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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC % PAUL F MCLAUGHLIN		A Employer identification number 22-3842614	
Number and street (or P O box number if mail is not delivered to street address) 505 OCEAN AVENUE Suite		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code SEA GIRT, NJ 08750		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 549,947		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	9,187	9,187	9,187	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 ☒	55,526			
	b Gross sales price for all assets on line 6a <u>202,589</u>				
	7 Capital gain net income (from Part IV, line 2) . . .		55,526		
	8 Net short-term capital gain			1,259	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	64,713	64,713	10,446	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ☒	3,500	3,500	3,500	0
	c Other professional fees (attach schedule) ☒	8,215	8,215	8,215	
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	11,715	11,715	11,715	0
	25 Contributions, gifts, grants paid	56,501			56,501
	26 Total expenses and disbursements. Add lines 24 and 25	68,216	11,715	11,715	56,501
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,503			
	b Net investment income (if negative, enter -0-)		52,998		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	5,370	17,225	17,225
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	512,506	489,580	532,722
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	517,876	506,805	549,947	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	517,876	506,805	
	30	Total net assets or fund balances (see instructions)	517,876	506,805	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	517,876	506,805	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	517,876
2	Enter amount from Part I, line 27a	2	-3,503
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	514,373
5	Decreases not included in line 2 (itemize) ▶ _____	5	7,568
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	506,805

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	CAPITAL GAIN DIVIDENDS	P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			26,286
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	55,526
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	81,806	604,928	0 135233
2012	86,835	610,700	0 142189
2011	84,574	601,070	0 140706
2010	97,170	700,262	0 138762
2009	90,750	707,883	0 128199

2	Total of line 1, column (d).	2	0 685089
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 137018
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	0
5	Multiply line 4 by line 3.	5	0
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	530
7	Add lines 5 and 6.	7	530
8	Enter qualifying distributions from Part XII, line 4.	8	56,501

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1530	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3530	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5530	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	
b		Exempt foreign organizations—tax withheld at source		6b	
c		Tax paid with application for extension of time to file (Form 8868)		6c	550
d		Backup withholding erroneously withheld		6d	
7		Total credits and payments. Add lines 6a through 6d.		7550	
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		1020	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 0 Refunded ☐		1120	

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒		1a	Yes	No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
		If "Yes," attach the statement required by General Instruction T. ☒				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NJ				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of PAUL F MCLAUGHLIN Telephone no (908) 719-3105 Located at 505 OCEAN AVENUE SEA GIRT NJ ZIP+4 08750			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 Yes No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ALAN LANGER CPA	tax prep	0
270 MADISON AVE NEW YORK, NY 10016		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	0
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	530
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	530
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	0
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	0
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	0

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	56,501
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,501
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	530
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	55,971

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.	55,621			
b From 2010.	63,017			
c From 2011.	56,372			
d From 2012.	56,618			
e From 2013.	82,070			
f Total of lines 3a through e.	313,698			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 56,501				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				0
e Remaining amount distributed out of corpus	56,501			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	370,199			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	55,621			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	314,578			
10 Analysis of line 9				
a Excess from 2010. . . .	63,017			
b Excess from 2011. . . .	56,372			
c Excess from 2012. . . .	56,618			
d Excess from 2013. . . .	82,070			
e Excess from 2014. . . .	56,501			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL MCLAUGHLIN
505 OCEAN AVENUE
SEA GIRT, NJ 08750
(908) 719-3105

b

The form in which applications should be submitted and information and materials they should include

N/A

c

Any submission deadlines

N/A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	56,501
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2015-05-11	*****	May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name ALAN LANGER	Preparer's Signature	Date 2015-07-05	Check if self-employed ☒	PTIN P00615509
	Firm's name ☒ ALAN LANGER			Firm's EIN ☒	
	Firm's address ☒ 270 MADISON AVE SUITE 1500 NEW YORK, NY 100160601			Phone no (973) 448-4470	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL F MCLAUGHLIN	PRESIDENT/TREASURER 0	0		
505 OCEAN AVE SEA GIRT,NJ 08750				
MARY ANNE MCLAUGHLIN	VICE PRES/SECRETARY 0	0		
505 OCEAN AVENUE SEA GIRT,NJ 08750				
SCOTT C MCLAUGHLIN	ASST TREASURER 0	0		
16 TURNBERRY DRIVE HORSEHEADS,NY 14845				
BETHANY R MCLAUGHLIN	DIRECTOR 0	0		
16 TURNBERRY DRIVE HORSEHEADS,NY 14845				
LYNNE M MCLAUGHLIN	ASST SECRETARY 0	0		
29 GLOUSTER STREET APT 1-R BOSTON,MA 02115				
LAURIE A MOORE	DIRECTOR 0	0		
34 SCHOOL STREET NEWTON,MA 02485				
CHRISTOPHER H MOORE	DIRECTOR 0	0		
34 SCHOOL STREET NEWTON,MA 02485				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SKIDMORE COLLEGE 815 NORTH BROADWAY SARATOGA SPRINGS,NY 12866	NONE	PC	GENERAL / CHARITABLE	600
NEWTON WELLESLEY CHARITABLE FOUNDATION 2014 WASHINGTON STREET NEWTON,MA 02462	NONE	PC	GENERAL / CHARITABLE	3,325
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 East 70th Street NEWYORK,NY 10021	NONE	PC	GENERAL / CHARITABLE	500
SEA GIRT FIRE COMPANY BALTIMORE AND 4TH AVE SEA GIRT,NJ 08750	NONE	PC	GENERAL / CHARITABLE	500
AFS-USA INC 198 Madison Avenue 8th Floor NEWYORK,NY 10016	NONE	PC	GENERAL / CHARITABLE	500
VILLANOVA UNIVERSITY 800 LANCASTER AVE VILLANOVA,PA 19085	NONE	PC	GENERAL / CHARITABLE	3,000
ROSIE'S PLACE 889 HARRISON AVE BOSTON,MA 02118	NONE	PC	GENERAL / CHARITABLE	3,000
MANASQUAN FIRST AID SQUAD INC 65 BROAD STREET MANASQUAN,NJ 08736	NONE	PC	GENERAL / CHARITABLE	500
EASTER SEALS - NEW JERSEY 25 KENNEDY BLVD SUITE 600 EAST BRUNSWICK,NJ 08816	NONE	PC	GENERAL / CHARITABLE	1,000
OUR PROMISE TO NICHOLAS FOUNDATION 111 AMHERST STREET MANCHESTER,NH 03110	NONE	PC	GENERAL / CHARITABLE	2,000
AMERICAN CANCER SOCIETY SEA GIRT DRIVE 250 WILLIAMS STREET NW ATLANTA,GA 303031002	NONE	PC	GENERAL / CHARITABLE	250
HARVARD BUSINESS SCHOOL SOLDIERS FIELD BOSTON,MA 02163	NONE	PC	CHARITABLE	6,000
BENTLEY UNIVERSITY OFFICE OF DEVELOPMENT LEWIS HAL 175 FOREST ST WALTHAM,MA 024524705	NONE	PC	GENERAL/ CHARITABLE	1,000
ST CATHERINE'S CATHOLIC CHURCH c/o Mclaughlin 505 OCEAN AVE Sea Girt,NJ 08750	none	PC	general/ charitable	10,000
RPI 110 Eighth St Troy,NY 12180	none	PC	general/ charitable	2,500
Total  3a				56,501

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL BRAIN TUMOR FND SUITE 612 22 BATTERY STREET SAN FRANCISCO,CA 94111	none	PC	CHARITY	5,450
SEA GIRT LIBRARY 321 BALTIMORE BLVD SEA GIRT,NJ 08750	NONE	PC	CHARITY	600
WESTFORD EDUCATION FOUNDATION PO BOX 535 WESTFORD,MA 01886	NONE	PC	EDUCATION	1,276
MARANTHA BIBLE CHAPEL 774 SING SING ROAD HORSEHEADS,NY 14845	NONE	PC	charitable	10,000
GEORGIA TECH 225 NORTH AVENUE ADMIN BUILDING ATLANTA,GA 30332	NONE	PC	SUPPORT SCHOOL	1,000
ST MARKS EPISCOPAL CHURCH 9 EAST MAIN ST MENDHAM,NJ 07945	NONE	PC	CHARITY	1,500
AMERICAN CANCER SOCIETY SILTON 1701 ATLANTIC AVE MANASQUAN,NJ 08736	NONE	PC	FUND RAISER	1,500
SEA GIRT COMMUNITY APPEAL PO BOX 105 SEA GIRT,NJ 08750	NONE	PC	CHARITY	500
Total			3a	56,501

TY 2014 Accounting Fees Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP ALAN LANGER CPA	3,500	3,500	3,500	

**TY 2014 All Other Program
Related Investments Schedule**

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC

EIN: 22-3842614

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name:

THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC

EIN:

22-3842614

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SHORT TERM GAIN-OPPENHEIMER PUBLICLY TRADED	2013-11		2014-07		52,248	50,988			1,260	
LONG TERM GAIN-OPPENHEIMER PUBLICLY TRADED	2012-10		2014-07		124,055	96,075			27,980	

TY 2014 General Explanation Attachment

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Identifier	Return Reference	Explanation
Capi	Capi	

**TY 2014 Investments Corporate
Stock Schedule**

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COST VALUE OF SECURITIES		

TY 2014 Other Decreases Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Description	Amount
UNREALIZED DEPRECIATION	7,568

TY 2014 Other Expenses Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHECK BOOK FEE				

TY 2014 Other Income Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC
EIN: 22-3842614

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
UNITED STATES OIL FUND, L P (PTP)			
CURRENT YEAR DISALLOWED LOSS			

TY 2014 Other Professional Fees Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC

EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMNT	8,215	8,215	8,215	

TY 2014 Taxes Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION INC

EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX EXPENSE				
FOREIGN TAXES PAID				

Oppenheimer & Co. Inc. 85 Broad Street New York, NY 10004 Customer Service: 212-868-8000	Income Summary Statement Account A810750438 PAUL F MCLAUGHLIN & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION/AS PLACEMARK 505 OCEAN AVE SEA GIRT, NJ 08750	Statement Date: 02/26/2015 2014 FINANCIAL ADVISOR RUBINSTEIN/GELBMAN 609-734-0400 Office Code: 81 Rep Code: G9Y
PAYER'S Federal ID No: 13-5657518 RECIPIENT'S ID No: XX-XXX2514		

Summary Information	
DIVIDENDS AND DISTRIBUTIONS	MISCELLANEOUS INCOME
1a- Total ordinary dividends (includes line 1b) 1b- Qualified dividends 2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 3- Nondividend distributions 4- Federal income tax withheld 5- Investment expenses 7- Foreign country or US possession 8- Cash liquidation distributions 9- Noncash liquidation distributions 10- Exempt-interest dividends (includes line 11) 11- Specified private activity bond interest dividends (AMT)	2- Royalties 3- Other income 4- Federal income tax withheld 8- Substitute payments in lieu of dividends or interest REGULATED FUTURES CONTRACTS 8- Regulator (loss) realized in 2014 on closed contracts 9- Unrealized profit or (loss) on open contracts-12/31/2013 10- Unrealized profit or (loss) on open contracts-12/31/2014 11- Aggregate profit or (loss) on contracts <i>If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document.</i>
Not reported to the IRS	Not reported to the IRS

* This statement displays activity for this account which has NOT been reported to the IRS. Any transactions that are being furnished to the IRS are provided on Forms 1099, either packaged with this statement or sent separately. Because the formatting is similar to Forms 1099, we have provided the related instructions with this document.

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the Proceeds not reported to the IRS pages to ensure that you consider all relevant gains to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss
Short	C (Form 1099-B not received)	32,247.60	50,988.37	0.00	0.00	1,259.21
Long	F (Form 1099-B not received)	124,054.89	96,074.54	0.00	0.00	27,980.31
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Grand total		176,332.49	147,062.91	0.00	0.00	29,239.52
Withholding from Proceeds						
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2014 may require an amended tax form.

Oppenheimer & Co. Inc.

Account: AB10750436

Summary Information

(continued)

INTEREST INCOME

	Not reported to the IRS*
1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
6- Foreign tax paid:	0.00
7- Foreign country or US possession:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (total for covered lots, categorized below)	0.00
Non Treasury obligations	0.00
Treasury obligations	0.00
Tax-exempt obligations	0.00
Tax-exempt private activity obligations (AMT)	0.00
12- Tax-exempt bond CUSIP number (see instructions)	0.00
The following amounts of tax-exempt original issue discount are not reported to the IRS.	
Tax-exempt original issue discount (includes the line below)	0.00
Tax-exempt original issue discount private activity bonds (AMT)	0.00

ORIGINAL ISSUE DISCOUNT INCOME SUMMARY

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s). The amounts shown in this section are presented for your reference when preparing your tax return(s).

Original issue discount for 2014	0.00
Other periodic interest	0.00
Early withdrawal penalty	0.00
Federal income tax withheld	0.00
Market discount (covered lots)	0.00
Acquisition premium (total for covered lots, categorized below)	0.00
Non Treasury obligations	0.00
Treasury obligations	0.00
Original issue discount on Treasury obligations	0.00
Investment expenses	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your tax return(s). The amounts shown in this section are presented for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ADJUSTMENTS TO INTEREST AND ORIGINAL ISSUE DISCOUNT

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Acquisition premium- Non Treasury obligations (noncovered lots)	0.00
Acquisition premium- Treasury obligations (noncovered lots)	0.00
Acquisition premium- Tax-exempt obligations (all lots)	0.00
Acquisition premium- Tax-exempt obligations (AMT, all lots)	0.00
Market discount (noncovered lots)	0.00

NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Other receipts- Partnership distributions	0.00
Other receipts- Foreign tax paid on partnership distributions	0.00
Other receipts- Return of principal distributions	0.00
Other receipts- Deferred income payment	0.00
Expenses- Margin interest	0.00
Expenses- Dividends paid on short position	0.00
Expenses- Interest paid on short position	0.00
Expenses- Non reportable distribution expense	0.00
Expenses- Other expenses	0.00
Expenses- Severance tax	0.00
Fees and payments received- Deemed premium	0.00
Fees and payments received- Organizational expense	0.00
Fees and payments received- Income accrual- UIT	0.00
Fees and payments received- Miscellaneous fees	8,215.24
Fees and payments received- Basis adjustments	0.00
Fees and payments received- Tax-exempt investment expense	0.00
Fees and payments received- Foreign tax in excess of treaty	0.00
Income and adjustments- Foreign currency gain/loss	0.00

* This statement displays activity for this account which has NOT been reported to the IRS. Any transactions that are being furnished to the IRS are provided on Forms 1099, either packaged with this statement or sent separately. Because the formatting is similar to Forms 1099, we have provided the related instructions with this document.

Oppenheimer & Co. Inc. Account A8-10759438

2014

Proceeds Not Reported to the IRS

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part 1, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional information
THE ADT CORPORATION / CUSIP: 001011106 / Symbol: ADT								
01/17/14	2,000		79.71	11/22/13	88.42	..	-8.71	Sale
07/01/14	2,000		68.19	11/22/13	88.42	..	-19.23	Sale
Security total:			148.90		176.84		-27.94	
ALLSTATE CORP / CUSIP: 028002101 / Symbol: ALL								
01/17/14	2,000		106.07	06/07/13	94.43	..	11.64	Sale
AMERICAN AIRLIS GROUP INC / CUSIP: 02376R402 / Symbol: AAL								
10/14/14	9,000		284.07	07/18/14	385.11	..	-101.04	Sale
10/15/14	9,000		280.25	08/01/14	353.07	..	-72.82	Sale
Security total:			564.32		738.18		-173.86	
AMERICAN INTL GROUP INC COM NEW / CUSIP: 026974784 / Symbol: AIG								
01/17/14	2,000		102.25	01/23/13	72.80	..	29.45	Sale
03/14/14	9,000		436.94	08/24/13	382.59	..	54.35	Sale
Security total:			539.19		455.39		83.80	
ATWOOD OCEANICS INC / CUSIP: 050095108 / Symbol: ATW								
07/01/14	1,000		52.63	04/28/14	48.90	..	3.73	Sale
12/30/14	20,000		581.06	04/28/14	977.95	..	-396.89	Sale
Security total:			633.69		1,026.85		-393.16	
BABCOCK & WILCOX CO NEW / CUSIP: 05515F102 / Symbol: BWC								
01/17/14	9,000		313.55	08/29/13	279.17	..	34.38	Sale
07/01/14	2,000		65.01	08/29/13	62.04	..	2.97	Sale
Security total:			378.56		341.21		37.35	
BAXTER INTL INC / CUSIP: 071813108 / Symbol: BAX								
07/01/14	1,000		73.58	11/27/13	68.34	..	5.25	Sale
BIOGEN IDEC INC / CUSIP: 08062X103 / Symbol: BIIB								
07/01/14	1,000		325.09	12/26/13	282.18	..	42.91	Sale
11/19/14	1,000		303.15	12/26/13	282.18	..	20.97	Sale
Security total:			628.24		564.36		63.88	

Oppenheimer & Co. Inc.

Account A810750438

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BRISTOL MYERS SQUIBB CO / CUSIP: 110122108 / Symbol: BMY								
01/17/14	1,000	54.61	54.61	10/29/13	53.35	...	1.26	Sale
3 tax lots for 06/06/14.								
	3,000	141.23	141.23	10/29/13	160.05	...	-18.76	Sale
	4,000	198.33	198.33	10/29/13	213.40	...	-25.07	Sale
	3,000	141.30	141.30	11/15/13	157.11	...	-15.81	Sale
	10,000	470.93	470.93	VARIOUS	530.56	...	-59.58	Total of 3 lots
Security total:								
			525.59		583.91	...	-58.32	
CME GROUP INC / CUSIP: 125720105 / Symbol: CME								
01/15/14	8,000	604.01	604.01	06/26/13	609.85	...	-5.85	Sale
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS								
01/17/14	1,000	67.97	67.97	12/17/13	67.02	...	0.95	Sale
05/15/14	6,000	451.59	451.59	12/17/13	402.11	...	49.58	Sale
06/20/14	2,000	153.79	153.79	12/17/13	134.04	...	19.75	Sale
07/04/14	1,000	76.16	76.16	12/17/13	57.02	...	9.14	Sale
Security total:								
			749.61		670.19	...	79.42	
CANADIAN PAC RY LTD / CUSIP: 13645T100 / Symbol: CP								
01/17/14	1,000	149.24	149.24	04/10/13	122.11	...	27.13	Sale
CAPITAL ONE FINL CORP / CUSIP: 14040H105 / Symbol: COF								
07/01/14	1,000	83.07	83.07	06/25/14	82.46	...	0.61	Sale
2 tax lots for 10/20/14.								
	4,000	305.60	305.60	06/25/14	328.84	...	-24.04	Sale
	5,000	332.25	332.25	07/17/14	414.70	...	-32.45	Sale
	9,000	688.05	688.05	VARIOUS	744.54	...	-56.49	Total of 2 lots
Security total:								
			771.12		827.00	...	-55.88	
CELGENE CORP / CUSIP: 151020104 / Symbol: CELG								
01/17/14	3,000	502.79	502.79	02/12/13	297.05	...	205.74	Sale
2 tax lots for 01/28/14.								
	1,000	159.53	159.53	02/12/13	99.02	...	60.51	Sale

Oppenheimer & Co. Inc.	Account: AB10750438
2014	

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional Information
CELGENE CORP / CUSIP: 151020104 / Symbol: CELG (cont'd)								
01/28/14	1,000		159.53	02/21/13	98.79	-	60.74	Sale
	2,000		319.06	VARIOUS	197.81	-	121.25	Total of 2 lots
08/15/14	1,000		160.71	04/29/14	147.70	-	13.01	Sale
06/24/14	1,000		171.51	05/01/14	148.80	-	22.71	Sale
07/17/14	1,000		83.88	06/13/14	79.98	-	3.89	Sale
Security total:			1,237.95		871.34	-	366.60	
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO								
01/17/14	7,000		159.03	02/13/13	146.97	-	12.06	Sale
CITIGROUP INC COM NEW / CUSIP: 172967424 / Symbol: C								
06/28/14	1,000		47.14	09/20/13	51.32	-	-4.18	Sale
CLEAN HARBORS INC / CUSIP: 184496107 / Symbol: CLH								
07/01/14	1,000		64.47	03/28/14	55.18	-	9.29	Sale
CONSTELLATION BRANDS INC CL A / CUSIP: 21036P108 / Symbol: STZ								
12/22/14	4,000		386.11	08/27/14	348.85	-	37.26	Sale
CORRECTIONS CORP AMER NEW COM NEW / CUSIP: 22025Y407 / Symbol: CXW								
01/17/14	2,000		68.27	08/12/13	68.27	-	0.00	Sale
07/01/14	2,000		66.45	08/12/13	68.28	-	-1.83	Sale
Security total:			134.72		136.55	-	-1.83	
CROWN CASTLE INTL CORP NEW REIT / CUSIP: 22822V101 / Symbol: CCL								
12/19/14	4,000		308.53	10/22/14	334.52	-	-25.99	Sale
CUMMINS INC / CUSIP: 231021106 / Symbol: CMI								
07/01/14	1,000		154.17	05/07/14	149.07	-	5.10	Sale
3 tax lots for 08/22/14.								
	1,000		145.19	05/07/14	149.07	-	-3.88	Sale
	1,000		145.20	05/07/14	149.07	-	-3.87	Sale
	2,000		290.40	05/13/14	308.03	-	-17.63	Sale
08/22/14	4,000		580.79	VARIOUS	606.17	-	-25.38	Total of 3 lots
Security total:			734.96		755.24	-	-20.28	

Oppenheimer & Co. Inc.	Account A810750438
2014	Proceeds Not Reported to the IRS
(continued)	

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B				
Description of property		Report on Form 8949, Part I, with Box C checked				
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Gain or loss(-) & 7- Losses not allowed(X) Additional information
DELTA AIR LINES INC DEL COM NEW / CUSIP: 247381702 / Symbol: DAL						
04/02/14	4,000	142.59		03/28/14	136.56	6.03 Sale
07/01/14	3,000	120.41		03/28/14	102.42	17.99 Sale
5 tax lots for 07/09/14						
	5,000	184.54		03/28/14	170.70	13.84 Sale
	2,000	73.82		03/27/14	67.84	5.98 Sale
	3,000	110.73		03/27/14	101.76	8.97 Sale
	8,000	295.27		03/27/14	271.36	23.91 Sale
	10,000	369.08		04/07/14	340.20	28.88 Sale
07/09/14	28,000	1,033.44		VARIOUS	951.88	81.53 Total of 5 lots
3 tax lots for 10/15/14						
	4,000	128.41		04/07/14	136.08	-7.67 Sale
	3,000	96.31		04/29/14	109.80	-13.49 Sale
	13,000	477.34		04/29/14	475.80	-1.54 Sale
10/15/14	20,003	842.06		VARIOUS	721.63	-120.43 Total of 3 lots
	Security total:	1,938.50			1,912.52	25.98
DICKS SPORTING GOODS INC / CUSIP: 253393102 / Symbol: DKS						
07/01/14	1,000	46.89		05/22/14	43.43	3.46 Sale
EOG RES INC / CUSIP: 26875P101 / Symbol: EOG						
12/01/14	7,000	606.18		12/11/14	696.62	-84.44 Sale
ENERGY CORP NEW / CUSIP: 29364G103 / Symbol: ETR						
05/13/14	1,000	73.04		08/11/13	62.74	10.30 Sale
06/18/14	5,000	399.24		09/11/13	313.70	85.54 Sale
07/01/14	1,000	131.57		09/11/13	62.74	18.63 Sale
	Security total:	1,253.65			439.18	114.47
EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD						
01/17/14	2,000	87.73		05/08/13	78.03	9.67 Sale
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB						
01/17/14	1,000	56.50		01/08/14	56.02	-1.52 Sale

Oppenheimer & Co. Inc.	Account AB10750438
2014	

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (1) & 7. Loss not allowed (2)	Additional Information
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB (cont'd)								
3 tax lots for 04/07/14.								
	3,000		171.12	01/08/14	174.06		-2.94	Sale
	3,000		171.13	01/08/14	174.06		-2.94	Sale
	3,000		171.13	01/22/14	172.23		-1.10	Sale
04/07/14	9,000		513.38	VARIOUS	520.35		-6.98	Total of 3 lots
04/08/14	3,000		173.86	01/22/14	172.23		1.65	Sale
07/01/14	1,000		58.21	01/22/14	57.41		10.80	Sale
	Security total:		811.97		808.01		3.95	
FREEPORT-MCMORAN INC CL B / CUSIP: 35871D857 / Symbol: FCX								
01/17/14	8,000		218.27	10/11/13	200.94		17.33	Sale
07/01/14	3,000		110.99	10/11/13	103.47		10.52	Sale
2 tax lots for 09/10/14.								
	8,000		310.40	10/11/13	301.41		8.99	Sale
	12,000		413.87	10/11/13	401.89		11.98	Sale
09/10/14	21,000		724.27	VARIOUS	703.30		20.97	Total of 2 lots
	Security total:		1,053.53		1,004.71		48.82	
GENERAL MTRS CO / CUSIP: 37045V100 / Symbol: GM								
07/01/14	2,000		74.51	07/15/13	72.43		2.18	Sale
2 tax lots for 08/15/14.								
	2,000		67.69	09/05/13	72.78		-5.09	Sale
	7,000		236.94	09/05/13	254.73		-17.79	Sale
08/15/14	9,000		304.63	VARIOUS	327.51		-22.88	Total of 2 lots
	Security total:		379.24		399.94		-20.70	
GOLDMAN SACHS GROUP INC / CUSIP: 38141G104 / Symbol: GS								
05/07/14	2,000		311.59	01/08/14	355.64		-44.05	Sale
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
08/18/14	1,000		589.22	07/02/14	590.24		-1.02	Sale
08/26/14	1,000		589.10	07/25/14	596.62		-7.52	Sale
10/02/14	1,000		581.21	08/01/14	572.95		8.26	Sale

Oppenheimer & Co. Inc.

Account A810750438

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or acquired	Quantity	Shown (Gross/Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7- Loss not allowed(X)	Additional information
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL (cont'd)								
10/13/14	1,000	554.45		09/25/14	586.00		-31.55	Sale
10/15/14	1,000	535.26		09/30/14	586.77		-51.51	Sale
10/17/14	1,000	520.40		10/09/14	570.73		-50.33	Sale
10/22/14	1,000	545.61		10/14/14	550.67		-5.06	Sale
10/22/14	1,000	548.84		10/16/14	537.68		11.16	Sale
11/03/14	1,000	562.67		10/20/14	531.56		31.11	Sale
11/06/14	1,000	552.57		10/24/14	546.04		4.53	Sale
11/14/14	1,000	554.91		10/30/14	550.24		-5.33	Sale
11/25/14	1,000	547.92		11/05/14	556.43		-8.51	Sale
12/04/14	1,000	541.67		11/11/14	559.85		-18.18	Sale
12/10/14	1,000	528.90		11/21/14	547.61		-18.41	Sale
12/15/14	1,000	516.62		12/01/14	539.59		-22.97	Sale
12/19/14	1,000	518.17		12/08/14	527.99		-9.82	Sale
Security total:		8,787.52			8,962.67		-175.15	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG								
06/25/14	1,000	576.08		05/01/14	529.25		46.83	Sale
10/10/14	1,000	545.78		06/24/14	567.90		-22.12	Sale
Security total:		1,121.86			1,097.15		24.71	
HEWLETT PACKARD CO / CUSIP: 428236103 / Symbol: HPQ								
07/01/14	1,000	34.18		05/07/14	32.23		1.95	Sale
HOLOGIC INC / CUSIP: 436440101 / Symbol: HOLX								
07/17/14	3,000	68.00		07/15/13	59.50		8.50	Sale
07/01/14	2,000	51.55		07/15/13	39.57		11.88	Sale
Security total:		119.55			99.17		20.38	
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON								
07/17/14	1,000	89.84		10/09/13	82.17		7.67	Sale
2 tax lots for 07/01/14.								
1,000		84.40		10/09/13	82.17		12.23	Sale
4,000		377.63		10/09/13	328.67		48.96	Sale
5,000		472.03		VARIOUS	410.84		61.19	Total of 2 lots

Oppenheimer & Co. Inc.	Account A810750438
2014	Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS

Report on Form 990, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Losses not allowed(X)	Additional information
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON (cont'd)								
3 tax lots for 09/23/14.								
	3,000	280.28	280.28	10/08/13	246.50		33.78	Sale
	4,000	373.71	373.71	11/15/13	353.68		20.03	Sale
	1,000	93.43	93.43	12/26/13	91.16		2.27	Sale
09/23/14	8,000	747.42	747.42	VARIOUS	691.34		56.08	Total of 3 lots
2 tax lots for 10/15/14.								
	1,000	84.37	84.37	12/28/13	91.16		-6.79	Sale
	3,000	253.10	253.10	01/28/14	270.65		-17.55	Sale
10/15/14	4,000	337.47	337.47	VARIOUS	361.61		-24.34	Total of 2 lots
2 tax lots for 10/16/14.								
	3,000	259.74	259.74	01/28/14	270.65		-10.91	Sale
	1,000	86.58	86.58	02/05/14	89.79		-3.21	Sale
10/16/14	4,000	346.32	346.32	VARIOUS	360.44		-14.12	Total of 2 lots
		Security total:	1,993.08		1,905.60		86.48	
INGREDION INC / CUSIP: 457187102 / Symbol: INGR								
01/17/14	1,000	68.13	68.13	01/14/14	68.37		-0.24	Sale
INTEL CORP / CUSIP: 458140100 / Symbol: INTC								
2 tax lots for 01/17/14.								
	16,000	460.33	460.33	05/17/13	433.31		27.01	Sale
	61,000	1,560.00	1,560.00	05/17/13	1,458.46		91.54	Sale
01/17/14	79,000	2,020.63	2,020.63	VARIOUS	1,901.77		118.86	Total of 2 lots
INVESTORS BANCORP INC NEW / CUSIP: 45146L101 / Symbol: ISBC								
05/14/14	0,000	4.71	4.71	05/14/14	0.00		4.71	Cash in lieu
07/01/14	3,000	33.47	33.47	02/03/14	29.53		3.94	Sale
	Security total:	38.18	38.18		29.53		8.65	
JONES LANG LASALLE INC / CUSIP: 48020Q107 / Symbol: JLL								
01/16/14	4,000	420.07	420.07	09/12/13	344.72		75.35	Sale
01/17/14	2,000	209.23	209.23	09/12/13	172.36		36.87	Sale

Oppenheimer & Co. Inc.	Account A810750438
2014	

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional information
JONES LANG LASALLE INC / CUSIP: 49620Q107 / Symbol: JLL (cont'd)								
2 tax lots for 08/20/14.								
	1,000	135.04	135.04	09/12/13	86.18		48.86	Sale
	2,000	270.07	270.07	09/27/13	176.18		93.89	Sale
08/20/14	3,000	405.11	405.11	VARIOUS	262.36		142.75	Total of 2 lots
	Security total:	1,034.41	1,034.41		779.44		254.97	
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY								
01/17/14	2,000	112.75	112.75	12/31/13	116.87		-4.12	Sale
07/01/14	1,000	61.53	61.53	12/31/13	58.44		3.09	Sale
	Security total:	174.28	174.28		175.31		-1.03	
KLA-TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC								
01/17/14	2,000	125.23	125.23	03/22/13	103.45		21.78	Sale
LAS VEGAS SANDS CORP / CUSIP: 517834107 / Symbol: LVS								
3 tax lots for 08/26/14.								
	2,000	136.16	136.16	08/29/13	112.62		23.54	Sale
	3,000	204.23	204.23	08/29/13	168.92		35.31	Sale
	3,000	204.23	204.23	10/23/13	211.90		-7.67	Sale
08/26/14	8,000	544.82	544.82	VARIOUS	493.44		51.38	Total of 3 lots
LINKEDIN CORP COM CL A / CUSIP: 53578A108 / Symbol: LNKD								
01/17/14	1,000	221.36	221.36	11/19/13	222.17		-0.81	Sale
02/05/14	1,000	213.85	213.85	12/23/13	219.59		-5.94	Sale
02/07/14	1,000	209.48	209.48	01/22/14	221.32		-11.84	Sale
	Security total:	644.69	644.69		663.08		-18.59	
LOWES COS INC / CUSIP: 548661107 / Symbol: LOW								
04/08/14	10,000	465.89	465.89	05/09/13	417.70		48.19	Sale
MACYS INC / CUSIP: 55516P104 / Symbol: M								
07/01/14	2,000	117.25	117.25	03/25/14	115.88		1.37	Sale

Oppenheimer & Co. Inc. Account AB10750438

2014

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS									
NOT reported on Form 1099-B									
Report on Form 8949, Part I, with Box C checked									
Description of property									
Date sold or disposed	Quantity	Shown (S)	Proccds (P)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
MARKET CORP / CUSIP: 570535104 / Symbol: MKL									
01/27/14	1,000		545.56	11/07/13	533.93	-	11.63	Sale	
01/27/14	1,000		540.38	01/21/14	565.55	-	-25.17	Sale	
02/05/14	1,000		535.73	01/24/14	551.58	-	-15.85	Sale	
02/07/14	1,000		538.98	01/28/14	544.99	-	-6.01	Sale	
04/23/14	1,000		617.83	02/03/14	528.86	-	88.97	Sale	
04/29/14	1,000		616.67	02/06/14	536.27	-	80.40	Sale	
05/02/14	1,000		635.84	02/12/14	552.18	-	83.66	Sale	
05/09/14	1,000		634.41	04/28/14	616.84	-	17.57	Sale	
11/05/14	1,000		687.99	04/30/14	628.95	-	57.04	Sale	
	Security total:		5,353.39		5,051.15	-	302.24		
MERCK & CO INC NEW / CUSIP: 58533Y105 / Symbol: MRK									
07/01/14	1,000		56.59	05/12/14	55.27	-	3.32	Sale	
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT									
06/13/14	11,000		453.73	01/28/14	397.76	-	55.97	Sale	
07/01/14	1,000		41.90	01/29/14	36.74	-	5.16	Sale	
	Security total:		495.63		434.50	-	61.13		
MICRON TECHNOLOGY INC / CUSIP: 595112103 / Symbol: MU									
07/01/14	1,000		34.11	06/03/14	31.17	-	2.94	Sale	
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA									
06/01/14	4,000		279.35	08/12/13	267.50	-	21.85	Sale	
MORGAN STANLEY COM NEW / CUSIP: 617448448 / Symbol: MS									
07/01/14	4,000		129.28	04/29/14	122.00	-	7.23	Sale	
NEWS CORP NEW CL A / CUSIP: 65249B109 / Symbol: NWSA									
02/05/14	28,000		439.33	08/07/13	445.20	-	-5.87	Sale	
02/08/14	2,000		32.07	08/07/13	31.80	-	0.27	Sale	
	Security total:		471.40		477.00	-	-5.60		
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC									
02/12/14	4,000		288.53	07/30/13	254.59	-	34.94	Sale	

Oppenheimer & Co. Inc.

Account A810750438

Proceeds Not Reported to the IRS

2014

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part 1, with Box C checked
Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: POCO								
1/22/14	11,000		521.83	01/21/14	453.58		68.25	Sale
PETSMART INC / CUSIP: 716768106 / Symbol: PETM								
07/01/14	1,000		60.43	05/13/14	66.28		-5.85	Sale
12/10/14	7,000		544.23	05/13/14	463.96		80.27	Sale
	Security total:		604.66		530.24		74.42	
PUBLIC SVC ENTERPRISE GROUP / CUSIP: 744573106 / Symbol: PEG								
01/17/14	2,000		63.47	12/13/13	63.79		-0.32	Sale
07/01/14	1,000		40.33	12/13/13	31.89		8.44	Sale
11/05/14	7,000		283.71	12/13/13	223.25		70.46	Sale
	Security total:		387.51		316.93		78.58	
RED HAT INC / CUSIP: 75657402 / Symbol: RHT								
	3 tax lots for 04/02/14.							
	1,000		53.17	02/26/14	59.67		-6.50	Sale
	6,000		319.01	02/26/14	358.02		-39.01	Sale
	6,000		319.01	03/03/14	350.42		-31.41	Sale
	13,000		691.19	VARIOUS	768.11		-76.92	Total of 3 lots
REGENERON PHARMACEUTICALS / CUSIP: 75898F107 / Symbol: REGN								
01/28/14	1,000		278.55	12/17/13	263.23		15.32	Sale
ROCHE HLDG LTD SPONSORED ADR / CUSIP: 771195104 / Symbol: RHHBY								
07/01/14	3,000		112.11	03/24/14	111.15		0.96	Sale
	2 tax lots for 12/22/14.							
	7,000		240.58	03/24/14	259.35		-16.67	Sale
	11,000		381.36	04/02/14	408.53		-27.17	Sale
	18,000		524.04	VARIOUS	667.88		-43.84	Total of 2 lots
	Security total:		736.15		779.03		-42.88	
ROYAL DUTCH SHELL PLC SPONS ADR A / CUSIP: 780259206 / Symbol: RDSA								
01/17/14	1,000		70.97	08/02/13	64.37		6.60	Sale

Openheimer & Co., Inc. Account A810750438

2014 **Proceeds Not Reported to the IRS** (continued)

SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Losses not allowed(X)	Additional information	
RYMAN HOSPITALITY PPTYS INC REIT / CUSIP: 7837771107 / Symbol: RHP									
01/17/14	2.000		55.99	01/23/13	80.52	...	5.47	Sale	
SALESFORCE COM INC / CUSIP: 784861302 / Symbol: CRM									
01/17/14	1.000		59.81	10/11/13	51.04	...	8.77	Sale	
01/31/14	3.000		183.11	10/11/13	153.12	...	29.99	Sale	
3 tax lots for 03/14/14.									
	1.000		55.34	10/11/13	51.04	...	7.30	Sale	
	2.000		175.69	10/11/13	102.08	...	14.61	Sale	
	4.000		233.38	01/22/14	245.24	...	-11.86	Sale	
03/14/14	7.000		408.41	VARIOUS	398.36	...	10.05	Total of 3 lots	
03/28/14	3.000		167.27	01/22/14	163.93	...	-16.66	Sale	
3 tax lots for 04/02/14.									
	2.000		175.81	01/22/14	172.62	...	-6.80	Sale	
	1.000		57.91	02/06/14	61.54	...	-3.63	Sale	
	6.000		347.45	02/06/14	369.24	...	-21.79	Sale	
04/02/14	9.000		521.17	VARIOUS	553.40	...	-32.22	Total of 3 lots	
Security total:			1,339.77		1,339.85	...	-0.07		
SANOFI SPONSORED ADR / CUSIP: 80405N105 / Symbol: SNY									
01/17/14	6.000		308.01	03/25/13	300.65	...	5.36	Sale	
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB									
12/01/14	2.000		170.13	03/05/14	183.46	...	-13.33	Sale	
STARWOOD HOTELS&RESORTS WRLDWD / CUSIP: 85550A401 / Symbol: HOT									
01/17/14	2.000		158.17	11/19/13	148.28	...	10.19	Sale	
2 tax lots for 10/30/14.									
	3.000		231.51	11/19/13	222.42	...	9.09	Sale	
	1.000		77.17	12/23/13	78.63	...	-1.52	Sale	
10/30/14	4.000		308.68	VARIOUS	301.11	...	7.57	Total of 2 lots	
10/31/14	3.000		229.92	12/23/13	238.07	...	-8.15	Sale	

Oppenheimer & Co., Inc.	Account A810750438
2014	(continued)

SHORT-TERM TRANSACTIONS										NOT reported on Form 1099-B									
Report on Form 8949, Part I, with Box C checked																			
Description of property																			
Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information											
STARWOOD HOTELS&RESORTS WRLDWD / CUSIP: 85590A401 / Symbol: HOT (cont'd)																			
2 tax lots for 11/03/14.																			
	1,000	76.59	76.59	12/23/13	76.09		-2.09	Sale											
	3,000	229.79	229.79	12/26/13	237.81		-8.03	Sale											
11/03/14	4,000	306.38	306.38	VARIOUS	316.50		-10.12	Total of 2 lots											
Security total:		1,008.45	1,008.45		1,001.96		1.49												
TRW AUTOMOTIVE HLDGS CORP / CUSIP: 87264S106 / Symbol: TRW																			
10/13/14	6,000	608.22	608.22	02/14/14	476.95		131.27	Sale											
10/16/14	8,000	800.25	800.25	02/14/14	635.94		164.32	Sale											
Security total:		1,408.43	1,408.43		1,112.89		295.59												
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP: 874039100 / Symbol: TSM																			
07/01/14	5,000	108.69	108.69	01/21/14	88.52		21.17	Sale											
TEXAS INSTRS INC / CUSIP: 882505104 / Symbol: TXN																			
2 tax lots for 05/15/14																			
	7,000	314.92	314.92	08/19/13	271.60		43.32	Sale											
	9,000	404.91	404.91	09/11/13	361.53		43.38	Sale											
05/15/14	16,000	719.83	719.83	VARIOUS	633.13		86.70	Total of 2 lots											
VALEANT PHARMACEUTICALS INTL / CUSIP: 91911K102 / Symbol: VRX																			
07/01/14	1,000	126.01	126.01	03/07/14	140.90		-14.89	Sale											
08/01/14	2,000	236.42	236.42	03/07/14	281.81		-45.39	Sale											
2 tax lots for 08/04/14.																			
	2,000	234.27	234.27	04/23/14	266.27		-31.00	Sale											
	2,000	234.27	234.27	04/30/14	266.40		-32.13	Sale											
08/04/14	4,000	468.54	468.54	VARIOUS	531.67		-63.13	Total of 2 lots											
Security total:		830.97	830.97		954.38		-123.41												
VALASONT INDS INC / CUSIP: 820253101 / Symbol: VMI																			
01/17/14	1,000	151.21	151.21	10/23/13	138.35		12.87	Sale											

Oppenheimer & Co. Inc.	Account A810750438
2014	
Proceeds Not Reported to the IRS (continued)	

SHORT-TERM TRANSACTIONS
Report on Form 999, Part I, with Box C checked
Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Z - Loss not allowed(X)	Additional information
VECTRUS INC / CUSIP: 92242T101 / Symbol: VEC							
09/29/14	0.000	1.17	09/29/14	0.00	-	1.17	Cash in lieu
10/08/14	1.000	20.70	05/07/14	23.55	-	-2.95	Sale
10/10/14	1.000	20.65	07/09/14	14.74	-	5.91	Sale
10/13/14	1.000	20.76	07/09/14	14.74	-	6.02	Sale
	Security total	53.28		53.13	-	10.14	
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ							
3 tax lots for 01/08/14							
	7.000	338.86	10/23/13	356.80	-	-17.94	Sale
	8.000	387.27	10/23/13	407.78	-	-20.51	Sale
	7.000	338.86	10/24/13	353.95	-	-14.29	Sale
01/08/14	22.000	1,054.99	VARIOUS	1,173.73	-	-52.74	Total of 3 lots
VERITY CORP / CUSIP: 923454102 / Symbol: VRTV							
07/02/14	0.000	33.98	07/02/14	0.00	-	33.98	Cash in lieu
WHIRLPOOL CORP / CUSIP: 963320106 / Symbol: WHR							
11/17/14	2.000	351.70	08/29/14	304.76	-	46.94	Sale
WPX ENERGY INC / CUSIP: 982128103 / Symbol: WPX							
01/17/14	5.000	93.30	03/27/13	79.96	-	13.34	Sale
YAHOO INC / CUSIP: 964332106 / Symbol: YHOO							
01/17/14	2.000	79.85	11/26/13	73.32	-	6.53	Sale
3 tax lots for 07/17/14							
	2.000	66.27	11/26/13	73.32	-	-7.05	Sale
	7.000	231.06	11/26/13	256.62	-	-24.66	Sale
	9.000	298.24	12/06/13	349.66	-	-51.41	Sale
07/17/14	18.000	596.47	VARIOUS	679.58	-	-83.12	Total of 3 lots
	Security total	876.32		752.91	-	-76.59	
NOBLE CORP PLC SHS USD / CUSIP: G85431101 / Symbol: NE							
04/23/14	10.000	307.61	11/12/13	395.60	-	-87.99	Sale

Openheimer & Co. Inc. Account A810750438

2014 Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS									
Report on Form 8949, Part I, with Box C checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information	
ACE LTD / CUSIP: H0023R105 / Symbol: ACE									
03/07/14	1.000		97.76	08/14/13	90.44	..	7.32	Sale	
03/27/14	6.000		585.94	08/14/13	542.64	..	43.30	Sale	
Security total:			683.70		633.08		50.62		
TE CONNECTIVITY LTD REG SHS / CUSIP: H84989104 / Symbol: TEL									
01/17/14	3.000		168.05	08/23/13	150.04	..	18.01	Sale	
TRANSOCEAN LTD REG SHS / CUSIP: H8817H100 / Symbol: RIG									
01/17/14	4.000		187.59	05/20/13	215.10	..	-27.51	Sale	
AVAGO TECHNOLOGIES LTD / CUSIP: Y0488S104 / Symbol: AVGO									
01/17/14	1.000		96.14	02/12/13	35.74	..	21.00	Sale	
Totals:			52,247.60		51,988.37		1,259.21		

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information	
ASTON/MONTAG & CLDNL GRTH-N OPEN END / CUSIP: 00078H299 / Symbol: MONTGFX									
01/17/14	233.852		6,568.90	03/22/11	5,722.36	..	846.54	Sale	
07/01/14	124.797		3,659.05	03/22/11	3,053.78	..	605.27	Sale	
Security total:			10,227.95		8,776.14		1,451.81		
AFLAC INC / CUSIP: 001055102 / Symbol: AFL									
01/17/14	3.000		1,195.17	10/28/11	140.44	..	54.73	Sale	
07/01/14	1.000		62.70	10/28/11	46.81	..	15.89	Sale	
Security total:			257.87		187.25		70.62		
AGL RES INC / CUSIP: 001204106 / Symbol: GAS									
01/17/14	2.000		93.91	03/22/11	78.70	..	15.21	Sale	
07/01/14	1.000		54.98	03/22/11	39.35	..	15.64	Sale	
Security total:			148.90		118.05		30.85		

Oppenheimer & Co. Inc.	Account A810750438
2014	
Proceeds Not Reported to the IRS (continued)	

LONG-TERM TRANSACTIONS Report on Form 9949, Part II, with Box F checked									
NOT reported on Form 1699-B									
Description of property	Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7. Loss not allowed(%)	Additional information
AT&T INC / CUSIP: 00206R102 / Symbol: T									
01/17/14	01/17/14	10,000	338.00	177.44	03/22/11	281.50	-	56.50	Sale
07/07/14	07/07/14	5,000	515.44	515.44	03/22/11	140.75	-	36.69	Sale
	Security total:					422.25	-	93.19	
ALLISON TRANSMISSION HLDGS INC / CUSIP: 01973R101 / Symbol: ALSN									
02/12/14	02/12/14	10,000	296.51	296.51	02/06/13	231.04	-	65.47	Sale
05/29/14	05/29/14	10,000	310.29	310.29	02/06/13	231.04	-	79.25	Sale
11/13/14	11/13/14	8,000	265.79	265.79	02/06/13	184.83	-	80.96	Sale
	3 tax lots for 12/10/14.						-		
	1,000	1,000	32.56	32.56	02/06/13	23.10	-	9.45	Sale
	11,000	11,000	358.13	358.13	08/07/13	285.09	-	107.00	Sale
	8,000	8,000	260.46	260.46	11/12/13	234.71	-	65.75	Sale
12/10/14	12/10/14	20,000	651.15	651.15	VARIOUS	468.94	-	182.20	Total of 3 lots
	Security total:		1,523.74	1,523.74		1,115.85	-	407.88	
ALTRIA GROUP INC / CUSIP: 02209S103 / Symbol: MO									
01/17/14	01/17/14	6,000	222.23	222.23	03/22/11	152.88	-	69.35	Sale
07/07/14	07/07/14	2,000	83.73	83.73	03/22/11	50.96	-	32.77	Sale
	Security total:		305.96	305.96		203.84	-	102.12	
AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN									
04/15/14	04/15/14	1,000	316.11	316.11	08/16/12	242.26	-	73.85	Sale
	2 tax lots for 04/29/14.						-		
	1,000	1,000	299.59	299.59	08/16/12	242.26	-	57.33	Sale
04/29/14	04/29/14	2,000	599.09	599.09	07/28/13	564.88	-	44.31	Sale
	3,000	3,000	896.28	896.28	VARIOUS	797.14	-	101.64	Total of 2 lots
	Security total:		1,711.07	1,711.07		1,039.40	-	175.49	
AMERICAN EXPRESS CO / CUSIP: 025316109 / Symbol: AXP									
	2 tax lots for 01/17/14.						-		
	1,000	1,000	91.60	91.60	06/17/11	48.60	-	43.00	Sale
01/17/14	01/17/14	2,000	183.21	183.21	06/23/11	97.67	-	85.54	Sale
	3,000	3,000	274.81	274.81	VARIOUS	146.27	-	128.54	Total of 2 lots

Oppenheimer & Co. Inc.

Account A810750436

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross Net)	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7- Loss not allowed(X)	Additional information
AMERICAN EXPRESS CO / CUSIP: 025816109 / Symbol: AXP (cont'd)	1 000	95.34	48.84	--	46.50	Sale
2 tax lots for 08/04/14.						
08/04/14	1,000	96.89	48.84	...	38.05	Sale
	4,000	347.59	195.34	...	152.25	Sale
	5,000	434.48	244.18	...	190.30	Total of 2 lots
Security total:		804.63	439.29	...	365.34	
AMERICAN FINL GROUP INC OHIO / CUSIP: 025832104 / Symbol: AFG						
01/17/14	1,000	57.29	34.69	...	22.65	Sale
07/07/14	1,000	59.52	34.64	...	24.88	Sale
Security total:		116.81	69.28	...	47.53	
AMERICAN INTL GROUP INC COM NEW / CUSIP: 026874784 / Symbol: AIG						
02/18/14	5,000	251.29	182.00	...	69.29	Sale
03/14/14	5,000	242.74	182.00	...	60.74	Sale
Security total:		494.03	364.00	...	130.03	
AMERICAN TOWER CORP NEW REIT / CUSIP: 03027X100 / Symbol: AMT						
01/17/14	1,000	83.30	48.33	...	34.97	Sale
APPLIED MATLS INC / CUSIP: 038222105 / Symbol: AMAT						
01/17/14	10,000	175.79	151.50	...	24.29	Sale
07/07/14	5,000	113.05	75.75	...	37.30	Sale
Security total:		288.84	227.25	...	61.59	
ASHLAND INC NEW / CUSIP: 044209104 / Symbol: ASH						
01/17/14	2,000	498.59	119.38	...	79.21	Sale
06/18/14	3,000	315.23	179.06	...	136.17	Sale
Security total:		813.82	298.44	...	215.38	
BOK FINL CORP COM NEW / CUSIP: 05581Q201 / Symbol: BOKF						
01/17/14	1,000	64.84	51.69	...	13.15	Sale
07/07/14	2,000	134.91	103.38	...	31.53	Sale
Security total:		199.75	155.07	...	44.68	

Oppenheimer & Co. Inc.		Account: A810750438	
2014			
Proceeds Not Reported to the IRS (continued)			

LONG-TERM TRANSACTIONS						
Report on Form 8949, Part II, with Box F checked						
NOT reported on Form 1099-B						
Description of property	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(-)
Bank Amer Corp / CUSIP: 060505104 / Symbol: BAC						
Date sold or disposed						
01/17/14	10,000	170.20	11/27/12	98.10		72.10 Sale
2 tax lots for 04/21/14.						
	4,000	64.28	11/27/12	39.24		25.04 Sale
	12,000	192.84	02/13/13	145.08		47.76 Sale
04/21/14	16,000	257.12	VARIOUS	184.32		72.80 Total of 2 lots
07/01/14	6,000	93.53	02/13/13	72.54		20.99 Sale
Security total		520.85		354.96		165.89
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB						
03/25/14	2,000	625.92	11/01/12	278.75		347.14 Sale
04/16/14	1,000	291.17	11/01/12	138.39		151.78 Sale
Security total		917.09		417.17		498.92
BOEING CO / CUSIP: 097023105 / Symbol: BA						
01/17/14	3,000	420.53	12/28/11	219.99		200.54 Sale
2 tax lots for 01/31/14						
	1,000	126.37	12/28/11	73.33		53.04 Sale
	2,000	292.73	07/05/12	149.17		103.57 Sale
01/31/14	3,000	379.10	VARIOUS	222.50		156.61 Total of 2 lots
2 tax lots for 02/05/14.						
	2,000	242.45	07/05/12	149.17		93.28 Sale
	4,000	494.90	07/05/12	298.33		186.57 Sale
02/05/14	6,000	727.35	VARIOUS	447.50		279.85 Total of 2 lots
07/25/14	2,000	245.61	05/05/13	188.88		56.76 Sale
2 tax lots for 07/29/14.						
	2,000	245.39	05/05/13	186.68		56.71 Sale
	2,000	245.39	05/21/13	197.92		47.47 Sale
07/29/14	4,000	490.78	VARIOUS	386.50		104.18 Total of 2 lots
07/31/14	3,000	362.72	05/21/13	296.88		55.85 Sale
Security total		2,625.92		1,762.15		863.79

Oppenheimer & Co. Inc.

Account: A810750438

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 990, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Codes, if any	Gain or loss(-) & 7- Loss not allowed, if any	Additional information
CANADIAN PAC RY LTD / CUSIP: 136451100 / Symbol: CP								
07/01/14	1,000		180.38	04/23/13	122.88	-	57.50	Sale
07/02/14	2,000		363.19	04/23/13	245.76	-	117.43	Sale
10/02/14	2,000		411.85	05/21/13	274.56	-	137.29	Sale
2 tax lots for 12/10/14.								
	1,000		174.59	05/21/13	137.28	-	37.31	Sale
	3,000		523.78	06/04/13	382.44	-	141.34	Sale
12/10/14	4,000		698.37	VARIOUS	519.72	-	178.65	Total of 2 lots
	Security total:		1,653.79		1,162.92	-	490.87	
CARLISLE COS INC / CUSIP: 142339100 / Symbol: CSL								
01/08/14	8,000		627.19	08/18/11	299.33	-	327.86	Sale
CELGENE CORP / CUSIP: 151020104 / Symbol: CELG								
2 tax lots for 03/25/14.								
	2,000		288.97	02/21/13	197.58	-	91.39	Sale
	1,000		144.49	03/07/13	110.03	-	34.46	Sale
03/25/14	3,000		433.46	VARIOUS	307.61	-	125.85	Total of 2 lots
2 tax lots for 04/02/14.								
	1,000		146.60	03/07/13	110.03	-	36.57	Sale
	1,000		146.60	03/13/13	113.34	-	33.26	Sale
04/02/14	2,000		293.20	VARIOUS	223.37	-	69.83	Total of 2 lots
04/10/14	2,000		277.13	03/13/13	226.68	-	50.45	Sale
04/23/14	1,000		144.23	04/15/13	121.12	-	23.11	Sale
04/30/14	1,000		146.58	04/15/13	121.12	-	24.45	Sale
05/15/14	1,000		148.39	04/15/13	121.12	-	27.27	Sale
	Security total:		1,442.58		1,121.02	-	321.56	
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX								
2 tax lots for 01/16/14.								
	2,000		237.37	03/22/11	211.28	-	26.09	Sale
	2,000		237.37	03/22/11	211.28	-	26.09	Sale
01/16/14	4,000		474.74	VARIOUS	422.56	-	52.18	Total of 2 lots

Oppenheimer & Co. Inc.

Account AB10750438

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross in lot)	Proceeds (Gross in lot)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX (cont'd)	1,000	120.03	120.03	03/22/11	105.64	...	14.39	Sale
01/17/14	3 tax lots for 02/05/14.							
	2,000	218.83	218.83	03/22/11	211.28	...	7.55	Sale
	2,000	218.83	218.83	03/22/11	211.28	...	7.55	Sale
	2,000	218.83	218.83	03/22/11	211.28	...	7.55	Sale
02/05/14	5,000	656.49	656.49	VARIOUS	633.84	...	22.65	Total of 3 lots
07/01/14	1,000	130.68	130.68	03/22/11	105.64	...	25.04	Sale
	Security total:	1,381.94	1,381.94		1,267.68	...	114.26	
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO	3,000	75.20	75.20	02/13/13	62.99	...	12.21	Sale
07/01/14								
CITIGROUP INC COM NEW / CUSIP: 172967424 / Symbol: C	4,000	210.07	210.07	04/18/12	141.08	...	68.99	Sale
01/17/14	4 tax lots for 01/27/14.							
	1,000	48.08	48.08	04/18/12	35.27	...	13.81	Sale
	2,000	98.17	98.17	04/18/12	70.54	...	27.63	Sale
	2,000	98.17	98.17	07/31/12	54.22	...	43.95	Sale
	9,000	441.80	441.80	07/31/12	243.99	...	197.81	Sale
01/27/14	14,000	657.22	657.22	VARIOUS	404.02	...	253.20	Total of 4 lots
	4 tax lots for 03/31/14.							
	1,000	47.60	47.60	07/31/12	27.11	...	20.49	Sale
	3,000	142.82	142.82	07/31/12	81.33	...	61.49	Sale
	4,000	190.43	190.43	07/31/12	108.44	...	81.99	Sale
	7,000	333.26	333.26	09/27/12	232.76	...	100.49	Sale
03/31/14	15,000	702.11	702.11	VARIOUS	449.64	...	264.46	Total of 4 lots
	2 tax lots for 06/20/14.							
	3,000	141.41	141.41	09/27/12	99.76	...	41.66	Sale
	4,000	188.55	188.55	09/27/12	133.01	...	55.54	Sale
06/20/14	7,000	329.96	329.96	VARIOUS	232.77	...	97.20	Total of 2 lots
	Security total:	1,941.36	1,941.36		1,227.51	...	713.85	

Oppenheimer & Co. Inc.		Account AB10750438	
2014			
Proceeds Not Reported to the IRS (continued)			

LONG-TERM TRANSACTIONS						
NOT reported on Form 1099-B						
Report on Form 8949, Part B, with Box 1 checked						
Description of property	Date sold or disposed	Quantity	Shown (Gross (N)et)	Proceeds (Gross (N)et)	Date acquired	Cost or other basis
Gain or loss(-) & 7- Loss not allowed(-)						
Adjustments & Code(s), if any						
Additional information						
COMCAST CORP NEW CL A / CUSIP: 20090N101 / Symbol: CMCSA						
01/17/14		3,000	160.73	160.73	07/12/12	93.48
07/01/14		2,000	108.37	108.37	07/12/12	82.32
	Security total:		269.10	269.10		155.80
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP						
01/17/14		5,000	338.99	338.99	09/28/11	244.21
	2 tax lots for 07/01/14:					
	1,000		86.16	86.16	09/28/11	48.84
	2,000		172.33	172.33	09/28/11	97.68
07/01/14		3,000	258.49	258.49	VARIOUS	146.59
09/11/14		5,000	396.49	396.49	09/28/11	244.21
09/18/14		4,000	323.97	323.97	09/28/11	195.37
	2 tax lots for 12/01/14:					
	8,000		538.90	538.90	09/28/11	390.73
	2,000		134.72	134.72	02/01/13	117.13
12/01/14		10,000	673.62	673.62	VARIOUS	507.86
	Security total:		1,991.56	1,991.56		1,338.17
DST SYS INC DEL / CUSIP: 233326107 / Symbol: DST						
	2 tax lots for 11/11/14:					
	2,000		196.95	196.95	09/28/11	96.71
	1,000		98.48	98.48	08/03/12	50.64
11/11/14		3,000	285.43	285.43	VARIOUS	147.35
DENBURY RES INC COM NEW / CUSIP: 247916208 / Symbol: DNR						
01/17/14		5,000	97.91	97.91	08/16/11	94.26
	2 tax lots for 07/01/14:					
	3,000		54.98	54.98	08/16/11	47.13
	1,000		18.33	18.33	09/16/11	14.43
07/01/14		4,000	73.31	73.31	VARIOUS	51.56
	Security total:		171.22	171.22		155.82

Oppenheimer & Co. Inc.

Account AB10750438

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(-)	Additional information
DISNEY WALT CO COM DISNEY / CUSIP: 254687106 / Symbol: DIS								
01/17/14	1,000		74.08	01/28/12	39.19	...	34.89	Sale
07/01/14	1,000		86.54	01/28/12	39.19	...	47.35	Sale
11/11/14	5,000		448.80	01/28/12	195.95	...	252.85	Sale
Security total:			609.42		274.33	...	335.09	
EAGLE SER SMALL CAP GROWTH FD A / CUSIP: 269858106 / Symbol: HRSCX								
01/17/14	72,222		4,145.54	03/22/11	2,852.05	...	1,293.49	Sale
07/01/14	35,456		2,048.29	03/22/11	1,400.16	...	648.13	Sale
Security total:			6,193.83		4,252.21	...	1,941.62	
EATON VANCE CORP COM NON VTG / CUSIP: 279265103 / Symbol: EV								
01/17/14	3,000		123.14	11/02/12	87.82	...	35.32	Sale
EBAY INC / CUSIP: 278642103 / Symbol: EBAY								
3 tax lots for 01/17/14.								
01/17/14	1,000		53.03	06/04/12	39.04	...	13.99	Sale
	2,000		106.07	06/04/12	78.08	...	27.99	Sale
	1,000		53.04	06/15/12	40.63	...	12.41	Sale
	4,000		212.14	VARIOUS	157.75	...	54.38	Total of 3 lots
3 tax lots for 01/23/14.								
01/23/14	2,000		108.79	06/05/12	81.26	...	27.53	Sale
	6,000		326.39	06/15/12	243.78	...	82.61	Sale
	3,000		163.20	08/22/12	141.33	...	21.87	Sale
	11,000		598.38	VARIOUS	466.37	...	132.01	Total of 3 lots
Security total:			810.52		624.12	...	186.40	
ENTERGY CORP NEW / CUSIP: 29364G103 / Symbol: ETR								
01/17/14	1,000		61.63	06/22/12	66.76	...	-5.13	Sale
05/13/14	4,000		292.15	06/22/12	267.02	...	25.13	Sale
Security total:			353.78		333.78	...	20.00	
EXELIS INC / CUSIP: 36162A108 / Symbol: XLS								
01/17/14	6,000		120.41	01/20/12	60.25	...	60.16	Sale
01/27/14	16,000		316.27	01/20/12	160.66	...	155.61	Sale

Oppenheimer & Co. Inc.		Account AB10750438	
Proceeds Not Reported to the IRS			
(continued)			

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Proceeds (Gross)	Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional information	
EXELIS INC / CUSIP: 30162A108 / Symbol: XLS (cont'd)									
07/01/14	4,000	88.67	505.35	01/20/12	40.16		28.51	Sale	
Security total:					261.07		244.28		
EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD									
07/01/14	1,000	44.62		05/08/13	39.03		5.59	Sale	
11/18/14	8,000	351.82		05/08/13	312.23		39.59	Sale	
Security total:					351.26		45.18		
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESIX									
2 tax lots for 02/24/14.									
	2,000	149.85		02/03/12	100.86		48.99	Sale	
	3,000	224.78		02/03/12	151.29		73.49	Sale	
02/24/14	5,000	374.63		VARIOUS	252.15		122.48	Total of 2 lots	
2 tax lots for 04/30/14.									
	1,000	67.31		02/08/12	50.43		16.88	Sale	
	5,000	336.54		05/29/12	266.81		69.73	Sale	
04/30/14	6,000	403.85		VARIOUS	317.24		86.61	Total of 2 lots	
Security total:					569.39		209.09		
FIFTH THIRD BANCORP / CUSIP: 316773100 / Symbol: FITB									
01/17/14	4,000	86.47		05/18/12	52.04		34.43	Sale	
03/24/14	8,000	186.53		06/18/12	104.08		82.55	Sale	
07/01/14	2,000	43.04		06/18/12	26.02		17.02	Sale	
Security total:					182.14		134.00		
FULTON FINL CORP PA / CUSIP: 360271100 / Symbol: FULT									
01/17/14	7,000	98.08		03/22/11	76.49		13.59	Sale	
07/01/14	4,000	50.11		03/22/11	43.71		6.40	Sale	
Security total:					120.20		19.99		
WHV INTL EQUITY FUND CLASS A OPEN END / CUSIP: 360873871 / Symbol: WHVAX									
01/17/14	124,392	2,729.16		03/22/11	2,676.92		52.24	Sale	
07/01/14	66,134	1,646.74		03/22/11	1,423.20		223.54	Sale	
Security total:					4,100.12		275.78		

Oppenheimer & Co. Inc. Account A610750438

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE									
3 tax lots for 01/17/14.									
		1,000	26.46	178.15	10/26/11	16.40		10.06	Sale
		5,000	132.34	381.76	10/26/11	82.02		50.32	Sale
		10,000	264.69	559.91	10/26/11	164.04		100.65	Sale
01/17/14		16,000	423.49	278.62	VARIOUS	262.46		161.03	Total of 3 lots
2 tax lots for 01/28/14.									
		7,000	178.15	278.62	10/26/11	114.83		63.32	Sale
		15,000	381.76	50.21	10/26/11	246.07		135.69	Sale
01/28/14		22,000	559.91	362.18	VARIOUS	360.50		199.01	Total of 2 lots
01/29/14		11,000	278.62	132.09	10/26/11	180.45		98.17	Sale
02/07/14		2,000	50.21	1,806.50	10/26/11	632.81		17.40	Sale
03/05/14		14,000	362.18		10/26/11	229.68		132.52	Sale
07/01/14		5,000	132.09		10/26/11	82.02		50.07	Sale
			Security total:			1,148.30		688.20	
GENERAL MTRS CO / CUSIP: 37045V100 / Symbol: GM									
2 tax lots for 01/17/14.									
		4,000	154.19	154.19	09/04/12	92.32		61.87	Sale
		2,000	77.10	77.10	10/04/12	48.88		28.22	Sale
01/17/14		6,000	231.29	231.29	VARIOUS	141.20		90.09	Total of 2 lots
01/28/14		7,000	257.87	257.87	10/04/12	171.08		86.79	Sale
03/25/14		1,000	34.44	34.44	10/04/12	24.44		10.00	Sale
3 tax lots for 04/02/14.									
		5,000	173.09	173.09	10/04/12	122.20		51.79	Sale
		2,000	89.80	89.80	11/01/12	50.78		38.82	Sale
		11,000	383.79	383.79	11/01/12	279.29		103.50	Sale
04/02/14		18,000	526.38	526.38	VARIOUS	452.27		174.11	Total of 3 lots
2 tax lots for 07/01/14.									
		1,000	37.30	37.30	11/01/12	25.39		11.91	Sale
		1,000	37.31	37.31	11/01/12	25.39		11.92	Sale
07/01/14		2,000	74.61	74.61	VARIOUS	50.78		23.83	Total of 2 lots

Oppenheimer & Co. Inc.	Account: AB10750438
Proceeds Not Reported to the IRS (continued)	

LONG-TERM TRANSACTIONS									
NOT reported on Form 1099-B									
Report on Form 9949, Part II, with Box F checked									
Description of property	Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed	Additional information
GENERAL MTRS CO / CUSIP: 37045V100 / Symbol: GM (cont'd)									
2 tax lots for 07/23/14.									
		2,000	57.98	72.43	07/16/13			-4.45	Sale
		7,000	237.94	253.50	07/16/13			-15.56	Sale
		9,000	305.92	325.93	VARIOUS			-20.01	Total of 2 lots
		1,000	33.85	36.21	07/16/13			-2.37	Sale
		Security total:	1,564.36	1,201.91				362.44	
GILEAD SCIENCES INC / CUSIP: 375558103 / Symbol: GILD									
3 tax lots for 01/17/14.									
		1,000	78.49	22.93	04/13/12			\$5.55	Sale
		2,000	156.99	111.12	04/13/12			\$2.98	Sale
		1,000	78.50	25.52	04/20/12			219.65	Total of 3 lots
		4,000	313.98	94.32	VARIOUS			79.79	Sale
		2,000	130.83	51.04	04/20/12			59.66	Sale
		1,000	85.18	25.52	04/20/12			179.00	Sale
		3,000	255.56	76.56	04/20/12			238.66	Total of 2 lots
		4,000	340.74	102.08	VARIOUS			192.24	Sale
		3,000	268.80	76.56	04/20/12			136.67	Sale
		2,000	187.71	51.04	04/20/12			367.01	
		Security total:	1,242.06	375.04					
GOLDMAN SACHS GROUP INC / CUSIP: 38141G104 / Symbol: GS									
		1,000	176.45	127.64	12/28/12			48.81	Sale
		1,000	187.35	127.64	12/28/12			30.21	Sale
		3,000	473.56	382.82	12/28/12			90.74	Sale
		3,000	467.39	437.39	01/22/13			30.00	Sale
		Security total:	1,275.35	1,075.59				199.76	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL									
		1,000	593.22	273.17	09/21/11			320.05	Sale
		1,000	602.25	297.81	02/03/12			304.44	Sale
		1,000	580.72	401.22	02/27/13			179.50	Sale
		Security total:	1,776.19	972.20				803.99	

Oppenheimer & Co. Inc. Account AB10750438	
2014 Proceeds Not Reported to the IRS (continued)	

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7-Loss not allowed(%)	Additional information	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG									
04/10/14	1,000		541.88	09/21/11	273.89	...	267.79	Sale	
04/29/14	1,000		527.80	02/03/12	296.59	...	228.21	Sale	
05/07/14	1,000		505.95	02/27/13	402.28	...	103.67	Sale	
Security total:			1,575.43		974.76	...	600.67		
GREAT PLAINS ENERGY INC / CUSIP: 391164100 / Symbol: GXP									
01/17/14	3,000		73.28	03/22/11	58.05	...	15.23	Sale	
07/05/14	2,000		53.59	03/22/11	38.70	...	14.89	Sale	
3 tax lots for 08/26/14.									
	3,000		75.40	03/22/11	58.05	...	17.35	Sale	
	3,000		75.41	03/22/11	58.05	...	17.36	Sale	
	10,000		251.35	04/09/12	199.05	...	52.27	Sale	
08/26/14	16,000		402.16	VARIOUS	375.18	...	66.98	Total of 3 lots	
08/28/14	13,000		330.71	04/09/12	258.81	...	71.90	Sale	
Security total:			858.74		670.74	...	188.00		
GREIF INC CL A / CUSIP: 397524107 / Symbol: GEF									
2 tax lots for 01/17/14.									
	1,000		52.43	09/02/11	50.00	...	2.43	Sale	
	1,000		52.43	09/02/11	50.00	...	2.43	Sale	
01/17/14	2,000		104.86	VARIOUS	100.00	...	4.86	Total of 2 lots	
07/01/14	1,000		54.89	09/02/11	50.00	...	4.89	Sale	
Security total:			159.75		150.00	...	9.75		
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL									
2 tax lots for 01/17/14.									
	1,000		50.86	08/09/12	35.14	...	15.72	Sale	
	2,000		101.73	08/09/12	70.28	...	31.45	Sale	
01/17/14	3,000		152.59	VARIOUS	105.42	...	47.17	Total of 2 lots	
2 tax lots for 08/22/14.									
	1,000		67.57	08/09/12	35.14	...	32.37	Sale	

Oppenheimer & Co. Inc.		Account A810750438	
2014			
Proceeds Not Reported to the IRS (continued)			

LONG-TERM TRANSACTIONS									
NOT reported on Form 1099-B									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross) (b)(1)	Proceeds (b)(2)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL (cont'd)									
08/22/14	4,000	270.07	337.58	08/09/12	140.56	-	129.51	Sale	
	5,000			VARIOUS	175.70	-	161.88	Total of 2 lots	
2 tax lots for 08/23/14.									
	1,000	64.75		08/09/12	35.14	-	29.61	Sale	
	5,000	323.79		08/22/12	173.20	-	150.59	Sale	
09/23/14	6,000	388.54		VARIOUS	208.34	-	180.20	Total of 2 lots	
2 tax lots for 10/03/14.									
	1,000	61.86		08/22/12	34.64	-	27.22	Sale	
	4,000	247.47		08/22/12	138.56	-	108.91	Sale	
10/03/14	5,000	309.33		VARIOUS	176.20	-	136.13	Total of 2 lots	
2 tax lots for 10/13/14.									
	6,000	310.71		12/07/12	201.90	-	108.81	Sale	
	1,000	51.79		04/30/13	42.74	-	9.05	Sale	
10/13/14	7,000	362.50		VARIOUS	244.54	-	117.96	Total of 2 lots	
2 tax lots for 11/14/14									
	8,000	436.71		04/30/13	341.92	-	96.79	Sale	
	6,000	328.04		11/07/13	325.39	-	2.65	Sale	
11/14/14	14,000	764.75		VARIOUS	667.31	-	100.44	Total of 2 lots	
		Security total:	2,318.29		1,574.61	-	743.68		
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD									
2 tax lots for 01/17/14.									
	1,000	481.92		10/20/11	35.79	-	45.32	Sale	
	1,000	81.11		10/26/11	36.37	-	44.75	Sale	
01/17/14	2,000	462.23		VARIOUS	72.16	-	90.07	Total of 2 lots	
INTEL CORP / CUSIP: 458140100 / Symbol: INTC									
3 tax lots for 01/17/14.									
	35,000	895.06		03/22/11	702.71	-	192.37	Sale	
	18,000	460.32		04/06/11	357.66	-	102.66	Sale	

Oppenheimer & Co. Inc. Account A810750438
 2014
Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS							NOT reported on Form 1099-B	
Report on Form 990, Part II, with Box 7 checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7-Loss not allowed (X)	Additional information
INTEL CORP / CUSIP: 458140100 / Symbol: INTC (cont'd)								
01/17/14	36,000	920.65	715.32	04/06/11	205.33	...	Sale	
	89,000	2,276.05	1,775.69	VARIOUS	500.35	...	Total of 3 lots	
INTERNATIONAL FLAVORS&FRAGRANC / CUSIP: 456506101 / Symbol: IFF								
07/01/14	1,000	105.14	53.16	11/28/11	51.98	...	Sale	
09/25/14	9,000	861.76	478.48	11/28/11	383.28	...	Sale	
Security total:		966.90	531.64		435.26	...		
INV ASSET STRATEGY FD A OPEN END / CUSIP: 46600759 / Symbol: WASAX								
01/17/14	55,599	1,805.86	1,366.62	03/22/11	439.24	...	Sale	
07/01/14	28,389	908.66	697.54	03/22/11	211.35	...	Sale	
Security total:		2,714.52	2,063.93		650.59	...		
JPMORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM								
2 tax lots for 01/17/14.								
04/17/14	3,000	175.31	118.34	06/28/11	56.97	...	Sale	
	6,000	350.62	236.69	06/28/11	113.93	...	Sale	
	9,000	525.93	355.03	VARIOUS	170.90	...	Total of 2 lots	
2 tax lots for 05/20/14.								
05/20/14	3,000	161.00	118.34	06/28/11	42.66	...	Sale	
	4,000	214.67	157.79	06/28/11	56.88	...	Sale	
	7,000	375.67	276.13	VARIOUS	89.54	...	Total of 2 lots	
07/01/14	3,000	172.97	118.34	06/28/11	54.63	...	Sale	
5 tax lots for 11/07/14.								
	11,000	674.97	433.93	06/28/11	240.44	...	Sale	
	4,000	245.22	144.00	08/18/11	101.23	...	Sale	
	10,000	613.06	371.59	08/30/11	241.47	...	Sale	
	10,000	613.06	369.40	10/27/11	243.66	...	Sale	
	2,000	122.61	75.44	08/22/12	47.17	...	Sale	
	37,000	2,268.32	1,394.36	VARIOUS	873.97	...	Total of 5 lots	
11/07/14	6,000	368.53	226.32	08/22/12	142.21	...	Sale	
12/11/14		3,711.42	2,370.16		1,341.25	...		
Security total:						...		

Oppenheimer & Co. Inc. Account A818750438

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS					NOT reported on Form 1099-B		
Report on Form 8949, Part II, with Box F checked							
Description of property							
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (7 - Less not allowed(X)) Additional Information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ							
2 tax lots for 01/17/14							
	3,000	284.75	284.75	03/22/11	176.49	...	108.26 Sale
	3,000	284.75	284.75	03/22/11	176.49	...	108.26 Sale
01/17/14	6,000	569.50	569.50	VARIOUS	352.98	...	216.52 Total of 2 lots
07/01/14	2,000	211.55	211.55	03/22/11	117.56	...	93.99 Sale
2 tax lots for 09/30/14							
	2,000	213.38	213.38	03/22/11	117.86	...	95.52 Sale
	2,000	213.38	213.38	03/22/11	117.86	...	95.52 Sale
09/30/14	4,000	426.76	426.76	VARIOUS	235.32	...	191.44 Total of 2 lots
11/25/14	5,000	534.68	534.68	03/22/11	294.15	...	240.53 Sale
	Security total:	1,742.59	1,742.59		1,000.11	...	742.48
JONES LANG LASALLE INC / CUSIP: 48020Q407 / Symbol: JLL							
11/01/14	2,000	279.27	279.27	09/27/13	176.18	-	103.09 Sale
KLA-TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC							
07/03/14	16,000	1,189.49	1,189.49	03/22/13	827.60	-	361.89 Sale
KROGER CO / CUSIP: 501044101 / Symbol: KR							
05/27/14	7,000	328.36	328.36	03/22/11	165.06	...	163.30 Sale
07/01/14	1,000	49.61	49.61	03/22/11	23.58	...	26.03 Sale
2 tax lots for 09/12/14							
	2,000	103.49	103.49	03/22/11	47.18	...	56.33 Sale
	4,000	206.99	206.99	06/18/12	81.20	...	115.79 Sale
09/12/14	6,000	310.48	310.48	VARIOUS	138.36	...	172.12 Total of 2 lots
	Security total:	688.45	688.45		327.00	...	361.45
LAS VEGAS SANDS CORP / CUSIP: 517834407 / Symbol: LVS							
2 tax lots for 01/17/14							
	1,000	81.81	81.81	03/02/12	56.10	...	25.71 Sale
	2,000	163.63	163.63	03/02/12	112.19	...	51.44 Sale
01/17/14	3,000	245.44	245.44	VARIOUS	166.29	...	77.15 Total of 2 lots

Oppenheimer & Co. Inc.

Accession: A819750438

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol: LVS (cont'd)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Less not allowed(X)	Additional information
LAS VEGAS SANDS CORP / CUSIP: 517834107 / Symbol: LVS (cont'd)								
04/29/14	3.000	235.17	235.17	08/22/12	128.72	..	106.45	Sale
07/01/14	1.000	77.91	77.91	08/22/12	42.91	..	35.00	Sale
08/08/14	3.000	203.72	203.72	08/22/12	128.72	..	75.00	Sale
08/11/14	2.000	137.61	137.61	08/22/12	85.81	..	51.80	Sale
Security total:		899.85	899.85		554.45	..	345.40	
LORD ABBETT INVT TR TOTAL RETURN A / CUSIP: 543916345 / Symbol: LTRAX								
01/17/14	600.374	6,231.88	6,231.88	03/22/11	6,436.01	..	-204.13	Sale
07/01/14	248.748	2,641.70	2,641.70	03/22/11	2,686.58	..	-24.88	Sale
Security total:		8,873.58	8,873.58		9,102.59	..	-229.01	
LOWES COS INC / CUSIP: 548651107 / Symbol: LOW								
01/17/14	1.000	47.86	47.86	12/20/12	429.26	..	12.63	Sale
04/08/14	5.000	232.94	232.94	12/20/12	476.14	..	56.80	Sale
Security total:		280.80	280.80		211.37	..	69.43	
MARKEL CORP / CUSIP: 570535104 / Symbol: MKL								
01/17/14	1.000	566.74	566.74	07/01/12	429.26	..	137.48	Sale
01/23/14	1.000	554.00	554.00	08/20/12	442.25	..	111.75	Sale
Security total:		1,120.74	1,120.74		871.51	..	249.23	
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC								
01/17/14	2.000	97.29	97.29	07/01/12	66.71	..	30.58	Sale
MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA								
2 tax lots for 06/25/14.								
05/25/14	1.000	231.08	231.08	12/07/12	47.76	..	25.32	Sale
	4.000	282.31	282.31	12/07/12	191.04	..	101.28	Sale
	5.000	365.39	365.39	VARIOUS	238.80	..	126.60	Total of 2 lots
2 tax lots for 07/01/14.								
07/01/14	1.000	75.67	75.67	12/07/12	47.76	..	27.91	Sale
	2.000	151.36	151.36	12/07/12	95.52	..	55.84	Sale
	3.000	227.03	227.03	VARIOUS	143.28	..	83.75	Total of 2 lots
Security total:		592.42	592.42		362.08	..	230.35	

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account A840750438**
 2014 (continued)

LONG-TERM TRANSACTIONS
 NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proccns	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (X) 7- Loss not allowed (X)	Additional information
MCDONALDS CORP / CUSIP: 580135161 / Symbol: MCD								
01/17/14	2,000		189.89	06/14/12	178.16		11.73	Sale
07/01/14	1,000		101.01	06/14/12	89.08		11.93	Sale
Security total:			290.90		267.24		23.66	
MERGER FUND-SBI OPEN END SBI/CEI / CUSIP: 589509108 / Symbol: MERFX								
01/17/14	86,953		1,391.25	03/22/11	1,396.47		-5.22	Sale
07/01/14	47,109		776.83	03/22/11	756.57		20.26	Sale
Security total:			2,168.08		2,153.04		15.04	
METLIFE INC / CUSIP: 58156R105 / Symbol: MET								
01/17/14	5,000		264.75	06/14/12	145.81		119.14	Sale
07/01/14	3,000		168.70	06/14/12	87.39		81.33	Sale
Security total:			433.45		232.98		200.47	
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA								
01/17/14	3,000		186.98	10/03/11	172.67		14.31	Sale
3 tax lots for 05/30/14.								
1,000			72.28	10/03/11	57.56		14.72	Sale
3,000			215.87	10/03/11	172.67		44.20	Sale
2,000			144.58	11/03/11	120.65		23.93	Sale
8,000			433.73	VARIOUS	350.88		82.85	Total of 3 lots
3 tax lots for 08/01/14								
2,000			139.67	11/16/11	120.65		19.02	Sale
1,000			69.84	06/03/13	65.63		4.21	Sale
4,000			279.05	06/03/13	262.51		16.54	Sale
7,000			438.86	VARIOUS	448.79		40.07	Total of 3 lots
Security total:			1,109.57		972.34		137.23	
MOLSON COORS BREWING CO CL B / CUSIP: 60671R209 / Symbol: TAP								
01/17/14	5,000		278.49	07/14/11	222.65		55.84	Sale
07/01/14	2,000		148.49	07/14/11	89.06		59.43	Sale
Security total:			426.98		311.71		115.27	

Oppenheimer & Co. Inc. Account AB10750438
 Proceeds Not Reported to the IRS
 (continued)
 2014

LONG-TERM TRANSACTIONS				NOT reported on Form 1099-B			
Report on Form 9999, Part II, with Box F checked							
Description of property	Quantity	Shown (gross/net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X) Additional information
NEWS CORP NEW-CL A / CUSIP: 65248B109 / Symbol: NWSA							
4 tax lots for 01/17/14.							
	1,000		17.05	08/18/11	8.80		8.25 Sale
	1,000		17.06	08/16/11	8.80		8.26 Sale
	1,000		17.06	08/25/11	7.59		9.46 Sale
	2,000		34.11	08/25/11	15.18		18.93 Sale
01/17/14	5,000		85.28	VARIOUS	40.37		44.90 Total of 4 lots
4 tax lots for 02/05/14.							
	1,000		15.58	08/25/11	7.59		8.09 Sale
	2,000		31.37	08/25/11	15.18		16.19 Sale
	1,000		15.59	08/22/12	12.64		3.65 Sale
	5,000		78.44	08/22/12	60.48		18.26 Sale
02/05/14	9,000		141.18	VARIOUS	94.99		46.19 Total of 4 lots
			226.46		135.36		91.09
Security total							
NUCOR CORP / CUSIP: 870346105 / Symbol: NUE							
01/17/14	3,000		154.34	03/22/11	136.29		18.05 Sale
07/01/14	2,000		98.41	08/22/11	90.86		7.55 Sale
			252.75		227.15		25.60
Security total							
OMNICOM GROUP INC / CUSIP: 681919186 / Symbol: OMC							
01/17/14	1,000		74.72	08/25/11	37.63		37.09 Sale
4 tax lots for 02/12/14.							
	1,000		72.38	08/25/11	37.63		34.75 Sale
	2,000		144.76	08/25/11	75.26		69.50 Sale
	3,000		217.15	11/02/12	144.99		72.16 Sale
	3,000		217.15	11/02/12	144.99		72.16 Sale
02/12/14	9,000		851.44	VARIOUS	402.87		248.57 Total of 4 lots
			726.16		440.30		285.86
Security total							
PNC FINL SVCS GROUP INC / CUSIP: 688475105 / Symbol: PNC							
01/17/14	1,000		82.57	08/02/11	53.64		28.93 Sale
07/01/14	1,000		98.33	02/01/13	63.17		26.16 Sale
			171.90		116.81		55.09
Security total							

Oppenheimer & Co. Inc. **Account A810750438**

2014 **Proceeds Not Reported to the IRS** **(continued)**

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
NOT reported on Form 1099-B									
Description of property	Date sold or disposed	Quantity	Shown (Gross (Net) / Symbol: PDCO	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PATTERSON COMPANIES INC / CUSIP: 703385103 / Symbol: PDCO									
01/17/14		1,000	40.96		03/22/11	31.33		9.63	Sale
07/01/14		4,000	158.81		03/22/11	125.32		33.59	Sale
11/17/14		7,000	323.74		03/22/11	219.31		104.43	Sale
11/24/14		5,000	237.20		03/22/11	155.65		80.55	Sale
Security total			760.61			532.61		228.20	
PEOPLES UNITED FINANCIAL INC / CUSIP: 712704105 / Symbol: PBCT									
01/17/14		3,000	44.27		04/15/11	38.68		5.39	Sale
3 tax lots for 01/28/14.									
		17,000	248.02		04/15/11	220.35		27.67	Sale
		27,000	393.92		04/15/11	349.36		43.95	Sale
		17,000	248.02		10/22/12	211.74		36.88	Sale
01/28/14		61,000	885.96		VARIOUS	781.45		108.50	Total of 3 lots
Security total			934.23			820.33		113.89	
PEPSICO INC / CUSIP: 713448108 / Symbol: PEP									
01/17/14		2,000	184.31		03/22/11	128.08		36.31	Sale
07/01/14		1,000	89.07		03/22/11	64.00		25.07	Sale
Security total			253.38			192.00		61.38	
PERKINELMER INC / CUSIP: 714048109 / Symbol: PKI									
2 tax lots for 01/15/14.									
		6,000	257.56		08/04/11	180.56		127.00	Sale
		13,000	558.06		10/18/11	244.14		313.92	Sale
01/15/14		18,000	315.62		VARIOUS	374.70		440.92	Total of 2 lots
PFIZER INC / CUSIP: 717081103 / Symbol: PFE									
3 tax lots for 01/17/14.									
		5,000	155.44		05/04/11	103.10		52.34	Sale
		5,000	155.44		05/04/11	103.10		52.34	Sale
		5,000	155.44		03/30/12	113.15		42.29	Sale
01/17/14		15,000	466.32		VARIOUS	319.35		146.97	Total of 3 lots

Oppenheimer & Co. Inc.
2014
Proceeds Not Reported to the IRS
(continued)
Account A810750438

LONG-TERM TRANSACTIONS									
NOT reported on Form 1099-B									
Report on Form 990, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s) if any	Gain or loss(+) & Loss not allowed(X)	Additional information	
PFIZER INC / CUSIP: 717081103 / Symbol: PFE (cont'd)									
2 tax lots for 05/12/14.									
	1,000		29.05	03/30/12	22.83		6.42	Sale	
	11,000		318.65	03/30/12	248.93		70.72	Sale	
05/12/14	12,000		348.70	VARIOUS	271.56		77.14	Total of 2 lots	
3 tax lots for 06/19/14									
	2,000		59.08	03/30/12	45.26		13.82	Sale	
	5,000		147.69	05/09/12	111.10		36.59	Sale	
	11,000		324.93	05/09/12	244.43		80.50	Sale	
06/19/14	18,000		531.70	VARIOUS	400.78		130.91	Total of 3 lots	
07/01/14	4,000		120.55	05/09/12	88.86		31.67	Sale	
2 tax lots for 08/22/14.									
	11,000		313.44	05/09/12	244.43		74.01	Sale	
	12,000		347.39	05/09/12	266.65		80.74	Sale	
08/22/14	23,000		665.83	VARIOUS	511.08		154.75	Total of 2 lots	
	Security total:		2,133.10		1,591.66		541.44		
PHILIP MORRIS INTL INC / CUSIP: 713172103 / Symbol: PM									
01/17/14	2,000		167.03	03/22/11	127.22		39.81	Sale	
PLUM CREEK TIMBER CO INC REIT / CUSIP: 729251108 / Symbol: PCL									
07/01/14	1,000		44.87	12/03/09	36.03		8.84	Sale	
PRECISION CASTPARTS CORP / CUSIP: 740189105 / Symbol: PCP									
01/17/14	1,000		270.25	03/22/11	141.13		129.12	Sale	
07/31/14	1,000		228.57	05/18/12	168.50		60.17	Sale	
2 tax lots for 08/01/14.									
	1,000		229.25	05/18/12	168.50		60.75	Sale	
	1,000		229.25	05/23/13	210.61		18.64	Sale	
08/01/14	2,000		458.50	VARIOUS	379.11		79.39	Total of 2 lots	
2 tax lots for 09/26/14.									
	1,000		240.78	05/23/13	210.61		29.56	Sale	

Oppenheimer & Co. Inc. **Proceeds Not Reported to the IRS** **Account: A810750438**

2014 (continued)

LONG-TERM TRANSACTIONS				NOT reported on Form 1099-B				
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gains or losses (1) & 7- Loss not allowed (X)	Additional information
PRECISION CASTPARTS CORP / CUSIP: 740189105 / Symbol: PCP (cont'd)								
	1,000	240.18	240.18	06/28/13	225.57	--	14.61	Sale
05/26/14	2,000	480.36	480.36	VARIOUS	435.18	--	44.17	Total of 2 lots
Security total:		1,437.78	1,437.78		1,124.92		312.85	
RYMAN HOSPITALITY PPTYS INC REIT / CUSIP: 78377T1D7 / Symbol: RHP								
07/01/14	1,000	48.98	48.98	01/23/13	40.26		8.72	Sale
SANOFI SPONSORED ADR / CUSIP: 80105M105 / Symbol: SNY								
07/01/14	4,000	213.59	213.59	03/25/13	200.43		13.16	Sale
11/19/14	65,000	3,125.56	3,125.56	03/25/13	3,257.04		-131.48	Sale
Security total:		3,339.15	3,339.15		3,457.47		-118.32	
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB								
2 tax lots for 01/17/14.								
	1,000	90.05	90.05	08/05/11	81.56		8.49	Sale
	2,000	180.11	180.11	08/05/11	163.12		16.99	Sale
01/17/14	3,000	270.16	270.16	VARIOUS	244.68		25.48	Total of 2 lots
08/22/14	3,000	326.63	326.63	01/27/12	230.61		96.32	Sale
2 tax lots for 09/25/14.								
	2,000	202.64	202.64	04/27/12	153.74		48.90	Sale
	2,000	202.64	202.64	07/22/13	168.44		34.20	Sale
09/25/14	4,000	405.28	405.28	VARIOUS	322.18		83.10	Total of 2 lots
10/03/14	3,000	294.50	294.50	07/22/13	252.68		41.84	Sale
12/01/14	4,000	340.27	340.27	07/22/13	336.88		3.39	Sale
Security total:		1,333.61	1,333.61		1,387.01		250.13	
SCHWAB CHARLES CORP NEW / CUSIP: 808513105 / Symbol: SCHW								
01/17/14	5,000	133.54	133.54	03/08/12	69.55		63.99	Sale
3 tax lots for 07/01/14.								
	1,000	27.23	27.23	03/08/12	13.97		13.32	Sale
	6,000	163.43	163.43	03/08/12	83.46		79.97	Sale

Oppenheimer & Co. Inc.
Proceeds Not Reported to the IRS
(continued)
2014
Account: A810750438

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8849, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (S) / Gross (N) / Symbol: SCHW (cont'd)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SCHWAB CHARLES CORP NEW / CUSIP: 808513105 / Symbol: SCHW (cont'd)								
07/01/14	3,000	81.72	272.38	03/12/12	42.33		39.39	Sale
10/10/14	10,000	272.38	272.38	VARIOUS	139.70		132.68	Total of 3 lots
	13,000	344.14	344.14	03/12/12	183.43		160.71	Sale
Security total:		750.06	750.06		382.58		357.38	
SYNOPSIS INC / CUSIP: 871607107 / Symbol: SNIPS								
01/17/14	11,000	443.51	443.51	01/17/12	308.24		135.27	Sale
01/17/14	2,000	82.29	82.29	01/17/12	56.04		26.25	Sale
07/01/14	1,000	39.00	39.00	01/17/12	28.02		10.98	Sale
Security total:		564.80	564.80		392.30		172.50	
TARGET CORP / CUSIP: 87612E106 / Symbol: TGT								
01/17/14	4,000	241.07	241.07	06/21/11	130.10		50.97	Sale
07/01/14	2,000	115.38	115.38	06/21/11	95.05		21.34	Sale
Security total:		357.46	357.46		285.15		72.31	
THORNBURG INTL VALUE FD-A OPEN END / CUSIP: 885215657 / Symbol: TGVA								
01/17/14	124,197	3,828.99	3,828.99	03/22/11	3,553.28		275.71	Sale
07/01/14	55,748	1,709.23	1,709.23	03/22/11	1,594.95		114.28	Sale
Security total:		5,538.22	5,538.22		5,148.23		389.99	
TIME WARNER CABLE INC / CUSIP: 88732J207 / Symbol: TWC								
01/17/14	2,000	270.41	270.41	03/17/12	183.90		106.51	Sale
02/20/14	25,000	3,556.67	3,556.67	04/17/12	2,048.77		1,507.90	Sale
Security total:		3,827.08	3,827.08		2,212.57		1,614.41	
TOTAL SA SPONSORED ADR / CUSIP: 89151E109 / Symbol: TOT								
01/17/14	5,000	287.55	287.55	03/22/11	295.90		1.60	Sale
07/01/14	3,000	218.90	218.90	03/22/11	177.54		41.36	Sale
Security total:		516.40	516.40		473.44		42.96	
TRAVELERS COMPANIES INC / CUSIP: 89417E109 / Symbol: TRV								
01/17/14	3,000	280.39	280.39	03/22/11	175.47		84.92	Sale
07/01/14	1,000	95.16	95.16	03/22/11	58.49		36.67	Sale
Security total:		355.55	355.55		233.96		121.59	

Oppenheimer & Co., Inc. **Proceeds Not Reported to the IRS** Account #810750438 2014 (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds (Gross) Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(1) & 7- Loss not allowed(2)	Additional information
TWENTY FIRST CENTY FOX INC CL A / CUSIP: 91130A101 / Symbol: FOXA								
01/17/14	5,000	160.04	160.04	08/16/11	75.29	-	84.75	Sale
	2 tax lots for 04/08/14.							
	7,000	226.16	226.16	08/16/11	105.40	-	120.76	Sale
	2,000	64.52	64.52	08/25/11	29.38	-	35.24	Sale
04/08/14	9,000	290.78	290.78	VARIOUS	134.78	-	156.00	Total of 2 lots
	2 tax lots for 06/24/14.							
	2,000	68.65	68.65	08/25/11	29.38	-	39.27	Sale
	13,000	446.28	446.28	08/25/11	190.97	-	255.31	Sale
05/24/14	15,000	514.93	514.93	VARIOUS	220.35	-	294.58	Total of 2 lots
07/01/14	1,000	35.36	35.36	08/25/11	14.69	-	20.67	Sale
	2 tax lots for 11/03/14.							
	5,000	171.50	171.50	08/25/11	73.45	-	98.05	Sale
	7,000	240.09	240.09	08/22/12	144.22	-	95.88	Sale
11/03/14	12,000	411.59	411.59	VARIOUS	217.67	-	193.93	Total of 2 lots
11/11/14	10,000	348.65	348.65	08/22/12	206.03	-	142.62	Sale
	Security total:	1,761.35	1,761.35		868.81	-	892.55	
UNION PAC CORP / CUSIP: 907818108 / Symbol: UNP								
01/17/14	1,000	158.41	158.41	08/22/11	94.64	-	73.77	Sale
05/24/14	2,000	200.39	200.39	03/22/11	94.64	-	105.75	Sale
	Security total:	358.80	358.80		189.28	-	179.52	
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS								
01/17/14	2,000	199.33	199.33	03/22/11	144.25	-	55.05	Sale
07/01/14	1,000	102.87	102.87	03/22/11	72.14	-	30.73	Sale
	Security total:	302.20	302.20		216.42	-	85.78	
UNITED TECHNOLOGIES CORP / CUSIP: 913017109 / Symbol: UTX								
01/17/14	2,000	228.45	228.45	08/16/11	145.02	-	83.43	Sale
07/01/14	1,000	115.97	115.97	02/03/12	81.10	-	34.87	Sale
	3 tax lots for 07/24/14.							
	1,000	108.05	108.05	02/03/12	81.10	-	27.85	Sale

Oppenheimer & Co. Inc. Account # 810750433
 2014
 Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS
 NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (J) & Loss not allowed (K)	Additional information
UNITED TECHNOLOGIES CORP / CUSIP: 913017109 / Symbol: UTX (cont'd)							
	2,000	217.91	02/03/12	162.20	...	55.71	Sale
	2,000	217.91	02/03/12	162.20	...	55.71	Sale
07/24/14	5,000	544.77	VARIOUS	405.50	...	139.27	Total of 3 lots
2 tax lots for 09/23/14.							
	1,000	105.54	01/08/13	83.52	...	22.02	Sale
	2,000	211.09	01/08/13	167.04	...	44.05	Sale
09/23/14	3,000	316.63	VARIOUS	250.56	...	66.07	Total of 2 lots
	Security total	1,205.82		882.18	...	323.64	
UNIVERSAL HLTH SVCS INC CL B / CUSIP: 913903100 / Symbol: UHS							
07/01/14	1,000	94.93	07/02/12	62.28	...	32.65	Sale
09/15/14	2,000	223.19	07/02/12	85.76	...	137.43	Sale
	Security total	318.12		128.04	...	189.48	
VECTREN CORP / CUSIP: 92240G101 / Symbol: VVC							
01/17/14	2,000	72.73	05/25/11	58.16	...	14.57	Sale
3 tax lots for 05/02/14.							
	1,000	40.26	05/25/11	28.08	...	12.18	Sale
	1,000	40.26	05/25/11	28.08	...	12.19	Sale
	8,000	322.12	05/25/11	230.00	...	92.12	Sale
05/02/14	10,800	402.64	VARIOUS	286.16	...	116.48	Total of 3 lots
3 tax lots for 10/24/14.							
	1,000	43.87	11/09/11	28.75	...	15.06	Sale
	5,000	219.03	05/22/13	180.35	...	38.68	Sale
	5,000	219.03	09/09/13	162.08	...	56.95	Sale
10/24/14	11,000	481.93	VARIOUS	371.18	...	110.70	Total of 3 lots
	Security total	957.24		713.50	...	243.76	
VECTRUS INC / CUSIP: 92242T101 / Symbol: VEC							
	2 tax lots for 10/09/14.						
	1,000	20.69	07/30/12	11.18	...	9.51	Sale

Oppenheimer & Co. Inc. **Account AB10750438**

2014 **Proceeds Not Reported to the IRS** (continued)

LONG-TERM TRANSACTIONS						
Report on Form 8949, Part II, with Box F checked						
Description of property						
Date sold or disposed	Quantity	Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(s) Additional information
VECTRUS INC / CUSIP: 92242T1C1 / Symbol: VEC (cont'd)						
10/09/14	1,000	20.70	07/30/12	11.18		9.51 Sale
	2,000	41.39	VARIOUS	22.36		19.02 Total of 2 lots
WELLS FARGO & CO NEW / CUSIP: 948746101 / Symbol: WFC						
01/17/14	7,000	324.80	03/15/12	237.19		87.61 Sale
07/01/14	4,000	211.11	03/15/12	135.54		75.57 Sale
		535.91		372.73		163.18
Security total:						
WHITING PETE CORP NEW / CUSIP: 966387102 / Symbol: WILL						
01/17/14	2,000	119.45	11/29/12	83.64		35.81 Sale
WPX ENERGY INC / CUSIP: 98212B103 / Symbol: WPX						
06/27/14	21,000	483.51	03/21/13	335.82		147.69 Sale
07/01/14	2,000	46.95	03/21/13	31.98		15.01 Sale
		530.50		367.80		162.70
Security total:						
ZEBRA TECHNOLOGIES CORP CL A / CUSIP: 986207105 / Symbol: ZBRA						
01/17/14	2,000	107.82	06/18/12	64.87		42.95 Sale
	2 tax lots for 02/21/14.					
	1,000	65.50	01/23/13	42.87		23.03 Sale
	6,000	395.46	01/23/13	257.24		138.22 Sale
02/21/14	7,000	461.36	VARIOUS	300.11		161.25 Total of 2 lots
	2 tax lots for 02/24/14.					
	1,000	65.87	01/30/13	42.87		24.00 Sale
	10,000	668.77	01/30/13	428.73		240.04 Sale
02/24/14	11,000	735.84	VARIOUS	471.60		264.04 Total of 2 lots
	Security total:					
LIBERTY GLOBAL PLC SHS CL A / CUSIP: G5480L104 / Symbol: LBTYA						
	3 tax lots for 04/03/14.					
	3,000	117.99	02/27/13	102.93		15.06 Sale
	3,000	118.50	02/27/13	102.93		15.57 Sale

Openheimer & Co. Inc. Account AB10750438

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS										NOT reported on Form 1099-B	
Report on Form 9949, Part II, with Box C checked											
Description of property											
Date sold or disposed		Quantity	Proceeds (Gross) (Net)	Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information		
LIBERTY GLOBAL PLC SHS CL A / CUSIP: G5480U104 / Symbol: LBTYA (cont'd)											
04/08/14		3,000	118.00	118.00	03/27/13	108.91	..	9.09	Sale		
		9,000	353.86	353.86	VARIOUS	314.77	..	39.22	Total of 3 lots		
LIBERTY GLOBAL PLC SHS CL C / CUSIP: G5480U120 / Symbol: LBTYC											
3 tax lots for 04/08/14											
		3,000	114.26	114.26	02/27/13	100.68	..	13.58	Sale		
		3,000	114.27	114.27	02/27/13	100.68	..	13.58	Sale		
		3,000	114.27	114.27	03/27/13	106.53	..	7.73	Sale		
04/08/14		9,000	342.80	342.80	VARIOUS	307.89	..	34.89	Total of 3 lots		
NOBLE CORP PLC SHS USD / CUSIP: G65431101 / Symbol: NE											
01/17/14		3,000	105.49	105.49	11/15/12	101.92	-	4.57	Sale		
6 tax lots for 04/23/14											
		5,000	153.80	153.80	11/15/12	169.87	-	-16.07	Sale		
		6,000	184.56	184.56	11/15/12	203.84	..	-19.28	Sale		
		2,000	61.52	61.52	11/19/12	68.90	..	-7.38	Sale		
		4,000	123.05	123.05	11/19/12	137.80	..	-14.76	Sale		
		4,000	123.05	123.05	03/08/13	141.10	..	-18.05	Sale		
		6,000	184.56	184.56	03/08/13	211.65	..	-27.09	Sale		
04/23/14		27,000	830.54	830.54	VARIOUS	933.16	..	-102.63	Total of 6 lots		
		Security total:	937.03	937.03		1,035.08	-	-98.06			
TE CONNECTIVITY LTD REG SHS / CUSIP: H84989104 / Symbol: TEL											
2 tax lots for 11/11/14											
		4,000	200.06	200.06	08/23/13	200.06	..	45.71	Sale		
		3,000	158.54	158.54	09/11/13	158.54	-	25.79	Sale		
11/11/14		7,000	358.60	358.60	VARIOUS	358.60	-	71.50	Total of 2 lots		
TRANSOCEAN LTD REG SHS / CUSIP: H8817H100 / Symbol: RIG											
07/01/14		2,000	89.32	89.32	05/20/13	106.05	..	-16.73	Sale		
12/02/14		47,000	910.35	910.35	05/20/13	2,492.20	-	-1,581.85	Sale		
		Security total:	999.67	999.67		2,598.25	..	-1,598.58			

Oppenheimer & Co., Inc. Account A810750438
 2014
 Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross)	Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7- Loss not allowed(X)	Additional information
02/13/14	15,000	872.17		02/12/13	527.14		345.03	Sale
					95,074.54		27,980.31	
	Totals:	124,054.89						

APPROVED FOR SIGNATURE