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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>ELLEN &amp; RONALD BLOCK FAMILY FOUNDATION, INC.</b>		A Employer identification number <b>20-2067790</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>2 NORTH BREAKERS ROW</b>	Room/suite <b>S24</b>	B Telephone number <b>561 659-2470</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PALM BEACH, FL 33480</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 6,901,394.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	1,243,092.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	146,596.	146,596.		Statement 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	<2,582.>			
b	Gross sales price for all assets on line 6a	1,975,406.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	<509.>	<509.>		Statement 2
12	Total. Add lines 1 through 11	1,386,597.	146,087.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees Stmt 3	15,000.	11,250.		3,750.
c	Other professional fees Stmt 4	77,574.	34,774.		42,800.
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	14,394.	0.		14,394.
22	Printing and publications				
23	Other expenses Stmt 5	3,602.	3,541.		61.
24	Total operating and administrative expenses. Add lines 13 through 23	110,570.	49,565.		61,005.
25	Contributions, gifts, grants paid	688,474.			688,474.
26	Total expenses and disbursements. Add lines 24 and 25	799,044.	49,565.		749,479.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	587,553.			
b	Net investment income (if negative, enter -0-)		96,522.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	60,600.			
	2 Savings and temporary cash investments	282,620.	368,265.	368,265.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock Stmt 7	4,063,530.	4,732,568.	6,526,675.	
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment: basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ Statement 8)		8,800.	6,454.	6,454.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,415,550.	5,107,287.	6,901,394.	
17 Accounts payable and accrued expenses					
18 Grants payable					
Liabilities	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.			
29 Retained earnings, accumulated income, endowment, or other funds	4,415,550.	5,107,287.			
30 Total net assets or fund balances	4,415,550.	5,107,287.			
31 Total liabilities and net assets/fund balances	4,415,550.	5,107,287.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,415,550.
2 Enter amount from Part I, line 27a	2	587,553.
3 Other increases not included in line 2 (itemize) ▶ See Statement 6	3	106,530.
4 Add lines 1, 2, and 3	4	5,109,633.
5 Decreases not included in line 2 (itemize) ▶ Prior Year Federal Tax	5	2,346.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,107,287.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> See Attached Statements			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 1,975,406.		1,977,988.	<2,582.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			<2,582.>

  

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	<2,582.>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	806,394.	5,959,460.	.135313
2012	653,699.	4,117,911.	.158745
2011	184,672.	4,729,233.	.039049
2010	309,351.	4,305,156.	.071856
2009	262,473.	1,720,442.	.152561

  

<b>2</b> Total of line 1, column (d)	2	.557524
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.111505
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	6,279,797.
<b>5</b> Multiply line 4 by line 3	5	700,229.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	965.
<b>7</b> Add lines 5 and 6	7	701,194.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	749,479.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	965.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	965.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	965.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	6,454.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,454.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,489.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax	11	0.	
			Refunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>FL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation <u>See Statement 9</u>		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	X	
14	The books are in care of ▶ <u>ELLEN BLOCK</u> Telephone no. ▶ <u>561 659-2470</u> Located at ▶ <u>2 NORTH BREAKERS ROW SUITE S24, PALM BEACH, IL</u> ZIP+4 ▶ <u>33480</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <u>1c</u>		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?N/A  
▶ ☐

5b

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 <span style="float: right;">0.</span>	

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	5,979,535.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	395,893.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	6,375,428.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	6,375,428.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	95,631.
<b>5</b>	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	6,279,797.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	313,990.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	313,990.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	965.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	965.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	313,025.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	313,025.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	313,025.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	749,479.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	749,479.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	965.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	748,514.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.**

Form 990-PF (2014)

20-2067790

Page 9

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				313,025.
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009	141,429.			
<b>b</b> From 2010	95,013.			
<b>c</b> From 2011				
<b>d</b> From 2012	465,259.			
<b>e</b> From 2013	513,113.			
<b>f</b> Total of lines 3a through e	1,214,814.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ► \$	749,479.			
<b>a</b> Applied to 2013, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount				313,025.
<b>e</b> Remaining amount distributed out of corpus	436,454.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	1,651,268.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	141,429.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	1,509,839.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010	95,013.			
<b>b</b> Excess from 2011				
<b>c</b> Excess from 2012	465,259.			
<b>d</b> Excess from 2013	513,113.			
<b>e</b> Excess from 2014	436,454.			

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ELLEN BLOCK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.**

Form 990-PF (2014)

**20-2067790** Page 11

**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
American Friends of the Israel Museum 500 Fifth Avenue, Suite 2540 New York, NY 10110	N/A	Public Charity	Unrestricted	5,000.
Art Institute 111 South Michigan Chicago, IL 60603	N/A	Public Charity	Unrestricted	5,000.
Brandeis University 415 South Street Waltham, MA 02453	N/A	Public Charity	Heller School Fellowship	25,000.
College Bound Opportunities 2033 N. Milwaukee Ave Riverwoods, IL 60015	N/A	Public Charity	Unrestricted	250.
Chicago Hearing Society 2001 North Clybourn Ave Chicago, IL 60614	N/A	Public Charity	Unrestricted	500.
<b>Total</b>	<b>See continuation sheet(s)</b>			<b>688,474.</b>
<b>b Approved for future payment</b>				
None				
<b>Total</b>				<b>0.</b>

## Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

423821  
11-24-14



**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.

Employer identification number

20-2067790

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization <b>ELLEN &amp; RONALD BLOCK FAMILY FOUNDATION, INC.</b>	Employer identification number <b>20-2067790</b>
---	---

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>ELLEN H. BLOCK</u> <u>2 NORTH BREAKERS ROW</u> <u>PALM BEACH, FL 33480</u>	\$ <u>1,243,092.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization

ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.

Employer identification number

20-2067790

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>11,610 Shares of PH Glatfelter Co</u>	\$ <u>284,445.</u>	<u>12/17/14</u>
<u>1</u>	<u>10,940 Shares of Core-Mark Holding Co</u>	\$ <u>675,381.</u>	<u>12/17/14</u>
<u>1</u>	<u>1,500 Shares of Gilead Sciences Inc</u>	\$ <u>142,890.</u>	<u>12/22/14</u>
<u>1</u>	<u>400 Shares of Biogen Inc</u>	\$ <u>140,376.</u>	<u>12/22/14</u>
		\$	
		\$	

Name of organization

**ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.**

Employer identification number

**20-2067790**

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Brookfield Residential P tys		P	10/17/14	11/11/14
b CST Brands Inc		P	05/19/14	11/11/14
c Core Mark Hlds		P	Various	06/04/14
d Dominion Diamond Corp		P	05/14/13	04/09/14
e Gatx Corp		P	10/15/13	06/04/14
f Horsehead Hldg		P	Various	06/19/14
g Istar Financial Inc		P	02/21/14	10/01/14
h Kennedy Wilson Hodgs		P	Various	06/04/14
i Omega Protein Corp		P	Various	06/19/14
j Parker Drilling CO		P	Various	10/20/14
k Travel Centers Amer		P	Various	06/05/14
l Tropicana Entertainment Inc		P	05/30/14	08/11/14
m Visteon Corp		P	10/22/13	08/11/14
n Allied World Assurance		P	06/06/13	07/30/14
o Allegheny Technologies		P	06/06/13	07/30/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,752.		6,193.	1,559.
b 13,117.		10,275.	2,842.
c 37,091.		29,410.	7,681.
d 56,585.		65,461.	<8,876.>
e 33,613.		25,227.	8,386.
f 35,788.		24,252.	11,536.
g 52,962.		62,665.	<9,703.>
h 20,713.		15,402.	5,311.
i 8,379.		6,571.	1,808.
j 38,184.		53,676.	<15,492.>
k 23,997.		26,970.	<2,973.>
l 4,289.		4,215.	74.
m 19,380.		15,642.	3,738.
n 13,136.		10,780.	2,356.
o 9,177.		6,186.	2,991.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,559.
b			2,842.
c			7,681.
d			<8,876.>
e			8,386.
f			11,536.
g			<9,703.>
h			5,311.
i			1,808.
j			<15,492.>
k			<2,973.>
l			74.
m			3,738.
n			2,356.
o			2,991.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BB&T Corp	P	09/07/12	01/14/14
b	Capital One Financial	P	Various	05/07/14
c	Carrizo Oil & Gas	P	07/22/13	11/03/14
d	Core Mark Hlds	P	10/22/13	11/11/14
e	Forestar Group	P	Various	12/04/14
f	Glatfelter PH	P	08/02/12	06/19/14
g	Gulfport Energy Corp	P	01/10/13	10/17/14
h	JP Morgan Chase	P	09/07/12	01/15/14
i	Kennedy Wilson Hodgs	P	Various	11/11/14
j	Kimco RtlyCorp	P	09/07/12	01/14/14
k	News Corp New	P	10/16/13	10/17/14
l	PS Business Pks Inc	P	Various	05/07/14
m	Pioneer Nat Res Co	P	02/22/12	11/03/14
n	Public Storage	P	Various	01/15/14
o	Sec Tri 5.625	P	09/12/12	01/14/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 64,976.		76,590.	<11,614.>
b 48,382.		50,017.	<1,635.>
c 25,355.		15,998.	9,357.
d 14,874.		8,729.	6,145.
e 57,266.		85,013.	<27,747.>
f 15,097.		8,777.	6,320.
g 63,095.		50,924.	12,171.
h 86,838.		99,974.	<13,136.>
i 4,191.		2,749.	1,442.
j 41,243.		49,660.	<8,417.>
k 61,759.		70,475.	<8,716.>
l 47,387.		51,588.	<4,201.>
m 44,132.		27,362.	16,770.
n 64,110.		78,042.	<13,932.>
o 42,672.		53,560.	<10,888.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<11,614.>
b			<1,635.>
c			9,357.
d			6,145.
e			<27,747.>
f			6,320.
g			12,171.
h			<13,136.>
i			1,442.
j			<8,417.>
k			<8,716.>
l			<4,201.>
m			16,770.
n			<13,932.>
o			<10,888.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Schnitzer Steel	P	08/07/12	04/09/14
b Schwab Charles Corp New	P	09/10/12	05/06/14
c Sinclair Broadcast	P	06/05/13	10/01/14
d State Str Corp	P	09/06/12	01/16/14
e Susser Hldgs	P	03/25/13	05/19/14
f Tesco Corp	P	05/29/13	10/20/14
g Tribune Pubg Co	P	06/24/13	08/13/14
h Trinity Inds Inc	P	06/19/12	12/01/14
i Vornado Rtly	P	09/06/12	01/16/14
j Wells Fargo & Co	P	09/07/12	01/15/14
k Genesis Land Develop	P	12/27/12	02/06/14
l Genesis Land Develop	P	12/27/12	02/10/14
m Genesis Land Develop	P	12/27/12	02/11/14
n Genesis Land Develop	P	12/27/12	02/21/14
o Genesis Land Develop	P	12/27/12	02/24/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 74,989.		84,505.	<9,516.>
b 75,806.		78,989.	<3,183.>
c 67,801.		63,937.	3,864.
d 63,991.		75,390.	<11,399.>
e 76,368.		48,158.	28,210.
f 25,469.		19,804.	5,665.
g 5,511.		15,533.	<10,022.>
h 121,252.		41,480.	79,772.
i 42,273.		50,040.	<7,767.>
j 84,883.		100,240.	<15,357.>
k 60,220.		61,380.	<1,160.>
l 14,840.		15,106.	<266.>
m 24,091.		24,450.	<359.>
n 25,415.		26,046.	<631.>
o 47,013.		48,360.	<1,347.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<9,516.>
b			<3,183.>
c			3,864.
d			<11,399.>
e			28,210.
f			5,665.
g			<10,022.>
h			79,772.
i			<7,767.>
j			<15,357.>
k			<1,160.>
l			<266.>
m			<359.>
n			<631.>
o			<1,347.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Nextera Energy Cap	P	Various	01/14/14
b	Stanley Black & Decker Inc	P	09/13/12	01/14/14
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42,559.		53,047.	<10,488.>
b 67,385.		79,140.	<11,755.>
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<10,488.>
b			<11,755.>
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<2,582.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.**

**20-2067790**

**Part XV Supplementary Information**

**.3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Community Health Chicago 2611 W. Chicago Ave Chicago, IL 60622	N/A	Public Charity	Unrestricted	250.
DO SOMETHING 19 W. 21st Street, 8th Floor New York, NY 10010	N/A	Public Charity	Prior year return of contribution	<15,000.>
Feeding South Florida 2501 S.W. 32nd Ter Pembroke Park, FL 33023	N/A	Public Charity	Backpack Feeding Program	15,000.
Focused Ultrasound Surgery Foundation 1230 Cedars Court, Suite F Charlottesville, VA 22903	N/A	Public Charity	Pilot Study: Osteoid Osteoma in Children	100,000.
GEMS - Girls Educational and Mentoring Services 201 W. 148th Street New York, NY 10039	N/A	Public Charity	GEMS Milestone Fund	15,000.
Greater Chicago Food Depository 4100 West Ann Lurie Place Chicago, IL 60632	N/A	Public Charity	Unrestricted	250.
JDC - American Jewish Joint Distribution Committee 711 Third Avenue, 10th Floor New York, NY 10017	N/A	Public Charity	Unrestricted	10,000.
Jewish Federation of Cincinnati 8499 Ridge Road Cincinnati, OH 45236	N/A	Public Charity	My Family on Call Program	5,000.
JCC of Manhattan 334 Amsterdam Ave New York, NY 10023	N/A	Public Charity	Support of PJ Library	5,000.
Jewish Federation of Metropolitan Chicago 30 South Wells Street Chicago, IL 60606	N/A	Public Charity	Holocaust Community Services	1,000.
<b>Total from continuation sheets</b>				<b>652,724.</b>

ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.

20-2067790

**Part XV Supplementary Information**

**.3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Jewish Federation of Palm Beach County 4601 Community Drive West Palm Beach, FL 33417	N/A	Public Charity	Unrestricted	22,500.
Jewish United Fund of Metropolitan Chicago 30 South Wells Street Chicago, IL 60606	N/A	Public Charity	Unrestricted	60,000.
Jewish Women's Foundation of Metropolitan Chicago of the JUF 30 South Wells Street Chicago, IL 60606	N/A	Directed Advisor Fund	Ellie Fund - DAF	50,138.
Jewish Women's Foundation of Metropolitan Chicago of the JUF 30 South Wells Street Chicago, IL 60606	N/A	Directed Advisor Fund	Ellie Fund - DAF	52,025.
Making Headway 115 King Street Chappaqua, NY 10514	N/A	Public Charity	Unrestricted	500.
NYU Langone Medical Center - Stephen D. Hassenfeld Center 25 West Fourth Street, 4th Floor New York, NY 10012	N/A	Public Charity	Unrestricted	15,000.
NYU Langone Medical Center -FACES 25 West Fourth Street, 4th Floor New York, NY 10012	N/A	Public Charity	Unrestricted	5,000.
Palm Beach Country Club Foundation 760 N. Ocean Blvd Palm Beach, FL 33480	N/A	Public Charity	Unrestricted	1,000.
NYU Langone Medical Center - Sala Institute of Child and Family 25 West Fourth Street, 4th Floor New York, NY 10012	N/A	Public Charity	Unrestricted	10,000.
NYU Langone Medical Center - Perlmutter Cancer Center 25 West Fourth Street, 4th Floor New York, NY 10012	N/A	Public Charity	Unrestricted	5,000.
<b>Total from continuation sheets</b>				



ELLEN & RONALD BLOCK FAMILY FOUNDATION,  
INC.

20-2067790

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
The University of Chicago - Cancer Risk Clinic 1427 East 60th Street, Ste 120 Chicago, IL 60637	N/A	Public Charity	The Frank Family Breast Center Cancer Risk Clinic	1,000.
God's Love We Deliver 630 Flushing Ave Brooklyn, NY 11206	N/A	Public Charity	Care Team Education and Outreach Pilot Program	15,000.
The University of Chicago 1427 East 60th Street, Ste 120 Chicago, IL 60637	N/A	Public Charity	Forefront Fund	1,000.
The Society of the Four Arts 2 Four Arts Plaza Palm Beach, FL 33480	N/A	Public Charity	Unrestricted	1,500.
The University of Chicago 1427 East 60th Street, Ste 120 Chicago, IL 60637	N/A	Public Charity	Department of Humanities	5,180.
The University of Chicago 1427 East 60th Street, Ste 120 Chicago, IL 60637	N/A	Public Charity	Women's Sexuality and Health Justice Research and Clinical Work	57,284.
The University of Chicago 1427 East 60th Street, Ste 120 Chicago, IL 60637	N/A	Public Charity	Ellen H. Block Professorship for Health Justice Fund	199,097.
Thresholds 4101 North Ravenswood Avenue Chicago, IL 60613	N/A	Public Charity	Unrestricted	2,500.
Town of Palm Beach United Way 44 Coconut Row, M201 Palm Beach, FL 33480	N/A	Public Charity	Unrestricted	5,000.
Toy Industry Foundation 1115 Broadway, Suite 400 New York, NY 10010	N/A	Public Charity	Unrestricted	500.
Total from continuation sheets				

20-2067790

### 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

Form 990-PF		Dividends and Interest from Securities			Statement	1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
PERSHING	146,596.	0.	146,596.	146,596.		
To Part I, line 4	146,596.	0.	146,596.	146,596.		

Form 990-PF		Other Income			Statement	2
Description		(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income		
Sunoco LP		<509.>	<509.>			
Total to Form 990-PF, Part I, line 11		<509.>	<509.>			

Form 990-PF		Accounting Fees			Statement	3
Description		(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Professional Fees		15,000.	11,250.		3,750.	
To Form 990-PF, Pg 1, ln 16b		15,000.	11,250.		3,750.	

Form 990-PF		Other Professional Fees			Statement	4
Description		(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Professional Fees - Pershing		34,774.	34,774.		0.	
Professional Fees - Upshot		42,800.	0.		42,800.	
To Form 990-PF, Pg 1, ln 16c		77,574.	34,774.		42,800.	

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Bank Charges	12.	12.		0.	
Office Supplies and Postage	443.	443.		0.	
Florida State Annual Report	61.	0.		61.	
Foreign Tax	3,086.	3,086.		0.	
To Form 990-PF, Pg 1, ln 23	3,602.	3,541.		61.	

Form 990-PF	Other Increases in Net Assets or Fund Balances			Statement	6
Description	Amount				
Unrealized Gains on Donated Stock	104,738.				
Accrued Interest Adjustment Prior Year	712.				
Nondividend Distributions Per 1099	1,080.				
Total to Form 990-PF, Part III, line 3	106,530.				

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value	Fair Market Value		
100 Shs Bank America Corp 7.25% Non Cum Conv Pfd Ser L	107,503.	116,425.		
1100 Shs Tribune Co	46,600.	65,743.		
315 Shs Navigators Group Inc.	18,775.	23,102.		
635 Shs Carrizo Oil & Gas Inc.	20,318.	26,416.		
12900 Shs Destination XL Group Inc.	66,985.	70,434.		
10815 Shs Core Mark Holdg Co	667,664.	669,773.		
1820 Shs Encore Cap Group	67,240.	80,808.		
1320 Shs GATX Corp	62,829.	75,953.		
1,750 Shs Allegheny Technologies Inc.	47,067.	60,848.		
4,000 Shs Affiliated Managers Group, Inc. SR NT	99,158.	106,920.		
4,000 Shs American Financial Group, Inc Ohio SR NT	93,053.	106,200.		
2,500 shs Kimco Realty Corp Dep Shs	62,977.	64,175.		
2,000 Shs Raymond James Financial Inc SR NT 6.9%	55,260.	54,580.		
2,000 Shs Regency Ctrs Corp Cu Pfd Ser 6%	53,944.	50,620.		
3,000 Shs Vornado Realty Trust Pfd Ser K 5.7%	75,660.	79,350.		
2,220 Shs Alexander & Baldwin, Inc.	71,147.	87,157.		

1,420 Shs Gulfport Energy Corp Com	72,170.	59,271.
125,425 Shs Genesis Land Development Corp.	388,443.	416,964.
4,000 Shs Goldman Sachs Group Inc. 6.50% NTS	95,962.	106,920.
3,500 Shs Public Storage Dep Shs	87,571.	92,295.
3,100 Shs MDC Holdings, Inc.	99,202.	82,057.
8,170 Shs P H Glatfelter	200,165.	208,907.
30,000 Shs Hasbro, Inc.	169,500.	1,649,700.
3,118 Shs Leu	58,853.	69,906.
3,460 Shs Intrepid Potash Inc.	45,359.	48,025.
2,630 Shs Kennedy Wilson Holdings	48,455.	66,539.
4,000 Shs JPMorgan Chase & Co Dep Shs 5.50%	99,080.	101,320.
3,700 Shs Tesco Corp	49,510.	47,434.
390 Shs Pioneer Natural Resources Co.	55,533.	58,052.
3,640 Shs Horsehead Hldg Corp	44,575.	57,621.
4,240 Shs Travel Centers Amer LLC	38,118.	53,509.
710 Shs Visteon Corp	55,529.	75,871.
2,400 Shs Allied World Assurance Company Holdings	71,865.	91,008.
1,270 Shs Sunoco LP	62,731.	63,208.
4,000 Shs Allstate Corp	104,120.	105,320.
2,780 Shs Ally Financial Inc	67,664.	65,664.
1,050 Shs Atlantic Tele-Network	64,469.	70,970.
400 Shs Biogen Idec Inc	140,376.	135,780.
3,140 Brookfield Residential Proper	60,395.	75,548.
3,000 Shs Citigroup Depository	76,290.	78,687.
1,840 Shs CST Brands	57,291.	80,242.
850 Shs Finish Line Inc	22,486.	20,664.
1,500 Shs Gilead Sciences Inc	142,890.	141,390.
9,900 Shs KCG Holdings	106,112.	115,335.
2,640 Shs Leucadia Natl Corp	59,068.	59,189.
1,010 Shs Madison Square Garden	64,961.	76,013.
5,100 Shs Omega Protein Corp	58,500.	53,907.
2,370 Shs Opus Bank	70,842.	67,237.
4,000 Shs Qwest Corp	100,368.	107,680.
1,160 Tech Data	64,050.	73,347.
2,000 Shs Telephone & Data Systems	48,380.	49,740.
3,730 Shs Tropicana Entertainment	65,505.	62,851.
Total to Form 990-PF, Part II, line 10b	4,732,568.	6,526,675.

Form 990-PF	Other Assets		Statement	8
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value	
Federal Tax Deposits	8,800.	6,454.	6,454.	
To Form 990-PF, Part II, line 15	8,800.	6,454.	6,454.	

Form 990-PF	Explanation Concerning Part VII-A, Line 8b	Statement	9
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Explanation

FLORIDA DOES NOT REQUIRE FILING WITH THE ATTORNEY GENERAL

Form 990-PF	Explanation Concerning Part VII-A, Line 12	Statement	10
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Explanation

The Foundation treated all of the contributions of \$102,163 to the Ellie Fund at JWF as a qualifying distribution. All distribtuions from the DAF will be made to recognized charitable organizations in keeping with the requirements of IRC section 170(c)(2)(B)

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement	11
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
ELLEN BLOCK 2 NORTH BREAKERS ROW SUITE S24 PALM BEACH, FL 33480	DIRECTOR 5.00	0.	0. 0.
LAURIE A BLOCK 2 NORTH BREAKERS ROW SUITE S24 PALM BEACH, FL 33480	DIRECTOR 2.00	0.	0. 0.
MICHAEL H BLOCK 2 NORTH BREAKERS ROW SUITE S24 PALM BEACH, FL 33480	DIRECTOR 2.00	0.	0. 0.
SUSAN S BLOCK CASDIN 2 NORTH BREAKERS ROW SUITE S24 PALM BEACH, FL 33480	DIRECTOR 2.00	0.	0. 0.
JANE S. ENGLEBARDT 295 MADISON AVE, 12TH FL NEW YORK, NY 10017	OFFICER 12.00	0.	0. 0.
Totals included on 990-PF, Page 6, Part VIII		0.	0. 0.