax lots for 12/19/14							
1,500 000		65,248 55 N	10/11/11	41 722 50	0 00	23,526 05	Sale Proceeds adjusted for option premium of \$749 98
1,000 000		43,499 04 N	04/02/13	34,857 10	0 00	8,641 94	Sale Proceeds adjusted for option premium of \$499 99
12/19/14	2,500 000	108,747 59	VARIOUS	76,579 60	0.00	32,167.99	Total of 2 lots
APPLE INC / CUSIP 037833100 / Symbol: AAPL							
2 tax lots for 10/17/14							
700 000		59,491 70 N	07/15/13	42,917 60	0 00	16,574 10	Sale Proceeds adjusted for option premium of \$1,994 97
1,400 000		118,983 39 N	07/18/13	86,720 20	0 00	32,263 19	Sale Proceeds adjusted for option premium of \$3,989 93
10/17/14	2,100 000	178,475 09	VARIOUS	129,637 80	0 00	48,837 29	Total of 2 lots
CATERPILLAR INC COMMON STOCK / CUSIP 149123101 / Symbol: CAT							
07/31/14	1,000 000	101,117 77	01/07/13	94,897 59	0.00	6,220 18	Sale



UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
COCA COLA CO COM COMMON STOCK / CUSIP 191216100 / Symbol: KO							
10/15/14	1,000.000	42,912.35	03/26/13	40,619.98	0.00	2,292.37	Sale
GENL MILLS INC COMMON STOCK / CUSIP 370334104 / Symbol: GIS							
02/19/14	1,000.000	49,372.04	04/26/11	38,439.20	0.00	10,932.84	Sale
10/15/14	2,000.000	97,223.25	08/09/11	71,250.00	0.00	25,973.25	Sale
	Security total:	146,595.29		109,689.20	0.00	36,906.09	
INTL BUSINESS MACH / CUSIP 459200101 / Symbol: IBM							
	3 tax lots for 07/31/14						
	700.000	134,319.05	12/02/10	101,890.61	0.00	32,628.44	Sale
	100.000	19,188.44	12/03/10	14,510.88	0.00	4,677.56	Sale
	200.000	38,376.87	12/06/10	29,112.00	0.00	9,264.87	Sale
07/31/14	1,000.000	191,884.36	VARIOUS	145,313.49	0.00	46,570.87	Total of 3 lots
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ							
07/18/14	1,000.000	100,587.78 N	12/06/10	62,412.40	0.00	38,175.38	Sale
							Proceeds adjusted for option premium of \$589.99
10/15/14	1,000.000	96,401.17	12/06/10	62,412.40	0.00	33,988.77	Sale
	Security total:	196,988.95		124,824.80	0.00	72,164.15	
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD							
04/17/14	1,500.000	150,656.67 N	01/09/13	136,274.86	0.00	14,381.81	Sale
							Proceeds adjusted for option premium of \$659.99
10/15/14	1,500.000	134,613.93	01/09/13	136,274.86	0.00	-1,660.93	Sale
	Security total:	285,270.60		272,549.72	0.00	12,720.88	
MOLSON COORS BREWING CO CL B / CUSIP 60871R209 / Symbol: TAP							
10/17/14	1,500.000	99,717.80 N	07/17/13	76,815.00	0.00	22,902.80	Sale
							Proceeds adjusted for option premium of \$2,219.96
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZERLAND ADR / CUSIP 641069406 / Symbol: NSRGY							
08/07/14	1,000.000	76,145.32	02/01/12	58,500.00	0.00	17,645.32	Sale

UBS FINANCIAL SERVICES INC.

Account JG 49248

## Proceeds Not Reported to the IRS

2014

(continued)

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
QUALCOMM INC COMMON STOCK / CUSIP 747525103 / Symbol QCOM							
10/20/14	1,000 000	72,687 39	02/11/13	67,045 19	0 00	5,642 20	Sale
TOTAL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol TOT							
3 tax lots for 02/21/14							
	500 000	30,249 47 N	12/02/10	24,957 12	0 00	5,292 35	Sale Proceeds adjusted for option premium of \$249 99
	200 000	12,099 79 N	12/03/10	10,227 30	0 00	1,872 49	Sale Proceeds adjusted for option premium of \$100 00
	1,300 000	78,648 63 N	12/06/10	66,713 16	0 00	11,935 47	Sale Proceeds adjusted for option premium of \$649 99
02/21/14	2,000 000	120,997 89	VARIOUS	101,897 58	0 00	19,100 31	Total of 3 lots
UNILEVER NV NY SHS NEW NETHERLANDS SPON SPON ADR / CUSIP 904784709 / Symbol UN							
10/15/14	2,000 000	74,943 74	11/01/11	68,058 00	0 00	6,885 74	Sale
	Totals:	1,696,484.14		1,366,427.95	0 00	330,056 19	

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLIANZ FIXED INCOME SHARES- SERIES C / CUSIP: 01882B205 / Symbol.							
9 tax lots for 05/19/14.							
	2,840.000	34,903.60	08/12/13	37,005.20	0.00	-2,101.60	Sale
	2,840.000	34,903.60	09/11/13	36,352.00	0.00	-1,448.40	Sale
	2,820.000	34,657.80	10/04/13	36,801.00	0.00	-2,143.20	Sale
	2,510.000	30,847.90	11/08/13	32,855.90	0.00	-2,008.00	Sale
	1,150.000	14,133.50	12/26/13	13,984.00	0.00	149.50	Sale
	1,220.000	14,993.80	01/07/14	14,871.80	0.00	122.00	Sale
	1,410.000	17,328.90	02/10/14	17,216.10	0.00	112.80	Sale
	20.000	245.80	04/08/14	244.80	0.00	1.00	Sale
	1,230.000	15,116.70	04/09/14	15,067.50	0.00	49.20	Sale
05/19/14	16,040.000	197,131.60	VARIOUS	204,398.30	0.00	-7,266.70	Total of 9 lots
ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 01882B304 / Symbol.							
8 tax lots for 05/19/14							
	3,225.000	34,862.25	08/12/13	34,636.50	0.00	225.75	Sale
	3,080.000	33,078.60	09/11/13	32,191.20	0.00	887.40	Sale
	3,350.000	36,213.50	10/04/13	36,012.50	0.00	201.00	Sale
	2,710.000	29,295.10	11/08/13	29,295.10	0.00	0.00	Sale
	1,550.000	16,755.50	12/26/13	16,352.50	0.00	403.00	Sale
	1,400.000	15,134.00	01/09/14	14,784.00	0.00	350.00	Sale
	1,510.000	16,323.10	02/10/14	16,277.80	0.00	45.30	Sale
	1,270.000	13,728.70	04/09/14	13,627.10	0.00	101.60	Sale
05/19/14	18,075.000	195,390.75	VARIOUS	193,176.70	0.00	2,214.05	Total of 8 lots
FNMA PL AL0935 04.0000 DUE 05/01/41 FACTOR 0.466514240000 / CUSIP: 3138EHBD3 / Symbol.							
05/19/14	41,000.000	21,924.47	04/09/14	21,807.90	0.00	116.57	Sale Note: 25
FNMA PL MB0221 04.0000 DUE 01/01/43 FACTOR 0.884019190000 / CUSIP: 3138MTG76 / Symbol.							
03/11/14	1,000.000	976.44	12/16/13	972.12	0.00	4.32	Sale Note: 25
FNMA PL AS1540 03.5000 DUE 01/01/44 FACTOR 0.960435250000 / CUSIP: 3138WAWA1 / Symbol.							
03/11/14	7,000.000	6,997.76	01/15/14	6,965.19	0.00	32.57	Sale Note: 25

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

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## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Less not allowed(X)	Additional information
FNMA PL AT6306 04.0000 DUE 06/01/43 FACTOR 0 942654840000 / CUSIP: 3138WJAG8 / Symbol:							
03/11/14	6,000.000	6,106.54	12/16/13	6,079.52	0.00	27.02	Sale Note: 25
FNMA PL 00AU506 04.0000 DUE 11/01/43 FACTOR 0.887799740000 / CUSIP: 3138X4T34 / Symbol:							
03/11/14	6,000.000	6,214.85	02/12/14	6,230.46	0.00	-15.61	Sale Note: 25
04/03/14	1,000.000	1,010.33	02/12/14	1,027.93	0.00	-17.60	Sale Note: 25
04/07/14	1,000.000	1,018.40	02/12/14	1,027.93	0.00	-9.53	Sale Note: 25
Security total:		8,243.58		8,286.32	0.00	-42.74	
UNITED STATES TREASURY INFLATION PROTECTION BOND RATE 2.375 % / CUSIP: 912810FR4 / Symbol:							
3 tax lots for 02/19/14.							
	6,000.000	8,755.96	12/10/13	8,619.80	0.00	136.16	Sale Note: 25 Original basis: \$8,719.39
	5,000.000	7,296.63	12/30/13	7,148.85	0.00	147.78	Sale Note: 25 Original basis: \$7,232.07
	6,000.000	8,755.96	02/11/14	8,790.73	0.00	-34.77	Sale Note: 25 Original basis: \$8,804.57
02/19/14	17,000.000	24,808.55	VARIOUS	24,559.38	0.00	249.17	Total of 3 lots
05/19/14	4,000.000	6,052.62	05/13/14	5,984.55	0.00	68.07	Sale Note: 25 Original basis: \$6,017.38
Security total:		30,861.17		30,543.93	0.00	317.24	
U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 01/15/2017 / CUSIP: 912828A91 / Symbol:							
03/11/14	1,000.000	1,000.55	02/26/14	1,002.43	0.00	-1.88	Sale Original basis: \$1,002.46
04/03/14	1,000.000	997.34	02/26/14	1,002.38	0.00	-5.04	Sale Original basis: \$1,002.46
05/19/14	51,000.000	51,139.45	02/26/14	51,115.73	0.00	23.72	Sale Original basis: \$51,125.51
Security total:		53,137.34		53,120.54	0.00	16.80	

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
U S TREASURY NOTE NOTE RATE 3.625 % MATURES 02/15/2021 / CUSIP: 912828PX2 / Symbol:							
	2 tax lots for 05/19/14.						
	62,000.000	68,478.51	04/08/14	67,570.37	0.00	908.14	Sale Original basis: \$67,657.50
	44,000.000	48,597.66	04/09/14	47,895.43	0.00	702.23	Sale Original basis: \$47,954.84
05/19/14	106,000.000	117,076.17	VARIOUS	115,465.80	0.00	1,610.37	Total of 2 lots
U S TREASURY INFL NOTE NOTE RATE 2.00 % MATURES 11/15/2021 / CUSIP: 912828RR3 / Symbol:							
03/11/14	9,000.000	8,740.55	05/21/13	9,236.35	0.00	-495.80	Sale Original basis: \$9,259.45
04/03/14	3,000.000	2,898.40	05/21/13	3,078.18	0.00	-179.78	Sale Original basis: \$3,088.49
	7 tax lots for 05/19/14.						
	58,000.000	57,381.48	05/21/13	59,487.73	0.00	-2,106.25	Sale Original basis: \$59,672.03
	2,000.000	1,978.68	06/04/13	2,017.54	0.00	-38.86	Sale Original basis: \$2,019.61
	14,000.000	13,850.70	06/11/13	14,065.70	0.00	-215.00	Sale Original basis: \$14,073.28
	13,000.000	12,861.37	08/20/13	12,476.45	0.00	384.92	Sale Original basis: \$12,476.45
	1,000.000	989.33	09/10/13	951.45	0.00	37.88	Sale Original basis: \$951.45
	5,000.000	4,948.68	09/17/13	4,791.80	0.00	156.88	Sale Original basis: \$4,791.80
	8,000.000	7,914.69	02/11/14	7,791.88	0.00	122.81	Sale Original basis: \$7,791.88
05/19/14	101,000.000	99,922.93	VARIOUS	101,582.55	0.00	-1,659.62	Total of 7 lots
	Security total:	111,561.88		113,897.08	0.00	-2,335.20	
U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:							
	6 tax lots for 05/19/14.						
	20,000.000	19,832.81	07/09/13	19,564.84	0.00	267.97	Sale Original basis: \$19,564.84
	11,000.000	10,908.05	08/20/13	10,760.23	0.00	147.82	Sale Original basis: \$10,760.23

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G) / Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TWD / Symbol: (cont'd)							
	2,000.000	1,983.28	08/27/13	1,956.17	0.00	27.11	Sale Original basis: \$1,956.17
	11,000.000	10,908.05	09/17/13	10,748.63	0.00	159.42	Sale Original basis: \$10,748.63
	13,000.000	12,891.32	10/08/13	12,793.83	0.00	97.49	Sale Original basis: \$12,793.83
	11,000.000	10,908.05	12/30/13	10,811.37	0.00	96.68	Sale Original basis: \$10,811.37
05/19/14	68,000.000	67,431.56	VARIOUS	66,635.07	0.00	796.49	Total of 6 lots
U S TREASURY INFL NOTE NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol:							
5 tax lots for 05/19/14.							
	18,000.000	17,413.59	08/13/13	17,109.14	0.00	304.45	Sale Original basis: \$17,109.14
	1,000.000	967.42	08/20/13	944.92	0.00	22.50	Sale Original basis: \$944.92
	1,000.000	967.42	09/10/13	937.73	0.00	29.69	Sale Original basis: \$937.73
	8,000.000	7,739.38	09/17/13	7,558.75	0.00	180.63	Sale Original basis: \$7,558.75
	11,000.000	10,641.64	02/11/14	10,544.53	0.00	97.11	Sale Original basis: \$10,544.53
05/19/14	39,000.000	37,729.45	VARIOUS	37,095.07	0.00	634.38	Total of 5 lots
U S TREASURY INFL NOTE NOTE RATE 2.00 % MATURES 02/15/2023 / CUSIP: 912828UN8 / Symbol:							
3 tax lots for 02/10/14.							
	72,000.000	68,647.50	04/02/13	72,807.31	0.00	-4,159.81	Sale Original basis: \$72,877.50
	14,000.000	13,348.12	07/09/13	13,307.11	0.00	41.01	Sale Original basis: \$13,307.11
	9,000.000	8,580.94	08/20/13	8,402.70	0.00	178.24	Sale Original basis: \$8,402.70
02/10/14	95,000.000	90,576.56	VARIOUS	94,517.12	0.00	-3,940.56	Total of 3 lots
U S TREASURY INFL NOTE NOTE RATE 1.00 % MATURES 05/31/2018 / CUSIP: 912828VE7 / Symbol:							
03/11/14	12,000.000	11,827.50	02/12/14	11,829.38	0.00	-1.88	Sale Original basis: \$11,829.38

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)Gross (N)Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
U S TREASURY INFL NOTE NOTE RATE 1.00 % MATURES 05/31/2018 / CUSIP: 912828VE7 / Symbol: (cont'd)							
04/03/14	3,000.000	2,939.77	02/12/14	2,957.34	0.00	-17.57	Sale Original basis: \$2,957.34
05/19/14	135,000.000	133,839.84	02/12/14	133,080.47	0.00	759.37	Sale Original basis: \$133,080.47
Security total:		148,607.11		147,867.19	0.00	739.92	
Totals:		1,093,752.38		1,100,827.85	0.00	-7,075.47	

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)Gross (N)Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 01882B205 / Symbol:							
03/11/14	4,970.000	80,832.80	04/11/11	84,311.80	0.00	-3,479.00	Sale
04/03/14	1,100.000	13,431.00	04/11/11	14,234.00	0.00	-803.00	Sale
5 tax lots for 05/19/14.							
	12,040.000	147,971.60	04/11/11	155,797.60	0.00	-7,826.00	Sale
	10,035.000	123,330.15	06/09/11	132,963.75	0.00	-9,633.60	Sale
	3,520.000	43,260.80	08/16/11	45,865.60	0.00	-2,604.80	Sale
	5,060.000	62,187.40	10/04/11	61,529.60	0.00	657.80	Sale
	2,065.000	25,378.85	12/28/12	27,856.85	0.00	-2,478.00	Sale
05/19/14	32,720.000	402,126.80	VARIOUS	424,013.40	0.00	-21,884.60	Total of 5 lots
Security total:		476,392.60		502,559.20	0.00	-26,166.60	
ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 01882B304 / Symbol:							
2 tax lots for 03/11/14							
	240.000	2,577.60	02/18/11	2,482.40	0.00	115.20	Sale
	5,180.000	55,633.20	04/11/11	53,613.00	0.00	2,020.20	Sale
03/11/14	5,420.000	58,210.80	VARIOUS	56,075.40	0.00	2,135.40	Total of 2 lots
04/03/14	1,230.000	13,062.60	04/11/11	12,730.50	0.00	332.10	Sale
4 tax lots for 05/19/14.							
	19,450.000	210,254.50	04/11/11	201,307.50	0.00	8,947.00	Sale

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)Gross (N)Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 01882B304 / Symbol: (cont'd)							
	11,575.000	125,125.75	08/09/11	123,273.75	0.00	1,852.00	Sale
	305.000	3,297.05	01/12/12	3,208.60	0.00	88.45	Sale
	4,735.000	51,185.35	03/19/12	50,048.95	0.00	1,136.40	Sale
05/19/14	36,065.000	389,862.65	VARIOUS	377,838.80	0.00	12,023.85	Total of 4 lots
Security total:		461,136.05		446,644.70	0.00	14,491.35	
FNMA PL 190370 06.0000 DUE 06/01/36 FACTOR 0.076658330000 / CUSIP: 31368HMT7 / Symbol:							
05/19/14	245,000.000	24,302.63	01/28/11	24,435.36	0.00	-132.73	Sale Note: 25
FNMA PL AH3645 04.0000 DUE 02/01/41 FACTOR 0.429775870000 / CUSIP: 3138A5BP6 / Symbol:							
04/07/14	68,000.000	33,468.73	04/09/12	33,855.53	0.00	-387.80	Sale Note: 25
05/19/14	281,000.000	127,972.34	04/09/12	128,580.43	0.00	-608.09	Sale Note: 25
Security total:		161,441.07		162,436.96	0.00	-995.89	
FNMA PL AI1989 04.5000 DUE 05/01/41 FACTOR 0.533253200000 / CUSIP: 3138AFFK1 / Symbol:							
03/11/14	1,000.000	632.37	05/10/11	613.83	0.00	18.54	Sale Note: 25
04/03/14	2,000.000	1,243.08	05/10/11	1,210.46	0.00	32.62	Sale Note: 25
05/19/14	123,000.000	76,070.83	05/10/11	73,450.21	0.00	2,620.62	Sale Note: 25
Security total:		77,946.28		75,274.50	0.00	2,671.78	
FNMA PL AL2629 05.0000 DUE 06/01/39 FACTOR 0.407700960000 / CUSIP: 3138EJ4P0 / Symbol:							
05/19/14	46,000.000	23,887.97	03/18/13	23,938.03	0.00	-50.06	Sale Note: 25
FNMA PL AP7488 03.5000 DUE 09/01/42 FACTOR 0.795595930000 / CUSIP: 3138MBKA3 / Symbol:							
03/11/14	29,000.000	24,882.82	10/05/12	25,496.88	0.00	-1,614.06	Sale Note: 25
04/03/14	2,000.000	1,899.03	10/05/12	1,816.75	0.00	-117.72	Sale Note: 25
05/19/14	120,000.000	103,473.13	10/05/12	108,545.11	0.00	-5,071.98	Sale Note: 25



UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
Security total:		130,054.98		136,858.74	0.00	-6,803.76	
FNMA PL AB7079 03 0000 DUE 11/01/42 FACTOR 0.892459040000 / CUSIP: 31417D2M4 / Symbol:							
02/07/14	94,000.000	86,097.95	11/07/12	93,282.00	0.00	-7,184.05	Sale Note: 25
FNMA PL AD8033 04 0000 DUE 08/01/40 FACTOR 0.345777180000 / CUSIP: 31418V4T6 / Symbol:							
03/11/14	1,000.000	408.81	08/07/12	417.97	0.00	-11.16	Sale Note: 25
04/07/14	23,000.000	9,273.61	08/07/12	9,498.92	0.00	-225.31	Sale Note: 25
Security total:		9,680.42		9,916.89	0.00	-236.47	
U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP: 912828TE0 / Symbol:							
02/19/14	30,000.000	29,866.69	07/31/12	32,960.08	0.00	-3,093.39	Sale Note: 25 Original basis: \$32,502.79
03/11/14	24,000.000	23,977.51	07/31/12	26,399.03	0.00	-2,421.52	Sale Note: 25 Original basis: \$26,002.24
04/03/14	2,000.000	1,985.53	07/31/12	2,205.99	0.00	-220.46	Sale Note: 25 Original basis: \$2,166.85
3 tax lots for 05/19/14.							
	29,000.000	29,752.29	07/31/12	32,217.29	0.00	-2,465.00	Sale Note: 25 Original basis: \$31,419.37
	105,000.000	107,723.80	11/28/12	117,559.18	0.00	-9,835.38	Sale Note: 25 Original basis: \$115,447.63
	144,000.000	147,735.49	12/07/12	162,842.36	0.00	-15,106.87	Sale Note: 25 Original basis: \$159,959.53
05/19/14	278,000.000	285,211.58	VARIOUS	312,618.83	0.00	-27,407.25	Total of 3 lots
Security total:		341,041.31		374,183.93	0.00	-33,142.62	

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:							
03/11/14	12,000.000	11,845.78	12/13/12	12,036.48	0.00	-190.70	Sale Original basis: \$12,048.75
04/03/14	4,000.000	3,930.63	12/13/12	4,011.95	0.00	-81.32	Sale Original basis: \$4,016.25
04/08/14	111,000.000	109,381.02	12/13/12	111,330.38	0.00	-1,969.36	Sale Original basis: \$111,450.94
05/19/14	33,000.000	32,724.14	12/13/12	33,095.15	0.00	-371.01	Sale Original basis: \$33,134.08
Security total:		157,861.57		160,473.96	0.00	-2,612.39	
U S TREASURY INFL NOTE NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol:							
03/11/14	11,000.000	10,515.31	01/09/13	10,901.17	0.00	-385.86	Sale Original basis: \$10,901.17
04/03/14	2,000.000	1,900.16	01/09/13	1,982.04	0.00	-81.88	Sale Original basis: \$1,982.04
3 tax lots for 05/19/14.							
	24,000.000	23,218.12	01/09/13	23,784.37	0.00	-566.25	Sale Original basis: \$23,784.37
	1,000.000	987.43	01/15/13	993.52	0.00	-26.09	Sale Original basis: \$993.52
	71,000.000	68,686.95	01/22/13	70,517.42	0.00	-1,830.47	Sale Original basis: \$70,517.42
05/19/14	96,000.000	92,872.50	VARIOUS	95,295.31	0.00	-2,422.81	Total of 3 lots
Security total:		105,287.97		108,178.52	0.00	-2,890.55	
Totals:		2,065,130.80		2,118,182.79	0.00	-63,051.99	

UBS FINANCIAL SERVICES INC.

Account JG 49619

## Proceeds Not Reported to the IRS

2014

(continued)

## UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

## Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL 190370 06.0000 DUE 06/01/36 FACTOR 0.076658330000 / CUSIP: 31368HMT7 / Symbol:							
02/25/14	0.000	979.50	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	819.79	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	588.34	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000	539.94	N/A	0.00	0.00	0.00	Principal payment
Security total:		2,927.57		0.00	0.00	0.00	
FNMA PL AH3645 04.0000 DUE 02/01/41 FACTOR 0.429775870000 / CUSIP: 3138A5BP6 / Symbol:							
02/25/14	0.000	1,253.11	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	1,889.89	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	1,251.99	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000	1,294.85	N/A	0.00	0.00	0.00	Principal payment
Security total:		5,689.84		0.00	0.00	0.00	
FNMA PL AI1969 04.5000 DUE 05/01/41 FACTOR 0.533253200000 / CUSIP: 3138AFFK1 / Symbol:							
02/25/14	0.000	951.78	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	843.33	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	1,053.46	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000	958.97	N/A	0.00	0.00	0.00	Principal payment
Security total:		3,605.54		0.00	0.00	0.00	
FNMA PL AL0935 04.0000 DUE 05/01/41 FACTOR 0.466514240000 / CUSIP: 3138EHBD3 / Symbol:							
05/25/14	0.000	238.02	N/A	0.00	0.00	0.00	Principal payment
FNMA PL AL2629 05.0000 DUE 06/01/39 FACTOR 0.407700960000 / CUSIP: 3138EJ4P0 / Symbol:							
02/25/14	0.000	558.64	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	418.11	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	529.12	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000	497.59	N/A	0.00	0.00	0.00	Principal payment
Security total:		2,003.46		0.00	0.00	0.00	
FNMA PL AP7488 03 5000 DUE 09/01/42 FACTOR 0.795595930000 / CUSIP: 3138MBKA3 / Symbol:							
02/25/14	0.000	786.17	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	969.62	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	614.75	N/A	0.00	0.00	0.00	Principal payment
05/25/14	0.000	428.52	N/A	0.00	0.00	0.00	Principal payment
Security total:		2,799.06		0.00	0.00	0.00	

UBS FINANCIAL SERVICES INC.

Account JG 49619

**Proceeds Not Reported to the IRS**

2014

(continued)

**UNDETERMINED-TERM TRANSACTIONS***NOT reported on Form 1099-B*

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked

**Description of property**

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL MB0221 04.0000 DUE 01/01/43 FACTOR 0.884019190000 / CUSIP: 3138MTG76 / Symbol:							
02/25/14	0.000	44.67	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	1.39	N/A	0.00	0.00	0.00	Principal payment
Security total:		46.06		0.00	0.00	0.00	
FNMA PL AS1540 03.5000 DUE 01/01/44 FACTOR 0.960435250000 / CUSIP: 3138WAWA1 / Symbol:							
02/25/14	0.000	11.26	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	29.43	N/A	0.00	0.00	0.00	Principal payment
Security total:		40.69		0.00	0.00	0.00	
FNMA PL AT6306 04.0000 DUE 06/01/43 FACTOR 0.942654840000 / CUSIP: 3138WUAG8 / Symbol:							
02/25/14	0.000	13.64	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	37.75	N/A	0.00	0.00	0.00	Principal payment
Security total:		51.39		0.00	0.00	0.00	
FNMA PL 00AU506 04.0000 DUE 11/01/43 FACTOR 0.887789740000 / CUSIP: 3138X4T34 / Symbol:							
03/25/14	0.000	10.86	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	40.14	N/A	0.00	0.00	0.00	Principal payment
Security total:		51.00		0.00	0.00	0.00	
FNMA PL AB7079 03.0000 DUE 11/01/42 FACTOR 0.892459040000 / CUSIP: 31417D2M4 / Symbol:							
02/25/14	0.000	481.90	N/A	0.00	0.00	0.00	Principal payment
FNMA PL AD8033 04.0000 DUE 08/01/40 FACTOR 0.345777180000 / CUSIP: 31418V4T6 / Symbol:							
02/25/14	0.000	107.66	N/A	0.00	0.00	0.00	Principal payment
03/25/14	0.000	122.39	N/A	0.00	0.00	0.00	Principal payment
04/25/14	0.000	106.90	N/A	0.00	0.00	0.00	Principal payment
Security total:		336.95		0.00	0.00	0.00	
Totals:		18,271.48		0.00	0.00	0.00	