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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation Mize Family Foundation		A Employer identification number 27-1374636	
% ANNE B MIZE		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) Room/suite USPS 112 1463 E REPUBLICAN ST 132 Suite			
City or town, state or province, country, and ZIP or foreign postal code Seattle, WA 98112		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 634,737		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	227,500			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,550	6,550		
	4 Dividends and interest from securities.	13,469	13,469		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	29,916			
	b Gross sales price for all assets on line 6a 275,319				
	7 Capital gain net income (from Part IV , line 2) . . .		29,916		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	277,435	49,935		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	275	138		137
	b Accounting fees (attach schedule)	1,500	750		750
	c Other professional fees (attach schedule)	8,848	8,848		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	490	490		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,695	1,348		1,347
	22 Printing and publications				
	23 Other expenses (attach schedule)	7,557	3,779		3,778
	24 Total operating and administrative expenses. Add lines 13 through 23	21,365	15,353		6,012
	25 Contributions, gifts, grants paid	251,000			251,000
	26 Total expenses and disbursements. Add lines 24 and 25	272,365	15,353		257,012
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	5,070			
	b Net investment income (if negative, enter -0-)		34,582		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	112,189	43,681	43,681
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	515,114	591,056	591,056
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	627,303	634,737	634,737	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	627,303	634,737	
	30	Total net assets or fund balances (see instructions)	627,303	634,737	
31	Total liabilities and net assets/fund balances (see instructions)	627,303	634,737		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	627,303
2	Enter amount from Part I, line 27a	2	5,070
3	Other increases not included in line 2 (itemize) ▶ _____	3	2,364
4	Add lines 1, 2, and 3	4	634,737
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	634,737

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	UBS ST SEE STMT A			2014-12-31
b	UBS LT SEE STMT A			2014-12-31
c	CAPITAL GAIN DIVIDENDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 95,458		88,988	6,470
b 179,791		156,415	23,376
c			70
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			6,470
b			23,376
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	29,916
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	239,119	638,583	0 374452
2012	167,203	573,201	0 2917
2011	79,883	541,584	0 147499
2010	69,709	494,922	0 140848
2009	967	17,960	0 053842

2	Total of line 1, column (d).	2	1 008341
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 201668
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	637,249
5	Multiply line 4 by line 3.	5	128,513
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	346
7	Add lines 5 and 6.	7	128,859
8	Enter qualifying distributions from Part XII, line 4.	8	257,012

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	346
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	346
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	346
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	603
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	603
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid <input type="checkbox"/>	10	257
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 257 Refunded <input type="checkbox"/>	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <input type="checkbox"/>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. <input type="checkbox"/>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> WA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation <input type="checkbox"/>	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. <input type="checkbox"/>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of ANNE B MIZE Telephone no Located at 1815 11TH AVE E Seattle WA ZIP+4 98102			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

**1NOTE THE ONLY ACTIVITY OF THE FOUNDATION WILL BE DONATING TO VARIOUS ORGANIZATIONS
1 THAT WERE SELECTED BY THE DIRECTORS**

2THERE WERE NO DIRECT EXPENSES INCURRED IN MAKING THESE DONATIONS

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	593,210
b	Average of monthly cash balances.	1b	53,743
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	646,953
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	646,953
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,704
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	637,249
6	Minimum investment return. Enter 5% of line 5.	6	31,862

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	31,862
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	346
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	346
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	31,516
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	31,516
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	31,516

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	257,012
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	257,012
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	346
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	256,666

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				31,516
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.				965
b From 2010.				45,151
c From 2011.				52,864
d From 2012.				140,461
e From 2013.				207,904
f Total of lines 3a through e.	447,345			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 257,012				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				31,516
e Remaining amount distributed out of corpus	225,496			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	672,841			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	965			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	671,876			
10 Analysis of line 9				
a Excess from 2010. . . .				45,151
b Excess from 2011. . . .				52,864
c Excess from 2012. . . .				140,461
d Excess from 2013. . . .				207,904
e Excess from 2014. . . .				225,496

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ANNE B MIZE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2014)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	251,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2015-05-06	*****	May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name Erin S Morgan	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00710515
	Firm's name <input checked="" type="checkbox"/> JMW & ASSOCIATES LLC			Firm's EIN <input checked="" type="checkbox"/>	
	Firm's address <input checked="" type="checkbox"/> 6400 Glenwood Suite 100 Overland Park, KS 66202			Phone no (646) 306-7290	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE B MIZE	PRESIDENT 1 0	0	0	0
1815 11th Ave E SEATTLE,WA 98102				
NANETTE FOK	BOARD MEMBER 1 0	0	0	0
1017 MINOR AVE 1203 SEATTLE,WA 98104				
BILL MITCHELL	BOARD MEMBER 1 0	0	0	0
15075 108TH AVE SW VASHON ISLAND,WA 98070				
SAVITHA REDDY PATHI	BOARD MEMBER 1 0	0	0	0
1402 THIRD AVE SUITE 1305 Seattle,WA 98101				
KATRIN WILDE	BOARD MEMBER 1 0	0	0	0
603 STEWART ST SUITE 415 SEATTLE,WA 98101				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Grist 710 Second Avenue Suite 860 Seattle, WA 98104	No Relationship	PC	Provides intellegent, irreverent environmental news and commentary	15,000
AKILI DADA C/O ONE WORLD CHILDRENS FUND 1016 LINCOLN BOULEVARD SAN FRANCISCO, CA 94129	No Relationship	PC	A leadership incubator investing in African women from underpriviledged backgrounds	15,000
Sightline INSTITUTE 1402 Third Ave Suite 500 Seattle, WA 98101	No Relationship	PC	To make the Northwest global model of sustainability - strong communities, a green economy, and a healthy environment	15,000
350org 20 Jay Street Suite 1010 Brooklyn, NY 11201	No Relationship	PC	Building a global climate movement	15,000
Environmental Law Alliance Worldwide 1877 Garden Avenue Eugene, OR 97403	No Relationship	PC	Helps communities speak out for clean air, clean water, and a healthy planet	20,000
Global Press Institute 350 RHODE ISLAND SUITE 240 San Francisco, CA 94103	No Relationship	PC	TO Educate, EMPLOY AND EMPOWER women in the developing world to produce high-quality local news COVERAGE TO ELEVATE GLOBAL AWARENESS AND IGNITE SOCIAL CHANGE	20,000
Center for Diversity & the Environment PO Box 10598 Portland, OR 97296	No Relationship	PC	TO Racially and ethnically diversify the U S environmental movement BY DEVELOPING LEADERS, DIVERSIFYING INSTITUTIONS, AND BUILDING COMMUNITIES	15,000
New America Media 209 Ninth Street San Francisco, CA 94103	No Relationship	PC	To bring the voices of ethnic minorities, immigrants, young people, AND elderly, into the national discourse	15,000
RESOURCE MEDIA A NONPROFIT CORPORATION 101 Montgomery St Ste 2600 SAN FRANCISCO, CA 94104	NO RELATIONSHIP	PC	TO Develop and execute communications strategies FOR THE ENVIRONMENT AND PUBLIC HEALTH PARTNERS TO SUCCEED IN A DYNAMIC MEDIA LANDSCAPE	15,000
URGENT ACTION FUND FOR WOMEN'S HUMAN RIGHTS 660 13TH STREET SUITE 200 OAKLAND, CA 94612	NO RELATIONSHIP	PC	Provide grants AND resources to women's organizations and activists in Africa	15,000
WASHINGTON ENVIRONMENTAL COUNCIL-POWER PAST COAL 1402 3RD AVENUE SUITE 1400 SEATTLE, WA 98101	NO RELATIONSHIP	PC	To stop coal export off the West Coast	15,000
GLOBAL GREENGRANTS FUND INC 2840 WILDERNESS PLACE SUITE A BOULDER, CO 80301	NO RELATIONSHIP	PC	TO Mobilize resources for global environmental sustainability and social justice	15,000
KUOW-PUGET SOUND PUBLIC RADIO 4518 UNIVERSITY WAY NE SUITE 310 SEATTLE, WA 98105	NO RELATIONSHIP	PC	to create a more informed public, one challenged and invigorated by a deeper understanding and appreciation of events, ideas and cultures	15,000
GLOBAL FUND FOR WOMEN INC 222 Sutter Street Suite 500 San Francisco, CA 94108	NO RELATIONSHIP	PC	TO INVEST IN WOMEN-LED ORGANIZATIONS AND WOMEN'S COLLECTIVE LEADERSHIP FOR CHANGE	15,000
GRASSROOTS INTERNATIONAL 179 Boylston Street 4th floor BOSTON, MA 02130	NO RELATIONSHIP	PC	to create a just and sustainable world by advancing the human rights to land, water, and food through global grantmaking, building solidarity across organizations and movements, and advocacy in the US	15,000
Total  3a				251,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACT FOR CONGO INC 23512 Lake Fontal Rd MONROE,WA 98272	no relationship	PC	FOR TECHNICAL ASSISTANCE	3,000
CENTER FOR DIVERSITY AND THE ENVIRONMENT 10233 Nw Langworthy Ter PORTLAND,OR 97229	NO RELATIONSHIP	PC	FOR TECHNICAL ASSISTANCE	3,000
LAND IS LIFE 33 Nassau Avenue 2nd Floor Unit 87 BROOKLYN,NY 11222	NO RELATIONSHIP	PC	To promote and protect the rights of indigenous peoples around the world	10,000
Total			3a	251,000

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2014</div>
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<div>Name of the organization</div> <div>Mize Family Foundation</div>	<div>Employer identification number</div> <div>27-1374636</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number
27-1374636

Part I

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization Mize Family Foundation	Employer identification number 27-1374636
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____

Name of organization Mize Family Foundation	Employer identification number 27-1374636
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

[illegible]

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**TY 2014 All Other Program
Related Investments Schedule**

Name: Mize Family Foundation
EIN: 27-1374636

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: Mize Family Foundation

EIN: 27-1374636

TY 2014 Explanation of Non-Filing with Attorney General Statement

Name: Mize Family Foundation

EIN: 27-1374636

Statement: A COPY OF FORM 990-PF IS FURNISHED TO THE SECRETARY OF
STATE, AS REQUIRED.

TY 2014 Investments - Other Schedule

Name: Mize Family Foundation

EIN: 27-1374636

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
UBS		591,056	591,056

TY 2014 Other Expenses Schedule**Name:** Mize Family Foundation**EIN:** 27-1374636

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATION EXPENSE	6,496	3,248		3,248
OFFICE EXPENSE	989	495		494
MEALS & ENTERTAINMENT	72	36		36

TY 2014 Other Increases Schedule

Name: Mize Family Foundation

EIN: 27-1374636

Description	Amount
MARKET ADJUSTMENT	2,364

TY 2014 Substantial Contributors Schedule

Name: Mize Family Foundation

EIN: 27-1374636

Name	Address
ANNE B MIZE	1815 11TH AVE E SEATTLE, WA 98102

TY 2014 Taxes Schedule

Name: Mize Family Foundation

EIN: 27-1374636

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	490	490		

UBS FINANCIAL SERVICES INC

Account W/ 38249

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AT&T INC / CUSIP 0603001002 / Symbol: A	10,000	135.00	11/19/13	530.50	0.00	2.80	Sale
AMERICA MOVA S A B DE L V SEFI L SP 3W ADR / CUSIP 0216471005 / Symbol: AMV	20,000	113.14	11/19/13	442.50	0.00	29.31	Sale
ARACHE CORP / CUSIP 0374111005 / Symbol: APA	2 lots for 11/05/14	176.14	11/19/13	465.03	0.00	88.85	Sale
	5,000	3,009.45	09/22/14	3,764.80	0.00	775.35	Sale
	45,000	5,985.63	VARIOUS	4,249.83	0.00	814.20	Total of 2 lots
APPLIED MATERIALS INC COMMON STOCK / CUSIP 0382221005 / Symbol: AAPL	60,000	1,170.00	11/19/12	1,009.80	0.00	360.24	Sale
BC GROUP PLC SPON ADR / CUSIP 0654042003 / Symbol: BRGY	10,000	1,520.52	11/19/13	1,824.30	0.00	303.78	Sale
BERKSHIRE HATHAWAY INC NF VCL D / CUSIP 0846707002 / Symbol: BRKB	10,000	1,285.77	11/19/13	1,157.10	0.00	128.67	Sale
BRITISH SKY BROADCASTING GROUP PLC SPONSORED / CUSIP 1110131003 / Symbol: BSKY	15,000	914.55	10/15/13	843.15	0.00	71.83	Sale
BROADCOM CORP CL A / CUSIP 0113201010 / Symbol: BRCM	30,000	1,124.88	11/19/13	780.90	0.00	347.98	Sale
CAMERON INTERNATIONAL CORP / CUSIP 1734251005 / Symbol: CAM	2 lots for 10/14/14	825.45	10/15/13	957.41	0.00	131.46	Sale
	15,000	1,101.74	11/19/13	1,052.80	0.00	48.94	Sale
	25,000	1,027.55	VARIOUS	2,058.21	0.00	1,030.66	Total of 2 lots
CHEVRON CORP / CUSIP 0627841000 / Symbol: CVX	5,000	558.44	10/15/13	541.55	0.00	24.91	Sale

UBS FINANCIAL SERVICES INC.

Account No 38349

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B					
Description of property		Quantity	Proceeds	Date	Cost or	Adjustments &	Gain or loss (-) &
Date sold or	disposed		Shown (gross) (Net)	acquired	other basis	Code(s), if any	7 - Loss not allowed (%)
(1) (2) (3) (4) (5) (6) (7) (8)							
2 tabs only for 10/1/14							
10/13/14	10/13/14	10,000	60,755	10/15/13	545.73	0.00	Sale
		20,000	1,215.30	11/15/13	1,000.40	0.00	Sale
		30,000	1,822.90	VARIOUS	1,607.13	0.00	Total of 2 lots
07/08/14	07/08/14	5,000	215.85	10/15/13	185.45	0.00	Sale
07/08/14	07/08/14	30,000	1,110.82	11/15/13	335.00	0.00	Sale
10/13/14	10/13/14	10,000	2,032.05	07/08/14	2,570.00	0.00	Sale
07/08/14	07/08/14	10,000	871.48	11/19/13	696.62	0.00	Sale
10/14/14	10/14/14	10,000	388.94	11/19/13	195.63	0.00	Sale
	Security total		1,859.96		1,987.25	0.00	466.71
07/08/14	07/08/14	10,000	462.76	10/15/13	656.14	0.00	Sale
09/22/14	09/22/14	20,000	1,086.05	10/15/13	963.18	0.00	Sale
07/08/14	07/08/14	10,000	1,729.46	11/19/13	317.60	0.00	Sale
07/08/14	07/08/14	10,000	543.32	10/15/13	341.70	0.00	Sale
11/15/14	11/15/14	40,000	3,385.67	12/12/14	3,387.00	0.00	Sale
	Security total		4,240.69		4,221.60	0.00	19.09
07/08/14	07/08/14	10,000	304.59	11/19/13	464.17	0.00	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B									
SHORT-TERM CRANNACTIONS									
Description of property									
Date sold or disposed	Quantity	Proceeds Shown (Gross, Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-)	7- Loss not allowed(X)	Additional information	
01/05/14	5,000	146.01	11/19/13	224.19	0.00	-28.18		Sale	
2 tax lots for 02/21/14									
	10,000	294.76	11/19/13	445.34	0.00	150.58		Sale	
10/21/14	40,000	1,179.06	02/12/14	1,134.52	0.00	-260.46		Sale	
	50,000	1,473.82	VARIOUS	1,882.86	0.00	-411.04		Total of 2 lots	
See above table									
		1,669.23		2,104.66	0.00	-435.72			
NATIONAL GRID PLC NEW SPON ADR / CUSIP 636274390 / Symbol NGRS									
2 tax lots for 09/22/14									
	15,000	1,022.13	12/15/13	301.45	0.00	190.68		Sale	
09/22/14	10,000	728.28	11/19/13	621.70	0.00	106.58		Sale	
	25,000	1,820.21	VARIOUS	1,529.15	0.00	291.06		Total of 2 lots	
NEXTERA ENERGY INC COM / CUSIP 65333F101 / Symbol NEE									
09/22/14	15,000	1,420.62	10/15/13	1,204.80	0.00	215.82		Sale	
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE TRADED FUND / CUSIP 77201R833 / Symbol MINT									
03/14/14	50,000	5,089.37	03/13/14	5,070.18	0.00	19.19		Sale	
PITNEY BOWES INC COMMON STOCK / CUSIP 724179101 / Symbol PBI									
02/10/14	15,000	375.22	10/15/13	288.60	0.00	86.62		Sale	
POWERSHARES WINDFARM CLEAN ENERGY FUND / CUSIP 73865X560 / Symbol PWR									
10/14/14	20,000	426.30	11/19/13	510.40	0.00	-84.10		Sale	
PFINAM DIVERSIFIED INCOME TRUST CLASS A / CUSIP 746704105 / Symbol									
3 tax lots for 03/25/14									
	1,923.071	15,325.92	02/09/14	16,200.00	0.00	-874.08		Sale	
	9,604	72.74	02/13/14	71.15	0.00	1.59		Sale	
	5,661	22.12	03/14/14	71.49	0.00	-49.37		Sale	
03/25/14	1,941.202	15,411.38	VARIOUS	15,142.64	0.00	268.74		Total of 3 lots	
QUALCOMM INC COMMON STOCK / CUSIP 747255103 / Symbol QCOM									
07/05/14	15,000	1,211.87	11/13/13	1,281.50	0.00	-139.63		Sale	

Account W/ 38,349

UBS FINANCIAL SERVICES INC.

Account W/ 3834p

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS									
Report on Form 8011, Part 1, if Box 1 is checked									
Description of property									
Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (net of adjustments)	Additional information		
OUT-STAR CORP COMMON STOCK / CUSIP 743015102 / Symbol: STC	100.000	1,238.02	10/15/13	1,250.64	0.00	11.62	Sale		
2 tax lots for 08/22/14									
08/22/14	100.000	245.29	10/15/13	252.36	0.00	7.07	Sale		
08/22/14	50.000	2,207.85	11/19/13	2,248.14	0.00	-40.29	Sale		
08/22/14	100.000	2,452.94	VARIOUS	2,490.67	0.00	-37.63	Total of 2 lots		
SASCO LTD SPON ADR / CUSIP 802036500 / Symbol: SAS									
2 tax lots for 10/13/14									
10/13/14	10.000	510.19	10/15/13	500.80	0.00	9.39	Sale		
10/13/14	30.000	1,530.59	11/19/13	1,540.70	0.00	-10.11	Sale		
10/13/14	40.000	2,043.78	VARIOUS	2,021.00	0.00	22.78	Total of 2 lots		
SOUTHWEST AIRLINES CO COMMON STOCK / CUSIP 844741108 / Symbol: LUV									
07/08/14	50.000	1,530.47	11/19/13	1,540.85	0.00	-10.38	Sale		
09/22/14	80.000	2,737.54	11/19/13	2,763.15	0.00	-25.61	Sale		
	Security total	4,069.01		2,363.00	0.00	1,706.01			
SPECTRA ENERGY CORP / CUSIP 847500109 / Symbol: SE									
09/23/14	5.000	193.89	10/15/13	171.85	0.00	21.94	Sale		
TATA MOTORS LTD SPON ADR / CUSIP 875500502 / Symbol: TTM									
07/08/14	75.000	1,825.73	11/19/13	1,869.81	0.00	-44.08	Sale		
09/22/14	100.000	4,752.26	11/19/13	3,679.51	0.00	1,072.75	Sale		
	Security total	6,578.99		3,849.39	0.00	1,929.60			
TEMPLETON GLOBAL BOND ADV / CUSIP 880203200 / Symbol									
10 tax lots for 12/13/14									
12/13/14	0.000	4.73	12/15/13	5.04	0.00	-0.31	Sale		
10/19/14	10.944	153.04	12/15/13	142.39	0.00	10.65	Sale		
06/16	5.146	61.47	07/15/14	66.54	0.00	-4.07	Sale		
5/17/9	5.179	62.61	04/16/14	60.14	0.00	2.47	Sale		
5/14/3	5.143	62.91	03/17/14	64.26	0.00	-1.35	Sale		

UBS FINANCIAL SERVICES INC.

Account WI 35349

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with an attached statement

Description of property

NOT Reported on Form 1099-B

Date sold or disposed of	Quantity	Proceeds, Shown (Gross) Net	Date acquired	Cost or other basis	Adjustments, Code(s) if any	Gain or loss (L) & 7-Loss not allowed (X)	Additional information
TECHNETON GLOBAL FUND ADV / CUSIP 380208200	35-0	1921	04/15/14	49.61	0.00	-3.01	Sale
	3776	49.90	05/15/14	49.72	0.00	-3.51	Sale
	5713	49.90	06/16/14	49.84	0.00	3.94	Sale
	4144	50.00	07/15/14	51.12	0.00	-4.44	Sale
	4113	50.30	08/15/14	51.58	0.00	4.28	Sale
	4133	51.08	09/15/14	55.09	0.00	-4.31	Sale
	4216	51.57	10/15/14	55.04	0.00	-3.45	Sale
	4195	51.30	11/17/14	55.16	0.00	3.86	Sale
	3867	47.29	12/14/14	47.42	0.00	0.14	Sale
	68288	834.50	12/15/14	830.39	0.00	-4.09	Sale
12/16/14	130432	1541.29	VARIOUS	1545.51	0.00	54.22	Total of 16 lots
TEVA PHARMACEUTICALS INC LTD ISRAEL ADR / CUSIP 881604200	30000	1461.46	10/15/13	1,186.67	0.00	294.79	Sale
10/14/14							
TIMEFENSTILL CORP COM / CUSIP 887390103	2 tax lots for 07/18/14	322.87	10/15/13	257.20	0.00	65.67	Sale
	7560	107.62	11/14/13	77.14	0.00	30.48	Sale
07/28/14	10000	430.43	VARIOUS	334.34	0.00	96.09	Total of 7 lots
ANGEL OAK MULTI STRATEGY INCOME CL ASS INSTL / CUSIP 920461700	11 tax lots for 12/16/14	6361.60	02/03/14	7016.45	0.00	51.85	Sale
	576063	53.97	02/03/14	54.26	0.00	-0.31	Sale
	4464	60.00	03/11/14	60.70	0.00	-0.30	Sale
	5072	60.00	04/09/14	60.40	0.00	-0.40	Sale
	4963	58.63	05/30/14	58.11	0.00	-0.48	Sale
	3340	65.33	06/03/14	63.90	0.00	0.43	Sale
	5228	31.67	07/14/14	32.03	0.00	-0.31	Sale
	2619	12.12	08/29/14	13.00	0.00	-0.78	Sale
	2756	31.08	09/30/14	31.76	0.00	-0.18	Sale
	2571	32.53	10/01/14	33.50	0.00	-0.93	Sale
	2773						

UBS FINANCIAL SERVICES INC. Account VII 39349

Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS <small>Report on Form 990, Part 1, with Box 1 checked</small>									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (1) & 7-Loss not allowed (X)	Additional information	
ANGEL OAK MULTISTRATEGY INC CLASS A / CUSIP 82046L809 / Symbol									
07/08/14		655,464	7,432.85	02/24/14	7,433.55	0.00	34.23	Sale	
VERIZON COMMUNICATIONS INC / CUSIP 92343V104 / Symbol VZ									
02/24/14		0.500	14.12	02/24/14	14.34	0.00	-0.42	Sale	
Security total									
			132.51	02/24/14	139.81	0.00	2.70	Sale	
		22,955	1,910.26	02/24/14	1,961.16	0.00	15.06	Sale	
		26,000	1,288.77	VARIOUS	1,250.99	0.00	17.79	Total of 2 lots	
			1,282.79		1,255.43	0.00	17.35		
WISCONSIN ENERGY CORP COMMON STOCK / CUSIP 976657108 / Symbol WEC									
09/22/14		25,000	7,095.31	09/15/13	1,014.23	0.00	61.06	Sale	
ELEXTRONICS INTL LTD FOREIGN STOCK / CUSIP Y2574F102 / Symbol FLEX									
07/05/14		200,000	2,186.95	11/10/13	1,511.45	0.00	675.49	Sale	
Totals:			95,458.29		88,987.93	0.00	6,470.36		

LONG-TERM TRANSACTIONS <small>Report on Form 990, Part 1, with Box 1 checked</small>									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (1) & 7-Loss not allowed (X)	Additional information	
ANGEL OAK MULTISTRATEGY INC CLASS A / CUSIP 82046L809 / Symbol									
07/08/14		655,464	7,432.85	02/24/14	7,433.55	0.00	34.23	Sale	
VERIZON COMMUNICATIONS INC / CUSIP 92343V104 / Symbol VZ									
02/24/14		0.500	14.12	02/24/14	14.34	0.00	-0.42	Sale	
Security total									
			132.51	02/24/14	139.81	0.00	2.70	Sale	
		22,955	1,910.26	02/24/14	1,961.16	0.00	15.06	Sale	
		26,000	1,288.77	VARIOUS	1,250.99	0.00	17.79	Total of 2 lots	
			1,282.79		1,255.43	0.00	17.35		
WISCONSIN ENERGY CORP COMMON STOCK / CUSIP 976657108 / Symbol WEC									
09/22/14		25,000	7,095.31	09/15/13	1,014.23	0.00	61.06	Sale	
ELEXTRONICS INTL LTD FOREIGN STOCK / CUSIP Y2574F102 / Symbol FLEX									
07/05/14		200,000	2,186.95	11/10/13	1,511.45	0.00	675.49	Sale	
Totals:			95,458.29		88,987.93	0.00	6,470.36		

UBS FINANCIAL SERVICES INC.

Account WJ30349

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

Part 1 on Form 990-B, Part 2 on Form 990-E, and Part 3 on Form 990-B

Not reported on Form 1099-B

Description of property

Date sold or

disposed

Quantity

CUSIP

Symbol

Date

Proceeds

Shown (Gross)

Net

Cost or

other basis

Adjustments &

Code(s), if any

Gain or loss (L) &

7. Loss not allowed (X)

Additional information

AGilent Technologies Inc / CUSIP 00846U101 / Symbol A

2/26/14

134,167

08/23/12

745.00

0.00

358.0

Sale

AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP 009155105 / Symbol AFD

12/12/14

5,000

10/22/10

423.59

0.00

674.19

Sale

APAMAI TECHNOLOGIES INC / CUSIP 008777101 / Symbol AKAM

07/08/14

15,000

08/23/12

557.54

0.00

337.08

Sale

AMERICA MOVIL S A B DE CV SER L SPON ADR / CUSIP 02306W104 / Symbol AMX

12/12/14

100,000

11/11/13

2,212.52

0.00

104.18

Sale

AMGEN INC COMMON STOCK / CUSIP 031162100 / Symbol AMON

07/08/14

5,000

08/23/12

517.40

0.00

152.17

Sale

Security total

12/12/14

10,000

08/23/12

1,250.29

0.00

832.59

Sale

Security total

12/12/14

25,000

08/23/12

2,920.40

0.00

287.13

Total of 3 lots

APACHE CORP / CUSIP 037411105 / Symbol AFA

07/08/14

10,000

08/23/12

979.30

0.00

120.94

Sale

Security total

12/12/14

15,000

11/29/12

1,152.60

0.00

24.05

Sale

Security total

12/12/14

20,000

10/15/13

1,888.50

0.00

136.14

Sale

Security total

12/12/14

25,000

08/23/12

2,920.40

0.00

287.13

Total of 3 lots

CG GROUP PLC SPON ADR / CUSIP 055434203 / Symbol BFGYY

07/08/14

80,000

08/23/12

1,234.70

0.00

30.87

Sale

Security total

12/12/14

40,000

11/29/12

587.20

0.00

11.41

Sale

Security total

12/12/14

60,000

10/15/13

1,942.90

0.00

25.26

Sale

Security total

12/12/14

100,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

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Security total

12/12/14

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Sale

Security total

12/12/14

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Security total

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08/23/12

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Security total

12/12/14

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Security total

12/12/14

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Sale

Security total

12/12/14

134,000

08/23/12

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Security total

12/12/14

134,000

08/23/12

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Security total

12/12/14

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08/23/12

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Security total

12/12/14

134,000

08/23/12

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Sale

Security total

12/12/14

134,000

08/23/12

922.55

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Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

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Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/14

134,000

08/23/12

922.55

0.00

41.62

Sale

Security total

12/12/

UBS FINANCIAL SERVICES INC.

Account # 39349

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Reported on Form 1099-B with a net loss

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(1) & 7: Loss not allowed(X)	Additional information
EXUSTOL MECHERS CLUBS CO COMMON STOCK / CUSIP 161127105 / Symbol: EXST	30,000	1,767.14	08/23/12	986.40	0.00	620.84	Sale
12/12/13							
GLOBAL WORK SERVICES GROUP HOLDING CO DEL / CUSIP 130788101 / Symbol: CVAT	26,000	474.59	08/23/12	372.77	0.00	101.87	Sale
07/09/14							
GLOBAL FINE CORP NEW / CUSIP 131347304 / Symbol: GFN	25,000	590.59	11/20/12	423.65	0.00	164.34	Sale
07/08/14							
Tax lots for 12/16/14							
	25,000	540.60	11/23/12	423.66	0.00	111.94	Sale
	35,000	748.85	10/15/13	674.26	0.00	87.57	Sale
	40,000	864.98	11/19/13	755.20	0.00	99.78	Sale
	100,000	2,162.11	VARIOUS	1,868.14	0.00	294.27	Total of 4 lots
Security total		2,755.49		2,296.19	0.00	458.61	
CAREXON INTERNATIONAL CORP / CUSIP 133425105 / Symbol: CAX	10,000	586.93	08/23/12	540.90	0.00	149.03	Sale
07/09/14							
10/14/14	15,000	825.96	08/23/12	311.35	0.00	124.61	Sale
Security total		1,512.89		1,452.25	0.00	102.64	
CERBER CORP COMMON STOCK / CUSIP 150782104 / Symbol: CERN	15,000	932.48	08/23/12	532.73	0.00	403.75	Sale
12/12/14							
CHEVRON CORP / CUSIP 160764100 / Symbol: CVX	15,000	1,669.91	08/23/12	1,671.25	0.00	1.34	Sale
10/14/14							
CHINA MOBILE LTD SPON ADR / CUSIP 169414106 / Symbol: CHL	20,000	1,216.36	08/23/12	1,065.50	0.00	149.70	Sale
10/13/14							
CISCO SYSTEMS INC / CUSIP 17175R102 / Symbol: CSCO	55,000	1,509.47	08/23/12	950.59	0.00	368.47	Sale
07/09/14							
CLEAR ENERGY Fuels CORP / CUSIP 184193101 / Symbol: CNE	25,000	266.24	08/23/12	330.13	0.00	63.89	Sale
07/08/14							
3 mo US for 12/08/14		509.74	08/23/12	1,519.87	0.00	978.89	Sale
115,000							

UBS FINANCIAL SERVICES INC.

Account W/ 38349

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 1099-B Part 1, only for a collection

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments, if any	Gain or loss (X)	Additional information
CLEANTHERM FUELS CORP / CUSIP 1544040101 / Symbol CLEFUE	45.93	45.93	11/29/12	133.11	0.00	80.18	Sale
	15.000	70.49	10/15/13	180.52	0.00	109.22	Sale
	140.000	657.67	VARIOUS	7,621.51	0.00	6,963.83	Total of 3 lots
Security total		473.31		2,152.10	0.00	1,678.79	
COUNZANT TECH SOLUTIONS CORP / CUSIP 1823401001 / Symbol CTS4	20.000	1,003.78	08/23/12	641.20	0.00	362.58	Sale
CORNING INC COMMON STOCK / CUSIP 2193501005 / Symbol GLW	84.000	867.41	09/23/12	589.26	0.00	278.15	Sale
2 tax lots for 12/12/14							
	14.000	513.73	08/23/12	172.52	0.00	341.21	Sale
	25.000	522.87	10/15/13	357.48	0.00	165.39	Sale
	40.000	546.60	VARIOUS	531.00	0.00	15.60	Total of 2 lots
Security total		2,704.31		1,514.26	0.00	1,189.75	
CREDIT SUISSE GROUP SPON ADR / CUSIP 2254011001 / Symbol CS	50.000	1,432.16	09/23/12	940.44	0.00	491.72	Sale
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP 2540671006 / Symbol DIS	10.000	859.68	08/23/12	490.97	0.00	368.71	Sale
DR REDDY LABS LTD ADR / CUSIP 2561352003 / Symbol RDD	15.000	656.65	08/23/12	450.90	0.00	205.75	Sale
EBAY INC / CUSIP 2786421001 / Symbol EBAY	10.000	501.29	10/23/12	218.50	0.00	282.79	Sale
EMPRESA NACIONAL DE FUEGO CHILE SPONSORED ADR ADR / CUSIP 2024471001 / Symbol FOC	15.000	456.68	08/23/12	498.73	0.00	-42.05	Sale
4 tax lots for 12/12/14							
	5.000	211.90	08/23/12	246.97	0.00	-35.07	Sale
	5.000	211.89	11/29/12	224.56	0.00	-12.68	Sale
	26.000	7,050.48	10/15/13	1,084.51	0.00	5,965.97	Sale

UBS FINANCIAL SERVICES INC.

Account V638749

Proceeds Not Reported to the IRS

(continued)

2014

LONG TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 1099-B, Part 3, with Box 7 checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Losses not allowed(X)	Additional information
EMERGENCIA NACIONAL DEL COCAINE SPONSOR (FID) ADR / CUSIP 292647101 / Symbol FOC (GRT10)	15,000	655.68	674.40	11/23/13		0.00	39.01	Sale
12/27/14	50,000	2,119.08	2,235.87	VARIOUS		0.00	116.41	Total of 4 lots
Security total		2,774.76	2,752.11			0.00	154.46	
FLUDEX CORP / CUSIP 51428X100 / Symbol FLX	5,000	75.52	444.55	08/23/12		0.00	312.97	Sale
07/08/14								
FIRST TRUST ISI GLOBAL WIND ENERGY (INDEX) UNO / CUSIP 41736C106 / Symbol FAN	50,000	646.49	320.53	08/23/12		0.00	325.96	Sale
07/08/14	100,000	1,045.43	641.03	08/23/12		0.00	401.35	Sale
Security total		1,691.92	961.56			0.00	730.37	
GOOGLE INC CL C / CUSIP 36268P706 / Symbol GOOG	2,000	1,141.43	690.74	11/29/12		0.00	450.69	Sale
07/08/14								
HCP INC / CUSIP 404141108 / Symbol HCP	15,000	618.94	355.79	01/27/10		0.00	263.15	Sale
07/08/14								
HANE SBRANDS INC / CUSIP 10745102 / Symbol HBI	2,000	411.60	321.30	08/23/12		0.00	80.30	Sale
07/08/14	5,000	480.00	178.54	11/29/12		0.00	301.46	Sale
Security total		1,457.40	429.84	VARIOUS		0.00	957.51	Total of 2 lots
HARTFORD FINCL SERVICES GROUP INC / CUSIP 416515104 / Symbol HLS	60,000	2,260.75	1,085.57	08/23/12		0.00	1,175.18	Sale
07/08/14								
ICICI BANK FID SPON ADR / CUSIP 45104C154 / Symbol IBN	30,000	1,474.17	1,054.69	05/23/17		0.00	419.48	Sale
07/08/14								
IDACORP INC (HOLDING COMPANY) / CUSIP 411071061 / Symbol IDA	25,000	1,542.03	1,252.10	08/23/12		0.00	289.93	Sale
05/22/14								
INFOSYS LTD SPON ADR / CUSIP 45678P108 / Symbol INFY	15,000	530.34	460.55	08/23/12		0.00	174.43	Sale
07/08/14								

LIBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(C) 1987 by The McGraw-Hill Companies

Account No. 39319

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-E

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(s) & Losses not allowed(X)	Additional information
INTEL CORP COMMON STOCK / CUSIP 452441100 / Symbol INTC						
	2 lots for 07/03/14					
07/08/14	10,000	2,154.40	1,736.19	0.00	367.21	Sale
	15,000	441.40	292.74	0.00	108.72	Sale
	85,000	2,614.96	2,078.93	0.00	536.03	Total of 2 lots
INTEL BUSINESS MACHS / CUSIP 459200101 / Symbol IBM						
12/12/14	5,000	783.50	923.60	0.00	140.10	Sale
KSHAR'S 13% TREASURY BOND ETF / CUSIP 464287487 / Symbol SHY						
07/03/14	118,000	9,974.76	9,964.73	0.00	1.99	Sale
JPMORGAN CHASE & CO / CUSIP 46525H100 / Symbol JPM						
07/09/14	20,000	1,116.38	747.35	0.00	368.43	Sale
JOHNSON & JOHNSON COMMON STOCK / CUSIP 473100104 / Symbol JNJ						
07/08/14	25,000	2,114.42	1,295.93	0.00	828.44	Sale
JUNIPER NETWORKS INC / CUSIP 48203F104 / Symbol JNPR						
07/08/14	40,000	364.88	735.20	0.00	229.69	Sale
KONINKLIJKE PHILIPS NV / SPON ADR / CUSIP 500423003 / Symbol PHG						
07/28/14	21,000	671.15	484.78	0.00	186.37	Sale
KYOCERA CORP ADR JAPAN ADR / CUSIP 501556205 / Symbol KYO						
07/08/14	10,000	479.29	454.34	0.00	24.95	Sale
MATTEL INC COMMON STOCK / CUSIP 577081102 / Symbol MAT						
	2 lots for 07/08/14					
	25,000	980.04	833.50	0.00	146.49	Sale
	10,000	302.02	348.05	0.00	13.24	Sale
07/06/14	35,000	1,372.15	1,152.33	0.00	189.73	Total of 2 lots
MEDTRONIC INC / CUSIP 56205F105 / Symbol MDT						
07/08/14	10,000	637.90	403.07	0.00	223.92	Sale
12/12/14	10,000	733.48	103.90	0.00	629.52	Sale

UBS FINANCIAL SERVICES INC. Account WI 38499

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Proceeds (Net)	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (X) or Loss not allowed (X)	Additional information		
ALUMINUM / CUSIP 93155R18 / Symbol MEL	07/08/14	15,000	843.03	578.85	0.00	264.18	Sale		
MICROSOFT CORP / CUSIP 594918104 / Symbol MSFT	07/08/14	25,000	1,046.72	731.47	0.00	315.25	Sale		
NATIONAL GRID PLC NEW SPON ADR / CUSIP 636274300 / Symbol NGG	08/22/14	25,000	1,870.21	1,360.75	0.00	509.46	Sale		
NEXTERA ENERGY INC COM / CUSIP 65213P101 / Symbol NEE	08/22/14	5,000	473.54	337.35	0.00	136.19	Sale		
INDUSTRIAL COMMON STOCK / CUSIP 68064100 / Symbol IWM									
Tax lots for 07/08/14									
		10,000	698.12	571.10	0.00	127.02	Sale		
		5,000	347.86	271.75	0.00	77.11	Sale		
		75,000	1,047.18	842.85	0.00	204.33	Total of 3 lots		
OMNICOM GROUP INC / CUSIP 641979106 / Symbol OMC	07/08/14	5,000	353.14	274.30	0.00	78.84	Sale		
PITNEY BOWES INC COMMON STOCK / CUSIP 724479100 / Symbol PBW									
Tax lots for 07/08/14									
		5,000	1,374.99	747.26	0.00	627.73	Sale		
		5,000	225.13	100.57	0.00	124.56	Sale		
		27,000	524.95	234.57	0.00	290.38	Sale		
		85,000	2,125.11	1,082.30	0.00	1,042.81	Total of 3 lots		
POWER SHARE S / WILDERHILL CLEAN ENERGY PORTFOLIO / CUSIP 733347500 / Symbol PRW									
Tax lots for 10/14/14									
		450,000	2,398.46	1,535.27	0.00	863.19	Sale		
		50,000	266.50	100.88	0.00	165.62	Sale		
		500,000	2,864.96	1,729.75	0.00	1,135.21	Total of 3 lots		

UBS FINANCIAL SERVICES INC.

Account WJ 38349

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 1099-B if you have a taxable gain or loss

Description of property

Date sold or disposed

Quantity

Proceeds (Gross)

Date acquired

Cost or other basis

Adjustments & Code(s), if any

Gain or loss (1) & Losses not allowed (2)

Additional information

1-2-6-18

5-9-27

Total of 2 lots

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

UBS FINANCIAL SERVICES INC.

Account #138349

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

Description of property

Date sold or disposed

Quantity

Security identifier

Proceeds

Shown (Gross)

475.6

Date acquired

Cost or other basis

Adjustments & Code(s), if any

Gain or loss (7 - Loss not allowed)

Additional information

SPECTRA ENERGY CORP / CUSIP 847585100 / Symbol SE

37/08/14

15,000

634.27

08/23/12

632.74

0.00

2,015.00

Sale

18 tax lot for 09/23/14

35,000

1,295.57

08/23/12

1,009.75

0.00

369.82

Sale

03/25/14

46,000

1,798.16

VARIOUS

1,286.51

0.00

712.83

Sale

Security total

2,432.43

1,719.25

0.00

712.18

Total of 2 lots

SOUTHERN BANKS INC COMMON STOCK / CUSIP 867014102 / Symbol SH

07/28/14

35,000

1,392.27

11/25/12

945.23

0.00

447.04

Sale

SYS CO CORP COMMON STOCK / CUSIP 871829101 / Symbol SVV

07/08/14

20,000

735.45

08/23/12

602.00

0.00

133.45

Sale

TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP 874030100 / Symbol TCM

12/12/14

25,000

563.86

08/23/12

357.68

0.00

196.17

Sale

TEMPLETON CLEAL BOND ADV / CUSIP 880234100 / Symbol

18 tax lot for 12/15/14

1,597,582

13,364.35

08/13/12

19,748.85

0.00

1,282.20

Sale

6,132

62.77

09/12/12

68.41

0.00

-5.64

Sale

5,110

62.60

10/15/12

68.64

0.00

-6.04

Sale

5,140

62.86

11/15/12

68.83

0.00

5.07

Sale

0,222

2.71

12/11/12

7.93

0.00

-0.20

Sale

72,197

271.47

12/17/12

293.24

0.00

271.77

Sale

34,271

436.18

12/17/12

438.73

0.00

-27.52

Sale

5,310

64.94

01/15/13

71.28

0.00

-6.32

Sale

5,378

65.04

02/15/13

71.47

0.00

6.43

Sale

5,330

60.19

03/15/13

71.29

0.00

-6.04

Sale

5,376

64.54

04/15/13

71.28

0.00

-7.90

Sale

5,282

61.51

05/15/13

72.11

0.00

7.50

Sale

5,546

61.82

06/17/13

72.32

0.00

1.00

Sale

5,590

62.24

07/15/13

72.54

0.00

-4.32

Sale

5,616

69.41

08/15/13

72.76

0.00

3.35

Sale

UBS FINANCIAL SERVICES INC.

Account W. 38349

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 1099-B, Page 1 of 1, UBS Financial Services Inc.

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Description of property	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Less not allowed(X)		Additional information
							7	8	
12/16/14	1,632,154	TEMPERON GLOBAL BOND ADV / CUSIP 85020840 / Symbol	19,961.74	VARIOUS	21,474.91	0.00	1,513.17		Total of 18 sales
07/08/14	20,000	ALVA PHARMACEUTICALS LTD ISRAEL ADR / CUSIP 881324209 / Symbol TEVA	1,057.50	08/23/12	809.59	0.00	247.91		Sale
08/14/14	25,000	Security total	1,057.50	08/23/12	1,011.75	0.00	222.89		Sale
12/16/14	20,000	HERMCO FISHER SCIENTIFIC INC / CUSIP 833556100 / Symbol HMO	2,533.12	08/23/12	1,821.16	0.00	480.88		Sale
07/08/14	5,000	TIMKEN CO COMMON STOCK / CUSIP 887389104 / Symbol TKR	241.04	08/22/12	146.87	0.00	94.07		Sale
07/03/14	0.500	TIMKEN STEEL CORP COM / CUSIP 857389103 / Symbol TMST	21.27	08/23/12	17.23	0.00	4.04		Sale
07/03/14	9,500	2 lots lots for 07/05/14	408.97	08/23/12	232.31	0.00	176.66		Sale
07/03/14	2,500	Security total	197.62	12/29/12	65.29	0.00	32.33		Sale
07/03/14	12,000	Security total	516.59	VARIOUS	297.00	0.00	219.59		Total of 2 sales
07/08/14	40,000	AMER SPS NEW SPON ADR / CUSIP 904767704 / Symbol U	1,821.56	09/23/12	309.83	0.00	2,27.03		Sale
02/27/14	2,500	WILAFONE GROUP PLC SPON ADR / CUSIP 92852N308 / Symbol WOI	22.37	08/23/12	1,424.71	0.00	397.85		Sale
12/11/14	30,000	Security total	1,014.88	08/23/12	29.72	0.00	6.75		Sale
07/08/14	15,000	WALSH & CO - MERCER PER 12/20/14 / CUSIP 891422103 / Symbol	1,148.46	04/22/10	1,516.90	0.00	249.20		Sale
07/08/14	15,000	Security total	1,148.46		1,516.90	0.00	249.20		Sale

UBS FINANCIAL SERVICES INC.

Account W 58349

Proceeds Not Reported to the IRS

(continued)

LONG TERM TRANSACTIONS

NOT reported on Form 1099-B

Rep: Form 1099-B, Part II, Box 1, Col 1
Description of property

Date sold or disposed	Quantity	Proceeds (Gross)	Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss: 8 7 - Loss not allowed (X)	Additional information
WASTE MGMT INC 44-W / CUSIP 941061104 / Symbol WMC	5,000	725.12		06/23/12	171.00	0.00	51.19	Sale
25TH DIGITAL CORP COMMON STOCK / CUSIP 965102105 / Symbol VDC								
2 tax lots for 12/12/14								
12/12/14	2,000	535.04		06/23/12	218.15	0.00	316.89	Sale
	4,000	530.03		11/29/12	163.95	0.00	366.08	Sale
	10,000	1,070.07		VARIOUS	187.20	0.00	882.87	Total of 2 lots
WEYERHAEUSER CO / CUSIP 962109104 / Symbol WY								
01/08/14	25,000	664.06		06/23/12	492.53	0.00	171.53	Sale
WISCONSIN ENERGY CORP COMMON STOCK / CUSIP 91657106 / Symbol WEC								
2 tax lots for 08/22/14								
08/22/14	5,000	219.06		08/23/12	191.55	0.00	27.51	Sale
	5,000	219.07		11/29/12	164.90	0.00	54.17	Sale
	10,000	438.13		VARIOUS	376.45	0.00	61.68	Total of 2 lots
WORLD FUEL SERVICES CORP / CUSIP 961475106 / Symbol WNT								
12/12/14	55,000	2,376.45		11/15/13	1,369.16	0.00	46.29	Sale
XEROX CORP COMMON STOCK / CUSIP 984121115 / Symbol XRX								
07/08/14	85,000	667.14		08/23/12	401.87	0.00	265.27	Sale
Totals:		179,791.43			156,415.01	0.00	23,376.42	