



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning , and ending

Name of foundation Sasser Family Foundation Inc		A Employer identification number 26-1385776
Number and street (or P.O. box number if mail is not delivered to street address) 7221 Broadway Street	Room/suite	B Telephone number (see instructions) 409-599-6144
City or town, state or province, country, and ZIP or foreign postal code Galveston TX 77554-8915		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 851,088	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	12,659	12,659		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	43,692			
	b Gross sales price for all assets on line 6a 281,547				
	7 Capital gain net income (from Part IV, line 2)		43,692		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	56,351	56,351	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 1	899			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 2	1,349	1,349		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 3	7,075	7,075		
	24 Total operating and administrative expenses. Add lines 13 through 23	9,323	8,424	0	0
	25 Contributions, gifts, grants paid	42,980			42,980
26 Total expenses and disbursements. Add lines 24 and 25	52,303	8,424	0	42,980	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	4,048				
b Net investment income (if negative, enter -0-)		47,927			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

DAA

Form 990-PF (2014)

G14

12

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash – non-interest-bearing						
	2 Savings and temporary cash investments						
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶						
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶	0					
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments – U S and state government obligations (attach schedule)						
	b Investments – corporate stock (attach schedule) See Stmt 4	680,722	715,612	715,612			
	c Investments – corporate bonds (attach schedule) See Stmt 5	81,374	135,476	135,476			
	11 Investments – land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶						
	12 Investments – mortgage loans						
	13 Investments – other (attach schedule)						
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶						
15 Other assets (describe ▶)	)						
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	762,096	851,088	851,088				
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶)	)					
23 Total liabilities (add lines 17 through 22)	0	0					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒						
	24 Unrestricted	762,096	851,088				
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg, and equipment fund						
	29 Retained earnings, accumulated income, endowment, or other funds						
	30 Total net assets or fund balances (see instructions)	762,096	851,088				
31 Total liabilities and net assets/fund balances (see instructions)	762,096	851,088					

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	762,096
2 Enter amount from Part I, line 27a	2	4,048
3 Other increases not included in line 2 (itemize) ▶ See Statement 6	3	84,950
4 Add lines 1, 2, and 3	4	851,094
5 Decreases not included in line 2 (itemize) ▶ See Statement 7	5	6
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	851,088

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Worksheet				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	43,692
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-1,343

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	62,137	689,546	0.090113
2012	33,194	623,488	0.053239
2011	36,326	641,403	0.056635
2010	25,000	603,701	0.041411
2009	26,854	542,218	0.049526

2 Total of line 1, column (d)	2	0.290924
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.058185
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	772,941
5 Multiply line 4 by line 3	5	44,974
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	479
7 Add lines 5 and 6	7	45,453
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	42,980

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	959
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	959
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	959
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,218
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,218
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	19
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	240
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax 240 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) None		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Barbara & Dominick Sasser 7221 Broadway Located at ► Galveston TX ZIP+4 ► 77554	Telephone no. ► 409-599-6144		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	N/A	1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b
		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dominick Sasser 7221 Broadway Galveston TX 77554	Director 0.50	0	0	0
Barbara Sasser 7221 Broadway Galveston TX 77554	Director 0.50	0	0	0
John H. Campbell 2200 Market, Ste 804 Galveston TX 77550	Director 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	784,712
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	784,712
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	784,712
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	11,771
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	772,941
6	Minimum investment return. Enter 5% of line 5	6	38,647

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	38,647
2a	Tax on investment income for 2014 from Part VI, line 5	2a	959
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	959
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	37,688
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	37,688
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	37,688

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	42,980
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,980
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,980

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				37,688
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				28,666
f Total of lines 3a through e	28,666			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 42,980				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2014 distributable amount				37,688
e Remaining amount distributed out of corpus	5,292			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	33,958			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	33,958			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013	28,666			
e Excess from 2014	5,292			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
N/A

b The form in which applications should be submitted and information and materials they should include:
N/A

c Any submission deadlines:
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 8				42,980
Total			▶ 3a	42,980
b Approved for future payment N/A				
Total			▶ 3b	

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2014
		For calendar year 2014, or tax year beginning		, and ending

Name Sasser Family Foundation Inc			Employer Identification Number 26-1385776	
---	--	--	---	--

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FFCB Bonds	P	12/06/07	01/16/14
(2) UBS Statement Attached	P	Various	Various
(3) UBS Statement Attached	P	Various	Various
(4) UBS Statement Attached	P	Various	Various
(5) UBS Statement Attached	P	Various	Various
(6) UBS Statement Attached	P	Various	Various
(7) UBS Statement Attached	P	Various	Various
(8) UBS Statement Attached	P	Various	Various
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 30,000		30,000	
(2) 97,251		97,835	-584
(3) 58,091		37,606	20,485
(4) 2,311		2,658	-347
(5) 54,831		38,372	16,459
(6) 5,643		6,055	-412
(7) 32,394		24,990	7,404
(8) 1,026		339	687
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			
(2)			-584
(3)			20,485
(4)			-347
(5)			16,459
(6)			-412
(7)			7,404
(8)			687
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting Fees	\$ 899		\$	\$
Total	\$ 899	0	0	0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
US Treasury	\$ 773	773	\$	\$
Foreign Taxes Paid	576	576		
Total	\$ 1,349	1,349	0	0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses			\$	\$
Investment Fees	7,075	7,075		
Total	\$ 7,075	7,075	0	0

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS Financial Services	\$ 680,722	\$ 715,612	Market	\$ 715,612
Total	\$ 680,722	\$ 715,612		\$ 715,612

Federal Statements

5/19/2015 4:56 PM

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
UBS Financial Services	\$ 81,374	\$ 135,476	Market	\$ 135,476
Total	\$ 81,374	\$ 135,476		\$ 135,476

Federal Statements

5/19/2015 4:56 PM

Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
Nondividend Distributions	\$ 153
Unrealized Gain on Investments	84,797
Total	<u>\$ 84,950</u>

Statement 7 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
Penalty	\$ 6
Total	<u>\$ 6</u>

Federal Statements

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the

Year

Name	Address	Relationship	Status	Purpose	Amount
Galveston Educational Foundation	Galveston TX 77553	3901 Ave T		Awards for good behavior	5,280
Fund for the City of New York	New York NY 10075	525 E. 80th St. Suite 11A		Scholarships	2,000
Big Brothers/Big Sisters	Galveston TX 77550	1413 23rd St. Ste 100		Programs	1,200
UTMB President's Cabinet	Galveston TX 77555	301 University Blvd.		Programs	1,000
St. Vincents House	Galveston TX 77550	2817 Alfreda Houston Pl		Programs	1,000
UTMB Health	Galveston TX 77555	301 University Blvd.		Baby Friendly Hospital Initiative	25,000
UTMB Nursing School	Galveston TX 77555	301 University Blvd.		Unrestricted	1,000
UTMB Graduate School	Galveston TX 77555	301 Univeristy Blvd.		Greatest needs	1,000
Boys & Girls Clubs/Greater Houston	Houston TX 77009	1520-A Airline Dr		Galveston Branch	500
Galveston County Food Bank	Texas City TX 77590	624 4th Avenue North		Programs	1,000
United Way of Galveston	Galveston TX 77553	PO Box 2250		Community initiative	4,000
Total					<u>42,980</u>

UBS FINANCIAL SERVICES INC.

Account UG 08702

Proceeds Not Reported to the IRS

3/14

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS
Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01608W102 / Symbol: BABA			639.82					
12/10/14	6,000			09/24/14	539.07	0.00	100.75	Sale
AMAZON.COM INC / CUSIP: 023135106 / Symbol: AMZN			397.44					
01/17/14	1,000			05/07/13	255.87	0.00	141.57	Sale
2 tax lots for 04/07/14.								
1,000		319.12		05/13/13	265.22	0.00	53.90	Sale
2,000		638.24		10/09/13	598.98	0.00	39.26	Sale
3,000		957.36		VARIOUS	864.20	0.00	93.16	Total of 2 lots
04/07/14								
5 tax lots for 04/08/14.								
1,000		323.50		10/23/13	325.61	0.00	-2.11	Sale
1,000		323.50		10/23/13	325.86	0.00	-2.36	Sale
2,000		646.99		02/20/14	696.40	0.00	-49.41	Sale
1,000		323.50		03/25/14	355.63	0.00	-32.13	Sale
1,000		323.50		04/01/14	343.25	0.00	-19.75	Sale
6,000		1,940.99		VARIOUS	2,046.75	0.00	-105.76	Total of 5 lots
04/08/14								
Security total:			3,295.79		3,168.82	0.00	128.97	
AMERICAN AIRLS GROUP INC / CUSIP: 02376R102 / Symbol: AAL								
2 tax lots for 04/25/14.								
26,000		938.92		03/24/14	943.05	0.00	-4.13	Sale
23,000		830.58		03/25/14	868.59	0.00	-38.01	Sale
49,000		1,769.50		VARIOUS	1,811.84	0.00	-42.14	Total of 2 lots
04/25/14								
AMERICAN INTL GROUP INC COM NEW / CUSIP: 026874784 / Symbol: AIG								
2 tax lots for 01/27/14.								
11,000		524.10		04/25/13	458.53	0.00	65.57	Sale
5,000		238.23		04/26/13	204.72	0.00	33.51	Sale
16,000		762.33		VARIOUS	663.25	0.00	99.08	Total of 2 lots
01/27/14								
3 tax lots for 02/14/14.								
13,000		628.90		04/28/13	532.28	0.00	96.62	Sale
17,000		822.41		05/13/13	757.83	0.00	64.58	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMERICAN INTL GROUP INC COM NEW / CUSIP: 026874784 / Symbol: AIG (cont'd)								
	18,000	870.79		05/31/13	808.55	0.00	82.24	Sale
02/14/14	48,000	2,322.10		VARIOUS	2,098.68	0.00	223.44	Total of 3 lots
	Security total:	3,084.43			2,761.91	0.00	322.52	
B/E AEROSPACE INC / CUSIP: 073302101 / Symbol: BEAV								
3 tax lots for 01/09/14.								
	6,000	498.98		09/03/13	416.94	0.00	82.04	Sale
	6,000	498.99		09/04/13	421.29	0.00	77.70	Sale
	1,000	83.16		09/09/13	72.18	0.00	10.98	Sale
01/09/14	13,000	1,081.13		VARIOUS	910.41	0.00	170.72	Total of 3 lots
2 tax lots for 01/10/14.								
	1,000	82.58		09/09/13	72.18	0.00	10.40	Sale
	3,000	247.72		09/11/13	220.16	0.00	27.56	Sale
01/10/14	4,000	330.30		VARIOUS	292.34	0.00	37.96	Total of 2 lots
01/14/14	6,000	500.24		09/11/13	440.32	0.00	59.92	Sale
03/05/14	2,000	171.30		09/11/13	146.78	0.00	24.52	Sale
03/06/14	9,000	777.93		09/11/13	660.48	0.00	117.45	Sale
	Security total:	2,860.90			2,450.33	0.00	410.57	
BOEING COMPANY COMMON STOCK / CUSIP: 097023105 / Symbol: BA								
4 tax lots for 01/31/14.								
	7,000	867.28		01/10/14	994.10	126.82 W	0.00	Sale
	1,000	126.05		01/13/14	159.90	33.85 W	0.00	Sale
	5,000	619.48		01/13/14	708.89	89.41 W	0.00	Sale
	6,000	756.31		01/14/14	955.81	33.25 W	-166.25	Sale
01/31/14	19,000	2,369.12		VARIOUS	2,818.70	283.33 W	-166.25	Total of 4 lots
5 tax lots for 02/03/14.								
	1,000	125.23		01/14/14	159.07	0.00	-33.84	Sale
	3,000	375.69		01/14/14	473.53	0.00	-97.84	Sale
	1,000	125.23		01/27/14	155.87	0.00	-30.64	Sale
	1,000	125.23		01/27/14	171.24	0.00	-46.01	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BOEING COMPANY COMMON STOCK / CUSIP: 097023105 / Symbol: BA (cont'd)								
	1.000	125.23		01/27/14	171.84	0.00	-46.61	Sale
02/03/14	7.000	876.61		VARIOUS	1,131.55	0.00	-254.94	Total of 5 lots
	Security total:	3,245.73			3,950.25	283.33 W	-421.19	
CVS HEALTH CORP / CUSIP: 128650100 / Symbol: CVS								
04/01/14	5.000	371.25		01/27/14	338.12	0.00	33.13	Sale
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG								
08/14/14	8.000	707.71		08/20/13	543.87	0.00	164.04	Sale
	2 tax lots for 08/15/14.							
	4.000	357.65		08/20/13	271.84	0.00	85.81	Sale
	7.000	625.90		08/29/13	499.78	0.00	126.12	Sale
08/16/14	11.000	983.55		VARIOUS	771.62	0.00	211.93	Total of 2 lots
	Security total:	1,691.28			1,315.29	0.00	375.97	
CITIGROUP INC / CUSIP: 172867424 / Symbol: C								
	2 tax lots for 03/04/14.							
	7.000	337.95		05/03/13	329.27	0.00	8.68	Sale
	4.000	193.11		05/31/13	211.82	0.00	-18.71	Sale
03/04/14	11.000	531.06		VARIOUS	541.09	0.00	-10.03	Total of 2 lots
	3 tax lots for 03/05/14.							
	5.000	247.92		05/31/13	264.77	0.00	-16.85	Sale
	6.000	297.50		07/02/13	291.87	0.00	5.63	Sale
	1.000	49.58		07/16/13	52.23	0.00	-2.65	Sale
03/05/14	12.000	595.00		VARIOUS	608.87	0.00	-13.87	Total of 3 lots
	2 tax lots for 03/31/14.							
	9.000	429.18		07/16/13	470.04	0.00	-40.86	Sale
	1.000	47.69		09/03/13	49.69	0.00	-2.00	Sale
03/31/14	10.000	476.87		VARIOUS	519.73	0.00	-42.86	Total of 2 lots
	2 tax lots for 04/22/14.							
	6.000	288.43		09/03/13	298.16	0.00	-9.73	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS
Report on Form 9949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net))	Proceeds (Gross (Net))	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CITIGROUP INC / CUSIP: 172987424 / Symbol: C (cont'd)								
04/22/14	14,000	673.01	673.01	12/12/13	714.28	0.00	-41.27	Sale
	20,000	961.44	961.44	VARIOUS	1,012.44	0.00	-51.00	Total of 2 lots
2 tax lots for 04/23/14.								
	9,000	432.14	432.14	12/12/13	459.18	0.00	-27.04	Sale
	10,000	480.16	480.16	01/09/14	551.04	0.00	-70.88	Sale
04/23/14	19,000	912.30	912.30	VARIOUS	1,010.22	0.00	-97.92	Total of 2 lots
	Security total:		3,476.67		3,692.35	0.00	-215.68	
COMPAGNIE FINANCIERE RICHEMONT AG ADR / CUSIP: 204319107 / Symbol: CFRUY								
01/08/14	70,000	655.04	655.04	08/24/13	712.23	0.00	-57.19	Sale
3 tax lots for 01/10/14.								
	15,000	144.61	144.61	09/24/13	152.62	0.00	-8.01	Sale
	35,000	337.41	337.41	09/27/13	354.12	0.00	-16.71	Sale
	47,000	453.10	453.10	10/04/13	479.75	0.00	-26.65	Sale
01/10/14	97,000	936.12	936.12	VARIOUS	986.49	0.00	-51.37	Total of 3 lots
	Security total:		1,590.16		1,698.72	0.00	-108.56	
CONTINENTAL RESOURCES INC (OKLA) / CUSIP: 212015101 / Symbol: CLR								
01/08/14	1,000	107.52	107.52	10/22/13	118.45	0.00	-8.93	Sale
01/08/14	4,000	430.10	430.10	10/21/13	479.37	36.95 W	-12.32	Sale
05/18/14	2,000	268.55	268.55	10/22/13	232.90	0.00	35.65	Sale
3 tax lots for 10/10/14.								
	4,000	230.08	230.08	11/21/13	225.58	0.00	4.50	Sale
	4,000	230.09	230.09	11/25/13	219.68	0.00	10.41	Sale
	2,000	115.04	115.04	11/26/13	110.11	0.00	4.93	Sale
10/10/14	10,000	575.21	575.21	VARIOUS	555.37	0.00	19.84	Total of 3 lots
2 tax lots for 10/28/14.								
	8,000	447.67	447.67	11/29/13	432.45	0.00	15.22	Sale
	2,000	111.92	111.92	12/06/13	105.02	0.00	6.90	Sale
10/28/14	10,000	559.59	559.59	VARIOUS	537.47	0.00	22.12	Total of 2 lots
10/30/14	4,000	221.22	221.22	12/06/13	210.03	0.00	11.19	Sale
10/31/14	8,000	430.87	430.87	07/22/14	611.74	0.00	-180.87	Sale

UMB FINANCIAL SERVICES INC.

Account UG 08782

2014

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
		2,593.06			2,743.33	36.95 W	-113.32	
Security total:								
CUMMINS INC / CUSIP: 231021106 / Symbol: CMI								
01/10/14	4,000		553.14	08/02/13	491.33	0.00	61.81	Sale
3 tax lots for 01/14/14.								
	2,000		274.31	08/02/13	245.66	0.00	28.65	Sale
	6,000		822.91	08/08/13	741.73	0.00	81.18	Sale
	2,000		274.31	08/09/13	248.43	0.00	25.88	Sale
01/14/14	10,000	1,371.53		VARIOUS	1,235.82	0.00	135.71	Total of 3 lots
2 tax lots for 01/16/14								
	1,000		137.95	08/09/13	124.21	0.00	13.74	Sale
	2,000		275.89	08/14/13	255.64	0.00	20.25	Sale
01/16/14	3,000	413.84		VARIOUS	379.85	0.00	33.99	Total of 2 lots
3 tax lots for 01/23/14.								
	1,000		131.92	08/14/13	127.82	0.00	4.10	Sale
	3,000		395.75	08/23/13	375.88	0.00	19.87	Sale
	3,000		395.75	08/26/13	376.05	0.00	19.70	Sale
01/23/14	7,000	923.42		VARIOUS	879.75	0.00	43.67	Total of 3 lots
3 tax lots for 01/24/14.								
	1,000		126.30	08/26/13	125.35	0.00	0.95	Sale
	3,000		378.91	08/28/13	366.97	0.00	11.94	Sale
	3,000		378.91	08/29/13	372.03	0.00	6.88	Sale
01/24/14	7,000	884.12		VARIOUS	884.35	0.00	19.77	Total of 3 lots
Security total:								
			4,146.05		3,851.10	0.00	294.95	
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG								
10/09/14	8,000		730.18	07/23/14	928.24	0.00	-198.06	Sale
ECOLAB INC COMMON STOCK / CUSIP: 278885100 / Symbol: ECL								
3 tax lots for 03/25/14.								
	4,000		434.69	01/27/14	396.50	0.00	38.19	Sale
	5,000		543.37	01/28/14	498.59	0.00	44.78	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

2014

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL (cont'd)								
	2,000		217.35					
03/25/14	11,000		1,195.41	01/31/14	200.83	0.00	16.52	Sale
				VARIOUS	1,095.92	0.00	99.49	Total of 3 lots
03/27/14	1,000		106.18	01/31/14	100.42	0.00	5.76	Sale
2 tax lots for 03/28/14.								
	3,000		318.76	01/31/14	301.25	0.00	17.51	Sale
	5,000		532.02	01/31/14	502.08	0.00	29.94	Sale
03/28/14	8,000		850.78	VARIOUS	803.33	0.00	47.45	Total of 2 lots
3 tax lots for 04/08/14.								
	5,000		526.71	01/31/14	502.08	0.00	24.63	Sale
	1,000		105.34	02/03/14	98.56	0.00	6.78	Sale
	2,000		210.68	02/03/14	201.92	0.00	8.76	Sale
04/08/14	8,000		842.73	VARIOUS	802.56	0.00	40.17	Total of 3 lots
04/08/14	9,000		961.49	02/03/14	887.02	0.00	74.47	Sale
			3,956.59		3,889.25	0.00	267.34	
Security total:								
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB								
04/07/14	7,000		398.10	08/13/13	260.85	0.00	137.45	Sale
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS								
4 tax lots for 01/14/14.								
	20,000		753.76	09/10/13	821.54	0.00	-67.79	Sale
	5,000		188.44	09/25/13	203.47	0.00	-15.03	Sale
	5,000		188.44	10/02/13	202.73	0.00	-14.29	Sale
	1,000		37.69	10/03/13	40.43	0.00	-2.74	Sale
01/14/14	31,000		1,168.32	VARIOUS	1,268.17	0.00	-99.85	Total of 4 lots
4 tax lots for 05/05/14.								
	3,000		119.72	10/03/13	121.30	0.00	-1.58	Sale
	5,000		199.52	10/07/13	200.19	0.00	-0.67	Sale
	4,000		159.62	10/08/13	157.07	0.00	2.55	Sale
	2,000		79.81	10/11/13	73.26	0.00	6.55	Sale
05/05/14	14,000		558.67	VARIOUS	551.82	0.00	6.85	Total of 4 lots
05/08/14	11,000		432.35	10/11/13	402.94	0.00	29.41	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS (cont'd)								
3 tax lots for 05/09/14.								
	2,000		81.61	10/11/13	73.26	0.00	8.35	Sale
	12,000		489.67	10/30/13	452.36	0.00	37.31	Sale
	9,000		367.25	11/28/13	372.79	0.00	-5.54	Sale
05/09/14	23,000		938.53	VARIOUS	898.41	0.00	40.12	Total of 3 lots
	Security total:		3,087.87		3,121.34	0.00	-23.47	
GENL DYNAMICS CORP COMMON STOCK / CUSIP: 368550108 / Symbol: GD								
2 tax lots for 08/28/14.								
	4,000		493.68	02/24/14	436.15	0.00	57.53	Sale
08/28/14	3,000		370.26	02/25/14	326.83	0.00	43.43	Sale
	7,000		863.94	VARIOUS	762.98	0.00	100.96	Total of 2 lots
2 tax lots for 08/29/14.								
	2,000		245.93	02/25/14	217.88	0.00	28.05	Sale
08/29/14	4,000		491.85	02/28/14	431.08	0.00	60.77	Sale
	6,000		737.78	VARIOUS	648.96	0.00	88.82	Total of 2 lots
2 tax lots for 12/10/14.								
	5,000		718.58	02/26/14	538.84	0.00	179.74	Sale
12/10/14	1,000		143.72	02/27/14	107.95	0.00	35.77	Sale
	6,000		862.30	VARIOUS	646.79	0.00	215.51	Total of 2 lots
12/16/14	2,000		271.80	02/27/14	215.91	0.00	55.89	Sale
12/18/14	4,000		551.98	02/27/14	431.81	0.00	120.17	Sale
	Security total:		3,287.80		2,708.45	0.00	581.35	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
12/08/14	1,000		529.33	06/24/14	580.58	0.00	-51.25	Sale
GRAINGER W W INC COMMON STOCK / CUSIP: 384802104 / Symbol: GWW								
06/17/14	3,000		775.83	03/24/14	756.89	0.00	19.14	Sale
06/18/14	1,000		255.90	03/24/14	252.23	0.00	3.67	Sale
4 tax lots for 07/01/14.								
	1,000		252.57	03/25/14	253.65	0.00	-1.08	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross (N)et)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
GRAINGER W W INC COMMON STOCK / CUSIP: 384802104 / Symbol: GWW (cont'd)							
	2,000	505.14	03/27/14	500.03	0.00	5.11	Sale
	2,000	505.15	04/04/14	511.48	0.00	-6.33	Sale
	2,000	505.14	04/08/14	506.62	0.00	-1.48	Sale
07/01/14	7,000	1,768.00	VARIOUS	1,771.78	0.00	-3.78	Total of 4 lots
	Security total:	2,799.73		2,780.70	0.00	19.03	
HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406218101 / Symbol: HAL							
3 tax lots for 10/13/14.							
	13,000	680.72	04/23/14	841.76	0.00	-161.04	Sale
	15,000	785.45	04/23/14	968.34	0.00	-182.89	Sale
	2,000	104.73	07/23/14	148.11	0.00	-43.38	Sale
10/13/14	30,000	1,570.90	VARIOUS	1,958.21	0.00	-387.31	Total of 3 lots
10/28/14	17,000	904.37	07/23/14	1,258.82	0.00	-354.55	Sale
	2 tax lots for 1/04/14.						
	8,000	403.78	07/23/14	582.44	0.00	-188.66	Sale
	20,000	1,009.46	07/24/14	1,472.74	0.00	-463.28	Sale
11/04/14	28,000	1,413.24	VARIOUS	2,065.18	0.00	-651.94	Total of 2 lots
	Security total:	3,888.51		5,282.31	0.00	-1,393.80	
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD							
09/03/14	19,000	1,894.04	02/25/14	1,514.81	0.00	179.23	Sale
	3 tax lots for 09/04/14.						
	6,000	540.88	02/25/14	478.36	0.00	62.52	Sale
	10,000	901.45	03/04/14	829.47	0.00	71.98	Sale
	6,000	540.88	03/12/14	482.80	0.00	58.08	Sale
09/04/14	22,000	1,983.21	VARIOUS	1,790.63	0.00	192.58	Total of 3 lots
	Security total:	3,877.25		3,305.44	0.00	371.81	
INTERCONTINENTAL EXCHANGE GROUP / CUSIP: 45888F104 / Symbol: ICE							
01/27/14	3,000	612.14	09/04/13	548.39	0.00	63.75	Sale
	2 tax lots for 03/05/14.						
	1,000	214.01	09/04/13	182.80	0.00	31.21	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE (cont'd)								
03/05/14	1,000	214.01	214.01	09/13/13	180.73	0.00	33.28	Sale
	2,000	428.02	428.02	VARIOUS	363.53	0.00	64.49	Total of 2 lots
03/06/14	2,000	432.98	432.98	09/13/13	361.45	0.00	71.54	Sale
4 tax lots for 03/31/14.								
	1,000	199.54	199.54	09/20/13	183.33	0.00	16.21	Sale
	1,000	199.54	199.54	09/26/13	180.24	0.00	19.30	Sale
	1,000	199.55	199.55	09/27/13	181.09	0.00	18.46	Sale
	2,000	399.08	399.08	10/03/13	374.31	0.00	24.77	Sale
03/31/14	5,000	987.71	987.71	VARIOUS	918.97	0.00	78.74	Total of 4 lots
	Security total:		2,470.86		2,192.34	0.00	278.52	
KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR								
10/22/14	3,000	438.76	438.76	02/11/14	366.35	0.00	82.41	Sale
10/27/14	4,000	571.38	571.38	02/11/14	475.12	0.00	96.26	Sale
10/28/14	3,000	441.05	441.05	02/11/14	356.35	0.00	84.70	Sale
	Security total:		1,451.19		1,187.82	0.00	263.37	
LIBERTY MEDIA CORP CL A / CUSIP: 531229102 / Symbol: LMCA								
01/27/14	2,000	268.09	268.09	09/05/13	287.23	0.00	-19.14	Sale
01/28/14	3,000	400.99	400.99	09/05/13	430.85	0.00	-29.86	Sale
7 tax lots for 03/14/14.								
	1,000	136.00	136.00	09/05/13	143.62	0.00	-7.62	Sale
	1,000	136.01	136.01	09/25/13	145.05	0.00	-9.04	Sale
	1,000	136.00	136.00	09/27/13	147.68	0.00	-11.68	Sale
	3,000	408.01	408.01	10/03/13	441.51	0.00	-33.50	Sale
	3,000	408.01	408.01	10/23/13	469.26	0.00	-61.25	Sale
	3,000	408.02	408.02	10/31/13	458.32	0.00	-50.30	Sale
	1,000	136.00	136.00	12/06/13	148.40	0.00	-12.40	Sale
03/14/14	13,000	1,768.05	1,768.05	VARIOUS	1,953.84	0.00	-185.79	Total of 7 lots
	Security total:		2,437.13		2,671.92	0.00	-234.79	
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD								
01/27/14	3,000	621.86	621.86	07/25/13	607.94	0.00	13.92	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS
Report on Form 9949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G) / net (N) / lot (L) / Symbol: LNKD (cont'd)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD (cont'd)								
2 tax lots for 01/28/14.								
	1,000	208.36	07/25/13	202.65	0.00	5.71	Sale	
	1,000	208.36	08/07/13	228.87	0.00	-20.51	Sale	
01/28/14	2,000	416.72	VARIOUS	431.52	0.00	-14.80	Total of 2 lots	
04/04/14	1,000	168.22	08/07/13	228.86	59.84 W	0.00	Sale	
6 tax lots for 04/07/14.								
	1,000	164.20	08/07/13	228.87	0.00	-64.67	Sale	
	3,000	492.61	10/08/13	659.18	0.00	-166.57	Sale	
	1,000	164.20	10/23/13	237.99	0.00	-73.79	Sale	
	1,000	164.21	10/23/13	240.08	0.00	-75.87	Sale	
	1,000	164.20	10/30/13	223.68	0.00	-59.48	Sale	
	1,000	164.20	11/07/13	213.33	0.00	-49.13	Sale	
04/07/14	8,000	1,313.62	VARIOUS	1,803.13	0.00	-489.51	Total of 6 lots	
3 tax lots for 04/08/14.								
	2,000	323.80	11/25/13	432.89	0.00	-109.09	Sale	
	2,000	323.79	12/19/13	438.11	0.00	-114.32	Sale	
	1,000	161.90	03/26/14	247.66	0.00	-85.76	Sale	
04/08/14	5,000	809.49	VARIOUS	1,118.66	0.00	-309.17	Total of 3 lots	
	Security total:	3,330.91		4,190.11	59.84 W	-799.58		
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU								
01/23/14	2,000	93.99	03/21/13	128.65	0.00	-34.68	Sale	
6 tax lots for 01/24/14.								
	1,000	47.78	03/21/13	64.33	0.00	-16.55	Sale	
	10,000	477.83	08/11/13	685.15	0.00	-207.32	Sale	
	6,000	286.70	08/12/13	384.98	0.00	-98.28	Sale	
	6,000	286.70	06/19/13	390.74	0.00	-104.04	Sale	
	6,000	286.70	08/21/13	369.57	0.00	-82.87	Sale	
	10,000	477.83	09/12/13	650.30	0.00	-172.47	Sale	
01/24/14	38,000	1,863.54	VARIOUS	2,545.07	0.00	-681.53	Total of 6 lots	
	Security total:	1,957.53		2,673.72	0.00	-716.19		

UBS FINANCIAL SERVICES INC.

Account UG 06782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
PANERA BREAD COMP CL A / CUSIP: 69840W108 / Symbol: PNRA								
03/21/14	2,000	378.26		10/23/13	307.46	0.00	71.80	Sale
03/28/14	3,000	521.27		10/23/13	461.20	0.00	60.07	Sale
	Security total:	900.53			768.66	0.00	131.87	
PERNOD RICARD SA ADR / CUSIP: 714264207 / Symbol: PORDY								
	3 tax lots for 01/02/14.							
	7,000	155.54		10/07/13	169.69	0.00	-14.15	Sale
	10,000	222.19		10/09/13	239.75	0.00	-17.56	Sale
	3,000	66.66		10/10/13	72.27	0.00	-5.61	Sale
01/02/14	20,000	444.39		VARIOUS	481.71	0.00	-37.32	Total of 3 lots
	2 tax lots for 01/08/14.							
	4,000	86.76		10/10/13	96.36	0.00	-8.60	Sale
	16,000	347.05		10/11/13	387.20	0.00	-40.15	Sale
01/08/14	20,000	433.81		VARIOUS	483.56	0.00	-49.75	Total of 2 lots
	2 tax lots for 01/10/14.							
	2,000	43.25		10/11/13	48.40	0.00	-5.15	Sale
	19,000	410.84		10/21/13	463.06	0.00	-52.22	Sale
01/10/14	21,000	454.09		VARIOUS	511.46	0.00	-57.37	Total of 2 lots
	Security total:	1,332.29			1,476.73	0.00	-144.44	
PIONEER NATURAL RES CO / CUSIP: 723787107 / Symbol: PXD								
10/09/14	4,000	710.97		07/22/14	917.38	0.00	-208.41	Sale
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN								
	2 tax lots for 09/04/14.							
	1,000	1,219.87		10/23/13	1,064.11	0.00	155.76	Sale
	1,000	1,219.87		11/05/13	1,069.84	0.00	150.03	Sale
09/04/14	2,000	2,439.74		VARIOUS	2,133.95	0.00	305.79	Total of 2 lots
SAFRAN SA ADR / CUSIP: 788584102 / Symbol: SAFRY								
07/09/14	7,000	106.72		03/25/14	123.02	0.00	-16.30	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SAFRAN SA ADR / CUSIP: 786584102 / Symbol: SAFRY (cont'd)							
2 tax lots for 07/31/14.							
	20,000	294.91	03/25/14	351.49	0.00	-66.58	Sale
	14,000	206.44	03/26/14	243.13	0.00	-36.69	Sale
07/31/14	34,000	501.35	VARIOUS	594.62	0.00	-93.27	Total of 2 lots
3 tax lots for 08/01/14.							
	13,000	190.65	03/28/14	225.76	0.00	-35.11	Sale
	41,000	601.26	03/28/14	707.57	0.00	-106.31	Sale
	12,000	175.98	03/31/14	209.49	0.00	-33.51	Sale
08/01/14	66,000	967.89	VARIOUS	1,142.82	0.00	-174.93	Total of 3 lots
		1,575.96		1,860.46	0.00	-284.50	
Security total:							
SALESFORCE.COM INC / CUSIP: 79468L302 / Symbol: CRM							
08/13/14	3,000	168.32	09/18/13	153.71	0.00	4.61	Sale
3 tax lots for 08/14/14.							
	14,000	742.28	09/18/13	717.30	0.00	24.98	Sale
	3,000	159.06	10/08/13	150.73	0.00	8.33	Sale
	4,000	212.08	10/14/13	204.54	0.00	7.54	Sale
08/14/14	21,000	1,113.42	VARIOUS	1,072.57	0.00	40.85	Total of 3 lots
2 tax lots for 08/20/14.							
	1,000	54.88	10/14/13	51.13	0.00	3.75	Sale
	6,000	329.25	10/18/13	323.53	0.00	5.72	Sale
08/20/14	7,000	384.13	VARIOUS	374.66	0.00	9.47	Total of 2 lots
2 tax lots for 08/22/14.							
	1,000	60.26	10/18/13	53.92	0.00	6.34	Sale
	10,000	602.56	10/29/13	530.04	0.00	72.52	Sale
08/22/14	11,000	662.82	VARIOUS	583.96	0.00	78.86	Total of 2 lots
5 tax lots for 08/28/14.							
	6,000	350.75	11/04/13	333.45	0.00	17.30	Sale
	5,000	292.29	12/12/13	255.24	0.00	37.05	Sale
	11,000	643.04	01/14/14	633.09	0.00	9.95	Sale
	9,000	526.13	04/02/14	527.47	0.00	-1.34	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SALESFORCE.COM INC / CUSIP: 794681302 / Symbol: CRM (cont'd)								
	3,000	175.37		04/04/14	169.38	0.00	5.99	Sale
08/28/14	34,000	1,987.58		VARIOUS	1,918.63	0.00	68.95	Total of 5 lots
Security total:		4,306.27			4,103.53	0.00	202.74	
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 808857108 / Symbol: SLB								
2 tax lots for 11/25/14.								
	6,000	576.38		04/22/14	611.86	35.48 W	0.00	Sale
	1,000	96.06		06/04/14	103.75	7.69 W	0.00	Sale
11/25/14	7,000	872.44		VARIOUS	715.61	43.17 W	0.00	Total of 2 lots
2 tax lots for 11/26/14.								
	4,000	370.73		06/06/14	422.34	0.00	-51.61	Sale
	7,000	648.78		06/27/14	813.46	0.00	-164.68	Sale
11/26/14	11,000	1,019.51		VARIOUS	1,235.80	0.00	-216.29	Total of 2 lots
11/26/14	4,000	370.73		06/04/14	414.98	33.19 W	-11.06	Sale
5 tax lots for 12/03/14.								
	1,000	86.82		06/27/14	116.21	0.00	-29.39	Sale
	5,000	434.12		07/10/14	577.10	0.00	-142.98	Sale
	1,000	86.82		11/10/14	107.87	0.00	-21.05	Sale
	3,000	260.47		11/10/14	333.73	0.00	-73.26	Sale
	6,000	520.94		11/10/14	636.55	0.00	-115.61	Sale
12/03/14	16,000	1,389.17		VARIOUS	1,771.46	0.00	-382.29	Total of 5 lots
Security total:		3,451.85			4,137.85	76.36 W	-609.64	
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW								
07/23/14	13,000	358.17		03/21/14	375.86	0.00	-17.69	Sale
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX								
3 tax lots for 07/24/14.								
	5,000	266.25		08/13/13	263.33	0.00	2.92	Sale
	12,000	638.99		10/31/13	724.32	0.00	-85.33	Sale
	5,000	266.24		11/11/13	309.04	0.00	-42.80	Sale
07/24/14	22,000	1,171.48		VARIOUS	1,296.69	0.00	-125.21	Total of 3 lots

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS (continued)

2014

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN								
03/05/14	17,000		767.05	05/30/13	618.65	0.00	148.40	Sale
07/23/14	19,000		915.47	08/02/13	751.30	0.00	164.17	Sale
6 tax lots for 07/24/14.								
	5,000		239.35	08/21/13	193.48	0.00	45.87	Sale
	8,000		382.97	10/22/13	322.07	0.00	60.90	Sale
	9,000		430.84	10/28/13	374.77	0.00	56.07	Sale
	21,000		1,005.29	10/30/13	876.64	0.00	128.65	Sale
	13,000		622.32	11/07/13	541.43	0.00	80.89	Sale
	5,000		239.35	11/08/13	209.47	0.00	29.88	Sale
07/24/14	61,000		2,920.12	VARIOUS	2,517.86	0.00	402.26	Total of 6 lots
Security total:			4,802.64		3,887.81	0.00	714.83	
TWITTER INC / CUSIP: 80184L102 / Symbol: TWTR								
02/06/14	16,000		836.65	12/06/13	719.24	0.00	117.41	Sale
UNITED CONTRL HLDGS INC / CUSIP: 910047109 / Symbol: UAL								
3 tax lots for 04/25/14.								
	21,000		850.05	01/09/14	953.08	0.00	-103.03	Sale
	11,000		445.26	01/10/14	491.52	0.00	-46.26	Sale
	6,000		242.87	01/17/14	280.75	0.00	-37.88	Sale
04/25/14	38,000		1,538.18	VARIOUS	1,725.35	0.00	-187.17	Total of 3 lots
YAHOO INC / CUSIP: 984332106 / Symbol: YHOO								
3 tax lots for 03/25/14.								
	24,000		864.22	01/28/14	893.75	0.00	-29.53	Sale
	15,000		540.13	01/29/14	523.91	0.00	16.22	Sale
	5,000		160.05	03/12/14	187.35	0.00	-7.30	Sale
03/25/14	44,000		1,584.40	VARIOUS	1,805.01	0.00	-20.61	Total of 3 lots
DELPHI AUTOMOTIVE PLC FOREIGN STOCK / CUSIP: G27823108 / Symbol: DLPH								
09/16/14	5,000		340.70	04/01/14	346.73	0.00	-6.03	Sale
2 tax lots for 10/01/14.								
	8,000		495.11	04/01/14	554.77	0.00	-59.66	Sale

UBS FINANCIAL SERVICES INC.

Account UG 06782

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DELPHI AUTOMOTIVE PLC FOREIGN STOCK / CUSIP: G27823106 / Symbol: DLPH (cont'd)								
	5,000	309.45		04/02/14	350.13	0.00	-40.68	Sale
10/01/14	13,000	804.56		VARIOUS	904.90	0.00	-100.34	Total of 2 lots
11/04/14	6,000	407.94		04/02/14	420.15	0.00	-12.21	Sale
2 tax lots for 11/05/14.								
	3,000	208.70		04/02/14	210.08	0.00	-1.38	Sale
	7,000	486.95		04/09/14	473.34	0.00	13.61	Sale
11/05/14	10,000	695.55		VARIOUS	683.42	0.00	12.23	Total of 2 lots
Security total:								
		2,248.85			2,355.20	0.00	-106.35	
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA								
3 tax lots for 03/19/14.								
	2,000	84.45		07/10/13	78.94	0.00	5.51	Sale
	8,000	337.82		09/03/13	320.97	0.00	16.85	Sale
	2,000	84.46		09/04/13	80.51	0.00	3.95	Sale
03/19/14	12,000	506.73		VARIOUS	480.42	0.00	26.31	Total of 3 lots
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTVK								
3 tax lots for 03/25/14.								
	2,000	80.08		07/10/13	76.23	0.00	3.85	Sale
	8,000	320.30		09/03/13	309.92	0.00	10.38	Sale
	2,000	80.08		09/04/13	77.74	0.00	2.34	Sale
03/25/14	12,000	480.46		VARIOUS	463.89	0.00	16.57	Total of 3 lots
TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: H89128104 / Symbol:								
08/06/14	10,000	442.86		01/10/14	409.05	0.00	33.81	Sale
2 tax lots for 07/24/14.								
	13,000	584.85		01/10/14	531.77	0.00	53.08	Sale
	17,000	494.87		01/15/14	452.21	0.00	42.66	Sale
07/24/14	24,000	1,079.72		VARIOUS	983.98	0.00	95.74	Total of 2 lots
08/26/14	8,000	354.93		01/15/14	328.89	0.00	26.04	Sale
3 tax lots for 08/28/14.								
	4,000	178.43		01/15/14	164.44	0.00	13.99	Sale

UBS FINANCIAL SERVICES INC.

Account UG 06782

2014

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TYCO INTL LTD **MERGER EFF 11/20/14** CHF / CUSIP: H89128104 / Symbol: (cont'd)	21.000	936.74	936.74	02/10/14	868.80	0.00	67.94	Sale
	21.000	936.75	936.75	03/05/14	917.60	0.00	19.15	Sale
08/28/14	46.000	2,051.92	2,051.92	VARIOUS	1,950.84	0.00	101.08	Total of 3 lots
2 tax lots for 08/03/14.								
	2.000		87.98	03/05/14	87.39	0.00	0.59	Sale
	20.000		879.76	03/06/14	876.08	0.00	3.68	Sale
09/03/14	22.000		987.74	VARIOUS	983.47	0.00	4.27	Total of 2 lots
2 tax lots for 09/04/14.								
	13.000		570.90	03/21/14	555.66	0.00	15.24	Sale
	10.000		439.15	03/24/14	421.01	0.00	18.14	Sale
09/04/14	23.000		1,010.05	VARIOUS	976.87	0.00	33.38	Total of 2 lots
Security total:			5,907.22		5,812.90	0.00	294.32	
ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07059210 / Symbol: ASML								
01/21/14	6.000		520.52	05/22/13	479.81	0.00	40.71	Sale
Totals:			97,260.51		98,290.54	456.28 W	-563.76	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB								
03/07/14	1.000		328.13	06/28/11	106.48	0.00	219.65	Sale
03/12/14	1.000		338.97	06/28/11	106.48	0.00	232.49	Sale
03/20/14	1.000		347.26	06/28/11	106.49	0.00	240.77	Sale
04/01/14	2.000		618.93	06/28/11	212.98	0.00	405.97	Sale
04/02/14	1.000		312.18	06/28/11	106.48	0.00	205.70	Sale
04/04/14	1.000		288.38	06/28/11	106.48	0.00	181.90	Sale
3 tax lots for 06/24/14.								
	1.000		315.20	06/28/11	106.48	0.00	208.72	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BIOGEN IDEC INC / CUSIP: 08082X103 / Symbol: BIIB (cont'd)								
	4,000	1,260.79		11/23/11	443.17	0.00	817.62	Sale
	2,000	630.39		07/12/12	284.63	0.00	345.76	Sale
08/24/14	7,000	2,206.38		VARIOUS	834.28	0.00	1,372.10	Total of 3 lots
2 tax lots for 10/22/14.								
	2,000	608.34		07/12/12	284.62	0.00	323.72	Sale
	2,000	608.34		10/03/12	302.82	0.00	305.52	Sale
10/22/14	4,000	1,216.68		VARIOUS	587.44	0.00	629.24	Total of 2 lots
Security total:								
		5,854.91			2,167.09	0.00	3,487.82	
CBS CORP NEW CL B / CUSIP: 124857202 / Symbol: CBS								
2 tax lots for 03/12/14								
	1,000	65.72		08/28/12	36.19	0.00	29.53	Sale
	2,000	131.44		10/04/12	70.81	0.00	60.63	Sale
03/12/14	3,000	197.16		VARIOUS	107.00	0.00	90.16	Total of 2 lots
10/03/14	10,000	533.12		10/04/12	354.06	0.00	179.06	Sale
2 tax lots for 11/05/14.								
	9,000	468.55		10/04/12	318.65	0.00	149.90	Sale
	3,000	156.18		10/05/12	109.14	0.00	47.04	Sale
11/05/14	12,000	624.73		VARIOUS	427.79	0.00	196.94	Total of 2 lots
2 tax lots for 12/10/14.								
	16,000	785.71		10/05/12	545.67	0.00	240.04	Sale
	3,000	157.14		10/09/12	104.86	0.00	52.28	Sale
12/10/14	18,000	942.85		VARIOUS	650.53	0.00	292.32	Total of 2 lots
12/19/14	13,000	711.21		10/09/12	454.42	0.00	256.79	Sale
Security total:								
		3,009.07			1,993.80	0.00	1,015.27	
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG								
2 tax lots for 09/23/14.								
	3,000	278.65		08/29/13	214.19	0.00	64.46	Sale
	9,000	835.95		09/04/13	650.90	0.00	185.05	Sale
09/23/14	12,000	1,114.60		VARIOUS	865.09	0.00	249.51	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account UG 06782

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CITIGROUP INC / CUSIP: 172987424 / Symbol: C								
01/27/14	4,000	196.68		12/17/12	154.82	0.00	41.86	Sale
2 tax lots for 01/29/14.								
8,000	387.29			12/17/12	309.65	0.00	77.64	Sale
9,000	435.70			12/18/12	350.50	0.00	85.20	Sale
17,000	822.99			VARIOUS	660.15	0.00	162.84	Total of 2 lots
5 tax lots for 01/30/14.								
9,000	435.33			12/18/12	350.49	0.00	84.84	Sale
17,000	822.28			12/20/12	678.66	0.00	143.62	Sale
8,000	386.96			12/21/12	313.85	0.00	73.11	Sale
12,000	580.43			01/17/13	497.49	0.00	82.94	Sale
11,000	532.07			01/24/13	471.64	0.00	60.43	Sale
57,000	2,767.07			VARIOUS	2,312.13	0.00	444.94	Total of 5 lots
2,000	96.56			01/24/13	85.75	0.00	10.81	Sale
Security total:		3,873.30			3,212.85	0.00	660.45	
CONTINENTAL RESOURCES INC (OKLA) / CUSIP: 212015101 / Symbol: CLR								
10/30/14	5,000	276.53		12/12/13	291.42	0.00	-14.89	Sale
10/31/14	1,000	53.86		12/12/13	58.28	0.00	-4.42	Sale
Security total:		330.39			349.70	0.00	-19.31	
FLEETCOR TECHNOLOGIES INC / CUSIP: 339041105 / Symbol: FLT								
08/09/14	3,000	393.11		05/08/13	246.73	0.00	146.38	Sale
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD								
03/10/14	8,000	637.87		08/14/12	246.82	0.00	391.05	Sale
03/17/14	6,000	454.34		08/19/12	200.50	0.00	253.84	Sale
03/20/14	3,000	226.01		09/19/12	100.25	0.00	125.76	Sale
2 tax lots for 06/24/14.								
9,000	732.56			09/19/12	300.75	0.00	431.81	Sale
8,000	651.16			10/02/12	277.48	0.00	373.68	Sale
17,000	1,383.72			VARIOUS	578.23	0.00	805.49	Total of 2 lots
2 tax lots for 12/22/14.								
10,000	943.13			10/02/12	346.84	0.00	596.29	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net (S)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD (cont'd)								
12/22/14	12,000	1,131.75	1,131.75	11/09/12	385.21	0.00	736.54	Sale
	22,000	2,074.88	2,074.88	VARIOUS	742.05	0.00	1,332.83	Total of 2 lots
	Security total:	4,776.82	4,776.82		1,867.85	0.00	2,908.97	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
04/01/14	1,000	1,135.01	1,135.01	10/19/12	694.65	0.00	440.36	Sale
	3 tax lots for 12/05/14.							
	1,000	529.43	529.43	11/29/12	344.91	0.00	184.52	Sale
	1,000	529.42	529.42	01/09/13	367.90	0.00	161.52	Sale
	1,000	529.43	529.43	01/23/13	372.58	0.00	156.85	Sale
12/06/14	3,000	1,588.28	1,588.28	VARIOUS	1,085.39	0.00	502.89	Total of 3 lots
	5 tax lots for 12/08/14							
	1,000	529.33	529.33	01/24/13	375.19	0.00	154.14	Sale
	1,000	529.33	529.33	01/28/13	376.72	0.00	152.61	Sale
	1,000	529.33	529.33	05/03/13	421.72	0.00	107.61	Sale
	1,000	529.34	529.34	10/01/13	443.49	0.00	85.85	Sale
	1,000	529.33	529.33	11/05/13	513.64	0.00	15.69	Sale
12/08/14	5,000	2,646.66	2,646.66	VARIOUS	2,130.76	0.00	515.90	Total of 5 lots
	Security total:	5,369.95	5,369.95		3,910.80	0.00	1,459.15	
GOOGLE INC CL C / CUSIP: 38259P708 / Symbol: GOOG								
	3 tax lots for 04/04/14.							
	1,000	552.13	552.13	11/29/12	343.85	0.00	208.28	Sale
	1,000	552.13	552.13	01/09/13	366.77	0.00	185.36	Sale
	1,000	552.13	552.13	01/23/13	371.43	0.00	180.70	Sale
04/04/14	3,000	1,656.39	1,656.39	VARIOUS	1,082.05	0.00	574.34	Total of 3 lots
	2 tax lots for 08/27/14.							
	1,000	571.81	571.81	01/24/13	374.03	0.00	197.78	Sale
	1,000	571.80	571.80	01/28/13	375.57	0.00	196.23	Sale
08/27/14	2,000	1,143.61	1,143.61	VARIOUS	749.60	0.00	394.01	Total of 2 lots
10/17/14	1,000	510.01	510.01	05/03/13	420.42	0.00	89.59	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG (cont'd)								
2 tax lots for 11/26/14.								
	1,000	539.14		10/01/13	442.12	0.00	97.02	Sale
	1,000	539.14		11/05/13	512.05	0.00	27.09	Sale
11/26/14	2,000	1,078.28		VARIOUS	954.17	0.00	124.11	Total of 2 lots
Security total:		4,388.29			3,208.24	0.00	1,182.05	
KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR								
2 tax lots for 08/27/14.								
	5,000	673.59		05/13/13	378.13	0.00	295.46	Sale
	2,000	269.43		05/14/13	159.51	0.00	109.92	Sale
08/27/14	7,000	943.02		VARIOUS	537.64	0.00	405.38	Total of 2 lots
2 tax lots for 08/29/14.								
	3,000	403.23		05/14/13	239.26	0.00	163.97	Sale
	1,000	134.41		05/23/13	72.88	0.00	61.53	Sale
08/29/14	4,000	537.64		VARIOUS	312.14	0.00	225.50	Total of 2 lots
6 tax lots for 09/30/14.								
	3,000	393.69		05/23/13	218.62	0.00	175.07	Sale
	3,000	393.70		07/03/13	217.32	0.00	176.38	Sale
	1,000	131.23		07/10/13	69.13	0.00	62.10	Sale
	4,000	519.89		07/10/13	276.54	0.00	243.35	Sale
	3,000	369.92		07/25/13	217.97	0.00	171.95	Sale
	1,000	129.97		08/08/13	78.34	0.00	51.63	Sale
09/30/14	15,000	1,958.40		VARIOUS	1,077.92	0.00	880.48	Total of 6 lots
2 tax lots for 10/07/14.								
	2,000	278.74		08/08/13	156.67	0.00	122.07	Sale
	2,000	278.73		08/15/13	148.37	0.00	130.36	Sale
10/07/14	4,000	557.47		VARIOUS	305.04	0.00	252.43	Total of 2 lots
10/22/14	1,000	146.26		08/15/13	74.19	0.00	72.07	Sale
Security total:		4,142.79			2,306.93	0.00	1,835.86	

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU								
2 tax lots for 01/22/14.								
	3,000	142.49		10/19/12	210.49	0.00	-68.00	Sale
	1,000	47.50		11/12/12	68.38	0.00	-20.88	Sale
01/22/14	4,000	188.98		VARIOUS	278.87	0.00	-88.88	Total of 2 lots
4 tax lots for 01/23/14.								
	2,000	93.99		11/12/12	136.77	0.00	-42.78	Sale
	2,000	94.00		01/15/13	148.74	0.00	-54.74	Sale
	5,000	234.98		01/15/13	372.45	0.00	-137.47	Sale
	3,000	141.00		03/19/13	197.69	0.00	-56.69	Sale
01/23/14	12,000	583.97		VARIOUS	855.85	0.00	-281.88	Total of 4 lots
	2,000	95.57		03/21/13	135.79	0.00	-40.22	Sale
01/24/14		849.53			1,270.31	0.00	-420.78	
Security total:								
		339.25		07/01/10	137.65	0.00	201.60	Sale
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON								
04/01/14	3,000							
4 tax lots for 07/25/14.								
	1,000	116.03		07/01/10	45.88	0.00	70.15	Sale
	5,000	580.14		07/02/10	232.35	0.00	347.79	Sale
	9,000	1,044.26		09/23/10	484.57	0.00	559.69	Sale
	6,000	696.17		09/28/10	288.91	0.00	407.26	Sale
07/25/14	21,000	2,438.60		VARIOUS	1,051.71	0.00	1,384.89	Total of 4 lots
		2,775.85			1,189.36	0.00	1,586.49	
Security total:								
		1,260.10		05/01/12	754.53	0.00	505.57	Sale
	1,000	1,260.10		08/20/12	584.71	0.00	675.39	Sale
08/27/14	2,000	2,520.20		VARIOUS	1,339.24	0.00	1,180.96	Total of 2 lots
	1,000	1,238.82		05/13/13	764.06	0.00	474.76	Sale
09/03/14		3,758.02			2,103.30	0.00	1,655.72	
Security total:								
		519.04		11/09/12	410.72	0.00	108.32	Sale
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB								
01/09/14	6,000							

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or (loss) & 7- Loss not allowed(X)	Additional Information
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW / CUSIP: 85590A401 / Symbol: HOT								
08/27/14	4,000	335.39		03/20/12	226.46	0.00	108.93	Sale
12/11/14	16,000	1,289.92		03/20/12	905.83	0.00	384.09	Sale
Security total:		1,625.31			1,132.29	0.00	493.02	
TJX COS INC NEW COMMON STOCK / CUSIP: 872540108 / Symbol: TJX								
2 tax lots for 06/26/14.								
10,000		523.54		05/05/11	267.62	0.00	255.92	Sale
19,000		994.73		06/21/11	483.55	0.00	511.18	Sale
29,000		1,518.27		VARIOUS	751.17	0.00	767.10	Total of 2 lots
06/26/14								
2 tax lots for 07/24/14.								
3,000		159.75		06/21/11	76.35	0.00	83.40	Sale
12,000		638.98		06/28/11	308.71	0.00	330.27	Sale
15,000		798.73		VARIOUS	385.06	0.00	413.67	Total of 2 lots
07/24/14								
Security total:		2,317.00			1,136.23	0.00	1,180.77	
TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN								
07/23/14	6,000	289.09		05/30/13	218.35	0.00	70.74	Sale
VISA INC CL A / CUSIP: 92826C839 / Symbol: V								
04/25/14	4,000	801.28		07/01/11	348.54	0.00	452.74	Sale
04/28/14	3,000	800.97		07/01/11	261.41	0.00	339.56	Sale
Security total:		1,402.25			609.95	0.00	792.30	
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA								
3 tax lots for 01/27/14.								
1,000		81.85		09/21/12	59.29	0.00	22.56	Sale
6,000		491.10		09/26/12	358.75	0.00	132.35	Sale
1,000		81.85		09/28/12	60.45	0.00	21.40	Sale
8,000		654.80		VARIOUS	478.49	0.00	176.31	Total of 3 lots
01/27/14								
2 tax lots for 03/13/14.								
5,000		221.09		09/28/12	153.77	0.00	67.32	Sale
6,000		265.30		10/03/12	189.14	0.00	76.16	Sale
11,000		488.39		VARIOUS	342.91	0.00	143.48	Total of 2 lots
03/13/14								

UBS FINANCIAL SERVICES INC.

Account UG 08782

Proceeds Not Reported to the IRS

(continued)

2814

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA (cont'd)								
3 tax lots for 03/19/14:								
	5,000	211.14		10/03/12	157.61	0.00	53.53	Sale
	1,000	42.23		10/19/12	31.51	0.00	10.72	Sale
	4,000	168.91		10/19/12	126.39	0.00	42.52	Sale
03/19/14	10,000	422.28		VARIOUS	315.51	0.00	106.77	Total of 3 lots
	Security total:	1,583.47			1,136.91	0.00	426.56	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK								
4 tax lots for 03/25/14:								
	5,000	200.19		09/28/12	148.49	0.00	51.70	Sale
	11,000	440.43		10/03/12	334.82	0.00	105.61	Sale
	1,000	40.03		10/19/12	30.42	0.00	9.61	Sale
	4,000	160.16		10/19/12	122.04	0.00	38.12	Sale
03/25/14	21,000	840.81		VARIOUS	635.77	0.00	205.04	Total of 4 lots
ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07059210 / Symbol: ASML								
5 tax lots for 07/31/14:								
	1,000	94.62		05/22/13	79.97	0.00	14.65	Sale
	3,000	283.86		05/23/13	239.62	0.00	44.24	Sale
	3,000	282.79		05/28/13	252.18	0.00	30.61	Sale
	16,000	1,513.92		05/28/13	1,344.97	0.00	168.95	Sale
	2,000	186.53		07/16/13	175.62	0.00	12.91	Sale
07/31/14	25,000	2,363.72		VARIOUS	2,082.36	0.00	271.36	Total of 5 lots
Totals:		58,090.69			37,605.86	0.00	20,484.83	

UBS FINANCIAL SERVICES INC.

Account UG 09131

Proceeds Not Reported to the IRS

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM	14,000	2,294.48	09/29/14	2,842.38	0.00	-347.90	Sale
LIBERTY VENTURES SER A / CUSIP: 53071M880 / Symbol: LVNTA	0.530	16.20	01/24/14	15.38	0.00	0.82	Sale
Totals:		2,310.68		2,857.76	0.00	-347.08	

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds (G)ross (N)et Shown	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP: 009158106 / Symbol: APD							
	3 tax lots for 09/10/14.						
	12,000	1,554.81	04/05/12	1,087.04	0.00	467.77	Sale
	8,000	1,036.54	04/24/12	683.28	0.00	353.26	Sale
	18,000	2,332.22	02/20/13	1,581.47	0.00	750.75	Sale
09/10/14	38,000	4,923.57	VARIOUS	3,351.79	0.00	1,571.78	Total of 3 lots
ALLEGHANY CORP DEL NEW COMMON STOCK / CUSIP: 017175100 / Symbol: Y							
03/03/14	4,000	1,540.97	12/11/07	1,589.84	0.00	-48.87	Sale
	3 tax lots for 09/10/14.						
	0,271	115.63	12/11/07	107.51	0.00	8.12	Sale
	0,730	311.83	07/02/09	170.72	0.00	141.11	Sale
	3,000	1,282.36	06/06/13	1,143.75	0.00	138.61	Sale
09/10/14	4,000	1,709.82	VARIOUS	1,421.98	0.00	287.84	Total of 3 lots
	Security total:			3,011.82	0.00	238.97	
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP							
01/24/14	4,000	352.04	12/11/07	226.48	0.00	125.56	Sale
AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP							
01/27/14	6,000	632.92	12/11/07	354.48	0.00	278.44	Sale

UBS FINANCIAL SERVICES INC.

Account UG 08131

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMERIPRISE FINANCIAL INC / CUSIP: 03076C108 / Symbol: AMP (cont'd)							
01/31/14	9,000	944.06	12/11/07	531.72	0.00	412.34	Sale
Security total:		1,576.98		886.20	0.00	690.78	
BED BATH & BEYOND INC COMMON STOCK / CUSIP: 075898100 / Symbol: B8BY							
3 tax lots for 05/09/14.							
	24,000	1,461.90	10/27/09	863.91	0.00	597.99	Sale
	26,000	1,583.72	09/25/12	1,618.49	0.00	-34.77	Sale
	30,000	1,827.37	01/07/13	1,662.39	0.00	144.98	Sale
05/09/14	80,000	4,872.98	VARIOUS	4,164.79	0.00	708.20	Total of 3 lots
BERKSHIRE HATHAWAY INC NEW CL B / CUSIP: 084870702 / Symbol: BRKB							
2 tax lots for 01/10/14.							
	7,000	802.42	12/11/07	706.13	0.00	96.29	Sale
	5,000	573.16	07/02/09	287.49	0.00	285.67	Sale
01/10/14	12,000	1,375.58	VARIOUS	983.62	0.00	381.96	Total of 2 lots
CVS HEALTH CORP / CUSIP: 128650100 / Symbol: CVS							
4 tax lots for 02/05/14.							
	58,000	3,782.96	12/11/07	2,345.52	0.00	1,437.44	Sale
	15,000	978.35	12/02/08	407.80	0.00	570.55	Sale
	15,000	978.35	12/29/09	487.09	0.00	491.26	Sale
	2,000	130.45	01/15/10	67.73	0.00	62.72	Sale
02/05/14	90,000	5,870.11	VARIOUS	3,308.14	0.00	2,561.97	Total of 4 lots
4 tax lots for 10/02/14.							
	13,000	1,029.58	01/15/10	440.28	0.00	589.30	Sale
	25,000	1,978.98	06/15/10	799.86	0.00	1,180.10	Sale
	15,000	1,187.97	08/18/10	430.47	0.00	757.50	Sale
	15,000	1,187.98	03/14/12	676.95	0.00	511.03	Sale
10/02/14	68,000	5,385.49	VARIOUS	2,347.56	0.00	3,037.93	Total of 4 lots
Security total:		11,255.60		5,655.70	0.00	5,599.90	
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP: 136385101 / Symbol: CNQ							
08/20/14	38,000	1,895.40	12/11/07	1,301.69	0.00	393.71	Sale

UBS FINANCIAL SERVICES INC.

Account UG 09131

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO	4,000	155.15		02/04/10	109.16	0.00	45.99	Sale
3 tax lots for 02/11/14.								
20,000		772.02		02/04/10	545.82	0.00	226.20	Sale
12,000		463.20		03/18/10	323.10	0.00	140.10	Sale
20,000		772.02		05/24/10	515.76	0.00	256.26	Sale
52,000		2,007.24		VARIOUS	1,384.88	0.00	622.56	Total of 3 lots
02/11/14			2,162.39		1,493.84	0.00	668.55	
Security total:								
COSTCO WHOLESALE CORP / CUSIP: 22160K105 / Symbol: COST	16,000	2,055.82		12/11/07	1,145.28	0.00	910.54	Sale
10/08/14								
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS								
2 tax lots for 04/10/14.								
2,000		158.22		03/14/12	86.02	0.00	72.20	Sale
4,000		316.45		08/28/12	207.88	0.00	108.57	Sale
6,000		474.67		VARIOUS	293.90	0.00	180.77	Total of 2 lots
04/10/14								
2 tax lots for 10/23/14.								
8,000		706.56		08/28/12	415.76	0.00	290.80	Sale
20,000		1,766.40		02/28/13	1,098.23	0.00	668.17	Sale
28,000		2,472.86		VARIOUS	1,513.99	0.00	958.97	Total of 2 lots
10/23/14			2,947.63		1,807.89	0.00	1,139.74	
Security total:								
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG	4,000	429.60		12/11/07	178.68	0.00	250.92	Sale
08/08/14								
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL	1,000	1,119.86		01/15/09	292.83	0.00	827.03	Sale
01/13/14								
HARLEY DAVIDSON INC COMMON STOCK / CUSIP: 412822108 / Symbol: HOG								
3 tax lots for 10/15/14.								
3,000		165.44		12/11/07	142.47	0.00	22.97	Sale
7,000		366.03		07/02/09	108.29	0.00	277.74	Sale

UBS FINANCIAL SERVICES INC.

Account UG 09131

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
HARLEY DAVIDSON INC COMMON STOCK / CUSIP: 412822108 / Symbol: HOG (cont'd)								
10/15/14	20,000	1,102.94	1,102.94	07/16/12	876.98	0.00	225.96	Sale
	30,000	1,654.41	1,654.41	VARIOUS	1,127.74	0.00	526.67	Total of 3 lots
HEWLETT PACKARD CO COMMON STOCK / CUSIP: 428236103 / Symbol: HPQ								
2 tax lots for 01/08/14.								
	20,000	549.15	549.15	10/24/08	637.70	0.00	-88.55	Sale
	5,000	137.29	137.29	07/02/09	189.85	0.00	-52.56	Sale
01/08/14	25,000	686.44	686.44	VARIOUS	827.55	0.00	-141.11	Total of 2 lots
IRON MOUNTAIN INC NEW / CUSIP: 462846106 / Symbol: IRM								
4 tax lots for 01/31/14.								
	30,000	793.33	793.33	12/11/07	1,109.70	0.00	-316.37	Sale
	10,000	284.44	284.44	07/02/09	282.78	0.00	-18.34	Sale
	15,000	396.66	396.66	10/12/10	313.17	0.00	83.49	Sale
	7,000	185.11	185.11	11/21/12	230.09	0.00	-44.98	Sale
01/31/14	62,000	1,638.54	1,638.54	VARIOUS	1,935.74	0.00	-296.20	Total of 4 lots
KEYSIGHT TECHNOLOGIES INC COM / CUSIP: 49338L103 / Symbol: KEYS								
11/03/14	0.500	15.39	15.39	02/19/09	4.34	0.00	11.05	Sale
LABORATORY CORP AMER HLDGS NEW / CUSIP: 50540R409 / Symbol: LH								
07/01/14	12,000	1,252.32	1,252.32	06/08/13	1,182.38	0.00	69.94	Sale
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON								
3 tax lots for 11/26/14.								
	6,000	717.27	717.27	03/14/12	470.94	0.00	246.33	Sale
	8,000	956.37	956.37	09/24/12	725.88	0.00	230.49	Sale
	10,000	1,195.45	1,195.45	04/15/13	1,042.29	0.00	153.16	Sale
11/26/14	24,000	2,869.09	2,869.09	VARIOUS	2,239.11	0.00	629.98	Total of 3 lots
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP: 674599105 / Symbol: OXY								
01/13/14	16,000	1,471.60	1,471.60	12/11/07	1,146.88	0.00	324.72	Sale

UBS FINANCIAL SERVICES INC.

Account UG 09131

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP: 674598105 / Symbol: OXY (cont'd)								
2 tax lots for 07/21/14.								
	9,000		904.33	12/11/07	645.12	0.00	259.21	Sale
	3,000		301.44	07/02/09	190.05	0.00	111.39	Sale
07/21/14	12,000		1,205.77	VARIOUS	835.17	0.00	370.60	Total of 2 lots
Security total:			2,677.37		1,982.05	0.00	695.32	
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM								
04/23/14	2,000		167.52	12/11/07	108.60	0.00	58.92	Sale
11/11/14	18,000		1,584.03	12/11/07	977.41	0.00	606.62	Sale
Security total:			1,751.55		1,086.01	0.00	665.54	
PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP: 743315103 / Symbol: PGR								
2 tax lots for 04/29/14.								
	74,000		1,787.36	12/11/07	1,442.26	0.00	345.10	Sale
	6,000		144.92	07/02/09	89.04	0.00	55.88	Sale
04/29/14	80,000		1,932.28	VARIOUS	1,531.30	0.00	400.98	Total of 2 lots
3 tax lots for 05/28/14.								
	9,000		225.95	07/02/09	133.55	0.00	92.40	Sale
	34,000		853.58	07/16/12	676.58	0.00	177.00	Sale
	50,000		1,255.26	01/24/13	1,134.63	0.00	120.63	Sale
05/28/14	93,000		2,334.79	VARIOUS	1,944.76	0.00	390.03	Total of 3 lots
Security total:			4,267.07		3,476.06	0.00	791.01	
Totals:			64,831.43		38,371.59	0.00	16,459.84	

UBS FINANCIAL SERVICES INC.

Account UG 09132

Proceeds Not Reported to the IRS

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
INTL GAME TECHNOLOGY COMMON STOCK / CUSIP: 459902102 / Symbol: IGT								
5 tax lots for 02/27/14								
	15,000	225.09	225.09	02/27/13	238.46	0.00	-13.37	Sale
	38,000	540.22	540.22	02/28/13	675.12	0.00	-34.90	Sale
	10,000	150.07	150.07	03/11/13	171.24	0.00	-21.17	Sale
	90,000	1,350.56	1,350.56	03/12/13	1,545.68	0.00	-195.12	Sale
	50,000	750.31	750.31	02/14/14	751.73	0.00	-1.42	Sale
02/27/14	201,000	3,016.25	3,016.25	VARIOUS	3,282.23	0.00	-265.98	Total of 5 lots
SLM CORP VTG / CUSIP: 78442P108 / Symbol: SLM								
05/30/14	83,000	545.18	545.18	09/25/13	567.31	0.00	-22.13	Sale
TRINITY INDUSTRIES INC COMMON STOCK / CUSIP: 896522109 / Symbol: TRN								
2 tax lots for 02/19/14.								
	18,000	1,090.44	1,090.44	05/03/13	743.08	0.00	347.36	Sale
	2,000	121.16	121.16	05/08/13	82.39	0.00	38.77	Sale
02/19/14	20,000	1,211.60	1,211.60	VARIOUS	825.47	0.00	386.13	Total of 2 lots
WHIRLPOOL CORP COMMON STOCK / CUSIP: 963320106 / Symbol: WHR								
11/06/14	3,000	522.90	522.90	03/11/14	435.72	0.00	87.18	Sale
YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUY								
11/10/14	90,000	346.93	346.93	02/18/14	943.96	0.00	-597.03	Sale
Totals:		5,642.66	5,642.66		6,054.69	0.00	-411.83	

USFS FINANCIAL SERVICES INC.

Account UG 09132

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMERIPRISE FINANCIAL INC / CUSIP: 03078C106 / Symbol: AMP	3,000		383.84	01/27/12	161.01	0.00	222.83	Sale
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN	4,000		269.43	06/27/12	174.84	0.00	94.59	Sale
	4 tax lots for 05/05/14.							
	4,000		319.34	06/27/12	174.83	0.00	144.51	Sale
	12,000		958.97	07/02/12	544.56	0.00	414.41	Sale
	15,000		1,197.53	07/02/12	680.70	0.00	516.83	Sale
	7,000		559.40	11/26/12	323.23	0.00	236.17	Sale
05/05/14	38,000		3,035.24	VARIOUS	1,723.32	0.00	1,311.92	Total of 4 lots
05/09/14	27,000		2,077.55	11/28/12	1,246.75	0.00	830.80	Sale
	Security total:		5,382.22		3,144.91	0.00	2,237.31	
CBL & ASSOC PPTYS INC COMMON STOCK / CUSIP: 124830100 / Symbol: CBL								
	3 tax lots for 03/24/14.							
	13,000		220.67	10/10/12	275.45	0.00	-54.78	Sale
	40,000		678.98	10/11/12	857.52	0.00	-178.54	Sale
	23,000		390.41	10/12/12	492.81	0.00	-102.40	Sale
03/24/14	76,000		1,280.06	VARIOUS	1,625.78	0.00	-335.72	Total of 3 lots
CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI								
02/21/14	22,000		1,713.68	12/09/10	822.20	0.00	891.48	Sale
CHESAPEAKE ENERGY CORP OKLA / CUSIP: 165167107 / Symbol: CHK								
	2 tax lots for 05/06/14.							
	31,000		878.41	02/14/11	973.07	0.00	-94.66	Sale
	2,000		56.67	01/11/12	45.07	0.00	11.60	Sale
05/06/14	33,000		935.08	VARIOUS	1,018.14	0.00	-83.06	Total of 2 lots
05/07/14	38,000		1,102.07	01/11/12	856.42	0.00	245.65	Sale
	Security total:		2,037.15		1,874.56	0.00	162.59	
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO								
03/24/14	69,000		1,490.68	10/10/12	1,275.02	0.00	215.66	Sale

UES FINANCIAL SERVICES INC.

Account UG 09132

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
HOSPITALITY PROPERTIES TRUST SBI / CUSIP: 44108M102 / Symbol: HPT								
4 tax lots for 03/24/14.								
	1,000	28.08	28.08	12/13/07	35.62	0.00	-7.54	Sale
	20,000	561.56	561.56	12/13/07	707.27	0.00	-145.71	Sale
	30,000	842.35	842.35	08/08/08	672.46	0.00	169.89	Sale
	20,000	561.57	561.57	07/22/10	403.61	0.00	157.96	Sale
03/24/14	71,000	1,983.56	1,983.56	VARIOUS	1,818.96	0.00	174.60	Total of 4 lots
INTL GAME TECHNOLOGY COMMON STOCK / CUSIP: 459902102 / Symbol: IGT								
02/27/14	57,000	855.36	855.36	02/26/13	903.33	0.00	-47.97	Sale
KROGER COMPANY COMMON STOCK / CUSIP: 501044101 / Symbol: KR								
09/19/14	8,000	313.33	313.33	09/29/11	133.20	0.00	180.13	Sale
MEDTRONIC INC / CUSIP: 585055108 / Symbol: MDT								
11/14/14	9,000	620.04	620.04	11/16/09	359.05	0.00	260.99	Sale
NAVIENT CORP COM / CUSIP: 63938C108 / Symbol: NAVI								
06/06/14	23,000	382.88	382.88	11/23/12	249.98	0.00	132.90	Sale
NEWELL RUBBERMAID INC / CUSIP: 651229108 / Symbol: NWL								
3 tax lots for 07/23/14.								
	27,000	847.74	847.74	03/14/13	692.11	0.00	155.63	Sale
	20,000	627.96	627.96	03/22/13	505.52	0.00	122.44	Sale
	1,000	31.40	31.40	03/25/13	25.21	0.00	6.19	Sale
07/23/14	48,000	1,507.10	1,507.10	VARIOUS	1,222.84	0.00	284.26	Total of 3 lots
3 tax lots for 07/24/14.								
	14,000	441.65	441.65	03/25/13	352.95	0.00	88.70	Sale
	2,000	63.10	63.10	04/12/13	51.28	0.00	11.82	Sale
	8,000	252.37	252.37	04/15/13	203.05	0.00	49.32	Sale
07/24/14	24,000	757.12	757.12	VARIOUS	607.28	0.00	149.84	Total of 3 lots
	Security total:	2,264.22	2,264.22		1,830.12	0.00	434.10	
NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC								
02/19/14	5,000	601.36	601.36	02/19/09	206.11	0.00	395.25	Sale

UBS FINANCIAL SERVICES INC.

Account UG 05132

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
OLD NATL BANCORP EVANSVILLE COMMON STOCK / CUSIP: 880033107 / Symbol: ONB								
3 tax lots for 03/24/14								
	33,000	487.69		03/30/12	433.61	0.00	54.08	Sale
	24,000	354.68		04/02/12	316.28	0.00	38.40	Sale
	19,000	280.79		04/03/12	249.43	0.00	31.36	Sale
03/24/14	76,000	1,123.16		VARIOUS	999.32	0.00	123.84	Total of 3 lots
03/28/14	22,000	322.85		04/03/12	288.80	0.00	34.05	Sale
	Security total:	1,446.01			1,288.12	0.00	157.89	
SLM CORP VTG / CUSIP: 78442P108 / Symbol: SLM								
2 tax lots for 05/30/14								
	34,000	294.22		11/23/12	206.97	0.00	87.25	Sale
	60,000	519.22		11/26/12	361.73	0.00	157.49	Sale
05/30/14	94,000	813.44		VARIOUS	568.70	0.00	244.74	Total of 2 lots
STAPLES INC / CUSIP: 855030102 / Symbol: SPLS								
3 tax lots for 03/11/14								
	13,000	151.73		03/01/12	198.00	0.00	-46.27	Sale
	91,000	1,062.15		03/22/12	1,511.09	0.00	-448.94	Sale
	40,000	466.87		08/11/12	505.60	0.00	-38.73	Sale
03/11/14	144,000	1,680.75		VARIOUS	2,214.69	0.00	-533.94	Total of 3 lots
TRINITY INDUSTRIES INC COMMON STOCK / CUSIP: 886522109 / Symbol: TRN								
2 tax lots for 05/16/14								
	11,000	885.84		05/08/13	453.13	0.00	432.71	Sale
	5,000	402.65		05/07/13	210.84	0.00	191.81	Sale
05/16/14	16,000	1,288.49		VARIOUS	663.97	0.00	624.52	Total of 2 lots
UGI CORP NEW COMMON STOCK / CUSIP: 902881105 / Symbol: UGI								
09/08/14	0.500	17.94		12/10/07	9.08	0.00	8.86	Sale
11/06/14	9,000	345.40		12/10/07	163.50	0.00	181.90	Sale
2 tax lots for 11/19/14								
	43,000	1,601.31		12/10/07	781.17	0.00	820.14	Sale

UBS FINANCIAL SERVICES INC.

Account UG 00133

Proceeds Not Reported to the IRS

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CANADIAN PACIFIC RAILWAY LTD FOREIGN STOCK CAD / CUSIP: 13645T100 / Symbol: CP	5,000	1,022.08		12/11/07	332.56	0.00	689.52	Sale
PARAGON OFFSHORE PLC FOREIGN STOCK / CUSIP: G6S01W108 / Symbol: PGN	0.333	3.73		12/11/07	6.46	0.00	-2.73	Sale
Totals:		1,025.81			339.02	0.00	686.79	