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Form 990-PF

Return of Private Foundation

OMB No 1545-0052

2014

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
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Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation PHILIP & MYN ROOTBERG FOUNDATION		A Employer identification number 23-7169351
Number and street (or P.O. box number if mail is not delivered to street address) 225 W. WACKER DRIVE	Room/suite 2500	B Telephone number (312) 602-6800
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60606		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,272,716.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

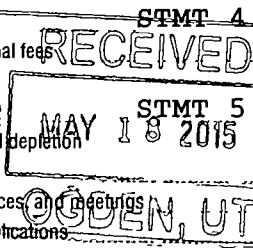
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		2,242.	2,242.		STATEMENT 1
4 Dividends and interest from securities		30,557.	30,557.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		178,918.			
b Gross sales price for all assets on line 6a 824,925.					
7 Capital gain net income (from Part IV, line 2)			178,918.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		882.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		212,599.	211,717.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		4,345.	2,173.		2,172.
c Other professional fees					
17 Interest					
18 Taxes		349.	349.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		11,393.	11,363.		30.
24 Total operating and administrative expenses. Add lines 13 through 23		16,087.	13,885.		2,202.
25 Contributions, gifts, grants paid		58,925.			58,925.
26 Total expenses and disbursements. Add lines 24 and 25		75,012.	13,885.		61,127.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		137,587.			
b Net investment income (if negative, enter -0-)			197,832.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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SCANNED MAY 21 2015



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		1,009.	2,382.	2,382.
	2	Savings and temporary cash investments		13,797.	47,862.	47,862.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		9,718.	9,718.	10,872.
	b	Investments - corporate stock STMT 8		206,797.	0.	0.
	c	Investments - corporate bonds STMT 9		20,079.	20,079.	21,163.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 10		796,029.	1,104,975.	1,190,437.
14		Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,047,429.	1,185,016.	1,272,716.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒		1,047,429.	1,185,016.	
	25	Unrestricted				
	26	Temporarily restricted				
	26	Permanently restricted				
	27	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		1,047,429.	1,185,016.	
31	Total liabilities and net assets/fund balances		1,047,429.	1,185,016.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,047,429.
2	Enter amount from Part I, line 27a	2	137,587.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,185,016.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,185,016.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT		P		
b SEE ATTACHMENT		P		
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 227,342.		221,549.	5,793.
b 576,259.		424,458.	151,801.
c 21,324.			21,324.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			5,793.
b			151,801.
c			21,324.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	178,918.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	55,542.	1,232,252.	.045074
2012	33,128.	1,152,132.	.028754
2011	24,640.	1,142,788.	.021561
2010	37,859.	1,190,663.	.031797
2009	60,005.	1,336,630.	.044893

2 Total of line 1, column (d)	2	.172079
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.034416
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,289,137.
5 Multiply line 4 by line 3	5	44,367.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,978.
7 Add lines 5 and 6	7	46,345.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	61,127.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,978.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,978.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,978.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	400.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,578.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of RUTH ROOTBERG Telephone no. (312) 602-6800 Located at 478 OLD FARM ROAD, AMHERST, MA ZIP+4 01002			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country N/A	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? N/A	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years N/A	2a	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A	2c	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No	3a	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RUTH E. ROOTBERG	PRESIDENT/DIRECTOR/TREASUR			
225 W. WACKER DRIVE				
CHICAGO, IL 60606	0.50	0.	0.	0.
SUSAN R. SHAPIRO	SECRETARY/DIRECTOR			
225 W. WACKER DRIVE				
CHICAGO, IL 60606	0.50	0.	0.	0.
LESLIE R. WILDER	VICE PRESIDENT/DIRECTOR			
225 W. WACKER DRIVE				
CHICAGO, IL 60606	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,247,773.
b	Average of monthly cash balances	1b	60,996.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,308,769.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,308,769.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,632.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,289,137.
6	Minimum investment return. Enter 5% of line 5	6	64,457.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	64,457.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,978.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,978.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	62,479.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	62,479.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	62,479.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	61,127.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	61,127.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,978.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	59,149.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				62,479.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			58,914.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 61,127.				
a Applied to 2013, but not more than line 2a			58,914.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				2,213.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				60,266.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACLU 211 CONGRESS ST BOSTON, MA 02110	N/A	PUBLIC	GENERAL FUNDING	1,000.
ALZHEIMER'S DISEASE RESEARCH 22512 GATEWAY CENTER DRIVE CLARKSBURG, MD 20871	N/A	PUBLIC	GENERAL FUNDING	500.
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY, OK 73123	N/A	PUBLIC	GENERAL FUNDING	3,000.
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS, TX 75231	N/A	PUBLIC	GENERAL FUNDING	500.
AMERICAN HUMANE ASSOCIATION 1400 16TH STREE NW, SUITE 360 WASHINGTON, DC 20036	N/A	PUBLIC	GENERAL FUNDING	600.
Total SEE CONTINUATION SHEET(S) ▶ 3a				58,925.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,242.	
4 Dividends and interest from securities			14	30,557.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	178,918.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a FEDERAL REFUND			01	882.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		212,599.	0.
13 Total. Add line 12, columns (b), (d), and (e)					212,599.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

PHILIP & MYN ROOTBERG FOUNDATION

23-7169351

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMHERST CINEMA 28 AMITY STREET AMHERST, MA 01002	N/A	PUBLIC	GENERAL FUNDING	1,000.
ATLANTIC THEATER COMPANY 76 NINTH AVENUE, SUITE 537 NEW YORK, NY 10011	N/A	PUBLIC	GENERAL FUNDING	200.
AUTISM SPEAKS 1060 STATE ROUD, 2ND FLOOR PRINCETON, NJ 08540	N/A	PUBLIC	GENERAL FUNDING	800.
CHICAGO PBULIC LIBRARY FOUNDATION 20 NORTH MICHIGAN AVENUE, SUITE 520 CHICAGO, IL 60602	N/A	PUBLIC	GENERAL FUNDING	300.
CHICAGO SYMPHONY ORCHESTRA 220 S. MICHIGAN AVENUE CHICAGO, IL 60604	N/A	PUBLIC	GENERAL FUNDING	1,200.
COURT THEATRE - THE UNIVERSITY OF CHICAGO 5535 S ELLIS AVE CHICAGO, IL 60637	N/A	PUBLIC	GENERAL FUNDING	1,200.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUNDING	300.
FELDENKRAIS EDUCATIONAL FOUNDATION OF NORTH AMERICA 5436 N. ALBINA AVENUE PORTLAND, OR 97217	N/A	PUBLIC	GENERAL FUNDING	600.
FOLK ARTS RAJASTHAN 314 EAST 84TH ST, #11 NEW YORK, NY 10028	N/A	PUBLIC	GENERAL FUNDING	3,115.
FRIENDS OF THE IMPJ 1155 ALVIRA STREET LOS ANGELES, CA 90035	N/A	PUBLIC	GENERAL FUNDING	180.
Total from continuation sheets				53,325.

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOODMAN THEATRE 170 N. DEARBORN CHICAGO, IL 60601	N/A	PUBLIC	GENERAL FUNDING	1,000.
HADASSAH CHICAGO-NORTH SHORE CHAPTER 50 MWEST 58 STREET NEW YORK CITY, NY 10019	N/A	PUBLIC	GENERAL FUNDING	400.
HARTSBROOK SCHOOL 193 BAY ROAD HADLEY, MA 01035	N/A	PUBLIC	GENERAL FUNDING	500.
JEWISH UNITED FUND 30 S. WELLS STREET CHICAGO, IL 60606	N/A	PUBLIC	GENERAL FUNDING	12,000.
KENYON COLLEGE 101 WEST SCOTT LANE GAMBIER, OH 43022	N/A	PUBLIC	GENERAL FUNDING	1,000.
LA'S BEST 200 N SPRING STREET, SUITE M-120 LOS ANGELES, CA 90012	N/A	PUBLIC	GENERAL FUNDING	500.
LYRIC OPERA OF CHICAGO 20 N. WACKER DRIVE CHICAGO, IL 60606	N/A	PUBLIC	GENERAL FUNDING	1,500.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUNDING	400.
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203	N/A	PUBLIC	GENERAL FUNDING	400.
NEIGHBORHOOD CATS 2576 BROADWAY, NO. 555 NEW YORK, NY 10025	N/A	PUBLIC	GENERAL FUNDING	200.
Total from continuation sheets				

PHILIP & MYN ROOTBERG FOUNDATION

23-7169351

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW ENGLAND PUBLIC RADIO 1525 MAIN STREET SPRINGFIELD, MA 01103	N/A	PUBLIC	GENERAL FUNDING	1,000.
ONE VOICE 1228 15TH STREET, SUITE C SANTA MONICA, CA 90404	N/A	PUBLIC	GENERAL FUNDING	1,400.
SEAN CASEY ANIMAL RESCUE 153 EAST 3RD STREET BROOKLYN, NY 11218	N/A	PUBLIC	GENERAL FUNDING	200.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	N/A	PUBLIC	GENERAL FUNDING	300.
ST ANN'S WAREHOUSE 55 WASHINGTON ST, SUITE 458 BROOKLYN, NY 11201	N/A	PUBLIC	GENERAL FUNDING	1,500.
SOCIETY OF ST. VINCENT DE PAUL NEW ORLEANS PO BOX 792880 NEW ORLEANS, LA 70179	N/A	PUBLIC	GENERAL FUNDING	300.
TEHIYAH DAY SCHOOL 2603 TASSAJARA AVENUE EL CERRITO, CA 94530	N/A	PUBLIC	GENERAL FUNDING	500.
TEMPLE AKIBA 5249 S. SEPULVEDA BLVD CULVER CITY, CA 90230	N/A	PUBLIC	GENERAL FUNDING	8,915.
TEMPLE ISRAEL OF HOLLYWOOD 7300 HOLLYWOOD BLVD LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUNDING	1,000.
TEMPLE SHALOM 3480 N. LAKE SHORE DRIVE CHICAGO, IL 60657	N/A	PUBLIC	GENERAL FUNDING	2,000.
Total from continuation sheets				

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PHILIP & MYN ROOTBERG FOUNDATION

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Part XV Supplementary Information				
3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE FOOD BANK OF WESTERN MASSACHUSETTES 97 NORTH HATFIELD ROAD, PO BOX 160 HATFIELD, MA 01038	N/A	PUBLIC	GENERAL FUNDING	415.
THE JEWISCH FEDERATION 6505 WILSHIRE BOULEVARD LOS ANGELES, CA 90048	N/A	PUBLIC	GENERAL FUNDING	1,000.
THIRTEEN - NEW YORK PUBLIC MEDIA 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PUBLIC	GENERAL FUNDING	1,500.
TUFTS UNIVERSITY PO BOX 3306 BOSTON, MA 02241	N/A	PUBLIC	GENERAL FUNDING	3,500.
URBAN ADAMAH 1050 PARKER ST BERKELEY, CA 94710	N/A	PUBLIC	GENERAL FUNDING	500.
UNIVERSITY OF ILLIONIS FOUNDATION 1305 WEST GREEN STREET URBANA, IL 61801	N/A	PUBLIC	GENERAL FUNDING	1,000.
WNYC - NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PUBLIC	GENERAL FUNDING	1,500.
Total from continuation sheets				

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ROPES & GRAY	2,225.	2,225.	
STATE OF ISREAL	17.	17.	
TOTAL TO PART I, LINE 3	2,242.	2,242.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROPES & GRAY	51,881.	21,324.	30,557.	30,557.	
TO PART I, LINE 4	51,881.	21,324.	30,557.	30,557.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL REFUND	882.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	882.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,345.	2,173.		2,172.
TO FORM 990-PF, PG 1, LN 16B	4,345.	2,173.		2,172.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	349.	349.		0.
TO FORM 990-PF, PG 1, LN 18	349.	349.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT	15.	0.		15.
ASSET MANAGEMENT FEES	10,829.	10,829.		0.
SECRETARY OF STATE	15.	0.		15.
MISCELLANEOUS	534.	534.		0.
TO FORM 990-PF, PG 1, LN 23	11,393.	11,363.		30.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	X		9,718.	10,872.
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,718.	10,872.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,718.	10,872.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	0.	0.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	20,079.	21,163.
TOTAL TO FORM 990-PF, PART II, LINE 10C	20,079.	21,163.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	COST	1,104,975.	1,190,437.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,104,975.	1,190,437.

PHILIP AND MYN ROOTBERG FOUNDATION
BALANCE SHEET ATTACHMENT
12/31/14
FEIN: 23-7169351

NAME OF SECURITY	1/1/2014		12/31/2014	
	SHARES	BASIS	SHARES	BASIS
<u>Line 10A - US & State Government Obligations</u>				
US Treasury Note - 3.875% 5/15/2018	10,000 00	9,718 02	10,000.00	9,718.02
Total Line 10A		9,718.02		9,718.02
<u>Line 10B - Corporate Stock</u>				
3M Co	45 00	4,143 58	-	-
Ace Limited	55 00	2,819 47	-	-
Air Products & Chemicals Inc	43 00	2,654 82	-	-
Amgen Inc	36 00	2,510 58	-	-
Anadarko Pete Corp	41.00	3,287 64	-	-
Apple Inc	10 00	5,378.59	-	-
AT&T Inc	178 00	6,677.71	-	-
Automatic Data Processing Inc	77 00	2,802 34	-	-
Blackrock Inc Class A	17 00	3,342 93	-	-
Boeing Co	68 00	4,949 65	-	-
Bristol Myers Squibb Co	164 00	5,401.88	-	-
Chevron Corp	102 00	7,430 03	-	-
Chubb Corp	57 00	2,899 97	-	-
Cisco Systems Inc	289 00	4,929 42	-	-
Deere & Co	31 00	2,760.14	-	-
Disney Walt Co New	76 00	2,890 17	-	-
Dow Chemical Co	108.00	3,609 45	-	-
EMC Corp Mass	100 00	1,207.81	-	-
Emerson Elec Co	110 00	4,220 11	-	-
EOG Res Inc	18 00	2,004 30	-	-
Exxon Mobil	98 00	7,204 77	-	-
General Electric Co	330 00	6,691 65	-	-
International Business Machines Corp	18 00	1,826.28	-	-
iShares DJ US Medical Device ETF	48 00	1,983 36	-	-
Johnson & Johnson	72 00	4,557 78	-	-
JP Morgan Chase & Co	185 00	7,608 37	-	-
Kraft Foods Group Inc	99 00	4,808 04	-	-
Lilly Eli & Co	152 00	5,401 87	-	-
Lowe's Cos Inc	193 00	4,061 65	-	-
McDonalds Corp	51 00	3,694 65	-	-
Merck & Co Inc	169 00	5,894 74	-	-
Microsoft Corp	256 00	6,947 53	-	-
National Gnd PLC	102 00	5,309 38	-	-
Nextera Energy Inc	82 00	1,702.36	-	-
Oracle Corp	196 00	3,653 01	-	-
Pepsico Inc	92 00	5,041 74	-	-
Pfizer	257 00	4,738 71	-	-
PNC Financial Services Group	100 00	6,320 45	-	-
Procter & Gamble Co	104 00	5,690 87	-	-
Qualcomm Inc	36 00	2,244 73	-	-
Schlumberger Ltd	64 00	4,165 56	-	-
SPDR S&P Biotech ETF	35 00	1,669 49	-	-
Suncor Energy	88 00	2,694 47	-	-
Texas Instruments Inc	134.00	2,368 72	-	-
United Parcel Service-Class B	58 00	4,376 39	-	-

NAME OF SECURITY	1/1/2014		12/31/2014	
	SHARES	BASIS	SHARES	BASIS
United Technologies Corp	72 00	3,849 60	-	-
Verizon	122.00	3,344 15	-	-
Walgreen Co	126.00	4,216 40	-	-
Wal Mart Stores Inc	58 00	3,182.85	-	-
Wells Fargo & Co	199 00	5,626.34	-	-
Total Line 10B		206,796.50		-
<u>Line 10C - Corporate Bonds</u>				
Wells Fargo Bank NA	10,000.00	10,064.42	10,000 00	10,064.42
Wells Fargo Company Bond	10,000.00	10,014 40	10,000 00	10,014 40
Total Line 10C		20,078.82		20,078.82
<u>Line 13 - Other Investments</u>				
Artisan Intl Value Fund	1,743.419	33,393 32	1,966 503	41,521.61
Artisan Small Cap Value Fund	2,216 614	27,644.12	-	-
Blackrock Strategic Inc Opp Fund Ins	-	-	3,726 666	38,226 61
Dodge & Cox International Stock Fund	1,523.638	53,555 40	1,535.049	54,052 01
Dreyfus Emerging Markets Debt Local Currency Fund	1,307 386	17,774 42	1,307.386	17,774.42
Driehaus Emerging Markets Growth Fund	2,766 907	85,055 79	2,815 218	86,353.60
FMI Large Cap Fund	-	-	6,343 308	146,304 99
Harbor Bond Fund	11,373.210	142,239 88	-	-
Harding Loevner Intl Equity Port	3,574.654	52,904.88	3,739 642	55,811 97
Metropolitan West Total Return Bond Fund	-	-	12,823 354	137,216 83
Neuberger Berman Core Bond Fund	13,300 493	128,841.24	13,242 377	127,857 60
Neuberger Berman High Income Bond Fund	3,868 471	29,119 52	4,068.774	31,011 40
Neuberger & Berman Equity Income Fund	4,159 599	36,059 84	4,050 514	34,833.19
Oak Ridge Small Cap Growth Fund (Name change from Pioneer)	1,029 303	30,573.95	1,071 428	32,239 33
Ridgeworth Seix Floating Rate High Income Fund	3,910 665	35,430 62	4,254 082	38,545 41
Transamerica Intl Small Cap Value Fund	-	-	4,276 505	50,489 43
Vanguard 500 Index Fund ADM	-	-	707 104	134,448 83
Vanguard Fixed Income Short/Intermediate Invesmnt Grade Fund	8,716 129	92,271 37	1,039.347	10,446 78
Vanguard Small-Cap Index Fund	877.037	29,681 24	1,459.477	67,841 48
Hope Letter PR Gbl Hotel Ventures LLC	-	-	1 000	-
PR Global Hotel - Asgmt Setter Agmt	-	-	1 000	-
State of Isreal Bonds				
7th Dev Reg Sav 04/01/14	1,000 000	1,483 00		-
Total Line 13		796,028.59		1,104,975.49
Grand Total		1,032,621.93		1,134,772.33

PHILIP AND MYN ROOTBERG FOUNDATION
CAPITAL GAINS AND LOSSES
12/31/14
FEIN: 23-7169351

	DATE ACQUIRED	DATE SOLD	SHARES	PROCEEDS	COST	GAIN (LOSS)
Short Term Covered						
ADT Corp	9/3/2014	10/16/2014	5 00	159 90	184 45	(24.55)
AES Corp	9/3/2014	10/16/2014	9 00	116 88	131 22	(14 34)
Akamai Technologies Inc	Various	11/21/2014	26 00	1,651 48	1,488 31	163 17
Alcoa Inc	Various	11/21/2014	55 00	968 52	821 09	147 43
Alexion Pharmaceuticals Inc	10/16/2014	11/21/2014	5 00	962 52	816 95	145 57
Allegheny Technologies Inc	9/3/2014	10/16/2014	5 00	163 13	208 95	(45 82)
Allegion PLC	9/3/2014	10/16/2014	10 00	464 21	521 31	(57 10)
Alliance Data Systems Corp	9/3/2014	10/16/2014	4 000	1,006 13	1,054 00	(47 87)
Alliance Data Systems Corp	9/3/2014	11/21/2014	1 000	287 10	263 50	23 60
Amtek Inc New	10/16/2014	11/21/2014	5 00	258 19	237 55	20 64
Anheuser-Busch Inbev Spons ADR	3/17/2014	6/5/2014	6 00	662 39	603 10	59 29
Anheuser-Busch Inbev Spons ADR	3/17/2014	9/3/2014	43 00	4,826 64	4,322 20	504 44
AON PLC	10/16/2014	11/21/2014	5 00	453 68	399 65	54 03
Apartment Invt & Mgmt Co Class A Reit	Various	11/26/2014	21 00	781 60	713 74	67 86
Apple Inc	Various	11/21/2014	19 00	2,210 98	1,610 17	600 81
Artisan Intl Value FD Inv	Various	10/31/2014	259 21	9,463 93	9,720 24	(256 31)
Artisan Intl Value FD Inv	3/7/2014	10/31/2014	98 26	3,587 34	3,667 91	(80 57)
Artisan Intl Value FD Inv	3/7/14	Wash Sale Disallowed			(80 57)	80 57
Artisan Small Cap Value Fd Inv	Various	3/4/2014	119 83	2,252 88	2,157 53	95 35
Artisan Small Cap Value Fd Inv	6/10/2014	11/17/2014	109 79	1,909 20	2,085 95	(176 75)
AT&T	4/19/2013	3/4/2014	17 00	546 54	651 56	(105 02)
Autonation Inc	9/3/2014	10/16/2014	5 00	241 04	269 30	(28 26)
Avery Dennison Corp	11/21/2014	10/16/2014	5 0000	242 39	212 65	29 74
Avon Products Inc	9/3/2014	10/16/2014	10 0000	113 08	136 90	(23 82)
Ball Corp	10/16/2014	11/21/2014	5 00	328 34	319 50	8 84
Bank of America Corp	10/16/2014	11/21/2014	8 00	137 23	128 88	8 35
Berkshire Hathaway Inc Class B	Various	11/21/2014	13 00	1,905 88	1,775 24	130 64
Best Buy Company Inc	9/3/2014	11/21/2014	5 00	191 14	156 09	35 05
Blackrock Inc Class A	1/31/2014	3/4/2014	2 00	613 98	603 24	10 74
Blackrock Inc Class A	9/8/2013	6/5/2014	2 00	619 38	558 86	60 52
Block H & R Inc	9/3/2014	10/16/2014	40 000	1,171 61	1,336 80	(165 19)
Boeing Co	Various	1/30/2014	2 00	252 56	183 97	68 59
Borg Warner Automotive Inc	10/16/2014	11/21/2014	6 00	351 77	327 83	23 94
Boston Scientific Corp	Various	11/21/2014	66 00	858 64	766 45	92 19
Cablevision NY Group Class A	Various	11/21/2014	64 00	1,230 05	1,140 92	89 13
Cabot Oil & Gas Corp	9/3/2014	10/16/2014	5 00	155 51	168 75	(13 24)
Cameron International Corp	9/3/2014	10/16/2014	5 000	288 75	369 09	(80 34)
Carefusion Corp	Various	11/21/2014	19 000	1,107 67	1,019 55	88 12
Carmax Inc	9/3/2014	10/16/2014	5 00	229 50	263 50	(34 00)
CBRE Group Inc	9/3/2014	10/16/2014	21 00	601 05	660 22	(59 17)
CBRE Group Inc	9/3/2014	11/21/2014	26 00	868 12	817 42	50 70
CBS Corp Class B	10/16/2014	11/21/2014	5 000	267 49	256 05	11 44
Celgene Corp	10/16/2014	11/21/2014	5 00	542 93	432 70	110 23
Charles Schwab Corp	10/16/2014	11/21/2014	5 00	142 27	127 50	14 77
Chesapeake Energy Corp	9/3/2014	10/16/2014	5 00	103 59	132 40	(28 81)
Chevron Corp	6/10/2013	3/4/2014	2 000	230 96	245 12	(14 16)
Cimarex Energy Co	10/16/2014	11/21/2014	7 00	847 05	766 08	80 97
Coca Cola Co	10/16/2014	11/21/2014	5 00	222 04	212 94	9 10
Coca Cola Co	9/3/2014	10/16/2014	5 00	200 23	239 25	(39 02)
Comcast Corp Class A	5/5/2014	6/5/2014	7 00	368 76	366 59	2 17
Comcast Corp Class A	5/5/2014	9/3/2014	36 00	1,972 84	1,885 32	87 52
Comcast Corp Class A	5/5/2014	11/21/2014	16 00	870 70	837 92	32 78
Conagra Foods Inc	9/3/2014	11/21/2014	5 00	177 69	162 00	15 69
Consol Energy Inc	9/3/2014	10/16/2014	5 00	172 48	200 05	(27 57)
Constellation Brands Inc	9/3/2014	11/21/2014	18 00	1,706 54	1,564 20	142 34
Corning Inc	10/16/2014	11/21/2014	7 00	145 66	122 70	22 96
D R Horton Inc	Various	11/21/2014	24 00	618 22	493 43	124 79
Davita Healthcare Partners Inc	9/3/2014	11/21/2014	5 00	377 44	372 60	4 84
Delta Air Lines Inc	9/3/2014	10/16/2014	5 00	167 82	194 20	(26 38)
Denbury Res Inc	9/3/2014	10/16/2014	8 00	102 46	134 61	(32 15)
Dentsply International Inc	9/3/2014	11/21/2014	5 00	269 44	238 40	31 04
Diamond Offshore Drilling Inc	10/16/2014	11/21/2014	7 00	259 20	266 20	(7 00)
Directv	10/16/2014	11/21/2014	5 00	435 59	419 10	16 49
Discover Finl Svcs	Various	11/21/2014	14 00	906 19	874 81	31 38
Discovery Communication Class A	9/3/2014	10/16/2014	5 00	171 07	217 45	(46 38)
Discovery Communication Class A	10/16/2014	11/21/2014	5 00	165 59	169 95	(4 36)
Dodge & Cox Intl Stock Fund	3/4/2014	6/10/2014	25 73	1,208 14	1,119 64	88 50
Dodge & Cox Intl Stock Fund	3/4/2014	10/31/2014	324 76	14,416 01	14,133 46	282 55
Dollar General Corp	10/16/2014	11/21/2014	5 00	337 29	293 80	43 49
Dollar Tree Inc	10/16/2014	11/21/2014	5 00	334 39	275 45	58 94
Dow Chemical Co	3/20/2013	3/4/2014	10 00	494 59	334 21	160 38
Dun & Bradstreet Corp Del	9/3/2014	11/21/2014	5 00	633 48	595 35	38 13
E Trade Financial Corp	9/3/2014	10/16/2014	59 00	1,172 43	1,289 79	(117 36)
E Trade Financial Corp	9/3/2014	11/21/2014	7 00	160 82	153 03	7 79

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Ebay Inc	10/16/2014	11/21/2014	10 00	544 78	479 69	65 09
Edwards Lifesciences Corp	9/3/2014	11/21/2014	5 00	622 28	489 69	132 59
Electronic Arts Inc	10/16/2014	11/21/2014	5 00	218 29	163 55	54 74
Emerson Elec Co	9/23/2013	3/4/2014	8 00	521 43	520 08	1 35
EQT Corporation	10/16/2014	11/21/2014	5 00	480 08	425 90	54 18
Expedia Inc	9/3/2014	10/16/2014	6 00	450 65	528 72	(78 07)
F5 Networks Inc	9/3/2014	10/16/2014	5 00	548 23	619 30	(71 07)
Facebook Inc Class A	Various	11/21/2014	32 00	2,363 14	2,365 45	(2 31)
First Solar Inc	9/3/2014	10/16/2014	12 00	650 65	860 04	(209 39)
FLIR Systems Inc	9/3/2014	10/16/2014	5 00	145 84	168 85	(23 01)
Flowserve Corp	10/16/2014	11/21/2014	5 00	336 84	317 70	19 14
FMC Technologies Inc	9/3/2014	10/16/2014	5 00	254 15	298 24	(44 09)
Ford Motor Co Del New	10/16/2014	11/21/2014	9 00	139 63	126 08	13 55
Fossil Group Inc	Various	11/21/2014	13 00	1,429 44	1,291 78	137 66
Frontier Communications Corp	10/16/2014	11/21/2014	22 00	155 20	134 42	20 78
Gamestop Corp Class A	10/16/2014	11/21/2014	5 00	186 54	196 34	(9 80)
Gannett Inc	9/3/2014	10/16/2014	5 00	136 79	170 15	(33 36)
General Electnc Co	10/28/2013	3/4/2014	26 00	667 14	678 08	(10 94)
General Growth Properties Inc Reit	10/16/2014	11/26/2014	5 00	133 53	120 19	13 34
Genworth Financial	9/3/2014	10/16/2014	10 00	124 61	141 00	(16 39)
Gilead Sciences Inc	10/16/2014	11/21/2014	8 00	805 18	775 36	29 82
Goodyear Tire & Rubber Co	9/3/2014	10/16/2014	19 00	380 00	490 58	(110 58)
Harding Loevner Intl Equity FD Ins	3/4/2014	10/31/2014	903 68	16,546 29	15,922 75	623 54
Harley Davidson Inc	10/16/2014	11/21/2014	5 00	347 39	285 89	61 50
Harman International Inds	9/3/2014	10/16/2014	5 00	460 20	570 65	(110 45)
Hospira Inc	9/3/2014	10/16/2014	3 00	148 93	161 43	(12 50)
Hospira Inc	9/3/2014	11/21/2014	3 00	178 04	161 43	16 61
Host Hotels & Resorts, Inc Reit	10/16/2014	11/26/2014	6 00	138 13	127 15	10 98
Hudson City Bancorp Inc	10/16/2014	11/21/2014	14 00	136 21	127 26	8 95
Huntington Bancshares Inc	10/16/2014	11/21/2014	14 00	142 23	128 80	13 43
Ingersoll-Rand PLC	10/16/2014	11/21/2014	8 00	511 74	439 52	72 22
Interpublic Group Cos Inc	9/3/2014	10/16/2014	7 00	121 25	137 26	(16 01)
Iron Mountain Inc Reit	9/3/2014	10/16/2014	4 64	149 24	167 28	(18 04)
Iron Mountain Inc Reit	9/3/2014	10/16/2014	0 36	11 66	13 07	(1 41)
	9/3/2014	Wash Sale Disallowed			(1 41)	1 41
Iron Mountain Inc Reit	9/23/2014	11/18/2014	0 36	13 74	14 27	(0 53)
Jabil Circuit Inc	9/3/2014	10/16/2014	6 00	110 88	129 06	(18 18)
Jacobs Engr Group Inc	9/3/2014	10/16/2014	5 00	226 30	266 15	(39 85)
Joy Global Inc	9/3/2014	10/16/2014	5 00	258 21	310 35	(52 14)
JP Morgan Chase & Co	11/15/2013	3/4/2014	17 00	973 74	932 62	41 12
Juniper Networks Inc	9/3/2014	10/16/2014	6 00	115 04	138 72	(23 68)
Keung Green Mountain Inc	9/3/2014	11/21/2014	7 00	986 27	936 95	49 32
Keycorp New	9/3/2014	10/16/2014	10 00	121 79	136 39	(14 60)
Kimco Realty Corp Reit	10/16/2014	11/26/2014	6 00	151 81	137 88	13 93
Kraft Foods Group Inc	7/8/2013	3/4/2014	11 00	613 45	623 07	(9 62)
Kraft Foods Group Inc	Various	6/5/2014	13 00	765 81	711 35	54 46
Kraft Foods Group Inc	1/22/2014	9/3/2014	7 00	409 83	380 59	29 24
Kroger Co	10/16/2014	11/21/2014	5 00	292 34	256 45	35 89
L Brands, Inc	10/16/2014	11/21/2014	5 00	396 14	337 41	58 73
Laboratory Corp America Holdings	10/16/2014	11/21/2014	7 00	707 54	685 81	21 73
Lam Research Corp	9/3/2014	11/21/2014	5 00	394 99	359 25	35 74
Legg Mason Inc	Various	11/21/2014	28 00	1,568 24	1,360 32	207 92
Lennar Corp Class A	Various	11/21/2014	34 00	1,608 16	1,334 49	273 67
Leucadia National Corp	9/3/2014	10/16/2014	6 00	131 22	152 94	(21 72)
Lilly eli & Co	1/8/2014	3/4/2014	12 00	717 34	613 30	104 04
Lorillard, Inc	9/3/2014	11/21/2014	5 00	316 14	297 05	19 09
Marmot International Inc	10/16/2014	11/21/2014	5 00	383 99	314 20	69 79
Masco Corp	9/3/2014	10/16/2014	6 00	127 44	140 64	(13 20)
Mastercard Inc - A	10/16/2014	11/21/2014	5 00	423 44	354 33	69 11
Mc Donald's Corp	3/4/2013	3/4/2014	4 00	379 75	380 04	(0 29)
Merck & Co Inc	9/10/2013	3/4/2014	16 00	912 46	767 66	144 80
Merck & Co Inc	9/10/2013	6/5/2014	4 00	232 75	191 92	40 83
Metropolitan West Total Return Bond Fund	3/4/2014	6/10/2014	577 63	6,226 89	6,180 68	46 21
Metropolitan West Total Return Bond Fund	3/4/2014	10/31/2014	375 79	4,088 62	4,020 97	67 65
Michael Kors Holdings LTD	9/3/2014	10/16/2014	3 00	216 44	236 01	(19 57)
Michael Kors Holdings LTD	9/3/2014	11/21/2014	5 00	369 14	393 35	(24 21)
Micron Technology Inc	9/3/2014	10/16/2014	33 00	933 88	1,037 85	(103 97)
Mohawk Industries Inc	9/3/2014	10/16/2014	5 00	630 91	730 70	(99 79)
Monster Beverage Corporation	9/3/2014	11/21/2014	13 00	1,415 92	1,175 33	240 59
Morgan Stanley	10/16/2014	11/21/2014	5 00	178 04	162 64	15 40
Nabors Industries LTD	9/3/2014	10/16/2014	5 00	87 76	131 95	(44 19)
Nasdaq OMX Group, Inc	10/16/2014	11/21/2014	5 00	221 69	199 00	22 69
National Gnd PLC Spons ADR	6/17/2013	3/4/2014	9 00	626 93	526 32	100 61
National Gnd PLC Spons ADR	6/17/2013	6/5/2014	3 00	217 10	175 44	41 66
Navent Corp	9/3/2014	11/21/2014	83 00	1,754 17	1,493 35	260 82

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Netflix Inc	9/3/2014	11/21/2014	3 00	1,092 24	1,431 81	(339 57)
Neuberger Berman Equity Income Fund	Various	6/10/2014	167 22	2,168 84	1,973 38	195 46
Neuberger Berman Equity Income Fund	12/16/2013	10/31/2014	109 52	1,434 76	1,283 62	151 14
Newell Rubbermaid Inc	9/3/2014	11/21/2014	5 00	179 04	169 45	9 59
Newfield Exploration Co	9/3/2014	10/16/2014	33 00	902 24	1,410 08	(507 84)
Newmont Mng Corp	9/3/2014	10/16/2014	5 00	113 61	131 55	(17 94)
News Corp/New Class A	9/3/2014	10/16/2014	8 00	117 02	140 64	(23 62)
Noble Corporation PLC	10/16/2014	11/21/2014	7 00	148 11	135 38	12 73
NRG Energy Inc	Various	11/21/2014	45 00	1,399 91	1,274 70	125 21
NVIDIA Corp	9/3/2014	10/16/2014	7 00	122 21	137 55	(15 34)
Oak Ridge Small Cap Growth Fund	6/10/2014	10/31/2014	53 56	2,239 51	2,123 28	116 23
Owens Illinois Inc	9/3/2014	10/16/2014	16 00	393 77	500 96	(107 19)
Patterson Cos Inc	10/16/2014	11/21/2014	5 00	232 99	199 10	33 89
Peabody Energy Corp	9/3/2014	10/16/2014	21 00	239 40	318 99	(79 59)
Pepco Holdings Inc	10/16/2014	11/21/2014	5 00	136 94	133 65	3 29
PerkinElmer Inc	9/3/2014	10/16/2014	5 00	200 40	224 50	(24 10)
Pfizer Inc	7/11/2013	3/4/2014	23 00	751 16	663 46	87 70
Pfizer Inc	7/11/2013	6/5/2014	19 00	564 66	548 08	16 58
Pioneer Oak Ridge Small Cap Growth Fund	Various	3/4/2014	58 48	2,362 55	2,232 05	130 50
Pitney Bowes Inc	10/16/2014	11/21/2014	6 00	148 31	141 96	6 35
PNC Financial Services Group	1/9/2014	3/4/2014	10 00	820 89	791 28	29 61
Pnceline Group Inc	9/3/2014	10/16/2014	1 00	1,069 28	1,233 47	(164 19)
Prologis Inc Reit	10/16/2014	11/26/2014	5 00	208 94	192 20	16 74
Pulte Homes Inc	Various	11/21/2014	12 00	260 63	214 51	46 12
PVH Corp	Various	11/21/2014	12 00	1,463 72	1,370 26	93 46
QEP Resources Inc	9/3/2014	10/16/2014	5 00	116 34	172 50	(56 16)
Quanta Services Incorporated	9/3/2014	10/16/2014	5 00	152 02	185 95	(33 93)
Range Resources Corp	10/16/2014	11/21/2014	6 00	431 33	414 29	17 04
Red Hat Inc	10/16/2014	11/21/2014	5 00	314 74	275 55	39 19
Regions Financial Corp	10/61/14	11/21/2014	14 00	141 74	127 11	14 63
Safeway Inc	9/3/2014	11/21/2014	5 00	174 29	174 24	0 05
Schlumberger LTD	10/1/2013	3/4/2014	6 00	555 59	533 27	22 32
Schlumberger LTD	10/1/2013	6/5/2014	8 00	830 14	711 03	119 11
Sealed Air Corp New	9/3/2014	10/16/2014	5 00	160 70	180 50	(19 80)
Southwest Airlines Co	9/3/2014	11/21/2014	5 00	192 19	162 54	29 65
Southwestern Energy Co	9/3/2014	10/16/2014	5 00	159 95	202 40	(42 45)
SPDR S&O Biotech ETF	4/18/2013	2/27/2014	1 00	170 38	100 97	69 41
Tenet Healthcare Corp	9/3/2014	10/16/2014	22 00	1,231 12	1,323 96	(92 84)
Tenet Healthcare Corp	9/3/2014	11/21/2014	2 00	95 95	120 36	(24 41)
Teradata Corp	9/3/2014	10/16/2014	5 00	205 05	228 75	(23 70)
Tesoro Corporation	Various	11/21/2014	10 00	773 28	622 15	151 13
Textron Inc	9/3/2014	10/16/2014	5 00	169 04	189 75	(20 71)
Total System Services Inc	9/3/2014	11/21/2014	5 00	163 04	156 95	6 09
Tnpadvisor Inc	9/3/2014	10/16/2014	11 00	875 60	1,079 32	(203 72)
Tyson Foods Inc Class A	Various	11/21/2014	26 00	1,093 01	968 61	124 40
Under Armour Inc Class A	9/3/2014	10/16/2014	10 00	645 70	702 10	(56 40)
Under Armour Inc Class A	9/3/2014	11/21/2014	2 00	138 87	140 42	(1 55)
United Parcel Service Class B	7/19/2013	3/4/2014	3 00	290 81	264 18	26 63
United Rentals Inc	10/16/2014	11/21/2014	15 00	1,721 66	1,497 28	224 38
Universal Health Svcs Inc	10/16/2014	11/21/2014	11 00	1,133 08	1,135 41	(2 33)
Unum Group	10/16/2014	11/21/2014	5 00	169 94	160 79	9 15
Urban Outfitters inc	9/3/2014	10/16/2014	6 00	206 98	237 66	(30 68)
Valero Energy Corp	10/16/2014	11/21/2014	5 00	257 04	223 99	33 05
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	Various	3/4/2014	3,207 57	34,481 35	34,513 32	(31 97)
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	6/10/2014	10/31/2014	1,579 93	16,952 59	17,000 00	(47 41)
Vanguard Sml Cap Index Fund	5/30/2013	3/4/2014	27 78	1,379 05	1,146 24	232 81
Vanoan Medical Systems Inc	9/3/2014	11/21/2014	5 00	439 89	425 95	13 94
Vensign Inc	9/3/2014	11/21/2014	26 00	1,554 50	1,475 50	79 00
Vertex Pharmaceuticals Inc	9/3/2014	11/21/2014	5 00	556 98	463 20	93 78
Vulcan Materials Co	9/3/2014	11/21/2014	5 00	341 89	309 60	32 29
Wal Mart Stores Inc	9/6/2013	3/4/2014	5 00	375 99	363 39	12 60
Wal Mart Stores Inc	9/6/2013	6/5/2014	5 00	386 64	363 39	23 25
Waters Corp	9/3/2014	10/16/2014	4 00	380 71	414 50	(33 79)
Waters Corp	9/3/2014	11/21/2014	1 00	116 17	103 63	12 54
Western Union Co	9/3/2014	10/16/2014	21 00	332 64	367 68	(35 04)
Western Union Co	9/3/2014	11/21/2014	8 00	146 95	140 07	6 88
Weyerhaeuser Co	10/16/2014	11/21/2014	5 00	171 64	161 67	9 97
Whole Foods Mkt Inc	9/3/2014	11/21/2014	5 00	238 24	195 08	43 16
Wyndham Worldwide Corp	10/16/2014	11/21/2014	5 00	399 79	370 10	29 69
Xerox Corp	10/16/2014	11/21/2014	10 00	136 79	124 39	12 40
Xylem Inc W/I	9/3/2014	10/16/2014	5 00	170 40	188 80	(18 40)
Yahoo Inc	Various	11/21/2014	46 00	2,373 54	1,759 53	614 01
Zions Bancorporation	9/3/2014	10/16/2014	5 00	131 19	145 69	(14 50)
Total Short Term Covered				227,342.01	221,549.01	5,793.00

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<u>Long Term Covered</u>						
3M Co	1/4/2013	3/4/2014	4 00	531 87	381 34	150 53
3M Co	1/4/2013	6/5/2014	5 00	718 23	476 68	241 55
3M Co	Various	11/21/2014	36 00	5,773 55	3,285 56	2,487 99
Ace Limited	Various	3/4/2014	5 00	486 84	365 15	121 69
Ace Limited	4/18/2012	6/5/2014	7 000	731 34	507 42	223 92
Ace Limited	4/18/2012	11/21/2014	1 000	113 03	72 49	40 54
Amgen Inc	5/9/2012	3/4/2014	4 00	503 31	278 95	224 36
Amgen Inc	5/9/2012	6/5/2014	4 00	471 39	278 95	192 44
Amgen Inc	5/9/2012	11/21/2014	28 000	4,534 49	1,952 68	2,581 81
Anadarko Pete Corp	1/30/2013	3/4/2014	4 00	344 76	320 75	24 01
Anadarko Pete Corp	1/30/2013	6/5/2014	5 00	511 23	400 93	110 30
Anadarko Pete Corp	1/30/2013	11/21/2014	32 00	3,002 17	2,565 96	436 21
Apple Inc	9/15/2012	3/4/2014	1 00	530 79	699 94	(169 15)
	9/15/2012	Wash Sale Disallowed			(169 15)	169 15
Apple Inc	Various	6/5/2014	2 00	1,291 02	1,309 44	(18 42)
Apple Inc	Various	11/21/2014	49 00	5,701 99	3,485 99	2,216 00
Artisan Small Cap value Fund	Various	11/17/2014	337 91	5,876 31	5,308 48	567 83
At&t Inc	4/19/2013	6/5/2014	21 00	736 45	804 87	(68 42)
At&t Inc	4/19/2013	9/3/2014	98 00	3,420 32	3,756 08	(335 76)
At&t Inc	4/19/2013	11/21/2014	31 00	1,089 31	1,188 15	(98 84)
Blackrock Inc Class A	Various	11/21/2014	15 00	5,314 83	2,784 07	2,530 76
Boeing Co	5/11/2011	1/30/2014	3 00	378 83	236 97	141 86
Boeing Co	2/28/2013	3/4/2014	6 00	782 03	462 90	319 13
Boeing Co	Various	6/5/2014	7 00	957 51	519 65	437 86
Boeing Co	6/28/2011	10/16/2014	4 00	480 47	288 20	192 27
Boeing Co	Various	11/21/2014	46 00	6,114 64	3,257 96	2,856 68
Bristol Myers Squibb Co	5/15/2012	3/4/2014	15 00	847 63	494 49	353 14
Bristol Myers Squibb Co	5/15/2012	6/5/2014	19 00	896 78	626 36	270 42
Bristol Myers Squibb Co	5/15/2012	9/3/2014	58 00	2,926 63	1,912 05	1,014 58
Bristol Myers Squibb Co	Various	10/16/2014	69 00	3,400 95	2,271 00	1,129 95
Bristol Myers Squibb Co	5/18/2012	11/21/2014	3 00	176 90	97 98	78 92
Chevron Corp	1/27/2011	3/4/2014	5 00	577 39	473 50	103 89
Cisco Systems Inc	8/19/2011	11/21/2014	111 00	2,990 83	1,677 12	1,313 71
Deere & Co	1/9/2013	3/4/2014	3 00	260 33	267 11	(6 78)
Deere & Co	1/9/2013	6/5/2014	4 00	365 51	356 15	9 36
Deere & Co	1/9/2013	9/3/2014	24 00	1,995 32	2,136 88	(141 56)
Disney Walt Co New	7/15/2011	3/4/2014	7 00	572 45	274 94	297 51
Disney Walt Co New	7/15/2011	6/5/2014	9 00	763 09	353 50	409 59
Disney Walt Co New	Various	11/21/2014	60 00	5,334 48	2,261 73	3,072 75
Dow Chemical Co	3/20/2013	6/5/2014	12 00	629 74	401 05	228 69
Dow Chemical Co	3/20/2013	11/21/2014	86 00	4,579 39	2,874 19	1,705 20
Drehaus Emg Mkts Growth Fund	5/30/2013	6/10/2014	33 55	1,130 20	1,100 34	29 86
EOG Res Inc	1/30/2013	3/28/2014	1 00	197 00	126 31	70 69
EOG Res Inc	1/30/2013	6/5/2014	5 00	538 79	315 78	223 01
EOG Res Inc	Various	11/21/2014	29 00	2,960 25	1,562 21	1,398 04
Exxon Mobil Corp	1/27/2011	3/4/2014	11 00	1,063 24	879 34	183 90
Exxon Mobil Corp	1/27/2011	3/17/2014	6 00	565 13	479 64	85 49
Exxon Mobil Corp	1/27/2011	6/5/2014	11 00	1,105 59	879 34	226 25
Exxon Mobil Corp	1/27/2011	9/3/2014	15 00	1,485 27	1,199 10	286 17
General Electnc Co	1/27/2011	3/4/2014	6 00	153 96	121 85	32 11
General Electnc Co	1/27/2011	6/5/2014	38 00	1,016 09	771 74	244 35
General Electnc Co	1/27/2011	9/3/2014	94 00	2,436 45	1,909 03	527 42
General Electnc Co	Various	11/21/2014	166 00	4,463 65	3,210 95	1,252 70
Harbor Bond Fund	Various	3/4/2014	1,653 41	20,121 95	20,847 78	(725 83)
Johnson & Johnson	1/15/2013	3/4/2014	7 00	653 58	506 35	147 23
Johnson & Johnson	1/15/2013	6/5/2014	7 00	722 59	506 35	216 24
JP Morgan Chase & Co	1/27/2011	6/5/2014	22 00	1,243 86	992 20	251 66
JP Morgan Chase & Co	1/27/2011	10/16/2014	9 00	495 99	405 90	90 09
JP Morgan Chase & Co	1/27/2011	11/21/2014	12 00	731 62	541 20	190 42
Kraft Foods Group Inc	Various	11/21/2014	86 00	5,092 80	4,071 69	1,021 11
Lilly Eli & Co	5/9/2012	3/4/2014	3 00	179 34	124 14	55 20
Lilly Eli & Co	Various	6/5/2014	9 00	535 76	337 55	198 21
Lowe's Cos Inc	6/30/2011	3/4/2014	18 00	912 40	420 11	492 29
Lowe's Cos Inc	6/30/2011	6/5/2014	8 00	379 27	186 72	192 55
Lowe's Cos Inc	Various	11/21/2014	62 00	3,901 57	1,241 97	2,659 60
Mc Donald's Corp	Various	6/5/2014	6 00	614 92	568 11	46 81
Mc Donald's Corp	1/30/2013	9/3/2014	1 00	93 09	94 62	(1 53)
Merck & co Inc	5/9/2012	6/5/2014	12 00	698 26	458 40	239 86
Neuberger Berman Core Bond Fund	Various	6/10/2014	594 11	6,232 18	6,420 62	(188 44)
Neuberger Berman Core Bond Fund	12/20/2012	10/31/2014	309 70	3,258 05	3,326 19	(68 14)
National Gnd PLC Spons ADR	6/28/2012	6/5/2014	9 00	651 32	472 40	178 91
National Gnd PLC Spons ADR	Various	9/3/2014	81 00	6,069 99	4,135 22	1,934 77
Nextera Energy Inc	11/18/2011	3/4/2014	7 00	641 68	388 07	253 61

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Pepsico Inc	1/27/2011	1/22/2014	1 00	82 89	65 54	17.35
Pepsico Inc	1/27/2011	1/24/2014	8 00	652 87	524 32	128 55
Pepsico Inc	1/27/2011	3/4/2014	2 00	161 68	131 08	30 60
Pioneer Oak Ridge Small Cap Growth Fund	11/27/2012	3/4/2014	5 13	207 21	150 13	57 08
PNC Financial Services Group	Various	3/4/2014	13 00	1,126 42	907 30	219 12
PNC Financial Services Group	Various	6/5/2014	14 00	1,190 95	910 73	280 22
PNC Financial Services Group	1/27/11	9/3/2014	9 00	709 85	555 57	154 28
PNC Financial Services Group	Various	10/16/2014	64 00	5,616 51	3,946 85	1,669 66
Qualcomm Inc	3/16/2012	3/4/2014	4 00	305 31	261 96	43 35
Qualcomm Inc	3/16/2012	6/5/2014	4 00	318 55	261 96	56 59
Qualcomm Inc	3/16/2012	9/3/2014	13 00	972 77	851 37	121 40
Qualcomm Inc	6/7/2012	11/21/2014	15 00	1,062 57	869 44	193 13
Schlumberger LTD	4/18/2013	11/21/2014	13 00	1,268 51	923 26	345 25
Suncor Energy Inc	9/17/2012	3/4/2014	8 00	264 72	278 92	(14 20)
Suncor Energy Inc	9/17/2012	6/5/2014	3 00	116 37	104 59	11 78
United Parcel Service Class B	1/12/2012	3/4/2014	2 00	193 88	149 53	44 35
United Parcel Service Class B	1/12/2012	6/5/2014	7 00	724 48	523 37	201 11
United Parcel Service Class B	1/12/2012	11/21/2014	46 00	4,966 51	3,439 31	1,527 20
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	Various	3/4/2014	699 18	7,516 15	7,525 30	(9 15)
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	9/13/2012	3/4/2014	1 20	12 91	13 03	(0 12)
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	9/13/2012	Wash Sale Disallowed			(0 12)	0 12
Vanguard Fixed Income Short/Intermediate Investment Grade Fund	Various	10/31/2014	2,070 86	22,220 37	22,013 52	206 85
Vanguard Small Cap Index Fund	11/21/11	3/4/2014	52 31	2,596 67	1,757 62	839 05
Wal Mart Stores Inc	11/28/2012	6/5/2014	2 00	154 66	141 16	13 50
Wal Mart Stores Inc	11/28/2012	9/3/2014	2 00	151 91	141 16	10 75
Walgreen Co	2/14/2012	3/4/2014	11 00	760 52	380 72	379 80
Walgreen Co	Various	5/5/2014	45 00	3,142 28	1,517 68	1,624 60
Walgreen Co	4/12/2012	6/5/2014	8 00	603 91	266 24	337 67
Walgreen Co	Various	11/21/2014	62 00	4,189 86	2,051 76	2,138 10
Wells Fargo & Co	1/27/2011	3/4/2014	18 00	840 58	584 10	256 48
Wells Fargo & Co	1/27/2011	6/5/2014	11 00	568 47	356 95	211 52
Total Long Term Covered				209,760.31	161,504.03	48,256.27
Long Term Non-Covered						
Ace Limited	Various	11/21/2014	42 00	4,747 15	1,874 41	2,872 74
Air Products & Chemicals Inc	5/14/2009	3/4/2014	4 00	490 87	246 96	243 91
Air Products & Chemicals Inc	5/14/2009	6/5/2014	5 00	614 14	308 70	305 44
Air Products & Chemicals Inc	5/14/2009	11/21/2014	34 00	4,928 87	2,099 16	2,829 71
Artisan Small Cap Value Fund	Various	11/17/2014	1,758 87	30,586 69	20,178 11	10,408 58
At&T	5/14/2009	11/21/2014	11 00	386 53	277 05	109 48
Automatic Data Processing Inc	5/14/2009	3/4/2014	7 00	549 00	254 76	294 24
Automatic Data Processing Inc	5/14/2009	6/5/2014	10 000	786 48	363 94	422 54
Automatic Data Processing Inc	5/14/2009	11/21/2014	60 000	5,042 28	1,912 03	3,130 25
CDK Global Holdings LLC	5/14/2009	10/16/2014	20 000	504 81	271 61	233 20
Chevron Corp	3/19/2010	3/4/2014	4 00	461 91	298 10	163 81
Chevron Corp	Various	6/5/2014	12 00	1,480 28	891 29	588 99
Chevron Corp	10/8/2009	9/3/2014	6 00	766 66	429 12	337 54
Chevron Corp	10/8/2009	10/16/2014	4 00	443 90	286 08	157 82
Chevron Corp	Various	11/21/2014	69 00	8,159 06	4,806 82	3,352 24
Chubb Corp	10/8/2009	3/4/2014	5 00	439 54	255 05	184 49
Chubb Corp	10/8/2009	6/5/2014	7 00	657 07	357 07	300 00
Chubb Corp	10/8/2009	9/3/2014	6 000	551 87	306 06	245 81
Chubb Corp	10/8/2009	10/16/2014	4 00	365 79	204 04	161 75
Chubb Corp	Various	11/21/2014	35 00	3,602 47	1,777 75	1,824 72
Cisco Systems Inc	5/14/2009	3/4/2014	25 000	545 50	456 78	88 72
Cisco Systems Inc	5/14/2009	6/5/2014	34 00	837 74	621 23	216 51
Cisco Systems Inc	5/14/2009	11/21/2014	119 00	3,206 38	2,174 29	1,032 09
Dnehaus Emg Mkts Growth Fund	9/27/2010	6/10/2014	5 73	193 18	193 69	(0 51)
EMC Corp Mass	5/14/2009	3/24/2014	10 00	279 29	120 78	158 51
EMC Corp Mass	5/14/2009	6/5/2014	12 000	318 00	144 94	173 06
EMC Corp Mass	5/14/2009	11/21/2014	78 00	2,337 21	942 09	1,395 12
Emerson Elec Co	10/8/2009	3/4/2014	2 00	130 36	78 26	52 10
Emerson Elec Co	10/8/2009	6/5/2014	13 00	877 22	508 69	368 53
Emerson Elec Co	10/8/2009	10/16/2014	3 00	181 89	117 39	64 50
Emerson Elec Co	Various	11/21/2014	84 00	5,509 43	2,995 69	2,513 74
Exxon Mobil Corp	Various	11/21/2014	55 00	5,312 33	3,767 35	1,544 98
Harbor Bond Fund	Various	3/4/2014	9,719 80	118,290 02	121,392 10	(3,102 08)
International Business Machines Corp	5/14/2009	3/4/2014	2 00	373 13	202 92	170 21
International Business Machines Corp	5/14/2009	6/5/2014	2 00	371 81	202 92	168 89
International Business Machines Corp	5/14/2009	11/21/2014	14 00	2,258 43	1,420 44	837 99
iShares DJ US Medical Device ETF	5/14/2009	3/4/2014	4 00	397 43	165 28	232 15
iShares DJ US Medical Device ETF	5/14/2009	6/5/2014	6 00	597 23	247 92	349 31
iShares DJ US Medical Device ETF	5/14/2009	9/3/2014	38 00	3,908 97	1,570 16	2,338 81
Johnson & Johnson	10/8/2009	6/5/2014	1 00	103 23	61 14	42 09
Johnson & Johnson	Various	11/21/2014	57 00	6,148 45	3,483 94	2,664 51

PHILIP AND MYN ROOTBERG FOUNDATION
CAPITAL GAINS AND LOSSES
12/31/14
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	DATE ACQUIRED	DATE SOLD	SHARES	PROCEEDS	COST	GAIN (LOSS)
JP Morgan Chase & Co	Various	11/21/2014	125 00	7,621 08	4,736 45	2,884 63
Lilly Eli & Co	5/14/2009	6/5/2014	10 00	595 28	352 87	242 41
Lilly Eli & Co	5/14/2009	9/3/2014	28 00	1,791 69	988 04	803 65
Lilly Eli & Co	5/14/2009	10/16/2014	17 00	1,054 17	599 88	454 29
Lilly Eli & Co	5/14/2009	11/21/2014	85 00	5,732 27	2,999.39	2,732 88
Lowe's Cos Inc	10/8/2009	6/5/2014	14 00	663 73	295 05	368 68
Lowe's Cos Inc	10/8/2009	9/3/2014	42 00	2,221 39	885 14	1,336 25
Lowe's Cos Inc	10/8/2009	10/16/2014	10 00	515 10	210 75	304 35
Lowe's Cos Inc	10/8/2009	11/21/2014	39 00	2,454 21	821 91	1,632 30
Mc Donald's Corp	3/19/2010	9/3/2014	2 00	186 17	132 59	53 58
Mc Donald's Corp	3/19/2010	11/21/2014	38 00	3,682 49	2,519 29	1,163 20
Merck & Co Inc	10/8/2009	6/5/2014	4 00	232 75	130 71	102 04
Merck & Co Inc	10/8/2009	10/16/2014	17 00	909 47	555 51	353 96
Merck & Co Inc	10/8/2009	11/21/2014	116 00	6,933 16	3,790 54	3,142 62
Microsoft Corp	1/1/1950	3/4/2014	24 00	921 34	656 93	264 41
Microsoft Corp	1/1/1950	3/13/2014	15 00	566 69	410 58	156 11
Microsoft Corp	1/1/1950	6/5/2014	28 00	1,153.29	766 41	386 88
Microsoft Corp	1/1/1950	11/21/2014	149 00	7,214 80	4,078 41	3,136 39
Microsoft Corp	1/1/1950	11/21/2014	40 00	1,936 86	1,035 20	901 66
Neuberger Berman Core Bond Fund	12/21/2011	10/31/2014	11 35	119 36	119 81	(0 45)
Nextera Energy Inc	1/1/1950	6/5/2014	10 00	977 68	175 24	802 44
Nextera Energy Inc	1/1/1950	9/3/2014	3 00	292 34	52 57	239 77
Nextera Energy Inc	1/1/1950	10/16/2014	8 00	747 53	140 19	607 34
Nextera Energy Inc	1/1/1950	11/21/2014	54 00	5,574 83	946 29	4,628 54
Oracle Corporation	5/14/2009	3/4/2014	18 00	710 09	335 48	374 61
Oracle Corporation	5/14/2009	6/5/2014	23 00	969 43	428 67	540 76
Oracle Corporation	5/14/2009	11/21/2014	155 00	6,420 73	2,888 86	3,531 87
PepsiCo Inc	3/19/2010	1/22/2014	11 00	911 78	731 94	179 84
PepsiCo Inc	5/14/2009	3/4/2014	5 00	404 19	256 35	147 84
PepsiCo Inc	5/14/2009	3/17/2014	65 00	5,331 00	3,332 51	1,998 49
Pfizer Inc	3/19/2010	6/5/2014	12 00	356 63	205 56	151 07
Pfizer Inc	Various	11/21/2014	203 00	6,193 39	3,321 61	2,871 78
Procter & Gamble Co	3/19/2010	3/4/2014	9 00	706 03	574 47	131 56
Procter & Gamble Co	3/19/2010	6/5/2014	12 00	961 48	765 96	195 52
Procter & Gamble Co	Various	11/21/2014	83 00	7,405 92	4,350 44	3,055 48
Schlumberger LTD	5/14/2009	11/21/2014	37 00	3,610 38	1,998 00	1,612 38
SPDR S& P Biotech ETF	5/14/2009	2/27/2014	4 00	681 52	184 53	496 99
SPDR S& P Biotech ETF	5/14/2009	3/4/2014	2 00	335 05	92 27	242 78
SPDR S& P Biotech ETF	5/14/2009	6/5/2014	4 00	544 99	184 53	360 46
SPDR S& P Biotech ETF	5/14/2009	9/3/2014	24 00	3,867 21	1,107 19	2,760 02
Suncor Energy Inc	5/28/2010	6/5/2014	7 00	271 52	212 89	58 63
Suncor Energy Inc	Various	9/3/2014	70 00	2,835 19	2,098 07	737 12
Texas Instruments Inc	5/14/2009	3/4/2014	13 00	588 36	229 80	358 56
Texas Instruments Inc	5/14/2009	6/5/2014	15 00	711 43	265 16	446 27
Texas Instruments Inc	5/14/2009	11/21/2014	106 00	5,570 17	1,873 76	3,696 41
United Technologies Corp	10/8/2009	3/4/2014	7 00	823 05	433 30	389 75
United Technologies Corp	Various	6/5/2014	8 00	944 86	464 84	480 02
United Technologies Corp	5/14/2009	11/21/2014	57 00	6,299 50	2,951 46	3,348 04
Vanguard Fixed Income Short/Intermediate Grade Fund	Various	3/4/2014	343 07	3,687 98	3,650 87	37 11
Vanguard Fixed Income Short/Intermediate Grade Fund	Various	10/31/2014	1,041 82	11,178 73	10,964 35	214 38
Vanguard Fixed Income Short/Intermediate Grade Fund	5/14/2009	12/1/2014	316 57	3,396 84	3,181 57	215 27
Venzon Communication	5/14/2009	3/10/2014	12 00	563 78	339 10	224 68
Venzon Communication	5/14/2009	6/5/2014	14 00	690 18	395 62	294 56
Venzon Communication	Various	11/21/2014	96 00	4,802 77	2,609 43	2,193 34
Wal Mart Stores Inc	5/14/2009	9/3/2014	1 00	75 96	49 40	26 56
Wal Mart Stores Inc	5/14/2009	11/21/2014	43 00	3,654 91	2,124 35	1,530 56
Wells Fargo & Co	10/8/2009	6/5/2014	12 00	620 14	350 88	269 26
Wells Fargo & Co	Various	11/21/2014	158 00	8,533 38	4,334 41	4,198 97
Total Long Term Non-Covered				366,498.85	262,953.49	103,545.36
Total Long Term				576,259 16	424,457.52	151,801.63