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EXTENDED TO NOVEMBER 16, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938
Number and street (or P O box number if mail is not delivered to street address) C/O MARKS PANETH LLP, 685 THIRD AVE.	Room/suite 5TH FL	B Telephone number 212-503-8822
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,855,797.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	44,945.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	122,286.	122,286.		
	4 Dividends and interest from securities	289,512.	289,512.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	314,462.			
	b Gross sales price for all assets on line 6a 6,357,337.				
	7 Capital gain net income (from Part IV, line 2)		314,462.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	771,205.	726,260.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 1	7,984.	3,861.		4,123.
	b Accounting fees				
	c Other professional fees STMT 2	59,409.	59,409.		0.
	17 Interest				
	18 Taxes STMT 3	7,269.	1,269.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	4,312.	0.		4,312.
	24 Total operating and administrative expenses. Add lines 13 through 23	78,974.	64,539.		8,435.
	25 Contributions, gifts, grants paid	632,328.			595,340.
26 Total expenses and disbursements. Add lines 24 and 25	711,302.	64,539.		603,775.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	59,903.				
b Net investment income (if negative, enter -0-)		661,721.			
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014)

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	216,800.	237,547.	237,547.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,394.	1,506.	1,506.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	12,126,746.	12,178,184.	12,616,744.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment; basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,344,940.	12,417,237.	12,855,797.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	62,425.	81,501.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	62,425.	81,501.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,282,515.	12,335,736.	
	30 Total net assets or fund balances	12,282,515.	12,335,736.	
	31 Total liabilities and net assets/fund balances	12,344,940.	12,417,237.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,282,515.
2 Enter amount from Part I, line 27a	2	59,903.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,342,418.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	6,682.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,335,736.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	6,357,337.	6,042,875.	314,462.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			314,462.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	314,462.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	872,468.	12,646,032.	.068991
2012	310,999.	11,841,019.	.026265
2011	339,853.	4,542,582.	.074815
2010			
2009			

2 Total of line 1, column (d)	2	.170071
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056690
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	12,813,609.
5 Multiply line 4 by line 3	5	726,403.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,617.
7 Add lines 5 and 6	7	733,020.
8 Enter qualifying distributions from Part XII, line 4	8	603,775.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	13,234.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,234.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,234.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,589.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	21,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	24,589.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,355.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

11		X
----	--	---

12		X
----	--	---

13	X	
----	---	--

► | 15 | N/A

	Yes	No
16		X

	Yes	No
--	-----	----

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

N/A

1b		
1c		X

☐ Yes ☒ No

N/A

☐ Yes ☒ No

N/A

4a	X
----	---

4b	X
----	---

N/A

0.

▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3. Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 - Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	12,826,166.
b Average of monthly cash balances	1b	182,574.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	13,008,740.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	13,008,740.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	195,131.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,813,609.
6 Minimum investment return. Enter 5% of line 5	6	640,680.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	640,680.
2a Tax on investment income for 2014 from Part VI, line 5	2a	13,234.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	13,234.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	627,446.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	627,446.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	627,446.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	603,775.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	603,775.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	603,775.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				627,446.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				52,177.
f Total of lines 3a through e	52,177.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$				603,775.
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				603,775.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	23,671.			23,671.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	28,506.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	28,506.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				28,506.
e Excess from 2014				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ADAM GABRIEL WINNIE 109 1/2 WEST 37TH STREET SAVANNAH, GA 31401	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
AMY SEIDEMAN 237 7TH AVENUE SAN FRANCISCO, CA 94118	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
BARBARA HALL 255 IOWA AVE, SE, APT 4 HURON, SD 57350	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
BENJAMIN SCHULTZ PO BOX 444 THOMPSON STATION, TN 37179	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
CHARLES MILES 1261 TALLEY LOOP BUDA, TX 78610	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total	SEE CONTINUATION SHEET(S)			632,328.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|--|-----|----|
| 1- Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | | X |
| (2) Other assets | | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | | X |
| (3) Rental of facilities, equipment, or other assets | | | X |
| (4) Reimbursement arrangements | | | X |
| (5) Loans or loan guarantees | | | X |
| (6) Performance of services or membership or fundraising solicitations | | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

ASST TREASURER

Title

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date _____

Check ☐ self-employed

PTIN

GIAMPAPA, JOSEPH

11/13/15

P01073462

Firm's name ► **MARKS PANETH LLP**

Firm's EIN ► 11-3518842

Firm's address ► 685 THIRD AVENUE
NEW YORK, NY 10017

Phone no. **212 503-8800**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	21 PARTNERRE LTD	P	04/09/13	12/29/14
b	3 DANAHER CORP	P	03/30/11	12/23/14
c	15 DANAHER CORP	P	12/14/10	12/23/14
d	24 CALIFORNIA RESOURCES CORP	P	09/12/13	12/05/14
e	40 CALIFORNIA RESOURCES CORP	P	08/12/13	12/05/14
f	83 PFIZER INC	P	12/14/10	12/03/14
g	50 LINCOLN NATIONAL CORP	P	11/26/13	12/02/14
h	42 LINCOLN NATIONAL CORP	P	10/16/13	12/02/14
i	45 ASSURANT INC	P	11/25/13	11/26/14
j	50 MEDTRONIC INC	P	08/23/13	11/25/14
k	90 MEDTRONIC INC	P	07/02/13	11/25/14
l	35 MEDTRONIC INC	P	12/20/12	11/25/14
m	26 KROGER CO	P	10/18/12	11/24/14
n	35 ELECTRONIC ARTS INC	P	02/13/13	11/19/14
o	21 LINCOLN NATIONAL CORP	P	10/16/13	11/17/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,420.		1,935.	485.
b 262.		156.	106.
c 1,309.		685.	624.
d 160.		220.	<60.>
e 266.		356.	<90.>
f 2,608.		1,430.	1,178.
g 2,811.		2,550.	261.
h 2,361.		1,887.	474.
i 3,041.		2,879.	162.
j 3,647.		2,649.	998.
k 6,565.		4,690.	1,875.
l 2,553.		1,483.	1,070.
m 1,523.		652.	871.
n 1,506.		601.	905.
o 1,177.		943.	234.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			485.
b			106.
c			624.
d			<60.>
e			<90.>
f			1,178.
g			261.
h			474.
i			162.
j			998.
k			1,875.
l			1,070.
m			871.
n			905.
o			234.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 LINCOLN NATIONAL CORP	P	10/16/13	11/17/14
b	1 LINCOLN NATIONAL CORP	P	08/22/13	11/17/14
c	29 LINCOLN NATIONAL CORP	P	08/22/13	11/17/14
d	12 BOEING CO/THE	P	04/25/13	11/14/14
e	38.822 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/27/14
f	45 HALLIBURTON CO	P	08/12/13	10/20/14
g	105 HALLIBURTON CO	P	02/21/13	10/20/14
h	10 ALLERGAN INC	P	05/22/13	10/08/14
i	10 ALLERGAN INC	P	05/14/13	10/08/14
j	10 MEDTRONIC INC	P	12/20/12	10/02/14
k	15 MEDTRONIC INC	P	10/18/12	10/02/14
l	175 CITIGROUP INC	P	11/05/12	10/01/14
m	75 CITIGROUP INC	P	10/25/11	10/01/14
n	150 PFIZER INC	P	12/14/10	10/01/14
o	30 VIACOM INC-CLASS B	P	11/06/12	09/29/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 392.		314.	78.
b 56.		44.	12.
c 1,626.		1,284.	342.
d 1,546.		1,104.	442.
e 537.		528.	9.
f 2,382.		2,069.	313.
g 5,558.		4,287.	1,271.
h 1,881.		987.	894.
i 1,881.		1,040.	841.
j 622.		424.	198.
k 933.		646.	287.
l 8,977.		6,513.	2,464.
m 3,847.		2,337.	1,510.
n 4,392.		2,584.	1,808.
o 2,306.		1,526.	780.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			78.
b			12.
c			342.
d			442.
e			9.
f			313.
g			1,271.
h			894.
i			841.
j			198.
k			287.
l			2,464.
m			1,510.
n			1,808.
o			780.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 APPLE INC	P	12/14/10	09/26/14
b	20 EVEREST RE GROUP LTD	P	02/15/13	09/05/14
c	15 LINCOLN NATIONAL CORP	P	08/22/13	09/04/14
d	65 LINCOLN NATIONAL CORP	P	08/20/13	09/04/14
e	35 LINCOLN NATIONAL CORP	P	08/05/13	09/04/14
f	35 LINCOLN NATIONAL CORP	P	07/25/13	09/04/14
g	30 MEDTRONIC INC	P	10/18/12	09/03/14
h	10 MEDTRONIC INC	P	10/05/12	09/03/14
i	25 BOEING CO/THE	P	04/25/13	08/26/14
j	10 INTERCONTINENTAL EXCHANGE IN	P	12/05/12	08/19/14
k	50 COGNIZANT TECH SOLUTIONS-A	P	06/06/13	08/18/14
l	50 COGNIZANT TECH SOLUTIONS-A	P	05/24/13	08/18/14
m	50 COGNIZANT TECH SOLUTIONS-A	P	04/29/13	08/18/14
n	25 COGNIZANT TECH SOLUTIONS-A	P	04/24/13	08/18/14
o	8 PRECISION CASTPARTS CORP	P	01/16/13	08/15/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,489.		1,148.	1,341.
b 3,273.		2,414.	859.
c 824.		664.	160.
d 3,571.		2,798.	773.
e 1,923.		1,527.	396.
f 1,923.		1,438.	485.
g 1,930.		1,292.	638.
h 643.		447.	196.
i 3,219.		2,300.	919.
j 1,858.		1,301.	557.
k 2,258.		1,626.	632.
l 2,258.		1,589.	669.
m 2,258.		1,571.	687.
n 1,129.		784.	345.
o 1,925.		1,468.	457.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,341.
b			859.
c			160.
d			773.
e			396.
f			485.
g			638.
h			196.
i			919.
j			557.
k			632.
l			669.
m			687.
n			345.
o			457.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	200 GENWORTH FINANCIAL INC-CL A	P	06/26/13	07/30/14
b	150 GENWORTH FINANCIAL INC-CL A	P	03/22/13	07/30/14
c	50 TJX COMPANIES INC	P	03/22/13	07/30/14
d	75 TJX COMPANIES INC	P	10/18/12	07/30/14
e	25 DANAHER CORP	P	12/14/10	07/29/14
f	27.211 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/23/14
g	100 VALERO ENERGY CORP	P	06/26/13	07/22/14
h	20 TJX COMPANIES INC	P	10/18/12	07/18/14
i	30 TJX COMPANIES INC	P	10/04/12	07/18/14
j	25 TJX COMPANIES INC	P	10/03/12	07/18/14
k	25 TJX COMPANIES INC	P	09/13/12	07/18/14
l	25 VALERO ENERGY CORP	P	06/26/13	06/30/14
m	55 VALERO ENERGY CORP	P	05/06/13	06/30/14
n	35 VALERO ENERGY CORP	P	02/13/13	06/30/14
o	35 VALERO ENERGY CORP	P	01/17/13	06/30/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,836.		2,221.	615.
b 2,127.		1,511.	616.
c 2,649.		2,323.	326.
d 3,973.		3,242.	731.
e 1,856.		1,141.	715.
f 375.		370.	5.
g 4,826.		3,553.	1,273.
h 1,050.		864.	186.
i 1,575.		1,362.	213.
j 1,313.		1,130.	183.
k 1,313.		1,150.	163.
l 1,264.		888.	376.
m 2,781.		2,081.	700.
n 1,770.		1,475.	295.
o 1,770.		1,182.	588.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			615.
b			616.
c			326.
d			731.
e			715.
f			5.
g			1,273.
h			186.
i			213.
j			183.
k			163.
l			376.
m			700.
n			295.
o			588.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	115 GANNETT CO	P	12/11/12	06/30/14
b	65 GANNETT CO	P	11/23/11	06/30/14
c	80 APPLE INC	P	12/14/10	06/27/14
d	45 TJX COMPANIES INC	P	09/13/12	06/25/14
e	5 TJX COMPANIES INC	P	09/06/12	06/25/14
f	30 HEALTH NET INC	P	01/09/12	06/25/14
g	70 HEALTH NET INC	P	12/14/10	06/25/14
h	25 VERTEX PHARMACEUTICALS INC	P	11/05/12	06/24/14
i	25 DANAHER CORP	P	12/14/10	06/24/14
j	50 KROGER CO	P	10/18/12	06/11/14
k	50 KROGER CO	P	07/19/12	06/11/14
l	50 KROGER CO	P	02/03/12	06/11/14
m	35 COGNIZANT TECH SOLUTIONS-A	P	04/24/13	06/10/14
n	15 COGNIZANT TECH SOLUTIONS-A	P	03/14/13	06/10/14
o	20 WALT DISNEY CO/THE	P	10/18/12	06/09/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,579.		2,070.	1,509.
b	2,023.		678.	1,345.
c	7,322.		3,673.	3,649.
d	2,398.		2,069.	329.
e	266.		231.	35.
f	1,214.		988.	226.
g	2,833.		1,871.	962.
h	2,333.		1,152.	1,181.
i	2,001.		1,141.	860.
j	2,393.		1,254.	1,139.
k	2,393.		1,082.	1,311.
l	2,393.		1,202.	1,191.
m	1,668.		1,097.	571.
n	715.		590.	125.
o	1,709.		1,050.	659.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,509.
b			1,345.
c			3,649.
d			329.
e			35.
f			226.
g			962.
h			1,181.
i			860.
j			1,139.
k			1,311.
l			1,191.
m			571.
n			125.
o			659.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 WALT DISNEY CO/THE	P	01/10/12	06/09/14
b	10 COSTCO WHOLESALE CORP	P	04/05/13	05/30/14
c	25 COSTCO WHOLESALE CORP	P	03/21/13	05/30/14
d	175 GENWORTH FINANCIAL INC-CL A	P	03/13/13	05/30/14
e	20 ANSYS INC	P	07/23/12	05/27/14
f	5 ANSYS INC	P	07/09/12	05/27/14
g	10 AMAZON.COM INC	P	05/01/13	05/21/14
h	5 AMAZON.COM INC	P	02/27/13	05/21/14
i	5 AMAZON.COM INC	P	01/31/13	05/21/14
j	15 INTERCONTINENTALEXCHANGE GROUP	P	10/18/12	05/21/14
k	10 STARBUCKS CORP	P	11/06/12	05/20/14
l	15 STARBUCKS CORP	P	10/18/12	05/20/14
m	20 VIACOM INC-CLASS B	P	11/06/12	05/12/14
n	45 VIACOM INC-CLASS B	P	10/18/12	05/12/14
o	10 VIACOM INC-CLASS B	P	06/10/11	05/12/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 427.		198.	229.
b 1,156.		1,057.	99.
c 2,890.		2,587.	303.
d 2,974.		1,836.	1,138.
e 1,490.		1,143.	347.
f 373.		293.	80.
g 3,047.		2,475.	572.
h 1,523.		1,314.	209.
i 1,523.		1,328.	195.
j 2,865.		1,963.	902.
k 701.		521.	180.
l 1,052.		710.	342.
m 1,687.		1,017.	670.
n 3,796.		2,504.	1,292.
o 844.		484.	360.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			229.
b			99.
c			303.
d			1,138.
e			347.
f			80.
g			572.
h			209.
i			195.
j			902.
k			180.
l			342.
m			670.
n			1,292.
o			360.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	80 MACY'S INC	P	10/18/12	05/08/14
b	20 ANSYS INC	P	07/09/12	04/28/14
c	5 AMAZON.COM INC	P	01/31/13	04/25/14
d	5 AMAZON.COM INC	P	10/26/12	04/25/14
e	15 STARBUCKS CORP	P	10/18/12	04/25/14
f	10 STARBUCKS CORP	P	12/14/10	04/25/14
g	10 INTERCONTINENTALEXCHANGE GROUP	P	10/18/12	04/23/14
h	125 CIT GROUP INC	P	10/18/12	04/22/14
i	25 CIT GROUP INC	P	02/03/12	04/22/14
j	55 CIT GROUP INC	P	02/01/12	04/22/14
k	25 CITIGROUP INC	P	07/29/11	04/14/14
l	25 CITIGROUP INC	P	07/22/11	04/14/14
m	25 CITIGROUP INC	P	07/19/11	04/14/14
n	10 PRECISION CASTPARTS CORP	P	01/16/13	04/07/14
o	25 COGNIZANT TECH SOLUTIONS-A	P	03/14/13	03/28/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,512.		3,245.	1,267.
b 1,530.		1,170.	360.
c 1,529.		1,328.	201.
d 1,529.		1,178.	351.
e 1,068.		710.	358.
f 712.		321.	391.
g 2,051.		1,309.	742.
h 5,839.		5,106.	733.
i 1,168.		997.	171.
j 2,569.		2,146.	423.
k 1,183.		958.	225.
l 1,183.		1,004.	179.
m 1,183.		942.	241.
n 2,502.		1,835.	667.
o 1,248.		983.	265.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,267.
b			360.
c			201.
d			351.
e			358.
f			391.
g			742.
h			733.
i			171.
j			423.
k			225.
l			179.
m			241.
n			667.
o			265.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 COGNIZANT TECH SOLUTIONS-A	P	11/07/12	03/28/14
b	25 SCHLUMBERGER LTD	P	10/18/12	03/28/14
c	25 SCHLUMBERGER LTD	P	10/18/12	03/27/14
d	20 SCHLUMBERGER LTD	P	10/18/12	03/24/14
e	5 SCHLUMBERGER LTD	P	01/12/12	03/24/14
f	5 INTERCONTINENTALEXCHANGE GROUP	P	10/18/12	03/14/14
g	5 INTERCONTINENTALEXCHANGE GROUP	P	08/13/12	03/14/14
h	10 BIOGEN IDEC INC	P	01/10/13	03/06/14
i	100 PULTE GROUP INC	P	11/13/12	02/28/14
j	25 PULTE GROUP INC	P	09/10/12	02/28/14
k	10 INTERCONTINENTALEXCHANGE GROUP	P	08/13/12	02/20/14
l	50 NOBLE ENERGY INC	P	10/18/12	02/19/14
m	20 NOBLE ENERGY INC	P	01/03/12	02/19/14
n	30 NOBLE ENERGY INC	P	05/17/11	02/19/14
o	15 LYONDELLBASELL INDU-CL A	P	10/05/12	02/13/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,496.		1,679.	817.
b 2,454.		1,865.	589.
c 2,413.		1,865.	548.
d 1,866.		1,492.	374.
e 467.		351.	116.
f 1,024.		654.	370.
g 1,024.		660.	364.
h 3,386.		1,422.	1,964.
i 2,102.		1,640.	462.
j 525.		365.	160.
k 2,109.		1,320.	789.
l 3,329.		2,407.	922.
m 1,332.		974.	358.
n 1,997.		1,309.	688.
o 1,235.		795.	440.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			817.
b			589.
c			548.
d			374.
e			116.
f			370.
g			364.
h			1,964.
i			462.
j			160.
k			789.
l			922.
m			358.
n			688.
o			440.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 LYONDELLBASELL INDU-CL A	P	05/04/12	02/13/14
b	10 LINKEDIN CORP - A	P	11/29/12	02/12/14
c	7 LINKEDIN CORP - A	P	11/07/12	02/12/14
d	10 SCHLUMBERGER LTD	P	01/12/12	02/11/14
e	15 SCHLUMBERGER LTD	P	12/22/11	02/11/14
f	25 COGNIZANT TECH SOLUTIONS-A	P	10/18/12	02/07/14
g	35 CELGENE CORP	P	11/29/12	02/03/14
h	10 CELGENE CORP	P	10/18/12	02/03/14
i	25 CITIGROUP INC	P	07/11/11	02/03/14
j	25 CITIGROUP INC	P	06/24/11	02/03/14
k	50 EBAY INC	P	01/23/13	01/27/14
l	95.083 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	01/18/13	01/27/14
m	125 VALERO ENERGY CORP	P	01/17/13	01/27/14
n	11 BIOGEN IDEC INC	P	01/10/13	01/27/14
o	65 GAMESTOP CORP-CLASS A	P	01/02/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 824.		406.	418.
b 1,929.		1,079.	850.
c 1,350.		709.	641.
d 900.		702.	198.
e 1,350.		1,019.	331.
f 2,424.		1,730.	694.
g 5,304.		2,780.	2,524.
h 1,515.		787.	728.
i 1,165.		1,018.	147.
j 1,165.		979.	186.
k 2,661.		2,674.	<13.>
l 1,118.		1,128.	<10.>
m 6,186.		4,220.	1,966.
n 3,311.		1,564.	1,747.
o 2,422.		1,650.	772.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			418.
b			850.
c			641.
d			198.
e			331.
f			694.
g			2,524.
h			728.
i			147.
j			186.
k			<13.>
l			<10.>
m			1,966.
n			1,747.
o			772.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	48.842 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	12/31/12	01/27/14
b	1468.421 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV	P	12/24/12	01/27/14
c	170 MICRON TECHNOLOGY INC	P	12/21/12	01/27/14
d	144.312 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	12/20/12	01/27/14
e	350 APPLIED MATERIALS INC	P	12/14/12	01/27/14
f	30 WELLPOINT INC	P	12/11/12	01/27/14
g	75 BANK OF AMERICA CORP	P	11/28/12	01/27/14
h	9 BIOGEN IDEC INC	P	11/27/12	01/27/14
i	325 BANK OF AMERICA CORP	P	11/23/12	01/27/14
j	146.03 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	11/20/12	01/27/14
k	100 DISCOVER FINANCIAL SERVICES	P	11/19/12	01/27/14
l	50 DISCOVER FINANCIAL SERVICES	P	11/08/12	01/27/14
m	75 EBAY INC	P	11/07/12	01/27/14
n	120 DELTA AIR LINES INC	P	11/06/12	01/27/14
o	25 VERTEX PHARMACEUTICALS INC	P	11/05/12	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 574.		579.	<5.>
b 14,126.		14,067.	59.
c 3,934.		1,100.	2,834.
d 1,697.		1,712.	<15.>
e 5,884.		3,885.	1,999.
f 2,560.		1,768.	792.
g 1,231.		718.	513.
h 2,709.		1,335.	1,374.
i 5,335.		3,212.	2,123.
j 1,717.		1,735.	<18.>
k 5,385.		4,054.	1,331.
l 2,692.		2,050.	642.
m 3,991.		3,600.	391.
n 3,681.		1,192.	2,489.
o 1,997.		1,152.	845.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<5.>
b			59.
c			2,834.
d			<15.>
e			1,999.
f			792.
g			513.
h			1,374.
i			2,123.
j			<18.>
k			1,331.
l			642.
m			391.
n			2,489.
o			845.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 HERSHEY CO/THE	P	10/26/12	01/27/14
b	40 OCEANEERING INTL INC	P	10/26/12	01/27/14
c	30 PARTNERRE LTD	P	10/25/12	01/27/14
d	35 TRW AUTOMOTIVE HOLDINGS CORP	P	10/24/12	01/27/14
e	100.102 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	10/19/12	01/27/14
f	9105.858 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV	P	10/18/12	01/27/14
g	67502.103 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	10/18/12	01/27/14
h	45 BOEING CO/THE	P	10/18/12	01/27/14
i	15 CELGENE CORP	P	10/18/12	01/27/14
j	35 COGNIZANT TECH SOLUTIONS-A	P	10/18/12	01/27/14
k	15 DISCOVER FINANCIAL SERVICES	P	10/18/12	01/27/14
l	35 EDISON INTERNATIONAL	P	10/18/12	01/27/14
m	40 HARRIS CORP	P	10/18/12	01/27/14
n	20 HERSHEY CO/THE	P	10/18/12	01/27/14
o	45 LEAR CORP	P	10/18/12	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,466.		1,048.	418.
b 2,794.		2,085.	709.
c 2,922.		2,451.	471.
d 2,555.		1,590.	965.
e 1,177.		1,190.	<13.>
f 87,598.		88,600.	<1,002.>
g 793,825.		802,600.	<8,775.>
h 6,197.		3,332.	2,865.
i 2,361.		1,181.	1,180.
j 3,368.		2,422.	946.
k 808.		604.	204.
l 1,655.		1,671.	<16.>
m 2,756.		1,875.	881.
n 1,955.		1,420.	535.
o 3,442.		1,858.	1,584.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			418.
b			709.
c			471.
d			965.
e			<13.>
f			<1,002.>
g			<8,775.>
h			2,865.
i			1,180.
j			946.
k			204.
l			<16.>
m			881.
n			535.
o			1,584.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 MCKESSON CORP	P	10/18/12	01/27/14
b	20 O'REILLY AUTOMOTIVE INC	P	10/18/12	01/27/14
c	3 PRICELINE.COM INC	P	10/18/12	01/27/14
d	55 QUALCOMM INC	P	10/18/12	01/27/14
e	95 UNITEDHEALTH GROUP INC	P	10/18/12	01/27/14
f	80 VF CORP	P	10/18/12	01/27/14
g	5 VISA INC - CLASS A SHRS	P	10/18/12	01/27/14
h	20 HARRIS CORP	P	10/09/12	01/27/14
i	35 MEDTRONIC INC	P	10/05/12	01/27/14
j	10 VISA INC - CLASS A SHRS	P	09/28/12	01/27/14
k	25 O'REILLY AUTOMOTIVE INC	P	09/21/12	01/27/14
l	102.973 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	09/20/12	01/27/14
m	10 QUALCOMM INC	P	09/20/12	01/27/14
n	20 VF CORP	P	09/20/12	01/27/14
o	130.502 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVNT	P	09/18/12	01/27/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,344.		2,282.	2,062.
b	2,664.		1,592.	1,072.
c	3,459.		1,739.	1,720.
d	4,039.		3,301.	738.
e	6,850.		5,342.	1,508.
f	4,647.		3,354.	1,293.
g	1,088.		708.	380.
h	1,378.		1,024.	354.
i	2,007.		1,564.	443.
j	2,175.		1,341.	834.
k	3,330.		2,105.	1,225.
l	1,211.		1,225.	<14.>
m	734.		643.	91.
n	1,162.		801.	361.
o	1,255.		1,261.	<6.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,062.
b			1,072.
c			1,720.
d			738.
e			1,508.
f			1,293.
g			380.
h			354.
i			443.
j			834.
k			1,225.
l			<14.>
m			91.
n			361.
o			<6.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	40 HARRIS CORP	P	09/13/12	01/27/14
b	110 PULTE GROUP INC	P	09/10/12	01/27/14
c	74.774 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	08/20/12	01/27/14
d	140 PULTE GROUP INC	P	08/20/12	01/27/14
e	20 COGNIZANT TECH SOLUTIONS-A	P	08/15/12	01/27/14
f	5 INTERCONTINENTALEXCHANGE GROUP	P	08/13/12	01/27/14
g	15 INTERCONTINENTALEXCHANGE GROUP	P	08/02/12	01/27/14
h	87.666 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	07/20/12	01/27/14
i	10 MCKESSON CORP	P	07/12/12	01/27/14
j	195.456 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT	P	06/20/12	01/27/14
k	103.281 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	06/20/12	01/27/14
l	20 OCEANEERING INTL INC	P	05/31/12	01/27/14
m	101.471 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	05/18/12	01/27/14
n	35 COGNIZANT TECH SOLUTIONS-A	P	05/10/12	01/27/14
o	15 LYONDELLBASELL INDU-CL A	P	05/04/12	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,756.		1,969.	787.
b 2,079.		1,608.	471.
c 879.		888.	<9.>
d 2,645.		1,819.	826.
e 1,925.		1,280.	645.
f 1,025.		660.	365.
g 3,076.		1,908.	1,168.
h 1,031.		1,043.	<12.>
i 1,737.		939.	798.
j 1,880.		1,699.	181.
k 1,215.		1,226.	<11.>
l 1,397.		922.	475.
m 1,193.		1,206.	<13.>
n 3,368.		2,116.	1,252.
o 1,137.		609.	528.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			787.
b			471.
c			<9.>
d			826.
e			645.
f			365.
g			1,168.
h			<12.>
i			798.
j			181.
k			<11.>
l			475.
m			<13.>
n			1,252.
o			528.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 COGNIZANT TECH SOLUTIONS-A	P	04/24/12	01/27/14
b	25 HEWLETT-PACKARD CO	P	03/28/12	01/27/14
c	1131.203 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	03/27/12	01/27/14
d	50 HEWLETT-PACKARD CO	P	03/15/12	01/27/14
e	25 LYONDELLBASELL INDU-CL A	P	03/14/12	01/27/14
f	10 VISA INC - CLASS A SHRS	P	03/13/12	01/27/14
g	30 EDISON INTERNATIONAL	P	03/08/12	01/27/14
h	25 HERSHEY CO/THE	P	02/23/12	01/27/14
i	10 BOEING CO/THE	P	02/16/12	01/27/14
j	25 HERSHEY CO/THE	P	02/14/12	01/27/14
k	75 KROGER CO	P	02/03/12	01/27/14
l	25 CIT GROUP INC	P	02/01/12	01/27/14
m	20 CIT GROUP INC	P	01/10/12	01/27/14
n	20 WALT DISNEY CO/THE	P	01/10/12	01/27/14
o	314.852 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT	P	12/27/11	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 962.		722.	240.
b 723.		591.	132.
c 13,303.		13,450.	<147.>
d 1,446.		1,224.	222.
e 1,896.		1,048.	848.
f 2,175.		1,166.	1,009.
g 1,419.		1,286.	133.
h 2,444.		1,511.	933.
i 1,377.		753.	624.
j 2,444.		1,511.	933.
k 2,705.		1,803.	902.
l 1,182.		975.	207.
m 945.		740.	205.
n 1,455.		791.	664.
o 3,029.		2,490.	539.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			240.
b			132.
c			<147.>
d			222.
e			848.
f			1,009.
g			133.
h			933.
i			624.
j			933.
k			902.
l			207.
m			205.
n			664.
o			539.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 HERSHEY CO/THE	P	12/22/11	01/27/14
b	35 LYONDELLBASELL INDU-CL A	P	12/21/11	01/27/14
c	30 ILLUMINA INC	P	12/15/11	01/27/14
d	75 HEWLETT-PACKARD CO	P	11/22/11	01/27/14
e	10 WALT DISNEY CO/THE	P	11/18/11	01/27/14
f	45 TRW AUTOMOTIVE HOLDINGS CORP	P	10/19/11	01/27/14
g	125 HEWLETT-PACKARD CO	P	08/22/11	01/27/14
h	30 FLOWERVE CORPORATION	P	08/11/11	01/27/14
i	15 SCHLUMBERGER LTD	P	05/18/11	01/27/14
j	24 FLOWERVE CORPORATION	P	05/06/11	01/27/14
k	1024.349 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	04/27/11	01/27/14
l	6 FLOWERVE CORPORATION	P	04/14/11	01/27/14
m	1070.529 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	03/01/11	01/27/14
n	854.03 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT	P	12/27/10	01/27/14
o	38 GILEAD SCIENCES INC	P	12/15/10	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 978.		610.	368.
b 2,654.		978.	1,676.
c 4,227.		823.	3,404.
d 2,169.		1,985.	184.
e 728.		356.	372.
f 3,285.		1,789.	1,496.
g 3,615.		3,080.	535.
h 2,180.		854.	1,326.
i 1,319.		1,261.	58.
j 1,744.		977.	767.
k 12,046.		12,200.	<154.>
l 436.		254.	182.
m 12,589.		12,750.	<161.>
n 8,216.		7,422.	794.
o 3,027.		707.	2,320.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			368.
b			1,676.
c			3,404.
d			184.
e			372.
f			1,496.
g			535.
h			1,326.
i			58.
j			767.
k			<154.>
l			182.
m			<161.>
n			794.
o			2,320.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	19058.484 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE IN	P	12/14/10	01/27/14
b	9272.997 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	01/27/14
c	111504.421 BERNSTEIN SHORT DURATION PLUS PORTFOLI	P	12/14/10	01/27/14
d	12 GILEAD SCIENCES INC	P	12/14/10	01/27/14
e	3 GOOGLE INC-CL A	P	12/14/10	01/27/14
f	10 SCHLUMBERGER LTD	P	12/14/10	01/27/14
g	7 CHIPOTLE MEXICAN GRILL-CL A	P	10/18/12	01/23/14
h	1 PRICELINE.COM INC	P	10/18/12	01/21/14
i	2 PRICELINE.COM INC	P	08/10/12	01/21/14
j	25 PHILIP MORRIS INTERNATIONAL	P	11/21/12	01/17/14
k	20 CELGENE CORP	P	08/21/12	01/17/14
l	22 PHILIP MORRIS INTERNATIONAL	P	07/05/12	01/17/14
m	1 INTUITIVE SURGICAL INC	P	12/21/12	01/10/14
n	10 SCHLUMBERGER LTD	P	12/14/10	01/10/14
o	5 SCHLUMBERGER LTD	P	12/14/10	01/10/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 183,343.		170,002.	13,341.
b 125,000.		126,113.	<1,113.>
c 1,311,292.		1,324,673.	<13,381.>
d 956.		223.	733.
e 3,329.		1,790.	1,539.
f 880.		820.	60.
g 3,521.		1,999.	1,522.
h 1,194.		580.	614.
i 2,388.		1,125.	1,263.
j 2,082.		2,218.	<136.>
k 3,350.		1,425.	1,925.
l 1,832.		1,958.	<126.>
m 389.		500.	<111.>
n 870.		820.	50.
o 435.		410.	25.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			13,341.
b			<1,113.>
c			<13,381.>
d			733.
e			1,539.
f			60.
g			1,522.
h			614.
i			1,263.
j			<136.>
k			1,925.
l			<126.>
m			<111.>
n			50.
o			25.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	17 ANSYS INC	P	07/09/12	01/09/14
b	8 ANSYS INC	P	06/28/12	01/09/14
c	15 ILLUMINA INC	P	12/15/11	01/09/14
d	172 OFFICE DEPOT INC	P	02/04/14	12/29/14
e	33 AMDOCS LTD	P	01/27/14	12/24/14
f	96 ALTRIA GROUP INC	P	01/27/14	12/23/14
g	62 BECTON DICKINSON & CO	P	01/27/14	12/23/14
h	36 DOVER CORP	P	11/26/14	12/16/14
i	25 DOVER CORP	P	10/08/14	12/16/14
j	25 DOVER CORP	P	10/02/14	12/16/14
k	50 DOVER CORP	P	06/12/14	12/16/14
l	100 DOVER CORP	P	04/23/14	12/16/14
m	10 CALIFORNIA RESOURCES CORP	P	08/18/14	12/05/14
n	26 CALIFORNIA RESOURCES CORP	P	07/01/14	12/05/14
o	27 AMERICAN EXPRESS CO	P	01/27/14	12/03/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,437.		995.	442.
b 676.		495.	181.
c 1,717.		412.	1,305.
d 1,505.		839.	666.
e 1,568.		1,396.	172.
f 4,843.		3,542.	1,301.
g 8,594.		6,650.	1,944.
h 2,536.		2,947.	<411.>
i 1,761.		1,938.	<177.>
j 1,761.		1,987.	<226.>
k 3,522.		4,436.	<914.>
l 7,043.		8,644.	<1,601.>
m 67.		101.	<34.>
n 173.		271.	<98.>
o 2,466.		2,329.	137.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			442.
b			181.
c			1,305.
d			666.
e			172.
f			1,301.
g			1,944.
h			<411.>
i			<177.>
j			<226.>
k			<914.>
l			<1,601.>
m			<34.>
n			<98.>
o			137.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	75 LINCOLN NATIONAL CORP	P	01/31/14	12/02/14
b	32 AMDOCS LTD	P	01/27/14	12/01/14
c	27 ASSURANT INC	P	02/03/14	11/26/14
d	18 F5 NETWORKS INC	P	02/26/14	11/14/14
e	14 MONSTER BEVERAGE CORP	P	02/12/14	11/14/14
f	13 BECTON DICKINSON & CO	P	01/27/14	11/14/14
g	25 ASSURANT INC	P	11/25/13	11/13/14
h	50 UNION PACIFIC CORP	P	01/27/14	11/12/14
i	25 EOG RESOURCES INC	P	06/20/14	10/23/14
j	50 EOG RESOURCES INC	P	06/05/14	10/23/14
k	50 HALLIBURTON CO	P	06/16/14	10/20/14
l	50 TWENTY-FIRST CENTURY FOX-A	P	08/19/14	10/17/14
m	225 TWENTY-FIRST CENTURY FOX-A	P	03/05/14	10/17/14
n	50 LINEAR TECHNOLOGY CORP	P	05/20/14	10/16/14
o	75 LINEAR TECHNOLOGY CORP	P	05/07/14	10/16/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,216.		3,601.	615.
b 1,547.		1,354.	193.
c 1,825.		1,742.	83.
d 2,314.		2,037.	277.
e 1,504.		1,012.	492.
f 1,679.		1,394.	285.
g 1,694.		1,600.	94.
h 6,033.		4,267.	1,766.
i 2,337.		2,929.	<592.>
j 4,675.		5,375.	<700.>
k 2,647.		3,364.	<717.>
l 1,641.		1,787.	<146.>
m 7,383.		7,643.	<260.>
n 1,964.		2,239.	<275.>
o 2,946.		3,326.	<380.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			615.
b			193.
c			83.
d			277.
e			492.
f			285.
g			94.
h			1,766.
i			<592.>
j			<700.>
k			<717.>
l			<146.>
m			<260.>
n			<275.>
o			<380.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 LINEAR TECHNOLOGY CORP	P	04/22/14	10/16/14
b	100 LINEAR TECHNOLOGY CORP	P	03/25/14	10/16/14
c	50 DISCOVERY COMMUNICATION-A	P	08/20/14	10/15/14
d	150 DISCOVERY COMMUNICATION-A	P	08/11/14	10/15/14
e	25 BECTON DICKINSON & CO	P	01/27/14	10/06/14
f	75 CBS CORP-CLASS B NON VOTING	P	09/08/14	10/03/14
g	25 COPA HOLDINGS SA-CLASS A	P	07/08/14	10/03/14
h	25 COPA HOLDINGS SA-CLASS A	P	06/25/14	10/03/14
i	75 LORILLARD INC	P	02/03/14	10/01/14
j	50 MCDONALD'S CORP	P	01/27/14	09/30/14
k	45 VIACOM INC-CLASS B	P	02/14/14	09/29/14
l	50 HERSHEY CO/THE	P	02/24/14	09/11/14
m	25 HERSHEY CO/THE	P	02/05/14	09/11/14
n	50 BERKSHIRE HATHAWAY INC-CL B	P	01/27/14	09/08/14
o	25 UNITED TECHNOLOGIES CORP	P	04/25/14	09/05/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,928.		4,655.	<727.>
b 3,928.		4,869.	<941.>
c 1,699.		2,232.	<533.>
d 5,098.		6,376.	<1,278.>
e 3,077.		2,681.	396.
f 3,956.		4,428.	<472.>
g 2,730.		3,585.	<855.>
h 2,730.		3,578.	<848.>
i 4,461.		3,630.	831.
j 4,750.		4,715.	35.
k 3,459.		3,861.	<402.>
l 4,604.		5,412.	<808.>
m 2,302.		2,513.	<211.>
n 6,916.		5,589.	1,327.
o 2,718.		2,937.	<219.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<727.>
b			<941.>
c			<533.>
d			<1,278.>
e			396.
f			<472.>
g			<855.>
h			<848.>
i			831.
j			35.
k			<402.>
l			<808.>
m			<211.>
n			1,327.
o			<219.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 TIME WARNER INC	P	01/27/14	09/05/14
b	25 UNION PACIFIC CORP	P	01/27/14	09/05/14
c	5 MEAD JOHNSON NUTRITION CO	P	11/20/13	08/26/14
d	20 MEAD JOHNSON NUTRITION CO	P	11/19/13	08/26/14
e	25 MEAD JOHNSON NUTRITION CO	P	11/15/13	08/26/14
f	50 HESS CORP	P	10/14/13	08/07/14
g	125 MONDELEZ INTERNATIONAL-W/I	P	04/28/14	08/04/14
h	45 PARKER HANNIFIN CORP	P	10/18/13	07/30/14
i	5 PARKER HANNIFIN CORP	P	10/03/13	07/30/14
j	25 EBAY INC	P	04/15/14	07/24/14
k	150 EBAY INC	P	02/28/14	07/24/14
l	50 VALERO ENERGY CORP	P	01/09/14	07/22/14
m	50 TIME WARNER INC	P	01/27/14	07/17/14
n	75 HASBRO INC	P	01/27/14	07/14/14
o	10 PARKER HANNIFIN CORP	P	10/03/13	07/14/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,848.		3,023.	825.
b	2,693.		2,133.	560.
c	477.		415.	62.
d	1,906.		1,663.	243.
e	2,383.		2,105.	278.
f	4,894.		4,049.	845.
g	4,547.		4,486.	61.
h	5,293.		5,041.	252.
i	588.		536.	52.
j	1,332.		1,338.	<6.>
k	7,994.		8,847.	<853.>
l	2,413.		2,635.	<222.>
m	4,301.		3,023.	1,278.
n	4,075.		3,842.	233.
o	1,232.		1,072.	160.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			825.
b			560.
c			62.
d			243.
e			278.
f			845.
g			61.
h			252.
i			52.
j			<6.>
k			<853.>
l			<222.>
m			1,278.
n			233.
o			160.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 PARKER HANNIFIN CORP	P	09/23/13	07/14/14
b	50 HERSHEY CO/THE	P	02/05/14	07/11/14
c	100 GANNETT CO	P	12/11/13	06/30/14
d	70 GANNETT CO	P	08/20/13	06/30/14
e	25 LORILLARD INC	P	02/03/14	06/27/14
f	50 HEALTH NET INC	P	04/28/14	06/25/14
g	25 VERTEX PHARMACEUTICALS INC	P	10/18/13	06/24/14
h	5 INTUITIVE SURGICAL INC	P	03/28/14	06/19/14
i	50 VERIZON COMMUNICATIONS INC	P	01/27/14	06/19/14
j	100 WAL-MART STORES INC	P	01/27/14	06/19/14
k	3 TIME INC	P	05/22/14	06/10/14
l	22 TIME INC	P	01/27/14	06/10/14
m	40 UNION PACIFIC CORP	P	01/27/14	06/10/14
n	25 MEAD JOHNSON NUTRITION CO	P	11/15/13	06/10/14
o	50 FEDEX CORP	P	01/27/14	06/06/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,848.		1,598.	250.
b 4,823.		5,026.	<203.>
c 3,112.		2,572.	540.
d 2,179.		1,744.	435.
e 1,534.		1,210.	324.
f 2,024.		1,686.	338.
g 2,333.		1,912.	421.
h 2,012.		2,174.	<162.>
i 2,471.		2,396.	75.
j 7,606.		7,415.	191.
k 69.		72.	<3.>
l 506.		456.	50.
m 4,075.		3,414.	661.
n 2,193.		2,105.	88.
o 7,146.		6,605.	541.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			250.
b			<203.>
c			540.
d			435.
e			324.
f			338.
g			421.
h			<162.>
i			75.
j			191.
k			<3.>
l			50.
m			661.
n			88.
o			541.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 NAVIENT CORP	P	04/16/14	05/20/14
b	50 MACY'S INC	P	10/02/13	05/08/14
c	100 VERIZON COMMUNICATIONS INC	P	01/27/14	05/05/14
d	5 ALLERGAN INC	P	05/14/13	05/02/14
e	5 ALLERGAN INC	P	05/09/13	05/02/14
f	175 CBS CORP-CLASS B NON VOTING	P	01/27/14	05/01/14
g	20 ALLERGAN INC	P	05/09/13	04/29/14
h	150 FISERV INC	P	01/27/14	04/28/14
i	20 POLARIS INDUSTRIES INC	P	08/09/13	04/25/14
j	25 HASBRO INC	P	01/27/14	04/24/14
k	135 REGAL ENTERTAINMENT GROUP-A	P	01/27/14	04/24/14
l	165 REGAL ENTERTAINMENT GROUP-A	P	05/13/13	04/24/14
m	100 FIDELITY NATIONAL INFORMATION	P	01/27/14	04/23/14
n	75 REYNOLDS AMERICAN INC	P	01/27/14	04/22/14
o	50 CBS CORP-CLASS B NON VOTING	P	01/27/14	04/21/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,800.		4,875.	<75.>
b	2,820.		2,197.	623.
c	4,717.		4,792.	<75.>
d	846.		520.	326.
e	846.		521.	325.
f	10,110.		10,174.	<64.>
g	3,323.		2,082.	1,241.
h	8,456.		8,449.	7.
i	2,682.		2,273.	409.
j	1,370.		1,281.	89.
k	2,578.		2,699.	<121.>
l	3,151.		3,087.	64.
m	5,310.		5,057.	253.
n	4,127.		3,691.	436.
o	2,994.		2,907.	87.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<75.>
b			623.
c			<75.>
d			326.
e			325.
f			<64.>
g			1,241.
h			7.
i			409.
j			89.
k			<121.>
l			64.
m			253.
n			436.
o			87.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 ALLERGAN INC	P	05/01/13	04/21/14
b	60 VERISK ANALYTICS INC-CL A	P	03/10/14	04/14/14
c	100 EATON CORP PLC	P	01/27/14	04/14/14
d	65 VERISK ANALYTICS INC-CL A	P	11/06/13	04/14/14
e	20 PARKER HANNIFIN CORP	P	09/23/13	03/27/14
f	20 LIBERTY MEDIA CORP	P	01/17/14	03/24/14
g	15 LIBERTY MEDIA CORP	P	10/30/13	03/24/14
h	20 LIBERTY MEDIA CORP	P	06/14/13	03/24/14
i	100 PULTE GROUP INC	P	08/08/13	03/06/14
j	100 PULTE GROUP INC	P	07/29/13	03/06/14
k	50 PULTE GROUP INC	P	07/24/13	03/06/14
l	50 REYNOLDS AMERICAN INC	P	01/27/14	03/04/14
m	25 WW GRAINGER INC	P	01/31/14	03/03/14
n	12 WW GRAINGER INC	P	04/04/13	03/03/14
o	3 WW GRAINGER INC	P	03/22/13	03/03/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,075.		1,493.	582.
b	3,443.		3,798.	<355.>
c	7,107.		7,211.	<104.>
d	3,730.		4,038.	<308.>
e	2,361.		2,130.	231.
f	2,675.		2,745.	<70.>
g	2,006.		2,258.	<252.>
h	2,675.		2,486.	189.
i	2,058.		1,594.	464.
j	2,058.		1,651.	407.
k	1,029.		958.	71.
l	2,750.		2,461.	289.
m	6,321.		5,879.	442.
n	3,034.		2,675.	359.
o	758.		672.	86.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			582.
b			<355.>
c			<104.>
d			<308.>
e			231.
f			<70.>
g			<252.>
h			189.
i			464.
j			407.
k			71.
l			289.
m			442.
n			359.
o			86.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	150 KINDER MORGAN INC	P	01/27/14	02/28/14
b	25 PULTE GROUP INC	P	07/24/13	02/28/14
c	75 PULTE GROUP INC	P	06/05/13	02/28/14
d	75 AMDOCS LTD	P	01/27/14	02/27/14
e	50 CHEVRON CORP	P	01/27/14	02/27/14
f	400 CONAGRA FOODS INC	P	01/27/14	02/27/14
g	45 INTL BUSINESS MACHINES CORP	P	01/27/14	02/27/14
h	50 WAL-MART STORES INC	P	01/27/14	02/27/14
i	50 GENERAL MOTORS CO	P	09/20/13	02/27/14
j	175 GENERAL MOTORS CO	P	09/18/13	02/27/14
k	8 LINKEDIN CORP - A	P	12/04/13	02/12/14
l	10 CELGENE CORP	P	07/10/13	02/03/14
m	35 ILLINOIS TOOL WORKS	P	05/17/13	01/31/14
n	40 ILLINOIS TOOL WORKS	P	05/07/13	01/31/14
o	35 ILLINOIS TOOL WORKS	P	03/22/13	01/31/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,789.		5,344.	<555.>
b 525.		479.	46.
c 1,576.		1,524.	52.
d 3,337.		3,173.	164.
e 5,776.		5,805.	<29.>
f 11,298.		13,146.	<1,848.>
g 8,276.		8,034.	242.
h 3,725.		3,707.	18.
i 1,820.		1,868.	<48.>
j 6,370.		6,579.	<209.>
k 1,543.		1,740.	<197.>
l 1,515.		1,248.	267.
m 2,769.		2,459.	310.
n 3,164.		2,660.	504.
o 2,769.		2,181.	588.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<555.>
b			46.
c			52.
d			164.
e			<29.>
f			<1,848.>
g			242.
h			18.
i			<48.>
j			<209.>
k			<197.>
l			267.
m			310.
n			504.
o			588.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 OFFICE DEPOT INC	P	01/21/14	01/27/14
b	1200 OFFICE DEPOT INC	P	01/21/14	01/27/14
c	70.752 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	01/17/14	01/27/14
d	15 MICHAEL KORS HOLDINGS LTD	P	01/14/14	01/27/14
e	30 QUINTILES TRANSNATIONAL HOLDIN	P	01/14/14	01/27/14
f	80 THERAVANCE INC. -	P	01/08/14	01/27/14
g	31.533 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	12/31/13	01/27/14
h	1330.801 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV	P	12/20/13	01/27/14
i	138.423 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	12/20/13	01/27/14
j	85 ING US INC	P	12/17/13	01/27/14
k	15 OCEANEERING INTL INC	P	12/12/13	01/27/14
l	45 QUINTILES TRANSNATIONAL HOLDIN	P	11/27/13	01/27/14
m	180 TWENTY-FIRST CENTURY FOX-A	P	11/27/13	01/27/14
n	170 GLAXOSMITHKLINE PLC-SPON AD	P	11/21/13	01/27/14
o	122.956 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	11/20/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 488.		497.	<9.>
b 5,853.		5,959.	<106.>
c 832.		832.	0.
d 1,217.		1,155.	62.
e 1,387.		1,476.	<89.>
f 2,950.		2,945.	5.
g 371.		371.	0.
h 12,802.		12,536.	266.
i 1,628.		1,628.	0.
j 2,906.		3,013.	<107.>
k 1,048.		1,149.	<101.>
l 2,080.		1,950.	130.
m 5,622.		6,021.	<399.>
n 9,064.		9,027.	37.
o 1,446.		1,447.	<1.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<9.>
b			<106.>
c			0.
d			62.
e			<89.>
f			5.
g			0.
h			266.
i			0.
j			<107.>
k			<101.>
l			130.
m			<399.>
n			37.
o			<1.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30 QUINTILES TRANSNATIONAL HOLDIN	P	11/20/13	01/27/14
b	25 AMETEK INC	P	11/19/13	01/27/14
c	40 CVS/CAREMARK CORP	P	11/15/13	01/27/14
d	40 AMETEK INC	P	11/11/13	01/27/14
e	40 AMETEK INC	P	11/06/13	01/27/14
f	300 XEROX CORP	P	11/05/13	01/27/14
g	10 COPA HOLDINGS SA-CLASS A	P	11/04/13	01/27/14
h	4 INTUITIVE SURGICAL INC	P	11/04/13	01/27/14
i	20 MONSTER BEVERAGE CORP	P	10/30/13	01/27/14
j	10 HERSHEY CO/THE	P	10/29/13	01/27/14
k	80 AMETEK INC	P	10/21/13	01/27/14
l	96.085 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	10/18/13	01/27/14
m	20 GREEN MOUNTAIN COFFEE ROASTE	P	10/18/13	01/27/14
n	100 LKQ CORP	P	10/18/13	01/27/14
o	25 UNITEDHEALTH GROUP INC	P	10/18/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,387.		1,277.	110.
b 1,242.		1,215.	27.
c 2,698.		2,618.	80.
d 1,988.		1,942.	46.
e 1,988.		1,933.	55.
f 3,201.		3,066.	135.
g 1,316.		1,516.	<200.>
h 1,650.		1,520.	130.
i 1,355.		1,163.	192.
j 978.		1,008.	<30.>
k 3,975.		3,717.	258.
l 1,130.		1,129.	1.
m 1,566.		1,287.	279.
n 2,640.		3,283.	<643.>
o 1,803.		1,724.	79.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			110.
b			27.
c			80.
d			46.
e			55.
f			135.
g			<200.>
h			130.
i			192.
j			<30.>
k			258.
l			1.
m			279.
n			<643.>
o			79.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	150 XEROX CORP	P	10/15/13	01/27/14
b	50 HESS CORP	P	10/14/13	01/27/14
c	45 EXPEDITORS INTL WASH INC	P	10/09/13	01/27/14
d	70 MONSTER BEVERAGE CORP	P	10/09/13	01/27/14
e	45 EXPEDITORS INTL WASH INC	P	10/07/13	01/27/14
f	35 LKQ CORP	P	10/03/13	01/27/14
g	4 METTLER-TOLEDO INTERNATL INC.	P	10/03/13	01/27/14
h	150 XEROX CORP	P	09/26/13	01/27/14
i	250 XEROX CORP	P	09/25/13	01/27/14
j	156.596 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	09/20/13	01/27/14
k	30 MARATHON PETROLEUM CORP	P	09/20/13	01/27/14
l	35 LKQ CORP	P	09/19/13	01/27/14
m	45 EXPEDITORS INTL WASH INC	P	09/18/13	01/27/14
n	119.447 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT	P	09/16/13	01/27/14
o	15 COPA HOLDINGS SA-CLASS A	P	09/09/13	01/27/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,600.		1,590.	10.
b	3,778.		4,049.	<271.>
c	1,868.		1,906.	<38.>
d	4,741.		3,775.	966.
e	1,868.		1,936.	<68.>
f	924.		1,140.	<216.>
g	972.		961.	11.
h	1,600.		1,554.	46.
i	2,667.		2,586.	81.
j	1,842.		1,838.	4.
k	2,479.		1,951.	528.
l	924.		1,120.	<196.>
m	1,868.		2,027.	<159.>
n	1,149.		1,185.	<36.>
o	1,973.		2,024.	<51.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			10.
b			<271.>
c			<38.>
d			966.
e			<68.>
f			<216.>
g			11.
h			46.
i			81.
j			4.
k			528.
l			<196.>
m			<159.>
n			<36.>
o			<51.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 UNITEDHEALTH GROUP INC	P	08/21/13	01/27/14
b	139.158 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	08/20/13	01/27/14
c	90 AMERICAN FINANCIAL GROUP INC	P	08/14/13	01/27/14
d	10 COPA HOLDINGS SA-CLASS A	P	08/09/13	01/27/14
e	60 UNITEDHEALTH GROUP INC	P	08/09/13	01/27/14
f	15 COPA HOLDINGS SA-CLASS A	P	08/01/13	01/27/14
g	25 EBAY INC	P	08/01/13	01/27/14
h	5 INTUITIVE SURGICAL INC	P	08/01/13	01/27/14
i	225 E*TRADE FINANCIAL CORP	P	07/25/13	01/27/14
j	5 INTUITIVE SURGICAL INC	P	07/22/13	01/27/14
k	126.159 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	07/19/13	01/27/14
l	2 INTUITIVE SURGICAL INC	P	07/19/13	01/27/14
m	9 COPA HOLDINGS SA-CLASS A	P	07/10/13	01/27/14
n	25 MICHAEL KORS HOLDINGS LTD	P	07/10/13	01/27/14
o	16 COPA HOLDINGS SA-CLASS A	P	07/05/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,442.		1,441.	1.
b 1,637.		1,632.	5.
c 4,925.		4,719.	206.
d 1,316.		1,387.	<71.>
e 4,326.		4,389.	<63.>
f 1,973.		2,122.	<149.>
g 1,330.		1,309.	21.
h 2,063.		1,970.	93.
i 4,584.		3,271.	1,313.
j 2,063.		1,981.	82.
k 1,484.		1,481.	3.
l 825.		756.	69.
m 1,184.		1,212.	<28.>
n 2,029.		1,601.	428.
o 2,105.		2,114.	<9.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			5.
c			206.
d			<71.>
e			<63.>
f			<149.>
g			21.
h			93.
i			1,313.
j			82.
k			3.
l			69.
m			<28.>
n			428.
o			<9.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a- 35 MICHAEL KORS HOLDINGS LTD		P	07/03/13	01/27/14
b 95 EDISON INTERNATIONAL		P	07/02/13	01/27/14
c 52 LIBERTY GLOBAL PLC-SERIES C		P	07/02/13	01/27/14
d 40 EDISON INTERNATIONAL		P	06/26/13	01/27/14
e 137.405 BERNSTEIN SHORT DURATION PLUS PORTFOLIO		P	06/20/13	01/27/14
f 280.648 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT		P	06/19/13	01/27/14
g 25 LIBERTY MEDIA CORP		P	06/14/13	01/27/14
h 25 ILLUMINA INC		P	06/06/13	01/27/14
i 75 FACEBOOK INC-A		P	06/05/13	01/27/14
j 25 QUINTILES TRANSNATIONAL HOLDIN		P	05/30/13	01/27/14
k 15 GREEN MOUNTAIN COFFEE ROASTE		P	05/28/13	01/27/14
l 85 QUINTILES TRANSNATIONAL HOLDIN		P	05/23/13	01/27/14
m 158.441 BERNSTEIN SHORT DURATION PLUS PORTFOLIO		P	05/20/13	01/27/14
n 21 LIBERTY GLOBAL PLC-A		P	05/20/13	01/27/14
o 15 LIBERTY GLOBAL PLC-SERIES C		P	05/20/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,840.		2,168.	672.
b 4,492.		4,423.	69.
c 4,054.		3,664.	390.
d 1,892.		1,880.	12.
e 1,616.		1,616.	0.
f 2,700.		2,826.	<126.>
g 3,350.		3,107.	243.
h 3,523.		1,705.	1,818.
i 4,016.		1,749.	2,267.
j 1,156.		1,107.	49.
k 1,174.		1,105.	69.
l 3,929.		3,810.	119.
m 1,863.		1,873.	<10.>
n 1,721.		1,601.	120.
o 1,170.		1,073.	97.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			672.
b			69.
c			390.
d			12.
e			0.
f			<126.>
g			243.
h			1,818.
i			2,267.
j			49.
k			69.
l			119.
m			<10.>
n			120.
o			97.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	45 AETNA INC	P	05/16/13	01/27/14
b	20 MARATHON PETROLEUM CORP	P	05/16/13	01/27/14
c	19 LIBERTY GLOBAL PLC-A	P	05/08/13	01/27/14
d	14 LIBERTY GLOBAL PLC-SERIES C	P	05/08/13	01/27/14
e	5 LIBERTY GLOBAL PLC-A	P	04/25/13	01/27/14
f	11 LIBERTY GLOBAL PLC-SERIES C	P	04/25/13	01/27/14
g	177.293 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	04/19/13	01/27/14
h	8 BLACKROCK INC	P	04/18/13	01/27/14
i	8 LIBERTY GLOBAL PLC-SERIES C	P	04/16/13	01/27/14
j	1280.642 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	04/12/13	01/27/14
k	6 METTLER-TOLEDO INTERNATL INC.	P	04/10/13	01/27/14
l	5 PARTNERRE LTD	P	04/09/13	01/27/14
m	50 AETNA INC	P	04/08/13	01/27/14
n	60 AETNA INC	P	04/03/13	01/27/14
o	115 FACEBOOK INC-A	P	04/03/13	01/27/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,111.		2,696.	415.
b	1,652.		1,581.	71.
c	1,557.		1,512.	45.
d	1,092.		1,048.	44.
e	410.		381.	29.
f	858.		787.	71.
g	2,085.		2,097.	<12.>
h	2,416.		2,002.	414.
i	624.		572.	52.
j	15,060.		15,150.	<90.>
k	1,459.		1,275.	184.
l	487.		461.	26.
m	3,457.		2,697.	760.
n	4,148.		3,282.	866.
o	6,158.		3,013.	3,145.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			415.
b			71.
c			45.
d			44.
e			29.
f			71.
g			<12.>
h			414.
i			52.
j			<90.>
k			184.
l			26.
m			760.
n			866.
o			3,145.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11 METTLER-TOLEDO INTERNATL INC.	P	04/03/13	01/27/14
b	230 VODAFONE GROUP PLC-SP ADR	P	04/03/13	01/27/14
c	70 VODAFONE GROUP PLC-SP ADR	P	04/02/13	01/27/14
d	1453.931 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	03/26/13	01/27/14
e	30 CAPITAL ONE FINANCIAL CORP	P	03/25/13	01/27/14
f	148.292 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	03/20/13	01/27/14
g	273.788 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVNT	P	03/18/13	01/27/14
h	50 EBAY INC	P	03/14/13	01/27/14
i	20 CAPITAL ONE FINANCIAL CORP	P	03/11/13	01/27/14
j	50 MARATHON PETROLEUM CORP	P	03/01/13	01/27/14
k	50 GREEN MOUNTAIN COFFEE ROASTE	P	02/28/13	01/27/14
l	1359.797 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	02/25/13	01/27/14
m	40 HALLIBURTON CO	P	02/21/13	01/27/14
n	155.947 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	02/20/13	01/27/14
o	25 AMPHENOL CORP-CL A	P	02/11/13	01/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,674.		2,299.	375.
b 8,506.		6,460.	2,046.
c 2,589.		2,056.	533.
d 17,098.		17,200.	<102.>
e 2,109.		1,630.	479.
f 1,744.		1,756.	<12.>
g 2,634.		2,828.	<194.>
h 2,661.		2,637.	24.
i 1,406.		1,088.	318.
j 4,131.		4,202.	<71.>
k 3,914.		2,376.	1,538.
l 15,991.		16,100.	<109.>
m 1,939.		1,633.	306.
n 1,834.		1,846.	<12.>
o 2,149.		1,762.	387.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			375.
b			2,046.
c			533.
d			<102.>
e			479.
f			<12.>
g			<194.>
h			24.
i			318.
j			<71.>
k			1,538.
l			<109.>
m			306.
n			<12.>
o			387.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 12 BLACKROCK INC		P	02/04/13	01/27/14
b 150 XEROX CORP		P	09/25/13	01/23/14
c 3 WW GRAINGER INC		P	03/22/13	01/21/14
d 4 WW GRAINGER INC		P	03/19/13	01/21/14
e 19 PHILIP MORRIS INTERNATIONAL		P	04/08/13	01/17/14
f 170 AT&T INC		P	06/06/13	01/13/14
g 3 INTUITIVE SURGICAL INC		P	07/19/13	01/10/14
h 5 INTUITIVE SURGICAL INC		P	04/22/13	01/10/14
i 5 CHUBB CORP		P	02/05/13	01/10/14
j 40 CHUBB CORP		P	02/04/13	01/10/14
k 80 LKQ CORP		P	08/12/13	01/09/14
l 80 AT&T INC		P	06/06/13	01/09/14
m 20 FACEBOOK INC-A		P	04/03/13	01/09/14
n 20 ILLINOIS TOOL WORKS		P	03/22/13	01/09/14
o 25 ILLINOIS TOOL WORKS		P	03/21/13	01/09/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,624.		2,817.	807.
b 1,782.		1,552.	230.
c 792.		672.	120.
d 1,057.		892.	165.
e 1,582.		1,786.	<204.>
f 5,692.		6,046.	<354.>
g 1,167.		1,134.	33.
h 1,945.		2,384.	<439.>
i 459.		414.	45.
j 3,670.		3,294.	376.
k 2,563.		2,449.	114.
l 2,692.		2,845.	<153.>
m 1,158.		524.	634.
n 1,642.		1,247.	395.
o 2,053.		1,574.	479.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			807.
b			230.
c			120.
d			165.
e			<204.>
f			<354.>
g			33.
h			<439.>
i			45.
j			376.
k			114.
l			<153.>
m			634.
n			395.
o			479.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 FACEBOOK INC-A	P	03/14/13	01/09/14
b	15 MARATHON PETROLEUM CORP	P	03/01/13	01/09/14
c	35 MARATHON PETROLEUM CORP	P	02/14/13	01/09/14
d	75 MARATHON PETROLEUM CORP	P	02/08/13	01/09/14
e	47,000 ONTARIO PROV CDA DEB ISIN CA683234UF36 DTD	P	12/14/12	03/11/14
f	11,950 MEXICAN BONOS DESARR BD SER M ISIN MX0MGO0	P	12/17/12	06/23/14
g	34,000 AT&T INC GLOBAL SR NT DTD 07/30/10 2.500%	P	11/30/12	07/15/14
h	50,000 AMERICA MOVIL S A B DE C V CO GTD SR NT ME	P	12/10/12	12/30/14
i	100,000 AMERICAN EXPRESS CR CORP SR UNSECD MTN DT	P	12/04/12	12/30/14
j	8,000 AMERICAN INTL GROUP INC SR UNSECD NT DTD 09	P	12/06/12	01/17/14
k	42,000 AMERICAN INTL GROUP INC SR UNSECD NT DTD 0	P	12/05/12	01/17/14
l	100,000 ANHEUSER BUSCH INBEV WORLDWIDE INC SR UNS	P	12/10/12	12/30/14
m	100,000 BP CAP MKTS PLC CO GTD NT UNITED KINGDOM	P	11/29/12	09/30/14
n	50,000 CVS CORP NT DTD 09/14/04 4.875% DUE 09/15/	P	12/04/12	09/15/14
o	50,000 COMCAST CORP NT DTD 05/15/03 5.300% DUE 01	P	12/04/12	01/15/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 869.		407.	462.
b 1,365.		1,261.	104.
c 3,186.		2,895.	291.
d 6,827.		6,051.	776.
e 42,259.		49,906.	<7,647.>
f 91,855.		96,779.	<4,924.>
g 34,808.		35,510.	<702.>
h 50,338.		53,313.	<2,975.>
i 100,433.		102,185.	<1,752.>
j 8,192.		8,460.	<268.>
k 43,010.		44,409.	<1,399.>
l 100,098.		100,454.	<356.>
m 102,473.		106,539.	<4,066.>
n 50,000.		53,852.	<3,852.>
o 50,000.		52,625.	<2,625.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			462.
b			104.
c			291.
d			776.
e			<7,647.>
f			<4,924.>
g			<702.>
h			<2,975.>
i			<1,752.>
j			<268.>
k			<1,399.>
l			<356.>
m			<4,066.>
n			<3,852.>
o			<2,625.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50,000 HEWLETT-PACKARD CO SR UNSECD NT DTD 02/26/	P	06/13/13	06/02/14
b	100,000 HOME DEPOT INC SR NT DTD 03/24/06 5.400%	P	11/29/12	09/30/14
c	50,000 MORGAN STANLEY SR UNSECD NT DTD 05/13/09 6	P	06/19/13	05/13/14
d	50,000 NEWS AMER INC BD DTD 12/03/04 5.300% DUE 1	P	06/13/13	12/15/14
e	50,000 PETROBAS INTL FIN CO SR UNSECD GTD GLOBAL	P	12/05/12	11/19/14
f	203,0004 UNITED STATES TREAS NT INFLATION INDEX D	P	09/25/14	12/11/14
g	25,000 UNITED STATES TREAS NT DTD 11/15/13 0.625%	P	12/23/13	01/09/14
h	25 ACCENTURE PLC CL A COM IRELAND	P	04/24/13	06/26/14
i	68 ACCENTURE PLC CL A COM IRELAND	P	01/07/13	06/26/14
j	58 ACCENTURE PLC CL A COM IRELAND	P	01/14/14	06/25/14
k	3 ACCENTURE PLC CL A COM IRELAND	P	05/29/13	06/25/14
l	32 ACCENTURE PLC CL A COM IRELAND	P	05/14/13	06/25/14
m	44 ACCENTURE PLC CL A COM IRELAND	P	04/24/13	06/25/14
n	32 ACCENTURE PLC CL A COM IRELAND	P	05/29/13	02/03/14
o	8 ACE LTD COM SWITZERLAND	P	09/25/14	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,000.		51,819.	<1,819.>
b 106,679.		115,089.	<8,410.>
c 50,000.		52,181.	<2,181.>
d 50,000.		53,340.	<3,340.>
e 50,025.		51,509.	<1,484.>
f 202,306.		203,957.	<1,651.>
g 24,877.		24,929.	<52.>
h 2,065.		1,947.	118.
i 5,616.		4,671.	945.
j 4,823.		4,753.	70.
k 249.		249.	0.
l 2,661.		2,700.	<39.>
m 3,659.		3,427.	232.
n 2,581.		2,659.	<78.>
o 833.		846.	<13.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,819.>
b			<8,410.>
c			<2,181.>
d			<3,340.>
e			<1,484.>
f			<1,651.>
g			<52.>
h			118.
i			945.
j			70.
k			0.
l			<39.>
m			232.
n			<78.>
o			<13.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	05/07/13	11/12/14
b	15 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	09/25/14	11/10/14
c	27 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	06/25/13	11/10/14
d	14 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	05/29/13	11/10/14
e	46 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	05/07/13	11/10/14
f	8 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLAN	P	09/25/14	10/07/14
g	41 AT&T INC COM	P	05/29/13	09/17/14
h	231 AT&T INC COM	P	01/07/13	09/17/14
i	52 ABBOTT LABS COM	P	05/29/13	02/13/14
j	112 ABBOTT LABS COM	P	04/24/13	02/13/14
k	104 ABBOTT LABS COM	P	01/07/13	02/13/14
l	8 ABBVIE INC COM	P	05/29/13	11/12/14
m	24 ABBVIE INC COM	P	04/24/13	11/12/14
n	144 ABBVIE INC COM	P	04/24/13	11/10/14
o	14 ABBVIE INC COM	P	04/24/13	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 851.		627.	224.
b 1,278.		1,655.	<377.>
c 2,301.		1,797.	504.
d 1,193.		929.	264.
e 3,920.		2,886.	1,034.
f 822.		883.	<61.>
g 1,417.		1,475.	<58.>
h 7,982.		8,177.	<195.>
i 1,933.		1,954.	<21.>
j 4,163.		4,102.	61.
k 3,866.		3,460.	406.
l 502.		352.	150.
m 1,506.		1,064.	442.
n 9,004.		6,383.	2,621.
o 792.		621.	171.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			224.
b			<377.>
c			504.
d			264.
e			1,034.
f			<61.>
g			<58.>
h			<195.>
i			<21.>
j			61.
k			406.
l			150.
m			442.
n			2,621.
o			171.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 ALTRIA GROUP INC COM	P	06/24/14	10/07/14
b	6 AMERICAN ELEC PWR INC COM	P	04/24/13	10/07/14
c	9 AMERICAN EXPRESS CO COM	P	04/24/13	10/07/14
d	33 AMERICAN EXPRESS CO COM	P	05/29/13	09/02/14
e	18 AMERICAN EXPRESS CO COM	P	04/24/13	09/02/14
f	21 AMGEN INC COM	P	01/14/14	10/07/14
g	24 APPLE INC COM	P	06/20/14	10/07/14
h	7 AUTOMATIC DATA PROCESSING INC COM	P	05/29/13	10/07/14
i	7 BP P L C SPONSORED ADR UNITED KINGDOM	P	03/26/14	10/07/14
j	3 BLACKROCK INC CL A	P	01/14/14	10/07/14
k	2 BLACKROCK INC CL A	P	12/31/13	10/07/14
l	2 BLACKROCK INC CL A	P	01/15/14	02/03/14
m	10 BLACKROCK INC CL A	P	01/14/14	02/03/14
n	13 BOEING CO COM	P	06/25/13	12/16/14
o	23 BOEING CO COM	P	05/29/13	12/16/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,137.		1,059.	78.
b 315.		305.	10.
c 770.		608.	162.
d 2,942.		2,507.	435.
e 1,605.		1,216.	389.
f 2,895.		2,472.	423.
g 2,370.		2,200.	170.
h 507.		428.	79.
i 301.		331.	<30.>
j 964.		931.	33.
k 643.		644.	<1.>
l 601.		624.	<23.>
m 3,007.		3,105.	<98.>
n 1,624.		1,282.	342.
o 2,873.		2,291.	582.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			78.
b			10.
c			162.
d			435.
e			389.
f			423.
g			170.
h			79.
i			<30.>
j			33.
k			<1.>
l			<23.>
m			<98.>
n			342.
o			582.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6 BOEING CO COM	P	04/24/13	12/16/14
b	16 BOEING CO COM	P	01/29/14	10/07/14
c	7 BOEING CO COM	P	05/29/13	10/07/14
d	397 BRISTOL MYERS SQUIBB CO COM	P	01/07/13	01/21/14
e	368 BRISTOL MYERS SQUIBB CO COM	P	04/24/13	01/17/14
f	57 BRISTOL MYERS SQUIBB CO COM	P	01/07/13	01/17/14
g	0.333 CDK GLOBAL INC COM	P	05/29/13	10/22/14
h	4 CME GROUP INC COM	P	05/29/13	10/07/14
i	7 CMS ENERGY CORP COM	P	04/24/13	10/07/14
j	16 CVS HEALTH CORP COM	P	08/27/14	10/07/14
k	0.4 CALIFORNIA RESOURCES CORP COM	P	01/07/13	01/12/15
l	35.2 CALIFORNIA RESOURCES CORP COM	P	06/24/14	01/12/15
m	5.6 CALIFORNIA RESOURCES CORP COM	P	04/24/13	01/12/15
n	17.2 CALIFORNIA RESOURCES CORP COM	P	01/07/13	01/12/15
o	10 CHEVRON CORP COM	P	05/22/13	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 749.		544.	205.
b 1,988.		2,070.	<82.>
c 870.		697.	173.
d 21,952.		13,279.	8,673.
e 20,477.		15,303.	5,174.
f 3,172.		1,907.	1,265.
g 9.		9.	0.
h 321.		269.	52.
i 209.		204.	5.
j 1,270.		1,270.	0.
k 2.		3.	<1.>
l 192.		317.	<125.>
m 31.		41.	<10.>
n 94.		119.	<25.>
o 1,167.		1,248.	<81.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			205.
b			<82.>
c			173.
d			8,673.
e			5,174.
f			1,265.
g			0.
h			52.
i			5.
j			0.
k			<1.>
l			<125.>
m			<10.>
n			<25.>
o			<81.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	34 CHEVRON CORP COM	P	05/29/13	06/27/14
b	5 CHEVRON CORP COM	P	05/22/13	06/27/14
c	5 CHUBB CORP COM	P	04/24/13	10/07/14
d	15 CISCO SYS INC COM	P	06/25/13	10/07/14
e	37 COMCAST CORP NEW CL A COM	P	09/11/14	10/07/14
f	3 DOMINION RES INC VA NEW COM	P	04/24/13	10/07/14
g	26 DOVER CORP COM	P	01/15/14	10/07/14
h	2 DOVER CORP COM	P	01/14/14	10/07/14
i	5 DU PONT E I DE NEMOURS & CO COM	P	05/29/13	10/07/14
j	2 DUKE ENERGY CORP NEW COM	P	04/24/13	10/07/14
k	12 EMC CORP COM	P	01/14/14	10/07/14
l	23 EMC CORP COM	P	07/17/13	10/07/14
m	217 EMC CORP COM	P	01/14/14	09/02/14
n	142 EMC CORP COM	P	01/14/14	02/03/14
o	17 EMERSON ELEC CO COM	P	05/29/13	12/16/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,523.		4,269.	254.
b 665.		624.	41.
c 456.		446.	10.
d 374.		364.	10.
e 1,931.		2,106.	<175.>
f 207.		184.	23.
g 2,060.		2,084.	<24.>
h 158.		159.	<1.>
i 351.		280.	71.
j 151.		150.	1.
k 339.		308.	31.
l 649.		584.	65.
m 6,387.		5,569.	818.
n 3,533.		3,644.	<111.>
o 1,050.		977.	73.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			254.
b			41.
c			10.
d			10.
e			<175.>
f			23.
g			<24.>
h			<1.>
i			71.
j			1.
k			31.
l			65.
m			818.
n			<111.>
o			73.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 42 EMERSON ELEC CO COM		P	04/24/13	12/16/14
b 41 EMERSON ELEC CO COM		P	01/07/13	12/16/14
c 4 EMERSON ELEC CO COM		P	05/29/13	10/07/14
d 17 EXXON MOBIL CORP COM		P	01/29/14	10/07/14
e 54 EXXON MOBIL CORP COM		P	05/29/13	01/17/14
f 47 EXXON MOBIL CORP COM		P	04/24/13	01/17/14
g 34 FIFTH THIRD BANCORP COM		P	06/26/13	09/02/14
h 325 FIFTH THIRD BANCORP COM		P	06/25/13	09/02/14
i 15 GENERAL MLS INC COM		P	03/25/14	10/07/14
j 0.375 HALYARD HEALTH INC COM		P	04/24/13	12/10/14
k 6 HANESBRANDS INC COM		P	05/07/14	10/07/14
l 21 HOME DEPOT INC COM		P	04/24/13	10/07/14
m 26 HOME DEPOT INC COM		P	01/29/14	09/16/14
n 17 HOME DEPOT INC COM		P	05/29/13	09/16/14
o 40 HOME DEPOT INC COM		P	04/24/13	09/16/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,593.		2,327.	266.
b 2,531.		2,249.	282.
c 247.		230.	17.
d 1,572.		1,630.	<58.>
e 5,345.		4,981.	364.
f 4,652.		4,220.	432.
g 690.		611.	79.
h 6,594.		5,808.	786.
i 750.		766.	<16.>
j 15.		13.	2.
k 637.		494.	143.
l 1,922.		1,542.	380.
m 2,317.		2,004.	313.
n 1,515.		1,352.	163.
o 3,564.		2,938.	626.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			266.
b			282.
c			17.
d			<58.>
e			364.
f			432.
g			79.
h			786.
i			<16.>
j			2.
k			143.
l			380.
m			313.
n			163.
o			626.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 HONEYWELL INTL INC COM	P	09/25/14	10/07/14
b	7 INTEL CORP COM	P	05/29/13	10/07/14
c	6 INTERNATIONAL BUSINESS MACHS COM	P	01/07/13	10/07/14
d	1 INTERNATIONAL BUSINESS MACHS COM	P	05/29/13	01/17/14
e	13 INTERNATIONAL BUSINESS MACHS COM	P	01/07/13	01/17/14
f	21 INTERNATIONAL BUSINESS MACHS COM	P	01/07/13	01/17/14
g	32 J P MORGAN CHASE & CO COM	P	08/27/14	10/07/14
h	12 JOHNSON & JOHNSON COM	P	05/29/13	10/07/14
i	4 KLA-TENCOR CORP COM	P	02/08/13	10/07/14
j	8 KIMBERLY CLARK CORP COM	P	04/24/13	10/07/14
k	13 KNOWLES CORP COM	P	01/15/14	03/17/14
l	11 KNOWLES CORP COM	P	01/14/14	03/17/14
m	11 KNOWLES CORP COM	P	05/29/13	03/17/14
n	21 KNOWLES CORP COM	P	04/24/13	03/17/14
o	21 KNOWLES CORP COM	P	01/07/13	03/17/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,365.		1,389.	<24.>
b 235.		170.	65.
c 1,119.		1,161.	<42.>
d 186.		208.	<22.>
e 2,416.		2,514.	<98.>
f 3,903.		4,062.	<159.>
g 1,888.		1,909.	<21.>
h 1,249.		1,029.	220.
i 304.		228.	76.
j 860.		832.	28.
k 377.		411.	<34.>
l 319.		345.	<26.>
m 319.		283.	36.
n 609.		485.	124.
o 609.		464.	145.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<24.>
b			65.
c			<42.>
d			<22.>
e			<98.>
f			<159.>
g			<21.>
h			220.
i			76.
j			28.
k			<34.>
l			<26.>
m			36.
n			124.
o			145.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	21 MACYS INC COM	P	08/07/13	11/10/14
b	13 MACYS INC COM	P	05/29/13	11/10/14
c	6 MACYS INC COM	P	05/29/13	10/07/14
d	7 MARSH & MCLENNAN COS INC COM	P	05/29/13	10/07/14
e	6 MCDONALDS CORP COM	P	04/24/13	10/07/14
f	44 MERCK & CO INC NEW COM	P	01/14/14	10/07/14
g	55 MERCK & CO INC NEW COM	P	01/14/14	02/03/14
h	52 METLIFE INC COM	P	05/06/14	11/10/14
i	73 METLIFE INC COM	P	05/05/14	11/10/14
j	23 METLIFE INC COM	P	05/05/14	10/07/14
k	21 MICROSOFT CORP COM	P	05/29/13	10/07/14
l	4 NEXTERA ENERGY INC COM	P	04/24/13	10/07/14
m	10 OCCIDENTAL PETE CORP DEL COM	P	06/24/14	10/07/14
n	2 PARKER HANNIFIN CORP COM	P	05/29/13	10/07/14
o	158 PFIZER INC COM	P	01/14/14	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,199.		1,007.	192.
b 742.		635.	107.
c 345.		293.	52.
d 362.		282.	80.
e 566.		607.	<41.>
f 2,581.		2,326.	255.
g 2,870.		2,907.	<37.>
h 2,825.		2,646.	179.
i 3,966.		3,764.	202.
j 1,200.		1,186.	14.
k 961.		733.	228.
l 376.		322.	54.
m 939.		1,035.	<96.>
n 222.		197.	25.
o 4,587.		4,900.	<313.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			192.
b			107.
c			52.
d			80.
e			<41.>
f			255.
g			<37.>
h			179.
i			202.
j			14.
k			228.
l			54.
m			<96.>
n			25.
o			<313.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	32 PHILIP MORRIS INTL INC COM	P	04/24/13	10/07/14
b	42 PHILLIPS 66 COM	P	09/06/13	12/16/14
c	14 PHILLIPS 66 COM	P	05/29/13	12/16/14
d	24 PHILLIPS 66 COM	P	04/24/13	12/16/14
e	6 PHILLIPS 66 COM	P	03/18/13	12/16/14
f	19 PHILLIPS 66 COM	P	03/15/13	12/16/14
g	4 PRICE T ROWE GROUP INC COM	P	05/29/13	10/07/14
h	9 PROCTER & GAMBLE CO COM	P	05/29/13	10/07/14
i	3 PUBLIC STORAGE INC COM (REIT)	P	09/25/14	10/07/14
j	27 RPM INTL INC COM	P	01/07/13	02/19/14
k	36 RPM INTL INC COM	P	01/07/13	02/18/14
l	25 RPM INTL INC COM	P	04/24/13	02/14/14
m	23 RPM INTL INC COM	P	01/07/13	02/14/14
n	61 RPM INTL INC COM	P	04/24/13	02/13/14
o	45 RPM INTL INC COM	P	05/29/13	02/12/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,675.		3,032.	<357.>
b 2,838.		2,431.	407.
c 946.		940.	6.
d 1,622.		1,486.	136.
e 405.		382.	23.
f 1,284.		1,228.	56.
g 307.		311.	<4.>
h 754.		711.	43.
i 498.		491.	7.
j 1,055.		821.	234.
k 1,416.		1,095.	321.
l 981.		784.	197.
m 902.		699.	203.
n 2,381.		1,913.	468.
o 1,750.		1,496.	254.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<357.>
b			407.
c			6.
d			136.
e			23.
f			56.
g			<4.>
h			43.
i			7.
j			234.
k			321.
l			197.
m			203.
n			468.
o			254.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 RPM INTL INC COM	P	04/24/13	02/12/14
b	29 RAYTHEON CO COM NEW	P	01/14/14	10/07/14
c	29 ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	P	02/11/14	10/07/14
d	4.628 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DU	P	05/29/13	10/07/14
e	0.372 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DU	P	05/17/13	10/07/14
f	10 SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	08/27/14	10/07/14
g	1 SEMPRA ENERGY COM	P	04/24/13	10/07/14
h	4 SHERWIN WILLIAMS CO COM	P	04/24/13	10/07/14
i	12 SHERWIN WILLIAMS CO COM	P	04/24/13	09/02/14
j	17 SHERWIN WILLIAMS CO COM	P	05/29/13	05/08/14
k	1 SHERWIN WILLIAMS CO COM	P	04/24/13	05/08/14
l	4 SIMON PPTY GROUP INC NEW COM	P	04/24/13	10/07/14
m	17 TJX COS INC NEW COM	P	04/24/13	05/09/14
n	53 TJX COS INC NEW COM	P	01/07/13	05/09/14
o	28 TJX COS INC NEW COM	P	05/29/13	05/08/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 39.		31.	8.
b 2,843.		2,641.	202.
c 1,056.		1,037.	19.
d 345.		313.	32.
e 28.		25.	3.
f 977.		1,110.	<133.>
g 105.		82.	23.
h 854.		725.	129.
i 2,603.		2,174.	429.
j 3,396.		3,235.	161.
k 200.		181.	19.
l 658.		665.	<7.>
m 977.		808.	169.
n 3,047.		2,356.	691.
o 1,629.		1,402.	227.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			202.
c			19.
d			32.
e			3.
f			<133.>
g			23.
h			129.
i			429.
j			161.
k			19.
l			<7.>
m			169.
n			691.
o			227.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	40 TJX COS INC NEW COM	P	04/24/13	05/08/14
b	4 TEXAS INSTRS INC COM	P	05/29/13	10/07/14
c	0.125 TIME INC NEW COM	P	01/07/13	06/27/14
d	5.75 TIME INC NEW COM	P	05/29/13	06/18/14
e	12.375 TIME INC NEW COM	P	04/24/13	06/18/14
f	11.875 TIME INC NEW COM	P	01/07/13	06/18/14
g	46 TIME WARNER INC NEW COM	P	05/29/13	09/02/14
h	11 TIME WARNER INC NEW COM	P	04/24/13	09/02/14
i	96 TIME WARNER INC NEW COM	P	01/07/13	09/02/14
j	88 TIME WARNER INC NEW COM	P	04/24/13	07/22/14
k	10 US BANCORP DEL COM NEW	P	05/29/13	10/07/14
l	11 UNITED PARCEL SVC INC CL B	P	09/25/14	10/07/14
m	3 V F CORP COM	P	05/29/13	10/07/14
n	79 VERIZON COMMUNICATIONS INC COM	P	04/24/13	12/16/14
o	18.152 VERIZON COMMUNICATIONS INC COM	P	04/24/13	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,327.		1,900.	427.
b 185.		145.	40.
c 3.		2.	1.
d 127.		111.	16.
e 273.		240.	33.
f 262.		188.	74.
g 3,534.		2,620.	914.
h 845.		631.	214.
i 7,375.		4,496.	2,879.
j 7,512.		5,048.	2,464.
k 411.		354.	57.
l 1,065.		1,064.	1.
m 197.		139.	58.
n 3,658.		4,085.	<427.>
o 897.		939.	<42.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			427.
b			40.
c			1.
d			16.
e			33.
f			74.
g			914.
h			214.
i			2,879.
j			2,464.
k			57.
l			1.
m			58.
n			<427.>
o			<42.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	0.848 VERIZON COMMUNICATIONS INC COM	P	04/01/13	10/07/14
b	0.848 VERIZON COMMUNICATIONS INC COM	P	04/24/13	03/19/14
c	0.455 VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR	P	10/21/13	03/13/14
d	161 VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR U	P	10/21/13	03/18/14
e	10 WAL-MART STORES INC COM	P	08/27/14	10/07/14
f	19 WAL-MART STORES INC COM	P	05/29/13	06/27/14
g	39 WAL-MART STORES INC COM	P	04/24/13	06/27/14
h	39 WAL-MART STORES INC COM	P	01/07/13	06/27/14
i	0.5 WASHINGTON PRIME GROUP INC REITS	P	03/15/13	08/21/14
j	4.5 WASHINGTON PRIME GROUP INC REITS	P	05/29/13	08/21/14
k	8 WASHINGTON PRIME GROUP INC REITS	P	04/24/13	08/21/14
l	2.5 WASHINGTON PRIME GROUP INC REITS	P	03/15/13	08/21/14
m	5 WASHINGTON PRIME GROUP INC REITS	P	01/07/13	08/21/14
n	6 WASTE MGMT INC DEL COM	P	05/29/13	10/07/14
o	9 WELLS FARGO & CO NEW COM	P	05/29/13	10/07/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42.		46.	<4.>
b 39.		44.	<5.>
c 17.		31.	<14.>
d 5,993.		10,886.	<4,893.>
e 766.		758.	8.
f 1,442.		1,449.	<7.>
g 2,959.		3,056.	<97.>
h 2,959.		2,669.	290.
i 10.		10.	0.
j 87.		92.	<5.>
k 155.		169.	<14.>
l 48.		48.	0.
m 97.		95.	2.
n 282.		252.	30.
o 461.		367.	94.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<4.>
b			<5.>
c			<14.>
d			<4,893.>
e			8.
f			<7.>
g			<97.>
h			290.
i			0.
j			<5.>
k			<14.>
l			0.
m			2.
n			30.
o			94.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	19 WESTAR ENERGY INC COM	P	01/07/13	01/22/14
b	38 WESTAR ENERGY INC COM	P	05/29/13	01/21/14
c	119 WESTAR ENERGY INC COM	P	01/07/13	01/21/14
d	38 WESTAR ENERGY INC COM	P	05/29/13	01/17/14
e	143 WESTAR ENERGY INC COM	P	04/24/13	01/17/14
f	6 WISCONSIN ENERGY CORP COM	P	04/24/13	10/07/14
g	35 ACCENTURE PLC CL A COM IRELAND	P	05/29/13	01/24/14
h	68 ACCENTURE PLC CL A COM IRELAND	P	04/24/13	01/24/14
i	68 ACCENTURE PLC CL A COM IRELAND	P	01/07/13	01/24/14
j	14 ACE LTD COM SWITZERLAND	P	05/29/13	01/24/14
k	32 ACE LTD COM SWITZERLAND	P	04/24/13	01/24/14
l	31 ACE LTD COM SWITZERLAND	P	01/07/13	01/24/14
m	27 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	06/25/13	01/24/14
n	13 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	05/29/13	01/24/14
o	55 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	05/07/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 619.		554.	65.
b 1,237.		1,194.	43.
c 3,873.		3,470.	403.
d 1,243.		1,194.	49.
e 4,677.		4,924.	<247.>
f 261.		264.	<3.>
g 2,966.		2,909.	57.
h 5,763.		5,297.	466.
i 5,763.		4,671.	1,092.
j 1,352.		1,249.	103.
k 3,089.		2,874.	215.
l 2,993.		2,519.	474.
m 2,186.		1,797.	389.
n 1,053.		862.	191.
o 4,454.		3,451.	1,003.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			65.
b			43.
c			403.
d			49.
e			<247.>
f			<3.>
g			57.
h			466.
i			1,092.
j			103.
k			215.
l			474.
m			389.
n			191.
o			1,003.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 41 AT&T INC COM		P	05/29/13	01/24/14
b 231 AT&T INC COM		P	01/07/13	01/24/14
c 56 ABBOTT LABS COM		P	05/29/13	01/24/14
d 111 ABBOTT LABS COM		P	04/24/13	01/24/14
e 103 ABBOTT LABS COM		P	01/07/13	01/24/14
f 88 ABBVIE INC COM		P	05/29/13	01/24/14
g 181 ABBVIE INC COM		P	04/24/13	01/24/14
h 73 ABBVIE INC COM		P	03/12/13	01/24/14
i 98 ABBVIE INC COM		P	01/07/13	01/24/14
j 3 ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS		P	09/22/14	12/31/14
k 55 ALTRIA GROUP INC COM		P	05/29/13	01/24/14
l 106 ALTRIA GROUP INC COM		P	04/24/13	01/24/14
m 103 ALTRIA GROUP INC COM		P	01/07/13	01/24/14
n 16 AMAZON COM INC COM		P	01/21/14	12/31/14
o 25 AMERICAN ELEC PWR INC COM		P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,373.		1,475.	<102.>
b 7,736.		8,177.	<441.>
c 2,188.		2,104.	84.
d 4,336.		4,065.	271.
e 4,024.		3,426.	598.
f 4,430.		3,872.	558.
g 9,111.		8,023.	1,088.
h 3,675.		2,776.	899.
i 4,933.		3,385.	1,548.
j 318.		269.	49.
k 2,051.		2,003.	48.
l 3,953.		3,784.	169.
m 3,841.		3,345.	496.
n 4,944.		6,486.	<1,542.>
o 1,181.		1,155.	26.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<102.>
b			<441.>
c			84.
d			271.
e			598.
f			558.
g			1,088.
h			899.
i			1,548.
j			49.
k			48.
l			169.
m			496.
n			<1,542.>
o			26.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	51 AMERICAN ELEC PWR INC COM	P	04/24/13	01/24/14
b	49 AMERICAN ELEC PWR INC COM	P	01/07/13	01/24/14
c	11 AMERICAN EXPRESS CO COM	P	04/24/13	12/31/14
d	33 AMERICAN EXPRESS CO COM	P	05/29/13	01/24/14
e	35 AMERICAN EXPRESS CO COM	P	04/24/13	01/24/14
f	55 AMERICAN INTL GROUP INC NEW COM	P	01/21/14	12/31/14
g	16 AMGEN INC COM	P	04/15/14	12/31/14
h	16 AMGEN INC COM	P	05/29/13	01/24/14
i	79 AMGEN INC COM	P	04/24/13	01/24/14
j	19 APACHE CORP COM	P	01/21/14	12/31/14
k	21 APACHE CORP COM	P	01/21/14	08/15/14
l	77 APACHE CORP COM	P	01/21/14	04/21/14
m	65 APPLE INC COM	P	01/21/14	12/31/14
n	14 APPLE INC COM	P	01/21/14	05/27/14
o	30 AUTOMATIC DATA PROCESSING INC COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,409.		2,595.	<186.>
b 2,315.		2,125.	190.
c 1,041.		743.	298.
d 2,986.		2,507.	479.
e 3,167.		2,364.	803.
f 3,112.		2,783.	329.
g 2,612.		1,822.	790.
h 1,909.		1,677.	232.
i 9,424.		8,294.	1,130.
j 1,212.		1,607.	<395.>
k 2,065.		1,777.	288.
l 6,375.		6,514.	<139.>
m 7,399.		5,103.	2,296.
n 8,443.		7,694.	749.
o 2,411.		2,100.	311.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<186.>
b			190.
c			298.
d			479.
e			803.
f			329.
g			790.
h			232.
i			1,130.
j			<395.>
k			288.
l			<139.>
m			2,296.
n			749.
o			311.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	61 AUTOMATIC DATA PROCESSING INC COM	P	04/24/13	01/24/14
b	61 AUTOMATIC DATA PROCESSING INC COM	P	01/07/13	01/24/14
c	16 AUTOZONE INC COM	P	01/21/14	04/21/14
d	7 BLACKROCK INC CL A	P	05/29/13	01/24/14
e	15 BLACKROCK INC CL A	P	04/24/13	01/24/14
f	14 BLACKROCK INC CL A	P	01/07/13	01/24/14
g	13 BOEING CO COM	P	06/25/13	01/24/14
h	30 BOEING CO COM	P	05/29/13	01/24/14
i	51 BOEING CO COM	P	04/24/13	01/24/14
j	56 BOEING CO COM	P	01/07/13	01/24/14
k	229 BROADRIDGE FINL SOLUTIONS INC COM	P	01/21/14	01/28/14
l	22 CME GROUP INC COM	P	05/29/13	01/24/14
m	50 CME GROUP INC COM	P	04/24/13	01/24/14
n	48 CME GROUP INC COM	P	01/07/13	01/24/14
o	34 CMS ENERGY CORP COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,902.		4,073.	829.
b 4,902.		3,591.	1,311.
c 8,215.		8,063.	152.
d 2,233.		1,994.	239.
e 4,785.		3,880.	905.
f 4,466.		3,042.	1,424.
g 1,842.		1,282.	560.
h 4,250.		2,988.	1,262.
i 7,225.		4,620.	2,605.
j 7,933.		4,253.	3,680.
k 8,703.		8,784.	<81.>
l 1,670.		1,481.	189.
m 3,796.		3,011.	785.
n 3,644.		2,569.	1,075.
o 905.		922.	<17.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			829.
b			1,311.
c			152.
d			239.
e			905.
f			1,424.
g			560.
h			1,262.
i			2,605.
j			3,680.
k			<81.>
l			189.
m			785.
n			1,075.
o			<17.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 65 CMS ENERGY CORP COM		P	04/24/13	01/24/14
b 63 CMS ENERGY CORP COM		P	01/07/13	01/24/14
c 24 CABOT OIL & GAS CORP COM		P	03/28/14	12/31/14
d 0.6 CALIFORNIA RESOURCES CORP COM		P	01/07/13	01/12/15
e 62.8 CALIFORNIA RESOURCES CORP COM		P	01/21/14	01/12/15
f 5.6 CALIFORNIA RESOURCES CORP COM		P	04/24/13	01/12/15
g 16.6 CALIFORNIA RESOURCES CORP COM		P	01/07/13	01/12/15
h 25 CARMAX INC COM		P	10/23/14	12/31/14
i 10 CATERPILLAR INC COM		P	01/21/14	12/31/14
j 5 CHEVRON CORP COM		P	01/07/13	12/31/14
k 33 CHEVRON CORP COM		P	05/29/13	01/24/14
l 67 CHEVRON CORP COM		P	04/24/13	01/24/14
m 1 CHEVRON CORP COM		P	01/07/13	01/24/14
n 23 CHUBB CORP COM		P	05/29/13	01/24/14
o 48 CHUBB CORP COM		P	04/24/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,730.		1,898.	<168.>
b 1,677.		1,567.	110.
c 720.		816.	<96.>
d 3.		4.	<1.>
e 426.		492.	<66.>
f 38.		41.	<3.>
g 113.		115.	<2.>
h 1,692.		1,318.	374.
i 943.		904.	39.
j 566.		548.	18.
k 3,973.		4,143.	<170.>
l 8,066.		7,944.	122.
m 120.		110.	10.
n 2,059.		2,006.	53.
o 4,297.		4,278.	19.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<168.>
b			110.
c			<96.>
d			<1.>
e			<66.>
f			<3.>
g			<2.>
h			374.
i			39.
j			18.
k			<170.>
l			122.
m			10.
n			53.
o			19.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	48 CHUBB CORP COM	P	01/07/13	01/24/14
b	149 CISCO SYS INC COM	P	01/21/14	02/19/14
c	104 CISCO SYS INC COM	P	06/25/13	02/19/14
d	71 CISCO SYS INC COM	P	05/29/13	02/19/14
e	201 CISCO SYS INC COM	P	04/24/13	02/19/14
f	183 CISCO SYS INC COM	P	03/07/13	02/19/14
g	50 CITIGROUP INC NEW COM	P	08/12/14	12/31/14
h	152 COMCAST CORP NEW CL A COM	P	11/01/13	01/24/14
i	151 CONOCOPHILLIPS COM	P	09/06/13	01/24/14
j	7 DANAHER CORP DEL COM	P	09/19/14	12/31/14
k	7 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	12/31/14
l	14 DOMINION RES INC VA NEW COM	P	05/29/13	01/24/14
m	28 DOMINION RES INC VA NEW COM	P	04/24/13	01/24/14
n	27 DOMINION RES INC VA NEW COM	P	01/07/13	01/24/14
o	22 DOVER CORP COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,297.		3,710.	587.
b 3,283.		3,397.	<114.>
c 2,292.		2,521.	<229.>
d 1,564.		1,720.	<156.>
e 4,429.		4,110.	319.
f 4,032.		4,011.	21.
g 2,731.		2,424.	307.
h 8,112.		7,380.	732.
i 10,275.		10,358.	<83.>
j 609.		558.	51.
k 818.		921.	<103.>
l 935.		799.	136.
m 1,870.		1,713.	157.
n 1,803.		1,421.	382.
o 2,116.		1,719.	397.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			587.
b			<114.>
c			<229.>
d			<156.>
e			319.
f			21.
g			307.
h			732.
i			<83.>
j			51.
k			<103.>
l			136.
m			157.
n			382.
o			397.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	42 DOVER CORP COM	P	04/24/13	01/24/14
b	41 DOVER CORP COM	P	01/07/13	01/24/14
c	38 DU PONT E I DE NEMOURS & CO COM	P	05/29/13	01/24/14
d	83 DU PONT E I DE NEMOURS & CO COM	P	04/24/13	01/24/14
e	81 DU PONT E I DE NEMOURS & CO COM	P	01/07/13	01/24/14
f	12 DUKE ENERGY CORP NEW COM	P	05/29/13	01/24/14
g	25 DUKE ENERGY CORP NEW COM	P	04/24/13	01/24/14
h	23 DUKE ENERGY CORP NEW COM	P	01/08/13	01/24/14
i	105 EMC CORP COM	P	01/06/14	12/31/14
j	23 EBAY INC COM	P	09/30/14	12/31/14
k	20 EMERSON ELEC CO COM	P	05/29/13	01/24/14
l	42 EMERSON ELEC CO COM	P	04/24/13	01/24/14
m	41 EMERSON ELEC CO COM	P	01/07/13	01/24/14
n	9 EXXON MOBIL CORP COM	P	04/01/14	12/31/14
o	54 EXXON MOBIL CORP COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,040.		2,944.	1,096.
b 3,943.		2,747.	1,196.
c 2,431.		2,129.	302.
d 5,311.		4,371.	940.
e 5,183.		3,714.	1,469.
f 822.		804.	18.
g 1,711.		1,870.	<159.>
h 1,575.		1,494.	81.
i 3,242.		2,841.	401.
j 1,313.		1,310.	3.
k 1,394.		1,149.	245.
l 2,928.		2,327.	601.
m 2,859.		2,249.	610.
n 838.		878.	<40.>
o 5,333.		4,981.	352.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,096.
b			1,196.
c			302.
d			940.
e			1,469.
f			18.
g			<159.>
h			81.
i			401.
j			3.
k			245.
l			601.
m			610.
n			<40.>
o			352.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	111 EXXON MOBIL CORP COM	P	04/24/13	01/24/14
b	31 EXXON MOBIL CORP COM	P	01/07/13	01/24/14
c	51 FACEBOOK INC CL A COM	P	03/11/14	12/31/14
d	8 FACEBOOK INC CL A COM	P	02/28/14	12/31/14
e	77 FACTSET RESH SYS INC COM	P	01/21/14	03/21/14
f	33 FIFTH THIRD BANCORP COM	P	06/26/13	01/24/14
g	324 FIFTH THIRD BANCORP COM	P	06/25/13	01/24/14
h	67 GENERAL ELEC CO COM	P	01/21/14	12/31/14
i	27 GOLDMAN SACHS GROUP INC COM	P	01/21/14	12/31/14
j	5 GOOGLE INC CL A COM	P	01/21/14	12/31/14
k	5 GOOGLE INC COM CL C	P	01/21/14	12/31/14
l	16 HOME DEPOT INC COM	P	05/29/13	01/24/14
m	164 HOME DEPOT INC COM	P	04/24/13	01/24/14
n	153 HOME DEPOT INC COM	P	01/07/13	01/24/14
o	35 HONEYWELL INTL INC COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,963.		9,967.	996.
b 3,062.		2,717.	345.
c 4,142.		3,636.	506.
d 650.		545.	105.
e 8,700.		8,324.	376.
f 719.		593.	126.
g 7,057.		5,790.	1,267.
h 1,727.		1,758.	<31.>
i 5,289.		4,665.	624.
j 2,714.		2,904.	<190.>
k 2,668.		2,895.	<227.>
l 1,290.		1,272.	18.
m 13,227.		12,046.	1,181.
n 12,340.		9,623.	2,717.
o 3,165.		2,766.	399.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			996.
b			345.
c			506.
d			105.
e			376.
f			126.
g			1,267.
h			<31.>
i			624.
j			<190.>
k			<227.>
l			18.
m			1,181.
n			2,717.
o			399.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	71 HONEYWELL INTL INC COM	P	04/24/13	01/24/14
b	68 HONEYWELL INTL INC COM	P	01/07/13	01/24/14
c	9 IHS INC CL A COM	P	01/21/14	12/31/14
d	106 INTEL CORP COM	P	04/24/13	05/27/14
e	222 INTEL CORP COM	P	01/07/13	05/27/14
f	59 INTEL CORP COM	P	04/24/13	04/24/14
g	79 INTEL CORP COM	P	05/29/13	04/02/14
h	67 INTEL CORP COM	P	04/24/13	04/02/14
i	26 INTEL CORP COM	P	05/29/13	01/24/14
j	1 INTERNATIONAL BUSINESS MACHS COM	P	05/29/13	01/24/14
k	50 INTERNATIONAL BUSINESS MACHS COM	P	04/24/13	01/24/14
l	47 INTERNATIONAL BUSINESS MACHS COM	P	01/07/13	01/24/14
m	95 J P MORGAN CHASE & CO COM	P	01/21/14	12/31/14
n	21 J P MORGAN CHASE & CO COM	P	01/21/14	02/19/14
o	54 JOHNSON & JOHNSON COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,421.		5,322.	1,099.
b 6,150.		4,520.	1,630.
c 1,067.		1,053.	14.
d 2,763.		2,520.	243.
e 5,787.		4,722.	1,065.
f 1,587.		1,403.	184.
g 2,021.		1,919.	102.
h 1,714.		1,593.	121.
i 663.		632.	31.
j 189.		208.	<19.>
k 9,438.		9,611.	<173.>
l 8,872.		9,091.	<219.>
m 5,958.		5,507.	451.
n 1,219.		1,217.	2.
o 5,052.		4,630.	422.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,099.
b			1,630.
c			14.
d			243.
e			1,065.
f			184.
g			102.
h			121.
i			31.
j			<19.>
k			<173.>
l			<219.>
m			451.
n			2.
o			422.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	112 JOHNSON & JOHNSON COM	P	04/24/13	01/24/14
b	106 JOHNSON & JOHNSON COM	P	01/07/13	01/24/14
c	26 KLA-TENCOR CORP COM	P	05/29/13	01/24/14
d	57 KLA-TENCOR CORP COM	P	04/24/13	01/24/14
e	12 KLA-TENCOR CORP COM	P	02/08/13	01/24/14
f	42 KLA-TENCOR CORP COM	P	02/07/13	01/24/14
g	27 KIMBERLY CLARK CORP COM	P	05/29/13	01/24/14
h	53 KIMBERLY CLARK CORP COM	P	04/24/13	01/24/14
i	51 KIMBERLY CLARK CORP COM	P	01/07/13	01/24/14
j	85 L-3 COMMUNICATIONS HLDGS INC COM	P	01/21/14	09/24/14
k	82 LENNAR CORP COM	P	01/21/14	12/31/14
l	179 LENNAR CORP COM	P	01/21/14	11/05/14
m	9 LINKEDIN CORP CL A COM	P	05/21/14	12/31/14
n	37 LOWES COS INC COM	P	01/21/14	12/31/14
o	113 MACYS INC COM	P	08/07/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,478.		9,478.	1,000.
b 9,917.		7,562.	2,355.
c 1,627.		1,448.	179.
d 3,566.		3,158.	408.
e 751.		683.	68.
f 2,628.		2,370.	258.
g 2,870.		2,705.	165.
h 5,633.		5,510.	123.
i 5,420.		4,314.	1,106.
j 9,745.		9,203.	542.
k 3,583.		3,044.	539.
l 7,707.		6,644.	1,063.
m 2,120.		1,369.	751.
n 2,503.		1,762.	741.
o 6,271.		5,421.	850.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,000.
b			2,355.
c			179.
d			408.
e			68.
f			258.
g			165.
h			123.
i			1,106.
j			542.
k			539.
l			1,063.
m			751.
n			741.
o			850.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 MACYS INC COM	P	05/29/13	01/24/14
b	36 MACYS INC COM	P	04/24/13	01/24/14
c	35 MACYS INC COM	P	01/07/13	01/24/14
d	41 MARSH & MCLENNAN COS INC COM	P	05/29/13	01/24/14
e	91 MARSH & MCLENNAN COS INC COM	P	04/24/13	01/24/14
f	86 MARSH & MCLENNAN COS INC COM	P	01/07/13	01/24/14
g	17 MCDONALDS CORP COM	P	04/24/13	12/31/14
h	12 MCDONALDS CORP COM	P	04/24/13	01/24/14
i	25 MEDTRONIC INC COM	P	01/21/14	12/31/14
j	39 MERCK & CO INC NEW COM	P	04/24/13	12/31/14
k	52 MERCK & CO INC NEW COM	P	04/24/13	01/24/14
l	16 METLIFE INC COM	P	05/29/13	01/24/14
m	36 METLIFE INC COM	P	04/24/13	01/24/14
n	36 METLIFE INC COM	P	01/07/13	01/24/14
o	139 MICROSOFT CORP COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 999.		880.	119.
b 1,998.		1,583.	415.
c 1,942.		1,319.	623.
d 1,989.		1,654.	335.
e 4,414.		3,405.	1,009.
f 4,171.		3,037.	1,134.
g 1,610.		1,720.	<110.>
h 1,142.		1,214.	<72.>
i 1,846.		1,482.	364.
j 2,256.		1,877.	379.
k 2,698.		2,502.	196.
l 845.		707.	138.
m 1,902.		1,385.	517.
n 1,902.		1,288.	614.
o 5,035.		4,853.	182.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			119.
b			415.
c			623.
d			335.
e			1,009.
f			1,134.
g			<110.>
h			<72.>
i			364.
j			379.
k			196.
l			138.
m			517.
n			614.
o			182.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	270 MICROSOFT CORP COM	P	04/24/13	01/24/14
b	262 MICROSOFT CORP COM	P	01/07/13	01/24/14
c	41 MORGAN STANLEY COM	P	01/21/14	12/31/14
d	208 MORGAN STANLEY COM	P	01/21/14	06/09/14
e	196 MORGAN STANLEY COM	P	01/21/14	04/04/14
f	1 NVR INC COM	P	01/21/14	12/31/14
g	151 NATIONAL OILWELL VARCO INC COM	P	01/21/14	10/03/14
h	17 NEXTERA ENERGY INC COM	P	05/29/13	01/24/14
i	32 NEXTERA ENERGY INC COM	P	04/24/13	01/24/14
j	31 NEXTERA ENERGY INC COM	P	01/07/13	01/24/14
k	17 NOVARTIS A G SPONSORED ADR SWITZERLAND	P	01/21/14	12/31/14
l	0.75 NOW INC COM	P	01/21/14	06/30/14
m	37 NOW INC COM	P	01/21/14	06/20/14
n	26 OCCIDENTAL PETE CORP DEL COM	P	01/21/14	12/31/14
o	129 OMNICOM GROUP INC COM	P	01/21/14	02/19/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,780.		8,580.	1,200.
b 9,490.		7,001.	2,489.
c 1,591.		1,338.	253.
d 6,411.		6,790.	<379.>
e 6,093.		6,398.	<305.>
f 1,230.		1,018.	212.
g 11,487.		10,446.	1,041.
h 1,509.		1,288.	221.
i 2,840.		2,578.	262.
j 2,752.		2,189.	563.
k 1,601.		1,386.	215.
l 27.		23.	4.
m 1,218.		1,151.	67.
n 2,125.		2,257.	<132.>
o 9,522.		9,585.	<63.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,200.
b			2,489.
c			253.
d			<379.>
e			<305.>
f			212.
g			1,041.
h			221.
i			262.
j			563.
k			215.
l			4.
m			67.
n			<132.>
o			<63.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	48 ORACLE CORP COM	P	01/21/14	12/31/14
b	141 ORACLE CORP COM	P	01/21/14	10/03/14
c	27 PNC FINL SVCS GROUP INC COM	P	05/29/13	01/24/14
d	63 PNC FINL SVCS GROUP INC COM	P	04/24/13	01/24/14
e	60 PNC FINL SVCS GROUP INC COM	P	01/07/13	01/24/14
f	11 PARKER HANNIFIN CORP COM	P	05/29/13	01/24/14
g	23 PARKER HANNIFIN CORP COM	P	04/24/13	01/24/14
h	22 PARKER HANNIFIN CORP COM	P	01/07/13	01/24/14
i	17 PEPSICO INC COM	P	01/21/14	12/31/14
j	55 PEPSICO INC COM	P	01/21/14	10/28/14
k	166 PFIZER INC COM	P	05/29/13	01/24/14
l	334 PFIZER INC COM	P	04/24/13	01/24/14
m	330 PFIZER INC COM	P	01/07/13	01/24/14
n	6 PHILIP MORRIS INTL INC COM	P	01/07/13	12/31/14
o	46 PHILIP MORRIS INTL INC COM	P	09/20/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,218.		1,826.	392.
b 5,399.		5,364.	35.
c 2,271.		1,942.	329.
d 5,298.		4,307.	991.
e 5,046.		3,659.	1,387.
f 1,394.		1,084.	310.
g 2,914.		2,056.	858.
h 2,787.		1,939.	848.
i 1,653.		1,411.	242.
j 5,177.		4,564.	613.
k 5,180.		4,706.	474.
l 10,422.		10,269.	153.
m 10,297.		8,579.	1,718.
n 501.		517.	<16.>
o 3,863.		4,163.	<300.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			392.
b			35.
c			329.
d			991.
e			1,387.
f			310.
g			858.
h			848.
i			242.
j			613.
k			474.
l			153.
m			1,718.
n			<16.>
o			<300.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	66 PHILIP MORRIS INTL INC COM	P	05/29/13	01/24/14
b	83 PHILIP MORRIS INTL INC COM	P	04/24/13	01/24/14
c	15 PHILIP MORRIS INTL INC COM	P	01/07/13	01/24/14
d	27 PHILIP MORRIS INTL INC COM	P	01/07/13	01/24/14
e	41 PHILLIPS 66 COM	P	09/06/13	01/24/14
f	13 PHILLIPS 66 COM	P	05/29/13	01/24/14
g	25 PHILLIPS 66 COM	P	04/24/13	01/24/14
h	5 PHILLIPS 66 COM	P	03/18/13	01/24/14
i	20 PHILLIPS 66 COM	P	03/15/13	01/24/14
j	21 PRICE T ROWE GROUP INC COM	P	04/01/14	12/31/14
k	32 PROCTER & GAMBLE CO COM	P	05/29/13	01/24/14
l	94 PROCTER & GAMBLE CO COM	P	04/24/13	01/24/14
m	20 PROCTER & GAMBLE CO COM	P	03/15/13	01/24/14
n	60 PROCTER & GAMBLE CO COM	P	01/07/13	01/24/14
o	15 PRUDENTIAL FINL INC COM	P	01/21/14	12/31/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,542.		6,079.	<537.>
b	6,970.		7,864.	<894.>
c	1,260.		1,292.	<32.>
d	2,267.		2,326.	<59.>
e	3,154.		2,373.	781.
f	1,000.		873.	127.
g	1,923.		1,548.	375.
h	385.		318.	67.
i	1,538.		1,292.	246.
j	1,837.		1,746.	91.
k	2,568.		2,530.	38.
l	7,544.		7,320.	224.
m	1,605.		1,540.	65.
n	4,816.		4,110.	706.
o	1,371.		1,354.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<537.>
b			<894.>
c			<32.>
d			<59.>
e			781.
f			127.
g			375.
h			67.
i			246.
j			91.
k			38.
l			224.
m			65.
n			706.
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	61 PRUDENTIAL FINL INC COM	P	01/21/14	04/02/14
b	7 PUBLIC STORAGE INC COM (REIT)	P	05/29/13	01/24/14
c	14 PUBLIC STORAGE INC COM (REIT)	P	04/24/13	01/24/14
d	14 PUBLIC STORAGE INC COM (REIT)	P	01/07/13	01/24/14
e	57 QUALCOMM INC COM	P	01/21/14	12/31/14
f	45 RPM INTL INC COM	P	05/29/13	01/24/14
g	87 RPM INTL INC COM	P	04/24/13	01/24/14
h	85 RPM INTL INC COM	P	01/07/13	01/24/14
i	34 RAYTHEON CO COM NEW	P	05/29/13	01/24/14
j	65 RAYTHEON CO COM NEW	P	04/24/13	01/24/14
k	63 RAYTHEON CO COM NEW	P	01/07/13	01/24/14
l	3 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH	P	09/26/13	01/24/14
m	45 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH	P	05/29/13	01/24/14
n	107 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH	P	04/24/13	01/24/14
o	24 SABMILLER PLC SPONSORED ADR UNITED KINGDOM	P	01/21/14	12/31/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,071.		5,505.	<434.>
b 1,086.		1,101.	<15.>
c 2,171.		2,230.	<59.>
d 2,171.		2,043.	128.
e 4,311.		4,301.	10.
f 1,912.		1,496.	416.
g 3,696.		2,728.	968.
h 3,611.		2,585.	1,026.
i 3,113.		2,284.	829.
j 5,951.		3,777.	2,174.
k 5,768.		3,680.	2,088.
l 214.		198.	16.
m 3,203.		3,047.	156.
n 7,616.		7,123.	493.
o 1,274.		1,224.	50.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<434.>
b			<15.>
c			<59.>
d			128.
e			10.
f			416.
g			968.
h			1,026.
i			829.
j			2,174.
k			2,088.
l			16.
m			156.
n			493.
o			50.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	22 SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/21/14	12/31/14
b	16 SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/21/14	04/02/14
c	16 SEMPRA ENERGY COM	P	05/29/13	01/24/14
d	15 SEMPRA ENERGY COM	P	04/24/13	01/24/14
e	31 SEMPRA ENERGY COM	P	01/07/13	01/24/14
f	16 SHERWIN WILLIAMS CO COM	P	05/29/13	01/24/14
g	35 SHERWIN WILLIAMS CO COM	P	04/24/13	01/24/14
h	33 SHERWIN WILLIAMS CO COM	P	01/07/13	01/24/14
i	8 SIMON PPTY GROUP INC NEW COM	P	05/29/13	01/24/14
j	16 SIMON PPTY GROUP INC NEW COM	P	04/24/13	01/24/14
k	5 SIMON PPTY GROUP INC NEW COM	P	03/15/13	01/24/14
l	9 SIMON PPTY GROUP INC NEW COM	P	01/07/13	01/24/14
m	19 SOUTHWESTERN ENERGY CO COM	P	01/21/14	12/31/14
n	40 SOUTHWESTERN ENERGY CO COM	P	01/21/14	04/02/14
o	12 STARBUCKS CORP COM	P	02/25/14	12/31/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,910.		2,005.	<95.>
b	1,567.		1,458.	109.
c	1,484.		1,296.	188.
d	1,391.		1,225.	166.
e	2,875.		2,231.	644.
f	3,155.		3,045.	110.
g	6,902.		6,340.	562.
h	6,507.		5,219.	1,288.
i	1,261.		1,369.	<108.>
j	2,522.		2,828.	<306.>
k	788.		797.	<9.>
l	1,419.		1,436.	<17.>
m	543.		762.	<219.>
n	1,831.		1,603.	228.
o	984.		863.	121.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<95.>
b			109.
c			188.
d			166.
e			644.
f			110.
g			562.
h			1,288.
i			<108.>
j			<306.>
k			<9.>
l			<17.>
m			<219.>
n			228.
o			121.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	34 STATE STR CORP COM	P	01/21/14	12/31/14
b	98 STATE STR CORP COM	P	01/21/14	04/02/14
c	28 TJX COS INC NEW COM	P	05/29/13	01/24/14
d	56 TJX COS INC NEW COM	P	04/24/13	01/24/14
e	53 TJX COS INC NEW COM	P	01/07/13	01/24/14
f	35 TEMPUR SEALY INTL INC COM	P	10/31/14	12/31/14
g	66 TEXAS INSTRS INC COM	P	06/25/13	01/24/14
h	33 TEXAS INSTRS INC COM	P	05/29/13	01/24/14
i	72 TEXAS INSTRS INC COM	P	04/24/13	01/24/14
j	72 TEXAS INSTRS INC COM	P	01/07/13	01/24/14
k	45 TIME WARNER INC NEW COM	P	05/29/13	01/24/14
l	98 TIME WARNER INC NEW COM	P	04/24/13	01/24/14
m	96 TIME WARNER INC NEW COM	P	01/07/13	01/24/14
n	73 US BANCORP DEL COM NEW	P	05/29/13	01/24/14
o	156 US BANCORP DEL COM NEW	P	04/24/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,723.		2,526.	197.
b 6,739.		7,281.	<542.>
c 1,690.		1,402.	288.
d 3,380.		2,660.	720.
e 3,199.		2,356.	843.
f 1,958.		1,847.	111.
g 2,888.		2,307.	581.
h 1,444.		1,193.	251.
i 3,151.		2,592.	559.
j 3,151.		2,297.	854.
k 2,899.		2,671.	228.
l 6,313.		5,859.	454.
m 6,185.		4,686.	1,499.
n 3,036.		2,582.	454.
o 6,487.		5,169.	1,318.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			197.
b			<542.>
c			288.
d			720.
e			843.
f			111.
g			581.
h			251.
i			559.
j			854.
k			228.
l			454.
m			1,499.
n			454.
o			1,318.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	152 US BANCORP DEL COM NEW	P	01/07/13	01/24/14
b	1 UNITED PARCEL SVC INC CL B	P	01/21/14	12/31/14
c	10 UNITED PARCEL SVC INC CL B	P	05/09/13	12/31/14
d	10 UNITED TECHNOLOGIES CORP COM	P	05/29/13	01/24/14
e	20 UNITED TECHNOLOGIES CORP COM	P	04/24/13	01/24/14
f	19 UNITED TECHNOLOGIES CORP COM	P	01/07/13	01/24/14
g	18 V F CORP COM	P	05/29/13	01/24/14
h	36 V F CORP COM	P	04/24/13	01/24/14
i	36 V F CORP COM	P	01/07/13	01/24/14
j	132 VERIZON COMMUNICATIONS INC COM	P	05/29/13	01/24/14
k	207 VERIZON COMMUNICATIONS INC COM	P	04/24/13	01/24/14
l	45 VERIZON COMMUNICATIONS INC COM	P	03/12/13	01/24/14
m	207 VERIZON COMMUNICATIONS INC COM	P	01/07/13	01/24/14
n	295 VODAFONE GROUP PLC SPONSORED ADR NEW UNITED K	P	10/21/13	01/24/14
o	19 WAL-MART STORES INC COM	P	05/29/13	01/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,321.		5,009.	1,312.
b 112.		98.	14.
c 1,120.		889.	231.
d 1,149.		954.	195.
e 2,297.		1,845.	452.
f 2,182.		1,611.	571.
g 1,060.		833.	227.
h 2,120.		1,569.	551.
i 2,120.		1,344.	776.
j 6,269.		6,562.	<293.>
k 9,831.		10,703.	<872.>
l 2,137.		2,151.	<14.>
m 9,831.		9,234.	597.
n 11,547.		10,880.	667.
o 1,439.		1,449.	<10.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,312.
b			14.
c			231.
d			195.
e			452.
f			571.
g			227.
h			551.
i			776.
j			<293.>
k			<872.>
l			<14.>
m			597.
n			667.
o			<10.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	39 WAL-MART STORES INC COM	P	04/24/13	01/24/14
b	38 WAL-MART STORES INC COM	P	01/07/13	01/24/14
c	28 WASTE MGMT INC DEL COM	P	05/29/13	01/24/14
d	50 WASTE MGMT INC DEL COM	P	04/24/13	01/24/14
e	50 WASTE MGMT INC DEL COM	P	01/07/13	01/24/14
f	17 WELLS FARGO & CO NEW COM	P	04/24/13	12/31/14
g	169 WELLS FARGO & CO NEW COM	P	06/13/13	01/24/14
h	57 WELLS FARGO & CO NEW COM	P	05/29/13	01/24/14
i	66 WELLS FARGO & CO NEW COM	P	04/24/13	01/24/14
j	22 WISCONSIN ENERGY CORP COM	P	05/29/13	01/24/14
k	41 WISCONSIN ENERGY CORP COM	P	04/24/13	01/24/14
l	39 WISCONSIN ENERGY CORP COM	P	01/07/13	01/24/14
m	ALLIANCE BERNSTEIN GLOBAL BD FUND INC ADVSR CL	P		12/04/14
n	ALLIANCE BERNSTEIN DISCOVERY GROWTH FUND INC ADVI	P		12/22/14
o	ALLIANCE BERNSTEIN DISCOVERY VALUE FUND ADVISOR C	P		12/23/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,955.		3,056.	<101.>
b	2,879.		2,600.	279.
c	1,201.		1,174.	27.
d	2,145.		1,974.	171.
e	2,145.		1,704.	441.
f	942.		636.	306.
g	7,871.		6,836.	1,035.
h	2,655.		2,324.	331.
i	3,074.		2,469.	605.
j	914.		899.	15.
k	1,704.		1,802.	<98.>
l	1,621.		1,459.	162.
m	1,225.			1,225.
n	2,579.			2,579.
o	4,016.			4,016.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<101.>
b			279.
c			27.
d			171.
e			441.
f			306.
g			1,035.
h			331.
i			605.
j			15.
k			<98.>
l			162.
m			1,225.
n			2,579.
o			4,016.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BERNSTEIN INTERMEDIATE DURATION POTFOLIO	P		12/31/14
b	BERNSTEIN EMERGING MARKETS PORTFOLIO	P		12/31/14
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,981.			25,981.
b 1,437.			1,437.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			25,981.
b			1,437.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	314,462.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHRISTA ASSAD 4335 HOLDEN STREET EMERYVILLE, CA 94608	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CORINNA WEST 1921 NEBRASKA AVE KANSAS CITY, KS 66102	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,133.
CORINTHIA CROMWELL 1738 WYCLIFFE AVE PARKSVILLE, MD 21234	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
CRAFT EMERGENCY RELIEF FUND PO BOX 838 MONTPELIER, VT 05601	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	35,000.
CRAIG CALMAN 818 S BONNIE BRAE STREET, #5 LOS ANGELES, CA 90057	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,000.
DAVE BUSCERMA 1443 CRESCENT ST., APT 1S ASTORIA, NY 11106	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,600.
ELLAMAY KAY & STEPHE 491 BARTLETT AVE ALTOONA, WI 54720	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
EVE BAILEY 249 23RD STREET, APT 21 BROOKLYN, NY 11215	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
GREG ADAMS 18238 JOVAN STREET TARZANA, CA 91335	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,025.
JAMES LEVESQUE 118 W COMMONWEALTH AVE FULLERTON, CA 92832	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				581,828.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JAMES R SANDERS 6312 SHERWOOD RD, APT, HA06 PHILADELPHIA, PA 19151	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JAZZ FOUNDATION 322 WEST 48TH STREET, 6TH FL. NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JESSICA J WILLIAMS 8320 BAINBRIDGE LOOP NE LACEY, WA 98516	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,750.
JOHN HUG PO BOX 330161 SAN FRANCISCO, CA 94133	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,150.
JOHN RICHARDS ADCOCK 1505 BERRY STREET OLD HICKORY, TN 37138	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
JOSHUA MAX 311 HILLSIDE CROSSING POMPTON PLAINS, NJ 07444	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOYCE KILMER 7533 W CO. ROAD 20 LOVELAND, CO 80537	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
KATHE BURKHART 535 W. 23RD. ST, APT. S3P NEW YORK, NY 10011	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
KEITH HOFFMANN 1801 AVIATION WAY, APT 214 REDONDO BEACH, CA 90278	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	500.
KURTIS LAMKIN 48 CEDARHURST AVE CHARLESTON, SC 29407	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LINDA KPAKA 28 BETTY COURT STATEN ISLAND, NY 10303	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
LISA RENTZ 2002 PARK AVENUE BEAUFORT, SC 29902	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
MARION P. BELANGER P.O. BOX 6440 CAROL STREAM, IL 60197	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
MARTIN RAMONE DELOSSANTES 314 SIXTH STREET HOBOKEN, NJ 07030	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,350.
MAURY BREECHER 1719 3RD STREET, APT 4 CORPUS CHRISTI, TX 78463	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
MICHAEL FISCHER 616 LAKE TERRACE DRIVE NASHVILLE, TN 37217	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
MICHELLE MILLER 52 KENT ST, 1A BROOKLINE, MA 02445	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,200.
MUSICARES 11 WEST 42ND STREET, 27TH FL NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	35,000.
NANCY BRAVER 1646 1/2 N CORONADO ST LOS ANGELES, CA 90026	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
NANCY RANDLE 226 & 3/4 SOUTH FULLER AVE LOS ANGELES, CA 90036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,098.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PEGGY MERCER 988 ESTLEE MERCER ROAD DOUGLAS, GA 31535	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
PEN AMERICAN CENTE 588 BROADWAY, SUITE 303 NEW YORK, NY 10012	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
RHONDA GUNN 102 GREENE 716 ROAD PARAGOULD, AR 72450	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
RICHARD SARTORE 24 ACORN AVE. CLIFTON PARK, NY 12065	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
ROBERT ARNO ESPOSITO 255 W 43RD ST, APT 702 NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
ROBERT BOYKIN 11333 MOORPARK ST. #212 STUDIO CITY, CA 91602	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,800.
ROBERT MEURER 12831 MOORPARK STREET, #14 STUDIO CITY, CA 91604	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	13,500.
RON BARON 582 DRIGGS AVENUE BROOKLYN, NY 11211	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	30,000.
SAG FOUNDATION 5757 WILSHIRE BLVD, SUITE 124 LOS ANGELES, CA 90036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHAWN CLEMENT 800 W EL CAMINO REAL SAN CLEMENTE, CA 92872	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STEPHEN BYLER 1300 NEWTON ROAD LANCASTER, PA 17603	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	14,400.
STEPHEN COHN 3810 B. HEFFRON DRIVE BURBANK, CA 91505	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,380.
STEVE GIOVINCO 166 SECOND AVE, APT 11 H NEW YORK, NY 10003	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,592.
THE ACTORS FUND 729 SEVENTH AVE, 10TH FLOOR NEW YORK, NY 10019	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	35,000.
THE ARTISTS' FELLOWS 47 FIFTH AVENUE NEW YORK, NY 10003	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	35,000.
THE AUTHORS LEAGUE 31 EAST 32ND STREET, 7TH FL NEW YORK, NY 10016	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	35,000.
THOMAS H. KARGES 1806 FIVE IRON DRIVE CASTLE ROCK, CO 80104	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
TOM HOLMES 165 ROBERT DAVENPORT ROAD READYVILLE, TN 37149	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ZAK ZAIKINE 8885 OAK GROVE AVE SEBASTOPOL, CA 95472	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,600.
Total from continuation sheets				

FORM 990-PF	LEGAL FEES		STATEMENT		1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COHEN TAUBER SPOEVACK & WAGNER	7,984.	3,861.		4,123.	
TO FM 990-PF, PG 1, LN 16A	7,984.	3,861.		4,123.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENTS FEES	59,409.	59,409.		0.	
TO FORM 990-PF, PG 1, LN 16C	59,409.	59,409.		0.	

FORM 990-PF	TAXES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	1,269.	1,269.		0.
US TREASURY	6,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,269.	1,269.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,638.	0.		1,638.
FILING FEE	750.	0.		750.
POSTAGE	890.	0.		890.
OFFICE EXPENSES	1,034.	0.		1,034.
TO FORM 990-PF, PG 1, LN 23	4,312.	0.		4,312.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
PENALTY AND INTEREST	340.
COST BASIS ADJUSTMENT	6,342.
TOTAL TO FORM 990-PF, PART III, LINE 5	6,682.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED	12,178,184.	12,616,744.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,178,184.	12,616,744.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	7
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRES/DIR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	ASSISTANT TREASURER 1.00	0.	0.	0.

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FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

THE HAVEN FOUNDATION
EIN # : 20-4356938
2014 ATTACHMENT - 990PF pg2, line 10b

Quantity	Security Description	COST	FMV
100000 SHS	BANK NEW YORK INC MEDIUM TERM SR NT DTD 11/16/09 3 100% DUE 01/15/15	105,005	100,068
100000 SHS	DU PONT E I DE NEMOURS & CO SR NT DTD 11/09/09 3 250% DUE 01/15/15	105,688	100,065
100000 SHS	HSBC USA INC SR UNSECD NT DTD 02/13/12 2 375% DUE 02/13/15	102,896	100,200
50000 SHS	XEROX CORP SR UNSECD NT DTD 12/04/09 4 250% DUE 02/15/15	52,528	50,198
50000 SHS	CAPITAL ONE FINL CORP UNSECD SR NT DTD 03/23/12 2 150% DUE 03/23/15	51,109	50,149
75000 SHS	ENTERPRISE PRODS OPER LLC SR UNSECD NT DTD 08/13/12 1 250% DUE 08/13/15	75,527	75,198
66000 SHS	AT&T INC GLOBAL SR NT DTD 07/30/10 2 500% DUE 08/15/15	68,930	66,713
100000 SHS	WAL-MART STORES INC SR UNSECD NT DTD 10/25/10 1 500% DUE 10/25/15	102,738	100,925
50000 SHS	ACE INA HLDG INC CO GTD NT DTD 11/23/10 2 600% DUE 11/23/15	52,252	50,779
100000 SHS	GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 02/07/11 3 625% DUE 02/07/16	105,968	102,605
50000 SHS	KRAFT FOODS INC SR UNSECD NT DTD 02/08/10 4 125% DUE 02/09/16	53,699	51,858
50000 SHS	KINDER MORGAN ENERGY PARTNERS L P SR UNSECD SR NT DTD 03/04/11 3 500% DUE 03/01/16	53,447	51,029
100000 SHS	BB&T CORP SR MTN CALL 2/16/16 @100 DTD 03/07/11 3 200% DUE 03/15/16	106,649	102,302
100000 SHS	PEPSICO INC SR NT DTD 05/10/11 2 500% DUE 05/10/16	105,729	102,166
50000 SHS	EXPRESS SCRIPTS INC CO GTD NT DTD 05/02/11 3 125% DUE 05/15/16	53,050	51,397
50000 SHS	WASTE MGMT INC DEL CO GTD SR NT DTD 08/29/11 2 600% DUE 09/01/16	52,698	51,149
50000 SHS	ANADARKO PETE CORP SR NT DTD 09/19/06 5 950% DUE 09/15/16	56,702	53,469
100000 SHS	TOYOTA MTR CR CORP SR UNSECD MTN DTD 09/15/11 2 000% DUE 09/15/16	103,509	101,813
100000 SHS	VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03/11 2 000% DUE 11/01/16	104,042	101,466
50000 SHS	TIME WARNER INC NEW NT DTD 11/13/06 5 875% DUE 11/15/16	58,709	54,198
100000 SHS	SIMON PPTY GROUP L P NT CALL 9/2/16 @100 DTD 12/12/06 5 250% DUE 12/01/16	113,231	106,047
100000 SHS	GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/09/12 2 900% DUE 01/09/17	103,677	103,483
100000 SHS	BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD 01/31/12 1 900% DUE 01/31/17	103,288	101,443
50000 SHS	KELLOGG CO UNSECD SR NT DTD 05/17/12 1 750% DUE 05/17/17	50,834	50,262
100000 SHS	UNITEDHEALTH GROUP INC SR UNSECD NT DTD 10/22/12 1 400% DUE 10/15/17	100,067	99,902
50000 SHS	ABBVIE INC UNSECD SR NT DTD 05/06/13 1 750% DUE 11/06/17	50,461	50,109
50000 SHS	CITIGROUP INC GLOBAL SR UNSECD NT DTD 11/21/07 6 125% DUE 11/21/17	59,523	55,754
25000 SHS	CONOCOPHILLIPS SR UNSECD NT CALL 09/15/17 @100 DTD 12/07/12 1 050% DUE 12/15/17	24,767	24,680
100000 SHS	INTEL CORP UNSECD SR NT DTD 12/11/12 1 350% DUE 12/15/17	98,960	99,854

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EIN # : 20-4356938

2014 ATTACHMENT - 990PF pg2, line 10b

50000	SHS	FORD MTR CR CO LLC UNSECD NT DTD 01/11/13 2 375% DUE 01/16/18	50,974	50,290
50000	SHS	CONAGRA FOODS INC SR UNSECD NT DTD 01/25/13 1 900% DUE 01/25/18	49,141	49,667
100000	SHS	APPLE INC SR UNSECD NT DTD 05/03/13 1 000% DUE 05/03/18	95,546	98,516
25000	SHS	DOW CHEM CO NT DTD 05/06/08 5 700% DUE 05/15/18	28,637	27,754
100000	SHS	JPMORGAN CHASE & CO SR UNSECD NT DTD 05/15/13 1 625% DUE 05/15/18	96,401	98,900
100000	SHS	AMERICAN HONDA FIN CORP SR UNSECD MTN DTD 10/10/13 2 125% DUE 10/10/18	99,614	100,609
50000	SHS	HEWLETT PACKARD CO SR UNSECD NT DTD 01/14/14 2 750% DUE 01/14/19	50,825	50,064
50000	SHS	NISOURCE FIN CORP GTD NT DTD 05/20/08 6 800% DUE 01/15/19	58,794	58,700
200000	SHS	UNITED STATES TREAS NT DTD 06/02/14 1 500% DUE 05/31/19	199,938	199,500
100000	SHS	DIAGEO FIN BV CO GTD NT NETHERLANDS DTD 11/06/09 3 250% DUE 01/15/15	105,454	100,061
50000	SHS	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DTD 03/30/10 3 625% DUE 03/30/15	53,303	50,284
50000	SHS	TELEFONICA EMISIONES S A U CO GTD NT SPAIN DTD 04/26/10 3 729% DUE 04/27/15	51,597	50,441
100000	SHS	TOTAL CAP CO GTD UNSECD SR NT FRANCE DTD 06/24/10 3 000% DUE 06/24/15	105,916	101,168
50000	SHS	TEVA PHARMACEUTICAL FIN CO B V CO GTD NT NETHERLANDS ANTILLES DTD 11/10/11 2 400% DUE 11/10/16	52,002	51,034
100000	SHS	BHP BILLITON FIN USA LTD CO GTD SR NT AUSTRALIA DTD 11/21/11 1 875% DUE 11/21/16	103,788	101,579
100000	SHS	VODAFONE GROUP PLC NEW NT UNITED KINGDOM DTD 02/27/07 5 625% DUE 02/27/17	117,697	108,348
100000	SHS	STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3 125% DUE 08/17/17	108,256	104,475
50000	SHS	PETRO-CDA UNSECD BD CANADA DTD 05/15/08 6 050% DUE 05/15/18	60,282	56,238
50000	SHS	ROYAL BK CDA GLOBAL UNSECD MEDIUM TERM SR BK NT CANADA DTD 03/11/14 2 150% DUE 03/15/19	49,995	50,232
		ACCRUED INCOME		27,829
252	SHS	ABBVIE INC COM	9,724	16,491
96	SHS	ACE LTD COM SWITZERLAND	8,593	11,028
399	SHS	ALTRIA GROUP INC COM	14,841	19,659
122	SHS	AMERICAN ELEC PWR INC COM	5,710	7,408
114	SHS	AMERICAN EXPRESS CO COM	7,186	10,607
188	SHS	AMGEN INC COM	18,808	29,947
272	SHS	APPLE INC COM	21,972	30,023
147	SHS	AUTOMATIC DATA PROCESSING INC COM	8,222	12,255
49	SHS	BLACKROCK INC CL A	12,952	17,520
102	SHS	BOEING CO COM	8,420	13,258
161	SHS	CHEVRON CORP COM	18,625	18,061
116	SHS	CHUBB CORP COM	9,715	12,003
546	SHS	CISCO SYS INC COM	12,043	15,187
177	SHS	CME GROUP INC COM	12,034	15,691
495	SHS	COMCAST CORP NEW CL A COM	26,078	28,715
151	SHS	CONOCOPHILLIPS COM	10,358	10,428
200	SHS	CVS HEALTH CORP COM	15,467	19,262
68	SHS	DOMINION RES INC VA NEW COM	3,863	5,229
126	SHS	DOVER CORP COM	7,837	9,037
199	SHS	DU PONT E I DE NEMOURS & CO COM	10,036	14,714

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58	SHS	DUKE ENERGY CORP NEW COM	4,011	4,845
414	SHS	EMC CORP COM	10,514	12,312
219	SHS	EXXON MOBIL CORP COM	19,696	20,247
407	SHS	GENERAL ELEC CO COM	10,517	10,285
168	SHS	GENERAL MLS INC COM	8,575	8,959
70	SHS	HANESBRANDS INC COM	5,745	7,813
257	SHS	HOME DEPOT INC COM	17,251	26,977
193	SHS	HONEYWELL INTL INC COM	14,354	19,285
555	SHS	INTEL CORP COM	12,689	20,141
78	SHS	INTERNATIONAL BUSINESS MACHS COM	14,849	12,514
476	SHS	J P MORGAN CHASE & CO COM	24,486	29,788
263	SHS	JOHNSON & JOHNSON COM	20,883	27,502
123	SHS	KIMBERLY CLARK CORP COM	11,212	14,211
136	SHS	KLA-TENCOR CORP COM	7,599	9,564
67	SHS	LOCKHEED MARTIN CORP COM	12,723	12,902
165	SHS	MACYS INC COM	7,400	10,849
213	SHS	MARSH & MCLENNAN COS INC COM	7,892	12,192
145	SHS	MCDONALDS CORP COM	14,003	13,587
457	SHS	MERCK & CO INC NEW COM	21,325	25,953
135	SHS	METLIFE INC COM	5,738	7,302
651	SHS	MICROSOFT CORP COM	19,735	30,239
78	SHS	NEXTERA ENERGY INC COM	5,878	8,291
146	SHS	OCCIDENTAL PETE CORP DEL COM	13,311	11,769
56	SHS	PARKER HANNIFIN CORP COM	5,069	7,221
945	SHS	PFIZER INC COM	27,112	29,437
311	SHS	PHILIP MORRIS INTL INC COM	27,707	25,331
151	SHS	PNC FINL SVCS GROUP INC COM	9,980	13,776
92	SHS	PRICE T ROWE GROUP INC COM	6,588	7,899
196	SHS	PROCTER & GAMBLE CO COM	14,712	17,854
184	SHS	RAYTHEON CO COM NEW	11,679	19,903
160	SHS	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	14,325	13,666
62	SHS	SEMPRA ENERGY COM	4,743	6,904
52	SHS	SHERWIN WILLIAMS CO COM	8,660	13,678
238	SHS	TEXAS INSTRS INC COM	8,208	12,725
142	SHS	UNITED PARCEL SVC INC CL B	12,279	15,786
140	SHS	UNITED TECHNOLOGIES CORP COM	14,844	16,100
374	SHS	US BANCORP DEL COM NEW	12,508	16,811
87	SHS	V F CORP COM	3,608	6,516
572	SHS	VERIZON COMMUNICATIONS INC COM	27,385	26,758
175	SHS	WAL-MART STORES INC COM	13,343	15,029
124	SHS	WASTE MGMT INC DEL COM	4,682	6,364
473	SHS	WELLS FARGO & CO NEW COM	18,028	25,930
97	SHS	WISCONSIN ENERGY CORP COM	3,938	5,116
51	SHS	CDK GLOBAL INC COM	1,243	2,079
156	SHS	CMS ENERGY CORP COM	4,210	5,421
15	SHS	HALYARD HEALTH INC COM	473	682
124	SHS	BP P L C SPONSORED ADR UNITED KINGDOM	5,871	4,727
497	SHS	ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	17,932	16,875
152	SHS	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LISTING	10,162	10,176
		ACCRUED INCOME		4,196
47	SHS	PUBLIC STORAGE INC COM (REIT)	7,327	8,688
50	SHS	SIMON PPTY GROUP INC NEW COM	7,969	9,106
128	SHS	AMAZON COM INC COM	51,887	39,725
94	SHS	AMERICAN EXPRESS CO COM	5,835	8,746
464	SHS	AMERICAN INTL GROUP INC NEW COM	23,482	25,989
106	SHS	AMGEN INC COM	10,256	16,885
170	SHS	APACHE CORP COM	14,382	10,654

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453	SHS	APPLE INC COM	35,567	50,002
241	SHS	CABOT OIL & GAS CORP COM	8,196	7,136
245	SHS	CARMAX INC COM	10,706	16,312
81	SHS	CATERPILLAR INC COM	7,322	7,414
59	SHS	CHEVRON CORP COM	6,463	6,619
478	SHS	CITIGROUP INC NEW COM	22,667	25,865
115	SHS	DANAHER CORP DEL COM	9,169	9,857
227	SHS	EBAY INC COM	12,931	12,739
863	SHS	EMC CORP COM	22,156	25,666
93	SHS	EXXON MOBIL CORP COM	8,299	8,598
468	SHS	FACEBOOK INC CL A COM	30,429	36,513
657	SHS	GENERAL ELEC CO COM	17,210	16,602
218	SHS	GOLDMAN SACHS GROUP INC COM	37,669	42,255
34	SHS	GOOGLE INC CL A COM	19,750	18,042
34	SHS	GOOGLE INC COM CL C	19,687	17,898
812	SHS	J P MORGAN CHASE & CO COM	43,936	50,815
80	SHS	LINKEDIN CORP CL A COM	12,172	18,377
286	SHS	LOWES COS INC COM	13,619	19,677
122	SHS	MCDONALDS CORP COM	11,676	11,431
203	SHS	MEDTRONIC INC COM	12,037	14,657
290	SHS	MERCK & CO INC NEW COM	12,951	16,469
406	SHS	MORGAN STANLEY COM	13,254	15,753
188	SHS	OCCIDENTAL PETE CORP DEL COM	15,817	15,155
449	SHS	ORACLE CORP COM	17,080	20,192
158	SHS	PEPSICO INC COM	13,112	14,940
72	SHS	PHILIP MORRIS INTL INC COM	6,204	5,864
196	SHS	PRICE T ROWE GROUP INC COM	15,150	16,829
93	SHS	PRUDENTIAL FINL INC COM	8,392	8,413
488	SHS	QUALCOMM INC COM	36,824	36,273
208	SHS	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	18,526	17,765
177	SHS	SOUTHWESTERN ENERGY CO COM	7,094	4,830
112	SHS	STARBUCKS CORP COM	8,058	9,190
291	SHS	STATE STR CORP COM	21,620	22,844
103	SHS	UNITED PARCEL SVC INC CL B	8,801	11,451
169	SHS	WELLS FARGO & CO NEW COM	5,980	9,265
87	SHS	IHS INC CL A COM	10,182	9,908
590	SHS	LENNAR CORP COM	21,901	26,438
15	SHS	NVR INC COM	15,267	19,130
283	SHS	TEMPUR SEALY INTL INC COM	13,834	15,540
102	SHS	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	13,421	11,637
175	SHS	NOVARTIS A G SPONSORED ADR SWITZERLAND	14,266	16,216
164	SHS	SABMILLER PLC SPONSORED ADR UNITED KINGDOM	8,364	8,595
20	SHS	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	1,796	2,079
		ACCRUED INCOME		936
163,348 821	SHS	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(1)	2,256,808	2,210,110
		ACCRUED INCOME		1,592
260,564 955	SHS	ALLIANCEBERNSTEIN GLOBAL BOND ADVISORY CLASS(1)	2,166,731	2,193,957
		ACCRUED INCOME		4,215
38,269 525	SHS	ALLIANCEBERNSTEIN BD LTD(1)	407,744	391,880
		ACCRUED INCOME		1,391
55	SHS	ACTAVIS PLC	11,545	14,158
225	SHS	AETNA INC	18,026	19,987
30	SHS	AFFILIATED MANAGERS GROUP INC	3,757	6,367
75	SHS	ALLERGAN INC	7,509	15,944
1,837 337	SHS	AB DISCOVERY VALUE FUND CL ADV	38,285	37,941
3,933 212	SHS	AB DISCOVERY GROWTH FND CL ADV	37,629	36,972
212	SHS	ALLSTATE CORP	14,552	14,893
229	SHS	ALTRIA GROUP INC	9,581	11,283

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210	SHS	AMDOCS LTD	8,883	9,798
150	SHS	AMERICAN ELECTRIC POWER	8,061	9,108
223	SHS	AMERICAN EXPRESS CO	19,482	20,748
375	SHS	AMERICAN INTERNATIONAL GROUP	16,726	21,004
150	SHS	AMERICAN TOWER CORP	11,872	14,828
210	SHS	AMPHENOL CORP-CL A	8,019	11,300
175	SHS	ANSYS INC	13,421	14,350
187	SHS	ANTHEM INC	21,678	23,500
169	SHS	AON PLC	12,557	16,026
350	SHS	APPLE INC	27,669	38,633
78	SHS	ASSURANT INC	5,136	5,338
15	SHS	AUTOZONE INC	7,893	9,287
255	SHS	BALL CORP	14,311	17,383
1,200	SHS	BANK OF AMERICA CORP	12,902	21,468
50	SHS	BIOGEN IDEC INC	8,429	16,973
63	SHS	BOEING CO/THE	5,773	8,189
150	SHS	BOOZ ALLEN HAMILTON HOLDING	3,650	3,980
600	SHS	BROCADE COMMUNICATIONS SYS	5,851	7,104
200	SHS	CAPITAL ONE FINANCIAL CORP	12,184	16,510
95	SHS	CHUBB CORP	7,939	9,830
300	SHS	COMCAST CORP-CLASS A	11,099	17,403
116	SHS	COSTCO WHOLESALE CORP	13,285	16,443
225	SHS	CVS HEALTH CORP	15,094	21,670
207	SHS	DANAHER CORP	12,764	17,742
290	SHS	DELTA AIR LINES INC	11,113	14,265
175	SHS	DOLLAR GENERAL CORP	10,188	12,373
225	SHS	DOW CHEMICAL CO/THE	10,793	10,262
225	SHS	DR PEPPER SNAPPLE GROUP INC	13,716	16,128
175	SHS	DTE ENERGY COMPANY	12,377	15,115
200	SHS	EBAY INC	10,486	11,224
415	SHS	ELECTRONIC ARTS INC	9,941	19,511
350	SHS	EMC CORP/MASS	10,190	10,409
25	SHS	EOG RESOURCES INC	2,839	2,302
150	SHS	ESTEE LAUDER COMPANIES-CL A	11,331	11,430
30	SHS	EVEREST RE GROUP LTD	4,335	5,109
125	SHS	FACEBOOK INC-A	7,539	9,753
275	SHS	FIDELITY NATIONAL INFORMATION	14,206	17,105
125	SHS	FISERV INC	8,081	8,871
1,300	SHS	FORD MOTOR CO	16,233	20,150
32	SHS	F5 NETWORKS INC	3,621	4,175
250	SHS	GAMESTOP CORP-CLASS A	9,206	8,450
250	SHS	GILEAD SCIENCES INC	13,250	23,565
50	SHS	GOLDMAN SACHS GROUP INC	8,101	9,692
30	SHS	GOOGLE INC-CL C	11,248	15,792
22	SHS	GOOGLE INC-CL A	6,967	11,675
275	SHS	HESS CORP	22,266	20,301
700	SHS	HEWLETT-PACKARD CO	11,669	28,091
225	SHS	HOME DEPOT INC	17,777	23,618
40	SHS	INTERCONTINENTAL EXCHANGE IN	5,843	8,772
25	SHS	INTUITIVE SURGICAL INC	10,246	13,224
354	SHS	ITT CORP	15,754	14,323
200	SHS	JOHNSON & JOHNSON	18,058	20,914
200	SHS	JPMORGAN CHASE & CO	11,182	12,516
249	SHS	KROGER CO	6,982	15,988
99	SHS	L-3 COMMUNICATIONS HOLDINGS	11,971	12,495
80	SHS	LOCKHEED MARTIN CORP	13,368	15,406
125	SHS	LYONDELLBASELL INDU-CL A	6,747	9,924
18	SHS	MCKESSON CORP	3,805	3,736

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150	SHS	MEAD JOHNSON NUTRITION CO	11,981	15,081
49	SHS	MEDTRONIC INC	3,668	3,538
100	SHS	MERCK & CO INC	5,325	5,679
650	SHS	MICROSOFT CORP	26,416	30,193
100	SHS	MONSANTO CO	9,748	11,947
161	SHS	MONSTER BEVERAGE CORP	11,672	17,444
125	SHS	MURPHY OIL CORP	8,431	6,315
41	SHS	NETSUITE INC	3,879	4,476
175	SHS	NIKE INC -CL B	13,937	16,826
75	SHS	NXP SEMICONDUCTORS NV	4,622	5,730
250	SHS	OCCIDENTAL PETROLEUM CORP	22,547	20,153
928	SHS	OFFICE DEPOT INC	4,527	7,958
112	SHS	ORACLE CORP	4,578	5,037
129	SHS	PARTNERRE LTD	12,410	14,723
75	SHS	PEPSICO INC	6,179	7,092
767	SHS	PFIZER INC	18,114	23,892
115	SHS	PHILIP MORRIS INTERNATIONAL	10,313	9,367
65	SHS	POLARIS INDUSTRIES INC	8,695	9,831
22	SHS	PRECISION CASTPARTS CORP	4,033	5,299
13	SHS	PRICELINE GROUP INC/THE	10,273	14,823
150	SHS	QUINTILES TRANSNATIONAL HOLDIN	7,816	8,831
150	SHS	RAYTHEON COMPANY	13,652	16,226
150	SHS	SCHLUMBERGER LTD	12,959	12,812
75	SHS	SERVICENOW INC	3,501	5,089
70	SHS	SHERWIN-WILLIAMS CO/THE	13,454	18,413
650	SHS	SLM CORP	5,814	6,624
275	SHS	STARBUCKS CORP	18,399	22,564
180	SHS	TIME WARNER INC	12,536	15,376
125	SHS	UNION PACIFIC CORP	10,667	14,891
74	SHS	UNITEDHEALTH GROUP INC	7,116	7,481
250	SHS	US BANCORP	10,325	11,238
339	SHS	VALERO ENERGY CORP	16,597	16,781
250	SHS	VERIZON COMMUNICATIONS INC	11,748	11,695
106	SHS	VF CORP	7,913	7,939
90	SHS	VISA INC - CLASS A SHRS	15,942	23,598
225	SHS	WALT DISNEY CO/THE	15,782	21,193
600	SHS	WELLS FARGO & COMPANY	23,293	32,892
850	SHS	XEROX CORP	9,128	11,781
		ACCRUED INCOME		1,841
29,660	SHS	BERNSTEIN INTERNATIONAL PORTFOLIO(1)	437,692	444,308
2,601	SHS	BERNSTEIN EMERGING MARKETS PORTFOLIO(1)	77,990	69,228
32,261	SHS	ALLIANCEBERNSTEIN ALL MARKET R CLASS 1(1)	199,551	176,626
		GRAND TOTAL	12,178,184	12,616,744