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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation KLEIN FAMILY FOUNDATION INC		A Employer identification number 26-3134339	
Number and street (or P O box number if mail is not delivered to street address) 42 TUCKAHOE ROAD		B Telephone number (see instructions) (203) 767-2046	
City or town, state or province, country, and ZIP or foreign postal code EASTON, CT 06612		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 6,111,490		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	173,245	173,241		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	206,274			
	b Gross sales price for all assets on line 6a 1,231,852				
	7 Capital gain net income (from Part IV, line 2) . . .		206,274		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	379,519	379,515		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,500	0		4,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	5,496	2,496		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	49,937	49,937		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	59,933	52,433		4,500
	25 Contributions, gifts, grants paid	340,550			340,550
	26 Total expenses and disbursements. Add lines 24 and 25	400,483	52,433		345,050
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-20,964			
	b Net investment income (if negative, enter -0-)		327,082		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	717,811	649,452	649,452
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	405,067 	322,749	342,635
	b	Investments—corporate stock (attach schedule)	1,209,269 	1,377,875	1,747,888
	c	Investments—corporate bonds (attach schedule).	798,358 	675,033	709,927
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,965,225 	2,048,417	2,655,321
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	 5,027 	 6,267 	 6,267
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,100,757	5,079,793	6,111,490
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	5,100,757	5,079,793	
	30	Total net assets or fund balances (see instructions)	5,100,757	5,079,793	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	5,100,757	5,079,793	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 5,100,757
2	Enter amount from Part I, line 27a	2 -20,964
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 5,079,793
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 5,079,793

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	206,274
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	360,630	5,974,972	0 060357
2012	249,113	5,733,312	0 043450
2011	270,425	5,711,512	0 047347
2010	223,503	5,612,806	0 039820
2009	325,905	5,381,535	0 060560

2	Total of line 1, column (d).	2	0 251534
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050307
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	6,109,855
5	Multiply line 4 by line 3.	5	307,368
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,271
7	Add lines 5 and 6.	7	310,639
8	Enter qualifying distributions from Part XII, line 4.	8	345,050

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,271
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	3,271
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,271
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	5,143	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	5,143
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,872
11		Enter the amount of line 10 to be Credited to 2015 estimated tax ☒ 1,872 Refunded ☐		11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☒ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MRS CARLA KLEIN Telephone no (203) 767-2046 Located at 42 TUCKAHOE ROAD EASTON CT ZIP+4 06612			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. If "Yes," list the years 20 , 20 , 20 , 20 ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARLA S KLEIN	PRESIDENT	0	0	0
42 TUCKAHOE ROAD EASTON,CT 06612	0 00			
KRISTEN CHIODO	SECRETARY	0	0	0
4 COOK CIRCLE TRUMBULL,CT 06611	0 00			
ERIC KLEIN	TREASURER	0	0	0
1288 SAXON DRIVE BIRMINGHAM,MI 48009	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,556,265
b	Average of monthly cash balances.	1b	640,366
c	Fair market value of all other assets (see instructions).	1c	6,267
d	Total (add lines 1a, b, and c).	1d	6,202,898
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	6,202,898
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	93,043
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,109,855
6	Minimum investment return. Enter 5% of line 5.	6	305,493

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	305,493
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	3,271
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,271
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	302,222
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	302,222
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	302,222

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	345,050
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	345,050
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	3,271
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	341,779
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				302,222
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				50,494
f Total of lines 3a through e.	50,494			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 345,050				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				302,222
e Remaining amount distributed out of corpus	42,828			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	93,322			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	93,322			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				50,494
e Excess from 2014. . . .				42,828

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CARLA S KLEIN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	340,550
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2015-04-30

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒

Yes

☐

No

Paid Preparer Use Only

Print/Type preparer's name

DAVID ZIEFF

Preparer's Signature

Date

Check if self-employed

☐

PTIN

P01236646

Firm's name

FRIEDBERG SMITH & CO PC

Firm's address

855 MAIN STREET 6TH FLOOR BRIDGEPORT, CT 066044915

Firm's EIN

06-1331879

Phone no

(203) 366-5876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MORGAN STANLEY #019928 - SEE STATEMENT	P		
MORGAN STANLEY #019928 - SEE STATEMENT	P		
MORGAN STANLEY #019928 - SEE STATEMENT	P		
MORGAN STANLEY #019935 - SEE STATEMENT	P		
MORGAN STANLEY #019935 - SEE STATEMENT	P		
MORGAN STANLEY #019935 - SEE STATEMENT	P		
MORGAN STANLEY #019935 - SEE STATEMENT	P		
BASIS ADJUSTMENT	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,647		7,581	66
78,813		83,908	-5,095
459,760		445,660	14,100
151,461		138,905	12,556
408		407	1
318,333		236,714	81,619
196,219		110,185	86,034
		2,218	-2,218
19,211			19,211

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66
			-5,095
			14,100
			12,556
			1
			81,619
			86,034
			-2,218
			19,211

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BRIDGEPORT HOSPITAL FOUNDATION 267 GRANT STREET BRIDGEPORT,CT 06610	N/A	501(C)(3)	JOEL E SMILOW HEART INSTITUTE AND HONOR YOUR CAREGIVER PROGRAM	12,000
BRIDGEPORT PUBLIC EDUCATION FUND INC (BPEC) 446 UNIVERSITY AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	EDUCATION	11,550
BRIDGEPORT YMCA 850 PARK AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	2014 ANNUAL CAMPAIGN	25,000
CARDINAL SHEHAN CENTER 1494 MAIN STREET BRIDGEPORT,CT 06604	N/A	501(C)(3)	UNRESTRICTED	10,000
CONNECTICUT FOOD BANK PO BOX 8686 NEW HAVEN,CT 06531	N/A	501(C)(3)	UNRESTRICTED	5,000
COOPERATIVE EDUCATION SERVICES (CES) 40 LINDERMAN DRIVE TRUMBULL,CT 06611	N/A	501(C)(3)	SCHOOL READINESS PROGRAM	10,000
CT COUNCIL FOR EDUCATION REFORM (CCER) 195 CHURCH STREET 7TH FLOOR HARTFORD,CT 06510	N/A	501(C)(3)	UNRESTRICTED	10,000
EVERY MOTHER COUNTS 180 VARICK STREET NEW YORK,NY 10014	N/A	501(C)(3)	UNRESTRICTED	2,000
FREEDOM HOUSE 2630 WEST LAFAYETTE DETROIT,MI 48216	N/A	501(C)(3)	UNRESTRICTED	4,000
GLENER'S COMMUNITY FOOD BANK (GCFB) 2131 BEAUFIT DETROIT,MI 48207	N/A	501(C)(3)	UNRESTRICTED	2,000
HAITIAN HEALTH FOUNDATION 97 SHERMAN STREET NORWICH,CT 06360	N/A	501(C)(3)	PROVIDE ASSISTANCE TO THE POOR IN JEREMIE, HAITI	20,000
HELP WILLY'S FRIENDS PO BOX 556 DURHAM,CT 06422	N/A	501(C)(3)	UNRESTRICTED	1,000
HOUSATONIC COMMUNITY FOUNDATION 900 LAFAYETTE BLVD BRIDGEPORT,CT 06604	N/A	501(C)(3)	SCHOLARSHIPS FOR HCC STUDENTS	20,000
MERCY LEARNING CENTER 637 PARK AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	UNRESTRICTED	5,000
SCHOOL VOLUNTEER ASSOCIATION 900 BOSTON AVENUE BRIDGEPORT,CT 06610	N/A	501(C)(3)	UNRESTRICTED	5,000
Total  3a				340,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTHWEST SOLUTIONS 1700 WATERMAN STREET DETROIT, MI 48226	N/A	501(C)(3)	PIQUETTE SQUARE FOR HOMELESS VETERANS	4,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	N/A	501(C)(3)	RESEARCH AND TREATMENT FOR CHILDHOOD DISEASES	5,000
UNITED WAY OF COASTAL FAIRFIELD COUNTY 75 WASHINGTON AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	UNRESTRICTED	20,000
UNITED WAY OF SOUTHEASTERN MICHIGAN 660 WOODWARD AVENUE DETROIT, MI 48226	N/A	501(C)(3)	UNRESTRICTED	3,000
UNIVERSITY OF BRIDGEPORT 126 PARK AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	LAUREN ROUSSEAU ELEMENTARY EDUCATION MEMORIAL SCHOLARSHIP	5,000
UNIVERSITY OF CONNECTICUT FOUNDATION INC 2390 ALUMNI DRIVE STORRS, CT 06269	N/A	501(C)(3)	SCHOLARSHIP FUND & ENDOWMENT FUND FOR URBAN EDUCATION	51,000
UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET ANN ARBOR, MI 48109	N/A	501(C)(3)	ESOPHAGEAL CANCER RESEARCH	80,000
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD SUITE 300 JACKSONVILLE, FL 32256	N/A	501(C)(3)	UNRESTRICTED	10,000
YALE-NEW HAVEN HOSPITAL 2 HOWE STREET NEW HAVEN, CT 06511	N/A	501(C)(3)	PALLIATIVE CARE PROGRAMSIMILOW CANCER HOSPITAL AT YALE-NEW HAVEN	20,000
Total			3a	340,550

TY 2014 Accounting Fees Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	4,500	0		4,500

**TY 2014 Investments Corporate
Bonds Schedule**

Name: KLEIN FAMILY FOUNDATION INC
EIN: 26-3134339

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE FIXED INCOME	675,033	709,927

**TY 2014 Investments Corporate
Stock Schedule****Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON & PREFERRED STOCK	1,377,875	1,747,888

TY 2014 Investments Government Obligations Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

**US Government Securities - End of
Year Book Value:**

80,591

**US Government Securities - End of
Year Fair Market Value:**

85,114

**State & Local Government
Securities - End of Year Book
Value:**

242,158

**State & Local Government
Securities - End of Year Fair
Market Value:**

257,521

TY 2014 Investments - Other Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	AT COST	1,037,274	1,142,708
ETF AND CLOSED END FUNDS	AT COST	1,011,143	1,512,613

TY 2014 Other Assets Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	5,027	6,267	6,267

TY 2014 Other Expenses Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	49,937	49,937		0

TY 2014 Taxes Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	3,000	0		0
FOREIGN TAX WITHHELD	2,496	2,496		0

Klein Family Foundation Inc.
Gain (Loss) on Sale of Securities
12/31/14

	<u>Sales Price</u>	<u>Cost</u>	<u>Gain (Loss)</u>
<u>Morgan Stanley #019928</u>			
Short Term - Covered	7,646.55	7,580.79	65.76
Long Term - Covered	78,813.03	83,908.38	(5,095.35)
Long Term - Noncovered	459,760.57	445,660.41	14,100.16
Total	<u>546,220.15</u>	<u>537,149.58</u>	<u>9,070.57</u>
<u>Morgan Stanley #019935</u>			
Short Term - Covered	151,460.49	138,904.64	12,555.85
Short Term - Noncovered	408.59	407.08	1.51
Long Term - Covered	318,332.87	236,714.41	81,618.46
Long Term - Noncovered	196,219.08	110,184.84	86,034.24
Other Basis adjustments	0.00	2,217.83	(2,217.83)
Total	<u>666,421.03</u>	<u>488,428.80</u>	<u>177,992.23</u>
Summary:			
Morgan Stanley #019928	546,220.15	537,149.58	9,070.57
Morgan Stanley #019935	<u>666,421.03</u>	<u>488,428.80</u>	<u>177,992.23</u>
Total Gain (Loss)	<u>1,212,641.18</u>	<u>1,025,578.38</u>	<u>187,062.80</u>

Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PIMCO ALL ASSET ALL AUTH P		CUSIP: 72201M438	Symbol: PAUPX						
	164.981	12/30/13	10/15/14	\$1,636.61	\$1,636.61	\$0.00	\$0.00	\$0.00	
	31.560	03/20/14	10/15/14	\$313.07	\$311.18	\$0.00	\$1.89	\$0.00	
	39.652	06/19/14	10/15/14	\$393.35	\$411.19	\$0.00	(\$17.84)	\$0.00	
	42.267	09/18/14	10/15/14	\$419.34	\$424.78	\$0.00	(\$5.44)	\$0.00	
Security Subtotal	278.460			\$2,762.37	\$2,783.76	\$0.00	(\$21.39)	\$0.00	
PIMCO ALL ASSET P		CUSIP: 72201M867	Symbol: PALPX						
	35.542	09/19/13	09/12/14	\$448.90	\$437.88	\$0.00	\$11.02	\$0.00	
	110.259	12/30/13	09/12/14	\$1,392.57	\$1,334.13	\$0.00	\$58.44	\$0.00	
	24.746	03/20/14	09/12/14	\$312.54	\$299.18	\$0.00	\$13.36	\$0.00	
	32.062	06/19/14	09/12/14	\$404.99	\$408.79	\$0.00	(\$3.80)	\$0.00	
Security Subtotal	202.609			\$2,559.00	\$2,479.98	\$0.00	\$79.02	\$0.00	
PIMCO TOTAL RETURN P		CUSIP: 72201M552	Symbol: PTPPX						
	12.897	09/30/13	09/12/14	\$140.19	\$139.27	\$0.00	\$0.92	\$0.00	
	14.460	10/31/13	09/12/14	\$157.18	\$157.30	\$0.00	(\$0.12)	\$0.00	
	13.833	11/29/13	09/12/14	\$150.36	\$150.19	\$0.00	\$0.17	\$0.00	
	8.372	12/11/13	09/12/14	\$91.00	\$89.82	\$0.00	\$1.18	\$0.00	
	43.803	12/11/13	09/12/14	\$476.14	\$469.92	\$0.00	\$6.22	\$0.00	
	9.978	12/31/13	09/12/14	\$108.46	\$106.46	\$0.00	\$2.00	\$0.00	
	9.984	01/31/14	09/12/14	\$108.53	\$107.82	\$0.00	\$0.71	\$0.00	
	11.024	02/28/14	09/12/14	\$119.83	\$119.55	\$0.00	\$0.28	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PIMCO TOTAL RETURN P (Cont.)		CUSIP: 72201M552 Symbol: PTPPX							
	13.040	03/31/14	09/12/14	\$141.74	\$140.46	\$0.00	\$1.28	\$0.00	
	13.775	04/30/14	09/12/14	\$149.73	\$149.32	\$0.00	\$0.41	\$0.00	
	17.671	05/30/14	09/12/14	\$192.08	\$193.50	\$0.00	(\$1.42)	\$0.00	
	14.275	06/30/14	09/12/14	\$155.17	\$156.60	\$0.00	(\$1.43)	\$0.00	
	15.913	07/31/14	09/12/14	\$172.97	\$173.29	\$0.00	(\$0.32)	\$0.00	
	14.882	08/29/14	09/12/14	\$161.80	\$163.55	\$0.00	(\$1.75)	\$0.00	
Security Subtotal	213.907			\$2,325.18	\$2,317.05	\$0.00	\$8.13	\$0.00	
Total Short Term Covered Securities				\$7,646.55	\$7,580.79	\$0.00	\$65.76	\$0.00	

Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PIMCO ALL ASSET ALL AUTH P		CUSIP: 72201M438	Symbol: PAUPX						
	62.653	03/22/12	10/15/14	\$621.52	\$662.87	\$0.00	(\$41.35)	\$0.00	
	37.362	06/21/12	10/15/14	\$370.63	\$386.70	\$0.00	(\$16.07)	\$0.00	
	59.068	09/20/12	10/15/14	\$585.95	\$658.61	\$0.00	(\$72.66)	\$0.00	
	2,220.249	11/26/12	10/15/14	\$22,024.85	\$24,999.98	\$0.00	(\$2,975.13)	\$0.00	
	287.420	12/27/12	10/15/14	\$2,851.20	\$3,213.36	\$0.00	(\$362.16)	\$0.00	
	73.415	12/31/12	10/15/14	\$728.28	\$814.17	\$0.00	(\$85.89)	\$0.00	
	80.712	03/21/13	10/15/14	\$800.66	\$882.18	\$0.00	(\$81.52)	\$0.00	
	76.336	06/20/13	10/15/14	\$757.25	\$780.92	\$0.00	(\$23.67)	\$0.00	
	79.868	09/19/13	10/15/14	\$792.29	\$824.24	\$0.00	(\$31.95)	\$0.00	
Security Subtotal	2,977.083			\$29,532.63	\$33,223.03	\$0.00	(\$3,690.40)	\$0.00	
PIMCO ALL ASSET P		CUSIP: 72201M867	Symbol: PALPX						
	36.145	03/22/12	09/12/14	\$456.51	\$435.11	\$0.00	\$21.40	\$0.00	
	20.219	06/21/12	09/12/14	\$255.37	\$237.72	\$0.00	\$17.65	\$0.00	
	21.240	09/20/12	09/12/14	\$268.26	\$268.04	\$0.00	\$0.22	\$0.00	
	110.801	12/27/12	09/12/14	\$1,399.42	\$1,399.39	\$0.00	\$0.03	\$0.00	
	12.359	12/31/12	09/12/14	\$156.09	\$155.71	\$0.00	\$0.38	\$0.00	
	35.013	03/21/13	09/12/14	\$442.21	\$440.11	\$0.00	\$2.10	\$0.00	
	29.912	06/20/13	09/12/14	\$377.79	\$358.05	\$0.00	\$19.74	\$0.00	
Security Subtotal	265.689			\$3,355.65	\$3,294.13	\$0.00	\$61.52	\$0.00	
PIMCO TOTAL RETURN P		CUSIP: 72201M552	Symbol: PTPPX						
	26.659	01/31/12	09/12/14	\$289.78	\$295.87	\$0.00	(\$6.09)	\$0.00	
	24.897	02/29/12	09/12/14	\$270.63	\$276.31	\$0.00	(\$5.68)	\$0.00	
	30.962	03/30/12	09/12/14	\$336.56	\$342.69	\$0.00	(\$6.13)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2014**

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PIMCO TOTAL RETURN P (Cont.)		CUSIP: 72201M552	Symbol: PTPX						
	28.414	04/30/12	09/12/14	\$308.86	\$318.18	\$0.00	(\$9.32)	\$0.00	
	31.499	05/31/12	09/12/14	\$342.39	\$354.61	\$0.00	(\$12.22)	\$0.00	
	28.039	06/29/12	09/12/14	\$304.78	\$316.22	\$0.00	(\$11.44)	\$0.00	
	22.294	07/31/12	09/12/14	\$242.34	\$255.22	\$0.00	(\$12.88)	\$0.00	
	23.959	08/31/12	09/12/14	\$260.43	\$275.00	\$0.00	(\$14.57)	\$0.00	
	19.292	09/28/12	09/12/14	\$209.70	\$222.97	\$0.00	(\$13.27)	\$0.00	
	28.575	10/31/12	09/12/14	\$310.61	\$330.54	\$0.00	(\$19.93)	\$0.00	
	29.296	11/30/12	09/12/14	\$318.45	\$339.77	\$0.00	(\$21.32)	\$0.00	
	142.592	12/12/12	09/12/14	\$1,549.98	\$1,616.69	\$0.00	(\$66.71)	\$0.00	
	104.662	12/12/12	09/12/14	\$1,137.68	\$1,186.65	\$0.00	(\$48.97)	\$0.00	
	108.876	12/27/12	09/12/14	\$1,183.48	\$1,221.36	\$0.00	(\$37.88)	\$0.00	
	23.060	12/31/12	09/12/14	\$250.66	\$258.68	\$0.00	(\$8.02)	\$0.00	
	17.433	01/31/13	09/12/14	\$189.50	\$194.68	\$0.00	(\$5.18)	\$0.00	
	19.768	02/28/13	09/12/14	\$214.88	\$221.56	\$0.00	(\$6.68)	\$0.00	
	21.593	03/28/13	09/12/14	\$234.72	\$242.22	\$0.00	(\$7.50)	\$0.00	
	30.064	04/30/13	09/12/14	\$326.80	\$340.26	\$0.00	(\$13.46)	\$0.00	
	25.312	05/31/13	09/12/14	\$275.14	\$279.65	\$0.00	(\$4.51)	\$0.00	
	15.378	06/28/13	09/12/14	\$167.16	\$165.13	\$0.00	\$2.03	\$0.00	
	15.644	07/31/13	09/12/14	\$170.05	\$168.46	\$0.00	\$1.59	\$0.00	
	16.894	08/30/13	09/12/14	\$183.64	\$179.55	\$0.00	\$4.09	\$0.00	
Security Subtotal	835.162			\$9,078.22	\$9,402.27	\$0.00	(\$324.05)	\$0.00	

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**Corporate Tax Statement
Tax Year 2014**

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037
Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
POWERSHARES SENIOR LOAN PORT		CUSIP: 73936Q769 Symbol: BKLN							
	1,500.000	12/11/12	08/06/14	\$36,846.53	\$37,988.95	\$0.00	(\$1,142.42)	\$0.00	
Total Long Term Covered Securities				\$78,813.03	\$83,908.38	\$0.00	(\$5,095.35)	\$0.00	

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMGEN INC 4850 14NV18		CUSIP: 031162AJ9 Symbol (Box 1d):							
	5,000.000	03/12/09	11/18/14	\$5,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	09/30/09	11/18/14	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,000.00	\$6,000.00	\$0.00	\$0.00	\$0.00	
FNMA 3000 14SP16		CUSIP: 31398AAY2 Symbol (Box 1d):							
	6,000.000	09/10/09	09/16/14	\$6,000.00	\$6,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	10/02/09	09/16/14	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	7,000.000			\$7,000.00	\$7,000.00	\$0.00	\$0.00	\$0.00	
FNMA 4625 14OC15		CUSIP: 31359MWJ8 Symbol (Box 1d):							
	8,000.000	03/10/09	10/15/14	\$8,000.00	\$8,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	03/13/09	10/15/14	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
	2,000.000	10/02/09	10/15/14	\$2,000.00	\$2,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	11,000.000			\$11,000.00	\$11,000.00	\$0.00	\$0.00	\$0.00	
FNMA POOL 745275 5000 36FB01		CUSIP: 31403C6L0 Symbol (Box 1d):							
	75.948	03/11/09	01/25/14	\$75.95	\$77.89	\$0.00	(\$1.94)	\$0.00	PP
	27.617	09/09/09	01/25/14	\$27.62	\$28.47	\$0.00	(\$0.85)	\$0.00	PP
	20.713	09/29/09	01/25/14	\$20.71	\$21.48	\$0.00	(\$0.77)	\$0.00	PP
	59.033	03/11/09	02/25/14	\$59.03	\$60.54	\$0.00	(\$1.51)	\$0.00	PP
	21.466	09/09/09	02/25/14	\$21.47	\$22.13	\$0.00	(\$0.66)	\$0.00	PP
	16.100	09/29/09	02/25/14	\$16.10	\$16.70	\$0.00	(\$0.60)	\$0.00	PP
	53.814	03/11/09	03/25/14	\$53.81	\$55.19	\$0.00	(\$1.38)	\$0.00	PP
	19.568	09/09/09	03/25/14	\$19.57	\$20.17	\$0.00	(\$0.60)	\$0.00	PP
	14.676	09/29/09	03/25/14	\$14.68	\$15.22	\$0.00	(\$0.54)	\$0.00	PP
	55.562	03/11/09	04/25/14	\$55.56	\$56.98	\$0.00	(\$1.42)	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 745275 5000 36FB01(Cont.)		CUSIP: 31403C6L0 Symbol (Box 1d):							
	20.204	09/09/09	04/25/14	\$20.21	\$20.83	\$0.00	(\$0.63)	\$0.00	PP
	15.153	09/29/09	04/25/14	\$15.15	\$15.72	\$0.00	(\$0.57)	\$0.00	PP
	56.234	03/11/09	05/25/14	\$56.23	\$57.67	\$0.00	(\$1.44)	\$0.00	PP
	20.448	09/09/09	05/25/14	\$20.45	\$21.08	\$0.00	(\$0.63)	\$0.00	PP
	15.336	09/29/09	05/25/14	\$15.34	\$15.90	\$0.00	(\$0.56)	\$0.00	PP
	60.713	03/11/09	06/25/14	\$60.71	\$62.27	\$0.00	(\$1.56)	\$0.00	PP
	22.077	09/09/09	06/25/14	\$22.08	\$22.76	\$0.00	(\$0.68)	\$0.00	PP
	16.558	09/29/09	06/25/14	\$16.56	\$17.17	\$0.00	(\$0.61)	\$0.00	PP
	54.963	03/11/09	07/25/14	\$54.96	\$56.37	\$0.00	(\$1.41)	\$0.00	PP
	19.986	09/09/09	07/25/14	\$19.99	\$20.60	\$0.00	(\$0.61)	\$0.00	PP
	14.990	09/29/09	07/25/14	\$14.99	\$15.55	\$0.00	(\$0.56)	\$0.00	PP
	54.395	03/11/09	08/25/14	\$54.40	\$55.79	\$0.00	(\$1.39)	\$0.00	PP
	19.780	09/09/09	08/25/14	\$19.77	\$20.39	\$0.00	(\$0.61)	\$0.00	PP
	14.835	09/29/09	08/25/14	\$14.84	\$15.39	\$0.00	(\$0.55)	\$0.00	PP
	53.955	03/11/09	09/25/14	\$53.96	\$55.34	\$0.00	(\$1.38)	\$0.00	PP
	19.620	09/09/09	09/25/14	\$19.61	\$20.23	\$0.00	(\$0.61)	\$0.00	PP
	14.715	09/29/09	09/25/14	\$14.72	\$15.26	\$0.00	(\$0.54)	\$0.00	PP
	53.680	03/11/09	10/25/14	\$53.68	\$55.05	\$0.00	(\$1.37)	\$0.00	PP
	19.520	09/09/09	10/25/14	\$19.52	\$20.12	\$0.00	(\$0.60)	\$0.00	PP
	14.640	09/29/09	10/25/14	\$14.64	\$15.18	\$0.00	(\$0.54)	\$0.00	PP
	58.080	03/11/09	11/25/14	\$58.08	\$59.57	\$0.00	(\$1.49)	\$0.00	PP
	21.120	09/09/09	11/25/14	\$21.12	\$21.77	\$0.00	(\$0.65)	\$0.00	PP
	15.840	09/29/09	11/25/14	\$15.84	\$16.43	\$0.00	(\$0.59)	\$0.00	PP

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 745275 5000 36FB01(Cont.)		CUSIP: 31403C6L0	Symbol (Box 1d):						
	45.393	03/11/09	12/25/14	\$45.39	\$46.56	\$0.00	(\$1.17)	\$0.00	PP
	16.506	09/09/09	12/25/14	\$16.51	\$17.02	\$0.00	(\$0.51)	\$0.00	PP
	12.380	09/29/09	12/25/14	\$12.38	\$12.84	\$0.00	(\$0.46)	\$0.00	PP
Security Subtotal	1,115.618			\$1,115.63	\$1,147.63	\$0.00	(\$31.99)	\$0.00	
FNMA POOL 889579 6000 38MY01		CUSIP: 31410KJY1	Symbol (Box 1d):						
	321.604	03/11/09	01/25/14	\$321.60	\$333.76	\$0.00	(\$12.16)	\$0.00	PP
	43.855	09/09/09	01/25/14	\$43.86	\$46.40	\$0.00	(\$2.54)	\$0.00	PP
	299.719	03/11/09	02/25/14	\$299.72	\$311.05	\$0.00	(\$11.33)	\$0.00	PP
	40.870	09/09/09	02/25/14	\$40.87	\$43.24	\$0.00	(\$2.37)	\$0.00	PP
	245.819	03/11/09	03/25/14	\$245.82	\$255.11	\$0.00	(\$9.29)	\$0.00	PP
	33.520	09/09/09	03/25/14	\$33.52	\$35.47	\$0.00	(\$1.95)	\$0.00	PP
	244.120	03/11/09	04/25/14	\$244.12	\$253.35	\$0.00	(\$9.23)	\$0.00	PP
	33.289	09/09/09	04/25/14	\$33.29	\$35.22	\$0.00	(\$1.93)	\$0.00	PP
	227.735	03/11/09	05/25/14	\$227.74	\$236.34	\$0.00	(\$8.60)	\$0.00	PP
	31.054	09/09/09	05/25/14	\$31.05	\$32.86	\$0.00	(\$1.81)	\$0.00	PP
	221.188	03/11/09	06/25/14	\$221.19	\$229.55	\$0.00	(\$8.36)	\$0.00	PP
	30.162	09/09/09	06/25/14	\$30.16	\$31.91	\$0.00	(\$1.75)	\$0.00	PP
	233.024	03/11/09	07/25/14	\$233.02	\$241.83	\$0.00	(\$8.81)	\$0.00	PP
	31.776	09/09/09	07/25/14	\$31.78	\$33.62	\$0.00	(\$1.84)	\$0.00	PP
	218.988	03/11/09	08/25/14	\$218.99	\$227.27	\$0.00	(\$8.28)	\$0.00	PP
	29.862	09/09/09	08/25/14	\$29.86	\$31.60	\$0.00	(\$1.74)	\$0.00	PP
	201.115	03/11/09	09/25/14	\$201.12	\$208.72	\$0.00	(\$7.60)	\$0.00	PP
	27.424	09/09/09	09/25/14	\$27.42	\$29.02	\$0.00	(\$1.60)	\$0.00	PP

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Page 27 of 42

Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 889579 6000 38MY01(Cont.)		CUSIP: 31410KJY1	Symbol (Box 1d):						
	198.501	03/11/09	10/25/14	\$198.50	\$206.00	\$0.00	(\$7.50)	\$0.00	PP
	27.068	09/09/09	10/25/14	\$27.07	\$28.64	\$0.00	(\$1.57)	\$0.00	PP
	168.968	03/11/09	11/25/14	\$168.97	\$175.35	\$0.00	(\$6.38)	\$0.00	PP
	23.041	09/09/09	11/25/14	\$23.04	\$24.38	\$0.00	(\$1.34)	\$0.00	PP
	148.860	03/11/09	12/25/14	\$148.86	\$154.49	\$0.00	(\$5.63)	\$0.00	PP
	20.299	09/09/09	12/25/14	\$20.30	\$21.48	\$0.00	(\$1.18)	\$0.00	PP
Security Subtotal	3,101.861			\$3,101.87	\$3,226.66	\$0.00	(\$124.79)	\$0.00	
FNMA POOL 889982 5500 38NV01		CUSIP: 31410KXK5	Symbol (Box 1d):						
	87.694	03/12/09	01/25/14	\$87.69	\$90.57	\$0.00	(\$2.88)	\$0.00	PP
	21.046	09/09/09	01/25/14	\$21.05	\$21.95	\$0.00	(\$0.90)	\$0.00	PP
	17.538	09/29/09	01/25/14	\$17.54	\$18.39	\$0.00	(\$0.85)	\$0.00	PP
	72.111	03/12/09	02/25/14	\$72.11	\$74.48	\$0.00	(\$2.37)	\$0.00	PP
	17.306	09/09/09	02/25/14	\$17.31	\$18.05	\$0.00	(\$0.74)	\$0.00	PP
	14.422	09/29/09	02/25/14	\$14.42	\$15.12	\$0.00	(\$0.70)	\$0.00	PP
	64.118	03/12/09	03/25/14	\$64.12	\$66.22	\$0.00	(\$2.10)	\$0.00	PP
	15.388	09/09/09	03/25/14	\$15.39	\$16.05	\$0.00	(\$0.66)	\$0.00	PP
	12.823	09/29/09	03/25/14	\$12.82	\$13.44	\$0.00	(\$0.62)	\$0.00	PP
	64.888	03/12/09	04/25/14	\$64.89	\$67.02	\$0.00	(\$2.13)	\$0.00	PP
	15.573	09/09/09	04/25/14	\$15.57	\$16.24	\$0.00	(\$0.67)	\$0.00	PP
	12.977	09/29/09	04/25/14	\$12.98	\$13.61	\$0.00	(\$0.63)	\$0.00	PP
	62.048	03/12/09	05/25/14	\$62.05	\$64.08	\$0.00	(\$2.03)	\$0.00	PP
	14.891	09/09/09	05/25/14	\$14.89	\$15.53	\$0.00	(\$0.64)	\$0.00	PP
	12.409	09/29/09	05/25/14	\$12.41	\$13.01	\$0.00	(\$0.60)	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2014**

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 889982 5500 38NV01(Cont.) CUSIP: 31410KXK5 Symbol (Box 1d):									
	64.965	03/12/09	06/25/14	\$64.97	\$67.10	\$0.00	(\$2.13)	\$0.00	PP
	15.591	09/09/09	08/25/14	\$15.59	\$16.26	\$0.00	(\$0.67)	\$0.00	PP
	12.993	09/29/09	06/25/14	\$12.99	\$13.62	\$0.00	(\$0.63)	\$0.00	PP
	71.465	03/12/09	07/25/14	\$71.47	\$73.81	\$0.00	(\$2.34)	\$0.00	PP
	17.151	09/09/09	07/25/14	\$17.15	\$17.89	\$0.00	(\$0.74)	\$0.00	PP
	14.293	09/29/09	07/25/14	\$14.29	\$14.99	\$0.00	(\$0.70)	\$0.00	PP
	57.708	03/12/09	08/25/14	\$57.71	\$59.60	\$0.00	(\$1.89)	\$0.00	PP
	13.850	09/09/09	08/25/14	\$13.85	\$14.45	\$0.00	(\$0.60)	\$0.00	PP
	11.541	09/29/09	08/25/14	\$11.54	\$12.10	\$0.00	(\$0.56)	\$0.00	PP
	56.986	03/12/09	09/25/14	\$56.98	\$58.86	\$0.00	(\$1.87)	\$0.00	PP
	13.676	09/09/09	09/25/14	\$13.68	\$14.27	\$0.00	(\$0.59)	\$0.00	PP
	11.397	09/29/09	09/25/14	\$11.40	\$11.95	\$0.00	(\$0.55)	\$0.00	PP
	53.888	03/12/09	10/25/14	\$53.89	\$55.66	\$0.00	(\$1.77)	\$0.00	PP
	12.933	09/09/09	10/25/14	\$12.93	\$13.49	\$0.00	(\$0.56)	\$0.00	PP
	10.777	09/29/09	10/25/14	\$10.78	\$11.30	\$0.00	(\$0.52)	\$0.00	PP
	54.020	03/12/09	11/25/14	\$54.02	\$55.79	\$0.00	(\$1.77)	\$0.00	PP
	12.965	09/09/09	11/25/14	\$12.97	\$13.52	\$0.00	(\$0.55)	\$0.00	PP
	10.804	09/29/09	11/25/14	\$10.80	\$11.33	\$0.00	(\$0.53)	\$0.00	PP
	42.805	03/12/09	12/25/14	\$42.81	\$44.21	\$0.00	(\$1.40)	\$0.00	PP
	10.273	09/09/09	12/25/14	\$10.27	\$10.72	\$0.00	(\$0.45)	\$0.00	PP
	8.561	09/29/09	12/25/14	\$8.56	\$8.98	\$0.00	(\$0.42)	\$0.00	PP
Security Subtotal	1,083.874			\$1,083.89	\$1,123.66	\$0.00	(\$39.76)	\$0.00	

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 930071 6000 38OC01		CUSIP: 31412NJQ0 Symbol (Box 1d):							
	26.530	09/29/09	01/25/14	\$26.53	\$28.04	\$0.00	(\$1.51)	\$0.00	PP
	40.780	09/29/09	02/25/14	\$40.78	\$43.10	\$0.00	(\$2.32)	\$0.00	PP
	62.000	09/29/09	03/25/14	\$62.00	\$65.52	\$0.00	(\$3.52)	\$0.00	PP
	90.370	09/29/09	04/25/14	\$90.37	\$95.50	\$0.00	(\$5.13)	\$0.00	PP
	36.070	09/29/09	05/25/14	\$36.07	\$38.12	\$0.00	(\$2.05)	\$0.00	PP
	1.760	09/29/09	06/25/14	\$1.76	\$1.86	\$0.00	(\$0.10)	\$0.00	PP
	22.090	09/29/09	07/25/14	\$22.09	\$23.34	\$0.00	(\$1.25)	\$0.00	PP
	22.480	09/29/09	08/25/14	\$22.48	\$23.76	\$0.00	(\$1.28)	\$0.00	PP
	17.970	09/29/09	09/25/14	\$17.97	\$18.99	\$0.00	(\$1.02)	\$0.00	PP
	17.310	09/29/09	10/25/14	\$17.31	\$18.29	\$0.00	(\$0.98)	\$0.00	PP
	86.010	09/29/09	11/25/14	\$86.01	\$90.90	\$0.00	(\$4.89)	\$0.00	PP
	46.480	09/29/09	12/25/14	\$46.48	\$49.12	\$0.00	(\$2.64)	\$0.00	PP
Security Subtotal	469.850			\$469.85	\$496.54	\$0.00	(\$26.69)	\$0.00	
ISHARES IBOXX INVEST GR COR BD		CUSIP: 464287242 Symbol (Box 1d): LQD							
	400.000	03/10/09	09/12/14	\$47,109.67	\$36,614.00	\$0.00	\$10,495.67	\$0.00	
ISHARES TIPS BOND ETF		CUSIP: 464287176 Symbol (Box 1d): TIP							
	500.000	02/07/11	10/15/14	\$57,476.52	\$52,833.00	\$0.00	\$4,643.52	\$0.00	
JP MORGAN CHASE 5125 14SP15		CUSIP: 46625HBV1 Symbol (Box 1d):							
	5,000.000	03/16/09	09/15/14	\$5,000.00	\$4,400.00	\$600.00 D	\$0.00	\$0.00	
	1,000.000	09/30/09	09/15/14	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,000.00	\$5,400.00	\$600.00	\$0.00	\$0.00	

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Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NRG ENERGY INC 7625 *19MY15		CUSIP: 629377BR2 Symbol (Box 1d):							
	25,000.000	04/19/12	05/21/14	\$25,953.25	\$24,712.75	\$0.00	\$1,240.50	\$0.00	
PIMCO ALL ASSET ALL AUTH P		CUSIP: 72201M438 Symbol (Box 1d): PAUPX							
	1,728.089	08/17/11	10/15/14	\$17,142.63	\$18,975.97	\$0.00	(\$1,833.34)	\$0.00	
	68.523	09/15/11	10/15/14	\$679.75	\$727.64	\$0.00	(\$47.89)	\$0.00	
	361.586	12/28/11	10/15/14	\$3,586.93	\$3,605.01	\$0.00	(\$18.08)	\$0.00	
Security Subtotal	2,158.198			\$21,409.31	\$23,308.62	\$0.00	(\$1,899.31)	\$0.00	
PIMCO ALL ASSET P		CUSIP: 72201M867 Symbol (Box 1d): PALPX							
	3,784.559	11/10/10	09/12/14	\$47,798.94	\$50,000.00	\$0.00	(\$2,201.06)	\$0.00	
	175.810	12/31/10	09/12/14	\$2,220.48	\$2,111.09	\$0.00	\$109.39	\$0.00	
	16.054	03/17/11	09/12/14	\$202.76	\$195.19	\$0.00	\$7.57	\$0.00	
	36.089	06/16/11	09/12/14	\$455.80	\$443.85	\$0.00	\$11.95	\$0.00	
	49.930	09/15/11	09/12/14	\$630.62	\$597.05	\$0.00	\$33.57	\$0.00	
	155.135	12/28/11	09/12/14	\$1,959.35	\$1,774.13	\$0.00	\$185.22	\$0.00	
Security Subtotal	4,217.577			\$53,267.95	\$55,121.31	\$0.00	(\$1,853.36)	\$0.00	
PIMCO TOTAL RETURN P		CUSIP: 72201M552 Symbol (Box 1d): PTPPX							
	5,941.900	02/09/10	09/12/14	\$64,588.45	\$64,932.45	\$0.00	(\$344.00)	\$0.00	
	11.056	02/26/10	09/12/14	\$120.18	\$121.27	\$0.00	(\$1.09)	\$0.00	
	19.315	03/31/10	09/12/14	\$209.95	\$212.81	\$0.00	(\$2.86)	\$0.00	
	19.754	04/30/10	09/12/14	\$214.73	\$219.42	\$0.00	(\$4.69)	\$0.00	
	18.142	05/28/10	09/12/14	\$197.20	\$200.98	\$0.00	(\$3.78)	\$0.00	
	20.270	06/30/10	09/12/14	\$220.33	\$227.79	\$0.00	(\$7.46)	\$0.00	
	21.433	07/30/10	09/12/14	\$232.98	\$243.87	\$0.00	(\$10.89)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PIMCO TOTAL RETURN P(Cont.)		CUSIP: 72201M552	Symbol (Box 1d): PTPPX						
	19.625	08/31/10	09/12/14	\$213.32	\$226.03	\$0.00	(\$12.71)	\$0.00	
	20.166	09/30/10	09/12/14	\$219.20	\$233.49	\$0.00	(\$14.29)	\$0.00	
	22.257	10/29/10	09/12/14	\$241.93	\$259.70	\$0.00	(\$17.77)	\$0.00	
	23.524	11/30/10	09/12/14	\$255.71	\$269.77	\$0.00	(\$14.06)	\$0.00	
	146.138	12/08/10	09/12/14	\$1,588.52	\$1,575.06	\$0.00	\$13.46	\$0.00	
	319.604	12/08/10	09/12/14	\$3,474.10	\$3,444.66	\$0.00	\$29.44	\$0.00	
	27.574	12/31/10	09/12/14	\$299.73	\$298.57	\$0.00	\$1.16	\$0.00	
	22.623	01/31/11	09/12/14	\$245.91	\$244.96	\$0.00	\$0.95	\$0.00	
	23.690	02/28/11	09/12/14	\$257.51	\$257.23	\$0.00	\$0.28	\$0.00	
	24.390	03/31/11	09/12/14	\$265.12	\$264.81	\$0.00	\$0.31	\$0.00	
	24.364	04/29/11	09/12/14	\$264.84	\$268.18	\$0.00	(\$3.34)	\$0.00	
	25.001	05/31/11	09/12/14	\$271.76	\$275.96	\$0.00	(\$4.20)	\$0.00	
	23.953	06/30/11	09/12/14	\$260.37	\$262.71	\$0.00	(\$2.34)	\$0.00	
	22.143	07/29/11	09/12/14	\$240.69	\$245.30	\$0.00	(\$4.61)	\$0.00	
	20.741	08/31/11	09/12/14	\$225.45	\$227.91	\$0.00	(\$2.46)	\$0.00	
	24.323	09/30/11	09/12/14	\$264.39	\$261.92	\$0.00	\$2.47	\$0.00	
	24.271	10/31/11	09/12/14	\$263.83	\$264.27	\$0.00	(\$0.44)	\$0.00	
	21.437	11/30/11	09/12/14	\$233.02	\$230.62	\$0.00	\$2.40	\$0.00	
	60.544	12/28/11	09/12/14	\$658.11	\$654.35	\$0.00	\$3.76	\$0.00	
	28.642	12/30/11	09/12/14	\$311.34	\$310.70	\$0.00	\$0.64	\$0.00	
Security Subtotal	6,976.880			\$75,838.67	\$76,234.79	\$0.00	(\$396.12)	\$0.00	
PRUDENTIAL FINL 5875 *42SP15		CUSIP: 744320AL6	Symbol (Box 1d):						
	50,000.000	08/16/12	09/12/14	\$53,625.00	\$51,658.74	\$0.00	\$1,966.26	\$0.00	

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX4339
Account Number: 759 019928 037
Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
US TSY NOTE TIIN 2000 14JA15 CUSIP: 912828BW9 Symbol (Box 1d):									
	37,000.000	03/11/09	01/15/14	\$46,723.23	\$46,365.70	\$0.00	\$357.53	\$0.00	
	9,000.000	09/29/09	01/15/14	\$11,365.11	\$11,779.77	\$0.00	(\$414.66)	\$0.00	
Security Subtotal	46,000.000			\$58,088.34	\$58,145.47	\$0.00	(\$57.13)	\$0.00	
VERIZON GLOBAL 4900 15SP15 CUSIP: 92344GAW6 Symbol (Box 1d):									
	5,000.000	03/13/09	11/24/14	\$5,183.85	\$5,000.47	\$0.00	\$183.38	\$0.00	
	1,000.000	09/30/09	11/24/14	\$1,036.77	\$1,036.77	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,220.62	\$6,037.24	\$0.00	\$183.38	\$0.00	

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**Corporate Tax Statement
Tax Year 2014**

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
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EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities [#] (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WELLS FARGO & CO 5000 14NV15		CUSIP: 949746CR0 Symbol (Box 1d):							
	25,000.000	09/03/10	11/17/14	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	
Total Long Term Noncovered Securities				\$459,760.57	\$445,060.41	\$600.00	\$14,100.18	\$0.00	
Total Long Term Covered and Noncovered Securities				\$538,573.60	\$528,968.79	\$600.00	\$9,004.83	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$546,220.15	\$536,549.58	\$600.00	\$9,070.59	\$0.00	

Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities

Total IRS Reportable Proceeds (Box 1d)	\$546,220.15
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)	\$91,489.17
Total IRS Reportable Adjustments (Box 1g)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.

Corporate Tax Statement Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLSTATE CORP		CUSIP: 020002101	Symbol: ALL					
	38.000	01/22/14	07/09/14	\$2,213.15	\$1,990.39	\$0.00	\$222.76	\$0.00
	34.000	03/31/14	07/09/14	\$1,980.19	\$1,919.22	\$0.00	\$60.97	\$0.00
Security Subtotal	72.000			\$4,193.34	\$3,909.61	\$0.00	\$283.73	\$0.00
ALTRIA GROUP INC		CUSIP: 02209S103	Symbol: MO					
	49.000	07/16/14	10/15/14	\$2,216.88	\$2,054.16	\$0.00	\$162.72	\$0.00
AMERICAN TOWER REIT COM		CUSIP: 03027X100	Symbol: AMT					
	30.000	01/22/14	06/27/14	\$2,668.05	\$2,534.00	\$0.00	\$134.05	\$0.00
	9.000	03/31/14	06/27/14	\$800.41	\$741.38	\$0.00	\$59.03	\$0.00
Security Subtotal	39.000			\$3,468.46	\$3,275.38	\$0.00	\$193.08	\$0.00
APPLE INC		CUSIP: 037833100	Symbol: AAPL					
	3.000	10/16/13	07/31/14	\$287.99	\$214.79	\$0.00	\$73.20	\$0.00
AT&T INC		CUSIP: 00206R102	Symbol: T					
	30.000	03/25/13	03/13/14	\$974.13	\$1,092.00	\$0.00	(\$117.87)	\$0.00
BANK OF AMERICA CORP		CUSIP: 060505104	Symbol: BAC					
	185.000	11/20/13	08/29/14	\$2,965.54	\$2,808.34	\$0.00	\$157.20	\$0.00
BELLE INTL HLDGS LTD ADR		CUSIP: 078454105	Symbol: BELLY					
	62.000	04/24/13	04/03/14	\$629.93	\$1,017.02	\$0.00	(\$387.09)	\$0.00
	32.000	05/23/13	04/03/14	\$325.12	\$511.96	\$0.00	(\$186.84)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BELLE INTL HLDGS LTD ADR(Cont.)		CUSIP: 078454105	Symbol: BELLY					
	26.000	06/11/13	04/03/14	\$264.16	\$383.76	\$0.00	(\$119.60)	\$0.00
Security Subtotal	120.000			\$1,219.21	\$1,912.74	\$0.00	(\$693.53)	\$0.00
BILFINGER SE UNSPON ADR		CUSIP: 09003Q100	Symbol: BFLBY					
	50.000	11/27/13	08/28/14	\$752.66	\$1,123.15	\$0.00	(\$370.49)	\$0.00
BOEING CO		CUSIP: 097023105	Symbol: BA					
	4.000	11/04/13	09/16/14	\$507.32	\$535.28	\$0.00	(\$27.96)	\$0.00
	10.000	02/19/14	09/16/14	\$1,268.31	\$1,293.42	\$0.00	(\$25.11)	\$0.00
	5.000	03/31/14	09/16/14	\$634.16	\$628.66	\$0.00	\$5.50	\$0.00
Security Subtotal	19.000			\$2,409.79	\$2,457.36	\$0.00	(\$47.57)	\$0.00
CALIFORNIA RES CORP COM		CUSIP: 13057Q107	Symbol: CRC					
	5.000	06/12/14	12/04/14	\$34.87	\$46.01	\$0.00	(\$11.14)	\$0.00
	9.000	08/14/14	12/04/14	\$62.76	\$76.74	\$0.00	(\$13.98)	\$0.00
	7.000	10/01/14	12/04/14	\$48.82	\$59.76	\$0.00	(\$10.94)	\$0.00
Security Subtotal	21.000			\$146.45	\$182.51	\$0.00	(\$36.06)	\$0.00
CAMERON INTNL CORP		CUSIP: 13342B105	Symbol: CAM					
	34.000	11/04/13	06/04/14	\$2,177.70	\$1,872.46	\$0.00	\$305.24	\$0.00
CF INDUSTRIES HOLDINGS,INC		CUSIP: 125269100	Symbol: CF					
	14.000	09/09/13	06/12/14	\$3,307.44	\$2,742.30	\$0.00	\$565.14	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2014

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OMB NO. 1545-0715

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Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CH ROBINSON WORLDWIDE INC NEW	CUSIP: 12541W209	Symbol: CHRW						
	33.000	06/12/14	11/17/14	\$2,403.59	\$2,042.59	\$0.00	\$361.00	\$0.00
CHIPOTLE MEXICAN GRILL INC COM	CUSIP: 169656105	Symbol: CMG						
	17.000	06/21/13	05/12/14	\$8,659.95	\$6,141.98	\$0.00	\$2,517.97	\$0.00
	5.000	06/24/13	05/12/14	\$2,547.04	\$1,785.30	\$0.00	\$761.74	\$0.00
Security Subtotal	22.000			\$11,206.99	\$7,927.28	\$0.00	\$3,279.71	\$0.00
CINEMARK HOLDINGS INC.	CUSIP: 17243V102	Symbol: CNK						
	14.000	08/26/13	04/30/14	\$415.02	\$425.60	\$0.00	(\$10.58)	\$0.00
	20.000	04/04/14	04/30/14	\$592.89	\$569.92	\$0.00	\$22.97	\$0.00
Security Subtotal	34.000			\$1,007.91	\$995.52	\$0.00	\$12.39	\$0.00
COACH INC	CUSIP: 189754104	Symbol: COH						
	15.000	03/25/13	03/13/14	\$731.81	\$746.75	\$0.00	(\$14.94)	\$0.00
	1.000	03/25/13	03/13/14	\$48.78	\$49.78	\$0.00	(\$1.00)	\$0.00
	1.000	03/25/13	03/13/14	\$48.79	\$49.78	\$0.00	(\$0.99)	\$0.00
	17.000	05/15/13	03/13/14	\$829.39	\$998.13	\$0.00	(\$168.74)	\$0.00
	18.000	01/22/14	03/13/14	\$878.17	\$878.19	\$0.00	(\$0.02)	\$0.00
Security Subtotal	52.000			\$2,536.94	\$2,722.63	\$0.00	(\$185.69)	\$0.00
COGNIZANT TECH SOLUTIONS CL A	CUSIP: 192446102	Symbol: CTSB						
	111.000	01/10/14	08/15/14	\$4,966.62	\$5,574.82	\$0.00	(\$608.20)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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OMB NO. 1545-0715

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Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CONOCOPHILLIPS		CUSIP: 20825C104	Symbol: COP					
	49.000	04/30/14	10/20/14	\$3,369.13	\$3,649.49	\$0.00	(\$280.36)	\$0.00
CORNING INC		CUSIP: 219350105	Symbol: GLW					
	46.000	01/22/14	12/08/14	\$966.30	\$868.82	\$0.00	\$97.48	\$0.00
	56.000	03/13/14	12/08/14	\$1,176.37	\$1,085.95	\$0.00	\$90.42	\$0.00
	55.000	06/18/14	12/08/14	\$1,155.36	\$1,170.46	\$0.00	(\$15.10)	\$0.00
	30.000	09/22/14	12/08/14	\$630.20	\$606.07	\$0.00	\$24.13	\$0.00
Security Subtotal	187.000			\$3,928.23	\$3,731.30	\$0.00	\$196.93	\$0.00
COVIDIEN PLC		CUSIP: G2554F113	Symbol:					
	18.000	05/09/14	10/09/14	\$1,681.55	\$1,294.47	\$0.00	\$387.08	\$0.00
CSX CORP		CUSIP: 126408103	Symbol: CSX					
	44.000	07/31/14	10/20/14	\$1,471.10	\$1,323.39	\$0.00	\$147.71	\$0.00
DELTA AIR LINES INC NEW		CUSIP: 247361702	Symbol: DAL					
	40.000	09/09/13	06/04/14	\$1,663.20	\$869.07	\$0.00	\$794.13	\$0.00
	56.000	09/09/13	06/12/14	\$2,155.14	\$1,216.70	\$0.00	\$938.44	\$0.00
	46.000	11/04/13	06/12/14	\$1,770.29	\$1,260.00	\$0.00	\$510.29	\$0.00
Security Subtotal	142.000			\$5,588.63	\$3,345.77	\$0.00	\$2,242.86	\$0.00
DEUTSCHE POST AG SPONSORED ADR		CUSIP: 25157Y202	Symbol: DPSGY					
	16.000	06/03/13	05/16/14	\$581.67	\$404.60	\$0.00	\$177.07	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DEUTSCHE TELEKOM AG 1 ORD 1ADS		CUSIP: 251566105	Symbol: DTEGY					
	39.000	08/15/13	05/23/14	\$654.46	\$504.71	\$0.00	\$149.75	\$0.00
	123.000	08/15/13	05/27/14	\$2,061.17	\$1,591.76	\$0.00	\$469.41	\$0.00
Security Subtotal	162.000			\$2,715.63	\$2,096.47	\$0.00	\$619.16	\$0.00
DEVON ENERGY CORP NEW		CUSIP: 25179M103	Symbol: DVN					
	23.000	04/30/14	11/17/14	\$1,453.16	\$1,612.46	\$0.00	(\$159.30)	\$0.00
	19.000	04/30/14	12/04/14	\$1,133.13	\$1,332.03	\$0.00	(\$198.90)	\$0.00
Security Subtotal	42.000			\$2,586.29	\$2,944.49	\$0.00	(\$358.20)	\$0.00
EATON CORP PLC SHS		CUSIP: G29183103	Symbol: ETN					
	13.000	03/31/14	07/31/14	\$888.33	\$972.48	\$0.00	(\$84.15)	\$0.00
EMC CORP MASS		CUSIP: 268648102	Symbol: EMC					
	48.000	08/13/13	06/12/14	\$1,275.46	\$1,294.01	\$0.00	(\$18.55)	\$0.00
EMERSON ELECTRIC CO		CUSIP: 291011104	Symbol: EMR					
	2.000	01/24/14	12/11/14	\$122.94	\$132.15	\$0.00	(\$9.21)	\$0.00
	3.000	01/24/14	12/11/14	\$184.41	\$198.22	\$0.00	(\$13.81)	\$0.00
	4.000	01/24/14	12/11/14	\$245.76	\$264.30	\$0.00	(\$18.54)	\$0.00
	1.000	09/16/14	12/11/14	\$61.46	\$64.07	\$0.00	(\$2.61)	\$0.00
	64.000	01/24/14	12/17/14	\$3,760.75	\$4,228.72	\$0.00	(\$467.97)	\$0.00
Security Subtotal	74.000			\$4,375.32	\$4,887.46	\$0.00	(\$512.14)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ERICSSON LM TEL ADR CL B NEW								
		CUSIP: 294821608		Symbol: ERIC				
	3.000	05/08/14	08/28/14	\$37.10	\$36.60	\$0.00	\$0.50	\$0.00
	2.000	06/04/14	08/28/14	\$24.74	\$24.65	\$0.00	\$0.09	\$0.00
	3.000	06/12/14	08/28/14	\$37.10	\$37.05	\$0.00	\$0.05	\$0.00
	8.000	06/18/14	08/28/14	\$98.93	\$97.12	\$0.00	\$1.81	\$0.00
	40.000	01/31/14	10/20/14	\$459.15	\$490.71	\$0.00	(\$31.56)	\$0.00
	5.000	02/19/14	10/20/14	\$57.40	\$63.11	\$0.00	(\$5.71)	\$0.00
	62.000	03/31/14	10/20/14	\$711.68	\$827.42	\$0.00	(\$115.74)	\$0.00
	12.000	06/04/14	10/20/14	\$137.75	\$147.92	\$0.00	(\$10.17)	\$0.00
Security Subtotal	135.000			\$1,563.85	\$1,724.58	\$0.00	(\$160.73)	\$0.00
EXXON MOBIL CORP								
		CUSIP: 30231G102		Symbol: XOM				
	2.000	12/26/13	02/14/14	\$186.63	\$201.31	\$0.00	(\$14.68)	\$0.00
	1.000	12/26/13	04/30/14	\$101.07	\$100.65	\$0.00	\$0.42	\$0.00
	105.000	12/26/13	04/30/14	\$10,612.67	\$10,568.74	\$0.00	\$43.93	\$0.00
Security Subtotal	108.000			\$10,900.37	\$10,870.70	\$0.00	\$29.67	\$0.00
FACEBOOK INC CL-A								
		CUSIP: 30303M102		Symbol: FB				
	220.000	08/21/13	06/05/14	\$13,935.37	\$8,521.17	\$0.00	\$5,414.20	\$0.00
INTEL CORP								
		CUSIP: 458140100		Symbol: INTC				
	44.000	12/04/13	07/23/14	\$1,513.96	\$1,039.71	\$0.00	\$474.25	\$0.00

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Corporate Tax Statement Tax Year 2014

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INTERNATIONAL PAPER CO		CUSIP: 460146103	Symbol: IP					
	33.000	09/12/14	11/24/14	\$1,776.74	\$1,638.66	\$0.00	\$138.08	\$0.00
IRON MOUNTAIN INC (DE)		CUSIP: 462846106	Symbol:					
	0.999	11/04/14	11/04/14	\$36.58	\$35.51	\$0.00	\$1.07	\$0.00
LIBERTY BROADBAND CORP S-C		CUSIP: 530307305	Symbol: LBRDK					
	0.500	09/19/14	11/04/14	\$22.57	\$23.25	\$0.00	(\$0.68)	\$0.00
LIONS GATE ENTERTAINMENT CORP		CUSIP: 535919203	Symbol: LGF					
	78.000	04/30/14	06/27/14	\$2,228.00	\$2,055.58	\$0.00	\$172.42	\$0.00
MARATHON OIL CO		CUSIP: 565849106	Symbol: MRO					
	24.000	04/30/14	07/09/14	\$946.43	\$873.54	\$0.00	\$72.89	\$0.00
METLIFE INCORPORATED		CUSIP: 59156R108	Symbol: MET					
	20.000	03/31/14	10/15/14	\$935.04	\$1,059.78	\$0.00	(\$124.74)	\$0.00
MOHAWK INDUSTRIES INC		CUSIP: 608190104	Symbol: MHK					
	15.000	11/20/13	08/05/14	\$1,946.03	\$2,119.53	\$0.00	(\$173.50)	\$0.00
	6.000	02/06/14	08/05/14	\$778.41	\$865.48	\$0.00	(\$87.07)	\$0.00
	4.000	03/31/14	08/05/14	\$518.94	\$543.63	\$0.00	(\$24.69)	\$0.00
	7.000	06/04/14	08/05/14	\$908.16	\$943.37	\$0.00	(\$35.21)	\$0.00
Security Subtotal	32.000			\$4,151.54	\$4,472.01	\$0.00	(\$320.47)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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OMB NO. 1545-0715

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Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MORGAN STANLEY		CUSIP: 617446448	Symbol: MS					
	40.000	01/22/14	09/22/14	\$1,408.06	\$1,302.55	\$0.00	\$105.51	\$0.00
MYRIAD GENETIC INC		CUSIP: 62855J104	Symbol: MYGN					
	27.000	07/25/13	02/14/14	\$846.57	\$853.08	\$0.00	(\$6.51)	\$0.00
	15.000	07/25/13	05/09/14	\$538.45	\$473.93	\$0.00	\$64.52	\$0.00
	40.000	07/25/13	06/04/14	\$1,383.10	\$1,263.82	\$0.00	\$119.28	\$0.00
Security Subtotal	82.000			\$2,768.12	\$2,590.83	\$0.00	\$177.29	\$0.00
NCR CORPORATION		CUSIP: 62886E108	Symbol: NCR					
	30.000	08/13/13	01/22/14	\$1,116.03	\$1,103.18	\$0.00	\$12.85	\$0.00
	20.000	08/13/13	02/19/14	\$665.33	\$735.46	\$0.00	(\$70.13)	\$0.00
	35.000	12/04/13	02/19/14	\$1,164.34	\$1,139.87	\$0.00	\$24.47	\$0.00
Security Subtotal	85.000			\$2,945.70	\$2,978.51	\$0.00	(\$32.81)	\$0.00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol: NSRGY					
	3.000	06/18/13	05/27/14	\$232.18	\$202.80	\$0.00	\$29.38	\$0.00
	6.000	10/01/14	12/23/14	\$443.15	\$436.98	\$0.00	\$6.17	\$0.00
Security Subtotal	9.000			\$675.33	\$639.78	\$0.00	\$35.55	\$0.00
ORACLE CORP		CUSIP: 68389X105	Symbol: ORCL					
	58.000	10/15/14	12/23/14	\$2,685.22	\$2,189.43	\$0.00	\$495.79	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PFIZER INC		CUSIP: 717081103	Symbol: PFE					
	30.000	11/04/13	09/22/14	\$901.77	\$937.50	\$0.00	(\$35.73)	\$0.00
PRUDENTIAL FINANCIAL INC		CUSIP: 744320102	Symbol: PRU					
	24.000	03/31/14	06/04/14	\$2,111.03	\$2,034.96	\$0.00	\$76.07	\$0.00
REGIONS FINANCIAL CORP NEW		CUSIP: 7591EP100	Symbol: RF					
	90.000	03/31/14	10/20/14	\$826.30	\$996.75	\$0.00	(\$170.45)	\$0.00
	100.000	07/09/14	10/20/14	\$918.11	\$1,062.46	\$0.00	(\$144.35)	\$0.00
Security Subtotal	190.000			\$1,744.41	\$2,059.21	\$0.00	(\$314.80)	\$0.00
REPSOL SA SPON ADR		CUSIP: 76026T205	Symbol: REPLY					
	43.000	06/27/13	03/26/14	\$1,074.97	\$912.64	\$0.00	\$162.33	\$0.00
REYNOLDS AMERICAN INC		CUSIP: 761713106	Symbol: RAI					
	14.000	12/04/13	02/19/14	\$668.53	\$708.98	\$0.00	(\$40.45)	\$0.00
	35.000	12/04/13	10/01/14	\$2,058.65	\$1,772.45	\$0.00	\$286.20	\$0.00
	32.000	12/26/13	10/01/14	\$1,882.19	\$1,583.96	\$0.00	\$298.23	\$0.00
	3.000	06/12/14	10/01/14	\$176.45	\$178.28	\$0.00	(\$1.83)	\$0.00
Security Subtotal	84.000			\$4,785.82	\$4,243.67	\$0.00	\$542.15	\$0.00
ROYAL CARIBBEAN CRUISES LTD		CUSIP: V7780T103	Symbol: RCL					
	42.000	10/20/14	11/05/14	\$2,807.82	\$2,551.11	\$0.00	\$256.71	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SAIPEM S P A UNSPON ADR		CUSIP: 79376W208	Symbol: SAPMY					
	50.000	01/17/14	12/05/14	\$285.57	\$588.24	\$0.00	(\$302.67)	\$0.00
SHIRE PLC ADR		CUSIP: 82481R106	Symbol: SHPG					
	1.000	11/04/13	10/06/14	\$259.10	\$134.73	\$0.00	\$124.37	\$0.00
SIMON PPTY GROUP INC		CUSIP: 828806109	Symbol: SPG					
	13.000	10/16/13	10/09/14	\$2,199.77	\$1,916.30	\$0.00	\$283.47	\$0.00
	9.000	10/16/13	10/15/14	\$1,513.24	\$1,326.67	\$0.00	\$186.57	\$0.00
Security Subtotal	22.000			\$3,713.01	\$3,242.97	\$0.00	\$470.04	\$0.00
SUNTRUST BKS		CUSIP: 867914103	Symbol: STI					
	3.000	08/29/14	10/01/14	\$113.09	\$114.27	\$0.00	(\$1.18)	\$0.00
THE ADT CORPORATION COM		CUSIP: 00101J106	Symbol: ADT					
	44.000	11/20/13	02/06/14	\$1,329.12	\$1,911.62	\$0.00	(\$582.50)	\$0.00
	24.000	12/04/13	02/06/14	\$724.98	\$973.94	\$0.00	(\$248.96)	\$0.00
Security Subtotal	68.000			\$2,054.10	\$2,885.56	\$0.00	(\$831.46)	\$0.00
TJX COS INC NEW		CUSIP: 872540109	Symbol: TJX					
	2.000	05/15/14	11/05/14	\$127.11	\$116.67	\$0.00	\$10.44	\$0.00

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Corporate Tax Statement Tax Year 2014

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42 TUCKAHOE RD
EASTON CT 06612-2054

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8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNITED CONTINENTAL HLDGS INC CUSIP: 910047109 Symbol: UAL								
	47.000	01/22/14	06/04/14	\$2,223.83	\$2,294.12	\$0.00	(\$70.29)	\$0.00
	22.000	03/13/14	06/04/14	\$1,040.94	\$1,008.61	\$0.00	\$32.33	\$0.00
Security Subtotal	69.000			\$3,264.77	\$3,302.73	\$0.00	(\$37.96)	\$0.00
VERIZON COMMUNICATIONS CUSIP: 92343V104 Symbol: VZ								
	0.982	02/21/14	02/21/14	\$46.56	\$47.25	\$0.00	(\$0.69)	\$0.00
	31.000	03/25/13	03/06/14	\$1,472.47	\$1,523.10	\$50.63 W	(\$50.63)	\$0.00
Security Subtotal	31.982			\$1,519.03	\$1,570.35	\$50.63	(\$51.32)	\$0.00
VOLKSWAGEN AG SPON ADR CUSIP: 928662303 Symbol: VLKAY								
	55.000	08/13/13	07/09/14	\$2,752.38	\$2,616.52	\$0.00	\$135.86	\$0.00
	15.000	06/27/14	07/09/14	\$750.65	\$776.98	\$0.00	(\$26.33)	\$0.00
Security Subtotal	70.000			\$3,503.03	\$3,393.50	\$0.00	\$109.53	\$0.00
Total Short Term Covered Securities				\$151,460.49	\$138,955.27	\$50.63	\$12,505.22	\$0.00

Corporate Tax Statement
Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities [#] (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TELEFONICA SA ADR		CUSIP: 879382208		Symbol: TEF				
	0.000	11/19/14	12/17/14	\$89.99	\$93.74	\$0.00	(\$3.75)	\$0.00
WASHINGTON PRIME GROUP INC		CUSIP: 939647103		Symbol:				
	0.500	10/16/13	05/28/14	\$9.75	\$9.48	\$0.00	\$0.27	\$0.00
	12.000	10/16/13	06/04/14	\$231.64	\$225.08	\$0.00	\$6.56	\$0.00
	4.000	03/31/14	06/04/14	\$77.21	\$78.78	\$0.00	(\$1.57)	\$0.00
Security Subtotal	16.500			\$318.60	\$313.34	\$0.00	\$5.26	\$0.00
Total Short Term Noncovered Securities				\$408.59	\$407.08	\$0.00	\$1.51	\$0.00
Total Short Term Covered and Noncovered Securities				\$151,869.08	\$139,362.35	\$50.63	\$12,506.73	\$0.00

Corporate Tax Statement
Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACE LTD		CUSIP: H0023R105	Symbol: ACE					
	9.000	05/06/11	03/26/14	\$882.95	\$603.75	\$0.00	\$279.20	\$0.00
	1.000	05/06/11	03/26/14	\$98.11	\$67.08	\$0.00	\$31.03	\$0.00
	1.000	08/24/11	03/26/14	\$98.10	\$62.86	\$0.00	\$35.24	\$0.00
Security Subtotal	11.000			\$1,079.16	\$733.69	\$0.00	\$345.47	\$0.00
AFLAC INCORPORATED		CUSIP: 001055102	Symbol: AFL					
	50.000	12/03/12	03/31/14	\$3,150.64	\$2,656.57	\$0.00	\$494.07	\$0.00
	16.000	01/28/13	03/31/14	\$1,008.20	\$853.89	\$0.00	\$154.31	\$0.00
Security Subtotal	66.000			\$4,158.84	\$3,510.46	\$0.00	\$648.38	\$0.00
ALLERGAN INC		CUSIP: 018490102	Symbol: AGN					
	80.000	10/03/12	01/24/14	\$9,252.83	\$7,457.42	\$0.00	\$1,795.41	\$0.00
ALLIANZ SE ADS		CUSIP: 018805101	Symbol: AZSEY					
	20.000	02/28/13	10/06/14	\$315.39	\$274.61	\$0.00	\$40.78	\$0.00
ALSTOM ADR		CUSIP: 021244207	Symbol: ALSMY					
	163.000	01/27/11	02/19/14	\$426.85	\$950.29	\$0.00	(\$523.44)	\$0.00
	14.000	11/16/11	02/19/14	\$36.66	\$47.74	\$0.00	(\$11.08)	\$0.00
	118.000	12/01/11	02/19/14	\$309.01	\$403.57	\$0.00	(\$94.56)	\$0.00
	37.000	01/31/12	02/19/14	\$96.89	\$140.60	\$0.00	(\$43.71)	\$0.00
	113.000	03/26/12	02/19/14	\$295.93	\$464.43	\$0.00	(\$168.50)	\$0.00
Security Subtotal	445.000			\$1,165.34	\$2,006.63	\$0.00	(\$841.29)	\$0.00

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Corporate Tax Statement Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMADEUS IT HLDG SA UNSPON ADR		CUSIP: 02263T104	Symbol: AMADY					
	6.000	12/08/11	01/10/14	\$254.45	\$99.00	\$0.00	\$155.45	\$0.00
	13.000	12/08/11	02/28/14	\$569.45	\$214.50	\$0.00	\$354.95	\$0.00
Security Subtotal	19.000			\$823.90	\$313.50	\$0.00	\$510.40	\$0.00
AMCOR LIMITED ADR NEW		CUSIP: 02341R302	Symbol: AMCRY					
	14.000	12/03/13	12/19/14	\$603.74	\$558.05	\$0.00	\$45.69	\$0.00
AMERICAN CAPITAL LTD		CUSIP: 02503Y103	Symbol: ACAS					
	188.000	06/26/12	09/30/14	\$2,675.39	\$1,828.81	\$0.00	\$846.58	\$0.00
AMERICAN ELECTRIC POWER CO		CUSIP: 025537101	Symbol: AEP					
	16.000	10/21/11	01/22/14	\$760.01	\$625.33	\$0.00	\$134.68	\$0.00
	28.000	06/01/12	01/22/14	\$1,330.02	\$1,081.42	\$0.00	\$248.60	\$0.00
Security Subtotal	44.000			\$2,090.03	\$1,706.75	\$0.00	\$383.28	\$0.00
AMERICAN EXPRESS CO		CUSIP: 025816109	Symbol: AXP					
	17.000	04/04/11	02/06/14	\$1,445.07	\$770.84	\$0.00	\$674.23	\$0.00
	5.000	08/24/11	02/06/14	\$425.01	\$238.06	\$0.00	\$186.95	\$0.00
	19.000	08/24/11	10/01/14	\$1,627.18	\$904.62	\$0.00	\$722.56	\$0.00
	11.000	04/18/12	10/01/14	\$942.05	\$642.72	\$0.00	\$299.33	\$0.00
	6.000	05/13/13	10/01/14	\$513.85	\$419.06	\$0.00	\$94.79	\$0.00
Security Subtotal	58.000			\$4,953.16	\$2,975.30	\$0.00	\$1,977.86	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ANADARKO PETE CUSIP: 032511107 Symbol: APC								
	11.000	10/21/11	07/16/14	\$1,212.05	\$863.90	\$0.00	\$348.15	\$0.00
	9.000	10/21/11	12/04/14	\$713.93	\$706.82	\$0.00	\$7.11	\$0.00
Security Subtotal	20.000			\$1,925.98	\$1,570.72	\$0.00	\$355.26	\$0.00
APPLE INC CUSIP: 037833100 Symbol: AAPL								
	7.000	02/10/11	07/31/14	\$671.99	\$356.26	\$0.00	\$315.73	\$0.00
	7.000	02/10/11	07/31/14	\$671.99	\$356.26	\$0.00	\$315.73	\$0.00
	14.000	02/10/11	07/31/14	\$1,343.97	\$712.51	\$0.00	\$631.46	\$0.00
	5.000	02/23/11	07/31/14	\$479.99	\$244.28	\$0.00	\$235.71	\$0.00
	2.000	02/23/11	08/29/14	\$205.18	\$97.71	\$0.00	\$107.47	\$0.00
	16.000	06/16/11	08/29/14	\$1,641.44	\$741.02	\$0.00	\$900.42	\$0.00
	5.000	06/16/11	09/04/14	\$490.52	\$231.57	\$0.00	\$258.95	\$0.00
	19.000	06/16/11	09/04/14	\$1,883.98	\$879.97	\$0.00	\$984.01	\$0.00
Security Subtotal	75.000			\$7,369.06	\$3,619.58	\$0.00	\$3,749.48	\$0.00
ASCENA RETAIL GROUP INC COM CUSIP: 04351G101 Symbol: ASNA								
	43.000	06/26/12	06/06/14	\$722.40	\$809.26	\$0.00	(\$86.86)	\$0.00
	42.000	10/01/12	06/06/14	\$705.60	\$879.96	\$0.00	(\$174.36)	\$0.00
Security Subtotal	85.000			\$1,428.00	\$1,689.22	\$0.00	(\$261.22)	\$0.00
AT&T INC CUSIP: 00206R102 Symbol: T								
	26.000	10/21/11	03/13/14	\$844.23	\$756.93	\$0.00	\$87.30	\$0.00
	4.000	11/16/11	03/13/14	\$129.88	\$115.84	\$0.00	\$14.04	\$0.00
	24.000	12/13/11	03/13/14	\$779.29	\$697.92	\$0.00	\$81.37	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AT&T INC (Cont.)		CUSIP: 00206R102	Symbol: T					
	22.000	05/11/12	03/13/14	\$714.35	\$740.74	\$0.00	(\$26.39)	\$0.00
Security Subtotal	76.000			\$2,467.75	\$2,311.43	\$0.00	\$156.32	\$0.00
AVAGO TECH		CUSIP: Y0486S104	Symbol: AVGO					
	18.000	11/01/12	03/05/14	\$1,144.52	\$613.00	\$0.00	\$531.52	\$0.00
	10.000	11/01/12	04/03/14	\$652.86	\$340.55	\$0.00	\$312.31	\$0.00
	1.000	11/01/12	07/24/14	\$72.78	\$34.06	\$0.00	\$38.72	\$0.00
	5.000	11/01/12	07/24/14	\$363.90	\$170.28	\$0.00	\$193.62	\$0.00
	4.000	12/18/12	07/24/14	\$291.12	\$128.90	\$0.00	\$162.22	\$0.00
Security Subtotal	38.000			\$2,525.18	\$1,286.79	\$0.00	\$1,238.39	\$0.00
AVALONBAY COMM INC		CUSIP: 053484101	Symbol: AVB					
	6.000	08/24/11	01/22/14	\$732.47	\$778.99	\$0.00	(\$46.52)	\$0.00
	6.000	12/13/11	01/22/14	\$732.47	\$742.88	\$0.00	(\$10.41)	\$0.00
	6.000	12/03/12	01/22/14	\$732.46	\$797.34	\$0.00	(\$64.88)	\$0.00
Security Subtotal	18.000			\$2,197.40	\$2,319.21	\$0.00	(\$121.81)	\$0.00
BAIDU INC ADS		CUSIP: 056752108	Symbol: BIDU					
	3.000	07/24/12	01/03/14	\$536.84	\$348.84	\$0.00	\$188.00	\$0.00
	1.000	08/31/12	01/03/14	\$178.95	\$111.58	\$0.00	\$67.37	\$0.00
	3.000	08/31/12	07/28/14	\$678.53	\$334.75	\$0.00	\$343.78	\$0.00
	1.000	10/10/12	07/28/14	\$226.18	\$108.44	\$0.00	\$117.74	\$0.00
Security Subtotal	8.000			\$1,620.50	\$903.61	\$0.00	\$716.89	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1 New York Plaza
8th Floor
New York, NY 10004
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BOEING CO CUSIP: 097023105 Symbol: BA								
	3.000	07/29/11	09/16/14	\$380.49	\$212.60	\$0.00	\$167.89	\$0.00
	1.000	11/16/11	09/16/14	\$126.83	\$67.25	\$0.00	\$59.58	\$0.00
	11.000	12/13/11	09/16/14	\$1,395.14	\$794.83	\$0.00	\$600.31	\$0.00
Security Subtotal	15.000			\$1,902.46	\$1,074.68	\$0.00	\$827.78	\$0.00
BOSTON SCIENTIFIC CORP CUSIP: 101137107 Symbol: BSX								
	150.000	03/07/11	03/05/14	\$2,006.98	\$1,121.07	\$0.00	\$885.91	\$0.00
BRANDYWINE REALTY TR SBI NEW CUSIP: 105368203 Symbol: BDN								
	15.000	07/29/11	05/29/14	\$227.69	\$175.63	\$0.00	\$52.06	\$0.00
	34.000	11/16/11	05/29/14	\$516.09	\$306.90	\$0.00	\$209.19	\$0.00
Security Subtotal	49.000			\$743.78	\$482.53	\$0.00	\$261.25	\$0.00
CALIFORNIA RES CORP COM CUSIP: 13057Q107 Symbol: CRC								
	3.000	08/07/12	12/04/14	\$20.92	\$25.51	\$0.00	(\$4.59)	\$0.00
	6.000	08/29/12	12/04/14	\$41.84	\$42.29	\$0.00	(\$0.45)	\$0.00
	10.000	05/15/13	12/04/14	\$69.74	\$82.16	\$0.00	(\$12.42)	\$0.00
Security Subtotal	19.000			\$132.50	\$149.96	\$0.00	(\$17.46)	\$0.00
CATERPILLAR INC CUSIP: 149123101 Symbol: CAT								
	11.000	03/25/13	07/23/14	\$1,196.92	\$950.64	\$0.00	\$246.28	\$0.00
CHEVRON CORP CUSIP: 166764100 Symbol: CVX								
	16.000	10/21/11	12/11/14	\$1,677.56	\$1,683.20	\$0.00	(\$5.64)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHINA MOBILE LTD CUSIP: 16941M109 Symbol: CHL								
	21.000	03/30/11	03/24/14	\$903.04	\$972.30	\$0.00	(\$69.26)	\$0.00
	3.000	08/12/11	03/24/14	\$128.99	\$144.47	\$0.00	(\$15.48)	\$0.00
	1.000	10/06/11	03/24/14	\$43.00	\$49.04	\$0.00	(\$6.04)	\$0.00
	2.000	11/16/11	03/24/14	\$86.00	\$99.02	\$0.00	(\$13.02)	\$0.00
Security Subtotal	27.000			\$1,161.03	\$1,264.83	\$0.00	(\$103.80)	\$0.00
CINEMARK HOLDINGS INC. CUSIP: 17243V102 Symbol: CNK								
	8.000	05/13/11	04/30/14	\$237.16	\$165.52	\$0.00	\$71.64	\$0.00
	10.000	05/13/11	04/30/14	\$296.44	\$206.90	\$0.00	\$89.54	\$0.00
Security Subtotal	18.000			\$533.60	\$372.42	\$0.00	\$161.18	\$0.00
CITIGROUP INC NEW CUSIP: 172967424 Symbol: C								
	30.000	10/21/11	10/09/14	\$1,548.18	\$902.98	\$0.00	\$645.20	\$0.00
	22.000	10/21/11	10/20/14	\$1,110.41	\$662.18	\$0.00	\$448.23	\$0.00
	18.000	10/21/11	11/11/14	\$971.44	\$541.79	\$0.00	\$429.65	\$0.00
Security Subtotal	70.000			\$3,630.03	\$2,106.95	\$0.00	\$1,523.08	\$0.00
CITRIX SYSTEMS INC CUSIP: 177376100 Symbol: CTXS								
	92.000	11/06/12	01/10/14	\$5,540.32	\$5,911.60	\$0.00	(\$371.28)	\$0.00
	10.000	11/06/12	01/13/14	\$598.97	\$642.56	\$0.00	(\$43.59)	\$0.00
Security Subtotal	102.000			\$6,139.29	\$6,554.16	\$0.00	(\$414.87)	\$0.00
COMCAST CORP (NEW) CLASS A CUSIP: 20030N101 Symbol: CMCSA								
	2.000	08/08/11	02/27/14	\$102.21	\$42.38	\$0.00	\$59.83	\$0.00
	30.000	01/11/12	02/27/14	\$1,533.10	\$765.60	\$0.00	\$767.50	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COMCAST CORP (NEW) CLASS A(Cont.)		CUSIP: 20030N101	Symbol: CMCSA					
	21.000	08/29/12	02/27/14	\$1,073.16	\$716.92	\$0.00	\$356.24	\$0.00
	6.000	08/29/12	02/27/14	\$306.62	\$204.83	\$0.00	\$101.79	\$0.00
	61.000	10/24/12	02/27/14	\$3,117.30	\$2,239.27	\$0.00	\$878.03	\$0.00
	80.000	10/24/12	02/27/14	\$4,088.26	\$2,936.74	\$0.00	\$1,151.52	\$0.00
	3.000	01/28/13	02/27/14	\$153.31	\$119.14	\$0.00	\$34.17	\$0.00
	2.000	08/29/12	11/13/14	\$108.78	\$68.28	\$0.00	\$40.50	\$0.00
	53.000	10/24/12	11/13/14	\$2,882.68	\$1,945.59	\$0.00	\$937.09	\$0.00
	47.000	01/28/13	11/13/14	\$2,556.34	\$1,866.51	\$0.00	\$689.83	\$0.00
Security Subtotal	305.000			\$15,921.76	\$10,905.26	\$0.00	\$5,016.50	\$0.00
CONOCOPHILLIPS		CUSIP: 20825C104	Symbol: COP					
	17.000	06/21/12	08/14/14	\$1,363.34	\$921.84	\$0.00	\$441.50	\$0.00
	20.000	06/21/12	10/10/14	\$1,419.95	\$1,084.51	\$0.00	\$335.44	\$0.00
	39.000	06/21/12	10/20/14	\$2,681.55	\$2,114.80	\$0.00	\$566.75	\$0.00
Security Subtotal	76.000			\$5,464.84	\$4,121.15	\$0.00	\$1,343.69	\$0.00
CORNING INC		CUSIP: 219350105	Symbol: GLW					
	89.000	08/13/13	11/17/14	\$1,806.27	\$1,350.66	\$0.00	\$455.61	\$0.00
	31.000	08/13/13	12/08/14	\$651.21	\$470.46	\$0.00	\$180.75	\$0.00
	78.000	12/04/13	12/08/14	\$1,638.52	\$1,306.23	\$0.00	\$332.29	\$0.00
Security Subtotal	198.000			\$4,096.00	\$3,127.35	\$0.00	\$968.65	\$0.00
CREDIT SUISSE GROUP		CUSIP: 225401108	Symbol: CS					
	4.000	07/01/11	05/13/14	\$118.16	\$159.35	\$0.00	(\$41.19)	\$0.00
	13.000	08/31/11	05/13/14	\$384.01	\$375.05	\$0.00	\$8.96	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CREDIT SUISSE GROUP (Cont.)		CUSIP: 225401108	Symbol: CS					
	1.000	09/30/11	05/13/14	\$29.53	\$26.49	\$0.00	\$3.04	\$0.00
Security Subtotal	18.000			\$531.70	\$560.89	\$0.00	(\$29.19)	\$0.00
CUMMINS INC		CUSIP: 231021106	Symbol: CMI					
	64.000	11/05/12	04/08/14	\$9,267.04	\$6,446.78	\$0.00	\$2,820.26	\$0.00
CVS HEALTH CORP COM		CUSIP: 126650100	Symbol: CVS					
	17.000	10/21/11	12/23/14	\$1,657.45	\$603.28	\$0.00	\$1,054.17	\$0.00
DEUTSCHE POST AG SPONSORED ADR		CUSIP: 25157Y202	Symbol: DPSGY					
	13.000	02/04/11	05/16/14	\$472.61	\$237.25	\$0.00	\$235.36	\$0.00
DIEBOLD INC		CUSIP: 253651103	Symbol: DBD					
	67.000	05/13/13	09/02/14	\$2,543.84	\$2,057.35	\$0.00	\$486.49	\$0.00
DISCOVER FINCL SVCS		CUSIP: 254709108	Symbol: DFS					
	38.000	03/25/13	07/09/14	\$2,388.72	\$1,705.19	\$0.00	\$683.53	\$0.00
	25.000	03/25/13	07/16/14	\$1,586.21	\$1,121.84	\$0.00	\$464.37	\$0.00
Security Subtotal	63.000			\$3,974.93	\$2,827.03	\$0.00	\$1,147.90	\$0.00
DOLLAR GEN CORP NEW COM		CUSIP: 256677105	Symbol: DG					
	34.000	06/18/13	09/25/14	\$2,086.47	\$1,772.39	\$0.00	\$314.08	\$0.00
DOLLAR TREE INC		CUSIP: 256746108	Symbol: DLTR					
	146.000	12/01/11	08/18/14	\$7,960.11	\$6,007.27	\$0.00	\$1,952.84	\$0.00

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Corporate Tax Statement Tax Year 2014

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OMB NO. 1545-0715

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DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EDISON INTERNATIONAL								
		CUSIP: 281020107 Symbol: EIX						
	32.000	10/31/12	07/16/14	\$1,811.05	\$1,502.40	\$0.00	\$308.65	\$0.00
	20.000	03/25/13	07/16/14	\$1,131.90	\$1,012.70	\$0.00	\$119.20	\$0.00
Security Subtotal	52.000			\$2,942.95	\$2,515.10	\$0.00	\$427.85	\$0.00
EMBRAER S A ADR								
		CUSIP: 29082A107 Symbol: ERJ						
	4.000	07/29/11	08/28/14	\$155.86	\$118.60	\$0.00	\$37.26	\$0.00
EMC CORP MASS								
		CUSIP: 268648102 Symbol: EMC						
	31.000	12/03/12	06/12/14	\$823.74	\$771.98	\$0.00	\$51.76	\$0.00
	30.000	12/05/12	06/12/14	\$797.17	\$756.11	\$0.00	\$41.06	\$0.00
	53.000	03/25/13	06/12/14	\$1,408.33	\$1,259.30	\$0.00	\$149.03	\$0.00
	42.000	03/25/13	06/12/14	\$1,116.03	\$997.94	\$0.00	\$118.09	\$0.00
Security Subtotal	156.000			\$4,145.27	\$3,785.33	\$0.00	\$359.94	\$0.00
ERICSSON LM TEL ADR CL B NEW								
		CUSIP: 294821608 Symbol: ERIC						
	54.000	06/23/11	08/28/14	\$667.85	\$722.67	\$0.00	(\$54.82)	\$0.00
	19.000	11/16/11	08/28/14	\$234.98	\$192.60	\$0.00	\$42.38	\$0.00
	56.000	01/26/11	10/20/14	\$642.81	\$700.90	\$0.00	(\$58.09)	\$0.00
	28.000	06/20/11	10/20/14	\$321.40	\$381.23	\$0.00	(\$59.83)	\$0.00
	34.000	08/09/11	10/20/14	\$390.28	\$369.92	\$0.00	\$20.36	\$0.00
	23.000	11/16/11	10/20/14	\$264.01	\$232.92	\$0.00	\$31.09	\$0.00
Security Subtotal	214.000			\$2,521.33	\$2,600.24	\$0.00	(\$78.91)	\$0.00
EXXON MOBIL CORP								
		CUSIP: 30231G102 Symbol: XOM						
	4.000	04/18/12	02/14/14	\$373.26	\$343.35	\$0.00	\$29.91	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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EXXON MOBIL CORP (Cont.) CUSIP: 30231G102 Symbol: XOM								
	4.000	06/20/12	02/14/14	\$373.26	\$337.07	\$0.00	\$36.19	\$0.00
	18.000	06/20/12	02/14/14	\$1,679.65	\$1,516.81	\$0.00	\$162.84	\$0.00
	1.000	12/03/12	02/14/14	\$93.31	\$88.19	\$0.00	\$5.12	\$0.00
	11.000	12/03/12	02/14/14	\$1,026.46	\$970.11	\$0.00	\$56.35	\$0.00
Security Subtotal	38.000			\$3,545.94	\$3,255.53	\$0.00	\$290.41	\$0.00
FIFTH 3RD BANCORP OHIO CUSIP: 316773100 Symbol: FITB								
	2.000	04/01/11	12/05/14	\$41.18	\$28.08	\$0.00	\$13.10	\$0.00
	34.000	07/15/11	12/05/14	\$700.05	\$403.24	\$0.00	\$296.81	\$0.00
Security Subtotal	36.000			\$741.23	\$431.32	\$0.00	\$309.91	\$0.00
FMC CORP NEW CUSIP: 302491303 Symbol: FMC								
	30.000	08/27/13	09/12/14	\$1,870.96	\$2,008.07	\$0.00	(\$137.11)	\$0.00
	32.000	08/28/13	09/12/14	\$1,995.69	\$2,121.92	\$0.00	(\$126.23)	\$0.00
	64.000	08/28/13	09/15/14	\$4,015.85	\$4,243.85	\$0.00	(\$228.00)	\$0.00
Security Subtotal	126.000			\$7,882.50	\$8,373.84	\$0.00	(\$491.34)	\$0.00
FORTUNE BRANDS HOME & SEC INC CUSIP: 34964C106 Symbol: FBHS								
	4.000	04/30/12	07/14/14	\$151.94	\$90.42	\$0.00	\$61.52	\$0.00
FREEMPORT MCMORAN CP&GLD CUSIP: 35671D857 Symbol: FCX								
	19.000	10/31/12	06/18/14	\$654.96	\$739.08	\$0.00	(\$84.12)	\$0.00
GENERAL ELECTRIC CO CUSIP: 369604103 Symbol: GE								
	49.000	08/24/11	03/31/14	\$1,268.40	\$763.36	\$0.00	\$505.04	\$0.00
	15.000	11/16/11	03/31/14	\$388.29	\$241.29	\$0.00	\$147.00	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GENERAL ELECTRIC CO (Cont.)								
		CUSIP: 369604103 Symbol: GE						
	20.000	12/13/11	03/31/14	\$517.71	\$330.00	\$0.00	\$187.71	\$0.00
	35.000	04/18/12	03/31/14	\$906.00	\$671.25	\$0.00	\$234.75	\$0.00
	40.000	12/03/12	03/31/14	\$1,035.43	\$840.40	\$0.00	\$195.03	\$0.00
Security Subtotal	159.000			\$4,115.83	\$2,846.30	\$0.00	\$1,269.53	\$0.00
GILEAD SCIENCE								
		CUSIP: 375558103 Symbol: GILD						
	53.000	04/18/12	05/09/14	\$4,216.87	\$1,239.39	\$0.00	\$2,977.48	\$0.00
	11.000	04/18/12	06/12/14	\$892.36	\$257.23	\$0.00	\$635.13	\$0.00
	10.000	05/11/12	06/12/14	\$811.23	\$258.20	\$0.00	\$553.03	\$0.00
	16.000	05/11/12	10/15/14	\$1,537.40	\$413.11	\$0.00	\$1,124.29	\$0.00
	18.000	10/16/13	10/20/14	\$1,838.79	\$1,175.91	\$0.00	\$662.88	\$0.00
Security Subtotal	108.000			\$9,296.65	\$3,343.84	\$0.00	\$5,952.81	\$0.00
GOLDMAN SACHS GRP INC								
		CUSIP: 38141G104 Symbol: GS						
	7.000	08/29/12	04/30/14	\$1,114.44	\$741.92	\$0.00	\$372.52	\$0.00
GREEN MOUNTAIN COFFEE INC								
		CUSIP: 393122106 Symbol:						
	14.000	03/13/12	01/13/14	\$1,111.88	\$725.62	\$0.00	\$386.26	\$0.00
	15.000	03/13/12	02/06/14	\$1,571.45	\$777.45	\$0.00	\$794.00	\$0.00
Security Subtotal	29.000			\$2,683.33	\$1,503.07	\$0.00	\$1,180.26	\$0.00
G4S PLC UNSPONS ADR								
		CUSIP: 37441W108 Symbol: GFSZY						
	8.000	03/25/11	02/05/14	\$150.96	\$161.60	\$0.00	(\$10.64)	\$0.00
	3.000	11/16/11	02/05/14	\$56.60	\$58.80	\$0.00	(\$2.20)	\$0.00
Security Subtotal	11.000			\$207.56	\$220.40	\$0.00	(\$12.84)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HONEYWELL INTERNATIONAL INC	CUSIP: 438516106 Symbol: HON							
	11.000	02/13/12	01/22/14	\$995.65	\$659.29	\$0.00	\$336.36	\$0.00
ICICI BANK LTD	CUSIP: 45104G104 Symbol: IBN							
	3.000	02/25/11	08/28/14	\$159.80	\$131.31	\$0.00	\$28.49	\$0.00
	2.000	11/16/11	08/28/14	\$106.54	\$62.80	\$0.00	\$43.74	\$0.00
Security Subtotal	5.000			\$266.34	\$194.11	\$0.00	\$72.23	\$0.00
IMPERIAL TOBACCO GP PLC SP ADR	CUSIP: 453142101 Symbol: ITYBY							
	3.000	08/10/12	02/26/14	\$243.67	\$237.12	\$0.00	\$6.55	\$0.00
ING GROEP NV ADR	CUSIP: 456837103 Symbol: ING							
	25.000	11/16/11	10/06/14	\$354.00	\$185.64	\$0.00	\$168.36	\$0.00
	3.000	04/20/12	10/06/14	\$42.48	\$21.84	\$0.00	\$20.64	\$0.00
Security Subtotal	28.000			\$396.48	\$207.48	\$0.00	\$189.00	\$0.00
INTEL CORP	CUSIP: 458140100 Symbol: INTC							
	120.000	07/12/13	07/23/14	\$4,128.99	\$2,864.92	\$0.00	\$1,264.07	\$0.00
INTESA SANPAOLO S.P.A. ADR	CUSIP: 46115H107 Symbol: ISNPY							
	17.000	02/02/11	06/19/14	\$337.08	\$358.53	\$0.00	(\$21.45)	\$0.00
	39.000	02/02/11	12/15/14	\$704.44	\$822.51	\$0.00	(\$118.07)	\$0.00
	13.000	07/13/11	12/15/14	\$234.81	\$181.74	\$0.00	\$53.07	\$0.00
Security Subtotal	69.000			\$1,276.33	\$1,362.78	\$0.00	(\$86.45)	\$0.00
INTUITIVE SURGICAL INC	CUSIP: 46120E602 Symbol: ISRG							
	2.000	03/22/11	05/29/14	\$748.68	\$648.26	\$0.00	\$100.42	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1 New York Plaza
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New York, NY 10004
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INVESCO LTD CUSIP: G491BT108 Symbol: IVZ								
	57.000	01/19/11	08/25/14	\$2,310.91	\$1,413.89	\$0.00	\$897.02	\$0.00
	3.000	05/15/13	08/25/14	\$121.62	\$103.63	\$0.00	\$17.99	\$0.00
	3.000	01/19/11	10/01/14	\$116.46	\$74.42	\$0.00	\$42.04	\$0.00
	17.000	01/19/11	10/01/14	\$659.93	\$421.69	\$0.00	\$238.24	\$0.00
	4.000	01/19/11	10/24/14	\$151.22	\$99.22	\$0.00	\$52.00	\$0.00
	25.000	02/17/11	10/24/14	\$945.13	\$683.19	\$0.00	\$261.94	\$0.00
	26.000	02/28/11	10/24/14	\$982.94	\$696.01	\$0.00	\$286.93	\$0.00
	2.000	02/28/11	11/17/14	\$79.51	\$53.54	\$0.00	\$25.97	\$0.00
	4.000	02/28/11	11/17/14	\$159.02	\$107.08	\$0.00	\$51.94	\$0.00
	56.000	02/28/11	11/17/14	\$2,226.39	\$1,499.09	\$0.00	\$727.30	\$0.00
	6.000	11/16/11	11/17/14	\$238.54	\$119.75	\$0.00	\$118.79	\$0.00
Security Subtotal	203.000			\$7,991.67	\$5,271.51	\$0.00	\$2,720.16	\$0.00
ITOCHU CORP ADR CUSIP: 465717106 Symbol: ITOCY								
	19.000	01/27/11	07/07/14	\$485.22	\$423.32	\$0.00	\$61.90	\$0.00
	9.000	01/27/11	07/08/14	\$229.81	\$200.52	\$0.00	\$29.29	\$0.00
	32.000	03/15/11	07/08/14	\$817.11	\$600.77	\$0.00	\$216.34	\$0.00
Security Subtotal	60.000			\$1,532.14	\$1,224.61	\$0.00	\$307.53	\$0.00
KB FINANCIAL GRP INC SONS ADR CUSIP: 48241A105 Symbol: KB								
	13.000	07/14/11	10/31/14	\$504.92	\$657.84	\$0.00	(\$152.92)	\$0.00
	8.000	11/16/11	10/31/14	\$310.72	\$270.16	\$0.00	\$40.56	\$0.00
	15.000	05/22/13	10/31/14	\$582.60	\$505.02	\$0.00	\$77.58	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KB FINANCIAL GRP INC SONS ADR(Cont.)		CUSIP: 48241A105	Symbol: KB					
	4.000	07/12/13	10/31/14	\$155.36	\$126.04	\$0.00	\$29.32	\$0.00
Security Subtotal	40.000			\$1,553.60	\$1,559.06	\$0.00	(\$5.46)	\$0.00
KIRBY CP		CUSIP: 497266106	Symbol: KEX					
	4.000	10/24/12	07/14/14	\$473.81	\$238.01	\$0.00	\$235.80	\$0.00
LLOYDS BANKING GROUP PLC		CUSIP: 539439109	Symbol: LYG					
	150.000	06/01/12	01/31/14	\$818.52	\$236.76	\$0.00	\$581.76	\$0.00
LYONDELLBASELL NV CL-A		CUSIP: N53745100	Symbol: LYB					
	4.000	04/01/11	08/29/14	\$455.99	\$136.83	\$0.00	\$319.16	\$0.00
	2.000	06/20/12	08/29/14	\$227.99	\$80.94	\$0.00	\$147.05	\$0.00
	4.000	06/20/12	08/29/14	\$455.99	\$161.88	\$0.00	\$294.11	\$0.00
	25.000	06/20/12	12/04/14	\$1,997.61	\$1,011.75	\$0.00	\$985.86	\$0.00
	1.000	07/12/12	12/04/14	\$79.90	\$38.73	\$0.00	\$41.17	\$0.00
	6.000	03/25/13	12/04/14	\$479.42	\$379.90	\$0.00	\$99.52	\$0.00
Security Subtotal	42.000			\$3,696.90	\$1,810.03	\$0.00	\$1,886.87	\$0.00
M&T BANK CORP		CUSIP: 55261F104	Symbol: MTB					
	14.000	10/12/11	10/28/14	\$1,655.66	\$1,063.89	\$0.00	\$591.77	\$0.00
MACY'S INC		CUSIP: 55616P104	Symbol: M					
	22.000	12/13/11	05/15/14	\$1,239.76	\$694.57	\$0.00	\$545.19	\$0.00
	20.000	01/26/12	05/15/14	\$1,127.05	\$666.88	\$0.00	\$460.17	\$0.00
	24.000	02/28/12	05/15/14	\$1,352.47	\$902.32	\$0.00	\$450.15	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MACYS INC (Cont.) CUSIP: 55616P104 Symbol: M								
	18.000	06/01/12	05/15/14	\$1,014.35	\$664.86	\$0.00	\$349.49	\$0.00
Security Subtotal	84.000			\$4,733.63	\$2,928.63	\$0.00	\$1,805.00	\$0.00
MARATHON OIL CO CUSIP: 585849106 Symbol: MRO								
	49.000	01/28/13	07/02/14	\$1,945.26	\$1,646.08	\$0.00	\$299.18	\$0.00
	3.000	03/25/13	07/02/14	\$119.10	\$100.91	\$0.00	\$18.19	\$0.00
	64.000	03/25/13	07/09/14	\$2,523.82	\$2,152.70	\$0.00	\$371.12	\$0.00
	28.000	04/19/13	07/09/14	\$1,104.17	\$834.00	\$0.00	\$270.17	\$0.00
	24.000	05/15/13	07/09/14	\$946.43	\$838.75	\$0.00	\$107.68	\$0.00
Security Subtotal	168.000			\$6,638.78	\$5,572.44	\$0.00	\$1,066.34	\$0.00
MARRIOTT INTL INC NEW CL A CUSIP: 571903202 Symbol: MAR								
	12.000	07/19/11	07/22/14	\$794.65	\$390.49	\$0.00	\$404.16	\$0.00
METLIFE INCORPORATED CUSIP: 59156R108 Symbol: MET								
	68.000	07/12/13	10/15/14	\$3,179.13	\$3,319.47	\$0.00	(\$140.34)	\$0.00
	50.000	08/13/13	10/15/14	\$2,337.59	\$2,445.42	\$0.00	(\$107.83)	\$0.00
Security Subtotal	118.000			\$5,516.72	\$5,764.89	\$0.00	(\$248.17)	\$0.00
MONDELEZ INTL INC COM CUSIP: 609207105 Symbol: MDLZ								
	7.000	03/20/12	04/07/14	\$240.19	\$174.71	\$0.00	\$65.48	\$0.00
	19.000	03/20/12	04/07/14	\$651.95	\$474.20	\$0.00	\$177.75	\$0.00
Security Subtotal	26.000			\$892.14	\$648.91	\$0.00	\$243.23	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MONSANTO CO/NEW		CUSIP: 61166W101	Symbol: MON					
	91.000	05/25/12	09/02/14	\$10,468.75	\$6,698.43	\$0.00	\$3,770.32	\$0.00
MONSTER BEVERAGE CORP COM		CUSIP: 611740101	Symbol: MNST					
	2.000	06/01/11	10/22/14	\$197.26	\$72.18	\$0.00	\$125.08	\$0.00
MORGAN STANLEY		CUSIP: 617446448	Symbol: MS					
	102.000	05/15/13	07/16/14	\$3,284.15	\$2,510.50	\$0.00	\$773.65	\$0.00
	64.000	05/15/13	08/05/14	\$2,021.91	\$1,575.22	\$0.00	\$446.69	\$0.00
	2.000	06/18/13	08/05/14	\$63.18	\$52.69	\$0.00	\$10.49	\$0.00
	82.000	06/18/13	09/22/14	\$2,886.52	\$2,160.34	\$0.00	\$726.18	\$0.00
Security Subtotal	250.000			\$8,255.76	\$6,298.75	\$0.00	\$1,957.01	\$0.00
MOTOROLA SOLUTIONS INC		CUSIP: 620076307	Symbol: MSI					
	36.000	01/28/13	06/06/14	\$2,431.28	\$2,129.56	\$0.00	\$301.72	\$0.00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol: NSRGY					
	9.000	06/18/13	12/23/14	\$664.72	\$608.40	\$0.00	\$56.32	\$0.00
NIKON CORP ADR		CUSIP: 654111202	Symbol: NINOY					
	48.000	07/30/13	10/10/14	\$658.79	\$1,027.18	\$0.00	(\$368.39)	\$0.00
NOBLE ENERGY INC		CUSIP: 655044105	Symbol: NBL					
	84.000	05/24/13	10/31/14	\$4,768.97	\$4,919.64	\$0.00	(\$150.67)	\$0.00
	60.000	05/28/13	10/31/14	\$3,406.41	\$3,585.50	\$0.00	(\$179.09)	\$0.00
Security Subtotal	144.000			\$8,175.38	\$8,505.14	\$0.00	(\$329.76)	\$0.00

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Corporate Tax Statement Tax Year 2014

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OMB NO. 1545-0715

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Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
OCCIDENTAL PETROLEUM CORP DE	CUSIP: 674599105 Symbol: OXY							
	4.000	08/07/12	12/04/14	\$328.41	\$353.18	\$0.00	(\$24.77)	\$0.00
OLD DOMINION FREIGHT LINE	CUSIP: 679580100 Symbol: ODFL							
	2.000	06/06/13	07/14/14	\$124.34	\$83.19	\$0.00	\$41.15	\$0.00
ORIFLAME COSMETICS SA SPON ADR	CUSIP: 686194200 Symbol: ORFLY							
	23.000	03/19/12	11/03/14	\$191.65	\$435.62	\$0.00	(\$243.97)	\$0.00
	31.000	10/03/12	11/03/14	\$258.30	\$525.58	\$0.00	(\$267.28)	\$0.00
	38.000	06/12/13	11/03/14	\$316.63	\$624.11	\$0.00	(\$307.48)	\$0.00
Security Subtotal	92.000			\$766.58	\$1,585.31	\$0.00	(\$818.73)	\$0.00
PFIZER INC	CUSIP: 717081103 Symbol: PFE							
	35.000	06/18/13	09/22/14	\$1,052.05	\$1,023.73	\$0.00	\$28.32	\$0.00
PHILLIPS 66 COM	CUSIP: 718546104 Symbol: PSX							
	4.000	06/20/12	04/30/14	\$336.03	\$137.96	\$0.00	\$198.07	\$0.00
	9.000	08/07/12	04/30/14	\$756.07	\$364.78	\$0.00	\$391.29	\$0.00
Security Subtotal	13.000			\$1,092.10	\$502.74	\$0.00	\$589.36	\$0.00
PNC FINL SVCS GP	CUSIP: 693475105 Symbol: PNC							
	22.000	01/28/13	12/23/14	\$2,052.02	\$1,368.68	\$0.00	\$683.34	\$0.00
POSCO ADS	CUSIP: 693483109 Symbol: PKX							
	2.000	07/08/11	10/31/14	\$141.64	\$219.16	\$0.00	(\$77.52)	\$0.00
PRECISION CASTPARTS CORP	CUSIP: 740189105 Symbol: PCP							
	2.000	07/03/12	10/31/14	\$443.14	\$333.80	\$0.00	\$109.34	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PRECISION CASTPARTS CORP (Cont.) CUSIP: 740189105 Symbol: PCP								
	29.000	09/20/12	10/31/14	\$6,425.59	\$4,671.19	\$0.00	\$1,754.40	\$0.00
	7.000	09/21/12	10/31/14	\$1,551.00	\$1,134.66	\$0.00	\$416.34	\$0.00
Security Subtotal	38.000			\$8,419.73	\$6,139.65	\$0.00	\$2,280.08	\$0.00
PUBLIC STORAGE CUSIP: 74460D109 Symbol: PSA								
	9.000	07/12/12	10/20/14	\$1,555.41	\$1,309.80	\$0.00	\$245.61	\$0.00
	1.000	08/07/12	10/20/14	\$172.82	\$145.91	\$0.00	\$26.91	\$0.00
Security Subtotal	10.000			\$1,728.23	\$1,455.71	\$0.00	\$272.52	\$0.00
RANDSTAD HLDG NV UNSPON ADR CUSIP: 75279Q108 Symbol: RANJY								
	38.000	09/06/12	02/05/14	\$1,176.83	\$641.74	\$0.00	\$535.09	\$0.00
REGIONS FINANCIAL CORP NEW CUSIP: 7591EP100 Symbol: RF								
	185.000	02/23/12	09/16/14	\$1,897.22	\$1,063.23	\$0.00	\$833.99	\$0.00
	20.000	02/23/12	10/09/14	\$196.44	\$114.94	\$0.00	\$81.50	\$0.00
	100.000	03/20/12	10/09/14	\$982.19	\$641.99	\$0.00	\$340.20	\$0.00
	10.000	03/20/12	10/20/14	\$91.81	\$64.20	\$0.00	\$27.61	\$0.00
	125.000	11/01/12	10/20/14	\$1,147.63	\$828.73	\$0.00	\$318.90	\$0.00
Security Subtotal	440.000			\$4,315.29	\$2,713.09	\$0.00	\$1,602.20	\$0.00
RENAISSANCE RE HOLDINGS LTD CUSIP: G7496G103 Symbol: RNR								
	26.000	06/16/11	03/17/14	\$2,535.96	\$1,820.26	\$0.00	\$715.70	\$0.00
REPSOL SA SPON ADR CUSIP: 76026T205 Symbol: REPY								
	1.000	11/16/11	01/17/14	\$25.86	\$29.66	\$0.00	(\$3.80)	\$0.00
	24.000	06/06/12	01/17/14	\$620.68	\$382.68	\$0.00	\$238.00	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
REPSOL SA SPON ADR (Cont.)		CUSIP: 76026T205	Symbol: REPLY					
	3.000	06/06/12	03/26/14	\$75.00	\$47.84	\$0.00	\$27.16	\$0.00
Security Subtotal	28.000			\$721.54	\$460.18	\$0.00	\$261.36	\$0.00
SAIPEM S P A UNSPON ADR		CUSIP: 79376W208	Symbol: SAPMY					
	152.000	06/07/13	12/05/14	\$868.14	\$2,043.29	\$0.00	(\$1,175.15)	\$0.00
SALLY BEAUTY HLDGS INC		CUSIP: 79546E104	Symbol: SBH					
	89.000	03/12/12	04/03/14	\$2,418.32	\$2,229.32	\$0.00	\$189.00	\$0.00
SANOFI ADR		CUSIP: 80105N105	Symbol: SNY					
	3.000	11/16/11	10/30/14	\$135.81	\$101.46	\$0.00	\$34.35	\$0.00
	3.000	03/25/13	10/30/14	\$135.81	\$150.33	\$0.00	(\$14.52)	\$0.00
	18.000	03/25/13	10/30/14	\$814.85	\$901.97	\$0.00	(\$87.12)	\$0.00
	4.000	04/19/13	10/30/14	\$181.07	\$212.11	\$0.00	(\$31.04)	\$0.00
Security Subtotal	28.000			\$1,267.54	\$1,365.87	\$0.00	(\$98.33)	\$0.00
SHANGHAI ELEC GROUP CO LTD ADR		CUSIP: 81943J108	Symbol: SIELY					
	17.000	02/25/11	10/22/14	\$158.02	\$196.18	\$0.00	(\$38.16)	\$0.00
	35.000	06/13/11	10/22/14	\$325.34	\$361.68	\$0.00	(\$36.34)	\$0.00
	19.000	11/16/11	10/22/14	\$176.61	\$179.17	\$0.00	(\$2.56)	\$0.00
	52.000	08/03/12	10/22/14	\$483.37	\$412.84	\$0.00	\$70.53	\$0.00
	56.000	10/11/12	10/22/14	\$520.58	\$415.68	\$0.00	\$104.88	\$0.00
Security Subtotal	179.000			\$1,663.90	\$1,565.55	\$0.00	\$98.35	\$0.00
SHIRE PLC ADR		CUSIP: 82481R106	Symbol: SHPG					
	8.000	05/31/13	07/23/14	\$2,037.93	\$791.92	\$0.00	\$1,246.01	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SHIRE PLC ADR (Cont.)		CUSIP: 82481R106	Symbol: SHPG					
	1.000	06/03/13	07/23/14	\$254.74	\$99.03	\$0.00	\$155.71	\$0.00
	7.000	06/03/13	07/25/14	\$1,768.39	\$693.21	\$0.00	\$1,075.18	\$0.00
	5.000	06/03/13	09/24/14	\$1,286.92	\$495.15	\$0.00	\$791.77	\$0.00
	2.000	06/03/13	10/06/14	\$518.20	\$198.06	\$0.00	\$320.14	\$0.00
	3.000	06/04/13	10/06/14	\$777.30	\$295.41	\$0.00	\$481.89	\$0.00
Security Subtotal	26.000			\$6,643.48	\$2,572.78	\$0.00	\$4,070.70	\$0.00
SOCIETE GENERALE SP ADR		CUSIP: 83364L109	Symbol: SCGLY					
	106.000	01/30/13	04/09/14	\$1,324.97	\$951.49	\$0.00	\$373.48	\$0.00
SONOCO PRODUCTS CO		CUSIP: 835495102	Symbol: SON					
	15.000	08/25/11	08/26/14	\$607.56	\$433.25	\$0.00	\$174.31	\$0.00
STAPLES INC		CUSIP: 855030102	Symbol: SPLS					
	44.000	05/07/13	12/03/14	\$631.38	\$604.64	\$0.00	\$26.72	\$0.00
STATOIL ASA ADR		CUSIP: 85771P102	Symbol: STO					
	3.000	11/16/11	08/28/14	\$85.54	\$76.86	\$0.00	\$8.68	\$0.00
SUNTRUST BKS		CUSIP: 867914103	Symbol: STI					
	55.000	10/19/11	10/01/14	\$2,073.40	\$1,048.30	\$0.00	\$1,025.10	\$0.00
	5.000	10/19/11	10/01/14	\$188.49	\$95.30	\$0.00	\$93.19	\$0.00
	1.000	10/19/11	10/01/14	\$37.70	\$19.06	\$0.00	\$18.64	\$0.00
	45.000	10/25/11	10/01/14	\$1,696.41	\$860.08	\$0.00	\$836.33	\$0.00
	4.000	11/16/11	10/01/14	\$150.79	\$73.75	\$0.00	\$77.04	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNTRUST BKS (Cont.)								
		CUSIP: 867914103		Symbol: STI				
	11.000	03/30/12	10/01/14	\$414.68	\$266.18	\$0.00	\$148.50	\$0.00
	9.000	08/13/13	10/01/14	\$339.29	\$312.39	\$0.00	\$26.90	\$0.00
Security Subtotal	130.000			\$4,900.76	\$2,675.06	\$0.00	\$2,225.70	\$0.00
SWEDBANK AB SPONS ADR								
		CUSIP: 870195104		Symbol: SWDBY				
	18.000	03/02/11	02/05/14	\$472.76	\$311.58	\$0.00	\$161.18	\$0.00
	1.000	03/03/11	02/05/14	\$26.26	\$17.28	\$0.00	\$8.98	\$0.00
	18.000	07/29/11	02/05/14	\$472.76	\$317.70	\$0.00	\$155.06	\$0.00
	9.000	11/16/11	02/05/14	\$236.38	\$121.32	\$0.00	\$115.06	\$0.00
	41.000	05/24/12	02/05/14	\$1,076.86	\$597.17	\$0.00	\$479.69	\$0.00
Security Subtotal	87.000			\$2,285.02	\$1,365.05	\$0.00	\$919.97	\$0.00
TAIWAN SMCNDCTR MFG CO LTD ADR								
		CUSIP: 874039100		Symbol: TSM				
	9.000	11/16/11	08/28/14	\$185.94	\$115.36	\$0.00	\$70.58	\$0.00
TELEPHONE AND DATA SYSTEMS INC								
		CUSIP: 879433829		Symbol: TDS				
	8.000	11/16/11	10/28/14	\$200.03	\$185.72	\$0.00	\$14.31	\$0.00
TOTAL S A SPON ADR								
		CUSIP: 89151E109		Symbol: TOT				
	5.000	11/16/11	12/17/14	\$257.81	\$255.10	\$0.00	\$2.71	\$0.00
	1.000	11/16/11	12/17/14	\$51.56	\$51.02	\$0.00	\$0.54	\$0.00
	3.000	07/03/13	12/17/14	\$154.69	\$144.95	\$0.00	\$9.74	\$0.00
	7.000	07/03/13	12/17/14	\$360.93	\$338.21	\$0.00	\$22.72	\$0.00
Security Subtotal	16.000			\$824.99	\$789.28	\$0.00	\$35.71	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TRAVELERS COMPANIES INC COM CUSIP: 89417E109 Symbol: TRV								
	29.000	09/09/11	01/22/14	\$2,457.03	\$1,413.69	\$0.00	\$1,043.34	\$0.00
	12.000	12/13/11	01/22/14	\$1,016.70	\$677.61	\$0.00	\$339.09	\$0.00
	7.000	02/07/12	01/22/14	\$593.07	\$417.90	\$0.00	\$175.17	\$0.00
	98.000	02/07/12	03/17/14	\$8,178.83	\$5,850.67	\$0.00	\$2,328.16	\$0.00
	14.000	02/13/12	03/17/14	\$1,168.41	\$827.40	\$0.00	\$341.01	\$0.00
	2.000	02/13/12	03/17/14	\$118.20	\$118.20	\$0.00	\$0.00	\$0.00
Security Subtotal	162.000			\$13,580.95	\$9,305.47	\$0.00	\$4,275.48	\$0.00
TREND MICRO INC SPON ADR NEW CUSIP: 89486M206 Symbol: TMICY								
	25.000	01/07/11	02/26/14	\$837.75	\$843.13	\$0.00	(\$5.38)	\$0.00
	11.000	01/07/11	03/27/14	\$345.37	\$370.98	\$0.00	(\$25.61)	\$0.00
	8.000	11/16/11	03/27/14	\$251.18	\$257.68	\$0.00	(\$6.50)	\$0.00
	3.000	09/13/12	03/27/14	\$94.19	\$82.62	\$0.00	\$11.57	\$0.00
	11.000	09/13/12	07/07/14	\$363.99	\$302.94	\$0.00	\$61.05	\$0.00
	17.000	12/14/12	07/07/14	\$562.53	\$470.90	\$0.00	\$91.63	\$0.00
Security Subtotal	75.000			\$2,455.01	\$2,328.25	\$0.00	\$126.76	\$0.00
UNION PACIFIC CORP CUSIP: 907818108 Symbol: UNP								
	8.000	08/30/11	07/31/14	\$788.04	\$362.44	\$0.00	\$425.60	\$0.00
	6.000	06/18/13	07/31/14	\$591.03	\$473.72	\$0.00	\$117.31	\$0.00
Security Subtotal	14.000			\$1,379.07	\$836.16	\$0.00	\$542.91	\$0.00
VALE S.A. SP CUSIP: 91912E204 Symbol: VALE'P								
	6.000	11/16/11	02/26/14	\$73.75	\$143.10	\$0.00	(\$69.35)	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VALERO ENERGY CP DELA NEW	CUSIP: 91913Y100	Symbol: VLO						
	43.000	11/15/12	04/02/14	\$2,347.77	\$1,150.15	\$0.00	\$1,197.62	\$0.00
VODAFONE GROUP PLC	CUSIP: 92857W308	Symbol: VOD						
	0.181	02/22/11	02/21/14	\$7.59	\$9.55	\$0.00	(\$1.96)	\$0.00
VOLKSWAGEN AG SPONS ADR	CUSIP: 928662402	Symbol: VLKPY						
	1.000	07/11/11	03/12/14	\$50.05	\$41.49	\$0.00	\$8.56	\$0.00
	10.000	10/07/11	03/12/14	\$500.48	\$271.44	\$0.00	\$229.04	\$0.00
	3.000	10/07/11	03/27/14	\$151.71	\$81.43	\$0.00	\$70.28	\$0.00
	3.000	11/16/11	03/27/14	\$151.71	\$102.42	\$0.00	\$49.29	\$0.00
	11.000	04/30/12	03/27/14	\$556.26	\$417.60	\$0.00	\$138.66	\$0.00
	16.000	06/08/12	03/27/14	\$809.10	\$490.80	\$0.00	\$318.30	\$0.00
Security Subtotal	44.000			\$2,219.31	\$1,405.18	\$0.00	\$814.13	\$0.00
WABCO HLDGS INC	CUSIP: 92927K102	Symbol: WBC						
	6.000	02/10/11	07/14/14	\$636.84	\$347.77	\$0.00	\$289.07	\$0.00
WALT DISNEY CO HLDG CO	CUSIP: 254687106	Symbol: DIS						
	10.000	06/16/11	07/16/14	\$854.57	\$380.16	\$0.00	\$474.41	\$0.00
	23.000	06/16/11	07/23/14	\$1,978.10	\$874.38	\$0.00	\$1,103.72	\$0.00
	5.000	12/13/11	07/23/14	\$430.02	\$183.00	\$0.00	\$247.02	\$0.00
	20.000	12/13/11	08/27/14	\$1,803.72	\$731.98	\$0.00	\$1,071.74	\$0.00
	4.000	01/26/12	08/27/14	\$360.75	\$158.00	\$0.00	\$202.75	\$0.00
Security Subtotal	62.000			\$5,427.16	\$2,327.52	\$0.00	\$3,099.64	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WELLS FARGO & CO NEW CUSIP: 949746101 Symbol: WFC								
	39.000	08/08/11	03/31/14	\$1,936.24	\$949.57	\$0.00	\$986.67	\$0.00
	14.000	10/31/12	03/31/14	\$695.07	\$472.51	\$0.00	\$222.56	\$0.00
Security Subtotal	53.000			\$2,631.31	\$1,422.08	\$0.00	\$1,209.23	\$0.00
XEROX CORP CUSIP: 984121103 Symbol: XRX								
	21.000	03/31/11	01/27/14	\$222.60	\$224.80	\$0.00	(\$2.20)	\$0.00
	5.000	07/29/11	01/27/14	\$53.00	\$47.08	\$0.00	\$5.92	\$0.00
	32.000	01/31/12	01/27/14	\$339.20	\$245.64	\$0.00	\$93.56	\$0.00
	97.000	01/05/11	01/28/14	\$1,047.84	\$1,115.92	\$0.00	(\$68.08)	\$0.00
	18.000	01/05/11	01/28/14	\$194.45	\$207.08	\$0.00	(\$12.63)	\$0.00
	2.000	01/05/11	01/28/14	\$21.61	\$23.01	\$0.00	(\$1.40)	\$0.00
	61.000	03/31/11	01/28/14	\$658.95	\$653.00	\$0.00	\$5.95	\$0.00
	107.000	07/29/11	01/28/14	\$1,155.87	\$1,018.75	\$0.00	\$137.12	\$0.00
	37.000	01/31/12	01/28/14	\$399.68	\$283.72	\$0.00	\$115.96	\$0.00
Security Subtotal	380.000			\$4,093.20	\$3,819.00	\$0.00	\$274.20	\$0.00
Total Long Term Covered Securities				\$318,332.87	\$236,714.41	\$0.00	\$81,618.46	\$0.00

Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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42 TUCKAHOE RD
EASTON CT 06612-2054

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8th Floor
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Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ADIDAS-SALOMON AG SPONS ADR	CUSIP: 00687A107	Symbol (Box 1d): ADDYY						
	13.000	03/11/09	01/14/14	\$803.50	\$205.27	\$0.00	\$598.23	\$0.00
AFFILIATED MGRS GROUP INC	CUSIP: 008252108	Symbol (Box 1d): AMG						
	3.000	12/10/09	08/04/14	\$578.18	\$194.37	\$0.00	\$383.81	\$0.00
AMERICAN EXPRESS CO	CUSIP: 025816109	Symbol (Box 1d): AXP						
	4.000	10/20/09	02/06/14	\$340.02	\$143.87	\$0.00	\$196.15	\$0.00
	8.000	12/10/09	02/06/14	\$680.03	\$320.88	\$0.00	\$359.15	\$0.00
Security Subtotal	12.000			\$1,020.05	\$464.75	\$0.00	\$555.30	\$0.00
APPLE INC	CUSIP: 037833100	Symbol (Box 1d): AAPL						
	25.000	03/10/09	07/31/14	\$2,399.95	\$313.24	\$0.00	\$2,086.71	\$0.00
	3.000	03/10/09	07/31/14	\$287.99	\$37.59	\$0.00	\$250.40	\$0.00
	14.000	12/10/09	07/31/14	\$1,343.97	\$394.90	\$0.00	\$949.07	\$0.00
Security Subtotal	42.000			\$4,031.91	\$745.73	\$0.00	\$3,286.18	\$0.00
AVALONBAY COMM INC	CUSIP: 053484101	Symbol (Box 1d): AVB						
	4.000	03/24/09	01/22/14	\$488.31	\$193.91	\$0.00	\$294.40	\$0.00
	3.000	12/10/09	01/22/14	\$366.23	\$226.98	\$0.00	\$139.25	\$0.00
Security Subtotal	7.000			\$854.54	\$420.89	\$0.00	\$433.65	\$0.00
AVIVA PLC ADR	CUSIP: 05382A104	Symbol (Box 1d): AV						
	52.000	12/10/09	08/28/14	\$895.37	\$614.63	\$0.00	\$280.74	\$0.00
BAE SYS PLC SPON ADR	CUSIP: 05523R107	Symbol (Box 1d): BAESY						
	2.000	03/11/09	08/29/14	\$58.73	\$37.40	\$0.00	\$21.33	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BOEING CO		CUSIP: 097023105	Symbol (Box 1d): BA					
	7.000	01/21/10	07/23/14	\$886.12	\$416.89	\$0.00	\$469.23	\$0.00
	19.000	01/21/10	09/16/14	\$2,409.78	\$1,131.55	\$0.00	\$1,278.23	\$0.00
Security Subtotal	26.000			\$3,295.90	\$1,548.44	\$0.00	\$1,747.46	\$0.00
BRANDYWINE REALTY TR SBI NEW		CUSIP: 105368203	Symbol (Box 1d): BDN					
	91.000	03/11/09	05/28/14	\$1,379.75	\$291.40	\$0.00	\$1,088.35	\$0.00
	14.000	03/11/09	05/29/14	\$212.51	\$44.83	\$0.00	\$167.68	\$0.00
Security Subtotal	105.000			\$1,592.26	\$336.23	\$0.00	\$1,256.03	\$0.00
BROADRIDGE FIN SOLU.LLC		CUSIP: 11133T103	Symbol (Box 1d): BR					
	36.000	03/11/09	08/15/14	\$1,467.02	\$603.00	\$0.00	\$864.02	\$0.00
BUNZL PLC NEW		CUSIP: 120738406	Symbol (Box 1d): BZLFY					
	68.000	12/10/09	09/02/14	\$1,861.84	\$722.16	\$0.00	\$1,139.68	\$0.00
CALIFORNIA RES CORP COM		CUSIP: 13057Q107	Symbol (Box 1d): CRC					
	0.800	05/07/10	11/28/14	\$5.44	\$5.70	\$0.00	(\$0.26)	\$0.00
	12.000	05/07/10	12/04/14	\$83.69	\$81.05	\$0.00	\$2.64	\$0.00
Security Subtotal	12.800			\$89.13	\$86.75	\$0.00	\$2.38	\$0.00
CHEUNG KONG HOLDINGS ADR		CUSIP: 166744201	Symbol (Box 1d): CHEUY					
	12.000	12/10/09	08/28/14	\$220.07	\$152.88	\$0.00	\$67.19	\$0.00
	89.000	12/10/09	08/29/14	\$1,610.48	\$1,133.86	\$0.00	\$476.62	\$0.00
Security Subtotal	101.000			\$1,830.55	\$1,286.74	\$0.00	\$543.81	\$0.00

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Corporate Tax Statement
Tax Year 2014

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities [#] (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHINA MOBILE LTD		CUSIP: 16941M109	Symbol (Box 1d): CHL					
	3.000	12/10/09	03/24/14	\$129.01	\$138.60	\$0.00	(\$9.59)	\$0.00
	3.000	12/10/09	03/24/14	\$129.01	\$138.60	\$0.00	(\$9.59)	\$0.00
Security Subtotal	6.000			\$258.02	\$277.20	\$0.00	(\$19.18)	\$0.00
CHUBB CORP		CUSIP: 171232101	Symbol (Box 1d): CB					
	13.000	06/10/09	08/08/14	\$1,137.52	\$535.50	\$0.00	\$602.02	\$0.00
CINEMARK HOLDINGS INC.		CUSIP: 17243V102	Symbol (Box 1d): CNK					
	2.000	08/31/10	04/30/14	\$59.29	\$29.18	\$0.00	\$30.11	\$0.00
	2.000	08/31/10	04/30/14	\$59.29	\$29.18	\$0.00	\$30.11	\$0.00
	5.000	08/31/10	04/30/14	\$148.22	\$72.94	\$0.00	\$75.28	\$0.00
	14.000	08/31/10	04/30/14	\$415.02	\$204.23	\$0.00	\$210.79	\$0.00
	15.000	08/31/10	04/30/14	\$444.67	\$218.83	\$0.00	\$225.84	\$0.00
Security Subtotal	38.000			\$1,126.49	\$554.36	\$0.00	\$572.13	\$0.00
CLOROX CO DE		CUSIP: 189054109	Symbol (Box 1d): CLX					
	12.000	10/28/09	10/03/14	\$1,158.12	\$695.88	\$0.00	\$462.24	\$0.00
CNOOC LTD ADS		CUSIP: 126132109	Symbol (Box 1d): CEO					
	9.000	12/10/09	04/09/14	\$1,468.30	\$1,397.70	\$0.00	\$70.60	\$0.00
	4.000	12/10/09	04/11/14	\$658.10	\$621.20	\$0.00	\$36.90	\$0.00
Security Subtotal	13.000			\$2,126.40	\$2,018.90	\$0.00	\$107.50	\$0.00
COGNIZANT TECH SOLUTIONS CL A		CUSIP: 192446102	Symbol (Box 1d): CTSH					
	70.000	12/10/09	08/15/14	\$3,132.10	\$1,547.29	\$0.00	\$1,584.81	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COMPASS GROUP PLC								
		CUSIP: 20449X302		Symbol (Box 1d): CMPGY				
	0.705	12/10/09	07/08/14	\$12.29	\$5.54	\$0.00	\$6.75	\$0.00
	58.000	12/10/09	08/26/14	\$940.96	\$455.61	\$0.00	\$485.35	\$0.00
	46.000	12/10/09	10/17/14	\$707.72	\$361.34	\$0.00	\$346.38	\$0.00
Security Subtotal	104.705			\$1,660.97	\$822.49	\$0.00	\$838.48	\$0.00
CONOCOPHILLIPS								
		CUSIP: 20825C104		Symbol (Box 1d): COP				
	7.000	05/27/10	08/14/14	\$561.38	\$279.66	\$0.00	\$281.72	\$0.00
	16.000	06/17/10	08/14/14	\$1,283.15	\$676.48	\$0.00	\$606.67	\$0.00
Security Subtotal	23.000			\$1,844.53	\$956.14	\$0.00	\$888.39	\$0.00
COVIDIEN PLC								
		CUSIP: G2554F113		Symbol (Box 1d):				
	22.000	03/11/09	06/18/14	\$1,975.65	\$597.48	\$0.00	\$1,378.17	\$0.00
	5.000	03/11/09	06/18/14	\$449.01	\$135.79	\$0.00	\$313.22	\$0.00
	8.000	06/17/10	06/18/14	\$718.42	\$306.00	\$0.00	\$412.42	\$0.00
	19.000	06/17/10	10/09/14	\$1,774.96	\$726.76	\$0.00	\$1,048.20	\$0.00
Security Subtotal	54.000			\$4,918.04	\$1,766.03	\$0.00	\$3,152.01	\$0.00
CREDIT AGRICOLE SA UNSP ADR								
		CUSIP: 225313105		Symbol (Box 1d): CRARY				
	63.000	08/19/10	04/09/14	\$499.80	\$412.02	\$0.00	\$87.78	\$0.00
	14.000	12/29/10	04/09/14	\$111.07	\$89.04	\$0.00	\$22.03	\$0.00
Security Subtotal	77.000			\$610.87	\$501.06	\$0.00	\$109.81	\$0.00
CVS HEALTH CORP COM								
		CUSIP: 126650100		Symbol (Box 1d): CVS				
	9.000	06/09/10	07/23/14	\$699.94	\$277.12	\$0.00	\$422.82	\$0.00
	16.000	06/17/10	07/23/14	\$1,244.35	\$508.66	\$0.00	\$735.69	\$0.00
	7.000	11/01/10	07/23/14	\$544.40	\$210.00	\$0.00	\$334.40	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CVS HEALTH CORP COM (Cont.)		CUSIP: 126650100	Symbol (Box 1d): CVS					
	16.000	11/01/10	10/09/14	\$1,317.31	\$480.00	\$0.00	\$837.31	\$0.00
	3.000	11/01/10	12/23/14	\$292.49	\$90.00	\$0.00	\$202.49	\$0.00
Security Subtotal	51.000			\$4,098.49	\$1,565.78	\$0.00	\$2,532.71	\$0.00
DEUTSCHE POST AG SPONSORED ADR		CUSIP: 25157Y202	Symbol (Box 1d): DPSGY					
	5.000	12/10/09	05/16/14	\$181.77	\$97.25	\$0.00	\$84.52	\$0.00
DUKE ENERGY CORP NEW		CUSIP: 26441C204	Symbol (Box 1d): DUK					
	12.000	03/11/09	01/30/14	\$835.23	\$443.83	\$0.00	\$391.40	\$0.00
	28.000	03/11/09	02/18/14	\$2,005.68	\$1,035.61	\$0.00	\$970.07	\$0.00
	4.000	12/10/09	02/18/14	\$286.52	\$165.11	\$0.00	\$121.41	\$0.00
Security Subtotal	44.000			\$3,127.43	\$1,644.55	\$0.00	\$1,482.88	\$0.00
EATON CORP PLC SHS		CUSIP: G29183103	Symbol (Box 1d): ETN					
	33.000	12/03/12	07/31/14	\$2,254.98	\$1,651.63	\$0.00	\$603.35	\$0.00
EMBRAER S A ADR		CUSIP: 29082A107	Symbol (Box 1d): ERJ					
	3.000	12/10/09	08/28/14	\$116.89	\$61.14	\$0.00	\$55.75	\$0.00
ERICSSON LM TEL ADR CL B NEW		CUSIP: 294821608	Symbol (Box 1d): ERIC					
	8.000	12/10/09	08/28/14	\$98.93	\$76.06	\$0.00	\$22.87	\$0.00
	34.000	12/10/09	08/28/14	\$420.49	\$323.28	\$0.00	\$97.21	\$0.00
	3.000	12/10/09	08/28/14	\$37.10	\$28.52	\$0.00	\$8.58	\$0.00
	27.000	05/10/10	08/28/14	\$333.92	\$283.77	\$0.00	\$50.15	\$0.00
	11.000	10/07/10	08/28/14	\$136.04	\$119.02	\$0.00	\$17.02	\$0.00
	19.000	10/07/10	08/28/14	\$234.99	\$205.58	\$0.00	\$29.41	\$0.00
	53.000	10/20/10	08/28/14	\$655.48	\$576.44	\$0.00	\$79.04	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

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EASTON CT 06612-2054

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OMB NO. 1545-0715

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ERICSSON LM TEL ADR CL B NEW(ContL) CUSIP: 294821608 Symbol (Box 1d): ERIC								
	1.000	11/03/10	08/28/14	\$12.37	\$10.83	\$0.00	\$1.54	\$0.00
	5.000	11/03/10	08/28/14	\$61.84	\$54.17	\$0.00	\$7.67	\$0.00
	41.000	11/03/10	08/28/14	\$507.07	\$444.22	\$0.00	\$62.85	\$0.00
	49.000	12/29/10	08/28/14	\$606.01	\$565.95	\$0.00	\$40.06	\$0.00
	3.000	10/07/10	10/20/14	\$34.44	\$32.46	\$0.00	\$1.98	\$0.00
	6.000	10/07/10	10/20/14	\$68.87	\$64.92	\$0.00	\$3.95	\$0.00
	36.000	11/03/10	10/20/14	\$413.23	\$390.04	\$0.00	\$23.19	\$0.00
Security Subtotal	296.000			\$3,620.78	\$3,175.26	\$0.00	\$445.52	\$0.00
EXXON MOBIL CORP CUSIP: 30231G102 Symbol (Box 1d): XOM								
	32.000	07/09/10	02/14/14	\$2,986.05	\$1,880.29	\$0.00	\$1,105.76	\$0.00
	22.000	07/13/10	02/14/14	\$2,052.91	\$1,308.41	\$0.00	\$744.50	\$0.00
Security Subtotal	54.000			\$5,038.96	\$3,188.70	\$0.00	\$1,850.26	\$0.00
FIFTH 3RD BANCORP OHIO CUSIP: 316773100 Symbol (Box 1d): FITB								
	78.000	01/27/10	12/05/14	\$1,606.00	\$954.88	\$0.00	\$651.12	\$0.00
	64.000	12/29/10	12/05/14	\$1,317.74	\$949.45	\$0.00	\$368.29	\$0.00
Security Subtotal	142.000			\$2,923.74	\$1,904.33	\$0.00	\$1,019.41	\$0.00
FISERV INC WISCONSIN CUSIP: 337738108 Symbol (Box 1d): FISV								
	48.000	12/10/09	03/06/14	\$2,814.85	\$1,122.72	\$0.00	\$1,692.13	\$0.00
FREEPORT MCMORAN CP&GLD CUSIP: 35671D857 Symbol (Box 1d): FCX								
	1.000	09/18/09	06/18/14	\$34.47	\$35.18	\$0.00	(\$0.71)	\$0.00
	50.000	10/06/09	06/18/14	\$1,723.57	\$1,747.74	\$0.00	(\$24.17)	\$0.00
Security Subtotal	51.000			\$1,758.04	\$1,782.92	\$0.00	(\$24.88)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GENERAL ELECTRIC CO CUSIP: 369604103 Symbol (Box 1d): GE								
	26.000	12/10/09	03/13/14	\$667.45	\$409.24	\$0.00	\$258.21	\$0.00
	53.000	02/24/10	03/13/14	\$1,360.57	\$850.44	\$0.00	\$510.13	\$0.00
	34.000	12/29/10	03/13/14	\$872.81	\$624.16	\$0.00	\$248.65	\$0.00
	23.000	12/29/10	03/31/14	\$595.37	\$422.23	\$0.00	\$173.14	\$0.00
Security Subtotal	136.000			\$3,496.20	\$2,306.07	\$0.00	\$1,190.13	\$0.00
GOLDMAN SACHS GRP INC CUSIP: 38141G104 Symbol (Box 1d): GS								
	2.000	03/10/09	04/30/14	\$318.42	\$169.65	\$0.00	\$148.77	\$0.00
	6.000	04/17/09	04/30/14	\$955.25	\$723.53	\$0.00	\$231.72	\$0.00
	6.000	09/09/09	04/30/14	\$955.25	\$1,016.46	\$0.00	(\$61.21)	\$0.00
	2.000	12/10/09	04/30/14	\$318.42	\$331.06	\$0.00	(\$12.64)	\$0.00
	3.000	12/29/10	04/30/14	\$477.62	\$504.42	\$0.00	(\$26.80)	\$0.00
Security Subtotal	19.000			\$3,024.96	\$2,745.12	\$0.00	\$279.84	\$0.00
GOOGLE INC CL C CUSIP: 38259P706 Symbol (Box 1d): GOOG								
	4.000	02/02/11	04/07/14	\$2,158.14	\$1,222.47	\$0.00	\$935.67	\$0.00
	1.000	05/24/12	04/07/14	\$539.54	\$300.10	\$0.00	\$239.44	\$0.00
	5.000	05/24/12	04/07/14	\$2,697.68	\$1,500.50	\$0.00	\$1,197.18	\$0.00
Security Subtotal	10.000			\$5,395.36	\$3,023.07	\$0.00	\$2,372.29	\$0.00
GRUPO TELEvisa S.A.GLOBAL DEP CUSIP: 40049J206 Symbol (Box 1d): TV								
	20.000	12/10/09	06/17/14	\$679.24	\$419.15	\$0.00	\$260.09	\$0.00
G4S PLC UNSPONS ADR CUSIP: 37441W108 Symbol (Box 1d): GFSZY								
	30.000	12/10/09	02/05/14	\$566.09	\$609.90	\$0.00	(\$43.81)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

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DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ICICI BANK LTD		CUSIP: 45104G104	Symbol (Box 1d): IBN					
	24.000	12/10/09	08/28/14	\$1,278.42	\$894.72	\$0.00	\$383.70	\$0.00
IHS INC CLA A COM		CUSIP: 451734107	Symbol (Box 1d): IHS					
	21.000	12/10/09	10/02/14	\$2,571.98	\$1,080.28	\$0.00	\$1,491.70	\$0.00
IMPERIAL TOBACCO GP PLC SP ADR		CUSIP: 453142101	Symbol (Box 1d): ITYBY					
	15.000	12/10/09	02/11/14	\$1,112.44	\$916.50	\$0.00	\$195.94	\$0.00
	24.000	12/10/09	02/26/14	\$1,949.33	\$1,466.40	\$0.00	\$482.93	\$0.00
	4.000	12/29/10	02/26/14	\$324.89	\$248.04	\$0.00	\$76.85	\$0.00
Security Subtotal	43.000			\$3,386.66	\$2,630.94	\$0.00	\$755.72	\$0.00
ING GROEP NV ADR		CUSIP: 456837103	Symbol (Box 1d): ING					
	38.000	01/05/10	03/26/14	\$520.81	\$408.02	\$0.00	\$112.79	\$0.00
	1.000	01/06/10	03/26/14	\$13.71	\$10.68	\$0.00	\$3.03	\$0.00
	16.000	10/20/10	03/26/14	\$219.28	\$176.24	\$0.00	\$43.04	\$0.00
	20.000	10/20/10	08/28/14	\$275.18	\$220.30	\$0.00	\$54.88	\$0.00
	12.000	12/29/10	08/28/14	\$165.10	\$115.32	\$0.00	\$49.78	\$0.00
	32.000	12/29/10	10/06/14	\$453.11	\$307.52	\$0.00	\$145.59	\$0.00
Security Subtotal	119.000			\$1,647.19	\$1,238.08	\$0.00	\$409.11	\$0.00
INTESA SANPAOLO S.P.A ADR		CUSIP: 46115H107	Symbol (Box 1d): ISNPY					
	39.000	12/10/09	06/19/14	\$773.30	\$1,035.45	\$0.00	(\$262.15)	\$0.00
INTUITIVE SURGICAL INC		CUSIP: 46120E602	Symbol (Box 1d): ISRG					
	3.000	12/29/10	05/29/14	\$1,123.03	\$779.31	\$0.00	\$343.72	\$0.00

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Corporate Tax Statement
Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ITOCHU CORP ADR								
		CUSIP: 465717106 Symbol (Box 1d): ITOCY						
	18.000	07/02/10	07/07/14	\$459.69	\$286.83	\$0.00	\$172.86	\$0.00
	15.000	12/03/10	07/07/14	\$383.07	\$295.47	\$0.00	\$87.60	\$0.00
Security Subtotal	33.000			\$842.76	\$582.30	\$0.00	\$260.46	\$0.00
JPMORGAN CHASE & CO								
		CUSIP: 46625H100 Symbol (Box 1d): JPM						
	40.000	08/16/10	07/09/14	\$2,231.95	\$1,502.97	\$0.00	\$728.98	\$0.00
KB FINANCIAL GRP INC SONS ADR								
		CUSIP: 48241A105 Symbol (Box 1d): KB						
	24.000	12/10/09	08/28/14	\$967.04	\$1,284.96	\$0.00	(\$317.92)	\$0.00
	4.000	12/10/09	10/31/14	\$155.36	\$214.16	\$0.00	(\$58.80)	\$0.00
	10.000	02/04/10	10/31/14	\$388.40	\$420.33	\$0.00	(\$31.93)	\$0.00
	6.000	12/29/10	10/31/14	\$233.04	\$311.28	\$0.00	(\$78.24)	\$0.00
Security Subtotal	44.000			\$1,743.84	\$2,230.73	\$0.00	(\$486.89)	\$0.00
LIBERTY BROADBAND CORP S-A								
		CUSIP: 530307107 Symbol (Box 1d): LBRDA						
	0.250	12/13/10	11/04/14	\$11.35	\$4.38	\$0.00	\$6.97	\$0.00
LKQ CORPORATION								
		CUSIP: 501889208 Symbol (Box 1d): LKQ						
	114.000	03/10/09	02/10/14	\$3,129.43	\$737.46	\$0.00	\$2,391.97	\$0.00
LONZA GROUP AG ZUERICH ADR								
		CUSIP: 54338V101 Symbol (Box 1d): LZAGY						
	71.000	12/10/09	03/21/14	\$751.12	\$526.82	\$0.00	\$224.30	\$0.00
LYONDELLBASELL NV CL-A								
		CUSIP: N53745100 Symbol (Box 1d): LYB						
	2.000	11/01/10	06/27/14	\$193.97	\$40.45	\$0.00	\$153.52	\$0.00
	28.000	11/01/10	06/27/14	\$2,715.58	\$566.27	\$0.00	\$2,149.31	\$0.00
	2.000	11/01/10	06/29/14	\$228.00	\$40.45	\$0.00	\$187.55	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities [#] (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LYONDELLBASELL NV CL-A(Cont.)		CUSIP: N53745100	Symbol (Box 1d): LYB					
	2.000	11/01/10	08/29/14	\$228.00	\$40.45	\$0.00	\$187.55	\$0.00
Security Subtotal	34.000			\$3,365.55	\$687.62	\$0.00	\$2,677.93	\$0.00
MATTEL INC		CUSIP: 577081102	Symbol (Box 1d): MAT					
	15.000	03/11/09	03/21/14	\$578.87	\$136.41	\$0.00	\$442.46	\$0.00
MCKESSON CORP		CUSIP: 58155Q103	Symbol (Box 1d): MCK					
	5.000	04/07/10	10/16/14	\$931.83	\$331.72	\$0.00	\$600.11	\$0.00
METTLER TOLEDO INTL		CUSIP: 592688105	Symbol (Box 1d): MTD					
	13.000	06/03/10	03/17/14	\$3,107.00	\$1,503.15	\$0.00	\$1,603.85	\$0.00
MICROS SYST		CUSIP: 594901100	Symbol (Box 1d): MCRS					
	46.000	12/10/09	07/29/14	\$3,113.21	\$1,372.92	\$0.00	\$1,740.29	\$0.00
MONDELEZ INTL INC COM		CUSIP: 609207105	Symbol (Box 1d): MDLZ					
	70.000	03/10/09	04/07/14	\$2,401.94	\$972.35	\$0.00	\$1,429.59	\$0.00
	44.000	12/10/09	04/07/14	\$1,509.79	\$759.71	\$0.00	\$750.08	\$0.00
	1.000	08/23/10	04/07/14	\$34.31	\$18.95	\$0.00	\$15.36	\$0.00
	17.000	08/23/10	04/07/14	\$583.33	\$322.08	\$0.00	\$261.25	\$0.00
	37.000	08/23/10	04/07/14	\$1,269.60	\$701.01	\$0.00	\$568.59	\$0.00
	17.000	12/29/10	04/07/14	\$583.33	\$349.80	\$0.00	\$233.53	\$0.00
Security Subtotal	186.000			\$6,382.30	\$3,123.90	\$0.00	\$3,258.40	\$0.00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol (Box 1d): NSRGY					
	18.000	03/11/09	05/27/14	\$1,393.17	\$569.70	\$0.00	\$823.47	\$0.00
	2.000	03/11/09	05/27/14	\$154.80	\$63.30	\$0.00	\$91.50	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
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Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NESTLE SPON ADR REP REG SHR (Cont.) CUSIP: 641069406 Symbol (Box 1d): NSRGY								
	1.000	03/11/09	05/27/14	\$77.40	\$31.60	\$0.00	\$45.80	\$0.00
	5.000	03/11/09	05/27/14	\$386.99	\$158.00	\$0.00	\$228.99	\$0.00
	4.000	03/11/09	05/27/14	\$309.60	\$126.40	\$0.00	\$183.20	\$0.00
	4.000	03/11/09	05/27/14	\$309.60	\$126.40	\$0.00	\$183.20	\$0.00
	1.000	03/11/09	05/27/14	\$77.40	\$31.60	\$0.00	\$45.80	\$0.00
	3.000	12/10/09	05/27/14	\$232.20	\$145.86	\$0.00	\$86.34	\$0.00
	4.000	12/29/10	05/27/14	\$309.60	\$236.92	\$0.00	\$72.68	\$0.00
	20.000	03/11/09	12/23/14	\$1,477.15	\$632.00	\$0.00	\$845.15	\$0.00
	2.000	03/11/09	12/23/14	\$147.71	\$63.20	\$0.00	\$84.51	\$0.00
	13.000	09/09/10	12/23/14	\$960.15	\$688.68	\$0.00	\$271.47	\$0.00
	2.000	12/29/10	12/23/14	\$147.71	\$118.46	\$0.00	\$29.25	\$0.00
Security Subtotal	79.000			\$5,983.48	\$2,992.12	\$0.00	\$2,991.36	\$0.00
NISOURCE INC CUSIP: 65473P105 Symbol (Box 1d): NI								
	31.000	03/11/09	03/13/14	\$1,086.29	\$265.02	\$0.00	\$821.27	\$0.00
	7.000	03/11/09	07/14/14	\$272.25	\$59.84	\$0.00	\$212.41	\$0.00
	5.000	03/11/09	07/14/14	\$194.46	\$42.75	\$0.00	\$151.71	\$0.00
	32.000	03/11/09	08/07/14	\$1,174.43	\$273.57	\$0.00	\$900.86	\$0.00
Security Subtotal	75.000			\$2,727.43	\$641.18	\$0.00	\$2,086.25	\$0.00
NOVO NORDISK A/S ADR CUSIP: 670100205 Symbol (Box 1d): NVO								
	10.000	07/21/09	10/02/14	\$476.91	\$113.82	\$0.00	\$363.09	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
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EASTON CT 06612-2054

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OCCIDENTAL PETROLEUM CORP DE								
		CUSIP: 674599105		Symbol (Box 1d): OXY				
	14.000	05/07/10	11/28/14	\$1,116.38	\$1,124.20	\$0.00	(\$7.82)	\$0.00
	31.000	05/07/10	12/04/14	\$2,545.18	\$2,402.55	\$0.00	\$142.63	\$0.00
Security Subtotal	45.000			\$3,661.56	\$3,526.75	\$0.00	\$134.81	\$0.00
PFIZER INC								
		CUSIP: 717081103		Symbol (Box 1d): PFE				
	72.000	03/10/09	07/16/14	\$2,208.84	\$935.84	\$0.00	\$1,273.00	\$0.00
	63.000	03/10/09	08/19/14	\$1,824.56	\$818.86	\$0.00	\$1,005.70	\$0.00
	18.000	12/10/09	08/19/14	\$521.30	\$330.84	\$0.00	\$190.46	\$0.00
	44.000	06/09/10	08/19/14	\$1,274.29	\$637.13	\$0.00	\$637.16	\$0.00
	7.000	12/29/10	08/19/14	\$202.73	\$122.97	\$0.00	\$79.76	\$0.00
	51.000	12/29/10	09/22/14	\$1,532.99	\$895.96	\$0.00	\$637.03	\$0.00
Security Subtotal	255.000			\$7,564.71	\$3,741.60	\$0.00	\$3,823.11	\$0.00
PRECISION CASTPARTS CORP								
		CUSIP: 740189105		Symbol (Box 1d): PCP				
	8.000	12/10/09	10/31/14	\$1,772.58	\$878.64	\$0.00	\$893.94	\$0.00
REED ELSEVIER PLC - SPONS ADR								
		CUSIP: 758205207		Symbol (Box 1d): RUK				
	10.000	12/10/09	10/15/14	\$595.84	\$308.68	\$0.00	\$287.16	\$0.00
	20.000	12/10/09	11/12/14	\$1,311.92	\$617.36	\$0.00	\$694.56	\$0.00
Security Subtotal	30.000			\$1,907.76	\$926.04	\$0.00	\$981.72	\$0.00
REPSOL SA SPON ADR								
		CUSIP: 76026T205		Symbol (Box 1d): REPLY				
	23.000	12/10/09	01/17/14	\$594.82	\$619.39	\$0.00	(\$24.57)	\$0.00
REXAM PLC								
		CUSIP: 761655604		Symbol (Box 1d): REXMY				
	0.222	01/10/11	06/02/14	\$10.04	\$7.86	\$0.00	\$2.18	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SANOFI ADR CUSIP: 80105N105 Symbol (Box 1d): SNY								
	28.000	12/10/09	01/22/14	\$1,445.79	\$1,088.81	\$0.00	\$356.98	\$0.00
	36.000	12/10/09	10/30/14	\$1,629.71	\$1,399.90	\$0.00	\$229.81	\$0.00
	2.000	01/29/10	10/30/14	\$90.54	\$74.76	\$0.00	\$15.78	\$0.00
	11.000	12/29/10	10/30/14	\$497.97	\$355.96	\$0.00	\$142.01	\$0.00
Security Subtotal	77.000			\$3,864.01	\$2,919.43	\$0.00	\$744.58	\$0.00
SCIENCE APPLICATIONS INTL CP CUSIP: 808625107 Symbol (Box 1d): SAIC								
	22.000	01/29/13	08/12/14	\$917.36	\$570.05	\$0.00	\$347.31	\$0.00
	8.000	02/15/13	08/12/14	\$333.58	\$227.94	\$0.00	\$105.64	\$0.00
	3.000	02/15/13	08/13/14	\$124.94	\$85.48	\$0.00	\$39.46	\$0.00
Security Subtotal	33.000			\$1,375.88	\$883.47	\$0.00	\$492.41	\$0.00
SEMPRA ENERGY CUSIP: 816851109 Symbol (Box 1d): SRE								
	4.000	03/11/09	07/23/14	\$408.78	\$156.00	\$0.00	\$252.78	\$0.00
	1.000	03/11/09	07/23/14	\$102.19	\$39.00	\$0.00	\$63.19	\$0.00
	2.000	03/11/09	07/24/14	\$204.37	\$78.00	\$0.00	\$126.37	\$0.00
	1.000	03/11/09	07/24/14	\$102.19	\$39.00	\$0.00	\$63.19	\$0.00
	2.000	03/11/09	08/27/14	\$206.78	\$78.00	\$0.00	\$128.78	\$0.00
	2.000	03/11/09	08/27/14	\$206.78	\$78.00	\$0.00	\$128.78	\$0.00
	7.000	12/10/09	08/27/14	\$723.75	\$383.53	\$0.00	\$340.22	\$0.00
	8.000	04/30/10	08/27/14	\$827.14	\$395.38	\$0.00	\$431.76	\$0.00
	4.000	12/10/09	10/09/14	\$425.54	\$219.16	\$0.00	\$206.38	\$0.00
	6.000	04/30/10	10/09/14	\$638.31	\$296.53	\$0.00	\$341.78	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

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SEMPRA ENERGY (Cont.) CUSIP: 816851109 Symbol (Box 1d): SRE								
	12.000	04/30/10	12/22/14	\$1,321.29	\$593.07	\$0.00	\$728.22	\$0.00
	9.000	05/04/10	12/22/14	\$991.02	\$444.70	\$0.00	\$546.32	\$0.00
Security Subtotal	58.000			\$6,158.14	\$2,800.37	\$0.00	\$3,357.77	\$0.00
SHANGHAI ELEC GROUP CO LTD ADR CUSIP: 81943J108 Symbol (Box 1d): SIELY								
	68.000	12/10/09	10/22/14	\$632.09	\$635.80	\$0.00	(\$3.71)	\$0.00
	33.000	07/02/10	10/22/14	\$306.75	\$292.33	\$0.00	\$14.42	\$0.00
Security Subtotal	101.000			\$938.84	\$928.13	\$0.00	\$10.71	\$0.00
SHIRE PLC ADR CUSIP: 82481R106 Symbol (Box 1d): SHPG								
	15.000	12/10/09	07/16/14	\$3,675.83	\$847.38	\$0.00	\$2,828.45	\$0.00
	1.000	12/29/10	07/16/14	\$245.05	\$71.43	\$0.00	\$173.62	\$0.00
	1.000	12/29/10	07/23/14	\$254.74	\$71.43	\$0.00	\$183.31	\$0.00
Security Subtotal	17.000			\$4,175.62	\$990.24	\$0.00	\$3,185.38	\$0.00
SKYWORKS SOLUTIONS INC CUSIP: 83088M102 Symbol (Box 1d): SWKS								
	3.000	11/18/10	05/29/14	\$128.44	\$71.82	\$0.00	\$56.62	\$0.00
	15.000	11/18/10	07/14/14	\$712.07	\$359.10	\$0.00	\$352.97	\$0.00
Security Subtotal	18.000			\$840.51	\$430.92	\$0.00	\$409.59	\$0.00
SMITH & NEPHEW PLC ADR CUSIP: 83175M205 Symbol (Box 1d): SNN								
	23.000	03/11/09	11/26/14	\$818.54	\$286.30	\$0.00	\$532.24	\$0.00
STATOIL ASA ADR CUSIP: 85771P102 Symbol (Box 1d): STO								
	54.000	12/10/09	05/08/14	\$1,693.63	\$1,323.00	\$0.00	\$370.63	\$0.00
	3.000	12/10/09	08/28/14	\$85.53	\$73.50	\$0.00	\$12.03	\$0.00
	21.000	12/20/10	08/28/14	\$598.73	\$483.86	\$0.00	\$114.87	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
STATOIL ASA ADR (Cont.)								
		CUSIP: 85771P102		Symbol (Box 1d): STO				
	4.000	12/27/10	08/28/14	\$114.04	\$92.80	\$0.00	\$21.24	\$0.00
	25.000	12/29/10	08/28/14	\$712.77	\$590.50	\$0.00	\$122.27	\$0.00
Security Subtotal	107.000			\$3,204.70	\$2,563.66	\$0.00	\$641.04	\$0.00
TAIWAN SMCNDCR MFG CO LTD ADR								
		CUSIP: 874039100		Symbol (Box 1d): TSM				
	1.000	12/10/09	07/07/14	\$22.70	\$10.76	\$0.00	\$11.94	\$0.00
	6.000	12/10/09	07/07/14	\$136.25	\$64.55	\$0.00	\$71.70	\$0.00
	23.000	12/10/09	07/07/14	\$522.31	\$247.43	\$0.00	\$274.88	\$0.00
	2.000	12/10/09	08/28/14	\$41.32	\$21.52	\$0.00	\$19.80	\$0.00
	9.000	12/10/09	08/28/14	\$185.94	\$96.82	\$0.00	\$89.12	\$0.00
	92.000	12/10/09	08/28/14	\$1,900.70	\$989.74	\$0.00	\$910.96	\$0.00
	20.000	12/29/10	08/28/14	\$413.19	\$244.60	\$0.00	\$168.59	\$0.00
Security Subtotal	153.000			\$3,222.41	\$1,675.42	\$0.00	\$1,546.99	\$0.00
TELEPHONE AND DATA SYSTEMS INC								
		CUSIP: 879433829		Symbol (Box 1d): TDS				
	85.000	03/11/09	10/28/14	\$2,125.31	\$2,012.80	\$0.00	\$112.51	\$0.00
	10.000	12/10/09	10/28/14	\$250.04	\$296.52	\$0.00	(\$46.48)	\$0.00
Security Subtotal	95.000			\$2,375.35	\$2,309.32	\$0.00	\$66.03	\$0.00
TEREX CP NEW DEL								
		CUSIP: 880779103		Symbol (Box 1d): TEX				
	11.000	08/16/10	07/14/14	\$438.33	\$215.46	\$0.00	\$222.87	\$0.00
	3.000	09/02/10	07/14/14	\$119.54	\$60.21	\$0.00	\$59.33	\$0.00
Security Subtotal	14.000			\$557.87	\$275.67	\$0.00	\$282.20	\$0.00

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TJX COS INC NEW CUSIP: 872540109 Symbol (Box 1d): TJX								
	2.000	05/24/10	11/05/14	\$127.12	\$44.33	\$0.00	\$82.79	\$0.00
	38.000	05/24/10	11/05/14	\$2,415.27	\$842.31	\$0.00	\$1,572.96	\$0.00
	16.000	06/09/10	11/05/14	\$1,016.95	\$360.97	\$0.00	\$655.98	\$0.00
Security Subtotal	56.000			\$3,559.34	\$1,247.61	\$0.00	\$2,311.73	\$0.00
TOTAL S A SPON ADR CUSIP: 89151E109 Symbol (Box 1d): TOT								
	2.000	12/10/09	07/10/14	\$138.06	\$125.48	\$0.00	\$12.58	\$0.00
	20.000	12/10/09	07/10/14	\$1,380.55	\$1,254.80	\$0.00	\$125.75	\$0.00
	1.000	12/10/09	08/05/14	\$64.34	\$62.74	\$0.00	\$1.60	\$0.00
	13.000	12/10/09	08/05/14	\$836.40	\$815.62	\$0.00	\$20.78	\$0.00
	2.000	12/10/09	11/24/14	\$120.08	\$125.48	\$0.00	(\$5.40)	\$0.00
	6.000	12/10/09	11/24/14	\$360.24	\$376.44	\$0.00	(\$16.20)	\$0.00
	8.000	12/29/10	12/17/14	\$412.49	\$429.20	\$0.00	(\$16.71)	\$0.00
Security Subtotal	52.000			\$3,312.16	\$3,189.76	\$0.00	\$122.40	\$0.00
TRANSCANADA CORP(HLDG CO) CUSIP: 89353D107 Symbol (Box 1d): TRP								
	53.000	12/10/09	08/25/14	\$2,766.74	\$1,744.41	\$0.00	\$1,022.33	\$0.00
	7.000	12/29/10	08/25/14	\$365.42	\$267.89	\$0.00	\$97.53	\$0.00
Security Subtotal	60.000			\$3,132.16	\$2,012.30	\$0.00	\$1,119.86	\$0.00
TUPPERWARE BRANDS CORP CUSIP: 899896104 Symbol (Box 1d): TUP								
	12.000	12/10/09	01/28/14	\$1,005.68	\$586.70	\$0.00	\$418.98	\$0.00
	24.000	12/10/09	08/29/14	\$1,749.57	\$1,173.41	\$0.00	\$576.16	\$0.00
	6.000	04/29/10	08/29/14	\$437.39	\$316.32	\$0.00	\$121.07	\$0.00
Security Subtotal	42.000			\$3,192.64	\$2,076.43	\$0.00	\$1,116.21	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2014KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX4339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNION PACIFIC CORP CUSIP: 907818108 Symbol (Box 1d): UNP								
	6.000	12/10/09	01/22/14	\$1,012.44	\$387.18	\$0.00	\$625.26	\$0.00
	6.000	12/10/09	05/09/14	\$1,133.63	\$387.18	\$0.00	\$746.45	\$0.00
	19.000	12/10/09	06/27/14	\$1,887.89	\$613.04	\$0.00	\$1,274.85	\$0.00
	17.000	12/10/09	07/31/14	\$1,674.59	\$548.50	\$0.00	\$1,126.09	\$0.00
Security Subtotal	48.000			\$5,708.55	\$1,935.90	\$0.00	\$3,772.65	\$0.00
VALE S.A. SP CUSIP: 91912E204 Symbol (Box 1d): VALEP								
	30.000	12/10/09	02/26/14	\$368.72	\$722.33	\$0.00	(\$353.61)	\$0.00
	14.000	12/29/10	02/26/14	\$172.07	\$409.22	\$0.00	(\$237.15)	\$0.00
Security Subtotal	44.000			\$540.79	\$1,131.55	\$0.00	(\$590.76)	\$0.00
WALT DISNEY CO HLDG CO CUSIP: 254687106 Symbol (Box 1d): DIS								
	6.000	12/29/10	07/16/14	\$512.75	\$226.32	\$0.00	\$286.43	\$0.00
WELLS FARGO & CO NEW CUSIP: 949746101 Symbol (Box 1d): WFC								
	23.000	03/10/09	03/13/14	\$1,102.92	\$264.62	\$0.00	\$838.30	\$0.00
	1.000	04/01/09	03/13/14	\$47.95	\$14.72	\$0.00	\$33.23	\$0.00
	62.000	04/01/09	03/31/14	\$3,078.13	\$912.71	\$0.00	\$2,165.42	\$0.00
	45.000	04/24/09	03/31/14	\$2,234.12	\$937.00	\$0.00	\$1,297.12	\$0.00
	13.000	12/10/09	03/31/14	\$645.41	\$332.80	\$0.00	\$312.61	\$0.00
	15.000	12/27/10	03/31/14	\$744.71	\$469.20	\$0.00	\$275.51	\$0.00
	20.000	12/29/10	03/31/14	\$992.94	\$620.80	\$0.00	\$372.14	\$0.00
Security Subtotal	179.000			\$8,846.18	\$3,551.85	\$0.00	\$5,294.33	\$0.00
WHITING PETROLEUM CORP CUSIP: 966387102 Symbol (Box 1d): WLL								
	0.984	03/04/13	12/08/14	\$33.00	\$50.23	\$0.00	(\$17.23)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2014

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
XEROX CORP		CUSIP: 984121103 Symbol (Box 1d): XRX						
	8.000	03/11/09	01/27/14	\$84.80	\$67.80	\$0.00	\$17.00	\$0.00
	94.000	03/11/09	01/27/14	\$996.41	\$796.65	\$0.00	\$199.76	\$0.00
	42.000	03/04/10	01/27/14	\$445.20	\$400.44	\$0.00	\$44.76	\$0.00
	18.000	03/04/10	01/28/14	\$194.45	\$171.62	\$0.00	\$22.83	\$0.00

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Corporate Tax Statement
Tax Year 2014

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ATTENTION: CARLA KLEIN
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OMB NO. 1545-0715

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Long Term - Noncovered Securities [#] (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
XEROX CORP (Cont.)		CUSIP: 984121103	Symbol (Box 1d): XRX					
	76.000	03/18/10	01/28/14	\$820.99	\$746.54	\$0.00	\$74.45	\$0.00
	36.000	12/13/10	01/28/14	\$388.89	\$431.55	\$0.00	(\$42.66)	\$0.00
Security Subtotal	274.000			\$2,930.74	\$2,614.60	\$0.00	\$316.14	\$0.00
Total Long Term Noncovered Securities				\$196,219.08	\$110,184.84	\$0.00	\$86,034.24	\$0.00
Total Long Term Covered and Noncovered Securities				\$514,551.95	\$346,899.25	\$0.00	\$167,652.70	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$666,421.03	\$486,261.60	\$50.63	\$180,159.43	\$0.00
Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities								
Total IRS Reportable Proceeds (Box 1d)				\$666,421.03				
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)					\$375,669.68			
Total IRS Reportable Adjustments (Box 1g)						\$50.63		
Total Fed Tax Withheld (Box 4)								\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.

Klein Family Foundation Inc.
Form 990-PF Lines 10a, 10b, 10c, and 13

	<u>Stocks</u>	<u>ETF & Closed End Funds</u>	<u>Municipal Bonds</u>	<u>Corporate Fixed Income</u>	<u>Government Securities</u>	<u>Mutual Funds</u>	<u>Total Securities</u>
Cost:							
Morgan Stanley #019935	1,338,314.19	585,938.11				100,939.59	2,025,191.89
Basis adjustment	(658.17)						(658.17)
Morgan Stanley #019928	40,218.90	427,641.74	242,158.25	675,032.64	80,591.08	936,334.66	2,401,977.27
Basis adjustment		(2,437.50)					(2,437.50)
Total	1,377,874.92	1,011,142.35	242,158.25	675,032.64	80,591.08	1,037,274.25	4,424,073.49
	<u>Line 10b</u>	<u>Line 13</u>	<u>Line 10a</u>	<u>Line 10c</u>	<u>Line 10a</u>	<u>Line 13</u>	
Market:							
Morgan Stanley #019935	1,708,850.91	1,072,166.08				142,901.89	2,923,918.88
Morgan Stanley #019928	39,037.50	440,447.32	257,521.45	709,927.39	85,114.44	999,806.41	2,531,854.51
Total	1,747,888.41	1,512,613.40	257,521.45	709,927.39	85,114.44	1,142,708.30	5,455,773.39
	<u>Line 10b</u>	<u>Line 13</u>	<u>Line 10a</u>	<u>Line 10c</u>	<u>Line 10a</u>	<u>Line 13</u>	

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

STOCKS

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CHARLES SCHWAB CORP 6% SER-B (SCHW.B)	11/26/12	750 000	\$26.493	\$19,869.45	\$19,200.00	\$(669.45) LT	\$1,125.00	5.85
Share Price \$25.600; Moody BAA2 S&P BBB; Next Dividend Payable 03/2015								
VORNADO REALTY TR SER-J 6.875% (VNO.J)	2/20/13	750.000	27.133	20,349.45	19,837.50	\$(511.95) LT	1,289.00	6.49
Share Price \$26.450; Moody BAA3 S&P BBB-; Next Dividend Payable 01/02/15								

STOCKS

Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
1.3%	\$40,218.90	\$39,037.50	\$(1,181.40) LT	\$2,414.00 Accrued Interest \$0.00	6.18%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BLACKROCK LTD DURATION INC (BLW)	4/29/14	2,000.000	\$17.257	\$34,514.00	\$31,440.00	\$(3,074.00) ST	\$2,388.00	7.59
Share Price \$15.720; Next Dividend Payable 01/09/15								
CLEARBRIDGE ENERGY MLP FD INC (CEM)	9/26/12	1,500.000	23.902	35,852.86	41,400.00	5,547.14 LT R	2,460.00	5.94
Share Price \$27.600; Next Dividend Payable 02/2015								
DOUBLELINE INCOME SOLUTIONS FD (DSL)	4/25/13	500 000	25.000	12,500.00	9,950.00	(2,550.00) LT	900.00	9.04
Share Price \$19.900; Next Dividend Payable 01/2015								
ENERGY SEL SECT SPDR FD (XLE)	12/3/14	500.000	81.967	40,983.35	39,580.00	(1,403.35) ST	930.00	2.34
Share Price \$79.160; Next Dividend Payable 03/2015								
GUGGENHEIM MULTI-ASSET INCOME (GVY)	6/13/14	2,000.000	25.929	51,857.40	44,740.00	(7,117.40) ST	2,810.00	6.28
Share Price \$22.370; Next Dividend Payable 03/2015								
ISHARES IBOXX INVEST GR COR BD (IQD)	3/10/09	852 000	91.535	77,987.82	101,737.32	23,749.50 LT	3,450.00	3.39
Share Price \$119.410; Next Dividend Payable 01/2015								
PIMCO 0-5 YEAR HY CORP BOND (HYS)	11/7/12	500 000	103.736	51,867.91	50,415.00	(1,452.91) LT		
	4/1/14	500 000	106.612	53,305.80	50,415.00	(2,890.80) ST		
Total		1,000.000		105,173.71	100,830.00	(1,452.91) LT (2,890.80) ST	4,506.00	4.46

Share Price \$100.830; Next Dividend Payable 01/05/15

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

EXCHANGE-TRADED & CLOSED-END FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PIMCO DYNAMIC CREDIT INC FD (PCI)	1/28/13	1,000,000	25.000	25,000.00	20,650.00	(4,350.00) LT	1,875.00	9.07
<i>Share Price: \$20.650; Next Dividend Payable 01/02/15</i>								
PROSHARES TR S&P 500 DV ARIST (NOBL)	12/23/13	1,000,000	43.773	43,772.60	50,120.00	6,347.40 LT	799.00	1.59
<i>Share Price: \$50.120; Next Dividend Payable 03/2015</i>								
		Percentage of Assets %		Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS		14.2%		\$427,641.74	\$440,447.32	\$27,291.13 LT (14,485.55) ST	\$20,118.00 \$0.00	4.57%

MUNICIPAL BONDS

[illegible]

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

MUNICIPAL BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
OREGON ST GENL OBLIG DEPT ENERGY SER-B	8/6/10	25,000,000	104,641 103,536	26,160.25 25,884.00			1,175.00 293.75	4.29
CUSIP 68608KF94								
Unit Price: \$109.394, Coupon Rate: 4.700%, Matures 04/01/2025, Int: Semi-Annually Apr/Oct 01, Callable \$100.00 on 04/01/20, Yield to Call: 2.765%, Subject to Federal Tax; Moody AA1 S&P AA+, Issued 07/29/10								
FLORIDA ST BRD ED PUB ED CAP O UTLAY SER-G BUILD AMERICA BOND	5/31/11	25,000,000	106,701 105,366	26,675.25 26,341.47			1,300.00 108.33	4.65
CUSIP 34153PRU4								
Unit Price: \$111.619, Coupon Rate: 5.200%, Matures 06/01/2025, Int: Semi-Annually Jun/Dec 01, Callable \$100.00 on 06/01/19, Yield to Call: 2.410%, Subject to Federal Tax; Moody AA1 S&P AAA, Issued 02/11/10								
SAN FRANCISCO CALIF CITY & CNTY GENL OBLIG BUILD AMERICA	7/30/10	25,000,000	110,214 107,852	27,553.50 26,963.07			1,363.00 60.55	4.60
CUSIP 797646NP7								
Unit Price: \$118.273, Coupon Rate: 5.450%, Matures 06/15/2025, Int: Semi-Annually Jun/Dec 15, Yield to Maturity: 3.363%, Callable Extraordinary, Subject to Federal Tax; Moody AA1 S&P AA+, Issued 03/24/10								
MUNICIPAL BONDS		Face Value Percentage of Assets %		Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
		230,000,000		\$246,308.80 \$242,158.25	\$257,521.45	\$15,363.20 LT	\$11,265.00 \$2,309.46	4.37%
TOTAL MUNICIPAL BONDS (incl.accr.int.)		8.4%			\$259,830.91			

CORPORATE FIXED INCOME

CORPORATE BONDS

[illegible]

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

CORPORATE FIXED INCOME
CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
FORD MOTOR CREDIT CO LLC CUSIP 345397VQ3	4/26/11	35,000,000	108,017 101,377	37,806.00 35,481.79	36,114.40	632.61 LT	1,969.00 579.68	5.45
<i>Unit Price: \$103.184, Coupon Rate 5.625%, Matures 09/15/2015, Int Semi-Annually Mar/Sep 15, Yield to Maturity 1.080%, Moody BAA3 S&P BBB-, Issued 09/21/10</i>								
PITNEY BOWES INC CUSIP 72447XAA5	11/8/11	25,000,000	106,604 101,724	26,651.00 25,430.88	25,853.00	422.12 LT	1,188.00 547.56	4.59
<i>Unit Price: \$103.412, Coupon Rate 4.750%, Matures 01/15/2016, Int Semi-Annually Jan/Jul 15, Yield to Maturity 1.429%, Moody BAA2 S&P BBB, Issued 07/13/05</i>								
ONEOK PARTNERS LP CUSIP 68268NAFO	10/13/11	40,000,000	101,778 100,469	40,711.20 40,187.74	40,800.80	613.06 LT	1,300.00 541.66	3.18
<i>Unit Price: \$102.002, Coupon Rate 3.250%, Matures 02/01/2016, Int Semi-Annually Feb/Aug 01, Callable \$100.00 on 01/01/16, Yield to Call 1.230%, Moody BAA2 S&P BBB, Issued 01/26/11</i>								
KRAFT FOODS INC CUSIP 50075NBB9	10/4/11	35,000,000	108,440 102,222	37,954.05 35,777.67	36,300.25	522.58 LT	1,444.00 569.47	3.97
<i>Unit Price: \$103.715, Coupon Rate 4.125%, Matures 02/09/2016, Int Semi-Annually Feb/Aug 09, Yield to Maturity 7.44%, Moody BAA1 S&P BBB-, Issued 02/08/10</i>								
HEALTH CARE REIT, INC. CUSIP 42217KAV8	8/18/11	25,000,000	106,066 101,662	26,516.50 25,415.53	25,730.50	314.97 LT	906.00 266.84	3.52
<i>Unit Price: \$102.922, Coupon Rate 3.625%, Matures 03/15/2016, Int Semi-Annually Mar/Sep 15, Yield to Maturity 1.176%, Moody BAA2 S&P BBB, Issued 03/14/11</i>								
INTL PAPER CO NT CUSIP 460146BZ5	12/22/10	25,000,000	107,725 101,969	26,931.25 25,492.13	26,267.25	775.12 LT	1,313.00 328.12	4.99
<i>Unit Price: \$105.069, Coupon Rate 5.250%, Matures 04/01/2016, Int Semi-Annually Apr/Oct 01, Yield to Maturity 1.152%, Moody BAA2 S&P BBB, Issued 03/18/04</i>								
BANK OF AMERICA CUSIP 06050WDE2	7/22/10	50,000,000	100,000 100,000	50,000.00 50,000.00	51,593.50	1,593.50 LT	2,000.00 922.22	3.87
<i>Unit Price: \$103.187, Coupon Rate 4.000%, Matures 07/15/2016; Int Semi-Annually Jan/Jul 15; Yield to Maturity 1.889%, Moody BAA2 S&P A-, Issued 07/29/10</i>								
WELLS FARGO COMPANY CUSIP 949746JE2	3/16/09	5,000,000	81.975	4,098.75				
			81.975	4,098.75	5,333.00	1,234.25 LT		
	9/30/09	1,000,000	102.663	1,026.63				
			100.733	1,007.33	1,066.60	59.27 LT		
Total		6,000,000		5,125.38			308.00	4.81
				5,106.08	6,399.60	1,293.52 LT	90.54	
<i>Unit Price: \$106.660, Coupon Rate 5.125%, Matures 09/15/2016, Int Semi-Annually Mar/Sep 15, Yield to Maturity 1.169%, Moody A3 S&P A, Issued 09/15/04</i>								
E.I. DU PONT DE NEMOURS CUSIP 263534BQ1	3/13/09	3,000,000	101.613	3,048.39				
			100.466	3,013.99	3,244.35	230.36 LT		
	9/30/09	1,000,000	107.917	1,079.17				
			102.373	1,023.73	1,081.45	57.72 LT		
Total		4,000,000		4,127.56			210.00	4.85
				4,037.72	4,325.80	288.08 LT	9.33	
<i>Unit Price: \$108.145, Coupon Rate 5.250%, Matures 12/15/2016, Int Semi-Annually Jun/Dec 15, Yield to Maturity 1.032%, Moody A2 S&P A, Issued 12/15/06</i>								

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
VIACOM INC	8/18/11	25,000.000	105.646	26,411.50			875.00	3.36
CUSIP 92553PAG7			102.357	25,589.25	26,017.25	428.00 LT	218.75	
Unit Price \$104.069, Coupon Rate 3.500%, Matures 04/01/2017, Int. Semi-Annually Apr/Oct 01, Yield to Maturity 1.650%, Moody BAA2, S&P BBB, Issued 03/31/11								
ARCELORMITTAL	8/23/11	35,000.000	105.428	36,899.85			2,144.00	5.75
CUSIP 03938LAF1			102.974	36,040.86	37,227.75	1,186.89 LT	178.64	
Unit Price \$106.365, Coupon Rate 6.125%, Matures 06/01/2018, Int. Semi-Annually Jun/Dec 01, Yield to Maturity 4.108%, Moody BA1, S&P BB+, Issued 05/27/08								
PEPSICO INC	3/12/09	4,000.000	121.842	4,873.68				
CUSIP 713448BJ6			109.948	4,397.91	4,866.40	468.49 LT		
	9/30/09	1,000.000	127.487	1,274.87				
			112.874	1,128.74	1,216.60	87.86 LT		
Total		5,000.000		6,148.55			395.00	6.49
				5,526.65	6,083.00	556.35 LT	65.83	
Unit Price \$121.660, Coupon Rate 7.900%, Matures 11/01/2018, Int. Semi-Annually May/Nov 01, Yield to Maturity 2.001%, Moody A1, S&P A-, Issued 10/24/08								
DELL INC	1/22/13	35,000.000	102.530	35,885.55			2,056.00	5.54
CUSIP 24702RAJ0			101.853	35,648.45	37,100.00	1,451.55 LT	91.38	
Unit Price \$106.000, Coupon Rate 5.875%, Matures 06/15/2019, Int. Semi-Annually Jun/Dec 15, Yield to Maturity 4.377%, Moody B1, S&P BB+, Issued 06/15/09								
EXELON GENERATION CO LLC	6/14/11	25,000.000	108.295	27,073.75			1,300.00	4.70
CUSIP 30161MAF0			105.083	26,270.63	27,625.50	1,354.87 LT	325.00	
Unit Price \$110.502, Coupon Rate 5.200%, Matures 10/01/2019, Int. Semi-Annually Apr/Oct 01, Yield to Maturity 2.821%, Moody BAA2, S&P BBB, Issued 09/23/09								
ALCOA INC	6/14/11	35,000.000	108.940	38,128.97			2,153.00	5.47
CUSIP 013817AU5			105.947	37,081.59	39,352.60	2,271.01 LT	813.16	
Unit Price \$112.436, Coupon Rate 6.150%, Matures 08/15/2020, Int. Semi-Annually Feb/Aug 15, Yield to Maturity 3.680%, Moody BA1, S&P BBB-, Issued 08/03/10								
JEFFERIES GROUP INC	7/19/10	25,000.000	103.255	25,813.75			1,719.00	6.04
CUSIP 472319AH5			102.168	25,542.03	28,419.00	2,876.97 LT	362.84	
Unit Price \$113.676, Coupon Rate 6.875%, Matures 04/15/2021, Int. Semi-Annually Apr/Oct 15, Yield to Maturity 4.364%, Moody BAA3, S&P BBB-, Issued 06/28/10								
HEWLETT-PACKARD CO	11/30/12	50,000.000	98.624	49,312.00			2,325.00	4.34
CUSIP 428236BV4			98.624	49,312.00	53,538.50	4,226.50 LT	142.08	
Unit Price \$107.077, Coupon Rate 4.650%, Matures 12/09/2021, Int. Semi-Annually Jun/Dec 09, Yield to Maturity 3.492%, Moody BAA1 (-), S&P BBB+ (-), Issued 12/09/11								
ENTERPRISE PRODS OPER 8.375% F IX TO	9/20/10	25,000.000	106.524	26,631.00			2,094.00	7.80
8/2016 FLOATS THEREAFTER			106.489	26,622.28	26,843.75	221.47 LT	872.39	
CUSIP 293791AV1								
Unit Price \$107.375, Coupon Rate 8.375%, Matures 08/01/2066, Int. Semi-Annually Feb/Aug 01, Callable \$100.00 on 08/01/16, Yield to Call 3.541%, Floater, Moody BAA2, S&P BBB-, Issued 07/18/06								
HARTFORD FINL SVCS GRP 8.125% FIXED	4/11/12	40,000.000	107.215	42,886.00			3,250.00	7.09
TO 6/15/18 THEN FLOAT			107.190	42,875.93	45,793.20	2,917.27 LT	144.44	
CUSIP 416515AW4								
Unit Price \$114.483, Coupon Rate 8.125%, Matures 06/15/2068, Int. Semi-Annually Jun/Dec 15, Callable \$100.00 on 06/15/18, Yield to Call 3.627%, Floater, Moody BA1, S&P BB+, Issued 06/06/08								

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME	657,000.000	\$688,654.63 \$675,032.64	\$709,927.39	\$34,894.75 LT	\$36,536.00 \$7,644.54	5.15%
TOTAL CORPORATE FIXED INCOME (incl. accr. int.)	23.2%		\$717,571.93			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNITED STATES TREASURY NOTE CUSIP 912828DM9	9/9/09	3,000.000	\$107.286 \$100.175	\$3,218.57 \$3,005.24	\$3,013.83	\$8.59 LT		
	9/28/09	3,000.000	107.594 100.183	3,227.81 3,005.49	3,013.83	8.34 LT		
	9/29/09	6,000.000	107.637 100.184	6,458.23 6,011.06	6,027.66	16.60 LT		
	10/29/09	2,000.000	107.344 100.180	2,146.88 2,003.59	2,009.22	5.63 LT		
Total		14,000.000		15,051.49 14,025.38	14,064.54	39.16 LT	280.00 210.00	1.99

Unit Price: \$100.461, Coupon Rate: 4.000%, Matures 02/15/2015, Int. Semi-Annually Feb/Aug 15, Moody AAA, Issued 02/15/05

US TREASURY BOND FED STRIP INTEREST PAYMENT CUSIP 912833DH0	3/10/09	10,000.000	87.524 99.727	8,752.42 9,972.69	9,999.10	26.41 LT		
	10/2/09	3,000.000	87.787 99.705	2,633.61 2,991.14	2,999.73	8.59 LT		
Total		13,000.000		11,386.03 12,963.83	12,998.83	35.00 LT	— —	—

Unit Price: \$99.991, Zero Coupon, Matures 02/15/2015, Issued 02/15/85

UNITED STATES TREASURY NOTE CUSIP 912828EW6	3/10/09	4,000.000	112.617 102.191	4,504.69 4,087.64	4,185.32	97.68 LT		
	3/12/09	1,000.000	113.676 102.366	1,136.76 1,023.66	1,046.33	22.67 LT		
	10/2/09	1,000.000	111.000 102.071	1,110.00 1,020.71	1,046.33	25.62 LT		
Total		6,000.000		6,751.45 6,132.01	6,277.98	145.97 LT	270.00 101.25	4.30

Unit Price: \$104.633, Coupon Rate: 4.500%, Matures 02/15/2016, Int. Semi-Annually Feb/Aug 15, Moody AAA, Issued 02/15/06

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

GOVERNMENT SECURITIES

TREASURY SECURITIES (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNITED STATES TREASURY NOTE CUSIP 912828GS3	3/10/09	3,000.000	112.117	3,363.52				
			103.800	3,113.99	3,257.58	143.59 LT		
	10/2/09	1,000.000	110.563	1,105.63				
			103.540	1,035.40	1,085.86	50.46 LT		
Total		4,000.000		4,469.15			180.00	4.14
				4,149.39	4,343.44	194.05 LT	22.87	
<i>Unit Price: \$108.586, Coupon Rate: 4.500%, Matures 05/15/2017, Int. Semi-Annually May/Nov 15, Yield to Maturity 8.38%, Moody AAA, Issued 05/15/07</i>								
UNITED STATES TREASURY NOTE CUSIP 912828KQ2	8/17/09	3,000.000	96.992	2,909.77			94.00	2.93
			96.992	2,909.77	3,198.06	288.29 LT	11.91	
<i>Unit Price: \$106.602, Coupon Rate: 3.125%, Matures 05/15/2019, Int. Semi-Annually May/Nov 15, Yield to Maturity 1.557%, Moody AAA, Issued 05/15/09</i>								
U S TREASURY BOND FED STRIP INTEREST PAYMENT CUSIP 912833KY5	3/10/09	13,000.000	67.120	8,725.58				
			82.970	10,786.06	11,882.78	1,096.72 LT		
	10/2/09	4,000.000	68.817	2,752.67				
			83.147	3,325.86	3,656.24	330.38 LT		
Total		17,000.000		11,478.25			—	—
				14,111.92	15,539.02	1,427.10 LT	—	
<i>Unit Price: \$91.406, Zero Coupon, Matures 02/15/2020</i>								
UNITED STATES TREASURY BOND CUSIP 912810EH7	3/10/09	7,000.000	139.461	9,762.27				
			122.406	8,568.45	9,457.14	888.69 LT		
	10/2/09	3,000.000	140.477	4,214.30				
			123.772	3,713.17	4,053.06	339.89 LT		
Total		10,000.000		13,976.57			788.00	5.83
				12,281.62	13,510.20	1,228.58 LT	295.31	
<i>Unit Price: \$135.102, Coupon Rate: 7.875%, Matures 02/15/2021, Int. Semi-Annually Feb/Aug 15, Yield to Maturity 1.795%, Moody AAA, Issued 02/15/91</i>								
TREASURY SECURITIES		67,000.000		\$66,022.71			\$1,612.00	2.30%
				\$66,573.92	\$69,932.07	\$3,358.15 LT	\$641.34	

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

GOVERNMENT SECURITIES

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
FEDERAL NATIONAL MTG ASSN POOL 745275	3/11/09	22,000,000	\$102.560	\$14,997.54				
CUSIP 31403C6LO	9/9/09	8,000,000	103.090	5,481.90	\$2,486.83	\$182.08 LT		
			103.090	842.42	904.30	61.88 LT		
	9/29/09	6,000,000	103.710	4,136.35				
			103.710	635.62	678.22	42.60 LT		
Total		36,000,000		24,615.79			184.00	4.52
				3,782.79	4,069.37	286.56 LT	15.32	
<i>Unit Price: \$110.663; Coupon Rate: 5.000%; Matures 02/01/2036; Interest Paid Monthly Jan 01; Yield to Maturity: 4.230%; Factor: 10214645; Issued 01/01/06; Current Face 3,677,272</i>								
FEDERAL NATIONAL MTG ASSN POOL 889579	3/11/09	88,000,000	103.780	65,935.70				
CUSIP 31410KJY1	9/9/09	12,000,000	103.780	5,847.47	6,393.73	546.26 LT		
			105.810	9,167.21				
			105.810	812.98	871.87	58.89 LT		
Total		100,000,000		75,102.91			384.00	5.28
				6,660.45	7,265.60	605.15 LT	32.01	
<i>Unit Price: \$113.475; Coupon Rate: 6.000%; Matures 05/01/2038; Interest Paid Monthly May 01; Yield to Maturity: 5.013%; Factor: 06402826; Issued 05/01/08; Current Face 6,402,826</i>								
FEDERAL NATIONAL MTG ASSN POOL 930071	9/29/09	22,000,000	105.680	11,144.58			42.00	5.32
CUSIP 31412NJQ0			105.680	736.59	789.13	52.54 LT	3.48	
<i>Unit Price: \$113.219; Coupon Rate: 6.000%; Matures 10/01/2038; Interest Paid Monthly Oct 01; Yield to Maturity: 5.039%; Factor: 03168164; Issued 10/01/08; Current Face 696,996</i>								
FEDERAL NATIONAL MTG ASSN POOL 889982	3/12/09	25,000,000	103.280	19,173.60				
CUSIP 31410KXK5	9/9/09	6,000,000	103.280	1,962.96	2,123.80	160.84 LT		
			104.310	4,647.61				
	9/29/09	5,000,000	104.310	475.81	509.71	33.90 LT		
			104.850	3,893.31				
			104.850	398.56	424.76	26.20 LT		
Total		36,000,000		27,714.52			151.00	4.93
				2,837.33	3,058.27	220.94 LT	12.54	
<i>Unit Price: \$111.743; Coupon Rate: 5.500%; Matures 11/01/2038; Interest Paid Monthly Oct 01; Yield to Maturity: 4.677%; Factor: 07602465; Issued 10/01/08; Current Face 2,736,887</i>								
FEDERAL AGENCIES		194,000,000		\$138,577.80			\$761.00	5.01%
				\$14,017.16	\$15,182.37	\$1,165.19 LT	\$63.35	

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
GOVERNMENT SECURITIES	261,000.000	\$204,600.51 \$80,591.08	\$85,114.44	\$4,523.34 LT	\$2,373.00 \$704.69	2.79%
TOTAL GOVERNMENT SECURITIES (incl.accr.int.)	2.8%		\$85,819.13			

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash due to but not limited to investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/(Decrease)" reflects the difference between your total purchases and the sum of the current value of the fund's shares and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN INC FD OF AMERICA F2 (AMEFX)	5/19/14	2,336.496	\$21.400	\$50,000.00	\$50,398.21	\$398.21 ST		
	Purchases	2,336.496		50,000.00	50,398.21	398.21 ST		
Short Term Reinvestments		69.500		1,509.26	1,499.11	(10.15) ST		
	Total	2,405.996		51,509.26	51,897.33	388.06 ST	1,682.00	3.24
Total Purchases vs Market Value				50,000.00	51,897.33			
Net Value Increase/(Decrease)					1,897.33			
Share Price: \$21.570; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
BLACKROCK EQUITY DIVIDEND I (MADVX)	9/27/11	1,410.709	17.722	25,000.00	35,197.18	10,197.18 LT		
	10/20/11	1,374.937	18.183	25,000.00	34,304.67	9,304.67 LT		
	Purchases	2,785.646		50,000.00	69,501.85	19,501.85 LT		
Long Term Reinvestments		144.660		2,983.81	3,609.26	625.45 LT		
Short Term Reinvestments		194.242		4,703.92	4,846.33	142.41 ST		
	Total	3,124.548		57,687.73	77,957.47	20,127.30 LT 142.41 ST	1,528.00	1.96
Total Purchases vs Market Value				50,000.00	77,957.47			
Net Value Increase/(Decrease)					27,957.47			
Share Price: \$24.950; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
BLACKROCK MULTI-ASSET INC INST (BIICX)	11/12/13	6,702.413	11.190	75,000.00	75,335.12	335.12 LT		
	Purchases	6,702.413		75,000.00	75,335.12	335.12 LT		
Long Term Reinvestments		23.447		263.54	263.54	0.00 LT		
Short Term Reinvestments		377.135		4,309.25	4,239.00	(70.25) ST		

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		7,102.995		79,572.79	79,837.66	335.12 LT (70.25) ST	4,063.00	5.08
Total Purchases vs Market Value				75,000.00	79,837.66			
Net Value Increase/(Decrease)					4,837.66			
<i>Share Price: \$11.240, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
E V ATLANTA CAP SMID CAP I (EISMIX)	10/25/11	1,051.166	16.264	17,096.08	26,489.38	9,393.30 LT		
	4/26/12	1,334.010	18.740	25,000.00	33,617.05	8,617.05 LT		
Purchases		2,385.176		42,096.08	60,106.43	18,010.35 LT		
Long Term Reinvestments		39.226		767.38	988.50	221.12 LT		
Short Term Reinvestments		73.690		1,845.21	1,856.99	11.78 ST		
Total		2,498.092		44,708.67	62,951.92	18,231.47 LT 11.78 ST	—	—
Total Purchases vs Market Value				42,096.08	62,951.92			
Net Value Increase/(Decrease)					20,855.84			
<i>Share Price: \$25.200, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
JP MORGAN INCOME BUILDER A (JNBAX)	10/4/12	4,901.961	10.200	50,000.00	49,901.96	(98.04) LT		
Purchases		4,901.961		50,000.00	49,901.96	(98.04) LT		
Long Term Reinvestments		268.111		2,678.38	2,729.37	50.99 LT		
Short Term Reinvestments		287.010		2,989.48	2,921.76	(67.72) ST		
Total		5,457.082		55,667.86	55,553.09	(47.05) LT (67.72) ST	2,630.00	4.73
Total Purchases vs Market Value				50,000.00	55,553.09			
Net Value Increase/(Decrease)					5,553.09			
<i>Share Price: \$10.180, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
LORD ABBETT MULTI ASSET GW F (LGXFX)	12/3/14	3,854.060	19.460	75,000.00	67,715.83	(7,284.17) ST		
Purchases		3,854.060		75,000.00	67,715.83	(7,284.17) ST		
Short Term Reinvestments		341.967		6,107.53	6,008.36	(99.17) ST		
Total		4,196.027		81,107.53	73,724.19	(7,383.34) ST	1,867.00	2.53
Total Purchases vs Market Value				75,000.00	73,724.19			
Net Value Increase/(Decrease)					(1,275.81)			
<i>Share Price: \$17.570, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
LORD ABBETT MULTI ASSET INC F (LIGFX)	9/27/11	1,704.099	14.671	25,000.00	25,476.27	476.27 LT		
Purchases		1,704.099		25,000.00	25,476.27	476.27 LT		
Long Term Reinvestments		257.917		3,864.05	3,855.85	(8.20) LT		
Short Term Reinvestments		147.197		2,264.26	2,200.59	(63.67) ST		

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		2,109.213		31,128.31	31,532.73	468.07 LT (63.67) ST	1,133.00	3.59
Total Purchases vs Market Value				25,000.00	31,532.73			
Net Value Increase/(Decrease)					6,532.73			
<i>Share Price: \$14.950; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest</i>								
LORD ABBETT SHT DURATION INC F (LDLFX)	6/10/10	21,881.839	4.570	100,000.00	97,374.18	(2,625.82) LT		
	8/17/11	10,917.031	4.580	50,000.00	48,580.78	(1,419.22) LT		
Purchases		32,798.870		150,000.00	145,954.96	(4,045.04) LT		
Long Term Reinvestments		4,554.994		20,944.93	20,269.72	(675.21) LT		
Short Term Reinvestments		1,439.443		6,528.37	6,405.52	(122.85) ST		
Total		38,793.307		177,473.30	172,630.22	(4,720.25) LT (122.85) ST	6,672.00	3.86
Total Purchases vs Market Value				150,000.00	172,630.22			
Net Value Increase/(Decrease)					22,630.22			
<i>Share Price: \$4.450; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest</i>								
MAINSTAY HI YLD CORP BOND I (MHYIX)	12/7/11	5,785.125	6.050	35,000.00	33,264.46	(1,735.54) LT		
	8/14/12	3,961.965	6.310	25,000.00	22,781.29	(2,218.71) LT		
Purchases		9,747.090		60,000.00	56,045.75	(3,954.25) LT		
Long Term Reinvestments		1,334.304		8,058.80	7,672.24	(386.56) LT		
Short Term Reinvestments		778.789		4,676.91	4,478.03	(198.88) ST		
Total		11,860.183		72,735.71	68,196.05	(4,340.81) LT (198.88) ST	4,851.00	7.11
Total Purchases vs Market Value				60,000.00	68,196.05			
Net Value Increase/(Decrease)					8,196.05			
<i>Share Price: \$5.750; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest</i>								
PRINCIPAL DIVERSE REAL ASSET A (PRDAX)	12/2/11	3,463.203	11.550	40,000.00	41,800.86	1,800.86 LT		
Purchases		3,463.203		40,000.00	41,800.86	1,800.86 LT		
Long Term Reinvestments		268.470		3,081.39	3,240.43	159.04 LT		
Short Term Reinvestments		67.398		812.50	813.49	0.99 ST		
Total		3,799.071		43,893.89	45,854.79	1,959.90 LT 0.99 ST	317.00	0.69
Total Purchases vs Market Value				40,000.00	45,854.79			
Net Value Increase/(Decrease)					5,854.79			
<i>Share Price: \$12.070; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest</i>								
PRINCIPAL GLB DIVERS INC P (PGDPX)	7/8/14	3,340.013	14.970	50,000.00	46,659.98	(3,340.02) ST		
Purchases		3,340.013		50,000.00	46,659.98	(3,340.02) ST		

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

OTHER MUTUAL FUNDS (CONTINUED)[illegible]

Account Detail

Consulting Group Advisor Active Assets Account
759-019928-037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	32.3%	\$936,334.66	\$999,806.41	\$74,214.63 LT \$(10,743.01) ST	\$31,267.00 \$0.00	3.13%
TOTAL MARKET VALUE	100.0%	\$2,401,977.27	\$3,081,580.17	\$155,105.65 LT \$(25,228.56) ST	\$104,248.00 \$10,658.69	3.37%

TOTAL VALUE (includes accrued interest)

\$3,092,238.86

A - You executed this transaction elsewhere and later transferred the security to this account. Another financial institution has provided the transaction detail for this position.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Settlement		Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
Date	Date						
11/28	11/28	Dividend	LORD ABBETT SHT DURATION INC F DIV PAYMENT				\$554.88
11/28	11/28	Dividend	MAINSTAY HI YLD CORP BOND I DIV PAYMENT				399.67
11/28	11/28	Dividend	BLACKROCK MULTI-ASSET INC INST DIV PAYMENT				312.12
11/28	11/28	Dividend	JP MORGAN INCOME BUILDER A DIV PAYMENT				161.80
11/28	11/28	Dividend Reinvestment	LORD ABBETT SHT DURATION INC F	REINVESTMENT	123.307	4.5000	(554.88)
11/28	11/28	Dividend Reinvestment	MAINSTAY HI YLD CORP BOND I	REINVESTMENT	68.087	5.8700	(399.67)
11/28	11/28	Dividend Reinvestment	BLACKROCK MULTI-ASSET INC INST	REINVESTMENT	27.283	11.4400	(312.12)
11/28	11/28	Dividend Reinvestment	JP MORGAN INCOME BUILDER A	REINVESTMENT	15.528	10.4200	(161.80)
12/1	12/1	Dividend	PIMCO DYNAMIC CREDIT INC FD				156.25
12/1	12/1	Interest Income	WESTCHESTER GO BE 4800 *22JN01	CUSIP: 95736UVV3			1,320.00
12/1	12/1	Interest Income	ARCELORMITTAL 6125 18JN01	CUSIP: 03938LAF1			1,071.88
12/1	12/1	Interest Income	FLORIDA ST BRD BE 5200 *25JN01	CUSIP: 34153PRU4			650.00
12/1	12/1	Qualified Dividend	CHARLES SCHWAB CORP 6% SER-B				281.25
12/3	12/8	Bought	LORD ABBETT MULTI ASSET GW F	CONFIRM NBR	3,854.060	19.4600	(75,000.00)
12/3	12/8	Bought	ENERGY SEL SECT SPDR FD	ACTED AS AGENT	500.000	81.9667	(40,983.35)
12/4	12/4	Dividend	PIMCO 0-5 YEAR HY CORP BOND				380.00
12/5	12/5	Dividend	ISHARES IBOX INVEST GR COR BD				285.53
12/9	12/9	Interest Income	HEWLETT-PACKARD 4650 21DE09	CUSIP: 428236BV4			1,162.50

Account Detail

Select UMA Active Assets Account
759-019935-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ABB LTD (ABB)	2/25/11	1 000	\$24 155	\$24 16	\$21 15	\$(3 01) LT		
	2/25/11	15 000	24 155	362 52	317 25	(45 07) LT		
	3/1/11	16 000	21 149	338 39	338 40	(17 99) LT		
	3/16/11	21 000	22 606	474 72	444 15	(30 57) LT		
	4/27/11	32 000	26 226	839 23	676 80	(162 43) LT		
	10/7/11	21 000	17 681	371 31	444 15	72 84 LT		
	11/16/11	3 000	18 457	55 37	63 45	8 08 LT		
	10/28/13	31 000	25 788	799 42	655 65	(143 77) LT		
	1/1/14	106 000	22 888	2,426 09	2 241 90	(184 19) ST		
	1/1/14	8 000	22 888	183 10	169 20	(13 90) ST		
	9/2/14	1 000	22 930	22 93	21 15	(1 78) ST		
	9/2/14	27 000	22 927	619 03	571 05	(47 98) ST		
	9/12/14	22 000	22 925	504 36	465 30	(39 06) ST		
	Total	304 000		7 068 13	6,429.60	(351 92) LT (286 91) ST	234 00	3.64

Share Price: \$21 150

ABERDEEN ASSET MGMT PLC ADR (ABDNY)	8/28/14	165 000	14 467	2,387 02	2 212 65	(174 37) ST		
	9/23/14	51 000	13 562	691 66	683 91	(7 75) ST		
	Total	216 000		3 078 68	2,896 56	(182 12) ST	113 00	3.90

Share Price: \$13 410

ACE LTD (ACE)	5/6/11	4 000	67 084	268 34	459 52	191 18 LT		
	5/6/11	2 000	67 084	134 17	229 76	95 59 LT		
	8/24/11	11 000	62 857	691 43	1,263 68	572 25 LT		
	2/13/12	11 000	73 892	812 81	1,263 68	450 87 LT		
	10/31/12	7 000	78 717	551 02	804 16	253 14 LT		
	3/25/13	10 000	88 335	883 35	1 148 80	265 45 LT		
	10/1/14	5 000	104 160	520 80	574 40	53 60 ST		
	11/17/14	17 000	110 782	1 883 29	1 952 96	69 67 ST		
	12/23/14	6 000	115 872	695 23	689 28	(5 95) ST		
	Total	73 000		6 440 44	8,386 24	1 828 48 LT 117 32 ST	190 00	2.26

Share Price: \$114 880 Next Dividend Payable: 01/05/15

ADIDAS AG (ADDYY)	3/11/09	21 000	15 790	331 59	724 60	393 01 LT A		
	3/5/10	23 000	24 986	574 68	793 61	218 93 LT		
	11/16/11	3 000	34 700	104 10	103 51	(0 59) LT		
	6/26/14	6 000	49 683	298 10	207 02	(91 08) ST		

Account Detail

Select UMA Active Assets Account
759-019935-037KLEIN FAMILY FOUNDATION INC
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	53.000		1,308.47	1,828.76	611.35 LT (91.08) ST	39.00	2.13
<i>Share Price: \$34.505</i>								
ADOBE SYSTEMS (ADBE)	12/21/12	158.000	37.663	5,950.77	11,486.60	5,535.83 LT	—	—
<i>Share Price: \$72.700</i>								
ADVANCE AUTO PARTS (AAP)	3/26/14	21.000	123.437	2,592.18	3,344.88	752.70 ST	5.00	0.14
<i>Share Price: \$159.280, Next Dividend Payable 01/09/15</i>								
AFFILIATED MGRS GROUP INC (AMG)	12/10/09	1.000	64.790	64.79	212.24	147.45 LT	—	—
	12/10/09	13.000	64.790	842.27	2,759.12	1,916.85 LT	—	—
	12/10/09	1.000	64.790	64.79	212.24	147.45 LT	—	—
	9/2/10	7.000	69.186	484.30	1,485.68	1,001.38 LT	—	—
	3/24/11	4.000	104.500	418.00	818.96	430.96 LT	—	—
	4/30/14	15.000	196.144	2,942.16	3,183.60	241.44 ST	—	—
	10/1/14	6.000	195.000	1,170.00	1,273.44	103.44 ST	—	—
	10/15/14	5.000	176.854	884.27	1,061.20	176.93 ST	—	—
	10/24/14	9.000	190.830	1,717.47	1,910.16	192.69 ST	—	—
Total		61.000		8,588.05	12,946.64	3,644.09 LT 714.50 ST	—	—
<i>Share Price: \$212.240</i>								
AFLAC INCORPORATED (AFL)	10/15/14	69.000	55.636	3,838.86	4,215.21	376.35 ST	—	—
	11/17/14	18.000	58.513	1,053.24	1,099.62	46.38 ST	—	—
	12/17/14	13.000	58.558	761.26	794.17	32.91 ST	—	—
	12/23/14	13.000	61.929	805.08	794.17	(10.91) ST	—	—
Total		113.000		6,458.44	6,903.17	444.73 ST	176.00	2.54
<i>Share Price: \$61.090, Next Dividend Payable 03/2015</i>								
AIA GROUP LTD SPON ADR (AAGIY)	10/10/11	49.000	11.838	580.06	1,085.35	505.29 LT	—	—
	11/16/11	3.000	12.230	36.69	66.45	29.76 LT	—	—
	1/31/12	13.000	13.340	173.42	287.95	114.53 LT	—	—
Total		65.000		790.17	1,439.75	649.58 LT	12.00	0.83
<i>Share Price: \$22.150</i>								
AIRGAS INC (ARG)	12/10/09	33.000	47.816	1,577.93	3,800.94	2,223.01 LT	73.00	1.92
<i>Share Price: \$115.180, Next Dividend Payable 03/2015</i>								
AKZO NOBEL NV ADR (AKZOY)	12/10/09	42.000	21.250	892.50	957.60	65.10 LT	—	—
	4/14/10	18.000	19.903	358.26	410.40	52.14 LT	—	—
	11/17/10	18.000	19.783	356.10	410.40	54.30 LT	—	—
	12/27/10	33.000	20.547	678.04	752.40	74.36 LT	—	—

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/15/12	33 000	18 169	599 58	752 40	152 82 LT		
	6/26/13	38 000	18 523	703 88	866 40	162 52 LT		
Total		182 000		3,588 36	4,149 60	561 24 LT	96 00	2 31
<i>Share Price: \$22 800</i>								
ALBEMARLE CORPORATION (ALB)	3/3/14	35 000	65 730	2,300 56	2 104 55	(196 01) ST		
	4/21/14	9 000	67 050	603 45	541 17	(62 28) ST		
	4/23/14	9 000	67 027	603 24	541 17	(62 07) ST		
Total		53 000		3 507 25	3,186 89	(320 36) ST	58 00	1 81
<i>Share Price: \$60 130 Next Dividend Payable 01/02/15</i>								
ALCOA INC (AA)	9/18/13	255 000	8 123	2 122 44	1 026 45	(1 096 01) LT		
	10/30/13	85 000	9 388	797 94	1 342 15	544 21 LT		
	11/25/13	115 000	9 580	1 101 65	1 615 85	714 20 LT		
Total		455 000		4,022 03	7,184 45	3 162 12 LT	55 00	0 76
<i>Share Price: \$15 790 Next Dividend Payable 02/20/15</i>								
ALLIANZ SE ADS (AZSEY)	2/28/13	84 000	13 730	1 153 35	1 391 88	238 53 LT		
	9/23/13	66 000	15 795	1,042 46	1 093 62	51 16 LT		
Total		150 000		2 195 81	2,485.50	289 69 LT	81 00	3 25
<i>Share Price: \$16 570</i>								
ALLISON TRANSMN HLDGS INC (ALSM)	7/22/14	76 000	31 850	2,420 60	2,576.40	155 80 ST	46 00	1 78
<i>Share Price: \$33 900, Next Dividend Payable 02/20/15</i>								
ALTRIA GROUP INC (MO)	7/16/14	15 000	41 922	628 82	739 05	110 23 ST		
	7/23/14	125 000	41 717	5,214 68	6 158 75	944 07 ST		
Total		140 000		5,843 50	6,897 80	1 054 30 ST	291 00	4 21
<i>Share Price: \$49 270 Next Dividend Payable 01/09/15</i>								
AMADEUS IT HLDG SA UNSPON ADR (AMADY)	12/8/11	28 000	16 500	462 00	1 107 40	645 40 LT		
	2/17/12	34 000	18 920	643 28	1,344 70	701 42 LT		
Total		62 000		1,105 28	2,452.10	1 346 82 LT	37 00	1 50
<i>Share Price: \$39 550</i>								
AMCOR LIMITED ADR NEW (AMCRY)	12/3/13	28 000	39 861	1,116 11	1,237 32	121 21 LT		
	12/9/13	14 000	40 246	563 44	618 66	55 22 LT		
	1/29/14	37 000	36 961	1 367 57	1 635 03	267 46 ST		
	3/18/14	8 000	37 958	303 66	353 52	49 86 ST		
	3/19/14	7 000	38 124	266 87	309 13	42 46 ST		
	9/25/14	12 000	39 715	476 58	530 28	53 70 ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	106,000		4,094.23	4,684.14	176.43 LT 413.48 ST	163.00	3.47
<i>Share Price: \$11.190</i>								
AMDOCS LIMITED ORD (DOX)	12/11/13	56,000	40.227	2,252.69	2,612.67	359.98 LT		
	5/2/14	19,000	47.100	894.90	886.44	(8.46) ST		
	Total	75,000		3,147.59	3,499.12	359.98 LT (8.46) ST	47.00	1.34
<i>Share Price: \$46.655, Next Dividend Payable 01/16/15</i>								
AMERICAN CAPITAL AGENCY (AGNC)	6/8/11	24,000	30.650	735.60	523.92	(211.68) LT		
	6/23/11	64,000	28.568	1,828.36	1,397.12	(431.24) LT		
	3/30/12	32,000	29.409	941.08	698.56	(242.52) LT		
	Total	120,000		3,505.04	2,619.60	(885.44) LT	317.00	12.10
<i>Share Price: \$21.830, Next Dividend Payable 01/09/15</i>								
AMERICAN EXPRESS CO (AXP)	5/13/13	39,000	69.843	2,723.88	3,628.56	904.68 LT		
	5/13/13	54,000	69.843	3,771.52	5,024.16	1,252.64 LT		
	5/13/13	21,000	69.843	1,466.70	1,953.84	487.14 LT		
	Total	114,000		7,962.10	10,606.56	2,644.46 LT	119.00	1.12
<i>Share Price: \$93.040, Next Dividend Payable 02/20/15</i>								
AMERISOURCEBERGEN CORP (ABC)	5/28/13	149,000	54.394	8,104.69	13,433.84	5,329.15 LT		
	5/28/13	1,000	54.390	54.39	90.16	35.77 LT		
	Total	150,000		8,159.08	13,524.00	5,364.92 LT	174.00	1.28
<i>Share Price: \$90.160, Next Dividend Payable 03/20/15</i>								
AMETEK INC NEW (AME)	10/11/12	51,000	31.429	1,755.89	2,684.13	928.24 LT	18.00	0.67
<i>Share Price: \$52.630, Next Dividend Payable 03/20/15</i>								
AMPHENOL CORP NEW CL A (APH)	8/19/14	177,000	51.052	8,780.94	9,255.32	474.38 ST	86.00	0.92
<i>Share Price: \$53.810, Next Dividend Payable 01/05/15</i>								
ANADARKO PETE (APC)	10/21/11	4,000	78.536	314.14	330.00	15.86 LT		
	12/3/12	9,000	74.319	668.87	742.50	73.63 LT		
	6/3/13	9,000	87.982	791.84	742.50	(49.34) LT		
	4/30/14	13,000	98.700	1,283.10	1,072.50	(210.60) ST		
	10/20/14	15,000	89.877	1,348.15	1,237.50	(110.65) ST		
	Total	50,000		4,406.10	4,125.00	40.15 LT (321.25) ST	54.00	1.30
<i>Share Price: \$82.500, Next Dividend Payable 03/20/15</i>								

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ANALOG DEVICES INC (ADI)	6/8/09	20 000	24 073	481 47	1,110 40	628 93 LT A		
	12/10/09	40 000	30 349	1,213 95	2 220 80	1 006 85 LT		
	1/12/10	3 000	30 437	91 31	166 56	75 25 LT		
	7/22/13	66 000	47 995	3 167 64	3 664 32	496 68 LT		
	7/22/13	113 000	47 995	5,423 38	6 273 76	850 38 LT		
	Total	242 000		10 377 75	13,435 84	3,058 09 LT	358 00	2 67
Share Price: \$55 520 Next Dividend Payable 03/2015								
APPLE INC (AAPL)	6/16/11	30 000	46 314	1 389 42	3 311 40	1 921 98 LT		
	12/13/11	7 000	56 110	392 77	772 66	379 89 LT		
	5/11/12	7 000	80 951	566 66	772 66	206 00 LT		
	8/29/12	14 000	96 630	1 352 82	1 545 32	192 50 LT		
	10/16/13	31 000	71 596	2 219 47	3 121 78	1 202 31 LT		
	10/16/13	15 000	71 596	1 073 93	1 655 70	581 77 LT		
	Total	104 000		6 995 07	11,479 52	4 484 45 LT	196 00	1 70
Share Price: \$110 380 Next Dividend Payable 02/2015								
APPLIED MATERIALS INC (AMAT)	6/10/13	130 000	15 534	2 019 42	3,239 60	1 220 18 LT	52 00	1 60
Share Price: \$24 920 Next Dividend Payable 03/2015								
ATWOOD OCEANICS INC (ATW)	5/6/10	41 000	31 747	1,301 63	1,163.17	(138 46) LT	41 00	3 52
Share Price: \$28 370								
AUTOMATIC DATA PROCESSING INC (ADP)	10/31/14	120 000	81 072	9 728 68	10,004 40	275 72 ST	235 00	2 34
Share Price: \$83 370 Next Dividend Payable 01/01/15								
AVAGO TECH (AVGO)	11/1/12	3 000	34 055	102 17	301 77	199 60 LT		
	11/1/12	2 000	34 055	68 11	201 18	133 07 LT		
	12/18/12	6 000	32 225	193 35	603 54	410 19 LT		
	12/18/12	1 000	32 225	32 22	100 59	68 37 LT		
	3/5/13	20 000	33 904	678 08	2 011 80	1,333 72 LT		
	8/1/13	22 000	37 186	818 10	2,212 98	1,394 88 LT		
	5/29/14	11 000	70 133	771 46	1 106 49	335 03 ST		
	7/14/14	2 000	73 954	147 91	201 18	53 27 ST		
	7/14/14	17 000	73 954	1 257 27	1 710 03	452 76 ST		
	Total	84 000		4,068 67	8,449.56	3 539 83 LT	118 00	1 40
Share Price: \$100 590 Next Dividend Payable 03/2015								
AVERY DENNISON CORPORATION (AVY)	1/18/11	17 000	42 295	719 02	881 96	162 94 LT		
	3/7/11	21 000	41 058	862 22	1,089 48	227 26 LT		
	7/29/11	19 000	31 714	602 59	984 72	383 13 LT		

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KLEIN FAMILY FOUNDATION INC.
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/16/11	3,000	26.860	80.58	155.64	75.06 LI		
	3/5/14	9,000	50.860	457.74	466.92	9.18 ST		
Total		69,000		2,122.15	3,579.72	848.39 LI 9.18 ST	97.00	2.70

Share Price \$51.880 Next Dividend Payable 03/2015

AVIVA PLC ADR (AV)								
12/10/09	48 000	11 820	567 36	715 20	147 84 LT			
12/29/10	53 000	12 390	656 67	789 70	133 03 LT			
1/25/11	14 000	14 060	196 81	208 60	11 76 LT			
11/16/11	19 000	9 770	185 63	283 10	97 47 LT			
10/18/13	64 000	14 269	513 24	953 60	40 36 LT			
1/9/14	30 000	16 810	504 30	447 00	(57 30) ST			
Total	228 000		3,024 04	3,397 20	430 46 LT	109 00	3 20	
					(57 30) ST			

Share Price \$1 : 500

AXA ADS (AXAHY)	3/11/09	75 000	9 529	114 71	1 716 75	1 002 04 LT A
	12/29/10	26 000	16 650	132 90	395 14	162 24 LT
	12/30/10	2 000	16 550	33 10	45 78	12 68 LT
	1/25/11	11 000	20 730	228 03	251 79	23 76 LT
	11/16/11	7 000	13 280	92 96	160 23	67 27 LT
	1/11/13	33 000	18 337	605 12	755 37	150 25 LT
Total		154 000		1 106 82	3,525 06	1 118 24 LT

Share Price \$22.890

BABCOCK & WILCOX COMPANY (BWC)	3/11/09	5 000	12 835	64 18	151 50	87 32 LT		
	12/10/09	6 000	22 743	136 46	181 80	45 34 LT		
	9/16/10	56 000	22 000	1,237 00	1 696 80	464 80 LT		
	6/6/11	20 000	27 502	550 04	606 00	55 96 LT		
	7/29/11	13 000	25 037	325 48	393 90	68 42 LT		
Total		100 000		2 308 16	3,030 00	721 84 LT	40 00	1 32

Share Price \$30.300 Next Dividend Payable 03/2015

BAE SYS PLC SPON ADR (BAESY)	3/11/09	23 000	18 700	430 10	670 56	240 46 LT A		
	1/29/11	11 000	20 080	220 88	320 70	99 82 LI		
	11/16/11	5 000	17 820	89 10	145 77	56 67 LI		
	3/30/12	23 000	19 321	444 39	670 56	226 17 LT		
Total		62 000		1 181 47	1,807 61	623 12 LT	80 00	4 42

Share Price \$29.15³

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BAIDU INC ADS (BIDU)	10/10/12	6 000	108 444	650 67	1 367 82	717 15 LT		
	11/13/12	4 000	98 705	394 82	911 88	517 06 LT		
	12/6/12	7 000	88 979	622 85	1 595 79	972 94 LT		
	Total	17 000		1 668 34	3,875 49	2 207 15 LT	—	—
<i>Share Price \$227 970</i>								
BAKER HUGHES INC (BHI)	4/3/14	35 000	65 890	2,306 15	1,962 45	(343 70) ST	24 00	1 22
<i>Share Price \$56 070 Next Dividend Payable 07/2015</i>								
BANCO BRADESCO S A NEW (BBD)	5/5/10	93 000	11 849	1 380 96	1 243 41	(137 55) LT		
	7/26/10	39 000	16 900	659 10	521 43	(137 67) LT		
	8/19/10	8 000	18 373	146 98	106 96	(40 02) LT		
	8/20/10	1 000	17 970	17 97	13 37	(4 60) LT		
	1/31/11	18 000	17 868	321 62	240 66	(80 96) LT		
	5/22/11	40 000	13 829	553 14	534 80	(18 34) LT		
	5/23/12	25 000	10 990	274 76	331 25	59 49 LT		
	4/5/13	41 000	16 580	679 78	548 17	(131 61) LT		
	10/1/14	57 000	13 621	776 39	762 09	(14 30) ST		
	10/29/14	56 000	13 944	780 85	718 72	(32 13) ST		
	Total	378 000		5 591 55	5,053 86	(491 26) LT	35 00	0 69
<i>Share Price \$13 370</i>								
BANK OF AMERICA CORP (BAC)	11/20/13	310 000	15 180	4 705 86	5 545 90	840 04 LT		
	1/22/14	145 000	17 050	2 472 24	2 594 05	121 81 ST		
	7/9/14	215 000	15 599	3,353 83	3,846 35	492 52 ST		
	Total	670 000		10,531 93	11,986.30	840 04 LT	134 00	1 11
<i>Share Price \$17 890 Next Dividend Payable 03/2015</i>								
BARCLAYS PLC ADR (BCS)	6/19/14	150 000	16 273	2 440 97	2,251 50	(189 47) ST		
	10/6/14	46 000	14 956	687 98	690 46	2 48 ST		
	12/15/14	48 000	14 451	693 66	720 48	26 82 ST		
	Total	244 000		3 822 61	3,662 44	(160 17) ST	102 00	2 78
<i>Share Price \$15 010</i>								
BAYER AG SPON ADR (BAYRY)	10/12/11	1 000	62 060	62 06	136 84	74 78 LT		
	10/12/11	9 000	62 060	558 54	1,231 56	673 02 LT		
	11/16/11	2 000	64 150	128 30	273 68	145 38 LT		
	1/25/12	6 000	68 230	409 38	821 04	411 66 LT		
	6/1/12	13 000	61 225	795 93	1 778 92	982 99 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/29/12	5 000	77 660	388 30	684 20	295 90 LT		
	Total	35 000		2 342 51	4,926 24	2 583 73 LT	76 00	1 54
<i>Share Price: \$136 840</i>								
BIOGEN IDEC INC (BIIB)	8/18/14	25 000	345 092	8 627 30	8,486 25	(141 05) ST	—	—
<i>Share Price: \$339 450</i>								
BIOMARIN PHARMAC SE (BMRN)	12/10/09	45 000	17 107	769 79	4,068 00	3 298 21 LT	—	—
<i>Share Price: \$90 400</i>								
BLACK HILLS CORP (BKH)	1/31/13	23 000	40 547	932 57	1,219 92	287 35 LT	36 00	2 95
<i>Share Price: \$53 040 Next Dividend Payable 03/2015</i>								
BNP PARIBAS SP ADR REPSTG (BNPQY)	11/29/11	5 000	19 722	96 11	146 90	50 79 LT		
	5/31/12	47 000	15 786	741 94	1 380 86	638 92 LT		
	6/1/12	98 000	16 110	1 581 69	2 879 24	1,297 55 LT		
	Total	150 000		2 419 74	4,407 00	1 987 26 LT	107 00	2 42
<i>Share Price: \$29 380 Basis Adjustment Due to Wash Sale: \$17 18</i>								
BORG WARNER INC (BWA)	3/24/10	52 000	18 939	984 83	2 857 40	1 872 57 LT		
	9/3/10	6 000	24 290	145 74	329 70	183 96 LT		
	Total	58 000		1 130 57	3,187.10	2 056 53 LT	30 00	0 94
<i>Share Price: \$54 950 Next Dividend Payable 03/2015</i>								
BOSTON SCIENTIFIC CORP (BSX)	3/7/11	11 000	7 474	82 21	115 75	63 54 LT		
	3/11/11	109 000	7 488	816 20	1 444 25	628 05 LT		
	11/16/11	16 000	5 646	90 34	212 00	121 66 LT		
	1/25/12	124 000	6 100	756 36	1,643 00	886 64 LT		
	Total	260 000		1,745 11	3,445.00	1,699 89 LT	—	—
<i>Share Price: \$13 250</i>								
BP PLC ADS (BP)	12/10/09	40 000	56 220	2 218 80	1 524 80	(724 00) LT		
	11/16/11	5 000	41 070	220 35	190 60	(29 75) LT		
	8/29/12	11 000	42 152	463 67	419 32	(44 35) LT		
	Total	56 000		2 932 82	2,134 72	(798 10) LT	134 00	6 27
<i>Share Price: \$38 120</i>								
BRAMBLES LTD UNSPON ADR (BMBLY)	6/15/11	48 000	15 601	748 85	876 08	77 23 LT		
	8/12/11	41 000	13 920	570 72	705 61	134 89 LT		
	8/20/12	61 000	13 944	853 66	1 049 81	196 15 LT		
	Total	150 000		2 173 23	2,581 50	403 27 LT	66 00	2 55
<i>Share Price: \$17 210</i>								
BRF BRASIL FOODS S A SPON ADR (BRFS)	4/16/14	52 000	21 870	1,137 26	1 214 20	76 94 ST		
	1/21/14	24 000	23 978	575 48	560 40	(15 08) ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		76 000		1 712 74	1,774.60	61 86 ST	3 00	0 16
<i>Share Price: \$23.350</i>								
BRITISH AMER TOB SPON ADR (BTI)	3/11/09	17 000	46 850	796 45	1,832 94	1 036 49 LT A		
	4/6/10	7 000	69 697	487 88	754 74	266 86 LT		
	12/29/10	3 000	77 340	232 02	323 46	91 44 LT		
	12/26/12	4 000	100 565	402 26	431 28	29 02 LT		
	1/30/13	5 000	103 596	517 98	539 10	21 12 LT		
	4/10/13	9 000	114 363	1 029 27	970 38	(58 89) LT		
Total		45 000		3 465 86	4,851 90	1 386 04 LT	217 00	4 47
<i>Share Price: \$107.820</i>								
BROADRIDGE FIN SOLU.LLC (BR)	3/11/09	40 000	16 750	670 00	1 847 20	1 177 20 LT A		
	9/25/09	45 000	20 259	911 66	2 078 10	1 166 44 LT A		
	7/29/11	24 000	23 181	556 35	1 108 32	551 97 LT		
	5/20/13	9 000	27 122	244 10	415 62	171 52 LT		
Total		118 000		2,382 11	5,449.24	3,067 13 LT	127 00	2 33
<i>Share Price: \$46.180 Next Dividend Payable 01/02/15</i>								
BROWN FORMAN CORP CL B (BF'B)	3/11/14	28 000	88 034	2,464 94	2,459 52	(5 42) ST		
	3/18/14	48 000	88 547	4,250 25	4 216 32	(33 93) ST		
	3/19/14	32 000	89 493	2,863 77	2,810 88	(52 89) ST		
Total		108 000		9 578 96	9,486 72	(92 24) ST	136 00	1 13
<i>Share Price: \$87.840 Next Dividend Payable 03/2015</i>								
BUNZL PLC NEW (BZLFY)	12/10/09	92 000	10 620	977 04	2,494 12	1 517 08 LT		
	12/29/10	20 000	11 530	230 60	542 20	311 60 LT		
Total		112 000		1,207 64	3,036 32	1 828 68 LT	59 00	1 94
<i>Share Price: \$27.110 Next Dividend Payable 01/07/15</i>								
C R BARD INC NJ (BCR)	11/25/13	16 000	139 703	2,235 24	2,665 92	430 68 LT	14 00	0 52
<i>Share Price: \$166.620 Next Dividend Payable 01/2015</i>								
CAMECO CORP (CCJ)	7/17/14	84 000	20 270	1,702 69	1,378 44	(324 25) ST		
	7/18/14	94 000	20 343	1 912 28	1,542 54	(369 74) ST		
Total		178 000		3 614 97	2,920 98	(693 99) ST	62 00	2 12
<i>Share Price: \$16.410 Next Dividend Payable 01/15/15</i>								
CANADIAN NATL RAILWAY CO (CNI)	3/11/09	24 000	16 285	390 84	1,653 84	1 263 00 LT A		
	3/11/09	26 000	16 285	423 41	1,791 66	1,368 25 LT A		
	3/11/09	14 000	16 285	227 99	964 74	736 75 LT A		
	6/18/09	126 000	21 005	2,646 68	8,682 66	6,035 98 LT A		
	12/29/10	4 000	33 245	132 98	275 64	142 66 LT		

Account Detail

Select UMA Active Assets Account
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ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/27/11	16 000	37 666	602 66	1 102 56	499 90 LT		
	Total	210 000		1 421 16	14,471 10	10,016 54 LT	187 00	1 29
<i>Share Price: \$68 910 Next Dividend Payable 03/2015</i>								
CANON INC ADR NEW (CAJ)	9/2/14	56 000	32 814	1 839 28	1,772 96	(66 32) ST	67 00	3 77
<i>Share Price: \$31 660</i>								
CARLSBERG AS (CABGY)	9/19/13	78 000	21 018	1 639 44	1 200 42	(439 02) LT		
	12/3/13	90 000	21 806	1 962 53	1,385 10	(577 43) LT		
	8/29/14	31 000	18 385	569 94	477 09	(92 85) ST		
	Total	199 000		4 171 91	3,062 61	(1 016 45) LT	39 00	1 27
<i>Share Price: \$15 340</i>								
CATAMARAN CORP COM (CTRX)	4/21/14	65 000	45 183	2 936 89	3,363 75	426 86 ST		
	5/23/14	13 000	44 059	1 891 54	2 225 75	330 71 ST		
	5/23/14	13 000	41 059	572 78	672 75	99 97 ST		
	5/23/14	23 000	44 059	1,013 36	1 190 25	176 89 ST		
	9/16/14	24 000	47 479	1,139 50	1 242 00	102 50 ST		
	Total	168 000		7 557 07	8,694.00	1 136 93 ST	—	—
<i>Share Price: \$51 750</i>								
CATERPILLAR INC (CAT)	3/25/13	7 000	86 122	604 96	610 71	35 75 LT		
	4/19/13	10 000	80 468	804 68	915 30	110 62 LT		
	6/18/13	19 000	84 737	1 610 00	1 739 07	129 07 LT		
	6/4/14	29 000	104 590	3,033 10	2 654 37	(378 73) ST		
	9/16/14	9 000	104 957	944 61	823 77	(120 84) ST		
	Total	74 000		6 997 35	6,773.22	275 44 LT	207 00	3 05
<i>Share Price: \$91 530 Next Dividend Payable 02/2015</i>								
CBS CORP NEW CL B SHRS (CBS)	11/17/14	56 000	53 143	2,976 01	3 099 04	123 03 ST		
	12/23/14	36 000	56 144	2 021 19	1 992 24	(28 95) ST		
	Total	92 000		4,997 20	5,091 28	94 08 ST	55 00	1 08
<i>Share Price: \$55 340 Next Dividend Payable 01/01/15</i>								
CELGENE CORP (CELG)	5/31/13	132 000	63 091	8 377 99	14,765 52	6,437 53 LT	—	—
<i>Share Price: \$111 860</i>								
CENOVUS ENERGY INC COM (CVE)	4/12/10	25 000	28 720	718 00	515 50	(202 50) LT		
	1/31/12	3 000	36 080	108 24	61 86	(46 38) LT		
	2/22/13	24 000	31 975	766 20	491 88	(274 32) LT		

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KLEIN FAMILY FOUNDATION INC
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		52 000		1 592 44	1,072.24	(520 20) LT	49 00	4 56
<i>Share Price \$20 620 Next Dividend Payable 03/2015</i>								
CENTENE CORPORATION (CNC)	10/2/14	17 000	61 178	1,380 02	1,765 45	365 43 ST	—	—
<i>Share Price \$103 830</i>								
CENTRICA PLC SPONS ADR NEW (CPYYY)	3/8/10	24 000	17 266	414 39	414 24	(0 15) LT		
	4/30/10	36 000	18 189	651 79	621 36	(33 43) LT		
	5/28/10	24 000	15 990	383 76	414 24	30 48 LT		
	12/29/10	15 000	20 820	312 30	258 90	(53 40) LT		
	10/21/13	27 000	23 690	639 63	466 02	(173 61) LT		
Total		126 000		2 404 87	2,174 76	(230 11) LT	139 00	6 39
<i>Share Price \$17 260</i>								
CERNER CORP (CERN)	3/31/11	1 000	27 624	27 83	64 66	36 83 LT		
	3/31/11	3 000	27 824	83 47	193 93	110 51 LT		
	4/1/11	4 000	28 338	113 35	258 61	145 29 LT		
	6/4/12	10 000	38 389	1 535 57	2 586 40	1 050 83 LT		
	10/1/14	154 000	58 596	9 023 77	9 957 64	933 87 ST		
	10/1/14	2 000	58 595	117 19	129 32	12 13 ST		
Total		204 000		10 901 18	13,190.64	1 343 46 LT	—	—
<i>Share Price \$64 660</i>								
CGI GROUPE INC CL A (GIB)	4/29/11	26 000	21 923	570 01	992 16	422 15 LT		
	5/3/12	38 000	22 064	838 44	1,450 08	611 64 LT		
	2/19/13	16 000	27 425	438 80	610 56	171 76 LT		
	5/6/13	8 000	31 278	250 22	305 28	55 06 LT		
	6/30/14	20 000	35 983	719 66	763 20	43 54 ST		
Total		108 000		2 817 13	4,121 28	1 260 61 LT	—	—
<i>Share Price \$38 160</i>								
CH ROBINSON WORLDWIDE INC NEW (CHRW)	6/12/14	49 000	61 897	3,032 94	3,669 61	636 67 ST		
	6/18/14	13 000	63 242	822 15	973 57	151 42 ST		
	8/19/14	33 000	68 597	2 263 70	2,471 37	207 67 ST		
Total		95 000		6 118 79	7,114 55	995 76 ST	144 00	2 02
<i>Share Price \$74 890 Next Dividend Payable 03/2015</i>								
CHARLES SCHWAB NEW (SCHW)	3/7/13	460 000	17 569	8,081 92	13,887 40	5,805 48 LT	110 00	0 79
<i>Share Price \$30 190 Next Dividend Payable 02/2015</i>								

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STOCKS

COMMON STOCKS (CONTINUED)

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KLEIN FAMILY FOUNDATION INC.
ATTENTION CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CHINA SHENHUA ENERGY LTD ADR (CSUAY)	11/22/13	82,000	13.810	1,132.40	975.80	(156.60) LI		
	3/27/14	48,000	11.170	536.15	571.20	35.05 ST		
	4/13/14	70,000	11.180	803.60	833.00	29.40 ST		
	Total	200,000		2,472.15	2,380.00	(156.60) LI	96.00	4.03
						64.45 ST		

Share Price \$11.900

CHINA TELECOM LTD (CHA)	12/10/09	12 000	43 550	522 60	704 52	181 92 11		
	5/28/10	3 000	46 140	138 42	176 13	37 71 11		
	12/29/10	7 000	51 240	358 68	410 97	52 79 11		
	11/16/11	1 000	61 240	61 24	58 71	(2 53) 11		
	2/6/12	5 000	55 490	277 45	293 55	16 10 11		
	11/31/12	22 000	52 149	1,147 27	1 291 62	144 35 11		
	8/12/13	5 000	51 136	255 68	293 55	37 87 11		
	10/22/13	13 000	54 709	711 22	763 23	52 01 11		
Total		68 000		3,472 56	3,992.28	519 72 11	75 00	1 87

Share Price \$58.710

CHUBB CORP (CB)	6/10/09	12 000	41 192	494 31	1,241 64	747 33	11 A	
	8/5/09	25 000	48 779	1,205 73	2,586 75	1,381 02	11 A	
	12/10/09	2 000	48 570	97 14	206 94	109 80	11	
Total		39 000		1,797 18	4,035.33	2 238 15	11	78 00 1 93

Share Price \$103.470 Next Dividend Payable 01/06/15

CHURCH & DWIGHT CO INC (CHD)	6/17/09	36 000	26 026	936 94	2,837 16	1 900 22 LT A		
	6/25/09	20 000	21 266	545 31	1,576 20	1 030 89 LT A		
Total		56 000		1,482 25	4,413 36	2,931 11 LT	69 00	1 56

Share Price \$78.810, Next Dividend Payable 0.3/2015

CIELO SA SPONSORED ADR NEW (CIOXY)	9/21/11	110 000	8 264	909 07	1,729.20	820 13 LT	54 00	3 12
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Share Price \$15 720

CINEMARK HOLDINGS INC (CNK)	5/13/11	5 000	20 690	103 45	177 90	74 45 LT		
	3/15/12	26 000	21 576	560 97	925 08	364 11 LT		
	2/4/13	69 000	28 115	1 939 96	2,455 02	515 06 LT		
	8/15/13	16 000	30 891	194 25	569 28	75 03 LT		
	8/26/13	2 000	30 100	60 80	71 16	10 36 LT		
Total		118 000		3 159 43	4,198.44	1 039 01 LT	118 00	2 81

Share Price \$35.580 Next Dividend Payable 03/2015

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KLEIN FAMILY FOUNDATION INC.
ATTENTION CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CITIGROUP INC NEW (C)	10/21/11	28 000	30 099	842 78	1,515 08	672 30 LT		
	2/13/12	18 000	33 410	601 38	973 98	372 60 LT		
	3/20/12	42 000	38 090	1,599 78	2 272 62	672 84 LT		
	10/31/12	18 000	37 448	674 07	973 98	299 91 LT		
	1/28/13	32 000	42 430	1,357 75	1,731 52	373 77 LT		
	3/31/14	12 000	47 699	572 39	649 32	76 93 ST		
	7/9/14	24 000	47 450	1,138 79	1,298 64	159 85 ST		
	12/17/14	18 000	51 904	934 28	973 98	39 70 ST		
	12/23/14	14 000	54 623	764 72	757 54	(7 18) ST		
	Total	206 000		8,485 94	11,146.66	2 391 42 LT 269 30 ST	8 00	0 07

Share Price \$54.110 Next Dividend Payable 02/2015

CLOROX CO DE (CLX)	10/28/09	11 000	57 990	637 89	1 146 31	508 42 LT A		
	12/10/09	10 000	61 944	619 44	1 042 10	422 66 LT		
	11/16/11	4 000	65 310	261 24	416 84	155 60 LT		
Total		25 000		1,518 57	2,605 25	1,086 68 LT	74 00	2 84

Share Price \$104.210 Next Dividend Payable 02/2015

CNOOC LTD ADS (CEO)	12/10/09	1 000	155 300	155 30	135 44	(19 86) LT		
	12/27/10	1 000	232 700	232 70	135 44	(97 26) LT		
	11/16/11	2 000	198 130	396 26	270 88	(125 38) LT		
	5/16/13	3 000	184 410	553 23	406 32	(146 91) LT		
	Total	7 000		1,337 49	948 08	(389 41) LT	46 00	4 85

Share Price \$136.40

COACH INC (COH)	3/25/13	1 000	49 783	49 79	37 56	(12 23) LT		
	5/15/13	1 000	58 713	58 71	37 56	(21 15) LT		
	11/27/13	29 000	56 230	1,630 67	1,089 24	(541 43) LT		
	12/3/13	10 000	56 250	562 50	375 60	(186 90) LT		
	1/22/14	7 000	48 789	341 52	262 92	(78 60) ST		
Total		48 000		2 643 19	1,802.88	(761 71) LT	65 00	3 60
						(78 60) ST		

Share Price \$37.560 Next Dividend Payable 03/2016

COCA COLA AMATIL LTD SPON ADR (CCLAY)	12/10/09	80 000	10 100	808 00	606 80	(201 20) LT		
	8/22/11	46 000	12 229	562 53	318 91	(213 62) LT		
	11/16/11	14 000	17 670	176 68	106 19	(70 49) LT		
Total		140 000		1 547 21	1,061 90	(485 31) LT	63 00	5 93

Shine Price \$7.585

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
COGNIZANT TECH SOLUTIONS CL A (CTSH)	12/10/09	4 000	22 101	88 42	210 64	122 22 LT		
	1/10/14	63 000	50 224	3 164 09	3,317 58	153 49 ST		
	Total	67 000		3,252 51	3,528.22	122 22 LT 153 49 ST	—	—
<i>Share Price \$52 660</i>								
COLGATE PALMOLIVE CO (CL)	3/10/09	130 000	27 705	3 601 65	8 994 70	5 393 05 LT A		
	12/10/09	8 000	41 140	331 52	553 52	222 00 LT		
	12/29/10	32 000	40 380	1,292 16	2,214 08	921 92 LT		
	Total	170 000		5,225 33	11,762 30	6 536 97 LT	245 00	2 08
<i>Share Price \$69 190 Next Dividend Payable 02/2015</i>								
COMPASS GROUP PLC (CMPGY)	12/10/09	170 000	7 855	1 335 39	2 896 80	1 561 41 LT		
	12/29/10	31 000	10 277	318 58	528 24	209 66 LT		
	11/16/11	19 000	8 980	170 62	323 76	153 14 LT		
	Total	220 000		1 824 59	3,748.80	1 824 21 LT	88 00	2 34
<i>Share Price \$17 040</i>								
CONCHO RES INC (CXO)	6/18/12	23 000	88 390	2,032 98	2,294 25	261 27 LT		
	5/6/13	4 000	82 993	331 97	399 00	67 03 LT		
	Total	27 000		2 364 95	2,693.25	328 30 LT	—	—
<i>Share Price \$99 750</i>								
COOPER CO INC NEW (COO)	9/30/11	23 000	80 281	1 846 53	3,728 07	1 881 54 LT	1 00	0 02
<i>Share Price \$162 050 Next Dividend Payable 02/2015</i>								
COSTCO WHOLESALE CORP NEW (COST)	5/12/14	83 000	116 580	9,676 17	11,765 25	2,089 08 ST	118 00	1 00
<i>Share Price \$141 750 Next Dividend Payable 02/2015</i>								
COVIDIEN PLC (COV)	11/15/14	35 000	93 616	3 276 57	3,579 80	303 23 ST		
	11/24/14	20 000	98 695	1 973 90	2 045 60	71 70 ST		
	Total	55 000		5,250 47	5,625.40	374 93 ST	79 00	1 40
<i>Share Price \$102 280 Next Dividend Payable 01/16/15</i>								
CREDIT AGRICOLE SA UNSP ADR (CRARY)	12/29/10	94 000	6 360	597 84	599 72	1 88 LT		
	6/28/11	17 000	7 150	121 55	108 46	(13 09) LT		
	9/6/11	119 000	3 970	472 38	759 22	286 84 LT		
	9/29/11	105 000	3 660	384 30	669 90	285 60 LT		
	Total	335 000		1 576 07	2,137.30	561 23 LT	—	—
<i>Share Price \$6 380</i>								
CREDIT SUISSE GROUP (CS)	9/30/11	12 000	26 491	317 89	300 96	(16 93) LT		
	11/16/11	11 000	23 340	256 74	275 88	19 14 LT		
	3/28/12	15 000	29 278	439 17	376 20	(62 97) LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	5/16/12	28 000	20 145	564 07	702 24	138 17 LT		
	6/1/12	57 000	18 613	1 060 96	1 129 56	368 60 LT		
	8/29/12	17 000	15 620	265 54	476 36	160 82 LT		
Total		140 000		2,901 37	3,511 20	606 83 LT	110 00	3 13
<i>Share Price \$25 080</i>								
CRH PLC ADR (CRH)	12/10/09	34 000	26 610	904 74	816 34	(88 40) LT		
	8/27/10	19 000	15 127	298 81	456 19	157 38 LT		
	7/29/11	11 000	19 780	217 58	264 11	46 53 LT		
	11/16/11	3 000	18 030	54 09	72 03	17 94 LT		
	8/15/12	27 000	17 800	480 61	648 27	167 66 LT		
	5/22/13	22 000	21 484	472 65	528 22	55 57 LT		
	11/19/14	46 000	22 276	1 021 71	1 104 46	79 75 ST		
Total		162 000		3 453 19	3,889.62	356 68 LT	134 00	3 44
<i>Share Price \$24 010</i>								
CSX CORP (CSX)	7/31/14	94 000	30 077	2 827 24	3,405.62	578 38 ST	60 00	1 76
<i>Share Price \$36 230 Next Dividend Payable 03/2015</i>								
CVS HEALTH CORP COM (CVS)	10/21/11	18 000	35 487	638 77	1 733 58	1 094 81 LT		
	5/11/12	22 000	45 886	1 009 50	2,118 82	1 109 32 LT		
	2/19/14	18 000	70 681	1 272 25	1 733 58	461 33 ST		
	10/1/14	10 000	79 372	793 72	963 10	169 38 ST		
	11/5/14	11 000	87,184	959 02	1,059 41	100 39 ST		
Total		79 000		4,673 26	7,608.49	2,204 13 LT	111 00	1 45
<i>Share Price \$96 310 Next Dividend Payable 02/2015</i>								
DBS GROUP HOLDINGS LTD SP (DBSDY)	12/10/09	43 000	42 250	1,816 75	2,675 89	859 14 LT		
	12/29/10	9 000	44 790	403 11	560 07	156 96 LT		
	11/16/11	6 000	39 790	238 74	373 38	134 64 LT		
Total		58 000		2 458 60	3,609 34	1 150 74 LT	104 00	2 88
<i>Share Price \$62 230</i>								
DENSO CORP LTD ADR (DNZOY)	12/10/09	14 000	14 844	207 81	326 34	118 53 LT		
	12/27/10	6 000	17 150	102 90	139 86	36 96 LT		
	12/29/10	14 000	17 320	242 48	326 34	83 86 LT		
	3/16/11	12 000	15 808	189 69	279 72	90 03 LT		
	6/2/11	43 000	17 645	758 73	1 002 33	243 60 LT		
	11/16/11	3 000	14 240	42 72	69 93	27 21 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
DIGITAL REALTY TRUST INC (DLR)	12/10/09	31 000	47 722	1,479 37	2,055 30	575 93 LT R	103 00	5 01
Share Price: \$66 300, Next Dividend Payable 01/15/15								
DISCOVER FINCL SVCS (DFS)	3/25/13	18 000	44 873	807 72	1 178 82	371 10 LT		
	1/19/13	18 000	43 232	778 18	1 178 82	400 64 LT		
	2/6/14	41 000	53 350	2 187 37	2 685 09	497 72 ST		
Total		77 000		3 173 27	5,042 73	171 74 LT	74 00	1 46
Share Price: \$65 490, Next Dividend Payable 02/20/15								
DISCOVERY COMMUNICATIONS SER A (DISCA)	4/17/12	41 000	26 535	1 087 94	1,412 45	324 51 LT	—	—
Share Price: \$31 150								
DISCOVERY COMMUNICATIONS SER C (DISCK)	4/17/12	41 000	25 844	1 059 59	1,382 52	322 93 LT	—	—
Share Price: \$33 720								
EAGLE MATLS INC (EXP)	10/2/14	24 000	96 270	2 310 47	1,824 72	(485 75) ST	10 00	0 54
Share Price: \$76 030, Next Dividend Payable 01/23/15								
EBAY INC (EBAY)	8/16/12	156 000	45 733	7 131 38	8,754 72	1 620 31 LT	—	—
Share Price: \$56 120								
ECOLAB INC (ECL)	6/16/11	31 000	54 237	1 681 33	3,240 12	1 558 79 LT		
	4/8/14	79 000	105 281	8 317 19	8 257 08	(60 11) ST		
Total		110 000		9 998 52	11,497 20	1 558 79 LT	145 00	1 26
Share Price: \$104 520, Next Dividend Payable 01/15/15								
ELI LILLY & CO (LLY)	8/5/14	116 000	61 436	7 126 59	8 002 84	876 25 ST		
	8/19/14	34 000	62 235	2 115 99	2 345 66	229 67 ST		
	9/22/14	14 000	65 949	923 29	965 86	42 57 ST		
Total		164 000		10 165 87	11,314 36	1,148 49 ST	328 00	2 89
Share Price: \$68 990, Next Dividend Payable 03/20/15								
EMBRAER S A ADR (ERJ)	11/16/11	1 000	26 410	26 41	36 86	10 45 LT		
	1/18/13	20 000	27 611	552 21	737 20	184 99 LT		
	12/4/13	19 000	29 829	566 76	700 34	133 58 LT		
Total		40 000		1,145 38	1,474 40	329 02 LT	5 00	0 33
Share Price: \$36 860, Next Dividend Payable 01/16/15								
EMERSON ELECTRIC CO (EMR)	1/24/14	62 000	66 074	4,096 57	4 827 26	(769 31) ST		
	1/24/14	2 000	66 074	132 15	124 46	(8 69) ST		
	1/24/14	3 000	66 074	198 22	185 19	(13 03) ST		
	3/13/14	27 000	63 938	1,726 33	1 666 71	(59 62) ST		

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STOCKS

COMMON STOCKS (CONTINUED)

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STOCKS

COMMON STOCKS (CONTINUED)

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EXXON MOBIL CORP (XOM)	6/27/14	65,000	101.140	6,574.08	6,009.25	(564.83) ST		
	7/2/14	19,000	101.452	1,927.59	1,756.55	(171.04) ST		
	8/14/14	24,000	98.834	2,372.01	2,218.80	(153.21) ST		
	10/20/14	36,000	91.634	3,319.51	3,177.20	(142.31) ST		
	12/11/14	22,000	89.827	1,976.20	2,033.90	57.70 ST		
	Total	186,000		17,981.39	17,195.70	(785.69) ST	513.00	2.98
<i>Share Price: \$92.450, Next Dividend Payable 03/2015</i>								
FANUC CORPORATION UNSP ADR (FANUY)	7/29/10	13,000	20.174	262.26	357.89	95.63 LT		
	12/28/10	5,000	25.460	127.30	137.65	10.35 LT		
	12/29/10	11,000	25.760	283.36	302.83	19.47 LT		
	11/16/11	3,000	27.570	82.71	82.59	(0.12) LT		
	8/10/12	10,000	26.922	269.22	275.30	6.08 LT		
	2/4/13	30,000	25.672	770.17	825.90	55.73 LT		
	6/26/13	30,000	23.900	717.00	825.90	108.90 LT		
	8/1/13	28,000	26.278	735.77	770.84	35.07 LT		
	Total	130,000		3,247.79	3,578.90	331.11 LT	37.00	1.03
<i>Share Price: \$27.530</i>								
FIRST AMERICAN FINL CORP (FAF)	2/23/11	20,000	15.972	319.43	678.00	358.57 LT		
	2/23/11	53,000	16.383	868.28	1,756.70	928.42 LT		
	3/18/11	42,000	16.745	703.31	1,423.80	720.49 LT		
	3/22/11	3,000	16.750	50.25	101.70	51.45 LT		
	7/12/13	60,000	22.239	1,334.32	2,034.00	699.68 LT		
	7/15/13	12,000	22.236	266.83	406.80	139.97 LT		
	Total	190,000		3,542.42	6,441.00	2,898.58 LT	182.00	2.82
<i>Share Price: \$33.900, Next Dividend Payable 03/2015, Basis Adjustment Due to Wash Sale: \$238.63</i>								
FLEXTRONICS INTL LTD (FLEX)	5/10/10	16,000	7.300	116.80	178.88	62.08 LT		
	10/21/10	73,000	6.181	451.22	816.14	364.92 LT		
	7/29/11	13,000	6.480	84.24	145.34	61.10 LT		
	1/31/12	23,000	6.886	158.38	257.14	98.76 LT		
	2/10/12	28,000	6.970	195.16	313.04	117.88 LT		
	Total	153,000		1,005.80	1,710.54	704.74 LT	—	—
<i>Share Price: \$11.180</i>								
FOMENTO ECONOMICO MEXICANO (FMX)	9/2/11	6,000	68.998	413.99	528.18	114.19 LT		
	10/12/11	5,000	68.546	342.73	440.15	97.42 LT		
	11/16/11	3,000	66.680	200.04	264.09	64.05 LT		

KLEIN FAMILY FOUNDATION INC.
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COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		14 000		956 76	1,232.42	275 66 LT	—	—
<i>Share Price: \$88 040</i>								
FORTINET INC (FTNT)	3/18/14	320 000	23 676	7 576 45	9 811 20	2,234 75 ST		
	3/19/14	85 000	23 636	2 009 09	2 606 10	597 01 ST		
Total		405 000		9 585 54	12,417 30	2,831 76 ST	—	—
<i>Share Price: \$30 660</i>								
FORTUNE BRANDS HOME & SEC INC (FBHS)	4/30/12	21 000	22 605	474 71	950 67	475 96 LT		
	5/24/12	24 000	22 309	535 41	1 086 38	551 07 LT		
	7/6/12	19 000	23 042	437 80	860 13	422 33 LT		
Total		64 000		1 447 92	2,897 28	1 449 36 LT	36 00	1 24
<i>Share Price: \$45 270 Next Dividend Payable 03/2015</i>								
FOSSIL GROUP INC COM (FOSL)	2/11/11	6 000	83 371	500 23	664 44	164 21 LT		
	2/16/11	13 000	79 194	1 029 52	1 439 62	410 10 LT		
Total		19 000		1 529 75	2,104 06	574 31 LT	—	—
<i>Share Price: \$110 740</i>								
GALAXY ENTMTNT GRP LTD SPON ADR (GXYY)	4/19/12	21 000	30 450	639 45	1 179 25	539 80 LT		
	5/4/12	13 000	30 800	400 40	730 01	329 61 LT		
	4/3/14	9 000	93 332	839 99	505 39	(334 60) ST		
	6/10/14	15 000	72 330	1,084 95	842 32	(242 63) ST		
Total		58 000		2,964 79	3,256 99	869 41 LT (577 23) ST	—	—
<i>Share Price: \$56 155</i>								
GEA GROUP AG SPON ADR (GEAGY)	8/15/12	23 000	27 263	627 04	1,010 16	383 12 LT		
	8/29/14	44 000	45 319	1 994 02	1,932 48	(61 54) ST		
Total		67 000		2 621 06	2,942 64	383 12 LT (61 54) ST	54 00	1 83
<i>Share Price: \$43 920</i>								
GENERAL ELECTRIC CO (GE)	11/17/14	147 000	26 622	3,913 40	3,714 69	(198 71) ST		
	12/23/14	111 000	25 894	2 874 21	2 804 97	(69 24) ST		
Total		258 000		6,787 61	6,519.66	(267 95) ST	237 00	3 63
<i>Share Price: \$25 270 Next Dividend Payable 01/26/15</i>								
GENUINE PARTS CO (GPC)	12/10/09	34 000	37 847	1,286 80	3,623 38	2 336 58 LT		
	10/15/10	11 000	47 774	525 51	1 172 27	646 76 LT		
	12/14/12	11 000	62 923	692 15	1,172 27	480 12 LT		
Total		56 000		2 504 46	5,967 92	3 463 46 LT	129 00	2 16
<i>Share Price: \$106 570 Next Dividend Payable 01/02/15</i>								

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
GETINGE AB UNSPONS ADR (GNGBY)	4/17/13	30 000	29 196	875 87	678 30	(197 57) LT		
	4/18/13	2 000	29 330	58 66	15 22	(13 44) LT		
	6/7/13	24 000	30 985	743 65	542 64	(201 01) LT		
	7/10/13	16 000	32 027	512 43	361 76	(150 67) LT		
	9/11/13	8 000	15 770	286 16	180 88	(105 28) LT		
	Total	80 000		2 476 77	1,808 80	(667 97) LT	40 00	2 21
<i>Share Price \$24.610</i>								
GLAXOSMITHKLINE PLC ADS (GSK)	12/29/10	3 000	39 240	117 72	128 22	10 50 LT		
	11/1/11	17 000	44 200	751 40	726 58	(24 82) LT		
	11/1/11	1 000	44 200	44 20	42 74	(1 46) LT		
	11/28/11	9 000	42 322	380 90	384 66	3 76 LT		
	11/28/11	4 000	42 323	169 29	170 96	1 67 LT		
	6/1/12	1 000	43 780	43 78	42 74	(1 04) LT		
	6/1/12	15 000	43 779	656 68	641 10	(15 58) LT		
	6/20/12	14 000	46 160	646 24	598 36	(47 88) LT		
	6/20/12	4 000	46 160	184 64	170 96	(13 68) LT		
	9/11/13	6 000	50 873	305 24	256 44	(48 80) LT		
	10/4/13	10 000	50 125	501 25	427 40	(73 85) LT		
	Total	84 000		3 801 34	3,590 16	(211 18) LT	223 00	6 21
<i>Share Price \$12.740 Next Dividend Payable 01/08/15</i>								
GOOGLE INC-CL A (GOOGL)	2/2/11	4 000	306 595	1 226 38	2 122 64	896 26 LT		
	5/24/12	1 000	301 060	301 06	530 66	229 60 LT		
	5/24/12	5 000	301 062	1 505 31	2 653 30	1,147 99 LT		
	4/7/14	8 000	544 689	4,357 51	4,245 28	(112 23) ST		
	Total	18 000		7 390 26	9,551.88	2,273 85 LT	—	—
<i>Share Price \$530.660</i>								
GRACE WR & CO DELA NEW (GRA)	12/9/11	23 000	42 755	983 37	2 193 97	1,210 60 LT		
	12/9/11	14 000	42 755	598 58	1 335 46	736 88 LT		
	12/30/11	13 000	45 951	597 36	1,240 07	642 71 LT		
	6/14/13	13 000	83 001	1,079 01	1,240 07	161 06 LT		
	Total	63 000		3,258 32	6,009 57	2 751 25 LT	—	—
<i>Share Price \$95.390</i>								
GREAT WALL MOTOR CO LTD UN ADR (GWLLY)	6/12/14	45 000	39 919	1 796 34	2 570 85	774 51 ST		
	9/19/14	21 000	11 391	869 22	1 199 73	330 51 ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		66 000		2,665 56	3,770 58	1 105 02 ST	76 00	2 01
<i>Share Price \$57 130</i>								
GRUPO TELEvisa S.A.GLOBAL DEP (TV)	12/10/09	39 000	20 957	817 34	1,428 34	511 00 LT		
	9/27/11	19 000	19 368	368 00	647 14	279 14 LT		
	11/16/11	2 000	20 855	41 71	68 12	26 41 LT		
	5/1/12	23 000	21 425	492 77	783 38	290 61 LT		
	7/13/12	25 000	21 806	545 15	851 50	306 35 LT		
Total		103 000		2 264 97	3,678 48	1 413 51 LT	—	—
<i>Share Price \$34 060</i>								
GULFPORT ENERGY CORP NEW (GPOR)	2/26/13	26 000	36 063	937 65	1 085 21	147 59 LT		
	8/8/13	19 000	31 402	976 63	793 06	(183 57) LT		
Total		45 000		1 914 28	1,878 30	(35 98) LT	—	—
<i>Share Price \$41 710</i>								
HAEMONETICS CORP (HAE)	3/10/11	42 000	31 820	1 336 46	1 571 64	235 18 LT		
	4/1/11	2 000	36 900	73 80	74 84	1 04 LT H		
	6/6/11	2 000	33 072	66 14	74 84	8 70 LT		
	6/6/11	20 000	33 072	661 44	748 40	86 96 LT		
	11/16/11	4 000	29 680	118 72	149 68	30 96 LT		
	5/17/13	6 000	40 493	242 96	724 52	(18 44) LT		
Total		76 000		2,499 52	2,843.92	344 40 LT	—	—
<i>Share Price \$37 120 Basis Adjustment Due to Wash Sale \$7 66</i>								
HAIN CELESTIAL GROUP INC (HAIN)	2/10/14	16 000	86 542	1,384 67	932 64	(452 03) ST		
	3/11/14	15 000	93 369	1 400 53	874 35	(526 18) ST		
	12/29/14	31 000	— Pending Corp. Action		1 806 99	N/A 7		
Total		62 000		0 00	3,613.98	(978 21) ST	—	—
<i>Share Price \$58 290</i>								
HALLIBURTON CO (HAL)	10/20/14	37 000	53 098	1 964 63	1,455.21	(509 42) ST	27 00	1 85
<i>Share Price \$39 330 Next Dividend Payable 03/2015</i>								
HARTFORD FIN SERS GRP INC (HIG)	1/28/14	66 000	33 378	2,202 94	2 751 51	548 60 ST		
	3/5/14	32 000	35 978	1,151 29	1,334 08	182 79 ST		
Total		98 000		3,354 23	4,085 62	731 39 ST	71 00	1 73
<i>Share Price \$41 690, Next Dividend Payable 01/02/15</i>								
HASBRO INC (HAS)	3/11/09	36 000	21 990	791 64	1,979 64	1 188 00 LT A		
	12/10/09	23 000	30 727	706 72	1 264 77	558 05 LT		
	3/18/10	9 000	38 092	342 83	494 91	152 08 LT		
	7/29/11	17 000	39 625	673 62	934 83	261 21 LT		

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COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/16/11	2 000	36 700	73 40	109 98	36 58 LT		
	2/8/12	9 000	35 917	323 25	494 91	171 66 LT		
	Total	96 000		2 911 46	5,279 04	2 367 58 LT	165 00	3 12
<i>Share Price \$54 590 Next Dividend Payable 02/2015</i>								
HCP INCORPORATED (HCP)	3/11/09	66 000	16 104	1 062 85	2 905 98	1 843 13 LT R		
	10/21/11	17 000	36 634	622 78	748 51	125 73 LT R		
	Total	83 000		1 685 63	3,654.49	1 968 86 LT	181 00	4 95
<i>Share Price \$44 030 Next Dividend Payable 02/2015</i>								
HEALTH CARE REIT INC (HCN)	3/11/09	38 000	24 709	938 96	2,875 46	1,936 50 LT R		
	12/10/09	11 000	39 448	433 93	832 37	398 44 LT R		
	2/28/12	16 000	51 798	828 77	1,210 72	381 95 LT R		
	Total	65 000		2 201 66	4,918 55	2 716 89 LT	207 00	4 20
<i>Share Price \$75 670 Next Dividend Payable 02/2015</i>								
HEALTHSOUTH CP NEW (HLS)	5/31/11	12 000	28 069	2 020 97	2 769 12	748 15 LT		
	9/16/11	13 000	20 604	267 85	499 98	232 13 LT		
	Total	25 000		2 288 82	3,269 10	980 28 LT	71 00	2 17
<i>Share Price \$38 460 Next Dividend Payable 01/15/15</i>								
HEIDELBERG CEMENT ADR (HDELY)	5/31/12	91 000	8 782	799 16	1 277 18	478 02 LT		
	6/20/12	15 000	9 478	142 17	631 57	205 06 LT		
	10/24/12	54 000	10 521	568 14	757 89	189 75 LT		
	11/20/13	30 000	15 260	457 81	421 05	(36 76) LT		
	Total	220 000		2,251 62	3,087.70	836 07 LT	24 00	0 77
<i>Share Price \$14 035</i>								
HELIX ENERGY SOLUTIONS GRP INC (HLX)	8/27/13	77 000	26 399	2,032 70	1,670.90	(361 80) LT	—	—
<i>Share Price \$21 700</i>								
HOME DEPOT INC (HD)	5/21/13	105 000	78 882	8,282 61	11,021 85	2 739 24 LT		
	5/21/13	1 000	78 882	78 88	104 97	26 09 LT		
	5/21/13	2 000	78 882	157 76	209 94	52 18 LT		
	6/12/14	18 000	78 988	1 421 78	1 889 46	467 68 ST		
	6/12/14	60 000	78 988	4 739 27	6 298 20	1 558 93 ST		
	7/2/14	12 000	81 962	983 54	1 259 64	276 10 ST		
	Total	198 000		15 663 84	20,784 06	2 817 51 LT	372 00	1 79
<i>Share Price \$101 970 Next Dividend Payable 03/2015</i>								
HONEYWELL INTERNATIONAL INC (HON)	2/13/12	17 000	59 936	1,018 91	1 698 64	679 73 LT		
	6/1/12	19 000	54 279	1,031 31	1 898 48	867 17 LT		

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COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		36 000		2 050.22	3,597.12	1 546.90 LT	75.00	2.08
<i>Share Price: \$99.920, Next Dividend Payable 03/2015</i>								
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	3/14/11	21 000	52.779	1 108.36	991.83	(116.53) LT		
	4/4/11	12 000	52.334	628.01	566.76	(61.25) LT		
	11/16/11	3 000	38.960	116.88	141.69	24.81 LT		
	4/20/12	7 000	44.790	313.53	330.61	17.08 LT		
	9/2/14	25 000	53.528	1 338.21	1 180.75	(157.46) ST		
Total		68 000		3 504.99	3,211.64	(135.89) LT	167.00	5.10
<i>Share Price: \$47.230</i>								
HUBBELL INC B (HUB'B)	4/18/13	5 000	92.298	461.49	531.15	72.65 LT		
	4/25/13	8 000	94.309	754.47	851.64	100.17 LT		
	5/28/13	7 000	99.131	693.92	747.81	53.89 LT		
Total		20 000		1 909.88	2,136.60	226.72 LT	45.00	2.10
<i>Share Price: \$106.830, Next Dividend Payable 03/2015</i>								
HUTCHISON WHAMPOA ADR (HUWHY)	12/10/09	91 000	13.551	1 233.17	2,092.82	859.65 LT		
	12/10/09	19 000	13.626	258.89	436.96	178.07 LT		
	12/10/09	22 000	13.551	298.13	505.96	207.83 LT		
	12/10/09	6 000	13.551	81.31	137.99	56.68 LT		
	12/10/09	3 000	13.551	40.65	68.99	28.34 LT		
	12/29/10	10 000	20.800	208.00	229.98	21.98 LT		
	11/16/11	4 000	17.910	71.64	91.99	20.35 LT		
	11/16/11	3 000	17.940	53.82	68.99	15.17 LT		
	8/8/13	32 000	23.668	757.39	735.94	(21.45) LT		
	9/3/14	54 000	26.566	1 434.57	1,241.89	(192.68) ST		
	9/24/14	3 000	25.563	76.69	68.99	(7.70) ST		
	9/24/14	23 000	25.564	587.98	528.95	(59.03) ST		
	12/12/14	27 000	23.040	622.08	620.95	(1.13) ST		
	12/12/14	11 000	23.040	253.44	252.98	(0.46) ST		
	12/30/14	23 000	23.113	531.59	528.95	(2.64) ST		
	12/30/14	4 000	23.113	92.45	91.99	(0.46) ST		
Total		335 000		6 601.80	7,704.32	1 366.62 LT	182.00	2.36
<i>Share Price: \$22.998</i>								
ILL TOOL WORKS INC (ITW)	9/23/13	52 000	76.206	3,962.73	4,924.40	961.67 LT		
	9/24/13	64 000	76.880	4 920.31	6,060.80	1 140.49 LT		

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COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		116 000		8 883 04	10,985.20	2 102 16 11	225 00	2 04
<i>Share Price: \$94.700, Next Dividend Payable 01/06/15</i>								
INDUSTRIAL & COML BK CHINA ADR (IDCBY)	1/29/10	70 000	14 915	1 044 03	1 022 00	(22 03) 11		
	3/10/10	42 000	13 490	650 59	613 20	(37 39) 11		
	11/1/10	16 000	15 327	245 23	233 60	(11 63) 11		
	8/11/11	43 000	12 962	557 36	627 80	70 44 11		
	9/1/11	30 000	13 310	399 30	438 00	38 70 11		
	11/16/11	19 000	11 900	226 10	277 40	51 30 11		
Total		220 000		3 122 61	3,212 00	89 39 11	157 00	4 88
<i>Share Price: \$14.600</i>								
INFINEON TECHNOLOGIES AG (IFNNY)	8/30/12	124 000	6 922	858 29	1 320 60	462 31 11		
	9/7/12	131 000	7 172	939 48	1 395 15	455 67 11		
	Total	255 000		1 797 77	2,715.75	917 98 11	53 00	1 95
<i>Share Price: \$10.650</i>								
ING GROEP NV ADR (ING)	4/20/12	90 000	7 281	655 25	1,167 30	512 05 11		
	8/29/12	58 000	7 190	417 01	752 26	335 25 11		
	9/7/12	100 000	8 564	856 44	1 297 00	440 56 11		
	Total	248 000		1,928 70	3,216 56	1 287 86 11	—	—
<i>Share Price: \$12.970</i>								
INTERCONTINENTALEXCHANGE GROUP (ICE)	1/11/13	47 000	178 132	8,372 22	10,306 63	1,934 41 11	122 00	1 18
<i>Share Price: \$219.290, Next Dividend Payable 03/2015</i>								
INTERNATIONAL PAPER CO (IP)	9/12/14	64 000	49 656	3,178 02	3,429 12	251 10 51		
	10/29/14	21 000	48 303	1,014 36	1,125 18	110 82 51		
	Total	85 000		4,192 38	4,554 30	361 92 51	136 00	2 98
<i>Share Price: \$53.580, Next Dividend Payable 03/2015</i>								
INTESA SANPAOLO S.P.A. ADR (ISNPY)	7/13/11	8 000	13 980	111 84	138 56	26 72 11		
	9/6/11	93 000	8 673	806 56	1,610 76	804 20 11		
	11/16/11	9 000	10 000	90 00	155 88	65 88 11		
	1/11/13	20 000	11 910	238 20	346 40	108 20 11		
	Total	130 000		1,246 60	2,251 60	1 005 00 11	40 00	1 77
<i>Share Price: \$17.320</i>								
INVESCO LTD (IVZ)	11/16/11	9 000	19 958	179 62	355 68	176 06 11		
	11/16/11	2 000	20 020	40 04	79 04	39 00 11		
	1/25/12	17 000	22 164	376 78	671 84	295 06 11		
	5/6/13	8 000	32 605	260 84	316 16	55 32 11		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	5/15/13	1 000	34 540	34 54	39 52	4 98 LT		
	5/15/13	1 000	34 540	34 54	39 52	4 98 LT		
	5/15/13	9 000	34 543	310 88	355 68	44 80 LT		
	5/15/13	39 000	34 543	1 347 17	1 541 28	194 11 LT		
	5/15/13	1 000	34 543	34 54	39 52	4 98 LT		
	6/18/13	30 000	34 511	1 035 31	1 185 60	150 29 LT		
	6/18/13	10 000	34 511	345 11	395 20	50 09 LT		
	7/12/13	11 000	31 876	350 64	434 72	84 08 LT		
	7/12/13	7 000	31 876	223 13	276 64	53 51 LT		
	7/12/13	3 000	31 877	95 63	118 56	22 93 LT		
	7/12/13	29 000	31 876	924 41	1 146 08	221 67 LT		
	8/1/13	30 000	32 770	983 10	1 185 60	202 50 LT		
	12/26/13	20 000	36 660	733 20	790 40	57 20 LT		
	12/26/13	5 000	36 660	183 30	197 60	14 30 LT		
	3/13/14	36 000	35 384	1 273 84	1,422 72	148 88 ST		
	3/13/14	4 000	35 385	141 54	158 08	16 54 ST		
Total		272 000		8 908 16	10,749 44	1 675 86 LT	272 00	2 53
						165 42 ST		
<i>Share Price: \$39 520, Next Dividend Payable 03/2015</i>								
IRON MOUNTAIN INC (DE) (IRM)	10/11/11	50 000	30 316	1 515 80	1,933 00	417 20 LT		
	11/16/11	3 000	30 000	90 00	115 98	25 98 LT		
	Purchases	53 000		1 605 80	2,048 98	443 18 LT		
Long Term Reinvestments		6 000		197 22	231 96	34 74 LT		
Short Term Reinvestments		5 000		177 73	193 30	15 57 ST		
Total		64 000		1,980 75	2,474 24	477 92 LT	122 00	4 93
						15 57 ST		
<i>Share Price: \$38 660, Next Dividend Payable 03/2015</i>								
ITC HOLDINGS (ITC)	12/10/09	84 000	16 213	1 361 89	3,396 12	2 034 23 LT		
	2/6/12	9 000	25 003	225 03	363 87	138 84 LT		
	12/17/12	69 000	25 962	1 791 35	2 789 67	998 32 LT		
	Total	162 000		3 378 27	6,549 66	3 171 39 LT	105 00	1 61
<i>Share Price: \$40 430, Next Dividend Payable 03/2015</i>								
JANUS CAPITAL GROUP INC (JNS)	7/25/13	224 000	9 324	2 088 53	3,613 12	1 524 59 LT		
	11/19/14	20 000	14 803	296 05	322 60	26 55 ST		

Account Detail

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KLEIN FAMILY FOUNDATION INC
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		244,000		2,384.58	3,935.72	1,524.59 LT 26.55 ST	78.00	1.98
<i>Share Price: \$16.130, Next Dividend Payable 02/2015</i>								
JB HUNT TRANS SERV (JBHT)	10/31/14	76,000	79.652	6,053.53	6,403.00	349.47 ST		
	11/3/14	48,000	79.789	3,829.89	4,044.00	214.11 ST		
Total		124,000		9,883.42	10,447.00	563.58 ST	99.00	0.94
<i>Share Price: \$84.250, Next Dividend Payable 02/2015</i>								
JOHNSON & JOHNSON (JNJ)	6/16/11	6,000	66.283	397.70	627.42	229.72 LT		
	6/27/12	96,000	67.159	6,447.31	10,038.72	3,591.41 LT		
Total		102,000		6,845.01	10,666.14	3,821.13 LT	286.00	2.68
<i>Share Price: \$104.570, Next Dividend Payable 03/2015</i>								
JPMORGAN CHASE & CO (JPM)	8/16/10	31,000	37.574	1,161.80	1,939.98	775.18 LT		
	12/29/10	19,000	42.460	806.74	1,189.02	382.28 LT		
	7/29/11	27,000	40.394	1,090.65	1,689.66	599.01 LT		
	7/29/11	12,000	40.396	484.75	750.96	266.21 LT		
	8/8/11	2,000	35.399	70.80	125.16	54.36 LT		
	8/8/11	27,000	35.399	955.77	1,689.66	733.89 LT		
	8/8/11	7,000	35.399	247.79	138.06	190.27 LT		
	6/1/12	17,000	32.272	548.62	1,063.86	515.24 LT		
	3/31/14	6,000	60.787	364.72	375.48	10.76 ST		
	10/1/14	18,000	59.850	1,077.30	1,126.44	49.14 ST		
	12/11/14	42,000	59.332	2,491.93	2,628.36	136.43 ST		
Total		208,000		9,303.87	13,016.64	3,516.44 LT 196.33 ST	333.00	2.55
<i>Share Price: \$62.580, Next Dividend Payable 01/2015</i>								
JULIUS BAER GROUP LTD UN ADR (JBXY)	6/3/10	88,000	5.887	518.07	797.28	279.21 LT		
	7/6/10	64,000	5.960	381.42	579.84	198.42 LT		
	11/16/11	30,000	7.060	211.80	271.80	60.00 LT		
	4/5/13	88,000	7.796	686.07	797.28	111.21 LT		
Total		270,000		1,797.36	2,446.20	648.84 LT	—	—
<i>Share Price: \$9.060</i>								
KANSAS CY SOUTHN IND NEW (KSU)	5/6/10	8,000	37.807	302.45	976.24	673.79 LT		
	9/2/10	19,000	36.108	686.06	2,318.57	1,632.51 LT		
Total		27,000		988.51	3,294.81	2,306.30 LT	30.00	0.91
<i>Share Price: \$122.030, Next Dividend Payable 01/2/15</i>								

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
KASIKORNBANK PUB CO LTD UNSPON (KPCPV)	1/31/14	28 000	20 900	585 19	787 36	202 17 ST		
	2/3/14	53 000	20 915	1,108 51	1,490 36	381 85 ST		
	2/7/14	25 000	21 240	531 00	703 00	172 00 ST		
	Total	106 000		2,224 70	2,980 72	756 02 ST	37 00	1 24
<i>Share Price: \$28 120</i>								
KB FINANCIAL GRP INC SONS ADR (KB)	7/12/13	14 000	31 511	441 15	456 68	15 53 LT		
	12/4/13	30 000	37 288	1 118 65	978 60	(140 05) LT		
	Total	44 000		1,559 80	1,435 28	(124 52) LT	17 00	1 18
<i>Share Price: \$32 620</i>								
KEPPEL CORP LTD ADR SPONSORED (KEPLY)	12/10/09	139 000	10 643	1,479 43	1 861 90	382 47 LT		
	11/16/11	15 000	14 345	215 18	200 92	(14 26) LT		
	12/30/11	74 000	13 423	993 29	991 23	(2 06) ST		
	Total	228 000		2 687 90	3,054 05	366 21 LT	153 00	5 60
<i>Share Price: \$13 395</i>								
KEYCORP NEW (KEY)	10/9/14	350 000	13 250	4,637 40	4 865 00	227 60 ST		
	12/17/14	70 000	13 305	931 38	973 00	41 62 ST		
	Total	420 000		5 568 78	5,838 00	269 22 ST	109 00	1 86
<i>Share Price: \$13 900 Next Dividend Payable 03/2015</i>								
KILROY REALTY CORPORATION (KRC)	12/11/12	39 000	45 534	1,775 82	2,693 73	917 91 LT R	55 00	2 04
<i>Share Price: \$69 070 Next Dividend Payable 01/14/15</i>								
KINGFISHER PLC SPONS ADR NEW (KGFHY)	12/10/09	105 000	7 600	798 00	1,112 47	314 47 LT		
	6/16/10	9 000	6 710	60 39	95 35	34 96 LT		
	6/24/10	50 000	6 751	337 53	529 75	192 22 LT		
	6/24/10	1 000	6 750	6 75	10 59	3 84 LT		
	6/24/10	61 000	6 751	411 78	646 29	234 51 LT		
	7/6/10	17 000	6 548	111 32	180 11	68 79 LT		
	11/6/10	39 000	6 548	255 37	413 20	157 83 LT		
	9/23/10	74 000	7 033	520 44	784 03	263 59 LT		
	12/29/10	37 000	8 180	302 66	392 01	89 35 LT		
	12/29/10	42 000	8 180	343 56	444 99	101 13 LT		
	7/16/12	16 000	8 509	391 42	487 37	95 95 LT		
	11/16/12	49 000	8 509	416 95	519 15	102 20 LT		
	8/29/14	59 000	10 195	601 51	625 10	23 59 ST		
	8/29/14	3 000	10 197	30 59	31 78	1 19 ST		
	9/17/14	56 000	10 320	577 90	593 32	15 42 ST		

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ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/21/14	9 000	9 579	86 21	95 35	9 14 \$1		
	10/21/14	69 000	9 579	660 98	731 05	10 07 \$1		
Total		726 000		5 913 36	7,691 97	1 659 14 LT 119 11 \$1	216 00	2 81
<i>Share Price: \$10 59</i>								
KIRBY CP (KEX)	10/24/12	29 000	59 502	1 725 56	2,341.46	675 90 LT	—	—
<i>Share Price: \$80 740</i>								
KOHL'S CORPORATION WISC (KSS)	2/6/13	42 000	45 801	1 923 65	2,563 68	640 03 LT		
	2/13/13	21 000	46 181	976 10	1,281 84	305 74 LT		
	9/27/13	21 000	51 918	1 090 28	1 281 84	191 56 LT		
Total		84 000		3 990 03	5,127.36	1,137 33 LT	1 31 00	2 55
<i>Share Price: \$61 040 Next Dividend Payable 03/2015</i>								
KOMATSU LTD SPON ADR NEW (KMTUY)	12/10/09	35 000	20 638	722 31	775 25	52 94 LT		
	1/10/10	27 000	20 420	551 34	598 05	46 71 LT		
	12/29/10	7 000	30 290	212 03	155 05	(56 98) LT		
	11/16/11	2 000	25 460	50 92	44 30	(6 62) LT		
	8/1/13	33 000	22 650	747 45	730 95	(16 50) LT		
	4/14/14	26 000	20 926	544 07	575 90	31 83 \$1		
Total		130 000		2,828 12	2,879 50	19 55 LT 31 83 \$1	55 00	1 91
<i>Share Price: \$22 150</i>								
KONICA MINOLTA INC ADR (KNCAY)	12/10/09	5 000	20 550	102 75	110 45	7 70 LT		
	7/2/10	30 000	19 423	582 69	662 70	80 01 LT		
	12/29/10	16 000	21 150	338 40	353 44	15 04 LT		
	6/20/14	77 000	19 204	1 478 74	1 700 93	222 19 \$1		
Total		128 000		2,502 58	2,827.52	102 75 LT 222 19 \$1	31 00	1 09
<i>Share Price: \$22 090</i>								
KROGER CO (KR)	8/27/14	78 000	50 700	3 954 58	5,008 38	1 053 80 \$1		
	9/22/14	38 000	52 099	1 979 77	2 439 98	460 21 \$1		
	11/5/14	24 000	57 368	1 376 84	1 541 04	164 20 \$1		
Total		140 000		7 311 19	8,989.40	1,678 21 \$1	104 00	1 15
<i>Share Price: \$64 210 Next Dividend Payable 03/2015</i>								
KUNLUN ENERGY CO LTD ADR (KLYCY)	1/10/14	71 000	16 397	1,164 21	680 30	(503 91) \$1		
	9/1/14	75 000	16 898	1 267 38	697 50	(569 88) \$1		
	10/31/14	36 000	13 835	498 06	334 80	(163 26) \$1		

KLEIN FAMILY FOUNDATION INC.
ATTENTION CARLA KLEIN

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		182,000		2,929.65	1,692.60	(1,237.05) ST	49.00	2.89
<i>Share Price: \$9.300</i>								
L BRANDS INC COM (LB)	8/30/11	31,000	38.073	1,180.27	2,683.05	1,502.78 LT		
	11/16/11	5,000	43.376	216.88	432.75	215.87 LT		
	12/6/11	10,000	43.235	432.35	865.50	433.15 LT		
Total		46,000		1,829.50	3,981.30	2,151.80 LT	63.00	1.58
<i>Share Price: \$86.550, Next Dividend Payable 03/2015</i>								
LEIDOS HLDGS INC (LDOS)	1/29/13	38,000	33.954	1,290.24	1,653.76	363.52 LT		
	2/15/13	21,000	32.712	686.96	913.92	226.96 LT		
Total		59,000		1,977.20	2,567.68	590.48 LT	76.00	2.95
<i>Share Price: \$43.520, Next Dividend Payable 01/2015</i>								
LIBERTY BROADBAND CORP C RTS (LBRKR)	—	18,000	—	Please Provide	171.00	N/A	—	—
<i>Share Price: \$9.500</i>								
LIBERTY BROADBAND CORP S-A (LBRDA)	12/13/10	6,000	17.433	104.60	300.54	195.94 LT		
	3/24/11	1,000	27.820	27.82	50.09	22.27 LT		
	11/10/14	11,000	48.756	536.32	550.99	14.67 ST		
Total		18,000		668.74	901.62	218.21 LT	—	—
<i>Share Price: \$50.090</i>								
LIBERTY BROADBAND CORP S-C (LBRDK)	12/13/10	11,000	18.798	206.78	548.02	341.24 LT		
	3/24/11	2,000	27.595	55.19	99.64	44.45 LT		
	9/19/14	1,000	49.990	49.99	49.82	(0.17) ST		
	9/19/14	17,000	45.926	780.73	846.94	66.21 ST		
	9/19/14	1,000	45.926	45.93	49.82	3.89 ST		
	11/7/14	7,000	49.110	343.87	348.74	2.87 ST		
	11/7/14	9,000	49.110	441.69	448.38	3.69 ST		
	12/4/14	20,000	53.351	1,067.02	996.40	(70.62) ST		
Total		68,000		2,996.20	3,387.76	385.69 LT	—	—
<i>Share Price: \$19.820</i>								
LIBERTY MEDIA CORP SER A (LMCA)	12/13/10	23,000	14.381	330.76	811.21	480.45 LT		
	3/24/11	1,000	18.130	18.13	35.27	17.14 LT		
	3/24/11	5,000	16.888	84.44	176.35	91.91 LT		
Total		29,000		433.33	1,022.83	589.50 LT	—	—
<i>Share Price: \$35.270</i>								

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KLEIN FAMILY FOUNDATION INC
ATTENTION, CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LIBERTY MEDIA CORP SER C (LMCK)	12/13/10	2,000	13.735	27,470	70,060	42,590 LT		
	12/13/10	42,000	13.733	576,800	1,471,760	894,460 LT		
	12/13/10	2,000	13.733	27,470	70,060	42,590 LT		
	3/24/11	2,000	17.310	34,620	70,060	35,440 LT		
	3/24/11	10,000	16.128	161,280	350,300	189,020 LT		
	9/19/14	72,000	36.525	2,629,800	2,522,160	(107,640) ST		
	12/13/14	72,000	36.044	792,960	770,660	(22,300) ST		
	Total	152,000		4,250,400	5,324,560	1,204,100 LT (129,940) ST	—	—

Share Price \$35.030

LINCOLN NTL CORP IND (LNC)	6/17/10	16 000	27 534	440 54	972 72	482 18 LT	
	9/2/10	33 000	24 967	823 91	1 903 11	1 079 20 LT	
	2/22/11	34 000	30 446	1 035 16	1,960 78	925 62 LT	
	4/1/11	1 000	30 500	30 50	57 67	27 17 LT	
Total		84 000		2,330 11	4,844.28	2 514 17 LT	67 00 1 38

Share Price \$57.670, Next Dividend Payable 02/2015

LLOYDS BANKING GROUP PLC (LYG)	6/1/12	620 000	1 578	978 61	2,876.80	1,898 19 IT	--	—
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Share Price \$4.640

LONZA GROUP AG ZUERICH ADR (LZAGY)	12/10/09	43 000	7 470	319 06	480 31	161 25 LT		
	6/28/11	22 000	7 300	160 60	245 74	85 14 LT		
	11/16/11	3 000	5 900	17 70	33 51	15 81 LT		
	1/31/12	15 000	5 380	80 70	167 55	86 85 LT		
Total		83 000		578 06	927.11	349 05 LT	---	---

Share Price \$11.170

LYONDELLBASELL NV CL-A (1 YB)	7/12/12	10 000	38 132	387 32	793 90	406 58 LT R		
	7/12/12	1 000	38 590	38 59	79 39	40 80 LT R		
	7/12/12	9 000	38 132	348 59	714 51	365 92 LT R		
	10/31/12	18 000	52 587	946 57	1,429 02	482 45 LT R		
Total		38 000		1,721 07	3,016 82	1,295 75 LT	106 00	3 51

Share Price \$79.390, Next Dividend Payable 03/2015

M&T BANK CORP (MTB)	10/12/11	6 000	15 992	455 95	153 12	297 77 LT		
	10/14/11	11 000	75 650	832 15	1,381 82	549 67 LT		
	10/19/11	12 000	12 964	875 57	1,501 44	631 87 LT		
Total		29 000		2,163 67	3,642.98	1 479 31 LT	81 00	2 22

Share Price \$124.620 Next Dividend Payable 03/2015

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MACY'S INC (M)	6/6/14	60 000	59 738	3 584 30	3,945 00	360 70 \$1	75 00	1 90
<i>Share Price: \$65 750, Next Dividend Payable 01/02/15</i>								
MARKS & SPENCER GRP PLC ADR (MAKSY)	12/10/09	40 000	13 090	523 60	588 80	65 20 LT		
	5/10/10	26 000	10 472	272 28	382 72	110 14 LT		
	12/16/10	30 000	11 910	357 30	441 60	84 30 LT		
	7/29/11	15 000	11 350	170 25	220 80	50 55 LT		
	11/16/11	5 000	10 400	52 00	73 60	21 60 LT		
	8/9/13	74 000	14 873	1 100 61	1 089 28	(11 33) LT		
	1/9/14	38 000	15 398	585 13	559 36	(25 77) ST		
Total		228 000		3 061 17	3,356 16	320 76 LT (25 77) ST	166 00	1 94
<i>Share Price: \$14 720</i>								
MARRIOTT INTL INC NEW CL A (MAR)	7/19/11	16 000	32 511	1 496 90	3,589 38	2 092 48 LT	37 00	1 03
<i>Share Price: \$78 030, Next Dividend Payable 03/20/15</i>								
MATTEL INC (MAT)	3/11/09	37 000	9 398	347 73	1 114 96	797 23 LT R		
	3/10/10	24 000	21 434	514 42	742 68	228 26 LT R		
	4/22/10	33 000	22 008	726 26	1 021 18	294 92 LT R		
Total		94 000		1,588 41	2,908 83	1,320 41 LT	143 00	4 91
<i>Share Price: \$30 915, Next Dividend Payable 03/20/15</i>								
MCKESSON CORP (MCK)	4/7/10	6 000	66 344	398 06	1,245 48	847 42 LT		
	5/17/10	9 000	67 931	611 38	1 868 22	1 256 84 LT		
	11/1/10	15 000	67 084	1,006 26	3,113 70	2 107 44 LT		
	7/29/11	6 000	81 330	487 98	1,245 48	757 50 LT		
Total		36 000		2 503 68	7,472 88	4,969 20 LT	35 00	0 46
<i>Share Price: \$207 580, Next Dividend Payable 01/02/15</i>								
MENTOR GRAPHICS (MENT)	5/29/12	116 000	14 223	1,649 86	2,542 72	892 86 LT	23 00	0 90
<i>Share Price: \$21 920, Next Dividend Payable 01/02/15</i>								
MERCK & CO INC NEW COM (MRK)	8/23/10	14 000	34 983	489 76	795 06	305 30 LT		
	12/29/10	15 000	36 210	543 15	851 85	308 70 LT		
	1/26/12	25 000	38 800	970 00	1,419 74	449 75 LT		
	3/20/12	26 000	37 883	984 95	1 476 54	491 59 LT		
	4/18/12	22 000	38 500	847 00	1,249 38	402 38 LT		
	12/3/12	10 000	44 550	445 50	567 90	122 40 LT		
	9/9/13	26 000	47 745	1,241 37	1,476 54	235 17 LT		
	6/27/14	34 000	58 214	1,979 26	1,940 86	(48 40) ST		
	8/19/14	30 000	58 773	1 763 20	1 703 70	(59 50) ST		

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KLEIN FAMILY FOUNDATION INC
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/20/14	30.000	\$4.060	1,621.79	1,703.70	81.91 \$1		
	Total	232.000		10,885.98	13,175.28	2,315.29 \$1 (25.99) \$1	118.00	3.17

Share Price \$56.790 Next Dividend Payable 01/08/15

MERCK KGAA UNSPON ADR (MKGAY)	12/10/09	102 000	15 125	1 603 95	3 191 58	1,587 6311		
	1/25/10	12 000	15 600	187 20	375 48	188 2811		
	1/29/10	22 000	13 365	294 03	688 38	394 3511		
	11/16/11	16 000	16 205	259 28	500 64	241 3611		
Total		152 000		2 344 46	4,756 08	2,411 6211	45 00	0 94

Share Price \$31.290

METRO AG UNSPON ADR (MTTRY)	3/6/14	180 000	8 058	1 450 51	1 081 80	(368 71) S1		
	5/23/14	115 000	8 202	943 26	691 15	(252 11) ST		
	8/29/14	228 000	6 978	1 561 03	1 370 78	(220 75) ST		
	Total	523 000		3,984 80	3,143,23	(841 57) S1	—	—

Share Price \$5.010

MICHELIN COMPAGNIE GENERALE DE (MGDDY)	12/10/09	74 000	15 550	1,150 70	1,334 96	184 26 11		
	2/25/11	9 000	16 140	145 26	162 36	17 10 11		
	11/16/11	18 000	12 590	226 62	324 72	98 10 11		
	12/21/11	16 000	11 710	187 36	288 64	101 28 11		
	2/8/12	20 000	11 660	293 20	360 80	67 60 11		
	3/30/12	19 000	14 855	282 24	342 76	60 52 11		
Total		156 000		2,285 38	2,814,24	528 86 11	81 00	2 87

Share Price \$18.040

MICROCHIP TECHNOLOGY INC (MCHP)	12/10/09	52 000	22 802	1 185 68	2,345 72	1,160 04 LT R	/4 00	3 15
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Share Price: \$45.110, Next Dividend Payable 03/2015

MICROSOFT CORP (MSFT)	1/23/14	140 000	45 241	6,333.68	6 503.00	169.32 ST		
	9/22/14	20 000	17 200	944.00	929.00	(15.00) ST		
	10/1/14	50 000	45 924	2 296.18	2,322.50	26.32 ST		
	10/24/14	45 000	45 750	2,058.75	2 090.25	31.50 SI		
	12/17/14	25 000	45 288	1 132.20	1 161.25	29.05 SI		
Total		280 000		12,764.81	13,006.00	241.19 SI	347.00	2.66

Share Price \$16.150 Next Dividend Payable 03/2015

MONSANTO CO/NEW (MON)	10/9/14	34 000	109 001	3,706 05	1 061 98	355 9351		
	12/23/14	8 000	122 335	918 68	955 76	(22 92) 51		
	Total	42 000		4 664 73	5,017 74	333 0151		82 00 1 63

Share Price \$119 1/4 Next Dividend Payable 01/2015

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MONSTER BEVERAGE CORP COM (MNST)	6/11/11	21 000	36 092	757 92	2 275 35	1 517 43 LT		
	9/18/12	15 000	52 725	790 87	1,625 25	834 38 LT		
	Total	36 000		1 548 79	3,900 60	2,351 81 LT	—	—
<i>Share Price: \$108 350</i>								
MYLAN INC (MYL)	6/6/14	54 000	49 764	2 687 26	3,043 98	356 72 ST	—	—
<i>Share Price: \$56 370</i>								
NATL FUEL GAS CO (NFG)	12/13/12	34 000	53 049	1 803 68	2,364 02	560 34 LT		
	2/11/13	8 000	56 583	452 66	556 24	103 58 LT		
	2/12/13	8 000	56 758	454 06	556 24	102 18 LT		
	5/2/13	11 000	61 750	679 25	764 83	85 58 LT		
	5/6/13	1 000	61 750	247 00	278 12	31 12 LT		
	Total	65 000		3 636 65	4,519 45	882 80 LT	100 00	2 21
<i>Share Price: \$69 530 Next Dividend Payable 01/15/15</i>								
NEW YORK COMMUNITY BANCORP INC (NYCB)	12/10/09	159 000	13 040	2 073 34	2 544 00	470 66 LT		
	12/21/09	35 000	14 234	498 18	560 00	61 82 LT		
	10/25/11	56 000	12 126	712 68	896 00	183 32 LT		
	11/16/11	14 000	12 127	169 78	224 00	54 22 LT		
	Total	264 000		3 453 98	4,224 00	770 02 LT	264 00	6 25
<i>Share Price: \$16 000 Next Dividend Payable 02/20/15</i>								
NEXTERA ENERGY INC COM (NEE)	4/18/12	44 000	62 972	2 770 76	4,676 76	1 906 00 LT		
	1/12/12	13 000	68 585	891 60	1,381 77	490 17 LT		
	1/16/14	25 000	98 653	2 466 32	2 657 25	190 93 ST		
	8/29/14	20 000	98 266	1 965 72	2 125 80	160 08 ST		
	Total	102 000		8 094 40	10,841 58	2 396 17 LT	296 00	2 73
<i>Share Price: \$106 290 Next Dividend Payable 03/20/15</i>								
NISOURCE INC (NI)	3/11/09	62 000	8 549	530 04	2,630 04	2 100 00 LT A		
	3/11/10	2 000	15 545	31 09	84 84	53 75 LT		
	2/15/11	110 000	19 078	2 098 60	1 666 20	2 567 60 LT		
	4/1/11	6 000	19 408	116 45	254 52	138 07 LT		
	Total	180 000		2,776 18	7,635 60	4 859 42 LT	188 00	2 46
<i>Share Price: \$12 120 Next Dividend Payable 02/20/15</i>								
NISSAN MTR CO LTD SPND ADR (NSANY)	1/20/11	41 000	20 180	827 38	716 27	(111 11) LT		
	6/29/11	20 000	21 090	421 80	349 40	(72 40) LT		
	11/16/11	6 000	18 020	108 12	104 82	(3 30) LT		
	8/3/12	23 000	19 168	440 86	401 81	(39 05) LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	9/28/12	28 000	17 050	477 40	489 16	11 76 LT		
	1/11/13	26 000	19 789	514 51	454 22	(60 29) LT		
	6/14/13	38 000	20 700	786 59	663 86	(122 73) LT		
	9/9/13	20 000	20 886	417 71	349 40	(68 31) LT		
	3/5/14	46 000	17 699	814 16	803 62	(10 54) ST		
Total		248 000		4 808 53	4,332 56	(465 43) LT (10 54) ST	115 00	2 65
<i>Share Price \$17 470</i>								
NORDSON CP (NDSN)	12/19/13	19 000	73 795	1,402 10	1,481 24	79 14 LT		
	5/29/14	17 000	81 958	1 393 29	1,325 32	(67 97) ST		
Total		36 000		2,795 39	2,806 56	79 14 LT (67 97) ST	32 00	1 14
<i>Share Price \$77 960, Next Dividend Payable 01/06/15</i>								
NORTHERN TRUST CORP (NTRS)	5/24/12	42 000	43 252	1,816 57	2,830 80	1,014 23 LT	55 00	1 94
<i>Share Price \$67 400, Next Dividend Payable 01/01/15</i>								
NOVARTIS AG ADR (NVS)	3/11/09	9 000	34 080	306 72	833 94	527 22 LT A		
	5/20/10	12 000	44 899	538 79	1,111 92	573 13 LT		
	5/20/10	1 000	44 899	44 90	92 66	47 76 LT		
	5/25/10	9 000	43 888	394 99	833 94	438 95 LT		
	12/1/10	10 000	53 983	539 83	926 60	386 77 LT		
	2/4/11	3 000	56 260	168 78	277 98	109 20 LT		
	11/16/11	2 000	55 330	110 66	185 32	74 66 LT		
	11/16/11	2 000	55 410	110 82	185 32	74 50 LT		
Total		48 000		2,215 49	4,447 68	2,232 19 LT	112 00	2 51
<i>Share Price \$92 660</i>								
NOVO NORDISK A/S ADR (NVO)	12/10/09	40 000	13 506	540 24	1,692 80	1,152 56 LT		
	12/29/10	10 000	22 384	223 84	423 20	199 36 LT		
Total		50 000		764 08	2,116 00	1,351 92 LT	30 00	1 41
<i>Share Price \$42 320</i>								
OCCIDENTAL PETROLEUM CORP DE (OXY)	8/7/12	4 000	88 295	353 18	322 44	(30 74) LT		
	8/29/12	14 000	83 656	1,171 19	1 128 54	(42 65) LT		
	5/15/13	26 000	87 519	2,275 50	2 095 86	(179 64) LT		
	6/12/14	13 000	98 012	1,274 15	1,047 93	(226 22) ST		
	8/14/14	22 000	96 609	2,125 40	1,773 42	(351 98) ST		
	10/1/14	18 000	91 942	1,654 96	1,450 98	(203 98) ST		

Account Detail

Select UMA Active Assets Account
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		97 000		8 854 38	7,819.17	(253 03) LT (782 18) ST	279 00	3 56
<i>Share Price \$80 610 Next Dividend Payable 01/15/15</i>								
OCEANEERING INTL INC (OII)	5/15/12	27 000	18 298	1 304 04	1,587 87	283 83 LT	29 00	1 82
<i>Share Price \$58 810 Next Dividend Payable 03/2015</i>								
OLD DOMINION FREIGHT LINE (ODFL)	6/6/13	45 000	41 596	1 871 82	3,493 80	1 621 98 LT	—	—
<i>Share Price \$77 640</i>								
OLD REPUBLIC INTL CP (ORI)	3/11/09	85 000	8 275	703 37	1 243 55	540 18 LT A		
	12/10/09	12 000	10 450	125 40	175 56	50 16 LT		
	7/29/11	29 000	10 521	305 10	424 27	119 17 LT		
	11/16/11	41 000	8 020	328 82	599 83	271 01 LT		
	11/14/12	88 000	9 777	860 39	1 287 44	177 05 LT		
	5/6/13	35 000	13 766	481 82	512 05	30 23 LT		
Total		290 000		2 804 90	4,242 70	1 437 80 LT	212 00	4 99
<i>Share Price \$14 630 Next Dividend Payable 03/2015</i>								
OMNICOM GROUP (OMC)	12/29/10	8 000	46 388	371 10	619 76	248 66 LT		
	8/25/11	27 000	38 828	1,048 35	2,091 69	1,043 34 LT		
	8/25/11	13 000	38 828	504 76	1,007 11	502 35 LT		
Total		48 000		1,924 21	3,718 56	1 794 35 LT	96 00	2 58
<i>Share Price \$77 470 Next Dividend Payable 01/07/15</i>								
ON SEMICONDUCTOR CORP (ONNN)	6/25/13	250 000	7 811	1,952 65	2,532 50	579 85 LT	—	—
<i>Share Price \$10 130</i>								
ORACLE CORP (ORCL)	10/15/14	65 000	37 749	2 453 67	2 923 05	469 38 ST		
	11/17/14	45 000	41 087	1,848 90	2,023 65	174 75 ST		
	12/8/14	58 000	41 592	2 412 32	2 608 26	195 94 ST		
	12/17/14	24 000	40 991	983 79	1,079 28	95 49 ST		
Total		192 000		7 698 68	8,634 24	935 56 ST	92 00	1 06
<i>Share Price \$44 970 Next Dividend Payable 01/2015</i>								
PEABODY ENERGY CORP (BTU)	9/10/13	110 000	19 127	2 103 96	851.40	(1 252 56) LT	37 00	4 34
<i>Share Price \$7 740 Next Dividend Payable 02/2015</i>								
PENSKE AUTO GP INC (PAG)	1/14/14	57 000	44 753	2 550 93	2,796 99	246 06 ST	48 00	1 71
<i>Share Price \$49 070 Next Dividend Payable 03/2015</i>								
PERKIN ELMER INC (PKI)	3/6/14	64 000	46 096	2 950 16	2,798 72	(151 44) ST	18 00	0 64
<i>Share Price \$43 730 Next Dividend Payable 02/2015</i>								
PERRIGO CO LTD (PRGO)	12/19/13	21 000	155 340	3,262 13	3 519 36	248 23 LT		
	12/19/13	62 000	155 340	9 631 06	10,363 92	732 86 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		83,000		12,893.19	13,874.28	981.09 LT	35.00	0.25
<i>Share Price: \$167.160 Next Dividend Payable 03/2015</i>								
PETROFAC LTD LONDON UNSPON ADR (POFCY)	10/8/14	150,000	7.784	1,167.65	802.50	(365.15) ST	44.00	5.48
<i>Share Price: \$5.350</i>								
PETROLEO BRASILEIRO SA ADR (PBR'A)	12/10/09	52,000	43.130	2,242.76	394.16	(1,848.60) LT		
	11/16/11	11,000	25.380	279.18	83.38	(195.80) LT		
	2/15/12	17,000	27.054	459.91	128.86	(331.05) LT		
Total		80,000		2,981.65	606.40	(2,375.45) LT	—	—
<i>Share Price: \$7.560</i>								
PG&E CORPORATION (PCG)	1/16/14	140,000	47.317	6,632.81	7,453.60	820.79 ST	255.00	3.42
<i>Share Price: \$53.240 Next Dividend Payable 01/15/15</i>								
PHILLIPS 66 COM (PSX)	8/7/12	25,000	40.531	1,013.28	1,792.50	779.22 LT		
	1/28/13	19,000	57.494	1,092.38	1,362.30	269.92 LT		
	8/13/13	12,000	58.289	699.47	860.40	160.93 LT		
	10/10/14	3,000	11.087	231.26	215.10	(16.16) ST		
Total		59,000		3,036.39	4,230.30	1,210.07 LT	118.00	2.78
<i>Share Price: \$71.700 Next Dividend Payable 03/2015</i>								
PNC FINL SVCS GP (PNC)	1/28/13	23,000	62.213	1,430.90	2,098.29	667.39 LT		
	2/18/14	6,000	81.586	489.51	547.38	57.87 ST		
	2/18/14	101,000	81.586	8,240.18	9,214.23	974.05 ST		
	2/18/14	1,000	81.590	81.59	91.23	9.64 ST		
	2/18/14	9,000	81.586	734.27	821.07	86.80 ST		
	3/31/14	20,000	87.093	1,741.85	1,824.60	82.75 ST		
	10/24/14	7,000	82.290	576.03	638.61	62.58 ST		
	10/24/14	13,000	82.290	1,069.77	1,185.99	116.22 ST		
Total		180,000		14,364.10	16,421.40	667.39 LT	345.00	2.10
<i>Share Price: \$91.230 Next Dividend Payable 02/2015</i>								
POLYONE CORP (POL)	3/17/14	62,000	36.948	2,290.76	2,350.42	59.66 ST	25.00	1.06
<i>Share Price: \$37.910 Next Dividend Payable 01/07/15</i>								
POSCO ADS (PKX)	7/8/11	4,000	109.580	438.32	255.24	(183.08) LT		
	8/3/11	7,000	106.481	745.37	446.67	(298.70) LT		
	11/16/11	1,000	84.910	84.91	63.81	(21.10) LT		
	12/14/11	4,000	83.648	334.59	255.24	(79.35) LT		

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KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/20/12	4 000	91 035	364 14	255 24	(108 90) LT		
	6/21/13	8 000	64 375	515 00	510 18	(1 52) LT		
	8/1/13	6 000	72 685	436 11	382 86	(53 25) LT		
	Total	34 000		2 918 44	2,169.54	(748 90) LT	54 00	2 48
Share Price \$63 810								
PRADA SPA UNSPON ADR (PRDSY)	2/14/14	89 000	16 048	1,428 30	1 006 76	(421 54) ST		
	3/17/14	49 000	14 679	719 28	554 28	(165 00) ST		
	6/11/14	34 000	13 997	475 89	381 60	(91 29) ST		
	Total	172 000		2 623 47	1,945.66	(677 83) ST	37 00	1 90
Share Price \$11 312								
PRAXAIR INC (PX)	3/10/09	63 000	57 430	3,618 09	8,162 28	4,544 19 LI A		
	12/10/09	6 000	82 680	496 08	777 36	281 28 LI		
	Total	69 000		1,114 17	8,939 64	4 825 47 LI	179 00	2 00
Share Price \$129 560, Next Dividend Payable 03/2015								
PRECISION CASTPARTS CORP (PCP)	9/20/12	10 000	161 075	1 610 75	2,408.80	798 05 LT	1 00	0 04
Share Price \$240 880, Next Dividend Payable 03/2015								
PRICELINE COM INC NEW (PLCN)	3/7/14	8 000	1 170 300	9,362 40	9,121.68	(240 72) ST	—	—
Share Price \$1 140 210								
PROSIEBENSAT 1 MEDIA AG ADR (PBSFY)	8/5/14	164 000	10 259	1,682 46	1,724 46	42 00 ST		
	10/21/14	68 000	9 866	670 92	715 02	44 10 ST		
	Total	232 000		2 353 38	2,439 48	86 10 ST	82 00	3 36
Share Price \$10 515								
PT BK MANDIRI PERSERO TBK UNSP (PPERY)	8/29/13	250 000	6 186	1,546 40	2,170 00	623 60 LT		
	11/21/13	90 000	6 496	584 65	781 20	196 55 LT		
	Total	340 000		2,131 05	2,951.20	820 15 LT	101 00	3 42
Share Price \$8 680								
PTC INC COM (PTC)	3/17/14	61 000	37 855	2 309 15	2,235 65	(73 50) ST	—	—
Share Price \$36 650								
PUBLIC STORAGE (PSA)	8/7/12	9 000	145 906	1,313 15	1 663 65	350 50 LT		
	10/16/13	8 000	170 486	1,363 89	1 478 80	114 91 LT		
	11/6/14	9 000	172 174	1,549 57	1,663 65	111 08 ST		
	11/23/14	5 000	174 604	873 02	924 25	51 23 ST		
	8/29/14	4 000	174 918	699 67	739 40	39 73 ST		
	Total	35 000		5,799 30	6,469 75	465 41 LT	196 00	3 02
Share Price \$184 800, Next Dividend Payable 03/2015								

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PUBLICIS GROUPE SA ADS (PUBGY)	11/26/10	20 000	11 460	229 19	356 80	127 61 LI		
	11/29/10	32 000	11 342	362 95	570 88	207 93 LI		
	11/30/10	52 000	11 266	585 83	927 68	341 85 LI		
	2/6/12	10 000	13 085	130 85	178 40	47 55 LI		
	3/6/12	32 000	13 300	425 59	570 88	145 29 LI		
	1/11/12	18 000	13 240	238 32	220 80 LI	(17 52) LI		
	1/30/13	24 000	16 603	398 47	428 16	29 69 LI		
	10/21/14	56 000	16 811	941 42	999 04	57 62 ST		
	Total	274 000		3 709 82	4,888 16	1 120 72 LI 57 62 ST	79 00	1 61
<i>Share Price: \$17 840</i>								
QIAGEN NV ORD (QGEN)	7/7/14	100 000	24 589	2 458 88	2,346 00	(112 88) ST	—	—
<i>Share Price: \$23 460</i>								
QUALCOMM INC (QCOM)	3/10/09	88 000	34 818	3 063 96	6,541 04	3 477 08 LI A		
	3/10/09	3 000	34 818	104 45	272 99	168 54 LI A		
	3/10/09	1 000	34 818	34 82	74 33	39 51 LI A		
	3/10/09	2 000	34 818	69 64	148 66	79 02 LI A		
	3/10/09	1 000	34 818	34 82	74 33	39 51 LI A		
	3/10/09	4 000	34 818	139 27	297 32	158 05 LI A		
	3/10/09	5 000	34 818	174 09	371 65	197 56 LI A		
	12/10/09	23 000	45 610	1,049 03	1,709 59	660 56 LI		
	6/3/13	6 000	62 898	377 39	445 98	68 59 LI		
	6/3/13	23 000	62 898	1 416 65	1 709 59	262 94 LI		
	10/16/13	1 000	68 850	68 85	74 33	5 48 LI		
	10/16/13	37 000	68 853	2,547 57	2 750 21	202 64 LI		
	3/13/14	12 000	76 623	919 48	891 96	(27 52) ST		
	11/11/14	22 000	69 285	1,524 27	1,635 26	110 99 ST		
	11/11/14	16 000	69 285	1 108 56	1 189 28	80 72 ST		
	12/8/14	16 000	72 732	1,163 71	1 189 28	25 57 ST		
	12/17/14	10 000	71 479	714 79	743 30	28 51 ST		
	Total	270 000		14,541 35	20,069 10	5 309 48 LI 218 27 ST	45 00	2 25
<i>Share Price: \$74 330 Next Dividend Payable 03/2015</i>								
QUEST DIAGNOSTICS INC (DGX)	10/2/13	34 000	62 441	2,123 01	2 280 04	157 03 LI		
	1/28/14	5 000	53 452	267 26	335 30	68 04 ST		
	2/25/14	26 000	53 205	1,383 34	1 743 56	360 22 ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/2/14	17 000	60 996	731 95	804 72	72 77 ST		
	Total	17 000		4 505 56	5,163 62	157 031 LT 501 03 ST	102 00	1 97
<i>Share Price: \$67 060, Next Dividend Payable 01/2015</i>								
R P M INC (RPM)	10/22/13	58 000	38 248	2 218 41	2,941.18	722 77 LT	60 00	2 03
<i>Share Price: \$50 710, Next Dividend Payable 01/2015</i>								
RADIAN GROUP INC (RDN)	4/30/13	82 000	12 038	987 08	1,371 04	383 96 LT		
	12/19/13	94 000	14 289	1 343 21	1,571 68	228 47 LT		
	9/17/14	68 000	14 978	1,018 52	1,136 96	118 44 ST		
	Total	244 000		3 348 81	4,079 68	612 43 LT 118 44 ST	2 00	0 04
<i>Share Price: \$16 720, Next Dividend Payable 03/2015</i>								
RED HAT INC (RHT)	8/12/11	27 000	37 601	1 015 22	1 866 78	851 56 LT		
	8/26/11	1 000	39 095	156 38	276 56	120 18 LT H		
	9/9/11	4 000	37 162	148 65	276 56	127 91 LT		
	9/9/11	5 000	37 162	185 81	345 70	159 89 LT		
	11/16/11	2 000	52 820	105 64	138 28	32 64 LT		
	Total	42 000		1 611 70	2,903 88	1,292 18 LT	—	—
<i>Share Price: \$69 140 Basis Adjustment Due to Wash Sale: \$7 73</i>								
REED ELSEVIER PLC - SPONS ADR (RUK)	12/10/09	27 000	30 868	833 44	1 837 35	1 003 91 LT		
	12/29/10	6 000	33 160	198 96	408 30	209 34 LT		
	11/16/11	22 000	33 160	729 52	1,197 10	767 58 LT		
	2/21/12	9 000	34 860	313 74	612 45	298 71 LT		
	7/31/12	14 000	33 840	473 76	952 70	478 94 LT		
	Total	78 000		2 549 42	5,307.90	2 758 48 LT	130 00	2 44
<i>Share Price: \$68 050</i>								
REGAL ENTERTAINMENT GRP CL A (RGC)	12/17/12	128 000	13 878	1 776 35	2,734 08	957 73 LT		
	1/7/13	66 000	13 969	921 97	1,409 76	487 79 LT		
	7/31/14	46 000	19 494	896 72	982 56	85 84 ST		
	Total	240 000		3,595 04	5,126 40	1,445 52 LT 85 84 ST	211 00	4 11
<i>Share Price: \$21 460 Next Dividend Payable 03/2015</i>								
REINSURANCE GROUP OF AMERICA (RGA)	1/5/11	16 000	55 835	893 36	1 401 92	508 56 LT		
	1/21/11	19 000	58 178	1,105 39	1,664 78	559 39 LT		
	11/16/11	3 000	52 250	156 75	262 86	106 11 LT		

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		38 000		2 155 50	3,329 56	1 174 06 LT	50 00	1 50
<i>Share Price: \$87.620 Next Dividend Payable 02/2015</i>								
REXAM PLC (REXY)	12/10/09	11 000	31 336	344 70	383 24	38 54 LT		
	1/10/11	12 000	36 078	432 94	418 08	(14 86) LT		
	7/29/11	2 000	45 990	91 98	69 68	(22 30) LT		
	11/16/11	5 000	20 792	103 96	174 20	70 24 LT		
	Total	30 000		973 58	1,045 20	71 62 LT	47 00	4 49
<i>Share Price: \$34.840</i>								
REYNOLDS AMERICAN INC (RAI)	6/12/14	10 000	59 426	594 26	642 70	48 44 ST		
	8/29/14	94 000	56 382	5,187 93	6 041 38	553 45 ST		
	Total	104 000		6,082 19	6,684 08	601 89 ST	279 00	4 17
<i>Share Price: \$64.270 Next Dividend Payable 01 02/15</i>								
ROCHE HOLDINGS ADR (RHHBY)	3/11/09	32 000	15 305	489 76	1,087 68	597 92 LT A		
	3/11/09	4 000	15 305	61 22	135 96	74 74 LT A		
	12/10/09	74 000	20 575	1 522 55	2 515 26	992 71 LT		
	12/10/09	62 000	20 575	1 275 65	2,107 38	831 73 LT		
	12/10/09	6 000	20 575	123 45	203 94	80 49 LT		
	12/10/09	4 000	20 575	82 30	135 96	53 66 LT		
	12/10/09	4 000	20 575	82 30	135 96	53 66 LT		
	12/16/09	6 000	20 980	125 88	203 94	78 06 LT		
	1/29/10	6 000	21 290	127 74	203 94	76 20 LT		
	5/28/10	18 000	17 210	309 78	611 82	302 04 LT		
	3/25/11	10 000	17 790	177 90	339 90	162 00 LT		
	11/16/11	2 000	19 625	39 25	67 98	28 73 LT		
	11/16/11	8 000	19 625	157 00	271 92	114 92 LT		
	9/10/12	12 000	23 275	279 30	407 88	128 58 LT		
	9/10/12	20 000	23 275	465 50	679 80	214 30 LT		
	10/22/12	16 000	26 073	401 17	543 84	142 67 LT		
	1/28/13	92 000	27 321	2 513 54	3,127 08	613 54 LT		
	1/28/13	8 000	27 321	218 57	271 92	53 35 LT		
	4/19/13	4 000	30 638	122 55	135 96	13 41 LT		
	4/19/13	72 000	30 637	2 205 85	2 447 28	241 43 LT		
	4/19/13	4 000	30 638	122 55	135 96	13 41 LT		
	1/22/14	10 000	34 273	342 73	339 90	(2 83) ST		
	1/22/14	36 000	34 273	1 233 84	1 221 64	(10 20) ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/20/14	52 000	35 802	1 861 70	1,767 48	(94 22) ST		
	10/20/14	8 000	35 803	286 42	271 92	(14 50) ST		
Total		570 000		14 628 50	19,374 30	4 867 55 LT (121 75) ST	522 00	2 70
<i>Share Price: \$33 990</i>								
ROCKWELL COLLINS INC (COL)	9/11/09	13 000	29 900	388 70	1,098 24	709 54 LT A		
	1/18/11	16 000	62 975	1 007 60	1 351 68	344 08 LT		
	1/29/11	4 000	55 425	221 70	337 92	116 22 LT		
	3/11/13	15 000	61 006	915 09	1 267 20	352 11 LT		
	8/19/13	11 000	72 170	793 87	629 28	165 41 LT		
Total		59 000		3,326 96	4,984 32	1 657 36 LT	71 00	1 42
<i>Share Price: \$84 180, Next Dividend Payable 03/2015</i>								
ROSS STORES INC (ROST)	5/1/12	38 000	62 802	2,386 48	3,581.88	1 195 40 LT	30 00	0 83
<i>Share Price: \$94 260, Next Dividend Payable 03/2015</i>								
ROYAL DUTCH SHELL PLC CL B (RDSA)	12/10/09	18 000	57 190	1 029 42	1,252 08	222 66 LT		
	12/10/09	3 000	57 190	171 57	208 68	37 11 LT		
	12/10/09	3 000	57 190	171 57	208 68	37 11 LT		
	4/16/10	8 000	58 860	470 88	556 48	85 60 LT		
	8/3/10	6 000	56 175	337 05	417 36	80 31 LT		
	8/11/10	13 000	55 778	725 11	901 28	176 17 LT		
	12/27/10	12 000	65 900	790 80	834 72	43 92 LT		
	12/28/10	11 000	66 290	729 19	765 16	35 97 LT		
	6/14/11	5 000	70 960	354 80	317 80	(37 00) LT		
	11/16/11	2 000	73 080	146 16	139 12	(7 04) LT		
	11/16/11	4 000	73 070	292 28	278 24	(14 04) LT		
	5/17/13	7 000	70 119	490 83	486 92	(3 91) LT		
Total		92 000		5,703 66	6,399 52	689 86 LT	346 00	5 41
<i>Share Price: \$69 560, Next Dividend Payable 03/2015</i>								
SANOFI ADR (SNY)	3/25/13	21 000	50 110	1 052 30	957 81	(94 49) LT		
	3/25/13	54 000	50 110	2 705 92	2 462 94	(242 98) LT		
	4/19/13	10 000	53 026	530 26	456 10	(74 16) LT		
Total		85 000		4,288 48	3,876 85	(411 63) LT	112 00	2 88
<i>Share Price: \$45 610</i>								
SAP AG (SAP)	3/11/09	1 000	33 730	33 73	69 65	35 92 LT A		
	12/10/09	15 000	44 360	665 40	1 044 75	379 35 LT		
	12/10/09	1 000	44 360	44 36	69 65	25 29 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/1/10	10 000	16 615	166 15	696 50	230 35 LT		
	10/27/10	8 000	51 669	113 35	547 20	113 85 LT		
	12/29/10	4 000	50 640	202 56	278 60	76 04 LT		
	12/29/10	5 000	50 640	253 20	348 25	95 05 LT		
	12/29/10	2 000	50 640	101 28	139 30	38 02 LT		
	12/29/10	1 000	50 640	50 64	69 65	19 01 LT		
	6/28/11	4 000	59 190	236 76	278 60	41 84 LT		
	8/1/11	6 000	60 630	363 78	417 90	54 12 LT		
	8/1/11	2 000	60 630	121 26	139 30	18 04 LT		
	10/28/11	6 000	62 255	373 53	417 90	44 37 LT		
	11/16/11	1 000	59 740	59 74	69 65	9 91 LT		
	11/16/11	4 000	59 690	238 76	278 60	39 84 LT		
	5/21/12	9 000	58 318	524 86	626 85	101 99 LT		
	5/21/12	1 000	58 320	58 32	69 65	11 33 LT		
	6/4/12	5 000	55 008	275 04	348 25	73 21 LT		
	6/4/12	1 000	55 010	55 01	69 65	14 64 LT		
	5/6/14	7 000	78 240	547 68	487 55	(60 13) ST		
	5/16/14	13 000	75 722	984 38	905 45	(78 93) ST		
	5/16/14	2 000	75 720	151 44	139 30	(12 14) ST		
Total		108 000		6 221 23	7,522.20	1 452 17 LT (151 20) ST	192 00	2 55

Share Price: \$69 650

SBA COMMUNICATIONS CORP (SBAC)

5/23/11

44 000

39 358

1,731 77

4,873 44

3 141 67 LT

—

—

Share Price: \$110 760

SCHLUMBERGER LTD (SLB)

3/11/09

27 000

38 737

1 045 89

2,306 07

1,260 18 LT A

11/16/11

8 000

76 123

608 98

683 28

74 30 LT

3/20/12

11 000

75 594

831 53

939 51

107 98 LT

3/20/12

12 000

75 594

907 12

1,024 92

117 80 LT

3/20/12

8 000

75 594

604 75

683 28

78 53 LT

6/28/12

12 000

61 890

742 68

1 024 92

282 21 LT

6/28/12

10 000

61 890

618 90

854 10

235 20 LT

4/19/13

12 000

69 605

835 26

1 024 92

189 66 LT

4/19/13

6 000

69 605

417 63

512 46

94 83 LT

Share Price: \$85 410 Next Dividend Payable 01/09/15

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
SCHNEIDER ELEC SA UNSP ADR (SBGSY)	8/23/11	58 000	12 650	733 70	835 78	102 08 LT		
	11/16/11	2 000	10 670	21 34	28 82	7 48 LT		
	1/31/12	8 000	12 490	99 92	115 28	15 36 LT		
	5/15/12	62 000	11 200	694 39	893 42	199 03 LT		
	10/31/13	64 000	16 904	1 081 86	922 24	(159 62) LT		
	5/8/14	38 000	18 992	721 70	547 58	(174 12) ST		
	Total	242 000		3,352 91	3,343.12	164 33 LT (174 12) ST	70 00	2 09
<i>Share Price: \$14 410</i>								
SEMPRA ENERGY (SRE)	1/30/10	10 000	49 422	494 22	1 113 60	619 38 LT		
	4/30/10	3 000	49 422	148 27	334 08	185 81 LT		
	4/30/10	9 000	49 122	444 80	1 002 24	557 44 LT		
	4/30/10	1 000	49 122	49 42	111 36	61 94 LT		
	12/29/10	11 000	52 350	575 85	1 224 96	649 11 LT		
	7/16/14	21 000	101 994	2 447 85	2 672 64	224 79 ST		
	11/5/14	6 000	112 317	673 90	668 16	(5 74) ST		
	Total	64 000		4,834 31	7,127 04	2,073 68 LT 219 05 ST	169 00	2 37
<i>Share Price: \$111 360 Next Dividend Payable 01/15/15</i>								
SERCO GROUP PLC UNSPON ADR (SECCY)	2/5/11	156 000	6 922	1,079 77	385 32	(694 45) ST	24 00	6 22
<i>Share Price: \$2 470</i>								
SHINHAN FINANCIAL GROUP CO LTD (SHG)	8/4/11	18 000	46 287	833 16	727 02	(106 14) LT		
	1/31/12	2 000	39 640	79 28	80 78	1 50 LT		
	10/24/13	12 000	43 913	526 96	484 68	(42 28) LT		
	Total	32 000		1 439 40	1,292.48	(146 92) LT	—	—
<i>Share Price: \$40 390</i>								
SHIRE PLC ADR (SHPG)	11/4/13	9 000	134 729	1,212 56	1,912 86	700 30 LT		
	4/10/14	1 000	146 620	146 62	212 54	65 92 ST		
	4/10/14	2 000	116 650	293 30	425 08	131 78 ST		
	Total	12 000		1,652 48	2,550 48	700 30 LT 197 70 ST	7 00	0 27
<i>Share Price: \$212 540</i>								
SIEMENS AKTIENGESELLSCHAFT (SIEGY)	12/10/09	14 000	86 986	1 217 81	1 568 00	350 19 LT		
	12/29/10	3 000	120 437	361 31	336 00	(25 31) LT		
	9/27/11	5 000	91 190	455 95	560 00	104 05 LT		
	11/16/11	2 000	95 700	191 40	221 00	32 60 LT		
	3/30/12	4 000	97 155	388 62	448 00	59 38 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/3/12	8 000	84 926	679 41	896 00	216 59 LT		
	Total	36 000		3 294 50	4,032.00	737 50 LT	107 00	2 65
<i>Share Price: \$112 000</i>								
SIGNATURE BANK (SBNY)	8/5/09	2 000	30 233	60 47	251 92	191 45 LT A		
	11/3/09	15 000	31 057	465 86	1 889 40	1,423 54 LT A		
	2/8/10	6 000	34 160	204 96	755 76	550 80 LT		
	11/3/10	5 000	42 506	212 53	629 80	417 27 LT		
	Total	28 000		913 82	3,526 88	2,583 06 LT	—	—
<i>Share Price: \$125 960</i>								
SIMON PPTY GROUP INC (SPG)	10/16/13	3 000	147 408	442 22	546 33	104 11 LT		
	3/31/14	8 000	154 721	1 237 77	1 456 88	219 11 ST		
	7/16/14	18 000	170 423	3,067 62	3,277 98	210 36 ST		
	1/23/14	7 000	171 090	1,197 63	1 274 77	77 14 ST		
	Total	36 000		5 945 24	6,555 96	104 11 LT	187 00	2 85
						506 61 ST		
<i>Share Price: \$182 110 Next Dividend Payable 02/2015</i>								
SINGAPORE TELECOM LTD ADR NEW (SGAPY)	12/10/09	85 000	21 550	1 831 75	2,504 10	672 35 LT		
	12/29/10	19 000	23 860	453 34	559 74	106 40 LT		
	Total	104 000		2 285 09	3,063 84	778 75 LT	134 00	4 37
<i>Share Price: \$29 460</i>								
SKY PLC (SKYAY)	8/2/13	17 000	51 352	872 98	947 24	74 26 LT		
	9/18/13	9 000	55 223	497 01	501 48	4 47 LT		
	9/18/13	9 000	55 223	497 01	501 48	1 47 LT		
	1/16/14	8 000	60 680	485 44	445 76	(39 68) ST		
	4/16/14	8 000	60 680	485 44	445 76	(39 68) ST		
	8/29/14	4 000	58 673	234 69	222 88	(11 81) ST		
	8/29/14	4 000	58 673	234 69	222 88	(11 81) ST		
	8/29/14	33 000	58 672	1,936 17	1 838 76	(97 41) ST		
	9/10/14	16 000	47 495	919 92	891 52	(28 40) ST		
	9/10/14	1 000	57 500	57 50	55 72	(1 78) ST		
	9/10/14	1 000	57 500	57 50	55 72	(1 78) ST		
	9/10/14	16 000	57 495	919 92	891 52	(28 40) ST		
	9/25/14	14 000	57 635	806 89	780 08	(26 81) ST		
	9/25/14	14 000	57 635	806 89	780 08	(26 81) ST		
	10/13/14	2 000	57 170	111 34	111 41	(2 90) ST		
	10/13/14	10 000	57 170	571 70	557 20	(14 50) ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/13/14	10 000	57 170	571 70	557 20	(14 50) ST		
	10/13/14	2 000	57 170	114 34	111 44	(2 90) ST		
	Total	128 000		10,184 13	9,918 16	83 20 LT (349 17) ST	566 00	3 69
<i>Share Price \$55 720</i>								
SKYWORKS SOLUTIONS INC (SWKS)	11/18/10	86 000	23 940	2,058 82	6 253 06	4 194 24 LT		
	1/31/12	11 000	21 630	237 93	799 81	561 88 LT		
	Total	97 000		2,296 75	7,052.87	4 756 12 LT	50 00	0 70
<i>Share Price \$72 710 Next Dividend Payable 03/01/15</i>								
SLM CORPORATION (SLM)	12/24/14	356 000	10 307	3,669 36	3,627 64	(41 72) ST		
<i>Share Price \$10 190</i>								
SMITH & NEPHEW PLC ADR (SNN)	3/11/09	2 000	12 148	24 90	73 48	48 58 LT A		
	5/5/11	27 000	22 855	617 09	991 98	374 89 LT		
	11/16/11	2 000	23 055	46 11	73 48	27 37 LT		
	9/21/12	25 000	22 306	557 64	918 50	360 86 LT		
	12/5/13	31 000	26 614	825 03	1 138 94	313 91 LT		
	Total	87 000		2,070 77	3,196 38	1,125 61 LT	36 00	1 12
<i>Share Price \$36 740</i>								
SOLERA HOLDINGS INC (SLH)	12/10/09	43 000	35 648	1 533 32	2,200 74	667 42 LT		
	11/16/11	2 000	48 880	97 76	102 36	4 60 LT		
	Total	45 000		1,631 08	2,303 10	672 02 LT	34 00	1 51
<i>Share Price \$51 180 Next Dividend Payable 03/20/15</i>								
SONOCO PRODUCTS CO (SON)	8/25/11	38 000	28 883	1,097 57	1 660 60	563 03 LT		
	10/21/11	25 000	29 988	749 69	1,092 50	342 81 LT		
	Total	63 000		1 847 26	2,753 10	905 84 LT	81 00	2 94
<i>Share Price \$43 700 Next Dividend Payable 03/20/15</i>								
ST JUDE MEDICAL INC (STJ)	5/18/11	29 000	52 493	1 525 21	1,885 87	360 66 LT		
	7/29/11	23 000	46 902	1 078 75	1 495 69	416 94 LT		
	11/16/11	24 000	39 566	949 59	1,560 72	611 13 LT		
	11/16/11	8 000	39 560	316 48	520 24	203 76 LT		
	Total	84 000		3 870 03	5,462.52	1 592 49 LT	91 00	1 66
<i>Share Price \$65 030 Next Dividend Payable 01/30/15</i>								
STAPLES INC (SPLS)	5/11/13	102 000	13 742	1,401 66	1 848 24	446 58 LT		
	6/11/13	48 000	15 586	748 11	869 76	121 65 LT		
	9/6/13	26 000	14 170	368 42	471 12	102 70 LT		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		176 000		2 518 19	3,189 12	670 93 LT	84 00	2 63
<i>Share Price: \$18 120, Next Dividend Payable 01/15/15</i>								
STARBUCKS CORP WASHINGTON (SBUX)	9/5/14	130 000	77 742	10,106 43	10,666.50	560 07 ST	166 00	1 55
<i>Share Price: \$82 050, Next Dividend Payable 02/20/15</i>								
STARZ SERIES A (STRZA)	12/13/10	3 000	6 438	19 31	89 10	69 79 LT		
	3/24/11	1 000	8 110	8 11	29 70	21 59 LT		
	3/24/11	5 000	7 560	37 80	148 50	110 70 LT		
	2/13/13	75 000	18 653	1 398 97	2 227 50	828 53 LT		
Total		84 000		1,464 19	2,494 80	1,030 61 LT	—	—
<i>Share Price: \$29 700</i>								
STERICYCLE INC (SRCL)	12/10/09	26 000	57 620	1 498 12	3,408 08	1 909 96 LT	—	—
<i>Share Price: \$131 080</i>								
STRYKER CORP (SYK)	3/25/13	37 000	64 578	2 389 40	3,490 21	1 100 81 LT		
	4/19/13	19 000	64 234	1 220 44	1 792 27	571 83 LT		
Total		56 000		3 609 84	5,282.48	1 672 64 LT	77 00	1 45
<i>Share Price: \$94 330, Next Dividend Payable 01/30/15</i>								
SUN COMMUNITIES INC (SUI)	1/11/12	46 000	33 791	1,554 37	2 781 16	1,226 79 LT R		
	1/23/12	10 000	35 703	357 03	604 60	247 57 LT R		
	2/6/12	7 000	38 116	266 81	423 22	156 41 LT R		
	9/25/12	20 000	42 291	845 82	1,209 20	363 38 LT R		
	12/5/13	14 000	40 760	570 64	846 44	275 80 LT		
Total		97 000		3 594 67	5,864.62	2 269 95 LT	252 00	4 29
<i>Share Price: \$60 460, Next Dividend Payable 01/16/15</i>								
SUNCOR ENERGY INC NEW COM (SU)	7/8/11	28 000	40 566	1 135 86	889 84	(246 02) LT		
	7/8/11	4 000	48 790	195 16	127 12	(68 04) LT H		
	7/29/11	4 000	38 429	153 71	127 12	(26 59) LT		
	7/29/11	15 000	38 429	576 43	476 70	(99 73) LT		
	10/11/11	20 000	28 085	561 70	635 60	73 90 LT		
	5/23/12	9 000	27 210	245 16	286 02	40 86 LT		
	8/6/12	2 000	31 930	63 86	63 56	(0 30) LT		
	8/6/12	19 000	31 930	606 67	603 82	(2 85) LT		
	8/6/12	1 000	31 930	31 93	31 78	(0 15) LT		
	8/30/12	2 000	31 255	62 51	63 56	1 05 LT		
	8/30/12	14 000	31 254	437 56	444 92	7 36 LT		
	5/1/13	20 000	30 530	610 60	635 60	25 00 LT		
	1/30/14	3 000	38 347	115 19	95 34	(19 85) ST		

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/30/14	17 000	38 397	652 75	540 26	(112 49) ST		
	10/10/11	14 000	33 230	465 22	441 92	(20 30) ST		
Total		172 000		5,911 31	5,466 16	(295 51) LT (152 64) ST	166 00	3 05

Share Price \$31.780 Next Dividend Payable 03/2015, Basis Adjustment Due to Wash Sale \$41.44

SUNTORY BEVERAGE AND FOOD LTD (STBFY)	12/9/13	102 000	16 020	1 631 05	1 758 48	124 43 LT		
	6/27/14	40 000	19 287	771 47	689 60	(81 87) ST		
Total		142 000		2,405 52	2,448 08	124 43 LT (81 87) ST	43 00	1 75

Share Price \$17.240

SUNTRUST BKS (STI)	3/30/12	5 000	24 198	120 99	209 50	88 51 LT		
	6/3/13	58 000	32 193	1 867 17	2 430 20	563 03 LT		
	6/18/13	35 000	31 828	1 113 98	1 466 50	352 52 LT		
	6/18/13	3 000	31 827	95 48	125 70	30 22 LT		
	8/13/13	19 000	34 710	659 48	796 10	136 62 LT		
	8/29/14	4 000	38 090	152 36	167 60	15 24 ST		
	8/29/14	48 000	38 090	1 828 31	2,011 20	182 89 ST		
Total		172 000		5,837 77	7,206 80	1 170 90 LT 198 13 ST	137 00	1 90

Share Price \$41.900 Next Dividend Payable 03/2015

SWIRE PACIFIC SPN ADR LTD CL A (SWRAY)	12/10/09	57 000	12 350	703 95	739 29	35 34 LT		
	12/29/10	21 000	16 110	344 61	272 37	(72 24) LT		
	11/16/11	4 000	12 280	49 12	51 88	2 76 LT		
Total		82 000		1,097 68	1,063.54	(34 14) LT	35 00	3 29

Share Price \$12.970

SWISS RE LTD SPONSORED ADR (SSREY)	6/6/11	27 000	59 500	1,606 50	2 283 39	676 89 LT		
	11/16/11	3 000	52 500	157 50	253 71	96 21 LT		
	8/1/12	10 000	63 084	630 84	845 70	214 86 LT		
Total		40 000		2,394 84	3,382.80	987 96 LT	173 00	5 11

Share Price \$84.570

SYNCHRONY FINANCIAL (SYF)	9/8/14	95 000	25 470	2,419 65	2,826.25	406 60 ST	—	—
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Share Price \$29.750

SYNGENTA AG ADR (SYT)	12/10/09	16 000	54 938	879 32	1 027 84	148 52 LT		
	5/25/10	11 000	44 065	484 72	706 64	221 92 LT		
	11/29/11	3 000	63 830	191 49	192 72	1 23 LT		
	11/16/11	1 000	58 960	58 96	64 24	5 28 LT		

Account Detail

Select UMA Active Assets Account
759-019935-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/14/11	7 000	55 247	386 73	449 68	62 95 11		
	2/6/14	10 000	68 748	687 48	642 40	(45 08) 51		
	7/9/14	65 000	71 502	4 642 64	4,175 60	(667 04) 51		
	7/9/14	1 000	71 500	71 50	64 24	(10 26) 51		
	8/29/14	29 000	72 134	2 091 88	1 862 96	(228 92) 51		
	8/29/14	1 000	72 130	72 13	64 24	(7 89) 51		
Total		144 000		9 765 85	9,250.56	439 90 11 (969 19) 51	274 00	2 96

Share Price: \$64.210

SYSCO CORP (SYV)	9/28/12	57 000	31 171	1 776 76	2,262 33	485 57 11		
	11/5/12	24 000	30 618	888 80	1 151 01	262 21 11		
	12/27/12	28 000	31 125	874 89	1 111 32	231 43 11		
Total		114 000		3 545 45	4,524.66	979 21 11	137 00	3 02

Share Price: \$39.690 Next Dividend Payable 01/23/15

TAIWAN SMCNDCR MFG CO LTD ADR (TSM)	12/10/09	13 000	10 758	139 85	290 94	151 09 11		
	12/10/09	29 000	10 758	311 98	649 02	337 04 11		
	10/21/10	47 000	10 399	488 73	1,051 86	563 13 11		
	12/29/10	20 000	12 230	244 60	447 60	203 00 11		
	12/29/10	18 000	12 230	220 14	402 84	182 70 11		
	11/16/11	11 000	12 796	140 76	246 18	105 42 11		
	11/16/11	30 000	12 818	384 54	671 40	286 86 11		
	10/18/13	25 000	19 120	478 00	559 50	81 50 11		
	7/9/14	62 000	16 729	1,037 20	1 387 56	350 36 51		
	7/9/14	8 000	16 729	133 83	179 04	45 21 51		
Total		263 000		3,579 63	5,885 94	1 910 74 11 395 57 51	105 00	1 78

Share Price: \$22.360

TALISMAN ENERGY INC (TLM)	3/11/09	21 000	9 488	199 25	164 43	(34 82) 11 A		
	4/23/10	19 000	17 158	326 00	148 77	(177 23) 11		
	10/7/10	21 000	17 730	372 33	164 43	(207 90) 11		
	3/25/11	5 000	21 420	122 10	39 15	(82 95) 11		
	10/14/11	63 000	13 131	835 96	414 99	(420 97) 11		
	11/16/11	9 000	11 170	127 53	70 47	(57 06) 11		
	12/19/12	30 000	11 440	343 19	234 90	(108 29) 11		
	6/21/13	56 000	11 463	641 93	438 48	(203 45) 11		
	1/17/14	41 000	11 304	463 45	321 03	(142 42) 51		

Account Detail

Select UMA Active Assets Account
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ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	9/9/14	111 000	9 911	1,100 11	869 13	(230 98) ST		
	Total	366 000		4,391 85	2,865.78	(1 152 67) LT (373 40) ST	99 00	3 45
<i>Share Price \$7 830 Next Dividend Payable 03/2015</i>								
TECHNIP-COFLEXIP (TKPPY)	3/21/14	69 000	25 277	1 744 13	1,020 85	(723 28) ST	37 00	3 62
<i>Share Price \$14 795</i>								
TECO ENERGY (TE)	9/17/12	106 000	17 347	1 838 81	2,171 94	333 13 LT		
	11/8/12	50 000	17 255	862 77	1,021 50	161 73 LT		
	2/5/13	14 000	16 950	237 30	286 86	49 56 LT		
	Total	170 000		2,938 88	3,483 30	544 42 LT	150 00	4 30
<i>Share Price \$20 190 Next Dividend Payable 02/2015</i>								
TELEFONICA SA ADR (TEF)	3/11/09	57 000	18 140	1,033 98	809 97	(224 01) LT A		
	12/10/09	15 000	28 263	423 95	213 15	(210 80) LT		
	12/29/10	15 000	22 687	340 30	213 15	(127 15) LT		
	10/12/11	28 000	21 117	591 28	397 88	(193 40) LT		
	11/16/11	13 000	18 730	243 49	184 73	(58 76) LT		
	6/17/13	42 000	13 724	576 40	596 82	20 42 LT		
	9/11/13	48 000	14 595	700 54	682 08	(18 46) LT		
	Total	218 000		3,909 94	3,097 78	(812 16) LT	159 00	5 13
<i>Share Price \$11 210</i>								
TELENOR ASA ADS (TELNY)	12/10/09	18 000	42 950	773 10	1,089 79	316 69 LT		
	12/29/10	7 000	48 150	337 05	423 81	86 76 LT		
	11/16/11	6 000	52 080	312 48	363 26	50 78 LT		
	5/31/12	15 000	44 104	661 56	908 16	246 60 LT		
	Total	46 000		2,084 19	2,785.02	700 83 LT	137 00	4 91
<i>Share Price \$60 544</i>								
TEREX CP NEW DEL (TEX)	9/2/10	27 000	20 069	541 86	752 76	210 90 LT		
	11/3/10	3 000	23 227	69 68	83 64	13 96 LT		
	3/23/11	13 000	36 900	479 70	362 44	(117 26) LT		
	11/16/11	3 000	16 770	50 31	83 64	33 33 LT		
	Total	46 000		1 141 55	1,282.48	140 93 LT	9 00	0 70
<i>Share Price \$27 880 Next Dividend Payable 03/2015</i>								
TESCO PLC SPONSORED ADR (TSCDY)	12/10/09	11 000	20 540	225 94	95 64	(130 30) LT		
	12/10/09	68 000	20 540	1,396 72	591 26	(805 46) LT		
	12/29/10	14 000	20 300	284 20	121 73	(162 47) LT		
	4/20/12	37 000	15 676	580 02	321 71	(258 31) LT		

Account Detail

Select UMA Active Assets Account
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ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	6/7/13	40 000	16 080	643 18	347 80	(295 38) LT		
	7/10/13	14 000	15 610	686 80	382 58	(304 22) LT		
	10/22/13	14 000	18 202	254 83	121 73	(133 10) LT		
	Total	2,28 000		4 071 74	1,982 46	(2 089 29) LT	124 00	6 25

Share Price \$8 695

TEVA PHARMACEUTICALS ADR (TEVA)	3/11/09	14 000	42 938	601 13	805 14	204 01 LT A		
	3/11/09	1 000	42 938	171 75	230 04	58 29 LT A		
	3/11/09	1 000	42 938	12 94	57 51	14 57 LT A		
	12/10/09	40 000	53 116	2 655 80	2 875 50	219 70 LT		
	12/29/10	1 000	51 590	207 96	230 04	22 08 LT		
	10/6/11	14 000	37 898	530 57	805 14	274 57 LT		
	11/16/11	2 000	40 520	81 04	115 02	33 98 LT		
	8/8/12	7 000	40 401	282 81	402 57	119 76 LT		
	10/31/13	36 000	37 315	1 343 34	2,070 36	727 02 LT		
	10/31/13	8 000	37 315	298 52	460 08	161 56 LT		
	11/20/13	4 000	40 000	160 00	230 04	70 04 LT		
	11/20/13	14 000	40 000	560 00	805 14	245 14 LT		
	5/20/14	10 000	49 691	496 91	575 10	78 19 ST		
	5/20/14	2 000	49 690	99 38	115 02	15 64 ST		
	6/26/14	3 000	52 413	157 24	172 53	15 29 ST		
	6/26/14	17 000	52 415	891 05	977 67	86 62 ST		
	7/7/14	6 000	54 340	326 04	345 06	19 02 ST		
	11/5/14	47 000	57 785	2 715 91	2,702 97	(12 94) ST		
	11/5/14	4 000	57 785	231 14	230 04	(1 10) ST		
	11/5/14	8 000	57 785	462 28	460 08	(2 20) ST		
	12/17/14	36 000	55 286	1,990 31	2 070 36	80 05 ST		
	12/17/14	2 000	55 285	110 57	115 02	4 45 ST		
	12/17/14	2 000	55 285	110 57	115 02	4 45 ST		
	Total	295 000		14,527 26	16,965.45	2,150 72 LT 287 47 ST	340 00	2 00

Share Price \$57 510, Next Dividend Payable 03/2015

THE MACERICH CO (MAC)	5/26/10	34 000	38 409	1 305 90	2 835 94	1,530 04 LT R		
	9/2/10	15 000	42 200	633 00	1 251 15	618 15 LT R		
	Total	49 000		1 938 90	4,087.09	2 148 19 LT	127 00	3 10

Share Price \$83 410, Next Dividend Payable 03/2015

Account Detail

Select UMA Active Assets Account
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KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
THERMO FISHER SCIENTIFIC (TMO)	3/10/09	29 000	33 810	980 49	3,633 41	2 652 92 LT A		
	11/16/11	4 000	48 910	195 64	501 16	305 52 LT		
	1/28/13	14 000	70 846	991 85	1 754 06	762 21 LT		
	5/9/14	15 000	116 730	1,750 95	1,879 35	128 40 ST		
	Total	62 000		3,918 93	7,767 98	3,720 65 LT 128 40 ST	37 00	0 47
<i>Share Price: \$125 290, Next Dividend Payable 01/15/15</i>								
THOR INDUSTRIES INC (THO)	5/9/14	43 000	60 930	2,619 99	2,402.41	(217 58) ST	46 00	1 91
<i>Share Price: \$55 870, Next Dividend Payable 01/13/15</i>								
TJX COS INC NEW (TJX)	6/9/10	10 000	22 560	225 60	685 80	460 20 LT		
	12/29/10	22 000	22 330	491 26	1 508 76	1 017 50 LT		
	12/29/10	60 000	22 330	1 339 80	4 111 80	2 775 00 LT		
	10/21/11	12 000	29 865	358 38	622 96	464 58 LT		
	5/15/14	43 000	58 336	2 508 45	2 948 94	440 49 ST		
	5/15/14	4 000	58 335	233 34	274 32	40 98 ST		
	5/15/14	29 000	58 336	1 691 74	1 988 82	297 08 ST		
	6/12/14	2 000	55 150	110 30	137 16	26 86 ST		
	6/12/14	20 000	55 149	1,102 97	1,371 60	268 63 ST		
	Total	202 000		8 061 84	13,853.16	4 717 28 LT 1 074 04 ST	141 00	1 01
<i>Share Price: \$68 580, Next Dividend Payable 03/20/15</i>								
TNT EXPRESS NV (TNEY)	3/1/13	188 000	7 553	1,419 89	1 247 37	(172 52) LT		
	5/16/13	87 000	7 708	670 63	577 24	(93 39) LT		
	6/26/13	120 000	7 508	900 92	796 19	(104 73) LT		
	10/23/13	20 000	9 375	187 49	132 69	(54 80) LT		
	Total	415 000		3,178 93	2,753.52	(425 44) LT	26 00	0 94
<i>Share Price: \$6 635</i>								
TOLL BROTHERS (TOL)	10/10/12	25 000	34 358	858 94	856 75	(2 19) LT		
	1/29/14	36 000	36 370	1 309 31	1 233 72	(75 59) ST		
	Total	61 000		2,168 25	2,090.47	(2 19) LT (75 59) ST	—	—
<i>Share Price: \$34 270</i>								
TOTAL S A SPON ADR (TOT)	7/3/13	6 000	48 316	289 90	307 20	17 30 LT		
	7/3/13	13 000	48 316	628 11	665 60	37 49 LT		
	7/3/13	12 000	48 316	579 79	614 40	34 61 LT		
	8/1/13	1 000	53 590	53 59	51 20	(2 39) LT		

Account Detail

Select UMA Active Assets Account
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KLEIN FAMILY FOUNDATION INC
ATTENTION CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/11/13	18,000	53.599	964.78	921.60	(43.18) LT		
	9/13/13	8,000	56.893	455.14	409.60	(45.54) LT		
	2/18/14	6,000	61.212	367.27	307.20	(60.07) ST		
Total		64,000		3,338.58	3,276.80	(61.71) LT	171.00	5.21
						(60.07) ST		

Share Price: \$51.200 Next Dividend Payable: 01/01/15

TOYOTA MOTOR CP ADR NEW (TM)	3/11/09	7 000	59 370	415 59	878 36	462 77 LT A
	3/11/09	14 000	59 370	831 18	1 756 72	925 54 LT A
	3/11/09	5 000	59 370	296 85	627 40	330 55 LT A
	2/4/10	7 000	71 600	501 20	878 36	377 16 LT
	12/29/10	2 000	78 940	157 88	240 96	93 08 LT
	12/29/10	3 000	78 940	236 82	376 44	139 62 LT
	3/15/11	4 000	78 915	315 66	501 92	186 26 LY
	11/16/11	2 000	64 050	128 10	250 96	122 86 LF
	5/9/13	8 000	118 525	948 20	1 003 84	55 64 LT
	1/9/14	6 000	120 263	721 58	752 88	31 30 ST
	9/2/14	1 000	116 070	116 07	125 48	9 41 ST
	9/2/14	7 000	116 071	812 50	878 36	65 86 ST
Total		66 000		5 481 63	8,281,68	2,693 48 LT
						106 57 ST
						190 00
						2 29

Shine Price \$1.25 180

TRINITY IND DELAWARE (TRN)	10/24/14	58 000	35 243	2 011 09	1,624 58	(419 51) ST	23 00	1 41
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Share Price \$28.010 Next Dividend Payable 01/2015

TURKCELL İLETİŞİM HİZM. AS NEW (TKC)	12/10/09	46 000	15 930	732 78	695 52	(37 26) LT	
	12/29/10	19 000	17 130	325 47	287 28	(38 19) LT	
	Total	65 000		1 058 25	982 80	(75 45) LT	— —

State Price \$15 120

UBS GROUP AG SHS (UBS)	2/6/13	56 000	17 167	961.37	954.80	(6 57) LT		
	8/1/13	50 000	19 885	994.25	852.50	(141 75) LT		
	4/14/14	28 000	19 842	555.57	477.40	(78 17) ST		
	5/8/14	20 000	20 941	118.82	311.00	(77 82) ST		
	Total	154 000		2 930.01	2,625.70	(148 32) LT	—	—
						(155 99) ST		

Share Price \$17.050

ULTRA PETROLEUM CORP (UPL)	5/16/13	93 000	21 938	2 040 20	1.223.88	(816 32) LT	—	—
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\$114 Price \$13.100

Account Detail

Select UMA Active Assets Account
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ATTENTION: CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
UNDER ARMOUR INC CL A (UA)	6/5/11	87 000	51 949	4 780 56	5,907 30	1 126 74 ST	—	—
Share Price: \$67 900								
UNION EVER NV NY SH NEW (UN)	5/6/11	50 000	32 509	1 625 43	1 952 00	326 57 LT		
	5/6/11	13 000	32 509	422 61	507 52	84 91 LT		
	11/16/11	3 000	33 110	99 33	117 12	17 79 LT		
	Total	66 000		2 147 37	2,576 64	429 27 LT	85 00	3 29
Share Price: \$39 040								
UNITED TECHNOLOGIES CORP (UTX)	3/10/09	12 000	40 430	2,910 96	8,280 00	5 369 04 LT A		
	3/10/09	8 000	39 920	319 36	920 00	600 64 LT A		
	3/10/09	4 000	39 920	159 68	460 00	300 32 LT A		
	3/10/09	2 000	40 430	80 86	230 00	149 14 LT A		
	12/29/10	5 000	79 330	396 65	575 00	178 35 LT		
	3/14/11	8 000	80 484	643 87	920 00	276 13 LT		
	8/24/11	7 000	69 979	489 85	805 00	315 15 LT		
	8/24/11	10 000	69 978	699 78	1 150 00	450 22 LT		
	4/18/12	10 000	81 350	813 50	1,150 00	336 50 LT		
	3/25/13	6 000	92 533	555 20	690 00	134 80 LT		
	Total	132 000		7,069 71	15,180.00	8 110 29 LT	311 00	2 04
Share Price: \$115 000 Next Dividend Payable 03/2015								
UNITEDHEALTH GP INC (UNH)	7/19/13	120 000	71 476	8 577 06	12,130.80	3 553 74 LT	180 00	1 48
Share Price: \$101 090 Next Dividend Payable 03/2015								
UTD OVERSEAS BK LTD SPON ADR (UOVEY)	5/28/14	105 000	35 238	3,700 01	3,881 85	181 84 ST	117 00	3 01
Share Price: \$36 970								
VERIFONE SYSTEMS INC (PAY)	1/24/13	42 000	35 441	1 488 53	1,562 40	73 87 LT		
	4/19/13	62 000	19 211	1 191 09	2,306 40	1 115 31 LT		
	Total	104 000		2,679 62	3,868.80	1 189 18 LT	—	—
Share Price: \$37 200								
VERIZON COMMUNICATIONS (VZ)	3/25/13	33 000	49 132	1,621 36	1 543 74	(77 62) LT		
	4/1/13	31 000	47 971	1,487 09	1,450 18	(36 91) LT II		
	2/21/14	29 000	48 115	1 395 34	1 356 62	(38 72) ST		
	3/13/14	57 000	46 337	2 641 23	2 666 46	25 23 ST		
	1/16/14	22 000	50 880	1 119 35	1 029 16	(90 19) ST		
	8/29/14	24 000	49 785	1 194 84	1,122 72	(72 12) ST		
	12/23/14	40 000	47 648	1,905 90	1,871 20	(34 70) ST		

Account Detail

Select UMA Active Assets Account
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KLEIN FAMILY FOUNDATION INC.
ATTENTION CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		236 000		11 365 11	11,040.08	(114 53) LT (210 50) ST	519 00	4 70
<i>Share Price: \$16 780, Next Dividend Payable 02/2015, Basis Adjustment Due to Wash Sale: \$50 63</i>								
VISA INC CL A (V)	5/21/13	46 000	182 138	8 378 33	12,061 20	3 682 87 LT	88 00	0 72
<i>Share Price: \$262 200, Next Dividend Payable 03/2015</i>								
VIVENDI SA UNSPON ADR (VIVHY)	3/4/11	50 000	28 300	1,415 00	1,241 00	(174 00) LT		
	11/16/11	6 000	15 443	92 66	148 92	56 26 LT		
	3/15/13	26 000	20 950	544 69	645 32	100 63 LT		
Total		82 000		2,052 35	2,035 24	(17 11) LT	—	—
<i>Share Price: \$24 820</i>								
VODAFONE GROUP PLC (VOD)	12/29/10	2 000	52 600	105 20	68 34	(36 36) LT		
	2/22/11	—	0 000	28 78	0 00	(28 78) LT		
	2/22/11	28 000	54 143	1 516 01	956 76	(559 25) LT		
	4/1/11	21 000	51 274	1 302 57	820 08	(482 49) LT		
	11/16/11	—	0 000	22 55	0 00	(22 55) LT		
	11/16/11	2 000	55 115	110 23	68 34	(41 89) LT		
	11/16/11	6 000	27 488	164 93	205 02	40 09 LT		
Total		62 000		3 255 27	2,118 54	(1 136 73) LT	112 00	5 28
<i>Share Price: \$31 170, Next Dividend Payable 02/04/15</i>								
W W GRAINGER INC (GWW)	6/6/14	18 000	266 149	4 801 18	4,588 02	(213 46) ST	78 00	1 70
<i>Share Price: \$251 890, Next Dividend Payable 03/2015</i>								
WABCO HLDGS INC (WBC)	2/10/11	6 000	57 961	347 77	628 68	280 91 LT		
	3/17/11	18 000	58 009	1,044 17	1,886 04	841 87 LT		
	4/1/11	2 000	62 970	125 94	209 56	83 62 LT		
Total		26 000		1 517 88	2,724 28	1 206 40 LT	—	—
<i>Share Price: \$104 780</i>								
WALT DISNEY CO HLDG CO (DIS)	1/26/12	18 000	39 500	711 00	1,695 42	984 42 LT		
	5/11/12	12 000	45 070	540 84	1,130 28	589 44 LT		
	5/14/13	113 000	67 407	7,616 96	10 611 47	3 026 51 LT		
	5/14/13	2 000	67 407	134 81	188 38	53 57 LT		
	5/14/13	2 000	67 407	134 81	188 38	53 57 LT		
	5/14/13	3 000	67 407	202 22	282 57	80 35 LT		
Total		150 000		9 340 64	14,128.50	4 787 86 LT	173 00	1 23
<i>Share Price: \$94 190, Next Dividend Payable 01/08/15</i>								
WELLS FARGO & CO NEW (WFC)	10/1/14	108 000	51 365	5 547 38	5,920.56	373 18 ST	151 00	2 55
<i>Share Price: \$54 820, Next Dividend Payable 03/2015</i>								

Account Detail

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KLEIN FAMILY FOUNDATION INC.
ATTENTION CARLA KLEIN

STOCKS

COMMON STOCKS (CONTINUED)

[illegible]

Account Detail

Select UMA Active Assets Account
759-019935-037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	56.5%	\$1,338,314.19	\$1,708,850.91	\$344,611.33 LT \$21,161.92 ST	\$32,546.00 \$0.00	1.90%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES MSCI EAFE ETF (EFA)	3/10/09	2,432.000	\$33.792	\$82,182.39	\$117,962.88	\$65,780.49 LT A		
	12/10/09	12.000	\$5.528	666.34	730.08	63.74 LT		
	12/27/10	46.000	\$7.358	2,638.47	2,798.64	160.17 LT		
	12/28/10	1.000	\$7.600	57.60	60.84	3.24 LT		
	12/29/10	246.000	\$8.088	14,289.65	14,966.64	676.99 LT		
	11/16/11	430.000	\$50.338	21,645.13	26,161.20	4,515.77 LT		
Total		3,167.000		121,479.88	192,680.28	71,200.40 LT	7,161.00	3.71
<i>Share Price: \$60.840, Next Dividend Payable 01/05/15</i>								
ISHARES MSCI SOUTHKOREA CP ETF (EWY)	10/31/14	160.000	\$8.598	9,375.66	8,846.40	(529.26) ST	106.00	1.19
<i>Share Price: \$55.290, Next Dividend Payable 01/05/15</i>								
ISHARES RUSSELL 2000 ETF (IWM)	12/10/09	3,670.000	\$60.129	220,673.80	439,005.40	218,331.60 LT	5,545.00	1.26
<i>Share Price: \$119.620, Next Dividend Payable 03/20/15</i>								
SPDR TRUST SERIES 1 (SPY)	12/10/09	1,996.000	\$110.728	221,013.09	410,257.84	189,244.75 LT		
	12/27/10	19.000	\$125.470	2,383.93	3,905.26	1,521.33 LT		
	6/1/11	85.000	\$129.550	11,011.75	17,470.90	6,459.15 LT		
Total		2,100.000		234,408.77	431,634.00	197,225.23 LT	8,054.00	1.86
<i>Share Price: \$205.540, Next Dividend Payable 01/30/15</i>								
		Percentage of Assets %		Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS		35.5%		\$585,938.11	\$1,072,166.08	\$486,757.23 LT (529.26) ST	\$20,866.00 \$0.00	1.95%

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759-019935-037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN

MUTUAL FUNDS

OTHER MUTUAL FUNDS

Total Purchases vs. Market Value is provided to assist you in comparing your Total Purchases (excluding reinvested distributions) with the current value of the mutual fund positions in your account.

Capital Gains Cash Distributions shown may reflect a net effect. If net cash flows are longer than in the account, it may not reflect all distributions received (cash or in-kind) but not limited to reinvestments in the prior year. In addition, this information on statements excludes transfers, bankruptcies of debt holders, and certain adjustments made in your account.

Net Value Increase/(Decrease) reflects the difference between your total purchases and the sum of the current value of the fund's shares and cash distributions. The calculation is for informational purposes only and does not reflect your total income and gain or loss rate should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
IVY GLOBAL NATURAL RESOURCES I (IGNIX)	3/10/09	1,531.546	\$10.450	\$78,702.81	\$123,517.35	\$44,814.54 LT A		
	1/31/12	1,126.388	18.910	21,299.99	18,472.76	(2,827.23) LT		
Purchases		8,657.934		100,002.80	141,990.11	41,987.31 LT		
Long Term Reinvestments		55.596		936.79	911.77	(25.02) LT		
Total		8,713.530		100,939.59	142,901.89	41,962.29 LT	—	—
Total Purchases vs Market Value				100,002.80	142,901.89			
Net Value Increase/(Decrease)					42,899.09			

Share Price: \$16.400, Dividend Cash: Capital Gains Cash

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	4.7%	\$100,939.59	\$142,901.89	\$41,962.29 LT	\$0.00	—
					\$0.00	

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$2,025,191.89	\$3,023,645.17	\$873,330.85 LT	\$53,462.00	1.77%
				\$20,632.66 ST	\$0.00	

TOTAL VALUE (includes accrued interest)

\$3,023,645.17

A - You executed this transaction elsewhere and later transferred the security to this account. Another financial institution has provided the transaction detail for this position.

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.

Z - Gain/(loss) information is unavailable as the cost basis is pending adjustments due to a corporate action.

Unrealized Gain/(loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating "Please Provide" are not included.