efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491252005175

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
Shupe Family Foundation

% JEFFERY M SHUPE

Number and street (or P O box number if mail is not delivered to street address)
443 S Vine Street
Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
Hinsdale, IL 605214045

A Employer identification number
26-3615062

B Telephone number (see instructions)
(630) 908-7535

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,129,927

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	0			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	28,906	29,226		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	24,670			
b	Gross sales price for all assets on line 6a 306,562				
7	Capital gain net income (from Part IV, line 2)		24,670		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	600			
12	**Total.** Add lines 1 through 11	54,176	53,896		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	100	50	0	50
b	Accounting fees (attach schedule)	3,625	1,812	0	1,813
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	2,171	2,171		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	7,686	7,661		25
24	**Total operating and administrative expenses.** Add lines 13 through 23	13,582	11,694	0	1,888
25	Contributions, gifts, grants paid	124,474			124,474
26	**Total expenses and disbursements.** Add lines 24 and 25	138,056	11,694	0	126,362
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-83,880			
b	**Net investment income** (if negative, enter -0-)		42,202		
c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	15,129	8,825	8,825
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	493,884	405,709	450,858
c	Investments—corporate bonds (attach schedule)	351,110	361,469	670,244
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	860,123	776,003	1,129,927
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	0	0	
30	**Total net assets or fund balances** (see instructions)	860,123	776,003	
31	**Total liabilities and net assets/fund balances** (see instructions)	860,123	776,003	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	860,123
2	Enter amount from Part I, line 27a	2	-83,880
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	776,243
5	Decreases not included in line 2 (itemize) ▶	5	240
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	776,003

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	CREDIT SUISSE #4719 - ST - SEE ATTACHED			2014-12-31
b	CREDIT SUISSE #4719 - LT - SEE ATTACHED			2014-12-31
c	CREDIT SUISSE #4901 - ST - SEE ATTACHED			2014-12-31
d	CREDIT SUISSE #4901 - LT - SEE ATTACHED			2014-12-31
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	40,887		45,671	-4,784
b	182,055		151,521	30,534
c	2,353		2,357	-4
d	81,267		82,343	-1,076
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				-4,784
b				30,534
c				-4
d				-1,076
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	24,670
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	90,172	1,078,805	0 083585
2012	78,389	990,573	0 079135
2011	62,993	978,762	0 06436
2010	80,575	1,045,065	0 0771
2009	49,975	1,006,499	0 049652

2	**Total** of line 1, column (d).	2	0 353832
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070766
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	1,149,390
5	Multiply line 4 by line 3.	5	81,338
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	422
7	Add lines 5 and 6.	7	81,760
8	Enter qualifying distributions from Part XII, line 4.	8	126,362

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	422
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	422
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	422
6	Credits/Payments			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 700		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	700
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	278
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 278 **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JEFFERY M SHUPE Telephone no ▶(630) 908-7535

Located at ▶443 S VINE STREET HINSDALE IL ZIP+4 ▶60521

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JEFFERY M SHUPE 443 S Vine Street Hinsdale, IL 605214045	GRANTOR/TRUSTEE 0	0		
SUSAN SHUPE 443 S Vine Street Hinsdale, IL 605214045	GRANTOR/TRUSTEE 0	0		
PATRICK JOHNSON 443 S Vine Street Hinsdale, IL 605214045	GRANTOR/TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,150,537
b	Average of monthly cash balances	1b	16,356
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,166,893
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,166,893
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,503
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,149,390
6	**Minimum investment return.** Enter 5% of line 5	6	57,470

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	57,470
2a	Tax on investment income for 2014 from Part VI, line 5	2a	422		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	422
3	Distributable amount before adjustments Subtract line 2c from line 1			3	57,048
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	57,048
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	57,048

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	126,362
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	126,362
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	422
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	125,940

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				57,048
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. 341				
b From 2010. 29,622				
c From 2011. 14,539				
d From 2012. 29,394				
e From 2013. 37,298				
f **Total** of lines 3a through e.	111,194			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 126,362				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				57,048
e Remaining amount distributed out of corpus	69,314			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	180,508			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	341			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	180,167			
10 Analysis of line 9				
a Excess from 2010. . . . 29,622				
b Excess from 2011. . . . 14,539				
c Excess from 2012. . . . 29,394				
d Excess from 2013. . . . 37,298				
e Excess from 2014. . . . 69,314				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JEFFERY SHUPE AND SUSAN SHUPE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				124,474
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	28,906	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	24,670	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a INCOME TAX REFUND			01	600	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				54,176	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 54,176

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2015-08-20 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
STEVEN W SCHEIBE				P00965239

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's EIN ▶

Firm's address ▶ One North Wacker Drive Chicago, IL 60606

Phone no (312) 298-2000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WORLD BYCICLE RELIEF 1333 N Kingsbury Ave 4th Floor Chicago, IL 60642	NONE		TO FURTHER THE MISSION OF THE DONEE	12,621
Evangelical Covenant Church of Hinsdale 412 South Garfield Street Hinsdale, IL 60521	NONE		TO FURTHER THE MISSION OF THE DONEE	63,500
THE COMMUNITY HOUSE 415 w 8th st hinsdale, IL 60521	NONE		TO FURTHER THE MISSION OF THE DONEE	2,000
WESLEY FOUNDATION 1203 W GREEN ST URBANA, IL 61801	NONE		TO FURTHER THE MISSION OF THE DONEE	1,800
INDY WEST WRESTLING 10228 CORNITH WAY AVON, IN 46123	NONE		TO FURTHER THE MISSION OF THE DONEE	10,000
FEED MY STARVING CHILDREN 401 93rd Avenue NW Coon Rapids, MN 55433	NONE		TO FURTHER THE MISSION OF THE DONEE	10,000
THE HINSDALE ASSEMBLY 120 NORTH OAK STREET HINSDALE, IL 60521	NONE		TO FURTHER THE MISSION OF THE DONEE	2,500
INTERNATIONAL MOUNTAIN BICYCLING ASSOCIATION PO BOX 20280 BOULDER, CO 80308	NONE		TO FURTHER THE MISSION OF THE DONEE	1,500
WYCC 6258 S UNION AVE CHICAGO, IL 60621	NONE		TO FURTHER THE MISSION OF THE DONEE	200
PAW PAW LAKE FOUNDATION PO BOX 341 WATERLIET, MI 49098	NONE		TO FURTHER THE MISSION OF THE DONEE	2,000
HINSDALE CENTRAL HIGH SCHOOL 5500 S Grant St Hinsdale, IL 60521	NONE		TO FURTHER THE MISSION OF THE DONEE	1,500
AGAPE INTERNATIONAL 5700 BUCKINGHAM PARKWAY CULVER CITY, CA 90230	NONE		TO FURTHER THE MISSION OF THE DONEE	10,023
CLIMATE RIDE 114 W PINE STREET MISSOULA, MT 59802	NONE		TO FURTHER THE MISSION OF THE DONEE	500
RILEY CHILDREN'S FOUNDATION 30 SOUTH MERIDIAN STREET SUITE 200 INDIANAPOLIS, IN 462043509	NONE		TO FURTHER THE MISSION OF THE DONEE	500
FELLOWSHIP OF CHRISTIAN ATHLETES 8701 LEEDS ROAD KANSAS CITY, MO 64129	NONE		TO FURTHER THE MISSION OF THE DONEE	1,000
Total . . . . ▶ **3a**				124,474

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOOD FOR THE POOR 6401 LYONS ROAD COCONUT CREEK, FL 33073	NONE		TO FURTHER THE MISSION OF THE DONEE	90
THRIVE MINISTRY 9150 W JEWELL AVE STE 102 LAKEWOOD, CO 80232	NONE		TO FURTHER THE MISSION OF THE DONEE	720
MADISON PTO 611 S MADISON HINSDALE, IL 60521	NONE		TO FURTHER THE MISSION OF THE DONEE	470
HARBOR POINT MINISTRIES 1724 W MAIN ST LAKE GENEVA, WI 53147	NONE		TO FURTHER THE MISSION OF THE DONEE	3,000
AMERICAN DIABETES ASSOCIATION 1701 NORTH BEAUREGARD STREET ALEXANDRIA, VA 22311	NONE		TO FURTHER THE MISSION OF THE DONEE	550
Total . . . . ▶ **3a**				124,474

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491252005175

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*
▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0123

2014

Attachment Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

26-3615062

Before you check Box A, B, or C below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions **(f)** Code(s) from instructions	**(g)** Amount of adjustment	**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
CREDIT SUISS		12-31-2014	40,887	(45,671)			-4,784
CREDIT SUISS		12-31-2014	2,353	(2,357)			-4
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . . ▶			43,240	(48,028)			-4,788

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side: Shupe Family Foundation

Social security number or taxpayer identification number: 26-3615062

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
CREDIT SUISS		12-31-2014	182,055	(151,521)			30,534
CREDIT SUISS		12-31-2014	81,267	(82,343)			-1,076
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . . ▶			263,322	(233,864)			29,458

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

TY 2014 Accounting Fees Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES	3,625	1,812		1,813

TY 2014 Depreciation Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

TY 2014 Investments Corporate Bonds Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE #4901	361,469	670,244

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491252005175

TY 2014 Investments Corporate Stock Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE #4719	405,709	450,858
CREDIT SUISSE #4901	0	0

TY 2014 Legal Fees Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	100	50		50

TY 2014 Other Decreases Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Description	Amount
OTHER DECREASES	240

TY 2014 Other Expenses Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IL CHARITY BUREAU FUND	15			15
IL SECRETARY OF STATE	10			10
CREDIT SUISSE #4719 FEES	7,536	7,536		
INTEREST EXPENSE	8	8		
FOREIGN DIVIDEND EXPENSE	117	117		

TY 2014 Other Income Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
US TREASURY REFUND	600		

TY 2014 Taxes Schedule

Name: Shupe Family Foundation

EIN: 26-3615062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	1,509	1,509		
US TREASURY PAYMENTS	662	662		

[redacted]

CREDIT SUISSE

Statement Period: 12/01/2014 - 12/31/2014

Portfolio Holdings Disclosures *(continued)*

Foreign Currency Transactions *(continued)*

conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your shares held on margin may be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	**Non Taxable**	**Taxable**	**Non Taxable**
Dividend Income				
Money Market	0 04	0 00	1 16	0 00
Other Dividends	0 00	0 00	1,447 86	0 00
Interest Income				
Credit Interest	0 00	0 00	0 00	-7 69
Total Dividends, Interest, Income and Expenses	**$0.04**	**$0.00**	**$1,449.02**	**-$7.69**

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
07/02/14	07/31/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 785	210 61	209 70	-0 91
07/02/14	08/30/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	46 330	212 19	212 19	0 00
07/02/14	09/30/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	44 767	205 48	205 03	-0 45
07/02/14	10/31/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	42 830	197 02	196 16	-0 86
07/02/14	11/29/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	43 441	199 83	198 96	-0 87
07/02/14	12/18/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	1 952	8 96	8 94	-0 02

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
07/02/14	12/18/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	21 865	100 36	100 14	-0 22
07/02/14	12/31/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	42 236	193 44	193 44	0 00
07/02/14	01/31/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	44 070	202 28	201 84	-0 44
07/02/14	02/28/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 514	208 91	208 45	-0 46
07/02/14	03/31/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	43 738	200 32	200 32	0 00
07/02/14	04/30/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 880	210 13	210 13	0 00
07/02/14	05/30/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 257	207 73	207 28	-0 45
Total Short Term					**$2,357.26**	**$2,352.58**	**-$4.68**
Long Term							
07/02/14	08/20/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	17,241 379	80,000 00	78,965 52	-1,031 72 -2 76[3]
07/02/14	08/31/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	13 589	63 19	62 24	-0 95
07/02/14	09/28/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 668	213 27	209 16	-4 11
07/02/14	10/31/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 327	212 13	207 60	-4 53
07/02/14	11/30/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	43 370	202 54	198 63	-3 91
07/02/14	12/18/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	5 203	24 35	23 83	-0 52
07/02/14	12/31/12	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	44 071	206 25	201 85	-4 40
07/02/14	01/31/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	41 771	195 49	191 31	-4 18
07/02/14	02/28/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 002	210 61	206 11	-4 50
07/02/14	03/28/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	42 122	196 71	192 92	-3 79
07/02/14	04/30/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	42 534	199 06	194 81	-4 25

[redacted]

CREDIT SUISSE

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
07/02/14	05/31/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	42 219	196 32	193 36	-2 96
07/02/14	06/28/13	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX	45 632	209 45	208 99	-0 46
07/02/14	06/30/14	SELL First In First Out	LORD ABBETT SHORT DU OME FUND CLASS C Security Identifier LDLAX Your lot has been adjusted due to a wash sale for more than one year	46 000	213 90	210 68	-3 22
Total Long Term					**$82,343.27**	**$81,267.01**	**-$1,073.50**
Total Short Term and Long Term					**$84,700.53**	**$83,619.59**	**-$1,078.18**

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2014, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital In addition, corporate action events may require adjustments to your original cost basis Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you

When you report your cost basis on your tax return, it should be verified using all of your own records In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H R 1424 You should consult with your tax advisor in order to properly report your gain or loss for tax purposes Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	1,236 71	0 00	17,335 51	0 00
Interest Income				
FDIC Insured Bank Deposits	0 09	0 00	2 16	0 00
Other Interest	0 00	0 00	561 86	0 00
Expenses				
Withholding Taxes	-46 23	0 00	-1,508 70	0 00
Fees(Foreign Securities)	-2 64	0 00	-99 95	0 00
Total Dividends, Interest, Income and Expenses	**$1,187.93**	**$0.00**	**$16,290.88**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0 00	0 00	-660 10	0 00
Total Distributions	**$0.00**	**$0.00**	**-$660.10**	**$0.00**

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment
Dividends						
ALTRIA GROUP INC COM	12/24/14	01/09/15	311 000	0 520000	Cash	161 72
GENERAL ELECTRIC CO COM	12/22/14	01/26/15	879 000	0 230000	Cash	202 17
GENUINE PARTS CO	12/05/14	01/02/15	161 000	0 575000	Cash	92 58
MERCK & CO INC NEW COM	12/15/14	01/08/15	156 000	0 450000	Cash	70 20
PPL CORP COM	12/10/14	01/02/15	409 000	0 372500	Cash	152 35
WAL MART STORES INC COM	12/05/14	01/05/15	243 000	0 480000	Cash	116 64
Total Cash Not Yet Received						**$795.66**

Assets shown here are not reflected in your account This information has been received from sources we believe to be reliable Pershing does not guarantee the accuracy of the information

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
03/12/14	05/29/13	SELL High Cost Long Term	STANLEY BLACK & DECK Security Identifier SWK	98 000	7,912 03	7,853 76	-58 27
06/03/14	12/18/13	SELL High Cost Long Term	AUTOMATIC DATA PROCE COM Security Identifier ADP	114 000	8,918 59	8,970 69	52 10
06/03/14	09/19/13	SELL High Cost Long Term	VALE SA ADR REPSTG P 91912E2046 Security Identifier 91912E204 Original Cost Basis 5,566 05	374 000	5,566 05	4,247 18	-1,318 87

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
10/21/14	06/03/14	SELL High Cost Long Term	ENSCO PLC SHS CL A I VLR192 Security Identifier ESV	248 000	13,090 58	9,551 37	-3,539 21
11/25/14	06/03/14	SELL High Cost Long Term	ACCENTURE PLC IRELAN S ISIN#IE00B4BNMY34 Security Identifier ACN	11 000	896 27	937 15	40 88
11/25/14	06/03/14	SELL High Cost Long Term	AMERICAN ELECTRIC PO Security Identifier AEP	12 000	643 92	680 88	36 96
11/25/14	06/03/14	SELL High Cost Long Term	BANK OF MONTREAL Security Identifier BMO	4 000	281 06	296 00	14 94
11/25/14	06/03/14	SELL High Cost Long Term	DIAGEO PLC SPONSORED SIN#US25243Q2057 Security Identifier DEO	5 000	642 17	598 45	-43 72
11/25/14	10/21/14	SELL High Cost Long Term	ENERSIS S A SPON ADR 274F1049 Security Identifier ENI	7 000	109 20	117 71	8 51
11/25/14	06/03/14	SELL High Cost Long Term	JP MORGAN CHASE & CO US46625H1005 Security Identifier JPM	4 000	221 83	241 20	19 37
11/25/14	06/03/14	SELL High Cost Long Term	JOHNSON & JOHNSON CO Security Identifier JNJ	9 000	918 12	962 73	44 61
11/25/14	06/03/14	SELL High Cost Long Term	MCDONALDS CORP Security Identifier MCD	6 000	610 91	582 54	-28 37
11/25/14	10/21/14	SELL High Cost Long Term	MICROSOFT CORP COM Security Identifier MSFT	17 000	759 01	809 37	50 36
11/25/14	06/03/14	SELL High Cost Long Term	OCCIDENTAL PETE CORP Security Identifier OXY	2 000	200 14	172 46	-27 68

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
11/25/14	06/03/14	SELL High Cost Long Term	RIO TINTO PLC SPONSO IN#US7672041008 Security Identifier RIO	12 000	623 99	564 48	-59 51
11/25/14	06/03/14	SELL High Cost Long Term	TRAVELERS COS INC CO Security Identifier TRV	3 000	278 68	311 13	32 45
11/25/14	06/03/14	SELL High Cost Long Term	WAL MART STORES INC Security Identifier WMT	12 000	919 67	1,021 68	102 01
12/04/14	06/03/14	CLEU First In First Out	CALIFORNIA RES CORP Security Identifier CRC	0 800	6 97	5 64	-1 33
12/17/14	06/03/14	SELL High Cost Long Term	ACCENTURE PLC IRELAN S ISIN#IE00B4BNMY34 Security Identifier ACN	4 000	325 92	333 64	7 72
12/17/14	06/03/14	SELL High Cost Long Term	AMERICAN ELECTRIC PO Security Identifier AEP	5 000	268 30	290 50	22 20
12/17/14	06/03/14	SELL High Cost Long Term	BANK OF MONTREAL Security Identifier BMO	1 000	70 27	68 62	-1 65
12/17/14	06/03/14	SELL High Cost Long Term	CALIFORNIA RES CORP Security Identifier CRC	20 000	174 37	118 01	-56 36
12/17/14	06/03/14	SELL High Cost Long Term	DIAGEO PLC SPONSORED SIN#US25243Q2057 Security Identifier DEO	2 000	256 87	224 02	-32 85
12/17/14	10/21/14	SELL High Cost Long Term	ENERSIS S A SPON ADR 274F1049 Security Identifier ENI	4 000	62 40	61 20	-1 20
12/17/14	06/03/14	SELL High Cost Long Term	JP MORGAN CHASE & CO US46625H1005 Security Identifier JPM	1 000	55 46	58 78	3 32
12/17/14	06/03/14	SELL High Cost Long Term	JOHNSON & JOHNSON CO Security Identifier JNJ	4 000	408 06	412 00	3 94
12/17/14	06/03/14	SELL High Cost Long Term	MCDONALDS CORP Security Identifier MCD	3 000	305 45	265 53	-39 92
12/17/14	10/21/14	SELL High Cost Long Term	MICROSOFT CORP COM Security Identifier MSFT	7 000	312 54	315 70	3 16

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
12/17/14	06/03/14	SELL High Cost Long Term	OCCIDENTAL PETE CORP Security Identifier OXY	1 000	96 58	76 59	-19 99
12/17/14	06/03/14	SELL High Cost Long Term	RIO TINTO PLC SPONSO IN#US7672041008 Security Identifier RIO	5 000	259 99	218 95	-41 04
12/17/14	06/03/14	SELL High Cost Long Term	TRAVELERS COS INC CO Security Identifier TRV	1 000	92 89	102 97	10 08
12/17/14	06/03/14	SELL High Cost Long Term	WAL MART STORES INC Security Identifier WMT	5 000	383 20	416 25	33 05
Total Short Term					**$45,671.49**	**$40,887.18**	**-$4,784.31**
Long Term							
03/07/14	08/18/11 *	RDMG High Cost Long Term	OSRAM LICHT AG NAMEN N ISIN#DE000LED4000 Security Identifier OSAGF	21 600	757 84	660 10	-97 74
03/12/14	05/24/12	SELL High Cost Long Term	CA INC COM Security Identifier CA	292 000	7,340 65	9,428 03	2,087 38
04/29/14	02/17/11 *	SELL Versus Purchase	ASTRAZENECA PLC SPON Security Identifier AZN	171 000	8,361 57	13,344 32	4,982 75
04/29/14	05/19/11 *	SELL Versus Purchase	ASTRAZENECA PLC SPON Security Identifier AZN	16 000	825 50	1,248 60	423 10
06/03/14	05/24/12	SELL High Cost Long Term	ABB LTD SPONSORED AD Security Identifier ABB	412 000	6,734 59	9,678 17	2,943 58
06/03/14	05/29/13	SELL High Cost Long Term	ASTRAZENECA PLC SPON Security Identifier AZN	28 000	1,464 92	2,038 30	573 38

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
06/03/14	02/17/11 *	SELL High Cost Long Term	ASTRAZENECA PLC SPON Security Identifier AZN	158 000	7,725 90	11,501 85	3,775 95
06/03/14	10/20/11 *	SELL High Cost Long Term	ASTRAZENECA PLC SPON Security Identifier AZN	42 000	1,976 88	3,057 45	1,080 57
06/03/14	05/19/11 *	SELL High Cost Long Term	BASF SE SPONS ADR IS 25057 Security Identifier BASFY	24 000	2,178 00	2,762 40	584 40
06/03/14	05/19/11 *	SELL High Cost Long Term	BEMIS CO INC COM Security Identifier BMS	84 000	2,802 91	3,445 92	643 01
06/03/14	05/19/11 *	SELL High Cost Long Term	CHINA MOBILE LTD SPO ISIN#US16941M099 Security Identifier CHL	142 000	6,352 58	6,998 61	646 03
06/03/14	05/19/11 *	SELL High Cost Long Term	GENUINE PARTS CO Security Identifier GPC	40 000	2,181 73	3,409 40	1,227 67
06/03/14	05/29/13	SELL High Cost Long Term	HARRIS CORP DEL Security Identifier HRS	96 000	4,912 75	7,240 19	2,327 44
06/03/14	10/24/12	SELL High Cost Long Term	HARRIS CORP DEL Security Identifier HRS	49 000	2,275 28	3,695 51	1,420 23
06/03/14	05/24/12	SELL High Cost Long Term	INTEL CORP COM Security Identifier INTC	112 000	2,865 65	3,073 32	207 67
06/03/14	02/17/11 *	SELL High Cost Long Term	INTEL CORP COM Security Identifier INTC	176 000	3,860 75	4,829 49	968 74
06/03/14	05/24/12	SELL High Cost Long Term	LORILLARD INC COM Security Identifier LO	279 000	11,824 23	16,598 69	4,774 46
06/03/14	08/18/11 *	SELL High Cost Long Term	SIEMENS A G SPONSORE #US8261975010 Security Identifier SIEGY	72 000	6,923 92	9,558 92	2,635 00
06/03/14	05/29/13	SELL High Cost Long Term	SYMANTEC CORP Security Identifier SYMC	391 000	8,942 17	8,437 55	-504 62

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
06/03/14	05/24/12	SELL High Cost Long Term	THOMSON REUTERS CORP CA8849031056 Security Identifier TRI	140 000	4,024 02	4,836 43	812 41
06/03/14	10/20/11 *	SELL High Cost Long Term	THOMSON REUTERS CORP CA8849031056 Security Identifier TRI	333 000	9,277 06	11,503 78	2,226 72
06/03/14	02/17/11 *	SELL High Cost Long Term	TOTAL S A SPONSORED Security Identifier TOT	60 000	3,536 29	4,177 29	641 00
06/03/14	10/20/11 *	SELL High Cost Long Term	VALE SA ADR REPSTG P 91912E2046 Security Identifier 91912E204 Original Cost Basis 9,237 80	442 000	9,237 80	5,019 40	-4,218 40
06/03/14	05/24/12	SELL High Cost Long Term	VALE SA ADR REPSTG P 91912E2046 Security Identifier 91912E204 Original Cost Basis 10,454 24	584 000	10,454 24	6,631 96	-3,822 28
10/21/14	02/17/11 *	SELL High Cost Long Term	MATTEL INC COM Security Identifier MAT	261 000	6,340 66	7,684 91	1,344 25
11/25/14	05/24/12	SELL High Cost Long Term	ABB LTD SPONSORED AD Security Identifier ABB	37 000	604 81	852 48	247 67
11/25/14	05/24/12	SELL High Cost Long Term	ALTRIA GROUP INC COM Security Identifier MO	15 000	481 66	743 25	261 59
11/25/14	05/19/11 *	SELL High Cost Long Term	BASF SE SPONS ADR IS 25057 Security Identifier BASFY	4 000	363 00	372 64	9 64
11/25/14	05/19/11 *	SELL High Cost Long Term	BEMIS CO INC COM Security Identifier BMS	6 000	200 21	239 19	38 98
11/25/14	09/19/13	SELL High Cost Long Term	ERICSSON L M TEL CO EK 10 NEW EXCH FOR ADR CL B SE K NEW Security Identifier ERIC	60 000	847 96	760 80	-87 16

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
11/25/14	05/29/13	SELL High Cost Long Term	GENERAL ELECTRIC CO Security Identifier GE	43 000	1,016 00	1,157 99	141 99
11/25/14	05/19/11 *	SELL High Cost Long Term	GENUINE PARTS CO Security Identifier GPC	7 000	381 80	712 39	330 59
11/25/14	10/24/12	SELL High Cost Long Term	HARRIS CORP DEL Security Identifier HRS	5 000	232 17	360 20	128 03
11/25/14	05/19/11 *	SELL High Cost Long Term	LILLY ELI & CO COM Security Identifier LLY	11 000	424 57	737 55	312 98
11/25/14	02/22/13	SELL High Cost Long Term	MERCK & CO INC NEW C Security Identifier MRK	8 000	343 98	476 80	132 82
11/25/14	05/29/13	SELL High Cost Long Term	NOVARTIS AG SPONSORE Security Identifier NVS	9 000	654 13	855 63	201 50
11/25/14	05/29/13	SELL High Cost Long Term	PPL CORP COM Security Identifier PPL	20 000	599 80	704 80	105 00
11/25/14	09/19/13	SELL High Cost Long Term	QUALCOMM INC Security Identifier QCOM	13 000	908 03	936 26	28 23
11/25/14	05/29/13	SELL High Cost Long Term	ROCHE HLDGS LTD SPON ISIN#US7711951043 Security Identifier RHHBY	28 000	895 67	1,041 88	146 21
11/25/14	02/17/11 *	SELL High Cost Long Term	SANOFI SPONS ADR ISI 1054 Security Identifier SNY	17 000	594 97	812 43	217 46
11/25/14	09/19/13	SELL High Cost Long Term	SIEMENS A G SPONSORE #US8261975010 Security Identifier SIEGY	7 000	855 94	834 12	-21 82
11/25/14	02/17/11 *	SELL High Cost Long Term	STATOIL ASA SPONSORE #US85771P1021 Security Identifier STO	32 000	781 42	710 72	-70 70
11/25/14	05/19/11 *	SELL High Cost Long Term	SYSCO CORP COM Security Identifier SYY	12 000	388 08	474 24	86 16

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
11/25/14	02/17/11 *	SELL High Cost Long Term	TOTAL S A SPONSORED Security Identifier TOT	17 000	1,001 95	1,015 07	13 12
11/25/14	05/29/13	SELL High Cost Long Term	UNILEVER PLC SPON AD #US9047677045 Security Identifier UL	24 000	1,030 63	991 92	-38 71
12/17/14	05/24/12	SELL High Cost Long Term	ABB LTD SPONSORED AD Security Identifier ABB	15 000	245 19	312 60	67 41
12/17/14	05/24/12	SELL High Cost Long Term	ALTRIA GROUP INC COM Security Identifier MO	6 000	192 67	296 28	103 61
12/17/14	05/19/11 *	SELL High Cost Long Term	BASF SE SPONS ADR IS 25057 Security Identifier BASFY	1 000	90 75	85 45	-5 30
12/17/14	05/19/11 *	SELL High Cost Long Term	BEMIS CO INC COM Security Identifier BMS	3 000	100 10	131 55	31 45
12/17/14	05/29/13	SELL High Cost Long Term	BRITISH AMERN TOB PL D ADR ISIN#US1104481072 Security Identifier BTI	2 000	223 29	209 90	-13 39
12/17/14	09/19/13	SELL High Cost Long Term	ERICSSON L M TEL CO EK 10 NEW EXCH FOR ADR CL B SE K NEW Security Identifier ERIC	26 000	367 45	308 62	-58 83
12/17/14	05/29/13	SELL High Cost Long Term	GENERAL ELECTRIC CO Security Identifier GE	19 000	448 93	461 32	12 39
12/17/14	05/19/11 *	SELL High Cost Long Term	GENUINE PARTS CO Security Identifier GPC	4 000	218 17	409 56	191 39
12/17/14	10/24/12	SELL High Cost Long Term	HARRIS CORP DEL Security Identifier HRS	3 000	139 30	205 50	66 20

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
12/17/14	05/19/11 *	SELL High Cost Long Term	LILLY ELI & CO COM Security Identifier LLY	5 000	192 99	347 15	154 16
12/17/14	02/22/13	SELL High Cost Long Term	MERCK & CO INC NEW C Security Identifier MRK	4 000	171 99	226 28	54 29
12/17/14	05/29/13	SELL High Cost Long Term	NOVARTIS AG SPONSORE Security Identifier NVS	4 000	290 72	366 88	76 16
12/17/14	05/29/13	SELL High Cost Long Term	PPL CORP COM Security Identifier PPL	9 000	269 91	308 61	38 70
12/17/14	09/19/13	SELL High Cost Long Term	QUALCOMM INC Security Identifier QCOM	5 000	349 24	353 40	4 16
12/17/14	05/29/13	SELL High Cost Long Term	ROCHE HLDGS LTD SPON ISIN#US7711951043 Security Identifier RHHBY	12 000	383 86	432 60	48 74
12/17/14	02/17/11 *	SELL High Cost Long Term	SANOFI SPONS ADR ISI 1054 Security Identifier SNY	8 000	279 98	366 16	86 18
12/17/14	09/19/13	SELL High Cost Long Term	SIEMENS A G SPONSORE #US8261975010 Security Identifier SIEGY	3 000	366 83	339 45	-27 38
12/17/14	02/17/11 *	SELL High Cost Long Term	STATOIL ASA SPONSORE #US85771P1021 Security Identifier STO	14 000	341 87	236 60	-105 27
12/17/14	05/19/11 *	SELL High Cost Long Term	SYSCO CORP COM Security Identifier SYY	5 000	161 70	198 30	36 60
12/17/14	02/17/11 *	SELL High Cost Long Term	TOTAL S A SPONSORED Security Identifier TOT	8 000	471 50	408 48	-63 02
12/17/14	05/29/13	SELL High Cost Long Term	UNILEVER PLC SPON AD #US9047677045 Security Identifier UL	10 000	429 43	399 60	-29 83
Total Long Term					**$151,520.54**	**$182,055.24**	**$30,534.70**
Total Short Term and Long Term					**$197,192.03**	**$222,942.42**	**$25,750.39**