



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



EXTENDED TO AUGUST 17, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

ANDERSON-ROGERS FOUNDATION, INC.

A Employer identification number

22-3052390

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

327 WEST 19TH STREET

B Telephone number

212-989-9331

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10011

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 10,485,854.

J Accounting method:

☐

Cash

☒

Accrual

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		12,442.	12,442.		STATEMENT 1
4 Dividends and interest from securities		523,362.	523,362.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		834,118.			
b Gross sales price for all assets on line 6a		2,044,528.			
7 Capital gain net income (from Part IV, line 2)			834,118.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		262.	262.		STATEMENT 3
12 Total Add lines 1 through 11		1,370,184.	1,370,184.		
13 Compensation of officers, directors, trustees, etc		22,500.	0.		0.
14 Other employee salaries and wages		19,200.	0.		0.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		4,700.	1,200.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		26,807.	22,425.		0.
19 Depreciation and depletion		134.	0.		
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		4,096.	734.		0.
24 Total operating and administrative expenses Add lines 13 through 23		77,437.	24,359.		0.
25 Contributions, gifts, grants paid		344,000.			344,000.
26 Total expenses and disbursements Add lines 24 and 25		421,437.	24,359.		344,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		948,747.			
b Net investment income (if negative, enter -0-)			1,345,825.		
c Adjusted net income (if negative, enter -0-)				N/A	

423501
11-24-14

LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2014)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	143,860.	325,519.	325,519.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	9,995,121.	10,158,488.	10,158,488.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment, basis ▶			
Liabilities		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment, basis ▶ 3,477.			
		Less: accumulated depreciation STMT 8 ▶ 3,332.	279.	145.	145.
	15	Other assets (describe ▶ STATEMENT 9)	3,913.	1,702.	1,702.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,143,173.	10,485,854.	10,485,854.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 10)	5,325.	3,067.	
	23	Total liabilities (add lines 17 through 22)	5,325.	3,067.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	10,137,848.	10,482,787.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances	10,137,848.	10,482,787.	
	31	Total liabilities and net assets/fund balances	10,143,173.	10,485,854.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,137,848.
2	Enter amount from Part I, line 27a	2	948,747.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	11,086,595.
5	Decreases not included in line 2 (itemize) ▶ FAIR MARKET VALUE ADJUSTMENTS	5	603,808.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,482,787.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,044,528.		1,210,410.	834,118.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			834,118.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	834,118.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	359,500.	9,485,239.	.037901
2012	388,500.	8,464,151.	.045899
2011	324,150.	6,165,098.	.052578
2010	384,035.	4,050,613.	.094809
2009	247,087.	3,264,222.	.075696

2 Total of line 1, column (d)	2	.306883
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.061377
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	10,125,148.
5 Multiply line 4 by line 3	5	621,451.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,458.
7 Add lines 5 and 6	7	634,909.
8 Enter qualifying distributions from Part XII, line 4	8	344,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5000 BANCO SANTANDER SA		06/06/14	12/04/14
b	470.009 HARTFORD FLOATING RATE BD C		08/30/13	08/15/14
c	.339 IRON MOUNTAIN INC		11/04/14	11/04/14
d	3000 SPDR BLACKSTONE GSO SEN LOAN		07/16/13	06/25/14
e	3500 SPDR EURO STOXX 50 ETF		11/18/13	10/30/14
f	1500 ANNIE'S C5U6C		10/02/14	10/24/14
g	5000 BANCO SANTANDER SA		10/18/13	12/04/14
h	16989.555 HARTFORD FLOATING RATE BD C		03/08/13	08/15/14
i	1500 SPDR EURO STOXX 50 ETF		10/18/13	10/30/14
j	1000 SPDR S&P DIVIDEND		07/16/13	08/15/14
k	500 VERTEX PHARMACEUTICALS		02/13/12	06/25/14
l	3000 COVIDIEN PLC		11/21/07	08/15/14
m	12500 ORACLE CORP		12/29/95	05/19/14
n	10000 VERIZON COMMUNICATIONS		09/17/09	05/19/14
o	BLACKSTONE GROUP LP	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43,846.		53,783.	-9,937.
b 4,211.		4,229.	-18.
c 12.		12.	0.
d 149,121.		151,037.	-1,916.
e 130,055.		154,695.	-24,640.
f 69,000.		70,591.	-1,591.
g 43,846.		46,778.	-2,932.
h 152,220.		152,917.	-697.
i 55,738.		61,482.	-5,744.
j 74,595.		69,695.	4,900.
k 45,666.		18,231.	27,435.
l 259,740.		104,744.	154,996.
m 522,868.		39,629.	483,239.
n 492,376.		282,587.	209,789.
o 2.			2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-9,937.
b			-18.
c			0.
d			-1,916.
e			-24,640.
f			-1,591.
g			-2,932.
h			-697.
i			-5,744.
j			4,900.
k			27,435.
l			154,996.
m			483,239.
n			209,789.
o			2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

ANDERSON-ROGERS FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BLACKSTONE GROUP LP	P		
b OAKTREE CAPITAL GROUP LLC K-1			
c OAKTREE CAPITAL GROUP LLC K-1	P		
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 844.			844.
b 43.			43.
c 345.			345.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			844.
b			43.
c			345.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	834,118.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	26,917.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	26,917.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	26,917.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	15,440.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	21,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	36,440.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	3.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,520.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 9,520. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 11

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► FDNCENTER.ORG/GRANTMAKER/ARFDN/	13	X	
14	The books are in care of ► SARAH A POPE Telephone no. ► 212-989-9331 Located at ► 327 W 19TH ST, NY, NY ZIP+4 ► 10011			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SARAH ANDERSON POPE	PRES, TREASURER			
327 WEST NINETEENTH ST		5.00	22,500.	0.
NEW YORK, NY 10011				0.
PORTER W. ANDERSON, JR.	DIRECTOR, VP, SECY			
PO BOX 378492		1.00	0.	0.
KEY LARGO, FL 33037				
CHARLES H. ROGERS	DIRECTOR			
509 MONPONSETT ST		1.00	0.	0.
HALIFAX, MA 02338				
JULIETTE POPE	DIRECTOR			
327 WEST NINETEENTH ST		1.00	0.	0.
NEW YORK, NY 10011				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	9,946,412.
b Average of monthly cash balances	1b	332,926.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	10,279,338.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	10,279,338.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	154,190.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,125,148.
6 Minimum investment return. Enter 5% of line 5	6	506,257.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	506,257.
2a Tax on investment income for 2014 from Part VI, line 5	2a	26,917.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	26,917.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	479,340.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	479,340.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	479,340.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	344,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	344,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	344,000.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				479,340.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	48,296.			
b From 2010	191,934.			
c From 2011	20,772.			
d From 2012				
e From 2013				
f Total of lines 3a through e	261,002.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$	344,000.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				344,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	135,340.			135,340.
6 Enter the net total of each column as indicated below.				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	125,662.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	125,662.			
10 Analysis of line 9:				
a Excess from 2010	104,890.			
b Excess from 2011	20,772.			
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

2014.04010 ANDERSON-ROGERS FOUNDATION, ARF12 31

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED	NONE	501(C)(3)	SEE ATTACHED	
SEE ATTACHED				
SEE ATTACHED				344,000.
Total			3a	344,000.
b Approved for future payment				
NONE				
Total			3b	0.

**ANDERSON-ROGERS FOUNDATION GRANTS
2014**

<u>Date</u>	<u>Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
1/17/14	Animals in Crisis, Inc. 1624 Fillmore Street Philadelphia, PA 19124	To support animal rescue center	5,000
2/5/14	CAF America / International Otter Survival Fund 1800 Diagonal Road, Suite 150 Alexandria, VA 22314-2840	To support projects to protect otters in the wild	5,000
3/3/14	Cats in Need of Human Care 6353 Greyson Way Riverside, CA 92506	To support animal rescue center	5,000
3/3/14	Friends of Bach Children's Chorus, Inc. 97 Azalea Road Rochester, NY 14620	To support music education	500
3/22/14	Reproductive Health Technologies Project 1020 19th Street NW, Suite 875 Washington, DC 20036	To support programs promoting reproductive freedom and health	15,000
3/24/14	Hampshire College/Civil Liberties and Public Policy Program 893 West Street Amherst, MA 01002-3359	To support work toward reproductive rights and social change.	15,000
4/20/14	AlongSideAsia / Serving Friends Box 151 Tyrone, GA 30290	To support services for the blind in India.	1,000
4/28/14	Young Concert Artists, Inc. 250 West 57th Street New York, NY 10107	To support launching and nurturing careers of young musicians	1,000
4/28/14	Wildlife Alliance 1441 Broadway, 5th Floor New York, NY 10018	To support the Care for Rescued Wildlife Program in Cambodia	15,000
5/3/14	Grow NYC 51 Chambers Street, Room 228 New York, NY 10007	To support the Learn It, Grow It, Eat It program	25,000
5/3/14	The New York Botanical Garden Bronx, NY 10458-5126	To support the Bronx Green-Up program	7,500

**ANDERSON-ROGERS FOUNDATION GRANTS
2014**

5/3/14	Kneisel Hall Chamber Music School and Festival P O Box 648 Blue Hill, ME 04614	To support scholarships for young musicians	2,500
5/3/14	Peconic Baykeeper 10 Old Country Road P O Box 893 Quogue, NY 11959	To support environmental advocacy and prevention of pollution in Long Island's waters	5,000
5/15/14	Valley Humane League 23242 State Highway 371 La Jara, CO 81140	To support animal rescue center	1,000
5/15/14	Caretta Research Project Savannah Science Museum P O Box 9841 Savannah, GA 31412-9841	To support research, preservation and public education about loggerhead sea turtles	5,000
6/1/14	Environment Council of Rhode Island Education Fund P O Box 40568 Providence, RI 02940	To support the Catalyzing Prosperity Project and other environmental advocacy projects	7,000
6/21/14	Exponent Philanthropy 1720 N Street NW Washington, DC 20036	To support association providing support services to small foundations	500
6/22/14	Groundwork Hudson Valley 22 Main Street Yonkers, NY 10701	To support environmental education programs in the Hudson Valley	10,000
6/26/14	Planned Parenthood of the North Country New York 66 Brinkerhoff Street Plattsburgh, NY 12901	To support general operating costs and the Long Acting Reversible Contraceptive program	10,000
7/5/14	SeedTree 227 Cape Jellison Road Stockton Springs, ME 04981-4701	To support environmental restoration projects in Nepal and Peru.	5,000
7/5/14	Wilderness Watch Box 9175 Missoula, MT 59807	To support the Minnesota Boundary Waters project	10,000
7/5/14	Wild Earth Guardians 516 Alto Street Santa Fe, NM 87501	To support environmental protection programs for the Greater Gila region	5,000

**ANDERSON-ROGERS FOUNDATION GRANTS
2014**

7/8/14	Wild Bird Fund, Inc. 565 Columbus Avenue New York, NY 10024	To support center providing emergency care for wild birds and animals in New York City	10,000
7/15/14	Fauna & Flora International 1720 N Street, NW Washington, DC 20036	To support environmental and animal preservation efforts	15,000
7/21/14	Join Us for Justice 6090 Surety Drive, Suite 100 El Paso, TX 79905	To support preservation of the separation of church and state	5,000
7/28/14	Center for Biological Diversity P O Box 710 Tucson, AZ 85702-0710	To support the Population and Sustainability Fund and general operating expenses	20,000
7/30/14	Baden Street Settlement 585 Joseph Avenue Rochester, NY 14605	To support programs promoting youth health and development programs of the Metro Council for Teen Potential	10,000
8/23/14	Planned Parenthood Gulf Coast, Inc. 4600 Gulf Freeway Houston, TX 77023	To support sexual and reproductive education and outreach in Louisiana	10,000
8/28/14	Ocean Alliance 32 Horton Street Gloucester, MA 19030	To support research and document effects of chemical dispersants on the Gulf of Mexico	5,000
8/28/14	Central Sierra Environmental Resource Center Box 396 Twain Harte, CA 95383	To support efforts to protect water and wildlife resources in the Central Sierra Mountains	15,000
9/8/14	Jupiter Symphony Chamber Players 155 West 68th St New York, NY 10023	To support chamber music performance.	5,000
9/22/14	Other Worlds 398 60th Street Oakland, CA 94618	To support work on behalf of land rights and food sovereignty in Haiti	15,000
9/29/14	Nursing Students for Choice 2300 Myrtle Street, Suite 120 St Paul, MN 55114	To support reproductive health and abortion-care training	20,000

**ANDERSON-ROGERS FOUNDATION GRANTS
2014**

11/7/14	The Pew Charitable Trusts 2005 Market Street, Suite 2800 Philadelphia, PA 19103	To support development of citizen science program for fishery conservation in Southeastern US waters	10,000
11/17/14	Teatown Lake Reservation 1600 Spring Valley Road Ossining, NY 10562	To support Teatown's environmental programs	5,000
11/17/14	Planned Parenthood of the St. Louis Region and Southwest Missouri 4251 Forest Park Avenue St Louis, MO 63108	To support the purchase of a new ultrasound machine	5,000
11/17/14	Bargemusic Fulton Ferry Landing Brooklyn, NY 11201	To support chamber music performance	3,000
11/17/14	GardenAerial 81 Browns Race Rochester, NY 14614	To support GardenAerial's Green Visions. Growing Healthy Futures program	10,000
12/18/14	READ 718 420 Atlantic Avenue Brooklyn, NY 11217	To support literacy improvement and mentorship for low-income children in Brooklyn	5,000
12/26/14	Emergency Medical Assistance, Inc. P O Box 33552 Palm Beach Gardens, FL 33420-3552	To support healthcare and reproductive rights for women in need	5,000
12/30/14	PARTAKERS 230 Central Street Auburndale, MA 02466	To support mentoring and education programs for inmates of Massachusetts prisons	5,000
12/30/14	Beacon Academy 477 Longwood Avenue Boston, MA 02215	To support program to prepare disadvantaged urban students for higher education	5,000
12/30/14	Reproductive Health Access Project P O Box 21191 New York, NY 10025	To support women's access to reproductive health services	5,000

TOTAL

344,000

✓ = QB

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP LP	129.	129.	
MORGAN STANLEY NOMINEE 081044	16,075.	16,075.	
MORGAN STANLEY NOMINEE 081044			
ACCRUED INTEREST PAID	-619.	-619.	
MORGAN STANLEY NOMINEE 081044			
BOND PREMIUM	-3,654.	-3,654.	
OAKTREE CAPITAL GROUP LLC	511.	511.	
TOTAL TO PART I, LINE 3	12,442.	12,442.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP LP	582.	0.	582.	582.	
MORGAN STANLEY NOMINEE	522,521.	0.	522,521.	522,521.	
OAKTREE CAPITAL GROUP LLC	259.	0.	259.	259.	
TO PART I, LINE 4	523,362.	0.	523,362.	523,362.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OAKTREE CAPITAL GROUP LLC K-1	57.	57.	
BLACKSTONE GROUP LP	20.	20.	
BLACKSTONE GROUP LP	24.	24.	
BLACKSTONE GROUP LP	161.	161.	
TOTAL TO FORM 990-PF, PART I, LINE 11	262.	262.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,700.	1,200.		0.
TO FORM 990-PF, PG 1, LN 16B	4,700.	1,200.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	3,632.	0.		0.
990PF TAX	20,484.	20,484.		0.
NYS EPTL FEE	750.	0.		0.
FOREIGN TAXES W/H FROM INVESTMENTS	1,941.	1,941.		0.
TO FORM 990-PF, PG 1, LN 18	26,807.	22,425.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	691.	660.		0.
INSURANCE	895.	0.		0.
OFFICE SUPPLIES	11.	0.		0.
CONSULTANT	850.	0.		0.
DUES	1,575.	0.		0.
OAKTREE CAPITAL GROUP, LLC K-1 INVESTMENT EXPENSES	53.	53.		0.
BLACKSTONE GROUP LP K-1 INVESTMENT EXPENSES	21.	21.		0.
TO FORM 990-PF, PG 1, LN 23	4,096.	734.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MONGAN STANLEY NOMINEE	10,158,488.	10,158,488.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,158,488.	10,158,488.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
-------------	--	-----------	---

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EQUIPMENT FROM MERGED CORPORATION	1,600.	1,573.	27.
COPY MACHINE	650.	650.	0.
DELL COMPUTER EQUIPMENT	1,227.	1,109.	118.
TOTAL TO FM 990-PF, PART II, LN 14	3,477.	3,332.	145.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
-------------	--------------	-----------	---

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED DIVIDENDS RECEIVABLE	3,913.	1,702.	1,702.
TO FORM 990-PF, PART II, LINE 15	3,913.	1,702.	1,702.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
-------------	-------------------	-----------	----

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	5,224.	2,966.
REIMBURSEMENT DUE OFFICER	101.	101.
TOTAL TO FORM 990-PF, PART II, LINE 22	5,325.	3,067.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 11

NAME OF CONTRIBUTOR

ADDRESS

PORTER W. ANDERSON, JR.

KEY LARGO, FL 33037

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
5	EQUIPMENT FROM EMERGED CORPORATION	031502SL		5.00	16	1,600.			1,600.	1,573.		0.
6	COPY MACHINE	082407200DB		5.00	17	650.			650.	650.		0.
7	DELL COMPUTER	122410200DB		5.00	17	1,227.			1,227.	975.		134.
	* TOTAL 990-PF PG 1 DEPR					3,477.		0.	3,477.	3,198.	0.	134.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file) . You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ANDERSON-ROGERS FOUNDATION, INC.	22-3052390
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
File by the due date for filing your return. See instructions	327 WEST 19TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10011	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

SARAH A POPE

- The books are in the care of ► 327 W 19TH ST - NY, NY 10011
Telephone No ► 212-989-9331 Fax No ► 212-255-5434
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year 2014 or
► ☐ tax year beginning _____, and ending _____

- If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	36,440.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	15,440.
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	21,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.