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**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation

THE MONTGOMERY FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

5784 OLD LAKE SHORE ROAD

City or town, state or province, country, and ZIP or foreign postal code

LAKE VIEW, NY 14085

G Check all that apply

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) ▶ \$ 2,160,188.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

A Employer identification number

26-6620815

B Telephone number (see instructions)

(716) 627-4686

C If exemption application is  
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the  
85% test, check here and attach  
computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	86,001.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	37,220.	37,220.		
5a Gross rents	-1,245.	-1,245.		
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	208,673.			
b Gross sales price for all assets on line 6a	515,913.			
7 Capital gain net income (from Part IV, line 2)		208,673.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales, less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH. 1	127.	4,309.		
12 Total. Add lines 1 through 11	330,776.	248,957.		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH. 2	7,700.			7,700.
c Other professional fees (attach schedule) [3]	11,317.	11,317.		
17 Interest				
18 Taxes (attach schedule) (see instructions) [4]	430.	34.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH. 5	649.	397.		250.
24 Total operating and administrative expenses. Add lines 13 through 23.	20,096.	11,748.		7,950.
25 Contributions, gifts, grants paid	81,500.			81,500.
26 Total expenses and disbursements. Add lines 24 and 25	101,596.	11,748.	0	89,450.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	229,180.			
b Net investment income (if negative, enter -0-)		237,209.		
c Adjusted net income (if negative, enter -0-)				

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
<b>Assets</b>	1 Cash - non-interest-bearing . . . . .		48,115.	87,659.	87,659.
	2 Savings and temporary cash investments . . . . .				
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10 a Investments - U.S. and state government obligations (attach schedule) [ 6 ]		161,248.	263,641.	262,732.
	b Investments - corporate stock (attach schedule) ATCH 7		575,710.	600,770.	1,050,253.
	c Investments - corporate bonds (attach schedule) ATCH 8		271,772.	358,000.	347,044.
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12 Investments - mortgage loans . . . . .				
	13 Investments - other (attach schedule) ATCH 9		25,600.	-94,786.	412,500.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ )					
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		1,082,445.	1,215,284.	2,160,188.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .				
	18 Grants payable . . . . .				
	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
	22 Other liabilities (describe ▶ )				
23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	0		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here. ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted . . . . .				
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds . . . . .		467,283.	467,283.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .		615,162.	748,001.	
	30 <b>Total net assets or fund balances</b> (see instructions) . . . . .		1,082,445.	1,215,284.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		1,082,445.	1,215,284.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	1,082,445.
2 Enter amount from Part I, line 27a . . . . .	<b>2</b>	229,180.
3 Other increases not included in line 2 (itemize) ▶	<b>3</b>	
4 Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,311,625.
5 Decreases not included in line 2 (itemize) ▶ ATCH 10	<b>5</b>	96,341.
6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	<b>6</b>	1,215,284.

Form 990-PF (2014)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>			<b>2</b>	208,673.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	92,959.	1,547,966.	0.060052
2012	263,097.	1,314,044.	0.200219
2011	144,358.	1,292,763.	0.111666
2010	41,216.	1,026,543.	0.040150
2009	35,354.	548,385.	0.064469
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.476556
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.095311
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			<b>4</b> 1,921,436.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 183,134.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 2,372.
<b>7</b> Add lines 5 and 6			<b>7</b> 185,506.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 89,450.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	4,744.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	4,744.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	4,744.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014 . . . . .	6a	4,994.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	4,994.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	250.	
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 250. Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

Form 990-PF (2014)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> ROBERT MONTGOMERY Telephone no <input type="checkbox"/> 716-627-4686			
Located at <input type="checkbox"/> 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY ZIP+4 <input type="checkbox"/> 14085				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country <input type="checkbox"/>	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <input type="checkbox"/>	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? <input type="checkbox"/>	4b	X

Form 990-PF (2014)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐ N/A ☒

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

**Total number of others receiving over \$50,000 for professional services . . . . .** ▶

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

**Part IX-B Summary of Program-Related Investments (see instructions)**

Form 990-PF (2014)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,480,191.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	58,005.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	412,500.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,950,696.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,950,696.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	29,260.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,921,436.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	96,072.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	96,072.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	4,744.
<b>b</b>	Income tax for 2014 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,744.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	91,328.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	91,328.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	91,328.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	89,450.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	89,450.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	89,450.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7 . . . . .				91,328.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only . . . . .				
b Total for prior years 20 12 ,20 11 ,20 10 . . . . .				
3 Excess distributions carryover, if any, to 2014				
a From 2009 . . . . .	8,136.			
b From 2010 . . . . .				
c From 2011 . . . . .	80,635.			
d From 2012 . . . . .	199,201.			
e From 2013 . . . . .	16,989.			
f Total of lines 3a through e . . . . .	304,961.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ . . . . .				89,450.
a Applied to 2013, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2014 distributable amount . . . . .				89,450.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2014 . . . . .	1,878.			1,878.
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	303,083.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .	6,258.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .	296,825.			
10 Analysis of line 9				
a Excess from 2010 . . . . .				
b Excess from 2011 . . . . .	80,635.			
c Excess from 2012 . . . . .	199,201.			
d Excess from 2013 . . . . .	16,989.			
e Excess from 2014 . . . . .				

Form 990-PF (2014)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ROBERT & CAROLYN MONTGOMERY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 12

b The form in which applications should be submitted and information and materials they should include

ATCH 13

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 14

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  ATCH 15				
<b>Total</b> . . . . .			<b>3a</b>	81,500.
<b>b Approved for future payment</b>  NONE				
<b>Total</b> . . . . .			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities . . . . .			14	37,220.	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property .			16	-1,245.	
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	208,673.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> ATCH 16 _____		-3,687.		3,814.	
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e) . . . . .		-3,687.		248,462.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .					244,775.

(See worksheet in line 13 instructions to verify calculations)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

**2014**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**Name of the organization**

THE MONTGOMERY FAMILY FOUNDATION

**Employer identification number**

26-6620815

**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization THE MONTGOMERY FAMILY FOUNDATION

Employer identification number  
26-6620815**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 32,849.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 8,346.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	CAROLYN MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 44,806.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization THE MONTGOMERY FAMILY FOUNDATION

Employer identification number

26-6620815

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	210 SHARES APPLE 300 SHARES MASTERCARD 350 SHARES PEPSICO 275 SHARES STATE STREET	\$ 96,181.	10/03/2014
3	350 SHARES APPLE 500 SHARES BANK OF AMERICA 1600 SHARES CORNING 400 SHARES STATE STREET	\$ 103,236.	10/03/2014
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE MONTGOMERY FAMILY FOUNDATION

Employer identification number

26-6620815

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
-----	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
-----	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
-----	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
-----	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P r o p e r t y	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
493,840.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 307,240.					VAR 186,600.	VAR
-446.		DEBARTOLO OPP. FUND I L/T CAP. LOSS PROPERTY TYPE: OTHER					VAR -446.	VAR
4,179.		DEBARTOLO OPP. FUND I SEC. 1231 GAINS PROPERTY TYPE: OTHER					VAR 4,179.	VAR
100.		CAPITAL GAIN DISTRIBUTION PROPERTY TYPE: OTHER					VAR 100.	VAR
18,240.		ROMARK LABS LC DISTRIBUTION IN EXCESS OF PROPERTY TYPE: OTHER					VAR 18,240.	VAR
TOTAL GAIN(LOSS) .....							<u>208,673.</u>	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION  
DEBARTOLO OPPORTUNITY FUND I, LP -  
ORDINARY INCOME  
DEBARTOLO OPPORTUNITY FUND I, LP -  
OTHER INCOME  
ROMARK LABS LC - BOOK ADJUSTMENT  
CLASS ACTION SETTLEMENT PROCEEDS

REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-3,687.	
4,295.	4,295.
-495.	
14.	14.
TOTALS	
127.	4,309.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ERNST & YOUNG US, LLP	7,700.			7,700.
TOTALS	<u>7,700.</u>			<u>7,700.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	11,317.	11,317.
TOTALS	11,317.	11,317.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAX PAID	34.	34.
NYS INCOME TAX PAID	250.	
FEDERAL TAX PAID	146.	
TOTALS	430.	34.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
DEBARTOLO OPP. FUND I - NONDEDUCTIBLE EXPENSES	2.		
DEBARTOLO OPP. FUND I - OTHER DEDUCTIONS	397.	397.	
NYS FILING FEE	250.		250.
TOTALS	649.	397.	250.



FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
10,000 FFCB 1.29% 9/25/18	10,013.	9,940.
10,000 FFCB 2.35% 9/26/22	10,050.	9,758.
25,000 FFCB 2.48% 11/21/24	24,950.	24,236.
20,000 FHLB 4.125% 3/13/20	22,395.	22,248.
65,000 US TREASURY NOTES 2.375	65,332.	66,204.
50,000 US TREASURY NOTES 1.875	51,383.	51,146.
30,000 US TREASURY NOTES 1.625	29,369.	29,102.
50,000 US TREASURY NOTES 1.375	50,149.	50,098.
US OBLIGATIONS TOTAL	263,641.	262,732.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
2,469.11 TEMPLETON GLOBAL BOND	32,811.	30,642.
1240.03 VANGUARD SHORT TERM IN	13,403.	13,219.
150 ABBOTT LABORATORIES	2,162.	6,753.
150 ABBVIE INC	4,162.	9,816.
250 ALTRIA GROUP INC	4,928.	12,318.
50 AMAZON.COM INC	16,001.	15,517.
100 AMGEN INC	6,036.	15,929.
150 APACHE CORP	11,542.	9,400.
805 APPLE INC	29,762.	88,856.
1,675 BANK OF AMERICA CORP	10,328.	29,966.
75 BERKSHIRE HATHAWAY INC	6,053.	11,261.
350 BRISTOL MYERS SQUIBB CO	17,630.	20,660.
150 CHEVRON CORP	8,435.	16,827.
325 CISCO SYSTEMS INC	5,495.	9,040.
210 CONOCOPHILLIPS	8,538.	14,502.
2925 CORNING INC	32,165.	67,070.
250 DICKS SPORTING GOODS INC	12,905.	12,412.
200 DUKE ENERGY CORP	14,621.	16,708.
250 EMC CORP MASS	4,668.	7,435.
225 EXXON MOBIL CORP	13,521.	20,801.
800 FORD MOTOR CO	13,315.	12,400.
575 GENERAL ELECTRIC CORP	9,420.	14,530.
15 GOOGLE INC-A	4,482.	7,960.
15 GOOGLEVINC-CL C	2,244.	7,896.
575 INTEL CORP	9,294.	20,867.
45 IBM CORP	3,659.	7,220.
500 ETF ISHARES GOLDTRUST	6,763.	5,720.
275 JP MORGAN CHASE & CO	10,595.	17,209.
150 JOHNSON & JOHNSON	8,814.	15,686.

FORM 990PE, PART II - CORPORATE STOCK

ATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
300 LOWES COS INC	6,543.	20,640.
225 MACY'S INC	10,030.	14,794.
500 MASTERCARD INC-A	7,778.	43,080.
275 MICROSOFT CORP	6,006.	12,773.
225 NUCOR CORP	9,891.	11,036.
150 PNC FINANCIAL SERVICES GRP	8,898.	13,684.
150 PALO ALTO NETWORKS INC	7,192.	18,386.
450 PEPSICO INC	24,716.	42,552.
175 PROCTER & GAMBLE CO	10,732.	15,941.
150 SOUTHERN CO	5,041.	7,367.
325 SOVRAN SELF STORAGE INC	8,164.	28,347.
300 STARBUCKS CORP	22,595.	24,615.
875 STATE STREET CORP	19,875.	68,688.
250 TJX COMPANIES INC	14,988.	17,145.
600 TWENTY-FIRST CENTURY-CL A	19,973.	23,043.
100 UNITED PARCEL SERVICE INC	5,282.	11,117.
300 VANGUARD FTSE DEVELOPED MA	11,459.	11,364.
300 VANGUARD FTSE EMERGING MAR	10,428.	12,006.
450 VERIZON COMMUNICATIONS INC	16,275.	21,051.
100 WAL-MART STORES INC	6,355.	8,588.
325 WELLS FARGO & CO	9,107.	17,817.
150 ZIMMER HOLDINGS INC	5,924.	17,013.
150 ACE LTD	7,726.	17,232.
125 CHECK POINT SOFTWARE TECH	7,242.	9,821.
227 HSBC HOLDINGS PLC ADR	8,321.	10,721.
150 SCHLUMBERGER LTD	6,477.	12,812.
TOTALS	<u>600,770.</u>	<u>1,050,253.</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
10,000 ANHEUSER BUSCH COS 4.62	10,804.	10,030.
10,000 ANHEUSER BUSCH COS 5%	11,627.	11,120.
10,000 CVS CAREMARK CORP 4.125	11,175.	10,843.
15,000 CVS CAREMARK CORP 2.75%	15,111.	14,610.
5,000 CATERPILLAR FIN MT 1.625	5,071.	5,031.
15,000 CATERPILLAR FIN SE 1%	14,998.	14,960.
10,000 CISCO SYS INC 4.95%	11,702.	11,176.
10,000 CISCO SYSTEMS INC 4.45%	11,620.	11,009.
20,000 COMCAST CORP 3.125%	20,481.	20,324.
20,000 CONOCOPHILLIPS 5.75%	24,475.	22,736.
10,000 JOHN DEERE CAP MTN 2.95	10,332.	10,046.
10,000 JOHN DEERE CAP CRP 1.4	10,078.	10,027.
10,000 EI DU POINT DE NEMOUR 6	11,494.	11,388.
5,000 EI DUPONT DENEMOURS 4.75	5,577.	5,042.
5,000 EI DU PONT DE NEMOUR 3.2	5,325.	5,003.
5,000 DUKE ENERGY CORP 2.15%	5,111.	5,092.
15,000 DUKE ENERGY CORP 2.1%	15,091.	15,137.
25,000 GENERAL MILLS INC 5.2%	27,631.	25,235.
10,000 GEORGIA PWR CO 5.4%	11,239.	11,190.
20,000 KELLOGG CO 4.45%	21,542.	21,034.
20,000 LOCHHEED MARTIN 2.125%	20,097.	20,365.
10,000 MARATHON OIL CORP 6%	11,445.	11,023.
10,000 MARATHON OIL CORP 5.9%	11,226.	11,115.
20,000 NORFOLK SOUTHCORP 5.9%	23,967.	22,956.
10,000 SOUTHERN CO 2.375%	10,217.	10,109.
20,000 VERIZON COMMS INC 2.5%	20,564.	20,443.
TOTALS	<u>358,000.</u>	<u>347,044.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DEBARTOLO OPPORTUNITY FUND I	47,290.	100,000.
ROMARK LABS LC	-142,076.	312,500.
TOTALS	<u>-94,786.</u>	<u>412,500.</u>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ADJUSTMENT TO BASIS OF SECURITIES	627.
ADJ TO BASIS OF PARTNERSHIP - DEBARTOLO	9.
ADJ TO BASIS OF PARTNERSHIP - ROMARK	95,705.
TOTAL	<u>96,341.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
CAROLYN H. MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	TREASURER/TRUSTEE 2.00	0	0	0
ROBERT L. MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	PRESIDENT/TRUSTEE 2.00	0	0	0
LISA M. HILL 132 TURTLEBACK ROAD NEW CANAAN, CT 06840	TRUSTEE 1.00	0	0	0
KAREN M. RISLEY 293 WEST MAIN STREET AMSTON, CT 06231	TRUSTEE 1.00	0	0	0
KATHRYN M. CAMBI, M.D. 2 ROCCO DRIVE EAST LYNE, CT 06333	TRUSTEE 1.00	0	0	0
GRAND TOTALS		0	0	0

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE MONTGOMERY FAMILY FOUNDATION  
5784 OLD LAKE SHORE ROAD  
LAKE VIEW, NY 14085  
716-627-4686



990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

REQUEST SHOULD CONTAIN A DESCRIPTION OF THE ORGANIZATION, ITS  
MANAGEMENT, ITS REASON FOR BEING, AND SPECIFICALLY THE INTENDED USE OF  
THE GRANT.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

PRIMARILY, BUT NOT EXCLUSIVELY, LIMITED TO THE WESTERN NY AREA, EDUCATIONAL, RELIGIOUS, HEALTH RELATED, CULTURAL AND SCIENTIFIC RESEARCH PROGRAMS, INCLUDING SUCH PROGRAMS WHICH MIGHT BENEFIT CHILDREN AND THE ELDERLY AND SUCH INSTITUTIONS AS SHALL BE DETERMINED BY THE TRUSTEES, IN THEIR SOLE DISCRETION AS ENHANCING THE OVERALL QUALITY OF LIFE IN THE AREAS SERVED.

FORM 990-BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ZOOLOGICAL SOCIETY OF BUFFALO, INC 300 PARKSIDE AVENUE BUFFALO, NY 14214	NONE PC	ANNUAL APPEAL	10,000
HAUPTMAN WOODWARD MEDICAL RESEARCH 700 ELLICOTT BUFFALO, NY 14203	NONE PC	ANNUAL APPEAL	5,000.
KALEIDA HEALTH FOUNDATION 1260 DELAWARE AVENUE BUFFALO, NY 14209	NONE PC	ANNUAL APPEAL	5,000.
ROSWELL PARK ALLIANCE FOUNDATION ELM & CARLTON STREETS BUFFALO, NY 14263	NONE PC	CARLY'S CLUB	17,000.
THE JACKSON LABORATORY 600 MAIN ST BAR HARBOR, ME 04609	NONE PC	ANNUAL APPEAL	2,500.
CENTER FOR RESPONSIBLE TRAVEL 1333 H STREET, NW, EAST TOWER WASHINGTON, DC 20005	NONE PC	CARE FOR THE CAPE & ISLANDS TRAVELERS PHILANTHROPY PROJECT	2,500

FORM 990DPF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BUFFALO PHILHARMONIC ORCHESTRA 499 FRANKLIN STREET BUFFALO, NY 14202	NONE PC	ANNUAL APPEAL	12,500
SENECA STREET COMMUNITY DEVELOPMENT CENTER 1218 SENECA STREET BUFFALO, NY 14210	NONE PC	ANNUAL APPEAL	4,000.
WINGS FLIGHTS OF HOPE, INC P O BOX 872 ORCHARD PARK NEW YORK, NY 14127	NONE PC	ANNUAL APPEAL	5,000.
MICHAEL J FOX FDN FOR PARKINSONS RESEARCH P O BOX 4777 NEW YORK, NY 10163	NONE PC	ANNUAL APPEAL	5,000.
THE TEACHER'S DESK 22 NORTHAMPTON STREET BUFFALO, NY 14209	NONE PC	ANNUAL APPEAL	3,000.
KEVIN GUEST HOUSE 782 ELLICOTT STREET BUFFALO, NY 14203	NONE PC	ANNUAL APPEAL	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNION-PLEASANT ELEMENTARY SCHOOL 51 STATION AVENUE BASS RIVER, MA 02664	NONE		CARE FOR THE CAPE AND ISLANDS	5,000.
	PC			
			TOTAL CONTRIBUTIONS PAID	81,500.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME	
		AMOUNT	CODE	AMOUNT	
DEBARTOLO OPP. FUND I - ORDINARY INCOME	523000	-3,687.	18	4,295.	
DEBARTOLO OPP. FUND I - OTHER INCOME			18	-495.	
ROMARK LABS LC - BOOK ADJUSTMENT			01	14.	
CLASS ACTION SETTLEMENT PROCEEDS					
TOTALS		-3,687.		3,814.	