Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: RICHARD C MARQUARDT FAMILY FOUNDATION

A Employer identification number: 23-2896467

Number and street (or P O box number if mail is not delivered to street address): PO BOX 26 | Room/suite:

B Telephone number: 570-563-1140

City or town, state or province, country, and ZIP or foreign postal code: WAVERLY, PA 18471-0026

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (*from Part II, col. (c), line 16*) ▶$ 1,691,614.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis.*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6.	6.		STATEMENT 1
	4 Dividends and interest from securities	37,640.	37,640.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	57,047.			
	b Gross sales price for all assets on line 6a 496,612.				
	7 Capital gain net income (from Part IV, line 2)		57,047.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	94,693.	94,693.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	293.	0.		0.
	b Accounting fees STMT 4	3,725.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	3,175.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	15,270.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	22,463.	0.		0.
	25 Contributions, gifts, grants paid	77,000.			77,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	99,463.	0.		77,000.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,770.			
	b **Net investment income** (if negative, enter -0-)		94,693.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	41,225.	13,987.	13,987.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	2,200.	3,000.	3,000.
	10a	Investments - U S and state government obligations STMT 7	300,998.	268,679.	277,854.
	b	Investments - corporate stock STMT 8	855,460.	915,149.	1,216,016.
	c	Investments - corporate bonds STMT 9	185,641.	179,939.	180,757.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,385,524.	1,380,754.	1,691,614.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,385,524.	1,380,754.	
	30	**Total net assets or fund balances**	1,385,524.	1,380,754.	
	31	**Total liabilities and net assets/fund balances**	1,385,524.	1,380,754.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,385,524.
2	Enter amount from Part I, line 27a	2	-4,770.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,380,754.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,380,754.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SALES OF SECURITIES - SEE ATTACHED	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	496,612.		439,565.	57,047.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				57,047.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — **2** 57,047.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 — **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	73,400.	1,522,117.	.048222
2012	36,520.	1,442,158.	.025323
2011	93,741.	1,461,670.	.064133
2010	103,314.	1,414,845.	.073021
2009	98,762.	1,355,721.	.072848

2	**Total** of line 1, column (d)	2	.283547
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056709
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,620,312.
5	Multiply line 4 by line 3	5	91,886.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	947.
7	Add lines 5 and 6	7	92,833.
8	Enter qualifying distributions from Part XII, line 4	8	77,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			1	1,894.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,894.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,894.
6 Credits/Payments				
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,000.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	3,000.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,106.
11 Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶	1,106.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ DEBRA L. JOHNSON, CPA Telephone no ▶570-563-1140			
	Located at ▶1310 LACKAWANNA TRAIL, CLARKS SUMMIT, PA ZIP+4 ▶18411			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	Yes No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SALLY W. MARQUARDT PO BOX 26 WAVERLY, PA 18471	PRESIDENT & TREASURER 1.00	0.	0.	0.
RICHARD C. MARQUARDT, JR PO BOX 26 WAVERLY, PA 18471	VICE PRESIDENT & SECRETARY 1.00	0.	0.	0.
JUDY GRAZIANO PO BOX 26 WAVERLY, PA 18471	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,622,146.
b	Average of monthly cash balances		1b	22,841.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,644,987.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,644,987.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	24,675.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,620,312.
6	**Minimum investment return.** Enter 5% of line 5		6	81,016.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	81,016.
2a	Tax on investment income for 2014 from Part VI, line 5	2a 1,894.		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,894.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	79,122.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	79,122.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	79,122.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	77,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	77,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	77,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				79,122.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010 32,285.				
c From 2011 22,275.				
d From 2012				
e From 2013 264.				
f Total of lines 3a through e	54,824.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 77,000.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				77,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,122.			2,122.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	52,702.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015** Subtract lines 7 and 8 from line 6a	52,702.			
10 Analysis of line 9				
a Excess from 2010 30,163.				
b Excess from 2011 22,275.				
c Excess from 2012				
d Excess from 2013 264.				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SALLY W. MARQUARDT

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCRANTON AREA FOUNDATION 615 JEFFERSON AVENUE - SUITE 102 SCRANTON, PA 18510		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	1,000.
COVENANT PRESBYTERIAN CHURCH 550 MADISON AVENUE SCRANTON, PA 18510		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	13,000.
LACKAWANNA HISTORICAL SOCIETY 232 MONROE AVENUE SCRANTON, PA 18510		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	500.
GEISINGER HEALTH SYSTEM FOUNDATION 100 N ACADEMY AVENUE DANVILLE, PA 17822		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	1,000.
KEYSTONE COLLEGE 1 COLLEGE ROAD LA PLUME, PA 18440		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	77,000.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6.	
4 Dividends and interest from securities			14	37,640.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					57,047.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		37,646.	57,047.

13 Total. Add line 12, columns (b), (d), and (e) 13 94,693.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
8	THE FOUNDATION WAS REQUIRED TO LIQUIDATE INVESTMENTS TO RAISE LIQUID
	FUNDS FOR DISTRIBUTION, THE RESULT WAS A GAIN OF $57,047

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sally W. Marquardt ✓ PRESIDENT & TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
FRANCIS J. MERKEL, CPA		05/04/15		P01249556

Firm's name ▶ MCGRAIL MERKEL QUINN & ASSOCIATES, P.C. Firm's EIN ▶ 23-2226550

Firm's address ▶ 1173 CLAY AVENUE SCRANTON, PA 18510 Phone no (570) 961-0345

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAFETY NET 550 MADISON AVENUE SCRANTON, PA 18510		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	6,000.
TELESPOND SENIOR SVC 1200 SAGINAW ST SCRANTON, PA 18505		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	10,000.
THE HILL SCHOOL 860 BEECH STREET POTTSTOWN, PA 19464		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	500.
UNITED WAY 615 JEFFERSON AVE. SCRANTON, PA 18510		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	16,000.
WAVERLY COMMUNITY HOUSE 1115 N ABINGTON RD WAVERLY, PA 18471		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	2,000.
WOMEN'S RESOURCE CENTER 18 MAPLE ST MONTROSE, PA 18801		PUBLIC	TO FURTHER DESIGNATED WORK OF ORGANIZATIONS	26,000.
Total from continuation sheets				60,500.

423631
05-01-14

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	6.	6.	
TOTAL TO PART I, LINE 3	6.	6.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	37,640.	0.	37,640.	37,640.	
TO PART I, LINE 4	37,640.	0.	37,640.	37,640.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	293.	0.		0.
TO FM 990-PF, PG 1, LN 16A	293.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,725.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,725.	0.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	205.	0.		0.
TAX ON INVESTMENT INCOME	2,970.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,175.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH INVESTMENT EXPENSES	15,270.	0.		0.
TO FORM 990-PF, PG 1, LN 23	15,270.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH ACCOUNT 6UY-04035 - GOVERNMENT	X		268,679.	277,854.
TOTAL U.S. GOVERNMENT OBLIGATIONS			268,679.	277,854.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			268,679.	277,854.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH ACCOUNT 6UY-04034 - STOCK	715,593.	1,005,421.
MERRILL LYNCH ACCOUNT 6UY-04035 - STOCK	50,989.	51,976.
MERRILL LYNCH ACCOUNT 6UY-04105 - STOCK	148,567.	158,619.
TOTAL TO FORM 990-PF, PART II, LINE 10B	915,149.	1,216,016.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH ACCOUNT 6UY-04035 - CORPORATE	179,939.	180,757.
TOTAL TO FORM 990-PF, PART II, LINE 10C	179,939.	180,757.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CAWLEY, JOHNSON & SANDERS, P.C. C/O DEBRA JOHNSON, CPA
1310 LACKAWANNA TRAIL
CLARKS SUMMIT, PA 18411

TELEPHONE NUMBER

570-563-1140

FORM AND CONTENT OF APPLICATIONS

REQUESTS ON LETTERHEAD OF ORGANIZATION, DIRECT MAIL SOLICITATIONS, PHONE SOLICITATIONS ALL FOLLOWED BY RECEIPT OF LETTER OF ACKNOWLEDGMENT FROM THE ORGANIZATION AS REQUIRED BY THE IRS SUBSTANTIATION RULES

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

RICHARD C MARQUARDT FAMILY FOUNDATION
DECEMBER 31, 2014

PER 1099'S	SALES PROCEEDS	LESS: PRIN PAYDOWN	TOTAL PROCEEDS	COST	
6UY-04082					
6UY-04034	243,717.14		243,717.14	195,153.88	48,563.26
6UY-04035	163,353.15		163,353.15	161,781.62	1,571.53
6UY-04105	89,542.03		89,542.03	82,629.99	6,912.04
	496,612.32	-	496,612.32	439,565.49	57,046.83

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities " A covered security includes securities when the purchase date of the security occurred within the following timeline Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012 and Option Transactions and certain debt securities acquired on or after January 1, 2014 Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of ible bonds Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)								
AMERICA MOVIL SAB DE CV ADR	*CUSIP Number*	*02364W105*						
172 0000 Sale	11/07/13	04/22/14	3,313 03	3,656 26		0 00	(343 23)	
ACCENTURE PLC SHS	*CUSIP Number*	*G1151C101*						
275 0000 Sale	04/17/13	01/07/14	22,484 46	21,187 39		0 00	1,297 07	
15 0000 Sale	11/07/13	01/07/14	1,226 43	1,135 65		0 00	90 78	
Security Subtotal			***23,710.89***	***22,323.04***		***0.00***	***1,387.85***	
AUTOMATIC DATA PROC	*CUSIP Number*	*053015103*						
23.0000 Sale	05/14/13	01/08/14	1,843 89	1,630 62		0 00	213.27	
CARDINAL HEALTH INC OHIO	*CUSIP Number*	*14149Y108*						
20 0000 Sale	10/28/13	01/08/14	1,338 38	1,120 84		0 00	217 54	
COVIDIEN PLC SHS NEW	*CUSIP Number*	*G2554F113*						
42 0000 Sale	07/08/13	07/07/14	3,811 65	2,459 42		0 00	1,352 23	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e. Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
CDK GLOBAL INC SHS	*CUSIP Number*	*12508E101*						
1 0000 Sale	11/07/13	10/06/14	29 29	28 63		0 00	0 66	
47 0000 Sale	01/07/14	10/06/14	1,376 84	1,438.32		0 00	(61 48)	
Cash/Lieu	01/07/14	10/10/14	17 34	20 44		0 00	(3 10)	
Security Subtotal			***1,423.47***	***1,487.39***		***0.00***	***(63.92)***	
CUMMINS INC COM	*CUSIP Number*	*231021106*						
10 0000 Sale	01/07/14	01/08/14	1,393 30	1,397 05		0 00	(3 75)	
DOMINION RES INC NEW VA	*CUSIP Number*	*25746U109*						
21 0000 Sale	07/01/13	01/08/14	1,362 67	1,181 25		0 00	181 42	
FEDEX CORP DELAWARE COM	*CUSIP Number*	*31428X106*						
10 0000 Sale	07/23/13	01/08/14	1,404 58	1,069 02		0 00	335 56	
HESS CORP	*CUSIP Number*	*42809H107*						
22.0000 Sale	08/12/13	01/08/14	1,778.92	1,656 77		0 00	122 15	
HEWLETT PACKARD CO DEL	*CUSIP Number*	*428236103*						
48 0000 Sale	01/07/14	01/08/14	1,335 34	1,355 44		0.00	(20 10)	
INTL BUSINESS MACHINES CORP IBM	*CUSIP Number*	*459200101*						
105 0000 Sale	04/17/13	01/07/14	19,904 46	22,072 04		0 00	(2,167 58)	
17 0000 Sale	11/07/13	01/07/14	3,222 63	3,071.56		0.00	151.07	
Security Subtotal			***23,127.09***	***25,143.60***		***0.00***	***(2,016.51)***	
PRICE T ROWE GROUP INC	*CUSIP Number*	*74144T108*						
16 0000 Sale	02/13/13	01/08/14	1,341 76	1,185 16		0 00	156 60	
10 0000 Sale	11/07/13	11/06/14	820 19	773 59		0 00	46 60	
Security Subtotal			***2,161.95***	***1,958.75***		***0.00***	***203.20***	
THERMO FISHER SCIENTIFIC INC	*CUSIP Number*	*883556102*						
16.0000 Sale	03/01/13	01/08/14	1,816 13	1,188 45		0 00	627 68	
VALERO ENERGY CORP NEW	*CUSIP Number*	*91913Y100*						
26 0000 Sale	04/03/13	01/08/14	1,323 64	968.99		0 00	354 65	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
PRINCIPAL MIDCAP FUND CL P	*CUSIP Number*	*74255L795*						
123 0000 Sale	06/11/13	01/07/14	2,499 36	2,201 70		0.00	297 66	
0310 Sale	12/20/13	01/07/14	0 63	0 62		0 00	0 01	
Security Subtotal			***2,499.99***	***2,202.32***		***0.00***	***297.67***	
T ROWE PRICE NEW HRZN FUND RETAIL CL	*CUSIP Number*	*779562107*						
53 0000 Sale	06/11/13	01/07/14	2,456 02	2,097 74		0 00	358 28	
7530 Sale	06/11/13	01/07/14	34 90	27 86		0 00	7 04	
1960 Sale	12/17/13	01/07/14	9 08	8 70		0.00	0 38	
Security Subtotal			***2,500.00***	***2,134.30***		***0.00***	***365.70***	
Covered Short Term Capital Gains and Losses Subtotal			**76,144.92**	**72,933.51**		**0.00**	**3,211.41**	
NET SHORT TERM CAPITAL GAINS AND LOSSES			(1) **76,144.92**	(2) **72,933.51**		**0.00**	**3,211.41**	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2

COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
AMERICA MOVIL SAB DE CV ADR	*CUSIP Number*	*02364W105*						
61 0000 Sale	12/12/12	01/08/14	1,353 57	1,455 17		0 00	(101 60)	
799.0000 Sale	12/12/12	04/22/14	15,390 16	19,060 30		0 00	(3,670 14)	
Security Subtotal			***16,743.73***	***20,515.47***		***0.00***	***(3,771.74)***	
COMCAST CORP NEW CL A	*CUSIP Number*	*20030N101*						
25 0000 Sale	07/18/12	01/08/14	1,324 98	807 14		0 00	517 84	
CVS CAREMARK CORP	*CUSIP Number*	*126650100*						
26 0000 Sale	09/02/11	01/08/14	1,815 29	923 52		0 00	891 77	
CITIGROUP INC COM NEW	*CUSIP Number*	*172967424*						
24.0000 Sale	10/19/12	01/08/14	1,316 86	894 72		0 00	422.14	
CDK GLOBAL INC SHS	*CUSIP Number*	*12508E101*						
87 0000 Sale	05/14/13	10/06/14	2,548 61	2,338.90		0 00	209 71	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b. Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
DIAGEO PLC SPSD ADR NEW	*CUSIP Number*	*25243Q205*						
13 0000 Sale	02/10/12	01/08/14	1,675 28	1,214 46		0 00	460 82	
217 0000 Sale	02/10/12	06/27/14	27,366 79	20,272 14		0 00	7,094 65	
Security Subtotal			***29,042.07***	***21,486.60***		***0.00***	***7,555.47***	
VANGUARD VALUE ETF	*CUSIP Number*	*922908744*						
110 0000 Sale	11/07/13	11/12/14	9,189 74	8,054 08		0 00	1,135.66	
HOME DEPOT INC	*CUSIP Number*	*437076102*						
17 0000 Sale	06/14/12	01/08/14	1,390 24	881 96		0 00	508 28	
MONSANTO CO NEW DEL COM	*CUSIP Number*	*61166W101*						
8 0000 Sale	07/31/12	01/08/14	929 18	685 11		0 00	244 07	
MCDONALDS CORP COM	*CUSIP Number*	*580135101*						
26 0000 Sale	10/19/12	08/14/14	2,430 74	2,309 58		0 00	121 16	
NIKE INC CL B	*CUSIP Number*	*654106103*						
12 0000 Sale	05/04/11	01/08/14	927 70	493 56		0 00	434 14	
ORACLE CORP $0 01 DEL	*CUSIP Number*	*68389X105*						
47.0000 Sale	04/10/12	01/08/14	1,778 45	1,328 98		0 00	449.47	
PRICE T ROWE GROUP INC	*CUSIP Number*	*74144T108*						
259 0000 Sale	02/13/13	11/06/14	21,242.89	19,184 84		0 00	2,058.05	
SA INC CL A SHRS	*CUSIP Number*	*92826C839*						
6 0000 Sale	01/10/12	01/08/14	1,331 26	599 40		0 00	731 86	
WELLS FARGO & CO NEW DEL	*CUSIP Number*	*949746101*						
30 0000 Sale	02/10/12	01/08/14	1,367 68	906 74		0 00	460 94	
Covered Long Term Capital Gains and Losses Subtotal			**93,379.42**	**81,410.60**		**0.00**	**11,968.82**	

NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)

1a Description of Property	1b. Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
AMER EXPRESS COMPANY	*CUSIP Number*	*025816109*						
20 0000 Sale	06/18/10	01/08/14	1,780 78	840 60		0 00	940 18	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b. Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
AMERICAN WTR WKS CO INC NEW	*CUSIP Number*	*030420103*						
32 0000 Sale	12/28/09	01/08/14	1,338 54	716.13		0 00	622 41	
STCO WHOLESALE CRP DEL	*CUSIP Number*	*22160K105*						
16 0000 Sale	04/23/10	01/08/14	1,829 73	962 20		0 00	867 53	
CATERPILLAR INC DEL	*CUSIP Number*	*149123101*						
15 0000 Sale	09/28/10	01/08/14	1,338 88	1,196 25		0 00	142 63	
COVIDIEN PLC SHS NEW	*CUSIP Number*	*G2554F113*						
26 0000 Sale	12/09/09	01/08/14	1,776 29	1,110 65		0 00	665 64	
72 0000 Sale	12/09/09	07/07/14	6,534.24	3,075 66		0 00	3,458 58	
230 0000 Sale	03/01/10	07/07/14	20,873 28	10,388 73		0 00	10,484 55	
80 0000 Sale	11/24/10	07/07/14	7,260 27	3,113 70		0 00	4,146 57	
Security Subtotal			***36,444.08***	***17,688.74***		***0.00***	***18,755.34***	
HONEYWELL INTL INC DEL	*CUSIP Number*	*438516106*						
20 0000 Sale	12/09/09	01/08/14	1,809 97	802 59		0.00	1,007 38	
MCDONALDS CORP COM	*CUSIP Number*	*580135101*						
14 0000 Sale	12/09/09	01/08/14	1,332 36	851 34		0 00	481 02	
185 0000 Sale	12/09/09	08/14/14	17,295 61	11,249 82		0 00	6,045 79	
Security Subtotal			***18,627.97***	***12,101 16***		***0.00***	***6,526.81***	
ᶜHLUMBERGER LTD	*CUSIP Number*	*806857108*						
16 0000 Sale	09/28/10	01/08/14	1,388 94	960 94		0 00	428 00	
UNITED TECHS CORP COM	*CUSIP Number*	*913017109*						
65 0000 Sale	12/09/09	01/07/14	7,380 62	4,353.54		0 00	3,027 08	
12.0000 Sale	12/09/09	01/08/14	1,355 38	803 73		0 00	551 65	
Security Subtotal			***8,736.00***	***5,157.27***		***0 00***	***3,578.73***	
ACE LIMITED	*CUSIP Number*	*H0023R105*						
9 0000 Sale	05/11/09	01/08/14	897 91	383 89		0.00	514.02	
Noncovered Long Term Capital Gains and Losses Subtotal			**74,192.80**	**40,809.77**		**0.00**	**33,383.03**	

Merrill Lynch
Bank of America Corporation

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B — 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c. Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
NET LONG TERM CAPITAL GAINS AND LOSSES			(1) 167,572.22	(2) 122,220.37		0.00	45,351.85	
.LES PROCEEDS AND NET GAINS AND LOSSES			Σ(1) 243,717.14	Σ(2) = 195,153.88			48,563.26	TC B
COVERED SHORT TERM GAINS/LOSSES							3,211.41	
COVERED LONG TERM GAINS/LOSSES							11,968.82	
NONCOVERED LONG TERM GAINS/LOSSES							33,383.03	

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THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities " A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014 Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities " Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of able bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b. Date of Acquisition	1c Date of Sale or Exchange	1d. Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code B)								
UNITEDHEALTH GROUP INC GLB 01 625% MAR 15 2019	*CUSIP Number*	*91324PCB6*						
5000 0000 Sale	03/04/13	02/20/14	4,886 40	5,015 01		0 00	(128 61)	
U S TREASURY NOTE 1 750% MAY 15 2023 023	*CUSIP Number*	*912828VB3*						
4000 0000 Sale	05/22/13	05/08/14	3,759 35	3,912 05		0 00	(152 70)	
17000 0000 Sale	06/04/13	05/08/14	15,977 28	16,401 08		0 00	(423 80)	
8000 0000 Sale	06/25/13	05/08/14	7,518 72	7,426 91	D	50 66	41 15	
Security Subtotal			***27,255.35***	***27,740.04***		***50.66***	***(535.35)***	
U S TREASURY NOTE 0 625% OCT 15 2016 00 625% OCT 15 2016	*CUSIP Number*	*912828WA4*						
16000 0000 Sale	10/21/13	02/20/14	16,016 20	16,003 36		0 00	12.84	
Noncovered Short Term Capital Gains and Losses Subtotal			**48,157.95**	**48,758.41**		**50.66**	**(651.12)**	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
NET SHORT TERM CAPITAL GAINS AND LOSSES			(1) **48,157.95**	(2) **48,758.41**		(2) **50.66**	**(651.12)**	
'ONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
JNCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)								
AMERICAN INTL GROUP	*CUSIP Number*	*026874CS4*						
GLB								
03 800% MAR 22 2017								
5000 0000 Redemption	06/06/12	07/31/14	5,326 79	5,035 77		0 00	291 02	
2000 0000 Redemption	08/13/12	07/31/14	2,130 73	2,060 01		0 00	70.72	
Security Subtotal			***7,457.52***	***7,095.78***		***0 00***	***361.74***	
AETNA INC	*CUSIP Number*	*008117AP8*						
GLB								
02 750% NOV 15 2022								
5000 0000 Sale	11/07/12	05/08/14	4,819 85	4,986 95		0 00	(167 10)	
COMCAST CORP	*CUSIP Number*	*20030NAJ0*						
COMPANY GUARNT								
05 850% NOV 15 2015								
4000 0000 Sale	10/29/09	08/25/14	4,258 28	4,068 97		0 00	189 31	
CISCO SYSTEMS INC	*CUSIP Number*	*17275RAC6*						
GLB								
05.500% FEB 22 2016								
9000 0000 Sale	05/03/10	07/10/14	9,713 97	9,334 17		0 00	379 80	
DIRECTV HLDG/FIN INC	*CUSIP Number*	*25459HAY1*						
COMPANY GUARNT								
03 500% MAR 01 2016								
3000.0000 Sale	09/06/11	08/04/14	3,124 98	3,056 46		0 00	68.52	
4000.0000 Sale	03/07/12	08/04/14	4,166 64	4,093 18		0 00	73 46	
Security Subtotal			***7,291.62***	***7,149.64***		***0.00***	***141.98***	
GENERAL ELEC CAP CORP	*CUSIP Number*	*36962G5C4*						
GLB								
02 950% MAY 09 2016								
4000 0000 Sale	09/06/11	10/23/14	4,141 12	4,014 20		0 00	126 92	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
KINDER MORGAN ENER PART -	CUSIP Number	494550BQ8						
03 500% SEP 01 2023 5000 0000 Sale	02/22/13	07/10/14	4,826 20	5,039 43		0 00	(213 23)	
MORGAN STANLEY GLB	CUSIP Number	61746BDG8						
01 750% FEB 25 2016 6000 0000 Sale	02/22/13	05/08/14	6,086 16	6,009 34		0.00	76 82	
ORACLE CORP/OZARK HLDG GLB	CUSIP Number	68402LAC8						
05 250% JAN 15 2016 4000.0000 Sale	07/16/09	04/07/14	4,330 56	4,065 25		0 00	265.31	
PFIZER INC 5 35% DUE 3/15/2015	CUSIP Number	717081DA8						
6000 0000 Sale	07/21/09	05/08/14	6,245 94	6,107 28		0.00	138 66	
AT&T INC GLB	CUSIP Number	78387GAP8						
05 100% SEP 15 2014 4000 0000 Sale	10/29/09	05/08/14	4,064 56	4,021 65		0 00	42 91	
SHELL INTERNATIONAL FIN COMPANY GUARNT GLB	CUSIP Number	822582AF9						
04 000% MAR 21 2014 4000 0000 Redemption	04/14/09	03/21/14	4,000 00	4,000 00		0 00	0.00	
VERIZON COMMUNICATIONS GLB	CUSIP Number	92343VAX2						
04 600% APR 01 2021 9000 0000 Sale	09/06/11	10/23/14	9,818 55	9,700 81		0 00	117 74	
U.S TREASURY NOTE 1 750% MAY 15 2023 023	CUSIP Number	912828VB3						
11000.0000 Sale	06/25/13	12/19/14	10,707 79	10,211 99	D	118 81	376 99	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
U S TREASURY NOTE 0 625% OCT 15 2016 00 625% OCT 15 2016	*CUSIP Number*	*912828WA4*						
10000 0000 Sale	10/21/13	12/19/14	10,003 11	10,001 45		0 00	1.66	
U S TREASURY NOTE 3 500% MAY 15 2020 03 500% MAY 15 2020	*CUSIP Number*	*912828ND8*						
9000 0000 Sale	07/12/10	08/25/14	9,804 35	9,223 27		0 00	581 08	
7000 0000 Sale	10/25/12	08/25/14	7,625 62	7,823.56		0 00	(197 94)	
Security Subtotal			***17,429.97***	***17,046.83***		***0.00***	***383.14***	
Noncovered Long Term Capital Gains and Losses Subtotal			(1 **115,195.20**	(2 **112,853.74**		(2 **118.81**	**2,222.65**	
NET LONG TERM CAPITAL GAINS AND LOSSES			**115,195.20**	**112,853.74**		**118.81**	**2,222.65**	
SALES PROCEEDS AND NET GAINS AND LOSSES			$\Sigma^{(1)}$= **163,353.15** TC	$\Sigma^{(2)}$= 161,781.62 TC			**1,571.53** TC	
NONCOVERED SHORT TERM GAIN/LOSSES							**(651.12)**	
NONCOVERED LONG TERM GAINS/LOSSES							**2,222.65**	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

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calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of ible bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types Securities distributed from a retirement account reflect the tax basis on the date of distribution Other methods for calculating gain (loss) are available The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

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1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b. Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)								
ABB LTD SPON ADR	*CUSIP Number*	*000375204*						
121 0000 Sale	07/23/13	05/02/14	2,926 92	2,771 92		0 00	155 00	
5 0000 Sale	10/31/13	05/02/14	120 95	127.95		0 00	(7 00)	
3 0000 Sale	04/22/14	05/02/14	72 57	78 21		0 00	(5 64)	
Security Subtotal			***3,120.44***	***2,978.08***		***0.00***	***142.36***	
MERICA MOVIL SAB DE CV 'R	*CUSIP Number*	*02364W105*						
176 0000 Sale	06/06/13	04/22/14	3,368.04	3,498 88		0 00	(130.84)	
12.0000 Sale	10/31/13	04/22/14	229 64	257 52		0 00	(27 88)	
Security Subtotal			***3,597.68***	***3,756.40***		***0.00***	***(158.72)***	
ARCELORMITTAL SA, LUXEMBOURG	*CUSIP Number*	*03938L104*						
257 0000 Sale	06/10/13	02/26/14	4,011 73	3,183 44		0 00	828 29	
BANCO SANTANDER SA ADR	*CUSIP Number*	*05964H105*						
76 0000 Sale	06/06/13	04/22/14	748 91	550 09		0 00	198 82	
BP PLC SPON ADR	*CUSIP Number*	*055622104*						
1 0000 Sale	06/06/13	04/22/14	49 10	43 03		0 00	6 07	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d. Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
CREDICORP LTD COM PV $5	*CUSIP Number*	*G2519Y108*						
3 0000 Sale	12/18/13	10/21/14	459 83	395 07		0 00	64 76	
¯ ⁾NADIAN PACIFIC RAILWAY)	*CUSIP Number*	*13645T100*						
1 0000 Sale	06/06/13	04/22/14	156 54	124 93		0 00	31 61	
CEMEX SAB DE CV SPND ADR	*CUSIP Number*	*151290889*						
Cash/Lieu	02/26/14	05/01/14	0 51	0 51		0 00	0 00	
1 0000 Sale	02/26/14	07/22/14	13 15	12 77		0.00	0 38	
Security Subtotal			***13.66***	***13.28***		***0.00***	***0.38***	
COPA HOLDINGS S A CL A	*CUSIP Number*	*P31076105*						
4 0000 Sale	04/22/14	09/30/14	425 76	554 04		0 00	(128 28)	
COVIDIEN PLC SHS NEW	*CUSIP Number*	*G2554F113*						
3 0000 Sale	06/06/13	04/22/14	211 43	176 34		0 00	35 09	
5.0000 Sale	07/08/13	07/07/14	453.87	292 79		0.00	161.08	
2 0000 Sale	10/31/13	07/07/14	181 55	128 78		0 00	52 77	
Security Subtotal			***846.85***	***597.91***		***0.00***	***248.94***	
EMBRAER S A SPONSRD ADR	*CUSIP Number*	*29082A107*						
118 0000 Sale	06/06/13	04/22/14	4,023 34	4,240 41		0 00	(217 07)	
49 0000 Sale	10/31/13	04/22/14	1,670.71	1,399 44		0 00	271 27	
Security Subtotal			***5,694.05***	***5,639.85***		***0.00***	***54.20***	
˛ICI BANK LTD SPD ADR	*CUSIP Number*	*45104G104*						
16 0000 Sale	05/07/13	04/22/14	701 03	758 02		0 00	(56 99)	
ING GP NV SPSD ADR	*CUSIP Number*	*456837103*						
18 0000 Sale	08/26/13	04/22/14	254 33	208 35		0 00	45 98	
LYONDELLBASELL INDUSTRIE	*CUSIP Number*	*N53745100*						
6 0000 Sale	06/06/13	04/22/14	562 55	396 48		0 00	166 07	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d. Amount	1e Cost Basis	1f. IRS Code	1g Adjustments	Gain or (Loss)	Remarks
MELCO CROWN ENTRTNMT LTD ADR	*CUSIP Number*	*585464100*						
4 0000 Sale	04/22/14	12/05/14	99 93	152.40		0 00	(52 47)	
31 0000 Sale	07/22/14	12/05/14	774 50	1,012 15		0 00	(237 65)	
12 0000 Sale	10/21/14	12/05/14	299 81	309 96		0 00	(10.15)	
Security Subtotal			***1,174.24***	***1,474.51***		***0.00***	***(300.27)***	
MALLINCKRODT PLC SHS	*CUSIP Number*	*G5785G107*						
9 0000 Sale	07/07/14	07/22/14	673 82	725 61		0.00	(51 79)	
3 0000 Sale	07/07/14	10/21/14	267 68	241.87		0.00	25.81	
Security Subtotal			***941.50***	***967.48***		***0.00***	***(25.98)***	
MAGNA INTL INC CL A VTG	*CUSIP Number*	*559222401*						
9 0000 Sale	06/06/13	04/22/14	910 60	598 86		0.00	311 74	
NXP SEMICONDUCTORS N.V.	*CUSIP Number*	*N6596X109*						
7 0000 Sale	06/06/13	04/22/14	423 00	211.05		0 00	211 95	
NOBLE CORP PLC SHS	*CUSIP Number*	*G65431101*						
74 0000 Sale	06/06/13	01/28/14	2,389 44	2,869 68		0 00	(480 24)	
11 0000 Sale	10/31/13	01/28/14	355 19	417 89		0 00	(62 70)	
Security Subtotal			***2,744.63***	***3,287.57***		***0.00***	***(542.94)***	
PENTAIR PLC SHS	*CUSIP Number*	*G7S00T104*						
64 0000 Sale	04/22/14	08/01/14	4,078 10	4,870 39		0 00	(792.29)	
20 0000 Sale	05/02/14	08/01/14	1,274 41	1,504 10		0 00	(229 69)	
9.0000 Sale	07/22/14	08/01/14	573.49	654 66		0 00	(81 17)	
Security Subtotal			***5,926.00***	***7,029.15***		***0.00***	***(1,103.15)***	
PRUDENTIAL PLC ADR	*CUSIP Number*	*74435K204*						
6 0000 Sale	06/06/13	04/22/14	270 89	199 92		0 00	70 97	
RIO TINTO PLC SPNSRD ADR	*CUSIP Number*	*767204100*						
24.0000 Sale	02/26/14	12/05/14	1,087 18	1,365 36		0 00	(278 18)	
2 0000 Sale	04/22/14	12/05/14	90 59	109.86		0 00	(19 27)	
4 0000 Sale	10/21/14	12/05/14	181.21	204 08		0 00	(22 87)	
Security Subtotal			***1,358.98***	***1,679.30***		***0.00***	***(320.32)***	

Merrill Lynch
Bank of America Corporation

Account No. 6UY-04105 | Taxpayer No. 23-2896467

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
SK TELECOM ADR	*CUSIP Number*	*78440P108*						
14.0000 Sale	04/22/14	07/22/14	369 59	313 83		0 00	55 76	
17 0000 Sale	04/22/14	10/21/14	482 62	381 08		0 00	101.54	
Security Subtotal			***852.21***	***694.91***		***0.00***	***157.30***	
SYNGENTA AG ADR	*CUSIP Number*	*87160A100*						
37 0000 Sale	06/06/13	02/26/14	2,672 83	2,904 50		0 00	(231 67)	
3 0000 Sale	10/31/13	02/26/14	216 72	242.76		0.00	(26 04)	
Security Subtotal			***2,889.55***	***3,147.26***		***0.00***	***(257.71)***	
SANOFI ADR	*CUSIP Number*	*80105N105*						
4 0000 Sale	04/22/14	11/06/14	183 43	213 03		0 00	(29 60)	
3.0000 Sale	07/22/14	11/06/14	137 59	156 10		0 00	(18 51)	
Security Subtotal			***321.02***	***369.13***		***0.00***	***(48.11)***	
SUNCOR ENERGY INC NEW	*CUSIP Number*	*867224107*						
111.0000 Sale	06/06/13	02/26/14	3,672 93	3,409.41		0 00	263 52	
SEADRILL LTD	*CUSIP Number*	*G7945E105*						
70 0000 Sale	06/06/13	04/22/14	2,312 05	2,765 70		0 00	(453 65)	
SONY CORP ADR NEW	*CUSIP Number*	*835699307*						
7 0000 Sale	06/10/13	04/22/14	130 33	142.17		0 00	(11.84)	
101.0000 Sale	10/31/13	10/21/14	1,762 73	1,738 22		0.00	24 51	
40 0000 Sale	07/22/14	10/21/14	698.12	669 20		0 00	28 92	
Security Subtotal			***2,591.18***	***2,549.59***		***0.00***	***41 59***	
WUXI PHARMATECH CAYMAN I	*CUSIP Number*	*929352102*						
28.0000 Sale	06/06/13	04/22/14	1,019 90	582 68		0 00	437 22	
Covered Short Term Capital Gains and Losses Subtotal			**48,051.14**	**48,165.49**		**0.00**	**(114.35)**	
NET SHORT TERM CAPITAL GAINS AND LOSSES			**48,051.14**	**48,165.49**		**0.00**	**(114.35)**	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)								
'HEUSER-BUSCH INBEV ADR	*CUSIP Number*	*03524A108*						
1 0000 Sale	07/27/12	04/22/14	109 39	81 18		0 00	28 21	
1 0000 Sale	07/27/12	10/21/14	108 25	81 18		0 00	27.07	
Security Subtotal			***217.64***	***162.36***		***0.00***	***55.28***	
BANCO SANTANDER SA ADR	*CUSIP Number*	*05964H105*						
7 0000 Sale	06/06/13	07/22/14	70 13	50 67		0 00	19 46	
BARCLAYS PLC ADR	*CUSIP Number*	*06738E204*						
20.0000 Sale	06/06/13	10/21/14	291 59	379 34		0 00	(87.75)	
BP PLC SPON ADR	*CUSIP Number*	*055622104*						
1 0000 Sale	06/06/13	07/22/14	51.04	43.03		0 00	8 01	
BAIDU INC SPON ADR	*CUSIP Number*	*056752108*						
5 0000 Sale	06/06/13	07/22/14	993 78	491 85		0 00	501 93	
5.0000 Sale	06/06/13	10/21/14	1,113 03	491 85		0.00	621.18	
Security Subtotal			***2,106 81***	***983.70***		***0.00***	***1,123.11***	
CANADIAN PACIFIC RAILWAY LTD	*CUSIP Number*	*13645T100*						
8 0000 Sale	06/06/13	07/22/14	1,589.97	999 46		0 00	590 51	
2 0000 Sale	06/06/13	10/21/14	400 35	249 86		0 00	150 49	
Security Subtotal			***1,990.32***	***1,249.32***		***0.00***	***741.00***	
CHINA UNICOM HONG KONG LTD	*CUSIP Number*	*16945R104*						
57 0000 Sale	06/06/13	07/22/14	942 19	766 55		0 00	175 64	
COMPANHIA D SNMNTO BSCO D ESTDO SAO PAULO ADR	*CUSIP Number*	*20441A102*						
25 0000 Sale	06/06/13	07/22/14	256.49	299 20		0 00	(42 71)	
COPA HOLDINGS S A CL A	*CUSIP Number*	*P31076105*						
2 0000 Sale	06/06/13	07/22/14	311 03	263.96		0 00	47.07	
30 0000 Sale	06/06/13	09/30/14	3,193 12	3,959.40		0 00	(766 28)	
Security Subtotal			***3,504.15***	***4,223.36***		***0.00***	***(719.21)***	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
COVIDIEN PLC SHS NEW	*CUSIP Number*	*G2554F113*						
53 0000 Sale	06/06/13	07/07/14	4,810 96	3,115 27		0 00	1,695 69	
'CI BANK LTD SPD ADR	*CUSIP Number*	*45104G104*						
17 0000 Sale	05/07/13	07/22/14	876 16	805 39		0 00	70 77	
13 0000 Sale	05/07/13	10/21/14	704.19	615 89		0 00	88 30	
Security Subtotal			***1,580 35***	***1,421.28***		***0.00***	***159.07***	
ING GP NV SPSD ADR	*CUSIP Number*	*456837103*						
30 0000 Sale	08/26/13	10/21/14	422 39	347 25		0 00	75 14	
LYONDELLBASELL INDUSTRIE	*CUSIP Number*	*N53745100*						
11 0000 Sale	06/06/13	07/22/14	1,117 03	726 88		0 00	390 15	
9 0000 Sale	06/06/13	10/21/14	858 76	594 72		0.00	264 04	
Security Subtotal			***1,975.79***	***1,321.60***		***0.00***	***654.19***	
MELCO CROWN ENTRTNMT LTD ADR	*CUSIP Number*	*585464100*						
172 0000 Sale	06/06/13	12/05/14	4,297 20	3,967 30		0 00	329 90	
MAGNA INTL INC CL A VTG	*CUSIP Number*	*559222401*						
3 0000 Sale	06/06/13	07/22/14	330 47	199 62		0 00	130 85	
56 0000 Sale	06/06/13	10/06/14	5,422 31	3,726 24		0 00	1,696 07	
Security Subtotal			***5,752.78***	***3,925.86***		***0.00***	***1,826.92***	
P SEMICONDUCTORS N.V.	*CUSIP Number*	*N6596X109*						
6 0000 Sale	06/06/13	07/22/14	398 09	180 90		0 00	217 19	
4 0000 Sale	06/06/13	10/21/14	258 75	120 60		0 00	138 15	
Security Subtotal			***656.84***	***301.50***		***0.00***	***355.34***	
PRUDENTIAL PLC ADR	*CUSIP Number*	*74435K204*						
1 0000 Sale	06/06/13	07/22/14	47 11	33 32		0.00	13 79	
1 0000 Sale	06/06/13	10/21/14	44 15	33 32		0 00	10 83	
Security Subtotal			***91.26***	***66.64***		***0.00***	***24.62***	
RIO TINTO PLC SPNSRD ADR	*CUSIP Number*	*767204100*						
81 0000 Sale	06/06/13	12/05/14	3,669 21	3,497 57		0 00	171.64	

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d. Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
SANOFI ADR	*CUSIP Number*	*80105N105*						
33 0000 Sale	03/04/11	11/06/14	1,513 36	1,177 07		0 00	336 29	
12 0000 Sale	03/01/13	11/06/14	550.32	560 84		0.00	(10 52)	
1 0000 Sale	07/23/13	11/06/14	45 86	53 02		0 00	(7 16)	
5 0000 Sale	10/31/13	11/06/14	229 30	267 58		0.00	(38 28)	
Security Subtotal			***2,338.84***	***2,058.51***		***0.00***	***280 33***	
SONY CORP ADR NEW	*CUSIP Number*	*835699307*						
168 0000 Sale	06/10/13	10/21/14	2,932.07	3,412 06		0 00	(479 99)	
48 0000 Sale	07/23/13	10/21/14	837.73	1,102 08		0 00	(264.35)	
Security Subtotal			***3,769 80***	***4,514.14***		***0.00***	***(744.34)***	
WUXI PHARMATECH CAYMAN I	*CUSIP Number*	*929352102*						
25 0000 Sale	06/06/13	10/21/14	908.74	520 25		0 00	388 49	
ACE LIMITED	*CUSIP Number*	*H0023R105*						
5 0000 Sale	06/06/13	10/21/14	531 99	443.15		0 00	88 84	
Covered Long Term Capital Gains and Losses Subtotal			**40,236.51**	**33,657.85**		**0.00**	**6,578.66**	

NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)

1a. Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d. Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
BRITISH AMN TOBACO SPADR	*CUSIP Number*	*110448107*						
1.0000 Sale	07/02/10	10/21/14	112 67	64 47		0 00	48.20	
SANOFI ADR	*CUSIP Number*	*80105N105*						
4 0000 Sale	06/01/10	10/21/14	209 11	121 13		0 00	87 98	
20 0000 Sale	06/01/10	11/06/14	917.19	605.64		0 00	311.55	
Security Subtotal			***1,126.30***	***726.77***		***0.00***	***399.53***	
Noncovered Long Term Capital Gains and Losses Subtotal			**1,238.97**	**791.24**		**0.00**	**447.73**	
NET LONG TERM CAPITAL GAINS AND LOSSES			(1 **41,475.48**	(2) **34,449.09**		**0.00**	**7,026.39**	

Merrill Lynch
Bank of America Corporation

Account No. 6UY-04105

Taxpayer No. 23-2896467

THE RICHARD C MARQUARDT

2014 ANNUAL STATEMENT SUMMARY

1099-B — 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c. Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
OTHER TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code X)								
BANCO SANTANDER SA ADR	*CUSIP Number*	*05964H105*						
Cash/Lieu	02/18/14	02/18/14	3 13	N/A		0 00	N/A	
Cash/Lieu	05/14/14	05/14/14	3 66	N/A		0 00	N/A	
Cash/Lieu	08/15/14	08/15/14	4 94	N/A		0 00	N/A	
Cash/Lieu	11/19/14	11/19/14	3 68	N/A		0 00	N/A	
Security Subtotal			***15.41***			***0.00***		
Other Transactions Subtotal			**15.41**	15.41		**0.00**		
SALES PROCEEDS AND NET GAINS AND LOSSES			**89,542.03**	82,629.99			**6,912.04**	
COVERED SHORT TERM GAINS/LOSSES							**(114.35)**	
COVERED LONG TERM GAINS/LOSSES							**6,578.66**	
NONCOVERED LONG TERM GAINS/LOSSES							**447.73**	
OTHER TRANSACTIONS							**N/C**	

N/A Cost basis information is not available As a result, gain/loss will not be calculated (N/C)