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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury

Do not enter social security numbers on this form as it may be made public.

Internal Revenue Service Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. Open to Public Inspection For calendar year 2014 or tax year beginning and ending Name of foundation A Employer identification number SAWHNEY FAMILY FDN DIMA-ETF Number and street (or P O box number if mail is not delivered to street address) Room/suite 20-7161923 PO BOX 1501, NJ2-130-03-31 B Telephone number (see instructions) City or town ZIP code **PENNINGTON** NJ 08534-1501 609-274-6834 Foreign country name Foreign province/state/county Foreign postal code C If exemption application is pending, check here G Check all that apply Initial return initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2. Foreign organizations meeting the 85% test, Address change ☐ Name change check here and attach computation E If private foundation status was terminated under section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation Fair market value of all assets at J Accounting method X Cash Accrual F If the foundation is in a 60-month termination end of year (from Part II, col (c), Other (specify) under section 507(b)(1)(B), check here line 16) ▶ \$ (Part I, column (d) must be on cash basis) 875,132 Part I Analysis of Revenue and Expenses (The total of (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net for charitable amounts in columns (b), (c), and (d) may not necessarily expenses per ıncome income purposes equal the amounts in column (a) (see instructions)) books (cash basis only Contributions, gifts, grants, etc., received (attach schedule) 250,000 2 Check ▶☐ If the foundation is not required to attach Sch B Interest on savings and temporary cash investments 3 4 Dividends and interest from securities . 16,998 16,998 5a Gross rents . Net rental income or (loss) h 6a Net gain or (loss) from sale of assets not on line 10 96,446 Revenue Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) 96,446 > 44 (**)**(Net short-term capital gain Income modifications 10a Gross sales less returns and allowances Less Cost of goods sold Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 12 Total. Add lines 1 through 11 363 444 113,444 Compensation of officers, directors respect, etc 13 Expenses Other employee salaries and wages 14 15 Pension plans, employee benefits 16a Legal fees (attach schedule) Accounting fees (attach samedule), MA b 2,500 2,500 and Administrative Other professional fees (attach schedule С 5.266 3,160 2 106 17 Interest 18 Taxes (attach schedule) (see instructions 1,768 448 19 Depreciation (attach schedule) and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses (attach schedule) 35 35 Operating 24 Total operating and administrative expenses. Add lines 13 through 23 9,569 3,608 4.641 25 Contributions, gifts, grants paid . 105,700 105,700 26 Total expenses and disbursements. Add lines 24 and 25 115,269 3,608 O 110,341 27 Subtract line 26 from line 12 Excess of revenue over expenses and disbursements 248,175 Net investment income (if negative, enter -0-) 109,836

For Paperwork Reduction Act Notice, see instructions.

Adjusted net income (if negative, enter -0-)

Form 990-PF (2014)

Capital stock, trust principal, or current funds

Paid-in or capital surplus, or land, bldg., and equipment fund

Retained earnings, accumulated income, endowment, or other funds

Total net assets or fund balances (see instructions)

Total liabilities and net assets/fund balances (see

Assets 28

Net.

29

30

31

instructions) 806,966 557.558 Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . 557,558 2 Enter amount from Part I, line 27a . 2 248,175 3 Other increases not included in line 2 (itemize) ► See Attached Statement 3 1,233 4 Add lines 1, 2, and 3 . 4 806,966 5 Decreases not included in line 2 (itemize) ▶ 5 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . 806,966

557,558

557,558

806.966

Form 990-PF (2014)

Form 990-PF (2014) SAWHNEY FAMILY FDN DIMA-ETF 20-7161923 Page 3 Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold (e.g., real estate, (c) Date acquired (d) Date sold P—Purchase 2-story brick warehouse, or common stock, 200 shs MLC Co) (mo, day, yr) (mo . dav. vr) -Donation 1a See Attached Statement See Attached Statement See Attached Statement d See Attached Statement See Attached Statement e (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) а 0 ь 0 C 0 d 0 е 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col (h) gain minus (j) Adjusted basis col (k), but not less than -0-) or (k) Excess of col (i) (i) F M V as of 12/31/69 Losses (from col (h)) as of 12/31/69 over col (j), if any а 0 b 0 C 0 d 0 е 0 If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) If (loss), enter -0- in Part I, line 7 96,446 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) 3 If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part Enter the appropriate amount in each column for each year, see the instructions before making any entries (a) (d) (b) Base period years Distribution ratio Adjusted qualifying distributions Net value of noncharitable-use assets Calendar year (or tax year beginning in) (col (b) divided by col (c)) 2013 53,791 656,334 0.081957 2012 160,927 692,721 0 232311 2011 69.484 744,455 0 093335 2010 51,187 700,572 0 073065 2009 57,793 643,583 0 089799 Total of line 1, column (d) . . . 2 0 570467 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0 114093 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 4 697,887 Multiply line 4 by line 3 5 79,624 Enter 1% of net investment income (1% of Part I, line 27b) . 6 1,098 Add lines 5 and 6 7 80.722 Enter qualifying distributions from Part XII, line 4 110,341 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

| FOITH 98 | SAVHINET FAMILET FUN DIMA-ETF | 20 | <i>)-1</i> 16192 | 3 | Page 4 | | | |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------------------------|-----------------|--------------------------------------------------|--|--|--|
| Part | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see ins | stru | ctions) | | | | | |
| 1a | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1 | | > | | <u>}</u> , | | | |
| b | Date of ruling or determination letter (attach copy of letter if necessary—see instructions) Domestic foundations that meet the section 4940(e) requirements in Part V, check | 1 | <u> </u> | 1,098 | 3 | | | |
| | here ► 🗓 and enter 1% of Part I, line 27b | प्रदेशप | 48,400,80 | 7,000 | 138 | | | |
| С | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | | | <u> </u> | | | |
| 3 | Add lines 1 and 2 | 3 | | 1,098 | 3 | | | |
| 4 5 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | | 4 000 | | | | |
| 6 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-Credits/Payments | 5 | | 1,098 | S) - % | | | |
| а | 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 790 | : | 1 3 | | * | | | |
| b | | ίχ. | > 20 | / | :[, , , | | | |
| С | Tax paid with application for extension of time to file (Form 8868) 6c | . 54. · | | (a. 1) | 3 | | | |
| d | Backup withholding erroneously withheld | | la i | \$ | | | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | | 790 | | | | |
| 8 | Enter any penalty for underpayment of estimated tax. Check here uf Form 2220 is attached | 8 | | | <u> </u> | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | 308 | 3 | | | |
| 10 11 | | 10 11 | | |) | | | |
| | VII-A Statements Regarding Activities | | | C | <u>/ </u> | | | |
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did | ıt | 7.3% | Yes | No | | | |
| | participate or intervene in any political campaign? | | 1a | 1.00 | X | | | |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see | | | | | | | |
| | Instructions for the definition)? | | 1b | | X | | | |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any mate | nals | | | | | | |
| | published or distributed by the foundation in connection with the activities | | | للتنا | ثغذل | | | |
| C | Did the foundation file Form 1120-POL for this year? | | 1c | , | X | | | |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶\$ (2) On foundation managers ▶\$ | | | | | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax impo | | | | | | | |
| • | on foundation managers >\$ | JSEU | | | | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | | 2 | 3 183.150.0 | X | | | |
| | If "Yes," attach a detailed description of the activities | | | | 82 | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles | | | | | | | |
| _ | of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | 3 | - | X | | | |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | <u>4a</u> | 1 | X | | | |
| b 5 | If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | 4b | N/A | T | | | |
| • | If "Yes," attach the statement required by General Instruction T | | ; <u>3</u> | | 24 3 | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either | | | **** | | | | |
| | By language in the governing instrument, or | | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ | | | | | |
| | • By state legislation that effectively amends the governing instrument so that no mandatory directions to | hat | 3 | , i | | | | |
| | conflict with the state law remain in the governing instrument? | | 6 | Х | | | | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | | . 7 | X | | | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | | | | |
| h | MA If the answer is "Yes" to line 7, has the foundation furnished a convert Form 200 DE to the Attached Con- | | | | | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney Gen (or designate) of each state as required by <i>General Instruction G? If "No," attach explanation</i> | ıerai | 8b | X | | | | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j) | (3) | 80 | ^- | | | | |
| - | or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV) | | | 1 | | | | |
| | "Yes," complete Part XIV | • | 9 | 1 | X | | | |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing names and addresses | thei | | | | | | |

| rom 9 | 90-PF (2014) SAWHINET FAMILY FON DIMA-ETF 20-7 | 161923 | F | Page 5 |
|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------|---------------|
| Par | t VII-A Statements Regarding Activities (continued) | | | |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the | | | |
| | meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | Х |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified | | | |
| | person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | Х |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . | _13 | Х | |
| | Website address ► N/A | | . | |
| 14 | The books are in care of ▶ U.S. Trust, a Division of Bank of America, N.A. Telephone no ▶ 609-27 | 4-6834 | | |
| | Located at ► 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ► 08534 | 1501 | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here, | | | ▶ □ |
| | and enter the amount of tax-exempt interest received or accrued during the year . ▶ 15 | | | |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority | | Yes | No |
| | over a bank, securities, or other financial account in a foreign country? | 16 | Ĺ | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1). If | 1,354 | ; , å, | 41 39 |
| | "Yes," enter the name of the foreign country | 18.38 | r , ?\$ | |
| Par | t VII-B Statements Regarding Activities for Which Form 4720 May Be Required | 15.43.93 N | | |
| _ | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | 8. 528 888 6. July 2 | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | · Nigg | N to | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a | 130 | | |
| | disqualified person? | 13,350,030,4 | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No. (5) Transfer any income or assets to a disqualified person (or make any of either available for | | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | 3 30 |
| | the benefit or use of a disqualified person)? | | 27 | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the | | | |
| | foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days.) | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in | 0033 | 4,50 | |
| _ | Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | 1/2/20 |
| | Organizations relying on a current notice regarding disaster assistance check here | 2737 | 漢意 | 648 3 |
| С | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that | 11.12 | · 🚵 🛧 | |
| | were not corrected before the first day of the tax year beginning in 2014? | 1c | 5.3000.46c.) | X.4.21.0 |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | 3 | 74.8 | |
| | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| а | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and | 12.3 | \$ (%) } | |
| | 6e, Part XIII) for tax year(s) beginning before 2014? | žills. | 135 | |
| | If "Yes," list the years 20, 20, 20, 20 | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | | |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to | عَنْنَهُ ا | . *, | |
| | all years listed, answer "No" and attach statement—see instructions) | 2b | N/A | |
| С | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | | 9.2 |
| | ▶ 20 , 20 , 20 | 24.5 | , | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise | | , | 5 × × |
| | at any time during the year? | | | . 1 |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or | ' | | |
| | disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the | | | |
| | Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse | | | |
| | of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the | | | |
| | foundation had excess business holdings in 2014) | 3b | N/A | ļ |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | | | ļ |
| | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | | Х |

| Form 99 | 0-PF (2014) SAWHNEY FAMILY FDN DIMA | -ETF | | | | | | 20-71 | 61923 | F | age 6 |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------------------------------------|--------------|--------------------------------------|-----------|------------------------------------------------|---------------------|----------------|-------------------|-------|
| Part | VII-B Statements Regarding Activities f | or Wh | ich Form 47 | 720 Ma | y Be Req | uired (| continue | d) | | | |
| 5a | During the year did the foundation pay or incur an (1) Carry on propaganda, or otherwise attempt to | y amou influen | int to ce legislation | (sectio | on 4945(e)) | ? . | ☐ Yes | ⊠ No | | | |
| | (2) Influence the outcome of any specific public el directly or indirectly, any voter registration driv | lection e? | (see section | 4955), | or to carry o | on, | ☐ Yes | X No | | | |
| | (3) Provide a grant to an individual for travel, stud | | her sımılar pu | ırposes | ? | | Yes | ⊠ No | | | |
| | (4) Provide a grant to an organization other than a section 4945(d)(4)(A)? (see instructions) | a charit | able, etc , orç | ganızatı | on describe | ed in | ☐ Yes | ⊠ No | Seiĝ× | : | |
| | (5) Provide for any purpose other than religious, of purposes, or for the prevention of cruelty to ch | haritab iildren o | lle, scientific, or animals? | literary | , or educati | onal | ☐ Yes | ⊠ No | | * | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the Regulations section 53 4945 or in a current notice | | | | | | | bed in | 5b | N/A | |
| С | Organizations relying on a current notice regarding if the answer is "Yes" to question 5a(4), does the because it maintained expenditure responsibility f | q dısas founda | iter assistanc tion claim exc | e chec | k here n from the ta | | Yes | ► □ No | | | |
| _ | If "Yes," attach the statement required by Regulat | | | | | | | | ٠., | | |
| 6a | | | | | | | | | | | |
| b | on a personal benefit contract? | | | | | | | | 6b | | |
| 7a | If "Yes" to 6b, file Form 8870 | | | | | | | 1,69)÷ | | | |
| b | If "Yes," did the foundation receive any proceeds | or have | any net inco | me att | ributable to | the tra | | | 7b | N/A | |
| Part | VIII Information About Officers, Directo | ors, Tr | ustees, Fo | undati | on Manag | jers, H | lighly Pa | aid Em | ploye | es, | |
| | and Contractors | | | | | | | | | | |
| 1 | List all officers, directors, trustees, foundation | mana | gers and the e, and average | | mpensation | | istruction Contribution | | | | |
| | (a) Name and address | hou | e, and average rs per week ed to position | ` (lf ı | mpensation not paid, nter -0-) | emple | contribution ovee benefit erred compe | plans | (e) Expe | nse ac allowan | |
| | PREET SAWHNEY TER LANE LEXINGTON, MA 02420-1847 | TRUS | TEE 3 00 | | 0 | | | | | | |
| | IKA SAWHNEY TER LANE LEXINGTON, MA 02420 | TRUS | TEE 3 00 | | 0 | | | | | | |
| <u> </u> | TEX BITTE EEXITIOT ON, WIN 02420 | | 3 00 | _ | - 0 | | | | | | |
| | | | | | | | | | | | |
| | | | ; | | | | | | | | |
| 2 | Compensation of five highest-paid employees "NONE." | (other | than those | include | ed on line 1 | l—see | instructi | ons). If | none, | enter | |
| | (a) Name and address of each employee paid more than \$50,00 | 0 | (b) Title, and a hours per v devoted to pe | veek | (c) Comper | nsation | (d) Contrib employee plans and compen | benefit deferred | (e) Expe | nse ac | |
| NONE | | | | | | | • | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | • | | | | | | | | | |
| | | | | | | | | | | | |
| Total | number of other employees paid over \$50,000 . | | | | · | | | • | | | 0 |
| | | | | | - | | - | - | om 99 (|)-PF | |

| Form | 990-PF (2014) SAWHNEY FAMILY FDN DIMA-ETF 20-71 | 61923 Page 7 |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| Pa | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Er and Contractors (continued) | |
| 3 | Five highest-paid independent contractors for professional services (see instructions). If none, enter " | NONE." |
| | (a) Name and address of each person paid more than \$50,000 (b) Type of service | (c) Compensation |
| NOI | NE | |
| | | |
| | | |
| | | |
| | | |
| Tota | al number of others receiving over \$50,000 for professional services | |
| P | art IX-A Summary of Direct Charitable Activities | |
| Li | st the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of ganizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
| 1 | NONE | |
| | | |
| 2 | | |
| _ | | |
| | | |
| 3 | | |
| | | • |
| | | |
| 4 | , | |
| | | |
| Pa | art IX-B Summary of Program-Related Investments (see instructions) | 1 |
| D | escribe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
| 1 | NONE | |
| | | |
| 2 | | |
| _ | | |
| | | |
| Al | other program-related investments See instructions | |
| 3 | NONE | |
| | | |
| Tot | al. Add lines 1 through 2 | 1 |
| 100 | al. Add lines 1 through 3 | 0 |

Form **990-PF** (2014)

| | 90-PF (2014) SAVINIET FAMILIT FON DIMA-ETF | 20-7 10 192 | S Page 6 |
|------|-----------------------------------------------------------------------------------------------------------|--------------------|----------|
| Part | ; | Foreign foundation | s, |
| | see instructions) | | |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes: | | |
| а | Average monthly fair market value of securities | . 1a | 682,581 |
| b | Average of monthly cash balances | . 1b | 25,934 |
| С | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 708,515 |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 708,515 |
| 4 | Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see | | |
| | instructions) | 4 | 10,628 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, I | line 4 5 | 697,887 |
| 6 | Minimum investment return. Enter 5% of line 5 | . 6 | 34,894 |
| Part | XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating | | |
| | foundations and certain foreign organizations check here and do not complete this | | |
| 1 | Minimum investment return from Part X, line 6 | . 1 | 34,894 |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 1,098 | |
| b | Income tax for 2014 (This does not include the tax from Part VI.) | | |
| С | Add lines 2a and 2b | . 2c | 1,098 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 33,796 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | . 5 | 33,796 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, | | |
| | line 1 | 7 | 33,796 |
| | | | |
| Par | XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| а | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | . 1a | 110,341 |
| b | Program-related investments—total from Part IX-B | . 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes | . 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the. | | |
| а | Suitability test (prior IRS approval required) | . 3a | |
| b | Cash distribution test (attach the required schedule) | . 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 110,341 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment inc | | |
| - | Enter 1% of Part I, line 27b (see instructions) | . 5 | 1,098 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 109,243 |
| - | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calcul | · <u></u> | |
| | qualifies for the section 4940(e) reduction of tax in those years | | |
| | | | |

c Excess from 2012

d Excess from 2013

e Excess from 2014

126,811

21,764

76,545

| Form 9 | 90-PF (2014) SAWHNEY FAMILY F | DN DIMA-ETF | | | 20-716 | 1923 Page 10 |
|--------|------------------------------------------------------------------------------|---------------------|-------------------------|--------------------------------------------------|-----------------------|---------------------|
| Part | | | tions and Part V | II-A. guestion 9) | | N/A |
| | | | | | | |
| | foundation, and the ruling is effective for | | • | ▶ | | |
| b | Check box to indicate whether the foundation | | | cribed in section | 4942(j)(3) | or 4942(j)(5) |
| 2a | | Tax year | | Prior 3 years | | |
| | income from Part I or the minimum | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| | investment return from Part X for each year listed | \. / . = : | (*, | (=/, == := | | 0 |
| b | 85% of line 2a | | | | | 0 |
| c | Qualifying distributions from Part XII, | | | | | <u>_</u> |
| · | line 4 for each year listed | | | | | 0 |
| 4 | Amounts included in line 2c not used directly | | | | - 1 | |
| đ | for active conduct of exempt activities . | | | | | 0 |
| _ | • | | | | | <u> </u> |
| е | Qualifying distributions made directly | | | | | |
| | for active conduct of exempt activities | | | | | • |
| _ | Subtract line 2d from line 2c | | | | | 0 |
| 3 | Complete 3a, b, or c for the | | 1 | | | |
| | alternative test relied upon: | | | | | |
| а | "Assets" alternative test—enter | | | | 1 | |
| | (1) Value of all assets | | - | | | 0 |
| | (2) Value of assets qualifying under | | | | | |
| h | section 4942(j)(3)(B)(i) . | | | | | 0 |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in | | | | | |
| | Part X, line 6 for each year listed | | | | | 0 |
| С | "Support" alternative test—enter | | İ | | | |
| | (1) Total support other than gross | | 1 | | | |
| | investment income (interest, | | | | | |
| | dividends, rents, payments on securities loans (section | | | 1 | | |
| | 512(a)(5)), or rovalties) | | | | | 0 |
| | (2) Support from general public | | | | | |
| | and 5 or more exempt organizations as provided in | | | | | |
| | section 4942(j)(3)(B)(iii) . | | | | | 0 |
| | (3) Largest amount of support from | | | | | |
| | an exempt organization | | | | | 0 |
| | (4) Gross investment income | | | | | 0 |
| Part | | (Complete th | is part only if th | e foundation had | \$5,000 or more | in assets at |
| | any time during the year— | | | | • | |
| 1 | Information Regarding Foundation I | | | | | |
| а | List any managers of the foundation will | no have contribut | ted more than 2% o | of the total contribut | ions received by th | e foundation |
| | before the close of any tax year (but or | nly if they have co | ontributed more tha | an \$5,000) (See sed | ction 507(d)(2) | |
| AMAI | RPREET SAWHNEY DEEPIKA | SAWHNEY | | | | |
| | List any managers of the foundation w | • | ore of the stock of | a corporation (or ar | n equally large port | on of the |
| | ownership of a partnership or other en | | | • | | |
| NON | • | , | | 3 | | |
| 2 | Information Regarding Contribution | . Grant. Gift. Loa | an. Scholarship, e | tc. Programs: | | |
| _ | Check here ► 🛛 If the foundation or | | | | izations and does r | not accent |
| | unsolicited requests for funds If the for | | | | | |
| | under other conditions, complete items | | , , , | , | J | |
| | The name, address, and telephone nu | | dress of the nerso | n to whom applicati | ons should be add | ressed |
| u | The hame, address, and telephone ha | Tibel of e-mail ac | duress of the perso | ii to whom applicati | ons should be add | C33Cu |
| | | | | | | |
| | The form in which applications should | he cubmitted and | Linformation and m | natorials they should | d include: | |
| ט | The form in which applications should | ne audinitieu and | i iliioittiation and ff | iateriais triey snould | include. | |
| | | | | | | |
| | Any submission deadlines: | - | | | | |
| · | rary submission deadines. | | | | | |
| | Any restrictions or limitations on award | ls such as hy ge | ographical areas | haritable fields, kind | ds of institutions or | other |
| - | factors | ,, go | - gpai ai 0a0, c | Holde, Milk | | |

Form 990-PF (2014)

SAWHNEY FAMILY FDN DIMA-ETF

20-7161923

Page **11**

| Part XV Supplementary Information (conti | nued) | | <u> </u> | | |
|------------------------------------------------------|--------------------------------------------------------------------------------|----------------------|-------------------------------------|------|---------|
| 3 Grants and Contributions Paid During | the Year or Approve | ed for Fut | ure Payment | | |
| Recipient | If recipient is an individual, show any relationship to any foundation manager | Foundation status of | Purpose of grant or contribution | | Amount |
| Name and address (home or business) | or substantial contributor | recipient | Contribution | | |
| a Paid during the year See Attached Statement Total | or substantial contributor | | | | |
| b Approved for future payment | | · · · | · · · · · · | ▶ 3a | 105,700 |
| NONE | | | | | |
| Total | | 1 | • | ▶ 3b | (|
| | <u></u> | | | | |

Form 990-PF (2014)

SAWHNEY FAMILY FDN DIMA-ETF

20-7161923 Page **12**

| Pai | t XVI-A Analysis of Income-Producing Act | ivities | | | | |
|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------|-----------------------|----------------------|----------------------------------------------------------|
| | r gross amounts unless otherwise indicated | | isiness income | Excluded by secti | on 512, 513, or 514 | 4-1 |
| 1 | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See instructions) |
| | a | | | | | |
| | b | | | | | |
| | c | | | | | |
| | d | | | | | |
| | e | | 3 | | | |
| | f | | | | | |
| | g Fees and contracts from government agencies | | | | | |
| 2 | Membership dues and assessments . | | <u> </u> | | | |
| 3 | Interest on savings and temporary cash investments | | | | | |
| 4 | Dividends and interest from securities . | | | 14 | 16,998 | |
| 5 | Net rental income or (loss) from real estate | *** | 2 . * * * * * * * | 25 E. 1. 18 W | ie i drandicati | |
| | a Debt-financed property | | | | | |
| _ | b Not debt-financed property . | | | | | <u> </u> |
| 6 | Net rental income or (loss) from personal property | | | | | |
| 7 | Other investment income | | | | | |
| 8 | Gain or (loss) from sales of assets other than inventory | | | 18 | 96,446 | |
| 9 | Net income or (loss) from special events . | | | | | |
| 10 | Gross profit or (loss) from sales of inventory | | | | | |
| 11 | Other revenue a | | | | | |
| | b | | | | | |
| | d | | | | | <u></u> |
| | d | | | | | |
| 12 | | | | , | 113,444 | 0 |
| | | | <u> </u> | | 13 | 113,444 |
| 13 | Lotal Add line 12 columns (b) (d) and (e) | | | | | |
| | | | • | • | | 110,414 |
| (Se | e worksheet in line 13 instructions to verify calculations | s.) | | | | |
| (See | e worksheet in line 13 instructions to verify calculations rt XVI-B Relationship of Activities to the Ac | s.) ccomplishm | ent of Exempt | Purposes | | |
| (Sec Pa Lin | e worksheet in line 13 instructions to verify calculations rt XVI-B Relationship of Activities to the | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activity for which income | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |
| (Sec Pa Lin | rt XVI-B Relationship of Activities to the Activities to the Activities to the Activities to the Activity for which income accomplishment of the foundation's exempt purp | s.) ccomplishm e is reported in c | ent of Exempt | t Purposes | d importantly to the | ons) |

Form 990-PF (2014) SAWHNEY FAMILY FDN DIMA-ETF

| Part | XVII | Information Exempt Org | | sfers To and Trans | sactions | and Re | lationship | s With N | oncharitabl | е | <u></u> |
|----------|-----------------|-----------------------------------------------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------------|--------------------------|---------------------------|-------------------------------|-----------------|-----------------------------------------|-------------------|---------|
| | ın sec organ | e organization d tion 501(c) of the izations? | lirectly or indirectly e Code (other than | engage in any of the f section 501(c)(3) org | anızatıon | s) or in se | ction 527, re | | | Yes | No |
| | (1) Cash | | | | | | | | | 1) | X |
| b | Other (1) Sa | transactions: les of assets to | a noncharitable ex | | | | | | 1a(1b) | a cu | X |
| | (3) Re | | equipment, or other | | ition . | | | | 1b(. 1b(| 3) | X X |
| | (6) Pe | ans or loan guar erformance of se | rvices or members | hip or fundraising soli sts, other assets, or p | citations | ovees | | | . 1b(| 6) | X X |
| d | If the a | enswer to any of the of the goods, othe | he above is "Yes," co er assets, or services | mplete the following sch given by the reporting fo ent, show in column (d) | nedule. Co oundation | lumn (b) s If the foun | hould always dation receiv | show the fa | air market fair market | | |
| (a) Line | | b) Amount involved | | charitable exempt organizat | | | | | ns, and sharing | arrangem | ents |
| | 1 | | INOT Applicable | | | | | | | | |
| | | | | - | | | | | | | |
| | | | | | | | | | | | |
| | - | | | | | | | | | | |
| | | | | | | | | | | | |
| | _ | | | | | | | | | | |
| | | | <u></u> | | | | . | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | , | | | | | | | |
| | descr | bed in section 5 | I ctly or indirectly affil 501(c) of the Code (following schedule | lated with, or related other than section 50 | to, one or 1(c)(3)) o | more tax | -exempt org n 527? | janizations | . \[Yes | s 🛛 I | No |
| | | (a) Name of organ | nization | (b) Type of ar | rganızatıon | | | (c) Descripti | on of relationship | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | Under | penalties of penury I dec | lare that I have examined this | return, including accompanying so | thedules and s | latements and | to the best of my l | nowledge and h | elief it is true | | |
| Sign | correct | , and complete Declarate | on i preverer other than taxp | payer) is based on all information of | of which prepa | rer has any kno | wiedge | anomicago ana b | May the IRS disc | ss this ret | urn |
| Here | Sign | ature of officer or try | stee stee | 5/12/1 | Title | TRU | stee | | with the preparer (see instructions) | sh <u>own</u> bel | ow_ |
| Paid | <u> </u> | Print/Type preparer | | Preparer's signature | | | Date | Char | k 🛛 ıf PTIN | | |
| Prep | arer | DONALD J ROM | MAN | D6787 | | | 5/6/20 | | | 364310 | 1 |
| Use | | | PricewaterhouseC | | | | | Firm's EIN | | | |
| | | Firm's address 🕨 | 600 GRANT STRE | ET, PITTSBURGH, F | 'A 15219 | | | Phone no | 412-355-6 Form 9 | | (2014) |

20-7161923

Page 13

| SAWHNEY FAMILY FDN DIMA- | ETF | | | <u> 20-71</u> | 61923 Page_ | <u>1 of 3</u> |
|------------------------------------|----------------------------------------|--------|-------------|----------------|---------------------------------------|---------------|
| Continuation of Part XV, L | ine 3a (990-PF) - | Gran | ts and Cont | ributions Pai | d During th | e Year |
| Recipient(s) paid during the year | | | | | | |
| Name | | | | | | |
| INTERNATIONAL INSTITUTE OF B | OCTON INC | | | | | |
| Street | OSTON, INC | | | | | |
| ONE MILK STREET | | | | | | |
| City | ··· | State | Zip Code | Foreign Cou | ntn. | |
| BOSTON | | MA | 02109 | li oreign cour | iiu y | |
| Relationship | Foundation Status | | 102 100 | | _ | |
| NONE | PC | | | | | |
| Purpose of grant/contribution | | | ·· | | Amount | |
| GENERAL OPERATING | | | | | 1 | 500 |
| | | | | | | |
| Name | | | | | | |
| AMERICAN INDIA FOUNDATION | · · · · · · · · · · · · · · · · · · · | | | | | |
| Street | | | | | | |
| 216 E 45TH ST, FL 7 City | | Chada | 7:- Codo | Tarrier Cour | · · · · · · · · · · · · · · · · · · · | |
| NEW YORK | | | Zip Code | Foreign Cou | nuy | |
| Relationship | Foundation Status | NY | 10017-3304 | | | |
| NONE | PC PC |) | | | | |
| Purpose of grant/contribution | <u>IFO</u> | | | | Amount | |
| GENERAL OPERATING | | | | | Amount | 10,000 |
| | | | · | | | 10,000 |
| Name | | | | | | |
| DESAI FAMILY FOUNDATION | | | | | <u> </u> | |
| Street | | | | | | |
| 128 WHEELER ROAD | | | | | | |
| City | | | Zip Code | Foreign Cou | ntry | |
| BURLINGTON | | MA | 01803-5197 | | | |
| Relationship | Foundation Status | • | | | | |
| NONE | PC | | | | | |
| Purpose of grant/contribution | | | | | Amount | 5 000 |
| GENERAL OPERATING | ······································ | | <u> </u> | | | 5,000 |
| Name | | | | | | |
| INDIA LITERACY PROJECT | | | | | | |
| Street | | | | | | |
| 1650 WESTMOOR RD | | | | | - | |
| City | | State | Zip Code | Foreign Cou | ntry | |
| BURLINGAME | | CA | 94010-3363 | | | |
| Relationship | Foundation Status | 3 | | | | |
| NONE | IPC | | | | | |
| Purpose of grant/contribution | | | | | Amount | |
| GENERAL OPERATING | | | | | | 500 |
| Name | | | | | | |
| NEW ENGLAND SIKH STUDY CIRC | CLEINC | | | | | |
| Street | | | | | | |
| 114 LARCHMONT LN | | | | | | |
| City | | State | Zip Code | Foreign Cou | ntrv | |
| HANOVER | | MA | 02339-1917 | 1 | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | | | |
| Purpose of grant/contribution | | | | | Amount | |
| GENERAL OPERATING | | | | | | 27,500 |
| | | | | | | |
| Name | | | | | | |
| SHADY HILL SCHOOL | | | | | | |
| Street | | | | | | |
| 178 COLLIDGE HI | | 1 04-4 | 7:- 0 | Farris | | |
| CAMPRIDGE | | State | | Foreign Cou | ntry | |
| CAMBRIDGERelationship | Foundation Status | l MA | 02138-5520 | | | |
| - | PC | • | | | | |
| NONE Purpose of grant/contribution | JFC | | | | Amount | |
| GENERAL OPERATING | | | | | Cinodit | 5,000 |
| | | | | | 1 | 5,000 |

| SAWHNEY FAMILY FON DIMA-ET | <u> </u> | | | 20-716 | 31923 Page | 2 of 3 |
|----------------------------------------------------|-------------------|-------------|------------------------|---------------------------------------|------------|-------------|
| Continuation of Part XV, Lin | e 3a (990-PF) - | Gran | ts and Cont | ributions Paid | | |
| Recipient(s) paid during the year | | | | | | |
| Name LUKEMIA & LYMPHOMA SOCIETY, IN Street | С | | | | | |
| 1972 INNERBELT BUSINESS CTR DR | IIVE | | | | | |
| City | | State | Zip Code | Foreign Coun | itry | |
| ST LOUIS | <u> </u> | MO | 63114 | | | |
| Relationship NONE | Foundation Status | | | | | |
| Purpose of grant/contribution | ĮPC | | | | Amount | |
| GENERAL OPERATING | | | | | Amount | 250 |
| Name PAM MASS CHALLENGE, JIMMY FUN | D | | | | | |
| Street | | | | | ···· | |
| 77 4TH AVENUE | | | T | | | |
| City | | | Zip Code | Foreign Coun | itry | |
| NEEDHAM Relationship | Foundation Status | MA | 02494 | | | · |
| NONE | PC | | | | | |
| Purpose of grant/contribution GENERAL OPERATING | | | | | Amount | 750 |
| Name | | | | | | |
| SIKH RESEARCH INSTITUTE SRI | | | | | | |
| Street | | | • | | | |
| PO BOX 6317 | | | I= | | | |
| City BRIDGEWATER | | State NJ | Zip Code 08807-0317 | Foreign Cour | ıtry | |
| Relationship | Foundation Status | | | • | | |
| NONE | PC | | | | 12 | |
| Purpose of grant/contribution GENERAL OPERATING | | | | | Amount | 5,000 |
| Name | | | | | | |
| ALZHEIMER'S DISEASE AND RELATE | D DISORDERS ASS | OCIATI | ON NORTHEAS | TERN NEW YORK | CHAPTER | |
| A DINE WEST DI AZA CHITE 405 | | | | | | |
| 4 PINE WEST PLAZA, SUITE 405 City | - " | State | Zip Code | Foreign Cour | ntm. | |
| ALBANY | | NY | 12205 | l oreign cour | itiy | |
| Relationship | Foundation Status | | 1 | · · · · · · · · · · · · · · · · · · · | | |
| NONE | PC | | _ | | | |
| Purpose of grant/contribution | | | | | Amount | |
| GENERAL OPERATING | | | | | | 200 |
| Name | | | | | | |
| THE POSSIBLE PROJECT, INC | | | | | | |
| Street 955 MASSACHUSETTS AVENUE, 3RE | N EL OOB | | | | | |
| City | FLOOR | State | Zip Code | Foreign Cour | ntrv | |
| CAMBRIDGE | | MA | 02139 | i orongin oour | · y | |
| Relationship | Foundation Status | | <u> </u> | • | | |
| NONE | PC | | | | | |
| Purpose of grant/contribution GENERAL OPERATING | | | | | Amount | 2,500 |
| Name PERKINS SCHOOL FOR THE BLIND | | | | | | |
| Street 175 NORTH BEACON STREET | | | · | | | |
| City | ···· | State | Zip Code | Foreign Cour | ntrv | |
| WATERTOWN | | MA | 02472 | | <i>J</i> | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | · | - TA " | |
| Purpose of grant/contribution GENERAL OPERATING | | | | | Amount | 6.000 |

| SAWHNEY FAMILY FDN DIMA-ET | <u> </u> | | | 20-716 | 1923 Page | 3 of 3 |
|------------------------------------------------------|-------------------|-------|-------------|----------------|-----------|---------------------------------------|
| Continuation of Part XV, Lir | ie 3a (990-PF) - | Gran | ts and Cont | ributions Paid | During th | ne Year |
| Recipient(s) paid during the year | | | | | | |
| Name UNITED SIKH MISSION | | | | | | |
| Street | | | | | | |
| 11123 BANANA AVE | | State | Zip Code | Foreign Coun | ıtnı. | |
| FONTANA | | CA | 92337-7007 | Poreign Cour | itry | |
| Relationship | Foundation Status | | 102007 7007 | | | · · · · · · · · · · · · · · · · · · · |
| NONE | PC | | | v | | |
| Purpose of grant/contribution GENERAL OPERATING | | | | | Amount | 2,500 |
| Name SIKHNET, INC | | | | | | |
| Street | | | | <u></u> | | |
| 1 A RAM DAS GURU PL | | | | | | |
| City | | State | Zip Code | Foreign Cour | itry | |
| ESPANOLA | | NM | 87532 | | | |
| Relationship NONE | Foundation Status | | | | | |
| Purpose of grant/contribution | IPC | | | | Amount | |
| GENERAL OPERATING | | | | | Amount | 2,000 |
| Name | | | | | | |
| SEWA INTERNATIONAL INC Street | | | | | | |
| 4510 COLONY HILLS DR | | | | | | |
| City | | State | Zip Code | Foreign Cour | ntry | |
| SUĞAR LAND | | TX | 77479-3219 | | | |
| Relationship | Foundation Status | | | - | | |
| NONE Purpose of grant/contribution GENERAL OPERATING | IPC | | | | Amount | 3,000 |
| | | | | | <u> </u> | 3,000 |
| Name | 0015TV 05 H04 ING | | | | | |
| SIKH RELIGIOUS & EDUCATIONAL S Street | OCIETY OF USA INC | ; | ···· | | | |
| PO BOX 956 | | | | | | |
| City | | State | Zip Code | Foreign Cour | ntry | |
| ISLAND LAKE | | IL. | 60042-0956 | | | |
| Relationship | Foundation Status | | · <u></u> | | | |
| NONE Purpose of grant/contribution | IPC | | | | Amount | · · · |
| GENERAL OPERATING | | | | | Amount | 10,000 |
| | | | | | <u> </u> | 10,000 |
| Name SIKH RESEARCH INSTITUTE SRI | | | | | | |
| Street | | | | | | |
| 7800 IH 10 WEST 105 | | | | | | |
| City | | State | Zip Code | Foreign Cour | ntry | |
| SAN ANTONIO | | TX | 78230-4768 | | | |
| Relationship | Foundation Status | | | | | |
| NONE Purpose of grant/contribution | IPC | | | | Amount | |
| GENERAL OPERATING | | | | | Amount | 25,000 |
| Name | | | | | | |
| Street | · · · · · | - | | - 77. | | |
| City | | State | Zip Code | Foreign Cour | ntrv | |
| | | | | | y | |
| Relationship | Foundation Status | | | | | |
| Purpose of grant/contribution | | - | | | Amount | |

Schedule B

(Form 990, 990-EZ, or 990-PF)

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2014

Department of the Treasury Internal Revenue Service Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization **Employer identification number** SAWHNEY FAMILY FDN DIMA-ETF 20-7161923 Organization type (check one) Filers of: Section: 501(c)(Form 990 or 990-EZ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions General Rule X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 331/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year **▶** \$_____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

| Name of or SAWHNE | ganization / FAMILY FDN DIMA-ETF | | Employer identification number 20-7161923 |
|----------------------|----------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------------------------------------------|
| Part I | Contributors (see instructions) Use duplicate copie | s of Part I if additional space i | s needed. |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | AMARPREET SAWHNEY 6 PORTER LANE LEXINGTON MA 02420 Foreign State or Province Foreign Country | \$ 250,000 | Person X Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |

Page 3

| Name of or SAWHNEY | ganization / FAMILY FDN DIMA-ETF | | Employer identification number 20-7161923 |
|---------------------------|-------------------------------------------------------|------------------------------------------------|-------------------------------------------|
| Part II | Noncash Property (see instructions). Use duplicate co | pies of Part II if additiona | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| ** | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| ••••• | | \$ | |

| Schedule B (Fo | orm 990, 990-EZ, or 990-PF) (2014) | | | Page 4 | | |
|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|--|--|
| Name of org | | | | Employer identification number | | |
| | FAMILY FDN DIMA-ETF | | | 20-7161923 | | |
| Part III | Exclusively religious, charitable, etc., (10) that total more than \$1,000 for the the following line entry. For organizations contributions of \$1,000 or less for the year Use duplicate copies of Part III if additional | year from any completing Pa ar. (Enter this i | y one contributor. Com art III, enter the total of <i>e</i> anformation once See ins | plete columns (a) through (e) and xclusively religious, charitable, etc , | | |
| (a) No. from Part I | (b) Purpose of gift | |) Use of gift | (d) Description of how gift is held | | |
| | | | | | | |
| | | | ransfer of gift | | | |
| | Transferee's name, address, and | <u>ZIP + 4</u> | Relationsh | ip of transferor to transferee | | |
| | For Prov Country | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (с |) Use of gift | (d) Description of how gift is held | | |
| | | | | | | |
| | Transferee's name, address, and | | ransfer of gift Relationsh | ip of transferor to transferee | | |
| | For Prov Country | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c |) Use of gift | (d) Description of how gift is held | | |
| | | | | | | |
| | | | | | | |
| | (e) Transfer of gift | | | | | |
| | Transferee's name, address, and | ZIP + 4 | Relationsh | Relationship of transferor to transferee | | |
| | | | | | | |
| (a) No | For Prov Country | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (с |) Use of gift | (d) Description of how gift is held | | |
| | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | | ransfer of gift | <u> </u> | | |
| j | Transferee's name, address, and | ZIP + 4 | Relationsh | ip of transferor to transferee | | |
| | For Prov Country | | | | | |

5/6/2015 9 19.42 AM - LAG - PGC - SAWHNEY FAMILY FDN DIMA-ETF

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Check Chec | | | | | | | | | | 199 | | | , ,,,, | 1 |) told | 410 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-------------------------|-----------------------------|-----------|-----------------------------------------|-------------------|------------|-------------|------------|--------------------|-------------|-----------|-----------------------------|--------------|-------------|----------|
| Part | | | | Amount | | | | | | SIEIO | Sales | | Basis and | xpenses | orlo | SS |
| | | | Long Term CG Distributions | | | | | | Car | ortal Garns/Losses | | 415,084 | | | | 96,446 |
| CHANGEL NEED AND STATES AND ALTERNATION OF STAT | | | Short Term CG Distributions | | | | | | | Other sales | | 0 | | 0 | | 0 |
| Purpose Purp | L | | | | | Check "X" If | | | - | | | | | | | |
| No. Control State Contro | | Check "X" to include | I | | | Purchaser Is a | Date | Acquisition | Date | Gross Sales | Cost or | Valuation | Expense of Sale and Cost of | | | Net Gain |
| X SIMMERS SELECT 446,287:183 37,202-14 51,100-14 2,553 36 X SIMMERS SELECT 46,287:183 37,202-14 1,212-10 2,523 3,500 X SIMMERS SELECT 46,287:183 3,500 2,500 3,500 3,500 X SIMMERS SELECT 46,287:183 3,500 2,500 3,500 3,500 X SIMMERS SELECT 46,287:180 3,500 3,500 3,500 3,500 X SIMMERS SELECT 46,287:180 3,500 3,500 1,100 3,500 X SIMMERS SELECT 46,287:180 3,500 1,100 3,500 1,100 X SIMMERS SELECT 46,287:180 3,500 1,100 3,500 1,100 X SIMMERS SELECT 46,287:180 1,100 3,500 1,100 3,500 X SIMMERS SELECT 46,287:180 1,100 3,500 1,100 3,500 X SIMMER SELECT 46,287:180 1,100 3,500 <th></th> <th>in Part IV</th> <th></th> <th>CUSIP #</th> <th>Purchaser</th> <th>Business</th> <th>Acquired</th> <th>Method</th> <th>Sold</th> <th>Pnce</th> <th>Other Basis</th> <th>Method</th> <th>Improvements</th> <th>Depreciation</th> <th>Adjustments</th> <th>or Loss</th> | | in Part IV | | CUSIP # | Purchaser | Business | Acquired | Method | Sold | Pnce | Other Basis | Method | Improvements | Depreciation | Adjustments | or Loss |
| X SHAMES SELECT 44227169 NAZOVIA 1/192014 3.193 3.483 X SHAMES SELECT 44227169 NAZOVIA 1/192014 3.101 3.422 X SHAMES SELECT 44227169 SECOND 2.020 3.020 3.020 X SHAMES SELECT 44227169 2.020 2.020 3.020 3.020 X SHAMES SELECT 44227169 3.020 2.020 3.020 3.020 X SHAMES SELECT 44227169 3.020 3.020 3.020 3.020 X SHAMES SELECT 44227169 3.020 3.020 3.020 3.020 X SHAMES SELECT 44227169 3.020 3.020 3.020 3.020 X SHAMES SELECT 44227160 3.020 3.020 3.020 3.020 X SHAMES SELECT 44227160 3.020 3.020 3.020 3.020 X SHAMES SELECT 44227160 3.020 3.020 3.020 < | - | × | ISHARES SELECT | 464287168 | | | 3/26/2014 | | 5/21/2014 | 2,281 | 2,253 | | | | 0 | 28 |
| X SHAMES SELECT 466/28716 91/202014 3.425 9.425 X SHAMES SILECT 466/28716 91/202014 2.022004 1.14.01 2.223 X SHAMES SILES 466/28716 2.022004 2.022004 2.0220 2.022004 X SHAMES SILES 466/28716 4.02004 2.022004 2.022004 2.0220 X SHAMES SILES 466/28716 4.02004 3.0220 2.022004 2.0220 X SHAMES SILES 466/28716 4.02004 3.0220 4.02004 3.022 X SHAMES SILES LIVING 466/28716 1.020004 4.026 3.022 X SHAMES SILES LIVING 466/28716 1.020004 4.026 3.022 X SHAMES SILES LIVING 466/28716 1.002007 3.02004 4.026 3.020 X SHAMES SILES LIVING 466/28716 1.002007 3.02004 4.026 3.020 X SHAMES SILICING 466/28716 1.002007 3.02004 4. | _ | × | ISHARES SELECT | 464287168 | | | 3/26/2014 | | 11/19/2014 | 3,767 | 3,488 | | | | 0 | 279 |
| X SHAMES SIPS 46428716 91762012 3262014 11.431 1.442 X SHAMES SORE LAST 46428716 91762012 3262014 1.131 1.422 X SHAMES SORE LAST 46428716 1727000 3262014 1.131 2.427 X SHAMES SORE LAST 46428746 1727001 3262014 1.131 2.427 X SHAMES SORE LAST 46428746 1727001 3262014 1.131 2.427 X SHAMES SORE LAST 46428746 1700001 3262014 4.131 4.642 X SHAMES SORE LAST 46428746 1700001 4.6428744 4.6428744 4.6428744 X SHAMES SORE LAST 46428744 1700001 1702001 4.6428744 4.6428744 4.6428744 4.6428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 4.7428744 | - | × | ISHARES SELECT | 464287168 | | | 3/26/2014 | | 12/22/2014 | 3,438 | 3,125 | | | | 0 | 313 |
| X SIGNAMES CORE US 464287776 NORMONAND BASE STREET 243 ACCITATION X SIGNAMES CORE US 464287766 1727/2009 3762071 1731 3,902 X SIGNAMES MACI EMET 4642877465 1707/2003 3762071 1731 3,902 X SIGNAMES MACI EMET 464287465 1707/2003 3762071 4,202 3,002 X SIGNAMES MACI EMET 464287465 1707/2003 3,002 4,002 3,002 X SIGNAMES MACI LOUGH 462277369 1707/2003 2707/2004 4,131 3,002 X SIGNAMES MACI LOUGH 462277369 1707/2003 2707/2004 4,130 3,002 X SIGNAMES MACI LOUGH 462277369 1707/2003 1707/2004 4,130 3,002 X SIGNAMES MACI LOUGH 462277364 4,130 3,002 4,002 3,002 X SIGNAME STANDARD MACI LOUGH 462277364 4,130 3,002 3,002 3,002 X SIGNAME STANDARD MACI LA | 4 | × | ISHARES TIPS | 464287176 | | | 9/18/2012 | | 3/26/2014 | 11,431 | 12,422 | | | | 0 | -991 |
| X SIGNAMES MODILE SET 444628726 17272008 5267014 1.51 9.25 X SIGNAMES MODILE SET 4446287465 17272008 3262014 1.51 9.25 X SIGNAMES MODILE SET 4446287465 17070013 3262014 1.51 3.02 X SIGNAMES MODILE SET 4446287465 17070013 3262014 4.05 3.02 X SIGNAMES MODILE SET 4446287465 17070013 3262014 4.05 3.02 X SIGNAMES MOSELLI MODILE SET SET LIND 44463714 17070013 3.02 3.02 X SIGNAME SINSELLI LIND 44463714 17070014 4.05 3.02 3.02 X SIGNAME SINSELLI LIND 44463714 17070014 4.01 3.05 3.02 X SIGNAME SINSELLI LIND 44463714 17070014 4.01 3.02 3.02 X SIGNAME SINSELLI LIND 44463714 17070014 4.01 3.02 3.02 X SIGNAME SINSELLI LIND 44463714 | s | × | ISHARES TIPS | 464287176 | | | 9/26/2012 | | 3/26/2014 | 224 | 243 | | | | 0 | -19 |
| X SHARES MIGLE LAFE 464201465 1971 3.002 X SHARES MIGLEFE 464201465 1972 3.002 X SHARES MIGLEFE 464201465 10720001 3.002001 3.002 X SHARES MIGLEFE 464201465 1070001 3.002001 4.001 3.002 X SHARES MISSELL 1000 46420166 1070001 3.00200 3.002 3.002 X SHARES MISSELL 1000 46420768 1070001 4.002 3.002 4.002 X SHARES MISSELL 1000 46420769 1070001 1070001 4.002 4.002 X SHARES MISSELL 1000 46420764 1070001 1070001 4.002 4.002 X SHARES MISSELL 1000 46420764 1070001 1070001 4.002 4.002 X SHARES MISSELL 1000 46420764 1070001 4.002 4.002 4.002 X SHARES MISSELL 1000 46420764 1070001 4.002 4.002 4.002 < | 9 | × | ISHARES CORE U S | 464287226 | | | 7/27/2009 | | 5/21/2014 | 2,619 | 2,457 | | | | 0 | 162 |
| X SIRAMES MISCI GAFE 646278456 10.723008 3.65204 6.121 3.893 X SIRAMES MISCI GAFE 646278456 3.022011 3.75204 4.296 3.422 X SIRAMES GORG GAFE 64623766 1.702007 4.296 3.482 8 X SIRAMES GORG GAFE 64623766 1.702007 1.702007 4.296 3.482 X SIRAMES GORG GAFE 64623769 1.702007 1.702007 4.296 3.482 X SIRAMES GORG GAFE 64623769 1.702007 1.702007 4.296 3.482 X SIRAMES GURSELL 1000 64623769 1.702007 1.702007 4.711 6.16 4.69 X SIRAMES GURSELL 1000 64623764 1.702007 1.702007 1.702007 2.701004 3.18 2.66 X SIRAMES GURSELL 1000 64623764 1.702007 1.702007 2.70204 3.13 2.66 X SIRAMES GURSELL 1000 64623764 1.702007 1.702007 2.70204 | ^ | × | ISHARES MSCI EAFE | 464287465 | | | 9/21/2010 | | 3/26/2014 | 1,131 | 922 | | | | 0 | 209 |
| X SHARRES MISC BAFE 464287465 3222011 3222014 1310 10058 X SHARRES MISC BAFE 464287465 1700013 3222014 4236 31,800 X SHARRES MOSE BAFE 464287595 1700007 571,2014 4011 31,800 X SHARRES MUSSELL 1000 464287595 1700,0007 171,20214 4015 4015 X SHARRES MUSSELL 1000 464287594 1700,0007 171,20214 4,056 1700 X SHARRES MUSSELL 1000 464287594 1700,0007 171,20214 4,056 1700 X SHARRES MUSSELL 1000 464287564 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 1700,0007 | æ | × | ISHARES MSCI EAFE | 464287465 | | | 10/23/2008 | | 3/26/2014 | 6,121 | 3,903 | | | | 0 | 2,218 |
| X SHARES NISC BATE 4228 17145 3.127 A PARTICIPATION 4228 17145 3.127 A PARTICIPATION 4228 17145 3.127 A PARTICIPATION 4228 17145 A PARTICIPATION 4228 17145 A PARTICIPATION A PARTICIPATION <td>6</td> <td>×</td> <td>ISHARES MSCI EAFE</td> <td>464287465</td> <td></td> <td></td> <td>3/22/2011</td> <td></td> <td>3/26/2014</td> <td>11,310</td> <td>10,056</td> <td></td> <td></td> <td></td> <td>0</td> <td>1,254</td> | 6 | × | ISHARES MSCI EAFE | 464287465 | | | 3/22/2011 | | 3/26/2014 | 11,310 | 10,056 | | | | 0 | 1,254 |
| X SIGNACE SURPLIATOR 46.207594 10.002007 57.002014 4.081 31.600 X SIGNACE SURSELL 1000 46.207598 17.002007 57.002014 6.15 6.467 X SIGNACE SUSSELL 1000 46.207594 17.002007 17.002001 6.15 6.467 X SIGNACE SUSSELL 1000 46.207594 10.002001 4.006 4.006 X SIGNACE SUSSELL 1000 46.207540 10.002001 4.006 4.007 X SIGNACE SUSSELL 1000 46.207541 6.106 10.002001 4.007 X SIGNACE SUSSELL 1000 46.207541 6.208 10.002001 4.007 X SIGNACE SUSSELL 1000 46.207541 6.208 10.002001 4.006 X SIGNACE SUSSELL 1000 46.207541 6.207541 6.208 9.002001 X SIGNACE SUSSELL 1000 46.207541 10.002001 11.202014 4.006 10.002001 X SIGNACE SUSSELL 1000 46.2075401 10.002001 11.202001 11.202001 <td>9</td> <td>×</td> <td>ISHARES MSCI EAFE</td> <td>464287465</td> <td></td> <td></td> <td>3/22/2011</td> <td></td> <td>5/21/2014</td> <td>4,296</td> <td>3,727</td> <td></td> <td></td> <td></td> <td>0</td> <td>569</td> | 9 | × | ISHARES MSCI EAFE | 464287465 | | | 3/22/2011 | | 5/21/2014 | 4,296 | 3,727 | | | | 0 | 569 |
| X ISHARES BUSSELL 1000 646277598 1/1002007 1/11/2014 6.166 6.407 8.402 X ISHARES BUSSELL 1000 646277598 1/1002007 1/1002007 1/1002007 6.116 6.690 8.690 X ISHARES BUSSELL 1000 646277594 1/1002007 1/1002001 6.116 6.690 8.690 X ISHARES BUSSELL 1000 646277544 1/1002001 6.726 2.691 8.690 X ISHARES BUSSELL 1000 646277544 1/1002001 6.726 2.691 8.692 X ISHARES BUSSELL 1000 646277544 1/1002001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/202001 1/2 | F | × | ISHARES CORE S&P MID-CAF | | | | 1/10/2013 | | 3/26/2014 | 40,811 | 31,600 | | | | 0 | 9,211 |
| X SHAMES RISSELL 1000 464287588 1/00/2007 1/17/9/014 8.115 6.67 PRINTED RISSELL 1000 464287584 R. PARES RISSELL 1000 46428764 8.115 6.67 R. PARES RISSELL 1000 46428764 8.115 6.62 4.05 R. PARES RISSELL 1000 46428764 8.118 6.65 2.66 R. PARES RISSELL 1000 46428764 8.118 6.65 2.66 R. PARES RISSELL 1000 46428764 8.128 5.26 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 8.28 | 12 | × | ISHARES RUSSELL 1000 | | | | 1/30/2007 | | 5/21/2014 | 4,066 | 3,482 | | | | 0 | 584 |
| X SHARES RISSELL 1000 464287644 1,0002007 5,122,2004 6,16 4,09 X SHARES RISSELL 1000 46428764 1,0002007 5,122,2004 4,74 2,66 X SHARES RISSELL 1000 46428764 1,0002007 1,0002007 6,28 2,68 X SHARES RISSELL 1000 46428764 1,0002007 1,114,2014 6,58 2,68 X SHARES RISSELL 1000 46428764 1,0002007 1,114,2014 6,58 2,58 X SHARES RISSELL 1000 46428764 1,0002007 1,114,2014 6,58 2,58 X SHARES RISSELL 1000 46428764 1,1002007 1,122,2004 4,123 3,58 X SHARES RISSELL 1000 46428764 1,1002007 1,222,2014 4,123 3,58 X SHARES RISSELL 1000 46428764 1,1002007 3,222,014 4,123 3,58 X SHARES RISSELL 1000 46428764 1,1002007 3,100 3,100 X SHARES RISSELL 1000 | 2 | × | ISHARES RUSSELL 1000 | 464287598 | | | 1/30/2007 | | 11/19/2014 | 8,115 | 6,467 | | | | 0 | 1,648 |
| X SIAMES RUSSELL 1000 464228764 9402000 9521/2014 6.615 6.61 6.61 6.61 6.61 6.61 6.61 6.61 6.61 6.61 6.62 6.61 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 6.62 | 7 | × | ISHARES RUSSELL 1000 | 464287598 | | | 1/30/2007 | | 12/22/2014 | 6,116 | 4,809 | | | | 0 | 1,307 |
| X SHAPES INSSELL 1000 44228764 94/2000 10/20/2014 6.66 3.66 X SHAPES RUSSELL 1000 444287614 1,020/2007 1,119/2014 6.68 3.628 X SHARES RUSSELL 1000 444287614 1,020/2004 1,119/2014 6.68 3.628 X SHARES RUSSELL 1000 44428764 2,020/2014 1,027 4,029 3.68 X SHARES RUSSELL 1000 44428764 2,020/2014 1,029 3.68 3.68 X SHARES RUSSELL 1000 44428764 2,020/2014 1,027 3.69 3.68 X SHARES RADIO MULLI 444287864 1,000/2014 3.262/2014 4,123 3.58 X SHARES RADIO MULLI 444287864 1,000/2014 3.262/2014 4,123 3.69 X SHARES RADIO MULLI 444287864 1,000/2014 3.06 3.66 3.66 3.66 X SHARES RADIO MULLI 44428868 1,000/2014 3.06 3.26 3.66 3.66 3.66 | 15 | × | ISHARES RUSSELL 1000 | 464287614 | | | 1/30/2007 | | 5/21/2014 | 6,332 | 4,091 | | | | 0 | 2,241 |
| X SHARES RUSSELL 1000 464287614 1.020207 1.0202014 6.758 5.268 X SHARES RUSSELL 1000 464287614 1.020204 1.0222014 6.559 5.662 X SHARES RUSSELL 1000 46428763 3.02 3.126 5.02 5.02 X SHARES RUSSELL 1000 46428763 3.02 3.126 5.02 5.02 X SHARES RUSSELL 1000 46428763 1.022 3.126 3.126 5.02 X SHARES RUSSELL 1000 46428764 1.020 3.126 3.126 5.02 X SHARES RUSSELL 1000 46428231 1.020 3.026 3.126 3.026 X SHARES RUSSELL 1000 46428231 1.020 3.026 3.026 3.026 X SHARES RUSSELL 1000 46428231 3.026 3.026 3.026 3.026 X SHARES RUSSELL 1000 46428234 3.026 3.026 3.026 3.026 3.026 3.026 3.026 3.026 3.026 | 9 | × | ISHARES RUSSELL 1000 | 464287614 | *************************************** | | 9/4/2008 | | 10/30/2014 | 4,741 | 2,661 | | | | 0 | 2,080 |
| X GSHARES RUSSELL 1000 464280544 947200B 11/19/2014 6.655 5.622 X SISHARES RUSSELL 1000 464280544 947200B 11/19/2014 10.599 5.685 X SISHARES RUSSELL 1000 464280543 12/22/2014 1.029 5.895 X SISHARES RUSSELL 1000 46428054 11/19/2013 17/22/2014 4.123 3.895 X SISHARES RUSSELL 1000 46428054 11/19/2013 37/22/2014 4.123 3.895 X SISHARES CORE & SASALL 46428044 91/2012 37/22/2014 4.123 3.895 X SISHARES BINDAGANE MB OF 4642804 91/2012 37/20/2014 1.027 881 X SISHARES BINDAGANE MB OF 4642804 91/2012 37/20/2014 1.029 37/20 X SISHARES BINDAGANE MB OF 4642804 91/2012 37/20/2014 1.027 881 X SISHARES BINDAGANE MB OF 4442804 91/2012 37/20/2014 1.029 91/201 X SISHARES BINDAGANE MB SET 464280540 | 17 | × | ISHARES RUSSELL 1000 | 464287614 | | | 1/30/2007 | | 10/30/2014 | 8.738 | 5,268 | | | | 0 | 3,470 |
| X SISHARES RUSSELL (100) 464205014 12222014 10222014 4 129 5 895 8 9 X SISHARES RUSSELL (100) 464287630 3267014 12222014 4 123 3 869 8 89 8 X SISHARES RAZ00GWATH 464287634 1702/013 37667014 4 123 3 869 8 8 X SISHARES RAZ00GWATH 464282831 91182012 37667014 4 123 3 869 8 8 X SISHARES RAZ00GWATH AGARDARIA BARDARIA BARDA | 2 | × | ISHARES RUSSELL 1000 | 464287614 | | | 9/4/2008 | | 11/19/2014 | 6,695 | 3,652 | | | | 0 | 3,043 |
| X SHARES RS_2000 VALUE 464287630 37262014 172222014 3.126 3.126 X SHARES RS_2000 CROWTH 464287646 17022014 17222014 4.255 31.539 3.66 X SHARES GAR_SAR_SAR_SAR_SAR_SAR_SAR_SAR_SAR_SAR_S | 19 | × | ISHARES RUSSELL 1000 | 464287614 | | | 9/4/2008 | | 12/22/2014 | 10,929 | 5,895 | | | | 0 | 5,034 |
| X ISHARES RS 2000 GROWTH 464287648 11002013 37662014 4 123 3.669 PRINTED PROJECT (COLUMN COLUMN CO | 2 | × | ISHARES RS 2000 VALUE | 464287630 | | | 3/26/2014 | | 12/22/2014 | 3,139 | 3,126 | _ | | | 0 | 13 |
| X ISHARES CORE SAP SMALL 46428284 1102013 37682014 42.851 31.533 9 X ISHARES JAMORGANI EM BOJ 46428281 946282821 9182012 3762014 1,027 1,087 91765 X ISHARES JAMORGANI EM BOJ 464288281 9182012 3762014 1,027 1,087 891 X ISHARES INIT. SELECT 46428843 87182012 3762014 1,029 11,384 87 X ISHARES INIT. SELECT 46428863 3782014 1,029 11,384 87 87 X ISHARES MIXI. SELECT 464288648 3782014 1,184 1,401 1380 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 1,184 | ~ | × | ISHARES RS 2000 GROWTH | 464287648 | | | 3/26/2014 | - | 12/22/2014 | 4,123 | 3,969 | | | | 0 | 154 |
| X ISHARES IN BORGAN EM BO. 464288281 918.0012 37.68.2014 1.027 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 1.087 | 22 | × | ISHARES CORE S&P SMALL | 464287804 | | | 1/10/2013 | | 3/26/2014 | 42,851 | 31,533 | | | | 0 | 11,318 |
| X ISHARES INT SELECT 464288281 9/18/2012 3/26/2014 1,027 1,087 9 X ISHARES INT SELECT 46428843 9/18/2012 3/156/2014 3/156 2,677 9/18/2012 3/156/2014 3/156 2,677 9/18/2012 3/156/2014 3/156 2,677 9/18/2012 3/156/2014 3/156 2,677 9/18/2012 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/156/2014 3/1 | 23 | × | ISHARES JP MORGAN EM BO | | | | 9/18/2012 | | 3/26/2014 | 8,928 | 9,785 | | | | 0 | -857 |
| X ISHARES INIT, SELECT 464288448 9182012 3265014 1,029 881 P X ISHARES INIT, SELECT 464288436 9182012 5712014 1,1409 1,366 2,607 P X ISHARES INIT, SELECT 464288518 9182012 3726/2014 1,1409 1,386 P X ISHARES INIT, SELECT 46428858 3256/2014 3726/2014 1,401 1,386 P X ISHARES MBS ETF 46428858 3256/2014 1,525 1,486 P P X ISHARES MBS ETF 7462F103 3726/2014 1,536 1,589 P P X SPDR S&B SOE ETF TRUST 78462F103 3726/2014 66,322 44,309 P P X SPDR S&B SOE ETF TRUST 78462F103 3726/2014 2,667 44,309 P P X SPDR S&B SOE ETF TRUST 78462F103 3726/2014 2,867 43,309 P P X VANGUARD MSCI EMERGING | 24 | × | ISHARES JP MORGAN EM BO. | | | | 9/18/2012 | | 5/21/2014 | 1,027 | 1,087 | | | | 0 | 09- |
| X ISHARES INTL SELECT 64928848 9182012 5/21/2014 3/136 2.607 9 X ISHARES BOXX5 HIGH YIEL 46428868 3/26/2014 1/409 1/1364 9 X ISHARES BOXX5 HIGH YIEL 46428868 3/26/2014 1/401 1/306 X ISHARES MBS ETF 46428658 1/26/2014 1/2012 2,598 1/460 X ISHARES MBS ETF 46428658 1/26/2014 1/2012 2,598 1/26/201 X SPDR SAP DO ETF TRUST 78627103 1/26/2014 2,598 1/26/201 4,300 X SPDR SAP DO ETF TRUST 784627103 3/26/2014 2,572 44,300 X SPDR SAP DO ETF TRUST 784627103 3/26/2014 2,272 44,300 X SPDR SAP DO ETF TRUST 784627103 3/26/2014 2,272 44,300 X NANGUARD MSCI EMEGING 92204288 9/21/2010 8/26/2014 779 710 X VANGUARD MSCI EMEGING 92204288 10/21/2010 | 25 | × | ISHARES INTL SELECT | 464288448 | | | 9/18/2012 | | 3/26/2014 | 1,029 | 891 | | | | 0 | 138 |
| X ISPARES IBOXX\$ HIGH YIEL 464288513 97.812014 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 11,364 | 56 | × | ISHARES INTL SELECT | 464288448 | | | 9/18/2012 | | 5/21/2014 | 3,136 | 2,607 | | | | 0 | 529 |
| X ISHARES MBS ETF 46428858 3762/2014 1,401 1,401 1,380 X SPH RES MBS ETF 46428858 1,4023/2014 1,255 1,486 1,486 X SPH RES MBS ETF 7462E7103 1,0023/2008 3726/2014 1,555 1,486 1,486 X SPDR S&B BOE TF TRUST 7846ZF103 944/2008 3726/2014 66,322 44,300 1,430 X SPDR S&B 500 ETF TRUST 7846ZF103 1,302/202 3726/2014 66,322 44,300 1,430 X VANGUARD MSCI EMEGING 922042858 3720 3726/2014 2,887 3,045 1,401 X VANGUARD MSCI EMEGING 922042858 374/2012 8726/2014 1,366 1,396 1,399 X VANGUARD MSCI EMEGING 922042858 374/2012 8726/2014 1,366 1,396 1,399 X VANGUARD MSCI EMEGING 922042858 1011/2010 8726/2014 25,366 1,396 1,396 X VANGUARD MSCI EMEGING | 22 | × | ISHARES IBOXX\$ HIGH YIEL | 464288513 | | | 9/18/2012 | | 3/26/2014 | 11,409 | 11,364 | | | | 0 | 45 |
| X ISHARES MBS ETF 464286868 3768/2014 1,535 1,486 7 X SPDR 384 500 ETF TRUST 78462F103 9/21/2010 3726/2014 25,968 1,2699 7 X SPDR 384 500 ETF TRUST 78462F103 1,300/2007 3726/2014 25,329 44,300 X SPDR 384 500 ETF TRUST 78462F103 1,300/2007 3726/2014 52,729 4 X SPDR 384 500 ETF TRUST 78462F103 1,300/2007 3726/2014 52,729 4 X VANGUARD MSCI EMEGING 922042858 9/21/2010 3726/2014 2,887 3.04 X VANGUARD MSCI EMEGING 922042858 3/14/2012 8726/2014 7.26 1,396 1 X VANGUARD MSCI EMEGING 922042858 9/21/2010 8726/2014 3.36 3.36 X VANGUARD MSCI EMEGING 922042858 9/21/2010 8726/2014 5.36 2.4779 X VANGUARD MSCI EMEGING 922042858 9/21/2010 8726/2014 2.5.36 <th< td=""><td>28</td><td>×</td><td>ISHARES MBS ETF</td><td>464288588</td><td></td><td></td><td>3/26/2014</td><td></td><td>5/21/2014</td><td>1,401</td><td>1,380</td><td></td><td></td><td></td><td>0</td><td>21</td></th<> | 28 | × | ISHARES MBS ETF | 464288588 | | | 3/26/2014 | | 5/21/2014 | 1,401 | 1,380 | | | | 0 | 21 |
| X SPDR S&P 500 ETF RUST 78462F103 10232008 3256/2014 25,568 12,699 X SPDR S&P 500 ETF TRUST 78462F103 10232008 3726/2014 66,332 44,300 X SPDR S&P 500 ETF TRUST 78462F103 102212004 66,322 44,300 7229 X SAPOR S&P 500 ETF TRUST 78462F103 3726/2014 69,324 42,300 7229 X SAPOR S&P 500 ETF TRUST 7846/2014 62,124 52,12 265 729 X VANGUARD MSCI EMERGING 922042858 92,1/2010 5/21/2014 2,887 3,004 779 X VANGUARD MSCI EMERGING 922042858 13,12010 8726/2014 1396 1399 X VANGUARD MSCI EMERGING 922042858 921/2010 8726/2014 563 638 635 X VANGUARD MSCI EMERGING 922042858 921/2010 8726/2014 25,546 24,779 | 59 | × | ISHARES MBS ETF | 464288588 | | | 3/26/2014 | | 12/22/2014 | 1,535 | 1,486 | | | | 0 | 49 |
| X SPDR S&P 50D ETF TRUST 78462F103 944/2008 3/26/2014 66,322 44,300 X VANCIGLARD MSCI EMERGING 922042856 9/21/2010 5/21/2014 2,887 3,004 X VANGUARD MSCI EMERGING 922042856 9/21/2010 5/21/2014 2,887 3,004 X VANGUARD MSCI EMERGING 922042856 3/14/2012 8/26/2014 729 710 X VANGUARD MSCI EMERGING 922042856 10/11/2010 8/26/2014 1,396 1,399 X VANGUARD MSCI EMERGING 922042856 10/11/2010 8/26/2014 364 364 X VANGUARD MSCI EMERGING 922042856 9/21/2010 8/26/2014 364 369 X VANGUARD MSCI EMERGING 922042856 9/21/2010 8/26/2014 25,546 24,779 | 30 | × | SPDR S&P 500 ETF TRUST | 78462F103 | | | 10/23/2008 | | 3/26/2014 | 25,968 | 12,699 | | | | 0 | 13,269 |
| X SPDR S&P 500 ETF TRUST 78452F103 1130/2007 3126/2014 52,729 52.729 X VANGUARD MSCI EMEGING 922042858 921/2010 3726/2014 2,887 3.04 X VANGUARD MSCI EMEGING 922042858 3/14/2012 8/26/2014 7.28 7.04 X VANGUARD MSCI EMERGING 922042858 3/14/2012 8/26/2014 7.29 7.04 X VANGUARD MSCI EMERGING 922042858 3/14/2012 8/26/2014 1,386 1,399 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 638 635 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 638 635 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 25,546 24,779 | 31 | × | SPDR S&P 500 ETF TRUST | 78462F103 | | | 9/4/2008 | | 3/26/2014 | 66,322 | 44,300 | | | | 0 | 22,022 |
| X VANGUARD MSCI EMERGING 922042858 9212010 326/2014 239 X VANGUARD MSCI EMERGING 922042858 92204285 2887 3 X VANGUARD MSCI EMERGING 922042858 3742012 8/26/2014 729 X VANGUARD MSCI EMERGING 922042858 10/11/2010 8/26/2014 364 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 364 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 538 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 538 | 32 | × | SPDR S&P 500 ETF TRUST | | | | 1/30/2007 | | 3/26/2014 | 69,124 | 52,729 | - | | | 0 | 16,395 |
| X VANGUARD MSCI EMERGING 922042858 9721/2010 5/21/2014 2.887 3 X VANGUARD MSCI EMERGING 922042858 3742/201 8/26/2014 729 729 X VANGUARD MSCI EMERGING 922042858 10/11/2010 8/26/2014 1366 1 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 638 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 638 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 25,546 24 | 33 | × | VANGUARD MSCI EMERGING | | | | 9/21/2010 | | 3/26/2014 | 239 | 265 | | | | 0 | -26 |
| X VANGUARD MSCI EMERGING 922042858 37.41/2012 87.68/2014 729 X VANGUARD MSCI EMERGING 922042858 107.12010 87.68/2014 1346 1 X VANGUARD MSCI EMERGING 922042856 927.12010 87.68/2014 638 X VANGUARD MSCI EMERGING 922042856 927.12010 87.68/2014 25.546 24 | 34 | × | VANGUARD MSCI EMERGING | | | | 9/21/2010 | | 5/21/2014 | 2,887 | 3,004 | | | | 0 | -117 |
| X VANGUARD MSCI EMERGING 922042858 1,366 1 X VANGUARD MSCI EMERGING 922042858 10/11/2010 8/26/2014 364 X VANGUARD MSCI EMERGING 922042858 9/21/2010 8/26/2014 638 X VANGUARD MSCI EMERGING 922042888 9/21/2010 8/26/2014 25,546 24 | 35 | × | VANGUARD MSCI EMERGING | | | | 3/14/2012 | | 8/26/2014 | 729 | 710 | | | | 0 | 19 |
| X VANGUARD MSCI EMERGING 922042858 10/11/2010 8/26/2014 364 X VANGUARD MSCI EMERGING 922042858 921/2010 8/26/2014 638 X VANGUARD MSCI EMERGING 922042858 25/546 24/2010 | 36 | × | VANGUARD MSCI EMERGING | | | | 3/22/2011 | | 8/26/2014 | 1,366 | 1,399 | | | | 0 | -33 |
| X VANGUARD MSCI EMERGING 922042858 92102010 8726/2014 638 X VANGUARD MSCI EMERGING 922042888 922042888 24 25.546 24 | 37 | × | VANGUARD MSCI EMERGING | | | | 10/11/2010 | | 8/26/2014 | 364 | 369 | | | | 0 | -5 |
| X NANGUARD MSCI EMERGING 922042858 92212010 8/26/2014 25,546 | 38 | × | VANGUARD MSCI EMERGING | | | | 9/21/2010 | | 8/26/2014 | 638 | 635 | | | | 0 | 3 |
| | ္တ | × | VANGUARD MSCI EMERGING | | | | 9/21/2010 | - | 8/26/2014 | 25,546 | 24,779 | | | | 0 | 767 |

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Part I, Line 16b (990-PF) - Accounting Fees

| | 2,500 | 0 | 0 | 2,500 |
|-----------------|-----------------------------|----------------|--------------|-------------------------|
| | | | | Disbursements |
| | Revenue and Expenses per | Net Investment | Adjusted Net | for Charitable Purposes |
| Description | Books | Income | Income | (Cash Basis Only) |
| 1 TAX PREP FEES | 2,500 | | | 2,500 |

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Part I, Line 16c (990-PF) - Other Professional Fees

| | 5,266 | 3,160 | . 0 | 2,106 |
|--------------------------|--------------------------------------|--------------------------|------------------------|------------------------------------------------------------------|
| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 US TRUST FEES AS AGENT | 5,266 | 3,160 | | 2,106 |

Part I, Line 18 (990-PF) - Taxes

| | | 1,768 | 448 | 0 | 0 |
|-----|---------------------------|-------------------------|----------------|------------|------------------------------|
| | _ | Revenue and Expenses | Net Investment | Adjusted | Disbursements for Charitable |
| | Description | per Books | Income | Net Income | Purposes |
| 1 | FOREIGN TAX WITHHELD | 448 | 448 | | |
| 2 | PY EXCISE TAX DUE | 530 | | | |
| _ 3 | ESTIMATED EXCISE PAYMENTS | 790 | | | |

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Part I, Line 23 (990-PF) - Other Expenses

| | 35 | 0 | 0 | 35 |
|-----------------|-------------|----------------|--------------|----------------|
| | Revenue and | | | Disbursements |
| | Expenses | Net Investment | Adjusted Net | for Charitable |
| Description | per Books | Income | Income | Purposes |
| 1 STATE AG FEES | 35 | | | 35 |

Part II, Line 13 (990-PF) - Investments - Other

| Ì | | | | ** * 1 * 1 | |
|----|-------------------------------|-----------|-------------|-------------|-------------|
| | | | 419,330 | 767,149 | 835,315 |
| | | Basis of | Book Value | Book Value | FMV |
| | Asset Description | Valuation | Beg of Year | End of Year | End of Year |
| _ | | | | 0 | |
| 7 | ISHARES TR | | 0 | 56,925 | 60,820 |
| က | ISHARES TR | | 0 | 69,364 | 70,697 |
| 4 | Т | | 0 | 85,559 | 86,758 |
| r. | _ | | 0 | 92,630 | 121,417 |
| ဖ | Т | | 0 | 197,964 | 234,244 |
| _ | ISHARES TR RUSSELL 2000 VALUE | | 0 | 52,109 | 52,772 |
| ∞ | 1 | | 0 | 50,784 | 52,823 |
| ი | _ | | 0 | 19,005 | 17,554 |
| 9 | 10 SHARES DJ EPAC SEL DV | | 0 | 51,379 | 51,007 |
| 7 | 11 ISHARES IBOXX\$ HIGH YIEL | | 0 | 18,543 | 17,830 |
| 12 | ISHARES LEHMAN MBS | | 0 | 34,118 | 34,873 |
| 13 | 13 ISHARES INC CORE MSCI | | 0 | 38,769 | 34,520 |

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

| 1 | COST BASIS ADJUSTMENT | 1 | 53 |
|----|------------------------------|-----|-------|
| 2 | PY LATE POSTING MUTUAL FUNDS | 2 " | 1,180 |
| _3 | Total | 3_ | 1,233 |

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | 162 | | | | | | | | | | | | |
|------------------------------|------------|-------------|------------|------------|-------------|--------------|-------------|-----------------------------|-----------------|-----------|-------------------------|----------------|--------------------------------------------|
| Short Term CG Distributions | 0 | | | | 414,922 | 0 | 0 | 318,638 | 96,284 | 0 | 0 | ٥ | 96,284 |
| | | Acquisition | Date | Date | Gross | Depreciation | | Cost or Other Basis Plus | | FMV as of | Adjusted Basis as of | Excess of FMV | Gains Minus Excess of FMV Over Adjusted |
| Description of Property Sold | CUSIP# | Method | Acquired | Sold | Sales Price | Allowed | Adjustments | Expense of Sale | Gain or Loss | 12/31/69 | 12/31/69 | Over Adj Basis | Basis or Losses |
| 2 INHARES SELECT | 46428/168 | | 3/26/2014 | 5/21/2014 | 3 767 | | | 3.488 | 626 | | | | 622 |
| 3 ISHARES SELECT | 464287168 | | 376/2014 | 12/22/2014 | 3 438 | | 0 | 3.125 | | 0 | 0 | | 313 |
| т | 464287176 | | 9/18/2012 | 3/26/2014 | 11,431 | | 0 | 12,422 | | | 0 | | 166- |
| 5 ISHARES TIPS | 464287176 | | 9/26/2012 | 3/26/2014 | 224 | | ō | 243 | | 0 | 0 | 0 | -19 |
| 6 ISHARES CORE U S | 464287226 | | 727/2009 | 5/21/2014 | 2,619 | | 0 | 2,457 | | 0 | 0 | 0 | 162 |
| 7 ISHARES MSCI EAFE | 464287465 | | 9/21/2010 | 3/26/2014 | 1 131 | | 0 | 922 | 209 | 0 | 0 | 0 | 508 |
| 8 ISHARES MSCI EAFE | 464287465 | | 10/23/2008 | 3/26/2014 | 6 121 | | 0 | 3,903 | 2,218 | 0 | 0 | 0 | 2 2 1 8 |
| 9 ISHARES MSCI EAFE | 464287465 | | 3/22/2011 | 3/26/2014 | 11,310 | | 0 | 10,056 | 1 254 | 0 | 0 | 0 | 1 254 |
| 10 ISHARES MSCI EAFE | 464287465 | | 3/22/2011 | 5/21/2014 | 4,296 | | 0 | 3,727 | 695 | 0 | 0 | 0 | 269 |
| 11 ISHARES CORE S&P MID-CAP | 464287507 | | 1/10/2013 | 3/26/2014 | 40 811 | | 0 | 31,600 | 9211 | 0 | 0 | 0 | 9,211) |
| 12 ISHARES RUSSELL 1000 | 464287598 | | 1/30/2007 | 5/21/2014 | 4 066 | | 0 | 3,482 | 584 | 0 | 0 | 0 | 584 |
| 13 ISHARES RUSSELL 1000 | 464287598 | | 1/30/2007 | 11/19/2014 | 9 115 | | 0 | 6 467 | 1,648 | 0 | 0 | 0 | 1,648 |
| 14 ISHARES RUSSELL 1000 | 464287598 | | | 12/22/2014 | 9119 | | 0 | 4,809 | 1,307 | 0 | 0 | 0 | 1,307 |
| 15 ISHARES RUSSELL 1000 | 464287614 | | 1/30/2007 | 5/21/2014 | 6 332 | | 0 | 4 091 | 2,241 | 0 | 0 | 0 | 2 241) |
| 16 ISHARES RUSSELL 1000 | 464287614 | | 9/4/2008 | 10/30/2014 | 4 741 | | 10 | 2,661 | 2 080 | 0 | 0 | 0 | 2 080 |
| 17 ISHARES RUSSELL 1000 | 464287614 | | 1/30/2007 | 10/30/2014 | 8 738 | | 6 | \$,268 | 3,470 | 0 | 0 | 0 " | 3 470 |
| 18 ISHARES RUSSELL 1000 | 464287814 | | 9/4/2008 | 11/19/2014 | 9 6 6 9 5 | • | 0 | 3,652 | 3,043 | | | 0 | |
| 19 ISHARES RUSSELL 1000 | 464287614 | | 9/4/2008 | 12/22/2014 | 10,929 | | 0 | 5'892 | 5,034 | 0 | 0 | 0 | 5,034 |
| 20 ISHARES RS 2000 VALUE | 464287630 | | 3/26/2014 | 12/22/2014 | 3,139 | | 0 | 3,126 | | 0 | 0 | 0 | 13 |
| 21 ISHARES RS 2000 GROWTH | 464287648 | | 3/26/2014 | 12/22/2014 | 4,123 | | 0 | 3 969 | 154 | 0 . | 0 | 0 | 154 |
| 22 ISHARES CORE S&P SMALL | 464287804 | | 1/10/2013 | 3/26/2014 | 42,851 | - | 0 | 31 533 | | 0 | 0 | 0 | 11,318 |
| 23 ISHARES JP MORGAN EM BON | 464288281 | | 9/18/2012 | 3/26/2014 | 8 928 | | 0 | 9 785 | | 0 | 0 | 0 | -857 |
| 24 ISHARES JP MORGAN EM BON | 464288281 | | 9/18/2012 | 5/21/2014 | 1,027 | | 0 | 1,087 | 09- | 0 | 0 | 0 | 09- |
| 25 ISHARES INTL SELECT | 464288448 | | 9/18/2012 | 3/26/2014 | 1,029 | | 0 | 891 | | 0 | 0 | | 138 |
| 26 ISHARES INTL SELECT | 464288448 | | 9/18/2012 | 5/21/2014 | 3 136 | | 0 | 2,607 | | 0 | 0 | 0 | 529 |
| 27 ISHARES IBOXX\$ HIGH YIEL | 464288513 | | 9/18/2012 | 3/26/2014 | 11,409 | | 0 | 11,364 | | 0 | 0 | 0 | 45 |
| 28 ISHARES MBS ETF | 464288588 | | 3/26/2014 | 5/21/2014 | 1 401 | | 0 | 1,380 | 21 | 0 | 0 | 0 | 21 |
| 29 ISHARES MBS ETF | 464288588 | | 3/26/2014 | 12/22/2014 | 1,535 | | 0 | 1,486 | | 0 | 0 | 0 | 49 |
| 30 SPOR S&P 500 ETF TRUST | 78462F103 | | 10/23/2008 | 3/26/2014 | 25 968 | | 0 | 12,699 | | 0 | 0 | | 13 269 |
| 31 SPDR S&P 500 ETF TRUST | 78462F103 | | 9/4/2008 | 3/26/2014 | 66 322 | | 0 | 44,300 | 220 22 | 0 | 0 | 0 | 22 022 |
| 32 SPDR S&P 500 ETF TRUST | _78462F103 | , | 1/30/2007 | 3/26/2014 | 69 124 | | 0 | 52,729 | | 0 0 | | 0 | 16,395 |
| 33 VANGUARD MSCI EMERGING | 922042858 | | 9/21/2010 | 3/26/2014 | 239 | | 0 | 265 | -26 | 0 | 0 | 0 | -26 |
| 34 VANGUARD MSCI EMERGING | 922042858 | | 9/21/2010 | 5/21/2014 | 2,887 | | 0 | 3,004 | -117 | 0 | 0 | 0 | -117 |
| 35 NANGUARD MSC! EMERGING | 922042858 | | | 8/26/2014 | 729 | | 0 | 710 | 19 | 0 | 0 | 0 | 19 |
| 36 NANGUARD MSCI EMERGING | 922042858 | | _ | 8/26/2014 | 1 366 | | 0 | 1,399 | -33 | 0 | 0 | 0 | -33 |
| 37 VANGUARD MSCI EMERGING | 922042858 | | 10/11/2010 | 8/26/2014 | 364 | | 0 | 369 | ¹ 9- | 0 | 0 | 0 | -5 |
| 38 VANGUARD MSCI EMERGING | 922042858 | | т | 8/26/2014 | 638 | | 0 | 635 | | 0 | 0 | 0 | 3 |
| 39 VANGUARD MSCI EMERGING | 922042858 | | 9/21/2010 | 8/26/2014 | 25,546 | | 0 | 24 779 | 767 | 0 | 0 | 0 | 767 |

5/6/2015 9·19 42 AM - LAG - PGC - SAWHNEY FAMILY FDN DIMA-ETF

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| U | Expense Account | | |
|---|-------------------------------------|-------------------|-----------------|
| 0 | Benefits | | |
| 0 | Avg Hrs Title Per Week Compensation | 0 | 0 |
| | Avg Hrs Per Week | 3 00 | 3 00 |
| | Title | TRUSTEE | TRUSTEE |
| | Foreign Country | | |
| | Zip Code | MA 02420-1847 | 02420 |
| | State | Ψ | W. |
| | City | LEXINGTON | LEXINGTON |
| | Street | 6 PORTER LAI | 6 PORTER LANE |
| | Check "X" if Business | - | |
| | Name | AMARPREET SAWHNEY | DEEPIKA SAWHNEY |
| | I | ı - | Ι " |

Part VI, Line 6a (990-PF) - Estimated Tax Payments

| | | | | | | | Date | | Amount |
|----|--------------------------------------|--|---|--|---|---|------|---|--------------|
| 1 | Credit from prior year return | | | | | | | 1 | 0 |
| 2 | First quarter estimated tax payment. | | | | - | - | | 2 | |
| 3 | Second quarter estimated tax payment | | | | | | | 3 | |
| 4 | Third quarter estimated tax payment | | | | | | | 4 | |
| 5 | Fourth quarter estimated tax payment | | | | | | | 5 | - |
| 6 | Other payments . | | | | | | | 6 | 790 |
| _7 | Total | | _ | | | | | 7 | 790 |