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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation ECOSYSTEMS TECHNOLOGY TRANSFER, INC. C/O CHRISTIAN J. LAMBERTSEN JR.		A Employer identification number 23-2619483
Number and street (or P.O. box number if mail is not delivered to street address) 7624 KENNEBEC DRIVE		B Telephone number 919-929-6447
City or town, state or province, country, and ZIP or foreign postal code CHAPEL HILL, NC 27517		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 822,089.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		25,457.	22,624.	25,457.	STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		41,285.			
b Gross sales price for all assets on line 6a		137,564.			
7 Capital gain net income (from Part IV, line 2)			41,285.		
8 Net short-term capital gain				1,493.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		66,742.	63,909.	26,950.	
13 Compensation of officers, directors, trustees, etc.		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		2,640.	0.	0.	2,640.
c Other professional fees STMT 3		10,096.	0.	0.	10,096.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		1,172.	0.	0.	1,172.
22 Printing and publications					
23 Other expenses STMT 4		1,433.	0.	0.	1,433.
24 Total operating and administrative expenses. Add lines 13 through 23		15,341.	0.	0.	15,341.
25 Contributions, gifts, grants paid		35,000.			35,000.
26 Total expenses and disbursements. Add lines 24 and 25		50,341.	0.	0.	50,341.
27 Subtract line 26 from line 12		16,401.			
a Excess of revenue over expenses and disbursements			63,909.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				26,950.	

SCANNED MAY 15 2015

Revenue

Operating and Administrative Expenses

16

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			6,660.	12,774.	12,774.
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 5				712,894.	723,181.	809,315.
14 Land, buildings, and equipment basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)				719,554.	735,955.	822,089.
17 Accounts payable and accrued expenses						
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X					
	24 Unrestricted			719,554.	735,955.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances			719,554.	735,955.		
31 Total liabilities and net assets/fund balances			719,554.	735,955.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	719,554.
2 Enter amount from Part I, line 27a	2	16,401.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	735,955.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	735,955.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 137,564.		96,279.	41,285.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			41,285.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	41,285.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	1,493.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	60,617.	790,679.	.076664
2012	70,200.	768,379.	.091361
2011	52,852.	811,551.	.065125
2010	46,295.	785,307.	.058951
2009	52,898.	713,650.	.074123

2 Total of line 1, column (d)	2	.366224
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.073245
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	814,848.
5 Multiply line 4 by line 3	5	59,684.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	639.
7 Add lines 5 and 6	7	60,323.
8 Enter qualifying distributions from Part XII, line 4	8	50,341.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	1,278.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	1,278.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	1,278.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,390.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,390.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	112.
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 112. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of CHRISTIAN J. LAMBERTSEN JR. Telephone no (610) 296-4200 Located at 7624 KENNEBEC DRIVE, CHAPEL HILL, NC ZIP+4 27517			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

► ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTIAN J. LAMBERTSEN JR. 7624 KENNEBEC DRIVE CHAPEL HILL, NC 27517	PRESIDENT/TREASURER 5.00	0.	0.	0.
BRADLEY S. LAMBERTSEN 302 N. PROVIDENCE ROAD WALLINGFORD, PA 19086	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 EXPENDITURES DIRECTLY RELATING TO DEVELOPMENT AND TRANSFER OF SCIENTIFIC TECHNOLOGY BENEFITTING THE GENERAL PUBLIC, INCLUDING ADMINISTRATIVE EXPENSES.	15,341.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	805,970.
b	Average of monthly cash balances	1b	21,287.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	827,257.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	827,257.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,409.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	814,848.
6	Minimum investment return. Enter 5% of line 5	6	40,742.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2014 from Part VI, line 5	2a	
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	50,341.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,341.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	50,341.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years				
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e				
4 Qualifying distributions for 2014 from Part XII, line 4. ► \$				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

ECOSYSTEMS TECHNOLOGY TRANSFER, INC.

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			
(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
26,950.	28,755.	33,771.	12,142.	101,618.
22,908.	24,442.	28,705.	10,321.	86,375.
50,341.	60,617.	70,584.	52,852.	234,394.
0.	0.	0.	0.	0.
50,341.	60,617.	70,584.	52,852.	234,394.
				0.
				0.
27,161.	26,356.	25,613.	27,052.	106,182.
				0.
				0.
				0.
				0.

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter (1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CHRISTIAN J. LAMBERTSEN JR.

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ECOSYSTEMS TECHNOLOGY TRANSFER, INC.

Form 990-PF (2014)

C/O CHRISTIAN J. LAMBERTSEN JR.

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Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
WATER.ORG 920 MAIN STREET SUITE 1800 KANSAS CITY, MO 64105	N/A	CHARITY	PROVIDE SAFE WATER AND SANITATION TO THOSE IN NEED	10,000.
PARTNERS IN HEALTH 888 COMMONWEALTH AVE., 3RD FLOOR BOSTON, MA 02215	N/A	CHARITY	HEALTH CARE AND SOCIAL JUSTICE FOR POOR/DEVASTATED COUNTRIES	20,000.
EASTER SHORE LAND CONSERVATORY P.O. BOX 169 QUEENSTOWN, MD 21658	N/A	CHARITY	PRESERVE AND SUSTAIN LAND AND WATERS OF THE EASTERN SHORE	5,000.
Total			3a	35,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|-------|-----|----|
| <p>1 Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

[illegible]

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see Instr. 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

PAUL A. SIANA

Firm's name ► SIANA CARR O'CONNOR & LYNAM, LLP

P00299891

Firm's EIN ► 23-1420296

Firm's address ► 1500 E. LANCASTER AVENUE, SUITE 202
PAOLI, PA 19301

Phone no (610) 296-4200

Form 990-PF (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY ACCOUNT #736136465120 - SEE ATTACH	P	VARIOUS	12/31/14
b	MORGAN STANLEY ACCOUNT #736136465120 - SEE ATTACH	P	VARIOUS	12/31/14
c	MORGAN STANLEY ACCOUNT #736136465120 - SEE ATTACH	P	VARIOUS	12/31/14
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,395.		7,902.	1,493.
b 117,011.		77,306.	39,705.
c 11,158.		11,071.	87.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			** 1,493.
b			39,705.
c			87.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	41,285.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	1,493.

ATTACHMENT A

Morgan Stanley

Corporate Tax Statement Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX9483
Account Number 736 136465 120

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHARLES SCHWAB NEW		CUSIP: 808513105	Symbol SCHW					
	5.000	10/30/13	08/25/14	\$145.37	\$112.85	\$0.00	\$32.52	\$0.00
CONCUR TECHNOLOGIES INC		CUSIP: 206708109	Symbol.					
	18.000	11/15/13	11/13/14	\$2,311.75	\$1,750.50	\$0.00	\$561.25	\$0.00
	19.000	01/08/14	11/13/14	\$2,440.19	\$1,993.21	\$0.00	\$446.88	\$0.00
Security Subtotal	37.000			\$4,751.94	\$3,743.71	\$0.00	\$1,008.23	\$0.00
SOUTHERN CO		CUSIP: 842587107	Symbol SO					
	6.000	01/06/14	04/28/14	\$278.23	\$242.41	\$0.00	\$35.82	\$0.00
	91.000	02/27/14	04/28/14	\$4,219.86	\$3,802.94	\$0.00	\$416.92	\$0.00
Security Subtotal	97.000			\$4,498.09	\$4,045.35	\$0.00	\$452.74	\$0.00
Total Short Term Covered Securities				\$9,395.40	\$7,901.91	\$0.00	\$1,493.49	\$0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABAXIS INC		CUSIP: 002567105	Symbol: ABAX					
	112 000	03/01/12	12/04/14	\$6,471.23	\$2,978.42	\$0.00	\$3,492.81	\$0.00
AMERICAN EXPRESS CO		CUSIP: 025816109	Symbol: AXP					
	14 000	03/01/12	03/07/14	\$1,315.06	\$750.12	\$0.00	\$564.94	\$0.00
ANSYS INC		CUSIP: 036620105	Symbol: ANSS					
	22 000	03/01/12	12/04/14	\$1,825.71	\$1,397.88	\$0.00	\$427.83	\$0.00
ATHENAHEALTH INC COM		CUSIP: 04685W103	Symbol: ATHN					
	12 000	03/01/12	04/03/14	\$1,981.03	\$853.54	\$0.00	\$1,107.49	\$0.00
BECTON DICKINSON & CO		CUSIP: 075887109	Symbol: BDX					
	37 000	06/01/12	10/22/14	\$4,590.73	\$2,685.84	\$0.00	\$1,904.89	\$0.00
BIO-REFERENCE LABS INC NEW		CUSIP: 09057G602	Symbol: BRLI					
	127 000	03/01/12	07/21/14	\$3,860.83	\$2,853.18	\$0.00	\$1,007.65	\$0.00
	23 000	03/06/12	07/21/14	\$899.20	\$514.05	\$0.00	\$385.15	\$0.00
Security Subtotal	150 000			\$4,560.03	\$3,387.23	\$0.00	\$1,192.80	\$0.00
CEPHEID INC		CUSIP: 15670R107	Symbol: CPHD					
	20 000	03/01/12	01/23/14	\$985.65	\$806.76	\$0.00	\$178.89	\$0.00
CHARLES SCHWAB NEW		CUSIP: 808513105	Symbol: SCHW					
	67 000	03/01/12	01/06/14	\$1,730.64	\$930.38	\$0.00	\$800.26	\$0.00
	73 000	03/01/12	08/25/14	\$2,122.35	\$1,013.70	\$0.00	\$1,108.65	\$0.00
	40 000	05/23/12	08/25/14	\$1,162.93	\$499.91	\$0.00	\$663.02	\$0.00
Security Subtotal	180 000			\$5,015.92	\$2,443.99	\$0.00	\$2,571.93	\$0.00

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Account Number 736 136465 120
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions.

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8948 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHEMED CORPORATION		CUSIP: 16359R103	Symbol: CHE					
	10.000	03/01/12	07/25/14	\$1,015.07	\$821.13	\$0.00	\$393.94	\$0.00
CONCUR TECHNOLOGIES INC		CUSIP: 206708109	Symbol:					
	15.000	02/01/13	11/13/14	\$1,926.46	\$1,004.06	\$0.00	\$922.40	\$0.00
CVS HEALTH CORP COM		CUSIP: 126650100	Symbol: CVS					
	5.000	08/05/13	12/15/14	\$451.51	\$286.35	\$0.00	\$165.16	\$0.00
	4.000	09/30/13	12/15/14	\$361.20	\$229.08	\$0.00	\$132.12	\$0.00
Security Subtotal	9.000			\$812.71	\$515.43	\$0.00	\$297.28	\$0.00
DEVON ENERGY CORP NEW		CUSIP: 25179M103	Symbol: DVN					
	47.000	12/21/12	06/11/14	\$3,538.04	\$2,508.83	\$0.00	\$1,029.21	\$0.00
	42.000	12/21/12	10/22/14	\$2,554.74	\$2,241.83	\$0.00	\$312.81	\$0.00
Security Subtotal	89.000			\$6,092.78	\$4,750.76	\$0.00	\$1,342.02	\$0.00
DIGI INTL INC		CUSIP: 253798102	Symbol: DGII					
	184.000	03/01/12	12/04/14	\$1,363.11	\$2,049.39	\$0.00	(\$686.28)	\$0.00
	19.000	05/21/12	12/04/14	\$140.76	\$158.08	\$0.00	(\$17.32)	\$0.00
Security Subtotal	203.000			\$1,503.87	\$2,207.47	\$0.00	(\$703.60)	\$0.00
DR PEPPER SNAPPLE GROUP INC		CUSIP: 26138E109	Symbol: DPS					
	52.000	03/01/12	08/26/14	\$3,188.18	\$1,985.22	\$0.00	\$1,202.96	\$0.00
ECOLAB INC		CUSIP: 278885100	Symbol: ECL					
	22.000	03/01/12	07/21/14	\$2,404.02	\$1,320.16	\$0.00	\$1,083.86	\$0.00

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX9483
Account Number: 736 136465 120
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EXELON CORP		CUSIP: 30161N101	Symbol: EXC					
	55 000	05/10/12	04/23/14	\$1,995.31	\$2,146.65	\$0.00	(\$151.34)	\$0.00
	28 000	05/10/12	05/01/14	\$1,007.97	\$1,092.84	\$0.00	(\$84.87)	\$0.00
Security Subtotal	83 000			\$3,003.28	\$3,239.49	\$0.00	(\$236.21)	\$0.00
FISERV INC WISCONSIN		CUSIP: 337738108	Symbol: FISV					
	40 000	03/01/12	07/25/14	\$2,504.60	\$1,331.72	\$0.00	\$1,172.88	\$0.00
INNERWORKINGS INC		CUSIP: 45773Y105	Symbol: INWK					
	357 000	03/01/12	12/04/14	\$2,667.80	\$3,958.49	\$0.00	(\$1,290.69)	\$0.00
	83 000	09/18/13	12/04/14	\$694.97	\$888.69	\$0.00	(\$291.72)	\$0.00
Security Subtotal	450 000			\$3,362.77	\$4,845.18	\$0.00	(\$1,582.41)	\$0.00
JOHNSON & JOHNSON		CUSIP: 478180104	Symbol: JNJ					
	23 000	03/01/12	03/07/14	\$2,147.97	\$1,490.61	\$0.00	\$657.36	\$0.00
JOHNSON CONTROLS INCORPORATED		CUSIP: 478366107	Symbol: JCI					
	72 000	03/01/12	12/04/14	\$3,618.27	\$2,415.96	\$0.00	\$1,202.31	\$0.00
MAXIMUS INC		CUSIP: 577833104	Symbol: MMS					
	49 000	03/01/12	01/08/14	\$2,082.31	\$1,020.30	\$0.00	\$1,062.01	\$0.00
MEDTRONIC INC		CUSIP: 585055106	Symbol:					
	12 000	03/01/12	11/07/14	\$815.23	\$455.83	\$0.00	\$359.40	\$0.00
MICROSOFT CORP		CUSIP: 594918104	Symbol: MSFT					
	4 000	03/01/12	02/25/14	\$150.88	\$129.08	\$0.00	\$21.80	\$0.00
	13 000	03/01/12	02/25/14	\$490.34	\$419.51	\$0.00	\$70.83	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX9483
Account Number: 736 136465 120
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MICROSOFT CORP (Cont.)		CUSIP: 594918104	Symbol: MSFT					
	28.000	03/01/12	11/06/14	\$1,353.41	\$903.56	\$0.00	\$449.85	\$0.00
Security Subtotal	45.000			\$1,994.63	\$1,452.15	\$0.00	\$542.48	\$0.00
MOLSON COORS BREWING CO CL B		CUSIP: 60871R209	Symbol: TAP					
	87.000	03/01/12	05/20/14	\$5,633.65	\$3,804.87	\$0.00	\$1,828.78	\$0.00
NEOGEN CP		CUSIP: 840491108	Symbol: NEOG					
	62.000	03/01/12	12/04/14	\$2,687.49	\$1,430.79	\$0.00	\$1,256.70	\$0.00
NORTHROP GRUMMAN CP(HLDG CO)		CUSIP: 866807102	Symbol: NOC					
	31.000	03/01/12	01/06/14	\$3,540.54	\$1,866.09	\$0.00	\$1,674.45	\$0.00
	27.000	03/01/12	08/26/14	\$3,451.01	\$1,625.30	\$0.00	\$1,825.71	\$0.00
Security Subtotal	58.000			\$6,991.55	\$3,491.39	\$0.00	\$3,500.16	\$0.00
PEPSICO INC NC		CUSIP: 713448108	Symbol: PEP					
	25.000	03/01/12	12/15/14	\$2,368.33	\$1,562.96	\$0.00	\$805.37	\$0.00
PNC FINL SVCS GP		CUSIP: 693475105	Symbol: PNC					
	29.000	03/01/12	12/15/14	\$2,543.48	\$1,748.58	\$0.00	\$794.90	\$0.00
POWER INTEGRATIONS INC		CUSIP: 739276103	Symbol: POWI					
	35.000	03/01/12	07/21/14	\$1,826.03	\$1,298.57	\$0.00	\$627.46	\$0.00
PROCTER & GAMBLE		CUSIP: 742718109	Symbol: PG					
	5.000	03/01/12	02/27/14	\$387.79	\$332.53	\$0.00	\$55.26	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number XX-XXX9483
Account Number 736 136465 120
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RITCHIE BROTHERS AUCTIONEERS		CUSIP: 767744105	Symbol: RBA					
	46.000	06/12/13	12/04/14	\$1,195.64	\$954.44	\$0.00	\$241.20	\$0.00
	10.000	09/18/13	12/04/14	\$259.92	\$201.44	\$0.00	\$58.48	\$0.00
Security Subtotal	56.000			\$1,455.56	\$1,155.88	\$0.00	\$299.68	\$0.00
ROCKWOOD HLDGS INC		CUSIP: 774415103	Symbol:					
	33.000	03/01/12	11/13/14	\$2,590.90	\$1,852.31	\$0.00	\$938.59	\$0.00
	45.000	03/27/12	11/13/14	\$3,533.05	\$2,191.85	\$0.00	\$1,341.10	\$0.00
	11.000	06/01/12	11/13/14	\$883.63	\$453.42	\$0.00	\$430.21	\$0.00
Security Subtotal	89.000			\$6,987.58	\$4,297.68	\$0.00	\$2,689.90	\$0.00
ROPER IND INC		CUSIP: 776696106	Symbol: ROP					
	10.000	03/01/12	01/06/14	\$1,376.37	\$934.30	\$0.00	\$442.07	\$0.00
SIGMA ALDRICH CORP DEL		CUSIP: 826552101	Symbol: SIAL					
	40.000	03/01/12	02/21/14	\$3,733.70	\$2,862.68	\$0.00	\$871.02	\$0.00
	2.000	08/01/12	02/21/14	\$186.68	\$138.71	\$0.00	\$47.97	\$0.00
Security Subtotal	42.000			\$3,920.38	\$3,001.39	\$0.00	\$918.99	\$0.00
STERICYCLE INC		CUSIP: 858912108	Symbol: SRCL					
	9.000	03/01/12	11/06/14	\$1,143.14	\$779.13	\$0.00	\$364.01	\$0.00
STRATASYS LTD SHS		CUSIP: M85548101	Symbol: SSYS					
	19.000	03/01/12	01/08/14	\$2,533.55	\$1,387.19	\$0.00	\$1,146.36	\$0.00
SUNTRUST BKS		CUSIP: 867914103	Symbol: STI					
	50.000	03/01/12	12/15/14	\$2,006.09	\$1,151.82	\$0.00	\$854.27	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XX-XXX9483
Account Number: 736 136465 120
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TARGET CORPORATION		CUSIP: 87612E106	Symbol: TGT					
	37.000	03/01/12	11/25/14	\$2,668.11	\$2,098.19	\$0.00	\$569.92	\$0.00
ULTIMATE SOFTWARE GP INC		CUSIP: 90385D107	Symbol: ULTI					
	18.000	03/01/12	01/08/14	\$2,786.91	\$1,283.25	\$0.00	\$1,503.66	\$0.00
VERINT SYSTEMS INC		CUSIP: 92343X100	Symbol: VRNT					
	39.000	03/01/12	01/08/14	\$1,791.82	\$1,088.80	\$0.00	\$702.82	\$0.00
	62.000	03/01/12	12/04/14	\$3,647.68	\$1,730.92	\$0.00	\$1,916.76	\$0.00
Security Subtotal	101.000			\$5,439.30	\$2,819.72	\$0.00	\$2,619.58	\$0.00
WELLS FARGO & CO NEW		CUSIP: 949746101	Symbol: WFC					
	18.000	03/01/12	02/27/14	\$825.91	\$565.70	\$0.00	\$260.21	\$0.00
WHOLE FOODS MARKETS INC		CUSIP: 966837106	Symbol: WFM					
	3.000	03/01/12	11/06/14	\$132.49	\$123.27	\$0.00	\$9.22	\$0.00
Total Long Term Covered Securities				\$117,011.22	\$77,306.46	\$0.00	\$39,704.76	\$0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement
Tax Year 2014

ECOSYSTEMS TECH TRANSFER INC
7624 KENNEBEC DRIVE
CHAPEL HILL NC 27517-8597

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WASHOE CNTY NE BE 4000 20JN01	10,000.000	CUSIP: 9408583M3 05/09/12	Symbol (Box 1d): 05/14/14	\$11,158.40	\$11,071.27	\$0.00	\$87.13	\$0.00
Total Long Term Noncovered Securities				\$11,158.40	\$11,071.27	\$0.00	\$87.13	\$0.00
Total Long Term Covered and Noncovered Securities				\$128,169.62	\$88,377.73	\$0.00	\$39,791.89	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$137,565.02	\$96,279.64	\$0.00	\$41,285.38	\$0.00

Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities

Total IRS Reportable Proceeds (Box 1d)	\$137,565.02
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)	\$85,208.37
Total IRS Reportable Adjustments (Box 1g)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	15,151.	0.	15,151.	12,376.	15,151.
TAX-EXEMPT INTEREST	10,306.	0.	10,306.	10,248.	10,306.
TO PART I, LINE 4	25,457.	0.	25,457.	22,624.	25,457.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	2,640.	0.	0.	2,640.
TO FORM 990-PF, PG 1, LN 16B	2,640.	0.	0.	2,640.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	10,096.	0.	0.	10,096.
TO FORM 990-PF, PG 1, LN 16C	10,096.	0.	0.	10,096.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	1,433.	0.	0.	1,433.
TO FORM 990-PF, PG 1, LN 23	1,433.	0.	0.	1,433.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	COST	723,181.	809,315.
TOTAL TO FORM 990-PF, PART II, LINE 13		723,181.	809,315.