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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

MOELLER FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address)

30 THOREAU WAY

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

SUDBURY, MA 01776-1050

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 1,878,984.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

20-1876495

B Telephone number

978-443-4961

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	8,953.	8,953.	8,953.	STATEMENT 1
4	Dividends and interest from securities	30,632.	30,632.	30,632.	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	51,748.			
b	Gross sales price for all assets on line 6a	675,267.			
7	Capital gain net income (from Part IV, line 2)		51,748.		
8	Net short-term capital gain			N/A	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or loss				
11	Other income				
12	Total. Add lines 1 through 11	91,333.	91,333.	39,585.	
13	Compensation of officers, directors, trustees, etc.	0.	0.	0.	0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	979.	979.	0.	0.
c	Other professional fees				
17	Interest				
18	Taxes STMT 4	3,909.	3,909.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	5,734.	5,734.	0.	0.
24	Total operating and administrative expenses. Add lines 13 through 23	10,622.	10,622.	0.	0.
25	Contributions, gifts, grants paid	99,000.			99,000.
26	Total expenses and disbursements. Add lines 24 and 25	109,622.	10,622.	0.	99,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-18,289.			
b	Net investment income (if negative, enter -0-)		80,711.		
c	Adjusted net income (if negative, enter -0-)			39,585.	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	183,596.	183,596.	183,596.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,593,534.	1,575,245.	1,695,388.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,777,130.	1,758,841.	1,878,984.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,777,130.	1,758,841.	
	30 Total net assets or fund balances	1,777,130.	1,758,841.	
31 Total liabilities and net assets/fund balances	1,777,130.	1,758,841.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,777,130.
2 Enter amount from Part I, line 27a	2	-18,289.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,758,841.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,758,841.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENT**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	675,267.	623,519.	51,748.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			51,748.

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

51,748.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter -0- in Part I, line 8

3

9,099.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	93,000.	1,863,909.	.049895
2012	96,000.	1,900,386.	.050516
2011	95,236.	1,832,898.	.051959
2010	89,038.	1,837,210.	.048464
2009	96,160.	1,680,552.	.057219

2 Total of line 1, column (d)

2

.258053

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

.051611

4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5

4

1,907,166.

5 Multiply line 4 by line 3

5

98,431.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

807.

7 Add lines 5 and 6

7

99,238.

8 Enter qualifying distributions from Part XII, line 4

8

99,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,614.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,614.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,614.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,280.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,280.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	666.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax	11	0.	
		666.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of SETH MOELLER Located at 38 ROBBINS ROAD, LEXINGTON, MA	Telephone no. 781-274-9366 ZIP+4 02421		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,908,277.
b	Average of monthly cash balances	1b	27,932.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,936,209.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,936,209.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	29,043.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,907,166.
6	Minimum investment return. Enter 5% of line 5	6	95,358.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	95,358.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,614.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,614.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	93,744.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	93,744.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	93,744.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	99,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	99,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				93,744.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			66,321.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 99,000.				
a Applied to 2013, but not more than line 2a			66,321.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				32,679.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				61,065.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year 10,000 DEGREES 781 LINCOLN AVE, SUITE 140 SAN RAFAEL, CA 94901		PC	GENERAL FUND	18,000.
AMERICANS UNITED FOR SEPARATION OF CHURCH AND STATE 1301 K STREET NW, SUITE 850, EAST TOWER WASHINGTON, DC 20005		PC	GENERAL FUND	11,000.
AMNESTY INTERNATIONAL USA 5 PENN PLAZA NEW YORK, NY 10001-1810		PC	GENERAL FUND	11,000.
HORIZONS FOR HOMELESS CHILDREN 1705 COLUMBUS AVE ROXBURY, MA 02119		PC	GENERAL FUND	8,000.
PLANNED PARENTHOOD FEDERATION OF AMERICA 434 WEST 33RD STREET NEW YORK, NY 10001		PC	GENERAL FUND	11,000.
Total	SEE CONTINUATION SHEET(S)			99,000.
b Approved for future payment NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|--|-------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> |
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	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]


- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

and belief, it is true, correct, and complete Declaration of



Signature of officer or trustee

Date 15/11/15

on of which preparer has any knowledge

▶ Treasurer

Title

May the IRS discuss this return with the preparer shown below (see instructions)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

J GREGORY HAMM

Preparer's signature

A Green Man

Date

5/6/15

Check ☐ if
self-employed

PTIN

P00917954

Firm's name ► **BOYER & RITTER**

Firm's EIN ► 23-1311005

Firm's address ► 211 HOUSE AVENUE
CAMP HILL, PA 17011

Phone no. **717-761-7210**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS 21214 SHORT TERM	P	01/01/14	06/30/14
b	UBS 21214 LONG TERM	P	01/01/13	06/30/14
c	UBS 23221 SHORT TERM	P	01/01/14	06/30/14
d	UBS 23221 LONG TERM	P	01/01/13	06/30/14
e	UBS 23221	P	01/01/13	06/30/14
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 154,903.		144,820.	10,083.
b 209,081.		165,575.	43,506.
c 72,351.		73,335.	-984.
d 211,947.		222,351.	-10,404.
e 17,438.		17,438.	0.
f 9,547.			9,547.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 10,083.
b			43,506.
c			** -984.
d			-10,404.
e			0.
f			9,547.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	51,748.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	9,099.

Part XV

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS 23221 11	4,117.	4,117.	4,117.
UBS 23221 11	5,770.	5,770.	5,770.
UBS 23221 11 ACCRUED INTEREST	-871.	-871.	-871.
UBS 23221 11 ACCRUED INTEREST	-1,467.	-1,467.	-1,467.
UBS 23221 11 OID	1,567.	1,567.	1,567.
UBS 23221 BOND PREMIUM	-170.	-170.	-170.
UBS 39563 11	7.	7.	7.
TOTAL TO PART I, LINE 3	8,953.	8,953.	8,953.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS 21214 11	6,217.	0.	6,217.	6,217.	6,217.
UBS 23221 11	33,962.	9,547.	24,415.	24,415.	24,415.
TO PART I, LINE 4	40,179.	9,547.	30,632.	30,632.	30,632.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	979.	979.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	979.	979.	0.	0.

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	3,216.	3,216.	0.	0.
FOREIGN TAXES	693.	693.	0.	0.
TO FORM 990-PF, PG 1, LN 18	3,909.	3,909.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	5,709.	5,709.	0.	0.
STATE REGISTRATION	25.	25.	0.	0.
TO FORM 990-PF, PG 1, LN 23	5,734.	5,734.	0.	0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS - SECURITIES	1,575,245.	1,695,388.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,575,245.	1,695,388.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SETH MOELLER 38 ROBBINS ROAD LEXINGTON, MA 02421	TREASURER 0.00	0.	0.	0.
ETHAN MOELLER 60 NELSON AVENUE MILL VALLEY, CA 94941	PRESIDENT 0.00	0.	0.	0.
JANE MOELLER 30 THOREAU WAY SUDBURY, MA 01776	DIRECTOR 0.00	0.	0.	0.
CLARK MOELLER 30 THOREAU WAY SUDBURY, MA 01776	DIRECTOR 0.00	0.	0.	0.
ELISABETH LUDLAGE MOELLER 38 ROBBINS ROAD LEXINGTON, MA 02421	VICE-PRESIDENT 0.00	0.	0.	0.
CLAUDIA GIORDANO MOELLER 60 NELSON AVENUE MILL VALLEY, CA 94941	SECRETARY 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMC ENTERTAINMENT HLDS CL A / CUSIP 00165C104 / Symbol AMC								
11/21/14	9 000	227 45		08/12/14	209 47	0 00	17 98	Sale Note 26
AEROHIVE NETWORKS INC / CUSIP 007786106 / Symbol HIVE								
11/06/14	62.000	273 40		09/16/14	538 42	0 00	-265 02	Sale
AEGON NV ADR N Y SHS NETHERLANDS ADR / CUSIP 007924103 / Symbol AEG								
01/17/14	360 000	3,317 59		11/14/13	3,055 43	0 00	262 16	Sale
AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP 009158106 / Symbol APD								
06/20/14	5 000	651 92		01/06/14	557 10	0 00	94 82	Sale Note 26
08/13/14	19 000	2,516 42		01/06/14	2,116 99	0 00	399 43	Sale
Security total		3,168 34			2,674 09	0 00	494.25	
ALEXION PHARMACEUTICALS INC / CUSIP 015351109 / Symbol ALXN								
02/04/14	2 000	313 87		08/02/13	233 75	0 00	80 12	Sale Note 26
06/12/14	3 000	498 29		08/02/13	350 62	0 00	147 67	Sale Note 26
Security total		812 16			584 37	0 00	227 79	
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP 01609W102 / Symbol BABA								
11/11/14	3 000	349 41		10/07/14	266 41	0 00	83 00	Sale Note 26
ALLERGAN INC COMMON STOCK / CUSIP 018490102 / Symbol AGN								
11/25/14	6 000	1,275 60		08/12/14	918 32	0 00	357 28	Sale
AMAZON COM INC / CUSIP 023135106 / Symbol AMZN								
2 tax lots for 09/11/14 Total proceeds (and cost when required) reported to the IRS								
1 000		328 86		12/04/13	386 80	0 00	-57 94	Sale Note 26
1 000		328 86		12/05/13	382 74	0 00	-53 88	Sale Note 26
09/11/14	2 000	657 72		VARIOUS	769 54	0 00	-111 82	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
APPLE INC / CUSIP 037833100 / Symbol AAPL 10/17/14	2 000	195.93	09/11/14	199.84	0.00	-3.91	Sale Note 26
ASAHI GLASS ADR *FOREIGN SECURITY JAPAN ADR / CUSIP 043393206 / Symbol ASGLY 04/16/14	41 000	232.01	07/09/13	268.14	0.00	-36.13	Sale
ASTELLAS PHARMA INC ADR / CUSIP 04623U102 / Symbol ALPMY 04/02/14	0 750	8.75	10/22/13	7.95	0.00	0.80	Sale
ASTRAZENECA PLC SPON ADR / CUSIP 046353108 / Symbol AZN 04/28/14	7 000	551.70	01/21/14	455.75	0.00	95.95	Sale Note 26
12/18/14	3 000	216.29	01/21/14	195.33	0.00	20.96	Sale Note 26
Security total		767.99		651.08	0.00	116.91	
ATRICURE INC / CUSIP 04963C209 / Symbol ATRC 03/25/14	12 000	235.53	05/13/13	113.06	0.00	122.47	Sale
AVIVA PLC SPON ADR / CUSIP 05382A104 / Symbol AV 12/18/14	20 000	301.60	12/17/14	295.13	0.00	6.47	Sale Note 26
BANCO SANTANDER S A SPON ADR / CUSIP 05964H105 / Symbol SAN							
3 tax lots for 10/01/14 Total proceeds (and cost when required) reported to the IRS							
74 000		695.86	10/16/13	670.69	0.00	25.17	Sale Note 26
12 000		112.84	05/12/14	116.16	0.00	-3.32	Sale Note 26
12 000		112.84	08/11/14	119.16	0.00	-6.32	Sale Note 26
10/01/14	98 000	921.54	VARIOUS	906.01	0.00	15.53	Total of 3 lots
2 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
37 000		312.83	02/03/14	312.52	0.00	0.31	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANCO SANTANDER S.A SPON ADR / CUSIP 05964H105 / Symbol SAN (cont'd)							
	11 000	93 00	11/13/14	95 48	0 00	-2.48	Sale
12/17/14	48 000	405 83	VARIOUS	408 00	0 00	-2 17	Note 26
	Security total	1,327 37		1,314 01	0 00	13 38	Total of 2 lots
BAXTER INTL INC / CUSIP 071813109 / Symbol. BAX							
03/27/14	6 000	440 84	07/26/13	438 12	0 00	2 72	Sale
							Note 26
BEST BUY CO INC / CUSIP 086518101 / Symbol BBY							
10/27/14	8 000	263 99	04/17/14	196 15	0 00	67 84	Sale
12/17/14	19 000	699 73	04/17/14	465 84	0 00	233 89	Note 26
	Security total	963 72		661 99	0 00	301 73	Sale
BIG LOTS INC / CUSIP 089302103 / Symbol BIG							
2 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS							
	7 000	246 58	05/03/13	255 32	0 00	-8 74	Sale
	3 000	105 68	05/10/13	113 14	0 00	-7 46	Sale
03/07/14	10 000	352.26	VARIOUS	368 46	0 00	-16 20	Total of 2 lots
BIO RAD LABORATORIES INC CL A / CUSIP 090572207 / Symbol BIO							
12/11/14	4 000	476 79	04/28/14	487 28	0 00	-10 49	Sale
							Note 26
BIOGEN IDEC INC / CUSIP 09082X103 / Symbol BIIB							
11/20/14	1 000	300 41	10/17/14	306 71	0 00	-6 30	Sale
							Note 26
2 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	330 90	04/03/14	302 28	0 00	28 62	Sale
	1 000	330 90	04/24/14	294 29	0 00	36 61	Sale
12/16/14	2 000	661 80	VARIOUS	596.57	0 00	65 23	Total of 2 lots
	Security total	962 21		903 28	0 00	58 93	

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.		Account PW.21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BRIDGESTONE CORP LTD ADR JAPAN ADR / CUSIP 108441205 / Symbol BRDCY								
03/07/14	28 000	515.25		07/09/13	514.50	0.00	0.75	Sale
12/18/14	11 000	196.80		11/05/14	184.38	0.00	12.42	Sale
								Note 26
Security total		712.05			698.88	0.00	13.17	
BROADCOM CORP CL A / CUSIP 111320107 / Symbol BRCM								
04/21/14	47 000	1,413.87		08/01/13	1,281.57	0.00	132.30	Sale
								Note 26
BURBERRY GROUP PLC SPON ADR / CUSIP 12082W204 / Symbol BURBY								
03/24/14	13 000	589.45		08/06/13	624.00	0.00	-34.55	Sale
								Note 26
12/18/14	4 000	205.10		11/25/14	202.86	0.00	2.24	Sale
								Note 26
Security total		794.55			826.86	0.00	-32.31	
CANADIAN PACIFIC RAILWAY LTD FOREIGN STOCK CAD / CUSIP 13645T100 / Symbol CP								
05/22/14	1 000	164.88		01/10/14	152.74	0.00	12.14	Sale
								Note 26
06/04/14	1 000	173.53		02/10/14	151.73	0.00	21.80	Sale
								Note 26
06/19/14	2 000	365.24		02/10/14	303.47	0.00	61.77	Sale
								Note 26
Security total		703.65			607.94	0.00	95.71	
CARDINAL HEALTH INC COMMON STOCK / CUSIP 14149Y108 / Symbol CAH								
02/05/14	4 000	261.68		09/12/13	211.24	0.00	50.44	Sale
CENTRAL GARDEN & PET CO NON VTG CL A / CUSIP 153527205 / Symbol CENTA								
07/30/14	71 000	663.13		03/25/14	558.18	0.00	104.95	Sale
CHANNELADVISOR CORP / CUSIP 159179100 / Symbol ECOM								
2 tax lots for 04/28/14 Total proceeds (and cost when required) reported to the IRS								
	21 000	480.25		08/08/13	482.37	0.00	-2.12	Sale
	5 000	114.35		10/31/13	173.19	0.00	-58.84	Sale
04/28/14	26 000	594.60		VARIOUS	655.56	0.00	-60.96	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHIMERIX INC / CUSIP 16934W106 / Symbol CMRX 12/17/14	15 000	546 08	10/23/14	472 05	0 00	74 03	Sale Note 26
CIRCOR INTL INC / CUSIP 17273K109 / Symbol CIR							
4 tax lots for 12/11/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	295 85	05/02/14	397 41	0 00	-101 56	Sale Note 26
	4 000	236 68	07/23/14	307 70	0 00	-71 02	Sale Note 26
	1 000	57 95	10/17/14	64 03	0 00	-6 08	Sale Note 26
	4 000	236 68	10/17/14	256 10	0 00	-19 42	Sale Note 26
12/11/14	14 000	827 16	VARIOUS	1,025 24	0 00	-198 08	Total of 4 lots
CIVEO CORP COM / CUSIP 178787107 / Symbol CVEO							
12/01/14	45 000	396 85	06/24/14	1,138 56	0 00	-741 71	Sale
COMCAST CORP NEW CL A / CUSIP 20030N101 / Symbol CMCSA							
11/10/14	6 000	316 98	04/28/14	305 95	0 00	11 03	Sale
COMPASS MINERALS INTL INC / CUSIP 20451N101 / Symbol CMP							
12/19/14	4 000	346 67	07/30/14	349 04	0 00	-2 37	Sale Note 26
CONCHO RESOURCES INC / CUSIP 20605P101 / Symbol CXO							
04/30/14	1 000	129 58	04/24/14	133 46	0 00	-3 88	Sale Note 26
CONVERSANT INC **MERGER EFF 12/2014** / CUSIP 21249J105 / Symbol							
04/28/14	21 000	513 27	10/09/13	409 70	0 00	103 57	Sale
COOPER COMPANIES INC NEW / CUSIP 216648402 / Symbol COO							
11/10/14	2 000	322 36	10/17/14	299 18	0 00	23 18	Sale Note 26
11/21/14	1 000	166 96	10/17/14	149 59	0 00	17 37	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COOPER COMPANIES INC NEW / CUSIP 216648402 / Symbol COO (cont'd)							
4 tax lots for 11/28/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	169 54	05/05/14	133 41	0 00	36 13	Sale
							Note 26
	1 000	169 54	05/06/14	133 61	0 00	35 93	Sale
							Note 26
	2 000	339 10	10/17/14	299 18	0 00	39 92	Sale
							Note 26
	1 000	169 54	10/20/14	149 49	0 00	20 05	Sale
							Note 26
11/28/14	5 000	847 72	VARIOUS	715 69	0 00	132 03	Total of 4 lots
Security total		1,337 04		1,164 46	0 00	172 58	
CRAFT BREW ALLIANCE INC / CUSIP 224122101 / Symbol BREW							
09/30/14	9 000	130 94	09/17/14	119 08	0 00	11 86	Sale
							Note 26
10/07/14	20 000	295 50	09/17/14	264 63	0 00	30 87	Sale
							Note 26
2 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS							
	7 000	116 55	06/24/14	76 05	0 00	40 50	Sale
							Note 26
	16 000	266 41	09/17/14	211 70	0 00	54 71	Sale
							Note 26
10/17/14	23 000	382 96	VARIOUS	287 75	0 00	95 21	Total of 2 lots
Security total		809 40		671 46	0 00	137 94	
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP 228227104 / Symbol							
10/09/14	3 000	241 70	04/24/14	221 28	0 00	20 42	Sale
DAIMLER AG SPON ADR / CUSIP 233825108 / Symbol DDAIY							
12/18/14	4 000	339 37	07/08/14	377 27	0 00	-37 90	Sale
							Note 26
DANAHER CORP COMMON STOCK / CUSIP 235851102 / Symbol DHR							
04/15/14	6 000	433 91	01/21/14	468 70	0 00	-34 79	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DEL FRISCOS RESTAURANT GROUP INC / CUSIP 245077102 / Symbol DFRG								
09/15/14	15 000	335 51		07/30/14	328 42	0 00	7 09	Sale Note 26
2 tax lots for 11/06/14 Total proceeds (and cost when required) reported to the IRS								
	4 000	95 16		12/04/13	78 70	0 00	16 46	Sale Note 26
	2 000	47 58		07/30/14	43 79	0 00	3 79	Sale Note 26
11/06/14	6 000	142 74		VARIOUS	122 49	0 00	20 25	Total of 2 lots
Security total		478 25			450 91	0 00	27 34	
DERMA SCIENCES INC COM / CUSIP 249827502 / Symbol DSCI								
10/13/14	19 000	161 48		03/24/14	240 84	0 00	-79 36	Sale Note 26
2 tax lots for 10/22/14 Total proceeds (and cost when required) reported to the IRS								
	14 000	122 89		03/24/14	177 46	0 00	-54 57	Sale Note 26
	13 000	114 11		03/26/14	162 05	0 00	-47 94	Sale Note 26
10/22/14	27 000	237 00		VARIOUS	339 51	0 00	-102 51	Total of 2 lots
12/15/14	28 000	236 55		03/26/14	349 02	0 00	-112 47	Sale Note 26
Security total		635 03			929 37	0 00	-294 34	
DOLLAR GEN CORP NEW / CUSIP 256677105 / Symbol DG								
02/06/14	5 000	285 83		02/21/13	226 52	0 00	59 31	Sale Note 26
02/11/14	4 000	227 57		02/21/13	181 22	0 00	46 35	Sale
Security total		513 40			407 74	0 00	105 66	
DOW CHEMICAL COMMON STOCK / CUSIP 260543103 / Symbol DOW								
4 tax lots for 01/06/14 Total proceeds (and cost when required) reported to the IRS								
	9 000	391 50		03/04/13	285 17	0 00	106 33	Sale
	15 000	652 50		04/23/13	472 16	0 00	180 34	Sale
	26 000	1,131 00		07/26/13	902 20	0 00	228 80	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DOW CHEMICAL COMMON STOCK / CUSIP 260543103 / Symbol DOW (cont'd)							
	6 000	261 00	09/04/13	226 73	0 00	34 27	Sale
01/06/14	56 000	2,436 00	VARIOUS	1,886 26	0 00	549 74	Total of 4 lots
EDISON INTL / CUSIP 281020107 / Symbol EIX							
01/24/14	7 000	332 65	07/26/13	343 63	0 00	-10 98	Sale
EINSTEIN NOAH RESTAURANT GROUP INC **MERGER EFF 11/14** / CUSIP 28257U104 / Symbol							
10/17/14	11 000	222 00	03/07/14	184 00	0 00	38 00	Sale Note 26
3 tax lots for 11/05/14 Total proceeds (and cost when required) reported to the IRS							
	34 000	688 50	12/18/13	517 03	0 00	171 47	Merger
	8 000	162 00	03/05/14	125 81	0 00	36 19	Merger
	26 000	526 50	03/07/14	434 92	0 00	91 58	Merger
11/05/14	68 000	1,377 00	VARIOUS	1,077 76	0 00	299 24	Total of 3 lots
Security total		1,599 00		1,261 76	0 00	337 24	
EMERGENT BIOSOLUTIONS INC / CUSIP 29089Q105 / Symbol EBS							
09/15/14	15 000	325 80	07/23/14	349 74	0 00	-23 94	Sale Note 26
ENVISION HEALTHCARE HLDGS INC / CUSIP 29413U103 / Symbol EVHC							
04/09/14	8 000	265 78	02/05/14	248 50	0 00	17 28	Sale Note 26
EVERCORE PARTNERS INC CL A / CUSIP 29977A105 / Symbol EVR							
10/16/14	8 000	364 28	04/28/14	418 65	0 00	-54 37	Sale Note 26
FMC TECHNOLOGIES INC / CUSIP 30249U101 / Symbol FTI							
03/12/14	4 000	205 36	12/17/13	212 39	0 00	-7 03	Sale
FACEBOOK INC CL A / CUSIP 30303M102 / Symbol FB							
04/15/14	2 000	115 86	04/01/14	124 32	0 00	-8 46	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FORD MOTOR CO COM NEW / CUSIP 345370860 / Symbol F							
2 tax lots for 08/13/14 Total proceeds (and cost when required) reported to the IRS							
	19 000	329 41	02/03/14	275 56	0 00	53 85	Sale
							Note 26
	19 000	329 41	06/17/14	318 61	0 00	10 80	Sale
							Note 26
08/13/14	38.000	658 82	VARIOUS	594 17	0 00	64 65	Total of 2 lots
11/14/14	146 000	2,215 25	02/03/14	2,117 50	0 00	97 75	Sale
	Security total	2,874 07		2,711 67	0 00	162.40	
FUJII HEAVY INDS LTD ADR / CUSIP 359556206 / Symbol FUJHY							
12/18/14	6 000	434 12	09/18/14	359 73	0 00	74 39	Sale
							Note 26
GNC HOLDINGS INC / CUSIP 36191G107 / Symbol GNC							
11/21/14	3 000	134 61	11/07/14	129 79	0 00	4 82	Sale
							Note 26
GENL DYNAMICS CORP COMMON STOCK / CUSIP 369550108 / Symbol GD							
07/23/14	9 000	1,082 02	07/26/13	772 74	0 00	309 28	Sale
GENERAL MOTORS CO / CUSIP 37045V100 / Symbol GM							
2 tax lots for 02/03/14 Total proceeds (and cost when required) reported to the IRS							
	23 000	808 93	10/04/13	818 92	0 00	-9 99	Sale
							Note 26
	19 000	668 24	11/13/13	723 12	0 00	-54 88	Sale
							Note 26
02/03/14	42.000	1,477 17	VARIOUS	1,542 04	0 00	-64 87	Total of 2 lots
GILEAD SCIENCES INC COMMON STOCK / CUSIP 375558103 / Symbol GILD							
12/17/14	6 000	609 87	12/09/14	635 09	0 00	-25 22	Sale
							Note 26
GOLUB CAPITAL BDC INC / CUSIP 38173M102 / Symbol GBDC							
10/17/14	13 000	215 60	07/22/14	224 76	0 00	-9 16	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions (continued)	Account PW 21214
2014 1099-B*		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GREATBATCH INC / CUSIP 39153L106 / Symbol GB							
12/11/14	4 000	195 09	11/21/14	197 14	0 00	-2 05	Sale Note 26
GUIDEWIRE SOFTWARE INC / CUSIP 40171V100 / Symbol GWRE							
11/06/14	8 000	404 94	10/17/14	386 45	0 00	18 49	Sale
HALLIBURTON CO (HOLDING COMPANY) / CUSIP 406216101 / Symbol HAL							
6 tax lots for 12/10/14 Total proceeds (and cost when required) reported to the IRS							
	13 000	496 68	05/08/14	836 11	0 00	-339 43	Sale Note 26
	4 000	152.82	07/16/14	282 28	0 00	-129 46	Sale Note 26
	3 000	114 61	07/30/14	213 00	0 00	-98 39	Sale Note 26
	3 000	114 61	07/31/14	207 61	0 00	-93 00	Sale Note 26
	3 000	114 61	09/18/14	199 07	0 00	-84 46	Sale Note 26
	6 000	229 23	10/17/14	317 78	0 00	-88 55	Sale Note 26
12/10/14	32 000	1,222 56	VARIOUS	2,055 85	0 00	-833 29	Total of 6 lots
HEINEKEN NV SPON ADR / CUSIP 423012301 / Symbol HEINY							
01/21/14	11 000	356 66	07/09/13	364 54	0 00	-7 88	Sale
12/18/14	7 000	253 39	10/02/14	258 48	0 00	-5 09	Sale Note 26
Security total		610 05		623 02	0 00	-12 97	
HERTZ GLOBAL HOLDINGS INC / CUSIP 42805T105 / Symbol HTZ							
03/12/14	30 000	782 81	07/26/13	806 70	0 00	-23 89	Sale Note 26
HESS CORP / CUSIP 42809H107 / Symbol HES							
04/16/14	15 000	1,295 28	07/26/13	1,098 00	0 00	197 28	Sale

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
Proceeds from Broker and Barter Exchange Transactions			
2014 1099-B*		OMB No. 1545-0715	
		(continued)	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HINO MOTORS LTD ADR ADR / CUSIP 433406204 / Symbol HINOY							
12/18/14	2 000	256 91	07/03/14	291 25	0 00	-34 34	Sale Note 26
HITACHI LTD ADR NEW JAPAN ADR / CUSIP 433578507 / Symbol HTHIY							
12/18/14	4 000	298.21	07/07/14	303 64	0 00	-5 43	Sale Note 26
HOME DEPOT INC / CUSIP 437076102 / Symbol HD							
2 tax lots for 10/03/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	185 88	06/04/14	161 51	0 00	24 37	Sale Note 26
	4 000	371 76	07/31/14	324 53	0 00	47 23	Sale Note 26
10/03/14	6 000	557 64	VARIOUS	486 04	0 00	71 60	Total of 2 lots
11/11/14	6 000	589 95	10/17/14	541 83	0 00	48 12	Sale Note 26
Security total		1,147.59		1,027 87	0 00	119 72	
ILLINOIS TOOL WORKS INC COMMON STOCK / CUSIP 452308109 / Symbol ITW							
12/17/14	5 000	463 27	04/24/14	427 88	0 00	35.39	Sale
INFINEON TECHNOLOGIES ADR / CUSIP 45662N103 / Symbol IFNNY							
01/24/14	38 000	380 46	07/09/13	319 96	0 00	60 50	Sale
INTER PARFUMS INC / CUSIP 458334109 / Symbol IPAR							
12/12/14	10 000	261 62	08/20/14	287 29	0 00	-25 67	Sale Note 26
INTL PAPER CO COMMON STOCK / CUSIP 460146103 / Symbol IP							
07/24/14	6 000	301 90	07/26/13	290 49	0 00	11 41	Sale Note 26
11/03/14	15 000	760 19	02/04/14	675 99	0 00	84 20	Sale
Security total		1,062 09		966 48	0 00	95 61	

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UBS FINANCIAL SERVICES INC.

Account PW21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INTESA SANPAOLO SPON ADR / CUSIP 46115H107 / Symbol ISNPY							
2 tax lots for 04/25/14 Total proceeds (and cost when required) reported to the IRS							
	50 000	1,001 87	10/16/13	745 59	0 00	256 28	Sale
	18 000	360 67	02/03/14	286 66	0 00	74 01	Note 26 Sale
04/25/14	68 000	1,362 54	VARIOUS	1,032.25	0 00	330 29	Note 26 Total of 2 lots
3 tax lots for 05/27/14 Total proceeds (and cost when required) reported to the IRS							
	117 000	2,296 17	10/16/13	1,744 67	0 00	551 50	Sale
	35 000	686 88	11/06/13	514 07	0 00	172 81	Sale
	31 000	608 39	11/12/13	447 57	0 00	160 82	Sale
05/27/14	183 000	3,591 44	VARIOUS	2,706 31	0 00	885 13	Total of 3 lots
Security total		4,953 98		3,738 56	0 00	1,215 42	
BANK OF IRELAND SPON ADR / CUSIP 46267Q202 / Symbol IRE							
3 tax lots for 07/29/14 Total proceeds (and cost when required) reported to the IRS							
	97 000	1,399 74	01/23/14	1,695 86	0 00	-296 12	Sale
	22 000	317 46	02/03/14	363 75	0 00	-46 29	Sale
	21 000	303 04	03/04/14	421 51	0 00	-118 47	Sale
07/29/14	140 000	2,020 24	VARIOUS	2,481 12	0 00	-460 88	Total of 3 lots
ISHARES MSCI PACIFIC EX JAPAN ETF / CUSIP 464286665 / Symbol EPP							
2 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS							
	69 000	3,287 79	07/11/13	3,079 84	0 00	207 95	Sale
	18 000	857 69	08/05/13	808 89	0 00	48 80	Note 26 Sale
03/07/14	87 000	4,145 48	VARIOUS	3,888 73	0 00	256 75	Note 26 Total of 2 lots
ISHARES MSCI JAPAN ETF / CUSIP 464286848 / Symbol EWJ							
03/07/14	575 000	6,670 00	07/11/13	6,770 16	0 00	-100 16	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ISHARES 20+ YEAR TREASURY BOND ETF / CUSIP 464287432 / Symbol TLT							
06/05/14	141 000	15,770 20	03/21/14	15,212.52	0 00	557 68	Sale Note 26
JABIL CIRCUIT INC COMMON STOCK / CUSIP 466313103 / Symbol JBL							
02/07/14	17 000	305 73	10/29/13	375 65	0 00	-69 92	Sale Note 26
KELLOGG CO COMMON STOCK / CUSIP 487836108 / Symbol K							
2 tax lots for 05/06/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	261 78	05/21/13	261 07	0 00	0 71	Sale Note 26
	3 000	196 33	08/01/13	196 19	0 00	0 14	Sale Note 26
05/06/14	7 000	458 11	VARIOUS	457 26	0 00	0 85	Total of 2 lots
2 tax lots for 07/31/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	120 53	08/16/13	127 05	0 00	-6 52	Sale
	3 000	180 79	08/22/13	186 93	0 00	-6 14	Sale
07/31/14	5 000	301 32	VARIOUS	313 98	0 00	-12 66	Total of 2 lots
Security total		759 43		771 24	0 00	-11 81	
KINGFISHER PLC NEW SPON ADR / CUSIP 495724403 / Symbol KGFHY							
3 tax lots for 08/13/14 Total proceeds (and cost when required) reported to the IRS							
	144 000	1,443 99	08/29/13	1,741 77	0 00	-297 78	Sale
	35 000	350 97	10/16/13	427 08	0 00	-76 11	Sale
	41 000	411 13	11/07/13	502 73	0 00	-91 60	Sale
08/13/14	220 000	2,206 09	VARIOUS	2,671 58	0 00	-465 49	Total of 3 lots
LAUDER ESTEE COS CL A / CUSIP 518439104 / Symbol EL							
05/02/14	3 000	224 80	01/13/14	223 30	0 00	1 50	Sale Note 26
LIFE TIME FITNESS INC / CUSIP 53217R207 / Symbol LTM							
11/06/14	3 000	166 20	10/16/14	146 75	0 00	19 45	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LIFE TIME FITNESS INC / CUSIP 53217R207 / Symbol LTM (cont'd)							
2 tax lots for 11/13/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	225.36	09/02/14	188 33	0 00	37 03	Sale
	1 000	56 33	10/16/14	48 92	0 00	7 41	Note 26
11/13/14	5 000	281 69	VARIOUS	237 25	0 00	44 44	Sale
							Note 26
							Total of 2 lots
Security total		447 89		384 00	0 00	63 89	
LILLY ELI & CO COMMON STOCK / CUSIP 532457108 / Symbol LLY							
2 tax lots for 05/13/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	120 10	07/26/13	106 72	0 00	13 38	Sale
	5 000	300 26	04/24/14	293 48	0 00	6 78	Note 26
05/13/14	7 000	420 36	VARIOUS	400 20	0 00	20 16	Sale
							Note 26
							Total of 2 lots
LLOYDS BANKING GROUP PLC SPON ADR / CUSIP 539439109 / Symbol LYG							
12/17/14	81 000	380 71	05/23/14	418 33	0 00	-37 62	Sale
12/18/14	47 000	221 36	05/23/14	242 74	0 00	-21 38	Note 26
							Sale
Security total		602 07		661 07	0 00	-59 00	Note 26
LOGMEIN INC / CUSIP 54142L109 / Symbol LOGM							
11/13/14	4 000	206 92	07/29/14	170 11	0 00	36 81	Sale
							Note 26
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP 550021109 / Symbol LULU							
01/13/14	8 000	402 05	01/15/13	544 41	0 00	-142 36	Sale
MARTIN MARIETTA MATERIALS INC / CUSIP 573284106 / Symbol MLM							
01/30/14	6 000	645 54	12/19/13	579 47	0 00	66 07	Sale
06/17/14	6 000	785 43	12/19/13	579 46	0 00	205 97	Note 26
							Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

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MARTIN MARIETTA MATERIALS INC / CUSIP 573284106 / Symbol MLM (cont'd)							
08/13/14	4 000	505 96	12/19/13	386 31	0 00	119 65	Sale Note 26
Security total		1,936 93		1,545 24	0 00	391 69	
MASTEC INC / CUSIP 576323109 / Symbol MTZ							
10/17/14	3 000	78 60	04/28/14	121 94	0 00	-43.34	Sale Note 26
MASTERCARD INC CL A / CUSIP 57636Q104 / Symbol. MA							
08/19/14	5 000	382 03	03/24/14	383 92	0 00	-1 89	Sale Note 26
3 tax lots for 10/10/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	142 21	04/24/14	148 82	0 00	-6 61	Sale
	2 000	142 21	05/22/14	151 66	0 00	-9 45	Sale
	3 000	213 31	07/01/14	226 99	0 00	-13 68	Sale
10/10/14	7 000	497 73	VARIOUS	527 47	0 00	-29 74	Total of 3 lots
Security total		879 76		911 39	0 00	-31 63	
MITSUBISHI ESTATE LTD ADR ADR / CUSIP 606783207 / Symbol MITEY							
12/18/14	13 000	270 48	05/08/14	311 10	0 00	-40 62	Sale Note 26
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR / CUSIP 606822104 / Symbol MTU							
2 tax lots for 07/07/14 Total proceeds (and cost when required) reported to the IRS							
	87 000	528 08	07/09/13	562.67	0 00	-34 59	Sale Note 26
	42 000	254 93	11/12/13	265 44	0 00	-10 51	Sale Note 26
07/07/14	129 000	783 01	VARIOUS	828 11	0 00	-45 10	Total of 2 lots
MONSANTO CO NEW / CUSIP 61166W101 / Symbol MON							
3 tax lots for 10/23/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	225 89	11/13/13	218 38	0 00	7 51	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

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MONSANTO CO NEW / CUSIP 61166W101 / Symbol MON (cont'd)							
	3 000	338 84	01/21/14	341 21	0 00	-2 37	Sale
	5 000	564 74	06/17/14	599 45	0 00	-34 71	Note 26
10/23/14	10 000	1,129 47	VARIOUS	1,159 04	0 00	-29 57	Sale Note 26 Total of 3 lots
MONSTER BEVERAGE CORP COM / CUSIP 611740101 / Symbol MNST							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	173 08	10/18/13	115 33	0 00	57 75	Sale
	3 000	259 61	11/06/13	173 19	0 00	86 42	Sale
08/25/14	5 000	432.69	VARIOUS	288 52	0 00	144 17	Total of 2 lots
MOVADO GROUP INC / CUSIP 624580106 / Symbol MOV							
2 tax lots for 11/21/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	236 97	07/23/14	355 50	0 00	-118 53	Sale
	3 000	78 98	10/17/14	101 30	0 00	-22 32	Note 26
11/21/14	12 000	315 95	VARIOUS	456 80	0 00	-140 85	Sale Note 26 Total of 2 lots
NORDSON CORP COMMON STOCK / CUSIP 655663102 / Symbol. NDSN							
10/17/14	2.000	140 04	04/28/14	148 51	0 00	-8 47	Sale Note 26
NORFOLK SOUTHERN CORP / CUSIP 655844108 / Symbol NSC							
3 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	1,070 40	07/26/13	739 20	0 00	331 20	Sale
	7 000	749 28	11/01/13	606 90	0 00	142 38	Sale
	3 000	321 12	06/17/14	304 62	0 00	16 50	Sale
07/23/14	20 000	2,140 80	VARIOUS	1,650 72	0 00	490 08	Total of 3 lots
ORIX CORPORATION SPON ADR / CUSIP 686330101 / Symbol IX							
12/18/14	5 000	321 66	05/08/14	376 64	0 00	-54 98	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account PW 21214
2014	1099-B*	(continued)		OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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OWENS CORNING NEW / CUSIP 690742101 / Symbol OC							
2 tax lots for 08/13/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	205 61	02/14/14	263 89	0 00	-58 28	Sale
	12 000	411 24	06/17/14	492 06	0 00	-80 82	Note 26
08/13/14	18 000	616 85	VARIOUS	755 95	0 00	-139 10	Sale
							Note 26
							Total of 2 lots
PG & E CORP (HOLDING COMPANY) / CUSIP 69331C108 / Symbol PCG							
3 tax lots for 01/23/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	412 58	03/04/13	430 15	0 00	-17 57	Sale
	6 000	247 54	07/26/13	277 92	0 00	-30 38	Sale
	24 000	990 19	07/31/13	1,101 87	0 00	-111 68	Sale
01/23/14	40 000	1,650 31	VARIOUS	1,809 94	0 00	-159 63	Note 26
							Total of 3 lots
PARKER HANNIFIN CORP COMMON STOCK / CUSIP 701094104 / Symbol PH							
10/31/14	4 000	507 00	08/14/14	453 46	0 00	53 54	Sale
							Note 26
PATTERSON COMPANIES INC / CUSIP 703395103 / Symbol PDCO							
07/22/14	7 000	277 11	03/24/14	286 47	0 00	-9 36	Sale
							Note 26
PEUGEOT SA UNSPONSORED ADR / CUSIP 716830104 / Symbol PUGOY							
04/11/14	43 000	812 38	08/19/13	645 82	0 00	166 56	Sale
							Note 26
QUALCOMM INC COMMON STOCK / CUSIP 747525103 / Symbol QCOM							
05/22/14	2 000	159 58	04/24/14	155 46	0 00	4 12	Sale
REALOGY HLDGS CORP / CUSIP 75605Y106 / Symbol RLGY							
2 tax lots for 02/21/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	183 12	05/03/13	198 34	0 00	-15 22	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
Proceeds from Broker and Barter Exchange Transactions			
2014 1099-B*	(continued)	OMB No. 1545-0715	

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REALOGY HLDGS CORP / CUSIP 75605Y106 / Symbol RLGY (cont'd)							
	1 000	45 77	05/21/13	54 74	0 00	-8 97	Sale
02/21/14	5 000	228 89	VARIOUS	253 08	0 00	-24 19	Note 26
02/27/14	9 000	426 37	05/03/13	446 27	0 00	-19 90	Total of 2 lots
04/02/14	9 000	401 65	05/03/13	446 26	0 00	-44 61	Sale
Security total		1,056 91		1,145 61	0 00	-88 70	Note 26
REGENERON PHARMACEUTICALS INC / CUSIP 75886F107 / Symbol REGN							
07/30/14	1 000	325 28	06/04/14	311 79	0 00	13 49	Sale
10/17/14	1 000	373 09	04/03/14	301 35	0 00	71 74	Note 26
Security total		698 37		613 14	0 00	85.23	Sale
SMA RELATIONSHIP TRUST SERIES T / CUSIP 784453102 / Symbol MFPWSC							
10/17/14	608 000	2,863 68	09/19/14	2,845 44	0 00	18 24	Sale
SALIX PHARMACEUTICALS LTD (DELA) / CUSIP 795435106 / Symbol SLXP							
02/20/14	3 000	311 84	02/28/13	146 35	0 00	165 49	Sale
SAMPO OYJ ADR / CUSIP 79588J102 / Symbol SAXPY							
12/18/14	14 000	331 60	07/30/14	350 57	0 00	-18 97	Sale
							Note 26
SCHNEIDER ELECTRIC SE UNSPONSORED ADR / CUSIP 80687P106 / Symbol SBGSY							
12/18/14	21 000	311 32	05/23/14	384 97	0 00	-73 65	Sale
							Note 26
SUNCOR ENERGY INC NEW FOREIGN STOCK CAD / CUSIP 867224107 / Symbol SU							
12/18/14	10 000	311.20	07/01/14	425 05	0 00	-113 85	Sale
							Note 26
SUSSER HOLDINGS CORP **MERGER ETF 08/2014** / CUSIP 869233106 / Symbol							
05/07/14	3 000	240 14	10/30/13	162 32	0 00	77 82	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.	Account PW 21214
Proceeds from Broker and Barter Exchange Transactions	
2014 1099-B*	OMB No. 1545-0715
(continued)	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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SUSSEER HOLDINGS CORP **MERGER ETF 08/2014** / CUSIP 869233106 / Symbol (cont'd)							
4 tax lots for 07/02/14 Total proceeds (and cost when required) reported to the IRS							
	11 000	890 50	07/19/13	572 47	0 00	318 03	Sale
	5 000	404 77	07/24/13	259 40	0 00	145 37	Sale
	14 000	1,133 37	08/21/13	671 12	0 00	462 25	Sale
	1 000	80 95	10/30/13	54 11	0 00	26 84	Sale
07/02/14	31 000	2,509 59	VARIOUS	1,557 10	0 00	952 49	Total of 4 lots
	Security total	2,749 73		1,719 42	0 00	1,030 31	
3M CO / CUSIP 88579Y101 / Symbol MMM							
3 tax lots for 01/06/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	414 76	07/22/13	357 42	0 00	57 34	Sale
	13 000	1,797 26	07/22/13	1,511 33	0 00	285 93	Sale
	2 000	276 50	07/26/13	233 82	0 00	42 68	Sale
01/06/14	18 000	2,488 52	VARIOUS	2,102 57	0 00	385 95	Total of 3 lots
TIME INC NEW COM / CUSIP 887228104 / Symbol TIME							
3 tax lots for 06/17/14 Total proceeds (and cost when required) reported to the IRS							
	0 750	17 27	07/25/13	15 53	0 00	1 74	Sale
	1 000	23 03	07/25/13	20 24	0 00	2 79	Sale
	1 250	28 79	07/26/13	25 53	0 00	3 26	Sale
06/17/14	3 000	69 09	VARIOUS	61 30	0 00	7 79	Total of 3 lots
TIME WARNER INC NEW / CUSIP 887317303 / Symbol TWX							
12/08/14	4 000	334 16	06/17/14	272 82	0 00	61 34	Sale
TIME WARNER CABLE INC / CUSIP 88732J207 / Symbol TWC							
12/17/14	1 000	143 61	11/17/14	141 05	0 00	2 56	Sale
							Note 26
12/18/14	4 000	581 51	06/20/14	572 97	0 00	8 54	Sale
							Note 26
	Security total	725 12		714 02	0 00	11 10	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP 892331307 / Symbol TM							
02/14/14	1 000	115 48	07/09/13	126 56	0 00	-11.08	Sale
							Note 26

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2014 1099-B*		OMB No. 1545-0715	
		(continued)	

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TREX COMPANY INC / CUSIP 89531P105 / Symbol TREX							
2 tax lots for 03/06/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	154 31	08/06/13	89 05	0 00	65 26	Sale
	5 000	385 78	08/20/13	228 18	0 00	157 60	Note 26
							Sale
							Note 26
03/06/14	7 000	540 09	VARIOUS	317 23	0 00	222 86	Total of 2 lots
UNION PACIFIC CORP COMMON STOCK / CUSIP 907818108 / Symbol UNP							
02/11/14	1 000	176 50	10/18/13	152 34	0 00	24 16	Sale
U S TREASURY INFL NOTE NOTE RATE 1 375 % MATURES 06/30/2018 / CUSIP 912828VK3 / Symbol							
10/31/14	1,000 000	1,004 38	09/19/14	995 82	0 00	8 56	Sale
							Original basis \$995 82
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP 913017109 / Symbol UTX							
05/07/14	2 000	233 68	04/24/14	238 11	0 00	-4 43	Sale
							Note 26
07/30/14	2 000	212 89	02/10/14	221 91	0 00	-9 02	Sale
							Note 26
08/05/14	2 000	210 96	02/10/14	221 91	0 00	-10 95	Sale
							Note 26
08/12/14	1 000	104 82	12/13/13	107 65	0 00	-2 83	Sale
							Note 26
	Security total	762 35		789 58	0 00	-27 23	
VANGUARD FTSE EUROPE ETF / CUSIP 922042874 / Symbol VGK							
2 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS							
	306 000	18,338 35	07/11/13	15,380 57	0 00	2,957 78	Sale
							Note 26
	79 000	4,734 41	08/05/13	4,142 85	0 00	591 56	Sale
							Note 26
03/07/14	385 000	23,072 76	VARIOUS	19,523 42	0 00	3,549 34	Total of 2 lots
VERIZON COMMUNICATIONS INC / CUSIP 92343V104 / Symbol VZ							
02/24/14	0 080	3 74	02/24/14	3 86	0 00	-0 12	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

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VERIZON COMMUNICATIONS INC / CUSIP- 92343V104 / Symbol VZ (cont'd)							
6 tax lots for 03/03/14 Total proceeds (and cost when required) reported to the IRS							
	1 578	74 42	02/24/14	75 92	0 00	-1 50	Sale
	5 523	260 50	02/24/14	265 75	0 00	-5 25	Sale
	5 523	260 51	02/24/14	265 74	0 00	-5 23	Sale
	8 942	421 76	02/24/14	430 24	0 00	-8 48	Sale
	9 205	434 16	02/24/14	442 90	0 00	-8 74	Sale
	11 229	529 61	02/24/14	540 28	0 00	-10 67	Sale
03/03/14	42 000	1,980 96	VARIOUS	2,020 83	0 00	-39 87	Total of 6 lots
Security total		1,984 70		2,024 69	0 00	-39 99	
VERITIV CORP COM / CUSIP 923454102 / Symbol VRTV							
2 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS							
	0 115	4 31	07/26/13	4 17	0 00	0 14	Sale
	0 287	10 78	02/04/14	9 71	0 00	1 07	Sale
07/23/14	0 402	15 09	VARIOUS	13 88	0 00	1 21	Total of 2 lots
VIACOM INC NEW CL B / CUSIP 92553P201 / Symbol VIAB							
06/05/14	3 000	263 06	02/24/14	263 40	0 00	-0 34	Sale Note 26
VMWARE INC CL A / CUSIP 928563402 / Symbol VMW							
01/16/14	2 000	198 77	03/22/13	159 74	0 00	39 03	Sale Note 26
VODAFONE GROUP PLC SPON ADR / CUSIP 92857W308 / Symbol VOD							
12/18/14	10 000	352 29	03/03/14	406 29	0 00	-54 00	Sale Note 26
WALGREEN CO **MERGER EFF 12/2014** / CUSIP 931422109 / Symbol							
2 tax lots for 05/14/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	137 32	02/05/14	115 09	0 00	22 23	Sale Note 26
	4 000	274 66	02/19/14	262 80	0 00	11 86	Sale Note 26
05/14/14	6 000	411 98	VARIOUS	377 89	0 00	34 09	Total of 2 lots

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WALGREEN CO **MERGER EFF 12/2014** / CUSIP 931422109 / Symbol (cont'd)							
2 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	146 24	09/18/14	126 51	0 00	19 73	Sale
	2 000	146 24	12/09/14	136 89	0 00	9 35	Note 26
12/16/14	4 000	292 48	VARIOUS	263 40	0.00	29 08	Sale
							Note 26
							Total of 2 lots
Security total		704 46		641 29	0 00	63 17	
WASHINGTON PRIME GROUP INC COM REIT / CUSIP 939647103 / Symbol WPG							
10/21/14	8 000	135 86	01/23/14	149 70	0 00	-13 84	Sale
WASTE MGMT INC NEW / CUSIP 94106L109 / Symbol WM							
02/05/14	4 000	165 47	07/26/13	168 20	0 00	-2 73	Sale
							Note 26
WATSCO INC COMMON STOCK / CUSIP 942622200 / Symbol WSO							
11/24/14	4 000	409 99	05/02/14	408 33	0 00	1 66	Sale
							Note 26
YELP INC / CUSIP 985817105 / Symbol YELP							
01/31/14	3 000	229 41	11/01/13	205 17	0 00	24 24	Sale
							Note 26
02/06/14	3 000	269 34	11/01/13	205 16	0 00	64 18	Sale
							Note 26
02/27/14	3 000	294 48	11/01/13	205 17	0 00	89 31	Sale
							Note 26
03/05/14	2.000	196 42	11/01/13	136 78	0 00	59 64	Sale
							Note 26
03/24/14	2 000	162 06	12/30/13	131 46	0 00	30 60	Sale
							Note 26
2 tax lots for 04/01/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	319 39	11/25/13	232 34	0 00	87 05	Sale
	2 000	159 69	12/12/13	128 95	0 00	30 74	Sale
04/01/14	6 000	479 08	VARIOUS	361 29	0 00	117 79	Total of 2 lots
Security total		1,630 79		1,245 03	0 00	385 76	

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
2014 1099-B*		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	
		OMB No 1545-0715	

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ZURICH INS GROUP LTD SPON ADR / CUSIP 989825104 / Symbol ZURVY							
12/17/14	21 000	645 08	01/17/14	631 08	0 00	14 00	Sale
12/18/14	8 000	248 47	01/17/14	240 41	0 00	8 06	Note 26
Security total		893 55		871 49	0 00	22 06	Note 26
WESTFIELD GROUP STAPLED SECURITY FOREIGN STOCK AUD / CUSIP AU000000WDC7 / Symbol							
02/04/14	25 000	226 99	07/10/13	270 12	0 00	-43 13	Sale
							Note 26
DEUTSCHE BANK AG REG SHS ORD FOREIGN STOCK EUR / CUSIP D18190898 / Symbol DB							
03/31/14	8 000	360 78	07/09/13	332 08	0 00	28 70	Sale
THYSSEN KRUPP AG FOREIGN STOCK EUR / CUSIP DE0007500001 / Symbol TYEKF							
12/19/14	8 000	208 12	02/14/14	216 37	0 00	-8 25	Sale
							Note 26
MEDIASET ESPANA COMUNICACION SA FOREIGN STOCK EUR / CUSIP E7418Y101 / Symbol GETVF							
12/19/14	21 000	263 72	03/07/14	265 02	0 00	-1 30	Sale
							Note 26
AMIRA NATURE FOODS LTD FOREIGN STOCK / CUSIP G0335L102 / Symbol ANFI							
02/25/14	35 000	693 35	05/10/13	290 96	0 00	402 39	Sale
							Note 26
3 tax lots for 06/30/14 Total proceeds (and cost when required) reported to the IRS							
	11 000	151 52	03/05/14	186 12	0 00	-34 60	Sale
	17 000	234 17	03/24/14	273 93	0 00	-39 76	Note 26
	6 000	82 64	05/09/14	89 77	0 00	-7 13	Sale
							Note 26
06/30/14	34 000	468 33	VARIOUS	549 82	0 00	-81 49	Total of 3 lots
Security total		1,161 68		840 78	0 00	320 90	
LIBERTY GLOBAL PLC CL A / CUSIP G5480U104 / Symbol LBTYA							
04/08/14	6 000	235 97	12/05/13	255 87	0 00	-19 90	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LIBERTY GLOBAL PLC CL A / CUSIP G5480U104 / Symbol LBTYA (cont'd)							
2 tax lots for 04/17/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	39 25	10/16/13	39 34	0 00	-0 09	Sale
	1 000	39 26	12/05/13	42 64	0 00	-3 38	Sale
04/17/14	2.000	78 51	VARIOUS	81 98	0 00	-3 47	Total of 2 lots
Security total		314 48		337.85	0 00	-23 37	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP G5480U120 / Symbol LBTYK							
2 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	40 08	10/16/13	38 00	0 00	2 08	Sale
	7 000	280 59	12/05/13	288 24	0 00	-7 65	Sale
03/25/14	8 000	320 67	VARIOUS	326 24	0 00	-5 57	Total of 2 lots
MALLINCKRODT PUB LTD CO FOREIGN STOCK / CUSIP G5785G107 / Symbol MNK							
04/09/14	5 000	332.69	11/06/13	226 04	0 00	106 65	Sale Note 26
ASSTEAD GROUP PLC ORD ORD FOREIGN STOCK GBP / CUSIP GB0000536739 / Symbol ASHTF							
12/19/14	18 000	325 66	11/25/14	299 34	0 00	26 32	Sale Note 26
LONDON STOCK EXCHANGE GROUP PLC NEW FOREIGN STOCK GBP / CUSIP GB0080SWJX34 / Symbol LDNXF							
12/19/14	6 000	208.30	03/24/14	194 76	0 00	13 54	Sale Note 26
LONDON STOCK EXCHANGE GROUP PLC RIGHTS EXP 09/25/14 / CUSIP GB00BPZ54V45 / Symbol							
2 tax lots for 09/25/14 Total proceeds (and cost when required) reported to the IRS							
	0 273	2 58	03/24/14		0 00	2 58	Sale
	21 000	206 18	03/24/14		0 00	206 18	Sale
09/25/14	21 273	208 76	VARIOUS		0 00	208 76	Total of 2 lots
LUMENIS LTD FOREIGN STOCK ILS / CUSIP IL0011312597 / Symbol LMNS							
11/21/14	32 000	333 70	06/17/14	292 67	0 00	41 03	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

3- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MELLANOX TECHNOLOGIES LTD ILS / CUSIP M51363113 / Symbol MLNX							
2 tax lots for 07/28/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	257 88	11/07/13	201 27	0 00	56 61	Sale
							Note 26
	7 000	300 86	12/19/13	269 06	0 00	31 80	Sale
							Note 26
07/28/14	13 000	558 74	VARIOUS	470 33	0 00	88 41	Total of 2 lots
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A FOREIGN STOCK / CUSIP N53745100 / Symbol LYB							
5 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	655 34	03/11/14	818 39	0 00	-163 05	Sale
	2 000	145 63	04/14/14	175 77	0 00	-30 14	Sale
	1 000	72 81	04/24/14	91 57	0 00	-18 76	Sale
	3 000	218 45	10/17/14	269 94	0 00	-51 49	Sale
	2 000	145 63	12/09/14	156 85	0 00	-11 22	Sale
12/16/14	17 000	1,237 86	VARIOUS	1,512 52	0 00	-274 66	Total of 5 lots
TORINER NV FOREIGN STOCK EUR / CUSIP N87237108 / Symbol TRNX							
07/23/14	7 000	151 23	04/28/14	124 77	0 00	26 46	Sale
							Note. 26
2 tax lots for 08/12/14 Total proceeds (and cost when required) reported to the IRS							
	13 000	280 79	12/16/13	228 96	0 00	51 83	Sale
							Note 26
	12 000	259 19	04/28/14	213 90	0 00	45 29	Sale
							Note 26
08/12/14	25 000	539 98	VARIOUS	442 86	0 00	97 12	Total of 2 lots
2 tax lots for 09/16/14 Total proceeds (and cost when required) reported to the IRS							
	48 000	1,136 61	11/14/13	818 17	0 00	318 44	Sale
	2 000	47 36	12/16/13	35 23	0 00	12 13	Sale
09/16/14	50 000	1,183 97	VARIOUS	853 40	0 00	330 57	Total of 2 lots
	Security total	1,875 18		1,421 03	0 00	454 15	
KONINKLIJKE DSM NV SHS FOREIGN STOCK EUR / CUSIP NL0000009827 / Symbol KDSKF							
07/09/14	7 000	492 73	07/10/13	476 43	0 00	16 30	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Totals:		154,903.01		144,820.00	0.00	10,083.01	

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALEXION PHARMACEUTICALS INC / CUSIP 015351109 / Symbol ALXN							
09/11/14	2 000	333 16	08/02/13	233 74	0 00	99 42	Sale Note 26
09/17/14	9 000	1,452 52	08/02/13	1,051 86	0 00	400 66	Sale
Security total		1,785 68		1,285 60	0 00	500 08	
ALLERGAN INC COMMON STOCK / CUSIP 018490102 / Symbol AGN							
04/15/14	2 000	249 70	09/20/12	180 19	0 00	69 51	Sale Note 26
04/17/14	2 000	267.09	09/20/12	180 18	0 00	86 91	Sale Note 26
04/21/14	2.000	274 51	02/29/12	179 18	0 00	95 33	Sale Note 26
2 tax lots for 04/25/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	335 62	07/31/12	163 93	0 00	171 69	Sale Note 26
	6 000	1,006 85	07/31/12	491 51	0 00	515 34	Sale Note 26
04/25/14	8 000	1,342 47	VARIOUS	655 44	0 00	687 03	Total of 2 lots
2 tax lots for 05/14/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	160 19	02/09/11	72 83	0 00	87 36	Sale Note 26
	3 000	480 60	04/19/11	228 30	0 00	252 30	Sale Note 26
05/14/14	4 000	640 79	VARIOUS	301 13	0 00	339 66	Total of 2 lots
10/09/14	5 000	951 18	02/09/11	364 13	0 00	587 05	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLERGAN INC COMMON STOCK / CUSIP 018490102 / Symbol AGN (cont'd)								
11/25/14	4 000		850 40	02/09/11	291 30	0.00	559.10	Sale
Security total			4,576 14		2,151 55	0 00	2,424 59	
AMAZON COM INC / CUSIP 023135106 / Symbol AMZN								
01/10/14	1 000		395 91	11/21/12	234 28	0 00	161 63	Sale Note 26
AMER EXPRESS CO / CUSIP 025816109 / Symbol AXP								
11/20/14	7 000		634 40	10/16/13	533 19	0 00	101 21	Sale Note 26
APPLE INC / CUSIP 037833100 / Symbol AAPL								
04/28/14	1 000		585 37	11/21/12	563 05	0 00	22 32	Sale Note 26
06/04/14	1 000		645 76	01/29/13	456 76	0 00	189 00	Sale Note 26
06/10/14	6 000		565.21	02/07/13	390 69	0 00	174 52	Sale Note 26
Security total			1,796 34		1,410 50	0 00	385 84	
APPLIED MATERIALS INC COMMON STOCK / CUSIP 038222105 / Symbol AMAT								
12/17/14	38 000		915 21	10/08/13	667 69	0 00	247 52	Sale Note 26
ASAHI GLASS ADR *FOREIGN SECURITY JAPAN ADR / CUSIP 043393206 / Symbol ASGLY								
4 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS								
	4 000		22 63	12/08/11	34 65	0 00	-12 02	Sale
	26 000		147 13	01/10/12	217 50	0 00	-70 37	Sale
	53 000		299 92	11/27/12	399 40	0 00	-99 48	Sale
	63 000		356 50	12/19/12	489 11	0 00	-132 61	Sale
04/16/14	146 000		826 18	VARIOUS	1,140 66	0 00	-314 48	Total of 4 lots
ASSOCIATED BRITISH FOODS PLC NEW ADR / CUSIP 045519402 / Symbol ASBFY								
12/18/14	9 000		443 18	11/12/13	327 06	0 00	116 12	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ASTELLAS PHARMA INC ADR / CUSIP 04623U102 / Symbol ALPMY							
12/18/14	40 000	562 98	10/22/13	423 88	0 00	139 10	Sale Note 26
ATRICURE INC / CUSIP 04963C209 / Symbol ATRC							
01/13/14	46 000	981 02	07/06/12	429 99	0 00	551 03	Sale Note 26
2 tax lots for 01/24/14 Total proceeds (and cost when required) reported to the IRS							
	13 000	269 32	07/06/12	121 52	0 00	147 80	Sale Note 26
	15 000	310 75	07/09/12	139 79	0 00	170 96	Sale Note 26
01/24/14	28 000	580 07	VARIOUS	261 31	0 00	318 76	Total of 2 lots
2 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	39 25	07/09/12	18 64	0 00	20 61	Sale
	25 000	490 68	08/06/12	190 65	0 00	300 03	Sale
03/25/14	27 000	529 93	VARIOUS	209 29	0 00	320 64	Total of 2 lots
Security total		2,091 02		900 59	0 00	1,190 43	
BP PLC SPON ADR / CUSIP- 055622104 / Symbol BP							
2 tax lots for 07/01/14 Total proceeds (and cost when required) reported to the IRS							
	8 000	423 23	12/07/11	346 74	0 00	76 49	Sale Note 26
	18 000	952 27	12/19/12	759 79	0 00	192 48	Sale Note 26
07/01/14	26 000	1,375 50	VARIOUS	1,106 53	0 00	268 97	Total of 2 lots
12/18/14	9 000	342 64	12/19/12	379 89	0 00	-37 25	Sale Note 26
Security total		1,718 14		1,486 42	0 00	231 72	
BANCO SANTANDER S.A SPON ADR / CUSIP- 05964H105 / Symbol SAN							
12/18/14	40 000	348 03	10/16/13	362 54	0 00	-16 51	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account PW 21214
2014	1099-B*	(continued)		OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BARCLAYS PLC ADR / CUSIP 06738E204 / Symbol BCS							
6 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	41 000	583 20	09/26/11	389 34	0 00	193 86	Sale
	48 000	682 78	12/07/11	570 70	0 00	112 08	Sale
	31 000	440 96	01/10/12	367 19	0 00	73 77	Sale
	34 000	483 63	12/19/12	589 50	0 00	-105 87	Sale
	3 000	42 67	07/09/13	53 91	0 00	-11 24	Sale
	44 000	625 88	10/01/13	528 19	0 00	97 69	Sale
12/17/14	201 000	2,859 12	VARIOUS	2,498 83	0 00	360 29	Total of 6 lots
BAXTER INTL INC / CUSIP 071813109 / Symbol BAX							
3 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS							
	7 000	514 32	08/02/12	403 69	0 00	110 63	Sale
	3 000	220 42	09/20/12	182 76	0 00	37 66	Note 26
	6 000	440 84	02/21/13	400 26	0 00	40 58	Note 26
03/27/14	16 000	1,175 58	VARIOUS	986 71	0 00	188 87	Total of 3 lots
10/31/14	6 000	420 28	05/07/13	406 89	0 00	13 39	Note 26
Security total		1,595 86		1,393 60	0 00	202 26	
BAYER A G SPON ADR / CUSIP 072730302 / Symbol BAYRY							
12/18/14	5 000	707 22	11/06/13	635 10	0 00	72 12	Sale
							Note 26
B/E AEROSPACE INC / CUSIP 073302101 / Symbol BEAV							
04/28/14	2.000	170 73	03/21/11	69 35	0 00	101 38	Sale
BIG LOTS INC / CUSIP 089302103 / Symbol BIG							
03/07/14	22.000	774 97	03/06/13	782 61	0 00	-7 64	Sale
BIO RAD LABORATORIES INC CL A / CUSIP 090572207 / Symbol BIO							
12/11/14	3 000	357 59	03/08/11	351 87	0 00	5 72	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BIOGEN IDEC INC / CUSIP 09062X103 / Symbol BIIB							
10/22/14	1 000	302 59	01/17/13	143 68	0 00	158.91	Sale
							Note 26
10/27/14	1 000	319 36	01/11/13	142 76	0 00	176 60	Sale
							Note 26
10/31/14	1 000	321 61	01/11/13	142 77	0 00	178 84	Sale
							Note 26
11/20/14	1 000	300 41	01/11/13	142 76	0 00	157 65	Sale
							Note 26
12/16/14	1 000	330 90	01/11/13	142 76	0 00	188 14	Sale
Security total		1,574 87		714 73	0 00	860 14	
BRIDGESTONE CORP LTD ADR JAPAN ADR / CUSIP 108441205 / Symbol BRDCY							
3 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS							
	40 000	736 07	03/01/12	483 59	0 00	252 48	Sale
	12 000	220 82	11/28/12	143 88	0 00	76 94	Sale
	60 000	1,104 11	12/19/12	760 32	0 00	343 79	Sale
03/07/14	112 000	2,061 00	VARIOUS	1,387 79	0 00	673 21	Total of 3 lots
BROADCOM CORP CL A / CUSIP 111320107 / Symbol BRCM							
02/12/14	18 000	557 42	12/21/12	580 02	0 00	-22 60	Sale
							Note 26
2 tax lots for 04/21/14 Total proceeds (and cost when required) reported to the IRS							
	21 000	631 73	12/21/12	676 69	0 00	-44 96	Sale
							Note 26
	8 000	240 65	02/07/13	253 67	0 00	-13 02	Sale
							Note 26
04/21/14	29 000	872 38	VARIOUS	930 36	0 00	-57 98	Total of 2 lots
2 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	17 000	712 11	08/01/13	457 42	0 00	254 69	Sale
							Note 26
	3 000	125 68	12/04/13	80 28	0 00	45 38	Sale
							Note 26
12/17/14	20 000	837 77	VARIOUS	537 70	0 00	300 07	Total of 2 lots
Security total		2,267 57		2,048 08	0 00	219 49	

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account PW 21214
2014	1099-B*	(continued)		OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BROOKLINE BANCORP INC NEW / CUSIP 11373M107 / Symbol BRKL							
3 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	44 88	06/14/11	42 40	0 00	2 48	Sale
	26 000	233 43	02/26/13	236 33	0 00	-2 90	Note 26
	21 000	188.54	05/13/13	180 33	0 00	8 21	Sale
10/17/14	52 000	466 85	VARIOUS	459 06	0 00	7 79	Note 26 Total of 3 lots
C&J ENERGY SVCS INC / CUSIP 12467B304 / Symbol CJES							
2 tax lots for 03/06/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	129 06	12/08/11	107 68	0 00	21 38	Sale
	11 000	283 93	01/25/13	253 95	0 00	29 98	Note 26
03/06/14	16 000	412 99	VARIOUS	361 63	0 00	51 36	Sale Note 26 Total of 2 lots
3 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS.							
	22 000	602.99	12/08/11	473 82	0 00	129 17	Sale
	11 000	301 49	01/27/12	184 65	0 00	116 84	Sale
	11 000	301 49	12/31/12	232.38	0 00	69 11	Sale
03/20/14	44 000	1,205 97	VARIOUS	890 85	0 00	315 12	Total of 3 lots
	Security total	1,618 96		1,252 48	0 00	366 48	
CADENCE DESIGN SYSTEM COMMON STOCK / CUSIP 127387108 / Symbol CDNS							
07/23/14	18 000	304 25	02/09/11	174 06	0 00	130 19	Sale Note 26
CAMPUS CREST CMNTYS INC COM / CUSIP 13466Y105 / Symbol CCG							
2 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	8 26	03/18/11	9 07	0 00	-0 81	Sale
	27 000	223 24	07/16/13	308 18	0 00	-84 94	Note 26
08/20/14	28 000	231 50	VARIOUS	317 25	0 00	-85 75	Sale Note 26 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CAMPUS CREST CMNTYS INC COM / CUSIP 13466Y105 / Symbol CCG (cont'd)							
2 tax lots for 09/15/14 Total proceeds (and cost when required) reported to the IRS							
	16 000	121 51	03/18/11	145 04	0 00	-23.53	Sale
							Note 26
	16 000	121 51	04/19/11	141 83	0 00	-20.32	Sale
							Note 26
09/15/14	32 000	243 02	VARIOUS	286 87	0 00	-43.85	Total of 2 lots
2 tax lots for 09/16/14 Total proceeds (and cost when required) reported to the IRS							
	13 000	97 70	04/18/11	113 13	0 00	-15.43	Sale
							Note 26
	14 000	105 21	04/18/11	121 94	0 00	-16.73	Sale
							Note 26
09/16/14	27 000	202 91	VARIOUS	235 07	0 00	-32.16	Total of 2 lots
3 tax lots for 09/29/14 Total proceeds (and cost when required) reported to the IRS							
	8 000	49 35	04/18/11	68 36	0 00	-19.01	Sale
	15 000	92 54	08/10/11	124 62	0 00	-32.08	Sale
	18 000	111 04	08/11/11	152 37	0 00	-41.33	Sale
09/29/14	41 000	252 93	VARIOUS	345 35	0.00	-92.42	Total of 3 lots
	Security total	930 36		1,184 54	0 00	-254.18	
CARDINAL HEALTH INC COMMON STOCK / CUSIP 14149Y108 / Symbol CAH							
2 tax lots for 02/05/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	130 84	10/09/12	81 91	0 00	48.93	Sale
	17 000	1,112 12	12/07/12	691 89	0 00	420.23	Sale
02/05/14	19 000	1,242 96	VARIOUS	773.80	0 00	469.16	Total of 2 lots
CARREFOUR SA SPON ADR / CUSIP 144430204 / Symbol CRRFY							
5 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS							
	58 000	424 19	06/17/11	456 21	0 00	-32.02	Sale
							Note 26
	10 000	73 13	07/12/11	71 32	0 00	1.81	Sale
							Note 26
	15 000	109 70	07/13/11	109 67	0 00	0.03	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CARREFOUR SA SPON ADR / CUSIP 144430204 / Symbol CRRFY (cont'd)							
	10 000	73 13	07/14/11	71 32	0 00	1 81	Sale
	15 000	109 70	07/15/11	106 80	0 00	2 90	Note 26 Sale
07/09/14	108 000	789 85	VARIOUS	815 32	0 00	-25 47	Note 26 Total of 5 lots
6 tax lots for 10/02/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	29 20	07/15/11	35 60	0 00	-6 40	Sale
	94 000	549 06	12/07/11	465 82	0 00	83 24	Sale
	71 000	414 71	01/10/12	309 12	0 00	105 59	Sale
	84 000	490 64	01/12/12	363 03	0 00	127 61	Sale
	124 000	724 28	12/19/12	636 96	0 00	87 32	Sale
	72 000	420 55	07/09/13	388 86	0 00	31 69	Sale
10/02/14	450 000	2,628 44	VARIOUS	2,199 39	0 00	429 05	Total of 6 lots
Security total		3,418 29		3,014 71	0 00	403 58	
CENTRAL GARDEN & PET CO NON VTG CL A / CUSIP 153527205 / Symbol CENTA							
7 tax lots for 06/30/14 Total proceeds (and cost when required) reported to the IRS							
	12 000	109 95	12/30/11	100 51	0 00	9 44	Sale
	5 000	45 81	01/04/12	43 28	0 00	2 53	Note 26 Sale
	5 000	45 81	01/05/12	42 93	0 00	2 88	Note 26 Sale
	5 000	45 81	01/06/12	42 48	0 00	3 33	Note 26 Sale
	10 000	91 62	01/09/12	85 64	0 00	5 98	Note 26 Sale
	32 000	293 21	12/20/12	330 23	0 00	-37.02	Note 26 Sale
	25 000	229 07	01/18/13	239 25	0 00	-10 18	Note 26 Sale
06/30/14	94 000	861 28	VARIOUS	884 32	0 00	-23 04	Note 26 Total of 7 lots
3 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS							
	35 000	326 90	11/23/11	281 63	0 00	45 27	Sale

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

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CENTRAL GARDEN & PET CO NON VTG CL A / CUSIP 153527205 / Symbol CENTA (cont'd)							
	15 000	140 10	11/25/11	119 30	0 00	20 80	Sale
	3 000	28 02	12/30/11	25 13	0 00	2 89	Sale
07/30/14	53 000	495 02	VARIOUS	426 06	0 00	68 96	Total of 3 lots
	Security total	1,356 30		1,310 38	0 00	45 92	
CINEMARK HOLDINGS INC / CUSIP 17243V102 / Symbol CNK							
07/23/14	9 000	298 56	08/05/11	163 26	0 00	135 30	Sale Note 26
CIRCOR INTL INC / CUSIP 17273K109 / Symbol CIR							
3 tax lots for 12/11/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	57 95	03/28/11	42 99	0 00	14 96	Sale Note 26
	5 000	289 81	03/30/11	232 77	0 00	57 04	Sale Note 26
	2 000	115 92	03/31/11	93 75	0 00	22 17	Sale Note 26
12/11/14	8 000	463 68	VARIOUS	369 51	0 00	94 17	Total of 3 lots
2 tax lots for 12/12/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	280 52	03/25/11	212 36	0 00	68 16	Sale
	4 000	224 41	03/28/11	171 98	0 00	52 43	Sale
12/12/14	9 000	504 93	VARIOUS	384 34	0 00	120 59	Total of 2 lots
	Security total	968 61		753 85	0 00	214 76	
CLARCOR INC COMMON STOCK / CUSIP 179895107 / Symbol CLC							
10/21/14	1 000	62 22	07/11/13	54 88	0 00	7 34	Sale Note 26
10/22/14	3 000	187 16	07/11/13	164 63	0 00	22 53	Sale Note 26
	Security total	249 38		219 51	0 00	29 87	
COACH INC / CUSIP 189754104 / Symbol COH							
2 tax lots for 05/13/14 Total proceeds (and cost when required) reported to the IRS							
	17 000	716 94	11/08/11	1,093 03	0 00	-376 09	Sale

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UBS.FINANCIAL SERVICES INC.		Account PW 21214	
2014 1099-B*		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	
		OMB No. 1545-0715	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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COACH INC / CUSIP 189754104 / Symbol COH (cont'd)							
	11 000	463 91	07/31/12	547 15	0 00	-83 24	Sale
05/13/14	28 000	1,180 85	VARIOUS	1,640 18	0 00	-459 33	Total of 2 lots
COMCAST CORP NEW CL A / CUSIP 20030N101 / Symbol CMCSA							
02/07/14	6 000	326 18	11/04/11	137 22	0 00	188 96	Sale Note 26
2 tax lots for 11/10/14 Total proceeds (and cost when required) reported to the IRS							
	19 000	1,003 77	11/04/11	434 54	0 00	569 23	Sale
	16 000	845 27	07/26/13	699 68	0 00	145 59	Sale
11/10/14	35 000	1,849 04	VARIOUS	1,134 22	0 00	714 82	Total of 2 lots
Security total		2,175 22		1,271 44	0 00	903 78	
COMPASS MINERALS INTL INC / CUSIP 20451N101 / Symbol CMP							
2 tax lots for 12/19/14 Total proceeds (and cost when required) reported to the IRS							
	7 000	606 69	02/24/12	515 26	0 00	91 43	Sale Note 26
	2 000	173 33	04/13/12	143 70	0 00	29 63	Sale Note 26
12/19/14	9 000	780 02	VARIOUS	658 96	0 00	121 06	Total of 2 lots
CONCHO RESOURCES INC / CUSIP 20605P101 / Symbol CXO							
2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	260 43	05/10/12	182 68	0 00	77 75	Sale Note 26
	1 000	130 21	05/14/12	92 91	0 00	37 30	Sale Note 26
04/15/14	3 000	390 64	VARIOUS	275 59	0 00	115 05	Total of 2 lots
04/30/14	1 000	129 58	05/10/12	91 33	0 00	38 25	Sale Note 26
2 tax lots for 07/16/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	143 12	09/07/11	88 67	0 00	54 45	Sale Note 26

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UBS FINANCIAL SERVICES INC.	Account PW 21214
2014 - 1099-B*	OMB No. 1545-0715
Proceeds from Broker and Barter Exchange Transactions (continued)	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

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1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
CONCHO RESOURCES INC / CUSIP 20605P101 / Symbol CXO (cont'd)								
	1 000	143 12		05/10/12	91 34	0 00	51 78	Sale
07/16/14	2 000	286 24		VARIOUS	180 01	0 00	106 23	Note 26 Total of 2 lots
Security total		806 46			546 93	0 00	259 53	
CONVERSANT INC **MERGER EFF 12/2014** / CUSIP 21249J105 / Symbol								
04/28/14	10 000	244 41		04/03/13	280 25	0 00	-35 84	Sale
COOPER COMPANIES INC NEW / CUSIP 216648402 / Symbol COO								
07/22/14	2.000	309.26		02/09/11	119 41	0 00	189 85	Sale Note 26
CREDIT SUISSE GROUP SPON ADR / CUSIP 225401108 / Symbol CS								
2 tax lots for 07/29/14 Total proceeds (and cost when required) reported to the IRS								
	101 000	2,814 95		02/06/13	2,890.57	0 00	-75 62	Sale
	12 000	334 45		07/09/13	328 56	0 00	5 89	Sale
07/29/14	113 000	3,149 40		VARIOUS	3,219 13	0 00	-69 73	Total of 2 lots
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP 228227104 / Symbol								
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	154 14		02/22/13	139 10	0 00	15 04	Sale
	3 000	231 21		04/05/13	209 90	0 00	21 31	Note 26 Sale
05/09/14	5 000	385 35		VARIOUS	349 00	0 00	36 35	Note 26 Total of 2 lots
2 tax lots for 10/09/14 Total proceeds (and cost when required) reported to the IRS								
	10 000	805 67		05/02/11	424 89	0 00	380 78	Sale
	6 000	483 41		02/22/13	477 30	0 00	66 11	Sale
10/09/14	16 000	1,289 08		VARIOUS	842 19	0 00	446 89	Total of 2 lots
Security total		1,674 43			1,191 19	0 00	483 24	

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
2014 1099-B*		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	
		OMB No. 1545-0715	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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CUMMINS INC / CUSIP 231021106 / Symbol CMI							
2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	138 21	02/01/12	107 20	0 00	31 01	Sale
	1 000	138 21	04/11/12	111 27	0 00	26 94	Note 26
01/10/14	2 000	276 42	VARIOUS	218 47	0 00	57 95	Sale
							Note 26
							Total of 2 lots
DANAHER CORP COMMON STOCK / CUSIP 235851102 / Symbol DHR							
2 tax lots for 11/03/14 Total proceeds (and cost when required) reported to the IRS							
	18 000	1,440 26	07/16/13	1,223 26	0 00	217 00	Sale
	14 000	1,120 21	10/16/13	966 68	0 00	153 53	Note 26
11/03/14	32 000	2,560 47	VARIOUS	2,189 94	0 00	370 53	Sale
							Note 26
							Total of 2 lots
DAWSON GEOPHYSICAL CO COMMON STOCK / CUSIP 239359102 / Symbol DWSN							
2 tax lots for 07/25/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	155 97	07/16/12	144 65	0 00	11 32	Sale
	7 000	181 97	01/28/13	188 54	0 00	-6 57	Note 26
07/25/14	13 000	337 94	VARIOUS	333 19	0 00	4 75	Sale
							Note 26
							Total of 2 lots
12/03/14	23 000	287 30	07/16/12	554 49	0 00	-267 19	Sale
Security total		625 24		887 68	0 00	-262 44	
DISCOVER FINANCIAL SERVICES / CUSIP 254709108 / Symbol DFS							
04/14/14	6 000	331 22	03/22/13	269 58	0 00	61 64	Sale
							Note 26
2 tax lots for 04/25/14 Total proceeds (and cost when required) reported to the IRS							
	11 000	609 75	03/22/13	494 23	0 00	115 52	Sale
	7 000	388 03	03/27/13	314 26	0 00	73 77	Sale
04/25/14	18 000	997 78	VARIOUS	808 49	0 00	189 29	Total of 2 lots
Security total		1,329 00		1,078 07	0 00	250 93	

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DOLLAR GEN CORP NEW / CUSIP 256677105 / Symbol DG							
2 tax lots for 02/05/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	112 19	10/17/12	96 77	0 00	15 42	Sale
	3 000	168 28	11/02/12	144 72	0 00	23 56	Sale
02/05/14	5 000	280 47	VARIOUS	241 49	0 00	38 98	Note 26 Total of 2 lots
DOW CHEMICAL COMMON STOCK / CUSIP 260543103 / Symbol DOW							
01/06/14	10 000	435 00	12/20/12	321 80	0 00	113 20	Sale
EAST JAPAN RAILWAY CO ADR / CUSIP 273202101 / Symbol EJPRY							
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	12 63	07/16/13	14 01	0 00	-1 38	Sale
	25 000	316 04	10/16/13	360 66	0 00	-44 62	Note 26 Sale
12/18/14	26 000	328 67	VARIOUS	374 67	0 00	-46 00	Note 26 Total of 2 lots
EDISON INTL / CUSIP 281020107 / Symbol EIX							
01/24/14	30 000	1,425 62	07/31/12	1,387 76	0 00	37 86	Sale
EINSTEIN NOAH RESTAURANT GROUP INC **MERGER EFF 11/14** / CUSIP 28257U104 / Symbol							
09/29/14	36 000	725 57	08/09/13	575 14	0 00	150 43	Sale
10/17/14	29 000	585.28	08/09/13	463 30	0 00	121 98	Note 26 Sale
	Security total	1,310 85		1,038 44	0 00	272 41	
ELIZABETH ARDEN INC / CUSIP 28660G106 / Symbol RDEN							
3 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS							
	13 000	392 15	02/14/13	499 99	0 00	-107 84	Sale
	13 000	392 15	02/14/13	499 99	0 00	-107 84	Sale
	2 000	60 33	02/15/13	76 95	0 00	-16 62	Sale
03/20/14	28.000	844 63	VARIOUS	1,076 93	0 00	-232 30	Total of 3 lots

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		OMB No. 1545-0715
2014	1099-B*	(continued)

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EMERGENT BIOSOLUTIONS INC / CUSIP 29089Q105 / Symbol. EBS							
2 tax lots for 11/07/14 Total proceeds (and cost when required) reported to the IRS							
	12 000	289 64	06/11/13	179 41	0 00	110 23	Sale
	38 000	917 21	07/10/13	611 10	0 00	306 11	Note 26
11/07/14	50 000	1,206 85	VARIOUS	790 51	0 00	416 34	Sale
							Note 26
							Total of 2 lots
2 tax lots for 12/12/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	260 09	06/11/13	149 50	0 00	110 59	Sale
	11 000	286 10	06/11/13	164 46	0 00	121 64	Note 26
12/12/14	21 000	546 19	VARIOUS	313 96	0 00	232 23	Sale
							Note 26
							Total of 2 lots
Security total		1,753 04		1,104 47	0 00	648 57	
EPIZYME INC / CUSIP 29428V104 / Symbol EPZM							
09/10/14	9 000	247 05	05/31/13	196 35	0 00	50 70	Sale
ESTERLINE TECHNOLOGIES CORP COMMON STOCK / CUSIP 297425100 / Symbol ESL							
11/06/14	3 000	346 74	07/10/12	185 43	0 00	161 31	Sale
							Note 26
2 tax lots for 11/28/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	239 38	07/10/12	123 62	0 00	115 76	Sale
	3 000	359 07	07/18/12	178 52	0 00	180 55	Note 26
11/28/14	5 000	598 45	VARIOUS	302.14	0 00	296 31	Sale
							Note 26
							Total of 2 lots
Security total		945 19		487 57	0 00	457 62	
EVERCORE PARTNERS INC CL A / CUSIP 29977A105 / Symbol EVR							
10/16/14	8 000	364 28	03/29/12	236 23	0 00	128 05	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FMC TECHNOLOGIES INC / CUSIP 30249U101 / Symbol FTI							
2 tax lots for 03/12/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	205 37	06/05/12	158 09	0 00	47 28	Sale
	8 000	410 73	07/19/12	338 54	0 00	72 19	Sale
03/12/14	12.000	616 10	VARIOUS	496 63	0 00	119 47	Total of 2 lots
FACEBOOK INC CL A / CUSIP 30303M102 / Symbol FB							
01/27/14	3 000	159 08	12/18/12	82 74	0 00	76 34	Sale
							Note 26
04/15/14	3 000	173 80	12/18/12	82 74	0 00	91 06	Sale
							Note 26
10/28/14	5 000	402 97	12/18/12	137 90	0 00	265 07	Sale
							Note 26
Security total		735 85		303 38	0 00	432 47	
FINISAR CORP COM NEW / CUSIP 31787A507 / Symbol FNSR							
5 tax lots for 03/05/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	96 04	04/06/11	104 41	0 00	-8 37	Sale
	9 000	216 08	11/10/11	173 01	0 00	43 07	Sale
	12.000	288 11	12/23/11	201 74	0 00	86 37	Sale
	12 000	288 11	03/09/12	228 67	0 00	59 44	Sale
	9 000	216 08	07/26/12	110 70	0 00	105 38	Sale
03/05/14	46 000	1,104 42	VARIOUS	818 53	0 00	285 89	Total of 5 lots
H B FULLER CO COMMON STOCK / CUSIP 359694106 / Symbol FUL							
3 tax lots for 12/30/14 Total proceeds (and cost when required) reported to the IRS							
	2.000	90 78	04/19/12	64 58	0 00	26 20	Sale
							Note 26
	3 000	136 17	05/10/13	116 99	0 00	19 18	Sale
							Note 26
	6 000	272 35	07/16/13	244 32	0 00	28 03	Sale
							Note 26
12/30/14	11 000	499 30	VARIOUS	425 89	0 00	73 41	Total of 3 lots

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*		OMB No 1545-0715
(continued)		

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GENL DYNAMICS CORP COMMON STOCK / CUSIP 369550108 / Symbol GD								
01/21/14	9 000	859 76		07/31/12	572 42	0 00	287 34	Sale
								Note 26
02/04/14	5 000	499 43		07/31/12	318 01	0 00	181 42	Sale
								Note 26
06/17/14	5 000	594 72		07/31/12	318 01	0 00	276 71	Sale
								Note 26
Security total		1,953 91			1,208 44	0 00	745 47	
GILEAD SCIENCES INC COMMON STOCK / CUSIP 375558103 / Symbol GILD								
03/06/14	3 000	237 80		02/07/13	119 10	0 00	118 70	Sale
								Note 26
05/27/14	5 000	410 62		03/18/13	224 98	0 00	185 64	Sale
								Note 26
08/22/14	1 000	104 64		07/09/13	54 78	0 00	49 86	Sale
								Note 26
09/18/14	3 000	314 18		07/09/13	164 32	0 00	149 86	Sale
								Note 26
3 tax lots for 10/23/14 Total proceeds (and cost when required) reported to the IRS								
	12 000	1,290 53		11/21/11	215 53	0 00	1,075 00	Sale
								Note 26
	4 000	430 18		02/07/13	158 81	0 00	271 37	Sale
								Note 26
	4 000	430 18		03/18/13	179 99	0 00	250 19	Sale
								Note 26
10/23/14	20 000	2,150 89		VARIOUS	554 33	0 00	1,596 56	Total of 3 lots
10/24/14	5 000	553 15		11/21/11	89 80	0 00	463 35	Sale
								Note 26
10/31/14	5 000	566 85		11/21/11	89 80	0 00	477 05	Sale
								Note 26
12/17/14	5 000	508 22		11/21/11	89 80	0 00	418 42	Sale
								Note 26
Security total		4,846 35			1,386 91	0 00	3,459 44	
GOLUB CAPITAL BDC INC / CUSIP 38173M102 / Symbol GBDC								
10/17/14	11 000	182 43		05/10/13	192 60	0 00	-10 17	Sale
								Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

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GREATBATCH INC / CUSIP 39153L106 / Symbol GB							
2 tax lots for 06/27/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	436 88	08/10/11	187 20	0 00	249 68	Sale
							Note 26
	10 000	485 42	06/04/12	206 60	0 00	278 82	Sale
							Note 26
06/27/14	19 000	922.30	VARIOUS	393 80	0 00	528 50	Total of 2 lots
12/11/14	1 000	48 76	09/24/13	34 67	0 00	14 09	Sale
							Note 26
Security total		971 06		428 47	0 00	542 59	
GUIDEWIRE SOFTWARE INC / CUSIP 40171V100 / Symbol GWRE							
2 tax lots for 11/04/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	504 72	12/14/12	307 51	0 00	197 21	Sale
							Note 26
	3 000	151 41	10/23/13	141 39	0 00	10 02	Sale
							Note 26
11/04/14	13.000	656 13	VARIOUS	448 90	0 00	207 23	Total of 2 lots
2 tax lots for 11/06/14 Total proceeds (and cost when required) reported to the IRS							
	12 000	607 41	07/26/12	302 83	0 00	304 58	Sale
	2 000	101 23	12/14/12	61 50	0 00	39 73	Sale
11/06/14	14 000	708 64	VARIOUS	364 33	0 00	344 31	Total of 2 lots
Security total		1,364 77		813 23	0 00	551 54	
HAIN CELESTIAL GROUP INC / CUSIP 405217100 / Symbol HAIN							
10/27/14	3 000	307 28	09/04/13	240 19	0 00	67 09	Sale
							Note 26
HALLIBURTON CO (HOLDING COMPANY) / CUSIP 406216101 / Symbol HAL							
12/10/14	3 000	114 61	07/26/13	137 94	0 00	-23 33	Sale
							Note 26
HEIDELBERGCEMENT AG UNSPONSERED ADR / CUSIP 42281P205 / Symbol HDELY							
02/03/14	40 000	583 03	07/01/11	519 34	0 00	63 69	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

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HEIDELBERGCEMENT AG UNSPONSORED ADR / CUSIP 42281P205 / Symbol HDELY (cont'd)								
03/24/14	28 000		459 83	07/01/11	363 54	0 00	96 29	Sale
								Note 26
Security total			1,042 86		882 88	0 00	159 98	
HEINEKEN NV SPON ADR / CUSIP 423012301 / Symbol HEINY								
7 tax lots for 01/21/14 Total proceeds (and cost when required) reported to the IRS								
	11 000		356 66	05/17/11	330 34	0 00	26 32	Sale
	8 000		259 39	09/26/11	179 01	0 00	80 38	Sale
	10 000		324 23	12/07/11	236 60	0 00	87 63	Sale
	9 000		291 82	12/08/11	213 81	0 00	78 01	Sale
	12 000		389 08	01/10/12	283 99	0 00	105 09	Sale
	7 000		226 96	08/22/12	191 95	0 00	35 01	Sale
	19 000		616 05	12/19/12	629 54	0 00	-13 49	Sale
01/21/14	76 000		2,464 19	VARIOUS	2,065 24	0 00	398 95	Total of 7 lots
HERTZ GLOBAL HOLDINGS INC / CUSIP 42805T105 / Symbol HTZ								
03/12/14	16 000		417 50	12/24/12	262 32	0 00	155 18	Sale
								Note 26
2 tax lots for 06/17/14 Total proceeds (and cost when required) reported to the IRS								
	17 000		455 39	02/09/11	271 67	0 00	183 72	Sale
								Note 26
	6 000		160 72	12/24/12	98 37	0 00	62 35	Sale
								Note 26
06/17/14	23 000		616 11	VARIOUS	370 04	0 00	246 07	Total of 2 lots
Security total			1,033 61		632 36	0 00	401 25	
HESS CORP / CUSIP 42809H107 / Symbol HES								
2 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS								
	12 000		1,036 22	10/25/11	718 64	0 00	317 58	Sale
	7 000		604 47	02/01/13	479 82	0 00	124 65	Sale
04/16/14	19 000		1,640 69	VARIOUS	1,198 46	0 00	442 23	Total of 2 lots
HILLENBRAND INC / CUSIP 431571108 / Symbol HI								
11/06/14	8 000		268 70	09/06/13	203 17	0.00	65 53	Sale
								Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		OMB No 1545-0715
2014	1099-B*	(continued)

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
HOSPIRA INC / CUSIP 441060100 / Symbol HSP							
2 tax lots for 06/12/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	152 94	05/01/13	97 40	0 00	55 54	Sale
	11 000	560 79	05/08/13	365 87	0 00	194.92	Note 26 Sale
06/12/14	14 000	713 73	VARIOUS	463 27	0 00	250 46	Note 26 Total of 2 lots
07/23/14	10 000	506 83	05/01/13	324 66	0 00	182 17	Sale
09/03/14	8 000	430 58	07/26/13	319 28	0 00	111 30	Note 26 Sale
3 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	60 19	02/21/13	29 68	0 00	30 51	Sale
	4 000	240 79	05/01/13	129 86	0 00	110 93	Note 26 Sale
	2 000	120 39	07/26/13	79 82	0 00	40 57	Note 26 Sale
12/17/14	7 000	421 37	VARIOUS	239 36	0 00	182 01	Note 26 Total of 3 lots
Security total		2,072 51		1,346 57	0 00	725 94	
HUB GROUP INC CL A / CUSIP 443320106 / Symbol HUBG							
2 tax lots for 10/16/14 Total proceeds (and cost when required) reported to the IRS							
	7 000	256 15	09/19/12	214 12	0 00	42 03	Sale
	6 000	219 56	04/03/13	227 85	0 00	-8 29	Note 26 Sale
10/16/14	13 000	475 71	VARIOUS	441 97	0 00	33 74	Note 26 Total of 2 lots
IGNITE RESTAURANT GROUP INC / CUSIP 451730105 / Symbol IRG							
3 tax lots for 05/02/14 Total proceeds (and cost when required) reported to the IRS							
	30 000	458 05	09/14/12	447 45	0 00	10 60	Sale
	18 000	274 83	09/28/12	249 82	0 00	25 01	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
IGNITE RESTAURANT GROUP INC / CUSIP 451730105 / Symbol IRG (cont'd)								
	16 000	244 29	11/13/12	192 48	0 00	51 81	Sale	
05/02/14	64.000	977 17	VARIOUS	889 75	0 00	87 42	Total of 3 lots	
ILLINOIS TOOL WORKS INC COMMON STOCK / CUSIP 452308109 / Symbol ITW								
05/16/14	8 000	684 26	07/31/12	436 06	0 00	248 20	Sale Note 26	
2 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	272 98	07/26/13	215 91	0 00	57 07	Sale Note 26	
	5 000	454 97	09/04/13	363 94	0 00	91 03	Sale Note 26	
10/31/14	8 000	727 95	VARIOUS	579.85	0 00	148 10	Total of 2 lots	
12/17/14	17 000	1,575 13	07/26/13	1,223 49	0 00	351 64	Sale	
Security total		2,987 34		2,239 40	0 00	747 94		
IMPAX LABORATORIES INC / CUSIP 45256B101 / Symbol IPXL								
04/09/14	15 000	372 55	03/11/13	249 69	0 00	122 86	Sale Note 26	
INFINEON TECHNOLOGIES ADR / CUSIP 45662N103 / Symbol IFNNY								
6 tax lots for 01/24/14 Total proceeds (and cost when required) reported to the IRS								
	20 000	200 24	09/14/11	175 36	0 00	24 88	Sale	
	75 000	750 90	09/15/11	660 13	0 00	90 77	Sale	
	42 000	420 51	10/14/11	356 82	0 00	63 69	Sale	
	48 000	480 58	12/07/11	392 95	0 00	87 63	Sale	
	31 000	310 37	01/10/12	251 92	0 00	58 45	Sale	
	61 000	610 73	12/19/12	505 09	0 00	105 64	Sale	
01/24/14	277 000	2,773 33	VARIOUS	2,342 27	0 00	431 06	Total of 6 lots	
INTL PAPER CO COMMON STOCK / CUSIP 460146103 / Symbol IP								
07/24/14	5 000	251 59	04/26/12	166 16	0 00	85 43	Sale Note 26	
2 tax lots for 11/03/14 Total proceeds (and cost when required) reported to the IRS								
	22 000	1,114 95	04/26/12	731 10	0 00	383 85	Sale	

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INTL PAPER CO COMMON STOCK / CUSIP 460146103 / Symbol IP (cont'd)							
	10 000	506 79	07/31/12	324 24	0 00	182 55	Sale
11/03/14	32 000	1,621 74	VARIOUS	1,055 34	0 00	566 40	Total of 2 lots
Security total		1,873 33		1,221 50	0 00	651 83	
INTUITIVE SURGICAL INC NEW / CUSIP 46120E602 / Symbol ISRG							
07/23/14	1 000	442 60	09/20/12	503 87	0 00	-61 27	Sale Note 26
INVENSENSE INC / CUSIP 46123D205 / Symbol INVN							
07/22/14	6 000	150 83	01/22/13	78 11	0 00	72 72	Sale Note 26
07/29/14	15 000	361 11	01/22/13	195 27	0 00	165 84	Sale Note 26
Security total		511 94		273 38	0 00	238 56	
ISHARES MSCI PACIFIC EX JAPAN ETF / CUSIP 464286655 / Symbol EPP							
11/21/14	39 000	1,845 87	06/20/13	1,685 48	0 00	160 39	Sale
ISHARES MSCI JAPAN ETF / CUSIP 464286848 / Symbol EWJ							
2 tax lots for 11/21/14 Total proceeds (and cost when required) reported to the IRS							
	92 000	1,070 87	06/20/13	998 87	0 00	72 00	Sale
	161 000	1,874 02	06/20/13	1,748 03	0 00	125 99	Sale
11/21/14	253 000	2,944 89	VARIOUS	2,746 90	0 00	197 99	Total of 2 lots
ISHARES 20+ YEAR TREASURY BOND ETF / CUSIP 464287432 / Symbol TLT							
10/17/14	101 000	12,227 43	09/19/13	10,542.58	0 00	1,684 85	Sale Note 26
JABIL CIRCUIT INC COMMON STOCK / CUSIP 466313103 / Symbol JBL							
12/17/14	17 000	337 48	10/29/13	375 65	0 00	-38 17	Sale Note 26
KDDI CORP ADR / CUSIP 48667L106 / Symbol KDDIY							
12/18/14	12,000	196 71	07/09/13	154 08	0 00	42 63	Sale Note 26

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UBS FINANCIAL SERVICES INC.	Account PW 21214
2014 1099-B*	Proceeds from Broker and Barter Exchange Transactions
	(continued)
	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
KELLOGG CO COMMON STOCK / CUSIP 487836108 / Symbol K								
3 tax lots for 07/31/14 Total proceeds (and cost when required) reported to the IRS								
	1 000	60 26	05/21/13	65 27	0 00	-5 01	Sale	
	8 000	482 11	06/07/13	504 22	0 00	-22 11	Sale	
	8 000	482 11	06/21/13	504 77	0 00	-22 66	Sale	
07/31/14	17 000	1,024 48	VARIOUS	1,074 26	0 00	-49 78	Total of 3 lots	
KODIAK OIL & GAS CORP **MERGER EFF 12/2014** / CUSIP 50015Q100 / Symbol								
2 tax lots for 07/16/14 Total proceeds (and cost when required) reported to the IRS								
	50 000	754 26	12/08/11	429 48	0 00	324 78	Sale	
	28 000	422 39	12/31/12	240 86	0 00	181 53	Note 26 Sale	
07/16/14	78 000	1,176 65	VARIOUS	670 34	0 00	506 31	Note 26 Total of 2 lots	
3 tax lots for 10/09/14 Total proceeds (and cost when required) reported to the IRS								
	28 000	319 78	12/08/11	240 51	0 00	79 27	Sale	
	31 000	354 05	12/14/11	254 73	0 00	99 32	Sale	
	25 000	285 52	05/10/13	206 58	0 00	78 94	Sale	
10/09/14	84 000	959 35	VARIOUS	701 82	0 00	257 53	Total of 3 lots	
Security total		2,136 00		1,372 16	0 00	763 84		
LMI AEROSPACE INC / CUSIP 502079106 / Symbol LMIA								
3 tax lots for 10/29/14 Total proceeds (and cost when required) reported to the IRS								
	5 000	65 11	02/10/12	97 43	0 00	-32 32	Sale	
	31 000	403 68	03/01/12	649 79	0 00	-246 11	Sale	
	14 000	182 31	01/29/13	312 09	0 00	-129 78	Sale	
10/29/14	50 000	651 10	VARIOUS	1,059 31	0 00	-408 21	Total of 3 lots	
LASALLE HOTEL PROPERTIES SBI / CUSIP 517942108 / Symbol LHO								
2 tax lots for 11/21/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	78 18	11/03/11	49 33	0 00	28 85	Sale	
							Note 26	

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LASALLE HOTEL PROPERTIES SBI / CUSIP 517942108 / Symbol: LHO (cont'd)							
	7 000	273 64	05/10/13	185 82	0 00	87 82	Sale
11/21/14	9 000	351 82	VARIOUS	235.15	0 00	116 67	Note 26 Total of 2 lots
LAUDER ESTEE COS CL A / CUSIP 518439104 / Symbol: EL							
05/02/14	3 000	224 80	05/02/11	144 89	0 00	79 91	Sale
05/09/14	2 000	146 45	05/02/11	96 60	0 00	49 85	Note 26 Sale
Security total		371.25		241 49	0 00	129 76	Note 26
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP 550021109 / Symbol: LULU							
2 tax lots for 01/13/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	100 51	06/07/12	128 34	0 00	-27 83	Sale
	4 000	201 03	07/02/12	230 29	0 00	-29 26	Sale
01/13/14	6 000	301 54	VARIOUS	358 63	0 00	-57 09	Total of 2 lots
MASTEC INC / CUSIP 576323109 / Symbol: MTZ							
2 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	131 01	12/31/12	124 35	0 00	6 66	Sale
	4 000	104 80	09/18/13	124 62	0 00	-19 82	Note 26 Sale
10/17/14	9 000	235 81	VARIOUS	248 97	0 00	-13 16	Note 26 Total of 2 lots
12/16/14	9 000	170 93	02/09/11	139 02	0 00	31 91	Sale
Security total		406 74		387 99	0 00	18 75	
MASTERCARD INC CL A / CUSIP 57636Q104 / Symbol: MA							
2 tax lots for 08/19/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	76 40	06/14/12	41 56	0 00	34 84	Sale
	10 000	764 06	05/14/13	568 03	0 00	196 03	Note 26 Sale
08/19/14	11 000	840 46	VARIOUS	609 59	0 00	230 87	Note 26 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MASTERCARD INC CL A / CUSIP 57636Q104 / Symbol MA (cont'd)							
10/10/14	9 000	639 94	06/14/12	373 99	0 00	265 95	Sale
	Security total	1,480 40		983 58	0 00	496 82	
MICRON TECHNOLOGY INC COMMON STOCK / CUSIP 595112103 / Symbol MU							
2 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS							
	16 000	388 54	10/24/12	83 35	0 00	305 19	Sale
	3 000	72 84	11/20/12	16 52	0 00	56 32	Note 26
02/07/14	19 000	461 38	VARIOUS	99 87	0 00	361 51	Sale
12/17/14	23 000	765 43	07/26/13	289 57	0 00	475 86	Note 26
	Security total	1,226 81		389 44	0 00	837 37	
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR / CUSIP 606822104 / Symbol MTU							
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	33 000	182 81	12/19/12	169 72	0 00	13 09	Sale
	23 000	127 41	11/12/13	145 36	0 00	-17 95	Note 26
12/18/14	56 000	310 22	VARIOUS	315 08	0 00	-4 86	Sale
							Note 26
							Total of 2 lots
MOHAWK INDUSTRIES INC COMMON STOCK / CUSIP 608190104 / Symbol MHK							
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	135 29	01/24/13	100 32	0 00	34 97	Sale
	1 000	135 29	02/22/13	105 40	0 00	29 89	Note 26
05/09/14	2 000	270 58	VARIOUS	205 72	0 00	64 86	Sale
06/10/14	6 000	814 74	01/24/13	601 92	0 00	212 82	Note 26
	Security total	1,085 32		807 64	0 00	277 68	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

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MONDELEZ INTL INC / CUSIP 609207105 / Symbol MDLZ								
3 tax lots for 03/12/14 Total proceeds (and cost when required) reported to the IRS								
	1 000	34 83		03/24/11	20 35	0 00	14 48	Sale
								Note 26
	3 000	104 50		03/29/11	60 87	0 00	43 63	Sale
								Note 26
	34 000	1,184 42		03/04/13	953 84	0 00	230 58	Sale
								Note 26
03/12/14	38 000	1,323 75		VARIOUS	1,035 06	0 00	288 69	Total of 3 lots
4 tax lots for 06/17/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	111 69		03/21/11	60 20	0 00	51 49	Sale
								Note 26
	15 000	558 50		03/22/11	302 60	0 00	255 90	Sale
								Note 26
	10 000	372 33		03/23/11	201 76	0 00	170 57	Sale
								Note 26
	22 000	819 12		03/29/11	446 36	0 00	372 76	Sale
								Note 26
06/17/14	50 000	1,861 64		VARIOUS	1,010 92	0 00	850 72	Total of 4 lots
	Security total	3,185 39			2,045 98	0 00	1,139 41	
MONSTER BEVERAGE CORP COM / CUSIP 611740101 / Symbol MNST								
2 tax lots for 05/12/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	210 62		06/19/12	228 33	0 00	-17 71	Sale
								Note 26
	1 000	70 20		08/16/12	60 01	0 00	10 19	Sale
								Note 26
05/12/14	4 000	280 82		VARIOUS	288 34	0 00	-7 52	Total of 2 lots
2 tax lots for 06/05/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	204 18		08/10/12	167 64	0 00	36 54	Sale
								Note 26
	3 000	204 18		08/16/12	180 01	0 00	24 17	Sale
								Note 26
06/05/14	6 000	408.36		VARIOUS	347 65	0 00	60 71	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

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MONSTER BEVERAGE CORP COM / CUSIP 611740101 / Symbol MNST (cont'd)							
2 tax lots for 08/25/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	346 15	09/21/12	217 92	0 00	128 23	Sale
	5 000	432 69	11/27/12	234 42	0 00	198 27	Sale
08/25/14	9 000	778 84	VARIOUS	452 34	0 00	326 50	Total of 2 lots
Security total		1,468 02		1,088 33	0 00	379 69	
MORGAN STANLEY NEW / CUSIP 617446448 / Symbol MS							
2 tax lots for 10/08/14 Total proceeds (and cost when required) reported to the IRS							
	39 000	1,328 45	09/07/11	631 71	0 00	696 74	Sale
	25 000	851 57	07/02/12	369 32	0 00	482 25	Note 26
10/08/14	64 000	2,180 02	VARIOUS	1,001 03	0 00	1,178 99	Note 26 Total of 2 lots
MOVADO GROUP INC / CUSIP 624580106 / Symbol MOV							
08/21/14	9 000	386 49	03/21/13	298 92	0 00	87 57	Sale Note 26
NII HOLDINGS INC CL B / CUSIP 62913F201 / Symbol NIHDQ							
10 tax lots for 08/12/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	0 90	02/13/12	114 41	0 00	-113 51	Sale
	10 000	1 81	02/14/12	231 05	0 00	-229 24	Sale
	42 000	7 57	02/23/12	889 13	0 00	-881 56	Sale
	27 000	4 87	06/20/12	297 87	0 00	-293 00	Sale
	24 000	4 33	07/02/12	247 45	0 00	-243 12	Sale
	86 000	15 51	07/31/12	582 49	0 00	-566 98	Sale
	101 000	18 22	09/12/12	664 25	0 00	-646 03	Sale
	84 000	15 15	02/28/13	415 59	0 00	-400 44	Sale
	77 000	13 89	03/04/13	386 71	0 00	-372 82	Sale
	97 000	17 50	07/26/13	723 51	0 00	-706 01	Sale
08/12/14	553 000	99 75	VARIOUS	4,552 46	0 00	-4,452 71	Total of 10 lots
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZERLAND ADR / CUSIP 641069406 / Symbol NSRGY							
12/18/14	9 000	656 99	12/19/12	594 99	0 00	62 00	Sale Note 26

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2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

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NETAPP INC / CUSIP 64110D104 / Symbol NTAP							
2 tax lots for 08/29/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	41 98	01/24/12	37 75	0 00	4 23	Sale
	6 000	251 93	09/04/12	204 58	0 00	47 35	Note 26 Sale
08/29/14	7 000	293 91	VARIOUS	242 33	0 00	51 58	Note 26 Total of 2 lots
2 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	243 96	09/04/12	204 57	0 00	39 39	Sale
	7 000	284 62	12/04/13	284 08	0 00	0 54	Note 26 Sale
12/17/14	13 000	528 58	VARIOUS	488 65	0 00	39 93	Note 26 Total of 2 lots
Security total		822 49		730 98	0 00	91 51	
NORDSON CORP COMMON STOCK / CUSIP 655663102 / Symbol NDSN							
2 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	210 07	12/21/11	122 71	0 00	87 36	Sale
	1 000	70 02	12/22/11	41 03	0 00	28 99	Note 26 Sale
10/17/14	4 000	280 09	VARIOUS	163 74	0 00	116 35	Note 26 Total of 2 lots
10/21/14	12 000	865 01	12/21/11	490 86	0 00	374 15	Sale
Security total		1,145 10		654 60	0 00	490 50	
NORFOLK SOUTHERN CORP / CUSIP 655844108 / Symbol NSC							
02/03/14	13 000	1,167 59	02/24/12	909 75	0 00	257 84	Sale
02/14/14	18 000	1,667 57	02/01/13	1,250 41	0 00	417 16	Note 26 Sale
2 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	535 20	10/11/12	331 24	0 00	203 96	Sale
	4 000	428 16	02/01/13	277 87	0 00	150 29	Sale
07/23/14	9 000	963 36	VARIOUS	609 11	0 00	354 25	Total of 2 lots

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

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Security total		3,798 52		2,769 27	0 00	1,029 25	
NOVARTIS AG SPON ADR / CUSIP: 66987V109 / Symbol NVS							
08/26/14	4 000	359 55	07/09/13	284 64	0 00	74 91	Sale Note 26
12/18/14	7 000	660 08	07/09/13	498 12	0 00	161 96	Sale Note 26
Security total		1,019 63		782 76	0 00	236 87	
ON SEMICONDUCTOR CORP / CUSIP 682189105 / Symbol ONNN							
2 tax lots for 07/29/14 Total proceeds (and cost when required) reported to the IRS							
	64 000	557 79	09/28/12	394 40	0 00	163 39	Sale Note 26
	5 000	43 57	02/14/13	43 20	0 00	0 37	Sale Note 26
07/29/14	69 000	601 36	VARIOUS	437 60	0 00	163 76	Total of 2 lots
PG & E CORP (HOLDING COMPANY) / CUSIP 69331C108 / Symbol PCG							
01/23/14	36 000	1,485.28	07/31/12	1,665 57	0 00	-180 29	Sale
PANASONIC CORP SPON ADR / CUSIP 69832A205 / Symbol PCRFY							
2 tax lots for 11/25/14 Total proceeds (and cost when required) reported to the IRS							
	35 000	440 81	08/02/13	325 05	0 00	115 76	Sale Note 26
	19 000	239 29	11/07/13	196 60	0 00	42 69	Sale Note 26
11/25/14	54 000	680 10	VARIOUS	521 65	0 00	158 45	Total of 2 lots
12/18/14	23 000	278 34	08/02/13	213 60	0 00	64 74	Sale Note 26
Security total		958 44		735 25	0 00	223 19	
PENNANTPARK INVESTMENT CORP / CUSIP 708062104 / Symbol PNNT							
2 tax lots for 10/20/14 Total proceeds (and cost when required) reported to the IRS							
	27 000	286 98	03/27/12	286 43	0 00	0 55	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PENNANTPARK INVESTMENT CORP / CUSIP 708062104 / Symbol PNNT (cont'd)							
	10 000	106 28	05/10/13	110 63	0 00	-4 35	Sale
10/20/14	37 000	393 26	VARIOUS	397 06	0 00	-3 80	Note 26 Total of 2 lots
PENNANT PARK FLOATING RATE CA / CUSIP 70806A106 / Symbol PFLT							
10/16/14	13 000	180 28	05/31/13	188 50	0 00	-8 22	Sale Note 26
PENNEY J C CO INC (HLDG CO) / CUSIP 708160106 / Symbol JCP							
12/08/14	141 000	889 19	07/26/13	2,325 09	0 00	-1,435 90	Sale
PEUGEOT SA UNSPONSORED ADR / CUSIP 716830104 / Symbol PUGOY							
2 tax lots for 11/06/14 Total proceeds (and cost when required) reported to the IRS							
	115 000	1,324 23	08/19/13	1,727 18	0 00	-402 95	Sale
	33 000	379 99	10/17/13	487 24	0 00	-107 25	Sale
11/06/14	148 000	1,704 22	VARIOUS	2,214 42	0 00	-510 20	Total of 2 lots
PRICELINE GROUP INC NEW / CUSIP 741503403 / Symbol PCLN							
02/18/14	1 000	1,303 70	10/15/12	595 56	0 00	708 14	Sale Note 26
PRUDENTIAL PLC ADR UNITED KINGDOM ADR / CUSIP 74435K204 / Symbol PUK							
12/18/14	5 000	235 46	07/09/13	166 20	0 00	69 26	Sale Note 26
QUALCOMM INC COMMON STOCK / CUSIP 747525103 / Symbol QCOM							
2 tax lots for 02/18/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	454 86	06/09/11	335 75	0 00	119 11	Sale Note 26
	5 000	379 05	06/29/12	277 56	0 00	101 49	Sale Note 26
02/18/14	11 000	833 91	VARIOUS	613 31	0 00	220 60	Total of 2 lots
3 tax lots for 05/22/14 Total proceeds (and cost when required) reported to the IRS							
	10 000	797 92	04/19/11	533 10	0 00	264 82	Sale

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
Proceeds from Broker and Barter Exchange Transactions			
2014 1099-B*	(continued)	OMB No 1545-0715	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
QUALCOMM INC COMMON STOCK / CUSIP 747525103 / Symbol QCOM (cont'd)							
	1 000	79 79	06/29/12	55 51	0 00	24 28	Sale
	7 000	558 54	04/25/13	435 16	0 00	123 38	Sale
05/22/14	18 000	1,436 25	VARIOUS	1,023 77	0 00	412 48	Total of 3 lots
	Security total	2,270 16		1,637 08	0 00	633 08	
QUALYS INC / CUSIP 74758T303 / Symbol QLYS							
01/17/14	33 000	944 57	11/05/12	435 08	0 00	509 49	Sale Note 26
	2 tax lots for 10/20/14 Total proceeds (and cost when required) reported to the IRS						
	1 000	27 90	11/05/12	13 18	0 00	14 72	Sale
	29 000	809 01	02/12/13	330 36	0 00	478 65	Sale
10/20/14	30 000	836 91	VARIOUS	343 54	0 00	493 37	Total of 2 lots
	Security total	1,781 48		778 62	0 00	1,002 86	
RALPH LAUREN CORP CL A / CUSIP 751212101 / Symbol RL							
06/26/14	3 000	469 62	07/31/12	433 72	0 00	35 90	Sale Note 26
REACHLOCAL INC / CUSIP 75525F104 / Symbol RLOC							
	2 tax lots for 05/08/14 Total proceeds (and cost when required) reported to the IRS						
	50 000	343 23	04/13/11	848 75	0 00	-505 52	Sale
	16 000	109 83	10/19/11	161 75	0 00	-51 92	Sale
05/08/14	66 000	453 06	VARIOUS	1,010 50	0 00	-557 44	Total of 2 lots
RIO TINTO PLC SPON ADR / CUSIP 767204100 / Symbol RIO							
07/08/14	9 000	505 39	12/19/12	514 43	0 00	-9 04	Sale Note 26
12/18/14	6 000	263 97	04/25/12	337 77	0 00	-73 80	Sale Note 26
	Security total	769 36		852 20	0 00	-82 84	
RYANAIR HOLDINGS PLC SPON ADR / CUSIP 783513104 / Symbol RYAA							
	2 tax lots for 07/10/14 Total proceeds (and cost when required) reported to the IRS						
	5 000	260 81	12/19/12	176 81	0 00	84 00	Sale Note 26

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UBS FINANCIAL SERVICES INC..	Proceeds from Broker and Barter Exchange Transactions	Account PW 21214
2014 1099-B*	(continued)	OMB No 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
RYANAIR HOLDINGS PLC SPON ADR / CUSIP 783513104 / Symbol RYAA (cont'd)							
	7 000	365 14	07/09/13	362 63	0 00	2 51	Sale
07/10/14	12 000	625 95	VARIOUS	539 44	0 00	86 51	Note 26
12/18/14	4 000	271 87	12/19/12	141 44	0.00	130 43	Total of 2 lots
							Sale
							Note 26
Security total		897 82		680 88	0 00	216 94	
SAGE GROUP PLC UN SPONSORED ADR / CUSIP 78663S201 / Symbol SGPLY							
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	55 93	12/19/12	40 43	0 00	15 50	Sale
	14 000	391 54	07/09/13	307 72	0 00	83 82	Note 26
12/18/14	16 000	447 47	VARIOUS	348 15	0 00	99 32	Sale
							Note 26
							Total of 2 lots
SALESFORCE COM INC / CUSIP 79466L302 / Symbol CRM							
01/27/14	4 000	229 94	02/09/12	127 46	0 00	102 48	Sale
02/07/14	6 000	369 99	02/09/12	191 19	0 00	178 80	Note 26
							Sale
							Note 26
Security total		599 93		318 65	0 00	281 28	
SALIX PHARMACEUTICALS LTD (DELA) / CUSIP 795435106 / Symbol SLXP							
02/20/14	17 000	1,767 09	01/30/13	799 78	0 00	967 31	Sale
SAMPO OYJ ADR / CUSIP 79588J102 / Symbol SAXPY							
03/24/14	25 000	620 65	12/19/12	406 44	0 00	214 21	Sale
12/18/14	1 000	23 68	07/09/13	20 51	0 00	3 17	Note 26
							Sale
							Note 26
Security total		644 33		426 95	0 00	217 38	
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP 806857108 / Symbol SLB							
09/11/14	3 000	310 33	12/17/12	208 99	0 00	101 34	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP 806857108 / Symbol SLB (cont'd)							
2 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	209 14	12/14/12	137 54	0 00	71 60	Sale
	1 000	104 56	12/17/12	69 66	0 00	34 90	Note 26 Sale
09/18/14	3 000	313 70	VARIOUS	207 20	0 00	106 50	Note 26 Total of 2 lots
Security total		624 03		416 19	0 00	207 84	
SERVICENOW INC / CUSIP 81762P102 / Symbol NOW							
02/27/14	3 000	213 63	01/31/13	87 49	0 00	126 14	Sale
10/23/14	4 000	255 51	01/31/13	116 65	0 00	138 86	Note 26 Sale
2 tax lots for 11/12/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	67 87	01/31/13	29 16	0 00	38 71	Sale
	2 000	135 75	02/04/13	57 85	0 00	78 10	Note 26 Sale
11/12/14	3 000	203 62	VARIOUS	86 81	0 00	116 81	Note 26 Total of 2 lots
Security total		672 76		290 95	0 00	381 81	
SEVEN & I HLDGS CO LTD ADR / CUSIP 81783H105 / Symbol SVNDY							
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	15 000	272 32	05/10/13	284 66	0 00	-12 34	Sale
	4 000	72 61	07/09/13	78 00	0 00	-5 39	Note 26 Sale
12/18/14	19 000	344 93	VARIOUS	362 66	0 00	-17 73	Note 26 Total of 2 lots
SHERWIN WILLIAMS CO COMMON STOCK / CUSIP 824348106 / Symbol SHW							
05/07/14	2.000	398 13	05/02/11	165 90	0 00	232 23	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8249, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SHIN ETSU CHEM CO LTD ADR / CUSIP 824551105 / Symbol SHECY							
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	66 88	12/19/12	60 47	0 00	6 41	Sale
	9 000	150 48	07/09/13	154 80	0 00	-4 32	Note 26
12/18/14	13 000	217 36	VARIOUS	215 27	0 00	2 09	Sale
							Note 26
							Total of 2 lots
SHIRE PLC SPON ADR / CUSIP 82481R106 / Symbol SHPG							
06/26/14	6 000	1,385 06	05/10/13	557 94	0 00	827 12	Sale
							Note 26
10/03/14	3 000	782 74	07/09/13	293 55	0 00	489 19	Sale
							Note 26
12/18/14	3 000	660 74	05/10/13	278 97	0 00	381 77	Sale
							Note 26
Security total		2,828 54		1,130 46	0 00	1,698 08	
SHISEIDO CO LTD SPONS ADR JAPAN ADR / CUSIP 824841407 / Symbol SSDOY							
07/08/14	37 000	663 97	04/18/13	556 85	0 00	107 12	Sale
							Note 26
4 tax lots for 11/06/14 Total proceeds (and cost when required) reported to the IRS							
	48 000	723 48	04/18/13	722 40	0 00	1 08	Sale
	79 000	1,190 73	06/06/13	1,178 89	0 00	11 84	Sale
	22 000	331 59	07/09/13	318 78	0 00	12 81	Sale
	35 000	527 54	07/30/13	542 42	0 00	-14 88	Sale
11/06/14	184 000	2,773.34	VARIOUS	2,762 49	0 00	10 85	Total of 4 lots
Security total		3,437 31		3,319 34	0 00	117 97	
STERIS CORP COMMON STOCK / CUSIP 859152100 / Symbol STE							
2 tax lots for 04/25/14 Total proceeds (and cost when required) reported to the IRS							
	15 000	734 98	11/23/11	411 91	0 00	323 07	Sale
	10 000	489 98	11/25/11	275 53	0 00	214 45	Sale
04/25/14	25 000	1,224 96	VARIOUS	687 44	0 00	537 52	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
THK CO LTD ADR / CUSIP 872434105 / Symbol THKLY 12/18/14	31 000	373 39	07/09/13	330 77	0 00	42 62	Sale Note 26
TELENOR ASA ADR / CUSIP 87944W105 / Symbol TELNY 03/24/14	6 000	384 55	12/19/12	372 87	0 00	11 68	Sale Note 26
07/08/14	7 000	481 36	12/19/12	435 01	0 00	46 35	Sale Note 26
2 tax lots for 12/18/14 Total proceeds (and cost when required) reported to the IRS							
	4 000	244 57	12/19/12	248 58	0 00	-4 01	Sale Note 26
	1 000	61 14	07/09/13	59 78	0 00	1 36	Sale Note 26
12/18/14	5 000	305 71	VARIOUS	308 36	0 00	-2 65	Total of 2 lots
Security total		1,171 62		1,116 24	0 00	55 38	
TEXAS CAPITAL BANCSHARES INC (DELA) / CUSIP 88224Q107 / Symbol TCBI 10/17/14	8 000	438 79	08/02/13	366 99	0 00	71 80	Sale Note 26
TIME WARNER INC NEW / CUSIP 887317303 / Symbol TWX							
3 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS							
	6 000	501 24	07/25/13	367 94	0 00	133 30	Sale
	8 000	668 33	07/25/13	479 50	0 00	188 83	Sale
	10 000	835 41	07/26/13	604 67	0 00	230 74	Sale
12/08/14	24 000	2,004 98	VARIOUS	1,452 11	0 00	552 87	Total of 3 lots
TOKIO MARINE HOLDINGS INC SPON ADR / CUSIP 889094108 / Symbol TKOMY 12/18/14	11 000	358 28	12/19/12	304 69	0 00	53 59	Sale Note 26
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP 892331307 / Symbol TM							
3 tax lots for 02/14/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	115 48	11/27/12	85 45	0 00	30 03	Sale Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

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1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Data acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP 892331307 / Symbol TM (cont'd)							
	12 000	1,385 87	12/19/12	1,090 42	0 00	295 45	Sale
	2 000	230.97	01/07/13	191 00	0 00	39 97	Note 26
02/14/14	15 000	1,732 32	VARIOUS	1,366 87	0 00	365 45	Sale
03/24/14	2.000	214 68	11/27/12	170 89	0 00	43 79	Note 26
12/18/14	3 000	373 41	02/09/12	243 27	0 00	130 14	Sale
	Security total	2,320 41		1,781 03	0 00	539 38	Note 26
TREX COMPANY INC / CUSIP 89531P105 / Symbol TREX							
08/11/14	13 000	471 87	08/06/13	289 43	0 00	182 44	Sale
10/21/14	31 000	1,088 96	08/06/13	690 16	0 00	398 80	Note 26
	Security total	1,560 83		979 59	0 00	581 24	Sale
UNION PACIFIC CORP COMMON STOCK / CUSIP 907818108 / Symbol UNP							
02/11/14	8 000	1,412 03	05/02/11	830 01	0 00	582 02	Sale
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP 913017109 / Symbol UTX							
05/07/14	2 000	233 68	03/07/13	183 51	0 00	50 17	Sale
06/04/14	1 000	117 07	03/07/13	91 76	0 00	25 31	Note 26
3 tax lots for 10/20/14 Total proceeds (and cost when required) reported to the IRS							
	8 000	811 27	03/13/12	687 97	0 00	123 30	Sale
	4 000	405 64	04/11/12	318 26	0 00	87 38	Sale
	1 000	101 41	03/07/13	91 75	0 00	9 66	Sale
10/20/14	13 000	1,318 32	VARIOUS	1,097 98	0 00	220 34	Total of 3 lots
	Security total	1,669.07		1,373 25	0 00	295 82	
UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH							
02/24/14	5 000	380 59	10/31/12	278 92	0 00	101 67	Sale
							Note 26

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH (cont'd)							
02/27/14	1 000	75 98	09/20/12	54 80	0 00	21.18	Sale Note 26
2 tax lots for 04/14/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	78 98	10/31/12	55 78	0 00	23 20	Sale Note 26
	6 000	473 93	03/18/13	330 51	0 00	143 42	Sale Note 26
04/14/14	7 000	552 91	VARIOUS	386 29	0 00	166 62	Total of 2 lots
2 tax lots for 05/13/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	156 06	03/18/13	110 17	0 00	45 89	Sale Note 26
	4 000	312 13	05/03/13	238 60	0 00	73 53	Sale Note 26
05/13/14	6 000	468 19	VARIOUS	348 77	0 00	119 42	Total of 2 lots
Security total		1,477 67		1,068 78	0 00	408 89	
VAIL RESORTS INC / CUSIP 91879Q109 / Symbol MTN							
11/21/14	2 000	171 54	07/21/11	91 50	0 00	80 04	Sale Note 26
VANGUARD FTSE EUROPE ETF / CUSIP 922042874 / Symbol VGK							
11/21/14	170 000	9,305 59	07/11/13	8,544 76	0 00	760 83	Sale Note 26
VERITIV CORP COM / CUSIP 923454102 / Symbol VRTV							
07/02/14	0 109	3 95	04/26/12	2 72	0 00	1 23	Sale
2 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS							
	0 407	15 31	04/26/12	10 16	0 00	5 15	Sale
	0 191	7 18	07/31/12	4 65	0 00	2 53	Sale
07/23/14	0 599	22 49	VARIOUS	14 81	0 00	7 68	Total of 2 lots
Security total		26 44		17 53	0 00	8 91	
VIACOM INC NEW CL B / CUSIP 92553P201 / Symbol VIAB							
06/05/14	11 000	964 57	07/31/12	514 87	0 00	449 70	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
VISA INC CL A / CUSIP 92826C839 / Symbol V							
01/27/14	1 000	216 13	02/09/11	73 32	0 00	142 81	Sale Note 26
03/24/14	2 000	440 49	02/09/11	146 64	0 00	293 85	Sale Note 26
2 tax lots for 11/05/14 Total proceeds (and cost when required) reported to the IRS							
	2 000	498 90	02/09/11	146 63	0 00	352 27	Sale Note 26
	2 000	498 90	02/09/11	147 43	0 00	351 47	Sale Note 26
11/05/14	4 000	997 80	VARIOUS	294 06	0 00	703 74	Total of 2 lots
Security total		1,654 42		514 02	0 00	1,140 40	
VMWARE INC CL A / CUSIP 928563402 / Symbol VMW							
01/16/14	1 000	99 38	06/29/12	89 72	0.00	9 66	Sale Note 26
03/18/14	3 000	330 78	03/05/13	224 53	0 00	106 25	Sale Note 26
3 tax lots for 04/07/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	313 34	03/22/13	239 62	0 00	73 72	Sale Note 26
	2 000	208 89	04/02/13	156 24	0 00	52 65	Sale Note 26
	1 000	104 44	04/05/13	76 21	0 00	28 23	Sale Note 26
04/07/14	6 000	626 67	VARIOUS	472 07	0 00	154 60	Total of 3 lots
Security total		1,056 83		786 32	0 00	270 51	
WASTE MGMT INC NEW / CUSIP 94106L109 / Symbol WM							
02/05/14	7 000	289 58	01/28/13	255 04	0 00	34 54	Sale Note 26
2 tax lots for 04/10/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	41 75	01/28/13	36 43	0 00	5 32	Sale Note 26

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UBS FINANCIAL SERVICES INC.		Account PW 21214	
2014 1099-B*		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	
		OMB No 1545-0715	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
WASTE MGMT INC NEW / CUSIP 94106L109 / Symbol WM (cont'd)							
	6 000	250 54	02/28/13	223 34	0 00	27 20	Sale
04/10/14	7 000	292.29	VARIOUS	259 77	0 00	32 52	Note 26 Total of 2 lots
2 tax lots for 05/14/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	397 15	01/18/13	316 62	0 00	80 53	Sale
	9 000	397 15	01/28/13	327 91	0 00	69 24	Note 26 Sale
05/14/14	18 000	794 30	VARIOUS	644 53	0 00	149 77	Note 26 Total of 2 lots
Security total		1,376 17		1,159 34	0 00	216 83	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR / CUSIP 977874205 / Symbol WTKWY							
4 tax lots for 07/17/14 Total proceeds (and cost when required) reported to the IRS							
	14 000	399 59	12/07/11	247 05	0 00	152 54	Sale
	19 000	542 30	01/10/12	326 70	0 00	215 60	Sale
	21 000	599 38	12/19/12	427 47	0 00	171 91	Sale
	13 000	371 05	07/09/13	283 14	0 00	87 91	Sale
07/17/14	67 000	1,912 32	VARIOUS	1,284 36	0 00	627 96	Total of 4 lots
WESTFIELD GROUP STAPLED SECURITY FOREIGN STOCK AUD / CUSIP AU000000WDC7 / Symbol							
02/04/14	9 000	81 71	12/20/12	98 64	0 00	-16 93	Sale Note 26
5 tax lots for 02/14/14 Total proceeds (and cost when required) reported to the IRS							
	67 000	616 39	02/09/12	619 54	0 00	-3 15	Sale
	50 000	459 99	02/10/12	457 66	0 00	2 33	Sale
	20 000	184 00	02/13/12	182 39	0 00	1 61	Sale
	15 000	138 00	02/14/12	135 24	0 00	2 76	Sale
	35 000	321 99	12/20/12	383 58	0 00	-61 59	Sale
02/14/14	187 000	1,720 37	VARIOUS	1,778 41	0 00	-58 04	Total of 5 lots
Security total		1,802 08		1,877 05	0 00	-74 97	

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UBS FINANCIAL SERVICES INC.		Account PW 21214
Proceeds from Broker and Barter Exchange Transactions		
(continued)		OMB No. 1545-0715
2014	1099-B*	

2- LONG-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
DEUTSCHE BANK AG REG SHS ORD FOREIGN STOCK EUR / CUSIP D18190898 / Symbol DB							
3 tax lots for 03/31/14 Total proceeds (and cost when required) reported to the IRS							
	40 000	1,803 90	03/13/12	1,951 68	0 00	-147 78	Sale
	7 000	315 69	06/28/12	234 73	0 00	80 96	Sale
	3 000	135 29	01/31/13	156 61	0 00	-21 32	Sale
03/31/14	50 000	2,254 88	VARIOUS	2,343 02	0 00	-88 14	Total of 3 lots
THYSSEN KRUPP AG FOREIGN STOCK EUR / CUSIP DE0007500001 / Symbol TYEKF							
12/19/14	3 000	78 04	12/03/13	70 39	0 00	7 65	Sale Note 26
AMIRA NATURE FOODS LTD FOREIGN STOCK / CUSIP G0335L102 / Symbol ANFI							
09/15/14	19 000	333 69	05/10/13	157 94	0 00	175 75	Sale Note 26
11/06/14	13 000	212 01	05/10/13	108 07	0 00	103 94	Sale Note 26
	Security total	545 70		266 01	0 00	279 69	
FREESCALE SEMICONDUCTOR LIMITED / CUSIP G3727Q101 / Symbol FSL							
04/17/14	14 000	342 23	07/31/12	149 04	0 00	193 19	Sale Note 26
12/05/14	17 000	419 97	12/04/13	250 35	0 00	169 62	Sale Note 26
2 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	17 000	409 11	07/31/12	180 98	0 00	228 13	Sale Note 26
	8 000	192 52	12/04/13	117 81	0 00	74 71	Sale Note 26
12/17/14	25 000	601 63	VARIOUS	298 79	0 00	302 84	Total of 2 lots
	Security total	1,363 83		698 18	0 00	665 65	
LIBERTY GLOBAL PLC CL A / CUSIP G5480U104 / Symbol LBTYA							
04/17/14	11 000	431 83	03/06/13	395 74	0 00	36 09	Sale
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP G5480U120 / Symbol LBTYK							
03/25/14	11 000	440 93	03/06/13	382 12	0 00	58 81	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MALLINCKRODT PUB LTD CO FOREIGN STOCK / CUSIP		G5785G107 / Symbol	MNK				
12/17/14	22 000	2,010 95	11/06/13	994 56	0 00	1,016 39	Sale
MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP G60754101 / Symbol KORS							
2 tax lots for 02/04/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	91 92	12/19/12	54 25	0 00	37 67	Sale
	3 000	275 77	01/11/13	158 05	0 00	117 72	Note 26
02/04/14	4 000	367 69	VARIOUS	212 30	0 00	155 39	Note 26
PARAGON OFFSHORE PLC FOREIGN STOCK / CUSIP G6S01W108 / Symbol PGN							
2 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS							
	3 333	10 79	07/16/12	42 38	0 00	-31 59	Sale
	4 667	15 10	07/26/13	66 52	0 00	-51 42	Sale
12/17/14	8 000	25 89	VARIOUS	108 90	0 00	-83 01	Total of 2 lots
GLENCORE XSTRATA PLC FOREIGN STOCK / CUSIP JE00B4T3BW64 / Symbol GLCNF							
12/22/14	87 000	406 44	06/12/13	413 79	0 00	-7 35	Sale
CHECK POINT SOFTWARE TECH LTD ILS / CUSIP M22465104 / Symbol CHKP							
12/18/14	5 000	391 37	07/26/13	282 40	0 00	108 97	Sale
MELLANOX TECHNOLOGIES LTD ILS / CUSIP M51363113 / Symbol MLNX							
12/17/14	11 000	469 05	11/07/13	369 01	0 00	100 04	Sale
GIVEN IMAGING LTD SHS **MERGER - 09/2014** ORD ILS / CUSIP M52020100 / Symbol							
02/28/14	51 000	1,147 50	10/02/12	803 65	0 00	343 85	Merger
NXP SEMICONDUCTORS N V COM FOREIGN STOCK EUR / CUSIP N6596X109 / Symbol NXPI							
02/07/14	8 000	397 57	11/06/12	205 88	0 00	191 69	Sale

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UBS FINANCIAL SERVICES INC.

Account PW 21214

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NXP SEMICONDUCTORS N V COM FOREIGN STOCK EUR / CUSIP N6596X109 / Symbol NXPI (cont'd)							
2 tax lots for 04/17/14 Total proceeds (and cost when required) reported to the IRS							
	3 000	176 10	11/06/12	77 21	0 00	98 89	Sale
	5 000	293 50	11/20/12	115 93	0 00	177 57	Note 26
04/17/14	8 000	469 60	VARIOUS	193 14	0 00	276 46	Sale
11/25/14	6 000	459 65	07/26/13	199 62	0 00	260 03	Note 26
12/17/14	7 000	518 96	07/26/13	232 89	0 00	286 07	Sale
							Note 26
Security total		1,845 78		831 53	0 00	1,014 25	
KONINKLIJKE DSM NV SHS FOREIGN STOCK EUR / CUSIP NL0000009827 / Symbol KDSKF							
3 tax lots for 07/07/14 Total proceeds (and cost when required) reported to the IRS							
	9 000	645 62	02/23/12	501 59	0 00	144 03	Sale
	6 000	430 41	12/19/12	370 19	0 00	60 22	Note 26
	11 000	789 08	03/06/13	649 10	0 00	139 98	Sale
07/07/14	26 000	1,865 11	VARIOUS	1,520 88	0 00	344 23	Note 26
							Total of 3 lots
3 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS							
	5 000	351 95	02/23/12	278 66	0 00	73 29	Sale
	6 000	422 34	08/14/12	286 84	0 00	135 50	Sale
	5 000	351 96	10/25/12	253 28	0 00	98 68	Sale
07/09/14	16 000	1,126 25	VARIOUS	818 78	0 00	307 47	Sale
							Total of 3 lots
Security total		2,991 36		2,339 66	0 00	651 70	
Totals.		209,081.51		165,574.85	0.00	43,506.66	

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NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FHLMC PL Q17639 03 0000 DUE 04/01/43 FACTOR 0 8929332100000 / CUSIP 3132J84Z0 / Symbol 02/07/14	1,000 000	929 10	09/16/13	905 71	0 00	23 39	Sale Note 25
FNMA PL AL0935 04 0000 DUE 05/01/41 FACTOR 0 466514240000 / CUSIP 3138EHB03 / Symbol 10/16/14	14,000 000	7,130 67	04/09/14	6,999 07	0 00	131 60	Sale Note 25
FNMA PL AS0415 04 0000 DUE 09/01/43 FACTOR 0 875235460000 / CUSIP 3138W9N97 / Symbol 04/07/14	4,000 000	4,034 76	09/18/13	4,012.74	0 00	22 02	Sale Note 25
FNMA PL AT8873 04 0000 DUE 06/01/43 FACTOR 0 906299810000 / CUSIP 3138WW2F5 / Symbol 10/16/14	2,000 000	1,980 73	09/12/14	1,961 55	0 00	19 18	Sale Note 25
FNMA PL AU3751 04 0000 DUE 08/01/43 FACTOR 0 900006210000 / CUSIP 3138X3EZ1 / Symbol 04/07/14	4,000 000	4,005 05	10/11/13	4,017 32	0 00	-12 27	Sale Note 25
FNMA PL 00AU506 04 0000 DUE 11/01/43 FACTOR 0 887799740000 / CUSIP 3138X4T34 / Symbol 04/07/14	2,000 000	2,036 79	02/12/14	2,034 89	0 00	1 90	Sale Note 25
FNMA PL AW5809 04 0000 DUE 07/01/44 FACTOR 0 993337070000 / CUSIP 3138XVN30 / Symbol 10/16/14	1,000 000	1,060 46	07/18/14	1,053 11	0 00	7 35	Sale Note 25
UNITED STATES TREASURY INFLATION PROTECTION BOND RATE 2 375 % / CUSIP 912810FR4 / Symbol							
	4 tax lots for 02/19/14						
	2,000 000	2,918 65	11/12/13	2,909 22	0 00	9 43	Sale Note 25
							Original basis \$2,934 95
	2,000 000	2,918 65	12/10/13	2,876 77	0 00	41 88	Sale Note 25
							Original basis \$2,906 45
	2,000 000	2,918 65	12/30/13	2,859 54	0 00	59 11	Sale Note 25
							Original basis \$2,892 83

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNITED STATES TREASURY INFLATION PROTECTION BOND RATE 2.375 % / CUSIP 912810FR4 / Symbol (cont'd)							
	2,000 000	2,918 65	02/18/14	2,935 27	0 00	-16 62	Sale Note 25 Original basis \$2,940 94
02/19/14	8,000 000	11,674 60	VARIOUS	11,580 80	0 00	93 80	Total of 4 lots
07/10/14	1,000 000	1,526 50	04/15/14	1,499 13	0 00	27 37	Sale Note 25 Original basis \$1,487 67
	2 tax lots for 07/14/14						
	1,000 000	1,524 61	04/15/14	1,499 64	0 00	24 97	Sale Note 25 Original basis \$1,487 67
	2,000 000	3,049 21	06/17/14	3,010 30	0 00	38 91	Sale Note 25 Original basis \$3,027 00
07/14/14	3,000 000	4,573 82	VARIOUS	4,509 94	0 00	63 88	Total of 2 lots
	Security total	17,774 92		17,589 87	0 00	185.05	
U S TREASURY INFL NOTE NOTE RATE 1 125 % MATURES 12/31/2019 / CUSIP 912828UF5 / Symbol							
09/25/14	3,000 000	2,888 67	11/12/13	2,866 17	0 00	22 50	Sale Original basis \$2,866 17
U S TREASURY INFL NOTE NOTE RATE 2 00 % MATURES 02/15/2023 / CUSIP 912828UN8 / Symbol							
	4 tax lots for 02/10/14						
	8,000 000	7,627 50	03/12/13	7,986 56	0 00	-359 06	Sale Original basis \$7,986 56
	19,000 000	18,115 31	04/02/13	19,213 04	0 00	-1,097 73	Sale Original basis \$19,231 56
	2,000 000	1,906 88	08/20/13	1,867 27	0 00	39 61	Sale Original basis \$1,867 27
	3,000 000	2,860 31	11/12/13	2,827 97	0 00	32 34	Sale Original basis \$2,827 97
02/10/14	32,000 000	30,510 00	VARIOUS	31,894 84	0 00	-1,384 84	Total of 4 lots
	Totals.	72,351.15		73,335.27	0.00	-984.12	

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLIANZ FIXED INCOME SHARES SERIES C / CUSIP 01882B205 / Symbol							
06/13/14	410 000	5,055.30	02/07/08	5,699.00	0.00	-643.70	Sale
12/22/14	770 000	8,801.10	02/07/08	10,703.00	0.00	-1,901.90	Sale
Security total		13,856.40		16,402.00	0.00	-2,545.60	
ALLIANZ FIXED INCOME SHARES SERIES M / CUSIP 01882B304 / Symbol							
12/22/14	540 000	5,605.20	02/07/08	6,150.60	0.00	-545.40	Sale
FNMA PL AH3645 04 0000 DUE 02/01/41 FACTOR 0 429775870000 / CUSIP 3138A5BP6 / Symbol							
10/16/14	54,000 000	25,462.72	04/09/12	25,283.35	0.00	179.37	Sale Note 25
FNMA PL AB7079 03 0000 DUE 11/01/42 FACTOR 0 892459040000 / CUSIP 31417D2M4 / Symbol							
02/07/14	29,000 000	26,562.13	11/07/12	28,778.49	0.00	-2,216.36	Sale Note 25
FNMA PL AD8033 04 0000 DUE 08/01/40 FACTOR 0 345777180000 / CUSIP 31418V4T6 / Symbol							
04/07/14	8,000 000	3,225.60	08/07/12	3,303.97	0.00	-78.37	Sale Note 25
10/16/14	47,000 000	17,926.91	08/07/12	18,024.26	0.00	-97.35	Sale Note 25
Security total		21,152.51		21,328.23	0.00	-175.72	
UNITED STATES TREASURY NOTE ADJUSTABLE RATE 2.50 % MATURES 01/15/2029 / CUSIP 912810PZ5 / Symbol							
02/19/14	5,000 000	6,567.21	07/13/12	7,758.78	0.00	-1,191.57	Sale Note 25 Original basis \$7,655.86
U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP 912828TE0 / Symbol							
2 tax lots for 07/08/14							
11,000 000		11,383.05	07/24/12	12,310.15	0.00	-927.10	Sale Note 25 Original basis \$11,924.87
14,000 000		14,487.52	07/24/12	15,669.72	0.00	-1,182.20	Sale Note 25 Original basis \$15,179.31
07/08/14	25,000 000	25,870.57	VARIOUS	27,979.87	0.00	-2,109.30	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
U S TREASURY INFL NOTE NOTE RATE 0 75 % MATURES 10/31/2017 / CUSIP 912828TW0 / Symbol							
2 tax lots for 04/08/14							
	4,000 000	3,940 94	11/28/12	4,016 46	0 00	-75 52	Sale Original basis \$4,022 66
	35,000 000	34,483 20	12/13/12	35,104 17	0 00	-620 97	Sale Original basis \$35,142 19
04/08/14	39,000 000	38,424 14	VARIOUS	39,120 63	0 00	-696 49	Total of 2 lots
12/23/14	7,000 000	6,927 27	12/13/12	7,016 74	0 00	-89 47	Sale Original basis \$7,028 44
	Security total	45,351 41		46,137 37	0 00	-785 96	
U S TREASURY INFL NOTE NOTE RATE 1 125 % MATURES 12/31/2019 / CUSIP 912828UF5 / Symbol							
08/19/14	16,000 000	15,520 62	01/09/13	15,856 25	0 00	-335 63	Sale Original basis \$15,856 25
4 tax lots for 09/25/14							
	15,000 000	14,443 36	01/15/13	14,902 73	0 00	-459 37	Sale Original basis \$14,902 73
	9,000 000	8,666 01	01/22/13	8,938 83	0 00	-272 82	Sale Original basis \$8,938 83
	2,000 000	1,925 79	08/20/13	1,889 84	0 00	35 95	Sale Original basis \$1,889 84
	1,000 000	962 89	09/17/13	944 84	0 00	18 05	Sale Original basis \$944 84
09/25/14	27,000 000	25,998 05	VARIOUS	26,676 24	0 00	-678 19	Total of 4 lots
	Security total	41,518 67		42,532 49	0 00	-1,013 82	
	Totals.	211,946 82		222,351 18	0 00	-10,404 36	

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FHLMC PL G08323 05 0000 DUE 02/01/39 FACTOR 0 132603000000 / CUSIP 3128MJLD0 / Symbol							
02/15/14	0 000	13.08	N/A	0 00	0 00	0 00	Principal payment
03/15/14	0 000	9 32	N/A	0 00	0 00	0 00	Principal payment
04/15/14	0 000	9 44	N/A	0 00	0 00	0 00	Principal payment
05/15/14	0 000	7 92	N/A	0 00	0 00	0 00	Principal payment
06/15/14	0 000	10 80	N/A	0 00	0 00	0 00	Principal payment
07/15/14	0 000	8 27	N/A	0 00	0 00	0 00	Principal payment
08/15/14	0 000	8 59	N/A	0 00	0 00	0 00	Principal payment
09/15/14	0 000	8 21	N/A	0 00	0 00	0 00	Principal payment
10/15/14	0 000	8 41	N/A	0 00	0 00	0 00	Principal payment
11/15/14	0 000	9 58	N/A	0 00	0 00	0 00	Principal payment
12/15/14	0 000	6 86	N/A	0 00	0 00	0 00	Principal payment
01/15/15	0 000	11 56	N/A	0 00	0 00	0 00	Principal payment
Security total		112 04		0 00	0 00	0 00	
FHLMC PL A97046 04 5000 DUE 02/01/41 FACTOR 0 355481900000 / CUSIP 312945ZK7 / Symbol							
02/15/14	0 000	124 40	N/A	0 00	0 00	0 00	Principal payment
03/15/14	0 000	170 13	N/A	0 00	0 00	0 00	Principal payment
04/15/14	0 000	168 53	N/A	0 00	0 00	0 00	Principal payment
05/15/14	0 000	201 87	N/A	0 00	0 00	0 00	Principal payment
06/15/14	0 000	209 60	N/A	0 00	0 00	0 00	Principal payment
07/15/14	0 000	175 83	N/A	0 00	0 00	0 00	Principal payment
08/15/14	0 000	288 46	N/A	0 00	0 00	0 00	Principal payment
09/15/14	0 000	141 30	N/A	0 00	0 00	0 00	Principal payment
10/15/14	0 000	276 24	N/A	0 00	0 00	0 00	Principal payment
11/15/14	0 000	185 77	N/A	0 00	0 00	0 00	Principal payment
12/15/14	0 000	113 68	N/A	0 00	0 00	0 00	Principal payment
01/15/15	0 000	196 19	N/A	0 00	0 00	0 00	Principal payment
Security total		2,252 00		0 00	0 00	0 00	
FHLMC PL A97047 04 5000 DUE 02/01/41 FACTOR 0 389958300000 / CUSIP 312945ZL5 / Symbol							
02/15/14	0 000	127 37	N/A	0 00	0 00	0 00	Principal payment
03/15/14	0 000	106 19	N/A	0 00	0 00	0 00	Principal payment
04/15/14	0 000	107 81	N/A	0 00	0 00	0 00	Principal payment
05/15/14	0 000	88 68	N/A	0 00	0 00	0 00	Principal payment
06/15/14	0 000	227 42	N/A	0 00	0 00	0 00	Principal payment
07/15/14	0 000	121 33	N/A	0 00	0 00	0 00	Principal payment

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

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UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

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Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FHLMC PL A97047 04 5000 DUE 02/01/41 FACTOR 0 389958300000 / CUSIP 312945ZL5 / Symbol (cont'd)							
08/15/14	0 000	206 91	N/A	0 00	0 00	0 00	Principal payment
09/15/14	0 000	165 08	N/A	0 00	0 00	0 00	Principal payment
10/15/14	0 000	120 09	N/A	0 00	0 00	0 00	Principal payment
11/15/14	0 000	188 78	N/A	0 00	0 00	0 00	Principal payment
12/15/14	0 000	116 31	N/A	0 00	0 00	0 00	Principal payment
01/15/15	0 000	169 51	N/A	0 00	0 00	0 00	Principal payment
Security total		1,745 48		0 00	0 00	0 00	
FHLMC PL Q16644 03 5000 DUE 03/01/43 FACTOR 0 890778900000 / CUSIP 3132J7ZJ4 / Symbol							
02/15/14	0 000	1 65	N/A	0 00	0 00	0 00	Principal payment
03/15/14	0 000	1 64	N/A	0 00	0 00	0 00	Principal payment
04/15/14	0 000	1 64	N/A	0 00	0 00	0 00	Principal payment
05/15/14	0 000	1 64	N/A	0 00	0 00	0 00	Principal payment
06/15/14	0 000	13 89	N/A	0 00	0 00	0 00	Principal payment
07/15/14	0 000	2 16	N/A	0 00	0 00	0 00	Principal payment
08/15/14	0 000	26 02	N/A	0 00	0 00	0 00	Principal payment
09/15/14	0 000	16 95	N/A	0 00	0 00	0 00	Principal payment
10/15/14	0 000	1 58	N/A	0 00	0 00	0 00	Principal payment
11/15/14	0 000	1 59	N/A	0 00	0 00	0 00	Principal payment
12/15/14	0 000	1 70	N/A	0 00	0 00	0 00	Principal payment
01/15/15	0 000	1 57	N/A	0 00	0 00	0 00	Principal payment
Security total		72 03		0 00	0 00	0 00	
FHLMC PL Q17639 03 0000 DUE 04/01/43 FACTOR 0 892932100000 / CUSIP 3132J84Z0 / Symbol							
02/15/14	0 000	3 54	N/A	0 00	0 00	0 00	Principal payment
FNMA PL 190391 06 0000 RATE 6 00% DUE 09/01/38 FACTOR 0 062140590000 / CUSIP 31368HNG4 / Symbol							
02/25/14	0 000	113 32	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	75 62	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	87 61	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	62 47	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	78 53	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	70 47	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	53 65	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	76 03	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	42 78	N/A	0 00	0 00	0 00	Principal payment

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

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UNDETERMINED-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL 190391 06 0000 RATE 6 00% DUE 09/01/38 FACTOR 0 062140590000 / CUSIP 31368HNG4 / Symbol (cont'd)							
11/25/14	0 000	55 03	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	58 55	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	54 85	N/A	0 00		0 00	Principal payment
Security total		828 91		0 00	0 00	0 00	
FNMA PL AH3645 04 0000 DUE 02/01/41 FACTOR 0 429775870000 / CUSIP 3138A5BP6 / Symbol							
02/25/14	0 000	205 68	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	310 19	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	205 49	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	267 90	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	245 00	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	241 75	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	188 76	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	411 23	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	161 37	N/A	0 00	0 00	0 00	Principal payment
Security total		2,237 37		0 00	0 00	0 00	
FNMA PL AI5587 05 0000 DUE 07/01/41 FACTOR 0 676148510000 / CUSIP 3138AKF53 / Symbol							
11/25/14	0 000	12 81	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	2 64	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	7 84	N/A	0 00		0 00	Principal payment
Security total		23 29		0 00	0 00	0 00	
FNMA PL AI9748 04 5000 DUE 08/01/41 FACTOR 0 455781140000 / CUSIP 3138APZN1 / Symbol							
02/25/14	0 000	0 78	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	0 77	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	0 77	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	0 79	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	0 80	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	0 79	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	43 43	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	0 72	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	0 73	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	0 73	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	0 73	N/A	0 00	0 00	0 00	Principal payment

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

UNDETERMINED-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL A19748 04 5000 DUE 08/01/41 FACTOR 0 455781140000 / CUSIP 3138APZN1 / Symbol (cont'd)							
01/25/15	0 000	0 80	N/A	0 00		0 00	Principal payment
Security total		51 84		0 00	0 00	0 00	
FNMA PL AL0160 04 5000 DUE 05/01/41 FACTOR 0 398311880000 / CUSIP 3138EGFA7 / Symbol							
02/25/14	0 000	4 82	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	4 48	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	5 46	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	5 51	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	5 36	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	7 15	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	6 61	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	7 40	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	6 36	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	6 91	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	5 53	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	7 11	N/A	0 00	0 00	0 00	Principal payment
Security total		72 70		0 00	0 00	0 00	
FNMA PL AL0935 04 0000 DUE 05/01/41 FACTOR 0 466514240000 / CUSIP 3138EHBD3 / Symbol							
05/25/14	0 000	81 28	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	74 66	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	89 73	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	113 55	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	81 25	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	69 05	N/A	0 00	0 00	0 00	Principal payment
Security total		509 52		0 00	0 00	0 00	
FNMA PL AL2629 05 0000 DUE 06/01/39 FACTOR 0 407700960000 / CUSIP 3138EJ4P0 / Symbol							
02/25/14	0 000	145 73	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	109 07	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	138 03	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	129 81	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	142 21	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	140 75	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	111 19	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	130 30	N/A	0 00	0 00	0 00	Principal payment

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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UNDETERMINED-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL AL2629 05 0000 DUE 06/01/39 FACTOR 0 407700960000 / CUSIP 3138EJ4P0 / Symbol (cont'd)							
10/25/14	0 000	130 48	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	129 52	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	86 52	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	113 39	N/A	0 00	0 00	0 00	Principal payment
Security total		1,507 00		0 00	0 00	0 00	
FNMA PL AP7488 03 5000 DUE 09/01/42 FACTOR 0 795595930000 / CUSIP 3138MBKA3 / Symbol							
02/25/14	0 000	234 29	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	288 96	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	226 75	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	160 69	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	230 79	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	277 46	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	295 34	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	299 50	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	193 63	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	422 86	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	438 80	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	126 09	N/A	0 00	0 00	0 00	Principal payment
Security total		3,195 16		0 00	0 00	0 00	
FNMA PL 00AP990 03 5000 DUE 10/01/42 FACTOR 0 895194120000 / CUSIP 3138MEAF7 / Symbol							
03/25/14	0 000	5 30	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	5 03	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	4 83	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	5 14	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	5 33	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	5 48	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	5 03	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	72 73	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	5 05	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	4 90	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	5 06	N/A	0 00	0 00	0 00	Principal payment
Security total		123 88		0 00	0 00	0 00	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

2014

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UNDETERMINED-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL AS0415 04 0000 DUE 09/01/43 FACTOR 0 875235460000 / CUSIP 3138W9N97 / Symbol							
02/25/14	0 000	13.24	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	5 96	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	14 84	N/A	0 00	0 00	0 00	Principal payment
Security total		34 04		0 00	0 00	0 00	
FNMA PL AT8873 04 0000 DUE 06/01/43 FACTOR 0 906299810000 / CUSIP 3138WW2F5 / Symbol							
10/25/14	0 000	26.37	N/A	0 00	0 00	0 00	Principal payment
FNMA PL AU2933 03 5000 DUE 09/01/43 FACTOR 0 939515820000 / CUSIP 3138X2HK3 / Symbol							
02/25/14	0 000	4 82	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	15 63	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	5 15	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	4 86	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	31 36	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	14 18	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	4 06	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	4 19	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	7 16	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	3 61	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	3 69	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	16 49	N/A	0 00	0 00	0 00	Principal payment
Security total		115.20		0 00	0 00	0 00	
FNMA PL AU3751 04 0000 DUE 08/01/43 FACTOR 0 900006210000 / CUSIP 3138X3EZ1 / Symbol							
02/25/14	0 000	21 24	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	6 00	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	34 59	N/A	0 00	0 00	0 00	Principal payment
Security total		61 83		0 00	0 00	0 00	
FNMA PL 00AU506 04 0000 DUE 11/01/43 FACTOR 0 887799740000 / CUSIP 3138X4T34 / Symbol							
03/25/14	0 000	2 71	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	40 14	N/A	0 00	0 00	0 00	Principal payment
Security total		42 85		0 00	0 00	0 00	
FNMA PL AW5809 04 0000 DUE 07/01/44 FACTOR 0 993337070000 / CUSIP 3138XVN30 / Symbol							
08/25/14	0 000	1 32	N/A	0 00	0 00	0 00	Principal payment

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UNDETERMINED-TERM TRANSACTIONS*NOT reported on Form 1099-B*Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.
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Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FNMA PL AW5809 04 0000 DUE 07/01/44 FACTOR 0 993337070000 / CUSIP 3138XVN30 / Symbol (cont'd)							
09/25/14	0 000	1 32	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	1 33	N/A	0 00	0 00	0 00	Principal payment
Security total		3 97		0 00	0 00	0 00	
FNMA PL 745580 05 0000 DUE 06/01/36 FACTOR 0 098298810000 / CUSIP 31403DJZ3 / Symbol							
02/25/14	0 000	14 63	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	11 32	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	13 53	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	14 27	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	15 10	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	12 46	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	17 02	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	16 43	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	14 42	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	14 87	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	14 19	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	12 95	N/A	0 00	0 00	0 00	Principal payment
Security total		171 19		0 00	0 00	0 00	
FNMA PL 988580 06 0000 DUE 08/01/38 FACTOR 0 066116800000 / CUSIP 31415TFV7 / Symbol							
02/25/14	0 000	81 78	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	8 42	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	228 14	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	83 54	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	221 23	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	229 30	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	305 38	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	81 36	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	74 85	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	155 33	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	150 36	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	6 28	N/A	0 00	0 00	0 00	Principal payment
Security total		1,625 97		0 00	0 00	0 00	
FNMA PL AB1389 04 5000 DUE 08/01/40 FACTOR 0 254185700000 / CUSIP 31416WRK0 / Symbol							
02/25/14	0 000	27 53	N/A	0 00	0 00	0 00	Principal payment

UBS FINANCIAL SERVICES INC.

Account PW 23221

Proceeds Not Reported to the IRS

2014

(continued)

UNDETERMINED-TERM TRANSACTIONS**NOT reported on Form 1099-B**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949 Use either Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FNMA PL AB1389 04 5000 DUE 08/01/40 FACTOR 0 254185700000 / CUSIP 31416WRK0 / Symbol (cont'd)							
03/25/14	0 000	15 01	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	22 63	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	43.34	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	40 18	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	31 33	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	31 54	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	42 47	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	24 36	N/A	0 00	0 00	0 00	Principal payment
11/25/14	0 000	59 59	N/A	0 00	0 00	0 00	Principal payment
12/25/14	0 000	16.25	N/A	0 00	0 00	0 00	Principal payment
01/25/15	0 000	41 05	N/A	0 00		0 00	Principal payment
Security total		395 28		0 00	0 00	0 00	
FNMA PL AB7079 03 0000 DUE 11/01/42 FACTOR 0 892459040000 / CUSIP 31417D2M4 / Symbol							
02/25/14	0 000	148 67	N/A	0 00	0 00	0 00	Principal payment
FNMA PL AD8033 04 0000 DUE 08/01/40 FACTOR 0 345777180000 / CUSIP 31418V4T6 / Symbol							
02/25/14	0 000	246 71	N/A	0 00	0 00	0 00	Principal payment
03/25/14	0 000	280 47	N/A	0 00	0 00	0 00	Principal payment
04/25/14	0 000	255 63	N/A	0 00	0 00	0 00	Principal payment
05/25/14	0 000	213 49	N/A	0 00	0 00	0 00	Principal payment
06/25/14	0 000	149 03	N/A	0 00	0 00	0 00	Principal payment
07/25/14	0 000	204 96	N/A	0 00	0 00	0 00	Principal payment
08/25/14	0 000	249 85	N/A	0 00	0 00	0 00	Principal payment
09/25/14	0 000	302 83	N/A	0 00	0 00	0 00	Principal payment
10/25/14	0 000	175 14	N/A	0 00	0 00	0 00	Principal payment
Security total		2,078 11		0 00	0 00	0 00	
Totals.		17,438.24		0.00	0.00	0.00	