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Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation John E. Lovenheim & Barbara P. Lovenheim Charitable Trust		A Employer identification number 16 - 6383847
Number and street (or P.O. box number if mail is not delivered to street address) 24 Grove Street	Room/suite	B Telephone number (see instructions) 585 -
City or town, state or province, country, and ZIP or foreign postal code Rochester, NY 14605		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,049,410	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/>

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Schedule 1				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	22,533	22,533		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 6a	26,518			
b Gross sales price for all assets on line 6a 305,031				
7 Capital gain net income (from Part IV, line 2)		26,518		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	49,051	49,051	0	
Schedule 2				
13 Compensation of officers, directors, trustees, etc.	0	0	0	0
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	64			64
c Other professional fees (attach schedule)	12,494	12,464		12,464
17 Interest				
18 Taxes (attach schedule) (see instructions)	969	718		718
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	13,527	13,182	0	13,246
25 Contributions, gifts, grants paid	50,073			50,073
26 Total expenses and disbursements. Add lines 24 and 25	63,600	13,182	0	63,319
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	(14,549)			
b Net investment income (if negative, enter -0-)		35,869		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2014)

SCANNED MAY 28 2015

P2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	(6,939)	(3,364)	(3,364)
	2	Savings and temporary cash investments	29,445	3,589	3,589
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule) Schedule 12		30,000	30,026
	b	Investments—corporate stock (attach schedule) Schedule 12	539,415	492,819	728,022
	c	Investments—corporate bonds (attach schedule) Schedule 12	264,732	289,059	291,137
	11	Investments—land, buildings, and equipment: basis ▶ Schedule			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) Schedule			
	14	Land, buildings, and equipment: basis ▶ Schedule			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Schedule)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	826,652	812,103	1,049,410
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue Schedule			
	20	Loans from officers, directors, trustees, and other disqualified persons Schedule			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	826,652	812,103	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	826,652	812,103	
	31	Total liabilities and net assets/fund balances (see instructions)	826,652	812,103	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	826,652
2	Enter amount from Part I, line 27a	2	(14,549)
3	Other increases not included in line 2 (itemize) ▶ Schedule 20 Capital Gains	3	
4	Add lines 1, 2, and 3	4	812,103
5	Decreases not included in line 2 (itemize) ▶ Schedule Capital Loss	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	812,103

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Short Term Covered Stock See Attached	Purchase	8/27/2013	8/18/2014
b Long Term Covered Stock See Attached	Purchase	11/8/2013	12/10/2014
c Long Term Non Covered Stock See Attached	Purchase	4/26/2010	12/12/2014
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 48,258		54,808	(6,550)
b 142,886		119,682	23,204
c 113,887		104,023	9,864
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			(6,550)
b			23,204
c			9,864
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	26,518
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	46,674	1,007,185	4.63%
2012	44,476	935,313	4.76%
2011	42,765	952,845	4.49%
2010	33,488	799,292	4.19%
2009	54,969	822,483	6.68%

2 Total of line 1, column (d)	2	24.75%
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	4.95%
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,062,633
5 Multiply line 4 by line 3	5	52,600
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	359
7 Add lines 5 and 6	7	52,959
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	63,319

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	359
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	359
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	359
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	359
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?		<input checked="" type="checkbox"/>
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	Schedule	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶		13	✓	
14	The books are in care of ▶ <u>John Lovenheim</u> Telephone no. ▶ <u>585-262-6221</u> Located at ▶ <u>24 Grove Street, Rochester, NY</u> ZIP+4 ▶ <u>14605-</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>				
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶		16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	✓
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	✓
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
John Lovenheim 24 Grove Street, Rochester, NY 14605	Trustee	0	0	0
Barbara P. Lovenheim 24 Grove Street, Rochester, NY 14605	Trustee	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,079,737
b	Average of monthly cash balances	1b	(922)
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,078,815
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,078,815
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	16,182
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,062,633
6	Minimum investment return. Enter 5% of line 5	6	53,132

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	53,132
2a	Tax on investment income for 2014 from Part VI, line 5	2a	
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53,132
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	53,132
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,132

Part XII Qualifying Distributions (see instructions)


1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	50,073
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,073
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	50,073

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				53,132
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			49,632	
b Total for prior years: 20 <u>20</u> , 20 <u>20</u> , 20 <u>20</u>				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e				
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ <u>50,073</u>				
a Applied to 2013, but not more than line 2a			49,632	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				441
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				52,691
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling 
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	N/A	N/A	N/A	N/A	N/A
b 85% of line 2a	N/A	N/A	N/A	N/A	N/A
c Qualifying distributions from Part XII, line 4 for each year listed	N/A	N/A	N/A	42,765	N/A
d Amounts included in line 2c not used directly for active conduct of exempt activities					N/A
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	N/A	N/A	N/A	N/A	N/A
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					N/A
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					N/A
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	N/A	N/A	N/A	N/A	N/A
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					N/A
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					N/A
(3) Largest amount of support from an exempt organization					N/A
(4) Gross investment income					N/A

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- b The form in which applications should be submitted and information and materials they should include.
- c Any submission deadlines.
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
See Attached	NA	NA	Se Attached	50,073
Total			▶ 3a	50,073
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | | Yes | No |
|--|--|-----|----|
| Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | | ✓ |
| (2) Other assets | | | ✓ |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | | | ✓ |
| (3) Rental of facilities, equipment, or other assets | | | ✓ |
| (4) Reimbursement arrangements | | | ✓ |
| (5) Loans or loan guarantees | | | ✓ |
| (6) Performance of services or membership or fundraising solicitations | | | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

[illegible]

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: *[Signature]* Date: *15/14/2015* Title: **Trustee**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶ - - - - -	
	Firm's address ▶			Phone no. - - - - -	

Attachments to IRS Form 990-PF
John E. Lovenheim & Barbara P. Lovenheim Charitable Trust
16-6383847
Tax Year 2014

Schedule 1: Part I, Line 1 - Contributions, Gifts, Grants, etc. Received

Description	Amount
Total	0

Schedule 2: Part I, Line 6a - Net Gain or (Loss) from Sale of Assets Not on Line 10

Description	Date Acquired	How Acquired	Gross Sales Price	Cost, Other basis, or Donated Value		To Part XVI-A Line 8 (See Note)
				Amount	Which One	
1 Short Term Covered	8/27/2013	Purchase	48,258	54,808	Cost	See Attached
2 Long Term Covered	11/8/2013	Purchase	142,886	119,682	Cost	See Attached
3 Long Term Non Covered	4/26/2010	Purchase	113,887	104,023	Cost	See Attached
Total			305,031	278,513		

	Date Sold	To Whom Sold	Expense of Sale and Cost of Improvements	Depreciation Since Acquisition	Gain or (Loss)
1	8/18/2014	Market			(6,550)
2	12/10/2014	Market			23,204
3	12/12/2014	Market			9,864
Total			0	0	26,518

Amounts Carried	To Part XVI-A line 8	Gross Sales Price	Cost or Other Basis	Expense of Sale and Cost of Improvements	Depreciation Since Acquisition	Gain or (Loss)
Unrelated Business Income (Col B)		0	0	0	0	0
Excluded by Sec 512, 513, or 514 (Col D)		0	0	0	0	0
Related / Exempt Function Income (Col E)		305,031	278,513	0	0	26,518
Total		305,031	278,513	0	0	26,518

Note. Numeric codes used above are:

- 1 - if Unrelated Business Income (Part XVI-A, Column B).
- 2 - if Excluded by Sec 512, 513, or 514 (Part XVI-A, Column D).
- Balance is Related / Exempt Function Income (Part XVI-A, Column E).

Schedule 3: Part I, Line 10 - Gross Profit from Sales of Inventory

Description:	Gross Sales, Less Returns and Allowances	Cost of Goods Sold	Gross Profit of (Loss)	To Part XVI-A Line 10 (See Note)
Total	0	0	0	
Amounts Carried To Part XVI-A line 10				
Unrelated Business Income (Col B)	0	0	0	
Excluded by Sec 512, 513, or 514 (Col D)	0	0	0	
Related / Exempt Function Income (Col E)	0	0	0	
Total	0	0	0	

Note. Numeric codes used above are:

- 1 - if Unrelated Business Income (Part XVI-A, Column B),
- 2 - if Excluded by Sec 512, 513, or 514 (Part XVI-A, Column D)
- Balance is Related / Exempt Function Income (Part XVI-A, Column E)

Schedule 4: Part I, Line 11 - Other Income

Description	From Part XVI-A			Total to Part I, Line 11
	Unrelated Business Income (Column (b))	Excluded by Section 512, 513, or 514 (Column (d))	Related or exempt function income (Column (e))	
1 Program Service Revenue (total of lines 1a through 1g)	0	0	0	0
2 Membership dues and assessments	0	0	0	0
7 Other investment income	0	0	0	0
9 Gross revenue from special events	0	0	0	0
11 Total Other Revenue (listed separately on line 11)				
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0
Total line 11	0	0	0	0
Total	0	0	0	0

Schedule 5: Part I, Line 16b - Accounting Fees

Type of service	Amount
1 Software	64
Total	64

Schedule 5: Part I, Line 16c - Other Professional Fees

Type of service	Amount
1 Investment Fees	12,494
Total	12,494

Schedule 6: Part I, Line 18 - Taxes

Type of tax	Amount
1 Foreign Taxes Paid	719
2 NY State Dept of Taxation	250
Total	969

Schedule 7: Part I, Line 19 - Depreciation and Depletion

Description	Date Acquired	Cost or Other Basis	Depreciation Allowable in Prior Years	Depreciation Method	Rate (%)	Depreciation This Year
Total		0	0			0
Less Depreciation included in cost of goods sold and not on line 19						
Total						0

Schedule 8: Part I, Line 23 - Other Expenses

Description	Amount
1 Investment Fees	
Total	0

Attachments to IRS Form 990-PF
John E. Lovenheim & Barbara P. Lovenheim Charitable Trust
16-6383847
Tax Year 2014

Schedule 9: Part I, Line 25 - Contributions, Gifts, Grants Paid

From detail below:	Cash Amount	Property Other than Cash	Total
Paid during the year	50,073	0	50,073
Approved for future payment	0	0	0
Total	50,073	0	50,073

Paid During the Year:

Class of Activity:		Cash Amount	Property Other than Cash (1)	Relationship to Disqualified Persons (2)	Organizational Status of Donee (3)
Donee Name	Donee Address				
1 1 See Attached		50,073	0		
Total		50,073	0		
Total amount paid for which the foundation exercised expenditure responsibility					
Total Paid During the Year		50,073	0		

(1) Additional information for property other than cash included on continuation sheet

(2) Relationship of donee if related by blood, marriage, adoption, or employment (including children of employees) to any disqualified person

(3) The organizational status of donee (e.g., public charity—an organization described in section 509(a)(1), (2), or (3))

Schedule 12: Part II, Line 10 - Investments—Government Obligations, Corporate Stocks and Bonds

		Valued at Cost or Market Value	End of Year	
			Book Value	Fair Market Value
Line 10a - Investments - U.S. and state government obligations				
Total U S Government obligations				
1	US Government and Agencies	MV	30,000	30,026
Total			30,000	30,026
Line 10b - Investments - Corporate Stock				
1	Neuberger Berman Equity	MV	475,909	707,463
2	Neuberger Berman Equity Mutual Fund	MV	16,910	20,559
Total			492,819	728,022
Line 10c - Investments - Corporate Bonds				
1	Neuberger Berman	MV	289,059	291,137
2				
3				
Total			289,059	291,137

John/Barbara Charitable

01/23/2014 to 12/29/2014

Date	Pay to	Purpose	Check no	Credit
01-23-14	NOBCChe		291	25.00
02-26-14	Rochester Regional Commu	Arts and Culture	292	50.00
04-23-14	MCC Foundation	Arts and Education	293	500.00
05-07-14	MCC Foundation	Arts and Education	294	3,000.00
05-15-14	FURL	Educational	296	150.00
05-15-14	GEVA Theatre	Arts and Culture	297	300.00
05-22-14	Memorial Art Gallery		298	300.00
05-22-14	Void	Educational	299	0.00
05-22-14	John Lovenheim	Educational	300	1,279.32
05-30-14	Rochester Arts & Lectures	Arts and Culture	301	600.00
05-30-14	Rochester Chapter Of Hadas	Medical Research	302	90.00
06-06-14	Easter Seals New York		303	100.00
06-11-14	GEVA Theatre	Arts and Culture	304	500.00
06-11-14	MCC Foundation	Arts and Education	305	1,000.00
06-15-14	Nazareth College	Arts and Education	306	2,000.00
06-15-14	Rochester Fringe Festival	Arts and Culture	307	1,000.00
08-18-14	MCC Foundation	Arts and Education	308	1,000.00
08-18-14	Grove Place Association	Civic Affairs	309	100.00
08-25-14	Rundel Library Foundation	Educational	310	100.00
08-25-14	The Little Theatre Film Socie		311	5,000.00
08-25-14	The Four C's		312	25.00
09-29-14	Amherst College Annual Fun		313	500.00
09-29-14	Foodlink		314	250.00
09-29-14	Rochester City Ballet		315	125.00
10-10-14	Carlson YMCA		316	500.00
10-19-14	Grove Place Association	Civic Affairs	317	50.00
10-21-14	MCC Foundation	Arts and Education	318	240.00
10-23-14	Void		319	0.00
10-23-14	University Of Rochester		320	1,500.00
11-17-14	FFRPL		321	2,000.00
11-17-14	FFRPL	Educational	322	50.00
11-18-14	Rochester Contemporary Art	Arts	323	2,000.00
11-18-14	Strong Museum	Arts and Culture	324	119.00
11-18-14	Landmark Society Of Westel		325	100.00
12-01-14	Rochester Regional Commu	Arts and Culture	326	100.00
12-01-14	Rochester Regional Commu	Arts and Culture	327	2,000.00
12-04-14	Downstairs Cabaret Theatre	Arts	328	1,000.00
12-04-14	YMCA Invest In Youth	Civic Affairs	329	750.00
12-04-14	BOA Editions, Ltd.	Arts and Education	330	250.00
12-08-14	Literacy Volunteers Of Roch	Educational	331	25.00
12-08-14	Void	Arts and Culture	332	0.00
12-08-14	George Eastman House	Arts and Culture	333	100.00
12-08-14	Writers & Books	Arts and Education	334	300.00
12-08-14	Pegasus Early Music		335	100.00
12-10-14	Literacy Volunteers Of Roch	Educational	336	125.00
12-10-14	Jewish Community Federatio	Civic Affairs	337	5,000.00
12-10-14	ACLU Foundation	Civic Affairs	338	500.00
12-10-14	Friends Of Eastman Opera	Arts and Culture	339	600.00
12-10-14	Friends Of The GardenAeria		340	250.00
12-10-14	Garth Fagan Dance		341	250.00
12-10-14	Jewish Home Foundation	Elderly Programming	342	1,000.00
12-10-14	Planned Parenthood Of Roch		343	250.00
12-10-14	Temple Sinai	Religious	344	2,920.00
12-10-14	Tourettes Syndrome Associa	Medical Research	345	500.00
12-10-14	WXXI	Arts and Education	346	1,000.00

Date	Pay to	Purpose	Check no	Credit
12-11-14	Rochester General Foundati	Medical Research	347	2,500.00
12-14-14	Hochstein Music School	Educational	348	1,000.00
12-14-14	Fidelity Charitable	Charitable Grants	349	1,500.00
12-29-14	Rochester Arts & Lectures	Arts and Culture	363	3,500.00
			TOTAL:	50,073.32

NEUBERGER BERMAN	
Account No	541-23811
Account Name	JOHN EDWARD LOVENHEIM &
Recipient's Identification Number	16-6383847
Account Executive No:	016
CORRECTED	04/18/15
NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY	

RECIPIENT'S Name, Street Address (including apt. no.), City or town, state or province, country, and ZIP or foreign postal code
 JOHN EDWARD LOVENHEIM &
 BARBARA PITLICK LOVENHEIM
 CHARITABLE TRUST
 24 GROVE STREET
 ROCHESTER NY 14605-2813

Payer's Federal Identification Number: 13-3604093
 PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.
 J.P. MORGAN CLEARING CORP.
 4 METROTECH CENTER
 BROOKLYN NY 11245-0001

☐ Copy B for recipient
☐ 2nd TIN Notice

Telephone Number: (212) 476-5505

2014 1099 GROSS PROCEEDS

Total Cost, Realized Gain/(Loss), and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers are ultimately responsible for the accuracy of their tax returns and should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange. Where indicated JPMCC does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information; taxpayers may be required to report to federal, state, or other taxing authorities. JPMCC makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

FORM 1099-B Totals Summary

Form 8949, Part I or II (Short-Term or Long-Term)	Proceeds	Cost	Realized Gain/(Loss)	Adjustments
Total Noncovered Transaction Proceeds (Box B or Box E Checked)				
Form 8949, Part I (Short-Term)				
Total Covered Short-Term Gain/(Loss) (Cost Basis Reported to IRS, Box A Checked)	48,258.72	54,808.36	(6,549.64)	
Total Noncovered Short-Term Gain/(Loss) (Cost Basis NOT Reported to IRS, Box B Checked)				
Form 8949, Part II (Long-Term)				
Total Covered Long-Term Gain/(Loss) (Cost Basis Reported to IRS, Box D Checked)	142,896.13	119,682.18	23,203.95	
Total Noncovered Long-Term Gain/(Loss) (Cost Basis NOT Reported to IRS, Box E Checked)	113,887.10	103,988.06	9,899.04	

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated), if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

NEUBERGER BERMAN	
Account No	541-23811
Account Name	JOHN EDWARD LOVENHEIM &
Recipient's Identification Number	16-6383847
Account Executive No	016
CORRECTED: 04/18/15	
NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY	

2014 SUBSTITUTE FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Covered Short-Term Gain/(Loss) - Cost Basis Reported to IRS

Box 5:

Report on Form 8949, Part I, with Box A checked

Box 3: Basis Reported to IRS

Box 2: Type of Gain/(Loss): Short-Term

* JPMCC does not report Realized Gain/(Loss) to IRS and is not responsible for the accuracy of such information.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	(Box 1e) Cost or Other Basis	(Box 1g/1f) Adjustments/Code	(Box 7) (Loss) Not Allowed
08/18/14S	08/27/13	BED BATH & BEYOND INC	125.00000 075896100	7,795.46G	9,253.36	0.00	(1,457.90)
08/18/14S	11/18/13	BED BATH & BEYOND INC	175.00000 075896100	10,913.65G	13,485.55	0.00	(2,571.90)
12/04/14G	01/15/14	EATON CORPORATION PLC	125.00000 G29183103	8,709.80G	9,246.64	0.00	(536.84)
09/02/14G	02/24/14	GENERAL MOTORS COMPANY	225.00000 37045V100	7,814.93G	8,231.78	0.00	(416.85)
09/02/14G	01/17/14	GENERAL MOTORS COMPANY	375.00000 37045V100	13,024.88G	14,591.03	0.00	(1,566.15)
5 ITEMS - Total					48,258.72	54,808.38	0.00
							(6,549.64)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER Berman		Account No	541-23811
NEUBERGER Berman LLC		Account Name	JOHN EDWARD LOVENHEIM &
OH4-RM00		Recipient's Identification Number	16-6383847
P O BOX 183211		Account Executive No	016
COLUMBUS, OH 43218			
FOR UNDELIVERABLE MAIL ONLY			
		CORRECTED	04/18/15

2014 SUBSTITUTE FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Covered Long-Term Gain/(Loss) - Cost Basis Reported to IRS

Box 5:

Report on Form 8949, Part II, with Box D checked

Box 3: Basis Reported to IRS

Box 2: Type of Gain/(Loss) Long-Term

* JPMCC does not report Realized Gain/(Loss) to IRS and is not responsible for the accuracy of such information.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	(Box 1e) Cost or Other Basis	(Box 1g/f) Adjustments/Code	(Box 7) (Loss) Not Allowed	Realized Gain/(Loss)
12/10/14G	11/08/13	ANTERO RESOURCES CORPORATION	300 00000 03674X106	11,703 88 G	16,641 09	0 00		(4,937 21)
12/22/14G	06/20/12	AMERICAN EXPRESS COMPANY	100 00000 025816109	9,288 79 G	5,737 76	0 00		3,551 03
07/17/14G	07/16/13	CR BARD INC	150 00000 067383109	21,513 09 G	16,830 90	0 00		4,682 19
01/13/14G	08/31/11	BOEING CO	25 00000 097023105	3,516 33 G	1,668 58	0 00		1,847 75
01/13/14G	10/26/11	BOEING CO	50 00000 097023105	7,032 66 G	3,332 28	0 00		3,700 38
06/16/14S	09/15/11	BOEING CO	40 00000 097023105	5,305 24 G	2,563 87	0 00		2,741 37
12/08/14G	05/02/12	CALIFORNIA RESOURCES CORPORATION	40 00000 13057Q107	240 37 G	321 06	0 00		(80 69)
12/08/14G	07/16/13	CALIFORNIA RESOURCES CORPORATION	40 00000 13057Q107	240 37 G	311 77	0 00		(71 40)
11/05/14G	11/04/13	CORNING INC	650 00000 219350105	13,469 46 G	11,202 75	0 00		2,266 71
10/08/14G	05/20/13	DEERE & CO	100 00000 244199105	7,994 72 G	8,821 20	0 00		(826 48)
10/08/14G	10/08/12	DEERE & CO	150 00000 244199105	11,992 08 G	12,412 94	0 00		(420 86)

NEUBERGER BERMAN	
Account No.	541-23811
Account Name.	JOHN EDWARD LOVENHEIM &
Recipient's Identification Number	16-6383847
Account Executive No.	016
CORRECTED	04/18/15
NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY	

2014 SUBSTITUTE FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Long-Term Gain/(Loss) - Cost Basis Reported to IRS

Box 5: Report on Form 8949, Part II, with Box D checked

Box 3: Basis Reported to IRS

Box 2: Type of Gain/(Loss) Long-Term

* JPMCC does not report Realized Gain/(Loss) to IRS and is not responsible for the accuracy of such information.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	(Box 1e) Cost or Other Basis	(Box 1g/1f) Adjustments/Code	(Box 7) (Loss) Not Allowed	* Realized Gain/(Loss)
10/10/14G	08/20/13	FOOT LOCKER INC	150 00000 344849104	8,283.46 G	5,209.46	0 00		3,074.00
02/27/14S	05/10/12	MICROSOFT CORP	325 00000 594918104	12,180.85 G	9,997.37	0 00		2,183.48
12/19/14G	05/02/12	OCCIDENTAL PETE CORP	50 00000 674599105	3,981.91 G	4,445.80 T	0 00		(463.89)
01/14/14G	11/12/12	THE TRAVELERS COMPANIES INC	100 00000 89417E109	8,714.31 G	6,849.45	0 00		1,864.86
01/14/14G	09/11/12	THE TRAVELERS COMPANIES INC	200 00000 89417E109	17,428.61 G	13,335.90	0 00		4,092.71
16 ITEMS - Total				142,886.13	119,682.18	0.00		23,203.95

NEUBERGER BERMAN	
Account No	541-23811
Account Name	JOHN EDWARD LOVENHEIM &
Recipient's Identification Number	16-6383847
Account Executive No	016
CORRECTED.	
04/18/15	

2014 SUBSTITUTE FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Noncovered Long-Term Gain/(Loss) - Cost Basis NOT Reported to IRS

Box 5: Noncovered Security

Report on Form 8949, Part II, with Box E checked

Box 2: Type of Gain/(Loss) Long-Term

* JPMCC does not report Date of Acquisition, Cost Basis, and Realized Gain/(Loss) to the IRS for noncovered transactions and is not responsible for the accuracy of such information. Wash Sales and market discount are not calculated for noncovered transactions.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	(Box 1e) Cost or Other Basis	(Box 1g/1f) Adjustments (Code)	(Box 7) (Loss) Not Allowed	Realized Gain/(Loss)
12/12/14G	04/26/10	***CENOVUS ENERGY INC	250.00000 15135U109	4,390 35G	7,352.13	0 00		(2,961 76)
12/12/14G	04/30/10	***CENOVUS ENERGY INC	450 00000 15135U109	7,902 63G	13,148.15	0 00		(5,245 52)
01/29/14G	03/13/09	EMC CORP-MASS	150 00000 268648102	3,737 09G	1,606.46	0 00		2,130 63
12/17/14G	12/08/08	JOHNSON & JOHNSON	50 00000 478160104	5,159 88G	2,935 40	0 00		2,224 48
01/07/14G	12/18/08	PHILIP MORRIS INTERNATIONAL INC	100 00000 718172109	8,482 94G	4,254 28	0 00		4,228 66
01/14/14G	06/30/09	***UNILEVER N V YORK SHS ADR	600.00000 904784709	23,407 21G	14,463 96	0 00		8,943 25
*11/03/14G	10/06/11	***ENSCO INTL PLC DUE 03/15/2016 03.250%	30,000.00000 29358QAB5	30,807.00G	30,101.02	0 00		705.98

NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-23811	NEUBERGER	BERMAN
		Account Name JOHN EDWARD LOVENHEIM & Recipient's Identification Number 16-6383847		
		Account Executive No 016		
		CORRECTED	04/18/15	

2014 SUBSTITUTE FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Noncovered Long-Term Gain/(Loss) - Cost Basis NOT Reported to IRS

Box 5: Noncovered Security

Box 3.

Report on Form 8949, Part II, with Box E checked

Box 2: Type of Gain/(Loss) Long-Term

* JPMCC does not report Date of Acquisition, Cost Basis, and Realized Gain/(Loss) to the IRS for noncovered transactions and is not responsible for the accuracy of such information. Wash Sales and market discount are not calculated for noncovered transactions.

(Box 1c) Date Sold or Disposed	(Box 1b) * Date Acquired	(Box 1a) Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	(Box 1e) * Cost or Other Basis	(Box 1g/1f) * Adjustments/Code	(Box 7) (Loss) Not Allowed	* Realized Gain/(Loss)
09/15/14G	08/18/09	JPMORGAN CHASE & CO GLOBAL SUB HLDG CO NT DUE 09/15/2014 05 125% REDEMPTION	15,000.00000 46625HBV1	15,000 00 G	14,966.85	0 00		33.15
09/17/14G	04/10/08	NORFOLK SOUTHERN CORP NOTE DUE 09/17/2014 05 257% REDEMPTION	15,000.00000 655844AU2	15,000 00 G	15,159.81	0 00		(159.81)
9 ITEMS - Total					113,887.10	103,988.06	0 00	9,899.04

END OF 2014 CONSOLIDATED FORM 1099

* Data was revised since your last Statement

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.