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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation The Margaret Dunn Smith Family Foundation Inc		A Employer identification number 20-2642871	
Number and street (or P O box number if mail is not delivered to street address) 155A Alain White Road		B Telephone number (see instructions) (203) 625-9836	
City or town, state or province, country, and ZIP or foreign postal code Morris, CT 06763		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,142,377		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7,143	7,143		
	4 Dividends and interest from securities.	32,770	32,770		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	147,011			
	b Gross sales price for all assets on line 6a 629,282				
	7 Capital gain net income (from Part IV, line 2) . . .		147,011		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	186,924	186,924		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,700	0		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	531	531		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	12,261	12,261		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	15,492	12,792		0
	25 Contributions, gifts, grants paid	92,950			92,950
	26 Total expenses and disbursements. Add lines 24 and 25	108,442	12,792		92,950
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	78,482			
	b Net investment income (if negative, enter -0-)		174,132		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	13,248	12,970	12,970
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	14,991	0	0
	b	Investments—corporate stock (attach schedule)	699,254	830,548	904,852
	c	Investments—corporate bonds (attach schedule).	134,651	89,716	94,793
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	81,002	88,394	129,762
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	943,146	1,021,628	1,142,377	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24		Unrestricted	943,146	1,021,628	
25		Temporarily restricted			
26		Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
27		Capital stock, trust principal, or current funds			
28		Paid-in or capital surplus, or land, bldg , and equipment fund			
29		Retained earnings, accumulated income, endowment, or other funds			
30		Total net assets or fund balances (see instructions)	943,146	1,021,628	
31		Total liabilities and net assets/fund balances (see instructions) . . .	943,146	1,021,628	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 943,146
2	Enter amount from Part I, line 27a	2 78,482
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 1,021,628
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 1,021,628

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	147,011
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	129,770	1,154,200	0 112433
2012	148,924	1,155,787	0 128851
2011	153,720	1,274,674	0 120596
2010	139,751	1,278,600	0 109300
2009	85,403	47,146	1 811458

2	Total of line 1, column (d).	2	2 282638
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 456528
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	1,170,490
5	Multiply line 4 by line 3.	5	534,361
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,741
7	Add lines 5 and 6.	7	536,102
8	Enter qualifying distributions from Part XII, line 4.	8	92,950

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,483
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	3,483
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,483
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,520	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	1,520
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	1
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,964
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶Randy Harrison CPA Telephone no ▶(203) 789-1040 Located at ▶One Audubon Street 3rd Floor New Haven CT ZIP +4 ▶06511			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?. 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

5b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

6a

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6b

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Yes No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,117,759
b	Average of monthly cash balances.	1b	70,556
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,188,315
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,188,315
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	17,825
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,170,490
6	Minimum investment return. Enter 5% of line 5.	6	58,525

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	58,525
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	3,483
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,483
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	55,042
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	55,042
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	55,042

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	92,950
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	92,950
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	92,950

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				55,042
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.	84,010			
b From 2010.	77,640			
c From 2011.	92,125			
d From 2012.	93,631			
e From 2013.	73,564			
f Total of lines 3a through e.	420,970			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 92,950				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				55,042
e Remaining amount distributed out of corpus	37,908			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	458,878			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . .	84,010			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	374,868			
10 Analysis of line 9				
a Excess from 2010. . . .	77,640			
b Excess from 2011. . . .	92,125			
c Excess from 2012. . . .	93,631			
d Excess from 2013. . . .	73,564			
e Excess from 2014. . . .	37,908			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	92,950
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.</p>		
<p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2015-05-04</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Firm's name ▶ Meyers Harrison & Pia LLC	Firm's EIN ▶ 06-1414957
	Firm's address ▶ One Audubon Street 3rd Floor New Haven, CT 065116431	Phone no (203) 789-1040

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Kinder Morgan - Cash in Lieu	P		2014-12-04
Prdntl Jen Sml Company Z	P	2012-02-21	2014-01-30
Prudential Jennison	P	2012-02-21	2014-01-30
Prdntl Jen Sml Company Z	P	2013-12-19	2014-01-30
Neuberger Lc Ds Gr Inst	P	2004-02-09	2014-06-27
Neuberger Lc Ds Gr Inst	P	2008-10-09	2014-06-27
Neuberger Lc Ds Gr Inst	P	2009-08-13	2014-06-27
Neuberger Lc Ds Gr Inst	P	2009-12-16	2014-06-27
Neuberger Lc Ds Gr Inst	P	2009-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2004-09-10	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29			29
39,973		33,038	6,935
5		2	3
22		22	0
12,574		8,086	4,488
3,293		2,424	869
8		6	2
180		147	33
8		7	1
4,608		3,982	626

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29
			6,935
			3
			0
			4,488
			869
			2
			33
			1
			626

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Neuberger Lc Ds Gr Inst	P	2010-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2010-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2011-06-14	2014-06-27
Neuberger Lc Ds Gr Inst	P	2011-06-14	2014-06-27
Neuberger Lc Ds Gr Inst	P	2011-12-19	2014-06-27
Neuberger Lc Ds Gr Inst	P	2011-12-19	2014-06-27
Neuberger Lc Ds Gr Inst	P	2011-12-19	2014-06-27
Neuberger Lc Ds Gr Inst	P	2012-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2012-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2012-12-17	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		7	1
131		121	10
8		6	2
17,500		16,493	1,007
131		108	23
8		6	2
3,170		2,623	547
507		443	64
8		6	2
2,092		1,830	262

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			10
			2
			1,007
			23
			2
			547
			64
			2
			262

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
Neuberger Lc Ds Gr Inst	P	2013-12-17	2014-06-27
First Eag Global Cl I	P	2012-02-21	2014-06-27
First Eag Global Cl I	P	2012-12-14	2014-06-27
First Eag Global Cl I	P	2012-12-14	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		7	1
458		432	26
8		8	0
5,343		5,049	294
8		8	0
			0
1,822		1,722	100
33,313		28,550	4,763
57		48	9
400		337	63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			26
			0
			294
			0
			0
			100
			4,763
			9
			63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
First Eag Global Cl I	P	2012-12-14	2014-06-27
First Eag Global Cl I	P	2012-12-14	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
First Eag Global Cl I	P	2013-12-18	2014-06-27
Prdntl Jen Sml Company Z	P	2012-02-21	2014-06-27
Prdntl Jen Sml Company Z	P	2012-02-21	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
914		770	144
171		144	27
514		470	44
57		50	7
914		835	79
57		52	5
32		29	3
171		157	14
28,062		21,485	6,577
25		19	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			144
			27
			44
			7
			79
			5
			3
			14
			6,577
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Prdntl Jen Sml Company Z	P	2012-11-21	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Prdntl Jen Sml Company Z	P	2013-12-19	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2004-02-09	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2008-10-09	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2009-08-13	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
516		380	136
30		23	7
395		362	33
30		28	2
6,135		5,622	513
30		28	2
425		390	35
43,759		18,926	24,833
1,951		1,003	948
11		5	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			136
			7
			33
			2
			513
			2
			35
			24,833
			948
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Mainstay Lg Cp Grth Cl I	P	2011-06-14	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2012-07-11	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2012-12-13	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2012-12-28	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2012-04-13	2014-06-27
Mainstay Lg Cp Grth Cl I	P	2012-04-13	2014-06-27
Oppenhmr Dev Mrkts Cl Y	P	2011-02-15	2014-06-27
Oppenhmr Dev Mrkts Cl Y	P	2012-12-11	2014-06-27
Oppenhmr Dev Mrkts Cl Y	P	2012-02-21	2014-06-27
Oppenhmr Dev Mrkts Cl Y	P	2012-02-21	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,520		16,499	7,021
1,940		1,310	630
172		127	45
43		31	12
8		6	2
3,548		3,313	235
16,528		14,094	2,434
358		266	92
40		32	8
22,805		18,953	3,852

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,021
			630
			45
			12
			2
			235
			2,434
			92
			8
			3,852

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Oppenhmr Dev Mrkts CI Y	P	2012-10-12	2014-06-27
Oppenhmr Dev Mrkts CI Y	P	2012-09-13	2014-06-27
Oppenhmr Dev Mrkts CI Y	P	2012-09-13	2014-06-27
Oppenhmr Dev Mrkts CI Y	P	2012-09-13	2014-06-27
Oppenhmr Dev Mrkts CI Y	P	2012-09-13	2014-06-27
Oppenhmr Dev Mrkts CI Y	P	2012-09-13	2014-06-27
Davis Ny Venture Fd CI Y	P	2004-02-09	2014-06-27
Davis Ny Venture Fd CI Y	P	2012-02-09	2014-06-27
Davis Ny Venture Fd CI Y	P	2004-09-10	2014-06-27
Davis Ny Venture Fd CI Y	P	2012-02-10	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
278		237	41
40		33	7
159		148	11
40		37	3
11		11	0
159		148	11
22,009		11,102	10,907
615		431	184
6,809		5,169	1,640
923		696	227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			41
			7
			11
			3
			0
			11
			10,907
			184
			1,640
			227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Davis Ny Venture Fd Cl Y	P	2011-06-14	2014-06-27
Davis Ny Venture Fd Cl Y	P	2011-06-14	2014-06-27
Davis Ny Venture Fd Cl Y	P	2011-12-22	2014-06-27
Davis Ny Venture Fd Cl Y	P	2012-12-19	2014-06-27
Davis Ny Venture Fd Cl Y	P	2012-12-19	2014-06-27
Davis Ny Venture Fd Cl Y	P	2012-12-19	2014-06-27
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44		23	21
17,528		13,981	3,547
615		456	159
44		34	10
747		605	142
1,889		1,529	360
44		36	8
220		190	30
3,690		3,184	506
44		38	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21
			3,547
			159
			10
			142
			360
			8
			30
			506
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Davis Ny Venture Fd Cl Y	P	2007-02-13	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Davis Ny Venture Fd Cl Y	P	2013-12-20	2014-06-27
Uam Fpa Crescent Port	P	2012-02-21	2014-06-27
Uam Fpa Crescent Port	P	2012-02-27	2014-06-27
Uam Fpa Crescent Port	P	2012-02-27	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
176		152	24
44		39	5
132		123	9
2,328		2,165	163
44		41	3
6		6	0
44		41	3
31,860		25,972	5,888
139		114	25
104		86	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24
			5
			9
			163
			3
			0
			3
			5,888
			25
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Uam Fpa Crescent Port	P	2012-02-27	2014-06-27
Uam Fpa Crescent Port	P	2001-03-13	2014-06-27
Uam Fpa Crescent Port	P	2001-03-13	2014-06-27
Uam Fpa Crescent Port	P	2001-03-13	2014-06-27
Uam Fpa Crescent Port	P	2007-02-13	2014-06-27
Uam Fpa Crescent Port	P	2013-12-19	2014-06-27
Uam Fpa Crescent Port	P	2013-12-19	2014-06-27
Uam Fpa Crescent Port	P	2013-12-19	2014-06-27
Uam Fpa Crescent Port	P	2013-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv CI	P	2011-04-11	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35		28	7
35		28	7
35		29	6
1,247		1,029	218
139		124	15
35		32	3
1,177		1,099	78
35		32	3
6		6	0
6,748		6,657	91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			7
			6
			218
			15
			3
			78
			3
			0
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2011-11-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2011-11-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2011-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2011-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-01-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-01-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-02-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-02-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-03-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-03-19	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		13	0
53		51	2
306		282	24
93		86	7
13		12	1
53		50	3
13		13	0
53		52	1
13		13	0
53		53	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			2
			24
			7
			1
			3
			0
			1
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2012-04-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-04-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-05-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-06-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-07-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-07-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-08-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-08-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-09-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-10-17	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		13	0
53		52	1
67		63	4
67		63	4
13		13	0
53		51	2
13		13	0
53		53	0
40		40	0
13		13	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			1
			4
			4
			0
			2
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2012-10-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-11-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-11-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2012-12-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-01-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-01-17	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40		40	0
13		13	0
40		40	0
13		13	0
40		40	0
13		13	0
200		198	2
253		251	2
53		54	-1
13		13	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			2
			2
			-1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2013-02-20	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-03-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-04-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-04-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-05-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-06-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-07-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-08-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-09-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-10-17	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		54	-1
27		27	0
13		13	0
27		27	0
27		27	0
27		26	1
27		26	1
27		26	1
27		26	1
27		26	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			0
			0
			0
			0
			1
			1
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2013-11-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-12-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2013-12-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-01-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-02-20	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-02-20	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-03-19	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-04-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-04-17	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-05-19	2014-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27		26	1
13		13	0
53		52	1
27		26	1
13		13	0
27		26	1
27		26	1
13		13	0
13		13	0
13		13	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			0
			1
			1
			0
			1
			1
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Tmpltn Glbl Bd Fd Adv Cl	P	2014-06-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-06-18	2014-06-27
Tmpltn Glbl Bd Fd Adv Cl	P	2014-06-18	2014-06-27
Fdrtd Ultrasht Bd Fd Ins	P	2013-02-21	2014-06-30
Fdrtd Ultrasht Bd Fd Ins	P	2013-02-21	2014-06-30
Fdrtd Ultrasht Bd Fd Ins	P	2013-02-21	2014-06-30
Fdrtd Ultrasht Bd Fd Ins	P	2003-06-14	2014-06-30
Fdrtd Ultrasht Bd Fd Ins	P	2014-06-30	2014-06-30
Prmtrc Emergn Mrkts Fd I	P	2012-02-21	2014-06-30
Parametric Emerging	P	2012-02-21	2014-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		13	0
5		5	0
13		13	0
9		9	0
9		9	0
11,438		11,488	-50
2			2
2		2	0
7,465		6,828	637
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			-50
			2
			0
			637
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Prmtrc Emergn Mrkts Fd I	P	2013-12-27	2014-06-30
Jp Morgan Strategic	P	2002-01-10	2014-06-30
Jp Morgan Strategic	P	2002-01-10	2014-06-30
Jp Morgan Strategic	P	2006-02-14	2014-06-30
Ishares Core S&P Mid-Cap	P	2006-12-13	2014-08-26
Spdr S&P 500 Etf Trust	P	2006-12-13	2014-08-26
Loom Sayles Growth Y	P	2014-06-30	2014-08-26
Loom Sayles Growth Y	P	2014-06-30	2014-08-26
Loomis Sayles Growth	P	2014-06-30	2014-08-26
Mfs Value Fd CI I	P	2012-09-20	2014-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14		13	1
6,950		6,792	158
7		7	0
3		3	0
5,737		4,624	1,113
12,025		9,713	2,312
1		1	0
11,997		11,737	260
1		1	0
11,992		8,934	3,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			158
			0
			0
			1,113
			2,312
			0
			260
			0
			3,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Mfs Value Fd Cl I	P	2012-09-20	2014-08-26
Mfs Value Fd Cl I	P	2014-06-30	2014-08-26
Mfs Research Intl I	P	2004-02-09	2014-08-26
Mfs Research Intl I	P	2014-06-30	2014-08-26
Kinetics Paradigm Instl	P	2012-02-21	2014-08-26
Kinetics Paradigm Instl	P	2014-06-30	2014-08-26
Prmtrc Emergn Mrkts Fd I	P	2012-02-21	2014-08-26
Prmtrc Emergn Mrkts Fd I	P	2012-02-21	2014-08-26
Nm Genl Elec Cap Corp Be	P	2006-01-25	2014-08-15
Us Treasury Bill	P	2013-10-15	2014-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		5	2
1		1	0
8,986		4,917	4,069
14		14	0
5,968		3,711	2,257
32		23	9
2,994		2,655	339
6		5	1
45,000		44,912	88
15,000		15,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			0
			4,069
			0
			2,257
			9
			339
			1
			88
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Vodafone Grop Plc Sp Adr	P	2008-11-18	2014-02-06
Vodafone Grop Plc Sp Adr	P	2008-11-19	2014-02-06
Vodafonegropplcspadr	P	2008-11-25	2014-02-06
El Paso Pipeline Partner	P	2011-07-25	2014-03-20
Genuine Parts Co	P	2008-11-26	2014-05-09
Sunoco Logistics Prts Lp	P	2008-05-21	2014-06-09
Starwood Waypoint	P	2014-02-06	2014-07-28
Apollo Global Mgmt Llc	P	2013-08-16	2014-08-27
Bce Inc	P	2011-10-07	2014-08-27
Cme Group Inc	P	2014-05-12	2014-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,081		1,088	993
475		237	238
3,650		1,902	1,748
2,226		2,012	214
2,965		1,385	1,580
26,312		2,211	24,101
1,850		2,076	-226
606		724	-118
449		373	76
1,144		1,057	87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			993
			238
			1,748
			214
			1,580
			24,101
			-226
			-118
			76
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Dupont Fabros Technology	P	2013-09-09	2014-08-27
Ensco Plc Shs Cl A	P	2014-06-10	2014-08-27
Epr Pptys	P	2008-05-21	2014-08-27
Golar Lng Limited	P	2012-07-12	2014-08-27
Kkr & Co L P Del	P	2014-06-10	2014-08-27
Kinder Morgan Inc Del	P	2013-10-22	2014-08-27
Lockheed Martin Corp	P	2012-01-27	2014-08-27
Lexington Realty Trust	P	2013-08-21	2014-08-27
Och-Ziff Captl Mangmt Gr	P	2014-07-02	2014-08-27
Omega Healthcare Invs	P	2013-06-17	2014-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
562		473	89
1,003		1,044	-41
848		784	64
647		379	268
924		948	-24
991		896	95
1,763		822	941
379		412	-33
436		472	-36
943		805	138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			89
			-41
			64
			268
			-24
			95
			941
			-33
			-36
			138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Philip Morris Intl Inc	P	2010-11-04	2014-08-27
Pfizer Inc	P	2010-09-02	2014-08-27
Reynolds American Inc	P	2013-11-20	2014-08-27
Starwood Ppty Tr Inc	P	2010-11-11	2014-08-27
Seadrill Lto	P	2011-10-07	2014-08-27
Sse Plc Sponsored Adr	P	2008-10-20	2014-08-27
Transocean Lto	P	2014-02-06	2014-08-27
Transocean Lto-Return of Capital	P	2014-06-18	2014-06-18
Ventas Inc	P	2008-05-21	2014-08-27
Atlas Pipeline Prtnrs Lp	P	2013-10-22	2014-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
852		606	246
886		491	395
288		257	31
831		741	90
729		579	150
752		634	118
581		623	-42
124		124	0
652		470	182
723		569	154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			246
			395
			31
			90
			150
			118
			-42
			0
			182
			154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Blackstone Group Lp	P	2014-03-21	2014-08-27
Buckeye Partners L P	P	2008-05-21	2014-08-27
Kinder Morgan Energy	P	2008-05-21	2014-08-27
Enterprise Prdts Prtn Lp	P	2008-05-21	2014-08-27
Plains All Amern PipL Lp	P	2008-05-21	2014-08-27
Alliance Resrc Ptnrs Lp	P	2008-05-21	2014-08-27
Magellan Midstream	P	2008-05-21	2014-08-27
Williams Partners L P	P	2008-05-21	2014-08-27
Targa Resources Partners	P	2010-09-13	2014-08-27
Westpac Banking Adr	P	2008-05-21	2014-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
492		507	-15
1,165		288	877
948			948
2,210		148	2,062
1,788		339	1,449
1,459		584	875
2,496		403	2,093
1,048		85	963
1,473		52	1,421
984		678	306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-15
			877
			948
			2,062
			1,449
			875
			2,093
			963
			1,421
			306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Williams Companies Del	P	2013-11-14	2014-08-27
Sse Plc Sponsored Adr	P	2008-10-20	2014-10-30
Sse Plc Sponsored Adr	P	2011-10-10	2014-10-30
Transocean Lto	P	2014-02-06	2014-11-12
Transocean Lto	P	2014-02-07	2014-11-12
Transocean Lto	P	2014-02-10	2014-11-12
Westpac Banking Adr	P	2008-05-21	2014-10-29
Westpac Banking Adr	P	2008-07-14	2014-10-29
Noble Corp Plc Shs	P	2014-11-12	2014-12-02
Noble Corp Plc Shs	P	2014-11-12	2014-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,468		876	592
2,996		2,538	458
3,371		2,873	498
577		873	-296
3,048		4,603	-1,555
494		750	-256
6,669		4,975	1,694
1,516		930	586
930		1,165	-235
2,501		3,127	-626

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			592
			458
			498
			-296
			-1,555
			-256
			1,694
			586
			-235
			-626

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Noble Corp Plc Shs	P	2014-11-12	2014-12-03
Noble Corp Plc Shs	P	2014-11-12	2014-12-03
Noble Corp Plc Shs	P	2014-11-12	2014-12-04
Noble Corp Plc Shs	P	2014-11-12	2014-12-04
Noble Corp Plc Shs	P	2014-11-12	2014-12-09
Seadrill Lto	P	2011-10-07	2014-11-26
Kinder Morgan Energy	P	2008-05-21	2014-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
121		151	-30
811		1,197	-386
117		178	-61
1,173		1,781	-608
1,184		1,908	-724
3,191		5,641	-2,450
1,336			1,336


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-30
			-386
			-61
			-608
			-724
			-2,450
			1,336

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Margaret Dunn Smith	President 0 00	0	0	0
3 MacPherson Dr Greenwich, CT 06830				
Chrstina S DiSabato	Treasurer 0 00	0	0	0
66 Parkwood Rd Fairfield, CT 06824				
Winthrop H Smith III	Secretary 0 00	0	0	0
246 Galloping Wind Trail Warren, VT 05674				
Cameron W Smith	Asst Treasurer 0 00	0	0	0
3 MacPherson Dr Greenwich, CT 06830				
Heather S Smith	Asst Secretary 0 00	0	0	0
3 MacPherson Dr Greenwich, CT 06830				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Chase Collegiate School 565 Chase Parkway Waterbury,CT 06708		501(c)(3)	To promote the organization's exempt purpose	40,100
High Fives Foundation PO Box 3212 Truckee,CA 96160		501(c)(3)	To promote the organization's exempt purpose	250
Morris Land Trust 19 Boonton Avenue Boonton,NJ 07005		501(c)(3)	To promote the organization's exempt purpose	250
The Warren Fund 293 School Road Warren,VT 05674		501(c)(3)	To promote the organization's exempt purpose	250
Wakeman 2414 Fairfield Avenue Bridgeport,CT 06605		501(c)(3)	To promote the organization's exempt purpose	250
Waterbury Regional Chamber 82 Bank St 2 Waterbury,CT 06702		501(c)(3)	To promote the organization's exempt purpose	500
Wheaton College 501 College Ave Wheaton,IL 60187		501(c)(3)	To promote the organization's exempt purpose	47,500
CT NOFA 126 Derby Avenue Derby,CT 06418		501(c)(3)	To promote the organization's exempt purpose	600
Litchfield Aid of CJR PO Box 214 Litchfield,CT 06759		501(c)(3)	To promote the organization's exempt purpose	1,250
B Cured PO Box 1071 Greenwich,CT 06836		501(c)(3)	To promote the organization's exempt purpose	2,000
Total			 3a	92,950

TY 2014 Accounting Fees Schedule

Name: The Margaret Dunn Smith Family Foundation Inc

EIN: 20-2642871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	2,700	0		0

**TY 2014 Investments Corporate
Bonds Schedule****Name:** The Margaret Dunn Smith Family Foundation Inc**EIN:** 20-2642871

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Bank of America Notes	44,697	48,338
NM Merrill Lynch & Co	45,019	46,455
NM Genl Elec Cap Corp BE Senior Notes	0	0

TY 2014 Investments Corporate
Stock Schedule

Name: The Margaret Dunn Smith Family Foundation Inc
EIN: 20-2642871

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Ishares Core S&P Mid-Cap 375 Sh	49,065	54,300
Spider S&P 500 ETF Trust 544 Sh	98,397	112,011
Templeton Global Total Return Fund 2811 Sh	38,120	35,280
Third Avenue Focused Credit Fund 2051 Sh	24,347	19,860
Loomis Sayles Growth Fund 10767 Sh	106,971	113,064
Neuberger Berman - 6350 Sh	0	0
First Eagle Global Class 640 Sh	0	0
Prudential Jennison Small Co 2595 Sh	0	0
Federated Ultra Short 2551 Sh	23,452	23,293
MFS Value Fd 3186 Sh	94,247	111,888
MFS Research International - 4289 Sh	61,695	72,025
Mainstay Large Cap - 6991 Sh	0	0
Lord Abbett Inflation - 2588 Sh	37,223	33,495
Blackrock Global Long Short Credit Fund 2218 Sh	24,267	23,007
Oppenheimer Developing Markets 1017 Sh	0	0
JP Morgan Strategic Income Opp Fund - 3058 Sh	36,146	35,847
Davis New York Venture - 1320 Sh	0	0
Templeton Global Bond Fund 665 Sh	0	0
Kinetics Paradigm Fund 1482 Sh	37,806	50,509
Prmtrc Emerging Markets 1706 Sh	24,888	23,906
Fidelity Advisor Real Estate Income Fund 2049 Sh	24,523	23,851
Mainstay Unconstrained Bond Fund 3882 Sh	36,740	35,289
UAM FPA Crescent Port 1006 Sh	0	0
BCE Inc 85 Sh	2,800	3,439
CME Group Inc 120 Sh	8,386	10,637
Dupont Fabros Technology 170 Sh	3,544	4,986
Ensco PLC 165 Sh	8,615	4,942
Entertainment Properties Trust REIT 70 Sh	3,038	4,034
Entertainment Properties Trust REIT 80 Sh	4,183	4,610
Genuine Parts Co 35 Sh	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Golar Lng Limited 115 Sh	4,357	4,194
Kinder Morgan 150 Sh	5,449	6,346
Kinder Morgan 60 Sh	2,149	2,539
Kinder Morgan 311 Sh	12,917	13,158
Lexington Realty Trust REIT 275 Sh	3,235	3,019
Lexington Realty Trust REIT 370 Sh	4,133	4,063
Lockheed Martin 60 Sh	4,934	11,554
Macquarie Infrasturcture Co LLC 65 Sh	4,382	4,621
Omega Healthcare Invs 240 Sh	7,727	9,377
Pfizer Inc 300 Sh	4,911	9,345
Philip Morris Intl 45 Sh	2,727	3,665
Philip Morris Intl 45 Sh	2,716	3,665
Reynolds American Inc 50 Sh	2,575	3,213
Seadrill Ltd 215 Sh	0	0
SSE PLC ADR 135 Sh	0	0
SSE PLC ADR 150 Sh	0	0
Starwood Ppty Tr Inc 110 Sh	2,328	2,556
Starwood Ppty Tr Inc 215 Sh	4,430	4,997
Ventas 10 Sh	413	717
Ventas 110 Sh	5,166	7,887
Vodafone Group PLC 100 Sh	0	0
Vodafone Group PLC 13 Sh	0	0
Vodafone Group PLC 57 Sh	0	0
Westpac Banking 250 Sh	0	0
Westpac Banking 50 Sh	0	0
Williams Companies DEL 125 Sh	4,378	5,618
Williams Companies DEL 90 Sh	3,168	4,045

TY 2014 Investments Government Obligations Schedule

Name: The Margaret Dunn Smith Family Foundation Inc

EIN: 20-2642871

**US Government Securities - End of
Year Book Value:**

0

**US Government Securities - End of
Year Fair Market Value:**

0

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2014 Investments - Other Schedule

Name: The Margaret Dunn Smith Family Foundation Inc
EIN: 20-2642871

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Alliance Resource Partners LP 140 Sh	FMV	6,023	10,763
Apollo Global Mgmt 250	FMV	7,239	5,895
Atlas Pipeline Partners LP 200	FMV	7,657	5,452
Blackstone Group LP 130 Sh	FMV	4,401	4,398
Buckeye Partners LP 120 Sh	FMV	5,990	9,079
Buckeye Partners LP 25 Sh	FMV	1,003	1,892
El Paso Pipeline Partner 75	FMV	0	0
Enterprise Prdts Partners LP 355 Sh	FMV	5,625	12,823
Enterprise Prdts Partners LP 56 Sh	FMV	618	2,023
Enterprise Prdts Partners LP 104 Sh	FMV	1,150	3,756
Emerge Energy Services 95 Sh	FMV	8,464	5,130
KKR & Co LP 355 Sh	FMV	8,552	8,239
Kinder Morgan Energy Partners LP 110 Sh	FMV	0	0
Kinder Morgan Energy Partners LP 40 Sh	FMV	0	0
Magellan Midstream Partners LP 210 Sh	FMV	4,345	17,359
Magellan Midstream Partners LP 34 Sh	FMV	776	2,810
Magellan Midstream Partners LP 32 Sh	FMV	733	2,645
OCH-Ziff Capital Management 210 Sh	FMV	2,867	2,453
OCH-Ziff Capital Management 115 Sh	FMV	1,567	1,343
Plains All American LP 250 Sh	FMV	5,968	12,830
Sunoco Logistics LP 390 Sh	FMV	0	0
Targa Resources Partners 180 Sh	FMV	4,348	7,661
Tesoro Logistics LP 80 Sh	FMV	4,321	4,708
Williams Partners LP 170 Sh	FMV	6,139	7,608
Williams Partners LP 20 Sh	FMV	608	895

TY 2014 Other Expenses Schedule

Name: The Margaret Dunn Smith Family Foundation Inc

EIN: 20-2642871

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Fees	7,717	7,717		0
Investment Fees	4,544	4,544		0

TY 2014 Taxes Schedule**Name:** The Margaret Dunn Smith Family Foundation Inc**EIN:** 20-2642871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes	27	27		0
Excise Taxes	504	504		0