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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

A Employer identification number
20-1451752

Number and street (or P O box number if mail is not delivered to street address): 180 MAIDEN LANE
Room/suite:

B Telephone number (see instructions)
(212) 806-6027

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10038

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 23,084,292

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	3,884,813			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	101,897	101,897		
	4	Dividends and interest from securities	216,788	216,788		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	991,700			
	b	Gross sales price for all assets on line 6a 5,970,077				
	7	Capital gain net income (from Part IV, line 2)		991,700		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	8,409	68		
	12	**Total.** Add lines 1 through 11	5,203,607	1,310,453		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages	108,333	0		108,333
	15	Pension plans, employee benefits	30,046	0		300
	16a	Legal fees (attach schedule)	46,468	11,617		34,851
	b	Accounting fees (attach schedule)	34,180	16,748		17,432
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	12,222	0		8,452
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	98,526	0		1,560
	24	**Total operating and administrative expenses.** Add lines 13 through 23	329,775	28,365		170,928
	25	Contributions, gifts, grants paid	4,324,514			4,324,514
	26	**Total expenses and disbursements.** Add lines 24 and 25	4,654,289	28,365		4,495,442
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	549,318			
	b	**Net investment income** (if negative, enter -0-)		1,282,088		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	8,451	9,435	9,435
	2	Savings and temporary cash investments	3,305,224	7,108,194	7,108,194
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	2,316,200		
	b	Investments—corporate stock (attach schedule)	12,351,470	15,966,663	15,966,663
	c	Investments—corporate bonds (attach schedule)	1,022,433		
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,473,500		
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	20,477,278	23,084,292	23,084,292
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	20,477,278	23,084,292	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	20,477,278	23,084,292	
	31	**Total liabilities and net assets/fund balances** (see instructions)	20,477,278	23,084,292	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,477,278
2	Enter amount from Part I, line 27a	2	549,318
3	Other increases not included in line 2 (itemize) ▶	3	2,057,696
4	Add lines 1, 2, and 3	4	23,084,292
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	23,084,292

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICY TRADED SERCURITIES	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,970,077		4,978,377	991,700
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				991,700
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	991,700
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	4,824,291	19,654,899	0 245450
2012	5,430,514	18,999,219	0 285828
2011	5,801,870	33,883,609	0 171229
2010	6,711,116	25,839,692	0 259721
2009	7,492,175	25,535,597	0 293401

2	**Total** of line 1, column (d)	2	1 255629
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 251126
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	18,703,491
5	Multiply line 4 by line 3	5	4,696,933
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	12,821
7	Add lines 5 and 6	7	4,709,754
8	Enter qualifying distributions from Part XII, line 4	8	4,495,442

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	25,642
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	25,642
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	25,642
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	24,684		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	24,684
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	958
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CO ETTA BRANDMAN AT STROOCK Telephone no ▶(212) 806-6027

Located at ▶180 MAIDEN LANE NEW YORK NY ZIP+4 ▶10038

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BETH LEIF C/O CARROLL MILTON PETRIE FND 767 THIRD AVENUE NEW YORK, NY 10038	EXECUTIVE DIRECTOR 30 00	108,333	20,000	0

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	16,736,129
b	Average of monthly cash balances	1b	2,252,187
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	18,988,316
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	18,988,316
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	284,825
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	18,703,491
6	**Minimum investment return.** Enter 5% of line 5	6	935,175

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	935,175
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 25,642		
b	Income tax for 2014 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	25,642
3	Distributable amount before adjustments Subtract line 2c from line 1	3	909,533
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	909,533
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	909,533

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,495,442
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,495,442
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,495,442

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					909,533
2	Undistributed income, if any, as of the end of 2014					
a	Enter amount for 2013 only.				0	
b	Total for prior years 20___ , 20___ , 20___			0		
3	Excess distributions carryover, if any, to 2014					
a	From 2009.	6,242,265				
b	From 2010.	5,432,768				
c	From 2011.	5,801,870				
d	From 2012.	5,430,514				
e	From 2013.	3,866,227				
f	**Total** of lines 3a through e.		26,773,644			
4	Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 4,495,442					
a	Applied to 2013, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see instructions).			0		
c	Treated as distributions out of corpus (Election required—see instructions).		1,234,567			
d	Applied to 2014 distributable amount.					909,533
e	Remaining amount distributed out of corpus		2,351,342			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		30,359,553			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d	Subtract line 6c from line 6b Taxable amount —see instructions			0		
e	Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f	Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .		6,242,265			
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		24,117,288			
10	Analysis of line 9					
a	Excess from 2010. . . .	5,432,768				
b	Excess from 2011. . . .	5,801,870				
c	Excess from 2012. . . .	5,430,514				
d	Excess from 2013. . . .	3,866,227				
e	Excess from 2014. . . .	3,585,909				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				4,324,514
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	101,897	
4 Dividends and interest from securities			14	216,788	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	991,700	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a FOREIGN EXCHANGE GAIN			01	68	
b MISCELLANOUS			01	8,341	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		1,318,794	0

13 Total. Add line 12, columns (b), (d), and (e) **13** 1,318,794

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2015-11-12	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
AARON SHAPIRO				P01333816

Firm's name ▶ LOEB & TROPER LLP

Firm's EIN ▶ 13-1517563

Firm's address ▶ 655 THIRD AVENUE 12TH FLOOR NEW YORK, NY 10017

Phone no (212) 867-4000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JEROME A MANNING 180 MAIDEN LANE NEW YORK, NY 10038	PRESIDENT THROUGH MAY 2014/DIRECTOR 1 00	0	0	0
JEAN L TROUBH 180 MAIDEN LANE NEW YORK, NY 10038	VICE-PRESIDENT/DIRECTOR 1 00	0	0	0
CARROLL PETRIE 180 MAIDEN LANE NEW YORK, NY 10038	HONORARY CHAIRPERSON 1 00	0	0	0
CHARLES A KLEIN 180 MAIDEN LANE NEW YORK, NY 10038	SECRETARY/DIRECTOR 1 00	0	0	0
ETTA BRANDMAN 180 MAIDEN LANE NEW YORK, NY 10038	PRESIDENT/TREASURER 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BARUCH COLLEGE 5 LEXINGTON AVENUE NEW YORK, NY 10010	NONE	PUBLIC	EDUCATIONAL	100,000
BOROUGH OF MANHATTAN COMMUNITY COLLEGE 199 CHAMBERS ST NEW YORK, NY 10007	NONE	PUBLIC	EDUCATIONAL	100,000
BRONX COMMUNITY COLLEGE 2155 UNIVERSITY AVENUE NEW YORK, NY 10453	NONE	PUBLIC	EDUCATIONAL	100,000
BROOKLYN COLLEGE FOUNDATION 2900 BEDFORD AVENUE BROOKLYN, NY 11210	NONE	PUBLIC	EDUCATIONAL	100,000
CITY PARKS FOUNDATION 830 FIFTH AVENUE NEW YORK, NY 10021	NONE	PUBLIC	EDUCATIONAL	40,000
COLLEGE OF STATEN ISLAND 2800 VICTORY BLVD STATEN ISLAND, NY 10314	NONE	PUBLIC	EDUCATIONAL	100,000
HOSTOS COMMUNITY COLLEGE 500 GRAND CONCOURSE BRONX, NY 10451	NONE	PUBLIC	EDUCATIONAL	65,000
THE COOPER UNION FOR THE ADVANCEMENT OF SCIENCE & ART 30 COOPER SQUARE NEW YORK, NY 10003	NONE	PUBLIC	EDUCATIONAL	50,000
JOHN JAY COLLEGE OF CRIMINAL JUSTICE 524 WEST 59TH STREET NEW YORK, NY 10019	NONE	PUBLIC	EDUCATIONAL	100,000
LEHMAN COLLEGE 250 BEDFORD PARK BOULEVARD WEST BRONX, NY 10468	NONE	PUBLIC	EDUCATIONAL	100,000
NEW YORK CITY OUTWARD BOUND 29-46 NORTHERN BLVD NEW YORK, NY 11101	NONE	PUBLIC	EDUCATIONAL	100,000
PURCHASE COLLEGE FOUNDATION 735 ANDERSON HILL RD PURCHASE, NY 10577	NONE	PUBLIC	EDUCATIONAL	100,000
QUEENS COLLEGE 65-30 KISSENA BLVD FLUSHING, NY 11367	NONE	PUBLIC	EDUCATIONAL	100,000
RESEARCH FOUNDATION FOR CUNY 30 WEST 41ST ST NEW YORK, NY 10036	NONE	PUBLIC	EDUCATIONAL	255,348
THE NEW SCHOOL 66 WEST 66 12TH STREET NEW YORK, NY 10011	NONE	PUBLIC	EDUCATIONAL	100,000
Total . . . . ▶ **3a**				4,324,514

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YORK COLLEGE FOUNDATION 94 - 20 GUY R BREWER BLVD JAMAICA,NY 11451	NONE	PUBLIC	EDUCATIONAL	100,000
AMERICAN MUSEUM OF NATURAL HISTORY 200 CENTRAL PARK W NEW YORK,NY 11024	NONE	PUBLIC	EDUCATIONAL	406,532
INTERNATIONAL NETWORK FOR PUBLIC SCHOOLS 50 BROADWAY NEW YORK,NY 10004	NONE	PUBLIC	EDUCATIONAL	400,000
NEW YORK CITY COLLEGE OF TECHNOLOGY FOUNDATION 300 JAY STREET BROOKLYN,NY 11201	NONE	PUBLIC	EDUCATIONAL	100,000
QUEENSBOROUGH COMMUNITY COLLEGE 222-05 56TH AVE NEW YORK,NY 11364	NONE	PUBLIC	EDUCATIONAL	100,000
THE URBAN ASSEMBLY 90 BROAD STREET NEW YORK,NY 10004	NONE	PUBLIC	EDUCATIONAL	395,000
KINGSBOROUGH COMMUNITY COLLEGE 2001 ORIENTAL BLVD BROOKLYN,NY 11235	NONE	PUBLIC	EDUCATIONAL	12,000
CITY UNIVERSITY OF NEW YORK 217 EAST 42 ST NEW YORK,NY 10017	NONE	PUBLIC	EDUCATIONAL	100,000
NEW VISIONS FOR PUBLIC SCHOOLS 320 W 13TH ST NEW YORK,NY 10014	NONE	PUBLIC	EDUCATIONAL	587,357
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH NEW YORK,NY 10012	NONE	PUBLIC	EDUCATIONAL	75,157
CONVERSE COLLEGE 580 E MAIN ST SPARTANBURG,SC 29302	NONE	PUBLIC	EDUCATIONAL	320,000
LAGUARDIA COMMUNITY COLLEGE FOUNDATION 31-10 THOMSON AVE LONG ISLAND,NY 11101	NONE	PUBLIC	EDUCATIONAL	118,120
GOOD SHEPHERD SERVICES 337 E 17TH ST NEW YORK,NY 10003	NONE	PUBLIC	EDUCATIONAL	100,000
Total . . . . ▶ **3a**				4,324,514

TY 2014 Accounting Fees Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	34,180	16,748		17,432

TY 2014 Distribution from Corpus Election

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Election: PURSUANT TO IRC SECTION 4942(H)(2) AND REG. SECTION 53.4942(A)(2), TAXPAYER ELECTS TO TREAT $4,814,594 OF CURRENT QUALIFYING DISTRIBUTIONS AS COMING FROM CORPUS.

TY 2014 Investments Corporate Stock Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE	163,600	163,600
AFLAC INC	79,417	79,417
AIA GROUP	277,944	277,944
ALLERGAN INC.	340,144	340,144
ALTRIA	98,540	98,540
AMAZON	131,899	131,899
AMERICAN EXPRESS CO	288,424	288,424
ANADARKO PETROLEUM CORP	123,750	123,750
APPLE COMPUTER INC	231,798	231,798
AUTOMATIC DATA PROCESSING INC	125,055	125,055
BCE INC	68,790	68,790
BLACKROCK INC	153,751	153,751
BLACKSTONE GROUP LP	152,235	152,235
BOC HONG KONG HOLDINGS LTD(HKD)	167,412	167,412
BOEING CO	194,970	194,970
CELGENE CORP	407,170	407,170
CHEVRON	258,014	258,014
COLGATE PALMOLIVE CO	117,623	117,623
COMPAGNIE FINANCIERE RICHEMONT SA	191,141	191,141
COSTCO WHOLESALE CORP	615,195	615,195
CUMMINS INC	173,004	173,004
CYTEC INDUSTRIES INC	129,276	129,276
DAIMLER AG (EUR)	209,468	209,468
DIAGEO PLC SPONSORED ADR NEW	114,090	114,090
DU PONT'E I DEMOURS & CO	274,317	274,317
EBAY INC	140,300	140,300
ENTERPRISE PRODS PARTNERS LP COMUNIT	86,688	86,688
EXPRESS SCRIPTS HOLDING CO	287,878	287,878
EXXON MOBIL CORP	265,332	265,332
FAST RETAILING CO LTD	305,055	305,055

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENERAL ELECTRIC CO	227,430	227,430
GILEAD SCIENCES INC	150,816	150,816
GOOGLE	127,358	127,358
GOOGLE INC SER A	157,920	157,920
HALYARD HEALTH INC	5,684	5,684
HOME DEPOT INC	472,365	472,365
HONEYWELL INTERNATIONAL	299,760	299,760
HYUNDAI MOTOR CO.	259,650	259,650
INDUSTRIVARDEN AB SER C FR	145,714	145,714
INTEL CORP	181,450	181,450
INTERNATIONAL BUSINESS MACHINES CORP	128,352	128,352
INVESTOR AB(SEK)	328,903	328,903
KIMBERLY CLARK CORP	115,540	115,540
L'OREAL S A (EUR) 2 NEW	182,036	182,036
LOUIS VUITTON MOET HENNESSY	172,824	172,824
MICROCHIP TECHNOLOGY INC	225,550	225,550
MICROSOFT CORP	102,190	102,190
MYLAN INC	620,070	620,070
NESTLE SA (CHF) 1	245,074	245,074
NIKE INC	371,139	371,139
NOVO-NORDISK AS	190,312	190,312
PAYCHEX INC	69,255	69,255
PFIZER INC	124,600	124,600
PRAXXAIR INC.	134,742	134,742
PROCTER & GAMBLE CO.	136,635	136,635
QUALCOMM INC	356,784	356,784
REGENCY ENERGY PARTNERS LP	72,000	72,000
ROCHE HOLDING AG BASIL (CHF)	268,760	268,760
ROCKWELL AUTOMATION INC	133,440	133,440
ROYAL DUTCH PLC ADR	87,035	87,035

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SANDISK CORP	156,768	156,768
SCHLUMBERGER LTD	184,486	184,486
SEAGATE TECHNOLOGY	199,500	199,500
SKANSKA AB-B (SEK)	172,225	172,225
STARHUB LTD	125,302	125,302
TAIWAN SEMICONDUCTOR MFG CO SPON ADR	268,560	268,560
TELSTRA CORP	97,490	97,490
TRANSCANDA CORP	98,200	98,200
TRIMBLE NAVIGATION LTD	204,889	204,889
UNION PACIFIC CORP	142,956	142,956
UNITED PARCEL SVC INC CL B	244,574	244,574
VARIAN MEDICAL SYSTEMS INC	173,020	173,020
VERISON COMMUNICATIONS	143,849	143,849
WALT DISNEY	487,904	487,904
WHOLE FOODS MARKET INC.	302,520	302,520
YAHOO INC	303,060	303,060
KONIKIJKE PHILIPS ELECTRS N V SPON ADR	136,300	136,300
LVMH MOET HENNESSY LOUIS VUITTON	18,792	18,792
MCDONALDS CORP	112,440	112,440
REGAL ENTERTAINMENT GROUP	128,160	128,160

TY 2014 Legal Fees Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	46,468	11,617		34,851

TY 2014 Other Expenses Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	4,720	0		708
OFFICE EXPENSES	449	0		449
ADVERTISING	403	0		403
OTHER FEES	92,905	0		0
DUES & SUBSCRIPTIONS	49	0		0

TY 2014 Other Income Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FOREIGN EXCHANGE GAIN	68	68	68
MISCELLANOUS	8,341		8,341

TY 2014 Other Increases Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	2,057,696

TY 2014 Taxes Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	8,452	0		8,452
FEDERAL TAXES- ESTIMATED PAYMENTS	2,312	0		0
FEDERAL TAXES	1,458	0		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK	20-1451752

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	**Employer identification number**
THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK	20-1451752

Part I **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization	Employer identification number
THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK	20-1451752

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
9	STOCK CONTRIBUTION	$ 2,985,514	2014-01-01

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

Employer identification number
20-1451752

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 20-1451752
Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST UWO MILTON PETRIE ART 22A6 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 91,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
2	TRUST UWO MILTON PETRIE ART 22A7 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 11,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
3	TRUST UWO MILTON PETRIE ART 22A5 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 194,800	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
4	TRUST UWO MILTON PETRIE ART 22A1 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 209,999	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
5	TRUST UWO MILTON PETRIE ART 22A8 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 200,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
6	TRUST UWO MILTON PETRIE ART 22A3 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 75,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	TRUST UWO MILTON PETRIE ART 22A4 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 24,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
8	TRUST UWO MILTON PETRIE ART 22A9 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 50,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
9	PATRICIA HUGENBERG TRUST STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 2,985,514	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
10	TRUST UWO MILTON PETRIE ART 22A2 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 38,500	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
11	TRUST UWO MILTON PETRIE ART 22B STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY 10038	$ 4,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)