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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation AUFZIEN FOUNDATION INC		A Employer identification number 22-2838652	
Number and street (or P O box number if mail is not delivered to street address) 350 PASSAIC AVENUE		B Telephone number (see instructions) (973) 575-5132	
City or town, state or province, country, and ZIP or foreign postal code FAIRFIELD, NJ 07004		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 6,684,533		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	559,620			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	127,445	127,445		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	381,300			
	b Gross sales price for all assets on line 6a 4,017,518				
	7 Capital gain net income (from Part IV, line 2) . . .		381,300		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	16,757	16,757		
	12 Total. Add lines 1 through 11	1,085,122	525,502		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	3,182	3,182		0
	18 Taxes (attach schedule) (see instructions) . . .	2,777	2,777		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	96,400	96,400		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	102,359	102,359		0
	25 Contributions, gifts, grants paid	478,100			478,100
	26 Total expenses and disbursements. Add lines 24 and 25	580,459	102,359		478,100
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	504,663			
	b Net investment income (if negative, enter -0-)		423,143		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	70,142	302,275	302,275
	2	Savings and temporary cash investments	576,289	291,571	291,571
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,148,237	5,277,390	6,090,687
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	13,745	0	0	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		4,808,413	5,871,236	6,684,533
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24		Unrestricted			
25		Temporarily restricted			
26		Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
27		Capital stock, trust principal, or current funds	4,808,413	5,871,236	
28		Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29		Retained earnings, accumulated income, endowment, or other funds	0	0	
30		Total net assets or fund balances (see instructions)	4,808,413	5,871,236	
31		Total liabilities and net assets/fund balances (see instructions) . . .	4,808,413	5,871,236	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 4,808,413
2	Enter amount from Part I, line 27a	2 504,663
3	Other increases not included in line 2 (itemize) ▶ _____	3 575,455
4	Add lines 1, 2, and 3	4 5,888,531
5	Decreases not included in line 2 (itemize) ▶ _____	5 17,295
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 5,871,236

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	381,300
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	374,350	5,634,687	0 066437
2012	438,242	5,384,002	0 081397
2011	387,258	5,456,438	0 070973
2010	433,709	5,498,577	0 078877
2009	510,474	5,257,633	0 097092

2	Total of line 1, column (d).	2	0 394776
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 078955
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	6,392,111
5	Multiply line 4 by line 3.	5	504,689
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	4,231
7	Add lines 5 and 6.	7	508,920
8	Enter qualifying distributions from Part XII, line 4.	8	478,100

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions


Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	8,463
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	8,463
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,463
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	9,240
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	9,240
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	777
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 777 Refunded <input type="checkbox"/>	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ALAN AUFZIEN Telephone no ▶(973) 575-5132 Located at ▶350 PASSAIC AVENUE FAIRFIELD NJ ZIP +4 ▶07004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?. 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,803,562
b	Average of monthly cash balances.	1b	685,891
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	6,489,453
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	6,489,453
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	97,342
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,392,111
6	Minimum investment return. Enter 5% of line 5.	6	319,606

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	319,606
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	8,463
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,463
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	311,143
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	311,143
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	311,143

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	478,100
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	478,100
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	478,100
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				311,143
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.	247,662			
b From 2010.	164,362			
c From 2011.	115,500			
d From 2012.	171,038			
e From 2013.	101,852			
f Total of lines 3a through e.	800,414			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 478,100				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				311,143
e Remaining amount distributed out of corpus	166,957			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	967,371			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . .	247,662			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	719,709			
10 Analysis of line 9				
a Excess from 2010. . . .	164,362			
b Excess from 2011. . . .	115,500			
c Excess from 2012. . . .	171,038			
d Excess from 2013. . . .	101,852			
e Excess from 2014. . . .	166,957			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALAN AUFZIEN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ALAN AUFZIEN
350 PASSAIC AVENUE
FAIRFIELD, NJ 07004
(973) 575-5132

b

The form in which applications should be submitted and information and materials they should include

LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	478,100
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

<p>d Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p>		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.		1a(1)	No
(2) Other assets.		1a(2)	No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.		1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)	No
(3) Rental of facilities, equipment, or other assets.		1b(3)	No
(4) Reimbursement arrangements.		1b(4)	No
(5) Loans or loan guarantees.		1b(5)	No
(6) Performance of services or membership or fundraising solicitations.		1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No
<p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p>			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2015-11-16

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Print/Type preparer's name LARRY GOLDSTEIN	Preparer's Signature	Date 2015-11-02	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00005683
Firm's name <input checked="" type="checkbox"/> LM COHEN & CO LLP			Firm's EIN <input checked="" type="checkbox"/> 46-4133700	
Firm's address <input checked="" type="checkbox"/> 1 WEST 34TH STREET 8TH FL NEW YORK, NY 10001			Phone no (212) 967-2300	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
92 SHS FIRST TRUST EPR/NAREIT DEVEL MKTS REAL ESTATE INDEX FD	P	2014-09-03	2014-10-13
169 SHS INDEXIQ EFT TR IQ HEDGE MULTI-STRATEGY TRACKER	P	2014-09-03	2014-10-13
152 SHS INDEXIQ TR IQ HEDGE MICRO TRACKER	P	2014-09-03	2014-10-13
209 SHS INDEXIQ ETF TR IQ ARB GLOBAL NATL RES	P	2014-09-03	2014-10-13
256 SHS ISHARES MSCI FRONTIER 100 FD	P	2013-06-16	2014-07-16
219 SHS ISHARES MSCI FRONTIER 100 FD	P	2014-07-15	2014-09-03
45 SHS ISHARES MSCI FRONTIER 100 FD	P	2013-06-03	2014-09-03
39 SHS ISHARES CORE S&P MID CAP	P	2014-09-03	2014-10-13
28 SHS ISHARES CORE S&P SMALL CAP	P	2014-09-03	2014-10-13
101 SHS ISHARES CORE S&P 500	P	2014-09-03	2014-10-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,770		4,101	-331
4,877		5,097	-220
3,981		4,084	-103
5,592		6,149	-557
9,990		8,184	1,806
8,442		8,522	-80
1,735		1,438	297
5,019		5,634	-615
2,802		3,115	-313
19,097		20,440	-1,343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-331
			-220
			-103
			-557
			1,806
			-80
			297
			-615
			-313
			-1,343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
164 SHS ISHARES CORE US TREASURY BD	P	2014-09-03	2014-10-13
166 SHS ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BD	P	2014-07-16	2014-02-11
27 SHS ISHARES JP MORGAN USD EMERGING MARKETS BD	P	2014-09-03	2014-10-13
165 SHS ISHARES MSCI EAFE GROWTH	P	2012-07-03	2014-03-13
376 SHS ISHARES MSCI EAFE SMLL CAP	P	2013-09-13	2014-09-03
332 SHS ISHARES MSCI EAFE VALUE	P	2013-01-11	2014-07-16
132 SHS ISHARES MSCI EAFE VALUE	P	2013-10-23	2014-09-03
46 SHS ISHARES MSCI EAFE VALUE	P	2013-01-11	2014-09-03
732 SHS I SHARES MSCI EMU	P	2013-10-18	2014-08-13
302 SHS ISHARES NORTH AMERICAN NATURAL RESOURCES	P	2014-04-21	2014-09-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,120		4,079	41
19,806		19,238	568
3,058		3,101	-43
11,419		8,993	2,426
19,525		18,721	804
18,661		16,688	1,973
7,596		7,484	112
2,647		2,312	335
29,812		27,446	2,366
14,900		13,909	991

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			41
			568
			-43
			2,426
			804
			1,973
			112
			335
			2,366
			991

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
155 SHS ISHARES RUSSELL MID CAP VALUE	P	2013-07-02	2014-03-27
111 SHS ISHARES RUSSELL 1000 GROWTH	P	2014-07-15	2014-09-03
9 SHS ISHARES RUSSELL 1000 VALUE	P	2013-07-16	2014-07-16
97 SHS ISHARES RUSSELL 1000 VALUE	P	2013-01-11	2014-07-16
108 SHS ISHARES RUSSELL 1000 VALUE	P	2013-07-16	2014-09-03
392 SHS ISHARES SILVER SHARES	P	2014-01-01	2014-04-02
497 SHS ISHARES US PREFERRED STOCK	P	2013-10-23	2014-09-03
367 SHS MARKET VECTORS ETF TRUST GOLD MINERS	P	2014-01-01	2014-04-21
203 SHS SPDR BARCLAYS CONVERTIBLE SECURITIES	P	2014-05-28	2014-09-03
622 SHS SPDR BARCLAYS SHORT TERM HIGH YIELD BD	P	2013-10-23	2014-09-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,467		9,024	1,443
10,245		10,095	150
917		781	136
9,881		7,295	2,586
11,114		9,374	1,740
7,552		8,407	-855
19,768		18,890	878
8,511		9,461	-950
10,305		10,041	264
18,990		19,210	-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,443
			150
			136
			2,586
			1,740
			-855
			878
			-950
			264
			-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
51 SHS SPDR BARCLAYS INTL TREASURY BD	P	2014-09-03	2014-10-13
74 SHS SPDR BARCLAYS HIGH YIELD BD	P	2014-09-03	2014-10-13
251 SHS SPDR DOW JONES INTL REAL ESTATE	P	2012-09-11	2014-02-04
222 SHS SPDR DOW JONES INTL REAL ESTATE	P	2012-02-03	2014-02-04
46 SHS SPDR GOLD TR	P	2014-02-04	2014-09-03
461 SHS VANGUEARD FTSE DEVELOPED MARKETS	P	2014-09-03	2014-10-13
121 SHS VANGUARD FTSE EMERGING MARKETS	P	2014-09-03	2014-10-13
145 SHS WISDOMTREE TR MANAGED FUTURES STRATEGY FD	P	2014-09-03	2014-10-13
19 SHS AMAZON COM INC	P	2014-04-07	2014-12-18
70 SHS AMERICAN INTL GROUP INC	P	2013-05-03	2014-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,958		3,038	-80
2,914		3,048	-134
9,876		9,909	-33
8,735		7,936	799
5,677		5,569	108
17,280		19,399	-2,119
5,026		5,593	-567
6,246		6,154	92
5,643		6,080	-437
3,588		3,115	473

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-80
			-134
			-33
			799
			108
			-2,119
			-567
			92
			-437
			473

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
146 SHS DUNKIN BRANDS GROUP INC	P	2014-07-30	2014-12-22
71 SHS EBAY INC	P	2013-10-18	2014-09-30
557 SHS GENERAL MOTORS CO	P	2013-11-13	2014-09-08
40 SHS HUNT J B TRANS SVCS INC	P	2014-03-18	2014-06-24
312 SHS IAC INTERACTIVECORP	P	2014-06-20	2014-12-19
431 SHS JPMORGAN CHASE & CO	P	2013-10-04	2014-05-07
69 SHS KANSAS CITY STHN	P	2014-02-25	2014-10-28
1,266 SHS LAREDO PETROLEUM INC	P	2014-07-07	2014-12-09
37 SHS MONSANTO CO	P	2014-08-08	2014-04-03
32 SHS PIONEER NAT RES CO	P	2014-01-30	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,297		6,317	-20
4,007		3,717	290
18,829		20,787	-1,958
3,048		2,819	229
19,183		21,417	-2,234
23,489		22,974	515
10,674		8,353	2,321
11,111		34,188	-23,077
4,333		3,612	721
7,233		5,547	1,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			290
			-1,958
			229
			-2,234
			515
			2,321
			-23,077
			721
			1,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
298 SHS QEP RES INC	P	2014-10-03	2014-12-18
31 SHS RANGE RESOURCES CORP	P	2013-07-26	2014-02-04
58 SHS RANGE RESOURCES CORP	P	2013-10-30	2014-09-24
68 25 SHS TRIBUNE PUBG CO	P	2014-09-09	2014-08-14
24 SHS UNION PACIIC CORP	P	2013-06-03	2014-05-19
50 SHS UNITED RENTALS INC	P	2013-06-17	2014-05-03
51 SHS AMAZON COM INC	P	2012-10-26	2014-12-18
255 SHS AMERICAN INTL GROUP INC	P	2012-08-20	2014-01-06
69 SHS AMERICAN TOWER CORP REIT	P	2009-05-05	2014-10-13
412 SHS BLACKROCK INC	P	2010-11-04	2014-12-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,879		9,018	-3,139
2,734		2,499	235
3,999		4,487	-488
1,328		1,291	37
4,693		3,707	986
3,811		2,490	1,321
16,339		12,635	3,704
13,069		8,470	4,599
6,716		2,103	4,613
4,271		2,029	2,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,139
			235
			-488
			37
			986
			1,321
			3,704
			4,599
			4,613
			2,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
199 SHS BOEING COMPANY	P	2012-07-09	2014-10-28
97 SHS BORGWARNER INC	P	2012-05-17	2014-10-13
77 SHS CALPINE CORP	P	2013-04-11	2014-10-13
808 SHS DENBURY RESOURCES INC	P	2012-09-21	2014-02-18
456 EBAY INC	P	2011-09-06	2014-09-30
72 SHS ENBRIDGE ENERGY PARTNERS LP	P	2012-05-10	2014-10-13
315 SHS EXPRESS SCRIPTS HLDG CO	P	2013-11-06	2014-07-24
157 SHS HUNT J B TRANS SVCS INC	P	2010-10-07	2014-06-24
58 SHS IHS INC CL A	P	2013-05-29	2014-07-01
141 SHS INTUIT	P	2013-09-27	2014-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,615		14,531	11,084
5,752		3,603	2,149
1,624		1,636	-12
13,235		13,396	-161
24,780		17,995	6,785
2,498		2,135	363
21,892		17,692	4,200
11,965		7,221	4,744
7,925		6,078	1,847
11,818		9,380	2,438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,084
			2,149
			-12
			-161
			6,785
			363
			4,200
			4,744
			1,847
			2,438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
104 SHS MARKWEST ENERGY PARTNERS LP	P	2012-05-09	2014-10-13
143 SHS MONSANTO CO	P	2011-06-17	2014-04-03
109 SHS PIONEER NAT RES CO	P	2013-02-14	2014-06-27
460 SHS QEP RES INC	P	2013-04-11	2014-12-18
151 SHS RANGE RESOURCES CORP	P	2013-07-26	2014-09-24
207 SHS TEEKAY CORP	P	2010-09-23	2014-02-13
101 SHS UNION PACIFIC CORP	P	2012-02-01	2014-05-19
108 SHS ABAXIS INC	P	2013-12-30	2014-08-11
13 SHS ATHENAHEALTH INC	P	2013-12-30	2014-03-25
10 SHS BEACON ROOFING SUPPLY INC	P	2013-12-30	2014-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,317		5,730	1,587
16,410		10,955	5,455
22,685		14,021	8,664
9,074		14,000	-4,926
10,411		12,173	-1,762
11,293		5,605	5,688
18,165		11,829	6,336
4,870		4,315	555
2,180		1,743	437
287		407	-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,587
			5,455
			8,664
			-4,926
			-1,762
			5,688
			6,336
			555
			437
			-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
168 SHS BIO REFERENCE LAB INC	P	2013-12-30	2014-05-12
94 SHS CABOT MICROELECTRONICS CORP	P	2013-12-30	2014-12-05
15 SHS CEPHEID INC	P	2013-12-30	2014-08-26
5 SHS CYSCO SYSTEMS INC	P	2013-12-30	2014-08-26
62 SHS CONCUR TECHNOLOGIES INC	P	2013-12-30	2014-11-07
21 SHS ECOLAB INC	P	2013-12-30	2014-07-28
5 SHS GENTEX CORP	P	2013-12-30	2014-08-26
10 SHS GRAND CANYON EDUCATION INC	P	2013-12-30	2014-08-26
5 SHS HEALTH CARE SVCS GROUP INC	P	2014-07-28	2014-08-26
15 SHS LINEAR TECHNOLOGY CORP	P	2013-12-30	2014-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,234		4,294	-60
4,355		4,282	73
580		700	-120
124		111	13
7,950		6,293	1,657
2,322		2,187	135
148		164	-16
432		435	-3
138		134	4
676		683	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-60
			73
			-120
			13
			1,657
			135
			-16
			-3
			4
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 SHS LKQ CORP	P	2013-12-30	2014-08-26
5 SHS MDU RESOURCES GROUP INC	P	2013-12-30	2014-08-26
55 SHS MEDNAX INC	P	2013-12-30	2014-12-02
5 SHS MICROSOFT CORP	P	2013-12-30	2014-08-26
10 SHS NATL INSTRUMENTS CORP	P	2013-12-30	2014-08-26
15 SHS RICHIE BROS AUCTIONEERS INC	P	2013-12-30	2014-08-26
78 SHS ROCKWOOD HOLDINGS INC	P	2013-12-30	2014-11-07
5 SHS ROLLINS INC	P	2013-12-30	2014-08-26
410 SHS STAPLES INC	P	2013-12-30	2014-07-28
68 SHS TARGET CORP	P	2013-12-30	2014-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
419		485	-66
156		152	4
3,586		2,917	669
225		186	39
331		315	16
360		345	15
6,138		5,613	525
149		152	-3
4,474		6,544	-2,070
4,990		4,237	753

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-66
			4
			669
			39
			16
			15
			525
			-3
			-2,070
			753

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 SHS TECHNE CORP MINN	P	2013-12-30	2014-01-13
879 SHS AT&T INC	P	2014-01-07	2014-03-11
5,786 SHS AVISORSHARES TRUST ETF RANGER EQUITY BEAR	P	2013-12-13	2014-03-10
716 SHS CEDAR FAIR L P	P	2013-12-11	2014-03-10
891 SHS CONOCO HILLIPS	P	2014-03-11	2014-07-30
650 SHS ENERPLUS CORP	P	2013-07-02	2014-07-02
502 SHS ENTERGY CORP	P	2014-01-07	2014-07-03
2,953 SHS POWERSHARES ETF PORTFOLIO	P	2013-05-07	2014-02-03
3,122 SHS PROSHARES SHORT DOW 30	P	2014-10-15	2014-12-03
9,228 SHS PROSHARES SHORT S&P 500	P	2014-01-07	2014-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,613		6,602	11
28,333		30,738	-2,405
73,300		77,946	-4,646
37,452		34,600	2,852
75,315		59,865	15,450
16,371		9,523	6,848
38,776		30,774	8,002
73,472		74,583	-1,111
72,642		82,458	-9,816
214,260		237,965	-23,705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			-2,405
			-4,646
			2,852
			15,450
			6,848
			8,002
			-1,111
			-9,816
			-23,705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15,313 SHS PROSHS SHORT RUSSELL 2000 SHORT	P	2014-07-30	2014-12-29
9 PUTS SPDR S&P 500 ETF TR \$170	P	2013-10-15	2014-06-20
99 SHS SIX FLAGS ENTERTAINMENT	P	2014-10-03	2014-11-13
624 SHS VENTAS INC	P	2014-01-07	2014-11-13
2,389 SHS VODAFONE GROUP	P	2014-07-30	2014-10-15
9,179 SHS ALPS TRUST	P	2012-02-06	2014-10-15
2,773 SHS ENERPLUS CORP	P	2013-07-02	2014-10-03
599 SHS GENERAL ELETRIC COMPANY	P	2011-12-01	2014-01-07
1,244 SHS GLAXOSMITHKLINE	P	2013-03-26	2014-10-03
2,729 SHS INTEL CORP	P	2012-10-19	2014-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
233,511		255,124	-21,613
		9,258	-9,258
4,000		3,451	549
43,084		36,862	6,222
69,820		80,953	-11,133
163,912		136,837	27,075
50,077		40,627	9,450
16,357		9,550	6,807
52,387		52,468	-81
91,509		57,807	33,702

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21,613
			-9,258
			549
			6,222
			-11,133
			27,075
			9,450
			6,807
			-81
			33,702

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,808 SHS PAYCHEX INC	P	2012-01-11	2014-01-07
3,248 SHS POWERSHARES ETF PORTFOLIO	P	2013-01-04	2014-02-03
1,560 SHS PPL CORPORATION	P	2012-07-11	2014-05-15
400 SHS ABBVIE INC	P	2013-11-20	2014-02-21
300 SHS AETNA INC	P	2013-12-27	2014-02-21
300 SHS AGLILENT TECHNOLOGIES INC	P	2014-05-30	2014-08-15
100 SHS AMERIPRISE FINL INC	P	2014-09-11	2014-12-19
100 SHS AMGEN INC	P	2013-09-26	2014-05-22
200 SHS AMPHENOL CORP CL A	P	2014-05-21	2014-07-18
400 SHS AMPHENOL CORP CL A	P	2014-08-12	2014-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
81,622		57,196	24,426
80,937		81,300	-363
52,595		44,819	7,776
20,000		18,756	1,244
21,000		20,020	980
17,250		16,517	733
12,500		12,276	224
11,527		11,323	204
19,000		18,512	488
20,000		19,462	538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24,426
			-363
			7,776
			1,244
			980
			733
			224
			204
			488
			538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS ASHLAND INC	P	2014-03-28	2014-05-16
200 SHS ASHLAND INC	P	2014-08-15	2014-11-21
400 SHS AUTONATION INC	P	2013-10-23	2014-03-21
300 SHS BEAM INC	P	2013-09-26	2014-02-03
200 SHS BOSTON PROPERTIES INC	P	2013-07-24	2014-03-21
200 SHS BROWN-FORMAN CORP CL B	P	2014-02-12	2014-03-21
3 CALLS CALL A	P	2014-09-03	2014-10-13
2 CALLS ACN	P	2014-10-31	2014-12-08
2 CALLS ACN	P	2013-10-21	2014-01-03
2 CALLS ACN	P	2014-01-03	2014-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,000		19,050	950
21,000		20,563	437
20,000		19,048	952
20,250		18,737	1,513
22,000		21,358	642
16,000		15,546	454
423		123	300
358		732	-374
250		794	-544
545		293	252

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			950
			437
			952
			1,513
			642
			454
			300
			-374
			-544
			252

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS ACN	P	2014-02-07	2014-05-19
2 CALLS ACN	P	2014-06-04	2014-08-18
2 CALLS ACN	P	2014-08-29	2014-10-20
1 CALL AMGN	P	2013-11-18	2014-01-21
1 CALL AMGN	P	2014-01-21	2014-04-11
1 CALL AMGN	P	2014-04-11	2014-05-22
1 CALL AMP	P	2014-09-11	2014-10-08
4 CALLS AN	P	2013-12-23	2014-01-21
2 CALLS APH	P	2014-08-12	2014-10-09
2 CALLS ASH	P	2014-08-15	2014-10-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
267			267
367			367
240			240
285			285
255		5	250
377		169	208
259		20	239
428			428
467		133	334
629		34	595

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			267
			367
			240
			285
			250
			208
			239
			428
			334
			595

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS CSC	P	2014-03-27	2014-05-07
3 CALLS CSC	P	2014-06-05	2014-09-22
3 CALLS DFS	P	2014-09-26	2014-11-24
3 CALLS DLPH	P	2014-05-28	2014-07-21
3 CALLS DLPH	P	2014-07-21	2014-09-22
3 CALLS EL	P	2013-12-27	2014-02-24
3 CALLS EL	P	2014-04-02	2014-05-13
2 CALLS EMN	P	2014-03-21	2014-06-23
2 CALLS EMN	P	2014-06-23	2014-08-04
2 CALLS ETN	P	2014-07-08	2014-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
398		174	224
657			657
451			451
512			512
442			442
704			704
447		1,150	-703
390			390
440		20	420
341			341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			224
			657
			451
			512
			442
			704
			-703
			390
			420
			341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS ETN	P	2014-09-24	2014-10-07
4 CALLS FAST	P	2013-12-23	2014-01-24
3 CALLS FAST	P	2014-06-13	2014-07-21
3 CALLS FAST	P	2014-08-26	2014-10-20
1 CALL HAR	P	2014-07-22	2014-08-13
1 CALL HAR	P	2014-08-13	2014-10-02
1 CALL HAR	P	2014-10-02	2014-11-21
2 CALLS HON	P	2014-03-21	2014-06-18
2 CALLS HUM	P	2013-12-30	2014-02-24
2 CALLS HIM	P	2014-02-26	2014-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
240		80	160
404		120	284
367			367
247			247
410		13	397
323		14	309
138		51	87
434		26	408
588			588
620		28	592

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			160
			284
			367
			247
			397
			309
			87
			408
			588
			592

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS IFF	P	2014-05-22	2014-08-18
2 CALLS IFF	P	2014-08-26	2014-10-20
2 CALLS IFF	P	2014-10-21	2014-12-18
3 CALLS INTU	P	2014-09-04	2014-10-17
4 CALLS IP	P	2014-02-21	2014-04-21
1 CALL KSU	P	2013-12-30	2014-01-24
1 CALL KSU	P	2014-03-04	2014-04-02
1 CALL KSU	P	2014-04-02	2014-06-23
1 CALL KSU	P	2014-06-24	2014-07-11
1 CALL KSU	P	2014-07-11	2014-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
414			414
457			457
395		450	-55
663		30	633
504			504
310		17	293
191		303	-112
298			298
183		353	-170
231			231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			414
			457
			-55
			633
			504
			293
			-112
			298
			-170
			231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CALL KSU	P	2014-08-28	2014-09-17
1 CALL KSU	P	2014-09-17	2014-12-22
4 CALLS LNC	P	2014-07-08	2014-08-06
2 CALLS LYB	P	2014-10-01	2014-12-22
3 CALLS M	P	2014-06-09	2014-08-18
1 CALL MCK	P	2014-02-12	2014-02-27
1 CALL MCK	P	2014-02-27	2014-04-16
1 CALL MON	P	2014-03-06	2014-04-11
2 CALLS MPC	P	2014-02-20	2014-02-27
2 CALLS MPC	P	2014-02-27	2014-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
131		442	-311
381			381
		106	-106
491			491
500			500
440		269	171
444		5	439
250		20	230
513		200	313
414		27	387

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-311
			381
			-106
			491
			500
			171
			439
			230
			313
			387

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS MPC	P	2014-04-11	2014-06-25
3 CALLS MSI	P	2014-01-17	2014-04-21
3 CALLS MSI	P	2014-05-05	2014-06-23
3 CALLS MSI	P	2014-06-23	2014-08-18
2 CALLS PM	P	2013-11-15	2014-01-21
2 CALLS PM	P	2014-04-04	2014-06-20
2 CALLS PM	P	2014-06-20	2014-09-22
2 CALLS PNC	P	2014-05-27	2014-07-21
4 CALLS RAI	P	2013-12-27	2014-02-24
3 CALLS ROST	P	2013-12-26	2014-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
533		70	463
561			561
449			449
509			509
230			230
227		1,296	-1,069
276			276
341			341
443			443
633		29	604

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			463
			561
			449
			509
			230
			-1,069
			276
			341
			443
			604

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS SBUX	P	2014-03-20	2014-05-19
3 CALLS SBUX	P	2014-05-27	2014-08-18
3 CALLS SBUX	P	2014-08-18	2014-10-07
3 CALLS SBUX	P	2014-10-07	2014-12-18
2 CALLS SJM	P	2013-10-24	2014-01-21
2 CALLS SNDK	P	2014-08-28	2014-10-13
5 CALLS SWY	P	2013-12-10	2014-01-21
3 CALLS VAL	P	2014-02-28	2014-04-17
2 CALLS VIAB	P	2013-12-23	2014-01-24
2 CALLS VIAB	P	2014-03-28	2014-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
780			780
353			353
551		117	434
372		573	-201
521			521
690		16	674
451			451
623		42	581
398		96	302
476			476

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			780
			353
			434
			-201
			521
			674
			451
			581
			302
			476

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS VIAB	P	2014-07-22	2014-08-18
2 CALLS VIAB	P	2014-08-29	2014-09-23
3 CALLS VLO	P	2014-06-23	2014-06-25
3 CALLS VLO	P	2014-06-26	2014-09-22
2 CALLS WDC	P	2014-09-08	2014-09-17
5 CALLS WY	P	2014-01-17	2014-04-21
5 CALLS WY	P	2014-06-24	2014-08-01
300 SHS COMPUTER SCIENCES CORP	P	2013-10-29	2014-03-19
300 SHS COMPUTER SCIENCES CORP	P	2014-03-27	2014-12-19
300 SHS CROWN CASTLE INTL COTRP	P	2014-12-03	2014-12-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
380			380
243		38	205
434		75	359
426			426
542		220	322
375			375
350		100	250
18,000		15,634	2,366
18,000		17,638	362
22,562		23,960	-1,398

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			380
			205
			359
			426
			322
			375
			250
			2,366
			362
			-1,398

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 SHS DELPHI AUTOMOTIVE	P	2013-11-25	2014-02-12
300 SHS DELPHI AUTOMOTIVE	P	2014-05-28	2014-09-26
300 SHS DISCOVER FINANCIAL SERVICES	P	2014-05-02	2014-07-18
300 SHS DISCOVER FINANCIAL SERVICES	P	2014-09-23	2014-12-19
200 SHS EASTMAN CHEMICAL CO	P	2013-10-04	2014-03-11
200 SHS EASTMAN CHEMICAL CO	P	2014-03-21	2014-08-04
200 SHS EATON CORP	P	2014-07-08	2014-10-15
300 SHS ESTEE LAUDER COMPANIES INC	P	2013-12-27	2014-07-18
400 SHS FASTENAL	P	2013-11-07	2014-05-16
300 SHS FASTENAL	P	2014-06-13	2014-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,000		16,734	1,266
19,190		20,876	-1,686
17,250		16,549	701
19,500		19,033	467
16,000		15,628	372
15,883		17,224	-1,341
11,676		15,483	-3,807
22,500		21,905	595
19,200		18,424	776
12,967		14,939	-1,972

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,266
			-1,686
			701
			467
			372
			-1,341
			-3,807
			595
			776
			-1,972

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS HAIN CELESTIAL GROUP INC	P	2014-10-15	2014-11-21
300 SHS HARLEY DAVIDSON INC	P	2014-03-21	2014-05-16
100 SHS HARMAN INTL INDUSTRIES INC	P	2014-05-07	2014-07-18
500 SHS HEWLETT PACKARD CO	P	2014-08-13	2014-09-05
300 SHS HOME DEPOT INC	P	2014-05-29	2014-08-15
200 SHS HOME DEPOT INC	P	2014-10-15	2014-11-21
200 SHS HONEYWELL INTL INC	P	2014-03-21	2014-09-19
200 SHS HONEYWELL INTL INC	P	2014-10-01	2014-11-17
200 SHS HUMANA INC	P	2013-12-30	2014-05-16
100 SHS HUMANA INC	P	2014-08-27	2014-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,000		18,914	1,086
21,000		20,065	935
11,000		10,242	758
18,000		17,164	836
24,000		23,375	625
18,000		17,071	929
19,000		18,192	808
18,500		17,965	535
22,000		19,862	2,138
13,000		12,315	685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,086
			935
			758
			836
			625
			929
			808
			535
			2,138
			685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
400 SHS INTL PAPER CO	P	2013-05-21	2014-01-17
400 SHS INTL PAPER CO	P	2014-02-21	2014-07-02
300 SHS INTUIT INC	P	2014-09-04	2014-11-21
400 SHS LINCOLN NATL CORP	P	2014-07-08	2014-11-21
200 SHS LYONDELLBASELL INDUSTRIES N V CL A	P	2013-10-31	2014-01-17
200 SHS LYONDELLBASELL INDUSTRIES N V CL A	P	2014-01-23	2014-04-17
300 SHS MACYS INC	P	2014-06-09	2014-11-21
200 SHS MARATHON PETE CORP	P	2014-02-20	2014-07-02
100 SHS MCKESSON CORP	P	2014-02-12	2014-05-16
100 SHS MCKESSON CORP	P	2014-06-17	2014-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,200		18,797	403
19,906		19,453	453
24,750		24,030	720
21,000		20,230	770
15,450		14,778	672
16,000		15,138	862
18,000		17,324	676
15,941		17,722	-1,781
17,500		17,238	262
18,500		17,883	617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			403
			453
			720
			770
			672
			862
			676
			-1,781
			262
			617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 SHS MCKESSON CORP	P	2014-08-27	2014-11-21
400 SHS MICROSOFT CORP	P	2014-10-30	2014-11-17
100 SHS MONSANTO CO	P	2014-03-06	2014-06-30
300 SHS MOTOROLA SOLUTIONS INC	P	2014-01-17	2014-09-03
200 SHS PNC FINANCIAL SVCS GROUP INC	P	2013-12-30	2014-02-21
200 SHS PNC FINANCIAL SVCS GROUP INC	P	2014-02-28	2014-05-16
200 SHS PNC FINANCIAL SVCS GROUP INC	P	2014-05-27	2014-09-19
200 SHS PNC FINANCIAL SVCS GROUP INC	P	2014-10-14	2014-11-21
400 SHS REYNOLDS AMERICAN INC	P	2013-12-27	2014-05-16
300 SHS REYNOLDS AMERICAN INC	P	2014-08-14	2014-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,500		18,645	855
18,400		17,952	448
11,500		11,050	450
17,637		19,893	-2,256
15,500		15,131	369
16,500		16,026	474
17,000		16,638	362
16,500		15,890	610
20,000		19,432	568
18,000		16,924	1,076

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			855
			448
			450
			-2,256
			369
			474
			362
			610
			568
			1,076

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 SHS ROSS STORES INC	P	2013-12-26	2014-01-28
500 SHS SAFEWAY INC	P	2013-12-10	2014-03-18
200 SHS SMUCKER J M COMPANY	P	2013-10-24	2014-02-12
300 SHS TESORO CORP	P	2014-08-15	2014-11-21
300 SHS TIME WARNER INC	P	2014-11-05	2014-12-19
500 SHS U S SILICA HOLDINGS LLC	P	2014-02-28	2014-04-17
200 SHS UNITED TECHNOLOGIES CORP	P	2014-03-21	2014-05-13
200 SHS UNITEDHEALTH GROUP INC	P	2013-11-14	2014-01-17
200 SHS UNITEDHEALTH GROUP INC	P	2014-05-01	2014-06-11
100 SHS UNITEDHEALTH GROUP INC	P	2014-05-01	2014-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,342		22,363	-2,021
17,000		16,262	738
18,743		21,741	-2,998
20,250		18,166	2,084
23,249		22,457	792
17,000		15,947	1,053
23,000		22,455	545
14,500		13,890	610
15,000		14,625	375
7,500		7,313	187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,021
			738
			-2,998
			2,084
			792
			1,053
			545
			610
			375
			187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS UNITEDHEALTH GROUP INC	P	2014-09-15	2014-12-02
300 SHS VALERO ENERGY CORP	P	2014-06-23	2014-10-28
300 SHS VALSPAR CORP	P	2014-02-28	2014-07-18
647 SHS VERITIV CORP	P	2014-02-21	2014-07-09
7 SHS VERITIV CORP	P	2014-02-21	2014-08-07
200 SHS VIACOM INC CL B	P	2013-10-18	2014-03-21
200 SHS VIACOM INC CL B	P	2014-07-22	2014-09-23
200 SHS WESTERN DIGITAL CORP	P	2014-02-20	2014-04-17
200 SHS WESTERN DIGITAL CORP	P	2014-06-04	2014-07-18
200 SHS WESTERN DIGITAL CORP	P	2014-09-08	2014-09-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,500		16,719	781
14,790		17,191	-2,401
22,500		21,878	622
22		24	-2
269		256	13
17,000		16,398	602
15,517		17,465	-1,948
17,500		16,499	1,001
18,000		17,346	654
19,320		19,965	-645

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			781
			-2,401
			622
			-2
			13
			602
			-1,948
			1,001
			654
			-645

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 SHS WYERHAEUSER CO	P	2014-01-17	2014-08-01
500 SHS WILLIAMS COMPANIES INC	P	2013-05-10	2014-02-21
4 CALLS LNC	P	2014-08-06	2014-10-08
200 SHS VIACOM INC CL B	P	2014-03-28	2014-07-18
63 SHS INVESCO LTD	P	2013-12-05	2014-10-13
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,636		15,548	88
18,500		17,854	646
614		176	438
17,000		16,511	489
2,307		2,168	139
207,327			207,327


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			88
			646
			438
			489
			139
			207,327


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
NORMA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
JONATHAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LESLIE LEVINE	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
MEREDITH BAUER	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LISA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY 360 LEXINGTON AVENUE NEW YORK,NY 10017		EXEMPT	DONATION	45,800
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK,NY 10222		EXEMPT	DONATION	5,000
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158		EXEMPT	DONATION	5,500
BOYS TOWN JERUSALEM FOUNDAITON OF AMERICA INC 91 FIFTH AVENUE NEW YORK,NY 10003		EXEMPT	DONATION	500
CITY HARVEST 575 EIGHTH AVENUE NEW YORK,NY 10018		EXEMPT	DONATION	2,000
CJLPRINCETON HILLEL 70 WASHINGTON ROAD PRINCETON,NJ 08540		EXEMPT	DONATIONDONATION	500
JEWISH FAMILY SERVICES OF CLIFTON-PASSIAC 925 ALLWOOD RD 2ND FL CLIFTON,NJ 07012		EXEMPT	DONATION	5,000
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK,NY 10021		EXEMPT	DONATION	4,000
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN,NJ 08520		EXEMPT	DONATION	15,000
NY TIMES NEEDIEST CASES 4 CHASE METROTECH CENTER BROOKLYN,NY 11245		EXEMPT	DONATION	200
PAPERMILL PLAYHOUSE 22 BROOKSIDE DR MILLBURN,NJ 07041		EXEMPT	DONATION	1,200
PINE CREST SCHOOL 1501 NE 62ND STREET FORT LAUDERDALE,FL 33334		EXEMPT	DONATION	500
PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543		EXEMPT	DONATION	2,500
SYMPHONY SPACE 2537 BROADWAY NEW YORK,NY 10025		EXEMPT	DONATION	56,000
THE INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVE NW NO341 WASHINGTON,DC 20015		EXEMPT	DONATION	5,000
Total  3a				478,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE RAMAZ SCHOOL 114 EAST 85TH STREET NEWYORK,NY 10028		EXEMPT	DONATION	850
THE WASHINGTON INSTITUTE 5101 WISCONSIN AVE NW 100 WASHINGTON,DC 20036		EXEMPT	DONATION	220,000
THE WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L STREET WASHINGTON,DC 20036		EXEMPT	DONATION	2,500
UJA FEDERATION NYC 130 EAST 59TH ST NEWYORK,NY 10022		EXEMPT	DONATION	5,000
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA,PA 19104		EXEMPT	DONATION	12,500
VASSAR COLLEGE BOX 14 POUGHKEEPSIE,NY 12601		EXEMPT	DONATION	50,000
AMERICAN FRIENDS OF JAFFA INSTITUTE C/O DR E HARTMAN 171-06 76TH AVE FLUSHING,NY 11366		EXEMPT	DONATION	5,500
HADASSAH - THE TOKEN 50 WEST 58TH STREET NEWYORK,NY 10102		EXEMPT	DONATION	150
LAMP FOR HAITI FOUNDATION PO BOX 39703 PHILADELPHIA,PA 19106		EXEMPT	DONATION	1,200
LUBAVITCH HOUSE UNIVERSITY OF PA 4032-34 SPRUCE ST PHILADELPHIA,PA 19104		EXEMPT	DONATION	6,000
NEWYORK CITY CENTER 130 WEST 56TH STREET NEWYORK,NY 10024		EXEMPT	DONATION	200
PEF ISRAEL ENDOWNMENT FUND 15TH 630 THIRD AVENUE NEWYORK,NY 10017		EXEMPT	DONATION	2,500
THE JEWISH MUSEUM 1109 5TH AVENUE NEWYORK,NY 10128		EXEMPT	DONATION	500
TORAH ACADEMY OF BOCA RATON 3881 NW 3RD AVENUE BOCA RATON,FL 33431		EXEMPT	DONATION	2,000
YES SOLUTIONS INC 549 WEST 123RD STREET NEWYORK,NY 10027		EXEMPT	DONATION	5,000
Total  3a				478,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEW YORK, NY 10023		EXEMPT	DONATION	3,000
JAFCO CHILDREN'S FOUNDATION 4200 N UNIVERSITY DRIVE SUNRISE, FL 33351		EXEMPT	DONATION	12,500
Total ▶ 3a				478,100

TY 2014 Investments - Other Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCKS AND BONDS	AT COST	3,085,500	3,413,255
CMS STRUCTURED PRODUCTS FUND	AT COST	334,758	334,758
CMS PRIVATE REIT FUND	AT COST	276,841	276,841
MUUS INDEPENDENCE FUND	AT COST	0	0
MAGNITUDE INTERNATIONAL	AT COST	285,793	285,793
WYNNEFIELD SMALL CAP OFFSHORE	AT COST	227,577	227,577
ALLEN KONRAD MERIDIAN INVESTORS LLC	AT COST	39,525	39,525
GOLUB CAPITAL PARTNERS V LP	AT COST	141,549	141,549
WHITEBOX MULTI STRATEGY FUND	AT COST	422,898	910,240
GEMS FUND LP	AT COST	146,248	146,248
GOLUB CAPITAL PARTNERS VII LP	AT COST	130,475	130,475
GOLUB CAPITAL PARTNERS VIII LP	AT COST	89,523	89,523
GOLUB CAPITAL PARTNERS 9 LP	AT COST	65,000	65,000
1,659 SHS GOLUB CAPITAL BDC INC	AT COST	31,703	29,903

TY 2014 Other Assets Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RECEIVABLE - CMS PRIVATE REIT LP	13,745	0	0

TY 2014 Other Decreases Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Amount
UNITED STATES TREASURY - TAX PAYMENTS	17,295

TY 2014 Other Expenses Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	59,404	59,404		0
OTHER INVESTMENT EXPENSES	29,329	29,329		0
PROFESSIONAL FEES	7,642	7,642		0
LICENSES AND FEES	25	25		0

TY 2014 Other Income Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MUUS INDEPENDENCE FUND	-83	-83	-83
GOLUB CAPITAL PARTNERS V LP	2,961	2,961	2,961
MERIDIAN REDEVELOPMENT VALUE FUND 1	-2,654	-2,654	-2,654
GEMS FUND LP	7,983	7,983	7,983
GOLUB CAPITAL PARTNERS VII LP	2,814	2,814	2,814
GOLUB CAPITAL PARTNERS VIII LP	1,421	1,421	1,421
RBC #300-00838	79	79	79
UBS #61938	3,629	3,629	3,629
RBC #309-58541	268	268	268
OTHER INCOME	339	339	339

TY 2014 Other Increases Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
BOOK VERSES TAX DIFFERENCES	8,254
NON-TAXABLE INCOME	125,172
PRIOR PERIOD ADJUSTMENT TO COST BASIS OF SECURITIES	442,029

TY 2014 Substantial Contributors Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Name	Address
ALAN AUFZIEN	350 PASSAIC AVENUE FAIRFIELD,NJ 07004
MICHELLE AUFZIEN	140 WEST END AVENUE APT 5C NEW YORK,NY 10023
MERIDITH A BAUER	3 BLACKBERRY LANE FAIRFIELD,NJ 07004

TY 2014 Taxes Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,777	2,777		0

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div>
		<div>2014</div>

<div>Name of the organization</div> <div>AUFZIEN FOUNDATION INC</div>	<div>Employer identification number</div> <div>22-2838652</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div></div>
<div>Form 990-PF</div>	<div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFILED, NJ 07004	\$ 150,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u>2</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 409,520	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	