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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

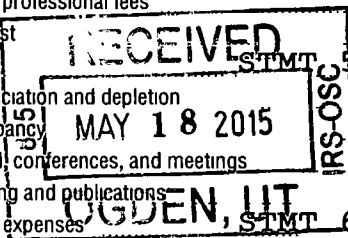
Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation THE D'AGOSTINO FAMILY FOUNDATION C/O VINCENT R. D'AGOSTINO, TRUSTEE		A Employer identification number 20-6745445
Number and street (or P O box number if mail is not delivered to street address) 45 TURKEY HILL ROAD SOUTH	Room/suite	B Telephone number 203-846-3300
City or town, state or province, country, and ZIP or foreign postal code WESTPORT, CT 06880		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,933,832.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		7.	7.		STATEMENT 1
4 Dividends and interest from securities		32,327.	32,327.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		145,385.			
b Gross sales price for all assets on line 6a		1,084,158.			
7 Capital gain net income (from Part IV, line 2)			145,385.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		199.	97.		STATEMENT 3
12 Total. Add lines 1 through 11		177,918.	177,816.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		3,000.	750.		0.
c Other professional fees					
17 Interest					
18 Taxes		4,249.	988.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		25,742.	25,718.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		32,991.	27,456.		0.
25 Contributions, gifts, grants paid		95,250.			95,250.
26 Total expenses and disbursements. Add lines 24 and 25		128,241.	27,456.		95,250.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		49,677.			
b Net investment income (if negative, enter -0-)			150,360.		
c Adjusted net income (if negative, enter -0-)				N/A	



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	54,032.	55,340.	55,340.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,411,517.	1,458,986.	1,877,342.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ DUE FROM BROKERS)		0.	1,150.	1,150.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,465,549.	1,515,476.	1,933,832.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,465,549.	1,515,476.	STATEMENT 7
30 Total net assets or fund balances	1,465,549.	1,515,476.		
31 Total liabilities and net assets/fund balances	1,465,549.	1,515,476.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,465,549.
2 Enter amount from Part I, line 27a	2	49,677.
3 Other increases not included in line 2 (itemize) ▶ MISCELLANEOUS ADJUSTMENT	3	250.
4 Add lines 1, 2, and 3	4	1,515,476.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,515,476.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,084,158.		938,773.	145,385.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			145,385.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	145,385.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	91,068.	1,715,064.	.053099
2012	89,469.	1,592,446.	.056183
2011	102,177.	1,673,082.	.061071
2010	79,641.	1,601,793.	.049720
2009	80,439.	1,450,315.	.055463

2 Total of line 1, column (d)	2	.275536
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055107
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,903,308.
5 Multiply line 4 by line 3	5	104,886.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,504.
7 Add lines 5 and 6	7	106,390.
8 Enter qualifying distributions from Part XII, line 4	8	95,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,007.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,007.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,007.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	23.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,030.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of VINCENT R. D'AGOSTINO	Telephone no.	203-846-3300	
Located at 45 TURKEY HILL ROAD SOUTH, WESTPORT, CT		ZIP+4	06880	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VINCENT R. D'AGOSTINO	TRUSTEE			
45 TURKEY HILL ROAD SOUTH				
WESTPORT, CT 06880	0.50	0.	0.	0.
NICOLYS A.H. D'AGOSTINO	TRUSTEE			
45 TURKEY HILL ROAD SOUTH				
WESTPORT, CT 06880	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors <i>(continued)</i>
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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,864,389.
b	Average of monthly cash balances	1b	67,903.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,932,292.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,932,292.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,984.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,903,308.
6	Minimum investment return. Enter 5% of line 5	6	95,165.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	95,165.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	3,007.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,007.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	92,158.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	92,158.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	92,158.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	95,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	95,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	95,250.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				92,158.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011	19,438.			
d From 2012	10,909.			
e From 2013	9,179.			
f Total of lines 3a through e	39,526.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 95,250.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				92,158.
e Remaining amount distributed out of corpus	3,092.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	42,618.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	42,618.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011	19,438.			
c Excess from 2012	10,909.			
d Excess from 2013	9,179.			
e Excess from 2014	3,092.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or		4942(i)(5)
---------------	--	------------

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
RENSSELAER POLYTECHNIC INSTITUTE 110 8TH ST TROY, NY 12180		PUBLIC CHARITY	GENERAL SUPPORT	20,000.
CARLETON COLLEGE 1 N COLLEGE ST NORTHFIELD, MN 55057		PUBLIC CHARITY	GENERAL SUPPORT	10,000.
COUNCIL OF CHURCHES GREATER BRIDGEPORT 1100 BOSTON AVE BRIDGEPORT, CT 06610		PUBLIC CHARITY	GENERAL SUPPORT	5,000.
NANTUCKET CONSERVATION FOUNDATION 118 CLIFF RD NANTUCKET, MA 02554		PUBLIC CHARITY	GENERAL SUPPORT	7,500.
NANTUCKET LAND COUNCIL 6 ASH LN NANTUCKET, MA 02554		PUBLIC CHARITY	GENERAL SUPPORT	2,000.
Total	SEE CONTINUATION SHEET(S)			95,250.
b Approved for future payment				
NONE				
Total				0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

1 Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

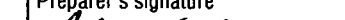
b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		
/		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: May 13, 2015 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature 	Date 5.11.15	Check ☐ if self-employed	PTIN
	ALAN KUFELD				P00173728
	Firm's name ▶ FLYNN FAMILY OFFICE, LLC			Firm's EIN ▶ 46-5669095	
	Firm's address ▶ 135 WEST 50TH STREET, 19TH FL NEW YORK, NY 10020			Phone no. (212) 202-3230	

Form **990-PF** (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED - UBS 7187	P		
b	SEE ATTACHED - UBS 7187	P		
c	SEE ATTACHED - UBS 7188	P		
d	SEE ATTACHED - UBS 7188	P		
e	SEE ATTACHED - UBS 7189	P		
f	SEE ATTACHED - UBS 7190	P		
g	SEE ATTACHED - UBS 7190	P		
h	SEE ATTACHED - UBS 7191	P		
i	SEE ATTACHED - UBS 7191	P		
j	SEE ATTACHED - UBS 7192	P		
k	SEE ATTACHED - UBS 7192	P		
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	135,927.	135,378.	549.
b	154,194.	115,974.	38,220.
c	56,735.	51,253.	5,482.
d	43,698.	27,259.	16,439.
e	96,823.	59,809.	37,014.
f	21,260.	20,199.	1,061.
g	91,399.	93,702.	<2,303.>
h	136,846.	137,366.	<520.>
i	87,624.	56,194.	31,430.
j	130,972.	141,193.	<10,221.>
k	128,620.	100,446.	28,174.
l	60.		60.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			549.
b			38,220.
c			5,482.
d			16,439.
e			37,014.
f			1,061.
g			<2,303.>
h			<520.>
i			31,430.
j			<10,221.>
k			28,174.
l			60.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	145,385.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THE D'AGOSTINO FAMILY FOUNDATION
C/O VINCENT R. D'AGOSTINO, TRUSTEE

20-6745445

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SCONSET TRUST P.O. BOX 821 SIASCONSET, MA 02564		PUBLIC CHARITY	GENERAL SUPPORT	2,000.
BRIDGEPORT RESCUE MISSION 1088 FAIRFIELD AVE BRIDGEPORT, CT 06605		PUBLIC CHARITY	GENERAL SUPPORT	5,000.
CONNECTICUT FOOD BANK 150 BRADLEY STREET EAST HAVEN, CT 06512		PUBLIC CHARITY	GENERAL SUPPORT	2,500.
BRIDGEPORT AREA YOUTH MINISTRY (BAYM) 506 LOGAN ST BRIDGEPORT, CT 06607		PUBLIC CHARITY	GENERAL SUPPORT	2,500.
SMART KIDS WITH LEARNING DISABILITIES 38 KINGS HIGHWAY NORTH WESTPORT, CT 06880		PUBLIC CHARITY	GENERAL SUPPORT	5,000.
PIVOT MINISTRIES 495 JANE ST BRIDGEPORT, CT 06608		PUBLIC CHARITY	GENERAL SUPPORT	2,500.
GREENS FARMS ACADEMY 35 BEACHSIDE AVE WESTPORT, CT 06880		PUBLIC CHARITY	GENERAL SUPPORT	5,000.
KENYON COLLEGE 209 CHASE AVE GAMBIER, OH 43022		PUBLIC CHARITY	GENERAL SUPPORT	2,500.
HOMES WITH HOPE 49 RICHMONDVILLE AVE WESTPORT, CT 06880		PUBLIC CHARITY	GENERAL SUPPORT	22,500.
UNQUOWA SCHOOL 981 STRATFIELD RD FAIRFIELD, CT 06825		PUBLIC CHARITY	GENERAL SUPPORT	1,250.
Total from continuation sheets				50,750.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS 7186	7.	7.	
TOTAL TO PART I, LINE 3	7.	7.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS 7187	5,719.	36.	5,683.	5,683.	
UBS 7188	2,909.	0.	2,909.	2,909.	
UBS 7189	11,102.	0.	11,102.	11,102.	
UBS 7190	7,824.	0.	7,824.	7,824.	
UBS 7191	2,558.	0.	2,558.	2,558.	
UBS 7192	2,275.	24.	2,251.	2,251.	
TO PART I, LINE 4	32,387.	60.	32,327.	32,327.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS 7187 - NONDIVIDEND DISTRIBUTION	46.	0.	
UBS 7187 - DEFERRED INCOME	68.	68.	
UBS 7188 - NONDIVIDEND DISTRIBUTION	13.	0.	
UBS 7192 - NONDIVIDEND DISTRIBUTION	43.	0.	
UBS 7192 - DEFERRED INCOME	29.	29.	
TOTAL TO FORM 990-PF, PART I, LINE 11	199.	97.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FLYNN FAMILY OFFICE LLC	3,000.	750.		0.
TO FORM 990-PF, PG 1, LN 16B	3,000.	750.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS 7187 - FOREIGN TAXES	18.	18.		0.
UBS 7189 - FOREIGN TAXES	179.	179.		0.
UBS 7190 - FOREIGN TAXES	724.	724.		0.
UBS 7191 - FOREIGN TAXES	38.	38.		0.
UBS 7192 - FOREIGN TAXES	29.	29.		0.
FEDERAL TAXES	3,261.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,249.	988.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS 7187 - MANAGEMENT FEES	6,286.	6,286.		0.
UBS 7188 - MANAGEMENT FEES	3,189.	3,189.		0.
UBS 7189 - MANAGEMENT FEES	5,405.	5,405.		0.
UBS 7190 - MANAGEMENT FEES	2,655.	2,655.		0.
UBS 7191 - MANAGEMENT FEES	3,463.	3,463.		0.
UBS 7192 - MANAGEMENT FEES	4,464.	4,464.		0.
UBS 7186 - INTEREST EXPENSE	2.	2.		0.
UBS 7190 - ADR FEES	252.	252.		0.
UBS 7191 - ADR FEES	2.	2.		0.
NONDEDUCTIBLE INTEREST EXPENSE	24.	0.		0.
TO FORM 990-PF, PG 1, LN 23	25,742.	25,718.		0.

FORM 990-PF	OTHER FUNDS	STATEMENT	7
DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR	
RETAIN EARNINGS	1,465,549.	1,515,476.	
TOTAL TO FORM 990-PF, PART II, LINE 29	1,465,549.	1,515,476.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
UBS 7187	378,033.	501,014.	
UBS 7188	175,123.	268,440.	
UBS 7189	295,306.	415,096.	
UBS 7190	127,959.	133,380.	
UBS 7191	204,692.	248,554.	
UBS 7192	277,873.	310,858.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,458,986.	1,877,342.	

UBS FINANCIAL SERVICES INC.

Account HG 27187

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	5- Reported Gross (Net)	1d- Proceeds & 6- Reported (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AMERICAN RESIDENTIAL PPTYS INC REIT SBI / CUSIP: 02927E303 / Symbol: ARP	397.000	7,157.75	7,334.18	02/14/14	7,334.18	0.00		-176.43 Sale
03/26/14								
ANGIES LIST INC / CUSIP: 034754101 / Symbol: ANGI	248.000	3,365.23	6,442.99	05/15/13	6,442.99	0.00		-3,077.76 Sale
03/06/14								
BARRETT BUSINESS SVCS INC COMMON STOCK / CUSIP: 068463108 / Symbol: BBSI	76.000	1,773.45	7,668.36	01/21/14	7,668.36	0.00		-5,894.91 Sale
10/31/14								
CAL-MAINE FOOD INC NEW / CUSIP: 128030202 / Symbol: CALM	20.000	1,545.97	1,044.42	11/07/13	1,044.42	0.00		501.55 Sale
08/25/14								
10/31/14	11.000	968.95	574.43	11/07/13	574.43	0.00		394.52 Sale
	Security total:	2,514.92	1,618.85		1,618.85	0.00		896.07
COWEN GROUP INC CL A / CUSIP: 223622101 / Symbol: COWN	1,835.000	7,323.33	7,965.00	07/14/14	7,965.00	0.00		-641.67 Sale
10/31/14								
EMC INSURANCE GROUP INC COMMON STOCK / CUSIP: 268664109 / Symbol: EMC1	100.000	3,320.38	3,286.16	04/28/14	3,286.16	0.00		34.22 Sale
	16.000	531.26	537.29	04/29/14	537.29	0.00		-6.03 Sale
05/14/14	116.000	3,851.64	3,823.45	VARIOUS	3,823.45	0.00		28.19 Total of 2 lots
FXCM INC / CUSIP: 302693106 / Symbol: FXCM	380.000	5,225.56	6,744.66	10/09/13	6,744.66	0.00		-1,519.10 Sale
08/22/14								
FEDERATED NATL HLDG CO COM / CUSIP: 31422T101 / Symbol: FNHC	75.000	2,630.12	1,790.60	08/22/14	1,790.60	0.00		839.52 Sale
10/31/14								
FUTUREFUEL CORPORATION / CUSIP: 36116M106 / Symbol: FF	429.000	5,997.55	7,372.62	11/15/13	7,372.62	0.00		-1,375.07 Sale
08/22/14								
GREEN PLAINS INC / CUSIP: 393222104 / Symbol: GPPE	30.000	883.41	656.34	01/21/14	656.34	0.00		227.07 Sale
03/26/14								
08/22/14	98.000	4,291.19	2,144.03	01/21/14	2,144.03	0.00		2,147.16 Sale
08/25/14	5.000	222.11	109.39	01/21/14	109.39	0.00		112.72 Sale
	Security total:	5,396.71	2,909.76		2,909.76	0.00		2,486.95

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27187

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & 14- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
INSYS THERAPEUTICS INC NEW COM NEW / CUSIP: 45824V209 / Symbol: INSY	55.000	4,203.66	4,203.66	11/15/13	2,332.82	0.00	1,870.84	Sale
03/06/14								
INTER PARFUMS INC / CUSIP: 458334109 / Symbol: IPAR	193.000	5,637.49	5,637.49	11/15/13	7,287.18	0.00	-1,649.69	Sale
07/14/14								
LACLEDE GROUP INC (HOLDING CO) / CUSIP: 505597104 / Symbol: LG	141.000	6,327.67	6,327.67	05/15/13	6,532.45	0.00	-204.78	Sale
01/21/14								
LANNETT CO INC / CUSIP: 516012101 / Symbol: LCI	18.000	768.25	768.25	09/24/13	351.73	0.00	416.52	Sale
02/14/14								
03/06/14	38.000	1,643.16	1,643.16	09/24/13	703.46	0.00	939.70	Sale
06/19/14	42.000	1,989.64	1,989.64	09/24/13	820.70	0.00	1,168.94	Sale
		4,401.05	4,401.05		1,875.89	0.00	2,525.16	
Security total:								
OFG BANCORP COM / CUSIP: 67103X102 / Symbol: OFG	460.000	7,133.43	7,133.43	11/15/13	7,232.35	0.00	-98.92	Sale
10/31/14								
OFFICE DEPOT INC COMMON STOCK / CUSIP: 676220106 / Symbol: ODP	1,534.000	6,265.94	6,265.94	09/24/13	6,981.69	0.00	-715.75	Sale
03/26/14								
OMNIVISION TECHNOLOGIES INC / CUSIP: 682128103 / Symbol: OVTI	10.000	272.03	272.03	07/14/14	218.69	0.00	53.34	Sale
08/25/14								
ON ASSIGNMENT INC COMMON STOCK / CUSIP: 682159108 / Symbol: ASGN	240.000	8,662.42	8,662.42	02/14/14	7,389.31	0.00	1,273.11	Sale
05/14/14								
PGT INC / CUSIP: 69336V101 / Symbol: PGTI	762.000	6,878.42	6,878.42	08/22/14	8,058.84	0.00	-1,180.42	Sale
12/09/14								
PILGRIMS PRIDE CORP NEW COM / CUSIP: 72147K108 / Symbol: PPC	438.000	9,347.81	9,347.81	10/09/13	6,862.98	0.00	2,484.83	Sale
04/28/14								
RF MICRO DEVICES INC / CUSIP: 749941100 / Symbol: RFMD	180.000	2,337.09	2,337.09	05/14/14	1,597.54	0.00	739.55	Sale
10/31/14								
11/19/14	39.000	532.20	532.20	05/14/14	346.13	0.00	186.07	Sale
		2,869.29	2,869.29		1,943.67	0.00	925.62	
Security total:								

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account HG 27187

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1b- Date acquired	1d- Proceeds & 6- Reported (G)Gross (N)et	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SANDERSON FARMS INC COMMON STOCK / CUSIP: 800013104 / Symbol: SAFM	93,000	8,582.45	04/28/14	8,582.45	7,582.77	0.00	999.68	Sale
12/09/14								
SKECHERS USA INC CL A / CUSIP: 830566105 / Symbol: SKX	8,000	456.73	01/21/14	456.73	216.83	0.00	239.90	Sale
08/21/14								
08/25/14	40,000	2,398.35	01/21/14	2,398.35	1,084.15	0.00	1,314.20	Sale
10/31/14	35,000	1,907.28	01/21/14	1,907.28	948.63	0.00	958.65	Sale
Security total:		4,762.36		4,762.36	2,249.61	0.00	2,512.75	
STILL WATER MINING CO COMMON STOCK / CUSIP: 86074Q102 / Symbol: SWC	529,000	9,270.79	03/06/14	9,270.79	7,822.53	0.00	1,448.26	Sale
09/05/14								
SUPERVALU INC COMMON STOCK / CUSIP: 868536103 / Symbol: SVU	833,000	4,887.04	08/01/13	4,887.04	6,750.30	0.00	-1,863.26	Sale
02/14/14								
UNISYS CORP / CUSIP: 909214306 / Symbol: UIS	35,000	1,188.69	04/25/13	1,188.69	586.14	0.00	602.55	Sale
03/07/14								
Totals:		135,926.80		135,926.80	135,377.69	0.00	549.11	

2-LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1b- Date acquired	1d- Proceeds & 6- Reported (G)Gross (N)et	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMERCO COMMON STOCK / CUSIP: 023586100 / Symbol: UHAL	15,000	4,340.80	05/04/12	4,340.80	1,394.40	0.00	2,946.40	Sale
07/14/14								
AMERICAN FINANCIAL GROUP INC (HOLDING CO) / CUSIP: 025932104 / Symbol: AFG	20,000	1,181.85	05/04/12	1,181.85	790.80	0.00	391.05	Sale
07/14/14								
AMTRUST FINANCIAL SERVICES INC / CUSIP: 032359309 / Symbol: AFSI	40,000	2,177.67	06/12/13	2,177.67	1,214.29	0.00	963.38	Sale
12/09/14								
BROCADE COMMUNICATIONS SYS INC NEW / CUSIP: 111621306 / Symbol: BRCD	150,000	1,532.16	06/21/12	1,532.16	741.00	0.00	791.16	Sale
03/07/14								

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UBS FINANCIAL SERVICES INC.

Account HG 27187

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Rep. on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
C&J ENERGY SVCS INC / CUSIP: 124678304 / Symbol: CJES							
2 tax lots for 01/21/14 Total proceeds (and cost when required) reported to the IRS.							
	233,000	5,309.72	01/23/12	4,122.84	0.00	1,186.88	Sale
	70,000	1,595.20	05/04/12	1,241.10	0.00	354.10	Sale
01/21/14	303,000	6,904.92	VARIOUS	5,363.94	0.00	1,540.98	Total of 2 lots
CENTENE CORP / CUSIP: 15135B101 / Symbol: CNC							
10/31/14	23,000	2,146.51	05/15/13	1,188.13	0.00	958.38	Sale
CIRRUS LOGIC INC COMMON STOCK / CUSIP: 172755100 / Symbol: CRUS							
2 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS.							
	160,000	3,079.21	04/13/11	2,882.32	0.00	196.89	Sale
	20,000	384.90	05/04/12	520.80	0.00	-135.90	Sale
10/31/14	180,000	3,464.11	VARIOUS	3,403.12	0.00	60.99	Total of 2 lots
COEUR MINING INC / CUSIP: 192108504 / Symbol: CDE							
3 tax lots for 04/28/14. Total proceeds (and cost when required) reported to the IRS.							
	155,000	1,285.94	01/23/12	4,155.75	0.00	-2,869.81	Sale
	115,000	954.09	05/04/12	2,277.61	0.00	-1,323.52	Sale
	59,000	489.49	02/27/13	1,145.61	0.00	-656.12	Sale
04/28/14	329,000	2,729.52	VARIOUS	7,578.97	0.00	-4,849.45	Total of 3 lots
CORELOGIC INC COM / CUSIP: 21871D103 / Symbol: CLGX							
03/26/14	245,000	7,283.40	02/27/13	6,384.53	0.00	898.87	Sale
HFF INC CL A / CUSIP: 40418F108 / Symbol: HF / Note: P5							
12/09/14	35,000	1,213.77	09/24/13	823.88	0.00	389.89	Sale
ITT CORP / CUSIP: 450911201 / Symbol: ITT							
03/07/14	25,000	1,098.23	01/23/13	645.67	0.00	452.56	Sale
08/25/14	20,000	969.38	01/23/13	516.54	0.00	452.84	Sale
	Security total:	2,067.61		1,162.21	0.00	905.40	
LANNETT CO INC / CUSIP: 516012101 / Symbol: LCI							
10/31/14	16,000	916.78	09/24/13	312.65	0.00	604.13	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27187

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or
disposed

Quantity

6- Reported

1d- Proceeds &
Gross (Net)1b- Date
acquired1e- Cost or
other basis1f- Adjustments &
Code(s), if any

7- Loss not allowed(X)

Gain or loss(-) &
Additional information

5- COVERED tax lots 3- Basis is reported to the IRS**

2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS.

LEAPFROG ENTERPRISES INC / CUSIP: 52186N106 / Symbol: LF	528 000	3,833.53	06/21/12	5,494.53	0.00	-1,661.00	Sale
	151 000	7,096.33	10/12/12	1,269.91	0.00	-173.58	Sale
03/06/14	679 000	4,929.86	VARIOUS	6,764.44	0.00	-1,834.58	Total of 2 lots

NUVASIVE INC / CUSIP: 670704105 / Symbol: NUVA

11/19/14 21 000

12/09/14 20 000

Security total:

907.70	414.42	0.00	493.28	Sale
908.78	394.69	0.00	514.09	Sale
1,816.48	809.11	0.00	1,007.37	

OLD DOMINION FREIGHT LINES INC COMMON STOCK / CUSIP: 679580100 / Symbol: ODFL

01/21/14 14 000

04/28/14 10 000

07/14/14 156 000

Security total:

781.94	326.30	0.00	455.64	Sale
594.49	233.08	0.00	361.41	Sale
9,685.74	3,635.95	0.00	6,049.79	Sale
11,062.17	4,195.33	0.00	6,866.84	

PHH CORPORATION NEW / CUSIP: 693320202 / Symbol: PHH

05/14/14 270 000

5,887.76	6,307.71	0.00	-419.95	Sale
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POST PROPERTIES INC COMMON STOCK / CUSIP: 737464107 / Symbol: PPS

2 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.

94 000	4,518.30	0.00	397.23	Sale
15 000	721.01	0.00	-6.70	Sale
109 000	5,239.31	0.00	390.53	Total of 2 lots

RENEWABLE ENERGY GROUP INC / CUSIP: 75972A301 / Symbol: REGI

09/05/14 442 000

4,861.09	6,945.10	0.00	-2,084.01	Sale
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SERVICE CORP INTL COMMON STOCK / CUSIP: 817565104 / Symbol: SCI

2 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.

319 000	5,882.87	0.00	2,572.67	Sale
85 000	1,567.53	0.00	578.98	Sale
404 000	7,450.40	0.00	3,151.65	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27187

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

3- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & 6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SMITH & WESSON HOLDINGS CORP / CUSIP: 831756101 / Symbol: SWHC	585 000	6,220.52	6,220.52	10/22/12	5,646.01	0.00	574.51	Sale
09/05/14								
STANCORP FINANCIAL GROUP INC / CUSIP: 852891100 / Symbol: SFG	80 000	4,691.28	4,691.28	05/04/12	2,924.48	0.00	1,766.80	Sale
04/28/14								
STONE ENERGY CORP COMMON STOCK / CUSIP: 861642106 / Symbol: SGY								
2 tax lots for 11/19/14. Total proceeds (and cost when required) reported to the IRS.								
	141 000	2,939.90	2,939.90	03/07/12	4,164.24	0.00	-1,224.34	Sale
	75 000	1,563.77	1,563.77	05/04/12	1,876.49	0.00	-312.72	Sale
11/19/14	216 000	4,503.67	4,503.67	VARIOUS	6,040.73	0.00	-1,537.06	Total of 2 lots
SUSQUEHANNA BANCSHARES INC PA COMMON STOCK / CUSIP: 869099101 / Symbol: SUSQ	517 000	6,746.70	6,746.70	04/25/13	6,109.39	0.00	637.31	Sale
11/19/14								
TASER INTL INC / CUSIP: 876518104 / Symbol: TASR	568 000	10,173.55	10,173.55	09/18/12	3,486.27	0.00	6,687.28	Sale
01/21/14								
UNITED CMNTY BKS BLAIRSVLE GA COM / CUSIP: 90984P303 / Symbol: UCBI	454 000	7,608.60	7,608.60	08/09/13	6,907.97	0.00	700.63	Sale
08/22/14								
UNIVERSAL ELECTRONICS INC COMMON STOCK / CUSIP: 913483103 / Symbol: UEIC	25 000	1,477.47	1,477.47	11/07/13	965.45	0.00	512.02	Sale
12/09/14								
WESTERN REFINING INC / CUSIP: 959319104 / Symbol: WNR								
2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS.								
	115 000	4,477.65	4,477.65	04/13/11	2,035.67	0.00	2,441.98	Sale
	65 000	2,530.85	2,530.85	05/04/12	1,162.20	0.00	1,368.65	Sale
03/06/14	180 000	7,008.50	7,008.50	VARIOUS	3,197.87	0.00	3,810.63	Total of 2 lots
FABRINET FOREIGN STOCK / CUSIP: G3323L100 / Symbol: FN	374 000	6,398.96	6,398.96	11/07/13	7,097.21	0.00	-698.25	Sale
12/09/14								
Totals:		132,035.42	132,035.42		106,902.52	0.00	25,132.90	

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UBS FINANCIAL SERVICES INC.

Account HG 27187

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 1041, Part II, with Box F checked.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds & Reported (G)ross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
AMERCO COMMON STOCK / CUSIP: 023586100 / Symbol: UHAL								
04/28/14	3 000		736 78	08/11/10	229.56	0 00	507.22	Sale
07/14/14	23 000		6,655.89	08/11/10	1,759.94	0.00	4,895.95	Sale
Security total:			7,392.67		1,989.50	0 00	5,403.17	
AMERICAN FINANCIAL GROUP INC (HOLDING CO) / CUSIP: 025932104 / Symbol: AFG								
3 tax lots for 07/14/14. Total proceeds (and cost when required) reported to the IRS.								
	77 000		4,550.13	06/08/09	1,758.50	0 00	2,791.63	Sale
	9 000		531.84	12/14/09	216.36	0.00	315.48	Sale
	30 000		1,772.78	02/04/10	749.40	0.00	1,023.38	Sale
07/14/14	116 000		6,854.75	VARIOUS	2,724.26	0.00	4,130.49	Total of 3 lots
DELUXE CORP COMMON STOCK / CUSIP: 248019101 / Symbol: DLX								
05/14/14	16 000		880.78	05/27/09	233.90	0.00	646.88	Sale
08/25/14	10 000		594.34	05/27/09	146.19	0.00	448.15	Sale
Security total:			1,475.12		380.09	0.00	1,095.03	
SANMINA CORPORATION COM / CUSIP: 801056102 / Symbol: SANM								
04/24/14	41 000		891.55	09/15/10	450.93	0 00	440.62	Sale
10/31/14	60 000		1,498.55	09/15/10	659.90	0 00	838.65	Sale
Security total:			2,390.10		1,110.83	0 00	1,279.27	
STANCORP FINANCIAL GROUP INC / CUSIP: 852891100 / Symbol: SFG								
2 tax lots for 04/28/14. Total proceeds (and cost when required) reported to the IRS.								
	49 000		2,873.41	10/15/09	2,042.19	0.00	831.22	Sale
	20 000		1,172.82	02/04/10	824.42	0.00	348.40	Sale
04/28/14	69 000		4,046.23	VARIOUS	2,866.61	0.00	1,179.62	Total of 2 lots
Totals:			22,158.87		9,071.29	0.00	13,087.58	

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UBS FINANCIAL SERVICES INC.

Account HG 27188

2014 1099-B*

OMB No 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ABBOTT LABS COMMON STOCK / CUSIP: 002824100 / Symbol: ABL	100,000		3,576.26	07/25/13	3,645.62	0.00		-69.36 Sale
ALLERGAN INC COMMON STOCK / CUSIP: 018490102 / Symbol: AGN	8,000		1,036.29	12/02/13	771.94	0.00		264.35 Sale
03/13/14	8,000		1,312.82	12/02/13	771.94	0.00		540.88 Sale
05/08/14	25,000		5,270.35	12/02/13	2,412.32	0.00		2,858.03 Sale
11/24/14			7,619.46		3,956.20	0.00		3,663.26 Sale
Security total:								
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB	2,000		681.82	09/23/13	484.73	0.00		197.09 Sale
03/13/14	1,000		343.44	09/23/13	242.37	0.00		101.07 Sale
08/25/14			1,025.26		727.10	0.00		298.16 Sale
Security total:								
BORGWARNER INC / CUSIP: 099724106 / Symbol: BWA	76,000		3,855.79	11/25/13	4,013.21	0.00		-157.42 Sale
10/13/14								
FOSSIL GROUP INC COM / CUSIP: 34988V106 / Symbol: FOSL	30,000		3,015.96	11/06/13	3,831.24	0.00		-815.28 Sale
07/22/14	7,000		703.72	03/13/14	808.72	0.00		-105.00 Sale
	37,000		3,719.68	VARIOUS	4,639.96	0.00		-920.28 Total of 2 lots
Security total:								
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE	18,000		3,503.77	12/12/13	3,943.19	0.00		-439.42 Sale
06/02/14								
LAM RESEARCH CORP COMMON STOCK / CUSIP: 512807108 / Symbol: LRCX	7,000		480.43	11/06/13	378.53	0.00		101.90 Sale
08/14/14	12,000		875.86	11/06/13	648.91	0.00		226.95 Sale
10/03/14			1,356.29		1,027.44	0.00		328.85 Sale
Security total:								
MANITOWOC CO COMMON STOCK / CUSIP: 563571108 / Symbol: MTW	138,000		3,807.91	04/15/14	4,128.85	0.00		-320.94 Sale
08/13/14	10,000		275.94	05/08/14	282.47	0.00		-6.53 Sale
	148,000		4,083.85	VARIOUS	4,411.32	0.00		-327.47 Total of 2 lots
Security total:								

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UBS FINANCIAL SERVICES INC.

Account HG 27188

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds & 6- Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA	50 000		3,840.47	10/10/13	3,371.78	0.00	468.69	Sale
08/25/14								
NOW INC COM / CUSIP: 67011P100 / Symbol: DNOW								
06/02/14	0.750	26.17		03/11/14	23.10	0.00	3.07	Sale
06/18/14	13.000	437.74		03/11/14	400.34	0.00	37.40	Sale
Security total:		463.91			423.44	0.00	40.47	
PACKAGING CORP OF AMERICA / CUSIP: 695156109 / Symbol: PKG								
10/03/14	63.000	4,021.63		01/29/14	4,018.80	0.00	2.83	Sale
ROCKWELL AUTOMATION INC NEW / CUSIP: 773903109 / Symbol: ROK								
09/02/14	36.000	4,216.23		09/03/13	3,521.54	0.00	694.69	Sale
SKYWOKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS								
01/29/14	12.000	361.53		06/21/13	261.70	0.00	99.83	Sale
03/12/14	34.000	1,262.30		06/21/13	741.49	0.00	520.81	Sale
06/12/14	21.000	988.51		06/21/13	457.98	0.00	528.53	Sale
Security total:		2,610.34			1,461.17	0.00	1,149.17	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW / CUSIP: 85590A401 / Symbol: HOT								
03/11/14	54.000	4,305.47		08/07/13	3,633.55	0.00	671.92	Sale
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS								
10/03/14	43.000	4,244.28		03/12/14	4,225.52	0.00	18.76	Sale
WHIRLPOOL CORP COMMON STOCK / CUSIP: 963320106 / Symbol: WHR								
08/11/14	29.000	4,291.86		03/04/14	4,233.07	0.00	58.79	Sale
Totals:		56,734.55			51,252.91	0.00	5,481.64	

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UBS FINANCIAL SERVICES INC.

Account HG 27188

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss, not allowed(X)	Additional information
AGILENT TECHNOLOGIES INC / CUSIP: 00846U101 / Symbol: A	68,000	3,859.93	01/17/12	2,719.17	0.00	1,140.76	Sale
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG	25,000	3,989.09	06/27/12	1,591.19	0.00	2,397.90	Sale
FIDELITY NATL INFORMATION SVCS / CUSIP: 31620M106 / Symbol: FIS	78,000	4,043.59	02/22/13	2,866.23	0.00	1,177.36	Sale
HANESBRANDS INC / CUSIP: 410345102 / Symbol: HBI	14,000	1,370.74	05/02/13	692.42	0.00	678.32	Sale
08/14/14	2,000	206.45	05/02/13	98.92	0.00	107.53	Sale
08/25/14		1,577.19		791.34	0.00	785.85	Sale
Security total:							
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM	1,000	183.98	02/15/12	192.36	0.00	-8.38	Sale
KLA-TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC	60,000	4,656.08	05/16/12	2,866.84	0.00	1,789.24	Sale
PETSMART INC COMMON STOCK / CUSIP: 716768106 / Symbol: PETM	12,000	691.49	03/15/13	746.36	0.00	-54.87	Sale
06/12/14							
SANDISK CORP / CUSIP: 80004C101 / Symbol: SNDK	16,000	1,542.53	05/15/13	944.73	0.00	597.80	Sale
05/30/14							
SCRIPPS NETWORKS INTERACT INC CL A / CUSIP: 811065101 / Symbol: SNI	51,000	4,160.93	08/08/12	2,994.42	0.00	1,166.51	Sale
03/04/14							
SYMANTEC CORP COMMON STOCK / CUSIP: 871503108 / Symbol: SYMC	136,000	3,162.39	03/28/12	2,495.33	0.00	667.06	Sale
01/21/14							
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX	66,000	4,024.08	06/06/12	2,775.25	0.00	1,248.83	Sale
03/19/14							
Totals:		31,891.28		20,983.22	0.00	10,908.06	

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UBS FINANCIAL SERVICES INC.

Account HG 27188

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

5- NONCOVERED (tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CISCO SYS INC / CUSIP: 17275R102 / Symbol CSCO	100.000	2,178.95	05/28/09	1,857.82	0.00	321.13	Sale
03/12/14							
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD	4,000	382.95	01/18/07	65.95	0.00	317.00	Sale
08/14/14							
08/25/14	2,000	213.55	01/18/07	32.98	0.00	180.57	Sale
09/02/14	7,000	759.32	01/18/07	115.43	0.00	643.89	Sale
Security total:		1,355.82		214.36	0.00	1,141.46	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG	5,000	2,784.77	12/09/10	1,483.05	0.00	1,301.72	Sale
04/09/14							
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM	14,000	2,575.66	06/06/06	1,107.61	0.00	1,468.05	Sale
05/30/14							
PETSMART INC COMMON STOCK / CUSIP: 716768106 / Symbol: PETM	36,000	2,074.48	11/05/10	1,386.24	0.00	688.24	Sale
06/12/14							
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP	2,000	211.59	08/09/10	77.83	0.00	133.76	Sale
08/25/14							
WSTN DIGITAL CORP COMMON STOCK / CUSIP: 958102105 / Symbol: WDC	5,000	421.23	04/13/09	106.65	0.00	314.58	Sale
01/29/14							
08/25/14	2,000	204.02	04/13/09	42.67	0.00	161.35	Sale
Security total:		625.25		149.32	0.00	475.93	
Totals:		11,806.52		6,276.23	0.00	5,530.29	

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UBS FINANCIAL SERVICES INC.

Account HG 27189

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1f- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
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ABBVIE INC COM / CUSIP: 00287Y109 / Symbol: ABBV

2 tax lots for 02/05/14. Total proceeds (and cost when required) reported to the IRS.

15,000	717.22	05/03/11	412.09	0.00			305.13 Sale
56,000	2,677.63	05/05/11	1,532.17	0.00			1,145.46 Sale
71,000	3,394.85	VARIOUS	1,944.26	0.00			1,450.59 Total of 2 lots

AMGEN INC COMMON STOCK / CUSIP: 031162100 / Symbol: AMGN

07/29/14	10,000		1,233.86	01/30/12	683.21	0.00	550.65 Sale
08/06/14	24,000		3,057.77	01/30/12	1,639.70	0.00	1,418.07 Sale
	Security total:		4,291.63		2,322.91	0.00	1,968.72

ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN

3 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS.

13,000	1,038.88	11/26/12	600.29	0.00			438.59 Sale
56,000	4,470.75	11/26/12	2,585.85	0.00			1,884.90 Sale
21,000	1,678.20	12/10/12	1,015.49	0.00			662.71 Sale
90,000	7,187.83	VARIOUS	4,201.63	0.00			2,986.20 Total of 3 lots
48,000	3,693.42	12/10/12	2,321.12	0.00			1,372.30 Sale
	Security total:		10,881.25		6,522.75	0.00	4,358.50

CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI

02/21/14	14,000		1,080.53	05/03/11	647.90	0.00	442.63 Sale
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CHESAPEAKE ENERGY CORP OKLA / CUSIP: 165167107 / Symbol: CHK

05/06/14	4,000		113.34	01/25/12	91.83	0.00	21.51 Sale
05/07/14	71,000		2,059.12	01/25/12	1,630.06	0.00	429.06 Sale
	Security total:		2,172.46		1,721.89	0.00	450.57

CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO

2 tax lots for 02/12/14. Total proceeds (and cost when required) reported to the IRS.

72,000	1,642.41	01/30/12	1,406.87	0.00			235.54 Sale
46,000	1,049.31	08/31/12	877.69	0.00			171.62 Sale
118,000	2,691.72	VARIOUS	2,284.56	0.00			407.16 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27189

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	5- Reported (Gross Net)	1d- Proceeds & 6- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FREEMPORT-MCMORAN INC / CUSIP: 356710857 / Symbol: FCX	28 000		959 78	02/24/11	1,435.96	0 00	-476 18	Sale
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC								
2 tax lots for 08/21/14. Total proceeds (and cost when required) reported to the IRS								
	63 000		2,213.45	09/07/12	1,529.43	0 00	684 02	Sale
	17 000		597 28	11/28/12	338.29	0 00	259 99	Sale
08/21/14	80 000		2,810 73	VARIOUS	1,867.72	0 00	943.01	Total of 2 lots
KROGER COMPANY COMMON STOCK / CUSIP: 501044101 / Symbol: KR								
2 tax lots for 03/10/14. Total proceeds (and cost when required) reported to the IRS.								
	53 000		2,318 16	09/29/11	1,176 55	0 00	1,141.61	Sale
	69 000		3,017.99	06/19/12	1,571 24	0 00	1,446.75	Sale
03/10/14	122 000		5,336 15	VARIOUS	2,747.79	0 00	2,588.36	Total of 2 lots
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT								
2 tax lots for 10/16/14. Total proceeds (and cost when required) reported to the IRS.								
	1 000		42 77	05/03/11	25.81	0 00	16.96	Sale
	27 000		1,154.86	05/05/11	700.65	0 00	454.21	Sale
10/16/14	28 000		1,197 63	VARIOUS	726.46	0 00	471.17	Total of 2 lots
10/21/14	115 000		5,152 67	05/05/11	2,984.25	0 00	2,168.42	Sale
Security total:			6,350.30		3,710.71	0 00	2,639 59	
MURPHY OIL CORP COMMON STOCK / CUSIP: 626717102 / Symbol: MUR								
2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS								
	30 000		1,411 14	10/30/13	1,879.30	0 00	-468 16	Sale
	30 000		1,411 13	11/01/13	1,765.14	0 00	-354.01	Sale
12/09/14	60 000		2,822.27	VARIOUS	3,644.44	0 00	-822.17	Total of 2 lots
PARKER HANNIFIN CORP COMMON STOCK / CUSIP: 701094104 / Symbol: PH								
09/05/14	12 000		1,410 57	03/01/11	1,051.15	0 00	359.42	Sale
09/08/14	12 000		1,411 43	03/01/11	1,051.15	0 00	360 28	Sale
09/09/14	11 000		1,307.90	03/01/11	963.56	0 00	344.34	Sale
Security total:			4,129.90		3,065.86	0 00	1,064.04	

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UBS FINANCIAL SERVICES INC.

Account HG 27189

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
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SLM CORP VTG / CUSIP: 78442P106 / Symbol: SLM

3 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS

44,000	375.35	11/23/12	267.84	0.00	107.51	Sale
119,000	1,015.16	11/26/12	717.42	0.00	297.74	Sale
176,000	1,501.41	03/11/13	1,209.90	0.00	291.51	Sale
339,000	2,891.92	VARIOUS	2,195.16	0.00	696.76	Total of 3 lots

STAPLES INC / CUSIP: 855030102 / Symbol: SPLS

03/11/14	237,000	2,766.24	06/20/12	3,084.77	0.00	-318.53	Sale
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VERITIV CORP COM / CUSIP: 923454102 / Symbol: VRTV

07/02/14	0.677	24.52	09/29/11	12.06	0.00	12.46	Sale
07/16/14	0.298	11.47	09/29/11	5.32	0.00	6.15	Sale
	1,702	65.44	02/01/12	39.89	0.00	25.55	Sale
	2,000	76.91	VARIOUS	45.21	0.00	31.70	Total of 2 lots
	Security total:	101.43		57.27	0.00	44.16	

WALGREEN CO **MERGER EFF 12/2014** / CUSIP: 931422109 / Symbol:

03/17/14	41,000	2,751.45	12/05/12	1,453.07	0.00	1,298.38	Sale
04/10/14	19,000	1,221.35	12/05/12	673.37	0.00	547.98	Sale
04/11/14	40,000	2,517.53	12/05/12	1,417.62	0.00	1,099.91	Sale
10/09/14	17,000	1,050.38	12/05/12	602.49	0.00	447.89	Sale

2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.

10/10/14	8,000	504.99	12/05/12	283.52	0.00	221.47	Sale
	35,000	2,209.35	12/06/12	1,249.54	0.00	959.81	Sale
	43,000	2,714.34	VARIOUS	1,533.06	0.00	1,181.28	Total of 2 lots
	Security total:	10,255.05		5,679.61	0.00	4,575.44	

ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV

04/03/14	3,000	153.84	11/23/11	143.41	0.00	10.43	Sale
04/07/14	15,000	754.35	11/23/11	717.04	0.00	37.31	Sale
04/09/14	39,000	1,955.65	11/23/11	1,864.32	0.00	91.33	Sale
	Security total:	2,863.84		2,724.77	0.00	139.07	

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UBS FINANCIAL SERVICES INC.

Account HG 27189

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
				45,658.33	0.00		20,151.72
Totals:		65,810.05					

3- Basis is reported to the IRS**

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ABBVIE INC COM / CUSIP: 00287Y109 / Symbol: ABBV	17 000		08/27/10	440.44	0.00		372.41 Sale

3- Basis NOT reported to the IRS**

CBS CORP NEW CL B / CUSIP: 124857202 / Symbol: CBS

05/29/14 25 000

05/30/14 45 000

Security total.

1,326.83 Sale
2,374.88 Sale
3,701.71

CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI

02/21/14 33 000

1,604.54 Sale

CHESAPEAKE ENERGY CORP OKLA / CUSIP: 165167107 / Symbol: CHK

2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS

	21 000	595.05	12/09/09	474.55	0.00		120.50 Sale
05/06/14	55 000	1,558.46	11/08/10	1,249.05	0.00		309.41 Sale
	76 000	2,153.51	VARIOUS	1,723.60	0.00		429.91 Total of 2 lots

CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX

2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS

	2 000	226.82	02/03/05	114.96	0.00	111.86
	4 000	453.64	05/27/09	258.40	0.00	195.24
10/10/14	6 000	680.46	VARIOUS	373.36	0.00	307.10
						Total of 2 lots
10/13/14	13 000	1,474.89	05/27/09	839.80	0.00	635.09
		2,155.35		1,213.16	0.00	942.19
	Security total					

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27189

2014 1099-B*

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked.

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CONAGRA FOOD INC / CUSIP: 205887102 / Symbol: CAG								
2 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS								
	13,000	369.60	11/11/09	285.80	0.00	0.00	83.80	Sale
	37,000	1,051.92	11/12/09	827.29	0.00	0.00	224.63	Sale
02/21/14	50,000	1,421.52	VARIOUS	1,113.09	0.00	0.00	308.43	Total of 2 lots
FREEPORT-MCMORAN INC / CUSIP: 35671D857 / Symbol: FCX								
09/05/14	3,000	104.91	11/11/09	123.97	0.00	0.00	-19.06	Sale
09/08/14	41,000	1,432.70	11/11/09	1,694.23	0.00	0.00	-261.53	Sale
09/09/14	12,000	411.33	11/11/09	495.87	0.00	0.00	-84.54	Sale
	Security total:	1,948.94		2,314.07	0.00	0.00	-365.13	
MARATHON OIL CORP / CUSIP: 565849106 / Symbol: MRO								
2 tax lots for 12/29/14. Total proceeds (and cost when required) reported to the IRS								
	38,000	1,086.74	02/03/06	824.49	0.00	0.00	262.25	Sale
	15,000	428.98	05/27/09	266.21	0.00	0.00	162.77	Sale
12/29/14	53,000	1,515.72	VARIOUS	1,090.70	0.00	0.00	425.02	Total of 2 lots
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT								
10/16/14	5,000	213.86	10/05/10	121.84	0.00	0.00	92.02	Sale
NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC								
03/17/14	15,000	1,836.11	05/27/09	652.63	0.00	0.00	1,183.48	Sale
PPG INDUSTRIES INC COMMON STOCK / CUSIP: 693506107 / Symbol: PPG								
07/17/14	23,000	4,782.25	05/27/09	1,011.08	0.00	0.00	3,771.17	Sale
TIME WARNER INC NEW / CUSIP: 887317303 / Symbol: TWX								
2 tax lots for 03/26/14. Total proceeds (and cost when required) reported to the IRS								
	17,000	1,103.33	05/27/09	366.37	0.00	0.00	736.96	Sale
	17,000	1,117.11	05/27/09	366.38	0.00	0.00	750.73	Sale
03/26/14	34,000	2,220.44	VARIOUS	732.75	0.00	0.00	1,487.69	Total of 2 lots
03/27/14	21,000	1,339.14	02/25/10	597.87	0.00	0.00	741.27	Sale

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Account HG 27189

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds & Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TIME WARNER INC NEW / CUSIP: 887317303 / Symbol: TWX (cont'd)							
2 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS.							
	16,000	1,044.69	02/25/10	455.52	0.00	589.17	Sale
	22,000	1,429.42	02/25/10	626.34	0.00	803.08	Sale
04/08/14	38,000	2,474.11	VARIOUS	1,081.86	0.00	1,392.25	Total of 2 lots
04/09/14	21,000	1,372.97	02/25/10	597.87	0.00	775.10	Sale
Security total		7,406.66		3,010.35	0.00	4,396.31	
Totals:		31,012.49		14,150.43	0.00	16,862.06	

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 1099-B, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AEON NV ADR N Y SHS NETHERLANDS ADR / CUSIP: 007924103 / Symbol: AEG	91,000	837.08	05/01/13	609.18	0.00	227.90	Sale
ALLIANZ SE ADR / CUSIP: 018805101 / Symbol: AZSEY	63,000	1,099.80	04/19/13	862.43	0.00	237.37	Sale
01/23/14	14,000	238.85	04/19/13	191.65	0.00	47.20	Sale
Security total:		1,338.65		1,054.08	0.00	284.57	
ALSTOM ADR / CUSIP: 021244207 / Symbol: ALSMY	84,000	314.19	05/07/13	308.85	0.00	5.34	Sale
04/24/14	205,000	771.91	06/21/13	683.40	0.00	88.51	Sale
2 tax lots for 06/20/14. Total proceeds (and cost when required) reported to the IRS.	280,000	1,054.32	01/28/14	821.98	0.00	232.34	Sale
06/20/14	485,000	1,826.23	VARIOUS	1,505.38	0.00	320.85	Total of 2 lots
Security total:		2,140.42		1,814.23	0.00	326.19	
BANCO SANTANDER BRASIL ADR / CUSIP: 05967A107 / Symbol: BSBR	84,000	564.15	07/23/13	539.28	0.00	24.87	Sale
2 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS.	130,000	873.08	01/13/14	691.60	0.00	181.48	Sale
04/29/14	214,000	1,437.23	VARIOUS	1,230.88	0.00	206.35	Total of 2 lots
CATLIN GROUP SPON ADR / CUSIP: 149188104 / Symbol: CNGRY	23,000	422.20	06/24/13	338.16	0.00	84.04	Sale
02/14/14	12,000	732.59	03/28/14	546.52	0.00	186.07	Sale
CHINA MOBILE LTD SPON ADR / CUSIP: 16941M109 / Symbol: CHL	10,000	609.13	03/28/14	455.42	0.00	153.71	Sale
08/19/14	26,000	1,643.77	03/28/14	1,184.12	0.00	459.65	Sale
08/25/14		2,985.49		2,186.06	0.00	799.43	
Security total:							
CHINA RESOURCES PWR HLDGS CO ADR / CUSIP: 16943S104 / Symbol: CRPJY	15,000	689.68	08/05/14	645.21	0.00	44.47	Sale
08/25/14	138,000	599.70	02/28/13	335.16	0.00	264.54	Sale
FINMECCANICA SPA ADR / CUSIP: 318027208 / Symbol: FINMY							
01/31/14							

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS									
Report on Form 9949, Part I, with Box A checked									
1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	5- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1g- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) &	Additional information	
FINMECCANICA SPA ADR / CUSIP: 318027208 / Symbol FINMY (cont'd)									
02/03/14	181,000	770.05	02/28/13	439.60	0 00		330.45	Sale	
02/04/14	12 000	50.51	02/28/13	29.14	0 00		21.37	Sale	
Security total:		1,420.26		803.90	0 00		616.36		
HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280406 / Symbol: HSBC									
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS									
	21,000	1,135.02	01/22/14	1,171.51	0 00		-36.49	Sale	
	1,000	54.05	01/23/14	55.39	0 00		-1.34	Sale	
08/25/14	22 000	1,189.07	VARIOUS	1,226.90	0 00		-37.83	Total of 2 lots	
HENDERSON LAND DEV LTD HONG KONG SPON ADR / CUSIP: 425166303 / Symbol: HLDCY									
07/25/14	0 700	4.16	05/15/14	3.99	0 00		0.17	Sale	
3 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.									
	192,900	1,266.36	05/15/14	1,099.62	0 00		166.74	Sale	
	99 000	649.92	05/16/14	567.90	0 00		82.02	Sale	
	100,100	657.14	05/19/14	571.71	0 00		85.43	Sale	
08/25/14	392 000	2,573.42	VARIOUS	2,239.23	0 00		334.19	Total of 3 lots	
Security total:		2,577.58		2,243.22	0 00		334.36		
KINROSS GOLD CORP NEW FOREIGN STOCK CAD / CUSIP: 496902404 / Symbol KGC									
3 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS.									
	21 000	45.73	11/04/13	103.93	0 00		-58.20	Sale	
	145 000	315.75	03/28/14	608.58	0 00		-292.83	Sale	
	228 000	496.48	04/04/14	982.50	0 00		-486.02	Sale	
10/31/14	394 000	857.96	VARIOUS	1,695.01	0 00		-837.05	Total of 3 lots	
POSCO SPON ADR / CUSIP: 693483109 / Symbol: PKX									
08/25/14	18,000	1,503.87	05/07/14	1,327.61	0 00		176.26	Sale	
TAKATA CORP ADR / CUSIP: 874058103 / Symbol TKTDY									
08/25/14	13,000	525.13	06/25/14	554.95	27 53 W		-2.29	Sale	
3 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS									
	8,000	159.64	06/25/14	341.50	0 00		-181.86	Sale	

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
TAKATA CORP ADR / CUSIP: 87405B103 / Symbol: TKTDY (cont'd)								
	31,000	618.60	618.60	06/26/14	1,301.58	0.00	-682.98	Sale
	12,000	239.46	239.46	09/18/14	576.43	0.00	-336.97	Sale
11/11/14	51,000	1,017.70	1,017.70	VARIOUS	2,219.51	0.00	-1,201.81	Total of 3 lots
Security total:		1,542.83	1,542.83		2,774.46	27.53 W	-1,204.10	
TELEOR ASA ADR / CUSIP: 87944W105 / Symbol: TELNY								
08/25/14	11,000	760.19	760.19	03/24/14	705.03	0.00	55.16	Sale
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ								
02/24/14	0 982	45.87	45.87	02/24/14	47.25	0.00	-1.38	Sale
2 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS								
	13,220	609.43	609.43	02/24/14	636.08	0.00	-26.65	Sale
	15,780	727.45	727.45	02/24/14	759.26	0.00	-31.81	Sale
02/26/14	29,000	1,336.88	1,336.88	VARIOUS	1,395.34	0.00	-58.46	Total of 2 lots
Security total:		1,382.75	1,382.75		1,442.59	0.00	-59.84	
FLEXTRONICS INTL LTD FOREIGN STOCK / CUSIP: Y2573F102 / Symbol: FLEX								
08/25/14	16 000	175.09	175.09	02/03/14	129.17	0.00	45.92	Sale
Totals:		21,260.35	21,260.35		20,225.69	27.53 W	1,062.19	

2-LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
AEGON NV ADR N Y SHS NETHERLANDS ADR / CUSIP: 007924103 / Symbol: AEG								
08/25/14	47,000	360.98	360.98	05/01/13	314.63	0.00	46.35	Sale
AGEAS SPON ADR / CUSIP: 00844W208 / Symbol: AGESY								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
	21 400	721.38	721.38	04/10/12	427.96	0.00	293.42	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 9949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/Gross (N)et	1d- Proceeds & 6- Reported (G)/Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**								
AGEAS SPON ADR / CUSIP: 00844W208 / Symbol: AGESY (cont'd)								
08/25/14	14,600	492.15	14,600	05/07/12	259.97	0.00		232.18 Sale
	36,000	1,213.53	1,213.53	VARIOUS	687.93	0.00		525.60 Total of 2 lots
AGRIUM INC (CANADA) FOREIGN STOCK CAD / CUSIP: 008916108 / Symbol: AGU								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
	12,000	1,116.45	1,116.45	07/01/13	1,045.65	0.00		70.80 Sale
	1,000	93.04	93.04	07/02/13	87.81	0.00		5.23 Sale
08/25/14	13,000	1,209.49	1,209.49	VARIOUS	1,133.46	0.00		76.03 Total of 2 lots
10/24/14	6,000	556.97	556.97	07/02/13	526.84	0.00		30.13 Sale
	Security total:	1,766.46	1,766.46		1,660.30	0.00		106.16
ALLIANZ SE ADR / CUSIP: 018805101 / Symbol: AZSEY								
01/23/14	108,000	1,885.37	1,885.37	08/09/11	1,193.60	0.00		691.77 Sale
ALSTOM ADR / CUSIP: 021244207 / Symbol: ALSMY								
3 tax lots for 04/24/14. Total proceeds (and cost when required) reported to the IRS								
	149,000	557.31	557.31	08/18/11	655.42	0.00		-98.11 Sale
	170,000	635.86	635.86	10/03/11	540.96	0.00		94.90 Sale
	260,000	972.48	972.48	10/02/12	896.06	0.00		76.42 Sale
04/24/14	579,000	2,165.65	2,165.65	VARIOUS	2,092.44	0.00		73.21 Total of 3 lots
06/20/14	111,000	417.96	417.96	05/07/13	408.13	0.00		9.83 Sale
	Security total:	2,583.61	2,583.61		2,500.57	0.00		83.04
BANCO SANTANDER BRASIL ADR / CUSIP: 05967A107 / Symbol: BSBR								
5 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS								
	7,000	47.01	47.01	10/12/12	51.24	0.00		-4.23 Sale
	103,000	691.75	691.75	10/18/12	760.14	0.00		-68.39 Sale
	67,000	449.98	449.98	11/05/12	464.78	0.00		-14.80 Sale
	29,000	194.76	194.76	11/06/12	203.48	0.00		-8.72 Sale
	92,000	617.88	617.88	01/15/13	714.31	0.00		-96.43 Sale
04/29/14	298,000	2,001.38	2,001.38	VARIOUS	2,193.95	0.00		-192.57 Total of 5 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked
1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & If Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
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BARRICK GOLD CORP FOREIGN STOCK CAD / CUSIP: 067901108 / Symbol: ABX

5 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS.

61.000	723.21	01/20/11	2,862.63	0.00			-2,139.42 Sale
10.000	118.56	09/27/11	484.89	0.00			-366.33 Sale
12.000	142.27	07/27/12	387.57	0.00			-245.30 Sale
36.000	426.81	12/21/12	1,207.76	0.00			-780.95 Sale
33.000	391.24	04/19/13	591.00	0.00			-199.76 Sale
152.000	1,802.09	VARIOUS	5,533.85	0.00			-3,731.76 Total of 5 lots

CAMECO CORP CANADA FOREIGN STOCK CAD / CUSIP: 13321L108 / Symbol: CCJ

02/28/14 46 000 1,104.80 12/19/11 785.99 0.00 318.81 Sale

2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.

23.000	460.45	12/19/11	393.00	0.00			67.45 Sale
38.000	760.74	11/09/12	657.42	0.00			103.32 Sale
61.000	1,221.19	VARIOUS	1,050.42	0.00			170.77 Total of 2 lots
Security total:	2,325.99		1,836.41	0.00			489.58

CARREFOUR SA SPON ADR / CUSIP: 144430204 / Symbol: CRRFY

2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.

9.000	62.46	10/04/11	40.28	0.00			22.18 Sale
159.000	1,103.43	12/19/11	679.38	0.00			424.05 Sale
168.000	1,165.89	VARIOUS	719.66	0.00			446.23 Total of 2 lots

CATLIN GROUP SPON ADR / CUSIP: 149188104 / Symbol: CNGRY

08/25/14 35 000 600.24 06/24/13 514.59 0.00 85.65 Sale

CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS) SPON ADR / CUSIP: 15234Q207 / Symbol: EBR

08/25/14 101 000 327.61 04/09/13 318.14 0.00 9.47 Sale

CREDIT SUISSE GROUP SPON ADR / CUSIP: 225401108 / Symbol: CS

2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.

44.293	1,254.34	02/07/12	1,180.95	0.00			73.39 Sale
7.707	218.27	07/13/12	130.88	0.00			87.39 Sale
52.000	1,472.61	VARIOUS	1,311.83	0.00			160.78 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27190

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DAICHI SANKYO CO LTD SPON ADR / CUSIP: 23381D102 / Symbol: DSNKY								
2 tax lots for 06/11/14 Total proceeds (and cost when required) reported to the IRS.								
	57 000		978 44	04/20/11	1,089 61	0 00	-111 17	Sale
	43 000		738 13	04/21/11	838 26	0 00	-100 13	Sale
06/11/14	100 000		1,716 57	VARIOUS	1,927 87	0 00	-211 30	Total of 2 lots
ELECTRICITE DE FRANCE ADR / CUSIP: 285039103 / Symbol: ECIFY								
	88 000		705 06	12/16/11	409 94	0 00	295 12	Sale
04/03/14				12/16/11	745 36	0 00	294 62	Sale
08/25/14	160 000		1,039 98		1,155 30	0 00	589 74	
	Security total		1,745 04					
ERICSSON SEK 10 NEW 2002 ADR / CUSIP: 294821608 / Symbol: ERIC								
3 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS								
	28 000		351 67	09/07/12	256 64	0 00	95 03	Sale
	78 000		979 67	09/21/12	745 70	0 00	233 97	Sale
	22 000		276 31	10/26/12	190 38	0 00	85 93	Sale
08/25/14	128 000		1,607 65	VARIOUS	1,192 72	0 00	414 93	Total of 3 lots
	45 000		584 95	10/26/12	389 41	0 00	195 54	Sale
09/22/14			2,192 60		1,582 13	0 00	610 47	
	Security total		2,192 60					
FINMECCANICA SPA ADR / CUSIP: 318027208 / Symbol: FINMY								
3 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS.								
	42 000		182 52	08/04/11	146 90	0 00	35 62	Sale
	167 000		725 72	08/04/11	584 10	0 00	141 62	Sale
	12 000		52 14	08/05/11	41 55	0 00	10 59	Sale
01/31/14	221 000		960 38	VARIOUS	772 55	0 00	187 83	Total of 3 lots
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR / CUSIP: 368287207 / Symbol: OGZPY								
	41 000		309 54	08/11/11	441 38	0 00	-131 84	Sale
08/25/14								
GLAXO SMITHKLINE PLC ADR / CUSIP: 37733W105 / Symbol: GSK								
	14 000		673 95	02/08/11	543 96	0 00	129 99	Sale
08/25/14								

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8429, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
HOME RETAIL GROUP PLC SPON ADR / CUSIP: 43731T102 / Symbol: HMRTY							
2 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS.							
	41,000	531.97	12/22/11	214.60	0.00		317.37 Sale
	43,000	557.91	12/23/11	230.01	0.00		327.90 Sale
01/10/14	84,000	1,089.88	VARIOUS	444.61	0.00		645.27 Total of 2 lots
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS							
	43,000	538.78	12/23/11	230.01	0.00		308.77 Sale
	26,000	325.77	05/15/12	288.86	0.00		36.91 Sale
08/25/14	69,000	864.55	VARIOUS	518.87	0.00		345.68 Total of 2 lots
Security total:		1,954.43		963.48	0.00		990.95
ING GROEP N V NL SPON ADR / CUSIP: 45683T103 / Symbol: ING							
01/23/14	91,000	1,282.26	06/27/12	547.30	0.00		734.96 Sale
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.							
	52,000	714.99	06/27/12	312.74	0.00		402.25 Sale
	28,000	384.99	02/14/13	247.24	0.00		137.75 Sale
08/25/14	80,000	1,099.98	VARIOUS	559.98	0.00		540.00 Total of 2 lots
Security total:		2,382.24		1,107.28	0.00		1,274.96
KB FINL GROUP INC SPON ADR / CUSIP: 48241A105 / Symbol KB							
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS							
	11,000	431.63	12/20/12	387.28	0.00		44.35 Sale
	11,000	431.63	01/11/13	395.65	0.00		35.98 Sale
08/25/14	22,000	863.26	VARIOUS	782.93	0.00		80.33 Total of 2 lots
KINROSS GOLD CORP NEW FOREIGN STOCK CAD / CUSIP: 496902404 / Symbol KGC							
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.							
	113,000	441.03	01/21/11	1,939.69	0.00		-1,498.66 Sale
	48,000	187.34	02/24/11	750.40	0.00		-563.06 Sale
08/25/14	161,000	628.37	VARIOUS	2,690.09	0.00		-2,061.72 Total of 2 lots
5 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS							
	20,000	43.55	02/24/11	312.66	0.00		-269.11 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
KINROSS GOLD CORP NEW FOREIGN STOCK CAD / CUSIP: 496902404 / Symbol: KGC (cont'd)							
	34 000	74.04	09/27/11	522.74	0.00		-448.70 Sale
	145.89	10/17/11		956.53	0.00		-810.64 Sale
	195 000	424.63	01/17/12	2,048.40	0.00		-1,623.77 Sale
	92 000	200.33	11/07/12	862.96	0.00		-662.63 Sale
10/31/14	408,000	888.44	VARIOUS	4,703.29	0.00		-3,814.85 Total of 5 lots
Security total		1,516.81		7,393.38	0.00		-5,876.57

MS&AD INS GROUP HLDGS ADR / CUSIP: 553491101 / Symbol: MSADY

3 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.

08/25/14	56 000	639.22	04/13/11	616.98	0.00		22.24 Sale
	55 000	627.81	04/14/11	610.89	0.00		16.92 Sale
	31 000	353.86	04/15/11	345.19	0.00		8.67 Sale
08/25/14	142 000	1,620.89	VARIOUS	1,573.06	0.00		47.83 Total of 3 lots

MANPOWERGROUP INC / CUSIP: 56418H100 / Symbol: MAN

08/25/14	13 000	1,017.49	07/24/12	422.00	0.00		595.49 Sale
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MITSUBISHI TANABE PHARMA CORP SPON ADR / CUSIP: 606813202 / Symbol: MTZPY

08/25/14	44 000	660.91	05/09/13	647.68	0.00		13.23 Sale
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PANASONIC CORP SPON ADR / CUSIP: 69832A205 / Symbol: PCRFY

08/25/14	27 000	324.53	03/17/11	319.74	0.00		4.79 Sale
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ROYAL DSM N V SPON ADR / CUSIP: 780249108 / Symbol: RDSMY

08/25/14	52 000	862.97	04/04/13	778.55	0.00		84.42 Sale
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ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR / CUSIP: 780259107 / Symbol: RDSB

08/25/14	22 000	1,833.66	01/15/13	1,573.22	0.00		260.44 Sale
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SK TELECOM CO LTD ADR / CUSIP: 78440P108 / Symbol: SKM

08/25/14	7 000	208.83	02/25/11	122.50	0.00		86.33 Sale
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SEKISUI HOUSE LTD SPON ADR / CUSIP: 816078307 / Symbol: SKHSY

08/25/14	60 000	771.29	06/23/11	537.41	0.00		233.88 Sale
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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & acquired	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SHISEIDO CO LTD SPONS ADR JAPAN ADR / CUSIP: 824841407 / Symbol: SSDOY	62,000		1,216.04	06/01/11	1,054.44	0.00	161.60	Sale
08/25/14								
SUMITOMO MITSUI TRUST HOLDINGS SPON ADR / CUSIP: 86562X106 / Symbol: SUTNY								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.								
113,000		470.97	395.50	04/01/11		0.00	75.47	Sale
211,000		879.43	614.12	09/12/12		0.00	265.31	Sale
324,000		1,350.40	1,009.62	VARIOUS		0.00	340.78	Total of 2 lots
08/25/14								
TNT EXPRESS N V ADR / CUSIP: 87262N109 / Symbol: TNTEY								
144,000		1,081.42	1,054.58	01/22/13		0.00	26.84	Sale
08/25/14								
TALISMAN ENERGY INC FOREIGN STOCK CAD / CUSIP: 87425E103 / Symbol: TLM								
25,000		267.08	337.61	10/20/11		0.00	-70.53	Sale
08/25/14								
5 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS.								
15,000		96.70	202.57	10/20/11		0.00	-105.87	Sale
119,000		767.18	1,401.02	01/19/12		0.00	-633.84	Sale
32,000		206.30	355.36	10/31/12		0.00	-149.06	Sale
28,000		180.51	311.91	11/05/12		0.00	-131.40	Sale
63,000		406.15	683.40	11/07/12		0.00	-277.25	Sale
257,000		1,656.84	2,954.26	VARIOUS		0.00	-1,297.42	Total of 5 lots
10/15/14								
Security total:		1,923.92	3,291.87			0.00	-1,367.95	
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP: 881575302 / Symbol: TSCDY								
27,000		336.17	465.51	05/16/13		0.00	-129.34	Sale
08/25/14								
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA								
2 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS.								
15,000		674.88	598.43	09/18/12		0.00	76.45	Sale
5,000		224.96	200.42	09/20/12		0.00	24.54	Sale
20,000		899.84	798.85	VARIOUS		0.00	100.99	Total of 2 lots
01/29/14								
12,000		608.39	481.00	09/20/12		0.00	127.39	Sale
05/30/14								
4 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.								
1,000		53.04	40.08	09/20/12		0.00	12.96	Sale
08/25/14								

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27190

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional Information
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA (cont'd)								
	17,000		901.62	09/24/12	681.86	0.00		219.76 Sale
	15,000		795.54	10/10/12	594.38	0.00		201.16 Sale
	3,000		159.11	12/12/12	117.68	0.00		41.43 Sale
08/25/14	36,000		1,909.31	VARIOUS	1,434.00	0.00		475.31 Total of 4 lots
Security total			3,417.54		2,713.85	0.00		703.69
VALLOUREC SA SPON ADR / CUSIP: 92023R308 / Symbol: VLOWY								
4 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.								
	42,000		363.33	01/10/13	443.89	0.00		-80.56 Sale
	41,000		354.68	03/01/13	439.30	0.00		-84.62 Sale
	48,000		415.24	03/01/13	507.16	0.00		-91.92 Sale
	14,000		121.11	03/27/13	136.73	0.00		-15.62 Sale
08/25/14	145,000		1,254.36	VARIOUS	1,527.08	0.00		-272.72 Total of 4 lots
VIVENDI SA ADR / CUSIP: 92852T201 / Symbol: VIVHY								
2 tax lots for 03/07/14. Total proceeds (and cost when required) reported to the IRS								
	29,900		840.24	04/10/12	497.10	0.00		343.14 Sale
	65,100		1,829.41	04/10/12	1,089.59	0.00		739.82 Sale
03/07/14	95,000		2,669.65	VARIOUS	1,586.69	0.00		1,082.96 Total of 2 lots
VODAFONE GROUP PLC SPON ADR / CUSIP: 92857W308 / Symbol: VOD								
03/24/14	32,727		1,206.05	12/20/12	1,525.86	0.00		-319.81 Sale
WEATHERFORD INTL LTD "MERGER - EFF - 06/2014" CHF / CUSIP: H27013103 / Symbol								
2 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.								
	33,000		667.95	08/23/12	420.30	0.00		247.65 Sale
	4,000		80.96	09/25/12	50.52	0.00		30.44 Sale
04/25/14	37,000		748.91	VARIOUS	470.82	0.00		278.09 Total of 2 lots
05/30/14	33,000		715.53	09/25/12	416.78	0.00		298.75 Sale
2 tax lots for 06/05/14. Total proceeds (and cost when required) reported to the IRS.								
	27,000		592.46	09/25/12	341.00	0.00		251.46 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

(continued)

2014 1099-B*

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked.

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WEATHERFORD INTL LTD "MERGER - EFF - 06/2014" CHF / CUSIP: H27013103 / Symbol: (cont'd)	72 000	1,579.88	11/13/12	674.19	0.00	905.69	Sale
06/05/14	99,000	2,172.34	VARIOUS	1,015.19	0.00	1,157.15	Total of 2 lots
Security total		3,636.78		1,902.79	0.00	1,733.99	
Totals:		57,213.48		57,562.17	0.00	-348.69	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 1b- Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BARRICK GOLD CORP FOREIGN STOCK CAD / CUSIP: 067901108 / Symbol: ABX	18,000	337.73	08/18/09	602.70	0.00	-264.97	Sale
04/04/14							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS.	47 000	850.86	08/18/09	1,573.70	0.00	-722.84	Sale
08/25/14	12,000	217.24	12/17/09	462.09	0.00	-244.85	Sale
	59 000	1,068.10	VARIOUS	2,035.79	0.00	-967.69	Total of 2 lots
10/31/14	21,000	248.97	12/17/09	808.66	0.00	-559.69	Sale
Security total		1,654.80		3,447.15	0.00	-1,792.35	

DAI NIPPON PRINTING CO SPON ADR / CUSIP: 233806306 / Symbol: DNPLY

08/25/14	88,000	908.23	02/13/08	1,280.40	0.00	-372.17	Sale
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FUJI FILM HOLDINGS CORP ADR / CUSIP: 35958N107 / Symbol: FUJII

3 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.	4,000	124.00	02/01/06	140.39	0.00	-16.39	Sale
08/25/14	42,000	1,302.02	05/10/06	1,478.44	0.00	-176.42	Sale
	13,000	403.00	02/08/08	461.59	0.00	-58.59	Sale
	59,000	1,829.02	VARIOUS	2,080.42	0.00	-251.40	Total of 3 lots
10/10/14	18,000	555.34	02/08/08	639.12	0.00	-83.78	Sale
Security total:		2,384.36		2,719.54	0.00	-335.18	

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GLAXO SMITHKLINE PLC ADR / CUSIP: 37733W105 / Symbol: GSK	9,000	433.25		04/22/10	351.15	0.00	82.10	Sale
08/25/14								
KINROSS GOLD CORP NEW FOREIGN STOCK CAD / CUSIP: 496902A04 / Symbol: KGC								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
1,000	3.90			02/04/10	16.51	0.00	-12.61	Sale
53,000	206.86			08/18/10	819.70	0.00	-612.84	Sale
54,000	210.76			VARIOUS	836.21	0.00	-625.45	Total of 2 lots
08/25/14								
NIPPON TELEGRAPH & TEL CORP SPON ADR / CUSIP: 654624105 / Symbol: NTT								
05/30/14	27,000	804.04		10/18/07	615.75	0.00	188.29	Sale
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
30,000	999.88			10/18/07	684.16	0.00	315.72	Sale
51,000	1,699.79			05/29/09	1,051.60	0.00	648.19	Sale
81,000	2,699.67			VARIOUS	1,735.76	0.00	963.91	Total of 2 lots
08/25/14								
Security total:		3,503.71			2,351.51	0.00	1,152.20	
PANASONIC CORP SPON ADR / CUSIP: 69832A205 / Symbol: PCRFY								
2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS								
6,000	65.40			08/17/07	106.16	0.00	-40.76	Sale
38,000	414.19			09/12/07	664.99	0.00	-250.80	Sale
44,000	479.59			VARIOUS	771.15	0.00	-291.56	Total of 2 lots
05/30/14								
08/25/14	116,000	1,394.29		09/12/07	2,029.98	0.00	-635.69	Sale
Security total:		1,873.88			2,801.13	0.00	-927.25	
ROHM CO LTD ADR / CUSIP: 775376106 / Symbol: ROHCY								
2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS								
11,000	276.28			02/05/09	276.78	0.00	-0.50	Sale
25,000	627.90			11/08/10	769.88	0.00	-141.98	Sale
36,000	904.18			VARIOUS	1,046.66	0.00	-142.48	Total of 2 lots
01/08/14								
08/25/14	47,000	1,398.22		11/08/10	1,447.39	0.00	-49.17	Sale
10/20/14	11,000	310.19		11/08/10	338.75	0.00	-28.56	Sale
10/21/14	3,000	85.10		11/08/10	92.38	0.00	-7.28	Sale
Security total:		2,697.69			2,925.18	0.00	-227.49	

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UBS FINANCIAL SERVICES INC.

Account HG 27190

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross Net) / Symbol SKM	1d- Proceeds & Reported (Gross Net) / Symbol SKM	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SK TELECOM CO LTD ADR / CUSIP: 78440P108 / Symbol SKM								
07/31/14	17,000		477.69	05/29/09	265.88	0.00	211.81	Sale
08/25/14	43,000		1,282.83	05/29/09	672.52	0.00	610.31	Sale
Security total:			1,760.52		938.40	0.00	822.12	
SANOFI SPON ADR / CUSIP: 80105N105 / Symbol: SNY								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.								
	13,000		695.35	08/01/08	459.53	0.00	235.82	Sale
	19,000		1,016.29	05/29/09	600.39	0.00	415.90	Sale
08/25/14	32,000		1,711.64	VARIOUS	1,059.92	0.00	651.72	Total of 2 lots
SEKISUI HOUSE LTD SPON ADR / CUSIP: 816078307 / Symbol: SKHSY								
08/25/14	13,000		167.11	03/10/08	116.09	0.00	51.02	Sale
SEVEN & I HLDGS CO LTD ADR / CUSIP: 81783H105 / Symbol: SVNDY								
08/25/14	22,000		1,828.82	05/29/09	1,069.20	0.00	759.62	Sale
SHISEIDO CO LTD SPONS ADR JAPAN ADR / CUSIP: 824841407 / Symbol: SSDOY								
08/25/14	56,000		1,098.36	04/01/09	816.43	0.00	281.93	Sale
SIEMENS A G SPON ADR / CUSIP: 826197501 / Symbol: SIEGY								
08/25/14	10,000		1,266.27	10/24/08	485.94	0.00	780.33	Sale
SWISSCOM AG SPON ADR / CUSIP: 871013108 / Symbol: SCMWY								
04/22/14	30,000		1,770.14	02/01/06	920.09	0.00	850.05	Sale
04/23/14	15,000		887.68	02/01/06	460.04	0.00	427.64	Sale
08/25/14	9,000		525.15	02/01/06	276.02	0.00	249.13	Sale
Security total:			3,182.97		1,656.15	0.00	1,526.82	
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS SPON ADR / CUSIP: 87927Y201 / Symbol: TIA								
02/04/14	55,000		447.18	02/03/06	1,267.62	0.00	-820.44	Sale
05/30/14	34,000		326.64	02/03/06	783.62	0.00	-456.98	Sale
06/05/14	100,000		989.11	02/03/06	2,304.77	0.00	-1,315.66	Sale
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS			583.25	02/03/06	1,521.15	0.00	-937.90	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27190

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (Net)	6- Reported SHS SPON ADR / CUSIP: 87927Y201 / Symbol: TIA (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS	29,000	256.28	839.53	05/05/08	481.87	0.00	-225.59	Sale
08/25/14	95,000	839.53	723.77	VARIOUS	2,003.02	0.00	-1,163.49	Total of 2 lots
10/07/14	85,000	813.23	4,139.46	05/05/08	1,412.37	0.00	-688.60	Sale
10/08/14	96,000	813.23	4,139.46	05/05/08	1,595.14	0.00	-781.91	Sale
Security total:					9,366.54	0.00	-5,227.08	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP: 892331307 / Symbol: TM	4,000	459.79		10/20/10	286.59	0.00	173.20	Sale
08/25/14								
VODAFONE GROUP PLC SPON ADR / CUSIP: 92857W308 / Symbol: VOD	0.182	7.49		11/10/08	5.58	0.00	1.91	Sale
02/27/14	29,273	1,078.75		11/10/08	897.53	0.00	181.22	Sale
03/24/14		1,086.24			903.11	0.00	183.13	
Security total:								
WACOL HOLDINGS CORP ADR / CUSIP: 930004205 / Symbol: WACL								
3 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.								
08/25/14	4,000	202.28		11/15/07	235.62	0.00	-33.34	Sale
	4,000	202.27		11/16/07	242.18	0.00	-39.91	Sale
	5,000	252.85		11/19/07	301.36	0.00	-48.51	Sale
08/25/14	13,000	657.40		VARIOUS	779.18	0.00	-121.76	Total of 3 lots
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR / CUSIP: 977874205 / Symbol: WTKWY	26,000	752.80		06/12/09	459.36	0.00	293.44	Sale
02/11/14	34,000	937.53		06/12/09	600.69	0.00	336.84	Sale
08/25/14		1,690.33			1,060.05	0.00	630.28	
Security total:								
AXIS CAPITAL HOLDINGS LTD SHS FOREIGN STOCK / CUSIP: G0692U109 / Symbol: AXS								
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
08/25/14	3,000	142.26		10/22/09	91.58	0.00	50.68	Sale
	28,000	1,327.73		02/04/10	798.03	0.00	529.70	Sale
08/25/14	31,000	1,469.99		VARIOUS	889.61	0.00	580.38	Total of 2 lots
Totals:		34,185.58			36,139.46	0.00	-1,953.88	

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional Information
ALIBABA GROUP HLDG LTD SPON	ADR / CUSIP: 01609W102 / Symbol: BABA							
10/30/14	3,000	284.59	284.59	09/24/14	269.53	0.00	25.06	Sale
12/10/14	7,000	746.44	746.44	09/24/14	628.92	0.00	117.52	Sale
	Security total:	1,041.03	898.45			0.00	142.58	
AMAZON.COM INC / CUSIP: 023135106 / Symbol: AMZN								
2 tax lots for 01/17/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	397.45	397.45	05/07/13	255.87	0.00	141.58	Sale
	1,000	397.44	397.44	05/13/13	265.22	0.00	132.22	Sale
01/17/14	2,000	794.89	794.89	VARIOUS	521.09	0.00	273.80	Total of 2 lots
2 tax lots for 04/07/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	319.12	319.12	05/13/13	265.21	0.00	53.91	Sale
	3,000	957.35	957.35	10/09/13	898.47	0.00	58.88	Sale
04/07/14	4,000	1,276.47	1,276.47	VARIOUS	1,163.68	0.00	112.79	Total of 2 lots
04/07/14	1,000	319.12	319.12	10/23/13	325.61	6.49 W	0.00	Sale
6 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	323.50	323.50	10/23/13	325.86	0.00	-2.36	Sale
	1,000	323.50	323.50	02/07/14	355.29	0.00	-31.79	Sale
	2,000	646.99	646.99	02/20/14	696.40	0.00	-49.41	Sale
	1,000	323.50	323.50	03/25/14	355.63	0.00	-32.13	Sale
	1,000	323.50	323.50	03/25/14	362.12	0.00	-38.62	Sale
	2,000	646.99	646.99	04/01/14	686.50	0.00	-39.51	Sale
04/08/14	8,000	2,597.98	2,597.98	VARIOUS	2,781.80	0.00	-193.82	Total of 6 lots
	Security total:	4,978.46	4,792.18			6.49 W	192.77	
AMERICAN AIRLS GROUP INC / CUSIP: 02376R102 / Symbol: AAL								
2 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.								
	33,000	1,191.71	1,191.71	03/24/14	1,196.95	0.00	-5.24	Sale
	35,000	1,263.93	1,263.93	03/25/14	1,321.77	0.00	-57.84	Sale
04/25/14	68,000	2,455.64	2,455.64	VARIOUS	2,518.72	0.00	-63.08	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & 1e- Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AMERICAN INTL GROUP INC COM NEW / CUSIP: 026874784 / Symbol: AIG								
2 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS.								
	15,000		714.68	04/25/13	625.28	0.00		89.40 Sale
	8,000		381.16	04/26/13	327.56	0.00		53.60 Sale
01/27/14	23,000		1,095.84	VARIOUS	952.84	0.00		143.00 Total of 2 lots
3 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.								
	16,000		774.04	04/26/13	655.11	0.00		118.93 Sale
	24,000		1,161.05	05/13/13	1,069.87	0.00		91.18 Sale
	25,000		1,209.43	05/31/13	1,122.99	0.00		86.44 Sale
02/14/14	65,000		3,144.52	VARIOUS	2,847.97	0.00		296.55 Total of 3 lots
	Security total:		4,240.36		3,800.81	0.00		439.55
ANTERO RESOURCES CORP / CUSIP: 03674X106 / Symbol: AR								
08/25/14	1,000		57.56	10/14/13	55.71	0.00		1.85 Sale
APPLE INC / CUSIP: 037833100 / Symbol: AAPL								
08/25/14	1,000		101.60	07/23/14	97.29	0.00		4.31 Sale
10/30/14	4,000		424.69	07/23/14	389.15	0.00		35.54 Sale
	Security total:		526.29		486.44	0.00		39.85
B/E AEROSPACE INC / CUSIP: 073302101 / Symbol: BEAV								
3 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS.								
	8,000		665.31	09/03/13	555.92	0.00		109.39 Sale
08/25/14	8,000		665.31	09/04/13	561.72	0.00		103.59 Sale
	2,000		166.33	09/09/13	144.36	0.00		21.97 Sale
01/09/14	18,000		1,496.95	VARIOUS	1,262.00	0.00		234.95 Total of 3 lots
2 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000		82.58	09/09/13	72.18	0.00		10.40 Sale
	5,000		412.88	09/11/13	366.93	0.00		45.95 Sale
01/10/14	6,000		495.46	VARIOUS	439.11	0.00		56.35 Total of 2 lots
01/14/14	8,000		667.00	09/11/13	587.10	0.00		79.90 Sale
03/05/14	2,000		171.30	09/11/13	146.77	0.00		24.53 Sale
03/06/14	13,000		1,123.67	09/11/13	954.03	0.00		169.64 Sale
	Security total:		3,954.38		3,389.01	0.00		565.37

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1098-B*

(continued)

2-SHORT-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & 6- Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
BOEING COMPANY COMMON STOCK / CUSIP: 097023105 / Symbol: BA	1 000	126.05	126.05	01/14/14	159.06	0.00	-33.01	Sale
01/31/14								
4 tax lots for 01/31/14 Total proceeds (and cost when required) reported to the IRS.								
	9 000	1,115.08	1,115.08	01/10/14	1,278.13	163.05 W	0.00	Sale
	2 000	252.11	252.11	01/13/14	319.79	67.68 W	0.00	Sale
	7 000	867.28	867.28	01/13/14	992.45	125.17 W	0.00	Sale
	7 000	882.37	882.37	01/14/14	1,115.12	66.50 W	-166.25	Sale
01/31/14	25 000	3,116.84	3,116.84	VARIOUS	3,705.49	422.40 W	-166.25	Total of 4 lots
5 tax lots for 02/03/14. Total proceeds (and cost when required) reported to the IRS								
	1 000	125.23	125.23	01/14/14	159.07	0.00	-33.84	Sale
	4 000	500.92	500.92	01/14/14	631.36	0.00	-130.44	Sale
	1 000	125.23	125.23	01/27/14	155.87	0.00	-30.64	Sale
	2 000	250.46	250.46	01/27/14	342.48	0.00	-92.02	Sale
	2 000	250.46	250.46	01/27/14	343.66	0.00	-93.20	Sale
02/03/14	10 000	1,252.30	1,252.30	VARIOUS	1,632.44	0.00	-380.14	Total of 5 lots
Security total:								
		4,495.19	4,495.19		5,496.99	422.40 W	-579.40	
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS								
04/01/14	7 000	519.76	519.76	01/27/14	473.36	0.00	46.40	Sale
08/25/14	1 000	79.19	79.19	01/27/14	67.63	0.00	11.56	Sale
10/30/14	2 000	170.08	170.08	01/27/14	135.24	0.00	34.84	Sale
Security total:								
		769.03	769.03		676.23	0.00	92.80	
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG								
08/14/14	12 000	1,061.57	1,061.57	08/20/13	815.51	0.00	246.06	Sale
2 tax lots for 08/15/14. Total proceeds (and cost when required) reported to the IRS.								
	4 000	357.65	357.65	08/20/13	271.84	0.00	85.81	Sale
	11 000	983.55	983.55	08/29/13	785.37	0.00	198.18	Sale
08/15/14	15 000	1,341.20	1,341.20	VARIOUS	1,057.21	0.00	283.99	Total of 2 lots
08/25/14	2 000	186.73	186.73	08/29/13	142.79	0.00	43.94	Sale
Security total:								
		2,589.50	2,589.50		2,015.51	0.00	573.99	

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
CITIGROUP INC / CUSIP: 172967424 / Symbol: C							
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.							
	10,000	482.77	05/03/13	470.39	0.00		12.38 Sale
	7,000	337.95	05/31/13	370.68	0.00		-32.73 Sale
03/04/14	17,000	820.72	VARIOUS	841.07	0.00		-20.35 Total of 2 lots
3 tax lots for 03/05/14. Total proceeds (and cost when required) reported to the IRS.							
	5,000	247.92	05/31/13	264.77	0.00		-16.85 Sale
	8,000	396.67	07/02/13	389.16	0.00		7.51 Sale
	3,000	148.75	07/16/13	156.68	0.00		-7.93 Sale
03/05/14	16,000	793.34	VARIOUS	810.61	0.00		-17.27 Total of 3 lots
2 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS.							
	11,000	524.57	07/16/13	574.50	0.00		-49.93 Sale
	3,000	143.06	08/03/13	149.08	0.00		-6.02 Sale
03/31/14	14,000	667.63	VARIOUS	723.58	0.00		-55.95 Total of 2 lots
2 tax lots for 04/22/14. Total proceeds (and cost when required) reported to the IRS.							
	6,000	288.43	09/03/13	298.16	0.00		-9.73 Sale
	21,000	1,009.52	12/12/13	1,071.41	0.00		-61.89 Sale
04/22/14	27,000	1,297.95	VARIOUS	1,369.57	0.00		-71.62 Total of 2 lots
2 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS.							
	11,000	528.17	12/12/13	561.22	0.00		-33.05 Sale
	15,000	720.24	01/09/14	826.56	0.00		-106.32 Sale
04/23/14	26,000	1,248.41	VARIOUS	1,387.78	0.00		-139.37 Total of 2 lots
	Security total:	4,828.05		5,132.61	0.00		-304.56
COMPAGNIE FINANCIERE RICHEMONT AG ADR / CUSIP: 204319107 / Symbol: CFRUY							
01/08/14	96,000	898.34	09/24/13	976.78	0.00		-78.44 Sale
3 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS.							
	20,000	192.81	09/24/13	203.49	0.00		-10.68 Sale
	49,000	472.38	09/27/13	495.77	0.00		-23.39 Sale
	64,000	616.99	10/04/13	653.27	0.00		-36.28 Sale
01/10/14	133,000	1,282.18	VARIOUS	1,352.53	0.00		-70.35 Total of 3 lots
	Security total:	2,180.52		2,329.31	0.00		-148.79

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net))	1d- Proceeds & 6- Reported (Gross (Net))	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional Information
CONTINENTAL RESOURCES INC (OKLA) / CUSIP: 212015101 / Symbol: CLR								
01/08/14	1,000		107.52	10/22/13	116.45	0.00		-8.93 Sale
01/08/14	5,000		537.63	10/21/13	599.21	49.26 W		-12.32 Sale
05/19/14	4,000		537.10	10/22/13	465.80	0.00		71.30 Sale
08/25/14	1,000		155.75	11/21/13	112.79	0.00		42.96 Sale
4 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS								
	4,000		230.08	11/21/13	225.57	0.00		4.51 Sale
	4,000		230.08	11/25/13	219.68	0.00		10.40 Sale
	2,000		115.04	11/26/13	110.11	0.00		4.93 Sale
	2,000		115.04	11/29/13	108.11	0.00		6.93 Sale
10/10/14	12,000		690.24	VARIOUS	663.47	0.00		26.77 Total of 4 lots
2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS.								
	8,000		447.67	11/29/13	432.45	0.00		15.22 Sale
	5,000		279.79	12/06/13	262.54	0.00		17.25 Sale
10/28/14	13,000		727.46	VARIOUS	694.99	0.00		32.47 Total of 2 lots
10/30/14	5,000		276.53	12/06/13	262.54	0.00		13.99 Sale
10/31/14	12,000		646.31	07/22/14	917.61	0.00		-271.30 Sale
			3,678.54		3,832.86	49.26 W		-105.06
Security total:								
CUMMINS INC / CUSIP: 231021106 / Symbol: CMI								
01/10/14	6,000		829.72	08/02/13	737.00	0.00		92.72 Sale
3 tax lots for 01/14/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000		274.30	08/02/13	245.66	0.00		28.64 Sale
	9,000		1,234.37	08/08/13	1,112.60	0.00		121.77 Sale
	3,000		411.46	08/09/13	372.64	0.00		38.82 Sale
01/14/14	14,000		1,920.13	VARIOUS	1,730.90	0.00		189.23 Total of 3 lots
2 tax lots for 01/16/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000		137.95	08/09/13	124.21	0.00		13.74 Sale
	3,000		413.84	08/14/13	383.45	0.00		30.39 Sale
01/16/14	4,000		551.79	VARIOUS	507.65	0.00		44.13 Total of 2 lots
3 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS								
	1,000		131.92	08/14/13	127.82	0.00		4.10 Sale
	4,000		527.67	08/23/13	501.18	0.00		26.49 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) / Symbol: CMI (cont'd)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CUMMINS INC / CUSIP: 231021106 / Symbol: CMI	4 000		08/26/13	501.41	0.00	26.26	Sale
01/23/14	9 000		VARIOUS	1,130.41	0.00	56.85	Total of 3 lots
3 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS							
	1.000		08/26/13	125.35	0.00	0.95	Sale
	5.000		08/28/13	611.62	0.00	19.90	Sale
	4 000		08/29/13	496.04	0.00	9.17	Sale
01/24/14	10 000		VARIOUS	1,233.01	0.00	30.02	Total of 3 lots
Security total:							
				5,338.98	0.00	412.95	
DELTA AIR LINES INC DELA NEW / CUSIP: 247361702 / Symbol: DAL							
10/30/14	2.000		06/18/14	78.70	0.00	-0.47	Sale
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG							
08/25/14	1 000		07/23/14	116.03	0.00	-7.94	Sale
10/09/14	11 000		07/23/14	1,276.32	0.00	-272.32	Sale
Security total:							
				1,392.35	0.00	-280.26	

ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL

3 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS							
	6 000		01/27/14	594.74	0.00	57.30	Sale
	6 000		01/28/14	598.30	0.00	53.74	Sale
	3 000		01/31/14	301.25	0.00	24.77	Sale
03/25/14	15 000		VARIOUS	1,494.29	0.00	135.81	Total of 3 lots
03/27/14	2 000		01/31/14	200.83	0.00	11.54	Sale
2 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS							
	3 000		01/31/14	301.25	0.00	17.51	Sale
	6 000		01/31/14	602.50	0.00	35.93	Sale
03/28/14	9 000		VARIOUS	903.75	0.00	53.44	Total of 2 lots
3 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS							
	8 000		01/31/14	803.33	0.00	39.40	Sale
	1 000		02/03/14	98.56	0.00	6.78	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1089-B*

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked.

1a-Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported / CUSIP: 278865100 / Symbol: ECL (cont'd)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL (cont'd)	3,000	316.02	316.02	02/03/14	302.88	0.00		13.14 Sale
04/08/14	12,000	1,264.09	1,264.09	VARIOUS	1,204.77	0.00		59.32 Total of 3 lots
04/09/14	12,000	1,281.98	1,281.98	02/03/14	1,182.69	0.00		99.29 Sale
Security total:		5,345.73	5,345.73		4,986.33	0.00		359.40
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB								
04/07/14	10,000	568.72	568.72	08/13/13	372.36	0.00		196.36 Sale
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS								
4 tax lots for 01/14/14. Total proceeds (and cost when required) reported to the IRS								
28,000	1,055.26	1,150.16	1,150.16	09/10/13		0.00		-94.90 Sale
6,000	226.13	244.17	244.17	09/25/13		0.00		-18.04 Sale
7,000	263.81	283.82	283.82	10/02/13		0.00		-20.01 Sale
1,000	37.69	40.43	40.43	10/03/13		0.00		-2.74 Sale
42,000	1,582.89	1,718.58	1,718.58	VARIOUS		0.00		-135.69 Total of 4 lots
01/14/14								
4 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS.								
5,000	199.52	202.17	202.17	10/03/13		0.00		-2.65 Sale
6,000	239.43	240.23	240.23	10/07/13		0.00		-0.80 Sale
7,000	279.34	274.86	274.86	10/08/13		0.00		4.48 Sale
1,000	39.90	36.63	36.63	10/11/13		0.00		3.27 Sale
19,000	758.19	753.89	753.89	VARIOUS		0.00		4.30 Total of 4 lots
05/05/14								
05/08/14	16,000	628.88	586.08	10/11/13		0.00		42.79 Sale
3 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS.								
3,000	122.42	109.89	109.89	10/11/13		0.00		12.53 Sale
17,000	693.69	640.85	640.85	10/30/13		0.00		52.84 Sale
11,000	448.86	455.63	455.63	11/26/13		0.00		-6.77 Sale
31,000	1,264.97	1,206.37	1,206.37	VARIOUS		0.00		58.60 Total of 3 lots
05/09/14								
Security total:		4,234.93	4,264.93			0.00		-30.00
GENL DYNAMICS CORP COMMON STOCK / CUSIP: 369550108 / Symbol: GD								
08/25/14	1,000	124.47	109.04	02/24/14		0.00		15.43 Sale
2 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS.								
5,000	617.10	545.18	545.18	02/24/14		0.00		71.92 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

OMB No. 1545-0715

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6-Reported STOCK / CUSIP	1d- Proceeds & Reported (Gross) / Symbol	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
GENL DYNAMICS CORP COMMON STOCK	5 000	369550108	617 10	02/25/14	544.71	0 00	72 39	Sale
08/28/14	10 000		1,234 20	VARIOUS	1,089 89	0 00	144 31	Total of 2 lots
2 tax lots for 08/29/14. Total proceeds (and cost when required) reported to the IRS.								
	1 000		122 96	02/25/14	108.94	0 00	14 02	Sale
	6 000		737.79	02/26/14	646.61	0 00	91.18	Sale
08/29/14	7 000		860.75	VARIOUS	755.55	0 00	105.20	Total of 2 lots
10/30/14	2 000		273 95	02/26/14	215 54	0 00	58.41	Sale
2 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS								
	5 000		718.58	02/26/14	538.84	0 00	179 74	Sale
	3 000		431.15	02/27/14	323 86	0 00	107.29	Sale
12/10/14	8 000		1,149.73	VARIOUS	862 70	0 00	287.03	Total of 2 lots
12/15/14	3 000		407.71	02/27/14	323 86	0 00	83 85	Sale
12/16/14	4 000		551.98	02/27/14	431 81	0 00	120.17	Sale
Security total:			4,602 79		3,788 39	0 00	814.40	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
12/08/14	1 000		529.33	06/24/14	580 58	0 00	-51.25	Sale
GRAINGER W W INC COMMON STOCK / CUSIP: 384802104 / Symbol: GWW								
06/17/14	4 000		1,034 44	03/24/14	1,008 92	0 00	25.52	Sale
06/18/14	1 000		255 90	03/24/14	252 23	0 00	3.67	Sale
3 tax lots for 07/01/14. Total proceeds (and cost when required) reported to the IRS.								
	5 000		1,262 86	03/27/14	1,250 09	0 00	12.77	Sale
	2 000		505 14	04/04/14	511.48	0 00	-6.34	Sale
	3 000		757.71	04/08/14	759 93	0 00	-2.22	Sale
07/01/14	10 000		2,525 71	VARIOUS	2,521 50	0 00	4 21	Total of 3 lots
Security total:			3,816.05		3,782.65	0 00	33.40	
HCA HOLDINGS INC / CUSIP: 40412C101 / Symbol: HCA								
08/25/14	2 000		137 39	07/31/14	130 39	0 00	7 00	Sale
10/30/14	4 000		277 07	07/31/14	260.77	0 00	16 30	Sale
Security total:			414 46		391.16	0 00	23.30	

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	5- Reported Gross (Net)	1d- Proceeds & 5- Reported (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
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HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406216101 / Symbol: HAL

08/25/14

1,000

68.41

04/23/14

64.56

0.00

3.85

Sale

3 tax lots for 10/13/14. Total proceeds (and cost when required) reported to the IRS.

19,000

994.90

04/23/14

1,226.56

0.00

Sale

19,000

994.90

04/23/14

1,230.27

0.00

Sale

4,000

209.45

07/23/14

296.22

0.00

Sale

42,000

2,199.25

VARIOUS

2,753.05

0.00

Total of 3 lots

23,000

1,223.56

07/23/14

1,703.26

0.00

Sale

1,000

53.84

07/23/14

74.05

0.00

Sale

2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS.

8,000

403.78

07/23/14

592.44

0.00

Sale

28,000

1,413.24

07/24/14

2,061.83

0.00

Sale

36,000

1,817.02

VARIOUS

2,654.27

0.00

Total of 2 lots

Security total:

5,362.08

7,249.19

0.00

-1,887.11

HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD

08/25/14

1,000

91.46

02/25/14

79.73

0.00

Sale

09/03/14

25,000

2,229.00

02/25/14

1,993.17

0.00

Sale

3 tax lots for 09/04/14. Total proceeds (and cost when required) reported to the IRS.

9,000

811.31

02/25/14

717.54

0.00

Sale

13,000

1,171.90

03/04/14

1,078.31

0.00

Sale

8,000

721.17

03/12/14

643.73

0.00

Sale

30,000

2,704.38

VARIOUS

2,439.58

0.00

Total of 3 lots

Security total:

5,024.84

4,512.48

0.00

512.36

INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE

01/27/14

4,000

816.18

09/04/13

731.19

0.00

Sale

2 tax lots for 03/05/14. Total proceeds (and cost when required) reported to the IRS

2,000

428.02

09/04/13

365.60

0.00

Sale

1,000

214.01

09/13/13

180.73

0.00

Sale

3,000

642.03

VARIOUS

546.33

0.00

Total of 2 lots

2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS

2,000

432.99

09/13/13

361.45

0.00

Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked.

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	5- Reported (Gross Net)	1d- Proceeds & 6- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
INTERCONTINENTAL EXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE (cont'd)								
	1,000	216.50	216.50	09/20/13	183.33	0.00		33.17 Sale
03/06/14	3,000	649.49	649.49	VARIOUS	544.78	0.00		104.71 Total of 2 lots
3 tax lots for 03/31/14 Total proceeds (and cost when required) reported to the IRS								
	2,000	399.08	399.08	09/26/13	360.47	0.00		38.61 Sale
	1,000	199.55	199.55	09/27/13	181.09	0.00		18.46 Sale
	3,000	598.62	598.62	10/03/13	561.47	0.00		37.15 Sale
03/31/14	6,000	1,197.25	1,197.25	VARIOUS	1,103.03	0.00		94.22 Total of 3 lots
Security total:								
		3,304.95	3,304.95		2,925.33	0.00		379.62
KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR								
10/22/14	4,000	585.02	585.02	02/11/14	475.13	0.00		109.89 Sale
10/27/14	4,000	571.38	571.38	02/11/14	475.12	0.00		96.26 Sale
10/29/14	5,000	735.08	735.08	02/11/14	593.91	0.00		141.17 Sale
Security total:								
		1,891.48	1,891.48		1,544.16	0.00		347.32
LIBERTY MEDIA CORP CL A / CUSIP: 531229102 / Symbol: LMCA								
01/27/14	4,000	536.20	536.20	09/05/13	574.47	0.00		-38.27 Sale
01/28/14	3,000	400.99	400.99	09/05/13	430.84	0.00		-29.85 Sale
7 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS								
	1,000	136.00	136.00	09/05/13	143.62	0.00		-7.62 Sale
	2,000	272.01	272.01	09/25/13	290.10	0.00		-18.09 Sale
	1,000	136.01	136.01	09/27/13	147.68	0.00		-11.67 Sale
	4,000	544.02	544.02	10/03/13	588.68	0.00		-44.66 Sale
	4,000	544.01	544.01	10/23/13	625.68	0.00		-81.67 Sale
	5,000	680.03	680.03	10/31/13	763.87	0.00		-83.84 Sale
	1,000	136.00	136.00	12/06/13	148.40	0.00		-12.40 Sale
03/14/14	18,000	2,448.08	2,448.08	VARIOUS	2,708.03	0.00		-259.95 Total of 7 lots
Security total:								
		3,385.27	3,385.27		3,713.34	0.00		-328.07
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKO								
01/27/14	3,000	621.86	621.86	07/25/13	607.94	0.00		13.92 Sale
2 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	416.72	416.72	07/25/13	405.30	0.00		11.42 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1g- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD (cont'd)							
	1,000	208.36	08/07/13	228.87	0.00		-20.51 Sale
01/28/14	3,000	625.08	VARIOUS	534.17	0.00		-9.09 Total of 2 lots
04/04/14	4,000	676.89	08/07/13	915.47	178.94 W		-59.64 Sale
6 tax lots for 04/07/14. Total proceeds (and cost when required) reported to the IRS.							
	3,000	492.61	10/08/13	659.18	0.00		-166.57 Sale
	1,000	184.20	10/23/13	240.08	0.00		-75.88 Sale
	2,000	328.40	10/23/13	475.97	0.00		-147.57 Sale
	1,000	164.20	10/30/13	223.68	0.00		-59.48 Sale
	2,000	328.41	11/07/13	426.66	0.00		-98.25 Sale
	1,000	164.20	11/25/13	216.45	0.00		-52.25 Sale
04/07/14	10,000	1,642.02	VARIOUS	2,242.02	0.00		-600.00 Total of 6 lots
3 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	161.90	11/25/13	216.44	0.00		-54.54 Sale
	3,000	485.69	12/19/13	657.17	0.00		-171.48 Sale
	3,000	485.70	03/26/14	743.01	0.00		-257.31 Sale
04/08/14	7,000	1,133.29	VARIOUS	1,616.62	0.00		-483.33 Total of 3 lots
Security total.							
		4,699.14		6,016.22	178.94 W		-1,138.14
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU							
01/23/14	2,000	93.99	03/21/13	128.65	0.00		-34.66 Sale
6 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	47.78	03/21/13	64.33	0.00		-16.55 Sale
	13,000	621.18	06/11/13	890.70	0.00		-269.52 Sale
	9,000	430.05	06/12/13	577.48	0.00		-147.43 Sale
	8,000	382.26	06/19/13	520.99	0.00		-138.73 Sale
	9,000	430.05	06/21/13	554.35	0.00		-124.30 Sale
	13,000	621.18	09/12/13	845.39	0.00		-224.21 Sale
01/24/14	53,000	2,532.50	VARIOUS	3,453.24	0.00		-920.74 Total of 6 lots
Security total.							
		2,626.49		3,581.89	0.00		-955.40
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE							
10/30/14	3,000	276.40	09/05/14	242.56	0.00		33.84 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part L with Box A checked
1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
PACIRA PHARMACEUTICALS INC / CUSIP: 695127100 / Symbol: PCR	1,000	90.47	08/11/14	101.58	11.11 W		0.00 Sale
10/30/14							
PANERA BREAD COMP CL A / CUSIP: 69840W108 / Symbol: PNRA	1,000	190.14	10/23/13	153.73	0.00		36.41 Sale
03/20/14							
03/21/14	2,000	379.26	10/23/13	307.46	0.00		71.80 Sale
03/26/14	4,000	695.02	10/23/13	614.93	0.00		80.09 Sale
Security total:		1,264.42		1,076.12	0.00		188.30
PERNOD RICARD SA ADR / CUSIP: 714264207 / Symbol: PDRD							
3 tax lots for 01/02/14 Total proceeds (and cost when required) reported to the IRS							
	9,000	199.98	10/07/13	218.17	0.00		-18.19 Sale
	13,000	288.85	10/09/13	311.66	0.00		-22.81 Sale
	5,000	111.10	10/10/13	120.45	0.00		-9.35 Sale
01/02/14	27,000	599.93	VARIOUS	650.28	0.00		-50.35 Total of 3 lots
2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS.							
	5,000	108.45	10/10/13	120.45	0.00		-12.00 Sale
	22,000	477.20	10/11/13	532.40	0.00		-55.20 Sale
01/08/14	27,000	585.65	VARIOUS	652.85	0.00		-67.20 Total of 2 lots
2 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS.							
	3,000	64.87	10/11/13	72.60	0.00		-7.73 Sale
	26,000	562.22	10/21/13	633.67	0.00		-71.45 Sale
01/10/14	29,000	627.09	VARIOUS	706.27	0.00		-79.18 Total of 2 lots
Security total:		1,812.67		2,009.40	0.00		-196.73
PIONEER NATURAL RES CO / CUSIP: 723787107 / Symbol: PXD							
10/09/14	6,000	1,066.46	07/22/14	1,376.07	0.00		-309.61 Sale
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN							
09/03/14	1,000	1,238.83	11/04/13	1,070.11	0.00		168.72 Sale
2 tax lots for 09/04/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	1,219.87	11/04/13	1,070.11	0.00		149.76 Sale
	1,000	1,219.87	01/31/14	1,160.43	0.00		59.44 Sale
09/04/14	2,000	2,439.74	VARIOUS	2,230.54	0.00		209.20 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1089-B*

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a-Description of property/CUSIP/Symbol

5-COVERED tax lots 3-Basis is reported to the IRS**

1c-Date sold or disposed	Quantity	6-Reported (Gross Net)	1d-Proceeds & 1e-Reported (Gross Net)	1b-Date acquired	1e-Cost or other basis	1g-Adjustments & 1f-Code(s), if any	7-Loss not allowed(X)	Gain or loss(-) & Additional Information
			3,678.57		3,300.65	0.00		377.92

Security total:

SAFRAN SA ADR / CUSIP: 786584102 / Symbol: SAFRY
07/09/14 10 000

152.46 03/25/14 175.75

-23.29 Sale

2 tax lots for 07/31/14. Total proceeds (and cost when required) reported to the IRS.

21.000 309.66 03/25/14 369.06

-59.40 Sale

25.000 368.64 03/26/14 434.16

-65.52 Sale

46.000 678.30 VARIOUS 803.22

-124.92 Total of 2 lots

3 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS.

18 000 263.97 03/26/14 312.59

-48.62 Sale

56 000 821.24 03/28/14 966.43

-145.19 Sale

16 000 234.64 03/31/14 279.31

-44.67 Sale

90 000 1,319.85 VARIOUS 1,558.33

-238.48 Total of 3 lots

Security total:

2,150.61 2,537.30

0.00 -386.69

SALESFORCE.COM INC / CUSIP: 79466L302 / Symbol: CRM
08/13/14 4 000

211.10 09/18/13 204.94

6.16 Sale

3 tax lots for 08/14/14. Total proceeds (and cost when required) reported to the IRS.

19 000 1,007.37 09/18/13 973.49

33.88 Sale

5 000 265.10 10/08/13 251.21

13.89 Sale

4 000 212.08 10/14/13 204.54

7.54 Sale

28 000 1,484.55 VARIOUS 1,429.24

55.31 Total of 3 lots

2 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS.

3 000 164.63 10/14/13 153.40

11.23 Sale

7 000 384.14 10/18/13 377.45

6.69 Sale

10 000 548.77 VARIOUS 530.85

17.92 Total of 2 lots

3 tax lots for 08/22/14. Total proceeds (and cost when required) reported to the IRS.

2 000 120.51 10/18/13 107.84

12.67 Sale

13 000 783.33 10/29/13 689.06

94.27 Sale

1 000 60.26 11/04/13 55.58

4.68 Sale

16 000 964.10 VARIOUS 852.48

111.62 Total of 3 lots

08/25/14 1 000

59.11 11/04/13 55.57

3.54 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

2. SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1d- Proceeds & Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM (cont'd)								
5 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS								
	6,000	350.75	11/04/13	333.45	0.00		17.30	Sale
	8,000	467.67	12/12/13	408.39	0.00		59.28	Sale
	15,000	876.87	01/14/14	863.31	0.00		13.56	Sale
	12,000	701.50	04/02/14	703.30	0.00		-1.80	Sale
	4,000	233.83	04/04/14	225.84	0.00		7.99	Sale
08/28/14	45,000	2,630.62	VARIOUS	2,534.29	0.00		96.33	Total of 5 lots
Security total:		5,898.25		5,607.37	0.00		290.88	
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB								
2 tax lots for 11/25/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	672.44	04/22/14	713.83	41.39 W		0.00	Sale
	2,000	192.12	06/04/14	207.49	15.37 W		0.00	Sale
11/25/14	9,000	864.56	VARIOUS	921.32	56.76 W		0.00	Total of 2 lots
2 tax lots for 11/26/14. Total proceeds (and cost when required) reported to the IRS.								
	6,000	556.09	06/06/14	633.51	0.00		-77.42	Sale
	8,000	741.46	06/27/14	929.67	0.00		-188.21	Sale
11/26/14	14,000	1,297.55	VARIOUS	1,563.18	0.00		-265.63	Total of 2 lots
11/26/14	5,000	463.41	06/04/14	518.73	33.19 W		-22.13	Sale
5 tax lots for 12/03/14. Total proceeds (and cost when required) reported to the IRS.								
	3,000	260.47	06/27/14	348.62	0.00		-88.15	Sale
	6,000	520.94	07/10/14	692.52	0.00		-171.58	Sale
	2,000	173.64	11/10/14	215.73	0.00		-42.09	Sale
	3,000	260.47	11/10/14	333.73	0.00		-73.26	Sale
	7,000	607.76	11/10/14	742.64	0.00		-134.88	Sale
12/03/14	21,000	1,823.28	VARIOUS	2,333.24	0.00		-509.96	Total of 5 lots
Security total:		4,448.80		5,336.47	89.95 W		-797.72	
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW								
07/23/14	17,000	468.38	03/21/14	491.51	0.00		-23.13	Sale
08/25/14	3,000	86.93	03/21/14	86.73	0.00		0.20	Sale
10/30/14	5,000	138.31	03/21/14	144.56	6.25 W		0.00	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
			693.62		722.80	6.25 W		-22.93

Security total:

TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX

3 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS.

8,000	425.99	08/13/13	421.33	0.00	4.66	Sale
16,000	851.98	10/31/13	965.77	0.00	-113.79	Sale
7,000	372.74	11/11/13	432.66	0.00	-59.92	Sale
31,000	1,650.71	VARIOUS	1,819.76	0.00	-169.05	Total of 3 lots

TESLA MTRS INC COM / CUSIP: 88160R101 / Symbol: TSLA

10/30/14

236.98

262.21

Sale

TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN

03/05/14

23,000

1,037.77

05/30/13

837.00

Sale

07/23/14

27,000

1,300.93

08/02/13

1,067.64

Sale

6 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS.

6,000	287.22	08/21/13	232.17	0.00	55.05	Sale
11,000	526.58	10/22/13	442.85	0.00	83.73	Sale
13,000	622.32	10/29/13	541.34	0.00	80.98	Sale
28,000	1,340.38	10/30/13	1,168.86	0.00	171.52	Sale
19,000	909.55	11/07/13	791.33	0.00	118.22	Sale
6,000	287.22	11/08/13	251.37	0.00	35.85	Sale
83,000	3,973.27	VARIOUS	3,427.92	0.00	545.35	Total of 6 lots

Security total:

6,311.97

5,332.56

979.41

TWITTER INC / CUSIP: 90184L102 / Symbol: TWTR

02/06/14

22,000

1,150.39

12/06/13

988.95

Sale

UNITED CONTL HLDGS INC / CUSIP: 910047109 / Symbol: UAL

3 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.

28,000	1,133.39	01/09/14	1,270.77	0.00	-137.38	Sale
15,000	607.18	01/10/14	670.25	0.00	-63.07	Sale
9,000	364.30	01/17/14	421.12	0.00	-56.82	Sale
52,000	2,104.87	VARIOUS	2,362.14	0.00	-257.27	Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

3- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Additional Information
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YAHOO INC / CUSIP 984332106 / Symbol: YHOO

3 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS.

03/25/14	33 000	1,188.30	01/28/14	1,228.91	0.00		Sale
	20 000	720.19	01/29/14	698.54	0.00		Sale
	7 000	252.06	03/12/14	262.29	0.00		Sale
	60 000	2,160.55	VARIOUS	2,189.74	0.00		Total of 3 lots

DELPHI AUTOMOTIVE PLC FOREIGN STOCK / CUSIP: G27823106 / Symbol: DLPH

08/25/14	1 000	70.77	04/01/14	69.35	0.00		Sale
09/16/14	6 000	408.84	04/01/14	416.08	0.00		Sale

2 tax lots for 10/01/14. Total proceeds (and cost when required) reported to the IRS

10/01/14	11 000	680.78	04/01/14	762.81	0.00		Sale
	6 000	371.34	04/02/14	420.15	0.00		Sale
	17 000	1,052.12	VARIOUS	1,182.96	0.00		Total of 2 lots

10/30/14

10/30/14	1 000	67.69	04/02/14	70.03	0.00		Sale
11/04/14	8 000	543.92	04/02/14	560.21	0.00		Sale

2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS.

11/05/14	4 000	278.26	04/02/14	280.10	0.00		Sale
	9 000	626.09	04/09/14	608.59	0.00		Sale
	13 000	904.35	VARIOUS	888.69	0.00		Total of 2 lots

Security total.

3,187.32

-139.63

LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA

3 tax lots for 03/19/14. Total proceeds (and cost when required) reported to the IRS

03/19/14	4 000	168.91	07/10/13	157.88	0.00		Sale
	10 000	422.28	09/03/13	401.20	0.00		Sale
	4 000	168.91	09/04/13	161.02	0.00		Sale
	18 000	760.10	VARIOUS	720.10	0.00		Total of 3 lots

LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK

3 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS

	4 000	160.16	07/10/13	152.45	0.00		Sale
	10 000	400.39	09/03/13	387.41	0.00		Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

5- COVERED tax lots 3- Basis is reported to the IRS**

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	5- Reported Gross (Net)	1d- Proceeds & Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK (cont'd)								
	4,000	160.15	155.48	09/04/13	155.48	0.00	4.67	Sale
03/25/14	18,000	720.70	695.34	VARIOUS	695.34	0.00	25.36	Total of 3 lots
TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: H8912B104 / Symbol:								
06/06/14	13,000	575.72	531.77	01/10/14	531.77	0.00	43.95	Sale
2 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS.								
	18,000	809.79	736.29	01/10/14	736.29	0.00	73.50	Sale
	16,000	719.81	657.77	01/15/14	657.77	0.00	62.04	Sale
07/24/14	34,000	1,529.60	1,394.06	VARIOUS	1,394.06	0.00	135.54	Total of 2 lots
08/25/14	1,000	44.75	41.11	01/15/14	41.11	0.00	3.64	Sale
08/26/14	12,000	532.40	493.32	01/15/14	493.32	0.00	39.08	Sale
3 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	89.21	82.22	01/15/14	82.22	0.00	6.99	Sale
	30,000	1,338.22	1,241.15	02/10/14	1,241.15	0.00	97.07	Sale
	29,000	1,293.60	1,267.17	03/05/14	1,267.17	0.00	26.43	Sale
08/28/14	61,000	2,721.03	2,590.54	VARIOUS	2,590.54	0.00	130.49	Total of 3 lots
3 tax lots for 09/03/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	87.98	87.39	03/05/14	87.39	0.00	0.59	Sale
	25,000	1,099.70	1,095.11	03/06/14	1,095.11	0.00	4.59	Sale
	3,000	131.96	128.23	03/21/14	128.23	0.00	3.73	Sale
09/03/14	30,000	1,319.64	1,310.73	VARIOUS	1,310.73	0.00	8.91	Total of 3 lots
2 tax lots for 09/04/14. Total proceeds (and cost when required) reported to the IRS.								
	18,000	790.47	769.37	03/21/14	769.37	0.00	21.10	Sale
	13,000	570.90	547.32	03/24/14	547.32	0.00	23.58	Sale
09/04/14	31,000	1,361.37	1,316.69	VARIOUS	1,316.69	0.00	44.68	Total of 2 lots
Security total:								
		8,084.51	7,678.22		7,678.22	0.00	406.29	
ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07058210 / Symbol: ASML								
01/21/14	8,000	694.02	639.75	05/22/13	639.75	0.00	54.27	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CBS CORP NEW CL B / CUSIP: 124857202 / Symbol: CBS								
03/12/14	3,000		197.16	10/04/12	106.21	0.00	90.95	Sale
08/25/14	3,000		181.94	10/04/12	106.22	0.00	75.72	Sale
10/03/14	13,000		693.05	10/04/12	460.27	0.00	232.78	Sale
10/30/14	5,000		268.84	10/04/12	177.03	0.00	91.81	Sale
2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS								
	5,000		260.30	10/04/12	177.03	0.00	83.27	Sale
	10,000		520.61	10/05/12	363.78	0.00	156.83	Sale
11/05/14	15,000		780.91	VARIOUS	540.81	0.00	240.10	Total of 2 lots
2 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS								
	15,000		785.71	10/05/12	545.68	0.00	240.03	Sale
	10,000		523.81	10/09/12	349.55	0.00	174.26	Sale
12/10/14	25,000		1,309.52	VARIOUS	895.23	0.00	414.29	Total of 2 lots
12/19/14	16,000		875.34	10/09/12	559.27	0.00	316.07	Sale
	Security total:		4,306.76		2,845.04	0.00	1,461.72	
CANADIAN PACIFIC RAILWAY LTD FOREIGN STOCK CAD / CUSIP: 13645T100 / Symbol: CP								
08/25/14	2,000		399.59	05/15/13	269.51	0.00	130.08	Sale
10/30/14	2,000		406.86	05/15/13	269.51	0.00	137.35	Sale
	Security total:		806.45		539.02	0.00	267.43	
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG								
2 tax lots for 09/23/14. Total proceeds (and cost when required) reported to the IRS								
	3,000		278.65	08/29/13	214.19	0.00	64.46	Sale
	12,000		1,114.60	09/04/13	867.87	0.00	246.73	Sale
09/23/14	15,000		1,393.25	VARIOUS	1,082.06	0.00	311.19	Total of 2 lots
10/30/14	2,000		212.48	09/04/13	144.65	0.00	67.83	Sale
	Security total:		1,605.73		1,226.71	0.00	379.02	
CITIGROUP INC / CUSIP: 172967424 / Symbol: C								
01/27/14	7,000		344.20	12/17/12	270.95	0.00	73.25	Sale
2 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS								
	10,000		484.11	12/17/12	387.06	0.00	97.05	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
CITIGROUP INC / CUSIP: 172967424 / Symbol: C (cont'd)	14,000	677.76	12/18/12	545.21	0.00	132.55	Sale
01/29/14	24,000	1,161.87	VARIOUS	932.27	0.00	229.60	Total of 2 lots
5 tax lots for 01/30/14. Total proceeds (and cost when required) reported to the IRS.							
	10,000	483.70	12/18/12	389.44	0.00	94.26	Sale
	24,000	1,160.87	12/20/12	958.11	0.00	202.76	Sale
	11,000	532.07	12/21/12	431.54	0.00	100.53	Sale
	15,000	725.54	01/17/13	621.87	0.00	103.67	Sale
	17,000	822.29	01/24/13	728.89	0.00	93.40	Sale
01/30/14	77,000	3,724.47	VARIOUS	3,129.85	0.00	594.62	Total of 5 lots
03/04/14	2,000	96.56	01/24/13	85.75	0.00	10.81	Sale
Security total:		5,327.10		4,418.82	0.00	908.28	
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA							
08/25/14	3,000	164.09	02/05/13	117.78	0.00	46.31	Sale
10/30/14	6,000	324.97	02/05/13	235.54	0.00	89.43	Sale
Security total:		489.06		353.32	0.00	135.74	
CONTINENTAL RESOURCES INC (OKLA) / CUSIP: 212015101 / Symbol: CLR							
2 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	56.25	12/12/13	58.28	0.00	-2.03	Sale
	7,000	387.14	12/12/13	407.98	0.00	-20.84	Sale
10/30/14	8,000	443.39	VARIOUS	466.26	0.00	-22.87	Total of 2 lots
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS							
08/25/14	2,000	180.79	05/06/13	129.51	0.00	51.28	Sale
10/30/14	3,000	267.53	05/06/13	194.27	0.00	73.26	Sale
Security total:		448.32		323.78	0.00	124.54	
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB							
08/25/14	3,000	225.05	08/13/13	111.71	0.00	113.34	Sale
10/30/14	6,000	440.11	08/13/13	223.42	0.00	216.69	Sale
Security total:		665.16		335.13	0.00	330.03	

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed Quantity 8- Reported (G)Gross (Net) 1d- Proceeds & 1b- Date 1e- Cost or 1f- Adjustments & Gain or loss(-) & Additional information

Reported (G)Gross (Net) acquired other basis 1f- Code(s), if any 7- Loss not allowed(X)

FLEETCOR TECHNOLOGIES INC / CUSIP: 339041105 / Symbol: FLT

08/09/14 3,000 393.11 246.73 0.00 146.38 Sale

10/30/14 1,000 139.96 81.93 0.00 58.03 Sale

Security total:

3,000 533.07 328.66 0.00 204.41

GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD

03/10/14 11,000 877.06 339.37 0.00 537.69 Sale

03/17/14 9,000 681.50 300.75 0.00 380.75 Sale

03/20/14 3,000 226.01 100.25 0.00 125.76 Sale

2 tax lots for 06/24/14 Total proceeds (and cost when required) reported to the IRS.

12,000 976.75 401.00 0.00 575.75 Sale

13,000 1,058.14 450.90 0.00 607.24 Sale

25,000 2,034.89 851.90 0.00 1,182.99 Total of 2 lots

06/24/14 3,000 320.18 104.05 0.00 216.13 Sale

08/25/14 5,000 567.09 173.43 0.00 393.66 Sale

10/30/14 5,000 471.57 173.42 0.00 298.15 Sale

2 tax lots for 12/22/14. Total proceeds (and cost when required) reported to the IRS.

24,000 2,263.51 790.41 0.00 1,473.10 Sale

29,000 2,735.08 963.83 0.00 1,771.25 Total of 2 lots

12/22/14 7,441.81 2,833.58 0.00 4,608.23

Security total:

1,135.01 694.65 440.36 0.00 Sale

GOOGLE INC CL A / CUSIP: 38259F508 / Symbol: GOOGL

04/01/14 1,000 554.59 344.91 0.00 209.68 Sale

10/30/14 1,000 529.43 344.91 0.00 184.52 Sale

4 tax lots for 12/05/14. Total proceeds (and cost when required) reported to the IRS.

1,000 529.42 367.90 0.00 161.52 Sale

1,000 529.43 372.58 0.00 156.85 Sale

1,000 529.42 375.19 0.00 154.23 Sale

4,000 2,117.70 1,460.58 0.00 657.12 Total of 4 lots

12/05/14 6 tax lots for 12/08/14. Total proceeds (and cost when required) reported to the IRS.

1,000 529.33 377.44 0.00 151.89 Sale

1,000 529.33 376.72 0.00 152.61 Sale

1,000 529.33 421.72 0.00 107.61 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported / Symbol: GOOGL (cont'd)	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL (cont'd)	1,000		529.34	07/02/13	442.87	0.00		86.47 Sale
	1,000		529.33	10/01/13	443.49	0.00		85.84 Sale
	1,000		529.33	11/05/13	513.64	0.00		15.69 Sale
12/08/14	6,000		3,175.99	VARIOUS	2,575.88	0.00		600.11 Total of 6 lots
Security total:			6,983.29		5,076.02	0.00		1,907.27
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG								
3 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS								
	2,000		1,104.27	11/29/12	687.69	0.00		416.58 Sale
	1,000		552.13	01/09/13	366.77	0.00		185.36 Sale
	1,000		552.13	01/23/13	371.43	0.00		180.70 Sale
04/04/14	4,000		2,208.53	VARIOUS	1,425.89	0.00		782.64 Total of 3 lots
08/27/14	3,000							
3 tax lots for 08/27/14. Total proceeds (and cost when required) reported to the IRS								
	1,000		571.81	01/24/13	374.03	0.00		197.78 Sale
	1,000		571.81	01/24/13	376.28	0.00		195.53 Sale
	1,000		571.81	01/28/13	375.57	0.00		196.24 Sale
08/27/14	3,000		1,715.43	VARIOUS	1,125.88	0.00		589.55 Total of 3 lots
10/17/14	1,000		510.01	05/03/13	420.42	0.00		89.59 Sale
11/26/14	3,000							
3 tax lots for 11/26/14. Total proceeds (and cost when required) reported to the IRS								
	1,000		539.14	07/02/13	441.51	0.00		97.63 Sale
	1,000		539.13	10/01/13	442.12	0.00		97.01 Sale
	1,000		539.14	11/05/13	512.05	0.00		27.09 Sale
11/26/14	3,000		1,617.41	VARIOUS	1,395.68	0.00		221.73 Total of 3 lots
Security total:			6,051.38		4,367.87	0.00		1,683.51
KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR								
08/25/14	1,000		133.90	05/13/13	75.63	0.00		58.27 Sale
08/27/14	6,000		808.31	05/13/13	453.76	0.00		354.55 Sale
	4,000		538.87	05/14/13	319.02	0.00		219.85 Sale
08/27/14	10,000		1,347.18	VARIOUS	772.78	0.00		574.40 Total of 2 lots

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Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

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5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR (cont'd)							
2 tax lots for 08/29/14 Total proceeds (and cost when required) reported to the IRS.							
	3 000	403 23	05/14/13	239 26	0.00		163.97 Sale
	2 000	268 82	05/23/13	145 75	0.00		123.07 Sale
08/29/14	5 000	672 05	VARIOUS	385 01	0.00		287.04 Total of 2 lots
6 tax lots for 09/30/14. Total proceeds (and cost when required) reported to the IRS.							
	3 000	393 69	05/23/13	218 62	0.00		175 07 Sale
	5 000	656 16	07/03/13	362 20	0.00		293 96 Sale
	1 000	131 23	07/10/13	69 13	0.00		62 10 Sale
	6 000	779 83	07/10/13	414 81	0.00		365 02 Sale
	3 000	389 92	07/25/13	217 97	0.00		171 95 Sale
	1 000	129 97	08/08/13	78 34	0.00		51 63 Sale
09/30/14	19 000	2 480 80	VARIOUS	1 361 07	0.00		1 119 73 Total of 6 lots
2 tax lots for 10/07/14. Total proceeds (and cost when required) reported to the IRS							
	3 000	418 11	08/08/13	235 01	0.00		183 10 Sale
	4 000	557 47	08/15/13	296 74	0.00		260 73 Sale
10/07/14	7 000	975 58	VARIOUS	531 75	0.00		443 83 Total of 2 lots
10/22/14	1 000	146 25	08/15/13	74 19	0.00		72 06 Sale
	Security total:	5,755 76		3,200 43	0.00		2,555 33
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU							
01/22/14	5 000	237 49	10/19/12	350 82	0.00		-113 33 Sale
4 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS.							
	4 000	187 99	11/12/12	273 53	0.00		-85 54 Sale
	3 000	140 99	01/15/13	223 13	0.00		-82 14 Sale
	7 000	328 98	01/15/13	521 43	0.00		-192 45 Sale
	4 000	187 99	03/19/13	263 58	0.00		-75 59 Sale
01/23/14	18 000	845 95	VARIOUS	1,281 67	0.00		-435 72 Total of 4 lots
01/24/14	3 000	143 35	03/21/13	203 69	0.00		-60 34 Sale
	Security total:	1,226 79		1,836 18	0.00		-609 39
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN							
07/25/14	1 000	1,229 37	05/01/12	754 53	0.00		474 84 Sale
08/25/14	1 000	1,278 10	08/20/12	584 71	0.00		693 39 Sale

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Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

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PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN (cont'd)	1,000	1,260.10	11/09/12	629.28	0.00		Sale
08/27/14							
	2 tax lots for 09/03/14. Total proceeds (and cost when required) reported to the IRS.						
	1,000	1,238.83	05/13/13	764.06	0.00		Sale
	1,000	1,238.82	05/22/13	817.07	0.00		Sale
	2,000	2,477.65	VARIOUS	1,581.13	0.00		Total of 2 lots
09/03/14							
	Security total:	6,245.22		3,549.65	0.00		2,595.57

01/09/14	8,000	692.06	11/09/12	547.63	0.00		Sale
01/10/14	8,000	699.20	11/09/12	547.63	0.00		Sale
01/14/14	4,000	354.34	11/13/12	279.27	0.00		Sale
01/15/14	5,000	440.31	11/13/12	349.09	0.00		Sale

SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB

01/09/14	8,000	692.06	11/09/12	547.63	0.00		Sale
01/10/14	8,000	699.20	11/09/12	547.63	0.00		Sale
01/14/14	4,000	354.34	11/13/12	279.27	0.00		Sale
01/15/14	5,000	440.31	11/13/12	349.09	0.00		Sale

2 tax lots for 04/01/14. Total proceeds (and cost when required) reported to the IRS.

04/01/14	5,000	486.64	11/13/12	349.09	0.00		Sale
	5,000	486.64	11/14/12	341.34	0.00		Sale
	10,000	973.28	VARIOUS	690.43	0.00		Total of 2 lots
04/02/14	1,000	97.96	11/14/12	68.27	0.00		Sale
08/25/14	2,000	220.43	11/14/12	136.54	0.00		Sale

2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.

10/10/14	4,000	373.71	11/14/12	273.07	0.00		Sale
	10,000	934.27	12/12/12	730.06	0.00		Sale
	14,000	1,307.98	VARIOUS	1,003.13	0.00		Total of 2 lots
10/30/14	2,000	194.03	12/12/12	146.01	0.00		Sale
11/13/14	7,000	671.73	07/19/13	573.19	0.00		Sale

5 tax lots for 11/14/14. Total proceeds (and cost when required) reported to the IRS.

11/14/14	8,000	760.14	07/19/13	655.07	0.00		Sale
	10,000	950.18	08/02/13	828.37	0.00		Sale
	4,000	380.07	08/05/13	329.34	0.00		Sale
	5,000	475.09	08/13/13	411.90	0.00		Sale
	3,000	285.05	11/01/13	277.98	0.00		Sale
	30,000	2,850.53	VARIOUS	2,502.66	0.00		Total of 5 lots
11/25/14	6,000	576.37	11/05/13	558.02	0.00		Sale
	Security total:	9,078.22		7,401.87	0.00		1,676.35

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UBS FINANCIAL SERVICES INC.

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- LONG-TERM TRANSACTIONS

3- COVERED tax lots 3- Basis is reported to the IRS**

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SHERWIN WILLIAMS CO COMMON STOCK / CUSIP: 824348106 / Symbol: SHW							
07/25/14	4,000	851.41	04/18/13	698.57	0.00	152.84	Sale
08/25/14	1,000	217.61	04/18/13	174.65	0.00	42.96	Sale
10/30/14	1,000	224.12	04/18/13	174.64	0.00	49.48	Sale
Security total:		1,293.14		1,047.86	0.00	245.28	
STARBUCKS CORP COMMON STOCK / CUSIP: 855244109 / Symbol: SBUX							
08/25/14	2,000	156.13	04/14/11	70.81	0.00	85.32	Sale
10/30/14	3,000	229.52	08/24/11	110.29	0.00	119.23	Sale
Security total:		385.65		181.10	0.00	204.55	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW / CUSIP: 85590A401 / Symbol: HOT							
08/25/14	3,000	251.82	03/20/12	169.84	0.00	81.98	Sale
08/27/14	5,000	419.24	03/20/12	283.08	0.00	136.16	Sale
10/30/14	5,000	383.79	03/20/12	283.07	0.00	100.72	Sale
12/11/14	20,000	1,612.39	03/20/12	1,132.28	0.00	480.11	Sale
Security total:		2,667.24		1,868.27	0.00	798.97	
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX							
2 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS.							
06/26/14	11,000	575.89	05/05/11	294.38	0.00	281.51	Sale
	29,000	1,518.27	06/21/11	738.05	0.00	780.22	Sale
	40,000	2,094.16	VARIOUS	1,032.43	0.00	1,061.73	Total of 2 lots
2 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS							
07/24/14	1,000	53.25	06/21/11	25.45	0.00	27.80	Sale
	18,000	958.48	06/28/11	463.06	0.00	495.42	Sale
	19,000	1,011.73	VARIOUS	488.51	0.00	523.22	Total of 2 lots
Security total:		3,105.89		1,520.94	0.00	1,584.95	
TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN							
07/23/14	8,000	385.46	05/30/13	291.13	0.00	94.33	Sale
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP							
08/25/14	2,000	211.26	12/23/11	105.17	0.00	106.09	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27191

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked.
1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed Quantity 6- Reported (G)ross (Net) 1d- Proceeds & 1b- Date acquired 1e- Cost or other basis 1f- Code(s), if any 1g- Adjustments & 7- Loss not allowed(X) Additional information

UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP (cont'd)

2 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS.

10/30/14	2,000	230.11	12/23/11	105.18	0.00	124.93	Sale
	1,000	115.06	01/23/13	68.00	0.00	47.06	Sale
	3,000	345.17	VARIOUS	173.18	0.00	171.99	Total of 2 lots
Security total:		556.43		278.35	0.00	278.08	

VISA INC CL A / CUSIP: 92826C839 / Symbol: V

04/25/14	5,000	1,001.61	07/01/11	435.68	0.00	565.93	Sale
04/28/14	4,000	801.28	07/01/11	348.54	0.00	452.74	Sale
08/25/14	1,000	216.01	07/01/11	87.14	0.00	128.87	Sale
10/30/14	1,000	233.42	07/01/11	87.13	0.00	146.29	Sale
Security total:		2,252.32		958.49	0.00	1,293.83	

WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN

08/25/14	1,000	199.35	01/19/11	112.32	0.00	87.03	Sale
10/30/14	2,000	368.45	01/19/11	224.63	0.00	143.82	Sale
Security total:		567.80		336.95	0.00	230.85	

LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA

3 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS.

01/27/14	1,000	81.85	09/21/12	59.29	0.00	22.56	Sale
	7,000	572.94	09/26/12	418.54	0.00	154.40	Sale
	3,000	245.55	09/28/12	181.35	0.00	64.20	Sale
	11,000	900.34	VARIOUS	659.18	0.00	241.16	Total of 3 lots

2 tax lots for 03/13/14. Total proceeds (and cost when required) reported to the IRS.

03/13/14	5,000	221.08	09/28/12	153.77	0.00	67.31	Sale
	11,000	486.39	10/03/12	346.75	0.00	139.64	Sale
	16,000	707.47	VARIOUS	500.52	0.00	206.95	Total of 2 lots

3 tax lots for 03/19/14. Total proceeds (and cost when required) reported to the IRS.

03/19/14	5,000	211.14	10/03/12	157.61	0.00	53.53	Sale
	7,000	42.23	10/19/12	31.51	0.00	10.72	Sale
	6,000	253.36	10/19/12	189.59	0.00	63.77	Sale
	12,000	506.73	VARIOUS	378.71	0.00	128.02	Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
			2,114.54		1,538.41	0.00		576.13
Security total:								
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK								
4 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS								
	5,000	200.19	148.49	09/28/12	148.49	0.00		51.70 Sale
	16,000	640.62	487.01	10/03/12	487.01	0.00		153.61 Sale
	1,000	40.04	30.42	10/19/12	30.42	0.00		9.62 Sale
	6,000	240.23	183.07	10/19/12	183.07	0.00		57.16 Sale
03/25/14	28,000	1,121.08	848.99	VARIOUS	848.99	0.00		272.09 Total of 4 lots

ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07059210 / Symbol: ASML

5 tax lots for 07/31/14. Total proceeds (and cost when required) reported to the IRS.

	3,000	283.86	239.90	05/22/13	239.90	0.00		43.96 Sale
	3,000	283.86	239.62	05/23/13	239.62	0.00		44.24 Sale
	4,000	377.06	336.24	05/28/13	336.24	0.00		40.82 Sale
	22,000	2,081.63	1,849.34	05/28/13	1,849.34	0.00		232.29 Sale
	3,000	282.79	263.44	07/16/13	263.44	0.00		19.35 Sale
07/31/14	35,000	3,309.20	2,928.54	VARIOUS	2,928.54	0.00		380.66 Total of 5 lots
	1,000	94.88	87.81	07/16/13	87.81	0.00		7.07 Sale
08/25/14	2,000	193.10	175.63	07/16/13	175.63	0.00		17.47 Sale
10/30/14		3,597.18	3,191.98		3,191.98	0.00		405.20
Security total.								
Totals:		83,462.38	54,424.40		54,424.40	8.86 W		29,046.84

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON								
04/01/14	4,000	452.34	183.53	07/01/10	183.53	0.00		268.81 Sale
4 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS								
	1,000	116.03	45.88	07/01/10	45.88	0.00		70.15 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27191

2014 1099-B*

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 6- Reported (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON (cont'd)								
	7 000	812.20		07/02/10	325.29	0.00	486.91	Sale
	12 000	1,392.33		09/23/10	646.10	0.00	746.23	Sale
	8 000	928.23		09/28/10	385.21	0.00	543.02	Sale
07/25/14	28 000	3,248.79		VARIOUS	1,402.48	0.00	1,846.31	Total of 4 lots
2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS								
	1 000	117.32		09/28/10	48.15	0.00	69.17	Sale
	1 000	117.31		10/01/10	48.10	0.00	69.21	Sale
08/25/14	2 000	234.63		VARIOUS	96.25	0.00	138.38	Total of 2 lots
10/30/14	2 000	226.05		10/01/10	96.20	0.00	129.85	Sale
Security total:		4,161.81			1,778.46	0.00	2,383.35	
Totals:		4,161.81			1,778.46	0.00	2,383.35	

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked.

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) / CUSIP: 007903107 / Symbol: AMD	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ADVANCED MICRO DEVICES INC COMMON STOCK	3,000	12.56	07/16/14	13.99	0.00	-1.43	Sale
08/25/14						-732.19	Sale
10/02/14	568,000	1,916.51	07/16/14	2,648.70	0.00	-733.62	Sale
Security total:		1,929.07		2,662.69	0.00		
ARMSTRONG WORLD INDUSTRIES INC NEW / CUSIP: 04247X102 / Symbol: AWI							
07/29/14	18,000	888.22	10/10/13	960.43	0.00	-72.21	Sale
08/12/14	15,000	805.89	10/10/13	800.36	0.00	5.53	Sale
2 tax lots for 09/08/14. Total proceeds (and cost when required) reported to the IRS.							
09/08/14	9,000	532.06	10/10/13	480.22	0.00	51.84	Sale
	6,000	354.71	10/15/13	319.53	0.00	35.18	Sale
	15,000	886.77	VARIOUS	799.75	0.00	87.02	Total of 2 lots
3 tax lots for 09/10/14. Total proceeds (and cost when required) reported to the IRS.							
09/10/14	10,000	582.62	10/15/13	532.56	0.00	50.06	Sale
	14,000	815.67	03/07/14	785.07	0.00	30.60	Sale
	15,000	873.93	03/18/14	849.35	0.00	24.58	Sale
	39,000	2,272.22	VARIOUS	2,166.98	0.00	105.24	Total of 3 lots
Security total:		4,853.10		4,727.52	0.00	125.58	
CBRE GROUP INC CL A / CUSIP: 12504L109 / Symbol: CBG							
01/06/14	26,000	684.07	01/08/13	539.73	0.00	144.34	Sale
CIT GROUP INC / CUSIP: 125581801 / Symbol: CIT							
4 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS.							
07/22/14	13,000	633.97	10/15/13	652.47	0.00	-18.50	Sale
	17,000	829.03	02/07/14	812.46	0.00	16.57	Sale
	17,000	829.03	04/04/14	847.42	0.00	-18.39	Sale
	19,000	926.57	05/05/14	802.58	0.00	123.99	Sale
	66,000	3,218.60	VARIOUS	3,114.93	0.00	103.67	Total of 4 lots
CARLISLE COS INC COMMON STOCK / CUSIP: 142339100 / Symbol: CSL							
05/20/14	10,000	828.54	09/03/13	669.20	0.00	159.34	Sale
08/25/14	1,000	83.24	09/03/13	66.92	0.00	16.32	Sale
Security total:		911.78		736.12	0.00	175.66	

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional Information
CATAMARAN CORP FOREIGN STOCK / CUSIP: 148887102 / Symbol: CTRX	1,000	47.10		08/19/14	46.74	0.00		0.36 Sale
08/25/14								
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS	10,000	378.41		02/25/14	834.05	0.00		-455.64 Sale
12/02/14								
CONCHO RESOURCES INC / CUSIP: 20605P101 / Symbol: CXO	7,000	984.05		11/07/13	737.67	0.00		246.38 Sale
07/02/14								
CONN'S INC / CUSIP: 208242107 / Symbol: CONN								
5 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS								
	32,000	1,006.85		12/06/13	2,383.20	0.00		-1,376.35 Sale
	10,000	314.64		12/17/13	767.31	0.00		-452.67 Sale
	11,000	346.11		01/10/14	767.60	0.00		-421.49 Sale
	18,000	566.35		01/16/14	1,225.80	0.00		-659.45 Sale
	14,000	440.50		02/19/14	802.24	0.00		-361.74 Sale
03/14/14	85,000	2,674.45		VARIOUS	5,946.15	0.00		-3,271.70 Total of 5 lots
CONS ENERGY INC / CUSIP: 20854P109 / Symbol: CNX								
01/10/14	83,000	3,034.61		10/29/13	3,164.41	0.00		-129.80 Sale
DRESSER RAND GROUP INC / CUSIP: 261608103 / Symbol: DRC								
2 tax lots for 09/22/14. Total proceeds (and cost when required) reported to the IRS								
	14,000	1,147.14		10/15/13	867.83	0.00		279.31 Sale
	14,000	1,147.14		02/18/14	752.17	0.00		394.97 Sale
09/22/14	28,000	2,294.28		VARIOUS	1,620.00	0.00		674.28 Total of 2 lots
EQUINIX INC NEW / CUSIP: 29444U502 / Symbol: EQIX								
2 tax lots for 03/19/14. Total proceeds (and cost when required) reported to the IRS								
	10,000	1,832.24		11/08/13	1,569.19	0.00		263.05 Sale
	5,000	916.12		11/14/13	816.02	0.00		100.10 Sale
03/19/14	15,000	2,748.36		VARIOUS	2,385.21	0.00		363.15 Total of 2 lots
EVERCORE PARTNERS INC CL A / CUSIP: 29977A105 / Symbol: EVR								
10/17/14	17,000	787.94		03/18/14	1,013.53	0.00		-225.59 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

EVERCORE PARTNERS INC CL A / CUSIP: 29977A105 / Symbol: EVR (cont'd)

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
2 tax lots for 10/22/14 Total proceeds (and cost when required) reported to the IRS								
10/22/14	11,000	540.36	540.36	03/18/14	655.82	0.00	-115.46	Sale
	4,000	196.49	196.49	03/27/14	213.95	0.00	-17.46	Sale
	15,000	736.85	736.85	VARIOUS	869.77	0.00	-132.92	Total of 2 lots
2 tax lots for 10/23/14 Total proceeds (and cost when required) reported to the IRS								
10/23/14	14,000	690.05	690.05	03/27/14	748.81	0.00	-58.76	Sale
	2,000	98.58	98.58	04/04/14	109.98	0.00	-11.40	Sale
	16,000	788.63	788.63	VARIOUS	858.79	0.00	-70.16	Total of 2 lots
3 tax lots for 11/03/14 Total proceeds (and cost when required) reported to the IRS								
11/03/14	14,000	712.76	712.76	04/04/14	769.88	0.00	-57.12	Sale
	15,000	763.68	763.68	05/20/14	787.34	0.00	-23.66	Sale
	15,000	763.67	763.67	07/03/14	872.20	0.00	-108.53	Sale
	44,000	2,240.11	2,240.11	VARIOUS	2,429.42	0.00	-189.31	Total of 3 lots
		4,553.53	4,553.53		5,171.51	0.00	-617.98	
Security total.								
EVERTEC INC FOREIGN STOCK / CUSIP: 30040P103 / Symbol: EVTC								
5 tax lots for 10/14/14 Total proceeds (and cost when required) reported to the IRS								
10/14/14	49,000	1,029.36	1,029.36	01/10/14	1,231.12	0.00	-201.76	Sale
	17,000	357.12	357.12	01/13/14	428.66	0.00	-71.54	Sale
	32,000	672.23	672.23	01/23/14	823.30	0.00	-151.07	Sale
	43,000	903.31	903.31	03/07/14	1,058.30	0.00	-154.99	Sale
	34,000	714.25	714.25	05/22/14	786.42	0.00	-72.17	Sale
	175,000	3,676.27	3,676.27	VARIOUS	4,327.80	0.00	-651.53	Total of 5 lots
EXTENDED STAY AMER INC UNITS 1 COM & 1 CL B / CUSIP: 30224P200 / Symbol: STAY								
04/30/14	1,000	20.90	20.90	11/14/13	23.69	0.00	-2.79	Sale
3 tax lots for 05/22/14 Total proceeds (and cost when required) reported to the IRS								
05/22/14	66,000	1,411.19	1,411.19	11/14/13	1,563.48	0.00	-152.29	Sale
	57,000	1,218.75	1,218.75	12/04/13	1,440.46	0.00	-221.71	Sale
	64,000	1,368.42	1,368.42	12/18/13	1,579.59	0.00	-211.17	Sale
	187,000	3,998.36	3,998.36	VARIOUS	4,583.53	0.00	-585.17	Total of 3 lots
		4,019.26	4,019.26		4,607.22	0.00	-587.96	
Security total								

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Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol: FNSR							
03/26/14	33 000	875.86	06/28/13	557.13	0.00	318.73	Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
01/29/14	38 000	815.47	10/07/13	838.92	23.45 W	0.00	Sale
04/10/14	43 000	953.37	10/07/13	949.30	0.00	4.07	Sale
04/30/14	11 000	239.13	10/07/13	242.85	0.00	-3.72	Sale
07/23/14	33 000	800.09	10/07/13	728.53	0.00	71.56	Sale
08/25/14	1 000	25.33	10/07/13	22.08	0.00	3.25	Sale
10/17/14	43 000	1,055.25	10/17/13	863.07	0.00	192.18	Sale
	Security total:	3,888.64		3,644.75	23.45 W	267.34	
GNC HOLDINGS INC / CUSIP: 36191G107 / Symbol: GNC							
02/19/14	19 000	846.21	05/08/13	859.11	0.00	-12.90	Sale
3 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS							
	15 000	669.79	05/08/13	678.24	0.00	-8.45	Sale
	14 000	625.14	06/18/13	670.35	0.00	-45.21	Sale
	15 000	669.79	08/26/13	775.98	0.00	-106.19	Sale
03/18/14	44 000	1,964.72	VARIOUS	2,124.57	0.00	-159.85	Total of 3 lots
	Security total:	2,810.93		2,983.68	0.00	-172.75	
GAMING & LEISURE PPTYS INC REIT SBI / CUSIP: 36467J108 / Symbol: GLPI							
08/25/14	2 000	65.83	02/21/14	77.17	10.34 W	0.00	Sale
10/21/14	24 000	771.01	02/21/14	925.93	0.00	-154.92	Sale
2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.							
	18 000	505.78	02/21/14	694.36	0.00	-188.58	Sale
	9 000	252.89	02/24/14	339.86	0.00	-86.97	Sale
12/16/14	27 000	758.67	VARIOUS	1,034.22	0.00	-275.55	Total of 2 lots
	Security total:	1,596.51		2,037.32	10.34 W	-430.47	
GANNETT CO COMMON STOCK / CUSIP: 364730101 / Symbol: GCI							
02/19/14	31 000	877.22	06/14/13	788.85	0.00	88.37	Sale
03/18/14	51 000	1,427.97	06/14/13	1,297.79	0.00	130.18	Sale
3 tax lots for 04/03/14. Total proceeds (and cost when required) reported to the IRS							
	1 000	28.11	06/14/13	25.45	0.00	2.66	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27192

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & 6- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
GANNETT CO COMMON STOCK / CUSIP: 364730101 / Symbol: GCI (cont'd)								
	30,000	843.31	749.20	06/19/13	0.00		94.11	Sale
	29,000	815.20	761.65	10/04/13	0.00		53.55	Sale
04/03/14	60,000	1,686.62	1,536.30	VARIOUS	0.00		150.32	Total of 3 lots
Security total:		3,991.81	3,622.94		0.00		368.87	
GOGO INC / CUSIP: 38046C109 / Symbol: GOGO								
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS:								
	66,000	945.85	1,766.29	12/19/13	0.00		-820.44	Sale
	38,000	544.58	831.94	04/02/14	0.00		-287.36	Sale
04/29/14	104,000	1,490.43	2,598.23	VARIOUS	0.00		-1,107.80	Total of 2 lots
HALCON RES CORP COM NEW / CUSIP: 40537Q209 / Symbol: HK								
08/25/14	3,000	16.61	18.92	05/29/14	2.31 W		0.00	Sale
4 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS:								
	267,000	786.65	1,683.57	05/29/14	0.00		-896.92	Sale
	134,000	394.79	911.62	06/16/14	0.00		-516.83	Sale
	125,000	368.28	860.88	07/14/14	0.00		-492.60	Sale
	75,000	220.97	408.81	08/12/14	0.00		-187.84	Sale
11/17/14	601,000	1,770.69	3,864.88	VARIOUS	0.00		-2,094.19	Total of 4 lots
4 tax lots for 11/28/14. Total proceeds (and cost when required) reported to the IRS:								
	3,000	7.07	18.66	08/12/14	0.00		-11.59	Sale
	72,000	169.51	392.45	08/12/14	0.00		-222.94	Sale
	185,000	435.55	857.47	09/15/14	0.00		-421.92	Sale
	254,000	598.00	1,085.47	09/22/14	0.00		-487.47	Sale
11/28/14	514,000	1,210.13	2,354.05	VARIOUS	0.00		-1,143.92	Total of 4 lots
Security total:		2,997.43	6,237.85		2.31 W		-3,238.11	
HELIUM ENERGY SOLUTIONS GROUP INC / CUSIP: 42330P107 / Symbol: HLX								
2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS:								
	49,000	1,029.91	1,286.68	08/26/13	0.00		-256.77	Sale
	58,000	1,219.08	1,529.82	09/12/13	0.00		-310.74	Sale
01/24/14	107,000	2,248.99	2,816.50	VARIOUS	0.00		-567.51	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Regulation Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1g- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
HERTZ GLOBAL HOLDINGS INC / CUSIP: 42805T105 / Symbol: HTZ	31 000	965 26	12/04/13	763.34	0 00		201.92 Sale
08/21/14							
3 tax lots for 09/08/14 Total proceeds (and cost when required) reported to the IRS							
	33 000	951.14	12/04/13	812.59	0 00		138.55 Sale
	31 000	893.49	12/17/13	777.41	0 00		116.08 Sale
	37 000	1,066.43	02/19/14	941.25	0 00		125.18 Sale
09/08/14	101 000	2,911.06	VARIOUS	2,531.25	0 00		379.81 Total of 3 lots
Security total:		3 876.32		3,294.59	0 00		581.73
HUNTINGTON BANCSHARES COMMON STOCK / CUSIP: 446150104 / Symbol: HBAN							
3 tax lots for 02/06/14 Total proceeds (and cost when required) reported to the IRS							
	353 000	3,135.29	07/15/13	2,970.11	0 00		165.18 Sale
	90 000	799.37	07/17/13	748.79	0 00		50.58 Sale
	86 000	763.84	08/26/13	733.93	0 00		29.91 Sale
02/06/14	529 000	4,698.50	VARIOUS	4,452.83	0 00		245.67 Total of 3 lots
HUNTSMAN CORP / CUSIP: 447011107 / Symbol: HUN							
04/03/14	36 000	915.32	05/24/13	687.18	0 00		228.14 Sale
04/21/14	66 000	1,635.54	05/24/13	1,259.84	0 00		376.70 Sale
Security total:		2,551.86		1,947.02	0 00		604.84
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE							
01/10/14	6 000	1,347.28	05/08/13	1,029.29	0 00		317.99 Sale
3 tax lots for 02/04/14. Total proceeds (and cost when required) reported to the IRS							
	7 000	1,423.07	05/08/13	1,200.85	0 00		222.22 Sale
	4 000	813.18	06/25/13	703.62	0 00		109.56 Sale
	4 000	813.18	08/15/13	718.99	0 00		94.19 Sale
02/04/14	15 000	3,049.43	VARIOUS	2,623.46	0 00		425.97 Total of 3 lots
Security total:		4,396.71		3,652.75	0 00		743.96
JDS UNIPHASE CORP NEW 2006 / CUSIP: 46612J507 / Symbol: JDSU							
11/13/14	61 000	789.32	02/25/14	845.91	0 00		-56.59 Sale
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY							
12/17/14	15 000	675.99	09/08/14	903.67	0 00		-227.68 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1f- Adjustments & 1g- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
KBR INC / CUSIP: 48242W106 / Symbol: KBR	2,000						
03/18/14		56.23	58.01	04/08/13	0.00		-1.78 Sale
KODIAK OIL & GAS CORP "MERGER EFF 12/2014" / CUSIP: 500150100 / Symbol:							
04/21/14	74,000	992.14	662.24	06/28/13	0.00		329.90 Sale
06/16/14	53,000	721.30	474.31	06/28/13	0.00		246.99 Sale
07/14/14	93,000	1,382.72	782.17	07/15/13	0.00		600.55 Sale
2 tax lots for 07/15/14 Total proceeds (and cost when required) reported to the IRS:							
	20,000	299.05	168.21	07/15/13	0.00		130.84 Sale
	72,000	1,076.58	786.31	12/18/13	0.00		290.27 Sale
07/15/14	92,000	1,375.63	954.52	VARIOUS	0.00		421.11 Total of 2 lots
Security total:			2,873.24		0.00		1,598.55
LPL FINL HLDGS INC COM / CUSIP: 50212V100 / Symbol: LPLA							
08/25/14	2,000	98.17	79.51	11/08/13	0.00		18.66 Sale
LIONS GATE ENTERTAINMENT CORP NEW / CUSIP: 535919203 / Symbol: LGF							
08/25/14	1,000	32.72	28.87	12/12/13	0.00		3.85 Sale
STEVEN MADDEN LTD / CUSIP: 556269108 / Symbol: SHOO							
12/16/14	25,000	781.86	849.40	09/19/14	0.00		-67.54 Sale
12/23/14	26,000	825.55	883.37	09/19/14	0.00		-57.82 Sale
2 tax lots for 12/24/14. Total proceeds (and cost when required) reported to the IRS:							
	19,000	600.49	645.54	09/19/14	0.00		-45.05 Sale
	10,000	316.05	334.26	09/22/14	0.00		-18.21 Sale
12/24/14	29,000	916.54	979.80	VARIOUS	0.00		-63.26 Total of 2 lots
12/26/14	22,000	696.42	735.37	09/22/14	0.00		-38.95 Sale
Security total:			3,447.94		0.00		-227.57
MEDIVATION INC / CUSIP: 58501N101 / Symbol: MDVN							
01/23/14	10,000	779.97	577.70	07/13/13	0.00		202.27 Sale
2 tax lots for 06/16/14. Total proceeds (and cost when required) reported to the IRS:							
	4,000	296.76	231.08	07/31/13	0.00		65.68 Sale
	6,000	445.15	330.59	08/09/13	0.00		114.57 Sale
06/16/14	10,000	741.91	561.66	VARIOUS	0.00		180.25 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (MDVN (cont'd))	1d- Proceeds & Reported (Gross (Net))	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
MEDIVATION INC / CUSIP: 58501N101 / Symbol: MDVN (cont'd)	11.000	906.83	2,428.71	08/09/13	606.07	0.00		300.76 Sale
Security total:					1,745.43	0.00		683.28
MEMORIAL RESOURCE DEV CORP / CUSIP: 58605Q109 / Symbol: MRD	3.000	84.03		06/13/14	67.11	0.00		16.92 Sale
NCR CORP NEW / CUSIP: 62886E108 / Symbol: NCR	3.000	102.98		03/19/14	105.22	0.00		-2.24 Sale
2 tax lots for 09/30/14. Total proceeds (and cost when required) reported to the IRS								
	71.000	2,373.05		03/19/14	2,490.23	0.00		-117.18 Sale
	25.000	835.58		04/21/14	847.54	0.00		-11.96 Sale
	96.000	3,208.63		VARIOUS	3,337.77	0.00		-129.14 Total of 2 lots
Security total:			3,311.61		3,442.99	0.00		-131.38
NPS PHARMACEUTICALS DEL / CUSIP: 62936P103 / Symbol: NPSP	3.000	83.06		11/11/13	75.24	0.00		7.82 Sale
NAVISTAR INTL CORP NEW COMMON STOCK / CUSIP: 63934E108 / Symbol: NAV	1.000	37.58		04/24/14	37.01	0.00		0.57 Sale
	1.000	38.39		04/24/14	37.01	0.00		1.38 Sale
Security total:			75.97		74.02	0.00		1.95
OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS	4.000	196.31		09/06/13	173.76	0.00		22.55 Sale
3 tax lots for 11/19/14. Total proceeds (and cost when required) reported to the IRS								
	18.000	469.40		12/04/13	825.42	0.00		-356.02 Sale
	17.000	443.32		12/18/13	777.73	0.00		-334.41 Sale
	27.000	704.10		10/17/14	852.47	0.00		-148.37 Sale
	62.000	1,616.82		VARIOUS	2,455.62	0.00		-838.80 Total of 3 lots
Security total:			1,813.13		2,629.38	0.00		-816.25
OSHKOSH CORPORATION / CUSIP: 688239201 / Symbol: OSK	1.000	55.81		11/27/13	48.59	0.00		7.22 Sale
	1.000	54.03		11/27/13	48.59	0.00		5.44 Sale
	2.000	99.49		11/27/13	97.18	0.00		2.31 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
	Security total:		209.33		194.36	0.00		14.97

PVH CORP COM / CUSIP: 693656100 / Symbol: PVH

07/22/14	7.000		773.62	09/30/13	819.53	0.00		-45.91 Sale
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PATTERSON-UTI ENERGY INC / CUSIP: 703481101 / Symbol: PTEN

4 tax lots for 12/05/14 Total proceeds (and cost when required) reported to the IRS								
	74.000		1,189.38	07/02/14	2,649.10	0.00		-1,459.72 Sale
	26.000		417.89	07/14/14	905.02	0.00		-487.13 Sale
	23.000		369.67	07/31/14	791.07	0.00		-421.40 Sale
	26.000		417.89	08/25/14	851.12	0.00		-433.23 Sale
12/05/14	149.000		2,394.83	VARIOUS	5,196.31	0.00		-2,801.48 Total of 4 lots

PENSKE AUTOMOTIVE GROUP INC / CUSIP: 70959W103 / Symbol: PAG

04/24/14	1.000		45.65	04/29/13	31.17	0.00		14.48 Sale
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PIER 1 IMPORTS INC COMMON STOCK / CUSIP: 720279108 / Symbol: PIR

2 tax lots for 09/22/14. Total proceeds (and cost when required) reported to the IRS								
	40.000		490.48	10/15/13	795.26	0.00		-304.78 Sale
09/22/14	40.000		490.48	03/18/14	789.20	0.00		-298.72 Sale
	80.000		980.96	VARIOUS	1,584.46	0.00		-603.50 Total of 2 lots

POPULAR INC FOREIGN STOCK / CUSIP: 733174700 / Symbol: BPOP

02/19/14	39.000		1,062.97	04/11/13	1,147.11	0.00		-84.14 Sale
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2 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS								
	7.000		219.39	04/11/13	205.89	0.00		13.50 Sale
04/04/14	19.000		595.48	04/19/13	520.74	0.00		74.74 Sale
	26.000		814.87	VARIOUS	726.63	0.00		88.24 Total of 2 lots

	Security total:		1,877.84		1,873.74	0.00		4.10
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PRIVATEBANCORP INC / CUSIP: 742962103 / Symbol: PVTB

04/30/14	1.000		27.30	02/06/14	28.18	0.00		-0.88 Sale
11/28/14	25.000		786.57	02/06/14	704.48	0.00		82.09 Sale
	Security total:		813.87		732.66	0.00		81.21

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 9999, Part I, with Box A checked.

1a- Description of property/CUSIP/Symbol

3- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net))	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
2 tax lots for 06/24/14. Total proceeds (and cost when required) reported to the IRS							
06/24/14	28 000	632.80	02/24/14	822.68	0.00	-189.88	Sale
	32 000	723.20	02/27/14	977.66	0.00	-254.46	Sale
	60 000	1,356.00	VARIOUS	1,800.34	0.00	-444.34	Total of 2 lots
RITE AID CORP COMMON STOCK / CUSIP: 767754104 / Symbol: RAD							
02/24/14	134 000	872.32	12/23/13	708.86	0.00	163.46	Sale
04/30/14	1 000	7.08	12/23/13	5.29	0.00	1.79	Sale
05/05/14	106 000	835.72	12/23/13	560.74	0.00	274.98	Sale
2 tax lots for 05/27/14. Total proceeds (and cost when required) reported to the IRS							
05/27/14	78 000	644.34	12/23/13	412.62	0.00	231.72	Sale
	20 000	165.22	01/03/14	108.89	0.00	56.33	Sale
	98 000	809.56	VARIOUS	521.51	0.00	288.05	Total of 2 lots
2 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS.							
06/09/14	121 000	890.55	01/03/14	658.80	0.00	231.75	Sale
	186 000	1,368.95	01/10/14	1,050.64	0.00	318.31	Sale
	307 000	2,259.50	VARIOUS	1,709.44	0.00	550.06	Total of 2 lots
	Security total:	4,784.18		3,505.84	0.00	1,278.34	
RYLAND GROUP INC / CUSIP: 783784103 / Symbol: RYL							
04/30/14	1.000	38.35	08/20/13	36.32	0.00	2.03	Sale
SERVICENOW INC / CUSIP: 81762P102 / Symbol: NOW							
04/30/14	1.000	49.10	04/28/14	46.21	0.00	2.89	Sale
SPIRIT AIRLINES INC / CUSIP: 848577102 / Symbol: SAVE							
02/24/14	14.000	778.05	07/31/13	458.18	0.00	319.87	Sale
04/30/14	1.000	56.26	07/31/13	32.73	0.00	23.53	Sale
	Security total:	834.31		490.91	0.00	343.40	
SUPERIOR ENERGY SERVICES INC / CUSIP: 868157108 / Symbol: SPN							
06/13/14	25 000	880.12	10/30/13	674.13	0.00	205.99	Sale
07/14/14	23 000	830.16	10/30/13	620.20	0.00	209.96	Sale
08/22/14	26 000	897.14	10/30/13	701.09	0.00	196.05	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27192

2014 1099-B*

OMB No. 1545-0715

(continued)

2-SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box A checked

5-COVERED tax lots 3-Basis is reported to the IRS**

1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6-Reported (Gross) Net	14- Proceeds & 6-Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
SUPERIOR ENERGY SERVICES INC / CUSIP: 868157108 / Symbol: SPN (cont'd)	1,000	34.74	34.74	10/30/13	26.97	0.00		7.77 Sale
Security total:		2,642.16	2,642.16		2,022.39	0.00		619.77
TRW AUTOMOTIVE HOLDINGS CORP / CUSIP: 87264S106 / Symbol: TRW	10,000	1,017.25	1,017.25	08/26/14	964.48	0.00		52.77 Sale
TERADYNE INC COMMON STOCK / CUSIP: 880770102 / Symbol: TER	4,000	77.12	77.12	05/08/13	66.38	0.00		10.74 Sale
02/24/14	32,000	645.66	645.66	05/08/13	531.09	0.00		114.57 Sale
04/30/14	2,000	35.20	35.20	05/08/13	33.19	0.00		2.01 Sale
Security total		757.98	757.98		630.66	0.00		127.32
TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX	23,000	618.97	618.97	08/25/14	866.11	0.00		-247.14 Sale
TETRA TECH INC NEW COMMON STOCK / CUSIP: 88162G103 / Symbol: TTEK	95,000	2,294.45	2,294.45	03/18/14	2,904.84	0.00		-610.39 Sale
TRIBUNE PUBG CO COM / CUSIP: 896082104 / Symbol: TPUB	3,000	63.05	63.05	10/04/13	46.84	0.00		16.21 Sale
4 tax lots for 08/06/14. Total proceeds (and cost when required) reported to the IRS	3,250	68.30	68.30	10/31/13	54.11	0.00		14.19 Sale
	2,500	52.54	52.54	01/16/14	46.36	0.00		6.18 Sale
	3,000	63.05	63.05	04/03/14	57.61	0.00		5.44 Sale
08/06/14	11,750	246.94	246.94	VARIOUS	204.92	0.00		42.02 Total of 4 lots
TRIUMPH GROUP INC / CUSIP: 896818101 / Symbol: TGI	18,000	1,202.83	1,202.83	08/12/13	1,388.85	0.00		-186.02 Sale
U S SILICA HLDGS INC / CUSIP: 90346E103 / Symbol: SLCA	2,000	86.69	86.69	04/21/14	84.63	0.00		2.06 Sale
08/25/14	3,000	198.38	198.38	04/21/14	126.95	0.00		71.43 Sale
Security total:		285.07	285.07		211.58	0.00		73.49
ULTA SALON COSMETICS & FRAGRANCE INC / CUSIP: 90384S303 / Symbol: ULTA	1,000	97.50	97.50	03/14/14	95.94	0.00		1.56 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27192

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 9919, Part J, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & 5- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ULTA SALON COSMETICS & FRAGRANCE INC / CUSIP: 90384S303 / Symbol: ULTA (cont'd)								
09/12/14	7 000	815 73	815 73	03/14/14	671.57	0.00	144.16	Sale
12/05/14	17.000	2,232.39	2,232.39	03/14/14	1,630.95	0.00	601.44	Sale
Security total:		3,145.62	3,145.62		2,398.46	0.00	747.16	
ULTRA PETROLEUM CORP (CANADA) ORD CAD / CUSIP: 903914109 / Symbol: UPL								
08/25/14	3 000	76.46	76.46	04/02/14	82.53	0.00	-6.07	Sale
4 tax lots for 10/08/14. Total proceeds (and cost when required) reported to the IRS								
	59.000	1,238.33	1,238.33	04/02/14	1,623.07	0.00	-384.74	Sale
	29.000	608.67	608.67	04/04/14	838.52	0.00	-229.85	Sale
	29.000	608.67	608.67	04/21/14	867.67	0.00	-259.00	Sale
	30.000	629.66	629.66	05/20/14	799.16	0.00	-169.50	Sale
10/08/14	147.000	3,085.33	3,085.33	VARIOUS	4,128.42	0.00	-1,043.09	Total of 4 lots
Security total:		3,161.79	3,161.79		4,210.95	0.00	-1,049.16	
VAIL RESORTS INC / CUSIP: 91879Q109 / Symbol: MTN								
08/25/14	1 000	76.17	76.17	05/22/14	70.35	0.00	5.82	Sale
09/25/14	10 000	866.56	866.56	05/22/14	703.54	0.00	163.02	Sale
Security total:		942.73	942.73		773.89	0.00	168.84	
VERIFONE SYSTEMS INC / CUSIP: 92342Y109 / Symbol: PAY								
08/25/14	1.000	35.38	35.38	03/27/14	33.25	0.00	2.13	Sale
WESTLAKE CHEMICAL CORP / CUSIP: 960413102 / Symbol: WLK								
12/02/14	39 000	2,435.09	2,435.09	10/10/14	3,037.99	0.00	-602.90	Sale
WISDOMTREE INVESTMENTS INC / CUSIP: 97717P104 / Symbol: WETF								
04/30/14	15 000	169.54	169.54	01/31/14	210.38	0.00	-40.84	Sale
08/25/14	6.000	67.37	67.37	01/31/14	84.15	16 78 W	0.00	Sale
10/31/14	64 000	898.90	898.90	01/31/14	897.62	0.00	1.28	Sale
11/10/14	54.000	837.61	837.61	01/31/14	757.37	0.00	80.24	Sale
Security total:		1,973.42	1,949.52		1,949.52	16 78 W	40.68	

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN								
3 tax lots for 12/05/14. Total proceeds (and cost when required) reported to the IRS.								
	3 000	488.75	615.58	04/16/14	615.58	0.00		-126.83 Sale
	4 000	651.67	787.78	05/07/14	787.78	0.00		-136.11 Sale
	5 000	814.59	1,005.93	05/20/14	1,005.93	0.00		-191.34 Sale
12/05/14	12 000	1,955.01	2,409.29	VARIOUS	2,409.29	0.00		-454.28 Total of 3 lots
ZIONS BANCORP COMMON STOCK / CUSIP: 989701107 / Symbol: ZION								
05/20/14	26 000	727.66	766.32	08/26/13	766.32	0.00		-38.66 Sale
WEATHERFORD INTL PLC FOREIGN STOCK / CUSIP: G48833100 / Symbol: WFT								
08/22/14	35 000	783.19	529.34	09/03/13	529.34	0.00		253.85 Sale
08/25/14	4 000	90.87	60.50	09/03/13	60.50	0.00		30.37 Sale
11/06/14	70 000	1,071.66	948.49	01/31/14	948.49	0.00		123.17 Sale
	Security total	1,945.72	1,538.33		1,538.33	0.00		407.39
ORIENT EXPRESS HOTELS LTD **NAME CHANGE EFF 07/2014** CL A / CUSIP: G67743107 / Symbol:								
04/30/14	4 000	51.41	48.79	06/28/13	48.79	0.00		2.62 Sale
SCORPIO TANKERS INC FOREIGN STOCK / CUSIP: Y7542C106 / Symbol: STNG								
04/30/14	4 000	35.95	47.32	11/05/13	47.32	0.00		-11.37 Sale
3 tax lots for 06/16/14. Total proceeds (and cost when required) reported to the IRS.								
	132 000	1,152.09	1,561.40	11/05/13	1,561.40	0.00		-409.31 Sale
	125 000	1,090.98	1,508.91	11/08/13	1,508.91	0.00		-417.93 Sale
	60 000	523.68	717.08	11/11/13	717.08	0.00		-193.40 Sale
06/16/14	317 000	2,766.75	3,787.39	VARIOUS	3,787.39	0.00		-1,020.64 Total of 3 lots
	Security total.	2,802.70	3,834.71		3,834.71	0.00		-1,032.01
	Totals:	130,972.25	141,245.84		141,245.84	52.88 W		-10,220.71

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	5- Reported (Gross (Net) / Symbol	1d- Proceeds & 5- Reported (Gross (Net) / Symbol	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AKAMAI TECHNOLOGIES INC / CUSIP: 00971T101 / Symbol: AKAM								
08/25/14	1,000		60.46	02/27/13	36.68	0.00	23.78	Sale
AXIAL CORP / CUSIP: 05463D100 / Symbol: AXLL								
03/31/14	16,000		720.80	01/24/13	798.69	0.00	-77.89	Sale
08/25/14	3,000		125.27	01/24/13	149.75	0.00	-24.48	Sale
7 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000		246.11	01/24/13	349.43	0.00	-103.32	Sale
	15,000		527.39	02/01/13	871.19	0.00	-343.80	Sale
	13,000		457.07	04/16/13	698.04	0.00	-240.97	Sale
	15,000		527.39	05/08/13	700.93	0.00	-173.54	Sale
	15,000		527.38	05/09/13	713.92	0.00	-186.54	Sale
	16,000		562.55	06/12/13	680.46	0.00	-117.91	Sale
	19,000		668.02	08/28/13	793.92	0.00	-125.90	Sale
10/10/14	100,000		3,515.91	VARIOUS	4,807.89	0.00	-1,291.98	Total of 7 lots
	Security total		4,361.98		5,756.33	0.00	-1,394.35	
CBRE GROUP INC CL A / CUSIP: 12504L109 / Symbol: CBG								
02/04/14	44,000		1,163.07	01/08/13	913.39	0.00	249.68	Sale
2 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS.								
	22,000		733.07	01/08/13	456.70	0.00	276.37	Sale
	4,000		133.28	02/07/13	96.02	0.00	37.26	Sale
07/25/14	26,000		866.35	VARIOUS	552.72	0.00	313.63	Total of 2 lots
08/25/14	1,000		31.37	02/07/13	24.01	0.00	7.36	Sale
	Security total		2,060.79		1,490.12	0.00	570.67	
CIT GROUP INC / CUSIP: 125581801 / Symbol: CIT								
3 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS.								
	16,000		780.27	02/23/11	690.60	0.00	89.67	Sale
	16,000		780.27	04/14/11	651.26	0.00	129.01	Sale
	17,000		829.03	01/17/12	626.54	0.00	202.49	Sale
07/22/14	49,000		2,389.57	VARIOUS	1,968.40	0.00	421.17	Total of 3 lots
CADENCE DESIGN SYSTEM COMMON STOCK / CUSIP: 127387108 / Symbol: CONS								
03/07/14	54,000		858.42	08/09/12	680.56	0.00	177.86	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27192

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported / CUSIP	1d- Proceeds & Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional Information
CADENCE DESIGN SYSTEM COMMON STOCK / CUSIP: 127387108 / Symbol: CDNS (cont'd)								
08/25/14	3 000		52.79	08/09/12	37.81	0.00		14.98
Security total:			911.21		718.37	0.00		192.84
CAMERON INTERNATIONAL CORP / CUSIP: 133428105 / Symbol: CAM								
2 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS								
	11 000		650.66	06/15/11	502.65	0.00		148.01
	4 000		236.61	07/07/11	207.38	0.00		29.23
01/10/14	15 000		887.27	VARIOUS	710.03	0.00		177.24
								Total of 2 lots
2 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS								
	9 000		544.02	07/07/11	466.62	0.00		77.40
	7 000		423.12	10/27/11	343.67	0.00		79.45
01/31/14	16 000		967.14	VARIOUS	810.29	0.00		156.85
								Total of 2 lots
2 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS								
	4 000		274.06	10/27/11	196.38	0.00		77.68
	9 000		616.62	02/02/12	482.08	0.00		134.54
07/02/14	13 000		890.68	VARIOUS	678.46	0.00		212.22
								Total of 2 lots
08/25/14	1 000		73.77	02/02/12	53.57	0.00		20.20
Security total:			2,818.86		2,252.35	0.00		566.51
CARLISLE COS INC COMMON STOCK / CUSIP: 142339100 / Symbol: CSL								
10/21/14	8 000		674.12	09/03/13	535.35	0.00		138.77
11/17/14	9 000		807.67	09/03/13	502.28	0.00		205.39
								Sale
2 tax lots for 12/08/14. Total proceeds (and cost when required) reported to the IRS								
	5 000		441.92	09/03/13	334.60	0.00		107.32
	8 000		707.06	09/09/13	553.21	0.00		153.85
12/08/14	13 000		1,148.98	VARIOUS	887.81	0.00		261.17
								Total of 2 lots
Security total:			2,630.77		2,025.44	0.00		605.33
CENTENE CORP / CUSIP: 151358101 / Symbol: CNC								
08/25/14	1 000		75.47	04/24/13	47.24	0.00		28.23
10/17/14	12 000		947.54	04/24/13	566.83	0.00		380.71
11/03/14	8 000		739.74	04/24/13	377.90	0.00		361.84
Security total:			1,762.75		991.97	0.00		770.78

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Additional information
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS	1 000	68 25	09/19/12	75 71	0 00	-7 46	Sale
5 tax lots for 12/02/14. Total proceeds (and cost when required) reported to the IRS.							
	9 000	340 57	10/02/12	641 29	0 00	-300 72	Sale
	9 000	340 56	11/05/12	579 23	0 00	-238 67	Sale
	11 000	416 25	12/03/12	645 92	0 00	-229 67	Sale
	8 000	302 73	11/04/13	820 54	0 00	-517 81	Sale
	8 000	302 72	11/25/13	747 50	0 00	-444 78	Sale
	45 000	1,702 83	VARIOUS	3,434 48	0 00	-1,731 65	Total of 5 lots
12/02/14		1,771 08		3,510 19	0 00	-1,739 11	
Security total							
CINEMARK HOLDINGS INC / CUSIP: 17243V102 / Symbol: CNK							
2 tax lots for 05/20/14. Total proceeds (and cost when required) reported to the IRS.							
	71 000	2,120 58	01/03/13	1,937 83	0 00	182 75	Sale
	23 000	686 95	01/25/13	642 26	0 00	44 69	Sale
05/20/14	94 000	2,807 53	VARIOUS	2,580 09	0 00	227 44	Total of 2 lots
CONCHO RESOURCES INC / CUSIP: 20605P101 / Symbol: CXO							
2 tax lots for 03/20/14. Total proceeds (and cost when required) reported to the IRS.							
	5 000	582 14	04/10/12	481 68	0 00	100 46	Sale
	5 000	582 14	05/14/12	444 47	0 00	137 67	Sale
03/20/14	10 000	1,164 28	VARIOUS	926 15	0 00	238 13	Total of 2 lots
3 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS.							
	2 000	281 16	05/14/12	177 79	0 00	103 37	Sale
	7 000	984 05	08/29/12	628 81	0 00	355 24	Sale
	6 000	843 48	03/07/13	547 52	0 00	295 96	Sale
07/02/14	15 000	2,108 69	VARIOUS	1,354 12	0 00	754 57	Total of 3 lots
		3,272 97		2,280 27	0 00	992 70	
Security total							
DRESSER RAND GROUP INC / CUSIP: 261608103 / Symbol: DRC							
2 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.							
	5 000	294 18	08/30/11	213 20	0 00	80 98	Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol DRC (cont'd)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
DRESSER RAND GROUP INC / CUSIP: 261608103 / Symbol DRC (cont'd)							
	8,000	470.70	02/15/12	423.03	0.00		47.67 Sale
04/02/14	13,000	764.88	VARIOUS	636.23	0.00		128.65 Total of 2 lots
08/25/14	1,000	68.50	02/15/12	52.87	0.00		15.63 Sale
2 tax lots for 08/26/14. Total proceeds (and cost when required) reported to the IRS							
	2,000	137.75	02/15/12	105.76	0.00		31.99 Sale
08/26/14	11,000	757.63	03/27/12	528.24	0.00		229.39 Sale
	13,000	895.38	VARIOUS	634.00	0.00		261.38 Total of 2 lots
3 tax lots for 09/19/14. Total proceeds (and cost when required) reported to the IRS							
	3,000	244.22	03/27/12	144.06	0.00		100.16 Sale
	11,000	895.46	07/30/12	519.36	0.00		376.10 Sale
	7,000	569.84	03/08/13	401.41	0.00		168.43 Sale
09/19/14	21,000	1,709.52	VARIOUS	1,084.83	0.00		644.69 Total of 3 lots
2 tax lots for 09/22/14. Total proceeds (and cost when required) reported to the IRS							
	13,000	1,065.20	03/08/13	745.47	0.00		319.73 Sale
	11,000	901.32	09/09/13	688.00	0.00		213.32 Sale
09/22/14	24,000	1,966.52	VARIOUS	1,433.47	0.00		533.05 Total of 2 lots
	Security total:	5,404.80		3,821.40	0.00		1,583.40
EXELIS INC / CUSIP: 30162A108 / Symbol: XLS							
01/23/14	34,000	696.43	11/02/12	374.66	0.00		321.77 Sale
01/28/14	3,000	59.31	11/02/12	33.06	0.00		26.25 Sale
04/30/14	3,000	55.37	11/02/12	33.06	0.00		22.31 Sale
	Security total:	811.11		440.78	0.00		370.33
FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol: FNSR							
08/25/14	2,000	40.81	06/28/13	33.77	0.00		7.04 Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
10/17/14	19,000	466.28	10/07/13	419.46	0.00		46.82 Sale
HARTFORD FINCL SERVICES GROUP INC / CUSIP: 416515104 / Symbol: HIG							
07/22/14	40,000	1,439.33	10/26/12	871.01	0.00		568.32 Sale
08/25/14	1,000	36.71	10/26/12	21.77	0.00		14.94 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

2014 1099-B*

OMB No 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 9949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
	Security total:	1,476.04	892.78		0.00		583.26
ILLUMINA INC / CUSIP: 452327109 / Symbol: ILMN	6.000	903.52	281.35	09/13/12	0.00		Sale
01/29/14							
2 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS							
	2.000	347.82	93.79	09/13/12	0.00		Sale
	4.000	695.65	191.94	10/15/12	0.00		Sale
02/21/14	6.000	1,043.47	285.73	VARIOUS	0.00		Total of 2 lots
03/05/14	5.000	863.25	239.92	10/15/12	0.00		Sale
2 tax lots for 06/23/14. Total proceeds (and cost when required) reported to the IRS.							
	4.000	681.66	191.94	10/15/12	0.00		Sale
	1.000	170.42	47.23	10/31/12	0.00		Sale
08/23/14	5.000	852.08	239.17	VARIOUS	0.00		Total of 2 lots
08/25/14	1.000	177.12	47.24	10/31/12	0.00		Sale
10/21/14	4.000	708.82	188.94	10/31/12	0.00		Sale
	Security total:	4,548.26	1,282.35		0.00		3,265.91

JDS UNIPHASE CORP NEW 2006 / CUSIP: 46612J507 / Symbol: JDSU

2 tax lots for 05/01/14. Total proceeds (and cost when required) reported to the IRS							
	37.000	410.42	424.68	11/01/11	0.00		Sale
	35.000	388.23	361.33	11/29/11	0.00		Sale
05/01/14	72.000	798.65	786.01	VARIOUS	0.00		Total of 2 lots
08/25/14	7.000	81.47	72.27	11/29/11	0.00		Sale
5 tax lots for 11/13/14. Total proceeds (and cost when required) reported to the IRS							
	5.000	64.70	51.62	11/29/11	0.00		Sale
	56.000	724.62	571.19	10/24/12	0.00		Sale
	54.000	698.73	745.43	08/16/13	0.00		Sale
	51.000	659.93	695.53	08/26/13	0.00		Sale
	54.000	698.73	814.11	10/17/13	0.00		Sale
11/13/14	220.000	2,846.71	2,877.88	VARIOUS	0.00		Total of 5 lots
	Security total:	3,726.83	3,736.16		0.00		-9.33

JOY GLOBAL INC / CUSIP 481165108 / Symbol: JOY

08/25/14	2.000	127.61	111.83	05/31/12	0.00		Sale
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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (JOY (cont'd)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional Information
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY	10,000	499.27	510.84	09/05/12	0.00			-11.57 Sale
10/10/14								
2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.								
	5,000	249.64	279.58	05/31/12	29.94 W			0.00 Sale
	11,000	549.20	617.01	08/13/12	61.65 W			-6.16 Sale
10/10/14	16,000	798.84	896.59	VARIOUS	91.59 W			-6.16 Total of 2 lots
5 tax lots for 12/17/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	45.07	51.08	09/05/12	0.00			-6.01 Sale
	17,000	766.11	908.09	04/22/13	0.00			-141.98 Sale
	12,000	540.79	667.41	05/28/13	0.00			-126.62 Sale
	5,000	225.32	322.49	09/15/14	0.00			-97.17 Sale
	10,000	450.66	646.74	09/15/14	0.00			-196.08 Sale
12/17/14	45,000	2,027.95	2,595.81	VARIOUS	0.00			-567.86 Total of 5 lots
Security total:								
		3,453.67	4,115.07		91.59 W			-569.81

KBR INC / CUSIP: 48242W106 / Symbol: KBR

5 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS								
	7,000	196.81	241.30	04/26/12	0.00			-44.49 Sale
	18,000	506.08	546.39	05/04/12	0.00			-40.31 Sale
	30,000	843.46	866.61	05/14/12	0.00			-23.15 Sale
	22,000	618.54	594.30	08/28/12	0.00			24.24 Sale
	23,000	646.66	746.51	04/08/13	0.00			-99.85 Sale
03/18/14	100,000	2,811.55	2,995.11	VARIOUS	0.00			-183.56 Total of 5 lots

KODIAK OIL & GAS CORP **MERGER EFF 12/2014** / CUSIP: 500150100 / Symbol:

07/14/14	3,000	44.60	26.85	06/28/13	0.00			17.75 Sale
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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: MGM

01/07/14	36,000	882.25	413.65	12/18/12	0.00			468.60 Sale
02/20/14	32,000	858.15	367.69	12/18/12	0.00			490.46 Sale
Security total:								
		1,740.40	781.34		0.00			959.06

MASCO CORP COMMON STOCK / CUSIP: 574599106 / Symbol: MAS

08/25/14	2,000	46.91	37.88	02/22/13	0.00			9.03 Sale
09/08/14	40,000	961.09	757.56	02/22/13	0.00			203.53 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part III, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & Reported (Net)	1b- Date acquired	1e- Cost or other Basis	1g- Adjustments & (If Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Security total:			1,008.00		795.44	0.00	212.56	
MEDIVATION INC / CUSIP: 58501N101 / Symbol: MDVN	3.000		265.42	08/09/13	165.29	0.00	100.13	Sale
2 tax lots for 08/26/14. Total proceeds (and cost when required) reported to the IRS.								
08/25/14	3.000		265.66	08/09/13	165.29	0.00	100.37	Sale
	4.000		354.22	08/12/13	225.41	0.00	128.81	Sale
08/26/14	7.000		619.88	VARIOUS	390.70	0.00	229.18	Total of 2 lots
11/03/14	7.000		739.46	08/12/13	394.47	0.00	344.99	Sale
Security total			1,624.76		950.46	0.00	674.30	
MEDNAX INC / CUSIP: 58502B106 / Symbol: MD								
2 tax lots for 08/19/14. Total proceeds (and cost when required) reported to the IRS								
08/19/14	62.000		3,521.80	04/09/13	2,677.02	0.00	844.78	Sale
	16.000		908.85	04/25/13	708.98	0.00	199.87	Sale
08/19/14	78.000		4,430.65	VARIOUS	3,386.00	0.00	1,044.65	Total of 2 lots
METHANEX CORP FOREIGN STOCK / CUSIP: 59151K108 / Symbol: MEOH								
08/25/14	1.000		68.03	05/08/13	44.99	0.00	23.04	Sale
12/08/14	25.000		1,205.51	05/08/13	1,124.68	0.00	80.83	Sale
Security total:			1,273.54		1,169.67	0.00	103.87	
MYLAN INC / CUSIP: 628530107 / Symbol: MYL								
03/06/14	15.000		811.20	05/02/11	375.03	0.00	436.17	Sale
08/25/14	2.000		96.94	05/02/11	50.00	0.00	46.94	Sale
10/02/14	4.000		187.93	05/02/11	100.01	0.00	87.92	Sale
	13.000		610.79	06/21/11	297.04	0.00	313.75	Sale
	17.000		798.72	VARIOUS	397.05	0.00	401.67	Total of 2 lots
2 tax lots for 11/25/14. Total proceeds (and cost when required) reported to the IRS.								
11/25/14	13.000		722.61	06/21/11	297.04	0.00	425.57	Sale
	18.000		1,000.53	02/15/12	419.57	0.00	580.96	Sale
	31.000		1,723.14	VARIOUS	716.61	0.00	1,006.53	Total of 2 lots
Security total			3,430.00		1,538.69	0.00	1,891.31	

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 6- Reported (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
NPS PHARMACEUTICALS DEL / CUSIP: 62936P103 / Symbol: NPSP	21,000	739.04	739.04	11/11/13	526.69	0.00		212.35 Sale
12/17/14								
NEXSTAR BROADCASTING GROUP INC CL A / CUSIP: 65336K103 / Symbol: NXST	16,000	796.77	796.77	06/18/13	538.39	0.00		258.38 Sale
06/27/14								
OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS								
2 tax lots for 11/19/14 Total proceeds (and cost when required) reported to the IRS.								
20,000	521.55	868.78	868.78	09/06/13		0.00		-347.23 Sale
19,000	495.48	833.27	833.27	09/09/13		0.00		-337.79 Sale
39,000	1,017.03	1,702.05	1,702.05	VARIOUS		0.00		-685.02 Total of 2 lots
11/19/14								
PVH CORP COM / CUSIP: 693656100 / Symbol: PVH								
3 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS.								
3,000	331.55	361.67	361.67	02/12/13		0.00		-30.12 Sale
8,000	884.14	948.48	948.48	05/08/13		0.00		-64.34 Sale
5,000	552.59	635.66	635.66	07/01/13		0.00		-83.07 Sale
16,000	1,768.28	1,945.81	1,945.81	VARIOUS		0.00		-177.53 Total of 3 lots
07/22/14								
PENSKE AUTOMOTIVE GROUP INC / CUSIP: 70959W103 / Symbol: PAG								
2 tax lots for 04/24/14. Total proceeds (and cost when required) reported to the IRS.								
5,000	228.24	145.73	145.73	12/03/12		0.00		82.51 Sale
21,000	958.58	590.58	590.58	12/13/12		0.00		368.00 Sale
26,000	1,186.82	736.31	736.31	VARIOUS		0.00		450.51 Total of 2 lots
04/24/14								
08/25/14	2,000	96.75	62.34	04/29/13		0.00		34.41 Sale
Security total:		1,283.57	798.65			0.00		484.92
PIER 1 IMPORTS INC COMMON STOCK / CUSIP: 720279108 / Symbol: PIR								
08/25/14	2,000	31.97	43.89	08/20/13		0.00		-11.92 Sale
2 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS.								
98,000	1,256.58	2,150.83	2,150.83	08/20/13		0.00		-894.25 Sale
11,000	141.05	247.14	247.14	08/26/13		0.00		-106.09 Sale
109,000	1,397.63	2,397.97	2,397.97	VARIOUS		0.00		-1,000.34 Total of 2 lots
09/18/14								
09/22/14	28,000	343.34	629.07	08/26/13		0.00		-285.73 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8049, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & if Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
	Security total:		1,772.94		3,070.93	0.00		-1,297.99
POPULAR INC FOREIGN STOCK / CUSIP: 733174700 / Symbol: BPOP	2.000	62.25		04/19/13	54.82	0.00		7.43 Sale
CLIK TECHNOLOGIES INC COM / CUSIP: 74733T105 / Symbol: CLIK								
2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS								
	19.000	524.93		09/12/12	447.40	0.00		77.53 Sale
	9.000	248.65		10/01/12	199.64	0.00		49.01 Sale
	28.000	773.58		VARIOUS	647.04	0.00		126.54 Total of 2 lots
3 tax lots for 06/24/14. Total proceeds (and cost when required) reported to the IRS								
	18.000	406.80		10/01/12	399.29	0.00		7.51 Sale
	57.000	1,288.20		12/03/12	1,122.72	0.00		165.48 Sale
	28.000	632.80		05/08/13	743.30	0.00		-110.50 Sale
	103.000	2,327.80		VARIOUS	2,265.31	0.00		62.49 Total of 3 lots
	Security total:	3,101.38			2,912.35	0.00		189.03
QUANTA SERVICES INC / CUSIP: 74762E102 / Symbol: PWR								
	23.000	837.45		09/21/11	444.63	0.00		392.82 Sale
	2.000	69.83		09/21/11	38.67	0.00		31.16 Sale
	2.000	72.20		09/21/11	38.66	0.00		33.54 Sale
	Security total:	979.48			521.96	0.00		457.52
QUINTILES TRANSNATIONAL HLDGS INC / CUSIP: 74876Y101 / Symbol: Q								
	1.000	55.52		05/10/13	42.73	0.00		12.79 Sale
	30.000	1,725.52		05/10/13	1,281.94	0.00		443.58 Sale
	Security total:	1,781.04			1,324.67	0.00		456.37
REALOGY HLDGS CORP / CUSIP: 75605Y106 / Symbol: RLGY								
	1.000	41.70		11/12/12	37.47	0.00		4.23 Sale
	19.000	869.74		11/12/12	711.90	0.00		157.84 Sale
	Security total:	911.44			749.37	0.00		162.07
RYLAND GROUP INC / CUSIP: 783764103 / Symbol: RYL								
	23.000	754.55		08/20/13	835.36	0.00		-80.81 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account HG 27192

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
SBA COMMUNICATIONS CORP CL A / CUSIP: 78388J106 / Symbol: SBAC								
02/04/14	9,000		820.06	12/04/12	620.48	0.00		199.58 Sale
08/25/14	1,000		109.91	12/04/12	68.95	0.00		40.96 Sale
Security total:			929.97		689.43	0.00		240.54
SINCLAIR BROADCAST GROUP INC CL A / CUSIP: 829226109 / Symbol: SBGI								
04/30/14	2,000		53.31	04/24/13	54.27	0.00		-0.96 Sale
06/13/14	30,000		933.66	04/24/13	814.06	0.00		119.60 Sale
3 tax lots for 06/25/14 Total proceeds (and cost when required) reported to the IRS:								
1,000			33.17	04/24/13	27.14	0.00		6.03 Sale
12,000			398.01	04/29/13	306.22	0.00		91.79 Sale
35,000			1,160.88	05/01/13	955.86	0.00		205.02 Sale
48,000			1,592.06	VARIOUS	1,289.22	0.00		302.84 Total of 3 lots
06/25/14								
08/25/14	2,000		57.89	05/01/13	54.62	0.00		3.27 Sale
Security total:			2,636.92		2,212.17	0.00		424.75
SMITH A O CORP COMMON STOCK / CUSIP: 831865209 / Symbol: AOS								
3 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS:								
19,000			892.95	09/19/12	547.15	0.00		345.80 Sale
20,000			939.96	10/02/12	572.43	0.00		367.53 Sale
20,000			939.95	11/27/12	621.46	0.00		318.49 Sale
59,000			2,772.86	VARIOUS	1,741.04	0.00		1,031.82 Total of 3 lots
03/18/14								
SPIRIT AIRLINES INC / CUSIP: 848577102 / Symbol: SAVE								
08/19/14	12,000		848.38	07/31/13	392.72	0.00		455.66 Sale
08/25/14	1,000		73.04	07/31/13	32.73	0.00		40.31 Sale
10/21/14	13,000		793.37	07/31/13	425.46	0.00		367.91 Sale
11/05/14	10,000		753.43	07/31/13	327.27	0.00		426.16 Sale
11/10/14	10,000		788.45	07/31/13	327.27	0.00		461.18 Sale
Security total:			3,256.67		1,505.45	0.00		1,751.22
TRW AUTOMOTIVE HOLDINGS CORP / CUSIP: 87264S106 / Symbol: TRW								
02/19/14	10,000		804.17	11/14/12	476.86	0.00		327.31 Sale
08/25/14	1,000		98.74	11/14/12	47.69	0.00		51.05 Sale

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) acquired	1d- Proceeds & 1b- Date	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
TRW AUTOMOTIVE HOLDINGS CORP / CUSIP: 87264S106 / Symbol: TRW (cont'd)							
3 tax lots for 09/15/14. Total proceeds (and cost when required) reported to the IRS							
	12,000	1,230.47	11/14/12	572.23	0.00		658.24 Sale
	11,000	1,127.93	02/01/13	649.59	0.00		478.34 Sale
	6,000	615.24	02/22/13	347.37	0.00		267.87 Sale
09/15/14	29,000	2,973.64	VARIOUS	1,569.19	0.00		1,404.45 Total of 3 lots
2 tax lots for 10/07/14. Total proceeds (and cost when required) reported to the IRS.							
	6,000	610.35	02/22/13	347.36	0.00		262.99 Sale
	10,000	1,017.25	07/02/13	670.51	0.00		346.74 Sale
10/07/14	16,000	1,627.60	VARIOUS	1,017.87	0.00		609.73 Total of 2 lots
	Security total:	5,504.15		3,111.61	0.00		2,392.54
TERADYNE INC COMMON STOCK / CUSIP: 880770102 / Symbol: TER							
11/03/14	49,000	907.29	05/08/13	813.23	0.00		94.06 Sale
TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX							
01/23/14	19,000	783.41	10/25/12	403.80	0.00		379.61 Sale
01/28/14	4,000	156.38	10/25/12	85.01	0.00		71.37 Sale
2 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	44.22	10/25/12	21.25	0.00		22.97 Sale
	22,000	972.94	11/02/12	515.92	0.00		457.02 Sale
04/04/14	23,000	1,017.16	VARIOUS	537.17	0.00		479.99 Total of 2 lots
3 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.							
	5,000	139.04	11/02/12	117.26	0.00		21.78 Sale
	21,000	583.99	05/08/13	674.15	0.00		-90.16 Sale
	2,000	55.62	06/17/13	54.87	0.00		0.75 Sale
10/10/14	28,000	778.65	VARIOUS	846.28	0.00		-67.63 Total of 3 lots
2 tax lots for 12/11/14. Total proceeds (and cost when required) reported to the IRS.							
	21,000	565.15	06/17/13	576.19	0.00		-11.04 Sale
	27,000	726.62	09/09/13	845.34	0.00		-118.72 Sale
12/11/14	48,000	1,291.77	VARIOUS	1,421.53	0.00		-129.76 Total of 2 lots
	Security total:	4,027.37		3,293.79	0.00		733.58

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked.

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
1d- Proceeds & 6- Reported (G)ross (N)et							
TRIBUNE MEDIA COMPANY CL A / CUSIP: 896047503 / Symbol: TRCO							
08/19/14	11 000	860 79	07/09/13	637.49	0.00		223.30 Sale
08/25/14	1 000	75 44	07/09/13	57.95	0.00		17 49 Sale
	Security total:	936 23		695.44	0.00		240.79

TRIBUNE PUBG CO COM / CUSIP: 896082104 / Symbol: TPUB

2 tax lots for 08/06/14. Total proceeds (and cost when required) reported to the IRS.

5 250	110 34	79 74	0.00	30.60	Sale
3 000	63 05	48 17	0.00	14.88	Sale
8 250	173 39	127.91	0.00	45.48	Total of 2 lots

TRIUMPH GROUP INC / CUSIP: 896818101 / Symbol: TGI

3 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS.

11 000	708 59	509 29	0.00	199 30	Sale
6 000	386 50	273 85	0.00	112 65	Sale
1 000	64 42	54 69	0.00	9 73	Sale
18 000	1,159 51	837.83	0.00	321 68	Total of 3 lots

2 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS.

12 000	801 89	656 23	0.00	145 66	Sale
10 000	668 24	617.80	0.00	50 44	Sale
22 000	1,470.13	1,274.03	0.00	196.10	Total of 2 lots
Security total:	2,629.64	2,111.86	0.00	517 78	

USG CORP NEW COMMON STOCK / CUSIP: 903293405 / Symbol: USG

17 000

136 000

Security total:

VECTRUS INC / CUSIP: 92242T101 / Symbol: VEC

09/29/14

0.611

0.278

3.056

3 167

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
VECTRUS INC / CUSIP: 92242T101 / Symbol: VEC (cont'd)	3,500	70.44	04/08/13	46.65	0.00		23.79 Sale
10/02/14	10,000	201.25	VARIOUS	132.44	0.00		68.81 Total of 4 lots
Security total:		213.67		140.51	0.00		73.16
WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN							
2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	409.98	02/09/12	216.67	0.00		193.31 Sale
	2,000	409.98	05/08/12	235.77	0.00		174.21 Sale
01/10/14	4,000	819.96	VARIOUS	452.44	0.00		367.52 Total of 2 lots
02/11/14	3,000	672.99	05/08/12	353.67	0.00		319.32 Sale
2 tax lots for 12/05/14. Total proceeds (and cost when required) reported to the IRS.							
	6,000	977.51	08/17/12	592.50	0.00		385.01 Sale
	6,000	977.51	09/18/12	637.57	0.00		339.94 Sale
12/05/14	12,000	1,955.02	VARIOUS	1,230.07	0.00		724.95 Total of 2 lots
Security total:		3,447.97		2,036.18	0.00		1,411.79

XILINX INC COMMON STOCK / CUSIP: 983919101 / Symbol: XLNX

2 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS.							
	16,000	834.70	08/01/12	520.18	0.00		314.52 Sale
	5,000	260.84	08/10/12	168.84	0.00		92.00 Sale
02/26/14	21,000	1,095.54	VARIOUS	689.02	0.00		406.52 Total of 2 lots
3 tax lots for 03/27/14. Total proceeds (and cost when required) reported to the IRS.							
	30,000	1,617.06	08/10/12	1,013.02	0.00		604.04 Sale
	17,000	916.33	08/17/12	579.33	0.00		337.00 Sale
	18,000	970.24	08/22/12	605.21	0.00		365.03 Sale
03/27/14	65,000	3,503.63	VARIOUS	2,197.56	0.00		1,306.07 Total of 3 lots
Security total:		4,599.17		2,886.58	0.00		1,712.59
BELMOND LTD FOREIGN STOCK / CUSIP: G1154H107 / Symbol: BEL							
08/25/14	8,000	102.71	06/28/13	97.57	0.00		5.14 Sale
10/10/14	175,000	1,871.64	06/28/13	2,134.38	0.00		-262.74 Sale
Security total:		1,974.35		2,231.95	0.00		-257.60

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & Reported (Gross/Net) / Symbol FSL	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional Information
FREESCALE SEMICONDUCTOR LIMITED / CUSIP: G3727Q101 / Symbol FSL								
02/24/14	39,000	872.64		12/11/12	383.45	0.00	489.19	Sale
03/28/14	35,000	839.44		12/11/12	344.13	0.00	495.31	Sale
11/03/14	52,000	1,037.51		12/11/12	511.26	0.00	526.25	Sale
2 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS.								
	31,000	628.21		12/11/12	304.80	0.00	323.41	Sale
	50,000	1,013.23		07/18/13	772.08	0.00	241.15	Sale
11/10/14	81,000	1,641.44		VARIOUS	1,076.88	0.00	564.56	Total of 2 lots
Security total:		4,391.03			2,315.72	0.00	2,075.31	
WEATHERFORD INTL PLC FOREIGN STOCK / CUSIP: G48833100 / Symbol: WFT								
07/02/14	38,000	867.66		04/17/13	462.26	0.00	405.40	Sale
08/22/14	3,000	67.13		04/17/13	36.49	0.00	30.64	Sale
2 tax lots for 11/06/14. Total proceeds (and cost when required) reported to the IRS.								
	10,000	153.09		09/03/13	151.24	0.00	1.85	Sale
	47,000	719.55		09/06/13	738.00	0.00	-18.45	Sale
11/06/14	57,000	872.64		VARIOUS	889.24	0.00	-16.60	Total of 2 lots
Security total:		1,807.43			1,387.99	0.00	419.44	
WEATHERFORD INTL LTD **MERGER - EFF - 06/2014** CHF / CUSIP: H27013103 / Symbol.								
04/21/14	45,000	826.29		04/08/13	562.19	0.00	264.10	Sale
2 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.								
	14,000	285.05		04/08/13	174.90	0.00	110.15	Sale
	30,000	610.83		04/17/13	364.94	0.00	245.89	Sale
04/25/14	44,000	895.88		VARIOUS	539.84	0.00	356.04	Total of 2 lots
04/30/14	2,000	41.52		04/17/13	24.32	0.00	17.20	Sale
05/20/14	40,000	830.28		04/17/13	486.58	0.00	343.70	Sale
Security total:		2,593.97			1,612.93	0.00	981.04	
Totals:		123,420.90			97,178.24	91.59 W	26,334.25	

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UBS FINANCIAL SERVICES INC.

Account HG 27192

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 9999, Part II, with Box E checked.
1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
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CIT GROUP INC / CUSIP: 125581801 / Symbol: CIT

8,000

12/02/10

330.67

0.00

59.46

Sale

UNIVERSAL HEALTH SVCS INC CL B COMMON STOCK / CUSIP: 913903100 / Symbol: UHS

2 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS:

9,000	743.05	08/03/10	324.18	0.00	418.87	Sale
4,000	330.25	08/27/10	124.75	0.00	205.50	Sale
13,000	1,073.30	VARIOUS	448.93	0.00	624.37	Total of 2 lots

ZIONS BANCORP COMMON STOCK / CUSIP: 989701107 / Symbol: ZION

2,000	57.84	02/04/10	35.52	0.00	22.32	Sale
27,000	766.91	02/04/10	479.52	0.00	287.39	Sale

5 tax lots for 05/20/14. Total proceeds (and cost when required) reported to the IRS:

5,000	139.93	02/04/10	88.80	0.00	51.13	Sale
23,000	643.70	07/02/10	478.89	0.00	164.81	Sale
25,000	699.67	07/20/10	496.47	0.00	203.20	Sale
22,000	615.71	08/12/10	445.44	0.00	170.27	Sale
29,000	811.61	08/20/10	555.73	0.00	255.88	Sale
104,000	2,910.62	VARIOUS	2,065.33	0.00	845.29	Total of 5 lots

Security total:

2,580.37

1,155.00

Totals:

5,198.80

3,359.97

1,838.83

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ACCESS
December 2014

Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DFF Golden Cap
Account number: HG 27187 CD

Your Financial Advisor:
CYPHERS DUBBY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Number of shares	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	4,834.51		8,871.55					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMSURG CORP NEW								
Symbol AMSG Exchange OTC	Aug 9, 12	186,000	29.967	5,573.96	54.730	10,179.78	4,605.82	LT
AMTRUST FINANCIAL SERVICES INC								
Symbol AFSI Exchange OTC								
EAL \$172 Current yield 1.78%	Jun 12, 13	172,000	30.357	5,221.47	56.250	9,675.00	4,453.53	LT
ARLINGTON ASSET INVT CL A SBI								
Symbol AI Exchange NYSE	Jul 14, 14	295,000	26.920	7,941.49	26.610	7,849.95	-91.54	ST
EAL \$1,033 Current yield 13.16%								
AVG TECHNOLOGIES								
Symbol AVG Exchange NYSE	Nov 30, 12	366,000	13.764	5,037.88	19.740	7,224.84	2,186.96	LT
BENCHMARK ELECTRONICS INC								
Symbol BHE Exchange NYSE	Aug 9, 13	304,000	22.535	6,850.85	25.440	7,733.76	882.91	LT
BLOUNT INTL INC								
Symbol BLT Exchange NYSE	Sep 5, 14	488,000	16.115	7,864.22	17.570	8,574.16	709.94	ST

continued next page



ACCESS
December 2014

Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DFF Golden Cap
Account number: HG 27187 CD

Your Financial Advisor:
CYPHERS DUBEY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BROCADE COMMUNICATIONS SYS INC NEW								
Symbol BRCD Exchange OTC								
EAI \$131 Current yield 1 18%	Jun 21, 12	937 000	4 940	4,628 78	11 840	11,094 08	6,465 30	LT
CAL-MAINE FOOD INC NEW								
Symbol CALM Exchange OTC								
EAI \$212 Current yield 2 59%	Nov 7, 13	210 000	26 110	5,483 19	39 030	8,196 30	2,713 11	LT
CAPELLA EDUCATION CO								
Symbol CPLA Exchange OTC								
EAI \$201 Current yield 1 92%	Aug 1, 13	136 000	51 257	6,970 99	76 960	10,466 56	3,495 57	LT
CENTENE CORP								
Symbol CNC Exchange NYSE								
May 15, 13	104 000	51 657	5,372 41	103 850	10,800 40	5,427 99		LT
DELUXE CORP								
Symbol DLX Exchange NYSE								
EAI \$191 Current yield 1 93%	May 27, 09	44 000	14 618	643 21	62 250	2,739 00	2,095 79	LT
	Feb 4, 10	50 000	17 749	887 47	62 250	3,112 50	2,225 03	LT
	May 4, 12	65 000	23 370	1,519 05	62 250	4,046 25	2,527 20	LT
Security total		159 000	19 181	3,049 73		9,897 75	6,848 02	
DREW INDUSTRIES INC NEW								
Symbol DW Exchange NYSE								
Sep 5, 14	170 000	46 265	7,865 05	51 070	8,681 90	816 85		ST
EMCOR GROUP INC								
Symbol EME Exchange NYSE								
EAI \$53 Current yield 0 72%	May 27, 09	55 000	22 070	1,213 85	44 490	2,446 95	1,233 10	LT
	Feb 4, 10	50 000	23 520	1,176 00	44 490	2,224 50	1,048 50	LT
	May 4, 12	60 000	28 720	1,723 20	44 490	2,669 40	946 20	LT
Security total		165 000	24 928	4,113 05		7,340 85	3,227 80	
EMPLOYERS HOLDINGS INC								
Symbol EIG Exchange NYSE								
EAI \$56 Current yield 1 03%	Nov 7, 13	232 000	30 991	7,189 96	23 510	5,454 32	-1,735 64	LT
ENERSYS								
Symbol ENS Exchange NYSE								
EAI \$99 Current yield 1 14%	Dec 8, 11	136 000	25 121	3,416 51	61 720	8,393 92	4,977 41	LT
	May 4, 12	5 000	33 480	167 40	61 720	308 60	141 20	LT
Security total		141 000	25 418	3,583 91	8,702 52		5,118 61	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ENPHASE ENERGY INC								
Symbol ENPH Exchange OTC	Sep 5, 14	517 000	14 901	7,704 23	14 290	7,387 93	-316 30	ST
FBL FINANCIAL GROUP INC CL A								
Symbol FFG Exchange NYSE	Mar 7, 11	109 000	30 307	3,303 49	58 030	6,325 27	3,021 78	LT
EAI \$237 Current yield 2 42%	May 4, 12	60 000	26 770	1,606 20	58 030	3,481 80	1,875 60	LT
Security total		169 000	29 051	4,909 69		9,807 07	4,897 38	
FEDERATED NATL HLDG CO COM								
Symbol FNHC Exchange OTC	Aug 22, 14	266 000	23 874	6,350 64	24 160	6,426 56	75 92	ST
EAI \$43 Current yield 0 67%								
GENTHERM INC								
Symbol THRM Exchange OTC	Oct 31, 14	196 000	42 123	8,256 30	36 620	7,177 52	-1,078 78	ST
GRAPHIC PACKAGING HOLDING CO								
Symbol GPK Exchange NYSE	Jul 14, 14	675 000	11 740	7,924 91	13 620	9,193 50	1,268 59	ST
GREEN PLAINS INC								
Symbol GPPE Exchange OTC	Jan 21, 14	221 000	21 877	4,835 02	24 780	5,476 38	641 36	ST
EAI \$71 Current yield 1 30%								
GREENBRIER COS INC								
Symbol GBX Exchange NYSE	Aug 22, 14	114 000	71 200	8,116 89	53 730	6,125 22	-1,991 67	ST
EAI \$68 Current yield 1 11%								
HEALTHSOUTH CORP NEW								
Symbol HLS Exchange NYSE	Jul 17, 12	225 000	24 028	5,406 37	38 460	8,653 50	3,247 13	LT
EAI \$189 Current yield 2 18%								
HFF INC CL A								
Symbol HF Exchange NYSE	Sep 24, 13	263 000	23 539	6,190 83	35 920	9,446 96	3,256 13	LT
HOME LN SERVICING SOLUTION LTD								
Symbol HLSS Exchange OTC	Oct 31, 14	411 000	19 210	7,895 60	19 520	8,022 72	127 12	ST
EAI \$888 Current yield 11 07%								
INPHI CORP								
Symbol IPHI Exchange NYSE	Apr 30, 14	292 000	13 910	4,061 72	18 480	5,396 16	1,334 44	ST
	May 14, 14	236 000	14 634	3,453 72	18 480	4,361 28	907 56	ST
Security total		528 000	14 234	7,515 44		9,757 44	2,242 00	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INSYS THERAPEUTICS INC NEW COM								
NEW								
Symbol INSY Exchange OTC	Nov 15, 13	177 000	28 276	5,004 96	42 160	7,462 32	2,457 36	LT
INTEGRATED DEVICE TECH INC								
Symbol IDTI Exchange OTC	Oct 31, 14	471 000	16 457	7,751 58	19 600	9,231 60	1,480 02	ST
INTELIQUENT INC COM								
Symbol IQNT Exchange OTC								
EAI \$344 Current yield 3 06%	Apr 28, 14	573 000	13 230	7,581 08	19 630	11,247 99	3,666 91	ST
ITT CORP								
Symbol ITT Exchange NYSE								
EAI \$88 Current yield 1 09%	Jan 23, 13	199 000	25 826	5,139 55	40 460	8,051 54	2,911 99	LT
J2 GLOBAL INC COM								
Symbol JCOM Exchange OTC								
EAI \$182 Current yield 1 83%	May 27, 09	35 000	21 383	748 42	62 000	2,170 00	1,421 58	LT
	Feb 4, 10	60 000	20 910	1,254 60	62 000	3,720 00	2,465 40	LT
	May 4, 12	65 000	26 379	1,714 69	62 000	4,030 00	2,315 31	LT
Security total		160 000	23 236	3,717 71		9,920 00	6,202 29	
LANNETT CO INC								
Symbol LCI Exchange NYSE	Sep 24, 13	172 000	19 540	3,360 96	42 880	7,375 36	4,014 40	LT
LATTICE SEMICONDUCTOR CORP								
Symbol LSCC Exchange OTC	Mar 26, 14	1,014 000	7 634	7,741 08	6 890	6,986 46	-754 62	ST
LIFELOCK INC								
Symbol LOCK Exchange NYSE	Mar 26, 14	428 000	18 011	7,709 05	18 510	7,922 28	213 23	ST
MAIDEN HOLDINGS LTD								
Symbol MHLI Exchange OTC								
EAI \$304 Current yield 4 07%	Mar 26, 14	584 000	13 118	7,661 09	12 790	7,469 36	-191 73	ST
MATRIX SERVICE CO								
Symbol MTRX Exchange OTC	Mar 6, 14	227 000	34 491	7,829 62	22 320	5,066 64	-2,762 98	ST
NEW JERSEY RESOURCES CRP								
Symbol NJR Exchange NYSE								
EAI \$288 Current yield 2 94%	Nov 15, 13	160 000	44 986	7,197 76	61 200	9,792 00	2,594 24	LT
NUTRISYSTEMS INC								
Symbol NTRI Exchange OTC								
EAI \$300 Current yield 3 58%	Nov 19, 14	429 000	18 356	7,875 07	19 550	8,386 95	511 88	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NUVASIVE INC								
Symbol NUVA Exchange OTC	Mar 13, 13	207 000	19 734	4,085 00	47 160	9,762 12	5,677 12	LT
OMNIVISION TECHNOLOGIES INC								
Symbol OVTI Exchange OTC	Jul 14, 14	355 000	21 868	7,763 46	26 000	9,230 00	1,466 54	ST
PDL BIOPHARMA INC								
Symbol PDLI Exchange OTC	May 23, 12	810 000	6 448	5,223 53	7 710	6,245 10	1,021 57	LT
EAI \$486 Current yield 7.78%								
PHARMERICA CORP								
Symbol PMC Exchange NYSE	Feb 14, 14	313 000	23 947	7,495 57	20 710	6,482 23	-1,013 34	ST
PIPER JAFFRAY COS								
Symbol PJC Exchange NYSE	Nov 19, 14	142 000	55 724	7,912 82	58 090	8,248 78	335 96	ST
PROASSURANCE CORP								
Symbol PRA Exchange NYSE	May 27, 09	80 000	22 255	1,780 40	45 150	3,612 00	1,831 60	LT
EAI \$149 Current yield 2.75%	Feb 4, 10	40 000	24 955	998 20	45 150	1,806 00	807 80	LT
Security total		120 000	23 155	2,778 60		5,418 00	2,639 40	
QTS REALTY TRUST INC REIT CL A								
SBI								
Symbol QTS Exchange NYSE	May 14, 14	267 000	28 677	7,656 76	33 840	9,035 28	1,378 52	ST
EAI \$310 Current yield 3.43%								
RF MICRO DEVICES INC								
Symbol RFMD Exchange OTC	May 14, 14	647 000	8 875	5,742 25	16 590	10,733 73	4,991 48	ST
RPX CORP								
Symbol RPXC Exchange OTC	Feb 27, 13	493 000	12 833	6,326 82	13 780	6,793 54	466 72	LT
RUTH'S HOSPITALITY GROUP INC								
Symbol RUTH Exchange OTC	Jun 12, 13	547 000	11 834	6,473 58	15 000	8,205 00	1,731 42	LT
EAI \$109 Current yield 1.33%								
SANMINA CORPORATION COM								
Symbol SANM Exchange OTC	Sep 15, 10	9 000	10 997	98 98	23 530	211 77	112 79	LT
	Apr 14, 11	90 000	10 321	928 89	23 530	2,117 70	1,188 81	LT
	May 4, 12	255 000	8 470	2,159 85	23 530	6,000 15	3,840 30	LT
Security total		354 000	9 005	3,187 72		8,329 62	5,141 90	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SELECT MEDICAL HOLDINGS CORP								
Symbol SEM Exchange NYSE								
EAI \$232 Current yield 2.78%	May 23, 12	579 000	9 036	5,232.37	14 400	8,337.60	3,105.23	LT
SKECHERS USA INC CL A								
Symbol SKX Exchange NYSE	Jan 21, 14	173 000	27 103	4,688.96	55 250	9,558.25	4,869.29	ST
SUPERNUS PHARMACEUTICALS INC								
Symbol SUPN Exchange OTC	Dec 9, 14	907 000	8 836	8,014.61	8 300	7,528.10	-486.51	ST
TESSERA TECHNOLOGIES INC								
Symbol TSRA Exchange OTC								
EAI \$91 Current yield 1.12%	Dec 9, 14	227 000	35 187	7,987.63	35 760	8,117.52	129.89	ST
TIVO INC								
Symbol TIVO Exchange OTC	Oct 9, 13	560 000	12 081	6,765.47	11 840	6,630.40	-135.07	LT
TOWER INTL INC COM								
Symbol TOWR Exchange NYSE	Mar 6, 14	289 000	26 784	7,740.78	25 550	7,383.95	-356.83	ST
TRECORA RES COM								
Symbol TREC Exchange NYSE	Dec 9, 14	613 000	13 391	8,209.23	14 700	9,011.10	801.87	ST
UNIFIRST CORP MASS								
Symbol UNF Exchange NYSE								
EAI \$12 Current yield 0.12%	Jul 17, 12	83 000	64 481	5,351.93	121 450	10,080.35	4,728.42	LT
UNISYS CORP								
Symbol UIS Exchange NYSE	Apr 25, 13	278 000	16 747	4,655.67	29 480	8,195.44	3,539.77	LT
UNITED INS HLDGS CORP								
Symbol UIHC Exchange OTC								
EAI \$67 Current yield 0.73%	Oct 31, 14	420 000	19 655	8,255.35	21 950	9,219.00	963.65	ST
UNIVERSAL ELECTRONICS INC								
Symbol UEIC Exchange OTC	Nov 7, 13	157 000	38 617	6,063.01	65 030	10,209.71	4,146.70	LT
Total				\$378,033.49		\$501,014.29	\$122,980.80	
Total estimated annual income: \$6,606								



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	494.98	0.00					
UBS BANK USA DEP ACCT	2,826.61	3,646.25					250,000.00
Total	\$3,321.59	\$3,646.25					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALASKA AIR GROUP INC								
Symbol ALK Exchange NYSE								
EAI \$53 Current yield 0.84%	Jan 23, 14	106,000	40.117	4,252.45	59,760	6,334.56	2,082.11	ST
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI \$57 Current yield 1.11%	Aug 25, 10	55,000	39.555	2,175.56	93,040	5,117.20	2,941.64	LT
ANTHEM INC								
Symbol ANTM Exchange NYSE								
EAI \$70 Current yield 1.39%	Aug 27, 14	40,000	115.948	4,637.92	125,670	5,026.80	388.88	ST
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$92 Current yield 1.70%	Mar 12, 09	49,000	13.384	655.86	110.380	5,408.62	4,752.76	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ARCHER DANIELS MIDLAND CO								
Symbol ADM Exchange NYSE								
EAI \$97 Current yield 1 85%	Feb 11, 14	101 000	40 309	4,071 21	52 000	5,252 00	1,180 79	ST
ARROW ELECTRONICS INC								
Symbol ARW Exchange NYSE	Aug 14, 14	73 000	60 466	4,414 09	57 890	4,225 97	-188 12	ST
AUTOZONE INC								
Symbol AZO Exchange NYSE	Oct 29, 08	4 000	119 355	477 42	619 110	2,476 44	1,999 02	LT
	May 27, 09	2 000	155 280	310 56	619 110	1,238 22	927 66	LT
	Aug 19, 09	2 000	150 880	301 76	619 110	1,238 22	936 46	LT
Security total		8 000	136 218	1,089 74		4,952 88	3,863 14	
BAKER HUGHES INC								
Symbol BHI Exchange NYSE								
EAI \$41 Current yield 1 22%	Jul 22, 14	60 000	74 995	4,499 75	56 070	3,364 20	-1,135 55	ST
BECTON DICKINSON & CO								
Symbol BDX Exchange NYSE								
EAI \$89 Current yield 1 73%	Mar 18, 13	37 000	91 952	3,402 26	139 160	5,148 92	1,746 66	LT
BED BATH & BEYOND INC								
Symbol BBBY Exchange OTC	Nov 24, 14	65 000	73 467	4,775 37	76 170	4,951 05	175 68	ST
BIOGEN IDEC INC								
Symbol BIIB Exchange OTC	Sep 23, 13	12 000	242 366	2,908 40	339 450	4,073 40	1,165 00	LT
	May 8, 14	2 000	287 620	575 24	339 450	678 90	103 66	ST
Security total		14 000	248 831	3,483 64		4,752 30	1,268 66	
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAI \$100 Current yield 2 15%	Oct 5, 12	13 000	187 000	2,431 01	357 560	4,648 28	2,217 27	LT
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI \$116 Current yield 2 79%	Jun 6, 12	32 000	68 816	2,202 13	129 980	4,159 36	1,957 23	LT
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC								
EAI \$54 Current yield 1 11%	Sep 16, 14	112 000	40 381	4,522 68	43 330	4,852 96	330 28	ST
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI \$94 Current yield 2 17%	Aug 8, 13	30 000	123 757	3,712 72	144 170	4,325 10	612 38	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI \$85 Current yield 1.45%	Nov 9, 11	61 000	38 841	2,369 32	96 310	5,874 91	3,505 59	LT
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI \$22 Current yield 0.47%	Jul 15, 11	55 000	52 149	2,868 20	85 710	4,714 05	1,845 85	LT
EDWARDS LIFESCIENCES CORP								
Symbol EW Exchange NYSE								
	Oct 6, 14	42 000	106 928	4,491 01	127 380	5,349 96	858 95	ST
EMC CORP MASS								
Symbol EMC Exchange NYSE								
EAI \$63 Current yield 1.55%	Feb 18, 09	113 000	11 866	1,340 90	29 740	3,360 62	2,019 72	LT
	May 27, 09	8 000	12 157	97 26	29 740	237 92	140 66	LT
	Mar 22, 13	16 000	24 227	387 64	29 740	475 84	88 20	LT
Security total		137 000	13 327	1,825 80		4,074 38	2,248 58	
EXPRESS SCRIPTS HLDG CO								
Symbol ESRX Exchange OTC								
	Mar 19, 14	55 000	77 417	4,257 95	84 670	4,656 85	398 90	ST
FOOT LOCKER INC								
Symbol FL Exchange NYSE								
EAI \$69 Current yield 1.57%	Oct 13, 14	78 000	54 077	4,218 01	56 180	4,382 04	164 03	ST
FRANKLIN RESOURCES INC								
Symbol BEN Exchange NYSE								
EAI \$48 Current yield 1.08%	May 11, 12	75 000	38 266	2,870 00	55 370	4,152 75	1,282 75	LT
	Mar 13, 14	5 000	52 730	263 65	55 370	276 85	13 20	ST
Security total		80 000	39 171	3,133 65		4,429 60	1,295 95	
F5 NETWORKS INC								
Symbol FFIV Exchange OTC								
	Aug 7, 13	41 000	88 528	3,629 68	130 465	5,349 06	1,719 38	LT
GAP INC								
Symbol GPS Exchange NYSE								
EAI \$71 Current yield 2.08%	Jul 25, 13	81 000	45 238	3,664 33	42 110	3,410 91	-253 42	LT
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC								
	Jan 18, 07	28 000	16 489	461 70	94 260	2,639 28	2,177 58	LT
	May 27, 09	14 000	20 783	290 97	94 260	1,319 64	1,028 67	LT
Security total		42 000	17 921	752 67		3,958 92	3,206 25	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GOOGLE INC CL A								
Symbol	Dec 9, 10	5 000	297 526	1,487 63	530 660	2,653 30	1,165 67	LT
Symbol	Apr 9, 14	2 000	558 990	1,117 98	530 660	1,061 32	-56 66	ST
Security total		7 000	372 230	2,605 61		3,714 62	1,109 01	
HANESBRANDS INC								
Symbol								
Symbol	May 2, 13	53 000	49 458	2,621 30	111 620	5,915 86	3,294 56	LT
EAI \$64 Current yield 1 08%								
HOME DEPOT INC								
Symbol								
Symbol	Aug 16, 12	54 000	55 687	3,007 15	104 970	5,668 38	2,661 23	LT
EAI \$102 Current yield 1 80%								
KIRBY CORPORATION								
Symbol	Jun 19, 14	38 000	115 587	4,392 32	80 740	3,068 12	-1,324 20	ST
Symbol								
LAM RESEARCH CORP								
Symbol								
Symbol	Nov 6, 13	52 000	54 075	2,811 93	79 340	4,125 68	1,313 75	LT
EAI \$43 Current yield 0 90%	Mar 13, 14	8 000	53 816	430 53	79 340	634 72	204 19	ST
Security total		60 000	54 041	3,242 46		4,760 40	1,517 94	
LOWES COMPANIES INC								
Symbol								
Symbol	Oct 2, 13	78 000	48 300	3,767 40	68 800	5,366 40	1,599 00	LT
EAI \$79 Current yield 1 34%	Mar 13, 14	8 000	49 448	395 59	68 800	550 40	154 81	ST
Security total		86 000	48 407	4,162 99		5,916 80	1,753 81	
MACY'S INC								
Symbol								
Symbol	Dec 30, 11	82 000	32 313	2,649 67	65 750	5,391 50	2,741 83	LT
EAI \$103 Current yield 1 91%								
MCKESSON CORP								
Symbol								
Symbol	Aug 29, 11	20 000	77 578	1,551 56	207 580	4,151 60	2,600 04	LT
EAI \$23 Current yield 0 46%	Feb 15, 12	4 000	81 470	325 88	207 580	830 32	504 44	LT
Security total		24 000	78 227	1,877 44		4,981 92	3,104 48	
MEDTRONIC INC								
Symbol								
Symbol	Dec 30, 11	69 000	38 546	2,659 70	72 200	4,981 80	2,322 10	LT
EAI \$84 Current yield 1 69%								

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MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$98 Current yield 2.67%	Feb 1, 06	79 000	27 840	2,199 36	46 450	3,669 55	1,470 19	LT
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI \$101 Current yield 2.80%	Mar 11, 14	55 000	70 801	3,894 08	65 530	3,604 15	-289 93	ST
NETAPP INC								
Symbol NTAP Exchange OTC								
EAI \$61 Current yield 1.60%	Dec 7, 12	92 000	33 024	3,038 26	41 450	3,813 40	775 14	LT
O REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC								
Nov 26, 12	33 000	92 518	3,053 10	192 620	6,356 46	3,303 36		LT
ORACLE CORP								
Symbol ORCL Exchange NYSE								
EAI \$50 Current yield 1.07%	Jun 6, 06	97 000	13 729	1,331 76	44 970	4,362 09	3,030 33	LT
Feb 15, 12	7 000	28 190	197 33	44 970	314 79	117 46		LT
Security total		104 000	14 703	1,529 09		4,676 88	3,147 79	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI \$64 Current yield 1.15%	Jun 22, 12	24 000	104 079	2,497 90	231 150	5,547 60	3,049 70	LT
PRICELINE GROUP INC NEW								
Symbol PCLN Exchange OTC								
Apr 2, 13	4 000	704 945	2,819 78	1,140 210	4,560 84	1,741 06		LT
PULTE GROUP INC								
Symbol PHM Exchange NYSE								
EAI \$71 Current yield 1.49%	May 1, 13	164 000	20 491	3,360 54	21 460	3,519 44	158 90	LT
Aug 21, 13	58 000	16 179	938 39	21 460	1,244 68	306 29		LT
Security total		222 000	19 365	4,298 93		4,764 12	465 19	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$81 Current yield 2.27%	Nov 21, 11	48 000	54 047	2,594 29	74 330	3,567 84	973 55	LT
ROSS STORES INC								
Symbol ROST Exchange OTC								
EAI \$47 Current yield 0.85%	Oct 6, 14	59 000	76 001	4,484 09	94 260	5,561 34	1,077 25	ST

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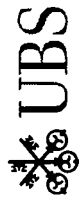
Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DFF Renaissance
Account number: HG 27188 CD

Your Financial Advisor:
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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL CARIBBEAN CRUISES LTD								
LIBERIA ORD								
Symbol RCL Exchange NYSE								
EAI \$84 Current yield 1 46%	Sep 4, 14	70 000	65 870	4,610 90	82 430	5,770 10	1,159 20	ST
SANDISK CORP								
Symbol SNDK Exchange OTC								
EAI \$54 Current yield 1 22%	May 15, 13	45 000	59 045	2,657 06	97 980	4,409 10	1,752 04	LT
SKYWORKS SOLUTIONS INC								
Symbol SWKS Exchange OTC								
EAI \$49 Current yield 0 72%	Jun 21, 13	94 000	21 808	2,050 01	72 710	6,834 74	4,784 73	LT
SOUTHWEST AIRLINES CO								
Symbol LUV Exchange NYSE								
EAI \$37 Current yield 0 57%	Aug 13, 14	153 000	29 072	4,448 03	42 320	6,474 96	2,026 93	ST
SYNOPSYS INC								
Symbol SNPS Exchange OTC								
Aug 23, 12	90 000	33 303	2,997 33	43 470	3,912 30	914 97		LT
TD AMERITRADE HOLDING CORP								
Symbol AMTD Exchange NYSE								
EAI \$88 Current yield 1 68%	Jun 4, 14	146 000	30 331	4,428 41	35 780	5,223 88	795 47	ST
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI \$126 Current yield 2 53%	Jun 3, 14	93 000	47 089	4,379 33	53 465	4,972 24	592 91	ST
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI \$96 Current yield 1 68%	Aug 9, 10	48 000	38 915	1,867 93	119 130	5,718 24	3,850 31	LT
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$38 Current yield 0 72%	Jan 11, 13	20 000	161 135	3,222 71	262 200	5,244 00	2,021 29	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$61 Current yield 1 22%	Feb 21, 14	53 000	79 421	4,209 35	94 190	4,992 07	782 72	ST
WSTN DIGITAL CORP								
Symbol WDC Exchange OTC								
EAI \$82 Current yield 1 45%	Apr 13, 09	51 000	21 330	1,087 87	110 700	5,645 70	4,557 83	LT
Total				\$175,123.46		\$268,439.79	\$93,316.33	

Total estimated annual income: \$3,007



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	11,534.63	11,519.29					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLSTATE CORP								
Symbol ALL Exchange NYSE								
EAI \$231 Current yield 1.60%	Nov 13, 08	24,000	25.435	610.46	70.250	1,686.00	1,075.54	LT
	May 27, 09	90,000	25.090	2,258.10	70.250	6,322.50	4,064.40	LT
	Apr 11, 11	24,000	31.727	761.45	70.250	1,686.00	924.55	LT
	Sep 4, 12	68,000	37.433	2,545.46	70.250	4,777.00	2,231.54	LT
Security total		206,000	29.978	6,175.47		14,471.50	8,296.03	
ALTRIA GROUP INC								
Symbol MO Exchange NYSE								
EAI \$191 Current yield 4.21%	Mar 11, 09	22,000	16.405	360.91	49.270	1,083.94	723.03	LT
	Mar 13, 09	70,000	16.452	1,151.64	49.270	3,448.90	2,297.26	LT
Security total		92,000	16.441	1,512.55		4,532.84	3,020.29	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER ELECTRIC POWER CO								
Symbol AEP Exchange NYSE								
EAI \$288 Current yield 3.49%	Jun 28, 10	38 000	33 386	1,268 68	60 720	2,307 36	1,038 68	LT
	Jul 16, 10	75 000	35 249	2,643 74	60 720	4,554 00	1,910 26	LT
	Mar 9, 11	23 000	35 876	825 17	60 720	1,396 56	571 39	LT
Security total		136 000	34 835	4,737 59		8,257 92	3,520 33	
ANTHEM INC								
Symbol ANTM Exchange NYSE								
EAI \$61 Current yield 1.39%	Jul 29, 14	9 000	112 866	1,015 80	125 670	1,131 03	115 23	ST
	Aug 6, 14	26 000	111 641	2,902 68	125 670	3,267 42	364 74	ST
Security total		35 000	111 957	3,918 48		4,398 45	479 97	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$92 Current yield 1.70%	Mar 26, 14	21 000	77 510	1,627 72	110 380	2,317 98	690 26	ST
	Mar 26, 14	14 000	77 900	1,090 60	110 380	1,545 32	454 72	ST
	Mar 27, 14	14 000	77 090	1,079 27	110 380	1,545 32	466 05	ST
Security total		49 000	77 502	3,797 59		5,408 62	1,611 03	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$333 Current yield 5.60%	May 27, 09	148 000	24 027	3,556 07	33 590	4,971 32	1,415 25	LT
	Feb 2, 11	29 000	27 696	803 21	33 590	974 11	170 90	LT
Security total		177 000	24 629	4,359 28		5,945 43	1,586 15	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI \$101 Current yield 1.12%	Feb 14, 13	257 000	12 161	3,125 56	17 890	4,597 73	1,472 17	LT
	Mar 20, 13	249 000	12 800	3,187 27	17 890	4,454 61	1,267 34	LT
Security total		506 000	12 476	6,312 83		9,052 34	2,739 51	
CA INC								
Symbol CA Exchange OTC								
EAI \$229 Current yield 3.28%	Aug 12, 13	112 000	31 149	3,488 78	30 450	3,410 40	-78 38	LT
	Feb 12, 14	117 000	32 658	3,821 00	30 450	3,562 65	-258 35	ST
Security total		229 000	31 920	7,309 78		6,973 05	-336 73	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI \$124 Current yield 1.46%	Oct 15, 13	17 000	71 705	1,219 00	82 550	1,403 35	184 35	LT
	Oct 16, 13	9 000	72 298	650 69	82 550	742 95	92 26	LT
	Oct 18, 13	13 000	71 636	931 28	82 550	1,073 15	141 87	LT
	Oct 21, 13	11 000	71 457	786 03	82 550	908 05	122 02	LT
	Dec 16, 13	53 000	72 277	3,830 70	82 550	4,375 15	544 45	LT
Security total		103 000	72 017	7,417 70		8,502 65	1,084 95	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$295 Current yield 3.81%	May 27, 09	63 000	64 600	4,069 80	112 180	7,067 34	2,997 54	LT
	Aug 19, 13	6 000	118 886	713 32	112 180	673 08	-40 24	LT
Security total		69 000	69 321	4,783 12		7,740 42	2,957 30	
CIGNA CORP								
Symbol CI Exchange NYSE								
EAI \$2 Current yield 0.04%	May 3, 11	50 000	46 278	2,313 93	102 910	5,145 50	2,831 57	LT
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$249 Current yield 2.73%	Aug 31, 12	142 000	19 080	2,709 39	27 815	3,949 73	1,240 34	LT
	Feb 12, 13	100 000	20 971	2,097 17	27 815	2,781 50	684 33	LT
	Feb 14, 13	86 000	20 806	1,789 40	27 815	2,392 09	602 69	LT
Security total		328 000	20 110	6,595 96		9,123 32	2,527 36	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$5 Current yield 0.07%	May 29, 13	21 000	52 236	1,096 97	54 110	1,136 31	39 34	LT
	May 30, 13	23 000	53 211	1,223 87	54 110	1,244 53	20 66	LT
	May 31, 13	45 000	52 259	2,351 68	54 110	2,434 95	83 27	LT
	May 31, 13	20 000	53 000	1,060 00	54 110	1,082 20	22 20	LT
	Jun 4, 13	22 000	51 974	1,143 44	54 110	1,190 42	46 98	LT
Security total		131 000	52 488	6,875 96		7,088 41	212 45	
CONAGRA FOOD INC								
Symbol CAG Exchange NYSE								
EAI \$136 Current yield 2.76%	Nov 12, 09	18 000	22 359	402 47	36 280	653 04	250 57	LT

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Nov 8, 10		30 000	22 279	668 38	36 280	1,088 40	420 02	LT
Sep 29, 11		88 000	24 382	2,145 68	36 280	3,192 64	1,046 96	LT
Security total		136 000	23 651	3,216 53		4,934 08	1,717 55	
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								LT
EAI \$496 Current yield 4.22%	May 27, 09	120 000	33 739	4,048 68	69 060	8,287 20	4,238 52	LT
	Jun 18, 12	50 000	55 153	2,757 68	69 060	3,453 00	695 32	LT
Security total		170 000	40 037	6,806 36		11,740 20	4,933 84	
DEERE AND CO								
Symbol DE Exchange NYSE								ST
EAI \$98 Current yield 2.70%	Apr 8, 14	13 000	92 766	1,205 96	88 470	1,150 11	-55 85	ST
	Apr 9, 14	28 000	92 570	2,591 96	88 470	2,477 16	-114 80	ST
Security total		41 000	92 632	3,797 92		3,627 27	-170 65	
DEVON ENERGY CORP NEW								
Symbol DVN Exchange NYSE								ST
EAI \$51 Current yield 1.57%	May 6, 14	29 000	70 262	2,037 61	61 210	1,775 09	-262 52	ST
	May 7, 14	24 000	71 904	1,725 70	61 210	1,469 04	-256 66	ST
Security total		53 000	71 006	3,763 31		3,244 13	-519 18	
EASTMAN CHEMICAL CO								
Symbol EMN Exchange NYSE								ST
EAI \$77 Current yield 2.11%	Sep 5, 14	15 000	83 346	1,250 20	75 860	1,137 90	-112 30	ST
	Sep 8, 14	15 000	83 424	1,251 37	75 860	1,137 90	-113 47	ST
	Sep 9, 14	18 000	83 284	1,499 12	75 860	1,365 48	-133 64	ST
Security total		48 000	83 348	4,000 69		3,641 28	-359 41	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								ST
EAI \$105 Current yield 2.99%	Apr 10, 14	14 000	97 114	1,359 60	92 450	1,294 30	-65 30	ST
	Apr 11, 14	13 000	96 560	1,255 28	92 450	1,201 85	-53 43	ST
	Apr 14, 14	6 000	97 500	585 00	92 450	554 70	-30 30	ST
	Apr 14, 14	5 000	97 900	489 50	92 450	462 25	-27 25	ST
Security total		38 000	97 089	3,689 38		3,513 10	-176 28	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FIFTH THIRD BANCORP								
Symbol FITB Exchange OTC								
EAI \$183 Current yield 2.55%	Jun 20, 12	194 000	13 105	2,542 37	20 375	3,952 75	1,410 38	LT
	Feb 5, 14	158 000	20 714	3,272 88	20 375	3,219 25	-53 63	ST
Security total		352 000	16 521	5,815 25		7,172 00	1,356 75	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI \$359 Current yield 3.23%	May 7, 13	232 000	14 186	3,291 38	15 500	3,596 00	304 62	LT
	Jun 3, 13	4 000	15 935	63 74	15 500	62 00	-1 74	LT
	Jun 4, 13	195 000	15 918	3,104 13	15 500	3,022 50	-81 63	LT
	Sep 25, 13	196 000	17 258	3,382 71	15 500	3,038 00	-344 71	LT
	Mar 17, 14	90 000	15 290	1,376 10	15 500	1,395 00	18 90	ST
Security total		717 000	15 646	11,218 06		11,113 50	-104 56	
FREEPORT-MCMORAN INC								
Symbol FCX Exchange NYSE								
EAI \$145 Current yield 5.35%	Feb 24, 11	36 000	51 284	1,846 24	23 360	840 96	-1,005 28	LT
	Nov 28, 11	30 000	36 083	1,082 51	23 360	700 80	-381 71	LT
	Apr 23, 12	20 000	37 167	743 35	23 360	467 20	-276 15	LT
	Apr 2, 13	30 000	32 340	970 20	23 360	700 80	-269 40	LT
Security total		116 000	40 020	4,642 30		2,709 76	-1,932 54	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$418 Current yield 3.64%	Dec 9, 10	39 000	17 080	666 12	25 270	985 53	319 41	LT
	Dec 13, 10	165 000	17 606	2,905 01	25 270	4,169 55	1,264 54	LT
	Dec 6, 11	206 000	16 798	3,460 51	25 270	5,205 62	1,745 11	LT
	Aug 21, 14	44 000	26 360	1,159 84	25 270	1,111 88	-47 96	ST
Security total		454 000	18 043	8,191 48		11,472 58	3,281 10	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$186 Current yield 6.22%	May 9, 14	70 000	54 555	3,818 86	42 740	2,991 80	-827 06	ST
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI \$103 Current yield 1.24%	Feb 15, 11	8 000	167 497	1,339 98	193 830	1,550 64	210 66	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	May 13, 11	8 000	140 858	1,126 87	193 830	1,550 64	423 77	LT
	Aug 26, 11	4 000	110 870	443 48	193 830	775 32	331 84	LT
	Dec 9, 14	14 000	195 450	2,736 30	193 830	2,713 62	-22 68	ST
	Dec 9, 14	9 000	193 563	1,742 07	193 830	1,744 47	2 40	ST
Security total		43 000	171 830	7,388 70		8,334 69	945 99	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI \$149 Current yield 1 59%	Feb 20, 14	64 000	30 069	1,924 46	40 130	2,568 32	643 86	ST
	Feb 21, 14	60 000	29 766	1,786 00	40 130	2,407 80	621 80	ST
	Jun 16, 14	109 000	34 788	3,791 94	40 130	4,374 17	582 23	ST
Security total		233 000	32 199	7,502 40		9,350 29	1,847 89	
HOLLYFRONTIER CORP COM								
Symbol HFC Exchange NYSE								
EAI \$197 Current yield 3 41%	Apr 17, 13	79 000	46 777	3,695 41	37 480	2,960 92	-734 49	LT
	Apr 23, 13	8 000	50 475	403 80	37 480	299 84	-103 96	LT
	Apr 24, 13	16 000	52 042	832 68	37 480	599 68	-233 00	LT
	Apr 26, 13	28 000	49 842	1,395 58	37 480	1,049 44	-346 14	LT
	Jun 19, 13	23 000	44 856	1,031 70	37 480	862 04	-169 66	LT
Security total		154 000	47 787	7,359 17		5,771 92	-1,587 25	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$221 Current yield 2 49%	Nov 28, 12	63 000	19 900	1,311 05 ²	36 290	2,286 27	975 22	LT
	Aug 15, 13	182 000	22 007	4,005 44	36 290	6,604 78	2,599 34	LT
Security total		245 000	21 700	5,316 49		8,891 05	3,574 56	
INTL PAPER CO								
Symbol IP Exchange NYSE								
EAI \$224 Current yield 2 99%	Sep 29, 11	51 000	23 740	1,210 78	53 580	2,732 58	1,521 80	LT
	Feb 1, 12	89 000	31 226	2,779 19	53 580	4,768 62	1,989 43	LT
Security total		140 000	28 500	3,989 97		7,501 20	3,511 23	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$232 Current yield 2 67%	May 27, 09	11 000	54 278	597 06	104 570	1,150 27	553 21	LT
	Jul 16, 10	50 000	59 605	2,980 25	104 570	5,228 50	2,248 25	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 2, 11	22 000	60 636	1,334 01	104 570	2,300 54	966 53	LT
83 000			59 173	4,911 32	8,679 31		3,767 99	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$309 Current yield 2 56%	Feb 3, 06	15 000	39 560	593 40	62 580	938 70	345 30	LT
	Aug 31, 10	10 000	36 400	364 00	62 580	625 80	261 80	LT
	Oct 17, 11	42 000	31 149	1,308 27	62 580	2,628 36	1,320 09	LT
	Nov 10, 11	86 000	32 730	2,814 78	62 580	5,381 88	2,567 10	LT
	Aug 2, 12	40 000	35 135	1,405 40	62 580	2,503 20	1,097 80	LT
Security total		193 000	33 605	6,485 85	12,077 94		5,592 09	
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI \$64 Current yield 1 16%	Jun 19, 12	86 000	22 771	1,958 36	64 210	5,522 06	3,563 70	LT
LILLY ELI & CO								
Symbol LLY Exchange NYSE								
EAI \$114 Current yield 2 90%	May 5, 11	57 000	38 184	2,176 53	68 990	3,932 43	1,755 90	LT
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$156 Current yield 3 12%	Dec 6, 13	26 000	137 435	3,573 32	192 570	5,006 82	1,433 50	LT
MACY'S INC								
Symbol M Exchange NYSE								
EAI \$166 Current yield 1 90%	Jun 19, 12	82 000	37 083	3,040 88	65 750	5,391 50	2,350 62	LT
	May 29, 14	10 000	59 136	591 36	65 750	657 50	66 14	ST
	May 30, 14	41 000	59 620	2,444 42	65 750	2,695 75	251 33	ST
Security total		133 000	45 689	6,076 66	8,744 75		2,668 09	
MARATHON OIL CORP								
Symbol MRO Exchange NYSE								
EAI \$165 Current yield 2 96%	Feb 3, 06	38 000	21 697	824 49	28 290	1,075 02	250 53	LT
	May 27, 09	70 000	17 747	1,242 34	28 290	1,980 30	737 96	LT
	May 27, 09	15 000	17 747	266 21	28 290	424 35	158 14	LT
	Apr 19, 10	10 000	19 390	193 90	28 290	282 90	89 00	LT
	Jul 14, 11	64 000	31 915	2,042 61	28 290	1,810 56	-232 05	LT
Security total		197 000	23 196	4,569 55	5,573 13		1,003 58	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MEDTRONIC INC								
Symbol MDT Exchange NYSE								
EAI \$172 Current yield 1.69%	Feb 24, 09	8,000	34,558	276,47	72,200	577,60	301,13	LT
	Feb 26, 09	40,000	32,768	1,310,73	72,200	2,888,00	1,577,27	LT
	Oct 5, 10	20,000	33,839	676,78	72,200	1,444,00	767,22	LT
	Jan 29, 13	73,000	46,843	3,419,60	72,200	5,270,60	1,851,00	LT
Security total		141,000	40,309	5,683,58		10,180,20	4,496,62	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$272 Current yield 3.17%	Jun 19, 09	20,000	26,289	525,78	56,790	1,135,80	610,02	LT
	Nov 11, 09	55,000	33,228	1,827,54	56,790	3,123,45	1,295,91	LT
	Nov 12, 09	30,000	33,395	1,001,87	56,790	1,703,70	701,83	LT
	Mar 9, 11	46,000	33,380	1,535,50	56,790	2,612,34	1,076,84	LT
Security total		151,000	32,389	4,890,69		8,575,29	3,684,60	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI \$241 Current yield 2.59%	May 27, 09	145,000	29,879	4,332,56	54,090	7,843,05	3,510,49	LT
	Aug 26, 11	27,000	31,328	845,88	54,090	1,460,43	614,55	LT
Security total		172,000	30,107	5,178,44		9,303,48	4,125,04	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$105 Current yield 2.66%	May 5, 11	5,000	25,950	129,75	46,450	232,25	102,50	LT
	Nov 28, 12	55,000	27,089	1,489,93	46,450	2,554,75	1,064,82	LT
	Jan 10, 13	25,000	26,453	661,33	46,450	1,161,25	499,92	LT
Security total		85,000	26,835	2,281,01		3,948,25	1,667,24	
MOLSON COORS BREWING CO CL B								
Symbol TAP Exchange NYSE								
EAI \$101 Current yield 1.99%	Dec 8, 10	30,000	49,487	1,484,61	74,520	2,235,60	750,99	LT
	Dec 9, 10	15,000	49,447	741,71	74,520	1,117,80	376,09	LT
	Mar 9, 11	23,000	44,376	1,020,67	74,520	1,713,96	693,29	LT
Security total		68,000	47,750	3,246,99		5,067,36	1,820,37	

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Your Financial Advisor:
CYPHERS DUBEY GROUP (800) 243-
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NAVIENT CORP COM								
Symbol NAVI Exchange OTC								
EAI \$266 Current yield 2 78%	Nov 23, 12	44 000	10 868	478 23	21 610	950 84	472 61	LT
	Nov 26, 12	119 000	10 764	1,280 98	21 610	2,571 59	1,290 61	LT
	Mar 11, 13	176 000	12 274	2,160 27	21 610	3,803 36	1,643 09	LT
	Oct 10, 14	57 000	17 215	981 30	21 610	1,231 77	250 47	ST
	Oct 13, 14	25 000	17 500	437 50	21 610	540 25	102 75	ST
	Oct 13, 14	22 000	17 577	386 70	21 610	475 42	88 72	ST
Security total		443 000	12 923	5,724 98		9,573 23	3,848 25	
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE								
EAI \$98 Current yield 2 08%	Jun 19, 12	43 000	70 843	3,046 28	109 610	4,713 23	1,666 95	LT
NORTHROP GRUMMAN CORP								
Symbol NOC Exchange NYSE								
EAI \$280 Current yield 1 90%	May 27, 09	77 000	43 508	3,350 17	147 390	11,349 03	7,998 86	LT
	Aug 31, 10	10 000	48 985	489 85	147 390	1,473 90	984 05	LT
	Apr 11, 11	13 000	62 878	817 42	147 390	1,916 07	1,098 65	LT
Security total		100 000	46 574	4,657 44		14,739 00	10,081 56	
PRIZER INC								
Symbol PFE Exchange NYSE								
EAI \$282 Current yield 3 59%	May 1, 06	57 000	25 492	1,453 06	31 150	1,775 55	322 49	LT
	May 2, 06	90 000	25 291	2,276 20	31 150	2,803 50	527 30	LT
	Apr 19, 10	80 000	16 746	1,339 72	31 150	2,492 00	1,152 28	LT
	Jun 22, 10	25 000	15 165	379 13	31 150	778 75	399 62	LT
Security total		252 000	21 619	5,448 11		7,849 80	2,401 69	
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI \$179 Current yield 2 11%	Aug 8, 11	42 000	49 796	2,091 46	91 230	3,831 66	1,740 20	LT
	Aug 15, 11	6 000	47 448	284 69	91 230	547 38	262 69	LT
	Mar 11, 14	45 000	83 538	3,759 25	91 230	4,105 35	346 10	ST
Security total		93 000	65 972	6,135 40		8,484 39	2,348 99	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PPL CORP								
Symbol PPL Exchange NYSE								
EAI \$153 Current yield 4.09%	Oct 9, 12	103 000	29 259	3,013 69	36 330	3,741 99	728 30	LT
PUBLIC SERVICE ENTERPRISE GROUP INC								
Symbol PEG Exchange NYSE								
EAI \$160 Current yield 3.58%	Sep 5, 14	34 000	37 138	1,262 70	41 410	1,407 94	145 24	ST
	Sep 8, 14	34 000	36 875	1,253 75	41 410	1,407 94	154 19	ST
	Sep 9, 14	40 000	36 635	1,465 40	41 410	1,656 40	191 00	ST
Security total		108 000	36 869	3,981 85		4,472 28	490 43	
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDS A Exchange NYSE								
EAI \$320 Current yield 4.78%	Oct 9, 12	3 000	69 113	207 34	66 950	200 85	-6 49	LT
	Dec 10, 12	51 000	67 410	3,437 91	66 950	3,414 45	-23 46	LT
	Jul 17, 14	46 000	82 681	3,803 37	66 950	3,079 70	-723 67	ST
Security total		100 000	74 486	7,448 62		6,695 00	-753 62	
STATE STREET CORP								
Symbol STT Exchange NYSE								
EAI \$66 Current yield 1.53%	Nov 24, 09	45 000	41 110	1,849 97	78 500	3,532 50	1,682 53	LT
	Nov 25, 09	10 000	41 712	417 12	78 500	785 00	367 88	LT
Security total		55 000	41 220	2,267 09		4,317 50	2,050 41	
SYMANTEC CORP								
Symbol SYMC Exchange OTC								
EAI \$97 Current yield 2.35%	Oct 23, 14	43 000	23 826	1,024 54	25 655	1,103 16	78 62	ST
	Oct 27, 14	118 000	24 201	2,855 74	25 655	3,027 29	171 55	ST
Security total		161 000	24 101	3,880 28		4,130 45	250 17	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$173 Current yield 2.01%	Feb 21, 14	75 000	48 705	3,652 88	57 510	4,313 25	660 37	ST
	Oct 9, 14	22 000	52 711	1,159 66	57 510	1,265 22	105 56	ST
	Oct 10, 14	53 000	52 105	2,761 60	57 510	3,048 03	286 43	ST
Security total		150 000	50 494	7,574 14		8,626 50	1,052 36	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$334 Current yield 5 22%	Dec 12, 11	25 000	50 630	1,265 77	51 200	1,280 00	14 23	LT
	Dec 12, 11	25 000	50 630	1,265 77	51 200	1,280 00	14 23	LT
	Dec 20, 12	75 000	51 806	3,885 52	51 200	3,840 00	-45 52	LT
Security total		125 000	51 336	6,417 06		6,400 00	-17 06	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI \$86 Current yield 2 08%	Feb 3, 06	29 000	44 810	1,299 49	105 850	3,069 65	1,770 16	LT
	May 27, 09	10 000	39 450	394 50	105 850	1,058 50	664 00	LT
Security total		39 000	43 436	1,693 99		4,128 15	2,434 16	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$367 Current yield 4 70%	Apr 3, 14	65 000	47 840	3,109 61	46 780	3,040 70	-68 91	ST
	Apr 7, 14	14 000	48 142	674 00	46 780	654 92	-19 08	ST
	May 5, 14	33 000	47 076	1,553 51	46 780	1,543 74	-9 77	ST
	May 6, 14	55 000	47 369	2,605 33	46 780	2,572 90	-32 43	ST
Security total		167 000	47 560	7,942 45		7,812 26	-130 19	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$374 Current yield 2 56%	Jul 16, 10	24 000	27 270	654 48	54 820	1,315 68	661 20	LT
	Jul 19, 10	5 000	25 870	129 35	54 820	274 10	144 75	LT
	Dec 13, 10	95 000	30 220	2,870 90	54 820	5,207 90	2,337 00	LT
	Mar 9, 11	1 000	32 620	32 62	54 820	54 82	22 20	LT
	Dec 12, 11	142 000	26 315	3,736 76	54 820	7,784 44	4,047 68	LT
Security total		267 000	27 806	7,424 11		14,636 94	7,212 83	
WHIRLPOOL CORP								
Symbol WHR Exchange NYSE								
EAI \$78 Current yield 1 55%	Mar 11, 14	26 000	145 238	3,776 21	193 740	5,037 24	1,261 03	ST
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI \$189 Current yield 1 80%	Jul 7, 08	81 000	13 467	1,090 89	13 860	1,122 66	31 77	LT
	Jul 15, 08	115 000	13 197	1,517 71	13 860	1,593 90	76 19	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 16, 08	35 000	13 163	460 73	13 860	485 10	24 37	LT
	May 27, 09	115 000	6 800	782 00	13 860	1,593 90	811 90	LT
	Mar 9, 11	88 000	10 560	929 28	13 860	1,219 68	290 40	LT
	Aug 26, 11	122 000	7 700	939 40	13 860	1,690 92	751 52	LT
	Oct 17, 12	200 000	7 475	1,495 08	13 860	2,772 00	1,276 92	LT
Security total		756 000	9 544	7,215 09		10,478 16	3,263 07	
Total				\$295,306.20		\$415,096.44	\$119,790.24	

Total estimated annual income: \$10,948

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	11,519.29	2.70%	11,519.29		
Equities	415,096.44	97.30%	295,306.20	10,948.00	119,790.24
Total	\$426,615.73	100.00%	\$306,825.49	\$10,948.00	\$119,790.24

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 1	Dividend	CONOCOPHILLIPS PAID ON	124 10
Dec 1	Dividend	FORD MOTOR CO COM NEW PAID ON	89 63
Dec 1	Dividend	INTEL CORP PAID ON	55 13
Dec 1	Dividend	KROGER COMPANY PAID ON	15 91
Dec 1	Dividend	MURPHY OIL CORP PAID ON	21 00
Dec 1	Dividend	WELLS FARGO & CO NEW PAID ON	93 45
Dec 2	Dividend	CONAGRA FOOD INC PAID ON	34 00
Dec 2	Dividend	PFIZER INC PAID ON	65 52
Dec 2	Foreign Dividend	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON CUSIP 881624209	47 58
Dec 9	Dividend	CA INC PAID ON	57 25

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances; UBS Bank USA deposit account balances; UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	8,655.28	5,714.48					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR NY SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE	May 1, 13	143,000	6.694	957.29	7.500	1,072.50	115.21	LT
	May 8, 13	154,000	6.635	1,021.87	7.500	1,155.00	133.13	LT
	Earnings	9,000	6.780	61.02	7.500	67.50	6.48	
Security total		306,000	6.667	2,040.18		2,295.00	254.82	
AGEAS SPON ADR								
Symbol AGESE Exchange OTC	May 7, 12	43,000	17.806	765.66	35.465	1,524.99	759.33	LT
EAI \$295 Current yield 7.92%	Dec 11, 13	24,000	40.546	973.12	35.465	851.16	-121.96	LT
	May 13, 14	14,000	41.817	585.44	35.465	496.51	-88.93	ST
	Jul 29, 14	24,000	37.257	894.19	35.465	851.16	-43.03	ST
Security total		105,000	30.652	3,218.41		3,723.82	505.41	

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AGRIUM INC (CANADA) CAD								
Symbol AGU Exchange NYSE								
EAI \$72 Current yield 3 30%								
CAD Exchange rate 1 15825								
	Jul 2, 13	2 000	87 810	175 62	94 720	189 44	13 82	LT
	Jul 30, 13	12 000	87 300	1,047 60	94 720	1,136 64	89 04	LT
	May 30, 14	6 000	89 881	539 29	94 720	568 32	29 03	ST
	Jun 2, 14	3 000	89 946	269 84	94 720	284 16	14 32	ST
Security total		23 000	88 363	2,032 35		2,178 56	146 21	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol AXS Exchange NYSE								
EAI \$77 Current yield 2 28%								
	Feb 4, 10	44 000	28 500	1,254 04	51 090	2,247 96	993 92	LT
	Feb 6, 14	22 000	42 395	932 71	51 090	1,123 98	191 27	ST
Security total		66 000	33 133	2,186 75		3,371 94	1,185 19	
CAMECO CORP CANADA CAD								
Symbol CCJ Exchange NYSE								
EAI \$55 Current yield 2 13%								
CAD Exchange rate 1 15825								
	Nov 9, 12	69 000	17 300	1,193 75	16 410	1,132 29	-61 46	LT
	Oct 8, 13	51 000	17 804	908 03	16 410	836 91	-71 12	LT
	Aug 13, 14	37 000	19 820	733 34	16 410	607 17	-126 17	ST
Security total		157 000	18 058	2,835 12		2,576 37	-258 75	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC								
	Dec 19, 11	236 000	4 272	1,008 38	6 020	1,420 72	412 34	LT
	Jul 25, 12	269 000	3 278	882 05	6 020	1,619 38	737 33	LT
	Sep 30, 14	46 000	6 231	286 65	6 020	276 92	-9 73	ST
Earnings		59 000	3 544	209 12	6 020	355 18	146 06	
Security total		610 000	3 912	2,386 20		3,672 20	1,286 00	
CATLIN GROUP SPON ADR								
Symbol CNGRY Exchange OTC								
EAI \$137 Current yield 4 77%								
	Jun 24, 13	38 000	14 702	558 69	20 810	790 78	232 09	LT
	Aug 14, 13	34 000	15 101	513 46	20 810	707 54	194 08	LT
	Oct 15, 13	36 000	15 919	573 09	20 810	749 16	176 07	LT
	Oct 16, 13	30 000	15 852	475 56	20 810	624 30	148 74	LT
Security total		138 000	15 368	2,120 80		2,871 78	750 98	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM (ELETROBRAS)								
Symbol EBR Exchange NYSE	Apr 9, 13	178 000	3 149	560 68	2 140	380 92	-179 76	LT
	Apr 10, 13	304 000	3 170	963 68	2 140	650 56	-313 12	LT
Security total		482 000	3 163	1,524 36		1,031 48	-492 88	
CHINA RESOURCES PWR HLDGS CO								
ADR								
Symbol CRPY Exchange OTC	Aug 5, 14	4 000	43 015	172 06	38 349	153 40	-18 66	ST
EAI \$47 Current yield 3 60%	Aug 6, 14	11 000	43 370	477 07	38 349	421 84	-55 23	ST
	Aug 7, 14	19 000	43 088	818 69	38 349	728 63	-90 06	ST
Security total		34 000	43 171	1,467 82		1,303 86	-163 95	
CITY DEVELOPMENTS LTD SPON ADR								
Symbol CDEVY Exchange OTC	Feb 28, 14	56 000	7 354	411 83	7 780	435 68	23 85	ST
EAI \$33 Current yield 1 08%	Mar 14, 14	92 000	7 337	675 08	7 780	715 76	40 68	ST
	Mar 18, 14	147 000	7 360	1,081 99	7 780	1,143 66	61 67	ST
	Sep 4, 14	4 000	7 985	31 94	7 780	31 12	-0 82	ST
	Nov 18, 14	93 000	7 542	701 41	7 780	723 54	22 13	ST
Security total		392 000	7 404	2,902 25		3,049 76	147 51	
CREDIT SUISSE GROUP SPON ADR								
Symbol CS Exchange NYSE	Jul 13, 12	50 683	16 980	860 64	25 080	1,271 13	410 49	LT
EAI \$108 Current yield 3 12%	Jul 31, 12	55 317	16 615	919 10	25 080	1,387 35	468 25	LT
	Nov 8, 13	21 000	28 858	606 02	25 080	526 68	-79 34	LT
	Jul 1, 14	11 000	28 820	317 03	25 080	275 88	-41 15	ST
Security total		138 000	19 585	2,702 79		3,461 04	758 25	
DAI NIPPON PRINTING CO SPON								
ADR								
Symbol DNPLY Exchange OTC	Feb 13, 08	25 000	14 550	363 75	8 966	224 15	-139 60	LT
EAI \$67 Current yield 2 46%	Feb 3, 10	101 000	13 906	1,404 54	8 966	905 56	-498 98	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 4, 10	152 000	13 757	2,091 19	8 966	1,362 83	-728 36	LT
	Feb 5, 10	26 000	13 549	352 28	8 966	233 12	-119 16	LT
Security total		304 000	13 854	4,211 76		2,725 66	-1,486 10	
ELECTRICITE DE FRANCE ADR								
Symbol ECIFY Exchange OTC	Dec 16, 11	35 000	4 658	163 05	5 430	190 05	27 00	LT
EAI \$82 Current yield 4 16%	Jan 7, 13	205 000	3 681	754 80	5 430	1,113 15	358 35	LT
	Jun 27, 14	123 000	6 309	776 01	5 430	667 89	-108 12	ST
Security total		363 000	4 666	1,693 86		1,971 09	277 23	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC	Oct 26, 12	48 000	8 653	415 37	12 100	580 80	165 43	LT
EAI \$71 Current yield 2 49%	Jul 18, 13	72 000	11 572	833 23	12 100	871 20	37 97	LT
	Oct 24, 13	51 000	12 428	633 84	12 100	617 10	-16 74	LT
	Apr 28, 14	65 000	11 906	773 89	12 100	786 50	12 61	ST
Security total		236 000	11 256	2,656 33		2,855 60	199 27	
FLEXTRONICS INTL LTD								
Symbol FLEX Exchange OTC	Feb 3, 14	143 000	8 072	1,154 42	11 180	1,598 74	444 32	ST
	Oct 28, 14	34 000	9 662	328 51	11 180	380 12	51 61	ST
Security total		177 000	8 378	1,482 93		1,978 86	495 93	
FUJII FILM HOLDINGS CORP ADR								
Symbol FUJIY Exchange OTC	Feb 8, 08	83 000	35 506	2,947 08	30 660	2,544 78	-402 30	LT
EAI \$29 Current yield 1 14%								
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE	Feb 8, 11	65 000	38 854	2,525 51	42 740	2,778 10	252 59	LT
EAI \$172 Current yield 6 19%								
H LUNDBECK AVS SPON ADR								
Symbol HLUYV Exchange OTC	Nov 17, 14	21 000	21 101	443 14	19 792	415 63	-27 51	ST
EAI \$24 Current yield 1 76%	Nov 24, 14	48 000	20 986	1,007 34	19 792	950 01	-57 33	ST
Security total		69 000	21 021	1,450 48		1,365 64	-84 84	

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HENDERSON LAND DEV LTD SPON								
ADR HONG KONG								
Symbol HLDCY Exchange OTC								
EAI \$22 Current yield 1 49%	Dec 11, 14	71 000	6 713	476 67	6 985	495 93	19 26	ST
	Dec 12, 14	55 000	6 734	370 42	6 985	384 17	13 75	ST
	Dec 15, 14	43 000	6 642	285 61	6 985	300 35	14 74	ST
	Dec 16, 14	42 000	6 602	277 32	6 985	293 37	16 05	ST
Security total		211 000	6 683	1,410 02		1,473 83	63 80	
HOME RETAIL GROUP PLC SPON ADR								
Symbol HMRTY Exchange OTC								
EAI \$30 Current yield 1 49%	May 15, 12	98 000	5 195	1,084 65 ²	12 720	1,246 56	161 91	LT
	Jun 18, 14	60 000	12 126	727 56	12 720	763 20	35 64	ST
Security total		158 000	11 470	1,812 21		2,009 76	197 55	
HSBC HOLDINGS PLC NEW GB SPON								
ADR								
Symbol HSBC Exchange NYSE								
EAI \$176 Current yield 5 18%	Jan 23, 14	37 000	55 394	2,049 60	47 230	1,747 51	-302 09	ST
	Feb 4, 14	21 000	51 225	1,075 73	47 230	991 83	-83 90	ST
	Nov 17, 14	14 000	50 020	700 28	47 230	661 22	-39 06	ST
Security total		72 000	53 133	3,825 61		3,400 56	-425 05	
IMPALA PLATINUM HLDG LTD SPON								
ADR								
Symbol IMPUY Exchange OTC								
	Sep 11, 14	152 000	8 555	1,300 36	6 480	984 96	-315 40	ST
	Sep 12, 14	34 000	8 452	287 40	6 480	220 32	-67 08	ST
Security total		186 000	8 536	1,587 76		1,205 28	-382 48	
ING GROEP N V NL SPON ADR								
Symbol ING Exchange NYSE								
	Feb 14, 13	89 000	8 829	785 85	12 970	1,154 33	368 48	LT
	Feb 22, 13	139 000	8 394	1,166 81	12 970	1,802 83	636 02	LT
Security total		228 000	8 564	1,952 66		2,957 16	1,004 50	
KB FINL GROUP INC SPON ADR								
Symbol KB Exchange NYSE								
EAI \$19 Current yield 1 16%	Jan 11, 13	30 000	35 968	1,079 05	32 620	978 60	-100 45	LT
	Jan 16, 13	20 000	36 573	745 44 ²	32 620	652 40	-93 04	LT
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Account name: D'AGOSTINO FAMILY FOUNDATION
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Account number: HG 27190 CD

Your Financial Advisor:
CYPHERS DUBUEY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$31 Current yield 1.42%	Jul 24, 12	20 000	32.461	649.22	68.170	1,363.40	714.18	LT
	Oct 6, 14	12 000	70.211	842.54	68.170	818.04	-24.50	ST
Security total		32 000	46.618	1,491.76		2,181.44	689.68	
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$37 Current yield 1.95%	May 9, 13	79 000	14.719	1,162.87	14.620	1,154.98	-7.89	LT
	May 13, 13	51 000	13.638	695.54	14.620	745.62	50.08	LT
Security total		130 000	14.295	1,858.41		1,900.60	42.19	
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI \$62 Current yield 1.52%	Apr 15, 11	157 000	11.135	1,748.25	11.870	1,863.59	115.34	LT
	Jun 13, 12	58 000	7.592	440.38	11.870	688.46	248.08	LT
	Jan 8, 14	58 000	12.930	749.99	11.870	688.46	-61.53	ST
	May 1, 14	50 000	11.444	572.24	11.870	593.50	21.26	ST
	May 2, 14	21 000	11.426	239.96	11.870	249.27	9.31	ST
Security total		344 000	10.904	3,750.82		4,083.28	332.46	
NIPPON TELEG & TEL CORP SPON								
ADR								
Symbol NTT Exchange NYSE								
EAI \$110 Current yield 2.81%	May 29, 09	61 000	20.619	1,257.80	25.610	1,562.21	304.41	LT
	Dec 15, 10	92 000	22.744	2,092.49	25.610	2,356.12	263.63	LT
Security total		153 000	21.897	3,350.29		3,918.33	568.04	
OAO GAZPROM LEVEL 1 ADR								
PROGRAM (RUSSIA) SPON ADR								
Symbol OGZPY Exchange OTC								
EAI \$146 Current yield 6.90%	Aug 11, 11	157 000	10.765	1,690.17	4.530	711.21	-978.96	LT
	Sep 30, 11	182 000	9.710	1,767.22	4.530	824.46	-942.76	LT
	May 21, 12	125 000	8.984	1,123.11	4.530	566.25	-556.86	LT
	May 22, 12	3 000	9.020	27.06	4.530	13.59	-13.47	LT
Security total		467 000	9.866	4,607.56		2,115.51	-2,492.05	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PANASONIC CORP SPON ADR								
Symbol PKX Exchange NYSE								
EAI \$24 Current yield 1 05%	Mar 17, 11	116 000	11 842	1,373 68	11 770	1,365 32	-8 36	LT
	Oct 2, 12	79 000	6 476	511 66	11 770	929 83	418 17	LT
Security total		195 000	9 668	1,885 34		2,295 15	409 81	
POSCO SPON ADR								
Symbol PKX Exchange NYSE								
EAI \$59 Current yield 2 50%	May 7, 14	11 000	73 755	811 31	63 810	701 91	-109 40	ST
	Jun 9, 14	5 000	71 854	359 27	63 810	319 05	-40 22	ST
	Jun 10, 14	12 000	72 570	870 84	63 810	765 72	-105 12	ST
	Nov 5, 14	9 000	67 478	607 31	63 810	574 29	-33 02	ST
Security total		37 000	71 587	2,648 73		2,360 97	-287 76	
ROHM CO LTD ADR								
Symbol ROHCY Exchange OTC								
EAI \$17 Current yield 0 85%	Nov 8, 10	5 000	30 796	153 98	30 610	153 05	-0 93	LT
	Dec 16, 11	21 000	22 043	462 91	30 610	642 81	179 90	LT
	Dec 19, 11	15 000	21 984	329 76	30 610	459 15	129 39	LT
	Jun 8, 12	24 000	17 773	426 56	30 610	734 64	308 08	LT
Security total		65 000	21 126	1,373 21		1,989 65	616 44	
ROYAL DSM N V SPON ADR								
Symbol RDSMY Exchange OTC								
EAI \$69 Current yield 3 22%	Apr 4, 13	71 000	14 972	1,063 03	15 100	1,072 10	9 07	LT
	Feb 11, 14	37 000	16 667	616 68	15 100	558 70	-57 98	ST
	Mar 21, 14	34 000	16 387	557 17	15 100	513 40	-43 77	ST
Security total		142 000	15 753	2,236 88		2,144 20	-92 68	
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol RDS B Exchange NYSE								
EAI \$226 Current yield 5 41%	Feb 5, 13	21 000	71 388	1,545 74 ²	69 560	1,460 76	-84 98	LT
	Feb 20, 13	16 000	67 904	1,086 47	69 560	1,112 96	26 49	LT
	Jun 14, 13	10 000	67 807	678 07	69 560	695 60	17 53	LT
	Oct 16, 14	13 000	70 088	911 15	69 560	904 28	-6 87	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		60 000	70 357	4,221 43		4,173 60	-47 83	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$108 Current yield 2 89%	May 29, 09	20 000	31 599	631 99	45 610	912 20	280 21	LT
	Jul 1, 09	23 000	30 196	694 51	45 610	1,049 03	354 52	LT
	Aug 2, 13	10 000	50 959	509 59	45 610	456 10	-53 49	LT
	Feb 7, 14	13 000	48 350	628 55	45 610	592 93	-35 62	ST
	Oct 28, 14	16 000	47 801	764 82	45 610	729 76	-35 06	ST
Security total		82 000	39 384	3,229 46		3,740 02	510 56	
SEKISUI HOUSE LTD SPON ADR								
Symbol SKHSY Exchange OTC								
EAI \$65 Current yield 2 69%	Jun 23, 11	55 000	8 956	492 63	13 180	724 90	232 27	LT
	Jun 24, 11	54 000	8 992	485 57	13 180	711 72	226 15	LT
	Mar 5, 14	74 000	12 676	938 07	13 180	975 32	37 25	ST
Security total		183 000	10 471	1,916 27		2,411 94	495 67	
SEVEN & I HLDGS CO LTD ADR								
Symbol SVNDY Exchange OTC								
EAI \$41 Current yield 1 50%	May 29, 09	96 000	12 150	1,166 40	18 030	1,730 88	564 48	LT
	Aug 20, 09	56 000	11 711	655 84	18 030	1,009 68	353 84	LT
Security total		152 000	11 988	1,822 24		2,740 56	918 32	
SHISEIDO CO LTD SPONS								
ADR JAPAN								
Symbol SSDOY Exchange OTC								
EAI \$22 Current yield 0 98%	Jun 1, 11	99 000	17 007	1,683 70	14 040	1,389 96	-293 74	LT
	Aug 2, 12	61 000	14 325	873 84	14 040	856 44	-17 40	LT
Security total		160 000	15 985	2,557 54		2,246 40	-311 14	
SIEMENS A G SPON ADR								
Symbol SIEGY Exchange OTC								
EAI \$75 Current yield 2 68%	Oct 24, 08	6 000	48 595	291 57	112 000	672 00	380 43	LT
	Sep 27, 11	13 000	91 372	1,187 84	112 000	1,456 00	268 16	LT
	Apr 11, 13	6 000	104 251	625 51	112 000	672 00	46 49	LT
Security total		25 000	84 197	2,104 92		2,800 00	695 08	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SK TELECOM CO LTD ADR								
Symbol SKM Exchange NYSE								
EAI \$7 Current yield 0.25%	Feb 25, 11	58 000	17 499	1,014.97	27 010	1,566.58	551.61	LT
	Feb 28, 11	47 000	17 547	824.75	27 010	1,269.47	444.72	LT
Security total		105 000	17 521	1,839.72		2,836.05	996.33	
SUMITOMO MITSUI TRUST HOLDINGS								
SPON ADR								
Symbol SUTNY Exchange OTC								
EAI \$54 Current yield 1.91%	Sep 12, 12	85 000	2 910	247.39	3 770	320.45	73.06	LT
	Sep 24, 12	171 000	3 072	525.33	3 770	644.67	119.34	LT
	May 24, 13	146 000	4 711	687.81	3 770	550.42	-137.39	LT
	Jun 4, 13	198 000	4 183	828.41	3 770	746.46	-81.95	LT
	May 22, 14	148 000	4 058	600.72	3 770	557.96	-42.76	ST
Security total		748 000	3 863	2,889.66		2,819.96	-69.70	
SWISSCOM AG SPON ADR								
Symbol SCMWY Exchange OTC								
EAI \$56 Current yield 3.95%	Feb 1, 06	27 000	30 669	828.08	52 445	1,416.01	587.93	LT
TELECOM ITALIA SPA NEW REPSTG								
10 SAVINGS SHS SPON ADR								
Symbol TI A Exchange NYSE								
EAI \$79 Current yield 4.40%	May 5, 08	42 000	16 616	697.88	8 280	347.76	-350.12	LT
	Apr 13, 12	168 000	8 934	1,501.01	8 280	1,391.04	-109.97	LT
	Mar 13, 13	7 000	6 801	47.61	8 280	57.96	10.35	LT
Security total		217 000	10 353	2,246.50		1,796.76	-449.74	
TELENOR ASA ADR								
Symbol TELNY Exchange OTC								
EAI \$137 Current yield 4.92%	Mar 24, 14	22 000	64 094	1,410.07	60 544	1,331.97	-78.10	ST
	Apr 22, 14	12 000	65 880	790.56	60 544	726.53	-64.03	ST
	Oct 7, 14	12 000	63 779	765.35	60 544	726.53	-38.82	ST
Security total		46 000	64 478	2,965.98		2,785.02	-180.95	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TESCO PLC SPONS ADR								
UNITED KINGDOM								
Symbol TSCDY Exchange OTC								
EAI \$158 Current yield 6.27%								
	May 16, 13	84,000	17.241	1,448.25	8.695	730.38	-717.87	LT
	Jun 5, 13	28,000	16.400	459.21	8.695	243.46	-215.75	LT
	Jun 26, 13	38,000	15.209	577.96	8.695	330.41	-247.55	LT
	Oct 9, 13	21,000	17.118	359.49	8.695	182.60	-176.89	LT
	Oct 10, 13	10,000	17.160	171.60	8.695	86.95	-84.65	LT
	Dec 5, 13	42,000	16.547	694.99	8.695	365.19	-329.80	LT
	Mar 14, 14	29,000	15.224	441.52	8.695	252.16	-189.36	ST
	Oct 17, 14	38,000	8.416	319.82	8.695	330.41	10.59	ST
Security total		290,000	15.424	4,472.84		2,521.55	-1,951.28	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$93 Current yield 2.00%								
	Dec 12, 12	15,000	39.224	588.37	57.510	862.65	274.28	LT
	Jan 15, 13	34,000	38.028	1,368.02 ²	57.510	1,955.34	587.32	LT
	Apr 23, 13	16,000	37.861	605.78	57.510	920.16	314.38	LT
	Oct 3, 13	16,000	37.891	606.26	57.510	920.16	313.90	LT
Security total		81,000	39.116	3,168.43		4,658.31	1,489.88	
TNT EXPRESS N V ADR								
Symbol TNEY Exchange OTC								
	Jan 22, 13	74,000	7.323	541.94	6.635	490.99	-50.95	LT
	Feb 12, 13	137,000	7.431	1,018.17	6.635	909.00	-109.17	LT
	Apr 30, 14	66,000	8.946	590.46	6.635	437.91	-152.55	ST
	Aug 20, 14	85,000	7.523	639.47	6.635	563.98	-75.49	ST
	Dec 10, 14	50,000	6.774	338.72	6.635	331.75	-6.97	ST
	Earnings	6,000	7.943	47.66	6.635	39.81	-7.85	
Security total		418,000	7.599	3,176.42		2,773.43	-402.98	
TOYOTA MOTOR CORP NEW JAPAN								
SPON ADR								
Symbol TM Exchange NYSE								
EAI \$58 Current yield 2.31%								
	Oct 20, 10	20,000	71.649	1,432.99	125.480	2,509.60	1,076.61	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TSB BKG GROUP PLC UNSPONSORED								
ADR								
Symbol TSBY Exchange OTC								
	Dec 12, 14	82 000	8 732	716 09	8 430	691 26	-24 83	ST
	Dec 16, 14	30 000	8 584	257 52	8 430	252 90	-4 62	ST
	Dec 17, 14	42 000	8 542	358 77	8 430	354 06	-4 71	ST
	Dec 24, 14	24 000	8 662	207 90	8 430	202 32	-5 58	ST
Security total		178 000	8 653	1,540 28		1,500 54	-39 74	
VALLOUREC SA SPON ADR								
Symbol VLOWY Exchange OTC								
EAI \$74 Current yield 3 03%								
	Mar 27, 13	88 000	9 766	859 45	5 380	473 44	-386 01	LT
	Oct 22, 13	84 000	12 347	1,037 21	5 380	451 92	-585 29	LT
	Mar 11, 14	51 000	10 420	531 46	5 380	274 38	-257 08	ST
	Jun 9, 14	86 000	10 981	944 45	5 380	462 68	-481 77	ST
	Jun 11, 14	41 000	9 547	391 44	5 380	220 58	-170 86	ST
	Jun 27, 14	62 000	8 964	555 82	5 380	333 56	-222 26	ST
	Jul 7, 14	42 000	9 534	400 45	5 380	225 96	-174 49	ST
Security total		454 000	10 397	4,720 28		2,442 52	-2,277 76	
WACOAL HOLDINGS CORP ADR								
Symbol WACLX Exchange OTC								
EAI \$65 Current yield 2 78%								
	Nov 19, 07	1 000	60 270	60 27	50 850	50 85	-9 42	LT
	Nov 20, 07	3 000	60 730	182 19	50 850	152 55	-29 64	LT
	May 29, 09	42 000	61 233	2,571 81	50 850	2,135 70	-436 11	LT
Security total		46 000	61 180	2,814 27		2,339 10	-475 17	
WOLTERS KLUWER NV SPONSORED								
ADR NETHERLANDS ADR								
Symbol WTKWY Exchange OTC								
EAI \$92 Current yield 2 67%								
	Jun 12, 09	47 000	17 667	830 38	30 500	1,433 50	603 12	LT
	Jun 15, 09	14 000	17 072	239 02	30 500	427 00	187 98	LT
	Aug 8, 11	52 000	18 105	941 48	30 500	1,586 00	644 52	LT
Security total		113 000	17 795	2,010 88		3,446 50	1,435 62	
Total				\$127,958.92		\$133,380.13	\$5,421.24	

Total estimated annual income: \$3,481

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	11,618.39	13,519.18					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACTAVIS PLC								
Symbol ACT Exchange NYSE	Dec 5, 14	5 000	265.886	1,329.43	257.410	1,287.05	-42.38	ST
	Dec 8, 14	5 000	265.324	1,326.62	257.410	1,287.05	-39.57	ST
	Dec 8, 14	5 000	267.546	1,337.73	257.410	1,287.05	-50.68	ST
	Dec 9, 14	15 000	261.452	3,921.79	257.410	3,861.15	-60.64	ST
Security total		30 000	263.852	7,915.57		7,722.30	-193.27	
ALIBABA GROUP HLDG LTD SPON								
ADR								
Symbol BABA Exchange NYSE	Sep 24, 14	35 000	89.844	3,144.57	103.940	3,637.90	493.33	ST
	Sep 26, 14	14 000	89.278	1,249.90	103.940	1,455.16	205.26	ST
	Oct 17, 14	25 000	87.864	2,196.60	103.940	2,598.50	401.90	ST
Security total		74 000	89.069	6,591.07		7,691.56	1,100.49	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI \$58 Current yield 1 11%	Dec 8, 14	14 000	93 220	1,305 09	93 040	1,302 56	-2 53	ST
	Dec 8, 14	14 000	93 535	1,309 49	93 040	1,302 56	-6 93	ST
	Dec 9, 14	15 000	93 047	1,395 71	93 040	1,395 60	-0 11	ST
	Dec 10, 14	13 000	93 021	1,209 28	93 040	1,209 52	0 24	ST
Security total		56 000	93 207	5,219 57		5,210 24	-9 33	
ANTERO RESOURCES CORP								
Symbol AR Exchange NYSE								
	Oct 14, 13	8 000	55 713	445 71	40 580	324 64	-121 07	LT
	Oct 17, 13	22 000	55 182	1,214 01	40 580	892 76	-321 25	LT
	Oct 17, 13	10 000	57 087	570 87	40 580	405 80	-165 07	LT
	Apr 4, 14	3 000	65 970	197 91	40 580	121 74	-76 17	ST
	Apr 7, 14	1 000	64 790	64 79	40 580	40 58	-24 21	ST
	Apr 14, 14	14 000	59 102	827 43	40 580	568 12	-259 31	ST
	May 19, 14	9 000	62 565	563 09	40 580	365 22	-197 87	ST
	Oct 17, 14	8 000	49 908	408 13 ²	40 580	324 64	-83 49	ST
	Nov 10, 14	20 000	55 481	1,109 62	40 580	811 60	-298 02	ST
Security total		95 000	56 859	5,401 56		3,855 10	-1,546 46	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$224 Current yield 1 71%	Jul 23, 14	9 000	97 287	875 59	110 380	993 42	117 83	ST
	Jul 24, 14	49 000	96 893	4,747 78	110 380	5,408 62	660 84	ST
	Aug 13, 14	12 000	97 030	1,164 36	110 380	1,324 56	160 20	ST
	Aug 18, 14	13 000	99 191	1,289 49	110 380	1,434 94	145 45	ST
	Sep 26, 14	6 000	99 721	598 33	110 380	662 28	63 95	ST
	Oct 22, 14	15 000	103 508	1,552 62	110 380	1,655 70	103 08	ST
	Nov 5, 14	15 000	109 005	1,635 08	110 380	1,655 70	20 62	ST
Security total		119 000	99 691	11,863 25		13,135 22	1,271 97	
ARM HOLDINGS PLC SPON ADR								
Symbol ARMH Exchange OTC								
EAI \$16 Current yield 0 60%	Dec 8, 14	15 000	45 230	678 45	46 300	694 50	16 05	ST
	Dec 9, 14	14 000	44 680	625 52	46 300	648 20	22 68	ST
	Dec 10, 14	15 000	45 328	679 92	46 300	694 50	14 58	ST

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Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DIFF Marsico
Account number: HG 27191 CD

Your Financial Advisor:
CYPHERS DUBUEY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Dec 12, 14	14 000	44 102	617 43	46 300	648 20	30 77	ST
Security total		58 000	44 850	2,601 32		2,685 40	84 08	
ASML HLDG NV GDR EUR								
Symbol ASML Exchange OTC								
EAI \$47 Current yield 0 67%								
EUR Exchange rate 0 82641								
	Jul 16, 13	1 000	87 810	87 81	107 830	107 83	20 02	LT
	Jul 22, 13	17 000	88 597	1,506 15	107 830	1,833 11	326 96	LT
	Jul 26, 13	7 000	88 932	622 53	107 830	754 81	132 28	LT
	Aug 13, 13	3 000	91 923	275 77	107 830	323 49	47 72	LT
	Oct 16, 13	4 000	95 075	380 30	107 830	431 32	51 02	LT
	Oct 17, 13	5 000	92 818	464 09	107 830	539 15	75 06	LT
	Mar 6, 14	15 000	91 340	1,370 11	107 830	1,617 45	247 34	ST
	Mar 21, 14	2 000	92 990	185 98	107 830	215 66	29 68	ST
	Nov 10, 14	11 000	101 679	1,118 47	107 830	1,186 13	67 66	ST
Security total		65 000	92 480	6,011 21		7,008 95	997 74	
BIOMGEN IDEC INC								
Symbol BIOM Exchange OTC								
	Jan 24, 13	4 000	145 952	583 81	339 450	1,357 80	773 99	LT
	Mar 28, 13	8 000	189 956	1,519 65	339 450	2,715 60	1,195 95	LT
	Jan 30, 14	7 000	314 340	2,200 38	339 450	2,376 15	175 77	ST
	Oct 15, 14	8 000	303 728	2,429 83	339 450	2,715 60	285 77	ST
Security total		27 000	249 395	6,733 67		9,165 15	2,431 48	
CANADIAN PAC RAILWAY LTD CAD								
Symbol CP Exchange NYSE								
EAI \$68 Current yield 0 62%								
CAD Exchange rate 1 15825								
	May 15, 13	3 000	134 756	404 27	192 690	578 07	173 80	LT
	May 17, 13	12 000	138 031	1,656 38	192 690	2,312 28	655 90	LT
	May 23, 13	4 000	133 222	532 89	192 690	770 76	237 87	LT
	May 24, 13	5 000	132 214	661 07	192 690	963 45	302 38	LT
	Jun 28, 13	6 000	121 950	731 70	192 690	1,156 14	424 44	LT
	Jul 24, 13	6 000	122 155	732 93	192 690	1,156 14	423 21	LT
	Aug 14, 13	4 000	122 697	490 79	192 690	770 76	279 97	LT
	Jan 10, 14	7 000	150 552	1,053 87	192 690	1,348 83	294 96	ST

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Your Financial Advisor:
CYPHERS DUBUEY GROUP (800) 243-
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 25, 14	10 000	153 146	1,531 46	192 690	1,926 90	395 44	ST
Security total		57 000	136 761	7,795 36		10,983 33	3,187 97	
CBS CORP NEW CL B								
Symbol CBS Exchange NYSE								
EAI \$50 Current yield 1 08%								
	Nov 5, 12	8 000	34 005	272 04	55 340	442 72	170 68	LT
	Aug 14, 13	13 000	53 021	689 28	55 340	719 42	30 14	LT
	Nov 5, 13	23 000	59 838	1,376 29	55 340	1,272 82	-103 47	LT
	Apr 22, 14	11 000	59 863	658 50	55 340	608 74	-49 76	ST
	Apr 23, 14	7 000	58 400	408 80	55 340	387 38	-21 42	ST
	Apr 23, 14	6 000	59 475	356 85	55 340	332 04	-24 81	ST
	Oct 2, 14	16 000	52 261	836 19	55 340	885 44	49 25	ST
Security total		84 000	54 738	4,597 95		4,648 56	50 61	
CELGENE CORP								
Symbol CELG Exchange OTC	Sep 5, 13	16 000	73 618	1,177 89	111 860	1,789 76	611 87	LT
	Sep 6, 13	16 000	73 446	1,175 15	111 860	1,789 76	614 61	LT
	Sep 27, 13	14 000	77 208	1,080 92	111 860	1,566 04	485 12	LT
	Nov 6, 13	8 000	72 745	581 96	111 860	894 88	312 92	LT
	Apr 22, 14	2 000	73 335	146 67	111 860	223 72	77 05	ST
Security total		56 000	74 332	4,162 59		6,264 16	2,101 57	
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI \$149 Current yield 1 55%								
	Feb 5, 13	46 000	39 257	1,805 84	58 010	2,668 46	862 62	LT
	Oct 1, 13	23 000	45 262	1,041 03	58 010	1,334 23	293 20	LT
	Oct 29, 13	11 000	47 791	525 71	58 010	638 11	112 40	LT
	Oct 30, 13	14 000	47 117	659 64	58 010	812 14	152 50	LT
	Jul 22, 14	44 000	54 794	2,410 96	58 010	2,552 44	141 48	ST
	Oct 24, 14	28 000	54 197	1,517 53	58 010	1,624 28	106 75	ST
Security total		166 000	47 956	7,960 71		9,629 66	1,668 95	
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI \$69 Current yield 1 46%								
	Jan 27, 14	8 000	67 623	540 99	96 310	770 48	229 49	ST
	Jan 29, 14	37 000	67 380	2,493 09	96 310	3,563 47	1,070 38	ST
	Aug 1, 14	4 000	76 937	307 75	96 310	385 24	77 49	ST

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Your Financial Advisor:
CYPHERS DUBUEY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		49 000	68 201	3,341 83		4,719 19	1,377 36	
DELTA AIR LINES INC DELA NEW								
Symbol DAL Exchange NYSE								
EAI \$40 Current yield 0.73%								
	Jun 18, 14	31 000	39 350	1,219 86	49 190	1,524 89	305 03	ST
	Dec 5, 14	25 000	47 090	1,177 27	49 190	1,229 75	52 48	ST
	Dec 8, 14	56 000	47 209	2,643 74	49 190	2,754 64	110 90	ST
Security total		112 000	45 008	5,040 87		5,509 28	468 41	
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
	Aug 13, 13	11 000	37 236	409 60	78 020	858 22	448 62	LT
	Aug 14, 13	7 000	36 748	257 24	78 020	546 14	288 90	LT
	Sep 13, 13	5 000	44 342	221 71	78 020	390 10	168 39	LT
	Sep 16, 13	6 000	43 951	263 71	78 020	468 12	204 41	LT
	Sep 20, 13	16 000	46 156	738 50	78 020	1,248 32	509 82	LT
	Oct 23, 13	10 000	51 774	517 74	78 020	780 20	262 46	LT
	Oct 23, 13	6 000	51 451	308 71	78 020	468 12	159 41	LT
	Oct 29, 13	5 000	49 442	247 21	78 020	390 10	142 89	LT
	Nov 21, 13	18 000	46 973	845 52	78 020	1,404 36	558 84	LT
	Dec 4, 13	5 000	48 100	240 50	78 020	390 10	149 60	LT
	Dec 5, 13	4 000	48 647	194 59	78 020	312 08	117 49	LT
	Dec 13, 13	17 000	52 464	891 89	78 020	1,326 34	434 45	LT
	Jan 14, 14	14 000	57 250	801 50	78 020	1,092 28	290 78	ST
	Feb 12, 14	7 000	64 471	451 30	78 020	546 14	94 84	ST
	Feb 20, 14	7 000	66 977	468 84	78 020	546 14	77 30	ST
	Mar 25, 14	5 000	65 228	326 14	78 020	390 10	63 96	ST
	Jul 23, 14	19 000	70 766	1,344 57	78 020	1,482 38	137 81	ST
Security total		162 000	52 650	8,529 27		12,639 24	4,109 97	
FLEETCOR TECHNOLOGIES INC								
Symbol FLT Exchange NYSE								
	May 13, 13	6 000	81 931	491 59	148 710	892 26	400 67	LT
	Aug 13, 14	3 000	138 043	414 13	148 710	446 13	32 00	ST
	Sep 17, 14	9 000	144 802	1,303 22	148 710	1,338 39	35 17	ST
Security total		18 000	122 719	2,208 94		2,676 78	467 84	

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Your Financial Advisor:
CYPHERS DUBEY GROUP (800) 243-
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE	Feb 27, 14	2 000	107 950	215 90	137 620	275 24	59 34	ST
EAI \$94 Current yield 1 80%	Mar 4, 14	22 000	112 836	2,482 40	137 620	3,027 64	545 24	ST
	Mar 4, 14	11 000	113 118	1,244 30	137 620	1,513 82	269 52	ST
	Jul 30, 14	3 000	119 253	357 76	137 620	412 86	55 10	ST
Security total		38 000	113 167	4,300 36		5,229 56	929 20	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Nov 9, 12	8 000	32 933	263 47	94 260	754 08	490 61	LT
	Nov 12, 12	6 000	36 338	218 03	94 260	565 56	347 53	LT
	Jan 24, 13	28 000	39 151	1,096 23	94 260	2,639 28	1,543 05	LT
	Feb 7, 13	48 000	39 725	1,906 81	94 260	4,524 48	2,617 67	LT
	Aug 13, 13	10 000	58 991	589 91	94 260	942 60	352 69	LT
	Dec 30, 13	19 000	74 848	1,422 12	94 260	1,790 94	368 82	LT
	Apr 7, 14	6 000	71 658	429 95	94 260	565 56	135 61	ST
	Oct 17, 14	1 000	99 850	99 85	94 260	94 26	-5 59	ST
Security total		126 000	47 828	6,026 37		11,876 76	5,850 39	
HCA HOLDINGS INC								
Symbol HCA Exchange NYSE	Jul 31, 14	14 000	65 192	912 70	73 390	1,027 46	114 76	ST
	Aug 1, 14	21 000	65 773	1,381 25	73 390	1,541 19	159 94	ST
	Aug 4, 14	9 000	65 645	590 81	73 390	660 51	69 70	ST
	Aug 5, 14	11 000	67 031	737 35	73 390	807 29	69 94	ST
	Aug 6, 14	18 000	67 753	1,219 57	73 390	1,321 02	101 45	ST
	Aug 19, 14	11 000	68 727	756 00	73 390	807 29	51 29	ST
	Aug 20, 14	10 000	67 899	678 99	73 390	733 90	54 91	ST
	Oct 16, 14	12 000	68 379	820 55	73 390	880 68	60 13	ST
	Nov 10, 14	17 000	67 212	1,142 61	73 390	1,247 63	105 02	ST
Security total		123 000	66 990	8,239 83		9,026 97	787 14	
LINKEDIN CORP CL A								
Symbol LNKD Exchange NYSE	Aug 27, 14	6 000	224 055	1,344 33	229 710	1,378 26	33 93	ST
	Nov 4, 14	2 000	238 110	476 22	229 710	459 42	-16 80	ST
Security total		8 000	227 569	1,820 55		1,837 68	17 13	

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Your Financial Advisor:
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860-727-1500/800-243-9362

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI \$137 Current yield 1 64%								
	Oct 1, 10	6 000	48 101	288 61	119 470	716 82	428 21	LT
	Oct 5, 10	9 000	48 782	439 04	119 470	1,075 23	636 19	LT
	Oct 6, 10	2 000	49 275	98 55	119 470	238 94	140 39	LT
	Apr 4, 13	17 000	105 887	1,800 09	119 470	2,030 99	230 90	LT
	Aug 7, 13	14 000	95 092	1,331 30	119 470	1,672 58	341 28	LT
	Aug 12, 13	7 000	95 115	665 81	119 470	836 29	170 48	LT
	Aug 13, 13	2 000	97 205	194 41	119 470	238 94	44 53	LT
	Nov 7, 13	5 000	104 534	522 67	119 470	597 35	74 68	LT
	Jul 17, 14	4 000	120 422	481 69	119 470	477 88	-3 81	ST
	Oct 17, 14	4 000	111 127	444 51	119 470	477 88	33 37	ST
Security total		70 000	89 524	6,266 68		8,362 90	2,096 22	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI \$116 Current yield 1 16%								
	Sep 5, 14	31 000	80 854	2,506 50	96 150	2,980 65	474 15	ST
	Sep 9, 14	9 000	81 822	736 40	96 150	865 35	128 95	ST
	Sep 9, 14	7 000	81 794	572 56	96 150	673 05	100 49	ST
	Sep 10, 14	7 000	82 367	576 57	96 150	673 05	96 48	ST
	Sep 11, 14	9 000	82 064	738 58	96 150	865 35	126 77	ST
	Oct 24, 14	10 000	90 882	908 82	96 150	961 50	52 68	ST
	Oct 27, 14	12 000	91 212	1,094 55	96 150	1,153 80	59 25	ST
	Oct 28, 14	6 000	92 273	553 64	96 150	576 90	23 26	ST
	Nov 10, 14	13 000	94 298	1,225 88	96 150	1,249 95	24 07	ST
Security total		104 000	85 707	8,913 50		9,999 60	1,086 10	
PACIRA PHARMACEUTICALS INC								
Symbol PCRX Exchange OTC								
	Aug 11, 14	3 000	101 573	304 72	88 660	265 98	-38 74	ST
	Aug 12, 14	3 000	102 016	306 05	88 660	265 98	-40 07	ST
	Aug 29, 14	4 000	108 162	432 65	88 660	354 64	-78 01	ST
	Sep 2, 14	2 000	107 110	214 22	88 660	177 32	-36 90	ST
	Sep 25, 14	6 000	101 918	611 51	88 660	531 96	-79 55	ST
	Sep 26, 14	6 000	97 596	585 58	88 660	531 96	-53 62	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 8, 14	2 000	100 930	212 972	88 660	177 32	-35 65	ST
	Oct 30, 14	4 000	91 120	364 48	88 660	354 64	-9 84	ST
Security total		30 000	101 073	3,032 18		2,659 80	-372 38	
PRICELINE GROUP INC NEW								
Symbol PCLN Exchange OTC	Mar 21, 14	1 000	1,285 080	1,285 08	1,140 210	1,140 21	-144 87	ST
	Apr 2, 14	1 000	1,260 680	1,260 68	1,140 210	1,140 21	-120 47	ST
	Apr 9, 14	1 000	1,199 830	1,199 83	1,140 210	1,140 21	-59 62	ST
	May 8, 14	1 000	1,128 140	1,128 14	1,140 210	1,140 21	12 07	ST
	Oct 17, 14	1 000	1,075 640	1,075 64	1,140 210	1,140 21	64 57	ST
Security total		5 000	1,189 874	5,949 37		5,701 05	-248 32	
SCHWAB CHARLES CORP NEW								
Symbol SCHW Exchange NYSE	Mar 21, 14	45 000	28 478	1,281 52	30 190	1,358 55	77 03	ST
EAI \$41 Current yield 0 80%	Mar 21, 14	21 000	28 912	607 16	30 190	633 99	26 83	ST
	Mar 24, 14	23 000	28 301	650 93	30 190	694 37	43 44	ST
	Apr 4, 14	29 000	26 894	779 95	30 190	875 51	95 56	ST
	Apr 7, 14	21 000	25 880	543 48	30 190	633 99	90 51	ST
	Oct 17, 14	10 000	25 715	263 40 ²	30 190	301 90	38 50	ST
	Dec 3, 14	20 000	29 045	580 91	30 190	603 80	22 89	ST
Security total		169 000	27 854	4,707 35		5,102 11	394 76	
SHERWIN WILLIAMS CO								
Symbol SHW Exchange NYSE	Apr 26, 13	2 000	183 240	366 48	263 040	526 08	159 60	LT
EAI \$77 Current yield 0 84%	Apr 29, 13	1 000	182 530	182 53	263 040	263 04	80 51	LT
	May 6, 13	3 000	187 416	562 25	263 040	789 12	226 87	LT
	May 13, 13	3 000	187 653	562 96	263 040	789 12	226 16	LT
	May 14, 13	2 000	193 175	386 35	263 040	526 08	139 73	LT
	Jul 2, 13	7 000	180 014	1,260 10	263 040	1,841 28	581 18	LT
	Jul 18, 13	6 000	170 645	1,023 87	263 040	1,578 24	554 37	LT
	Jul 19, 13	3 000	172 840	518 52	263 040	789 12	270 60	LT
	Aug 7, 13	6 000	174 680	1,048 08	263 040	1,578 24	530 16	LT
	Oct 17, 14	2 000	216 235	432 47	263 040	526 08	93 61	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		35 000	181 246	6,343 61		9,206 40	2,862 79	
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI \$101 Current yield 1 56%								
	Aug 24, 11	9 000	36 761	330 85	82 050	738 45	407 60	LT
	Mar 20, 13	6 000	57 381	344 29	82 050	492 30	148 01	LT
	Apr 2, 13	12 000	57 931	695 18	82 050	984 60	289 42	LT
	Apr 9, 14	11 000	71 975	791 73	82 050	902 55	110 82	ST
	Apr 15, 14	10 000	68 510	685 10	82 050	820 50	135 40	ST
	Apr 15, 14	9 000	68 896	620 07	82 050	738 45	118 38	ST
	Jun 13, 14	17 000	74 750	1,270 76	82 050	1,394 85	124 09	ST
	Oct 17, 14	5 000	73 296	366 48	82 050	410 25	43 77	ST
Security total		79 000	64 613	5,104 46		6,481 95	1,377 49	
STARWOOD HOTELS & RESORTS								
Symbol HOT Exchange NYSE								
EAI \$136 Current yield 1 73%								
	Mar 20, 12	20 000	56 614	1,132 29	81 070	1,621 40	489 11	LT
	Jul 25, 13	18 000	65 971	1,187 48	81 070	1,459 26	271 78	LT
	Jul 31, 13	7 000	66 695	466 87	81 070	567 49	100 62	LT
	Aug 14, 13	10 000	66 465	664 65	81 070	810 70	146 05	LT
	Dec 12, 13	13 000	73 632	957 22	81 070	1,053 91	96 69	LT
	Jan 23, 14	19 000	78 914	1,499 38	81 070	1,540 33	40 95	ST
	Jul 17, 14	10 000	82 535	825 35	81 070	810 70	-14 65	ST
Security total		97 000	69 415	6,733 24		7,863 79	1,130 55	
TESLA MTRS INC COM								
Symbol TSLA Exchange OTC								
	Aug 27, 14	4 000	262 205	1,048 82	222 410	889 64	-159 18	ST
	Aug 28, 14	5 000	263 372	1,316 86	222 410	1,112 05	-204 81	ST
	Aug 29, 14	5 000	268 086	1,340 43	222 410	1,112 05	-228 38	ST
	Sep 2, 14	5 000	277 140	1,385 70	222 410	1,112 05	-273 65	ST
	Sep 4, 14	4 000	285 877	1,143 51	222 410	889 64	-253 87	ST
	Sep 16, 14	2 000	261 430	522 86	222 410	444 82	-78 04	ST
Security total		25 000	270 327	6,758 18		5,560 25	-1,197 93	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE	Jan 23, 13	21 000	68 003	1,428 07	119 130	2,501 73	1,073 66	LT
EAI \$192 Current yield 1 68%	Jun 19, 13	24 000	78 190	1,876 56	119 130	2,859 12	982 56	LT
	Oct 1, 13	12 000	78 503	942 04	119 130	1,429 56	487 52	LT
	Jan 10, 14	14 000	84 613	1,184 59	119 130	1,667 82	483 23	ST
	Jan 30, 14	6 000	88 400	530 40	119 130	714 78	184 38	ST
	Jan 30, 14	2 000	87 635	175 27	119 130	238 26	62 99	ST
	Mar 12, 14	8 000	93 667	749 34	119 130	953 04	203 70	ST
	Mar 20, 14	6 000	94 108	564 65	119 130	714 78	150 13	ST
	Oct 17, 14	3 000	106 186	318 56	119 130	357 39	38 83	ST
Security total		96 000	80 932	7,769 48		11,436 48	3,667 00	

UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE	Nov 5, 14	14 000	95 566	1,337 93	101 090	1,415 26	77 33	ST
EAI \$93 Current yield 1 48%	Nov 6, 14	13 000	96 131	1,249 71	101 090	1,314 17	64 46	ST
	Nov 7, 14	7 000	95 402	667 82	101 090	707 63	39 81	ST
	Nov 10, 14	8 000	94 100	752 80	101 090	808 72	55 92	ST
	Nov 10, 14	6 000	94 536	567 22	101 090	606 54	39 32	ST
	Nov 12, 14	14 000	95 812	1,341 38	101 090	1,415 26	73 88	ST
Security total		62 000	95 433	5,916 86		6,267 58	350 72	

VISA INC CL A								
Symbol V Exchange NYSE	Jul 1, 11	7 000	87 135	609 95	262 200	1,835 40	1,225 45	LT
EAI \$90 Current yield 0 73%	Aug 24, 11	13 000	85 622	1,113 09	262 200	3,408 60	2,295 51	LT
	May 13, 13	3 000	179 486	538 46	262 200	786 60	248 14	LT
	May 14, 13	3 000	179 723	539 17	262 200	786 60	247 43	LT
	Nov 5, 13	12 000	197 415	2,368 99	262 200	3,146 40	777 41	LT
	Nov 5, 14	9 000	249 206	2,242 86	262 200	2,359 80	116 94	ST
Security total		47 000	157 713	7,412 52		12,323 40	4,910 88	

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December 2014

Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DFF Marsico
Account number: HG 27191 CD

Your Financial Advisor:
CYPHERS DUBUEY GROUP (800) 243-
860-727-1500/800-243-9362

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$113 Current yield 1 22%								
	May 6, 13	12 000	64 755	777 07	94 190	1,130 28	353 21	LT
	May 7, 13	17 000	64 835	1,102 20	94 190	1,601 23	499 03	LT
	May 8, 13	17 000	64 887	1,103 09	94 190	1,601 23	498 14	LT
	Jul 16, 13	8 000	65 162	521 30	94 190	753 52	232 22	LT
	Aug 7, 13	20 000	65 346	1,306 92	94 190	1,883 80	576 88	LT
	Oct 30, 13	10 000	68 664	686 64	94 190	941 90	255 26	LT
	Dec 12, 13	9 000	69 693	627 24	94 190	847 71	220 47	LT
	Oct 17, 14	5 000	83 810	419 05	94 190	470 95	51 90	ST
Security total		98 000	66 771	6,543 51		9,230 62	2,687 11	
WYNN RESORTS LTD								
Symbol WYNN Exchange OTC								
EAI \$276 Current yield 4 03%								
	Jan 19, 11	1 000	112 320	112 32	148 760	148 76	36 44	LT
	Aug 30, 11	11 000	146 829	1,615 12	148 760	1,636 36	21 24	LT
	Aug 31, 11	1 000	147 140	147 14	148 760	148 76	1 62	LT
	Oct 3, 11	2 000	107 475	214 95	148 760	297 52	82 57	LT
	Dec 23, 11	9 000	103 018	927 17	148 760	1,338 84	411 67	LT
	Feb 8, 13	5 000	124 870	624 35	148 760	743 80	119 45	LT
	Aug 13, 13	3 000	139 600	418 80	148 760	446 28	27 48	LT
	May 1, 14	7 000	206 754	1,447 28	148 760	1,041 32	-405 96	ST
	Jul 17, 14	5 000	201 660	1,008 30	148 760	743 80	-264 50	ST
	Oct 17, 14	2 000	181 760	363 52	148 760	297 52	-66 00	ST
Security total		46 000	149 542	6,878 95		6,842 96	-35 99	
Total				\$204,691.74		\$248,553.98	\$43,862.24	

Total estimated annual income: \$2,187

2 Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	708.98					
UBS BANK USA DEP ACCT	4,695.67	7,516.31					250,000.00
Total	\$4,695.67	\$8,225.29					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AKAMAI TECHNOLOGIES INC								
Symbol AKAM	Feb 27, 13	4,000	36.677	146.71	62.960	251.84	105.13	LT
Exchange OTC	Mar 11, 13	18,000	36.568	658.23	62.960	1,133.28	475.05	LT
	Sep 9, 13	21,000	48.789	1,024.58	62.960	1,322.16	297.58	LT
	Nov 8, 13	16,000	44.990	719.85	62.960	1,007.36	287.51	LT
	Nov 25, 13	19,000	44.621	847.80	62.960	1,196.24	348.44	LT
Security total		78,000	43.553	3,397.17		4,910.88	1,513.71	
AKORN INC								
Symbol AKRX	Dec 9, 14	56,000	39.583	2,216.69	36.200	2,027.20	-189.49	ST
Exchange OTC	Dec 11, 14	21,000	41.774	877.26	36.200	760.20	-117.06	ST
Security total		77,000	40.181	3,093.95	2,787.40		-306.55	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALBEMARLE CORP								
Symbol ALB Exchange NYSE	Dec 2, 14	44 000	58 813	2,587 80	60 130	2,645 72	57 92	ST
EAI \$63 Current yield 1 84%	Dec 8, 14	13 000	62 049	806 64	60 130	781 69	-24 95	ST
Security total		57 000	59 552	3,394 44		3,427 41	32 97	
ALLIANCE DATA SYSTEMS CORP								
Symbol ADS Exchange NYSE	Sep 30, 14	9 000	249 043	2,241 39	286 050	2,574 45	333 06	ST
	Oct 17, 14	3 000	258 860	776 58	286 050	858 15	81 57	ST
	Dec 17, 14	3 000	281 026	843 08	286 050	858 15	15 07	ST
Security total		15 000	257 403	3,861 05		4,290 75	429 70	
AMERICAN AIRLIS GROUP INC								
Symbol AAL Exchange OTC	Oct 16, 14	63 000	32 705	2,060 43	53 630	3,378 69	1,318 26	ST
EAI \$46 Current yield 0 75%	Oct 20, 14	25 000	34 618	865 45	53 630	1,340 75	475 30	ST
	Oct 28, 14	26 000	40 561	1,054 59	53 630	1,394 38	339 79	ST
Security total		114 000	34 916	3,980 47		6,113 82	2,133 35	
BELMOND LTD								
Symbol BEL Exchange NYSE	Jun 28, 13	38 000	12 196	463 47	12 370	470 06	6 59	LT
	Jul 2, 13	124 000	12 138	1,505 15	12 370	1,533 88	28 73	LT
	Feb 4, 14	59 000	14 057	829 40	12 370	729 83	-99 57	ST
	Jul 14, 14	66 000	14 320	945 17	12 370	816 42	-128 75	ST
Security total		287 000	13 042	3,743 19		3,550 19	-193 00	
BRUNSWICK CORP								
Symbol BC Exchange NYSE	Sep 26, 14	54 000	42 847	2,313 74	51 260	2,768 04	454 30	ST
EAI \$38 Current yield 0 98%	Oct 7, 14	22 000	41 518	913 41	51 260	1,127 72	214 31	ST
Security total		76 000	42 463	3,227 15		3,895 76	668 61	
CADENCE DESIGN SYSTEM								
Symbol CDNS Exchange OTC	Aug 9, 12	18 000	12 602	226 85	18 970	341 46	114 61	LT
	Sep 19, 12	47 000	13 428	631 14	18 970	891 59	260 45	LT
	Oct 25, 12	65 000	12 557	816 25	18 970	1,233 05	416 80	LT
	Oct 15, 13	89 000	14 087	1,253 78	18 970	1,688 33	434 55	LT
	Nov 8, 13	56 000	13 000	728 04	18 970	1,062 32	334 28	LT

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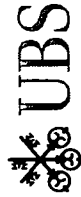
Account name: D'AGOSTINO FAMILY FOUNDATION
Friendly account name: DFF Penn Cap
Account number: HG 27192 CD

Your Financial Advisor:
CYPHERS DUBEY GROUP (800) 243-
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Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		275 000	13 295	3,656 06		5,216 75	1,560 69	
CAMERON INTL CORP								
Symbol CAM Exchange NYSE								
	Feb 2, 12	1 000	53 560	53 56	49 950	49 95	-3 61	LT
	Oct 31, 12	8 000	49 060	392 48	49 950	399 60	7 12	LT
	Jul 31, 13	16 000	59 302	948 84	49 950	799 20	-149 64	LT
	Oct 24, 13	20 000	53 469	1,069 38	49 950	999 00	-70 38	LT
	Oct 17, 14	12 000	58 710	704 53	49 950	599 40	-105 13	ST
Security total		57 000	55 593	3,168 79		2,847 15	-321 64	
CARUSLE COS INC								
Symbol CSL Exchange NYSE								
EAI \$36 Current yield 1 11%	Sep 9, 13	2 000	69 150	138 30	90 240	180 48	42 18	LT
	Sep 20, 13	12 000	70 027	840 33	90 240	1,082 88	242 55	LT
	Oct 7, 13	22 000	69 140	1,521 09	90 240	1,985 28	464 19	LT
Security total		36 000	69 437	2,499 72		3,248 64	748 92	
CATAMARAN CORP								
Symbol CTRX Exchange OTC								
	Aug 19, 14	90 000	46 735	4,206 22	51 750	4,657 50	451 28	ST
	Oct 2, 14	19 000	42 393	805 47	51 750	983 25	177 78	ST
Security total		109 000	45 979	5,011 69		5,640 75	629 06	
CBRE GROUP INC CL A								
Symbol CBG Exchange NYSE								
	Feb 7, 13	29 000	24 006	696 18	34 250	993 25	297 07	LT
	Apr 8, 13	21 000	24 818	521 18	34 250	719 25	198 07	LT
	Jul 1, 13	93 000	23 386	2,174 99	34 250	3,185 25	1,010 26	LT
Security total		143 000	23 723	3,392 35		4,897 75	1,505 40	
CBS CORP NEW CL B								
Symbol CBS Exchange NYSE								
EAI \$36 Current yield 1 08%	Oct 2, 14	44 000	51 310	2,257 67	55 340	2,434 96	177 29	ST
	Oct 3, 14	16 000	53 506	856 10	55 340	885 44	29 34	ST
Security total		60 000	51 896	3,113 77		3,320 40	206 63	
CENTENE CORP								
Symbol CNC Exchange NYSE								
	Apr 24, 13	7 000	47 235	330 65	103 850	726 95	396 30	LT
	May 10, 13	14 000	50 417	705 85	103 850	1,453 90	748 05	LT
	May 28, 13	14 000	51 262	717 67	103 850	1,453 90	736 23	LT

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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 1, 13	13 000	55 560	722 28	103 850	1,350 05	627 77	LT
Security total		48 000	51 593	2,476 45		4,984 80	2,508 35	
COMPUTER SCIENCES								
Symbol CSC Exchange NYSE								
EAI \$32 Current yield 1 45%	Dec 5, 14	35 000	65 235	2,283 25	63 050	2,206 75	-76 50	ST
DEALERTRACK TECHNOLOGIES INC								
Symbol TRAK Exchange OTC								
	Oct 3, 14	51 000	44 651	2,277 22	44 310	2,259 81	-17 41	ST
	Oct 7, 14	21 000	44 275	929 79	44 310	930 51	0 72	ST
	Nov 3, 14	35 000	47 343	1,657 03	44 310	1,550 85	-106 18	ST
	Dec 5, 14	16 000	47 918	766 69	44 310	708 96	-57 73	ST
Security total		123 000	45 778	5,630 73		5,450 13	-180 60	
DECKERS OUTDOOR CORP								
Symbol DECK Exchange NYSE								
	Nov 17, 14	18 000	92 980	1,673 65	91 040	1,638 72	-34 93	ST
	Nov 19, 14	8 000	94 025	752 20	91 040	728 32	-23 88	ST
	Dec 3, 14	9 000	96 416	867 75	91 040	819 36	-48 39	ST
	Dec 15, 14	7 000	97 575	683 03	91 040	637 28	-45 75	ST
	Dec 16, 14	10 000	92 420	924 20	91 040	910 40	-13 80	ST
	Dec 23, 14	8 000	92 427	739 42	91 040	728 32	-11 10	ST
Security total		60 000	94 004	5,640 25		5,462 40	-177 85	
EAGLE MATERIALS INC								
Symbol EXP Exchange NYSE								
EAI \$24 Current yield 0 52%	Aug 26, 14	34 000	99 937	3,397 89	76 030	2,585 02	-812 87	ST
	Sep 10, 14	17 000	101 935	1,732 91	76 030	1,292 51	-440 40	ST
	Oct 8, 14	10 000	89 637	896 37	76 030	760 30	-136 07	ST
Security total		61 000	98 806	6,027 17		4,637 83	-1,389 34	
EXELIS INC								
Symbol XLS Exchange NYSE								
EAI \$79 Current yield 2 36%	Nov 2, 12	16 000	10 285	164 57	17 530	280 48	115 91	LT
	Nov 8, 12	55 000	9 804	539 24	17 530	964 15	424 91	LT
	Jan 23, 13	57 000	10 726	611 43	17 530	999 21	387 78	LT
	Apr 8, 13	63 000	10 376	653 75	17 530	1,104 39	450 64	LT
Security total		191 000	10 309	1,968 99		3,348 23	1,379 24	

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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FINISAR CORP COM NEW								
Symbol FNSR Exchange OTC	Jun 28, 13	87 000	16 882	1,468 80	19 410	1,688 67	219 87	LT
	Oct 24, 13	34 000	23 798	809 14	19 410	659 94	-149 20	LT
	Oct 29, 13	33 000	24 278	801 18	19 410	640 53	-160 65	LT
	Nov 8, 13	35 000	23 133	809 68	19 410	679 35	-130 33	LT
Security total		189 000	20 576	3,888 80		3,668 49	-220 31	
FIREEYE INC								
Symbol FEYE Exchange OTC	Oct 30, 14	49 000	32 738	1,604 17	31 580	1,547 42	-56 75	ST
	Oct 31, 14	24 000	33 977	815 45	31 580	757 92	-57 53	ST
	Nov 3, 14	24 000	33 885	813 24	31 580	757 92	-55 32	ST
	Nov 10, 14	25 000	32 291	807 29	31 580	789 50	-17 79	ST
Security total		122 000	33 116	4,040 15		3,852 76	-187 39	
FOREST CITY ENTERPRISES INC CL A								
Symbol FCE A Exchange NYSE	Dec 11, 14	74 000	21 253	1,572 77	21 300	1,576 20	3 43	ST
	Dec 17, 14	37 000	20 619	762 92	21 300	788 10	25 18	ST
Security total		111 000	21 042	2,335 69		2,364 30	28 61	
FORTINET INC								
Symbol FTNT Exchange OTC	Oct 17, 13	10 000	20 071	200 71	30 660	306 60	105 89	LT
	Nov 29, 13	43 000	17 115	735 95	30 660	1,318 38	582 43	LT
	Jan 10, 14	44 000	19 610	886 31 ²	30 660	1,349 04	462 73	
Security total		97 000	18 794	1,822 97		2,974 02	1,151 05	
GAMING & LEISURE PPTYS INC REIT								
Symbol GLPI Exchange OTC	Feb 24, 14	13 000	37 779	491 13	29 340	381 42	-109 71	ST
EAI \$179 Current yield 7.09%	Apr 21, 14	22 000	37 713	829 69	29 340	645 48	-184 21	ST
	Jul 2, 14	25 000	35 900	897 52	29 340	733 50	-164 02	ST
	Sep 8, 14	26 000	33 866	890 89 ²	29 340	762 84	-128 05	ST
Security total		86 000	36 154	3,109 23		2,523 24	-585 99	
GULFPORT ENERGY CORP NEW								
Symbol GPOR Exchange OTC	Jul 23, 13	56 000	52 974	2,966 55	41 740	2,337 44	-629 11	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 7, 13	15 000	52 190	782 86	41 740	626 10	-156 76	LT
	Nov 6, 13	12 000	59 621	715 46	41 740	500 88	-214 58	LT
	Jan 10, 14	16 000	53 288	852 61	41 740	667 84	-184 77	ST
	Aug 11, 14	14 000	57 272	801 81	41 740	584 36	-217 45	ST
Security total		113 000	54 153	6,119 29		4,716 62	-1,402 67	
HARTFORD FINCL SERVICES GROUP INC								
Symbol HIG Exchange NYSE	Oct 26, 12	13 000	21 775	283 08	41 690	541 97	258 89	LT
EAI \$68 Current yield 1 74%	Dec 18, 12	28 000	22 300	624 40	41 690	1,167 32	542 92	LT
	Jan 7, 13	26 000	24 295	631 67	41 690	1,083 94	452 27	LT
	Mar 11, 13	27 000	25 799	696 58	41 690	1,125 63	429 05	LT
Security total		94 000	23 784	2,235 73		3,918 86	1,683 13	
HUNTSMAN CORP								
Symbol HUN Exchange NYSE	May 24, 13	48 000	19 088	916 24	22 780	1,093 44	177 20	LT
EAI \$105 Current yield 2 21%	Aug 12, 13	58 000	18 254	1,058 77	22 780	1,321 24	262 47	LT
	Aug 26, 13	41 000	18 541	760 19	22 780	933 98	173 79	LT
	Oct 7, 14	29 000	25 722	745 96	22 780	660 62	-85 34	ST
	Oct 28, 14	33 000	24 126	796 16	22 780	751 74	-44 42	ST
Security total		209 000	20 466	4,277 32		4,761 02	483 70	
ILLUMINA INC								
Symbol ILMN Exchange OTC	Oct 31, 12	7 000	47 234	330 64	184 580	1,292 06	961 42	LT
	Nov 26, 12	12 000	50 399	604 79	184 580	2,214 96	1,610 17	LT
Security total		19 000	49 233	935 43		3,507 02	2,571 59	
KIRBY CORPORATION								
Symbol KEX Exchange NYSE	Sep 4, 12	4 000	53 367	213 47	80 740	322 96	109 49	LT
	Sep 19, 12	7 000	57 501	402 51	80 740	565 18	162 67	LT
	Sep 21, 12	3 000	56 793	170 38	80 740	242 22	71 84	LT
	Dec 3, 12	11 000	57 907	636 98	80 740	888 14	251 16	LT
	Oct 3, 14	7 000	114 585	802 10	80 740	565 18	-236 92	ST
	Oct 8, 14	7 000	107 915	755 41	80 740	565 18	-190 23	ST
	Oct 17, 14	7 000	103 802	726 62	80 740	565 18	-161 44	ST

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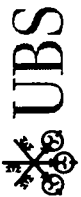
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 28, 14	9 000	96 108	864 98	80 740	726 66	-138 32	ST
Security total		55 000	83 135	4,572 45		4,440 70	-131 75	
LIONS GATE ENTERTAINMENT CORP								
NEW								
Symbol LGF Exchange NYSE	Dec 12, 13	108 000	28 869	3,117 88	32 020	3,458 16	340 28	LT
EAI \$61 Current yield 0 88%	Dec 18, 13	27 000	29 449	795 13	32 020	864 54	69 41	LT
	Feb 10, 14	25 000	32 536	813 41	32 020	800 50	-12 91	ST
	Mar 20, 14	27 000	30 219	815 93	32 020	864 54	48 61	ST
	May 20, 14	30 000	27 233	816 99	32 020	960 60	143 61	ST
Security total		217 000	29 306	6,359 34		6,948 34	589 00	
LPL FINL HLDGS INC COM								
Symbol LPLA Exchange OTC	Nov 8, 13	95 000	39 754	3,776 69	44 550	4,232 25	455 56	LT
EAI \$124 Current yield 2 16%	May 20, 14	17 000	46 798	795 57	44 550	757 35	-38 22	ST
	Jul 3, 14	17 000	51 088	868 51	44 550	757 35	-111 16	ST
Security total		129 000	42 177	5,440 77		5,746 95	306 18	
MASCO CORP								
Symbol MAS Exchange NYSE	Feb 22, 13	62 000	18 939	1,174 22	25 200	1,562 40	388 18	LT
EAI \$59 Current yield 1 44%	Apr 8, 13	33 000	19 620	647 48	25 200	831 60	184 12	LT
	May 15, 13	31 000	22 489	697 16	25 200	781 20	84 04	LT
	Aug 26, 13	37 000	19 493	721 26	25 200	932 40	211 14	LT
Security total		163 000	19 878	3,240 12		4,107 60	867 48	
MEDIA GEN INC NEW								
Symbol MEG Exchange NYSE	Jun 25, 14	86 000	20 457	1,759 34	16 730	1,438 78	-320 56	ST
	Jun 27, 14	42 000	20 895	877 59	16 730	702 66	-174 93	ST
	Jul 14, 14	29 000	22 070	640 03	16 730	485 17	-154 86	ST
	Jul 31, 14	42 000	20 101	844 25	16 730	702 66	-141 59	ST
	Aug 19, 14	50 000	17 207	860 37	16 730	836 50	-23 87	ST
	Aug 25, 14	49 000	16 217	794 67	16 730	819 77	25 10	ST
Security total		298 000	19 383	5,776 25		4,985 54	-790 71	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MEDIVATION INC								
Symbol MDVN Exchange OTC	Aug 12, 13	6 000	56 351	338 11	99 610	597 66	259 55	LT
	Oct 9, 13	15 000	50 416	756 24	99 610	1,494 15	737 91	LT
	Mar 6, 14	12 000	67 722	812 67	99 610	1,195 32	382 65	ST
	Mar 7, 14	12 000	68 560	822 72	99 610	1,195 32	372 60	ST
Security total		45 000	60 661	2,729 74		4,482 45	1,752 71	
MEMORIAL RESOURCE DEV CORP								
Symbol MRD Exchange OTC	Jun 13, 14	156 000	22 368	3,489 47	18 030	2,812 68	-676 79	ST
	Oct 8, 14	34 000	24 746	841 38	18 030	613 02	-228 36	ST
	Nov 6, 14	31 000	24 919	772 51	18 030	558 93	-213 58	ST
	Nov 17, 14	35 000	23 080	807 83	18 030	631 05	-176 78	ST
Security total		256 000	23 091	5,911 19		4,615 68	-1,295 51	
METHANEX CORP								
Symbol MEOH Exchange OTC	May 8, 13	37 000	44 987	1,664 52	45 830	1,695 71	31 19	LT
EAI \$67 Current yield 2 18%	Jul 10, 13	16 000	44 700	715 20	45 830	733 28	18 08	LT
	Jul 14, 14	14 000	64 405	901 67	45 830	641 62	-260 05	ST
Security total		67 000	48 976	3,281 39		3,070 61	-210 78	
MGM RESORTS INTL								
Symbol MGM Exchange NYSE	Dec 18, 12	27 000	11 490	310 24	21 380	577 26	267 02	LT
	Jan 8, 13	54 000	12 855	694 22	21 380	1,154 52	460 30	LT
	Jan 25, 13	49 000	13 030	638 47	21 380	1,047 62	409 15	LT
	Apr 26, 13	48 000	13 622	653 86	21 380	1,026 24	372 38	LT
	Jun 27, 14	33 000	26 547	876 08	21 380	705 54	-170 54	ST
	Sep 18, 14	34 000	23 485	798 49	21 380	726 92	-71 57	ST
	Dec 16, 14	39 000	19 052	743 04	21 380	833 82	90 78	ST
Security total		284 000	16 600	4,714 40		6,071 92	1,357 52	
MYLAN INC								
Symbol MYL Exchange OTC	Feb 15, 12	8 000	23 310	186 48	56 370	450 96	264 48	LT
	Nov 11, 13	37 000	40 491	1,498 18	56 370	2,085 69	587 51	LT
	Apr 2, 14	17 000	50 437	857 44	56 370	958 29	100 85	ST
Security total		62 000	41 002	2,542 10		3,494 94	952 84	

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Your Financial Advisor:
CYPHERS DUBEY GROUP (800) 243-
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NAVISTAR INTL CORP NEW								
Symbol NAV Exchange NYSE	Apr 24, 14	43 000	37 010	1,591 47	33 480	1,439 64	-151 83	ST
	May 6, 14	24 000	36 171	868 12	33 480	803 52	-64 60	ST
	May 20, 14	25 000	32 758	818 97	33 480	837 00	18 03	ST
Security total		92 000	35 637	3,278 56		3,080 16	-198 40	
NEXSTAR BROADCASTING GROUP INC								
CL A								
Symbol NXST Exchange OTC	Jun 18, 13	50 000	33 649	1,682 46	51 790	2,589 50	907 04	LT
EAI \$84 Current yield 1 16%	Jul 2, 13	25 000	34 593	864 83	51 790	1,294 75	429 92	LT
	Aug 15, 13	24 000	29 818	715 64	51 790	1,242 96	527 32	LT
	Feb 19, 14	21 000	42 538	893 31	51 790	1,087 59	194 28	ST
	Apr 3, 14	20 000	38 983	779 66	51 790	1,035 80	256 14	ST
Security total		140 000	35 256	4,935 90		7,250 60	2,314 70	
NPS PHARMACEUTICALS DEL								
Symbol NPSP Exchange OTC	Nov 11, 13	72 000	25 080	1,805 78	35 770	2,575 44	769 66	LT
	Jun 3, 14	26 000	32 446	843 60	35 770	930 02	86 42	ST
	Aug 26, 14	31 000	29 329	909 20	35 770	1,108 87	199 67	ST
Security total		129 000	27 586	3,558 58		4,614 33	1,055 75	
OSHKOSH CORP								
Symbol OSK Exchange NYSE	Nov 27, 13	45 000	48 588	2,186 50	48 650	2,189 25	2 75	LT
EAI \$81 Current yield 1 40%	Dec 6, 13	16 000	49 844	797 51	48 650	778 40	-19 11	LT
	Jan 23, 14	16 000	53 631	858 11	48 650	778 40	-79 71	ST
	Feb 4, 14	17 000	50 587	859 98	48 650	827 05	-32 93	ST
	Aug 1, 14	25 000	46 209	1,155 23	48 650	1,216 25	61 02	ST
Security total		119 000	49 221	5,857 33		5,789 35	-67 98	
PENSKE AUTOMOTIVE GROUP INC								
Symbol PAG Exchange NYSE	Apr 29, 13	18 000	31 169	561 05	49 070	883 26	322 21	LT
EAI \$81 Current yield 1 72%	Jul 1, 13	23 000	30 793	708 26	49 070	1,128 61	420 35	LT
	Jun 16, 14	18 000	45 966	827 39	49 070	883 26	55 87	ST

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CYPHERS DUBBY GROUP (800) 243-
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jun 17, 14	20 000	46 499	929 98	49 070	981 40	51 42	ST
	Aug 12, 14	17 000	47 658	810 19	49 070	834 19	24 00	ST
Security total		96 000	39 967	3,836 87		4,710 72	873 85	
PERRIGO CO PLC EUR								
Symbol PRGO Exchange NYSE								
EAI \$9 Current yield 0.26%								
EUR Exchange rate 0.82641								
POPULAR INC								
Symbol BPOP Exchange OTC								
	Nov 25, 14	21 000	156 433	3,285 11	167 160	3,510 36	225 25	ST
	Apr 19, 13	4 000	27 407	109 63	34 050	136 20	26 57	LT
	May 8, 13	23 000	29 411	676 46	34 050	783 15	106 69	LT
	Jul 2, 13	23 000	31 028	713 66	34 050	783 15	69 49	LT
	Jul 19, 13	26 000	32 833	853 66	34 050	885 30	31 64	LT
	Aug 26, 13	19 000	33 068	628 31	34 050	646 95	18 64	LT
Security total		95 000	31 387	2,981 72		3,234 75	253 03	
PRIVATEBANCORP INC								
Symbol PVTB Exchange OTC								
EAI \$7 Current yield 0.12%								
	Feb 6, 14	117 000	28 179	3,296 99	33 400	3,907 80	610 81	ST
	Mar 6, 14	28 000	29 966	839 06	33 400	935 20	96 14	ST
	Sep 11, 14	32 000	30 446	974 30	33 400	1,068 80	94 50	ST
Security total		177 000	28 872	5,110 35		5,911 80	801 45	
QUANTA SERVICES INC								
Symbol PWR Exchange NYSE								
	Sep 21, 11	32 000	19 332	618 63	28 390	908 48	289 85	LT
	Oct 12, 11	25 000	20 240	506 01	28 390	709 75	203 74	LT
	Nov 11, 11	30 000	18 994	569 82	28 390	851 70	281 88	LT
	Jan 18, 12	25 000	21 525	538 14	28 390	709 75	171 61	LT
	Jun 7, 13	24 000	27 625	663 01	28 390	681 36	18 35	LT
	May 27, 14	27 000	33 692	909 70	28 390	766 53	-143 17	ST
	Oct 17, 14	24 000	31 118	746 84	28 390	681 36	-65 48	ST
Security total		187 000	24 343	4,552 15		5,308 93	756 78	
QUINTILES TRANSNATIONAL HLDGS INC								
Symbol Q Exchange NYSE								
	May 10, 13	39 000	42 731	1,666 53	58 870	2,295 93	629 40	LT
	May 16, 13	17 000	46 282	786 81	58 870	1,000 79	213 98	LT

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Your Financial Advisor:

CYPHERS DUBREY GROUP (800) 243-

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 23, 14	5 000	56 290	281 45	58 870	294 35	12 90	ST
		61 000	44 833	2,734 79		3,591 07	856 28	
REALOGY HLDGS CORP								
Symbol RLGY Exchange NYSE	Nov 12, 12	3 000	37 466	112 40	44 490	133 47	21 07	LT
	Nov 19, 12	31 000	37 000	1,147 02	44 490	1,379 19	232 17	LT
	Nov 27, 12	16 000	36 870	589 92	44 490	711 84	121 92	LT
	Dec 3, 12	17 000	37 500	637 50	44 490	756 33	118 83	LT
	Apr 17, 13	15 000	45 670	685 05	44 490	667 35	-17 70	LT
	Dec 26, 13	7 000	49 527	346 69	44 490	311 43	-35 26	LT
	Oct 17, 14	21 000	34 865	732 18	44 490	934 29	202 11	ST
Security total		110 000	38 643	4,250 76		4,893 90	643 14	
RICE ENERGY INC								
Symbol RICE Exchange NYSE	Jan 27, 14	158 000	21 814	3,446 63	20 970	3,313 26	-133 37	ST
	Jul 7, 14	15 000	29 185	437 78	20 970	314 55	-123 23	ST
	Aug 12, 14	20 000	28 082	561 65	20 970	419 40	-142 25	ST
	Aug 14, 14	14 000	28 237	395 32	20 970	293 58	-101 74	ST
	Nov 17, 14	38 000	25 426	966 19	20 970	796 86	-169 33	ST
Security total		245 000	23 704	5,807 57		5,137 65	-669 92	
RYLAND GROUP INC								
Symbol RYL Exchange NYSE	Aug 20, 13	56 000	36 320	2,033 93	38 560	2,159 36	125 43	LT
EAI \$11 Current yield 0.32%	Oct 2, 13	32 000	42 136	1,348 36	38 560	1,233 92	-114 44	LT
Security total		88 000	38 435	3,382 29		3,393 28	10 99	
RYMAN HOSPITALITY PPTYS INC								
COM								
Symbol RHP Exchange NYSE	Aug 12, 14	49 000	48 112	2,357 50	52 740	2,584 26	226 76	ST
EAI \$271 Current yield 4.18%	Aug 19, 14	21 000	49 215	1,033 52	52 740	1,107 54	74 02	ST
	Sep 15, 14	18 000	46 696	840 54	52 740	949 32	108 78	ST
	Sep 22, 14	17 000	48 152	818 60	52 740	896 58	77 98	ST
	Sep 25, 14	18 000	48 042	864 76	52 740	949 32	84 56	ST
Security total		123 000	48 089	5,914 92		6,487 02	572 10	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SBA COMMUNICATIONS CORP CL A								
Symbol SBAC Exchange OTC	Dec 4, 12	42 000	68 942	2,895 58	110 760	4,651 92	1,756 34	LT
SERVICENOW INC								
Symbol NOW Exchange NYSE	Apr 28, 14	34 000	46 210	1,571 16	67 850	2,306 90	735 74	ST
	Apr 29, 14	33 000	48 950	1,615 38	67 850	2,239 05	623 67	ST
Security total		67 000	47 560	3,186 54		4,545 95	1,359 41	
SINCLAIR BROADCAST GROUP INC								
CL A								
Symbol SBGI Exchange OTC	May 1, 13	14 000	27 310	382 35	27 360	383 04	0 69	LT
EAI \$152 Current yield 2.41%	Jun 3, 13	29 000	25 700	745 30	27 360	793 44	48 14	LT
	Jun 7, 13	31 000	25 009	775 29	27 360	848 16	72 87	LT
	Jun 13, 13	27 000	25 150	679 05	27 360	738 72	59 67	LT
	Jun 14, 13	40 000	27 118	1,084 75	27 360	1,094 40	9 65	LT
	Aug 15, 13	30 000	24 180	725 40	27 360	820 80	95 40	LT
	Mar 18, 14	29 000	24 943	723 36	27 360	793 44	70 08	ST
	Mar 21, 14	31 000	28 266	876 25	27 360	848 16	-28 09	ST
Security total		231 000	25 938	5,991 75		6,320 16	328 41	
SPIRIT AIRLINES INC								
Symbol SAVE Exchange OTC	Jul 31, 13	7 000	32 727	229 09	75 580	529 06	299 97	LT
	Sep 9, 13	26 000	31 653	823 00	75 580	1,965 08	1,142 08	LT
	Feb 6, 14	18 000	46 423	835 62	75 580	1,360 44	524 82	ST
	Dec 9, 14	11 000	73 799	811 79	75 580	831 38	19 59	ST
Security total		62 000	43 540	2,699 50		4,685 96	1,986 46	
SUPERIOR ENERGY SERVICES INC								
Symbol SPN Exchange NYSE	Oct 30, 13	43 000	26 965	1,159 50	20 150	866 45	-293 05	LT
EAI \$41 Current yield 1.58%	Nov 4, 13	55 000	27 840	1,531 20	20 150	1,108 25	-422 95	LT
	Feb 19, 14	31 000	27 249	844 73	20 150	624 65	-220 08	ST
Security total		129 000	27 406	3,535 43		2,599 35	-936 08	
TERADYNE INC								
Symbol TER Exchange NYSE	May 8, 13	82 000	16 596	1,360 91	19 790	1,622 78	261 87	LT
EAI \$63 Current yield 1.21%							continued next page	



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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TEXAS CAPITAL BANCSHARES INC								
(DELA)								
Symbol TCBI Exchange OTC	Jul 25, 13	44 000	16 090	708 002	19 790	870 76	162 76	LT
	Sep 12, 13	49 000	16 359	801 63	19 790	969 71	168 08	LT
	Oct 1, 13	46 000	16 509	759 43	19 790	910 34	150 91	LT
	Oct 21, 14	43 000	17 640	758 55	19 790	850 97	92 42	ST
Security total		264 000	16 623	4,388 52		5,224 56	836 04	
TRIBUNE MEDIA CO CL A								
Symbol TRCO Exchange NYSE	Jul 22, 14	50 000	52 343	2,617 16	54 330	2,716 50	99 34	ST
	Jul 29, 14	15 000	52 657	789 86	54 330	814 95	25 09	ST
	Aug 25, 14	11 000	54 472	599 20	54 330	597 63	-1 57	ST
	Sep 3, 14	22 000	55 186	1,214 11	54 330	1,195 26	-18 85	ST
	Nov 28, 14	15 000	55 094	826 41	54 330	814 95	-11 46	ST
Security total		113 000	53 511	6,046 74		6,139 29	92 55	
TRINITY INDUSTRIES INC								
Symbol TRN Exchange NYSE	Jul 9, 13	9 000	57 953	521 58	59 770	537 93	16 35	LT
EAI \$60 Current yield 1 44%	Jul 15, 13	12 000	61 265	735 19	59 770	717 24	-17 95	LT
	Oct 4, 13	12 000	59 565	714 79	59 770	717 24	2 45	LT
	Oct 31, 13	13 000	63 526	825 85	59 770	777 01	-48 84	LT
	Jan 16, 14	10 000	70 754	707 54	59 770	597 70	-109 84	ST
	Apr 3, 14	12 000	73 274	879 29	59 770	717 24	-162 05	ST
Security total		68 000	64 474	4,384 24		4,064 36	-319 88	
U S SILICA HLDGS INC								
Symbol SLCA Exchange NYSE	Oct 10, 14	77 000	36 002	2,772 18	28 010	2,156 77	-615 41	ST
EAI \$62 Current yield 1 96%	Oct 21, 14	24 000	32 871	788 91	28 010	672 24	-116 67	ST
	Nov 17, 14	22 000	35 569	782 52	28 010	616 22	-166 30	ST
	Nov 28, 14	26 000	32 133	835 48	28 010	728 26	-107 22	ST
Security total		149 000	34 759	5,179 09		4,173 49	-1,005 60	
Symbol SLCA Exchange NYSE								
EAI \$62 Current yield 1 96%	Apr 21, 14	34 000	42 315	1,438 74	25 690	873 46	-565 28	ST
	Jun 16, 14	18 000	51 746	931 43	25 690	462 42	-469 01	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
ULTA SALON COSMETICS & FRAGRANCE INC								
Symbol ULTA Exchange OTC	Mar 14, 14	3 000	95 936	287 81	127 840	383 52	95 71	ST
	Mar 18, 14	17 000	98 816	1,679 88	127 840	2,173 28	493 40	ST
	Apr 21, 14	9 000	90 322	812 90	127 840	1,150 56	337 66	ST
Security total		29 000	95 882	2,780 59	3,707 36		926 77	
UNIVERSAL HEALTH SVCS INC CL B								
Symbol UHS Exchange NYSE	Aug 27, 10	12 000	31 185	374 23	111 260	1,335 12	960 89	LT
EAI \$20 Current yield 0.37%	Sep 16, 10	14 000	35 526	497 37	111 260	1,557 64	1,060 27	LT
	May 3, 12	15 000	42 030	630 45	111 260	1,668 90	1,038 45	LT
	Nov 5, 14	8 000	99 985	799 88	111 260	890 08	90 20	ST
Security total		49 000	46 978	2,301 93	5,451 74		3,149 81	
UNITD NAT FOODS INC								
Symbol UNFI Exchange OTC	Nov 10, 14	27 000	72 050	1,945 37	77 325	2,087 78	142 41	ST
	Dec 4, 14	15 000	77 080	1,156 21	77 325	1,159 88	3 67	ST
Security total		42 000	73 847	3,101 58	3,247 65		146 08	
VAIL RESORTS INC								
Symbol MTN Exchange NYSE	May 22, 14	48 000	70 353	3,376 95	91 130	4,374 24	997 29	ST
EAI \$80 Current yield 1.83%								
VANTIV INC CL A								
Symbol VNTV Exchange NYSE	Oct 14, 14	119 000	30 440	3,622 47	33 920	4,036 48	414 01	ST
VERIFONE SYSTEMS INC								
Symbol PAY Exchange NYSE	Mar 27, 14	109 000	33 249	3,624 19	37 200	4,054 80	430 61	ST
	Jul 14, 14	24 000	35 577	853 85	37 200	892 80	38 95	ST
	Oct 20, 14	23 000	31 576	726 27	37 200	855 60	129 33	ST
Security total		156 000	33 361	5,204 31	5,803 20		598 89	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WENDYS CO								
Symbol WEN Exchange OTC								
EAI \$127 Current yield 2.43%	Nov 7, 14	274 000	8 326	2,281 46	9 030	2,474 22	192 76	ST
	Nov 10, 14	112 000	8 514	953 65	9 030	1,011 36	57 71	ST
	Nov 17, 14	93 000	8 563	796 41	9 030	839 79	43 38	ST
	Nov 25, 14	100 000	8 719	871 98	9 030	903 00	31 02	ST
Security total		579 000	8 469	4,903 50		5,228 37	324 87	
WHITING PETROLEUM CORP NEW								
Symbol WLL Exchange NYSE	Nov 19, 14	48 000	55 528	2,665 37	33 000	1,584 00	-1,081 37	ST
	Nov 21, 14	13 000	60 293	783 82	33 000	429 00	-354 82	ST
Security total		61 000	56 544	3,449 19		2,013 00	-1,436 19	
WISDOMTREE INVESTMENTS INC								
Symbol WETF Exchange OTC	Jan 31, 14	36 000	14 025	504 91	15 675	564 30	59 39	ST
EAI \$82 Current yield 2.04%	Feb 4, 14	144 000	14 185	2,042 76	15 675	2,257 20	214 44	ST
	Sep 8, 14	77 000	12 092	947 90 ²	15 675	1,206 97	259 07	ST
Security total		257 000	13 601	3,495 57		4,028 47	532 90	
Total				\$277,872.85		\$310,858.19	\$32,985.35	

Total estimated annual income: \$2,248

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	8,225.29	2.58%	8,225.29		
Cash and money balances	8,225.29		8,225.29		
Equities	310,858.19	97.42%	277,872.85	2,248.00	32,985.35
Total	\$319,083.48	100.00%	\$286,098.14	\$2,248.00	\$32,985.35