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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning , and ending

Name of foundation MICHAEL E HORN FAMILY FOUNDATION INC			A Employer identification number 26-1375584	
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 1944		Room/suite	B Telephone number (see instructions) (270) 313-0245	
City or town OWENSBORO	State KY	ZIP code 42302		
Foreign country name		Foreign province/state/country	Foreign postal code	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change				
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 11,833,828		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>		
C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	3,036,041			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	305,104	305,104		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	123,002			
	b Gross sales price for all assets on line 6a 1,214,989				
	7 Capital gain net income (from Part IV, line 2)		123,002		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	4,370				
12 Total. Add lines 1 through 11	3,468,517	428,106	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	89,622	1,792		87,830
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	6,856	137		6,719
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,875			3,875
	c Other professional fees (attach schedule)	76,335	76,335		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,075	1,227		15
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	7,663			7,663
	22 Printing and publications				
	23 Other expenses (attach schedule)	18,532	324		18,208
	24 Total operating and administrative expenses. Add lines 13 through 23	206,958	79,815	0	124,310
	25 Contributions, gifts, grants paid	360,700			360,700
26 Total expenses and disbursements. Add lines 24 and 25	567,658	79,815	0	485,010	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	2,900,859				
b Net investment income (if negative, enter -0-)		348,291			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2014)

SCANNED MAY 20 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	59,238	94,479	94,479
	2	Savings and temporary cash investments	587,965	435,907	435,907
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	436,301	691,299	740,467
	b	Investments—corporate stock (attach schedule)	2,572,778	4,060,375	5,734,667
	c	Investments—corporate bonds (attach schedule)	1,253,435	1,049,055	1,079,087
	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,775,483	3,716,562	3,749,221
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	7,685,200	10,047,677	11,833,828
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	7,685,200	10,047,677	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	7,685,200	10,047,677	
	31	Total liabilities and net assets/fund balances (see instructions)	7,685,200	10,047,677	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,685,200
2	Enter amount from Part I, line 27a	2	2,900,859
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,586,059
5	Decreases not included in line 2 (itemize) ▶ DIFFERENCE IN FMV & COST OF DONATED SECURITIES	5	538,382
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,047,677

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Capital Gains Distributions				
b VARIOUS SECURITIES (SEE ATTACHED)		P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b 1,200,174		1,091,987	108,187
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			108,187
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	123,002
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	445,548	8,590,663	0.051864
2012	511,961	8,463,060	0.060494
2011	406,304	8,896,621	0.045669
2010	408,820	8,549,864	0.047816
2009	394,236	7,753,751	0.050845

2 Total of line 1, column (d)	2	0.256688
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051338
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	8,869,059
5 Multiply line 4 by line 3	5	455,320
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,483
7 Add lines 5 and 6	7	458,803
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	485,010

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1		3,483
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		3,483
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		3,483
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,500	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		2,500
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		983
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► WWW.HORNFAMILYFOUNDATION.ORG				
14	The books are in care of ► ORACLE CAPITAL STRATEGIES, LLC Telephone no ► (410) 878-7002			
Located at ► 2330 W. JOPPA ROAD, SUITE 107-A LUTHERVILLE MD ZIP+4 ► 21093				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No		
If "Yes," list the years ► 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	.00	0		
	.00	0		
	.00	0		
	.00	0		
	.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,443,839
b	Average of monthly cash balances	1b	560,282
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	9,004,121
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,004,121
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	135,062
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,869,059
6	Minimum investment return. Enter 5% of line 5	6	443,453

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	443,453
2a	Tax on investment income for 2014 from Part VI, line 5	2a	3,483
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,483
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	439,970
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	439,970
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	439,970

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	485,010
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	485,010
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,483
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	481,527

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				439,970
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			0	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012			85,807	
e From 2013			20,905	
f Total of lines 3a through e	106,712			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 485,010				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				439,970
e Remaining amount distributed out of corpus	45,040			
5 Excess distributions carryover applied to 2014. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	151,752			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	151,752			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012			85,807	
d Excess from 2013			20,905	
e Excess from 2014			45,040	

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

KATHRYN CROWE P.O. BOX 1944 OWENSBORO, KY 42302 (270) 313-0245

- b** The form in which applications should be submitted and information and materials they should include:

GRANT AND SCHOLARSHIP APPLICATIONS - SPECIFIC INFORMATION TO INCLUDE IS STATED IN THE APPLICATION

- c** Any submission deadlines.

SCHOLARSHIP APPLICATION DEADLINE IS MARCH 1ST, FOUNDATION GRANT DEADLINE IS OCTOBER 15TH

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SCHOLARSHIPS (SEE ATTACHED)			SEE ATTACHED	51,000
GRANT ALLOCATIONS (SEE ATTACHED)			SEE ATTACHED	309,700
Total			3a	360,700
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	305,104	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	123,002	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a <u>NONDIVIDEND DISTRIBUTION</u>			14	4,370	
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		0		432,476	0
13	Total. Add line 12, columns (b), (d), and (e)				13	432,476

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2014

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

MICHAEL E HORN FAMILY FOUNDATION INC

Employer identification number

26-1375584

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization MICHAEL E HORN FAMILY FOUNDATION INC	Employer identification number 26-1375584
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MICHAEL E. HORN P.O. BOX 1944 OWENSBORO KY 42302 Foreign State or Province Foreign Country:	\$ 3,036,041	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization MICHAEL E HORN FAMILY FOUNDATION INC	Employer identification number 26-1375584
---	---

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ 0

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals																							
		14,815		Capital Gains/Losses																							
Long Term CG Distributions				Other sales																							
Short Term CG Distributions				0																							
Description		CUSIP #		Purchaser		Check "X" if Purchaser is a Business		Date Acquired		Acquisition Method		Date Sold		Gross Sales Price		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improvements		Depreciation		Adjustments		Net Gain or Loss	
1		X		VARIOUS SECURITIES (SEE A)				VARIOUS		P		VARIOUS		1,200,174		1,091,987		FMV								123,002	
																										108,187	

Part I, Line 11 (990-PF) - Other Income

		4,370	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	NONDIVIDEND DISTRIBUTIONS	4,370	0	

Part I, Line 16b (990-PF) - Accounting Fees

		3,875	0	0	3,875
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ORACLE CAPITAL STRATEGIES, LLC	3,875			3,875

Part I, Line 16c (990-PF) - Other Professional Fees

		76,335	76,335	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ROCHDALE INVESTMENT MANAGEMENT	76,335	76,335		

Part I, Line 18 (990-PF) - Taxes

		4,075	1,227	0	15
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FEDERAL INCOME TAX	2,833			
2	FOREIGN TAX WITHHELD	1,227	1,227		
3	KENTUCKY FILING REPORT	15			15

Part I, Line 23 (990-PF) - Other Expenses

		18,532	324	0	18,208
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	BANK FEES	13			13
2	BOARD MEETING EXPENSES	1,319			1,319
3	DUES & SUBSCRIPTIONS	4,300			4,300
4	INSURANCE	1,142			1,142
5	WEBSITE	3,072			3,072
6	SUPPLIES	6,052			6,052
7	TELEPHONE	2,310			2,310
8	INVESTMENT EXPENSES	324	324		

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

		Federal		State/Local		Num. Shares/ Face Value		Book Value		Book Value		FMV		FMV		State/Local Obligation	
		366,054	324,779	70,247	366,520			Beg. of Year	End of Year	Beg. of Year	End of Year	Beg. of Year	End of Year	Beg. of Year	End of Year		
1	US GOVERNMENT OBLIGATIONS							366,054	324,779			391,028	354,199				
2	STATE MUNICIPAL BONDS							70,247	366,520			79,493	386,268			X	

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		2,572,778	4,060,375	3,406,464	5,734,667
		Book Value	Book Value	FMV	FMV
		Beg. of Year	End of Year	Beg. of Year	End of Year
1	CORPORATE STOCK	2,572,778	4,060,375	3,406,464	5,734,667

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

		1,253,435		1,049,055		1,302,342		1,079,087	
		Book Value Beg. of Year	Book Value End of Year	Book Value End of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year	FMV End of Year	FMV End of Year
1	CORPORATE BONDS	1,253,435	1,049,055	1,049,055	1,049,055	1,302,342	1,302,342	1,079,087	1,079,087

Part II, Line 13 (990-PF) - Investments - Other

		2,775,483		3,716,562		3,749,221	
		Book Value		Book Value		FMV	
		Beg. of Year		End of Year		End of Year	
1	Asset Description	Basis of Valuation					
	MUTUAL FUNDS	AT COST					
		2,775,483		3,716,562		3,749,221	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Amount															
Long Term CG Distributions		14,815													
Short Term CG Distributions		0													
				1,200,174	0	0	1,091,987	108,187	0	0	108,187				
Description of Property Sold				Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
1 Capital Gains Distributions											0	0	0	0	0
2 VARIOUS SECURITIES (SEE ATTACHED)				P	VARIOUS	VARIOUS	1,200,174			1,091,987	108,187	0	0	0	108,187

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

89,622												0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	
1	MICHAEL E HORN		P O BOX 1944	OWENSBORO	KY	42302		DIRECTOR	1 00				
2	PAULAK HORN		P O BOX 1944	OWENSBORO	KY	42302		DIRECTOR	1 00				
3	MICHAEL ANDREW HORN		P O BOX 1944	OWENSBORO	KY	42302		DIRECTOR	1 00				
4	KAYLA HORN WALKER		P O BOX 1944	OWENSBORO	KY	42302		DIRECTOR	20.00	35,022			
5	KATHRYN R CROWE		P O BOX 1944	OWENSBORO	KY	42302		DIRECTOR	40.00	54,600			

Statements

PART XV - SUPPLEMENTARY INFORMATION - LINE 2 d

The foundation will have a particular interest in the Owensboro & Daviess County and surrounding areas to provide for the improvement in the quality of life to those deserving, with particular emphasis upon educational opportunities for those who otherwise would not have such an opportunity. Scholarships will be awarded to college-bound, high school students residing in the Owensboro and Daviess County, Kentucky area. To be eligible, applicants must have either a minimum 3.2 cumulative GPA or 21 ACT score; finish high school within the year they apply for the scholarship; be an active member in a school organization, community or church and show financial need.

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
COMMON STOCK					
04/18/13	30	AAR CORP	air	500.46	833.40
04/12/13	29	AARONS INC COM PAR \$0.50	aan	844.22	886.53
04/29/13	264	ABBOTT LABS COM	abt	9,701.26	11,885.28
04/15/14	91	ABBVIE INC COM	abbv	4,287.73	5,955.04
08/13/12	24	ABM INDS INC COM	abm	455.19	687.60
05/09/14	28	ACADIA HEALTHCARE COMPANY INC COM	achc	1,249.49	1,713.88
05/23/13	24	ACADIA PHARMACEUTICALS INC COM	acad	330.24	762.00
06/16/11	330	ACCENTURE PLC IRELAND SHS CLASS A	acn	18,092.94	29,472.30
11/20/12	160	ACE LTD SHS	ace	12,531.17	18,380.80
07/08/14	39	ACTIVISION BLIZZARD INC COM	atvi	871.26	785.85
10/17/13	9	ACUITY BRANDS INC COM	ayi	999.48	1,260.63
03/06/14	149	ADOBE SYS INC COM	adbe	9,625.67	10,832.30
09/24/13	18	AEGION CORP COM	aegn	428.76	334.98
08/15/14	41	AERCAP HOLDINGS NV SHS	aer	1,866.71	1,591.62
11/25/14	9	AERIE PHARMACEUTICALS INC COM	aer	242.68	262.71
02/20/14	120	AFFILIATED MANAGERS GROUP COM	amg	22,543.24	25,468.80
01/05/11	76	AIRCASTLE LTD COM	ayr	1,079.16	1,624.12
02/20/14	263	ALCOA INC COM	aa	3,313.42	4,152.77
09/23/14	63	ALIBABA GRP SHS ADR	baba	5,572.66	6,548.22
02/17/11	23	ALIGN TECHNOLOGY INC COM	algn	479.37	1,285.93
01/31/13	9	ALLIANCE DATA SYSTEMS CORP COM	ads	1,462.41	2,574.45
04/26/12	12	ALLIANT TECHSYSTEMS INC COM	atk	972.52	1,395.00
08/17/09	57	ALLIED WRLD ASSUR COM HLDG AG SHS	awh	1,604.26	2,161.44
02/27/14	160	ALLISON TRANSMISSION HLDGS INC COM	alsn	4,846.41	5,424.00
08/25/11	2340	ALTRIA GROUP INC COM	mo	60,921.20	115,291.80
02/09/11	19	AMAZON COM INC COM	amzn	3,524.74	5,896.65
07/18/14	23	AMC NETWORKS INC CL A	amcx	1,491.35	1,466.71
09/20/13	86	AMEREN CORP COM	aee	3,158.99	3,967.18
07/10/14	33	AMERICAN AIRLS GROUP INC COM	aal	1,519.80	1,769.79
03/03/11	124	AMERICAN AXLE & MFG HLDGS INC COM	axl	1,773.99	2,801.16
09/04/14	120	AMERICAN EAGLE OUTFITTERS NEW COM	aeo	1,736.25	1,665.60
09/22/11	740	AMERICAN ELEC PWR INC COM	aep	27,406.20	44,932.80
08/06/14	31	AMERICAN EQTY INVT LIFE HLD CO COM	ael	712.44	904.89
03/06/12	420	AMERICAN EXPRESS CO COM	axp	28,572.15	39,076.80
10/22/09	122	AMERIPRISE FINL INC COM	amp	7,992.12	16,134.50
06/07/10	134	ANADARKO PETE CORP COM	apc	8,703.95	11,055.00
10/02/12	216	ANALOG DEVICES INC COM	adi	8,942.40	11,992.32
07/20/12	195	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	bud	18,313.47	21,902.40
07/11/14	24	ANTHEM INC COM	antm	2,834.27	3,016.08
07/15/14	13	AOL INC COM	aol	527.15	600.21
02/12/08	305	APACHE CORP COM	apa	29,777.09	19,114.35
06/19/14	34	APOLLO RESIDENTIAL MTG INC COM	amtg	578.34	536.18
01/21/09	1044	APPLE INC COM	aapl	66,522.03	115,236.72
08/21/14	1080	APPLIED MATLS INC COM	amat	23,772.20	26,913.60
12/11/14	7	ARISTA NETWORKS INC COM	anet	463.12	425.32
07/15/13	118	ARM HLDGS PLC SPONSORED ADR	armh	4,968.82	5,463.40
10/18/10	134	ARRIS GROUP INC NEW COM	ars	2,617.18	4,045.46
01/22/14	139	ASHAI KASEI CORP ADR	ahksy	2,204.54	2,563.30
12/30/14	3	ASHFORD HOSPITALITY PRIME INC COM	ahp	-	51.48
03/28/13	68	ASHFORD HOSPITALITY TR COM SHS	aht	749.74	712.64
10/08/14	9	ASHLAND INC NEW COM	ash	893.40	1,077.84
08/17/09	31	ASPEN INSURANCE HOLDINGS LTD SHS	ahl	870.62	1,356.87
09/25/12	47	ASPEN TECHNOLOGY INC COM	azpn	1,432.54	1,645.94
05/10/11	97	ASSOCIATED BANC CORP COM	asb	1,395.05	1,807.11
03/15/13	11	ASSOCIATED ESTATES RLTY CORP COM	aec	201.30	255.31
05/06/13	190	ASTELLAS PHARMA INC ADR	alpmv	2,088.78	2,672.73
01/06/11	1900	AT&T INC COM	t	54,819.48	63,821.00
06/14/12	851	ATMOS ENERGY CORP COM	ato	29,747.30	47,434.74
08/17/09	24	ATWOOD OCEANICS INC COM	atw	936.18	680.88
12/19/13	36	AVAGO TECHNOLOGIES LTD SHS	avgo	2,119.25	3,621.24
07/24/12	1422	B & G FOODS INC NEW COM	bgs	44,865.25	42,517.80
12/12/14	10	BAIDU INC SPON ADR REP A	bidu	2,299.21	2,279.70
09/06/07	102	BANCO BRADESCO S A SP ADR PFD NEW	bbd	694.18	1,363.74
12/12/14	19	BANCO DE CHILE SPON ADR	bch	1,299.35	1,309.86
09/10/14	99	BANCO LATINOAMERICANO DE COME SHS E	blx	3,282.82	2,979.90

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
09/27/13	448	BANCO SANTANDER SA ADR	san	3,716.66	3,731.84
10/27/14	8	BANK OF THE OZARKS INC COM	ozrk	270.52	303.36
08/17/09	23	BARNES GROUP INC COM	b	333.83	851.23
10/21/14	10	BARRACUDA NETWORKS INC COM	cuda	299.87	358.40
07/29/14	22	BASF SE SPONSORED ADR	basfy	2,401.74	1,860.30
04/29/13	121	BAXTER INTL INC COM	bax	8,541.33	8,868.09
02/04/08	28	BAYER A G SPONSORED ADR	bayry	2,308.25	3,828.61
05/06/13	71	BAYERISCHE MOTOREN WERKE A G ADR	bamxy	2,213.78	2,570.84
10/15/14	249	BB&T CORP COM	bbt	8,952.06	9,683.61
11/10/14	8	BED BATH & BEYOND INC COM	bbby	555.68	609.36
09/10/13	14	BELDEN INC COM	bdc	842.35	1,103.34
01/14/14	105	BELLATRIX EXPLORATION LTD COM	bxe	861.59	383.52
08/28/13	10	BIG LOTS INC COM	big	342.77	400.20
12/27/13	129	BIOGEN INC COM	biib	36,833.05	43,789.05
02/11/13	15	BIOMARIN PHARMACEUTICAL INC COM	bmrn	894.31	1,356.00
04/14/10	159	BIOMED REALTY TRUST INC COM	bmr	3,057.91	3,424.86
06/18/14	15	BLACKHAWK NETWORK HLDGS INC CL A	hawk	382.61	582.00
01/29/13	36	BLACKROCK INC COM	blk	9,341.45	12,872.16
11/06/14	126	BLOOMIN BRANDS INC COM	blmn	2,837.07	3,119.76
08/22/14	33	BLUE HILLS BANCORP INC COM	bhbk	426.28	448.14
12/09/13	10	BLUEBIRD BIO INC COM	blue	216.60	917.20
04/29/13	94	BOEING CO COM	ba	8,658.24	12,218.12
11/14/14	28	BOOZ ALLEN HAMILTON HLDG CORP CL A	bah	742.94	742.84
07/08/10	220	BORGWARNER INC COM	bwa	4,602.04	12,089.00
08/11/14	3	BOSTON BEER INC CL A	sam	695.92	868.62
10/23/14	179	BOSTON SCIENTIFIC CORP COM	bsx	2,289.78	2,371.75
10/17/11	83	BP PLC SPONSORED ADR	bp	3,641.72	3,163.96
08/01/12	28	BRADY CORP CL A	brc	750.85	765.52
11/10/11	77	BRANDYWINE RLTY TR SH BEN INT NEW	bdn	710.34	1,230.46
12/02/11	40	BRINKER INTL INC COM	eat	1,308.27	2,347.60
08/17/09	38	BRINKS CO COM	bco	1,030.50	927.58
12/16/10	1460	BRISTOL MYERS SQUIBB CO COM	bmy	39,023.90	86,183.82
05/07/12	49	BRITISH AMERN TOB PLC SPONSORED ADR	bti	5,186.43	5,283.18
11/03/14	44	BROADCOM CORP CL A	brcm	1,850.34	1,906.52
02/08/12	118	BROCADE COMMUNICATIONS SYS INC COM NEW	brcd	667.41	1,397.12
03/03/11	41	BRUNSWICK CORP COM	bc	1,343.88	2,101.66
12/12/14	67	BT GROUP PLC ADR	bt	4,175.77	4,153.33
06/18/14	24	BURLINGTON STORES INC COM	burl	739.95	1,134.24
08/24/11	17	CABOT CORP COM	cbt	534.71	745.62
02/17/12	713	CABOT OIL & GAS CORP COM	cog	25,524.52	21,111.93
05/19/14	40	CALAMP CORP COM	camp	723.29	732.00
02/18/14	40	CALGON CARBON CORP COM	ccc	839.12	831.20
03/30/12	72	CALIFORNIA RES CORP COM	crc	597.17	396.72
06/11/10	9	CAMERON INTERNATIONAL CORP COM	cam	325.52	449.55
09/10/14	68	CANADIAN NATL RY CO COM	cni	4,642.47	4,685.88
08/13/14	16	CATAMARAN CORP COM	ctrx	719.04	828.00
10/15/14	14	CAVIUM INC COM	cavm	756.72	865.48
01/12/10	65	CBL & ASSOC PPTYS INC COM	cbf	922.34	1,262.30
05/14/14	106	CBRE GROUP INC CL A	cbg	3,220.65	3,630.50
04/23/14	35	CELANESE CORP DEL COM SER A	ce	2,053.97	2,098.60
08/01/12	27	CELESTICA INC SUB VTG SHS	cls	199.53	316.98
06/07/10	494	CELGENE CORP COM	celg	16,598.99	55,258.84
08/22/13	58	CELDEX THERAPEUTICS INC NEW COM	cldx	1,519.84	1,058.50
08/22/14	12	CENTENE CORP DEL COM	cnc	907.67	1,246.20
09/19/11	26	CEPHEID COM	cphd	898.81	1,407.64
06/07/10	174	CERNER CORP COM	cem	6,067.74	11,250.84
06/25/13	13	CHARLES RIV LABS INTL INC COM	crl	535.86	827.32
09/10/14	31	CHECK POINT SOFTWARE TECH LTD ORD	chkp	2,314.40	2,435.67
01/18/12	11	CHEMICAL FINL CORP COM	chfc	240.35	337.04
11/21/12	40	CHEMTURA CORP COM NEW	chmt	928.79	989.20
06/04/14	1	CHIPOTLE MEXICAN GRILL INC COM	cmg	557.27	684.51
04/24/14	100	CHUBB CORP COM	cb	9,187.69	10,347.00
11/03/10	448	CIGNA CORPORATION COM	ci	17,317.25	46,103.68
02/28/12	750	CINCINNATI FINL CORP COM	cinf	26,640.00	38,872.50
07/24/14	38	CIT GROUP INC COM NEW	cit	1,851.25	1,817.54
06/01/12	860	CITIGROUP INC COM NEW	c	37,233.86	46,534.60
04/02/14	25	CLIFTON BANCORP INC COM	csbk	289.55	339.75
01/14/09	61	CMS ENERGY CORP COM	cms	1,286.01	2,119.75

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
08/09/11	16	COGNEX CORP COM	cgnx	249.65	661.28
12/13/13	530	COMCAST CORP NEW CL A	cmcsa	25,917.00	30,745.30
11/03/10	450	CONOCOPHILLIPS COM	cop	23,783.75	31,077.00
06/14/12	1860	CONSOLIDATED COMM HLDGS INC COM	cnsi	26,661.61	51,763.80
12/05/14	8	CONSTANT CONTACT INC COM	ctct	277.73	293.60
05/29/14	20	CON-WAY INC COM	cnw	922.40	983.60
11/20/13	23	COOPER TIRE & RUBR CO COM	ctb	551.98	796.95
11/24/14	28	CORELOGIC INC COM	clgx	933.10	884.52
12/12/11	48	CORNERSTONE ONDEMAND INC COM	csod	1,713.25	1,689.60
06/21/12	11	COSTAR GROUP INC COM	csgp	1,337.76	2,019.93
08/06/14	78	COSTCO WHSL CORP NEW COM	cost	9,513.57	11,056.50
03/16/12	310	COVIDIEN PLC SHS	cov	15,278.86	31,706.80
06/21/12	15	CRANE CO COM	cr	737.53	880.50
10/21/14	1335	CREDIT SUISSE NASSAU BRH INVRS VIX STERM	xiv	42,527.10	41,571.90
04/09/13	10	CSG SYS INTL INC COM	csgs	202.50	250.70
02/13/14	36	CST BRANDS INC COM	cst	1,107.89	1,569.96
04/21/14	57	CTC MEDIA INC COM	ctcm	546.39	277.59
03/15/13	180	CUMMINS INC COM	cmi	22,274.89	25,950.60
12/13/13	460	CVS HEALTH CORPORATION	cvs	31,151.20	44,302.60
12/04/14	43	CYPRESS SEMICONDUCTOR CORP COM	cy	537.48	614.04
11/07/11	16	CYTEC INDS INC COM	cyt	430.39	738.72
06/07/10	108	DANAHER CORP DEL COM	dhr	4,169.22	9,256.68
10/23/14	49	DBV TECHNOLOGIES SA SPONSORED ADR	dbvt	1,308.01	1,328.88
02/27/12	19	DEALERTRACK TECHNOLOGIES INC COM	trak	558.18	841.89
05/13/14	18	DECKERS OUTDOOR CORP COM	deck	1,575.03	1,638.72
04/26/12	18	DELPHI AUTOMOTIVE PLC SHS	dlph	553.10	1,308.96
07/09/14	21	DELTA AIR LINES INC DEL COM NEW	dal	774.01	1,032.99
06/24/13	25	DEMANDWARE INC COM	dwre	1,305.33	1,438.50
12/12/14	68	DENBURY RES INC COM NEW	dnr	447.98	552.84
05/05/11	42	DIAGEO P L C SPON ADR NEW	deo	4,300.20	4,791.78
12/16/14	5	DIAMONDBACK ENERGY INC COM	fang	282.84	298.90
03/31/14	49	DISCOVER FINL SVCS COM	dfs	2,981.15	3,209.01
02/28/11	242	DISNEY WALT CO COM DISNEY	dis	13,923.60	22,793.98
10/24/14	20	DOLLAR GEN CORP NEW COM	dg	1,240.36	1,414.00
11/04/14	24	DOLLAR TREE INC COM	dltr	1,459.20	1,689.12
11/29/10	640	DOMINION RES INC VA NEW COM	d	26,481.98	49,216.00
12/06/13	70	DONNELLEY R R & SONS CO COM	rrd	1,265.42	1,176.35
02/28/12	700	DR PEPPER SNAPPLE GROUP INC COM	dps	26,864.46	50,176.00
08/17/09	12	DST SYS INC DEL COM	dst	552.19	1,129.80
07/18/14	24	DTE ENERGY CO COM	dte	1,850.56	2,072.88
11/03/10	661	DU PONT E I DE NEMOURS & CO COM	dd	28,735.06	48,874.34
12/16/10	1003	DUKE ENERGY CORP NEW COM NEW	duk	51,687.97	83,790.62
05/24/13	102	DUPONT FABROS TECHNOLOGY INC COM	dft	2,565.10	3,390.48
11/24/14	28	DYNEGY INC NEW DEL COM	dyn	948.56	849.80
10/04/10	492	E M C CORP MASS COM	emc	10,874.31	14,632.08
01/08/13	20	EAGLE MATERIALS INC COM	exp	1,331.75	1,520.60
12/12/14	359	ELECTRICITE DE FRANCE ADR	ecify	2,045.62	1,965.17
12/19/13	62	ELECTRONIC ARTS INC COM	ea	1,798.71	2,914.93
07/14/14	20	ELECTRONICS FOR IMAGING INC COM	efii	882.22	856.60
05/05/14	64	EMBRAER S A SP ADR REP 4 COM	erj	2,206.08	2,359.04
12/14/12	13	EMCOR GROUP INC COM	eme	435.54	578.37
12/23/11	14	ENDURANCE SPECIALTY HLDGS LTD SHS	enh	543.22	837.76
01/05/10	12	ENERSYS COM	ens	598.44	740.64
01/28/14	78	EOG RES INC COM	eog	6,498.03	7,181.46
03/16/12	590	EPR PPTYS COM SH BEN INT	epr	27,307.21	34,001.70
06/01/09	6	ESTERLINE TECHNOLOGIES CORP COM	esl	166.26	658.08
10/30/14	15	EURONET WORLDWIDE INC COM	eeft	837.47	823.50
04/28/14	7	EVERCORE PARTNERS INC CLASS A	evr	362.96	366.59
10/27/14	64	EXELON CORP COM	exc	2,336.56	2,373.12
08/07/14	13	EXPEDIA INC DEL COM NEW	expe	1,092.93	1,109.68
12/12/14	197	EXPERIAN PLC SPONSORED ADR	expgy	3,122.45	3,342.11
06/07/10	172	EXPRESS SCRIPTS HLDG CO COM	esrx	10,307.29	14,563.24
10/13/14	16	EXTRA SPACE STORAGE INC COM	exr	858.76	938.24
11/03/10	700	EXXON MOBIL CORP COM	xom	57,506.16	64,715.00
11/29/13	16	F5 NETWORKS INC COM	ffiv	1,334.85	2,087.44
10/16/14	29	FAIRCHILD SEMICONDUCTOR INTL COM	fcs	387.99	489.52
01/10/12	20	FEDERATED INVS INC PA CL B	fii	347.58	658.60
06/24/13	10	FEI CO COM	feic	751.57	903.50

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
02/07/14	22	FIESTA RESTAURANT GROUP INC COM	frgi	947.12	1,337.60
11/17/14	31	FINISH LINE INC CL A	finl	870.99	753.61
09/17/12	82	FIRST HORIZON NATL CORP COM	fhn	827.37	1,113.56
02/03/12	23	FIRST MIDWEST BANCORP DEL COM	fmbi	266.80	393.53
12/20/12	102	FIRST NIAGARA FINL GP INC COM	fnfg	806.81	859.86
08/17/09	65	FIRSTMERIT CORP COM	fmer	1,118.39	1,227.85
02/07/13	28	FISERV INC COM	fisv	1,315.15	1,987.16
07/23/12	22	FIVE BELOW INC COM	five	717.16	898.26
10/22/13	12	FLEETMATICS GROUP PLC COM	fltx	439.68	425.88
06/07/10	117	FLOWERVE CORP COM	fls	3,318.39	7,000.11
10/04/11	41	FLUOR CORP NEW COM	flr	1,919.48	2,485.83
02/05/14	194	FMC TECHNOLOGIES INC COM	fti	9,624.36	9,086.96
07/08/14	48	FOOT LOCKER INC COM	fl	2,501.35	2,696.64
04/23/14	15	FORTUNE BRANDS HOME & SEC INC COM	fbhs	620.04	679.05
12/03/13	5	FOSTER L B CO COM	fstr	221.80	242.85
01/28/14	9	FOUNDATION MEDICINE INC COM	fmi	281.43	199.98
04/29/13	162	FRANKLIN RES INC COM	ben	8,377.55	8,969.94
03/08/10	82	FULTON FINL CORP PA COM	fult	855.90	1,013.52
06/14/12	770	GALLAGHER ARTHUR J & CO COM	ajg	26,341.70	36,251.60
01/09/13	79	GANNETT INC COM	gci	1,892.35	2,522.47
02/24/14	28	GATX CORP COM	gmt	1,820.64	1,611.12
06/09/14	24	GEO GROUP INC NEW	geo	821.04	968.64
03/07/14	15	G-III APPAREL GROUP LTD COM	giii	1,094.95	1,515.15
05/20/13	300	GILEAD SCIENCES INC COM	gild	16,729.71	28,278.00
02/21/14	16	GLOBAL BRASS & COPPER HLDGS INC COM	brss	272.16	210.56
02/24/12	65	GOLDMAN SACHS GROUP INC COM	gs	9,919.49	12,598.95
09/06/07	68	GOOGLE INC CL A	googl	26,432.01	36,084.88
09/06/07	70	GOOGLE INC CL C	goog	27,370.87	36,848.00
11/16/10	1150	GOVERNMENT PPTYS INCOME TR COM SHS BEN INT	gov	28,577.21	26,461.50
07/21/14	21	GRACO INC COM	ggg	1,607.10	1,683.78
01/14/14	77	GRAN TIERRA ENERGY INC COM	gte	534.58	296.45
07/23/13	23	GRAND CANYON ED INC COM	lope	822.98	1,073.18
04/14/14	76	GRAPHIC PACKAGING HLDG CO COM	gpk	767.90	1,035.12
09/24/14	49	GRUBHUB INC COM	grub	1,762.71	1,779.68
03/17/14	25	H & E EQUIPMENT SERVICES INC COM	hees	912.11	702.25
08/15/11	254	HAIN CELESTIAL GROUP INC COM	hain	8,783.34	14,805.66
11/16/10	146	HALYARD HEALTH INC COM	hyh	3,259.31	6,638.62
02/17/11	38	HANCOCK HLDG CO COM	hbhc	1,195.72	1,166.60
11/22/13	34	HANESBRANDS INC COM	hbi	2,941.27	3,795.08
08/17/09	23	HANOVER INS GROUP INC COM	thg	853.63	1,640.36
11/05/14	22	HARLEY DAVIDSON INC COM	hog	1,417.15	1,450.02
05/01/14	147	HARTFORD FINL SVCS GROUP INC COM	hig	5,642.67	6,128.43
11/18/10	840	HAWAIIAN ELEC INDUSTRIES COM	he	18,739.73	28,123.20
07/17/14	42	HCA HOLDINGS INC COM	hca	2,779.61	3,082.38
08/07/14	425	HD SUPPLY HLDGS INC COM	hds	11,213.13	12,533.25
12/20/10	570	HEALTH CARE REIT INC COM	hcn	25,945.32	43,131.90
08/04/14	18	HEALTH EQUITY INC COM	hqy	303.64	458.10
02/17/11	37	HEALTHSOUTH CORP COM NEW	hls	1,085.31	1,423.02
08/17/09	13	HELEN OF TROY CORP LTD COM	hele	257.27	845.78
12/12/14	29	HENKEL AG & CO KGAA SPON ADR PFD	henoy	3,242.78	3,096.62
01/24/13	51	HEXCEL CORP NEW COM	hxl	1,726.91	2,115.99
07/25/12	21	HILL ROM HLDGS INC COM	hrc	663.48	958.02
05/24/12	16	HILLENBRAND INC COM	hi	300.09	552.00
11/05/14	82	HILTON WORLDWIDE HLDGS INC COM	hit	2,046.71	2,139.38
01/22/14	27	HITACHI LTD ADR 10 COM	hthiy	2,231.01	2,028.35
12/14/12	39	HMS HLDGS CORP COM	hmsy	947.83	824.46
05/05/14	102	HOLLYSYS AUTOMATION TECHNOLOGI SHS	holi	2,232.99	2,491.86
05/08/14	99	HOLOGIC INC COM	holx	2,476.43	2,647.26
10/18/13	380	HOME DEPOT INC COM	hd	28,514.06	39,888.60
01/17/14	49	HOME LN SERVICING SOLUTIONS LT ORD SHS	hlss	1,104.09	956.48
04/29/13	115	HONEYWELL INTL INC COM	hon	9,748.17	11,490.80
12/16/14	31	HORTONWORKS INC COM	hdp	762.07	837.00
08/17/09	40	HOSPITALITY PPTYS TR COM SH BEN INT	hpt	853.20	1,240.00
02/15/13	7	HUBBELL INC CL B	hub b	656.11	747.81
04/29/13	76	HUNTINGTON INGALLS INDS INC COM	hii	4,365.40	8,546.96
02/13/13	85	HUNTSMAN CORP COM	hun	1,854.73	1,936.30
07/15/13	217	HUTCHISON WHAMPOA LTD ADR	huwhy	5,115.38	4,994.91
03/16/12	4	HYSTER-YALE MATLS HANDLING INC CL A	hy	147.74	292.80

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
08/17/09	21	IDACORP INC COM	ida	701.84	1,389.99
12/12/14	51	IMPERIAL OIL LTD COM NEW	imo	2,116.68	2,194.53
10/29/13	110	INFOSYS LTD SPONSORED ADR	infy	3,194.23	3,460.60
09/27/13	177	ING GROEP N V SPONSORED ADR	ing	2,017.78	2,295.69
04/25/13	17	INGERSOLL-RAND PLC SHS	ir	749.78	1,077.63
04/05/12	44	INGRAM MICRO INC CL A	im	814.00	1,216.16
12/09/08	79	INSULET CORP COM	podd	2,142.19	3,638.74
02/08/13	14	INTEGRA LIFESCIENCES HLDGS CP COM NEW	irt	590.51	759.22
06/23/14	37	INTEGRATED DEVICE TECHNOLOGY COM	idt	560.50	725.20
11/03/14	16	INTEGRATED SILICON SOLUTION COM	issi	217.31	265.12
01/07/14	3	INTERCEPT PHARMACEUTICALS INC COM	icpt	199.77	468.00
10/05/11	16	INTERNATIONAL BANCSHARES CORP COM	iboc	241.88	424.64
03/28/12	22	INTERXION HOLDING N.V SHS	inxn	396.00	601.48
06/19/14	93	INTESA SANPAOLO S P A SPON ADR	isnpy	1,845.12	1,635.41
10/18/13	50	INTUITIVE SURGICAL INC COM NEW	isrg	18,915.19	26,447.00
06/20/13	84	INVESCO LTD SHS	ivz	2,789.30	3,319.68
06/03/14	39	INVESTORS BANCORP INC COM	isbc	422.37	437.78
07/01/14	42	IPC HEALTHCARE, INC.	ipcm	1,896.21	1,927.38
02/14/14	65	IRONWOOD PHARMACEUTICALS INC COM CL A	irwd	964.03	995.80
06/02/14	369	ISHARES TRANS AVG ETF	iyt	56,336.97	60,541.83
06/18/14	16	ISIS PHARMACEUTICALS INC COM	isis	783.81	987.84
05/06/13	120	ITOCHU CORP ADR	itocy	2,972.53	2,586.24
11/14/12	15	ITRON INC COM	itri	583.80	634.35
11/26/13	42	ITT CORP NEW COM NEW	itt	1,768.92	1,699.32
11/03/14	19	IXYS CORP DEL COM	ixys	217.61	239.40
12/01/14	4	JACK IN THE BOX INC COM	jack	299.73	319.84
07/15/13	91	JANUS CAP GROUP INC COM	jns	878.14	1,467.83
12/12/14	212	JAPAN TOB INC ADR ISIN#US4711052054	japay	3,089.07	2,910.76
09/06/13	58	JARDEN CORP COM	jah	1,841.95	2,777.04
03/20/14	6	JAZZ PHARMACEUTICALS PLC SHS USD	jazz	901.14	982.38
08/06/12	6	JONES LANG LASALLE INC COM	jll	403.87	899.58
09/22/09	662	JPMORGAN CHASE & CO COM	jpm	29,895.53	41,427.96
07/29/14	62	KASIKORNBANK PUB CO LTD ADR	kpcpy	1,606.42	1,726.20
08/01/12	84	KATE SPADE & CO COM	kate	969.63	2,688.84
10/22/13	1860	KAYNE ANDERSON MLP INVT CO COM	kyn	67,291.64	71,014.80
08/13/14	33	KB HOME COM	kbh	537.80	546.15
10/03/14	81	KERING S A ADR	ppruy	1,534.14	1,563.30
11/24/14	4	KEURIG GREEN MTN COFFEE INC	gmcr	551.60	529.58
11/16/10	1170	KIMBERLY CLARK CORP COM	kmb	75,904.61	135,181.80
04/10/14	2010	KINDER MORGAN INC DEL COM	kmi	65,661.88	85,043.10
06/19/14	76	KOC HLDG ADR	kholy	1,856.68	2,015.60
04/19/12	6	KOPPERS HOLDINGS INC COM	kop	219.54	155.88
09/06/13	138	KOREA ELECTRIC PWR SPONSORED ADR	kep	1,904.57	2,671.68
11/29/10	440	KRAFT FOODS GROUP INC COM	krft	13,750.12	27,570.40
11/05/14	37	KROGER CO COM	kr	2,123.06	2,375.77
11/28/12	85	KULICKE & SOFFA INDS INC COM	klic	1,054.93	1,229.10
11/30/11	22	L BRANDS INC COM	lb	1,158.40	1,904.10
12/04/14	50	LA QUINTA HLDGS INC COM	lq	1,078.69	1,103.00
09/08/14	8	LABORATORY CORP AMER HLDGS COM NEW	lh	864.35	863.20
11/09/09	49	LAM RESEARCH CORP COM	lrcx	1,544.22	3,887.66
05/05/11	79	LAUDER ESTEE COS INC CL A	el	3,968.76	6,019.80
12/02/14	19	LAZARD LTD SHS A	laz	961.43	950.57
07/22/13	18	LENNOX INTL INC COM	lii	1,273.55	1,711.26
03/07/14	141	LEVEL 3 COMMUNICATIONS INC COM NEW	lvit	5,794.10	6,962.58
09/10/14	139	LG DISPLAY CO LTD SPONS ADR REP	lpl	2,282.50	2,105.85
11/18/10	882	LIBERTY PPTY TR	lpt	28,890.87	33,189.66
04/14/11	23	LIFE TIME FITNESS INC COM	ltm	1,042.72	1,302.26
10/18/11	82	LINCOLN NATL CORP IND COM	lnc	2,412.33	4,728.94
12/12/14	147	LINDE AG SPONSORED ADR LE	lnegy	2,725.38	2,742.87
11/19/12	44	LINKEDIN CORP COM CL A	lnkd	8,907.31	10,107.24
06/24/14	680	LIONS GATE ENTMTNT CORP COM NEW	lgf	19,053.80	21,773.60
06/23/14	11	LITHIA MTRS INC CL A	lad	1,013.56	953.59
12/10/14	18	LIVE NATION ENTERTAINMENT INC COM	lyv	468.15	469.98
10/09/13	480	LOWES COS INC COM	low	22,462.75	33,024.00
02/28/12	880	LTC PPTYS INC COM	ltc	27,940.00	37,989.60
05/06/13	88	LVMH MOET HENNESSY LOU VUITTON ADR	lvmyy	3,097.60	2,816.53
05/30/13	35	MACK CALI RLTY CORP COM	cli	937.14	667.10
08/16/13	23	MACYS INC COM	m	1,161.45	1,512.25

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
01/18/13	220	MAGNA INTL INC COM	mga	12,591.06	23,911.80
02/07/14	14	MALLINCKRODT PUB LTD CO SHS	mnk	807.38	1,386.42
12/19/14	116	MARRIOTT INTL INC NEW CL A	mar	8,959.19	9,051.48
07/28/14	6	MARTIN MARIETTA MATLS INC COM	mlm	775.94	661.92
08/08/14	30	MASTEC INC COM	mtz	887.12	678.30
05/24/13	530	MASTERCARD INC CL A	ma	32,673.45	45,664.80
02/08/13	8	MATTHEWS INTL CORP CL A	matw	255.44	389.36
01/10/13	26	MAXIMUS INC COM	mms	964.54	1,425.84
11/03/10	390	MCKESSON CORP COM	mck	19,796.70	80,956.20
02/27/14	11	MEAD JOHNSON NUTRITION CO COM	mjn	894.98	1,105.94
07/08/14	9	MEDIVATION INC COM	mdvn	672.48	896.49
04/02/14	11	MEDNAX INC COM	md	703.63	727.21
12/28/12	58	MENTOR GRAPHICS CORP COM	ment	1,053.22	1,271.36
04/05/11	24	MEREDITH CORP COM	mdp	836.27	1,303.68
06/14/13	78	MGM RESORTS INTERNATIONAL COM	mgm	1,152.12	1,667.64
12/22/11	114	MICHAEL KORS HLDGS LTD SHS	kors	4,243.40	8,561.40
03/08/13	85	MICRON TECHNOLOGY INC COM	mu	779.41	2,975.85
08/17/09	168	MICROSEMI CORP COM	mscc	3,103.92	4,767.84
09/03/14	354	MICROSOFT CORP COM	msft	16,183.63	16,443.30
01/24/13	9	MIDDLEBY CORP COM	midd	427.47	891.90
04/02/14	59	MILLER HERMAN INC COM	mlhr	1,829.26	1,736.37
05/06/13	349	MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	mtu	2,387.16	1,929.97
11/09/12	28	MOLINA HEALTHCARE INC COM	moh	911.50	1,498.84
05/20/14	28	MOLSON COORS BREWING CO CL B	tap	1,810.38	2,086.56
10/24/14	8	MONOLITHIC PWR SYS INC COM	mpwr	321.61	397.92
08/21/12	44	MONSANTO CO NEW COM	mon	3,913.70	5,256.68
03/14/12	21	MONTPELIER RE HOLDINGS LTD SHS	mrh	403.83	752.22
04/03/12	15	MOODYS CORP COM	mco	641.80	1,437.15
11/03/10	1	MOTOROLA SOLUTIONS INC COM NEW	msi	32.31	67.07
04/03/13	410	MYLAN INC COM	628530107	11,618.05	23,111.70
08/20/14	34	NABORS INDUSTRIES LTD SHS	nbr	874.75	441.32
03/30/12	210	NATIONAL OILWELL VARCO INC COM	nov	14,997.42	13,761.30
04/06/11	3070	NATIONAL RETAIL PPTYS INC COM	nnn	80,893.42	120,865.90
09/18/12	9	NBT BANCORP INC COM	nbtb	201.87	236.43
01/14/14	57	NCI BUILDING SYS INC COM NEW	ncs	1,098.09	1,055.64
03/08/13	9	NELNET INC CL A	nni	311.22	416.97
08/26/14	19	NETAPP INC COM	ntap	790.02	787.55
05/15/14	60	NEURALSTEM INC COM	cur	202.68	163.20
01/14/14	15	NEUROCRINE BIOSCIENCES INC COM	nbix	285.68	335.10
11/10/14	11	NEVRO CORP COM	nvro	282.45	425.37
11/22/13	79	NEW RESIDENTIAL INVT CORP COM NEW	nrz	1,028.99	1,008.83
10/30/14	28	NEWFIELD EXPL CO COM	nfx	878.93	759.36
04/29/13	109	NEXTERA ENERGY INC COM	nee	8,862.46	11,585.61
06/18/14	27	NIMBLE STORAGE INC COM	nmbi	713.80	742.50
05/06/13	229	NISSAN MOTORS SPONSORED ADR	nsany	4,294.67	4,037.73
06/19/14	193	NOMURA HLDGS INC SPONSORED ADR	nmr	1,401.00	1,094.31
11/03/10	340	NORFOLK SOUTHERN CORP COM	nsc	16,846.46	37,267.40
01/06/11	1845	NORTHEAST UTILS COM	nu	61,240.99	98,744.40
04/29/13	160	NORTHERN TR CORP COM	ntrs	8,508.78	10,784.00
03/30/12	760	NORTHWESTERN CORP COM NEW	nwe	27,032.74	43,000.80
10/27/14	8	NPS PHARMACEUTICALS INC COM	npsp	216.13	286.16
07/29/14	63	NRG ENERGY INC COM NEW	nrg	2,058.99	1,697.85
02/01/13	54	NXP SEMICONDUCTORS N V COM	nxpi	1,554.37	4,125.60
03/30/12	180	OCCIDENTAL PETE CORP DEL COM	oxy	16,531.38	14,509.80
11/18/14	75	OLD NATL BANCORP IND COM	onb	1,110.69	1,116.00
08/09/11	30	OLIN CORP COM PAR \$1	olin	564.57	683.10
10/13/14	38	OM ASSET MGMT PLC SHS	omam	524.26	617.12
12/23/10	27	OMEGA HEALTHCARE INVS INC COM	ohi	595.74	1,054.89
09/25/12	39	ON ASSIGNMENT INC COM	asgn	883.83	1,294.41
04/29/13	264	ORACLE CORP COM	orcl	8,526.59	11,872.08
10/27/14	24	ORASURE TECHNOLOGIES COM	osur	201.54	243.36
03/06/13	56	OREXIGEN THERAPEUTICS INC COM	orex	366.23	339.36
09/06/07	25	OWENS & MINOR INC NEW COM	omi	705.71	877.75
10/15/14	19	OWENS CORNING NEW COM	oc	543.33	680.39
06/23/14	9	PACIRA PHARMACEUTICALS INC COM	pcrx	889.90	797.94
04/17/14	68	PALO ALTO NETWORKS INC COM	panw	4,765.26	8,334.76
05/05/14	133	PANDORA A/S ADR ISIN#US6983411041	pndzy	2,256.48	2,725.97
07/24/14	21	PAPA JOHNS INTL INC COM	pzza	936.90	1,171.80

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
03/30/12	860	PAYCHEX INC COM	payx	26,847.65	39,706.20
01/08/14	80	PBF ENERGY INC CL A	pbf	2,218.31	2,131.20
01/28/14	59	PDC ENERGY INC COM	pdce	2,641.47	2,434.93
10/13/14	13	PEBBLEBROOK HOTEL TR COM	peb	496.55	593.19
10/20/10	61	PENNSYLVANIA RL ESTATE INVT TR SH BEN INT	pei	869.83	1,431.06
04/29/13	106	PEPSICO INC COM	pep	8,767.25	10,023.36
11/03/10	1866	PFIZER INC COM	pfe	27,618.76	58,125.90
11/25/13	14	PHARMACYCLICS INC COM	pcyc	1,797.96	1,711.64
11/03/10	790	PHILIP MORRIS INTL INC COM	pm	48,075.19	64,345.50
11/03/10	225	PHILLIPS 66 COM	psx	7,499.42	16,132.50
06/11/14	24	PINNACLE FOODS INC DEL COM	pf	761.03	847.20
06/07/10	74	PIONEER NAT RES CO COM	pxd	6,161.30	11,014.90
01/07/14	37	PITNEY BOWES INC COM	pbi	877.99	901.69
08/17/09	23	PLANTRONICS INC NEW COM	plt	684.89	1,219.46
12/27/13	180	POLARIS INDS INC COM	pil	25,829.37	27,223.20
06/26/12	51	POLYONE CORP COM	pol	954.68	1,933.41
09/23/14	9	POOL CORPORATION COM	pool	494.82	570.96
04/14/14	18	POPEYES LA KITCHEN INC COM	plki	733.05	1,012.86
02/04/11	69	PORTLAND GEN ELEC CO COM NEW	por	1,846.19	2,610.27
05/28/13	60	PORTOLA PHARMACEUTICALS INC COM	ptla	1,094.97	1,699.20
12/04/14	2423	POWERSHARES GLOBAL ETF TRUST AGG PFD PORT	pgx	35,301.66	35,618.10
12/08/10	1540	PPL CORP COM	ppl	38,759.80	55,948.20
06/24/13	16	PRA GROUP, INC.	praa	879.44	926.88
11/17/14	16	PRA HEALTH SCIENCES INC COM	prah	324.03	387.52
10/09/12	37	PRECISION CASTPARTS CORP COM	pcp	7,088.59	8,912.56
10/07/09	4	PRICELINE GRP INC COM NEW	pcin	908.95	4,560.84
09/02/14	132	PROCTER & GAMBLE CO COM	pg	10,958.49	12,023.88
12/20/13	10	PROOFPOINT INC COM	pfpt	295.01	482.30
03/08/13	28	PROSPECT CAPITAL CORPORATION COM	psec	312.20	231.28
12/15/10	15	PROSPERITY BANCSHARES INC COM	pb	586.34	830.40
08/07/09	24	PROTECTIVE LIFE CORP COM	pl	505.39	1,671.60
03/01/13	320	PRUDENTIAL FINL INC COM	pru	17,632.00	28,947.20
01/18/12	100	PRUDENTIAL PLC ADR	puk	3,193.75	4,617.00
05/05/14	157	PT BK MANDIRI PERSERO TBK ADR	ppery	1,347.06	1,365.90
05/05/14	77	PT BK RAKYAT ADR SHS B	bkrky	1,334.41	1,448.60
05/22/14	14	PTC INC COM	ptc	511.24	513.10
11/03/10	1030	PUBLIC SVC ENTERPRISE GROUP COM	peg	32,394.22	42,652.30
04/16/13	1550	PULTE GROUP INC COM	phm	29,558.79	33,263.00
12/20/13	4	PUMA BIOTECHNOLOGY INC COM	pbyi	408.98	757.08
09/24/13	94	QLOGIC CORP COM	qlgc	1,062.01	1,252.08
06/01/12	314	QUALCOMM INC COM	qcom	18,400.44	23,339.62
05/24/13	800	QUANTA SVCS INC COM	pwr	23,066.40	22,712.00
04/15/14	37	QUINTILES TRANSNATIO HLDGS INC COM	q	1,791.85	2,178.19
02/06/14	123	RAIT FINANCIAL TRUST COM NEW	ras	1,036.67	943.41
05/20/10	9	RALPH LAUREN CORP CL A	rl	839.77	1,666.44
12/16/14	8	RANGE RES CORP COM	rrc	476.63	427.60
01/08/14	69	RAYMOND JAMES FINANCIAL INC COM	rjf	3,673.91	3,953.01
05/24/13	610	REALTY INCOME CORP COM	o	30,655.73	29,103.10
11/20/14	2	RECEPTOS INC COM	rcpt	234.81	245.02
09/23/14	10	RENTRAK CORP COM	rent	544.92	728.20
10/14/13	97	RESTORATION HARDWARE HLDGS INC COM	rh	7,072.12	9,312.97
02/04/14	34	REXNORD CORP NEW COM	rxn	945.18	959.14
04/23/14	113	RF MICRODEVICES INC COM	rfmd	975.30	-
01/22/14	38	RICOH LTD ADR NEW	ricoy	2,243.14	1,947.61
07/18/13	379	RITE AID CORP COM	rad	1,742.91	2,850.08
04/06/11	30	ROBERT HALF INTL INC COM	rhi	820.84	1,751.40
02/04/08	132	ROCHE HLDG LTD SPONSORED ADR	rhby	3,196.60	4,481.80
11/06/14	21	ROCK-TENN CO CL A	rkt	1,146.90	1,280.58
10/09/14	12	ROSS STORES INC COM	rost	916.62	1,131.12
10/09/13	48	ROYAL BK CDA MONTREAL QUE COM	ry	3,270.00	3,315.36
01/28/14	82	ROYAL CARIBBEAN CRUISES LTD COM	rcl	4,443.89	6,759.26
08/17/09	19	RYDER SYS INC COM	r	842.10	1,764.15
12/05/14	15	SAIA INC COM	saia	807.40	830.40
01/25/13	7	SANDISK CORP COM	sndk	347.34	685.86
07/29/14	200	SANLAM LTD SPONSORED ADR	sldy	2,373.60	2,420.40
02/25/13	50	SANMINA CORPORATION COM	sanm	510.73	1,176.50
02/06/14	23	SASOL LTD SPONSORED ADR	ssl	1,111.14	873.31
10/03/14	24	SBA COMMUNICATIONS CORP COM	sbac	2,647.93	2,658.24

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
03/16/12	600	SCANA CORP NEW COM	scg	26,825.10	36,240.00
06/07/10	62	SCHEIN HENRY INC COM	hsic	3,404.54	8,441.30
09/18/09	379	SCHLUMBERGER LTD COM	slb	26,998.79	32,370.39
06/05/12	10	SCHULMAN A INC COM	shlm	210.20	405.30
04/29/13	248	SCHWAB CHARLES CORP NEW COM	schw	4,206.05	7,487.12
03/11/14	15	SCIENCE APPLICATNS INTL CP NEW COM	saic	554.77	742.95
01/22/14	149	SEKISUI HOUSE LTD SPONSORED ADR	skhsy	2,238.10	1,972.31
06/06/13	2530	SELECT INCOME REIT COM SH BEN INT	sir	69,722.10	61,757.30
02/08/13	73	SELECT MED HLDGS CORP COM	sem	915.34	1,051.20
11/20/14	3	SEMGROUP CORP CL A	semg	241.59	205.17
11/07/13	158	SENSATA TECHNOLOGIES HLDG BV A SHS	st	5,868.04	8,280.78
07/29/13	30	SERVICENOW INC COM	now	1,283.22	2,035.50
05/06/13	152	SEVEN & I HLDGS CO LTD ADR	svndy	2,867.10	2,762.75
11/04/13	9	SHERWIN WILLIAMS CO COM	shw	1,689.77	2,367.36
11/04/14	25	SHIRE PLC SPONSORED ADR	shpg	4,893.10	5,313.50
10/07/14	12	SHUTTERSTOCK INC COM	sstk	843.38	829.20
06/30/14	6	SIGNATURE BK NEW YORK N Y COM	sbny	754.26	755.76
03/10/14	25	SIGNET JEWELERS LIMITED SHS	sig	2,610.76	3,289.25
05/06/13	134	SK TELECOM LTD SPONSORED ADR	skm	2,843.40	3,619.34
09/26/14	10	SKECHERS U S A INC CL A	skx	541.16	552.50
06/23/14	29	SKYWORKS SOLUTIONS INC COM	swks	1,513.31	2,108.59
09/26/14	40	SMART & FINAL STORES INC COM	sfs	515.93	629.20
01/22/14	129	SMITH & NEPHEW PLC SPDN ADR NEW	snn	4,015.70	4,739.46
12/19/12	28	SMITH A O COM	aos	1,026.16	1,579.48
05/05/14	27	SODEXO SPONSORED ADR	sdxay	2,926.53	2,655.21
09/10/14	89	SOFTBANK CORP ADR	sftby	3,084.15	2,676.05
03/19/10	9	SOTHEBYS COM	bid	325.28	388.62
09/20/13	12	SOVRAN SELF STORAGE INC COM	sss	921.77	1,046.64
10/10/14	14	SPARTANNASH CO COM	sptn	284.84	365.96
04/06/11	1080	SPECTRA ENERGY CORP COM	se	29,244.02	39,204.00
03/28/14	18	SPIRIT AIRLS INC COM	save	1,139.14	1,360.44
10/14/13	75	SPLUNK INC COM	splk	4,448.56	4,421.25
10/11/13	13	SPS COMM INC COM	spsc	878.85	736.19
08/07/14	8	SS&C TECHNOLOGIES HLDGS INC COM	ssnc	342.17	467.92
08/05/14	9	STANLEY BLACK & DECKER INC COM	swk	782.82	864.72
02/22/13	340	STARBUCKS CORP COM	sbux	19,436.57	27,897.00
06/12/13	126	STARWOOD HOTELS&RESORTS WRLDWD COM	hot	8,858.33	10,214.82
03/31/14	23	STARZ COM SER A	strza	745.86	683.10
04/17/13	220	STATE STR CORP COM	stt	12,594.60	17,270.00
11/24/14	20	SUNEDISON INC COM	sune	456.56	390.20
05/01/14	197	SUNSTONE HOTEL INVS INC NEW COM	sho	2,855.87	3,252.47
03/19/10	327	SUNTRUST BKS INC COM	sti	8,153.90	13,701.30
07/17/14	33	SUPER MICRO COMPUTER INC COM	smci	831.69	1,151.04
05/06/13	149	SWEDBANK A B SPD ADR	swdby	3,745.74	3,721.13
07/17/13	24	SWIFT TRANSN CO CL A	swft	399.09	687.12
07/12/13	17	SYKES ENTERPRISES INC COM	syke	285.35	398.99
02/13/12	21	SYMETRA FINL CORP COM	sya	207.48	484.05
04/22/13	47	SYNCHRONOSS TECHNOLOGIES INC COM	sncr	1,491.17	1,967.42
12/05/14	32	SYNCHRONY FINL COM	syf	929.54	952.00
03/16/12	1220	SYSO CORP COM	syy	38,180.79	48,421.80
10/14/08	115	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	tsm	1,619.83	2,573.70
07/29/14	48	TATA MTRS LTD SPONSORED ADR	ttm	1,928.28	2,029.44
10/13/14	11	TAUBMAN CTRS INC COM	tco	815.25	840.62
12/14/11	22	TCF FINL CORP COM	tcb	211.86	349.58
10/24/14	42	TD AMERITRADE HLDG CORP COM	amtd	1,404.53	1,502.76
08/13/12	19	TEAM HEALTH HOLDINGS INC COM	tmh	552.14	1,093.07
10/29/13	14	TECH DATA CORP COM	tecd	730.89	885.22
09/06/13	243	TECHTRONIC INDS LTD SPONSORED ADR	ttndy	2,924.14	3,916.92
08/17/09	48	TECO ENERGY INC COM	te	731.97	983.52
07/29/14	152	TENAGA NASIONAL BERHAD SPONSORED ADR	tnaby	2,400.08	2,399.62
05/06/13	180	TENCENT HLDGS LTD ADR	tcehy	1,281.35	2,611.26
11/06/13	48	TENET HEALTHCARE CORP COM NEW	thc	2,189.04	2,432.16
10/17/13	10	TENNECO INC COM	ten	526.50	566.10
07/22/14	28	TERRAFORM PWR INC CL A COM	terp	930.08	864.64
06/18/14	8	TESARO INC COM	tsro	236.05	297.52
10/14/13	3	TESLA MTRS INC COM	tsla	544.14	667.23
04/29/13	183	TEXAS INSTRS INC COM	txn	6,606.12	9,784.10
03/15/13	370	TEXTRON INC COM	txt	11,464.38	15,580.70

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
06/07/10	582	THERMO FISHER SCIENTIFIC INC COM	tmo	26,029.23	72,918.78
11/03/10	25	TIME INC NEW COM	time	164.04	615.25
11/03/10	353	TIME WARNER INC COM NEW	twx	12,654.52	30,153.26
10/25/13	130	TIVO INC COM	tivo	1,680.49	1,539.20
05/22/14	46	TOLL BROTHERS INC COM	toi	1,612.60	1,576.42
01/04/13	16	TRACTOR SUPPLY CO COM	tsco	744.99	1,261.12
11/18/10	940	TRANSCANADA CORP COM	trp	36,929.70	46,154.00
03/14/13	7	TRANSDIGM GROUP INC COM	tdg	1,053.92	1,374.45
12/04/14	20	TRAVELCENTERS OF AMER COM	ta	207.00	252.40
05/14/14	13	TRIPADVISOR INC COM	trip	1,097.33	970.58
09/25/13	18	TRIPLE S MGMT CORP CL B	gts	344.23	430.38
11/17/14	13	TRIUMPH GROUP INC NEW COM	tgi	878.11	873.86
04/29/13	125	TRW AUTOMOTIVE HLDGS CORP COM	trw	7,359.98	12,856.25
08/17/09	37	TUTOR PERINI CORP COM	tpc	782.81	890.59
12/01/14	29	TYCO INTL LTD SHS ISIN#IE00BQRQXQ92	tyc	1,244.35	1,271.94
06/25/14	12	TYLER TECHNOLOGIES INC COM	tyl	1,079.05	1,313.28
11/27/12	79	TYSON FOODS INC CL A	tsn	2,244.72	3,167.11
04/25/14	16	U S SILICA HLDGS INC COM	slca	851.63	411.04
04/29/13	453	UBS GROUP AG SHS	ubs	7,895.25	7,723.65
08/18/11	1470	UGI CORP NEW COM	ugi	26,879.73	55,830.60
08/18/11	1220	UIL HLDG CORP COM	uil	40,576.24	53,118.80
01/10/14	10	ULTA SALON COSMETCS & FRAG INC COM	ulta	890.04	1,278.40
09/26/13	46	ULTRA PETROLEUM CORP COM	upl	1,102.19	605.36
12/10/14	6	ULTRAGENYX PHARMACEUTICAL INC COM	rare	238.78	263.28
05/08/13	16	UMPQUA HLDGS CORP COM	umpq	200.32	272.16
02/05/14	23	UNDER ARMOUR INC CL A	ua	1,294.50	1,561.70
02/22/11	228	UNILEVER N V N Y SHS NEW	un	8,987.03	8,901.12
02/26/10	440	UNION PAC CORP COM	unp	29,157.27	52,417.20
07/21/14	37	UNISYS CORP COM NEW	uis	849.51	1,090.76
05/30/13	21	UNIT CORP COM	unt	963.73	716.10
08/04/14	32	UNITED CONTL HLDGS INC COM	ual	1,374.06	2,140.48
07/16/10	13	UNITED NAT FOODS INC COM	unfi	441.05	1,005.23
05/06/13	42	UNITED OVERSEAS BK LTD SPONSORED ADR	uovey	1,478.75	1,555.01
04/29/13	99	UNITED PARCEL SERVICE INC CL B	ups	8,553.60	11,005.83
07/15/13	114	UNITED RENTALS INC COM	uri	6,177.66	11,629.14
01/12/12	287	UNITED TECHNOLOGIES CORP COM	utx	23,380.97	33,005.00
07/01/14	17	UNIVERSAL HLTH SVCS INC CL B	uhs	1,638.43	1,891.42
12/01/14	14	URBAN OUTFITTERS INC COM	urbn	437.78	491.82
09/23/14	12	US ECOLOGY INC COM	ecol	531.49	481.44
09/18/12	170	V F CORP COM	vfc	7,989.55	12,733.00
01/29/13	106	VALEANT PHARMACEUTICALS INTL I COM	vrx	9,613.35	15,169.66
12/18/14	12	VCA, INC	woof	585.97	585.24
12/08/10	1030	VECTREN CORP COM	vvc	25,831.37	47,616.90
12/08/10	582	VENTAS INC COM	vtr	26,139.69	41,729.40
10/15/14	5	VERINT SYS INC COM	vmt	256.42	291.40
08/13/13	235	VERISK ANALYTICS INC CL A	vrsk	14,808.22	15,051.75
09/22/11	1272	VERIZON COMMUNICATIONS INC COM	vz	46,388.68	59,504.16
01/31/14	20	VERTEX PHARMACEUTICALS INC COM	vrtx	1,487.61	2,376.00
12/16/10	175	VISA INC COM CL A	v	21,111.83	45,885.00
11/20/13	85	VISHAY INTERTECHNOLOGY INC COM	vsh	1,049.74	1,202.75
07/23/13	96	VOYA FINL INC COM	voya	3,074.09	4,068.48
02/13/14	16	WABCO HLDGS INC COM	wbc	1,645.68	1,676.48
05/16/13	580	WABTEC CORP COM	wab	32,575.82	50,396.20
09/06/12	81	WALGREEN BOOTS ALLIANCE INC COM	wba	2,838.02	6,172.20
08/17/09	53	WASHINGTON FED INC COM	wafd	860.08	1,173.95
11/11/14	7	WATSCO INC COM	wso	716.00	749.00
07/18/13	17	WATTS WATER TECHNOLOGIES INC CL A	wtz	908.50	1,078.48
07/09/14	7	WEBMD HEALTH CORP COM	wbmd	362.29	276.85
10/24/11	144	WEBSTER FINL CORP CONN COM	wbs	4,108.40	4,684.32
07/16/14	26	WELLCARE HEALTH PLANS INC COM	wcg	1,823.25	2,133.56
04/04/12	620	WELLS FARGO & CO NEW COM	wfc	21,053.96	33,988.40
01/08/13	9	WESBANCO INC COM	wsbc	203.22	313.20
08/18/11	1090	WESTAR ENERGY INC COM	wr	27,337.20	44,951.60
07/03/13	40	WESTERN DIGITAL CORP COM	wdc	3,219.19	4,428.00
09/09/14	499	WESTERN UN CO COM	wu	8,600.78	8,937.09
09/06/07	13	WEX INC COM	wex	759.95	1,285.96
11/16/12	25	WHOLE FOODS MKT INC COM	wfm	1,158.09	1,260.50
01/19/12	8	WILEY JOHN & SONS INC CL A	jw a	356.62	473.92

MICHAEL E. HORN FAMILY FOUNDATION, INC.
26-1375584
PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
07/17/14	21	WILLIAMS SONOMA INC COM	wsm	1,438.85	1,589.28
02/17/11	18	WINTRUST FINL CORP COM	wafc	606.69	841.68
01/08/13	119	WISDOMTREE INVTS INC COM	wetf	1,467.48	1,865.33
11/27/13	19	WORKDAY INC CL A	wday	1,678.41	1,550.59
05/06/11	24	WORTHINGTON INDS INC COM	wor	491.46	722.16
11/29/11	44	WRIGHT MED GROUP INC COM	wmgi	969.85	1,182.28
08/18/11	1170	XCEL ENERGY INC COM	xel	27,223.21	42,026.40
07/30/14	34	XL GROUP PLC SHS	xl	1,127.34	1,168.58
04/28/14	21	ZIMMER HLDGS INC COM	zmh	2,075.44	2,381.82
11/03/10	587	ZOETIS INC CL A	zts	8,777.75	25,258.61
				4,047,733.30	5,719,668.33

FOREIGN STOCKS

05/06/13	422	BANK OF CHINA ADR	bachy	5,052.58	5,945.14
11/08/13	13	ICON PLC SHS	iclr	531.33	662.87
05/06/13	118	LENOVO GROUP LTD SPONSORED ADR	lnvgy	2,108.66	3,104.11
07/15/13	16	NETEASE INC SPONSORED ADR	ntes	1,042.40	1,586.24
11/14/12	42	PAN AMERICAN SILVER CORP COM	paas	614.63	386.40
06/19/14	136	PT ASTRA INTL TBK ADR	ptaiy	1,645.87	1,630.64
06/19/14	50	WUXI PHARMATECH CAYMAN INC SPONS ADR SHS	wx	1,645.69	1,683.50
				12,641.16	14,998.89

EXCHANGE TRADED FUNDS

12/19/14	955	BLDRS INDEX FDS TR DEVELOPED MKTS 100 ADR INDEX FD	adrd	21,811.96	21,401.45
06/24/14	883	ISHARES MSCI AC ASIA ETF	aaxj	54,486.42	53,801.19
09/12/12	24	ISHARES RUSSELL 2000 ETF	iwm	1,913.69	2,870.88
05/08/13	1792	POWERSHARES QQQ TRUST UNIT SER 1	qqq	151,586.31	185,024.00
12/17/14	1060	SELECT SECTOR SPDR TR SBI HEALTHCARE	xlv	71,931.28	72,482.80
08/01/11	1176	SELECT SECTOR SPDR TR SBI INT-INDS	xli	43,436.60	66,538.08
09/24/10	2267	SPDR SERIES TRUST BRC HGH YLD BD	jnk	89,638.71	87,528.87
08/05/13	1389	SPDR SERIES TRUST S&P REGL BKG	kre	53,610.18	56,532.30
12/19/14	317	VANGUARD INDEX FDS GROWTH ETF	vug	33,462.49	33,091.63
12/19/14	22	VANGUARD INDEX FDS MCAP GR IDXVIP	vot	2,260.28	2,235.42
12/19/14	71	VANGUARD INDEX FDS MCAP VL IDXVIP	voe	6,452.48	6,349.53
12/19/14	10	VANGUARD INDEX FDS SM CP VAL ETF	vbr	1,066.60	1,057.70
12/19/14	9	VANGUARD INDEX FDS SML CP GRW ETF	vbk	1,142.57	1,133.46
12/19/14	215	VANGUARD INDEX FDS VALUE ETF	vtv	18,376.03	18,165.35
06/24/14	472	VANGUARD WORLD FDS INF TECH ETF	vgt	45,590.48	49,314.56
				596,766.08	657,527.22

CLOSED-END MUTUAL FUNDS

12/08/14	1411	UBS AG JERSEY BRH ALERIAN INFRST	mlpi	56,538.77	57,286.60
11/02/11	1578	VANGUARD INDEX FDS TOTAL STK MKT	vti	120,539.05	167,268.00
				177,077.82	224,554.60

MUTUAL FUNDS

03/18/14	19686.1	TWEEDY BROWNE FD INC GLOBAL VALUE	tbgvx	537,073.37	512,626.10
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CITY NATIONAL ROCHDALE FUNDS

03/13/13	10469.8	CITY NATL ROCHDALE FDS EMKTS FD CL N	nmix	352,481.10	411,253.78
12/21/10	75525.05	CITY NATL ROCHDALE FDS FXD INC OPP FD N	nmox	2,053,163.45	1,943,259.43
				2,405,644.55	2,354,513.22

CORPORATE BONDS

11/03/10	10000	SOUTHERN CALIF EDISON CO	842400eu3	9,947.00	10,099.10
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MICHAEL E. HORN FAMILY FOUNDATION, INC.
26-1375584
PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
11/03/10	15000	4 650% Due 04-01-15 HSBC FINANCE CORP	40429ccs9	14,622.45	15,302.70
11/03/10	10000	5.000% Due 06-30-15 TIME WARNER INC	887317aj4	10,016.60	10,139.80
11/03/10	10000	3.150% Due 07-15-15 PRUDENTIAL FINL INC MTNS BOOK	74432qbj3	9,976.70	10,261.20
11/03/10	15000	4.750% Due 09-17-15 NEWS AMER HLDGS INC	652478ay4	17,019.15	15,746.70
11/03/10	20000	7.600% Due 10-11-15 TYCO INTL GROUP S A	902118bn7	19,935.20	20,396.40
11/03/10	15000	3.375% Due 10-15-15 JOHNSON CTLS INC	478366ar8	15,838.90	15,708.45
11/03/10	10000	5.500% Due 01-15-16 CISCO SYS INC	17275rac6	10,319.90	10,550.20
11/03/10	15000	5.500% Due 02-22-16 COMCAST CORP NEW	20030nal5	15,008.70	15,903.00
03/23/11	75000	5.900% Due 03-15-16 BANK AMER CHRLT NC MTN	06050tp8	81,038.25	79,632.75
03/31/11	75000	6.000% Due 06-15-16 WHIRLPOOL CORP	963320an6	83,265.00	80,793.75
11/03/10	25000	6.500% Due 06-15-16 BANK OF AMERICA CORPORATION	060505cl6	25,532.75	26,614.75
12/26/12	100000	5.750% Due 08-15-16 WESTERN UN CO	959802ab5	110,202.00	107,369.00
04/24/14	45000	5.930% Due 10-01-16 VALERO ENERGY CORP NEW	91913yam2	51,623.30	49,428.45
11/03/10	15000	6.125% Due 06-15-17 BLACKROCK INC	09247xac5	15,521.70	16,804.35
11/03/10	15000	6.250% Due 09-15-17 GENERAL ELEC CAP CORP MTN BE	36962g3h5	14,064.60	16,650.75
11/03/10	130000	5.625% Due 09-15-17 CITIGROUP INC	172967em9	152,718.50	144,960.40
11/03/10	20000	6.125% Due 11-21-17 VERIZON COMMUNICATIONS INC	92343val8	18,717.05	22,197.20
11/03/10	20000	5.500% Due 02-15-18 ORACLE CORP	68389xac9	19,990.60	22,609.20
11/03/10	15000	5.750% Due 04-15-18 AT&T INC	00206rar3	16,076.55	17,037.60
11/03/10	10000	5.800% Due 02-15-19 GENERAL MLS INC	370334bh6	10,155.85	11,352.50
11/03/10	15000	5.650% Due 02-15-19 METLIFE INC	59156rat5	15,358.40	18,176.55
11/03/10	15000	7.717% Due 02-15-19 PEPSICO INC	713448bn7	14,977.65	16,523.70
11/03/10	15000	4.500% Due 01-15-20 SIMON PPTY GROUP LP	828807cd7	14,943.15	17,217.90
11/03/10	15000	5.650% Due 02-01-20 KRAFT FOODS INC	50075nba1	16,561.20	16,992.00
11/03/10	10000	5.375% Due 02-10-20 NEWS AMER INC	652482bn9	9,372.60	12,533.10
11/03/10	15000	6.150% Due 03-01-37 GOLDMAN SACHS GROUP INC	38141gfd1	14,192.10	18,860.70
		6.750% Due 10-01-37		806,995.85	819,862.20
FOREIGN CORPORATE BONDS					
11/03/10	15000	TELEFONICA EMISIONES S A U	87938waj2	15,179.50	15,014.10
11/03/10	15000	4.949% Due 01-15-15 ROYAL BK SCOTLAND PLC	78010xac5	14,991.85	15,115.35
11/03/10	25000	4.875% Due 03-16-15 BARCLAYS BK PLC	06739fgf2	24,967.75	26,640.00
11/03/10	20000	5.000% Due 09-22-16 ONTARIO PROV CDA	6832348d3	19,965.60	21,873.40
		4.000% Due 10-07-19			

MICHAEL E. HORN FAMILY FOUNDATION, INC.
26-1375584
PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
				75,104.70	78,642.85
GOVERNMENT BONDS					
11/04/10	35000	UNITED STATES TREAS NTS 1.375% Due 07-15-18	912828je1	34,717.92	40,367.83
11/04/10	15000	UNITED STATES TREAS BDS 4.375% Due 05-15-40	912810qh4	16,114.12	19,719.15
				50,832.04	60,086.98
TREASURY NOTES					
11/04/10	10000	UNITED STATES TREAS NTS 0.500% Due 04-15-15	912828my3	10,126.73	10,814.01
11/04/10	50000	UNITED STATES TREAS NTS 1.750% Due 07-31-15	912828np1	50,416.31	50,459.00
11/04/10	20000	UNITED STATES TREAS NTS 2.375% Due 07-31-17	912828nr7	20,675.00	20,726.60
11/04/10	5000	UNITED STATES TREAS NTS 3.125% Due 05-15-19	912828kq2	5,290.84	5,330.10
11/04/10	60000	UNITED STATES TREAS NTS 3.625% Due 02-15-20	912828mp2	60,436.18	65,784.60
11/04/10	25000	UNITED STATES TREAS NTS 3.500% Due 05-15-20	912828nd8	26,484.09	27,273.50
11/04/10	10000	UNITED STATES TREAS NTS 2.625% Due 08-15-20	912828nt3	10,121.13	10,443.00
11/04/10	5000	UNITED STATES TREAS BDS 6.250% Due 08-15-23	912810eq7	5,977.15	6,657.40
				189,527.43	197,488.21
TIPS					
11/04/10	30000	UNITED STATES TREAS NTS 2.375% Due 01-15-17 Inflation factor 1.17746	912828gd6	34,846.07	37,059.61
11/04/10	15000	UNITED STATES TREAS NTS 1.375% Due 01-15-20 Inflation factor 1.09807	912828mf4	15,473.39	17,288.18
11/04/10	30000	UNITED STATES TREAS BDS 2.375% Due 01-15-27 Inflation factor 1.17746	912810ps1	34,100.07	42,275.52
				84,419.53	96,623.31
MUNICIPAL BONDS					
11/03/10	15000	ILLINOIS ST 4.421% Due 01-01-15	4521518v8	15,000.00	15,000.00
02/16/11	15000	CONNECTICUT ST 5.000% Due 05-01-15	20772gxxg8	16,813.05	15,240.60
08/15/11	10000	MASSACHUSETTS ST G O 5.250% Due 08-01-15	57582nxd2	11,694.40	10,298.40
02/14/11	15000	WASHINGTON ST 5.000% Due 01-01-16	93974bkv3	15,415.10	15,720.45
01/31/11	10000	TEXAS TRANSN COMMN ST HWY FD R 5.000% Due 04-01-16	88283lee2	10,342.67	10,588.50
07/01/14	10000	KENTUCKY ST TPK AUTH ECONOMIC 5.000% Due 07-01-16	491552m7	10,920.90	10,685.70
11/03/10	10000	WASHINGTON ST 3.547% Due 08-01-17	93974cq5	10,000.00	10,589.80
01/18/11	10000	ANCHORAGE ALASKA 5.000% Due 09-01-17	033161ty6	11,177.30	11,106.60
03/01/11	15000	VIRGINIA ST RES AUTH INFRASTRU 5.000% Due 11-01-17	92817qb68	16,112.45	16,768.35
02/11/11	15000	SEATTLE WASH DRAIN & WASTEWATE	812631fb0	15,447.88	16,339.20

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
		5.000% Due 02-01-19			
02/05/13	10000	KANSAS ST DEPT TRANSN HWY REV	485424kn4	12,506.00	11,747.20
		5.500% Due 03-01-19			
02/04/09	15000	WISCONSIN ST	97705luc9	15,847.00	17,002.80
		5.000% Due 05-01-19			
02/23/11	10000	FLORIDA ST BRD ED PUB ED	34153pue6	11,346.20	11,815.70
		5.000% Due 06-01-20			
09/23/10	10000	BROWARD CNTY FLA	114889vv7	11,725.00	11,918.80
		5.000% Due 01-01-21			
12/02/13	10000	ALASKA MUN BD BK ALASKA MUN B	01179p6t9	11,785.50	11,840.40
		5.000% Due 07-01-21			
02/22/11	15000	HARRIS CNTY TEX	414005gz5	16,742.85	17,772.45
		5.000% Due 10-01-22			
11/05/13	15000	SPOKANE CNTY WASH	848644w71	17,420.36	18,072.15
		5.000% Due 12-01-23			
02/05/14	10000	FORT WORTH TEX WTR & SWR REV	349515rn8	11,765.30	12,258.60
		5.000% Due 02-15-25			
02/06/13	15000	PASADENA TEX INDPT SCH DIST FO	702334an3	18,116.96	18,091.05
		5.000% Due 02-15-25			
02/16/11	15000	SOUTH CAROLINA ST	83710d5q7	15,797.10	17,689.35
		5.000% Due 03-01-25			
08/30/12	10000	MILWAUKEE WIS	602366qr0	12,257.60	11,834.50
		5.000% Due 05-01-25			
11/03/10	15000	NEW YORK N Y	64966h4l1	15,000.00	17,258.40
		5.817% Due 10-01-31			
11/14/14	20000	WASHINGTON ST	93974bws7	21,784.26	21,738.60
		5.000% Due 01-01-32			
02/15/11	10000	CHICAGO ILL MET WTR RECLAMATIO	167560lt6	11,255.50	10,870.30
		5.000% Due 12-01-33			
11/03/10	30000	CALIFORNIA ST	13063a7d0	30,246.90	44,020.20
		7.300% Due 10-01-39			
				366,520.28	386,268.10

GNMA, FNMA, FHLMC POOLS

11/15/10	6491	GNMA PASS-THRU X PLATINUM 30YR	36241kks6	6,464.53	7,184.62
		5.000% Due 03-15-36			

FNMA

11/04/10	2428	FNMA PASS-THRU INT 15 YEAR	31410fyk5	2,428.86	2,619.82
		5.000% Due 03-01-21			
11/04/10	3673	FNMA PASS-THRU INT 15 YEAR	31414crw0	3,780.06	3,948.32
		4.500% Due 03-01-23			
11/04/10	1824	FNMA PASS-THRU INT 15 YEAR	31410g7c1	1,891.85	1,986.60
		5.000% Due 04-01-23			
11/04/10	845	FNMA PASS-THRU INT 15 YEAR	31415rvf8	892.28	911.62
		5.000% Due 07-01-23			
11/04/10	2135	FNMA PASS-THRU INT 15 YEAR	31412pey3	2,174.35	2,273.91
		4.000% Due 04-01-24			
11/04/10	5141	FNMA PASS-THRU INT 15 YEAR	31416rrq8	5,345.17	5,555.47
		4.500% Due 07-01-24			
11/04/10	5573	FNMA PASS-THRU INT 15 YEAR	31417kvn4	5,656.50	5,989.87
		4.000% Due 09-01-24			
11/04/10	2928	FNMA PASS-THRU INT 15 YEAR	31417vrs4	2,984.01	3,120.26
		4.000% Due 01-01-25			
11/04/10	1313	FNMA PASS-THRU LNG 30 YEAR	31410f2l8	1,316.62	1,434.13
		4.500% Due 01-01-36			
11/04/10	4596	FNMA PASS-THRU LNG 30 YEAR	31403dwh8	5,029.71	5,233.71
		6.500% Due 10-01-36			
11/04/10	948	FNMA PASS-THRU ARM MN SRV 30	31411x5d3	936.97	1,010.14
		5.908% Due 05-01-37			
11/04/10	1890	FNMA PASS-THRU LNG 30 YEAR	31410grk1	2,079.52	2,152.26
		6.500% Due 10-01-37			
11/04/10	18940	FNMA PASS-THRU LNG 30 YEAR	31410gty9	19,601.02	21,860.72
		6.000% Due 12-01-37			

MICHAEL E. HORN FAMILY FOUNDATION, INC.
26-1375584
PART II - END OF YEAR BALANCE SHEET

Date	Quantity	Security	Security Symbol	Total Cost	Market Value
11/04/10	14150	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 02-01-38	31414hkv8	14,161.14	15,900.62
11/04/10	18870	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 03-01-38	31414cgl6	18,827.70	21,339.48
11/04/10	3669	FNMA PASS-THRU LNG 30 YEAR 6.000% Due 06-01-38	31410kmr2	3,668.46	4,163.22
11/04/10	8379	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 04-01-39	31412pg96	8,436.06	9,103.16
11/04/10	3917	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 06-01-39	31416skb6	3,843.03	4,283.35
11/04/10	6416	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 05-01-40	31418tjs7	6,484.20	6,970.50
				<u>109,537.50</u>	<u>119,857.16</u>
FEDERAL HOME LOAN MORTGAGE					
11/04/10	717	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	715.81	765.13
11/04/10	2739	FHLMC PC GOLD COMB 15 5.000% Due 04-01-22	3128mbee3	2,908.89	2,942.48
11/04/10	2076	FHLMC PC GOLD CASH 20 5.000% Due 07-01-28	3128p7kf9	2,059.20	2,288.38
11/04/10	1043	FHLMC PC GOLD COMB 30 6.000% Due 12-01-37	3128l1kb5	1,065.70	1,187.57
11/04/10	1372	FHLMC PC GOLD COMB 30 6.000% Due 05-01-38	3128m6ha9	1,420.55	1,552.20
11/04/10	3310	FHLMC PC GOLD COMB 30 6.500% Due 04-01-39	3128m7q90	3,529.53	3,761.01
11/04/10	1732	FHLMC PC GOLD COMB 30 6.000% Due 06-01-39	3128m7n28	1,800.97	1,955.90
11/04/10	6650	FHLMC PC GOLD COMB 30 5.000% Due 12-01-39	312938nj8	6,924.73	7,426.02
11/04/10	16117	FHLMC PC GOLD COMB 30 5.000% Due 07-01-40	312941d20	17,113.99	17,852.62
11/04/10	12473	FHLMC PC GOLD COMB 30 5.000% Due 07-01-40	312941j99	13,413.28	13,808.80
				<u>50,952.65</u>	<u>53,540.12</u>
CASH AND EQUIVALENTS				530,386.30	530,386.30
TOTAL PORTFOLIO				<u><u>10,047,677.09</u></u>	<u><u>11,833,828.21</u></u>

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/03/10	01/15/14	372 4	ALLY AUTO REC TR 2010-3 1 550% Due 08-17-15	02005mae2	\$ 372 27	\$ 372.40		\$ 0 13
11/03/10	02/19/14	375 68	ALLY AUTO REC TR 2010-3 1 550% Due 08-17-15	02005mae2	\$ 375 55	\$ 375.68		\$ 0 13
11/03/10	03/18/14	338 69	ALLY AUTO REC TR 2010-3 1 550% Due 08-17-15	02005mae2	\$ 338.57	\$ 338 69		\$ 0 12
11/03/10	04/15/14	360 07	ALLY AUTO REC TR 2010-3 1.550% Due 08-17-15	02005mae2	\$ 359 94	\$ 360 07		\$ 0 13
11/03/10	05/15/14	328 96	ALLY AUTO REC TR 2010-3 1 550% Due 08-17-15	02005mae2	\$ 328 84	\$ 328 96		\$ 0 12
11/03/10	06/17/14	311 88	ALLY AUTO REC TR 2010-3 1.550% Due 08-17-15	02005mae2	\$ 311 77	\$ 311 88		\$ 0 11
11/03/10	07/15/14	317 54	ALLY AUTO REC TR 2010-3 1.550% Due 08-17-15	02005mae2	\$ 317 43	\$ 317 54		\$ 0.11
11/03/10	02/19/14	640	E M C CORP MASS COM	emc	\$ 8,774 68	\$ 16,235 04		\$ 7,460 36
11/04/10	09/16/14	15000	FEDERAL NATL MTG ASSN 3 000% Due 09-16-14	31398ayy2	\$ 14,959 50	\$ 15,000 00		\$ 40.50
11/04/10	01/15/14	50 98	FHLMC PC GOLD CASH 20 5.000% Due 07-01-28	3128p7kf9	\$ 50 58	\$ 50 98		\$ 0.40
11/04/10	02/18/14	63 01	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 62 51	\$ 63 01		\$ 0.50
11/04/10	03/17/14	355 56	FHLMC PC GOLD CASH 20 5.000% Due 07-01-28	3128p7kf9	\$ 352 75	\$ 355 56		\$ 2.81
11/04/10	04/15/14	11 39	FHLMC PC GOLD CASH 20 5.000% Due 07-01-28	3128p7kf9	\$ 11 30	\$ 11.39		\$ 0.09
11/04/10	05/15/14	118 21	FHLMC PC GOLD CASH 20 5.000% Due 07-01-28	3128p7kf9	\$ 117 28	\$ 118.21		\$ 0 93
11/04/10	06/16/14	46 05	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 45 69	\$ 46 05		\$ 0 36
11/04/10	07/15/14	10 76	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 10 67	\$ 10 76		\$ 0 09
11/04/10	08/15/14	11 43	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 11 34	\$ 11 43		\$ 0 09
11/04/10	09/15/14	126 02	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 125.02	\$ 126 02		\$ 1.00
11/04/10	10/15/14	23 21	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 23 03	\$ 23 21		\$ 0 18
11/04/10	11/17/14	73 35	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 72 77	\$ 73 35		\$ 0 58
11/04/10	12/15/14	61 67	FHLMC PC GOLD CASH 20 5 000% Due 07-01-28	3128p7kf9	\$ 61 18	\$ 61 67		\$ 0 49
11/04/10	01/15/14	27 27	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 27 21	\$ 27 27		\$ 0 06
11/04/10	02/18/14	24 15	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 24 09	\$ 24 15		\$ 0 06
11/04/10	03/17/14	24 31	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	\$ 24 25	\$ 24 31		\$ 0 06
11/04/10	04/15/14	19 55	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 19 51	\$ 19 55		\$ 0 04
11/04/10	05/15/14	23.92	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 23 86	\$ 23 92		\$ 0 06
11/04/10	06/16/14	23.98	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	\$ 23 92	\$ 23.98		\$ 0.06
11/04/10	07/15/14	21.24	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 21 19	\$ 21 24		\$ 0 05
11/04/10	08/15/14	18.96	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 18 92	\$ 18 96		\$ 0.04
11/04/10	09/15/14	17.55	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	\$ 17 51	\$ 17 55		\$ 0.04
11/04/10	10/15/14	20.35	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	\$ 20 30	\$ 20 35		\$ 0.05
11/04/10	11/17/14	17.18	FHLMC PC GOLD COMB 15 4 500% Due 03-01-21	3128m1bf5	\$ 17 14	\$ 17 18		\$ 0.04
11/04/10	12/15/14	19.32	FHLMC PC GOLD COMB 15 4.500% Due 03-01-21	3128m1bf5	\$ 19 28	\$ 19.32		\$ 0.04
11/04/10	01/15/14	90 39	FHLMC PC GOLD COMB 15 5.000% Due 04-01-22	3128mbee3	\$ 96 01	\$ 90 39		\$ (5.62)
11/04/10	02/18/14	93.72	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 99.55	\$ 93 72		\$ (5 83)
11/04/10	03/17/14	99 29	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 105 46	\$ 99 29		\$ (6 17)
11/04/10	04/15/14	92 51	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 98 26	\$ 92 51		\$ (5 75)
11/04/10	05/15/14	76 08	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 80 81	\$ 76 08		\$ (4.73)
11/04/10	06/16/14	86 1	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 91 45	\$ 86.10		\$ (5.35)
11/04/10	07/15/14	69 23	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 73 54	\$ 69 23		\$ (4 31)
11/04/10	08/15/14	68 09	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 72 32	\$ 68 09		\$ (4 23)
11/04/10	09/15/14	69 47	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 73 79	\$ 69.47		\$ (4.32)
11/04/10	10/15/14	116 9	FHLMC PC GOLD COMB 15 5.000% Due 04-01-22	3128mbee3	\$ 124.17	\$ 116 90		\$ (7.27)
11/04/10	11/17/14	97.83	FHLMC PC GOLD COMB 15 5.000% Due 04-01-22	3128mbee3	\$ 103 91	\$ 97 83		\$ (6 08)
11/04/10	12/15/14	75.48	FHLMC PC GOLD COMB 15 5 000% Due 04-01-22	3128mbee3	\$ 80 17	\$ 75 48		\$ (4 69)
11/04/10	01/15/14	327.95	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 348 24	\$ 327 95		\$ (20.29)
11/04/10	01/15/14	409.34	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 440 20	\$ 409 34		\$ (30 86)
11/04/10	02/18/14	215.52	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 228 85	\$ 215 52		\$ (13 33)
11/04/10	02/18/14	103.4	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 111 19	\$ 103.40		\$ (7 79)
11/04/10	03/17/14	193 16	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 205 11	\$ 193.16		\$ (11 95)
11/04/10	03/17/14	27 64	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 29 72	\$ 27 64		\$ (2 08)
11/04/10	04/15/14	305 71	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 324 62	\$ 305 71		\$ (18 91)
11/04/10	04/15/14	277 41	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 298 32	\$ 277 41		\$ (20 91)
11/04/10	05/15/14	333 96	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 354 62	\$ 333 96		\$ (20 66)
11/04/10	05/15/14	330 32	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 355 22	\$ 330 32		\$ (24 90)
11/04/10	06/16/14	321 28	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 341 16	\$ 321.28		\$ (19 88)
11/04/10	06/16/14	90.33	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 97 14	\$ 90.33		\$ (6.81)
11/04/10	07/15/14	370.57	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 393.50	\$ 370.57		\$ (22 93)
11/04/10	07/15/14	26 16	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 28.13	\$ 26 16		\$ (1 97)
11/04/10	08/15/14	212 7	FHLMC PC GOLD COMB 30 5.000% Due 07-01-40	312941d20	\$ 225 86	\$ 212 70		\$ (13 16)
11/04/10	08/15/14	398.15	FHLMC PC GOLD COMB 30 5.000% Due 07-01-40	312941j99	\$ 428 16	\$ 398 15		\$ (30 01)
11/04/10	09/15/14	192 8	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 204 73	\$ 192 80		\$ (11.93)
11/04/10	09/15/14	25 14	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 27 04	\$ 25.14		\$ (1.90)
11/04/10	10/15/14	268 74	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 285.37	\$ 268.74		\$ (16 63)
11/04/10	10/15/14	348 27	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 374 52	\$ 348.27		\$ (26 25)
11/04/10	11/17/14	169 35	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 179.83	\$ 169 35		\$ (10.48)
11/04/10	11/17/14	313 62	FHLMC PC GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 337.26	\$ 313 62		\$ (23 64)

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/04/10	12/15/14	265.02	FHLMC PC	GOLD COMB 30 5 000% Due 07-01-40	312941d20	\$ 281 42	\$ 265 02	\$ (16 40)
11/04/10	12/15/14	380.45	FHLMC PC	GOLD COMB 30 5 000% Due 07-01-40	312941j99	\$ 409 13	\$ 380 45	\$ (28 68)
11/04/10	01/15/14	14 83	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 15 44	\$ 14 83	\$ (0 61)
11/04/10	02/18/14	14 48	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 15 08	\$ 14 48	\$ (0 60)
11/04/10	03/17/14	13 66	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 14 22	\$ 13 66	\$ (0 56)
11/04/10	04/15/14	126 32	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 131.54	\$ 126.32	\$ (5 22)
11/04/10	05/15/14	140 77	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 146 58	\$ 140 77	\$ (5 81)
11/04/10	06/16/14	114 54	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 119.27	\$ 114 54	\$ (4.73)
11/04/10	07/15/14	26 84	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 27 95	\$ 26 84	\$ (1.11)
11/04/10	08/15/14	13 65	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 14.21	\$ 13 65	\$ (0 56)
11/04/10	09/15/14	13 63	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 14 19	\$ 13.63	\$ (0 56)
11/04/10	10/15/14	113 22	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 117.90	\$ 113.22	\$ (4 68)
11/04/10	11/17/14	140 26	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 146 05	\$ 140.26	\$ (5 79)
11/04/10	12/15/14	14 22	FHLMC PC	GOLD COMB 30 5 000% Due 12-01-39	312938nj8	\$ 14.81	\$ 14 22	\$ (0 59)
11/04/10	01/15/14	87 25	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 90.33	\$ 87 25	\$ (3 08)
11/04/10	02/18/14	157 26	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 162 81	\$ 157 26	\$ (5 55)
11/04/10	03/17/14	90 96	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 94.17	\$ 90 96	\$ (3 21)
11/04/10	04/15/14	29 12	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 30 15	\$ 29 12	\$ (1.03)
11/04/10	05/15/14	34 9	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 36 13	\$ 34 90	\$ (1 23)
11/04/10	06/16/14	97 18	FHLMC PC	GOLD COMB 30 6.000% Due 05-01-38	3128m6ha9	\$ 100 61	\$ 97 18	\$ (3 43)
11/04/10	07/15/14	2 86	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 2 96	\$ 2 86	\$ (0.10)
11/04/10	08/15/14	131 02	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 135 65	\$ 131 02	\$ (4.63)
11/04/10	09/15/14	42 43	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 43 93	\$ 42 43	\$ (1 50)
11/04/10	10/15/14	82.74	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 85 66	\$ 82 74	\$ (2 92)
11/04/10	11/17/14	27.89	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 28.87	\$ 27 89	\$ (0 98)
11/04/10	12/15/14	12.22	FHLMC PC	GOLD COMB 30 6 000% Due 05-01-38	3128m6ha9	\$ 12.65	\$ 12 22	\$ (0 43)
11/04/10	01/15/14	132.46	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 137.75	\$ 132 46	\$ (5 29)
11/04/10	02/18/14	63 38	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 65.91	\$ 63 38	\$ (2 53)
11/04/10	03/17/14	68 61	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 71.35	\$ 68 61	\$ (2 74)
11/04/10	04/15/14	78 53	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 81.66	\$ 78 53	\$ (3 13)
11/04/10	05/15/14	61 57	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 64 03	\$ 61 57	\$ (2 46)
11/04/10	06/16/14	75 42	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 78 43	\$ 75 42	\$ (3 01)
11/04/10	07/15/14	46 25	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 48.10	\$ 46 25	\$ (1 85)
11/04/10	08/15/14	71 36	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 74 21	\$ 71 36	\$ (2 85)
11/04/10	09/15/14	70 99	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 73 82	\$ 70 99	\$ (2.83)
11/04/10	10/15/14	41 23	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 42.88	\$ 41 23	\$ (1 65)
11/04/10	11/17/14	65 88	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 68 51	\$ 65 88	\$ (2 63)
11/04/10	12/15/14	67 49	FHLMC PC	GOLD COMB 30 6 000% Due 06-01-39	3128m7n28	\$ 70 18	\$ 67.49	\$ (2 69)
11/04/10	01/15/14	120 24	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 122 85	\$ 120 24	\$ (2 61)
11/04/10	02/18/14	2 82	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 88	\$ 2.82	\$ (0 06)
11/04/10	03/17/14	2 84	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 90	\$ 2 84	\$ (0 06)
11/04/10	04/15/14	2 85	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 91	\$ 2 85	\$ (0 06)
11/04/10	05/15/14	201 11	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 205.48	\$ 201 11	\$ (4 37)
11/04/10	06/16/14	2 57	FHLMC PC	GOLD COMB 30 6.000% Due 12-01-37	3128l1kb5	\$ 2 63	\$ 2 57	\$ (0 06)
11/04/10	07/15/14	2 58	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2.64	\$ 2 58	\$ (0 06)
11/04/10	08/15/14	2 59	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2.65	\$ 2 59	\$ (0 06)
11/04/10	09/15/14	2 61	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2.67	\$ 2 61	\$ (0 06)
11/04/10	10/15/14	2 62	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 68	\$ 2 62	\$ (0 06)
11/04/10	11/17/14	2.64	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 70	\$ 2 64	\$ (0 06)
11/04/10	12/15/14	2 65	FHLMC PC	GOLD COMB 30 6 000% Due 12-01-37	3128l1kb5	\$ 2 71	\$ 2 65	\$ (0 06)
11/04/10	01/15/14	166 2	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 177 21	\$ 166 20	\$ (11 01)
11/04/10	02/18/14	236 48	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 252 14	\$ 236 48	\$ (15 66)
11/04/10	03/17/14	153 34	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 163 50	\$ 153 34	\$ (10 16)
11/04/10	04/15/14	130.49	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 139 13	\$ 130 49	\$ (8 64)
11/04/10	05/15/14	136 17	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 145 19	\$ 136 17	\$ (9 02)
11/04/10	06/16/14	140 83	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 150 16	\$ 140 83	\$ (9 33)
11/04/10	07/15/14	75 11	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 80 09	\$ 75 11	\$ (4 98)
11/04/10	08/15/14	173 69	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 185 20	\$ 173 69	\$ (11 51)
11/04/10	09/15/14	125 34	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 133.64	\$ 125 34	\$ (8 30)
11/04/10	10/15/14	142 03	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 151 44	\$ 142 03	\$ (9.41)
11/04/10	11/17/14	74 77	FHLMC PC	GOLD COMB 30 6.500% Due 04-01-39	3128m7q90	\$ 79 72	\$ 74 77	\$ (4 95)
11/04/10	12/15/14	36 47	FHLMC PC	GOLD COMB 30 6 500% Due 04-01-39	3128m7q90	\$ 38 89	\$ 36.47	\$ (2 42)
11/04/10	01/27/14	3 56	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 3.52	\$ 3 56	\$ 0 04
11/04/10	02/25/14	0.11	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 0 11	\$ 0 11	\$ -
11/04/10	03/25/14	2	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 1 98	\$ 2 00	\$ 0 02
11/04/10	04/25/14	2 98	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 2 94	\$ 2 98	\$ 0 04
11/04/10	05/27/14	2.04	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 2.02	\$ 2 04	\$ 0 02
11/04/10	06/25/14	1 43	FNMA PASS-THRU	ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 1.41	\$ 1 43	\$ 0 02

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/04/10	07/25/14	4 9	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 4 84	\$ 4 90	\$	0 06
11/04/10	08/25/14	6 89	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 6 81	\$ 6 89	\$	0 08
11/04/10	09/25/14	5 63	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 5 56	\$ 5 63	\$	0 07
11/04/10	10/27/14	4 61	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 4 55	\$ 4 61	\$	0 06
11/04/10	11/25/14	5 73	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 5 66	\$ 5 73	\$	0 07
11/04/10	12/26/14	5 58	FNMA PASS-THRU ARM MN SRV 30 5 908% Due 05-01-37	31411x5d3	\$ 5 51	\$ 5 58	\$	0 07
11/04/10	01/27/14	82 47	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 84 04	\$ 82 47	\$	(1 57)
11/04/10	02/25/14	75 76	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 77 20	\$ 75 76	\$	(1 44)
11/04/10	03/25/14	72 93	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 74 32	\$ 72 93	\$	(1 39)
11/04/10	04/25/14	69 17	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 70 48	\$ 69 17	\$	(1 31)
11/04/10	05/27/14	65 67	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 66 92	\$ 65 67	\$	(1 25)
11/04/10	06/25/14	73 53	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 74 93	\$ 73 53	\$	(1 40)
11/04/10	07/25/14	75 96	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 77 40	\$ 75 96	\$	(1 44)
11/04/10	08/25/14	76 29	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 77 74	\$ 76 29	\$	(1 45)
11/04/10	09/25/14	78 06	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 79 54	\$ 78 06	\$	(1 48)
11/04/10	10/27/14	71 43	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 72 79	\$ 71 43	\$	(1 36)
11/04/10	11/25/14	69 33	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 70 65	\$ 69 33	\$	(1 32)
11/04/10	12/26/14	49 24	FNMA PASS-THRU INT 15 YEAR 4 000% Due 01-01-25	31417vrs4	\$ 50 18	\$ 49 24	\$	(0 94)
11/04/10	01/27/14	59 48	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 60 58	\$ 59 48	\$	(1 10)
11/04/10	02/25/14	80 64	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 82 13	\$ 80 64	\$	(1 49)
11/04/10	03/25/14	43 97	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 44 78	\$ 43 97	\$	(0 81)
11/04/10	04/25/14	52 05	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 53 01	\$ 52 05	\$	(0 96)
11/04/10	05/27/14	52 21	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 53 17	\$ 52 21	\$	(0 96)
11/04/10	06/25/14	52 41	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 53 38	\$ 52 41	\$	(0 97)
11/04/10	07/25/14	61 23	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 62 36	\$ 61 23	\$	(1 13)
11/04/10	08/25/14	67 68	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 68 93	\$ 67 68	\$	(1 25)
11/04/10	09/25/14	44 96	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 45 79	\$ 44 96	\$	(0 83)
11/04/10	10/27/14	40 36	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 41 10	\$ 40 36	\$	(0 74)
11/04/10	11/25/14	58	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 59 07	\$ 58 00	\$	(1 07)
11/04/10	12/26/14	46 96	FNMA PASS-THRU INT 15 YEAR 4 000% Due 04-01-24	31412pey3	\$ 47 83	\$ 46 96	\$	(0 87)
11/04/10	01/27/14	51 31	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 52 08	\$ 51 31	\$	(0 77)
11/04/10	02/25/14	52 62	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 53 41	\$ 52 62	\$	(0 79)
11/04/10	03/25/14	52 12	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 52 90	\$ 52 12	\$	(0 78)
11/04/10	04/25/14	52	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 52 78	\$ 52 00	\$	(0 78)
11/04/10	05/27/14	103 76	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 105 32	\$ 103 76	\$	(1 56)
11/04/10	06/25/14	165 46	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 167 94	\$ 165 46	\$	(2 48)
11/04/10	07/25/14	108 32	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 109 94	\$ 108 32	\$	(1 62)
11/04/10	08/25/14	102 74	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 104 28	\$ 102 74	\$	(1 54)
11/04/10	09/25/14	50 15	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 50 90	\$ 50 15	\$	(0 75)
11/04/10	10/27/14	62 5	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 63 44	\$ 62 50	\$	(0 94)
11/04/10	11/25/14	51 43	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 52 20	\$ 51 43	\$	(0 77)
11/04/10	12/26/14	53 31	FNMA PASS-THRU INT 15 YEAR 4 000% Due 09-01-24	31417kvn4	\$ 54 11	\$ 53 31	\$	(0 80)
11/04/10	01/27/14	44 94	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 46 25	\$ 44 94	\$	(1 31)
11/04/10	02/25/14	41 24	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 42 44	\$ 41 24	\$	(1 20)
11/04/10	03/25/14	100 26	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 103 19	\$ 100 26	\$	(2 93)
11/04/10	04/25/14	43 05	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 44 31	\$ 43 05	\$	(1 26)
11/04/10	05/27/14	53 04	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 54 59	\$ 53 04	\$	(1 55)
11/04/10	06/25/14	41 16	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 42 36	\$ 41 16	\$	(1 20)
11/04/10	07/25/14	57 78	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 59 47	\$ 57 78	\$	(1 69)
11/04/10	08/25/14	89 38	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 91 99	\$ 89 38	\$	(2 61)
11/04/10	09/25/14	115 4	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 118 77	\$ 115 40	\$	(3 37)
11/04/10	10/27/14	101 49	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 104 46	\$ 101 49	\$	(2 97)
11/04/10	11/25/14	120 78	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 124 31	\$ 120 78	\$	(3 53)
11/04/10	12/26/14	110 62	FNMA PASS-THRU INT 15 YEAR 4 500% Due 03-01-23	31414crw0	\$ 113 85	\$ 110 62	\$	(3 23)
11/04/10	01/27/14	74 39	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 77 35	\$ 74 39	\$	(2 96)
11/04/10	02/25/14	257 33	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 267 57	\$ 257 33	\$	(10 24)
11/04/10	03/25/14	247 94	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 257 81	\$ 247 94	\$	(9 87)
11/04/10	04/25/14	221 16	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 229 96	\$ 221 16	\$	(8 80)
11/04/10	05/27/14	330 89	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 344 06	\$ 330 89	\$	(13 17)
11/04/10	06/25/14	89 38	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 92 94	\$ 89 38	\$	(3 56)
11/04/10	07/25/14	600 02	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 623 90	\$ 600 02	\$	(23 88)
11/04/10	08/25/14	337 46	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 350 89	\$ 337 46	\$	(13 43)
11/04/10	09/25/14	158 9	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 165 22	\$ 158 90	\$	(6 32)
11/04/10	10/27/14	169 92	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 176 68	\$ 169 92	\$	(6 76)
11/04/10	11/25/14	68 2	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 70 91	\$ 68 20	\$	(2 71)
11/04/10	12/26/14	70 24	FNMA PASS-THRU INT 15 YEAR 4 500% Due 07-01-24	31416rrq8	\$ 73 04	\$ 70 24	\$	(2 80)
11/04/10	01/27/14	78 55	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 78 57	\$ 78 55	\$	(0 02)
11/04/10	02/25/14	80 37	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 80 40	\$ 80 37	\$	(0 03)

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/04/10	03/25/14	50 32	FNMA PASS-THRU INT 15 YEAR 5.000% Due 03-01-21	31410fyk5	\$ 50.34	\$ 50 32	\$	(0 02)
11/04/10	04/25/14	61 52	FNMA PASS-THRU INT 15 YEAR 5.000% Due 03-01-21	31410fyk5	\$ 61 54	\$ 61 52	\$	(0 02)
11/04/10	05/27/14	57 32	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 57.34	\$ 57 32	\$	(0 02)
11/04/10	06/25/14	65 99	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 66.01	\$ 65 99	\$	(0 02)
11/04/10	07/25/14	77.23	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 77.25	\$ 77 23	\$	(0 02)
11/04/10	08/25/14	87 92	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 87 95	\$ 87 92	\$	(0 03)
11/04/10	09/25/14	56 09	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 56 11	\$ 56 09	\$	(0 02)
11/04/10	10/27/14	67 62	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 67 64	\$ 67 62	\$	(0 02)
11/04/10	11/25/14	68 26	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 68 28	\$ 68 26	\$	(0 02)
11/04/10	12/26/14	53 52	FNMA PASS-THRU INT 15 YEAR 5 000% Due 03-01-21	31410fyk5	\$ 53.54	\$ 53 52	\$	(0 02)
11/04/10	01/27/14	71 51	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 74.18	\$ 71 51	\$	(2 67)
11/04/10	02/25/14	92 25	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 95 69	\$ 92 25	\$	(3 44)
11/04/10	03/25/14	61 1	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 63 38	\$ 61 10	\$	(2 28)
11/04/10	04/25/14	61 96	FNMA PASS-THRU INT 15 YEAR 5.000% Due 04-01-23	31410g7c1	\$ 64.27	\$ 61 96	\$	(2 31)
11/04/10	05/27/14	85 92	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 89 13	\$ 85 92	\$	(3 21)
11/04/10	06/25/14	44 87	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 46.55	\$ 44 87	\$	(1 68)
11/04/10	07/25/14	82 08	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 85 15	\$ 82 08	\$	(3 07)
11/04/10	08/25/14	66 18	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 68 65	\$ 66 18	\$	(2 47)
11/04/10	09/25/14	64 79	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 67 21	\$ 64 79	\$	(2 42)
11/04/10	10/27/14	33 47	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 34 72	\$ 33 47	\$	(1 25)
11/04/10	11/25/14	55 98	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 58 07	\$ 55 98	\$	(2 09)
11/04/10	12/26/14	44 9	FNMA PASS-THRU INT 15 YEAR 5 000% Due 04-01-23	31410g7c1	\$ 46.58	\$ 44 90	\$	(1 68)
11/04/10	01/27/14	63 84	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 67 43	\$ 63 84	\$	(3 59)
11/04/10	02/25/14	47 34	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 50.00	\$ 47 34	\$	(2 66)
11/04/10	03/25/14	48 07	FNMA PASS-THRU INT 15 YEAR 5.000% Due 07-01-23	31415rvf8	\$ 50.77	\$ 48 07	\$	(2.70)
11/04/10	04/25/14	51 87	FNMA PASS-THRU INT 15 YEAR 5.000% Due 07-01-23	31415rvf8	\$ 54.79	\$ 51 87	\$	(2.92)
11/04/10	05/27/14	33 8	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 35 70	\$ 33 80	\$	(1 90)
11/04/10	06/25/14	45 9	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 48 48	\$ 45 90	\$	(2 58)
11/04/10	07/25/14	33 77	FNMA PASS-THRU INT 15 YEAR 5.000% Due 07-01-23	31415rvf8	\$ 35 67	\$ 33.77	\$	(1 90)
11/04/10	08/25/14	34 55	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 36 49	\$ 34 55	\$	(1 94)
11/04/10	09/25/14	36 61	FNMA PASS-THRU INT 15 YEAR 5.000% Due 07-01-23	31415rvf8	\$ 38.67	\$ 36 61	\$	(2.06)
11/04/10	10/27/14	30 42	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 32.13	\$ 30 42	\$	(1.71)
11/04/10	11/25/14	9 36	FNMA PASS-THRU INT 15 YEAR 5 000% Due 07-01-23	31415rvf8	\$ 9 89	\$ 9 36	\$	(0 53)
11/04/10	12/26/14	40 94	FNMA PASS-THRU INT 15 YEAR 5.000% Due 07-01-23	31415rvf8	\$ 43.24	\$ 40 94	\$	(2 30)
11/04/10	01/27/14	58 55	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 58 70	\$ 58 55	\$	(0 15)
11/04/10	02/25/14	35 74	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 35 83	\$ 35 74	\$	(0 09)
11/04/10	03/25/14	15 64	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 01-01-36	31410f2i8	\$ 15 68	\$ 15 64	\$	(0 04)
11/04/10	04/25/14	45 29	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 45 40	\$ 45 29	\$	(0 11)
11/04/10	05/27/14	28 72	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 28.79	\$ 28 72	\$	(0 07)
11/04/10	06/25/14	20 35	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 01-01-36	31410f2i8	\$ 20.40	\$ 20 35	\$	(0 05)
11/04/10	07/25/14	16 39	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 16.43	\$ 16 39	\$	(0.04)
11/04/10	08/25/14	18 04	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 18 08	\$ 18 04	\$	(0 04)
11/04/10	09/25/14	32 93	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 33 01	\$ 32 93	\$	(0 08)
11/04/10	10/27/14	36 54	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 36 63	\$ 36 54	\$	(0 09)
11/04/10	11/25/14	21 45	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 21.50	\$ 21 45	\$	(0 05)
11/04/10	12/26/14	19 01	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 01-01-36	31410f2i8	\$ 19.06	\$ 19 01	\$	(0 05)
11/04/10	01/27/14	111 44	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 112 21	\$ 111 44	\$	(0 77)
11/04/10	02/25/14	128 02	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 128 90	\$ 128 02	\$	(0 88)
11/04/10	03/25/14	126 6	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 127 47	\$ 126 60	\$	(0 87)
11/04/10	04/25/14	75 21	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 75 73	\$ 75 21	\$	(0 52)
11/04/10	05/27/14	131 48	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 132.38	\$ 131 48	\$	(0 90)
11/04/10	06/25/14	114 9	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 115 69	\$ 114 90	\$	(0 79)
11/04/10	07/25/14	170.6	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 171.77	\$ 170 60	\$	(1 17)
11/04/10	08/25/14	72 78	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 73.28	\$ 72 78	\$	(0 50)
11/04/10	09/25/14	118 8	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 04-01-39	31412pg96	\$ 119.62	\$ 118 80	\$	(0 82)
11/04/10	10/27/14	82 16	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 82 72	\$ 82 16	\$	(0 56)
11/04/10	11/25/14	109 37	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 110 12	\$ 109 37	\$	(0.75)
11/04/10	12/26/14	210 66	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 04-01-39	31412pg96	\$ 212 11	\$ 210 66	\$	(1.45)
11/04/10	01/27/14	62 82	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 63 49	\$ 62.82	\$	(0 67)
11/04/10	02/25/14	33 21	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 33 57	\$ 33 21	\$	(0 36)
11/04/10	03/25/14	47 15	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 47.65	\$ 47 15	\$	(0 50)
11/04/10	04/25/14	51 81	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 05-01-40	31418tjs7	\$ 52 36	\$ 51 81	\$	(0 55)
11/04/10	05/27/14	178 22	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 180 13	\$ 178 22	\$	(1 91)
11/04/10	06/25/14	95 51	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 96.53	\$ 95 51	\$	(1 02)
11/04/10	07/25/14	131 97	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 133 38	\$ 131 97	\$	(1 41)
11/04/10	08/25/14	87 58	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 88 52	\$ 87 58	\$	(0 94)
11/04/10	09/25/14	112 91	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 114.12	\$ 112.91	\$	(1.21)
11/04/10	10/27/14	148 96	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 150.55	\$ 148.96	\$	(1 59)

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/04/10	11/25/14	77.9	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 78.73	\$ 77.90	\$	(0.83)
11/04/10	12/26/14	120.13	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 05-01-40	31418tjs7	\$ 121.42	\$ 120.13	\$	(1.29)
11/04/10	01/27/14	13.17	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 12.92	\$ 13.17	\$	0.25
11/04/10	02/25/14	109.02	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 106.96	\$ 109.02	\$	2.06
11/04/10	03/25/14	14.52	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 14.25	\$ 14.52	\$	0.27
11/04/10	04/25/14	223.15	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 218.93	\$ 223.15	\$	4.22
11/04/10	05/27/14	90.48	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 06-01-39	31416skb6	\$ 88.77	\$ 90.48	\$	1.71
11/04/10	06/25/14	10.19	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 06-01-39	31416skb6	\$ 10.00	\$ 10.19	\$	0.19
11/04/10	07/25/14	91.91	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 90.17	\$ 91.91	\$	1.74
11/04/10	08/25/14	10.68	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 10.48	\$ 10.68	\$	0.20
11/04/10	09/25/14	99.66	FNMA PASS-THRU LNG 30 YEAR 4.500% Due 06-01-39	31416skb6	\$ 97.78	\$ 99.66	\$	1.88
11/04/10	10/27/14	96.28	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 94.46	\$ 96.28	\$	1.82
11/04/10	11/25/14	11.14	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 10.93	\$ 11.14	\$	0.21
11/04/10	12/26/14	85.7	FNMA PASS-THRU LNG 30 YEAR 4 500% Due 06-01-39	31416skb6	\$ 84.08	\$ 85.70	\$	1.62
11/04/10	01/27/14	38.63	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 38.66	\$ 38.63	\$	(0.03)
11/04/10	02/25/14	415.03	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 415.35	\$ 415.03	\$	(0.32)
11/04/10	03/25/14	803.4	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 804.03	\$ 803.40	\$	(0.63)
11/04/10	04/25/14	34.46	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 34.49	\$ 34.46	\$	(0.03)
11/04/10	05/27/14	35.06	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 02-01-38	31414hkv8	\$ 35.09	\$ 35.06	\$	(0.03)
11/04/10	06/25/14	436.27	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 436.61	\$ 436.27	\$	(0.34)
11/04/10	07/25/14	839.06	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 02-01-38	31414hkv8	\$ 839.71	\$ 839.06	\$	(0.65)
11/04/10	08/25/14	1102.81	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 1,103.67	\$ 1,102.81	\$	(0.86)
11/04/10	09/25/14	31.78	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 31.80	\$ 31.78	\$	(0.02)
11/04/10	10/27/14	799.25	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 02-01-38	31414hkv8	\$ 799.87	\$ 799.25	\$	(0.62)
11/04/10	11/25/14	755.64	FNMA PASS-THRU LNG 30 YEAR 5.500% Due 02-01-38	31414hkv8	\$ 756.23	\$ 755.64	\$	(0.59)
11/04/10	12/26/14	346.5	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 02-01-38	31414hkv8	\$ 346.77	\$ 346.50	\$	(0.27)
11/04/10	01/27/14	1123.41	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 1,120.88	\$ 1,123.41	\$	2.53
11/04/10	02/25/14	1249.94	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 1,247.13	\$ 1,249.94	\$	2.81
11/04/10	03/25/14	1264.72	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 1,261.87	\$ 1,264.72	\$	2.85
11/04/10	04/25/14	898.35	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 896.33	\$ 898.35	\$	2.02
11/04/10	05/27/14	40.36	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 40.27	\$ 40.36	\$	0.09
11/04/10	06/25/14	858.05	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 856.12	\$ 858.05	\$	1.93
11/04/10	07/25/14	37.48	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 37.40	\$ 37.48	\$	0.08
11/04/10	08/25/14	856.55	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 854.62	\$ 856.55	\$	1.93
11/04/10	09/25/14	1180.3	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 1,177.64	\$ 1,180.30	\$	2.66
11/04/10	10/27/14	37.16	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 37.08	\$ 37.16	\$	0.08
11/04/10	11/25/14	34.76	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 34.68	\$ 34.76	\$	0.08
11/04/10	12/26/14	35.67	FNMA PASS-THRU LNG 30 YEAR 5 500% Due 03-01-38	31414cgl6	\$ 35.59	\$ 35.67	\$	0.08
11/04/10	01/27/14	205.53	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 205.49	\$ 205.53	\$	0.04
11/04/10	02/25/14	285.64	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 285.58	\$ 285.64	\$	0.06
11/04/10	03/25/14	214.11	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 214.07	\$ 214.11	\$	0.04
11/04/10	04/25/14	177.57	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 177.53	\$ 177.57	\$	0.04
11/04/10	05/27/14	183.23	FNMA PASS-THRU LNG 30 YEAR 6.000% Due 06-01-38	31410kmr2	\$ 183.19	\$ 183.23	\$	0.04
11/04/10	06/25/14	167.64	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 167.61	\$ 167.64	\$	0.03
11/04/10	07/25/14	243.36	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 243.31	\$ 243.36	\$	0.05
11/04/10	08/25/14	101.43	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 101.41	\$ 101.43	\$	0.02
11/04/10	09/25/14	77.29	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 77.27	\$ 77.29	\$	0.02
11/04/10	10/27/14	63.7	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 63.69	\$ 63.70	\$	0.01
11/04/10	11/25/14	239.72	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 239.67	\$ 239.72	\$	0.05
11/04/10	12/26/14	91.49	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 06-01-38	31410kmr2	\$ 91.47	\$ 91.49	\$	0.02
11/04/10	01/27/14	486.23	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 503.20	\$ 486.23	\$	(16.97)
11/04/10	02/25/14	358.52	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 371.03	\$ 358.52	\$	(12.51)
11/04/10	03/25/14	465.95	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 482.21	\$ 465.95	\$	(16.26)
11/04/10	04/25/14	485.14	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 502.07	\$ 485.14	\$	(16.93)
11/04/10	05/27/14	539.13	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 557.94	\$ 539.13	\$	(18.81)
11/04/10	06/25/14	376.56	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 389.70	\$ 376.56	\$	(13.14)
11/04/10	07/25/14	453.6	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 469.43	\$ 453.60	\$	(15.83)
11/04/10	08/25/14	403.63	FNMA PASS-THRU LNG 30 YEAR 6.000% Due 12-01-37	31410gty9	\$ 417.71	\$ 403.63	\$	(14.08)
11/04/10	09/25/14	402.41	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 416.45	\$ 402.41	\$	(14.04)
11/04/10	10/27/14	372.76	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 385.77	\$ 372.76	\$	(13.01)
11/04/10	11/25/14	353.16	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 365.48	\$ 353.16	\$	(12.32)
11/04/10	12/26/14	453.92	FNMA PASS-THRU LNG 30 YEAR 6 000% Due 12-01-37	31410gty9	\$ 469.76	\$ 453.92	\$	(15.84)
11/04/10	01/27/14	262.54	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 287.33	\$ 262.54	\$	(24.79)
11/04/10	02/25/14	203.44	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 222.65	\$ 203.44	\$	(19.21)
11/04/10	03/25/14	209.52	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 229.31	\$ 209.52	\$	(19.79)
11/04/10	04/25/14	147.32	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 161.23	\$ 147.32	\$	(13.91)
11/04/10	05/27/14	132.7	FNMA PASS-THRU LNG 30 YEAR 6.500% Due 10-01-36	31403dwh8	\$ 145.23	\$ 132.70	\$	(12.53)
11/04/10	06/25/14	158.25	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 173.19	\$ 158.25	\$	(14.94)

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
11/04/10	07/25/14	196.17	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 214.69	\$ 196.17	\$	(18.52)
11/04/10	08/25/14	157.06	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 171.89	\$ 157.06	\$	(14.83)
11/04/10	09/25/14	93.36	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 102.18	\$ 93.36	\$	(8.82)
11/04/10	10/27/14	136.15	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-36	31403dwh8	\$ 149.01	\$ 136.15	\$	(12.86)
11/04/10	11/25/14	145.42	FNMA PASS-THRU LNG 30 YEAR 6.500% Due 10-01-36	31403dwh8	\$ 159.15	\$ 145.42	\$	(13.73)
11/04/10	12/26/14	79.33	FNMA PASS-THRU LNG 30 YEAR 6.500% Due 10-01-36	31403dwh8	\$ 86.82	\$ 79.33	\$	(7.49)
11/04/10	01/27/14	103.06	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 113.40	\$ 103.06	\$	(10.34)
11/04/10	02/25/14	87.52	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 96.30	\$ 87.52	\$	(8.78)
11/04/10	03/25/14	67.84	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 74.65	\$ 67.84	\$	(6.81)
11/04/10	04/25/14	66.9	FNMA PASS-THRU LNG 30 YEAR 6.500% Due 10-01-37	31410grk1	\$ 73.61	\$ 66.90	\$	(6.71)
11/04/10	05/27/14	71.09	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 78.22	\$ 71.09	\$	(7.13)
11/04/10	06/25/14	69.81	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 76.81	\$ 69.81	\$	(7.00)
11/04/10	07/25/14	53.51	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 58.88	\$ 53.51	\$	(5.37)
11/04/10	08/25/14	58.65	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 64.53	\$ 58.65	\$	(5.88)
11/04/10	09/25/14	54.61	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 60.09	\$ 54.61	\$	(5.48)
11/04/10	10/27/14	49.29	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 54.24	\$ 49.29	\$	(4.95)
11/04/10	11/25/14	56.57	FNMA PASS-THRU LNG 30 YEAR 6 500% Due 10-01-37	31410grk1	\$ 62.25	\$ 56.57	\$	(5.68)
11/04/10	12/26/14	52.01	FNMA PASS-THRU LNG 30 YEAR 6.500% Due 10-01-37	31410grk1	\$ 57.23	\$ 52.01	\$	(5.22)
11/15/10	01/15/14	163.56	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 162.89	\$ 163.56	\$	0.67
11/15/10	02/18/14	155.19	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 154.56	\$ 155.19	\$	0.63
11/15/10	03/17/14	146.48	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 145.88	\$ 146.48	\$	0.60
11/15/10	04/15/14	174.98	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 174.26	\$ 174.98	\$	0.72
11/15/10	05/15/14	202.01	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 201.18	\$ 202.01	\$	0.83
11/15/10	06/16/14	115.36	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 114.89	\$ 115.36	\$	0.47
11/15/10	07/15/14	168.45	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 167.76	\$ 168.45	\$	0.69
11/15/10	08/15/14	123.98	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 123.47	\$ 123.98	\$	0.51
11/15/10	09/15/14	127.39	GNMA PASS-THRU X PLATINUM 30YR 5.000% Due 03-15-36	36241kks6	\$ 126.87	\$ 127.39	\$	0.52
11/15/10	10/15/14	157.62	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 156.98	\$ 157.62	\$	0.64
11/15/10	11/17/14	64.69	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 64.43	\$ 64.69	\$	0.26
11/15/10	12/15/14	145.56	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	36241kks6	\$ 144.97	\$ 145.56	\$	0.59
11/16/10	11/06/14	0.25	HALLYARD HEALTH INC COM	hyh	\$ 5.06	\$ 9.56	\$	4.50
11/03/10	09/15/14	5000	JPMORGAN CHASE & CO 5 125% Due 09-15-14	46625hvb1	\$ 5,251.30	\$ 5,000.00	\$	(251.30)
11/03/10	01/17/14	143.98	LB CMBS 2007-C3 5 840% Due 07-15-44	50177aab5	\$ 141.24	\$ 143.97	\$	2.73
04/11/11	11/20/14	50000	MORGAN STANLEY 4.200% Due 11-20-14	61747yck9	\$ 51,858.50	\$ 50,000.00	\$	(1,858.50)
11/03/10	04/01/14	20000	MORGAN STANLEY 4.750% Due 04-01-14	61748aae6	\$ 19,187.80	\$ 20,000.00	\$	812.20
11/03/10	03/03/14	10000	ROGERS WIRELESS INC 6 375% Due 03-01-14	77531qad0	\$ 10,545.20	\$ 10,000.00	\$	(545.20)
11/03/10	12/01/14	10000	TD AMERITRADE HLDG CORP 4 150% Due 12-01-14	87236yab4	\$ 10,037.90	\$ 10,000.00	\$	(37.90)
12/10/12	06/18/14	100000	TELECOM ITALIA CAP 6 175% Due 06-18-14	87927vaw8	\$ 106,000.00	\$ 100,000.00	\$	(6,000.00)
11/04/10	09/30/14	5000	UNITED STATES TREAS NTS 2 375% Due 09-30-14	912828lq1	\$ 5,202.75	\$ 5,000.00	\$	(202.75)
11/04/10	07/31/14	20000	UNITED STATES TREAS NTS 2 625% Due 07-31-14	912828lc2	\$ 21,114.13	\$ 20,000.00	\$	(1,114.13)
NONCOVERED LT					\$ 306,058.82	\$ 303,050.37		\$ (3,008.45)
NONCOVERED					\$ 306,058.82	\$ 303,050.37	\$ -	\$ (3,008.45)
10/09/13	07/14/14	470	CIT GROUP INC COM NEW	cit	\$ 22,780.57	\$ 20,481.58	\$ (2,298.99)	
03/14/13	02/19/14	190	CASEYS GEN STORES INC COM	casy	\$ 10,808.70	\$ 12,826.58	\$ 2,017.88	
12/13/13	11/21/14	310	Ciena CORP COM NEW	cien	\$ 6,716.18	\$ 5,101.00	\$ (1,615.18)	
08/13/13	01/14/14	840	CISCO SYS INC COM	cscs	\$ 22,115.18	\$ 18,891.27	\$ (3,223.91)	
05/29/13	05/27/14	880	CONAGRA FOODS INC COM	cag	\$ 30,373.38	\$ 27,688.23	\$ (2,685.15)	
12/30/13	06/04/14	129 439	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 6,978.08	\$ 7,093.26	\$ 115.18	
05/21/14	12/04/14	360	HALLIBURTON CO COM	hal	\$ 22,757.90	\$ 14,601.70	\$ (8,156.20)	
08/13/13	06/09/14	920	HERTZ GLOBAL HOLDINGS INC COM	htz	\$ 23,145.08	\$ 24,969.16	\$ 1,824.08	
02/22/13	01/17/14	190	TARGET CORP COM	tgt	\$ 12,024.45	\$ 11,477.04	\$ (547.41)	
COVERED ST					\$ 157,699.52	\$ 143,129.82	\$ (14,569.70)	
03/06/12	04/24/14	140	ALLERGAN INC COM	agn	\$ 12,377.32	\$ 23,175.09	\$ 10,797.77	
03/30/12	01/14/14	240	CAMERON INTERNATIONAL CORP COM	cam	\$ 12,745.46	\$ 14,078.49	\$ 1,333.03	
03/15/13	06/19/14	190	CHICAGO BRIDGE & IRON CO N V COM	cbl	\$ 11,003.72	\$ 13,553.26	\$ 2,549.54	
08/13/13	11/21/14	640	Ciena CORP COM NEW	cien	\$ 14,726.78	\$ 10,531.09	\$ (4,195.69)	
03/30/12	05/23/14	340	DIRECTV COM	dtv	\$ 16,815.65	\$ 28,560.92	\$ 11,745.27	
04/04/12	02/21/14	360	EBAY INC COM	ebay	\$ 12,830.47	\$ 19,790.00	\$ 6,959.53	
03/23/12	03/17/14	971 411	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 45,442.60	\$ 50,319.09	\$ 4,876.49	
04/09/12	03/17/14	1831 897	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 85,000.00	\$ 94,892.27	\$ 9,892.27	
04/20/12	03/17/14	1811 98	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 85,000.00	\$ 93,860.56	\$ 8,860.56	
05/04/12	03/17/14	207.611	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 9,554.26	\$ 10,754.25	\$ 1,199.99	
05/04/12	06/04/14	1639 412	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 75,445.74	\$ 89,839.78	\$ 14,394.04	
05/18/12	06/04/14	2034 466	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 85,000.00	\$ 111,488.73	\$ 26,488.73	
06/01/12	06/04/14	2107 09	FEDERATED EQUITY FDS INTRCONTNTAL A	rmax	\$ 85,000.00	\$ 115,468.53	\$ 30,468.53	

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART IV - CAPITAL GAINS AND LOSSES

Open Date	Close Date	Quantity	Security	Security Symbol	Cost Basis	Proceeds	Short Term	Long Term
12/28/12	06/04/14	124 758	FEDERATED EQUITY FDS INTRCONTNTAL A	rimax	\$ 6,159.29	\$ 6,836.74		\$ 677.45
03/30/12	01/14/14	150	M & T BK CORP COM	mtb	\$ 12,979.62	\$ 17,147.52		\$ 4,167.90
03/30/12	06/05/14	0.5	NOW INC COM	dnow	\$ 16.06	\$ 16.94		\$ 0.88
03/30/12	06/20/14	52	NOW INC COM	dnow	\$ 1,670.37	\$ 1,816.60		\$ 146.23
10/22/13	12/04/14	3910	PROSPECT CAPITAL CORPORATION COM	psec	\$ 44,606.06	\$ 34,879.55		\$ (9,726.51)
03/16/12	02/19/14	200	TRAVELERS COMPANIES INC COM	trv	\$ 11,855.68	\$ 16,984.84		\$ 5,129.16
COVERED LT					\$ 628,229.08	\$ 753,994.25		\$ 125,765.17
COVERED					\$ 785,928.60	\$ 897,124.07	\$ (14,569.70)	\$ 125,765.17
Gross Proceeds					\$ 1,091,987.42	\$ 1,200,174.44	\$ (14,569.70)	\$ 122,756.72

MICHAEL E HORN FAMILY FOUNDATION, INC.
26-1375584
PART XV - SCHOLARSHIPS

Name	Address	Amount		College
		Jan-14	Jul-14	
Derek Austin	10709 US Hwy 431 Utica, KY 42376	1,000 00	1,000 00	Brescia University
Daniel Best	3306 Placid Pl Owensboro, KY 42303	1,000 00	1,000 00	Murray State University
James Birket	3100 Hillgail Ct Owensboro, KY 42303	1,000 00	-	Owensboro Community & Technical College
Phillip Blair	2200 Locust St Owensboro, KY 42301	1,000 00	1,000 00	University of Kentucky
Seth Bland	9954 State Rt 764 Whitesville, KY 42378	1,000 00	1,000 00	Western KY University
Ryan D Brewer	2426 Quarry Point Owensboro, KY 42303	1,000 00	-	Kentucky Wesleyan College
Emily Castlen	2573 Wnghts Landing Rd Owensboro, KY 42303	1,000 00	1,000 00	Western KY University
Meagan Cook	4519 Honeysuckle Ln Owensboro, KY 42303	1,000 00	1,000 00	Kentucky Wesleyan College
Evan Joseph Ehrenheim	4427 Taylor Drive Owensboro, KY 42303	1,000.00	1,000 00	Southern Illinois University
Catherine Marie Gerteisen	7800 Knottsville Mt-Zion Rd Philpot, KY 42366	1,000.00	1,000.00	Eastern Ky University
Cole S Hamilton	3649 Hayden Rd, Owensboro, KY 42303	-	1,000 00	Owensboro Community & Technical College
Katelyn Suzanne Hayden	5601 Wayne Bndge Road Owensboro, KY 42301	1,000.00	-	University of Kentucky
Taryn Lewis	2419 Reigh Count Drive Owensboro, KY 42301	1,000 00	1,000 00	Kentucky Wesleyan College
Alfred Mattingly	4387 Ireland Dr. Owensboro, KY 42303	1,000 00	1,000 00	Asbury University
Emily McNeil	116 Santa Maria Drive Owensboro, KY 42301	1,000 00	1,000 00	Kentucky Wesleyan College
Ern McNeil	116 Santa Maria Drive Owensboro, KY 42301	1,000 00	1,000 00	Western KY University
Katelyn McNulty	3229 Eagle Pass Dr Louisville, KY 40213	1,000 00	-	University of Kentucky
Morgan Miller	521 Stockton Dr Owensboro, KY 42303	1,000 00	1,000 00	Murray State University
Isaac O'Bryan	4075 Pine Lake Ct Owensboro, KY 42303	-	1,000 00	Miami of Ohio
Katelyn Orth	201 E 24th St Owensboro, KY 42301	1,000 00	1,000 00	University of Kentucky
Elizabeth Owen	12050 Redhill-Maxwell Rd Utica, KY 42376	1,000 00	-	Western KY University
Allison Payne	2304 Woodland Dr Owensboro, KY 42301	1,000 00	1,000.00	Western KY University
Jenna Riney	9200 Hwy 56 Owensboro, KY 42301	1,000.00	1,000.00	Murray State University
Jordan Roberts	2239 Becklynn Dr Owensboro, Ky 42303	1,000.00	1,000.00	Kentucky Wesleyan College
Sean Allen Southard	1534 Hickory Lane Owensboro, KY 42303	1,000 00	1,000 00	University of Louisville
Kalie Winkler	10509 Main Cross Street Whitesville, KY 42378	1,000 00	1,000 00	Lindsey Wilson College
Adam Witherspoon	6300 Wayne Bridge Road Owensboro, Ky 42301	1,000 00	-	Brescia University
Samantha Wnght	12200 Floral Rd , Hawesville, KY. 42348	-	1,000 00	Murray State University
Amie Stevens	3917 E 4th St Owensboro, KY 42303	-	4,000 00	<i>Francisson Hall of Whitesville</i>

<u>25,000 00</u>	<u>26,000 00</u>
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TOTAL SCHOLARSHIPS PAID IN 2014

<u>51,000 00</u>

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART XV - GRANT ALLOCATIONS

<u>Recipient Name</u>	<u>Grant Amount</u>	<u>Purpose</u>
Bellevue Baptist Church 4950 St Highway 56 Owensboro, KY 42301 Attention: Cindy Stallings Tax ID # 61-0549873	2,000.00	supplies for mission
Carenet Pregnancy Center of Owensboro 922 Triplett Street Owensboro, KY. 42303 Attention: Donna Flashpoeler Tax ID# 20-073619	10,000.00	Outreach App, various programs
Carmel Home -Carmelite Sisters DCJ 2501 Old Hartford Rd. Owensboro, KY 42303 Attention Sister M. Francis Teresa, DCJ Tax ID # 61-0487564	25,000.00	Building Fund
Casting A Net 50 Brooks School House Road Spur Calhoun, KY 42327 Attention: Ryan Thompson Tax ID #46-2373489	2,500.00	Operating Expenses
Catholic Men's Conference of Western KY PO Box 727 Owensboro, KY. 42302-0727 Attn: Randy Clemens Tax ID # 45-2583711	2,500.00	Mens Conference
Chaplin Ministry (Hospital) for Diocese of Owensboro 610 Locust St Owensboro, KY. 42301 Attention: Fr. Richard Cash Tax ID # 53-0196617 (US Catholic Conf of Bishops)	5,000.00	Hospital Ministry
Cliff Hagan Boys & Girls Club, Inc. 3415 Buckland Square Owensboro, KY. 42301 Tax ID # 61-0663746	15,000.00	Operating Expenses
Community Dental Clinic 2315 Mayfair Dr Owensboro, KY. 42301 Attention: Brandon Taylor, DMD Tax ID # 26-2343126	15,000.00	Purchase of xray equipment
CRU-Campus Crusade for Chrst, Inc. P.O. Box 628222 Orlando, Florida 32862-8222	3,000.00	Ministry Outreach

MICHAEL E. HORN FAMILY FOUNDATION, INC.
26-1375584
PART XV - GRANT ALLOCATIONS

<u>Recipient Name</u>	<u>Grant Amount</u>	<u>Purpose</u>
Attention: Ben Grames, Don Services Tax ID #95-6006173		
Daviess Co Public Schools Foundation 1622 Southeastern Pkwy Owensboro, KY. 42303 Attention: Wendy Morgan, RN Tax ID # 61-1346930	5,000.00	Programs, Supplies, Skills Lab, Conferences
Diocese of Owensboro-Youth Ministry 610 Locust St Owensboro, KY. 42301 Attention: Melinda Prunty Tax ID # 53-0196617 (US Catholic Conf. of Bishops)	15,000.00	Abstinence Program 2015 Various Youth Programs
Diocese of Owensboro-Gospel Of Life 610 Locust St. Owensboro, KY. 42301 Attention: Richard Murphy Tax ID # 53-0196617 (US Catholic Conf. of Bishops)	9,000.00	Bus Trip to Washington, D C.
Diocese of Owensboro Jail Ministry 610 Locust St. Owensboro, KY. 42301 Attention: Fr Ray Clark Martin Cecil Margaret Drury Tax ID# 53-0196617	400.00	Materials used for Mass and services
Fellowship Of Chstian Athletes 3000 Frederica St. Owensboro, KY. 42301 Attention: Rusty Tichenor Tax ID # 44-0610626	2,500.00	Ministry Outreach to Youth
Friends of Sinners, Inc. 324 Clay Street Owensboro, KY 42303 Attention: Roger Chilton Tax ID #27-0332382	10,000.00	Building Fund for Womens Center
International Bluegrass Music Museum 207 East Second Street Owensboro, KY 42303 Attention: Gabrielle Gray Tax ID# 61-1229037	20,000 00	ROMP/Bluegrass in the Schools
Lil Sluggers T Ball League c/o Pleasant Grove Church 5664 Hwy 56 Owensboro, KY. 42301	25,000.00	Construction of ball field

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART XV - GRANT ALLOCATIONS

<u>Recipient Name</u>	<u>Grant Amount</u>	<u>Purpose</u>
Tax ID # 61-0967779		
Marian Shrine/Youth 2000 2502 Fairview Dr Owensboro, KY 42303 Attention: Lisa Bivins Tax ID # 53-0196617 (US Catholic Conf of Bishops)	5,000.00	Conferences
Maximilian Montessori Academy 1401 B Spring Bank Dr. Owensboro, KY 42303 Attention: Amy Kemper Tax ID # 26-2559147	15,000.00	Renovation of classroom windows
Mentor Kids Kentucky 2815 Veach Road Owensboro, KY. 42303 Attention Matt Woodfall Tax ID # 61-1222299	2,500.00	Volunteer retention & recruitment
Owensboro Catholic Consolidated Schools 1524 West Parrish Avenue (School Health Program) Owensboro, KY. 42301 Attention: Sherry Krampee, RN, BSN Tax ID # 53-0196617	1,500.00	School Health Program
Owensboro Catholic Consolidated Schools 1524 West Parrish Avenue Owensboro, KY. 42301 Attention: Ken Rasp Tax ID # 53-0196617	10,000.00	Tuition Supplement
Owensboro Catholic High School 1524 West Parrish Avenue Owensboro, KY 42301 Attention: Gates Settle Tax ID # 53-0196617	4,000.00	Project Graduation various projects
Owensboro Museum of Fine Arts 901 Frederica St Owensboro, KY 42301 Attention: Mary Bryan Hood Tax ID# 31-0896672	15,000.00	Artland
Pleasant Valley Community Church 800 Pleasant Valley Rd Owensboro, KY 42303 Attention: Jamus Edwards Tax ID # 31-1571467	44,500.00	Love Loud 25,000.00 Fall Festival 5,000.00 Youth Camp 10,000.00 Yth Mnstry 4,500.00
Right to Life of Owensboro Ed Program 1115 Tamarack Rd. Suite 200	7,500.00	June 2,500.00 Nov 5,000.00

MICHAEL E. HORN FAMILY FOUNDATION, INC.

26-1375584

PART XV - GRANT ALLOCATIONS

<u>Recipient Name</u>	<u>Grant Amount</u>	<u>Purpose</u>
Owensboro, KY. 42301 Attention: Adrienne Herrenbruck Tax ID# 30-0007710		
St. Bernard Preparatory School, Inc. 1600 St. Bernard Drive SE Cullman, AL 35055 Attention: Fr. Marcus J. Voss, OSB Tax ID# 53-0196617	4,000.00	Tech Update
St Mary of the Woods Catholic School 10521 Franklin Street Whitesville, KY. 42378 Attention: Sr Suzanne Sims Tax ID # 53-0196617	4,500 00	
St. Mary of the Woods Catholic Church 10534 Main Cross Street Whitesville, KY 42378 Attention: Fr. Gerald Baker Tax ID # 53-0196617	4,000.00	*Kathryn DD
St. Stephen Cathedral 610 Locust Street Owensboro, KY 42301-2130 Attention: Father Jerry Riney Tax ID # 53-0196617	300.00	Kiosk for Ed Materials *kc
Trinity High School 10510 Main Cross Street Whitesville, KY. 42378 Attn Ronald Williams Tax ID# 53-0196617	20,500.00	bus
Ursuline Sisters of Mount St. Joseph 8001 Cummings Road Maple Mount, KY 42356-9999 Attention: Sr. Amelia Stenger, OSU Tax ID# 53-0196617	2,500.00	Memorial Garden/Annual Appeal
Southeast Conference of Foundations 100 Peachtree St. Suite 2080 Atlanta, GA 30303 Tax ID# 56-0995114	1,000.00	Donation
Exponent Philanthropy 1720 N Street NW Washington, DC 20036 Tax ID# 65-0617866	1,000.00	Donation
Total Grants for 2014	<u>309,700.00</u>	