Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending ,

Name of foundation: MATTIS FAMILY FOUNDATION

A Employer identification number: 20-3538795

Number and street (or P O. box number if mail is not delivered to street address): 17 PROSPECT PARK WEST — Room/suite:

B Telephone number (see instructions): (646) 708-3013

City or town, state or province, country, and ZIP or foreign postal code: BROOKLYN NY 11215

C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 3,265,256.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Ck ► [X] if the foundn is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	70,585.	70,585.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		162,323.		
	8 Net short-term capital gain			55,117.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	70,585.	232,908.	55,117.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	15,576.	15,576.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	3,831.	3,831.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) AD-NY DEPT OF LAW	250.	250.		
	24 Total operating and administrative expenses. Add lines 13 through 23	19,657.	19,657.		
	25 Contributions, gifts, grants paid	171,670.			171,670.
	26 Total expenses and disbursements. Add lines 24 and 25	191,327.	19,657.		171,670.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-120,742.			
	b Net investment income (if negative, enter -0-)		213,251.		
	c Adjusted net income (if negative, enter -0-)			55,117.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS 1	Cash — non-interest-bearing	109,249.	151,049.	151,049.
2	Savings and temporary cash investments			
3	Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach sch) ►			
	Less. allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments — U.S. and state government obligations (attach schedule)			
b	Investments — corporate stock (attach schedule)			
c	Investments — corporate bonds (attach schedule)			
11	Investments — land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
12	Investments — mortgage loans			
13	Investments — other (attach schedule) L-13 Stmt	2,928,319.	3,114,207.	3,114,207.
14	Land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
15	Other assets (describe ►)			
16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	3,037,568.	3,265,256.	3,265,256.
LIABILITIES 17	Accounts payable and accrued expenses			
18	Grants payable	163,500.	171,670.	
19	Deferred revenue			
20	Loans from officers, directors, trustees, & other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	**Total liabilities** (add lines 17 through 22)	163,500.	171,670.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	2,874,068.	3,093,586.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	2,874,068.	3,093,586.	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,037,568.	3,265,256.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,874,068.
2	Enter amount from Part I, line 27a	2	-120,742.
3	Other increases not included in line 2 (itemize) ► ASSET INCREASE	3	340,260.
4	Add lines 1, 2, and 3	4	3,093,586.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,093,586.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PMA 403802 STATEMENT ATTACHED	P	01/01/12	12/30/14
b	PMA 403802 STATEMENT ATTACHED	P	01/01/14	06/01/14
c	PMA 403786 STATEMENT ATTACHED	P	01/01/12	12/30/14
d	PMA 403786 STATEMENT ATTACHED	P	01/01/14	06/01/14
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	456,066.		450,737.	5,329.
b	11,606.		11,470.	136.
c	849,917.		805,038.	44,879.
d	436,757.		448,277.	-11,520.
e	See Columns (e) thru (h)		4,180,335.	123,499.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				5,329.
b				136.
c				44,879.
d				-11,520.
e	See Columns (i) thru (l)			123,499.

2 Capital gain net income or (net capital loss). . . . If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 . . . **2** 162,323.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . **3** 55,117.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	190,071.	3,282,618.	0.057902
2012	116,906.	2,825,423.	0.041376
2011	134,734.	2,750,878.	0.048979
2010	137,991.	2,766,682.	0.049876
2009	152,639.	2,553,419.	0.059778

2	Total of line 1, column (d)	2	0.257911
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051582
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	3,168,310.
5	Multiply line 4 by line 3	5	163,428.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,133.
7	Add lines 5 and 6	7	165,561.
8	Enter qualifying distributions from Part XII, line 4	8	171,670.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here . . . . ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: _ _ _ _ _ _ _ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here . ► [X] and enter 1% of Part I, line 27b	1	2,133.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,133.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2,133.
6	Credits/Payments.		
a	2014 estimated tax pmts and 2013 overpayment credited to 2014 . . . 6 a		
b	Exempt foreign organizations – tax withheld at source . . . 6 b		
c	Tax paid with application for extension of time to file (Form 8868) . . . 6 c 0.		
d	Backup withholding erroneously withheld . . . 6 d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	41.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	2,174.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation . . . ► $ ______ **(2)** On foundation managers . . . . ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . . ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY - New York			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► PETER D. MATTIS Telephone no. ► (646) 708-3013

Located at ► 17 PROSPECT PARK WEST BROOKLYN NY ZIP + 4 ► 11215-1705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** X (No)

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER D. MATTIS 17 PROSPECT PARK WEST BROOKLYN NY 11215	TRUSTEE 8.00	0.	0.	0.
KATHYRIN MATTIS 17 PROSPECT PARK WEST BROOKLYN NY 11215	TRUSTEE 4.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services	None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	3,130,005.
b	Average of monthly cash balances	1b	86,553.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,216,558.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,216,558.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	48,248.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,168,310.
6	**Minimum investment return.** Enter 5% of line 5	6	158,416.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	158,416.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,133.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,133.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	156,283.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	156,283.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	156,283.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	171,670.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	171,670.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,133.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	169,537.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				156,283.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			162,007.	
b Total for prior years: 20 __ , 20 __ , 20 __				
3 Excess distributions carryover, if any, to 2014:				
a From 2009 ... 0.				
b From 2010 ... 0.				
c From 2011 ... 136,522.				
d From 2012 ... 118,750.				
e From 2013 ... 192,195.				
f Total of lines 3a through e	447,467.			
4 Qualifying distributions for 2014 from Part XII, line 4 ► $ 171,670.				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus	171,670.			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	619,137.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount — see instructions			162,007.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				156,283.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	619,137.			
10 Analysis of line 9:				
a Excess from 2010 ... 0.				
b Excess from 2011 ... 136,522.				
c Excess from 2012 ... 118,750.				
d Excess from 2013 ... 192,195.				
e Excess from 2014 ... 171,670.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE LANG SCHOOL 1080 BROADWAY NEW YORK NY 10023	NONE	PUBLIC	CHARITY GIFT	10,000.
BROOKLYN ACADEMY MUSIC 30 LAFAYETTE AVENUE BROOKLYN NY 11215	NONE	PUBLIC	CHARITY GIFT	15,000.
PROSPECT PARK ALLIANCE 95 PROSPECT PARK WEST BROOKLYN NY 11215	NONE	PUBLIC	LAKESIDE PROJECT	20,000.
THE BERKELEY CARROLL 200 WASHINGTON AVE. BROOKLYN NY 11217	NONE	PUBLIC	CHARITY GIFT	25,000.
BROOKLYN COMMUNITY FUND 208 BROADWAY NEW YORK NY 10023	NONE	PUBLIC	CHARITY GIFT	20,000.
BROOKLYN BOTANICAL GARDEN 900 WASHINGTON AVE. BROOKLYN NY 11215	NONE	PUBLIC	CHARITY GIFT	35,000.
BROOKLYN MUSEUM 200 EASTERN PKWY BROOKLYN NY 11238	NONE	PUBLIC	CHARITY GIFT	20,000.
WNYC 1845 BROADWAY NEW YORK NY 10023	NONE	PUBLIC	CHARITY GIFT	20,000.
BROOKLYN PUBLIC LIBRARY 808 UNION STREET BROOKLYN NY 11215	NONE	PUBLIC	CHARITY GIFT	5,000.
BAY STREET THEATRE 200 UNION STREET BROOKLYN NY 11215		PUBLIC	CHARITY GIFT	1,670.
Total . . . . ► 3a				171,670.
b Approved for future payment				
Total . . . . ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
13	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 04/11/15 | Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MATTHEW BRODIE		4/12/15		074-54-4688

Firm's name ► M. Brodie Associates, Inc. Firm's EIN ► 11-3043731

Firm's address ► P.O. Box 2029 Larchmont NY 10538 Phone no

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAXES PAID	831.	831.		
ACCOUNTING & TAX	3,000.	3,000.		
Total	3,831.	3,831.		

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
PMA 403760 STATEMENT ATTACHED	P	01/01/12	12/30/14
PMA 403760 STATEMENT ATTACHED	P	01/01/14	06/01/14
PMA RJJ 404954 STATEMENT ATTACHED	P	01/01/12	12/30/14
SCHWAB 5514-0628 STATEMENT ATTACHED	P	01/01/12	12/30/14
SCHWAB 5514-0628 STATEMENT ATTACHED	P	01/01/14	06/01/14
PMA 403778 STATEMENT ATTACHED	P	01/01/14	06/01/14

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,506,075.		1,495,527.	10,548.
998,139.		1,070,940.	-72,801.
776.		792.	-16.
211,153.		164,687.	46,466.
1,539,966.		1,432,602.	107,364.
47,725.		15,787.	31,938.
Total		4,180,335.	123,499.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			10,548.
			-72,801.
			-16.
			46,466.
			107,364.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			31,938.
Total			123,499.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSTELLATION	INVESTMENT MGMT	10,250.	10,250.		
CHARLES SCHWAB	INVESTMENT MGMT	5,326.	5,326.		
Total		15,576.	15,576.		

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
MUTUAL FUNDS	594,288.	594,288.
EQUITIES	2,519,919.	2,519,919.
Total	3,114,207.	3,114,207.

charles SCHWAB

Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Date Prepared February 6, 2015

Recipient's Name and Address

PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED
17 PROSPECT PARK WEST
BROOKLYN NY 11215

Taxpayer ID Number: 20-3538795
Account Number: 5514-0628

Payer's Name and Address

CHARLES SCHWAB & CO , INC
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Proceeds from Broker Transactions— 2014

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
548 ISHARES TR US ENERGY ETF 464287796 / IYE	S	07/01/13 02/10/14	$ 25,903 79	$ 24,654 30	--	$ 1,249 49	$ 0.00
220 ISHARES TR US ENERGY ETF 464287796 / IYE	S	08/26/13 02/10/14	$ 10,399 33	$ 10,213 73	--	$ 185 60	$ 0.00
222 ISHARES TR US ENERGY ETF 464287796 / IYE	S	09/16/13 02/10/14	$ 10,493 85	$ 10,570 14	$ 76 29 W	$ 0 00	$ 0.00
266 ISHARES TR US ENERGY ETF 464287796 / IYE	S	10/14/13 02/10/14	$ 12,573 74	$ 12,781 27	$ 207 53 W	$ 0 00	$ 0.00
694 ISHARES TR US ENERGY ETF 464287796 / IYE	S	02/03/14 02/10/14	$ 32,805 15	$ 32,295 93	--	$ 509 22	$ 0.00
641 ISHARES TR US ENERGY ETF 464287796 / IYE	S	02/24/14 06/09/14	$ 35,258 08	$ 32,150 36	--	$ 3,107 72	$ 0.00
634 ISHARES TR US ENERGY ETF 464287796 / IYE	S	02/24/14 06/16/14	$ 35,633 80	$ 31,799 26	--	$ 3,834 54	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock If your broker reported this type of transaction to you, the corporation is identified in box 1a

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN) However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments

Account number. May show an account or other unique number the payer assigned to distinguish your account

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable See the instructions for your Schedule D and/or Form 8949

Box 1a. Shows a brief description of the item or service for which amounts are being reported For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred) or O (other)

Box 1b. This box may be various if the securities sold were acquired on a variety of dates For short sales, the date shown is the date you acquired the security , delivered to close the short sale

Box 1c. Shows the trade date of the sale or exchange For short sales, the date shown is the date the security was delivered to close the short sale For aggregate reporting in boxes 8 through 11, no entry will be present

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses This box does not include proceeds from regulated futures contracts or Section 1256 option contracts Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D

Box 1e. Shows the cost or other basis of securities sold If the securities were acquired through the exercise of a non-compensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium If the securities were acquired through the exercise of a non-compensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2 If box 5 is checked, box 1e may be blank See the Instructions for Form 8949, Instructions for Schedule D, or Pub 550 for details

Box 1f. Shows W for wash sale, C for collectibles, or D for market discount

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction or the amount of accrued market discount For details on wash sales and market discount, see Schedule D (Form 1040) instructions and Pub 550

Box 3. If checked, the basis in box 1e has been reported to the IRS and one of the check boxes in box 2 must be checked If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D

Box 4. Shows backup withholding Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer See Form W-9 for information on backup withholding Include this amount on your income tax return as tax withheld

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, and 2 may be blank Generally, a noncovered security means stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014

Box 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d See the Form 8949 and Schedule D instructions The broker should advise you of any losses on a separate statement

Boxes 14-16. Shows state(s)/local income tax information

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to *www irs gov/form1099b*

charles SCHWAB

Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
1,845 ISHARES TR US ENERGY ETF 464287796 / IYE	S	VARIOUS 06/19/14	$ 104,641 04	$ 92,822 68	--	$ 11,818 36	$ 0.00
Security Subtotal			**$ 267,708.78**	**$ 247,287.67**	**$ 283.82 W**	**$ 20,704.93**	**$ 0.00**
331 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	07/22/13 02/24/14	$ 41,706 74	$ 35,505 11	--	$ 6,201 63	$ 0.00
173 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	07/22/13 06/09/14	$ 21,767 45	$ 18,557 05	--	$ 3,210 40	$ 0.00
8 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	07/22/13 06/16/14	$ 1,005 82	$ 858 13	--	$ 147 69	$ 0.00
82 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	08/26/13 06/16/14	$ 10,309 67	$ 8,692 86	--	$ 1,616 81	$ 0.00
111 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	09/16/13 06/16/14	$ 13,955 75	$ 12,089 16	--	$ 1,866 59	$ 0.00
59 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	10/14/13 06/16/14	$ 7 417 93	$ 6,377 90	--	$ 1,040 03	$ 0.00
62 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	10/14/13 06/19/14	$ 7.878 39	$ 6,702 21	--	$ 1,176 18	$ 0.00
229 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	02/03/14 06/19/14	$ 29,099 18	$ 26,562 82	--	$ 2,536 36	$ 0.00
516 ISHARES TR US HEALTHCAREETF 464287762 / IYH	S	02/10/14 06/19/14	$ 65,568 46	$ 61,924 08	--	$ 3,644 38	$ 0.00
Security Subtotal			**$ 198,709.39**	**$ 177,269.32**	**--**	**$ 21,440.07**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

charles SCHWAB

Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared: February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol		**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
252 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	07/01/13 02/10/14	$ 24,427 89	$ 21,148 18	-- $	3,279 71 $	0.00
89 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRIA	S	08/26/13 02/10/14	$ 8,627 32	$ 7,873 73	-- $	753 59 $	0.00
131 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	09/16/13 02/10/14	$ 12,698 62	$ 11,941 82	-- $	756 80 $	0.00
156 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	02/03/14 02/10/14	$ 15,122 03	$ 14,729 36	-- $	392 67 $	0.00
146 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	VARIOUS 02/10/14	$ 14,152 67	$ 13,779 31	-- $	373 36 $	0.00
335 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	06/09/14 06/16/14	$ 34,771 77	$ 35,441 57	-- $	(669 80) $	0.00
972 ISHARES TR US INDL 464287754 / IYJ	US INDUSTRI	S	06/09/14 06/19/14	$ 101,770 79	$ 102,833 44	-- $	(1,062 65) $	0.00
Security Subtotal				**$ 211,571.09**	**$ 207,747.41**	**-- $**	**3,823.68 $**	**0.00**
961 ISHARES TR US UTILITIES ETF 464287697 / IDU		S	06/16/14 06/19/14	$ 106,180 15	$ 103,504 24	-- $	2,675 91 $	0.00
Security Subtotal				**$ 106,180.15**	**$ 103,504.24**	**-- $**	**2,675.91 $**	**0.00**
71 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW		S	07/01/13 02/24/14	$ 6,464 40	$ 5,274 88	-- $	1,189 52 $	0.00
260 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW		S	07/01/13 06/09/14	$ 24,913 22	$ 19,316 46	-- $	5,596 76 $	0.00
11 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW		S	08/26/13 06/09/14	$ 1 054 02	$ 868 54	-- $	185 48 $	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared: February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
89 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	08/26/13 06/16/14	$ 8,522 36	$ 7,027 30	--	$ 1,495 06	$ 0.00
177 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	09/16/13 06/16/14	$ 16,948 92	$ 13,891 53	--	$ 3,057 39	$ 0.00
98 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	10/14/13 06/16/14	$ 9,384 16	$ 7,850 95	--	$ 1,533 21	$ 0.00
49 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	10/14/13 06/19/14	$ 4,720 45	$ 3,925 47	--	$ 794 98	$ 0.00
325 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	02/03/14 06/19/14	$ 31,309 06	$ 27,626 47	--	$ 3,682 59	$ 0.00
689 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	02/10/14 06/19/14	$ 66,375 18	$ 60,639 52	--	$ 5,735 66	$ 0.00
Security Subtotal			$ **169,691.77**	$ **146,421.12**	--	$ **23,270.65**	$ **0.00**
324 SECTOR SPDR CONSUMER FD SHARE 81369Y407 / XLY	S	07/01/13 02/03/14	$ 19,823 40	$ 18,424 16	--	$ 1,399 24	$ 0.00
168 SECTOR SPDR CONSUMER FD SHARE 81369Y407 / XLY	S	08/26/13 02/03/14	$ 10,278 80	$ 9,878 91	--	$ 399 89	$ 0.00
198 SECTOR SPDR CONSUMER FD SHARE 81369Y407 / XLY	S	09/16/13 02/03/14	$ 12,114 30	$ 11,966 80	--	$ 147 50	$ 0.00
214 SECTOR SPDR CONSUMER FD SHARE 81369Y407 / XLY	S	10/14/13 02/03/14	$ 13,093 21	$ 13,040 30	--	$ 52 91	$ 0.00
Security Subtotal			$ **55,309.71**	$ **53,310.17**	--	$ **1,999.54**	$ **0.00**
5 SECTOR SPDR FINCL SELECTSHARES O 81369Y605 / XLF	S	07/01/13 02/24/14	$ 108 55	$ 98 19	--	$ 10 36	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared. February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
904 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	07/01/13 06/09/14	$ 20,689 35	$ 17,753 48	-- $	2,935 87 $	0.00
265 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	08/26/13 06/09/14	$ 6,064 91	$ 5,325 24	-- $	739 67 $	0.00
260 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	08/26/13 06/16/14	$ 5,846 79	$ 5 224 76	-- $	622 03 $	0.00
649 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	09/16/13 06/16/14	$ 14,594 45	$ 13,254 03	-- $	1,340 42 $	0.00
603 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	10/14/13 06/16/14	$ 13,560 03	$ 12,278 09	-- $	1,281.94 $	0.00
82 SECTOR SPDR FINCL SELECTSHARES 81369Y605 / XLF	S	10/14/13 06/19/14	$ 1 869 50	$ 1,669 66	-- $	199 84 $	0.00
1,457 SECTOR SPDR FINCL SELECTSHAR 81369Y605 / XLF	S	02/03/14 06/19/14	$ 33,217 72	$ 29,977 91	-- $	3,239 81 $	0.00
2,944 SECTOR SPDR FINCL SELECTSHAR 81369Y605 / XLF	S	02/10/14 06/19/14	$ 67,119 40	$ 62,726 09	-- $	4,393 31 $	0.00
Security Subtotal			**$ 163,070.70**	**$ 148,307.45**	-- $	**14,763.25 $**	0.00
255 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	07/01/13 02/24/14	$ 11,795 78	$ 9,860 10	-- $	1,935 68 $	0.00
461 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	07/01/13 06/09/14	$ 22,850 00	$ 17,825 52	-- $	5,024 48 $	0.00
148 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	08/26/13 06/09/14	$ 7,335 79	$ 6,124 43	-- $	1,211 36 $	0.00
40 SECTOR SPDR MATERIALS FDSHARES 81369Y100 / XLB	S	08/26/13 06/16/14	$ 1 960 56	$ 1,655 25	-- $	305 31 $	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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charles SCHWAB

Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
THE MATTIS FAMILY FND U/A DTD
09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed		1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)		1e-Cost or other basis	1g-Adjustments 1f-Code, if any		Realized Gain or (Loss)	4-Federal Income tax withheld
262 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	09/16/13 06/16/14	$	12,841 61	$	11,179 50	--	$	1,662 11 $	0.00
316 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	10/14/13 06/16/14	$	15,488 32	$	13,491 72	--	$	1,996 60 $	0.00
50 SECTOR SPDR MATERIALS FDSHARES 81369Y100 / XLB	S	02/03/14 06/16/14	$	2,450 69	$	2,154 00	--	$	296 69 $	0.00
663 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	02/03/14 06/19/14	$	32,848 56	$	28,562 05	--	$	4,286 51 $	0.00
1,403 SECTOR SPDR MATERIALS FDSHAR 81369Y100 / XLB	S	02/10/14 06/19/14	$	69,512 10	$	63,078 60	--	$	6,433 50 $	0.00
Security Subtotal			$	**177,083.41**	$	**153,931.17**	--	$	**23,152.24 $**	0.00
2,184 SECTOR SPDR TR CON STPLSSHAR 81369Y308 / XLP	S	10/28/13 02/03/14	$	87,272 29	$	93,221 99	--	$	(5,949 70) $	0.00
2,264 SECTOR SPDR TR CON STPLSSHAR 81369Y308 / XLP	S	06/16/14 06/19/14	$	103,368 49	$	101,601 83	--	$	1,766 66 $	0.00
Security Subtotal			$	**190,640.78**	$	**194,823.82**	--	$	**(4,183.04) $**	0.00
Total Short-Term (Cost basis is reported to the IRS)			$	**1,539,965.78**	$	**1,432,602.37**				
Total Short-Term Sales Price of Stocks, Bonds, etc.			$	**1,539,965.78**	$	**1,432,602.37**				

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with **Box D** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments 1f-Code, if any	Realized Gain or (Loss)	4-Federal Income tax withheld
629 ISHARES TR US ENERGY ETF 464287796 / IYE	S	12/31/12 02/10/14	$ 29,732 63	$ 25,464 53	-- $	4,268 10 $	0.00
Security Subtotal			**$ 29,732.63**	**$ 25,464.53**	**-- $**	**4,268.10 $**	**0.00**
144 ISHARES TR US INDL US INDUSTRI 464287754 / IYJ	S	11/21/12 02/10/14	$ 13,958 80	$ 10,602 73	-- $	3,356 07 $	0.00
359 ISHARES TR US INDL US INDUSTRI 464287754 / IYJ	S	11/21/12 02/10/14	$ 34,800 01	$ 26,433 18	-- $	8,366 83 $	0.00
Security Subtotal			**$ 48,758.81**	**$ 37,035.91**	**-- $**	**11,722.90 $**	**0.00**
371 ISHARES TRUST TECHNOLOGYETF 464287721 / IYW	S	01/28/13 02/24/14	$ 33,778 78	$ 26,734 30	-- $	7,044 48 $	0.00
Security Subtotal			**$ 33,778.78**	**$ 26,734.30**	**-- $**	**7,044.48 $**	**0.00**
570 SECTOR SPDR CONSUMER FD SHARE 81369Y407 / XLY	S	12/03/12 02/03/14	$ 34,874 49	$ 26,996 20	-- $	7,878 29 $	0.00
Security Subtotal			**$ 34,874.49**	**$ 26,996.20**	**-- $**	**7,878.29 $**	**0.00**
1,655 SECTOR SPDR FINCL SELECTSHAR 81369Y605 / XLF	S	12/03/12 02/24/14	$ 35,929 55	$ 26,034 64	-- $	9,894 91 $	0.00
Security Subtotal			**$ 35,929.55**	**$ 26,034.64**	**-- $**	**9,894.91 $**	**0.00**

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charles SCHWAB

Schwab One® Trust Account of
PETER DEAN MATTIS TTEE
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09/28/2005 F2 CLOSED

Account Number
5514-0628

TAX YEAR 2014
FORM 1099 COMPOSITE

Taxpayer ID Number: 20-3538795

Date Prepared: February 6, 2015

Proceeds from Broker Transactions— 2014 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with **Box D** checked

1a-Description of property (Example 100 sh XYZ Co) CUSIP Number / Symbol	**	1b-Date acquired / 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1g-Adjustments / 1f-Code if any	Realized Gain or (Loss)	4-Federal Income tax withheld
607 SECTOR SPDR MATERIALS FDSHARE 81369Y100 / XLB	S	12/24/12 02/24/14	$ 28,078 55	$ 22,421 90	-- $	5,656 65 $	0.00
Security Subtotal			**$ 28,078.55**	**$ 22,421.90**	**-- $**	**5,656.65 $**	**0.00**
Total Long-Term (Cost basis is reported to the IRS)			**$ 211,152.81**	**$ 164,687.48**			
Total Long-Term Sales Price of Stocks, Bonds, etc.			**$ 211,152.81**	**$ 164,687.48**			
Total Sales Price of Stocks, Bonds, etc.			**$ 1,751,118.59**				
Total Federal Income Tax Withheld			**$ 0.00**				

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: RJJ-404954

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

IMPORTANT MESSAGE: Certain types of securities (e g , mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account For additional information, please visit mytaxhandbook com

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3)								
Description (Box 1a). DOUBLELINE TOTAL RET URN BOND FUND CLASS N					CUSIP. 258620202			
SELL	HIGH COST	159	09/30/2013	09/03/2014	1 75	1.74		0.01
SELL	HIGH COST	166	10/31/2013	09/03/2014	1 82	1 82		0 00
SELL	HIGH COST	153	11/29/2013	09/03/2014	1 68	1 67		0 01
SELL	HIGH COST	182	12/31/2013	09/03/2014	2 00	1 96		0.04
SELL	HIGH COST	160	01/31/2014	09/03/2014	1 76	1 76		0 00
SELL	HIGH COST	151	02/28/2014	09/03/2014	1 66	1.66		0 00
SELL	HIGH COST	152	03/31/2014	09/03/2014	1 67	1 65		0 02
SELL	HIGH COST	154	04/30/2014	09/03/2014	1 69	1 68		0 01
SELL	HIGH COST	147	05/30/2014	09/03/2014	1 62	1 62		0 00

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: RJJ-404954

Recipient's Identification
Number **-***8795

2014 TAX and
YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a) DOUBLELINE TOTAL RET URN BOND FUND CLASS N					**CUSIP:** 258620202 *(Continued)*			
SELL	HIGH COST	146	06/30/2014	09/03/2014	1.60	1.61		(0 01)
SELL	HIGH COST	157	07/31/2014	09/03/2014	1 73	1.72		0 01
SALE DATE TOTAL		**1.727**	**VARIOUS**	**09/03/2014**	**18.98**	**18.89**		**0.09**
Description (Box 1a) JP MORGAN CORE BOND FUND CLASS A					**CUSIP:** 4812C0357			
SELL	HIGH COST	.064	09/03/2013	09/03/2014	0 74	0 74		0.00
SELL	HIGH COST	067	10/01/2013	09/03/2014	0 78	0 78		0.00
SELL	HIGH COST	077	11/01/2013	09/03/2014	0.89	0.90		(0.01)
SELL	HIGH COST	067	12/02/2013	09/03/2014	0 78	0 78		0.00
SELL	HIGH COST	003	12/13/2013	09/03/2014	0 03	0 04	0 01 W	0 00
SELL	HIGH COST	167	12/13/2013	09/03/2014	1 93	1 92		0.01
SELL	HIGH COST	082	01/02/2014	09/03/2014	0 93	0 94		(0 01)
SELL	HIGH COST	054	02/03/2014	09/03/2014	0 63	0 63		0 00
SELL	HIGH COST	.060	03/03/2014	09/03/2014	0 70	0 70		0 00
SELL	HIGH COST	068	04/01/2014	09/03/2014	0 79	0 79		0 00
SELL	HIGH COST	055	05/01/2014	09/03/2014	0.64	0 64		0 00
SELL	HIGH COST	065	06/02/2014	09/03/2014	0 75	0 76		(0 01)
SELL	HIGH COST	071	07/01/2014	09/03/2014	0 82	0 83		(0 01)

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP. 4812C0357 *(Continued)*			
SELL	HIGH COST	068	08/01/2014	09/03/2014	0 79	0 80		(0 01)
SELL	HIGH COST	003	09/02/2014	09/03/2014	0 03	0.05		(0 02)
	Wash sale 264 day(s) added to holding period							
	SALE DATE TOTAL	**.971**	**VARIOUS**	**09/03/2014**	**11.23**	**11.30**	**0.01 W**	**(0.06)**
Short-Term Covered Total					**30.21**	**30.19**	**0.01 W**	**0.03**
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3)								
Description (Box 1a). DOUBLELINE TOTAL RET URN BOND FUND CLASS N					CUSIP: 258620202			
SELL	HIGH COST	34 685	01/31/2012	09/03/2014	381 19	386 04		(4.85)
SELL	HIGH COST	172	02/29/2012	09/03/2014	1 89	1 92		(0 03)
SELL	HIGH COST	183	03/30/2012	09/03/2014	2 01	2 04		(0 03)
SELL	HIGH COST	171	04/30/2012	09/03/2014	1 88	1.91		(0 03)
SELL	HIGH COST	180	05/31/2012	09/03/2014	1 98	2 01		(0 03)
SELL	HIGH COST	176	06/29/2012	09/03/2014	1 93	1 97		(0 04)
SELL	HIGH COST	171	07/31/2012	09/03/2014	1 88	1 92		(0 04)
SELL	HIGH COST	175	08/31/2012	09/03/2014	1 92	1 98		(0.06)
SELL	HIGH COST	161	09/28/2012	09/03/2014	1.77	1 83		(0 06)

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.

Covered (Box 3) *(Continued)*

Description (Box 1a): DOUBLELINE TOTAL RET URN BOND FUND CLASS N — CUSIP: 258620202 *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
SELL	HIGH COST	160	10/31/2012	09/03/2014	1.76	1.82	0.01 W	(0.05)
SELL	HIGH COST	154	11/30/2012	09/03/2014	1.69	1.75		(0.06)
SELL	HIGH COST	174	12/31/2012	09/03/2014	1.91	1.97		(0.06)
SELL	HIGH COST	140	01/31/2013	09/03/2014	1.54	1.59		(0.05)
SELL	HIGH COST	.131	02/28/2013	09/03/2014	1.44	1.49		(0.05)
SELL	HIGH COST	136	03/28/2013	09/03/2014	1.49	1.54		(0.05)
SELL	HIGH COST	131	04/30/2013	09/03/2014	1.44	1.50	0.06 W	0.00
SELL	HIGH COST	138	05/31/2013	09/03/2014	1.52	1.55		(0.03)
SELL	HIGH COST	.142	06/28/2013	09/03/2014	1.56	1.56		0.00
SELL	HIGH COST	148	07/31/2013	09/03/2014	1.63	1.62		0.01
SELL	HIGH COST	149	08/30/2013	09/03/2014	1.64	1.62		0.02
SELL	HIGH COST	131	08/29/2014	09/03/2014	1.44	1.50		(0.06)
	Wash sale 491 day(s) added to holding period							
SELL	HIGH COST	.021	08/29/2014	09/03/2014	0.23	0.24		(0.01)
	Wash sale 672 day(s) added to holding period							
SALE DATE TOTAL		**37.829**	**VARIOUS**	**09/03/2014**	**415.74**	**421.37**	**0.07 W**	**(5.56)**

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: RJJ-404954

Recipient's Identification
Number **-***8795

2014 TAX and
YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B   Proceeds From Broker and Barter Exchange Transactions   OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.

Covered (Box 3) *(Continued)*

Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A   CUSIP: 4812C0357

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
SELL	HIGH COST	29 820	02/01/2012	09/03/2014	345 44	355.75		(10 31)
SELL	HIGH COST	075	03/01/2012	09/03/2014	0 87	0.89		(0.02)
SELL	HIGH COST	076	04/02/2012	09/03/2014	0.88	0.90		(0 02)
SELL	HIGH COST	.070	05/01/2012	09/03/2014	0 81	0 84		(0.03)
SELL	HIGH COST	067	06/01/2012	09/03/2014	0 78	0.81		(0 03)
SELL	HIGH COST	068	07/02/2012	09/03/2014	0 79	0.81		(0 02)
SELL	HIGH COST	073	08/01/2012	09/03/2014	0 85	0.88		(0 03)
SELL	HIGH COST	065	09/04/2012	09/03/2014	0 75	0.79		(0.04)
SELL	HIGH COST	.063	10/01/2012	09/03/2014	0 73	0 76		(0.03)
SELL	HIGH COST	063	11/01/2012	09/03/2014	0 73	0 76		(0.03)
SELL	HIGH COST	.060	12/03/2012	09/03/2014	0 70	0 73	0 03 W	0.00
SELL	HIGH COST	003	12/14/2012	09/03/2014	0 03	0 04	0 01 W	0 00
SELL	HIGH COST	.036	12/14/2012	09/03/2014	0 42	0.43		(0 01)
SELL	HIGH COST	074	01/02/2013	09/03/2014	0 86	0 89		(0 03)
SELL	HIGH COST	056	02/01/2013	09/03/2014	0.65	0.67		(0 02)
SELL	HIGH COST	062	03/01/2013	09/03/2014	0 72	0 74		(0.02)
SELL	HIGH COST	062	04/01/2013	09/03/2014	0 72	0 74		(0 02)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: RJJ-404954

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357 *(Continued)*			
SELL	HIGH COST	.059	05/01/2013	09/03/2014	0 68	0 71		(0 03)
SELL	HIGH COST	062	06/03/2013	09/03/2014	0 72	0 74		(0 02)
SELL	HIGH COST	063	07/01/2013	09/03/2014	0.73	0 74		(0.01)
SELL	HIGH COST	064	08/01/2013	09/03/2014	0 74	0 74		0 00
SELL	HIGH COST	003	09/02/2014	09/03/2014	0 03	0 05		(0.02)
	Wash sale 628 day(s) added to holding period							
SELL	HIGH COST	053	09/02/2014	09/03/2014	0 62	0.66		(0 04)
	Wash sale 639 day(s) added to holding period							
	SALE DATE TOTAL	31.097	VARIOUS	09/03/2014	360.25	371.07	0.04 W	(10.78)
Long-Term Covered Total					775.99	792.44	0.11 W	(16.34)
Covered Total					806.20	822.63	0.12 W	(16.31)
Total					806.20	822.63	0.12 W	(16.31)

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available Please note that such detail for noncovered transactions is not reported to the IRS

Type of Gain or Loss (Box 2). The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program

Seq # (RJJ 94484)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403802

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

IMPORTANT MESSAGE: Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(1,119.99)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715
(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3)								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357			
SELL	HIGH COST	25.580	09/03/2013	09/03/2014	300 31	296.22		4.09
SELL	HIGH COST	26.564	10/01/2013	09/03/2014	311.86	309.20		2.66
SELL	HIGH COST	30 749	11/01/2013	09/03/2014	360.99	359.45		1 54
SELL	HIGH COST	26 664	12/02/2013	09/03/2014	313.04	310.64		2 40
SELL	HIGH COST	66.386	12/13/2013	09/03/2014	779.37	765.43		13.94
SELL	HIGH COST	1.264	12/13/2013	09/03/2014	14.84	14 57		0.27

Seq # (PMA 2080)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					**CUSIP:** 4812C0357 *(Continued)*			
SELL	HIGH COST	32.717	01/02/2014	09/03/2014	384.10	375.59		8.51
SELL	HIGH COST	21 605	02/03/2014	09/03/2014	253 64	251 05		2 59
SELL	HIGH COST	23 745	03/03/2014	09/03/2014	278.77	276.63		2 14
SELL	HIGH COST	27.151	04/01/2014	09/03/2014	318.75	314 95		3.80
SELL	HIGH COST	21 655	05/01/2014	09/03/2014	254 23	252 50		1.73
SELL	HIGH COST	25.831	06/02/2014	09/03/2014	303.26	303.52		(0.26)
SELL	HIGH COST	28 113	07/01/2014	09/03/2014	330 05	329.48		0.57
SELL	HIGH COST	27 184	08/01/2014	09/03/2014	319.14	317 51		1 63
SALE DATE TOTAL		**385.208**	**VARIOUS**	**09/03/2014**	**4,522.35**	**4,476.74**		**45.61**
Description (Box 1a): PIMCO TOTAL RETURN F UND CLASS D					**CUSIP:** 693391674			
SELL	VERSUS PURCHASE	41.073	09/30/2013	09/03/2014	450 16	443 61		6 55
SELL	VERSUS PURCHASE	46 062	10/31/2013	09/03/2014	504.84	501 17		3 67
SELL	VERSUS PURCHASE	43 828	11/29/2013	09/03/2014	480.35	475.98		4.37
SELL	VERSUS PURCHASE	29 199	12/11/2013	09/03/2014	320.02	313 32		6 70
SELL	VERSUS PURCHASE	152.775	12/11/2013	09/03/2014	1,674 41	1,639.32		35.09
SELL	VERSUS PURCHASE	30 330	12/31/2013	09/03/2014	332 42	323 63		8 79
SELL	VERSUS PURCHASE	30 231	01/31/2014	09/03/2014	331.33	326.51		4 82

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403802

Recipient's Identification
Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): PIMCO TOTAL RETURN F UND CLASS D					CUSIP: 693391674 *(Continued)*			
SELL	VERSUS PURCHASE	34.422	02/28/2014	09/03/2014	377.27	373.34		3.93
SELL	VERSUS PURCHASE	41.259	03/31/2014	09/03/2014	452.20	444.45		7.75
SELL	VERSUS PURCHASE	43.692	04/30/2014	09/03/2014	478 86	473 62		5.24
SELL	VERSUS PURCHASE	56 971	05/30/2014	09/03/2014	624 40	623 83		0 57
SELL	VERSUS PURCHASE	45.558	06/30/2014	09/03/2014	499.32	499 77		(0 45)
SELL	VERSUS PURCHASE	50 914	07/31/2014	09/03/2014	558 02	554.45		3.57
SALE DATE TOTAL		**646.314**	**VARIOUS**	**09/03/2014**	**7,083.60**	**6,993.00**		**90.60**
Short-Term Covered Total					**11,605.95**	**11,469.74**		**136.21**
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3)								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357			
SELL	HIGH COST	32.932	01/03/2012	09/03/2014	386 62	390.24		(3 62)
SELL	HIGH COST	29.820	03/01/2012	09/03/2014	350.09	355.75		(5 66)
SELL	HIGH COST	30 096	04/02/2012	09/03/2014	353 33	356 64		(3.31)
SELL	HIGH COST	27 926	05/01/2012	09/03/2014	327 85	333.71		(5.86)
SELL	HIGH COST	26 812	06/01/2012	09/03/2014	314 77	322.55		(7 78)
SELL	HIGH COST	26 939	07/02/2012	09/03/2014	316.26	323.27		(7 01)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403802

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357 *(Continued)*			
SELL	HIGH COST	28.737	08/01/2012	09/03/2014	337.37	348.00		(10 63)
SELL	HIGH COST	25 804	09/04/2012	09/03/2014	302.94	312 74		(9 80)
SELL	HIGH COST	24.844	10/01/2012	09/03/2014	291 67	301.36		(9.69)
SELL	HIGH COST	24 895	11/01/2012	09/03/2014	292.27	301.98		(9.71)
SELL	HIGH COST	23.929	12/03/2012	09/03/2014	280 93	290.50	9.51 W	(0 06)
SELL	HIGH COST	1.293	12/14/2012	09/03/2014	15.18	15.65		(0.47)
SELL	HIGH COST	14 163	12/14/2012	09/03/2014	166.27	171.37		(5.10)
SELL	HIGH COST	29.176	01/02/2013	09/03/2014	342.53	352 16		(9.63)
SELL	HIGH COST	22.335	02/01/2013	09/03/2014	262.21	267.80		(5.59)
SELL	HIGH COST	24.349	03/01/2013	09/03/2014	285.86	292 68		(6.82)
SELL	HIGH COST	24.419	04/01/2013	09/03/2014	286.68	293.27		(6.59)
SELL	HIGH COST	23.312	05/01/2013	09/03/2014	273 68	281 61		(7 93)
SELL	HIGH COST	24.782	06/03/2013	09/03/2014	290 94	294.41		(3 47)
SELL	HIGH COST	25.258	07/01/2013	09/03/2014	296 53	295 01		1.52
SELL	HIGH COST	25 374	08/01/2013	09/03/2014	297.89	295.61		2.28
SELL	HIGH COST	23 790	09/02/2014	09/03/2014	279.29	289 52		(10.23)

Wash sale 639 day(s) added to holding period

Seq # (PMA 2080)

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357 *(Continued)*			
	SALE DATE TOTAL	540.985	VARIOUS	09/03/2014	6,351.16	6,485.83	9.51 W	(125.16)
Description (Box 1a): PIMCO TOTAL RETURN F UND CLASS D					CUSIP: 693391674			
SELL	VERSUS PURCHASE	62 398	01/31/2012	09/03/2014	683 88	692 64		(8 76)
SELL	VERSUS PURCHASE	57.958	02/29/2012	09/03/2014	635.22	643.35		(8 13)
SELL	VERSUS PURCHASE	72 585	03/30/2012	09/03/2014	795 53	803 53		(8 00)
SELL	VERSUS PURCHASE	66.653	04/30/2012	09/03/2014	730 52	746 53		(16.01)
SELL	VERSUS PURCHASE	73 957	05/31/2012	09/03/2014	810.57	832 77		(22 20)
SELL	VERSUS PURCHASE	65.363	06/29/2012	09/03/2014	716.38	737.31		(20 93)
SELL	VERSUS PURCHASE	51.278	07/31/2012	09/03/2014	562.01	587.15		(25.14)
SELL	VERSUS PURCHASE	54.869	08/31/2012	09/03/2014	601.36	629.91		(28 55)
SELL	VERSUS PURCHASE	44 197	09/28/2012	09/03/2014	484 40	510.93		(26.53)
SELL	VERSUS PURCHASE	66.638	10/31/2012	09/03/2014	730.35	771 01		(40 66)
SELL	VERSUS PURCHASE	68.280	11/30/2012	09/03/2014	748.35	792 06	30.21 W	(13.50)
SELL	VERSUS PURCHASE	353.153	12/12/2012	09/03/2014	3,870.56	4,004 85		(134.29)
SELL	VERSUS PURCHASE	259.214	12/12/2012	09/03/2014	2,840.99	2,939 56		(98.57)
SELL	VERSUS PURCHASE	269 653	12/27/2012	09/03/2014	2,955.40	3,025.58		(70.18)
SELL	VERSUS PURCHASE	52.938	12/31/2012	09/03/2014	580.20	593.97		(13 77)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): PIMCO TOTAL RETURN F UND CLASS D					CUSIP: 693391674 *(Continued)*			
SELL	VERSUS PURCHASE	38.969	01/31/2013	09/03/2014	427.10	435.29		(8.19)
SELL	VERSUS PURCHASE	45 028	02/28/2013	09/03/2014	493 51	504.78		(11.27)
SELL	VERSUS PURCHASE	58 598	03/28/2013	09/03/2014	642.23	657 48		(15 25)
SELL	VERSUS PURCHASE	70 238	04/30/2013	09/03/2014	769.81	795.11		(25.30)
SELL	VERSUS PURCHASE	57.945	05/31/2013	09/03/2014	635 08	640 31		(5 23)
SELL	VERSUS PURCHASE	39.961	06/28/2013	09/03/2014	437 97	429 18		8 79
SELL	VERSUS PURCHASE	50.220	07/31/2013	09/03/2014	550.41	540.89		9.52
SELL	VERSUS PURCHASE	54.249	08/30/2013	09/03/2014	594 56	576.67		17 89
SELL	VERSUS PURCHASE	47.193	08/29/2014	09/03/2014	517 24	548.86		(31 62)
	Wash sale: 642 day(s) added to holding period							
	SALE DATE TOTAL	**2,081.535**	**VARIOUS**	**09/03/2014**	**22,813.63**	**23,439.72**	**30.21 W**	**(595.88)**
Long-Term Covered Total					**29,164.79**	**29,925.55**	**39.72 W**	**(721.04)**
Covered Total					**40,770.74**	**41,395.29**	**39.72 W**	**(584.83)**
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 5)								
Description (Box 1a): JP MORGAN CORE BOND FUND CLASS A					CUSIP: 4812C0357			
SELL	HIGH COST	11,825.376	04/01/2010	09/03/2014	138,829 91	132,325 96		6,503.95

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403802

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)

Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.

Noncovered (Box 5) *(Continued)*

Description (Box 1a): PIMCO TOTAL RETURN F UND CLASS D CUSIP: 693391674

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments	Realized Gain or (Loss)
SELL	VERSUS PURCHASE	21,556 069	04/01/2010	09/03/2014	236,254.51	237,337 91		(1,083 40)
SELL	VERSUS PURCHASE	693 737	12/08/2010	09/03/2014	7,603 36	7,478 67		124 69
SELL	VERSUS PURCHASE	1,517.202	12/08/2010	09/03/2014	16,628.53	16,355.83		272.70
SELL	VERSUS PURCHASE	137.161	12/31/2010	09/03/2014	1,503 28	1,485.50		17.78
SELL	VERSUS PURCHASE	112.951	01/31/2011	09/03/2014	1,237.94	1,223.29		14 65
SELL	VERSUS PURCHASE	117.799	02/28/2011	09/03/2014	1,291.08	1,279.33		11 75
SELL	VERSUS PURCHASE	121.790	03/31/2011	09/03/2014	1,334.82	1,322.68		12 14
SELL	VERSUS PURCHASE	121 578	04/29/2011	09/03/2014	1,332 49	1,338.60		(6.11)
SELL	VERSUS PURCHASE	117.682	06/30/2011	09/03/2014	1,289.79	1,291.01		(1 22)
SELL	VERSUS PURCHASE	102.586	08/31/2011	09/03/2014	1,124.34	1,127 44		(3 10)
SELL	VERSUS PURCHASE	119.797	09/30/2011	09/03/2014	1,312.98	1,290 24		22 74
SELL	VERSUS PURCHASE	119 040	10/31/2011	09/03/2014	1,304.68	1,296.38		8 30
SELL	VERSUS PURCHASE	68.933	11/30/2011	09/03/2014	755.51	741.74		13.77
SELL	VERSUS PURCHASE	62 398	11/30/2011	09/03/2014	683 88	671.42		12.46
SELL	VERSUS PURCHASE	149.997	12/28/2011	09/03/2014	1,643.97	1,621.50		22.47
SELL	VERSUS PURCHASE	106 402	12/30/2011	09/03/2014	1,166.17	1,154.49		11.68
	SALE DATE TOTAL	**25,225.122**	**VARIOUS**	**09/03/2014**	**276,467.33**	**277,016.03**		**(548.70)**

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403802

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Noncovered Total					415,297.24	409,341.99		5,955.25
Noncovered Total					415,297.24	409,341.99		5,955.25
Total					456,067.98	450,737.28	39.72 W	5,370.42

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales redemptions, tender offers return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available Please note that such detail for noncovered transactions is not reported to the IRS

Type of Gain or Loss (Box 2). The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program

Description and Quantity (Box1a). Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported If fractional shares are part of the disposition, those shares will be displayed to three decimal places

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported

Disposition Transaction This column will denote the type of transaction, for example, SELL

Disposition Method. The method used to select which lot will be disposed, for example first-in first out (FIFO) Please refer to the Client Service Information Section in your brokerage account statement for your account s existing tax-lot disposition method

Date Acquired (Box 1b). This box represents the date you acquired the security or for short sales the date you opened the short sale You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates

Date Sold or Disposed (Box 1c). Shows the trade date of the sale or exchange For short sales, the date shown is the date you closed the short sale

Proceeds (Box 1d). Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums) Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040 Schedule D (Capital Gains and Losses) See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row This box does not include proceeds from regulated futures or foreign currency forward contracts

Cost or Other Basis (Box 1e). This box shows the original cost, or adjusted cost basis, due to a corporate action, gifted or inherited cost basis The IRS provides a detailed description of cost or other basis reporting in the 2014 Instructions for Form 1099-B, available at irs gov For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications IRS instructions for Schedule D and Form 8949, IRS Publication 550 (Investment Income and Expenses [Including Capital Gains and Losses]) and IRS Publication 551 (Basis of Assets) Average cost is segregated among covered, noncovered and gifted (see the Tax Guide at mytaxhandbook com for more specific details)

Adjustments - Code (Box 1f) The adjustment column may display one or more codes D, O, or W

D = Market Discount. An adjustment code (D) will be displayed in Box 1f next to an amount for market discount A market discount condition exists when the purchase cost of a bond is below the adjusted issue price of an original issue discount bond (OID bond) or below the redemption value of a non-OID bond Investors may elect to recognize market discount as income throughout the time held If no election has been made, Pershing is required to defer this recognition of income until the time of sale or maturity The market discount displayed within Box 1g represents ordinary interest income up to the instrument's accrued market discount

O = Option Premium (Not Reportable to the IRS). An adjustment code (O) will be displayed next to the amount for an option premium within the Adjustment column When stock is sold based upon the assignment of either a put or a call option the proceeds of that sale are adjusted by the price of the option or the option premium The proceeds amount is increased by the option premium received or decreased by the option premium paid See the Tax Guide or IRS Publication 550 for more information

W = Wash Sale Loss Disallowed. An adjustment code (W) will be displayed in Box 1f next to an amount for a disallowed wash sale loss within the adjustments column This loss is being reported as disallowed because the sale of the covered security has been adjusted under the broker wash sale rule This occurs when you re-purchase the identical security as determined by CUSIP number, in the same brokerage account within the 30-day period preceding or following the date of the original loss The wash sale loss is displayed within Box 1g as a positive amount as required by the IRS For additional information about wash sales, please refer to the following 2014 Instructions for Schedule D and Form 8949, and IRS Publication 550 (Investment Income and Expenses [Including Capital Gains and Losses])

Adjustment Amount (Box 1g). Provides the amount of nondeductible loss in a wash sale transaction and/or the amount of accrued market discount For details on wash sales and market discount see Schedule D (Form 1040) instructions and IRS Publication 550

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403778

Recipient's Identification
Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

IMPORTANT MESSAGE: Certain types of securities (e g , mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(4,105.57)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3)

Description (Box 1a): T ROWE PRICE SUMMIT MUNICIPAL INCOME FUN D CUSIP: 77957N308

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
SELL	HIGH COST	3,938.862	09/10/2013	07/30/2014	46,321 02	42,972.98		3,348.04
SELL	HIGH COST	7.903	09/30/2013	07/30/2014	92.94	88 43		4.51
SELL	HIGH COST	12.510	10/31/2013	07/30/2014	147 12	140 74		6.38
SELL	HIGH COST	13.211	11/29/2013	07/30/2014	155.36	147 83		7.53
SELL	HIGH COST	12 843	12/31/2013	07/30/2014	151.03	143 07		7.96
SELL	HIGH COST	12 877	01/31/2014	07/30/2014	151.43	146 41		5.02

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403778

Recipient's Identification
Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): T ROWE PRICE SUMMIT MUNICIPAL INCOME FUN D					CUSIP: 77957N308 *(Continued)*			
SELL	HIGH COST	12.321	02/28/2014	07/30/2014	144.89	141.44		3 45
SELL	HIGH COST	11.356	03/31/2014	07/30/2014	133.55	130.48		3.07
SELL	HIGH COST	12.188	04/30/2014	07/30/2014	143.33	141 63		1.70
SELL	HIGH COST	12.367	05/30/2014	07/30/2014	145.44	145 56	0.11 W	(0.01)
SELL	HIGH COST	11 836	06/30/2014	07/30/2014	139.19	139.18		0.01
Wash sale· 61 day(s) added to holding period								
SALE DATE TOTAL		**4,058.274**	**VARIOUS**	**07/30/2014**	**47,725.30**	**44,337.75**	**0.11 W**	**3,387.66**
Short-Term Covered Total					**47,725.30**	**44,337.75**	**0.11 W**	**3,387.66**
Covered Total					**47,725.30**	**44,337.75**	**0.11 W**	**3,387.66**
Total					**47,725.30**	**44,337.75**	**0.11 W**	**3,387.66**

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available Please note that such detail for noncovered transactions is not reported to the IRS

Type of Gain or Loss (Box 2). The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program

Description and Quantity (Box1a). Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported If fractional shares are part of the disposition, those shares will be displayed to three decimal places

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403760

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

IMPORTANT MESSAGE: Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(10,353.41)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3)

Description (Box 1a): ABERDEEN EMERGING MA RKETS FUND INSTITUTI ONAL CLASS CUSIP: 003021714

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
SELL	HIGH COST	17.925	06/17/2013	02/04/2014	236.97	261 35		(24.38)
SELL	HIGH COST	3.483	09/16/2013	02/04/2014	46.05	50 85		(4.80)
SELL	HIGH COST	1,421 352	09/30/2013	02/04/2014	18,790 27	20,908 09		(2,117 82)
SELL	HIGH COST	25 373	12/23/2013	02/04/2014	335.43	363.09		(27.66)
SALE DATE TOTAL		**1,468.133**	**VARIOUS**	**02/04/2014**	**19,408.72**	**21,583.38**		**(2,174.66)**

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403760

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): ABERDEEN EMERGING MA RKETS FUND INSTITUTI ONAL CLASS					CUSIP: 003021714 *(Continued)*			
SELL	HIGH COST	4,210.133	07/18/2014	10/14/2014	61,636 35	66,688.51	24.05 W	(5,028.11)
SELL	HIGH COST	20.039	09/15/2014	10/14/2014	293.37	336 06		(42.69)
	Wash sale 88 day(s) added to holding period							
	SALE DATE TOTAL	**4,230.172**	**VARIOUS**	**10/14/2014**	**61,929.72**	**67,024.57**	**24.05 W**	**(5,070.80)**
	SECURITY TOTAL				**81,338.44**	**88,607.95**	**24.05 W**	**(7,245.46)**
Description (Box 1a): KOPERNIK GLOBAL ALL- CAP FUND CLASS A					CUSIP: 00766Y315			
SELL	HIGH COST	1,351.738	04/04/2014	10/14/2014	12,179.16	13,895.87		(1,716.71)
SELL	HIGH COST	4,092.865	06/25/2014	10/14/2014	36,876.71	43,138.80		(6,262.09)
SELL	HIGH COST	3,367 347	07/18/2014	10/14/2014	30,339 80	35,794.90		(5,455.10)
	SALE DATE TOTAL	**8,811.950**	**VARIOUS**	**10/14/2014**	**79,395.67**	**92,829.57**		**(13,433.90)**
SELL	HIGH COST	4,813 386	02/07/2014	12/19/2014	37,977.61	48,567.06		(10,589 45)
SELL	HIGH COST	1,828 072	04/04/2014	12/19/2014	14,423.49	18,792.58		(4,369 09)
	SALE DATE TOTAL	**6,641.458**	**VARIOUS**	**12/19/2014**	**52,401.10**	**67,359.64**		**(14,958.54)**
	SECURITY TOTAL				**131,796.77**	**160,189.21**		**(28,392.44)**

Seq # (PMA 2077)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403760

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Description (Box 1a): CONESTOGA SMALL CAP FUND					CUSIP: 207019100			
SELL	HIGH COST	5.097	12/27/2013	02/04/2014	172 23	186.56		(14 33)
SELL	HIGH COST	21.755	12/27/2013	02/04/2014	735 10	796 25		(61.15)
	SALE DATE TOTAL	**26.852**	**VARIOUS**	**02/04/2014**	**907.33**	**982.81**		**(75.48)**
Description (Box 1a): VULCAN VALUE PARTNER S SMALL CAP FUND					CUSIP: 317609683			
SELL	HIGH COST	46 099	11/29/2013	07/18/2014	887 87	873 58		14.29
SELL	HIGH COST	120 387	11/29/2013	07/18/2014	2,318 65	2,281.33		37.32
SELL	HIGH COST	1,819 865	06/25/2014	07/18/2014	35,050 60	35,287 19		(236.59)
	SALE DATE TOTAL	**1,986.351**	**VARIOUS**	**07/18/2014**	**38,257.12**	**38,442.10**		**(184.98)**
Description (Box 1a): THE OAKMARK INTERNAT IONAL FUND CLASS I					CUSIP 413838202			
SELL	HIGH COST	1,165 114	09/30/2013	07/18/2014	31,108 54	30,164 79		943 75
SELL	HIGH COST	64.647	12/20/2013	07/18/2014	1,726 07	1,651 74		74.33
SELL	HIGH COST	96 112	12/20/2013	07/18/2014	2,566 19	2,455 65		110.54
SELL	HIGH COST	2,899 997	06/25/2014	07/18/2014	77,429.92	77,603.92		(174.00)
	SALE DATE TOTAL	**4,225.870**	**VARIOUS**	**07/18/2014**	**112,830.72**	**111,876.10**		**954.62**
Description (Box 1a): ISHARES TR MSCI EMER GING MKTS ETF					CUSIP: 464287234			
SELL	HIGH COST	1,458	10/14/2014	11/19/2014	60,000.55	60,166 23		(165.68)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403760

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Description (Box 1a): GOODHAVEN CAPITAL MA NAGEMENT FUND					CUSIP: 74316J763			
SELL	HIGH COST	49.701	12/19/2013	09/03/2014	1,476.12	1,375 23		100 89
SELL	HIGH COST	2 657	12/19/2013	09/03/2014	78 91	73 51		5.40
SELL	HIGH COST	2,518.074	06/25/2014	09/03/2014	74,786.80	74,660.88		125.92
SALE DATE TOTAL		**2,570.432**	**VARIOUS**	**09/03/2014**	**76,341.83**	**76,109.62**		**232.21**
Description (Box 1a). SPDR S&P 500 ETF TR TR UNIT					CUSIP: 78462F103			
SELL	HIGH COST	77	12/10/2013	02/07/2014	13,758 78	13,921.60		(162.82)
SELL	HIGH COST	219	12/10/2013	04/04/2014	40,948 29	39,595 20		1,353 09
SELL	VERSUS PURCHASE	907	09/03/2014	10/14/2014	171,374.12	181,812 69		(10,438.57)
SELL	HIGH COST	91	09/03/2014	10/14/2014	17,130.37	18,241.41		(1,111.04)
SALE DATE TOTAL		**998**	**VARIOUS**	**10/14/2014**	**188,504.49**	**200,054.10**		**(11,549.61)**
SECURITY TOTAL					**243,211.56**	**253,570.90**		**(10,359.34)**
Description (Box 1a): VANGUARD TAX-MANAGED INTL FD FTSE DEVELO PED MKTS ETF					CUSIP 921943858			
SELL	HIGH COST	5,131	07/18/2014	10/14/2014	192,429.58	216,983.83		(24,554.25)
Description (Box 1a): WISDOMTREE TR JAPAN HEDGED EQUITY FD					CUSIP· 97717W851			
SELL	HIGH COST	394	05/23/2013	02/07/2014	18,471 53	19,584.52		(1,112.99)
SELL	HIGH COST	75	07/09/2013	02/07/2014	3,516.16	3,602 25		(86 09)

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): WISDOMTREE TR JAPAN HEDGED EQUITY FD					CUSIP: 97717W851 *(Continued)*			
SALE DATE TOTAL		**469**	**VARIOUS**	**02/07/2014**	**21,987.69**	**23,186.77**		**(1,199.08)**
SELL	HIGH COST	821	06/25/2014	10/14/2014	39,037.69	40,824 23		(1,786.54)
SECURITY TOTAL					**61,025.38**	**64,011.00**		**(2,985.62)**
Short-Term Covered Total					998,139.28	1,070,939.75	24.05 W	(72,776.42)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3)								
Description (Box 1a): VULCAN VALUE PARTNER S SMALL CAP FUND					CUSIP: 317609683			
SELL	HIGH COST	3,234.877	02/08/2013	07/18/2014	62,303.73	51,952 13		10,351 60
Description (Box 1a): THE OAKMARK INTERNAT IONAL FUND CLASS I					CUSIP: 413838202			
SELL	HIGH COST	4,464 523	03/07/2013	07/18/2014	119,202.77	100,005 32		19,197.45
Description (Box 1a): GOODHAVEN CAPITAL MA NAGEMENT FUND					CUSIP: 74316J763			
SELL	HIGH COST	3,860.897	02/08/2013	09/03/2014	114,668.64	98,877.56		15,791.08
SELL	HIGH COST	1,029 866	06/12/2013	09/03/2014	30,587 02	28,218 33		2,368 69
SALE DATE TOTAL		**4,890.763**	**VARIOUS**	**09/03/2014**	**145,255.66**	**127,095.89**		**18,159.77**

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): SPDR S&P 500 ETF TR TR UNIT					CUSIP: 78462F103			
SELL	HIGH COST	178	12/18/2012	04/04/2014	33,282 18	25,804.59		7,477.59
SELL	HIGH COST	218	01/09/2013	04/04/2014	40,761 31	31,827 30		8,934 01
SALE DATE TOTAL		**396**	**VARIOUS**	**04/04/2014**	**74,043.49**	**57,631.89**		**16,411.60**
Description (Box 1a): WISDOMTREE TR JAPAN HEDGED EQUITY FD					CUSIP: 97717W851			
SELL	HIGH COST	394	07/09/2013	10/14/2014	18,734 28	18,923.82		(189 54)
Long-Term Covered Total					**419,539.93**	**355,609.05**		**63,930.88**
Covered Total					**1,417,679.21**	**1,426,548.80**	**24.05 W**	**(8,845.54)**
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 5)								
Description (Box 1a): ABERDEEN EMERGING MA RKETS FUND INSTITUTI ONAL CLASS					CUSIP: 003021714			
SELL	HIGH COST	2,718 724	12/14/2011	02/04/2014	35,941.53	34,453.42		1,488.11
Description (Box 1a): CONESTOGA SMALL CAP FUND					CUSIP: 207019100			
SELL	HIGH COST	1,552 357	12/14/2011	02/04/2014	52,454 14	34,524 42		17,929.72
Long-Term Noncovered Total					**88,395.67**	**68,977.84**		**19,417.83**
Noncovered Total					**88,395.67**	**68,977.84**		**19,417.83**
Total					**1,506,074.88**	**1,495,526.64**	**24.05 W**	**10,572.29**

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403786

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

IMPORTANT MESSAGE: Certain types of securities (e.g , mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(5,025.38)

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3)								
Description (Box 1a): AQR MANAGED FUTURES STRATEGY FUND CLASS N					CUSIP: 00203H842			
SELL	HIGH COST	27 350	12/23/2013	01/13/2014	279.79	282.25	0.29 W	(2.17)
SELL	HIGH COST	3.191	12/23/2013	01/13/2014	32.64	33 21		(0.57)
	Wash sale 21 day(s) added to holding period							
SALE DATE TOTAL		**30.541**	**VARIOUS**	**01/13/2014**	**312.43**	**315.46**	**0.29 W**	**(2.74)**

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): IVA INTERNATIONAL FU ND CLASS A					**CUSIP:** 45070A305			
SELL	HIGH COST	2,221.549	06/25/2014	11/07/2014	39,077.05	39,787 94		(710 89)
SELL	HIGH COST	2,269.752	07/02/2014	11/07/2014	39,924 94	41,082.51		(1,157.57)
SALE DATE TOTAL		**4,491.301**	**VARIOUS**	**11/07/2014**	**79,001.99**	**80,870.45**		**(1,868.46)**
Description (Box 1a): KKM U S EQUITY ARMO R FUND CLASS I					**CUSIP:** 66538F405			
SELL	HIGH COST	9,764 838	07/21/2014	11/26/2014	96,183.65	99,308.40	1,184.87 W	(1,939.88)
Description (Box 1a): PROSHARES TR PROSHAR ES SHORT S&P500					**CUSIP:** 74347R503			
SELL	HIGH COST	122	01/13/2014	04/02/2014	2,980.39	3,108 56		(128.17)
SELL	HIGH COST	1,004	01/30/2014	04/02/2014	24,527.18	25,993 56		(1,466.38)
SALE DATE TOTAL		**1,126**	**VARIOUS**	**04/02/2014**	**27,507.57**	**29,102.12**		**(1,594.55)**
SELL	HIGH COST	1,974	01/13/2014	06/27/2014	46,214.09	50,297.52		(4,083 43)
SELL	HIGH COST	1,774	11/07/2014	11/26/2014	38,601.47	39,524.72		(923.25)
SECURITY TOTAL					**112,323.13**	**118,924.36**		**(6,601.23)**
Description (Box 1a): PROSHARES TR PROSHAR ES SHORT DOW30					**CUSIP:** 74347R701			
SELL	HIGH COST	1,558	06/27/2014	11/07/2014	37,173.05	39,323.92		(2,150.87)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403786

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): RIVERPARK LONG / SHO RT OPPORTUNITY FUND INSTITUTIONAL CLASS					CUSIP: 76882K850			
SELL	HIGH COST	2,599.107	01/13/2014	07/21/2014	28,278 28	28,044 36		233 92
SELL	HIGH COST	4,491 999	06/25/2014	07/21/2014	48,872 95	48,064.39		808.56
SALE DATE TOTAL		**7,091.106**	**VARIOUS**	**07/21/2014**	**77,151.23**	**76,108.75**		**1,042.48**
Description (Box 1a): TFS MARKET NEUTRAL F UND					CUSIP: 872407101			
SELL	HIGH COST	151 866	12/20/2013	01/13/2014	2,277 99	2,343 30	16.18 W	(49.13)
SELL	HIGH COST	37.621	12/20/2013	01/13/2014	564 32	596 66		(32 34)
	Wash sale. 24 day(s) added to holding period							
SALE DATE TOTAL		**189.487**	**VARIOUS**	**01/13/2014**	**2,842.31**	**2,939.96**	**16.18 W**	**(81.47)**
Description (Box 1a): VIRTUS PREMIUM ALPHA SECTOR FUND CLASS A					CUSIP: 92828R255			
SELL	HIGH COST	1,163.061	08/21/2013	01/30/2014	18,632.23	17,597.11		1,035.12
SELL	HIGH COST	12.710	12/30/2013	01/30/2014	203 61	209 72	6.11 W	0 00
SELL	HIGH COST	60 129	12/30/2013	01/30/2014	963 27	992 13	28.86 W	0.00
SELL	HIGH COST	153 501	12/30/2013	01/30/2014	2,459 09	2,532 76	63.31 W	(10.36)
SELL	HIGH COST	12.710	01/13/2014	01/30/2014	203 61	213.02		(9.41)
	Wash sale 31 day(s) added to holding period							
SELL	HIGH COST	60.129	01/13/2014	01/30/2014	963.27	1,007.75		(44.48)

Recipient's Name and Address:

PETER MATTIS FAMILY FOUNDATION
UAD 09/28/05

Account Number: PMA-403786

Recipient's Identification Number **-***8795

2014 TAX and YEAR-END STATEMENT
As of 02/09/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a): VIRTUS PREMIUM ALPHA SECTOR FUND CLASS A					**CUSIP:** 92828R255 *(Continued)*			
	Wash sale 31 day(s) added to holding period							
SELL	HIGH COST	131.908	01/13/2014	01/30/2014	2,113.17	2,210.76		(97 59)
	Wash sale 31 day(s) added to holding period							
	SALE DATE TOTAL	**1,594.148**	**VARIOUS**	**01/30/2014**	**25,538.25**	**24,763.25**	**98.28 W**	**873.28**
SELL	HIGH COST	18 901	06/27/2013	06/27/2014	333 41	280.87		52.54
SELL	HIGH COST	196 844	08/21/2013	06/27/2014	3,472 33	2,978.25		494.08
	SALE DATE TOTAL	**215.745**	**VARIOUS**	**06/27/2014**	**3,805.74**	**3,259.12**		**546.62**
	SECURITY TOTAL				**29,343.99**	**28,022.37**	**98.28 W**	**1,419.90**
Description (Box 1a): WASATCH LONG/SHORT F UND					**CUSIP:** 936793835			
SELL	HIGH COST	41.003	12/27/2013	01/13/2014	656.87	665 07	8 20 W	0.00
SELL	HIGH COST	41.003	12/27/2013	01/13/2014	656 87	673 26		(16.39)
	Wash sale 17 day(s) added to holding period							
SELL	HIGH COST	69.356	12/27/2013	01/13/2014	1,111.08	1,124.96		(13 88)
	SALE DATE TOTAL	**151.362**	**VARIOUS**	**01/13/2014**	**2,424.82**	**2,463.29**	**8.20 W**	**(30.27)**
Short-Term Covered Total					**436,756.60**	**448,276.96**	**1,307.82 W**	**(10,212.54)**

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3)								
Description (Box 1a). AQR MANAGED FUTURES STRATEGY FUND CLASS N					CUSIP: 00203H842			
SELL	HIGH COST	41 652	12/17/2012	01/13/2014	426.10	399 03		27 07
Description (Box 1a): RIVERPARK LONG / SHO RT OPPORTUNITY FUND INSTITUTIONAL CLASS					CUSIP. 76882K850			
SELL	HIGH COST	6,196 983	12/13/2012	07/21/2014	67,423.18	58,995.28		8,427.90
SELL	HIGH COST	1,072.580	02/15/2013	07/21/2014	11,669.67	10,618 54		1,051.13
SALE DATE TOTAL		**7,269.563**	**VARIOUS**	**07/21/2014**	**79,092.85**	**69,613.82**		**9,479.03**
Description (Box 1a): VIRTUS PREMIUM ALPHA SECTOR FUND CLASS A					CUSIP: 92828R255			
SELL	HIGH COST	6,687.322	06/14/2013	06/27/2014	117,964.36	100,644.20		17,320 16
Long-Term Covered Total					**197,483.31**	**170,657.05**		**26,826.26**
Covered Total					**634,239.91**	**618,934.01**	**1,307.82 W**	**16,613.72**
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 5)								
Description (Box 1a): AQR MANAGED FUTURES STRATEGY FUND CLASS N					CUSIP: 00203H842			
SELL	HIGH COST	2,863 740	12/14/2011	01/13/2014	29,296 06	27,892.83		1,403.23
SELL	HIGH COST	18 787	12/19/2011	01/13/2014	192.19	181.48		10.71
SELL	HIGH COST	9.525	12/19/2011	01/13/2014	97 44	92.01		5.43
SELL	HIGH COST	1.165	12/19/2011	01/13/2014	11.92	11.25		0.67

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 5) *(Continued)*								
Description (Box 1a): AQR MANAGED FUTURES STRATEGY FUND CLASS N					**CUSIP:** 00203H842 *(Continued)*			
SALE DATE TOTAL		2,893.217	VARIOUS	01/13/2014	29,597.61	28,177.57		1,420.04
Description (Box 1a): TFS MARKET NEUTRAL F UND					**CUSIP:** 872407101			
SELL	HIGH COST	4,961 468	12/14/2011	01/13/2014	74,422.02	70,105.54		4,316.48
Description (Box 1a): WASATCH LONG/SHORT F UND					**CUSIP:** 936793835			
SELL	HIGH COST	6,969 876	12/14/2011	01/13/2014	111,657 41	87,820.44		23,836 97
Long-Term Noncovered Total					**215,677.04**	**186,103.55**		**29,573.49**
Noncovered Total					**215,677.04**	**186,103.55**		**29,573.49**
Total					**849,916.95**	**805,037.56**	**1,307.82 W**	**46,187.21**

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available Please note that such detail for noncovered transactions is not reported to the IRS

Type of Gain or Loss (Box 2). The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program

Description and Quantity (Box1a). Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported If fractional shares are part of the disposition, those shares will be displayed to three decimal places

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported

Disposition Transaction. This column will denote the type of transaction, for example, SELL

***Disposition Method.** The method used to select which lot will be disposed, for example first-in first-out (FIFO) Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method

Date Acquired (Box 1b). This box represents the date you acquired the security or, for short sales, the date you opened the short sale You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates

Date Sold or Disposed (Box 1c). Shows the trade date of the sale or exchange For short sales, the date shown is the date you closed the short sale

Form **8868**
(Rev January 2014)
Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions: MATTIS FAMILY FOUNDATION	Employer identification number (EIN) or: 20-3538795
File by the due date for filing your return See instructions.	Number, street, and room or suite number If a P O box, see instructions: 17 PROSPECT PARK WEST	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions: BROOKLYN NY 11215	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► PETER D. MATTIS

Telephone No. ► (646) 708-3013 Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ . If this is for the whole group, check this box ► [ ] . If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 17, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for·
► [X] calendar year 20 14 or
► [ ] tax year beginning ________ , 20 ___, and ending ________ , 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.