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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>THE FRANK J POLEY MEMORIAL FUND</b>			A Employer identification number <b>25-6852214</b>		
Number and street (or P O box number if mail is not delivered to street address) <b>Wells Fargo Bank N A. Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041</b>			Room/suite		
City or town <b>Winston Salem</b>		State <b>NC</b>	ZIP code <b>27101-3818</b>		
Foreign country name		Foreign province/state/county	Foreign postal code		
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change					
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation					
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>156,812</b>		<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <b>(Part I, column (d) must be on cash basis)</b>			
<b>Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</b>					
		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,303	3,090		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,036			
	b Gross sales price for all assets on line 6a	90,516			
	7 Capital gain net income (from Part IV, line 2)		8,036		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	11,339	11,126	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	2,675	2,006	669	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,140			1,140
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	63	61		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.	3,878	2,067	0	1,809
25 Contributions, gifts, grants paid	5,633			5,633	
26 Total expenses and disbursements. Add lines 24 and 25.	9,511	2,067	0	7,442	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,828				
b Net investment income (if negative, enter -0-)		9,059			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form 990-PF (2014)

POSTMARK DATE APR 28 2015

SCANNED MAY 12 2015

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		3,790	8,568	8,568
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U.S. and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)				
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment: basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		135,833	132,780	148,245
	14 Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .		139,623	141,348	156,813
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22) . . . . .		0	0	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		139,623	141,348	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions) . . . . .		139,623	141,348	
	31 Total liabilities and net assets/fund balances (see instructions) . . . . .		139,623	141,348	
	<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>				
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)			1	139,623
2	Enter amount from Part I, line 27a			2	1,828
3	Other increases not included in line 2 (itemize) ► See Attached Statement			3	228
4	Add lines 1, 2, and 3			4	141,679
5	Decreases not included in line 2 (itemize) ► See Attached Statement			5	331
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30			6	141,348

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	See Attached Statement				
<b>b</b>	See Attached Statement				
<b>c</b>	See Attached Statement				
<b>d</b>	See Attached Statement				
<b>e</b>	See Attached Statement				
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				0	
<b>b</b>				0	
<b>c</b>				0	
<b>d</b>				0	
<b>e</b>				0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(I) F M V as of 12/31/69	(J) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>				0	
<b>b</b>				0	
<b>c</b>				0	
<b>d</b>				0	
<b>e</b>				0	
<b>2</b>	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		<b>2</b>	8,036
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	7,366	155,042	0.047510
2012	5,386	147,956	0.036403
2011	4,653	149,304	0.031165
2010	7,372	141,022	0.052276
2009	3,536	125,845	0.028098

<b>2</b>	Total of line 1, column (d)	<b>2</b>	0.195452
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.039090
<b>4</b>	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	<b>4</b>	159,571
<b>5</b>	Multiply line 4 by line 3	<b>5</b>	6,238
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	91
<b>7</b>	Add lines 5 and 6	<b>7</b>	6,329
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	7,442

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1. Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

1b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

1c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

6a 2014 estimated tax payments and 2013 overpayment credited to 2014

6b Exempt foreign organizations—tax withheld at source

6c Tax paid with application for extension of time to file (Form 8868)

6d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2015 estimated tax ► Refunded ►

1	91
2	0
3	91
4	
5	91
6a	48
6b	
6c	
6d	
7	48
8	
9	43
10	0
11	0

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

1c Did the foundation file Form 1120-POL for this year?

1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. ► \$ \_\_\_\_\_ (2) On foundation managers. ► \$ \_\_\_\_\_

1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
*If "Yes," attach a detailed description of the activities*

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If "Yes," attach the statement required by General Instruction T.*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► PA

8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

	Yes	No
1a	X	
1b	X	
1c	X	
2	X	
3	X	
4a	X	
4b	N/A	
5	X	
6	X	
7	X	
8b	X	
9	X	
10	X	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X
Website address ► N/A			
14	The books are in care of ► WELLS FARGO BANK N A	Telephone no. ► 888-730-4933	
	Located at ► 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC	ZIP+4 ► 27101-3818	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► 15 N/A		□
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes X No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here . . . . . ► □	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): . . . . .		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) . . . . .	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) . . . . .	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? . . . . .	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6b	X
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	N/A
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC 27101	Trustee	4.00	2,675	
-----				
-----				
-----				
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**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
-----				
-----				
<b>Total number of other employees paid over \$50,000</b>				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE.....		
.....		
.....		
.....		
.....		
.....		
<b>Total number of others receiving over \$50,000 for professional services</b> .....		►

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	NONE.....	
2	.....	
3	.....	
4	.....	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE.....	
2	.....	
All other program-related investments. See instructions		
3	NONE.....	
<b>Total. Add lines 1 through 3</b> .....		► 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	155,976
b	Average of monthly cash balances	1b	6,025
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	162,001
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	162,001
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	2,430
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	159,571
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	7,979

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,979
2a	Tax on investment income for 2014 from Part VI, line 5	2a	91
b	Income tax for 2014. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	91
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,888
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	7,888
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,888

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,442
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,442
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	91
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	7,351

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				7,888
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			7,312	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 7,442				
a Applied to 2013, but not more than line 2a			7,312	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				130
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	0			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015			0	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				7,758
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				



**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>Name and address (home or business)</b>				
<i>a Paid during the year</i>				
See Attached Statement				
<b>Total</b>			► 3a	5,633
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	<b>Program service revenue:</b>					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
<b>2</b>	<b>Membership dues and assessments</b>					
<b>3</b>	<b>Interest on savings and temporary cash investments</b>					
<b>4</b>	<b>Dividends and interest from securities</b>					
<b>5</b>	<b>Net rental income or (loss) from real estate:</b>					
a	Debt-financed property					
b	Not debt-financed property					
<b>6</b>	<b>Net rental income or (loss) from personal property</b>					
<b>7</b>	<b>Other investment income</b>					
<b>8</b>	<b>Gain or (loss) from sales of assets other than inventory</b>					
<b>9</b>	<b>Net income or (loss) from special events</b>					
<b>10</b>	<b>Gross profit or (loss) from sales of inventory</b>					
<b>11</b>	<b>Other revenue:</b> a					
b						
c						
d						
e						
<b>12</b>	<b>Subtotal. Add columns (b), (d), and (e)</b>		0		11,339	0
<b>13</b>	<b>Total. Add line 12, columns (b), (d), and (e)</b>				13	11,339

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
Not Applicable	



**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year****Recipient(s) paid during the year****Name**

ST LUKE'S UNITED CHURCH OF CHRIST

**Street**

200 WEST MAIN STREET

<b>City</b> TRAPPE	<b>State</b> PA	<b>Zip Code</b> 19426	<b>Foreign Country</b>
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<b>Relationship</b> NONE	<b>Foundation Status</b> PC		
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<b>Purpose of grant/contribution</b> GENERAL SUPPORT GRANT	<b>Amount</b> 5,633
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**Name****Street**

<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
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<b>Relationship</b>	<b>Foundation Status</b>		
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<b>Purpose of grant/contribution</b>	<b>Amount</b>
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**Name****Street**

<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
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<b>Relationship</b>	<b>Foundation Status</b>		
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<b>Purpose of grant/contribution</b>	<b>Amount</b>
--------------------------------------	---------------

**Name****Street**

<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
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<b>Relationship</b>	<b>Foundation Status</b>		
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<b>Purpose of grant/contribution</b>	<b>Amount</b>
--------------------------------------	---------------

**Name****Street**

<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
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<b>Relationship</b>	<b>Foundation Status</b>		
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<b>Purpose of grant/contribution</b>	<b>Amount</b>
--------------------------------------	---------------

**Name****Street**

<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
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<b>Relationship</b>	<b>Foundation Status</b>		
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<b>Purpose of grant/contribution</b>	<b>Amount</b>
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**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss		
Long Term CG Distributions	Amount	3,206												8,036	0
Short Term CG Distributions														82,460	0
1 X ARTISAN INTERNATIONAL FU	043141H204				0/21/2011	12/1/2014	3/31	439	439				0	129	
2 X ARTISAN INTERNATIONAL FU	043141H204				0/21/2011	12/4/2014	897	750				0	0	-5	
3 X CREDIT SUISSE COMM RET S	042244R305				2/1/2013	4/22/2014	604	659				0	0	147	
4 X CREDIT SUISSE COMM RET S	042244R305				6/1/2009	6/18/2014	2,113	2,346				0	0	-55	
5 X CREDIT SUISSE COMM RET S	042244R305				1/21/2011	6/18/2014	46	50				0	0	-233	
6 X DIAMOND HILL LONG-SHORT	252645833				8/1/2012	6/18/2014	190	143				0	0	-4	
7 X DIAMOND HILL LONG-SHORT	252645833				10/21/2011	10/22/2014	2,421	1,754				0	0	47	
8 X DIAMOND HILL LONG-SHORT	252645833				8/1/2012	10/22/2014	770	600				0	0	667	
9 X DODGE & COX INT'L STOCK F	256208103				1/21/2014	1/21/2014	432	313				0	0	170	
10 X DODGE & COX INT'L STOCK F	256208103				6/20/2014	12/4/2014	314	328				0	0	119	
11 X DODGE & COX INCOME FD C	256210105				2/1/2013	1/21/2014	546	556				0	0	-9	
12 X DODGE & COX INCOME FD C	256210105				6/18/2013	6/18/2014	1,031	1,026				0	0	5	
13 X DODGE & COX INCOME FD C	256210105				2/1/2013	10/22/2014	1,121	1,116				0	0	5	
14 X DODGE & COX INCOME FD C	256210105				10/11/2013	10/22/2014	1,379	1,337				0	0	42	
15 X DODGE & COX INCOME FD C	256210105				4/24/2014	8/20/2014	820	811				0	0	9	
16 X DODGE & COX INCOME FD C	256210105				8/22/2008	10/22/2014	2,614	2,257				0	0	357	
17 X DODGE & COX INCOME FD C	256210105				8/22/2008	10/22/2014	1,043	903				0	0	140	
18 X DODGE & COX INCOME FD C	256210105				2/1/2012	6/18/2014	388	385				0	0	3	
19 X DREYFUS ENG MKT DEBT LO	261880494				10/21/2011	6/18/2014	1,144	1,096				0	0	48	
20 X DREYFUS INTERNAL BOND					4/24/2014	10/22/2014	2,396	2,418				0	0	-22	
21 X DREYFUS INTERNAL BOND					7/1/2013	6/18/2014	2,471	2,235				0	0	12	
22 X DRIEHHAUS ACTIVE INCOME F					10/11/2013	6/18/2014	828	822				0	0	6	
23 X DRIEHHAUS ACTIVE INCOME F					7/1/2013	10/22/2014	1,483	1,517				0	0	-34	
24 X EATON VANCE GLOBAL MACH					1/23/2014	10/22/2014	876	882				0	0	-6	
25 X EATON VANCE GLOBAL MACH					10/22/2014	1/21/2014	280	187				0	0	93	
26 X J.P. MORGAN MID CAP VALUE	339125100				10/21/2011	12/4/2014	560	531				0	0	29	
27 X J.P. MORGAN MID CAP VALUE	339125100				6/20/2014	7/1/2014	978	640				0	0	338	
28 X HARBOR CAPITAL ARCTION	411511504				10/11/2013	6/18/2014	44	34				0	0	10	
29 X HARBOR CAPITAL ARCTION	411511504				4/24/2014	6/18/2014	404	388				0	0	16	
30 X HARBOR CAPITAL ARCTION	411511504				10/21/2011	6/18/2014	955	615				0	0	340	
31 X HARBOR CAPITAL ARCTION	411511504				10/28/2014	12/4/2014	631	609				0	0	22	
32 X HARBOR CAPITAL ARCTION	411511504				10/21/2011	12/4/2014	370	381				0	0	69	
33 X ISHARES CORE S&P 500 ETF	464287200				6/18/2014	12/4/2014	209	196				0	0	13	
34 X ISHARES CORE S&P 500 ETF	464287200				10/24/2014	12/4/2014	335	316				0	0	19	
35 X KALMAR GRWTH SM CAP	483436305				1/23/2014	1/23/2014	467	458				0	0	127	
36 X KEELEY SMALL CAP VAL FD C	487300808				10/21/2011	6/18/2014	270	161				0	0	109	
37 X KEELEY SMALL CAP VAL FD C	487300808				10/21/2011	6/18/2014	907	875				0	0	32	
38 X LAUDUS MONDRIAN INT'L F-H	519550655				10/21/2011	4/22/2014	3,075	1,798				0	0	1,277	
39 X LAUDUS MONDRIAN INT'L F-H	519550655				7/1/2013	4/22/2014	3,276	2,695				0	0	-319	
40 X LAUDUS MONDRIAN INT'L F-H	519550655				7/1/2013	4/22/2014	432	464				0	0	-32	
41 X LAUDUS MONDRIAN INT'L F-H	519550655				10/11/2013	4/22/2014	366	377				0	0	9	
42 X MFS VALUE FUND-CLASS #38	552983634				7/1/2013	4/22/2014	467	458				0	0	9	
43 X MFS VALUE FUND-CLASS #38	552983634				7/1/2012	4/22/2014	464	337				0	0	127	
44 X MERGER FD SH BEN INT'L F	589500108				6/20/2014	12/4/2014	907	875				0	0	32	
45 X MERGER FD SH BEN INT'L F	589500108				10/21/2013	6/16/2014	660	635				0	0	25	
46 X NUVEEN HIGH YIELD MUNI B	6706562772				8/8/2014	12/4/2014	1,510	1,481				0	0	29	
47 X NUVEEN HIGH ALTERNATIVES	6706562772				10/22/2014	1/21/2014	123	119				0	0	4	
48 X NUVEEN HIGH ALTERNATIVES	6706562885				10/11/2013	1/21/2014	181	182				0	0	-1	
49 X NUVEEN HIGH ALTERNATIVES	6706562885				7/1/2013	1/21/2014	842	836				0	0	4	
50 X NUVEEN HIGH YIELD MUNI B	6706562772				6/18/2014	12/4/2014	870	886				0	0	-6	
51 X OPPENHEIMER DEVELOPING	6839717805				7/1/2013	12/4/2014	267	264				0	0	3	
52 X OPPENHEIMER BEAMAN LONG	6839717805				7/1/2013	12/2/2014	1,481	1,482				0	0	-28	
53 X PIMCO TOTAL RET FD-INST #	693390700				5/10/2012	8/8/2014	171	164				0	0	7	
54 X PIMCO TOTAL RET FD-INST #	693390700				5/10/2012	8/8/2014	289	288				0	0	9	
55 X PIMCO TOTAL RET FD-INST #	693390700				5/10/2012	8/8/2014	692	687				0	0	5	
56 X PIMCO TOTAL RET FD-INST #	693390700				9/30/2012	9/30/2014	9,189	8,835				0	0	364	
57 X PIMCO TOTAL RET FD-INST #	693390700				9/30/2012	1/2/2014	1,272	1,266				0	0	6	
58 X PIMCO FOREIGN BD-INST #	693390802				4/24/2014	8/8/2014	112	102				0	0	10	
59 X PIMCO FOREIGN BD-INST #	693390802				5/10/2012	10/22/2014	355	371				0	0	-16	
60 X PIMCO FOREIGN BD-INST #	693390802				5/10/2012	10/22/2014	891	947				0	0	44	
61 X PIMCO FOREIGN BD-INST #	693390802				4/24/2014	6/18/2014	617	620				0	0	14	
62 X PIMCO FOREIGN BD-INST #	693390802				5/10/2012	10/22/2013	288	284				0	0	9	
63 X PIMCO FOREIGN BD-INST #	693390802				5/10/2012	10/22/2013	1,287	1,177				0	0	110	
64 X RIDGEWORTH SEVEN SEAS BD-1A	7662817645				7/1/2013	1/21/2014	1,189	1,211				0	0	-22	
65 X RIDGEWORTH SEVEN SEAS BD-1A	7662817645				10/11/2013	1/21/2014	177	180				0	0	3	
66 X RIDGEWORTH SEVEN SEAS BD-1A	7662817645				10/12/2011	1/21/2014	2,440	2,324				0	0	16	

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Date Acquired	Check "X" if Purchaser is a Business	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Totals		Net Gain or Loss		
														Capital Gains/Losses	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss	
	Long Term CG Distributions														0	82,480	82,480	0
	Short Term CG Distributions	3,206													0	0	0	0
70	X RIDGEWORTH SEI X HY BD-L #	766281845													0	0	0	0
71	X T. ROWE PRICE SHORT TERM	77857P105		2/11/2013		4/22/2014		4,013		3,787					0	0	0	225
72	X T. ROWE PRICE SHORT TERM	77857P105		2/11/2013		6/18/2014		1,533		1,549					0	0	0	-8
73	X T. ROWE PRICE SHORT TERM	77857P105		10/21/2011		10/22/2014		1,159		1,166					0	0	0	-7
74	X T. ROWE PRICE SHORT TERM	77857P105		2/11/2013		10/22/2014		915		924					0	0	0	-9
75	X T. ROWE PRICE SHORT TERM	77857P105		7/12/2013		10/22/2014		1,327		1,327					0	0	0	0
76	X T. ROWE PRICE SHORT TERM	77857P105		10/11/2013		10/22/2014		915		915					0	0	0	0
77	X SPDR DJ WILSHIRE INTERNAL	784634863		1/2/2014		6/18/2014		263		245					0	0	0	18
78	X VANGUARD TOTAL BOND MA	921931785		9/30/2014		10/22/2014		166		164					0	0	0	2
79	X VANGUARD TOTAL BOND MA	921931785		9/30/2014		10/22/2014		1,074		1,066					0	0	0	8
80	X VANGUARD FTSE EMERGING	922042858		5/6/2012		1/21/2014		354		370					0	0	0	-16
81	X VANGUARD FTSE EMERGING	922042858		8/8/2012		1/21/2014		275		289					0	0	0	-14
82	X VANGUARD FTSE EMERGING	922042858		2/7/2013		1/21/2014		157		176					0	0	0	-19
83	X VANGUARD FTSE EMERGING	922042858		10/21/2011		1/21/2014		1,611		1,620					0	0	0	-9
84	X VANGUARD FTSE EMERGING	922042858		10/21/2011		10/22/2014		2,145		2,055					0	0	0	90
85	X VANGUARD FTSE EMERGING	922042858		7/1/2013		10/22/2014		578		555					0	0	0	43
86	X VANGUARD REIT VIPER	922808553		10/9/2013		4/22/2014		432		392					0	0	0	40

**Part I, Line 16b (990-PF) - Accounting Fees**

	1,140	0	0	1,140
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1 TAX PREP FEES	1,140			1,140

**Part I, Line 18 (990-PF) - Taxes**

Description	Revenue and Expenses per Books	63	61	0	0
1 FOREIGN TAX WITHHELD		61	61		
2 ESTIMATED EXCISE PAYMENTS		2			

**Part II, Line 13 (990-PF) - Investments - Other**

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	ARTISAN INTERNATIONAL FUND 661		0	7,006	9,295
2	DODGE & COX INCOME FD COM 147		0	3,168	3,628
3	HARBOR CAPITAL APRCTON-INST 2012		0	9,015	12,471
4	PIMCO FOREIGN BD FD USD H-INST 103		0	1,089	1,141
5	T ROWE PRICE SHORT TERM BD FD 55		0	2,227	2,209
6	PRINCIPAL GL MULT STRAT-INST #4684		0	1,614	1,614
7	MERGER FUND-INST #301		0	2,357	2,323
8	ARTISAN MID CAP FUND-INSTL 1333		0	5,458	6,263
9	FID ADV EMER MKTS INC- CL 1607		0	2,378	2,306
10	ISHARES S & P 500 INDEX FUND		0	3,789	4,965
11	DREYFUS INTERNATIONAL BOND FD CL 16094		0	1,192	1,143
12	DODGE & COX INTL STOCK FD 1048		0	7,235	8,943
13	MFS VALUE FUND-CLASS 1893		0	10,151	12,970
14	DREYFUS EMG MKT DEBT LOC C- 6083		0	3,948	3,504
15	DRIEHAUS ACTIVE INCOME FUND		0	3,185	3,162
16	JP MORGAN MID CAP VALUE-I-758		0	4,399	6,046
17	ASG GLOBAL ALTERNATIVES-Y 1993		0	4,638	4,621
18	VANGUARD REIT VIPER		0	4,374	6,561
19	AQR MANAGED FUTURES STR-I		0	2,359	2,414
20	STRATTON SM-CAP VALUE FD 37		0	4,709	4,586
21	JPMORGAN HIGH YIELD FUND SS 3580		0	5,618	5,444
22	T ROWE PRICE INST FLOAT RATE 170		0	2,409	2,346
23	OPPENHEIMER DEVELOPING MKT-I 799		0	14,952	14,855
24	VANGUARD TOTAL BOND MARKET ETF		0	3,608	3,624
25	SPDR DJ WILSHIRE INTERNATIONAL REA		0	5,473	6,360
26	ROBECO BP LNGI SHRT RES-INS		0	2,359	2,430
27	NEUBERGER BERMAN LONG SH-INS #183		0	3,201	3,211
28	KALMAR GR W/ VAL SM CAP-INS #4		0	3,817	4,174
29	CREDIT SUISSE COMM RT ST-CO 2156		0	7,052	5,636

### **Part III (990-PF) - Changes in Net Assets or Fund Balances**

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#### Line 3 - Other increases not included in Part III, Line 2

<b>1</b> COST BASIS ADJ	<b>1</b>	<b>11</b>
<b>2</b> MUTUAL FUND & CTF INCOME ADD	<b>2</b>	<b>217</b>
<b>3</b> Total . . . . .	<b>3</b>	<b>228</b>

#### Line 5 - Decreases not included in Part III, Line 2

<b>1</b> MUTUAL FUND & CTF INCOME SUB	<b>1</b>	<b>251</b>
<b>2</b> PY RETURN OF CAPITAL ADJ	<b>2</b>	<b>80</b>
<b>3</b> Total . . . . .	<b>3</b>	<b>331</b>

**Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

Amount											Amount												
Long Term CG Distributions			Short Term CG Distributions			87,310			82,480			4,830			82,480			87,310			4,830		
Description of Property Sold	CGIS#	Acquisition Date	Date Acquired	Date Sold	Sales Price	Gross	Depreciation	Adjusted	Cost or Other Basis Plus	Excess of FMV as of 12/31/15	Excess of FMV as of 12/31/15	Cost or Other Basis Plus	Excess of FMV as of 12/31/15	Cost or Other Basis Plus	Excess of FMV as of 12/31/15	Cost or Other Basis Plus	Excess of FMV as of 12/31/15	Cost or Other Basis Plus	Excess of FMV as of 12/31/15	Cost or Other Basis Plus	Excess of FMV as of 12/31/15		
1 ARTISAN INTERNATIONAL FUND #8681	04314H204	10/27/2011	12/1/2014	8/20/2014	431	393			294	0	0	0	0	0	0	0	0	0	0	0	0	0	
2 ARTISAN M&O CAP FUND-INS #1333	04314H204	12/4/2014	12/2/2014	8/20/2014	687	687			750	147	0	0	0	0	0	0	0	0	0	0	0	0	
3 CREDIT SUISSE COMM RET ST-#2156	22544R305	2/11/2009	4/22/2014	604					659	55	0	0	0	0	0	0	0	0	0	0	0	0	
4 CREDIT SUISSE COMM RET ST-#2156	22544R305	6/11/2009	6/18/2014	2,113					2,346	233													
5 CREDIT SUISSE COMM RET ST-#2156	22544R305	10/12/2011	6/18/2014	49					50	1													
6 CREDIT SUISSE COMM RET ST-#2156	22544R305	10/12/2011	6/18/2014	109					143	41													
7 DIAMOND HILL LONG-SHOR FD CL #9	25845S033	10/27/2011	10/27/2014	2,421					1,754	667													
8 DIAMOND HILL LONG-SHOR FD CL #9	25845S033	9/10/2012	10/27/2014	432					670	170													
9 DIAMOND HILL STOCK FD #1046	25826S103	10/27/2011	12/1/2014	314					313	119													
10 DODGE & COX INTL STOCK FD #1046	25826S103	8/20/2014	12/1/2014	546					328	14													
11 DODGE & COX INCOME FD #1047	258210105	2/11/2012	12/1/2014	546					555	9													
12 DODGE & COX INCOME FD #1047	258210105	2/11/2012	12/1/2014	1,031					1,026	5													
13 DODGE & COX INCOME FD #1047	258210105	2/11/2013	6/18/2014	1,212					1,169	5													
14 DODGE & COX INCOME FD #1047	258210105	2/11/2013	6/18/2014	1,212					1,337	42													
15 DODGE & COX INCOME FD #1047	258210105	4/27/2014	6/18/2014	920					811	9													
16 DODGE & COX INCOME FD #1047	258210105	9/22/2008	10/22/2014	2,614					2,257	357													
17 DODGE & COX INCOME FD #1047	258210105	9/22/2008	10/22/2014	1,043					963	140													
18 DREYFUS END MKT DEBT LOC C1 #8608	281980494	2/14/2012	6/18/2014	388					385	3													
19 DREYFUS END MKT DEBT LOC C1 #8608	281980494	10/21/2011	6/18/2014	1,144					1,096	48													
20 DREYFUS END MKT DEBT LOC C1 #8608	281980494	10/21/2011	6/18/2014	1,144					2,419	22													
21 DREYFUS END MKT DEBT LOC C1 #8608	281980494	10/21/2013	6/18/2014	2,986					2,235	12													
22 DREYFUS ACTIVE INCOME FUND	280208555	7/17/2013	6/18/2014	2,247					822	8													
23 DREYFUS ACTIVE INCOME FUND	280208555	10/1/2013	6/18/2014	928					1,517	34													
24 EATON VANCE GLOBAL MACRO FUND	277933728	7/10/2012	10/22/2014	1,883					882	86													
25 EATON VANCE GLOBAL MACRO FUND	277933728	10/22/2012	10/22/2014	878					187	93													
26 JP MORGAN MID CAP VALUE #7758	339128100	10/21/2011	12/1/2014	280					281	22													
27 JP MORGAN MID CAP VALUE #7758	339128100	9/20/2014	12/1/2014	560					531	20													
28 HARBOUR CAPITAL APPRENT C1 #8608	411515094	10/21/2011	10/21/2014	920					860	39													
29 HARBOUR CAPITAL APPRENT C1 #8608	411515094	2/11/2013	6/18/2014	44					181	10													
30 HARBOUR CAPITAL APPRENT C1 #8608	411515094	4/27/2014	6/18/2014	404					1,788	1277													
31 HARBOUR CAPITAL APPRENT C1 #8608	411515094	10/21/2011	6/18/2014	985					615	340													
32 HARBOUR CAPITAL APPRENT C1 #8608	411515094	10/28/2014	6/18/2014	631					808	22													
33 ISHARES CORE S&P 500 ETF	484287200	8/8/2012	12/1/2014	370					281	89													
34 ISHARES CORE S&P 500 ETF	484287200	10/21/2011	12/1/2014	315					196	13													
35 KALMAR GRWVY VAL MACAP JDS #4	483449015	10/21/2011	12/1/2014	920					137	12													
36 KEELEY SMALL CAP VAL ED CL #2251	487100009	10/21/2011	6/18/2014	270					875	22													
37 KEELEY SMALL CAP VAL ED CL #2251	487100009	10/21/2011	6/18/2014	307					835	23													
38 LAUDUS MONDRIAN INTL FINST #2840	518553855	10/21/2011	6/18/2014	2,376					1,481	1													
39 LAUDUS MONDRIAN INTL FINST #2840	518553855	10/21/2012	6/18/2014	432					1,622	1													
40 LAUDUS MONDRIAN INTL FINST #2840	518553855	7/19/2013	6/18/2014	398					1,684	1													
41 LAUDUS MONDRIAN INTL FINST #2840	518553855	7/19/2013	6/18/2014	467					1,777	1													
42 MFS VALUE FUND-CLASS #8833	550983894	2/14/2012	12/1/2014	664					458	9													
43 MFS VALUE FUND-CLASS #8833	550983894	8/20/2014	12/1/2014	907					137	12													
44 MERGEED FUND BEN INT #260	589560708	10/21/2011	6/18/2014	1,650					875	22													
45 MERGEED FUND BEN INT #260	589560708	7/17/2013	6/18/2014	1,515					1,481	1													
46 MERGEED FUND BEN INT #260	589560708	10/21/2011	6/18/2014	123					119	4													
47 MFS GLOBAL ALTERNATIVES-#1993	630772785	10/11/2013	12/1/2014	181					182	1													
48 MFS GLOBAL ALTERNATIVES-#1993	630772785	7/19/2013	12/1/2014	598					809	1													
49 MFS GLOBAL ALTERNATIVES-#1993	630772785	7/19/2013	12/1/2014	670					888	1													
50 MFS GLOBAL ALTERNATIVES-#1993	630772785	7/19/2013	12/1/2014	261					294	3													
51 NEWBERGER BENMAM LONG SH INSS #4	641200008	8/20/2014	12/1/2014	2,392					2,521	29													
52 NUVEEN HIGH MUL BDR #1668	670655Q72	4/24/2014	12/1/2014	171					184	7													
53 NUVEEN HIGH MUL BDR #1668	670655Q72	7/11/2013	12/1/2014	782					774	4													
54 NUVEEN HIGH YIELD INCOME FUND	282020855	7/11/2013	12/1/2014	842					838	6													
55 NUVEEN HIGH YIELD MUL BDR #1668	670655Q72	2/11/2013	12/1/2014	2,378					2,555	123													
56 NUVEEN HIGH YIELD MUL BDR #1668	670655Q72	2/11/2013	12/1/2014	2,378					2,559	123													
57 OPPENHEIMER DEVELOPING MKT Y #4	683391469	10/21/2011	6/18/2014	112					102	10													
58 PIMCO TOTAL RET-FUND #8335	518391070	5/10/2012	6/18/2014	355					355	9													
59 PIMCO TOTAL RET-FUND #8335	518391070	5/10/2012	6/18/2014	289					289	9													
60 PIMCO TOTAL RET-FUND #8335	518391070	2/23/2011	6/18/2014	1,287					687	5													
61 PIMCO TOTAL RET-FUND #8335	518391070	4/24/2014	6/18/2014	692					692	5													
62 PIMCO TOTAL RET-FUND #8335	518391070	9/22/2012	6/18/2014	9,199					8,635	364													
63 PIMCO TOTAL RET-FUND #8335	518391070	9/20/2014	6/18/2014	1,272					1,272	0		</td											

#### Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

**Part VIII. Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return . . . . .	1	46
2 First quarter estimated tax payment . . . . .	2	2
3 Second quarter estimated tax payment . . . . .	3	
4 Third quarter estimated tax payment . . . . .	4	
5 Fourth quarter estimated tax payment . . . . .	5	
6 Other payments . . . . .	6	
<b>7 Total . . . . .</b>	<b>7</b>	<b>48</b>

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2013 that remained undistributed at the beginning of the 2014 tax year	1	7,312
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	7,312

1