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Form 990

Department of the Treasury  
Internal Revenue ServiceReturn of Organization Exempt From Income Tax  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)Do not enter social security numbers on this form as it may be made public  
Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

2014

Open to Public  
Inspection

A For the 2014 calendar year, or tax year beginning

and ending

B Check if applicable

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

C Name of organization

RIGHTS AND RESOURCES INSTITUTE INC.

Doing business as RIGHTS AND RESOURCES GROUP

Number and street (or P.O. box if mail is not delivered to street address)

1238 WISCONSIN AVENUE NW

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20007

F Name and address of principal officer: MATTHEW ZIMMERMANN

SAME AS C ABOVE

D Employer identification number

20-3690821

E Telephone number

202-470-3900

G Gross receipts \$ 11,081,983.

H(a) Is this a group return for subordinates? ☐ Yes ☒ NoH(b) Are all subordinates included? ☐ Yes ☐ No  
If "No," attach a list. (see instructions)

H(c) Group exemption number

I Tax-exempt status ☒ 501(c)(3) ☐ 501(c)( ) (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: WWW.RIGHTSANDRESOURCES.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation: 2005 M State of legal domicile: DC

## Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities	RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	11
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	10
	5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	44
	6	Total number of volunteers (estimate if necessary)	6	10
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	10,554,212.	11,017,864.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	38,000.	52,696.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	5,158.	6,847.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	9,345.	4,576.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 4-3)	10,606,715.	11,081,983.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	4,647,871.	3,424,694.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	2,335,567.	3,021,631.
	b	Total fundraising expenses (Part IX, column (D), line 25)	0.	0.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	14,066.	
	18	Total expenses - Add lines 13-17 (must equal Part IX, column (A), line 25)	2,820,607.	3,947,398.
Net Assets or Fund Balances	19	Revenue less expenses - Subtract line 18 from line 12	9,804,045.	10,393,723.
	20	Total assets (Part X, line 16)	802,670.	688,260.
	21	Total liabilities (Part X, line 26)	Beginning of Current Year	End of Year
	22	Net assets or fund balances - Subtract line 21 from line 20	4,131,987.	6,649,649.
			2,640,527.	4,641,181.
			1,491,460.	2,008,468.

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date	
	MATTHEW ZIMMERMANN SENIOR DIRECTOR OF FINANCE	11/15/2015	
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	Firm's name	Firm's EIN	Phone no.
	Firm's address		

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

432001 11-07-14

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2014)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

966 10

SCANNED DEC 15 2015

**Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X

1 Briefly describe the organization's mission:

RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code ) (Expenses \$ 4,327,555. including grants of \$ 3,294,341.) (Revenue \$ 20,000.)  
COUNTRY AND REGIONAL PROGRAMS - OVERARCHING ACCOMPLISHMENTS OF THE COUNTRY AND REGIONAL PROGRAMS IN 2014 INCLUDED:

1) INDONESIA: THERE HAS BEEN LIMITED PROGRESS TOWARD MEETING THE OVERARCHING GOAL. ACHIEVEMENTS INCLUDE THE ENACTMENT OF NEW VILLAGE LAW NO 6 OF 2014, RECOGNIZING CUSTOMARY VILLAGES AND INCLUSION OF A RRI COALITION-DRAFTED INSTRUMENT WITHIN OFFICIAL LEGAL REVIEW INSTRUMENTS OF LAND AND NATURAL RESOURCES. POLITICALLY, THE ENDORSEMENT OF CIVIL SOCIETY'S PROPOSALS ON CUSTOMARY LAND AND FOREST RIGHTS BY THE INCOMING PRESIDENT JOKO WIDODO HAS BEEN A MAJOR ACHIEVEMENT FOR INDONESIAN CIVIL SOCIETY. 2) NEPAL: CURRENTLY, ENSHRINEMENT OF COMMUNITY PROPERTY RIGHTS IN NEPAL'S NEW CONSTITUTION REMAINS UNCERTAIN DUE TO SHIFTS IN POLITICAL CONDITIONS. AS A RESULT OF POLITICAL MOBILIZATION AND

4b (Code ) (Expenses \$ 1,312,625. including grants of \$ 130,353.) (Revenue \$ 32,696.)  
STRATEGIC ANALYSIS - 1) POST-15 SDGS: THE OPEN WORKING GROUP DRAFT ON THE SDGS INCLUDED TARGETS ON LAND UNDER POVERTY, FOOD SECURITY AND WOMEN'S RIGHTS GOALS, ALTHOUGH WITHOUT SPECIFIC MENTION OF COMMUNITY/COLLECTIVE LAND RIGHTS (WITH THE EXCEPTION OF A REFERENCE TO INDIGENOUS PEOPLES IN RELATION TO FOOD SECURITY). INCLUSION OF TARGETS ON LAND WILL ENABLE DEVELOPMENT OF COMMUNITY LAND RIGHTS INDICATORS FOR THE SDGS AND ADVOCACY ON THE NEED FOR SECURE COMMUNITY TENURE TO FULFILL THESE LAND TARGETS. RRI PRODUCED A SERIES OF SDG POLICY BRIEFS IN COLLABORATION WITH OTHER LEADING ACTORS IN THE LAND SECTOR, SUPPORTED BY NEW DATA AND ANALYSIS ON FORMALLY-RECOGNIZED COMMUNITY FOREST LANDS (WHAT FUTURE FOR REFORM?), DATA ON THE LINKS BETWEEN SECURE COMMUNITY FOREST TENURE AND REDUCED DEFORESTATION (WRI-RRI

4c (Code ) (Expenses \$ 811,916. including grants of \$ ) (Revenue \$ )  
COMMUNICATION AND OUTREACH - STRATEGIC COMMUNICATIONS WORKS TO RAISE GLOBAL AWARENESS OF RRI AGENDA AND STRENGTHEN RRI'S REPRESENTATION AND OUTREACH, MESSAGING AND BRANDING, PUBLICATIONS PRODUCTION, ONLINE ENGAGEMENT, AND COMMUNICATIONS COORDINATION WITH RRI PARTNERS AND COLLABORATORS. IN 2014, THE COMMUNICATIONS TEAM ENSURED THAT COMMUNICATIONS WITH PARTNERS IS MORE TARGETED AND INTERNAL COMMUNICATIONS PROTOCOLS HAVE BEEN STRENGTHENED. COMMUNICATIONS DEPARTMENT HARDWARE AND SOFTWARE WERE UPDATED TO MEET INCREASED DEMAND FOR SOCIAL MEDIA, PR, COMMUNICATIONS, AND INFORMATION DISSEMINATIONS.

4d Other program services (Describe in Schedule O)

(Expenses \$ 2,183,282. including grants of \$ ) (Revenue \$ )

4e Total program service expenses 8,635,378.

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	<b>X</b>	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors?	<b>X</b>	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		<b>X</b>
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		<b>X</b>
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		<b>X</b>
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		<b>X</b>
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		<b>X</b>
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		<b>X</b>
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		<b>X</b>
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		<b>X</b>
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<b>X</b>	
<b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		<b>X</b>
<b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		<b>X</b>
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	<b>X</b>	
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<b>X</b>	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	<b>X</b>	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	<b>X</b>	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		<b>X</b>
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		<b>X</b>
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?	<b>X</b>	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<b>X</b>	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	<b>X</b>	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		<b>X</b>
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		<b>X</b>
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		<b>X</b>
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		<b>X</b>
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		<b>X</b>
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Form 990 (2014)

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
<b>24b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
<b>24c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>24d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
<b>25b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>28a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
<b>28b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
<b>28c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
<b>35b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	<b>1a</b> 31		
<b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b> 0		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>		
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	<b>2a</b> 44		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	X	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>		X
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O.	<b>3b</b>		
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b>		X
<b>b</b> If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>		X
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>		X
<b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	<b>6a</b>		X
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>		X
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>		
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>		X
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>		
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>		
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>		
<b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	<b>8</b>		
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b> Did the sponsoring organization make any taxable distributions under section 4966?	<b>9a</b>		
<b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter:			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>		
<b>11 Section 501(c)(12) organizations.</b> Enter:			
<b>a</b> Gross income from members or shareholders.	<b>11a</b>		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	<b>11b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>		
<b>c</b> Enter the amount of reserves on hand.	<b>13c</b>		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>		X
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>		

Form 990 (2014)

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	<b>11</b>	
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent.	<b>10</b>	
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	<b>2</b>	X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	<b>3</b>	X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	<b>4</b>	X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?	<b>5</b>	X
<b>6</b> Did the organization have members or stockholders?	<b>6</b>	X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	<b>7a</b>	X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	<b>7b</b>	X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body?	<b>8a</b>	X
<b>b</b> Each committee with authority to act on behalf of the governing body?	<b>8b</b>	X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	<b>9</b>	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?	<b>10a</b>	X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	<b>10b</b>	
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	<b>11a</b>	X
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13.	<b>12a</b>	X
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	<b>12b</b>	X
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	<b>12c</b>	X
<b>13</b> Did the organization have a written whistleblower policy?	<b>13</b>	X
<b>14</b> Did the organization have a written document retention and destruction policy?	<b>14</b>	X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official.	<b>15a</b>	X
<b>b</b> Other officers or key employees of the organization.	<b>15b</b>	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	<b>16a</b>	X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	<b>16b</b>	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed: **NONE**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☒ Own website    ☐ Another's website    ☐ Upon request    ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, address, and telephone number of the person who possesses the organization's books and records: **THE ORGANIZATION - 202-470-3900**  
**1238 WISCONSIN AVENUE NW, NO. 300, WASHINGTON, DC 20007**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
  - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
  - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) GHAN SHYAM PANDEY MEMBER, EXECUTIVE COMMITTEE	0.50	X						0.	0.	0.
(2) DORIS CAPISTRANO DIRECTOR	0.50	X						0.	0.	0.
(3) JAMES MUROMBEDZI DIRECTOR	0.50	X						0.	0.	0.
(4) SAMUEL NGUIFFO DIRECTOR	0.50	X						0.	0.	0.
(5) UJJWAL PRADHAN DIRECTOR	0.50	X						0.	0.	0.
(6) VICTORIA TAULI-CORPUZ DIRECTOR	0.50	X						0.	0.	0.
(7) SUSAN KANDEL DIRECTOR	0.50	X						0.	0.	0.
(8) THOMAS A WHITE PRESIDENT	40.00	X		X				189,147.	0.	41,826.
(9) JOHN HUDSON CHAIR	4.00			X				0.	0.	0.
(10) JEAN ADEN SECRETARY	1.00			X				0.	0.	0.
(11) DON G ROBERTS TREASURER	1.50			X				0.	0.	0.
(12) ARVIND KHARE EXECUTIVE DIRECTOR	40.00			X				151,238.	0.	11,497.
(13) JAMES-CHRISTOPHER MILLER DIRECTOR, FINANCE & ADMINISTRATION	40.00			X				119,775.	0.	23,798.
(14) LUIS COLOMER CHIEF OPERATING OFFICER	40.00			X				168,257.	0.	19,782.
(15) JENNY SPRINGER DIRECTOR, GLOBAL PROGRAMS	40.00					X		144,174.	0.	37,257.





**Part VIII** Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns	<b>1a</b>				
	<b>b</b> Membership dues	<b>1b</b>				
	<b>c</b> Fundraising events	<b>1c</b>				
	<b>d</b> Related organizations	<b>1d</b>				
	<b>e</b> Government grants (contributions)	<b>1e</b>	8,240,168.			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	2,777,696.			
	<b>g</b> Noncash contributions included in lines 1a-1f \$					
	<b>h Total.</b> Add lines 1a-1f		11,017,864.			
	<b>Program Service Revenue</b>	<b>Business Code</b>				
<b>2 a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>f</b> All other program service revenue		900099	52,696.	52,696.		
<b>g Total.</b> Add lines 2a-2f			52,696.			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		6,847.			6,847.
	<b>4</b> Income from investment of tax-exempt bond proceeds					
	<b>5</b> Royalties					
		(i) Real (ii) Personal				
	<b>6 a</b> Gross rents					
	<b>b</b> Less: rental expenses					
	<b>c</b> Rental income or (loss)					
	<b>d</b> Net rental income or (loss)					
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	<b>b</b> Less: cost or other basis and sales expenses					
	<b>c</b> Gain or (loss)					
	<b>d</b> Net gain or (loss)					
	<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	<b>a</b>				
	<b>b</b> Less: direct expenses	<b>b</b>				
	<b>c</b> Net income or (loss) from fundraising events					
	<b>9 a</b> Gross income from gaming activities See Part IV, line 19	<b>a</b>				
	<b>b</b> Less: direct expenses	<b>b</b>				
	<b>c</b> Net income or (loss) from gaming activities					
	<b>10 a</b> Gross sales of inventory, less returns and allowances	<b>a</b>				
	<b>b</b> Less: cost of goods sold	<b>b</b>				
<b>c</b> Net income or (loss) from sales of inventory						
<b>Miscellaneous Revenue</b>		<b>Business Code</b>				
<b>11 a</b> OTHER	900099	4,576.			4,576.	
<b>b</b>						
<b>c</b>						
<b>d</b> All other revenue						
<b>e Total.</b> Add lines 11a-11d		4,576.				
<b>12 Total revenue.</b> See instructions.		11,081,983.	52,696.	0.	11,423.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	255,516.	255,516.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	3,169,178.	3,169,178.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,046,266.	532,140.	503,243.	10,883.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,434,750.	874,919.	559,127.	704.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	104,983.	62,757.	42,080.	146.
9 Other employee benefits	255,138.	139,274.	114,987.	877.
10 Payroll taxes	180,494.	102,181.	77,436.	877.
11 Fees for services (non-employees)				
a Management	1,668,394.	1,567,499.	100,895.	
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion				
13 Office expenses	209,352.	42,553.	166,799.	
14 Information technology				
15 Royalties				
16 Occupancy	328,062.	273,008.	54,609.	445.
17 Travel	772,154.	771,891.	263.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	290,600.	288,222.	2,378.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	98,833.	82,247.	16,452.	134.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PUBLICATIONS AND OTHER	467,019.	467,019.		
b MISCELLANEOUS	112,894.	6,974.	105,920.	
c GRANTS	90.		90.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	10,393,723.	8,635,378.	1,744,279.	14,066.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing	2,798,000.	<b>1</b>	5,530,126.
	<b>2</b> Savings and temporary cash investments		<b>2</b>	
	<b>3</b> Pledges and grants receivable, net	813,032.	<b>3</b>	263,296.
	<b>4</b> Accounts receivable, net		<b>4</b>	
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L.		<b>6</b>	
	<b>7</b> Notes and loans receivable, net		<b>7</b>	
	<b>8</b> Inventories for sale or use		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges	74,603.	<b>9</b>	68,352.
	<b>10a</b> Land, buildings, and equipment cost or other basis. Complete Part VI of Schedule D.	542,067.		
	<b>10b</b> Less accumulated depreciation	371,620.	<b>10c</b>	170,447.
	<b>11</b> Investments - publicly traded securities		<b>11</b>	
	<b>12</b> Investments - other securities. See Part IV, line 11.		<b>12</b>	
	<b>13</b> Investments - program-related. See Part IV, line 11.		<b>13</b>	
	<b>14</b> Intangible assets		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11.	205,628.	<b>15</b>	617,428.
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34).	4,131,987.	<b>16</b>	6,649,649.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses	770,984.	<b>17</b>	1,025,970.
	<b>18</b> Grants payable		<b>18</b>	7,500.
	<b>19</b> Deferred revenue	1,869,543.	<b>19</b>	3,599,653.
	<b>20</b> Tax-exempt bond liabilities		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D.		<b>21</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.	0.	<b>25</b>	8,058.
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25.	2,640,527.	<b>26</b>	4,641,181.
	<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>		
<b>27</b> Unrestricted net assets		909,279.	<b>27</b>	1,762,486.
<b>28</b> Temporarily restricted net assets		582,181.	<b>28</b>	245,982.
<b>29</b> Permanently restricted net assets			<b>29</b>	
<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>				
<b>30</b> Capital stock or trust principal, or current funds			<b>30</b>	
<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund			<b>31</b>	
<b>32</b> Retained earnings, endowment, accumulated income, or other funds			<b>32</b>	
<b>33</b> <b>Total net assets or fund balances</b>		1,491,460.	<b>33</b>	2,008,468.
<b>34</b> <b>Total liabilities and net assets/fund balances</b>		4,131,987.	<b>34</b>	6,649,649.

Form 990 (2014)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	11,081,983.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	10,393,723.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	688,260.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	1,491,460.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	<171,252.>
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	0.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	2,008,468.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990 <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		<b>X</b>
<b>b</b> Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>X</b>	
<b>c</b> If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	<b>X</b>	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		<b>X</b>
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form **990** (2014)



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	6,303,295.	7,266,273.	8,687,678.	10,554,212.	11,070,560.	43,882,018.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	6,303,295.	7,266,273.	8,687,678.	10,554,212.	11,070,560.	43,882,018.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 <b>Public support.</b> Subtract line 5 from line 4						43,882,018.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4	6,303,295.	7,266,273.	8,687,678.	10,554,212.	11,070,560.	43,882,018.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	7,943.	4,422.	3,602.	5,158.	6,847.	27,972.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)					4,576.	4,576.
11 <b>Total support.</b> Add lines 7 through 10						43,914,566.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> ► <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f))	14	99.93	%
15 Public support percentage from 2013 Schedule A, Part II, line 14	15		%
16a <b>33 1/3% support test - 2014.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ► <input checked="" type="checkbox"/>			
b <b>33 1/3% support test - 2013.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ► <input type="checkbox"/>			
17a <b>10% -facts-and-circumstances test - 2014.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>			
b <b>10% -facts-and-circumstances test - 2013.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>			
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/>			

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> <span style="float: right;">► <input type="checkbox"/></span>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2013 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2013 Schedule A, Part III, line 17	<b>18</b>	%

**19a 33 1/3% support tests - 2014.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**b 33 1/3% support tests - 2013.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐



**Part IV Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
<b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990).		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
<b>b</b> Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>c</b> Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>10a</b> Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer (b) below.		
<b>b</b> Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV** **Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	<b>11a</b>	
b A family member of a person described in (a) above?	<b>11b</b>	
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .	<b>11c</b>	

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	<b>1</b>	
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	<b>2</b>	

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	<b>1</b>	

**Section D. Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	<b>1</b>	
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).	<b>2</b>	
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.	<b>3</b>	

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.	
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.	
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).	
<b>2</b> Activities Test. Answer (a) and (b) below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI</b> identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	<b>2a</b>
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	<b>2b</b>
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in <b>Part VI</b> .	<b>3a</b>
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.	<b>3b</b>

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	

  

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> )		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

  

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

**Part V** **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ) See instructions.	
<b>7</b> <b>Total annual distributions.</b> Add lines 1 through 6.	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ) See instructions	
<b>9</b> Distributable amount for 2014 from Section C, line 6	
<b>10</b> Line 8 amount divided by Line 9 amount	

<b>Section E - Distribution Allocations</b> (see instructions)	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2014</b>	<b>(iii) Distributable Amount for 2014</b>
<b>1</b> Distributable amount for 2014 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2014 (reasonable cause required-see instructions)			
<b>3</b> Excess distributions carryover, if any, to 2014			
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> From 2013			
<b>f</b> <b>Total</b> of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2014 distributable amount			
<b>i</b> Carryover from 2009 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f			
<b>4</b> Distributions for 2014 from Section D, line 7. \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2014 distributable amount			
<b>c</b> Remainder Subtract lines 4a and 4b from 4			
<b>5</b> Remaining underdistributions for years prior to 2014, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
<b>6</b> Remaining underdistributions for 2014. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
<b>7</b> <b>Excess distributions carryover to 2015.</b> Add lines 3j and 4c			
<b>8</b> Breakdown of line 7			
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b> Excess from 2013			
<b>e</b> Excess from 2014			

Schedule A (Form 990 or 990-EZ) 2014

## Part VI

**Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12.

Also complete this part for any additional information. (See instructions)

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**SCHEDULE D**  
(Form 990)Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes" to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**Open to Public  
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included in Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included in Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets**(continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply).

- a ☐ Public exhibition  
 b ☐ Scholarly research  
 c ☐ Preservation for future generations  
 d ☐ Loan or exchange programs  
 e ☐ Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table.

- c Beginning balance  
 d Additions during the year  
 e Distributions during the year  
 f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a Board designated or quasi-endowment ☐ \_\_\_\_\_ %  
 b Permanent endowment ☐ \_\_\_\_\_ %  
 c Temporarily restricted endowment ☐ \_\_\_\_\_ %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations  
 (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements	311,904.		208,891.	103,013.
d Equipment	170,356.		141,478.	28,878.
e Other	59,807.		21,251.	38,556.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				170,447.

Schedule D (Form 990) 2014

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) DEPOSITS	10,642.
(2) ADVANCES TO LOCAL PARTNERS	606,786.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	617,428.

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability		(b) Book value
(1)	Federal income taxes	
(2)	DEFERRED RENT	8,058.
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)		8,058.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	11,081,983.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains (losses) on investments	<b>2a</b>	
<b>b</b>	Donated services and use of facilities	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII)	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	11,081,983.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	0.
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	11,081,983.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	10,393,723.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	
<b>b</b>	Prior year adjustments	<b>2b</b>	
<b>c</b>	Other losses	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII)	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	10,393,723.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	0.
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	10,393,723.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information

**PART X, LINE 2:**

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2014, THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2012 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.

Schedule D (Form 990) 2014		RICHIE TAYLOR	
<b>Part XIII</b>	<b>Supplemental Information</b> (continued)		

432055  
10-01-14

**SCHEDULE F  
(Form 990)**Department of the Treasury  
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**Open to Public  
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

**Part I** General Information on Activities Outside the United States. Complete if the organization answered "Yes" on

Form 990, Part IV, line 14b

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

**3 Activities per Region** (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
CENTRAL AMERICA AND CARIBBEAN	0	3	GRANTS TO RECIPIENTS		0.
EAST ASIA AND THE PACIFIC	0	16	GRANTS TO RECIPIENTS		0.
EUROPE	0	3	GRANTS TO RECIPIENTS		0.
SOUTH AMERICA	0	5	GRANTS TO RECIPIENTS		0.
SOUTH ASIA	0	7	GRANTS TO RECIPIENTS		0.
SUB SAHARAN AFRICA	0	24	GRANTS TO RECIPIENTS		0.
<b>3 a Sub-total</b>	0	58			0.
<b>b Total from continuation sheets to Part I</b>	0	0			0.
<b>c Totals (add lines 3a and 3b)</b>	0	58			0.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2014

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND CARIBBEAN	PROMOTING EXCHANGE OF EXPERIENCES AND LESSONS LEARNED IN INTEGRATED FOREST	7,066.	EFT	0.		
		CENTRAL AMERICA AND CARIBBEAN	ENSURING THE RECOGNITION OF 191,000 HA TO FIVE NEW FOREST COMMUNITY	73,457.	EFT	0.		
		CENTRAL AMERICA AND CARIBBEAN	ADVANCING THE ADVOCACY STRATEGY OF THE NATIONAL ALLIANCE OF COMMUNITY FORESTRY	45,792.	EFT	0.		
		CENTRAL AMERICA AND CARIBBEAN	SECURING COLLECTIVE TENURE RIGHTS AND BOLSTERING IMPORTANCE OF COMMUNITY FORESTRY	56,971.	EFT	0.		
		CENTRAL AMERICA AND CARIBBEAN	SUPPORT TO RRI REGIONAL FACILITATOR FOR CENTRAL AMERICA	29,581.	EFT	0.		
		EAST ASIA AND THE PACIFIC	INVESTIGATION OF LARGE SCALE FORESTLAND ACQUISITION PRACTICES	47,352.	EFT	0.		
		EAST ASIA AND THE PACIFIC	INITIAL ASSESSMENT OF CHINESE INVESTMENTS ABROAD	12,705.	EFT	0.		
		EAST ASIA AND THE PACIFIC	QUANTITATIVE ANALYSIS OF TENURE REFORMS IN ETHNIC MINORITY AREAS	18,366.	EFT	0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

53

SEE PART V FOR COLUMN (D) DESCRIPTIONS

Schedule F (Form 990) 2014

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	FINALIZE SECOND ROUND SURVEY ON TENURE REFORM AND CIRCULATE DATA, ANALYSES AND	5,194.EFT		0		
		EAST ASIA AND THE PACIFIC	ASIA REGIONAL FACILITATION	6,781.EFT		0.		
		EAST ASIA AND THE PACIFIC	GLOBAL CONFERENCE OF INDIGENOUS PEOPLES ON LESSONS AND GOOD PRACTICES ON	55,428.EFT		0.		
		EAST ASIA AND THE PACIFIC	SUPPORT LAWYERS FOR COMMUNITY TENURE	22,520.EFT		0.		
		EAST ASIA AND THE PACIFIC	HARMONIZATION OF FOREST GAZETEMENT-RELATED REGULATIONS	25,000.EFT		0.		
		EAST ASIA AND THE PACIFIC	NATIONAL MULTI STAKEHOLDER DIALOGUE ON CUSTOMARY FORESTS FOR	0.		0.		
		EFT	16,002.61	0.		0.		
		EAST ASIA AND THE PACIFIC	STRENGTHENING LIWG STRATEGY AND REGIONAL APPROACH TO ENSURE LIWG ADAPTS TO	13,000.EFT		0.		
		EAST ASIA AND THE PACIFIC	PUBLICIZE AND DISSEMINATE BEST PRACTICES FOR REFORM IMPLEMENTATION AT THE	21,427.EFT		0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	NATIONAL INQUIRY ON THE INDIGENOUS PEOPLE'S RIGHTS IN THE FOREST AREAS OF	40,000.	EFT	0.		
		EAST ASIA AND THE PACIFIC	LOCAL COMMUNITY EMPOWERMENT AND CAPACITY BUILDING FOR AGRARIAN REFORM	32,656.	EFT	0.		
		EAST ASIA AND THE PACIFIC	IMPACT ANALYSIS ON CUSTOMARY FOREST MANAGEMENT IN ETHNIC COMMUNITIES	0.		0.		
		EFT	40,000.00	0.		0.		
		EAST ASIA AND THE PACIFIC	PRELIMINARY EXPLORATION ON DEVELOPING A NEW VISION FOR GREEN	50,000.	EFT	0.		
		EAST ASIA AND THE PACIFIC	PRI CHINA PLANNING MEETING 2015	5,273.	EFT	0.		
		EAST ASIA AND THE PACIFIC	STUDY TOUR ON NATIONAL COMMUNITY FORESTRY DEVELOPMENT: POLICY AND	9,909.	EFT	0.		
		EAST ASIA AND THE PACIFIC	NATIONAL CONFERENCE ON JUST GOVERNANCE AND RESOURCE RIGHTS	35,072.	EFT	0.		
		EAST ASIA AND THE PACIFIC	PRODUCING WHITE PAPER ON LAND AND FOREST GOVERNANCE	14,000.	EFT	0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	REALIZING RIGHTS ANNOTATED BIBLIOGRAPHY AND SERIES OF LECTURES	30,000.	EFT	0.		
		EAST ASIA AND THE PACIFIC	INDIGENOUS WOMEN CAPACITY BUILDING TO DOCUMENT THEIR RIGHTS OVER LAND AND NATURAL	25,000.	EFT	0.		
		EAST ASIA AND THE PACIFIC	VISIONING THE STATUS OF ANCESTRAL DOMAINS (WILAYAH ADAT) IN INDONESIA IN THE YEAR	42,896.	EFT	0.		
		EAST ASIA AND THE PACIFIC	REGIONAL LEARNING AND EXCHANGE ON CULTURE, MAPPING, AND REGISTRATION IN ASIA	27,324.	EFT	0.		
		EAST ASIA AND THE PACIFIC	RRI FACILITATION IN SOUTHEAST ASIA	37,519.	EFT	0.		
		EAST ASIA AND THE PACIFIC	INDONESIA COUNTRY PLANNING MEETING 2014-2015	6,454.	EFT	0.		
		EUROPE	4TH DIALOGUE ON SOUTHEAST ASIAN HUMAN RIGHTS AND AGRIBUSINESS	70,000.	EFT	0.		
		EUROPE	SECURING THE RIGHTS OF ANCESTRAL FOREST COMMUNITIES IN FOREST RESERVES IN	0.		0.		
		EFT		100,000.00	0.	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE	TENURE RIGHTS FOR INDIGENOUS PEOPLES IN CAMEROON	30,000.	EFT	0.		
		EUROPE	LEGAL ANALYSIS OF THE RELATIONSHIP BETWEEN OVERLAPPING PROTECTED AREAS AND COMMUNITY	7,929.	EFT	0.		
		EUROPE	SCOPING RESEARCH ON COMMUNITY TENURE AND CONSERVATION OUTCOMES	9,986.	EFT	0.		
		SOUTH AMERICA	*STUDIES ON THE IMPACTS OF MEGAPROJECTS ON THE COLLECTIVE RIGHTS OF	0.		0.		
		EFT	81,625.28	0.		0.		
		SOUTH AMERICA	ADVOCACY STRATEGY TO IMPROVE THE LEGAL FRAMEWORK TO PROTECT INDIGENOUS PEOPLES IN	49,917.	EFT	0.		
		SOUTH AMERICA	STRENGTHENING THE GOVERNANCE OF INDIGENOUS ORGANIZATIONS IN THE	55,791.	EFT	0.		
		SOUTH AMERICA	RRI REGIONAL FACILITATOR FOR SOUTH AMERICA	26,928.	EFT	0.		
		SOUTH AMERICA	*ADVOCACY STRATEGY TO ADVANCE PENDING LAND TITLING AND COLLECTIVE COMMUNITY	0.		0.		



Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EFT	9,689.44	0.		0.		
		SOUTH AMERICA	ENCUENTRO NACIONAL MEETING FOR SECURING TENURE OF INDIGENOUS AND RURAL COMMUNITIES	0.		0.		
		EFT	23,758.67	0.		0.		
		SOUTH AMERICA	MAP OF DEFORESTATION AND SOURCES OF INVESTMENTS ON MINING AND HYDROCARBONS IN	19,405.	EFT	0.		
		SOUTH AMERICA	XVI RRI DIALOGUE ON FOREST, GOVERNANCE AND CLIMATE CHANGE INVESTMENT,	27,071.	EFT	0.		
		SOUTH AMERICA	INSTITUTO LATINOAMERICANO PARA UNA SOCIEDAD Y UN DERECHO ALTERNATIVOS	35,000.	EFT	0.		
		SOUTH AMERICA	HIGHLIGHTING THE THREATS POSED BY BRAZIL AGRIBUSINESS BOOM TO THE LAND AND	10,767.	EFT	0.		
		SOUTH AMERICA	ADVOCACY STRATEGY TO ADVANCE LAW 70 REGULATION ON COLLECTIVE TENURE	30,000.	EFT	0.		
		SOUTH AMERICA	INTERNATIONAL FORUM	0.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EFT	67,071.53	0.	436,024.02	0.		
		SOUTH ASIA	ANALYSES OF FINANCIAL REGULATIONS, CONTRADICTIONARY COMPONENTS IN ONGOING	25,000.	EFT	0.		
		SOUTH ASIA	ISSUE BASED MEETINGS WITH JOURNALISTS AND MEDIA BRIEFINGS	10,000.	EFT	0.		
		SOUTH ASIA	ANALYSIS AND PUBLICIZING OF COMMITMENTS AND POLICY	15,000.	EFT	0.		
		SOUTH ASIA	COMPARATIVE ANALYSIS OF REGULATORY BARRIERS TO COMMUNITY, PRIVATE	23,096.	EFT	0.		
		SOUTH ASIA	ADVOCACY FOR ENTERPRISE FRIENDLY POLICY AT THE LOCAL AND NATIONAL LEVEL	15,000.	EFT	0.		
		SOUTH ASIA	ADVOCACY FOR INCLUSION OF COMMUNITY PROPERTY RIGHTS IN POLICY	25,000.	EFT	0.		
		SOUTH ASIA	WIDER MOBILIZATION OF MEDIA TO RAISE AWARENESS OF COMMUNITY	0.		0.		
		EFT	20,000.00	0.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	POLICY ADVOCACY FOR PREVENTING ROLLBACK AND ENSURING CF IS CONSIDERED IN	15,000.	EFT	0.		
		SOUTH ASIA	ENGAGEMENT OF PRIVATE SECTOR IN COMMUNITY FOREST ENTERPRISE (CFE) DEVELOPMENT	9,987.	EFT	0.		
		SOUTH ASIA	IMPROVING GOVERNANCE IN FOREST SECTOR THROUGH SOCIAL CAMPAIGN	24,488.	EFT	0.		
		SOUTH ASIA	CONSULTATION/DIALOGUE OF INDIGENOUS PEOPLES ON FOREST RELATED POLICIES AND PROGRAMS	34,297.	EFT	0.		
		SOUTH ASIA	ENHANCE INCLUSIVE PARTICIPATION AND MAINSTREAMING OF COMMUNITY FORESTRY	10,000.	EFT	0.		
		SOUTH ASIA	MEDIA ENGAGEMENT AND CONVENING ON FINANCIAL REGULATIONS AND COMPENSATORY	13,260.	EFT	0.		
		SOUTH ASIA	COMPLETION OF INITIAL STUDY ON INDIAN INVESTMENTS ABROAD AND ASSESSMENT OF	8,187.	EFT	0.		
		SOUTH ASIA	EXCHANGE BETWEEN INDIAN AND INTERNATIONAL ACTIVISTS ON	25,762.	EFT	0.		
		SOUTH ASIA	LAWYER TRAINING PROGRAM	28,549.	EFT	0.		

## Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	POLITICAL STUDY OF THE POTENTIAL OF FRA	9,233.	EFT	0.		
		SOUTH ASIA	SUPPORTING MAPPING CFR BOUNDARIES AND GOVERNANCE OF COMMUNITY FORESTS IN	20,290.	EFT	0.		
		SOUTH ASIA	SCALING UP PARTICIPATORY COMMUNITY MAPPING IN INDIA	32,317.	EFT	0.		
		SUB SAHARAN AFRICA	RECLASSIFICATION OF FIVE FOREST MANAGEMENT UNITS (UFAS) IN CAMEROON TO	27,688.	EFT	0.		
		SUB SAHARAN AFRICA	RRI FACILITATION IN CENTRAL AFRICA	12,261.	EFT	0.		
		SUB SAHARAN AFRICA	RRI FACILITATION FOR THE SAHEL	9,136.	EFT	0.		
		SUB SAHARAN AFRICA	SCALE UP PARTICIPATORY, DECENTRALIZED NATURAL RESOURCE MANAGEMENT	22,888.	EFT	0.		
		SUB SAHARAN AFRICA	TROISIEME ATELIER REGIONAL SUR LE GENRE ET LES TENURES FONCIERES ET	56,888.	EFT	0.		
		SUB SAHARAN AFRICA	LAND & FOREST LAWS AND POLICIES IN CAMEROON	55,000.	EFT	0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB SAHARAN AFRICA	OPTIONS TO SECURE THE LANDS OF LOCAL COMMUNITIES IN CAMEROON	44,000	EFT	0.		
		SUB SAHARAN AFRICA	OPTIONS TO SECURE THE LANDS OF LOCAL COMMUNITIES IN	0.		0.		
		EFT	52,145.00	0.		0.		
		SUB SAHARAN AFRICA	DEVELOP AN ADVOCACY PLAN FOR LAND AND FOREST TENURE RIGHTS OF COMMUNITIES	22,000	EFT	0.		
		SUB SAHARAN AFRICA	DEVELOP AND IMPLEMENT AN ADVOCACY PLAN ON REDD+ AND THE LAND REFORM IN RELATION TO	38,000	EFT	0.		
		SUB SAHARAN AFRICA	GENDER ANALYSIS OF LIBERIA NATIONAL REDD+ FRAMEWORKS AND STRATEGY	16,518	EFT	0.		
		SUB SAHARAN AFRICA	STRENGTHENING AND SUPPORT FOR ONGOING OPERATIONS	0.		0.		
		EFT	50,000.00	0.		0.		
		SUB SAHARAN AFRICA	ADVOCACY FOR THE RECOGNITION OF LAND AND FOREST TENURE RIGHTS OF LOCAL	14,667	EFT	0.		

## Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB SAHARAN AFRICA	CUSTOMARY LAND AND PROPERTY RIGHTS[] CONSTITUTIONAL PROPOSITION. NEW LAND	25,023.	EFT	0.		
		SUB SAHARAN AFRICA	GENDER AND WOMEN[] TENURE RIGHTS PHASE I: ASSESSING THE IMPACTS (NEGATIVE OR	17,360.	EFT	0.		
		SUB SAHARAN AFRICA	INSTITUTIONAL STRENGTHENING AND SUPPORT FOR ONGOING	0.		0.		
		EFT	50,000.00	0.		0.		
		SUB SAHARAN AFRICA	SENSITIZATION OF COMMUNITIES AND OTHER STAKEHOLDERS ON THE ISSUES SURROUNDING	30,788.	EFT	0.		
		SUB SAHARAN AFRICA	COORDINATION OF RRI-MULTI-STAKEHOLDER FORESTRY PROGRAMME (MSFP) COLLABORATION	14,628.	EFT	0.		
		SUB SAHARAN AFRICA	REGIONAL FACILITATION SUPPORT TO RRI ACTIVITIES IN THE SAHEL	68,683.	EFT	0.		
		SUB SAHARAN AFRICA	ESTABLISHMENT OF THREE (3) LOCAL LAND COMMISSIONS AND ADVOCACY FOR THE	57,241.	EFT	0.		
		SUB SAHARAN AFRICA	SOCIAL ENGAGEMENT ON COMMUNITIES LAND RIGHTS IN MALI CLIMATE CHANGE	0.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EFT	18,691.00	0.		0.		
		SUB SAHARAN AFRICA	MALI PRE-PLANNING MEETING AND SAHEL REGIONAL PLANNING MEETING 2014-2015	20,770.	EFT	0.		
		SUB SAHARAN AFRICA	ADVOCACY FOR THE RECOGNITION OF LOCAL COMMUNITIES AND VULNERABLE GROUPS	51,454.	EFT	0.		
		SUB SAHARAN AFRICA	RRI SENEGAL PRE-PLANNING MEETING 2014-2015	5,483.	EFT	0.		
		SUB SAHARAN AFRICA	INCREASE THE CAMEROON PROGRAM VISIBILITY WITH A	0.		0.		
		EFT	26,733.00	0.		0.		
		SUB SAHARAN AFRICA	GENDER & CUSTOMARY TENURE RIGHTS IN CAMEROON	30,000.	EFT	0.		
		SUB SAHARAN AFRICA	TENURE RIGHTS AND REDD+ IN CAMEROON	30,000.	EFT	0.		
		SUB SAHARAN AFRICA	PARLIAMENTARIANS WORKSHOP	14,888.	EFT	0.		

## Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB SAHARAN AFRICA	CIVIL SOCIETY ENGAGEMENT IN THE DRC LAND REFORM	109,500.	EFT	0.		
		SUB SAHARAN AFRICA	RRIT DRC PLANNING MEETING 2014-2015	7,045.	EFT	0.		
		SUB SAHARAN AFRICA	PROMOTE WOMEN FOREST ENTERPRISES ANALYSIS OF FOREST REGULATIONS AND	19,417.	EFT	0.		
		SUB SAHARAN AFRICA	STRENGTHENING AND SUPPORT FOR ONGOING OPERATIONS OF LIBERIAN	0.		0.		
		EFT	50,000.00	0.		0.		
		SUB SAHARAN AFRICA	SECURE COMMUNITIES AND WOMEN LOCAL LAND RIGHTS IN TWO COMMUNES THE	0		0.		
		EFT	50,000.00	0.		0.		
		SUB SAHARAN AFRICA	INCLUSION OF GENDER, WOMEN TENURE RIGHTS AND CLIMATE CHANGE IN LOCAL LEVEL	20,000.	EFT	0.		
		SUB SAHARAN AFRICA	PRIVATE SECTOR ENGAGEMENT AND RAISING OF AWARENESS ON ALTERNATIVE	36,625.	EFT	0.		



Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB SAHARAN AFRICA	LOCAL PUBLIC DEBATES AND NATIONAL DIALOGUES ON THE ISSUE OF LSLA AND THE	41,590	EFT	0.		
		SUB SAHARAN AFRICA	DRC BASELINE EXPERTS MEETING	9,550	EFT	0.		
		SUB SAHARAN AFRICA	TRAINING SUPPORT FOR REFACOP 3RD REGIONAL WORKSHOP ON GENDER AND LAND AND	22,248	EFT	0.		

**Part III Grants and Other Assistance to Individuals Outside the United States** Complete if the organization answered "Yes" on Form 990, Part IV, line 16

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non cash assistance	(g) Description of non cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990) ☐ Yes ☒ No

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

**PART II, COLUMN (D):**

REGION: CENTRAL AMERICA AND CARIBBEAN

(D) PURPOSE OF GRANT: PROMOTING EXCHANGE OF EXPERIENCES AND LESSONS  
LEARNED IN INTEGRATED FOREST MANAGEMENT & TERRITORIAL GOVERNANCE

REGION: CENTRAL AMERICA AND CARIBBEAN

(D) PURPOSE OF GRANT: ENSURING THE RECOGNITION OF 191,000 HA TO FIVE NEW  
FOREST COMMUNITY ORGANIZATIONS IN THE MAYAN BIOSPHERE RESERVE (MBR),  
PETEN GUATEMALA

REGION: CENTRAL AMERICA AND CARIBBEAN

(D) PURPOSE OF GRANT: ADVANCING THE ADVOCACY STRATEGY OF THE NATIONAL  
ALLIANCE OF COMMUNITY FORESTRY ON CLIMATE CHANGE AND FOREST ISSUES

REGION: CENTRAL AMERICA AND CARIBBEAN

(D) PURPOSE OF GRANT: SECURING COLLECTIVE TENURE RIGHTS AND BOLSTERING  
IMPORTANCE OF COMMUNITY FORESTRY MODELS THROUGH STRATEGIC COALITIONS  
AMONG INDIGENOUS PEOPLES AND COMMUNITY FOREST GROUPS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: INVESTIGATION OF LARGE SCALE FORESTLAND  
ACQUISITION PRACTICES BY LEADING INTERNATIONAL COMPANIES IN CHINA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: FINALIZE SECOND ROUND SURVEY ON TENURE REFORM AND  
CIRCULATE DATA, ANALYSES AND POLICY RECOMMENDATIONS

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: GLOBAL CONFERENCE OF INDIGENOUS PEOPLES ON LESSONS  
AND GOOD PRACTICES ON COMMUNITY PARTICIPATORY MAPPING

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: STRENGTHENING LIWG STRATEGY AND REGIONAL APPROACH  
TO ENSURE LIWG ADAPTS TO CURRENT POLITICAL SITUATION AND UTILIZES  
OPPORTUNITIES FOR CIVIL SOCIETY PARTICIPATION IN POLICY PROCESSES

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: PUBLICIZE AND DISSEMINATE BEST PRACTICES FOR  
REFORM IMPLEMENTATION AT THE LOCAL LEVEL, WITH LESSONS LEARNED FROM  
DESIGNATED PROVINCES AND DISTRICTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: NATIONAL INQUIRY ON THE INDIGENOUS PEOPLE'S RIGHTS  
IN THE FOREST AREAS OF INDONESIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: LOCAL COMMUNITY EMPOWERMENT AND CAPACITY BUILDING  
FOR AGRARIAN REFORM INITIATIVE IN JAVA FOREST

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: PRELIMINARY EXPLORATION ON DEVELOPING A NEW VISION  
FOR GREEN GROWTH IN CHINA; PREPARATION FOR LARGE-SCALE CONFERENCE IN 2015

REGION: EAST ASIA AND THE PACIFIC

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region), Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

(D) PURPOSE OF GRANT: STUDY TOUR ON NATIONAL COMMUNITY FORESTRY

DEVELOPMENT: POLICY AND IMPLEMENTATION

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: REALIZING RIGHTS: ANNOTATED BIBLIOGRAPHY AND

SERIES OF LECTURES FOR ADVANCING RIGHTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: INDIGENOUS WOMEN CAPACITY BUILDING TO DOCUMENT

THEIR RIGHTS OVER LAND AND NATURAL RESOURCES

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: VISIONING THE STATUS OF ANCESTRAL DOMAINS (WILAYAH

ADAT) IN INDONESIA IN THE YEAR 2025

REGION: EUROPE

(D) PURPOSE OF GRANT: LEGAL ANALYSIS OF THE RELATIONSHIP BETWEEN

OVERLAPPING PROTECTED AREAS AND COMMUNITY LAND RIGHTS IN A SAMPLE SET OF  
14 MEGA-DIVERSITY COUNTRIES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: "STUDIES ON THE IMPACTS OF MEGAPROJECTS ON THE

COLLECTIVE RIGHTS OF AFRO?

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO IMPROVE THE LEGAL FRAMEWORK

TO PROTECT INDIGENOUS PEOPLES IN VOLUNTARY ISOLATION, AND INITIAL

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs expenditures per region); Part II, line 1 (accounting method); Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

CONTACT, AND THEIR TERRITORIES IN THE PERUVIAN AMAZON

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: STRENGTHENING THE GOVERNANCE OF INDIGENOUS ORGANIZATIONS IN THE LOWLANDS OF BOLIVIA BY CONTRIBUTING TO THE REALIZATION OF INDIGENOUS PEOPLES' TERRITORIAL RIGHTS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: "ENCUENTRO NACIONAL MEETING FOR SECURING TENURE OF INDIGENOUS AND RURAL COMMUNITIES IN

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: MAP OF DEFORESTATION AND SOURCES OF INVESTMENTS ON MINING AND HYDROCARBONS IN THE PACIFIC COAST OF COLOMBIA, ECUADOR AND PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: XVI RRI DIALOGUE ON FOREST, GOVERNANCE AND CLIMATE CHANGE: INVESTMENT, COMMUNITIES AND CLIMATE CHANGE-CHALLENGES AND OPPORTUNITIES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: INSTITUTO LATINOAMERICANO PARA UNA SOCIEDAD Y UN DERECHO ALTERNATIVOS (ILSA) ON THE PROJECT MONITORING REDD+ PROJECTS AND ITS IMPACT ON COLLECTIVE RIGHTS AND THE ENVIRONMENT

REGION: SOUTH AMERICA

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

(D) PURPOSE OF GRANT: HIGHLIGHTING THE THREATS POSED BY BRAZIL®

AGRIBUSINESS BOOM TO THE LAND AND RESOURCE RIGHTS OF INDIGENOUS AND  
TRADITIONAL COMMUNITIES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO ADVANCE LAW 70 REGULATION ON  
COLLECTIVE TENURE RIGHTS, NATURAL RESOURCES AND ECONOMIC DEVELOPMENT OF  
AFRO-COLOMBIAN COMMUNITIES

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ANALYSES OF FINANCIAL REGULATIONS, CONTRADICTORY  
COMPONENTS IN ONGOING FORESTRY PROGRAMS, AND IMPACTS OF INTERNAL AND  
EXTERNAL LAND ACQUISITIONS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ANALYSIS AND PUBLICIZING OF COMMITMENTS AND POLICY  
RECOMMENDATIONS TO HOLD POLICYMAKERS ACCOUNTABLE TO COMMITMENTS ON NRM

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: COMPARATIVE ANALYSIS OF REGULATORY BARRIERS TO  
COMMUNITY, PRIVATE AND COLLABORATIVE ENTERPRISE MODELS IN NEPAL®  
COMMUNITY FORESTRY

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ADVOCACY FOR INCLUSION OF COMMUNITY PROPERTY  
RIGHTS IN POLICY AGENDA



**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: POLICY ADVOCACY FOR PREVENTING ROLLBACK AND  
ENSURING CF IS CONSIDERED IN FORTHCOMING POLICIES AND IMPLEMENTATION

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ENHANCE INCLUSIVE PARTICIPATION AND MAINSTREAMING  
OF COMMUNITY FORESTRY ISSUES

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: MEDIA ENGAGEMENT AND CONVENING ON FINANCIAL  
REGULATIONS AND COMPENSATORY AFFORESTATION

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: COMPLETION OF INITIAL STUDY ON INDIAN INVESTMENTS  
ABROAD AND ASSESSMENT OF COMPATIBILITIES WITH PARALLEL STUDIES IN CHINA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: EXCHANGE BETWEEN INDIAN AND INTERNATIONAL  
ACTIVISTS ON DEMOCRATIC RESOURCE GOVERNANCE MODELS IN LARGE AREAS,

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SUPPORTING MAPPING CFR BOUNDARIES AND GOVERNANCE  
OF COMMUNITY FORESTS IN ODISHA, INDIA

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: RECLASSIFICATION OF FIVE FOREST MANAGEMENT UNITS  
(UFAS) IN CAMEROON TO RECOGNIZE CUSTOMARY RIGHTS

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method; amounts of investments vs expenditures per region); Part II, line 1 (accounting method), Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: SCALE UP PARTICIPATORY, DECENTRALIZED NATURAL RESOURCE MANAGEMENT AND TO DOCUMENT THE TENURE EFFECTS OF THE SOCIO-POLITICAL CRISIS IN MALI AND OF THE GREAT GREEN WALL PROJECT ACROSS THE SAHEL

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: TROISIEME ATELIER REGIONAL SUR LE GENRE ET LES TENURES FONCIERES ET FORESTIERES EN AFRIQUE

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: DEVELOP AND IMPLEMENT AN ADVOCACY PLAN ON REDD+ AND THE LAND REFORM IN RELATION TO LAND USE PLANNING AS WELL AS TEST PILOT COMMUNITY FORESTRY

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCACY FOR THE RECOGNITION OF LAND AND FOREST TENURE RIGHTS OF LOCAL COMMUNITIES, PASTORALISTS AND WOMEN IN THE MALI RECONCILIATION PROCESS

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: CUSTOMARY LAND AND PROPERTY RIGHTS CONSTITUTIONAL PROPOSITION: NEW LAND POLICY (NLP) AND COMMUNITY RIGHTS LAW (CRL) ARE STRENGTHENED AND PROTECTED IN THE LIBERIAN CONSTITUTION

REGION: SUB SAHARAN AFRICA

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method); Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

(D) PURPOSE OF GRANT: GENDER AND WOMEN® TENURE RIGHTS PHASE I:

ASSESSING THE IMPACTS (NEGATIVE OR POSITIVE) OF LIBERIA® LARGE SCALE  
CONCESSIONS LAND DEVELOPMENT ON WOMEN® LAND AND NATURAL RESOURCES  
TENURE RIGHTS

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: SENSITIZATION OF COMMUNITIES AND OTHER

STAKEHOLDERS ON THE ISSUES SURROUNDING THE GREAT GREEN WALL PROJECT AND  
STRENGTHENING OF REGIONAL COOPERATION

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: ESTABLISHMENT OF THREE (3) LOCAL LAND COMMISSIONS

AND ADVOCACY FOR THE TRANSFER OF POWER IN NATURAL RESOURCES MANAGEMENT.

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: "SOCIAL ENGAGEMENT ON COMMUNITIES LAND RIGHTS IN

MALI CLIMATE CHANGE STRATEGIES AND

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCACY FOR THE RECOGNITION OF LOCAL COMMUNITIES

AND VULNERABLE GROUPS® CONCERNS AND THE FOREST DIMENSION IN THE LAND  
REFORM IN SENEGAL

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: PROMOTE WOMEN® FOREST ENTERPRISES: ANALYSIS OF

FOREST REGULATIONS AND IDENTIFICATION OF OPTIONS TO SECURE WOMEN® RIGHTS  
TO LAND AND FOREST RESOURCES

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: INCLUSION OF GENDER, WOMEN'S TENURE RIGHTS AND  
CLIMATE CHANGE IN LOCAL LEVEL GOVERNANCE

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: PRIVATE SECTOR ENGAGEMENT AND RAISING OF AWARENESS  
ON ALTERNATIVE ECONOMIC MODELS IN BURKINA FASO

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: LOCAL PUBLIC DEBATES AND NATIONAL DIALOGUES ON THE  
ISSUE OF LSLA AND THE GOVERNANCE OF CLASSIFIED FORESTS

REGION: SUB SAHARAN AFRICA

(D) PURPOSE OF GRANT: TRAINING SUPPORT FOR REFACOF'S 3RD REGIONAL  
WORKSHOP ON GENDER AND LAND AND FOREST TENURE IN AFRICA

**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Open to Public  
Inspection

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE INC.**

Employer identification number  
**20-3690821**

**Part I** General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

**Part II** Grants and Other Assistance to Domestic Organizations and Domestic Governments Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non cash assistance	(h) Purpose of grant or assistance
INTERNATIONAL FORESTRY RESOURCES AND INSTITUTIONS (U. MICHIGAN) - 4573 DANA BUILDING/440 CHURCH STREET - ANN ARBOR, MI 48109-1041	38-6006309	501C3	44,966.	0.			
WORLD RESOURCES INSTITUTE 10 G STREET NE WASHINGTON, DC 20002	52-1257057	501C3	44,937.	0.			
CENTER FOR INTERNATIONAL ENVIRONMENTAL LAW (CIEL) - 1350 CONNECTICUT AVENUE NW SUITE 1100 - WASHINGTON, DC 20036-1739	26-0004395	501C3	9,414.	0.			
INDIAN LAW RESOURCE CENTER 602 N EWING STREET HELENA, MT 59601	52-1121079	501C3	42,979.	0.			
LANDESA 1424 FOURTH AVE SUITE 300 SEATTLE, WA 98101	91-1158970	501C3	40,000.	0.			
LANDESA 1424 FOURTH AVE SUITE 300 SEATTLE, WA 98101	91-1158970	501C3	50,000.	0.			

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule I (Form 990) (2014)

[illegible]

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.


**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Open to Public  
Inspection

**RIGHTS AND RESOURCES INSTITUTE INC.**

Employer identification number  
**20-3690821**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                     | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input type="checkbox"/> Compensation survey or study                               |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

**1b**

**2**

**4a**

**4b**

**4c**

**5a**

**5b**

**6a**

**6b**

**7**

**8**

**9**

**X**

**X**

**X**

**X**

**X**

**X**

**X**

**X**

**X**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2014





Part III	Supplemental Information
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Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**SCHEDULE O**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2014**

Open to Public  
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number  
20-3690821

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION  
TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO  
OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND  
FORESTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

NATURAL RESOURCES, ESPECIALLY LAND AND FORESTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ADVOCACY LED BY CIVIL SOCIETY, MOST POLITICAL PARTIES' ELECTION  
MANIFESTOS HAD INCLUDED ENSHRINEMENT OF COMMUNITY PROPERTY RIGHTS IN  
THE FORTHCOMING CONSTITUTION. HOWEVER, THE POLITICAL NEGOTIATION OVER  
THE CONSTITUTION CONTINUES AND SO FAR, THE PRELIMINARY DRAFTS OF THE  
CONSTITUTION HAVE NOT YET INCLUDED COMMUNITY PROPERTY RIGHTS. 3) PERU:  
THE NATIONAL GOVERNMENT IMPROVED THE LEGAL FRAMEWORK FOR THE  
RECOGNITION AND PROTECTION OF INDIGENOUS PEOPLES IN VOLUNTARY ISOLATION  
AND INITIAL CONTACT (PIAVCI, FOR ITS SPANISH INITIALS) BY ISSUING THE  
SUPREME (PRESIDENTIAL) DECREE 001-2014 MC. THIS DECREE RECOGNIZES THE  
EXISTENCE OF PIAVCI IN FIVE AREAS OF THE AMAZON, COVERING APPROXIMATELY  
4 MILLION HECTARES, AND DEFINES MEASURES FOR THEIR PROTECTION. THE  
GOVERNMENT ALSO COMMISSIONED A SERIES OF TECHNICAL STUDIES TO IMPROVE  
THE PROCESS OF DECLARATION OF INDIGENOUS RESERVES. HOWEVER, THE  
GOVERNMENT APPROVED LAW 30230, WHICH THREATENS COMMUNITY LAND RIGHTS.  
DURING THE COP-20 THE PERUVIAN GOVERNMENT DID NOT COMMIT TO PROTECTING  
AND TITLING INDIGENOUS COMMUNITIES' LAND RIGHTS. 4) COLOMBIA:

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number  
20-3690821

REGULATIONS RELATED TO LAW 70 HAVE BEEN DRAFTED, BUT THE GOVERNMENT HAS BEEN SLOW TO BEGIN A FORMAL PROCESS OF LEGISLATIVE APPROVAL.

CONSULTATION ON THE RURAL DEVELOPMENT LAW HAS BEEN LIMITED, WITH THE PROCESS SUFFERING FROM GOVERNMENT DELAYS AND THE POLITICAL SENSITIVITIES OF THE ONGOING PEACE PROCESS, WHICH DIRECTLY AFFECTS THE RURAL DEVELOPMENT LAW. 5) MALI: A NEW RRI-SUPPORTED STUDY RELEASED AT A WORKSHOP HOSTED BY THE HIGH COUNCIL OF LOCAL COLLECTIVES (HCC, FOR ITS FRENCH INITIALS), PROVIDED RECOMMENDATIONS FOR THE INCLUSION OF LOCAL COMMUNITIES' TENURE RIGHTS IN MALI'S NATIONAL PEACE AND RECONCILIATION PROCESS. THE STUDIES' FINDINGS DEMONSTRATED THE DESTRUCTIVE ROLE THAT WEAK NATURAL RESOURCE GOVERNANCE AND A LACK OF EFFECTIVE DECENTRALIZATION PLAY IN CAUSING LOCAL CONFLICT, AND HIGHLIGHTED THE WAYS IN WHICH THE RETURN OF DISPLACED POPULATIONS AND AGRICULTURAL MIGRANT WORKERS HAVE IMPACTED NATURAL RESOURCE GOVERNANCE. THE HCC HAS AGREED TO BRING THE STUDIES' RECOMMENDATIONS FORWARD IN THE ONGOING PEACE AND RECONCILIATION PROCESS. MALI'S MAIN NATIONAL CIVIL SOCIETY PLATFORM ON CLIMATE "RESO-CLIMAT," IN COLLABORATION WITH THE RRI COALITION, HAS CONDUCTED AN INVENTORY OF ALL THE NATIONAL STRATEGY AND POLICY DOCUMENTS ON CLIMATE CHANGE, INCLUDING A MAPPING OF MAJOR ACTORS INVOLVED IN SHAPING THE CLIMATE CHANGE AGENDA IN MALI. MALIAN CSOS HAVE ALSO CONDUCTED A STOCKTAKING ANALYSIS OF HOW GENDER AND COMMUNITY TENURE RIGHTS ARE TAKEN INTO ACCOUNT IN THE CLIMATE CHANGE POLICY DOCUMENTS AND HAVE DEVELOPED AN ADVOCACY STRATEGY DOCUMENT BASED ON THESE FINDINGS. 6) DRC: THE FIVE THEMATIC REPORTS AND PROVINCIAL STUDIES COMPRISING THE TENURE BASELINE STUDY HAVE BEEN FINALIZED AND DISCUSSED DURING A VALIDATION MEETING WITH EXPERTS. THE PRELIMINARY FINDINGS AND SYNTHESIS WERE PRESENTED BEFORE THE NATIONAL LAND

COMMISSION (CONAREF) AND THE MINISTRY OF LAND AFFAIRS, WHICH REITERATED

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

ITS COMMITMENT TO USE THESE RESULTS TO INFORM THE LAND REFORM ROAD MAP. FIVE THEMATIC POLICY BRIEFS AND A SYNTHESIS REPORT HAVE SINCE BEEN PRODUCED, HIGHLIGHTING THE BASELINE'S KEY FINDINGS AND RECOMMENDATIONS AND REPRESENTING THE COMPLETION OF THE DRC TENURE BASELINE STUDY. A NATIONAL VALIDATION WORKSHOP WILL BE HELD IN EARLY 2015, WITH THE RESULTS AND RECOMMENDATIONS USED TO INFORM BOTH CIVIL SOCIETY ORGANIZATIONS' ADVOCACY STRATEGY AS WELL AS CONAREF'S LAND REFORM ROAD MAP.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

REPORT), AND A POLICY BRIEF LAUNCHED AT THE WORLD CONFERENCE ON INDIGENOUS PEOPLES. 2) COMMUNITY FORESTRY IN CLIMATE CHANGE: THE WRI-RRI REPORT 'SECURING RIGHTS, COMBATTING CLIMATE CHANGE,' ALONG WITH RRI DIALOGUES AND RRI PARTICIPATION IN IMPORTANT POLICY MEETINGS AT THE UN CLIMATE SUMMIT, UN-REDD POLICY BOARD, AND THE GLOBAL LANDSCAPES FORUM, SIGNIFICANTLY INFLUENCED INTERNATIONAL THINKING ON THE ROLE OF COMMUNITY FORESTRY-AND TENURE REFORM TO ACHIEVE IT-AS A PRIORITY CLIMATE CHANGE STRATEGY AND CONTRIBUTED TO HIGH-LEVEL ENDORSEMENTS BY INFLUENTIAL GOVERNMENT, PRIVATE SECTOR AND CIVIL SOCIETY ACTORS. 3) FOREST CARBON RIGHTS: THE PROCEDURES ON FOREST CARBON RIGHTS ADOPTED BY INTERNATIONAL REDD+ INSTRUMENTS (INCLUDING THOSE MANAGED BY THE WORLD BANK AND THE UN) REMAIN INSUFFICIENT TO RESPECT AND PROTECT CUSTOMARY LAND RIGHTS AND GOVERNANCE. RRI HELD A WELL-RECEIVED 15TH DIALOGUE AND PUBLISHED A POLICY BRIEF ON THE "STATUS OF FOREST CARBON RIGHTS AND IMPLICATIONS FOR COMMUNITIES, THE CARBON TRADE, AND REDD+ INVESTMENTS" WHICH ENSURED AN ONGOING HIGH-PROFILE FOCUS ON CONCERNS THAT THE RIGHTS OF LOCAL COMMUNITIES AND INDIGENOUS PEOPLES ARE INADEQUATELY ADDRESSED IN INTERNATIONAL REDD+ INSTRUMENTS.

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

4) FLEGT AND COMMUNITY ENTERPRISES: POLICY-MAKERS, COMMUNITY PRODUCERS, NGOS, AND DONORS WORKING ON FLEGT HAVE ENHANCED KNOWLEDGE AND PRACTICAL APPROACHES TO SUPPORT COMMUNITY FORESTRY AND ENTERPRISES THROUGH LEGAL AND REGULATORY REFORMS AS A RESULT OF A WORKSHOP CO-ORGANIZED BY RRI AND CHATHAM HOUSE IN MAY 2014. 5) INFLUENTIAL INVESTORS AND COMPANIES ADOPT NEW SCREENS: NESTLE ADOPTED A NEW LAND POLICY, STORA ENSO INITIATED INDEPENDENT HUMAN RIGHTS ASSESSMENTS IN ALL OF THEIR OPERATIONS AND PLANTATION HOLDINGS AROUND THE WORLD IN 2014, AND APP PLEDGED TO ADDRESS LAND RIGHTS VIOLATIONS IN CHINA, ALL WITH INTERVENTIONS FROM RRI. NORGES BANK INVESTMENT MANAGEMENT (NBIM) ALSO BEGAN DEVELOPING A FRAMEWORK TO ASSESS ENVIRONMENTAL, SOCIAL, AND GOVERNANCE RISKS ACROSS PORTFOLIO SECURITIES, INCLUDING RISKS FROM INSECURE LAND TENURE. THE INTERLAKEN GROUP OF COMPANIES, CATALYZED BY RRI, AGREED TO DEVELOP GUIDELINES FOR OPERATIONALIZING THE VGGTS; DRAFT GUIDELINES WERE DEVELOPED AND REVIEWED. 6) CONSERVATION COMMUNITY: THE WORLD PARKS CONGRESS OUTCOMES DOCUMENTS ADOPTED SPECIFIC LANGUAGE ON RECOGNIZING, STRENGTHENING AND APPROPRIATELY SUPPORTING COLLECTIVE LAND AND RESOURCE RIGHTS OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES, RESTITUTION AND ACCOUNTABILITY MECHANISMS, AND REDRESS FOR PAST INJUSTICES, INCORPORATING SPECIFIC TEXT RECOMMENDATIONS FROM RRI AND PARTNERS. CONSERVATION ORGANIZATIONS PARTICIPATING IN THE CONSERVATION INITIATIVE ON HUMAN RIGHTS ADOPTED A POSITION ON LEGALLY RECOGNIZING AND SECURING TRADITIONAL COMMUNITY TENURE RIGHTS IN PROTECTED AREAS. SEVERAL ORGANIZATIONS AGREED TO WORK TOGETHER TO IMPLEMENT RECOMMENDATIONS FROM THE CONGRESS ON LEGAL RECOGNITION OF COLLECTIVE LAND RIGHTS, RECOGNITION OF RIGHTS WITHIN PROTECTED AREAS, AND ACCOUNTABILITY MECHANISMS.

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

## STRATEGIC INITIATIVES:

1) INTERNATIONAL LAND AND FOREST TENURE FACILITY: NATIONAL APPRAISAL STUDIES AND CONSULTATIONS WITH KEY IN-COUNTRY STAKEHOLDERS WERE COMPLETED; PLANS FOR ESTABLISHMENT (E.G., LOCATION STUDIES) WERE INITIATED; AN ADVISORY GROUP WAS ESTABLISHED WITH REPRESENTATIVES FROM WORLD BANK, FAO, UN-REDD, IFC, CED, TEBTEBBA, THE MUNDEN PROJECT, AND OXFAM; FUNDING FOR PILOT PROJECTS WAS SECURED, AND PLANS TO INITIATE PILOT PROJECT DEVELOPMENT WERE DEVELOPED AND CONSULTANTS SECURED FOR THE TASK. 2) ALLIANCE FOR COMMUNITY LAND RIGHTS: MODALITIES OF THE INFORMAL ALLIANCE WERE AGREED WITH CO-CONVENERS, SEVERAL WORKING GROUPS ESTABLISHED IN INTERLAKEN CONTINUED THEIR COLLABORATION, AND FRAMEWORKS FOR A CAMPAIGN TO SECURE COMMUNITY LAND RIGHTS AND A FOLLOW-ON CONFERENCE TO INTERLAKEN IN 2015 WERE DESIGNED BY MEMBERS OF THE ADVISORY GROUP (JULY 2014 MEETING IN THE HAGUE). IN ADDITION, A CAMPAIGNER WAS HIRED BY OXFAM TO FURTHER DEVELOP AND IMPLEMENT THE CAMPAIGN STRATEGY ON BEHALF OF THE COLLABORATING ORGANIZATIONS.

## NETWORKING SUPPORT:

RRI'S NETWORKING SUPPORT PROGRAM ACHIEVED THE FOLLOWING IN 2014: 1) COMMUNITY FOREST TENURE IS ENDORSED AS A PRIORITY CLIMATE CHANGE STRATEGY, AND FOREST CARBON FRAMEWORKS RESPECT AND PROTECT CUSTOMARY LAND RIGHTS AND GOVERNANCE. THE 15TH RRI DIALOGUE ON FORESTS, GOVERNANCE AND CLIMATE CHANGE RAISED POLICY MAKERS' AWARENESS ON CARBON RIGHTS ISSUES AND BROUGHT LIGHT TO THE TENURE RISKS ASSOCIATED WITH EMERGING CARBON RIGHTS FRAMEWORKS, ESPECIALLY THE FCPF METHODOLOGICAL FRAMEWORK. BUILDING ON THIS, A GROUP OF ORGANIZATIONS WITH SIMILAR CONCERNS WAS ESTABLISHED TO FURTHER PUSH FOR MORE SECURED AND CLEARER

Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number  
20-3690821

LAND AND FOREST RIGHTS. 2) KEY PRIVATE SECTOR ACTORS INFLUENCED TO  
REDUCE RISK OF ABUSING LAND RIGHTS AND ADOPT ATEMS. A GROUP OF PRIVATE  
SECTOR ACTORS, INCLUDING THE LARGEST FOOD AND BEVERAGE COMPANIES IN THE  
WORLD (I.E. NESTL , UNILEVER, AND COCA COLA) AND GLOBAL LEADERS IN THE  
FOREST PRODUCTS AND MINING SECTORS (I.E. STORA ENSO AND RIO TINTO), MET  
3 TIMES IN 2014 AND DEVELOPED CONCRETE PROPOSALS TO EXPAND AND LEVERAGE  
PRIVATE SECTOR INTEREST IN SECURING COMMUNITY LAND RIGHTS. 3)  
CONSERVATION COMMUNITY MAKES STRONGER COMMITMENTS TO RESPECT RIGHTS AND  
PROMOTE TENURE REFORMS AT THE WORLD PARKS CONGRESS. THE CONSERVATION  
WORKING GROUP BUILT CONSENSUS AROUND A RIGHTS-APPROACH TO CONSERVATION  
AND PUT TOGETHER ACTIVITIES THAT RAISED AWARENESS, DISSEMINATED  
NARRATIVES ON IMPORTANCE OF SECURE COMMUNITY TENURE TO CONSERVATION,  
GATHERED SUPPORT FROM A BROADER RANGE OF CONSTITUENCIES AT THE WORLD  
PARKS CONGRESS, AND ENABLED ADOPTION OF COMMITMENTS AND RECOMMENDATIONS  
ON INDIGENOUS AND COMMUNITY LAND RIGHTS IN CONSERVATION.  
EXPENSES \$ 2,183,282. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11:

THIS RETURN WAS SUBMITTED FOR THE REVIEW OF THE BOARD OF DIRECTORS PRIOR TO  
FILING. THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL,  
REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE  
CORRESPONDING YEAR. THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT  
FROM THE TREASURER, PRESIDENT, AND SENIOR DIRECTOR OF FINANCE. QUESTIONS  
ABOUT SPECIFIC ITEMS OR SECTIONS WERE ANSWERED WITH SUPPLEMENTAL DETAIL  
PROVIDED AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 12C:

DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST



Name of the organization

RIGHTS AND RESOURCES INSTITUTE INC.

Employer identification number

20-3690821

POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE POLICY. THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD. THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS. AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012.

FORM 990, PART VI, SECTION B, LINE 15:

THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION. AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION AT THE REQUEST OF THE BOARD. ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE, EXPERTISE, AND GEOGRAPHIC LOCATION. IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD. THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013.

FORM 990, PART VI, SECTION C, LINE 19:

RRG POSTS THE AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S

RIGHTS AND RESOURCES INSTITUTE INC.

**Employer identification number**  
**20-3690821**

**COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND ACCOUNTABILITY.**