Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation
THE JOHN & ANN BARNEY FAMILY FOUNDATION, INC.

A Employer identification number
20-8907213

Number and street (or P O box number if mail is not delivered to street address)
1362 MUSCATATUCK DRIVE

Room/suite

B Telephone number
219-663-7770

City or town, state or province, country, and ZIP or foreign postal code
VALPARAISO, IN 46385

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☒ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,285,072.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED MAY 2 6 2015

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	23.	23.		STATEMENT 1
4 Dividends and interest from securities	13,821.	13,821.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	329,785.			
b Gross sales price for all assets on line 6a 1,624,757.				
7 Capital gain net income (from Part IV, line 2)		329,785.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	10,234.	0.		STATEMENT 3
12 **Total.** Add lines 1 through 11	353,863.	343,629.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	5,000.	0.		5,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 4	1,580.	790.		790.
c Other professional fees STMT 5	10,663.	10,663.		0.
17 Interest				
18 Taxes STMT 6	1,978.	411.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	69.	0.		69.
24 **Total operating and administrative expenses.** Add lines 13 through 23	19,290.	11,864.		5,859.
25 Contributions, gifts, grants paid	50,507.			50,507.
26 **Total expenses and disbursements.** Add lines 24 and 25	69,797.	11,864.		56,366.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	284,066.			
b **Net investment income** (if negative, enter -0-)		331,765.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

Received MAY 2 7 2015 Ogden

423501 11-24-14 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2014)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	54,955.	56,383.	56,383.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	726,428.	694,215.	674,998.
	c Investments - corporate bonds STMT 9	100,982.	496,679.	477,233.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	159,258.	78,412.	76,458.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,041,623.	1,325,689.	1,285,072.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,041,623.	1,325,689.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	1,041,623.	1,325,689.	
	31 **Total liabilities and net assets/fund balances**	1,041,623.	1,325,689.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,041,623.
2 Enter amount from Part I, line 27a	2	284,066.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,325,689.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,325,689.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,624,757.		1,294,972.	329,785.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				329,785.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 329,785.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	53,067.	1,149,934.	.046148
2012	53,310.	1,059,780.	.050303
2011	51,299.	1,101,724.	.046562
2010	32,155.	1,057,120.	.030418
2009	12,438.	633,700.	.019628

2	**Total** of line 1, column (d)	2	.193059
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.038612
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,261,683.
5	Multiply line 4 by line 3	5	48,716.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,318.
7	Add lines 5 and 6	7	52,034.
8	Enter qualifying distributions from Part XII, line 4	8	56,366.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	3,318.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	3,318.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,318.
6	Credits/Payments:				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	46.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,364.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 11	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ KATHLEEN SMITH Telephone no. ▶ 219-663-7770
Located at ▶ 1362 MUSCATATUCK DRIVE, VALPARAISO, IN ZIP+4 ▶ 46385

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN R BARNEY PO BOX 447 VALPARAISO, IN 46384-0447	PRESIDENT 1.00	0.	0.	0.
ANN B BARNEY PO BOX 447 VALPARAISO, IN 46384-0447	VICE PRESIDENT 1.00	0.	0.	0.
KATHLEEN B SMITH PO BOX 447 VALPARAISO, IN 46384-0447	SECRETARY/TREASURER 2.00	5,000.	0.	0.
JULIE BIESZCZAT PO BOX 447 VALPARAISO, IN 46384-0447	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,225,227.
b	Average of monthly cash balances	1b	55,669.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,280,896.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,280,896.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,213.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,261,683.
6	**Minimum investment return.** Enter 5% of line 5	6	63,084.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	63,084.
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 3,318.		
b	Income tax for 2014. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	3,318.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	59,766.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	59,766.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	59,766.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	56,366.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	56,366.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,318.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	53,048.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				59,766.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			55,562.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 56,366.				
a Applied to 2013, but not more than line 2a			55,562.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				804.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				58,962.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOYS & GIRLS CLUB OF NORTHWEST INDIANA 839 BROADWAY GARY, IN 46402				20,157.
BRAIN RESEARCH FOUNDATION 111 W WASHINGTON ST, STE 1710 CHICAGO, IL 60602				500.
CRISIS CENTER, INC 101 N MONTGOMERY ST GARY, IN 46403				1,000.
CROWN POINT COMMUNITY FOUNDATION PO BOX 522 CROWN POINT, IN 46308				6,000.
HABITAT FOR HUMANITY OF NW IN 6114 W RIDGE RD GARY, IN 46408				1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	50,507.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	23.	
4 Dividends and interest from securities			14	13,821.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	10,234.	
8 Gain or (loss) from sales of assets other than inventory			18	329,785.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		353,863.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 353,863.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Kath B S
Date: 5/8/15
Title: SECRETARY/TREASURER

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MATTHEW M. BAPPLE, CPA	Matt M Bapple	5/7/15		P00742511
Firm's name ▶ BAPPLE & BAPPLE, INC			Firm's EIN ▶ 35-2018898	
Firm's address ▶ 101 N MAIN ST CROWN POINT, IN 46307			Phone no. 219-662-2727	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED JP MORGAN ACCT V 05994-00-5	P		
b	SEE ATTACHED JP MORGAN ACCT V 05994-00-5	P		
c	SEE ATTACHED JP MORGAN ACCT V 05994-00-5	P		
d	SEE ATTACHED JP MORGAN ACCT V 05994-00-5	P		
e	SEE ATTACHED MORGAN STANLEY ACCT 358 209615 564	P		
f	SEE ATTACHED MORGAN STANLEY ACCT 358 209615 564	P		
g	SEE ATTACHED MORGAN STANLEY ACCT 358 209615 564	P		
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	88,871.		91,058.	-2,187.
b	11,886.		12,162.	-276.
c	115,042.		104,646.	10,396.
d	208,463.		170,782.	37,681.
e	10,727.		11,314.	-587.
f	240,389.		229,631.	10,758.
g	945,378.		675,379.	269,999.
h	4,001.			4,001.
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-2,187.
b				-276.
c				10,396.
d				37,681.
e				-587.
f				10,758.
g				269,999.
h				4,001.
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	329,785.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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05-01-14

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON, IN 47402				2,000.
MAKE A WISH FOUNDATION OF GREATER OHIO, KENTUCKY, AND INDIANA, INC. 2545 FARMERS DR, STE 300 COLUMBUS, OH 43235				250.
NATIONAL MS SOCIETY INDIANA CHAPTER 7301 GEORGETOWN RD, SUITE 112 INDIANAPOLIS, IN 46268				1,000.
OPPORTUNITY ENTERPRISES, INC 2801 EVANS AVENUE VALPARAISO, IN 46383				8,000.
SUSAN G KOMEN BREAST CANCER FOUNDATION 5005 LBJ FREEWAY, SUITE 250 DALLAS, TX 75244				250.
THIS STAR WON'T GO OUT 157 LEONARD ST ACHUSHNET, MA 02743				250.
VANDERBILT PRESBYTERIAN CHURCH 1225 PIPER BLVD. NAPLES, FL 34110				2,600.
IVY TECH FOUNDATION ONE AMERICAN SQUARE, STE 3100 INDIANAPOLIS, IN 46282				7,500.
Total from continuation sheets				21,850.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN	13.	13.	
JP MORGAN	3.	3.	
MORGAN STANLEY	7.	7.	
TOTAL TO PART I, LINE 3	23.	23.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN	7,224.	1.	7,223.	7,223.	
MORGAN STANLEY	10,571.	4,000.	6,571.	6,571.	
MORGAN STANLEY	27.	0.	27.	27.	
TO PART I, LINE 4	17,822.	4,001.	13,821.	13,821.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UNREALIZED GAIN ON TRANSFER	10,234.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	10,234.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	1,580.	790.		790.
TO FORM 990-PF, PG 1, LN 16B	1,580.	790.		790.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	10,663.	10,663.		0.
TO FORM 990-PF, PG 1, LN 16C	10,663.	10,663.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	411.	411.		0.
PRIOR YEAR TAX PAID	567.	0.		0.
ESTIMATED TAX PAID	1,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,978.	411.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	69.	0.		69.
TO FORM 990-PF, PG 1, LN 23	69.	0.		69.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	694,215.	674,998.
TOTAL TO FORM 990-PF, PART II, LINE 10B	694,215.	674,998.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	496,679.	477,233.
TOTAL TO FORM 990-PF, PART II, LINE 10C	496,679.	477,233.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER MARKETABLE SECURITIES	COST	78,412.	76,458.
TOTAL TO FORM 990-PF, PART II, LINE 13		78,412.	76,458.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 11
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
JOHN BARNEY	PO BOX 447 VALPARAISO, IN 46384-0447

Morgan Stanley

Corporate Tax Statement
Tax Year 2014

THE JOHN & ANN BARNEY FAM FNDN
C/O JOHN BARNEY &
KATHLEEN B SMITH
1362 MUSCATATUCK DR
VALPARAISO IN 46385-6188

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number. XX-XXX7213
Account Number: 358 209615 564

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DARDEN RESTAURANTS	CUSIP: 237194105	Symbol. DRI						
	37.000	11/26/14	12/17/14	$2,108 06	$2,063.36	$0 00	$44.70	$0 00
	1.000	12/03/14	12/17/14	$56.97	$58.00	$0.00	($1.03)	$0.00
Security Subtotal	38.000			$2,165.03	$2,121 36	$0.00	$43.67	$0.00
ELI LILLY & CO	CUSIP: 532457108	Symbol. LLY						
	32 000	11/26/14	12/11/14	$2,317 21	$2,150.72	$0 00	$166.49	$0 00
	1.000	12/03/14	12/11/14	$72.41	$70.71	$0.00	$1.70	$0.00
Security Subtotal	33.000			$2,389.62	$2,221.43	$0.00	$168.19	$0.00
INTEL CORP	CUSIP: 458140100	Symbol: INTC						
	127 000	11/26/14	12/09/14	$4,689 53	$4,679 58	$0 00	$9.95	$0 00
LINNCO LLC	CUSIP: 535782106	Symbol: LNCO						
	115.000	11/26/14	12/09/14	$1,482 95	$2,291.58	$0 00	($808 63)	$0.00
Total Short Term Covered Securities				**$10,727.13**	**$11,313.95**	**$0.00**	**($586.82)**	**$0.00**

Morgan Stanley

Corporate Tax Statement
Tax Year 2014

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C/O JOHN BARNEY &
KATHLEEN B SMITH
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VALPARAISO IN 46385-6188

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX7213
Account Number: 358 209615 564

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section Those transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BARCLAYS BANK PLC00000 15FB17		CUSIP: 06741T4X2		Symbol:				
	6,000 000	01/30/14	11/24/14	$6,331 00	$6,000 00	$0 00	$331 00	$0 00
BROWN ADV WMC JPN ALPHA OPP I		CUSIP: 115233579		Symbol: BAFJX				
	1,203 252	03/06/14	11/20/14	$13,469.92	$12 032 52	$0 00	$1,437.40	$0 00
DEUTSCHE BANK AG 00000 15JL21		CUSIP: 25152RKH5		Symbol:				
	6,000 000	05/23/14	11/24/14	$4,493 50	$6,000 00	$0 00	($1,506.50)	$0.00
DOUBLELINE TOTAL RETURN I		CUSIP: 258620103		Symbol DBLTX				
	535 602	10/09/14	11/20/14	$5,890 28	$5 896 98	$0.00	($6 70)	$0 00
GATEWAY FUND Y		CUSIP: 367829884		Symbol. GTEYX				
	47.831	01/08/14	11/26/14	$1,419 63	$1,384.24	$0 00	$35 39	$0 00
GOLDMAN SACHS GRO00000 15MH04		CUSIP. 38147QNP7		Symbol:				
	12,000 000	02/14/14	11/24/14	$13,493 50	$12,000.00	$0.00	$1,493.50	$0 00
GOTHAM ABSOLUTE RETURN I		CUSIP: 360873137		Symbol: GARIX				
	1,877.302	01/09/14	11/24/14	$26,801 37	$24,254 55	$0 00	$2,546 62	$0 00
ISHARES MSCI EAFE ETF		CUSIP: 464287465		Symbol: EFA				
	211 000	01/07/14	11/26/14	$13,588 67	$13,973 10	$0 00	($384 43)	$0 00
	182 000	01/22/14	11/26/14	$11,721 03	$12,214.64	$0.00	($493.61)	$0 00
	224.000	08/01/14	11/26/14	$14,425 89	$14,837.92	$0.00	($412 03)	$0 00
	94.000	10/09/14	11/26/14	$6,053.71	$5,765.96	$0.00	$287.75	$0.00
Security Subtotal	711.000			$45,789.30	$46,791.62	$0.00	($1,002.32)	$0.00

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement
Tax Year 2014

THE JOHN & ANN BARNEY FAM FNDN
C/O JOHN BARNEY &
KATHLEEN B SMITH
1362 MUSCATATUCK DR
VALPARAISO IN 46385-6188

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number. 26-4310632

Taxpayer ID Number: XX-XXX7213
Account Number: 358 209615 564

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Frm 8949 Part I with box B checked)**(Continued)**

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JP MORGAN SHT DUR BD S		CUSIP: 4812C1330		Symbol· HLLVX				
	1,080 033	10/09/14	11/20/14	$11,770 72	$11,793 85	$0.00	($23 13)	$0 00
JPMORGAN CHASE & 00000 18NV27		CUSIP: 48126NTE7		Symbol:				
	5,000 000	07/11/14	11/24/14	$4,498 09	$5,253 85	$0.00	($755.76)	$0 00
JPMORGAN GB RSCH ENHCD IDX SEL		CUSIP: 46637K513		Symbol: JEITX				
	1,086 974	02/04/14	11/20/14	$20,606 85	$18,391 49	$0.00	$2,215 36	$0 00
PIMCO UNCONSTRAINED BOND P		CUSIP: 72201M453		Symbol. PUCPX				
	365 531	01/08/14	11/26/14	$4,126 86	$4,046 43	$0.00	$80 43	$0 00
SPDR TRUST SERIES 1		CUSIP: 78462F103		Symbol. SPY				
	256.000	05/27/14	11/26/14	$53,116.98	$49,035 03	$0 00	$4,081 95	$0 00
	79.000	10/09/14	11/26/14	$16,391.57	$15,294 17	$0 00	$1,097.40	$0 00
	41.000	10/09/14	11/26/14	$8,507.01	$7,918.22	$0.00	$588.79	$0.00
Security Subtotal	376.000			$78,015.56	$72,247.42	$0.00	$5,768.14	$0.00
T ROWE PRICE NEW ASIA FUND		CUSIP: 77956H500		Symbol: PRASX				
	205 470	10/09/14	11/26/14	$3,682.03	$3,538 17	$0 00	$143 86	$0 00
Total Short Term Noncovered Securities				**$240,388.61**	**$229,631.12**	**$0.00**	**$10,757.49**	**$0.00**
Total Short Term Covered and Noncovered Securities				**$251,115.74**	**$240,945.07**	**$0.00**	**$10,170.67**	**$0.00**

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement
Tax Year 2014

THE JOHN & ANN BARNEY FAM FNDN
C/O JOHN BARNEY &
KATHLEEN B SMITH
1362 MUSCATATUCK DR
VALPARAISO IN 46385-6188

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayor ID Number: XX-XXX7213
Account Number: 358 209615 564

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. *Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions*

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
APPLE INC	CUSIP: 037833100		Symbol (Box 1d): AAPL					
	550.000	11/22/04	12/23/14	$62,041 92	$2,425 37	$0.00	$59,616 55	$0 00
COLUMBIA ASIA PAC EX-JPN R5	CUSIP: 19763P572		Symbol (Box 1d): TAPRX					
	549.140	09/14/09	11/20/14	$7,895 25	$6,254 65	$0 00	$1,640 60	$0 00
	885 613	08/16/13	11/20/14	$12,732 89	$11,406.61	$0 00	$1,326 28	$0 00
	1,157.115	10/25/13	11/20/14	$16,636.42	$15,956.62	$0.00	$679.80	$0.00
Security Subtotal	2,591.868			$37,264.56	$33,617.88	$0.00	$3,646.68	$0 00
DEUTSCHE BANK AG 00000 15AP30	CUSIP: 25152RCM3		Symbol (Box 1d): DEU38					
	12,000 000		11/24/14	$13,177 06	NOT ON FILE	$0 00		$0 00
DODGE & COX INTL STOCK FUND	CUSIP: 256206103		Symbol (Box 1d): DODFX					
	873.969	07/30/13	11/20/14	$38,797 72	$33,857 56	$0.00	$4,940 16	$0 00
DOUBLELINE TOTAL RETURN I	CUSIP: 258620103		Symbol (Box 1d): DBLTX					
	1,484.537	06/19/12	11/20/14	$16,326.15	$16,656.36	$0 00	($330.21)	$0 00
	556.032	07/17/13	11/20/14	$6,114.95	$6,138.54	$0.00	($23.59)	$0.00
Security Subtotal	2,040.569			$22,441.10	$22,794.90	$0.00	($353.80)	$0.00
EQUINOX CAMPBELL STRAT I	CUSIP: 29446A819		Symbol (Box 1d): EBSIX					
	2,150.879	07/17/13	11/24/14	$24,750 12	$22,497 98	$0 00	$2,252 14	$0 00
GATEWAY FUND Y	CUSIP: 367829884		Symbol (Box 1d): GTEYX					
	788.910	06/07/13	11/26/14	$23,414 84	$22,357.71	$0 00	$1,057 13	$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section Those transactions should be reported on Form 8949 Part II with box E checked)**(Continued)**

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HARTFORD CAP APPREC I	CUSIP: 416649309 Symbol (Box 1d): ITHIX							
	179.784	09/08/09	11/26/14	$9,195 95	$5,003 39	$0 00	$4,192 56	$0 00
	344.923	07/12/12	11/26/14	$17,642.81	$10,433.89	$0.00	$7,208.92	$0.00
Security Subtotal	**524.707**			**$26,838.76**	**$15,437.26**	**$0.00**	**$11,401.48**	**$0 00**
INVESCO BALANCED-RISK ALLOC Y	CUSIP: 00141V697 Symbol (Box 1d): ABRYX							
	145.796	07/12/12	11/26/14	$1,857.44	$1,839 95	$0 00	$17.49	$0 00
	886.508	06/07/13	11/26/14	$11,294 11	$11,178 78	$0 00	$115 33	$0 00
	183.290	07/17/13	11/26/14	$2,335.12	$2,309.45	$0.00	$25.67	$0.00
Security Subtotal	**1,215.594**			**$15,486 67**	**$15,328.18**	**$0.00**	**$158 49**	**$0.00**
ISHARES MSCI EAFE ETF	CUSIP: 464287465 Symbol (Box 1d): EFA							
	209.000	11/19/12	11/26/14	$13,459.87	$11,075 18	$0 00	$2,384.69	$0 00
	104.000	12/27/12	11/26/14	$6,697.73	$5,893 39	$0 00	$804 34	$0 00
	205.000	06/07/13	11/26/14	$13,202 26	$12,209.80	$0.00	$992.46	$0 00
	382.000	10/25/13	11/26/14	$24,601.29	$25,393.11	$0.00	($791.82)	$0.00
Security Subtotal	**900.000**			**$57,961.15**	**$54,571.48**	**$0.00**	**$3,389.67**	**$0.00**
ISHARES RUSSELL MID-CAP ETF	CUSIP: 464287499 Symbol (Box 1d): IWR							
	39.000	09/14/12	11/26/14	$6,547.61	$4,473 30	$0 00	$2,074 31	$0 00
ISHARES S&P MIDCAP 400 INDEX	CUSIP: 464287507 Symbol (Box 1d): IJH							
	42.000	09/10/09	11/26/14	$6,104.14	$2,818 71	$0.00	$3,285 43	$0 00
	233.000	10/08/10	11/26/14	$33,863.42	$18,870.65	$0.00	$14,992.77	$0.00
Security Subtotal	**275.000**			**$39,967.56**	**$21,689.36**	**$0.00**	**$18,278.20**	**$0.00**

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Morgan Stanley

Corporate Tax Statement
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section Those transactions should be reported on Form 8949 Part II with box E checked)**(Continued)**

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JP MORGAN CHINA REGION SEL	CUSIP: 4812A3528	Symbol (Box 1d): JCHSX						
	492.716	07/09/13	11/20/14	$10,788.91	$8,996 99	$0 00	$1,791.92	$0 00
JP MORGAN INTL VALUE INST	CUSIP: 4812A0573	Symbol (Box 1d): JNUSX						
	3,118 485	12/27/11	11/20/14	$44,401.74	$35,070 17	$0 00	$9,331.57	$0 00
	580.109	09/14/12	11/20/14	$8,259.74	$7,422.49	$0.00	$837.25	$0.00
Security Subtotal	3,698.594			$52,661.48	$42,492.66	$0.00	$10,168.82	$0.00
JP MORGAN MKTEXP ENHCD IND SEL	CUSIP: 4812C1637	Symbol (Box 1d). PGMIX						
	944 450	05/08/09	11/20/14	$13,267.77	$6,630.04	$0 00	$6,637 73	$0 00
	1,560 000	09/01/09	11/20/14	$21,915.10	$11,965 20	$0 00	$9,949 90	$0 00
	991.539	11/19/12	11/20/14	$13,929.28	$10,549.88	$0.00	$3,379.40	$0.00
Security Subtotal	3,495.989			$49,112.15	$29,145.12	$0.00	$19,967.03	$0.00
JP MORGAN SHT DUR BD S	CUSIP: 4812C1330	Symbol (Box 1d): HLLVX						
	59 699	08/28/09	11/20/14	$650 63	$646 53	$0 00	$4.10	$0 00
	1,153.000	09/14/09	11/20/14	$12,565.91	$12,498.52	$0 00	$67 39	$0.00
	909 091	07/28/10	11/20/14	$9,907.68	$9,999.91	$0.00	($92.23)	$0 00
	1,012.203	04/12/13	11/20/14	$11,031.44	$11,113.99	$0.00	($82.55)	$0.00
Security Subtotal	3,133.993			$34,155.66	$34,258.95	$0.00	($103.29)	$0.00
JP MORGAN TAX AWARE EQ I	CUSIP: 4812A1654	Symbol (Box 1d): JPDEX						
	849 191	09/16/09	11/20/14	$25,090.74	$12,705.00	$0 00	$12,385.74	$0 00
	1,085.106	05/29/12	11/20/14	$32,061.24	$19,928.84	$0.00	$12,132.40	$0.00
Security Subtotal	1,934.297			$57,151.98	$32,633.84	$0.00	$24,518.14	$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section Those transactions should be reported on Form 8949 Part II with box E checked)**(Continued)**

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JPMORGAN CHASE & 00000 15DE21	CUSIP: 48127DNV6	Symbol (Box 1d):						
	6 000 000		11/24/14	$4,981 00	NOT ON FILE	$0 00		$0 00
JPMORGAN CHASE & 00000 18NV27	CUSIP: 48126NTE7	Symbol (Box 1d):						
	12,000.000	11/22/13	11/24/14	$10,795.41	$12,000 00	$0 00	($1,204.59)	$0 00
JPMORGAN GB RSCH ENHCD IDX SEL	CUSIP: 46637K513	Symbol (Box 1d): JEITX						
	2,140.593	04/12/13	11/20/14	$40,581.32	$33,329 03	$0.00	$7,252 29	$0 00
NEUBERGER MULTI CAP OPP INST	CUSIP: 64122Q309	Symbol (Box 1d): NMULX						
	1,947.698	05/29/12	11/20/14	$31,977.99	$20,002 86	$0 00	$11,975 13	$0 00
	1,000.566	06/19/12	11/20/14	$16,427 64	$10,375.87	$0 00	$6,051.77	$0 00
	1,010.953	07/12/12	11/20/14	$16,598.21	$10,321.73	$0.00	$6,276.48	$0.00
Security Subtotal	3,959.217			$65,003.84	$40,700.46	$0.00	$24,303.38	$0.00
OAKMARK INTERNATL I	CUSIP: 413838202	Symbol (Box 1d): OAKIX						
	1,424.989	04/29/13	11/26/14	$36,294.47	$33,187.99	$0 00	$3,106 48	$0 00
PIMCO UNCONSTRAINED BOND P	CUSIP: 72201M453	Symbol (Box 1d): PUCPX						
	762.406	12/27/12	11/26/14	$8,607.56	$8,752.34	$0 00	($144 78)	$0.00
	1,001.707	02/19/13	11/26/14	$11,309.26	$11,539.56	$0.00	($230.30)	$0.00
Security Subtotal	1,764.113			$19,916.82	$20,291.90	$0.00	($375.08)	$0.00
PRIMECAP ODYSSEY STOCK	CUSIP: 74160Q301	Symbol (Box 1d): POSKX						
	1,565 665	05/29/12	11/20/14	$37,696.74	$23,297.10	$0 00	$14,399 64	$0 00
	712.754	07/12/12	11/20/14	$17,161.09	$10,555.89	$0.00	$6,605.20	$0.00
Security Subtotal	2,278.419			$54,857.83	$33,852.99	$0 00	$21,004.84	$0.00

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section Those transactions should be reported on Form 8949 Part II with box E checked)**(Continued)**

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SALLY BEAUTY HLDGS INC	CUSIP: 79546E104		Symbol (Box 1d): SBH					
	825 000	05/05/00	12/23/14	$25,791 15	$3,482 37	$0 00	$22,308 78	$0 00
	150 000	11/11/02	12/23/14	$4,689 30	$1,349 68	$0 00	$3,339 62	$0 00
	300.000	12/22/97	12/23/14	$9,378.60	$1,908.00	$0.00	$7,470.60	$0.00
Security Subtotal	**1,275.000**			**$39,859.05**	**$6,740.05**	**$0.00**	**$33,119.00**	**$0.00**
SPDR TRUST SERIES 1	CUSIP: 78462F103		Symbol (Box 1d): SPY					
	56.000	12/27/12	11/26/14	$11,619 34	$7,932.75	$0 00	$3,686 59	$0 00
	102 000	05/30/13	11/26/14	$21,163 80	$16,919 63	$0.00	$4,244.17	$0 00
	101 000	06/26/13	11/26/14	$20,956 31	$16,187 93	$0 00	$4,768 38	$0 00
	101 000	07/01/13	11/26/14	$20,956 31	$16,390 29	$0 00	$4,566 02	$0 00
	41.000	08/09/13	11/26/14	$8,507.02	$6,952.78	$0.00	$1,554.24	$0.00
Security Subtotal	**401.000**			**$83,202.78**	**$64,383.38**	**$0.00**	**$18,819.40**	**$0.00**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)(Continued)

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T ROWE PRICE NEW ASIA FUND	CUSIP: 77956H500			Symbol (Box 1d): PRASX				
	966 855	10/25/13	11/26/14	$17,326 03	$16,156.15	$0 00	$1,169 88	$0 00
Total Long Term Noncovered Securities				**$945,377.80**	**$657,220.51**	**$0.00**	**$269,999.23**	**$0.00**
Total Short and Long Term, Covered and Noncovered Securities				**$1,196,493.54**	**$898,165.58**	**$0.00**	**$280,169.90**	**$0.00**

Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities

Total IRS Reportable Proceeds (Box 1d)	**$1,196,493.54**			
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)		**$11,313.95**		
Total IRS Reportable Adjustments (Box 1g)			**$0.00**	
Total Fed Tax Withheld (Box 4)				**$0.00**

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Short-Term Covered

THE JOHN AND ANN BARNEY FAMILY
Account Number: V 05994-00-5

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT	CNUS 14042Y601		01/22/14 11/21/14	1,506.996	17,677.06	17,963 39		-286.33
DELAWARE EMERGING MARKETS-I	DEMI 245914817		02/19/13 02/04/14	380.805	5,727.31	5,677.80		49.51
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI 4812A0680		03/14/14 10/08/14	398.932	10,009.20	10,787.13		-777.93
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351		07/07/14 10/09/14	1,051.762	12,410.79	12,537.00		-126 21
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167		10/25/13 01/22/14	549.617	5,798.46	5,875 40		-76.94
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167		10/25/13 01/27/14	733 866	7,654.22	7,845.03		-190.81
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167		03/17/14 05/23/14	640.553	7,353.55	7,026.87		326 68
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167		03/17/14 07/11/14	340.113	3,805.86	3,731.04		74.82
T ROWE PRICE NEW ASIA	PRAS 77956H500		10/25/13 02/04/14	427.379	6,402.14	7,141.50		-739.36
T ROWE PRICE NEW ASIA	PRAS 77956H500		10/25/13 03/06/14	746 434	12,032.52	12,472.91		-440 39
Total Short-Term Covered					88,871.11	91,058.07		-2,186.96

Capital Gain and Loss Schedule

Short-Term Noncovered

THE JOHN AND ANN BARNEY FAMILY
Account Number: V 05994-00-5

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income. D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI 4812A0680		10/25/13 10/08/14	473.736	11,886.04	12,161.99		-275 95
Total Short-Term Noncovered					**11,886.04**	**12,161.99**		**-275.95**

Capital Gain and Loss Schedule

THE JOHN AND ANN BARNEY FAMILY
Account Number: V 05994-00-5

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT	CNUS 14042Y601		Various 11/21/14	2,991 827	35,094.13	33,645.01		1,449.12
DELAWARE EMERGING MARKETS-I	DEMI 245914817		05/29/12 02/04/14	429.551	6,460.44	5,176 09		1,284.35
INV BALANCED-RISK ALLOC-Y	ABRY 00141V697		07/12/12 01/08/14	1,462.762	17,333.73	18,460.05		-1,126.32
ISHARES MSCI EAFE INDEX FUND	EFA 464287465		11/19/12 06/05/14	173.000	12,031.01	9,167.50		2,863.51
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499		09/14/12 02/19/14	26 000	3,987.16	2,982.20		1,004.96
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167		05/29/12 01/22/14	539.178	5,688.33	5,440.30		248.03
PIMCO UNCONSTRAINED BOND-P	PUCP 72201M453		12/27/12 02/06/14	217 727	2,434.19	2,499.20		-65 01
PIMCO UNCONSTRAINED BOND-P	PUCP 72201M453		12/27/12 06/05/14	928.662	10,493.88	10,652.86		-158.98
SPDR S&P 500 ETF TRUST	SPY 78462F103		11/02/12 02/14/14	55 000	10,057 38	7,823.20		2,234.18
SPDR S&P 500 ETF TRUST	SPY 78462F103		Various 02/19/14	62.000	11,462.05	8,799.61		2,662.44
Total Long-Term Covered					115,042.30	104,646.02		10,396.28

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Long-Term Noncovered

THE JOHN AND ANN BARNEY FAMILY
Account Number: V 05994-00-5

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
BARC BEN BRENT 09/29/14 LNKD TO CO1 85% BARRIER- 5.47%CPN 15%CAP INITIAL LEVEL-09/13/13 .112.63	06741TK89		09/12/13 09/18/14	6,000.000	6,300.00	6,000.00		300.00
BNP BREN MXEA 02/20/14 10%BUFFER-2XLEV- 6.1%CAP 12 2%MAXRTRN INITIAL LEVEL-2/1/13:MXEA.1697.38	05574LEY0		02/01/13 02/20/14	12,000.000	13,464.00	12,000.00		1,464.00
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E:2594.56	2515A1LR0		09/14/12 03/19/14	10,000.000	11,580.53	10,000 00		1,580.53
DB REN MXEA 08/06/14 2 XLEV- 11.15%CAP- 22.30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA:1733.470	25152RDW0		07/19/13 08/06/14	15,000.000	18,345.00	15,000.00		3,345.00
DELL INC REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE DELL INC CLASS ACTION. DUE V05994005 THE JOHN AND ANN BARNEY FAMILY FUTURE	DELL 24702R101		00/00/00 10/30/14		79 78	.00		79.78
EDGEWOOD GROWTH FUND-INS	EGFI 0075W0759		01/04/11 05/27/14	1,187.781	22,959.81	13,516 95		9,442.86
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499		07/07/10 02/19/14	18.000	2,760.34	1,472.22		1,288.12

Capital Gain and Loss Schedule

Long-Term Noncovered

THE JOHN AND ANN BARNEY FAMILY
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Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
JPM BREN EAFE 01/10/14 10%BUFFER-2 XLEV- 7.65%CAP 15.3%MAXRTRN INITIAL LEVEL-12/21/12:SX5E:2651.09 UKX:5939.99 TPX:832.72	 48126DPZ6		12/21/12 01/10/14	12,000.000	13,836.00	12,000.00		1,836.00
JPM LARGE CAP GRWTH FD - SEL FUND 3118	SEEG 4812C0530		01/04/11 05/27/14	889.406	28,496.57	18,722 00		9,774.57
JPM REN MXEA 10/16/14 2 XLEV- 10%CAP- 20%MAXPYMT INITIAL LEVEL-09/27/13 MXEA·1835.850	 48126NUT2		09/27/13 10/16/14	15,000.000	14,591.04	15,000.00		-408.96
JPM SHORT DURATION BD FD - SEL FUND 3133	HLLV 4812C1330		08/28/09 02/06/14	508.525	5,548.01	5,507.33		40.68
JPM UNCONSTRAINED DEBT FD - SELECT FUND 2130	JSIS 48121A290		12/27/11 10/09/14	1,000.147	10,201.50	10,011.47		190.03
RIDGEWORTH SEIX FLOATING-I	SAMB 76628T678		05/25/11 02/06/14	440 999	3,999.86	3,968.99		30.87
RIDGEWORTH SEIX FLOATING-I	SAMB 76628T678		05/25/11 07/07/14	1,382 250	12,537.01	12,440.25		96 76
SG BRENT CBEN 3/24/14 LNKD TO CO1 80%BARRIER, 10.45% CPN,10.45% MAXRTN 3/8/13, INITIAL STRIKE·110.85	 78423EGB4		03/08/13 03/24/14	10,000.000	11,045.00	10,000 00		1,045.00
SG REN SPX 10/16/14 2 XLEV- 8%CAP- 16%MAXPYMT INITIAL LEVEL-09/27/13 SPX:1691 75	 83368WEU1		09/27/13 10/16/14	15,000.000	17,400.00	15,000.00		2,400.00
SPDR S&P 500 ETF TRUST	SPY 78462F103		Various 01/08/14	38.000	6,970.02	4,529.73		2,440.29

Capital Gain and Loss Schedule

THE JOHN AND ANN BARNEY FAMILY
Account Number: V 05994-00-5

Long-Term Noncovered

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** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
SPDR S&P 500 ETF TRUST	SPY 78462F103		11/19/10 02/06/14	44.000	7,799.95	5,254.91		2,545.04
SPDR S&P 500 ETF TRUST	SPY 78462F103		11/19/10 02/14/14	3.000	548.58	358.29		190.29
Total Long-Term Noncovered					208,463.00	170,782.14		37,680.86