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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation THE CODISPOTI FOUNDATION INC		A Employer identification number 22-3361120
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 79	Room/suite	B Telephone number 516-307-1077
City or town, state or province, country, and ZIP or foreign postal code REDBANK, NJ 07701		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 8077381.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		61124.	61124.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		154501.			
b Gross sales price for all assets on line 6a		3867424.			
7 Capital gain net income (from Part IV, line 2)			154501.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		21474.	21474.		Statement 2
12 Total. Add lines 1 through 11		237099.	237099.		
13 Compensation of officers, directors, trustees, etc		74951.	7495.		67456.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 3		1112.	556.		556.
b Accounting fees Stmt 4		14000.	7000.		7000.
c Other professional fees					
17 Interest					
18 Taxes Stmt 5		27968.	12952.		12756.
19 Depreciation and depletion		6860.	6860.		
20 Occupancy					
21 Travel, conferences, and meetings		65756.	32878.		32878.
22 Printing and publications					
23 Other expenses Stmt 6		260080.	130040.		130040.
24 Total operating and administrative expenses. Add lines 13 through 23		450727.	197781.		250686.
25 Contributions, gifts, grants paid		24620.			24620.
26 Total expenses and disbursements. Add lines 24 and 25		475347.	197781.		275306.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-238248.			
b Net investment income (if negative, enter -0-)			39318.		
c Adjusted net income (if negative, enter -0-)				N/A	

423501

11-24-14

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014) 2

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing		2.	2.	
	2 Savings and temporary cash investments	107016.	105267.	105267.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock Stmt 8	3920638.	2799935.	2537471.	
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings, and equipment basis ▶ 4664600.			
Less: accumulated depreciation ▶		4590835.	4664600.	4000000.	
12 Investments - mortgage loans					
13 Investments - other Stmt 9		781595.	1166567.	1372430.	
14 Land, buildings, and equipment: basis ▶ 172831.					
Less: accumulated depreciation ▶ 110620.		69071.	62211.	62211.	
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		9469155.	8798582.	8077381.	
17 Accounts payable and accrued expenses					
18 Grants payable					
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
29 Retained earnings, accumulated income, endowment, or other funds	9469155.	8798582.			
30 Total net assets or fund balances	9469155.	8798582.			
31 Total liabilities and net assets/fund balances	9469155.	8798582.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9469155.
2 Enter amount from Part I, line 27a	2	-238248.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	9230907.
5 Decreases not included in line 2 (itemize) ▶ See Statement 7	5	432325.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8798582.

Part IV Capital Gains and Losses for Tax on Investment Income

See Attached Statements

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	3867424.	3712923.	154501.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			154501.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	154501.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	243305.	8930477.	.027244
2012	256578.	8861839.	.028953
2011	285546.	9139438.	.031243
2010	349204.	9438562.	.036998
2009	268002.	9472080.	.028294

2 Total of line 1, column (d)	2	.152732
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.030546
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	8735012.
5 Multiply line 4 by line 3	5	266820.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	393.
7 Add lines 5 and 6	7	267213.
8 Enter qualifying distributions from Part XII, line 4	8	275306.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 393.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 393.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 393.
6 Credits/Payments:		
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	393.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **N/A**

14 The books are in care of **ROCHLIN GREENBLATT GALLO LLP** Telephone no. **516-307-1077**
 Located at **600 OLD COUNTRY ROAD, GARDEN CITY, NY** ZIP+4 **11530**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **Yes** **No**
16 **X**

See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? N/A	☐ Yes ☒ No	
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A	N/A	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS CODISPOTI 15147 BROLIO LANE NAPLES, FL 34110	TRUSTEE 40.00	74951.	0.	0.
JOYCE CODISPOTI 15147 BROLIO LANE NAPLES, FL 34110	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

(continued)

(a) Name and address of each person paid more than \$50,000

Total number of others receiving over \$50,000 for professional services

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
	All other program-related investments. See instructions.	
3		
Total. Add lines 1 through 3		0

Form **990-PF** (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3068643.
b	Average of monthly cash balances	1b	106142.
c	Fair market value of all other assets	1c	5693247.
d	Total (add lines 1a, b, and c)	1d	8868032.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8868032.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	133020.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8735012.
6	Minimum investment return. Enter 5% of line 5	6	436751.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	436751.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	393.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	393.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	436358.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	436358.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	436358.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	275306.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	275306.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	393.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	274913.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2014)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				436358.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	208542.			
b From 2010	349204.			
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	557746.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$	275306.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				275306.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	161052.			161052.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	396694.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	47490.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	349204.			
10 Analysis of line 9:				
a Excess from 2010	349204.			
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

N/A

- (4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVI Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCHEDULE ATTACHED				
Total			3a	0.
b Approved for future payment				
None				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					61124.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					21474.
8 Gain or (loss) from sales of assets other than inventory					154501.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	237099.
13 Total. Add line 12, columns (b), (d), and (e)				13	237099.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
1	THE PURPOSE OF THIS FOUNDATION IS TO ENGAGE IN A WIDE VARIETY OF CHARITABLE ACTIVITIES. INCOME GENERATED FROM INVESTMENTS IS USED TO MEET THE STATED PURPOSE OF THE FOUNDATION.
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THE CODISPOTI FOUNDATION INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO 5181-8662	P		
b	WELLS FARGO 5181-8662	P		
c	WELLS FARGO 5181-8662	P		
d	WELLS FARGO 1108-3244	P		
e	WELLS FARGO 1108-3244	P		
f	WELLS FARGO 1108-3244	P		
g	WELLS FARGO 2730-2170	P		
h	WELLS FARGO 2730-2170	P		
i	WELLS FARGO 7645-3295	P		
j	WELLS FARGO 7645-3295	P		
k	RBC 876-06863	P		
l	RBC 876-07273	P		
m	RBC 876-00037	P		
n	RBC 876-00037	P		
o	RBC 876-00036	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	164890.	159669.	5221.
b	143510.	105453.	38057.
c	23174.	11743.	11431.
d	46310.	45462.	848.
e	101576.	69997.	31579.
f	57526.	44621.	12905.
g	662193.	651533.	10660.
h	43744.	35617.	8127.
i	66644.	58273.	8371.
j	45038.	49387.	-4349.
k	404224.	407830.	-3606.
l	109452.	110432.	-980.
m	6476.	5874.	602.
n	143330.	98464.	44866.
o	218010.	208791.	9219.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5221.
b			38057.
c			11431.
d			848.
e			31579.
f			12905.
g			10660.
h			8127.
i			8371.
j			-4349.
k			-3606.
l			-980.
m			602.
n			44866.
o			9219.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RBC 876-00036		P		
b RBC 876-00038		P		
c RBC 876-00038		P		
d RBC 876-00042		P		
e RBC 876-00042		P		
f RBC 876-00043		P		
g RBC 876-00043		P		
h RBC 876-00040		P		
i RBC 876-00040		P		
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 72387.		286128.	-213741.
b 174618.		175244.	-626.
c 331354.		314400.	16954.
d 313346.		310243.	3103.
e 128009.		79980.	48029.
f 183967.		188747.	-4780.
g 202483.		131492.	70991.
h 41553.		41259.	294.
i 183610.		122284.	61326.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-213741.
b			-626.
c			16954.
d			3103.
e			48029.
f			-4780.
g			70991.
h			294.
i			61326.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	154501.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
VARIOUS	61124.	0.	61124.	61124.	
To Part I, line 4	61124.	0.	61124.	61124.	

Form 990-PF Other Income Statement 2

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
RENTAL INCOME	17500.	17500.	
MISC	3974.	3974.	
Total to Form 990-PF, Part I, line 11	21474.	21474.	

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL	1112.	556.		556.
To Fm 990-PF, Pg 1, ln 16a	1112.	556.		556.

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	14000.	7000.		7000.
To Form 990-PF, Pg 1, ln 16b	14000.	7000.		7000.

Form 990-PF	Taxes			Statement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	196.	196.		0.
REAL ESTATE	25512.	12756.		12756.
FEDERAL TAXES	2260.	0.		0.
To Form 990-PF, Pg 1, ln 18	27968.	12952.		12756.

Form 990-PF	Other Expenses			Statement 6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT FEES & BANK CHARGES	83842.	41921.		41921.
COMPUTER	3134.	1567.		1567.
OFFICE SUPPLIES & POSTAGE	13622.	6811.		6811.
TELEPHONE & UTILITIES	22098.	11049.		11049.
DUES & SUBSCRIPTIONS	534.	267.		267.
MEDICAL	14112.	7056.		7056.
MAINTENANCE	82376.	41188.		41188.
INSURANCE	25672.	12836.		12836.
SECURITY & PROTECTION	5426.	2713.		2713.
SEMINARS & EDUCATION	9264.	4632.		4632.
To Form 990-PF, Pg 1, ln 23	260080.	130040.		130040.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement 7
Description		Amount
ADJUSTMENT TO PRIOR YEARS UNREALIZED GAIN (LOSS)		432325.
Total to Form 990-PF, Part III, line 5		432325.

Form 990-PF

Corporate Stock

Statement 8

Description	Book Value	Fair Market Value
BBR 876-00036	975259.	178223.
BBR 876-00038	0.	0.
BBR 876-00040	349652.	446362.
BBR 876-00043	381693.	512152.
BBR 876-00042	0.	0.
BBR 876-00037	300458.	463320.
WELLS FARGO 5181-8662	378439.	476300.
WELLS FARGO 1108-3244	339634.	432772.
WELLS FARGO 2730-2170	0.	0.
WELLS FARGO 7645-3295	74800.	28342.
BBR 876-06863	0.	0.
Total to Form 990-PF, Part II, line 10b	2799935.	2537471.

Form 990-PF

Other Investments

Statement 9

Description	Valuation Method	Book Value	Fair Market Value
ANNUITIES	COST	5958.	5958.
OTHER	COST	967314.	1150000.
BBR 876-07273	COST	193295.	216472.
Total to Form 990-PF, Part II, line 13		1166567.	1372430.

2:35 PM

04/06/15

Cash Basis

THE CODISPODI FOUNDATION, INC.
Transaction Detail By Account
 January through December 2014

Type	Date	Num	Name	Memo	Split	Original Amt	Balance
4620 - Gifts & Grants							
Check	01/08/2014	7305	ROWAN UNIVERSITY		1028 - Cash - ..	1,000.00	1,000.00
Check	04/05/2014	7336	BOYS AND GIRLS CLUB		1028 - Cash - ..	1,000.00	2,000.00
Check	04/10/2014	7338	FIREFIGHTERS BURN FUND		1028 - Cash - ..	100.00	2,100.00
Check	04/10/2014	7339	LSWFD		1028 - Cash - ..	200.00	2,300.00
Check	05/01/2014	7358	SOMERSET MED FOUNDATION		1028 - Cash - ..	3,000.00	5,300.00
Check	05/19/2014	DM	Election Fund		1028 - Cash - ..	300.00	5,600.00
Check	06/09/2014	7229	Colonial Williamsburg Fund		1028 - Cash - ..	5,400.00	11,000.00
Check	06/22/2014	7342	Friends of Little Silver		1028 - Cash - ..	100.00	11,100.00
Check	07/24/2014	7240	PBA		1028 - Cash - ..	100.00	11,200.00
Check	08/04/2014	7241	WAVES OF GRACE		1028 - Cash - ..	1,000.00	12,200.00
Check	09/03/2014	7246	ASLAN YOUTH MINISRIES		1028 - Cash - ..	1,000.00	13,200.00
Check	09/05/2014	7254	Meridian Foundation		1028 - Cash - ..	1,000.00	14,200.00
Check	10/05/2014	7265	FIREFIGHTERS BURN FUND		1028 - Cash - ..	100.00	14,300.00
Check	10/24/2014	DM	American Cancer Society		1028 - Cash - ..	200.00	14,500.00
Check	11/06/2014	7444	MOUNT ST MARYS UNIV		1028 - Cash - ..	10,000.00	24,500.00
Check	11/14/2014	DM	ST JAMES CHURCH		1028 - Cash - ..	120.00	24,620.00
Total 4620 - Gifts & Grants						24,620.00	24,620.00
TOTAL						24,620.00	24,620.00

2014 Year-End Account Summary

As of Date: 03/15/15

Page 4 of 20

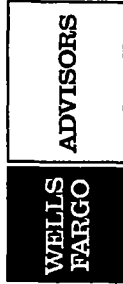
Account Number: 5181-8662
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
BED BATH & BEYOND INC CUSIP: 075896100	45.00000	03/05/2014	10/27/2014	2,941.13	3,090.89		0.00	-149.76	
DIAMOND OFFSHORE DRILLING INC CUSIP: 25271C102	70.00000	12/02/2014	12/22/2014	2,683.36	2,127.67		0.00	555.69	
EBAY INC CUSIP: 278642103	55.00000	05/15/2014	10/27/2014	2,810.44	2,803.67		0.00	6.77	
ISHARES MSCI CANADA ETF INDEX FUND CUSIP: 464286509	21.00000	09/02/2014	09/10/2014	680.18	686.77		0.00	-6.59	
ISHARES MSCI EMU ETF CUSIP: 464286608	258.00000	09/02/2014	09/10/2014	10,328.77	10,313.16		0.00	15.61	
ISHARES MSCI PACIFIC ETF EX-JAPAN CUSIP: 464286665	15.00000 64.00000 22.00000	03/10/2014 04/07/2014 09/02/2014	09/10/2014 09/10/2014 09/10/2014	756.88 3,229.37 1,110.10	706.50 3,100.16 1,125.37		0.00 0.00 0.00	50.38 129.21 -15.27	
Subtotals	101.00000			\$5,096.35	\$4,932.03		\$0.00	\$164.32	
ISHARES MSCI ETF SWITZERLAND CAPPED CUSIP: 464286749	154.00000	09/02/2014	09/10/2014	5,136.41	5,211.33		0.00	-74.92	



2014 Year-End Account Summary

Page 5 of 20

As of Date: 03/15/15

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES TIP BOND ETF CUSIP: 464287176	38.00000	05/12/2014	09/10/2014	4,296.94	4,323.36		0.00	-26.42	
	15.00000	06/16/2014	09/10/2014	1,696.16	1,707.75		0.00	-11.59	
	13.00000	07/07/2014	09/10/2014	1,470.01	1,486.53		0.00	-16.52	
Subtotals	66.00000			\$7,463.11	\$7,517.64		\$0.00	(\$54.53)	
ISHARES MSCI ETF EMERGING MARKETS CUSIP: 464287234	7.00000	03/03/2014	09/10/2014	310.72	270.50		0.00	40.22	
	121.00000	03/10/2014	09/10/2014	5,371.08	4,746.32		0.00	624.76	
	95.00000	04/07/2014	09/10/2014	4,216.96	3,940.31		0.00	276.65	
	7.00000	06/09/2014	09/10/2014	310.73	306.18		0.00	4.55	
Subtotals	230.00000			\$10,209.49	\$9,263.31		\$0.00	\$946.18	
ISHARES IBOXX & ETF INVESTMENT GRADE CORP BD CUSIP: 464287242	38.00000	12/02/2013	09/10/2014	4,497.57	4,334.89		0.00	162.68	
	14.00000	06/16/2014	09/10/2014	1,657.00	1,658.30		0.00	-1.30	
	12.00000	07/07/2014	09/10/2014	1,420.30	1,417.68		0.00	2.62	
Subtotals	64.00000			\$7,574.87	\$7,410.87		\$0.00	\$164.00	
ISHARES ETF 7-10 YR TREASURY BOND CUSIP: 464287440	73.00000	07/14/2014	09/10/2014	7,541.46	7,549.51		0.00	-8.05	
ISHARES ETF U.S. TECHNOLOGY CUSIP: 464287721	40.00000	02/10/2014	09/10/2014	4,093.90	3,516.33		0.00	577.57	
	8.00000	07/07/2014	09/10/2014	818.78	788.64		0.00	30.14	
	21.00000	07/28/2014	09/10/2014	2,149.31	2,100.77		0.00	48.54	
Subtotals	69.00000			\$7,061.99	\$6,405.74		\$0.00	\$656.25	
ISHARES ETF U.S. REAL ESTATE CUSIP: 464287739	102.00000	08/18/2014	09/10/2014	7,502.96	7,503.64		0.00	-0.68	

2014 Year-End Account Summary

As of Date: 03/15/15

Page 6 of 20

Account Number: 5181-8682

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES ETF U.S. INDUSTRIALS CUSIP: 464287754	66.00000	08/18/2014	09/10/2014	6,838.77	6,718.10		0.00	120.67	
	1.00000	09/02/2014	09/10/2014	103.62	103.79		0.00	-0.17	
Subtotals	67.00000			\$6,942.39	\$6,821.89		\$0.00	\$120.50	
ISHARES ETF U.S. HEALTHCARE CUSIP: 464287762	33.00000	02/10/2014	09/10/2014	4,474.04	3,945.68		0.00	528.36	
	5.00000	07/07/2014	09/10/2014	677.88	658.14		0.00	19.74	
	15.00000	07/28/2014	09/10/2014	2,033.66	1,950.55		0.00	83.11	
Subtotals	53.00000			\$7,185.58	\$6,554.37		\$0.00	\$631.21	
ISHARES ETF U.S. ENERGY CUSIP: 464287796	60.00000	02/24/2014	09/10/2014	3,227.81	2,989.86		0.00	237.95	
	11.00000	04/07/2014	09/10/2014	591.76	559.90		0.00	31.86	
	15.00000	07/07/2014	09/10/2014	806.95	856.21		0.00	-49.26	
	38.00000	07/28/2014	09/10/2014	2,044.29	2,158.35		0.00	-114.06	
Subtotals	124.00000			\$6,670.81	\$6,564.32		\$0.00	\$106.49	
ISHARES IBOX \$ ETF HIGH YIELD CORP BOND CUSIP: 464288513	80.00000	08/18/2014	09/10/2014	7,421.44	7,530.86		0.00	-109.42	
ISHARES MBS ETF CUSIP: 464288588	70.00000	08/11/2014	09/10/2014	7,550.73	7,557.97		0.00	-7.24	
ISHARES ETF FLOATING RATE BOND CUSIP: 464298655	76.00000	12/09/2013	09/10/2014	3,860.26	3,850.14		0.00	10.12	
	11.00000	02/24/2014	09/10/2014	558.72	557.59		0.00	1.13	
	33.00000	06/16/2014	09/10/2014	1,676.17	1,675.31		0.00	0.86	
	29.00000	07/07/2014	09/10/2014	1,473.00	1,472.60		0.00	0.40	
Subtotals	149.00000			\$7,568.15	\$7,555.64		\$0.00	\$12.51	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 7 of 20

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
SPDR S&P 500 TRUST ETF CUSIP: 78462F103	37.00000	08/25/2014	09/10/2014	7,396.87	7,408.95		0.00	-12.08	
SELECT SECTOR SPDR FD MATERIALS CUSIP: 81369Y100	73.00000 20.00000 44.00000 137.00000	02/10/2014 07/07/2014 07/28/2014	09/10/2014 09/10/2014 09/10/2014	3,649.48 999.85 2,199.70 \$6,849.03	3,276.93 1,004.84 2,209.49 \$6,491.26		0.00 0.00 0.00 \$0.00	372.55 -4.99 -9.79 \$357.77	
Subtotals				6,981.41	6,774.82		0.00	206.59	
SELECT SECTOR SPDR TR CONSUMER STAPLES CUSIP: 81369Y308	154.00000	08/11/2014	09/10/2014	6,978.07	6,739.04		0.00	239.03	
Subtotals									
SELECT SECTOR SPDR FD CONSUMER DISCRETIONARY CUSIP: 81369Y407	102.00000	08/04/2014	09/10/2014	6,978.07	6,739.04		0.00	239.03	
Subtotals									
FINANCIAL SELECT SECTOR SPDR CUSIP: 81369Y605	162.00000 43.00000 96.00000 301.00000	02/10/2014 07/07/2014 07/28/2014	09/10/2014 09/10/2014 09/10/2014	3,781.78 1,003.80 2,241.07 \$7,026.65	3,448.64 993.20 2,202.62 \$6,644.46		0.00 0.00 0.00 \$0.00	333.14 10.60 38.45 \$382.19	
Subtotals									
THE SOUTHERN COMPANY CUSIP: 842587107	166.00000 34.00000 200.00000	12/31/2013 12/31/2013	04/29/2014 04/30/2014	7,693.02 1,567.68 \$9,260.70	6,813.51 1,395.53 \$8,209.04		0.00 0.00 \$0.00	879.51 172.15 \$1,051.66	
Subtotals									
TORCHMARK CORP CUSIP: 891027104	0.50000	07/02/2014	07/02/2014	27.35	0.01		0.00	27.34	
Totals				\$164,889.70	\$159,668.86		\$0.00	\$5,220.84	

2014 Year-End Account Summary

Page 8 of 20

As of Date: 03/15/15

Account Number: 5181-8662

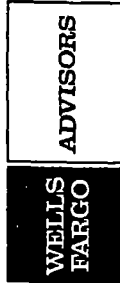
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
BARRICK GOLD CORP CUSIP: 067901108	60.00000	03/10/2011	10/27/2014	800.07	3,011.72		0.00	-2,211.65	
	85.00000	03/15/2012	10/27/2014	1,133.43	3,728.13		0.00	-2,594.70	
	15.00000	08/13/2012	10/27/2014	200.02	521.95		0.00	-321.93	
Subtotals	160.00000			\$2,133.52	\$7,261.80		\$0.00	(\$5,128.28)	
BECTON DICKINSON & CO CUSIP: 075887109	90.00000	05/29/2012	10/15/2014	11,143.58	6,667.51		0.00	4,476.07	
CHUBB CORP CUSIP: 171232101	40.00000	03/10/2011	10/27/2014	3,912.31	2,361.47		0.00	1,550.84	
CISCO SYSTEMS INC CUSIP: 17275R102	60.00000	03/10/2011	12/22/2014	1,690.16	1,077.00		0.00	613.16	
	50.00000	05/24/2011	12/22/2014	1,408.48	814.81		0.00	593.67	
Subtotals	110.00000			\$3,098.64	\$1,891.81		\$0.00	\$1,206.83	
DEVON ENERGY CORP CUSIP: 25179M103	70.00000	12/19/2012	06/12/2014	5,392.15	3,774.58		0.00	1,617.57	
	90.00000	12/19/2012	10/21/2014	5,348.82	4,853.02		0.00	495.80	
Subtotals	160.00000			\$10,740.97	\$8,627.60		\$0.00	\$2,113.37	
DR PEPPER SNAPPLE GROUP INC CUSIP: 26138E109	90.00000	03/10/2011	08/22/2014	5,449.10	3,360.18		0.00	2,088.92	
	65.00000	03/10/2011	10/27/2014	4,396.50	2,426.79		0.00	1,969.71	
Subtotals	155.00000			\$9,845.60	\$5,786.97		\$0.00	\$4,058.63	
EXELON CORPORATION CUSIP: 30161N101	85.00000	05/09/2012	04/24/2014	3,060.45	3,275.89		0.00	-215.44	
	55.00000	05/09/2012	05/05/2014	1,984.81	2,119.69		0.00	-134.88	
	35.00000	05/09/2012	10/27/2014	1,231.62	1,348.89		0.00	-117.27	
	95.00000	10/04/2012	10/27/2014	3,342.98	3,412.61		0.00	-69.63	
Subtotals	270.00000			\$9,619.86	\$10,157.08		\$0.00	(\$537.22)	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 9 of 20

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
EXPRESS SCRIPTS HLDG CO CUSIP: 30219G108	40.00000	06/19/2013	10/27/2014	2,933.13	2,506.64		0.00	426.49	
EXXON MOBIL CORP CUSIP: 30231G102	35.00000	03/10/2011	10/27/2014	3,269.27	2,864.75		0.00	404.52	
ISHARES MSCI CANADA ETF INDEX FUND CUSIP: 464286509	139.00000	09/03/2013	09/10/2014	4,502.12	3,830.73		0.00	671.39	
MEDTRONIC INC CUSIP: 585055106	55.00000	03/10/2011	10/27/2014	3,654.66	2,134.83		0.00	1,519.83	
MICROSOFT CORP CUSIP: 594918104	45.00000 65.00000 110.00000	03/10/2011 03/10/2011 03/10/2011	02/25/2014 10/27/2014 10/27/2014	1,691.75 2,982.78 \$4,674.53	1,145.23 1,654.22 \$2,799.45		0.00 0.00 \$0.00	546.52 1,328.56 \$1,875.08	
MOLSON COORS BREWING CO CL-B CUSIP: 60871R209	100.00000 15.00000 50.00000 165.00000	03/10/2011 03/10/2011 03/10/2011 03/10/2011	05/27/2014 05/28/2014 10/27/2014 10/27/2014	6,472.41 963.67 3,617.43 \$11,053.51	4,422.67 663.40 2,211.33 \$7,297.40		0.00 0.00 0.00 \$0.00	2,049.74 300.27 1,406.10 \$3,756.11	
NEWMONT MINING CORP XXX CUSIP: 651639106	25.00000 60.00000 45.00000 130.00000	03/10/2011 02/28/2013 04/19/2013 04/19/2013	12/22/2014 12/22/2014 12/22/2014 12/22/2014	459.60 1,103.04 827.29 \$2,389.93	1,287.16 2,439.06 1,499.69 \$5,225.91		0.00 0.00 0.00 \$0.00	-827.56 -1,336.02 -672.40 (\$2,835.98)	
NORTHROP GRUMMAN CORP NEW CUSIP: 666807102	55.00000 55.00000 110.00000	03/10/2011 03/10/2011 03/10/2011	01/03/2014 08/22/2014 08/22/2014	6,253.26 7,028.24 \$13,281.50	3,307.22 3,307.20 \$6,614.42		0.00 0.00 \$0.00	2,946.04 3,721.04 \$6,667.08	

2014 Year-End Account Summary

Page 10 of 20

As of Date: 03/15/15

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
PNC FINANCIAL SERVICES GROUP CUSIP: 693475105	25.00000 5.00000	08/10/2011 09/08/2011	10/27/2014 10/27/2014	2,068.30 413.66	1,142.25 240.24		0.00 0.00	926.05 173.42	
Subtotals	30.00000			\$2,481.96	\$1,382.49		\$0.00	\$1,099.47	
PEPSICO INCORPORATED CUSIP: 713448108	55.00000	03/10/2011	10/27/2014	5,210.03	3,546.05		0.00	1,663.98	
SCHWAB CHARLES CORP NEW CUSIP: 808513105	98.00000 17.00000 238.00000	09/15/2011 10/19/2011 10/19/2011	01/03/2014 01/03/2014 08/22/2014	2,533.06 439.41 6,823.06	1,182.44 206.56 2,891.74		0.00 0.00 0.00	1,350.62 232.85 3,931.32	
Subtotals	353.00000			\$9,795.53	\$4,280.74		\$0.00	\$5,514.79	
SIGMA ALDRICH CORP CUSIP: 826552101	58.00000 12.00000	03/10/2011 03/10/2011	02/19/2014 02/20/2014	5,449.49 1,124.08	3,584.47 741.61		0.00 0.00	1,865.02 382.47	
Subtotals	70.00000			\$6,573.57	\$4,326.08		\$0.00	\$2,247.49	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR CUSIP: 874039100	115.00000	08/20/2013	10/27/2014	2,446.51	1,822.10		0.00	624.41	
TARGET CORP CUSIP: 87612E106	70.00000 55.00000 10.00000	03/10/2011 03/10/2011 01/06/2012	10/27/2014 11/25/2014 11/25/2014	4,296.51 3,943.53 717.01	3,573.26 2,807.56 491.12		0.00 0.00 0.00	723.25 1,135.97 225.89	
Subtotals	135.00000			\$8,957.05	\$6,871.94		\$0.00	\$2,085.11	
TRAVELERS COS INC/ THE CUSIP: 89417E109	35.00000	03/10/2011	10/27/2014	3,456.87	2,053.67		0.00	1,403.20	



2014 Year-End Account Summary

As of Date: 03/15/15

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
US BANCORP NEW CUSIP: 902973304	70.00000	08/10/2011	10/27/2014	2,867.14	1,536.76		0.00	1,330.38	
WAL-MART STORES INC CUSIP: 931142103	60.00000	03/10/2011	10/27/2014	4,597.69	3,144.60		0.00	1,453.09	
WELLS FARGO COMPANY CUSIP: 949746101	17.00000	06/01/2011	10/27/2014	870.05	460.55		0.00	409.50	
Totals				\$143,509.53	\$105,453.35		\$0.00	\$38,056.18	

2014 Year-End Account Summary

Page 12 of 20

As of Date: 03/15/15

Account Number: 5181-8662

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
AMERICAN EXPRESS COMPANY CUSIP: 025816109	53.00000	08/28/2007	03/07/2014	4,961.46	3,089.37		0.00	1,872.09	
	7.00000	04/28/2009	03/07/2014	655.29	172.05		0.00	483.24	
	20.00000	04/28/2009	12/22/2014	1,862.55	491.55		0.00	1,371.00	
Subtotals	80.00000			\$7,479.30	\$3,752.97		\$0.00	\$3,726.33	
BAXTER INTERNATIONAL INC CUSIP: 071813109	25.00000	07/29/2010	12/22/2014	1,874.20	1,111.51		0.00	762.69	
CVS HEALTH CORPORATION CUSIP: 126650100	23.00000	06/11/2010	12/22/2014	2,229.56	725.37		0.00	1,504.19	
	2.00000	08/19/2010	12/22/2014	193.88	57.37		0.00	136.51	
Subtotals	25.00000			\$2,423.44	\$782.74		\$0.00	\$1,640.70	
JOHNSON & JOHNSON CUSIP: 478160104	13.00000	09/24/2009	03/07/2014	1,211.07	789.46		0.00	421.61	
	27.00000	04/27/2010	03/07/2014	2,515.32	1,741.49		0.00	773.83	
	13.00000	04/27/2010	12/22/2014	1,384.98	838.49		0.00	546.49	
	2.00000	06/14/2010	12/22/2014	213.08	117.40		0.00	95.68	
Subtotals	55.00000			\$5,324.45	\$3,486.84		\$0.00	\$1,837.61	
PROCTER & GAMBLE CO CUSIP: 742718109	45.00000	04/28/2009	10/27/2014	3,872.16	2,241.88		0.00	1,630.28	
WELLS FARGO COMPANY CUSIP: 949746101	43.00000	03/06/2009	10/27/2014	2,200.68	366.56		0.00	1,834.12	
Totals				\$23,174.23	\$11,742.50		\$0.00	\$11,431.73	



2014 Consolidated Forms 1099

As of Date: 02/18/15

Page 5 of 14

Account Number: 1108-3244
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 9949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

1099-B Proceeds from Broker and Barter Exchange Transactions OMB No. 1545-0045

Short Term Gains/Losses - Report on Form 9949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term
Box 3: Basis Reported to the IRS
Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ABBOTT LABORATORIES CUSIP: 002824100	55.00000	02/03/2014	08/07/2014	2,284.40	2,015.44		0.00	268.96	
	41.00000	02/03/2014	09/23/2014	1,755.17	1,502.41		0.00	252.76	
Subtotals	96.00000			\$4,039.57	\$3,517.85		\$0.00	\$521.72	
ACTIVISION BLIZZARD INC CUSIP: 00507V109	94.00000	09/13/2013	08/13/2014	2,157.27	1,593.58		0.00	563.69	
AKAMAI TECH INC CUSIP: 00971T101	50.00000	03/15/2013	02/11/2014	2,938.30	1,740.85		0.00	1,197.45	
BAKER HUGHES INC CUSIP: 057224107	57.00000	07/10/2013	02/25/2014	3,548.45	2,786.92		0.00	761.53	
BED BATH & BEYOND INC CUSIP: 075898100	5.00000	03/11/2014	09/23/2014	314.84	347.20		0.00	-32.36	
CSX CORP CUSIP: 126408103	115.00000	05/15/2013	01/23/2014	3,096.48	2,973.21		0.00	123.27	
	60.00000	05/16/2013	01/23/2014	1,615.56	1,544.87		0.00	70.69	
	55.00000	05/16/2013	01/24/2014	1,445.30	1,416.12		0.00	29.18	
	117.00000	05/17/2013	01/24/2014	3,074.57	3,033.89		0.00	40.68	
Subtotals	347.00000			\$9,231.91	\$8,968.09		\$0.00	\$263.82	
CHICOS FAS INC CUSIP: 168615102	50.00000	05/28/2014	08/22/2014	791.03	760.00		0.00	31.03	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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2014 Consolidated Forms 1099

As of Date: 02/18/15

Page 6 of 14

Account Number: 1108-3244
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions, continued OMB NO. 1545-0715

Short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term

Box 3: Basis Reported to the IRS

Box 5: Box not Checked (Covered Security)

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Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
COVANCE INC CUSIP: 222816100	23.00000	05/01/2014	11/11/2014	2,310.53	2,051.01		0.00	259.52	
	11.00000	09/12/2014	11/11/2014	1,105.05	943.36		0.00	161.69	
Subtotals	34.00000			\$3,415.58	\$2,994.37		\$0.00	\$421.21	
DELTA AIR LINES INC NEW CUSIP: 247361702	33.00000	01/23/2014	11/17/2014	1,450.47	1,072.57		0.00	377.90	
HALLIBURTON COMPANY CUSIP: 406216101	18.00000	02/13/2014	10/01/2014	1,155.66	960.49		0.00	195.17	
HELMERICH & PAYNE INC CUSIP: 423452101	26.00000	03/01/2013	01/07/2014	2,153.65	1,714.82		0.00	438.83	
MRC GLOBAL INC CUSIP: 55349K103	172.00000	12/19/2013	12/05/2014	2,942.40	5,504.09		0.00	-2,561.69	
	2.00000	12/23/2013	12/05/2014	34.22	63.06		0.00	-28.84	
	141.00000	12/23/2013	12/12/2014	1,997.85	4,445.63		0.00	-2,447.78	
	51.00000	02/24/2014	12/12/2014	722.63	1,322.33		0.00	-599.70	
Subtotals	366.00000			\$5,697.10	\$11,335.11		\$0.00	(\$5,638.01)	
SANDISK CORPORATION CUSIP: 80004C101	13.00000	10/22/2013	05/08/2014	1,158.35	897.47		0.00	260.88	
	19.00000	10/22/2013	07/07/2014	2,017.77	1,311.67		0.00	706.10	
Subtotals	32.00000			\$3,176.12	\$2,209.14		\$0.00	\$966.98	
SUNTRUST BANKS INC CUSIP: 867914103	51.00000	09/26/2013	07/07/2014	2,056.27	1,661.54		0.00	394.73	
TIDEWATER INC CUSIP: 886423102	27.00000	12/19/2013	02/13/2014	1,268.43	1,472.05		0.00	-203.62	

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2014 Consolidated Forms 1099

As of Date: 02/18/15

Account Number: 1108-3244

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions, continued OMB NO. 1545-0045

Short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term
Box 3: Basis Reported to the IRS
Box 5: Box not Checked (Covered Security)

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ZOETIS INC	55.00000	05/02/2014	09/23/2014	1,988.90	1,684.61		0.00	304.29	
CLASS A	21.00000	05/02/2014	11/17/2014	926.08	643.22		0.00	282.86	
CUSIP: 98978V103				\$2,914.98	\$2,327.83		\$0.00	\$587.15	
Subtotals	76.00000			\$46,309.63	\$45,462.41		\$0.00	\$847.22	

Totals (8949, Part I with Box A checked)

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2014 Consolidated Forms 1099

As of Date: 02/18/15

Page 8 of 14

Account Number: 1108-3244
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions Continued

Long Term Gains/Losses - Report on Form 8949, Part II with Box D checked

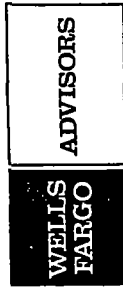
Box 2: Type of Gain or Loss - Long Term
Box 3: Basis Reported to the IRS
Box 5: Box not Checked (Covered Security)

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
AKAMAI TECH INC CUSIP: 00971T101	74.00000	03/28/2012	02/10/2014	4,240.59	2,764.23		0.00	1,476.36	
	5.00000	04/27/2012	02/10/2014	286.52	169.15		0.00	117.37	
	21.00000	07/17/2012	02/10/2014	1,203.42	633.66		0.00	569.76	
	13.00000	07/26/2012	02/10/2014	744.98	451.89		0.00	293.09	
	10.00000	07/26/2012	02/11/2014	587.65	347.60		0.00	240.05	
	10.00000	09/10/2012	02/11/2014	587.66	384.80		0.00	202.86	
Subtotals	133.00000			\$7,650.82	\$4,751.33		\$0.00	\$2,899.49	
BAKER HUGHES INC CUSIP: 057224107	19.00000	07/10/2013	10/01/2014	1,236.20	928.97		0.00	307.23	
	21.00000	07/10/2013	11/14/2014	1,239.25	1,026.76		0.00	212.49	
	16.00000	07/10/2013	11/17/2014	1,060.08	782.28		0.00	277.80	
	1.00000	07/12/2013	11/17/2014	66.26	48.87		0.00	17.39	
Subtotals	57.00000			\$3,601.79	\$2,786.88		\$0.00	\$814.91	
BAXTER INTERNATIONAL INC CUSIP: 071813109	7.00000	09/10/2012	02/04/2014	466.47	416.78		0.00	49.69	
BECTON DICKINSON & CO CUSIP: 075887109	5.00000	09/10/2012	09/22/2014	563.79	389.50		0.00	174.29	
CHICOS FAS INC CUSIP: 168615102	63.00000	06/01/2012	07/24/2014	1,025.01	886.64		0.00	138.37	
	95.00000	06/01/2012	08/19/2014	1,464.38	1,336.98		0.00	127.40	
	165.00000	06/05/2012	08/19/2014	2,543.40	2,392.04		0.00	151.36	
	70.00000	06/05/2012	08/22/2014	1,107.41	1,014.80		0.00	92.61	
	37.00000	01/23/2013	08/22/2014	585.35	654.90		0.00	-69.55	
	27.00000	01/29/2013	08/22/2014	427.14	474.08		0.00	-46.94	
	44.00000	05/29/2013	08/22/2014	696.09	785.12		0.00	-89.03	
	28.00000	06/20/2013	08/22/2014	442.97	465.07		0.00	-22.10	
	23.00000	06/24/2013	08/22/2014	363.86	365.70		0.00	-1.84	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2014 Consolidated Forms 1099

As of Date: 02/18/15

Page 9 of 14

Account Number: 1108-3244

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions, continued OMB No. 1545-0745

Long Term Gains/Losses - Report on Form 8949, Part II with Box D checked

Box 2: Type of Gain or Loss - Long Term

Box 3: Basis Reported to the IRS

Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
CHICOS FAS INC CUSIP: 168615102	552.00000			\$8,655.61	\$8,375.33		\$0.00	\$280.28	
Subtotals									
GOVANCE INC CUSIP: 222816100	31.00000	12/19/2012	02/06/2014	3,050.91	1,812.19		0.00	1,238.72	
	47.00000	12/19/2012	11/03/2014	4,711.97	2,747.50		0.00	1,964.47	
	21.00000	12/21/2012	11/03/2014	2,105.35	1,224.73		0.00	880.62	
	30.00000	12/21/2012	11/11/2014	3,013.74	1,749.61		0.00	1,264.13	
Subtotals	129.00000			\$12,881.97	\$7,534.03		\$0.00	\$5,347.94	
DTE ENERGY CO CUSIP: 233331107	64.00000	04/04/2012	02/12/2014	4,415.38	3,534.07		0.00	881.31	
	6.00000	04/09/2012	02/12/2014	413.95	326.88		0.00	87.07	
	61.00000	04/09/2012	02/14/2014	4,351.14	3,323.25		0.00	1,027.89	
	6.00000	09/10/2012	02/14/2014	427.99	357.48		0.00	70.51	
Subtotals	137.00000			\$9,608.46	\$7,541.68		\$0.00	\$2,066.78	
DOVER CORP COMMON CUSIP: 280003108	5.00000	09/10/2012	01/29/2014	450.04	295.20		0.00	154.84	
HELMERICH & PAYNE INC CUSIP: 423452101	23.00000	03/01/2013	06/06/2014	2,563.08	1,516.95		0.00	1,046.13	
	38.00000	03/04/2013	06/06/2014	4,234.68	2,427.35		0.00	1,807.33	
	40.00000	03/04/2013	06/10/2014	4,471.73	2,555.10		0.00	1,916.63	
	12.00000	04/04/2013	06/10/2014	1,341.52	706.20		0.00	635.32	
Subtotals	113.00000			\$12,611.01	\$7,205.60		\$0.00	\$5,405.41	
ICONIX BRAND GROUP INC CUSIP: 451055107	26.00000	12/11/2012	01/10/2014	1,011.65	552.83		0.00	458.82	
	23.00000	12/11/2012	02/05/2014	822.01	489.04		0.00	332.97	
	64.00000	12/11/2012	03/12/2014	2,593.73	1,360.79		0.00	1,232.94	
	14.00000	12/18/2012	03/12/2014	567.39	303.01		0.00	264.38	

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2014 Consolidated Forms 1099

Page 10 of 14

As of Date: 02/18/15

Account Number: 1108-3244

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions continued OMB No. 1545-0045

Long Term Gains/Losses - Report on Form 8949, Part II with Box D checked

Box 2: Type of Gain or Loss - Long Term

Box 3: Basis Reported to the IRS

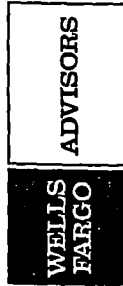
Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ICONIX BRAND GROUP INC CUSIP: 451055107	50.00000	12/18/2012	03/18/2014	2,040.30	1,082.15		0.00	958.15	
	1.00000	02/05/2013	03/18/2014	40.80	22.63		0.00	18.17	
	26.00000	03/05/2013	03/18/2014	1,060.97	609.17		0.00	451.80	
Subtotals	204.00000			\$8,136.85	\$4,419.62		\$0.00	\$3,717.23	
INGREDION INCORPORATED CUSIP: 457187102	52.00000	07/29/2013	09/10/2014	4,143.11	3,448.56		0.00	694.55	
	17.00000	07/30/2013	09/10/2014	1,354.48	1,101.56		0.00	252.92	
	43.00000	07/30/2013	09/16/2014	3,410.06	2,786.28		0.00	623.78	
	17.00000	07/31/2013	09/16/2014	1,348.17	1,134.55		0.00	213.62	
Subtotals	129.00000			\$10,255.82	\$8,470.95		\$0.00	\$1,784.87	
KAPSTONE PAPER AND PKG CUSIP: 48562P103	24.00000	12/31/2012	06/10/2014	744.25	263.63		0.00	480.62	
	6.00000	01/24/2013	06/10/2014	186.07	74.14		0.00	111.93	
Subtotals	30.00000			\$930.32	\$337.77		\$0.00	\$592.55	
KROGER COMPANY COMMON CUSIP: 501044101	74.00000	09/25/2012	04/04/2014	3,334.77	1,753.25		0.00	1,581.52	
	41.00000	09/26/2012	04/04/2014	1,847.65	962.43		0.00	885.22	
	60.00000	09/26/2012	04/08/2014	2,667.14	1,408.42		0.00	1,258.72	
	60.00000	09/26/2012	04/09/2014	2,680.65	1,408.42		0.00	1,272.23	
Subtotals	235.00000			\$10,530.21	\$5,532.52		\$0.00	\$4,997.69	
LINCOLN NATL CORP IND CUSIP: 534187109	37.00000	04/04/2012	09/11/2014	1,998.10	955.78		0.00	1,042.32	
MANPOWERGROUP INC CUSIP: 56418H100	9.00000	12/13/2012	07/08/2014	745.81	373.36		0.00	372.45	
	14.00000	12/13/2012	09/26/2014	1,005.25	580.77		0.00	424.48	
Subtotals	23.00000			\$1,751.06	\$954.13		\$0.00	\$796.93	

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2014 Consolidated Forms 1099

As of Date: 02/18/15

Page 11 of 14

Account Number: 1108-3244

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

1099-B Proceeds from Broker and Barter Exchange Transactions continued OMB No. 1545-0745

Long Term Gains/Losses - Report on Form 8949, Part II with Box D checked

Box 2: Type of Gain or Loss - Long Term

Box 3: Basis Reported to the IRS

Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
REGIONS FINANCIAL CORP (NEW) CUSIP: 7591EP100	127.00000	09/19/2012	07/07/2014	1,366.83	975.06		0.00	391.77	
SOUTHWESTERN ENERGY CO CUSIP: 845467109	63.00000	07/05/2012	09/24/2014	2,249.47	2,027.11		0.00	222.36	
	19.00000	07/18/2012	09/24/2014	678.42	599.30		0.00	79.12	
	31.00000	07/18/2012	09/25/2014	1,091.52	977.80		0.00	113.72	
	46.00000	07/24/2012	09/25/2014	1,619.67	1,479.69		0.00	139.98	
	41.00000	07/26/2012	09/25/2014	1,446.64	1,310.54		0.00	136.10	
	23.00000	07/26/2012	09/25/2014	809.85	735.19		0.00	74.66	
	13.00000	09/10/2012	09/25/2014	458.70	425.23		0.00	33.47	
Subtotals	236.00000			\$8,354.27	\$7,554.86		\$0.00	\$799.41	
STATE STR CORP CUSIP: 857477103	9.00000	09/10/2012	04/25/2014	577.05	387.90		0.00	189.15	
TIDEWATER INC CUSIP: 886423102	7.00000	07/17/2012	02/13/2014	328.84	335.23		0.00	-6.39	
	10.00000	09/10/2012	02/13/2014	469.78	490.04		0.00	-20.26	
Subtotals	17.00000			\$798.62	\$825.27		\$0.00	(\$26.65)	
TIME INC CUSIP: 887228104	0.34701	02/26/2013	06/09/2014	7.86	5.85		0.00	2.01	
	0.40299	02/28/2013	06/09/2014	9.12	6.98		0.00	2.14	
	7.40299	02/26/2013	06/16/2014	171.30	124.89		0.00	46.41	
	8.59701	02/28/2013	06/16/2014	198.93	148.90		0.00	50.03	
Subtotals	16.75000			\$387.21	\$286.62		\$0.00	\$100.59	
Totals (8949, Part II with Box D checked)				\$101,576.30	\$69,996.81		\$0.00	\$31,579.49	

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2014 Year-End Account Summary

Page 4 of 12

As of Date: 03/15/15

Account Number: 1108-3244

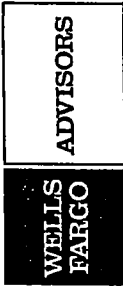
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Dealer Exchange Transactions

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1c)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis Code	Adjustments	Gain/Loss Amount	Additional Information
ALEXANDRIA REAL ESTATE REIT EQUITIES INC CUSIP: 015271109	62.00000	03/10/2011	08/11/2014	4,816.61	4,684.51	0.00	132.10	Original Basis: 4,717.29
BAXTER INTERNATIONAL INC CUSIP: 071813109	86.00000 47.00000 2.00000 23.00000 158.00000	03/10/2011 03/10/2011 05/03/2011 09/22/2011	01/31/2014 02/04/2014 02/04/2014 02/04/2014	5,902.48 3,131.97 133.27 1,532.67 \$10,700.39	4,506.40 2,462.80 116.40 1,216.24 \$8,301.84	0.00 0.00 0.00 0.00 \$0.00	1,396.08 669.17 16.87 316.43 \$2,398.55	
BECTON DICKINSON & CO CUSIP: 075887109	42.00000 16.00000 8.00000 11.00000 77.00000	03/10/2011 03/10/2011 09/22/2011 10/19/2011	09/18/2014 09/22/2014 09/22/2014 09/22/2014	4,826.74 1,804.12 902.06 1,240.33 \$8,773.25	3,309.21 1,260.65 585.78 820.82 \$5,976.46	0.00 0.00 0.00 0.00 \$0.00	1,517.53 543.47 316.28 419.51 \$2,796.79	
DOVER CORP COMMON CUSIP: 260003108	40.00000 59.00000 99.00000	11/22/2011 12/01/2011	01/28/2014 01/29/2014	3,620.98 5,310.40 \$8,931.38	2,070.39 3,263.50 \$5,333.89	0.00 0.00 \$0.00	1,550.59 2,046.90 \$3,597.49	
KEYCORP NEW CUSIP: 493267108	156.00000	11/02/2011	07/07/2014	2,243.48	1,110.77	0.00	1,132.71	



2014 Year-End Account Summary

Page 5 of 12

As of Date: 03/15/15

Account Number: 1108-3244

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
STATE STR CORP CUSIP: 857477103	28.00000	12/14/2011	04/25/2014	1,787.10	1,099.52		0.00	687.58	
	61.00000	12/19/2011	04/25/2014	3,893.34	2,418.55		0.00	1,474.79	
	55.00000	12/19/2011	04/25/2014	3,526.39	2,180.65		0.00	1,345.74	
Subtotals	144.00000			\$9,206.83	\$5,698.72		\$0.00	\$3,508.11	
STRYKER CORP CUSIP: 863667101	17.00000	03/10/2011	09/23/2014	1,389.53	1,074.76		0.00	314.77	
3M CO CUSIP: 88579Y101	13.00000	03/10/2011	07/30/2014	1,870.93	1,186.19		0.00	684.74	
	5.00000	03/10/2011	10/08/2014	693.95	456.23		0.00	237.72	
Subtotals	18.00000			\$2,564.88	\$1,642.42		\$0.00	\$922.46	
TIDEWATER INC CUSIP: 886423102	63.00000	03/10/2011	02/06/2014	3,007.03	3,746.61		0.00	-739.58	
	80.00000	03/10/2011	02/11/2014	3,772.65	4,757.60		0.00	-984.95	
	2.00000	05/03/2011	02/11/2014	94.31	113.25		0.00	-18.94	
	12.00000	05/20/2011	02/11/2014	565.90	637.68		0.00	-71.78	
	1.00000	07/14/2011	02/11/2014	47.15	54.79		0.00	-7.64	
	15.00000	08/09/2011	02/11/2014	707.38	719.23		0.00	-11.85	
	3.00000	10/28/2011	02/11/2014	141.48	153.75		0.00	-12.27	
	12.00000	10/28/2011	02/13/2014	563.74	615.00		0.00	-51.26	
Subtotals	188.00000			\$8,899.64	\$10,797.91		\$0.00	(\$1,898.27)	
Totals				\$57,525.99	\$44,621.28		\$0.00	\$12,904.71	

2014 Year-End Account Summary

Page 4 of 20

As of Date: 03/15/15

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Bank Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES MSCI CANADA ETF INDEX FUND CUSIP: 464286509	65.00000 16.00000	07/29/2013 09/03/2013	04/16/2014 04/16/2014	1,932.58 475.72	1,829.64 440.95		0.00 0.00	102.94 34.77	
Subtotals	81.00000			\$2,408.30	\$2,270.59		\$0.00	\$137.71	
ISHARES MSCI PACIFIC ETF EX-JAPAN CUSIP: 464286665	131.00000 67.00000 1.00000	09/09/2013 02/18/2014 02/18/2014	02/03/2014 03/24/2014 04/16/2014	5,652.55 3,124.43 49.46	6,129.00 3,134.92 46.78		0.00 0.00 0.00	-476.45 -10.49 2.68	
Subtotals	53.00000	03/10/2014	04/16/2014	2,621.86	2,496.30		0.00	125.56	
Subtotals	252.00000			\$11,448.30	\$11,807.00		\$0.00	(\$358.70)	
ISHARES MSCI JAPAN ETF CUSIP: 464286848	535.00000 566.00000 343.00000 333.00000	02/18/2014 04/07/2014 05/19/2014 08/25/2014	03/31/2014 04/14/2014 08/18/2014 09/02/2014	6,079.02 6,194.73 4,115.26 3,989.17	6,219.16 6,390.37 3,805.24 3,988.27		0.00 0.00 0.00 0.00	-140.14 -195.64 310.02 -19.10	
Subtotals	1777.00000			\$20,358.18	\$20,403.04		\$0.00	(\$44.86)	
ISHARES TIP BOND ETF CUSIP: 464287176	13.00000 13.00000	05/12/2014 05/12/2014	06/30/2014 08/18/2014	1,499.65 1,500.49	1,479.05 1,479.05		0.00 0.00	20.60 21.44	
Subtotals	26.00000			\$3,000.14	\$2,958.10		\$0.00	\$42.04	
ISHARES MSCI ETF EMERGING MARKETS CUSIP: 464287234	200.00000 141.00000 115.00000	09/09/2013 09/16/2013 12/16/2013	02/03/2014 02/03/2014 02/03/2014	7,535.14 5,312.28 4,332.72	8,201.95 5,869.08 4,747.15		0.00 0.00 0.00	-666.81 -556.80 -414.43	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 5 of 20

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Dealer Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES MSCI ETF EMERGING MARKETS CUSIP: 464287234	125.00000	02/24/2014	03/17/2014	4,851.14	4,932.69		0.00	-81.55	
	189.00000	03/03/2014	04/16/2014	7,833.33	7,303.78		0.00	529.55	
	58.00000	03/03/2014	09/02/2014	2,607.41	2,241.37		0.00	366.04	
Subtotals	828.00000			\$32,472.02	\$33,296.02		\$0.00	(\$824.00)	
ISHARES IBOXX & ETF INVESTMENT GRADE CORP BD CUSIP: 464287242	48.00000	12/02/2013	04/16/2014	5,664.31	5,475.67		0.00	188.64	
	13.00000	12/02/2013	05/12/2014	1,535.55	1,483.00		0.00	52.55	
	12.00000	12/02/2013	06/30/2014	1,432.53	1,368.92		0.00	63.61	
	12.00000	12/02/2013	08/18/2014	1,435.12	1,368.92		0.00	66.20	
Subtotals	85.00000			\$10,067.51	\$9,696.51		\$0.00	\$371.00	
ISHARES ETF 7-10 YR TREASURY BOND CUSIP: 464287440	140.00000	01/21/2014	02/18/2014	14,289.55	14,121.79		0.00	167.76	
	144.00000	02/24/2014	03/24/2014	14,634.40	14,660.61		0.00	-26.21	
	144.00000	03/31/2014	04/07/2014	14,647.39	14,617.41		0.00	29.98	
	57.00000	04/14/2014	04/16/2014	5,832.74	5,835.04		0.00	-2.30	
	14.00000	04/14/2014	05/12/2014	1,435.73	1,433.17		0.00	2.56	
	72.00000	04/14/2014	06/16/2014	7,404.79	7,370.56		0.00	34.23	
	73.00000	06/30/2014	07/07/2014	7,491.83	7,555.78		0.00	-63.95	
	15.00000	07/14/2014	08/18/2014	1,568.09	1,551.27		0.00	16.82	
Subtotals	659.00000			\$67,304.52	\$67,145.63		\$0.00	\$158.89	
ISHARES ETF U.S. UTILITIES CUSIP: 464287697	7.00000	06/16/2014	07/21/2014	750.96	752.35		0.00	-1.39	
	56.00000	06/16/2014	08/04/2014	5,735.42	6,018.76		0.00	-283.34	
	8.00000	07/07/2014	08/04/2014	819.34	859.06		0.00	-39.72	
	20.00000	07/28/2014	08/04/2014	2,048.38	2,132.97		0.00	-84.59	
Subtotals	91.00000			\$9,354.10	\$9,763.14		\$0.00	(\$409.04)	

2014 Year-End Account Summary

Page 6 of 20

As of Date: 03/15/15

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions-Continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES ETF U.S. TECHNOLOGY CUSIP: 464287721	46.00000	07/01/2013	02/24/2014	4,162.16	3,418.15		0.00	744.01	
	4.00000	07/01/2013	04/16/2014	356.22	297.22		0.00	59.00	
	15.00000	08/26/2013	04/16/2014	1,335.82	1,180.38		0.00	155.44	
	27.00000	09/16/2013	04/16/2014	2,404.48	2,119.09		0.00	285.39	
	25.00000	10/15/2013	04/16/2014	2,226.38	1,998.57		0.00	227.81	
	6.00000	02/03/2014	04/16/2014	534.34	519.55		0.00	14.79	
	21.00000	02/03/2014	06/09/2014	2,003.33	1,818.41		0.00	184.92	
	14.00000	02/03/2014	06/16/2014	1,334.73	1,212.26		0.00	122.47	
	10.00000	02/10/2014	06/16/2014	953.38	879.09		0.00	74.29	
	10.00000	02/10/2014	07/21/2014	989.40	879.09		0.00	110.31	
	10.00000	02/10/2014	08/11/2014	970.05	879.09		0.00	90.96	
	11.00000	02/10/2014	08/18/2014	1,086.71	967.00		0.00	119.71	
Subtotals	199.00000			\$18,357.00	\$16,167.90		\$0.00	\$2,189.10	
ISHARES ETF U.S. REAL ESTATE CUSIP: 464287739	72.00000	04/07/2014	04/16/2014	4,951.42	4,936.78		0.00	14.64	
	107.00000	04/07/2014	06/16/2014	7,603.79	7,336.59		0.00	267.20	
	105.00000	07/14/2014	08/11/2014	7,625.62	7,612.01		0.00	13.61	
Subtotals	284.00000			\$20,180.83	\$19,885.38		\$0.00	\$295.45	
ISHARES ETF U.S. INDUSTRIALS CUSIP: 464287754	39.00000	07/01/2013	02/10/2014	3,781.88	3,273.69		0.00	508.19	
	18.00000	08/26/2013	02/10/2014	1,745.48	1,587.59		0.00	157.89	
	19.00000	09/16/2013	02/10/2014	1,842.45	1,732.49		0.00	109.96	
	25.00000	10/15/2013	02/10/2014	2,424.28	2,276.45		0.00	147.83	
	39.00000	02/03/2014	02/10/2014	3,781.89	3,763.04		0.00	18.85	
	21.00000	06/09/2014	06/16/2014	2,176.01	2,213.17		0.00	-37.16	
	65.00000	06/09/2014	07/07/2014	6,800.07	6,850.25		0.00	-50.18	
	65.00000	07/21/2014	07/28/2014	6,668.97	6,743.88		0.00	-74.91	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 7 of 20

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Banker Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES ETF U.S. INDUSTRIALS CUSIP: 464287754	291.00000			\$29,221.03	\$28,440.56		\$0.00	\$780.47	
				5,011.56	4,284.94		0.00	726.62	
			02/24/2014	375.87	317.07		0.00	58.80	
			02/24/2014	1,663.27	1,479.66		0.00	183.61	
			04/16/2014	1,900.88	1,743.56		0.00	157.32	
			04/16/2014	2,613.72	2,382.15		0.00	231.57	
			04/16/2014	475.23	472.00		0.00	3.23	
			04/16/2014	1,640.60	1,534.00		0.00	106.60	
			06/09/2014	1,376.14	1,298.00		0.00	78.14	
			06/16/2014	875.73	836.97		0.00	38.76	
			06/16/2014	644.01	597.84		0.00	46.17	
			07/21/2014	1,015.83	956.53		0.00	59.30	
			08/11/2014	1,039.35	956.53		0.00	82.82	
			08/18/2014	\$18,632.19	\$16,859.25		\$0.00	\$1,772.94	
ISHARES ETF U.S. ENERGY CUSIP: 464287796	151.00000			3,973.02	3,781.67		0.00	191.35	
			02/10/2014	2,081.10	2,037.04		0.00	44.06	
			02/10/2014	1,560.83	1,570.98		0.00	-10.15	
			02/10/2014	2,128.41	2,165.20		0.00	-36.79	
			02/10/2014	4,020.33	4,014.97		0.00	5.36	
			02/10/2014	7,742.76	7,375.05		0.00	367.71	
			04/16/2014	2,580.96	2,342.08		0.00	238.88	
			06/09/2014	2,397.92	2,142.75		0.00	255.17	
			06/16/2014	1,011.76	896.97		0.00	114.79	

2014 Year-End Account Summary

Page 8 of 20

As of Date: 03/15/15

Account Number: 2730-2170

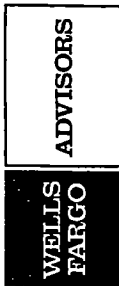
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Bank Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES ETF U.S. ENERGY CUSIP: 464287796	16.00000	02/24/2014	08/11/2014	874.27	797.31		0.00	76.96	
	18.00000	02/24/2014	08/18/2014	980.66	896.97		0.00	83.69	
Subtotals	581.00000			\$29,352.02	\$28,020.99		\$0.00	\$1,331.03	
ISHARES IBOX \$ ETF HIGH YIELD CORP BOND CUSIP: 464288513	151.00000	09/16/2013	02/03/2014	13,946.12	13,824.17		0.00	121.95	
	60.00000	02/18/2014	04/16/2014	5,645.58	5,641.18		0.00	4.40	
	15.00000	02/18/2014	05/12/2014	1,414.00	1,410.30		0.00	3.70	
	15.00000	02/18/2014	06/30/2014	1,427.82	1,410.30		0.00	17.52	
	63.00000	02/18/2014	07/14/2014	5,950.92	5,923.22		0.00	27.70	
	17.00000	06/16/2014	07/14/2014	1,605.80	1,614.49		0.00	-8.69	
	15.00000	07/07/2014	07/14/2014	1,416.90	1,420.80		0.00	-3.90	
Subtotals	336.00000			\$31,407.14	\$31,244.46		\$0.00	\$162.68	
ISHARES MBS ETF CUSIP: 464288588	133.00000	01/21/2014	03/24/2014	14,095.10	14,072.73		0.00	22.37	
	5.00000	02/24/2014	03/24/2014	529.90	530.75		0.00	-0.85	
	54.00000	04/14/2014	04/16/2014	5,755.80	5,770.31		0.00	-14.51	
	15.00000	04/14/2014	05/12/2014	1,607.09	1,602.87		0.00	4.22	
	68.00000	04/14/2014	06/16/2014	7,313.83	7,266.30		0.00	47.53	
	69.00000	06/30/2014	08/04/2014	7,438.04	7,473.32		0.00	-35.28	
	15.00000	07/07/2014	08/04/2014	1,616.97	1,615.05		0.00	1.92	
	13.00000	08/11/2014	08/18/2014	1,406.04	1,403.63		0.00	2.41	
Subtotals	372.00000			\$39,762.77	\$39,734.96		\$0.00	\$27.81	
ISHARES ETF SHORT TREASURY BOND CUSIP: 464288679	128.00000	11/18/2013	01/21/2014	14,110.47	14,112.00		0.00	-1.53	
	127.00000	12/30/2013	01/21/2014	14,000.48	14,000.48		0.00	-0.24	
	86.00000	02/03/2014	02/18/2014	9,480.48	9,482.36		0.00	-1.88	
	169.00000	02/03/2014	02/24/2014	18,630.24	18,633.94		0.00	-3.70	



2014 Year-End Account Summary

As of Date: 03/15/15

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES ETF SHORT TREASURY BOND CUSIP: 464288679	90.00000	02/03/2014	03/03/2014	9,921.43	9,923.40		0.00	-1.97	
	15.00000	02/03/2014	03/10/2014	1,653.57	1,653.90		0.00	-0.33	
	58.00000	02/10/2014	03/10/2014	6,393.81	6,394.50		0.00	-0.69	
	44.00000	03/17/2014	03/31/2014	4,851.33	4,851.43		0.00	-0.11	
	29.00000	03/24/2014	03/31/2014	3,197.47	3,197.54		0.00	-0.07	
	206.00000	03/24/2014	04/14/2014	22,714.91	22,713.56		0.00	1.35	
	24.00000	03/24/2014	04/16/2014	2,646.06	2,646.24		0.00	-0.18	
	35.00000	03/24/2014	05/19/2014	3,859.01	3,859.10		0.00	-0.09	
	81.00000	06/16/2014	06/30/2014	8,930.86	8,931.87		0.00	-1.01	
	30.00000	08/04/2014	08/11/2014	3,307.73	3,308.10		0.00	-0.37	
	52.00000	08/04/2014	08/25/2014	5,733.91	5,734.04		0.00	-0.13	
	30.00000	08/18/2014	08/25/2014	3,308.03	3,308.10		0.00	-0.07	
	40.00000	08/18/2014	09/02/2014	4,410.30	4,410.80		0.00	-0.50	
Subtotals	1244.00000			\$137,149.85	\$137,161.36		\$0.00	(\$11.52)	
ISHARES ETF FLOATING RATE BOND CUSIP: 46429B655	115.00000	12/09/2013	04/16/2014	5,828.13	5,825.89		0.00	2.24	
	30.00000	12/09/2013	05/12/2014	1,521.57	1,519.80		0.00	1.77	
	28.00000	12/09/2013	06/30/2014	1,421.27	1,418.48		0.00	2.79	
	29.00000	12/09/2013	08/18/2014	1,472.35	1,469.14		0.00	3.21	
Subtotals	202.00000			\$10,243.32	\$10,233.31		\$0.00	\$10.01	
SPDR S&P 500 TRUST ETF CUSIP: 78462F103	26.00000	06/10/2013	02/24/2014	4,822.10	4,284.23		0.00	537.87	
	58.00000	06/10/2013	04/07/2014	10,739.54	9,557.11		0.00	1,182.43	
	8.00000	09/16/2013	04/07/2014	1,481.32	1,362.99		0.00	118.33	
	65.00000	03/24/2014	04/14/2014	11,893.71	12,074.41		0.00	-180.70	
	38.00000	04/21/2014	06/30/2014	7,437.75	7,094.70		0.00	343.05	
	1.00000	04/21/2014	07/14/2014	197.61	186.70		0.00	10.91	

2014 Year-End Account Summary

As of Date: 03/15/15

Page 10 of 20

Account Number: 2730-2170

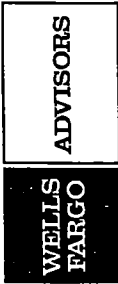
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
SPDR S&P 500 TRUST ETF CUSIP: 78462F103	38.00000	06/16/2014	07/14/2014	7,509.54	7,391.67		0.00	117.87	
	38.00000	08/04/2014	08/18/2014	7,489.01	7,325.42		0.00	163.59	
	39.00000	08/11/2014	08/18/2014	7,686.09	7,571.53		0.00	114.56	
Subtotals	311.00000			\$59,256.67	\$56,848.76		\$0.00	\$2,407.91	
SPDR GOLD TRUST ETF CUSIP: 78463V107	96.00000	02/24/2014	03/24/2014	12,124.53	12,333.99		0.00	-209.46	
	38.00000	04/14/2014	04/16/2014	4,763.09	4,860.57		0.00	-97.48	
	58.00000	04/14/2014	04/21/2014	7,187.26	7,418.76		0.00	-231.50	
	59.00000	06/30/2014	08/04/2014	7,326.12	7,467.77		0.00	-141.65	
	59.00000	08/18/2014	08/25/2014	7,245.31	7,361.51		0.00	-116.20	
Subtotals	310.00000			\$38,646.31	\$39,442.60		\$0.00	(\$796.29)	
SELECT SECTOR SPDR FD MATERIALS CUSIP: 81369Y100	107.00000	07/01/2013	02/24/2014	4,942.99	4,138.79		0.00	804.20	
	7.00000	07/01/2013	04/16/2014	330.29	270.76		0.00	59.53	
	37.00000	08/26/2013	04/16/2014	1,745.83	1,528.10		0.00	217.73	
	37.00000	09/16/2013	04/16/2014	1,745.84	1,579.46		0.00	166.38	
	51.00000	10/15/2013	04/16/2014	2,406.43	2,170.82		0.00	235.61	
	23.00000	02/03/2014	04/16/2014	1,085.26	1,010.04		0.00	75.22	
	45.00000	02/03/2014	06/09/2014	2,232.86	1,976.15		0.00	256.71	
	18.00000	02/03/2014	06/16/2014	880.83	790.45		0.00	90.38	
	27.00000	02/10/2014	06/16/2014	1,321.27	1,212.02		0.00	109.25	
	20.00000	02/10/2014	07/21/2014	997.32	897.80		0.00	99.52	
	24.00000	02/10/2014	08/11/2014	1,178.05	1,077.35		0.00	100.70	
	20.00000	02/10/2014	08/18/2014	991.11	897.79		0.00	93.32	
Subtotals	416.00000			\$19,858.08	\$17,549.53		\$0.00	\$2,308.55	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 11 of 20

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
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Proceeds from Broker and Barter Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
SELECT SECTOR SPDR TR CONSUMER STAPLES CUSIP: 81369Y308	247.00000	10/28/2013	02/03/2014	10,001.77	10,510.89		0.00	-509.12	
	21.00000	06/16/2014	07/21/2014	947.62	943.05		0.00	4.57	
	129.00000	06/16/2014	07/28/2014	5,767.14	5,792.96		0.00	-25.82	
	23.00000	07/07/2014	07/28/2014	1,028.25	1,039.66		0.00	-11.41	
	21.00000	08/11/2014	08/18/2014	931.65	923.85		0.00	7.80	
Subtotals	441.00000			\$18,676.43	\$19,210.41		\$0.00	(\$533.98)	
SELECT SECTOR SPDR FD CONSUMER DISCRETIONARY CUSIP: 81369Y407	44.00000	07/01/2013	02/03/2014	2,742.53	2,501.18		0.00	241.35	
	31.00000	08/26/2013	02/03/2014	1,932.23	1,817.78		0.00	114.45	
	29.00000	09/16/2013	02/03/2014	1,807.58	1,754.25		0.00	53.33	
	37.00000	10/15/2013	02/03/2014	2,306.23	2,244.02		0.00	62.21	
	17.00000	08/04/2014	08/11/2014	1,125.51	1,123.18		0.00	2.33	
	15.00000	08/04/2014	08/18/2014	1,003.44	991.04		0.00	12.40	
Subtotals	173.00000			\$10,917.52	\$10,431.45		\$0.00	\$486.07	
FINANCIAL SELECT ETF SECTOR SPDR CUSIP: 81369Y605	131.00000	07/01/2013	02/24/2014	2,819.36	2,566.36		0.00	253.00	
	27.00000	08/26/2013	02/24/2014	581.10	540.65		0.00	40.45	
	69.00000	08/26/2013	04/16/2014	1,497.67	1,381.63		0.00	116.04	
	96.00000	09/16/2013	04/16/2014	2,083.72	1,961.69		0.00	122.03	
	115.00000	10/15/2013	04/16/2014	2,496.13	2,340.71		0.00	155.42	
	40.00000	02/03/2014	04/16/2014	868.22	839.31		0.00	28.91	
	94.00000	02/03/2014	06/09/2014	2,139.78	1,972.36		0.00	167.42	
	48.00000	02/03/2014	06/16/2014	1,080.83	1,007.16		0.00	73.67	
	53.00000	02/10/2014	06/16/2014	1,193.42	1,128.27		0.00	65.15	
	43.00000	02/10/2014	07/21/2014	983.35	915.39		0.00	67.96	
	49.00000	02/10/2014	08/11/2014	1,093.65	1,043.12		0.00	50.53	
	44.00000	02/10/2014	08/18/2014	990.52	936.66		0.00	53.85	

2014 Year-End Account Summary

As of Date: 03/15/15

Account Number: 2730-2170

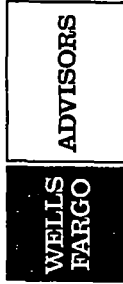
CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Dealer Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
FINANCIAL SELECT ETF SECTOR SPDR CUSIP: 81369Y605	809.00000			\$17,827.75	\$16,633.31		\$0.00	\$1,194.43	
Subtotals									
VANGUARD FTSE ETF	11.00000	10/28/2013	09/02/2014	634.87	630.62		0.00	4.25	
EUROPEAN	5.00000	06/09/2014	09/02/2014	288.58	307.95		0.00	-19.37	
CUSIP: 922042874	5.00000	07/07/2014	09/02/2014	288.58	300.70		0.00	-12.12	
	88.00000	08/25/2014	09/02/2014	5,079.03	5,089.22		0.00	-10.19	
Subtotals	109.00000			\$6,291.06	\$6,328.49		\$0.00	(\$37.43)	
Totals				\$662,193.04	\$651,532.75		\$0.00	\$10,660.27	



2014 Year-End Account Summary

As of Date: 03/15/15

Page 13 of 20

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES MSCI JAPAN ETF CUSIP: 464286848	72.00000 551.00000	12/31/2012 12/31/2012	02/03/2014 02/10/2014	799.19 6,264.76	702.83 5,378.52		0.00 0.00	96.36 886.24	
Subtotals	623.00000			\$7,063.95	\$6,081.35		\$0.00	\$982.60	
ISHARES ETF U.S. TECHNOLOGY CUSIP: 464287721	12.00000	01/28/2013	02/24/2014	1,085.77	865.95		0.00	219.82	
ISHARES ETF U.S. INDUSTRIALS CUSIP: 464287754	11.00000	12/10/2012	02/10/2014	1,066.68	800.78		0.00	265.90	
ISHARES ETF U.S. ENERGY CUSIP: 464287796	11.00000	12/31/2012	02/10/2014	520.27	447.48		0.00	72.79	
SPDR S&P 500 TRUST ETF CUSIP: 78462F103	5.00000 44.00000	12/31/2012 12/31/2012	02/03/2014 02/24/2014	870.92 8,160.47	708.94 6,238.58		0.00 0.00	161.98 1,921.89	
Subtotals	49.00000			\$9,031.39	\$6,947.52		\$0.00	\$2,083.87	
SELECT SECTOR SPDR FD MATERIALS CUSIP: 81369Y100	3.00000	12/24/2012	02/24/2014	138.58	111.46		0.00	27.12	
SELECT SECTOR SPDR FD CONSUMER DISCRETIONARY CUSIP: 81369Y407	29.00000	12/03/2012	02/03/2014	1,807.57	1,373.57		0.00	434.00	
FINANCIAL SELECT ETF SECTOR SPDR CUSIP: 81369Y605	69.00000	12/03/2012	02/24/2014	1,485.01	1,086.28		0.00	398.73	

2014 Year-End Account Summary

Page 14 of 20

As of Date: 03/15/15

Account Number: 2730-2170

CODISPOTI FOUNDATION, INC
ATTN: NICHOLAS CODISPOTI

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Dealer Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
VANGUARD FTSE ETF	46.00000	12/03/2012	02/03/2014	2,535.70	2,181.20		0.00	354.50	
EUROPEAN	135.00000	12/03/2012	04/16/2014	7,919.06	6,401.33		0.00	1,517.73	
CUSIP: 922042874	103.00000	12/03/2012	08/11/2014	5,814.26	4,883.98		0.00	930.28	
	67.00000	12/03/2012	08/18/2014	3,832.60	3,176.95		0.00	655.65	
	1.00000	12/03/2012	09/02/2014	57.71	47.41		0.00	10.30	
	24.00000	07/15/2013	09/02/2014	1,385.18	1,212.08		0.00	173.10	
Subtotals	376.00000			\$21,544.51	\$17,902.95		\$0.00	\$3,641.56	
Totals				\$43,743.73	\$35,617.34		\$0.00	\$8,126.39	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount.

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2014 Year-End Account Summary

As of Date: 03/15/15

Account Number: 7645-3295

CODISPOTI FOUNDATION

Page 4 of 6

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1c)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
PLUG POWER INC CUSIP: 72919P202	4100.00000	05/15/2014	07/21/2014	21,105.52	16,911.00		0.00	4,194.52	
VIRNETX HOLDING CORP CUSIP: 92823T108	1000.00000	05/15/2014	06/09/2014	16,798.62	14,006.00		0.00	2,792.62	
YAHOO INC CUSIP: 984332106	675.00000	10/02/2014	10/24/2014	28,739.99	27,356.00		0.00	1,383.99	
Totals				\$66,644.13	\$58,273.00		\$0.00	\$8,371.13	



2014 Year-End Account Summary

As of Date: 03/15/15

Account Number: 7645-3295

CODISPOTI FOUNDATION

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Bank Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
BANK OF AMERICA CORP WTS EXP 01/16/19 CUSIP: 060505146	5000.00000 1200.00000 6200.00000	03/12/2010 12/22/2011	04/28/2014 04/28/2014	36,320.95 8,717.04 \$45,037.99	46,881.00 2,506.00 \$49,387.00		0.00 0.00 \$0.00	-10,560.05 6,211.04 (\$4,349.01)	
Subtotals				\$45,037.99	\$49,387.00		\$0.00	(\$4,349.01)	
Totals				\$45,037.99	\$49,387.00		\$0.00	(\$4,349.01)	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount.
For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds, etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
464287507	IJH	ISHARES CORE S&P MID CAP ETF							
02/03/14	A/	333 000	42,173.21	10/4/2013	41,774.85		SHORT	☒	☐
464287200	IIV	ISHARES CORE S&P 500 ETF							
02/03/14	A/	249 000	43,638.98	11/1/2013	44,053.08		SHORT	☒	☐
03/11/14	A/	223 000	41,918.83	3/6/2014	42,225 05		SHORT	☒	☐
464287655	IWM	ISHARES RUSSELL 2000 ETF							
03/06/14	A/	24 000	2,875.14	3/4/2014	2,888.58		SHORT	☒	☐
03/11/14	A/	354 000	41,612.68	3/4/2014	42,606 56		SHORT	☒	☐
464287457	SHY	ISHARES 1 TO 3 YEAR TREASURY BOND ETF							
03/04/14	A/	542 000	45,809.04	2/3/2014	45,836 94		SHORT	☒	☐
464288661	TEI	ISHARES 3 TO 7 YEAR TREASURY BOND ETF							
03/04/14	A/	376 000	45,650.11	2/3/2014	45,793.04		SHORT	☒	☐
74347R180	UST	PROSHARES TR PROSHARES ULTRA 7-10 YR							
03/04/14	A/	813 000	42,787.44	2/3/2014	43,252.53		SHORT	☒	☐
74347R404	MVW	PROSHARES ULTRA MIDCAP400 ETF							
02/03/14	A/	416 000	23,495.27	10/4/2013	23,179 52		SHORT	☒	☐
74347R842	UWM	PROSHARES ULTRA RUSSELL 2000 ETF							
03/06/14	A/	30 000	2,737.15	3/4/2014	2,766 60		SHORT	☒	☐
03/11/14	A/	262 000	23,000.59	3/4/2014	24,161.64		SHORT	☒	☐
74347R107	SSO	PROSHARES ULTRA S&P500 ETF							
02/03/14	A/	274 000	24,931.37	11/1/2013	25,349.80		SHORT	☒	☐
03/11/14	A/	226 000	23,594.43	3/6/2014	23,941.99		SHORT	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$0.00	\$0.00	\$0.00	LONG	☐	☒
	\$404,224.24	\$407,830.18	\$0.00	SHORT	☒	☐
	\$0.00	\$0.00	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$404,224.24	\$407,830.18*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
333.000	ISHARES CORE S&P MID CAP ETF SYMBOL: IJH, CUSIP: 464287507	☐	10/04/13	02/03/14	42,173.21	41,774.85	398.36
249.000	ISHARES CORE S&P 500 ETF SYMBOL: IVV, CUSIP: 464287200	☐	11/01/13	02/03/14	43,638.98	44,053.08	-414.10
223.000	ISHARES CORE S&P 500 ETF SYMBOL: IVV, CUSIP: 464287200	☐	03/06/14	03/11/14	41,918.83	42,225.05	-306.22
24.000	ISHARES RUSSELL 2000 ETF SYMBOL: IWM, CUSIP: 464287655	☐	03/04/14	03/06/14	2,875.14	2,888.58	-13.44
354.000	ISHARES RUSSELL 2000 ETF SYMBOL: IWM, CUSIP: 464287655	☐	03/04/14	03/11/14	41,612.68	42,606.56	-993.88
542.000	ISHARES 1 TO 3 YEAR TREASURY BOND ETF SYMBOL: SHY, CUSIP: 464287457	☐	02/03/14	03/04/14	45,809.04	45,836.94	-27.90
376.000	ISHARES 3 TO 7 YEAR TREASURY BOND ETF SYMBOL: IEI, CUSIP: 464288661	☐	02/03/14	03/04/14	45,650.11	45,793.04	-142.93
813.000	PROSHARES TR PROSHARES ULTRA 7-10 YR SYMBOL: UST, CUSIP: 74347R180	☐	02/03/14	03/04/14	42,787.44	43,252.53	-465.09
416.000	PROSHARES ULTRA MIDCAP400 ETF SYMBOL: MVV, CUSIP: 74347R404	☐	10/04/13	02/03/14	23,495.27	23,179.52	315.75
30.000	PROSHARES ULTRA RUSSELL2000 ETF SYMBOL: UWM, CUSIP: 74347R842	☐	03/04/14	03/06/14	2,737.15	2,766.60	-29.45
262.000	PROSHARES ULTRA RUSSELL2000 ETF SYMBOL: UWM, CUSIP: 74347R842	☐	03/04/14	03/11/14	23,000.59	24,161.64	-1,161.05
274.000	PROSHARES ULTRA S&P500 ETF SYMBOL: SSO, CUSIP: 74347R107	☐	11/01/13	02/03/14	24,931.37	25,349.80	-418.43

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
226.000	PROSHARES ULTRA S&P500 ETF SYMBOL: SSO, CUSIP: 74347R107		03/06/14	03/11/14	23,594.43	23,941.99	-347.56
Short-Term Subtotal					404,224.24		-3,605.94
Total Gains and Losses					404,224.24		-3,605.94
Short-Term							-3,605.94
Long-Term							0.00
Term Unknown							0.00

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
018581108	ADS								
03/12/14	A/	2 000	569.89	3/5/2014	567.30		SHORT	☒	☐
09/23/14	A/	15 000	3,680.01	VARIOUS	4,212.18		SHORT	☒	☐
037833100	AAPL								
09/23/14	A/	6.000	617.02	3/31/2014	461.07		SHORT	☒	☐
053807103	AVT								
03/18/14	A/	20.000	885.38	3/5/2014	858.00		SHORT	☒	☐
06/13/14	A/	73.000	3,190.02	3/5/2014	3,131.69		SHORT	☒	☐
12673P105	CA								
03/18/14	A/	22.000	710.80	3/5/2014	730.40		SHORT	☒	☐
06/13/14	A/	99.000	2,867.96	3/5/2014	3,286.79		SHORT	☒	☐
125509109	CI								
03/12/14	A/	46 000	3,644.05	3/5/2014	3,670.80		SHORT	☒	☐
19122T109	CCE								
03/18/14	A/	13.000	620.34	3/5/2014	615.94		SHORT	☒	☐
09/23/14	A/	16 000	721.74	3/5/2014	758.08		SHORT	☒	☐
11/21/14	A/	89.000	3,886.55	VARIOUS	4,222.76		SHORT	☒	☐
20825C104	GOP								
03/18/14	A/	8.000	544.62	3/5/2014	530.56		SHORT	☒	☐
G2554F113									
09/23/14	A/	52 000	4,601.37	3/12/2014	3,700.32		SHORT	☒	☐
126650100	CVS								
03/18/14	A/	8.000	589.58	3/5/2014	585.68		SHORT	☒	☐
09/23/14	A/	12.000	960.09	3/5/2014	878.52		SHORT	☒	☐
260003108	DOV								
03/18/14	A/	7 000	574.05	3/5/2014	559.02		SHORT	☒	☐
06/13/14	A/	39.000	3,472.87	3/5/2014	3,114.54		SHORT	☒	☐
277432100	EMN								
03/12/14	A/	40 000	3,415.54	3/5/2014	3,496.80		SHORT	☒	☐
30231G102	XOM								
03/18/14	A/	6 000	568.60	3/5/2014	562.86		SHORT	☒	☐
316773100	FITB								
03/18/14	A/	24.000	545.98	3/5/2014	531.78		SHORT	☒	☐
06/13/14	A/	235.000	5,022.30	VARIOUS	5,264.19		SHORT	☒	☐
370334104	GIS								
09/23/14	A/	71.000	3,583.30	3/12/2014	3,642.66		SHORT	☒	☐
40650V100	HYH								
10/31/14	A/	0.625	23.10	3/5/2014	20.66		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
40650V100	HYH								
11/11/14	A/	4.000	145.63	VARIOUS	147.44		SHORT	☒	☐
410345102	HBI								
06/13/14	A/	8.000	682.38	3/5/2014	601.68		SHORT	☒	☐
423452101	HP								
03/18/14	A/	6.000	614.32	3/5/2014	592.80		SHORT	☒	☐
458140100	INTC								
03/12/14	A/	164.000	4,049.41	3/5/2014	4,007.83		SHORT	☒	☐
459200101	IBM								
03/12/14	A/	3.000	558.47	3/5/2014	561.54		SHORT	☒	☐
478366107	JCI								
03/12/14	A/	74.000	3,457.21	3/5/2014	3,604.54		SHORT	☒	☐
46625H100	JPM								
06/13/14	A/	69.000	3,937.05	3/5/2014	4,013.04		SHORT	☒	☐
494368103	KMB								
03/18/14	A/	6.000	664.60	3/5/2014	652.14		SHORT	☒	☐
09/23/14	A/	8.000	854.06	3/5/2014	869.52		SHORT	☒	☐
544147101	LO								
09/23/14	A/	72.000	4,301.91	3/5/2014	3,855.59		SHORT	☒	☐
559222401	MGA								
06/13/14	A/	6.000	646.54	3/5/2014	570.06		SHORT	☒	☐
628530107	MYL								
09/23/14	A/	66.000	3,088.73	3/5/2014	3,684.12		SHORT	☒	☐
681904108	OCR								
03/12/14	A/	64.000	3,665.85	3/5/2014	3,712.64		SHORT	☒	☐
68389X105	ORCL								
03/18/14	A/	18.000	700.00	3/5/2014	712.04		SHORT	☒	☐
747525103	QCOM								
03/12/14	A/	7.000	536.89	3/5/2014	536.48		SHORT	☒	☐
11/21/14	A/	54.000	3,860.37	VARIOUS	4,155.39		SHORT	☒	☐
755111507	RTN								
03/18/14	A/	5.000	506.53	3/5/2014	503.45		SHORT	☒	☐
G7945M107	STX								
03/18/14	A/	14.000	726.16	3/5/2014	735.56		SHORT	☒	☐
83088M102	SWKS								
09/23/14	A/	23.000	1,308.90	3/12/2014	857.90		SHORT	☒	☐
78454L100	SM								
03/12/14	A/	45.000	3,213.39	3/5/2014	3,326.40		SHORT	☒	☐
857477103	STT								
03/12/14	A/	60.000	3,963.54	3/5/2014	3,991.79		SHORT	☒	☐
896522109	TRN								
09/23/14	A/	13.000	619.95	6/13/2014	529.23		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
907818108	UNP	UNION PACIFIC CORP							
03/18/14	A/	4.000	757.78	3/5/2014	736.04		SHORT	☒	☐
09/23/14	A/	16.000	1,731.16	3/5/2014	1,472.08		SHORT	☒	☐
913903100	UHS	UNIVERSAL HEALTH SERVICES INC CL B							
11/21/14	A/	36.000	3,724.47	9/23/2014	4,058.64		SHORT	☒	☐
91913Y100	VLO	VALERO ENERGY CORP NEW							
09/23/14	A/	58.000	2,731.15	3/12/2014	3,206.82		SHORT	☒	☐
92553P201	VIAB	VIACOM INC CLASS B							
03/18/14	A/	7.000	619.98	3/5/2014	610.89		SHORT	☒	☐
09/23/14	A/	35.000	2,719.43	3/5/2014	3,054.45		SHORT	☒	☐
931142103	WMT	WAL-MART STORES INC							
03/12/14	A/	52.000	3,916.57	3/5/2014	3,890.64		SHORT	☒	☐
949746101	WFC	WELLS FARGO & CO							
03/18/14	A/	11.000	532.49	3/5/2014	517.44		SHORT	☒	☐
06/13/14	A/	34.000	1,763.20	3/5/2014	1,599.36		SHORT	☒	☐
98310W108	WYN	WYNDHAM WORLDWIDE CORPORATION							
06/13/14	A/	49.000	3,558.30	3/5/2014	3,661.77		SHORT	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$0.00	\$0.00	\$0.00	LONG	☐	☒
	\$109,451.59	\$110,431.91	\$0.00	SHORT	☒	☐
	\$0.00	\$0.00	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$109,451.59	\$110,431.91*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2.000	ALLIANCE DATA SYSTEM CORP SYMBOL: ADS, CUSIP: 018581108	☐	03/05/14	03/12/14	569.89	567.30	2.59
12.000	ALLIANCE DATA SYSTEM CORP SYMBOL: ADS, CUSIP: 018581108	☐	03/05/14	09/23/14	2,944.01	3,403.80	-459.79
3.000	ALLIANCE DATA SYSTEM CORP SYMBOL: ADS, CUSIP: 018581108	☐	06/13/14	09/23/14	736.00	808.38	-72.38
6.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	03/31/14	09/23/14	617.02	461.07	155.95
20.000	AVNET INC SYMBOL: AVT, CUSIP: 053807103	☐	03/05/14	03/18/14	885.38	858.00	27.38
73.000	AVNET INC SYMBOL: AVT, CUSIP: 053807103	☐	03/05/14	06/13/14	3,190.02	3,131.69	58.33
22.000	CA INC SYMBOL: CA, CUSIP: 12673P105	☐	03/05/14	03/18/14	710.80	730.40	-19.60
99.000	CA INC SYMBOL: CA, CUSIP: 12673P105	☐	03/05/14	06/13/14	2,867.96	3,286.79	-418.83
46.000	CIGNA CORPORATION SYMBOL: CI, CUSIP: 125509109	☐	03/05/14	03/12/14	3,644.05	3,670.80	-26.75
13.000	COCA COLA ENTERPRISES INC SYMBOL: CCE, CUSIP: 19122T109	☐	03/05/14	03/18/14	620.34	615.94	4.40
16.000	COCA COLA ENTERPRISES INC SYMBOL: CCE, CUSIP: 19122T109	☐	03/05/14	09/23/14	721.74	758.08	-36.34
54.000	COCA COLA ENTERPRISES INC SYMBOL: CCE, CUSIP: 19122T109	☐	03/05/14	11/21/14	2,358.13	2,558.51	-200.38
35.000	COCA COLA ENTERPRISES INC SYMBOL: CCE, CUSIP: 19122T109	☐	03/19/14	11/21/14	1,528.42	1,664.25	-135.83
8.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	03/05/14	03/18/14	544.62	530.56	14.06

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
52.000	COVIDIEN PLC CUSIP: G2554F113	☐	03/12/14	09/23/14	4,601.37	3,700.32	901.05
8.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/05/14	03/18/14	589.58	585.68	3.90
12.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/05/14	09/23/14	960.09	878.52	81.57
7.000	DOVER CORPORATION SYMBOL: DOV, CUSIP: 260003108	☐	03/05/14	03/18/14	574.05	559.02	15.03
39.000	DOVER CORPORATION SYMBOL: DOV, CUSIP: 260003108	☐	03/05/14	06/13/14	3,472.87	3,114.54	358.33
40.000	EASTMAN CHEMICAL CO SYMBOL: EMN, CUSIP: 277432100	☐	03/05/14	03/12/14	3,415.54	3,496.80	-81.26
6.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	03/05/14	03/18/14	568.60	562.86	5.74
24.000	FIFTH THIRD BANCORP SYMBOL: FITB, CUSIP: 316773100	☐	03/05/14	03/18/14	545.98	531.78	14.20
158.000	FIFTH THIRD BANCORP SYMBOL: FITB, CUSIP: 316773100	☐	03/05/14	06/13/14	3,376.70	3,500.89	-124.19
77.000	FIFTH THIRD BANCORP SYMBOL: FITB, CUSIP: 316773100	☐	03/19/14	06/13/14	1,645.60	1,763.30	-117.70
71.000	GENERAL MILLS INC SYMBOL: GIS, CUSIP: 370334104	☐	03/12/14	09/23/14	3,583.30	3,642.66	-59.36
0.625	HALYARD HEALTH INC COM SYMBOL: HYH, CUSIP: 40650V100	☐	03/05/14	10/31/14	23.10	20.66	2.44
1.622	HALYARD HEALTH INC COM SYMBOL: HYH, CUSIP: 40650V100	☐	03/19/14	11/11/14	59.04	68.81	-9.77
2.378	HALYARD HEALTH INC COM SYMBOL: HYH, CUSIP: 40650V100	☐	03/05/14	11/11/14	86.59	78.63	7.96
8.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	03/05/14	06/13/14	682.38	601.68	80.70
6.000	HELMERICH & PAYNE INC SYMBOL: HP, CUSIP: 423452101	☐	03/05/14	03/18/14	614.32	592.80	21.52
164.000	INTEL CORP SYMBOL: INTC, CUSIP: 458140100	☐	03/05/14	03/12/14	4,049.41	4,007.83	41.58
3.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☐	03/05/14	03/12/14	558.47	561.54	-3.07

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
74.000	JOHNSON CONTROLS INC SYMBOL: JCI, CUSIP: 478366107	☐	03/05/14	03/12/14	3,457.21	3,604.54	-147.33
69.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☐	03/05/14	06/13/14	3,937.05	4,013.04	-75.99
6.000	KIMBERLY CLARK CORP SYMBOL: KMB, CUSIP: 494368103	☐	03/05/14	03/18/14	664.60	652.14	12.46
8.000	KIMBERLY CLARK CORP SYMBOL: KMB, CUSIP: 494368103	☐	03/05/14	09/23/14	854.06	869.52	-15.46
72.000	LORILLARD INC SYMBOL: LO, CUSIP: 544147101	☐	03/05/14	09/23/14	4,301.91	3,855.59	446.32
6.000	MAGNA INTERNATIONAL INC COM SYMBOL: MGA, CUSIP: 559222401	☐	03/05/14	06/13/14	646.54	570.06	76.48
66.000	MYLAN INC SYMBOL: MYL, CUSIP: 628530107	☐	03/05/14	09/23/14	3,088.73	3,684.12	-595.39
64.000	OMNICARE INC SYMBOL: OCR, CUSIP: 681904108	☐	03/05/14	03/12/14	3,665.85	3,712.64	-46.79
18.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	03/05/14	03/18/14	700.00	712.04	-12.04
7.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/05/14	03/12/14	536.89	536.48	0.41
45.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/05/14	11/21/14	3,216.98	3,448.80	-231.83
9.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	06/16/14	11/21/14	643.40	706.59	-63.20
5.000	RAYTHEON CO COM SYMBOL: RTN, CUSIP: 755111507	☐	03/05/14	03/18/14	506.53	503.45	3.08
14.000	SEAGATE TECHNOLOGY PLC SYMBOL: STX, CUSIP: G7945M107	☐	03/05/14	03/18/14	726.16	735.56	-9.40
23.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	03/12/14	09/23/14	1,308.90	857.90	451.00
45.000	SM ENERGY COMPANY SYMBOL: SM, CUSIP: 78454L100	☐	03/05/14	03/12/14	3,213.39	3,326.40	-113.01
60.000	STATE STREET CORP SYMBOL: STT, CUSIP: 857477103	☐	03/05/14	03/12/14	3,963.54	3,991.79	-28.25
13.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	06/13/14	09/23/14	619.95	529.23	90.72
4.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☐	03/05/14	03/18/14	757.78	736.04	21.74

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
16.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☐	03/05/14	09/23/14	1,731.16	1,472.08	259.08
36.000	UNIVERSAL HEALTH SERVICES INC CL B SYMBOL: UHS, CUSIP: 913903100	☐	09/23/14	11/21/14	3,724.47	4,058.64	-334.17
58.000	VALERO ENERGY CORP NEW SYMBOL: VLO, CUSIP: 91913Y100	☐	03/12/14	09/23/14	2,731.15	3,206.82	-475.67
7.000	VIACOM INC CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☐	03/05/14	03/18/14	619.98	610.89	9.09
35.000	VIACOM INC CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☐	03/05/14	09/23/14	2,719.43	3,054.45	-335.02
52.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	03/05/14	03/12/14	3,916.57	3,890.64	25.93
11.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	03/05/14	03/18/14	532.49	517.44	15.05
34.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	03/05/14	06/13/14	1,763.20	1,599.36	163.84
49.000	WYNDHAM WORLDWIDE CORPORATION SYMBOL: WYN, CUSIP: 98310W108	☐	03/05/14	06/13/14	3,558.30	3,661.77	-103.47
Short-Term Subtotal					109,451.59		-980.34
Total Gains and Losses					109,451.59		-980.34
Short-Term							-980.34
Long-Term							0.00
Term Unknown							0.00

1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

BOX 1b - Qualified dividends (con't)

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
949746101	WELLS FARGO & CO				
TOTAL					\$628.35

Box 1b Total Amount \$9,015.60

BOX 3 - Nontaxable distributions

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
G29183103	EATON CORPORATION PLC				
03/21/14	M R	\$87.71	08/22/14	M R	\$87.71
05/23/14	M R	\$87.71	11/28/14	M R	\$85.26
TOTAL					\$348.39

Box 3 Total Amount \$348.39

- F This income is subject to possible reallocation into other types of income. If the issuer reallocates this income, a revised Tax Information Summary will be mailed to you on or about March 15, 2015.
- M Payments received were reclassified into other type(s) of income; therefore the totals may not equal the amounts as originally detailed on your monthly statements.
- N Non-qualified dividend
- R Return of capital

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd' To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
G1151C101	ACN	ACCENTURE PLC IRELAND SHS CLA							
01/28/14	E/	4.000	326.24	10/21/2009	155.80		LONG	☐	☒
02/28/14	E/	7.000	582.17	10/21/2009	272.65		LONG	☐	☒
09/08/14	E/	5.000	408.34	VARIOUS	197.05		LONG	☐	☒
025816109	AXP	AMERICAN EXPRESS COMPANY							
01/28/14	A/	3.000	258.17	9/25/2013	228.18		SHORT	☒	☐
02/28/14	A/	4.000	363.15	9/25/2013	304.24		SHORT	☒	☐
09/08/14	A/	5.000	443.84	9/25/2013	380.30		SHORT	☒	☐
037833100	AAPL	APPLE INC							
01/28/14	D/	1.000	508.48	5/31/2012	576.55		LONG	☒	☐
02/28/14	D/	1.000	531.27	5/31/2012	576.55		LONG	☒	☐
08/29/14	D/	83.000	8,503.58	VARIOUS	6,832.43		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
037833100 AAPL APPLE INC									
09/08/14	D/	4.000	392.75	7/26/2012	329.09		LONG	☒	☐
075887109 BDX BECTON DICKINSON & CO									
01/28/14	E/	3.000	321.89	5/10/2006	185.01		LONG	☐	☒
02/28/14	E/	6.000	691.18	5/10/2006	370.02		LONG	☐	☒
09/08/14	E/	3.000	345.71	5/10/2006	185.01		LONG	☐	☒
10/15/14	E/	9.000	1,107.42	5/10/2006	555.03		LONG	☐	☒
09247X101 BLK BLACKROCK INC									
01/28/14	D/	1.000	301.21	8/9/2011	146.55		LONG	☒	☐
02/28/14	D/	3.000	916.45	8/9/2011	439.65		LONG	☒	☐
09/08/14	D/	2.000	661.74	8/9/2011	293.10		LONG	☒	☐
10/15/14	D/	3.000	920.61	8/9/2011	439.65		LONG	☒	☐
166764100 CVX CHEVRON CORPORATION									
01/28/14	E/	2.000	232.79	3/17/2009	126.82		LONG	☐	☒
02/28/14	E/	5.000	582.08	3/17/2009	317.05		LONG	☐	☒
09/08/14	E/	3.000	378.29	3/17/2009	190.23		LONG	☐	☒
17275R102 CSCO CISCO SYSTEMS INC									
01/07/14	D/	420.000	9,341.13	VARIOUS	7,122.92		LONG	☒	☐
191216100 KO COCA COLA COMPANY (THE)									
01/28/14	E/	5.000	193.74	5/10/2006	108.98		LONG	☐	☒
02/28/14	E/	13.000	495.42	5/10/2006	283.34		LONG	☐	☒
09/08/14	E/	6.000	250.49	5/10/2006	130.77		LONG	☐	☒
G2554F113 COVIDIEN PLC									
01/28/14	D/	5.000	339.94	3/1/2012	237.95		LONG	☒	☐
02/28/14	D/	10.000	715.98	3/1/2012	475.89		LONG	☒	☐
09/08/14	D/	6.000	539.92	3/1/2012	285.54		LONG	☒	☐
09/26/14	D/	201.000	18,097.30	VARIOUS	9,741.62		LONG	☒	☐
126650100 CVS CVS HEALTH CORPORATION									
01/28/14	D/	8.000	545.91	8/1/2011	292.07		LONG	☒	☐
02/28/14	D/	16.000	1,157.57	8/1/2011	584.14		LONG	☒	☐
09/08/14	D/	9.000	731.14	8/1/2011	328.58		LONG	☒	☐
10/15/14	D/	13.000	1,022.68	8/1/2011	474.61		LONG	☒	☐
263534109 DD E I DU PONT DE NEMOURS & CO									
01/28/14	E/	6.000	363.47	5/11/2009	166.38		LONG	☐	☒
02/28/14	E/	13.000	860.84	5/11/2009	360.50		LONG	☐	☒
06/04/14	E/	39.000	2,712.39	5/11/2009	1,081.50		LONG	☐	☒
09/08/14	E/	6.000	392.75	5/11/2009	166.38		LONG	☐	☒
G29183103 ETN EATON CORPORATION PLC									
01/07/14	D/	135.000	10,234.58	11/30/2012	6,922.72		LONG	☒	☐
01/28/14	D/	4.000	292.99	11/30/2012	205.12		LONG	☒	☐
02/28/14	D/	9.000	680.65	11/30/2012	461.51		LONG	☒	☐
09/08/14	D/	5.000	343.79	11/30/2012	249.05		LONG	☒	☐
30231G102 XOM EXXON MOBIL CORP									
01/28/14	E/	3.000	285.29	11/17/2009	224.93		LONG	☐	☒

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
30231G102 XOM EXXON MOBIL CORP									
02/28/14	E/	5.000	480.84	11/17/2009	374.89		LONG	☐	☒
09/08/14	E/	4 000	390.51	11/17/2009	299.91		LONG	☐	☒
459200101 IBM INTERNATIONAL BUSINESS MACHINES CORP									
01/28/14	E/	2.000	355.17	9/7/2010	252.22		LONG	☐	☒
02/28/14	E/	2 000	369.07	9/7/2010	252.22		LONG	☐	☒
09/08/14	E/	2 000	379.75	9/7/2010	252.22		LONG	☐	☒
10/15/14	E/	5.000	901.73	9/7/2010	630.55		LONG	☐	☒
10/28/14		70 000	11,359.33						
	E/	39 000	6,328.77	VARIOUS	5,266.33		LONG	☐	☒
	D/	31 000	5,030.56	9/25/2012	6,378.25		LONG	☒	☐
478160104 JNJ JOHNSON & JOHNSON									
01/28/14	E/	5.000	451.64	5/10/2006	291.75		LONG	☐	☒
02/28/14	E/	8 000	731.50	5/10/2006	466.80		LONG	☐	☒
09/08/14	E/	6.000	623.86	5/10/2006	350.10		LONG	☐	☒
10/15/14	E/	9 000	880.09	5/10/2006	525.15		LONG	☐	☒
46625H100 JPM JPMORGAN CHASE & CO									
01/28/14	E/	6.000	332.21	10/19/2010	228.89		LONG	☐	☒
02/28/14	E/	10.000	564.79	10/19/2010	381.48		LONG	☐	☒
09/08/14	E/	8.000	479.10	10/19/2010	305.18		LONG	☐	☒
10/15/14	E/	15 000	829.93	10/19/2010	572.21		LONG	☐	☒
580135101 MCD MCDONALDS CORP									
01/28/14	E/	4.000	378.23	1/12/2010	249.59		LONG	☐	☒
02/28/14	E/	6 000	570.35	1/12/2010	374.39		LONG	☐	☒
06/04/14	E/	33.000	3,368.23	1/12/2010	2,059.15		LONG	☐	☒
09/08/14	E/	4.000	369.07	1/12/2010	249.59		LONG	☐	☒
10/28/14		134.000	12,301.30						
	E/	47 000	4,314.63	VARIOUS	3,419.53		LONG	☐	☒
	D/	87 000	7,986.66	9/25/2012	8,124.80		LONG	☒	☐
66987V109 NVS NOVARTIS AG AMERICAN DEPOSITARY SHARES									
01/28/14	E/	5.000	396.64	5/10/2006	285.05		LONG	☐	☒
02/12/14		193 000	15,716.19						
	E/	78 000	6,351.62	VARIOUS	4,480.57		LONG	☐	☒
	D/	115.000	9,364.57	1/4/2011	6,705.64		LONG	☒	☐
68389X105 ORCL ORACLE CORPORATION									
01/28/14	A/	9.000	329.66	1/7/2014	339.20		SHORT	☒	☐
02/28/14	A/	15 000	583.63	1/7/2014	565.33		SHORT	☒	☐
09/08/14	A/	13.000	526.09	1/7/2014	489.95		SHORT	☒	☐
10/15/14	A/	20.000	757.78	1/7/2014	753.78		SHORT	☒	☐
713448108 PEP PEPSICO INC									
01/28/14	E/	4.000	329.31	9/3/2008	276.08		LONG	☐	☒
02/28/14	E/	8 000	631.82	9/3/2008	552.16		LONG	☐	☒
09/08/14	E/	4.000	367.67	9/3/2008	276.08		LONG	☐	☒
10/15/14	E/	10.000	914.57	VARIOUS	683.00		LONG	☐	☒

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
742718109 PG PROCTER & GAMBLE CO									
01/28/14	E/	3 000	235.82	12/29/2003	147.57		LONG	☐	☒
02/28/14	E/	5.000	391.09	12/29/2003	245.95		LONG	☐	☒
09/08/14	E/	4 000	332.83	12/29/2003	196.76		LONG	☐	☒
747525103 QCOM QUALCOMM INC									
01/28/14	D/	7.000	507.00	4/29/2011	405.43		LONG	☒	☐
02/28/14	D/	10.000	750.88	4/29/2011	579.19		LONG	☒	☐
09/08/14	D/	8 000	606.30	4/29/2011	463.35		LONG	☒	☐
10/15/14	D/	13.000	922.32	4/29/2011	752.95		LONG	☒	☐
12/19/14	D/	27 000	1,983.64	4/29/2011	1,563.81		LONG	☒	☐
806857108 SLB SCHLUMBERGER LTD									
01/28/14	D/	4 000	352.87	9/25/2012	293.15		LONG	☒	☐
02/28/14	D/	7.000	650.77	9/25/2012	513.02		LONG	☒	☐
09/08/14	D/	4.000	416.91	9/25/2012	293.15		LONG	☒	☐
872540109 TJX TJX COMPANIES INC NEW									
01/28/14	A/	7.000	409.21	4/10/2013	334.04		SHORT	☒	☐
02/28/14	A/	15.000	912.88	4/10/2013	715.80		SHORT	☒	☐
09/08/14	D/	8 000	483.02	4/10/2013	381.76		LONG	☒	☐
10/15/14	D/	14.000	841.10	4/10/2013	668.08		LONG	☒	☐
90130A200 FOX TWENTY FIRST CENTURY FOX INC CLASS B									
09/08/14	A/	10.000	348.69	6/4/2014	344.89		SHORT	☒	☐
907818108 UNP UNION PACIFIC CORP									
01/28/14	E/	2.000	342.91	5/11/2009	98.82		LONG	☐	☒
02/28/14	E/	4 000	715.90	5/11/2009	197.63		LONG	☐	☒
09/08/14	E/	5 000	536.98	7/7/2009	126.30		LONG	☐	☒
913017109 UTX UNITED TECHNOLOGIES CORP									
01/28/14	E/	3.000	340.40	11/5/2007	228.29		LONG	☐	☒
02/28/14	E/	5 000	582.43	11/5/2007	380.49		LONG	☐	☒
09/08/14	E/	4.000	434.59	11/5/2007	304.39		LONG	☐	☒
91324P102 UNH UNITEDHEALTH GROUP INC									
01/28/14	A/	4.000	286.87	2/12/2013	227.99		SHORT	☒	☐
02/28/14	D/	10.000	762.48	2/12/2013	569.99		LONG	☒	☐
09/08/14	D/	5.000	438.59	2/12/2013	284.99		LONG	☒	☐
384802104 GWW W W GRAINGER INC									
02/28/14	A/	3.000	758.77	2/12/2014	714.09		SHORT	☒	☐
09/08/14	A/	2.000	497.28	2/12/2014	476.06		SHORT	☒	☐
254687106 DIS WALT DISNEY CO									
01/28/14	E/	7.000	506.72	4/28/2009	136.64		LONG	☐	☒
02/28/14	E/	10.000	808.48	4/28/2009	195.20		LONG	☐	☒
09/08/14	E/	8.000	723.42	4/28/2009	156.16		LONG	☐	☒
10/15/14	E/	15 000	1,229.22	4/28/2009	292.80		LONG	☐	☒
12/19/14	E/	28.000	2,596.10	4/28/2009	546.56		LONG	☐	☒
949746101 WFC WELLS FARGO & CO									
01/28/14	E/	11.000	502.80	1/14/2008	308.72		LONG	☐	☒

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
949746101	WEC		WELLS FARGO & CO						
02/28/14	E/	17.000	785.72	1/14/2008	474.02		LONG	☐	☒
09/08/14	E/	14.000	719.58	1/14/2008	390.37		LONG	☐	☒
10/15/14	E/	22.000	1,045.85	1/14/2008	613.43		LONG	☐	☒

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$55,452.44	\$33,470.64	\$0.00	LONG	☐	☒
	\$6,476.02	\$5,873.85	\$0.00	SHORT	☒	☐
	\$87,877.34	\$64,992.85	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$149,805.80	\$104,337.34*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	09/25/13	01/28/14	258.17	228.18	29.99
4.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	09/25/13	02/28/14	363.15	304.24	58.91
5.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	09/25/13	09/08/14	443.84	380.30	63.54
9.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	01/07/14	01/28/14	329.66	339.20	-9.54
15.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	01/07/14	02/28/14	583.63	565.33	18.30
13.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	01/07/14	09/08/14	526.09	489.95	36.14
20.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	01/07/14	10/15/14	757.78	753.78	4.00
7.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	04/10/13	01/28/14	409.21	334.04	75.17
15.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	04/10/13	02/28/14	912.88	715.80	197.08
10.000	TWENTY FIRST CENTURY FOX INC CLASS B SYMBOL: FOX, CUSIP: 90130A200	☐	06/04/14	09/08/14	348.69	344.89	3.80
4.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	02/12/13	01/28/14	286.87	227.99	58.88
3.000	W W GRAINGER INC SYMBOL: GWW, CUSIP: 384802104	☐	02/12/14	02/28/14	758.77	714.09	44.68
2.000	W W GRAINGER INC SYMBOL: GWW, CUSIP: 384802104	☐	02/12/14	09/08/14	497.28	476.06	21.22
Short-Term Subtotal					6,476.02		602.17

Schedule of Realized Gains and Losses (con't)

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4.000	ACCENTURE PLC IRELAND SHS CLA SYMBOL: ACN, CUSIP: G1151C101	☒	10/21/09	01/28/14	326.24	155.80	170.44
7.000	ACCENTURE PLC IRELAND SHS CLA SYMBOL: ACN, CUSIP: G1151C101	☒	10/21/09	02/28/14	582.17	272.65	309.52
2.000	ACCENTURE PLC IRELAND SHS CLA SYMBOL: ACN, CUSIP: G1151C101	☒	07/23/10	09/08/14	163.34	80.20	83.14
3.000	ACCENTURE PLC IRELAND SHS CLA SYMBOL: ACN, CUSIP: G1151C101	☒	10/21/09	09/08/14	245.00	116.85	128.15
1.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/31/12	01/28/14	508.48	576.55	-68.07
1.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/31/12	02/28/14	531.27	576.55	-45.28
41.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	07/26/12	08/29/14	4,200.56	3,373.13	827.44
42.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/31/12	08/29/14	4,303.02	3,459.30	843.72
4.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	07/26/12	09/08/14	392.75	329.09	63.66
3.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	05/10/06	01/28/14	321.89	185.01	136.88
6.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	05/10/06	02/28/14	691.18	370.02	321.16
3.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	05/10/06	09/08/14	345.71	185.01	160.70
9.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	05/10/06	10/15/14	1,107.42	555.03	552.39
1.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	08/09/11	01/28/14	301.21	146.55	154.66
3.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	08/09/11	02/28/14	916.45	439.65	476.80
2.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	08/09/11	09/08/14	661.74	293.10	368.64
3.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	08/09/11	10/15/14	920.61	439.65	480.96
2.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☒	03/17/09	01/28/14	232.79	126.82	105.97
5.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☒	03/17/09	02/28/14	582.08	317.05	265.03

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☒	03/17/09	09/08/14	378.29	190.23	188.06
103.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	11/28/12	01/07/14	2,290.80	1,937.28	353.52
317.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	05/31/12	01/07/14	7,050.33	5,185.64	1,864.69
5.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	05/10/06	01/28/14	193.74	108.98	84.77
13.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	05/10/06	02/28/14	495.42	283.34	212.09
6.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	05/10/06	09/08/14	250.49	130.77	119.72
5.000	COVIDIEN PLC CUSIP: G2554F113	☐	03/01/12	01/28/14	339.94	237.95	101.99
10.000	COVIDIEN PLC CUSIP: G2554F113	☐	03/01/12	02/28/14	715.98	475.89	240.09
6.000	COVIDIEN PLC CUSIP: G2554F113	☐	03/01/12	09/08/14	539.92	285.54	254.38
48.000	COVIDIEN PLC CUSIP: G2554F113	☐	11/14/12	09/26/14	4,321.74	2,460.45	1,861.30
153.000	COVIDIEN PLC CUSIP: G2554F113	☐	03/01/12	09/26/14	13,775.56	7,281.17	6,494.38
8.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	08/01/11	01/28/14	545.91	292.07	253.84
16.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	08/01/11	02/28/14	1,157.57	584.14	573.43
9.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	08/01/11	09/08/14	731.14	328.58	402.56
13.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	08/01/11	10/15/14	1,022.68	474.61	548.07
6.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	05/11/09	01/28/14	363.47	166.38	197.09
13.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	05/11/09	02/28/14	860.84	360.50	500.34
39.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	05/11/09	06/04/14	2,712.39	1,081.50	1,630.89
6.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	05/11/09	09/08/14	392.75	166.38	226.37

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
135.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	01/07/14	10,234.58	6,922.72	3,311.86
4.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	01/28/14	292.99	205.12	87.87
9.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	02/28/14	680.65	461.51	219.14
5.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	09/08/14	343.79	249.05	94.74
3.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☒	11/17/09	01/28/14	285.29	224.93	60.36
5.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☒	11/17/09	02/28/14	480.84	374.89	105.95
4.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☒	11/17/09	09/08/14	390.51	299.91	90.60
2.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	09/07/10	01/28/14	355.17	252.22	102.95
2.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	09/07/10	02/28/14	369.07	252.22	116.85
2.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	09/07/10	09/08/14	379.75	252.22	127.53
5.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	09/07/10	10/15/14	901.73	630.55	271.18
31.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☐	09/25/12	10/28/14	5,030.56	6,378.25	-1,347.69
11.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	09/07/10	10/28/14	1,785.04	1,387.21	397.83
28.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL: IBM, CUSIP: 459200101	☒	10/19/10	10/28/14	4,543.73	3,879.12	664.61
5.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	05/10/06	01/28/14	451.64	291.75	159.89
8.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	05/10/06	02/28/14	731.50	466.80	264.70
6.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	05/10/06	09/08/14	623.86	350.10	273.76
9.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	05/10/06	10/15/14	880.09	525.15	354.94

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
6.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☒	10/19/10	01/28/14	332.21	228.89	103.33
10.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☒	10/19/10	02/28/14	564.79	381.48	183.32
8.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☒	10/19/10	09/08/14	479.10	305.18	173.92
15.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☒	10/19/10	10/15/14	829.93	572.21	257.72
4.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	01/12/10	01/28/14	378.23	249.59	128.64
6.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	01/12/10	02/28/14	570.35	374.39	195.96
33.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	01/12/10	06/04/14	3,368.23	2,059.15	1,309.08
4.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	01/12/10	09/08/14	369.07	249.59	119.48
87.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☐	09/25/12	10/28/14	7,986.66	8,124.80	-138.14
14.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	01/12/10	10/28/14	1,285.21	873.58	411.63
33.000	MCDONALDS CORP SYMBOL: MCD, CUSIP: 580135101	☒	10/19/10	10/28/14	3,029.42	2,545.95	483.47
5.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☒	05/10/06	01/28/14	396.64	285.05	111.59
31.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☒	09/26/06	02/12/14	2,524.36	1,801.10	723.26
47.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☒	05/10/06	02/12/14	3,827.26	2,679.47	1,147.79
115.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☐	01/04/11	02/12/14	9,364.57	6,705.64	2,658.93
4.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	09/03/08	01/28/14	329.31	276.08	53.23
8.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	09/03/08	02/28/14	631.82	552.16	79.66
4.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	09/03/08	09/08/14	367.67	276.08	91.59
1.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	02/24/10	10/15/14	91.46	61.82	29.64
9.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	09/03/08	10/15/14	823.11	621.18	201.93

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	12/29/03	01/28/14	235.82	147.57	88.25
5.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	12/29/03	02/28/14	391.09	245.95	145.14
4.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	12/29/03	09/08/14	332.83	196.76	136.07
7.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/29/11	01/28/14	507.00	405.43	101.57
10.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/29/11	02/28/14	750.88	579.19	171.69
8.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/29/11	09/08/14	606.30	463.35	142.95
13.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/29/11	10/15/14	922.32	752.95	169.37
27.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/29/11	12/19/14	1,983.64	1,563.81	419.83
4.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	09/25/12	01/28/14	352.87	293.15	59.72
7.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	09/25/12	02/28/14	650.77	513.02	137.75
4.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	09/25/12	09/08/14	416.91	293.15	123.76
8.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	04/10/13	09/08/14	483.02	381.76	101.26
14.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	04/10/13	10/15/14	841.10	668.08	173.02
2.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	05/11/09	01/28/14	342.91	98.82	244.09
4.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	05/11/09	02/28/14	715.90	197.63	518.27
5.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	07/07/09	09/08/14	536.98	126.30	410.68
3.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	11/05/07	01/28/14	340.40	228.29	112.11
5.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	11/05/07	02/28/14	582.43	380.49	201.95
4.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	11/05/07	09/08/14	434.59	304.39	130.20
10.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	02/12/13	02/28/14	762.48	569.99	192.49

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	02/12/13	09/08/14	438.59	284.99	153.60
7.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	04/28/09	01/28/14	506.72	136.64	370.08
10.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	04/28/09	02/28/14	808.48	195.20	613.28
8.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	04/28/09	09/08/14	723.42	156.16	567.26
15.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	04/28/09	10/15/14	1,229.22	292.80	936.42
28.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	04/28/09	12/19/14	2,596.10	546.56	2,049.54
11.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	01/14/08	01/28/14	502.80	306.72	196.08
17.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	01/14/08	02/28/14	785.72	474.02	311.70
14.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	01/14/08	09/08/14	719.58	390.37	329.21
22.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	01/14/08	10/15/14	1,045.85	613.43	432.42
Long-Term Subtotal					143,329.78		44,866.35
Total Gains and Losses					149,805.80		45,468.52
Short-Term							602.17
Long-Term							44,866.35
Term Unknown							0.00

1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

BOX 1b -- Qualified dividends

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
464288539	ISHARES MORTGAGE REAL ESTATE CAPPED ETF				
09/30/14	M	\$29.32			
			TOTAL		\$29.32
G7945E105	SEADRILL LIMITED SHS				
03/20/14		\$1,960.00	09/18/14		\$5,000.00
06/19/14		\$2,000.00			
			TOTAL		\$8,960.00

Box 1b Total Amount \$8,989.32

M Payments received were reclassified into other type(s) of income; therefore the totals may not equal the amounts as originally detailed on your monthly statements.

N Non-qualified dividend

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd' To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 -- Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
464288539	REM	ISHARES MORTGAGE REAL ESTATE CAPPED ETF							
12/02/14	A/	1,000.000	12,599.72	9/15/2014	12,470.00		SHORT	☒	☐
46428Q109	SLV	ISHARES SILVER SHARES							
02/27/14	B/	2,000.000	40,919.28	VARIOUS	49,928.50		SHORT	☐	☒
06/25/14	B/	1,000.000	20,251.55	11/13/2013	19,800.00		SHORT	☐	☒
608753109		MOLYCORP INC							
09/04/14	D/	10,000.000	17,499.61	VARIOUS	163,857.41		LONG	☒	☐
12/02/14	D/	10,000.000	9,700.78	VARIOUS	61,144.11		LONG	☒	☐
670002104	NVAX	NOVAVAX INC							
03/24/14	A/	5,000.000	23,399.48	5/20/2013	9,899.92		SHORT	☒	☐
92823T108	VHC	VIRNETX HOLDING CORPORATION							
04/01/14	D/	1,000.000	14,499.67	12/24/2012	29,868.00	\$15,368.33/W	LONG	☒	☐
04/22/14	D/	1,000.000	14,299.68	3/11/2013	33,400.00	\$19,100.32/W	LONG	☒	☐
05/23/14		1,053.000	16,321.13						
	A/	53.000	821.48	3/12/2014	808.38		SHORT	☒	☐
	D/	1,000.000	15,499.65	3/12/2014	30,620.73		LONG	☒	☐
06/06/14		1,000.000	16,749.62						
	A/	947.000	15,861.89	3/12/2014	14,444.03		SHORT	☒	☐
	D/	53.000	887.73	3/26/2014	1,706.62		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1c)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
88554D205	DDD								
08/27/14	A/	1,000.000	51,672.05	7/24/2014	53,190.00	\$1,517.95/W	SHORT	☒	☐
08/27/14	A/	1,000.000	52,484.83	8/1/2014	49,767.95		SHORT	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$61,170.83	\$69,728.50	\$0.00	SHORT	☐	☒
	\$0.00	\$0.00	\$0.00	LONG	☐	☒
	\$156,839.47	\$140,580.28	\$1,517.95	SHORT	☒	☐
	\$72,387.11	\$320,596.87	\$34,468.65	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$290,397.41	\$530,905.65*	\$35,986.60			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

The following information is provided for your use in preparing tax returns for this and subsequent years; it is not furnished to the IRS.

Interest Charged on Margin Account

MARGIN INTEREST FOR 2014

\$49.06

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	ISHARES MORTGAGE REAL ESTATE CAPPED ETF SYMBOL: REM, CUSIP: 464288539	☐	09/15/14	12/02/14	12,599.72	12,470.00	129.72
1,000.000	ISHARES SILVER SHARES SYMBOL: SLV, CUSIP: 46428Q109	☒	03/26/13	02/27/14	20,459.64	27,788.50	-7,328.86
1,000.000	ISHARES SILVER SHARES SYMBOL: SLV, CUSIP: 46428Q109	☒	05/15/13	02/27/14	20,459.64	22,140.00	-1,680.36
1,000.000	ISHARES SILVER SHARES SYMBOL: SLV, CUSIP: 46428Q109	☒	11/13/13	06/25/14	20,251.55	19,800.00	451.55
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
100.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	467.99	198.00	269.99
200.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	935.98	396.00	539.98
200.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	935.98	396.00	539.98

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	935.98	396.00	539.98
400.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	1,871.96	792.00	1,079.96
800.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	3,743.92	1,583.92	2,160.00
1,200.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	5,615.88	2,376.00	3,239.88
1,200.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	05/20/13	03/24/14	5,615.88	2,376.00	3,239.88
53.000	VIRNETX HOLDING CORPORATION SYMBOL: VHC, CUSIP: 92823T108	☐	03/12/14	05/23/14	821.48	808.38	13.10
947.000	VIRNETX HOLDING CORPORATION SYMBOL: VHC, CUSIP: 92823T108	☐	03/12/14	06/06/14	15,861.89	14,444.03	1,417.86
1,000.000	3-D SYSTEMS CORP-DEL ORIGINAL COST 53,190.00 WASH SALE LOSS DISALLOWED: 1,517.95 SYMBOL: DDD, CUSIP: 88554D205	☐	07/24/14	08/27/14	51,672.05	51,672.05	0.00
1,000.000	3-D SYSTEMS CORP-DEL ORIGINAL COST 48,250.00 WASH SALE REPLACEMENT ADDITION: 1,517.95 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DDD, CUSIP: 88554D205	☐	08/01/14	08/27/14	52,484.83	49,767.95	2,716.88
Short-Term Subtotal					218,010.30		9,219.47

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	MOLYCORP INC ORIGINAL COST 13,309.97 WASH SALE REPLACEMENT ADDITION: 26,233.69 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	02/21/13	09/04/14	3,499.92	39,543.67	-36,043.74
1,000.000	MOLYCORP INC ORIGINAL COST 6,654.98 WASH SALE REPLACEMENT ADDITION: 22,800.15 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	02/21/13	09/04/14	1,749.96	29,455.13	-27,705.16
2,500.000	MOLYCORP INC ORIGINAL COST 14,756.25 WASH SALE REPLACEMENT ADDITION: 13,250.36 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	03/01/13	09/04/14	4,374.90	28,006.61	-23,631.71

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	MOLYCORP INC ORIGINAL COST 6,654.98 WASH SALE REPLACEMENT ADDITION: 13,116.85 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	02/21/13	09/04/14	1,749.96	19,771.83	-18,021.86
2,500.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	01/31/13	09/04/14	4,374.90	18,375.00	-14,000.10
500.000	MOLYCORP INC ORIGINAL COST 3,327.49 WASH SALE REPLACEMENT ADDITION: 11,225.10 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	02/21/13	09/04/14	874.98	14,552.59	-13,677.61
500.000	MOLYCORP INC ORIGINAL COST 3,327.49 WASH SALE REPLACEMENT ADDITION: 10,825.09 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	02/21/13	09/04/14	874.98	14,152.58	-13,277.60
5,000.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/01/13	12/02/14	4,850.39	29,512.50	-24,662.11
2,500.000	MOLYCORP INC ORIGINAL COST 14,756.25 WASH SALE REPLACEMENT ADDITION: 2,250.36 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MCP, CUSIP: 608753109	☐	03/01/13	12/02/14	2,425.20	17,006.61	-14,581.42
1,283.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/19/13	12/02/14	1,244.61	7,505.55	-6,260.94
517.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/19/13	12/02/14	501.53	3,024.45	-2,522.92
300.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/19/13	12/02/14	291.02	1,755.00	-1,463.98
300.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/19/13	12/02/14	291.02	1,755.00	-1,463.98
100.000	MOLYCORP INC SYMBOL: MCP, CUSIP: 608753109	☐	03/19/13	12/02/14	97.01	585.00	-487.99
1,000.000	VIRNETX HOLDING CORPORATION ORIGINAL COST 29,868.00 WASH SALE LOSS DISALLOWED: 15,368.33 SYMBOL: VHC, CUSIP: 92823T108	☐	12/24/12	04/01/14	14,499.67	14,499.67	0.00
1,000.000	VIRNETX HOLDING CORPORATION ORIGINAL COST 33,400.00 WASH SALE LOSS DISALLOWED: 19,100.32 SYMBOL: VHC, CUSIP: 92823T108	☐	03/11/13	04/22/14	14,299.68	14,299.68	0.00
1,000.000	VIRNETX HOLDING CORPORATION	☐	03/12/14	05/23/14	15,499.65	30,620.73	-15,121.08

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ORIGINAL COST 15,252.40						
	WASH SALE REPLACEMENT ADDITION: 15,368.33						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: VHC, CUSIP: 92823T108						
53.000	VIRNETX HOLDING CORPORATION	☐	03/26/14	06/06/14	887.73	1,706.62	-818.89
	ORIGINAL COST 694.30						
	WASH SALE REPLACEMENT ADDITION: 1,012.32						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: VHC, CUSIP: 92823T108						
	Long-Term Subtotal				72,387.11		-213,741.09
Total Gains and Losses					290,397.41		-204,521.62
	Short-Term						9,219.47
	Long-Term						-213,741.09
	Term Unknown						0.00

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd' To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 – Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
001055AG6 AFLAC INC SR NTS									
02/26/14	E/	20,000.000	25,918.20	1/15/2010	22,184.92		LONG	☐	☒
03/28/14	E/	10,000.000	12,883.70	1/15/2010	11,077.52		LONG	☐	☒
025816AX7 AMERICAN EXPRESS CO SR NTS									
01/07/14	E/	11,000.000	12,687.19	8/6/2008	10,532.50		LONG	☐	☒
060505DP6 BANK AMERICA CORP									
01/07/14	E/	40,000.000	45,582.80	10/22/2010	41,205.56		LONG	☐	☒
054937AE7 BB&T CORP SUB GLOBAL NOTES									
02/26/14	E/	20,000.000	21,507.60	9/6/2011	20,840.29		LONG	☐	☒
03/28/14	E/	10,000.000	10,706.60	9/6/2011	10,401.14		LONG	☐	☒
111320AE7 BROADCOM CORP SR UNSECURED									
02/26/14	B/	14,000.000	14,488.88	3/11/2013	14,675.39		SHORT	☐	☒
172967EH0 CITIGROUP INC GLOBAL SR NT									
01/07/14	E/	11,000.000	12,607.58	12/3/2010	11,550.44		LONG	☐	☒
22160KAE5 COSTCO WHOLESALE CORP NEW SR UNSECURED									
01/07/14	B/	15,000.000	14,796.00	3/11/2013	14,994.60		SHORT	☐	☒
24702RAJ0 DELL INC SR UNSECURED NOTES									
02/26/14	B/	15,000.000	15,622.50	4/3/2013	15,632.53		SHORT	☐	☒
03/28/14	B/	10,000.000	10,329.20	4/3/2013	10,415.82		SHORT	☐	☒
369622SM8 GENERAL ELECTRIC CAPITAL CORP GLOBAL SUB NT									
02/20/14	E/	20,000.000	22,445.40	12/19/2012	22,748.33		LONG	☐	☒
02/26/14	E/	10,000.000	11,292.80	12/19/2012	11,371.19		LONG	☐	☒
03/28/14	E/	10,000.000	11,154.50	12/19/2012	11,356.31		LONG	☐	☒
38143UAW1 GOLDMAN SACHS GROUP INC									
02/26/14	E/	45,000.000	46,142.73	6/6/2012	45,497.05		LONG	☐	☒
46625HJJ0 J P MORGAN CHASE & CO									
03/28/14	B/	11,000.000	10,425.80	8/7/2013	10,209.98		SHORT	☐	☒
46625HHS2 JPMORGAN CHASE & CO NT									
03/28/14	E/	11,000.000	11,865.49	10/19/2010	11,118.45		LONG	☐	☒
61747WAK5 MORGAN STANLEY									
03/28/14	E/	45,000.000	45,322.20	6/6/2012	44,816.17		LONG	☐	☒
68389XAN5 ORACLE CORP									
01/07/14	B/	15,000.000	14,879.70	3/11/2013	14,957.44		SHORT	☐	☒
882508AU8 TEXAS INSTRUMENTS INC SR UNSECURED									
02/26/14	B/	15,000.000	14,626.35	3/11/2013	14,943.12		SHORT	☐	☒
912828VE7 UNITED STATES TREASURY NOTE									
03/28/14	A/	81,000.000	79,449.61	1/7/2014	79,414.81		SHORT	☒	☐

1099-B (cont) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (cont)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
02/26/14	E/	40,000.000	41,237.20	6/4/2009	39,700.00		LONG	☐	☒

349746CR0 WELLS FARGO & CO SUB NOTES

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$95,168.43	\$95,828.88	\$0.00	SHORT	☐	☒
	\$331,353.99	\$314,399.87	\$0.00	LONG	☐	☒
	\$79,449.61	\$79,414.81	\$0.00	SHORT	☒	☐
	\$0.00	\$0.00	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$505,972.03	\$489,643.56*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

The following information is provided for your use in preparing tax returns for this and subsequent years; it is not furnished to the IRS.

Accrued Interest on Taxable Bond Purchases

SECURITY ID & DESCRIPTION

TRADE DATE	QUANTITY	AMOUNT	TRADE DATE	QUANTITY	AMOUNT
912828VE7	UNITED STATES TREASURY NOTE				
01/07/14	81,000.000	\$91.24			
TOTAL					\$91.24
Total Accrued Interest on Taxable Bonds					\$91.24

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14,000.000	BROADCOM CORP SR UNSECURED 2.700% DUE 11/01/2018 ORIGINAL COST: 14,810.26, PREMIUM AMORTIZED: 134.87, ORIGINAL PRICE: 105.788 CUSIP: 111320AE7	☒	03/11/13	02/26/14	14,488.88	14,675.39	-186.51
15,000.000	COSTCO WHOLESALE CORP NEW SR UNSECURED 1.125% DUE 12/15/2017 CUSIP: 22160KAE5	☒	03/11/13	01/07/14	14,796.00	14,994.60	-198.60
15,000.000	DELL INC SR UNSECURED NOTES 5.875% DUE 06/15/2019 ORIGINAL COST: 15,726.15, PREMIUM AMORTIZED: 93.62, ORIGINAL PRICE: 104.841 CUSIP: 24702RAJ0	☒	04/03/13	02/26/14	15,622.50	15,632.53	-10.05
10,000.000	DELL INC SR UNSECURED NOTES 5.875% DUE 06/15/2019 ORIGINAL COST: 10,484.10, PREMIUM AMORTIZED: 68.28, ORIGINAL PRICE: 104.841 CUSIP: 24702RAJ0	☒	04/03/13	03/28/14	10,329.20	10,415.82	-86.62
11,000.000	J P MORGAN CHASE & CO 3.375% DUE 05/01/2023 CUSIP: 46625HJJ0	☒	08/07/13	03/28/14	10,425.80	10,209.98	-215.82
15,000.000	ORACLE CORP 1.200% DUE 10/15/2017 ORIGINAL COST: 14,948.40, DISCOUNT ACCRETED: 9.04, ORIGINAL PRICE: 99.656 CUSIP: 68389XAN5	☒	03/11/13	01/07/14	14,879.70	14,957.44	-77.74
15,000.000	Texas Instruments Inc SR UNSECURED 1.650% DUE 08/03/2019 ORIGINAL COST: 14,933.40, DISCOUNT ACCRETED: 9.72, ORIGINAL PRICE: 99.556 CUSIP: 882508AU8	☒	03/11/13	02/26/14	14,626.35	14,943.12	-316.77
81,000.000	UNITED STATES TREASURY NOTE	☐	01/07/14	03/28/14	79,449.61	79,414.81	34.80

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	1.000% DUE 05/31/2018 CUSIP: 912828VE7						
Short-Term Subtotal					174,618.04		-625.67

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
20,000.000	AFLAC INC SR NTS 8.500% DUE 05/15/2019 ORIGINAL COST: 23,500.00, PREMIUM AMORTIZED: 1,315.08, ORIGINAL PRICE: 117.500 CUSIP: 001055AC6	☒	01/15/10	02/26/14	25,918.20	22,184.92	3,733.20
10,000.000	AFLAC INC SR NTS 8.500% DUE 05/15/2019 ORIGINAL COST: 11,750.00, PREMIUM AMORTIZED: 672.48, ORIGINAL PRICE: 117.500 CUSIP: 001055AC6	☒	01/15/10	03/28/14	12,883.70	11,077.52	1,806.18
11,000.000	AMERICAN EXPRESS CO SR NTS 6.150% DUE 08/28/2017 CUSIP: 025816AX7	☒	08/06/08	01/07/14	12,687.19	10,532.50	2,154.69
40,000.000	BANK AMERICA CORP 5.750% DUE 12/01/2017 ORIGINAL COST: 42,044.80, PREMIUM AMORTIZED: 839.24, ORIGINAL PRICE: 105.112 CUSIP: 060505DP6	☒	10/22/10	01/07/14	45,582.80	41,205.56	4,377.24
20,000.000	BB&T CORP SUB GLOBAL NOTES 5.200% DUE 12/23/2015 ORIGINAL COST: 21,935.55, PREMIUM AMORTIZED: 1,095.26, ORIGINAL PRICE: 109.678 CUSIP: 054937AE7	☒	09/06/11	02/26/14	21,507.60	20,840.29	667.40
10,000.000	BB&T CORP SUB GLOBAL NOTES 5.200% DUE 12/23/2015 ORIGINAL COST: 10,967.77, PREMIUM AMORTIZED: 566.63, ORIGINAL PRICE: 109.678 CUSIP: 054937AE7	☒	09/06/11	03/28/14	10,706.60	10,401.14	305.46
11,000.000	CITIGROUP INC GLOBAL SR NT 6.000% DUE 08/15/2017 ORIGINAL COST: 11,962.06, PREMIUM AMORTIZED: 411.62, ORIGINAL PRICE: 108.746 CUSIP: 172967EH0	☒	12/03/10	01/07/14	12,607.58	11,550.44	1,057.14
20,000.000	GENERAL ELECTRIC CAPITAL CORP GLOBAL SUB NT 5.300% DUE 02/11/2021 ORIGINAL COST: 23,158.16, PREMIUM AMORTIZED: 409.83, ORIGINAL PRICE: 115.791 CUSIP: 369622SM8	☒	12/19/12	02/20/14	22,445.40	22,748.33	-303.00
10,000.000	GENERAL ELECTRIC CAPITAL CORP	☒	12/19/12	02/26/14	11,292.80	11,371.19	-78.40

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	GLOBAL SUB NT 5.300% DUE 02/11/2021 ORIGINAL COST: 11,579.08, PREMIUM AMORTIZED: 207.89, ORIGINAL PRICE: 115.791 CUSIP: 369622SM8						
10,000.000	GENERAL ELECTRIC CAPITAL CORP GLOBAL SUB NT 5.300% DUE 02/11/2021 ORIGINAL COST: 11,579.08, PREMIUM AMORTIZED: 222.77, ORIGINAL PRICE: 115.791 CUSIP: 369622SM8	☒	12/19/12	03/28/14	11,154.50	11,356.31	-201.81
45,000.000	GOLDMAN SACHS GROUP INC 5.000% DUE 10/01/2014 ORIGINAL COST: 46,925.10, PREMIUM AMORTIZED: 1,428.05, ORIGINAL PRICE: 104.278 CUSIP: 38143UAW1	☒	06/06/12	02/26/14	46,142.73	45,497.05	645.68
11,000.000	JPMORGAN CHASE & CO NT 4.400% DUE 07/22/2020 ORIGINAL COST: 11,171.93, PREMIUM AMORTIZED: 53.48, ORIGINAL PRICE: 101.563 CUSIP: 46625HHS2	☒	10/19/10	03/28/14	11,865.49	11,118.45	747.04
45,000.000	MORGAN STANLEY 2.875% DUE 07/28/2014 ORIGINAL COST: 43,874.55, DISCOUNT ACCRETED: 941.62, ORIGINAL PRICE: 97.499 CUSIP: 61747WAK5	☒	06/06/12	03/28/14	45,322.20	44,816.17	506.03
40,000.000	WELLS FARGO & CO SUB NOTES 5.000% DUE 11/15/2014 CUSIP: 949746CRO	☒	06/04/09	02/26/14	41,237.20	39,700.00	1,537.20
Long-Term Subtotal					331,353.99		16,954.05
Total Gains and Losses					505,972.03		16,328.38
Short-Term							-625.67
Long-Term							16,954.05
Term Unknown							0.00

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd' To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 — Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
00404A109 AGHC ACADIA HEALTHCARE CO INC COM									
01/30/14	D/	121 000	6,153.95	12/5/2012	2,670.46		LONG	☒	☐
07/02/14		122.000	5,811.96						
	A/	22 000	1,048.06	11/27/2013	1,037.96		SHORT	☒	☐
	D/	100 000	4,763.90	VARIOUS	2,890.55		LONG	☒	☐
004446100 ACET ACETO CORP N/C FRACETO CHEMICAL CO INC									
07/02/14	A/	246.000	4,400.84	4/11/2014	4,807.15		SHORT	☒	☐
014491104 ALEX ALEXANDER & BALDWIN INC									
07/02/14	A/	222.000	9,132.87	VARIOUS	9,031.46		SHORT	☒	☐
018522300 ALE ALLETE INC									
07/02/14	D/	119.000	6,023.40	VARIOUS	4,864.15		LONG	☒	☐
M08540105 ALLT ALLOT COMMUNICATIONS LTD									
02/13/14	A/	404 000	6,532.60	5/21/2013	5,280.24		SHORT	☒	☐
02153X108 AAMC ALTISOURCE ASSET MANAGEMENT CORPORATION									
07/07/14	D/	4.000	2,514.82	12/21/2012	313.00		LONG	☒	☐
030371108 AVD AMERICAN VANGUARD CORP									
05/06/14	A/	432.000	6,622.45	VARIOUS	10,382.56		SHORT	☒	☐
001744101 AHS AMN HEALTHCARE SVCS INC									
07/02/14	A/	492 000	6,120.34	3/13/2014	6,641.95		SHORT	☒	☐
044102101 AHP ASHFORD HOSPITALITY PRIME INC									
07/02/14	A/	532.000	9,391.59	1/30/2014	8,884.35		SHORT	☒	☐
045327103 AZPN ASPEN TECHNOLOGY INC									
07/02/14		193.000	9,067.57						
	A/	48 000	2,255.15	9/17/2013	1,675.20		SHORT	☒	☐
	D/	145 000	6,812.42	9/25/2012	3,771.23		LONG	☒	☐
05508R106 BGS B & G FOODS INC									
02/25/14	D/	92.000	2,785.71	9/12/2012	2,733.42		LONG	☒	☐
068463108 BBSI BARRETT BUSINESS SERVICES INC									
07/02/14	A/	85.000	4,357.86	6/20/2014	4,107.19		SHORT	☒	☐
14161H108 CATM CARDTRONICS INC									
05/28/14	D/	194 000	5,701.92	VARIOUS	4,428.80		LONG	☒	☐
143905107 CSV CARRIAGE SERVICES INC									
04/02/14	A/	44.000	805.18	9/30/2013	856.65	\$51.47/W	SHORT	☒	☐
07/02/14	A/	435.000	7,516.82	VARIOUS	8,286.44		SHORT	☒	☐
157210105 CEVA CEVA INC									
04/16/14	A/	127.000	2,183.09	11/13/2013	1,925.31		SHORT	☒	☐
05/01/14	A/	92.000	1,360.64	11/13/2013	1,394.71		SHORT	☒	☐
07/02/14	A/	361.000	5,526.82	VARIOUS	5,977.68		SHORT	☒	☐
157842105 CSG CHAMBERS STREET PROPERTIES COM									
07/02/14	A/	711.000	5,726.98	8/9/2013	5,936.78		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
17243V102 CNK CINEMARK HLDGS INC									
07/02/14		218 000	7,741.61						
	E/	112 000	3,977.34	10/30/2008	778.40		LONG	☐	☒
	A/	55 000	1,953.16	6/5/2014	1,756.15		SHORT	☒	☐
	D/	51 000	1,811.11	4/2/2013	1,502.97		LONG	☒	☐
12621E103 CNO FINANCIAL GROUP INC									
07/02/14	A/	411.000	7,307.51	VARIOUS	7,162.15		SHORT	☒	☐
197236102 COLB COLUMBIA BANKING SYSTEMS INC									
07/02/14		283 000	7,451.79						
	A/	170 000	4,476.34	VARIOUS	4,336.18		SHORT	☒	☐
	D/	113 000	2,975.45	3/27/2013	2,441.92		LONG	☒	☐
199908104 FIX COMFORT SYSTEMS USA INC									
07/02/14	A/	259.000	4,198.33	5/19/2014	4,073.55		SHORT	☒	☐
204166102 CVLT COMMVAULT SYSTEMS INC									
05/19/14	A/	65 000	3,295.42	4/16/2014	4,176.25		SHORT	☒	☐
218681104 CORE CORE MARK HOLDING CO INC									
07/02/14	D/	140.000	6,461.69	6/23/2011	2,409.16		LONG	☒	☐
21870Q105 CORESITE RLTY CORP									
07/02/14	D/	130 000	4,398.45	10/10/2012	3,567.14		LONG	☒	☐
22163N106 COT COTI CORP									
07/02/14	A/	593.000	4,230.96	9/11/2013	4,844.81		SHORT	☒	☐
12642X106 CTC MEDIA INC									
07/02/14	A/	468.000	5,266.19	4/11/2014	4,314.49		SHORT	☒	☐
23247G109 CVT CVENT INC USD0.001									
05/19/14	A/	269.000	6,559.36	VARIOUS	9,997.95		SHORT	☒	☐
257559203 UFS DOMTAR CORP									
02/10/14	A/	123.000	12,970.12	VARIOUS	8,980.99		SHORT	☒	☐
07/02/14	A/	110 000	4,644.21	5/6/2014	5,112.24		SHORT	☒	☐
27875T101 ECHO ECHO GLOBAL LOGISTICS INC									
07/02/14	A/	331.000	6,304.74	VARIOUS	6,132.78		SHORT	☒	☐
283677854 EE EL PASO ELECTRIC CO NEW									
07/02/14	D/	115.000	4,511.73	8/15/2011	3,942.02		LONG	☒	☐
G35569105 FLTX FLEETMATICS GROUP PLC COM									
07/02/14	A/	125 000	4,079.90	6/20/2014	4,070.83		SHORT	☒	☐
343498101 FLO FLOWERS FOODS INC									
07/02/14	A/	357.000	7,440.71	2/25/2014	7,328.50		SHORT	☒	☐
34984V100 FET FORUM ENERGY TECHNOLOGIES INC COM									
02/28/14	A/	52.000	1,349.89	2/10/2014	1,348.35		SHORT	☒	☐
07/02/14	A/	236 000	8,496.07	2/10/2014	6,119.46		SHORT	☒	☐
371559105 GWR GENESEE & WYOMING INC-CLA									
07/02/14		54.000	5,636.94						
	A/	13 000	1,357.04	9/17/2013	1,221.09		SHORT	☒	☐
	D/	41 000	4,279.90	8/15/2011	2,077.06		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

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36162J106	GEO	GEO GROUP INC NEW COM							
07/02/14	A/	219.000	7,775.42	VARIOUS	7,402.60		SHORT	☒	☐
36191G107	GNC	GNC HOLDINGS INC CLASS A SHARES							
07/02/14	A/	92.000	3,135.29	5/28/2014	3,348.80		SHORT	☒	☐
388689101	GPK	GRAPHIC PACKAGING HOLDING COMPANY							
07/02/14		525.000	6,153.91						
	A/	120.000	1,406.61	9/17/2013	1,040.39		SHORT	☒	☐
	D/	405.000	4,747.30	11/1/2012	2,429.96		LONG	☒	☐
40171V100	GWRE	GUIDEWIRE SOFTWARE INC							
07/02/14	A/	124.000	4,982.82	6/5/2014	4,699.28		SHORT	☒	☐
405024100	HAE	HAEMONETICS CORP MASS							
02/28/14	A/	38.000	1,389.25	12/13/2013	1,649.57		SHORT	☒	☐
03/03/14	A/	149.000	5,369.87	12/13/2013	6,468.06		SHORT	☒	☐
41043F208	HGR	HANGER INC							
02/13/14	D/	102.000	3,570.97	6/22/2012	2,436.76		LONG	☒	☐
404303109	HSNI	HSN INC DEL							
03/27/14	A/	37.000	2,164.45	11/27/2013	2,150.79		SHORT	☒	☐
06/20/14	A/	73.000	4,159.44	11/27/2013	4,243.45		SHORT	☒	☐
07/02/14	A/	89.000	5,250.88	VARIOUS	4,944.12		SHORT	☒	☐
48238T109	KAR	KAR AUCTION SERVICES INC							
02/28/14	A/	26.000	809.36	4/16/2013	544.18		SHORT	☒	☐
07/02/14		309.000	9,982.02						
	A/	170.000	5,491.73	11/27/2013	4,710.70		SHORT	☒	☐
	D/	139.000	4,490.29	4/16/2013	2,909.24		LONG	☒	☐
536797103	LAD	LITHIA MOTORS INC CLA							
01/30/14	D/	118.000	6,790.87	5/4/2012	3,082.12		LONG	☒	☐
57686G105	MATX	MATSON INC							
05/06/14	A/	147.000	3,498.92	12/24/2013	3,866.42		SHORT	☒	☐
07/02/14	A/	190.000	5,199.28	12/24/2013	4,997.42		SHORT	☒	☐
675746309	OCN	OCWEN FINANCIAL CORPORATION							
02/10/14		177.000	7,428.58						
	A/	56.000	2,350.29	3/15/2013	2,220.39		SHORT	☒	☐
	D/	121.000	5,078.29	6/5/2012	2,025.53		LONG	☒	☐
71377E105	PFMT	PERFORMANT FINANCIAL CORPORATION COMMON STOCK							
01/30/14	A/	409.000	3,562.94	9/17/2013	4,470.33		SHORT	☒	☐
74267C106	PRA	PROASSURANCE CORP							
07/02/14	D/	122.000	5,439.85	8/23/2011	4,236.45		LONG	☒	☐
74269U203	PKT	PROCERA NETWORKS INC							
02/04/14	A/	600.000	6,845.94	9/25/2013	9,233.94		SHORT	☒	☐
75525F104	RLOC	REACHLOCAL INC							
03/13/14	A/	627.000	6,119.43	VARIOUS	7,710.90		SHORT	☒	☐
76116A108	REN	RESOLUTE ENERGY CORPORATION							
07/02/14	D/	775.000	6,544.73	VARIOUS	6,486.82		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
78573L106 SBRA SABRA HEALTH CARE REIT INC									
02/28/14	A/	78 000	2,222.19	9/6/2013	1,725.88		SHORT	☒	☐
05/06/14	A/	141.000	4,156.58	9/6/2013	3,119.87		SHORT	☒	☐
07/02/14	A/	205 000	5,818.00	9/6/2013	4,535.98		SHORT	☒	☐
811699107 SEAC SEACHANGE INTERNATIONAL INC									
07/02/14	A/	429.000	3,545.61	5/20/2014	4,040.32		SHORT	☒	☐
81663A105 SEMG SEMGROUP CORP CLASS A									
02/28/14	D/	16 000	1,087.66	10/10/2012	604.32		LONG	☒	☐
07/02/14	D/	80.000	6,334.27	10/10/2012	3,021.59		LONG	☒	☐
82705T102 SIMG SILICON IMAGE INC									
04/11/14	A/	715 000	4,448.06	11/27/2013	3,853.85		SHORT	☒	☐
07/02/14	A/	970.000	4,997.32	VARIOUS	5,465.33		SHORT	☒	☐
835898107 BID SOTHEBYS									
07/02/14	A/	100.000	4,202.40	6/20/2014	4,043.50		SHORT	☒	☐
78469C103 SP SP PLUS CORPORATION									
07/02/14		235 000	5,071.46						
	A/	87 000	1,877.52	8/21/2013	1,935.75		SHORT	☒	☐
	D/	148 000	3,193.94	4/23/2013	3,114.81		LONG	☒	☐
84763R101 SPB SPECTRUM BRANDS HOLDINGS INC									
02/25/14		54.000	4,201.67						
	A/	30 000	2,334.26	4/2/2013	1,711.80		SHORT	☒	☐
	D/	24 000	1,867.41	1/11/2012	671.94		LONG	☒	☐
78467J100 SSNC SS&C TECHNOLOGIES HOLDINGS INC									
07/02/14	A/	164.000	7,416.83	VARIOUS	6,673.82		SHORT	☒	☐
853666105 SMP STANDARD MOTOR PRODUCTS INC									
06/05/14		175.000	7,481.10						
	A/	30 000	1,282.47	6/11/2013	1,042.50		SHORT	☒	☐
	D/	145.000	6,198.62	VARIOUS	2,927.03		LONG	☒	☐
866082100 SUMMIT HOTEL PROPERTIES INC									
06/09/14	D/	113.000	1,178.67	11/13/2012	868.00		LONG	☒	☐
07/02/14	D/	470.000	4,998.05	VARIOUS	3,789.16		LONG	☒	☐
871546206 SYMMETRY MEDICAL INC									
05/01/14	A/	415.000	3,387.15	9/25/2013	3,407.11		SHORT	☒	☐
07/02/14	A/	714.000	6,667.75	VARIOUS	6,360.67		SHORT	☒	☐
885175307 THOR THORATEC CORP									
02/28/14	A/	55.000	2,036.06	1/30/2014	1,923.89		SHORT	☒	☐
05/06/14	A/	119.000	3,904.78	VARIOUS	4,162.21		SHORT	☒	☐
07/02/14	A/	139.000	4,801.29	2/10/2014	4,808.00		SHORT	☒	☐
920253101 VMI VALMONT INDUSTRIES INC									
06/20/14	A/	22.000	3,528.94	11/13/2013	3,153.04		SHORT	☒	☐
07/02/14	A/	37.000	5,704.90	11/13/2013	5,302.84		SHORT	☒	☐
959319104 WNR WESTERN REFINING INC									
02/10/14	A/	163.000	5,911.92	8/26/2013	5,015.48		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1d)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
04/01/14	A/	655.000	8,678.75	VARIOUS	7,110.33		SHORT	☒	☐
06/20/14	D/	957.000	3,315.93	1/24/2012	2,985.77		LONG	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$3,977.34	\$778.40	\$0.00	LONG	☐	☒
	\$313,345.96	\$310,294.91	\$51.47	SHORT	☒	☐
	\$124,031.30	\$79,201.38	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$441,354.60	\$390,274.69*	\$51.47			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
22.000	ACADIA HEALTHCARE CO INC COM SYMBOL: ACHC, CUSIP: 00404A109	☐	11/27/13	07/02/14	1,048.06	1,037.96	10.10
246.000	ACETO CORP N/C FR ACETO CHEMICAL CO INC SYMBOL: ACET, CUSIP: 004446100	☐	04/11/14	07/02/14	4,400.84	4,807.15	-406.31
176.000	ALEXANDER & BALDWIN INC SYMBOL: ALEX, CUSIP: 014491104	☐	12/30/13	07/02/14	7,240.47	7,219.52	20.95
46.000	ALEXANDER & BALDWIN INC SYMBOL: ALEX, CUSIP: 014491104	☐	01/30/14	07/02/14	1,892.40	1,811.94	80.46
404.000	ALLOT COMMUNICATIONS LTD SYMBOL: ALLT, CUSIP: M0854Q105	☐	05/21/13	02/13/14	6,532.60	5,280.24	1,252.36
320.000	AMERICAN VANGUARD CORP SYMBOL: AVD, CUSIP: 030371108	☐	02/10/14	05/06/14	4,905.52	7,674.59	-2,769.07
112.000	AMERICAN VANGUARD CORP SYMBOL: AVD, CUSIP: 030371108	☐	02/13/14	05/06/14	1,716.93	2,707.97	-991.04
492.000	AMN HEALTHCARE SVCS INC SYMBOL: AHS, CUSIP: 001744101	☐	03/13/14	07/02/14	6,120.34	6,641.95	-521.61
532.000	ASHFORD HOSPITALITY PRIME INC SYMBOL: AHP, CUSIP: 044102101	☐	01/30/14	07/02/14	9,391.59	8,884.35	507.24
48.000	ASPEN TECHNOLOGY INC SYMBOL: AZPN, CUSIP: 045327103	☐	09/17/13	07/02/14	2,255.15	1,675.20	579.95
85.000	BARRETT BUSINESS SERVICES INC SYMBOL: BBSI, CUSIP: 068463108	☐	06/20/14	07/02/14	4,357.86	4,107.19	250.67
44.000	CARRIAGE SERVICES INC ORIGINAL COST 856.65 WASH SALE LOSS DISALLOWED: 51.47 SYMBOL: CSV, CUSIP: 143905107	☐	09/30/13	04/02/14	805.18	805.18	0.00
216.000	CARRIAGE SERVICES INC SYMBOL: CSV, CUSIP: 143905107	☐	09/30/13	07/02/14	3,732.49	4,205.39	-472.90

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
175.000	CARRIAGE SERVICES INC SYMBOL: CSV, CUSIP: 143905107	☐	03/18/14	07/02/14	3,024.01	3,219.98	-195.98
44.000	CARRIAGE SERVICES INC ORIGINAL COST 809.59 WASH SALE REPLACEMENT ADDITION: 51.47 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: CSV, CUSIP: 143905107	☐	03/18/14	07/02/14	760.32	861.07	-100.75
127.000	CEVA INC SYMBOL: CEVA, CUSIP: 157210105	☐	11/13/13	04/16/14	2,183.09	1,925.31	257.78
92.000	CEVA INC SYMBOL: CEVA, CUSIP: 157210105	☐	11/13/13	05/01/14	1,360.64	1,394.71	-34.07
192.000	CEVA INC SYMBOL: CEVA, CUSIP: 157210105	☐	02/25/14	07/02/14	2,939.47	3,415.66	-476.19
169.000	CEVA INC SYMBOL: CEVA, CUSIP: 157210105	☐	11/13/13	07/02/14	2,587.35	2,562.02	25.33
711.000	CHAMBERS STREET PROPERTIES COM SYMBOL: CSG, CUSIP: 157842105	☐	08/09/13	07/02/14	5,726.98	5,936.78	-209.80
55.000	CINEMARK HLDGS INC SYMBOL: CNK, CUSIP: 17243V102	☐	06/05/14	07/02/14	1,953.16	1,756.15	197.01
267.000	CNO FINANCIAL GROUP INC SYMBOL: CNO, CUSIP: 12621E103	☐	03/27/14	07/02/14	4,747.21	4,763.25	-16.04
144.000	CNO FINANCIAL GROUP INC SYMBOL CNO, CUSIP: 12621E103	☐	05/01/14	07/02/14	2,560.30	2,398.90	161.40
122.000	COLUMBIA BANKING SYSTEMS INC SYMBOL: COLB, CUSIP: 197236102	☐	10/30/13	07/02/14	3,212.43	3,168.34	44.09
48.000	COLUMBIA BANKING SYSTEMS INC SYMBOL: COLB, CUSIP: 197236102	☐	09/17/13	07/02/14	1,263.91	1,167.84	96.07
259.000	COMFORT SYSTEMS USA INC SYMBOL: FIX, CUSIP: 199908104	☐	05/19/14	07/02/14	4,198.33	4,073.55	124.78
65.000	COMMVault SYSTEMS INC SYMBOL: CVLT, CUSIP: 204166102	☐	04/16/14	05/19/14	3,295.42	4,176.25	-880.83
593.000	COTT CORP SYMBOL: COT, CUSIP: 22163N106	☐	09/11/13	07/02/14	4,230.96	4,844.81	-613.85
468.000	CTC MEDIA INC SYMBOL: CTCM, CUSIP: 12642X106	☐	04/11/14	07/02/14	5,266.19	4,314.49	951.70
196.000	CVENT INC USD0.001 SYMBOL: CVT, CUSIP: 23247G109	☐	02/04/14	05/19/14	4,779.31	7,210.82	-2,431.51
73.000	CVENT INC	☐	02/13/14	05/19/14	1,780.05	2,787.13	-1,007.08

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	USD0.001						
	SYMBOL: CVT, CUSIP: 23247G109						
23.000	DOMTAR CORP	☐	05/07/13	02/10/14	2,425.31	1,608.16	817.15
	SYMBOL: UFS, CUSIP: 257559203						
44.000	DOMTAR CORP	☐	06/20/13	02/10/14	4,639.72	3,080.44	1,559.28
	SYMBOL: UFS, CUSIP: 257559203						
56.000	DOMTAR CORP	☐	03/13/13	02/10/14	5,905.10	4,292.39	1,612.71
	SYMBOL: UFS, CUSIP: 257559203						
110.000	DOMTAR CORP	☐	05/06/14	07/02/14	4,644.21	5,112.24	-468.03
	SYMBOL: UFS, CUSIP: 257559203						
104.000	ECHO GLOBAL LOGISTICS INC	☐	05/20/14	07/02/14	1,980.95	1,911.94	69.01
	SYMBOL: ECHO, CUSIP: 27875T101						
227.000	ECHO GLOBAL LOGISTICS INC	☐	05/19/14	07/02/14	4,323.79	4,220.84	102.95
	SYMBOL: ECHO, CUSIP: 27875T101						
125.000	FLEETMatics GROUP PLC	☐	06/20/14	07/02/14	4,079.90	4,070.83	9.07
	COM						
	SYMBOL: FLTX, CUSIP: G35569105						
357.000	FLOWERS FOODS INC	☐	02/25/14	07/02/14	7,440.71	7,328.50	112.21
	SYMBOL: FLO, CUSIP: 343498101						
52.000	FORUM ENERGY TECHNOLOGIES INC	☐	02/10/14	02/28/14	1,349.89	1,348.35	1.54
	COM						
	SYMBOL: FET, CUSIP: 34984V100						
236.000	FORUM ENERGY TECHNOLOGIES INC	☐	02/10/14	07/02/14	8,496.07	6,119.46	2,376.61
	COM						
	SYMBOL: FET, CUSIP: 34984V100						
13.000	GENESEE & WYOMING INC-CLA	☐	09/17/13	07/02/14	1,357.04	1,221.09	135.95
	SYMBOL: GWR, CUSIP: 371559105						
37.000	GEO GROUP INC NEW	☐	06/09/14	07/02/14	1,313.66	1,266.51	47.15
	COM						
	SYMBOL: GEO, CUSIP: 36162J106						
68.000	GEO GROUP INC NEW	☐	05/19/14	07/02/14	2,414.29	2,258.96	155.33
	COM						
	SYMBOL: GEO, CUSIP: 36162J106						
114.000	GEO GROUP INC NEW	☐	05/06/14	07/02/14	4,047.48	3,877.13	170.35
	COM						
	SYMBOL: GEO, CUSIP: 36162J106						
92.000	GNC HOLDINGS INC	☐	05/28/14	07/02/14	3,135.29	3,348.80	-213.51
	CLASS A SHARES						
	SYMBOL: GNC, CUSIP: 36191G107						
120.000	GRAPHIC PACKAGING HOLDING	☐	09/17/13	07/02/14	1,406.61	1,040.39	366.22
	COMPANY						
	SYMBOL: GPK, CUSIP: 388689101						

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
124.000	GUIDEWIRE SOFTWARE INC SYMBOL: GWRE, CUSIP: 40171V100	☐	06/05/14	07/02/14	4,982.82	4,699.28	283.54
38.000	HAEMONETICS CORP-MASS SYMBOL: HAE, CUSIP: 405024100	☐	12/13/13	02/28/14	1,389.25	1,649.57	-260.32
149.000	HAEMONETICS CORP-MASS SYMBOL: HAE, CUSIP: 405024100	☐	12/13/13	03/03/14	5,369.87	6,468.06	-1,098.19
37.000	HSN INC DEL SYMBOL: HSNI, CUSIP: 404303109	☐	11/27/13	03/27/14	2,164.45	2,150.79	13.66
73.000	HSN INC DEL SYMBOL: HSNI, CUSIP: 404303109	☐	11/27/13	06/20/14	4,159.44	4,243.45	-84.01
4.000	HSN INC DEL SYMBOL: HSNI, CUSIP: 404303109	☐	11/27/13	07/02/14	235.99	232.52	3.48
15.000	HSN INC DEL SYMBOL: HSNI, CUSIP: 404303109	☐	02/25/14	07/02/14	884.98	855.30	29.68
70.000	HSN INC DEL SYMBOL: HSNI, CUSIP: 404303109	☐	02/13/14	07/02/14	4,129.91	3,856.30	273.61
26.000	KAR AUCTION SERVICES INC SYMBOL: KAR, CUSIP: 48238T109	☐	04/16/13	02/28/14	809.36	544.18	265.18
170.000	KAR AUCTION SERVICES INC SYMBOL: KAR, CUSIP: 48238T109	☐	11/27/13	07/02/14	5,491.73	4,710.70	781.03
147.000	MATSON INC SYMBOL: MATX, CUSIP: 57686G105	☐	12/24/13	05/06/14	3,498.92	3,866.42	-367.50
190.000	MATSON INC SYMBOL: MATX, CUSIP: 57686G105	☐	12/24/13	07/02/14	5,199.28	4,997.42	201.86
56.000	OCWEN FINANCIAL CORPORATION SYMBOL: OCN, CUSIP: 675746309	☐	03/15/13	02/10/14	2,350.29	2,220.39	129.90
409.000	PERFORMANT FINANCIAL CORPORATION COMMON STOCK SYMBOL: PFMT, CUSIP: 71377E105	☐	09/17/13	01/30/14	3,562.94	4,470.33	-907.39
600.000	PROCERA NETWORKS INC SYMBOL: PKT, CUSIP: 74269U203	☐	09/25/13	02/04/14	6,845.94	9,233.94	-2,388.00
390.000	REACHLOCAL INC SYMBOL: RLOC, CUSIP: 75525F104	☐	09/25/13	03/13/14	3,806.34	4,691.66	-885.32
237.000	REACHLOCAL INC SYMBOL: RLOC, CUSIP: 75525F104	☐	11/13/13	03/13/14	2,313.09	3,019.24	-706.15
78.000	SABRA HEALTH CARE REIT INC SYMBOL: SBRA, CUSIP: 78573L106	☐	09/06/13	02/28/14	2,222.19	1,725.88	496.31
141.000	SABRA HEALTH CARE REIT INC SYMBOL: SBRA, CUSIP: 78573L106	☐	09/06/13	05/06/14	4,156.58	3,119.87	1,036.71

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
205.000	SABRA HEALTH CARE REIT INC SYMBOL: SBRA, CUSIP: 78573L106	☐	09/06/13	07/02/14	5,818.00	4,535.98	1,282.02
429.000	SEACHANGE INTERNATIONAL INC SYMBOL: SEAC, CUSIP: 811699107	☐	05/20/14	07/02/14	3,545.61	4,040.32	-494.71
715.000	SILICON IMAGE INC SYMBOL: SIMG, CUSIP: 82705T102	☐	11/27/13	04/11/14	4,448.06	3,853.85	594.21
336.000	SILICON IMAGE INC SYMBOL: SIMG, CUSIP: 82705T102	☐	12/24/13	07/02/14	1,731.03	1,998.53	-267.50
442.000	SILICON IMAGE INC SYMBOL: SIMG, CUSIP: 82705T102	☐	11/27/13	07/02/14	2,277.13	2,382.38	-105.25
192.000	SILICON IMAGE INC SYMBOL: SIMG, CUSIP: 82705T102	☐	01/30/14	07/02/14	989.16	1,084.42	-95.26
100.000	SOTHEBYS SYMBOL: BID, CUSIP: 835898107	☐	06/20/14	07/02/14	4,202.40	4,043.50	158.90
87.000	SP PLUS CORPORATION SYMBOL: SP, CUSIP: 78469C103	☐	08/21/13	07/02/14	1,877.52	1,935.75	-58.23
30.000	SPECTRUM BRANDS HOLDINGS INC SYMBOL: SPB, CUSIP: 84763R101	☐	04/02/13	02/25/14	2,334.26	1,711.80	622.46
33.000	SS&C TECHNOLOGIES HOLDINGS INC SYMBOL: SSNC, CUSIP: 78467J100	☐	06/05/14	07/02/14	1,492.41	1,471.47	20.94
131.000	SS&C TECHNOLOGIES HOLDINGS INC SYMBOL: SSNC, CUSIP: 78467J100	☐	05/06/14	07/02/14	5,924.42	5,202.35	722.07
30.000	STANDARD MOTOR PRODUCTS INC SYMBOL: SMP, CUSIP: 853666105	☐	06/11/13	06/05/14	1,282.47	1,042.50	239.97
415.000	SYMMETRY MEDICAL INC CUSIP: 871546206	☐	09/25/13	05/01/14	3,387.15	3,407.11	-19.96
326.000	SYMMETRY MEDICAL INC CUSIP: 871546206	☐	11/27/13	07/02/14	3,044.38	3,175.23	-130.85
388.000	SYMMETRY MEDICAL INC CUSIP: 871546206	☐	09/25/13	07/02/14	3,623.37	3,185.44	437.93
55.000	THORATEC CORP SYMBOL: THOR, CUSIP: 885175307	☐	01/30/14	02/28/14	2,036.06	1,923.89	112.17
118.000	THORATEC CORP SYMBOL: THOR, CUSIP: 885175307	☐	01/30/14	05/06/14	3,871.97	4,127.62	-255.65
1.000	THORATEC CORP SYMBOL: THOR, CUSIP: 885175307	☐	02/10/14	05/06/14	32.81	34.59	-1.78
139.000	THORATEC CORP SYMBOL: THOR, CUSIP: 885175307	☐	02/10/14	07/02/14	4,801.29	4,808.00	-6.71
22.000	VALMONT INDUSTRIES INC SYMBOL: VMI, CUSIP: 920253101	☐	11/13/13	06/20/14	3,528.94	3,153.04	375.90

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
37.000	VALMONT INDUSTRIES INC SYMBOL: VMI, CUSIP: 920253101	☐	11/13/13	07/02/14	5,704.90	5,302.84	402.06
163.000	WESTERN REFINING INC SYMBOL: WNR, CUSIP: 959319104	☐	08/26/13	02/10/14	5,911.92	5,015.48	896.44
380.000	XYRATEX LTD CUSIP: G98268108	☐	09/30/13	04/01/14	5,035.00	4,305.36	729.64
275.000	XYRATEX LTD CUSIP: G98268108	☐	11/13/13	04/01/14	3,643.75	2,804.97	838.78
Short-Term Subtotal					313,345.96		3,102.52

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
121.000	ACADIA HEALTHCARE CO INC COM SYMBOL: ACHC, CUSIP: 00404A109	☐	12/05/12	01/30/14	6,153.95	2,670.46	3,483.49
7.000	ACADIA HEALTHCARE CO INC COM SYMBOL: ACHC, CUSIP: 00404A109	☐	12/05/12	07/02/14	333.47	154.49	178.98
93.000	ACADIA HEALTHCARE CO INC COM SYMBOL: ACHC, CUSIP: 00404A109	☐	04/02/13	07/02/14	4,430.43	2,736.06	1,694.37
28.000	ALLETE INC SYMBOL: ALE, CUSIP: 018522300	☐	04/02/13	07/02/14	1,417.27	1,374.24	43.03
41.000	ALLETE INC SYMBOL: ALE, CUSIP: 018522300	☐	05/20/11	07/02/14	2,075.29	1,641.91	433.38
50.000	ALLETE INC SYMBOL: ALE, CUSIP: 018522300	☐	09/26/11	07/02/14	2,530.84	1,848.00	682.84
4.000	ALTISOURCE ASSET MANAGEMENT CORPORATION SYMBOL: AAMC, CUSIP: 02153X108	☐	12/21/12	07/07/14	2,514.82	313.00	2,201.82
145.000	ASPEN TECHNOLOGY INC SYMBOL: AZPN, CUSIP: 045327103	☐	09/25/12	07/02/14	6,812.42	3,771.23	3,041.19
92.000	B & G FOODS INC SYMBOL: BGS, CUSIP: 05508R106	☐	09/12/12	02/25/14	2,785.71	2,733.42	52.29
28.000	CARDTRONICS INC SYMBOL: CATM, CUSIP: 14161H108	☐	08/15/11	05/28/14	822.96	634.69	188.27
82.000	CARDTRONICS INC ORIGINAL COST 1,847.13 WASH SALE REPLACEMENT ADDITION: 54.78	☐	08/17/11	05/28/14	2,410.09	1,901.92	508.17

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: CATM, CUSIP: 14161H108						
84.000	CARDTRONICS INC SYMBOL: CATM, CUSIP: 14161H108	☐	08/17/11	05/28/14	2,468.87	1,892.19	576.69
51.000	CINEMARK HLDGS INC SYMBOL: CNK, CUSIP: 17243V102	☐	04/02/13	07/02/14	1,811.11	1,502.97	308.14
112.000	CINEMARK HLDGS INC SYMBOL: CNK, CUSIP: 17243V102	☒	10/30/08	07/02/14	3,977.34	778.40	3,198.94
113.000	COLUMBIA BANKING SYSTEMS INC SYMBOL: COLB, CUSIP: 197236102	☐	03/27/13	07/02/14	2,975.45	2,441.92	533.53
140.000	CORE MARK HOLDING CO INC SYMBOL: CORE, CUSIP: 218681104	☐	06/23/11	07/02/14	6,461.69	2,409.16	4,052.53
130.000	CORESITE RLTY CORP SYMBOL: COR, CUSIP: 21870Q105	☐	10/10/12	07/02/14	4,398.45	3,567.14	831.31
115.000	EL PASO ELECTRIC CO NEW SYMBOL: EE, CUSIP: 283677854	☐	08/15/11	07/02/14	4,511.73	3,942.02	569.71
41.000	GENESEE & WYOMING INC-CLA SYMBOL: GWR, CUSIP: 371559105	☐	08/15/11	07/02/14	4,279.90	2,077.06	2,202.84
405.000	GRAPHIC PACKAGING HOLDING COMPANY SYMBOL: GPK, CUSIP: 388689101	☐	11/01/12	07/02/14	4,747.30	2,429.96	2,317.34
102.000	HANGER INC SYMBOL: HGR, CUSIP: 41043F208	☐	06/22/12	02/13/14	3,570.97	2,436.76	1,134.21
139.000	KAR AUCTION SERVICES INC SYMBOL: KAR, CUSIP: 48238T109	☐	04/16/13	07/02/14	4,490.29	2,909.24	1,581.05
118.000	LITHIA MOTORS INC-CLA SYMBOL: LAD, CUSIP: 536797103	☐	05/04/12	01/30/14	6,790.87	3,082.12	3,708.75
121.000	OCWEN FINANCIAL CORPORATION SYMBOL: OCN, CUSIP: 675746309	☐	06/05/12	02/10/14	5,078.29	2,025.53	3,052.77
122.000	PROASSURANCE CORP SYMBOL: PRA, CUSIP: 74267C106	☐	08/23/11	07/02/14	5,439.85	4,236.45	1,203.40
365.000	RESOLUTE ENERGY CORPORATION SYMBOL: REN, CUSIP: 76116A108	☐	05/31/13	07/02/14	3,082.36	3,153.56	-71.20
410.000	RESOLUTE ENERGY CORPORATION SYMBOL: REN, CUSIP: 76116A108	☐	07/01/13	07/02/14	3,462.37	3,333.26	129.11
16.000	SEMGROUP CORP CLASS A SYMBOL: SEMG, CUSIP: 81663A105	☐	10/10/12	02/28/14	1,087.66	604.32	483.34
80.000	SEMGROUP CORP CLASS A SYMBOL: SEMG, CUSIP: 81663A105	☐	10/10/12	07/02/14	6,334.27	3,021.59	3,312.68

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
148.000	SP PLUS CORPORATION SYMBOL: SP, CUSIP: 78469C103	☐	04/23/13	07/02/14	3,193.94	3,114.81	79.13
24.000	SPECTRUM BRANDS HOLDINGS INC SYMBOL: SPB, CUSIP: 84763R101	☐	01/11/12	02/25/14	1,867.41	671.94	1,195.47
65.000	STANDARD MOTOR PRODUCTS INC SYMBOL: SMP, CUSIP: 853666105	☐	04/02/13	06/05/14	2,778.69	1,841.44	937.25
80.000	STANDARD MOTOR PRODUCTS INC SYMBOL: SMP, CUSIP: 853666105	☐	07/26/12	06/05/14	3,419.93	1,085.59	2,334.34
113.000	SUMMIT HOTEL PROPERTIES INC SYMBOL: INN, CUSIP: 866082100	☐	11/13/12	06/09/14	1,178.67	868.00	310.67
252.000	SUMMIT HOTEL PROPERTIES INC SYMBOL: INN, CUSIP: 866082100	☐	12/18/12	07/02/14	2,679.81	2,114.64	565.17
218.000	SUMMIT HOTEL PROPERTIES INC SYMBOL: INN, CUSIP: 866082100	☐	11/13/12	07/02/14	2,318.24	1,674.52	643.72
957.000	ZIX CORPORATION SYMBOL: ZIXI, CUSIP: 98974P100	☐	01/24/12	06/20/14	3,315.93	2,985.77	330.16
	Long-Term Subtotal				128,008.64		48,028.88
Total Gains and Losses					441,354.60		51,131.40
	Short-Term						3,102.52
	Long-Term						48,028.88
	Term Unknown						0.00

1099-DIV (con't) RBC Capital Markets, LLC - Dividends

BOX 5 – Investment expenses (con't)

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
42068106	ARM HOLDINGS PLC SPONSORED ADR				
			TOTAL		\$4.86
56752108	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA				
0/07/14		\$1.26			
			TOTAL		\$1.26

Box 5 Total Amount \$6.12

BOXES 6 & 7 – Foreign tax paid and country

The two-letter code refers to the foreign country or United States possession of origin. See IRS Form 1118 instructions for more information.

SECURITY ID & DESCRIPTION

DATE	NOTES	COUNTRY	AMOUNT	DATE	NOTES	COUNTRY	AMOUNT
J63218106	NIELSEN N V						
06/19/14		NL	\$5.62	12/09/14		NL	\$5.62
09/11/14		NL	\$5.62				
				TOTAL			\$16.86

Boxes 6 & 7 Total Amount \$16.86

- # The Internal Revenue Code requires the inclusion of Regulated Investment Company dividends declared in 2014 as 2014 taxable income, even if they are paid in January, 2015. These dividends will not be included on your 2015 Tax Information Summary.
- F This income is subject to possible reallocation into other types of income. If the issuer reallocates this income, a revised Tax Information Summary will be mailed to you on or about March 15, 2015.
- M Payments received were reclassified into other type(s) of income; therefore the totals may not equal the amounts as originally detailed on your monthly statements.
- N Non-qualified dividend

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 – Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
00287Y109	ABBV								
10/23/14	A/	30 000	1,785.56	4/30/2014	1,559.10		SHORT	☒	☐
12/23/14	A/	39 000	2,511.93	VARIOUS	2,041.56		SHORT	☒	☐
12/24/14	A/	22.000	1,467.80	5/21/2014	1,170.62		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
01609W102 BABA ALIBABA GROUP HOLDING LTD SPONSORED ADR									
12/31/14	A/	20.000	2,087.55	9/22/2014	1,797.80		SHORT	☒	☐
023135106 AMZN AMAZON.COM INC									
02/03/14	E/	9 000	3,088.83	12/23/2010	1,639.08		LONG	☐	☒
02/12/14	E/	5 000	1,738.06	12/23/2010	910.60		LONG	☐	☒
04/25/14	E/	12 000	3,672.15	12/23/2010	2,185.44		LONG	☐	☒
04/28/14		7.000	2,073.70						
	E/	6 000	1,777.46	12/23/2010	1,092.72		LONG	☐	☒
	D/	1 000	296.24	2/7/2013	259.61		LONG	☒	☐
07/25/14	D/	8.000	2,576.42	2/7/2013	2,076.88		LONG	☒	☐
03027X100 AMT AMERICAN TOWER CORPORATION REIT									
09/03/14	E/	14.000	1,372.38	12/23/2010	707.00		LONG	☐	☒
031162100 AMGN AMGEN INC									
02/21/14	D/	14 000	1,740.44	2/5/2013	1,202.60		LONG	☒	☐
02/27/14	D/	14 000	1,722.95	VARIOUS	1,207.00		LONG	☒	☐
05/12/14	D/	18 000	2,009.11	2/6/2013	1,554.12		LONG	☒	☐
06/02/14	D/	12 000	1,395.68	3/18/2013	1,089.84		LONG	☒	☐
12/23/14	D/	9 000	1,413.50	3/18/2013	817.38		LONG	☒	☐
12/24/14	D/	10 000	1,618.86	VARIOUS	1,089.19		LONG	☒	☐
037833100 AAPL APPLE INC									
09/05/14	D/	24 000	2,363.46	7/2/2012	2,028.65		LONG	☒	☐
09/08/14	D/	31.000	3,043.82	VARIOUS	2,381.82		LONG	☒	☐
038222105 AMAT APPLIED MATERIALS INC									
08/21/14	A/	70.000	1,551.16	9/25/2013	1,250.20		SHORT	☒	☐
042068106 ARMH ARM HOLDINGS PLC SPONSORED ADR									
11/10/14	D/	34.000	1,426.94	7/8/2013	1,317.84		LONG	☒	☐
056752108 BIDU BAIDU INC SPONSORED ADR REPSTG ORD SHS									
11/13/14	D/	9 000	2,183.71	10/30/2013	1,469.25		LONG	☒	☐
11/19/14	D/	8 000	1,924.59	10/30/2013	1,306.00		LONG	☒	☐
12/04/14	D/	7.000	1,615.77	VARIOUS	1,153.55		LONG	☒	☐
09062X103 BIIB BIOGEN IDEC INC									
04/07/14	D/	17.000	5,000.94	VARIOUS	2,161.96		LONG	☒	☐
04/09/14	D/	5 000	1,493.62	1/3/2013	738.85		LONG	☒	☐
09061G101 BMRN BIOMARIN PHARMACEUTICAL INC									
05/28/14	A/	27 000	1,553.81	VARIOUS	2,179.53		SHORT	☒	☐
06/09/14	A/	35.000	2,157.35	VARIOUS	2,697.65		SHORT	☒	☐
09247X101 BLK BLACKROCK INC									
09/17/14	D/	4.000	1,324.37	5/23/2012	659.56		LONG	☒	☐
10/21/14	D/	5.000	1,594.36	5/23/2012	824.45		LONG	☒	☐
12/31/14	D/	5.000	1,800.96	5/23/2012	824.45		LONG	☒	☐
099724106 BWA BORG WARNER AUTOMOTIVE INC									
02/28/14	D/	35.000	2,144.06	VARIOUS	1,295.58		LONG	☒	☐
03/07/14	D/	24.000	1,473.09	VARIOUS	892.46		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE	FORM 8949 / NOTES	QUANTITY	NET PROCEEDS	DATE ACQUIRED	NET COST	ADJUSTMENTS / CODE IF ANY	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Box 1c)		(Box 1a)	(Box 1d)	(Box 1b)	(Box 1e)	(Box 1g / Box 1f)	(Box 2)	(Box 3)	(Box 5)
99724106 BWA BORG-WARNER AUTOMOTIVE INC									
10/31/14	D/	60.000	3,410.33	4/19/2011	2,137.50		LONG	☒	☐
11/17/14	D/	41.000	2,296.35	VARIOUS	1,553.62		LONG	☒	☐
10122108 BMY BRISTOL MYERS SQUIBB CO									
01/28/14	A/	98.000	5,028.29	VARIOUS	5,192.23		SHORT	☒	☐
48887102 CTRX CATAMARAN CORP COM									
02/28/14	A/	62.000	2,793.05	VARIOUS	3,048.02		SHORT	☒	☐
03/03/14	A/	41.000	1,849.47	10/31/2013	1,987.27		SHORT	☒	☐
24857202 CBS CBS CORP CLASS B									
06/26/14	D/	27.000	1,673.15	4/27/2012	931.77		LONG	☒	☐
07/08/14	D/	21.000	1,334.52	VARIOUS	721.86		LONG	☒	☐
07/09/14	D/	50.000	3,162.43	VARIOUS	1,665.00		LONG	☒	☐
07/10/14	D/	23.000	1,412.39	6/25/2012	720.36		LONG	☒	☐
14987106 GBS OUTDOOR AMERICAS INC COM									
09/10/14	A/	42.000	1,333.89	7/9/2014	1,350.71		SHORT	☒	☐
09/10/14	A/	90.000	2,832.24	VARIOUS	2,950.40		SHORT	☒	☐
151020104 CELG CELGENE CORP									
03/24/14	D/	11.000	1,559.99	9/21/2011	711.48		LONG	☒	☐
09/11/14	D/	15.000	1,375.16	VARIOUS	480.23		LONG	☒	☐
12/04/14	D/	31.000	3,518.73	9/22/2011	972.32		LONG	☒	☐
808513105 SCHW CHARLES SCHWAB CORP NEW									
10/09/14	A/	53.000	1,439.45	10/15/2013	1,220.58		SHORT	☒	☐
10/10/14	A/	62.000	1,640.48	VARIOUS	1,486.20		SHORT	☒	☐
10/13/14	A/	76.000	1,942.52	2/3/2014	1,801.19		SHORT	☒	☐
169656105 CMG CHIPOTLE MEXICAN GRILL INC COMMON STOCK									
04/01/14	A/	5.000	2,892.98	VARIOUS	2,164.40		SHORT	☒	☐
20605P101 CXO CONCHO RESOURCES INC									
10/14/14	A/	29.000	2,828.59	VARIOUS	3,816.34		SHORT	☒	☐
12/16/14	A/	32.000	2,676.74	VARIOUS	4,633.22		SHORT	☒	☐
235851102 DHR DANAHER CORP									
07/21/14	E/	18.000	1,353.21	12/23/2010	847.80		LONG	☐	☒
07/30/14	E/	25.000	1,846.95	12/23/2010	1,177.50		LONG	☐	☒
08/04/14	E/	18.000	1,331.61	12/23/2010	847.80		LONG	☐	☒
08/14/14	E/	18.000	1,345.29						
	E/	10.000	747.38	12/23/2010	471.00		LONG	☐	☒
	D/	8.000	597.91	9/20/2011	373.68		LONG	☒	☐
09/05/14	D/	30.000	2,312.34	9/20/2011	1,401.30		LONG	☒	☐
247361702 DAL DELTA AIR LINES INC DEL COM									
06/16/14	D/	34.000	1,314.07	1/16/2013	472.21		LONG	☒	☐
256677105 DG DOLLAR GENERAL CORPORATION									
01/23/14	D/	34.000	1,952.58	VARIOUS	1,641.26		LONG	☒	☐
05/30/14	D/	29.000	1,553.20	6/6/2012	1,413.75		LONG	☒	☐
07/09/14	D/	23.000	1,323.39	VARIOUS	1,119.77		LONG	☒	☐
07/10/14	D/	23.000	1,318.79	10/31/2012	1,112.74		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
256677105 DG DOLLAR GENERAL CORPORATION									
07/18/14		90.000	4,993.98						
	A/	80.000	4,439.09	VARIOUS	4,425.71		SHORT	☒	☐
	D/	10.000	554.89	10/31/2012	483.80		LONG	☒	☐
09/08/14	A/	38.000	2,404.20	8/19/2014	2,422.12		SHORT	☒	☐
278642103 EBAY EBAY INC									
01/07/14	D/	26.000	1,374.33	VARIOUS	1,058.48		LONG	☒	☐
01/13/14	D/	32.000	1,679.97	4/20/2012	1,299.20		LONG	☒	☐
01/23/14	D/	60.000	3,267.54	VARIOUS	2,821.89		LONG	☒	☐
05/29/14		47.000	2,350.88						
	A/	33.000	1,650.62	7/9/2013	1,795.53		SHORT	☒	☐
	D/	14.000	700.26	10/15/2012	663.18		LONG	☒	☐
518439104 EL ESTEE LAUDER COMPANIES INC CLA									
03/27/14	E/	28.000	1,880.99	12/23/2010	1,116.50		LONG	☐	☒
03/31/14		38.000	2,543.66						
	E/	17.000	1,137.95	12/23/2010	677.88		LONG	☐	☒
	D/	21.000	1,405.71	12/4/2012	1,239.84		LONG	☒	☐
30219G108 ESRX EXPRESS SCRIPTS HOLDING COMPANY									
06/20/14	A/	19.000	1,300.71	2/12/2014	1,443.24		SHORT	☒	☐
06/23/14	A/	34.000	2,333.02	VARIOUS	2,591.22		SHORT	☒	☐
30303M102 FB FACEBOOK INC CLA									
04/24/14	A/	21.000	1,289.37	7/25/2013	710.43		SHORT	☒	☐
31816Q101 FEYE FIREYE INC COM									
08/19/14	A/	69.000	1,931.27	5/29/2014	2,406.03		SHORT	☒	☐
375558103 GILD GILEAD SCIENCES INC									
03/24/14	D/	32.000	2,306.18	VARIOUS	1,113.70		LONG	☒	☐
04/10/14	D/	18.000	1,179.51	10/10/2012	609.93		LONG	☒	☐
04/11/14	D/	35.000	2,311.34	10/10/2012	1,185.98		LONG	☒	☐
07/14/14	D/	16.000	1,436.44	10/10/2012	542.16		LONG	☒	☐
38259P508 GOOGL GOOGLE INC CLA									
03/27/14		13.000	14,448.40						
	A/	4.000	4,445.66	VARIOUS	3,152.56		SHORT	☒	☐
	D/	9.000	10,002.74	VARIOUS	5,519.40		LONG	☒	☐
406216101 HAL HALLIBURTON COMPANY									
10/09/14	A/	23.000	1,314.65	7/23/2014	1,702.23	\$387.58/W	SHORT	☒	☐
10/10/14	A/	44.000	2,412.02	VARIOUS	2,980.82		SHORT	☒	☐
10/13/14	A/	46.000	2,352.84	VARIOUS	3,450.78		SHORT	☒	☐
44970B109 IMS IMS HEALTH HOLDINGS INC COM									
10/30/14	A/	73.000	1,751.24	VARIOUS	1,737.82		SHORT	☒	☐
11/03/14	A/	54.000	1,299.21	6/30/2014	1,384.01		SHORT	☒	☐
45337C102 INCY INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC									
04/17/14	A/	37.000	1,665.33	VARIOUS	2,210.26		SHORT	☒	☐
04/22/14	A/	21.000	1,039.47	1/15/2014	1,303.68		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE	FORM 8949 / NOTES	QUANTITY	NET PROCEEDS	DATE ACQUIRED	NET COST	ADJUSTMENTS / CODE IF ANY	TERM	BASIS RPTD TO IRS	NON-CVRD SEC
Box 1c)		(Box 1a)	(Box 1d)	(Box 1b)	(Box 1e)	(Box 1g / Box 1f)	(Box 2)	(Box 3)	(Box 5)
6625H100	JPM	JPMORGAN CHASE & CO							
04/16/14	A/	22.000	1,215.91	11/21/2013	1,260.38		SHORT	☒	☐
04/21/14	A/	41.000	2,256.18	VARIOUS	2,354.65		SHORT	☒	☐
85170302	KSU	KANSAS CITY SOUTHERN							
01/24/14	A/	11.000	1,090.30	10/9/2013	1,212.64		SHORT	☒	☐
55480U104	LBTYA	LIBERTY GLOBAL PLC CLASS A							
02/03/14	A/	17.000	1,341.44	7/18/2013	1,381.59		SHORT	☒	☐
02/12/14	A/	27.000	2,264.45	VARIOUS	2,202.48		SHORT	☒	☐
02/14/14	A/	16.000	1,347.65	VARIOUS	1,281.73		SHORT	☒	☐
02/27/14	A/	14.000	1,196.41	10/1/2013	1,116.50		SHORT	☒	☐
55480U120	LBTYK	LIBERTY GLOBAL PLC CLASS G							
03/06/14	A/	31.000	1,323.98	2/3/2014	1,209.00		SHORT	☒	☐
53578A108	LNKD	LINKEDIN CORPORATION COM CL A							
04/29/14	A/	17.000	2,597.88	9/5/2013	4,219.40		SHORT	☒	☐
548661107	LOW	LOWES COMPANIES INC							
06/17/14	A/	42.000	1,929.43	VARIOUS	2,038.10		SHORT	☒	☐
06/20/14	A/	73.000	3,349.90	VARIOUS	3,585.62		SHORT	☒	☐
57636Q104	MA	MASTERCARD INCORPORATED							
12/31/14	D/	20.000	1,737.76	7/30/2012	880.34		LONG	☒	☐
58155Q103	MCK	MCKESSON CORP							
01/15/14	A/	20.000	3,323.34	12/10/2013	3,200.20		SHORT	☒	☐
552953101	MGM	MGM RESORTS INTERNATIONAL							
09/17/14	A/	70.000	1,611.36	VARIOUS	1,800.75		SHORT	☒	☐
G60754101	KORS	MICHAEL KORS HLDGS LTD							
11/03/14	A/	18.000	1,402.16	VARIOUS	1,464.36		SHORT	☒	☐
11/06/14	A/	25.000	1,806.21	VARIOUS	2,193.76		SHORT	☒	☐
11/11/14	A/	28.000	1,926.35	VARIOUS	2,458.98		SHORT	☒	☐
594918104	MSFT	MICROSOFT CORP							
08/04/14	A/	97.000	4,203.88	VARIOUS	4,325.94		SHORT	☒	☐
N51488117	MBLY	MOBILEYE N V ORD SHS							
09/26/14	A/	29.000	1,463.01	8/7/2014	1,001.95		SHORT	☒	☐
61166W101	MON	MONSANTO CO							
07/15/14	D/	11.000	1,319.09	7/14/2011	819.06		LONG	☒	☐
07/24/14	D/	18.000	2,088.49	VARIOUS	1,344.48		LONG	☒	☐
07/31/14	D/	12.000	1,361.36	7/28/2011	897.12		LONG	☒	☐
09/03/14	D/	12.000	1,385.24	VARIOUS	831.45		LONG	☒	☐
09/29/14	D/	12.000	1,358.48	VARIOUS	802.63		LONG	☒	☐
12/31/14	D/	12.000	1,443.68	9/23/2011	770.64		LONG	☒	☐
617446448	MS	MORGAN STANLEY							
02/28/14	A/	44.000	1,349.89	VARIOUS	1,115.70		SHORT	☒	☐
10/17/14	D/	41.000	1,361.16	6/3/2013	1,038.53		LONG	☒	☐
64110L106	NFLX	NETFLIX.COM INC							
03/28/14	A/	3.000	1,074.18	2/3/2014	1,212.75		SHORT	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
64110L106 NFLX NETFLIX.COM INC									
11/12/14	A/	4 000	1,535.20	8/8/2014	1,782.04		SHORT	☒	☐
11/20/14	A/	8.000	2,943.13	VARIOUS	3,603.43		SHORT	☒	☐
654106103 NKE NIKE INC-CL B									
09/29/14	D/	22.000	1,963.45	VARIOUS	1,448.62		LONG	☒	☐
655044105 NBL NOBLE ENERGY INC									
07/28/14	D/	76 000	5,265.17	VARIOUS	4,530.45		LONG	☒	☐
N6596X109 NXPI NXP SEMICONDUCTORS US LISTED									
01/13/14	A/	46.000	1,970.60	VARIOUS	1,388.36		SHORT	☒	☐
01/14/14	A/	58.000	2,546.73	2/4/2013	1,722.02		SHORT	☒	☐
68389X105 ORCL ORACLE CORPORATION									
05/27/14	A/	31.000	1,297.32	4/21/2014	1,246.76		SHORT	☒	☐
07/14/14	A/	67.000	2,712.10	4/21/2014	2,694.60		SHORT	☒	☐
07/16/14	A/	33 000	1,327.23	VARIOUS	1,326.74		SHORT	☒	☐
07/18/14	A/	71.000	2,848.45	4/29/2014	2,852.78		SHORT	☒	☐
723787107 PXD PIONEER NATURAL RESOURCES COMPANY									
07/09/14	A/	8 000	1,804.20	2/7/2014	1,473.92		SHORT	☒	☐
08/18/14	A/	22.000	4,476.02	VARIOUS	4,250.84		SHORT	☒	☐
741503403 PCLN PRICELINE GROUP INC (THE)									
02/19/14	E/	2.000	2,549.29	12/23/2010	824.32		LONG	☐	☒
05/13/14	D/	3.000	3,475.11	8/5/2011	1,597.71		LONG	☒	☐
747525103 QCOM QUALCOMM INC									
06/06/14	D/	17 000	1,365.23	VARIOUS	1,044.95		LONG	☒	☐
06/13/14	D/	26.000	2,055.51	1/4/2013	1,652.30		LONG	☒	☐
06/16/14		22.000	1,726.96						
	A/	20.000	1,569.96	10/30/2013	1,383.00		SHORT	☒	☐
	D/	2 000	157.00	1/4/2013	127.10		LONG	☒	☐
06/17/14	A/	25.000	1,983.70	10/30/2013	1,728.75		SHORT	☒	☐
751212101 RL RALPH LAUREN CORPORATION CL A									
02/05/14	D/	9 000	1,336.56	VARIOUS	1,228.85		LONG	☒	☐
02/06/14	D/	17.000	2,594.49	VARIOUS	2,586.51		LONG	☒	☐
75281A109 RRC RANGE RESOURCES CORP									
07/23/14	D/	57.000	4,398.02	VARIOUS	4,511.82		LONG	☒	☐
75886F107 REGN REGENERON PHARMACEUTICALS INC									
06/27/14	A/	3 000	827.30	6/2/2014	927.06		SHORT	☒	☐
778296103 ROST ROSS STORES INC									
02/06/14	D/	27.000	1,868.63	VARIOUS	1,763.93		LONG	☒	☐
02/20/14	D/	26 000	1,766.40	VARIOUS	1,695.54		LONG	☒	☐
02/25/14	D/	30 000	2,100.26	VARIOUS	1,704.63		LONG	☒	☐
79466L302 CRM SALESFORCE.COM INC									
04/29/14	E/	24.000	1,217.13	12/23/2010	804.18		LONG	☐	☒
11/21/14		67.000	3,869.16						
	E/	8 000	461.99	12/23/2010	268.06		LONG	☐	☒
	D/	59 000	3,407.17	VARIOUS	1,887.77		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

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78388J106 SBAC SBA COMMUNICATIONS CORP CL A									
02/28/14	D/	16.000	1,513.09	VARIOUS	893.16		LONG	☒	☐
09/12/14	D/	13.000	1,458.82	6/26/2012	728.78		LONG	☒	☐
12/31/14	D/	17.000	1,888.99	VARIOUS	976.76		LONG	☒	☐
806857108 SLB SCHLUMBERGER LTD									
02/28/14	A/	14.000	1,302.95	8/15/2013	1,149.40		SHORT	☒	☐
03/17/14	A/	15.000	1,348.32	VARIOUS	1,229.94		SHORT	☒	☐
05/02/14	A/	16.000	1,617.88	VARIOUS	1,327.08		SHORT	☒	☐
08/20/14	A/	12.000	1,319.01	10/2/2013	1,076.16		SHORT	☒	☐
10/10/14		20.000	1,864.55						
	A/	17.000	1,584.87	11/8/2013	1,587.63		SHORT	☒	☐
	D/	3.000	279.68	10/2/2013	269.04		LONG	☒	☐
10/27/14	A/	14.000	1,304.91	VARIOUS	1,285.98		SHORT	☒	☐
10/28/14	A/	39.000	3,763.80	VARIOUS	4,180.41		SHORT	☒	☐
81762P102 NOW SERVICENOW INC COM									
04/09/14	A/	39.000	2,116.48	8/26/2013	1,843.92		SHORT	☒	☐
848637104 SPLK SPLUNK INC COM									
04/02/14	A/	20.000	1,414.36	1/24/2014	1,564.20		SHORT	☒	☐
855244109 SBUX STARBUCKS CORP									
08/14/14	D/	22.000	1,684.94	VARIOUS	869.53		LONG	☒	☐
10/03/14	D/	18.000	1,367.24	8/12/2011	707.42		LONG	☒	☐
12/17/14	D/	17.000	1,364.55	8/12/2011	672.53		LONG	☒	☐
88160R101 TSLA TESLA MOTORS INC									
09/16/14	A/	9.000	2,347.77	7/7/2014	1,995.39		SHORT	☒	☐
896945201 TRIP TRIPADVISOR INC									
11/05/14	A/	36.000	2,585.46	VARIOUS	3,377.16		SHORT	☒	☐
90130A101 FOXA TWENTY FIRST CENTURY FOX INC CLASS A									
07/17/14	D/	62.000	2,041.00	1/30/2013	1,537.45		LONG	☒	☐
07/18/14	D/	40.000	1,319.57	VARIOUS	1,005.52		LONG	☒	☐
07/24/14	D/	40.000	1,315.17	2/27/2013	1,020.58		LONG	☒	☐
07/31/14	D/	55.000	1,751.72	VARIOUS	1,582.17		LONG	☒	☐
90184L102 TWTR TWITTER INC									
07/18/14	A/	57.000	2,085.59	VARIOUS	2,179.49	\$47.28/W	SHORT	☒	☐
12/30/14	A/	50.000	1,780.47	VARIOUS	2,107.79		SHORT	☒	☐
90384S303 ULTA ULTA SALON COSMETICS & FRAGRANCE INC									
09/17/14	D/	11.000	1,308.97	VARIOUS	974.36		LONG	☒	☐
907818108 UNP UNION PACIFIC CORP									
02/28/14	E/	10.000	1,804.96	12/23/2010	915.30		LONG	☐	☒
04/04/14	E/	19.000	3,573.65	12/23/2010	1,739.07		LONG	☐	☒
09/16/14	E/	13.000	1,401.36	12/23/2010	594.94		LONG	☐	☒
11/18/14		17.000	2,054.40						
	E/	1.000	120.85	12/23/2010	45.76		LONG	☐	☒
	D/	16.000	1,933.55	9/21/2011	687.60		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

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913017109 UTX UNITED TECHNOLOGIES CORP									
07/03/14	E/	23.000	2,665.41	12/23/2010	1,824.59		LONG	☐	☒
07/21/14		34.000	3,847.69						
	E/	30.000	3,395.02	12/23/2010	2,379.90		LONG	☐	☒
	D/	4.000	452.67	3/20/2013	373.84		LONG	☒	☐
07/22/14	D/	9.000	996.41	3/20/2013	841.14		LONG	☒	☐
91911K102 VRX VALEANT PHARMACEUTICALS INTERNATIONAL INC									
06/13/14	A/	20.000	2,400.54	VARIOUS	2,630.90		SHORT	☒	☐
92343V104 VZ VERIZON COMMUNICATIONS									
03/10/14	A/	60.000	2,812.75	3/3/2014	2,836.80		SHORT	☒	☐
254687106 DIS WALT DISNEY CO									
11/10/14	D/	16.000	1,436.12	1/23/2013	863.20		LONG	☒	☐
966837106 WFM WHOLE FOODS MARKET INC									
12/31/14	A/	39.000	1,973.74	11/10/2014	1,864.98		SHORT	☒	☐
969457100 WMB WILLIAMS COMPANIES INC									
12/11/14	A/	32.000	1,423.32	VARIOUS	1,677.86		SHORT	☒	☐
12/16/14	A/	81.000	3,415.69	VARIOUS	4,455.29		SHORT	☒	☐
98138H101 WDAY WORKDAY INC CLA									
11/20/14	A/	19.000	1,741.12	VARIOUS	1,625.14		SHORT	☒	☐
983134107 WYNN WYNN RESORTS LTD									
01/28/14	A/	7.000	1,379.67	8/15/2013	975.38		SHORT	☒	☐
03/10/14	A/	6.000	1,439.91	8/15/2013	836.04		SHORT	☒	☐
04/08/14	A/	6.000	1,269.39	8/15/2013	836.04		SHORT	☒	☐
04/29/14	A/	6.000	1,217.43	8/15/2013	836.04		SHORT	☒	☐
08/08/14	A/	12.000	2,404.02	7/29/2014	2,622.72		SHORT	☒	☐
98978V103 ZTS ZOETIS INC CLA									
05/30/14	D/	52.000	1,598.97	VARIOUS	1,741.82		LONG	☒	☐

1099-B (cont) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (cont)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE	FORM 8949 / NOTES	QUANTITY	NET PROCEEDS	DATE ACQUIRED	NET COST	ADJUSTMENTS / CODE IF ANY	TERM	BASIS RPTD TO IRS	NON-CVRD SEC
Box 1c)		(Box 1a)	(Box 1d)	(Box 1b)	(Box 1e)	(Box 1g / Box 1f)	(Box 2)	(Box 3)	(Box 5)
8978V103	ZTS			ZOETIS INC CLA					
10/02/14	D/	36.000	1,329.45	VARIOUS	1,195.56		LONG	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON-CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$37,136.63	\$21,069.44	\$0.00	LONG	☐	☒
	\$183,966.88	\$189,181.98	\$434.86	SHORT	☒	☐
	\$165,346.30	\$110,422.34	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$386,449.81	\$320,673.76*	\$434.86			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
30.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	04/30/14	10/23/14	1,785.56	1,559.10	226.46
2.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	05/21/14	12/23/14	128.82	106.42	22.40
2.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	04/30/14	12/23/14	128.82	103.94	24.88
35.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	05/12/14	12/23/14	2,254.30	1,831.20	423.10
22.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	05/21/14	12/24/14	1,467.80	1,170.62	297.18
20.000	ALIBABA GROUP HOLDING LTD SPONSORED ADR SYMBOL: BABA, CUSIP: 01609W102	☐	09/22/14	12/31/14	2,087.55	1,797.80	289.75
70.000	APPLIED MATERIALS INC SYMBOL: AMAT, CUSIP: 038222105	☐	09/25/13	08/21/14	1,551.16	1,250.20	300.96
18.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	02/26/14	05/28/14	1,035.87	1,484.10	-448.23
9.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	03/07/14	05/28/14	517.94	695.43	-177.49
18.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	03/07/14	06/09/14	1,109.49	1,390.86	-281.37
17.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	03/10/14	06/09/14	1,047.86	1,306.79	-258.93
42.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	10/30/13	01/28/14	2,154.98	2,225.79	-70.81
29.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	10/29/13	01/28/14	1,487.96	1,545.70	-57.74
27.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	10/31/13	01/28/14	1,385.35	1,420.74	-35.39

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
32.000	CATAMARAN CORP COM SYMBOL: CTRX, CUSIP: 148887102	☐	10/29/13	02/28/14	1,441.57	1,593.92	-152.35
30.000	CATAMARAN CORP COM SYMBOL: CTRX, CUSIP: 148887102	☐	10/31/13	02/28/14	1,351.48	1,454.10	-102.62
41.000	CATAMARAN CORP COM SYMBOL: CTRX, CUSIP: 148887102	☐	10/31/13	03/03/14	1,849.47	1,987.27	-137.80
70.000	CBS OUTDOOR AMERICAS INC COM CUSIP: 14987J106	☐	07/15/14	09/10/14	2,202.85	2,307.20	-104.35
42.000	CBS OUTDOOR AMERICAS INC COM CUSIP: 14987J106	☐	07/09/14	09/10/14	1,333.89	1,350.71	-16.82
20.000	CBS OUTDOOR AMERICAS INC COM CUSIP: 14987J106	☐	07/09/14	09/10/14	629.39	643.20	-13.81
53.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	10/15/13	10/09/14	1,439.45	1,220.58	218.87
2.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	10/15/13	10/10/14	52.92	46.06	6.86
5.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	02/03/14	10/10/14	132.30	118.50	13.80
55.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	11/11/13	10/10/14	1,455.26	1,321.64	133.62
76.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	02/03/14	10/13/14	1,942.52	1,801.19	141.33
1.000	CHIPOTLE MEXICAN GRILL INC COMMON STOCK SYMBOL: CMG, CUSIP: 169656105	☐	10/08/13	04/01/14	578.60	425.56	153.04
4.000	CHIPOTLE MEXICAN GRILL INC COMMON STOCK SYMBOL: CMG, CUSIP: 169656105	☐	10/15/13	04/01/14	2,314.38	1,738.84	575.54
22.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	05/13/14	10/14/14	2,145.83	2,904.66	-758.83
7.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	05/12/14	10/14/14	682.76	911.68	-228.92
14.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	07/09/14	12/16/14	1,171.07	2,065.28	-894.21
12.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	07/14/14	12/16/14	1,003.78	1,775.76	-771.98

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
6.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	05/13/14	12/16/14	501.89	792.18	-290.29
31.000	DOLLAR GENERAL CORPORATION SYMBOL: DG, CUSIP: 256677105	☐	04/09/14	07/18/14	1,720.15	1,749.33	-29.18
49.000	DOLLAR GENERAL CORPORATION SYMBOL: DG, CUSIP: 256677105	☒	04/11/14	07/18/14	2,718.94	2,676.38	42.56
38.000	DOLLAR GENERAL CORPORATION SYMBOL: DG, CUSIP: 256677105	☐	08/19/14	09/08/14	2,404.20	2,422.12	-17.92
33.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	07/09/13	05/29/14	1,650.62	1,795.53	-144.91
19.000	EXPRESS SCRIPTS HOLDING COMPANY SYMBOL: ESRX, CUSIP: 30219G108	☐	02/12/14	06/20/14	1,300.71	1,443.24	-142.53
33.000	EXPRESS SCRIPTS HOLDING COMPANY SYMBOL: ESRX, CUSIP: 30219G108	☐	02/19/14	06/23/14	2,264.40	2,515.26	-250.86
1.000	EXPRESS SCRIPTS HOLDING COMPANY SYMBOL: ESRX, CUSIP: 30219G108	☐	02/12/14	06/23/14	68.62	75.96	-7.34
21.000	FACEBOOK INC CLA SYMBOL: FB, CUSIP: 30303M102	☐	07/25/13	04/24/14	1,289.37	710.43	578.94
69.000	FIREEYE INC COM SYMBOL: FEYE, CUSIP: 31816Q101	☐	05/29/14	08/19/14	1,931.27	2,406.03	-474.76
2.000	GOOGLE INC CLA SYMBOL: GOOGL, CUSIP: 38259P508	☐	04/04/13	03/27/14	2,222.83	1,587.62	635.21
2.000	GOOGLE INC CLA SYMBOL: GOOGL, CUSIP: 38259P508	☐	04/09/13	03/27/14	2,222.83	1,564.94	657.89
23.000	HALLIBURTON COMPANY ORIGINAL COST 1,702.23 WASH SALE LOSS DISALLOWED: 387.58 SYMBOL: HAL, CUSIP: 406216101	☐	07/23/14	10/09/14	1,314.65	1,314.65	0.00
27.000	HALLIBURTON COMPANY SYMBOL: HAL, CUSIP: 406216101	☐	08/14/14	10/10/14	1,480.10	1,816.83	-336.73
17.000	HALLIBURTON COMPANY SYMBOL: HAL, CUSIP: 406216101	☐	08/27/14	10/10/14	931.92	1,163.99	-232.07
23.000	HALLIBURTON COMPANY ORIGINAL COST 1,506.27 WASH SALE REPLACEMENT ADDITION: 387.58	☐	09/09/14	10/13/14	1,176.42	1,893.85	-717.43

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: HAL, CUSIP: 406216101						
17.000	HALLIBURTON COMPANY SYMBOL: HAL, CUSIP: 406216101	☐	08/27/14	10/13/14	869.53	1,163.99	-294.46
6.000	HALLIBURTON COMPANY SYMBOL: HAL, CUSIP: 406216101	☐	09/09/14	10/13/14	306.89	392.94	-86.05
4.000	IMS HEALTH HOLDINGS INC COM SYMBOL: IMS, CUSIP: 44970B109	☐	06/30/14	10/30/14	95.96	102.52	-6.56
69.000	IMS HEALTH HOLDINGS INC COM SYMBOL: IMS, CUSIP: 44970B109	☐	04/09/14	10/30/14	1,655.28	1,635.30	19.98
54.000	IMS HEALTH HOLDINGS INC COM SYMBOL: IMS, CUSIP: 44970B109	☐	06/30/14	11/03/14	1,299.21	1,384.01	-84.80
30.000	INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC SYMBOL: INCY, CUSIP: 45337C102	☐	01/13/14	04/17/14	1,350.27	1,775.70	-425.43
7.000	INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC SYMBOL: INCY, CUSIP: 45337C102	☐	01/15/14	04/17/14	315.06	434.56	-119.50
21.000	INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC SYMBOL: INCY, CUSIP: 45337C102	☐	01/15/14	04/22/14	1,039.47	1,303.68	-264.21
22.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☐	11/21/13	04/16/14	1,215.91	1,260.38	-44.47
36.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☐	11/22/13	04/21/14	1,981.04	2,068.20	-87.16
5.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☐	11/21/13	04/21/14	275.14	286.45	-11.31
11.000	KANSAS CITY SOUTHERN SYMBOL: KSU, CUSIP: 485170302	☐	10/09/13	01/24/14	1,090.30	1,212.64	-122.34
17.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	07/18/13	02/03/14	1,341.44	1,381.59	-40.15
6.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	07/18/13	02/12/14	503.21	487.62	15.59
21.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	08/01/13	02/12/14	1,761.24	1,714.86	46.38

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	08/01/13	02/14/14	252.68	244.98	7.70
13.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	10/01/13	02/14/14	1,094.97	1,036.75	58.22
14.000	LIBERTY GLOBAL PLC CLASS A SYMBOL: LBTYA, CUSIP: G5480U104	☐	10/01/13	02/27/14	1,196.41	1,116.50	79.91
31.000	LIBERTY GLOBAL PLC CLASS C SYMBOL: LBTYK, CUSIP: G5480U120	☐	02/03/14	03/06/14	1,323.98	1,209.00	114.98
17.000	LINKEDIN CORPORATION COM CLA SYMBOL: LNKD, CUSIP: 53578A108	☐	09/05/13	04/29/14	2,597.88	4,219.40	-1,621.52
25.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	10/16/13	06/17/14	1,148.47	1,213.94	-65.47
17.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	10/15/13	06/17/14	780.96	824.16	-43.20
30.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	10/29/13	06/20/14	1,376.67	1,517.70	-141.03
33.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	10/21/13	06/20/14	1,514.34	1,582.35	-68.01
10.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	10/16/13	06/20/14	458.89	485.57	-26.68
20.000	MCKESSON CORP SYMBOL: MCK, CUSIP: 58155Q103	☐	12/10/13	01/15/14	3,323.34	3,200.20	123.14
33.000	MGM RESORTS INTERNATIONAL SYMBOL: MGM, CUSIP: 552953101	☐	06/25/14	09/17/14	759.64	855.03	-95.39
37.000	MGM RESORTS INTERNATIONAL SYMBOL: MGM, CUSIP: 552953101	☐	05/27/14	09/17/14	851.72	945.72	-94.00
14.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	01/27/14	11/03/14	1,090.57	1,136.24	-45.67
4.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	01/28/14	11/03/14	311.59	328.12	-16.53
9.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	03/11/14	11/06/14	650.24	881.28	-231.04
16.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	01/28/14	11/06/14	1,155.97	1,312.48	-156.51
10.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	03/11/14	11/11/14	687.98	979.20	-291.22

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
18.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	07/21/14	11/11/14	1,238.37	1,479.78	-241.41
73.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	07/18/14	08/04/14	3,163.74	3,254.34	-90.60
24.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	07/17/14	08/04/14	1,040.14	1,071.60	-31.46
29.000	MOBILEYE N V ORD SHS SYMBOL: MBLY, CUSIP: N51488117	☐	08/07/14	09/26/14	1,463.01	1,001.95	461.06
2.000	MORGAN STANLEY SYMBOL: MS, CUSIP: 617446448	☐	05/30/13	02/28/14	61.36	51.84	9.52
42.000	MORGAN STANLEY SYMBOL: MS, CUSIP: 617446448	☐	06/03/13	02/28/14	1,288.53	1,063.86	224.67
3.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	02/03/14	03/28/14	1,074.18	1,212.75	-138.57
4.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	08/08/14	11/12/14	1,535.20	1,782.04	-246.84
4.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	09/25/14	11/20/14	1,471.57	1,775.04	-303.48
3.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	10/09/14	11/20/14	1,103.67	1,382.88	-279.21
1.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	08/08/14	11/20/14	367.89	445.51	-77.62
17.000	NXP SEMICONDUCTORS US LISTED SYMBOL: NXPI, CUSIP: N6596X109	☐	02/04/13	01/13/14	728.27	504.73	223.54
29.000	NXP SEMICONDUCTORS US LISTED SYMBOL: NXPI, CUSIP: N6596X109	☐	01/28/13	01/13/14	1,242.33	883.63	358.70
58.000	NXP SEMICONDUCTORS US LISTED SYMBOL: NXPI, CUSIP: N6596X109	☐	02/04/13	01/14/14	2,546.73	1,722.02	824.71
31.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/21/14	05/27/14	1,297.32	1,246.76	50.56
67.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/21/14	07/14/14	2,712.10	2,694.60	17.50
21.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/21/14	07/16/14	844.60	844.58	0.02
12.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/29/14	07/16/14	482.63	482.16	0.47

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
71.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/29/14	07/18/14	2,848.45	2,852.78	-4.33
8.000	PIONEER NATURAL RESOURCES COMPANY SYMBOL: PXD, CUSIP: 723787107	☐	02/07/14	07/09/14	1,804.20	1,473.92	330.28
6.000	PIONEER NATURAL RESOURCES COMPANY SYMBOL: PXD, CUSIP: 723787107	☐	06/12/14	08/18/14	1,220.73	1,343.04	-122.31
2.000	PIONEER NATURAL RESOURCES COMPANY SYMBOL: PXD, CUSIP: 723787107	☐	02/07/14	08/18/14	406.91	368.48	38.43
14.000	PIONEER NATURAL RESOURCES COMPANY SYMBOL: PXD, CUSIP: 723787107	☐	02/11/14	08/18/14	2,848.38	2,539.32	309.06
20.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	10/30/13	06/16/14	1,569.96	1,383.00	186.96
25.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	10/30/13	06/17/14	1,983.70	1,728.75	254.95
3.000	REGENERON PHARMACEUTICALS INC SYMBOL: REGN, CUSIP: 75886F107	☐	06/02/14	06/27/14	827.30	927.06	-99.76
14.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	08/15/13	02/28/14	1,302.95	1,149.40	153.55
2.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	08/15/13	03/17/14	179.78	164.20	15.58
13.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	08/16/13	03/17/14	1,168.54	1,065.74	102.80
2.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	10/02/13	05/02/14	202.24	179.36	22.88
14.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	08/16/13	05/02/14	1,415.65	1,147.72	267.93
12.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	10/02/13	08/20/14	1,319.01	1,076.16	242.85
17.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	11/08/13	10/10/14	1,584.87	1,587.63	-2.76
8.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	11/08/13	10/27/14	745.66	747.12	-1.46
6.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	12/27/13	10/27/14	559.25	538.86	20.39
26.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	06/26/14	10/28/14	2,509.20	3,012.88	-503.68

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
13.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	12/27/13	10/28/14	1,254.60	1,167.53	87.07
39.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	08/26/13	04/09/14	2,116.48	1,843.92	272.56
20.000	SPLUNK INC COM SYMBOL: SPLK, CUSIP: 848637104	☐	01/24/14	04/02/14	1,414.36	1,564.20	-149.84
9.000	TESLA MOTORS INC SYMBOL: TSLA, CUSIP: 88160R101	☐	07/07/14	09/16/14	2,347.77	1,995.39	352.38
14.000	TRIPADVISOR INC SYMBOL: TRIP, CUSIP: 896945201	☐	07/03/14	11/05/14	1,005.46	1,527.40	-521.94
22.000	TRIPADVISOR INC SYMBOL: TRIP, CUSIP: 896945201	☐	01/14/14	11/05/14	1,580.00	1,849.76	-269.76
53.000	TWITTER INC ORIGINAL COST 2,025.65 WASH SALE LOSS DISALLOWED: 47.28 SYMBOL: TWTR, CUSIP: 90184L102	☐	06/17/14	07/18/14	1,939.23	1,978.37	-39.13
4.000	TWITTER INC SYMBOL: TWTR, CUSIP: 90184L102	☐	06/24/14	07/18/14	146.36	153.84	-7.48
21.000	TWITTER INC SYMBOL: TWTR, CUSIP: 90184L102	☐	08/18/14	12/30/14	747.80	945.17	-197.38
29.000	TWITTER INC ORIGINAL COST 1,115.34 WASH SALE REPLACEMENT ADDITION: 47.28 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: TWTR, CUSIP: 90184L102	☐	06/24/14	12/30/14	1,032.67	1,162.62	-129.95
10.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC SYMBOL: VRX, CUSIP: 91911K102	☐	04/23/14	06/13/14	1,200.27	1,327.40	-127.13
10.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC SYMBOL: VRX, CUSIP: 91911K102	☐	05/09/14	06/13/14	1,200.27	1,303.50	-103.23
60.000	VERIZON COMMUNICATIONS SYMBOL: VZ, CUSIP: 92343V104	☐	03/03/14	03/10/14	2,812.75	2,836.80	-24.05
39.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	11/10/14	12/31/14	1,973.74	1,864.98	108.76
26.000	WILLIAMS COMPANIES INC SYMBOL: WMB, CUSIP: 969457100	☐	10/17/14	12/11/14	1,156.45	1,351.22	-194.77
6.000	WILLIAMS COMPANIES INC SYMBOL: WMB, CUSIP: 969457100	☐	10/21/14	12/11/14	266.87	326.64	-59.77

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
55.000	WILLIAMS COMPANIES INC SYMBOL: WMB, CUSIP: 969457100	☐	10/31/14	12/16/14	2,319.30	3,039.85	-720.55
26.000	WILLIAMS COMPANIES INC SYMBOL: WMB, CUSIP: 969457100	☐	10/21/14	12/16/14	1,096.39	1,415.44	-319.05
6.000	WORKDAY INC CLA SYMBOL: WDAY, CUSIP: 98138H101	☐	01/15/14	11/20/14	549.83	551.34	-1.51
13.000	WORKDAY INC CLA SYMBOL: WDAY, CUSIP: 98138H101	☐	12/30/13	11/20/14	1,191.29	1,073.80	117.49
7.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/15/13	01/28/14	1,379.67	975.38	404.29
6.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/15/13	03/10/14	1,439.91	836.04	603.87
6.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/15/13	04/08/14	1,269.39	836.04	433.35
6.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/15/13	04/29/14	1,217.43	836.04	381.39
12.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	07/29/14	08/08/14	2,404.02	2,622.72	-218.70
Short-Term Subtotal					183,966.88		-4,780.25

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
9.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☒	12/23/10	02/03/14	3,088.83	1,639.08	1,449.75
5.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☒	12/23/10	02/12/14	1,738.06	910.60	827.46
12.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☒	12/23/10	04/25/14	3,672.15	2,185.44	1,486.71
1.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	02/07/13	04/28/14	296.24	259.61	36.63
6.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☒	12/23/10	04/28/14	1,777.46	1,092.72	684.74
8.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	02/07/13	07/25/14	2,576.42	2,076.88	499.54
14.000	AMERICAN TOWER CORPORATION REIT SYMBOL: AMT, CUSIP: 03027X100	☒	12/23/10	09/03/14	1,372.38	707.00	665.38

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	02/05/13	02/21/14	1,740.44	1,202.60	537.84
4.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	02/05/13	02/27/14	492.27	343.60	148.67
10.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	02/06/13	02/27/14	1,230.68	863.40	367.28
18.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	02/06/13	05/12/14	2,009.11	1,554.12	454.99
12.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	03/18/13	06/02/14	1,395.68	1,089.84	305.84
9.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	03/18/13	12/23/14	1,413.50	817.38	596.12
1.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	03/18/13	12/24/14	161.89	90.82	71.07
9.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	10/07/13	12/24/14	1,456.97	998.37	458.60
24.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	07/02/12	09/05/14	2,363.46	2,028.65	334.81
4.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	07/02/12	09/08/14	392.75	338.11	54.64
27.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	11/15/12	09/08/14	2,651.07	2,043.71	607.36
34.000	ARM HOLDINGS PLC SPONSORED ADR SYMBOL: ARMH, CUSIP: 042068106	☐	07/08/13	11/10/14	1,426.94	1,317.84	109.10
9.000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA SYMBOL: BIDU, CUSIP: 056752108	☐	10/30/13	11/13/14	2,183.71	1,469.25	714.46
8.000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA SYMBOL: BIDU, CUSIP: 056752108	☐	10/30/13	11/19/14	1,924.59	1,306.00	618.59
3.000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA SYMBOL: BIDU, CUSIP: 056752108	☐	11/18/13	12/04/14	692.47	500.55	191.92
4.000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA SYMBOL: BIDU, CUSIP: 056752108	☐	10/30/13	12/04/14	923.30	653.00	270.30

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
6.000	BIOMED INC SYMBOL: BIIB, CUSIP: 09062X103	☐	01/03/13	04/07/14	1,765.04	886.62	878.42
11.000	BIOMED INC SYMBOL: BIIB, CUSIP: 09062X103	☐	01/13/12	04/07/14	3,235.90	1,275.34	1,960.56
5.000	BIOMED INC SYMBOL: BIIB, CUSIP: 09062X103	☐	01/03/13	04/09/14	1,493.62	738.85	754.77
4.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	05/23/12	09/17/14	1,324.37	659.56	664.81
5.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	05/23/12	10/21/14	1,594.36	824.45	769.91
5.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	05/23/12	12/31/14	1,800.96	824.45	976.51
17.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	02/22/11	02/28/14	1,041.40	663.51	377.89
18.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	01/14/11	02/28/14	1,102.66	632.07	470.59
11.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	02/22/11	03/07/14	675.17	429.33	245.84
13.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	04/19/11	03/07/14	797.92	463.13	334.80
60.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	04/19/11	10/31/14	3,410.33	2,137.50	1,272.83
7.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	04/19/11	11/17/14	392.06	249.38	142.68
34.000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP: 099724106	☐	03/22/13	11/17/14	1,904.29	1,304.24	600.05
27.000	CBS CORP CLASS B SYMBOL: CBS, CUSIP: 124857202	☐	04/27/12	06/26/14	1,673.15	931.77	741.38
6.000	CBS CORP CLASS B SYMBOL: CBS, CUSIP: 124857202	☐	04/27/12	07/08/14	381.29	207.06	174.23
15.000	CBS CORP CLASS B SYMBOL: CBS, CUSIP: 124857202	☐	05/02/12	07/08/14	953.23	514.80	438.43
17.000	CBS CORP CLASS B SYMBOL: CBS, CUSIP: 124857202	☐	06/25/12	07/09/14	1,075.23	532.44	542.79
33.000	CBS CORP	☐	05/02/12	07/09/14	2,087.20	1,132.56	954.64

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	CLASS B SYMBOL: CBS, CUSIP: 124857202						
23.000	CBS CORP	☐	06/25/12	07/10/14	1,412.39	720.36	692.03
	CLASS B SYMBOL: CBS, CUSIP: 124857202						
11.000	CELGENE CORP	☐	09/21/11	03/24/14	1,559.99	711.48	848.51
	SYMBOL: CELG, CUSIP: 151020104						
5.000	CELGENE CORP	☐	09/22/11	09/11/14	458.39	156.83	301.56
	SYMBOL: CELG, CUSIP: 151020104						
10.000	CELGENE CORP	☐	09/21/11	09/11/14	916.77	323.40	593.37
	SYMBOL: CELG, CUSIP: 151020104						
31.000	CELGENE CORP	☐	09/22/11	12/04/14	3,518.73	972.32	2,546.42
	SYMBOL: CELG, CUSIP: 151020104						
18.000	DANAHER CORP	☒	12/23/10	07/21/14	1,353.21	847.80	505.41
	SYMBOL: DHR, CUSIP: 235851102						
25.000	DANAHER CORP	☒	12/23/10	07/30/14	1,846.95	1,177.50	669.45
	SYMBOL: DHR, CUSIP: 235851102						
18.000	DANAHER CORP	☒	12/23/10	08/04/14	1,331.61	847.80	483.81
	SYMBOL: DHR, CUSIP: 235851102						
8.000	DANAHER CORP	☐	09/20/11	08/14/14	597.91	373.68	224.23
	SYMBOL: DHR, CUSIP: 235851102						
10.000	DANAHER CORP	☒	12/23/10	08/14/14	747.38	471.00	276.38
	SYMBOL: DHR, CUSIP: 235851102						
30.000	DANAHER CORP	☐	09/20/11	09/05/14	2,312.34	1,401.30	911.04
	SYMBOL: DHR, CUSIP: 235851102						
34.000	DELTA AIR LINES INC DEL COM	☐	01/16/13	06/16/14	1,314.07	472.21	841.86
	SYMBOL: DAL, CUSIP: 247361702						
6.000	DOLLAR GENERAL CORPORATION	☐	06/06/12	01/23/14	344.57	292.50	52.07
	SYMBOL: DG, CUSIP: 256677105						
28.000	DOLLAR GENERAL CORPORATION	☐	06/04/12	01/23/14	1,608.01	1,348.76	259.25
	SYMBOL: DG, CUSIP: 256677105						
29.000	DOLLAR GENERAL CORPORATION	☐	06/06/12	05/30/14	1,553.20	1,413.75	139.45
	SYMBOL: DG, CUSIP: 256677105						
4.000	DOLLAR GENERAL CORPORATION	☐	10/31/12	07/09/14	230.15	193.52	36.63
	SYMBOL: DG, CUSIP: 256677105						
19.000	DOLLAR GENERAL CORPORATION	☐	06/06/12	07/09/14	1,093.24	926.25	166.99
	SYMBOL: DG, CUSIP: 256677105						
23.000	DOLLAR GENERAL CORPORATION	☐	10/31/12	07/10/14	1,318.79	1,112.74	206.05
	SYMBOL: DG, CUSIP: 256677105						

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	DOLLAR GENERAL CORPORATION SYMBOL: DG, CUSIP: 256677105	☐	10/31/12	07/18/14	554.89	483.80	71.09
10.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	04/20/12	01/07/14	528.59	406.00	122.59
16.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	04/19/12	01/07/14	845.74	652.48	193.26
32.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	04/20/12	01/13/14	1,679.97	1,299.20	380.77
3.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	04/20/12	01/23/14	163.38	121.80	41.58
57.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	10/15/12	01/23/14	3,104.16	2,700.09	404.07
14.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	10/15/12	05/29/14	700.26	663.18	37.08
28.000	ESTEE LAUDER COMPANIES INC CLA SYMBOL: EL, CUSIP: 518439104	☒	12/23/10	03/27/14	1,880.99	1,116.50	764.49
21.000	ESTEE LAUDER COMPANIES INC CLA SYMBOL: EL, CUSIP: 518439104	☐	12/04/12	03/31/14	1,405.71	1,239.84	165.87
17.000	ESTEE LAUDER COMPANIES INC CLA SYMBOL: EL, CUSIP: 518439104	☒	12/23/10	03/31/14	1,137.95	677.88	460.08
7.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	10/10/12	03/24/14	504.48	237.20	267.28
25.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	10/03/12	03/24/14	1,801.70	876.50	925.20
18.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	10/10/12	04/10/14	1,179.51	609.93	569.58
35.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	10/10/12	04/11/14	2,311.34	1,185.98	1,125.37
16.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	10/10/12	07/14/14	1,436.44	542.16	894.28
2.000	GOOGLE INC CLA SYMBOL: GOOGL, CUSIP: 38259P508	☐	08/14/12	03/27/14	2,222.83	1,339.90	882.93
3.000	GOOGLE INC CLA SYMBOL: GOOGL, CUSIP: 38259P508	☐	08/03/11	03/27/14	3,334.25	1,800.30	1,533.95
4.000	GOOGLE INC	☐	07/15/11	03/27/14	4,445.66	2,379.20	2,066.46

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	CLA SYMBOL: GOOGL, CUSIP: 38259P508						
20.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	07/30/12	12/31/14	1,737.76	880.34	857.42
11.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	07/14/11	07/15/14	1,319.09	819.06	500.03
4.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	07/14/11	07/24/14	464.11	297.84	166.27
14.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	07/28/11	07/24/14	1,624.38	1,046.64	577.74
12.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	07/28/11	07/31/14	1,361.36	897.12	464.24
1.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	07/28/11	09/03/14	115.44	74.76	40.68
11.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	09/19/11	09/03/14	1,269.80	756.69	513.11
5.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	09/23/11	09/29/14	566.03	321.10	244.93
7.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	09/19/11	09/29/14	792.45	481.53	310.92
12.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	09/23/11	12/31/14	1,443.68	770.64	673.04
41.000	MORGAN STANLEY SYMBOL: MS, CUSIP: 617446448	☐	06/03/13	10/17/14	1,361.16	1,038.53	322.63
4.000	NIKE INC-CL B SYMBOL: NKE, CUSIP: 654106103	☐	08/09/13	09/29/14	356.99	266.56	90.43
18.000	NIKE INC-CL B SYMBOL: NKE, CUSIP: 654106103	☐	08/07/13	09/29/14	1,606.46	1,182.06	424.40
30.000	NOBLE ENERGY INC SYMBOL: NBL, CUSIP: 655044105	☐	05/08/13	07/28/14	2,078.36	1,753.20	325.16
46.000	NOBLE ENERGY INC SYMBOL: NBL, CUSIP: 655044105	☐	05/17/13	07/28/14	3,186.81	2,777.25	409.56
2.000	PRICELINE GROUP INC (THE) SYMBOL: PCLN, CUSIP: 741503403	☒	12/23/10	02/19/14	2,549.29	824.32	1,724.97
3.000	PRICELINE GROUP INC (THE) SYMBOL: PCLN, CUSIP: 741503403	☐	08/05/11	05/13/14	3,475.11	1,597.71	1,877.40
6.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	05/30/12	06/06/14	481.85	345.90	135.95
11.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	01/04/13	06/06/14	883.38	699.05	184.33

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
26.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	01/04/13	06/13/14	2,055.51	1,652.30	403.21
2.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	01/04/13	06/16/14	157.00	127.10	29.90
5.000	RALPH LAUREN CORPORATION CLA SYMBOL: RL, CUSIP: 751212101	☐	09/14/11	02/05/14	742.53	710.65	31.88
4.000	RALPH LAUREN CORPORATION CLA SYMBOL: RL, CUSIP: 751212101	☐	08/11/11	02/05/14	594.03	518.20	75.83
13.000	RALPH LAUREN CORPORATION CLA SYMBOL: RL, CUSIP: 751212101	☐	12/07/12	02/06/14	1,984.02	2,017.99	-33.97
4.000	RALPH LAUREN CORPORATION CLA SYMBOL: RL, CUSIP: 751212101	☐	09/14/11	02/06/14	610.47	568.52	41.95
26.000	RANGE RESOURCES CORP SYMBOL: RRC, CUSIP: 75281A109	☐	06/25/13	07/23/14	2,006.11	2,065.70	-59.59
24.000	RANGE RESOURCES CORP SYMBOL: RRC, CUSIP: 75281A109	☐	06/24/13	07/23/14	1,851.80	1,889.76	-37.96
7.000	RANGE RESOURCES CORP SYMBOL: RRC, CUSIP: 75281A109	☐	06/18/13	07/23/14	540.11	556.36	-16.25
13.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	08/16/12	02/06/14	899.71	887.25	12.46
14.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	06/29/12	02/06/14	968.92	876.68	92.24
12.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	08/16/12	02/20/14	815.26	819.00	-3.74
14.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	10/16/12	02/20/14	951.14	876.54	74.60
9.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	10/16/12	02/25/14	630.08	563.49	66.59
21.000	ROSS STORES INC SYMBOL: ROST, CUSIP: 778296103	☐	11/15/12	02/25/14	1,470.18	1,141.14	329.04
24.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☒	12/23/10	04/29/14	1,217.13	804.18	412.95
8.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☒	12/23/10	11/21/14	461.99	268.06	193.93
23.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	10/06/11	11/21/14	1,328.22	692.93	635.29

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
36.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	01/28/11	11/21/14	2,078.95	1,194.84	884.11
3.000	SBA COMMUNICATIONS CORP CLA SYMBOL: SBAC, CUSIP: 78388J106	☐	06/26/12	02/28/14	283.70	168.18	115.52
13.000	SBA COMMUNICATIONS CORP CLA SYMBOL: SBAC, CUSIP: 78388J106	☐	06/25/12	02/28/14	1,229.39	724.98	504.41
13.000	SBA COMMUNICATIONS CORP CLA SYMBOL: SBAC, CUSIP: 78388J106	☐	06/26/12	09/12/14	1,458.82	728.78	730.04
2.000	SBA COMMUNICATIONS CORP CLA SYMBOL: SBAC, CUSIP: 78388J106	☐	11/27/12	12/31/14	222.23	135.86	86.37
15.000	SBA COMMUNICATIONS CORP CLA SYMBOL: SBAC, CUSIP: 78388J106	☐	06/26/12	12/31/14	1,666.76	840.90	825.86
3.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	10/02/13	10/10/14	279.68	269.04	10.64
5.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	07/15/11	08/14/14	382.94	197.00	185.94
17.000	STARBUCKS CORP ORIGINAL COST 634.10 WASH SALE REPLACEMENT ADDITION: 38.43 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: SBUX, CUSIP: 855244109	☐	08/12/11	08/14/14	1,302.00	672.53	629.47
18.000	STARBUCKS CORP ORIGINAL COST 671.40 WASH SALE REPLACEMENT ADDITION: 36.02 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: SBUX, CUSIP: 855244109	☐	08/12/11	10/03/14	1,367.24	707.42	659.82
17.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	08/12/11	12/17/14	1,364.55	672.53	692.02
62.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	01/30/13	07/17/14	2,041.00	1,537.45	503.55
19.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	02/27/13	07/18/14	626.80	484.77	142.02
21.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	01/30/13	07/18/14	692.77	520.75	172.02

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
40.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	02/27/13	07/24/14	1,315.17	1,020.58	294.59
11.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	02/27/13	07/31/14	350.34	280.66	69.69
44.000	TWENTY FIRST CENTURY FOX INC CLASS A SYMBOL: FOXA, CUSIP: 90130A101	☐	07/03/13	07/31/14	1,401.38	1,301.51	99.86
1.000	ULTA SALON COSMETICS & FRAGRANCE INC SYMBOL: ULTA, CUSIP: 90384S303	☐	02/13/12	09/17/14	119.00	81.56	37.44
10.000	ULTA SALON COSMETICS & FRAGRANCE INC SYMBOL: ULTA, CUSIP: 90384S303	☐	04/25/12	09/17/14	1,189.97	892.80	297.17
10.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	12/23/10	02/28/14	1,804.96	915.30	889.66
19.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	12/23/10	04/04/14	3,573.65	1,739.07	1,834.58
13.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	12/23/10	09/16/14	1,401.36	594.94	806.42
1.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	12/23/10	11/18/14	120.85	45.76	75.08
16.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☐	09/21/11	11/18/14	1,933.55	687.60	1,245.95
23.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	12/23/10	07/03/14	2,665.41	1,824.59	840.82
4.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	03/20/13	07/21/14	452.67	373.84	78.83
30.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	12/23/10	07/21/14	3,395.02	2,379.90	1,015.12
9.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	03/20/13	07/22/14	996.41	841.14	155.27
16.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	01/23/13	11/10/14	1,436.12	863.20	572.92
42.000	ZOETIS INC CLA SYMBOL: ZTS, CUSIP: 98978V103	☐	04/09/13	05/30/14	1,291.48	1,409.52	-118.04
10.000	ZOETIS INC CLA SYMBOL: ZTS, CUSIP: 98978V103	☐	05/03/13	05/30/14	307.49	332.30	-24.81

THE CODISPOTI FOUNDATION
P O BOX 79
RED BANK NJ 07701-0079

ACCOUNT NUMBER:
876-00043
Page 36 of 38

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4.000	ZOETIS INC CLA SYMBOL: ZTS, CUSIP: 98978V103	☐	05/23/13	10/02/14	147.72	132.20	15.52
32.000	ZOETIS INC CLA SYMBOL: ZTS, CUSIP: 98978V103	☐	05/03/13	10/02/14	1,181.73	1,063.36	118.37
Long-Term Subtotal					202,482.93		70,991.17
Total Gains and Losses					386,449.81		66,210.92
Short-Term							-4,780.25
Long-Term							70,991.17
Term Unknown							0.00

1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

BOX 3 -- Nontaxable distributions (con't)

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
381936100	OMEGA HEALTHCARE INVESTORS INC				
			TOTAL		\$25.82
97382A101	WINDSTREAM HLDGS INC COM				
01/15/14	M R	\$134.49	07/15/14	M R	\$134.49
04/15/14	M R	\$134.49	10/15/14	M R	\$69.87
			TOTAL		\$473.34

Box 3 Total Amount \$536.95

The Internal Revenue Code requires the inclusion of Regulated Investment Company dividends declared in 2014 as 2014 taxable income, even if they are paid in January, 2015. These dividends will not be included on your 2015 Tax Information Summary.

C Long-term capital gain distribution

F This income is subject to possible reallocation into other types of income. If the issuer reallocates this income, a revised Tax Information Summary will be mailed to you on or about March 15, 2015.

M Payments received were reclassified into other type(s) of income; therefore the totals may not equal the amounts as originally detailed on your monthly statements.

N Non-qualified dividend

R Return of capital

X Unrecaptured Section 1250 gain distribution

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the 'Non-Cvrd. Sec' column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the 'Basis Rptd' To IRS' column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 -- Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
018581108	ADS								
09/08/14	D/	5 000	1,282.27	8/12/2011	444.20		LONG	☒	☐
00164V103	AMCX								
12/16/14	D/	89 000	5,307.85	11/5/2013	6,414.22		LONG	☒	☐
03662Q105	ANSS								
01/06/14	E/	79 000	6,730.69	VARIOUS	3,008.15		LONG	☐	☒
111621306	BRCD								
09/08/14	D/	121 000	1,251.45	3/14/2013	736.71		LONG	☒	☐
124830100	CBL								
01/06/14	A/	270.000	4,957.14	6/5/2013	5,991.06		SHORT	☒	☐
15189T107	CNP								
04/01/14	D/	290.000	6,916.37	VARIOUS	6,333.43		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
18683K101 - CLF - CLIFFS NATURAL RESOURCES INC									
08/26/14		242.000	3,966.97						
	E/	73 000	1,196.65	7/19/2010	3,387.20		LONG	☐	☒
	A/	55 000	901.58	1/6/2014	1,356.85		SHORT	☒	☐
	D/	114 000	1,868.74	VARIOUS	4,270.95		LONG	☒	☐
21249J105 - CONVERSANT INC COM									
11/19/14	D/	196.000	6,827.52	12/18/2012	3,876.39		LONG	☒	☐
257559203 - UFS - DOMTAR CORP									
08/26/14	A/	118.000	4,431.07	4/1/2014	6,593.25		SHORT	☒	☐
29266R108 - ENR - ENERGIZER HOLDINGS INC									
04/01/14	D/	80.000	7,999.02	4/24/2012	5,683.98		LONG	☒	☐
316773100 - FITB - FIFTH THIRD BANCORP									
08/26/14		453 000	9,260.38						
	E/	182 000	3,720.51	11/12/2010	2,347.80		LONG	☐	☒
	D/	271 000	5,539.87	8/6/2012	3,807.14		LONG	☒	☐
413875105 - HRS - HARRIS CORP=DEL									
08/26/14	A/	102.000	7,262.94	1/6/2014	7,033.90		SHORT	☒	☐
444859102 - HUM - HUMANA INC									
08/26/14	D/	16 000	2,000.43	4/15/2013	1,218.88		LONG	☒	☐
11/19/14	D/	10 000	1,366.86	4/15/2013	761.80		LONG	☒	☐
12/31/14	D/	12 000	1,743.08	4/15/2013	914.16		LONG	☒	☐
45884X103 - INTERMUNE INC									
04/01/14	D/	42.000	1,414.52	4/24/2012	487.20		LONG	☒	☐
09/25/14	D/	110 000	8,128.16	4/24/2012	1,276.00		LONG	☒	☐
521865204 - LEA - LEAR CORPORATION									
01/06/14	D/	78 000	6,188.41	10/19/2012	3,185.50		LONG	☒	☐
534187109 - LNC - LINCOLN NATIONAL CORP-IND									
11/19/14	D/	24.000	1,351.17	3/19/2012	654.20		LONG	☒	☐
544147101 - LO - LORILLARD INC									
08/26/14	A/	116 000	6,936.64	4/1/2014	6,258.19		SHORT	☒	☐
580645109 - MHFI - MCGRAW HILL FINANCIAL INC									
11/19/14	D/	102.000	9,306.55	11/5/2013	7,277.69		LONG	☒	☐
552953101 - MGM - MGM RESORTS INTERNATIONAL									
04/01/14	D/	97.000	2,566.56	10/19/2012	1,070.73		LONG	☒	☐
678026105 - OIS - OIL STATES INTERNATIONAL INC									
04/01/14	A/	49.000	4,799.93	8/2/2013	4,631.97		SHORT	☒	☐
701094104 - PH - PARKER HANNIFIN CORP									
04/17/14	D/	9 000	1,130.82	1/18/2012	760.95		LONG	☒	☐
743674103 - PROTECTIVE LIFE CORP									
08/26/14	A/	148.000	10,268.02	4/1/2014	7,894.32		SHORT	☒	☐
772739207 - RKT - ROCK TENN COMPANY CLASS A COMMON STOCK									
04/01/14	D/	63.000	6,608.56	9/26/2012	4,561.82		LONG	☒	☐
776696106 - ROP - ROPER INDUSTRIES INC NEW									
04/01/14		48 000	6,432.33						

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
776696106	ROP								
	E/	20 000	2,680.14	11/12/2010	1,411.60		LONG	☐	☒
	D/	28 000	3,752.19	1/13/2011	2,110.36		LONG	☒	☐
80004C101	SNDK								
04/17/14	D/	17 000	1,420.99	8/12/2011	644.44		LONG	☒	☐
83088M102	SWKS								
09/08/14	D/	28 000	1,580.56	4/26/2011	792.12		LONG	☒	☐
12/31/14	D/	28 000	2,055.15	4/26/2011	792.12		LONG	☒	☐
868536103	SVU								
11/19/14		834 000	7,599.24						
	A/	219 000	1,995.48	4/1/2014	1,499.71		SHORT	☒	☐
	D/	615 000	5,603.76	11/5/2013	4,414.96		LONG	☒	☐
883203101	TXT								
04/01/14	D/	221 000	8,802.67	1/17/2013	5,997.61		LONG	☒	☐
891027104	TMK								
04/01/14		129 000	10,186.93						
	E/	34 000	2,684.93	1/28/2010	1,017.73		LONG	☐	☒
	D/	95 000	7,502.01	VARIOUS	4,451.42		LONG	☒	☐
891894107	TW								
01/06/14	D/	15 000	1,903.61	8/12/2011	827.55		LONG	☒	☐
11/19/14	D/	66 000	7,453.22	VARIOUS	3,806.00		LONG	☒	☐
91307C102	UTHR								
08/26/14	D/	15 000	1,416.41	9/16/2011	656.25		LONG	☒	☐
12/16/14	D/	14 000	1,774.46	VARIOUS	600.82		LONG	☒	☐
91529Y106	UNM								
11/19/14	D/	266 000	9,006.91	2/15/2013	6,500.64		LONG	☒	☐
903236107									
08/26/14	D/	163 000	9,907.07	VARIOUS	5,934.06		LONG	☒	☐
92220P105	VAR								
11/19/14		71 000	6,224.43						
	E/	57 000	4,997.07	VARIOUS	2,173.33		LONG	☐	☒
	D/	14 000	1,227.35	8/2/2013	1,022.28		LONG	☒	☐
930059100	WDR								
04/17/14	E/	17 000	1,167.53	VARIOUS	487.90		LONG	☐	☒
95058W100	WEN								
11/19/14	D/	614 000	5,201.26	6/5/2013	3,518.16		LONG	☒	☐
963320106	WHR								
12/31/14	D/	8 000	1,560.60	8/23/2012	591.73		LONG	☒	☐
966387102	WLL								
01/06/14	D/	22 000	1,299.29	3/19/2012	1,306.14		LONG	☒	☐
12/16/14	D/	90 000	2,390.35	VARIOUS	4,965.54		LONG	☒	☐
97382A101	WIN								
08/26/14	D/	356 000	3,998.79	8/2/2013	2,811.42		LONG	☒	☐

1099-B (con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1c)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
97382A101	WIN								
11/19/14	D/	385.000	3,777.84	8/2/2013	2,970.53		LONG	☒	☐

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$0.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$23,177.52	\$13,833.71	\$0.00	LONG	☐	☒
	\$41,552.80	\$41,259.25	\$0.00	SHORT	☒	☐
	\$160,432.71	\$108,450.10	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$225,163.03	\$163,543.06*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
270.000	CBL & ASSOCIATES PROPERTIES INC SYMBOL: CBL, CUSIP: 124830100	☐	06/05/13	01/06/14	4,957.14	5,991.06	-1,033.92
55.000	CLIFFS NATURAL RESOURCES INC SYMBOL: CLF, CUSIP: 18683K101	☐	01/06/14	08/26/14	901.58	1,356.85	-455.27
118.000	DOMTAR CORP SYMBOL: UFS, CUSIP: 257559203	☐	04/01/14	08/26/14	4,431.07	6,593.25	-2,162.18
102.000	HARRIS CORP-DEL SYMBOL: HRS, CUSIP: 413875105	☐	01/06/14	08/26/14	7,262.94	7,033.90	229.04
116.000	LORILLARD INC SYMBOL: LO, CUSIP: 544147101	☐	04/01/14	08/26/14	6,936.64	6,258.19	678.45
49.000	OIL STATES INTERNATIONAL INC SYMBOL: OIS, CUSIP: 678026105	☐	08/02/13	04/01/14	4,799.93	4,631.97	167.96
148.000	PROTECTIVE LIFE CORP CUSIP: 743674103	☐	04/01/14	08/26/14	10,268.02	7,894.32	2,373.70
219.000	SUPERVALU INC SYMBOL: SVU, CUSIP: 868536103	☐	04/01/14	11/19/14	1,995.48	1,499.71	495.77
Short-Term Subtotal					41,552.80		293.55

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5.000	ALLIANCE DATA SYSTEM CORP SYMBOL: ADS, CUSIP: 018581108	☐	08/12/11	09/08/14	1,282.27	444.20	838.07
89.000	AMC NETWORKS INC CLA SYMBOL: AMCX, CUSIP: 00164V103	☐	11/05/13	12/16/14	5,307.85	6,414.22	-1,106.37
11.000	ANSYS INC SYMBOL: ANSS, CUSIP: 03662Q105	☒	01/28/08	01/06/14	937.18	386.21	550.97

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
25.000	ANSYS INC SYMBOL: ANSS, CUSIP: 03662Q105	☒	07/14/08	01/06/14	2,129.97	1,051.42	1,078.55
43.000	ANSYS INC SYMBOL: ANSS, CUSIP: 03662Q105	☒	01/14/08	01/06/14	3,663.54	1,570.52	2,093.02
121.000	BROCADE COMMUNICATIONS SYS INC SYMBOL: BRCD, CUSIP: 111621306	☐	03/14/13	09/08/14	1,251.45	736.71	514.74
46.000	CENTERPOINT ENERGY INC SYMBOL: CNP, CUSIP: 15189T107	☐	03/28/13	04/01/14	1,097.08	1,097.56	-0.48
244.000	CENTERPOINT ENERGY INC SYMBOL: CNP, CUSIP: 15189T107	☐	09/26/12	04/01/14	5,819.29	5,235.87	583.42
73.000	CLIFFS NATURAL RESOURCES INC SYMBOL: CLF, CUSIP: 18683K101	☒	07/19/10	08/26/14	1,196.65	3,387.20	-2,190.55
97.000	CLIFFS NATURAL RESOURCES INC SYMBOL: CLF, CUSIP: 18683K101	☐	11/20/12	08/26/14	1,590.07	2,950.73	-1,360.66
17.000	CLIFFS NATURAL RESOURCES INC SYMBOL: CLF, CUSIP: 18683K101	☐	09/16/11	08/26/14	278.67	1,320.22	-1,041.55
196.000	CONVERSANT INC COM CUSIP: 21249J105	☐	12/18/12	11/19/14	6,827.52	3,876.39	2,951.13
80.000	ENERGIZER HOLDINGS INC SYMBOL: ENR, CUSIP: 29266R108	☐	04/24/12	04/01/14	7,999.02	5,683.98	2,315.04
182.000	FIFTH THIRD BANCORP SYMBOL: FITB, CUSIP: 316773100	☒	11/12/10	08/26/14	3,720.51	2,347.80	1,372.71
271.000	FIFTH THIRD BANCORP SYMBOL: FITB, CUSIP: 316773100	☐	08/06/12	08/26/14	5,539.87	3,807.14	1,732.73
16.000	HUMANA INC SYMBOL: HUM, CUSIP: 444859102	☐	04/15/13	08/26/14	2,000.43	1,218.88	781.55
10.000	HUMANA INC SYMBOL: HUM, CUSIP: 444859102	☐	04/15/13	11/19/14	1,366.86	761.80	605.06
12.000	HUMANA INC SYMBOL: HUM, CUSIP: 444859102	☐	04/15/13	12/31/14	1,743.08	914.16	828.92
42.000	INTERMUNE INC CUSIP: 45884X103	☐	04/24/12	04/01/14	1,414.52	487.20	927.32
110.000	INTERMUNE INC CUSIP: 45884X103	☐	04/24/12	09/25/14	8,128.16	1,276.00	6,852.16
78.000	LEAR CORPORATION SYMBOL: LEA, CUSIP: 521865204	☐	10/19/12	01/06/14	6,188.41	3,185.50	3,002.91
24.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP: 534187109	☐	03/19/12	11/19/14	1,351.17	654.20	696.97

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
102.000	MCGRAW HILL FINANCIAL INC SYMBOL: MHFI, CUSIP: 580645109	☐	11/05/13	11/19/14	9,306.55	7,277.69	2,028.86
97.000	MGM RESORTS INTERNATIONAL SYMBOL: MGM, CUSIP: 552953101	☐	10/19/12	04/01/14	2,566.56	1,070.73	1,495.83
9.000	PARKER HANNIFIN CORP SYMBOL: PH, CUSIP: 701094104	☐	01/18/12	04/17/14	1,130.82	760.95	369.87
63.000	ROCK TENN COMPANY CLASS A COMMON STOCK SYMBOL: RKT, CUSIP: 772739207	☐	09/26/12	04/01/14	6,608.56	4,561.82	2,046.74
20.000	ROPER INDUSTRIES INC NEW SYMBOL: ROP, CUSIP: 776696106	☒	11/12/10	04/01/14	2,680.14	1,411.60	1,268.54
28.000	ROPER INDUSTRIES INC NEW SYMBOL: ROP, CUSIP: 776696106	☐	01/13/11	04/01/14	3,752.19	2,110.36	1,641.83
17.000	SANDISK CORP SYMBOL: SNDK, CUSIP: 80004C101	☐	08/12/11	04/17/14	1,420.99	644.44	776.55
28.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	04/26/11	09/08/14	1,580.56	792.12	788.44
28.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	04/26/11	12/31/14	2,055.15	792.12	1,263.03
615.000	SUPERVALU INC SYMBOL: SVU, CUSIP: 868536103	☐	11/05/13	11/19/14	5,603.76	4,414.96	1,188.80
221.000	TEXTRON INC SYMBOL: TXT, CUSIP: 883203101	☐	01/17/13	04/01/14	8,802.67	5,997.61	2,805.06
29.000	TORCHMARK CORP SYMBOL: TMK, CUSIP: 891027104	☐	08/12/11	04/01/14	2,290.09	1,045.16	1,244.93
34.000	TORCHMARK CORP SYMBOL: TMK, CUSIP: 891027104	☒	01/28/10	04/01/14	2,684.93	1,017.73	1,667.19
66.000	TORCHMARK CORP SYMBOL: TMK, CUSIP: 891027104	☐	08/06/12	04/01/14	5,211.92	3,406.26	1,805.66
15.000	TOWERS WATSON & CO CLASS A SYMBOL: TW, CUSIP: 891894107	☒	08/12/11	01/06/14	1,903.61	827.55	1,076.06
22.000	TOWERS WATSON & CO CLASS A SYMBOL: TW, CUSIP: 891894107	☐	10/17/11	11/19/14	2,484.41	1,378.52	1,105.89
44.000	TOWERS WATSON & CO CLASS A SYMBOL: TW, CUSIP: 891894107	☐	08/12/11	11/19/14	4,968.81	2,427.48	2,541.33
15.000	UNITED THERAPEUTICS CORP DEL SYMBOL: UTHR, CUSIP: 91307C102	☐	09/16/11	08/26/14	1,416.41	656.25	760.16

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4.000	UNITED THERAPEUTICS CORP DEL SYMBOL: UTHR, CUSIP: 91307C102	☒	11/21/11	12/16/14	506.99	163.32	343.67
10.000	UNITED THERAPEUTICS CORP DEL SYMBOL: UTHR, CUSIP: 91307C102	☒	09/16/11	12/16/14	1,267.47	437.50	829.97
266.000	UNUM GROUP SYMBOL: UNM, CUSIP: 91529Y106	☒	02/15/13	11/19/14	9,006.91	6,500.64	2,506.27
23.000	URS CORPORATION CUSIP: 903236107	☐	07/14/11	08/26/14	1,397.93	993.80	404.13
31.000	URS CORPORATION CUSIP: 903236107	☐	05/25/12	08/26/14	1,884.17	1,145.14	739.03
39.000	URS CORPORATION CUSIP: 903236107	☐	10/19/12	08/26/14	2,370.40	1,375.92	994.48
70.000	URS CORPORATION CUSIP: 903236107	☐	12/16/11	08/26/14	4,254.57	2,419.20	1,835.37
14.000	VARIAN MEDICAL SYSTEMS INC SYMBOL: VAR, CUSIP: 92220P105	☐	08/02/13	11/19/14	1,227.35	1,022.28	205.07
8.000	VARIAN MEDICAL SYSTEMS INC SYMBOL: VAR, CUSIP: 92220P105	☒	01/15/09	11/19/14	701.34	272.96	428.38
23.000	VARIAN MEDICAL SYSTEMS INC SYMBOL: VAR, CUSIP: 92220P105	☒	09/14/09	11/19/14	2,016.36	1,016.83	999.53
26.000	VARIAN MEDICAL SYSTEMS INC SYMBOL: VAR, CUSIP: 92220P105	☒	04/16/09	11/19/14	2,279.37	883.54	1,395.83
5.000	WADDLELL & REED FINANCIAL INC CLA SYMBOL: WDR, CUSIP: 930059100	☒	12/09/10	04/17/14	343.39	169.90	173.49
12.000	WADDLELL & REED FINANCIAL INC CLA SYMBOL: WDR, CUSIP: 930059100	☒	09/13/10	04/17/14	824.14	318.00	506.14
614.000	WENDYS COMPANY (THE) SYMBOL: WEN, CUSIP: 95058W100	☐	06/05/13	11/19/14	5,201.26	3,518.16	1,683.10
8.000	WHIRLPOOL CORP SYMBOL: WHR, CUSIP: 963320106	☐	08/23/12	12/31/14	1,560.60	591.73	968.87
22.000	WHITING PETROLEUM CORPORATION SYMBOL: WLL, CUSIP: 966387102	☐	03/19/12	01/06/14	1,299.29	1,306.14	-6.85
27.000	WHITING PETROLEUM CORPORATION ORIGINAL COST 1,343.52 WASH SALE REPLACEMENT ADDITION: 272.73 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: WLL, CUSIP: 966387102	☐	02/15/13	12/16/14	717.11	1,616.25	-899.15
38.000	WHITING PETROLEUM CORPORATION SYMBOL: WLL, CUSIP: 966387102	☐	01/17/13	12/16/14	1,009.26	1,865.04	-855.78

ACCOUNT NUMBER:
876-00040
Page 21 of 23

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
25.000	WHITING PETROLEUM CORPORATION SYMBOL: WLL, CUSIP: 966387102	☐	03/19/12	12/16/14	663.99	1,484.25	-820.26
356.000	WINDSTREAM HLDGS INC COM SYMBOL: WIN, CUSIP: 97382A101	☐	08/02/13	08/26/14	3,998.79	2,811.42	1,187.37
385.000	WINDSTREAM HLDGS INC COM SYMBOL: WIN, CUSIP: 97382A101	☐	08/02/13	11/19/14	3,777.84	2,970.53	807.31
Long-Term Subtotal					183,610.23		61,326.40
Total Gains and Losses					225,163.03		61,619.95
Short-Term							293.55
Long-Term							61,326.40
Term Unknown							0.00