Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: THE TABBAA FOUNDATION

A Employer identification number: 20-1097781

Number and street (or P O box number if mail is not delivered to street address): 16959 KINGS FAIRWAY LANE

Room/suite:

B Telephone number (see instructions): 810-732-5955

City or town, state or province, country, and ZIP or foreign postal code: GRAND BLANC MI 48439

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 3,944,402

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	100,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	23,269	23,269	23,269	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	110,138			
	b	Gross sales price for all assets on line 6a ~~6,335,907~~				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	233,407	23,269	23,269	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	4,250			4,250
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 3	71,172			71,172
	24	**Total operating and administrative expenses.** Add lines 13 through 23	75,422	0	0	75,422
	25	Contributions, gifts, grants paid	120,550			120,550
	26	**Total expenses and disbursements.** Add lines 24 and 25	195,972	0	0	195,972
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	37,435			
	b	**Net investment income** (if negative, enter -0-)		23,269		
	c	**Adjusted net income** (if negative, enter -0-)			23,269	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	583,399	116,077	116,077
	2	Savings and temporary cash investments	1,622,722	1,634,110	1,634,110
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) See Stmt 4	1,263,599	1,359,433	1,359,433
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) See Statement 5	437,247	834,782	834,782
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	3,906,967	3,944,402	3,944,402
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,906,967	3,944,402	
	30	**Total net assets or fund balances** (see instructions)	3,906,967	3,944,402	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,906,967	3,944,402	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,906,967
2	Enter amount from Part I, line 27a	2	37,435
3	Other increases not included in line 2 (itemize) ▶ See Statement 6	3	
4	Add lines 1, 2, and 3	4	3,944,402
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,944,402

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CIG SECURITIES			
b	FOLIOFN INVESTMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	185,750	3,716,364	0.049982
2012	173,559	3,514,227	0.049388
2011	125,239	2,477,589	0.050549
2010	122,571	2,444,662	0.050138
2009	73,877	1,798,545	0.041076

2 **Total** of line 1, column (d)	2	0.241133
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048227
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	3,905,116
5 Multiply line 4 by line 3	5	188,332
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	233
7 Add lines 5 and 6	7	188,565
8 Enter qualifying distributions from Part XII, line 4	8	195,972

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	233
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	233
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	233
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	233
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 7	10	X	

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ A AND A MANAGEMENT Telephone no ▶ 810-732-5955
5202 LENNON ROAD
Located at ▶ FLINT MI ZIP+4 ▶ 48507

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR. ABDUL TABBAA BURTON 1501 S CENTER ROAD MI 48509	PRESIDENT 5.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions) If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 TRAVEL, LODGING, AND CONSULTING EXPENSES PAID IN CONNECTION WITH IDENTIFYING AND EVALUATING PROSPECTIVE DONEE NON-PROFITS.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	2,017,054
c	Fair market value of all other assets (see instructions)	1c	1,947,531
d	**Total** (add lines 1a, b, and c)	1d	3,964,585
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,964,585
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	59,469
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,905,116
6	**Minimum investment return.** Enter 5% of line 5	6	195,256

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	195,256
2a	Tax on investment income for 2014 from Part VI, line 5 2a 233		
b	Income tax for 2014 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	233
3	Distributable amount before adjustments Subtract line 2c from line 1	3	195,023
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	195,023
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	195,023

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	195,972
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	195,972
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	233
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	195,739

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7					195,023
2 Undistributed income, if any, as of the end of 2014					
a Enter amount for 2013 only					
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2014					
a From 2009					
b From 2010	2,090				
c From 2011	2,041				
d From 2012					
e From 2013	932				
f **Total** of lines 3a through e		5,063			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 195,972					
a Applied to 2013, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2014 distributable amount					195,023
e Remaining amount distributed out of corpus		949			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		6,012			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		6,012			
10 Analysis of line 9					
a Excess from 2010	2,090				
b Excess from 2011	2,041				
c Excess from 2012					
d Excess from 2013	932				
e Excess from 2014	949				

DAA

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2014	Prior 3 years (b) 2013	(c) 2012	(d) 2011	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

DR. ABDUL TABBAA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 8				120,550
Total			▶ 3a	120,550
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					23,269
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					110,138
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		0	133,407

13 **Total.** Add line 12, columns (b), (d), and (e) 13 133,407

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Abdul H. Tabbaa MD 8/17/2015 PRESIDENT

Signature of officer or trustee Date Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
MATT SHAUGHNESSY	MATT SHAUGHNESSY	08/17/15	
Firm's name ▶ A & A Management - Flint		PTIN	P00097344
Firm's address ▶ 5119 W Bristol Rd Ste B Flint, MI 48507-2966		Firm's EIN ▶	
		Phone no	810-732-5955

DAA

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
THE TABBAA FOUNDATION	20-1097781

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^1/_3$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: THE TABBAA FOUNDATION

Employer identification number: 20-1097781

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DR. ABDUL TABBAA 1501 SOUTH CENTER BURTON MI 48509	$ 100,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

DAA

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description: Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
SEE ATTACHED STATEMENT	Various	Various	Purchase	$ 5,967,827	$ 5,894,523	$	$	$ 73,304
SEE ATTACHED STATEMENT	Various	Various	Purchase	129,941	124,784			5,157
SEE ATTACHED STATEMENT	Various	Various	Purchase	171,687	141,011			30,676
SEE ATTACHED STATEMENT	Various	Various	Purchase	66,452	65,451			1,001
Total				$ 6,335,907	$ 6,225,769	$ 0	$ 0	$ 110,138

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	$ 4,250	$	$	$ 4,250
Total	$ 4,250	$ 0	$ 0	$ 4,250

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
TRUSTEE FEES CIG SECURITIES	25,353			25,353
TRUSTEE FEES AZZAD	10,268			10,268
OUTSIDE SERVICES	35,551			35,551
Total	$ 71,172	$ 0	$ 0	$ 71,172

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
22367 POWERSHARES ET FUND	$	$ 537,479	Market	$ 537,479
3999 SHS SPDR S&P 500 ETF		821,954	Market	821,954
1700 SHS ISHARES TR US PFD STK IDX	62,611		Market	
3000 SHS NEXTERA ENERGY CAP HOLDINGS			Market	
875 SHS DUFF AND PHELPS UTILITY TRST			Market	
525 SHS DTE ENERGY			Market	
2500 SHS ENTERGY			Market	
6000 SHS PC CAPI QUIPS PROGRESS CP			Market	
3000 SHS NEXTERA ENERGY CAP			Market	
2538 SHS ISHARES CHINA	97,383		Market	
8565 SHS ISHARES RUSSELL 2000	988,058		Market	
592 SHS PIMCO DYNAMIC CREDIT	13,308		Market	
2011 SHS WISDOMTREE JAPAN	102,239		Market	
Total	$ 1,263,599	$ 1,359,433		$ 1,359,433

Statement 5 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CIG CAPITAL PARTNERS LP	$ 437,247	$ 419,597	Market	$ 419,597
AZZAD ETHICAL FUND		94,153	Market	94,153
AZZAD WISE CAPITAL FUND		321,032	Market	321,032
Total	$ 437,247	$ 834,782		$ 834,782

Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
FAIR MARKET VALUE ADJUSTMENT	$
Total	$ 0

Statement 7 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
DR. ABDUL TABBAA	1501 S CENTER	BURTON MI 48509

Federal Statements

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
DR. ABDUL TABBAA	$
Total	$ 0

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
ACCESS DEARBORN MI 48120	2651 SAULINO COURT	NON PROFIT	HUMANITARIAN	
AMERICAN FEDERATION OF MUSLIMS FARMINGTON HILLS MI 48336	29008 W 8 MILE ROAD	NON PROFIT	HUMANITARIAN	
AMERICAN HERITAGE COUNCIL FLINT MI 48502	416 NORTH SAGINAW STREET	NON PROFIT	EDUCATIONAL	
AMERICAN RELIEF AGENCY FOR THE HORN COLUMBIA HEIGHTS MN 55421	3900 JACKSON ST NE	501C(3)	HUMANITARIAN	200
ANERA WASHINGTON DC 2005	1111 14TH ST NW, STE 400	501C(3)	HUMANITARIAN	100
ARAB AMERICAN INSTITUTE WASHINGTON DC 20006	1600 K STREET, SUITE 601	NON PROFIT	HUMANITARIAN	
ARAB AMERICAN NATIONAL MU DEARBORN MI 48126	13624 MICHIGAN AVENUE	NON PROFIT	EDUCATIONAL	
ASSEMBLY OF MUSLIM JURIST CARMICHAEL CA 95608	4801 EL CAMINO AVENUE	NON PROFIT	EDUCATIONAL	5,000
BAITULMAAL IRVING TX 75016	PO BOX 166911	NON PROFIT	HUMANITARIAN	200
BERVERLY HILLS ACADEMY FRANKLIN MI 48025	32605 BELLVINE TRAIL	NON PROFIT	HUMANITARIAN	1,000
CAIR CHICAGO CHICAGO IL 60604	28 E JACKSON BLVD	NON PROFIT	HUMANITARIAN	
CAIR EXPLORE QURAN WASHINGTON DC 20003	453 NEW JERSEY AVENUE	NON PROFIT	EDUCATIONAL	
CAIR MICHIGAN SOUTHFIELD MI 48075	21700 NORTHWESTERN HWY	NON PROFIT	HUMANITARIAN	1,500
COMPASSIONATE LIFE FOUNDA SWARTZ CREEK MI 48473	9061 MILLER ROAD	NON PROFIT	HUMANITARIAN	
COUNCIL ON AMERICAN AND I WASHINGTON DC 20003	453 NEW JERSEY AVENUE	NON PROFIT	EDUCATIONAL	
DAR AL ARQAM SCHOOL DEARBORN MI 48126	39003 SCHAFER	NON PROFIT	EDUCATIONAL	
DAR AL HIJRAH ISLAMIC CEN FALLS CHURCH VA 22044	3159 ROW STREET	NON PROFIT	EDUCATIONAL	
EQUALLY ABLE FOUNDATION CHANTILLY VA 20153	PO BOX 221441	NON PROFIT	HUMANITARIAN	
FLINT ISLAMIC CENTER SWARTZ CREEK MI 48473	5271 DYEWOOD	NON PROFIT	EDUCATIONAL	350

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
FOUNDATION FOR THE FAITHF JAMAICA NY 11432	87-50 167TH STREET #6F	NON PROFIT	EDUCATIONAL	
FURQAAN ACADEMY ALLEN TX 75013	1227 W MCDERMOTT DRIVE	501C(3)	EDUCATIONAL	250
GARDEN STATE ISLAMIC CENTER VINELAND NJ 08360	633 MAURICE RIVER PARKWAY	NON PROFIT	EDUCATIONAL	
GRAND BLANC ISLAMIC CENTER GRAND BLANC MI 48439	1479 EAST BALDWIN	NON PROFIT	EDUCATIONAL	26,000
GULF MEDICAL RELIEF FUND METAIRIE LA 70006	4521 CONLIN STREET	NON PROFIT	HUMANITARIAN	
HELPING HAND USA DETROIT MI 48212	12346 MCDOUGALL STREET	NON PROFIT	HUMANITARIAN	100
HIDAYA FOUNDATION SANTA CLARA CA 95050	1765 SCOTT BLVE	NON PROFIT	EDUCATIONAL	500
INDIAN MUSLIM RELIEF PALO ALTO CA 94303	1000 SAN ANTONIO	NON PROFIT	HUMANITARIAN	100
INSTITUTE OF ISLAMIC EDUC ELGIN IL 60120	1048 BLUFF CITY	NON PROFIT	EDUCATIONAL	
INTERNATIONAL SCHOLAR PRO ATLANTA GA 30322	1784 NORTH DECATUR ROAD	NON PROFIT	EDUCATIONAL	
ISLAMIC AMERICAN SOCIETY WATERBURY CT 06721	120 & 95 SCHRAFFTS DRIVE	NON PROFIT	EDUCATIONAL	200
ISLAMIC AMERICAN UNIVERSI SOUTHFIELD MI 48075	23725 NORTHWESTERN HWY	NON PROFIT	EDCUATIONAL	
ISLAMIC CENTER OF CONNECTICUT WINDSOR CT 06095	140 WHITE ROCK DRIVE	501C(3)	EDUCATIONAL	100
ISLAMIC CENTER OF MAINE ORONO ME 04473	151 PARK STREET	NON PROFIT	EDUCATIONAL	
ISLAMIC CENTER OF NORTH DETROIT HAMTRAMICK MI 48212	12500 MCDOUGALL ST	NON PROFIT	HUMANITARAIN	
ISLAMIC MEDICAL ASSOCIATI LOMBARD IL 60148	101 W 22ND STREET	NON PROFIT	EDUCATIONAL	
ISLAMIC RELIEF BUENA PARK CA 90620	6131 ORANGETHORPE AVEN	NON PROFIT	HUMANITARIAN	200
ISLAMIC SERVICES FOUNDATI GARLAND TX 75044	3262 MEDICAL PLAZA DRIVE	NON PROFIT	EDUCATIONAL	

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship / Status	Purpose	Amount
ISLAMIC SO OF E. LANSING EAST LANSING MI 48823	920 SOUTH HARRISON NON PROFIT	EDUCATIONAL	
ISLAMIC SOCIETY OF ESSEX NEWARK NJ 07102	20-24 BRANFORD PLACE NON PROFIT	EDUCATIONAL	
ISLAMIC SOCIETY OF NORTH PLANFIELD IN 46168	6555 SOUTH 750 EAST NON PROFIT	EDUCATIONAL	
ISNA DEVELOPMENT FOUNDATI PLAINFIELD IN 46168	6555 SOUTH COUNTRY ROAD NON PROFIT	HUMANITARIAN	200
JERUSALEM FUND WASHINGTON DC 20037	2425 VIRGINIA AVENUE NON PROFIT	HUMANITARIAN	
KIND HEARTS CLEVELAND OH 44113	1468 WEST 9TH STREET NON PROFIT	EDUCATIONAL	
LANSING ISLAMIC SCHOOL EAST LANSING MI 48823	940 SOUTH HARRISON NON PROFIT	EDUCATIONAL	
LIFE FOR RELIEF AND DEVEL SOUTHFIELD MI 48075	17300 WEST 10 MILE ROAD NON PROFIT	HUMANITARIAN	400
MAS QUARAN INSTITUTE DETROIT MI 48210	4430 SAINT JAMES STREET NON PROFIT	EDUCATIONAL	
MAS YOUTH DETROIT FRASER MI 48026	31131 MCNAMEE STREET NON PROFIT	EDUCATIONAL	
MECCA INSTITUTE WASHINGTON DC 20001	PO BOX 26022 501C(3)	EDUCATIONAL	500
MERCY USA PLYMOUTH MI 48170	44450 PINETREE DRIVE NON PROFIT	HUMANITARIAN	
MICHIGAN ISLAMIC ACADEMY ANN ARBOR MI 48105	2301 PLYMOUTH ROAD NON PROFIT	EDUCATIONAL	
MICHIGAN ISLAMIC ACADEMY ANN ARBOR MI 48105	2301 PLYMOUTH ROAD NON PROFIT	EDUCATIONAL	100
MISHKAH UNIVERSITY COLUMBIA HEIGHTS MN 55421	4111 CENTRAL AVENUE NE 501C(3)	EDUCATIONAL	200
MOSQUE FOUNDATION BRIDGVIEW IL 60455	7260 WEST 93RD STREET NON PROFIT	EDUCATIONAL	
MUSLIM AMERICAN SOCIETY BRIDEGVIEW IL 60455	9210 S OKETO AVE NON PROFIT	EDUCATIONAL	250
MUSLIM CHARITY INTERNATIO SCHAUMBURG IL 60168	P.O. BOX 68824 NON PROFIT	HUMANITARIAN	

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
MUSLIM FAMILY SERVICES DETROIT MI 48212	12346 MCDOUGALL	NON PROFIT	HUMANITARIAN	100
MUSLIM LEGAL FUND OF AMERICA RICHARDSON TX 75081	833 E ARAPRAHO ROAD #209	NON PROFIT	HUMANITAIRIAN	100
MUSLIM UNITY FOUDATION NEW YORK NY 10016	303 FIFTH AVENUE #1816	NON PROIT	EDUCATIONAL	
NORTH AMERICAN ISLAMIC FOUNDATION STERLING VA 20164	120 ENTERPRISE STREET	NON PROFIT	HUMANITARIAN	
PEACE FOR CHILDREN WORTH IL 60482	PO BOX 671	501C(3)	HUMANITARIAN	500
QUARAN LEARNING CENTER HAMTRAMCK MI 48212	4541 GARVIN STREET	501C(3)	EDUCTIONAL	200
SYRIAN AMERICAN CONGRESS OAKBROOK IL 60523	1415 WEST 22ND STREET	NON PROFIT	EDUCATIONAL	
SYRIAN AMERICAN MEDICAL SOCIEITY CANFIELD OH 44406	PO BOX 1015	NON PROFIT	HUMANITARIAN	57,000
SYRIAN SUNRISE FOUNDATION FRANKLIN MI 48025	PO BOX 250549	NON PROFIT	HUMANITARIAN	13,000
THE MOSQUE FOUNDATION BRIDGEVIEW IL 60455	7360 WEST 93RD ST	NON PROFIT	EDUCATIONAL	100
THE MUSLIM OBSERVER FARMINGTON MI 48336	29004 WEST EIGHT MILE ROA	NON PROFIT	EDUCATIONAL	100
THE QUARAN INSTITUTE OF AMERICA DETROIT MI 48210	4430 ST JAMES STREET	NON PROFIT	EDUCATIONAL	6,000
THROUGH THE LOOKING BERKELEY CA 94710	2198 SIXTH STREET	NON PROFIT	HUMANITARIAN	
TMO FOUNDATION FARMINGTON MI 48336	29004 W 8 MILE ROAD	501C(3)	EDUCATIONAL	100
TMU FOUNDATION NEW YORK NY 10036	6 WEST 48TH STREET, 12TH	NON PROFIT	EDUCATIONAL	
TRACY ISLAMIC CENTER TRACY CA 95304	11299 WEST LARCH ROAD	NON PROFIT	EDUCATIOANAL	100
UMMAH RELIEF INTERNATIONAL ELGIN IL 60123	311 HEINE AVE	501C(3)	HUMANITARIAN	200
UNITED FOR A FREE SYRIA FLINT MI 48507	4400 SOUTH SAGINAW ST	501C(3)	HUMANITARIAN	5,000

Federal Statements

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
ZAKAT FOUNDAITON WORTH IL 60482	PO BOX 639	NON PROFIT	EDUCATIONAL	500
ZAYTUNA COLLEGE BERKELY CA 94704	2515 HILLEGASS AVENUE	NON PROFIT	EDUCATIONAL	100
Total				120,550

CIG Securities

CIG Securities, Inc
1000 Town Center, Suite 2500
Southfield, MI 48075,
Phone (248) 827-1010
Member FINRA/SIPC

Account Number: TR6-005581

Recipient's Identification Number: **-***7781

2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

Recipient's Name and Address:

THE TABBAA FOUNDATION
ATTN ABDUL TABBAA
16959 KINGS FAIRWAY LN
GRAND BLANC MI 48439-3504

Your Wealth Consultant:

OSMAN MINKARA
1000 TOWN CENTER, SUITE 2500
SOUTHFIELD MI 48075-1230
(248) 827-1010
ID: 299

Payer Information:

PERSHING LLC

Federal Identification Number: 13-2741729

This statement is being provided for informational purposes only. The information on this statement will not be furnished to the Internal Revenue Service.

See page 2 if you are expecting another tax statement.

Summary of Form 1099-B

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	Amount
Proceeds (For Covered and Noncovered Transactions)	6,034,279 23
Cost or Other Basis (For Covered Transactions Only)	6,002,204.73
Federal Tax Withheld - Proceeds	**0.00**
State Tax Withheld - Proceeds	0.00
Regulated Futures and Foreign Currency Forward Contracts:	
Profit or (Loss) Realized in 2014	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2013	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2014	0.00
Aggregate Profit or (Loss)	0.00
Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts ...	**0.00**
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	0.00

2014 1099-INT Interest Income OMB No. 1545-0112

Box		Amount
1	Interest Income	41.51
3	Interest on U.S. Savings Bonds & Treasury Obligations	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
8	Tax-Exempt Interest	0.00
9	Specified Private Activity Bond Interest	0.00
10	Market Discount (For Covered Transactions Only)	0.00
11	Bond Premium (For Covered Transactions Only)	0.00
12	Tax-Exempt Bond CUSIP Number	Various
13 /14 /15	State /State ID# /State Tax Withheld	

2014 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box		Amount
1a	Total Ordinary Dividends	23,268.80
1b	Qualified Dividends	12,784.69
2a	Total Capital Gain Distributions	0.00
2b	Unrecaptured Section 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	1,741.54
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	335.81
8	Cash Liquidation Distributions	0.00
9	Noncash Liquidation Distributions	0.00
10	Exempt Interest Dividends	0.00
11	Specified Private Activity Bond Interest Dividends	0.00
12/13/14	State /State ID# /State Tax Withheld	

Summary of Form 1099-OID

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	Amount
Original Issue Discount (Non-U.S. Treasury Obligations)	0.00
Other Periodic Interest	0.00
Market Discount (For Covered Transactions Only)	0.00
Acquisition Premium (For Covered Transactions Only)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Investment Expenses	0.00
Federal Income Tax Withheld	**0.00**
State Tax Withheld	

2014 YOUR TAX INFORMATION STATEMENT

As of 02/27/2015

IMPORTANT MESSAGE: Certain types of securities (e.g., mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities. The final information needed typically becomes available between January and March. Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds. In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets. Tax statement mailings will occur in a four-phased approach: January 31, February 17, February 28 and March 16. As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account. For additional information, please visit mytaxhandbook.com.

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Securities Purchased	
Net Cost of Securities Purchased	6,406,814.74

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.							
Covered (Box 3)							
Description (Box 1a): ISHARES TR CHINA LAR GE-CAP ETF					**CUSIP:** 464287184		
SELL	FIRST IN FIRST OUT	3,335	05/13/2014	06/23/2014	124,663 21	118,269.10	
SELL	FIRST IN FIRST OUT	2,665	05/13/2014	07/29/2014	109,779.05	94,508.90	
SELL	FIRST IN FIRST OUT	3,080	09/11/2014	10/10/2014	116,468 55	126,465.42	9,996.87 W

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
Description (Box 1a): ISHARES TR CHINA LAR GE-CAP ETF					**CUSIP:** 464287184 *(Continued)*		
SELL	FIRST IN FIRST OUT	2,190	09/25/2014	10/10/2014	82,813.68	92,353.69	9,540.01 W
	Wash sale: 29 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	890	09/30/2014	10/10/2014	33,654.87	36,979.18	
	Wash sale: 29 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	2,190	09/30/2014	10/10/2014	82,813.67	93,425.55	
	Wash sale: 44 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	1,588	09/30/2014	10/10/2014	60,049.37	60,826.60	
SALE DATE TOTAL		**9,938**	**VARIOUS**	**10/10/2014**	**375,800.14**	**410,050.44**	**19,536.88 W**
SECURITY TOTAL					**610,242.40**	**622,828.44**	**19,536.88 W**
Description (Box 1a): ISHARES TR NASDAQ BI OTECHNOLOGY ETF					**CUSIP:** 464287556		
SELL	FIRST IN FIRST OUT	430	05/12/2014	06/05/2014	105,225.51	99,355.50	
SELL	FIRST IN FIRST OUT	430	05/12/2014	06/24/2014	110,788.47	99,355.50	
SECURITY TOTAL					**216,013.98**	**198,711.00**	
Description (Box 1a): ISHARES TR RUSSELL 2 000 ETF					**CUSIP:** 464287655		
SELL	FIRST IN FIRST OUT	1,388	04/11/2014	04/22/2014	159,518.53	154,569.07	
SELL	FIRST IN FIRST OUT	1,820	05/02/2014	05/06/2014	200,444.18	203,989.42	

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Description (Box 1a): ISHARES TR RUSSELL 2 000 ETF — CUSIP: 464287655 *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
SELL	FIRST IN FIRST OUT	3,598	08/20/2014	09/30/2014	394,330 64	412,892.45	18,561.81 W
SELL	FIRST IN FIRST OUT	1,050	08/21/2014	09/30/2014	115,077.04	119,902.96	4,825.92 W
SALE DATE TOTAL		**4,648**	**VARIOUS**	**09/30/2014**	**509,407.68**	**532,795.41**	**23,387.73 W**
SELL	FIRST IN FIRST OUT	323	08/21/2014	10/01/2014	35,029.48	36,884.44	1,854.96 W
SELL	FIRST IN FIRST OUT	1,233	09/17/2014	10/01/2014	133,719.35	147,905.90	14,186.55 W
	Wash sale: 41 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	2,365	09/24/2014	10/01/2014	256,485.20	276,045.93	19,560.73 W
	Wash sale: 41 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	728	09/24/2014	10/01/2014	78,951.89	84,563.40	5,611.51 W
	Wash sale: 40 day(s) added to holding period						
SALE DATE TOTAL		**4,649**	**VARIOUS**	**10/01/2014**	**504,185.92**	**545,399.67**	**41,213.75 W**
SELL	FIRST IN FIRST OUT	322	10/03/2014	10/09/2014	34,213.71	36,759.17	2,545.46 W
	Wash sale: 40 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	323	10/03/2014	10/09/2014	34,319.96	37,243.74	2,923.78 W
	Wash sale: 41 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	1,233	10/03/2014	10/09/2014	131,010.88	149,277.48	17,866.60 W
	Wash sale: 14 day(s) added to holding period						

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Description (Box 1a): ISHARES TR RUSSELL 2 000 ETF — CUSIP: 464287655 *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments
SELL	FIRST IN FIRST OUT	1,526	10/03/2014	10/09/2014	162,143.23	179,814.26	
	Wash sale: 7 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	7	10/06/2014	10/09/2014	743.77	821.37	
	Wash sale: 7 day(s) added to holding period						
	SALE DATE TOTAL	**3,411**	**VARIOUS**	**10/09/2014**	**362,431.55**	**403,916.02**	**23,335.84 W**
SELL	FIRST IN FIRST OUT	832	10/06/2014	10/10/2014	87,902.10	97,625.31	
	Wash sale: 7 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	728	10/06/2014	10/10/2014	76,914.34	85,012.43	
	Wash sale: 7 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	322	10/06/2014	10/10/2014	34,019.80	37,665.10	
	Wash sale: 6 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	323	10/06/2014	10/10/2014	34,125.45	38,152.49	
	Wash sale: 6 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	1,206	10/06/2014	10/10/2014	127,415.79	149,401.65	
	Wash sale: 6 day(s) added to holding period						
	SALE DATE TOTAL	**3,411**	**VARIOUS**	**10/10/2014**	**360,377.48**	**407,856.98**	
	SECURITY TOTAL				**2,096,365.34**	**2,248,526.57**	**87,937.32 W**

Recipient's Name and Address:
THE TABBAA FOUNDATION
ATTN ABDUL TABBAA

Account Number: TR6-005581

Recipient's Identification Number: **-***7781

2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments
Description (Box 1a): ISHARES TR IBOXX $ H IGH YIELD CORP BD ET F					CUSIP: 464288513		
SELL	FIRST IN FIRST OUT	1,257	01/29/2014	04/24/2014	118,408.92	117,078.87	
Description (Box 1a): JPMORGAN CHASE & CO ALERIAN MLP INDEX ET N BASED ON WAP (LEVE					CUSIP: 46625H365		
SELL	FIRST IN FIRST OUT	2,531	02/03/2014	06/09/2014	127,398.11	116,707.95	
SELL	FIRST IN FIRST OUT	480	02/26/2014	06/09/2014	24,160.84	22,226.40	
SALE DATE TOTAL		**3,011**	**VARIOUS**	**06/09/2014**	**151,558.95**	**138,934.35**	
Description (Box 1a): MFS INTERMEDIATE INC OME TRUST SH BEN INT					CUSIP: 55273C107		
SELL	VERSUS PURCHASE	6,870	01/31/2014	06/10/2014	36,721.39	35,852.54	
SELL	VERSUS PURCHASE	6,246	01/31/2014	06/11/2014	33,499.05	32,596.06	
SELL	VERSUS PURCHASE	2,352	01/31/2014	06/12/2014	12,582.92	12,274.41	
SELL	VERSUS PURCHASE	3,894	02/04/2014	06/12/2014	20,832.44	20,369.55	
SALE DATE TOTAL		**6,246**	**VARIOUS**	**06/12/2014**	**33,415.36**	**32,643.96**	
SELL	VERSUS PURCHASE	2,756	02/04/2014	06/13/2014	14,712.85	14,416.66	
SELL	VERSUS PURCHASE	5,988	03/05/2014	06/13/2014	31,966.83	31,410.71	
SALE DATE TOTAL		**8,744**	**VARIOUS**	**06/13/2014**	**46,679.68**	**45,827.37**	
SELL	VERSUS PURCHASE	3,842	03/05/2014	06/16/2014	20,511.59	20,153.64	
SECURITY TOTAL					**170,827.07**	**167,073.57**	

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2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*
(For individuals, report details on Form 8949)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) *(Continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
Description (Box 1a): MARKET VECTORS ETF T R JR GOLD MINERS ETF NEW					**CUSIP: 57061R544**		
SELL	FIRST IN FIRST OUT	1,239	06/26/2014	07/16/2014	51,977 38	50,944.83	
SELL	FIRST IN FIRST OUT	1,172	07/08/2014	07/16/2014	49,166.65	50,525.51	
	SALE DATE TOTAL	**2,411**	**VARIOUS**	**07/16/2014**	**101,144.03**	**101,470.34**	
Description (Box 1a): PIMCO DYNAMIC CREDIT INCOME FD COM SHS					**CUSIP: 72202D106**		
SELL	FIRST IN FIRST OUT	592	10/17/2013	04/14/2014	13,568.84	13,040.75	
Description (Box 1a): SPDR S&P 500 ETF TR TR UNIT					**CUSIP: 78462F103**		
SELL	FIRST IN FIRST OUT	20	03/24/2014	06/26/2014	3,905.87	3,701.02	
SELL	FIRST IN FIRST OUT	1,061	03/26/2014	06/26/2014	207,206.38	197,815.60	
	SALE DATE TOTAL	**1,081**	**VARIOUS**	**06/26/2014**	**211,112.25**	**201,516.62**	
SELL	FIRST IN FIRST OUT	1,081	03/26/2014	07/29/2014	213,336.58	201,544.45	
SELL	FIRST IN FIRST OUT	1,035	05/15/2014	07/29/2014	204,258.43	193,804.78	
	SALE DATE TOTAL	**2,116**	**VARIOUS**	**07/29/2014**	**417,595.01**	**395,349.23**	
SELL	FIRST IN FIRST OUT	788	05/15/2014	08/21/2014	156,857.46	147,553.79	
SELL	FIRST IN FIRST OUT	270	05/15/2014	09/09/2014	53,781.03	50,557.77	
SELL	FIRST IN FIRST OUT	1,057	07/01/2014	09/09/2014	210,542 76	208,563.01	
SELL	FIRST IN FIRST OUT	429	08/01/2014	09/09/2014	85,452.08	82,493.53	

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2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 (Continued)
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.							
Covered (Box 3) *(Continued)*							
Description (Box 1a): SPDR S&P 500 ETF TR TR UNIT					CUSIP: 78462F103 *(Continued)*		
SALE DATE TOTAL		**1,756**	**VARIOUS**	**09/09/2014**	**349,775.87**	**341,614.31**	
SELL	FIRST IN FIRST OUT	1,687	08/01/2014	09/12/2014	335,860.77	324,397.61	
SELL	FIRST IN FIRST OUT	70	08/05/2014	09/12/2014	13,936.13	13,438.95	
SALE DATE TOTAL		**1,757**	**VARIOUS**	**09/12/2014**	**349,796.90**	**337,836.56**	
SELL	FIRST IN FIRST OUT	787	10/02/2014	10/21/2014	151,686.25	151,893.44	207.19 W
SELL	FIRST IN FIRST OUT	787	10/15/2014	10/21/2014	151,686.25	145,792 35	
	Wash sale: 19 day(s) added to holding period						
SELL	FIRST IN FIRST OUT	3,638	10/15/2014	10/21/2014	701,187.54	672,984.54	
SALE DATE TOTAL		**5,212**	**VARIOUS**	**10/21/2014**	**1,004,560.04**	**970,670.33**	**207.19 W**
SECURITY TOTAL					**2,489,697.53**	**2,394,540.84**	**207.19 W**
Short-Term Covered Total					**5,967,827.06**	**6,002,204.73**	**107,681.39 W**
Covered Total					**5,967,827.06**	**6,002,204.73**	**107,681.39 W**

Recipient's Name and Address:
THE TABBAA FOUNDATION
ATTN ABDUL TABBAA

Account Number: TR6-005581

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2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*
(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss
Transactions for Which Basis is Not Reported to the IRS and for Which Short- or Long-Term Determination is Unknown (to Broker) - You must determine short-term or long-term based on your records and Report on Form 8949, Part I, with Box B checked, or on Form 8949, Part II, with Box E checked, as appropriate.							
Noncovered (Box 5)							
Description (Box 1a): ISHARES TR S&P U S P FD STK INDEX FD					CUSIP: 464288687		
SELL		100		04/22/2014	3,909.42		
SELL		1,400		04/22/2014	54,724.93		
SELL		200		04/22/2014	7,817.82		
SALE DATE TOTAL		1,700		04/22/2014	66,452.17		
Other Noncovered Total					66,452.17	0.00	
Noncovered Total					66,452.17	0.00	
Total					6,034,279.23	6,002,204.73	107,681.39 W

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered securities

Type of Gain or Loss (Box 2). The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description and Quantity (Box 1a). Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction, for example, SELL.

Disposition Method. The method used to select which lot will be disposed, for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

Date Acquired (Box 1b). This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Date Sold or Disposed (Box 1c). Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale

Proceeds (Box 1d). Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums) Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, *Schedule D (Capital Gains and Losses)* See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

Cost or Other Basis (Box 1e). This box shows the original cost, or adjusted cost basis, due to a corporate action, gifted or inherited cost basis. The IRS provides a detailed description of cost or other basis reporting in the 2014 Instructions for Form 1099-B available at irs.gov. For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications: IRS instructions for Schedule D and Form 8949, IRS Publication 550 (Investment Income and Expenses (*Including Capital Gains and Losses*)) and IRS Publication 551 (Basis of Assets). Average cost is segregated among covered, noncovered and gifted (see the Tax Guide at mytaxhandbook.com for more specific details)

Adjustments Code (Box 1f): The adjustment column may display one or more codes D, O, or W:

D = Market Discount. An adjustment code (D) will be displayed in (Box 1f) next to an amount for market discount. A market discount condition exists when the purchase cost of a bond is below the adjusted issue price of an original issue discount bond (OID bond) or below the redemption value of a non-OID bond. Investors may elect to recognize market discount as income throughout the time held. If no election has been made, Pershing is required to defer this recognition of income until the time of sale or maturity. The market discount displayed within Box 1g represents ordinary interest income up to the instrument's accrued market discount.

O = Option Premium (Not Reportable to the IRS). An adjustment code (O) will be displayed next to the amount for an option premium within the Adjustment column. When stock is sold based upon the assignment of either a put or a call option, the proceeds of that sale are adjusted by the price of the option or the option premium. The proceeds amount is increased by the option premium received or decreased by the option premium paid. See the Tax Guide or IRS Publication 550 for more information.

W = Wash Sale Loss Disallowed. An adjustment code (W) will be displayed in Box 1f next to an amount for a disallowed wash sale loss within the Adjustments column. This loss is being reported as disallowed because the sale of the covered security has been adjusted under the broker wash sale rule. This occurs when you re-purchase the identical security, as determined by CUSIP number, in the same brokerage account within the 30-day period preceding or following the date of the original loss. The wash sale loss is displayed within Box 1g as a positive amount as required by the IRS. *For additional information about wash sales, please refer to the following:* 2014 Instructions for Schedule D (and Form 8949) and IRS Publication 550 (Investment Income and Expenses (Including Capital Gains and Losses))

Adjustment Amount (Box 1g). Provides the amount of nondeductible loss in a wash sale transaction and/or the amount of accrued market discount. For details on wash sales and market discount, see Schedule D (Form 1040) instructions and IRS Publication 550

Sale Date Totals. If you have multiple lots with the same date sold or disposed of, you may see a line item with **SALE DATE TOTAL** noted in the Disposition Transaction column The totals provided in this line item are an aggregate of the lots listed above the total line when the security and sale or disposed dates are the same For these totals the word **VARIOUS** will be displayed in the Date of Acquisition column since the *total includes multiple lots with different acquisition dates* These total amounts are included to assist you with the completion of IRS Form(s) 8949.

Covered Options. Realized options opened in 2014 and closed in 2014 are reported to the IRS on the 1099-B Realized options opened in 2013 and closed in 2014 are not reported to the IRS on the 1099-B and will be displayed in Nonreportable Proceeds and Cost Basis Information section.

Transactions for Which Basis Is Not Reported to the IRS: This section of the Tax Information Statement reports proceeds information for noncovered transactions. You will see the following information reported or displayed in this section. noncovered indication, security description, disposition transaction type, date of sale or exchange, gross proceeds *and any option premium adjustments* Since this section displays and reports only proceeds-related information, you will not see the following information for transactions in this section type of gain or loss (short term or long term), disposition method, date of acquisition, cost or other basis, market discount, wash sale loss disallowed amounts and realized gains and losses.

Securities are considered covered if they are acquired on or after their applicable January 1 effective date, and noncovered if they are acquired before their covered effective date, as follows:

January 1, 2011 - Stock in a corporation
January 1, 2012 - Mutual fund shares, stock in DRPs and ETFs that are treated like mutual funds for tax purposes
January 1, 2014 - Simple debt instruments and options
January 1, 2016 - Complex debt instruments

For tax return reporting purposes, you may need to make adjustments to your cost basis information on IRS Form 8949 and Schedule D of IRS Form 1040. You may also need to take into account tax reporting rules that a reporting financial organization is not required to use when preparing your Form 1099-B, *such as when reporting wash sales*. As a result, you must consider all applicable tax return reporting rules, and be able to verify, from your own records, all cost basis information that is reported on your tax return The IRS requires you to maintain all such records, in case it requests that you produce them

For gifted shares where the original cost is greater than the fair market value, if you elect to include these shares in the average calculation, you must instruct your advisor or investment professional in writing to move these shares from fair market value to the average

The following information may be provided below certain transactions:

Cost Basis on Bonds. Pershing provides you with two different cost figures (when available) on debt instrument investments, the original cost of the bonds (which may have been provided by you or a third party) and a projection of where the adjusted current cost could be if the bonds had been amortized or accreted over the time you held the bonds. The adjusted cost for noncovered bonds was calculated without consideration of your elections and may not be the same method you chose when deciding to amortize or accrete. You or your tax professional should verify the amounts that have been previously calculated to adjust the cost basis of the bond and reported throughout the life of the bond starting with the original purchase price.

Covered bonds purchased in 2014 and beyond have been adjusted based on the elections Pershing has on file. For future purchases make sure you provide your advisor with the proper elections you made with the IRS.

The tax rules applicable to the reporting of gain and loss information are complex. You should consult your tax advisor for the appropriate tax treatment of your transactions and the manner in which they should be reported on your tax returns.

Short Sales. Cost basis reporting rules require brokers to report short sales for the tax year the short sale is "closed" (or the tax year it is covered by delivery of securities to satisfy the short sale obligation). In tax years prior to 2011, short sales were reportable for the tax year the short sell was "opened" (or the tax year it occurred).

Short Options With a Buy to Cover. For these types of transactions the IRS requires the reporting of the gain or loss amount as proceeds. In these cases, the Disposition Transaction type will read BUY TO COVER. *A note will be provided directly below the 1099-B record with* the actual proceeds and cost basis amounts for the transaction, as available

Please see the 2014 Tax Guide at mytaxhandbook.com for more information about cost basis reporting and your tax statement. For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications: 2014 Instructions for Schedule D and Form 8949, IRS Publication 550 (Investment Income and Expenses) and IRS Publication 551 (Basis of Assets). You can find these publications at irs.gov.

Income Tax Withholding:

If federal and/or state income taxes were withheld from your proceeds amounts, they will be reported and displayed in this section.

Disposition Transaction. This column is provided for reference purposes only and will denote the type of transaction, for example, SELL.

Quantity. This quantity is provided for reference purposes only and is the total number of shares that may have been split and reported in earlier 1099-B sections.

Recipient's Name and Address:

THE TABBAA FOUNDATION
ATTN ABDUL TABBAA

Account Number: TR6-005581

Recipient's Identification
Number: **-***7781

2014
YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

Proceeds. This amount is provided for reference purposes only and is the total proceeds amount for a sale or exchange. If a sale or exchange included multiple lots, the proceeds amounts were reported at the lot level in the appropriate 1099-B section.
Date Sold or Disposed. This box shows the trade date of the sale or exchange.
Federal Income Tax Withheld (Box 4). Report federal income tax withheld (backup withholding) as a payment on IRS Form 1040 (U.S. Individual Income Tax Return), line 64. Federal income tax withheld is 28% of gross proceeds. See the Additional Information section of these instructions.
State (Box 14). Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld
State ID Number (Box 15). Displays the payer's state identification number(s).
State Tax Withheld (Box 16). Displays the amount of state income tax(es) withheld
The Internal Revenue Service requires us to remind taxpayers that you are ultimately responsible for the accuracy of your tax return.

INTEREST INCOME (Details of Form 1099-INT)

Acquisition Date	Quantity	Original Cost Basis	Period Begin / End Date	Cost Basis at Beginning/End of Period	Interest Income (Box 1)	Interest on U.S. Savings Bonds and Treasury Obligations (Box 3)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	Market Discount (Box 10)	Bond Premium (Interest Offset) (Box 11)
Description: LIQUID INSURED DEPOSITS FOR TAX YEAR 2014							CUSIP: 761991108 U.S. CORP.			
					41.51					
Total					41.51					

IRS Form 1099-INT - Interest Income:

The amounts in this section of your Tax Information Statement reflect interest paid to you during 2014.
Payment Date: The payment date for the interest payments are displayed above the details. A payment amount may be prorated across multiple lots. If there are multiple lots for a payment a payment total is provided after the details. The payment total will match the interest paid on the noted payment date.
Acquisition Date. This column represents the date you acquired the security or, for short sales, the date you opened the short sale.
Quantity. The quantity refers to the number of bonds held per lot.
Original Cost Basis. Amount represents the original purchase price and has not been adjusted for return of principal distributions.
Period Begin/End Date. The beginning and end date of the accrual period.
Cost Basis at Beginning/End of Period. Amount represents the purchase price adjusted for return of principal distributions, amortization and accretion at the beginning and end of an accrual period
Box 1 - Interest Income. Interest earned from U.S. corporations, U.S. government agencies, foreign sources and credit interest is included in Box 1. Report total interest income not included in Boxes 3 and 8 on IRS Form 1040, Schedule B (Interest and Ordinary Dividends), line 1.
Box 3 - Interest on U.S. Savings Bonds and Treasury Obligations. U.S. government interest income is exempt from state and local income taxes and is reported in Box 3. This income is not included in Box 1. Report total interest income on U.S. savings bonds and Treasury obligations on IRS Form 1040, Schedule B, line 1.
Box 5 - Investment Expenses. The amount reported in this box represents your share of investment expenses of a single-class real estate mortgage investment conduit (REMIC). Your share of a UIT's or a WHFIT's expenses is also reported in Box 5. You may deduct these expenses, subject to the 2% limit, on the "Other Expenses" line on IRS Form 1040, Schedule A, Itemized Deductions, line 23. These expenses are included in Box 1.
Box 6 - Foreign Tax Paid. This box shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your IRS Form 1040. See the Additional Information section of these instructions.
Box 10 - Market Discount. If you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election, this shows the market discount that accrued on the debt instrument during the year while held by you. Report this amount on your income tax return as directed in the instructions for Form 1040 or 1040A. The market discount will be displayed for both covered and noncovered transactions. Market discount amounts will only be reported to the IRS for covered transactions.
Box 11 - Bond Premium (Interest Offset). Shows the current year amount of premium amortization for covered and noncovered securities, unless you notified the payer in writing, in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B, Line 1 "Amortizable bond premium." If elected report as "ABP Adjustment"). If an amount is not reported in this box for a security acquired at a premium, the payer has reported a net amount of interest in Boxes 1, 3, 8 or 9, whichever is applicable. When the amortization deduction exceeds the bond income during the year, the excess premium amortization can be carried forward to the next accrual period to offset that period's interest

Income. For bonds acquired after January 4, 2013, any remaining amortization can be carried forward in the year of disposition as a bond premium deduction. Please see Regulations section 1.171-2(a)(4) for more information. Bond premium amounts will only be reported to the IRS for covered transactions.

U.S. Treasury Bills. The discount on U.S. Treasury bills maturing during 2014 is included in the Interest column on U S. Savings Bonds and Treasury Obligations, Box 3. Adjustments may be required if you did not purchase the Treasury bills through our firm. If your Tax Information Statement denotes IRS Publication 1212, we used that source to compute estimated Treasury bill interest because the original purchase price of your Treasury bill was not in our records. You may have to adjust your estimated Treasury bill interest to the actual amount. To adjust the discount reported on your Tax Information Statement, first compute the actual discount earned by subtracting the original cost from the maturity value. Then, compare the actual discount to the amount on your Tax Information Statement and report the difference as an adjustment on IRS Form 1040, Schedule B (Interest and Ordinary Dividends), line 1.

Accrued Interest Received or Sold. Accrued interest received on notes or bonds sold between interest payment dates is included in the 1099-INT section of your Tax Information Statement. This amount is reported on a settlement-date basis and is denoted with a symbol (#) on your Tax Information Statement.

Accrued Interest Purchased. Accrued interest purchased on notes or bonds bought between interest payment dates is not included in the 1099-INT section of your Tax Information Statement. Refer to the Securities Purchased section of these instructions for additional information.

Unit Investment Trusts (UITs). Interest income earned by certain UITs is also reported in the 1099-INT section. If your UIT is organized as a grantor trust and earned interest income, your share of the UIT's interest income is reported in this section.

Excess Interest on Contingent Payments. If the actual income paid on a contingent debt payment is greater than the income projected by the issuer, the excess portion of the income is reported on Form 1099-INT.

Special Message for Owners of Mutual Funds, Unit Investment Trusts (UITs), Widely Held Fixed Investment Trusts (WHFITs), Widely Held Mortgage Trusts (WHMTs) and Real Estate Investment Trusts (REITs). **Income and interest declared on these investments in October, November or December 2014 is considered received on December 31, 2014, even if the income or interest was not actually paid until January 2015 (in the case of regulated investment companies [RICs], mutual funds and REITs) or February 2015 (in the case of WHFITs, WHMTs and UITs). These amounts are reported on your 2014 IRS Form 1099. Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2015, at mytaxhandbook.com.**

Income Tax Withholding:

If federal and/or state income taxes were withheld from your interest amounts, they will be reported and displayed in this section.

Date. The interest payment date.

Interest Income. The amount of interest paid is displayed for reference purposes.

Interest on U.S. Savings Bonds and Treasury Obligations. The amount of interest paid on U S. savings bonds and Treasury obligations is displayed for reference purposes.

Federal Income Tax Withheld (Box 4). Report federal income tax withheld (backup withholding) as a payment on IRS Form 1040 (U.S. Individual Income Tax Return), line 64. Federal income tax withheld is 28% of gross proceeds. See the Additional Information section of these instructions.

State (Box 13). Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld.

State ID Number (Box 14). Displays the payer's state identification number(s).

State Tax Withheld (Box 15). Displays the amount of state income tax(es) withheld on interest payments reported on this form.

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
ISHARES TR CHINA LARGE-CAP ETF CUSIP: 464287184	07/01/2014	1,774.55	1,774.55					335.81			
ISHARES TR RUSSELL 2000 ETF CUSIP: 464287655	09/30/2014	1,889.79	1,213.60								
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP	06/06/2014	1,749.39	1,749.39								

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As of 02/27/2015

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (Continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
(LEVEL OF INDEX)											
CUSIP: 46625H365											
MFS INTERMEDIATE	04/30/2014	418 52			857.48						
INCOME TRUST SH BEN	05/30/2014	417.24			854.61						
INT		------------			------------						
CUSIP: 55273C107		835.76			1,712.09						
POWERSHARES	07/31/2014	679.09			4.00						
EXCHANGE-TRADED FD	08/29/2014	1,401.19			8.24						
TR II SENIOR LN PORT	09/30/2014	1,432.79			8.43						
NYSE ARCA INC	10/31/2014	1,492.82			8.78						
CUSIP: 73936Q769	11/28/2014	1,789.36									
	12/31/2014	1,699.89									
		------------			------------						
		8,495.14			29.45						
SPDR S&P 500 ETF TR	07/31/2014	3,985.62	3,762.58								
TR UNIT	01/30/2015	4,538.55	4,284.57								
CUSIP: 78462F103											
		------------	------------								
		8,524.17	8,047.15								
Dividends - U.S. Corporations		21,494.25	11,010.14								
Dividends - Foreign Corporations		1,774.55	1,774.55								
Total		23,268.80	12,784.69	0.00	1,741.54	0.00	0.00	335.81			0.00

IRS Form 1099-DIV - Dividends and Distributions:

Dividends and other distributions from corporations, mutual funds, ETFs (treated as mutual funds for tax purposes), UITs and REITs are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

Box 1a -Total Ordinary Dividends. Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends Report total ordinary dividends on IRS

Form 1040, line 9a, or IRS Form 1040A. Also report them on IRS Form 1040, Schedule B (Interest and Ordinary Dividends), line 5, if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends

Box 1b - Qualified Dividends. This shows the portion of the amount in Box 1a that may be eligible for the 20%, 15% or 0% capital gains rates. See the Form 1040 or 1040A instructions for how to determine this amount. Report the eligible amount on line 9b, IRS Form 1040 If you have qualified dividends, you must figure your tax by completing the Qualified Dividends and Capital Gains Tax Worksheet in the IRS Form 1040 or 1040A instructions, or the Schedule D Tax Worksheet in the Schedule D instructions, whichever applies

Box 2a - Total Capital Gain Distributions. This shows total capital gain distributions (long term) from a regulated investment company or REIT Report the amounts shown in Box 2a on Schedule D of IRS Form 1040, line 13. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a on line 13 of IRS Form 1040 rather than Schedule D. See IRS Form 1040 instructions

Box 2b - Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is unrecaptured Section 1250 gain from certain depreciable real property Use this amount when completing the Unrecaptured Section 1250 Gain Worksheet, line 19, in the instructions for Schedule D (IRS Form 1040).

Box 2c - Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions

Box 2d - Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet, line 18, in the instructions for Schedule D (IRS Form 1040).

Box 3 - Nondividend Distributions. This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis) You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses)

Box 4 - Federal Income Tax Withheld. Report federal income tax withheld (backup withholding) as a payment on IRS Form 1040 (U.S. Individual Income Tax Return), line 64. Federal income tax withheld is 28% of dividends. See the Additional Information section of these instructions.

Box 5 - Investment Expenses. Your share of a UIT's and/or a WHFIT's expenses is reported in Box 5. You may deduct these expenses, subject to the 2% limit, on the "Other Expenses" line of IRS Form 1040, Schedule A, line 23. These expenses are included in Box 1a.

Box 6 - Foreign Tax Paid. This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040 See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.

Box 12 - State. Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld

Box 13 - State Identification No. Displays Pershing LLC payer's state identification number(s).

Box 14 - State Income Tax Withheld. Displays amount of state income tax(es) withheld on interest payments reported on this form.

Special Message for Owners of Mutual Funds, Unit Investment Trusts (UITs), Widely Held Fixed Investment Trusts (WHFITs), Widely Held Mortgage Trusts (WHMTs) and Real Estate Investment Trusts (REITs). **Income and interest declared on these investments in October, November or December 2014 is considered received on December 31, 2014, even if the income or interest was not actually paid until January 2015 (in the case of regulated investment companies [RICs], mutual funds and REITs) or February 2015 (in the case of WHFITs, WHMTs and UITs). These amounts are reported on your 2014 IRS Form 1099. Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2015, at mytaxhandbook.com.**

TRANSACTIONS WE DO NOT REPORT TO THE IRS

SECURITIES PURCHASED

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
ISHARES TR CHINA	464287184	05/13/2014	6,000	212,778.00		
		09/11/2014	3,080	126,465.42		
		09/25/2014	2,190	85,245.53		
		09/30/2014	4,668	178,802.61		

				603,291.56		
ISHARES TR NASDAQ	464287556	05/12/2014	860	198,711.00		
ISHARES TR RUSSELL	464287655	04/11/2014	1,388	154,569.07		
		05/02/2014	1,820	203,989.42		
		08/20/2014	3,598	412,892 45		
		08/21/2014	1,373	156,787.40		

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2014
YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SECURITIES PURCHASED *(Continued)*

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
		09/17/2014	1,233	141,544.95		
		09/24/2014	3,093	345,062.50		
		10/03/2014	3,404	372,951.77		
		10/06/2014	3,418	372,791.69		
				2,160,589.25		
MARKET VECTORS ETF ETF NEW	57061R544	06/26/2014	1,239	50,944 83		
		07/08/2014	1,172	50,525.51		
				101,470.34		
POWERSHARES TR II SENIOR LN PORT NYSE ARCA INC	73936Q769	07/09/2014	8,306	206,692.32		
		08/06/2014	8,513	209,330.41		
		10/16/2014	5,548	132,240.46		
				548,263.19		
SPDR S&P 500 ETF TR	78462F103	05/15/2014	2,093	391,916.34		
		07/01/2014	1,057	208,563.01		
		08/01/2014	2,116	406,891.14		
		08/05/2014	70	13,438.95		
		10/02/2014	787	151,893.44		
		10/15/2014	4,425	818,569.69		
		10/28/2014	2,072	410,669.78		
		12/10/2014	1,927	392,547.05		
				2,794,489.40		
Total				6,406,814.74	0.00	

Securities Purchased. Securities purchased through your account during 2014 may be displayed in this section You need this information to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2014 should be reported on your tax return in the year that the securities are sold or redeemed on IRS Form 1040, Schedule D.

Seq.# (TR6 114266)

Recipient's Name and Address:
THE TABBAA FOUNDATION
ATTN ABDUL TABBAA

Account Number: TR6-005581

Recipient's Identification Number: **-***7781

2014 YOUR TAX INFORMATION STATEMENT
As of 02/27/2015

Tax Information Statement Instructions

The Tax Information Statement provides a detailed summary of your brokerage account transactions during 2014. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit mytaxhandbook.com If your account was transferred to our firm during 2014, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are "investors" for tax purposes.

Additional Information:

Recipient's Identification Number. For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state and/or local governments.

Electronic Delivery. The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, see mytaxhandbook.com and speak to your advisor for more information.

Nominee Recipients. If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U S Information Returns), with the IRS Center in your area. List yourself as the "payer" on IRS Form 1099 and as the "filer" on IRS Form 1096 List the other owner(s) as the "recipient(s)" on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.

Payer. The "payer" for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729) This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.

Corrections. Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June.

Federal Income Tax Withheld. Federal income tax withheld is 28% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. You should combine these amounts and report them on IRS Form 1040, line 64. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

State and Local Tax Reporting. We are required to provide information to a number of state and local jurisdictions. This guide describes the federal tax reporting requirements We are required to report information to California, Connecticut, Minnesota, Montana, New York and Rhode Island concerning municipal bond interest income earned from bonds not issued by these states. We report certain 1099 information directly to California, Kansas, Massachusetts, North Dakota and Oklahoma. For 2014 we withheld, remitted and reported state income tax for California, Maine and South Carolina according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

Cost Basis Adjustments. There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

Important Note Concerning Cost Basis. Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct then the results of our calculations will likewise produce incorrect results. The Internal Revenue Service requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

Form 2439. If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available

Foreign Tax Paid. Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2014. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, line 48. You can claim a deduction on IRS Form 1040, Schedule A (Itemized Deductions), line 8. See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. You should combine these amounts to determine your total foreign tax credit or deduction. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund

IRS Publications. IRS publications are available through your local IRS office by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at irs.gov. For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit mytaxhandbook.com.

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

FOLIOfn INVESTMENTS, INC	**Tax Information** **Account RA2294400N**	**Statement Date: 03/02/2015 AMENDED**	**2014**
8180 Greensboro Drive McLean, VA 22102 Customer Service: 888-973-7890 PAYER'S Federal ID No: 54-1969685	The Tabbaa Foundation 16959 Kings Fairman Lane Grand Blanc, MI 48439 RECIPIENT'S ID No. XX-XXX6505		

Summary Information

DIVIDENDS AND DISTRIBUTIONS 2014 1099-DIV* OMB No 1545-0110

1a- Total ordinary dividends (includes line 1b)	12,981.94	C
1b- Qualified dividends	8,417 54	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	7,432 01	
2b- Unrecaptured Section 1250 gain	113 49	
2c- Section 1202 gain	0.00	
2d- Collectibles (28%) gain	0 00	
3- Nondividend distributions	266 63	
4- Federal income tax withheld	**0.00**	
5- Investment expenses	0 00	
7- Foreign country or US possession Various 6- Foreign tax paid	610 31	
8- Cash liquidation distributions	0.00	
9- Noncash liquidation distributions	0 00	
10- Exempt-interest dividends (includes line 11)	0 00	
11- Specified private activity bond interest dividends (AMT)	0 00	

MISCELLANEOUS INCOME 2014 1099-MISC* OMB No 1545-0115

2- Royalties	0 00
3- Other income	0 00
4- Federal income tax withheld	**0.00**
8- Substitute payments in lieu of dividends or interest	0.00

REGULATED FUTURES CONTRACTS 2014 1099-B* OMB No 1545-0715

8- Profit or (loss) realized in 2014 on closed contracts	0 00
9- Unrealized profit or (loss) on open contracts-12/31/2013	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2014	0 00
11- Aggregate profit or (loss) on contracts	0 00

If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document

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SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	129,940 82	124,783 66	0 00	604 36	5,761.52
Short	B (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	0.00	0 00	0 00	0.00	0.00
	Total Short-term	**129,940.82**	**124,783.66**	**0.00**	**604.36**	**5,761.52**
Long	D (basis reported to the IRS)	164,800 21	136,487 98	0 00	624 90	28,937 13
Long	E (basis not reported to the IRS)	6,886 73	4,522.52	0 00	0 00	2,364.21
Long	F (Form 1099-B not received)	0 00	0.00	0 00	0.00	0 00
	Total Long-term	**171,686.94**	**141,010.50**	**0.00**	**624.90**	**31,301.34**
Undetermined	B or E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	0.00	0 00	0 00	0 00	0.00
	Total Undetermined-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
	Grand total	**301,627.76**	**265,794.16**	**0.00**	**1,229.26**	**37,062.86**

Withholding from Proceeds

Federal income tax withheld	0 00

Changes to dividend tax classifications processed after your original tax form is issued for 2014 may require an amended tax form

FOLIOfn Investments, Inc.
2014

Summary Information
(continued)

Account RA2294400N

INTEREST INCOME — 2014 1099-INT* — OMB No 1545-0112

1- Interest income (not included in line 3)	1 39
2- Early withdrawal penalty	0 00
3- Interest on US Savings Bonds & Treasury obligations	0 00
4- Federal income tax withheld	**0.00**
5- Investment expenses	0.00
7- Foreign country or US possession 6- Foreign tax paid	0 00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0 00
10- Market discount (covered lots)	0 00
11- Bond premium (total for covered lots, categorized below)	0 00
Non Treasury obligations	0 00
Treasury obligations	0 00
Tax-exempt obligations	0 00
Tax-exempt private activity obligations (AMT)	0 00
12- Tax-exempt bond CUSIP number (see instructions)	

The following amounts of tax-exempt original issue discount are not reported to the IRS

Tax-exempt original issue discount (includes the line below)	0 00
Tax-exempt original issue discount private activity bonds (AMT)	0 00

ORIGINAL ISSUE DISCOUNT INCOME SUMMARY

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s) The amounts shown in this section are presented for your reference when preparing your tax return(s)

Original issue discount for 2014	0 00
Other periodic interest	0 00
Early withdrawal penalty	0 00
Federal income tax withheld	0 00
Market discount (covered lots)	0 00
Acquisition premium (total for covered lots, categorized below)	0 00
Non Treasury obligations	0 00
Treasury obligations	0.00
Original issue discount on Treasury obligations	0 00
Investment expenses	0 00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your tax return(s) The amounts shown in this section are presented for your reference

1099-DIV total withheld	0 00
1099-INT total withheld	0 00
1099-OID total withheld	0 00
1099-MISC total withheld	0 00
1099-B total withheld	0 00

ADJUSTMENTS TO INTEREST AND ORIGINAL ISSUE DISCOUNT

The amounts in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Taxable accrued interest paid	0 00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid (AMT)	0 00
Taxable accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0 00
Tax-exempt nonqualified interest (AMT)	0 00
Interest shortfall on contingent payment debt	0 00
Bond premium- Non Treasury obligations (noncovered lots)	0 00
Bond premium- Treasury obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Acquisition premium- Non Treasury obligations (noncovered lots)	0 00
Acquisition premium- Treasury obligations (noncovered lots)	0 00
Acquisition premium- Tax-exempt obligations (all lots)	0 00
Acquisition premium- Tax-exempt obligations (AMT, all lots)	0.00
Market discount (noncovered lots)	0 00

NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Other receipts- Partnership distributions	0 00
Other receipts- Foreign tax paid on partnership distributions	0 00
Other receipts- Return of principal distributions	0 00
Other receipts- Deferred income payment	0 00
Expenses- Margin interest	0 00
Expenses- Dividends paid on short position	0.00
Expenses- Interest paid on short position	0 00
Expenses- Non reportable distribution expense	0 00
Expenses- Other expenses	0 00
Expenses- Severance tax	0 00
Fees and payments received- Deemed premium	0 00
Fees and payments received- Organizational expense	0 00
Fees and payments received- Income accrual- UIT	0 00
Fees and payments received- Miscellaneous fees	5 67
Fees and payments received- Basis adjustments	0 00
Fees and payments received- Tax-exempt investment expense	0 00
Fees and payments received- Foreign tax in excess of treaty	0 00
Income and adjustments- Foreign currency gain/loss	0.00

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AAON INC AAON / CUSIP 000360206 / Symbol AAON							
01/09/14	18.668	581 09	08/01/13	410 48	0 00	170 61	Sale Note 26 Original basis $410 48
ABB LTD ABB / CUSIP 000375204 / Symbol ABB							
07/25/14	14 593	347 83	08/23/13	330 67	0 00	17 16	Sale Note 26 Original basis $330 67
12/19/14	47.723	1,016 05	11/07/14	1,057 26	0 00	-41 21	Sale Note 26 Original basis $1,057 26
Security total		1,363 88		1,387.93	0 00	-24.05	
AMN HEALTHCARE SERVICES INC AHS / CUSIP 001744101 / Symbol AHS							
07/07/14	26 429	328 50	VARIOUS	369 71	0 00	-41.21	Total of 2 lots
AT&T INC COM T / CUSIP 00206R102 / Symbol T							
03/07/14	22 005	713 77	VARIOUS	806 54	0 00	-92 77	Total of 2 lots
06/16/14	1 852	64 67	01/23/14	62 33	0 00	2 34	Sale Note 26 Original basis $62 33
Security total		778 44		868 87	0 00	-90 43	
AARONS, INC AAN / CUSIP 002535300 / Symbol AAN							
05/23/14	34.118	1,122 08	VARIOUS	992 50	0 00	129.58	Total of 2 lots
07/01/14	42 078	1,522 94	08/01/13	1,220 86	0 00	302.08	Sale Note 26 Original basis $1,220 86
Security total		2,645.02		2,213 36	0 00	431 66	
ABAXIS INC ABAX / CUSIP 002567105 / Symbol ABAX							
08/29/14	4.539	216 07	05/23/14	189.41	0 00	26 66	Sale Note 26 Original basis $189 41

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ABBOTT LABS ABT / CUSIP 002824100 / Symbol ABT							
09/12/14	1 580	67 49	01/23/14	58.93	0 00	8 56	Sale Note 26 Original basis $58.93
11/14/14	0 978	42.79	01/23/14	36 48	0 00	6 31	Sale Note 26 Original basis $36 48
Security total		110 28		95 41	0 00	14 87	
ABBVIE INC COM ABBV / CUSIP 00287Y109 / Symbol ABBV							
10/17/14	2.889	153 96	01/23/14	140 61	0 00	13 35	Sale Note 26 Original basis $140 61
ADIDAS AG ADR ADDYY / CUSIP 00687A107 / Symbol ADDYY							
08/15/14	13 645	540 48	07/25/14	651.68	0 00	-111.20	Sale Note 26 Original basis $651 68
ADOBE SYS INC ADBE / CUSIP 00724F101 / Symbol ADBE							
01/07/14	0 729	42 71	11/08/13	39 20	0.00	3 51	Sale Note 26 Original basis $39.20
AGILENT TECHNOLOGIES INC A / CUSIP 00846U101 / Symbol A							
01/07/14	1.077	61 76	11/08/13	54 40	0 00	7 36	Sale Note 26 Original basis $54 40
10/20/14	0.340	17.30	01/23/14	20.24	0 00	-2 94	Sale Note 26 Original basis $20.24
Security total		79.06		74 64	0 00	4 42	
AMERICAN TOWER AMT / CUSIP 03027X100 / Symbol AMT							
01/07/14	0.477	38 66	11/08/13	36 80	0 00	1 86	Sale Note 26 Original basis $36.80

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMERISOURCEBERGEN CORP COM ABC / CUSIP 03073E105 / Symbol ABC							
01/07/14	1 006	71 07	11/08/13	67 60	0 00	3 47	Sale Note 26 Original basis $67 60
AMREIT INC NEW CL B AMRE / CUSIP 03216B208 / Symbol AMRE							
11/26/14	38.853	1,027 74	08/15/14	912 04	0 00	115 70	Sale Note 26 Original basis $914 64
ANALOG DEVICES INC ADI / CUSIP 032654105 / Symbol ADI							
08/15/14	0 265	13 47	01/23/14	13 13	0 00	0 34	Sale Note 26 Original basis $13.13
ANIXTER INTL INC AXE / CUSIP 035290105 / Symbol AXE							
08/15/14	0.990	84 44	07/07/14	101 42	0 00	-16 98	Sale Note 26 Original basis $101.42
12/05/14	15 044	1,307 21	07/07/14	1,541 97	234 76 W	0 00	Sale Note 26 Original basis $1,541 97
Security total		1,391 65		1,643 39	234 76 W	-16.98	
ANN, INC ANN / CUSIP 035623107 / Symbol ANN							
07/07/14	4 639	192 44	VARIOUS	164 35	0 00	28 09	Total of 2 lots
ANSYS INC ANSS / CUSIP 03662Q105 / Symbol ANSS							
01/07/14	10 321	882 20	08/01/13	895 57	0 00	-13 37	Sale Note 26 Original basis $895.57
AUTOMATIC DATA PROCESSING INC ADP / CUSIP· 053015103 / Symbol ADP							
11/21/14	0 125	10 58	03/07/14	8 58	0 00	2 00	Sale Note 26 Original basis $9.82

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AVALONBAY CMNTYS INC AVB / CUSIP 053484101 / Symbol AVB							
05/30/14	11 318	1,596 42	02/14/14	1,455 75	0 00	140.67	Sale Note 26 Original basis $1,455.75
AVERY DENNISON CORP AVY / CUSIP 053611109 / Symbol AVY							
10/20/14	12.201	524 57	VARIOUS	604 85	0 00	-80 28	Total of 2 lots
BP PLC BP / CUSIP 055622104 / Symbol BP							
06/16/14	0 686	35 47	01/23/14	33 26	0 00	2 21	Sale Note 26 Original basis $33.26
11/14/14	38 911	1,593.23	VARIOUS	1,879 14	0.00	-285.91	Total of 3 lots
11/21/14	23 739	1,003 19	VARIOUS	1,129 45	0 00	-126 26	Total of 2 lots
Security total		2,631 89		3,041 85	0 00	-409.96	
BAKER HUGHES INC BHI / CUSIP 057224107 / Symbol BHI							
04/11/14	3 210	204 22	VARIOUS	168 60	0 00	35 62	Total of 3 lots
BARD C R INC BCR / CUSIP 067383109 / Symbol BCR							
10/10/14	1 443	216 86	07/22/14	209 08	0 00	7.78	Sale Note 26 Original basis $209 08
BECTON DICKINSON & CO BDX / CUSIP 075887109 / Symbol BDX							
10/17/14	0.912	110 95	01/23/14	100 30	0 00	10 65	Sale Note 26 Original basis $100.30
BED BATH & BEYOND INC BBBY / CUSIP 075896100 / Symbol BBBY							
07/01/14	1.080	62.61	VARIOUS	78 93	0 00	-16 32	Total of 2 lots
BORG WARNER, INC BWA / CUSIP 099724106 / Symbol BWA							
01/07/14	1.210	67 87	11/08/13	61 20	0.00	6.67	Sale Note 26 Original basis $61 20

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FolioInvestments, Inc. — 2014 1099-B

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BROADCOM CORP BRCM / CUSIP 111320107 / Symbol BRCM							
07/01/14	1 722	64.35	VARIOUS	50.62	0 00	13 73	Total of 2 lots
09/19/14	3 451	140 71	08/15/14	130 43	0 00	10 28	Sale Note 26 Original basis $130 84
Security total		205 06		181 05	0 00	24 01	
BUCKLE INC BKE / CUSIP 118440106 / Symbol BKE							
07/07/14	0 039	1 80	01/23/14	1 75	0 00	0 05	Sale Note 26 Original basis $1 75
CDK GLOBAL INC COM CDK / CUSIP 12508E101 / Symbol CDK							
10/17/14	7 711	200 49	03/07/14	229 18	0 00	-28.69	Sale Note 26 Original basis $229 18
CF INDS HLDGS INC CF / CUSIP 125269100 / Symbol CF							
11/21/14	0 129	35 46	01/23/14	30 73	0 00	4 73	Sale Note 26 Original basis $30.73
CALAVO GROWERS INC CVGW / CUSIP 128246105 / Symbol CVGW							
07/07/14	3 377	113 48	01/17/14	107 55	0 00	5 93	Sale Note 26 Original basis $107 55
CALLAWAY GOLF CO ELY / CUSIP 131193104 / Symbol ELY							
07/07/14	37 956	323 24	VARIOUS	369 82	0 00	-46 58	Total of 2 lots
CARDINAL HEALTH INC CAH / CUSIP 14149Y108 / Symbol CAH							
08/01/14	0.922	67 12	01/23/14	61 67	0.00	5 45	Sale Note 26 Original basis $61 67
CELGENE CORP CELG / CUSIP 151020104 / Symbol CELG							
01/07/14	0.652	107.14	11/08/13	97 20	0.00	9 94	Sale Note 26 Original basis $97 20

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CELGENE CORP CELG / CUSIP 151020104 / Symbol CELG (cont'd)							
07/01/14	0 601	52 79	01/23/14	50 38	0 00	2 41	Sale Note 26 Original basis $50 38
Security total		159.93		147 58	0 00	12 35	
CENTRAL GOLDTRUST TR UNIT GTU / CUSIP 153546106 / Symbol GTU							
05/09/14	2 253	103 90	02/21/14	106 30	0 00	-2 40	Sale Note 26 Original basis $106 30
10/31/14	87.910	3,446 02	VARIOUS	4,112 09	0 00	-666 07	Total of 2 lots
Security total		3,549 92		4,218 39	0 00	-668.47	
CHEVRON CORP NEW CVX / CUSIP 166764100 / Symbol CVX							
03/07/14	0 498	57 23	VARIOUS	59 00	0 00	-1.77	Total of 2 lots
CISCO SYS INC CSCO / CUSIP 17275R102 / Symbol CSCO							
09/19/14	7 995	200 27	07/01/14	199 66	0 00	0 61	Sale Note 26 Original basis $199.66
11/21/14	7.924	213.38	07/01/14	197 89	0 00	15 49	Sale Note 26 Original basis $197 89
Security total		413 65		397 55	0 00	16 10	
COACH INC COH / CUSIP 189754104 / Symbol COH							
04/11/14	21 404	1,047 06	VARIOUS	1,177 19	0 00	-130 13	Total of 3 lots
08/01/14	17 011	575 95	VARIOUS	919 38	343.43 W	0 00	Total of 4 lots
Security total		1,623 01		2,096 57	343 43 W	-130.13	
COCA COLA CO KO / CUSIP 191216100 / Symbol KO							
03/07/14	13 603	523 72	01/07/14	549.17	0.00	-25.45	Sale Note 26 Original basis $549 17
05/30/14	35 901	1,466 89	VARIOUS	1,445 85	0 00	21 04	Total of 3 lots
Security total		1,990 61		1,995.02	0 00	-4 41	

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMPUTER PROGRAMS & SYS INC CPSI / CUSIP 205306103 / Symbol CPSI							
02/14/14	7 450	516 73	08/01/13	424.43	0 00	92 30	Sale Note 26 Original basis $424 43
CONMED CORP CNMD / CUSIP 207410101 / Symbol CNMD							
07/07/14	0 098	4 34	01/23/14	4 20	0 00	0 14	Sale Note 26 Original basis $4 20
CONOCOPHILLIPS COP / CUSIP 20825C104 / Symbol COP							
09/19/14	3 497	281 60	10/07/13	247.77	0 00	33 83	Sale Note 26 Original basis $247 77
CONTAINER STORE GROUP INC COM TCS / CUSIP 210751103 / Symbol TCS							
10/10/14	68 071	1,077 77	07/11/14	1,681 26	0.00	-603 49	Sale Note 26 Original basis $1,681 26
CONVERGYS CORP CVG / CUSIP 212485106 / Symbol CVG							
01/10/14	21.299	458 57	01/18/13	360 46	0 00	98 11	Sale Note 26 Original basis $360 46
CORNING INC GLW / CUSIP 219350105 / Symbol GLW							
02/14/14	26 134	500 46	VARIOUS	390 97	0 00	109 49	Total of 3 lots
COSTCO WHSL CORP NEW COST / CUSIP 22160K105 / Symbol COST							
10/20/14	2 563	324 24	VARIOUS	292 15	0.00	32 09	Total of 3 lots
COUSINS PPTYS INC CUZ / CUSIP 222795106 / Symbol CUZ							
08/15/14	94.938	1,219 42	05/30/14	1,135 51	0 00	83 91	Sale Note 26 Original basis $1,135 51
DOVER CORP DOV / CUSIP 260003108 / Symbol DOV							

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FOLIOfn Investments, Inc.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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DOVER CORP DOV / CUSIP 260003108 / Symbol DOV (cont'd)							
07/01/14	0 955	87 01	VARIOUS	73 37	0 00	13.64	Total of 2 lots
DRESSER-RAND GROUP DRC / CUSIP 261608103 / Symbol DRC							
07/07/14	0 139	8 67	01/23/14	8 15	0 00	0 52	Sale Note 26 Original basis $8 15
EBAY INC EBAY / CUSIP 278642103 / Symbol EBAY							
01/07/14	1 110	58 59	11/08/13	58 40	0 00	0 19	Sale Note 26 Original basis $58 40
ELLIE MAE INC COM ELLI / CUSIP 28849P100 / Symbol ELLI							
08/29/14	9 660	346 99	12/30/13	271 17	0 00	75 82	Sale Note 26 Original basis $271 17
ESSEX PPTY TR INC ESS / CUSIP 297178105 / Symbol ESS							
11/26/14	12 626	2,551 91	05/30/14	2,284 75	0 00	267 16	Sale Note 26 Original basis $2,284 75
FLIR SYS INC FLIR / CUSIP 302445101 / Symbol FLIR							
05/23/14	24 134	826 59	08/01/13	788 72	0 00	37 87	Sale Note 26 Original basis $788.72
FTD COS INC COM FTD / CUSIP 30281V108 / Symbol FTD							
09/12/14	62 700	2,105.96	11/08/13	1,967 63	0 00	138 33	Sale Note 26 Original basis $1,967 63
10/10/14	18.213	594 14	11/08/13	571 54	0 00	22.60	Sale Note 26 Original basis $571 54
	Security total	2,700 10		2,539.17	0.00	160.93	
FACEBOOK INC CL A FB / CUSIP 30303M102 / Symbol FB							

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

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Report on Form 8949, Part I, with Box A checked

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1a- Description of property/CUSIP/Symbol							
FACEBOOK INC CL A FB / CUSIP 30303M102 / Symbol FB (cont'd)							
04/11/14	4 299	256 67	VARIOUS	162 49	0 00	94 18	Total of 3 lots
07/01/14	5 084	347 00	07/05/13	124.46	0.00	222 54	Sale Note 26 Original basis $124 46
	Security total	603.67		286 95	0.00	316 72	
FANUC CORPORATION ADR FANUY / CUSIP 307305102 / Symbol FANUY							
07/25/14	28 665	858.10	08/23/13	727 78	0 00	130 32	Sale Note 26 Original basis $727 78
12/19/14	32 750	934.33	11/07/14	938 60	0 00	-4 27	Sale Note 26 Original basis $938 60
	Security total	1,792.43		1,666 38	0 00	126 05	
FORWARD AIR CORP FWRD / CUSIP 349853101 / Symbol FWRD							
07/07/14	4 135	199 72	VARIOUS	182 48	0 00	17 24	Total of 2 lots
FREEPORT-MCMORAN INC FCX / CUSIP 35671D857 / Symbol FCX							
07/01/14	7.781	288 60	VARIOUS	257 72	0 00	30 88	Total of 3 lots
GENOMIC HEALTH INC GHDX / CUSIP 37244C101 / Symbol GHDX							
07/07/14	5 274	143 66	06/27/14	141 94	0 00	1 72	Sale Note 26 Original basis $141.94
GENUINE PARTS CO GPC / CUSIP 372460105 / Symbol GPC							
09/19/14	0.902	80 34	06/16/14	77 15	0 00	3 19	Sale Note 26 Original basis $77 15
GOOGLE INC CL C GOOG / CUSIP 38259P706 / Symbol GOOG							
07/01/14	0 130	75 31	01/23/14	74 86	0 00	0 45	Sale Note 26 Original basis $74 86

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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GRUPO AEROPORTUARIO DEL SUREST ASR / CUSIP 40051E202 / Symbol ASR							
08/15/14	6 953	890 74	05/30/14	896 93	0 00	-6 19	Sale Note 26 Original basis $896 93
HARRIS CORP DEL HRS / CUSIP 413875105 / Symbol HRS							
03/07/14	1 191	88 77	12/27/13	83.39	0.00	5 38	Sale Note 26 Original basis $83.39
HEARTLAND EXPRESS INC HTLD / CUSIP 422347104 / Symbol HTLD							
04/08/14	27 925	613 15	08/01/13	417 20	0 00	195 95	Sale Note 26 Original basis $417 20
HEICO CORP NEW HEI A / CUSIP 422806208 / Symbol HEI A							
08/29/14	4 944	205.19	06/04/14	198 21	0 00	6 98	Sale Note 26 Original basis $198.21
HITTITE MICROWAVE CORP COM HITT / CUSIP 43365Y104 / Symbol HITT							
06/25/14	51 691	4,031.94	08/01/13	3,247 10	0.00	784 84	Sale Note 26 Original basis $3,247 10
IDEX CORP IEX / CUSIP 45167R104 / Symbol IEX							
01/07/14	1 152	84 25	05/07/13	62 44	0 00	21 81	Sale Note 26 Original basis $62 44
ILLINOIS TOOL WKS INC ITW / CUSIP 452308109 / Symbol ITW							
01/07/14	0 657	54 22	11/08/13	52 00	0 00	2 22	Sale Note 26 Original basis $52 00
INFOSYS LTD INFY / CUSIP 456788108 / Symbol INFY							
12/19/14	32 900	1,061 71	07/25/14	908 63	0 00	153 08	Sale Note 26 Original basis $908 63

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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INTEL CORP INTC / CUSIP 458140100 / Symbol INTC							
03/07/14	2.707	66 95	01/23/14	67 62	0 00	-0 67	Sale Note 26 Original basis $67 62
INVACARE CORP IVC / CUSIP 461203101 / Symbol IVC							
10/10/14	104.152	1,335 56	07/22/14	1,889 49	0 00	-553 93	Sale Note 26 Original basis $1,889 49
JONES LANG LASALLE INC JLL / CUSIP 48020Q107 / Symbol JLL							
01/31/14	1 199	135 49	VARIOUS	123 82	0 00	11 67	Total of 2 lots
KIMBERLY CLARK CORP KMB / CUSIP 494368103 / Symbol KMB							
09/19/14	4 068	435 17	VARIOUS	431 34	0 00	3 83	Total of 3 lots
KNOWLES CORPORATION KN / CUSIP 49926D109 / Symbol KN							
04/11/14	0 477	14 92	VARIOUS	14 47	0 00	0.45	Total of 2 lots
KOMATSU LTD SPON ADR NEW KMTUY / CUSIP 500458401 / Symbol KMTUY							
03/07/14	70 524	1,520 45	VARIOUS	1,635 41	0 00	-114 96	Total of 2 lots
KUBOTA CORP KUBTY / CUSIP 501173207 / Symbol KUBTY							
11/07/14	30 904	2,369 71	07/25/14	2,083 87	0 00	285 84	Sale Note 26 Original basis $2,083 87
LITTELFUSE INC LFUS / CUSIP 537008104 / Symbol LFUS							
07/07/14	0 943	90.24	VARIOUS	88 07	0 00	2 17	Total of 2 lots
LUXOTTICA GROUP S P A LUX / CUSIP 55068R202 / Symbol LUX							
12/19/14	6 269	337 12	08/15/14	334 07	0 00	3.05	Sale Note 26 Original basis $334 07

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2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
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2- SHORT-TERM TRANSACTIONS

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MATERION CORPORATION MTRN / CUSIP 576690101 / Symbol MTRN							
03/28/14	5 478	183 53	VARIOUS	157 54	0 00	25.99	Total of 2 lots
MATRIX SVC CO MTRX / CUSIP 576853105 / Symbol MTRX							
03/21/14	21.786	747 18	VARIOUS	430 42	0 00	316 76	Total of 2 lots
07/07/14	10 513	329 74	03/28/14	357 05	0 00	-27 31	Sale Note 26 Original basis $357 05
Security total		1,076.92		787 47	0 00	289 45	
MATTEL INC MAT / CUSIP 577081102 / Symbol MAT							
06/16/14	1 242	47.98	01/23/14	52 40	0 00	-4 42	Sale Note 26 Original basis $53 16
MCDERMOTT INTL INC MDR / CUSIP 580037109 / Symbol MDR							
05/09/14	61 574	418 26	10/18/13	462.42	0 00	-44 16	Sale Note 26 Original basis $462 42
08/29/14	444 214	3,166 71	VARIOUS	3,288.83	0 00	-122 12	Total of 2 lots
11/07/14	297 811	1,180 92	10/10/14	1,245 89	0 00	-64 97	Sale Note 26 Original basis $1,245 89
Security total		4,765 89		4,997 14	0 00	-231.25	
MCKESSON CORPORATION MCK / CUSIP. 58155Q103 / Symbol MCK							
04/11/14	0 740	123 37	VARIOUS	119 78	0 00	3.59	Total of 2 lots
MERCK KGAA UNSPON ADR MKGAY / CUSIP 589339100 / Symbol MKGAY							
12/19/14	29 638	942 48	07/25/14	856 52	0 00	85 96	Sale Note 26 Original basis $856.52
MICREL INC MCRL / CUSIP 594793101 / Symbol MCRL							
07/07/14	1 188	13 63	01/23/14	12 00	0 00	1 63	Sale Note 26 Original basis $12 00

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2014 1099-B*

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(continued)

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MICROSOFT CORP MSFT / CUSIP 594918104 / Symbol MSFT							
01/10/14	4.029	145 29	11/08/13	151 60	6 31 W	0 00	Sale Note 26 Original basis $151.60
01/27/14	4 855	176 10	VARIOUS	179 69	0 00	-3 59	Total of 3 lots
09/12/14	13 884	649.08	VARIOUS	535 97	0 00	113 11	Total of 2 lots
Security total		970.47		867 26	6 31 W	109 52	
MICROSEMI CORP MSCC / CUSIP 595137100 / Symbol MSCC							
10/31/14	49 165	1,270 30	07/07/14	1,333 43	0 00	-63.13	Sale Note 26 Original basis $1,333 43
MONRO MUFFLER BRAKE INC MNRO / CUSIP 610236101 / Symbol MNRO							
05/23/14	11.191	605 36	08/01/13	488 06	0.00	117 30	Sale Note 26 Original basis $488 06
MTN GROUP LTD SPONSORED ADR MTNOY / CUSIP 62474M108 / Symbol MTNOY							
12/19/14	82.880	1,573 48	07/25/14	1,764.28	0 00	-190 80	Sale Note 26 Original basis. $1,764 28
NATIONAL HEALTH INVS INC NHI / CUSIP 63633D104 / Symbol NHI							
10/03/14	28 868	1,668 53	05/30/14	1,808 53	0.00	-140.00	Sale Note 26 Original basis $1,815 28
NAVIGANT CONSULTING INC NCI / CUSIP 63935N107 / Symbol NCI							
07/07/14	0.794	14 17	01/23/14	15 03	0 00	-0.86	Sale Note 26 Original basis $15 03
NETGEAR INC COM NTGR / CUSIP 64111Q104 / Symbol NTGR							
07/07/14	3.022	104 79	VARIOUS	91 16	0 00	13 63	Total of 2 lots

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NETSCOUT SYS INC NTCT / CUSIP 64115T104 / Symbol NTCT							
07/07/14	2 814	124 41	VARIOUS	88 81	0 00	35.60	Total of 2 lots
07/18/14	8 456	367.48	01/15/14	263 48	0 00	104.00	Sale Note 26 Original basis $263 48
10/10/14	19 637	843 16	01/15/14	611 90	0 00	231.26	Sale Note 26 Original basis $611.90
Security total		1,335 05		964.19	0 00	370 86	
NEW YORK REIT INC COM NYRT / CUSIP 64976L109 / Symbol NYRT							
11/26/14	82 358	894 39	10/03/14	858 92	0 00	35 47	Sale Note 26 Original basis $862 08
NEWMONT MINING CORP NEM / CUSIP 651639106 / Symbol NEM							
02/14/14	21 795	520.25	VARIOUS	791 77	0 00	-271 52	Total of 2 lots
NIDEC CORP NJ / CUSIP 654090109 / Symbol NJ							
03/07/14	52 747	1,599 36	VARIOUS	1,047 45	0 00	551.91	Total of 2 lots
05/09/14	136 984	1,886 19	VARIOUS	1,285 72	0 00	600.47	Total of 2 lots
12/19/14	117 852	2,014 01	VARIOUS	1,859 17	0 00	154 84	Total of 2 lots
Security total		5,499 56		4,192.34	0 00	1,307 22	
NOW INC COM DNOW / CUSIP 67011P100 / Symbol DNOW							
07/01/14	1 206	44 25	VARIOUS	38 76	0 00	5 49	Total of 3 lots
ORACLE CORP ORCL / CUSIP 68389X105 / Symbol ORCL							
07/01/14	4 516	183.93	VARIOUS	160 89	0 00	23 04	Total of 2 lots
PDC ENERGY INC PDCE / CUSIP 69327R101 / Symbol PDCE							
10/10/14	22 013	948 74	07/07/14	1,315 88	0 00	-367 14	Sale Note 26 Original basis $1,315.88

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PVH CORP PVH / CUSIP 693656100 / Symbol PVH							
01/07/14	3.600	483 92	VARIOUS	388 09	0 00	95 83	Total of 2 lots
PTC INC PTC / CUSIP 69370C100 / Symbol PTC							
07/07/14	0.363	14 09	01/23/14	13 27	0 00	0 82	Sale Note 26 Original basis $13.27
PACKAGING CORP AMER PKG / CUSIP 695156109 / Symbol PKG							
03/07/14	0 578	42.98	01/23/14	37 68	0 00	5 30	Sale Note 26 Original basis $37 68
PATTERSON DENTAL CO PDCO / CUSIP 703395103 / Symbol PDCO							
11/21/14	27 113	1,265.90	07/22/14	1,079 61	0 00	186 29	Sale Note 26 Original basis $1,079.61
PEPSICO INC PEP / CUSIP 713448108 / Symbol PEP							
01/07/14	4 818	403 45	VARIOUS	397 47	0 00	5 98	Total of 2 lots
01/07/14	0 972	81 35	11/08/13	82 80	1 45 W	0 00	Sale Note 26 Original basis $82 80
07/11/14	3 183	285 35	VARIOUS	263.06	0.00	22 29	Total of 2 lots
Security total		770 15		743 33	1 45 W	28 27	
POTASH CORP SASK INC POT / CUSIP 73755L107 / Symbol POT							
09/12/14	20.275	688 11	VARIOUS	645 60	0 00	42 51	Total of 2 lots
RAYONIER INC RYN / CUSIP 754907103 / Symbol RYN							
11/11/14	90.975	2,420 35	08/15/14	3,059 49	0 00	-639 14	Sale Note 26 Original basis $3,065 15
REGENERON PHARMACEUTICALS REGN / CUSIP 75886F107 / Symbol REGN							

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REGENERON PHARMACEUTICALS REGN / CUSIP 75886F107 / Symbol REGN (cont'd)							
04/11/14	0 171	50.56	VARIOUS	48 42	0 00	2.14	Total of 2 lots
ROCHE HOLDINGS LTD RHHBY / CUSIP 771195104 / Symbol RHHBY							
12/19/14	12 202	417 66	08/05/14	442 55	0 00	-24 89	Sale Note. 26 Original basis $442 55
ROPER INDS INC NEW ROP / CUSIP 776696106 / Symbol ROP							
01/07/14	0 383	52 58	11/08/13	48 00	0.00	4.58	Sale Note 26 Original basis $48 00
04/11/14	0 210	27 63	01/23/14	29.22	0.00	-1.59	Sale Note 26 Original basis $29 22
Security total		80 21		77 22	0 00	2 99	
SM ENERGY COMPANY SM / CUSIP 78454L100 / Symbol SM							
07/07/14	1 473	122 86	10/25/13	126 45	0.00	-3 59	Sale Note 26 Original basis $126 45
12/05/14	8.193	271 14	10/31/14	441 55	0 00	-170 41	Sale Note 26 Original basis $441 55
Security total		394 00		568 00	0 00	-174 00	
SANDVIK AB ADR SDVKY / CUSIP 800212201 / Symbol SDVKY							
07/25/14	62 717	806 77	08/23/13	869 17	0.00	-62.40	Sale Note 26 Original basis $869 17
SENSIENT TECHNOLOGIES CORP SXT / CUSIP 81725T100 / Symbol SXT							
06/27/14	0 188	10.38	01/23/14	9 71	0 00	0 67	Sale Note 26 Original basis $9 71
SMITH & NEPHEW PLC SNN / CUSIP 83175M205 / Symbol SNN							
07/22/14	21 376	1,916.53	09/13/13	1,321 22	0 00	595 31	Sale Note 26 Original basis $1,321 22

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FOLIOfn Investments, Inc. | **Proceeds from Broker and Barter Exchange Transactions** (continued) | Account RA2294400N

2014 1099-B* | OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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SOCIEDAD QUIMICA MINERA DE CHI SQM / CUSIP 833635105 / Symbol SQM							
07/25/14	16.139	464 66	03/07/14	526 30	0 00	-61 64	Sale Note 26 Original basis $526 30
SOVRAN SELF STORAGE INC SSS / CUSIP 84610H108 / Symbol SSS							
02/14/14	29 709	2,109 99	10/28/13	2,332 39	0 00	-222 40	Sale Note 26 Original basis $2,332 39
SPROTT PHYSICAL GOLD TRUST UNI PHYS / CUSIP 85207H104 / Symbol PHYS							
05/09/14	143 247	1,529 93	VARIOUS	1,577 11	0 00	-47 18	Total of 2 lots
12/05/14	815 862	8,041 00	10/31/14	7,860 61	0 00	180 39	Sale Note 26 Original basis $7,860 61
Security total		9,570 93		9,437 72	0 00	133.21	
STAPLES INC SPLS / CUSIP 855030102 / Symbol SPLS							
04/11/14	6 151	71 85	VARIOUS	90 26	18 41 W	0 00	Total of 2 lots
11/07/14	6 151	79 78	VARIOUS	93 80	0 00	-14 02	Total of 2 lots
12/05/14	0.665	9 34	04/04/14	8 16	0 00	1 18	Sale Note 26 Original basis $8 16
Security total		160 97		192 22	18.41 W	-12 84	
SYMANTEC CORP SYMC / CUSIP 871503108 / Symbol SYMC							
10/20/14	1 833	40 57	VARIOUS	42 46	0 00	-1 89	Total of 2 lots
SYNTEL INC SYNT / CUSIP 87162H103 / Symbol SYNT							
01/17/14	0 988	90.58	05/07/13	65 51	0 00	25.07	Sale Note 26 Original basis $65 51
TJX COS INC NEW TJX / CUSIP 872540109 / Symbol TJX							
10/20/14	1.629	98 55	VARIOUS	98 03	0 00	0 52	Total of 2 lots

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FOLIOfn Investments, Inc. | **Proceeds from Broker and Barter Exchange Transactions** (continued) | Account RA2294400N
2014 1099-B* | OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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TRW AUTOMOTIVE HLDGS CORP TRW / CUSIP 87264S106 / Symbol TRW							
10/20/14	1 703	171 56	VARIOUS	127 47	0 00	44 09	Total of 2 lots
TAIWAN SEMICONDUCTOR MFG LTD TSM / CUSIP 874039100 / Symbol TSM							
12/19/14	39 295	869 97	VARIOUS	826 53	0 00	43 44	Total of 2 lots
TEAM HEALTH HOLDINGS INC COM TMH / CUSIP 87817A107 / Symbol TMH							
07/07/14	2 216	111 69	VARIOUS	103 45	0 00	8 24	Total of 2 lots
TECHNE CORP TECH / CUSIP 878377100 / Symbol TECH							
05/23/14	9 778	859 98	08/01/13	747 94	0 00	112 04	Sale Note 26 Original basis $747.94
TECHNIP TKPPY / CUSIP 878546209 / Symbol TKPPY							
07/25/14	24 066	556.18	03/07/14	596 36	0 00	-40 18	Sale Note 26 Original basis $596 36
TERADATA CORP DEL COM TDC / CUSIP 88076W103 / Symbol TDC							
04/11/14	0 808	36.82	VARIOUS	36 03	0 00	0 79	Total of 2 lots
TEVA PHARMACEUTICAL INDS LTD TEVA / CUSIP 881624209 / Symbol TEVA							
04/04/14	75.932	4,070 23	08/16/13	3,055 91	0.00	1,014 32	Sale Note 26 Original basis $3,055.91
07/22/14	94.797	5,205 51	VARIOUS	3,780 59	0 00	1,424 92	Total of 2 lots
08/05/14	38 374	2,032 14	07/25/14	2,083 87	0 00	-51 73	Sale Note 26 Original basis $2,083 87
Security total		11,307 88		8,920 37	0 00	2,387 51	
TETRA TECH INC NEW TTEK / CUSIP 88162G103 / Symbol TTEK							
01/17/14	4.235	126 86	12/26/13	118 69	0 00	8 17	Sale Note: 26 Original basis $118 69

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FOLIOfn Investments, Inc.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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TETRA TECH INC NEW TTEK / CUSIP 88162G103 / Symbol TTEK (cont'd)							
07/07/14	6.334	174 94	VARIOUS	178 69	0 00	-3 75	Total of 2 lots
08/22/14	6 919	177.60	12/26/13	193 91	0 00	-16 31	Sale Note 26 Original basis $193.91
Security total		479 40		491.29	0 00	-11 89	
TURKCELL ILETISIM HIZMETLERI TKC / CUSIP 900111204 / Symbol TKC							
07/25/14	51 010	817 10	03/07/14	640 85	0 00	176 25	Sale Note 26 Original basis $640 85
UNION PAC CORP UNP / CUSIP 907818108 / Symbol UNP							
10/10/14	3 509	362.32	VARIOUS	330 98	0 00	31.34	Total of 3 lots
UNIVERSAL HEALTH RLTY INCM TR UHT / CUSIP 91359E105 / Symbol UHT							
05/30/14	34 608	1,494 97	02/14/14	1,455 75	0 00	39 22	Sale Note 26 Original basis $1,455 75
VCA INC WOOF / CUSIP 918194101 / Symbol WOOF							
06/27/14	7 264	256 90	VARIOUS	243 31	0 00	13 59	Total of 2 lots
VALSPAR CORP VAL / CUSIP 920355104 / Symbol VAL							
01/07/14	7 800	553 92	VARIOUS	504 62	0 00	49 30	Total of 2 lots
VITAMIN SHOPPE INC COM VSI / CUSIP 92849E101 / Symbol VSI							
07/07/14	6 965	302 38	03/28/14	323 99	0.00	-21 61	Sale Note 26 Original basis $323 99
WD-40 CO WDFC / CUSIP 929236107 / Symbol WDFC							
07/07/14	0 054	4 06	01/23/14	3 80	0 00	0 26	Sale Note 26 Original basis $3 80

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

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WAL MART STORES INC WMT / CUSIP 931142103 / Symbol WMT							
04/11/14	1 761	135 13	VARIOUS	134 21	0 00	0 92	Total of 2 lots
WERNER ENTERPRISES INC WERN / CUSIP 950755108 / Symbol WERN							
04/25/14	13 354	340 17	05/07/13	317 97	0 00	22 20	Sale Note 26 Original basis $317 97
WEST PHARMACEUTICAL SVSC INC WST / CUSIP 955306105 / Symbol WST							
07/07/14	0 303	13.05	01/23/14	14 98	0 00	-1 93	Sale Note 26 Original basis $14 98
WESTERN UN CO COM WU / CUSIP 959802109 / Symbol WU							
07/22/14	94 438	1,657 72	VARIOUS	1,584.16	0 00	73 56	Total of 2 lots
11/21/14	109 768	2,009 00	VARIOUS	1,830 01	0 00	178.99	Total of 2 lots
	Security total	3,666 72		3,414 17	0 00	252 55	
ZEBRA TECHNOLOGIES CORP ZBRA / CUSIP 989207105 / Symbol ZBRA							
07/07/14	3.133	264 91	01/17/14	169 54	0 00	95 37	Sale Note 26 Original basis $169 54
ZIMMER HLDGS INC ZMH / CUSIP 98956P102 / Symbol ZMH							
07/01/14	1 079	113 45	VARIOUS	97 63	0.00	15.82	Total of 2 lots
COVIDIEN PLC SHS COV / CUSIP G2554F113 / Symbol COV							
06/16/14	4.766	414 38	09/13/13	289 82	0 00	124.56	Sale Note 26 Original basis $289 82
ENSCO PLC ESV / CUSIP G3157S106 / Symbol ESV							
09/19/14	17 842	792.96	VARIOUS	1,075 53	0 00	-282.57	Total of 2 lots
SEAGATE TECHNOLOGY PLC SHS STX / CUSIP G7945M107 / Symbol STX							

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FOLIOfn Investments, Inc. — **Proceeds from Broker and Barter Exchange Transactions** (continued) — Account RA2294400N

2014 1099-B* — OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

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SEAGATE TECHNOLOGY PLC SHS STX / CUSIP G7945M107 / Symbol STX (cont'd)							
09/12/14	32 567	1,978 42	VARIOUS	1,828 27	0 00	150 15	Total of 2 lots
TE CONNECTIVITY LTD TEL / CUSIP H84989104 / Symbol TEL							
11/21/14	0 252	15 83	01/23/14	14 93	0 00	0.90	Sale Note 26 Original basis $14.93
LYONDELLBASELL INDUSTRIES N V LYB / CUSIP N53745100 / Symbol LYB							
06/16/14	1 607	158 94	VARIOUS	123 05	0 00	35 89	Total of 2 lots
VISTAPRINT N V VPRT / CUSIP N93540107 / Symbol VPRT							
06/04/14	51 309	2,061 58	VARIOUS	2,654.56	0 00	-592 98	Total of 2 lots
STEINER LEISURE LTD STNR / CUSIP P8744Y102 / Symbol STNR							
07/07/14	0 154	6.63	01/23/14	7 95	0 00	-1 32	Sale Note 26 Original basis $7 95
AVAGO TECHNOLOGIES LTD SHS AVGO / CUSIP Y0486S104 / Symbol AVGO							
07/25/14	31 774	2,232 45	03/07/14	1,993 86	0 00	238 59	Sale Note 26 Original basis $1,993.86
11/07/14	32 540	2,770 15	03/07/14	2,041 91	0 00	728.24	Sale Note 26 Original basis $2,041 91
Security total		5,002 60		4,035 77	0.00	966 83	
Totals:		**129,940.82**		**124,783.66**	**604.36 W**	**5,761.52**	

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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ABB LTD ABB / CUSIP 000375204 / Symbol ABB							
12/19/14	23.422	498 68	08/23/13	530 74	0 00	-32.06	Sale Note 26 Original basis $530 74
AT&T INC COM T / CUSIP 00206R102 / Symbol T							
03/07/14	0 591	19 17	12/14/12	20 30	0 00	-1 13	Sale Note 26 Original basis $20 30
03/07/14	16 636	539.62	12/03/12	567 29	5 28 W	-22 39	Sale Note 26 Original basis $567 29
06/16/14	26 107	911 65	VARIOUS	892 62	0 00	19.03	Total of 2 lots
Security total		1,470 44		1,480 21	5 28 W	-4 49	
ABAXIS INC ABAX / CUSIP 002567105 / Symbol ABAX							
08/29/14	1.056	50 29	08/01/13	43 39	0 00	6 90	Sale Note 26 Original basis $43.39
ABBVIE INC COM ABBV / CUSIP 00287Y109 / Symbol ABBV							
10/17/14	3 295	175 57	04/12/13	142 02	0 00	33 55	Sale Note 26 Original basis $142 02
ADIDAS AG ADR ADDYY / CUSIP 00687A107 / Symbol ADDYY							
08/15/14	70 441	2,790 18	12/03/12	3,100 63	0 00	-310.45	Sale Note 26 Original basis $3,100 63
ADOBE SYS INC ADBE / CUSIP 00724F101 / Symbol ADBE							
01/07/14	16 195	948 83	VARIOUS	501 77	0.00	447 06	Total of 5 lots
AGILENT TECHNOLOGIES INC A / CUSIP 00846U101 / Symbol A							
01/07/14	6.816	390 98	VARIOUS	321.49	0.00	69 49	Total of 11 lots
10/20/14	9.265	471 53	VARIOUS	388.86	0 00	82.67	Total of 12 lots
Security total		862.51		710 35	0 00	152 16	

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Fidelity Investments, Inc. **Proceeds from Broker and Barter Exchange Transactions** (continued) Account RA2294400N

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

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AMERICAN TOWER AMT / CUSIP 03027X100 / Symbol AMT							
01/07/14	10 191	826.48	11/05/12	757 59	0 00	68 89	Sale Note 26 Original basis $757.59
02/14/14	10 516	871.44	11/05/12	781 77	0 00	89 67	Sale Note 26 Original basis $781 77
05/30/14	76 401	6,821.58	VARIOUS	5,666 77	0 00	1,154 81	Total of 3 lots
	Security total	8,519 50		7,206 13	0 00	1,313 37	
AMERISOURCEBERGEN CORP COM ABC / CUSIP 03073E105 / Symbol ABC							
01/07/14	22.122	1,562 58	VARIOUS	866 70	0.00	695 88	Total of 9 lots
ANALOG DEVICES INC ADI / CUSIP 032654105 / Symbol ADI							
08/15/14	13.501	686 40	04/01/11	534 15	0 00	152 25	Sale Note 26 Original basis $534 15
09/12/14	37 664	1,866 56	VARIOUS	1,475 82	0 00	390 74	Total of 9 lots
	Security total	2,552 96		2,009 97	0.00	542 99	
ANN, INC ANN / CUSIP 035623107 / Symbol ANN							
07/07/14	3.862	160 20	02/07/13	118 48	0 00	41 72	Sale Note. 26 Original basis $118 48
BG GROUP PLC ADR BRGYY / CUSIP 055434203 / Symbol BRGYY							
07/25/14	99 866	2,035 54	VARIOUS	1,737 69	0 00	297 85	Total of 2 lots
BAYER A G BAYRY / CUSIP 072730302 / Symbol BAYRY							
12/19/14	6.659	926 67	12/03/12	610 06	0 00	316 61	Sale Note 26 Original basis $610 06
BECTON DICKINSON & CO BDX / CUSIP. 075887109 / Symbol BDX							
10/17/14	2 389	290 67	03/02/12	182 62	0 00	108.05	Sale Note 26 Original basis $182 62

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

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BED BATH & BEYOND INC BBBY / CUSIP 075896100 / Symbol BBBY							
07/01/14	17 493	1,014.44	VARIOUS	1,079 63	0 00	-65 19	Total of 12 lots
BORG WARNER, INC BWA / CUSIP 099724106 / Symbol BWA							
01/07/14	26.409	1,481 67	VARIOUS	872 29	0 00	609 38	Total of 8 lots
BROADCOM CORP BRCM / CUSIP 111320107 / Symbol BRCM							
07/01/14	21 528	804 50	VARIOUS	663 64	0.00	140.86	Total of 3 lots
BUCKLE INC BKE / CUSIP 118440106 / Symbol BKE							
07/07/14	4 183	193 90	08/14/12	167 88	0 00	26 02	Sale Note 26 Original basis $167 88
CAE INC CAE / CUSIP 124765108 / Symbol CAE							
07/25/14	84 356	1,122 89	12/03/12	838 01	0.00	284 88	Sale Note 26 Original basis $838 01
CF INDS HLDGS INC CF / CUSIP 125269100 / Symbol CF							
11/21/14	4 808	1,324 41	VARIOUS	977 54	0 00	346 87	Total of 11 lots
CANON INC CAJ / CUSIP 138006309 / Symbol CAJ							
08/01/14	51.112	1,684 40	VARIOUS	1,674 52	0.00	9 88	Total of 2 lots
CARDINAL HEALTH INC CAH / CUSIP 14149Y108 / Symbol CAH							
08/01/14	8 280	602 67	04/01/11	346 49	0 00	256 18	Sale Note 26 Original basis $346 49
CARLISLE COS INC CSL / CUSIP 142339100 / Symbol CSL							
06/27/14	18.997	1,632.03	08/14/12	975.53	0.00	656.50	Sale Note 26 Original basis $975 53

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FOLIOfn Investments, Inc. | **Proceeds from Broker and Barter Exchange Transactions** (continued) | Account RA2294400N

2014 1099-B* | OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CARPENTER TECHNOLOGY CORP CRS / CUSIP 144285103 / Symbol CRS							
07/07/14	2.418	152 85	08/14/12	120 67	0.00	32 18	Sale Note 26 Original basis $120 67
CELGENE CORP CELG / CUSIP 151020104 / Symbol CELG							
01/07/14	3.207	527 26	VARIOUS	226 54	0 00	300 72	Total of 7 lots
07/01/14	4.265	374 57	07/18/12	142 96	0 00	231.61	Sale Note 26 Original basis $142 96
Security total		901 83		369 50	0 00	532.33	
CENTRAL GOLDTRUST TR UNIT GTU / CUSIP 153546106 / Symbol GTU							
10/31/14	112 871	4,424 47	VARIOUS	5,232 29	0 00	-807 82	Total of 2 lots
CHEVRON CORP NEW CVX / CUSIP 166764100 / Symbol CVX							
03/07/14	6 676	766 86	VARIOUS	687 94	0 00	78 92	Total of 11 lots
10/20/14	2 326	260 31	03/15/11	231 49	0 00	28.82	Sale Note 26 Original basis $231.49
Security total		1,027 17		919 43	0.00	107 74	
CISCO SYS INC CSCO / CUSIP 17275R102 / Symbol CSCO							
11/21/14	38.117	1,026 46	VARIOUS	733 95	0.00	292 51	Total of 8 lots
11/28/14	95 891	2,658 04	VARIOUS	1,613 12	0.00	1,044.92	Total of 2 lots
Security total		3,684 50		2,347 07	0 00	1,337 43	
COACH INC COH / CUSIP 189754104 / Symbol COH							
08/01/14	15 220	515 33	VARIOUS	794 43	279 10 W	0 00	Total of 2 lots
COCA COLA CO KO / CUSIP 191216100 / Symbol KO							
05/30/14	11 135	454.97	VARIOUS	375 97	0 00	79.00	Total of 6 lots

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N
OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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CONMED CORP CNMD / CUSIP 207410101 / Symbol CNMD							
07/07/14	12 607	561 00	05/23/13	422 87	0 00	138 13	Sale Note 26 Original basis $422 87
CONVERGYS CORP CVG / CUSIP 212485106 / Symbol CVG							
01/10/14	83 542	1,798 70	VARIOUS	1,241 45	0 00	557 25	Total of 3 lots
CORNING INC GLW / CUSIP 219350105 / Symbol GLW							
02/14/14	1 336	25 59	12/14/12	18 27	0 00	7 32	Sale Note 26 Original basis $16 90
DASSAULT SYS S A DASTY / CUSIP 237545108 / Symbol DASTY							
07/25/14	24 381	1,584 94	12/03/12	1,393 80	0.00	191 14	Sale Note 26 Original basis $1,393 80
DOVER CORP DOV / CUSIP 260003108 / Symbol DOV							
07/01/14	15 502	1,412 81	VARIOUS	684.40	0 00	728 41	Total of 9 lots
DRESSER-RAND GROUP DRC / CUSIP 261608103 / Symbol DRC							
07/07/14	1 640	102 15	VARIOUS	93 96	0 00	8 19	Total of 2 lots
07/18/14	7 698	520 89	08/14/12	382 65	0 00	138 24	Sale Note 26 Original basis $382 65
09/26/14	12 897	1,062.49	08/14/12	641 11	0 00	421 38	Sale Note 26 Original basis $641 11
Security total		1,685 53		1,117 72	0 00	567 81	
EBAY INC EBAY / CUSIP 278642103 / Symbol EBAY							
01/07/14	24 277	1,281 84	VARIOUS	1,017 08	0.00	264.76	Total of 10 lots
ESSILOR INTL S A ADR ESLOY / CUSIP 297284200 / Symbol ESLOY							
07/25/14	13 357	656 23	12/03/12	658 25	0 00	-2.02	Sale Note 26 Original basis $658 25

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Folio Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FLIR SYS INC FLIR / CUSIP 302445101 / Symbol FLIR							
10/03/14	50.645	1,553 92	08/01/13	1,655 09	0 00	-101 17	Sale Note 26 Original basis $1,655.09
FANUC CORPORATION ADR FANUY / CUSIP 307305102 / Symbol FANUY							
07/25/14	49 860	1,492 58	12/03/12	1,414 34	0 00	78 24	Sale Note 26 Original basis $1,414 34
FREEPORT-MCMORAN INC FCX / CUSIP 35671D857 / Symbol FCX							
07/01/14	12 866	477 20	VARIOUS	499 36	0 00	-22 16	Total of 3 lots
GEMALTO NV SPONSORED ADR GTOMY / CUSIP 36863N208 / Symbol GTOMY							
07/25/14	53 891	2,626 21	12/03/12	2,514 03	0 00	112.18	Sale Note 26 Original basis $2,514 03
GOOGLE INC CL C GOOG / CUSIP 38259P706 / Symbol GOOG							
07/01/14	4.112	2,388 01	VARIOUS	1,531 69	0 00	856 32	Total of 3 lots
GRUPO AEROPORTUARIO DEL SUREST ASR / CUSIP 40051E202 / Symbol ASR							
08/15/14	1.240	158 83	VARIOUS	70 14	0 00	88 69	Total of 7 lots
HALYARD HEALTH INC COM HYH / CUSIP 40650V100 / Symbol HYH							
11/28/14	2 242	88 87	VARIOUS	62 91	0.00	25.96	Total of 12 lots
HIBBETT SPORTING GOODS INC HIBB / CUSIP 428567101 / Symbol HIBB							
08/29/14	3 925	179 64	08/01/13	234 34	0 00	-54 70	Sale Note 26 Original basis $234 34
IDEX CORP IEX / CUSIP 45167R104 / Symbol IEX							
01/07/14	10 636	777 89	08/14/12	426 88	0 00	351 01	Sale Note 26 Original basis $426.88

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FOLIOfn Investments, Inc. | Account RA2294400N

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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IDEX CORP IEX / CUSIP 45167R104 / Symbol IEX (cont'd)							
01/21/14	13 017	951 87	08/14/12	522 44	0 00	429 43	Sale Note 26 Original basis $522 44
Security total		1,729 76		949 32	0 00	780 44	
ILLINOIS TOOL WKS INC ITW / CUSIP 452308109 / Symbol ITW							
01/07/14	6 319	521 44	VARIOUS	331 91	0 00	189.53	Total of 5 lots
INTEL CORP INTC / CUSIP 458140100 / Symbol INTC							
03/07/14	67 184	1,661 44	VARIOUS	1,339 43	0 00	322 01	Total of 8 lots
JONES LANG LASALLE INC JLL / CUSIP 48020Q107 / Symbol JLL							
01/31/14	9 885	1,117 06	VARIOUS	722 79	0 00	394 27	Total of 3 lots
02/14/14	28 472	3,444 28	VARIOUS	1,970 27	0 00	1,474 01	Total of 7 lots
Security total		4,561 34		2,693 06	0.00	1,868 28	
KIMBERLY CLARK CORP KMB / CUSIP 494368103 / Symbol KMB							
09/19/14	3 245	347 09	VARIOUS	285 68	0 00	61 41	Total of 4 lots
KNOWLES CORPORATION KN / CUSIP 49926D109 / Symbol KN							
04/11/14	7 751	242 30	VARIOUS	134 90	0 00	107 40	Total of 9 lots
KOMATSU LTD SPON ADR NEW KMTUY / CUSIP 500458401 / Symbol KMTUY							
03/07/14	92 428	1,992 69	12/03/12	2,095 02	0 00	-102.33	Sale Note 26 Original basis $2,095.02
LTC PPTYS INC LTC / CUSIP 502175102 / Symbol LTC							
07/07/14	6.116	238 05	VARIOUS	256 62	0 00	-18 57	Total of 2 lots
LITTELFUSE INC LFUS / CUSIP 537008104 / Symbol LFUS							
07/07/14	1.431	136 99	08/14/12	77 39	0 00	59 60	Sale Note 26 Original basis $77.39

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2014 1099-B* | OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

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Report on Form 8949, Part II, with Box D checked

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LUXOTTICA GROUP S P A LUX / CUSIP 55068R202 / Symbol LUX							
07/25/14	21 897	1,235 17	12/03/12	901 46	0 00	333 71	Sale Note 26 Original basis $901 46
12/19/14	8 925	479 92	12/03/12	367 42	0 00	112 50	Sale Note 26 Original basis $367 42
Security total		1,715 09		1,268 88	0 00	446 21	
MAGNA INTL INC MGA / CUSIP 559222401 / Symbol MGA							
07/25/14	8 903	985 02	09/14/12	419 87	0 00	565 15	Sale Note 26 Original basis $419 87
MATERION CORPORATION MTRN / CUSIP 576690101 / Symbol MTRN							
03/28/14	4 674	156 59	08/14/12	92 59	0 00	64 00	Sale Note 26 Original basis $92 59
07/07/14	10 209	388.77	08/14/12	202 24	0 00	186 53	Sale Note 26 Original basis $202 24
Security total		545 36		294 83	0 00	250 53	
MATTEL INC MAT / CUSIP 577081102 / Symbol. MAT							
06/16/14	43 332	1,673 66	VARIOUS	1,157 34	0 00	516 32	Total of 3 lots
MCDERMOTT INTL INC MDR / CUSIP 580037109 / Symbol MDR							
11/07/14	115 692	458.76	10/11/13	841.56	0.00	-382 80	Sale Note 26 Original basis $841 56
MCKESSON CORPORATION MCK / CUSIP 58155Q103 / Symbol MCK							
04/11/14	1 196	199 35	07/18/12	116 05	0 00	83.30	Sale Note 26 Original basis $116 05
10/20/14	2.211	423 51	VARIOUS	211 67	0.00	211 84	Total of 3 lots
Security total		622 86		327 72	0 00	295 14	

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MERCADOLIBRE INC COM MELI / CUSIP 58733R102 / Symbol MELI							
08/29/14	2 365	269 82	08/01/13	284 01	0 00	-14 19	Sale Note 26 Original basis $284 01
11/14/14	14 162	1,895.57	08/01/13	1,700 53	0 00	195 04	Sale Note 26 Original basis $1,700 53
Security total		2,165.39		1,984.54	0 00	180 85	
METTLER TOLEDO INTERNATIONAL MTD / CUSIP 592688105 / Symbol MTD							
12/19/14	1.306	390 93	12/03/12	243 80	0 00	147 13	Sale Note 26 Original basis $243 80
MICREL INC MCRL / CUSIP 594793101 / Symbol MCRL							
07/07/14	9 825	112 70	05/07/13	98 02	0 00	14.68	Sale Note 26 Original basis $98 02
MICROSOFT CORP MSFT / CUSIP 594918104 / Symbol MSFT							
01/10/14	31 783	1,146 10	VARIOUS	955 44	0 00	190 66	Total of 4 lots
01/27/14	139 941	5,075 71	VARIOUS	3,994 06	0 00	1,081 65	Total of 9 lots
Security total		6,221 81		4,949 50	0 00	1,272 31	
NATIONAL HEALTH INVS INC NHI / CUSIP 63633D104 / Symbol NHI							
10/03/14	45.023	2,602 32	05/03/13	3,020 06	0 00	-417 74	Sale Note 26 Original basis $3,038 90
NAVIGANT CONSULTING INC NCI / CUSIP 63935N107 / Symbol NCI							
01/17/14	3 855	73 48	11/02/12	40 58	0 00	32 90	Sale Note 26 Original basis $40 58
07/07/14	14 212	253 69	11/02/12	149 57	0 00	104 12	Sale Note 26 Original basis $149 57
Security total:		327.17		190.15	0 00	137 02	

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(continued)

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NIDEC CORP NJ / CUSIP 654090109 / Symbol NJ							
07/25/14	162 096	2,633 48	VARIOUS	1,342 94	0 00	1,290 54	Total of 2 lots
12/19/14	103 086	1,761 67	12/03/12	783 32	0 00	978 35	Sale Note 26 Original basis $783 32
Security total		4,395 15		2,126 26	0 00	2,268 89	
NOVARTIS A G NVS / CUSIP 66987V109 / Symbol NVS							
10/10/14	7 107	628 38	VARIOUS	479 04	0 00	149.34	Total of 2 lots
12/19/14	12 046	1,140 40	VARIOUS	730.28	0 00	410.12	Total of 9 lots
Security total		1,768 78		1,209 32	0.00	559.46	
NOVO-NORDISK A S NVO / CUSIP 670100205 / Symbol NVO							
03/07/14	17 432	826.43	VARIOUS	431 14	0 00	395 29	Total of 13 lots
NOW INC COM DNOW / CUSIP 67011P100 / Symbol DNOW							
07/01/14	2 896	106 25	VARIOUS	80 18	0 00	26 07	Total of 10 lots
ORACLE CORP ORCL / CUSIP 68389X105 / Symbol ORCL							
07/01/14	7 924	322 68	07/18/12	241 60	0 00	81 08	Sale Note 26 Original basis $241.60
PTC INC PTC / CUSIP 69370C100 / Symbol PTC							
07/07/14	5.431	210 87	VARIOUS	122 65	0 00	88 22	Total of 2 lots
08/15/14	5 278	200 28	08/14/12	114 31	0 00	85.97	Sale Note 26 Original basis $114 31
Security total		411.15		236 96	0.00	174 19	
PACKAGING CORP AMER PKG / CUSIP 695156109 / Symbol PKG							
03/07/14	5 213	387 45	05/13/11	150 75	0 00	236.70	Sale Note 26 Original basis $150.75

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2014 1099-B*

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PACKAGING CORP AMER PKG / CUSIP 695156109 / Symbol PKG (cont'd)							
06/16/14	12 262	859 34	VARIOUS	349 33	0 00	510 01	Total of 9 lots
Security total		1,246 79		500.08	0 00	746 71	
PEPSICO INC PEP / CUSIP 713448108 / Symbol PEP							
01/07/14	16 393	1,372 59	VARIOUS	1,167.28	0 00	205 31	Total of 10 lots
07/11/14	9.050	811 33	VARIOUS	633.94	0 00	177 39	Total of 3 lots
07/22/14	19 094	1,706 80	VARIOUS	1,327 80	0 00	379 00	Total of 7 lots
10/17/14	3 102	283 79	05/18/12	210 56	0 00	73 23	Sale Note 26 Original basis $210 56
11/28/14	9 128	917 45	05/18/12	619 70	0 00	297 75	Sale Note 26 Original basis $619 70
Security total		5,091 96		3,959 28	0 00	1,132 68	
POTLATCH CORP PCH / CUSIP 737630103 / Symbol PCH							
08/15/14	30 263	1,280 85	VARIOUS	1,415 88	0 00	-135 03	Total of 2 lots
PRICESMART INC PSMT / CUSIP 741511109 / Symbol PSMT							
12/19/14	8 564	777 86	08/01/13	792 68	0 00	-14.82	Sale Note 26 Original basis $792 68
REGENERON PHARMACEUTICALS REGN / CUSIP 75886F107 / Symbol REGN							
04/11/14	2 184	646 39	04/01/13	392 56	0 00	253 83	Sale Note 26 Original basis $392.56
RETAIL OPPORTUNITY INVTS CORP ROIC / CUSIP 76131N101 / Symbol ROIC							
05/30/14	84 030	1,324 85	VARIOUS	1,030 29	0 00	294 56	Total of 2 lots
RIO TINTO PLC RIO / CUSIP 767204100 / Symbol RIO							
12/19/14	30.253	1,372 46	VARIOUS	1,621 17	0 00	-248.71	Total of 2 lots

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FOLIOfn Investments, Inc.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

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ROCHE HOLDINGS LTD RHHBY / CUSIP 771195104 / Symbol RHHBY							
03/07/14	13 421	495 79	12/03/12	330 57	0 00	165 22	Sale Note 26 Original basis $330 57
ROPER INDS INC NEW ROP / CUSIP 776696106 / Symbol ROP							
01/07/14	1 445	198 64	VARIOUS	149 63	0 00	49 01	Total of 6 lots
04/11/14	7 040	924.44	VARIOUS	680 83	0 00	243 61	Total of 4 lots
Security total		1,123 08		830 46	0 00	292 62	
SKF AB SKFRY / CUSIP 784375404 / Symbol SKFRY							
10/17/14	86.895	1,713 58	12/03/12	2,095 02	0 00	-381 44	Sale Note 26 Original basis $2,095 02
SM ENERGY COMPANY SM / CUSIP 78454L100 / Symbol SM							
12/05/14	10.095	334 06	10/25/13	866.82	0 00	-532 76	Sale Note 26 Original basis $866.82
SANDVIK AB ADR SDVKY / CUSIP 800212201 / Symbol SDVKY							
07/25/14	139 855	1,799 03	12/03/12	2,095.02	0 00	-295 99	Sale Note 26 Original basis $2,095 02
SAP SE SAP / CUSIP 803054204 / Symbol SAP							
07/25/14	19 600	1,578.46	12/03/12	1,557 94	0 00	20 52	Sale Note 26 Original basis $1,557 94
SCANSOURCE INC SCSC / CUSIP 806037107 / Symbol SCSC							
11/21/14	18 035	696 18	07/19/13	617 45	0 00	78.73	Sale Note 26 Original basis $617 45
SPROTT PHYSICAL GOLD TRUST UNI PHYS / CUSIP 85207H104 / Symbol PHYS							

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FOLIOfn Investments, Inc.
2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SPROTT PHYSICAL GOLD TRUST UNI PHYS / CUSIP 85207H104 / Symbol PHYS (cont'd)							
02/21/14	304 652	3,357.57	VARIOUS	4,686 69	0 00	-1,329 12	Total of 30 lots
05/09/14	220 414	2,354 15	VARIOUS	2,839 54	0 00	-485 39	Total of 18 lots
	Security total	5,711 72		7,526 23	0.00	-1,814 51	
STAPLES INC SPLS / CUSIP 855030102 / Symbol SPLS							
04/11/14	66 105	772 21	VARIOUS	915 06	142 85 W	0 00	Total of 7 lots
11/07/14	52.654	682 92	VARIOUS	762 18	0 00	-79 26	Total of 7 lots
11/07/14	44.559	577 91	VARIOUS	600 87	12 56 W	-10 40	Total of 6 lots
12/05/14	157.877	2,215 02	VARIOUS	1,888 20	0 00	326 82	Total of 17 lots
	Security total	4,248 06		4,166 31	155 41 W	237 16	
SYMANTEC CORP SYMC / CUSIP 871503108 / Symbol SYMC							
10/20/14	24 928	551 75	04/01/13	608 28	0 00	-56 53	Sale Note 26 Original basis $608.28
SYNGENTA AG SYT / CUSIP 87160A100 / Symbol SYT							
08/29/14	6.816	489 36	02/08/13	583 14	0 00	-93 78	Sale Note 26 Original basis $583 14
SYNTEL INC SYNT / CUSIP 87162H103 / Symbol SYNT							
01/17/14	1 328	121 76	08/14/12	73 27	0 00	48 49	Sale Note 26 Original basis $76 04
07/07/14	1 661	145 93	08/14/12	91.59	0 00	54 34	Sale Note 26 Original basis $95 05
	Security total	267 69		164 86	0.00	102.83	
TJX COS INC NEW TJX / CUSIP 872540109 / Symbol TJX							
10/20/14	22.511	1,361 87	VARIOUS	1,009 41	0.00	352 46	Total of 9 lots

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FOLIOfn Investments, Inc. Account RA2294400N

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
1a- Description of property/CUSIP/Symbol							
TRW AUTOMOTIVE HLDGS CORP TRW / CUSIP 87264S106 / Symbol TRW							
10/20/14	18 923	1,906 59	07/05/13	1,280 93	0 00	625 66	Sale Note 26 Original basis $1,280.93
TEAM HEALTH HOLDINGS INC COM TMH / CUSIP 87817A107 / Symbol TMH							
07/07/14	3 843	193 73	05/03/13	145 48	0 00	48 25	Sale Note 26 Original basis $145 48
07/25/14	13 965	736 60	VARIOUS	443 94	0 00	292 66	Total of 3 lots
	Security total	930 33		589 42	0 00	340 91	
TECHNE CORP TECH / CUSIP 878377100 / Symbol TECH							
08/29/14	2 416	231 54	08/01/13	184 80	0 00	46 74	Sale Note 26 Original basis $184 80
10/31/14	20 835	1,903.11	08/01/13	1,593 70	0 00	309.41	Sale Note 26 Original basis $1,593 70
	Security total	2,134 65		1,778 50	0 00	356 15	
TECHNIP TKPPY / CUSIP 878546209 / Symbol TKPPY							
07/25/14	57 676	1,332 89	12/03/12	1,676 02	0 00	-343 13	Sale Note 26 Original basis $1,676 02
TERADATA CORP DEL COM TDC / CUSIP 88076W103 / Symbol TDC							
04/11/14	13.401	610.83	VARIOUS	795 94	185 11 W	0 00	Total of 2 lots
TETRA TECH INC NEW TTEK / CUSIP 88162G103 / Symbol TTEK							
08/22/14	13.792	353 97	VARIOUS	367 73	0 00	-13 76	Total of 2 lots
TIM HORTONS INC COM THI / CUSIP 88706M103 / Symbol THI							
07/25/14	76 377	4,221 81	12/03/12	3,519 64	0 00	702 17	Sale Note 26 Original basis $3,519 64

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FOLIOfn Investments, Inc. 2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TURKCELL ILETISIM HIZMETLERI TKC / CUSIP 900111204 / Symbol TKC							
07/25/14	109 649	1,756 40	10/12/12	1,661 49	0 00	94 91	Sale Note 26 Original basis $1,661.49
UNION PAC CORP UNP / CUSIP 907818108 / Symbol UNP							
10/10/14	4 137	427 18	12/14/12	259 29	0 00	167.89	Sale Note 26 Original basis $259 29
UNIT CORP UNT / CUSIP 909218109 / Symbol UNT							
08/01/14	47 870	2,994.02	VARIOUS	1,893 82	0 00	1,100 20	Total of 10 lots
VCA INC WOOF / CUSIP 918194101 / Symbol WOOF							
06/27/14	17 409	615 69	05/03/13	418 33	0 00	197 36	Sale Note 26 Original basis $418 33
07/07/14	5 703	201 86	05/03/13	137 03	0 00	64 83	Sale Note. 26 Original basis $137.03
10/10/14	2 800	109 24	05/03/13	67 27	0 00	41 97	Sale Note 26 Original basis $67 27
Security total		926 79		622 63	0 00	304 16	
WD-40 CO WDFC / CUSIP 929236107 / Symbol WDFC							
07/07/14	1 315	99 80	08/14/12	64 52	0 00	35 28	Sale Note 26 Original basis $64.52
08/22/14	11 375	785 94	08/14/12	557 90	0.00	228.04	Sale Note 26 Original basis $557 90
Security total		885 74		622 42	0.00	263.32	
WAL MART STORES INC WMT / CUSIP 931142103 / Symbol WMT							
04/11/14	19.837	1,522 04	VARIOUS	1,420 27	0 00	101 77	Total of 4 lots

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FOLIOfn Investments, Inc.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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WALGREEN CO WAG / CUSIP 931422109 / Symbol WAG							
02/21/14	26 243	1,741.72	VARIOUS	789.70	0 00	952 02	Total of 2 lots
WERNER ENTERPRISES INC WERN / CUSIP 950755108 / Symbol WERN							
04/25/14	31 861	811 56	VARIOUS	733 12	0 00	78 44	Total of 2 lots
WEST PHARMACEUTICAL SVSC INC WST / CUSIP 955306105 / Symbol WST							
07/07/14	3 145	135.60	08/14/12	74 87	0 00	60.73	Sale Note 26 Original basis $74.87
WEYERHAEUSER CO WY / CUSIP 962166104 / Symbol WY							
11/14/14	3.446	116 71	03/28/13	108 19	0 00	8 52	Sale Note 26 Original basis $108 19
ZEBRA TECHNOLOGIES CORP ZBRA / CUSIP 989207105 / Symbol ZBRA							
07/07/14	0 902	76 25	05/07/13	41 90	0 00	34 35	Sale Note 26 Original basis $41.90
07/25/14	3 136	256 28	05/07/13	145 70	0 00	110 58	Sale Note 26 Original basis $145 70
08/15/14	5 436	405 54	VARIOUS	223 70	0 00	181.84	Total of 2 lots
Security total		738 07		411 30	0 00	326 77	
ZIMMER HLDGS INC ZMH / CUSIP 98956P102 / Symbol ZMH							
07/01/14	1 927	202 61	07/18/12	128 74	0 00	73 87	Sale Note 26 Original basis $125 46
COVIDIEN PLC SHS COV / CUSIP G2554F113 / Symbol COV							
06/16/14	6 907	600 46	09/14/12	371 30	0 00	229 16	Sale Note 26 Original basis $407 61
07/25/14	11 784	1,036 74	09/14/12	633 49	0 00	403 25	Sale Note 26 Original basis $695 45

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Folio Investments, Inc. **Proceeds from Broker and Barter Exchange Transactions** (continued) Account RA2294400N

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COVIDIEN PLC SHS COV / CUSIP G2554F113 / Symbol COV (cont'd)							
09/05/14	17 611	1,558 56	VARIOUS	938.46	0 00	620 10	Total of 5 lots
11/21/14	2 807	275 65	VARIOUS	141 37	0 00	134 28	Total of 3 lots
Security total		3,471 41		2,084.62	0 00	1,386.79	
ENSCO PLC ESV / CUSIP G3157S106 / Symbol ESV							
09/19/14	11 220	498.68	02/19/13	729 58	0 00	-230 90	Sale Note 26 Original basis $729.58
HERBALIFE LTD HLF / CUSIP G4412G101 / Symbol HLF							
07/11/14	36 243	2,371 19	VARIOUS	1,398 77	0.00	972 42	Total of 2 lots
TE CONNECTIVITY LTD TEL / CUSIP H84989104 / Symbol TEL							
11/21/14	1 114	70.14	04/01/11	39 03	0 00	31 11	Sale Note 26 Original basis $39 03
CORE LABORATORIES N V CLB / CUSIP N22717107 / Symbol CLB							
03/07/14	3 110	597 18	02/08/13	407 94	0 00	189 24	Sale Note 26 Original basis $407.94
12/19/14	4.469	546 62	VARIOUS	547 34	0.00	-0 72	Total of 7 lots
Security total		1,143 80		955 28	0 00	188 52	
LYONDELLBASELL INDUSTRIES N V LYB / CUSIP N53745100 / Symbol LYB							
06/16/14	4 345	429 66	12/03/12	226 56	0 00	203 10	Sale Note 26 Original basis $215 40
09/12/14	7 755	871 95	VARIOUS	386 23	0.00	485 72	Total of 2 lots
Security total		1,301.61		612 79	0 00	688 82	
QIAGEN N V QGEN / CUSIP N72482107 / Symbol QGEN							
12/19/14	45.050	1,056 67	12/03/12	838.01	0.00	218.66	Sale Note 26 Original basis $838 01

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FOLIOfn Investments, Inc.
2014 1099-B

Proceeds from Broker and Barter Exchange Transactions (continued)

Account RA2294400N

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
*5- COVERED tax lots 3- Basis is reported to the IRS***

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STEINER LEISURE LTD STNR / CUSIP P8744Y102 / Symbol STNR							
07/07/14	2.416	104 25	VARIOUS	115 98	0 00	-11 73	Total of 2 lots
	Totals:	164,800.21		136,487.98	624.90 W	28,937.13	

LONG-TERM TRANSACTIONS
*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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AGILENT TECHNOLOGIES INC A / CUSIP 00846U101 / Symbol A							
10/20/14	7.662	389 94	VARIOUS	252 42	0 00	137 52	Total of 2 lots
CHEVRON CORP NEW CVX / CUSIP 166764100 / Symbol CVX							
10/20/14	2.554	285 80	VARIOUS	193 89	0 00	91 91	Total of 12 lots
GRUPO AEROPORTUARIO DEL SUREST ASR / CUSIP 40051E202 / Symbol ASR							
08/15/14	10 495	1,344 48	VARIOUS	597 56	0 00	746 92	Total of 2 lots
JONES LANG LASALLE INC JLL / CUSIP 48020Q107 / Symbol JLL							
01/31/14	3 140	354 78	04/23/10	253 67	0 00	101 11	Sale Note 26 Original basis $253 67
02/14/14	11 488	1,389.67	VARIOUS	368 67	0 00	1,021.00	Total of 7 lots
	Security total	1,744 45		622 34	0 00	1,122 11	
SENSIENT TECHNOLOGIES CORP SXT / CUSIP 81725T100 / Symbol SXT							
06/27/14	6 234	343 21	09/21/10	188 42	0 00	154 79	Sale Note 26 Original basis $188 42
SOCIEDAD QUIMICA MINERA DE CHI SQM / CUSIP 833635105 / Symbol SQM							

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2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account RA2294400N
OMB No. 1545-0715

LONG-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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SOCIEDAD QUIMICA MINERA DE CHI SQM / CUSIP 833635105 / Symbol SQM (cont'd)							
07/25/14	14.624	421 05	VARIOUS	403.95	0 00	17 10	Total of 10 lots
SPROTT PHYSICAL GOLD TRUST UNI PHYS / CUSIP 85207H104 / Symbol PHYS							
05/09/14	139 406	1,488.93	11/12/10	1,691 56	0 00	-202 63	Sale Note. 26 Original basis $1,691 56
CORE LABORATORIES N V CLB / CUSIP N22717107 / Symbol CLB							
12/19/14	7 103	868.87	07/14/10	572 38	0.00	296 49	Sale Note 26 Original basis $572 38
	Totals:	**6,886.73**		**4,522.52**	**0.00**	**2,364.21**	

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